

# **JSON Profile of XACML 3.0 Version 1.0**

# Candidate OASIS Standard 01

## 12 October 2017

#### **Specification URIs**

#### This version:

http://docs.oasis-open.org/xacml/xacml-json-http/v1.0/cos01/xacml-json-http-v1.0-cos01.doc (Authoritative)

http://docs.oasis-open.org/xacml/xacml-json-http/v1.0/cos01/xacml-json-http-v1.0-cos01.html http://docs.oasis-open.org/xacml/xacml-json-http/v1.0/cos01/xacml-json-http-v1.0-cos01.pdf

#### **Previous version:**

http://docs.oasis-open.org/xacml/xacml-json-http/v1.0/csprd03/xacml-json-http-v1.0-csprd03.doc (Authoritative)

http://docs.oasis-open.org/xacml/xacml-json-http/v1.0/csprd03/xacml-json-http-v1.0-csprd03.html http://docs.oasis-open.org/xacml/xacml-json-http/v1.0/csprd03/xacml-json-http-v1.0-csprd03.pdf

#### **Latest version:**

http://docs.oasis-open.org/xacml/xacml-json-http/v1.0/xacml-json-http-v1.0.doc (Authoritative) http://docs.oasis-open.org/xacml/xacml-json-http/v1.0/xacml-json-http-v1.0.html http://docs.oasis-open.org/xacml/xacml-json-http/v1.0/xacml-json-http-v1.0.pdf

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#### Related work:

This specification is related to:

eXtensible Access Control Markup Language (XACML) Version 3.0. Edited by Erik Rissanen.
 22 January 2013. OASIS Standard. http://docs.oasis-open.org/xacml/3.0/xacml-3.0-corespec-os-en.html.

#### **Abstract:**

The aim of this profile is to propose a standardized interface between a policy enforcement point and a policy decision point using JSON. The decision request and response structure is specified in the core XACML specification. This profile leverages it.

#### Status:

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#### **Citation format:**

When referencing this specification the following citation format should be used:

#### [xacml-json-v1.0]

JSON Profile of XACML 3.0 Version 1.0. Edited by David Brossard. 12 October 2017. Candidate OASIS Standard 01. http://docs.oasis-open.org/xacml/xacml-json-http/v1.0/cos01/xacml-json-http-v1.0-cos01.html. Latest version: http://docs.oasis-open.org/xacml/xacml-json-http/v1.0/xacml-json-http-v1.0.html.

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## 1 Introduction

- 2 [All text is normative unless otherwise labeled]
- 3 {Non-normative}

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- 4 The XACML architecture promotes a loose coupling between the component that enforces decisions, the
- 5 policy enforcement point (PEP), and the component that decides based on XACML policies, the policy
- 6 decision point (PDP).
- 7 The XACML standard defines the format of the request and the response between the PEP and the PDP.
- 8 As the default representation of XACML is XML and is backed by a schema, the request and response
- 9 are typically expressed as XML elements or documents. Depending on the PDP implementation, the
- 10 request and response could be embedded inside a SOAP message or even a SAML assertion as
- 11 described in the SAML profile of XACML.
- 12 With the rise in popularity of APIs and its consumerization, it becomes important for XACML to be easily
- 13 understood in order to increase the likelihood it will be adopted.
- 14 This profile aims at defining a JSON format for the XACML request and response. It also defines the
- transport between client (PEP) and service (PDP).
- 16 In writing this document, the authors have kept three items in mind:
  - Equivalence: a XACML request and response expressed in XML need not be strictly equivalent in structure to a XACML request expressed in JSON so long as the meaning remains the same and so long as the JSON and XML requests would lead to the same response (decision, obligation, and advice).
  - 2. Lossless behavior: it MUST be possible to translate XACML requests and responses between XML and JSON representations in either direction at any time without semantic loss.
  - 3. Transport-agnostic nature: the JSON representation MUST contain all the information the XACML request and/or response contains: this means the transport layer cannot convert XACML decisions into HTTP codes, e.g. HTTP 401 for a Deny decision.

## 1.1 Terminology

- 27 The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD
- 28 NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described
- 29 in [RFC2119].

#### 1.2 Normative References

| 31<br>32       | [RFC2119]    | S. Bradner, <i>Key words for use in RFCs to Indicate Requirement Levels</i> ,<br>http://www.ietf.org/rfc/rfc2119.txt, IETF RFC 2119, March 1997.                 |
|----------------|--------------|--|
| 33<br>34       | [RFC4627]    | D. Crockford, <i>The application/json Media Type for JavaScript Object Notation (JSON)</i> , http://tools.ietf.org/html/rfc4627, IETF RFC 4627, July 2006.       |
| 35<br>36       | [XACMLMDP]   | XACML v3.0 Multiple Decision Profile Version 1.0. Latest version.<br>http://docs.oasis-open.org/xacml/3.0/multiple/v1.0/xacml-3.0-multiple-v1.0.html             |
| 37<br>38<br>39 | [ECMA262]    | S. Bradner, <i>ECMAScript Language</i> , http://www.ecma-international.org/publications/files/ecma-st/ECMA-262.pdf, Standard ECMA 262, June 2011.                |
| 40<br>41<br>42 | [NAMESPACES] | Bray, Tim, et.al. eds, Namespaces in XML 1.0 (Third Edition), W3C Recommendation 8 December 2009, available at http://www.w3.org/TR/2009/REC-xml-names-20091208/ |
| 43<br>44       | [XACML30]    | eXtensible Access Control Markup Language (XACML) Version 3.0. Latest version. http://docs.oasis-open.org/xacml/3.0/xacml-3.0-core-spec-en.html                  |

| 45<br>46<br>47 | [XML Detetures] | Bray, Tim, et.al. eds, <i>Extensible Markup Language (XML) 1.0 (Fifth Edition)</i> , W3C Recommendation 26 November 2008, available at http://www.w3.org/TR/2008/REC-xml-20081126/ |
|----------------|-----------------|--|
| 48<br>49<br>50 | [XMLDatatypes]  | Biron, Paul et al. Eds, <i>XML Schema Part 2: Datatypes Second Edition</i> , W3C Recommendation 28 October 2004, available at http://www.w3.org/TR/xmlschema-2/                    |
| 51<br>52       | [XPATH]         | James Clark and Steve DeRose, XML Path Language (XPath), Version 1.0, W3C Recommendation 16 November 1999. Available at: http://www.w3.org/TR/xpath                                |
| 53<br>54<br>55 | [IEEE754]       | Institute of Electrical and Electronics Engineers, "Standard for Floating-Point Arithmetic", IEEE Standard 754, August 2008.   |
| 56             | 1.3 Non-Norma   | tive References  |
| 57<br>58       | [XACMLREST]     | REST Profile of XACML v3.0 Version 1.0. Edited by Rémon Sinnema. Latest version: http://docs.oasis-open.org/xacml/xacml-rest/v1.0/xacml-rest-v1.0.html.                            |
| 59<br>60       | [HTTP]          | Hypertext Transfer Protocol. June 1999. IETF RFC 2616. http://tools.ietf.org/html/rfc2616  |
| 50             |                 |  |
| 61<br>62       | [HTTPS]         | HTTP over TLS. May 2000. IETF RFC 2818. http://tools.ietf.org/html/rfc2818   |

# 2 Vocabulary

66 {Non-normative}

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XML introduces the notion of elements. The equivalent notion in JSON is an object. XML introduces the notion of attributes. The equivalent notion in JSON is a member.

# 3 Overview of the translation mechanisms

#### 70 3.1 Assumed default values

- 71 To avoid bloating the JSON request and response, certain parts of a request and response have default
- values which can then be omitted. As an example, the default value for the data-type of an attribute value
- 73 is String (http://www.w3.org/2001/XMLSchema#string).
- 74 The user should refer to the XACML 3.0 specification document [XACML30] for a normative definition of
- 75 the request and response elements.

## **3.2 Objects**

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### 3.2.1 Object names

- Unless otherwise stated, JSON object names MUST match the XACML XML element and/or attribute names exactly, including case.
- The following XML elements and attributes have been renamed:
  - The name of the XACML XML Attributes element has been changed in JSON to the Category object. It makes more sense to call the parent element that way since it represents an instance of a category from a XACML sense.
  - The AttributeValue element in the XML representation no longer exists. The information it bears in XML is moved to the parent Attribute object in the JSON representation. A Value property has been introduced in the JSON Attribute object to bear the information contained in the XML AttributeValue element as specified in Section 4. The XACML request.
  - The AdviceId and the ObligationId attributes of the <Advice/> and the <Obligation/> XML elements respectively have been renamed to Id in JSON.

### 90 3.2.2 Object order

- 91 The order of the objects and values in XACML does not matter. Therefore, the order of objects and
- 92 values in the serialized form (JSON) does not matter.

### 93 3.2.3 Object cardinality

- 94 When in the XACML specification, an object (XML element) can occur more than once (e.g. 0..\* or 1..\*),
- 95 the JSON equivalent MUST use an array of objects.
- The class diagram in Section 4.1. Class Diagram states the cardinality and relationship between objects.

# 97 3.3 Data Types

- 98 This section defines how data-types are represented and handled in the JSON representation. Chapter
- 99 10, section 10.2.7 in the XACML 3.0 specification as well as section A.2 list the data-types that are
- defined in XACML. These are listed in the table below in section 3.3.1. It lists the shorthand value that
- 101 MAY be used when creating a XACML attribute in the JSON representation.

## 102 3.3.1 Supported Data Types

- The full XACML data type URI can also be used in JSON as the JSON shorthand type codes are a
- 104 convenience, not a replacement.
- 105 It is also possible to omit the JSON property DataType for certain XACML data types when it can safely
- be inferred from the value of the attribute as shown in Table 1.

| XACML data type identifier                             | JSON shorthand    | Mapping / Inference  |
|--|-------------------|--|
|  | type code         | Rule   |
| http://www.w3.org/2001/XMLSchema#string                | string            | JSON "String"  |
| http://www.w3.org/2001/XMLSchema#boolean               | boolean           | JSON "Boolean"   |
| http://www.w3.org/2001/XMLSchema#integer               | integer           | JSON "Number" with no fractional portion and within the integer range defined by the XML schema in [XMLDatatypes]. |
| http://www.w3.org/2001/XMLSchema#double                | double            | JSON "Number" with fractional portion or out of integer range as defined in [XMLDatatypes].                        |
| http://www.w3.org/2001/XMLSchema#time                  | time              | None – inference must fail.  |
| http://www.w3.org/2001/XMLSchema#date                  | date              | None – inference must fail.  |
| http://www.w3.org/2001/XMLSchema#dateTime              | dateTime          | None – inference must fail.  |
| http://www.w3.org/2001/XMLSchema#dayTimeDuration       | dayTimeDuration   | None – inference must fail.  |
| http://www.w3.org/2001/XMLSchema#yearMonthDuration     | yearMonthDuration | None – inference must fail.  |
| http://www.w3.org/2001/XMLSchema#anyURI                | anyURI            | None – inference must fail.  |
| http://www.w3.org/2001/XMLSchema#hexBinary             | hexBinary         | None – inference must fail.  |
| http://www.w3.org/2001/XMLSchema#base64Binary          | base64Binary      | None – inference must fail.  |
| urn:oasis:names:tc:xacml:1.0:data-type:rfc822Name      | rfc822Name        | None – inference must fail.  |
| urn:oasis:names:tc:xacml:1.0:data-type:x500Name        | x500Name          | None – inference must fail.  |
| urn:oasis:names:tc:xacml:2.0:data-type:ipAddress       | ipAddress         | None – inference must fail.  |
| urn:oasis:names:tc:xacml:2.0:data-type:dnsName         | dnsName           | None – inference must fail.  |
| urn:oasis:names:tc:xacml:3.0:data-type:xpathExpression | xpathExpression   | None – inference must fail   |

For all of the XACML data types that cannot be inferred from the value, the following MUST be observed:

- The JSON DataType property MUST be specified and the value expressed in the XACML string representation of the value.
- Implementation-specific (e.g. Javascript) code may choose to parse the XACML string values into internal numeric representations for internal use, such as for DateTime or duration (dayTimeDuration, yearMonthDuration) values, but the JSON transport representation must always express the value in the serialized XACML string representation of the XACML data type.

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## 116 3.3.2 Arrays of values

- In the case of an array of values, and if the DataType member is not specified, it may not be possible to
- infer the DataType until all the values have been inspected.
- 119 Inference for an array of values works according to the inference rules as set in Section 3.3.1. If a given
- data type cannot be inferred and there is no DataType member specified then the array of values will be
- 121 considered as an array of string.
- 122 If an array of values contains integers and doubles only (excluding non-numerical values), then the
- inference will make the array an array of double.
- 124 Any other combination of values will make the inference fail and the array will be considered as an array
- 125 of string.

126

### 3.3.3 The xpathExpression Datatype

- 127 Values of the xpathExpression data-type are represented as JSON objects. Each such object
- 128 contains the following properties:
- 129 Table 2 Properties of the xPathExpression Datatype

| Attribute     | Туре                          | Mandatory/Optional | Default value   |
|---------------|-------------------------------|--------------------|---|
| XPathCategory | URI                           | Mandatory          | None. The shorthand notation defined in section 4.2.2.1 can be used as values here. |
| Namespaces    | Array of NamespaceDeclaration | Optional           | None  |
| XPath         | String                        | Mandatory          | None  |

- 130 The XPath property contains the XPath expression [XPATH] from the XACML value. The Namespaces
- property contains namespace declarations for interpreting qualified names [NAMESPACES] in the XPath
- 132 expression.
- 133 A NamespaceDeclaration object contains the following properties:
- 134 Table 3 Properties of the NamespaceDeclaration Datatype

| Attribute | Туре   | Mandatory/Optional | Default value |
|-----------|--------|--------------------|---------------|
| Prefix    | String | Optional           | None          |
| Namespace | URI    | Mandatory          | None          |

- 135 Each NamespaceDeclaration object describes a single XML namespace declaration [NAMESPACES].
- 136 The Prefix property contains the namespace prefix and the Namespace property contains the namespace
- 137 name. In the case of a namespace declaration for the default namespace the Prefix property SHALL be
- 138 absent.
- 139 The Namespaces array MUST contain a NamespaceDeclaration object for each of the namespace
- 140 prefixes used by the XPath expression. The Namespaces array MAY contain additional
- 141 NamespaceDeclaration objects for namespace prefixes that are not used by the XPath expression. There
- 142 SHALL NOT more than one NamespaceDeclaration objects for the same namespace prefix.

#### 143 **3.3.3.1 Example**

- 144 {Non-normative}
- 145 This example shows the XML representation of an XACML attribute with a value of the
- 146 xpathExpression data-type and its corresponding representation in JSON.
- 147 As XML:
- 148 149
- <Attribute xmlns="urn:oasis:names:tc:xacml:3.0:core:schema:wd-17"</pre>

```
150
               AttributeId="urn:oasis:names:tc:xacml:3.0:content-selector">
151
                <AttributeValue xmlns:md="urn:example:med:schemas:record"</pre>
152
               XPathCategory="urn:oasis:names:tc:xacml:3.0:attribute-category:resource"
153
               DataType="urn:oasis:names:tc:xacml:3.0:data-type:xpathExpression"
154
                 >md:record/md:patient/md:patientDoB</AttributeValue>
155
             </Attribute>
            As JSON:
156
157
                      {"Attribute": {
158
                          "AttributeId": "urn:oasis:names:tc:xacml:3.0:content-
159
             selector",
160
                          "DataType": "xpathExpression",
161
                          "Value": {
162
                              "XPathCategory":
163
             "urn:oasis:names:tc:xacml:3.0:attribute-category:resource",
164
                              "Namespaces": [{
165
                                   "Namespace":
             "urn:oasis:names:tc:xacml:3.0:core:schema:wd-17"
166
167
                              },
168
169
                                   "Prefix": "md",
170
                                   "Namespace": "urn:example:med:schemas:record"
171
172
                              "XPath": "md:record/md:patient/md:patientDoB"
173
                          }
174
                      } }
```

### 3.3.4 Special numeric values

176 The following special numeric values are not supported by the profile. Should the request contain such 177

values, the Policy Decision Point MUST reply with an Indeterminate with a status value of

178 urn:oasis:names:tc:xacml:1.0:status:syntax-error as defined in Appendix B, section 8 of 179

#### [XACML30].

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Additional behavior of the PDP when returning urn:oasis:names:tc:xacml:1.0:status:syntax-180 181 error is specified in sections 5.57 and B.8 of [XACML30].

- 182 IEEE 754-2008 NaN ("NaN")
  - IEEE 754-2008 positive infinity ("INF")
- 184 IEEE 754-2008 negative infinity ("-INF")
- 185 IEEE 754-2008 negative zero (-0)

## 3.4 Example

- 187 {Non-normative}
- 188 The example below illustrates possible notations and the behavior of the JSON interpreter:
- 189 Table 4 - Equivalent examples

| Representation explicitly stating the data-type  | Representation omitting the data-type   |
|--|---|
| {"Attribute": {     "AttributeId" : "document-id"     "DataType" : "integer"     "Value" : 123 | {"Attribute": {           "AttributeId": "document-id"           "Value" : 123 }} |
| }}   |   |

# 4 The XACML request

# 4.1 Class Diagram

- The following class diagram represents the XACML request structure for the JSON representation. It is not a representation of the XACML request as expressed in XML.
- 195 The key differences are:

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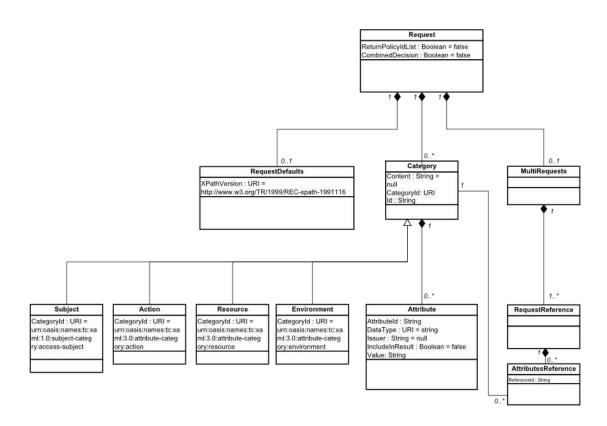
201

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- The AttributeValue element in the XML representation no longer exists. The information it bears in XML is moved to the parent Attribute object in the JSON representation.
- There are 4 new objects for attributes belonging to the most commonly used categories.



# 4.2 Representation of the XACML request in JSON

# 4.2.1 The Request object representation

- 203 The JSON object name for the request MUST be Request.
- 204 The Request object contains the following properties:
  - ReturnPolicyIdList of type Boolean
  - CombinedDecision of type Boolean
- XPathVersion of type String

#### 210 Table 5 - Properties of the Request object

| Attribute          | Туре   | Default value   |
|--------------------|--|---|
| ReturnPolicyIdList | PolicyIdList Boolean False. ReturnPolicyId. JSON representation. |   |
| CombinedDecision   | Boolean  | False. ReturnPolicyIdList can be omitted in the JSON representation.  |
| XPathVersion       | String   | There is no default value. The attribute is optional. It is REQUIRED if the XACML request contains XPath expressions. |

211212

In addition to these properties, the Request element also contains the following objects:

- 213214215
- Category: this is represented as a JSON array of Category objects; the Category object corresponds to the XML Attributes element. Just like the Attributes element is specific to a given attribute category, the Category object in JSON is specific to a given category.

216 217

- MultiRequests: this is an optional object and can be omitted. It serves to support the Multiple Decision Profile [XACMLMDP].
- The representation of these objects is elicited in the following relevant sections.

Note that, in the XACML XML schema, the XML Request element contains a RequestDefaults
 element. To simplify things and since the RequestDefaults element contained a single element
 XPathVersion with a single value, the RequestDefaults element was flattened into a single JSON

222 property called XPathVersion as mentioned in the above table.

223

### 4.2.1.1 Example

```
224 {Non-normative}
225 {"Request": {
```

229

230

# 4.2.2 The Category object representation

231 The JSON Category object contains the following properties:

#### 232 Table 6 - Properties of the Category object

| Attribute  | Туре   | Mandatory/Optional | Default value  |
|------------|--------|--------------------|--|
| Categoryld | anyURI | Mandatory          | None – the identifier used in the XML representation MUST be used in its JSON representation except where shorthand notations have been defined – see section 4.2.2.1.                     |
| Id         | String | Optional           | The Id property is optional in the JSON representation. No default value is assumed for the Id in JSON. If there is a value specified in the XML representation, it must also be specified |

|         |        |          | in the JSON representation.   |
|---------|--------|----------|---|
| Content | String | Optional | None. The value of the Content property must be escaped or encoded as explained in section 4.2.3. |

233 234

In addition to these properties, the Category object also contains:

235 236 Attribute: this is an array of Attribute objects as defined in section 4.2.4, The Attribute Object representation.

237

The Category object is the equivalent of the <attributes/> element in the XACML XML representation.

238 239

The structure and default values for the aforementioned are elicited in the following relevant sections.

# 240

## 4.2.2.1 Shorthand notation for standard XACML categories

241

- The following table defines a shorthand notation for the standard categories defined in [XACML30].
- 242 Table 7 - Shorthand notation for standard XACML categories

| Identifier   | Short name          |
|--|---------------------|
| urn:oasis:names:tc:xacml:3.0:attribute-category:resource           | Resource            |
| urn:oasis:names:tc:xacml:3.0:attribute-category:action             | Action              |
| urn:oasis:names:tc:xacml:3.0:attribute-category:environment        | Environment         |
| urn:oasis:names:tc:xacml:1.0:subject-category:access-subject       | AccessSubject       |
| urn:oasis:names:tc:xacml:1.0:subject-category:recipient-subject    | RecipientSubject    |
| urn:oasis:names:tc:xacml:1.0:subject-category:intermediary-subject | IntermediarySubject |
| urn:oasis:names:tc:xacml:1.0:subject-category:codebase             | Codebase            |
| urn:oasis:names:tc:xacml:1.0:subject-category:requesting-machine   | RequestingMachine   |

243

The shorthand notation MAY be used as described in sections 4.2.2.2 and 4.2.2.

#### 244

### 4.2.2.2 Default Category objects

245 246 To simplify the JSON representation, this profile also defines optional default objects that are semantically equivalent to the Category object. These default objects assume a default value for the Category Id property so that it need not be explicitly written. The object names correspond to the short names as defined in section 4.2.2.1.

247 248 249

Note that JSON does not allow for the duplication of objects that bear the same name, e.g.

250

"AccessSubject" and "AccessSubject". Consequently, the optional default objects (based on section 4.2.2.1) can also be an array instead of single-valued in order to cater for multiple decision requests as

252 defined in [XACMLMDP].

251

253 254

#### **4.2.2.3 Example**

{Non-normative}

```
255
256
257
```

258

```
"Request": {
    "Category": [{
        "CategoryId": "custom-category",
        "Attribute": [...]
```

```
260
                },
261
262
                     "CategoryId": "another-custom-cat",
263
                     "Attribute": [...]
264
265
                }],
266
               "AccessSubject":{
267
                     "Attribute": [...]
268
               },
               "Action":[{
269
270
                     "Attribute": [...]
271
               },
272
273
                     "Attribute": [...]
274
               } ]
275
           }
276
      }
```

### 4.2.3 The Content Object representation

- 278 There are two possible ways to represent the XML content of a XACML request in the JSON
- 279 representation: XML escaping or Base64 encoding. The request parser must determine whether XML
- 280 escaping or Base 64 encoding is used. There are no attributes or parameters in the JSON request to
- 281 indicate which is used.

277

- In both cases, any XML content sent in a JSON request MUST include all Namespace definitions needed
- 283 to parse that Content.

#### 284 **4.2.3.1 XML Escaping**

- 285 The JSON Content object data-type is a string which MUST be null or contain an XML payload per the
- 286 XACML specification.
- 287 XML Content must be escaped before being inserted into the JSON request. JSON dictates double
- 288 quotes (") be escaped using a backslash (\). This profile therefore follows this behavior.
- 289 In addition, since the XML content could itself contain backslashes and possibly the sequence \", it is
- 290 important to also escape backslashes.

#### 291 **4.2.3.2 Base64 Encoding**

- 292 In the case of Base64 encoding, the XML content shall be converted to its Base64 representation as per
- 293 **[BASE64]**.

#### 294 **4.2.3.3 Example**

- 295 {Non-normative}
- The following is an example using XML escaping as defined in 4.2.3.1.
- 297 {"Request":
  298 {"AccessSubject": {
  299 "Content": "<?xml version=\"1.0\"?><catalog><book
  300 id=\"bk101\"><author>Gambardella, Matthew</author><title>XML Developer's
  301 Guide</title><genre>Computer</genre><price>44.95</price><publish\_date>200010-01</publish\_date><description>An in-depth look at creating applications
  303 with XML.</description>

```
304
      } } }
      The following is an example using Base64 encoding as defined in 4.2.3.2.
305
306
      {"Request":
307
      {
308
            "AccessSubject": {
309
                   "Content":
310
      "PD94bWwgdmVyc2lvbj0iMS4wIj8+DQo8Y2F0YWxvZz48Ym9vayBpZD0iYmsxMDEiPjxhdXRob3I+
311
      R2FtYmFyZGVsbGEsIE1hdHRoZXc8L2F1dGhvcj48dGl0bGU+WE1MIERldmVsb3BlcidzIEd1aWRlP
312
      C90aXRsZT48Z2VucmU+Q29tcHV0ZXI8L2dlbnJlPjxwcmljZT40NC45NTwvcHJpY2U+PHB1Ymxpc2
313
      hfZGF0ZT4yMDAwLTEwLTAxPC9wdWJsaXNoX2RhdGU+PGR1c2NyaXB0aW9uPkFuIGluLWR1cHRoIGx
314
      vb2sqYXQqY3J1YXRpbmcqYXBwbG1jYXRpb25zIHdpdGqqWE1MLjwvZGVzY3JpcHRpb24+PC9ib29r
315
      PjwvY2F0YWxvZz4="
316
            }
317
      } }
318
```

### 4.2.4 The Attribute Object representation

- The JSON Attribute object contains an array of Attribute objects. The Attribute object contains the following properties:
- 322 Table 8 Properties of the Attribute Object

| Property name   | Туре   | Mandatory/O<br>ptional | Default value   |
|-----------------|--|------------------------|---|
| AttributeId     | URI  | Mandatory              | None – the identifier used in the XML representation of a XACML attribute shall be used in its JSON representation  |
| Value           | Either of String, Boolean, Number (which maps to either a XACML integer or double as defined in Supported Data Types), Object, Array of String, Array of Boolean, Array of Number, Array of Object, or a mixed Array of String and Number where the String values represent a numerical value. | Mandatory              | None – the value must be specified.   |
| Issuer          | String   | Optional               | Null  |
| DataType        | URI  | Optional               | The DataType value can be omitted in the JSON representation. Its default value will be http://www.w3.org/2001/XMLSchem a#string unless it can be safely assumed according to the rules set in 3.3.1 Supported Data Types.  In the case of an array of values, inference works as described in section 3.3.2. |
| IncludeInResult | Boolean  | Optional               | False.  |

#### 4.2.4.1 Example

```
324 {Non-normative}
```

323

329

334 335

336

# 4.2.5 The MultiRequests object representation

- The MultiRequests object is optional in the JSON representation of XACML. Its purpose is to support the Multiple Decision Profile [XACMLMDP].
- The MultiRequests object contains an array of RequestReference objects. There must be at least one RequestReference object inside the MultiRequests object.

## 4.2.6 The RequestReference object representation

The RequestReference object contains a single property called ReferenceId which is an array of string. Each ReferenceId value must be the value of a Category object Id property.

# 4.2.6.1 Non-normative example

```
338
      "MultiRequests": {
339
340
                   "RequestReference": [{
341
                       "ReferenceId": ["foo1", "bar1"]
342
                   },
343
344
                       "ReferenceId": ["foo2","bar1"]
345
                   },
346
347
                       "ReferenceId": ["foo3","bar1"]
348
                   } ]
349
            }
350
      }
```

# 5 The XACML response

# 5.1 Class Diagram

351

352

353

354

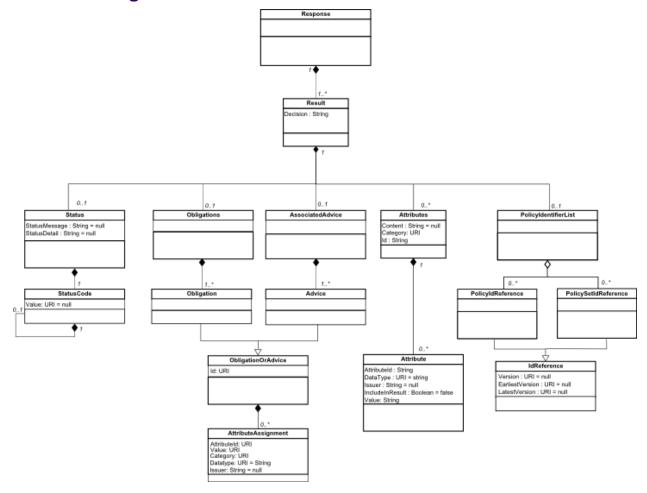
355 356

357

358

359

360



# 5.2 Representation of the XACML response in JSON

# 5.2.1 The Response object representation

The Response property MAY contain an array of Result objects. The array MUST contain at least one Result object and is unbounded. The Result object representation is detailed hereafter.

The JSON representation effectively eliminates the nesting of Response and Result as introduced in XACML's XML schema. The notion of an array of values is used to convey the nesting.

# 5.2.2 The Result object representation

The Result object in JSON will contain the following properties:

#### 362 Table 9 - Properties of the Result object

| Property name | Туре   | Mandatory/Optional | Default value                                     |
|---------------|--------|--------------------|---|
| Decision      | String | Mandatory          | None – in addition there are only 4 valid values: |

| "Permit", "Deny", "NotApplicable", and "Indeterminate". The values are case-sensitive. | "Permit", "Deny", "NotApplicable", and "Indeterminate". The values are case-sensitive. |
|--|--|
|--|--|

- 363 Additionally, the Result object also contains the following objects:
- Status: this object is optional.
- Obligations: this object is optional.
- AssociatedAdvice: this object is optional.
- Category: this object is optional. It can be single-valued or an array of Category objects.
- PolicyldentifierList: this object is optional.

## 5.2.3 The Status object representation

- 370 The Status object should contain the following properties:
- 371 Table 10 Properties of the Status object

369

377

| Property name | Туре   | Mandatory/Optional | Default value |
|---------------|--------|--------------------|---------------|
| StatusMessage | String | Optional           | None.         |
| StatusDetail  | String | Optional           | None.         |

- In addition to the above properties, the Status object in JSON also contains a StatusCode object
- 373 detailed hereafter. The StatusCode object is optional.
- 374 StatusDetail MAY contain arbitrary XML in which case the XML content must be escaped using the
- same technique as specified in section 4.2.3, The Content Object representation.
- 376 StatusDetail MAY contain an array of MissingAttributeDetail object.

## 5.2.4 The MissingAttributeDetail object

- 378 The MissingAttributeDetail object in JSON contains the following properties:
- 379 Table 11 Properties of the MissingAttributeDetail object

| Property name | Туре   | Mandatory /<br>Optional | Default value   |
|---------------|--|-------------------------|---|
| AttributeId   | URI  | Mandatory               | None – the identifier used in the XML representation of a XACML attribute shall be used in its JSON representation  |
| Value         | Either of String, Boolean, Number (which maps to either a XACML integer or double as defined in Supported Data Types), Object, Array of String, Array of Boolean, Array of Number, Array of Object, or a mixed Array of String and Number where the String values represent a numerical value. | Optional                | None – the value must be specified.   |
| Issuer        | String   | Optional                | Null  |
| DataType      | URI  | Optional                | The DataType value can be omitted in the JSON representation. Its default value will be http://www.w3.org/2001/XMLSchem a#string unless it can be safely assumed according to the rules set in section 3.3.1 Supported Data Types. In the case of an array of values, |
|               |  |                         | inference works as described in section 3.4.2.  |
| Category      | URI  | Mandatory               | Note that the shorthand notation for default XACML 3.0 categories may be used. See section 4.2.2.1.   |

380

381

## 5.2.5 The StatusCode object representation

382 The StatusCode object in JSON contains the following properties:

383 Table 12 - Properties of the StatusCode object

| Property name | Туре | Mandatory/Optional | Default value                           |
|---------------|------|--------------------|---|
| Value         | URI  | Optional           | urn:oasis:names:tc:xacml:1.0:status:ok. |

In addition, the StatusCode object may contain a StatusCode object – hence potentially creating a recursive nesting of StatusCode objects.

#### 386 **5.2.5.1 Example**

387 {Non-normative}

```
388
      {
389
           "Response": [{
               "Decision": "Permit"
390
391
               "Status":{
392
                   "StatusCode":{
393
                      "Value": "http://example.com"
394
395
396
             } ]
397
```

## 5.2.6 The Obligations object representation

The Obligations property in the JSON representation is simply an array of ObligationOrAdvice objects. The ObligationOrAdvice object is detailed hereafter.

### 401 5.2.7 The Associated Advice object representation

The AssociatedAdvice property in the JSON representation is simply an array of ObligationOrAdvice objects. The Advice object is detailed hereafter.

### 5.2.8 The ObligationOrAdvice object representation

405 The ObligationOrAdvice object contains the following properties:

406 Table 13 - Properties of the ObligationOrAdvice object

398

404

407

408

409 410

| Property name | Туре | Mandatory/Optional | Default value |
|---------------|------|--------------------|---------------|
| Id            | URI  | Mandatory          | None.         |

Note that the ObligationOrAdvice object maps to either an Advice or an Obligation element in the XACML XML representation. While in the XML representation, each element has an attribute called AdviceId and ObligationId respectively, in the JSON representation, the naming has been harmonized to Id.

411 The ObligationOrAdvice object contains an unbounded array of AttributeAssignment objects.

# **5.2.9 The AttributeAssignment object representation**

413 The AttributeAssignment object contains the following properties:

#### 414 Table 14 - Properties of the AttributeAssignment object

| Property name | Туре     | Mandatory/Optional | Default value   |
|---------------|----------|--------------------|---|
| AttributeId   | URI      | Mandatory          | None.   |
| Value         | Variable | Mandatory          | None  |
| Category      | URI      | Optional           | None. The shorthand notation defined in Shorthand notation for standard XACML categories may be used. |
| DataType      | URI      | Optional           | The default value depends on the inference rules defined in Supported Data Types.                     |
| Issuer        | String   | Optional           | None  |

## **5.2.10 The Attributes object representation**

- The JSON representation of the Attributes object in a XACML response is identical to the
- 418 representation defined in section 4.2.2 The Category object representation.

## **5.2.11 The Policyldentifier object representation**

- 420 The PolicyIdentifier object contains 2 properties:
- 421 Table 15 Properties of the Policyldentifier object

| Property name        | Туре                 | Mandatory/Optional | Default value |
|----------------------|----------------------|--------------------|---------------|
| PolicyIdReference    | Array of IdReference | Optional           | None.         |
| PolicySetIdReference | Array of IdReference | Optional           | None          |

422

423

# 5.2.12 The IdReference object representation

- The IdReference object representation contains the following properties:
- 425 Table 16 Properties of the IdReference object

| Property name | Туре   | Mandatory/Optional | Default value   |
|---------------|--------|--------------------|---|
| Id            | URI    | Mandatory          | Represents the value stored inside the XACML XML PolicyIdReference or PolicySetIdReference. |
| Version       | String | Optional           | None.   |

# 427 6 Transport

- 428 The XACML request represented in its JSON format MAY be carried from a PEP to a PDP via an HTTP
- 429 **[HTTP]** request as defined in the REST profile of XACML [XACMLREST].
- 430 HTTP Headers which may be used are:
  - Content-Type: application/xacml+json
- 432 Accept: application/xacml+json

# 6.1 Transport Security

434 {Non-normative}

431

- The use of SSL/TLS [HTTPS] is RECOMMENDED to protect requests and responses as they are
- 436 transferred across the network.

# **7 IANA Registration**

- The following section defines the information required by IANA when applying for a new media type.
- **7.1 Media Type Name**
- 440 application
- **7.2 Subtype Name**
- 442 xacml+json
- **7.3 Required Parameters**
- 444 None.
- **7.4 Optional Parameters**
- version: The version parameter indicates the version of the XACML specification. Its range is the range of
- published XACML versions. As of this writing that is: 1.0, 1.1, 2.0, and 3.0. These and future version
- identifiers are of the form x.y, where x and y are decimal numbers with no leading zeros, with x being
- 449 positive and y being non-negative.
- **7.5 Encoding Considerations**
- 451 Same as for application/xml [RFC4627].
- **7.6 Security Considerations**
- 453 Per their specification, application/xacml+json typed objects do not contain executable content.
- 454 XACML requests and responses contain information which integrity and authenticity are important.
- 455 To counter potential issues, the publisher may use the transport layer's security mechanisms to secure
- 456 xacml+ison typed objects when they are in transit. For instance HTTPS, offer means to ensure the
- 457 confidentiality, authenticity of the publishing party and the protection of the request/response in transit.
- **7.7 Interoperability Considerations**
- 459 XACML 3.0 uses the urn:oasis:names:tc:xacml:3.0:core:schema:wd-17 XML namespace
- 460 URI. XACML 2.0 uses the urn:oasis:names:tc:xacml:2.0:policy XML namespace URI.
- **7.8 Applications which use this media type**
- 462 Potentially any application implementing XACML, as well as those applications implementing
- 463 specifications based on XACML or those applications requesting an authorization decision from a XACML
- 464 implementation.
- 465 7.9 Magic number(s)
- 466 Per [RFC4627], this section is not applicable.
- **7.10 File extension(s)**
- 468 Per [RFC4627], .json.

- 7.11 Macintosh File Type Code(s)
- 470 Text
- **7.12 Intended Usage**
- 472 Common

# 8 Examples

474 {Non-normative}

473

475 476

477

# 8.1 Request Example

#### {Non-normative}

The following is a sample XACML request expressed in JSON.

```
478
479
              "Request": {
480
                     "AccessSubject": {
481
                            "Attribute": [
482
483
                                          "AttributeId": "subject-id",
484
                                          "Value": "Andreas"
485
                                   },
486
                                   {
487
                                          " AttributeId": "location",
488
                                          "Value": "Gamla Stan"
489
                                   }
490
491
                     },
492
                     "Action": {
493
                            "Attribute":
494
495
                                          "AttributeId": "action-id",
496
                                          "Value": "http://example.com/buy",
497
                                          "DataType": "anyURI"
498
499
                     },
500
                     "Resource": {
501
                            "Attribute": [
502
                                   {
503
                                          "AttributeId": "book-title",
504
                                          "Value": "Learn German in 90 days"
505
                                   },
506
                                   {
507
                                          "AttributeId": "currency",
508
                                          "Value": "SEK"
509
                                   },
510
511
                                          "AttributeId": "price",
512
                                          "Value": 123.34
513
                                   }
514
                            ]
```

```
515
                  }
516
             }
517
      8.2 Response Example
518
519
      {Non-normative}
      The following is a sample XACML response expressed in JSON.
520
521
522
             "Response": [{
523
                          "Decision": "Permit"
524
                   }
525
526
      }
```

# 9 Conformance

527

An implementation may conform to this profile if and only if both the XACML request and the response are correctly encoded into JSON as previously described in sections 3 through 5 and follows the transport requirements as specified in section 6.

# **Appendix A. Acknowledgments**

The following individuals have participated in the creation of this specification and are gratefully acknowledged:

#### 534 Participants:

531

535 Steven Legg, ViewDS 536 Rich Levinson, Oracle 537 Hal Lockhart, Oracle 538 Bill Parducci,

539 Erik Rissanen, Axiomatics 540 Anil Saldhana, Red Hat 541 Remon Sinnema, EMC 542 Danny Thorpe, Dell 543 Paul Tyson, Bell Helicopters

# **Appendix B. Revision History**

| Revision | Date        | Editor         | Changes Made   |
|----------|-------------|----------------|--|
| WD 01    | 2 Jul 2012  | David Brossard | Initial working draft  |
| WD 02    | 9 Jul 2012  | David Brossard | Integrated comments from XACML list. Enhanced the section on data-types. Added a class diagram for clarity. Changed tense to present. Removed overly explicit comparisons with XML representation.         |
| WD 03    | 19 Jul 2012 | David Brossard | Started work on the XACML response   |
| WD 04    | 20 Aug 2012 | David Brossard | Finalized work on the XACML response, added a note on HTTPS. Restructured the document to extract paragraphs common to the Request and Response section.   |
| WD 05    | 20 Sep 2012 | David Brossard | Took in comments from the XACML TC list (technical comments and typographical corrections)   |
| WD 06    | 29 Oct 2012 | David Brossard | Removed the Non-normative section in the appendix. Completed the conformance section. Added non-normative tags where needed. Also added a sample response example. Added the section on IANA registration. |
| WD07     | 15 Nov 2012 | David Brossard | Removed the XPathExpression from the supported DataTypes. Fixed the examples as per Steven Legg's email. Fixed the XML encoding of XML content as per conversations on the XACML TC list.                  |
| WD08     | 27 Nov 2012 | David Brossard | Fixed the Base64 encoding section as per Erik Rissanen's comments  |
| WD09     | 24 Dec 2012 | David Brossard | Addressed comments and fixed errors as per emails sent on the XACML TC list in December.   |
| WD10     | 4 Feb 2013  | David Brossard | Fixed the IANA registration section.   |
|          |             |                | Fixed inconsistent DataType spelling. DataType is always the XACML attribute and JSON property name. Data type refers to the English notion.   |
|          |             |                | Fixed the status XML content encoding to be consistent with the Request XML encoding technique.  |
|          |             |                | Fixed a non-normative section label.   |
|          |             |                | Fixed the formatting of JSON property names.   |
|          |             |                | Fixed the XACML to JSON data type inference by adding references to the relevant XML data types.   |

| WD11 | 5 Feb 2013       | David Brossard | Fixed the AttributeAssignment section   |
|------|------------------|----------------|---|
| WD12 | 10 May 2013      | David Brossard | Reinserted a section on the xpathExpression data type.  |
|      |                  |                | Fixed the PolicyldReference section (missing value).  |
|      |                  |                | Fixed the Response example.   |
|      |                  |                | Simplified the XPathVersion / RequestDefaults   |
|      |                  |                | Renamed Attributes → Category   |
|      |                  |                | Removed unnecessary nesting in Response → Result  |
|      |                  |                | Renamed Attributes to Category  |
| WD13 | 14 June 2013     | David Brossard | Fixed the final issue re. Category vs. Attributes.  |
| WD14 | 12 July 2013     | David Brossard | Cleaned up the documents and comments.  |
| WD15 | 02<br>September  | David Brossard | Fixed document based on feedback from Steven Legg:  |
|      | 2013             |                | <ul> <li>The naming of Attributes vs. Category in section 5.2.2</li> </ul>  |
|      |                  |                | <ul> <li>Fixed the name of ObligationOrAdvice<br/>in section 5.2.6</li> </ul>   |
|      |                  |                | Also fixed subjective line in introduction based on email xacml-comment from David Webber.  |
| WD16 | 17 March<br>2014 | David Brossard | <ul> <li>Fixed issues with special numerical<br/>values: based on input from the<br/>XACML TC, special values (NaN, Inf, -<br/>0) are now excluded</li> </ul> |
|      |                  |                | <ul> <li>Rewrote section 3.4.2 and added<br/>reference to 3.4.1</li> </ul>  |
|      |                  |                | <ul> <li>Added a section defining the shorthand<br/>notation for standard XACML<br/>categories</li> </ul>   |
|      |                  |                | <ul> <li>Added normative reference to XACML<br/>3.0 standard</li> </ul>   |
|      |                  |                | <ul> <li>Added optional category objects for all<br/>default categories in XACML 3.0<br/>instead of the 4 most common ones<br/>only.</li> </ul>               |
|      |                  |                | <ul> <li>Updated example in 4.2.4.1</li> </ul>  |
|      |                  |                | <ul> <li>Fixed the Transport section to<br/>reference the REST profile.</li> </ul>  |
|      |                  |                | Fixed broken samples  |
|      |                  |                | Added references to IEEE 754-2008     rather than Javascript for the special numerical values   |
|      |                  |                | <ul> <li>Fixed the Content section to include<br/>the namespaces requirement</li> </ul>   |
|      |                  |                | Fixed the default value for   |

|      |               |                | <ul><li>XPathVersion to be in accordance with [XACML30].</li><li>Added the MissingAttributeValue object</li></ul>                           |
|------|---------------|----------------|---|
| WD17 | 14 April 2014 | David Brossard | definition.  • Updated the profile title per  |
|      |               |                | conversation on the XACML TC list   |
|      |               |                | Updated section 3.2.1 on object names in JSON   |
|      |               |                | Fixed broken reference to 3.3.1 in 3.3.2  |
|      |               |                | <ul> <li>Updated the inference rule for double<br/>and integers to remove any doubt as to<br/>the potential datatypes</li> </ul>            |
|      |               |                | <ul> <li>Fixed wording in section 4.2.1 (much<br/>like vs. just like)</li> </ul>  |
|      |               |                | <ul> <li>Simplified the wording of section<br/>4.2.2.2</li> </ul>   |
|      |               |                | Updated the example in section 4.2.2.3  |
|      |               |                | <ul> <li>Changed the shorthand name subject<br/>to access-subject to be consistent</li> </ul>   |
|      |               |                | <ul> <li>Added the Indeterminate behavior for<br/>invalid numerical values</li> </ul>   |
|      |               |                | <ul> <li>Fixed the base 64 encoding example in<br/>section 4.2.3.3.</li> </ul>  |
|      |               |                | <ul> <li>Fixed the examples (wrong attribute<br/>names, missing parents, missing curly<br/>braces)</li> </ul>                               |
|      |               |                | <ul> <li>Changed the MS Word quotes into<br/>proper quotes</li> </ul>   |
| WD18 | 22 April 2014 | David Brossard | Changed the shorthand names to use<br>Title Case instead. resource becomes<br>Resource, access-subject becomes<br>AccessSubject, and so on. |
|      |               |                | <ul> <li>Updated the XPathCategory so that<br/>one can use the category shorthand<br/>notation as a valid value instead.</li> </ul>         |
| WD19 | 23 October    | David Brossard | Introduced formatting changes based<br>on feedback received on xacml-<br>comment  |
|      |               |                | Fixed section 6 content-type and accept   |
|      |               |                | Fixed the wording on StatusCode   |
|      |               |                | Added captions to tables  |