

Factors affecting survival in stage 2-3 colorectal cancer: a single-center retrospective study

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Abstract. – OBJECTIVE: The principal aim of this research is to investigate the variables that exert a discernible impact on the overall survival (OS) of individuals afflicted with colorectal cancer (CRC) harboring pathologic stages 2-3, as delineated within the TNM staging schema tailored to CRC, an established framework governed by the American Joint Committee on Cancer (AJCC).

PATIENTS AND METHODS: Patients with pre-operative stages 1 and 4, patients with a history of other organ malignancy, patients who could not undergo curative resection, patients with systemic malignant diseases (leukemia, lymphoma, etc.), patients with synchronous tumors, and patients with positive surgical margins were excluded from the study. Notable pathological parameters, including tumor grade, perforation status, lymphovascular invasion, perineural invasion, the presence of mucinous components, and tumor size, were ascertained through pathological examination of resected specimens.

RESULTS: Curative resection was performed on 241 patients. The mean age of all patients was calculated to be 65.67 ± 16.04 . The average tumor size was measured as 5.03 ± 2.22 cm. The 1-year survival rate of the patients was found to be 84.3%, 3-year survival rate was 69.0%, and 5-year survival rate was 52.9%. According to the COX regression analysis, the categorical variables that were found to be significantly associated with OS were grade ($p=0.046$), emergency surgery ($p<0.001$), and tumor localization ($p=0.015$).

CONCLUSIONS: The initial patient and tumor characteristics at baseline have demonstrated substantial predictive capacity regarding patient outcomes following disease recurrence. Survival analyses showed that undergoing emergency surgery, having the tumor located in the rectum, and having a “poor” tumor grade adversely affected survival.

Key Words:

Colon cancer, Rectum cancer, Stage 2, Stage 3.

Introduction

In the year 2022, colorectal cancer (CRC) emerged as the fourth most frequently diagnosed cancer in the United States, and the second leading cause of cancer-related mortality. Surgical resection has established itself as the prevailing standard of care for non-metastatic colorectal cancers¹. Nonetheless, surgery in isolation is associated with a substantial incidence of locoregional recurrence, particularly in locally advanced rectal cancer patients classified as T3-T4 and N positive according to the tumor/node/metastasis (TNM) staging system devised by the American Joint Committee on Cancer (AJCC)². To mitigate this risk, neoadjuvant or adjuvant radiotherapy (RT) is recommended for eligible patients. The detection of locoregional recurrence in CRC patients significantly diminishes overall survival, underscoring the importance of factors contributing to a higher recurrence rate and a compromised prognosis³.

Despite the adoption of a standardized treatment regimen involving radical surgery and adjuvant chemotherapy, the survival outcomes in CRC patients exhibit significant heterogeneity and remain unsatisfactory. The 5-year survival rates are markedly disparate, with 90.1% observed among patients with localized CRC, 69.2% among patients with regional lymph node involvement, and a mere 11.7% among patients with distant metastases⁴. Pathologic evaluation of the resected specimen currently serves as the most potent tool for prognostic assessment subsequent to potentially curative surgery⁵. While factors such as tumor invasion depth, the number of positive lymph nodes, and the presence of metastases serve as robust predictors of prognosis, other clinical, molecular, and histological features can independently influence prognosis, regardless of disease stage⁵.

The primary objective of this study is to examine the factors that exert an influence on overall survival (OS) in patients diagnosed with CRC at pathologic stages 2-3, as outlined by the TNM staging system for CRC established by the AJCC, who received appropriate treatment comprising neoadjuvant, surgical, and adjuvant modalities.

Patients and Methods

The data of patients who underwent curative surgery for CRC between January 2015 and March 2020 and who were pathologically proven to have cancer were retrospectively evaluated. Approval for this study was obtained from the Ethics Committee of our hospital (364/123/3).

Patients with preoperative stages 1 and 4, patients with a history of other organ malignancy, patients who could not undergo curative resection, patients with systemic malignant diseases (leukemia, lymphoma, etc.), patients with synchronous tumors, and patients with positive surgical margins were excluded from the study.

According to our clinical protocol, intravenous ceftriaxone and metronidazole were given before surgery in the operating room, and antibiotherapy was continued for 72 hours. If there were signs of infection in the postoperative clinical observation or laboratory tests, the current treatment was extended, or a different antibiotherapy was applied according to the advice of an infectious disease specialist. All patients underwent curative resection according to oncologic principles (lymph node dissection, total mesocolic/mesorectal excision, R0 resection).

The study encompassed an analysis of various demographic characteristics of the patient cohort, as well as an in-depth examination of pathology results, tumor localization, and the impact of treatment modalities on overall prognosis. Notable pathological parameters, including tumor grade, perforation status, lymphovascular invasion, perineural invasion, the presence of mucinous components, and tumor size, were meticulously ascertained through pathological examination of resected specimens. The life span of each patient was sourced from the national data repository, facilitating a comprehensive survival analysis. Tumor localization was systematically categorized into three distinct groups: right colon, left colon, and rectum. Specifically, tumors situated within the proximal two-thirds of the transverse colon were designated as right colon

tumors, while those positioned more distally were classified as left colon tumors. Tumors that both received neoadjuvant treatment and were located within the initial 10 centimeters from the anal canal, identified through colonoscopy, were registered as rectal tumors.

Statistical Analysis

All statistical analyses were performed by SPSS 25 software (IBM Corp., Armonk, NY, USA) (Statistical Package for Social Sciences) for Windows 25.0. It was also expressed as numerical (n) and percentage (%). Logistic regression analysis was used to evaluate 1-, 3- and 5-year survival. Cox regression analysis was used to evaluate overall survival. Kaplan-Meier survival analysis was performed for categorical parameters that were significant in Cox regression analysis. $p < 0.05$ was considered statistically significant at a 95% confidence interval.

Results

Due to CRC, curative resection was performed on 241 patients, of whom 130 (53.9%) were male and 111 (46.1%) were female. The mean age of all patients was calculated to be 65.67 ± 16.04 . The average tumor size was measured as 5.03 ± 2.22 cm.

Among the patients, 22 (13.3%) had high-grade tumors, 175 (72.6%) had intermediate-grade tumors, and 34 (14.1%) had low-grade tumors. Lymphovascular invasion was present in 97 patients (40.2%), perineural invasion in 98 patients (40.7%), and mucinous component in 47 patients (19.5%).

A total of 91 patients (37.8%) underwent emergency surgery, and 26 (10.8%) had tumor perforation. The tumor was located in the right colon in 70 patients (29.0%), the left colon in 143 patients (59.4%), and the rectum in 28 patients (11.6%).

The 1-year survival rate of the patients was found to be 84.3%, 3-year survival rate was 69.0%, and 5-year survival rate was 52.9%. The overall survival (OS) of all patients as of 2022 was determined to be 41.3%.

The survival rates at 1, 3, and 5 years were evaluated. The multivariate analysis of survival with respect to the variables is summarized in Tables I, II, and III.

The subgroup analysis of patients' OS with respect to the variables, based on COX regression analysis, is summarized in Table IV.

Table I. Factors affecting 1-year survival.

	B	95% confidence interval for B		P multivariate
		Lower	Upper	
Sex	1.259	0.500	3.167	0.625
Age	0.933	0.899	0.968	< .000
Grade				0.036
Grade (Moderately)	6.746	1.461	31.155	0.014
Grade (Poorly)	12.489	1.524	102.357	0.019
Tumor Size (cm)	1.039	0.818	1.320	0.752
Perforation	0.240	0.077	0.748	0.014
Lymphovascular Invasion	0.946	0.360	2.486	0.910
Perineural Invasion	0.989	0.382	2.563	0.982
Emergency Surgery	7.270	2.254	23.445	0.001
Mucinous Component	1.774	0.337	9.337	0.498
Tumor Location				0.478
Tumor Location (Left)	0.560	0.177	1.771	0.324
Tumor Location (Rectum)	0.306	0.037	2.527	0.272

Logistic regression.

According to the COX regression analysis, the categorical variables that were found to be significantly associated with OS were grade, emergency surgery, and tumor localization. Survival analyses showed that undergoing emergency surgery, having the tumor located in the rectum, and having a “poor” tumor grade adversely affected survival.

Discussion

Anatomy-based staging (TNM) remains an important prognostic factor in all cancers. Today,

the pathologic stage we reach after resection is the most important factor in determining the survival of CRC⁶. However, rapidly advancing knowledge of cancer biology has shown us that, in some cases, there may be more important factors affecting prognosis⁶.

When these factors are examined, tumor spread to venous vessels, non-muscular capillaries, and postcapillary lymphatics is an important prognostic factor⁷. In some published studies^{8,9}, extramural venous invasion and lymphatic invasion were found to be independent risk factors for survival. In our study, lymphovascular invasion was not found to be an independent risk factor af-

Table II. Factors affecting 3-year survival

B	95% confidence interval for B		P multivariate
	Lower	Upper	
0.564	0.276	1.153	0.117
0.969	0.947	0.992	0.009
			0.082
2.259	0.702	7.277	0.172
5.917	1.226	28.560	0.027
1.089	0.906	1.309	0.361
0.132	0.044	0.395	< .000
0.687	0.326	1.446	0.323
1.085	0.516	2.279	0.830
6.989	3.178	15.369	< .000
0.902	0.342	2.379	0.835
			0.568
1.359	0.588	3.142	0.473
0.770	0.195	3.038	0.709

Logistic regression.

Table III. Factors affecting 5-years survival.

	B	95% confidence interval for B		P multivariate
		Lower	Upper	
Sex	1.273	0.696	2.328	0.433
Age	0.977	0.957	0.996	0.019
Grade				0.799
Grade (Moderately)	1.414	0.507	3.944	0.508
Grade (Poorly)	1.318	0.361	4.820	0.676
Tumor Size (cm)	0.994	0.862	1.145	0.932
Perforation	0.172	0.054	0.552	0.003
Lymphovascular Invasion	0.613	0.323	1.162	0.133
Perineural Invasion	0.846	0.448	1.599	0.608
Emergency Surgery	3.166	1.623	6.177	0.001
Mucinous Component	0.465	0.213	1.014	0.054
Tumor Location				0.283
Tumor Location (Left)	1.242	0.617	2.501	0.543
Tumor Location (Rectum)	0.563	0.183	1.726	0.314

Logistic regression.

fecting survival at 1, 3 and 5 years (p -value 0.910, 0.323 and 0.133, respectively), but it was found to be close to significance among the factors affecting overall survival ($p=0.062$). This suggests that lymphovascular invasion is an important parameter that should be considered when evaluating the pathology specimen. According to the American Society of Clinical Oncology (ASCO) and the National Comprehensive Cancer Network (NCCN)^{10,11}, if the lymphovascular invasion is found in the tumor, the tumor should be classified as “high-risk”, and adjuvant chemotherapy should be planned accordingly.

The presence of perineural invasion in the tumor has been found to be associated with poor prognosis, according to some studies^{12,13}. However, in our study, the perineural invasion was not found to be a factor affecting 1, 3 and 5-year survival and overall survival (p -value 0.982, 0.830, 0.608 and 0.471, respectively). Similar to lymphovascular invasion, ASCO and NCCN^{10,11} stated that perineural invasion is a parameter that must be examined in the pathology specimen and the presence of perineural invasion in the tumor should be considered as “high-risk” and adjuvant chemotherapy should be adjusted accordingly.

Table IV. Factors affecting overall survival.

	B	95% confidence interval for B		P multivariate
		Lower	Upper	
Sex	0.840	0.536	1.316	0.446
Age	1.032	1.016	1.049	< .000
Grade				0.046
Grade (Moderately)	0.540	0.277	1.053	0.070
Grade (Poorly)	0.285	0.105	0.775	0.014
Tumor Size (cm)	0.949	0.851	1.059	0.350
Perforation	3.102	1.730	5.565	< .000
Lymphovascular Invasion	1.548	0.978	2.450	0.062
Perineural Invasion	1.181	0.751	1.855	0.471
Emergency Surgery	0.310	0.182	0.529	< .000
Mucinous Component	1.350	0.741	2.460	0.327
Tumor Location				0.015
Tumor Location (Left)	0.747	0.455	1.229	0.251
Tumor Location (Rectum)	2.128	0.972	4.658	0.059

COX regression.

In order to determine the grade of the tumor, in our study, evaluation was made according to the well, moderately, and poorly differentiated classification used by the World Health Organization¹⁴ in determining the prognosis of digestive system tumors. However, since the histologic grading system is based on the subjective evaluation of the pathologist, the outcome is open to debate. In our study, we found that grade was an independent risk factor affecting 1-year survival and over-survival ($p=0.036$ and 0.046 , respectively); poorly differentiated compared to well or intermediately differentiated was an independent risk factor affecting 3-year survival ($p=0.027$). In some previous studies^{15,16}, tumor differentiation was also considered as an independent risk factor, but there is no widely accepted grading system today, so the grade remains subjective data.

Many tumors can secrete intracellular (signet ring cell) or extracellular mucin. Extracellular mucin is secreted out of the colon wall and acts as a factor that helps the tumor spread¹⁷. The tumor may secrete varying amounts of mucin, and if this amount is more than 50% of the tumor size, then it is a mucinous carcinoma rather than a mucinous component. While signet ring cell carcinoma is associated with a poor prognosis, the relationship of the extracellular mucinous component with prognosis is unclear, and current data are confusing¹⁸. In our study, mucinous components were not found to be an independent risk factor in the evaluation of survival. The p -values for 1, 3 and 5-year survival and overall survival were 0.498, 0.835, 0.054 and 0.327, respectively.

Localization of the tumor (Left-Right) has been found to be a prognostic factor in most studies in the literature. In a 2016 meta-analysis¹⁹ of 66 studies, left colon tumors (from the splenic flexure to the rectum) were associated with a reduced risk of death. In addition, tumor localization may also be associated with genetic mutation. In one study²⁰, *BRAF* or *KRAS* (genes associated with worse prognosis) mutations were more common in tumors originating from the right colon, while mutations were less common in tumors originating from the left colon. In our study, left colon tumors were also found to have a better prognosis in accordance with the literature. In a European study²¹ comparing the survival of patients with rectal and colon cancer, it was reported that rectal cancer had a lower survival rate than colon cancer. In our study, it was found

that rectal cancer had a worse prognosis than left and right colon cancer in terms of overall survival ($p=0.015$).

There are studies^{22,23} suggesting that obstruction or perforation of the tumor at the time of diagnosis or during treatment is associated with poor prognosis. There are also studies²⁴ that suggest that perforated or obstructed tumors have a worse prognosis because they are more advanced and associated with worse histological findings. In our study, patients who underwent emergency surgery for obstruction or perforation had worse 1, 3 and 5-year survival and overall survival, consistent with the literature (p -values were 0.001, <0.001 , 0.001 and <0.001 , respectively).

The age of the patient has an important role in CRC prognosis. In many studies in the literature conducted in different age groups, it has been reported that advanced age affects the prognosis of the disease in a bad way. In a study²⁵ published in 2016, a statistically significant value was obtained when the 5-year OS of patients over and under 50 years of age at the same stage was compared. In another study²⁶, a significant difference was found in the survival of stage 1-3 patients under and over 35 years of age. In our study, age was found to be an independent risk factor for 1, 3 and 5-year and overall survival (p -values were <0.001 , 0.009, 0.019 and <0.001 , respectively).

Women are known to have better survival in some cancer types. For CRC, the female gender was previously said to be a good prognostic factor^{27,28}. Recent studies^{29,30} suggest that gender may be a risk factor affecting survival in the early stage of CRC, especially in the elderly population, where randomized trials of more than 1,000 patients suggest that current conventional treatment has a better outcome in women compared to men. In our study, we concluded that gender had no effect on 1-, 3- and 5-year survival and overall survival (p -values were 0.625, 0.117, 0.433 and 0.446, respectively).

In a recent study³¹ of 4,057 patients, tumor size was found to be an independent risk factor for overall survival. In the same study³¹, when patients were grouped according to macroscopic growth pattern, tumor size was found to be an independent risk factor for over-survival in both infiltrative and ulcerative groups, whereas it was found to be an independent risk factor affecting disease-free survival only in the infiltrative group. In another study³², in metachronous tumors, patients with primary tumor size great-

er than 6.5 cm had worse survival. In another study³³ published in 2021, small tumor size was evaluated as a factor positively affecting survival in univariate analysis. In this study, tumor size was not found to be a factor affecting overall survival ($p=0.350$).

Limitations

The limitations of the study include its retrospective nature, the fact that preoperative carcinoembryonic antigen (CEA) was not analyzed, genetic tests were not performed, and disease-free survival was not analyzed.

Conclusions

Age, grade, tumor perforation, and emergency surgery were identified as factors that independently affect 1-year survival. Similarly, age, poorly differentiated tumor, perforation, and emergency surgery were identified as independent risk factors for 3-year survival. Age, tumor perforation, and emergency surgery were found to be independent risk factors for 5-year survival. Lastly, age, grade, perforation, emergency surgery, and tumor localization were identified as independent risk factors for overall survival.

Survival analysis showed that being operated for emergency conditions was associated with worse survival than being operated for elective conditions, being operated for rectal cancer was associated with worse survival than being operated for colon cancer, and having poorly differentiated tumors was associated with worse survival than other types.

Conflict of Interest

The authors declare that they have no conflict of interests.

Funding

The authors declare that this study has received no financial support.

Ethics Approval

Approval for this study was obtained from the Ethics Committee of the Health Science University, İstanbul Kartal Lutfu Kırdar City Hospital (approval number: 364/123/3).

Informed Consent

All participants provided written informed consent.

Data Availability

F.M., M.K., and G.O.: conceptualization, methodology, software. F.M. and M.K.: data curation, original draft preparation. F.M. and M.K.: visualization, investigation. G.O.: supervision. F.M., M.K.: software, validation. G.O. and F.M.: writing, reviewing, editing.

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