# **Safety Analysis with AADL**

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DM-0002583



### **Objectives**



### **Introduce the AADL Error-Model v2 (EMV2)**

**Explain main concepts (errors sources and propagation)** 

**Present safety analysis tools** 

**Exercise safety analysis on the ADIRU system** 



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# **Introduction to the AADL Error Model Annex v2**





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### **Safety Practice in Development Process Context**





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### **AADL Error Model Scope and Purpose**

System safety process uses many individual methods and analyses, e.g.



**SAE ARP 4761** *Guidelines and Methods for Conducting the Safety Assessment Process on Civil Airborne Systems and Equipment* 

Related analyses are also useful for other purposes, e.g.

- maintainability
- availability
- Integrity

**Annotated architecture model permits checking for consistency and completeness between these various declarations.** 

Goal: a general facility for modeling fault/error/failure behaviors that can be used for several modeling and analysis activities.



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### **Error Model V2: 4 levels of abstraction**

- **1. Focus on fault interaction with other components**
- **2. Focus on fault behavior of components**
- **3. Focus on fault behavior in terms of subcomponent**
- **4. Types of malfunctions and propagations**



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### **Value of Automated Architecture-led Safety Analysis**

Failure Modes and Effects Analyses are rigorous and comprehensive reliability and safety design evaluations

- Required by industry standards and Government policies
- When performed manually are usually done once due to cost and schedule
- If automated allows for
	- multiple iterations from conceptual to detailed design
	- Tradeoff studies and evaluation of alternatives



Largest analysis of satellite to date consists of 26,000 failure modes

- Includes detailed model of satellite bus
- 20 states perform failure mode

**Myron Hecht, Aerospace Corp. Safety Analysis for JPL, member of DO-178C committee** 

• Longest failure mode sequences have 25 transitions (i.e., 25 effects)



### **Providing different views**

**EMV2-like Compositional Fault Behavior Specification for Simulink Models** 



Figure 9 - Inverse relationship between fault trees (left) and FMEA (right)



### **Understanding the Cause and Effects of Faults**

Through model-based analysis identify architecture induced unhandled, testable, and untestable faults and understand root causes, contributing factors, impact, and potential mitigation





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# **Safety-Criticality Requirements**

### Exceptional conditions, anomalies and hazards

- Mode confusion (reported state vs. observed state vs. actual state)
- Unexpected fault conditions and fault impact
- Inclusion/exclusion of pilot in system
- Fault Detection, Isolation, and Recovery (FDIR)
	- Safety system architecture, security system architecture

### Certification impact

- Criticality levels, design assurance levels and verification implications
- Partition allocations (isolation) and avoidable certification cost
- Understanding change impact to achieve proportional recertification



### **Latency Sensitivity in Control Systems**



### **Software-Based Latency Contributors**

- Execution time variation: algorithm, use of cache
- Processor speed
- Resource contention
- Preemption
- Legacy & shared variable communic **IOProcessor->** Modem->
- Rate group optimization
- Protocol specific communication dela
- Partitioned architecture
- Migration of functionality
- Fault tolerance strategy





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# **The Symptom: Missed Stepper Motor Steps**

Stepper motor (SM) controls a valve

- Commanded to achieve a specified valve position
	- Fixed position range mapped into units of SM steps
- New target positions can arrive at any time
	- SM immediately responds to the new desired position

### Safety hazard due to software design

- Execution time variation results in missed steps
- Leads to misaligned stepper motor position and control system states
- Sensor feedback not granular enough to detect individual step misses

**Two Customer Proposed Solutions** 

Sending of data at 12ms offset from dispatch

Buffering of command by SM interface

No analytical evidence that the problem will be addressed

# **Software modeled and verified in SCADE**

Full reliance on SCADE of SM & all functionality

Problems with missing steps not detected



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**Software tests did not discover the issue**  Time sensitive systems are hard to test for.

# **Analysis Results and Solution**

### Architecture Fault Model Analysis

- Fault impact analysis identifies multiple sources of missed steps
	- Early arrival of step increment commands
	- Step increment command rate mismatch
	- Transient message corruption or loss
- Understanding of error cause
	- When is early too early
	- Guaranteed delivery assumption for step increment commands







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### **Time-sensitive Auto-brake Mode Confusion**

Auto-brake mode selection by push button

- Three buttons for three modes
- Each button acts as toggle switch

Event sampling in asynchronous system setting

- Dual channel COM/MON architecture
- Each COM, MON unit samples separately
	- Button push close to sampling rate results in asymmetric value error
	- COM/MON mode discrepancy votes channel out
	- Repeated button push does not correct problem
	- Operational work around (1 second push) is not fool proof

Avoidable complexity design issue

• Concept mismatches: desired state by event and sampled event

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# **Error Model Annex v2 Main Concepts**



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### **Error Type Libraries**

```
Package myerrortypes
public
Annex emv2{**
error types
    AxleFailure: type;
    Fracture: type extends axlefailure;
    Fatigue: type extends axlefailure;
end types;
*** };
End myerrortypes;
```
Error Type libraries and AADL Packages

- An AADL package can contain one Error Model library declaration
- The **error types** clause represents the Error Type library within the Error Model library
- The Error Type library is identified and referenced by the package name

Error Type library represents a namespace for error types and type sets

- Error type and type set names must be unique within an Error Type library
- An Error Type library can contain multiple error type hierarchies



# **Error Types & Error Type Sets**

### *Error type* declarations

TimingError**: type** ;

EarlyValue: **type extends** TimingError**;** 

LateLate: **type extends** TimingError**;** 

ValueError: **type ;** 

BadValue: **type extends** ValueError**;** 

#### **Error Type Set as Constraint**

{T1} tokens of one type hierarchy {T1, T2} tokens of one of two error type hierarchies {T1\*T2} type product (one error type from each error type hierarchy) {**NoError**} represents the empty set Constraint on state, propagation, flow, transition condition, detection condition, outgoing propagation condition, composite state condition

### An *error type set* represents a set of type instances

- Elements in a type set are mutually exclusive
- An error type with subtypes includes instances of any subtype
- A *type product* represents a simultaneously occurring types
	- Combinations of subtypes

InputOutputError : **type set** {TimingError, ValueError, TimingError\*ValueError};

### An *error type instance*

• Represents the error type of an actual event, propagation, or state



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### **A Standard Set of Error Propagation Types**



# **Component Error Propagation**



### **Incoming/Assumed**

- • **Error Propagation**  Propagated errors
- • **Error Containment:**  Errors not propagated

### **Outgoing/Contract**

- • **Error Propagation**
- • **Error Containment**

**Propagation of Error Types Not propagated Propagated Error Type Error Flow through component Path** P1.NoData->P2.NoData **Source** P2.BadData **Path processor**.NoResource -> P2.NoData **Port Processor HW Binding Legend Direction** 

**"Not" on propagated indicates that this error type is intended to be contained.** 

**This allows us to determine whether propagation specification is complete.** 

#### **Bound resources**

- • **Error Propagation**
- • **Error Containment**
- • **Propagation to resource**

**Supports Fault Propagation & Transformation Calculus (FPTC) by York University** 

Also origin of safety cases

### **Error Propagation Declarations**

**system** Subsystem

#### **features**

P1: **in data port**;

P2: **in data port**;

P3: **out data port;** 

**annex** EMV2 **{\*\*** 

**use types** ErrorLibrary;

 **error propagations** 

P1: **in propagation** {NoData, ValueError} **;**

P2: **in propagation** {NoData}**;** 

P2: **not in propagation** {BadValue}**;** 

P3: **out propagation** {NoData, BadValue}**;** 

P3: **not out propagation** {LateData};

 **processor**: **in propagation** {NoResource}; **end propagations; \*\*};**

**Binding Related Propagation Specifications**  Processor, Memory, Connection, Binding, Bindings Path follows predeclared Binding properties



### **Error Flows**

Error flow specifies the role of a component in error propagation

- The component may be a source or sink of a propagated error types
- The component may pass incoming types through as outgoing types
- The component may transform an incoming type into a different outgoing type
- By default all incoming errors of any feature flow to all outgoing features





# **Functional Hazard Assessment**

### Hazard property

- Tailoring for safety standards (ARP4761, MIL-STD-882)
- Associated with error state, error source, outgoing propagation, error type device PositionSensor





# **Other Predeclared EMV2 Properties**

- Occurrence distribution
	- Distribution functions: Fixed, Poisson/Exponential, Normal/ Gauss, Weibull, Binominal
- Persistence: Permanent, Transient, Singleton
- Duration distribution
- Fault kind: design, operational
- State kind: working, nonworking
- Detection mechanism



### **Consistency in Error Propagation**





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### **Software Induced Flight Safety Issue**



### **Original Preliminary System Safety Analysis (PSSA)**  System engineering activity with focus on failing components.



### **Unhandled Hazard Discovery through Virtual Integration**





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### **Component Error Behavior**

Components have error, mitigation, and recovery behavior specified by an error behavior state machine

*Transitions* between *states* triggered by *error events* and *incoming propagations*.

Conditions for *outgoing propagations* are specified in terms of the *current state* and *incoming propagations*.

*Detection* of error states and incoming propagations is mapped into a message (event data) with error code in the system



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### **Reusable Error Behavior State Machine**

**annex** EMV2 **{\*\*** 

 **error behavior** ExampleBehavior

 **events**

Fault: **error event**;

SelfRepair: **recover event;**

Fix: **repair event;**

#### **states**

Operational: **initial state** ;

FailStopped: **state**;

FailTransient: **state**;

#### **transitions**

SelfFail: Operational -[Fault]-> (FailStopped **with** 0.7, FailTransient **with** 0.3);

Recover: FailTransient -[SelfRepair]-> Operational;

**end behavior**;

#### **Properties**

 EMV2**::**OccurrenceDistribution **=> [** ProbabilityValue **=>** 0.00004 **;** Distribution **=>** Poisson**;] applies to** Fault**;**



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**State machine with branching transition** 

# **Component Error Behavior Specification**

### Component-specific behavior specification

- Identifies an error behavior state machine
- Optionally defines component specific error events
- Specifies transition trigger conditions in terms of incoming propagated errors or working condition of connected component
- Specifies propagation conditions for outgoing propagated errors in terms of states & incoming propagated errors
- Specifies detection conditions under which becomes an event with error code in the core AADL model

**use types** ErrorLibrary **;** 

**use behavior** MyErrorLibrary::ExampleBehavior **;** 

#### **component error behavior**

**transitions** -- additional transitions that are component specific

Operational-[Port1{NoData} **and** Port2{**NoError**}]->FailTransient**;** 

FailStopped-[port1{BadData}]**;** 

#### **propagations**

 **all** –[2 **ormore** (Port1{BadData}, Port2{BadData},Port3{BadData})]-> Outport3(BadData);

#### **detections**

FailedState –[]-> **Self**.Failed ( FailCode ) ; - - Could also report on an outgoing error port

#### **properties**

EMV2**::**OccurrenceDistribution **=> [** ProbabilityValue **=>** 0.00005 **;** Distribution **=>** Poisson**;]**

 **applies to** Fault; -- component specific occurrence value

#### **end behavior;**



### **Error Model at Each Architecture Level**

- Abstracted error behavior of FMS
	- Error behavior and propagation specification



Composite error models lead to fault trees and reliability predictions

- Composite error behavior specification of FMS
	- State in terms of subcomponent states

[1 **ormore**(FG1.Failed **or** AP1.Failed) **and** 

1 **ormore**(FG2.Failed **or** AP2.Failed) **or** AC.Failed]->Failed



# **Error Model Annex v2 Safety Analysis tools**



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### **AADL & Safety Evaluation – Tool Overview**





### **Safety Analysis & AADL**

Preliminary System Safety Assessment (PSSA) support High-level component, interfaces from the OEM Automatic generation of validation materials (FHA, FTA)

System Safety Assessment (SSA) support Use refined models from suppliers Enhancement of error specifications Support of quantitative safety analysis (FTA, FMEA, MA

**System Development Cycle** Development Cycle System





### **Safety Analyses on Refined Architecture**

Aircraft-Level Safety Analysis Define aircraft failure conditions Allocate failure to system functions Perform PSSA and SSA

Avionics Subsystem Level Safety Analysis Perform PSSA and SSA at subsystem level ionics Subsystem Level Safety Analysis<br>Perform PSSA and SSA at subsystem level<br>Ensure consistency with aircraft level analysis<br>Ensure consistency with aircraft level analysis<br>Ensure consistency with aircraft level analysis

Navigation Sub-Subsystem Level Safety Analys Perform PSSA and SSA at sub-subsystem  $\overline{R}$ Ensure consistency with aircraft level analysis





### **Evolution of the AADL model**





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### **Evolution of Safety Assessment with AADL**



# **Functional Hazard Analysis Support**

Use of **component error behavior FHA** 

Error propagations rules Internal error events

Specify initial failure mode

Define error description and related information

Create spreadsheet containing FHA elements To be reused by commercial or open-source tools





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# **Fault-Tree Analysis Support**

Use **of composite error behavior**  FTA nodes

Use of **component error behavior**  Incoming error events

Walk through the components hierarchy Generate the complete fault-tree Focus on specific AADL subcomponents

Export to several tools Commercial: CAFTA Open-Source: EMFTA, OpenFTA







# **Failure Mode and Effects Support**

Use of **component error behavior FMEA** 

Error propagations rules (source, sink, etc.) Internal error events



Traverse all error paths

Record impact over the components hierarchy

Use error description and related information

Create spreadsheet containing FHA elements To be reused by commercial or open-source tools



### **Reliability Block Diagram aka ARP4761 Dependence Diagram (DD)**



# **RDB** Use of **composite error behavior**

Error propagations rules (source, sink, etc.) Internal error events

Compute reliability of the Dependence Diagram Use of recover and failure events Overall probability of system failure

Support in OSATE (built-in)





# **Error Model Annex v2 Application to the ADIRU**





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### **Annotating the model with Error Information (1)**





# **Annotating the model with Error Information (2)**

subcomponents

-- We extend the initial implementation, and add error modeling elements.

```
accl: refined to thread threads::accl dataOutput env2.impl
    { Classifier Substitution Rule => Type Extension: }:
   acc2: refined to thread threads::acc2 dataOutput env2.impl
    { Classifier Substitution Rule => Type Extension: }:
   acc3: refined to thread threads: : acc3 dataOutput env2.impl
    { Classifier Substitution Rule => Type Extension: }:
   acc4: refined to thread threads: : acc4 dataOutput env2.impl
    { Classifier Substitution Rule => Type Extension; };
   acc5: refined to thread threads::acc5 dataOutput env2.impl
    { Classifier Substitution Rule => Type Extension: };
   acc6: refined to thread threads::acc6 dataOutput env2.impl
    { Classifier Substitution Rule => Type Extension; };
 connections
   C21 : port accl input -> accl.accl input;
   C22 : port acc2 input -> acc2.acc2 input;
   C23: port acc3 input \rightarrow acc3.acc3 input:
                                                                                   Passing the error directly 
   C24 : port acc4 input > acc4. acc4 input;
   C25: port acc5 input -> acc5.acc5 input;
   C26 : port acc6 input \rightarrow acc6.acc6 input;
                                                                              through components features annex EMV2{**
  use types ADIRU errLibrary;
  use behavior ADIRU errLibrary::sinple;
   error propagations
    accl input : in propagation{ValueErroneous};
     accl output : out propagation{ValueErroneous};
     acc2 input : in propagation{ValueErroneous};
     acc2 output : out propagation{ValueErroneous};
     acc3 input : in propagation{ValueErroneous};
     acc3 output : out propagation{ValueErroneous};
     acc4 input : in propagation{ValueErroneous};
     acc4 output : out propagation{ValueErroneous};
     acc5 input : in propagation{ValueErroneous};
     acc5 output : out propagation{ValueErroneous};
     acc6_input : in propagation{ValueErroneous};
     acc6 output : out propagation{ValueErroneous};
     fl : error path accl input {ValueErroneous} -> accl output {ValueErroneous};
     f2 : error path acc2 input {ValueErroneous} -> acc2 output {ValueErroneous};
     f3 : error path acc3 input (ValueErroneous) -> acc3 output (ValueErroneous);
     f4 : error path acc4 input {ValueErroneous} -> acc4 output {ValueErroneous};
     f5 : error path acc5_input{ValueErroneous} -> acc5_output{ValueErroneous};
     f6 : error path acc6 input {ValueErroneous} -> acc6 output {ValueErroneous};
   end propagations; **};
end acc process emv2.impl;
```


### **Annotating the model with Error Information (3)**





### **Functional Hazard Assessment**



### **List all potential error sources**

### **Include documentation from the model**

### **Required by ARP4761 safety standard**



### **Fault Impact Analysis**



### **Bottom-up approach**

### **Trace the error flow defined in the architecture**

### **Required by ARP4761 safety standard**



### **Fault Tree Analysis**





# **Error Model Annex v2 Conclusion**





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# **Architecture Fault Modeling Summary**

Architecture Fault Modeling with AADL

- Error Model Annex was originally published in 2006
	- Supported in AADL V1 and AADL V2
- Standardized Error Model Annex (V2) based on user experiences
- Error Model V2 concepts and ontology can be applied to other modeling notations

Safety Analysis and Verification

publication of the contract of<br>Separate of the contract of th

- Error Model Annex front-end available in OSATE open source toolset
	- Allows for integration with in-house safety analysis tools
- Multiple tool chains support various forms of safety analysis (Honeywell, Aerospace Corp., AVSI SAVI, ESA COMPASS, WW Technology)
- **Safety Modeling with AADL** • FHA, FMEA, fault tree, Markov models, stochastic Petri net generation from AADL/Error Model



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