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5 **PRENATAL NUTRITION AND THE RISK OF ADULT OBESITY:**
6 **LONG-TERM EFFECTS OF NUTRITION ON EPIGENETIC**
7 **MECHANISMS REGULATING GENE EXPRESSION**
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13 **Running title: Epigenetic memory of gestational nutritional stress**
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ABBREVIATIONS:

1 AS-RNA: antisense RNA
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3 BAT: brown adipose tissue
4 BET: bromodomain and extraterminal
5 BMI: body mass index
6 CAD:coronary artery disease
7 CVD: cardiovascular diseases
8 DHA: docosahexaenoic acid
9 DMR: differentially-methylated region
10 DNMTs: DNA methyltransferases
11 DOAD:developmental origins of adult disease
12 DWF: Dutch Winter Famine
13 EGCG: (-)-epigallocatechin gallate
14 eRNAs: enhancer RNAs
15 ERV: endogenous retrovirus
16 GEN: genistein
17 HAT: histone acetyl transferase
18 HDAC: histone deacetylase
19 IAP: intracisternal A particle
20 IUGR: intrauterine growth retardation
21 I3C: indol-3-carbinol
22 LINE: long interspersed nuclear element
23 lncRNA: long non-coding RNA
24 LSS: Leningrad siege study
25 LTR: long terminal repeat
26 miRNAs: microRNAs
27 ME: metastable epiallele
28 NCD: non-communicable disease
29 NuRD: nucleosome remodeling and deacetylating complex
30 PASR/PALR: promoter-associated short RNAs/promoter-associated long RNAs
31 PcG: polycomb group protein
32 PRE: polycomb responsive element
33 PRC1/2: polycomb repressive complex
34 PTM: post-translationalmodification
35 SFN: sulforaphane
36 SGA: small for gestational age
37 T2D: type 2 diabetes
38 WAT: white adipose tissue
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LIST OF GENES

1 α -MSH: α -melanocyte-stimulating hormone
2 ABCA1: ATP-binding cassette subfamily A member 1
3 BRD2: bromodomain-containing 2 protein
4 CEBP- $\alpha/\beta/\gamma$: CCAAT/enhancer binding protein- $\alpha/\beta/\gamma$
5 CDKN2A^{p16}: cyclin-dependent kinase inhibitor 2A; CDKN2A
6 DNMTs: DNA methyltransferases
7 GLUT2: glucose transporter type 2
8 GNAS-AS: GNAS-antisense lncRNA gene
9 GR1-C: nuclear receptor subfamily 3, group C, member 1 (glucocorticoid receptor)
10 IGF2: insulin-like growth factor II
11 IGF2R: insulin-like growth factor II receptor
12 INS-IGF2: INS-IGF2 readthrough lncRNA
13 IL-10: interleukin-10
14 JHDM1: lysine (K)-specific demethylase 2A
15 LEP: leptin
16 LDLRAP1: low-density lipoprotein receptor adapter protein 1
17 LSD1: lysine (K)-specific demethylase 1A (KDM1A)
18 HNF4A: hepatocyte nuclear factor 4 α
19 MEG3: maternally expressed 3 lncRNA
20 MLL1: mixed-lineage leukemia 1
21 MTA1: metastasis-associated protein 1
22 OGA: O-GlcNAcase
23 OGT: O-linked GlcNAc transferase
24 PDX1: pancreatic and duodenal homeobox 1
25 PI3K-p85: phosphatidylinositol 3 kinase-p85
26 PGC1 α : peroxisome proliferator-activated receptor gamma, coactivator 1 alpha
27 PLRL: prolactin receptor
28 PLZF: promyelocytic leukemia zinc finger protein
29 PPAR- γ : peroxisome proliferator-activated receptor- γ
30 p15^{INK4b}: cyclin-dependent kinase inhibitor 2B, CDKN2B
31 p16^{INK4a}: cyclin-dependent kinase inhibitor 2A; CDKN2A
32 p21^{WAF1/Cip1}: cyclin dependent kinase inhibitor 1A; p21/WAF1
33 RUNX2: runt-related transcription factor 2
34 SIRT1: sirtuin 1
35 TET2/3: (ten-eleven translocation 2/3) methylcytosine dioxygenase
36 UCP1: uncoupling protein 1
37 WIF1: Wnt inhibitory factor 1
38 ZEB1: zinc finger E-box binding homeobox 1
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ABSTRACT

1 Solid epidemiological evidence indicates that part of the risk of obesity in adulthood
2 could be programmed during prenatal development by the quality of maternal nutrition.
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4 Nevertheless, the molecular mechanisms involved are mostly unknown, which hinders
5 our capacity to develop effective intervention policies. Here, we discuss the hypothesis
6 that mechanisms underlying prenatal programming of adult risk are epigenetic and
7 sensitive to environmental cues such as nutrition. While the information encoded in
8 DNA is essentially stable, regulatory epigenetic mechanisms include reversible,
9 covalent modifications of DNA and chromatin, such as methylation, acetylation etc. It is
10 known that dietary availability of methyl donors has an impact on the patterns of gene
11 expression by affecting DNA methylation at regulatory regions, a likely basis for
12 reprogramming developmental plasticity. The *Agouti* and *Axin-fused* genes, as well as
13 the embryonic growth factor IGF2/H19 locus are examples of diet-induced modulation
14 of phenotypic traits by affecting methylation of gene-regulatory regions. Furthermore,
15 recent work has evidenced an unsuspected role for chromatin as metabolic sensor.
16 Chromatin is susceptible to a number of post-translational modifications that modulate
17 gene expression, among them the GlcNAcylation of histone proteins and other
18 epigenetic regulators. Intracellular levels of the precursor molecule UDP-GlcNAc, and
19 hence the degree of global chromatin GlcNAcylation, depend on the energetic state of
20 the cell, making GlcNAcylation a functional link between nutrition and regulation of
21 gene expression. Dietary interference with these regulatory mechanisms could
22 effectively counteract the early-life programming of adult risk.
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KEYWORDS:

43 nutrient sensors, gestational programming, nutrition, epigenetic memory, O-
44 GlcNAcylation, regulatory exhaustion.
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1. INTRODUCTION

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Obesity and related conditions are currently explained by a linear view of disease progression, in which expansion of white adipose tissue (WAT) generates a proinflammatory microenvironment that spreads through organs and tissues, hindering their function and causing the devastating comorbidities associated with obesity. This model can be refined by adding genetic predispositions and factors linked to lifestyle, such as diet or exercise, that influence the onset and progression of obesity and obesity-related conditions (see [1, 2] for recent reviews). In this way, obesity, although modulated by genetic predispositions, could be considered as an “acquired” environmental disease to be treated by targeting causative elements such as defective or excessive nutrition or sedentary lifestyle.

In recent years, however, the concept of obesity as an “epigenetic disease” has begun to be discussed. According to this perspective, environmental impacts on maternal nutrition during early embryo life leave a “nutritional imprint” with long-term effects on the promotion of obesity and related conditions in adulthood [3]. The present review addresses the hypothesis that adult obesity and related conditions may be programmed, at least in part, very early in life, focusing special attention on the recent explosive increase in the availability of data on epigenetic regulation and modification (DNA methylation, histone modifications, and non-coding RNAs). Here, we review recent literature on the epigenetic mechanisms putatively involved in programming the long-term effects of early-life nutrition, and how these could be modulated by environmental/nutritional cues.

Nutrition can affect the expression of a number of genes by its impact, in the form of methyl donors such as folate, on the degree of methylation of their regulatory regions, although folate supplementation could also have some undesired consequences on the stability of the epigenome. In the present review, we especially highlight recent reports that underscore a role for chromatin as a metabolic/nutrient sensor through the post-translational modification of histone proteins, which links regulation of gene expression to availability of nutrients and metabolites from the intermediary metabolism (see [4] for a recent review).

2. LONG-TERM EFFECTS OF EARLY-LIFE NUTRITION ON OBESITY AND OBESITY-RELATED RISK FACTORS

2.1 Long-term effects of fetal/neonatal malnutrition on adult risk factors

Sound epidemiological evidence has shown that malnutrition in early life not only affects fetal/neonatal growth but also has long-term consequences for adult health. Almost a century ago, Kermack, McKendrick and McKinlay hypothesized that the first 15 years of life influence the lifelong health of the individual [5], while Forsdahl demonstrated a significant association between infant mortality in the early years and adult mortality (ages 40-69 years) by coronary artery disease (CAD), and concluded that “*great poverty in childhood and adolescence, followed by prosperity, is a risk factor for arteriosclerotic heart disease*” [6]. Similarly, Barker and Osmond evidenced a consistent, positive correlation between infant mortality in 1921-1925 and ischemic heart disease mortality rates in 1968-1978 in England and Wales [7], results confirmed by their further work showing a strong positive correlation between low weight at birth and at one year of age and rates of CAD death in adulthood [8]. Several additional hypotheses have been proposed. The idea that growth impairment at conception or during fetal development could be linked to a number of chronic adult diseases, such as CAD [7], glucose intolerance [9], type 2 diabetes (T2D) [10], or obesity and hypertension [11] is known as the “developmental origins of adult disease” (DOAD) hypothesis [3]. This challenges the consideration of the womb as a highly protective milieu that buffers the embryo from environmental stressors, and acknowledges that the embryo is sensitive to outside stimuli and that the prenatal period is critical for modulating long-term disease predisposition by reprogramming the developmental plasticity of the embryo, likely by selectively impairing cell differentiation and organ growth [12, 13]. The thrifty phenotype hypothesis states that fetal undernutrition will cause the embryo to prepare for a life of scarcity, but subsequent accelerated overgrowth (catch-up) in conditions of affluence in the early post-natal period could overcome these protective barriers, facilitating fat deposition, obesity, and obesity-related conditions [10, 14]. Fetal/neonatal overnutrition could have similar long-term effects on health ([15] and references therein), as reported for infants who are large for their gestational age when born to mothers with diabetes [16], indicating that postnatal fast weight gain could be the critical factor explaining their increased risk for cardiovascular diseases (CVD) [17]. This idea has been supported by the results of two

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prospective trials in which randomly assigned cohorts of small-born infants were fed either a nutrient-enriched formula or a control formula, which showed that the nutrient-enriched diet increased fat mass later in childhood [18].

2.2 Historical cohorts to study the association of early nutrition and later disease.

Well-documented historical episodes of hunger have been used to study the association between prenatal nutritional stress and the risk for adult chronic diseases[19], with the first demonstration coming from the cohort of people affected by the Dutch Winter Famine of 1944-1945 (DWF) [20]. Beginning in October 1944, civilian transport in the western part of Holland was totally blocked by the German Army, causing a dramatic shortage in food supply and a subsequent reduction in the daily rations, which reached their lowest value (400-800 Kcal/per person/day) between December 1944 and April 1945. Upon the end of the war, the blockade was raised and daily rations soon reached normal pre-war values [21]. The DWF cohort includes the original inhabitants of the blocked areas well as their descendants born around the time of hunger, who have been stratified by famine exposure at the first, second, or third trimester of fetal development [21]. In the current DWF population older than 50 years, a number of studies have linked prenatal famine exposure with numerous characteristics: decreased fetal growth and reduced tolerance to glucose in adulthood (especially when exposure occurred at late gestation [22]); higher BMI and waist circumference, in women but not in men [23]; a more atherogenic lipid profile [24], and obesity, but only when exposure was during the first trimester of pregnancy [20, 21], an effect that affected up to the third generation [25]. Furthermore, prenatal famine exposure has been related with increased risk of T2D [26], overall adult mortality in women [27], and CAD [28, 29], although other authors have failed to find this association between prenatal famine exposure and adult CAD [30, 31] (see Table 1 for a summary of the long-term effects of fetal nutritional stress on obesity-related risk factors).

The Leningrad Siege Study (LSS) also investigated the relationship between maternal malnutrition and risk factors in adult offspring. The siege of Leningrad (now St. Petersburg) by the German Army for almost three years (September 1941-January 1944) resulted in more than one million deaths, mainly of starvation due to daily rations of only 300 calories during the worst of the siege [32]. The LSS results contradicted but complemented those of the DWF study, showing no associations between

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intrauterine/infancy starvation and glucose intolerance, dyslipidemia or CVD risk later in adulthood [32, 33], even 70 years after the end of the siege [34], probably because the LSS population had no catch-up period of accelerated growth in early childhood, but rather lived in harsh conditions until the end of the siege [35]. This suggests that a period of postnatal nourishment must be relevant in determining the impact of maternal malnutrition on future health [36].

Striking evidences indicate that disease predisposition could be transferred to successive generations. The cohort of people born in Överkalix parish in northern Sweden at the turn of the 20th century showed a direct association between food availability up to the puberty of grandparents and parents and mortality due to CVD and T2D in the grandsons [37]; cardiovascular mortality was linked to the father's nutritional status and diabetes to the paternal grandfathers' [38]. Furthermore, sharp changes in food supply up to the puberty of paternal grandmothers increased CVD mortality of their sons' daughters [39]. The DWF cohort also has been studied in the context of the transgenerational effects of prenatal exposure to famine, assessing the health of the children (F2) of parents born during the famine (F1) to undernourished women (F0). In one such study, an increase in body mass index (BMI) was found in F2 of F1 fathers, but not F1 mothers, when compared with offspring of control (not famine-exposed) fathers [25]. The authors did not find significant differences in the prevalence of CAD, T2D, high cholesterol, or hypertension in the F2 of exposed parents compared to the F2 of unexposed parents [25]. Similarly, Painter et al. detected an increase in neonatal adiposity and poor adult health in the F2 of F1 women exposed "in utero" to F0 famine, but did not find transgenerational impacts of famine exposure on the F2 rates of CAD or T2D in offspring of the exposed F1 [40]. Nevertheless, this F2 cohort is still young (mean age 37 years) and rates of chronic diseases could increase in the future in this group [25].

3. EPIGENETICS: THE NEXT FRONTIER IN THE REGULATION OF GENE EXPRESSION

3.1 Challenging dogmas on genome structure and function

Recent years have seen a paradigm change in ideas about the flow of genetic information. The original linear model, "DNA makes RNA makes protein", for many years considered the central dogma of molecular biology [41, 42], has been replaced by

1 a multilayered process characterized by the pervasive expression of many different
2 regulatory RNAs from multiple genomic loci in addition to the protein-coding mRNAs
3 (Figure 1). International sequencing efforts have shown that the human genome is
4 composed of just 20,000 to 25,000 protein-coding genes [43-45], with a best-guess
5 estimate of 22,333 [46], a figure that would account for a mere 2% of the total genome
6 length [47] and far fewer than the 100,000-120,000 protein-coding genes previously
7 estimated [48-50]. Nevertheless, the number of transcriptional units could exceed
8 60,000 [51] by including a plethora of previously unclassified non-protein-coding
9 RNAs, such as microRNAs (miRNAs), antisense-RNAs (AS-RNAs), promoter-
10 associated RNAs (PALRs, PASRs), other long non-coding RNAs (lncRNAs), etc. [52-
11 54]. Recent data suggest that approximately 80% of the genome could be considered
12 biochemically active [55], most of it in the form of DNase I-accessible loci or candidate
13 regulatory sequences [56-58]. Taken together, all these data draw a new image of the
14 eukaryotic nucleus in which the genome would be pervasively transcribed, even in
15 intronic and intergenic sites [59], with protein-coding genes encoding additional
16 transcriptional units for a complex population of short and long non-coding RNAs with
17 putative regulatory functions [60].

3.2 microRNAs and long non-coding RNAs: new actors on the gene-regulation stage

34 MicroRNAs (miRNAs) are small RNAs (over 22 nucleotides long) with
35 important roles in post-transcriptional gene regulation. MiRNAs are transcribed as pri-
36 miRNA precursors; after two processing steps, they generate the mature miRNAs,
37 which are subsequently exported to the cytoplasm where they associate to their target
38 mRNAs and induce their degradation or interfere with their translation (see [61] for a
39 review). As of March 2016, the entire human miRNAome was described as formed by
40 1881 precursors and 2588 mature miRNAs (www.mirbase.org, mirbase release 21 [62]).
41 MiRNAs are highly promiscuous, so that a single miRNA can interact with a number of
42 different mRNAs which in turn can be regulated by several different miRNAs [63].
43 Aberrant miRNA expression profiles have been linked to a number of human diseases,
44 such as leukemias [64], solid tumors [65], atherosclerosis and CVD [66, 67], chronic
45 pain [68], and renal fibrosis [69], among others. Alterations in the expression of
46 miRNAs have been detected not only in the primary tissue but also in blood [70], urine
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1 [71], and exosomes [72], and miRNAs (or miRNA-targeting sequences) are currently
2 being tested for therapy development [73].

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4 The family of lncRNAs constitutes an extremely heterogeneous population of
5 functionally unrelated RNAs longer than 200 nucleotides, which have important roles in
6 development, differentiation, and disease [74-76]. Currently estimated at more than
7 56.000 [77], the number of lncRNAs more than doubles the number of protein-coding
8 genes in the human genome, thus giving a hint of their functional complexity. Most of
9 the lncRNAs are natural antisense transcripts, transcribed from the complementary
10 chain of target genes, which likely cis-regulate its genomic locus by recruiting the
11 histone-modifying machinery to the regulatory regions of the target genes [78]. Another
12 common family of lncRNAs is the enhancer RNAs (eRNAs) or lncRNAs transcribed
13 from functional enhancer sequences and associated to specific histone marks (high
14 H3K4me1, H3K4me2, and H3K27ac and low H3K4me3 and H3K27me3) which could
15 have a role in the activation of genes [79, 80], probably by facilitating the long-distance
16 interaction of enhancers and their cognate promoters by forming or stabilizing DNA
17 loops [81].

28 **3.3 Methylation of DNA and histones, and the regulation of gene expression**

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30 Genes are precisely regulated by controlling access of the transcriptional
31 machinery to their regulatory regions (promoters or enhancers). This process is
32 mediated by the covalent modification of regulatory DNA sequences, mainly by
33 methylating cytosines from CpG dinucleotides at position 5 through the activity of the
34 family of DNA methyltransferases (DNMTs), which transfer a methyl group from S-
35 adenosyl-methionine (SAM) to the cytosine [82]. CpG pairs concentrate in proximal
36 (CpG islands) or distal (CpG shores) parts of the gene promoter, and in the methylated
37 form are usually associated to gene silencing, although they are also involved in
38 parental imprinting (see [83] for a review). Patterns of CpG methylation are strictly
39 regulated and stable, and their alterations have been associated to diverse diseases,
40 including cancer.

41
42 The function of histones in chromatin is also subjected to epigenetic regulation.
43 Histones have protruding N-terminal and C-terminal tails that expose many positively
44 charged aminoacids (K/R), which modulate the interaction with other histones and with
45 the negatively charged DNA [84]. Histone modifications (known as tags or marks)
46 contribute to the regulation of gene expression by changing the net charge of histone
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1 proteins in order to regulate the strength of their interaction with DNA (and hence its
2 accessibility) and by providing docking sites for the interaction of components of the
3 transcriptional machinery [85] (see the description of the histone-tag nomenclature at
4 the legend of Table 2). Aminoacids in the protruding histone tails are susceptible to
5 many different covalent modifications “in vivo”, such as methylation, acetylation,
6 ribosylation, phosphorylation, ubiquitination, sumolation, biotinylation, and more than
7 50 different tags [86, 87]. Furthermore, the existence of numerous histone genes, which
8 generate up to 57 histone variants of differing lengths and sequences in humans, greatly
9 increases the number of aminoacids susceptible to modification ([88]).

16 The stability of epigenetic marks is critical to control the long-term effects of
17 events that alter the epigenetic landscape. While the genetic information encoded in
18 DNA can be regarded as essentially stable, epigenetic marks are reversible and respond
19 to endogenous, as well as environmental (nutritional, social, hormonal, cultural) stimuli.
20 These dynamic modifications are “interpreted” by a number of families of epigenetic
21 regulators [89] that have been classified as “tag-writers” (histone methyltransferases and
22 acetyltransferases) [90], “erasers” (histone demethylases and deacetylases), or
23 “readers”, proteins that include domains of the plant, chromo, Tudor, or MBT families,
24 among others, and recognize different tagged histones (see [91] for a recent review).
25 Elucidating the mechanisms that promote, maintain, read, and erase epigenetic marks is
26 currently an area of intense research aiming to develop small molecules able to
27 modulate expression of disease-associated genes at the epigenomic level [92].

40 **4.REGULATION OF GENE EXPRESSION BY FOLATE AVAILABILITY: THE** 41 ***agouti*, *axin-fused* AND *IGF2/H19* LOCI**

44 As reported above, the DWF cohort evidenced a number of risks in adulthood
45 that could be traced to specific periods of embryonic development during which the
46 mother experienced nutritional stress. A likely explanation for this finding was that the
47 stress burden could affect (reprogram) the expression of genetic loci critical for embryo
48 development. In this sense, recent work has evidenced that the folate-dependent
49 methylation of DNA regulatory sites constitutes a direct link between nutrition and
50 regulation of gene expression. In this section we review data on the effects of folate
51 availability on the regulation of gene expression in two animal models, the *agouti* and
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axin-fused loci, and in the human *IGF2/H19* locus, a complex genetic structure involved in the control of early embryo development.

4.1 Genomic structure of the *agouti*(A^{vy}), *axin-fused* ($Axin^{Fu}$) and *IGF2/H19* loci

Agouti mice show a characteristic yellow coat because the protein encoded by the *agouti* gene (ASP, for agouti signaling peptide) blocks eumelanin synthesis in hair follicles [93]. Interestingly, the “viable yellow” (A^{vy}) allele, a mutation in the *agouti* gene due to the insertion of a partial long terminal repeat (LTR) of an intracisternal A particle (IAP) retrotransposon, prompts the ubiquitous expression of the *agouti* gene because this LTR functions as a non-tissue-specific cryptic promoter [94, 95]. The A^{vy} allele is epigenetically unstable and can lose methylation at the LTR so that heterozygous (A^{vy}/a) mice, which also carry the recessive null (*a*) allele for a black coat, display continuously variegated agouti fur depending on the methylation status of the cryptic promoter, ranging from yellow in mice with a demethylated promoter to obscure-agouti/pseudoagouti fur in mice with an overmethylated promoter [96]. These methylation patterns are randomly fixed early in embryonic life and are maintained throughout life [97].

Similarly to the *agouti* gene, the *axin^{Fu}* locus derives from the insertion of an intracisternal A particle (IAP) retrotransposon, in this case in the intron 6 of the *axin* gene. This insertion generates a number of aberrant *axin* transcripts, some of them using the 3' LTR of the IAP as a cryptic promoter [98]. The wild-type AXIN protein controls the formation of embryonic axis by repressing Wnt signaling [99]. Work by Rakyanc and cols. showed that hypomethylation of the cryptic promoter (IAP) of the *Axin^{Fu}* allele caused the upregulation of AXIN expression, with the subsequent downregulation of Wnt activity that resulted in kinking of the distal tail [100]. On the other hand, the parentally imprinted *IGF2/H19* human locus encodes the insulin-like growth factor II (*IGF2*) gene, which promotes embryo and placental growth [101] and is expressed almost exclusively from the paternal chromosome [102], as well as the maternally expressed lncRNA-*H19*, which limits the growth of the placenta through the regulated processing of miR-675 that is embedded in the first exon of the *H19* gene [103]. The *IGF2/H19* locus includes an intergenic, CpG-rich, differential methylation region (DMR) as well as a distal enhancer region, immediately downstream of the *H19* gene, which together drive the tissue-specific, allele-restricted, and developmentally confined expression of the *IGF2* and *H19* genes [104] (Figure 2). The intergenic DMR

1 regulates *IGF2/H19* gene expression; its demethylated form (maternal allele) recruits the
2 regulatory factor CTCF, which functionally blocks the downstream enhancer, repressing
3 the growth-promoting effect of the *IGF2* gene and activating the growth-limiting *H19*
4 gene. In contrast, methylation of the intergenic DMR (paternal allele) allows the
5 enhancer to activate the *IGF2* promoter while repressing the *H19* gene. In this way, the
6 degree of intergenic DMR methylation acts as a balance, promoting (through *IGF2*
7 expression) or limiting (through *H19* expression) early embryonic growth [105].

12 **4.2 Folate availability regulates expression of the viable yellow agouti (A^{vy}) and** 13 ***axin-fused* ($Axin^{Fu}$) loci.**

16 Chromatin-methylating enzymes, i.e., DNA and histone methyltransferases, use
17 S-adenosylmethionine (SAM) as universal methyl donor and folate to regenerate SAM
18 through the one carbon metabolism pathway [106], suggesting that folate is an
19 important component of the gene regulatory machinery. Folate deficiencies have been
20 acknowledged as the basis of many nutrition-related diseases [107, 108], which supports
21 this hypothesis and highlights folate as a critical link between nutrition and gene
22 expression. This relationship has been evidenced by work with agouti and axin-fused
23 model mice. Thus, in agouti mice, supplementation of (*a/a*) dams with a methyl-rich
24 diet shifted the coat color of the A^{vy}/a offspring from yellow to the brown pseudoagouti
25 [109], a result also seen after supplementation of (*a/a*) dams with genistein, a major soy
26 isoflavone [110]. In both cases, the phenotypic shift coincided with an increased
27 methylation of the IAP insertion region at the *agouti* locus in the pups [111].

38 Change in coat color is not the only phenotypic trait associated to the *agouti*
39 locus. Heterozygous mice harboring the lethal yellow (A^y) or viable yellow (A^{vy})
40 mutations showed a number of pleiotropic effects, including obesity, increased tumor
41 susceptibility, and embryonic lethality [112]. The obesogenic role of the A^{vy} allele was
42 due to the *agouti* gene product, the agouti-signaling peptide (ASP), since a transgene
43 overexpressing ASP^{wt} induced obesity in the recipient mice [113] and incubation of
44 mature 3T3-L1 adipocytes with recombinant ASP increased expression of adipogenic
45 transcription factors [114]. Interestingly, this obesogenic effect proved to be sensitive to
46 dietary supplementation with methyl donors or with genistein, which reversed the effect
47 of the A^{vy} allele by overmethylating its cryptic promoter [110, 115]; this constitutes
48 experimental evidence of a dietary modification in pregnant mothers that directly affects
49 the obese phenotype of the offspring.

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On the other hand, in the case of the *Agouti* locus, supplementing *Axin^{Fu}* dams with methyl donors before and during pregnancy significantly increased the methylation of the *Axin^{Fu}* locus and reduced the incidence of tail kinking in their offspring [116]. Nevertheless, the mechanisms involved in this nutrient-induced methylation of regulatory regions are complex and must include numerous (epi)genetic factors to accurately control the expression of *Agouti* or *Axin-fused* genes [117]; Dolinoy et al. showed that yellow agouti mice had less repressive histone tag H4K20me3 in the LTR of the IAP, compared to their pseudoagouti littermates [118].

4.3 The Dutch Winter Famine caused life-long alterations in the methylation pattern of the *IGF2/H19* locus in the offspring of affected pregnant mothers

In an experimental study, Heijmans et al. studied the methylation pattern of the *IGF2*-DMR in the DWF cohort of individuals conceived during the Dutch famine. Six decades after being exposed to the famine, the *IGF2*-DMR was hypomethylated (see Figure 2), compared with their non-exposed siblings, when the exposure was periconceptual but not when individuals were exposed late in gestation, suggesting that early-life nutritional stress had an impact on the methylation pattern of the *IGF2/H19* locus, and that this altered pattern could be maintained for decades until adulthood [119]. Furthermore, the degree of methylation of the *IGF2-H19* locus was directly associated with fetal and infant growth, with children born small-for-gestational age (SGA) showing a decreased level of *IGF2*-DMR methylation in white blood cells obtained from umbilical cord, compared with control children [120]. On the other hand, Steegers-Theunissen et al. showed that periconceptual supplementation of pregnant mothers with 400 µg of folic acid significantly increased methylation at the *IGF2*-DMR locus of the child, compared with the offspring of non-supplemented mothers; however, the authors also found an inverse association between *IGF2*-DMR methylation and birth-weight that is difficult to explain by the current models of regulation of the *IGF2-H19* locus but that could indicate involvement of other genomic loci [121].

Other loci related to growth and metabolism also have been studied. Those encoding interleukin-10 (*IL-10*), leptin (*LEP*), ATP-binding cassette subfamily A member 1 (*ABCA1*) or the lncRNAs maternally-expressed 3 (*MEG3*) and *GNAS*-antisense (*GNAS-AS*) were found to be overmethylated in the famine-exposed individuals vs. non-exposed siblings, while the *INS-IGF2* lncRNA, also at the *IGF2* locus, was found to be undermethylated. This result further supports the hypothesis that

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early-life nutritional stress could cause widespread alterations in DNA methylation [122]. A genome-wide analysis of DMRs in the DWF cohort detected a number of differentially methylated CpG elements—such as enhancers, open chromatin regions, and developmental enhancers— associated to the prenatal famine, usually at regulatory regions, suggesting that they could influence the expression of many different genes and especially of genes linked to metabolism and growth [123]. On the other hand, the promoters of the glucocorticoid receptor *GRI-C*, peroxisome proliferator-activated receptor gamma (*PPAR-γ*) lipoprotein lipase, or phosphatidylinositol 3 kinase p85 (*PI3K-p85*) did not differ in their degree of methylation in the blood of individuals exposed “in utero” to famine, compared to non-exposed controls [124], suggesting that the nutrition-dependent changes in methylation could be gene- or tissue-restricted.

5. EPIGENETIC REPROGRAMMING OF DEVELOPMENTAL PLASTICITY: ADIPOSE TISSUE AND PANCREAS

The interventional or longitudinal studies described above have clearly established that nutrition can modulate the global levels of methylation at gene-regulatory regions. Nevertheless, this top-down approach (from nutrition to gene expression) has produced very scanty data on the specific genes or gene networks de/methylated, so that it is difficult to delineate the mechanisms linking overall nutrition-dependent epigenetic alterations with increased adult risk of non-communicable diseases (NCDs). An alternative to the top-down approach appeals to the phenotypic plasticity of tissues and organs at critical periods of early embryo development (mainly to control stem cell proliferation and/or cell differentiation) to determine whether the expression of the genes controlling these processes could be modulated by external stimuli. In this chapter, we discuss recent work on genes important to the development of organs and systems that maintain metabolic homeostasis, such as the pancreas and the adipose tissue, and whose expression has been shown to be modulated by nutritional cues.

5.1 Maternal malnutrition affects the epigenetic regulation of pancreas development.

Pancreatic and duodenal homeobox 1 (PDX1) is a transcription factor that is essential for the survival and function of the mature pancreatic β -cells, to the extent that disruption of the *Pdx1* gene (*Pdx1*^{-/-} mice) results in the arrest of pancreatic

1 development at an early embryonic stage [125]. Adult expression of PDX1 has been
2 shown to be modulated by early-life nutrition. Thus, in a mice model of intrauterine
3 growth retardation (IUGR), which predisposes to T2D development in adulthood, Park
4 et al. showed that the *Pdx1* gene underwent epigenetic modifications that downregulated
5 *Pdx1* expression in β -cells from over 50% (vs. control) in IUGR fetuses to null values in
6 adults [126]. Furthermore, the authors were able to map this regulatory behavior to a
7 region of the *Pdx1* proximal promoter that included a CpG dinucleotide in a binding site
8 for the USF-1 transcription factor, which was progressively methylated in IUGR rats
9 but not in control animals. Methylation of the *Pdx1* proximal promoter was
10 accompanied by histone deacetylation, since inhibition of HDAC partially restored
11 *Pdx1* expression [126]. Similar results have been recently described by Abuzgaia et al.,
12 who used a rat model of gestational maternal protein restriction, which increases
13 susceptibility to T2D. In a low-protein group, they detected downregulation of *Pdx1*
14 mRNA and protein expression, as well as of its downstream target genes insulin and
15 glucose transporter *Glut2*, compared with controls [127]. Furthermore, a genome-wide
16 analysis of CpG-methylated sites made in pancreatic islets from IUGR rats showed
17 that changes in cytosine methylation in over 1400 loci preceded the development of
18 T2D, giving a hint about the number of loci potentially involved in the pathogenesis of
19 this disease [128], while a high-throughput analysis of gene expression led to the
20 detection of 253 differentially expressed genes in the livers of exposed vs. non-exposed
21 offspring, among them many encoding enzymes related to fat metabolism and
22 potentially involved in the development of metabolic diseases [129].

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40 Diet-induced changes in the levels of histone tags also have been described in a
41 number of developmentally important genetic loci. Maternal low protein diets have been
42 associated to downregulation of mRNA and protein expression of the tumor suppressors
43 CDKN2A^{P16} and p21^{WAF1/Cip1} in the mammary glands of offspring, concomitant with a
44 reduction in acetylated H3 and in H3K4me2 in their promoter regions [130, 131]. In a
45 rat model of gestational maternal protein restriction, Sandovici et al. showed the
46 downregulation of *Hnf4a*, a transcription factor gene critical to pancreatic function, in
47 the islets of the exposed pups, compared with control pups, as well as an increase in the
48 repressive tag H3K9me2 and a decrease in the active mark H3K4me1 in the *Hnf4a*
49 intronic enhancer, with a subsequent reduction in its interaction with the *Hnf4a*
50 promoter [132].
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5.2 Maternal malnutrition affects the regulation of adipogenic genes.

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2 The adipose tissue of an adult organism is mainly composed of white adipose
3 tissue (WAT) plus some remnants of the thermogenic brown adipose tissue (BAT).
4 WAT has a double physiological role as regulator of the body's energy balance and as
5 an endocrine organ that controls a number of physiological and pathophysiological
6 mechanisms through the release of hormones and adipokines. This highly dynamic
7 tissue adapts to external stimuli by increasing the number or size of adipocytes (see
8 [133] for a recent review). Preadipocyte differentiation is controlled by the early
9 transcription factors *C/EBP-β* and *C/EBP-γ*, which regulate *PPAR* and *C/EBP-α*,
10 adipogenic master genes that promote adipocyte terminal differentiation through their
11 ability to activate the expression of adipocyte-specific genes (see [134] for a recent
12 review). Among the genes activated by *PPAR-γ* are many involved in lipid metabolism
13 and insulin/IGF signaling [135], as well as the uncoupling protein-1 (*UCP1*), a
14 functional marker for BAT whose mRNA peaks soon after birth in large mammals and
15 then is rapidly lost, together with its transcription activating factors *PPARα* and
16 coactivator *PGC-1α*, as part of a developmental switch from BAT to WAT [136].
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29 Prenatal undernutrition has been shown to have an impact on the fetal fat mass
30 as well as in the expression of *UCP1* mRNA. In this regard, ovine maternal nutrient
31 restriction during the early gestation resulted in offspring with more adipose tissue and
32 higher levels of *UCP2* and *PPARα* mRNAs than unrestricted controls, while no changes
33 were detected in the levels of *UCP1*, *PPAR-γ*, or the long and short forms of the
34 prolactin receptor (*PRLR*) [137]. Furthermore, in mice, protein restriction of pregnant
35 mothers resulted in lower levels of leptin mRNA and protein in the offspring [138]. In
36 contrast, pups born to mothers submitted to a high-fat diet showed worse glucose
37 tolerance and higher leptin levels and lower adiponectin levels in the adipose tissue than
38 their control littermates, which was associated with higher H3K9Ac and lower
39 H3K9me2 levels at the adiponectin promoter and lower levels of H4K20me at the leptin
40 promoter [139].
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6. CHROMATIN AS A NUTRIENT SENSOR: NUTRITION-INDUCED POST-TRANSLATIONAL MODIFICATIONS OF HISTONE PROTEINS AND THEIR EFFECTS ON CHROMATIN FUNCTION.

Nutrition has long been considered a major environmental factor responsible for changes in the transcriptome, mainly by the binding of small ligands to nuclear receptors, transcription factors, or cofactors [140]. Nevertheless, recent years have seen a number of reports in which small metabolites or nutrients controlled patterns of gene expression not by binding to single, specific targets but by modulating the global level of post-translational modifications (PTMs) of DNA, histones, and other epigenetic regulators (see [141] for a recent review). These “epigenetic metabolites” constitute a functional link between nutrition and regulation of gene expression, with poor nutrition and metabolite shortage affecting the appropriate deployment of epigenetic tags. This highlights chromatin architecture as a marker of the energetic and metabolic state of the cell. According to this model, chromatin would act as a metabolic sensor to adjust global patterns of gene expression to the dynamic changes in the concentration of specific metabolites, while ensuring its epigenetic inheritance [142]. This novel perception of metabolite-dependent chromatin function will surely provide researchers with conceptual tools to unravel the epigenetic components underlying reprogramming of gene expression by early-life nutritional stress, as well as to study the mechanisms that translate these regulatory alterations in risk increases to adult disease. In this section, we review the regulatory function of the best characterized of these epigenetic metabolites: O-linked-N-acetylglucosamine (O-GlcNAc), NAD⁺, and acetyl-CoA, as well as their functional relationship with chromatin and Sirtuin1, two of the better characterized metabolic sensors.

6.1 Intracellular O-GlcNAcylation depends on the extracellular levels of glucose through the nutrient sensor UDP-GlcNAc

Among the different intermediate metabolites with a confirmed role in the establishment or maintenance of histone PTMs, O-linked-N-acetylglucosamine constitutes a class of its own. Addition of an O-linked-N-acetylglucosamine group (O-GlcNAc) to Ser/Thr residues of nuclear proteins [143] targets hundreds of proteins, among them epigenetic regulators and chromatin-associated proteins such as histones, members of the polycomb repressive complex, and a number of HDACs directly involved in the regulation of gene expression (see [144] for a recent review).

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GlcNAc is reversibly added to its target proteins as a single residue by the O-linked GlcNAc transferase (OGT), which uses the UDP-GlcNAc sugar as substrate, and is removed by the O-GlcNAcase (OGA) enzyme. Both reactions constitute the “O-GlcNAc cycle”. O-GlcNAc and O-GlcNAcylated products can be found not only in the nucleus or cytoplasm, but also in the endoplasmic reticulum-Golgi axis where they are used in the biosynthesis of glycoproteins, glycosaminoglycans, or glycolipids [145]. The precursor form UDP-GlcNAc, which is synthesized by the hexosamine biosynthetic pathway, *can be considered a sensor of the metabolic state of the cell* [146], since its synthesis requires four metabolites, glucose (carbohydrates), glutamine (aminoacids), acetyl CoA (lipids), UTP (nucleotides), and ATP as energy donor; their availability directly determines UDP-GlcNAc levels and subsequently those of intracellular O-GlcNAcylation [146]. Thus, the levels of UDP-GlcNAc reflect the nutrient status of the cell, with extracellular levels of glucose modulating the extent of intracellular O-GlcNAcylation [147]. Moreover, the O-GlcNAc cycle is functionally integrated with other *nutrient sensing pathways* such as mTOR, AMPK (which is activated by a low ATP/AMP ratio), MAPK, and insulin-AKT and the direct interaction of OGT with tyrosine kinase receptors, PIP3 sites, or p38 MAPK has been described, suggesting that these signaling pathways could also be modulated by O-GlcNAcylation (see Figure 3 and [148]).

6.2 The activity of a number of epigenetic regulators of gene expression is controlled by O-GlcNAcylation

In a gene expression analysis in *C. elegans*, Love et al. found over 800 promoters modified by O-GlcNAcylation in which disruption of the O-GlcNAc cycle led to alterations in the pattern of gene expression, many of them linked to lipid/carbohydrate metabolism or microRNA expression; this constituted a nutrient-responsive program of gene expression [149]. In a mice model, male levels of OGT and of O-GlcNAc were seen to be reduced by early prenatal maternal stress, which affected hypothalamic gene expression as well as the landscape of brain microRNA expression [150].

On the other hand, Medford et al. demonstrated that the chronic ingestion of a sugar and saturated fat-rich diet (Western diet) increased protein O-GlcNAcylation in the hearts of rats, without modifying the levels of the OGT/OGA enzymes, which suggested that accumulation of O-GlcNAcylated products was due to the increased

1 availability of nutrients [151]. This result has the utmost importance because it links the
2 degree of protein O-GlcNAcylation to the historical record of nutrient ingestion. Among
3 the proteins that were post-translationally modified by O-GlcNAcylation, the group of
4 gene expression regulators is well represented. Several excellent reviews on the O-
5 GlcNAcylation of transcription factors have been recently published (see [152] and
6 references therein); here we will focus on the epigenetic mechanisms affected by O-
7 GlcNAcylation.
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12 On the functional side, O-GlcNAcylation has been shown to regulate chromatin
13 dynamics through two different mechanisms: i- by tagging members of the polycomb
14 group of proteins (PcG) [153] and other epigenetic regulators, such as the Ten-Eleven
15 Translocation (TET) family of DNA hydroxylases/demethylases [154], and ii- by
16 directly targeting the four core histones in chromatin [155, 156], although it seems that
17 there is also some extent of crosstalk between the two mechanisms. The polycomb
18 group proteins are transcriptional regulators that mediate the repression of numerous
19 genes along the development to adulthood. Polycomb group proteins form two
20 polycomb-repressive complexes (PRC1 and PRC2), which recognize and bind
21 polycomb-responsive elements (PREs) at the regulatory regions of their target genes.
22 PRC2s are H3K27 trimethyltransferases while PRC1 binds to H3K27me3 and
23 monoubiquitinates H2AK119, with the overall effect of repressing the expression of the
24 target genes [157].
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28 In two thought-provoking studies, the *polyhomeotic* protein (Ph), one of the
29 components of PRC1, was shown to be O-GlcNAcylated [158], while the O-linked
30 GlcNAc transferase (OGT) enzyme was identified as the PcG member previously
31 known as *super sex combs* (*sxc*) [158, 159]; O-GlcNAcylation-defective null-mutants
32 (*sxc/Ogt^{-/-}*) were unable to maintain polycomb-mediated transcriptional repression
33 [158]. This last result strongly supports a role for the nutrient sensor O-GlcNAc in the
34 repression of polycomb target genes and provides mechanistic insight on the functional
35 relationship among nutrients and the transcriptional machinery. On the other hand, O-
36 GlcNAc sites have been detected in all the core histones, cross-talking with other
37 histone PTMs. Thus H3S10GlcNAc impaired the phosphorylation of the same residue
38 [155], H2BS112GlcNAc facilitated H2BK120 monoubiquitination [160], and
39 H3T32GlcNAc reduced phosphorylation at H3S10, H3S28, and H3T32 [161].
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1 The O-GlcNAcylation of target histones was facilitated by the binding of OGT
2 to the TET2 and TET3 (Ten-Eleven Translocation 2/3) proteins at active transcription
3 start sites enriched in the activation mark H3K4me3, while loss of OGT or TET2/3
4 start sites enriched in the activation mark H3K4me3, while loss of OGT or TET2/3
5 reduced the target gene expression [154, 162]. In this way, O-GlcNAcylation would
6 have a dual role in the control of gene expression, reinforcing the repressive role of
7 polycomb on its targets and facilitating transcription by binding to TET2/3 proteins.
8 How these two opposite roles are regulated is currently unknown.

12 **6.3 Alterations in the balance of O-GlcNAcylation contribute to human disease**

14 Recent years have seen evidences that an altered flow of O-GlcNAc could
15 contribute to obesity, CVD, and T2D [163], to the extent that determination of O-
16 GlcNAc in blood has been proposed as a biomarker for early metabolic dysfunction in
17 youngsters [164]. As stated above, levels of O-GlcNAc depend on the balance between
18 the opposite activities of OGT (GlcNAcylation) and OGA (O-GlcNAc removal), and
19 changes in their activity have been associated to human pathology, although the
20 molecular mechanisms involved have not yet been clarified. The chemical inhibition of
21 OGA resulted in increased levels of GlcNAc and impeded insulin-dependent
22 phosphorylation of Akt and GSK3 β , leading to insulin resistance in 3T3-L1 adipocytes
23 [165]. In contrast, heterozygous OGA-deficient mice had reduced insulin sensitivity,
24 hyperleptinemia, and showed a de-regulated expression of genes associated with
25 growth, innate immunity, and the metabolism of glucose, lipids, sterols, and calcium
26 [166]. On the other hand, increasing GlcNAc levels by the transgenic overexpression of
27 OGT induced insulin resistance and hyperleptinemia when OGT was expressed in
28 muscle and adipose tissue [167], and was associated with obesity and fatty liver when it
29 was expressed in liver, likely by interfering with the metabolism of Apo B and Apo A-I
30 [168].

45 **6.4 Other metabolic sensors: SIRT1, Acetyl-CoA, and FAD**

47 In addition to O-GlcNAc, other metabolic sensors link DNA and chromatin
48 PTMs (and hence regulated gene expression) to the levels of different metabolites in a
49 way that could be epigenetically inherited, potentially contributing to the long-term
50 effects of nutrition on adult disease risk. One of the best characterized is Sirtuin1
51 (SIRT1), a nuclear NAD⁺-dependent protein deacetylase which links cellular metabolic
52 status (such as NAD⁺ levels, modulated by dietary niacin) to the dynamics of chromatin
53 architecture and regulated gene expression through the deacetylation of histones and
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1 other nuclear regulatory proteins [169]. In this sense, SIRT1 has been considered as a
2 master regulator of the transcriptional networks that control lipid metabolism,
3 gluconeogenesis, insulin secretion, and inflammation [170]. A recent analysis of the
4 liver acetylome from *Sirt1*-deficient (*Sirt1*^{-/-}) mice allowed the detection and
5 identification of a group of nuclear proteins directly deacetylated by SIRT1 “in vitro”,
6 among them a subunit of the SWI/SNF chromatin remodeling complex and five proteins
7 involved in RNA processing [171]. Other chromatin-associated proteins have been
8 characterized as substrates for the SIRT1 deacetylase activity, including the
9 transcriptional repressor promyelocytic leukemia zinc finger protein (PLZF) [172], the
10 histone methyltransferase mixed-lineage leukemia 1 (MLL1) associated to H3K4
11 trimethylation at certain promoters [173], and SATB1 homeobox 1, the regulator of
12 high-order chromatin structure [174]. Also related to NAD⁺ sensing, the globular macro
13 domain constitutes a highly conserved protein fold present in histone variants
14 (macroH2A), modifiers (macro-PARPs), and chromatin remodelers (Alc1) (see [175]
15 for a recent review), which functions as an ADP-ribose binding module [176], likely
16 mediating transcriptional regulation in response to nutrient availability.

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On the other hand, acetyl-CoA is the acetyl donor for histone lysine acetylation by histone acetyl transferases (HATs). Independently of its role in the synthesis of O-GlcNAc, acetyl-CoA has been considered a metabolic sensor by itself. Wellen et al. showed that suppression of the ATP-citrate lyase activity (the enzyme that converts glucose-derived citrate to acetyl-CoA) resulted in a global decline in histone acetylation, and more specifically in the hypoacetylation of the promoter region of the glucose transporter GLUT4 gene in adipocytes, thus linking glucose availability to the activation of a glycolytic program of gene expression [177]. Finally, other metabolites whose levels change in response to the nutrient status and are involved in the epigenetic regulation of gene expression have been described ([178], and references therein). These include FAD, a riboflavin (vitamin B12) derivative that works as a cofactor of LSD1, a H3K4 histone demethylase [179].

6.5 Effect of plant bioactive compounds on epigenetic regulators

In recent years, a number of dietary bioactive compounds of plant origin have been characterized as epigenetic modulators of gene expression because of their ability to reshape histone post-translational modification marks or DNA methylation patterns, although their exact role in maintaining the altered patterns required to establish lifelong

1 effects remains poorly understood. In this section, we review recent reports on the direct
2 effect of small secondary plant metabolites, mainly polyphenols and isothiocyanates, on
3 the activity of enzymes directly involved in the structural/regulatory modifications of
4 chromatin. We will not discuss the effects of caloric intake or of changes in
5 micro/macronutrient consumption because there are excellent recent reviews on this
6 topic [180].
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10 Polyphenols such as curcumin, resveratrol, genistein, or ECGG constitute a
11 complex class of plant secondary metabolites with an accepted role as epigenetic
12 modulators. Curcumin (diferuloylmethane) is a bioactive compound with anti-oxidative,
13 anti-inflammatory, and anti-lipidemic properties and contributes to epigenetic regulation
14 by downregulating expression of DNA methyltransferases (DNMT1, 3A and 3B) [181]
15 and inhibiting HAT activity [182]. Furthermore, curcumin treatment was seen to alter
16 expression of a large set of miRNAs, and consequently of their target genes [183], to
17 upregulate the tumor suppressor lncRNA MEG3 [184], as well as to suppress
18 expression from the H19 locus, but not from IGF2, in cancer cell lines [185].
19 Resveratrol is another phytochemical with an established role as epigenetic regulator.
20 Resveratrol activates SIRT1 by increasing its binding to laminA [186] and inhibits
21 mono-ubiquitination of histone H2B at K120 [187], which has an impact on the
22 landscape of histone marks. Furthermore, resveratrol has been shown to regulate
23 expression of the chromatin modifier metastasis-associated protein 1 (MTA1), a
24 component of the silencing nucleosome remodeling and deacetylating complex (NuRD)
25 [188]. On the other hand, the soybean isoflavone genistein has been observed to
26 promote hypermethylation of CpG islands in specific mouse genes [189], as well as to
27 modulate H3K19 methylation or deacetylation of specific promoters through a
28 reduction of SIRT1 activity [190], while garcinol has been characterized as a potent
29 inhibitor of histone acetyltransferases [191], cambinol as an inhibitor of SIRT1 and
30 SIRT2 [192], and chaetocin as an inhibitor of histone H3 lysine 9 (H3K9), a
31 methyltransferase suppressor of the variegation 3-9 homolog 1 (Suv39 h1) [193]
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51 The list of phytochemicals with epigenetic regulatory potential is not restricted
52 to polyphenols, but should also include sulforaphane (SFN), a dietary isothiocyanate,
53 and allyl sulphides [194, 195], which function as HDAC inhibitors “in vitro”, and green
54 tea catechins, especially epigallocatechin gallate (EGCG), which have been shown to
55 downmodulate DNA methylation by attenuating the effect of DNA methyltransferase 1
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1 (DNMT1) through a yet unknown mechanism, although various authors have shown the
2 reexpression of tumor suppressors p16^{INK4a} and p15^{INK4b} and WIF1 upon treatment of
3 tumor cell lines with EGCG ([196] and references therein).
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7. XENOMIRS AND METASTABLE EPIALLELES: DOUBLE-EDGED 8 9 10 **WORDS FOR NUTRIGENOMIC INTERVENTION.**

11 The safety of nutrigenomic intervention is widely accepted, mainly because the
12 accidental ingestion of physiologically toxic amounts of polyphenols and other plant
13 metabolites seems to be highly unlikely. Nevertheless, physiologically safe levels of
14 ingested nutrients and metabolites could have undesired effects on epigenetic regulators
15 [197, 198]. Of special concern are genes that harbor metastable epialleles (MEs), i.e.
16 alleles which generate phenotypical variation through differential methylation, in their
17 regulatory regions [199]. Metastable epialleles have been shown to promote the
18 spreading of methylation to neighboring genes, thus contributing to their untimely
19 epigenetic silencing [200]. What makes regulatory MEs interesting for nutraceutical
20 research is the potential modulation of expression of their associated genes by
21 environmental factors, with the degree of DNA methylation at their promoters reflecting
22 the maternal intake of folic acid, as in the *agouti* and *axin-fused* genes [111, 116]. This
23 environmental sensitivity makes ME-harboring genes likely targets for nutraceutical
24 intervention, as well as interesting candidates to explain the long-term susceptibility to
25 chronic diseases by linking prenatal exposures (e.g. to maternal folate) to the
26 developmental plasticity of the epigenome [201]. In this context, Waterland et al.
27 described a number of human MEs and studied their dynamics in a model of seasonal
28 food shortage in Gambian subsistence farmers. They compared levels of methylation in
29 MEs from individuals conceived in scarce vs. affluent conditions, and found them to be
30 significantly increased in individuals conceived in the challenging season [202],
31 probably due to seasonal variations in the intake of methyl donors by the pregnant
32 mothers, although no data were provided on the expression variations of the ME-
33 associated genes [203, 204]. To make things more interesting, a recent report showed
34 that genomic regions flanking human MEs were enriched in repetitive sequences of the
35 long interspersed nuclear element (LINE) or endogenous retrovirus (ERV) families
36 [205], a relevant result because murine MEs have also been localized next to
37 retroviruses and other transposable elements that generate epigenetic variability or
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instability [206, 207] or affect the transcriptional pattern of the locus [208]. Other
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authors have detected hypermethylated, leaky elements of the Alu [200] or B1 [209,
210] families that spread methylation to flanking regions and consequently contributed
to the silencing of contiguous genes. Clearly, more research is needed on the function
and dynamics of MEs, on their associated genes, and on their role as spreaders of
methylation and gene-silencers; nonetheless, MEs could provide the degree of
phenotypic variability needed to explain some of the long-term effects of early-life
nutrition.

Another risky association between nutrition and the epigenetic machinery deals
with the recently described xenomiRs or xenomiRNAs, i.e., exogenous plant or animal-
derived miRNAs [211]. In a highly controversial report, Zhang et al. described that the
plant-specific microRNA miR-168a could be acquired by animals through food
ingestion, survive the acidic conditions of stomach, target the low-density lipoprotein
receptor adapter protein 1 (*LDLRAP1*) mRNA, and *reduce the liver expression of*
LDLRAP1 protein [212]. Similarly, Baier et al. reported that miR-29b and miR-200c
could be acquired by humans through milk consumption, and that miR-29b could target
the runt-related transcription factor-2 (*RUNX2*) mRNA and miR-200c the *ZEB1* mRNA
in “in vitro” luciferase assays [213]. Furthermore, these authors showed that depletion
of these miRNAs from milk was not compensated by intracellular synthesis, suggesting
that humans relied on continuous exogenous supply of miR-29b and miR-200c [213].
Although these results have been openly questioned [214-217], with some laboratories
being unable to replicate them [218] and others considering them as laboratory artifacts
[219], the idea that xenomiRs could regulate the expression of target genes in humans or
animals is fascinating (see [220] for a recent review), and could open a conceptual gate
for the direct use of miRNA-characterized diets in nutritional interventions aimed to
stop disease progression [221, 222]. It is likely that the coming years will see enormous
progress in this highly controversial research area.

8. CONCLUSIONS AND FUTURE TRENDS

The epidemic of obesity that currently affects the western world has generated
great interest in identifying the molecular mechanisms involved in the onset and
progression of obesity and its related conditions. Of special interest are the effects of
prenatal nutrition on the long-term risk of chronic diseases, as well as the genetic and

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epigenetic mechanisms that regulate the expression of genes critical for the appropriate development of adipogenic tissues. The present review focused on recently published work on the interface between nutrition and epigenetic mechanisms, i.e., how nutrients have an impact on the covalent marks of DNA and histones that have a direct role in the regulation of gene expression. Although this field of research is quite young, enough data are available to draw a number of conclusions that could serve as a theoretical basis for the development of further investigations: i.- early-life malnutrition results in increased risk of obesity and related conditions in adulthood, according to sound epidemiological evidence from studies in historical cohorts; ii.- nutrition has a direct impact on the expression of a number of responsive genes, and the availability of specific nutrients, such as methyl donors or folate, can modulate expression of certain genes (e.g., the *Agouti* gene) by changing the degree of methylation of their regulatory regions; iii.-chromatin could be considered a metabolic/nutrient sensor that responds to nutritional cues by changing the patterns of gene expression. The post-translational addition of O-GlcNAc, whose intracellular levels reflect the metabolic status of the cell, modulates gene expression by tagging histone proteins and members of the polycomb group of proteins (PcG), among others. Thus poor nutrition, leading to a metabolite shortage, could affect the appropriate deployment of epigenetic tags and, subsequently, the growth and development of critical organs and tissues.

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A general overview of this topic would highlight evidence that early environmental exposures (such as nutrition) can affect (i.e. reprogram) the developmental plasticity of key tissues and organs by affecting epigenetic mechanisms that control gene expression. Nevertheless, this explanation remains a theoretical structure because we still lack a mechanistic view of the processes involved. The many unknowns include the genes affected, the number and nature of their nutrient-sensitive regulatory regions, the epigenetic regulators involved, the gene-modulating role of specific nutrients and metabolites, as well as the developmental alterations generated in the critical tissues and organs. On the other hand, the promising insights into the regulating roles of xenomiRNAs or of the metastable epialleles in nutrition-dependent changes make them worthy of study because of their ground-breaking potential as regulatory mechanisms, as well as their yet unforeseen physiological impacts.

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These will definitely be future fields of active research because they correspond to the primary questions raised by the data here reviewed. Nevertheless, we would pose

1 other, perhaps more philosophical, questions. It would be very important to describe the
2 molecular mechanisms by which the cellular memory of the initial nutritional insult is
3 established in early life and propagated to adulthood, i.e., to understand why the
4 predisposition “awakens” 40-50 years later. We could hypothesize a requirement for a
5 second signal, or the interaction of nutritionally primed epigenetic mediators with the
6 aging machinery of the cell. In addition, it is tempting to speculate that nutrition-derived
7 reductions in prenatal nutritional/metabolic sensors (such as the methyl donors or UDP-
8 GlcNAc, among others) could somehow affect the activity of critical, as yet unknown,
9 regulatory regions or epigenetic regulators, leading to a gradual loss of epigenetic tags
10 and/or other signals important for the maintenance of gene expression homeostasis. The
11 affected cells would thus enter a state of gradual “regulatory exhaustion” that would
12 hinder their physiological stability.
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22 It is clear that a deep knowledge of the genetic and epigenetic mechanisms
23 involved in nutrition-dependent reprogramming would likely provide us with tools for
24 primary prevention as well as to reverse the process and, hopefully, its effects on long-
25 term disease susceptibility. Of course, much more research is needed to fulfill this
26 objective, and it is likely that the coming years will see a dramatic advance in research
27 on the nutrition-dependent alterations in gene expression and the associated increases in
28 disease risk.
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CONFLICTS OF INTEREST

None

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TABLE LEGENDS

Table 1: Consequences of maternal malnutrition during the Dutch famine (1944-1945) on the development of chronic diseases at adulthood of their descendants

AGE : Age at which the analysis was performed in descendants of mothers exposed to famine.

(*) When the age of the subjects is not stated, the year at which the study was published is indicated

MATERNAL EXPOSURE TO HUNGER: gestation period in which mothers were exposed to famine

(**) Late gestation: babies born between 7 January 1945 and 28 April 1945

Mid gestation: babies born between 29 April 1945 and 18 August 1945

Early gestation: babies born between 19 August 1945 and 8 December 1945

For the control groups not exposed to famine see each reference.

Table 2: Summary of selected histone post-translational modifications (acetylation or methylation) and their association to functional states of chromatin

Only individual labels are shown, not their combinations.

Bivalent domain: histone tag that represses developmentally expressed genes while maintaining them poised for activation upon differentiation.

TSS: Transcription Start Site

The code for histone labels is as follows: (H2B, 3, 4)-Histone 2B, 3 or 4, (K)-lysine, (ac)-acetylation, (me)-methylation. The number after the lysine code indicates the position modified, and me1, 2, 3 indicates mono- di- or tri methylations. This is a selection of significant histone labels and by no means an exhaustive inventory of all known histone post-translational modifications (PTMs).

FIGURE LEGENDS

Figure 1: New families of RNAs which control the flow of genetic information, and their functional interactions

Boxes show components of the original “Central Dogma of Molecular Biology”, which described the flow of genetic information from DNA to protein and considered mRNA essentially as an information-encoding, unstable intermediate [41, 42, 223]. This perspective has been updated by adding relevant families of non-coding RNAs, discovered recently, which are also transcribed from genomic DNA and have a functional role in the control of gene expression. These include the following: piRNAs, Piwi-interacting RNAs; snRNAs, small non-coding RNAs; lncRNAs, long non-coding RNAs; and miRNAs, micro RNAs. Solid arrows indicate transcription, the dotted arrow stands for translation, and the dashed arrows represent a regulatory relationship between two species of nucleic acids.

These relationships are described as follows:

- A-** microRNAs that regulate stability or translability of mRNAs [61];
- B-** lncRNAs that regulate mRNA processing, stability and degradation [224];
- C-** lncRNAs that can function as “miRNA sponges” to reduce their effective concentration [225];
- D-** miRNAs that target and downregulate lncRNAs [226];
- E-** antisense, AS-lncRNAs that regulate expression of neighboring genes, lncRNAs (as XIST) involved in imprinting and silencing of gene expression, or enhancer RNAs (eRNAs) that activate gene expression [79, 227, 228], among others;
- F-** generation of new regulatory regions by retrotransposition near other functional genes [229];
- G-** small RNAs involved in retroelement silencing in the germline [230].

This figure reviews only some of the most relevant non-coding RNA families, and is neither a systematic catalog of these elements nor of their functions. We have focused on the functional interactions between coding and non-coding RNAs and with DNA, not considering their mutual impacts on proteins.

Figure 2: Transcriptional regulation of the imprinted *Igf2/H19* locus

Shown are the *Igf2* and *H19* genes as well as the most relevant controlling elements which regulate their parentally specific expression: the differentially methylated region

1 (DMR) and the distal 3' transcriptional enhancer. In this parentally imprinted locus the
2 maternal chromosome keeps the DMR unmethylated so that it can bind the insulator
3 zinc-finger protein CTCF, which blocks the communication between the *Igf2* promoter
4 and the distal enhancer [231], resulting in the transcriptional activation of the *H19* gene.
5 On the other hand, CTCF fails to bind to the heavily methylated DMR from the paternal
6 chromosome, which facilitates the interaction between the distal enhancer and the *Igf2*
7 promoter to induce its expression.
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14 **Figure 3: Chromatin as a nutrient sensor: GlcNAcylation of chromatin and**
15 **epigenetic regulators links the nutritional status of the cell with mechanisms**
16 **regulating gene expression**
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18 Nutrient availability regulates levels of UDP-GlcNAc through the hexosamine
19 biosynthetic pathway; this mechanism is controlled by a number of nutrient-sensing
20 pathways (mTOR, AMPK, etc). GlcNAcylation of chromatin and other epigenetic
21 regulators such as histones, polycomb group proteins (PcG), HDACs, TET (Ten-Eleven
22 Translocation) proteins, and associated transcription factors (see text for details) have a
23 global impact on the regulation of gene expression.
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| CONSEQUENCES ON PRENATALLY EXPOSED DESCENDANTS | AGE* | MATERNAL EXPOSITION TO HUNGER** | REFERENCE |
|--|-------------|--|------------------|
| Significantly higher obesity rates | 19 | First half of gestation | [20] |
| Significantly lower obesity rates | 19 | Last trimester of gestation | [20] |
| Higher BMI and waist circumference in women but not in men | 50 | Early gestation | [23] |
| No effect of prenatal famine on systolic or diastolic blood pressure | 50 | Early or late gestation | [250] |
| Reduced concentrations of plasma factor VII | 50 | Early gestation | [251] |
| Significantly higher LDL-HDL cholesterol ratios | 50 | Early gestation | [252] |
| Higher prevalence of coronary heart disease | 50 | Early gestation | [253] |
| Decreased glucose tolerance | 1998 | Late gestation | [22] |
| Perceived poor health | 50 | Early gestation | [254] |
| Earlier onset of coronary artery disease | 50 | Early gestation | [28] |
| Higher rates of microalbuminuria | 48-53 | Mid gestation | [255] |
| Similarly decreased glucose tolerance at 50y and 58 y | 50/58 | All periods of gestation | [256] |
| No effect of exposure on adult mortality up to 57 years | 57 | All periods of gestation | [257] |
| Higher systolic and diastolic blood pressure after stress tests | 58 | Early gestation | [258] |
| Reduced insulin secretion (lower disposition index) | 58 | Mid gestation | [259] |
| Not associated to a greater prevalence of metabolic syndrome | 58 | All periods of gestation | [260] |
| Elevated total cholesterol, triglycerides and LDL-chol. In women only | 58 | All periods of gestation | [261] |
| No increase in CAD risk or Framingham risk | 58 | All periods of gestation | [30] |
| Reduced carotid and femoral arteries intima media thickness | 58 | All periods of gestation | [262] |
| Increased weight and adiposity in women but not in men | 59 | All periods of gestation | [263] |
| Less DNA methylation at the IGF2 gene compared with unexposed siblings | 60 | Early gestation | [119] |

Table 1

| HISTONE LABEL | CHROMATIN FUNCTIONAL STATE | REFERENCES |
|----------------------|---|-------------------|
| H2BK120ac | Active o poised TSS. Absent from core promoters. | [232] |
| H3K4ac | Marks promoters of actively transcribed genes | [233] |
| H3K9ac | Enriched at the promoters of actively transcribed genes | [234] |
| H3K14ac | Associated to active promoters | [235] |
| H3K27ac | Associated to active enhancers and promoters | [235, 236] |
| H3K36ac | Associated to active promoters | [237] |
| H3K4me1 | Associate to enhancers of differentiation genes | [238] |
| H3K4me2 | Associate to active chromatin | [239] |
| H3K4me3 | Associated to actively transcribed genes | [240] |
| H3K9me1 | Associate to enhancers of differentiation genes | [238] |
| H3K9me2 | Repressive mark | [241] |
| H3K9me3 | Repressive signal in gene-poor regions | [242] |
| H3K27me1 | Associate to enhancers of differentiation genes | [238] |
| H3K27me2 | Repressive signal mediated by polycomb repressive complex 2 | [243] |
| H3K27me3 | Temporary repressive signal in gene-rich regions Mediated by polycomb repressive complex 2 | [242] |
| H4K8ac | Associate to active chromatin | [244] |
| H4K12ac | Associate to active chromatin | [245] |
| H4K16ac | Associate to active chromatin | [244] |
| H4K20me1 | Repressive mark of facultative heterochromatin | [246] |
| H4K20me2 | DNA damage response | [247] |
| H4K20me3 | Constitutive heterochromatin | [248, 249] |

Table 2

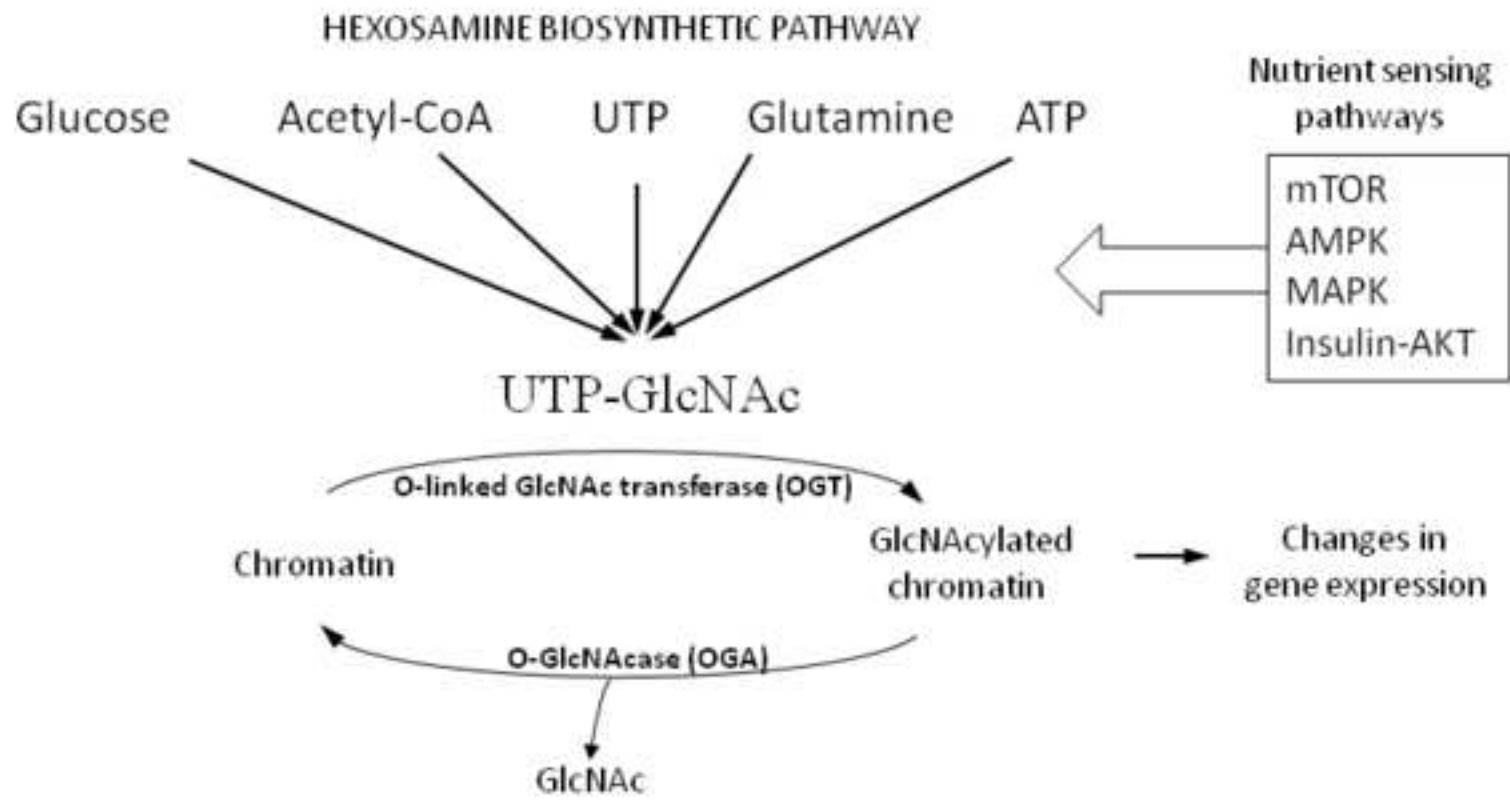


Figure 2

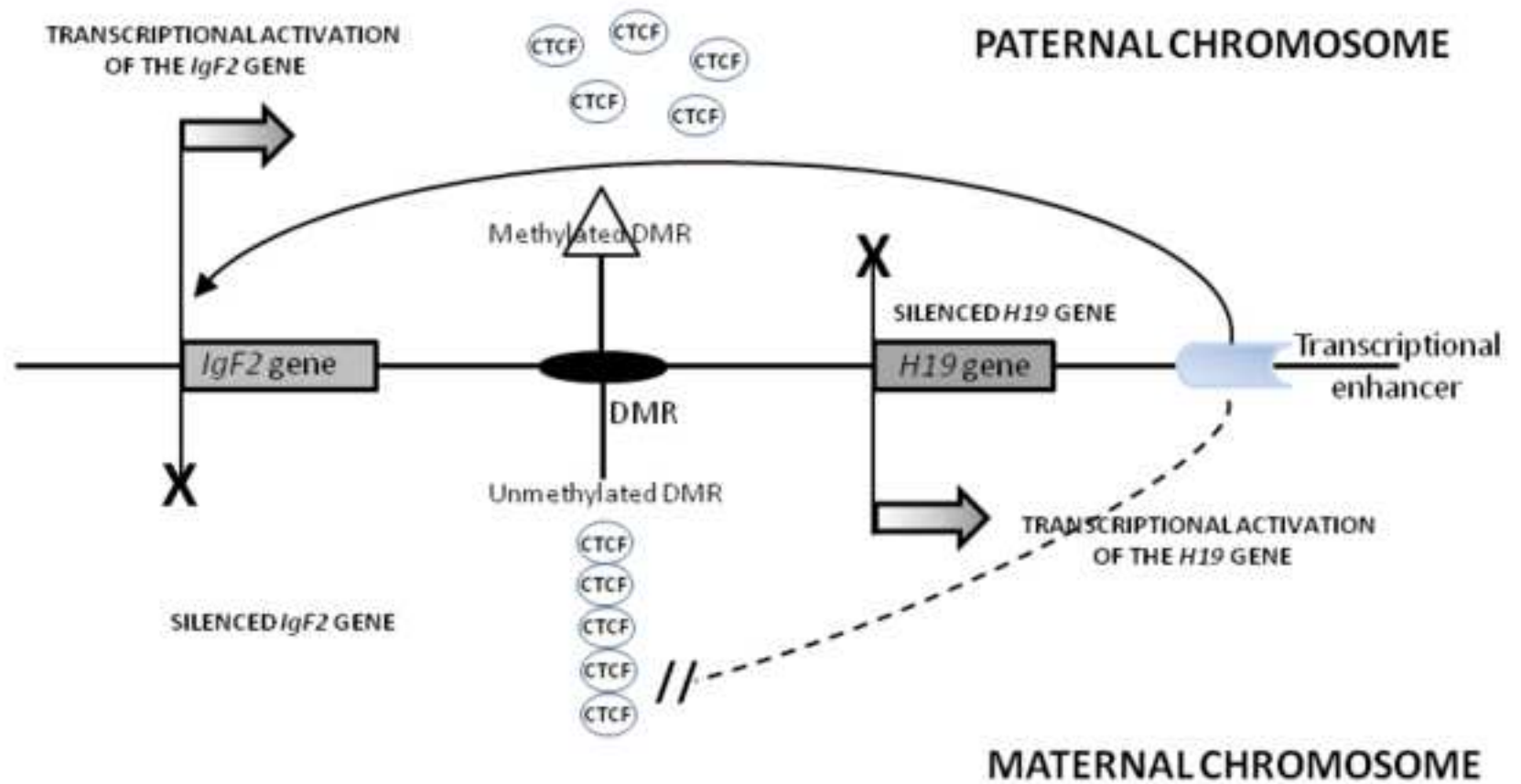


FIGURE 3

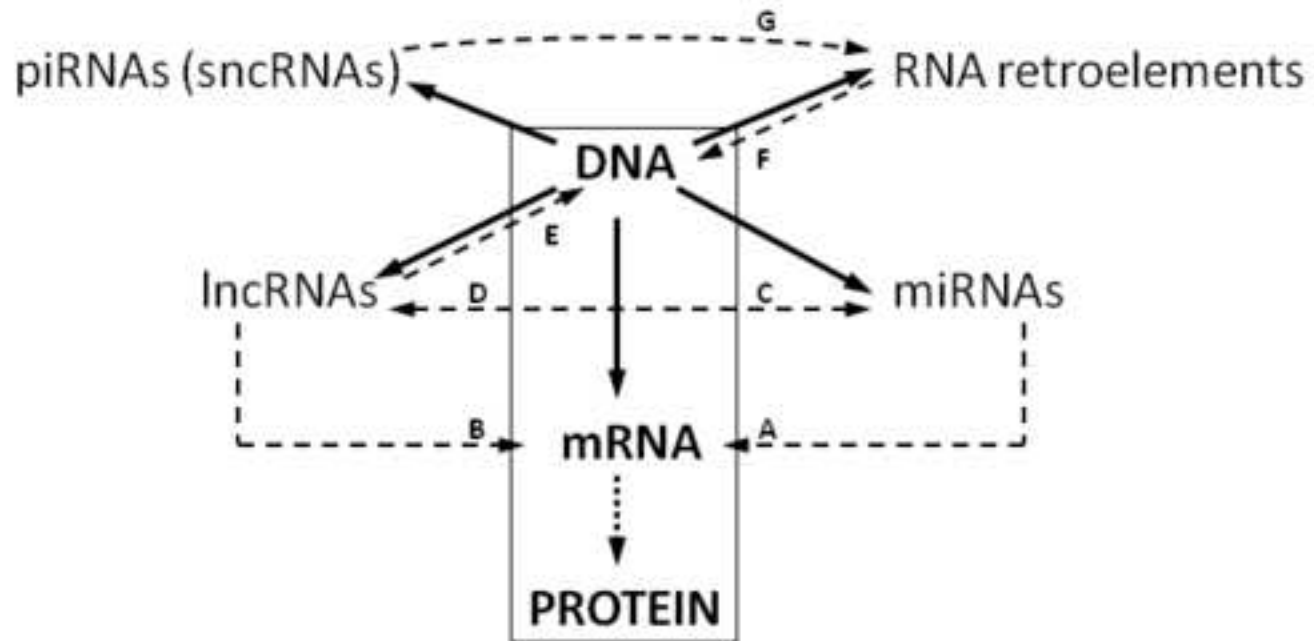


FIGURE 1