



1    **Chemical composition, optical properties, and oxidative potential of water-**  
2    **and methanol-soluble organic compounds emitted from the combustion of**  
3    **biomass materials and coal**

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5    Tao Cao<sup>1,2</sup>, Meiju Li<sup>1,3</sup>, Chunlin Zou<sup>1,3</sup>, Xingjun Fan<sup>4</sup>, Jianzhong Song<sup>1,2,5,\*</sup>, Wanglu, Jia<sup>1,2</sup>,  
6    Chiling Yu<sup>1,2</sup>, Zhiqiang Yu<sup>1,2</sup>, Ping'an Peng<sup>1,2,3,5</sup>

7

8    <sup>1</sup>State Key Laboratory of Organic Geochemistry and Guangdong Provincial Key Laboratory  
9    of Environmental Protection and Resources Utilization, Guangzhou Institute of Geochemistry,  
10    Chinese Academy of Sciences, Guangzhou 510640, China

11    <sup>2</sup>CAS Center for Excellence in Deep Earth Science, Guangzhou, 510640, China

12    <sup>3</sup>University of Chinese Academy of Sciences, Beijing 100049, China

13    <sup>4</sup>College of Resource and Environment, Anhui Science and Technology University, Anhui  
14    233100, China

15    <sup>5</sup>Guangdong-Hong Kong-Macao Joint Laboratory for Environmental Pollution and Control

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17    *\*Correspondence to:* Jianzhong Song ([songjzh@gig.ac.cn](mailto:songjzh@gig.ac.cn))

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19



20 **Abstract**

21 Biomass burning (BB) and coal combustion (CC) are important sources of brown carbon  
22 (BrC) in ambient aerosols. In this study, six biomass materials and five types of coal were  
23 combusted to generate fine smoke particles. The BrC fractions, including water-soluble organic  
24 compounds (WSOC), humic-like substances (HULIS), and methanol-soluble organic  
25 compounds (MSOC), were subsequently fractionated and their optical properties and chemical  
26 structures were then comprehensively investigated using UV-visible spectroscopy, proton  
27 nuclear magnetic resonance spectroscopy ( $^1\text{H-NMR}$ ), and fluorescence extraction-emission  
28 matrix spectroscopy (EEM) combined with parallel factor analysis (PARAFAC). In addition,  
29 the oxidative potential (OP) of BB and CC BrC was measured with the dithiothreitol (DTT)  
30 method. The results showed that WSOC, HULIS, and MSOC accounted for 2.3%–22%, 0.5%–  
31 10%, and 6.4%–73% of the total mass of combustion-derived  $\text{PM}_{2.5}$ , respectively, with MSOC  
32 extracting the highest concentrations of organic compounds. The MSOC fractions had the  
33 highest light absorption capacity (mass absorption efficiency at 365 nm ( $\text{MAE}_{365}$ ): 1.0–2.7  
34  $\text{m}^2/\text{gC}$ ) for both BB and CC smoke, indicating that MSOC contained more of the strong light-  
35 absorbing components. Therefore, MSOC may better represent the total BrC than the water-  
36 soluble fractions. Some significant differences were observed between the BrC fractions  
37 emitted from BB and CC, with more water-soluble BrC fractions with higher  $\text{MAE}_{365}$  and  
38 lower absorption Ångström exponent values detected in smoke emitted from BB than from CC.  
39 The EEM-PARAFAC analysis identified four fluorophores: two protein-like, one humic-like,  
40 and one polyphenol-like. The protein-like substance was the dominant component of WSOC  
41 (47%–80%), HULIS (44%–87%), and MSOC (42%–70%). The  $^1\text{H-NMR}$  results suggested that



42 BB BrC contained more oxygenated aliphatic functional groups (H-C-O), whereas CC BrC  
43 contained more unsaturated fractions (H-C-C = and Ar-H). The DTT assays indicated that BB  
44 BrC generally had a stronger OP ( $DTT_{mass}$ , 2.6–85 pmol/min/ $\mu$ g) than CC BrC ( $DTT_{mass}$ , 0.4–  
45 11 pmol/min/ $\mu$ g), with MSOC having a stronger OP than WSOC and HULIS. Therefore, the  
46 BrC fractions from BB had higher OP values than those from CC.

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48



## 49 1. Introduction

50 Brown carbon (BrC) is an organic compound with strong light-absorption at ultraviolet  
51 and short-visible wavelengths, and is abundant in ambient aerosols (Chen and Bond, 2010;  
52 Laskin et al., 2015; Alexander et al., 2008), rain, cloud, and fog water (Santos et al., 2009;  
53 Santos et al., 2012; Izhar et al., 2020). Due to its strong light absorption ability, BrC can affect  
54 the radiative balance of aerosol and photochemical reactions in the atmospheric environment  
55 (Andreae and Gelencser, 2006; Kumar et al., 2018a; Nozière et al., 2011). Moreover, BrC has  
56 the ability to catalyze the generation of reactive oxygen species (ROS), which potentially have  
57 an adverse impact on human health (Bates et al., 2019; Lin and Yu, 2019; Ma et al., 2018).

58 Brown carbon originates from various sources, include primary emission sources, such as  
59 biomass burning (BB), coal combustion (CC), and vehicular emissions (Fan et al., 2018; Li et  
60 al., 2018; Chen et al., 2019; Sun et al., 2017); and secondary processes, such as reactions  
61 between carbonyls and ammonia or amines, as well as the photochemical transformation of  
62 volatile organic compounds (Evangelidou et al., 2019; Lin et al., 2015). Among these sources,  
63 BB and CC are considered to make significant contributions to atmospheric BrC materials, as  
64 indicated in both laboratory and field studies (Li et al., 2018; Park and Yu, 2016; van der Werf  
65 et al., 2010; Yan et al., 2015). For example, BrC fractions, such as water-soluble organic  
66 compounds (WSOC), humic-like substances (HULIS), and methanol-soluble compounds  
67 (MSOC), have been found to be abundant in fresh emissions from the burning of crop straw,  
68 wood branches, and coals of different maturity (Park and Yu, 2016; Fan et al., 2018; Li et al.,  
69 2018; Huo et al., 2018). These studies have also demonstrated that the chemical properties of  
70 primary BrC are variable due to the inherent heterogeneity and complexity of fuel materials



71 and combustion conditions (Huo et al., 2018; Fan et al., 2018; Li et al., 2018; Atwi et al., 2021).  
72 For example, the light absorption properties of primary HULIS produced by the combustion of  
73 three crop straws under different moisture contents and stacking modes are different. The  
74 absorption Ångström exponent (AAE) increased and mass absorption efficiency at 365 nm  
75 ( $MAE_{365}$ ) decreased under high moisture or stacking conditions (Huo et al., 2018). The water-  
76 soluble BrC emitted from low maturity CC generally had relatively lower  $MAE_{365}$  values (Li  
77 et al., 2018). However, these studies only focused on the BrC fractions emitted from BB or CC,  
78 and therefore the comprehensive characterization and full understanding of the BrC fractions  
79 from combustion processes is still required. In addition, the redox activity of water-soluble  
80 organic fractions (WSOC and HULIS) and the water-insoluble organic fraction in ambient  
81 aerosols have been investigated, and all are known to be significant redox-active organic  
82 compounds associated with ROS generation, which can therefore adversely affect human  
83 health (Moufarrej et al., 2020; Bates et al., 2019; Verma et al., 2012; Kramer et al., 2016; Wong  
84 et al., 2019). As important contributors of ambient BrC, combustion-derived BrC is expected  
85 to have a strong redox activity and be harmful to human health. However, few studies have  
86 directly investigated the oxidative potential (OP) of BrC emitted from combustion processes.

87 Biomass fuels and coals are two traditional sources of energy in residential properties in  
88 some developing countries, especially China and India (Sun et al., 2017; Huo et al., 2018; Singh  
89 et al., 2021). Due to incomplete combustion and poor pollution control, BB and CC release  
90 various pollutants, including particulate matter (PM), elemental carbon (EC), and BrC. In this  
91 study, we investigated the optical properties, chemical composition, and OP of BrC fractions  
92 in smoke emitted from BB and CC. Six biomass materials (three crop straws and three wood



93 branches) and five coals with different maturities were combusted and the resulting smoke  
94 particles were collected in a laboratory combustion chamber. The water soluble (WSOC and  
95 HULIS) and methanol soluble (MSOC) fractions in smoke were fractionated using pure water  
96 combined with a solid-phase extraction (SPE) and methanol extraction. Subsequently, their  
97 chemical and optical properties were measured using a total organic carbon analyzer, UV-  
98 visible spectroscopy, fluorescence extraction-emission matrix spectroscopy (EEM) combined  
99 with parallel factor analysis (PARAFAC), and proton nuclear magnetic resonance spectroscopy  
100 ( $^1\text{H-NMR}$ ). Moreover, the OP of the BrC fractions was determined by a dithiothreitol (DTT)  
101 assay. The information obtained will enhance our understanding of the chemical composition,  
102 light absorption, fluorophores, and OP of the primary BrC from BB and CC, and could be used  
103 to estimate the environmental and climate impacts of different types of combustion-derived  
104 BrC.

105

## 106 **2. Materials and methods**

### 107 **2.1. The BB and CC smoke samples**

108 In this study, six biomass materials and five types of coal were collected and used to  
109 generate smoke samples. The biomass materials consisted of three crop straws (wheat straw  
110 (WS), rice straw (RS), and corn straw (CS)) and three wood branches (pine wood (PW),  
111 Chinese fir (CF), and white poplar (WP)). These materials are usually used as fuels for heating  
112 and cooking in rural areas and are also occasionally burned in the field (Fan et al., 2018; Kumar  
113 et al., 2018b). The combustion of these crop straws and woody fuels is reported to make a  
114 significant contribution to the atmospheric aerosol in China (Shen et al., 2013). The five types



115 of coal were used for the collection of CC-smoke samples. They consisted of four types of  
116 bituminous coal (B-1, B-2, B-3, and B-4) and one anthracite coal (AN), representing the major  
117 types of coal used for residential CC in China. The details of these samples are provided in the  
118 supporting information (SI).

119 Samples of the smoke emitted from BB and CC were collected in a combustion and  
120 sampling system. The system consisted of a combustion hood, clean background air dilution  
121 and injection ports, smoke pipe, mixing fan, mixing chamber, PM<sub>2.5</sub> sampler (JCH-120F,  
122 Juchuang Environmental Protection Group Co., Ltd., Shandong, China), and an exhaust port.  
123 The details of the sampling procedure are described in our previous study (Fan et al., 2018; Li  
124 et al., 2018) and the SI file.

125 Blank quartz filters were collected before each group of combustion experiments prior to  
126 the fuels being ignited. The blank filters were used to correct the mass of smoke as well as the  
127 optical signals and DTT consumption by BrC. To prevent the contamination of the following  
128 sample, the collection system was cleaned before each new combustion experiment.

129

## 130 **2.2. Extraction and isolation of BrC fractions**

131 In this study, the WSOC, HULIS, and MSOC fractions were obtained with the solvent  
132 extraction method, as described in our previous studies (Fan et al., 2016; Li et al., 2018).  
133 Initially, the filter samples were cut into small pieces and ultrasonically extracted three times  
134 with 20 mL ultrapure water for 30 min. The extract was filtered through a 0.22 μm  
135 polytetrafluoroethylene (PTFE) syringe filter (Jinteng, Tianjin, China), which collected the  
136 WSOC fraction. The HULIS fraction in WSOC was further isolated with an SPE (Oasis HLB,



137 200 mg, Waters, Milford, MA, USA) method. The detailed procedure is provided in the SI file.

138 The MSOC fraction was obtained by a method developed by Cheng et al. (2016) (Cheng

139 et al., 2016). Briefly, a portion of the filter was immersed in methanol (Macklin, >99.9%,

140 Shanghai, China) for 2 h and then filtered through a 0.22  $\mu\text{m}$  PTFE syringe filter. Static

141 digestion without ultrasonic treatment can avoid the loss of PM and facilitate the determination

142 of the dissolved organic matter (DOM) content. Finally, the residual filters were dried in a

143 vacuum dryer. The OC content of MSOC was obtained by subtracting the OC concentration of

144 the extracted filters from untreated filters.

145

### 146 **2.3. UV-visible spectroscopy**

147 The UV-visible absorption spectra of the BrC solutions were analyzed using a UV-vis

148 spectrophotometer (UV-2600, Shimadzu, Kyoto, Japan). The BrC solution was placed in a 0.01

149 m quartz cuvette and the UV-vis spectra was recorded from 200 to 700 nm at 1 nm intervals.

150 Milli-Q water was used as a blank reference for the WSOC and HULIS solutions, while pure

151 methanol was used as the blank for the MSOC fraction. The corresponding background was

152 used to determine the interference from the instrument and operational blank sample.

153 To describe the optical properties of BrC fractions, the AAE and MAE<sub>365</sub> were calculated

154 in this study. The AAE is a measure of the spectral dependence of chromophores in BrC, while

155 the MAE<sub>365</sub> can indicate the light absorbing capacity of BrC (Fan et al., 2016; Cheng et al.,

156 2016). The detailed calculations are described in the SI file.

157

### 158 **2.4. Fluorescence EEM spectroscopy and the PARAFAC model**





159 The EEM fluorescence spectra of BrC fractions were recorded by an F-4600 fluorescence  
160 spectrometer (Hitachi, Tokyo, Japan) using a 0.01 m width quartz cuvette, with a 400 V xenon  
161 lamp at room temperature and a 2400 nm/min scanning speed. The scanning ranges for  
162 excitation ( $E_X$ ) and emission ( $E_M$ ) were 200–400 nm and 290–520 nm, respectively. The slit  
163 width and intervals for  $E_X$  and  $E_M$  were both set to 5 nm. According to the different solvents  
164 used for sample extraction (water and methanol), all EEM spectra were divided into two groups  
165 for analysis (66 samples for water-soluble WSOC and HULIS, and 33 samples for MSOC).  
166 The PARAFAC modeling procedure was conducted in EFC v1.2, which is an application  
167 software based on MATLAB that has the function of conversion, correction, cognition,  
168 comparison, and calculation for processing the fluorescence spectra (He and Hur, 2015;  
169 Murphy et al., 2011; Murphy et al., 2013). The PARAFAC analysis method that was included  
170 in the software was consistent with the calculation made by the drEEM toolkit when using  
171 MATLAB (Murphy et al., 2010; Murphy et al., 2013). The PARAFAC was computed using  
172 two to seven component models, with non-negativity constraints and a residual analysis, and a  
173 split half analysis was used to validate the number of fluorescence components. According to  
174 the results of the split-half and core consistency analysis, four component models were chosen  
175 for both the WSOC and HULIS fractions and the MSOC. The EEM was normalized to the area  
176 under the ultrapure water Raman peak ( $E_X = 350$  nm,  $E_M = 365$ – $430$  nm) collected before the  
177 measurement of samples to produce corrected fluorescence intensities in Raman units (Lawaetz  
178 and Stedmon, 2009). The relative contribution of individual chromophores was estimated by  
179 calculating the maximum fluorescence intensities ( $F_{\max}$ : maximum fluorescence intensity of  
180 identified fluorescence components, relative content  $\% = F_{\max}/\Sigma F_{\max}$ ) (Matos et al., 2015; Chen



181 et al., 2016).

182

### 183 **2.5. Proton-NMR spectroscopy**

184 Approximately 5 mg of the BrC fractions (i.e., HULIS, WSOC, and MSOC) derived from  
185 BB and CC were dissolved in 500  $\mu$ L deuterium oxide and then transferred to a 5 mm NMR  
186 tube. The  $^1\text{H}$ -NMR spectra were obtained at a frequency of 400 MHz using a spectrometer  
187 (Avance III 400, Bruker Daltonik GmbH, Bremen, Germany). Data was acquired from 100  
188 scans, with a recycling time of 2 s for a condensed water sample. The length of the proton  $90^\circ$   
189 pulse was 8.87  $\mu$ s. A 1.0 Hz line-broadening weighting function and baseline correction were  
190 applied. The identification of the functional groups in the NMR spectra was based on their  
191 chemical shift ( $\delta\text{H}$ ) relative to that of tetramethylsilane (0 ppm), which was applied as an  
192 internal standard (Zou et al., 2020).

193

### 194 **2.6. Oxidative potential**

195 The OP of BrC emitted from the BB and CC processes (i.e., WSOC, HULIS, and MSOC)  
196 was measured by a DTT assay. The experimental procedure was as described in previous  
197 studies (Bates et al., 2019; Verma et al., 2012). Briefly: 3 mL of extracted sample solution  
198 (MSOC was a mixture of 100  $\mu$ L sample and 2.9 mL of 18.2 M $\Omega$  Milli-Q water, and the  
199 corresponding blank was the same solution as that of the water blank) and 3 mL of 1 mM DTT  
200 were mixed in a 20 mL brown vial, and then placed in a 37  $^\circ\text{C}$  water bath to maintain the  
201 samples at a constant temperature. At a specific time interval (0, 5, 10, 15, and 20 min), 1 mL  
202 of the well mixed sample was transferred to another 4 mL brown vial, and 1 ml trichloroacetic



203 acid (TCA 1% w/v) was added to stop the reaction. Then, 0.5 mL 5,5'-dithiobis-(2-nitrobenzoic  
204 acid) (DTNB, 1 mM) was added to react with the remaining DTT to produce 2-nitro-5-  
205 thiobenzoic acid. After 5 min, 1 mL of tris(hydroxymethyl)methyl aminomethane buffer (0.4  
206 mM Tris buffer, pH 8.9 in 4 mM DTPA) was added and the yellow color of TNB was visible  
207 in the mixed samples. The absorbance was measured at 412 nm with a UV-vis spectrometer  
208 (UV2600, Shimadzu). The DTT, TCA, and DTNB were all configured with 0.1 M phosphate  
209 buffer (pH 7.4), containing 1 mM DTPA. and the corresponding filter blank was analyzed to  
210 correct the DTT activity of the sample fractions. The DTT consumption rate after subtracting  
211 the filter blank and operating blank were determined using the absorbance and normalized by  
212 the particulate mass (pmol/min/ $\mu$ g) (Verma et al., 2012; Fan et al., 2018).

213

### 214 **3. Results and discussion**

#### 215 **3.1. Abundance of WSOC, HULIS, and MSOC in BB and CC smoke samples**

216 Table 1 summarizes the abundance of BrC fractions, including WSOC, HULIS, and  
217 MSOC, in BB and CC smoke PM<sub>2.5</sub> samples. As shown in Table 1, the average contribution of  
218 WSOC to smoke PM<sub>2.5</sub> was 2.9%–12% and 2.3%–22% for BB and CC, respectively. This result  
219 was comparable to the results obtained for smoke samples from the combustion of cherry  
220 leaves (16%) and ginkgo tree leaves (6.0%) (Park et al., 2013), corn straw (5.9%) and pine  
221 branch (6.4%) (Fan et al., 2016), residential coals (4%–11%) (Li et al., 2018), and in ambient  
222 PM<sub>2.5</sub> from rural and urban sites (4–13%) (Matos et al., 2015; Qin et al., 2018; Wu et al., 2020).  
223 It suggests that both BB and CC can release substantial amounts of water-soluble BrC into  
224 atmospheric aerosols. As the hydrophobic fraction of WSOC, the carbon content of HULIS



225 accounted for 1.0%–7.8% and 0.5%–10% of BB and CC smoke PM<sub>2.5</sub>, respectively. These  
226 values are comparable to the results obtained for BB smoke (5.9%–15.2%) (Fan et al., 2018;  
227 Huo et al., 2018), CC smoke (1.9%–4.8%) (Li et al., 2018), and atmospheric aerosols in Beijing  
228 (4.8%–9.4%) (Li et al., 2019), with an average value of  $7.2\% \pm 3.3\%$ , therefore confirming the  
229 important contributions made by BB and CC to atmospheric HULIS. As a comparison, the  
230 contribution of MSOC to smoke PM<sub>2.5</sub> was 6.4%–47% and 9.4%–73% for BB and CC,  
231 respectively, with both values being much higher than the contributions of the water-soluble  
232 fractions (WSOC and HULIS) in the same smoke samples. Similar results have been reported  
233 in many previous studies (Li et al., 2018; Cheng et al., 2016), which suggest that there are more  
234 organic compounds that could be extracted by methanol in MSOC than in water-soluble WSOC  
235 and HULIS, and it could therefore be the best indicator of total BrC. This result also indicated  
236 that BB and CC both released large amounts of water-insoluble BrC compounds, including  
237 hydrophobic polycyclic aromatic hydrocarbons (PAHs) and nitrogen/sulfur-containing  
238 heteroatomic PAHs (Geng et al., 2014; Dong et al., 2021; Huang et al., 2020).

239 There were some differences observed among the different types of smoke samples. As  
240 shown in Figure 1, the average contribution of the WSOC and HULIS fractions to the total  
241 carbon (TC) were  $22\% \pm 7.3\%$  and  $11\% \pm 3.8\%$ , respectively, for BB smoke, which were higher  
242 than the corresponding values of  $19\% \pm 9.4\%$  and  $8.2\% \pm 4.0\%$  for CC smoke. The contribution  
243 of MSOC to OC was  $69\% \pm 19\%$  for BB, which was significantly lower than the value of  $97\%$   
244  $\pm 1.8\%$  for CC. These results indicated that BB generally released the more water-soluble BrC  
245 fraction, whereas the more methanol-soluble BrC fraction was contained in the smoke particles  
246 emitted from CC. These differences can be explained by the fact that biomass fuels generally



247 contained a large amount of biopolymers, such as carbohydrates (cellulose, hemicellulose, etc),  
248 and the burning of biomass fuels would produce more highly polar compounds, whereas more  
249 relatively hydrophobic components, such as aromatic species, were emitted from CC (Wu et  
250 al., 2014; Wu et al., 2021; Huang et al., 2020).

251

### 252 **3.2 Light absorption**

253 The AAE and MAE<sub>365</sub> are important optical indicators of the light absorption properties  
254 of atmospheric BrC, which were investigated for BB- and CC-derived BrC in this study. As  
255 shown in Figures 2a and c, the AAE values of the WSOC and HULIS fractions were 6.1–9.9  
256 (mean  $7.8 \pm 1.6$ ) and 7.2–9.6 (mean  $8.5 \pm 0.8$ ), respectively, for BB smoke and 8.5–16 (mean  
257  $13 \pm 2.9$ ) and 10–16 (mean  $14 \pm 2.3$ ), respectively, for CC smoke. These results were  
258 comparable to those measured for combustion emitted aerosols, with reported AAE values for  
259 HULIS of 7.4–8.3 (Park and Yu, 2016) and 6.2–8.1 (Fan et al., 2018; Fan et al., 2016) for BB  
260 smoke, and 7.5–11 and 5.2–14, respectively, for CC smoke (Li et al., 2018). The AAE values  
261 of BB- and CC-derived WSOC and HULIS were comparable to those reported for WSOC in  
262 urban aerosol in Beijing (average  $7.28 \pm 0.24$ ) (Cheng et al., 2016), HULIS in Amazon BB  
263 aerosol ( $\sim 7.10$ ) (Hoffer et al., 2006), the biomass contribution to urban aerosol in Beijing (5.3–  
264 5.8) (Yan et al., 2015), and Tibetan Plateau aerosol (7.14–9.35) (Wu et al., 2020), but higher  
265 than that (1.2–5.4, mean of 3.2) of water-soluble BrC in Los Angeles (Zhang et al., 2013). The  
266 AAE values for MSOC were 5.62–6.95 for BB smoke and 8.46–10.0 for CC smoke. It was  
267 obvious that the AAE value of BB MSOC was comparable to that of urban aerosol (average  
268  $7.10 \pm 0.45$ ) in Beijing (Cheng et al., 2016) and the reported value (5.0–6.5) for urban aerosol



269 in India (Mukherjee et al., 2020), but the AAE values of CC MSOC were likely higher than  
270 those for urban aerosols.

271 As shown in Figures 2a and c, the average AAE values of the WSOC, HULIS, and MSOC  
272 fractions in BB smokes were all lower than for the same BrC fraction in CC smoke, indicating  
273 that BB-derived BrC had a weaker wavelength dependence than CC-derived BrC. This finding  
274 agreed with the results reported in a previous study (Fan et al., 2016). The AAE values of the  
275 BrC fraction varied according to the type of BrC fraction. HULIS had the highest AAE values,  
276 which were slightly higher than those of WSOC but much higher than those of MSOC (Figures  
277 2a and c), indicating that water-soluble BrC fractions had a greater wavelength dependency  
278 than the corresponding MSOC. This was similar to the results of previous studies that found  
279 higher AAE values for WSOC than MSOC in ambient aerosols (Cheng et al., 2016; Kim et al.,  
280 2016) and can be explained by the fact that the strongly light-absorbing organic molecules are  
281 generally comprised of aromatic structures, with a high degree of conjugation and low  
282 solubility in water.

283 The  $MAE_{365}$  is an important parameter that characterizes the light absorbing ability of  
284 atmospheric BrC. As shown in Figures 2b and d, the  $MAE_{365}$  values of WSOC and HULIS  
285 were 0.9–1.5 (mean  $1.2 \pm 0.3$ ) and 1.1–1.6 (mean  $1.3 \pm 0.2$ )  $m^2/gC$ , respectively, for BB smoke  
286 and 0.2–0.8 (mean  $0.3 \pm 0.2$ ) and 0.3–1.1 (mean  $0.4 \pm 0.3$ )  $m^2/gC$ , respectively, for CC smoke.  
287 As the hydrophobic fraction of WSOC, the  $MAE_{365}$  value of HULIS in BB and CC smoke was  
288 slightly higher than that of the corresponding WSOC, suggesting that HULIS had a stronger  
289 light absorbing ability. Moreover, the  $MAE_{365}$  value of WSOC and HULIS in BB smoke was  
290 comparable with the results of previous studies of the WSOC and HULIS fractions in



291 combustion derived smoke particulate and ambient aerosols. For example, the reported MAE<sub>365</sub>  
292 values of WSOC and HULIS were 0.8–1.6 and 1.0–1.5 m<sup>2</sup>/gC, respectively, in BB smoke PM<sub>2.5</sub>  
293 (Park and Yu, 2016; Huo et al., 2018), 0.3–1.0 and 0.5–1.4 m<sup>2</sup>/gC, respectively, in CC smoke  
294 particles (Li et al., 2018), and 0.1–1.5 m<sup>2</sup>/gC in ambient aerosols (Cheng et al., 2016; Yan et  
295 al., 2015; Zou et al., 2020). In contrast, the MAE<sub>365</sub> values for MSOC were 1.9–2.7 m<sup>2</sup>/gC for  
296 BB smoke and 1.0–2.7 m<sup>2</sup>/gC for CC smoke, which were 1.3–8.5 times higher than the  
297 corresponding values for HULIS and WSOC. These results suggest that MSOC had the  
298 strongest light absorption capacity. The MAE<sub>365</sub> values of primary BB and CC smoke were  
299 comparable to the MAE<sub>365</sub> value of urban aerosol in Beijing winter time (average 1.45 ± 0.26  
300 m<sup>2</sup>/gC) (Yan et al., 2015), and the water-insoluble BrC (0.85–2.45 m<sup>2</sup>/gC) in summer and  
301 winter ambient aerosol in Xi'an, northwest China (Li et al., 2020b). However, the values were  
302 higher than the MAE<sub>365</sub> value of aerosol MSOC in the Central Tibetan Plateau (0.27–0.86  
303 m<sup>2</sup>/gC) (Wu et al., 2020), which may be due to the relatively low combustion source  
304 contribution in this region.

305 As shown in Figures 2b and d, some differences were observed among the BrC fractions.  
306 WSOC, HULIS, and MSOC in BB smoke all had relatively higher MAE<sub>365</sub> values than the  
307 same BrC fractions from CC, which suggested that BrC components emitted from BB had a  
308 relatively higher light absorption ability than those from CC, and may therefore have a higher  
309 radiative force (Alexander et al., 2008). In addition, water-soluble BrC from the combustion of  
310 the highly mature bituminous coal generally had a relatively higher MAE<sub>365</sub> value, indicating  
311 that it possessed the strongest light absorbing capacity.

312



### 313 3.3. Spectral EEM features and identification of PARAFAC components

#### 314 3.3.1. The EEM fluorescence properties

315 Fluorescence spectroscopy is a highly sensitive analytical technique for the identification  
316 of the sources and types of fluorophores in natural organic matter. In recent decades, it has been  
317 widely used to characterize the fluorophores of atmospheric BrC in field and laboratory studies  
318 (Chen et al., 2017; Chen et al., 2016; Qin et al., 2018; Fan et al., 2020). The typical EEM  
319 spectra of WSOC, HULIS, and MSOC fractions from BB and CC are shown in Figure S2. To  
320 avoid concentration effects, the fluorescence spectra were normalized by the OC content of  
321 WSOC, HULIS, and MSOC, and the specific fluorescence intensities (a.u.L/(gC)) are shown.

322 In general, the different regions in the fluorescence spectra can be associated with organic  
323 fractions with different chemical characteristics (Table S1) (Chen et al., 2003; Cui et al., 2016;  
324 Qin et al., 2018). As shown in Figure S2, the EEM spectra were divided into five regions:  
325 protein-like amino acid (I), protein-like UV region (II, peak T<sub>1</sub>), fulvic-like (III), tryptophan-  
326 like or microbial byproducts (IV, peak T<sub>2</sub>), and humic-like (V) fluorophores (Qin et al., 2018;  
327 Cui et al., 2016; Chen et al., 2016) It was observed that the WSOC and HULIS fractions  
328 exhibited two types of fluorescence peak at  $\lambda_{\text{ex}}/\lambda_{\text{em}} \approx (220-240)/(350-390)$  nm (peak T<sub>1</sub>) and  
329  $\lambda_{\text{ex}}/\lambda_{\text{em}} \approx (260-300)/(240-380)$  nm (peak T<sub>2</sub>) (as marked in Figure S2), which were mainly  
330 located in regions II and IV, respectively. These bands in the same range as peaks T<sub>1</sub> and T<sub>2</sub>  
331 have previously been identified in the EEM fluorescence spectra of water-soluble organic  
332 matter from rainwater/fog water (Santos et al., 2009; Santos et al., 2012), and PM<sub>2.5</sub> in an  
333 industrial city in northwest China (Qin et al., 2018). As shown in Figure S2, the fluorescence  
334 peaks T<sub>1</sub> and/or T<sub>2</sub> were the dominant peaks for WSOC and HULIS in all BB and CC derived





335 smoke samples, which was consistent with previous observations of the WSOC and HULIS  
336 fractions from BB (Huo et al., 2018; Fan et al., 2020). In general, the peak T<sub>1</sub> mainly  
337 corresponded to the protein-like UV region, with a minor contribution from the fulvic-like  
338 substances, whereas peak T<sub>2</sub> was assigned as tryptophan-like or microbial byproduct  
339 fluorophores. However, as reported in recent studies, non-nitrogen-containing species, such as  
340 naphthalene and phenol-derived compounds, have a fluorescence similar to the tryptophan-like  
341 matter component, which may account for a major portion of the chromophores of peak T<sub>2</sub> in  
342 atmospheric aerosols (Chen et al., 2017, 2020). In addition, unlike the fluorophores of organic  
343 matter in atmospheric environments, the intensity of peak T<sub>1</sub> was clearly stronger than the peak  
344 in ambient HULIS described in previous studies (Chen et al., 2017; Chen et al., 2016; Fan et  
345 al., 2020; Qin et al., 2018), indicating that these BB and CC derived HULIS fractions might  
346 consist of more protein-like and/or aromatic amino acids than atmospheric HULIS.

347 As shown in Figure S2, the EEM spectra of the three MSOC fractions from crop straw  
348 burning all had a strong fluorescence peak at long emission wavelengths ( $E_x = 205\text{--}280$  nm,  
349  $E_m = 360\text{--}380$  nm), which was located in regions V and IV and was generally assigned to  
350 humic-like fluorophores (Qin et al., 2018) or less oxygenated humic-like species (Chen et al.,  
351 2017; Chen et al., 2016). This peak was very weak or unobservable in the EEM fluorescence  
352 spectra of the WSOC and HULIS fractions, suggesting that the higher intensity of the  
353 fluorescence peak was mainly due to water-insoluble organic compounds, with a high degree  
354 of conjugation and/or aromaticity. As shown in Figure S2, unlike the EEM spectra of crop straw  
355 MSOC, the EEM spectra of the three wood branches all displayed two obvious fluorescence  
356 peaks (e.g., peaks T<sub>1</sub> and T<sub>2</sub>). These differences in the EEM spectra between crop straw and



357 wood burning-derived MSOC might be attributed to their molecular differences, which should  
358 be investigated in future studies. The EEM spectra of the four bituminous coal smoke MSOC  
359 fractions displayed a similar fluorescence peak  $T_2$  in the EEM spectra, but only a strong peak  
360  $T_1$  was observed in the anthracite coal smoke MSOC. These differences indicate that the  
361 fluorophores of MSOC were significantly influenced by the type of fuel material.

362

### 363 3.3.2. Identification of PARAFAC components

364 The PARAFAC analysis further determined the fluorescent components of the water-  
365 soluble BrC fraction (WSOC and HULIS) and MSOC. As shown in Figure 3a, WSOC and  
366 HULIS generally contained four type of fluorophores ( $C_{w1}$ – $C_{w4}$ ). Based on previous studies  
367 of BrC EEM in combustion aerosols and ambient aerosols (Chen et al., 2017; Chen et al., 2016;  
368 Huo et al., 2018; Qin et al., 2018), these four fluorophores could be assigned to two protein-  
369 like substances ( $C_{w1}$  and  $C_{w2}$ ), one polyphenol-like component ( $C_{w3}$ ), and one humic-like  
370 compound ( $C_{w4}$ ). The  $E_x/E_m$  maximum of  $C_{w1}$  was located at 230/365 nm in region II, and  
371 was confirmed to be protein-like UV fluorophores.  $C_{w2}$  ( $E_x = 270$  nm,  $E_m = 350$  nm) was  
372 placed in region IV, and was assigned as tryptophan-like or microbial byproduct compounds  
373 (Chen et al., 2016; Li et al., 2020a), which have been identified in aerosol WSOM (Chen et al.,  
374 2016; Matos et al., 2015) and BB-derived primary and secondary WSOM (Huo et al., 2018).  
375  $C_{w3}$  ( $E_x = 205/275$  nm,  $E_m = 330$  nm) was located in regions I and IV, and had the  
376 characteristics of aromatic protein-like fluorophores or polyphenol-like components, most  
377 likely represented the fluorescence properties of polyphenol-like components or compounds  
378 containing the phenoxy group (Mostofa et al., 2011).  $C_{w4}$  ( $E_x = 215$ – $320$  nm,  $E_m = 415$  nm)



379 was located in the area where regions III and V overlap. These overlapping peaks were assigned  
380 to a strong humic-like species fluorescence, with an excitation wavelength = 245 nm, and two  
381 weaker shoulder peaks (Chen et al., 2016; Li et al., 2020a; Qin et al., 2018; Huo et al., 2018;  
382 Fan et al., 2020); therefore,  $C_{w4}$  was associated with typical humic like fluorophores. In  
383 summary, the fluorescence components identified in the WSOC and HULIS fractions  
384 suggested that protein-like and humic-like substances were the two major backbone  
385 components in the water soluble BrC fractions.

386 As shown in Figure 3b, four independent fluorescence components were also identified  
387 by the PARAFAC analysis of MSOC ( $C_{M1}$ – $C_{M4}$ ). These components were similar to those of  
388 WSOC and HULIS, especially the positioning of the main peaks of the four fluorescent  
389 fluorophores. However, some small differences for component 2 ( $C_{w2}$  and  $C_{M2}$ ) and  
390 component 4 ( $C_{w4}$  and  $C_{M4}$ ) fluorophores were also observed. Unlike  $C_{w2}$  in WSOC and  
391 HULIS,  $C_{M2}$  in MSOC had its  $E_x/E_m$  maximum at 285/360 nm, which was assigned to  
392 tryptophan-like compounds (Fan et al., 2020; Qin et al., 2018). In addition, two lower intensity  
393 of peaks at a lower excitation wavelength were also detected. The position of this fluorescence  
394 was closer to that of the typical tryptophan-like chromophores in aquatic DOM (Murphy et al.,  
395 2010).  $C_{M4}$  in MSOC had a strong peak ( $E_x = 255$  nm,  $E_m = 295$  nm), but without the shoulder  
396 peaks observed for  $C_{w4}$  in WSOC (Chen et al., 2016; Hou et al., 2018).

397 The relative contribution of individual chromophores identified by the PARAFAC  
398 analysis were calculated to express the relative contribution of each independent chromophore  
399 to the overall fluorescence properties and are shown in Figure 4. The protein-like fluorescence  
400 group (components 1 and 2), which were located at low emission wavelengths, dominated the



401 fluorophores of the BrC fractions in most BB and CC smoke samples. As shown in Figure 4,  
402 the contributions of protein-like substances in WSOC, HULIS, and MSOC were 47%–80%,  
403 44%–87%, and 42%–70% (except CS MSOC), respectively, which were higher than the  
404 contributions of the polyphenol-like or humic-like substances in the same BrC fraction. These  
405 results are similar to the results reported for BrC from biomass combustion emissions in  
406 previous studies (Huo et al., 2018; Fan et al., 2020). However, they were significantly different  
407 from the EEM-PARAFAC properties of BrC in ambient aerosol, in which component 4 was  
408 the most abundant chromophore (Chen et al., 2016; Li et al., 2020a). However, component 4  
409 only accounted for 13%–33% (except CS MSOC) and 3.8%–31% of the BB and CC BrC  
410 fluorescent intensity, respectively, which was significantly lower than that reported previously  
411 in ambient aerosol (30%–38%) (Li et al., 2020a). Moreover the contribution of polyphenol-  
412 like chromophores was 4.0%–39% and was comparable to that of ambient aerosol (18%–26%)  
413 (Li et al., 2020a; Chen et al., 2016). The four main fluorescent components were all detected  
414 in the BrC fractions in combustion-derived smoke and atmospheric aerosols; however, the  
415 relative contents of protein-like and humic-like fluorophores were different. The protein-like  
416 compounds were the dominant fluorophores in combustion-derived BrC, whereas a relatively  
417 higher content of humic-like fluorophores was identified in ambient aerosol BrC. These  
418 differences may be due to the influence of various atmospheric chemical processes on the  
419 fluorophores (Li et al., 2020a; Fan et al., 2020).

420 Furthermore, some differences were also observed among the BrC fraction derived from  
421 different sources. As shown in Figure 4, the water-soluble BrC (WSOC and HULIS) from wood  
422 burning had a relatively higher content of component 3 than the water-soluble BrC from crop



423 straw burning, which may be associated with the relatively large amount of lignin components  
424 in wood materials. Moreover, the relative content of protein-like fluorescent groups in MSOC  
425 produced by wood burning were slightly higher than in crop straw combustion-derived MSOC.  
426 In addition, even though their maturity was very different, there was no regular trend in the  
427 relative content of the fluorescent groups.

428

#### 429 **3.4. <sup>1</sup>H-NMR spectroscopy**

430 <sup>1</sup>H-NMR is an important analytical tool for the investigation of the functional groups of  
431 WSOC and HULIS in rural/urban aerosol (Fan et al., 2016; Zou et al., 2020) and rainwater  
432 (Santos et al., 2009; Santos et al., 2012). The typical <sup>1</sup>H-NMR spectra of the WSOC, HULIS,  
433 and MSOC fractions in smoke emitted from BB crop straw (e.g., WS), and CC (e.g., B-1) are  
434 shown in Figure 5 and the <sup>1</sup>H-NMR spectra of other BB and CC BrC fractions are shown in  
435 Figure S3. These BrC fractions had similar <sup>1</sup>H-NMR spectra to those derived from atmospheric  
436 HULIS and/or WSOC in rainwater (Santos et al., 2009; Santos et al., 2012), BB aerosols (Fan  
437 et al., 2016), and ambient aerosols in urban and rural regions (Zou et al., 2020).

438 As shown in Figure 5, the <sup>1</sup>H-NMR spectra were mainly composed of several distinct  
439 sharp peaks superimposed on an unresolved broad band. According to previous studies and  
440 reference NMR spectra (Zou et al., 2020; Chalbot et al., 2014; Chalbot et al., 2016), these sharp  
441 peaks can be ascribed to low molecular weight organic compounds, such as levoglucosan  
442 ( $\delta$ 3.52,  $\delta$ 3.67,  $\delta$ 4.08, and  $\delta$ 5.45 ppm), glucose ( $\delta$ 3.88– $\delta$ 3.91 and  $\delta$ 3.81– $\delta$ 3.85 ppm), and  
443 fructose ( $\delta$ 3.79– $\delta$ 3.84 ppm) associated with BB emissions; phthalic acid ( $\delta$ 7.45– $\delta$ 7.47 and  
444  $\delta$ 7.58 ppm) and terephthalic acid ( $\delta$ 8.01 ppm) associated with anthropogenic activity; and the



445 CH<sub>3</sub> in trimethylamine ( $\delta$ 2.71 and  $\delta$ 2.89 ppm), dimethylamine ( $\delta$ 2.72 ppm), and  
446 monomethylamine ( $\delta$ 2.55 ppm) co-emitted with ammonia. The relatively few and/or weak  
447 sharp peaks in the <sup>1</sup>H-NMR spectra of HULIS compared with those of WSOC may be the result  
448 of low molecular weight organic compounds that have been removed from HULIS through  
449 SPE isolation. In addition, all BB-derived WSOC had a high intensity of sharp peaks associated  
450 with carbohydrates, such as levoglucosan, glucose, and fructose resonances, which may be  
451 released from the thermal reactions of biopolymers, such as celluloses. As a comparison,  
452 several peaks ( $\delta$ 0.90 and  $\delta$ 1.35 ppm) were observed in MSOC, and were mainly located in the  
453 aliphatic region. These peaks were weaker in WSOC and HULIS, suggesting more less-polar  
454 aliphatic compounds were present in the MSOC fraction.

455 Despite some sharp peaks being identified, most of the signals in the <sup>1</sup>H-NMR spectra of  
456 the BrC fractions were presented as a continuous unresolved distribution, suggesting that BrC  
457 consists of a complex mixture of organic substances (Fan et al., 2016; Chalbot et al., 2014;  
458 Chalbot et al., 2016). As shown in Figure 5, the functional groups of smoke BrC could be  
459 divided into four representative categories: (1) R-H: aliphatic protons in alkyl chains (0.6–1.9  
460 ppm), including the methyl (R-CH<sub>3</sub>) proton, methylene (R-CH<sub>2</sub>) proton, and methyne (R-CH)  
461 proton; (2) H-C-C=: aliphatic protons bound to carbon atoms adjacent to unsaturated groups  
462 (1.9–3.2 ppm), including carbonyl (H-C-C=O) and imino (H-C-C=N) groups or aromatic rings;  
463 (3) H-C-O: protons bound to oxygenated aliphatic carbons atoms in alcohols, polyols, ethers,  
464 and esters (3.4–4.4 ppm), generally indicating that carbohydrates and ethers were present in  
465 organic matter; and (4) Ar-H: protons bound to aromatic carbon atoms (6.5–8.5 ppm) (Fan et  
466 al., 2016; Zou et al., 2020).



467 Table 2 shows the distribution of the four types of protons described above, which was  
468 obtained by integrating the area of the observed  $^1\text{H}$ -NMR bands for each sample. The four  
469 types of proton groups (e.g., R-H, H-C-C=, H-C-O, and Ar-H) were all identified in the  $^1\text{H}$ -  
470 NMR spectra of the BB and CC derived BrC fractions, but the relative distribution of these  
471 four functional groups were different. The four functional groups have been observed in the  
472  $^1\text{H}$ -NMR spectra of WSOC and HULIS in ambient aerosols, but again the relative distribution  
473 of the four functional groups were different. In general, HULIS in ambient aerosols (Chalbot  
474 et al., 2014; Chalbot et al., 2016) and rainwater (Santos et al., 2012) were all characterized by  
475 the predominance of H-C (41%–60%), moderate contents of H-C-C= (25%–34%) and H-C-O  
476 (4.0%–49%), and a lesser contribution of Ar-H (2.0%–6.0%). However, it was obvious that the  
477 relative content of Ar-H groups (18%–37%) in HULIS from both combustion processes (BB  
478 and CC) was higher than the levels in ambient HULIS (Table 2), which suggests that BB and  
479 CC derived HULIS contained more aromatic structures than ambient HULIS. This was  
480 consistent with reports that more aromatic structures are observed in HULIS in colder season  
481 aerosol particles in northern China, which may be related to the amount of residential coal and  
482 straw combustion (Li et al., 2018; Sun et al., 2017).

483 As shown in Table 2, the relative contents of the four functional groups varied with the  
484 type of BrC. For example, BB WSOC was always characterized by a relatively high level of  
485 oxygenated H-C-O groups and relatively low level of aliphatic R-H groups compared with the  
486 corresponding MSOC extracted with methanol. As shown in Figure 5, several strong signals in  
487 aliphatic R-H were identified in MSOC, but they were weaker in the WSOC fraction. The  
488 oxygenated aliphatic compounds (generally assigned as polyols or polysaccharides) produced



489 higher signals in the WSOC fraction, especially those from BB. This was considered reasonable  
490 because the less-polar aliphatic compounds were difficult to dissolve in water but could be  
491 extracted by methanol. As the hydrophobic fraction of WSOC, HULIS contained a relatively  
492 higher content of the Ar-H group and relatively lower content of the oxygenated H-C-O group  
493 than the original WSOC for all BB and CC smoke samples. This was due to most of the low  
494 molecular oxygenated compounds not being retained by the hydrophilic-lipophilic balance  
495 cartridges and the enrichment of aromatic species (Fan et al., 2016; Zou et al., 2020).

496 Although there were similarities among the BrC fractions formed from the combustion of  
497 biomass materials and coal, some distinct differences in the distribution of functional groups  
498 were also observed. As shown in Figure 5, several oxygenated compounds (e.g., levoglucosan)  
499 were identified, with higher intensity signals in the WSOC fractions of BB smoke particles, but  
500 they were weaker than the WSOC fractions of CC smoke particles. The relative content of the  
501 H-C-O group was in the range of 34%–54% for the six BB WSOC, which was higher than the  
502 9.0–34% value for the five CC WSOC. These oxygenated aliphatic compounds were mainly  
503 assigned to carbohydrate and polyols that may be caused by the degradation of BB-derived  
504 from lignin substances (Fan et al., 2012; Fan et al., 2016; Lin et al., 2016). Therefore, these  
505 differences could be ascribed to the fact that the HULIS from BB contained a relatively high  
506 content of carbohydrate derived compounds. In contrast, the BrC fractions from CC indicated  
507 a relatively higher level of unsaturated functional groups compared with the BrC fractions from  
508 BB (Table 2). For example, there was a relatively higher content of Ar-H (30%–37%) and H-  
509 C-C= (34%–40%) in the smoke HULIS from CC than from BB, indicating that residential CC  
510 emitted HULIS contained more aromatic structures and unsaturated aliphatics, e.g., PAHs (Wu





511 et al., 2014; Dong et al., 2021; Huang et al., 2020).

512 Furthermore, some differences were also identified between the BrC fractions from the  
513 burning of crops and wood. As shown in Table 2, relatively higher contents of the H-C-O group  
514 were found in the BrC fractions in smoke particles from wood burning, whereas relatively  
515 higher contents of the R-H group were found in the BrC fractions in smoke particles from crop  
516 burning. For example, the content of the H-C-O group in wood WSOC was in the range of  
517 48%–54%, which was slightly higher than the 34%–46% value for crop straw WSOC. These  
518 results were inconsistent with the levels of carbohydrate components reported in grass, which  
519 suggests that the chemical composition of BrC is also influenced by the combustion  
520 temperature (Atwi et al., 2021).

521

### 522 3.5 Oxidative potential

523 The OP of the BB and CC derived BrC fractions (i.e., WSOC, HULIS, and MSOC) were  
524 investigated through a DTT assay and the results are shown in Table S2 and Figure 6. The  
525  $DTT_{\text{mass}}$  value of WSOC ranged from 0.5 pmol/min/ $\mu\text{g}$  (B-3) to 7.37 pmol/min/ $\mu\text{g}$  (CS), with  
526 a mean of 3.8 pmol/min/ $\mu\text{g}$ . These  $DTT_{\text{mass}}$  values were much lower than the range of 12.5–  
527 20.6 pmol/min/ $\mu\text{g}$  reported for wood burning aerosol and 14–25 pmol/min/ $\mu\text{g}$  in Los Angeles  
528 wildfire samples (Bates et al., 2019; Fan et al., 2018), as well as the range of 22–68  
529 pmol/min/ $\mu\text{g}$  reported for water extracts from atmospheric particles (Verma et al., 2012). These  
530 results suggested that the primary smoke from BB and CC in this study had a weaker ROS  
531 generation capacity than ambient aerosols, which was likely due to the differences in the  
532 chemical composition of WSOC in BB and CC smoke particles and ambient aerosols (Lin and



533 Yu, 2011; Dou et al., 2015; Wong et al., 2019; Lin and Yu, 2019). For example, ambient aerosols  
534 (e.g., vehicle emissions) generally contain many redox compounds, which may contribute more  
535 transition metals and quinones (Ma et al., 2018; Lin and Yu, 2011).

536 The  $DTT_{mass}$  values of BB and CC derived HULIS ranged from 0.5 pmol/min/ $\mu$ g (B-3) to  
537 5.5 pmol/min/ $\mu$ g (RS), with a mean of 2.3 pmol/min/ $\mu$ g. These values were lower than the  
538 range (15–45 pmol/min/ $\mu$ g) previously reported for ambient HULIS, also measured with the  
539 same DTT assay (Lin and Yu, 2011; Ma et al., 2018; Verma et al., 2012). In addition, HULIS  
540 accounted for a wide range (41.4%–90.6%) of the DTT activity in WSOC in the BB and CC  
541 samples, indicating that the hydrophobic fractions were important redox-active compounds in  
542 the BB and CC derived WSOC fractions. Similar results were obtained for the oxidative  
543 contribution of HULIS following water extracts from ambient aerosols in many previous  
544 studies (Ma et al., 2018; Lin and Yu, 2019; Lin and Yu, 2011).

545 The  $DTT_{mass}$  values of MSOC were in the range of 3.1 pmol/min/ $\mu$ g (B-4) to 84  
546 pmol/min/ $\mu$ g (RS). These values were comparable to those reported in previous studies  
547 involving atmospheric aerosol methanol extracts (~55 pmol/min/ $\mu$ g) (Verma et al., 2012). As  
548 shown in Figure 6, the  $DTT_{mass}$  values of MSOC were much higher than those of WSOC and  
549 HULIS from the same smoke samples, which suggested that the water-insoluble components  
550 possessed significant oxidative properties that are relevant in toxicological studies (Verma et  
551 al., 2012). These results were consistent with the results of previous studies that water-insoluble  
552 compounds made the largest contribution to the OP (Verma et al., 2012; Verma et al., 2015).

553 The  $DTT_{mass}$  values of the BrC fractions varied with the type of fuel material. As shown  
554 in Table S2, the  $DTT_{mass}$  values of BB WSOC were 4.5–7.4 pmol/min/ $\mu$ g, which was



555 significantly higher than the range of 0.5–2.1 pmol/min/μg for CC WSOC. Similar results were  
556 also observed for the HULIS and MSOC fractions (Figure 6). These results indicated that the  
557 BrC fractions from BB had higher OP values than those from CC, and therefore more readily  
558 catalyzed the generation of ROS. Furthermore, no regular variations were observed for the OP  
559 of water-soluble BrC (e.g., WSOC and HULIS) in BB or CC smoke samples, but the MSOC  
560 in crop straw smoke had a much higher DTT<sub>mass</sub> value than the MSOC in smoke samples from  
561 wood burning and CC. These differences were associated with the differences in the amount of  
562 redox-active compounds in each BrC fraction. There is a need for more studies to investigate  
563 the relationship between the molecular structures in BB smoke BrC and their DTT activities.

564

#### 565 **4. Conclusions**

566 In this study, the primary BrC fractions (i.e., WSOC, HULIS, and MSOC) emitted from  
567 BB and CC were comprehensively investigated to determine their content, light absorption,  
568 fluorophores, chemical properties, and OP. The results indicated that both BB and CC were  
569 important sources of atmospheric BrC. It was found that BB generated more of the water-  
570 soluble BrC fraction, whereas CC released more of the methanol-soluble BrC fraction in smoke  
571 PM<sub>2.5</sub>. The results also enhanced our understanding of the optical characteristics, chemical  
572 composition, and OP of the water and methanol soluble BrC fractions. The MSOC fraction had  
573 higher MAE<sub>365</sub> values than HULIS and WSOC, suggesting that water-insoluble BrC possessed  
574 a stronger light absorbing capacity. In addition, BB BrC generally had higher MAE<sub>365</sub> and  
575 lower AAE values than the corresponding CC BrC fractions, suggesting that the former had a  
576 higher light absorption ability and weaker wavelength dependence. The EEM-PARAFAC



577 analysis identified two protein-like compounds, one polyphenol-like component, and one  
578 humic-like compound for all BrC fractions, in which the protein-like compounds were the  
579 dominant components. The  $^1\text{H}$  NMR analysis showed that the BB and CC BrC fractions  
580 contained R-H, H-C-C $\equiv$ , H-C-O, and Ar-H groups, in which WSOC and HULIS were always  
581 characterized by more oxygenated H-C-O groups and fewer aliphatic R-H groups than MSOC.  
582 In addition, water soluble BB BrC contained more of the highly oxygenated groups, suggesting  
583 that they may have a stronger influence on the binding of metals by organic aerosols. Our study  
584 also indicated that MSOC had higher  $\text{DTT}_{\text{mass}}$  values than WSOC and HULIS, suggesting a  
585 higher ROS generation capacity. The BB BrC fractions generally had a higher OP than CC BrC,  
586 which may suggest that BB BrC were more readily able to catalyze the generation of ROS, and  
587 therefore lead to more severe harm to human health.

588 It should be noted that the BB and CC BrC fractions would experience a series of chemical  
589 reactions once they are emitted into the atmosphere, resulting in changes to their optical  
590 properties and OP. Thus, future studies should focus on the chemical, optical, and OP  
591 characteristics of BrC during the aging processes with smoke particles in the tropospheric  
592 environment (Fan et al., 2020; Wong et al., 2019).

593

594 **Data availability.** The research data can be accessed on request to the corresponding author  
595 ([songjzh@gig.ac.cn](mailto:songjzh@gig.ac.cn)).

596

597 **Author contributions.** J. Song and P. Peng designed the research together. T. Cao, M. Li, and  
598 C. Zou carried out the combustion experiments. T. Cao, M. Li, and C Yu extracted and analyzed



599 BrC fractions. T. Cao and J. Song wrote the paper. X. Fan, J Wang, Z Yu, and P. Peng  
600 commented and revised the paper.

601

602 **Competing interests.** The authors declare that they have no conflict of interest.

603

604 **Acknowledgments.** This study was supported by the National Natural Science Foundation of  
605 China (41977188, 41673177), State Key Laboratory of Organic Geochemistry, GIGCAS  
606 (SKLOG2020-3), Guangdong Foundation for Program of Science and Technology Research  
607 (2019B121205006).

608

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859





860 **Table 1.** The contributions of BrC fraction (WSOC, HULIS, and MSOC) in smoke samples (%).

Contents (%)	Biomass burning							Coal combustion			
	WS	RS	CS	PW	CR	WP	B-1	B-2	B-3	B-4	AN
OC	44±5.6	41±12	24±6.4	19±3.8	26±8.7	23±13	61±5.4	64±11	68±7.6	69±6.9	9.5±5.0
EC	2.5±0.9	1.3±0.6	4.4±2.8	10±3.4	5.0±3.3	13±7.6	0.2±0.1	1.1±0.8	0.3±0.1	0.8±0.6	0.1±0.0
TC <sup>a</sup>	46±5.5	42±12	28±8.2	29±4.0	32±9.6	36±19	61±5.4	65±11	69±6.7	69±6.8	9.5±5.0
WSOC-C/PM	11±2.7	12±1.6	9.7±0.2	3.9±1.1	7.6±0.3	2.9±0.7	15±0.4	22±4.1	9.2±1.5	4.7±0.4	2.3±1.1
HULIS-C/PM	6.7±1.3	7.8±0.2	4.0±0.5	1.7±0.3	3.1±0.6	1.0±0.4	6.0±0.6	10±0.8	4.2±0.4	2.0±0.2	0.5±0.1
MSOC-C/PM	40±0.9	47±0.8	20±1.4	12±1.2	15±0.9	6.4±0.7	57±5.4	73±2.9	65±6.8	71±0.7	9.4±5.7
WSOC/TC	22±6.0	23±3.0	25±3.0	14±3.1	32±3.0	21±9.4	25±2.9	29±4.3	14±3.2	6.4±0.5	22±8.5
HULIS/TC	14±2.8	14±0.4	11±2.7	5.9±0.8	13±1.6	9.8±1.1	10±0.3	13±1.7	6.3±0.9	2.8±0.3	6.9±2.9
MSOC/TC	82±2.2	88±1.5	57±11	53±7.5	78±16	52±27	99±0.2	95±1.9	98±0.1	96±0.1	95±1.8
HULIS/WSOC	64±6.9	65±8.0	42±6.2	43±5.4	41±6.6	32±6.3	41±4.9	46±9.4	46±9.6	43±6.0	33±7.8
WSOC/OC	23±5.9	23±3.1	33±0.9	24±4.0	36±2.6	35±3.2	25±2.9	30±4.5	14±3.3	6.4±0.5	26±3.9
HULIS/OC	15±2.9	15±0.4	14±1.7	10±0.7	15±1.9	11±3.2	10±0.3	13±1.6	6.4±0.9	2.8±0.3	6.9±3.0
MSOC/OC	88±1.9	91±1.2	70±4.5	76±2.5	72±6.7	77±4.5	99±0.1	96±0.5	98±0.1	98±0.5	96±1.6

<sup>a</sup> Total Carbon: sum of OC and EC

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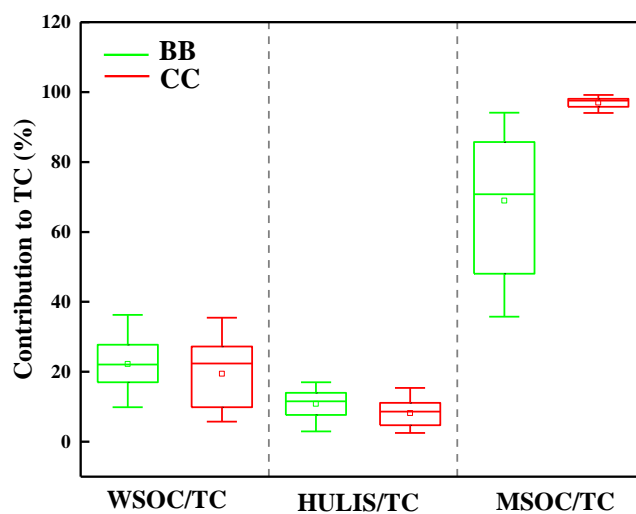
865 **Table 2.** The proton species in the BrC fractions (WSOC, HULIS, and MSOC) of smoke samples.

Samples	WSOC				HULIS				MSOC			
	R-H 0.6-2.0 <sup>a</sup>	H-C-C= 2.0-3.2	H-C-O 3.4-4.4	Ar-H 6.5-8.5	R-H 0.6-2.0	H-C-C= 2.0-3.2	H-C-O 3.4-4.4	Ar-H 6.5-8.5	R-H 0.6-2.0	H-C-C= 2.0-3.2	H-C-O 3.4-4.4	Ar-H 6.5-8.5
Biomass												
WS	16 <sup>b</sup>	27	42	14	19	32	21	27	44	26	16	14
Burning												
RS	24	27	34	14	26	31	14	29	46	30	13	11
CS	15	22	46	17	18	28	31	24	47	29	15	9
PW	14	22	48	17	15	25	42	18	40	30	19	11
CF	11	17	54	18	14	26	36	23	41	28	18	13
WP	12	22	48	19	14	21	31	34	44	29	17	10
Coal												
B-1	18	41	9.0	32	17	40	5.0	37	40	28	2.0	30
B-2	17	35	22	25	26	39	5.0	30	33	30	3.0	33
B-3	17	39	14	30	22	34	8.0	35	34	30	2.0	33
B-4	13	27	34	25	20	36	13	30	32	27	3.0	39
AN	15	33	20	32	18	37	12	33	38	28	2.0	32

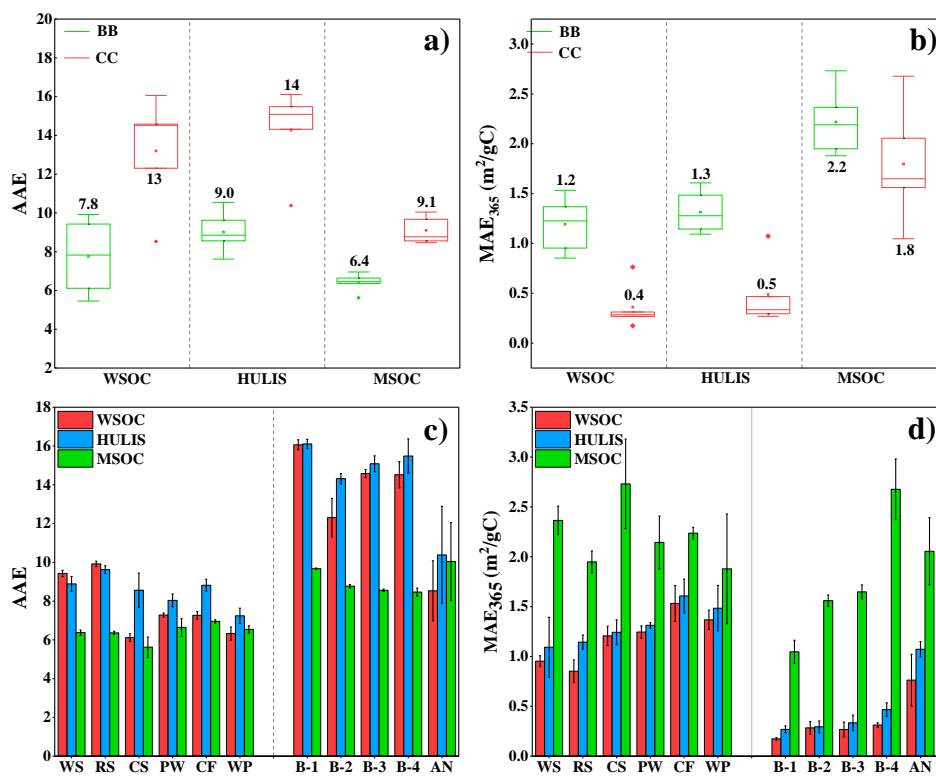
<sup>a</sup> chemical shift: ppm. <sup>b</sup> percentage of each type of protons (%).

866

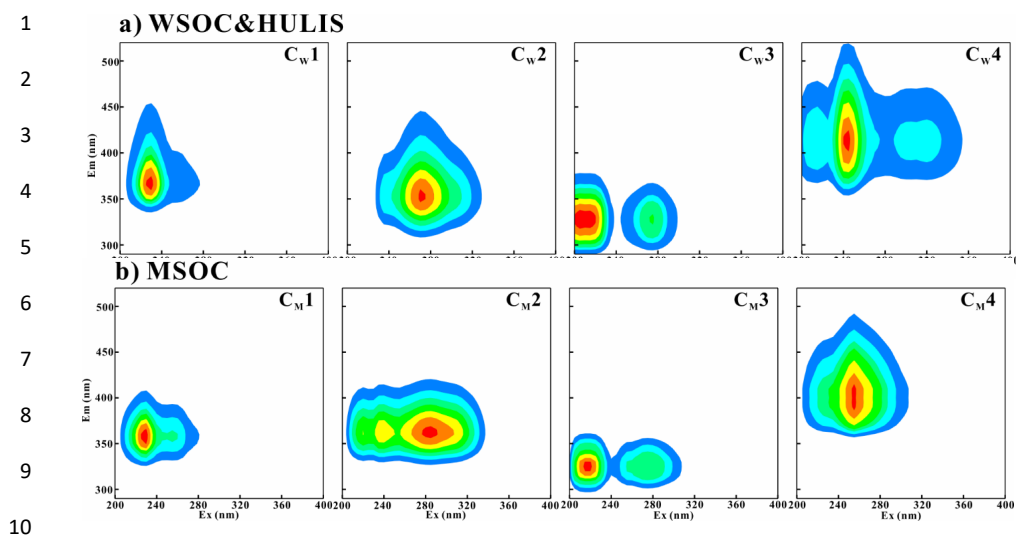
867



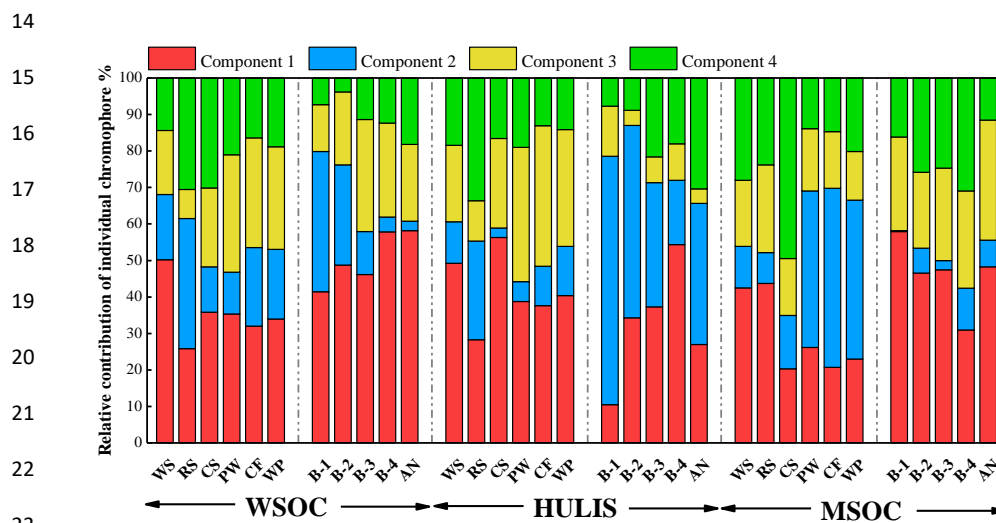
**Figure 1.** The abundances of BrC fraction in the smoke samples from biomass burning (BB) and coal combustion (CC)



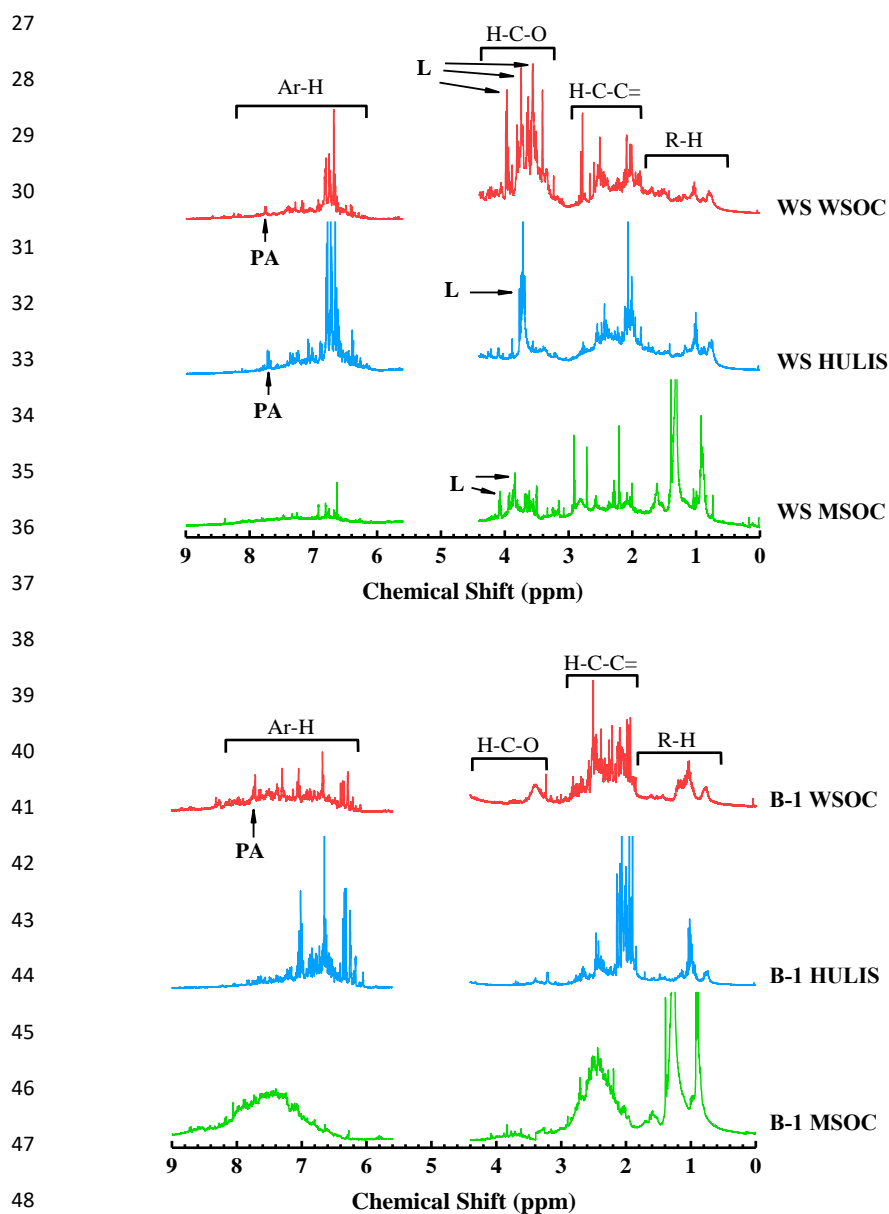
**Figure 2.** The AAE and  $MAE_{365}$  values of WSOC, HULIS, and MSOC in smoke samples from biomass burning (BB) and coal combustion (CC)



**Figure 3.** Four fluorescence components identified by PARAFAC analysis of a) WSOC, HULIS ( $C_{w1}:C_{w4}$ ); b) MSOC ( $C_{m1}:C_{m4}$ ) extracted from BB and CC smoke  $PM_{2.5}$  (normalized in Raman unit, R.U.)



**Figure 4.** Relative contribution calculated by  $F_{max}$  of individual chromophores analyzed by PARAFAC. Component 1-4 represent  $C_{w1-4}$  for water-soluble BrC (WSOC and HULIS) and  $C_{m1-4}$  for methanol-soluble BrC (MSOC), respectively.



49 **Figure 5.**  $^1\text{H}$  NMR spectra of WSOC, HULIS, and MSOC in typical biomass burning (WS)  
50 and coal combustion (B-1) smoke samples. The segment from 4.40 to 5.60 ppm was removed  
51 for NMR spectra due to MeOH and  $\text{H}_2\text{O}$  residues. The peaks were assigned to specific  
52 compounds as follows: Levoglucosan (L), Phthalic acid (PA).



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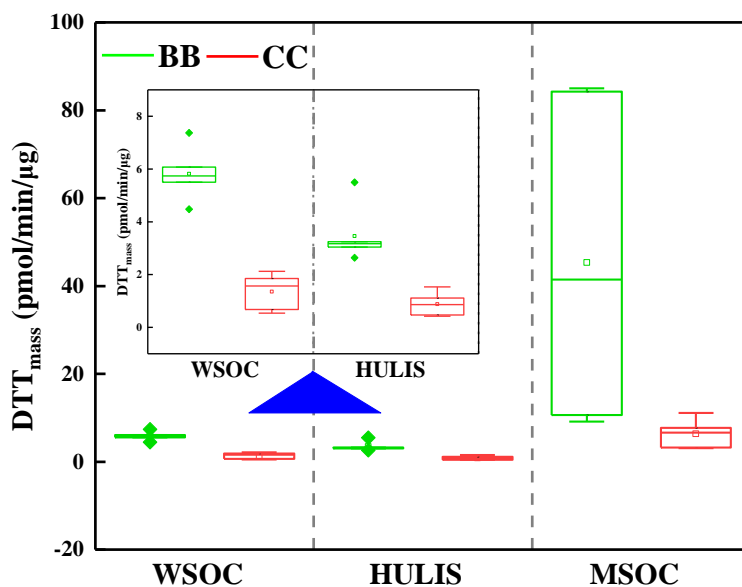
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**Figure 6.** Results of DTT assay conducted on the WSOC, HULIS and MSOC of smoke  $PM_{2.5}$ , the value were normalized by smoke  $PM_{2.5}$  mass. Above the blue triangle symbol is the result coordinates of WSOC and HULIS to be enlarged.

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