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## **HIV-1 Nef-Induced Upregulation of DC-SIGN in Dendritic Cells Promotes Lymphocyte Clustering and Viral Spread**

**Nathalie Sol-Foulon,1 Arnaud Moris,1 Cinzia Nobile,1 Claire Boccaccio,2 Anneke Engering,3 Jean-Pierre Abastado,2 Jean-Michel Heard,1** Yvette van Kooyk,<sup>3</sup> and Olivier Schwartz<sup>1,4</sup> <sup>1</sup> Unité Rétrovirus et Transfert Génétique **1081 BT Amsterdam et al., 2000b). The Netherlands DC-SIGN (or CD209) is a type II transmembrane pro-**

**transmigration across vascular and lymphoid endothe- ates clustering of DCs with T lymphocytes, a crucial which acts by inhibiting DC-SIGN endocytosis. Upreg- allowing the initiation of primary immune responses**

HIV-1 primary infection is characterized by a stage of<br>intense viral replication. Sexual transmission is mainly<br>infection of Tlymphocytes. They account for the appar-<br>restricted to R5-tropic viral strains. HIV-1 disseminat

**mune complexes. Antigen-loaded DCs travel toward secondary lymphoid organs and mature, processing antigens for presentation and acquiring the capacity to attract and activate resting T cells during their journey. DC's migration from the periphery and recruitment of URA CNRS 1930 T cells within lymphoid tissues is likely exploited by Institut Pasteur HIV-1 to ensure its propagation (Cameron et al., 1996; 28 rue du Docteur Roux Sewell and Price, 2001; Steinman, 2000). HIV-1 repli-75724 Paris Cedex 15 cates rather inefficiently in DC cultures. DCs express <sup>2</sup> Immuno-Designed Molecules (IDM) low levels of the HIV receptor CD4 and coreceptors Research Laboratory CCR5 or CXCR4. R5-tropic, but not X4-tropic, HIV-1 Institut de Cordeliers, UPMC strains induce chemotaxis and replicate in immature** 15 rue de l'Ecole de Médecine **interesant de la cole de Médecine** de **75006 Paris ever, both R5- and X4-tropic HIV-1 readily bind and enter France DCs (Granelli-Piperno et al., 1999; Klagge and Schnei-3Department of Molecular Cell Biology der-Schaulies, 1999). How this happens has been unrav-Free University Medical Center Amsterdam elled recently by the identification of DC-SIGN, a DCvan der Boechorststraat 7 specific protein that interacts with HIV-1 (Geijtenbeek**

**tein with an external C-type (Ca2 dependent) mannose binding domain, expressed at the surface of both mature Summary and immature DCs (Geijtenbeek et al., 2000c). The known cellular ligands of DC-SIGN are ICAM-2 and DC-SIGN, a dendritic cell (DC)-specific lectin, medi- ICAM-3. DC-SIGN-ICAM-2 interaction regulates DC event in the initiation of immune responses. DC-SIGN binding in the initiation of inding to event in the initiation of immune responses. DC-SIGN**<br>elso binds HIV envelope glycoproteins, allowing effi. CAM-3, a molecule c also binds HIV envelope glycoproteins, allowing effi-<br>cient virus capture by DCs. We show here that DC-<br>SIGN surface levels are upregulated in HIV-1-infected<br>DCs. This process is caused by the viral protein Nef, may enable ulation of DC-SIGN at the cell surface dramatically<br>increases clustering of DCs with T lymphocytes and<br>HIV-1 transmission. These results provide new in-<br>sights into how HIV-1 spreads from DCs to T lympho-<br>cytes and manipul **2000b). Binding and transmission of HIV-1 from DCs to Introduction T cells is blocked by DC-SIGN antibodies. These obser-**

**can stimulate resting naive T lymphocytes and initiate cell surface or, more likely, are internalized into intracel-CTL responses. Immature DCs residing in peripheral lular vesicles (Blauvelt et al., 1997; Geijtenbeek et al., tissues capture antigens from various sources, including 2000b; Granelli-Piperno et al., 1999; Pohlmann et al., microbes, infected cells, cell debris, proteins, and im- 2001). Of note, the form under which HIV-1 is transported likely depends on virus tropism, since R5 HIV-1 strains replicate in DCs, whereas captured X4 strains may be <sup>4</sup> Correspondence: schwartz@pasteur.fr** only "passively" ferried. Information about the next step whereas the X4-tropic laboratory-adapted HIV<sub>NL43</sub> strain **of viral spread, which is virus transmission to lympho- was unable to grow (data not shown). Productive infec**cytes, is scarce. In primary cell culture experiments, DCs tion of DCs by HIV<sub>NLAD8</sub> and HIV<sub>YU-2</sub> strains was evidenced **exposed to HIV readily form clusters with unstimulated by p24 release into culture supernatants (Petit et al., T cells. Interestingly, a vigorous HIV-1 replication was 2001) (data not shown) and by immunofluorescence (IF) observed in DC-T cell clusters, but not in separate prep- analysis revealing HIV-1 Gag-positive cells (Figure 1). arations of DCs or T cells (Granelli-Piperno et al., 1998, Depending on donors, 10%–80% of the cells were Gag 1999; Pope et al., 1994, 1995). Efficient viral replication positive 4–6 days postinfection (pi). To study DC-SIGN in this system requires a direct contact between DCs expression in the fraction of productively infected cells, and T cells (Tsunetsugu-Yokota et al., 1997), and virus samples were doubly stained with anti-Gag and antiproduction mainly originates from syncytia involving DC-SIGN antibodies. Numerous DC-SIGN-positive dots DCs and T cells (Pope et al., 1994, 1995). This observa- were distributed in the cytoplasm and at the periphery tion is relevant to the in vivo situation. In lymphoid tis- of DCs not exposed to virus (Figure 1). In samples insues of HIV-1-infected individuals, DC-derived syncytia fected with R5-tropic HIV-1, intense DC-SIGN surface are actively formed and become the sites of intense viral staining and weak intracellular signals were detected in replication (Frankel et al., 1997, 1996). It has thus been DCs positive for Gag (Figure 1), suggesting that HIV-1 proposed that DC-T cell clusters are first generated, infection induces the accumulation of DC-SIGN at the leading to the formation of syncytia, which further en- cell surface. This observation was made with both** hance viral replication (Pope et al., 1995). How these HIV<sub>NLAD8</sub> and HIV<sub>YU-2</sub> isolates (Figure 1). In contrast, in clusters are generated and the role of DC-SIGN in this cells exposed to HIV<sub>NL43</sub>, Gag-expressing cells were not **process remain obscure. detected, and DC-SIGN localization was not affected**

**in vivo viral replication and pathogenicity (Cullen, 1998). SIGN at the surface of infected cells required de novo** Experimental infection of macaques with SIVmac $\Delta$ nef **is characterized by a low level of viral replication (Kestler We examined the role of Nef in this phenomenon. DCs** et al., 1991). In humans, nef-deleted proviruses were detected in several long-term nonprogressors (Deacon **et al., 1995). Mechanisms accounting for the role of Nef replicate with similar kinetics in DCs (Petit et al., 2001). remain unclear. Nef is dispensable for viral replication The fraction of Gag-positive DCs, as well as the levels in most culture settings. In macrophages, Nef mediates of p24 released into supernatants, was similar after inlymphocyte chemotaxis and activation (Swingler et al., 1999). In immature DCs, Nef exerts a marginal role on shown). Nef expression was detected by IF in cells in**the replication of R5 HIV strains, but it is required for **optimal virus production in DC-T cell mixtures (Petit et shown). Interestingly, DC-SIGN staining patterns in al., 2001). Identified functions of Nef include the activa- tion of cellular signal transduction pathways and the bled those in noninfected cells (Figure 1). Thus, accumudownregulation of cell surface expression of CD4 and lation of DC-SIGN at the surface of HIV-1-infected DCs MHC-I. The latter effects may facilitate viral replication was associated with Nef expression. Maturation of DCs** and immune evasion and are mediated by interactions in the presence of cytokines does not enhance DC**of Nef with components of the cell sorting machinery SIGN surface expression (Geijtenbeek et al., 2000c). This**

**We have examined here the effects of HIV-1 infection not due to modifications of the maturation state of DCs.** on DC-SIGN intracellular trafficking, with a particular **Immature DCs display various morphologies**, poten**focus on the role of Nef. We show that DC-SIGN surface tially affecting DC-SIGN localization. Thus, we examined levels are significantly upregulated in HIV-infected cells. the effects of HIV-1 infection in HeLa CD4 cells stably This phenomenon is induced by Nef, which inhibits DC- expressing DC-SIGN (P4-DC3 cells). Cells were infected SIGN** endocytosis. Nef activity requires a dileucine**based sorting motif located in the cytoplasmic tail of with anti-CD4 and anti-DC-SIGN antibodies and ana-DC-SIGN. Stabilization of DC-SIGN at the cell surface lyzed by flow cytometry (Figure 1B). We observed in dramatically enhances the ability of DCs to form clusters cells infected with WT virus a CD4-low cell population with lymphocytes and increases virus transmission. in which DC-SIGN surface expression was increased.**

**SIGN expression. DCs were prepared from PBMCs of env-deleted HIV-1 pseudotyped with VSV-G (HIV(VSV) seronegative individuals. They expressed DC lineage virions). Similarly, a CD4-low, DC-SIGN-high cell popula**markers, including DC-SIGN and the HIV receptors CD4 **and CCR5, and were phenotypically immature (see Ex- Thus, a concurrent cell surface upregulation of DC-SIGN perimental Procedures). As expected (Granelli-Piperno and downmodulation of CD4 occurs in HIV-infected** et al., 1998; Petit et al., 2001), R5-tropic HIV<sub>NLAD8</sub> and cells. Moreover, HIV-1 Env is not involved in the upregu-HIV<sub>YU-2</sub> strains replicated at low levels in immature DCs, lation of DC-SIGN.

**The Nef protein of HIV and SIV is required for efficient (data not shown). Therefore, the accumulation of DCnef synthesis of HIV-1 proteins.**

were infected with nef-deleted viruses ( $\Delta$ nef HIV<sub>NLAD8</sub> and  $HIV_{YU-2}$ ). We previously reported that WT and  $\Delta$ nef HIV-1 fection with WT or **Anef** isogenic viruses (data not fected with WT, but not with Anef viruses (data not **nef-infected DCs positive for Gag expression resem-(Piguet et al., 1999). suggests that HIV-1-induced DC-SIGN upregulation was**

with WT and  $\Delta$ nef HIV<sub>NL43</sub>, doubly stained 2 days later With  $\Delta$ nef virus, a CD4-low cell population was detected, **Results which likely corresponded to cells expressing HIV-1 proteins known to downregulate CD4 (Vpu or Env). How-Upregulation of DC-SIGN in HIV-1-Infected DCs ever, the DC-SIGN surface level was unaffected in these We first analyzed the effects of HIV-1 infection on DC- cells (Figure 1B). P4-DC3 cells were then infected with** tion was detected with WT, and not with  $\Delta$ nef HIV(VSV).



B



**Figure 1. Upregulation of DC-SIGN in HIV-1-Infected Cells**

**(A) Immature DCs were exposed to the indicated HIV-1 strains. Cells were doubly stained 4 days later with anti-DC-SIGN and anti-HIV-1 Gag antibodies (Abs) and analyzed by IF and confocal microscopy. A representative medial section is shown. (NI, noninfected cells; scale bar, 10 m.)**

**(B) HeLa CD4DC-SIGN cells (P4-DC3 clone) were infected with HIVNL43 or with HIV(VSV), an env-deleted HIV-1 pseudotyped with the VSV-G envelope. Cells were doubly stained 2 days later with anti-CD4 and anti-DC-SIGN Abs and analyzed by flow cytometry. Data are representative of two independent experiments.**

**which is poorly characterized, and the mechanisms of conditions, the amount of Nef protein expressed in the action of Nef on this lectin. Experiments were per- transfected cells was similar to that produced in HIVformed using a transient transfection assay, which we infected cells (Le Gall et al., 1998).** had previously designed for studying the effect of Nef **A** variety of leucine-based and tyrosine-based motifs **on MHC-I (Le Gall et al., 1998). HeLa cells, which are are located in the cytoplasmic tail of integral membrane DC-SIGN negative, were cotransfected with a GFP vec- proteins, where they function as sorting signals for the tor along with plasmids expressing DC-SIGN or Nef. endocytic pathway (Bonifacino and Dell'Angelica, 1999). About 30%–50% of the cells were transfected, as mea- These motifs are recognized by adaptor protein (AP) sured by detecting GFP at 24 hr posttransfection (data complexes (Hirst and Robinson, 1998). Association of not shown). DC-SIGN expression was examined by flow AP complexes with cytosolic clathrin induces formation**

**A Dileucine-Based Sorting Motif Regulates cytometry and IF confocal microscopy. Analysis was focused on the fraction of transfected (GFP<sup>+</sup>) cells. We can be fraction of transfected (GFP<sup>+</sup>) cells. We We investigated the intracellular trafficking of DC-SIGN, established previously that under these experimental**



**Figure 2. Cellular Localization of Wild-Type and Mutant DC-SIGN Proteins**

**(A) Amino acid (aa) sequence alignment of the cytoplasmic domain of WT and mutant DC-SIGN proteins. Bold letters identify aa substitutions. The two putative sorting signals are underlined.**

**(B) Subcellular localization of WT and mutant DC-SIGN proteins. HeLa cells were transfected with the indicated DC-SIGN vectors along with a GFP reporter plasmid. Cells were stained with anti-DC-SIGN mAb 24 hr later. Localization of DC-SIGN was examined by confocal microscopy in GFP cells. (Scale bar, 10 m.)**

**(C) Colocalization of DC-SIGN with transferrin-FITC, a marker of early endosomes. HeLa cells were transfected with DC-SIGN WT vector. After 24 hr, cells were incubated with transferrin-FITC, stained with AZN-D1 mAb, and analyzed by confocal microscopy. The right panel is a superposition of the two stainings, in which costained regions appear in yellow.**

**(D) Surface levels of WT and mutant DC-SIGN. Transfected HeLa cells were stained with AZN-D1. DC-SIGN surface levels were analyzed in GFP cells by flow cytometry. (E) Kinetics of internalization of WT and mutant DC-SIGN proteins. HeLa cells were transfected with the indicated DC-SIGN vectors along with a GFP reporter vector and a HIVLAI Nef expression plasmid. After 24 hr, cells were labeled at 4C with the anti-DC-SIGN mAb AZN-D2, washed, and incubated at 37C for the indicated periods of time. Cells** were then cooled at 4<sup>°</sup>C and stained with fluo**rescent anti-mouse IgG antibodies. Data are the ratios of the fraction of positive cells at different time points to the fraction of positive cells at time zero. Results from three indepen**dent experiments (mean  $\pm$  SD) are shown.

**of clathrin-coated vesicles and routing of proteins to- striking contrast, the LL/AA mutant was primarily loward endosomal compartments. Two putative sorting cated at the plasma membrane, with weak intracellular signals (LL and YSQL sequences; Figure 2A) are located staining (Figure 2B). Flow cytometry analysis confirmed in the cytoplasmic tail of DC-SIGN (Soilleux et al., 2000). that surface levels of the LL/AA mutant were 4-fold** We examined whether these sequences play a role in higher than those of DC-SIGN WT or Y/A (Figure 2D). **DC-SIGN trafficking. Mutants were constructed in which We then compared the rate of endocytosis of WT and the tyrosine and leucine residues were replaced by ala- LL/AA DC-SIGN in a flow cytometry-based assay (Le nine (DC-SIGN Y/A and LL/AA, respectively). We com- Gall et al., 2000). Surface molecules were stained with pared the intracellular localization of the mutant and a DC-SIGN mAb (AZN-D2) at 4<sup>°</sup>C, and then cells were wild-type proteins (DC-SIGN WT). Confocal microscopy incubated at 37C. At different times, AZN-D2-bound** indicated that DC-SIGN WT surface staining was low, DC-SIGN surface molecules were revealed with a sec**with numerous cytoplasmic dots visible in the perinu- ondary Ab. DC-SIGN WT proteins present at the surface clear region and at the cell margins (Figure 2B). DC-SIGN were rapidly endocytosed, with a half-life of about 15 WT significantly colocalized with transferrin, a marker of min (Figure 2E). In contrast, the LL/AA mutant was stead**early endosomes (Figure 2C), strongly suggesting that ily expressed at the plasma membrane, with more than **the receptor is mostly distributed within the endocytic 90% of the molecules remaining at the surface after 20 compartment. Mutation of the tyrosine-based sequence min (Figure 2E). did not modify the localization of DC-SIGN, except that These results indicated that Ab-bound DC-SIGN mol-**

**perinuclear staining was slightly brighter (Figure 2B). In ecules are rapidly internalized from the cell surface. Re-**



**the recognition of a dileucine motif located in the cyto- lation was also induced by NA7 Nef, a protein derived**

We further examined the effect of Nef on DC-SIGN traf-<br>DC-SIGN upregulation. This property of Nef is not re**ficking. HeLa cells were transiently transfected with a stricted to laboratory-adapted HIV-1 strains. DC-SIGN vector, with or without a plasmid encoding We then examined whether DC-SIGN mutants were Nef. Expression of the viral protein was verified by West- susceptible to Nef regulation. The DC-SIGN Y/A mutant ern blotting and by IF analysis (data not shown). Expres- was upregulated by Nef (Figure 3C), indicating that the sion levels were comparable to those detected in HIV- effect of Nef is not mediated by the YSQL sequence of infected cells (Le Gall et al., 1998). Confocal microscopy the lectin. In contrast, DC-SIGN LL/AA surface levels, confirmed that in the absence of Nef, DC-SIGN was which were already high, were not increased by Nef mostly located in intracellular vesicles (Figure 3A). Nef (Figure 3C). Thus, the effect of Nef and that induced by induced significant changes in the localization of DC- the removal of the dileucine signal were not additive. SIGN, which accumulated at the plasma membrane (Fig- We also compared the rates of endocytosis of DC-SIGN ure 3A). Of note, the intracellular localization of DC-SIGN WT and LL/AA molecules in the absence and in the in Nef-expressing cells was reminiscent of that of DC- presence of Nef. Stability of DC-SIGN at the cell surface SIGN LL/AA (compare Figures 2B and 3A). Flow cytome- was enhanced by Nef, showing 70% of WT molecules try analysis revealed that DC-SIGN surface levels were at the plasma membrane after 20 min (Figure 2E). Thus, increased 2.5-fold in the presence of Nef protein from Nef increased DC-SIGN surface levels mostly by pre-**

**Figure 3. Effect of Nef on DC-SIGN Surface Expression**

**(A) Subcellular localization of DC-SIGN with or without Nef. HeLa cells were transfected in order to express DC-SIGN, GFP, and, when indicated, the Nef protein (NA7 strain). After 24 hr, cells were stained with anti-DC-SIGN mAb. Localization of DC-SIGN in GFP cells was examined by confocal microscopy. Cells transfected with the Nef NA7-GFP plasmid only (CTRL) were stained as a negative control. (Scale bar, 10 m.)**

**(B) Surface levels of DC-SIGN with or without Nef. HeLa cells were transfected in order to express DC-SIGN, GFP, and the Nef proteins** from HIV<sub>LAI</sub> (Nef LAI, left panel) or HIV<sub>NA7</sub> (Nef **NA7, right panel). A plasmid encoding the** *nef* **gene in an antisense orientation was used as a negative control (Nef mock curves). After 24 hr, DC-SIGN surface expression was measured in GFP cells by flow cytometry. Data are representative of three independent experiments.**

**(C) Surface levels of WT and mutant DC-SIGN with or without Nef. HeLa cells were transfected in order to express WT or mutant DC-SIGN, GFP, and, when indicated, the Nef protein (NA7 strain). After 24 hr, surface expression of DC-SIGN was measured in GFP cells by flow cytometry. Steady-state surface levels (mean fluorescence intensity, or MFI) of DC-SIGN WT measured without Nef were defined as 100%. Results from three independent experiments (mean SD) are shown. (D) Effect of Nef mutants on DC-SIGN surface expression. HeLa cells were transfected in order to express DC-SIGN WT, GFP, and,** when stated, Nef WT, Nef $\Delta$ myr (G<sub>2</sub>A mutant), **NefLL/AA (Nef L165L166AA), or NefPP/AA (Nef P72P75AA) proteins. After 24 hr, surface expression of DC-SIGN was measured in GFP cells by flow cytometry. DC-SIGN steadystate surface levels (MFI) measured without Nef were defined as 100%. Results from three independent experiments (mean SD) are shown.**

cruitment by the cell sorting machinery is mediated by the prototypic HIV<sub>LAI</sub> strain (Figures 3B and 3C). Upregu**plasmic tail of the molecule. from a primary HIV-1 isolate (Greenberg et al., 1998) (Figure 3B). These results indicated that among HIV-1 Nef Upregulates DC-SIGN Surface Expression proteins, Nef is necessary and sufficient for inducing**

**venting its internalization. The effect of Nef was less pronounced than that of the dileucine sorting signal. Moreover, the high surface stability of DC-SIGN LL/AA was not modified by Nef (Figure 2E).**

**All together, these data indicated that Nef upmodulates DC-SIGN expression by modifying the intracellular trafficking of the lectin. Nef inhibits the endocytosis of surface DC-SIGN molecules. This effect is reminiscent of that induced by the destruction of the dileucine sequence located in the cytoplasmic tail of the lectin, strongly suggesting that Nef antagonizes the activity of this sorting motif.**

**We next investigated which determinants of Nef contribute to modify DC-SIGN trafficking. We analyzed three well-characterized Nef mutants, whose expression levels are equivalent to those of the WT viral protein. This point was verified by Western blot analysis (data not shown). A myristoylation-negative Nef mutant (Nef**-**myr) was defective in upregulating DC-SIGN surface expression (Figure 3D), strongly suggesting that attachment of Nef to cellular membranes is required for its activity. A conserved dileucine motif located in an exposed carboxy-terminal loop of Nef is responsible for interaction with AP complexes (Bresnahan et al., 1998; Craig et al., 1998; Greenberg et al., 1998). This motif is crucial for CD4 downregulation, but not for MHC-I downregulation. Mutating the dileucine motif (Nef LL/AA) abolished the effect of Nef on DC-SIGN (Figure 3D) and on CD4 (data not shown). Nef also bears a proline-rich region, which is part of an SH3 binding motif and is required for MHC-I downregulation (Mangasarian et al., 1999). A Nef mutant of two of these proline residues (Nef PP/AA), which is defective for downregulating MHC-I, was still active on DC-SIGN (Figure 3D) and on CD4 (data not shown). We Figure 4. Clustering of DCs with Lymphocytes conclude that Nef attachment to cellular membranes** Immature DCs were exposed to R5-tropic NLAD8 and NLAD8 $\Delta$ nef and https://www.grap.complexes.org/required HIV-1 strains. Six days after infection, cells were incubated w and binding to the clathrin AP complexes are required<br>for its activity on DC-SIGN. In contrast, interaction of<br>the polyproline region of Nef with proteins carrying an<br>SH3 domain appears dispensable.<br>SH3 domain appears disp

### **Clustering of HIV-Infected DCs with Lymphocytes**

We examined the functional consequences of the upreq**ulation of DC-SIGN. A crucial role of DC-SIGN is to medi- Similar results were observed when DCs were infected ate contact between DCs and T cells through binding with another R5 strain (YU-2; data not shown). to ICAM-3 (Geijtenbeek et al., 2000c). We asked whether We wondered whether the increase of lymphocyte Nef-induced DC-SIGN upregulation impacts the ability clustering upon HIV infection of DCs was related to the of DCs to form clusters with lymphocytes. To this aim, effect of Nef on DC-SIGN surface expression. To study DCs were infected with WT or**  $\Delta$ **nef NLAD8 viruses. After 6 days of culture, numerous syncytia were visible, con- interactions, the carbohydrate mannan and a mixture firming virus replication. Activated PBLs were added to of two anti-DC-SIGN antibodies (AZN-D1 and AZN-D2) DCs for 45 min. Cells were fixed and stained with May- (Geijtenbeek et al., 2000c). In the experiment depicted** Grüenwald-Giemsa. DCs appeared as large cells with in Figure 5, about 70% of the cells expressed Gag after **light pink nuclei. Lymphocytes were much smaller and infection with NLAD8 (data not shown). Syncytia, whose stained dark blue, and thus were easily distinguishable abundance varied depending on the DC source, were from DCs. In DCs not exposed to virus, only a few DC- barely visible with the donor shown. Numerous clusters T cell clusters were visible, each containing a few lym- were formed upon addition of lymphocytes to HIVphocytes (Figure 4). After infection with NLAD8 WT virus, infected DCs. Both mannan and anti-DC-SIGN antibodthe capacity of DCs to form clusters was dramatically ies strongly inhibited the formation of lymphocyte clusincreased (Figure 4). This phenomenon was observed ters with HIV-1-infected DCs (Figure 5A), whereas an with both isolated DCs and syncytia, indicating that it unrelated isotypic antibody was ineffective (data not was not due to special features associated with syncy- shown). tia. Remarkably, DC-T cell clusters were much less In order to quantify cluster formation, lymphocytes**





Immature DCs were exposed to R5-tropic NLAD8 and NLAD8 $\triangle$ nef **SH3 domain appears dispensable. infected cells.) Representative fields are shown.**

abundant when DCs had been infected with NLAD8 $\Delta$ nef.

this, we used two potent inhibitors of DC-SIGN-ICAM-3



**Figure 5. Clustering of HIV-Infected DCs with Lymphocytes Is Mediated by DC-SIGN**

**Immature DCs were exposed to the R5-tropic**  $NLAD8$  and  $NLAD8\triangle$ nef or to the X4-tropic **NL43 HIV-1 strains.**

**(A) Effect of anti-DC-SIGN mAbs and of mannan on DC-T cell clustering. Six days after infection of DCs with NLAD8, cells were preincubated for 20 min with blocking antibodies against DC-SIGN (AZN-D1 and AZN-D2) or with mannan before addition of PBMCs for 45 min. Cells were then stained with MGG. Representative fields are shown.**

**(B) Quantitative analysis. PBMCs were labeled with a fluorescent dye before incubation with DCs for 45 min at 37C. Cells were then fixed, and the number of fluorescent cells per field was scored. At least three fields were analyzed. Data are mean SD from two independent experiments. DCs and PBMCs used in Figure 5 are from a different donor than those used in Figure 4. (NI, noninfected cells.)**

B



**added to DCs for 45 min at 37C. Clustering was revealed HIV-1 Transmission by microscopy, and the number of adherent PBLs per We previously reported that Nef is required for efficient significant increase in the ability of DCs to cluster with (Petit et al., 2001). We examined whether upregulation lymphocytes (Figure 5B). This increase was not ob- of DC-SIGN plays a role in this process. Experiments** served with NLAD8 $\triangle$ nef. Mannan and anti-DC-SIGN an**tibodies reduced cluster numbers to levels observed in CD4 negative and thus not susceptible to HIV infection. noninfected DCs (Figure 5B). Furthermore, low levels of DC-SIGN WT, with or without Nef, or DC-SIGN LL/AA was clustering in DCs exposed to the X4 strain NL43 indi- transiently expressed by transfection. Cells were then cated that the process required de novo synthesis of exposed to a low-HIV-1 inoculum (10 ng of p24 per 106 viral proteins. Similar results were observed when rest- cells) for 2 hr and incubated with activated PBMCs after** ing T cells or purified CD8<sup>+</sup> cells were added to DCs extensive washing. Viral replication was measured as **instead of activated lymphocytes (data not shown). p24 production into supernatants. A representative ex-Thus, HIV-1-infected DCs form clusters with lympho- periment is shown in Figure 6. When cells were trans-**

## **were labeled with the fluorescent dye Calcein-A and DC-SIGN Surface Stabilization Increases**

**field was scored. Infection with HIV NLAD8 induced a HIV-1 replication in cocultures of DCs and lymphocytes** were performed using HeLa cells as donor cells. They are **cytes, irrespective of their activation state. fected with the control vector, virus production was All together, these results demonstrated that HIV-1 close to background levels. Therefore, HeLa cells do infection of DCs dramatically increases their ability to not efficiently transfer HIV-1 to lymphocytes. Expression form clusters with lymphocytes . This phenomenon in- of DC-SIGN was associated with viral replication in volves Nef-induced upregulation of DC-SIGN ex- PBMCs, confirming that virion capture by this molecule pression. promotes transmission to T cells (Geijtenbeek et al.,**



**LAI vectors. After 24 hr, cells were incubated with HIV-1 (NL43 strain) bind ICAM-3-coated beads (data not shown). Further-**For 2 hr at 37°C, washed to remove unbound virus, and cocultured<br>
with activated PBMCs. Viral replication was assessed by measuring<br>
p24 production in culture supernatants. Similar results were ob-<br>
tained with NLAD8 (data **ments. lished that DC-SIGN binding to ICAM-3 mediates a**

**cantly enhanced in the presence of Nef or when DC- by MHC molecules, initiating and regulating immune SIGN LL/AA was expressed, as observed by higher lev- responses. This early contact drives the formation of els of p24 production in PBMCs (Figure 6). Thus, the "immunological synapse" between DCs and T cells DC-SIGN upregulation significantly increases HIV-1 (Steinman, 2000). It is conceivable that DC-SIGN endotransmission to lymphocytes. cytosis influences the timed and spatially organized**

**cytes efficiently adhere to HIV-infected DCs. Upon infec- proper T cell activation. Our experiments demonstrate tion with R5-tropic HIV-1 strains, DC-SIGN is upregu- that DC-SIGN endocytosis is a pivotal phenomenon reglated at the surface of DCs. This process is induced by ulating interactions between DCs and lymphocytes. Nef, which inhibits DC-SIGN endocytosis. Upregulation of DC-SIGN increases the ability of DCs to form clusters DC transmigration across the endothelium (Geijtenbeek with lymphocytes, thus facilitating transmission of infec- et al., 2000a). DC-SIGN upregulation might also impact** tious viral particles. This novel mechanism potentially the interaction of the lecting DC trafficking.<br>
contributes to HIV-1 spread in vivo.<br> **Action with an action of the lecting DC** trafficking. contributes to HIV-1 spread in vivo.

**cytoplasmic tail of DC-SIGN functions as a sorting sig- SIGN steady-state surface levels by significantly inhibnal, regulating the intracellular trafficking and endocyto- iting DC-SIGN internalization. The effects of Nef on DC**sis of the lectin. DC-SIGN is likely internalized by the SIGN could be mimicked by removing the dileucine sort**clathrin-dependent machinery, since dileucine signals ing motif of the lectin. DC-SIGN LL/AA was not further directly interact with AP complexes (Hirst and Robinson, upregulated by Nef, indicating that the effects of the 1998). What is the role of DC-SIGN endocytosis? Many sorting signal and of Nef are not additive. Analysis of a lectins mediate both pathogen recognition and cell-cell series of Nef mutants demonstrated that a dileucine interactions (Weis et al., 1998). DC-SIGN internalization motif present within an exposed C-terminal loop of the could play a role in both of these processes. Internaliza- viral protein is required for the effect of Nef on DC-SIGN. tion signals are present in other carbohydrate binding This critical dileucine motif is known to mediate direct proteins, including the macrophage lectin, the mannose interactions of Nef with AP complexes. Thus, these obreceptor, the dendritic DEC-205 molecule, and DC- servations lead to a model in which Nef, by interacting SIGNR (or L-SIGN), a DC-SIGN-related molecule ex- with AP complexes, affects the proper recognition of pressed in endothelial cells (Soilleux et al., 2000). DEC- DC-SIGN by the cellular sorting machinery. 205 and the mannose receptor direct captured antigens Nef-induced MHC-I and CD4 downregulation are meto specialized processing compartments. A likely role diated by distinct mechanisms. Both events require the of DC-SIGN endocytosis would be to mediate antigen presence of sorting signals in target molecules. Nef-**

**capture by DCs. So far, the only antigens known to bind DC-SIGN are lentiviral envelope glycoproteins. It will be worth examining whether DC-SIGN captures other antigens and whether internalization rates of the lectin are regulated upon binding of ligands, such as antigens or ICAM molecules. Also, DC-SIGN endocytosis may provide a pathway for the uptake of incoming HIV-1 particles into DCs, thus protecting them from the extracellular milieu (Blauvelt et al., 1997). Although this possibility was not addressed here, the endocytosis-defective DC-SIGN mutant provides a useful tool for assessing the consequence of virion internalization on the preservation of infectivity.**

**We focused our study on the role of DC-SIGN surface Figure 6. Upregulation of DC-SIGN Facilitates Trans-Infection of expression on DC-T cell interactions. DC-SIGN stabiliza-Lymphocytes tion at the surface, induced by Nef or by removing the HeLa cells were transfected with the indicated DC-SIGN and Nef dileucine motif, enhanced the ability of target cells to transient adhesion between DCs and T cells (Geijtenbeek et al., 2000c). Early DC-SIGN-ICAM-3 interaction 2000b). Interestingly, virus transmission was signifi- may enable the TCR to scan for epitopes presented contact between DCs and T cells. We show here that Discussion stabilization of DC-SIGN-ICAM-3 interactions significantly increases lymphocyte clustering. This will likely This work elucidates a mechanism by which lympho- affect the complex array of molecular events leading to**

### **DC-SIGN Endocytosis Mechanism of Nef-Induced DC-SIGN Upregulation**

**We show here that a dileucine sequence located in the We show that Nef induces a 2- to 3-fold increase in DC-**

routing of the immune receptor from the Golgi (Le Gall vigorously increased upon binding of DCs to CD4<sup>+</sup> **et al., 1998, 2000). Direct binding of Nef to AP complexes T cells. Numerous DC-T cell conjugates are formed, is not required for MHC-I downregulation, which rather which ultimately fuse to form syncytia. We show that involves the TGN sorting protein PACS-1 (Piguet et al., this process is promoted by Nef, which acts on DC-SIGN 2000). Nef-induced CD4 downregulation probably re- surface levels. Nef is expressed early and abundantly quires the establishment of a connection between the during the viral cycle. DC-SIGN upregulation likely occellular endocytic machinery and the cytoplasmic do- curs before the synthesis of viral structural proteins. main of CD4 (Piguet et al., 1999). In this model, the This would allow for a sustained contact between DCs N-terminal domain of Nef is involved in binding to CD4, and CD4<sup>+</sup> T cells, which might facilitate viral spread and/** whereas the dileucine motif is responsible for recruiting or syncytia formation when fusogenic Env glycoproteins **AP complexes of clathrin-coated pits (Bresnahan et al., are produced. Other phenomena, such as maturation of 1998; Craig et al., 1998; Greenberg et al., 1998). Other DCs or CD40- and CD80-mediated contacts (Pinchuk Nef binding proteins, such as NBP1, a subunit of a vacu- et al., 1994), may additionally play an important role in olar ATPase, might consolidate Nef-AP interactions (Lu the interaction between HIV-infected DCs and T cells. et al., 1998). Our analysis of Nef mutants demonstrated Our results help explain the puzzling observation that that the effects of Nef on DC-SIGN and CD4 share simi- R5-tropic strains are preferentially transmitted among larities, both being mediated by a modification of the humans, though this restriction process is probably multurnover of surface receptors and both likely involving tifactorial. It has been suggested to take place at the interaction of Nef with AP complexes. However, whereas level of DC infection (Reece et al., 1998) and to involve DC-SIGN is upregulated, CD4 is downmodulated. There the differential expression and signaling abilities of are additional surface molecules affected by Nef. MHC-I HIV-1 coreceptors (Lin et al., 2000; Zaitseva et al., 1997).** and CD28 are downmodulated, while surface expression Although DC-SIGN can transport both X4 and R5 iso**of the invariant chain of MHC-II and of TNF and LIGHT lates, only R5 strains will lead to productive infection cytokines is enhanced (Lama and Ware, 2000; Stumpt- and Nef expression in DCs. Nef-induced DC-SIGN ner-Cuvelette et al., 2001; Swigut et al., 2001). Although upregulation could thus provide a decisive selective ada number of surface molecules (including the receptors vantage for subsequent dissemination of R5 strains to for EGF, LDL, mannose-6 phosphate, and the CD8, T cells. It is currently unclear which DC subsets are the CD20, CD25, CD69, and HLA-C molecules) are not af- targets for HIV-1, and further work is required to address fected by Nef, our results indicate that the effects of Nef whether Nef functions in these subsets. HIV-1-infected on cellular trafficking are more extensive than initially DCs have been detected in adenoids and tonsils of serothought. positive individuals (Frankel et al., 1997, 1996). Infected**

**are downregulated by Nef remains speculative. Upregu- involving DCs and T cells, and were observed at all lation of DC-SIGN is not a consequence of the effects stages of disease. This suggests that Nef could promote of Nef on CD4, since it is observed in HeLa cells, which viral spread during both acute and chronic infection. lack CD4 expression. Most of the effects of Nef on traf- Moreover, DC-SIGN expression may be broader than ficking require the critical dileucine motif, highlighting initially thought, likely including certain types of macrothe importance of the interaction of Nef with AP com- phages in vivo (Mummidi et al., 2001). Our observations plexes. Nef distorts the sorting function of AP com- can be relevant to these cell types, which also support plexes, scrambling the trafficking of CD4 and CD28 in HIV infection. lymphoid cells and of DC-SIGN in DCs. One can specu- In summary, our data emphasize the complex interac**late that the trafficking of as yet unidentified proteins tions between DCs and HIV-1. The viral protein Nef, by

### **DC-SIGN Upregulation and HIV Infection semination.**

**The upmodulation of DC-SIGN in HIV-1-infected DCs Experimental Procedures has important immunological and virological implications. Nef-induced upregulation of DC-SIGN was ob- Generation of Mononuclear Subsets including primary isolates. Thus, it is likely that upregula- Briefly, PBMCs from leukapheresis were cultured 7 days in serumtion of DC-SIGN occurs in infected DCs in vivo. HIV-1 free AIM-V medium (Gibco) supplemented with 500 U/ml GM-CSF** infection is characterized by a state of immune hyperac-<br>tivation, associated with a progressive impairement of<br>CD8<sup>+</sup> and CD4<sup>+</sup> T cell functions . We show that upregu-<br>CD8<sup>+</sup> and CD4<sup>+</sup> T cell functions . We show that up **lating DC-SIGN surface levels significantly increased PBMCs were activated with PHA and cultivated in the presence of DC-T cell cluster formation. It is tempting to speculate IL-2 (50 U/ml; Chiron). that this phenomenon affects the potency of DCs to** activate lymphocytes. Inhibiting DC-SIGN endocytosis<br>may thus represent a pivotal feature in the ability of<br>HIV-1 to manipulate the immune response.<br>HIV-1 to manipulate the immune response.<br>CD4<sup>+</sup> LTR-LacZ cells (clone P4)

cultures of immature DCs (Granelli-Piperno et al., 1998;

**induced MHC-I downregulation reflects mainly a mis- Petit et al., 2001). Although virus production is low, it is**

Why some receptors are upmodulated whereas others cells appeared as multinucleated syncytia, potentially

**will also be affected by Nef. upregulating DC-SIGN surface expression, potentially manipulates both the immune response and viral dis-**

DCs were prepared using a VacCell processor (Goxe et al., 1998).

**R5-tropic, but not X4-tropic, HIV-1 strains replicate in SIGN surface expression (C. Nobile et al., personal communication).** The production and use of WT and Anef HIV<sub>NL43</sub>, HIV<sub>NLAD8</sub>,

Petit et al., 2001). Immature DCs (2.5  $\times$  10<sup>5</sup> cells) were exposed to DACTION, the European Community, the Dutch AIDS Foundation **the indicated virus stocks (25 ng of p24). After overnight incubation, (5008), and the Dutch Heart Foundation (970701). cells were washed and grown on glass coverslips. Transfected HeLa cells (5 105 cells) were exposed to the indicated virus preparations Received August 6, 2001; revised November 8, 2001. (10 ng of p24). After 2 hr at 37C, cells were washed and PBMCs (106 cells) were added. P4-DC3 cells were infected (m.o.i., 0.1–0.4) References** as described (Maréchal et al., 1998).

### **Plasmid Construction and Transfection control of immunity. Nature** *392***, 245–252.**

**The DC-SIGN WT vector contains the DC-SIGN gene in pRcCMV Blauvelt, A., Asada, H., Saville, M.W., Klaus Kovtun, V., Altman, D.J., (Geijtenbeek et al., 2000c). The Nef-FT WT and Nef-mock CMV pro- Yarchoan, R., and Katz, S.I. (1997). Productive infection of dentritic sense orientation (Le Gall et al., 1998). Bicistronic plasmids coex- separate pathways. J. Clin. Invest.** *100***, 2043–2053.** pressing Nef NA7 (WT or mutant proteins) and GFP were kindly<br>provided by J. Skowronsky (Greenberg et al., 1998). Nef LAI or DC-<br>SIGN mutants were generated with the Quick Change kit (Stra-<br>tagene). The sequence of DC-SIGN tagene). The sequence of DC-SIGN mutants was verified by se-<br>quencing. HeLa cells were cotransfected with Nef, DC-SIGN, and<br>GFP expression vectors (2, 1, and 0.05 µg for 2.5 × 10<sup>5</sup> cells, respec-<br>tively) as described (Le when the bicistronic Nef NA7-GFP vector was used. A vector encod-<br>ing a defective (nonmyristoylated) Nef or the Nef-mock plasmid were<br>transfected as penative controls, vielding similar results (1996). Dendritic cells and t **(1996). Dendritic cells and the replication of HIV-1. J. Leukoc. Biol. transfected as negative controls, yielding similar results.**

HeLa cells were processed for flow cytometry or immunofluores**cence (IF) analysis 24 hr after transfection, as described (Le Gall et required for CD4 down-regulation and optimal viral infectivity. Proc. al., 1998). Cells were stained with anti-DC-SIGN mAbs AZN-D1 or Natl. Acad. Sci. USA** *95***, 11229–11234. AZN-D2 (Geijtenbeek et al., 2000c) or with an isotype IgG1 mAb as Cullen, B.R. (1998). HIV-1 auxiliary proteins: making connections in a control. Surface levels of DC-SIGN were measured in GFP cells, a dying cell. Cell** *93***, 685–692. which represented the fraction of the cell population (30%–50%) Deacon, N.J., Tsykin, A., Solomon, A., Smith, K., Ludford-Menting, that was transfected. Anti-CD4 mAb SK3-PE (Becton Dickinson) M., Hooker, D.J., McPhee, D.A., Greenway, A.L., Ellet, A., Chatfield, and rabbit polyclonal anti-DC-SIGN Abs (CSRD, raised against a C., et al. (1995). Genomic structure of an attenuated quasi species When stated, transferrin-FITC (10 g/ml; Molecular Probes) was** *270***, 988–991.** added in serum-free medium for 5 min at 37°C before fixing the<br>cells. For kinetics of DC-SIGN surface internalization (Le Gall et al.,<br>2000), HeLa cells were stained for 45 min at 4°C with AZN-D2 mAb,<br>2000), HeLa cells wer **gram. Confocal microscopy was performed on a Leica TCS4D instru- Geijtenbeek, T.B., Krooshoop, D.J., Bleijs, D.A., van Vliet, S.J., van ment. Series of optical sections at 0.5 m intervals were recorded Duijnhoven, G.C., Grabovsky, V., Alon, R., Figdor, C.G., and van**

**(25 ng of p24) and incubated on glass coverslips. Four or six days mani, V., Littman, D., et al. (2000b). DC-SIGN, a dendritic cell-specific after infection, PBMCs (5 105 cells/well) were added to DC, centri- HIV-1-binding protein that enhances trans-infection of T cells. Cell fuged at low speed (1000**  $\times$  g for 1 min), and incubated for 45 min. *100*, 587–597.<br>**Nonadherent cells were removed by extensive washing with PBS, Gaitfor hack and DC-T cell conjugates were fixed in methanol, stained with May- G.C., Adema, G.J., van Kooyk, Y., and Figdor, C.G. (2000c). Identifi-**Grüenwald-Giemsa, and examined microscopically. When stated,<br>
mannan (20ug/ml), anti-DC-SIGN mAbs (AZN-D1 and -D2, 20ug/<br>
thet supports primary immune responses Cell 100, 575–585 mannan (20µg/mi), anti-DC-SiGN mAbs (AZN-D1 and -D2, 20µg/<br>ml), or isotype control Ab (data not shown) was added 20 min before ml), or isotype control Ab (data not shown) was added 20 min before<br>and during contact with PBMCs. For quantitative analysis, PBMCs<br>were labeled with Calcein AM (1µg/ml; Molecular Probes) for 30 min<br>at 37°C and incubated w

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**macrophagetropic (M-tropic) human immunodeficiency virus type Acknowledgments** *<sup>1</sup>***, while mature cells efficiency transmit both M- and T-tropic virus**

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