



VELO Vertexing and Tracking Algorithms of the LHCb Trigger System

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- **The challenge at LHCb**
- **LHCb trigger overview**
- **L0**
 - Overview
 - Algorithms
- **L1**
 - Overview
 - Algorithms
 - Timing
- **Conclusions**

- **pp interactions at $s^{1/2} = 14\text{TeV}$**
- **40MHz bunch crossing**
- **Average luminosity “modest” $2 \cdot 10^{32}\text{cm}^{-2}\text{s}^{-1}$**
- **Visible interactions at 10MHz**
 - 100kHz $b\bar{b}$ events
 - 15% with all decay products of at least one **B** contained in detector
 - Branching ratio of interesting channels 10^{-3} to 10^{-7}
- **Write events at 200Hz**
 - Not just any old events but very interesting **b** ones of course!

LIKE LOOKING FOR A NEEDLE IN A SHARK-INFESTED OCEAN FULL OF HAYSTACKS

- **Fortunately B events have**
 - Displaced vertices
 - High p_T particles from B decays
- **Exploit this in a three-level trigger system**
 - **L0** hardware
 - **L1**, HLT software on dedicated PC farm

This talk will concentrate on the L0 and L1 vertexing and tracking algorithms

LHCb trigger overview



40 MHz

L0

- Charged track multiplicity
- Number of interactions
- Total hadronic E_T
- Large p_T , E_T lepton, hadron, γ

1MHz

L1

- Track p_T
- Impact parameter
- $\mu\mu$ invariant mass
- $e, \gamma E_T$

40kHz

HLT

- High P_T , E_T
- Displaced vertex
- B candidate mass

200Hz



Hardware on customised electronics

- Synchronous
- Latency $4\mu\text{s}$, $2\mu\text{s}$ for data processing

Software on Linux PC farm

- Asynchronous
- Latency up to 58 ms

Software on L1 PC farm

- Use vacant CPU power
- Close to offline quality reconstruction
- Full LHCb tracking
- Particle ID
- Channel-specific event selection

Detectors in Trigger

VELO:
primary vertex
impact parameter
displaced vertex.
L1

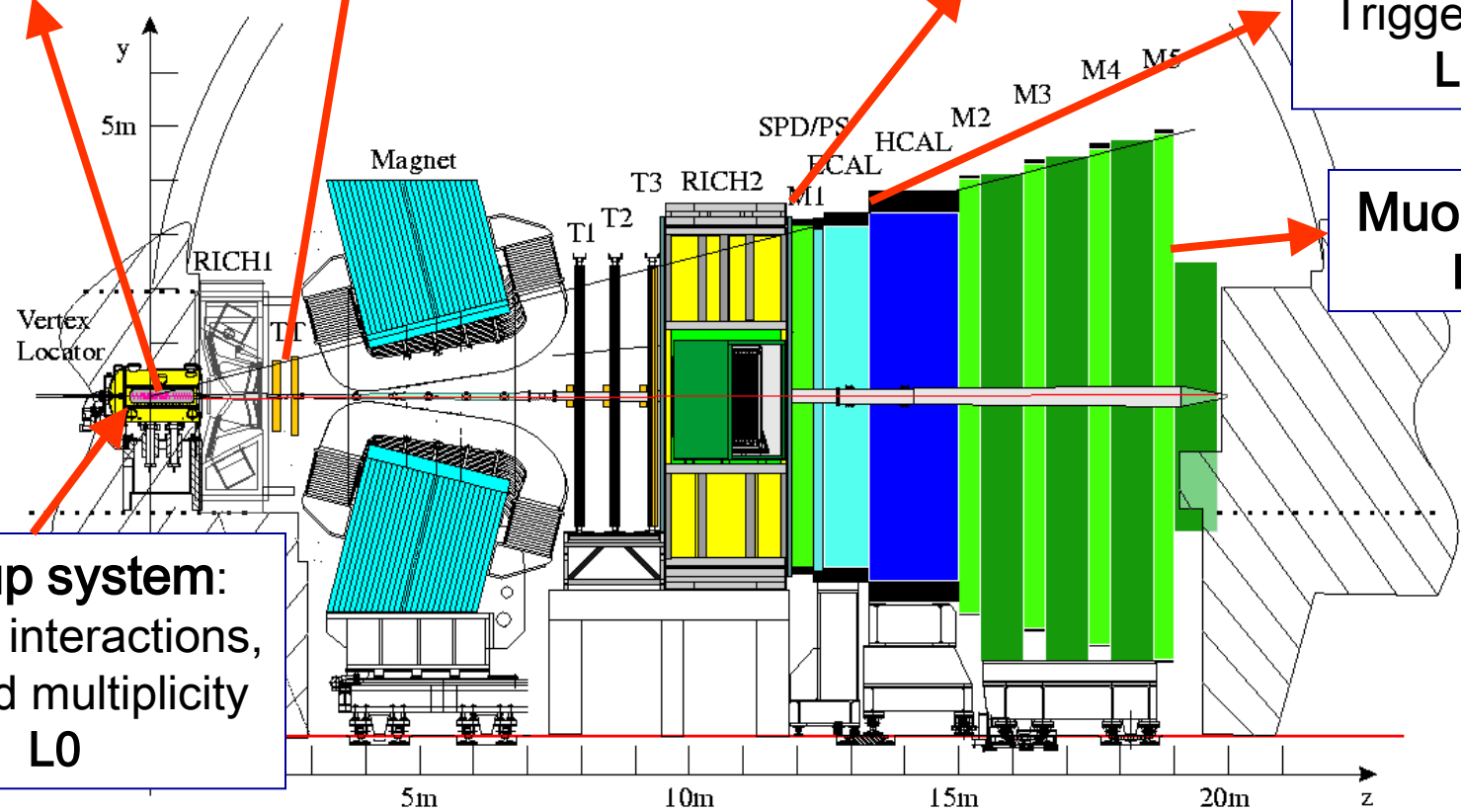
Trigger Tracker:
 p, p_T
L1

SPD:
Charged multiplicity
L0

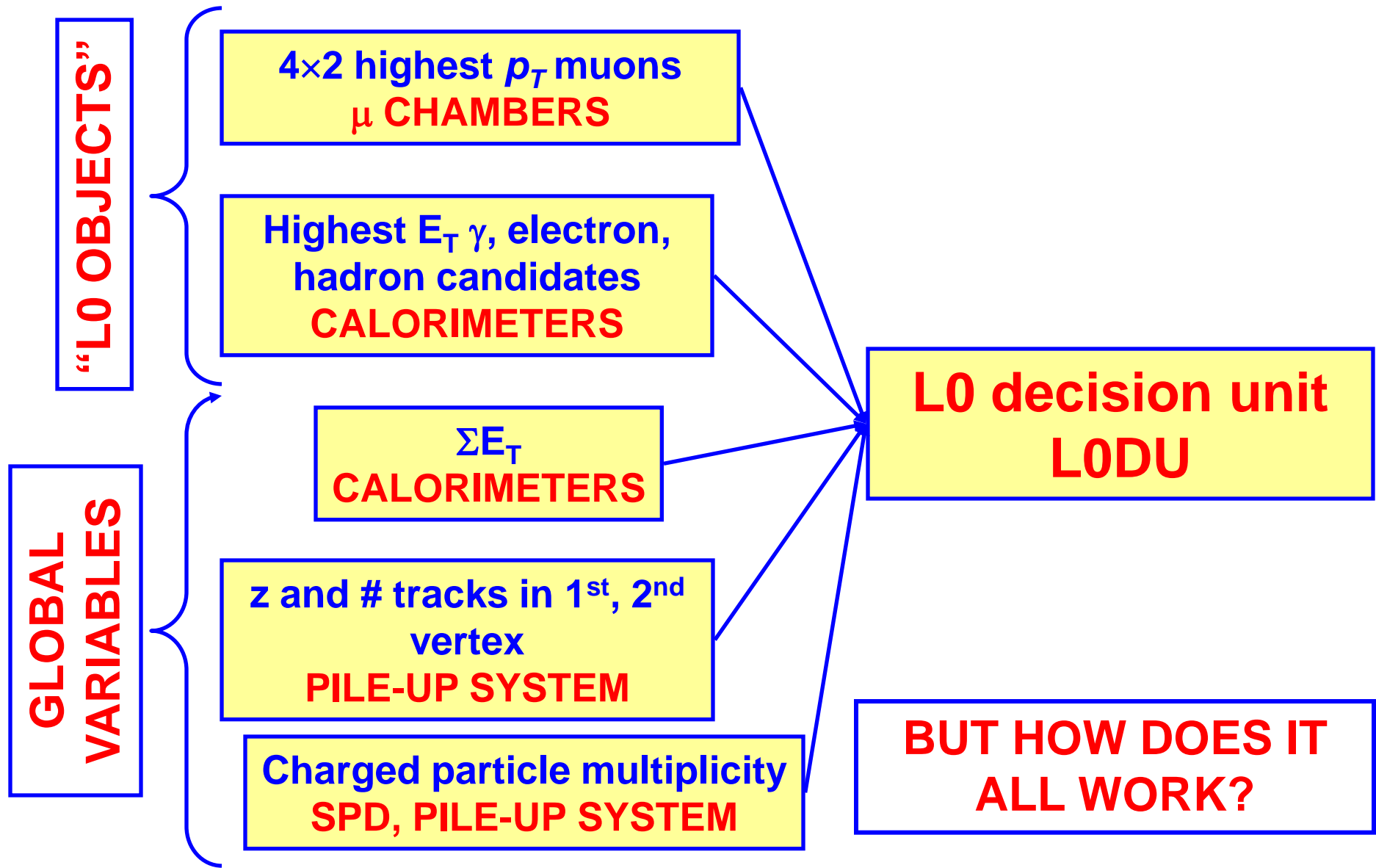
Calorimeters:
PID: e, γ, π^0
Trigger on hadr.
L0, L1

Muon System
L0, L1

Pile-up system:
multiple interactions,
charged multiplicity
L0

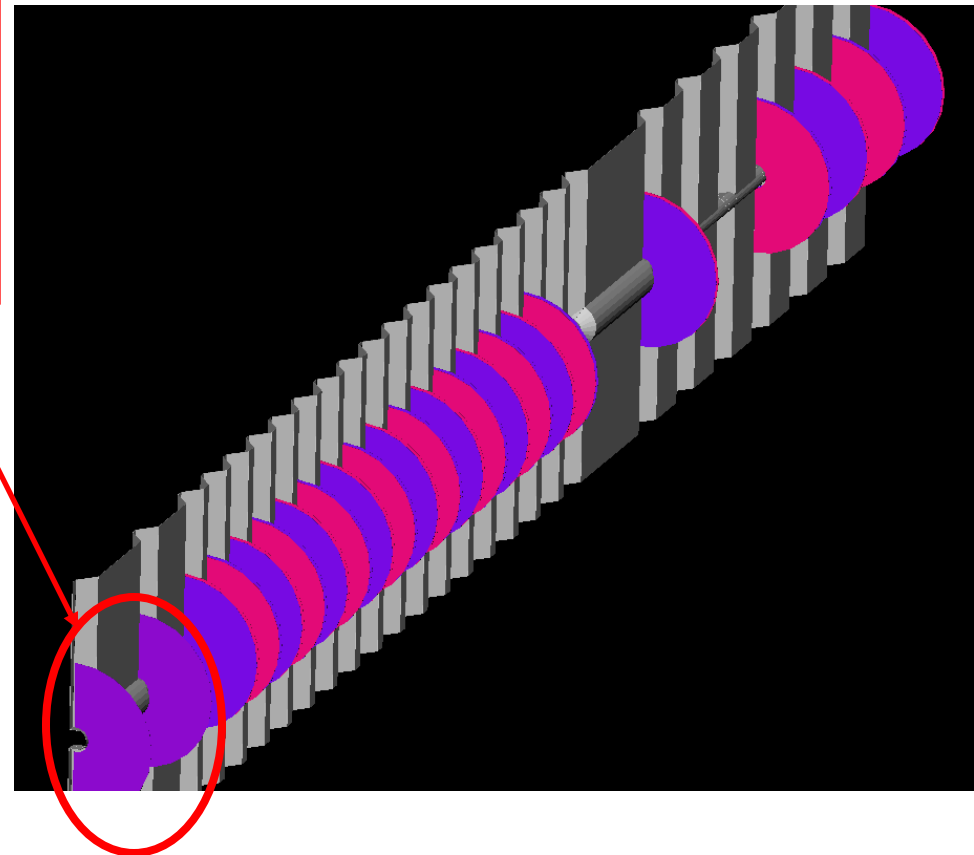
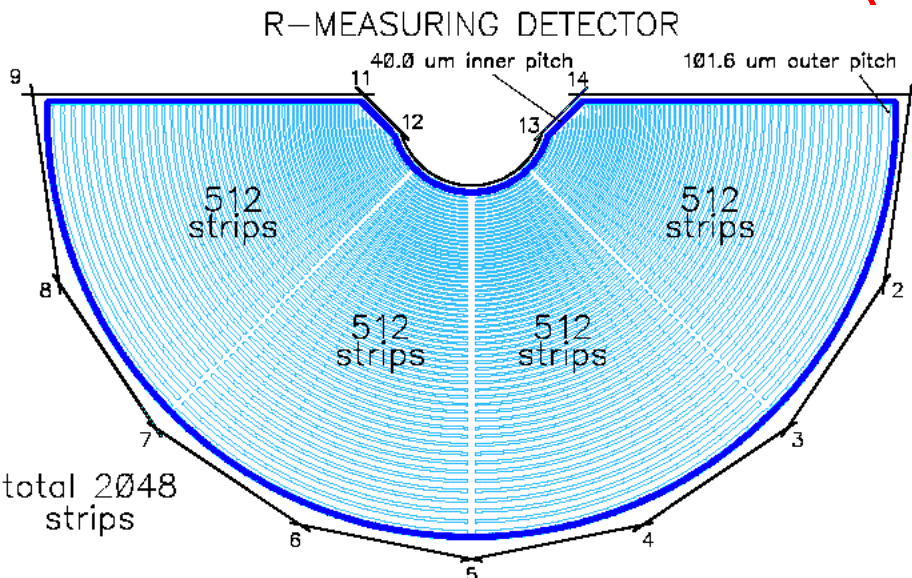


L0 trigger in a nutshell



- For CP studies, multiple collisions aren't favored (potential issues with tagging or primary vertex association)
- Cut out events with multiple vertices

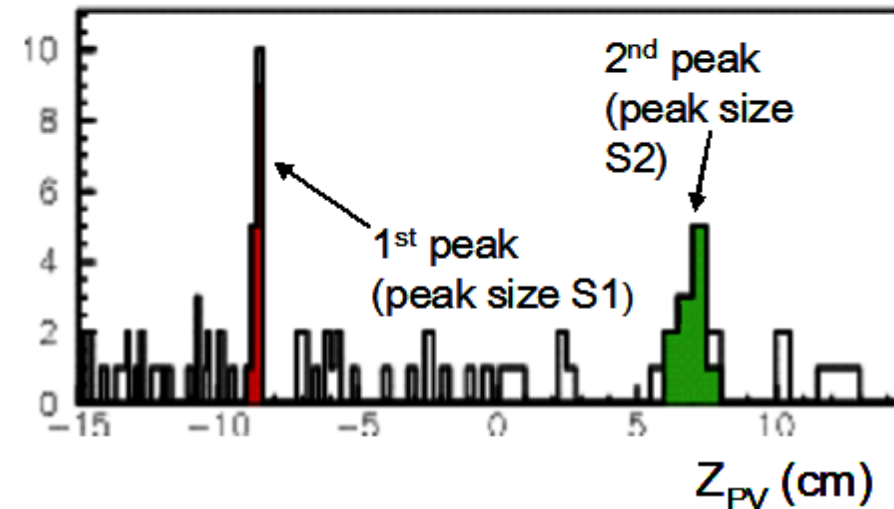
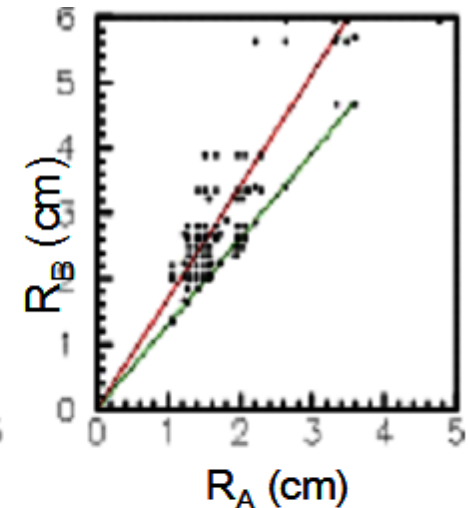
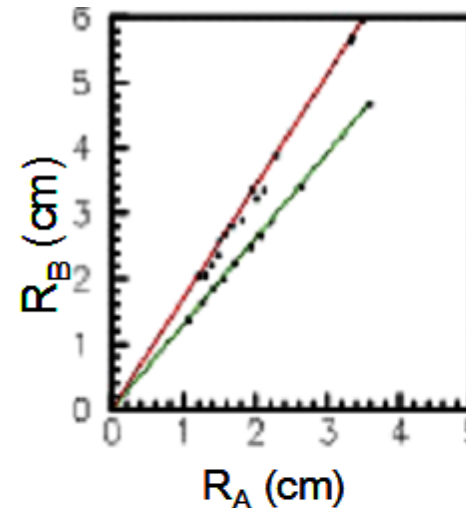
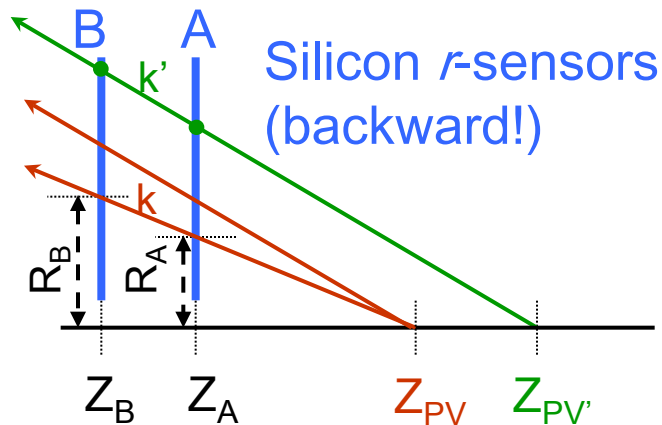
- Two planes of R-measuring sensors
- Identical to VELO sensors
- Places up-stream from interaction point
- Strips ORed in groups of 4
 - Determines R resolution



Pile-Up Veto: principle

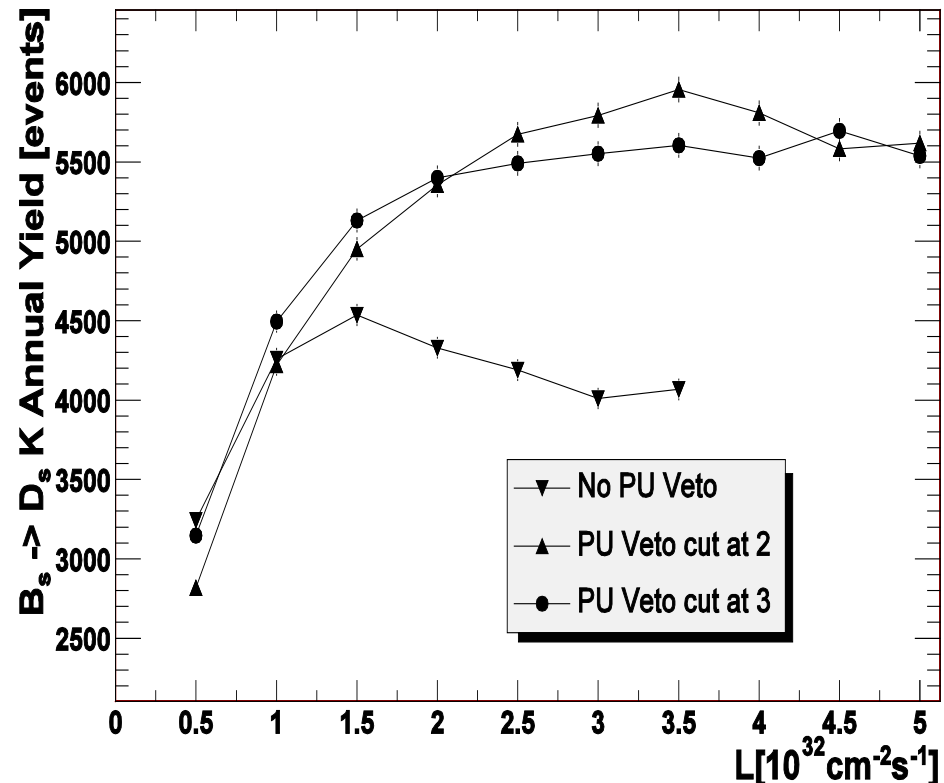
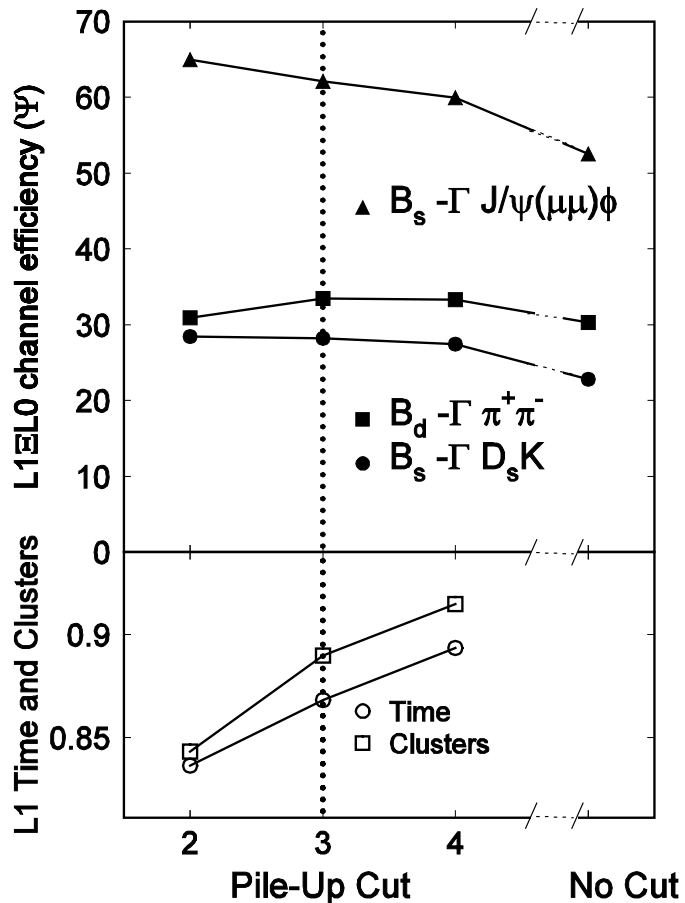
Tracks from same Z_{PV} have the same ratio k

$$k \equiv \frac{R_A}{R_B} = \frac{Z_{PV} - Z_A}{Z_{PV} - Z_B}$$



- Calculate vertex for all combinations of 2 points a and b.
- Find highest peak (= prim.vtx)
- Remove the hits and find 2nd peak
- Veto if peak > threshold
- $\sigma(Z_{vtx}) \approx 2.8$ mm, $\sigma(\text{beam}) \approx 53$ mm

2nd peak mult. cut tunable parameter



L0*L1 efficiency for different channels as a function of PU cut on 2nd peak multiplicity. All other L0 cuts are modified to fill the allowed bandwidth

Expected annual yield for $B \rightarrow D_s K$ as a function of luminosity for different PU cuts

L0 decision

GLOBAL VARIABLES

Tracks in 2nd vertex
Pile-up system multiplicity
SPD multiplicity
Total HCAL E_T

*Reject events that are busy,
empty or having multiple
interactions*

B DECAY CANDIDATE THRESHOLDS

E_T of hadrons, electrons, γ ,
 π^0
 p_T of μ

Good B-decay candidate

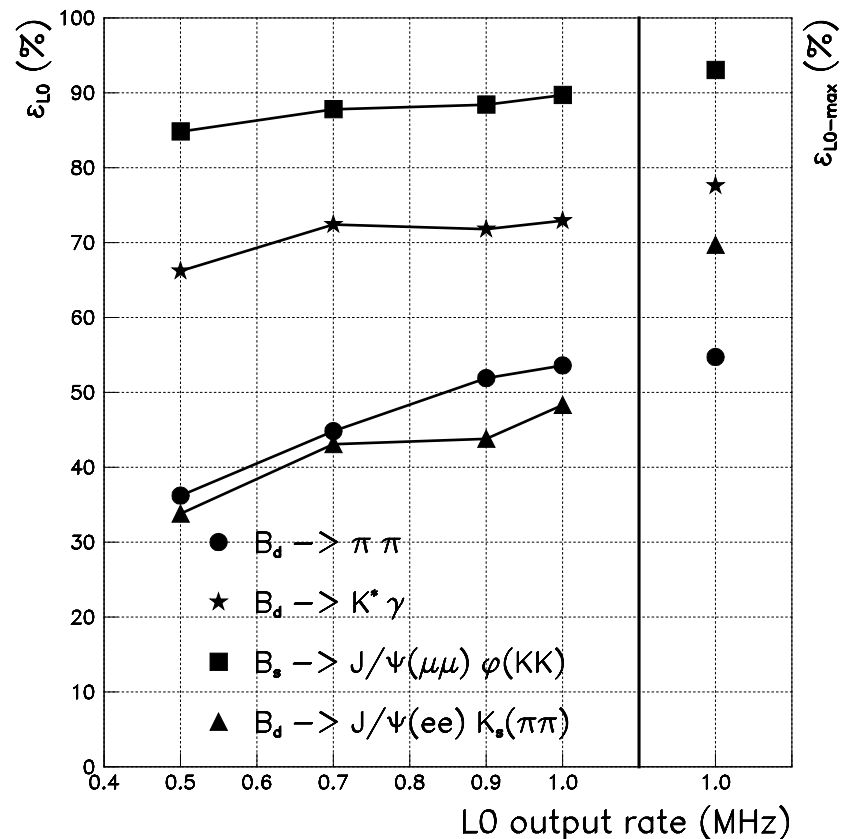
Σp_T of two highest $p_T \mu$

Special di-muon trigger

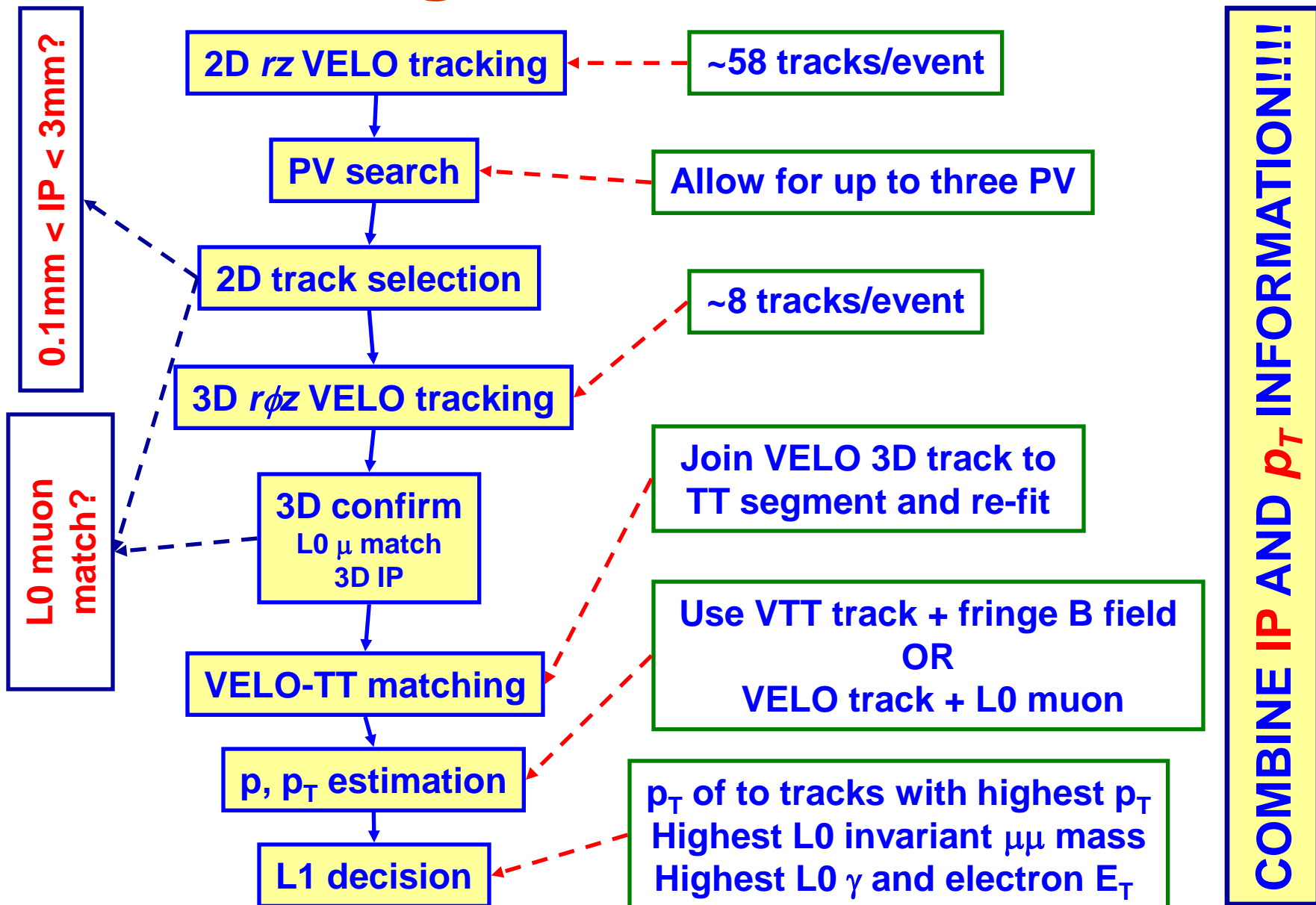
Pass ALL global cuts
AND
at least one E_T
threshold

OR

Pass $\Sigma p_T(\mu)$ cut
(2 highest $p_T \mu$)



L1 algorithm in a nutshell



- **rz tracking motivated by speed**
 - Tracks from beam line form straight lines in **rz**
 - This is the reason VELO has **$r\phi$** geometry

TRIPLET SEARCH

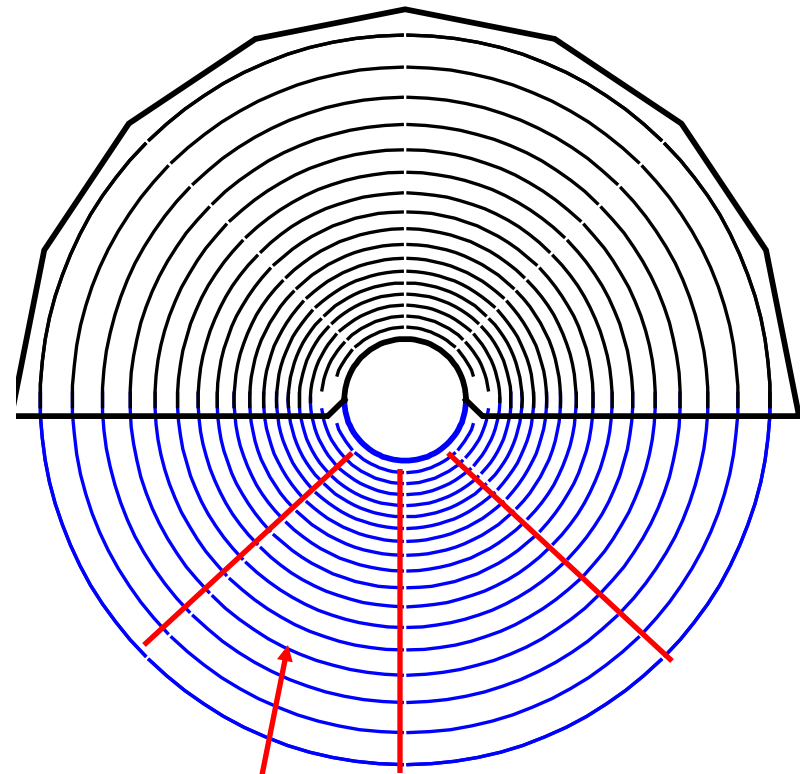
3 z-consecutive hits in up to 4 sensors, all same 45° ϕ sector

TRIPLET EXTENSION

Search for additional r hits compatible with triplet

GHOST + CLONE KILLING

Overlap region, Shared hits, Number of hits

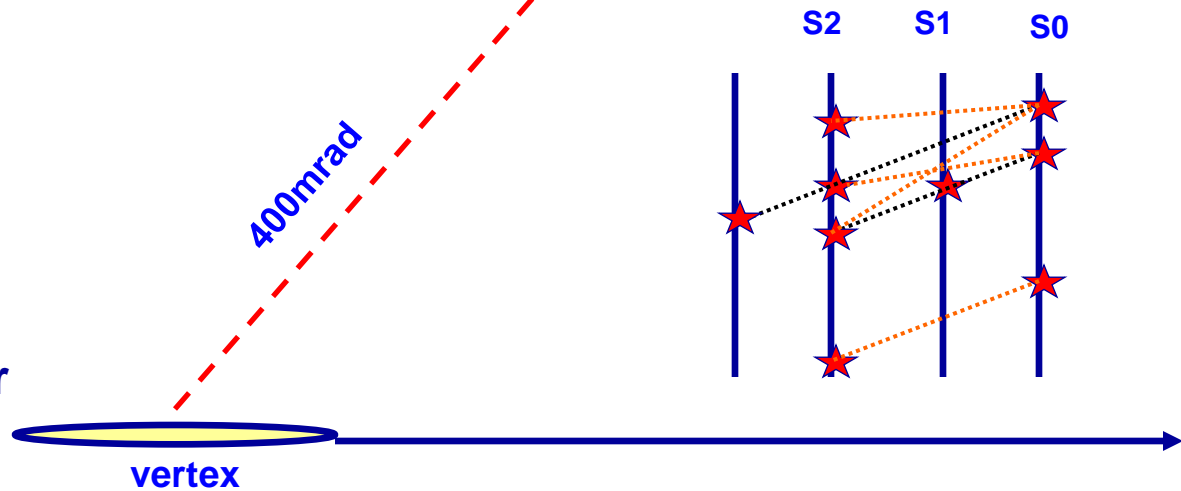


ϕ segmentation 45° for pattern recognition + speed

Reconstruct ~58 tracks/event

L1 2D VELO triplet search

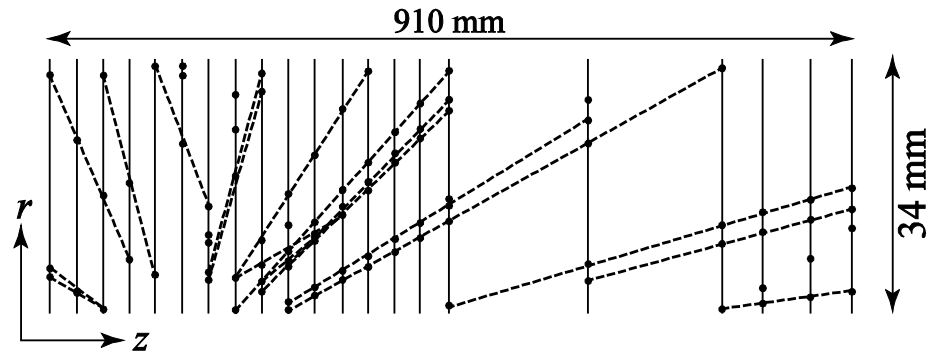
- Start from hit in most downstream sensor, S0
- Loop over hits in S2
 - Take first hit such that line satisfies angular criteria*
- Project into S1 and look for closest hit
 - 0.9*pitch search window
 - If no hit found go to next S2 hit
- If good triplet found, start again from next S0 hit
 - Exclude hits in found triplets from search



*compatible with coming from vertex and slope < 400mrad

..... BAD
----- GOOD

ALLOW FOR ONE INEFFICIENT DETECTOR



- **Project rz track to next sensor and look for hits in 3.5^* pitch window**
 - Allow for off-axis tracks, not straight in rz
 - Flag good hits as used
- **Fit straight line to rz points and continue**
- **After all extensions done**
 - Non-extended triplets discarded and hits flagged as unused
 - All hits in extended tracks flagged as used
 - Go back to triplet search with remaining unused hits, moving towards the interaction point

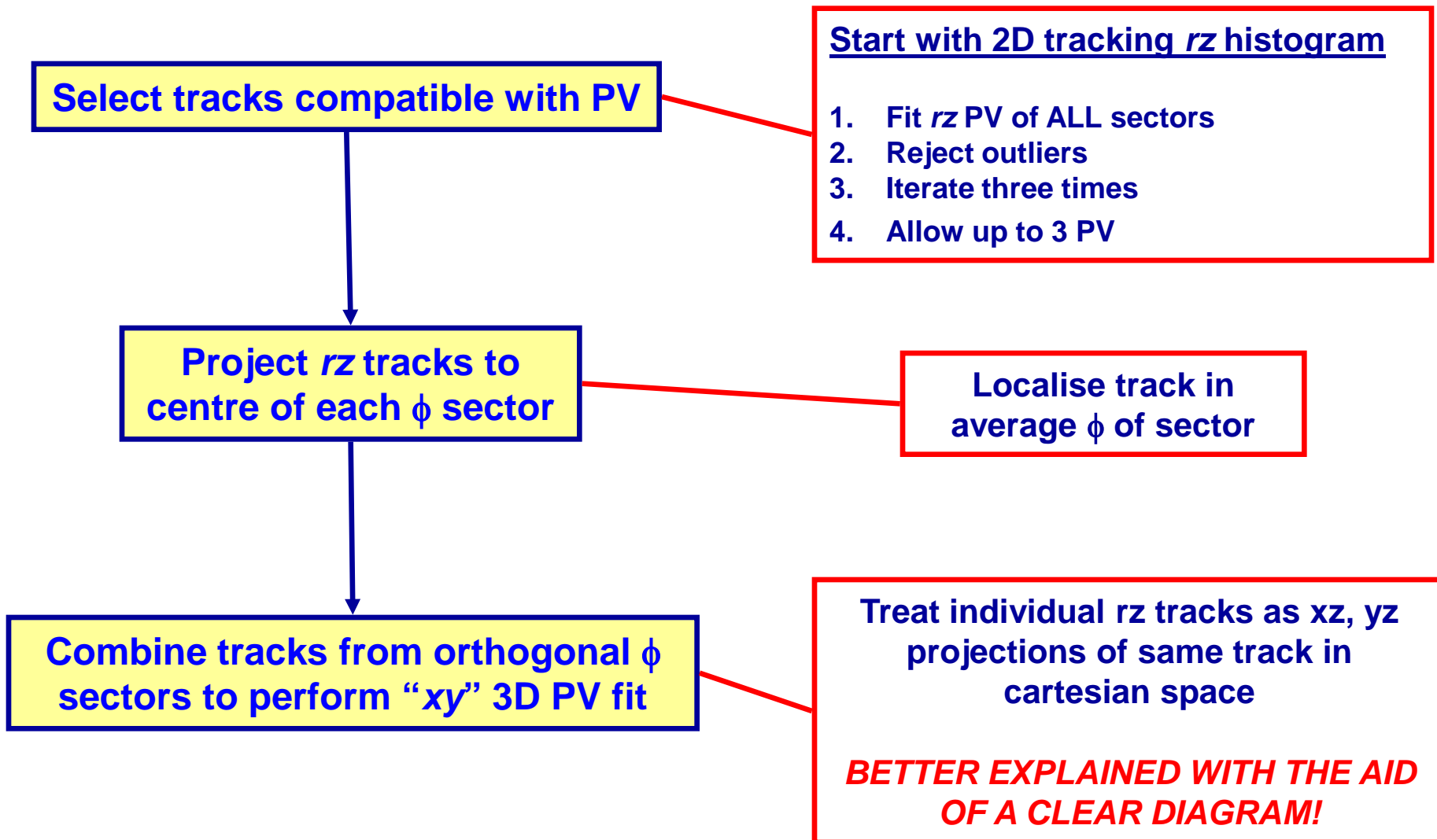
2D tracking performance:

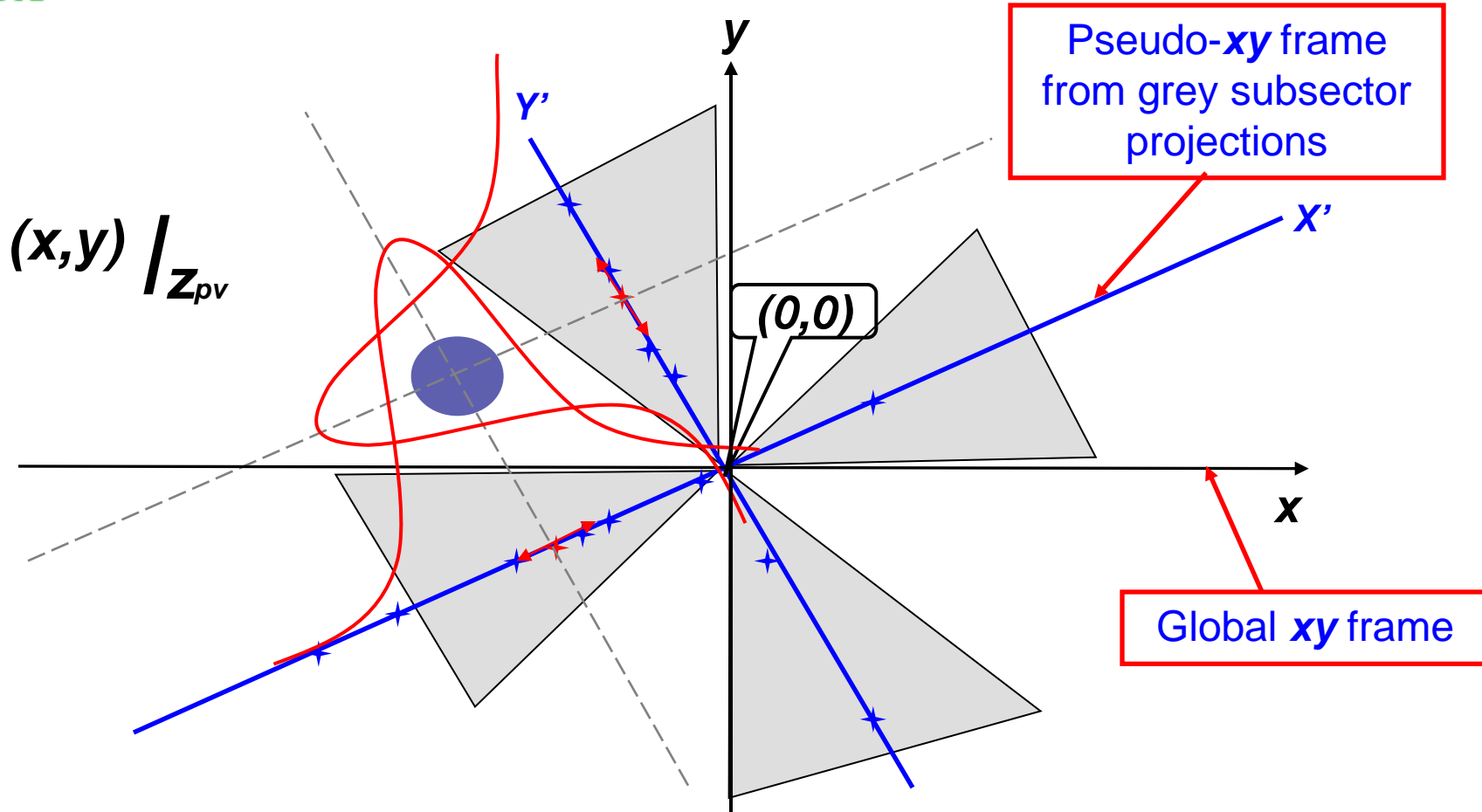
Efficiency: 98.2%

Ghost rate 6.5%

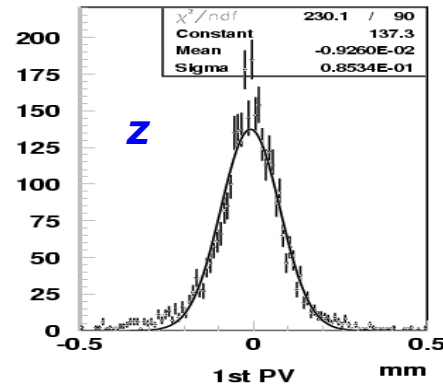
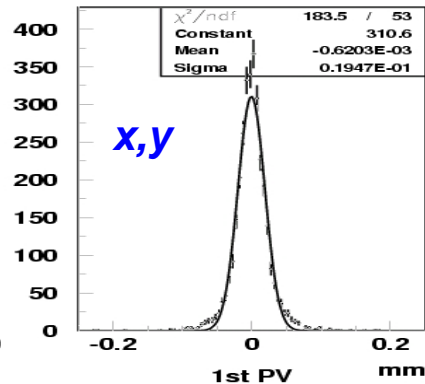
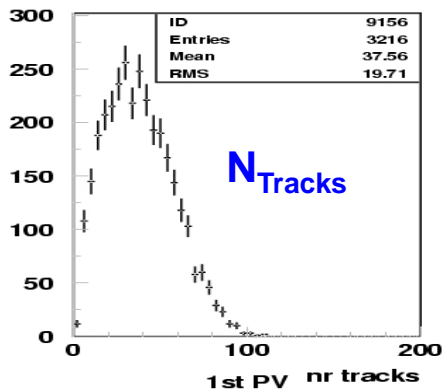
**Reconstruct ~58
2D tracks/event**

L1 primary vertex search

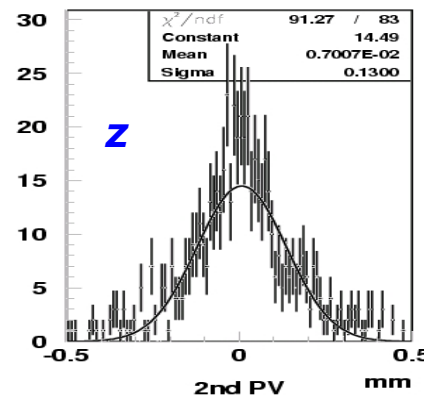
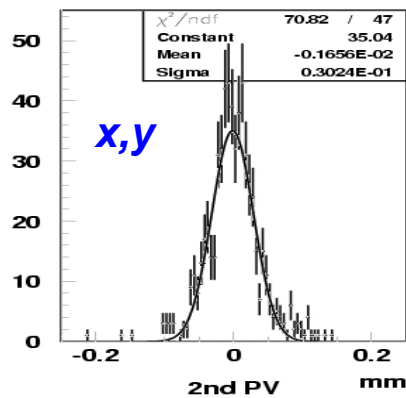
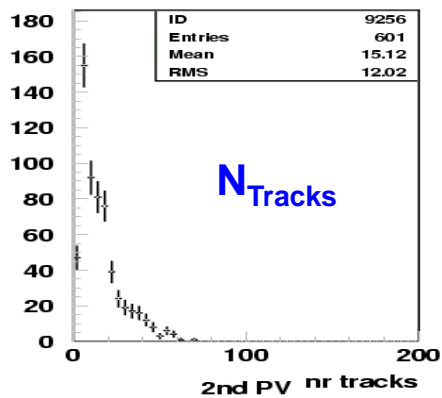




Track pairs in perpendicular sub-sectors treated as independent measurements in 2 rotated Cartesian coordinate systems
 PV is then constructed as with XYZ geometry



1st PV
 $\langle \text{tracks} \rangle = 38$
 $\sigma_x, \sigma_y = 19\mu\text{m}$
 $\sigma_z = 85\mu\text{m}$



2nd PV
 $\langle \text{tracks} \rangle = 15$
 $\sigma_x, \sigma_y = 30\mu\text{m}$
 $\sigma_z = 130\mu\text{m}$

- **With 2D tracks + limited ϕ information**
 - Fast reconstruction: **0.33ms on 1GHz PIII**
 - Good resolution
- **30 to 40 PV 2D tracks/event contain enough info to “saturate” the resolution**
 - Half as many effective 3D tracks
 - At some point the PV resolution does not vary drastically with number of tracks
- **Remember: no momentum information. Errors due to multiple scattering not known.**

- **2D matching**

- For selection of 2D tracks to be reconstructed in 3D
- Compare dr/dz slopes
 - Construct χ^2 using uncertainties in rz slopes of tracks and L0 objects, ϕ information from VELO sectors, B-field kick

- **3D matching**

- Rejection of 2D mismatches
- Improvement of VELO track p_T estimate
 - Construct χ^2 using uncertainties in xz , yz slopes of tracks and L0 objects, B-field kick

	χ^2 max	Purity	Efficiency	σ_p/p
2D	16	21.0%	96.5%	37%
3D	16	51.2%	94.7%	6%

- Sort 2D tracks by length and start with longest
- Work in 45° sectors independently
- Search inwards from sensor furthest from PV
- Look for compatible hits in neighbouring ϕ sensor
 - Calculate r of track in ϕ sensor, check sector, look for hit
 - Build list for each compatible ϕ hit
- Search in following ϕ sensors
 - Project 3D lines with rz from track and ϕ from each hit in list
 - Build new lists for each good ϕ hit found near projection
- Select best 3D track for given 2D one
 - Scan through tree of lists, select track with most clusters or best χ^2
- Mask all hits used and start again with next 2D track

Combined 2D and 3D

Efficiency: 94.8%, 96.4% for B tracks

Ghost rate 5.0%

**Reconstruct ~8.5
3D tracks/event**

VELO-TT matching

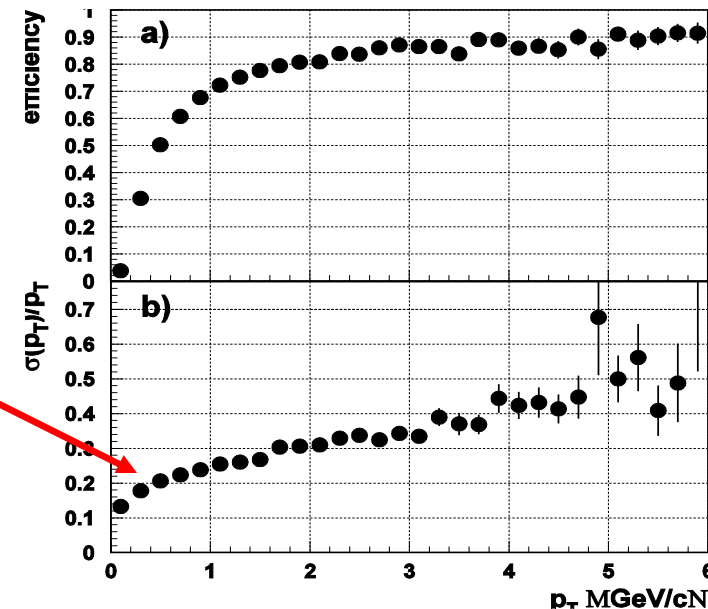
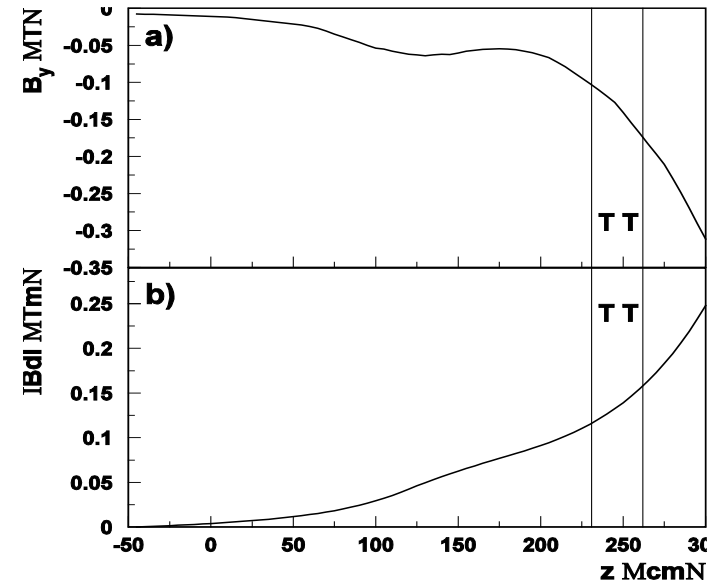
Get an estimate of p for good IP tracks!

- Project 3D VELO tracks into TT for pattern recognition
- Use as seeds to form TT track segments with 4 or 3 planes
- Pick one with best χ^2
 - χ^2 based on slopes and B field kick
- Re-fit VELO and TT tracks allowing slopes to vary
 - Demand both meet at nominal place in centre of fringe field
 - L1 optimised: good purity for high p_T tracks
- Momentum obtained from re-fitted slopes and integrated bending field

For $p_T > 1\text{GeV}$:

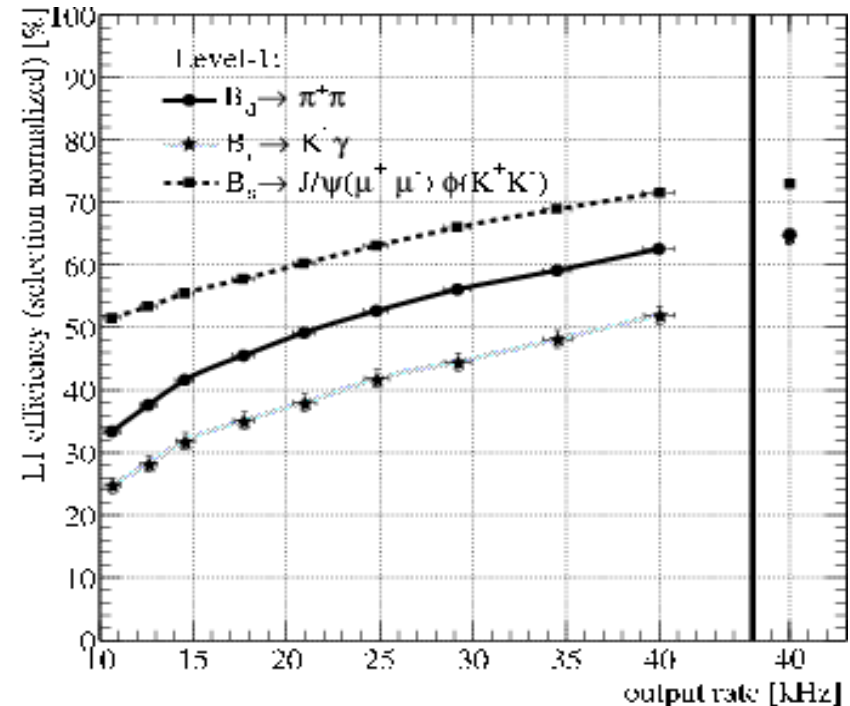
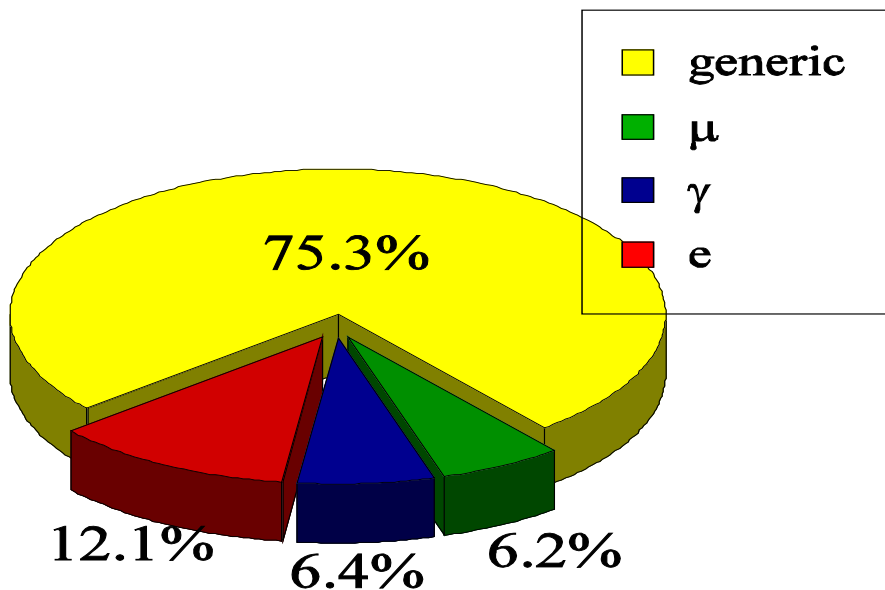
79% efficiency
98.7% purity
 $\sigma(p_T) \sim 20\text{-}30\%$

Good p_T resolution at low p_T means we are unlikely to mistake low p_T tracks for high p_T ones



L1 decision

- Use $\Sigma \ln(p_T)$ of 2 tracks with highest p_T and $0.15\text{mm} < 3D\text{ IP} < 3\text{mm}$
- Information highest di-muon invariant mass, highest E_T γ and electron above 3GeV
 - Give weight to specific decay modes
- tuned for retention of 4% of minimum bias L0 triggers (40kHz L1 output rate)



- **Timing of L1 algorithms crucial**
 - Balance between quality of reconstructed information available to make L1 decision and complexity of L1 algorithm process
 - But algorithms can be slow for reasons other than complexity
- **Separate L1 s/w algorithm implementations benchmarked in search for inefficiencies**
 - Technical changes
 - Information caching
 - Look-up tables
 - Static memory allocation where necessary

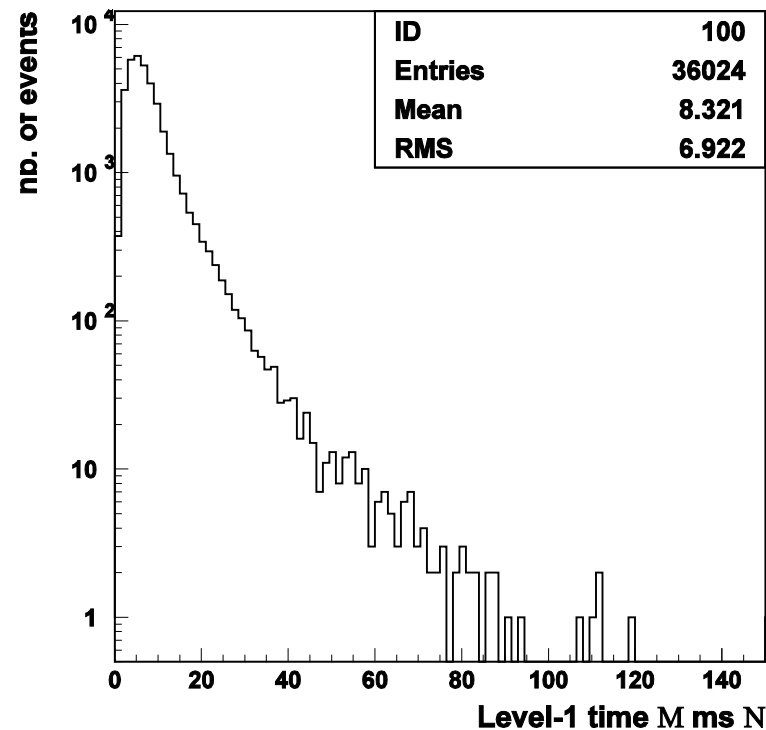
Remember: L1 trigger implementation in off-line style S/W environment. In general quality and conceptual speed of algorithms was of essence. Actual fine tuning of timing performance wrt technical s/w implementation details comes after validation... still, gains in speed can allow changes in conceptual approach...

L1 Timing performance

L1 phase	Time [ms 1GHz PIII]
VELO initialisation	0.46
2D tracking	0.82
PV search/fit	0.33
2D track selection	0.21
3D tracking	1.1
L0 3D track matching	0.01
VELO-TT matching	1.49
3D track preparation	0.16
L1 variables calculation	0.04
Decision	0.02
Total	4.64

- Time measured between start and stop of each algorithm
- Minimise number of calculations needed to reject event
- Granularity 1 μ s
- Time for min. bias L0 accepted events

L1 algorithms can provide fast efficient background rejection and signal retention with reasonably complex reconstruction in 1ms (2007)



- **The three-level LHCb trigger reduces rate from 40MHz (10MHz visible) to 200Hz**
- **L0*L1 efficiency between 20% and 70%**
- **L1 efficiency between 60% and 80%**
- **Within tight time and CPU budget**
- **System is highly flexible and scalable, allowing to change retained event composition at all three levels**
 - Possibility of adjusting thresholds in L0
 - Possibility of adjusting logic in L1
 - Possibility of bringing other detectors into L1
 - At a cost! More network, but same CPU power
 - Possibility of doing pretty much anything in HLT, except using RICH information... so far at least
- **L1 performs high quality reconstruction within 1ms time budget**

Aknowledgements

Many thanks in particular to the following :

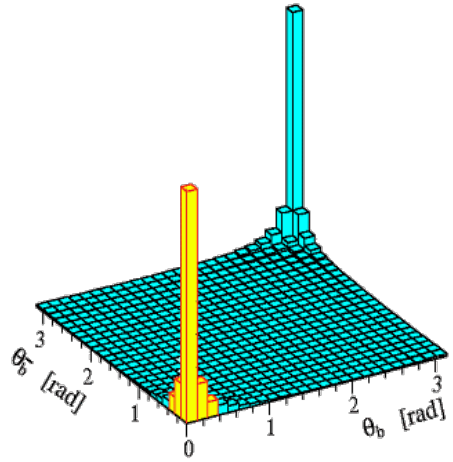
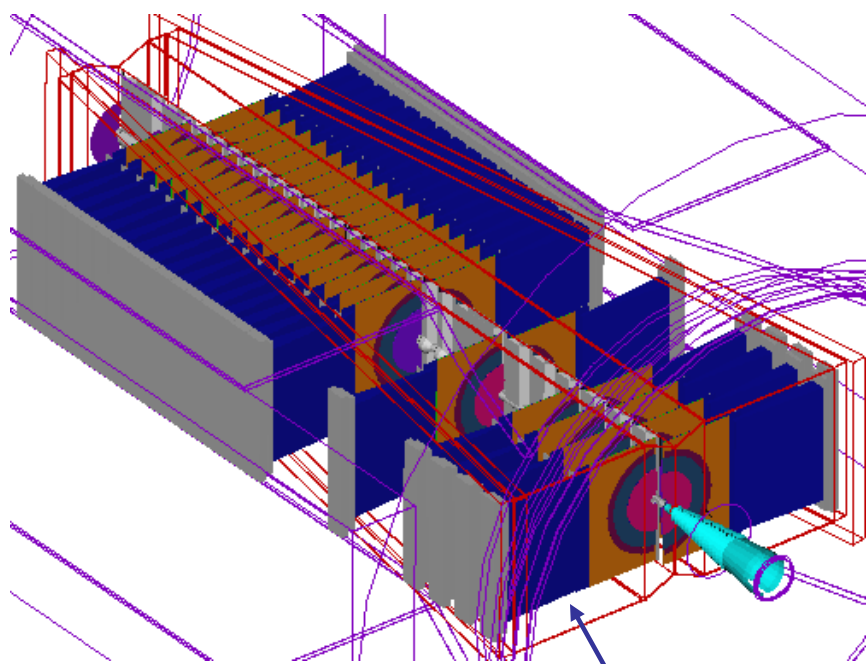
**Mariusz Witek
Hans Dijkstra
Ivan Kisel
Olivier Callot
Thomas Schietinger**



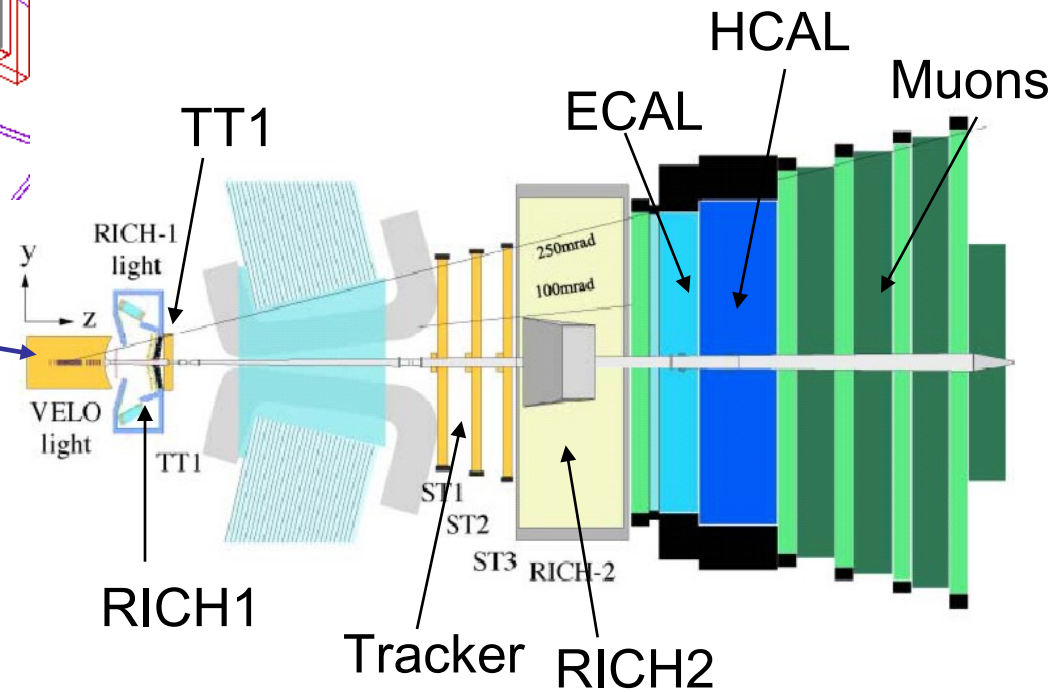
BACKUP SLIDES

The LHCb experiment

- A dedicated B-physics CP violation experiment
 - Good primary, secondary vertex resolution
 - Good particle ID
 - A small angle forward spectrometer with excellent PV and IP resolution



VELO



- **L0**

- Reduce rate from $\sim 10\text{MHz}$ visible interactions to 1MHz accept rate to L1
- Use global variables
 - Charged track multiplicity
 - Number of interactions
 - Hadronic E_T to reject empty events
- Use B signatures
 - Large E_T lepton, hadron or γ
- Latency $4\mu\text{s}$ ($2\mu\text{s}$ for data processing)

Shall concentrate on these.
Emphasis on
tracking/vertexing

- **L1**

- Maximum accept rate $\sim 40\text{kHz}$
- High p_T , E_T
- Impact parameter information
- Electron, hadron E_T , di-muon invariant mass

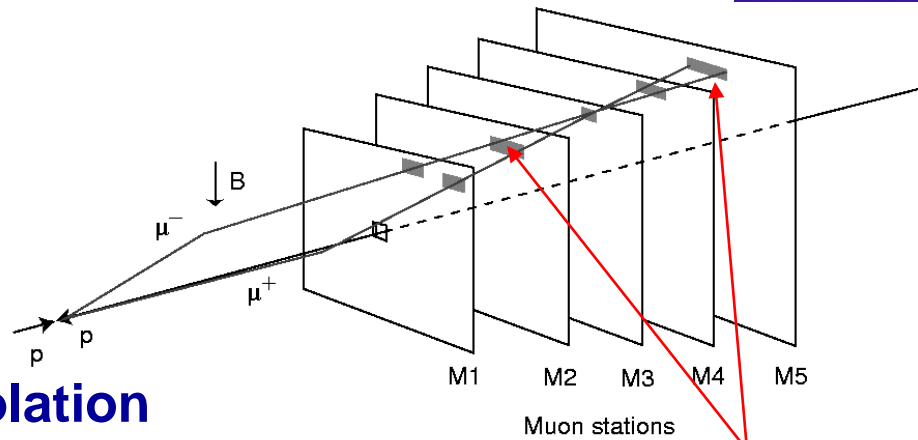
- **HLT**

- Accept rate $\sim 200\text{Hz}$, use CPU power not used by L1
- High P_T , E_T
- Displaced vertex
- B candidate invariant mass

Close to offline
quality data
No RICH PID
Use of full LHCb
tracking system
Large S/W
commonality with
L1 algorithms

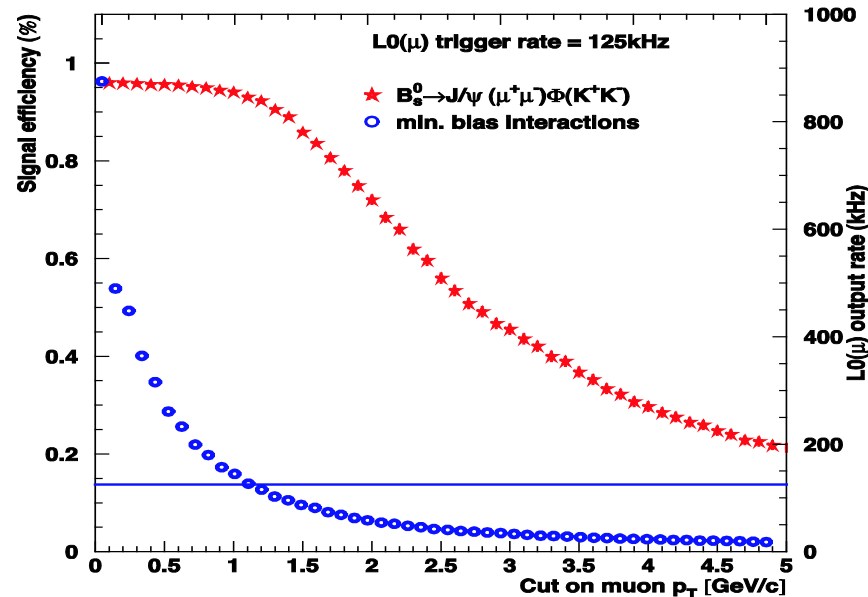
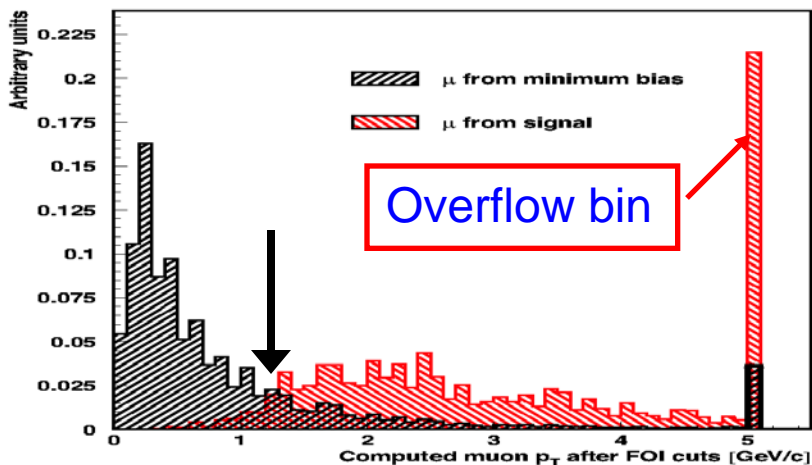
L0 high p_T μ ,

- Use M3 hits as seeds
- Look in FOI in M2, M4, M5 along extrapolation to (0,0,0)
- Assume single B-kick at given z
- Pick hit in M2 FOI closest to extrapolation
- Extrap M3, M2 to M1. Pick closest M1 hit
- p_T determined from M1, M2 and lookup tables

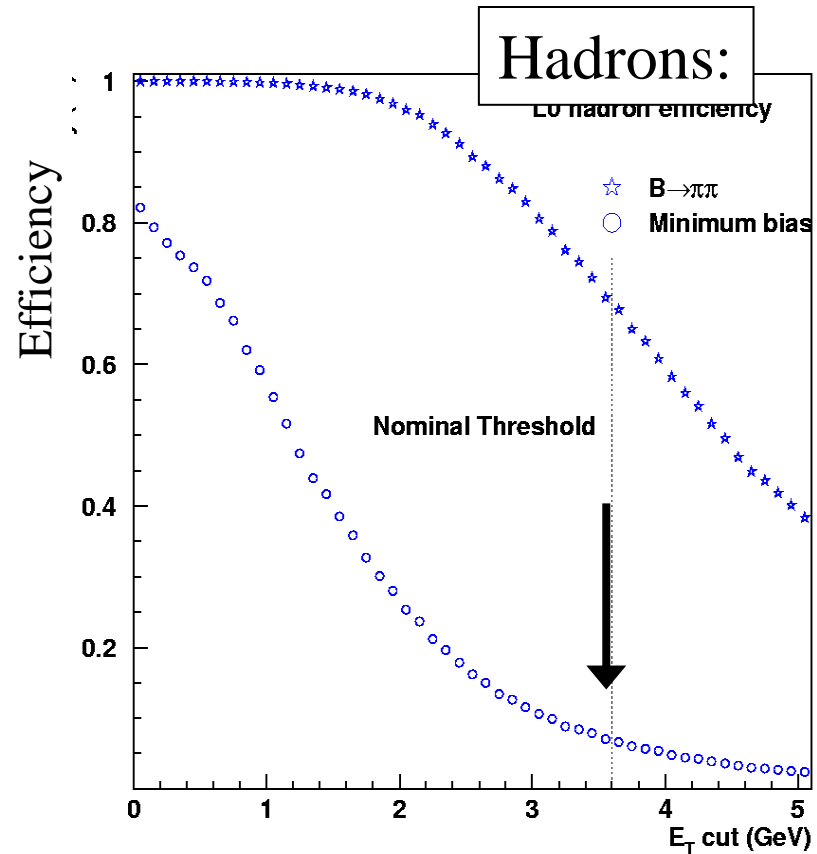
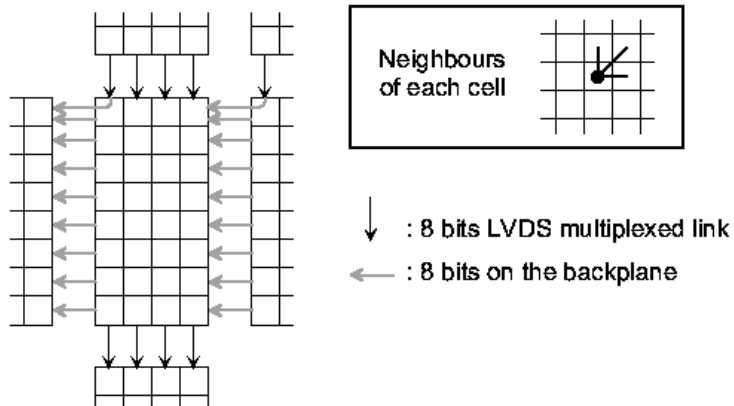


FOI size depends on station, background level, required min bias retention level

Resolution $\sim 20\%$ for μ from b quark decays



- SPD: e/γ separation
- PreShower: 2.5 X0 pb. Identify EM particles
- ECAL: shaslik, EM shower energy
- HCAL: Fe scintillator tiles, hadronic shower energy



Information for L0DU:

- **Calorimeters:**
 - E_T of all candidates (hadron, electron, γ , etc.)
 - ΣE_T (to avoid no collision + μ from LHC bg)
 - SPD hit multiplicity
- **Muon trigger:**
 - 4×2 largest P_T muons
- **Pile-up detector:**
 - z and number of tracks in 1st and 2nd vertex
 - total hit multiplicity

L0DU performs simple arithmetic, with adjustable thresholds, downscaling, etc.

- Variables used to find a B-meson signature
Typical thresholds (GeV):
 - Electron ~ 2.6
 - Photon ~ 3
 - Hadron ~ 3.5
 - $\Sigma E_T \sim 5$
 - Muon ~ 1.2
- Global variables used to enrich the triggered sample with “clean” events and avoid triggers due to *e.g.* large combinatorics

L0 decision

Global variable	Cut
Tracks in 2 nd vertex	3
Pile-up veto multiplicity	112
SPD multiplicity	280
ΣE_T	5 GeV

E_T threshold	Value/GeV	M.B. rate/kHz
hadron	3.6	705
electron	2.8	103
photon	2.6	126
π^0 local	4.5	110
π^0 global	4.0	145
Muon	1.1	110
$\Sigma p_T(\mu)$	1.3	145

Global variable cuts. Reject events that are busy, empty or having multiple interactions

Thresholds for different L0 inputs after combined optimisation

Pass all global cuts
AND
at least one E_T threshold

OR

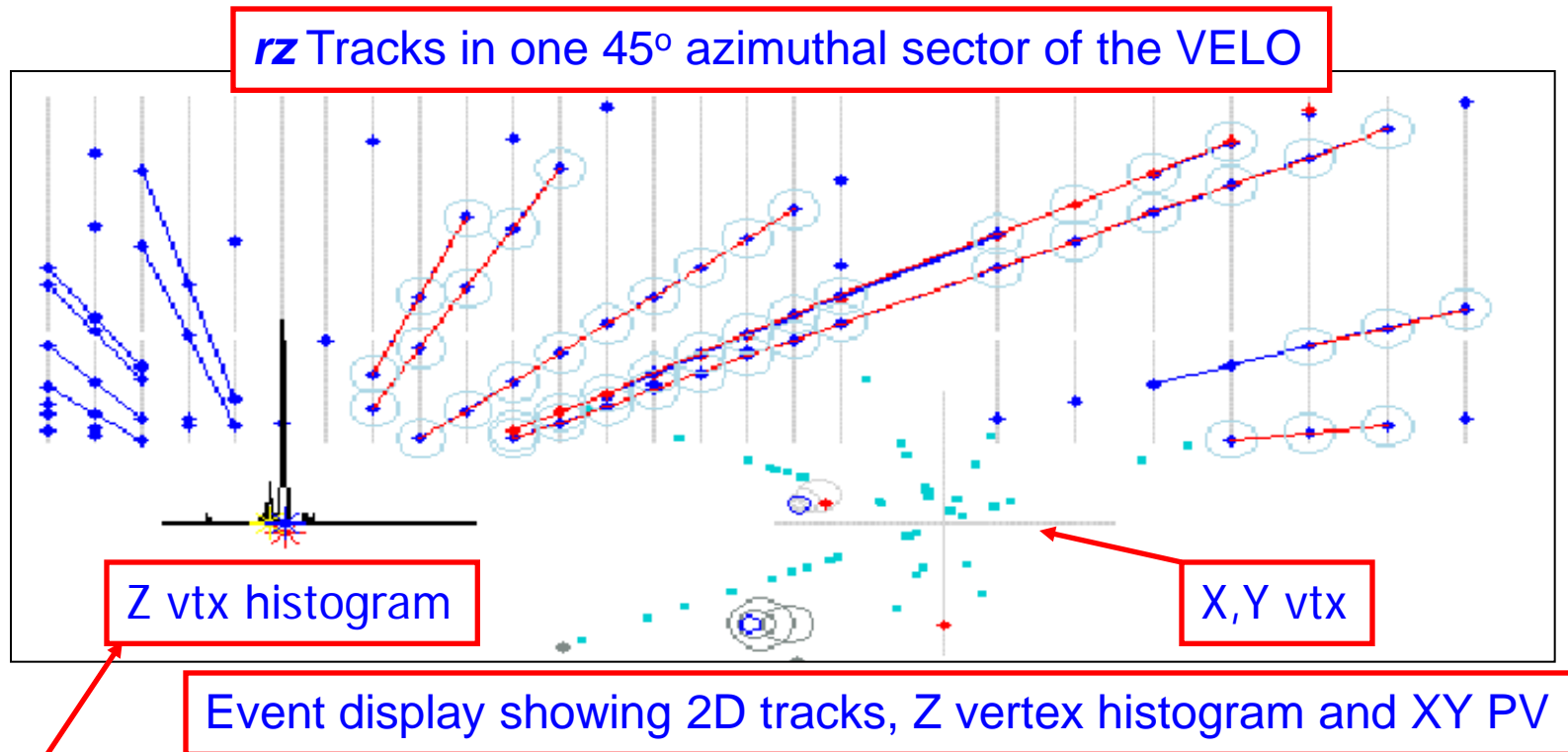
Pass $\Sigma p_T(\mu)$ cut
(two highest p_T muons)

L1 in two nutshells/1

- **Reconstruct 2D VELO tracks ($r\phi$) ~58/event**
- **Select 2D tracks for 3D reconstruction**
 - Search for PV \Rightarrow select if **$0.1 < 2D\ IP < 3mm$**
 - Match 2D tracks to $L0\mu$ track segments \Rightarrow select if good match
- **3D track reconstruction**
- **Match 3D tracks to L0 objects – confirmation**
 - Get estimate of track \mathbf{p} and \mathbf{p}_T
- **Match 3D matched VELO tracks to TT track segments**
 - Make so-called VTT tracks
 - Get first estimate of track \mathbf{p} and \mathbf{p}_T

- **Successfully reconstructed VTT 3D or Velo-L0 μ tracks used for decision**
- **Use two highest p_T tracks**
 - P_T of tracks as discriminator
- **Combine with global variables**
 - Highest L0 invariant di-muon mass
 - Highest L0 photon E_T if $> 3\text{GeV}$
 - Highest L0 electron E_T if $> 3\text{GeV}$

L1 2D VELO tracking



- Histogram 2D z coord in preparation for PV search
- Efficiency 97% for $p > 1$ GeV (get newest numbers!)
- Purity 92% (get newest numbers!!!)

- **Compare dr/dz slopes**
 - Account for x-kick from B field for L0 object dr/dz and ϕ uncertainty
 - Use azimuthal information
 - 2D tracks constrained to 45°
 - Construct χ^2 using uncertainties in dr/dz of tracks and L0 objects, and ϕ
 - Ignore 2D track dr/dz uncertainty: small c.f. L0
 - Track ϕ uncertainty $45^\circ \cdot 12^{-2}$
- **Cut on χ^2 values to select matchings**

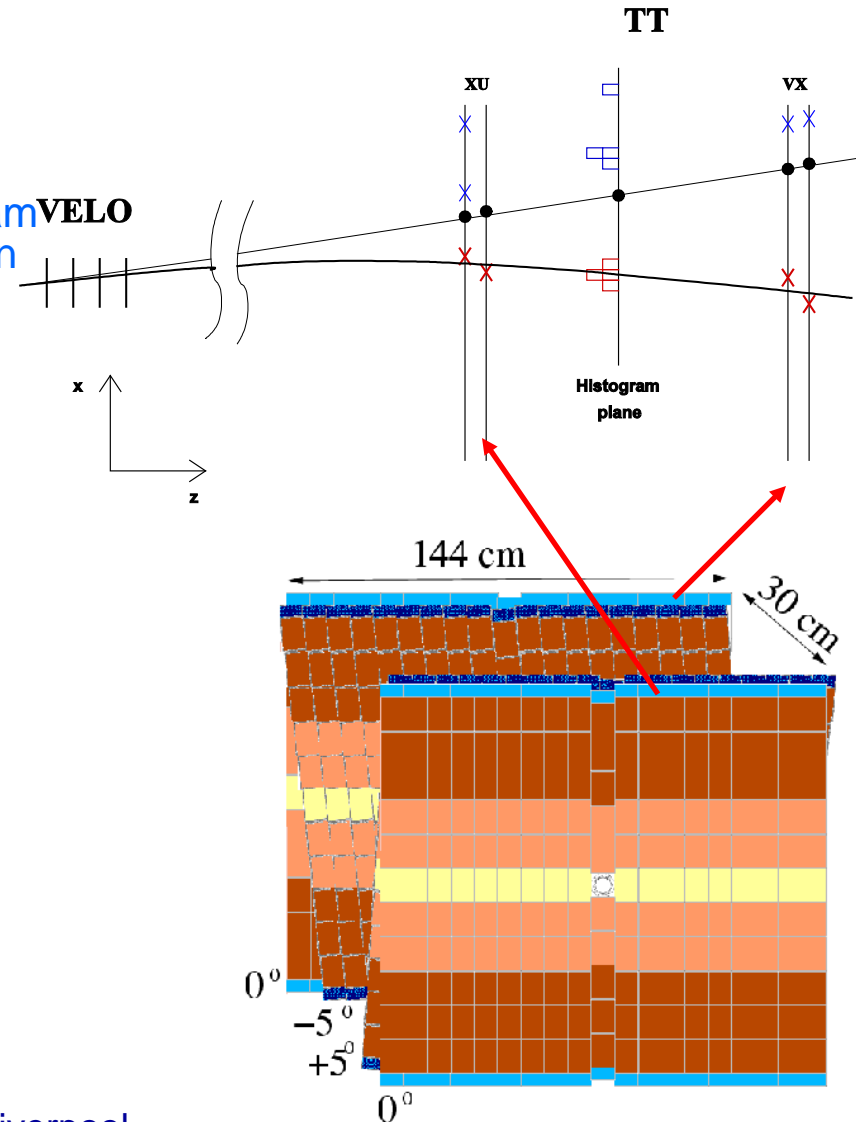
	χ^2 max	Purity	Efficiency	σ_p/p
Muons	16	21.0%	96.5%	37%
Electrons	4	11.7%	98.4%	36%
Hadrons	4	16.2%	98.7%	37%

- In practice, only use L0 muon objects
- Construct χ^2 from xz , yz slopes of 3D track and L0 object
 - Ignore 3D track errors as negligible compared to L0 object slope errors
- Use μ track segment + VELO track and B kick to get accurate estimate of p_T
- Performance:

$p_T \sim 4\%$

	χ^2 max	Purity	Efficiency	σ_p/p
Muons	16	51.2%	94.7%	6%
Electrons	4	32.9%	95.8%	12%
Hadrons	4	26.9%	92.8%	15%

- **Project VELO tracks into TT**
 - Straight line fit
 - Point errors
 - Detector resolution + 3GeV for MS
 - Propagate downstream errors to upstream points to give most weight to last point on track
- **Use as seeds to form TT track segments with 4 or 3 planes**
 - Fit straight line parametrised in xz, yz
 - x slope parametrised in terms of B field
 - Choose candidate with lowest χ^2
- **Re-fit VELO and TT tracks allowing slopes to vary**
 - Demand both meet at nominal place in centre of magnet
- **Momentum obtained from re-fitted slopes and integrated bending field**

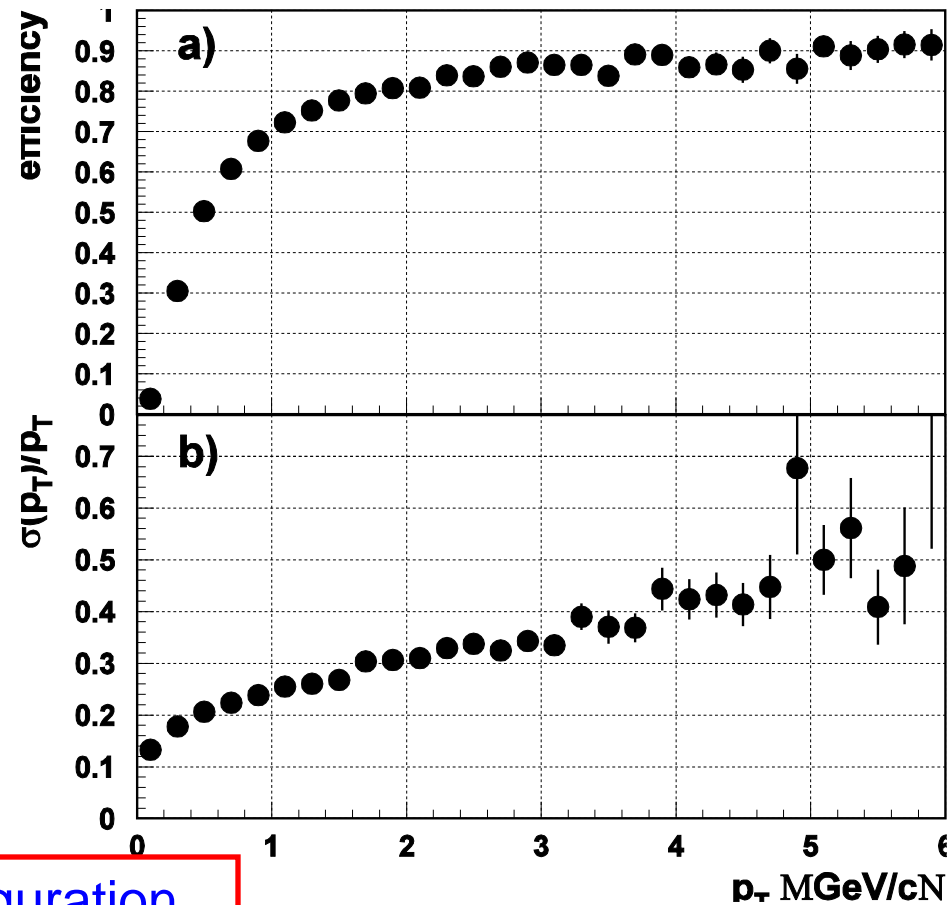


$p_T \sim 30\%$



- Matching tuned to optimise L1 performance:

- need good purity for high p_T tracks!
- χ^2 for matching favours high p
 - p dependent
 - r dependent (multiplicity)
- For $p_T > 1$ GeV
 - 79% efficiency
 - 98.7% purity
- $\sigma_{pT} \sim 20\text{-}30\%$ for $p_T > 1$ GeV



But this is all software, the trigger configuration For the VELO-TT matching can be changed for optimisation according to other criteria. Eg higher efficiency in HLT...

VELO-TT matching efficiency and p_T significance

- Use 2 tracks with

- highest p_T
- $0.15\text{mm} < 3\text{D IP} < 3\text{mm}$

~6.5/event

- Construct discriminant:

- Δ = distance to cut in $\Sigma \ln(p_T)$ space

- Construct other “bonus” discriminators β from

- Highest di-muon invariant mass $m_{\mu\mu}$
 - $J/\psi \Rightarrow \mu^+\mu^-$ or $B \Rightarrow \mu^+\mu^-(X)$
 - Variable $\beta_{\mu\mu}$ dominates if $m_{\mu\mu}$ within 500MeV of J/ψ or B mass, otherwise linear with $m_{\mu\mu}$
- Highest γ E_T above 3 GeV from L0 $E_{T\gamma\text{max}}$
 - $B \Rightarrow K^*\gamma$
 - Variable β_γ linear with $E_{T\gamma\text{max}}$ from 3 GeV
- Highest electron E_T above 3 GeV from L0 $E_{Te\text{max}}$
 - $J/\psi \Rightarrow e^+e^-$
 - Variable β_γ linear with $E_{Te\text{max}}$ from 3 GeV

- **Reject events not compatible with interesting b decay**
- **Confirm L1 decision**
 - Add T1-T3 information to improve p resolution of VELO-TT
 - Fast execution, reduces rate from 40 kHz to 20 kHz
- **Full pattern recognition + limited PID**
 - Better VELO cluster resolution
 - Use full LHCb tracking system – close to offline quality
 - Identify electrons, muons (RICH PID to CPU demanding)
- **Exclusive selection**
 - Very flexible, offline-like algorithms, relaxed cuts
- **Assuming 1ms per L1 event, have ~10ms per event in HLT (2007 CPU)**

$\sigma(p)/p$ from ~20-30% to 0.6%