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1 **Exercise training prevents diaphragm contractile dysfunction in heart failure**

2
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13
14 **Running head:** Diaphragm dysfunction in HF

15
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26 **ABSTRACT**

27 **Purpose:** Patient studies have demonstrated the efficacy of exercise training in attenuating
28 respiratory muscle weakness in chronic heart failure (HF), yet direct assessment of muscle fiber
29 contractile function together with data on the underlying intracellular mechanisms remain
30 elusive. The present study, therefore, used a mouse model of HF to assess whether exercise
31 training could prevent diaphragm contractile fiber dysfunction, by potentially mediating the
32 complex interplay between intracellular oxidative stress and proteolysis.

33 **Methods:** Mice underwent sham operation (n=10) or a ligation of the left coronary artery and
34 were randomized to sedentary HF (n=10) or HF with aerobic exercise training (HF+AET; n=10).
35 Ten weeks later, echocardiography and histological analyses confirmed HF.

36 **Results:** In vitro diaphragm fiber bundles demonstrated contractile dysfunction in sedentary HF
37 compared to sham mice that was prevented by AET, with maximal force 21.0 ± 0.7 vs. 26.7 ± 1.4
38 and 25.4 ± 1.4 N/cm², respectively (P<0.05). Xanthine oxidase enzyme activity and MuRF1
39 protein expression, markers of oxidative stress and protein degradation, were ~20 and ~70 %
40 higher in sedentary HF compared to sham mice (P<0.05), but were not different when compared
41 to the HF+AET group. Oxidative modifications to numerous contractile proteins (i.e., actin and
42 creatine kinase) and markers of proteolysis (i.e. proteasome and calpain activity) were elevated in
43 sedentary HF compared to HF+AET mice (P<0.05), however these indices were not significantly
44 different between sedentary HF and sham mice. Anti-oxidative enzyme activities were also not
45 different between groups.

46 **Conclusion:** Our findings demonstrate that aerobic exercise training can protect against
47 diaphragm contractile fiber dysfunction induced by HF, but it remains unclear whether alterations
48 in oxidative stress and/or protein degradation are primarily responsible.

49

50 **Keywords:** Myocardial infarction, skeletal muscle, oxidative stress, mouse, CHF, atrophy

51 **Words count:** 265 of 275

52 INTRODUCTION

53 Respiratory (diaphragm) muscle weakness is well established in chronic heart failure (HF),
54 having been demonstrated from patients in vivo (17, 29) and confirmed by animal models in vitro
55 (2, 5, 34). Importantly, weakness of the respiratory muscles in HF is associated with
56 exacerbations in breathlessness, exercise intolerance, and mortality (20), yet our understanding of
57 the underlying mechanisms as well therapeutic interventions remains limited. Evidence suggests
58 diaphragm weakness is underpinned by both muscle atrophy and contractile dysfunction, with the
59 former mediated by an upregulation of catabolic factors (e.g., the E3 ligases MuRF1 and MAFbx,
60 and also the ubiquitin proteasome and calpain systems) (27, 36, 37) and the latter mediated by
61 posttranslational oxidative modifications to intracellular proteins involved in excitation-
62 contraction coupling (4, 9). Current data collected from the diaphragm in animal models of HF
63 provide strong support these alterations are mediated upstream in response to an increased
64 production of reactive oxygen species (ROS) (2, 4, 9, 35), with the key sources suggested to be
65 NADPH oxidase (2), xanthine oxidase (4), and the mitochondria (21).

66

67 Interestingly, the intervention of aerobic exercise training (AET) is an established treatment for
68 limb skeletal muscle dysfunction in HF (6, 19), leading to an array of beneficial adaptations as
69 demonstrated in both animals models and patients, some of which include improved skeletal
70 muscle blood flow and redistribution (31), increased microvascular oxygenation (18), increased
71 capillarity (13), elevated nitric oxide bioavailability (18), reduced inflammatory cytokine levels
72 (15), and increased mitochondrial oxidative capacity (13, 30) - all of which likely conspire to
73 significantly elevate functional capacity (i.e., maximal pulmonary oxygen uptake, critical power,
74 and oxygen uptake kinetics, as reviewed in detail by Ref (19)). In addition, AET in HF has also
75 been shown to alleviate oxidative stress and protein degradation in limb skeletal muscle, thus

76 allowing normal contractile function to be maintained by specifically increasing radical
77 scavenging enzyme activities (i.e. superoxide dismutase and catalase) in parallel with decreasing
78 ROS levels (10, 23) while further reducing the activation of pathways associated with fiber
79 atrophy (i.e., MuRF1, MAFbx, proteasome, calpain systems) (10, 15). However, while patient
80 studies have also demonstrated the efficacy of exercise training in attenuating respiratory muscle
81 weakness in HF (1, 8, 11, 22, 25, 38), direct functional assessment of diaphragm muscle fibers
82 together with data on the underlying molecular mechanisms mediating potential benefits remains
83 elusive.

84
85 The present study, therefore, used a myocardial infarction mouse model of HF in order to assess
86 whether AET could prevent diaphragm contractile fiber dysfunction and also attenuate oxidative
87 stress and proteolysis. We hypothesized that AET would prevent diaphragm contractile
88 dysfunction in HF, which would be associated with significant reductions in both oxidative stress
89 and proteolysis.

90
91 **METHODS**

92 Animals and procedures: C57/BL6 female mice underwent a myocardial infarction (MI) to
93 induce HF or sham surgery, where a surgical silk suture ligated the left anterior descending
94 coronary artery as previously described (4, 27). Mice were subsequently randomized into either
95 sham (n=10), HF (n=10), or HF with aerobic exercise training (CHF+AET; n=10) and were
96 sacrificed 10 weeks after surgery. Exercise was performed on a treadmill and started one week
97 following surgery for a total of 9 weeks (1 h x 5 days/week at 15 m/min with 15° incline), as
98 based on evidence from our laboratory showing this is sufficient to induce beneficial circulatory

99 and muscular adaptations in mice (26, 28). All experiments and procedures were approved by the
100 local Animal Research Council, University of Leipzig (TVV 28/11).

101
102 Heart: As previously described (4, 27), echocardiography was performed in M-mode at 1 and 10
103 weeks post-surgery, with left ventricular end diastolic (LVEDD) and systolic (LVESD) diameters
104 assessed to allow calculation of LV fractional shortening ($LVFS = [LVEDD - LVESD / LVEDD] \times$
105 100). LV infarct size was determined as previously described (4). Briefly, at sacrifice the medial
106 portion of the heart was fixed in 4 % PBS-buffered formalin and serial cross sections ($2 \mu\text{m}$)
107 stained with hematoxylin and eosin were then mounted on glass slides for subsequent analysis.
108 Computer imaging software (Analysis 3.0, Olympus Soft Imaging Solutions GmbH, Münster,
109 Germany) was then used to demarcate the infarct boundary, defined by a significant loss in LV
110 myocardium tissue (i.e., a thinning in the LV wall >2 standard deviations of mean wall
111 thickness). The thinning of the LV wall also corresponded to changes in the contrast of the
112 image, which was used to corroborate infarct boundary determination. Average infarct size (%)
113 was then quantified as the ratio of infarct circumference-to-overall LV circumference.

114
115 Diaphragm contractile function: A muscle bundle from the left costal diaphragm was mounted
116 vertically in a buffer-filled organ bath between a hook and force transducer for measurement of in
117 vitro isometric force (1200A, Aurora Scientific Inc., Aurora, Canada) and stimulated by
118 electrodes over a force-frequency protocol of 1, 15, 30, 50, 80, 120, 150, and 300 Hz
119 respectively, and after a 5 min rest period, a fatigue protocol (40 Hz every 2 s over 5 min), as
120 previously described (4, 5). Specific force (N/cm^2) was calculated after accounting for muscle
121 strip length and weight dimensions.

122

123 Diaphragm molecular analyses: The right costal diaphragm muscle was immediately snap-frozen
124 in liquid N₂ for subsequent molecular analyses, which included: 1) Photometric enzyme activity
125 measurement of xanthine oxidase (XO), catalase, superoxide dismutase (SOD), and glutathione
126 peroxidase (GPX) by commercially available kits in accordance to the manufacturer's
127 instructions (BioVision Inc., Milpitas, USA); 2) A proteomic analysis of oxidative protein
128 modifications of carbonylated proteins quantified by 2D differential in-gel electrophoresis; 3)
129 Western blot to quantify protein expression of MuRF1 and MAFbx; 4) Fluorometric
130 determination of proteasome and calpain activities. Full details of all procedures can be found in
131 previous publications from our group (4, 5, 26, 27).

132
133 Statistical analyses: Data are presented as mean ± SEM. Between-group differences were
134 assessed by parametric (or non-parametric where appropriate) one way ANOVA followed by
135 Bonferroni post hoc test when significance was detected. Force-frequency and fatigue
136 relationships were assessed by two-way repeated measures ANOVA. Significance was accepted
137 as p<0.05. Analyses were performed by SPSS version 22 (SPSS inc., Chicago, USA).

138
139 **RESULTS**

140 Mice characteristics
141 Physical, echocardiographic, and histological characteristics of mice are presented Table 1. Both
142 groups of mice that underwent ligation surgery had significantly impaired cardiac function
143 compared to shams at 10 weeks, as demonstrated by a reduced fractional shortening of ~10% and
144 infarct sizes above 30% (P>0.05), with further signs of pulmonary congestion, evidence of
145 pleural effusion, and increased heart weight, suggesting the development of HF. Importantly,
146 echocardiography revealed that prior to the commencement of the exercise intervention (i.e., 1

147 week post-surgery), cardiac dysfunction was well-matched between the sedentary HF and
148 AET+HF mice but significantly reduced compared to shams, with fractional shortening averaging
149 12 ± 3 , 14 ± 2 , and 34 ± 3 %, respectively.

150

151 Diaphragm contractile function

152 Compared to shams, HF mice developed significant muscle weakness in the diaphragm across a
153 range of frequencies with maximal force reduced on average by 20% (range 10-35%), but this
154 was prevented by AET (Fig. 1A). No significant differences, however, were detected between
155 groups in terms of fiber twitch kinetics (i.e., time to peak tension, half-relaxation time) or
156 fatigability (Fig. 1B).

157

158 Pro/anti-oxidant enzyme activity and oxidative protein modifications

159 A significantly increase in XO activity was found in sedentary HF compared to sham mice (Fig.
160 2A), while no changes were detected in terms of anti-oxidative enzyme activities between groups
161 (Fig. 2B-D). As XO is a key source of ROS, we subsequently attempted to quantify oxidative
162 protein modifications in terms of carbonylation. Our analyses revealed HF+AET mice had a
163 significantly lower carbonylation of the key proteins sarcomeric actin and creatine kinase
164 compared to HF mice (Fig. 3).

165

166 Protein degradation pathways

167 While MAFbx was not significantly different between groups, we detected an elevated
168 expression of the key atrophic marker MuRF1 in HF, but not in AET mice, as compared to sham
169 (Fig. 4A-B). We subsequently assessed key pathways of protein degradation, the ubiquitin

170 proteasome and calpain systems, and found their activity to be reduced in HF+AET compared to
171 HF alone (Fig. 4C-D).

172

173 **DISCUSSION**

174 Our findings show, for the first time, regular aerobic exercise training (AET) prevented
175 diaphragm contractile dysfunction in HF, and when compared to sedentary HF mice, this was
176 associated with significant reductions in both the oxidative modifications of key contractile
177 proteins (i.e., actin and creatine kinase) and the activity of proteolytic pathways associated with
178 muscle atrophy (i.e., ubiquitin proteasome and calpain systems). Interestingly, however, while we
179 did find some evidence that certain markers of oxidative stress and proteolysis were higher in the
180 diaphragm of sedentary HF mice compared to shams, as demonstrated for example by increased
181 XO activity and MuRF1 expression, these measures were not significantly different compared to
182 HF+AET mice, with our data also showing additional indices of oxidative stress (i.e.,
183 carbonylated proteins) and proteolysis (i.e. proteasome and calpain systems) were not
184 consistently elevated in sedentary HF mice vs. shams. Overall, therefore, it remains unclear
185 whether the key mechanism(s) involved in AET protecting the diaphragm from contractile
186 dysfunction in HF is related to alterations in oxidative stress and/or protein degradation.

187

188 Exercise training and respiratory muscle function

189 The close link between respiratory muscle weakness, symptoms, and prognosis in HF suggests
190 the development of therapies focused on improving the main muscle of respiration, the
191 diaphragm, is likely critical (20). In the present study we investigated the therapeutic intervention
192 of AET on the diaphragm in HF, in order to assess whether this could benefit contractile function
193 as well as modulate putative underlying mechanisms related to oxidative stress and proteolysis.

194 To date, numerous patient studies in HF have demonstrated exercise training (whole body or
195 respiratory muscle) can improve inspiratory muscle strength, exercise capacity, and also quality
196 of life (1, 8, 11, 22, 25, 38). Nevertheless, up until now, it remained unknown whether diaphragm
197 contractile function per se improves following exercise training in HF, as patient studies had
198 previously assessed inspiratory muscle strength non-invasively which provides an indirect
199 measure of diaphragm function fraught with limitations. In addition, none of the patient studies
200 provided any underlying molecular and cellular mechanisms explaining the benefits observed
201 after training.

202
203 The current data, therefore, are the first to directly show AET in HF prevents contractile
204 dysfunction in diaphragm fiber bundles, while providing novel evidence on potential underlying
205 mechanisms. Further, our data support the contention that around 10 weeks of AET seem
206 sufficient to induce benefits to the diaphragm, which is in accordance with a patient study where
207 8 weeks of AET improved inspiratory muscle strength (38). Interestingly, we did not find
208 diaphragm fibers to be more fatigable in sedentary HF mice compared to AET+HF and sham
209 mice, with twitch kinetics also not affected. One explanation may be related to calcium function
210 not being altered in our HF mice, as such impairments are known to have a greater influence on
211 force production at low frequencies, on twitch properties, and during fatiguing contractions (24).
212 In contrast, however, it may also be related to the “matched-stimulus” frequency fatigue protocol
213 we employed rather than a “matched-initial specific force” fatigue protocol, with the latter
214 suggested to provide a similar metabolic challenge that is likely a more appropriate assessment of
215 fiber fatigue (14, 20).

216

217

218 Mechanisms preventing diaphragm dysfunction in HF after exercise training

219 It has been suggested that the key mechanisms underpinning diaphragm dysfunction in HF
220 include increased protein degradation (leading to loss of muscle mass) (27, 36, 37) as well as
221 elevated oxidant levels (leading to contractile dysfunction) (4, 9). Interestingly, research directed
222 towards limb skeletal muscle in HF has previously revealed the severity of muscle wasting and
223 contractile dysfunction can be attenuated after AET (6, 19), which is further associated with a
224 reduced expression of atrogenes, lower proteolytic activity, increased antioxidant enzyme
225 activity, improved mitochondrial function, and reduced inflammatory cytokines (6, 19). Indeed,
226 while the present study provided direct evidence that diaphragm contractile dysfunction induced
227 by HF can be prevented by AET, this was not consistently accompanied by a significant
228 reduction in all markers of oxidative stress and proteolysis between the mice that did or did not
229 perform exercise training (e.g., XO were not significantly different, nor were MuRF1 and
230 MAFbx levels). Furthermore, markers of oxidative stress and protein degradation were also not
231 consistently elevated between HF and sham mice. For example, while XO activity and MuRF1
232 levels in the diaphragm were increased in HF mice, oxidized proteins along with proteasome and
233 calpain activity were not significantly different compared to sham mice. The reason for this
234 discrepancy compared to previous studies remains unclear (2, 4, 9, 35), however in the present
235 study it may be related to a low statistical power due to the small sample size of groups (a typical
236 feature of using this animal model) combined with the addition of multiple group comparisons, as
237 statistical significance has usually been achieved in the past with comparison of only two groups
238 (i.e., sham vs. HF) (2, 4, 9, 35). Indeed, when we used a t-test to compare sham and sedentary HF
239 mice, we then found significant differences in terms of carbonylated actin and creatine kinase,
240 proteasome activity, MAFbx protein expression, and also GPX activity. However, our study was
241 designed to detect a statistical difference in our primary variable of interest, that is diaphragm

242 function, as based on previous studies in rodents (2, 4, 9, 35). As such, an increased sample size
243 of groups would likely be required to tease out the dominant molecular mechanisms responsible
244 for protecting diaphragm function in HF following AET.

245
246 Yet, our data do provide some initial evidence that exercise was able to modulate oxidative stress
247 and proteolysis that may have influenced diaphragm contractile function. Specifically, we found
248 both the oxidative modifications of key proteins (i.e., actin and creatine kinase) and the activity
249 of proteolytic pathways associated with muscle atrophy (i.e., ubiquitin proteasome and calpain
250 systems) were significantly lower in the diaphragm of HF+AET mice compared to HF mice
251 alone. These findings likely represent a complex interplay where ROS mediate protein
252 degradation on multiple levels: one by acting as direct signaling molecules to increase rates of
253 proteolysis (e.g. via targeting specific transcription factors such as FOXO and NFκB) (3), while
254 another by targeting proteins for oxidative modifications which then leads to increased
255 proteolytic activity to dispose of these damaged proteins (16). In contrast to previous studies in
256 healthy mice, however, antioxidative enzyme activities were not increased after AET (26, 33),
257 which seemingly excludes a key role for antioxidants in maintaining diaphragm function in HF
258 following exercise training. As such, the present findings suggest that AET in HF targets more
259 upstream mechanisms related to ROS production rather than increasing antioxidant capacity in
260 the diaphragm, and this subsequently influences downstream factors such as oxidative
261 modifications of contractile proteins and upregulation of catabolic factors.

262
263 While further studies are required in HF to elucidate how AET modulates upstream ROS
264 production in the diaphragm, current evidence indicates inflammatory cytokines likely play a key
265 role, with our laboratory showing that AET can prevent TNF- α induced diaphragm dysfunction

266 concomitant with lower oxidative stress and proteolysis (26). As exercise also reduces
267 inflammatory cytokines levels in HF patients (15), we propose that in the present study exercise
268 may have reduced systemic and/or local inflammation that subsequently lowered ROS and
269 proteolytic activity, thus helping maintain normal diaphragm function. This is also supported
270 where 4 weeks of exercise training attenuated respiratory muscle weakness in HF patients in
271 combination with reduced plasma concentrations of inflammatory cytokines (8).

272

273 Limitations

274 We cannot confirm categorically whether AET reversed diaphragm dysfunction or merely
275 maintained function in HF. However, data from our laboratory recently demonstrated that 3 days
276 post myocardial infarction diaphragm function is impaired by ~20%, which was associated with
277 increased oxidative stress but not an upregulation in markers of proteolysis (4). Collectively,
278 therefore, while speculative, data from our laboratory suggest the following events may occur in
279 the diaphragm post infarction: 1) Early response - where at 3 days muscle function is rapidly
280 impaired due to increased oxidation of contractile proteins; 2) Late response - where at 10 weeks
281 following HF development, muscle function is still impaired consequent to elevated proteolysis
282 in combination with increased protein oxidation; 3) AET modulated response – where at 10
283 weeks muscle function is normalized after AET, by potentially limiting in part the initial protein
284 oxidation and the subsequent secondary increase in proteolysis. Nevertheless, in order to confirm
285 such as a notion, a temporal study measuring diaphragm function post infarction is required.

286

287 In addition, we are unable to provide the precise exercise intensity that our mice trained at but it
288 was likely that of moderate (i.e., mice ran ~40 % of their peak treadmill speed). We selected the
289 current exercise training regime based on evidence from our laboratory where we have shown

290 these treadmill speeds are sufficient to induce beneficial circulatory and muscular adaptations in
291 mice (26, 28). Nevertheless, the addition of standard measurements of training adaptations and
292 exercise tolerance (e.g., maximal oxygen uptake, ventilatory variables, blood lactate etc.) would
293 have significantly strengthened the present study in order to better translate our findings to other
294 species and also the clinical setting. As such, future studies will be required to confirm the
295 optimal training intensity and duration required for preventing diaphragm dysfunction in HF.
296 Moreover, we are also unable to confirm whether the molecular alterations associated with
297 exercise are specific to the HF syndrome alone as we did not have a sham group that performed
298 exercise training, while in addition we are unable to rule out the contribution of other key factors
299 not determined in the present study which may have, in part, also contributed to the exercise-
300 related benefits, such as improved calcium handling (7) and increased ROS production from
301 NADPH oxidase (2) and the mitochondria (21).

302
303 Further, while not statistically significant, heart dysfunction was ~25 % more severe in the
304 sedentary HF mice compared to those that performed AET. The reason for this discrepancy
305 remains unclear, as cardiac dysfunction assessed by echocardiography before the exercise
306 intervention at 1 week post myocardial infarction was near-identical between the sedentary and
307 trained HF mice. As such, it remains a possibility that AET conferred some cardiac protection
308 during the training period that attenuated LV infarct size and pump dysfunction (12, 32), which
309 in turn may have contributed to the normalized diaphragm forces we observed in HF+AET mice.
310 Indeed, additional measures of LV dysfunction and the HF syndrome, such as invasive LV filling
311 pressures and those of exercise capacity (30), may have therefore provided greater insight into
312 this question.

313

314 Conclusions

315 Regular aerobic exercise training in mice prevented diaphragm contractile dysfunction in HF, but
316 this was not consistently associated with lower oxidative stress and proteolysis when compared to
317 sedentary HF mice. As such, our findings suggest that while aerobic exercise training protects
318 against diaphragm muscle weakness induced by HF, it remains unclear whether the predominant
319 mechanism underpinning this benefit is mediated by reduced levels of oxidative stress and/or
320 protein degradation.

321

322

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325

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329

330

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431

432

433 **Figure legends**

434 **Figure 1.** In vitro contractile function of diaphragm fiber bundles from sham, heart failure
435 (HF), and heart failure with aerobic exercise training (AET) mice during the force-frequency (A)
436 and fatigue protocols (B). *P<0.05 vs. sham and HF+AET.

437

438 **Figure 2.** Diaphragm enzyme activities of pro and anti-oxidative sources from sham, heart
439 failure (HF), and heart failure with aerobic exercise training (HF+AET) mice, including the
440 putative reactive oxygen species source, xanthine oxidase (A), and the radical scavenging
441 enzymes glutathione peroxidase (B), superoxide dismutase (C) and catalase (D). *P<0.05 vs.
442 sham.

443

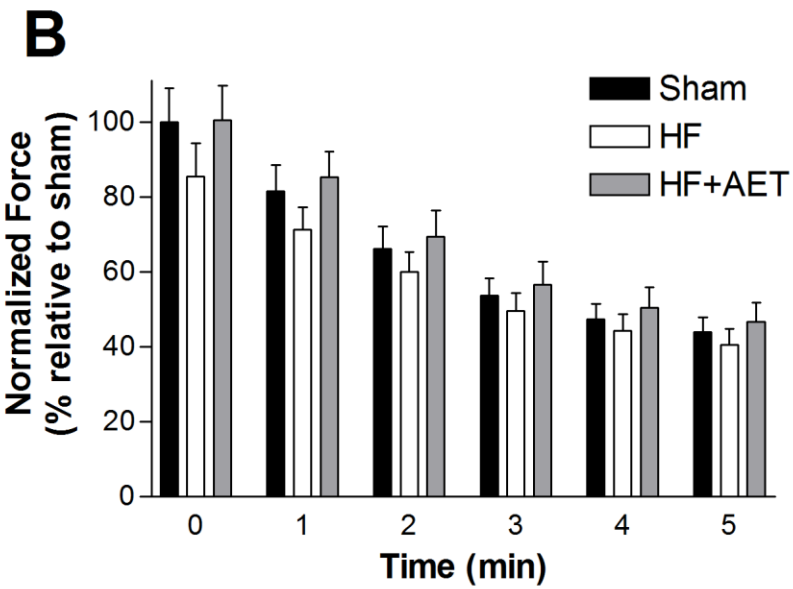
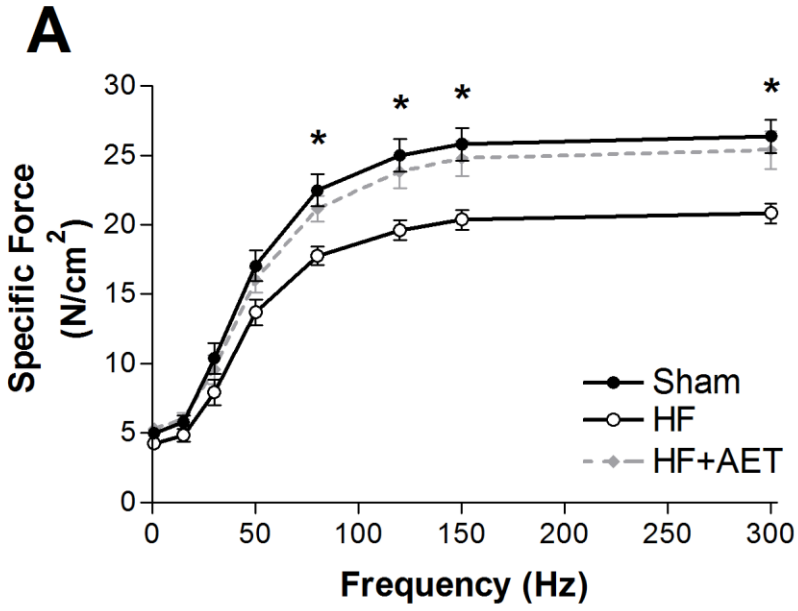
444 **Figure 3.** Protein oxidation (assessed by carbonylation) of sarcomeric actin (A) and creatine
445 kinase (B), as measured in the diaphragm of sham, heart failure (HF), and heart failure with
446 aerobic exercise training (HF+AET) mice. *P<0.05 vs. sham and HF; §P<0.05 vs. HF.

447

448 **Figure 4.** Markers of muscle atrophy in the diaphragm of sham, heart failure (HF), and heart
449 failure with aerobic exercise training (HF+AET) mice, as assessed from the protein expression of
450 the key E3 ligases MuRF1 (A) and MAFbx (B), as well as activity of the proteasome (C) and
451 calpain (D) systems. §P<0.05 vs. Sham; *P<0.05 vs. HF.

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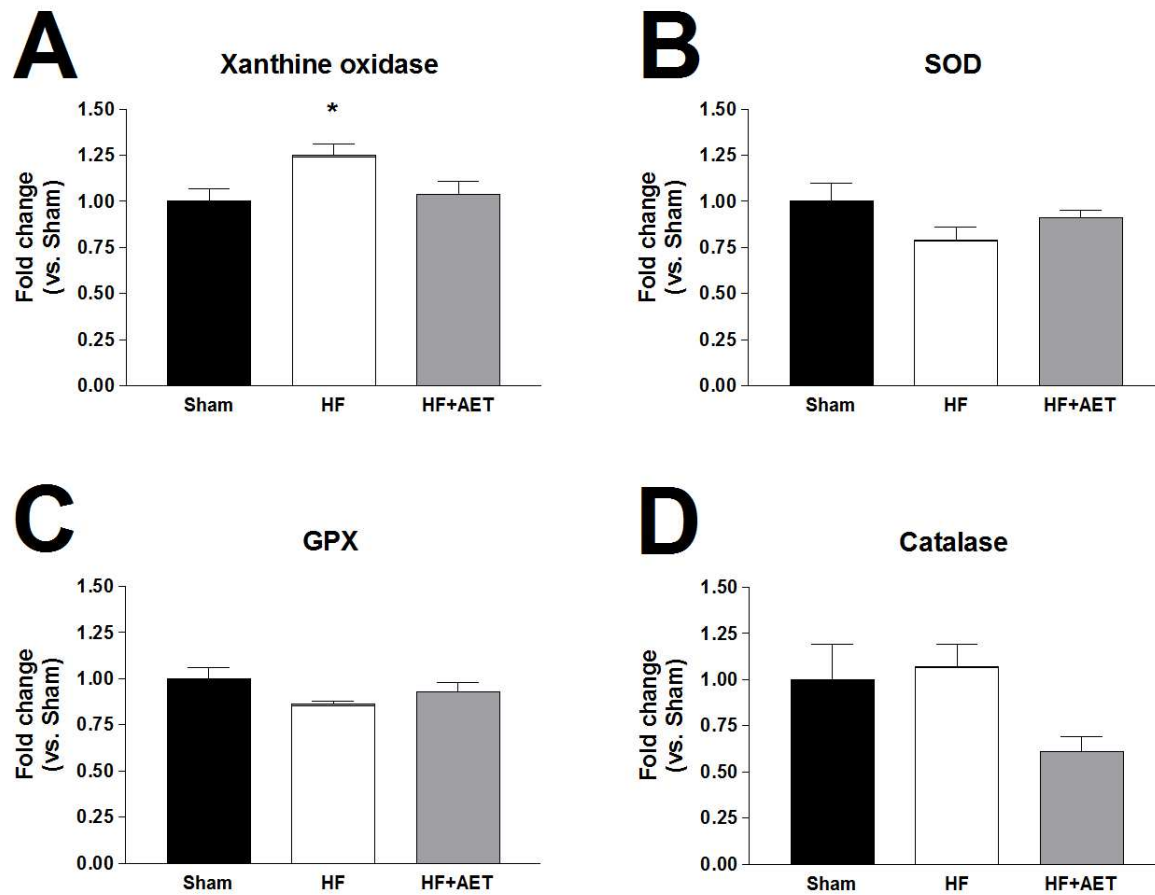
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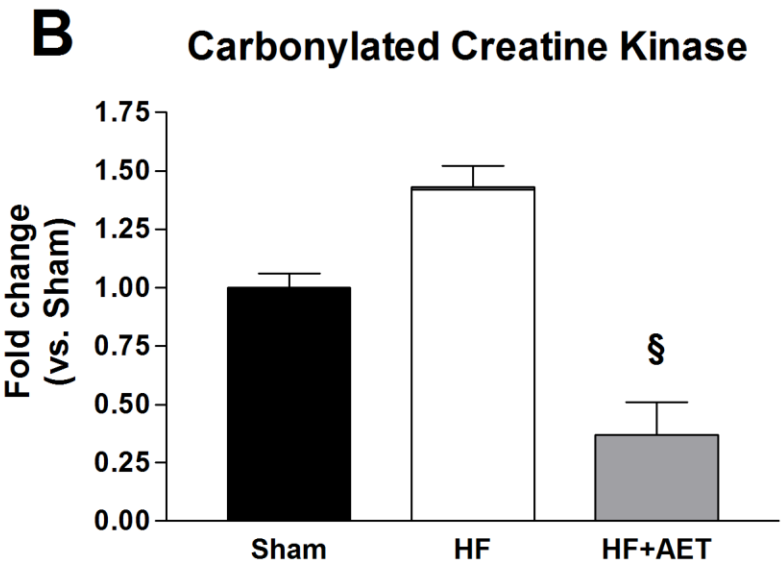
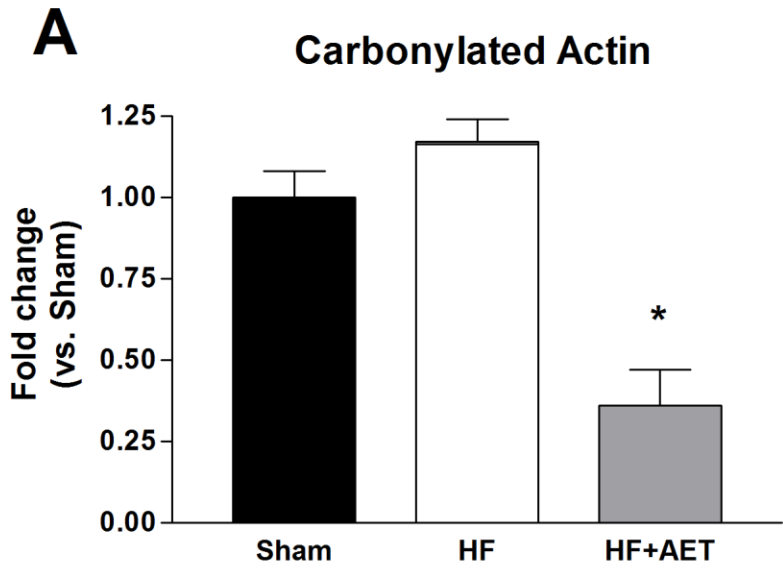
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456 **Fig.1**



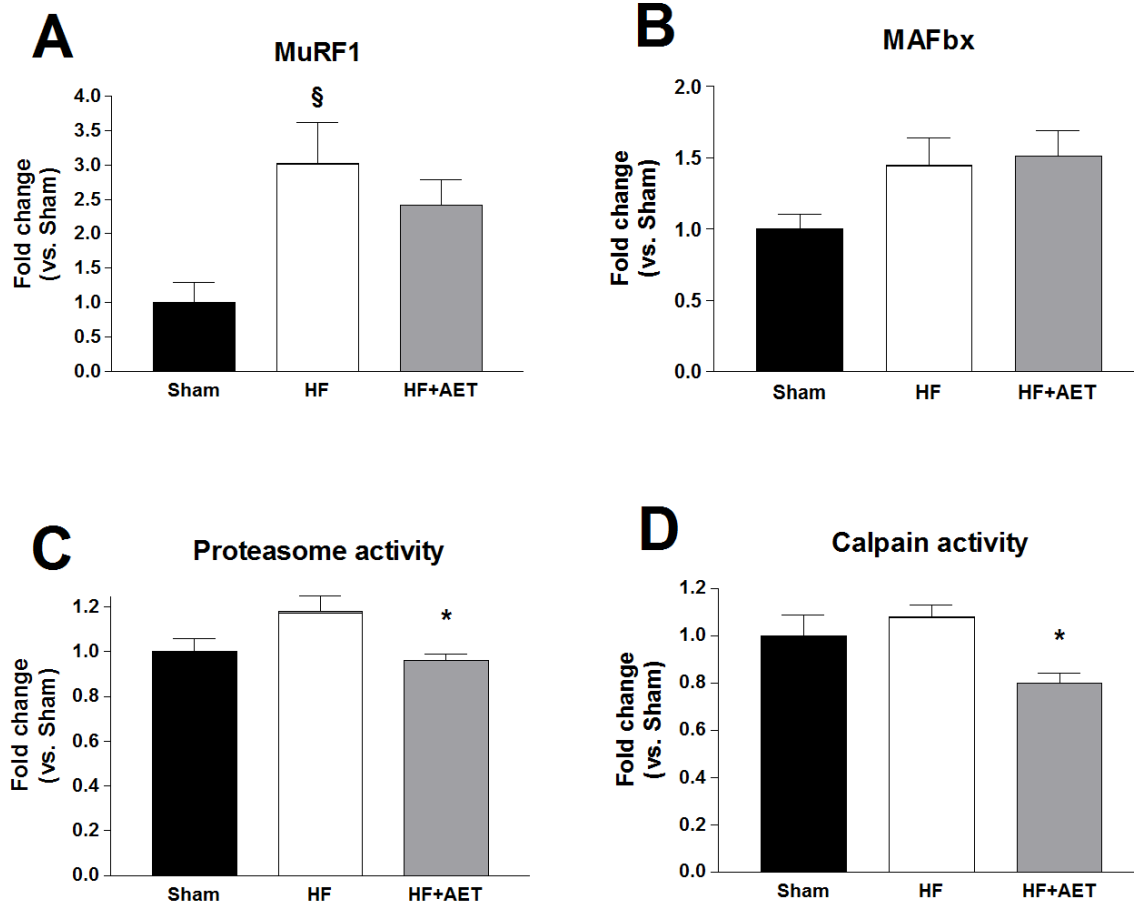
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458 **Fig. 2**



459

460 Fig. 3



461

462 **Fig. 4**