CONSIDERATIONS ON LONGITUDINAL STABILITY AND BEAM-EQUIPMENT INTERACTIONS IN THE PSB

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1. INTRODUCTION

Our knowledge of collective effects in circular accelerators has been reviewed successively by Teng^1 , $\mathrm{Courant}^2$, $\mathrm{Sessler}^3$, Neil^4 , Kolomenskij and $\mathrm{Lebedev}^5$, and $\mathrm{Courant}^6$. We attempt here to extract from this knowledge what is relevant for the longitudinal motion in the PSB, and to apply it numerically as regards beam-equipment interactions. We shall begin by putting these particular interactions in their context.

Through a number of different mechanisms operative on the longitudinal motion, collective effects may produce the ultimate common result that the intensity and/or the density of the protons which can be accelerated are smaller than would be the case in the absence of these effects. While circulating in a synchrotron, protons experience the superposed action of the various perturbing fields and a complete theory should therefore treat all effects simultaneously. However, as each effect in itself is already so complicated that it can only be dealt with in an approximate fashion (due to, amongst other things, the non-linearity of space charge and RF-fields and the different time-variation of the self-fields), it is customary to deal with them only one or two at a time. A better justification for this procedure is the fact that in some machines the perturbing fields are often small with respect to the external accelerating fields and can thus be taken into account by using perturbation methods.

Coulomb repulsion between the protons circulating in a loss-less (i.e. $\sigma = \infty$) vacuum chamber reduces the area of stable motion (RF "bucket") below transition energy (and increases it above transition; see Ref. 7-10 and papers quoted concerning the motion of individual particles). This effect can be compared to the incoherent space-charge detuning (Laslett tune shift) in the transverse phase plane. In both cases we deal with a stationary situation, i.e. inside the stable phase space area the charge distribution is supposed to be independent of time (except for adiabatic effects). As regards the PSB,

the potential "bucket" reduction was offset by raising the RF voltage from 10 to 12 kV. - Above transition energy the same Coulomb forces can lead to the negative mass instability 10,11) (which affects the whole beam, corresponding to the coherent space-charge limit in transverse phase space) but for once we obviously need not be concerned, the PSB working always below transition. In contrast, the related two-stream instability 11) may also occur below transition, but its excitation requires two maxima in the equilibrium energy distribution, which we do not normally expect in the PSB.

Next we list the various aspects of beam-cavity interactions, i.e. effects due to the voltages induced in the RF cavity(ies) by the circulating proton current (beam loading). We separate somewhat arbitrarily the stationary effects 12,13) from the dynamic effects. the former we refer to those due to the unmodulated voltage induced at RF frequency. While these stationary effects are in their result similar to those of the Coulomb repulsion (i.e. a change of effective RF voltage, and in the present case also of RF phase), we simply rely on the AVC and the phase lock systems of the PSB to correct them. The coherent bunch oscillations are a special class of dynamic beam-cavity interactions. If all bunches tend to oscillate simultaneously in phase, this tendency should of course be suppressed rapidly by the phase lock system. ever, in a standard synchrotron usually no deliberate damping mechanism is provided for longitudinal oscillations of individual bunches with respect to other bunches (leaving the total centre of gravity unaffected). Such oscillations are essentially due to a modulation of the accelerating voltage per turn and have been observed notably at the AGS and the CPS 14,15) Gumowski has started a study in 1968 in view of the specifications of the quality factor and the impedance of the PSB RF cavity, and this work is now being finalised 16). For completeness sake we mention that some coherent bunch shape oscillations would be taken care of by the PSB phase lock system 17). Finally there are the coherent beam effects which we shall treat as part of the general beam-equipment interaction.

These general beam-equipment interactions being the substance of this report, we shall deal with them in the following more extended manner. First we present the physical mechanism in descriptive terms and we sketch briefly the mathematical method, and then we retrace the historical development of the subject. In Section 3 we present the necessary formulae and apply them to the PSB in Section 4.

2. BASIC THEORY

At the outset interactions with non-resonant and resonant equipment, respectively, were treated completely separately. However, as
the unifying concept of the equipment impedance with respect to the
beam perturbation current developed (based on a number of simplifying
assumptions), it became possible to work in terms of a single formalism
and simply to add suitably the different contributions from resistive
walls, cavities, fast "kicker" magnets, etc. and even from the Coulomb
repulsion.

Let us discuss the basic mechanism of the instabilities for the case of a coasting unbunched beam ⁵⁾. The nth azimuthal harmonic of a proton density fluctuation represents a travelling wave $\sim \exp$ j($\omega t - n\theta$) where ω is the unknown radian frequency and $\theta = \omega_0 t$ (with ω_0 as the angular particle velocity) is the azimuthal position. Assuming $\omega = n\omega_0 \pm n \Delta \omega_0(n)$ (where $\Delta \omega_0 << \omega_0$), the speed of propagation of the perturbation wave along the beam in direction of increasing θ is

$$v_W = \beta_W c = \omega R_m / n = [\omega_o \pm \Delta \omega_o (n)] R_m$$

where R is the mean machine radius. In other words one has a fast wave with ω_0 + $\Delta\omega_0$ and a slow wave with ω_0 - $\Delta\omega_0$. As particles move up to the peak of the slow wave they are being braked by the tail fields, and hence the particle density increases.

The standard mathematical method 3,18,19,20) is based on the collisionless Boltzmann (Vlassov) equation. The more important assumptions and approximations are:

- i) Perturbation theory is applicable, i.e. the "equipment" creates only a "small" change of the basic situation
- ii) energy losses are small enough to permit the use of the standard Hamiltonian formalism
- iii) large use is made of average fields (in particular use of the average electric field on the beam axis, neglecting any radial dependence)
- iv) the beam is unbunched in most cases.

Assuming a distribution function, $\Psi(q, p, t)$, the problem consists in finding the complex frequency shifts of the perturbation waves from solving Vlassow's equation $(d\Psi/dt = 0)$ because of ii)

$$\frac{\partial d}{\partial h} \frac{df}{dd} + \frac{\partial d}{\partial h} \frac{df}{dd} + \frac{\partial f}{\partial h} = 0 \tag{1}$$

where q and p are a set of generalised coordinates and momenta describing the dynamical behaviour of a particle. To obtain the derivatives $dq/dt (= \partial H/\partial p)$ and $dp/dt (= - \partial H/\partial q \propto \overline{E}_{\rm s~perturb.})$, one has to introduce into the Hamiltonian the assumed distribution function (along with the perturbation fields obtained from Maxwell's equations). This "loop" method then automatically insures approximate selfconsistency (dynamical equilibrium) of the perturbation in the sense that the resulting distribution will at the same time produce the perturbation fields and be the result of the forces of these particular fields.

In view of the difficulty in solving (1), Ψ is often assumed to be of the form (unbunched beam)

$$\Psi(q,p,t) = \Psi_{0}(p) + \Psi_{1}(q,p,t)$$
 (2)

where Ψ_0 is known and Ψ_1 is a small perturbation term. Furthermore substituting (2) into (1) and keeping terms of first order only yields a linear equation defining Ψ_1 . Considering in this equation ω as the

unknown of interest leads to a dispersion relation, i.e. a relation connecting the unknown frequency ω with the wavelength $(\lambda = 2\pi R_m/n)$ of the perturbation. It is often written as

$$1 = - (U - jV) \int \frac{d\Psi_0}{dp} \frac{dp}{\omega - n\theta}$$
 (3)

where (U - jV) is proportional to the perturbing force with the "conservative" term U mainly determining the threshold and V the growth of the instability (for V << |U|).

After the basic work by Kolomenskij and Lebedev¹⁰⁾, and Nielson, Sessler and Symon¹¹⁾, Neil, Judd and Laslett²¹⁾, and Laslett, Neil and Sessler²²⁾ studied the case of coupling instabilities in a coasting beam due to resonant cavities.

The details of the theory of longitudinal resistive instabilities were worked out by Neil and Sessler 23). Briggs and Neil 24,25) discuss the stabilisation of beams by means of inductive walls, and Sessler and Vaccaro by means of a helical insert 26). Vaccaro 18), and Sessler and Vaccaro by means of a helical insert 26). Vaccaro 18) and Sessler and Vaccaro extended the theory to vacuum chambers with walls of arbitrary electrical properties, Zotter 27) to laminated chambers (also useable for stabilisation), Courant 28) considered the effect of using insulation between vacuum chamber sections, and Keil and Zotter 29) worked out in detail the case of periodically changing chamber cross-section ("bellows"). Continuing the work of Pease 30) (who, in addition to the Gaussian and Lorentz energy distribution used previously, introduced four further energy distributions:

rectangular
$$F_{o}(\xi) = \frac{1}{2} ,$$
elliptic
$$F_{1/2}(\xi) = \frac{2}{\pi} \sqrt{1 - \xi^{2}} ,$$
parabolic
$$F_{1}(\xi) = \frac{3}{4} (1 - \xi^{2}) ,$$
and quartic
$$F_{2}(\xi) = \frac{15}{16} (1 - \xi^{2})^{2}, \text{ approaching } \cos^{2}),$$

Ruggiero and Vaccaro²⁰⁾ studied a total of nine distributions and gave

^{*)} In this case, sufficiently small cavity impedance or a radial beam control system is required to ensure the validity of ii).

a stability diagram in terms of the reduced quantities

$$U' - jV' = 2(U - jV)\omega_0/\pi n[(\Delta E)^2 d\omega_0/dE]$$
 (4)

where ΔE is the full energy spread measured at the half height of the distribution function. Keil and Schnell³¹) linked these quantities to the coupling impedance (used previously by Lebedev and Zhilkov³²) and Sessler and Vaccaro^{18,19})

$$Z = R + jX = -\frac{2\pi R_{m}\overline{E_{s}}}{I} = j\frac{2\pi(U - jV)}{\omega_{o}N e^{2}}\frac{\beta}{\beta_{W}}$$
(5)

where I = perturbed beam current, \overline{E}_s = average longitudinal electric field produced by I and β = reduced particle velocity), thereby establishing a physically more obvious relation with the electromagnetic properties of the arrangement formed by the beam and the equipment (such as vacuum chambers, electrodes, kicker magnets, etc.). For $\beta_W = \beta$ this relation can be expressed as 31)

$$U' - jV' = -j \frac{Ne^2 \omega_0^2 Z}{\pi^2 n d\omega_0 / dE (\Delta E)^2}$$
 (6a)

or

$$U' - jV' = -j \frac{2}{\pi} \frac{I_o e \gamma}{E_o \eta} \frac{Z}{n(\Delta p/m_o c)^2}$$
(6b)

where I is the average proton current and e, γ , η , Δp , m and c have their usual meanings, Δp being again the full width at half height.

These theories have been applied to the AGS^{21,34)} and its proposed booster³⁴⁾, the Bevatron^{21,23)}, the Cambridge Electron Accelerator¹²⁾, the Cosmotron³³⁾, the CPS ^{21,35,36)}, the ISR^{18,20,29,37)}, the MURA electron accelerator^{22,23,24,25)} and the 300 GeV machine^{38,39,40)}

As these instabilities may occur below transition energy, we have to look at them for the PSB (though hopefully we can be some-

what less concerned than the ISR people with their long beam life times or the CPS people with their stringent requirements for uniformly debunched beams). While the existing theory (for unbunched beams) is directly applicable at injection, it would be desirable to have explicit solutions of the theory for bunched beams 41 to 45) for the other parts of the PSB cycle. This corresponds to using $\psi_0(q,p)$ in (2) instead of $\psi_0(p)$, and including the RF field in the Hamiltonian (thereby increasing the difficulty of the problem).

If one takes into account the internal degrees of freedom of a bunch one comes to a situation corresponding to the "head-tail effect" in transverse phase space. Hereward has made first estimates of possible high-order bunch-shape instabilities from longitudinal shortmemory wake field in the CPS 46).

3. FORMULAE USED FOR EVALUATING BEAM-EQUIPMENT INTERACTIONS

(MKS units are used throughout unless specified otherwise)

3.1 Admissible total coupling impedance

The standard equation 31) is used, viz

$$\frac{|z|}{n} = \frac{0.7\pi}{2} E_0 \left[\frac{|\eta|}{\gamma} \left(\frac{\Delta p}{m_0 c} \right)^2 \right]$$
 (7)

This equation applies to an unbunched beam and therefore to the PSB at injection. However, to get a "feel" for the situation, we have also put in numbers corresponding to a bunched beam. While aware of Ref. 33, we use in the latter case $I = I_{mean}/B$ where B is the bunching factor (< 1).

3.2 Individual coupling impedances

a) RF accelerating cavity

The appropriate quantity is

$$Z_{c} = \frac{\Delta V_{c}}{\Delta i_{b}}$$
 (8)

where ΔV_c is the change of cavity voltage produced by a change of beam current Δi_b with the PA switched on and the relevant servoloops working. While awaiting measured values of Z_c for the production cavities, the following formula is used for arriving at approximate values

$$Z_{c} = \frac{1/Rg - j \omega C_{c} [1 - (\omega_{c}/\omega)^{2}]}{1/R_{g}^{2} + \omega^{2} C_{c}^{2} [1 - (\omega_{c}/\omega)^{2}]^{2}}$$
(9)

where $R_g = equivalent$ shunt resistance

 $C_{\mathbf{c}}$ = equivalent resonator capacity

 ω_{c} = resonant radian frequency

 ω = $n\omega_0$ = radian frequency of mode considered (with ω_0 = angular particle frequency)

and the standard electrical engineering convention has been adopted for the sign, i.e. j for an inductive and -j for a capacitive impedance.

$$\omega = \omega_{c}$$

This case is disregarded, assuming that the AVC is sufficiently strong to reduce \mathbf{Z}_{c} to admissible values 47 , and that any remaining tendency for self-bunching would anyway not be harmful at this frequency.

Values are taken from the measured curves $Z_{c}(f)$.

b) Space charge (infinitely conducting wall)

$$Z_{\rm sp,c}/n = -j g_o Z_o/(2\beta\gamma^2)$$
 (10)

where $g_0=1+2\ln\frac{a_{\rm vc}}{a_{\rm beam}}$ is the usual geometric term of the beam-chamber capacitance, and $Z_0=(c\epsilon_0)^{-1}=377~\Omega$ is the impedance of free space.

c) Insulated vacuum joints

These joints present a complex impedance, depending on the earthing of the vacuum chamber, etc. For the present purpose they are assumed to be purely capacitive, i.e.

$$Z_{j}/n = -j^{2}/(n^{2} \omega_{o} C_{j})$$
 (11)

where C is the capacitance of the joint.

d) Ferrite kickers

While awaiting measurements of the production kickers we use the values computed by Brückner 48).

$$Z_{w}/n = (1 + j)R_{PSB} R_{surf}/(n a_{vc})$$
 (12)

where $R_{surf}=\sqrt{\frac{n~\omega_0~\rho~\mu}{2}}$ is the surface resistance with ρ the wall resistivity (in $\Omega m)$.

f) Bellows 20)

$$Z_{h}/n = j Z_{o} \beta \tau \alpha/a_{vc}$$
 (13)

where τ is the corrugation depth and α is the fraction of the circumference with bellows.

g) Electrodes¹⁹⁾

$$Z_{e}/n = 2j \, M \, \ell_{e} \, \beta \, Z_{\ell}/[2R_{PSB}(1 + j \, n \, \omega_{o} CZ_{t})]$$

where M = number of electrodes of length ℓ_e

C = electrode capacitance

 $Z_{\pm} = impedance of termination.$

4. NUMERICAL RESULTS

4.1 "Critical" total impedance (Equ. 7)

Input data and results are as follows.

	Injection (before trapping)	Transfer
В	1.0	0.27
η	- 0.85	- 0.24
I _O [A]	0.3	2.4
Δ p m c	0.51 x 10 ⁻³	1.84×10^{-3}
$\frac{ z }{n}[\Omega]$	725	190

4.2 Individual contributions

a) RF cavity

Values of Z_c/n are shown below (using $C_c=120$ pF and in Equ. 9 and measured values 49) where appropriate).

n	$Z_{\rm C}/{\rm n}[\Omega]$ at injection	$\frac{Z_{\mathrm{C}}}{n[\Omega]}$ at transfer
1 - 3 4 6 7 8 18 48	3 + j 130 17 + j 245 17 - j 200 4 - j 90 2 - j 55	j 35 j 92 - j 75 - j 28 - j 16 35

b) Space charge 50)

Using $g_0 = 2.9$ at injection and $g_0 = 4.3$ at transfer we obtain from Equ. 10 :

$$\frac{Z_{\text{sp.ch}}}{n} = -j 1560 \Omega$$
 and $-j 280 \Omega$ respectively.

c) Vacuum joints (Equ. 11)

With $C_j = 20$ nF we have the maximum values (n = 1)

$$Z_j/n = -j 13.4 \Omega$$
 and $-j 5.1 \Omega$ respectively.

d) Kickers

The following values of $\Sigma |Z_K|/n$ at injection are used ⁴⁸)

	n = 5	13	67
Σ Z _K /n	9	10	7.5

e) Resistive wall (Equ. 12)

With $\rho=1.2\;10^{-6}\,\Omega\!m$ and $a_{_{\mbox{Ve}}}=0.05\;m$, the largest contribution (n = 1) becomes at injection

$$Z_w/n = (1 + j) 0.85 \Omega.$$

f) Bellows (Equ. 13)

Taking τ =0.003 m, $a_{\rm vc}$ =0.043 m and α = 1/3, we obtain $Z_{\rm h}/n$ = j 2.8 Ω and j 7.4 Ω , respectively.

g) <u>Electrodes</u> (Equ. 14)

$$\Sigma Z_e/n \approx j l \Omega$$
 for n < 150.

5. GROWTH TIMES

Assuming a monoenergetic beam (conservative case) below transition, and V << |U|, the slow wave grows with an e-folding time τ_0 given by

$$\tau_{o} = \frac{2}{V} \left[\frac{2\pi |V|}{n \omega_{o}(d\omega_{o}/dE)} \right]^{1/2}, \qquad (15)$$

or, with Equ. (5), $\frac{d\omega_o}{dE} = -\frac{\omega_o \eta}{E_0 \beta^2 \gamma}$, $\beta_W = \beta$ and $I_o = Ne \omega_o/2\pi$

$$\tau_{o} = \frac{2}{R\omega_{o}} \left(\frac{2\pi E_{o} [eV]^{\beta^{2}} \gamma |X|}{n |\eta| I_{o}} \right)^{1/2}.$$
 (16)

For injection into the PSB this becomes

$$T_{o[s]} = 0.026(|x|/n)^{1/2}/R$$
 (16 a)

Selected values of T are shown in the table below.

n	R	χ	o
	[Ω]	[Ω]	[ms]
3	11.55	4290	86.6
4	71.4	5260	13.5
6	113.0	10570	9.7
7	33.95	11550	31.8
8	22.8	12920	46.6

6. DISCUSSION AND CONCLUSIONS

In most cases preliminary impedance values have been used, to be replaced by the final values once these have been measured. Of the "equipment" studied, only the contribution from the RF cavity and the "space charge" are really important, with the kicker magnets and the (shunted) vacuum joints still contributing noticeably.

The most uncomfortable situation appears at injection for n = 6 where we have

$$\Sigma Z/n \approx (Z_c + Z_{sp.c.})/n \approx - j 1800 \Omega$$

or more than twice the "critical" value. (At transfer, the corresponding figure is - j 355 Ω , which is above the "critical" value given but would be below if B = 1 had been used; it is understood, of course, that anyway the theory is not strictly appropriate to a bunched beam.) With respect to the coupling impedances the situation at the CPS is comparable (see Appendix) but the e-folding times are an order of magnitude smaller. To our knowledge no longitudinal insta-

bilities have been identified (at injection), though there exist of course some unexplained beam losses.

If it should turn out that the overall beam coupling impodance in the PSB becomes troublesome, addition of inductive elements could be considered 24,25). Using the same ferrite rings as for the wide band beam observation station ($\mu=850$), a ferrite cylinder of D_{i} = 160 mm, D_{o} = 240 mm and L = 0.7 m mounted concentrically with the beam (in a long straight section) would lower the total n = 6 coupling impedance at injection to the "critical" value 51) (6 x 725 Ω).

A programmed decrease of this extra induction (of 47.5 μH) could be necessary during the acceleration in order to match the decrease of the "critical" impedance.

ACKNOWLED GEMENT S

This report benefited from discussions with several colleagues, notably U. Bigliani, I. Gumowski, G. Nassibian and D. Zanaschi.

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APPENDIX

Situation at the CPS at injection

1. With I = 100 mA (2 x 10^{12} accelerated, $50^{\circ}/o$ injection and trapping efficiency)

$$\eta = -0.873$$

we find for the critical coupling impedance (Equ. 7) for various energy spreads (due to linac and debuncher settings, and space charge action in the transport line)

$$\Delta E[keV]$$
 150 200 250 300 $|Z|/n[k\Omega]$ 2.24 3.98 6.20 8.96

- 2. We compute the impedances for the most important components, i.e. the longitudinal space charge and the cavities
 - a) Space charge

Taking
$$g_0 = 2.8$$
 ($a_{vc} = \sqrt{72.5 \times 34} = 50 \text{ mm}, a_b = 20 \text{ mm}, i.e.$
 $\epsilon = 25 \cdot 10^{-6} \text{ rad m}$)

one has

$$\frac{Z_{\text{sp.ch.}}}{n} = - \text{ j } 1530 \Omega.$$

b) RF cavities

Assuming the cavities are an RLC parallel circuit for the beam with the values 52)

$$C = 120 \times 10^{-12} F$$

and $R = 14.5 \times 10^3 \Omega$

we have for 14 cavities

n*)	19	21
$\frac{Z_{c}}{n}(k\Omega)$	0.875 + j 3.19	0.865 - j 3.02

^{*)} For n = 20 we have to take into account an AVC reduction factor of 6 to 15.

Thus, we have for the most dangerous case (n = 21) a total coupling impedance of

$$\frac{Z_{\text{tot}}}{n} = 0.865 - j 4.53 k\Omega$$

3. The corresponding e-folding time (for $\Delta E=0)$ is (Equ. 16) $\tau_o \approx 0.65 \ \text{ms}.$

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