

# 1 **Chapter 1: Framing and Context**

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## 1 1.1 Executive summary

2 **Land, including its water bodies, provides the basis for human livelihoods and well-being through**  
3 **primary productivity, the supply of food, freshwater, and multiple other ecosystem services (*high***  
4 ***confidence*).** Neither our individual or societal identities, nor the World's economy would exist without the  
5 multiple resources, services and livelihood systems provided by land ecosystems and biodiversity. The  
6 annual value of the World's total terrestrial ecosystem services has been estimated at 75–85 trillion USD  
7 in 2011 (based on USD 2007 values) (*low confidence*). This substantially exceeds the annual World GDP  
8 (*high confidence*). Land and its biodiversity also represent essential, intangible benefits to humans, such as  
9 cognitive and spiritual enrichment, sense of belonging and aesthetic and recreational values. Valuing  
10 ecosystem services with monetary methods often overlooks these intangible services that shape societies,  
11 cultures and quality of life and the intrinsic value of biodiversity. The Earth's land area is finite. Using land  
12 resources sustainably is fundamental for human well-being (*high confidence*). {1.2.1}

13 **The current geographic spread of the use of land, the large appropriation of multiple ecosystem**  
14 **services and the loss of biodiversity are unprecedented in human history (*high confidence*).** By 2015,  
15 about three-quarters of the global ice-free land surface was affected by human use. Humans appropriate  
16 one quarter to one third of global terrestrial potential net primary production (*high confidence*). Croplands  
17 cover 12–14% of the global ice-free surface. Since 1961, the supply of global per capita food calories  
18 increased by about one third, with the consumption of vegetable oils and meat more than doubling. At the  
19 same time, the use of inorganic nitrogen fertiliser increased by nearly 9-fold, and the use of irrigation water  
20 roughly doubled (*high confidence*). Human use, at varying intensities, affects about 60–85% of forests and  
21 70–90% of other natural ecosystems (e.g., savannahs, natural grasslands) (*high confidence*). Land use  
22 caused global biodiversity to decrease by around 11–14% (*medium confidence*). {1.2.2}

23 **Warming over land has occurred at a faster rate than the global mean and this has had observable**  
24 **impacts on the land system (*high confidence*).** The average temperature over land for the period 1999–  
25 2018 was 1.41°C higher than for the period 1881–1900, and 0.54°C larger than the equivalent global mean  
26 temperature change. These warmer temperatures (with changing precipitation patterns) have altered the  
27 start and end of growing seasons, contributed to regional crop yield reductions, reduced freshwater  
28 availability, and put biodiversity under further stress and increased tree mortality (*high confidence*).  
29 Increasing levels of atmospheric CO<sub>2</sub>, have contributed to observed increases in plant growth as well as to  
30 increases in woody plant cover in grasslands and savannahs (*medium confidence*). {1.2.2}

31 **Urgent action to stop and reverse the over-exploitation of land resources would buffer the negative**  
32 **impacts of multiple pressures, including climate change, on ecosystems and society (*high confidence*).**  
33 Socio-economic drivers of land use change such as technological development, population growth and  
34 increasing per capita demand for multiple ecosystem services are projected to continue into the future (*high*  
35 *confidence*). These and other drivers can amplify existing environmental and societal challenges, such as  
36 the conversion of natural ecosystems into managed land, rapid urbanisation, pollution from the  
37 intensification of land management and equitable access to land resources (*high confidence*). Climate  
38 change will add to these challenges through direct, negative impacts on ecosystems and the services they  
39 provide (*high confidence*). Acting immediately and simultaneously on these multiple drivers would enhance  
40 food, fibre and water security, alleviate desertification, and reverse land degradation, without compromising  
41 the non-material or regulating benefits from land (*high confidence*). {1.2.2, 1.3.1, 1.4.2-1.4.6, Cross-  
42 Chapter Box 1, Chapter 1 }

1 **Rapid reductions in anthropogenic greenhouse gas emissions that restrict warming to “well-below”**  
2 **2°C would greatly reduce the negative impacts of climate change on land ecosystems (*high***  
3 ***confidence*). In the absence of rapid emissions reductions, reliance on large-scale, land-based, climate**  
4 **change mitigation is projected to increase, which would aggravate existing pressures on land (*high***  
5 ***confidence*). Climate change mitigation efforts that require large land areas (e.g., bioenergy and**  
6 **afforestation/reforestation) are projected to compete with existing uses of land (*high confidence*). The**  
7 **competition for land could increase food prices and lead to further intensification (e.g., fertiliser and water**  
8 **use) with implications for water and air pollution, and the further loss of biodiversity (*medium confidence*).**  
9 **Such consequences would jeopardise societies’ capacity to achieve many sustainable development goals**  
10 **that depend on land (*high confidence*). { 1.4.1, Cross-Chapter Box 2 in Chapter 1 }**

11 **Nonetheless, there are many land-related climate change mitigation options that do not increase the**  
12 **competition for land (*high confidence*). Many of these options have co-benefits for climate change**  
13 **adaptation (*medium confidence*). Land use contributes about one quarter of global greenhouse gas**  
14 **emissions, notably CO<sub>2</sub> emissions from deforestation, CH<sub>4</sub> emissions from rice and ruminant livestock and**  
15 **N<sub>2</sub>O emissions from fertiliser use (*high confidence*). Land ecosystems also take up large amounts of carbon**  
16 **(*high confidence*). Many land management options exist to both reduce the magnitude of emissions and**  
17 **enhance carbon uptake. These options enhance crop productivity, soil nutrient status, microclimate or**  
18 **biodiversity, and thus, support adaptation to climate change (*high confidence*). In addition, changes in**  
19 **consumer behaviour, such as reducing the over-consumption of food and energy would benefit the reduction**  
20 **of GHG emissions from land (*high confidence*). The barriers to the implementation of mitigation and**  
21 **adaptation options include skills deficit, financial and institutional barriers, absence of incentives, access to**  
22 **relevant technologies, consumer awareness and the limited spatial scale at which the success of these**  
23 **practices and methods have been demonstrated. { 1.3.1 1.4.2, 1.4.3, 1.4.4, 1.4.5, 1.4.6 }**

24 **Sustainable food supply and food consumption, based on nutritionally balanced and diverse diets,**  
25 **would enhance food security under climate and socio-economic changes (*high confidence*). Improving**  
26 **food access, utilisation, quality and safety to enhance nutrition, and promoting globally equitable diets**  
27 **compatible with lower emissions have demonstrable positive impacts on land use and food security (*high***  
28 ***confidence*). Food security is also negatively affected by food loss and waste (estimated as more than 30%**  
29 **of harvested materials) (*high confidence*). Barriers to improved food security include economic drivers**  
30 **(prices, availability and stability of supply) and traditional, social and cultural norms around food eating**  
31 **practices. Climate change is expected to increase variability in food production and prices globally (*high***  
32 ***confidence*), but the trade in food commodities can buffer these effects. Trade can provide embodied flows**  
33 **of water, land and nutrients (*medium confidence*). Food trade can also have negative environmental impacts**  
34 **by displacing the effects of overconsumption (*medium confidence*). Future food systems and trade patterns**  
35 **will be shaped as much by policies as by economics (*medium confidence*). { 1.3.1, 1.4.3 }**

36 **A gender inclusive approach offers opportunities to enhance the sustainable management of land**  
37 **(*medium confidence*). Women play a significant role in agriculture and rural economies globally. In many**  
38 **World regions, laws, cultural restrictions, patriarchy and social structures such as discriminatory customary**  
39 **laws and norms reduce women’s capacity in supporting the sustainable use of land resources (*medium***  
40 ***confidence*). Therefore, acknowledging women’s land rights and bringing women’s land management**  
41 **knowledge into land-related decision-making would support the alleviation of land degradation, and**  
42 **facilitate the take-up of integrated adaptation and mitigation measures (*medium confidence*). { 1.5.1, 1.5.2 }**

43 **Regional and country specific contexts affect the capacity to respond to climate change and its**  
44 **impacts, through adaptation and mitigation (*high confidence*). There is large variability in the**

1 availability and use of land resources between regions, countries and land-management systems. In  
2 addition, differences in socio-economic conditions, such as wealth, degree of industrialisation, institutions  
3 and governance, affect the capacity to respond to climate change, food insecurity, land degradation and  
4 desertification. The capacity to respond is also strongly affected by local land ownership. Hence, climate  
5 change will affect regions and communities differently (*high confidence*). { 1.4, 1.5}

6 **Cross-scale, cross-sectoral and inclusive governance can enable coordinated policy that supports**  
7 **effective adaptation and mitigation (*high confidence*).** There is a lack of coordination across governance  
8 levels, for example, local, national, transboundary and international, in addressing climate change and  
9 sustainable land management challenges. Policy design and formulation is often strongly sectoral, which  
10 poses further barriers when integrating international decisions into relevant (sub)national policies. A  
11 portfolio of policy instruments that are inclusive of the diversity of governance actors would enable  
12 responses to complex land and climate challenges (*high confidence*). Inclusive governance that considers  
13 women's and indigenous people's rights to access and use land enhances the equitable sharing of land  
14 resources, fosters food security and increases the existing knowledge about land use, which can increase  
15 opportunities for adaptation and mitigation (*medium confidence*). { 1.4.5, 1.5.1, 1.5.2, 1.5.3}

16 **Scenarios and models are important tools to explore the trade-offs and co-benefits of land**  
17 **management decisions under uncertain futures (*high confidence*).** Participatory, co-creation processes  
18 with stakeholders can facilitate the use of scenarios in designing future sustainable development strategies  
19 (*medium confidence*). In addition to qualitative approaches, models are critical in quantifying scenarios, but  
20 uncertainties in models arise from, for example, differences in baseline datasets, land cover classes and  
21 modelling paradigms (*medium confidence*). Current scenario approaches are limited in quantifying time-  
22 dependent, policy and management decisions that can lead from today to desirable futures or visions.  
23 Advances in scenario analysis and modelling are needed to better account for full environmental costs and  
24 non-monetary values as part of human decision-making processes. { 1.3.2, Cross Chapter Box 1 in Chapter  
25 1}

## 26 **1.2 Introduction and scope of the report**

### 27 **1.2.1 Objectives and scope of the assessment**

28 Land, including its water bodies, provides the basis for our livelihoods through basic processes such as net  
29 primary production that fundamentally sustain the supply of food, bioenergy and freshwater, and the  
30 delivery of multiple other ecosystem services and biodiversity (Hoekstra and Wiedmann 2014; Mace et al.  
31 2012; Newbold et al. 2015; Runting et al. 2017; Isbell et al. 2017)(see Cross-Chapter Box 8: Ecosystem  
32 Services, Chapter 6). The annual value of the world's total terrestrial ecosystem services has been estimated  
33 to be about USD 75–85 trillion (in 2011 based on USD 2007 values)(Costanza et al. 2014). This equates  
34 approximately to the world's average GDP over the last 5 years (IMF 2018). Land also supports non-  
35 material ecosystem services such as cognitive and spiritual enrichment and aesthetic values (Hernández-  
36 Morcillo et al. 2013; Fish et al. 2016), intangible services that shape societies, cultures and human well-  
37 being. Exposure of people living in cities to (semi-)natural environments has been found to decrease  
38 mortality, cardiovascular disease and depression (Rook 2013; Terraube et al. 2017). Non-material and  
39 regulating ecosystem services have been found to decline globally and rapidly, often at the expense of  
40 increasing material services (Fischer et al. 2018; IPBES 2018a). Climate change will exacerbate  
41 diminishing land and freshwater resources, increase biodiversity loss, and will intensify societal  
42 vulnerabilities, especially in regions where economies are highly dependent on natural resources.  
43 Enhancing food security and reducing malnutrition, whilst also halting and reversing desertification and

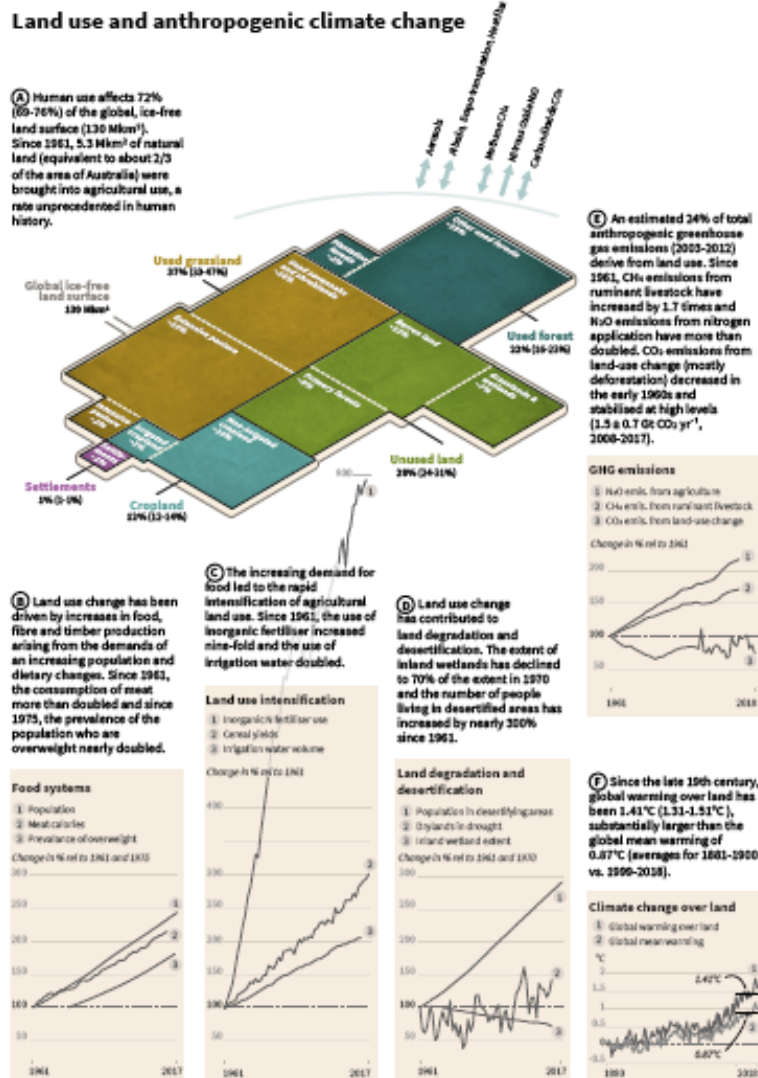
1 land degradation, are fundamental societal challenges that are increasingly aggravated by the need to both  
2 adapt to and mitigate climate change impacts without compromising the non-material benefits of land  
3 (Kongsager et al. 2016; FAO et al. 2018).

4 Annual emissions of greenhouse gases (GHGs) and other climate forcers continue to increase unabatedly.  
5 *Confidence* is *very high* that the window of opportunity, the period when significant change can be made,  
6 for limiting climate change within tolerable boundaries is rapidly narrowing (Schaeffer et al. 2015; Bertram  
7 et al. 2015; Riahi et al. 2015; Millar et al. 2017; Rogelj et al. 2018a). The Paris Agreement formulates the  
8 goal of limiting global warming this century well below 2°C above pre-industrial levels, for which rapid  
9 actions are required across the energy, transport, infrastructure and agricultural sectors, while factoring in  
10 the need for these sectors to accommodate a growing human population (Wynes and Nicholas 2017; Le  
11 Quere et al. 2018). Conversion of natural land, and land management, are significant net contributors to  
12 GHG emissions and climate change, but land ecosystems are also a GHG sink (Smith et al. 2014; Tubiello  
13 et al. 2015; Le Quere et al. 2018; Ciais et al. 2013a). It is not surprising, therefore, that land plays a  
14 prominent role in many of the Nationally Determined Contributions (NDCs) of the parties to the Paris  
15 Agreement (Rogelj et al. 2018a,b; Grassi et al. 2017; Forsell et al. 2016), and land-measures will be part of  
16 the NDC review by 2023.

17 A range of different climate change mitigation and adaptation options on land exist, which differ in terms  
18 of their environmental and societal implications (Meyfroidt 2018; Bonsch et al. 2016; Crist et al. 2017;  
19 Humpenoder et al. 2014; Harvey and Pilgrim 2011; Mouratiadou et al. 2016; Zhang et al. 2015; Sanz-  
20 Sanchez et al. 2017; Pereira et al. 2010; Griscom et al. 2017; Rogelj et al. 2018a)(see Chapters 4-6). The  
21 Special Report on climate change, desertification, land degradation, sustainable land management, food  
22 security, and GHG fluxes in terrestrial ecosystems (SRCCL) synthesises the current state of scientific  
23 knowledge on the issues specified in the report's title (see Figure 1.1, Figure 1.2). This knowledge is  
24 assessed in the context of the Paris Agreement, but many of the SRCCL issues concern other international  
25 conventions such as the United Nations Convention on Biodiversity (CBD), the UN Convention to Combat  
26 Desertification (CCD), the UN Sendai Framework for Disaster Risk Reduction (UNISDR) and the UN  
27 Agenda 2030 and its Sustainable Development Goals (SDGs). The SRCCL is the first report in which land  
28 is the central focus since the IPCC Special Report on land use, land-use change and forestry (Watson et al.  
29 2000)(see Box 1.1). The main objectives of the SRCCL are to:

- 30 1) Assess the current state of the scientific knowledge on the impacts of socio-economic drivers and their  
31 interactions with climate change on land, including degradation, desertification and food security;
- 32 2) Evaluate the feasibility of different land-based response options to GHG mitigation, and assess the  
33 potential synergies and trade-offs with ecosystem services and sustainable development;
- 34 3) Examine adaptation options under a changing climate to tackle land degradation and desertification and  
35 to build resilient food systems, as well as evaluating the synergies and trade-offs between mitigation and  
36 adaptation; and
- 37 4) Delineate the policy, governance and other enabling conditions to support climate mitigation, land  
38 ecosystem resilience and food security in the context of risks, uncertainties and remaining knowledge  
39 gaps.

40



1

2 **Figure 1.1 A representation of the principal land challenges and land-climate system processes covered in this**

3 **assessment report.** A. The tiles show the current extent (in about 2015) of the human use of the land surface,

4 aggregated into five broad land use and land cover categories with uncertainty ranges. Colour shading indicates

5 different intensities of human use (Table 1.1). B. Agricultural areas have increased to supply the increasing demand

6 for food arising from population growth, income growth and increasing consumption of animal-sourced products. The

7 proportion of the global population that is overweight (body mass index > 25 kg/m<sup>2</sup>) has increased markedly (section

8 5.1.2). Population density (*Source: United Nations, Department of Economic and Social Affairs 2017*). Meat calories

9 supplied (*Source: FAOSTAT 2018*) Prevalence of people overweight (*Source: Abarca-Gómez et al. 2017*)(5.1.2). C.

10 Increasing food production has led to rapid land use intensification, including increases in the use of nitrogen fertiliser

11 and irrigation water that have supported the growth in cereal yields (section 1.2). Change in cereal yield and irrigation

12 water use (*Source: FAOSTAT 2018*); Change in total inorganic nitrogen fertiliser consumption (*Source: International*

13 *Fertiliser Industry Association, <https://www.ifastat.org/databases>*). Note that the very large percentage change in

14 fertiliser use reflects the very low use in 1961. The increase relates to both increasing fertiliser input per area as well

15 as the expansion of fertilised cropland and grassland. D. Land use change has led to substantial losses in the extent of

16 inland wetlands (section 4.3.1, 4.7.1). Dryland areas are under increasing pressures both from the increasing number

17 of people living in these areas and from the increase in droughts (section 3.2.1). The inland wetland extent trends

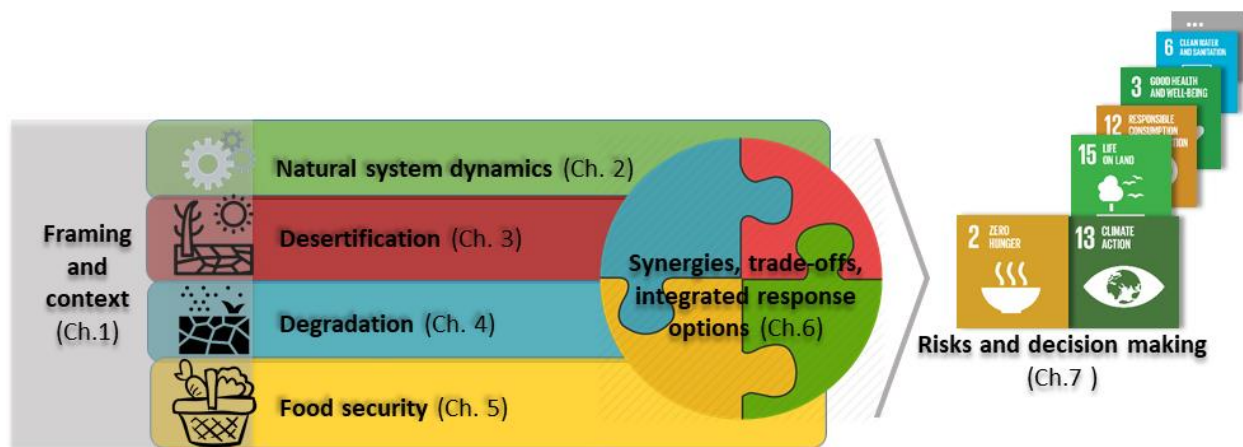
18 (WET) index was developed by aggregating data from 2130 time series that report changes in local wetland area over

19 time (Dixon et al. 2016; Darrah et al. 2019). Dryland areas were defined using TerraClimate precipitation and potential

20 evapotranspiration (1980–2015) (Abatzoglou et al. 2018) to identify areas where the Aridity Index is below 0.65. Areas

1 undergoing human caused desertification, after accounting for precipitation variability and CO<sub>2</sub> fertilisation, are  
 2 identified in (Le et al. 2016). Population data for these areas were extracted from the gridded historical population  
 3 database HYDE3.2 (Goldewijk et al. 2017). The 12-month accumulation Global Precipitation Climatology Centre  
 4 Drought Index (Ziese et al. 2014) was extracted for drylands. The area in drought was calculated for each month  
 5 (Drought Index below -1), and the mean over the year was used to calculate the percentage of drylands in drought that  
 6 year. E. Land use change and intensification have contributed to CH<sub>4</sub> emissions from ruminant livestock, agricultural  
 7 N<sub>2</sub>O emissions and CO<sub>2</sub> emissions from net deforestation [2.4]. Sources: N<sub>2</sub>O from agricultural activities and CH<sub>4</sub>  
 8 from enteric fermentation: Edgar database (<http://edgar.jrc.ec.europa.eu/overview.php?v=42FT2012>) from 1970.  
 9 From 1970 back to 1961, CH<sub>4</sub> and N<sub>2</sub>O were extrapolated using a regression with time, taken for the years 1970-1979  
 10 from Edgar. Net-land use change emissions of CO<sub>2</sub> are from the annual Global Carbon Budget, using the mean of two  
 11 bookkeeping models (Le Quéré et al. 2018). Chapter 2 (Section 2.3, 2.4) and Chapter 5 (Section 5.4) provides a  
 12 discussion of uncertainties and other emissions estimates. The various exchanges between the land surface and the  
 13 atmosphere, including the emission and uptake of greenhouse gases, exchanges related to the land-surface energy  
 14 balance and aerosols are indicated by arrows (section 2.2, 2.4, 2.5). Warming over land is more rapid than the global  
 15 mean temperature change (section 2.3). Future climate change will exacerbate the already considerable challenges  
 16 faced by land systems. The warming curves are averages of four historical estimates, and described in Section 2.2.

17 The SRCCL identifies and assesses land-related challenges and response-options in an integrative way,  
 18 aiming to be policy relevant across sectors. Chapter 1 provides a synopsis of the main issues addressed in  
 19 this report, which are explored in more detail in Chapters 2–7. Chapter 1 also introduces important concepts  
 20 and definitions and highlights discrepancies with previous reports that arise from different objectives (a full  
 21 set of definitions is provided in the Glossary). Chapter 2 focuses on the natural system dynamics, assessing  
 22 recent progress towards understanding the impacts of climate change on land, and the feedbacks arising  
 23 from altered biogeochemical and biophysical exchange fluxes (Figure 1.2).



24

25

**Figure 1.2 Overview over the SRCCL**

26 Chapter 3 examines how the world's dryland populations are uniquely vulnerable to desertification and  
 27 climate change, but also have significant knowledge in adapting to climate variability and addressing  
 28 desertification. Chapter 4 assesses the urgency of tackling land degradation across all land ecosystems.  
 29 Despite accelerating trends of land degradation, reversing these trends is attainable through restoration  
 30 efforts and proper implementation of sustainable land management (SLM), which is expected to improve  
 31 resilience to climate change, mitigate climate change, and ensure food security for generations to come.  
 32 Food security is the focus of Chapter 5, with an assessment of the risks and opportunities that climate  
 33 change presents to food systems, considering how mitigation and adaptation can contribute to both human  
 34 and planetary health.



1 Chapters 6 focuses on the response options within the land system that deal with trade-offs and increase  
2 benefits in an integrated way in support of the SDGs. Chapter 7 highlights these aspects further, by assessing  
3 the opportunities, decision making and policy responses to risks in the climate-land-human system.

### 5 **Box 1.1 Land in previous IPCC and other relevant reports**

6 Previous IPCC reports have made reference to land and its role in the climate system. Threats to agriculture  
7 forestry and other ecosystems, but also the role of land and forest management in climate change, have  
8 been documented since the IPCC Second Assessment Report, especially so in the Special report on land  
9 use, land-use change and forestry (Watson et al. 2000). The IPCC Special Report on Extreme events  
10 (SREX) discussed sustainable land management, including land use planning, and ecosystem management  
11 and restoration among the potential low-regret measures that provide benefits under current climate and a  
12 range of future, climate change scenarios. Low-regret measures are defined in the report as those with the  
13 potential to offer benefits now and lay the foundation for tackling future, projected change. Compared to  
14 previous IPCC reports, the SRCCL offers a more integrated analysis of the land system as it embraces  
15 multiple direct and indirect drivers of natural resource management (related to food, water and energy  
16 securities), which have not previously been addressed to a similar depth (Field et al. 2014a; Edenhofer et  
17 al. 2014).

18 The recent IPCC Special Report on Global Warming of 1.5°C (SR1.5) targeted specifically the Paris  
19 Agreement, without exploring the possibility of future global warming trajectories above 2°C (IPCC 2018).  
20 Limiting global warming to 1.5°C compared to 2°C is projected to lower the impacts on terrestrial,  
21 freshwater and coastal ecosystems and to retain more of their services for people. In many scenarios  
22 proposed in this report, large-scale land use features as a mitigation measure. In the reports of the Food and  
23 Agriculture Organisation (FAO), land degradation is discussed in relation to ecosystem goods and services,  
24 principally from a food security perspective (FAO and ITPS 2015). The UNCCD report (2014) discusses  
25 land degradation through the prism of desertification. It devotes due attention to how land management can  
26 contribute to reversing the negative impacts of desertification and land degradation. The IPBES assessments  
27 (2018a,b,c,d,e) focuses on biodiversity drivers, including a focus on land degradation and desertification,  
28 with poverty as a limiting factor. The reports draw attention to a world in peril in which resource scarcity  
29 conspires with drivers of biophysical and social vulnerability to derail the attainment of sustainable  
30 development goals. As discussed in chapter 4 of the SRCCL, different definitions of degradation have been  
31 applied in the IPBES degradation assessment (IPBES 2018b), which potentially can lead to different  
32 conclusions for restoration and ecosystem management.

33 The SRCCL complements and adds to previous assessments, whilst keeping the IPCC-specific “climate  
34 perspective”. It includes a focussed assessment of risks arising from maladaptation and land-based  
35 mitigation (i.e. not only restricted to direct risks from climate change impacts) and the co-benefits and trade-  
36 offs with sustainable development objectives. As the SRCCL cuts across different policy sectors it provides  
37 the opportunity to address a number of challenges in an integrative way at the same time, and it progresses  
38 beyond other IPCC reports in having a much more comprehensive perspective on land.

## 39 **1.2.2 Status and dynamics of the (global) land system**

### 40 **1.2.2.1 Land ecosystems and climate change**

41 Land ecosystems play a key role in the climate system, due to their large carbon pools and carbon exchange  
42 fluxes with the atmosphere (Ciais et al. 2013b). Land use, the total of arrangements, activities and inputs  
43 applied to a parcel of land (such as agriculture, grazing, timber extraction, conservation or city dwelling;

1 see glossary), and land management (sum of land-use practices that take place within broader land-use  
2 categories, see glossary) considerably alter terrestrial ecosystems and play a key role in the global climate  
3 system. An estimated one quarter of total anthropogenic GHG emissions arise mainly from deforestation,  
4 ruminant livestock and fertiliser application (Smith et al. 2014; Tubiello et al. 2015; Le Quere et al. 2018;  
5 Ciais et al. 2013a), and especially methane and nitrous oxide emissions from agriculture have been rapidly  
6 increasing over the last decades (Hoesly et al. 2018; Tian et al. 2019)(see Figure 1.1, see Section 2.4.2,  
7 2.4.3).

8 Globally, land also serves as a large carbon dioxide sink, which was estimated for the period 2008–2017 to  
9 be nearly 30% of total anthropogenic emissions (Le Quere et al. 2015; Canadell and Schulze 2014; Ciais et  
10 al. 2013a; Zhu et al. 2016)(see Section 2.4.1). This sink has been attributed to increasing atmospheric CO<sub>2</sub>  
11 concentration, a prolonged growing season in cool environments, or forest regrowth (Le Quéré et al. 2013;  
12 Pugh et al. 2019; Le Quéré et al. 2018; Ciais et al. 2013a; Zhu et al. 2016). Whether or not this sink will  
13 persist into the future is one of the largest uncertainties in carbon cycle and climate modelling (Ciais et al.  
14 2013a; Bloom et al. 2016; Friend et al. 2014; Le Quere et al. 2018). In addition, changes in vegetation cover  
15 caused by land use (such as conversion of forest to cropland or grassland, and vice versa) can result in  
16 regional cooling or warming through altered energy and momentum transfer between ecosystems and the  
17 atmosphere. Regional impacts can be substantial, but whether the effect leads to warming or cooling  
18 depends on the local context (Lee et al. 2011; Zhang et al. 2014; Alkama and Cescatti 2016; see Section  
19 2.6). Due to the current magnitude of GHG emissions and carbon dioxide removal in land ecosystems, there  
20 is *high confidence* that greenhouse-gas reduction measures in agriculture, livestock management and  
21 forestry would have substantial climate change mitigation potential with co-benefits for biodiversity and  
22 ecosystem services (Smith and Gregory 2013; Smith et al. 2014; Griscom et al. 2017; see Section 2.7,  
23 Section 6.4).

24 The mean temperature increase over land has been substantially larger than the global mean (land and  
25 ocean), averaging 1.41°C vs. 0.87°C for the years 1999–2018 compared with 1881–1900 (see Section 2.3).  
26 Climate change affects land ecosystems in various ways (see Section 7.3). Growing seasons and natural  
27 biome boundaries shift in response to warming or changes in precipitation (Gonzalez et al. 2010; Wärlind  
28 et al. 2014; Davies-Barnard et al. 2015; Nakamura et al. 2017). Atmospheric CO<sub>2</sub> increases have been  
29 attributed to underlie, at least partially, observed woody plant cover increase in grasslands and savannahs  
30 (Donohue et al. 2013). Climate change-induced shifts in habitats, together with warmer temperatures,  
31 causes pressure on plants and animals (Pimm et al. 2014; Urban et al. 2016). National cereal crop losses of  
32 nearly 10% have been estimated for the period 1964–2007 as a consequence of heat and drought weather  
33 extremes (Deryng et al. 2014; Lesk et al. 2016). Climate change is expected to reduce yields in areas that  
34 are already under heat and water stress (Schlenker and Lobell 2010; Lobell et al. 2011, 2012; Challinor et  
35 al. 2014; see Section 5.2.2). At the same time, warmer temperatures can increase productivity in cooler  
36 regions (Moore and Lobell 2015) and might open opportunities for crop area expansion, but any overall  
37 benefits might be counterbalanced by reduced suitability in warmer regions (Pugh et al. 2016; Di Paola et  
38 al. 2018). Increasing atmospheric CO<sub>2</sub> is expected to increase productivity and water use efficiency in crops  
39 and in forests (Muller et al. 2015; Nakamura et al. 2017; Kimball 2016). The increasing number of extreme  
40 weather events linked to climate change is also expected to result in forest losses; heat waves and droughts  
41 foster wildfires (Seidl et al. 2017; Fasullo et al. 2018; see Cross-Chapter Box 3: Fire and Climate Change,  
42 Chapter 2). Episodes of observed enhanced tree mortality across many world regions have been attributed  
43 to heat and drought stress (Allen et al. 2010; Anderegg et al. 2012), whilst weather extremes also impact  
44 local infrastructure and hence transportation and trade in land-related goods (Schweikert et al. 2014;

1 Chappin and van der Lei 2014). Thus, adaptation is a key challenge to reduce adverse impacts on land  
2 systems (see Section 1.4.6).

### 3 **1.2.2.2 Current patterns of land use and land cover**

4 Around three quarters of the global ice-free land, and most of the highly-productive land area, are by now  
5 under some form of land use (Erb et al. 2016a; Luysaert et al. 2014; Venter et al. 2016; see Table 1.1).  
6 One third of used land is associated with changed land cover. Grazing land is the single largest land-use  
7 category, followed by used forestland and cropland. The total land area used to raise livestock is notable: it  
8 includes all grazing land and an estimated additional one fifth of cropland for feed production (Foley et al.  
9 2011). Globally, 60–85% of the total forested area is used, at different levels of intensity, but information  
10 on management practices globally are scarce (Erb et al. 2016a). Large areas of unused (primary) forests  
11 remain only in the tropics and northern boreal zones (Luysaert et al. 2014; Birdsey and Pan 2015; Morales-  
12 Hidalgo et al. 2015; Potapov et al. 2017; Erb et al. 2017), while 73–89% of other, non-forested natural  
13 ecosystems (natural grasslands, savannas, etc.) are used. Large uncertainties relate to the extent of forest  
14 (26.3–43.4 million km<sup>2</sup>) and grazing land (39–62 million km<sup>2</sup>), due to discrepancies in definitions and  
15 observation methods (Luysaert et al. 2014; Erb et al. 2017; Putz and Redford 2010; Schepaschenko et al.  
16 2015; Birdsey and Pan 2015; FAO 2015a; Chazdon et al. 2016a; FAO 2018a). Infrastructure areas  
17 (including settlements, transportation and mining), while being almost negligible in terms of extent,  
18 represent particularly pervasive land-use activities, with far-reaching ecological, social and economic  
19 implications (Cherlet et al. 2018; Laurance et al. 2014).

20 The large imprint of humans on the land surface has led to the definition of anthromes, i.e. large-scale  
21 ecological patterns created by the sustained interactions between social and ecological drivers. The  
22 dynamics of these ‘anthropogenic biomes’ are key for land-use impacts as well as for the design of  
23 integrated response options (Ellis and Ramankutty 2008; Ellis et al. 2010; Cherlet et al. 2018; Ellis et al.  
24 2010, see Chapter 6).

25 The intensity of land use varies hugely within and among different land use types and regions. Averaged  
26 globally, around 10% of the ice-free land surface was estimated to be intensively managed (such as tree  
27 plantations, high livestock density grazing, large agricultural inputs), two thirds moderately and the  
28 remainder at low intensities (Erb et al. 2016a). Practically all cropland is fertilised, with large regional  
29 variations. Irrigation is responsible for 70% of ground- or surface-water withdrawals by humans (Wisser et  
30 al. 2008; Chaturvedi et al. 2015; Siebert et al. 2015; FAOSTAT 2018). Humans appropriate one quarter to  
31 one third of the total potential net primary production, i.e. the NPP that would prevail in the absence of land  
32 use (estimated at about 60 GtC yr<sup>-1</sup>; Bajželj et al. 2014; Haberl et al. 2014), about equally through biomass  
33 harvest and changes in NPP due to land management. The current total of agricultural (cropland and  
34 grazing) biomass harvest is estimated at about 6 GtC yr<sup>-1</sup>, around 50–60% of this is consumed by livestock.  
35 Forestry harvest for timber and wood fuel amounts to about 1 GtC yr<sup>-1</sup> (Alexander et al. 2017; Bodirsky  
36 and Müller 2014; Lassaletta et al. 2014, 2016; Mottet et al. 2017; Haberl et al. 2014; Smith et al. 2014; Bais  
37 et al. 2015; Bajželj et al. 2014)(see Cross-Chapter Box 7: Bioenergy and BECCS, Chapter 6).

38 **Table 1.1 Extent of global land use and management around the year 2015**

	Best guess	Range	Range	Type	Ref.
	[ million km <sup>2</sup> ]		[% of total]		
Total	130.4		100%		
USED LAND	92.6	90.0-99.3	71%	69-76%	
<b>Infrastructure (Settlements, mining, etc.)</b>	<b>1.4</b>	<b>1.2-1.9</b>	<b>1%</b>	<b>LCC</b>	<b>1,2,3,4,5,6</b>

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<b>Cropland</b>	<b>15.9</b>	<b>15.9-18.8</b>	<b>12%</b>	<b>12-14%</b>		<b>1,7</b>
irrigated cropland	3.1		2%		LCC	8
non-irrigated cropland	12.8	12.8-15.7	10%		LCC	8
<b>Grazing land</b>	<b>48.0</b>	<b>38.8-61.9</b>	<b>37%</b>	<b>30-47%</b>		
<b>Permanent pastures</b>	<b>27.1</b>	<b>22.8-32.8</b>	<b>21%</b>	<b>17-25%</b>		<b>5,7,8</b>
Intensive permanent pastures*	2.6		2%		LCC	8,9
Extensive perm. pastures, on potential forest sites**	8.7		7%		LCC	9
Extensive perm. pastures, on natural grasslands**	15.8	11.5-21.56	12%	9-16%	LM	
<b>Non-forested, usedland, multiple uses<sup>§</sup></b>	<b>20.1</b>	<b>6.1-39.1</b>	<b>16%</b>	<b>5-30%</b>	<b>LM</b>	
<b>Used forests<sup>#</sup></b>	<b>28.1</b>	<b>20.3-30.5</b>	<b>22%</b>	<b>16-23%</b>		<b>10,11,12</b>
Planted forests	2.9		2%		LCC	12
Managed for timber and other uses	25.2	17.4-27.6	19%	13-21%	LM	12
<b>UNUSED LAND</b>	<b>37.0</b>	<b>31.1-40.4</b>	<b>28%</b>	<b>24-31%</b>		<b>5,11,13</b>
Unused, unforested ecosystems, including grasslands and wetlands	9.4	5.9-10.4	7%	5-8%		1,13
Unused forests (intact or primary forests)	12.0	11.7-12.0	9%			11,12
Other land (barren wilderness, rocks, etc.)	15.6	13.5-18.0	12%	10-14%		4,5,13,14
Land-cover conversions (sum of LCC)	31.5	31.3-34.9	24%	24-27%		
Land-use occurring within natural land-cover types (sum of LM)	61.1	55.1-68.0	47%	42-52%		

1 \* >100 animals/km<sup>2</sup>

2 \*\* <100 animals/km<sup>2</sup>, residual category within permanent pastures

3 § Calculated as residual category. Contains land not classified as forests or cropland, such as savanna and tundra used  
4 as rangelands, with extensive uses like seasonal, rough grazing, hunting, fuelwood collection outside forests, wild  
5 products harvesting, etc.

6 # used forest calculated as total forest minus unused forests

7 **Note:** This table is based on data and approaches described in Lambin and Meyfroidt (2011,2014); Luysaert et al.  
8 (2014); Erb et al. (2016a), and references below. The target year for data is 2015, but proportions of some  
9 subcategories are from 2000 (the year with still most reconciled datasets available) and their relative extent was applied  
10 to some broad land use categories for 2015. Sources: Settlements (1): (Luysaert et al. 2014); (2) (Lambin and  
11 Meyfroidt 2014); (3) Global Human Settlements dataset, <https://ghsl.jrc.ec.europa.eu/>. Total infrastructure including  
12 transportation (4) (Erb et al. 2007); (5) (Stadler et al. 2018); mining (6) (Cherlet et al. 2018); (7) (FAOSTAT 2018);  
13 (8) proportions from (Erb et al. 2016a); (9) (Ramankutty et al. 2008) extrapolated from 2000 to 2010 trend for  
14 permanent pastures from (7); (9) (Erb et al. 2017); (10) (Schepaschenko et al. 2015); (11) (Potapov et al. 2017); (12)  
15 (FAO 2015a); (13) (Venter et al. 2016); (14) (Ellis et al. 2010)

### 16 1.2.2.3 Past and ongoing trends

17 Globally, cropland area changed by +15% and the area of permanent pastures by +8% since the early 1960s  
18 (FAOSTAT 2018), with strong regional differences (Figure 1.3). In contrast, cropland production since  
19 1961 increased by about 3.5 times, the production of animal products by 2.5 times, and forestry by 1.5  
20 times; in parallel with strong yield (production per unit area) increases (FAOSTAT 2018; Figure 1.3). Per  
21 capita calorie supply increased by 17% (since 1970; Kastner et al. 2012), and diet composition changed  
22 markedly, tightly associated with economic development and lifestyle: Since the early 1960s, per capita  
23 dairy product consumption increased by a factor 1.2, and meat and vegetable oil consumption more than  
24 doubled (FAO 2017, 2018b; Tilman and Clark 2014; Marques et al. 2019). Population and livestock  
25 production represent key drivers of the global expansion of cropland for food production, only partly

1 compensated by yield increases at the global level (Alexander et al. 2015). A number of studies have  
2 reported reduced growth rates or stagnation in yields in some regions in the last decades (*medium evidence*,  
3 *high agreement*; Lin and Huybers 2012; Ray et al. 2012; Elbehri, Aziz, Joshua Elliott 2015; see Section  
4 5.2.2).

5 The past increases in agricultural production have been associated with strong increases in agricultural  
6 inputs (Foley et al. 2011; Siebert et al. 2015; Lassaletta et al. 2016; Figure 1.1, Figure 1.3). Irrigation area  
7 doubled, total nitrogen fertiliser use increased 9 times (FAOSTAT 2018; IFASTAT 2018) since the early  
8 1960s. Biomass trade volumes grew by a factor of nine (in tons dry matter yr<sup>-1</sup>) in this period, which is  
9 much stronger than production (FAOSTAT 2018), resulting in a growing spatial disconnect between  
10 regions of production and consumption (Friis et al. 2016; Friis and Nielsen 2017; Schröter et al. 2018; Liu  
11 et al. 2013; Krausmann and Langthaler 2019). Urban and other infrastructure areas expanded by a factor 2  
12 since 1960 (Krausmann et al. 2013), resulting in disproportionately large losses of highly-fertile cropland  
13 (Seto and Reenberg 2014; Martellozzo et al. 2015; Bren d'Amour et al. 2016; Seto and Ramankutty 2016;  
14 van Vliet et al. 2017). World regions show distinct patterns of change (Figure 1.3).

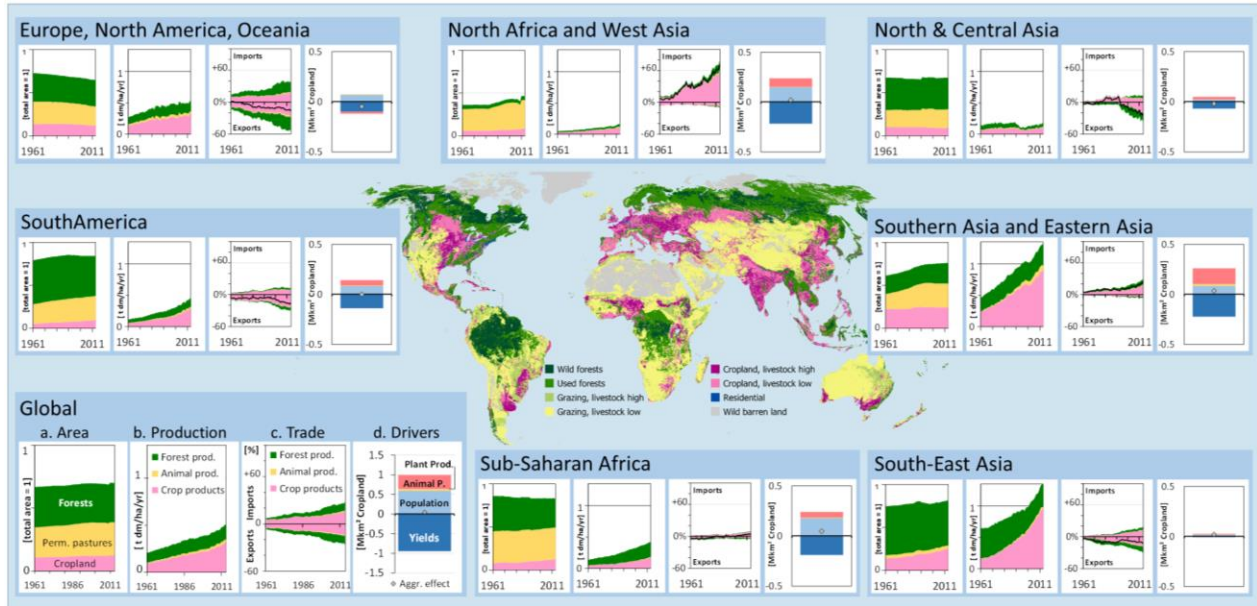
15 While most pastureland expansion replaced natural grasslands, cropland expansion replaced mainly forests  
16 (Ramankutty et al. 2018; Ordway et al. 2017; Richards and Friess 2016). Noteworthy large conversions  
17 occurred in tropical dry woodlands and savannahs, for example, in the Brazilian Cerrado (Lehmann and  
18 Parr 2016; Strassburg et al. 2017), the South-American Caatinga and Chaco regions (Parr et al. 2014;  
19 Lehmann and Parr 2016) or African savannahs (Ryan et al. 2016). More than half of the original 4.3–12.6  
20 million km<sup>2</sup> global wetlands (Erb et al. 2016a; Davidson 2014; Dixon et al. 2016) have been drained; since  
21 1970 the wetland extend index, developed by aggregating data field-site time series that report changes in  
22 local wetland area indicate a decline by > 30% (Figure 1.1, see Section 4.3.1, Darrah et al. 2019). Likewise,  
23 one third of the estimated global area that in a non-used state would be covered in forests (Erb et al. 2017)  
24 has been converted to agriculture.

25 Global forest area declined by 3% since 1990 (about -5% since 1960) and continues to do so (FAO 2015a;  
26 Keenan et al. 2015; MacDicken et al. 2015; FAO 1963; Figure 1.1), but uncertainties are large. *Low*  
27 *agreement* relates to the concomitant trend of global tree-cover. Some remote-sensing based assessments  
28 show global net-losses of forest or tree cover (Li et al. 2016; Nowosad et al. 2018; Hansen et al. 2013),  
29 others indicate a net gain (Song et al. 2018). Tree-cover gains would be in line with observed and modelled  
30 increases in photosynthetic active tissues (“greening”; Chen et al. 2019; Zhu et al. 2016; Zhao et al. 2018;  
31 de Jong et al. 2013; Pugh et al. 2019; De Kauwe et al. 2016; Kolby Smith et al. 2015; see Box 2.3 in Chapter  
32 2), but *confidence* remains *low* whether gross forest or tree cover gains are as large, or larger, than losses.  
33 This uncertainty, together with poor information on forest management, affects estimates and attribution of  
34 the land carbon sink (see Section 2.4, 4.4, 4.7). Discrepancies are caused by different classification schemes  
35 and applied thresholds (e.g., minimum tree height and tree cover thresholds used to define a forest), the  
36 divergence of forest and tree cover, and differences in methods and spatiotemporal resolution (Keenan et  
37 al. 2015; Schepaschenko et al. 2015; Bastin et al. 2017; Sloan and Sayer 2015; Chazdon et al. 2016a; Achard  
38 et al. 2014). However, there is *robust evidence and high agreement* that a net loss of forest and tree cover  
39 prevails in the tropics and a net-gain, mainly of secondary, semi-natural and planted, forests, in the  
40 temperate and boreal zones.

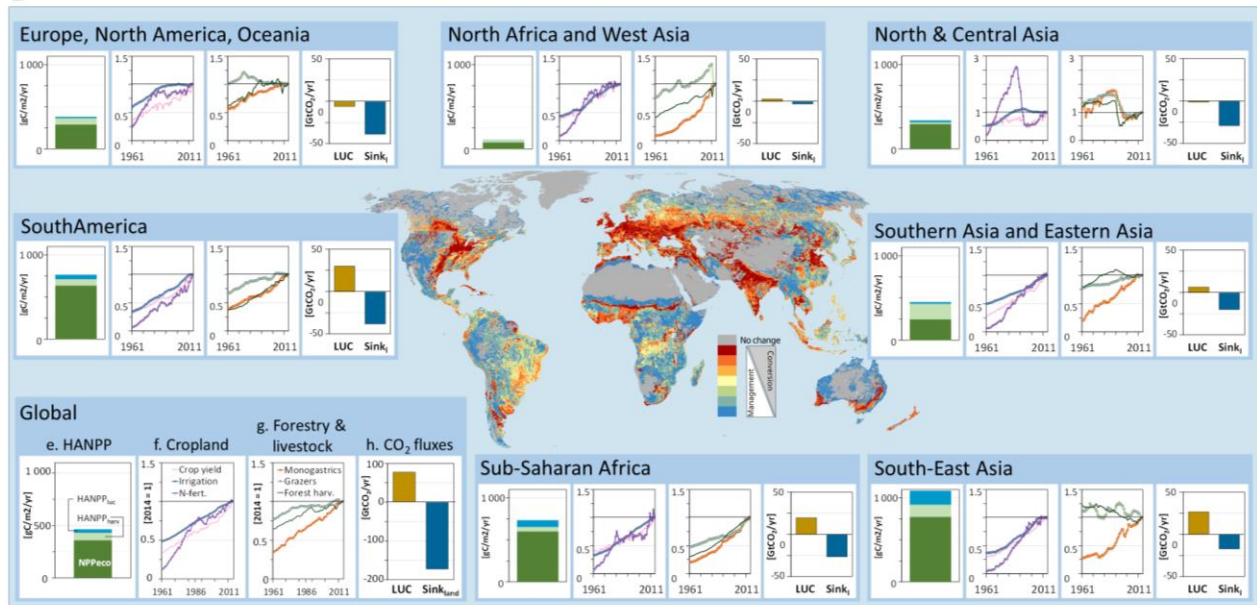
41 The observed regional and global historical land-use trends result in regionally distinct patterns of C fluxes  
42 between land and the atmosphere (Figure 1.3B). They are also associated with declines in biodiversity, far  
43 above background rates (Ceballos et al. 2015; De Vos et al. 2015; Pimm et al. 2014; Newbold et al. 2015;  
44 Maxwell et al. 2016; Marques et al. 2019). Biodiversity losses from past global land-use change have been

1 estimated to be about 8–14%, depending on the biodiversity indicator applied (Newbold et al. 2015; Wilting  
 2 et al. 2017; Gossner et al. 2016; Newbold et al. 2018; Paillet et al. 2010). In future, climate warming has  
 3 been projected to accelerate losses of species diversity rapidly (Settele et al. 2014; Urban et al. 2016;  
 4 Scholes et al. 2018; Fischer et al. 2018; Hoegh-Guldberg et al. 2018). The concomitance of land-use and  
 5 climate-change pressures render ecosystem restoration a key challenge (Anderson-Teixeira 2018; Yang et  
 6 al. 2019; see Section 4.9, 4.10).

A



B



7  
 8 **Figure 1.3 Status and trends in the global land system. A. Trends in area, production and trade, and drivers of**  
 9 **change. The map shows the global pattern of land systems (combination of maps Nachtergaele (2008); Ellis et**  
 10 **al. (2010); Potapov et al. (2017); FAO’s Animal Production and Health Division (2018); livestock low/high**  
 11 **relates to low or high livestock density, respectively). The inlay figures show, for the globe and 7 world regions,**  
 12 **from left to right: (a) Cropland, permanent pastures and forest (used and unused) areas, standardised to total**



1 **land area, (b) production in dry matter per year per total land area, (c) trade in dry matter in percent of total**  
 2 **domestic production, all for 1961 to 2014 (data from FAOSTAT (2018) and FAO (1963) for forest area 1961).**  
 3 **(d) drivers of cropland for food production between 1994 and 2011 (Alexander et al. 2015). See panel “global”**  
 4 **for legend. “Plant Produc., Animal P.”: changes in consumption of plant-based products and animal-products,**  
 5 **respectively. B. Selected land-use pressures and impacts. The map shows the ratio between impacts on biomass**  
 6 **stocks of land cover conversions and of land management (changes that occur with land cover types; only**  
 7 **changes larger than 30 gCm<sup>-2</sup> displayed; Erb et al. 2017), compared to the biomass stocks of the potential**  
 8 **vegetation (vegetation that would prevail in the absence of land use, but with current climate). The inlay figures**  
 9 **show, from left to right (e) the global Human Appropriation of Net Primary production (HANPP) in the year**  
 10 **2005, in gCm<sup>-2</sup>yr<sup>-1</sup> (Krausmann et al. 2013). The sum of the three components represents the NPP of the**  
 11 **potential vegetation and consist of: (i) NPP<sub>eco</sub>, i.e. the amount of NPP remaining in ecosystem after harvest, (ii)**  
 12 **HANPP<sub>harv</sub>, i.e. NPP harvested or killed during harvest, and (iii) HANPP<sub>luc</sub>, i.e. NPP foregone due to land-use**  
 13 **change. The sum of NPP<sub>eco</sub> and HANPP<sub>harv</sub> is the NPP of the actual vegetation (Haberl et al. 2014; Krausmann**  
 14 **et al. 2013). The two central inlay figures show changes in land-use intensity, standardised to 2014, related to**  
 15 **(f) cropland (yields, fertilisation, irrigated area) and (g) forestry harvest per forest area, and grazers and**  
 16 **monogastric livestock density per agricultural area (FAOSTAT 2018). (h) Cumulative CO<sub>2</sub> fluxes between land**  
 17 **and the atmosphere between 2000 and 2014. LUC: annual CO<sub>2</sub> land use flux due to changes in land cover and**  
 18 **forest management; Sink<sub>land</sub>: the annual CO<sub>2</sub> land sink caused mainly by the indirect anthropogenic effects of**  
 19 **environmental change (e.g. climate change and the fertilising effects of rising CO<sub>2</sub> and N concentrations),**  
 20 **excluding impacts of land-use change (Le Quéré et al. 2018; see Section 2.4).**

## 21 **1.3 Key challenges related to land use change**

### 22 **1.3.1 Land system change, land degradation, desertification and food security**

#### 23 *1.3.1.1 Future trends in the global land system*

24 Human population is projected to increase to nearly 9.8 (± 1) billion people by 2050 and 11.2 billion by  
 25 2100 (United Nations 2018). More people, a growing global middle class (Crist et al. 2017), economic  
 26 growth, and continued urbanisation (Jiang and O’Neill 2017) increase the pressures on expanding crop and  
 27 pasture area and intensifying land management. Changes in diets, efficiency and technology could reduce  
 28 these pressures (Billen et al. 2015; Popp et al. 2016; Muller et al. 2017; Alexander et al. 2015; Springmann  
 29 et al. 2018; Myers et al. 2017; Erb et al. 2016c; FAO 2018b; see Section 5.3, Section 6.3.2).

30 Given the large uncertainties underlying the many drivers of land use, as well as their complex relation to  
 31 climate change and other biophysical constraints, future trends in the global land system are explored in  
 32 scenarios and models that seek to span across these uncertainties (see Cross-Chapter Box 1: Scenarios, in  
 33 this Chapter). Generally, these scenarios indicate a continued increase in global food demand, owing to  
 34 population growth and increasing wealth. The associated land area needs are a key uncertainty, a function  
 35 of the interplay between production, consumption, yields, and production efficiency (in particular for  
 36 livestock and waste) (FAO 2018b; van Vuuren et al. 2017; Springmann et al. 2018; Riahi et al. 2017; Prestek  
 37 et al. 2016; Ramankutty et al. 2018; Erb et al. 2016b; Popp et al. 2016; see 1.4 and Cross-Chapter Box 1:  
 38 Scenarios, in this Chapter). Many factors, such as climate change, local contexts, education, human and  
 39 social capital, policy-making, economic framework conditions, energy availability, degradation, and many  
 40 more, affect this interplay, as discussed in all chapters of this report.

41 Global telecouplings in the land system, the distal connections and multidirectional flows between regions  
 42 and land systems, are expected to increase, due to urbanisation (Seto et al. 2012; van Vliet et al. 2017; Jiang  
 43 and O’Neill 2017; Friis et al. 2016), and international trade (Konar et al. 2016; Erb et al. 2016b; Billen et  
 44 al. 2015; Lassaletta et al. 2016). Telecoupling can support efficiency gains in production, but can also lead  
 45 to complex cause-effect chains and indirect effects such as land competition or leakage (displacement of  
 46 the environmental impacts, see glossary), with governance challenges (Baldos and Hertel 2015; Kastner et

1 al. 2014; Liu et al. 2013; Wood et al. 2018; Schröter et al. 2018; Lapola et al. 2010; Jadin et al. 2016; Erb  
2 et al. 2016b; Billen et al. 2015; Chaudhary and Kastner 2016; Marques et al. 2019; Seto and Ramankutty  
3 2016; see Section 1.3.1.5). Furthermore, urban growth is anticipated to occur at the expense of fertile  
4 (crop)land, posing a food security challenge, in particular in regions of high population density and  
5 agrarian-dominated economies, with limited capacity to compensate for these losses (Seto et al. 2012;  
6 Güneralp et al. 2013; Aronson et al. 2014; Martellozzo et al. 2015; Bren d'Amour et al. 2016; Seto and  
7 Ramankutty 2016; van Vliet et al. 2017).

8 Future climate change and increasing atmospheric CO<sub>2</sub> concentration are expected to accentuate existing  
9 challenges by, for example, shifting biomes or affecting crop yields (Baldos and Hertel 2015; Schlenker  
10 and Lobell 2010; Lipper et al. 2014; Challinor et al. 2014; Myers et al. 2017; see Section 5.2.2), as well  
11 as through land-based, climate change mitigation. There is *high confidence* that large-scale implementation  
12 of bioenergy or afforestation can further exacerbate existing challenges (Smith et al. 2016; see also Section  
13 1.4.1 and Cross-chapter box 7 on bioenergy in Chapter 6).

#### 14 **1.3.1.2 Land Degradation**

15 As discussed in Chapter 4, the concept of land degradation, including its definition, has been used in  
16 different ways in different communities and in previous assessments (such as the IPBES Land degradation  
17 and restoration assessment). In the SRCCL, land degradation is defined as a *negative trend in land*  
18 *condition, caused by direct or indirect human-induced processes including anthropogenic climate change,*  
19 *expressed as long-term reduction or loss of at least one of the following: biological productivity, ecological*  
20 *integrity or value to humans.* This definition applies to forest and non-forest land (see Chapter 4 and  
21 Glossary).

22 Land degradation is a critical issue for ecosystems around the world due to the loss of actual or potential  
23 productivity or utility (Ravi et al. 2010; Mirzabaev et al. 2015; FAO and ITPS 2015; Cerretelli et al. 2018).  
24 Land degradation is driven to a large degree by unsustainable agriculture and forestry, socioeconomic  
25 pressures, such as rapid urbanisation and population growth, and unsustainable production practices in  
26 combination with climatic factors (Field et al. 2014b; Lal 2009; Beinroth, F. H., Eswaran, H., Reich, P. F.  
27 and Van Den Berg 1994; Abu Hammad and Tumeizi 2012; Ferreira et al. 2018; Franco and Giannini 2005;  
28 Abahussain et al. 2002).

29 Global estimates of the total degraded area (excluding deserted area) vary from less than 10 million km<sup>2</sup>  
30 to over 60 million km<sup>2</sup>, with additionally large disagreement regarding the spatial distribution (Gibbs and  
31 Salmon 2015; see Section 4.4). The annual increase in the degraded land area has been estimated as 50,000–  
32 10,000 million km<sup>2</sup> yr<sup>-1</sup> (Stavi and Lal 2015), and the loss of total ecosystem services equivalent to about  
33 10% of the world's GDP in the year 2010 (Sutton et al. 2016). Although land degradation is a common risk  
34 across the globe, poor countries remain most vulnerable to its impacts. Soil degradation is of particular  
35 concern, due to the long period necessary to restore soils (Lal 2009; Stockmann et al. 2013; Lal 2015), as  
36 well as the rapid degradation of primary forests through fragmentation (Haddad et al. 2015). Among the  
37 most vulnerable ecosystems to degradation are high carbon stock wetlands (including peatlands). Drainage  
38 of natural wetlands for use in agriculture leads to high CO<sub>2</sub> emissions and degradation (*high confidence*)  
39 (Strack 2008; Limpens et al. 2008; Aich et al. 2014; Murdiyarsa et al. 2015; Kauffman et al. 2016; Dohong  
40 et al. 2017; Arifanti et al. 2018; Evans et al. 2019). Land degradation is an important factor contributing to  
41 uncertainties in the mitigation potential of land-based ecosystems (Smith et al. 2014). Furthermore,  
42 degradation that reduces forest (and agricultural) biomass and soil organic carbon leads to higher rates of  
43 runoff (*high confidence*) (Molina et al. 2007; Valentin et al. 2008; Mateos et al. 2017; Noordwijk et al.



1 2017) and hence to increasing flood risk (*low confidence*) (Bradshaw et al. 2007; Laurance 2007; van Dijk  
2 et al. 2009).

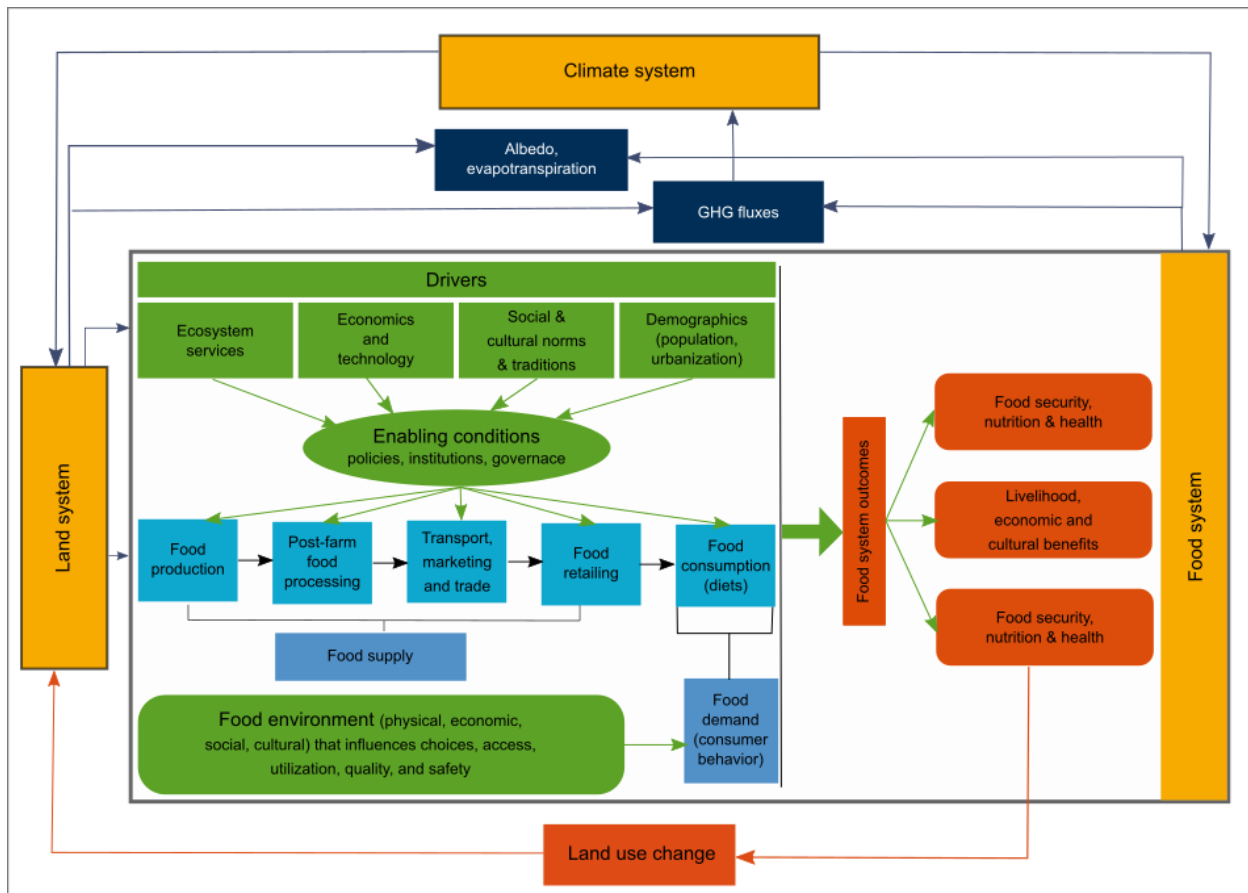
### 3 **1.3.1.3 Desertification**

4 The SRCCL adopts the definition of the UNCCD of desertification being land degradation in arid, semi-  
5 arid and dry sub-humid areas (drylands) (see glossary, and Section 3.2.1). Desertification results from  
6 various factors, including climate variations and human activities, and is not limited to irreversible forms  
7 of land degradation (Tal 2010)(Bai et al. 2008). A critical challenge in the assessment of desertification is  
8 to identify a “non-desertified” reference state (Bestelmeyer et al. 2015). While climatic trends and  
9 variability can change the intensity of desertification processes, some authors exclude climate effects,  
10 arguing that desertification is a purely human-induced process of land degradation with different levels of  
11 severity and consequences (Sivakumar 2007).

12 As a consequence of varying definitions and different methodologies, the area of desertification varies  
13 widely (see (D’Odorico et al. 2013; Bestelmeyer et al. 2015), and references therein). Arid regions of the  
14 world cover up to about 46% of the total terrestrial surface (about 60 million km<sup>2</sup>; Pravalie 2016; Koutroulis  
15 2019). Around 3 billion people reside in dryland regions (D’Odorico et al. 2013; Maestre et al. 2016; see  
16 Section 3.2.1), and the number of people living in areas affected by desertification has been estimated as >  
17 630 million, compared to 211 million in the early 1960s (see Fig. 1.1, see Section 3.2.1). The combination  
18 of low rainfall with frequently infertile soils renders these regions, and the people who rely on them,  
19 vulnerable to both climate change, and unsustainable land management (*high confidence*). In spite of the  
20 national, regional and international efforts to combat desertification, it remains one of the major  
21 environmental problems (Abahussain et al. 2002; Cherlet et al. 2018).

### 22 **1.3.1.4 Food security, food systems and linkages to land-based ecosystems**

23 The High Level Panel of Experts of the Committee on Food Security define the food system as to “gather  
24 all the elements (environment, people, inputs, processes, infrastructures, institutions, etc.) and activities  
25 that relate to the production, processing, distribution, preparation and consumption of food, and the output  
26 of these activities, including socio-economic and environmental outcomes” (HLPE 2017). Likewise, food  
27 security has been defined as “a situation that exists when all people, at all times, have physical, social and  
28 economic access to sufficient, safe and nutritious food that meets their dietary needs and food preferences  
29 for an active and healthy life “ (FAO 2017). By this definition, food security is characterised by food  
30 availability, economic and physical access to food, food utilisation and food stability over time. Food and  
31 nutrition security is one of the key outcomes of the food system (FAO 2018b; Figure 1.4).



1  
2 **Figure 1.4 Food system (and its relations to land and climate):** The food system is conceptualised through supply  
3 (production, processing, marketing and retailing) and demand (consumption and diets) that are shaped by  
4 physical, economic, social and cultural determinants influencing choices, access, utilisation, quality, safety and  
5 waste. Food system drivers (ecosystem services, economics and technology, social and cultural norms and  
6 traditions, and demographics) combine with the enabling conditions (policies, institutions and governance) to  
7 affect food system outcomes including food security, nutrition and health, livelihoods, economic and cultural  
8 benefits as well as environmental outcomes or side-effects (nutrient and soil loss, water use and quality, GHG  
9 emissions and other pollutants). Climate and climate change has direct impact on the food system (productivity,  
10 variability, nutritional quality) while the latter contribute to local climate (albedo, evapotranspiration) and  
11 global warming (GHGs). The land system (function, structures, and processes) affect the food system directly  
12 (food production) and indirectly (ecosystem services) while food demand and supply processes affect land (land  
13 use change) and land-related processes (e.g., land degradation, desertification) (see chapter 5).

14 After a prolonged decline, world hunger appears to be on the rise again with the number of undernourished  
15 people having increased to an estimated 821 million in 2017, up from 804 million in 2016 and 784 million  
16 in 2015, although still below the 900 million reported in 2000 (FAO et al. 2018; see Section 5.1.2). Of the  
17 total undernourished in 2018, lived, for example, 256.5 million in Africa, and 515.1 million in Asia  
18 (excluding Japan). The same report also states that child undernourishment continues to decline, but levels  
19 of overweight populations and obesity are increasing. The total number of overweight children in 2017 was  
20 38-40 million worldwide, and globally up to around two billion adults are by now overweight (see Section  
21 5.1.2). FAO also estimated that close to 2000 million people suffer from micronutrient malnutrition (FAO  
22 2018b).

23 Food insecurity most notably occurs in situations of conflict and conflict combined with droughts or floods  
24 (Cafiero et al. 2018; Smith et al. 2017). The close parallel between food insecurity prevalence and poverty

1 means that tackling development priorities would enhance sustainable land use options for climate  
2 mitigation.

3 Climate change affects the food system as changes in trends and variability in rainfall and temperature  
4 variability impact crop and livestock productivity and total production (Osborne and Wheeler 2013;  
5 Tigchelaar et al. 2018; Izumi and Ramankutty 2015), the nutritional quality of food (Loladze 2014; Myers  
6 et al., 2014; Ziska et al. 2016; Medek et al., 2017), water supply (Nkhonjera 2017), and incidence of pests  
7 and diseases (Curtis et al. 2018). These factors also impact on human health and increase morbidity and  
8 affect human ability to process ingested food (Franchini and Mannucci 2015; Wu et al. 2016; Raiten and  
9 Aimone 2017). At the same time, the food system generates negative externalities (the environmental  
10 effects of production and consumption) in the form of GHG emissions (Section 1.2.2, Section 2.4), pollution  
11 (van Noordwijk and Brussaard 2014; Thyberg and Tonjes 2016; Borsato et al. 2018; Kibler et al. 2018),  
12 water quality (Malone et al. 2014; Norse and Ju 2015), and ecosystem services loss (Schipper et al. 2014;  
13 Eeraerts et al. 2017) with direct and indirect impacts on climate change and reduced resilience to climate  
14 variability. As food systems are assessed in relation to their contribution to global warming and/or to land  
15 degradation (e.g., livestock systems) it is critical to evaluate their contribution to food security and  
16 livelihoods and to consider alternatives, especially for developing countries where food insecurity is  
17 prevalent (Röös et al. 2017; Salmon et al. 2018).

### 18 ***1.3.1.5 Challenges arising from land governance***

19 Land use change has both positive and negative effects: it can lead to economic growth, but it can become  
20 a source of tension and social unrest leading to elite capture, and competition (Haberl 2015). Competition  
21 for land plays out continuously among different use types (cropland, pastureland, forests, urban spaces, and  
22 conservation and protected lands) and between different users within the same land use category  
23 (subsistence vs. commercial farmers)(Dell'Angelo et al. 2017b). Competition is mediated through  
24 economic and market forces (expressed through land rental and purchases, as well as trade and  
25 investments). In the context of such transactions, power relations often disfavour disadvantaged groups  
26 such as small scale farmers, indigenous communities or women (Doss et al. 2015; Ravnborg et al. 2016).  
27 These drivers are influenced to a large degree by policies, institutions and governance structures. Land  
28 governance determines not only who can access the land, but also the role of land ownership (legal, formal,  
29 customary or collective) which influences land use, land use change and the resulting land competition  
30 (Moroni 2018).

31 Globally, there is competition for land because it is a finite resource and because most of the highly-  
32 productive land is already exploited by humans (Lambin and Meyfroidt 2011; Lambin 2012; Venter et al.  
33 2016). Driven by growing population, urbanisation, demand for food and energy, as well as land  
34 degradation, competition for land is expected to accentuate land scarcity in the future(Tilman et al. 2011;  
35 Foley et al. 2011; Lambin 2012; Popp et al. 2016)(*robust evidence, high agreement*). Climate change  
36 influences land use both directly and indirectly, as climate policies can also play a role in increasing land  
37 competition via forest conservation policies, afforestation, or energy crop production (see Section 1.4.1),  
38 with the potential for implications for food security (Hussein et al. 2013) and local land-ownership.

39 An example of large-scale change in land ownership is the much-debated large-scale land acquisition  
40 (LSLA) by investors which peaked in 2008 during the food price crisis, the financial crisis, and has also  
41 been linked to the search for biofuel investments (Dell'Angelo et al. 2017a). Since 2000, almost 50 million  
42 hectares of land, have been acquired, and there are no signs of stagnation in the foreseeable future (Land  
43 Matrix 2018). The LSLA phenomenon, which largely targets agriculture, is widespread, including Sub-  
44 Saharan Africa, Southeast Asia, Eastern Europe and Latin America (Rulli et al. 2012; Nolte et al. 2016;

1 Constantin et al. 2017). LSLAs are promoted by investors and host governments on economic grounds  
 2 (infrastructure, employment, market development)(Deininger et al. 2011), but their social and  
 3 environmental impacts can be negative and significant (Dell'Angelo et al. 2017a).

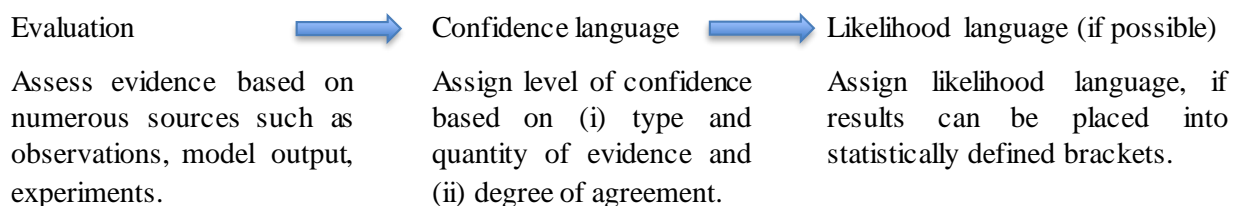
4 Much of the criticism of LSLA focuses on their social impacts, especially the threat to local communities'  
 5 land rights (especially indigenous people and women) (Anseeuw et al. 2011) and displaced communities  
 6 creating secondary land expansion (Messerli et al. 2014; Davis et al. 2015). The promises that LSLAs would  
 7 develop efficient agriculture on non-forested, unused land (Deininger et al. 2011) has so far not been  
 8 fulfilled. However, LSLAs is not the only outcome of weak land governance structures (Wang et al. 2016),  
 9 other forms of inequitable or irregular land acquisition can also be home-grown pitting one community  
 10 against a more vulnerable group (Xu 2018) or land capture by urban elites (McDonnell 2017). As demands  
 11 on land are increasing, building governance capacity and securing land tenure becomes essential to attain  
 12 sustainable land use, which has the potential to mitigate climate change, promote food security, and  
 13 potentially reduce risks of climate-induced migration and associated risks of conflicts (see Section 7.7).

### 14 **1.3.2 Progress in dealing with uncertainties in assessing land processes in the climate** 15 **system**

#### 16 **1.3.2.1 Concepts related to risk, uncertainty and confidence**

17 In context of the SRCCL, risk refers to the potential for the adverse consequences for human or (land-  
 18 based) ecological systems, arising from climate change or responses to climate change. Risk related to  
 19 climate change impacts integrates across the hazard itself, the time of exposure and the vulnerability of the  
 20 system; the assessment of all three of these components, their interactions, and outcomes are uncertain (see  
 21 glossary for expanded definition and Section 7.2.2). For instance, a risk to human society is the continued  
 22 loss of productive land which might arise from climate change, mismanagement, or a combination of both  
 23 factors. However, risk can also arise from the potential for adverse consequences from responses to climate  
 24 change, such as widespread deployment of bioenergy which is intended to reduce greenhouse gas emissions  
 25 and thus limit climate change, but can present its own risks to food security (see chapters 5, 6 and 7).

26 Demonstrating with some statistical certainty that the climate or the land system affected by climate or land  
 27 use has changed (detection), and evaluating the relative contributions of multiple causal factors to that  
 28 change (with a formal assessment of confidence; attribution. See glossary) remain challenging aspects in  
 29 both observations and models (Rosenzweig and Neofotis 2013; Gillett et al. 2016; Lean 2018).  
 30 Uncertainties arising for example, from missing or imprecise data, ambiguous terminology, incomplete  
 31 process representation in models, or human decision making contribute to these challenges, and some  
 32 examples are provided in this subsection. In order to reflect various sources of uncertainties in the state of  
 33 scientific understanding, IPCC assessment reports provide estimates of confidence (Mastrandrea et al.  
 34 2011). This confidence language is also used in the SRCCL (Figure 1.5):



Agreement ↑	High agreement Limited evidence	High agreement Medium evidence	High agreement Robust evidence	Confidence low ..... high
	Medium agreement Limited evidence	Medium agreement Medium evidence	Medium agreement Robust evidence	
	Low agreement Limited evidence	Low agreement Medium evidence	Low agreement Robust evidence	
	Evidence (type, amount, quality, consistency) →			

**Figure 1.5 Use of confidence language**

### 1.3.2.2 Nature and scope of uncertainties related to land use

Identification and communication of uncertainties is crucial to support decision making towards sustainable land management. Providing a robust, and comprehensive understanding of uncertainties in observations, models and scenarios is a fundamental first step in the IPCC confidence framework (see above). This will remain a challenge in future, but some important progress has been made over recent years.

#### Uncertainties in observations

The detection of changes in vegetation cover and structural properties underpins the assessment of land-use change, degradation and desertification. It is continuously improving by enhanced Earth observation capacity (Hansen et al. 2013; He et al. 2018; Ardö et al. 2018; Spennemann et al. 2018) (see also Table SM 1 in Supplementary Materials). Likewise, the picture of how soil organic carbon, and GHG and water fluxes respond to land-use change and land management continues to improve through advances in methodologies and sensors (Kostyanovsky et al. 2018; Brümmer et al. 2017; Iwata et al. 2017; Valayamkunnath et al. 2018). In both cases, the relative shortness of the record, data gaps, data treatment algorithms and –for remote sensing- differences in the definitions of major vegetation cover classes limits the detection of trends (Alexander et al. 2016a; Chen et al. 2014; Yu et al. 2014; Lacaze et al. 2015; Song 2018; Peterson et al. 2017). In many developing countries, the cost of satellite remote sensing remains a challenge, although technological advances are starting to overcome this problem (Santilli et al. 2018), while ground-based observations networks are often not available.

Integration of multiple data sources in model and data assimilation schemes reduces uncertainties (Li et al. 2017; Clark et al. 2017; Lees et al. 2018), which might be important for the advancement of early warning systems. Early warning systems are a key feature of short-term (i.e. seasonal) decision support systems and are becoming increasingly important for sustainable land management and food security (Shtienberg 2013; Jarroudi et al. 2015; see Section 6.3.3, 7.5.3). Early warning systems can help to optimise fertiliser and water use, aid disease suppression, and/or increase the economic benefit by enabling strategic farming decisions on when and what to plant (Caffi et al. 2012; Watmuff et al. 2013; Jarroudi et al. 2015; Chipanshi et al. 2015). Their suitability depends on the capability of the methods to accurately predict crop or pest developments, which in turn depends on expert agricultural knowledge, and the accuracy of the weather data used to run phenological models (Caffi et al. 2012; Shtienberg 2013).

#### Uncertainties in models

Model intercomparison is a widely used approach to quantify some sources of uncertainty in climate change, land-use change and ecosystem modelling, often associated with the calculation of model-ensemble medians or means (see e.g., Section 2.3; Section 5.2). Even models of broadly similar structure differ in their projected outcome for the same input, as seen for instance in the spread in climate change projections from Earth System Models (ESMs) to similar future anthropogenic GHG emissions (Parker 2013; Stocker et al. 2013a). These uncertainties arise, for instance, from different parameter values, different processes represented in models, or how these processes are mathematically described. If the output of ESM

1 simulations are used as input to impact models, these uncertainties can propagate to projected impacts  
2 (Ahlstrom et al. 2013).

3 Thus, the increased quantification of model performance in benchmarking exercises (the repeated  
4 confrontation of models with observations to establish a track-record of model developments and  
5 performance) is an important development to support the design and the interpretation of the outcomes of  
6 model ensemble studies (Randerson et al. 2009; Luo et al. 2012; Kelley et al. 2013). Since observational  
7 data sets in themselves are uncertain, benchmarking benefits from transparent information on the  
8 observations that are used, and the inclusion of multiple, regularly updated data sources (Luo et al. 2012;  
9 Kelley et al. 2013). Improved benchmarking approaches and the associated scoring of models may support  
10 weighted model means contingent on model performance. This could be an important step forward when  
11 calculating ensemble means across a range of models (Buisson et al. 2009; Parker 2013; Prestele et al.  
12 2016).

### 13 *Uncertainties arising from unknown futures*

14 Large differences exist in projections of future land cover change, both between and within scenario  
15 projections (Fuchs et al. 2015; Eitelberg et al. 2016; Popp et al. 2016; Krause et al. 2017; Alexander et al.  
16 2016a). These differences reflect the uncertainties associated with baseline data, thematic classifications,  
17 different model structures and model parameter estimation (Alexander et al. 2017a; Prestele et al. 2016;  
18 Cross-Chapter Box 1: Scenarios, in this Chapter). Likewise, projections of future land-use change are also  
19 highly uncertain, reflecting –among other factors- the absence of important crop, pasture and management  
20 processes in Integrated Assessment Models (Cross-Chapter Box 1: Scenarios, in this Chapter; Rose 2014)  
21 and in models of the terrestrial carbon cycle (Arneeth et al. 2017). These processes have been shown to have  
22 large impacts on carbon stock changes (Arneeth et al. 2017). Common scenario frameworks are used to  
23 capture the range of future uncertainties in scenarios. The most commonly used recent framework in climate  
24 change studies is based on the Representative Concentration Pathways (RCPs) and the Shared Socio-  
25 economic Pathways (SSPs)(Popp et al. 2016; Riahi et al. 2017). The RCPs prescribe levels of radiative  
26 forcing ( $\text{Wm}^{-2}$ ) arising from different atmospheric concentrations of GHGs that lead to different levels of  
27 climate change. For example, RCP2.6 ( $2.6 \text{ Wm}^{-2}$ ) is projected to lead to global mean temperature changes  
28 of about  $0.9^{\circ}\text{C}$ – $2.3^{\circ}\text{C}$ , and RCP8.5 ( $8.5 \text{ Wm}^{-2}$ ) to global mean temperature changes of about  $3.2^{\circ}\text{C}$ – $5.4^{\circ}\text{C}$   
29 (van Vuuren et al 2014).

30 The SSPs describe alternative trajectories of future socio-economic development with a focus on challenges  
31 to climate mitigation and challenges to climate adaptation (O'Neill et al. 2014). SSP1 represents a  
32 sustainable and co-operative society with a low carbon economy and high capacity to adapt to climate  
33 change. SSP3 has social inequality that entrenches reliance on fossil fuels and limits adaptive capacity.  
34 SSP4 has large differences in income within and across world regions that facilitates low carbon economies  
35 in places, but limits adaptive capacity everywhere. SSP5 is a technologically advanced world with a strong  
36 economy that is heavily dependent on fossil fuels, but with high adaptive capacity. SSP2 is an intermediate  
37 case between SSP1 and SSP3 (O'Neill et al. 2014). The SSPs are commonly used with models to project  
38 future land use change (Cross-Chapter Box 1: Scenarios, in this Chapter).

39 The SSPs map onto the RCPs through shared assumptions. For example, a higher level of climate change  
40 (RCP8.5) is associated with higher challenges for climate change mitigation (SSP5). Not all SSPs are,  
41 however, associated with all RCPs. For example, an SSP5 world is committed to high fossil fuel use,  
42 associated GHG emissions, and this is not commensurate with lower levels of climate change (e.g.,  
43 RCP2.6). (Engstrom et al. 2016) took this approach further by ascribing levels of probability that associate  
44 an SSP with an RCP, contingent on the SSP scenario assumptions (see Cross-Chapter Box 1).

## **Cross-Chapter Box 1: Scenarios and other methods to characterise the future of land**

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### **About this box**

The land-climate system is complex and future changes are uncertain, but methods exist (collectively known as *futures analysis*) to help decision makers in navigating through this uncertainty. Futures analysis comprises a number of different and widely used methods, such as scenario analysis (Rounsevell and Metzger 2010), envisioning or target setting (Kok et al. 2018), pathways analysis<sup>1</sup> (IPBES 2016; IPCC 2018), and conditional probabilistic futures (Vuuren et al. 2018; Engstrom et al. 2016; Henry et al. 2018)(see

Cross-Chapter Box 1, Table 1). Scenarios and other methods to characterise the future can support a discourse with decision makers about the sustainable development options that are available to them. All chapters of this assessment draw conclusions from futures analysis and so, the purpose of this box is to outline the principal methods used, their application domains, their uncertainties and their limitations.

### **Exploratory scenario analysis**

Many exploratory scenarios are reported in climate and land system studies on climate change (Dokken 2014), land-based, climate-change mitigation for example, reforestation/afforestation, avoided deforestation and bioenergy (Kraxner et al. 2013; Humpenoder et al. 2014; Krause et al. 2017) and climate change impacts and adaptation (Warszawskiet al. 2014). There are global-scale scenarios of food security (Foley et al. 2011; Pradhan et al. 2013, 2014), but fewer scenarios of desertification, land degradation and restoration (Wolff et al. 2018). Exploratory scenarios combine qualitative ‘storylines’ or descriptive narratives of the underlying causes (or drivers) of change (Nakicenovic and Swart 2000; Rounsevell and Metzger 2010; O’Neill et al. 2014) with quantitative projections from computer models. Different types of models are used for this purpose based on very different modelling paradigms, baseline data and underlying assumptions (Alexander et al. 2016a; Prestele et al. 2016). Cross-Chapter Box 1, Figure 1 outlines how a combination of models can quantify these components as well as the interactions between them.

Exploratory scenarios often show that socio-economic drivers have a larger effect on land use change than climate drivers (Harrison et al. 2014, 2016). Of these, technological development is critical in affecting the production potential (yields) of food and bioenergy and the feed conversion efficiency of livestock (Rounsevell et al. 2006; Wise et al. 2014; Kreidenweis et al. 2018), as well as the area of land needed for food production (Foley et al. 2011; Weindl et al. 2017; Kreidenweis et al. 2018). Trends in consumption, for example, diets, waste reduction, are also fundamental in affecting land use change

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<sup>1</sup> FOOTNOTE: Different communities have a different understanding of the concept of pathways, as noted in the Cross-Chapter Box 1 on scenarios in (IPCC 2018). Here, we refer to pathways as a description of the time-dependent actions required to move from today’s world to a set of future visions (IPCC 2018). However, the term pathways is commonly used in the climate change literature as a synonym for projections or trajectories (e.g. Shared socio-economic pathways).



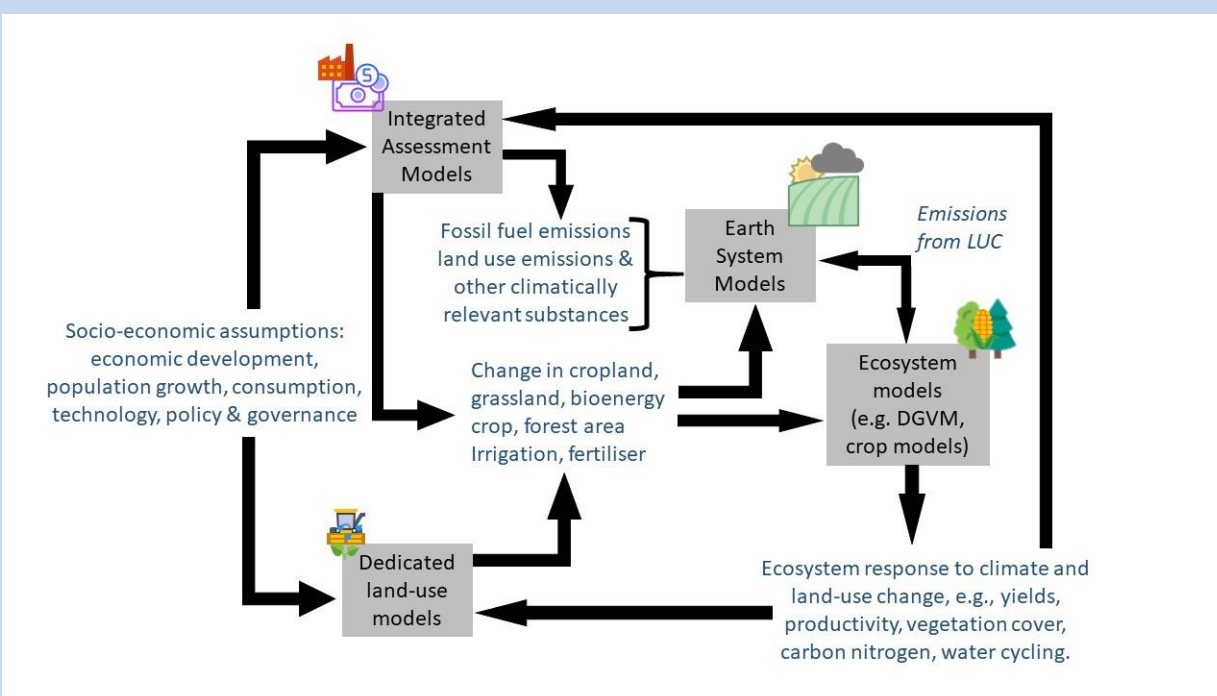
(Pradhan et al. 2013; Alexander et al. 2016b; Weindl et al. 2017; Alexander et al. 2017; Vuuren et al. 2018; Bajželj et al. 2014). Scenarios of land-based mitigation through large-scale bioenergy production and afforestation often lead to negative trade-offs with food security (food prices), water resources and biodiversity (cross chapter box on bioenergy, Ch6).

**Cross-Chapter Box 1, Table 1 Description of the principal methods used in land and climate futures analysis**

<b>Futures method</b>	<b>Description and subtypes</b>	<b>Application domain</b>	<b>Time horizon</b>	<b>Examples in this assessment</b>
<i>Exploratory scenarios.</i> Trajectories of change in system components from the present to contrasting, alternative futures based on plausible and internally consistent assumptions about the underlying drivers of change	<i>Long-term projections</i> quantified with models	Climate system, land system and other components of the environment (e.g., biodiversity, ecosystem functioning, water resources and quality), for example the SSPs	10-100 years	2.4, 2.7.2, 5.2.3, 6.2.4, 6.5.4, 7.3
	<i>Business-as-usual scenarios</i> (including 'outlooks')	A continuation into the future of current trends in key drivers to explore the consequences of these in the near-term	5-10 years, 20-30 years for outlooks	1.3.1, 2.7.2, 5.3.4, 6.2.4
	<i>Policy &amp; planning scenarios</i> (including business planning)	Ex Ante analysis of the consequences of alternative policies or decisions based on known policy options or already implemented policy and planning measures	5-30 years	2.7.3, 5.5.2, 5.6.2, 6.5.4
	<i>Stylised scenarios</i> (with single and multiple options)	Afforestation/reforestation areas, bioenergy areas, protected areas for conservation, consumption patterns (e.g., diets, food waste)	10-100 years	2.7.1, 5.5.1, 5.5.2, 5.6.1, 5.6.2, 6.5.4, 7.3
	<i>Shock scenarios</i> (high impact single events)	Food supply chain collapses, cyberattacks, pandemic diseases (humans, crops and livestock)	Near-term events (up to 10 years) leading to long-term impacts (10-100 years)	5.8.1
	<i>Conditional probabilistic futures</i> ascribe probabilities to uncertain drivers that are conditional on scenario assumptions	Where some knowledge is known about driver uncertainties, for example, population, economic growth, land use change	10-100 years	1.3



<i>Normative scenarios.</i> Desired futures or outcomes that are aspirational and how to achieve them	<i>Visions, goal-seeking or target-seeking scenarios</i>	Environmental quality, societal development, human well-being, the Representative Concentration Pathways (RCPs,) 1.5 °C scenarios	5-10 years to 10-100 years	2.7.2, 6.5.4, 7.3, 5.5.2
	<i>Pathways</i> as alternative sets of choices, actions or behaviours that lead to a future vision (goal or target)	Socio-economic systems, governance and policy actions	5-10 years to 10-100 years	5.5.2, 6.5.4, 7.3



**Cross-Chapter Box 1, Figure 1 Interactions between land and climate system components and models in scenario analysis. The blue text describes selected model inputs and outputs.**

Many exploratory scenarios are based on common frameworks such as the Shared Socio-economic Pathways (SSPs) (Popp et al. 2016; Riahi et al. 2017; Doelman et al. 2018) (see section 1.3). However, other methods are used. *Stylised scenarios* prescribe assumptions about climate and land use change solutions for example, dietary change, food waste reduction, afforestation areas (Pradhan et al. 2013, 2014; Kreidenweis et al. 2016; Rogelj et al. 2018b; Seneviratne et al. 2018; Vuuren et al. 2018). These scenarios provide useful thought experiments, but the feasibility of achieving the stylised assumptions is often unknown. *Shock scenarios* explore the consequences of low probability, high impact events such as pandemic diseases, cyberattacks and failures in food supply chains (Challinor et al. 2018) often in food security studies. Because of the diversity of exploratory scenarios, attempts have been made to categorise them into ‘archetypes’ based on the similarity between their assumptions in order to facilitate communication (IPBES 2018a).

*Conditional probabilistic futures* explore the consequences of model parameter uncertainty in which these uncertainties are conditional on scenario assumptions (Neill 2004). Only a few studies have applied

the conditional probabilistic approach to land use futures (Brown et al. 2014; Engstrom et al. 2016; Henry et al. 2018). By accounting for uncertainties in key drivers these studies show large ranges in land use change, for example, global cropland areas of 893–2380 Mha by the end of the 21<sup>st</sup> Century (Engstrom et al. 2016). They also find that land-use targets may not be achieved, even across a wide range of scenario parameter settings, because of trade-offs arising from the competition for land (Henry et al. 2018; Heck et al. 2018). Accounting for uncertainties across scenario assumptions can lead to convergent outcomes for land use change, which implies that certain outcomes are more robust across a wide range of uncertain scenario assumptions (Brown et al. 2014).

In addition to global scale scenario studies, sub-national studies demonstrate that regional climate change impacts on the land system are highly variable geographically because of differences in the spatial patterns of both climate and socio-economic change (Harrison et al. 2014). Moreover, the capacity to adapt to these impacts is strongly dependent on the regional, socio-economic context and coping capacity (Dunford et al. 2014); processes that are difficult to capture in global scale scenarios. Regional scenarios are often co-created with stakeholders through participatory approaches (Kok et al. 2014), which is powerful in reflecting diverse worldviews and stakeholder values. Stakeholder participatory methods provide additional richness and context to storylines, as well as providing saliency and legitimacy for local stakeholders (Kok et al. 2014).

### **Normative scenarios: visions and pathways analysis**

Normative scenarios reflect a desired or target-seeking future. Pathways analysis is important in moving beyond the ‘*what if?*’ perspective of exploratory scenarios to evaluate how normative futures might be achieved in practice, recognising that multiple pathways may achieve the same future vision. Pathways analysis focuses on consumption and behavioural changes through transitions and transformative solutions (IPBES 2018a). Pathways analysis is highly relevant in support of policy, since it outlines sets of time-dependent actions and decisions to achieve future targets, especially with respect to sustainable development goals, as well as highlighting trade-offs and co-benefits (IPBES 2018a). Multiple, alternative pathways have been shown to exist that mitigate trade-offs whilst achieving the priorities for future sustainable development outlined by governments and societal actors. Of these alternatives, the most promising focus on long-term societal transformations through education, awareness raising, knowledge sharing and participatory decision-making (IPBES 2018a).

### **What are the limitations of land use scenarios?**

Applying a common scenario framework (e.g., RCPs/SSPs) supports the comparison and integration of climate and land system scenarios, but a ‘climate-centric’ perspective can limit the capacity of these scenarios to account for a wider range of land-relevant drivers (Rosa et al. 2017). For example, in climate mitigation scenarios it is important to assess the impact of mitigation actions on the broader environment for example, biodiversity, ecosystem functioning, air quality, food security, desertification/degradation and water cycles (Rosa et al. 2017). This implies the need for a more encompassing and flexible approach to creating scenarios that considers other environmental aspects, not only as a part of impact assessment, but also during the process of creating the scenarios themselves.

A limited number of models can quantify global scale, land use change scenarios, and there is large variance in the outcomes of these models (Alexander et al. 2016a; Prestele et al. 2016). In some cases, there is greater variability between the models themselves than between the scenarios that they are quantifying, and these differences vary geographically (Prestele et al. 2016). These differences arise from variations in baseline datasets, thematic classes and modelling paradigms (Alexander et al. 2016a; Popp

et al. 2016; Prestele et al. 2016). Model evaluation is critical in establishing confidence in the outcomes of modelled futures (Ahlstrom et al. 2012; Kelley et al. 2013). Some, but not all, land use models are evaluated against observational data and model evaluation is rarely reported. Hence, there is a need for more transparency in land use modelling, especially in evaluation and testing, as well as making model code available with complete sets of scenario outputs (e.g., Dietrich et al. 2018).

There is a small, but growing literature on quantitative pathways to achieve normative visions and their associated trade-offs (IPBES 2018a). Whilst the visions themselves may be clearly articulated, the societal choices, behaviours and transitions needed to attain them, are not. Better accounting for human behaviour and decision-making processes in global scale, land-use models would improve the capacity to quantify pathways to sustainable futures (Rounsevell et al. 2014; Arneth et al. 2014; Calvin and Bond-Lamberty 2018). It is, however, difficult to understand and represent human behaviour and social interaction processes at global scales. Decision-making in global models is commonly represented through economic processes (Arneth et al. 2014). Other important human processes for land systems including equity, fairness, land tenure and the role of institutions and governance, receive less attention, and this limits the use of global models to quantify transformative pathways, adaptation and mitigation (Arneth et al. 2014; Rounsevell et al. 2014; Wang et al. 2016). No model exists at present to represent complex human behaviours at the global scale, although the need has been highlighted (Rounsevell et al. 2014; Arneth et al. 2014; Robinson et al. 2017; Brown et al. 2017; Calvin and Bond-Lamberty 2018).

- 1
- 2 **1.3.2.3 Uncertainties in decision making**
- 3 Decision makers develop and implement policy in the face of many uncertainties (Rosenzweig and Neofotis
- 4 2013; Anav et al. 2013; Ciais et al. 2013a; Stocker et al. 2013b; see Section 7.6). In context of climate
- 5 change, the term *deep uncertainty* is frequently used to denote situations in which either the analysis of a
- 6 situation is inconclusive, or parties to a decision cannot agree on a number of criteria that would help to
- 7 rank model results in terms of likelihood (e.g., Hallegatte and Mach 2016; Maier et al. 2016) (see Section
- 8 7.2, 7.6, and Supplementary Material 1.SM.2). However, existing uncertainty does not support societal and
- 9 political inaction.
- 10 The many ways of dealing with uncertainty in decision making can be summarised by two decision
- 11 approaches: (economic) cost-benefit analyses, and the precautionary approach. A typical variant of cost
- 12 benefit analysis is the minimisation of negative consequences. This approach needs reliable probability
- 13 estimates (Gleckler et al. 2016; Parker 2013) and tends to focus on the short-term. The precautionary
- 14 approach does not take account of probability estimates (cf. Raffensperger and Tickner 1999), but instead
- 15 focuses on avoiding the worst outcome (Gardiner 2006).
- 16 Between these two extremes, various decision approaches seek to address uncertainties in a more reflective
- 17 manner that avoids the limitations of cost-benefit analysis and the precautionary approach. Climate-
- 18 informed decision analysis combines various approaches to explore options and the vulnerabilities and
- 19 sensitivities of certain decisions. Such an approach includes stakeholder involvement (e.g., elicitation
- 20 methods), and can be combined with, for example, analysis of climate or land-use change modelling
- 21 (Hallegatte and Rentschler 2015; Luedeling and Shepherd 2016).
- 22 Flexibility is facilitated by political decisions that are not set in stone and can change over time (Walker et
- 23 al. 2013; Hallegatte and Rentschler 2015). Generally, within the research community that investigates deep
- 24 uncertainty a paradigm is emerging that requires to develop a strategic vision of the long- or mid-term

1 future, while committing to short-term actions and establishing a framework to guide future actions  
2 including revisions and flexible adjustment of decisions (Haasnoot 2013; see Section 7.6).

### 3 **1.4 Response options to the key challenges**

4 A number of response options underpin solutions to the challenges arising from GHG emissions from land,  
5 and the loss of productivity arising from degradation and desertification. These options are discussed in  
6 Sections 2.6, 6.3 and rely on a) land management, b) value chain management and c) risk management (see  
7 Table 1.2). None of these response options are mutually exclusive, and it is their combination in a  
8 regionally, context-specific manner that is most likely to achieve co-benefits between climate change  
9 mitigation, adaptation and other environmental challenges in a cost-effective way (Griscom et al. 2017;  
10 Kok et al. 2018). Sustainable solutions affecting both demand and supply are expected to yield most co-  
11 benefits if these rely not only on the carbon footprint, but are extended to other vital ecosystems such as  
12 water, nutrients and biodiversity footprints (van Noordwijk and Brussaard 2014; Cremasch 2016). As an  
13 entry-point to the discussion in Chapter 6, we introduce here a selected number of examples that cut across  
14 climate change mitigation, food security, desertification, and degradation issues, including potential trade-  
15 offs and co-benefits.

16 **Table 1.2 Broad categorisation of response options into three main classes and eight sub-classes.** For illustration,  
17 the table includes examples of individual response options. A complete list and description is provided in Chapter 6.

<b>Response options based on land management</b>	
<i>in agriculture</i>	Improved management of: cropland, grazing land, lives tock; Agro-forestry; A avoidance of conversion of grassland to cropland; Integrated water management
<i>in forests</i>	Improved management of forests and forest restoration; Reduced deforestation and degradation; Afforestation
<i>of soils</i>	Increased soil organic carbon content; Reduced soil erosion; Reduced soil salinisation
<i>across all/other ecosystems</i>	Reduced landslides and natural hazards; Reduced pollution including acidification; Biodiversity conservation; Restoration and reduced conversion of peatlands
<i>specifically for carbon dioxide removal</i>	Enhanced weathering of minerals; Bioenergy and BECCS
<b>Response options based on value chain management</b>	
<i>through demand management</i>	Dietary change; Reduced post-harvest losses; Reduced food waste
<i>through supply management</i>	Sustainable sourcing; Improved energy use in food systems; Improved food processing and retailing
<b>Response options based on risk management</b>	
<i>risk management</i>	Risk sharing instruments; Use of local seeds; Disaster risk management

18

19 **1.4.1 Targeted decarbonisation relying on large land-area need**

20 Most global future scenarios that aim to achieve global warming of 2°C or well below rely on bioenergy  
21 (BE; with or without carbon capture and storage, BECCS; see Cross-Chapter Box 7 in Chapter 6) or  
22 afforestation and reforestation (Cross-Chapter Box 2 in this Chapter)(de Coninck et al. 2018; Rogelj et al.  
23 2018b,a; Anderson and Peters 2016; Popp et al. 2016; Smith et al. 2016). In addition to the very large area

1 requirements projected for 2050 or 2100, several other aspects of these scenarios have also been criticised.  
2 For instance, they simulate very rapid technological and societal uptake rates for the land-related mitigation  
3 measures, when compared with historical observations (Turner et al. 2018; Brown et al. 2019; Vaughan  
4 and Gough 2016). Furthermore, confidence in the projected bioenergy or BECCS net carbon uptake  
5 potential is *low*, because of many diverging assumptions. This includes assumptions about bioenergy crop  
6 yields, the possibly large energy demand for CCS, which diminishes the net-GHG-saving of bioenergy  
7 systems, or the incomplete accounting for ecosystem processes and of the cumulative carbon-loss arising  
8 from natural vegetation clearance for bioenergy crops or bioenergy forests and subsequent harvest regimes  
9 (Anderson and Peters 2016; Bentsen 2017; Searchinger et al. 2017; Bayer et al. 2017; Fuchs et al. 2017;  
10 Pingoud et al. 2018; Schlesinger 2018). Bioenergy provision under politically unstable conditions may also  
11 be a problem (Erb et al. 2012; Searle and Malins 2015).

12 Large-scale bioenergy plantations and forests may compete for the same land area (Harper et al. 2018).  
13 Both potentially have adverse side effects on biodiversity and ecosystem services, as well as socio-  
14 economic trade-offs such as higher food prices due to land area competition (Shi et al. 2013; Bárcena et al.  
15 2014; Fernandez-Martinez et al. 2014; Searchinger et al. 2015; Bonsch et al. 2016; Creutzig et al. 2015;  
16 Kreidenweis et al. 2016; Santangeli et al. 2016; Williamson 2016; Graham et al. 2017; Krause et al. 2017;  
17 Hasegawa et al. 2018; Humpenoeder et al. 2018). Although forest-based mitigation could have co-benefits  
18 for biodiversity and many ecosystem services, this depends on the type of forest planted and the vegetation  
19 cover it replaces (Popp et al. 2014; Searchinger et al. 2015) (see also Cross-Chapter Box 2 in this Chapter).

20 There is *high confidence* that scenarios with large land requirements for climate change mitigation may not  
21 achieve sustainable development goals, such as no poverty, zero hunger and life on land, if competition for  
22 land and the need for agricultural intensification are greatly enhanced (Creutzig et al. 2016; Dooley and  
23 Kartha 2018; Hasegawa et al. 2015; Hof et al. 2018; Roy et al. 2018; Santangeli et al. 2016; Boysen et al.  
24 2017; Henry et al. 2018; Kreidenweis et al. 2016; UN 2015). This does not mean that smaller-scale land-  
25 based climate mitigation can have positive outcomes for then achieving these goals (see e.g., Sections 6.3,  
26 4.6, cross chapter box 6 in Chapter 6).

## **Cross-Chapter Box 2: Implications of large-scale conversion from non-forest to forest land**

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### **Efforts to increase forest area**

While deforestation continues in many world regions, especially in the tropics, large expansion of mostly managed forest area has taken place in some countries. In the IPCC context, reforestation (conversion to forest of land that previously contained forests but has been converted to some other use) is distinguished from afforestation (conversion to forest of land that historically has not contained forests (see glossary)). Past expansion of managed forest area occurred in many world-regions for a variety of reasons, from meeting needs for wood fuel or timber (Vadell et al. 2016; Joshi et al. 2011; Zaloumis and Bond 2015; Payn et al. 2015; Shoyama 2008; Miyamoto et al. 2011) to restoration-driven efforts, with the aim of enhancing ecological function (Filoso et al. 2017; Salvati and Carlucci 2014; Ogle et al. 2018; Crouzeilles et al. 2016; FAO 2016)(see Section 3.8, 4.10).

In many regions, net forest area increase includes deforestation (often of native forests) alongside increasing forest area (often managed forest, but also more natural forest restoration efforts; (Heilmayr et al. 2016; Scheidel and Work 2018; Hua et al. 2018; Crouzeilles et al. 2016; Chazdon et al. 2016b). China and India have seen the largest net forest area increase, aiming to alleviate soil erosion, desertification and overgrazing (Ahrends et al. 2017; Cao et al. 2016; Deng et al. 2015; Chen et al. 2019)(see Section 3.8, 4.10) but uncertainties in exact forest area changes remain large, mostly due to differences in methodology and forest classification (FAO 2015a; Song et al. 2018; Hansen et al. 2013; MacDicken et al. 2015)(Section 1.2.2).

### **What are the implications for ecosystems?**

#### **1) Implications for biogeochemical and biophysical processes**

There is *robust evidence* and *medium agreement* that whilst forest area expansion increases ecosystem carbon storage, the magnitude of the increased stock depends on the type and length of former land-use, forest type planted, and climatic regions (Bárcena et al. 2014; Poeplau et al. 2011; Shi et al. 2013; Li et al. 2012)(see Section 4.4). While, reforestation of former croplands increases net ecosystem carbon storage (Bernal et al. 2018; Lamb 2018), afforestation on native grassland results in reduction of soil carbon stocks, which can reduce or negate the net carbon benefits which are dominated by increases in biomass, dead wood and litter carbon pools (Veldman et al. 2015, 2017).

Forest vs. non-forest lands differ in land surface reflectiveness of short-wave radiation and evapotranspiration (Anderson et al. 2011; Perugini et al. 2017)(see Section 2.5). Evapotranspiration from forests during the growing season regionally cools the land surface and enhances cloud cover that reduces short wave radiation reaching the land, an impact that is especially pronounced in the tropics. However, dark evergreen conifer-dominated forests have low surface reflectance, and tend to cause warming of the near surface atmosphere compared to non-forest land, especially when snow cover is present such as in boreal regions (Duveiller et al. 2018; Alkama and Cescatti 2016; Perugini et al. 2017)(*medium evidence, high agreement*).

#### **2) Implications for water balance**



Evapotranspiration by forests reduces surface runoff and erosion of soil and nutrients (Salvati et al. 2014). Planting of fast-growing species in semi-arid regions or replacing natural grasslands with forest plantations can divert soil water resources to evapotranspiration from groundwater recharge (Silveira et al. 2016; Zheng et al. 2016; Cao et al. 2016). Multiple cases are reported from China where afforestation programs, some with irrigation, without having tailored to local precipitation conditions, resulted in water shortages and tree mortality (Cao et al. 2016; Yang et al. 2014; Li et al. 2014; Feng et al. 2016). Water shortages may create long-term water conflicts (Zheng et al. 2016). However, reforestation (in particular for restoration) is also associated with improved water filtration, groundwater recharge (Ellison et al. 2017) and can reduce risk of soil erosion, flooding, and associated disasters (Lee et al. 2018; see Section 4.10).

### **3) Implications for biodiversity**

Impacts of forest area expansion on biodiversity depend mostly on the vegetation cover that is replaced: afforestation on natural non-tree dominated ecosystems can have negative impacts on biodiversity (Abreu et al. 2017; Griffith et al. 2017; Veldman et al. 2015; Parr et al. 2014; Wilson et al. 2017; Hua et al. 2016)(see also IPCC 1.5° report (2018). Reforestation with monocultures of fast growing, non-native trees has little benefit to biodiversity (Shimamoto et al. 2018; Hua et al. 2016). There are also concerns regarding the impacts of some commonly used plantation species (e.g., Acacia and Pinus species) to become invasive (Padmanaba and Corlett 2014; Cunningham et al. 2015b).

Reforestation with mixes of native species, especially in areas that retain fragments of native forest, can support ecosystem-services and biodiversity recovery, with positive social and environmental co-benefits (Cunningham et al. 2015a; Dendy et al. 2015; Chaudhary and Kastner 2016; Huang et al. 2018; Locatelli et al. 2015b)(see Section 4.6). Even though species diversity in re-growing forests is typically lower than in primary forests, planting native or mixed species can have positive effects on biodiversity (Brockerhoff et al. 2013; Pawson et al. 2013; Thompson et al. 2014). Reforestation has been shown to improve links among existing remnant forest patches, increasing species movement, and fostering gene flow between otherwise isolated populations (Gilbert-Norton et al. 2010; Barlow et al. 2007; Lindenmayer and Hobbs 2004).

### **4) Implications for other ecosystem services and societies**

Forest area expansion could benefit recreation and health, preservation of cultural heritage and local values and knowledge, livelihood support (via reduced resource conflicts, restoration of local resources). These social benefits could be most successfully achieved if local communities' concerns are considered (Le et al. 2012). However, these co-benefits have rarely been assessed due to a lack of suitable frameworks and evaluation tools (Baral et al. 2016).

Industrial forest management can be in conflict with needs of forest-dependent people and community-based forest management over access to natural resources (Gerber 2011; Baral et al. 2016) and/or loss of customary rights over land use (Malkamäki et al. 2018; Cotula et al. 2014). A common result is out-migration from rural areas and diminishing local uses of ecosystems (Gerber 2011). Policies promoting large-scale tree plantations gain if these are reappraised in view of potential co-benefits with several ecosystem services and local societies (Bull et al. 2006; Le et al. 2012).

### **Scenarios of forest-area expansion for land-based climate change mitigation**

Conversion of non-forest to forest land has been discussed as a relatively cost-effective climate change mitigation option when compared to options in the energy and transport sectors (*medium evidence*,

*medium agreement*) (de Coninck et al. 2018; Griscom et al. 2017; Fuss et al. 2018), and can have co-benefits with adaptation.

Sequestration of CO<sub>2</sub> from the atmosphere through forest area expansion has become a fundamental part of stringent climate change mitigation scenarios (Rogelj et al. 2018a; Fuss et al. 2018)(see e.g., Sections 2.6, 4.6, 6.3). The estimated mitigation potential ranges from about 0.5 to 10 Gt CO<sub>2</sub>yr<sup>-1</sup> (*robust evidence, medium agreement*), and depends on assumptions regarding available land and forest carbon uptake potential (Houghton 2013; Houghton and Nassikas 2017; Griscom et al. 2017; Lenton 2014; Fuss et al. 2018; Smith 2016) (see Section 2.6.1). In climate change mitigation scenarios, typically, no differentiation is made between reforestation and afforestation despite different overall environmental impacts between these two measures. Likewise, biodiversity conservation, impacts on water balances, other ecosystem services, or land-ownership as constraints when simulating forest area expansion (see Cross-Chapter Box 1 in this Chapter) tend not to be included as constraints when simulating forest area expansion.

Projected forest area increases, relative to today's forest area, range from approximately 25% in 2050 and increase to nearly 50% by 2100 (Rogelj et al. 2018a; Kreidenweis et al. 2016; Humpenoder et al. 2014). Potential adverse side-effects of such large-scale measures, especially for low-income countries, could be increasing food prices from the increased competition for land (Kreidenweis et al. 2016; Hasegawa et al. 2015, 2018; Boysen et al. 2017)(see Section 5.6). Forests also emit large amounts of biogenic volatile compounds that under some conditions contribute to the formation of atmospherically short-lived climate forcing compounds, which are also detrimental to health (Ashworth et al. 2013; Harrison et al. 2013). Recent analyses argued for an upper limit of about 5 million km<sup>2</sup> of land globally available for climate change mitigation through reforestation, mostly in the tropics (Houghton 2013) – with potential regional co-benefits.

Since forest growth competes for land with bioenergy crops (Harper et al. 2018)(Cross-Chapter Box 7: Bioenergy and BECCS, Chapter 6), global area estimates need to be assessed in light of alternative mitigation measures at a given location. In all forest-based mitigation efforts, the sequestration potential will eventually saturate unless the area keeps expanding, or harvested wood is either used for long-term storage products or for carbon capture and storage (Fuss et al. 2018; Houghton et al. 2015)(see Section 2.6.1). Considerable uncertainty in forest carbon uptake estimates is further introduced by potential forest losses from fire or pest outbreaks (Allen et al. 2010; Anderegg et al. 2015)(Cross-Chapter Box 3: Fire and climate change, Chapter 2). And like all land-based mitigation measures, benefits may be diminished by land-use displacement, through trade of land-based products, especially in poor countries that experience forest loss (e.g., Africa) (Bhojvaid et al. 2016; Jadin et al. 2016).

### **Conclusion**

Reforestation is a mitigation measure with potential co-benefits for conservation and adaptation, including biodiversity habitat, air and water filtration, flood control, enhanced soil fertility and reversal of land degradation. Potential adverse side-effects of forest area expansion depend largely on the state of the land it displaces as well as tree species selections. Active governance and planning contribute to maximising co-benefits while minimising adverse side-effects (Laestadius et al. 2011; Dinerstein et al. 2015; Veldman et al. 2017)(see Section 4.9 and Chapter 7). At large spatial scales, forest expansion is expected to lead to increased competition for land, with potentially undesirable impacts on food prices, biodiversity, non-forest ecosystems and water availability (Bryan and Crossman 2013; Boysen et al.



2017; Kreidenweis et al. 2016; Egginton et al. 2014; Cao et al. 2016; Locatelli et al. 2015a; Ssmith et al. 2013)

1

## 2 **1.4.2 Land Management**

### 3 **1.4.2.1 Agricultural, forest and soil management**

4 Sustainable land management (SLM) describes “*the stewardship and use of land resources, including soils,*  
5 *water, animals and plants, to meet changing human needs while simultaneously assuring the long-term*  
6 *productive potential of these resources and the maintenance of their environmental functions*” (Alemu  
7 2016, Altieri and Nicholls 2017)(see e.g., Section 4.2.5), and includes ecological, technological and  
8 governance aspects.

9 The choice of SLM strategy is a function of regional context and land use types, with *high agreement* on (a  
10 combination of) choices such as agroecology (including agroforestry), conservation agriculture and forestry  
11 practices, crop and forest species diversity, appropriate crop and forest rotations, organic farming,  
12 integrated pest management, the preservation and protection of pollination services, rain water harvesting,  
13 range and pasture management, and precision agriculture systems (Stockmann et al. 2013; Ebert, 2014;  
14 Schulte et al. 2014; Zhang et al. 2015; Sunil and Pandravada 2015; Poeplau and Don 2015; Agus et al. 2015;  
15 Keenan 2015; MacDicken et al. 2015; Abberton et al. 2016). Conservation agriculture and forestry uses  
16 management practises with minimal soil disturbance such as no tillage or minimum tillage, permanent soil  
17 cover with mulch combined with rotations to ensure a permanent soil surface, or rapid regeneration of forest  
18 following harvest (Hobbs et al. 2008; Friedrich et al. 2012). Vegetation and soils in forests and woodland  
19 ecosystems play a crucial role in regulating critical ecosystem processes, therefore reduced deforestation  
20 together with sustainable forest management are integral to SLM (FAO 2015b; see Section 4.9). In some  
21 circumstances, increased demand for forest products can also lead to increased management of carbon  
22 storage in forests (Favero and Mendelsohn 2014). Precision agriculture is characterised by a “management  
23 system that is information and technology based, is site specific and uses one or more of the following  
24 sources of data: soils, crops, nutrients, pests, moisture, or yield, for optimum profitability, sustainability,  
25 and protection of the environment” (USDA 2007)(see also Cross-Chapter Box 6: Agricultural  
26 intensification, Chapter 5). The management of protected areas that reduce deforestation also plays an  
27 important role in climate change mitigation and adaptation while delivering numerous ecosystem services  
28 and sustainable development benefits (Bebber and Butt 2017). Similarly, when managed in an integrated  
29 and sustainable way, peatlands are also known to provide numerous ecosystem services, as well as socio-  
30 economic and mitigation and adaptation benefits (Ziadat et al. 2018).

31 Biochar is an organic compound used as soil amendment and is believed to be potentially an important  
32 global resource for mitigation. Enhancing the carbon content of soil and/or use of biochar (see Chapter 4)  
33 have become increasingly important as a climate change mitigation option with possibly large co-benefits  
34 for other ecosystem services. Enhancing soil carbon storage and the addition of biochar can be practised  
35 with limited competition for land, provided no productivity/yield loss and abundant unused biomass, but  
36 evidence is limited and impacts of large scale application of biochar on the full GHG balance of soils, or  
37 human health are yet to be explored (Gurwick et al. 2013; Lorenz and Lal 2014; Smith 2016).

## 38 **1.4.3 Value chain management**

### 39 **1.4.3.1 Supply management**

40 **Food losses from harvest to retailer.** Approximately one third of losses and waste in the food system  
41 occurs between crop production and food consumption, increasing substantially if losses in livestock

1 production and overeating are included (Gustavsson et al. 2011; Alexander et al. 2017). This includes on-  
2 farm losses, farm to retailer losses, as well retailer and consumer losses (see Section 1.4.3.2).

3 Post-harvest food loss on farm and from farm to retailer is a widespread problem, especially in developing  
4 countries (Xue et al. 2017), but are challenging to quantify. For instance, averaged for eastern and southern  
5 Africa an estimated 10–17% of annual grain production is lost (Zorya et al. 2011). Across 84 countries and  
6 different time periods, annual median losses in the supply chain before retailing were estimated at about 28  
7 kg per capita for cereals or about 12 kg per capita for eggs and dairy products (Xue et al. 2017). For the  
8 year 2013, losses prior to the reaching retailers were estimated at 20% (dry weight) of the production  
9 amount (22% wet weight) (Gustavsson et al. 2011; Alexander et al. 2017). While losses of food cannot be  
10 realistically reduced to zero, advancing harvesting technologies (Bradford et al. 2018; Affognon et al.  
11 2015), storage capacity (Chegere 2018) and efficient transportation could all contribute to reducing these  
12 losses with co-benefits for food availability, the land area needed for food production and related GHG  
13 emissions.

14 **Stability of food supply, transport and distribution.** Increased climate variability enhances fluctuations  
15 in world food supply and price variability (Warren 2014; Challinor et al. 2015; Elbehri et al. 2017). “Food  
16 price shocks” need to be understood regarding their transmission across sectors and borders and impacts on  
17 poor and food insecure populations, including urban poor subject to food deserts and inadequate food  
18 accessibility (Widener et al. 2017; Lehmann et al. 2013; LE 2016; FAO 2015b). Trade can play an important  
19 stabilising role in food supply, especially for regions with agro-ecological limits to production, including  
20 water scarce regions, as well as regions that experience short term production variability due to climate,  
21 conflicts or other economic shocks (Gilmont 2015; Marchand et al. 2016). Food trade can either increase  
22 or reduce the overall environmental impacts of agriculture (Kastner et al. 2014). Embedded in trade are  
23 virtual transfers of water, land area, productivity, ecosystem services, biodiversity, or nutrients (Marques  
24 et al. 2019; Wiedmann and Lenzen 2018; Chaudhary and Kastner 2016) with either positive or negative  
25 implications (Chen et al. 2018; Yu et al. 2013). Detrimental consequences in countries in which trade  
26 dependency may accentuate the risk of food shortages from foreign production shocks could be reduced by  
27 increasing domestic reserves or importing food from a diversity of suppliers (Gilmont 2015; Marchand et  
28 al. 2016).

29 Climate mitigation policies could create new trade opportunities (e.g., biomass) (Favero and Massetti 2014)  
30 or alter existing trade patterns. The transportation GHG-footprints of supply chains may be causing a  
31 differentiation between short and long supply chains (Schmidt et al. 2017) that may be influenced by both  
32 economics and policy measures (see Section 5.4). In the absence of sustainable practices and when the  
33 ecological footprint is not valued through the market system, trade can also exacerbate resource exploitation  
34 and environmental leakages, thus weakening trade mitigation contributions (Dalín and Rodríguez-Iturbe  
35 2016; Mosnier et al. 2014; Elbehri et al. 2017). Ensuring stable food supply while pursuing climate  
36 mitigation and adaptation will benefit from evolving trade rules and policies that allow internalisation of  
37 the cost of carbon (and costs of other vital resources such as water, nutrients). Likewise, future climate  
38 change mitigation policies would gain from measures designed to internalise the environmental costs of  
39 resources and the benefits of ecosystem services (Elbehri et al. 2017; Brown et al., 2007).

#### 40 **1.4.3.2 Demand management**

41 **Dietary change.** Demand-side solutions to climate mitigation are an essential complement to supply-side,  
42 technology and productivity driven solutions (Creutzig et al. 2016; Bajželj et al. 2014; Erb et al. 2016b;  
43 Creutzig et al. 2018)(see Sections 5.5.1, 5.5.2)(*high confidence*). The environmental impacts of the animal-  
44 rich “western diets” are being examined critically in the scientific literature (Hallström et al. 2015;

1 Alexander et al. 2016b; Alexander et al. 2015; Tilman and Clark 2014; Aleksandrowicz et al. 2016; Poore  
2 and Nemecek 2018)(see Section 5.4.6). For example, if the average diet of each country were consumed  
3 globally, the agricultural land area needed to supply these diets would vary 14-fold, due to country  
4 differences in ruminant protein and calorific intake (-55% to +178% compared to existing cropland areas).  
5 Given the important role enteric fermentation plays in methane (CH<sub>4</sub>) emissions, a number of studies have  
6 examined the implications of lower animal diets (Swain et al. 2018; Rööös et al. 2017; Rao et al. 2018).  
7 Reduction of animal protein intake has been estimated to reduce global green water (from precipitation)  
8 use by 11% and blue water (from rivers, lakes, groundwater) use by 6% (Jalava et al. 2014). By avoiding  
9 meat from producers with above-median GHG emissions and halving animal-product intake, consumption  
10 change could free-up 21 million km<sup>2</sup> of agricultural land and reduce GHG emissions by nearly 5 Gt CO<sub>2</sub>-  
11 eq yr<sup>-1</sup> or up to 10.4 Gt CO<sub>2</sub>-eq yr<sup>-1</sup> when vegetation carbon uptake is considered on the previously  
12 agricultural land (Poore and Nemecek 2018, 2019).

13 Diets can be location and community specific, are rooted in culture and traditions while responding to  
14 changing lifestyles driven for instance by urbanisation and changing income. Changing dietary and  
15 consumption habits would require a combination of non-price (government procurement, regulations,  
16 education and awareness raising) and price (Juhl and Jensen 2014) incentives to induce consumer  
17 behavioural change with potential synergies between climate, health and equity (addressing growing global  
18 nutrition imbalances that emerge as undernutrition, malnutrition, and obesity) (FAO 2018b).

19 **Reduced waste and losses in the food demand system.** Global averaged per capita food waste and loss  
20 (FWL) have increased by 44% between 1961 and 2011 (Porter et al. 2016) and are now around 25–30% of  
21 global food produced (Kummu et al. 2012)(Alexander et al. 2017). Food waste occurs at all stages of the  
22 food supply chain from the household to the marketplace (Parfitt et al. 2010) and is found to be larger at  
23 household than at supply chain levels. A meta-analysis of 55 studies showed that the highest share of food  
24 waste was at the consumer stage (43.9% of total) with waste increasing with per capita GDP for high income  
25 countries until a plateau at about 100 kg cap<sup>-1</sup> yr<sup>-1</sup> (around 16% of food consumption) above about 70 000  
26 USD cap<sup>-1</sup> (van der Werf and Gilliland 2017; Xue et al. 2017). Food loss from supply chains tends to be  
27 more prevalent in less developed countries where inadequate technologies, limited infrastructure, and  
28 imperfect markets combine to raise the share of the food production lost before use.

29 There are several causes behind food waste including economics (cheap food), food policies (subsidies) as  
30 well as individual behaviour (Schanes et al. 2018). Household level food waste arises from overeating or  
31 overbuying (Thyberg and Tonjes 2016). Globally, overconsumption was found to waste 9–10% of food  
32 bought (Alexander et al. 2017).

33 Solutions to FWL thus need to address technical and economic aspects. Such solutions would benefit from  
34 more accurate data on the loss-source, -magnitude and -causes along the food supply chain. In the long run,  
35 internalising the cost of food waste into the product price would more likely induce a shift in consumer  
36 behaviour towards less waste and more nutritious, or alternative, food intake (FAO 2018b). Reducing FWL  
37 would bring a range of benefits for health, reducing pressures on land, water and nutrients, lowering  
38 emissions and safeguarding food security. Reducing food waste by 50% would generate net emissions  
39 reductions in the range of 20 to 30% of total food-sourced GHGs (Bajželj et al. 2014). The SDG 12 ("Ensure  
40 sustainable consumption and production patterns") calls for per capita global food waste to be reduced by  
41 one half at the retail and consumer level, and reducing food losses along production and supply chains by  
42 2030.

#### 1 **1.4.4 Risk management**

2 Risk management refers to plans, actions, strategies or policies to reduce the likelihood and/or magnitude  
3 of adverse potential consequences, based on assessed or perceived risks' Insurance and early warning  
4 systems are examples of risk management, but risk can also be reduced (or resilience enhanced) through a  
5 broad set of options ranging from seed sovereignty, livelihood diversification, to reducing land loss through  
6 urban sprawl. Early warning systems support farmer decision making on management strategies (see  
7 Section 1.3) and are a good example of an adaptation measure with mitigation co-benefits such as reducing  
8 carbon losses (see Section 1.4.6). Primarily designed to avoid yield losses, early warning systems also  
9 support fire management strategies in forest ecosystems, which prevents financial as well as carbon losses  
10 (de Groot et al. 2015). Given that over recent decades on average around 10% of cereal production was lost  
11 through extreme weather events (Lesk et al. 2016), where available and affordable, insurance can buffer  
12 farmers and foresters against the financial losses incurred through such weather and other (fire, pests)  
13 extremes (Falco et al. 2014)(see Section 7.3, 7.5). Decisions to take up insurance are influenced by a range  
14 of factors such as the removal of subsidies or targeted education (Falco et al. 2014). Enhancing access and  
15 affordability of insurance in low-income countries is a specific objective of the UNFCCC (Linnerooth-  
16 Bayer and Mechler 2006). A global mitigation co-benefit of insurance schemes may also include incentives  
17 for future risk reduction (Surminski and Oramas-Dorta 2014).

#### 18 **1.4.5 Economics of land-based mitigation pathways: Costs versus benefits of early action** 19 **under uncertainty**

20 The overarching societal costs associated with GHG emissions and the potential implications of mitigation  
21 activities can be measured by various metrics (cost-benefit analysis, cost effectiveness analysis) at different  
22 scales (project, technology, sector or the economy) (IPCC 2018; section 1.5). The Social Cost of Carbon  
23 (SCC), measures the total net damages of an extra metric ton of CO<sub>2</sub> emissions due to the associated climate  
24 change (Nordhaus 2014; Pizer et al. 2014). Both negative and positive impacts are monetised and  
25 discounted to arrive at the net value of consumption loss. As the SCC depends on discount rate assumptions  
26 and value judgements (e.g., relative weight given to current vs. future generations), it is not a  
27 straightforward policy tool to compare alternative options. At the sectoral level, marginal abatement cost  
28 curves (MACCs) are widely used for the assessment of costs related to GHG emissions reduction. MACCs  
29 measure the cost of reducing one more GHG unit and are either expert-based or model-derived and offer a  
30 range of approaches and assumptions on discount rates or available abatement technologies (Kesicki 2013).  
31 In land-based sectors, Gillingham and Stock (2018) reported short term static abatement costs for  
32 afforestation of between 1 and 10 USD 2017/tCO<sub>2</sub>, soil management at 57 and livestock management at 71  
33 USD 2017/tCO<sub>2</sub>. MACCs are more reliable when used to rank alternative options compared to a baseline  
34 (or business as usual) rather than offering absolute numerical measures (Huang et al. 2016). The economics  
35 of land-based mitigation options encompass also the "costs of inaction" that arise either from the economic  
36 damages due to continued accumulation of GHGs in the atmosphere and from the diminution in value of  
37 ecosystem services or the cost of their restoration where feasible (Rodriguez-Labajos 2013; Ricke et al.  
38 2018). Overall, it remains challenging to estimate the costs of alternative mitigation options owing to the  
39 context- and scale specific interplay between multiple drivers (technological, economic, and socio-cultural)  
40 and enabling policies and institutions (IPCC 2018)(section 1.5).

41 The costs associated with mitigation (both project-linked such as capital costs or land rental rates or  
42 sometimes social costs) generally increase with stringent mitigation targets and over time. Sources of  
43 uncertainty include the future availability, cost and performance of technologies (Rosen and Guenther 2015;  
44 Chen et al. 2016) or lags in decision making, which have been demonstrated by the uptake of land use and

1 land utilisation policies (Alexander et al. 2013; Hull et al. 2015; Brown et al. 2018b). There is growing  
2 evidence of significant mitigation gains through conservation, restoration and improved land management  
3 practices (Griscom et al. 2017; Kindermann et al. 2008; Golub et al. 2013; Favero et al. 2017)(see Chapter  
4 4 and Chapter 6), but the mitigation cost efficiency can vary according to region and specific ecosystem  
5 (Albanito et al. 2016). Recent model developments that treat process-based, human-environment  
6 interactions have recognised feedbacks that reinforce or dampen the original stimulus for land use change  
7 (Robinson et al. 2017; Walters and Scholes 2017). For instance, land mitigation interventions that rely on  
8 large-scale, land use change (i.e., afforestation) would need to account for the rebound effect (which  
9 dampens initial impacts due to feedbacks) in which raising land prices also raises the cost of land-based  
10 mitigation (Vivanco et al. 2016). Although there are few direct estimates, indirect assessments strongly  
11 point to much higher costs if action is delayed or limited in scope (*medium confidence*). Quicker response  
12 options are also needed to avoid loss of high-carbon ecosystems and other vital ecosystem services that  
13 provide multiple services that are difficult to replace (peatlands, wetlands, mangroves, forests) (Yirdaw et  
14 al. 2017; Pedrozo-Acuña et al. 2015). Delayed action would raise relative costs in the future or could make  
15 response options less feasible (Goldstein et al. 2019; Butler et al. 2014)(*medium confidence*).

#### 16 **1.4.6 Adaptation measures and scope for co-benefits with mitigation**

17 Adaptation and mitigation have generally been treated as two separate discourses, both in policy and  
18 practice with mitigation addressing cause and adaptation dealing with the consequences of climate change  
19 (Hennessey et al. 2017). While adaptation (e.g., reducing flood risks) and mitigation (e.g., reducing non-  
20 CO<sub>2</sub> emissions from agriculture) may have different objectives and operate at different scales, they can also  
21 generate joint outcomes (Locatelli et al. 2015b) with adaptation generating mitigation co-benefits. Seeking  
22 to integrate strategies for achieving adaptation and mitigation goals is attractive in order to reduce  
23 competition for limited resources and trade-offs (Lobell et al. 2013; Berry et al. 2015; Kongsager and  
24 Corbera 2015). Moreover, determinants that can foster adaptation and mitigation practices are similar.  
25 These tend to include available technology and resources, and credible information for policy makers to act  
26 on (Yohe 2001).

27 Four sets of mitigation-adaptation interrelationships can be distinguished: 1) mitigation actions that can  
28 result in adaptation benefits; 2) adaptation actions that have mitigation benefits; 3) processes that have  
29 implications for both adaptation and mitigation; 4) strategies and policy processes that seek to promote an  
30 integrated set of responses for both adaptation and mitigation (Klein et al. 2007). A high level of adaptive  
31 capacity is a key ingredient to developing successful mitigation policy. Implementing mitigation action can  
32 result in increasing resilience especially if it is able to reduce risks. Yet, mitigation and adaptation  
33 objectives, scale of implementation, sector and even metrics to identify impacts tend to differ (Ayers and  
34 Huq 2009), and institutional setting, often does not enable an environment where synergies are sought  
35 (Kongsager et al. 2016). Trade-offs between adaptation and mitigation exist as well and need to be  
36 understood (and avoided) to establish win-win situations (Porter et al. 2014; Kongsager et al. 2016).

37 Forestry and agriculture offer a wide range of lessons for the integration of adaptation and mitigation actions  
38 given the vulnerability of forest ecosystems or cropland to climate variability and change (Keenan 2015;  
39 Gaba et al. 2015)(see Section 5.6, 4.9). Increasing adaptive capacity in forested areas has the potential to  
40 prevent deforestation and forest degradation (Locatelli et al. 2011). Reforestation projects, if well managed,  
41 can increase community economic opportunities that encourage conservation (Nelson and de Jong 2003),  
42 build capacity through training of farmers and installation of multifunctional plantations with income  
43 generation (Reyer et al. 2009), strengthen local institutions (Locatelli et al. 2015a) and increase cash-flow  
44 to local forest stakeholders from foreign donors (West 2016). A forest plantation that sequesters carbon for

1 mitigation can also reduce water availability to downstream populations and heighten their vulnerability to  
2 drought. Inversely, not recognising mitigation in adaptation projects may yield adaptation measures that  
3 increase greenhouse gas emissions, a prime example of ‘maladaptation’. Analogously, ‘mal-mitigation’  
4 would result in reducing greenhouse gas emissions, but increasing vulnerability (Barnett and O’Neill 2010;  
5 Porter et al. 2014). For instance, the cost of pursuing large scale adaptation and mitigation projects has been  
6 associated with higher failure risks, onerous transactions costs and the complexity of managing big projects  
7 (Swart and Raes 2007).

8 Adaptation encompasses both biophysical and socio-economic vulnerability and underlying causes  
9 (informational, capacity, financial, institutional, and technological; Huq et al. 2014) and it is increasingly  
10 linked to resilience and to broader development goals (Huq et al. 2014). Adaptation measures can increase  
11 performance of mitigation projects under climate change and legitimise mitigation measures through the  
12 more immediately felt effects of adaptation (Locatelli et al. 2011; Campbell et al. 2014; Locatelli et al.  
13 2015b). Effective climate policy integration in the land sector is expected to gain from 1) internal policy  
14 coherence between adaptation and mitigation objectives, 2) external climate coherence between climate  
15 change and development objectives, 3) policy integration that favours vertical governance structures to  
16 foster effective mainstreaming of climate change into sectoral policies, and 4) horizontal policy integration  
17 through overarching governance structures to enable cross-sectoral co-ordination (see Sections 1.5, 7.5).

## 18 **1.5 Enabling the response**

19 Climate change and sustainable development are challenges to society that require action at local, national,  
20 transboundary and global scales. Different time-perspectives are also important in decision making, ranging  
21 from immediate actions to long-term planning and investment. Acknowledging the systemic link between  
22 food production and consumption, and land-resources more broadly is expected to enhance the success of  
23 actions (Bazilian et al. 2011; Hussey and Pittock 2012). Because of the complexity of challenges and the  
24 diversity of actors involved in addressing these challenges, decision making would benefit from a portfolio  
25 of policy instruments. Decision making would also be facilitated by overcoming barriers such as inadequate  
26 education and funding mechanisms, as well as integrating international decisions into all relevant  
27 (sub)national sectoral policies (see Section 7.5).

28 ‘Nexus thinking’ emerged as an alternative to the sector-specific governance of natural resource use to  
29 achieve global securities of water (D’Odorico et al. 2018), food and energy (Hoff 2011; Allan et al. 2015),  
30 and also to address biodiversity concerns (Fischer et al. 2017). Yet, there is no agreed definition of “nexus”  
31 nor a uniform framework to approach the concept, which may be land-focused (Howells et al. 2013), water-  
32 focused (Hoff 2011) or food-centred (Ringler and Lawford 2013; Biggs et al. 2015). Significant barriers  
33 remain to establish nexus approaches as part of a wider repertoire of responses to global environmental  
34 change, including challenges to cross-disciplinary collaboration, complexity, political economy and the  
35 incompatibility of current institutional structures (Hayley et al. 2015; Wichelns 2017)(see Section 7.6.6,  
36 7.7.2).

### 37 **1.5.1 Governance to enable the response**

38 Governance includes the processes, structures, rules and traditions applied by formal and informal actors  
39 including governments, markets, organisations, and their interactions with people. Land governance actors  
40 include those affecting policies and markets, and those directly changing land use (Hersperger et al. 2010).  
41 The former includes governments and administrative entities, large companies investing in land, non-  
42 governmental institutions and international institutions. It also includes UN agencies that are working at  
43 the interface between climate change and land management, such as the FAO and the World Food

1 Programme that have *inter alia* worked on advancing knowledge to support food security through the  
2 improvement of techniques and strategies for more resilient farm systems. Farmers and foresters directly  
3 act on land (actors in proximate causes) (Hersperger et al. 2010)(see also Chapter 7.).

4 Policy design and formulation has often been strongly sectoral. For example, agricultural policy might be  
5 concerned with food security, but have little concern for environmental protection or human health. As  
6 food, energy and water security and the conservation of biodiversity rank highly on the Agenda 2030 for  
7 Sustainable Development, the promotion of synergies between and across sectoral policies is important  
8 (IPBES 2018a). This can also reduce the risks of anthropogenic climate forcing through mitigation, and  
9 bring greater collaboration between scientists, policy makers, the private sector and land managers in  
10 adapting to climate change (FAO 2015a). Polycentric governance (see Section 7.7) has emerged as an  
11 appropriate way of handling resource management problems, in which the decision- making centers take  
12 account of one another in competitive and cooperative relationships and have recourse to conflict resolution  
13 mechanisms (Carlisle and Gruby 2017). Polycentric governance is also multi-scale and allows the  
14 interaction between actors at different levels (local, regional, national, and global) in managing common  
15 pool resources such as forests or aquifers.

16 Implementation of systemic, nexus approaches has been achieved through socio-ecological systems (SES)  
17 frameworks that emerged from studies of how institutions affect human incentives, actions and outcomes  
18 (Ostrom and Cox 2010). Recognition of the importance of SES laid the basis for alternative formulations  
19 to tackle the sustainable management of land resources focusing specifically on institutional and  
20 governance outcomes (Lebelet al. 2006; Bodin 2017). The SES approach also addresses the multiple scales  
21 in which the social and ecological dimensions interact (Veldkamp et al. 2011; Myers et al. 2016; Azizi et  
22 al. 2017) (see Section 6.2).

23 Adaptation or resilience pathways within the SES frameworks require several attributes, including  
24 indigenous and local knowledge (ILK) and trust building for deliberative decision making and effective  
25 collective action, polycentric and multi-layered institutions and responsible authorities that pursue just  
26 distributions of benefits to enhance the adaptive capacity of vulnerable groups and communities (Lebel et  
27 al. 2006). The nature, source, and mode of knowledge generation are critical to ensure that sustainable  
28 solutions are community-owned and fully integrated within the local context (Mistry and Berardi 2016;  
29 Schneider and Buser 2018). Integrating ILK with scientific information is a prerequisite for such  
30 community-owned solutions (see Cross-Chapter Box 13: ILK, Chapter 7). ILK is context-specific,  
31 transmitted orally or through imitation and demonstration, adaptive to changing environments, collectivised  
32 through a shared social memory (Mistry and Berardi 2016). ILK is also holistic since indigenous people do  
33 not seek solutions aimed at adapting to climate change alone, but instead look for solutions to increase their  
34 resilience to a wide range of shocks and stresses (Mistry and Berardi 2016). ILK can be deployed in the  
35 practice of climate governance especially at the local level where actions are informed by the principles of  
36 decentralisation and autonomy (Chanza and de Wit 2016). ILK need not be viewed as needing confirmation  
37 or disapproval by formal science, but rather it can complement scientific knowledge (Klein et al. 2014).

38 The capacity to apply individual policy instruments and policy mixes is influenced by governance modes.  
39 These modes include hierarchical governance that is centralised and imposes policy through top-down  
40 measures, decentralised governance in which public policy is devolved to regional or local government,  
41 public-private partnerships that aim for mutual benefits for the public and private sectors and self or private  
42 governance that involves decisions beyond the realms of the public sector (IPBES 2018a). These  
43 governance modes provide both constraints and opportunities for key actors that affect the effectiveness,  
44 efficiency and equity of policy implementation.

## 1 1.5.2 Gender agency as a critical factor in climate and land sustainability outcomes

2 Environmental resource management is not gender neutral. Gender is an essential variable in shaping  
3 ecological processes and change, building better prospects for livelihoods and sustainable development  
4 (Resurrección 2013)(see Cross-Chapter Box 11: Gender, Chapter 7). Entrenched legal and social structures  
5 and power relations constitute additional stressors that render women’s experience of natural resources  
6 disproportionately negative than men. Socio-economic drivers and entrenched gender inequalities affect  
7 land-based management (Agarwal 2010). The intersections between climate change, gender and climate  
8 adaptation takes place at multiple scales: household, national, international, and adaptive capacities are  
9 shaped through power and knowledge.

10 Germaine to the gender inequities are the unequal access to land-based resources. Women play a significant  
11 role in agriculture (Boserup 1989; Darity 1980) and rural economies globally (FAO 2011), but are well  
12 below their share of labour in agriculture globally (FAO 2011). In 59% of 161 surveyed countries,  
13 customary, traditional and religious practices hinder women land rights (OEAD 2014). Moreover, women  
14 typically shoulder disproportionate responsibility for unpaid domestic work including care-giving activities  
15 (Beuchelt and Badstue 2013) and the provision of water and firewood (UNEP 2016). Exposure to violence  
16 restricts in large regions their mobility for capacity-building activities and productive work outside the  
17 home (Day et al. 2005; UNEP 2016). Large-scale development projects can erode rights, and lead to over-  
18 exploitation of natural resources. Hence, there are cases where reforms related to land-based management,  
19 instead of enhancing food security, have tended to increase the vulnerability of both women and men and  
20 reduce their ability to adapt to climate change (Pham et al. 2016). Access to, and control over, land and  
21 land-based resources is essential in taking concrete action to land based mitigation, and inadequate access  
22 can affect women’s rights and participation in land governance and management of productive assets.

23 Timely information, such as from early warning systems, is critical in managing risks, disasters, and land  
24 degradation, and in enabling land-based adaptation. Gender, household resources and social status, are all  
25 determinants that influence the adoption of land-based strategies (Therriault et al. 2017). Climate change is  
26 not a lone driver in the marginalisation of women, their ability to respond swiftly to its impacts will depend  
27 on other socio-economic drivers that may help or hinder action towards adaptive governance. Empowering  
28 women and removing gender-based inequities constitutes a mechanism for greater participation in the  
29 adoption of sustainable practices of land management (Mello and Schmink 2017). Improving women’s  
30 access to land (Arora-Jonsson 2014) and other resources (water) and means of economic livelihoods (such  
31 as credit and finance) are the prerequisites to enable women to participate in governance and decision-  
32 making structures (Namubiru-Mwaura 2014). Still women are not a homogenous group, and distinctions  
33 through elements of ethnicity, class, age and social status, require a more nuanced approach and not a  
34 uniform treatment through vulnerability lenses only. An intersectional approach that accounts for various  
35 social identifiers under different situation of power (Rao 2017) is considered suitable to integrate gender  
36 into climate change research and helps to recognise overlapping and interdependent systems of power  
37 (Djoudi et al. 2016; Kaijser and Kronsell 2014; Moosa and Tuana 2014; Thompson-Hall et al. 2016).

## 38 1.5.3 Policy Instruments

39 Policy instruments enable governance actors to respond to environmental and societal challenges through  
40 policy action. Examples of the range of policy instruments available to public policy-makers is discussed  
41 below based on four categories of instruments: legal and regulatory instruments, rights-based instruments  
42 and customary norms, economic and financial instruments and social and cultural instruments.



### 1 **1.5.3.1 Legal & regulatory instruments**

2 Legal and regulatory instruments deal with all aspects of intervention by public policy organisations to  
3 correct market failures, expand market reach, or intervene in socially relevant areas with inexistent markets.  
4 Such instruments can include legislation to limit the impacts of intensive land management, for example,  
5 protecting areas that are susceptible to nitrate pollution or soil erosion. Such instruments can also set  
6 standards or threshold values, for example, mandated water quality limits, organic production standards, or  
7 geographically defined regional food products. Legal and regulatory instruments may also define liability  
8 rules, for example, where environmental standards are not met, as well as establishing long-term agreements  
9 for land resource protection with land owners and land users.

### 10 **1.5.3.2 Economic and financial instruments**

11 Economic (such as taxes, subsidies) and financial (weather-index insurance) instruments deal with the many  
12 ways in which public policy organisations can intervene in markets. A number of instruments are available  
13 to support climate mitigation actions including public provision, environmental regulations, creating  
14 property rights and markets, using markets (Sterner 2003). Market-based policies such as carbon taxes, fuel  
15 taxes, cap and trade systems or green payments have been promoted (mostly in industrial economies) to  
16 encourage markets and businesses to contribute to climate mitigation, but their effectiveness to date has not  
17 always matched expectations (Grolleau et al. 2016) (see Section 7.5.4). Market-based instruments in  
18 ecosystem services generate both positive (incentives for conservation), but also negative environmental  
19 impacts, and also push food prices up or increase price instability (Gómez-Baggethun and Muradian 2015;  
20 Farley and Voinov 2016). Footprint labels can be an effective means of shifting consumer behaviour.  
21 However, private labels focusing on a single metric (e.g., carbon) may give misleading signals if they target  
22 a portion of the life cycle (e.g., transport) (Appleton 2009) or ignore other ecological indicators (water,  
23 nutrients, biodiversity)(van Noordwijk and Brussaard 2014).

24 Effective and durable, market-led responses for climate mitigation depend on business models that  
25 internalise the cost of emissions into economic calculations. Such “business transformation” would itself  
26 require integrated policies and strategies that aim to account for emissions in economic activities (Biagini  
27 and Miller 2013; Weitzman 2014; Eidelwein et al. 2018). International initiatives such as REDD+ and  
28 agricultural commodity roundtables (beef, soybeans, palm oil, sugar) are expanding the scope of private  
29 sector participation in climate mitigation (Nepstad et al. 2013), but their impacts have not always been  
30 effective (Denis et al. 2014). Payments for environmental services (PES) defined as “*voluntary transactions*  
31 *between service users and service providers that are conditional on agreed rules of natural resource*  
32 *management for generating offsite services”* (Wunder 2015) have not been widely adopted and have not  
33 yet been demonstrated to deliver as effectively as originally hoped (Börner et al. 2017)(see Sections 7.5,  
34 7.6). PES in forestry were shown to be effective only when coupled with appropriate regulatory measures  
35 (Alix-Garcia and Wolff 2014). Better designed and expanded PES schemes would encourage integrated  
36 soil-water-nutrient management packages (Stavi et al. 2016), services for pollinator protection (Nicole  
37 2015), water use governance under scarcity and engage both public and private actors (Loch et al. 2013).  
38 Effective PES also requires better economic metrics to account for human-directed losses in terrestrial  
39 ecosystems and to food potential, and to address market failures or externalities unaccounted for in market  
40 valuation of ecosystem services.

41 Resilient strategies for climate adaptation can rely on the construction of markets through social networks  
42 as in the case of livestock systems (Denis et al. 2014) or when market signals encourage adaptation through  
43 land markets or supply chain incentives for sustainable land management practices (Anderson et al. 2018).  
44 Adequate policy (through regulations, investments in research and development or support to social  
45 capabilities) can support private initiatives for effective solutions to restore degraded lands (Reed and

1 Stringer 2015), or mitigate against risk and to avoid shifting risks to the public (Biagini and Miller 2013).  
2 Governments, private business, and community groups could also partner to develop sustainable production  
3 codes (Chartres and Noble 2015), and in co-managing land-based resources (Baker and Chapin 2018), while  
4 private-public partnerships can be effective mechanisms in deploying infrastructure to cope with climatic  
5 events (floods) and for climate-indexed insurance (Kunreuther 2015). Private initiatives that depend on  
6 trade for climate adaptation and mitigation require reliable trading systems that do not impede climate  
7 mitigation objectives (Elbehri et al 2015; Mathews 2017).

### 8 **1.5.3.3 Rights-based instruments and customary norms**

9 Rights-based instruments and customary norms deal with the equitable and fair management of land  
10 resources for all people (IPBES 2018a). These instruments emphasise the rights in particular of indigenous  
11 peoples and local communities, including for example, recognition of the rights embedded in the access to,  
12 and use of, common land. Common land includes situations without legal ownership (e.g., hunter-gathering  
13 communities in south America or Africa and bushmeat), where the legal ownership is distinct from usage  
14 rights (Mediterranean transhumance grazing systems), or mixed ownership-common grazing systems (e.g.,  
15 Crofting in Scotland). A lack of formal (legal) ownership has often led to the loss of access rights to land,  
16 where these rights were also not formally enshrined in law, which especially effects indigenous  
17 communities, for example, deforestation in the Amazon basin. Overcoming the constraints associated with  
18 common-pool resources (forestry, fisheries, water) are often of economic and institutional nature (Hinkel  
19 et al. 2014) and require tackling the absence or poor functioning of institutions and the structural constraints  
20 that they engender through access and control levers using policies and markets and other mechanisms  
21 (Schut et al. 2016). Other examples of rights-based instruments include the protection of heritage sites,  
22 sacred sites and peace parks (IPBES 2018a). Rights-based instruments and customary norms are consistent  
23 with the aims of international and national human rights, and the critical issue of liability in the climate  
24 change problem.

### 25 **1.5.3.4 Social and cultural norms**

26 Social and cultural instruments are concerned with the communication of knowledge about conscious  
27 consumption patterns and resource-effective ways of life through awareness raising, education and  
28 communication of the quality and the provenance of land-based products. Examples of the latter include  
29 consumption choices aided by ecolabelling (see 1.5.3.2) and certification. Cultural indicators (such as social  
30 capital, cooperation, gender equity, women's knowledge, socio-ecological mobility) contribute to the  
31 resilience of social-ecological systems (Sterling et al. 2017). Indigenous communities (such as the Inuit and  
32 Tsleil Waututh Nation in Canada) that continue to maintain traditional foods exhibit greater dietary quality  
33 and adequacy (Sheehy et al. 2015). Social and cultural instruments also include approaches to self-  
34 regulation and voluntary agreements, especially with respect to environmental management and land  
35 resource use. This is becoming especially important in the increasingly important domain of corporate  
36 social responsibility (Halkos and Skouloudis 2016).

## 37 **1.6 The interdisciplinary nature of the SRCCL**

38 Assessing the land system in view of the multiple challenges that are covered by the SRCCL requires a  
39 broad, inter-disciplinary perspective. Methods, core concepts and definitions are used differently in  
40 different sectors, geographic regions, and across academic communities addressing land systems, and these  
41 concepts and approaches to research are also undergoing a change in their interpretation through time.  
42 These differences reflect varying perspectives, in nuances or emphasis, on land as component of the climate  
43 and socio-economic systems. Because of its inter-disciplinary nature, the SRCCL can take advantage of  
44 these varying perspectives and the diverse methods that accompany them. That way, the report aims to

1 support decision makers across sectors and world regions in the interpretation of its main findings and  
2 support the implementation of solutions.

3

## 4 **Frequently Asked Questions**

### 5 **FAQ 1.1 What are the approaches to study the interactions between land and climate?**

6 Climate changes shapes the way land is able to support supply of food and water for humans. At the same  
7 time the land surface interacts with the overlying atmosphere, thus human modifications of land use, land  
8 cover and urbanisation affect global, regional and local climate. The complexity of the land-climate  
9 interactions requires multiple study approaches embracing different spatial and temporal scales.  
10 Observations of land atmospheric exchanges, such as of carbon, water, nutrients and energy can be carried  
11 out at leaf level and soil with gas exchange systems, or at canopy scale by means of micrometeorological  
12 techniques (i.e. eddy covariance). At regional scale, atmospheric measurements by tall towers, aircraft and  
13 satellites can be combined with atmospheric transport models to obtain spatial explicit maps of relevant  
14 greenhouse gases fluxes. At longer temporal scale (> 10 years) other approaches are more effective such as  
15 tree ring chronologies, satellite records, population and vegetation dynamics and isotopic studies. Models  
16 are important to bring information from measurement together and to extend the knowledge in space and  
17 time, including the exploration of scenarios of future climate-land interactions.

18

### 19 **FAQ 1.2 How region-specific are the impact of different land-based adaptation and mitigation** 20 **options?**

21 Land based adaptation and mitigation options are closely related to regional specific features for several  
22 reasons. Climate change has a definite regional pattern with some regions already suffering from enhanced  
23 climate extremes and others being impacted little, or even benefiting. From this point of view increasing  
24 confidence in regional climate change scenarios is becoming a critical step forward towards the  
25 implementation of adaptation and mitigation options. Biophysical and socio-economic impacts of climate  
26 change depend on the exposures of natural ecosystems and economic sectors, which are again specific to a  
27 region, reflecting regional sensitivities due to governance. The overall responses in terms of adaptation or  
28 mitigation capacities to avoid and reduce vulnerabilities and enhance adaptive capacity, depend on  
29 institutional arrangements, socio-economic conditions, and implementation of policies, many of them  
30 having definite regional features. However global drivers, such as agricultural demand, food prices,  
31 changing dietary habits associated with rapid social transformations (i.e. urban versus rural, meat versus  
32 vegetarian) may interfere with regional specific policies for mitigation and adaptation options and require  
33 the global level to be addressed.

34

### 35 **FAQ 1.3 What is the difference between desertification and land degradation? And where are they** 36 **happening?**

37 The difference between land degradation and desertification is geographic. Land degradation is a general  
38 term used to describe a negative trend in land condition caused by direct or indirect human-induced  
39 processes (including anthropogenic climate change). Degradation can be identified by the long-term  
40 reduction or loss in biological productivity, ecological integrity or value to humans. Desertification is land  
41 degradation when it occurs in arid, semi-arid, and dry sub-humid areas, which are also called drylands.

1 Contrary to some perceptions, desertification is not the same as the expansion of deserts. Desertification is  
2 also not limited to irreversible forms of land degradation.  
3

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2 **Supplementary Material**3 **Table 1.SM.1 Observations related to variables indicative of land management, and their**  
4 **uncertainties**

LM-related process	Observations methodology	Scale of observations (space and time)	Uncertainties <sup>2</sup>	Pros and cons	Select literature
GHG emissions	Micrometeorological fluxes (CO <sub>2</sub> )	1-10 ha 0.5hr- >10 y	5-15%	<u>Pros</u> Larger footprints Continuous monitoring Less disturbance on monitored system	(Richardson et al. 2006; Luysaert et al. 2007; Foken and Napo 2008; Mauder et al. 2013; Peltola et al. 2014; Wang et al. 2015;
	Micrometeorological fluxes (CH <sub>4</sub> )		10-40%	Detailed protocols	Rannik et al. 2015;
	Micrometeorological fluxes (N <sub>2</sub> O)		20-50%	<u>Cons</u> Limitations by fetch and turbulence scale Not all trace gases	Campioli et al. 2016; Rannik et al. 2016; Wang et al. 2017a; Brown and Wagner-Riddle 2017; Desjardins et al. 2018)
	Soil chambers (CO <sub>2</sub> )	0.01-1 ha 0.5hr - 1 y	5%-15%	<u>Pros</u> Relatively inexpensive	(Vargas and Allen 2008; Lavoie et al. 2015; Barton et al. 2015; Dossa et al. 2015;
	Soil chambers (CH <sub>4</sub> )		5% - 25%	Possibility of manipulation experiments	Ogle et al. 2016;
	Soil chambers (N <sub>2</sub> O)		53% - 100% <sup>3</sup>	Large range of trace gases	Pirk et al. 2016; Morin et al. 2017;
				<u>Cons</u> Smaller footprint Complicate upscaling Static pressure interference	Lammirato et al. 2018)
	Atmospheric inversions (CO <sub>2</sub> )	Regional 1->10 y	50%	<u>Pros</u> Integration on large scale	(Wang et al. 2017b)
	Atmospheric inversions (CH <sub>4</sub> )		3-8%	Attribution detection (with 14C)	(Pison et al. 2018)

<sup>2</sup> FOOTNOTE: Uncertainty here is defined as the coefficient of variation CV. In the case of micrometeorological fluxes they refer to random errors and CV of daily average

<sup>3</sup> FOOTNOTE: > 100 for fluxes less than 5g N<sub>2</sub>O-N ha<sup>-1</sup> d<sup>-1</sup>

				Rigorously derived uncertainty <u>Cons</u> Not suited at farm scale Large high precision observation network required	
Carbon balance	Soil carbon point measurements	0.01ha-1ha >5 y	5-20%	<u>Pros</u> Easy protocol Well established analytics <u>Cons</u> Need high number of samples for upscaling Detection limit is high	(Chiti et al. 2018; Castaldi et al. 2018; Chen et al. 2018; Deng et al. 2018)
	Biomass measurements	0.01ha – 1ha 1-5 y	2-8%	<u>Pros</u> Well established allometric equations High accuracy at plot level <u>Cons</u> Difficult to scale up Labour intensive	(Pelletier et al. 2012; Henry et al. 2015; Vanguelova et al. 2016; Djomo et al. 2016; Forrester et al. 2017; Xu et al. 2017; Marziliano et al. 2017; Clark et al. 2017; Disney et al. 2018; Urbazaev et al. 2018; Paul et al. 2018)
Water balance	Soil moisture (IoT sensors, Cosmic rays, Thermo-optical sensing etc.)	0.01ha – regional 0.5hr- <1y	3-5% vol	<u>Pros</u> New technology Big data analytics Relatively inexpensive <u>Cons</u> Scaling problems	(Yu et al. 2013; Zhang and Zhou 2016; Iwata et al. 2017; McJannet et al. 2017; Karthikeyan et al. 2017; Iwata et al. 2017; Cao et al. 2018; Amaral et al. 2018; Moradizadeh and Saradjian 2018; Strati et al. 2018)
	Evapotranspiration	0.01ha – Regional 0.5hr- >10y	10-20%	<u>Pros</u> Well established methods Easy integration in models and DSS <u>Cons</u> Partition of fluxes need additional measurements	(Zhang et al. 2017; Papadimitriou et al. 2017; Kaushal et al. 2017; Valayamkunnath et al. 2018; Valayamkunnath et al. 2018; Tie et al. 2018; Wang et al. 2018)
Soil Erosion	Sediment transport	1 ha – Regional 1d - >10y	-21-34%	<u>Pros</u> Long history of methods	(Efthimiou 2018; García-Barrón et al.

				Integrative tools <u>Cons</u> Validation is lacking Labour intensive	2018; Fiener et al. 2018)
Land cover	Satellite	0.01ha – Regional 1d - >10y	16 - 100%	<u>Pros</u> Increasing platforms available Consolidated algorithms <u>Cons</u> Need validation Lack of common Land Use definitions	(Olofsson et al. 2014; Liu et al. 2018; Yang et al. 2018)

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1 **Table 1.SM.2 Possible uncertainties decision making faces** (following (Hansson and Hadorn 2016))

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Type	Knowledge gaps	Understanding the uncertainties
Uncertainty of consequences	Do the model(s) adequately represent the target system? What are the numerical values of input parameters, boundary conditions, or initial conditions? What are all potential events that we would take into account if we were aware of them? Will future events relevant for our decisions, including expected impacts from these decisions, in fact take place?	Ensemble approaches; downscaling Benchmarking, sensitivity analyses Scenario approaches
Moral uncertainty	How to (ethically) evaluate the decisions? What values to base the decision on (→ often unreliable ranking of values not doing justice to the range of values at stake, cp. Sen 1992), including choice of discount rate, risk attitude (risk aversion, risk neutral, ...) Which ethical principles? (i.e. utilitarian, deontic, virtue, or other?)	Possibly scenario analysis Identification of lock-in effects and path-dependency (e.g. Kinsley et al 2016)
Uncertainty of demarcation	What are the options that we can actually choose between? (not fully known because “decision costs” may be high, or certain options are not “seen” as they are outside current ideologies). How can the mass of decisions divided into individual decisions? e.g. how this influences international negotiations and the question who does what and when (cp. Hammond et al. 1999).	Possibly scenario analysis
Uncertainty of consequences & uncertainty of demarcation	What effects does a decision have when combined with the decision of others? (e.g. other countries may follow the inspiring example in climate reduction of country X, or they use it solely in their own economic interest)	Games
Uncertainty of demarcation & moral uncertainty	How would we decide in the future? (Spohn 1977; Rabinowicz 2002)	

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4 **References SM1**

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