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1 **Environmental Life Cycle Assessment of producing willow, alfalfa and straw**
2 **from spring barley as feedstocks for bioenergy or biorefinery systems**

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9 **Abstract:**

10 The current study aimed at evaluating potential environmental impacts for the production of
11 willow, alfalfa and straw from spring barley as feedstocks for bioenergy or biorefinery
12 systems. A method of Life Cycle Assessment was used to evaluate based on the following
13 impact categories: Global Warming Potential (GWP₁₀₀), Eutrophication Potential (EP), Non-
14 Renewable Energy (NRE) use, Agricultural Land Occupation (ALO), Potential Freshwater
15 Ecotoxicity (PFWTox) and Soil quality. With regard to the methods, soil organic carbon
16 (SOC) change related to the land occupation was calculated based on the net carbon input to
17 the soil. Freshwater ecotoxicity was calculated using the comparative toxicity units of the
18 active ingredients and their average emission distribution fractions to air and freshwater. Soil
19 quality was based on the change in the SOC stock during the land use transformation (from
20 Danish forestry) to an arable land. Environmental impacts for straw were economically
21 allocated from the impacts obtained for spring barley. The results obtained per ton dry
22 matter showed a lower carbon footprint for willow and alfalfa compared to straw. It was due
23 to higher soil carbon sequestration and lower N₂O emissions. Likewise, willow and alfalfa
24 had lower EP than straw. Straw had lowest NRE use compared to other biomasses. PFWTox
25 was lower in willow and alfalfa compared to straw. A critical negative effect on soil quality
26 was found with the spring barley production and hence for straw. Based on the energy output
27 to input ratio, willow performed better than other biomasses. On the basis of carbohydrate
28 content of straw, the equivalent dry matter of alfalfa and willow would be higher. The
29 environmental impacts of the selected biomasses in biorefinery therefore would differ based
30 on the conversion efficiency, e.g. of the carbohydrates in the related biorefinery processes.

31 **Keywords:** Energy crops, biorefinery feedstock, land use change, toxicity, environmental
32 sustainability, biomass utilization efficiency

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1 **1. Introduction:**

2 Increasing demands for food, feed, fibers and energy from the available agricultural land has
3 stressed to optimize the biomass productions from the available land. It has also stressed to
4 explore sustainable opportunities for the combined production of fuels, food/feed and
5 chemicals (Parajuli *et al.*, 2015a). Biorefineries thus evolved to bring new value chains in the
6 biomass conversion by producing cascades of biobased products. The types of biomass used
7 is additionally important for their sustainable conversion to biofuels (Caputo *et al.*, 2005),
8 since their different chemical composition (e.g., carbohydrate content) affecting the
9 biochemical conversions (Stephen *et al.*, 2012). One of the crucial challenges for sustainable
10 biorefinery operation is maintaining a year-round supply of biomass (Cherubini *et al.*, 2007).
11 This is relevant as over exploitation of biomasses would be on: soil carbon (C) sequestration
12 (Fargione *et al.*, 2008), nitrous-oxide emissions (Crutzen *et al.*, 2008), nitrate pollution
13 (Donner and Kucharik, 2008), biodiversity (Landis *et al.*, 2008) and human health (Hill *et al.*,
14 2009). Likewise, soil quality is crucial for the long-term productivity of agricultural soil
15 and also for the provision of other ecosystem services (Milà I Canals *et al.*, 2007a). Soil
16 quality is often assessed in terms of soil organic carbon change and fertility (Lal, 2015).
17 Likewise, sustainable management of available resources is also pertinent. Estimates show
18 that about 10–20% of existing grassland within the EU member states, approximately 16.4
19 million hectare (Mha), is available for alternative uses to animal feed production (Mandl,
20 2010). These have stressed to diversify the supply of biomass to different biorefinery systems
21 so that sustainable production of both fuel and non-fuel products is possible.

22 Life Cycle Assessment (LCA) has been widely used as a tool for assessing the environmental
23 sustainability of different production systems (European Commission, 2015). Most of the
24 LCA studies related to biomass production system have mainly focused on greenhouse gas
25 (GHG) balances. In order to select the right biomasses and processing methods, it is also
26 necessary to evaluate other impact categories besides GHG and energy balances (Wagner and
27 Lewandowski, 2016). These are helpful to avoid creating flawed decision support tools for
28 biorefining policies that may occur if evaluations are based on a single indicator (Finkbeiner,
29 2009). In most of the LCA studies, combinations of different crops including annual and
30 perennial grasses were partially covered and described. Mogensen *et al.* (2014) quantified the
31 impacts of producing different crops for livestock production, but mainly focussed on the
32 carbon footprint. Likewise, Pugesgaard *et al.* (2013) compared the energy balance and nitrate
33 leaching of annual crops and grasses in a rotation. Impacts of Soil Organic Carbon (SOC) on
34 the GHG balance was also partially addressed in most of the identified studies (Tonini *et al.*,
35 2012). In a study of Short Rotation Coppice (SRC), Dillen *et al.* (2013) focused on energy
36 balance, but assumed a less intensified farming system. Similar studies on SRC include
37 Goglio and Owende (2009), Pugesgaard *et al.* (2015) and Sabbatini *et al.* (2015), but they

1 were based on different assumptions with regard to farming system. Gallego *et al.* (2011) was
2 limited for not covering SOC change in the overall GHG balances of alfalfa production.
3 Godard *et al.* (2013) compared six feedstock supply scenarios, but the emission factors and
4 other basic assumptions adopted in their modeling were less consistent with our study,
5 particularly regarding system boundary and the agro-climatic conditions. Wagner and
6 Lewandowski (2016) included a wide range of impact categories in their study, but it seemed
7 that the system boundary for the related emissions was differently used, e.g. for the
8 calculation of freshwater ecotoxicity. Birkved and Hauschild (2006) suggested that emissions
9 of pesticides to soil can occur indirectly, hence it is relevant to assess the relative emissions to
10 air and freshwater. Parajuli *et al.* (2016) using the tool PestLCI 2.0.6 presented the sensitivity
11 of using different types of pesticides and varying agro-climatic parameters on the emission
12 distribution fractions of the active ingredients. Based on their study, ecotoxicological
13 measures were sensitive to the types of active ingredients and the season of applying the
14 pesticides, as also coined in similar line in Dijkman *et al.* (2012).

15 Environmental sustainability assessments of the biomass production is one of the first steps
16 to be taken for ensuring sustainable diversification in their supplies and the conversions
17 (Parajuli *et al.*, 2015a). In this study, LCA is used for evaluating the environmental footprints
18 of producing willow, alfalfa and straw from spring barley. The biomasses were selected on the
19 basis of their different physio-chemical and environmental qualities (Parajuli *et al.*, 2015b).
20 Higher cellulose to lignin ratio in straw and willow can be regarded as a quality that qualifies
21 them for sugar-based biorefinery platforms. Likewise, the crude protein and carbohydrate
22 contents of alfalfa make it suitable for a green biorefinery technology to produce green
23 protein and other biochemicals (e.g. lysine, lactic acid) (Parajuli *et al.*, 2015b). Straw is
24 regarded to induce a lower land use competition compared to other feedstocks (Kim and
25 Dale, 2004). Willow, in turn, is suited for cultivation on marginal land, reducing its
26 competition with food crops grown on fertile land (Helby *et al.*, 2004). Willow also has an
27 effective nutrient uptake from soil, lower GHG emission and better fossil fuel energy balance
28 compared to fossil fuels (Murphy *et al.*, 2014). The current study hence aims at evaluating
29 different types of biomass feedstocks taking into account the important environmental
30 impact categories.

31 **2. Materials and Methods:**

32 2.1. Goal, system boundaries and functional unit

33 The primary goal of this study is to provide a holistic view of resource requirements,
34 emissions and finally evaluating environmental impacts for the production of the selected
35 biomasses for utilizing them as bioenergy or biorefinery feedstocks. For this purpose, we take
36 into account the system-wide effects of resource utilization starting from material extraction,
37 processing, production and their utilization in an agricultural system. The system boundaries

1 for the production of the selected biomasses are shown in Figure 1. The system boundaries
2 covered: (i) the background system (upstream processes) and (ii) the foreground system
3 (downstream processes). The background system included the production of the assumed
4 material inputs (e.g. fuel, chemicals, and agricultural machinery) and their supply to the
5 foreground system. All the necessary data related to the background system were based on
6 Ecoinvent 3 (Weidema *et al.*, 2013), unless otherwise stated in the text below. Foreground
7 system included the actual farm operation activities and the related emissions during the
8 production of the selected crops. Data for the foreground system are elaborated in the section
9 2.3.

10 The functional unit (FU) of the assessment is 1 tonne dry matter (t DM) of the harvested
11 biomasses. Storage of the biomasses is not accounted within the system boundary. The
12 results of the environmental impacts are also shown in terms of energy in gigajoule (GJ) of
13 the harvested biomasses.

14 **Figure 1:** System boundaries for the selected biomasses and related elementary flows.
15 (Figure 1a represents the general system boundary and Figure 1b represents the production
16 cycle of willow.)

17 2.2. Environmental impact categories and the assessment methods

18 The environmental impact categories with their units are: (i) Global Warming Potential in
19 100 years (GWP₁₀₀) (kg CO₂ eq), (ii) Eutrophication Potential (EP) (kg PO₄ eq), (iii) Non-
20 Renewable Energy (NRE) use (MJ eq), (iv) Agricultural Land Occupation (ALO) (m²), (v)
21 Potential Freshwater Ecotoxicity (PFWTox), expressed as ‘comparative eco-toxic units’
22 (CTU_e) and (vi) Soil Quality (t C). These potential impacts were evaluated with respect to the
23 FU of the study.

24 The “EPD” method (Environdec, 2013) was used for the assessment of the first three impact
25 categories, while ALO was assessed using the ReCiPe method (Goedkoop *et al.*, 2009).
26 PFWTox was calculated using the ILCD method (European Commission, 2012), and emission
27 distribution fractions of the pesticides at the farm level were based on the study reported by
28 Parajuli *et al.* (2016). The choice of different impact assessment methods was mainly due to
29 following two reasons: (i) to cover most of the selected impact categories by single method
30 and (ii) to interpret the results of the life cycle impact assessment, in the expressed units of
31 the selected impact categories, as described above. For the former point the EPD fulfilled by
32 covering the first three impact categories. The difference in the impact assessed, e.g. GWP₁₀₀
33 or climate change in EPD and ILCD respectively was nominal. Likewise, the ILCD method
34 has implemented all the USEtox factors (Rosenbaum *et al.*, 2008) that are suggested for
35 calculating the ecotoxicological measures (European Commission, 2012). The method also
36 interprets the result in terms of CTU_e, as in the USEtox model. This offers flexibility to the

1 researchers to use either of the methods and interpreting the results on the basis of common
2 unit. Moreover, ISO (2006) also does not recommend a specific method, suggesting that the
3 choice should be based on the specific requirements of the user (European Commission,
4 2010).

5 With regard to soil quality, it was considered as an environmental impact category, in
6 accordance to Brandão *et al.* (2011). SOC stock change (Δ SOC stock) was used as an
7 indicator of soil quality (IPCC, 2000; Milà I Canals *et al.*, 2007a). The impact was defined as
8 a carbon deficit (or credit, indicated by negative values) with the unit 't C-year', giving the
9 amount of extra carbon temporarily added to or removed from the soil compared to a
10 reference system of a study (Milà i Canals *et al.*, 2007b).

11 2.3. Life Cycle Inventory Analysis

12 2.3.1. Crop production data

13 Table 1 shows the detailed Life Cycle Inventory (LCI) for the production of the selected
14 biomasses. All the material inputs (agro-chemicals, fuel, energy, etc.) were estimated on an
15 annual basis. These inputs were calculated from the total inputs estimated during the crop
16 production life cycles and were divided by their respective number of life cycle years.

17 With regard to straw production, the material inputs and the environmental burdens were
18 economically allocated from the production of spring barley. The allocation factor was 19% to
19 straw, calculated based on sale prices for straw and cereals for the period 2011-2015 (SEGES,
20 2015). The quantity of seeds for producing spring barley was based on Jørgensen *et al.*
21 (2011). Yield of straw was 55% of the grain yield (Taghizadeh-Toosi *et al.*, 2014a). The grain
22 yield was based on average figures of spring barley cultivated on Danish sandy soil (Oksen,
23 2012; Statistics Denmark, 2013). The frequencies of farm operations (tillage, application of
24 agro-chemicals and harvest) were all based on Jørgensen *et al.* (2011), or otherwise stated in
25 the text below. The application of synthetic fertilizer (N, P, K) followed the Danish
26 regulations (NaturErhvervstyrelsen, 2015). The amount and type of pesticides, i.e. the active
27 ingredients (a.is), assumed for spring barley were based on the actual practice on Danish
28 farms, as summarized in Ørum and Samsøe-Petersen (2014). Details on the application of the
29 selected pesticides over the crop production life cycle years are given in Table S.4 of the
30 Supporting Information (SI).

31 Production of willow was divided into two stages: (i) production of cuttings, (ii) production of
32 the main crop. The main crop production included the farm operations: field preparation
33 (tillage and application of agro-chemicals), planting of cuttings, harvesting and field
34 restoration at the end of the life cycle of 22 years (i.e. also including the cuttings production)
35 (Figure 1.b). The planting density was set to 12,000 cuttings ha⁻¹ (Sevel *et al.*, 2012) and
36 material inputs for the cutting production are shown in SI3 (Table S.3). After the cutback

1 process the cuttings were transported for the plantation to a distance of 3 km (to the farm,
2 single trip). The weight of the cuttings was 20 g cutting⁻¹ (Rewald *et al.*, 2016). The annual
3 application rate of pesticides for the production of willow was calculated from its total
4 recommended life cycle dose (SEGES, 2010) (see SI, Table S.4). The first fertilizer application
5 was assumed to take place after field preparation, since it has a tendency to lower the
6 potential nitrate leaching (Heller *et al.*, 2003). Fertilization after planting was assumed to be
7 carried out in every harvest-year and a year after each of the harvest-years. This amounted to
8 13 applications per ha (1 + 2*6 harvests excluding the last harvest) for the 21 years (Figure
9 1.b). Frequency of farm operations was in accordance with Hamelin *et al.* (2012). The average
10 annual fertilizer input estimated from the life cycle years was comparable with Pugesgaard *et al.*
11 (2015). Willow harvesting was assumed to occur every three years (i.e. a total of seven
12 cuts), with the first harvest occurring after four years (Heller *et al.*, 2003; Pugesgaard *et al.*,
13 2015). The annual average yield was based on the studies reported by Hamelin *et al.* (2012)
14 and Lærke *et al.* (2010) (Table 1). A single-stage harvester (cut and chip) with a fuel
15 consumption of 14 lha⁻¹ was assumed as the method of harvesting, which was representative
16 to Danish practice (Djomo *et al.*, 2015). The fuel consumption was also consistent with the
17 studies Goglio and Owende (2009) and Heller *et al.* (2003). The restoration process involved
18 pressing back the stools into the soil and application of herbicides during summer (Gonzalez-
19 Garcia *et al.*, 2012). Fuel consumption related to the pressing of stools was estimated to 38.7
20 l/ha (Njakou Djomo, 2016.pers. comm.).

21 With regard to alfalfa, it was assumed to be a rotational crop with a three-year rotational
22 cycle (Jørgensen *et al.*, 2011) and with three harvests per year. The yield (Table 1) was taken
23 from NaturErhvervstyrelsen (2015) and Møller *et al.* (2005b). The quantity of seeds was
24 calculated from Jørgensen *et al.* (2011). The annual application of fertilizers was based on
25 SEGES (2010). Frequencies of farm operations were based on Jørgensen *et al.* (2011). Types
26 of herbicides and total doses over the crop production cycle were based on SEGES (2010)
27 (see SI, Table S.4). After the land preparation and growing the crop, the harvesting process
28 was followed by mowing, swathing, baling and loading of the fresh biomass (Jørgensen *et al.*,
29 2011). The baled biomass was assumed to be transported to a distance of 3 km to the farm
30 (Table 1).

31 2.3.2. Calculation of emissions related to SOC change

32 SOC change was calculated from the net C input to the soil. Net C input was the difference
33 between the organic matter available to the soil from the selected crops and the reference
34 crop. Spring barley (with 100% straw incorporated into soil) was set as the reference crop
35 (Table 2). Spring barley was considered as the reference crop as it is one of the marginal crop
36 in Denmark potentially being displaced with the changes in the demand of land by other
37 crops (Tonini *et al.*, 2012). It should be noted that in this study, production of straw from

1 spring barely is also one of the selected biomasses, which then will have no displacement as
 2 argued above. But, the production of straw, as one of the assessed feedstock accounted for 1 t
 3 DM recovered from the total yield from 1 ha of land. Rest of the residues was assumed to be
 4 ploughed back into the soil. The removed 1 t DM straw as feedstock to biorefinery was 46% of
 5 the total yield. This led to meet the sustainable rate of recovering straw from field. The
 6 sustainable recovery rate of straw is generally from 33% to 50% and was argued inducing
 7 marginal changes on the SOC (Scarlat et al., 2010; Spöttle et al., 2013).

8 The method to calculate the net C assimilation for spring barley (for straw) and alfalfa
 9 followed Taghizadeh-Toosi et al. (2014a) and was based on the non-harvestable above- and
 10 below-ground residues. The net non-harvestable residues for straw and alfalfa production
 11 were calculated from the parameter (i.e. ratio of the total DM available from stubbles and
 12 root to the net yield of the crops). The parameters for spring barley production was based on
 13 Taghizadeh-Toosi et al. (2014a). In the case of alfalfa the necessary parameters were
 14 calculated based on Djurhuus and Hansen (2003) and Pietsch *et al.* (2007) (see SI Table S.1).

15 In the case of willow, the non-harvestable above-ground biomass was partitioned into the
 16 DM yield from leaves and from woody material (branches, twigs), as shown in Eq. (i)
 17 (Hamelin, 2011). Likewise, the amount of below-ground residues was calculated from the
 18 fraction of total biomass production going to roots (f_R) using Eq. (ii) (Hamelin, 2011).

$$19 \quad NHAG_{DMW} = \frac{f_{lw}}{f_{py}} \times PY + \frac{f_L}{(1-f_L-f_R)} \times \left[PY + \frac{f_{lw}}{f_{py}} \times PY \right] \dots\dots\dots Eq.(i) \text{ (Hamelin et al., 2012)}.$$

20

$$NHBG_{DMW} = \frac{f_R}{(1-f_L-f_R)} \times \left[PY + \frac{f_{lw}}{(1-f_{py})} \times PY \right] \dots\dots\dots Eq (ii) \text{ (Hamelin et al., 2012)}.$$

23 where $NHAG_{DMW}$ = non-harvestable above-ground DM for willow; f_{lw} = woody biomass loss
 24 during harvest = 7.5%; f_{py} = expected primary yield of the total potential primary yield (PY) =
 25 92.5%; f_L = proportion of total biomass production going to leaves = 20%; f_R = proportion of
 26 total biomass production allocated to roots = 25%; $NHBG_{DMW}$ = non-harvestable below-
 27 ground residues for willow.

28 The primary yield (PY) of willow, i.e., the net biomass yield is shown in Table 1. All other
 29 assumptions on the partitioning of the non-harvestable biomass (f_{lw}, f_L, f_{py}, f_R), as shown in
 30 Eqs. (i and ii) are based on Hamelin et al. (2012).

31 Finally, SOC change for the selected biomasses production was calculated based on the
 32 respective net C input (Table 2). Emissions due to SOC change were calculated in a 100-year
 33 perspective, assuming an emission reduction potential of 9.7% of the net C input. Likewise,

1 SOC change estimated for 20 years are also shown in Table 2, for which the emission
2 reduction potential was set to 19.8% of the net C input to soil (Petersen *et al.*, 2013).

3 **Table 1:** Crop production data. All data are per ha

4 **Table 2:** Crop-specific assessment parameters used in the calculation of SOC change

5 2.3.3. Soil quality

6 There are number of factors that affect soil quality such as compaction, soil nutrients and
7 SOC stock (Arshad and Martin, 2002). In this study, for the assessment of soil quality change
8 in the SOC stock (Δ SOC, in t C ha⁻¹) was used as an indicator (Brandão *et al.*, 2011; IPCC,
9 2000). The method used to calculate Δ SOC stock is presented in the form of Eq. (iii), and
10 was in accordance with Brandão *et al.* (2011) and Milà i Canals *et al.* (2007b). The first
11 component of the numerator in Eq. (iii) corresponds to the impact of the postponed
12 relaxation of the land use system (i.e. during transformation), and the second component
13 refers to the impact from changes in soil carbon in the current land use (i.e. during the
14 occupation of the land) (Brandão *et al.*, 2011). Relaxation was defined as the tendency of the
15 soil quality of the current land use reverting to the prior level in terms of achieving the SOC
16 stock of the reference situation (Brandão *et al.*, 2011). For this purpose, natural forest can be
17 regarded as a reference situation, assuming the current crop management was not in practice
18 (Milà i Canals *et al.*, 2007b). In this study Danish forestry was assumed as the reference
19 situation, and the relaxation rate was adapted from Nielsen *et al.* (2010) and Grüneberg *et al.*
20 (2014) (Table 3). Relaxation rate is the rate of SOC change that would take place if there is no
21 transformation of the land use, or if the land was undisturbed. Relaxation time is another
22 important parameter, since it is the period taken by the soil quality to revert to the
23 equilibrium condition (Brandão *et al.*, 2011). It was calculated from Eq. (iv). The calculation
24 of Δ SOC stock was based on the amortization period of 20 years, where final year (t_f) = 20
25 years, initial (t_{ini}) = 19. The annualized change in SOC stock (Δ SOC stock, expressed as t C
26 ha⁻¹ y⁻¹) (Brandão *et al.*, 2011) was thus calculated for the accounting period of 20 years. The
27 temporal scope of 20 years was chosen to be consistent with IPCC (2000) for the assessment
28 of soil quality. The Δ SOC stock was calculated considering the: (i) potential SOC stock
29 (SOC_{pot}), i.e. if the forest land use was left undisturbed, (ii) initial SOC stock (SOC_{ini}), i.e. of
30 the currently used arable land and (iii) final SOC stock (SOC_{fin}), i.e. the stock available at the
31 end after the annual SOC change during the land occupation contributes to the SOC_{ini} (Table
32 7). Both SOC_{pot} and SOC_{ini} are shown in Table 3. The annual rate of SOC change due to the
33 land occupation for producing the selected biomasses is shown in Table 2 (i.e. the values for
34 20 years).

$$\Delta SOC_{stock} = \frac{(SOC_{pot} - SOC_{ini}) * (t_{relax} - t_{ini}) + 1/2 * (t_{relax} - t_{ini}) * (SOC_{ini} - SOC_{fin})}{(t_{fin} - t_{ini})}$$

.....Eq. (iii) (Brandão et al., 2011).

3

$$t_{relax} = \left[t_{fin} + \left(\frac{soilCchange}{relaxationrate} \right) \right] \quad \text{.....Eq. (iv)}$$

Table 3: Basic parameters used for calculating the SOC stock change

2.3.4. Calculation of emission related to fertilizer application

A field N-balance method (Brentrup *et al.*, 2000; Hansen *et al.*, 2000) was used to calculate N-leaching. All the N-related inputs and outputs (e.g. plant uptakes) and losses (Table 4) were estimated before calculating N-leaching for the selected biomasses. Direct and indirect nitrous-oxide emissions (N₂O-N) were based on the emission factors reported in IPCC (2006). The emission factor for NH₃ emission was set to 2% of the N-fertilizer input (EEA, 2013; Nemecek and Kägi, 2007) and from the crops it was set to 0.5 kg N ha⁻¹y⁻¹ (Sommer *et al.*, 2004). Denitrification was calculated using the SimDen model (Vinther, 2005). These methods and models were used as they can represent variables of the specific agro-climatic condition that the current study has considered. The soil organic nitrogen (SON) change was calculated from the SOC change related to the land occupation of selected crops (Table 2) and applying the C/N ratio of 1:10. The method was in accordance with Mogensen *et al.* (2014).

Phosphorus (P) losses were set to 5% of the P-surplus (Nielsen and Wenzel, 2007). The P-surplus was calculated after accounting the P-uptakes by the plants (Møller *et al.*, 2000), as summarized in Parajuli *et al.* (2016).

Table 4: Biomass-specific N balances and emissions. All data are per ha

2.3.5. Calculation of freshwater ecotoxicity

The total PFWTox was calculated covering the two levels: (i) at farm level, estimating the emissions from pesticides during their application and (ii) at background level by covering the toxic emissions related to the production of the assumed material inputs entering into the agricultural system. At the farm level emission distribution fractions to air and freshwater of the respective active ingredients were calculated from the SI provided in Parajuli *et al.* (2016). The average emission distribution to air (first number in parentheses) and freshwater (second number in parentheses) were in the order of: herbicides (8%, 0.003%); fungicides (14.83%, 0.0003%); insecticides (5.63%, 0.00021%); growth regulator (36.92%, 0.0006%). For the calculation of total PFWTox, the chemical class of the pesticides was identified based on Footprint PPDB (2011) and ChemicalBook Inc. (2008), and when pesticide classes could not

1 be identified from the two data sources they were classified as “unspecified class” (Weidema
2 et al., 2013).

3 2.4. Sensitivity analysis

4 The sensitivity analyses covered following assessments and the results are presented in Table
5 7.

- 6 i. Variations in SOC change: It was calculated by varying the method to calculate the SOC
7 change compared to the basic scenario (Table 2, values for 20 years). In the sensitivity
8 analysis, the SOC change in 20 years was calculated using the method of IPCC Tier 1
9 (IPCC, 2006). The land use change factors assumed for the assessment are presented in
10 SI Table S.2.
- 11 ii. Variations on the results due to changes in the assumptions. The assessment included:
 - 12 a. Effect of SOC change on GWP₁₀₀: It included assessment of GWP without SOC
13 change.
 - 14 b. Effect of different type of N-fertilizer: It included urea instead of Calcium Ammonium
15 Nitrate (CAN) as a source of synthetic N-fertilizer. Changes were calculated for
16 GWP₁₀₀ and NRE use.
 - 17 c. Two-stage harvest of willow and effect on GWP₁₀₀: Specific fuel consumption in the
18 two-stage harvest technology is presented in the foot notes of Table 7.
- 19 iii. Variation in soil quality: This included the assessment of soil quality by varying: (a) the
20 rate of SOC change during the land occupation, as calculated from the above mentioned
21 method (in the point ‘ i’) compared to those presented in Table 2, and (b) the initial
22 SOC stock (Table 5).

23 **Table 5:** Main parameters for the sensitivity analysis on the calculation of Δ SOC stock for
24 the production of the selected crops

25 3. Results

26 3.1. Potential environmental impacts

27 *Global Warming Potential:* The obtained GWP₁₀₀ for producing straw was 264 kg CO₂ eq
28 tDM⁻¹. The impact of producing alfalfa and willow was only 32% and 38% respectively of the
29 impact calculated for straw (Table 6). On a hectare basis the results are shown in Figure 2,
30 which showed the lowest impact was for producing straw and the highest was for willow. In
31 the case of producing straw, emission from SOC change contributed 17% of the impact. In
32 contrast, for the production of willow and alfalfa the obtained SOC change was mitigating,
33 respectively 66% and 44% of the impact. The contribution from N₂O emissions to the
34 obtained GWP₁₀₀ of straw, willow and alfalfa production was 32%, 37% and 16% respectively
35 (Figure 3a). Variations on the N₂O emission was primarily due to different fertilization rate

1 (see Table 4). The production of agro-chemicals contributed with 29%, 71% and 41% to the
2 obtained impact for producing straw, willow and alfalfa respectively. The field operation
3 processes (tillage, application of agro-chemicals and harvest) contributed with 17% for straw.
4 For willow and alfalfa it was, respectively 45% and 75% of the obtained GWP₁₀₀ (Figure 3a).
5 Compared to other biomasses, alfalfa had higher contribution from the farm operation,
6 which was partly due to higher frequency of harvesting and loading and also due to higher
7 primary energy input to handle the biomass with higher moisture content (Table 1). The
8 production of the willow cuttings contributed 4.4% to the total GHG emissions obtained for
9 the biomass production. Contribution from the transportation was about 11% of the
10 respective GHG emissions obtained for both willow and alfalfa, and was 2% for straw. With
11 regard to the impact assessed per energy content of the biomass, it was lowest for willow,
12 followed by alfalfa and straw (Table 6).

13 *Eutrophication Potential:* The eutrophication potential expressed per t DM of the biomass
14 production was lowest for willow, followed by alfalfa and straw (Table 6). On a hectare basis
15 EP was lowest for straw among the selected biomasses (Figure 2). The impact was primarily
16 related to field emissions, e.g., nitrate leaching and ammonia and phosphate emissions (see
17 related emissions in Table 4). These jointly contributed 40%, 46% and 68%, respectively of
18 the total EP obtained for willow, alfalfa and straw (Figure 3b). It should be noted that
19 emission factors to the EP are higher for NH₃, and N₂O emissions than nitrate emissions
20 (Environdec, 2013), hence alfalfa with no synthetic fertilizer use had null NH₃ emissions
21 (related to fertilizer) and lower N₂O emissions (Table 4) resulted with a lower EP compared
22 to straw based on spring barley.

23 *Non-Renewable Energy use:* The obtained NRE use was highest for alfalfa, which was partly
24 because of its higher harvesting frequency and higher primary energy use for baling the fresh
25 biomass with higher moisture content (Table 1). On a hectare basis, NRE use was lowest for
26 straw and highest for alfalfa (Figure 2). A major contributor to NRE use was the production
27 of agrochemicals. Production of agro-chemicals contributed 20%, 45% and 47% of the total
28 NRE use obtained for alfalfa, willow and straw productions respectively. For willow and
29 straw the impact was mainly due to the production of N-fertilizer (Figure 3c). In contrast to
30 the impact expressed per t DM, in terms of energy content it was the lowest for willow
31 compared to the other biomasses. Production of willow cuttings contributed with 3% to the
32 total NRE use calculated for willow, which was comparable to the range reported in Djomo *et*
33 *al.* (2011).

34 *Agricultural Land Occupation and Potential Freshwater Ecotoxicity:*

35 The ALO was lowest for alfalfa, followed by straw and willow. With regard to PFWTox,
36 particularly at farm level it was highest for straw, followed by alfalfa and willow (Table 6).

1 The total PFWTox also resulted to be higher for straw production from spring barley, and was
2 lower in alfalfa and willow (Table 6). On the hectare basis, the impact was lowest for straw
3 and highest for alfalfa.

4 *Soil quality:*

5 A detrimental effect to soil quality was found for straw compared to willow and alfalfa (Table
6 6), which was partly due to:

7 (i) differences in the SOC change during the land occupation: SOC change during the
8 production of willow and alfalfa were -0.39 and -0.25 t C ha⁻¹y⁻¹ respectively (Table 2).
9 In contrast emissions from the SOC change during the production of barley were 0.298
10 t C ha⁻¹y⁻¹ (Table 2).

11 (ii) higher difference between the relaxation rate and the SOC change: The relaxation rate
12 was much higher (-0.31 t C ha⁻¹y⁻¹, Table 3) than the SOC change (0.298 t C ha⁻¹y⁻¹ in
13 Table 2) in the case of producing spring barley. This thus requires a longer time to
14 revert the soil quality to the prior situation (i.e. to the level of SOC_{pot}).

15 (iii) larger difference between the SOC_{pot} and SOC_{fin}: The impact was mainly caused by
16 the postponed relaxation-time during the production of the selected crops depending
17 on the differences between the SOC_{pot} with SOC_{ini} and SOC_{fin} (Table 3, Table 5 and
18 Table 7). The rate of SOC change due to the land occupation (Table 2), as discussed
19 above (in the point i) was the key factor on the scale of the differences between the
20 SOC_{ini} and SOC_{fin}. Due to these differences the calculated relaxation time for spring
21 barley was 20.96 years (Table 7), indicating that a longer period would be required to
22 return to the level of natural relaxation (with forest as land use). A similar situation was
23 for alfalfa, but the difference between the relaxation rate and the SOC change was not
24 so high compared to spring barley. For willow there was an increase in the SOC stock,
25 as the relaxation time was shorter (i.e. 18.73 years, see Table 7), hence soil quality
26 would be able to revert quickly back to the reference situation (Table 7). Relaxation
27 time for the selected biomasses is shown in Table 7.

28 For an annual crop similar effect was reported in Brandão et al. (2011), and highlighted that a
29 delay in relaxation would take place in such a situation (during the land use transformation)
30 and hence land occupation itself has little effect compared to the delayed relaxation. The
31 tendency of varying the soil quality as a result of different SOC change is further discussed in
32 section 4, and the results are presented in Table 9.

1 **Table 6:** Environmental impact potentials per t DM biomass production

2 **Table 7:** Soil quality effects at the cropping stage

3 **Figure 2:** Environmental impact potentials per ha of the biomass production.

4 **Figure 3:** Environmental hotspots related to GWP₁₀₀, EP and NRE use.

5 **4. Sensitivity analysis**

6 Table 7 lists the variations in the results for the selected categories. Details on the specific
7 assessments are as follows:

8 4.1 Variations in SOC change

9 With the use of IPCC method (IPCC, 2000), the annualized SOC change (in 20 years) for
10 willow changed from -0.4 t C ha⁻¹y⁻¹ (basic scenario, Table 2) to -0.9 t C ha⁻¹y⁻¹ (Table 7). The
11 result was however comparable to the range reported for SRC (Brandão et al., 2011; Dawson
12 and Smith, 2007; Murphy et al., 2014). For alfalfa, the SOC change was -0.25 t C ha⁻¹y⁻¹ in
13 the basic scenario (Table 2), which increased to -0.62 t C ha⁻¹y⁻¹ (Table 7) with the IPCC
14 method. The range covering both methods was close to the reported values for perennial
15 grasses and ley rotations, i.e. -0.5 to -0.62 t C ha⁻¹y⁻¹ (Dawson and Smith, 2007). In the case
16 of straw it varied from 0.15 t C ha⁻¹y⁻¹ (Table 2) to 0.32 t C ha⁻¹y⁻¹ (Table 7).

17 4.2. Variations in the Global Warming Potential-100

18 a. Effect of SOC change on GWP₁₀₀: The carbon footprint of straw was 83% lower
19 without SOC change, whilst it was 60% and 70% higher for willow and alfalfa (Table 6 and
20 Table 8).

21 b. Effect of different type of N-fertilizer: Compared to CAN, if urea was assumed as the
22 source of N-fertilizer then the carbon footprint of producing straw and willow would be lower
23 by approximately 75%, but NRE use would be 94% higher (Table 6 and Table 8). The reason
24 for the higher GHG emissions on the use of CAN was related to the emissions during nitric
25 acid production, which is one of the important formulating compounds in the production of
26 CAN (Agri-footprint, 2014). There are additional consequences of applying urea, which was
27 not covered in the current assessment, e.g. higher NH₃ emissions. Such variation is primarily
28 related due to how quickly plant can uptake the available N, which is in fact rely on the forms
29 of available N from urea and CAN. This is however also relying on the agro-climatic
30 conditions and the seasons of application of the fertilizers (Brentrup et al., 2000).

31 c. Two-stage harvest of willow and effect on GWP₁₀₀: A two-stage harvesting process was
32 found to increase the obtained GWP₁₀₀ and NRE use by 19% and 37%, respectively compared
33 to the basic scenario (Table 6 and Table 8). This was due to the higher diesel consumption in
34 the two-stage harvesting method (reported in the footnotes of Table 8).

1 **Table 8:** Sensitivity analysis on SOC change, GHG emissions and NRE use for the
2 production of the selected biomasses compared to the basic scenario

3 4.3. Soil quality

4 Scenario-1 (S_1) analyzed the effect of different SOC change on the soil quality (Table 9).
5 Hence, based on the SOC change estimated from the IPCC Tier 1 method, the change in SOC
6 stock was 0.3, -1.44 and -0.76 t C ha⁻¹y⁻¹ for straw, willow and alfalfa, respectively. Since, the
7 SOC change in S_1 was higher than the basic scenario, the differences between the SOC_{ini} stock
8 and the SOC_{pot} stock was lower or more accumulation of SOC to the pool. This was the
9 principal reason for the quick recovery of soil quality to the prior level, particularly for willow
10 and alfalfa (Table 9). Furthermore, selection of the SOC_{ini} stock would also vary the results
11 (Table 9). For instance, for all the biomasses, the difference between the SOC_{pot} and SOC_{fin}
12 would be lower if higher values are selected for the SOC_{ini} (Table 5). In the case of alfalfa
13 when the result of basic scenario was compared with the sensitivity scenarios (S_1 , S_2 and S_3),
14 it can be concluded that SOC change induced during the land occupation was one of the
15 determining factors to either revert the soil quality to reference situation by accumulating the
16 SOC to the pool or deplete the quality by depleting the SOC input to the soil pool.

17 **Table 9:** Variations in calculated soil quality as a result of SOC change and initial SOC stock
18 (values are given per ha; negative value indicates an increase in SOC stock)

19 5. Discussions

20 5.1. Comparing the selected environmental impact categories with other studies

21 5.1.1. Straw production

22 Mogensen et al. (2014) reported a carbon footprint for the production of straw from barley,
23 excluding and including the SOC change to be 68 and 91 kg CO₂ eq t DM⁻¹, respectively. The
24 difference in the carbon footprint compared to our study was partly due to the use of different
25 allocation factors (5% of the grain in their study), fertilization rates and emission factors of
26 diesel use and fertilizer production. In addition, there were also differences in the estimates
27 on the SOC change. In contrast, Korsæth *et al.* (2012) reported a carbon footprint of straw
28 from spring barley as 356 kg CO₂ eq t DM⁻¹ (with SOC changes), which nominally differed
29 from this study and was mainly due to different allocation factors. Despite the tools used to
30 calculate SOC change were different but was based on similar approaches. Although there
31 were variations in the results compared to other studies, based on the contribution from
32 biomass production value chains the results were comparable with the stated other studies.
33 For instance, the contribution of N₂O emissions to the GWP₁₀₀, as reported in this study
34 (section 3.1) was found to be similar to the range reported in Roer *et al.* (2012) and Kramer *et*
35 *al.* (1999).

36 Niero *et al.* (2015), Roer et al. (2012) and Korsæth et al. (2012) reported a higher equivalent
37 score for freshwater ecotoxicity for spring barley compared to this study. The reason behind

1 the differences was partly due to the different types and amount of pesticides applied, and
2 apparently a dissimilar emission distribution fractions of applied pesticides might be
3 principal reason for the differences. Furthermore, in Niero et al. (2015) emissions from the
4 inorganic elements deriving from animal slurry was also included, which was one of the main
5 reason for the difference.

6 5.1.2. Willow production

7 The carbon footprint of SRC, including willow, ranged from 0.6-12 kg CO₂ eq GJ⁻¹ (Djomo et
8 al., 2011; Dubuisson and Sintzoff, 1998; Krzyzaniak *et al.*, 2013; Matthews, 2001; Murphy et
9 al., 2014; Pacaldo *et al.*, 2012). Heller et al. (2003) reported a value of 0.68 kg CO₂ eq GJ⁻¹, its
10 size explained by the higher carbon sequestration, which was based on below-ground
11 residues. There were also some variations in the methods used to estimate the residues and
12 carbon assimilation, e.g. with regard to the method for calculating the below-ground
13 residues. For instance, the shoot-to-root ratio was used in Pacaldo et al. (2012) and Heller et
14 al. (2003). Sartori *et al.* (2007) reported both decline and increase in the SOC change for the
15 different methods used for calculating the available residues in soil. Brandão et al. (2011)
16 reported farm-gate GHG emissions was -102 kg CO₂eq GJ⁻¹ (with -497 kg CO₂ eq ha⁻¹y⁻¹
17 avoided due to SOC change), but excluding SOC change gave comparable results to the
18 current study (Figure 2).

19 Diesel used in farm operations for willow contributed 0.5 GJ ha⁻¹y⁻¹ (Table 1) and was
20 comparable to those found by Matthews (2001) and Pugesgaard et al. (2015). Including the
21 background processes, the total NRE use calculated per ha (Figure 2) was also close to 21.3
22 GJ ha⁻¹y⁻¹, as reported by Matthews (2001). In contrast, Brandão et al. (2011) reported 6.4 GJ
23 ha⁻¹y⁻¹ as the total energy input. Minor differences compared to our study were related to the
24 processes covered by the background system, assumed life cycle span and the frequency of
25 fertilization. Regarding the freshwater ecotoxicity calculated for the foreground system it was
26 comparable to that of *Salix* (Nordborg *et al.*, 2014).

27 5.1.3. Alfalfa production

28 Alfalfa production, as undersown in rotation (corn-soybean-alfalfa, conventional) was
29 reported with GHG emission and NRE use as 71 kg CO₂ eq ha⁻¹y⁻¹ and 1.5 GJ ha⁻¹ respectively
30 (Adler *et al.*, 2007). The differences in the results were also partly due to different emission
31 factors assumed for diesel use and the different system boundary used for the assessment. In
32 contrast, Gallego et al. (2011) reported a higher carbon footprint and a total NRE use of 3.8
33 GJ t DM⁻¹. The difference was due to consideration of a drying process to achieve a higher
34 DM content (i.e. 89%) in their study. If the drying process was excluded from their results,
35 the value for NRE use was comparable. Likewise, Sooriya Arachchilage (2011) and Vellinga *et*
36 *al.* (2013) reported that GHG emissions for alfalfa (including the transportation to
37 biorefinery plant) was about 100 kg CO₂ eq t DM⁻¹ including transport to a biorefinery plant,

1 which was close to our result. The reported NRE use by Vadas *et al.* (2008) was 4 GJ ha⁻¹,
2 and this was based on the mass allocation from the total normal yields of crops in a four-year
3 rotation. The results of the current study on ha basis are shown in Figure 2.

4 With regard to EP, values for alfalfa ranged from 0.4 to 1.14 kg PO₄³⁻eq t DM⁻¹ (Gallego *et al.*,
5 2011; Sooriya Arachchilage, 2011). The major contributing processes and emissions were
6 from applied N fertilizer, and the main substances responsible for the impact were NH₃
7 emissions, nitrate and phosphate leaching, which is consistent with the results of the current
8 study, as reported in Table 4.

9 5.2. Soil quality and the affecting factors

10 In this study, an accumulation of SOC was found during the production of willow (i.e. -1.06 t
11 C ha⁻¹ y⁻¹, Table 9), which was the result of a higher SOC change (Table 2) relative to the
12 relaxation rate (Table 5). The annualized SOC stock change (in t C ha⁻¹ y⁻¹) for SRC is reported
13 to range from -0.3 to -2.8 t C ha⁻¹ y⁻¹, depending on the annualized period used for the
14 calculation (e.g. 25 to 115 years) (Dawson and Smith, 2007). The results obtained in our
15 study also fell within that range, as did the results of Falloon *et al.* (2004) and Murty *et al.*
16 (2002). Willow showed high potential for a quick recovery due to higher SOC change during
17 the land occupation than the relaxation rate (Table 9). This was opposite in the case of alfalfa,
18 but it was varying with the different rate of SOC change, as discussed in section 4.3 (Table 9).
19 For instance, for alfalfa potential improvement to the soil quality was found in S₁ and S₃
20 compared to the basic scenario and S₂ (Table 9). Likewise, the annualized Δ SOC stock for
21 alfalfa (Table 6 and Table 9) was found comparable to leys in rotation and permanent
22 grassland (-0.35 to -1.6 t C ha⁻¹ y⁻¹), as reported in Guo and Gifford (2002), Murty *et al.* (2002)
23 and Smith *et al.* (1997). Termansen *et al.* (2015) reported that the effect on SOC stock during
24 the shift from a cereal crop rotation to grass was about -0.49 t C ha⁻¹ y⁻¹ in Danish soil, and
25 further argued that it will take place over a longer period until a new equilibrium in the soil is
26 reached (estimated to be 20-40 years). This was comparable to the situation for alfalfa, as
27 reported under S1 in the sensitivity analysis (Table 9). Meanwhile, there was a depletion of
28 SOC stock in the case of spring barely production (Table 6 and Table 9).

29 In general, conversion of a natural ecosystem, such as forest and grassland to managed
30 agriculture has about 10-59% decline in SOC stock. On the other hand by replacing crops
31 with pasture and woody plantation tends to increase SOC stock (Qin *et al.*, 2016). In this
32 study, based on the obtained final SOC stock the impact of land use conversion (i.e. from
33 forest to arable land) showed 54% decline in SOC stock (Table 7 and Table 9). Moreover, in
34 relative to the initial SOC stock, the final SOC stock for willow and alfalfa showed
35 accumulation of SOC by 0.44% and 0.28% respectively, whilst the depletion in the case of
36 spring barley was 0.33%. Tonini and Astrup (2012) reported that during a land use change
37 from spring barley to willow the SOC stock change was -15 t C ha⁻¹; and during the conversion
38 from cropland to grassland it was -8 t C/ha. This was comparable to the non-annualized

1 values of willow, i.e. -21 t C ha^{-1} and -29 t C ha^{-1} , as calculated from the basic scenario and S1
2 (Table 9). For alfalfa, based on S₁ it would be -15 t C ha^{-1} (calculated from Table 9).

3 5.3. Utilization of biomasses

4 Based on the energy content of the selected biomasses, the current study showed that for
5 most of the impact categories, willow performed better compared to the rest of the biomasses
6 (Table 6). In addition, the total energy output-to-input ratio for producing 1 t DM of biomass
7 was 7, 13 and 7 for straw, willow and alfalfa, respectively. The value for willow was close to
8 the ratio of SRC reported in Manzone *et al.* (2009) and also corresponds to the lower range
9 for SRC reported in Djomo *et al.* (2011). The energy output to input ratio are relevant when
10 the biomasses have to be considered for thermo-chemical conversion of biomasses
11 (McKendry, 2002a). Moreover, other physio-chemical compositions of the biomass are also
12 relevant to prioritize them for specific biorefinery platforms (Parajuli *et al.*, 2015b). For
13 instance, carbohydrate content of alfalfa, willow and straw are 60%, 56% and 76% (Møller *et al.*,
14 2005a; Parajuli *et al.*, 2015b). On the basis of carbohydrate content of straw, the
15 equivalent mass of alfalfa and willow would be thus 1.18 and 1.1 t DM. Hence the
16 environmental impacts of their biochemical conversions (e.g. in sugar based platform of
17 biorefinery) (Parajuli *et al.*, 2015b) would be therefore differing based on the conversion
18 efficiency of the carbohydrates in the related biorefinery processes (Huang and Zhang, 2011).
19 Likewise, in general, net bio-energy conversion efficiencies for biomass combustion in power
20 plants range from 20% to 40%, integration of gasification and combustion (40-50%),
21 pyrolysis to produce bio-oil (up to 80%) (McKendry, 2002b) and for conversion to bioethanol
22 up to 70% (Larsen and Henriksen, 2014). In addition to these, if biomass utilization
23 efficiency (BUE) is used as a proxy indicator to measure the efficiency of utilizing waste
24 produced during their conversions then the conversion of biomass to bio-methane showed
25 BUE as 20.3, bioethanol (47.2 from glucose, whilst 34.6 to 38.1 from cellulose), pyrolytic
26 gasification (12.1 from cellulose), biodiesel (72.7 to 98) (Iffland *et al.*, 2015). These showed
27 that optimum utilization of resources would thus be beneficial for their sustainable
28 conversions.

29 5.4. Consequences of biomass utilization

30 For the sustainability of biorefinery and bioenergy value chains the most important aspect is
31 to maintain a year round supply of biomass. Hence, this stresses to assess potential
32 consequences of utilizing biomasses, e.g. in relative to the current applications. For instance,
33 the current application sides of Danish recovered straw are 49% as fuel, 32% for fodder, and
34 19% as bedding materials in livestock houses (Gylling *et al.*, 2013). Likewise, alfalfa is used
35 as a fodder and bioenergy crops (Sørensen *et al.*, 2013). Willow is also increasingly used as
36 one of the options to energy crops (Nord-Larsen *et al.*, 2015). Here, potential consequences
37 would be therefore on SOC change and soil fertility, if exploitation of residues exceeds the
38 sustainable recovery rate (Scarlat *et al.*, 2010). Likewise, balancing the supply and demand of

1 biomass both as bioenergy crops and biorefinery feedstocks would also be pertinent to
2 examine in the transitions of biomass applications (Parajuli et al., 2015a). On the other hand,
3 it was argued that biorefineries will be able to produce animal fodder, which can replace
4 some of the cereal that is used for animal fodder today. Estimates showed that if 10-15 % of
5 the dry matter in straw and grasses is converted to animal feed, a comparable feed
6 production will be able to achieve to what it is lost from the smaller area with cereal and rape
7 (Gylling et al., 2013). These features revealed that over-exploitation of biomasses for energy
8 purpose or for the production of materials could be an issue, in the absence of proper
9 management of land use, and have to be taken seriously if these biomasses are going to be a
10 fundamental platform of a Danish bioeconomy (Parajuli et al., 2015a). On the other hand, it
11 was also revealed that the opportunities of co-producing different products from biorefineries
12 can partially check the potential competitions among their alternative applications.

13 Apart from above discussed issues, in the current study effects of indirect land use change
14 was not included in the assessment of GHG emissions. It was to avoid methodological chaos,
15 which can be caused by summing average and marginal effects (Creutzig *et al.*, 2012). These
16 however can be diligently examined when evaluating conversion of biomass into different
17 biobased products by adopting different approaches of the LCA.

18 **6. Conclusions**

19 The general conclusion of the study was that the advantages of perennial crops over annual
20 crops were their higher dry matter and energy yield, and were with relatively lower potential
21 environmental impacts. Net biomass yield was the driving factor for lowering the
22 environmental impacts for willow and alfalfa compared to straw. This was revealed from the
23 differences on the results presented on hectare basis and per t DM basis for the selected
24 crops. The impact was also determined by the material inputs, e.g. synthetic fertilizers,
25 mainly N-fertilizer, types and amount of pesticides and the frequency of farm operations
26 assumed during the production of the selected crops.

27 The study also showed the importance of understanding the implications of different
28 agricultural management practices to the overall environmental impact potentials, for
29 example with regard to SOC changes, maintenance of soil health and emissions from field
30 operations. Willow and alfalfa contributed positively to soil quality, and the result was
31 depending on the rate of SOC change that is induced during the land occupation. Willow and
32 alfalfa had a higher nutrient use efficiency and lower nutrient leaching, thus had relatively
33 lower EP. In addition, this study also showed that N₂O emission was one of the major
34 contributors to GWP₁₀₀ obtained for the respective biomasses. For almost all impact
35 categories the production of agro-chemicals had the largest impact. This stresses the need of
36 minimizing the use of synthetic fertilizer, e.g. by recycling/reusing organic matter in waste
37 streams of biomass conversion technologies such as biorefineries. In the context of
38 diversifying the biomass supply, particularly in the thermo-chemical conversion routes it is

1 relevant to know if the biomass production system is a net energy producer or a consumer.
2 On such assessment on willow showed it performing better among the selected biomasses.
3 With regard to NRE use, straw had the lowest impact compared to the rest of the biomasses.
4 The agricultural land occupation was lowest for alfalfa followed by straw and was highest for
5 willow. These showed mixed results for the biomasses with regard to different environmental
6 impact categories.
7 Finally, a comparison of biomass feedstocks as assessed at the farming system level may not
8 give a complete picture of the environmental sustainability, as it also depends on how
9 feedstocks are going to be utilized to satisfy societal demands. Feedstocks are also dependent
10 on their chemical constituents and hence their conversion efficiency in bioenergy and
11 biorefinery production chains. Hence, a future research perspective could be to assess the
12 environmental and economic impact of biomass conversions in relevant biorefinery
13 platforms and compare them with the conventional products. This requires integration of an
14 agricultural system LCA, e.g. assessed at the farm gate level as in this study, with the LCA of
15 the industrial processing of biomass to produce biobased products, e.g., via a biorefinery.

16

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1 **Figure captions**

2 **Figure 1:** System boundaries for the selected biomasses and related elementary flows.
3 (Figure 1a represents the general system boundary and Figure 1b represents the production
4 cycle of willow.).

5 **Figure 2:** Environmental impact potentials per ha of the biomass production.

6 **Figure 3:** Environmental hotspots related to GWP_{100} , EP and NRE use.

7

1 **Table 1:** Crop production data. All data are per ha

Materials	Unit	Amount			Remarks
		Spring barley- straw	Willow	Alfalfa	
Inputs					
Land (ha)	ha	1	1	1	
Seed (kg)	ha ⁻¹ y ⁻¹	32	-	11	(Jørgensen et al., 2011).
Cuttings	numbers ha ⁻¹	-	12000	-	See section 2.3.1
Synthetic fertilizer ^a	kg ha ⁻¹ y ⁻¹				(NaturErhvervstyrelsen, 2015)
N		23	74 ^b	-	
P		6	32	33	
K		8	172	214	
Lime	kg ha ⁻¹ y ⁻¹	31.7	8	56	after Hamelin et al. (2012)
Pesticides	kg ha ⁻¹ y ⁻¹	0.11	1.04	0.33	SI (Table S.5)
Lubrication oil	l ha ⁻¹ y ⁻¹	2	4	14	Dalgaard <i>et al.</i> (2001)
Direct primary energy input	MJ ha ⁻¹ y ⁻¹	492	458	4189	diesel (a + b); cuttings included in the case of willow (SI Table S.3).
a. Field preparation ^b	MJ ha ⁻¹ y ⁻¹	325	214	688	Diesel input (Dalgaard et al., 2001)
b. Harvesting + loading - handling ^c	MJ ha ⁻¹ y ⁻¹	167	234	3501	
c. Transport					
- seeds ^d	t km ha ⁻¹	6.1	-	2	
- Cuttings	t km ha ⁻¹	-	48	-	SI, Table S.3
- agrochemicals ^e	t km ha ⁻¹	14.25	73	78	
- biomass	t km ha ⁻¹	4.18	64	105	

(field to farm)^f

Output at farm gate (net yield)

Dry matter yield	t DM ha ⁻¹ y ⁻¹	2.24	10.63	12.2	See section 2.3.1
Lower heating value [§]	GJ ha ⁻¹ y ⁻¹	34	199	170	

Assumptions:

^a N-fertilizer input: N-norms – N-fixation + N-seeds + N-deposition. (see Table 4)

^b Included tillage and application of agrochemicals. Heating value of diesel = 35.95 MJl⁻¹, Density = 0.84 kg/l (Weidema et al., 2013).

^c Calculation for the loading and handling:

[†] Baling = DM/ha * bale/160 kgfw/% DM * 1000 kg/t * 0.23 (Hamelin et al., 2012). Diesel input = 0.743 kg bale⁻¹.

[‡] Bale loading (straw and alfalfa) = (Number of bales/ha / 0.23) * 0.0811 kg/bale (Hamelin et al., 2012).

[‡] Loading for barley grain = 0.119 litre m⁻³ fodder (Møller et al., 2000). Fodder (m³) = DM/ha * kgfw/DM% * 0.004 m³ fodder loading/kgfw * 1000 kg/t (Hamelin et al., 2012).

^d Mass of seed * distance (= 200 km) (Parajuli *et al.*, 2014).

^e Materials (fertilizer + lime + pesticides) * distance (200 km)

^f Tonnes of fresh biomass (at farm) * 3 km (single trip). Distance assumed, as in Mogensen et al. (2014). DM content: straw (85%) and alfalfa (35%) (Møller et al., 2005b), willow (50%) (Heller et al., 2003). The emission stage for the truck used was EUR5 (Weidema et al., 2013), single trip.

[§] Lower heating value (MJ kgDM⁻¹): *straw bales = 15 (Nielsen, 2004); alfalfa bales = 14 (Jørgensen *et al.*, 2008); willow chips = 18.7 (Pugesgaard et al., 2015).

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2

1 **Table 2:** Crop-specific assessment parameters used in the calculation of SOC change

Parameters/Crop types	Unit	Spring barley	Willow	Alfalfa
Net biomass yield ^a	t DM ha ⁻¹ y ⁻¹	4.08	10.63	12.2
Straw yield	t DM ha ⁻¹ y ⁻¹	(2.24) [±]	-	-
Plant growth, total	t DM ha ⁻¹ y ⁻¹	10.44 ^b	13.27 ^c	22.7 ^b
Below-ground residues ^b	t DM ha ⁻¹ y ⁻¹	1.77 ^b	5.22 ^c	5.92 ^b
Above-ground residues	t DM ha ⁻¹ y ⁻¹	3.55 ^d	5.46 ^c	3.17 ^d
Total plant residues ^e	t DM ha ⁻¹ y ⁻¹	5.32	10.69	9.09
Plant residues N ^f	t N ha ⁻¹ y ⁻¹	4.5*10 ⁻²	5.3*10 ⁻²	8.9*10 ⁻²
C input from residues from the reference crop ^g	t C ha ⁻¹ y ⁻¹	0.29	0.29	0.29
C input from DM from the selected crops ^g	t C ha ⁻¹ y ⁻¹	1.4	4.92	4.2
SOC change				
- in 100 years ^h	t C ha ⁻¹ y ⁻¹	0.15	-0.19	-0.12
- in 20 years ⁱ	t C ha ⁻¹ y ⁻¹	0.3	-0.4	-0.25
Emissions from SOC change (100-years) ^j	t CO ₂ ha ⁻¹ y ⁻¹	0.54	-0.71	-0.45

Assumptions:

[±] Value in the parenthesis for spring barley represent the straw yield.

^a See section 2.3.1 for the data on biomass yield.

^b Calculated based on Harvest index (alpha) and root mass (beta) relative to above-ground residues for: barley (Taghizadeh-Toosi et al., 2014a); for alfalfa elaborated in SI, Table S.1. In the case of barley, 1 t DM straw (i.e. 46% of the straw yield) was removed from the field, as the feedstock.

^c Non-harvestable residues of willow were calculated based on Eq.(i) and Eq. (ii).

^d Non-harvestable above-ground residues = Total plant residues – total root residues.

^e Total non-harvestable plant residues = above ground + below ground residues.

^f Calculated from the “Total plant residue ^d”. Norms of N content (% DM) in stubble/straw, root. CP = Barley (10.6, 3.3) (average of years 2000-2013, based on reports (Møller et al.,

2005a; Møller *et al.*, 2012; Møller and Sloth, 2013; Møller and Sloth, 2014; Vils and Sloth, 2003); willow (0.45) (Pugesgaard *et al.*, 2015); and alfalfa (16.2, 14.7) (Djurhuus and Hansen, 2003; Thøgersen and Kjeldsen, 2014).

^g Calculated from the total C assimilation, i.e. 46% of the DM input (Taghizadeh-Toosi *et al.*, 2014a).

^h SOC change in 100 years = 9.7% of net C input (Petersen *et al.*, 2013). Negative values indicate soil C sequestration

ⁱ SOC change in 20 years = 19.% of net C input (Petersen *et al.*, 2013). Negative values indicate soil C sequestration.

^j Emission from SOC change (in t C ha⁻¹y⁻¹) multiplied by the ratio of the mol. weight of CO₂ to C (44/12).

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2

1 **Table 3:** Basic parameters used for calculating the SOC stock change

Parameters	Basic Scenario
SOC change during the land occupation (t C ha ⁻¹ y ⁻¹)	See Table 2
Natural relaxation rate (t C ha ⁻¹ y ⁻¹) ^a	-0.31
SOC _{ini} stock (t C ha ⁻¹) ^b	90
SOC _{pot} stock (t C ha ⁻¹) ^c	168

Assumptions:

^a Danish forest land was used as the reference situation and the relaxation rate was assumed as -0.31 t C/ha/y (Grüneberg et al., 2014; Nielsen et al., 2010). Negative value indicates soil C sequestration during the reference situation.

^b SOC_{ini} stock of agricultural land (Taghizadeh-Toosi *et al.*, 2014b).

^c SOC_{pot} stock based on forest land use (Krogh *et al.*, 2003).

2

3

1 **Table 4:** Biomass-specific N balances and emissions. All data are per ha

	Unit	Amount			Comments/Remarks
		Barley- Straw [†]	Willow	Alfalfa	
Total N-input ^a	kg N ha ⁻¹ y ⁻¹	26	89	358	
N-output ^b	kg N ha ⁻¹ y ⁻¹	16	48	291	Table 1
Field balance	kg N ha ⁻¹ y ⁻¹	10	41	67	N _{input} -N _{output}
N losses	kg N ha ⁻¹ y ⁻¹				
NH ₃ -N		0.83	3.49	0.5	(EEA, 2013; Nemecek and Kägi, 2007; Sommer et al., 2004)
NO _x -N		0.11	0.48	0.07	NO _x -N: NH ₃ -N = 12:88 (Schmidt and Dalgaard, 2012)
Denitrification		0.17	9	13	(Vinther, 2005).
Soil change, N	kg N ha ⁻¹ y ⁻¹	-3.61	19	13	See section 2.3.4
Potential leaching	kg N ha ⁻¹ y ⁻¹	11	9	41	Field balance - losses
Total N ₂ O-N losses (direct +indirect)	kg N ha ⁻¹ y ⁻¹	0.41	0.85	0.34	(IPCC, 2006)
P losses	kg P ha ⁻¹ y ⁻¹	0.15	1.6	1.65	Section 2.3.4

Assumptions:

[†] N balance for straw was allocated from the spring barley production.

^a Total N-input = F_{SN} + N_{fixation}^p + N_{deposition}[†] + N_{seed}[±].

^p N_{fixation} for alfalfa = 353 kg N ha⁻¹y⁻¹(Høgh-Jensen and Kristensen, 1995) and (Rasmussen *et al.*, 2012).

[†]N deposition = 15 kg N ha⁻¹ (Ellermann *et al.*, 2005)

[±]N_{seed} calculated after the Farm-N model (Jørgensen *et al.*, 2005).

^b Calculated based on Crude N and the DM yield. kg N per t DM yield for: spring barley = 0.0173 and straw= 0.006 (Møller *et al.*, 2012; Møller and Sloth, 2013, 2014; Vils and Sloth, 2003)), alfalfa =0.024 (Møller *et al.*, 2005a); Thøgersen and Kjeldsen (2015) and willow =

0.0045 (Pugesgaard et al., 2015).

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2

1 **Table 5:** Main parameters for the sensitivity analysis on the calculation of Δ SOC stock for
 2 the production of the selected crops

Parameters and scenarios	Scenario 1 (S ₁)	Scenario 2 (S ₂)	Scenario 3 (S ₃)
SOC change for the selected crops (t C ha ⁻¹ y ⁻¹)	IPCC Tier 1 ^a	Table 2 ^b	IPCC Tier 1 ^a
Relaxation rate (t C ha ⁻¹ y ⁻¹) ^c	-0.31	-0.31	-.31
SOC _{ini} stock (t C ha ⁻¹)	153 ^d	153 ^d	140 ^e
SOC _{pot} stock (t C ha ⁻¹ a) ^e	168	168	168

Assumptions:

^a, SOC change (in 20 years) based on IPCC method.

^b Table 2 and using the (Petersen et al., 2013) method for 20 years.

^c Relaxation rate = -0.31 t C ha⁻¹ y⁻¹ (Grüneberg et al., 2014; Nielsen et al., 2010). Negative values indicate soil C sequestration.

^d Based on Adhikari *et al.* (2014).

^e Based on Krogh et al. (2003).

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1 **Table 6:** Environmental impact potentials per t DM biomass production

Environmental impacts	Unit	Spring barley-		
		straw	Willow	Alfalfa
GWP₁₀₀				
- with SOC change ^a	kg CO ₂ eq t DM ⁻¹	264	100	84
	kg CO ₂ eq GJ ⁻¹	18	5	6
EP				
EP	kg PO ₄ eq t DM ⁻¹	1.35	0.8	1.26
	kg PO ₄ eq GJ ⁻¹	0.09	0.04	0.09
NRE use				
NRE use	MJ eq t DM ⁻¹	1225	1416	1991
	MJ eq GJ ⁻¹	82	76	143
ALO				
ALO	m ² t DM ⁻¹	869	949	852
	m ² GJ ⁻¹	58	51	61
PFWT_{tox}				
- at field level only				
- at field level only	CTU _e t DM ⁻¹	33	0.35	4.44
	CTU _e GJ ⁻¹	2.23	0.02	0.32
- total				
- total	CTU _e t DM ⁻¹	113	61	71
	CTU _e GJ ⁻¹	8	3	5
Soil quality (Δ SOC stock)^b				
Soil quality (Δ SOC stock) ^b	t C t DM ⁻¹	1.22	-0.1	0.06
	t C GJ ⁻¹	0.08	-0.01	0.004

^a SOC during the occupation of land.

^b ΔSOC stock indicates the change in the SOC stock due to transformation and the occupation of land (see section 2.3.3). Negative value indicates an accumulation of SOC to the pool.

2

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4

1 **Table 7:** Soil quality effects at the cropping stage

Biomass source	SOC _{ini} ^a	SOC _{fin} ^{a,b}	t _{relax} ^a
Spring barley	90	89.7	20.96
Willow	90	90.39	18.73
Alfalfa	90	90.25	19.2

^a See section 2.3.3.

^b SOC_{fin} = SOC_{ini} + SOC change during the land occupation.

2

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4

1 **Table 8:** Sensitivity analysis on SOC change, GHG emissions and NRE use for the
 2 production of the selected biomasses compared to the basic scenario

Impact potentials for the alternative scenarios	Spring barley straw	Willow	Alfalfa
A. Emissions due to soil C change in 20 years^a			
(t C ha ⁻¹ y ⁻¹)			
- Basic scenario ^a	0.3	-0.4	-0.25
- Based on IPCC Tier 1 method (IPCC, 2006) ^b	0.32	-0.9	-0.62
B. Net GWP₁₀₀ (kg CO₂ eq t DM⁻¹)			
i. with SOC change ^c	264	100	84
ii. without SOC change ^d	222	167	120
iii. Changed N-fertilizer use (Urea)^e			
- Net GWP ₁₀₀ (kg CO ₂ eq t DM ⁻¹)	212	63	-
- NRE use (MJ eq t DM ⁻¹)	1283	1486	-
iv. Use of two-stage harvesting method for willow^f			
- Net GWP ₁₀₀ (kg CO ₂ eq t DM ⁻¹)	-	119	-
- NRE use (MJ eq t DM ⁻¹)	-	194	-

Assumptions:

^a See Table 2

^b See SI, Table S.2 for the factors of the land use changes.

^c See Table 6.

^d Calculated from Table 6 by deducting the SOC change estimated for 100 years (see Table 2).

^e Emission factor for Urea: GWP₁₀₀ = 1.24 kg CO₂ eq kg N⁻¹ and NRE use = 53.51 MJ eq kg N⁻¹ (Agri-footprint, 2014).

^f The basic scenario included single stage harvester (cut and chip) (see section 2.3.1). For two-stage harvester, diesel consumption = 22 kg ha⁻¹ (for cutting) and 21 kg ha⁻¹ (for chipping) (Berhongaray *et al.*, 2013).

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1 **Table 9:** Variations in calculated soil quality as a result of SOC change and initial SOC stock
 2 (values are given per ha; negative value indicates an increase in SOC stock)

Scenarios	Spring barley								
	straw			Willow			Alfalfa		
	Δ	SOC	relaxation	Δ	SOC	relaxation	Δ	SOC	relaxation
	stock		time	stock		time	stock		time
	(t C ha ⁻¹ y ⁻¹)	(years)	(t C ha ⁻¹ y ⁻¹)	(years)	(t C ha ⁻¹ y ⁻¹)	(years)	(t C ha ⁻¹ y ⁻¹)	(years)	(years)
Basic scenario ^a	1.47		20.96	-1.06		18.73	0.77		19.2
Sensitivity scenarios ^b									
S ₁	0.30		21.03	-1.44		17.08	-0.76		18
S ₂	0.29		20.96	-0.21		18.73	0.15		19.2
S ₂	0.55		21.03	-2.69		17.08	-1.42		18

^a Methods for the calculation are described in section 2.3.3.

^b Scenarios for the sensitivity analysis and the parameters are shown in Table 5.

3

4

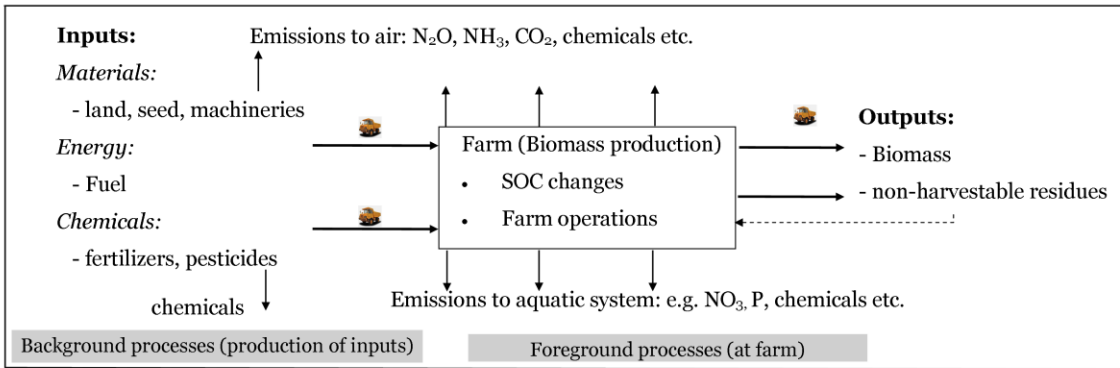


Figure 1.a. Schematic system boundary for the biomass production

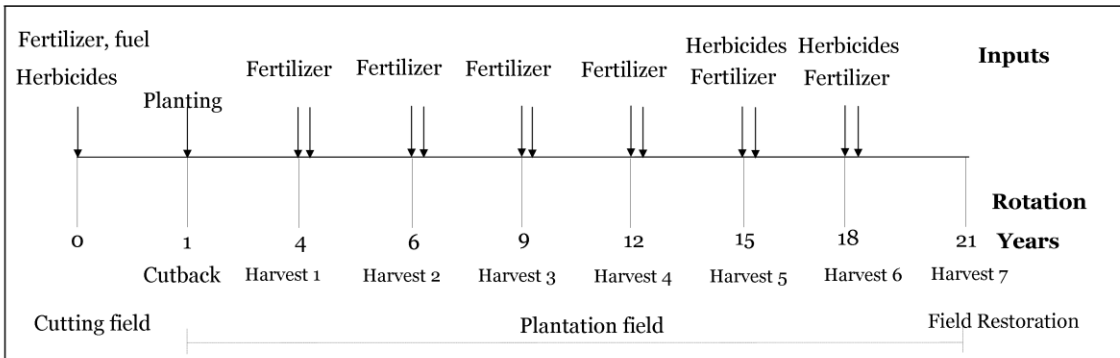
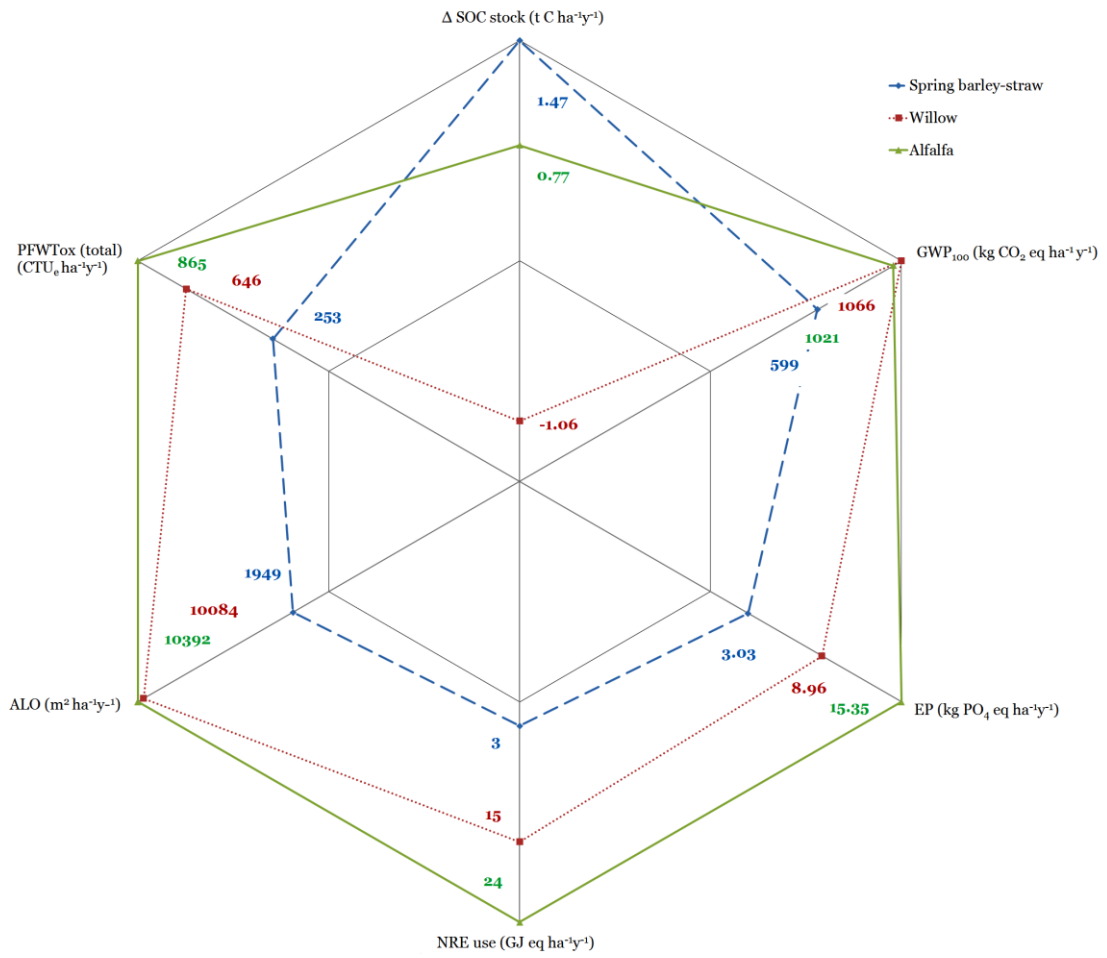


Figure 1.b. Schematic system boundary for the detail material inputs/activities for willow production at Foreground level

- 1
- 2
- 3

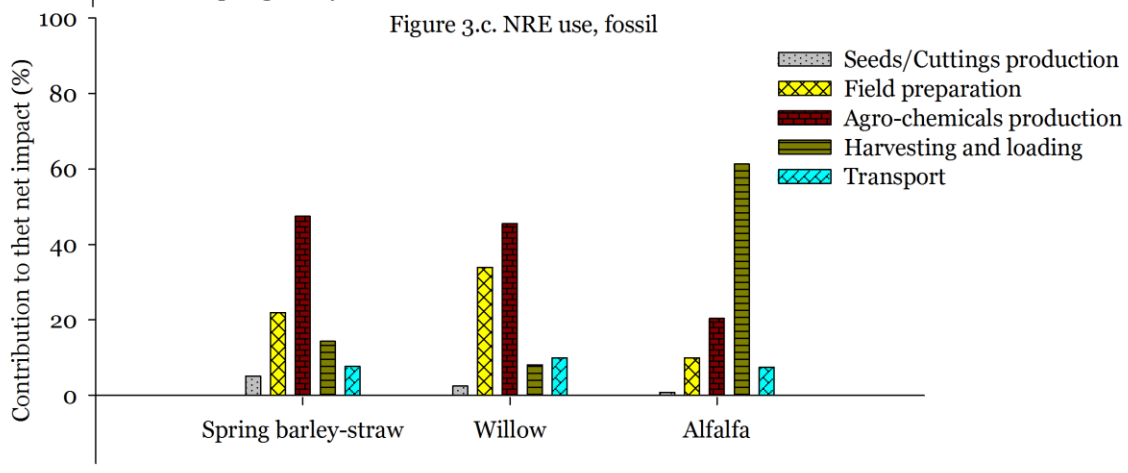
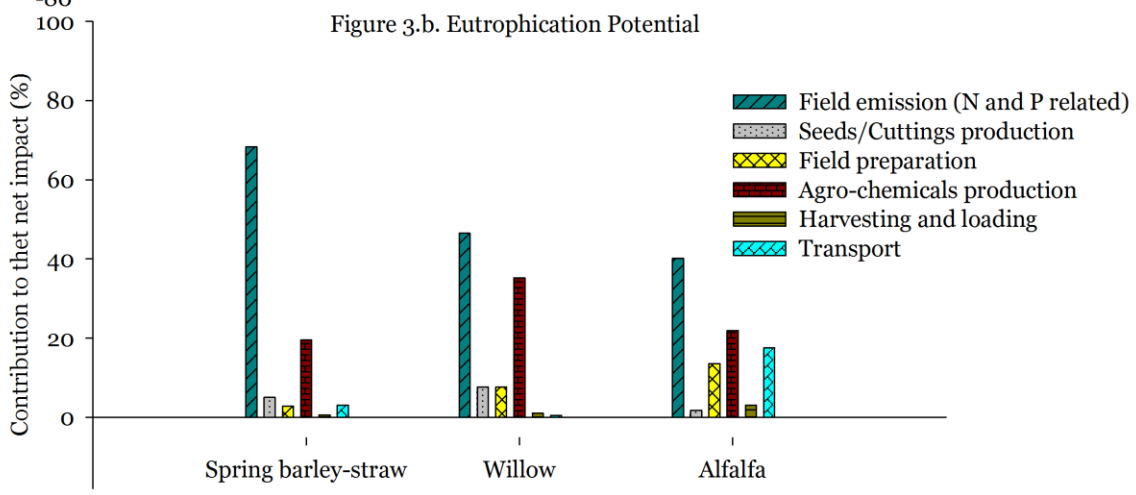
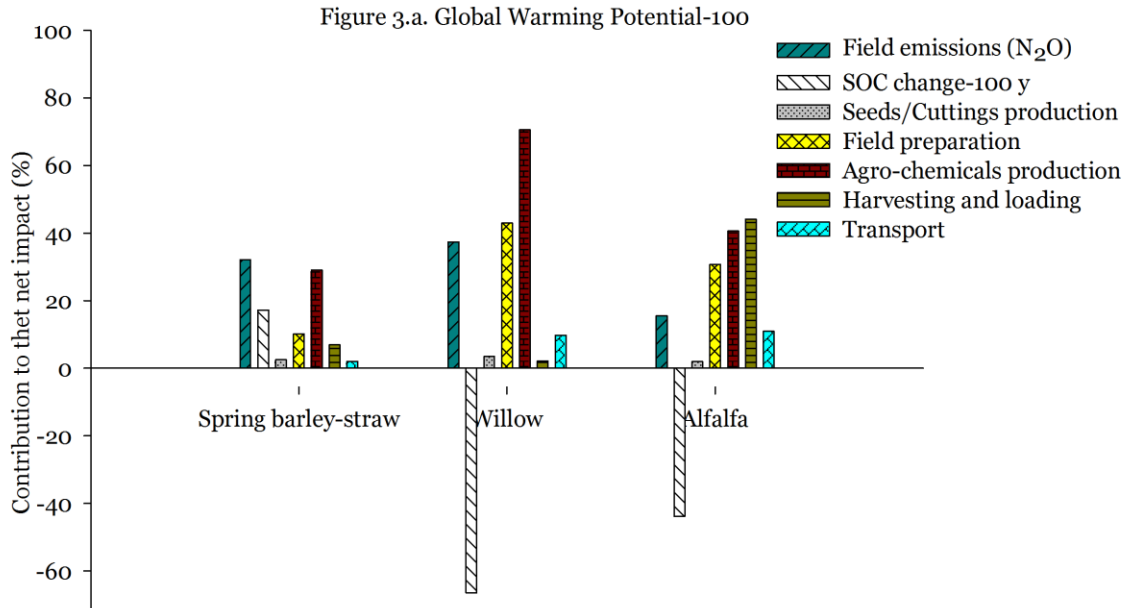
Fig. 1



1

2 Fig. 2.

3



1
2 Fig. 3.