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Temporal and spatial characteristics of the urban heat island in Beijing and the impact on building design and energy performance

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1        **Temporal and spatial characteristics of the urban heat island in**

2        **Beijing and the impact on building design and energy performance**

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10  
11        **Abstract**

12        With the increased urbanization in most countries worldwide, the urban heat island (UHI) effect,  
13        referring to the phenomenon that an urban area has higher ambient temperature than the surrounding  
14        rural area, has gained much attention in recent years. Given that Beijing is developing rapidly both in  
15        urban population and economically, the UHI effect can be significant. A long-term measured weather  
16        dataset from 1961 to 2014 for ten rural stations and seven urban stations in Beijing, was analyzed in  
17        this study, to understand the detailed temporal and spatial characteristics of the UHI in Beijing. The  
18        UHI effect in Beijing is significant, with an urban-to-rural temperature difference of up to 8°C during  
19        the winter nighttime. Furthermore, the impacts of UHIs on building design and energy performance  
20        were also investigated. The UHI in Beijing led to an approximately 11% increase in cooling load and  
21        16% decrease in heating load in the urban area compared with the rural area, whereas the urban heating  
22        peak load decreased 9% and the cooling peak load increased 7% because of the UHI effect. This study  
23        provides insights into the UHI in Beijing and recommendations to improve building design and  
24        decision-making while considering the urban microclimate.

26 **Keyword:** Urban heat island, Microclimate, Building design, Temporal and spatial characteristics,  
27 Beijing

28

## 29 **1. Introduction**

30 Many countries, especially developing countries, have experienced rapid urbanization over the last  
31 few decades. The proportion of the world's population in urban areas has increased from 30% in 1950  
32 to 54% in 2014 and is projected to be up to 66% by 2050 [1]. Globally, China had the largest urban  
33 population in 2014, with 758 million urban dwellers, accounting for 20% of the world's total. The  
34 urbanization rate of China is predicted to reach approximately 70% by 2030 [2].

35 The rapid urbanization in major cities worldwide has created many issues, including the urban heat  
36 island (UHI) effect, a phenomenon that the urban area of a city is hotter than the rural area that  
37 surrounds it. Luke Howard was the first to recognize this effect and found that temperatures in London  
38 were 3.7 °C warmer than they were in the countryside at night [3]. Since then, this phenomenon has  
39 been reported in many other urban areas worldwide, such as the Greater Athens area [4], Nicosia [5],  
40 Vienna [6], and Mexico City [7]. In Asia, specifically, the UHI effect was found by many studies as  
41 well [8-16]. For example, examination of station records have indicated perceptible temperature  
42 increases in the urban area of Singapore [8]. The distribution maps of land surface temperatures on  
43 different times proved the significant UHI in Tokyo metropolitan area [9]. The cause of this  
44 phenomenon included the change of natural land surface and the high activities of production [10]. The  
45 UHI intensity in Korea was found more significant in inland cities than coastal cities [11]. In China,  
46 scholars have also put much effort into urban microclimate research. A study on the UHI in the city of  
47 Xiamen was carried out using remote sensing technology [12]. The results showed that development of  
48 an urban heat island in Xiamen was evident during the 11 years from 1989 to 2000, due to the  
49 expansion of the urban population. A study on the UHI in Chongqing, a city in Southern China,  
50 showed that the maximum UHI intensity occurred around midnight, and was as high as 2.5 °C [13].

51 Scholars took years to understand the characteristics of UHI in Beijing [14-19]. By comparing the  
52 surface air temperature data between one urban and one rural station between 1977 and 2000 in Beijing,  
53 it was found that the UHI intensity was strongest in winter and in the late nighttime or evening [14].  
54 Yang et al. [15] analyzed the UHI in Beijing using monitored weather station data from 2007 to 2010.

55 Beijing's multiple ring road (RR) system of transportation was used in their study to divide the city into  
56 different areas. The weather sites inside the 6<sup>th</sup> RR were considered urban stations, with those inside  
57 the 4<sup>th</sup> RR central urban stations. They found that the largest UHI intensity generally took place inside  
58 the 4<sup>th</sup> RR whereas the areas near the northern and southern sections of the 6<sup>th</sup> RR experienced the  
59 weakest UHI phenomenon. Zhang [16] indicated that the temperature difference was approximately  
60 4-6 °C between Beijing city and suburb area, and 8-10 °C between Beijing city and outer suburb area  
61 in 2001. Qiao et al. [17] reported that urban design based on urban form would be effective for  
62 regulating the thermal environment, due to the contributing influence of the encroachment of urban  
63 land on rural land on UHI effect.

64 The diurnal and seasonal features of UHIs have been investigated in many studies. It is widely  
65 accepted that the UHI intensity is greatest at night [20-22]. That is mainly because of the different  
66 cooling rates for the urban and rural areas at night and the large heat storage in the urban surfaces [23,  
67 24]. There is no such consensus regarding the seasonal characteristics of UHIs. Basically, seasonal  
68 variation is related to the differences in the local weather conditions [25]. Chow et al. [20] reported that  
69 higher UHI intensity generally occurred during the southwest monsoon period from May to August in  
70 Singapore. Jongtanom et al. [25] found that the UHI effect was strongest during the dry season  
71 (November–April) and weakest during the rainy season (May–October) in Thailand. Zhang et al. [24]  
72 reported the strongest UHI intensity for Shanghai was found in autumn.

73 Many factors contribute to UHIs, of which the major ones were summarized by Oke et al. as follows  
74 [26]: decreased long-wave radiation loss, increased thermal storage in the building fabric, released  
75 anthropogenic heat, urban greenhouse effect, decreased effective albedo of the system due to multiple  
76 reflection, and reduction in evaporating surface in the city. In general, city buildings are regarded as a  
77 major contributing factor to UHIs. In addition, the dominant factors involved in the night time urban  
78 heat island energy budget at building level were analyzed by Schrijvers et al [27]. It was found that the  
79 long wave trapping effect is the main mechanism controlling the surface temperature.

80 There are two types of UHI: surface UHI, which refers to the difference in land surface temperature  
81 between the urban and rural areas, and atmospheric or near-surface UHI, which is defined as the  
82 difference in air temperature [28]. Moreover, atmospheric UHI can be distinguished further into that of  
83 the urban boundary layer (UBL) and that of the urban canopy layer (UCL) [29]. In the buildings field,  
84 the UCL-UHI is the most crucial and is related to people's lives because they live in the canopy layer.

85 The canopy air temperature directly affects outdoor thermal comfort and public health [30]. Therefore,  
86 in our study, we focused mainly on the characteristics of the UCL-UHI.

87 Phelan et al. [31] reviewed the literature to date and summarized the major direct and indirect  
88 impacts of UHIs. UHIs directly influence both daytime and nighttime temperature and indirectly  
89 increase air-conditioning loads, deteriorate air and water quality, reduce pavement lifetime, exacerbate  
90 heat waves, and so on. To mitigate the UHI effect, the simple ways are the use of reflective surfaces  
91 and planting of urban vegetation, which could save \$10 billion in energy and equipment costs and  
92 eliminate 27 million metric tons of CO<sub>2</sub> emissions [32, 33].

93 The impact of UHIs on building energy use, to be specific, has been well documented across various  
94 climate conditions in the existing literature. In London, Kolokotroni et al. found that the rural reference  
95 building consumed 84% of the energy of a similar urban office during a typical hot week [34]. The  
96 annual urban cooling load was up to 25% higher than that of the rural area, and the annual heating load  
97 was reduced by 22% because of the London heat island effect [35]. In central Athens, Hassid et al.  
98 reported that the increase in cooling energy and peak demand due to a UHI was as much as 100% in  
99 1997 and 1998 [36]. The study by Akasaka et al. confirmed that the cooling load had increased about  
100 20% since 1900 whereas the heating load had decreased by 40% because of the heating island  
101 phenomenon in Tokyo [37]. Lowe [38] indicated that there is a net energy benefit due to the UHI in  
102 northern areas with cold climate in the US, whereas warmer areas use more energy because of the UHI.  
103 The effect of Modena's UHI on building energy consumption was also investigated [39]. Santamouris  
104 et al. [40] reviewed the existing studies concerning energy impact of UHI and found that in average the  
105 cooling load of typical urban buildings is 13% higher than rural buildings.

106 There is much evidence proving the significant energy impact of UHIs on buildings. However, only  
107 a few studies have paid attention to such impact in mainland China, though Li et al. found that  
108 Beijing's UHI accounted for almost 28.88% of that city's total air-conditioning consumption in 2005  
109 [41]. In general, the UHI effect has not been fully considered in building design in China to date. For  
110 example, in Beijing, the capital of China, the available weather dataset in the current national design  
111 codes [42], [43] and the building simulation software comes from weather stations located in the urban  
112 area. Given there is a significant distinction of the climate conditions between the urban and rural areas  
113 [13], using the dataset from one station to represent all the regions of Beijing can cause a great deal of  
114 deviation in building design. Thus, the impact of the UHI on building thermal and energy performance

115 may not be reflected effectively [44]. In addition, a limited number of studies have examined the  
116 long-term characteristics of a UHI in view of global climate change. According to the  
117 Intergovernmental Panel on Climate Change (IPCC) report, the globally averaged combined land and  
118 ocean temperature showed a warming of approximately 0.72 °C from 1951 to 2012 [45]. Therefore, the  
119 characteristics of a recent UHI can differ greatly from a decades-old UHI. Given that buildings can  
120 have a life cycle of more than 50 years, a full understanding of the long-term features of a UHI is  
121 essential for better building design, considering the urban microclimate. The goal of this study was to  
122 address these gaps in the present literature.

123 The temporal and spatial characteristics of the UHI in Beijing from 1961 to 2014 were investigated  
124 in this study. Furthermore, the impact of a UHI on building design, including design weather  
125 conditions, building energy consumption, and peak loads, were studied. The aim of this paper was to  
126 answer the following significant questions about the UHI in Beijing. (1) To what extent does the UHI  
127 influence the urban microclimate in Beijing? (2) How does UHI intensity change over the long term?  
128 (3) How large is the variation in weather condition, as caused by the UHI in different regions? (4) To  
129 what extent does the UHI influence building design and energy performance?

130 The rest of the paper is organized as follows. First, the source data and methodology are introduced  
131 in Section 2. Section 3 depicts and presents analyses of the UHI in Beijing. The temporal and spatial  
132 characteristics of the UHI, as well as the specific regional discrepancy, are analyzed further. In addition,  
133 the impact of the UHI on building design, including both the design weather conditions and energy  
134 performance, are discussed in Section 4. In the last section, conclusions are drawn based on the  
135 analysis results.

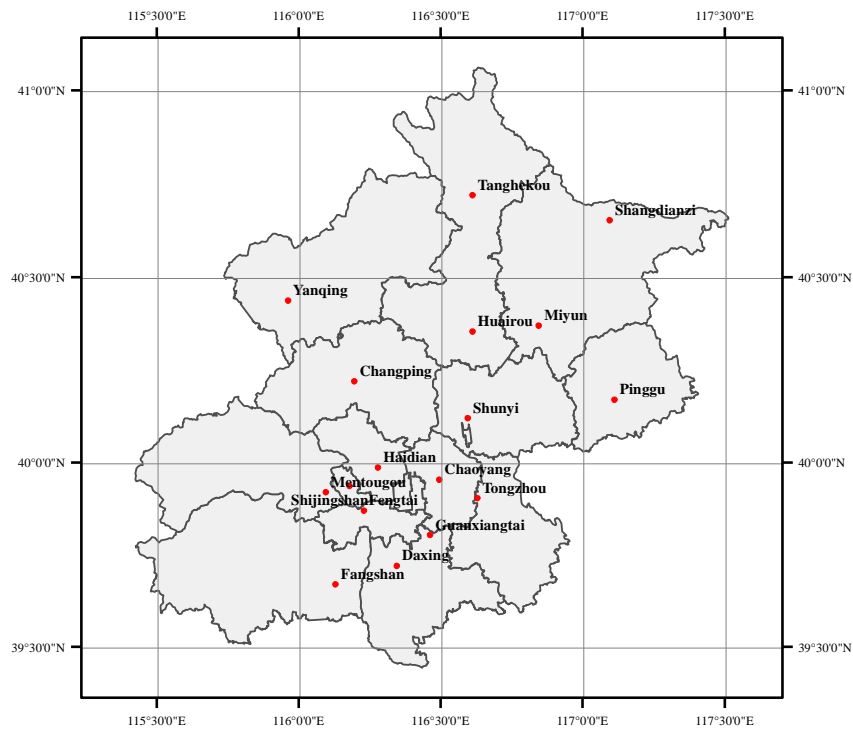
## 136 **2. Method**

### 137 *2.1. Beijing weather stations and sources of weather data*

138 Beijing is located in northern China and has a semi moist monsoon continental climate. As the  
139 important economic and political heart of the country, Beijing has a very dense population and high  
140 urbanization rate. The total population in 2014 was about 20 million and an average of 4.4% of the  
141 city's population migrated from its rural area to its urban area annually from 1990 to 2014 [1].

142 The weather data from 17 meteorological stations in Beijing, including seven urban stations and 10  
143 rural stations, were used in this study. Among the area's weather stations, the Beijing station is

144 traditionally used to represent the climate condition of all of Beijing for current design codes. The  
 145 relative location of each weather station is shown in **Fig. 1**. The weather data were monitored and  
 146 recorded by the Beijing Meteorology Service. The data period is from 1961 to 2014 for all the stations,  
 147 except Haidian, Tanghekou, and Shijingshan, which had data records of 40 years, 41 years, and 38  
 148 years, respectively. The stations differed greatly in elevation, varying from 29.6 m (Shunyi) to 489.4 m  
 149 (Yanqing), because the urban section of the city is located on a plain and is surrounded by mountains in  
 150 the rural north and west areas. The Yanqing, Tanghekou, and Shangdianzi stations are situated in the  
 151 mountains. To negate the impact of the different topographies of the stations, the air temperature  
 152 observed at each station was corrected to the average elevation of the plain area based on the lapse rate.  
 153 The lapse rate of temperature was recognized as 6 °C/km according to the International Civil Aviation  
 154 Organization [46]. Detailed information and corrected temperature of each weather station are listed in  
 155 **Table 1**.



156

157

**Fig. 1.** Relative locations of the 17 weather stations in Beijing

158

**Table 1.** Detailed information about the 17 weather stations

Station Name	Shunyi	Haidian	Yanqing	Tanghekou	Miyun	Huairou	Shangdianzi	Pinggu	Tongzhou
Latitude	40°7'	39°59'	40°26'	40°43'	40°22'	40°21'	40°39'	40°10'	39°54'
Longitude	116°36'	116°17'	115°58'	116°37'	116°51'	116°37'	117°6'	117°7'	116°38'

Elevation (m)	29.6	46.9	489.4	333.7	73.4	75.6	286.5	32.1	44.5
Data Period	1961-2014	1975-2014	1961-2014	1974-2014	1961-2014	1961-2014	1961-2014	1961-2014	1961-2014
Total years	54	40	54	41	54	54	54	54	54
Adjusted $\Delta t$ (°C)	-0.14	-0.04	2.62	1.68	0.12	0.14	1.40	-0.12	-0.05
Description	Rural	Urban	Rural	Rural	Rural	Rural	Rural	Rural	Urban
Station Name	Chaoyang	Changping	Mentougou	Beijing	Shijingshan	Fengtai	Daxing	Fangshan	
Latitude	39°57'	40°13'	39°55'	39°48'	39°56'	39°52'	39°43'	39°40'	
Longitude	116°30'	116°12'	116°6'	116°28'	116°11'	116°14'	116°21'	116°8'	
Elevation (m)	36.5	74.1	92.9	32.5	67.1	57	38.8	39.2	
Data Period	1961-2014	1961-2014	1961-2014	1961-2014	1977-2014	1961-2014	1961-2014	1961-2014	
Total years	54	54	54	54	38	54	54	54	
Adjusted $\Delta t$ (°C)	-0.10	0.13	0.24	-0.12	0.09	0.02	-0.08	-0.08	
Description	Urban	Rural	Urban	Urban	Urban	Urban	Rural	Rural	

159

## 160 2.2. Index to measure the UHI intensity

161 To characterize the UHI intensity (UHII) quantitatively, an appropriate index should be  
162 determined first. Traditionally, UHII is defined as the difference in air temperature between the urban  
163 and rural stations [13], [44], [47], [48]. In some studies, UHII is quantified using the maximum  
164 difference between the urban temperature and the reference rural one [39], [49]. Although using these  
165 indices to measure UHII is easy to understand and simple to characterize, describing the duration of the  
166 UHI for a given period is difficult. As the temperature is a transient changeable climate condition, the  
167 accustomed UHII changes with every time step. These indices are useful to quantify the diurnal  
168 variation but not suitable for giving insight into the comprehensive discrepancy in UHII of different  
169 locations from a long-term perspective.

170 The California EPA developed a UHI index, defined in equation (1), to characterize and map UHIs  
171 in California in 2015 [50]. This index can capture both the severity (magnitude) and extent (duration)  
172 of the urban-rural temperature differential, and was therefore adopted in our study. In the definition  
173 equation,  $T_{u,h}$  refers to the urban temperature at time-step  $h$ , whereas  $T_{r,h}$  refers to the rural  
174 temperature at time-step  $h$ .  $H$  is the number of time-steps and  $k$  denotes the station index. The equation



175 is used to calculate a cumulative UHII, in degree hours, over a designated period. In this study, the  
176 period refers to each calendar year from 1961 to 2014.

$$177 \quad UHII = \sum_{h=1}^H [T_{u,k,h} - \min(T_{u,k,h}, T_{r,k,h})] \quad (1)$$

178 The Beijing station, which is the traditional representative station in current design codes, was  
179 selected as the reference urban station. The other 16 stations were compared to the Beijing station and  
180 their UHIIs were calculated according to equation (1). The larger the UHII is, the more significant the  
181 difference from the urban area was at that station.

### 182 *2.3. Spatial interpolation*

183 For interpreting and visualizing the observed UHI in Beijing, it is important to know the spatial  
184 distribution of temperature at a specific time. Because the number of stations is limited, spatial  
185 interpolation is needed. Spatial interpolation is a feasible approach to predict the whole surface using  
186 discrete data points. There are numerous spatial interpolation methods, such as distance-weighting,  
187 Kriging, and spline interpolation methods [51]. In our study, a spline interpolation method was applied  
188 because spline interpolation methods are smoother, give more precisely located extrema, and draw a  
189 potential surface faster [52]. Moreover, it is the best method for representing the smoothly varying  
190 surfaces of phenomenon such as temperature [53] and the resulting smooth surface passes exactly  
191 through the input points. The detailed algorithm of the spline interpolation is introduced in the  
192 reference [54]. ArcGIS 10.4.1 software [55], developed by Environmental Systems Research Institute,  
193 was used to do the spatial analysis based on the weather data collected from the 17 observation stations.  
194 The default cell size is the shorter of the width or the height of the extent of the input point features, in  
195 the input spatial reference, divided by 250.

### 196 *2.4. Reference building*

197 A reference building was set up in DeST software [56] to evaluate the impact of the UHI in  
198 Beijing on building energy performance. DeST, which was developed by Tsinghua University in the  
199 early 1980s, is a common building simulation tool used in China. It is an appropriate tool for detailed  
200 analysis and evaluation of the building thermal process and energy performance. The reference  
201 building is a seven-story office building with a shape coefficient (the ratio of the building exterior  
202 surface area to the building volume) of 0.176. The U-values of the external walls, roofs, and windows  
203 are 0.5 W/m<sup>2</sup>K, 0.4 W/m<sup>2</sup>K, and 2.4 W/m<sup>2</sup>K, respectively, according to the national design codes [42].

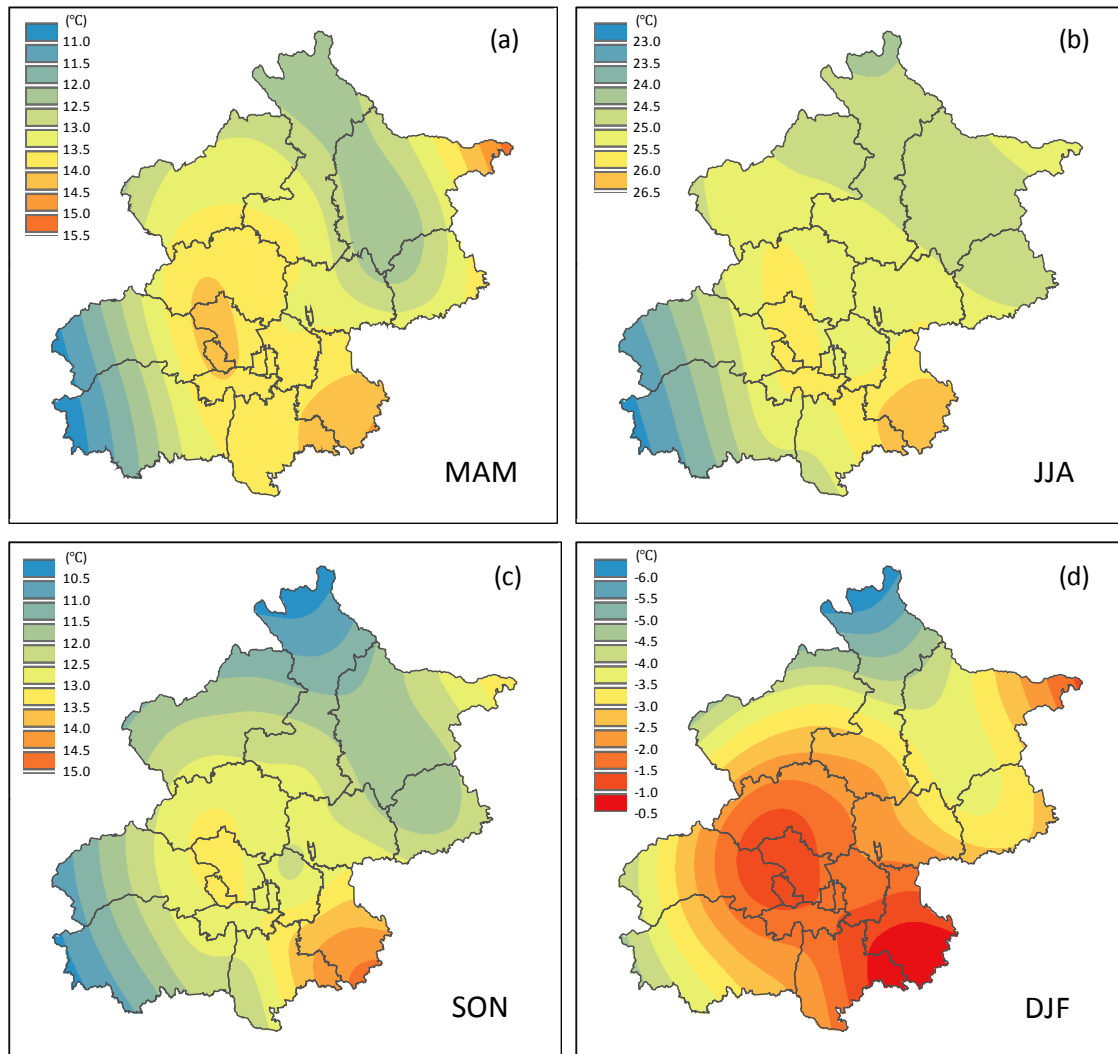
204 The internal heat gains are  $10 \text{ m}^2/\text{occupant}$ ,  $9 \text{ W/m}^2$  of lighting, and  $15 \text{ W/m}^2$  of plug-load equipment.  
205 The infiltration rate is  $0.5 \text{ h}^{-1}$ . The air-conditioning system was assumed to be on with the set point  
206 temperature of  $20 \text{ }^\circ\text{C}$  in winter and  $26 \text{ }^\circ\text{C}$  in summer during working hours (07:00–18:00, Monday to  
207 Friday). The heating and cooling load of the reference building were calculated based on these settings.

### 208 **3. Analysis of the UHI in Beijing**

#### 209 *3.1. Spatial characteristics*

210 To evaluate the UHI phenomenon in Beijing, spatial analysis was conducted first. Due to the  
211 different construction years of each weather station, the data record periods are distinct. The analysis  
212 period should be unified to avoid deviation brought by different climate conditions in different periods.  
213 In this study, the years from 1985 to 2014 were chosen because they are the most recent 30 years that  
214 are of reference value to the current situation. The air temperature for every time step of each weather  
215 station was corrected for by using each station's elevation according to Section 2.1. The mean  
216 temperatures of the four seasons during the most recent 30 years were calculated. Then, the spline  
217 interpolation was carried out to generate the temperature spatial distribution based on the mean  
218 temperature data points of the 17 stations. The spatial distribution made it possible to determine if a  
219 UHI existed and to what extent the urban and rural temperature disparity was because of the UHI in  
220 Beijing.

221 The results from the seasonal spatial distribution of temperature in Beijing are shown in **Fig. 2**. In  
222 general, the UHI phenomenon was found, but differed in magnitude, in all four seasons. In the winter  
223 months of December, January, and February (DJF), the UHI was the most significant across the four  
224 seasons. The temperature difference between the urban and rural areas reached a maximum of  $6 \text{ }^\circ\text{C}$ . In  
225 general, the center and southeast areas of Beijing experience the warmest winters. Regarding other  
226 seasons, the UHI in the summer months of June, July, and August (JJA) had the lowest differences.  
227 The discrepancy between the coldest and warmest areas was  $4 \text{ }^\circ\text{C}$ . The spatial variability in spring and  
228 autumn was larger than in summer but smaller than in winter. The temperature difference between the  
229 coldest and warmest areas was  $5 \text{ }^\circ\text{C}$  in spring and autumn.



230

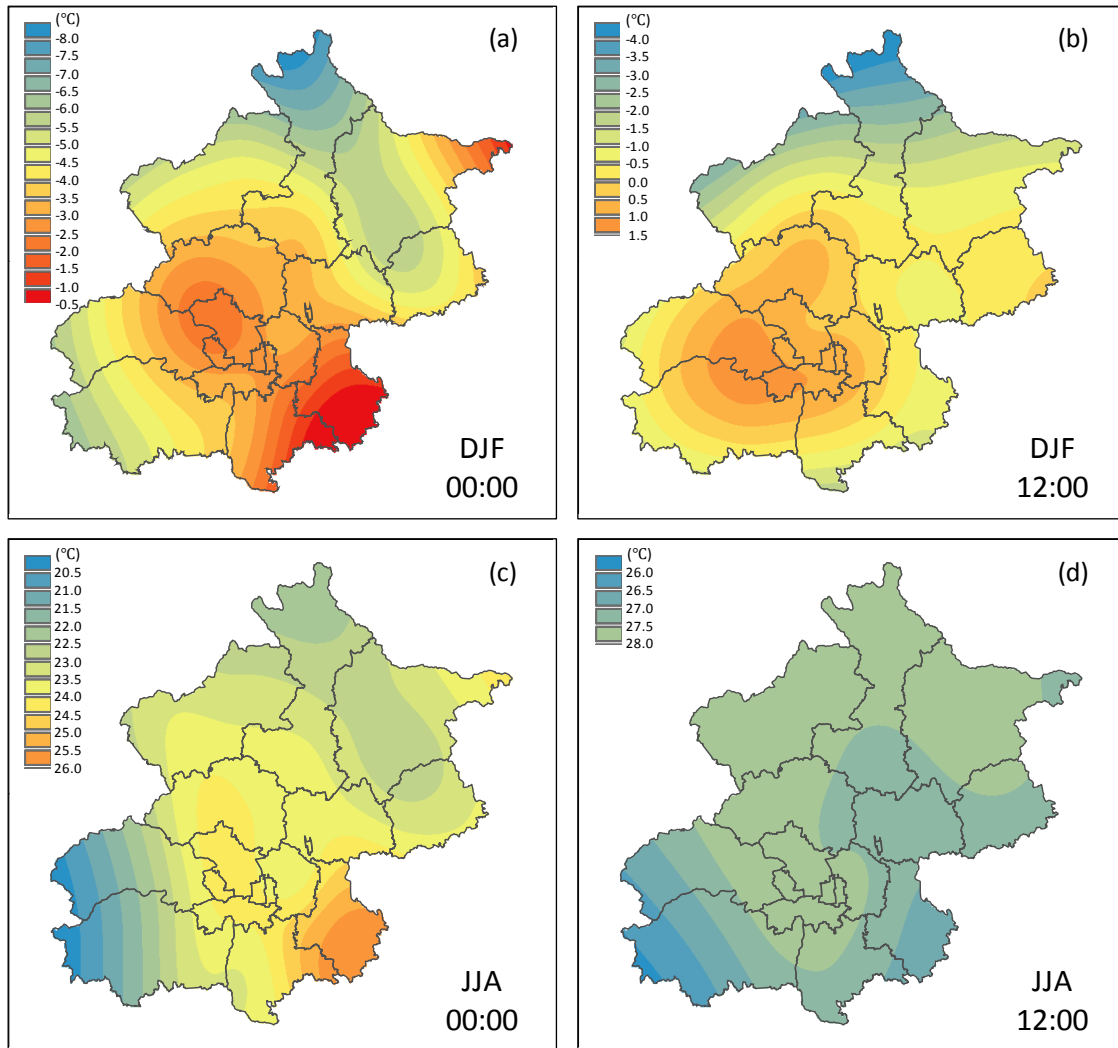
231 **Fig. 2.** Spatial distribution of average temperature in (a) March, April, and May (MAM); (b) June, July, and  
 232 August (JJA); (c) September, October, and November (SON); and (d) December, January, and February (DJF)  
 233 from 1985 to 2014

234 Notes: The lowest temperature point of each figure is the same color so that the darker shade of red of the high  
 235 temperature area indicates a more significant temperature difference.

236

237 **Fig. 3** shows the results of temperature distribution at the typical hours of midnight (00:00) and  
 238 noontime (12:00), in summer and winter. The average temperatures at 00:00 and 12:00 in DJF and JJA  
 239 from 1985 to 2014 were calculated. Consistent with the results in **Fig. 2**, the UHI in winter was more  
 240 significant than it was in summer in Beijing. Concerning diurnal variation, the divergence was much  
 241 larger during the daytime (12:00) than nighttime (00:00), regardless of season. The temperature  
 242 difference due to the UHI was up to 8 °C during the winter nighttime, whereas it was only 2.5 °C during  
 243 the summer daytime. This makes sense because the heat exchange between the urban and rural areas is

244 obvious during the day because of the mixing of air, which is enhanced by the increasing temperature  
 245 and convectively unstable air. During nighttime, the stable weather condition (e.g., calm wind)  
 246 weakens the heat exchange, and the open space of the rural area usually promotes radiative cooling.  
 247 Furthermore, more of the heat stored in building fabrics is released in the urban area than in the rural  
 248 area during the night.

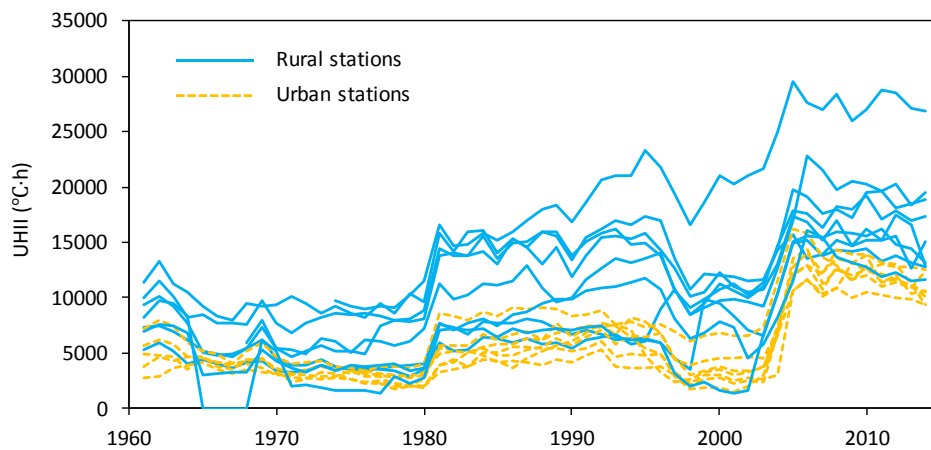


249  
 250 **Fig. 3.** Spatial distribution of average temperature in (a) DJF 00:00, (b) DJF 12:00, (c) JJA 00:00, and (d) JJA  
 251 12:00 from 1985 to 2014

252 *3.2. Temporal characteristics*

253 Studying UHII over a long period can help reveal the long-term temporal characteristics of the UHI  
 254 phenomenon in Beijing. The UHII of each station compared to the reference urban station was  
 255 calculated for each year. To show the general variation between the urban and rural stations, all 17  
 256 stations were categorized by type (urban or rural). The results are displayed in **Fig. 4**. In general, the

257 UHII was larger for the rural stations than for the urban stations, which is easy to understand. From a  
 258 long-term perspective, the UHII varies significantly year by year. Three obvious abruptions in UHII  
 259 were found during the period from 1961 to 2014. A sudden increase in UHII for most stations appeared  
 260 around 1980 and again in 2003. On the other hand, a sudden decrease in UHII occurred around 1997.  
 261 The literature shows that the UHI effect is somehow related to demographical and economic factors,  
 262 such as built-up ratio and nonagricultural population density [57]. In Beijing, the abruption in UHII  
 263 was potentially caused by macroeconomic factors. China experienced rapid development after the start  
 264 of economic reform in 1978, which probably enhanced the UHII in 1980 because of the large-scale  
 265 new construction and manufacturing, whereas the Asian financial crisis in 1997 may have resulted in  
 266 the decrease in UHII in that year. The successful bid by Beijing for the Olympics in 2001 may have led  
 267 to rapid development of the city, increasing the UHII for most stations in 2003.



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**Fig. 4.** Yearly change in UHII for urban and rural stations in Beijing from 1961 to 2014

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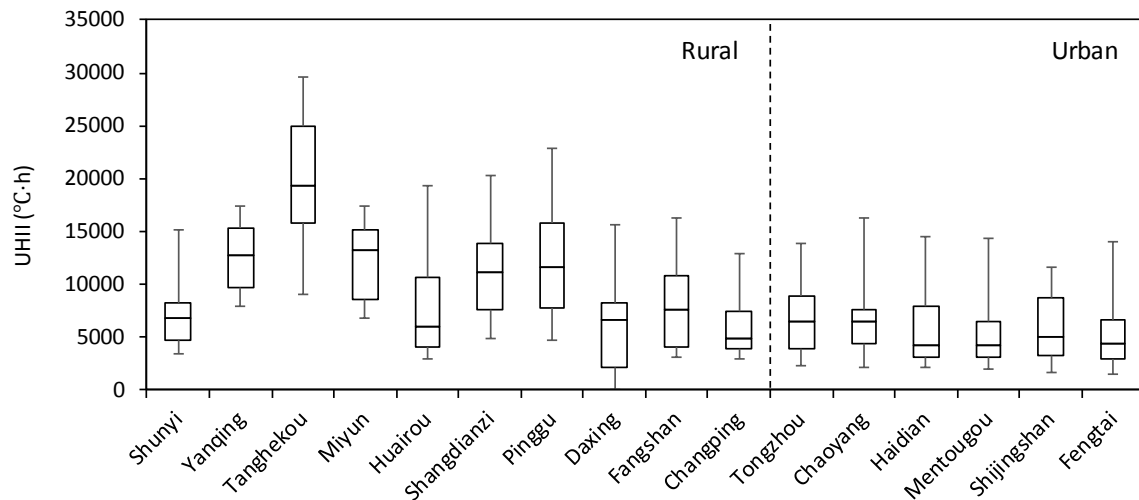
To further analyze the potential impact of synoptic condition on the magnitude and development of  
 the UHI, the Pearson correlation analysis was conducted in this study. The UHII in the various stations  
 year-over-year was regarded as the independent variable and the annual average temperature and  
 absolute humidity were the dependent variables. There are significant correlation between the UHII  
 and the temperature and absolute humidity. The correlation coefficient between the UHII and the  
 temperature was -0.351 with the significance of 0.000 and that between the UHII and the absolute  
 humidity was -0.098 with the significance of 0.005. The bigger coefficient and smaller significance  
 means the more significant the relationship is. Both correlation is negatively significant at the 0.01  
 level (significance <0.01) in this study. Namely, the cold and dry climatic condition would enlarge the

279 UHI effect in Beijing. The local climate influences the magnitude and development of the UHI together  
 280 with the microeconomic factors.

281

### 282 3.3. Regional discrepancy

283 To compare the UHII of each station, a boxplot of annual UHII from 1985 to 2014 is shown in **Fig.**  
 284 **5**. In the figure, the 10 stations to the left are located in the rural area whereas the six to the right are in  
 285 the urban area. The UHII of the urban area was generally from 3000 to 9000 degree hours during the  
 286 most recent 30 years. Most rural stations had larger absolute values and relative changing ranges of  
 287 UHII than the urban stations had. The most significant UHI effect was in Tanghekou, where the annual  
 288 variation range between the Q1 and Q3 was approximately 15000 to 25000 degree hours, followed by  
 289 Pinggu, Yanqing, and Miyun. The climate conditions of Shunyi and Changping were relatively similar  
 290 to the urban stations regarding their annual variation in UHII from 1985 to 2014.



291

292 **Fig. 5.** Boxplot of annual UHII for different weather stations in Beijing from 1985 to 2014

### 293 3.4. Extreme events

294 Extreme air temperature is uncomfortable for humans and can even be lethal [47]. The indoor  
 295 temperature can be 1.5°C-2.2°C higher in a non-conditioned urban building than in the rural one due to  
 296 the heat wave [59]. Thus, investigating the impact of a UHI on extreme events is necessary. Extreme  
 297 events in this study refer to extreme hot days, when the daily average air temperature is higher than  
 298 30 °C, and extreme cold days, when the daily average air temperature is lower than -10 °C. It is noted  
 299 that the temperature in this section was not corrected for by elevation in order to reveal the actual  
 300 weather conditions.

301 The annual extreme hot and cold days for each station from 1985 to 2014 are shown in **Fig. 6** and  
 302 **Fig. 7**, respectively. Regarding the extreme weather days in each year, two conclusions were made.  
 303 First, the difference between the rural and urban areas in the number of extreme cold days was much  
 304 more significant than it was in the number of extreme hot days in Beijing. The urban area had slightly  
 305 more extreme days and nearly no extreme cold days, whereas cold days occurred more frequently in  
 306 the rural area. Secondly, climate change in these years increased the frequency of extreme hot days  
 307 drastically. Until 1996, the occurrence of extreme hot days was very rare, at close to zero each year.  
 308 However, from 1997 on, extreme hot days appeared almost annually, with high peaks in 1999, 2000,  
 309 and 2010 of more than on average 10 extreme hot days. The impact of climate change on extreme cold  
 310 days was relatively less obvious.

	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Shunyi	0	0	0	0	0	1	0	1	0	0	0	0	9	2	10	5	1	5	1	1	2	0	2	0	2	10	0	0	1	4
Yanqing	0	0	0	0	0	1	0	1	0	0	0	0	6	0	6	5	1	6	0	1	3	0	0	0	2	13	0	1	2	2
Tanghekou	0	0	0	0	0	0	0	1	0	0	0	0	6	0	7	2	0	2	0	0	2	0	0	0	0	6	0	0	1	2
Miyun	0	0	0	0	0	0	0	0	0	0	0	0	5	1	9	10	3	4	3	1	2	0	1	0	1	8	0	0	0	2
Huairou	0	0	0	0	0	1	0	1	0	0	1	0	4	0	7	3	1	5	1	1	1	0	0	0	1	6	0	0	0	2
Shangdianzi	0	0	0	0	0	1	0	1	0	0	0	0	9	0	11	15	0	5	0	1	2	0	1	0	1	6	0	0	1	2
Pinggu	0	0	0	0	0	1	0	0	0	0	0	0	9	1	15	9	1	8	1	1	4	0	1	0	0	4	0	0	0	4
Daxing	0	0	0	0	0	0	0	0	0	0	0	0	6	3	11	18	5	7	0	0	3	0	0	0	2	13	1	3	4	4
Fangshan	0	0	0	0	0	0	0	0	0	0	0	0	3	1	4	5	0	5	0	1	9	0	0	0	1	8	0	0	1	2
Changping	0	0	0	1	0	1	0	0	0	1	0	0	12	3	13	16	5	7	2	2	8	0	2	2	6	13	0	2	7	10
Tongzhou	0	0	0	0	0	0	0	0	0	1	1	0	8	4	16	19	6	8	3	2	7	1	3	2	6	13	2	3	7	12
Chaoyang	0	0	0	0	0	0	0	0	0	0	0	0	3	0	10	8	4	5	0	0	0	0	3	3	4	11	1	2	7	5
Haidian	0	0	1	1	0	0	0	1	0	0	0	0	10	3	15	15	7	8	3	1	6	0	2	3	5	12	0	0	1	3
Mentougou	0	1	0	0	0	0	0	1	0	0	0	0	9	2	12	14	4	7	2	1	8	1	1	0	4	12	0	1	2	12
Guanxiangtai	1	0	1	1	0	0	0	2	0	1	1	0	5	2	13	14	3	6	2	2	9	2	3	1	4	14	1	4	5	4
Shijingshan	0	1	1	0	0	1	0	2	0	1	0	0	11	2	12	16	4	6	2	1	8	0	2	0	5	13	0	2	6	8
Fengtai	0	0	1	0	0	0	0	1	0	2	0	0	9	3	15	20	6	10	3	2	11	0	2	0	4	14	0	1	5	4

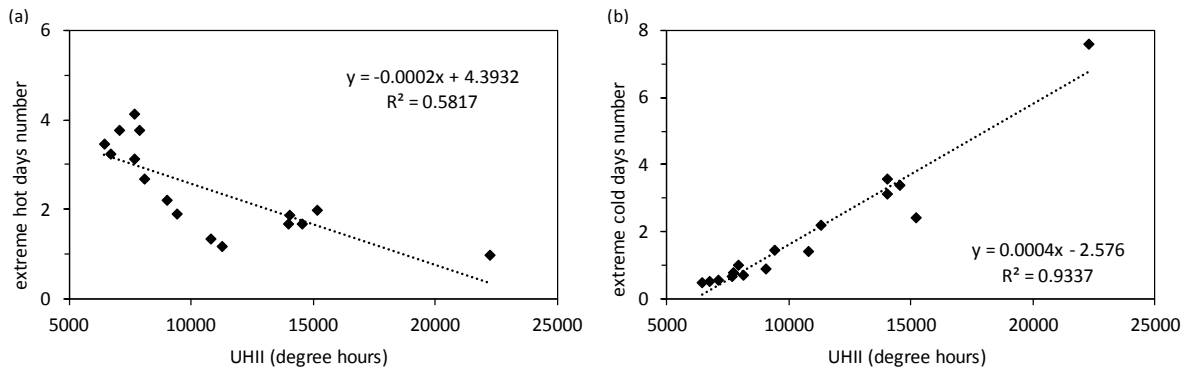
311 **Fig. 6.** Annual extreme hot days for each station in Beijing from 1985 to 2014

	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Shunyi	3	3	2	1	0	2	0	0	0	0	0	0	2	1	0	8	7	0	0	0	0	1	0	0	1	6	0	3	3	0
Yanqing	10	7	8	1	2	4	2	0	11	0	0	0	6	2	0	13	9	6	3	3	0	1	0	1	1	9	0	5	3	0
Tanghekou	15	7	7	3	2	7	5	0	10	5	1	2	10	4	5	16	8	8	7	7	6	7	0	10	6	20	13	20	16	1
Miyun	10	8	6	3	0	5	1	0	3	1	0	1	5	2	0	14	8	0	3	1	0	1	0	1	2	11	0	8	8	0
Huairou	3	2	2	0	0	2	0	0	0	0	0	0	4	3	0	8	8	4	2	2	0	1	0	0	2	13	0	6	4	0
Shangdianzi	8	3	3	2	0	2	2	0	3	3	0	0	6	3	2	9	7	0	3	2	0	1	0	3	3	10	1	10	8	0
Pinggu	5	4	6	2	0	8	1	0	0	0	0	0	5	2	0	7	7	1	2	1	0	2	0	1	2	9	0	6	2	0
Daxing	1	2	2	1	0	2	0	0	0	0	0	0	3	1	0	0	2	0	1	0	0	0	0	0	0	6	0	0	0	0
Fangshan	1	2	3	1	0	3	0	0	1	1	0	0	4	1	0	4	5	3	1	0	0	0	0	0	0	7	0	2	3	0
Changping	1	3	2	1	0	3	0	0	0	0	0	0	1	2	0	2	3	0	0	0	0	0	0	0	2	6	0	3	1	0
Tongzhou	2	2	3	1	0	3	0	0	0	0	0	0	0	1	0	0	3	0	0	0	0	1	0	0	0	5	0	1	1	0
Chaoyang	1	2	3	1	0	2	0	0	0	0	0	0	4	1	0	0	5	0	0	0	0	1	0	0	0	6	0	0	1	0
Haidian	2	2	2	0	0	1	0	0	0	0	0	0	0	1	0	0	2	0	0	0	0	0	0	0	0	4	0	0	2	0
Mentougou	1	0	1	1	0	2	0	0	0	0	0	0	1	1	0	1	2	0	0	0	0	0	0	0	1	5	0	3	1	0
Guanxiangtai	1	2	2	1	0	0	0	0	0	0	0	0	0	0	0	2	4	0	0	0	0	1	0	0	0	3	0	0	0	0
Shijingshan	1	2	1	1	0	2	0	0	0	0	0	0	1	1	0	0	3	0	0	0	0	0	0	0	0	3	0	0	0	0
Fengtai	1	1	1	1	0	2	0	0	0	0	0	0	3	1	0	1	1	0	0	0	0	0	0	0	0	4	0	0	1	0

312 **Fig. 7.** Annual extreme cold days for each station in Beijing from 1985 to 2014

315 The relationship between the 30-year average UHII and the number of extreme days is shown in  
 316 **Fig. 8**. There was a significant positive correlation between the UHII and the occurrence of extreme  
 317 cold events, as indicated by an  $R^2$  of 0.93. That is, in areas where the UHI effect was stronger, the

318 frequency of extreme cold days was much lower. A negative correlation was found between the UHII  
 319 and extreme hot events. In summary, the UHI phenomenon increased the occurrence of extreme hot  
 320 events but decreased the number of extreme cold days. It should be noted that the equation showed in  
 321 the figure is a preliminary representation of the change trend of the number of extreme days accordance  
 322 with different UHII. It cannot be used for predicting the occurrence of the extreme events, due to the  
 323 limitation of the number of stations.



324

325 **Fig. 8.** Relationship between the annual average UHII and (a) the number of extreme hot days or (b) the number of  
 326 extreme cold days for the 17 stations in Beijing (Each dot on the figure denotes a meteorological station.)

#### 327 **4. Impact of the UHI on building design and energy performance**

328 To analyze the impact of the UHI on building design and energy performance, two aspects are  
 329 discussed in this section: 1) the design weather conditions for building cooling and heating loads  
 330 estimation and HVAC equipment sizing; 2) the simulated building annual thermal loads and peak loads  
 331 for comparative study and building performance evaluation. The temperature mentioned in this section  
 332 denotes the original recorded temperature, that is, the air temperature was not corrected according to  
 333 elevation in order to reveal the actual building energy performance.

##### 334 *4.1. Design weather conditions*

335 The major design weather conditions, compiled according to the national design code [43], for the  
 336 different stations are listed in **Table 2**. The data from 1985 to 2014 were selected to generate the design  
 337 conditions. Among all the stations, the Beijing station was generally the only representative station of  
 338 the Beijing area. Nevertheless, the design parameters were distinguishable in the different regions,  
 339 especially the rural and urban areas, because of the UHI phenomenon. Heating degree days based on  
 340 18 °C (HDD18) varied from 3715.8 °C·d to 2807.2 °C·d in the rural area and from 2724.3 °C·d to  
 341 2826.0 °C·d in the urban area. Regarding cooling degree days based on 26 °C (CDD26), the variation



342 was from 6.6 °C·d to 74.5 °C·d in the rural area and from 61.3 °C·d to 85.1 °C·d in the urban area. The  
 343 differences in HDD18 and CDD26 between the urban and rural areas were significant. The results of  
 344 the design temperature for heating in winter and for cooling in summer were similar. The differences  
 345 between the maximum and minimum of the heating design temperature in winter reached up to 5.8 °C.  
 346 The differences in summer design cooling temperature, although smaller than those in winter, were still  
 347 up to 2.7 °C.

348 Additionally, we can conclude that due to the UHI effect found in Beijing, the reference Beijing  
 349 station was the hottest of all the stations, having the lowest HDD18 and highest CDD18. If we use the  
 350 dataset from the Beijing station to estimate building loads regardless of region in Beijing, no doubt,  
 351 there will be over predicted cooling loads and underestimated heating loads.

352

**Table 2.** Design conditions of the 17 stations in Beijing (1985–2014)

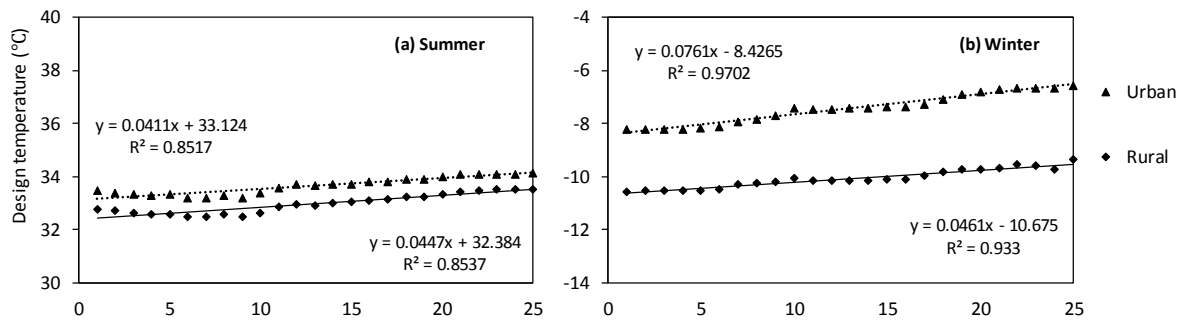
Type	Station	HDD18 (°C·d)	CDD26 (°C·d)	Heating design dry-bulb temperature in winter (°C)	Cooling design dry-bulb temperature in summer (°C)
Rural	Shunyi	2869.6	67.6	-7.6	34.1
	Yanqing	3645.9	6.6	-12.0	31.7
	Tanghekou	3715.8	10.6	-12.4	33.3
	Miyun	3214.1	42.9	-9.3	33.5
	Huairou	2985.9	46.9	-8.7	33.6
	Shangdianzi	3353.5	18.5	-10.7	32.7
	Pinggu	3070.5	52.5	-8.7	33.5
	Daxing	2811.1	70.5	-7.1	34.0
	Fangshan	2903.9	55.2	-7.8	33.6
Changping	2807.2	74.5	-7.5	34.4	
Urban	Tongzhou	2754.9	85.1	-7.2	34.1
	Chaoyang	2826.0	64.9	-7.0	34.0
	Haidian	2756.7	80.6	-6.8	34.4
	Mentougou	2812.0	61.3	-7.1	34.0
	Shijingshan	2757.4	77.8	-6.9	34.3
	Fengtai	2785.5	79.9	-7.0	34.3
Reference (Urban)	Beijing	2724.3	85.1	-6.6	34.1

353

354 **Fig. 9** presents the changing trend in the design temperature during different periods. The Beijing  
 355 and the Miyun stations were chose as the typical urban and rural stations, respectively. It is obvious  
 356 that the design temperatures in both winter and summer have an increasing trend. The increasing trend

357 of the summer design temperature was almost the same in the rural and urban areas, with an increase of  
 358 0.4 °C every ten years. The urban microclimate resulted in an approximate 1 °C increase in the summer  
 359 design temperature during different periods. The difference was more significant in the winter design  
 360 temperature, with an increase of up to 2.8 °C from 1985 to 2014, which agrees with the results that the  
 361 UHI phenomenon was more significant in winter. The changing trend of the winter design temperature  
 362 for these two typical stations differed greatly. The winter design temperature increased 0.7 °C every 10  
 363 years in the urban area, whereas the increase was 0.5 °C in the rural area.

364 These results show that the design weather conditions, in general, vary annually due to climate  
 365 change, intensifying the impact of the UHI on building design, especially when designing the heating  
 366 system for winter in recent years. Sustained emphasis should be placed on the urban microclimate to  
 367 improve the peak loads estimation for building design.



368  
 369 **Fig. 9.** Design temperature in (a) summer and (b) winter for the urban and rural stations in Beijing

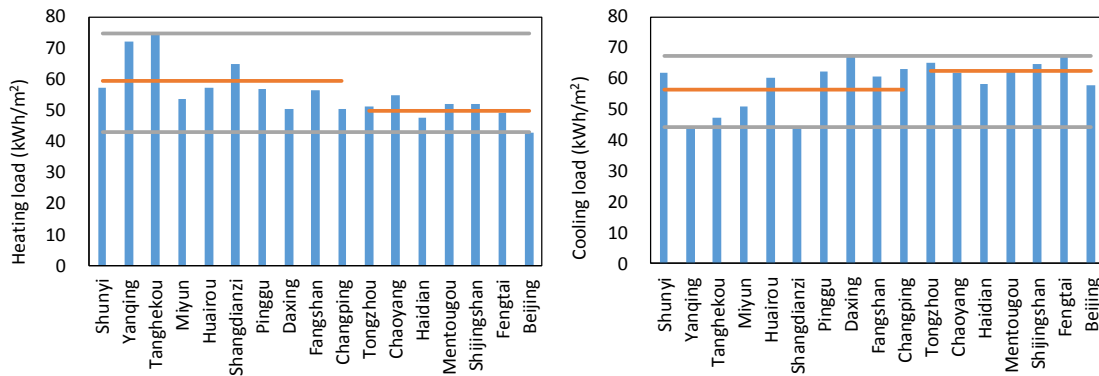
370 Note: The x-axis of the figure refers to the different periods, for example, 1 denotes 1961–1990, 2 denotes 1962–  
 371 1991, 3 denotes 1963–1992, and so on. The last point refers to the most recent 30 years, that is, 1985–2014.

372

#### 373 4.2. Annual thermal loads and peak loads

374 The simulated heating and cooling loads are shown in **Fig. 10**. The weather data for the year 2000  
 375 for each station was selected as the weather data for the simulation, as the year 2000 was a relatively  
 376 hot year in terms of extreme hot days, making it possible to investigate the impact of the UHI in a hot  
 377 climate condition. The simulation results for different stations varied in both heating and cooling loads.  
 378 The largest variations between the heating and cooling loads were 32.0 kWh/m<sup>2</sup> between Tanghekou  
 379 and Beijing and 23.3 kWh/m<sup>2</sup> between Yanqing and Fengtai, respectively. The average heating load of  
 380 the rural stations was 59.4 kWh/m<sup>2</sup> and 49.9 kWh/m<sup>2</sup> for the urban stations. The average cooling loads  
 381 of the rural and urban stations were 56.2 kWh/m<sup>2</sup> and 62.6 kWh/m<sup>2</sup>, respectively. This shows that the

382 UHI in Beijing led to an approximate 11% increase in cooling load and 16% decrease in heating load in  
 383 the urban area compared to the rural area.



384  
 385 **Fig. 10.** Simulated loads of the reference office building in the year 2000 for different regions of Beijing

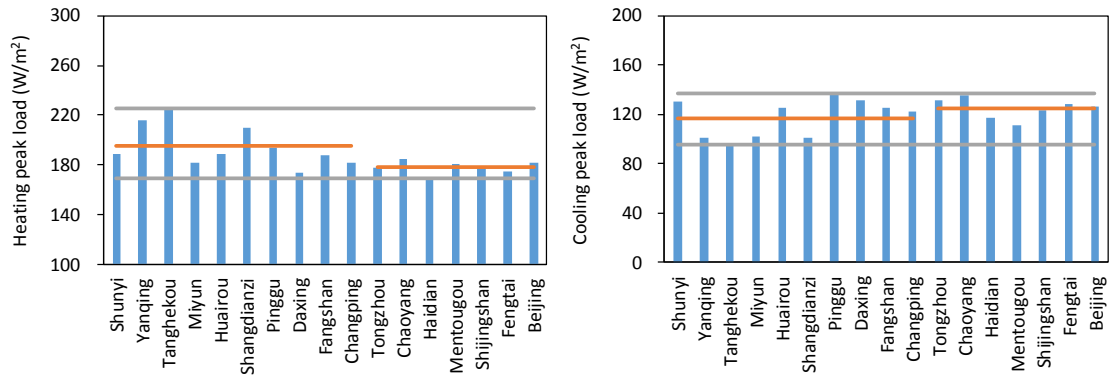
386 Note: The grey lines in the figure denote the maximum and minimum loads for all the stations.

387

388 Similar to the results of thermal loads, the urban microclimate demonstrates significant variations in  
 389 peak loads, as shown in **Fig. 11**. The largest differences were  $56.3 \text{ W/m}^2$  and  $41.6 \text{ W/m}^2$  for the heating  
 390 peak load and the cooling peak load, respectively, across all the regions. The variation was larger in the  
 391 heating peak loads than it was in the cooling peak loads. The UHI effect resulted in a 9% decrease in  
 392 the heating peak load as well as a 7% increase in the cooling peak load.

393 To estimate the electricity demand, we assume that the reference office building is equipped with the  
 394 water-cooled centrifugal chillers with the COP (Coefficient of Performance) of 4.5. The peak  
 395 electricity demand for heating and cooling are shown in **Fig. 12**. It was found that the winter peak  
 396 electricity demand in urban area was in average  $39.6 \text{ W/m}^2$ , while that in rural area was about  $43.3$   
 397  $\text{W/m}^2$ . The UHI in Beijing leads to approximately 8.5% decrease in peak electricity demand for heating.  
 398 Conversely, the peak electricity demand in summer increased by 6.5% due to the UHI effect. The peak  
 399 power demand for cooling was  $27.7 \text{ W/m}^2$  and  $26.0 \text{ W/m}^2$  in the urban and rural area, respectively.

400 In short, the building energy consumption and the peak load of various regions in Beijing can differ  
 401 to a great extent. The UHI in Beijing had a more significant impact on building energy consumption  
 402 than on the peak loads. In general, using the dataset from the Beijing station as the only weather input,  
 403 not considering the differences in climate characteristics of the different regions, usually will  
 404 underestimate the heating load and overestimate the cooling load, not only in the total consumption but  
 405 also in the peak loads.



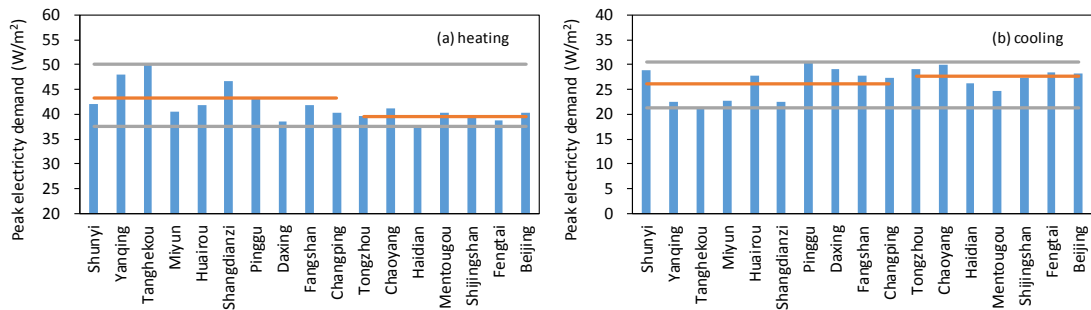
406

407

**Fig. 11.** Simulated peak loads of the reference office building in the year 2000 for different regions of Beijing

408

Note: The grey lines in the figure denote the maximum and minimum loads for all the stations.



409

410

**Fig. 12.** Simulated peak electricity demand of the reference office building in the year 2000 for

411

different regions of Beijing

#### 412 4.3. Comparison with other cities

413

The energy impact of UHI in Beijing was compared to the results of other cities acquired from the literatures, as shown in **Table 3**. The comparison shows that the impact on the heating load in Beijing is larger than Modena, but smaller than London and Tokyo. Regarding the cooling load, the UHI in Beijing leads to a more significant increase than in Modena. The energy impact of UHI in Tokyo, London and Athens are larger than in Beijing. This results indicated that the influence of UHI on the building energy use is significant in the urbanized city all over the world, but the specific impact extent varies according to the local climatic conditions.

419

**Table 3.** The different energy impact of UHI between current study and literatures

No	City, Country	Data source	Impact on heating load	Impact on cooling load	Refs.
1	London, UK	Data from 24 locations in London for 2000	The annual heating load is reduced by 22%	The annual cooling load is increased by 25%	[35]

2	Athens, Greek	Data from 6 sites for 1997 and 1998	/	The cooling load is 67% and 29% higher than reference station in 1997 and 1998, respectively	[36]
3	Tokyo and Choshi, Japan	Data from 30 stations in a range of 100 km centering on Tokyo since 1900	The heating load of Choshi has decreased about 20%, and that of Tokyo has decreased about 40%	The cooling load of Tokyo and Choshi have both increased about 20%	[37]
4	Modena, Italy	Data from one station located in the city center and another in the surrounding area for 2011 and 2012	The heating load of suburban area are about 15% higher than that of urban area	The cooling load has increased by 10% than suburban area.	[39]
5	Beijing, China	Data from 17 stations in Beijing for 2000	The heating load in urban area is decreased by 16%	The cooling load increased by 11%	/

421

## 422 5. Conclusion

423 In this study, the weather data from ten rural stations and seven urban stations in Beijing since 1961  
424 were investigated. Through deep and comprehensive analysis of the UHI effect in Beijing, this study  
425 increases the understanding of the temporal and spatial characteristics and the impacts on building  
426 design and energy performance of Beijing's UHI. The main findings of the study include:

- 427 1) The UHI effect in Beijing was significant. The UHI phenomenon was the most significant in  
428 winter, followed by autumn and spring. Summer was least influenced by the UHI effect.  
429 Meanwhile, the urban and rural temperature differences were much larger during the  
430 nighttime than during the daytime.
- 431 2) The UHI of most of the stations obviously changed around 1980, 1997, and 2003, partially  
432 due to microeconomic development.
- 433 3) The UHI led to an increase in the frequency of extreme heat events and a decrease in the  
434 occurrence of extreme cold events.
- 435 4) The building design and energy performance in different regions of Beijing can differ greatly,  
436 not only in the design weather parameters but also in the simulated building energy loads.  
437 Using only one reference station for the representative weather data may lead to a significant  
438 underestimate of heating design or overestimate of cooling design.

439 5) The heating load of urban area had decreased by 16% than that of rural area and the cooling  
440 load had increased by about 11% due to the UHI. Regarding the electricity demand, the UHI  
441 reduced the peak electricity demand from 43.3 W/m<sup>2</sup> to 39.6 W/m<sup>2</sup> in winter, and increased  
442 from 26.0 W/m<sup>2</sup> to 27.7 W/m<sup>2</sup> in summer compared to the rural area.

443 It is recommended that the UHI in Beijing be continuously monitored to remain cognizant of its  
444 trend. Design weather conditions and weather files for energy simulation should be from local weather  
445 stations, if available, to reduce the impact from using only the reference city weather station in urban  
446 areas. Understanding the temporal and spatial characteristics is the first step to developing effective  
447 strategies for mitigation of UHIs in cities.

448 Future research can expand the UHI impact simulation analysis for various building types with  
449 different energy systems and efficiency levels and can look at the whole building actual energy use  
450 (electricity and others). The dataset is also good for studies on heat waves and climate change in the  
451 Beijing region.

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