

1 **Chemical composition, optical properties, and oxidative potential of water-**  
2 **and methanol-soluble organic compounds emitted from the combustion of**  
3 **biomass materials and coal**

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18

19 **Abstract**

20 Biomass burning (BB) and coal combustion (CC) are important sources of brown carbon  
21 (BrC) in ambient aerosols. In this study, six biomass materials and five types of coal were  
22 combusted to generate fine smoke particles. The BrC fractions, including water-soluble  
23 organic carbon (WSOC), humic-like substance-carbon (HULIS-C), and methanol-soluble  
24 organic carbon (MSOC), were subsequently fractionated, and their optical properties and  
25 chemical structures were then comprehensively investigated using UV-visible spectroscopy,  
26 proton nuclear magnetic resonance spectroscopy ( $^1\text{H-NMR}$ ), and fluorescence  
27 extraction-emission matrix spectroscopy (EEM) combined with parallel factor analysis  
28 (PARAFAC). In addition, the oxidative potential (OP) of BB and CC BrC was measured with  
29 the dithiothreitol (DTT) method. The results showed that WSOC, HULIS-C, and MSOC  
30 accounted for 2.3%–22%, 0.5%–10%, and 6.4%–73% of the total mass of  
31 combustion-derived smoke  $\text{PM}_{2.5}$ , respectively, with MSOC extracting the highest  
32 concentrations of organic compounds. The MSOC fractions had the highest light absorption  
33 capacity (mass absorption efficiency at 365 nm ( $\text{MAE}_{365}$ ): 1.0–2.7  $\text{m}^2/\text{gC}$ ) for both BB and  
34 CC smoke, indicating that MSOC contained more of the strong light-absorbing components.  
35 Therefore, MSOC may represent the total BrC better than the water-soluble fractions. Some  
36 significant differences were observed between the BrC fractions emitted from BB and CC  
37 with more water-soluble BrC fractions with higher  $\text{MAE}_{365}$  and lower absorption Ångström  
38 exponent values detected in smoke emitted from BB than from CC. EEM-PARAFAC  
39 identified four fluorophores: two protein-like, one humic-like, and one polyphenol-like. The  
40 protein-like substances were the dominant components of WSOC (47%–80%), HULIS-C

41 (44%–87%), and MSOC (42%–70%). The <sup>1</sup>H-NMR results suggested that BB BrC contained  
42 more oxygenated aliphatic functional groups (H-C-O) whereas CC BrC contained more  
43 unsaturated fractions (H-C-C = and Ar-H). The DTT assays indicated that BB BrC generally  
44 had a stronger oxidative potential (DTT<sub>m</sub>, 2.6–85 pmol/min/μg) than CC BrC (DTT<sub>m</sub>, 0.4–11  
45 pmol/min/μg), with MSOC having a stronger OP than WSOC and HULIS-C. In addition,  
46 HULIS-C contributed more than half of the DTT activity of WSOC (63.1%±15.5%),  
47 highlighted that HULIS was a major contributor of ROS production in WSOC. Furthermore,  
48 the Principal component analysis and Pearson correlation coefficients indicated that highly  
49 oxygenated humic-like fluorophore C4 may be the important DTT active substances in BrC.

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53 **1. Introduction**

54 Brown carbon (BrC) is an organic compound with strong light absorption at ultraviolet  
55 and short visible wavelengths and is abundant in ambient aerosols (Chen and Bond, 2010;  
56 Laskin et al., 2015; Alexander et al., 2008), rain, clouds, and fog water (Santos et al., 2009;  
57 Santos et al., 2012; Izhar et al., 2020). Due to its strong light absorption ability, BrC can  
58 affect the radiative balance of aerosol and photochemical reactions in the atmospheric  
59 environment (Andreae and Gelencser, 2006; Kumar et al., 2018a; Nozière et al., 2011).  
60 Moreover, BrC has the ability to catalyze the generation of reactive oxygen species (ROS),  
61 which potentially have an adverse impact on human health (Bates et al., 2019; Ma et al., 2018;  
62 Fan et al., 2018; Chen et al., 2019).

63 Brown carbon originates from various sources, including primary emission sources, such  
64 as biomass burning (BB), coal combustion (CC), and vehicular emissions (Fan et al., 2018; Li  
65 et al., 2018; Chen et al., 2019; Sun et al., 2017); and secondary processes, such as reactions  
66 between carbonyls and ammonia or amines and the photochemical transformation of volatile  
67 organic compounds (Evangelidou et al., 2019; Lin et al., 2015). Among these sources, BB and  
68 CC are considered to make significant contributions to atmospheric BrC materials as  
69 indicated in both laboratory and field studies (Li et al., 2018; Park and Yu, 2016; van der Werf  
70 et al., 2010; Yan et al., 2015). For example, BrC fractions, such as water-soluble organic  
71 carbon (WSOC), humic-like substance-carbon (HULIS-C), and methanol-soluble organic  
72 carbon (MSOC), have been found to be abundant in fresh emissions from the burning of crop  
73 straw, wood branches, and coals (Park and Yu, 2016; Fan et al., 2018; Li et al., 2018; Huo et  
74 al., 2018). These studies have also demonstrated that the chemical properties of primary BrC

75 are variable due to the inherent heterogeneity and complexity of fuel materials and  
76 combustion conditions (Huo et al., 2018; Fan et al., 2018; Li et al., 2018; Atwi et al., 2021).  
77 For example, the light absorption properties of primary HULIS-C produced by the  
78 combustion of three types of crop straw under different moisture contents and stacking modes  
79 are different. The absorption Ångström exponent (AAE) increased and the mass absorption  
80 efficiency at 365 nm ( $MAE_{365}$ ) decreased under high moisture or stacking conditions (Huo et  
81 al., 2018). The water-soluble BrC emitted from low maturity CC generally had relatively low  
82  $MAE_{365}$  values (Li et al., 2018). However, most of these studies only focused on the relative  
83 abundances, chemical composition, and optical properties of water-soluble BrC (e.g., HULIS)  
84 emitted from the combustion of various fuels and different combustion conditions (e.g.,  
85 smoldering and flaming) (Huo et al., 2018; Park et al., 2016; Fan et al., 2016). It is noted that  
86 water-insoluble BrC even exhibits a higher light absorption than water-soluble BrC in  
87 ambient aerosols (Chen et al., 2016, 2017; Bai et al., 2020; Huang et al., 2020; Li et al., 2019).  
88 However, knowledge on the chemical and optical properties of water-insoluble BrC from  
89 combustion sources is still lacking. Moreover, the association of chemical compositions  
90 responsible for light absorption of BrC from combustion sources is still constrained.  
91 Therefore, to gain more detailed information on BrC from combustion sources, a  
92 comprehensive characterization, including the chemical and optical characteristics of the BrC  
93 fractions (including both water-soluble and water-insoluble BrC) from the combustion of  
94 biomass materials and coals, is required.

95 In addition, the oxidative potential (OP) of water-soluble organic fractions (WSOC and  
96 HULIS) and the water-insoluble organic fraction in ambient aerosols have been investigated,

97 and all are known to be significant redox-active organic compounds associated with ROS  
98 generation, which can adversely affect human health (Moufarrej et al., 2020; Bates et al.,  
99 2019; Verma et al., 2012; Kramer et al., 2016; Wong et al., 2019). As important contributors  
100 to ambient BrC, combustion-derived BrC is expected to have a strong ROS generation  
101 capacity and be harmful to human health. For example, the oxidative potential of the  
102 water-soluble fraction of atmospheric fine aerosols were analyzed and revealed that biomass  
103 burning dominates the ROS-generation potential in winter, contributing more than 46% to  
104 DTT activities in the southeastern United State (Verma et al., 2014) and 41% in Milan, Italy  
105 (Hakimzadeh et al., 2020). In addition, study on the oxidative potential of water-soluble  
106 HULIS in fine aerosols in Beijing also indicated that combustion sources contributed a high  
107 proportion to the oxidative stress of water-soluble HULIS fractions (Ma et al., 2018).  
108 However, these results were mainly obtained based on the source apportionment receptor  
109 model (positive matrix factorization (PMF) and chemical mass balances (CMB)), Recently,  
110 the water extracts and HULIS from biomass burning were directly investigated and presented  
111 significant oxidative potential to generate ROS (e.g., 6.6-55 pmol/min/μg for WSOC and  
112 HULIS extracted from biomass burning smokes) (Fan et al., 2018; Pietrogrande et al., 2021;  
113 Seo et al., 2020). In addition, high oxidative potentials (2.04-15.5 pmol/min/ug) were also  
114 observed for water extracts in soots generated from the combustion of fossil fuels (Li et al.,  
115 2019; Zhu et al., 2019). However, this limited studies only focused on the water-soluble BrC  
116 fraction from biomass burning; and knowledge on the oxidative potential of the  
117 water-insoluble BB BrC and BrC fractions emitted from other combustion processes, such as  
118 coal combustion, is still lacking. In addition, the DTT activities of BrC from different

删除了: and statistical analysis (Pietrogrande et al., 2021)

删除了: (e.g., 6.6-10.7 pmol/min/μg for HULIS) (Fan et al., 2018).

122 combustion sources were generally different, but the key components or functional groups  
123 that responsible for the ROS generation capacity of combustion-derived BrC are unclear.

124 Biomass fuels and coals are two traditional sources of energy in residential properties in  
125 some developing countries, especially China and India (Sun et al., 2017; Huo et al., 2018;  
126 Singh et al., 2021). Due to incomplete combustion and poor pollution control, BB and CC  
127 release various pollutants, including particulate matter (PM), elemental carbon (EC), and BrC.  
128 In this study, we investigated the optical properties, chemical composition, and oxidative  
129 potential of BrC fractions in smokes emitted from BB and CC. Six biomass materials (three  
130 types of crop straw and three types of wood branches) and five coals with different maturities  
131 were combusted, and the resulting smoke particles were collected in a laboratory combustion  
132 chamber. The water soluble (WSOC and HULIS-C) and methanol soluble (MSOC) fractions  
133 in smoke were fractionated using pure water combined with solid-phase extraction (SPE) and  
134 methanol extraction. Subsequently, their chemical and optical properties were measured using  
135 a total organic carbon analyzer, UV-visible spectroscopy, fluorescence extraction-emission  
136 matrix spectroscopy (EEM) combined with parallel factor analysis (PARAFAC), and proton  
137 nuclear magnetic resonance spectroscopy ( $^1\text{H-NMR}$ ). Moreover, the oxidative potential of the  
138 BrC fractions was determined by a dithiothreitol (DTT) assay. This is a comprehensive study  
139 of the chemical and optical properties of BrC fractions, including both water-soluble and  
140 water-insoluble fractions from BB and CC. The OP of different BrC fractions from BB and  
141 CC were directly determined, and the key components or properties associated with the OP of  
142 BrC were further discussed. The information obtained will enhance our understanding of the  
143 chemical composition, light absorption, fluorophores, and DTT activity of the primary BrC

144 from BB and CC and could be used to estimate the environmental and climate impacts of  
145 different types of combustion-derived BrC.

146

## 147 **2. Materials and methods**

### 148 **2.1. The BB and CC smoke samples**

149 In this study, six biomass materials and five types of coal were collected and used to  
150 generate smoke samples. The biomass materials consisted of three types of crop straw (wheat  
151 straw (WS), rice straw (RS), and corn straw (CS)) and three types of wood branches (pine  
152 wood (PW), Chinese fir (CF), and white poplar (WP)). These materials are usually used as  
153 fuels for heating and cooking in rural areas and are also occasionally burned in the field (Fan  
154 et al., 2018; Kumar et al., 2018b). The combustion of these crop straws and woody fuels is  
155 reported to make a significant contribution to atmospheric aerosols in China (Shen et al.,  
156 2013). Five types of coal were used for the collection of CC smoke samples. They consisted  
157 of four types of bituminous coal (B-1, B-2, B-3, and B-4) and one anthracite coal (AN),  
158 representing the major types of coal used for residential CC in China. The details of these  
159 samples are provided in the supporting information (SI).

160 Samples of the smoke emitted from BB and CC were collected in a combustion and  
161 sampling system. The system consisted of a combustion hood, clean background air dilution  
162 and injection ports, smoke pipe, mixing fan, mixing chamber, PM<sub>2.5</sub> sampler (JCH-120F,  
163 Jchuang Environmental Protection Group Co., Ltd., Shandong, China), and an exhaust port.  
164 The details of the sampling procedure are described in our previous study (Fan et al., 2018; Li  
165 et al., 2018) and the SI file.



166 Blank quartz filters were collected before each group of combustion experiments prior to  
167 the fuels being ignited. Blank filters were used to correct the mass of smoke, the optical  
168 signals and DTT consumption by BrC. To prevent contamination of the following sample, the  
169 collection system was cleaned before each new combustion experiment.

170

## 171 **2.2. Extraction and isolation of BrC fractions**

172 In this study, the WSOC, HULIS-C, and MSOC fractions were obtained using the  
173 solvent extraction method, as described in our previous studies (Fan et al., 2016; Li et al.,  
174 2018). Initially, the filter samples were cut into small pieces and ultrasonically extracted three  
175 times with 20 mL ultrapure water for 30 min. The extract was filtered through a 0.22  $\mu\text{m}$   
176 polytetrafluoroethylene (PTFE) syringe filter (Jinteng, Tianjin, China), which collected the  
177 WSOC fraction. The HULIS-C fraction in WSOC was further isolated using the SPE (Oasis  
178 HLB, 200 mg, Waters, Milford, MA, USA) method. The detailed procedure is provided in S3  
179 of SI file.

180 The MSOC fraction was obtained using a method developed by Cheng et al. (2016).  
181 Briefly, a portion of the filter was immersed in methanol (Macklin, >99.9%, Shanghai, China)  
182 for 2 h and then filtered through a 0.22  $\mu\text{m}$  PTFE syringe filter. Static digestion without  
183 ultrasonic treatment can avoid the loss of PM and facilitate the determination of the dissolved  
184 organic matter (DOM) content. Finally, the residual filters were dried in a vacuum dryer. The  
185 OC content of MSOC was obtained by subtracting the OC concentration of the extracted  
186 filters from untreated filters.

187

### 188 **2.3. UV-visible spectroscopy**

189 The UV-visible absorption spectra of the BrC solutions were analyzed using a UV-vis  
190 spectrophotometer (UV-2600, Shimadzu, Kyoto, Japan). The BrC solution was placed in a  
191 0.01 m quartz cuvette, and the UV-vis spectra were recorded from 200 to 700 nm at 1 nm  
192 intervals. Milli-Q water was used as a blank reference for the WSOC and HULIS-C solutions  
193 while pure methanol was used as the blank for the MSOC fraction. The corresponding  
194 background was used to determine the interference from the instrument and operational blank  
195 sample.

196 To describe the optical properties of BrC fractions, the AAE and MAE<sub>365</sub> were calculated  
197 in this study. The AAE is a measure of the spectral dependence of chromophores in BrC while  
198 the MAE<sub>365</sub> can indicate the light absorbing capacity of BrC (Fan et al., 2016; Cheng et al.,  
199 2016). The detailed calculations are described in the SI file.

200

### 201 **2.4. Fluorescence EEM spectroscopy and the PARAFAC model**

202 The EEM fluorescence spectra of BrC fractions were recorded by an F-4600  
203 fluorescence spectrometer (Hitachi, Tokyo, Japan) using a 0.01 m width quartz cuvette with a  
204 400 V xenon lamp at room temperature and a 2400 nm/min scanning speed. The scanning  
205 ranges for excitation ( $E_X$ ) and emission ( $E_M$ ) were 200–400 nm and 290–520 nm, respectively.  
206 The slit width and intervals for  $E_X$  and  $E_M$  were both set to 5 nm. According to the different  
207 solvents used for sample extraction (water and methanol), all EEM spectra were divided into  
208 two groups for analysis (66 samples for water-soluble WSOC and HULIS-C and 33 samples  
209 for MSOC). The PARAFAC modeling procedure was conducted in EFC v1.2, which is an

210 application software based on MATLAB that has the functions of conversion, correction,  
211 cognition, comparison, and calculation for processing the fluorescence spectra (He and Hur,  
212 2015; Murphy et al., 2011; Murphy et al., 2013). The PARAFAC analysis method that was  
213 included in the software was consistent with the calculation made by the drEEM toolkit when  
214 using MATLAB (Murphy et al., 2010; Murphy et al., 2013). The PARAFAC was computed  
215 using two to seven component models, with nonnegativity constraints and a residual analysis;  
216 and split half analysis was used to validate the number of fluorescence components.  
217 According to the results of the split-half and core consistency analysis, four component  
218 models were chosen for both the WSOC and HULIS-C fractions and the MSOC. The EEM  
219 was normalized to the area under the ultrapure water Raman peak ( $E_X = 350$  nm,  $E_M = 365$ –  
220 430 nm) collected before the measurement of samples to produce corrected fluorescence  
221 intensities in Raman units (Lawaetz and Stedmon, 2009). The relative contribution of  
222 individual chromophores was estimated by calculating the maximum fluorescence intensities  
223 ( $F_{\max}$ : maximum fluorescence intensity of identified fluorescence components, relative  
224 content  $\% = F_{\max} / \sum F_{\max}$ ) (Matos et al., 2015; Chen et al., 2016).

225

## 226 **2.5. Proton-NMR spectroscopy**

227 Approximately 5 mg of the BrC fractions (i.e., HULIS-C, WSOC, and MSOC) derived  
228 from BB and CC were used for  $^1\text{H}$  NMR measurements. The water-soluble BrC fractions  
229 (WSOC and HULIS-C) were redissolved in 500  $\mu\text{L}$  deuterium oxide, and MSOC was  
230 redissolved in 500  $\mu\text{L}$  deuterated methanol and then transferred to a 5 mm NMR tube.  
231  $^1\text{H}$ -NMR spectra were obtained at a frequency of 400 MHz using a spectrometer (Avance III

232 400, Bruker Daltonik GmbH, Bremen, Germany). Data were acquired from 100 scans, with a  
233 recycling time of 2 s for a condensed water sample. The length of the proton 90° pulse was  
234 8.87  $\mu$ s. A 1.0 Hz line-broadening weighting function and baseline correction were applied.  
235 The identification of the functional groups in the NMR spectra was based on their chemical  
236 shift ( $\delta$ H) relative to that of tetramethylsilane (0 ppm), which was applied as an internal  
237 standard (Zou et al., 2020).

238

## 239 **2.6. Oxidative potential**

240 The oxidative potential of BrC emitted from the BB and CC processes (i.e., WSOC,  
241 HULIS-C, and MSOC) was measured by a DTT assay. This protocol was mainly followed the  
242 methods introduced by Fan et al (2018) and Gao et al (2020), and also with some minor  
243 modifications. Briefly, 3 mL of extracted sample solution (MSOC was a mixture of 100  $\mu$ L  
244 sample and 2.9 mL of 18.2 M $\Omega$  Milli-Q water, and the corresponding blank was the same  
245 solution as that of the water blank) and 3 mL of 1 mM DTT were mixed in a 20 mL brown  
246 vial and then placed in a 37 °C water bath to maintain the samples at a constant temperature.  
247 At specific time intervals (0, 5, 10, 15, and 20 min), 1 mL of the well-mixed sample was  
248 transferred to another 4 mL brown vial, and 1 ml trichloroacetic acid (TCA 1% w/v) was  
249 added to stop the reaction. Then, 0.5 mL 5,5'-dithiobis-(2-nitrobenzoic acid) (DTNB, 1 mM)  
250 was added to react with the remaining DTT to produce 2-nitro-5-thiobenzoic acid (TNB).  
251 After 5 min, 1 mL of tris(hydroxymethyl)methyl aminomethane buffer (0.4 mM Tris buffer,  
252 pH 8.9 in 4 mM) containing diethylene triamine pentaacetic acid (DTPA) was added, and the  
253 yellow color of TNB was visible in the mixed samples. The absorbance was measured at 412

254 nm with a UV-vis spectrometer (UV2600, Shimadzu). The DTT, TCA, and DTNB were all  
255 dissolved in 0.1 M phosphate buffer (pH 7.4) containing 1 mM DTPA. and the corresponding  
256 filter blank was analyzed to correct the DTT activity of the sample fractions. The DTT  
257 consumption rate after subtracting the field blank was determined using the absorbance and  
258 normalized by the particulate mass ( $DTT_m$ , pmol/min/ $\mu$ g) (Verma et al., 2012; Fan et al.,  
259 2018). In this study, 1,4-phenanthraquinone was used to conduct a positive control, of which  
260 the DTT consumption rate was  $0.46 \pm 0.03 \mu\text{M DTT}/\text{min}$  ( $n=10$ ). The rate was similar to  
261 those reported in the previous studies (Fan et al., 2018; Lin and Yu, 2019).

262

### 263 3. Results and discussion

#### 264 3.1. Abundance of WSOC, HULIS-C, and MSOC in BB and CC smoke samples

265 Table 1 summarizes the abundance of BrC fractions, including WSOC, HULIS-C, and  
266 MSOC, in BB and CC smoke  $PM_{2.5}$  samples. As shown in Table 1, the average contribution  
267 of WSOC to smoke  $PM_{2.5}$  was 2.9%–12% and 2.3%–22% for BB and CC, respectively. These  
268 results were comparable to the results obtained for smoke samples from the combustion of  
269 cherry leaves (16%), ginkgo tree leaves (6.0%) (Park et al., 2013), corn straw (5.9%), pine  
270 branches (6.4%) (Fan et al., 2016), and residential coals (4%–11%) (Li et al., 2018) and in the  
271 ambient  $PM_{2.5}$  from rural and urban sites (4–13%) (Matos et al., 2015; Qin et al., 2018; Wu et  
272 al., 2020). This suggests that both BB and CC can release substantial amounts of  
273 water-soluble BrC into atmospheric aerosols. As the hydrophobic fraction of WSOC, the  
274 carbon content of HULIS (HULIS-C) accounted for 1.0%–7.8% and 0.5%–10% of BB and  
275 CC smoke  $PM_{2.5}$ , respectively. These values are comparable to the results obtained for BB

276 smoke (5.9%–15.2%) (Fan et al., 2018; Huo et al., 2018), CC smoke (1.9%–4.8%) (Li et al.,  
277 2018), and atmospheric aerosols in Beijing (4.8%–9.4%) (Li et al., 2019), with an average  
278 value of  $7.2\% \pm 3.3\%$ , therefore confirming the important contributions made by BB and CC  
279 to atmospheric HULIS. As a comparison, the contribution of MSOC to smoke  $PM_{2.5}$  was  
280 6.4%–47% and 9.4%–73% for BB and CC, respectively, with both values being much higher  
281 than the contributions of the water-soluble fractions (WSOC and HULIS-C) in the same  
282 smoke samples. Similar results have been reported in previous studies (Li et al., 2018; Cheng  
283 et al., 2016), which suggest that there are more organic compounds that could be extracted by  
284 methanol than by water, and it could therefore be a better indicator of total BrC. This result  
285 also indicated that BB and CC both released large amounts of water-insoluble BrC  
286 compounds, including hydrophobic polycyclic aromatic hydrocarbons (PAHs) and  
287 nitrogen/sulfur-containing heteroatomic PAHs (Geng et al., 2014; Dong et al., 2021; Huang et  
288 al., 2020).

289 Some differences were observed among the different types of smoke samples. As shown  
290 in Figure 1, the average contributions of the WSOC and HULIS-C fractions to the total  
291 carbon (TC) were  $22\% \pm 7.3\%$  and  $11\% \pm 3.8\%$ , respectively, for BB smoke, which were  
292 higher than the corresponding values of  $19\% \pm 9.4\%$  and  $8.2\% \pm 4.0\%$  for CC smoke. The  
293 contribution of MSOC to OC was  $69\% \pm 19\%$  for BB, which was significantly lower than the  
294 value of  $97\% \pm 1.8\%$  for CC. These results suggested that BB generally released the more  
295 water-soluble OC fraction whereas more water-insoluble OC fraction was contained in the  
296 smoke particles emitted from CC. These differences can be explained by the fact that biomass  
297 fuels generally contain large amounts of biopolymers, such as carbohydrates (cellulose,

298 hemicellulose, etc.); the burning of biomass fuels produces more highly polar compounds,  
299 such as phenols, polyols, and polysaccharides; and CC emits more relatively hydrophobic and  
300 less polar components, such as coal tar and polycyclic aromatic species (Wu et al., 2014; Wu  
301 et al., 2021; Huang et al., 2020).

302

### 303 **3.2 Light absorption**

304 AAE and MAE<sub>365</sub> are important optical indicators of the light absorption properties of  
305 atmospheric BrC and were investigated for BB- and CC-derived BrC in this study. As shown  
306 in Figures 2a and c, the AAE values of the WSOC and HULIS-C fractions were 6.1–9.9  
307 (mean  $7.8 \pm 1.6$ ) and 7.2–9.6 (mean  $8.5 \pm 0.8$ ), respectively, for BB smoke and 8.5–16 (mean  
308  $13 \pm 2.9$ ) and 10–16 (mean  $14 \pm 2.3$ ), respectively, for CC smoke. These results were  
309 comparable to those measured for combustion-emitted aerosols with reported AAE values for  
310 HULIS of 7.4–8.3 (Park and Yu, 2016) and 6.2–8.1 (Fan et al., 2016, 2018) for BB smoke and  
311 5.2–14 for CC smoke (Li et al., 2019). Moreover, the AAE values of BB WSOC and HULIS  
312 were also comparable to those reported for WSOC in urban aerosols in Beijing (mean  $7.28 \pm$   
313  $0.24$ ) (Cheng et al., 2016), HULIS in Amazon BB aerosols ( $\sim 7.10$ ) (Hoffer et al., 2006),  
314 urban aerosols in Beijing (5.3–5.8) (Yan et al., 2015), and aerosols in the Tibetan Plateau  
315 (7.14–9.35) (Wu et al., 2020) but higher than that (1.2–5.4, mean of 3.2) of water-soluble BrC  
316 in Los Angeles (Zhang et al., 2013). However, the AAE values of the water-soluble BrC  
317 fraction from CC were almost higher than those in ambient aerosols, as described above. The  
318 AAE values for MSOC were 5.62–6.95 for BB smoke and 8.46–10.0 for CC smoke. It was  
319 obvious that the AAE value of BB MSOC was comparable to that of urban aerosols (average

320 7.10 ± 0.45) in Beijing (Cheng et al., 2016) and the reported value (5.0–6.5) for urban  
321 aerosols in India (Mukherjee et al., 2020), but the AAE values of CC MSOC were likely  
322 higher than those for urban aerosols. It is obvious that CC-derived BrC fractions (WSOC,  
323 HULIS-C, and MSOC) generally have relatively higher AAE values than ambient BrC,  
324 thereby suggesting that the contribution of CC may improve the AAE values of BrC in the  
325 atmosphere and should not be ignored.

326 As shown in Figures 2a and c, the average AAE values of the WSOC, HULIS-C, and  
327 MSOC fractions in BB smoke were all lower than those for the same BrC fraction in CC  
328 smoke, indicating that BB-derived BrC had a weaker wavelength dependence than  
329 CC-derived BrC. This finding agreed with the results reported in a previous study (Fan et al.,  
330 2016). The AAE values of the BrC fraction also varied according to the type of BrC fraction.  
331 HULIS-C had the highest AAE values, which were slightly higher than those for WSOC but  
332 much higher than those for MSOC (Figures 2a and 2c), indicating that water-soluble BrC  
333 fractions had a greater wavelength dependency than the corresponding MSOC. This was  
334 similar to the results of previous studies that found higher AAE values for WSOC than  
335 MSOC in ambient aerosols (Cheng et al., 2016; Kim et al., 2016) and can be explained by the  
336 fact that the strongly light-absorbing organic molecules are generally comprised of aromatic  
337 structures with a high degree of conjugation and low solubility in water.

338 MAE<sub>365</sub> is an important parameter that characterizes the light absorption ability of  
339 atmospheric BrC. As shown in Figures 2b and d, the MAE<sub>365</sub> values of WSOC and HULIS-C  
340 were 0.9–1.5 (mean 1.2 ± 0.3) and 1.1–1.6 (mean 1.3 ± 0.2) m<sup>2</sup>/gC, respectively, for BB  
341 smoke and 0.2–0.8 (mean 0.3 ± 0.2) and 0.3–1.1 (mean 0.4 ± 0.3) m<sup>2</sup>/gC, respectively, for CC



342 smoke. As the hydrophobic fraction of WSOC, the MAE<sub>365</sub> values of HULIS-C in BB and CC  
343 smoke were slightly higher than that of the corresponding WSOC, suggesting that HULIS-C  
344 had a stronger light absorbing ability. Moreover, the MAE<sub>365</sub> values of WSOC and HULIS-C  
345 in BB smoke were comparable with the results of previous studies of the WSOC and  
346 HULIS-C fractions in combustion-released smokes and ambient aerosols. For example, the  
347 reported MAE<sub>365</sub> values of WSOC and HULIS-C were 0.8–1.6 and 1.0–1.5 m<sup>2</sup>/gC,  
348 respectively, in BB smoke PM<sub>2.5</sub> (Park and Yu, 2016; Huo et al., 2018); 0.3–1.0 and 0.5–1.4  
349 m<sup>2</sup>/gC, respectively, in CC smoke particles (Li et al., 2018); and 0.1–1.5 m<sup>2</sup>/gC in ambient  
350 aerosols (Cheng et al., 2016; Yan et al., 2015; Zou et al., 2020). In contrast, the MAE<sub>365</sub>  
351 values for MSOC were 1.9–2.7 m<sup>2</sup>/gC for BB smoke and 1.0–2.7 m<sup>2</sup>/gC for CC smoke,  
352 which were 1.3–8.5 times higher than the corresponding values for HULIS-C and WSOC and  
353 suggest that MSOC had the strongest light absorption capacity. The MAE<sub>365</sub> values of BB and  
354 CC MSOC were comparable to the MAE<sub>365</sub> values of urban aerosols in Beijing winter  
355 (average 1.45 ± 0.26 m<sup>2</sup>/gC) (Yan et al., 2015) and the water-insoluble BrC (0.85–2.45 m<sup>2</sup>/gC)  
356 in summer and winter ambient aerosols in Xi'an, Northwest China (Li et al., 2020b).  
357 However, the values were higher than the MAE<sub>365</sub> value of aerosol MSOC in the Central  
358 Tibetan Plateau (0.27–0.86 m<sup>2</sup>/gC) (Wu et al., 2020), which may be due to the relatively low  
359 combustion source contribution in this region.

360 As shown in Figures 2b and d, some differences were observed among the BrC fractions.  
361 WSOC, HULIS-C, and MSOC in BB smoke all had relatively higher MAE<sub>365</sub> values than the  
362 same BrC fractions from CC, which suggested that BrC components emitted from BB had a  
363 relatively higher light absorption ability than those from CC and may therefore have a higher

364 radiative force (Alexander et al., 2008). This finding is important for accurately assessing the  
365 climate effects of BrC from different combustion sources.

366

### 367 **3.3. Spectral EEM features and identification of PARAFAC components**

#### 368 **3.3.1. The EEM fluorescence properties**

369 Fluorescence spectroscopy is a highly sensitive analytical technique for the identification  
370 of the sources and types of fluorophores in natural organic matter. In recent decades,  
371 fluorescence spectroscopy has been widely used to characterize the fluorophores of  
372 atmospheric BrC in field and laboratory studies (Chen et al., 2017; Chen et al., 2016; Qin et  
373 al., 2018; Fan et al., 2020). The typical EEM spectra of WSOC, HULIS-C, and MSOC  
374 fractions from BB and CC are shown in Figure S2. To avoid concentration effects, the  
375 fluorescence spectra were normalized by the OC content of WSOC, HULIS-C, and MSOC;  
376 and the specific fluorescence intensities (a.u. L/(gC)) are shown.

377 In general, the different regions in the fluorescence spectra can be associated with  
378 organic fractions with different chemical characteristics (Table S1) (Chen et al., 2003; Cui et  
379 al., 2016; Qin et al., 2018). As shown in Figure S2, the EEM spectra were divided into five  
380 regions: protein-like amino acid (I), protein-like UV region (II, peak T<sub>1</sub>), fulvic-like (III),  
381 tryptophan-like or microbial byproducts (IV, peak T<sub>2</sub>), and humic-like (V) fluorophores (Qin  
382 et al., 2018; Cui et al., 2016; Chen et al., 2016). It was observed that the WSOC and  
383 HULIS-C fractions exhibited two types of fluorescence peaks at  $\lambda_{\text{ex}}/\lambda_{\text{em}} \approx (220\text{--}240)/(350\text{--}$   
384  $390)$  nm (peak T<sub>1</sub>) and  $\lambda_{\text{ex}}/\lambda_{\text{em}} \approx (260\text{--}300)/(240\text{--}380)$  nm (peak T<sub>2</sub>) (as marked in Figure S2),  
385 which were mainly located in regions II and IV, respectively. These bands in the same range

386 as peaks T<sub>1</sub> and T<sub>2</sub> have previously been identified in the EEM fluorescence spectra of  
387 water-soluble organic matter from rainwater/fog water (Santos et al., 2009; Santos et al., 2012)  
388 and PM<sub>2.5</sub> in an industrial city in Northwest China (Qin et al., 2018). As shown in Figure S2,  
389 the fluorescence peaks T<sub>1</sub> and/or T<sub>2</sub> were the dominant peaks for WSOC and HULIS-C in  
390 BB- and CC-derived smoke samples, which were consistent with previous observations of the  
391 WSOC and HULIS-C fractions from BB (Huo et al., 2018; Fan et al., 2020). In general, peak  
392 T<sub>1</sub> mainly corresponded to the protein-like UV region, with a minor contribution from  
393 fulvic-like substances; whereas peak T<sub>2</sub> was assigned as tryptophan-like or microbial  
394 byproduct fluorophores. However, as reported in recent studies, non-nitrogen-containing  
395 species, such as naphthalene and phenol-derived compounds, may also contribute to the  
396 fluorophores with peak T<sub>2</sub> in atmospheric aerosols (Chen et al., 2017, 2020). In addition, the  
397 intensity of peak T<sub>1</sub> for BB- and CC-derived HULIS-C fractions was clearly stronger than the  
398 peak in ambient HULIS described in previous studies (Chen et al., 2017; Chen et al., 2016;  
399 Fan et al., 2020; Qin et al., 2018), indicating that these BB- and CC-derived HULIS-C might  
400 consist of more protein-like and/or aromatic amino acids than atmospheric HULIS.  
401 However, these protein-like fluorescence peaks were observed to gradually decrease during  
402 the aging process (e.g., hydroxyl radicals or ozone oxidation) in previous studies (Fan et al.,  
403 2019, 2020). This implied that most protein-like fluorophores in BB or CC BrC fractions may  
404 have high reactivity.

405 As shown in Figure S2, the EEM spectra of the three MSOC fractions from crop straw  
406 burning all had a strong fluorescence peak at long emission wavelengths ( $E_x = 205\text{--}280\text{ nm}$ ,  
407  $E_m = 360\text{--}380\text{ nm}$ ), which were located in regions V and IV and were generally assigned to

408 humic-like fluorophores (Qin et al., 2018) or less oxygenated humic-like species (Chen et al.,  
409 2017; Chen et al., 2016). This peak was very weak or unobservable in the EEM fluorescence  
410 spectra of the WSOC and HULIS-C fractions, suggesting that the higher intensity of the  
411 fluorescence peak was mainly due to water-insoluble organic compounds with a high degree  
412 of conjugation and/or aromaticity. As shown in Figure S2, unlike the EEM spectra of crop  
413 straw MSOC, the EEM spectra of the three types of wood branches all displayed two obvious  
414 fluorescence peaks (e.g., peaks T<sub>1</sub> and T<sub>2</sub>). These differences in the EEM spectra between  
415 crop straw and wood burning-derived MSOC might be attributed to their molecular  
416 differences, which should be investigated in future studies. The EEM spectra of the four  
417 bituminous coal smoke MSOC fractions displayed a similar fluorescence peak T<sub>2</sub> in the EEM  
418 spectra, but only a strong peak T<sub>1</sub> was observed in the anthracite coal smoke MSOC. These  
419 differences indicate that the fluorophores of MSOC were significantly influenced by the type  
420 of fuel.

421

### 422 3.3.2. Identification of PARAFAC components

423 PARAFAC analysis further determined the fluorescent components of the water-soluble  
424 BrC fraction (WSOC and HULIS-C) and MSOC. As shown in Figure 3a, WSOC and  
425 HULIS-C generally contained four types of fluorophores (C<sub>w1</sub>–C<sub>w4</sub>). Based on previous  
426 studies of BrC EEM in combustion aerosols and ambient aerosols (Chen et al., 2017; Chen et  
427 al., 2016; Huo et al., 2018; Qin et al., 2018), these four fluorophores could be assigned to two  
428 protein-like substances (C<sub>w1</sub> and C<sub>w2</sub>), one polyphenol-like component (C<sub>w3</sub>), and one  
429 humic-like compound (C<sub>w4</sub>). The E<sub>x</sub>/E<sub>m</sub> maximum of C<sub>w1</sub> was located at 230/365 nm in

430 region II and was confirmed to be protein-like UV fluorophores. C<sub>w</sub>2 (E<sub>x</sub> = 270 nm, E<sub>m</sub> =  
431 350 nm) was placed in region IV and was determined to be tryptophan-like or microbial  
432 byproduct compounds (Chen et al., 2016; Li et al., 2020a), which have been identified in  
433 aerosol WSOM (Chen et al., 2016; Matos et al., 2015) and BB-derived primary and secondary  
434 WSOM (Huo et al., 2018). C<sub>w</sub>3 (E<sub>x</sub> = 205/275 nm, E<sub>m</sub> = 330 nm) was located in regions I  
435 and IV and had the characteristics of aromatic protein-like fluorophores or polyphenol-like  
436 components, most likely representing the fluorescence properties of polyphenol-like  
437 components or compounds containing phenoxy groups (Mostofa et al., 2011). C<sub>w</sub>4 (E<sub>x</sub> =  
438 215–320 nm, E<sub>m</sub> = 415 nm) was located in the area where regions III and V overlap. These  
439 overlapping peaks were assigned to strong humic-like species fluorescence with an excitation  
440 wavelength = 245 nm and two weaker shoulder peaks (Chen et al., 2016; Li et al., 2020a; Qin  
441 et al., 2018; Huo et al., 2018; Fan et al., 2020); therefore, C<sub>w</sub>4 was associated with typical  
442 humic-like fluorophores. In summary, the fluorescence components identified in the WSOC  
443 and HULIS-C fractions suggested that protein-like and humic-like substances were the two  
444 major backbone components in water-soluble BrC fractions.

445 As shown in Figure 3b, four independent fluorescence components were also identified  
446 by PARAFAC analysis of MSOC (C<sub>M</sub>1–C<sub>M</sub>4). These components were similar to those of  
447 WSOC and HULIS-C, especially the positioning of the main peaks of the four fluorescent  
448 fluorophores. However, some small differences for component 2 (C<sub>w</sub>2 and C<sub>M</sub>2) and  
449 component 4 (C<sub>w</sub>4 and C<sub>M</sub>4) fluorophores were also observed. Unlike C<sub>w</sub>2 in WSOC and  
450 HULIS-C, C<sub>M</sub>2 in MSOC had its E<sub>x</sub>/E<sub>m</sub> maximum at 285/360 nm, which was assigned to  
451 tryptophan-like compounds (Fan et al., 2020; Qin et al., 2018). In addition, two lower

452 intensities of peaks at a lower excitation wavelength were also detected. The position of this  
453 fluorescence was closer to that of the typical tryptophan-like chromophores in aquatic DOM  
454 (Murphy et al., 2010).  $C_{M4}$  in MSOC had a strong peak (EX = 255 nm, EM = 295 nm) but  
455 without the shoulder peaks observed for  $C_{W4}$  in WSOC (Chen et al., 2016; Hou et al., 2018).

456 The relative contributions of individual chromophores identified by PARAFAC analysis  
457 were calculated to express the relative contribution of each independent chromophore to the  
458 overall fluorescence properties and are shown in Figure 4. The protein-like fluorescence  
459 group (components 1 and 2), which were located at low emission wavelengths, dominated the  
460 fluorophores of the BrC fractions in most BB and CC smoke samples. As shown in Figure 4,  
461 the contributions of protein-like substances in WSOC, HULIS-C, and MSOC were 47%–80%,  
462 44%–87%, and 42%–70% (except CS MSOC), respectively, which were higher than the  
463 contributions of polyphenol-like or humic-like substances in the same BrC fraction. These  
464 results are similar to the results reported for BrC from biomass combustion emissions in  
465 previous studies (Huo et al., 2018; Fan et al., 2020). However, they were significantly  
466 different from the EEM-PARAFAC properties of BrC in ambient aerosols, in which  
467 component 4 was the most abundant chromophore (Chen et al., 2016; Li et al., 2020a).  
468 However, component 4 accounted for only 13%–33% (except CS MSOC) and 3.8%–31% of  
469 the BB and CC BrC fluorescence intensities, respectively, which were significantly lower  
470 than those reported previously in ambient aerosols (30%–38%) (Li et al., 2020a). Moreover,  
471 the contribution of polyphenol-like chromophores was 4.0%–39% and was comparable to that  
472 of ambient aerosols (18%–26%) (Li et al., 2020a; Chen et al., 2016). It is obvious that the  
473 four fluorescent components were all detected in the BrC fractions in combustion-derived

474 smokes and atmospheric aerosols; however, the protein-like compounds were the dominant  
475 fluorophores in combustion-derived BrC whereas a relatively higher content of humic-like  
476 fluorophores was identified in ambient aerosol BrC. These differences may be due to the  
477 influence of various sources and atmospheric chemical processes on fluorophores (Li et al.,  
478 2020a; Fan et al., 2020).

479 Furthermore, some differences were also observed among the BrC fractions derived  
480 from different sources. As shown in Figure 4, the water-soluble BrC (WSOC and HULIS-C)  
481 from wood burning had a relatively higher content of component 3 than the water-soluble  
482 BrC from crop straw burning, which may be associated with the relatively large amount of  
483 lignin components in wood materials. In addition, even though their maturity was very  
484 different, there was no regular trend in the relative content of the fluorescent groups.

485

#### 486 **3.4. <sup>1</sup>H-NMR spectroscopy**

487 <sup>1</sup>H-NMR is an important analytical tool for the investigation of the functional groups of  
488 WSOC and HULIS in rural/urban aerosols (Fan et al., 2016; Zou et al., 2020) and rainwater  
489 (Santos et al., 2009; Santos et al., 2012). The typical <sup>1</sup>H-NMR spectra of the WSOC,  
490 HULIS-C, and MSOC fractions in smoke emitted from BB crop straw (e.g., WS) and CC  
491 (e.g., B-1) are shown in Figure 5, and the <sup>1</sup>H-NMR spectra of other BB and CC BrC fractions  
492 are shown in Figure S3. These BrC fractions had <sup>1</sup>H-NMR spectra similar to those derived  
493 from atmospheric HULIS and/or WSOC in rainwater (Santos et al., 2009; Santos et al., 2012),  
494 BB aerosols (Fan et al., 2016), and ambient aerosols in urban and rural regions (Zou et al.,  
495 2020).

496 As shown in Figure 5, the  $^1\text{H-NMR}$  spectra were mainly composed of several distinct  
497 sharp peaks superimposed on an unresolved broad band. According to previous studies and  
498 reference NMR spectra (Zou et al., 2020; Chalbot et al., 2014; Chalbot et al., 2016), these  
499 sharp peaks can be ascribed to low molecular weight organic compounds, such as  
500 levoglucosan ( $\delta 3.52$ ,  $\delta 3.67$ ,  $\delta 4.08$ , and  $\delta 5.45$  ppm), glucose ( $\delta 3.88$ – $\delta 3.91$  and  $\delta 3.81$ – $\delta 3.85$   
501 ppm), and fructose ( $\delta 3.79$ – $\delta 3.84$  ppm) associated with BB emissions; phthalic acid ( $\delta 7.45$ –  
502  $\delta 7.47$  and  $\delta 7.58$  ppm) and terephthalic acid ( $\delta 8.01$  ppm) associated with anthropogenic  
503 activity; and the  $\text{CH}_3$  in trimethylamine ( $\delta 2.71$  and  $\delta 2.89$  ppm), dimethylamine ( $\delta 2.72$  ppm),  
504 and monomethylamine ( $\delta 2.55$  ppm) coemitted with ammonia. The relatively few and/or weak  
505 sharp peaks in the  $^1\text{H-NMR}$  spectra of HULIS-C compared with those of WSOC may be the  
506 result of low molecular weight organic compounds that have been removed from HULIS-C  
507 through SPE isolation. In addition, all BB-derived WSOC had a high intensity of sharp peaks  
508 associated with carbohydrates, such as levoglucosan, glucose, and fructose resonances, which  
509 may be released from the thermal reactions of biopolymers, such as celluloses. As a  
510 comparison, several peaks ( $\delta 0.90$  and  $\delta 1.35$  ppm) were observed in MSOC and were mainly  
511 located in the aliphatic region. These peaks were weaker in WSOC and HULIS-C, suggesting  
512 that more less polar aliphatic compounds were present in the MSOC fraction.

513 Despite some sharp peaks being identified, most of the signals in the  $^1\text{H-NMR}$  spectra of  
514 the BrC fractions presented a continuous unresolved distribution, suggesting that BrC consists  
515 of a complex mixture of organic substances (Fan et al., 2016; Chalbot et al., 2014; Chalbot et  
516 al., 2016). As shown in Figure 5, the functional groups of smoke BrC could be divided into  
517 four representative categories: (1) R-H: aliphatic protons in alkyl chains (0.6–1.9 ppm),



518 including methyl (R-CH<sub>3</sub>) protons, methylene (R-CH<sub>2</sub>) protons, and methyne (R-CH) protons;  
519 (2) H-C-C=: aliphatic protons bound to carbon atoms adjacent to unsaturated groups (1.9–3.2  
520 ppm), including carbonyl (H-C-C=O) and imino (H-C-C=N) groups or aromatic rings; (3)  
521 H-C-O: protons bound to oxygenated aliphatic carbons atoms in alcohols, polyols, ethers, and  
522 esters (3.4–4.4 ppm), generally indicating that carbohydrates and ethers were present in  
523 organic matter; and (4) Ar-H: protons bound to aromatic carbon atoms (6.5–8.5 ppm) (Fan et  
524 al., 2016; Zou et al., 2020). The distribution of the four types of protons was obtained by  
525 integrating the area of the observed <sup>1</sup>H-NMR bands for each sample and is shown in Table 2.  
526 These functional groups were also observed in the <sup>1</sup>H-NMR spectra of HULIS in ambient  
527 aerosols. In general, HULIS in ambient aerosols (Chalbot et al., 2014; Chalbot et al., 2016)  
528 and rainwater (Santos et al., 2012) were characterized by the predominance of H-C (41%–  
529 60%), moderate contents of H-C-C= (25%–34%) and H-C-O (4.0%–49%), and a lesser  
530 contribution of Ar-H (2.0%–6.0%). However, it was obvious that the relative content of Ar-H  
531 groups (18%–37%) in HULIS-C from both combustion processes (BB and CC) was higher  
532 than the levels in ambient HULIS (Table 2), which suggests that BB- and CC-derived  
533 HULIS-C contained more aromatic structures than ambient HULIS. This was consistent with  
534 reports that more aromatic structures are observed in HULIS in colder season aerosol  
535 particles in northern China, which may be related to the amount of residential coal and straw  
536 combustion (Li et al., 2018; Sun et al., 2017).

537 As shown in Table 2, the relative contents of the four functional groups (i.e., R-H,  
538 H-C-C=, H-C-O, and Ar-H) varied with the type of BrC. For example, BB WSOC was always  
539 characterized by a relatively high level of oxygenated H-C-O groups and a relatively low

540 level of aliphatic R-H groups compared with the corresponding MSOC extracted with  
541 methanol. As shown in Figure 5, several strong signals in aliphatic R-H were also identified  
542 in MSOC, but they were weaker in the WSOC fraction. This was considered reasonable  
543 because the less polar aliphatic compounds were difficult to dissolve in water but could be  
544 extracted by methanol. As the hydrophobic fraction of WSOC, HULIS-C contained a  
545 relatively higher content of the Ar-H group and a relatively lower content of the oxygenated  
546 H-C-O group than the original WSOC for all BB and CC smoke samples. This was due to  
547 most of the low molecular oxygenated compounds not being retained by the  
548 hydrophilic-lipophilic balance cartridges and the enrichment of aromatic species (Fan et al.,  
549 2016; Zou et al., 2020).

550 Some distinct differences in the distribution of functional groups were also observed  
551 among the BrC fractions from BB and CC. As shown in Figure 5, several oxygenated  
552 compounds (e.g., levoglucosan) were identified, with higher intensity signals in the BB  
553 WSOC fraction, but they were weaker in the WSOC fraction from CC. The relative content of  
554 the H-C-O group was in the range of 34%–54% for the six BB WSOCs, which was higher  
555 than the values (9.0–34%) for the five CC WSOCs. These oxygenated aliphatic compounds  
556 were mainly assigned to carbohydrates and polyols that may be caused by the degradation of  
557 biomass polymers such as cellulose (Fan et al., 2012; Fan et al., 2016; Lin et al., 2016). In  
558 contrast, the BrC fractions from CC indicated a relatively higher level of unsaturated  
559 functional groups (Table 2). For example, there was a relatively higher content of Ar-H  
560 (30%–37%) and H-C-C= (34%–40%) in the smoke HULIS-C from CC than from BB,  
561 indicating that CC HULIS-C contained more unsaturated structures, such as aromatic

562 structures and unsaturated aliphatics (Wu et al., 2014; Dong et al., 2021; Huang et al., 2020).

563

### 564 **3.5 Oxidative potential**

565 The oxidative potential of the BB- and CC-derived BrC fractions (i.e., WSOC, HULIS-C,  
566 and MSOC) was investigated through a DTT assay, and the results are shown in Table S2 and  
567 Figure 6. The DTT<sub>m</sub> value of WSOC ranged from 0.5 pmol/min/μg (B-3) to 7.4 pmol/min/μg  
568 (CS) with a mean of 3.8 pmol/min/μg. These DTT<sub>m</sub> values are comparable with those for the  
569 water soluble fractions of BB, CC, and diesel soot (1.4±0.6, 2.1±2.3 and 1.1±0.4 pmol/min/μg)  
570 (Li et al., 2019; Zhu et al., 2019) but were much lower than the ranges of 14–25 pmol/min/μg  
571 in Los Angeles wildfire aerosol samples, 22–68 pmol/min/μg in Atlanta PM<sub>2.5</sub> samples, and  
572 0.13±0.10 nmol/min/μg in Beijing PM<sub>2.5</sub> samples (Verma et al., 2012; Bates et al., 2019, Yu et  
573 al., 2019). These results suggested that the water-soluble fraction from BB and CC in this  
574 study had a weaker ROS generation capacity than ambient aerosols, which was likely due to  
575 the differences in the chemical composition of water-soluble fractions in BB and CC smoke  
576 particles and ambient aerosols (Lin and Yu, 2011; Dou et al., 2015; Wong et al., 2019; Lin and  
577 Yu, 2019). In general, ambient aerosols contain various sources; and the contribution of other  
578 sources, such as vehicle emissions or anthropogenic emissions, and transition metals (e.g., Fe,  
579 Cu) could increase the ability of atmospheric water-soluble fractions to produce ROS species  
580 (Ma et al., 2018; Li et al., 2019). In addition, because of the evaporative loss of non- or  
581 less-DTT active semivolatile organic compounds, the DTT activities of BB-derived  
582 water-soluble fractions were enhanced during the aging process (Wong et al., 2019).

583 The DTT<sub>m</sub> values of BB- and CC-derived HULIS-C ranged from 0.5 pmol/min/μg (B-3)

584 to 5.5 pmol/min/ $\mu$ g (RS) with a mean of 2.3 pmol/min/ $\mu$ g. These values were lower than the  
585 range (15–45 pmol/min/ $\mu$ g) previously reported for ambient HULIS measured with the same  
586 DTT assay (Lin and Yu, 2011; Ma et al., 2018; Verma et al., 2012). As an important  
587 component of WSOC, the DTT activity of HULIS-C accounted 63.1% $\pm$ 15.5% (41.4%–90.6%)  
588 for that of WSOC in the BB and CC samples. These values of  $DDT_{m,HULIS}/DDT_{m,WSOC}$  were  
589 always higher than the organic carbon contribution of HULIS-C to WSOC for the same  
590 sample, (Table 1), therefore indicating that hydrophobic HULIS-C was an important  
591 redox-active fraction in the BB- and CC-derived WSOC compounds. This result was  
592 comparable with the higher oxidative contribution (64%) of HULIS-C following water  
593 extracts from ambient aerosols in Atlanta (Verma et al., 2012). As reviewed by Win et al.,  
594 (2018), this phenomenon can be explained by the specific organic species and functional  
595 groups with DTT activity in HULIS-C. As described in previous studies and in this study, the  
596 hydrophobic organic fractions isolated by the SPE column are mainly comprised of aromatic  
597 compounds (Sannigrahi et al., 2006; Fan et al., 2016; Huo et al., 2018). These compounds  
598 most likely include some of the redox-active species such as nitro-PAHs and quinones (Verma  
599 et al., 2012), which can catalyze the oxidation of cellular antioxidants and generate ROS  
600 species (Verma et al., 2012; Lin and Yu, 2011). In addition, as the charge transfer intermediate,  
601 the reversible redox sites in HULIS lead to continuous ROS production (Ma et al., 2018; Lin  
602 and Yu, 2011).

603 The  $DDT_m$  values of MSOC were in the range of 3.1 pmol/min/ $\mu$ g (B-4) to 84  
604 pmol/min/ $\mu$ g (RS). These values were comparable to those reported in previous studies  
605 involving atmospheric aerosol methanol extracts ( $\sim$ 55 pmol/min/ $\mu$ g) (Verma et al., 2012). As

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609 shown in Figure 6, the  $DTT_m$  values of MSOC were much higher than those of WSOC and  
610 HULIS-C from the same smoke samples, which suggested that the water-insoluble  
611 components possessed significant oxidative properties that are relevant in toxicological  
612 studies (Verma et al., 2012). These results were consistent with the results of previous studies  
613 showing that water-insoluble compounds made the largest contribution to the oxidative  
614 potential (Verma et al., 2012; Verma et al., 2015).

615 The  $DTT_m$  values of the BrC fractions varied with the type of fuel. As shown in Table S2,  
616 the  $DTT_m$  values of BB WSOC were 4.5–7.4 pmol/min/ $\mu$ g, which was significantly higher  
617 than the range of 0.5–2.1 pmol/min/ $\mu$ g for CC WSOC. Similar results were also observed for  
618 the HULIS and MSOC fractions (Figure 6). These results indicated that the BrC fractions  
619 from BB had higher oxidative potential values than those from CC and therefore more readily  
620 catalyzed the generation of ROS. Furthermore, no regular variations were observed for the  
621 oxidative potential of water-soluble BrC (e.g., WSOC and HULIS-C) in BB or CC smoke  
622 samples, but the MSOC in crop straw smoke had a much higher  $DTT_{mass}$  value than the  
623 MSOC in smoke samples from wood burning and CC. These differences were associated with  
624 the differences in the amounts of redox-active compounds in each BrC fraction. There is a  
625 need for more studies to investigate the relationship between the molecular structures in BB  
626 smoke BrC and their DTT activities.

627

### 628 **3.6 Correlation between oxidative potential and chemical compositions of BrCs**

629 The BrCs produced by the BB and CC processes generally have different oxidative  
630 potentials. The oxidative potential values of water-soluble BrC (WSOC and HULIS-C) were

631 much lower than those in MSOC, and the BB BrC fractions had higher oxidative potential  
632 values than CC BrC fractions. These results suggested that BrC from different sources  
633 exhibited distinct redox properties (Lin and Yu, 2011). To elucidate the association of  
634 chemical characteristics with the oxidative potential of BB and CC, principal component  
635 analysis (PCA) and Pearson correlation coefficients were conducted. Because the optical and  
636 chemical properties were all obtained based on organic matter rather than PM, the oxidative  
637 potential value normalized by the organic carbon mass (DTT<sub>OC</sub>) of each fraction was used  
638 here to present DTT activities, as well as the capacity to produce ROS species. In addition,  
639 considering the statistical significance and quantity, the WSOC, HULIS-C and MSOC data  
640 were analyzed together.

641 The results are shown in Figure 7 and Table 3. It is obvious that DTT<sub>OC</sub> showed a  
642 positive loading for both principal component 1 (PC1) and principal component 2 (PC2), and  
643 DTT<sub>OC</sub> was grouped with fluorophores C4 and MAE<sub>365</sub>. These results are also given by the  
644 Pearson correlation coefficient analysis in which the DTT<sub>OC</sub> values showed significant  
645 positive correlations with the parameters MAE<sub>365</sub> (R=0.697, p<0.01) and C4 proportion  
646 (R=0.560, p<0.01). These results suggested that fluorophore C4 and high light-absorbing  
647 components may significantly contribute to the DDT activities of BrC compounds.

648 Moreover, a significant positive relationship was also observed for C4 and MAE<sub>365</sub>  
649 (R=0.531, p<0.01), which indicated that C4 may be the main substance leading to the light  
650 absorption of BrC. As reported previously, MAE<sub>365</sub> is related to the aromatic structure of the  
651 conjugated system (Andrade-Eiroa et al., 2013, Fan et al., 2018), and fluorophore C4 was  
652 considered to be a highly oxygenated species containing more carbonyl and carboxyl groups

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656 (Chen et al., 2016, Li et al., 2020a). Therefore, the C4 component may mainly comprise  
657 chemical species with a conjugated system and highly oxygenated species, such as quinones  
658 or aromatic acids, which were believed to be the key components for the enhancement of the  
659 ability of BrC to produce ROS species (Lin and Yu, 2011, Jiang et al., 2016, Verma et al.,  
660 2012). These results also explained that the water-soluble BrC fractions in BB and CC smoke  
661 showed relatively lower DTT consumption rate than those in ambient aerosols, in which  
662 distinctly higher contents of fluorophore C4 were observed in the water-soluble fraction  
663 (Matos et al., 2015; Chen et al., 2016).

664 We note that a positive correlation was observed between DTT<sub>OC</sub> and R-H and a  
665 negative correlation was observed between DTT<sub>OC</sub> and Ar-H; however, it is scientifically  
666 unreasonable. The main reason is that <sup>1</sup>H NMR spectroscopy only measures the  
667 concentrations of nonexchangeable hydrogen functional groups in BrC compounds. Some  
668 organic compounds not carrying nonexchangeable hydrogen atoms, such as carbonyl or  
669 carboxylic groups in BrC, cannot be detected by <sup>1</sup>H NMR (Chalbot and Kavouras 2014;  
670 Paglione et al., 2014). However, some of these oxygenated functional groups likely have the  
671 ability to catalyze the generation of ROS species (Lin and Yu, 2011; Verma et al., 2015). In  
672 addition, the H/C ratios of different hydrogen functional groups (i.e., R-H, H-C-C=, H-C-O,  
673 and Ar-H) are very different; thus, the relative abundances of hydrogen functional groups are  
674 difficult to compare with the carbon functional groups in BrC compounds (Decesari et al.,  
675 2007). Therefore, it is necessary that other NMR techniques such as solution-state <sup>13</sup>C NMR  
676 and two-dimensional heteronuclear (<sup>1</sup>H-<sup>13</sup>C) NMR be used to explore the chemical functional  
677 groups associated with the oxidative potential of BrC in future studies.

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#### 681 **4. Conclusions**

682 In this study, the primary BrC fractions (i.e., WSOC, HULIS-C, and MSOC) emitted  
683 from BB and CC were comprehensively investigated to determine their content, light  
684 absorption, fluorophores, chemical properties, and oxidative potential. The results indicated  
685 that both BB and CC were important sources of atmospheric BrC. It was found that BB  
686 generated more of the water-soluble BrC fraction whereas CC released more of the  
687 methanol-soluble BrC fraction in smoke PM<sub>2.5</sub>. The results also enhanced our understanding  
688 of the optical characteristics, chemical composition, and oxidative potential of the water- and  
689 methanol-soluble BrC fractions. The MSOC fraction had higher MAE<sub>365</sub> values than  
690 HULIS-C and WSOC, suggesting that water-insoluble BrC possessed a stronger light  
691 absorbing capacity. In addition, BB BrC generally had higher MAE<sub>365</sub> and lower AAE values  
692 than the corresponding CC BrC fractions, suggesting that the former had a higher light  
693 absorption capacity and weaker wavelength dependence. The EEM-PARAFAC analysis  
694 identified two protein-like compounds, one polyphenol-like component, and one humic-like  
695 compound for all BrC fractions, among which the protein-like compounds were the dominant  
696 components. The <sup>1</sup>H NMR analysis showed that the BB and CC BrC fractions contained R-H,  
697 H-C-C=, H-C-O, and Ar-H groups, among which WSOC and HULIS-C were always  
698 characterized by more oxygenated H-C-O groups and fewer aliphatic R-H groups than MSOC.  
699 In addition, water-soluble BB BrC contained more highly oxygenated groups, suggesting that  
700 they may have a stronger influence on the binding of metals by organic aerosols. Our study  
701 also indicated that MSOC had higher DTT<sub>m</sub> values than WSOC and HULIS-C, suggesting a



702 higher ROS generation capacity. In addition, relatively higher oxidative contribution  
703 (63.1%±15.5%) of HULIS-C in WSOC were observed for all BB and CC smoke samples,  
704 highlighted that HULIS may be a major contributor of ROS production in WSOC compounds.

705 The BB BrC fractions generally had a higher oxidative potential than CC BrC, which may  
706 suggest that BB BrC was more readily able to catalyze the generation of ROS and therefore  
707 lead to more severe harm to human health. More importantly, the PCA and Pearson  
708 correlation analysis indicated that highly oxygenated humic-like fluorophore C4 may be an  
709 important DTT active substance in BrC.

710 It should be noted that the BB and CC BrC fractions would experience a series of  
711 chemical reactions once they are emitted into the atmosphere, resulting in changes to their  
712 optical properties and DTT activities. Thus, future studies should focus on the chemical,  
713 optical, and oxidative potential characteristics of BrC during the aging processes with smoke  
714 particles in the tropospheric environment (Fan et al., 2020; Wong et al., 2019).

715

716 **Data availability.** The research data can be accessed upon request to the corresponding  
717 author ([songjzh@gig.ac.cn](mailto:songjzh@gig.ac.cn)).

718

719 **Author contributions.** J. Song and P. Peng designed the research together. T. Cao, M. Li, and  
720 C. Zou conducted the combustion experiments. T. Cao, M. Li, and C Yu extracted and  
721 analyzed the BrC fractions. T. Cao and J. Song wrote the paper. X. Fan, J Wang, Z Yu, and P.  
722 Peng commented on and revised the paper.

723

724 **Competing interests.** The authors declare that they have no conflicts of interest.

725

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1058 **Table 1.** The contributions of BrC fraction (WSOC, HULIS, and MSOC) in smoke samples (%).

Contents (%)	Biomass burning						Coal combustion				
	WS	RS	CS	PW	CR	WP	B-1	B-2	B-3	B-4	AN
OC	44±5.6	41±12	24±6.4	19±3.8	26±8.7	23±13	61±5.4	64±11	68±7.6	69±6.9	9.5±5.0
EC	2.5±0.9	1.3±0.6	4.4±2.8	10±3.4	5.0±3.3	13±7.6	0.2±0.1	1.1±0.8	0.3±0.1	0.8±0.6	0.1±0.0
TC <sup>a</sup>	46±5.5	42±12	28±8.2	29±4.0	32±9.6	36±19	61±5.4	65±11	69±6.7	69±6.8	9.5±5.0
WSOC/PM <sup>b</sup>	11±2.7	12±1.6	9.7±0.2	3.9±1.1	7.6±0.3	2.9±0.7	15±0.4	22±4.1	9.2±1.5	4.7±0.4	2.3±1.1
HULIS-C/PM <sup>b</sup>	6.7±1.3	7.8±0.2	4.0±0.5	1.7±0.3	3.1±0.6	1.0±0.4	6.0±0.6	10±0.8	4.2±0.4	2.0±0.2	0.5±0.1
MSOC/PM <sup>b</sup>	40±0.9	47±0.8	20±1.4	12±1.2	15±0.9	6.4±0.7	57±5.4	73±2.9	65±6.8	71±0.7	9.4±5.7
WSOC/TC <sup>c</sup>	22±6.0	23±3.0	25±3.0	14±3.1	32±3.0	21±9.4	25±2.9	29±4.3	14±3.2	6.4±0.5	22±8.5
HULIS-C/TC <sup>c</sup>	14±2.8	14±0.4	11±2.7	5.9±0.8	13±1.6	9.8±1.1	10±0.3	13±1.7	6.3±0.9	2.8±0.3	6.9±2.9
MSOC/TC <sup>c</sup>	82±2.2	88±1.5	57±11	53±7.5	78±16	52±27	99±0.2	95±1.9	98±0.1	96±0.1	95±1.8
HULIS-C/WSOC <sup>c</sup>	64±6.9	65±8.0	42±6.2	43±5.4	41±6.6	32±6.3	41±4.9	46±9.4	46±9.6	43±6.0	33±7.8
WSOC/OC <sup>c</sup>	23±5.9	23±3.1	33±0.9	24±4.0	36±2.6	35±3.2	25±2.9	30±4.5	14±3.3	6.4±0.5	26±3.9
HULIS-C/OC <sup>c</sup>	15±2.9	15±0.4	14±1.7	10±0.7	15±1.9	11±3.2	10±0.3	13±1.6	6.4±0.9	2.8±0.3	6.9±3.0
MSOC/OC <sup>c</sup>	88±1.9	91±1.2	70±4.5	76±2.5	72±6.7	77±4.5	99±0.1	96±0.5	98±0.1	98±0.5	96±1.6

1059 <sup>a</sup> Total Carbon: sum of OC and EC

1060 <sup>b</sup> The ratios of the mass of carbon (µgC) to the mass of PM (µg) for each sample.

1061 <sup>c</sup> The ratios of the mass of carbon (µgC) to the mass of carbon (µgC) for each sample.

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1065 **Table 2.** The proton species in the BrC fractions (WSOC, HULIS, and MSOC) of smoke samples.

	Samples	WSOC				HULIS				MSOC			
		R-H	H-C-C=	H-C-O	Ar-H	R-H	H-C-C=	H-C-O	Ar-H	R-H	H-C-C=	H-C-O	Ar-H
		0.6-2.0 <sup>a</sup>	2.0-3.2	3.4-4.4	6.5-8.5	0.6-2.0	2.0-3.2	3.4-4.4	6.5-8.5	0.6-2.0	2.0-3.2	3.4-4.4	6.5-8.5
Biomass burning	WS	16 <sup>b</sup>	27	42	14	19	32	21	27	44	26	16	14
	RS	24	27	34	14	26	31	14	29	46	30	13	11
	CS	15	22	46	17	18	28	31	24	47	29	15	9
	PW	14	22	48	17	15	25	42	18	40	30	19	11
	CF	11	17	54	18	14	26	36	23	41	28	18	13
	WP	12	22	48	19	14	21	31	34	44	29	17	10
Coal combustion	B-1	18	41	9.0	32	17	40	5.0	37	40	28	2.0	30
	B-2	17	35	22	25	26	39	5.0	30	33	30	3.0	33
	B-3	17	39	14	30	22	34	8.0	35	34	30	2.0	33
	B-4	13	27	34	25	20	36	13	30	32	27	3.0	39
	AN	15	33	20	32	18	37	12	33	38	28	2.0	32

1066 <sup>a</sup> chemical shift: ppm. <sup>b</sup> percentage of each type of protons (%).

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1070 **Table 3.** Pearson correlation coefficient analysis between oxidation potential and chemical characteristics of BrC

	<u>DTT<sub>oc</sub><sup>a</sup></u>	
	R	p
MAE <sub>365</sub>	0.697**	0.000
Fluorescence component 1 (%) <sup>b</sup>	-0.078	0.668
Fluorescence component 2 (%) <sup>b</sup>	-0.330	0.061
Fluorescence component 3 (%) <sup>b</sup>	0.151	0.402
Fluorescence component 4 (%) <sup>b</sup>	0.560**	0.001
R-H (%)	0.697**	0.000
H-C=C (%)	-0.247	0.166
H-C-O (%)	-0.223	0.213
Ar-H (%)	-0.345*	0.049

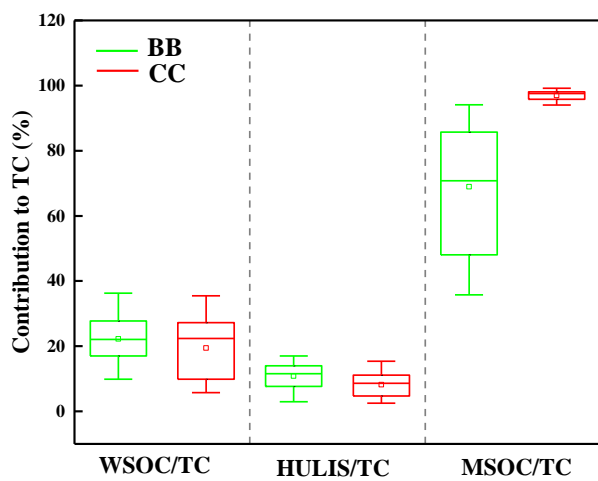
删除了: DTT<sub>c</sub><sup>a</sup>

- 1071 a: DTT<sub>oc</sub> were calculated using the DTT consumption rate divided by the mass of organic carbon.
- 1072 b: fluorescence component 1-4 present fluorophores 1-4 (C<sub>w</sub>1-4 and C<sub>M</sub>1-4) identified by PARAFAC method
- 1073 \*\* There was significant correlation in 99% confidence interval (bilateral) (p value no more than 0.01).
- 1074 \* There was significant correlation in 95% confidence interval (bilateral) (p value no more than 0.05).

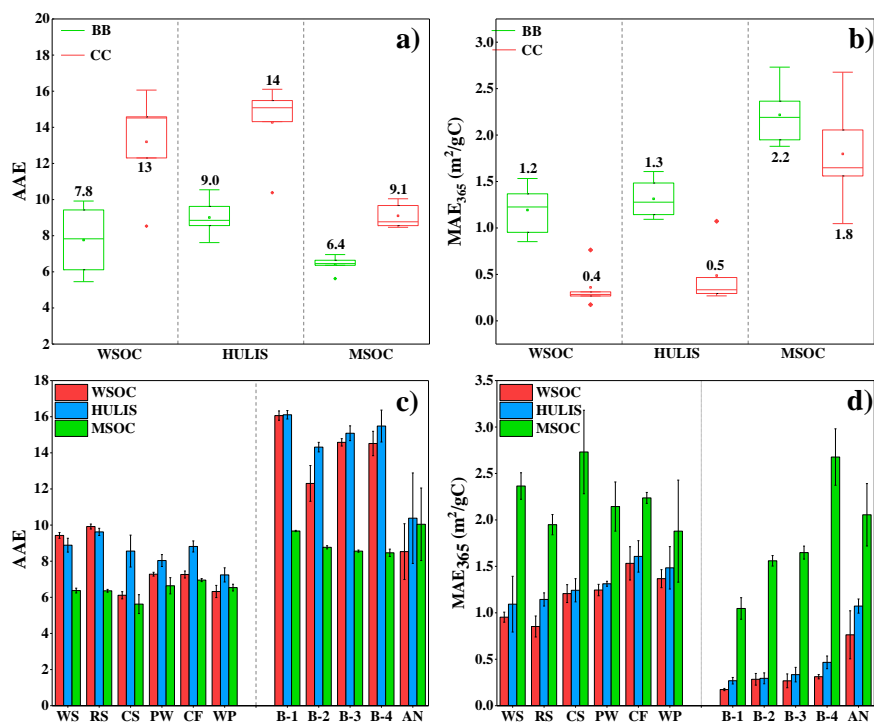
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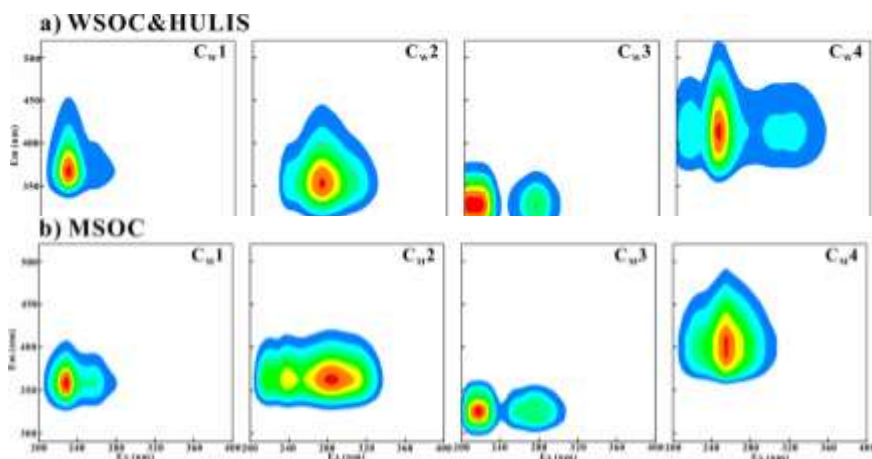
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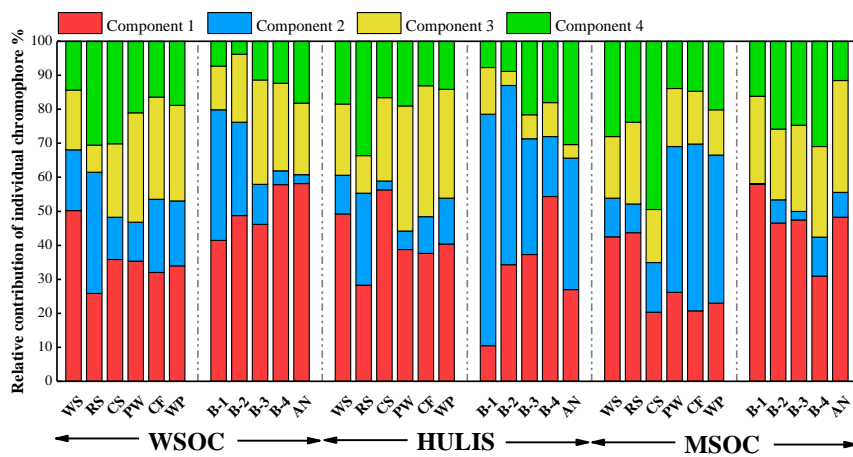
**Figure 1.** The abundances of BrC fraction in the smoke samples from biomass burning (BB) and coal combustion (CC)



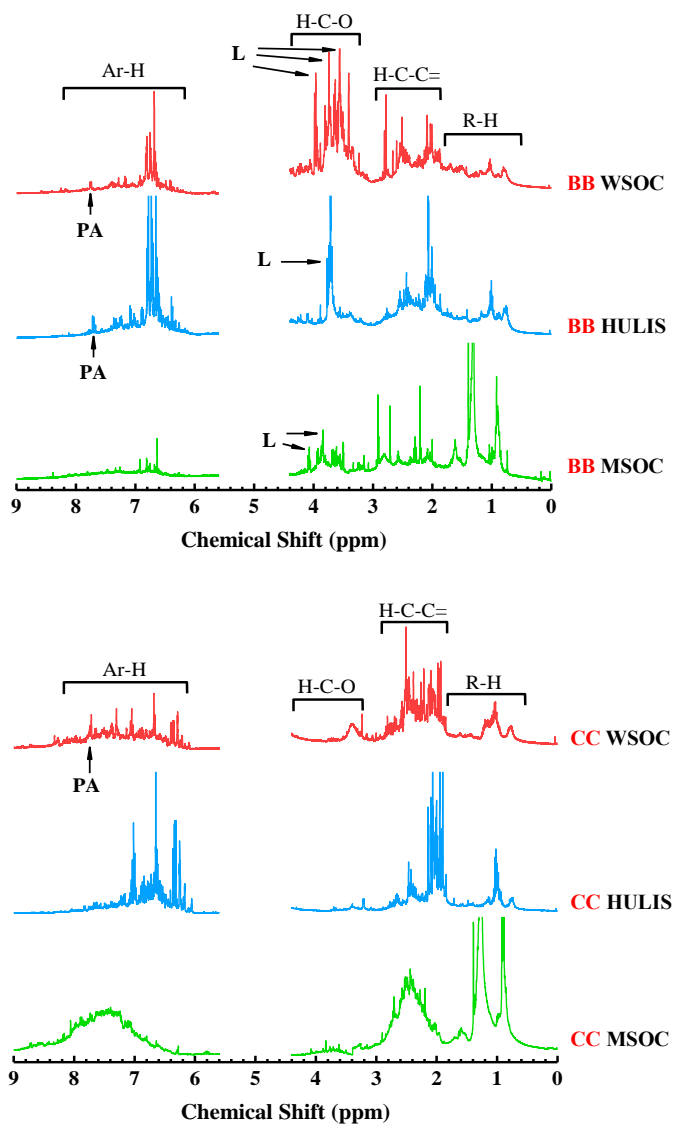
**Figure 2.** The AAE and MAE<sub>365</sub> values of WSOC, HULIS, and MSOC in smoke samples from biomass burning (BB) and coal combustion (CC)



**Figure 3.** Four fluorescence components identified by PARAFAC analysis of a) WSOC, HULIS ( $C_{w1}$ : $C_{w4}$ ); b) MSOC ( $C_{m1}$ : $C_{m4}$ ) extracted from BB and CC smoke  $PM_{2.5}$  (normalized in Raman unit, R.U.)

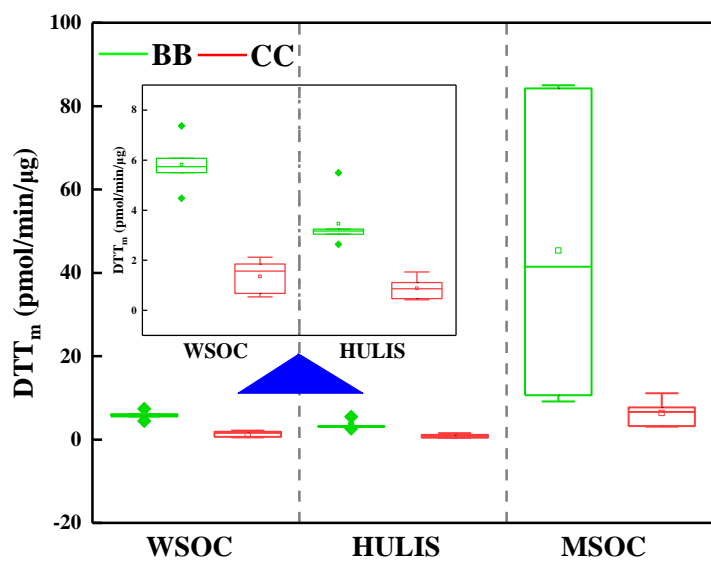


**Figure 4.** Relative contribution calculated by  $F_{max}$  of individual chromophores analyzed by PARAFAC. Component 1-4 represent  $C_{w1}$ -4 for water-soluble BrC (WSOC and HULIS) and  $C_{m1}$ -4 for methanol-soluble BrC (MSOC), respectively.



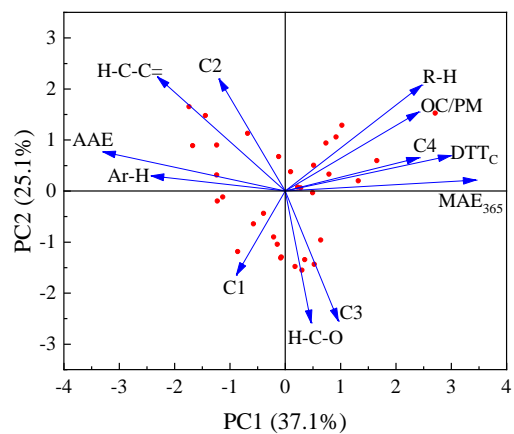
**Figure 5.** <sup>1</sup>H NMR spectra of WSOC, HULIS, and MSOC in typical biomass burning and coal combustion smoke samples (BB: wheat straw; CC: B-1 coal). The segment from 4.40 to 5.60 ppm was removed for NMR spectra due to MeOH and H<sub>2</sub>O residues. The peaks were assigned to specific compounds as follows: Levoglucosan (L), Phthalic acid (PA).





**Figure 6.** Results of DTT assay conducted on the WSOC, HULIS and MSOC of smoke PM<sub>2.5</sub>, the values were normalized by the mass of smoke PM<sub>2.5</sub>. Above the blue triangle symbol is the result coordinates of WSOC and HULIS to be enlarged.

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**Figure 7.** Principal component analysis results for the carbon mass-normalized OP activities and chemical characteristics of BrCs in smoke particles.