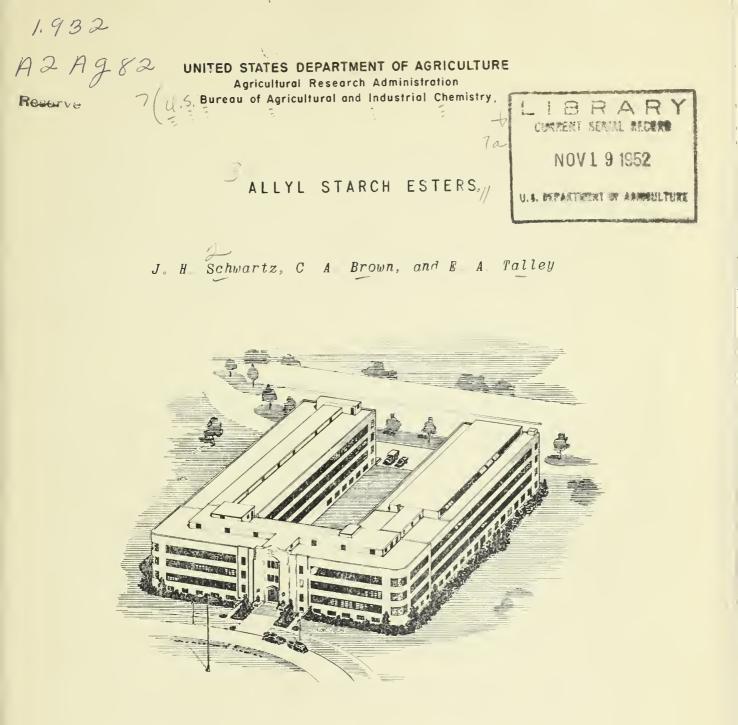
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Eastern Regional Research Laboratory

ALLYL STARCH ESTERS

J. H. Schwartz, C. A. Brown, and E. A. Talley

Allyl starch containing approximately 1.8 to 1.9 allyl groups per glucose unit produces films that, when cured, have high resistance to organic solvents but much less resistance to aqueous solutions such as dilute sodium hydroxide and dilute ethanol. Allyl starch has been found valuable for printing inks, paper coatings, and other applications, but for some uses greater resistance to acueous solutions is required. One method of improving the resistance might be etherification or esterification of the remaining hydroxyl groups. A previous paper¹ described the preparation and testing of the mixed ethers formed by the reaction of the free hydroxyl groups with alkylating reagents. In the work reported here, allyl starch esters were prepared by the reaction of the free hydroxyl groups with acylating reagents.

Preparation and Testing of Films

In most cases, solutions of the ether-esters of starch used contained 40 to 45 percent solids. Toluene, or toluene containing 5 to 10 percent butanol, was used as solvent. The viscosities of the solutions were usually between 0 5 and 1 0 stokes Two exceptions were batch 2 (Tables 2 and 3), which had 39 percent solids and a viscosity of 6-27 stokes, and batch 17, which had 35 percent solids and a viscosity of 2 25 stokes

To determine the time required to cure the films at 100 and 150° C., they were poured on glass plates and heated in an oven for different periods. The time required for cure was based on the results of three tests. If a spot of malachite green could be washed off or if running the edge of a knife along the film (edge knife test) or attempting to lift the film from the glass with the edge of a knife (flat knife test) caused the film to flake off rather than come off in curled strips, a cure was indicated.

Films were cast on test tubes by dipping and cured at 100 and 150° C. The tubes were then suspended in the testing reagents. Some films were protected at their edges and at the bottom of the test tube by a coat of paraffin. This was not done in all cases because the value of such protection was not known until after the work was under way. A more detailed description of the method of preparing and testing the films has been published.

For comparison, Table 1 lists some tests on allyl starch itself. The allyl starch esters are listed in Tables 2 and 3. Unless otherwise indicated, the time given in the tables is the time at which the film failed. Zero time indicates failure in less than 3 minutes When the results were too indefinite or the observations too few to show a more definite time of failure, a range is given The films were considered to have failed if they were broken at any point or swollen, wrinkled, or blistered over a large area.

⁸ E. A. TALLEY_ J. H. SCHWARTZ A. S. HUNTER AND C. A. BROWN, BENZYL ALLYL STARCH AND OTHER M XED ALLYL STARCH ETHERS. U. S. DEPT. AGR., BUR. AGR. AND IND. CHEM. AIC-261 (EASTERN REGIONAL RESEARCH LABORATORY), 18 PP. FEB. 1950. (PROCESSED.)

Discussion of Results

Of the films cured at 150° C., films of allyl starch stearate and allyl starch phenyl carbamate showed the greatest general improvement over allyl starch films in resistance to aqueous reagents. The phenyl carbamate, however, was much less resistant to acetone. One batch of allyl starch acetate (No. 2) had much greater resistance to alkaline reagents than did the other. The explanation for this is not clear. It might be due to the fact that this batch (No. 2) was prepared with pyridine as a catalyst, whereas sulfuric acid was used as the catalyst for the other acetate batch (No. 3). Also, the high viscosity of batch 2 (6.27 stokes) might have produced thicker dip-coated films than were obtained from batch 3. Both batches had reduced resistance to acetone. In general, most of the ether-esters showed greater resistance to dilute ethanol and to boiling water than did allyl starch but little or no improvement in resistance to dilute phenol. Some of the ether-esters were not so resistant to acetone as was allyl starch

Films of allyl starch stearate, oleate, and phenyl carbamate were not cured at 100° C. because of the exceedingly long time required. The allyl starch benzoate could not be tested because its films cracked when dried Of those films cured at 100° C., only the laurate showed a general improvement over allyl starch. When exposed to some of the reagents, however, its films whitened. One batch of propionate (No 7) had increased resistance to alkaline reagents. All the ester films cured at 100° C., except those of the allyl starch allyl carbonate, had poor resistance to acetone.

Films of allyl starch and allyl starch esters had greater resistance to acetone as the degree of cure was increased. In the case of the etheresters, this was true only of films cured at 150° C. The resistance of the ether-esters to dilute phenol also increased with the degree of cure. In many cases, however, films that were undercured (according to the spot and knife tests) showed greater resistance to dilute ammonia solutions than did cured or overcured films.

Allyl starch allyl starch propionate. linoleate, and allyl carbonate were generally more resistant to the various reagents when cured at 150° C than at 100° The improvement produced by the higher temperature was noted mainly in resistance to dilute phenol and ethanol and to acetone The greater resistance of films cured at the higher temperature had been observed previously with allyl starch, benzyl allyl starch, and other allyl starch mixed ethers.

Allyl starch acetate, propionate, and allyl carbonate took about as long to cure as allyl starch, but the phenyl carbamate and esters of the long-chain fatty acids, except for the linoleate, took much longer. The long curing time may have been due, at least in part, to the free fatty acids Free linoleic acid did not delay curing because it has drying properties

Preparation and Analysis of Allyl Starch Esters

Table 4 gives a summary of the preparation of the allyl starch esters All were prepared from water free solutions of allyl starch In most cases,

	1% soap solution		50-56 72-58 72-58 0.4 54-72 54-72 54-72	າ ເ ດີ ເ ດີ ເ ດີ ເ ດີ ເ ດີ ເ ດີ ເ ດີ ເ ດ	0.7 0.5 0.3		72 g 114 g 22	35 36 30	၀ - ၁ က ၁ က ၁ ၁ ၁ ၁ ၁ ၁ ၁ ၁ ၁ ၁ ၁ ၁ ၁ ၁ ၁ ၁
	Acetone			22 72 g	15 72 72		72 g	60 72 а 72 д	2.5 72 g 72 g
re, hours ^l	4% acetic acid		8-20 72 g 0.4 2.1 2.7	72 g 5.5	2.8 0.8		72 9 72 9 72 9 9	27 5-5	23-8 0.0
before failure,	50% ethanol	ت ن		0.4 5	0.20	ت	2. 3. 25-22		3-8 0.6
Contact time	5% phenol	cured at 100° (30000000000000000000000000000000000000	యణ •• లంల	00.2 00.5 0	at 150°	2.1 8-17 12-22 10	0.6 1 2	0.72 0.17 0.14
	5% sodium hydroxide	Films c	പര – – ജ – റോറ്റ്റ്റ്റ്റ്റ്റ്റ്റ്റ്റ്റ്റ്റ്റ്റ്റ്റ	000	000	Films cured	17-20 0.4 0.1	ოოო 000	000
Ĩ	10% ammonium hydroxide		00000000000000000000000000000000000000	0.33	000		00000±	14 30 14 30 000	±.000
	Curigg time	Mou rs	ರ ರಿ. ರಿ.ಕಿ. ರಿ.ಕಿ. ರಿ. ರಿ. ರಿ. ರಿ. ರಿ. ರಿ. ರಿ. ರಿ. ರಿ. ರ	ь о 50 р 3 457 р 3	°° 2.0.0 2.4.0	Minutes	10 50 3 100	0 p 3 0 p 3 0 p 3 0 p 3	د م. م. م <u>د</u> 0.00
	Substitution, allyl groups per glucose unit		1.78	1.57	2.1		1.78	1.57	2.1
	Batch No.		20	21	22		20 ⁴	21	22

g indicates that the film was not attacked; w indicates that the film wrinkled but became smooth and adhered to the glass on removal from the reagent. -

- 2 p indicates that the film was protected.
- 3 Proper cure, as indicated by spot and knife tests.
- 4 In boiling water, the films' failed in 0.1 to 0.2 hour.

Table 1. Resistance of ally! starch films to various reagents

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1% soap solution 0 513 3-19 5 010.01 5 U 333 ŧ 00000 222 722 222 72 222 57 45 3872 5 Boiling water 6.2 00 0 ວາ 2.7 5 000 500 0 ω<u>–</u> 0.1:0.16 Acetone 0.46 ₽.0 01010 0.9 0.7 75 w ß U 0000 0 X 0 700. 722 72 500. 222 000 52 57 0.4:0 Z ວາວາ 4% acetic acid 62-75 62-75 75 ວ 000 22 300 0000 0000 0 0000 じつり 0 <u>6</u> 11 122 122 3-1 222 100 172 722 96 50% ethanol 0 72 g 0.7 තතත 5 ອາອາອາ ອາ 0000 තතත 3-19 333 2 00 2. 222 177 90 202 222 ماما 722 NNN 5% phenol 0.5 നനാഗ 0.00 4.5 5-19 5-19 + SO က္ဆံ ß 6 0°0-0---000 000 0 പറപ്പ -----0 ÷ က်က် 5% sodium hydroxide 0_810 |_110 0_910 1.00° $\begin{array}{c}
0.7\\
0.5\\
0.5
\end{array}$ =+0001 30 ດາບາດ 10 0 . 3 33 . 72 24 000 000 22 72 0 10% ammonium hydroxide 1.5 5-33 75 w - ° ++ 0 = 7 1 = 3 ບາຍ () ____ 0.3 50 = ೧= \geq 333 33 200 000 722 222 722 72 20 O 5 Curing time, 2 mins² G G G ഗ 50.0 0.00 120 1305 240 20 2 20 5 30 1955 20 40 70 960⁸ 320 740 2109 2402 000 1000 1000 20 4 20 Substitution, s per glucose unit Allyf Hydroxyl 0.064 60 " 0 0.04 0.03 0.74 0 . u, u 0.06 0.5 р. ц 0.0 0"0 0.1 I . 89⁴ • 0.4 1 .73 1.73 1.73 •04 2.06 . 76 1.60 1.84 2.14 က _____ N g roups 1.24⁴ "23⁴ 1.34⁴ 20 0.50 0.37 2 1.2⁴ 6"0 \sim ß -2 ් 0 Phenyl carbamyl Substituent (R) Allyl carbonyl Propiony] Propiony1 Propiony1 Stearoyl Linoleyl auroyl Lauroy Acetyl Acetyl 0ley] Batch No.

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hours

Contact time before failure,

allyl starch esters to various reagents (films cured at 150°

Resistance of films of

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Table

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Film darkened upon exposure to reagent.

g indicates that the film was not attacked; w indicates that the film wrinkled but became smooth and ad from the reagent. Promates that the film was protected. Prepared from allyl starch batch 20. Proper cure, as indicated by spot and knife tests. Proper d from allyl starch batch 21. Not cured in 1260 minutes: cure time not known.

Film resisted toluene for 0.4

removal

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glass

adhered to the

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 \sim က Resistance of films of allyl starch esters to various reagents (films cured at 100° C.) Table 3.

								Contact	time	before failure,	hou rs ¹		1
Batch No.	Substituent (R)	groups R	ubstitu per gli Allyf	Substitution, groups per glucose unit R Allyf Hydroxyl	Curing times hours 2	10% ammonium hydroxide	5% sodium hydroxide	5% phenol	50% ethanol	4% acetic acid	Acetone	Boiling water	1% soap solutior
2	Acetyl	1 ° 15	1.76	0.09	5	0.5	0.5	1.8	6°	98 w	0.3		98 g
с С	Acetyl	I.23 ⁴	I.73	0°0	а р ⁵ 6 р ⁵	3 0°.3 0°.3	4-19 0°.6 0.2	000	72 w 40 32-52	72 w 72 w %	- 000	ര നെ – വ	72 g 72 w 72 w
Q	Propionyl	1.21	ا _° 73	0.064	2 ⁵⁵ 3 ⁵⁵ 4.5	0.3 0.3 0.2		0°5 - 5	5-21 5-21 30	m 211 m 211 m 211	3°-9 3°-9 30°-1		4
с С	Propiony l	I.24 ⁴	I.73	0*03	6 p 5	00-10 00-10	1°0 0°1	0°-1 0°3 0°3	72 g 72 g 8	72 w 72 w 72 w	0°1 0.6 0.2	I. 8 5	72 w 21; 40 ⁶
77	Propiony l	I.34 ⁴	1.60	0.06	စစက စစက	74 w 36 36	0 5.5 5	000	0 72 72	72 q 72 g 72 g	000		72 a 72 a 72 a
10 ₃	Lauroyl	0.7	I .8 ⁴	0.5	<u></u>	900 ⁸ w 9008	90 900 72 g	$3 - 18^8$ $3 - 18^8$ $20^8 8^8$ 21^8	90 ⁸ 90 ⁸ 24 ⁸	90 90 72 g		1 ⁸ 2.3 ⁸ 0.2 ⁸	90 w 90 w 72 g
13 ¹⁰	Linoleyl	0°0	2.0 ⁴	0.1	പപ്പ	5.2 0.6 0		000	22 0.1 0.6	75 g 75 g 75 g	0.00		28-31 32-47 28-31
15	Allyl Carbonyl	0.37	I.89 ⁴	h2°0	ო	0	0	6°-	1.9	6.1	98 w	0.1	

g indicates that the film was not attacked; w indicates that the film wrinkled but became smooth and adhered to the glass on removal from the reagent. pindicates that the film was protected. Prepared from allyl starch batch 20. By difference. Proper cure, as indicated by spot and knife tests. Check sample. Proper cure, and in 3 hours; time required for cure unknown. Not cured in 3 hours; time required for cure unknown. 000000000000 -

Table 4. Preparation of allyl starch esters

ielo %	78 77		16 16	88 70	67 96 100	68 82 82 100
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itution, glucose unit / Hydroxyl	0,000 0,000000	0.582 0.472 0.372 0.372 0.252	0 06 ² 0 22 ² 0 22 ²	0000		0.70 0.74 0.742 0.122 0.144 0.22
Substi Per Ally		00000 00000 00000 00000 00000 00000 0000	80	1.73 1.80	2.02 2.02 2.02	2008 862 866 866 866 866 866 866 866 866 86
groups Acyl	0.73 0.98 0.94 1.232	0.58 0.68 0.77 0.89		2242	0-52 0-22 0-22	0.44 0.37 0.50 0.50 0.50 0.50
T ₈ mp. ,	0000000	000000000000000000000000000000000000000	មហមស ទីលំង សំងំលំ	0000	153-217 140-240 80 80	0008000 880000 -
Reaction time, hours	∞ 303 2015 2015 2015 2017 2017 2017 2017 2017 2017 2017 2017	0-2 -0-5 -10-2 -0-2	- 82 - 75 - 75 - 75 - 75 - 75 - 75 - 75 - 75	- 0 - 0 - 0 - 0 - 0 - 0 - 0 - 0 - 0 - 0	== ೧೦ ⁻ ೧ ೧	
Solvent added	Benzene " Tolyene	Benzene "	Benzene Bostore	penzene Toluene: Benzene ³ 8 Toluene: Benzene ³	Xylene Toluene Toluene Toluene	8 Toluene: I Benzene ³ 8 Toluene: I Benzene ³ Benzene Toluene Toluene
Catalyst, moles per mole acylating reagent	Pyridine, L.5 " Pyridine, I 204	Pyridine, I.5 "	Pyrjdine, L.28	Pyridine, 1 Pyridine, 1 Pyridine,	Ca + Ba accetates Ca + Ba accetates Pyridine, 1.18 Pyridine, 1.1	Pyridine, Pyridine, Pyridine, 1.6
Excess,	000000	000000	0000	2000	00286	00000000
Acylating reagent	Acetic,anhydride " "	Propionic anhydride " "		" Lauroyl chloride	Lauric acid Stearic acid Oleyl chloride Linoleyl chloride	Allyl chlorocarbonate " Benzoyl chloride Phenyl";socyanate
Batch No.	- 000	÷	، ک	or 000	13 ⁶	00/00 t

Where pyridine is listed, it served also as a solvent. By difference. Parts by weight. A few milliliters of xylene was added toward end of reaction. Approximate analyses. Prepared from allyl starch supplied by General Mills, Inc.

benzene or toluene was used as the solvent, but in some of the batches that used pyridine as an acid-binding agent and solvent, only a small amount of the water immiscible solvent was used to remove the water by entrainment before the acylating reagent was added. In general, 1 to 3 parts by weight of solvent (including pyridine but not the acylating agent) to one of allyl starch was used. Batches 18 and 19, however, were prepared with 3.5 and 4 parts of solvent, respectively. Most of the reactions were carried out in a l-liter three necked flask fitted with an addition tube, reflux condenser, calcium chloride tube, and Hershberg stirrer (no stirring in batches 1 and 4).

Allyl starch acetate and propionate were prepared by adding acetic or propionic anhydride to an allyl starch solution containing either a pyridine or sulfuric acid catalyst and heating in a bath at 80 to 90° C. (95° for batch 5). The amount of sulfuric acid used was equal to 0.38 percent of the amount of allyl starch (by weight); the amounts of pyridine used are listed in Table 4 The esters were purified by precipitating them in water, first from the reaction mixture, then from acetone solution. If too much benzene or toluene was present, the reaction mixture was first neutralized and steam distilled. The gum was then dissolved in acetone and precipitated.

Allyl starch laurate, oleate, linoleate, and allyl carbonate were prepared from the corresponding acid chlorides. The flask was placed in an ice bath, and the acid chloride was added dropwise (with stirring) over a half-hour period The ice bath was then removed, and the flask was heated to 80° C. For the oleate and linoleate, a nitrogen atmosphere was maintained during heating In one batch of allyl carbonate (No 15), the acid chloride was added dropwise but in three portions; the second and third portions were added after the batch had reached reaction temperature. The laurate, oleate, and linoleate were purified by adding ether to precipitate the pyridine-acid chloride complexes, filtering, and washing in a separatory funnel with sodium carbonate or bicarbonate followed by distilled water. Washing was difficult because of formation of emulsions, and considerable amounts of free acid remained The allyl carbonates were purified by steam distilling (in batch 15 the complexes were first precipitated with ether), dissolving in acetone, and precipitating in water

The preparation of the benzoate was similar to that of the other esters for which acid chlorides were used as acylating reagents, but the benzoyl chloride was added at room temperature, and a reaction temperature of 85° C. was used. The ester was obtained by neutralizing and steam distilling the reaction mixture. The gum was then dissolved in toluene and washed with water containing a little ammonia (pH 8 or 9).

Allyl starch stearate and one batch of laurate (No 10) were prepared by reaction with equivalent amounts of stearıc and lauric acids, respectively. A mixture of three parts of calcium acetate monohydrate to one part of barium acetate monohydrate was used as catalyst ² The weight of catalyst

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² J. D. BRANDNER R. H. HUNTER M. D. BREWSTER AND R. E. BONNER DRYING OILS FROM SORBITOL AND LINSEED FATTY ACIDS IND. ENG. CHEM. 37, 809 12 (1945).

equaled 0.5 percent of the weight of allyl starch plus fatty acid. A thermometer was immersed in the mixture, the mixture was heated to reflux, and the water of esterification was removed continuously by entrainment with toluene or xylene. During the reaction, the temperature was raised by distilling off part of the solvent. The highest temperature reached for the laurate was 217° C.; the highest for the stearate was 240° . Both esters were purified by heating with calcium hydroxide, removing the water formed by distilling at reduced pressure, and filtering out the calcium soaps Direct esterification produced only partial substitution; attempts to obtain complete substitution by this method caused gelation.

Phenyl isocyanate was used to make the allyl starch phenyl carbamates³. In two of the batches (Nos 18 and 19), pyridine was used as a catalyst The mixtures were heated with stirring, batch 17 at 128° C., and batches 18 and 19 at 80° C. Batch 17 was purified by steam distilling and precipitating in water from acetone solution. Batches 17 and 18 were treated with ethanol to remove any remaining isocyanate, after which batch 18 was precipitated in 37 percent ethanol (by weight), filtered, and steam-distilled

Except for the linoleyl chloride, the acylating reagents were all commercial products. The linoleyl chloride (containing 87 percent linoleyl chloride and 13 percent oleyl chloride) was prepared from a mixture of cottonseed oil acids purified by the method of Frankel and Brown⁴

The esters were mostly light-tan gums, brittle at room temperature. The esters of the long-chain fatty acids, however, were soft to almost liquid; their films tended to be dark. All the esters were soluble in toluene. In batches 2 and 15, a little butanol was needed to clarify the solutions. The long-chain fatty acid esters were insoluble in acetone or at least in moist acetone. All the other esters dissolved in acetone

In most cases, a high degree of substitution was obtained. The substitution was lower in the allyl starch allyl carbonates, the laurate and stearate prepared by direct esterification, and the phenyl carbamate prepared without a catalyst.

The esters were analyzed by determining any two of the three functional groups--allyl, acyl, or hydroxyl--and subtracting from three (the maximum possible substitution) to get the substitution of the third group. Esters that were soft at room temperature were left in solution for analysis; the other analytical samples were prepared as described by Hamilton and Yanov-sky⁵. Allyl groups were determined by the Wijs method⁵. The method of hydroxyl determination was a modification of the method of Malm, Genung, and Williams⁶ Acyl groups were determined by the alcoholic alkali method

- A SIMILAR REACTION WAS CARRIED OUT BY A WOLFF AND C. E RIST POLYSACCHARIDE ARYL CARBAMATES J. AM. CHEM. Soc. 70 2779 82 (1948).
- ⁴ J. FRANKEL AND J. B. BROWN. THE SOLATION OF PURE LINOLEIC ACCO BY CRYSTALLIZATION J. AM. CHEM. Soc. 63 1483 4 (1941).
- ⁵ R. M. HAMILTON AND E. YANOVSKY. MIXED ALLYL ETHERS OF STARCH IND. ENG. CHEM. 38, 864 6 (1946).
- ⁶ C. J. Malm, L. B. GENUNG AND R. F. WILLIAMS JR. ANALYS S OF CELLULOSE DERIVA T.VES DETERMINATION OF FREE HYDROXYL CONTENT IND. ENG. CHEM. ANAL. ED. 14, 035 40 (1042)

of Genung and Mallatt⁷. Free acidity was determined by dissolving the samples in 95 percent alcohol and titrating with one-tenth normal sodium hydroxide. For the phenyl carbamates, Kjeldahl nitrogen determinations were also made⁸. Wijs determinations gave too high results for the phenyl carbamates, possibly because of a reaction between the Wijs solution and the phenyl carbamyl group.

Summary and Conclusions

Allyl starch acetate, propionate, laurate, stearate, oleate, linoleate, allyl carbonate, benzoate, and phenyl carbamate were prepared. Films of these esters (except the benzoate) were compared with allyl starch films for resistance to 10 percent ammonia, 5 percent sodium hydroxide, 5 percent phenol, 50 percent ethanol, 4 percent acetic acid, and undiluted acetone. Some tests were made also with 1 percent soap solution and boiling distilled water.

The esters in general showed greater improvement over allyl starch in resistance to aqueous reagents than did the allyl starch mixed ethers'. But in most cases, the resistance of the esters to acetone was low, and the esters that showed the greatest over-all resistance, namely, the laurate, stearate, and phenyl carbamate, had a long curing time. They therefore would not be suitable for applications in which the film should harden quickly. The long curing time required for the laurate and stearate may be due in part to free acid.

In comparing the allyl starch esters with the allyl starch mixed ethers, it should also be noted that the allyl starch mixed ethers can be prepared by a one-step process, but because of the necessity of removing water before the acylating reagent is added, the allyl starch esters must be made by a twostep process.

The tests were all made on unmodified films. Better resistance might be shown by properly plasticized films cured to the optimum degree of cure for the use for which they are intended.

Acknowledgments

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⁸ Kjeldahl determinations were made by L. R. Ross and C. Ricciuti of this Laboratory

⁷ L. B. GENUNG AND R. C. MALLATT ANALYSIS OF CELLULOSE DERIVATIVES, IND. ENG. CHEM ANAL. ED. 13 372 (1941); SEE ALSO I. A. WOLFF D. W. OLDS, AND G. E. HILBERT, THE ACYLATION OF CORN STARCH AMYLOSE AND AMYLOPECTIN. J. AM. CHEM. Soc., 73, 347 (1951)