Dependencies to Optimize Ontology Based Data Access*

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Abstract. Query answering in Ontology Based Data Access (OBDA) exploits the knowledge of an ontology's TBox to deal with incompleteness of the ABox (or data source). Current query-answering techniques with DL-Lite require exponential size query reformulations, or expensive data pre-processing. Also, these techniques present severe redundancy issues when dealing with ABoxes that are already (partially) complete. It has been shown that addressing redundancy is not only required for tractable implementations of decision procedures, but may also allow for sizable improvements in execution times. Considering the previous observations, in this paper we extend the results aiming at improving query answering performance in OBDA systems that were developed in [9] for DL- $Lite_{\mathcal{F}}$, to the case where also role inclusions are present in the TBox. Specifically, we first show that we can characterize completeness of an ABox by means of dependencies, and that we can use these to optimize DL-LiteA TBoxes. Second, we show that in OBDA systems we can create ABox repositories that appear to be complete w.r.t. a significant portion of any *DL-Lite*_A TBox. The combination of these results allows us to design OBDA systems based on DL-LiteA in which redundancy is minimal, the exponential aspect of query answering is notably reduced and that can be implemented efficiently using existing RDBMSs.

1 Introduction

The current approaches to Ontology Based Data Access (OBDA) with lightweight Description Logics (DLs) of the *DL-Lite* family [2] rely on query reformulation. These techniques are based on the idea of using the ontology to rewrite a given query into a new query that, when evaluated over the data sources, returns the certain answers to the original query. Experiments with unions of conjunctive queries (UCQs) have shown that reformulations may be very large, and that the execution of these reformulations suffers from poor performance. This triggered the development of alternative reformulation techniques [6,10], in which the focus has been on the reduction of the number of generated queries/rules. These techniques have shown some success, however query reformulation in all of them is still worst-case exponential in the size of the original query. Alternative approaches [5] use the expansion of the extensional layer of the ontology (i.e., the ABox) w.r.t. the intensional knowledge (i.e., the TBox) to avoid query reformulation almost entirely. However, the cost of data expansion imposes severe limitations on the

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system. We believe that approaching the problem of the high cost of query answering in OBDA systems requires a change of focus: namely, from the 'number of queries' perspective, to the perspective that takes into account the 'duplication in the answers' appearing in query results under SQL multiset semantics. Duplication in results is a sign of redundancy in the reasoning process; it not only generated not only by the reformulation procedures as traditionally thought, since also techniques based on ABox expansion show this problem. Instead, redundancy is the consequence of ignoring the semantics of the data sources. In particular, when the data in a source (used to populate the ABox of the ontology) already satisfies an inclusion assertion of the TBox (i.e., is complete w.r.t. such an inclusion assertion), then using that inclusion assertion during query answering might generate redundant answers [8]. As noted in [4], the runtime of decision procedures might change from exponential to polynomial if redundancy is addressed, and this is also the case in OBDA query answering. In [9], we addressed both problems, redundancy and the exponential blow-up of query reformulations, for the DL DL-Lite_{\mathcal{F}}. We followed two complementary directions and in this paper we extend both to deal also with the case where role inclusions are present in the TBox.

Specifically, in [9], we first presented an approach to take into account completeness of the data with respect to DL-Lite \mathcal{F} TBoxes. We characterized completeness using ABoxdependencies and showed that it is possible to use dependencies to optimize the TBox in order to avoid redundant computations independently of the reasoning technique. Second, we focused on how we can optimally complete ABoxes in OBDA systems by relying on the fact that in OBDA systems it is possible to manipulate not only the data, but also the mappings and database schema. This allows us to conceive procedures to store an ABox in a source in such a way that it appears to be complete with respect to a significant portion of the TBox, but without actually expanding the data. We presented two such procedures, one for general and one for 'virtual' OBDA systems, both designed to take advantage of the features of modern RDBMSs effectively. These results allow for the design of systems that can delegate reasoning tasks (e.g., dealing with hierarchies, existentially quantified individuals, etc.) to stages of the reasoning process where these tasks can be handled most effectively. The result is a (sometimes dramatic) reduction of the exponential runtime and an increase in the quality of the answers due to the reduction of duplication. Here, we extend the TBox optimization procedure and one of the ABox completion mechanisms to DL-Lite_A ontologies, in which role inclusions are allowed.

The rest of the paper is organized as follows: Section 2 gives technical preliminaries. Section 3 presents our extension to $DL\text{-}Lite_{\mathcal{A}}$ of the general technique for optimizing TBoxes w.r.t. dependencies. Section 4 introduces data dependencies in OBDA systems, describing why it is natural to expect completeness of ABoxes. Section 5 presents our extension of one of the techniques for completing ABoxes in OBDA systems to allow for $DL\text{-}Lite_{\mathcal{A}}$ ontologies. Section 6 concludes the paper.

2 Preliminaries

In the rest of the paper, we assume a fixed vocabulary V of *atomic concepts*, denoted A (possibly with subscripts), and *atomic roles*, denoted P, representing unary and binary relations, respectively, and an alphabet Γ of *(object) constants*.

Databases. In the following, we regard a *database* (*DB*) as a pair $\mathbf{D} = \langle \mathbf{R}, \mathbf{I} \rangle$, where \mathbf{R} is a relational schema and \mathbf{I} is an instance of \mathbf{R} . The active domain $\Gamma_{\mathbf{D}}$ of \mathbf{D} is the set of constants appearing in \mathbf{I} , which we call *value constants*. An SQL query φ over a DB schema \mathbf{R} is a mapping from a DB instance \mathbf{I} of \mathbf{R} to a set of tuples.

DL-Lite ontologies. We introduce the DL *DL-Lite*_A, on which we base our results. In *DL-Lite*_A, a basic role, denoted R, is an expression of the form P or P^- , and a basic concept, denoted B, is an expression of the form A or $\exists R$. An ontology is a pair $\mathcal{O} = \langle \mathcal{T}, \mathcal{A} \rangle$ where \mathcal{T} is a TBox and \mathcal{A} an ABox. A TBox is a finite set of (positive) inclusions $B_1 \sqsubseteq B_2$ or $R_1 \sqsubseteq R_2$, disjointness assertions $B_1 \sqsubseteq \neg B_2$, and functionality assertions (funct R). An ABox is a finite set of membership assertions A(c) or P(c, c'), where $c, c' \in \Gamma$. Moreover, DL-Lite_A imposes the syntactic restriction that a role P declared functional, via (funct P), or inverse functional, via (funct P^-), cannot be specialized, i.e., cannot appear in the right-hand side of a role inclusion assertion $R \sqsubseteq P$ or $R \sqsubseteq P^-$.

Queries over ontologies. An atom is an expression of the form A(t) or P(t,t'), where t and t' are atom terms, i.e., variables or constants in Γ . An atom is ground if it contains no variables. A conjunctive query (CQ) q over an ontology $\mathcal O$ is an expression of the form $q(x) \leftarrow \beta(x,y)$, where x is a tuple of distinct variables, called distinguished, y is a tuple of distinct variables not occurring in x, called non-distinguished, and $\beta(x,y)$ is a conjunction of atoms with variables in x and y, whose predicates are atomic concepts and roles of $\mathcal O$. We call q(x) the distinguished of the query and distinguished and distinguished is a set of CQs (called disjuncts) with the same head. Given a CQ distinguished with body distinguished and a tuple distinguished of the same arity as distinguished and a tuple distinguished of the same arity as distinguished and a tuple distinguished of the same arity as distinguished of distinguished of distinguished and a tuple distinguished of the same arity as distinguished of distinguished and distinguished of distinguished of the same head. Given a CQ distinguished with body distinguished of ground atoms obtained by replacing in distinguished of distinguished of distinguished of the same arity as distinguished of distinguished of distinguished of the same head. Given a CQ distinguished of the same head. Given a CQ distinguished of the same head. Given a CQ distinguished of the same head of the constant distinguished of the same arity as distinguished of the same head distinguished of the s

Semantics. An interpretation $\mathcal{I}=(\Delta^{\mathcal{I}},\cdot^{\mathcal{I}})$ consists of a non-empty interpretation $domain\ \Delta^{\mathcal{I}}$ and an interpretation function $\cdot^{\mathcal{I}}$ that assigns to each constant c an element $c^{\mathcal{I}}$ of $\Delta^{\mathcal{I}}$, to each atomic concept A a subset $A^{\mathcal{I}}$ of $\Delta^{\mathcal{I}}$, and to each atomic role P a binary relation over $\Delta^{\mathcal{I}}$. Moreover, basic roles and basic concepts are interpreted as follows: $(P^-)^{\mathcal{I}}=\{(o_2,o_1)\mid (o_1,o_2)\in P^{\mathcal{I}}\}$ and $(\exists R)^{\mathcal{I}}=\{o\mid \exists o'.\ (o,o')\in R^{\mathcal{I}}\}$. An interpretation \mathcal{I} is a model of $B_1\sqsubseteq B_2$ if $B_1^{\mathcal{I}}\subseteq B_2^{\mathcal{I}}$, of $R_1\sqsubseteq R_2$ if $R_1^{\mathcal{I}}\subseteq R_2^{\mathcal{I}}$, of $B_1\sqsubseteq \neg B_2$ if $B_1^{\mathcal{I}}\cap B_2^{\mathcal{I}}=\emptyset$, and of (funct R) if for each $o,o_1,o_2\in\Delta^{\mathcal{I}}$ we have that $(o,o_1)\in R^{\mathcal{I}}$ and $(o,o_2)\in R^{\mathcal{I}}$ implies $o_1=o_2$. Also, \mathcal{I} is a model of A(c) if $c^{\mathcal{I}}\in A^{\mathcal{I}}$, and of P(c,c') if $(c^{\mathcal{I}},c'^{\mathcal{I}})\in P^{\mathcal{I}}$. In DL-Lite_A, we adopt the Unique Name Assumption (UNA), which enforces that for each pair of constants o_1,o_2 , if $o_1\neq o_2$, then $o_1^{\mathcal{I}}\neq o_2^{\mathcal{I}}$. For a DL-Lite_A assertion α (resp., α) as α set α of α ontology α (resp., α) is an interpretation α such that α is a model of α (resp., α). A model of an ontology α 0 if every model of α 1 is an interpretation α 2 such that α 2 is an assertion α 3, denoted α 4 instead of α 5. The saturation of a TBox α 5, denoted sat(α 7), is the set of α 5. Lite_A assertions α 5. The α 6. Notice that α 7 is finite, hence a TBox.

Let $\Gamma_{\mathcal{A}}$ denote the set of constants appearing in an ABox \mathcal{A} . The *answer* to a CQ $Q = q(\boldsymbol{x}) \leftarrow \beta(\boldsymbol{x}, \boldsymbol{y})$ over $\mathcal{O} = \langle \mathcal{T}, \mathcal{A} \rangle$ in an interpretation \mathcal{I} , denoted $ans(Q, \mathcal{O}, \mathcal{I})$, is the set of tuples $\boldsymbol{c} \in \Gamma_{\mathcal{A}} \times \cdots \times \Gamma_{\mathcal{A}}$ such that there exists a tuple $\boldsymbol{c}' \in \Gamma_{\mathcal{A}} \times \cdots \times \Gamma_{\mathcal{A}}$ such that the ground atoms in $\beta[(\boldsymbol{x}, \boldsymbol{y})/(\boldsymbol{c}, \boldsymbol{c}')]$ are true in \mathcal{I} . The answer to an UCQ

Q in \mathcal{I} is the union of the answers to each CQ in Q. The $certain\ answers$ to Q in \mathcal{O} , denoted $cert(Q,\mathcal{O})$, is the intersection of every $ans(Q,\mathcal{O},\mathcal{I})$ for all models \mathcal{I} for \mathcal{O} . The answer to Q over an $ABox\ \mathcal{A}$, denoted $eval(Q,\mathcal{A})$, is the answers to Q over \mathcal{A} viewed as a DB instance. A perfect reformulation of Q w.r.t. a TBox \mathcal{T} is a query Q' such that for every $ABox\ \mathcal{A}$ such that $\langle \mathcal{T}, \mathcal{A} \rangle$ is satisfiable, $cert(Q, \langle \mathcal{T}, \mathcal{A} \rangle) = eval(Q', \mathcal{A})$.

Mappings. We adopt the definitions for ontologies with mappings from [7]. First we extend interpretations to be able to create object constants from the value constants in a DB D. Given an alphabet Λ of function symbols we define the set $\tau(\Lambda, \Gamma_{\mathbf{D}})$ of object *terms* as the set of all terms of the form $\mathbf{f}(d_1,\ldots,d_n)$, where $\mathbf{f}\in\Lambda$, the arity of \mathbf{f} is n, and $d_1, \ldots, d_n \in \Gamma_{\mathbf{D}}$. We set $\Gamma = \Gamma_{\mathbf{D}} \cup \tau(\Lambda, \Gamma_{\mathbf{D}})$, and we extend the interpretation function so that for each $c \in \tau(\Lambda, \Gamma_{\mathbf{D}})$ we have that $c^{\mathcal{I}} \in \Delta^{\mathcal{I}}$. We extend queries by allowing the use of predicate arguments that are variable terms, i.e., expressions of the form f(t), where $f \in \Lambda$ with arity n and t is an n-tuple of variables or value constants. Given a TBox \mathcal{T} and a DB D, a mapping (assertion) m for \mathcal{T} is an expression of the form $\varphi(x) \rightsquigarrow \psi(t)$ where $\varphi(x)$ is an SOL query over **D** with answer variables x, and $\psi(t)$ is a CQ over \mathcal{T} without non-distinguished variables using variable terms over variables in x. We call the mapping simple if the body of $\psi(t)$ consists of a single atom, and *complex* otherwise. A simple mapping is for an atomic concept A (resp., atomic role P) if the atom in the body of $\psi(t)$ has A (resp., P) as predicate symbol. In the following, we might abbreviate the query ψ in a mapping by showing only its body. A virtual ABox \mathcal{V} is a tuple $\langle \mathbf{D}, \mathcal{M} \rangle$, where **D** is a DB and \mathcal{M} a set of mappings, and an *ontology with* mappings is a tuple $\mathcal{OM} = \langle \mathcal{T}, \mathcal{V} \rangle$, where \mathcal{T} is a TBox and $\mathcal{V} = \langle \mathbf{D}, \mathcal{M} \rangle$ is a virtual ABox in which \mathcal{M} is a set of mappings for \mathcal{T} .

An interpretation \mathcal{I} satisfies a mapping assertion $\varphi(x) \leadsto \psi(t)$ w.r.t. a DB $\mathbf{D} = \langle \mathbf{R}, \mathbf{I} \rangle$ if for every tuple $v \in \varphi(\mathbf{I})$ and for every ground atom X in $\psi[x/v]$ we have that: if X has the form $A(\mathbf{f}(c))$, then $(\mathbf{f}(c))^{\mathcal{I}} \in A^{\mathcal{I}}$, and if X has the form $P(\mathbf{f_1}(c_1), \mathbf{f_2}(c_2))$, then $((\mathbf{f_1}(c_1))^{\mathcal{I}}, \mathbf{f_2}(c_2)^{\mathcal{I}}) \in P^{\mathcal{I}}$. An interpretation \mathcal{I} is a model of $\mathcal{V} = \langle \mathbf{D}, \mathcal{M} \rangle$, denoted $\mathcal{I} \models \mathcal{V}$, if it satisfies every mapping in \mathcal{M} w.r.t. \mathbf{D} . A virtual ABox \mathcal{V} entails an ABox assertion α , denoted $\mathcal{V} \models \alpha$, if every model of \mathcal{V} is a model of α . \mathcal{I} is a model of $\mathcal{OM} = \langle \mathcal{T}, \mathcal{V} \rangle$ if $\mathcal{I} \models \mathcal{T}$ and $\mathcal{I} \models \mathcal{V}$. As usual, \mathcal{OM} is satisfiable if it admits a model. We note that, in an ontology with mappings $\mathcal{OM} = \langle \mathcal{T}, \langle \mathbf{D}, \mathcal{V} \rangle \rangle$, we can always replace \mathcal{M} by a set of simple mappings, while preserving the semantics of \mathcal{OM} . It suffices to split each complex mapping $\varphi \leadsto \psi$ into a set of simple mappings that share the same SQL query φ (see [7]). In the following, we assume to deal only with simple mappings.

Dependencies. ABox dependencies are assertions that restrict the *syntactic* form of allowed ABoxes. In this paper, we focus on unary and binary inclusion dependencies only. A *unary* (resp., binary) inclusion dependency is an assertion of the form $B_1 \sqsubseteq_A B_2$, where B_1 and B_2 are basic concepts (resp., $R_1 \sqsubseteq_A R_2$, where R_1 and R_2 are basic roles). In the following, for a basic role R and constants c, c', R(c, c') stands for P(c, c') if R = P and for P(c', c) if $R = P^-$. An ABox A satisfies an inclusion dependency σ , denoted $A \models \sigma$, if the following holds: (i) if σ is $A_1 \sqsubseteq_A A_2$, then for all $A_1(c) \in A$ we have $A_2(c) \in A$; (ii) if σ is $A \sqsubseteq_A A$, then for all $A(c) \in A$ there exists C' such that $A(c) \in A$; (iv) if C' is $A \sqsubseteq_A A \in A$, then for all $A(c) \in A \in A$ there exists C' such that $A(c) \in A \in A$; (iv) if C' is $A \in A$ in $A \in A$, then for all $A(c) \in A$ there exists $A \in A$. An ABox $A \in A$ in $A \in A$, then for all $A(c) \in A$ we have $A(c) \in A$; (iv) if C' is $A \in A$, then for all $A(c) \in A$ there exists $A \in A$. An ABox $A \in A$ we have $A(c) \in A$. An ABox $A \in A$

satisfies a set of dependencies Σ , denoted $\mathcal{A} \models \Sigma$, if $\mathcal{A} \models \sigma$ for each $\sigma \in \Sigma$. A set of dependencies Σ entails a dependency σ , denoted $\Sigma \models \sigma$, if for every ABox \mathcal{A} s.t. $\mathcal{A} \models \Sigma$ we also have that $\mathcal{A} \models \sigma$. The saturation of a set Σ of dependencies, denoted $sat(\Sigma)$, is the set of dependencies σ s.t. $\Sigma \models \sigma$. Given two queries Q_1, Q_2 , we say that Q_1 is contained in Q_2 relative to Σ if $eval(Q_1, \mathcal{A}) \subseteq eval(Q_2, \mathcal{A})$ for each ABox \mathcal{A} s.t. $\mathcal{A} \models \Sigma$.

3 Optimizing TBoxes w.r.t. Dependencies

In a DL-Lite $_{\mathcal{A}}$ ontology $\mathcal{O} = \langle \mathcal{T}, \mathcal{A} \rangle$, the ABox \mathcal{A} may be incomplete w.r.t. the TBox \mathcal{T} , i.e., there may be assertions $B_1 \sqsubseteq B_2$ in \mathcal{T} s.t. $\mathcal{A} \not\models B_1 \sqsubseteq_A B_2$. When computing the certain answers to queries over \mathcal{O} , the TBox \mathcal{T} is used to overcome such incompleteness. However, an ABox may already be (partially) complete w.r.t. \mathcal{T} , e.g., an ABox \mathcal{A} satisfying $A_1 \sqsubseteq_A A_2$ is complete w.r.t. $A_1 \sqsubseteq A_2$. While ignoring completeness of an ABox is 'harmless' in the theoretical analysis of reasoning over DL-Lite $_{\mathcal{A}}$ ontologies, in practice, it introduces redundancy, which manifests itself as containment w.r.t. dependencies among the disjuncts (CQs) of the perfect reformulation, making the contained disjuncts redundant. For example, let \mathcal{T} and \mathcal{A} be as before, and let Q be $q(x) \leftarrow A_2(x)$, then any perfect reformulation of Q must include $q_1 = q(x) \leftarrow A_1(x)$ and $q_2 = q(x) \leftarrow A_2(x)$ as disjuncts. However, since q_1 is contained in q_2 relative to $A_1 \sqsubseteq_A A_2$, we have that q_1 will not contribute new tuples w.r.t. those contributed by q_2 .

It is possible to use information about completeness of an ABox, expressed as a set of dependencies, to avoid redundancy in the reasoning process. One place to do this is during query reformulation, using techniques based on conjunctive query containment (CQC) with respect to dependencies to avoid the generation of redundant queries. However, this approach is expensive, since CQC is an NP-complete problem (even ignoring dependencies), and such optimizations would need to be performed every time a query is reformulated. We show now how we can improve efficiency by pre-processing the TBox before performing reformulation. In particular, given a TBox $\mathcal T$ and a set $\mathcal L$ of dependencies, we show how to compute a TBox $\mathcal T'$ that is smaller than $\mathcal T$ and such that for every query $\mathcal Q$ the certain answers are preserved if $\mathcal Q$ is executed over an ABox that satisfies $\mathcal L$. Specifically, our objective is to determine when an inclusion assertion of $\mathcal T$ is redundant w.r.t. $\mathcal L$, and to do so we use the following auxiliary notions.

Definition 1. Let \mathcal{T} be a TBox, B, C basic concepts, R, S basic roles, and Σ a set of dependencies over \mathcal{T} . A \mathcal{T} -chain from B to C in \mathcal{T} (resp., a Σ -chain from B to C in Σ) is a sequence of inclusion assertions $(B_i \sqsubseteq B'_i)_{i=0}^n$ in \mathcal{T} (resp., a sequence of inclusion dependencies $(B_i \sqsubseteq_A B'_i)_{i=0}^n$ in Σ), for some $n \geq 0$, such that: $B_0 = B$, $B'_n = C$, and for $1 \leq i \leq n$, we have that B'_{i-1} and B_i are basic concepts s.t., either (i) $B'_{i-1} = B_i$, or (ii) $B'_{i-1} = \exists R'$ and $B_i = \exists R'^-$, for some basic role R'. A \mathcal{T} -chain from R to S in \mathcal{T} (resp., a Σ -chain from R to S in Σ) is a sequence of inclusion assertions $(R_i \sqsubseteq R'_i)_{i=0}^n$ in \mathcal{T} (resp., a sequence of inclusion dependencies $(R_i \sqsubseteq_A R'_i)_{i=0}^n$ in Σ), for some $n \geq 0$, such that: $R_0 = R$, $R'_n = S$ and for $1 \leq i \leq n$, we have that $R'_{i-1} = R_i$.

Intuitively, when there is a \mathcal{T} -chain from B to C, the existence of an instance of B in a model implies the existence of an instance of C. For a Σ -chain, this holds for ABox assertions. We use \mathcal{T} -chains and Σ -chains to characterize redundancy as follows.

Definition 2. Let \mathcal{T} be a TBox, B, C basic concepts, R, S basic roles, and Σ a set of dependencies. The inclusion assertion $B \sqsubseteq C$ (resp., $R \sqsubseteq S$) is directly redundant in \mathcal{T} w.r.t. Σ if (i) $\Sigma \models B \sqsubseteq_A C$ (resp., $\Sigma \models R \sqsubseteq_A S$) and (ii) for every \mathcal{T} -chain $(B_i \sqsubseteq B_i')_{i=0}^n$ with $B_n' = B$ in \mathcal{T} (resp., for every \mathcal{T} -chain $(B_i \sqsubseteq B_i')_{i=0}^n$ with $B_n' = B$ and for every \mathcal{T} -chain $(R_i \sqsubseteq R_i')_{i=0}^m$ with $R_m' = R$), there is a Σ -chain $(B_i \sqsubseteq_A B_i')_{i=0}^n$ (resp., a Σ -chain $(B_i \sqsubseteq_A B_i')_{i=0}^n$ and a Σ -chain $(R_i \sqsubseteq_A R_i')_{i=0}^m$). Then, $B \sqsubseteq C$ (resp., $R \sqsubseteq S$) is redundant in \mathcal{T} w.r.t. Σ if (a) it is directly redundant, or (b) there exists $B' \neq B$ (resp., $R' \neq R$) s.t. (i) $\mathcal{T} \models B' \sqsubseteq C$ (resp., $\mathcal{T} \models R' \sqsubseteq S$), (ii) $B' \sqsubseteq C$ (resp., $R' \sqsubseteq S$) is not directly redundant in \mathcal{T} w.r.t. Σ , and (iii) $B \sqsubseteq B'$ (resp., $R \sqsubseteq R'$) is directly redundant. in \mathcal{T} w.r.t. Σ .

Given a TBox \mathcal{T} and a set of dependencies Σ , we apply our notion of redundancy w.r.t. Σ to the assertions in the saturation of \mathcal{T} to obtain a TBox \mathcal{T}' that is equivalent to \mathcal{T} for certain answer computation.

Definition 3. Given a TBox \mathcal{T} and a set of dependencies Σ over \mathcal{T} , the optimized version of \mathcal{T} w.r.t. Σ , denoted $optim(\mathcal{T}, \Sigma)$, is the set of inclusion assertions $\{\alpha \in sat(\mathcal{T}) \mid \alpha \text{ is not redundant in } sat(\mathcal{T}) \text{ w.r.t. } sat(\Sigma)\}.$

Correctness of using $\mathcal{T}' = optim(\mathcal{T}, \Sigma)$ instead of \mathcal{T} when computing the certain answers to a query follows from the following theorem.

Theorem 1. Let \mathcal{T} be a TBox and Σ a set of dependencies over \mathcal{T} . Then for every ABox \mathcal{A} such that $\mathcal{A} \models \Sigma$ and every UCQ Q over \mathcal{T} , we have that $cert(Q, \langle \mathcal{T}, \mathcal{A} \rangle) = cert(Q, \langle optim(\mathcal{T}, \Sigma), \mathcal{A} \rangle)$.

Proof. First we note that during query answering, only the positive inclusions are relevant, hence we ignore disjointness and functionality assertions. Since $sat(\mathcal{T})$ adds to \mathcal{T} only entailed assertions, $cert(Q, \langle \mathcal{T}, \mathcal{A} \rangle) = cert(Q, \langle sat(\mathcal{T}), \mathcal{A} \rangle)$, for every Q and \mathcal{A} , and we can assume w.l.o.g. that $\mathcal{T} = sat(\mathcal{T})$. Moreover, $cert(Q, \langle \mathcal{T}, \mathcal{A} \rangle)$ is equal to the evaluation of Q over $chase(\mathcal{T}, \mathcal{A})$. (We refer to [2] for the definition of chase for a DL- $Lite_{\mathcal{F}}$ ontology.) Hence it suffices to show that for every $B \sqsubseteq C$ (resp., $R \sqsubseteq R$) that is redundant with respect to \mathcal{L} , $chase(\mathcal{T}, \mathcal{A}) = chase(\mathcal{T} \setminus \{B \sqsubseteq C\}, \mathcal{A})$. We show this by proving that if $B \sqsubseteq C$ (resp., $R \sqsubseteq S$) is redundant (hence, removed by $optim(\mathcal{T}, \mathcal{L})$), then there is always a $chase(\mathcal{T}, \mathcal{A})$ in which $B \sqsubseteq C$ (resp., $R \sqsubseteq S$) is never applicable. Assume by contradiction that $B \sqsubseteq C$ (resp., $R \sqsubseteq S$) is applicable to some assertion B(c) (resp., R(c, c')) during some step in $chase(\mathcal{T}, \mathcal{A})$. We distinguish two cases that correspond to the cases of Definition 2.

(a) Case where $B \sqsubseteq C$ (resp., $R \sqsubseteq S$) is directly redundant, and hence $\Sigma \models B \sqsubseteq_A C$ (resp., $\Sigma \models R \sqsubseteq_A S$). We distinguish two subcases: (i) $B(c) \in \mathcal{A}$ (resp., $R(c,c') \in \mathcal{A}$). Since $\mathcal{A} \models B \sqsubseteq_A C$ (resp., $\mathcal{A} \models R \sqsubseteq_A S$), we have $C(c) \in \mathcal{A}$ (resp., $S(c,c') \in \mathcal{A}$), and hence $B \sqsubseteq C$ is not applicable to B(c) (resp., $B \sqsubseteq S$ is not applicable to B(c,c')). Contradiction. (ii) $B(c) \notin \mathcal{A}$ (resp., $B(c,c') \notin \mathcal{A}$). Then there is a sequence of chase steps starting from some ABox assertion B'(c') (resp., $B(c,c') \in \mathcal{A}$) or B(c)) that generates B(c) (resp., B(c,c')). Such a sequence requires a B(c) (resp., B(c,c')). Such a sequence requires a B(c) (resp., B(c,c')) with $B(c) \in \mathcal{A}$ (resp., $B(c,c') \notin \mathcal{A}$) in $B(c) \in \mathcal{A}$ (resp., $B(c,c') \notin \mathcal{A}$). Then there is a sequence of chase steps starting from some ABox assertion B'(c') (resp., $B(c,c') \in \mathcal{A}$) or B(c)) that $B(c) \in \mathcal{A}$ (resp., $B(c) \in \mathcal{A}$) or $B(c) \in \mathcal{A}$ (resp., $B(c) \in \mathcal{A}$) in B

(resp., each $R_i \sqsubseteq R_i'$ or each $B_i \sqsubseteq B_i'$) is applicable in $chase(\mathcal{T},\mathcal{A})$. Then, by the second condition of direct redundancy, there is a Σ -chain $(B_i \sqsubseteq_A B_i')_{i=0}^n$ (resp., a Σ -chain $(R_i \sqsubseteq_A R_i')_{i=0}^n$ or a Σ -chain $(B_i \sqsubseteq_A B_i')_{i=0}^n$). Since $\mathcal{A} \models B_0 \sqsubseteq_A B_0'$ (resp., $\mathcal{A} \models R_0 \sqsubseteq R_0'$ or $\mathcal{A} \models B_0 \sqsubseteq_A B_0'$) we have that $B_0'(c') \in \mathcal{A}$ (resp., $R_0'(a,a') \in \mathcal{A}$ or $B_0'(a) \in \mathcal{A}$) and hence $B_0 \sqsubseteq B_0'$ is not applicable to B'(c') (resp., $R_0 \sqsubseteq R_0'$ is not applicable to R'(a,a'), or $R_0 \sqsubseteq R_0'$ is not applicable to R'(a,a'). Contradiction.

(b) Case where $B \sqsubseteq C$ has been removed by Definition 2(b), and hence there exists $B' \neq B$ such that $\mathcal{T} \models B \sqsubseteq B'$ (resp., $R' \neq R$ s.t. $\mathcal{T} \models R \sqsubseteq R'$). First we note that any two oblivious chase sequences for \mathcal{T} and \mathcal{A} produce results that are equivalent w.r.t. query answering. Then it is enough to show that there exists some $chase(\mathcal{T}, \mathcal{A})$ in which $B' \sqsubseteq C$ (resp., $R' \sqsubseteq S$) is always applied before $B \sqsubseteq C$ (resp., $R \sqsubseteq S$) and in which $B \sqsubseteq C$ (resp., $R(c,c') \in \mathcal{A}$). Then, since $B \sqsubseteq B'$ is directly redundant, we have that $\mathcal{L} \models B \sqsubseteq_A B'$. Since $\mathcal{L} \models \mathcal{L}$, we have that $\mathcal{L} \models B \sqsubseteq_A B'$. Since $\mathcal{L} \models \mathcal{L}$, we have that $\mathcal{L} \models \mathcal{L}$ (resp., $\mathcal{L} \models \mathcal{L}$), and given that $\mathcal{L} \models \mathcal{L}$ (resp., $\mathcal{L} \models \mathcal{L}$) is always applied before $\mathcal{L} \models \mathcal{L}$ (resp., $\mathcal{L} \models \mathcal{L}$), and given that $\mathcal{L} \models \mathcal{L}$ (resp., $\mathcal{L} \models \mathcal{L}$) is always applied before $\mathcal{L} \models \mathcal{L}$ (resp., $\mathcal{L} \models \mathcal{L}$), hence $\mathcal{L} \models \mathcal{L}$ (resp., $\mathcal{L} \models \mathcal{L}$) is in fact not applicable. Contradiction. (ii) $\mathcal{L} \models \mathcal{L}$ (resp., $\mathcal{L} \models \mathcal{L}$). Then, arguing as in Case (a).(ii), using $\mathcal{L} \models \mathcal{L}$ instead of $\mathcal{L} \models \mathcal{L}$ (resp., $\mathcal{L} \models \mathcal{L}$) instead of $\mathcal{L} \models \mathcal{L}$ (resp., $\mathcal{L} \models \mathcal{L}$), we can derive a contradiction.

Complexity and implementation. Due to space limitations, we cannot provide a full description of how to compute $optim(\mathcal{T}, \Sigma)$. We just note that the checks that are required by $optim(\mathcal{T}, \Sigma)$ can be reduced to computing reachability between two nodes in a DAG that represents the reachability relation of the chains in \mathcal{T} and Σ . This operation can be done in linear time.

Consistency checking. Consistency checking may also suffer from redundancy when the ABox is already (partially) complete w.r.t. \mathcal{T} . In this case, we need to consider, in addition to inclusion dependencies, also *functional* and *disjointness* dependencies. Due to spaces limitations we cannot provide more details, and just note that using these dependencies it is possible to extend the definitions to generate TBoxes that avoid redundant consistency checking operations.

4 Dependencies in OBDA Systems

The purpose of the current section is to complement our argument w.r.t. completeness of ABoxes by discussing when and why we can expect completeness in OBDA systems. We start by observing that in OBDA systems, ABoxes are constructed, in general, from existing data that resides in some form of data repository. In order to create an ABox, the system requires some form of mappings from the source to the ontology. These may be explicit logical assertions as the ones used in this paper, or they may be implicitly defined through application code. Therefore, the source queries used in these mappings become crucial in determining the structure of the ABox. In particular, any dependencies that hold over the results of these queries will be reflected in the OBDA system as ABox dependencies.

Example 1. Let \mathbf{R} be a DB schema with the relation schema *employee* with attributes id, dept, and salary, that stores information about employees, their salaries, and the department they work for. Let \mathcal{M} be the following mappings:

```
SELECT id, dept FROM employee \leadsto Employee(emp(id)) \land WORKS\text{-}FOR(emp(id), dept(dept))
SELECT id, dept FROM employee \leadsto Manager(emp(id)) \land MANAGES(emp(id), dept(dept))
```

where *Employee* and *Manager* are atomic concepts and *WORKS-FOR* and *MANAGES* are atomic roles. Then for *every* instance \mathbf{I} of \mathbf{R} , the virtual ABox $\mathcal{V} = \langle \langle \mathbf{R}, \mathbf{I} \rangle, \mathcal{M} \rangle$ satisfies the following dependencies:

In particular, the dependency in Column 1 follows from the containment relation between the two SQL queries used in the mappings, and the remaining dependencies follow from the fact that we populate *WORKS-FOR* (resp., *MANAGES*) using the same SQL query used to populate *Employee* (resp., *Manager*).

Turning our attention to the semantics of the data sources, we note that any given DB is based on some conceptual model. At the same time, if we associate the data of any given DB to the concepts and roles of a TBox \mathcal{T} , it follows that this data is *semantically related* to these concepts and roles, and that the conceptual model of the DB has some common aspects with the semantics of \mathcal{T} . It is precisely these common aspects that get manifested as dependencies between queries in the mappings and that give rise to completeness in ABoxes. Therefore, the degree of completeness of an ABox in an OBDA system is in direct relation with the closeness of the semantics of the conceptual model of the DB and the semantics of the TBox, and with the degree in which the DB itself complies to the conceptual model that was used to design it.

Example 2. To illustrate the previous observations we extend Example 1. First we note that the intended meaning of the data stored in \mathbf{R} is as follows: (i) employees with a salary higher than 1,000 are managers, (ii) managers manage the department in which they are employed, and (iii) every employee works for a department. Then, any TBox that shares some of this semantics will present redundancy. For example, if \mathcal{T} is

then the first row of assertions is redundant w.r.t. Σ . Instead, the semantics of the assertions of the second row is not captured by the mappings. In an OBDA system with such components, we should reason only w.r.t. *Department*. This can be accomplished by optimizing \mathcal{T} w.r.t. Σ using the technique presented in Section 3.

5 Dependency Induction

We focus now on procedures to complete ABoxes with respect to TBoxes. The final objective is to simplify reasoning by diverting certain aspects of the process (e.g.,

dealing with concept/role hierarchies and domain and range assertions) from the query reformulation stage to other stages of the query answering process where they can be handled more efficiently. We call these procedures dependency induction procedures since their result can be characterized by a set of dependencies that hold in the ABox(es) of the system. Formally, given an OBDA system $\mathcal{O} = \langle \mathcal{T}, \mathcal{V} \rangle$, where $\mathcal{V} = \langle \langle \mathbf{R}, \mathbf{I} \rangle, \mathcal{M} \rangle$, we call a dependency induction procedure a procedure that uses \mathcal{O} to compute a virtual ABox \mathcal{V}' such that the number of assertions in \mathcal{T} for which \mathcal{V}' is complete is higher than those for \mathcal{V} . An example of a dependency induction procedure is *ABox expansion*, a procedure in which the data in I is *chased* w.r.t. \mathcal{T} . The critical point in dependency induction procedures is the trade-off between the degree of completeness induced, the system's performance, and the cost of the procedure. In [9] we presented two dependency induction mechanism that provide good trade-offs. Both of them are designed for the case in which the data sources are RDBMSs. In the current paper we extend one of these procedures, the *semantic index* technique, to the DL-Lite_A setting. In particular, given a *DL-Lite*_A TBox \mathcal{T} and a virtual ABox \mathcal{V} , the extended semantic index is able to generate a virtual ABox \mathcal{V}' where, if $\mathcal{T} \models B \sqsubseteq A$ (resp., $\mathcal{T} \models R_1 \sqsubseteq R_2$), then $\mathcal{V}' \models B \sqsubseteq_A A$ (resp., $\Sigma \models R_1 \sqsubseteq_A R_2$). Hence, \mathcal{V}' is complete for all *DL-Lite*_A inferences except those involving mandatory participation assertions, e.g., $B \sqsubseteq \exists R$.

Semantic Index. This technique applies in the context of general OBDA systems in which we are free to manipulate any aspect of the system to improve query answering. The basic idea is to encode the implied is-a relationships of \mathcal{T} in the values of numeric indexes that we assign to concept and role names. ABox membership assertions are then inserted in the DB using these numeric values s.t. one can retrieve most of the implied instances of any concept or role by posing simple range queries to the DB (which are very efficient in modern RDBMSs). Our proposal is related to techniques for managing large transitive relations in knowledge bases (e.g., the is-a hierarchy) [1], however, our interest is not in managing hierarchies but in querying the associated instance data. Our proposal is also related to a technique for XPath query evaluation known as Dynamic Intervals [3], however, while the latter deals with XML trees, we have to deal with hierarchies that are DAGs. Formally, a semantic index is defined as follows.

Definition 4. Given a DL-Lite_A TBox \mathcal{T} and its vocabulary V, a semantic index for \mathcal{T} is a pair of mappings $\langle idx, range \rangle$ with $idx : V \to \mathbb{N}$ and $range : V \to 2^{\mathbb{N} \times \mathbb{N}}$, such that, for each pair E_1 , E_2 of atomic concepts or atomic roles in V, we have that $\mathcal{T} \models E_1 \sqsubseteq E_2$ iff there is a pair $\langle \ell, h \rangle \in range(E_2)$ such that $\ell \leq idx(E_1) \leq h$.

Using a semantic index $\langle idx, range \rangle$ for a TBox \mathcal{T} , we construct $\mathcal{V} = \langle \mathbf{R}, \mathbf{I} \rangle$ with the completeness properties described above by proceeding as follows. We define a DB schema \mathbf{R} with a universal-like relation $T_C[\mathtt{c1},\mathtt{idx}]$ for storing ABox concept assertions, and a relation $T_R[\mathtt{c1},\mathtt{c2},\mathtt{idx}]$ for storing ABox role assertions, s.t. c1 and c2 have type constant and \mathtt{idx} has type numeric. Given an ABox \mathcal{A} , we construct \mathbf{I} such that for each $A(c) \in \mathcal{A}$ we have $\langle c, idx(A) \rangle \in T_C$ and for each $P(c,c') \in \mathcal{A}$ we have $\langle c,c',idx(P) \rangle \in T_R$. The schema and the index allow us to define, for each atomic concept A and each atomic role P, a set of range queries over \mathbf{D} that retrieves most constants c, c' such that $\mathcal{O} \models A(c)$ or $\mathcal{O} \models P(c,c')$. E.g., if $range(A) = \{\langle 2,35 \rangle\}$, we define 'SELECT c1 FROM T_C WHERE $\mathtt{idx} >= 2$ AND $\mathtt{idx} <= 35'$. We use these

queries to define the mappings of the system as follows 1 : (i) for each atomic concept A and each $\langle \ell, h \rangle \in range(A)$, we add the mapping $\sigma_{\ell \leq idx \leq h}(T_C) \rightsquigarrow A(c_1)$; (ii) for each atomic role P and each $\langle \ell, h \rangle \in range(P)$, we add the mapping $\sigma_{\ell \leq idx \leq h}(T_R) \rightsquigarrow P(c_1, c_2)$; (iii) for each pair of atomic roles P, P' such that $\mathcal{T} \models P'^- \sqsubseteq P$ and each $\langle \ell, h \rangle \in range(P')$ we add the mapping $\sigma_{\ell \leq idx \leq h}(T_R) \rightsquigarrow P(c_2, c_1)$; (iv) for each atomic concept A, each atomic role P s.t. $\mathcal{T} \models \exists P \sqsubseteq A$ (resp., $\exists P^- \sqsubseteq A$) and each $\langle \ell, h \rangle \in range(P)$, we add the mapping $\sigma_{\ell \leq idx \leq h}(T_R) \rightsquigarrow A(c_1)$ (resp., $\sigma_{\ell \leq idx \leq h}(T_R) \rightsquigarrow A(c_2)$); (v) last, we replace any pair of mappings $\sigma_{\ell \leq idx \leq h}(T_C) \rightsquigarrow A(c_1)$ and $\sigma_{\ell' \leq idx \leq h'}(T_C) \rightsquigarrow A(c_1)$ such that $\ell' \leq h$ and $\ell \leq h'$ by the mapping $\sigma_{\min(\ell,\ell') \leq idx \leq \max(h,h')}(T_C) \rightsquigarrow A(c_1)$ (similarly for role mappings).

A semantic index can be trivially constructed by assigning to each concept and role a unique (arbitrary) value and a set of ranges that covers all the values of their subsumees. However, this is not effective for optimizing query answering since the size of \mathcal{M} determines exponentially the size of the final SQL query. To avoid an exponential blow-up, we create $\langle idx, range \rangle$ using the implied concept and role hierarchy as follows.

Let $\mathcal T$ be a TBox, and D_C the minimal DAG that represents the implied is-a relation between all atomic concepts of $\mathcal T$ (i.e., the transitive reducts of the concept hierarchy)². Then we can construct idx by initializing a counter i=0, and visiting the nodes in D_C in a depth-first fashion starting from the root nodes. At each step and given the node N visited at that step, if idx(N) is undefined, set idx(N) = i and i = i+1, else if idx(N) is defined, backtrack until the next node for which idx is undefined. Now, to generate range we visit the nodes in D_C starting from the leafs and going up. For each node N in the visit, if N is a leaf in D_C , then we set $range(N) = \{\langle idx(N), idx(N) \rangle\} \cup \bigcup_{N_i \mid N_i \to N \in D_C} range(N_i)\}$, where merge is a function that, given a set r of ranges, returns the minimal set r' of ranges that has equal coverage as r, e.g., $merge(\{\langle 5,7 \rangle, \langle 3,5 \rangle, \langle 9,10 \rangle\}) = \{\langle 3,7 \rangle, \langle 9,10 \rangle\}$. We proceed exactly in the same way with the DAG D_R representing the role hierarchy.

Example 3. Let A, B, C, D be atomic concepts, let R, S, M be atomic roles, and consider the TBox $\mathcal{T} = \{B \sqsubseteq A, C \sqsubseteq A, C \sqsubseteq D, D \sqsubseteq \exists R, \exists R \sqsubseteq D, S \sqsubseteq R, M \sqsubseteq R\}$. Let the DAGs D_C and D_R for \mathcal{T} be the ones depicted in Fig. 1. The technique generates idx and range as indicated in Fig. 1, generates the mappings in Fig. 3, and for any ABox, the technique generates a virtual ABox \mathcal{V} that satisfies all the dependencies \mathcal{L} in Fig. 2. Then, we will have that in query answering, rewriting is only necessary w.r.t. $D \sqsubseteq \exists R$, which would be the output of $optim(\mathcal{T}, \mathcal{L})$.

Our evaluation of the semantic index technique, described in [9], shows its effectiveness in improving the cost and efficiency of query answering.

6 Conclusions and Future Work

In this paper we focused on issues of redundancy and performance in OBDA systems. Several directions can be taken starting from the ideas presented here. First, although

¹ Here we use relational algebra expressions instead of SQL to simplify the exposition.

² We assume w.l.o.g. that \mathcal{T} does not contain a cyclic chain of basic concept or role inclusions. Under such an assumption D_C is unique.

Fig. 2. The induced dependencies.

Fig. 1. D_C , D_R and the values for idx and range.

```
\begin{array}{lll} \sigma_{1 \leq idx \leq 3}(T_C) \, \rightsquigarrow \, A(c_1) & \sigma_{5 \leq idx \leq 7}(T_R) \, \rightsquigarrow \, R(c_1,c_2) \\ \sigma_{2 \leq idx \leq 2}(T_C) \, \rightsquigarrow \, B(c_1) & \sigma_{6 \leq idx \leq 6}(T_R) \, \rightsquigarrow \, S(c_1,c_2) \\ \sigma_{3 \leq idx \leq 3}(T_C) \, \rightsquigarrow \, C(c_1) & \sigma_{7 \leq idx \leq 7}(T_R) \, \rightsquigarrow \, M(c_1,c_2) \\ \sigma_{3 \leq idx \leq 4}(T_C) \, \rightsquigarrow \, D(c_1) & \sigma_{5 \leq idx \leq 7}(T_R) \, \rightsquigarrow \, D(c_1) \end{array}
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Fig. 3. The mappings created by the technique.

TBox pre-processing is the best place to first address redundancy, it is also necessary to apply redundancy elimination during reasoning, e.g., during query rewriting. Second, redundancy may also appear during consistency checking, i.e., when an ABox is sound w.r.t. to the TBox; this can also be characterized with dependencies. With respect to evaluation, in [9] we presented a preliminary experiments that show that the semantic index technique can provide excellent performance with a fraction of the cost of ABox expansion, however, further experimentation is still required. In particular, it is necessary to provide a comprehensive benchmarks of the techniques discussed in this paper in comparison to other proposals. We are also exploring the context of SPARQL queries over RDFS ontologies; here we believe that our techniques can be used to provide high-performance SPARQL end points with sound and complete RDFS entailment regime support, without relying on inference materialization, as is usually done.

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