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When Checkpoints Fail Construction Review

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tion reaction that prefers sister chromatids over homologs, and in mammalian cells primarily by nonhomolo- **Consequences of Checkpoint Failure** gous end joining (reviewed in Friedberg et al., 1995) or Cell cycle arrest mediated by a checkpoint may fail for by de novo addition of new telomeres (Wilkie et al., a variety of reasons. First, like all cellular processes,

Amanda G. Paulovich,[†] David P. Toczyski,* Sources of damage can be extrinsic, as well as intrin**and Leland H. Hartwell***[†] sic, to the cell. Extrinsic sources include irradiation and *Division of Molecular Medicine chemical mutagens. Intrinsic damage is generated by Fred Hutchinson Cancer Research Center the cell itself, either as a result of DNA metabolism or 1100 Fairview Ave. N, C3-167 **and S** as a result of spontaneous chemical reactivity of DNA. Seattle, Washington 98109 Furthermore, different types of damage can be incurred †Department of Genetics at different stages of the cell cycle. For example, most University of Washington cells rest in G1 and must accumulate most of their oxida-Seattle, Washington 98195 tive damage to DNA during this stage, S phase cells risk incomplete replication and nucleotide misincorporation, and cells undergoing mitosis risk chromosome break-

1990). checkpoints must have an intrinsic error rate. Second,

Table 1. S. cerevisiae and human genes in which loss of function mutations have eliminated or attenuated DNA damage or spindle integrity checkpoints.

Arrest points: G1/S and G2/M refer to the DNA damage checkpoint that arrests cells in G1/S and G2/M; the S phase arrest refers to the checkpoint that slows the rate of S phase progression in the presence of DNA damage, whereas the S/M arrest refers to the checkpoint that arrests cells before or during S-phase in response to inhibitors of nucleotide biosynthesis such as hydroxyurea (in yeast) or PALA (in mammalian cells); M refers to the mitotic arrest that monitors spindle integrity. "+" indicates that a given gene is required for an activity, "-" indicates that it is not, and " \pm " indicates a partial effect. A "+" under DNA repair indicates either that a mutation in a gene affects lesion processing or that the purified protein has been shown to possess an activity that modifies DNA. In the ninth column, a "+" indicates kinase homology and a "++" indicates that kinase activity has been shown directly. A "+" under apoptosis means that the gene product is required for apoptosis under at least some conditions.

¹Siede et al., 1993; ²Longhese et al., 1996; ^sPaulovich et al., 1997; ⁴Weinert and Hartwell, 1988; ^sLi and Murray, 1991; ^sWeinert and Hartwell, 1993; 'Kiser and Weinert, 1996; ^aLydall and Weinert, 1995; ⁹Siede et al., 1994; '⁰Weinert et al., 1994; ''Paulovich and Hartwell, 1995; '²Kato and Ogawa, 1994; ¹³Allen et al., 1994; ¹⁴Zheng et al., 1993; ¹⁵Navas et al., 1995; ¹⁶Araki et al., 1995; ¹⁷Sugimoto et al., 1996; ¹⁸Yamamoto et al., 1996; ¹⁹Weiss and Winey, 1996; ²⁰Lauzé et al., 1995; ²¹Hoyt et al., 1991; ²²Roberts et al., 1994; ²³Kastan et al., 1992; ²⁴Painter and Young, 1980; 25 Zampetti-Bosseler and Scott, 1981; ²⁶Savitsky et al., 1995; ²⁷Meyn et al., 1994; ²⁸Wyllie et al., 1996; ²⁹Aloni-Grinstein et al., 1995; ³⁰Stewart et al., 1995; ³¹Cross et al., 1995; ³²Livingstone et al., 1992; ³³Yin et al., 1992; ³⁴Mummenbrauer et al., 1996; ³⁵Lowe et al., 1993; ³⁶Clarke et al., 1993; 37Deng et al., 1995; and 38Siede et al., 1996.

like many signal transduction systems, they exhibit ad- damage and the stage of the cell cycle in which it has aptation. That is, even though damage remains unre- occurred, but also what happens as the cell progresses paired, after an interval of arrest the cell may resume to the next stage of the cell cycle. If damage fails to be progress through the cell cycle (Sandall and Zakian, repaired within the stage of its origin, the nature of the an advantage when selection favors multiple genetic stage, resulting in the formation of secondary lesions. changes. Cancer cells are usually missing some check- For example, if a G1 cell that has single-stranded breaks points, probably because this loss permits a greater rate in its DNA progresses through S phase, the single strand of genomic evolution (reviewed in Hartwell and Kastan, lesions will be converted to secondary lesions, i.e., dou-1994). The same selective pressure might occur during ble strand breaks. Moreover, some options for repair the evolution of organisms when rapid change is advan- may be lost if the cell cycle progresses to the next stage tageous. The conditions under which checkpoints fail prior to repair. Segregation of broken chromosomes can be exploited to ask what are the consequences of may lead to loss of the acentric fragment, precluding checkpoint failure. Such studies will provide light into the possibility of end-to-end joining. We will consider ation of the consequences of checkpoint failure will ulti- of secondary lesionsand lossof repair options, following rates, adaptation characteristics, and selective pres- G2/M transitions. sures. The consequences of checkpoint failure for the DNA damage checkpoint can depend both on the type **Checkpoint Control of the G1/S Transition** of damage and on the stage of the cell cycle. **and of S Phase Progression Rate**

point failure, we need to consider not only the type of for replication, eukaryotic cells have mechanisms to

1993). Third, cells with defective checkpoints may be at damage can be changed as the cell passes to the next what checkpoints are good for. Hopefully, a consider-
both of these types of consequences, namely formation mately help reveal why they have their particular error loss of checkpoint control within S, or at the G1/S or

Therefore, to appreciate the consequences of check- Although cells do not require an undamaged template

avoid replicating damaged DNA (reviewed in Naegeli, of which contains a mutation. Hence, replication of a 1994). For example, DNA repair proteins remove or re- mismatch results in the fixation of a mutation in one of verse DNA lesions to restore the integrity of the tem- the daughter duplexes, since the option for mismatch plate. Given enough time for repair, the cell might avoid repair is lost. replicating damaged DNA altogether. To increase the *Why Does Premature Entry into S Phase* time available for repair prior to replication, the DNA *Result in Genetic Instability?* damage checkpoint arrests cells with a G1 DNA content In the previous examples, the origins of damage, the in response to some types of DNA damage. During this mechanism of its repair, and the consequences of pro-G1/S delay, cells are able to repair much of the damage, gression past a cell cycle arrest point are at least clear thereby restoring the template before replication. Cells in outline. However, the nature of some forms of DNA also utilize the DNA damage checkpoint within S phase. damage, such as that associated with unregulated pro-Replicating bacterial (Cairnes and Davern, 1966), yeast gression into S phase, is unknown. Even in the absence (Siede et al., 1994; Paulovich and Hartwell, 1995; Paulo- of extrinsic damage, unregulated entry into S phase can vich et al., 1997),or mammalian cells (Painter and Young, result in genomic instability and/or cell death. Progres-1980; Larner et al., 1994) decrease the rate of ongoing sion through the G1 phase can be accelerated in either DNA synthesis in response to DNA damage; this inhibi- yeast or mammalian cells by the overproduction of G1 tion may reflect control at the level of origin initiation cyclins (Nash et al., 1988; Ohtsubo and Roberts, 1993; and/or at the level of fork progression (Painter and Quelle et al., 1993; Resnitzky et al., 1994; Vallen and Young, 1980; Larner et al., 1994). The value of the G1/S Cross, 1995). Such cells enter S phase prematurely and and S phase arrest in response to DNA damage may be exhibit genetic instability and an enhanced dependence best understood by considering the consequences of on checkpoint functions for survival (Vallen and Cross, unrestrained replication inthe presence of DNA damage. 1995; Zhou et al., 1996). Since it is likely that failure of control over the entry into One possibility for why inappropriate entry into S S from G1 and failure of control over replication within phase results in genetic instability is that cells may com-S phase have the same consequence for lesion pro- monly have DNA damage that cannot be repaired during cessing (the lesion is replicated rather than repaired), S phase and so must be repaired prior to entry into we consider loss of these two controls together. S phase. This could be due to cell cycle-associated

If the DNA damage checkpoint fails, DNA repair will be replication forks encounter lesions faster than DNA recompromised and cells will experience consequences pair systems can clear them. Another possibility is that of replicating the damaged template. These conse- inappropriate entry into S phase may actually cause quences will be determined at least in part by the type DNA damage. For example, cells might fail to activate of damage being replicated. First, when a replication enough replication origins to permit completion of replifork encounters a covalently modified base (e.g. thymine cation before they enter mitosis, or shortening the G1 dimer) in the template strand, the fork may stop. In a phase may result in commencement of S phase with mechanism that is not well understood, replication re- abnormal nucleotide pools. Ribonucleotide reductase sumes downstream of the damage, resulting in the for- (RNR) facilitates the conversion of ribonucleoside dimation of a secondary lesion, a daughter strand gap phosphates to deoxynucleoside triphosphates, which that encompasses the damage. Second, replication of are precursors to DNA replication. RNR activity is cell single strand nicks results in replication fork breakage cycle regulated, and *RNR* gene message levels are inand the formation of double strand breaks (reviewed in duced in late G1 (reviewed in Elledge et al., 1992). Pre-Kuzminov, 1995b). Replication of gapped DNA would a mature entry into S phase could result in inadequate
also result in the formation of a double-stranded break a RNR activity (Yarbro, 1992; Weinert et al., 1994), which and concomitant breakage of the replication fork; in could cause depletion of nucleotide pools and stalling addition, the broken sister chromatid would suffer of replication forks (Petes and Newlon, 1974), both of a deletion. Unlike one-strand lesions, double strand which have been shown to lead to genetic instability; in breaks confer high risk for loss of heterozygosity and bacteria (reviewed in Kuzminov, 1995a), and probably gross chromosomal instability manifest as DNA amplifi- also in yeast (Keil and Roeder, 1984; Voelkel-Meiman et cation, chromosome rearrangement or truncation, and al., 1987), stalled replication forks are unstable and are chromosome loss or gain (discussed below). Third, in prone to breakage and restoration by recombinational some instances the replication machinery is able to rep- repair, resulting in increased recombination rates in a licate across lesions in the template DNA, so-called variety of cell types. Instability of stalled replication forks translesion synthesis (reviewed in Friedberg et al., 1995). could explain the high recombination and chromosome Replication across adducts results in misincorporation loss rates in yeast cells overproducing CLN1, as well as of noncognate bases in the nascent strand and the po-
the elevated rates of gene amplification in human p53⁻ tential to fix a mutation during either subsequent replica- cells (which are defective in the G1/S DNA damage tive or repair synthesis. Fourth, base mismatches arise checkpoint) treated with PALA (an inhibitor of nucleotide by occasional incorporation of the wrong base during biosynthesis) (Livingstone et al., 1992) and in rodent DNA replication and are removed by the mismatch repair cells overproducing Cyclin D (Zhou et al., 1996). Addisystem (reviewed in Friedberg et al., 1995). Replication tionally, alterations of dNTP concentrations induce muof DNA containing a mismatch produces two new du- tations due to deleterious effects on DNA polymerase plexes, neither of which contains a mismatch, but one fidelity (reviewed in Kunz et al., 1994). The importance

Failure to Regulate Progression differences in DNA repair or in the DNA itself (such as *into or through S Phase* its chromatin structure), or simply due to the fact that RNR activity (Yarbro, 1992; Weinert et al., 1994), which of having sufficient nucleotide pools before entering S cells, it is the major pathway for double strand break phase is reflected in the fact that human cells are repair in yeast. On passing through mitosis, sister chrothought to monitor nucleotide pools directly and arrest matids are separated and are no longer available as at a p53-dependent G1 block when these pools are low templates for repair. Sister chromatid exchange is also (Linke et al., 1996). important for lesions other than double-stranded DNA

checkpoint, preventing progression through mitosis. sister as template. Mammalian cells have a nonhomologous end-joining While sister chromatids have been shown to be the activity that fuses together broken DNA, and direct end-

activity that fuses together broken DNA, and direct end-

preferr activity that fuses together broken DNA, and direct end- preferred template for recombinational repair (Kadyk to-end joining of the centromeric and the acentric frag-
ments may be the primary mechanism by which breaks bination substrates. The use of a homolog may be disadments may be the primary mechanism by which breaks bination substrates. The use of a homolog may be disad-
The prepaired in mammalian cells (reviewed in Friedberg and antageous, however, because it may lead to loss of et al., 1995). This process may result in a deletion of heterozygosity. Moreover, even homolog recombination DNA near the break, presumably due to exonucleolytic may be less efficient if not completed at the checkpoint degradation. The DNA damage checkpoint facilitates arrest; it has been demonstrated that a double-stranded repair both by increasing the time for repair and by DNA break is recombinationally repaired off of a homotranscriptionally inducing gene expression. If the G2/M log less efficiently in a checkpoint-deficient (*rad9*) strain arrest fails, the broken chromosome may be subjected than in a wild-type strain (Sandell and Zakian, 1993). to mitosis, and the centromere-containing and acentric While this may reflect the loss of some aspect of RAD9p fragments may be partitioned into separate nuclei, pre- function other than its role in the G2/M arrest, it is also cluding the possibility of their undergoing end-to-end possible that a cell is better able to perform recombinafusion. This situation can lead to a variety of outcomes. tion at this arrest. Alternatively, the broken DNA may be For example, the broken chromosome may be degraded less stable in S phase, and therefore be degraded more and lost altogether. Chromosomes are lost at elevated quickly in the ensuing cycle. rates in yeast checkpoint mutants (Weinert and Hartwell, 1990) in response to both spontaneous damage and

iteration May

iterated double-stranded DNA breaks(Sandell and Zak-

in 1993). Even if both chromosome fragments end up

in the same nuclear of the control replication of n and mammals (McClintock, 1941; Ma et al., 1993). One result of the bridge-breakage-fusion cycle is the loss **Questions About the Logic of the DNA** of telomere-proximal sequences on the chromosome. **Damage Checkpoint** Bridge-breakage-fusion cycles can also lead to chromo- Our consideration of the consequences of checkpoint somal rearrangement and gene amplification (Ma et al., failure raises many questions about the logic of check-1993). Since the point of rebreakage is likely to be differ- points. Although we have limited knowledge about the ent from the original point of fusion, one chromatid will signals that elicit checkpoints, the DNA damage checkhave an inverted duplication of the region near the point seems to respond to different types of primary breakage point. Because this process occurs iteratively, damage at different stages of the cell cycle. What is

sister chromatid template. Whereas recombinational re- of the cell cycle appear to favor lesions that would cause pair may be only a minor repair pathway in mammalian the most serious damage if passed unrepaired to the

breaks. Bypass replication of some DNA adducts leaves a DNA gap in the nascent strand. This gap is repaired **Failure of the G2/M Arrest** using the sister chromatid as a recombinational tem-
Double-stranded DNA breaks activate the DNA damage plate. Failure of the G2/M arrest precludes the use of a plate. Failure of the G2/M arrest precludes the use of a

vantageous, however, because it may lead to loss of

this region may become amplified. the logic of these different responses? The signals that Failure of the G2/M delay precludes repair from the activate the DNA damage checkpoint at different stages

sensitive to gaps remaining after excision repair be-
Cdc7p, a protein involved in the initiation of S phase in cause failure to arrest would permit their conversion to S. cerevisiae, suggests that the relative allocation can double strand breaks. In contrast, this checkpoint does be reset by events occurring at initiation of replication. not respond to unexcised dimers (yeast and mammalian Some alleles of *CDC7* are hypomutable while other alcells: Nelson and Kastan, 1994; Siede et al., 1994) or a leles are hypermutable in response to UV-irradiation double strand break (yeast: Raghuraman et al., 1994). (Hollingsworth et al., 1992). Presumably, these differ-It is also sensitive to nucleotide pool depletion, probably ences reflect differences in the allocation of lesions to because entry into S with inadequate nucleotide pools a mutagenic repair pathway instead of a nonmutagenic results in damage that can produce gene amplification pathway or a lethal event. Moreover, since these repair (Livingstone et al., 1992; Yin et al., 1992). The arrest at pathways may act at different stages in the cell cycle G2/M is dramatically sensitive to even one double strand or replication process, the adaptation characteristics of the
break because failure to arrest would lead to the irre-
the DNA damage checkpoint at different stages o break because failure to arrest would lead to the irre-
versible loss of chromosome fragments. Another exam-
versible loss of chromosome fragments. Another exam-
versible loss of thromosome fragments. Another examversible loss of chromosome fragments. Another exam-

ole may be mismatched DNA bases generated during Checkpoint components are involved in processes ple may be mismatched DNA bases generated during a replication error. The mismatch repair system is be-
lieved to be active for a period after replication when of a checkpoint is defined by loss-of-function mutations lieved to be active for a period after replication when discrimination of mother and daughter strands is still that alleviate arrest in response to damage, some compossible. The presence of a functional mismatch repair ponents of the DNA damage checkpoint are essential. system imposes a "G2" (or possibly late S phase) delay Some checkpoint genes are necessary for repair, tranin the presence of alkylation damage (Hawn et al., 1995), scription, and replication. Since the target of the DNA while mutational loss of mismatch repair relieves this damage checkpoint is likely to be an essential compo-

Clearly primary damage to DNA such as double strand tial components in S. cerevisiae, however, the deletion
breaks and excision gans (or something derived directly of a third gene that is itself nonessential and has little breaks and excision gaps (or something derived directly of a third gene that is itself nonessential and has little
from them) are signals for the DNA damage checknoint phenotypic consequence renders the two essential from them) are signals for the DNA damage checkpoint. Phenotypic consequence renders the two essential
When damage is induced by radiation or chemicals components nonessential (Paulovich et al., 1997; X. When damage is induced by radiation or chemicals, components nonessential (Paulovich et al., 1997; X.
however many cellular components in addition to DNA Zhao and R. Rothstein, personal communication), makhowever, many cellular components in addition to DNA
are modified. Any chemical changes (e.g. changes to
RNA, protein, or lipid) that are well correlated with an
in transcription, repair, and replication could be related
i

arrest stages? One commonality is that many types of

DNA damage are processed to single-stranded DNA

(double strand breaks, excision of damaged bases,

stalled replication forks, and mismatches), and single-

stalled rep damaged DNA to its single-stranded form (Lydall and **Acknowledgments** Weinert, 1995). Under this model, the distinct sensitivities of the cell to different types of damage at different We would like to thank members of the Hartwell laboratory, espestages would be related to the ability of the cell to pro- cially Eric Foss, members of the Seattle project, Jim Roberts, Ancess a particular type of lesion to single-stranded DNA at drew Murray, and an annonymous reviewer for helpful comments
cash stage, Indeed, the lack of sonsitivity of S. corovisiae on the manuscript. A. G. P. was supported each stage. Indeed, the lack of sensitivity of S. cerevisiae
cells in G1 to even one double strand break is correlated
with their lack of processing the break until they enter
with their lack of processing the break until with their lack of processing the break until they enter Fund and an NIH training program in Cancer Research CA09437.

How are DNAlesions allocated todifferent repair pathways? Epstasis experiments with radiation-sensitive **References** mutants of S. cerevisiae indicate that different repair
pathways can act on the same lesion. If this conclusion (1994). The SAD1/RAD53 protein kinase controls multiple checkis true, is the allocation of lesion processing to different points and DNA damage-induced transcription in yeast. Genes Dev. repair pathways simply an invariant outcome of their *8*, 2401–2428.

next stage of the cycle. For example, arrest at G1/S is relative rates and efficiencies? Results with mutants of

arrest.
What are the signals that activate checknoints? essential components is not surprising. For two essen-
What are the signals that activate checknoints? essential components is not surprising. For two essen-What are the signals that activate checkpoints? essential components is not surprising. For two essen-
early primary damage to DNA such as double strand tial components in S. cerevisiae, however, the deletion

sponse to irradiation (reviewed in Hannun, 1996).

Although the G1/S, S, and G2/M cell cycle arrests

respond to different types of damage and arrest the cell

at different stages, many of the same components are

involved

L. H. H. is a Research Professor of the American Cancer Society.

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