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Observation of a new charmed baryon decaying to $\Xi_c^+ \pi^- \pi^+$

LHCb collaboration[†]

Abstract

The $\Xi_c^+ \pi^- \pi^+$ spectrum is investigated using proton-proton collisions at a center-of-mass energy of 13 TeV, corresponding to an integrated luminosity of 5.4 fb^{-1} , collected by the LHCb experiment during 2016–2018. Four states are observed with high significance, and their masses and widths are measured to be

$$m[\Xi_c(2815)^+] = 2816.65 \pm 0.03 \pm 0.03 \pm 0.23 \text{ MeV},$$

$$\Gamma[\Xi_c(2815)^+] = 2.07 \pm 0.08 \pm 0.12 \text{ MeV},$$

$$m[\Xi_c(2923)^+] = 2922.8 \pm 0.3 \pm 0.5 \pm 0.2 \text{ MeV},$$

$$\Gamma[\Xi_c(2923)^+] = 5.3 \pm 0.9 \pm 1.4 \text{ MeV},$$

$$m[\Xi_c(2970)^+] = 2968.6 \pm 0.5 \pm 0.5 \pm 0.2 \text{ MeV},$$

$$\Gamma[\Xi_c(2970)^+] = 31.7 \pm 1.7 \pm 1.9 \text{ MeV},$$

$$m[\Xi_c(3080)^+] = 3076.8 \pm 0.7 \pm 1.3 \pm 0.2 \text{ MeV},$$

$$\Gamma[\Xi_c(3080)^+] = 6.8 \pm 2.3 \pm 0.9 \text{ MeV},$$

where the uncertainties are statistical, systematic, and due to the limited precision on the Ξ_c^+ mass, respectively. The $\Xi_c(2923)^+$ baryon is observed for the first time, and is consistent with being the isospin partner of the previously observed $\Xi_c(2923)^0$ state. Most of the measured parameters are more precise than existing world averages.

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Singly charmed baryons are bound states of a charm quark (c) and two light quarks (u , d or s). Due to the large mass difference between the charm and the lighter quarks, these baryons provide an insight into the spectrum of hadronic states using symmetries described by the Heavy Quark Effective Theory [1, 2]. Numerous theoretical predictions of the properties of heavy baryons, containing either a charm or a beauty quark, have been made in recent years [3–22], including some based on Lattice QCD calculations [23–26]. There are fifteen singly charmed baryons with orbital angular momentum $L = 0$, all of which have now been observed [27]. The number increases drastically when considering the orbital and radial excitations. Modeling such states as a heavy quark interacting with a light diquark can help restrict the number of possible physical states [16, 20]. However, despite the progress made in recent years, this number remains much higher than that observed experimentally [28–33]. This implies that many other states remain undiscovered or that some configurations are forbidden by selection rules. The latter would have a broad impact in hadron spectroscopy given that the diquark is also used as a building block of exotic states, including tetraquarks and pentaquarks [34–36].

The Ξ_c baryons, states with quark content csu or csd , are described by a wave function which is antisymmetric (*e.g.* the Ξ_c ground state) or symmetric (*e.g.* Ξ'_c or $\Xi_c(2645)$ excitations) under interchange of the light quark flavors. More than one hundred excited Ξ_c baryons are expected in the mass region 2.8–3.8 GeV [23] and the mass splitting between the states can be so small, relative to their natural widths, that they are difficult to resolve experimentally.¹ This might be the case for the $\Xi_c(2970)$ state whose natural width was measured to be about 30 MeV in the $\Xi'_c \pi$ and $\Xi_c \pi^+ \pi^-$ spectra [37] while only 15 MeV in the $\Lambda_c^+ K^- \pi^+$ [38] and $\Lambda_c^+ K^-$ [32] systems. More precise measurements are needed to determine if two different Ξ_c baryons have been mistakenly identified as a single state due to the proximity of their masses.

This Letter presents a search for excited Ξ_c^+ baryons, hereafter collectively termed Ξ_c^{**+} , in the $\Xi_c^+ \pi^- \pi^+$ spectrum. This final state was studied by the Belle collaboration, which reported the observation of the $\Xi_c(2815)^+$ and $\Xi_c(2970)^+$ baryons [37]. The spin-parity of the latter was also measured to be $J^P = \frac{1}{2}^+$ [39]. A further structure peaking at around 2.92 GeV was noted, although it was not statistically significant.

Data used in this analysis were collected by the LHCb experiment during 2016–2018 from proton-proton (pp) collisions at a center-of-mass energy of 13 TeV, corresponding to an integrated luminosity of 5.4 fb^{-1} . Excited Ξ_c^+ states are reconstructed through their intermediate decay to $\Xi_c(2645)^0 \pi^+$, with $\Xi_c(2645)^0 \rightarrow \Xi_c^+ \pi^-$ and $\Xi_c^+ \rightarrow p K^- \pi^+$.²

The LHCb detector [40, 41] is a single-arm forward spectrometer covering the pseudorapidity range $2 < \eta < 5$, designed for the study of particles containing b or c quarks. The detector elements particularly relevant to this analysis are: a silicon-strip vertex detector surrounding the pp interaction region that allows c and b hadrons to be identified from their characteristically long flight distance [42]; a large-area silicon-strip detector located upstream of a dipole magnet with a bending power of about 4 Tm, and three stations of silicon-strip detectors and straw drift tubes [43] placed downstream of the magnet; and two ring-imaging Cherenkov detectors that provide particle identification (PID) information to discriminate between different species of charged hadrons [44]. The online event selection is performed by a trigger [45] consisting of a hardware stage based

¹Natural units with $\hbar = c = 1$ are used throughout.

²The inclusion of charge-conjugate processes is implied throughout.

on information from the calorimeter and muon systems, followed by a two-level software stage which applies a full event reconstruction.

Simulation is used in this analysis to optimize the event selection and to model the experimental mass resolution. Proton-proton collisions are generated using PYTHIA [46] with a specific LHCb configuration [47]. Decays of unstable particles are described by EVTGEN [48]. The interaction of the generated particles with the detector, and its response, are implemented using the GEANT4 toolkit [49] as described in Ref. [50].

In order to maximize the signal efficiency, no explicit requirements are imposed at the hardware trigger stage. At the software trigger stage a dedicated algorithm reconstructs $\Xi_c^+ \rightarrow pK^-\pi^+$ candidates from the combination of three reconstructed tracks which must fulfill loose kinematic and PID requirements and be consistent with originating from a common vertex with significant displacement from any primary interaction vertex (PV) in the event. The Ξ_c^+ candidate must have a measured lifetime with respect to the associated PV exceeding 0.15 ps, and a mass in the range $2432.5 < m(pK^-\pi^+) < 2502.5$ MeV.

The Ξ_c^+ candidates selected by the trigger are subsequently combined with a pair of oppositely charged particles, fulfilling loose pion PID requirements and consistent with originating from the Ξ_c^+ associated PV, to form $\Xi_c^{*++} \rightarrow \Xi_c(2645)^0(\rightarrow \Xi_c^+\pi^-)\pi^+$ candidates. The $\Xi_c^+\pi^-\pi^+$ system must form a good-quality vertex and satisfy the reconstructed mass conditions $m(\Xi_c^+\pi^-\pi^+) < 3300$ MeV and $[m(\Xi_c^+\pi^-) - m(\Xi_c^+) - m_{\pi^-}^{\text{PDG}}] < 150$ MeV, where the second condition selects the $\Xi_c(2645)^0$ resonance region and $m_{\pi^-}^{\text{PDG}}$ is the known pion mass [27].

Three selection stages are performed to sequentially optimize the signal for Ξ_c^+ , $\Xi_c(2645)^0$, and Ξ_c^{*++} states. In the first (Ξ_c^+) selection stage, a series of loose criteria are initially imposed to reduce the dominant combinatorial background by 85% while retaining 83% of the signal. These include a requirement on the alignment of the Ξ_c^+ momentum with the direction determined by its production and decay vertices, PID requirements on each final-state particle, and loose requirements on topological variables related to the Ξ_c^+ flight distance and its consistency with originating from a PV.

To further suppress background in the Ξ_c^+ sample, a multivariate algorithm (MVA) is trained with signal and background samples extracted using the *sPlot* technique [51]. The Ξ_c^+ mass distribution is fitted to obtain weights for signal and background, based on a 5% random sampling of the full data sample. In this fit the signal is described by a double Gaussian function, *i.e.* the sum of two Gaussian functions constrained to have a common mean, and the background by a first-order polynomial function. A cross-validation method is used to ensure the classifier is not applied to the data on which it is trained. A feed-forward neural network [52, 53] is configured with a loss function that properly accounts for the weights in the training samples [54]. A total of 29 input variables are included, mainly topological quantities and PID variables for the final-state particles. Following the training, a requirement is imposed on the classifier output to maximize the signal significance $S/\sqrt{S+B}$, where S (B) is the signal (background) yield. This selection retains 84% (16%) of the signal (background). The resulting $m(pK^-\pi^+)$ distribution, after imposing all Ξ_c^+ selection criteria, is shown in Fig. 1 for the full data sample. Also shown is an indicative fit using the same model as described above. For subsequent analysis a tighter mass window of $2451.3 < m(pK^-\pi^+) < 2486.0$ MeV is imposed, containing 98% of the signal. Around 7.7×10^6 Ξ_c^+ signal candidates are selected, with a purity of 84%.

In the second selection stage, a separate classifier is trained to optimize the signal significance of the $\Xi_c(2645)^0$ state. The signal and background training samples are

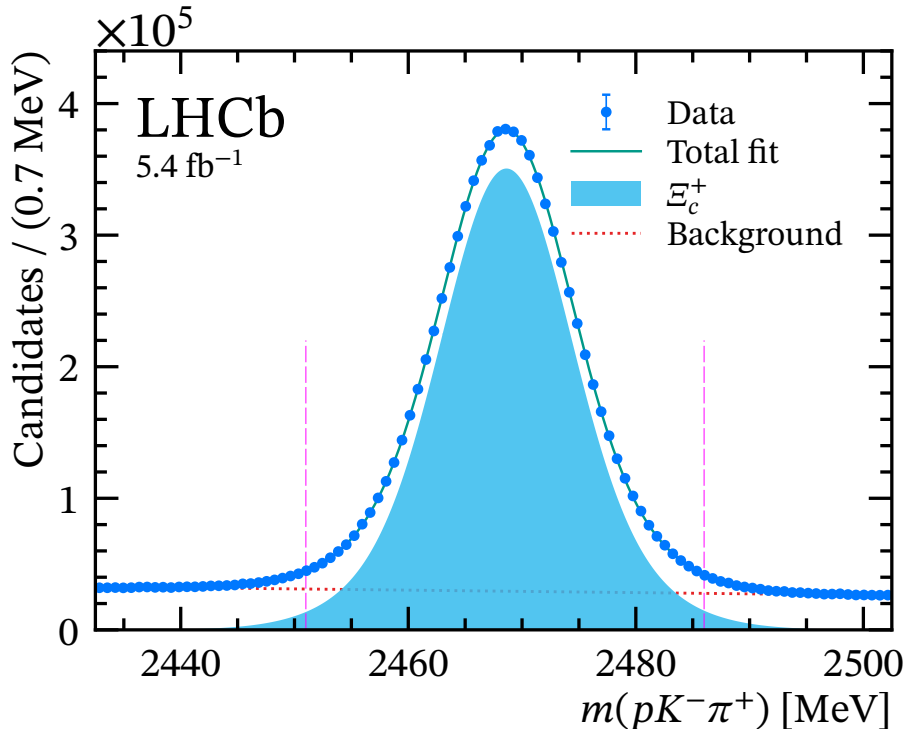


Figure 1: Distribution of $m(pK^-\pi^+)$ for candidates passing Ξ_c^+ selection requirements, together with the fit model. The dashed vertical lines delimit the mass window imposed for subsequent selection stages.

again derived from cross-validated data using the *sPlot* technique [51], following a fit to the quantity $m_{\text{corr}}[\Xi_c(2645)^0] \equiv m(\Xi_c^+\pi^-) - m(\Xi_c^+) + m_{\Xi_c^+}^{\text{PDG}}$, where the final entry corresponds to the known Ξ_c^+ mass [27]. Using this mass difference leads to improved resolution and, hence, higher signal purity. In the fit, the $\Xi_c(2645)^0$ signal peak is described by the convolution of a relativistic Breit–Wigner (RBW) function with a double Gaussian resolution function. Simulation is used to fix the parameters of the resolution function, with both widths free to vary in the fit through a common scale factor to account for possible mismodeling. The dominant background is combinatorial, either from genuine Ξ_c^+ baryons combined with an unrelated track, or fake Ξ_c^+ candidates. These components are described empirically by a function $f(x) = a(x - m_0)^k + b(x - m_0)$ with a , b , k , and m_0 as free parameters. Two additional peaking backgrounds are observed in the sample. The first occurs close to the signal peak, originating from $\Xi_c(2815)^0 \rightarrow \Xi_c(2645)^+(\rightarrow \Xi_c^+\pi^0)\pi^-$ decays, where the neutral pion is not reconstructed. The second occurs in the mass region around 2680 MeV, due to $\Xi_c(2790)^0 \rightarrow \Xi_c'^+(\rightarrow \Xi_c^+\gamma)\pi^-$ decays with the photon not reconstructed. Both of these partially reconstructed backgrounds are described using templates derived from a fast simulation software [55]. Their mass positions are allowed to shift through Gaussian constraints centered on zero and with widths 0.3 MeV and 0.5 MeV for the $\Xi_c(2815)^0$ and $\Xi_c(2790)^0$ decays, respectively, based on the experimental mass uncertainties of these states [27].

The weighted signal and background samples obtained from the *sPlot* fit are used to train a gradient Boosted Decision Tree (BDT) [56–58] with 14 input variables, one of which

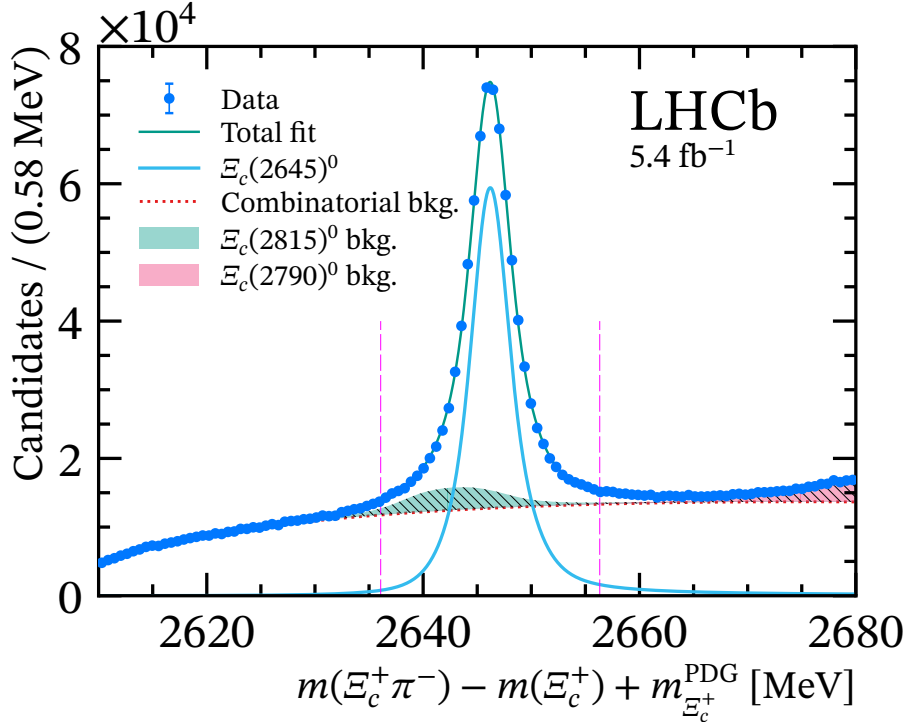


Figure 2: Distribution of $m_{\text{corr}}(\Xi_c(2645)^0)$ for candidates passing the second selection stage. The fit model is also shown for illustrative purposes. The dashed vertical lines enclose the mass region selected for subsequent analysis.

is the neural network output from the first selection stage. The other variables include PID and kinematic quantities for the pion, and topological quantities characterizing the $\Xi_c^+\pi^-$ vertex quality. A requirement is imposed on the BDT output to optimize the signal significance, which gives a signal (background) retention of 95% (74%). A mass requirement $2635 < m_{\text{corr}}[\Xi_c(2645)^0] < 2656$ MeV is then applied, containing 98% of the signal candidates. In total, around 56.5×10^4 $\Xi_c(2645)^0$ signal candidates are selected, with a purity of 52%. The mass distribution after all criteria have been imposed is shown in Fig. 2 along with the results of an illustrative fit with the same model as described above.

The final selection stage uses a third MVA to optimize possible Ξ_c^{*++} signal peaks in an unbiased way. Simulation is used as a proxy for the signal to train the classifier, with four samples generated with Ξ_c^{*++} mass assignments 2815, 2923, 2970, and 3055 MeV. The input variable distributions and classifier performance are similar for the different mass values, so these four samples are combined in the training. The background proxy sample is taken from data, combining the wrong-sign final states $\Xi_c^+\pi^+\pi^+$ and $\Xi_c^+\pi^-\pi^-$, which is found to provide a good description of the background entering the signal sample. A gradient BDT [59] is trained with 15 input variables covering kinematic, topological, and PID quantities. Following training, a requirement on the BDT output is imposed to maximize the quantity $\varepsilon/(5/2 + \sqrt{B})$ [60] where ε is the signal efficiency taken from simulation and B is the background yield under the signal peak of interest, taken from the wrong-sign sample. The optimal requirement is calculated separately for the four

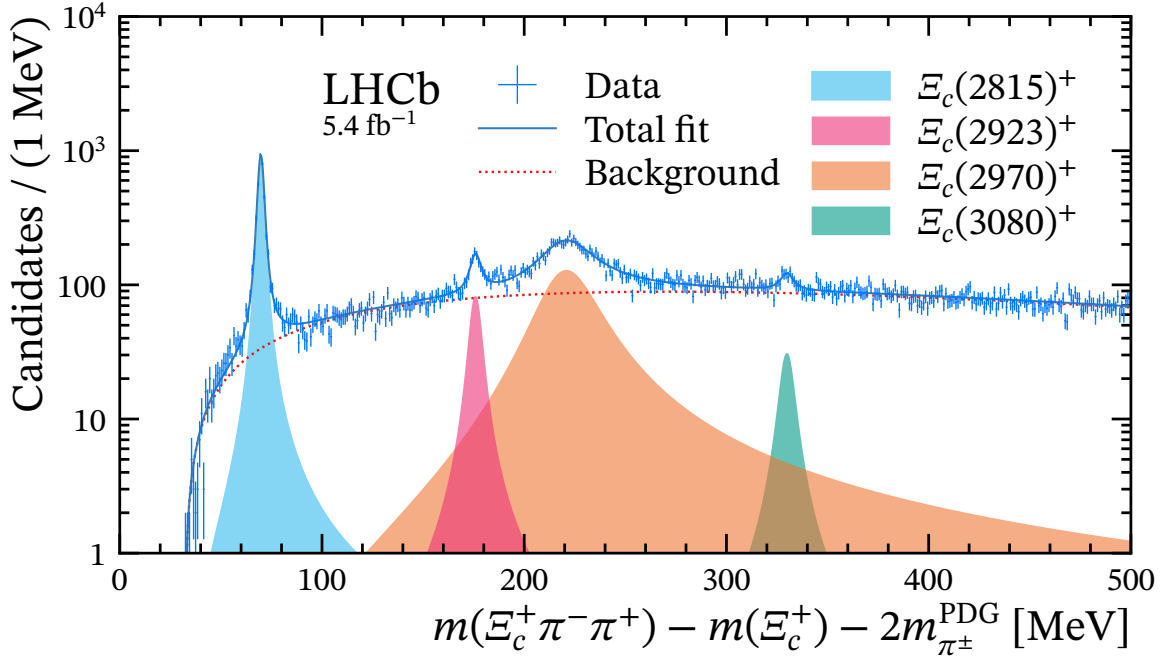


Figure 3: Distribution of ΔM for candidates passing all selection requirements, shown with the fit model. The individual signal components for the four observed states are drawn separately as filled curves, and the background as a dotted line.

mass assignments used in simulation, and exhibits a weak mass dependence. The chosen requirement is based on the $\Xi_c(2923)^+$ hypothesis, and retains 34% (0.7%) of signal (background) in the proxy samples.

A final requirement is imposed to reject cases where a single particle is reconstructed as two tracks, with both included in the final state. Such candidates are removed by requiring the two-dimensional angular separation between all pairs of final-state particles to exceed 0.08 mrad. Following the application of all selection criteria, 84% of events include only one selected Ξ_c^{*++} candidate. For events with multiple candidates, all are accepted, with an alternative treatment considered later as a cross-check.

The mass distribution for candidates passing all selection requirements is shown in Fig. 3, expressed in terms of the mass difference $\Delta M \equiv m(\Xi_c^+ \pi^- \pi^+) - m(\Xi_c^+) - 2m_{\pi^\pm}^{\text{PDG}}$. Four distinct peaks are observed above a smooth background rising from the kinematic threshold. These peaks are labeled as $\Xi_c(2815)^+$, $\Xi_c(2923)^+$, $\Xi_c(2970)^+$, and $\Xi_c(3080)^+$ based on their proximity to existing states [27], or in the case of $\Xi_c(2923)^+$ to the corresponding neutral state observed in decays to $\Lambda_c^+ K^-$ [32].

An extended unbinned maximum-likelihood fit is performed on the ΔM distribution to determine the masses and widths of these states, and their statistical significances. In this fit, the background is described by an empirical function $f(x) = x^k \exp(-cx)$, where $x \equiv (\Delta M - m_0)$, and k , c , and m_0 are free parameters. This model choice is motivated by studies of the wrong-sign samples. Each signal peak is described by the convolution of a relativistic Breit–Wigner function with a resolution function obtained from simulation. Different assumptions are tested for the Blatt–Weisskopf barrier factors [61] and orbital angular momentum of the states, with the results used to assign systematic uncertainties

as described later. The resolution function is determined using the four simulated Ξ_c^{*++} samples, which correspond quite closely in mass to the states observed in data. The ΔM resolution is determined from simulation, and is described by a double Gaussian function with a mass-dependent scale factor applied to both widths. This resolution varies from 1 MeV for the $\Xi_c(2815)^+$ state to 2.5 MeV for the $\Xi_c(3080)^+$ state.

The free parameters of the ΔM fit are the yields (N), peak positions, and widths (Γ) of each of the four signal peaks, the three background shape parameters, and the background yield. The absolute masses (m) are then determined from the peak positions in ΔM by adding the known Ξ_c^+ and π^\pm masses [27]. The fit model provides a good description of the data, with a χ^2 of 478 for 458 degrees of freedom. The parameters for the observed states are measured to be

$$\begin{aligned}
N[\Xi_c(2815)^+] &= 4072 \pm 77, \\
m[\Xi_c(2815)^+] &= 2816.65 \pm 0.03 \pm 0.03 \pm 0.23 \text{ MeV}, \\
\Gamma[\Xi_c(2815)^+] &= 2.07 \pm 0.08 \pm 0.12 \text{ MeV}, \\
N[\Xi_c(2923)^+] &= 738 \pm 76, \\
m[\Xi_c(2923)^+] &= 2922.8 \pm 0.3 \pm 0.5 \pm 0.2 \text{ MeV}, \\
\Gamma[\Xi_c(2923)^+] &= 5.3 \pm 0.9 \pm 1.4 \text{ MeV}, \\
N[\Xi_c(2970)^+] &= 6105 \pm 255, \\
m[\Xi_c(2970)^+] &= 2968.6 \pm 0.5 \pm 0.5 \pm 0.2 \text{ MeV}, \\
\Gamma[\Xi_c(2970)^+] &= 31.7 \pm 1.7 \pm 1.9 \text{ MeV}, \\
N[\Xi_c(3080)^+] &= 344 \pm 77, \\
m[\Xi_c(3080)^+] &= 3076.8 \pm 0.7 \pm 1.3 \pm 0.2 \text{ MeV}, \\
\Gamma[\Xi_c(3080)^+] &= 6.8 \pm 2.3 \pm 0.9 \text{ MeV},
\end{aligned}$$

where the first uncertainty is statistical, the second is due to experimental systematic effects discussed below, and the third uncertainty on the masses is from the limited knowledge of the Ξ_c^+ mass [27].

The statistical significance for the two lowest-yield peaks is determined from Wilks' theorem [62]. The $\Xi_c(2923)^+$ state is observed with over 10σ significance, representing the discovery of this state. The $\Xi_c(3080)^+$ state is observed with 5.4σ significance. To account for possible systematic effects from the choice of signal and background model, the calculation is repeated for the alternative model choices described below, and the lowest significance is quoted.

Various sources of systematic uncertainty are considered for the mass and width measurements, as summarized in Table 1. Alternative descriptions of the signal peaks are tested by varying the Blatt–Weisskopf radius used in the RBW function from its baseline value of 3.1 GeV^{-1} to 2.0 GeV^{-1} and 4.0 GeV^{-1} . In addition, the assumed orbital angular momentum of the decay products, L , is varied independently for all four observed states to cover any physically allowed values between $L = 0$ and $L = 2$. The standard deviation of each signal parameter across the full ensemble of alternative signal models is taken as a systematic uncertainty (labeled *RBW* in Table 1). In the baseline fit no interference is included between the observed states. The $\Xi_c(2970)^+$ resonance has a significant overlap with both the $\Xi_c(2923)^+$ and $\Xi_c(3080)^+$ peaks, and so any interference could influence

Table 1: Summary of uncertainties on all measured parameters (in MeV), including a breakdown of systematic uncertainties as described in the text. Entries marked with a dash are not relevant for that measurement.

Contribution	$\Xi_c(2815)^+$		$\Xi_c(2923)^+$		$\Xi_c(2970)^+$		$\Xi_c(3080)^+$	
	m	Γ	m	Γ	m	Γ	m	Γ
RBW	0.00	0.02	0.09	1.12	0.15	0.55	0.02	0.68
Interference	0.00	0.01	0.53	0.70	0.44	1.05	1.26	0.65
Resolution	0.00	0.12	0.00	0.11	0.01	0.04	0.00	0.08
Background	0.00	0.01	0.01	0.32	0.11	1.51	0.04	0.08
Mom. scale	0.02	—	0.05	—	0.07	—	0.10	—
Energy loss	0.02	—	0.02	—	0.02	—	0.02	—
Sel. bias	0.02	—	0.02	—	0.02	—	0.02	—
Total syst.	0.03	0.12	0.54	1.36	0.48	1.92	1.27	0.95
Stat.	0.03	0.08	0.28	0.87	0.46	1.68	0.72	2.28

the measured parameters. This is tested by performing two additional fits, independently allowing interference between each pair of adjacent states, and assigning a systematic uncertainty equal to the maximum shift in each parameter compared to the baseline result (*Interference*).

Potential mismodeling of the detector resolution in simulation is tested by multiplying the Gaussian widths for all resolution functions by a scale factor of 0.9 or 1.1 and repeating the fits. This range is chosen based on detailed studies of the $\Xi_c^+(2645)$ peak in data and simulation. Systematic uncertainties on fit parameters are then assigned as their maximum shifts (*Resolution*). The description of the background component in the fit to the Δm distribution is varied by using a third-order polynomial function, and associated systematic uncertainties are assigned as the shift in fit parameters from their baseline values (*Background*).

The mass measurements may be affected by the LHCb momentum scale calibration (*Mom. scale*) and by mismodeling of material leading to incorrect energy loss assumptions in simulation and particle reconstruction (*Energy loss*). Previous studies indicate that the former effect is associated with a systematic uncertainty corresponding to 0.03% of the Q-value for the decay [63]. The systematic uncertainty due to imperfect modeling of the energy loss is assigned as 0.02 MeV for all mass measurements, corresponding to 0.01 MeV for each of the two pion tracks entering the ΔM calculation [63]. The impact from other final-state particles cancels in the mass difference. In addition, a potential bias in the mass measurements originating from the event selection is tested by comparing the reconstructed masses in simulation before and after the offline selection requirements are imposed. A small shift of 0.016 MeV is found, consistent for all four states, which is assigned as a systematic uncertainty (*Sel. bias*). No correction is applied as this shift is found to be compatible with zero.

Several cross-checks are performed to confirm the robustness of the results. The fit is repeated separately for each of the two Ξ_c^\pm charges, for each of the two LHCb dipole magnet polarities, and for each of the three data-taking years. In all cases the results are self-consistent. Fits are also performed with additional resonances allowed to contribute,

namely $\Xi_c(2939)^+$, $\Xi_c(3055)^+$, and $\Xi_c(3123)^+$ states. These are added individually to the fit, with their masses and widths constrained from external measurements [27]. In all cases the results are stable compared to the baseline fit, and no evidence is found for the presence of these extra states in the data sample.

In conclusion, this Letter presents a search for excited Ξ_c^+ states decaying to $\Xi_c(2645)^0\pi^+$, with $\Xi_c(2645)^0 \rightarrow \Xi_c^+\pi^-$ and $\Xi_c^+ \rightarrow pK^-\pi^+$. Four states are observed with high significance, including the discovery of the $\Xi_c(2923)^+$ baryon, and the first observation of the $\Xi_c(3080)^+$ baryon in this final state. Masses and widths are measured for all four states, and are competitive with, or more precise than the existing world averages. The natural width of the $\Xi_c(2970)^+$ state is not consistent with that of the $\Xi_c(2965)^0$ baryon observed in the $\Lambda_c^+K^-$ mass spectrum [32], indicating that the two are different excited Ξ_c states. This continues the success of the LHCb experiment in discovering new hadronic states and precisely measuring their properties, a key input for understanding QCD in the nonperturbative realm.

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End Matter

In the fit to the ΔM distribution, the peak positions are free parameters, denoted $\delta M(X)$ for state X . The values returned by the fit are

$$\begin{aligned}\delta M[\Xi_c(2815)^+] &= 69.80 \pm 0.03 \pm 0.03 \text{ MeV}, \\ \delta M[\Xi_c(2923)^+] &= 175.95 \pm 0.28 \pm 0.54 \text{ MeV}, \\ \delta M[\Xi_c(2970)^+] &= 221.77 \pm 0.46 \pm 0.48 \text{ MeV}, \\ \delta M[\Xi_c(3080)^+] &= 329.92 \pm 0.72 \pm 1.27 \text{ MeV},\end{aligned}$$

where the first uncertainty is statistical and the second is due to experimental systematic effects. These measurements are converted into absolute mass measurements for the excited baryons, as reported in the paper, by adding the masses of the two charged pions and the Ξ_c^+ baryon ($2467.71 \pm 0.23 \text{ MeV}$) taken from Ref. [27].

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