### Contents

Contributors, xi

#### 1. Introduction: High Tropical Mountain Biota of the World, 3 MAXIMINA MONASTERIO

AND FRANÇOIS VUILLEUMIER

### I. THE CLIMATIC BACKGROUND, 9

### 2. Ecological Features of Climate in High Tropical Mountains, 11

#### GUILLERMO SARMIENTO

Tropical Climates and High Mountain Climates. 11 Particular Features of Cyclic Changes in the Tropics. Environmental Pulsations in High Tropical Mountains.

Major Geographic Trends in High-Altitude Climates. 15 The Tropical Andes. Climatic Trends in Other Tropical Highlands.

Some Case Studies, 27

Páramo de Mucubají, Venezuela, Pico del Aguila, Venezuela, Páramo de Monserrate, Colombia, Quito-Izobamba Region, Ecuador, Altiplano of Southern Peru, Pico de Orizaba, Mexico, Mt. Kenya, Kenya, Mt. Kilimanjaro, Tanzania, Mt. Wilhelm, New Guinea.

#### **Topoclimates and Microclimates**

The Influence of Aspect and Topography. The Microclimates of Plants and Plant Formations.

Summary and Conclusions, 41 References, 43

### II. ADAPTATIONS, 47

## 3. Adaptive Strategies of *Espeletia* in the Andean Desert Páramo, 49

#### MAXIMINA MONASTERIO

The Desert Páramo, 49

The Periglacial Climate and Its Ecological Constraints. Espeletia as a Colonizer of High Mountain Habitats. A Global Project Involving Espeletia of the Desert Páramo.

The Dynamics of Growth and Reproduction in Espeletia, 56 Architectural Pattern. Biomass and Energy Allocation. Dynamics of Vegetative Growth. Leaf Life Cycle. Phenological Patterns. Reproductive Events and Production During the Life Cycle.

Some Functional Aspects, 74

And the second second

The Role of the Pith and Dead Leaves in the Water Balance. Leaf Pubescence and Thermal Balance. Decomposition. Microfauna, and Nutrient Cycling. Conclusions on Adaptive Strategies, 78 References, 80

#### 4. Adaptive Syndromes of the Afroalpine Species of Dendrosenecio, 81

D. J. MABBERLEY

20 -

Pachycaul Species of Dendrosenecio, 81 Ecology, Phenology, Ecological Morphology and Anatomy.
Origin of Ecological Syndromes in Afroalpine Species of Dendrosenecio, 92
Comparison with Pachycaul Lobelia Species in Africa, 93
The Afroalpine Pachycauls in a Wider Context, 98
Discussion: Senecio and the Origin of Hyperpachycaul and Helophyte Syndromes, 98 Syndrome and Strategy
Appendix 4-A, 100
References, 100

### 5. Physiological and Ecological Adaptations of High Montane Sunbirds and Hummingbirds, 103

LARRY L. WOLF AND FRANK B. GILL

Biogeography of High Montane Tropical Nectar-Feeding Birds, 103

Physiological Problems and Adaptations, 105
Effects of Low Air Density at High Elevations. Effects of Ambient Temperature.
Ecological Adaptations, 110
Nectar as an Energy Resource. Community Organization and Ecological Adaptations.

Reproductive Adaptations, 114

Timing, Pair Bonds and Parental Care,

Summary, 116

References, 117

### 6. Convergences in Bird Communities at High Altitudes in the Tropics (Especially the Andes and Africa) and at Temperate Latitudes (Tibet), 120

JEAN DORST AND FRANÇOIS VUILLEUMIER

Climatic and Environmental Background, 121 Comparisons Between Africa and the Andes, 123 Resemblances versus Differences in Pairs or Trios of Species, Resemblances versus Differences in Guilds, Summary of African-Andean Comparisons, Intertropical Comparisons, 131 Comparisons, Bottwan, Thet, Ethiopia, and the Andes, 133

Comparisons Between Tibet, Ethiopia, and the Andes, 133 Discussion, 135

Species Richness and Area, Intermountain Comparisons of Guilds Between Africa and the Andes. Speciation and Colonization. Concluding Comments, 142 Appendix 6-A, 143 Appendix 6-B, 144 Appendix 6-C, 145 Appendix 6-D, 145 Appendix 6-E, 146 Appendix 6-F, 146 References, 147

## III. HISTORICAL DEVELOPMENT OF BIOTA, 151

#### 7. Development of the High Andean Páramo Flora and Vegetation, 153

THOMAS VAN DER HAMMEN

AND ANTOINE M. CLEEF

The Present Vegetation Belts and their Relation to the Climate, 153

Atmospherically Dry Páramo Slopes. Atmospherically Humid Páramo Slopes. Páramo Vegetation Belts, Upper Forest Limit. Altitudes, and Climate.

Phytogeography of the Present Flora and Vegetation, 158 Phytogeography of the Present Flora. Phytogeography of the Present Vegetation Types. Some Distribution Patterns of Páramo Taxa.

Origins (Prepáramo Vegetation), 171 Early History (the Protopáramo), 175 Pleistocene History, 182 Holocene History, 189 Summary, Discussion, and Conclusions, 191 References, 198

### 8. Late Quaternary Paleoecology of Venezuelan High Mountains, 202

MARIA LÉA SALGADO-LABOURIAU

Quaternary Ecology as a Background for Paleoecology, 202 The Mérida Andes. The Perijá Range. The Caribbean Mountains.

Paleoecology of the Late Quaternary, 207 The Venezuelan Andes. The Caribbean Mountains. Climatic Changes and Oscillations. References, 215

9. High Andean Mammalian Faunas During the Plio-Pleistocene, 218

#### ROBERT HOFFSTETTER

KODEKT HOFFSTETTER
Stratigraphic Reference Scale, 218
Mammalian Faunas of Andean Countries, 220
Bolivia. Peru. F. uador. Colombia and Venezuela.
History and Development of Faunas, 236
Faunal Turnover. Dispersal of South American Groups.
Dispersal of Groups of North American Origin.
Conclusions, 241
References, 243

#### 10. Domestication of Andean Mammals, 246

ELIZABETH S. WING

Characteristics that Distinguish Domestic Mammals, 248 Changes in Size and Variability. Age Structure. Relative Abundance in Faunal Assemblages. Interpretation of Faunal Assemblages from Archaeological Sites, 249

Regional Faunas. The Puna. The Highland Valleys. The Coast.

Changing Patterns in the Use of Animals, 252

Documentation of the Spread of Domesticates, 252 Sequence of Spread from the Puna to the Highland Valleys and to the Coast. Sequence of Northward Spread of Domestic Animals from the Central Andes.

Role of Domestic Animals in Prehistoric Cultures, 262 Why the Andes Became a Center of Domestication, 262 References, 263

## IV. DIVERSIFICATION AND ADAPTIVE RADIATION, 265

## 11. Speciation and Radiation of the Espeletiinae in the Andes, 267

JOSÉ CUATRECASAS

Characterization of the Espeletiinae, 267
Characterization of Infra Subtribal Taxa, 267
Leaves. Inflorescences. Life Forms.
The Origin of the Compositae (Heliantheae), 273
Place of Origin of the Espeletiinae, 274
The Ancestor of the Espeletiinae, the Proto-Espeletiinae, 275
Living Taxa of the Espeletiinae, 275
Tamania. Libanothamnus. Ruilopezia. Espeletiopsis. Carramboa. Espeletia. Coespeletia.
Comparative Distribution of *Espeletia* with Other Taxonomic Groups, 298
References. 301

# 12. Speciation and Specialization of *Polylepis* in the Andes, 304

BERYL B. SIMPSON

The Genus Polylepis, 304

 Systematic Position within the Rosaceae. Distinctive characters of Polylepis. Species Groups within Polylepis.
 Methodology, 308
 Sources of Data. Geographic Data. Morphological Data.

 Patterns of Morphological Specialization in Polylepis, 309

 General Patterns. The sericea Group. The reticulata Group.
 The incana Complex.

Patterns of Speciation, 315

References, 315

### 13. Speciation and Endemism in the Flora of the Venezuelan Tepuis, 317

JULIAN A. STEYERMARK

Distribution and Topography of the Tepuis, 317 Geologic History, 320 Aspects of the Vegetation at Different Altitudes below the Summits, 321

Vegetation at the Base of the Mountains, Vegetation on Talus Slopes, Vegetation at the Base of Escarpments, Summit Vegetation, Speciation, and Endemism, 323

Speciation and Adaptations. Generally Distributed Genera and Species not Showing Speciation. Generally Distributed Genera and Species Showing Speciation. Generic Segregation into Eastern and Western Zones. Speciation in Various Eastern and Western Tepuis. Highly Localized Endemic Genera and Species.

Analysis of the Percentage of Generic Endemism, 332 Analysis of the Percentage of Specific Endemism, 335 Relationships of the Tepui Flora, 336

Summit Relationships. Relationships at Levels below the Summit.

Migration of Floral Elements.

Vertical Migrations. Centrifugal Versus Centripetal Migration.

Appendix 13-A, 345

References, 371

#### 14. Speciation and Adaptive Radiation in Andean Telmatobius Frogs, 374

JOSÉ M. CEI

Origin of the Main Telmatobius Lineage, 374

First Andean Telmatobius Dispersal, 376

Speciation and Adaptive Radiation in the Highlands, 377 The culeus and albiventris Groups. Characters of Lacustrine and Nonlacustrine Telmatobius. The crawfordi Group. Telmatobius in Northwestern Argentina. Pacific Slope Telmatobius. Telmatobius of the Peruvian Plateau.

Conclusions, 383 References 385

### 15. Speciation and Adaptive Radiation in Andean Orestias Fishes, 387

WOLFGANG VILLWOCK

History, Geography, and General Hydrography, 387 Ecological Aspects, 390 The Genus Orestias, 391 Species of the Genus Orestias, 391 Brief Description of the Main Distribution Patterns, with Remarks on Ecology and Feeding Habits, 391 Some Critical Remarks on the Taxonomy and Systematics of Orestias, 396 Evolutionary Aspects, 401 Editors' Postcript, 402 References, 402

## 16. Diversity Patterns and Differentiation of High Andean Rodents, 404

OSVALDO A. REIG

Definitions, 405

à.

Materials and Methods

The Major Diversity Pattern of High Andean Rodents

The Probable History of Andean Caviomorph Rodents The Overall Pattern of Caviomorph Origins and Their Colonization of the High Andes. The Echimyids as Ancestral Octodontoids. Octodontids and Abrocomids. Caviids and Chinchillids. Agoutids. Overview of the History of High Andean Caviomorphs.

The Probable History of Andean Cricetid Rodents.

Contrasting Views on the Antiquity and Place of Differentiation of the South American Cricetid Rodents. Diversity and Major Distributional Patterns of South American Sigmodontinae. The Oryzomyini, the Ichthyomyini, and Zygodontomys. The Akodontini and the Scapteromyini. The Phyllotini and Punomys. The Probable Geographic Origin and Further Evolution of the Sigmodontini. Overview of the History of High Andean Sigmodontine Rodents. The Probable History of the South American and Andean Sciurid Rodents General Discussion and Conclusions References

## V. ORIGINS OF SELECTED FLORAS AND FAUNAS, 441

### 17. Origins of the Afroalpine Flora, 443

OLOV HEDBERG

Ecological Adaptations and Life Forms, 445 Endemism and Vicarious Taxa, 447 Phytogeographic Elements, 449 The Endemic Afromontane Element. The South African Element. The Southern Hemisphere Temperate Element. The Northern Hemisphere Temperate Element. The Mediterranean Element. The Himalayan Element. The Pantemperate Element.

Rates of Differentiation and Speciation, 453 Evolutionary Mechanisms in the Afroalpine Flora, 455 Genesis of the Afroalpine Flora, 456 Appendix 17-A, 459 Appendix 17-B, 461 Appendix 17-D, 463 Appendix 17-E, 464 References, 465

### 18. Origins and History of the Malesian High Mountain Flora, 469

JEREMY M. B. SMITH

The Mountains and Their Environments, 469 Paleogeography, 470 Past and Present Vegetation, 471 Geographic Origins of the Flora, 473 The Age of the Tropical-alpine Flora, 475 Conclusion, 476 References, 477

## 19. Origins of the High Andean Herpetological Fauna, 478

JOHN D. LYNCH

The Andean Fauna, 478

Phylogenetic Relationships of Andean Elements, 486

Exclusively Andean Groups, Andean Groups with a Few Extra-Andean Members, Non-Andean Groups with a Few Andean Members.

Discussion, 494

Idiographic Explanations: The Static Continent Hypothesis. Synthetic Explanations: The Dynamic Continent Hypothesis. Resolution between Choices: Research Needs. References, 497

### 20. Origins of Lepidopteran Faunas in the High Tropical Andes, 500

HENRI DESCIMON

The Butterflies of the High Andes: A Presentation, 500 The Pieridae. The Satyridae. The Nymphalidae. The Lycaenidae.

#### x CONTENTS

Adaptive Features of Butterflies in the Andean Oreal Biome, 509 Adaptive Colorations. Physiology of Life-Cycle Regulation. Physiology of Reproduction. Behavioral Adaptations.

Faunal Stratification According to Elevation, 514 Areal Patterns, 516

Discussion, 520 Conclusions, 526 Postscript, 527 References, 528

#### 21. Origins of Lepidopteran Faunas in High Mountains of the Indo-Australian Tropics, 533

JEREMY D. HOLLOWAY

Geography, 533

Geologic History, 535

Phytogeography. 536

Vegetation Zonation. Plant Distribution Patterns. The Glaciations.

The Geography of Montane Lepidoptera, 539

The Role of the Himalaya in Indo-Australian Lepidoptera Geography. Trends of Dispersal within the Tropics, 540. The Composition of the Montane Faunas. Radiation of Montane Groups within the Tropics. Diversity. Duplex Species.

Conclusions and Prospect, 554 References, 555

### 22. Origins of the High-Altitude Avifaunas of Tropical Africa, 557

R. J. DOWSETT

The Montane Avifauna, 557

The Montane Groups, 560

Kenya Group. East Congo Group. Southeastern Group. Endemism in the Montane Groups, 563

Cameroon Group. Angola Group. Southeastern Group. Tanganyika-Nyasa Group. East Congo Group. Kenya Group. Ethiopia Group.

Well-Marked Montane Races and Incipient Species, 566 Intra-African Origins of the Montane Avifauna, 568 Extralimital Origins of the Afromontane Avifaunas, 570 Conclusion, 574 Appendix 22-A, 576 Appendix 22-B, 581 References, 583

## 23. Origins of the Tropical Avifaunas of the High Andes, 586

FRANÇOIS VUILLEUMIER

Definitions, Data Base, Assumptions, and Methods, 586 Vegetation Types. Units of Analysis. The Avian Data Base. Assumptions and Methods. Taxonomic Composition of the Páramo-Puna Avifauna, 590 Absent Versus Present Taxa. Numerically Dominant Families. Geographic Distribution of Páramo-Puna Taxa, 592 Genera. Species. Origins of Selected Genera and Species, 594 Species-Level Faunal Resemblances, 595 Origins of Altitudinal and Latitudinal Range Disjunctions, 596 The Origins of Endemic Taxa, 600 Definition of an Endemic Taxon. Endemic Genera. Endemic Species. Nearly Endemic Species. Endemic Subspecies in Nonendemic Species. The Origins of Nonendemic Species, 605 The Origins of Peripheral Species, 605 The Role of Polylepis Woodlands and Puya Stands in the Origins of Páramo-Puna Birds, 605 Páramo-Puna Taxa Colonizing Other Vegetation Types, 607 The Origins of Migrant and Accidental Species, 609 Migrants from North America. Migrants from Southern South America, Accidentals from South America. Discussion and Conclusions, 611 Summary, 612 Appendix 23-A, 613 Appendix 23-B, 615 Appendix 23-C, 616 Appendix 23-D, 616 References, 618

Index, 623