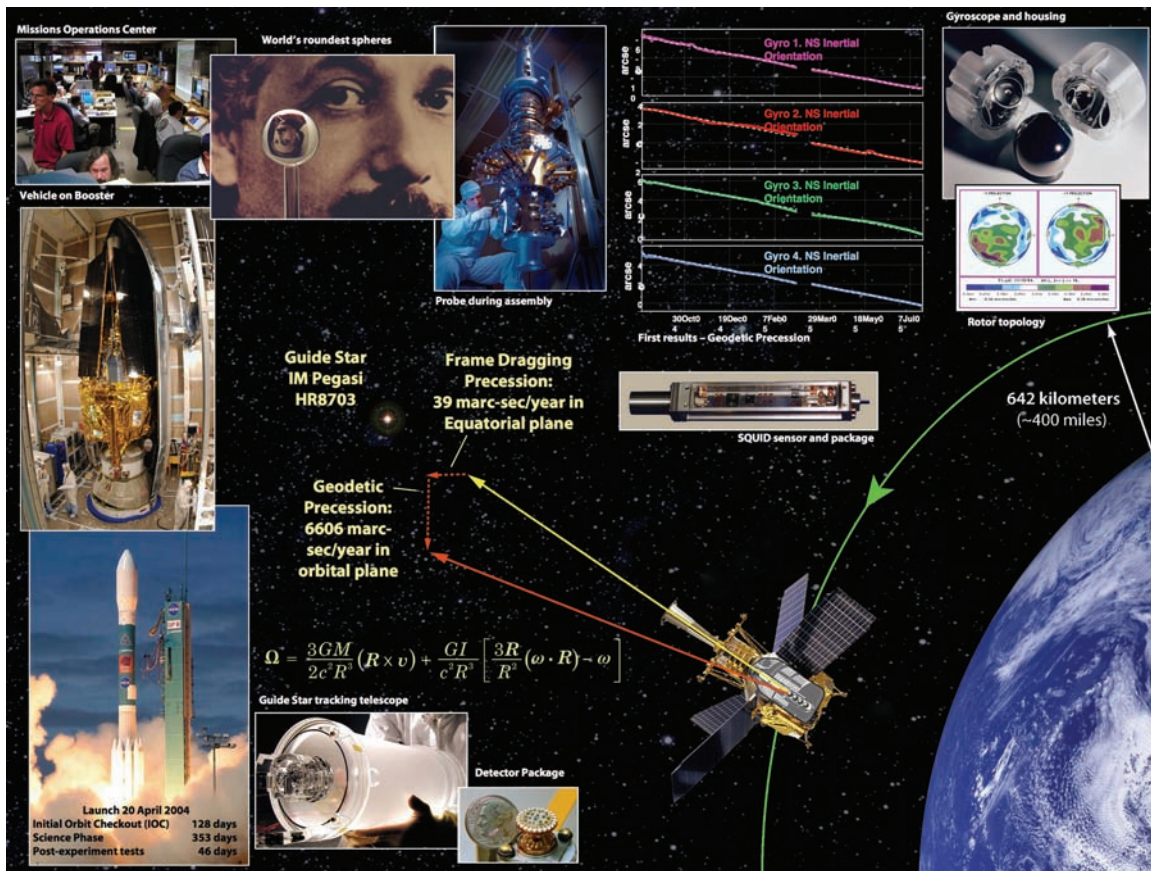


# The Gravity Probe B EXPERIMENT

" TESTING EINSTEIN'S UNIVERSE "

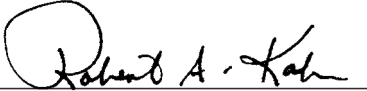


## Science Results— NASA Final Report December 2008

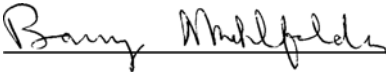


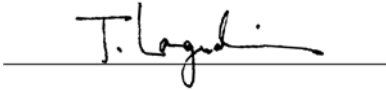
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Tom Langenstein: ITAR Assessment performed. ITAR Control required:  Yes  No

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# Preface

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This Final Scientific Report to NASA on the Gravity Probe B (GP-B) Relativity Mission is written to accompany the Post Flight Analysis Final Report (March 2007, submitted September 2007). It covers results of data analysis from 28 August 2004 when science data gathering began to 30 September 2008 when NASA support under Contract NAS8-39225 ended. During the last period, 15 January 2008 through 30 September 2008, funding was received from three distinct sources:

1. "NASA (\$500K to Stanford University, \$133K to NASA MSFC)
2. "Mr. Richard Fairbank through a donation of \$512K to Stanford University
3. "Stanford University (\$350K directly to the program, ~\$220K indirectly through waiving normal University overhead on the NASA contract)

The objective of GP-B was to design, develop, conduct, and analyze the data of a flight experiment to test two predictions of Albert Einstein's General Relativity Theory: 1) the space-time curvature in the vicinity of the Earth caused by the Earth's mass (geodetic effect), and 2) the dragging of space-time caused by the rotation of the Earth (frame dragging effect). Both have now been clearly measured. The NS drift component yields a consistent determination of the geodetic effect to 0.5%. The frame-dragging effect is plainly visible in the processed data with a present statistical uncertainty of 15%. See the reconstructed relativistic East-West orientations of Figure 6 in the fourth chapter of this Report by M. Heifetz et al.

The data analysis leading up to this important result proved more subtle than expected. 'Patch-effect'<sup>1</sup> anomalies on the gyro rotor and housing have complicated the gyro behavior in two ways, a changing polhode path affecting the determination of the gyro scale factor, and two larger than expected Newtonian torques. Put simply, while mechanically both rotor and housing are exceedingly spherical, electrically they are not. Steadily advancing progress, reported to NASA directly and via successive meetings of the NASA appointed GP-B Science Advisory Committee (SAC) chaired by Professor Clifford Will, has brought a rather complete understanding of these effects. A turning point came in August 2008 with the incorporation of an elegant approach for computing the detailed history of the remarkable "roll-polhode resonance" torques discovered a year earlier by J. Kolodziejczak of NASA MSFC. The result, reported here<sup>2</sup>, was a large reduction in previously unexplained discrepancies between the four gyroscopes.

Much further work remains to bring the analysis to completion. To date, limits in computational power have bounded the processing to essentially one point per 97-minute GP-B satellite orbit. The driving period of the roll-polhode resonance torques is at the difference between the 77.5 sec roll period of the spacecraft and a harmonic of the gyroscope polhode period. High-speed computing techniques now in process will lead to more detailed analyses, and allow GP-B to approach the intrinsic limit of the gyro readout.

Funding for this final effort has been provided from Saudi Arabia through the King Abdulaziz City for Science and Technology (KACST) as part of a recently established Stanford University/KACST collaboration with Professor Charbel Farhat of the Stanford Aero-Astro Department as Co-PI. It is anticipated that completion of this more detailed study, together with publication of a number of

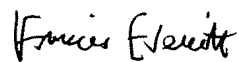
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1. 'Patch effect' is the name given to contact potential differences between different crystalline or contamination regions on a metallic surface

2. The present report is based upon the texts of papers submitted for publication in the international, refereed journal *Space Science Reviews*, and reprinted as hardcover book in the *Space Sciences Series of ISSI* (International Space Science Institute), both published by Springer. These papers follow from a set of invited talks given October 6-10, 2008, by five GP-B team members at the Workshop "The Nature of Gravity: Confronting Theory and Experiment in Space" held at ISSI in Bern, Switzerland.

technology papers, will be approximately June 2010. To maximize the benefit to the scientific and engineering community, and NASA, we plan to make the capstone of the program a conference on Fundamental Physics and Innovative Engineering in Space, in honor of William M. Fairbank who was one of the three vital founders of Gravity Probe B.

We thank NASA for forty-four years of continued support since issuing the first research Grant NSG-582 to the program in March 1964. The March 2007 Report contained an extensive history of GP-B and the NASA personnel who guided it. It is appropriate here to express further special thanks to three individuals, the MSFC Manager Mr. Anthony T. Lyons, the HQ Program Scientist for Physics of the Cosmos Dr. Michael H. Salamon, and the HQ Program Executive Dr. Alan P. Smale. The SAC will continue to meet with us regularly; we are glad that Dr. Salamon has agreed to participate in its meetings.



C.W. Francis Everitt  
Principal Investigator



Bradford W. Parkinson  
Co-Principal Investigator

December 2008

# 1

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## **GRAVITY PROBE B DATA ANALYSIS — STATUS AND POTENTIAL FOR IMPROVED ACCURACY OF SCIENTIFIC RESULTS**

**Francis Everitt et al.**

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# Gravity Probe B Data Analysis—Status and Potential for Improved Accuracy of Scientific Results

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## Abstract

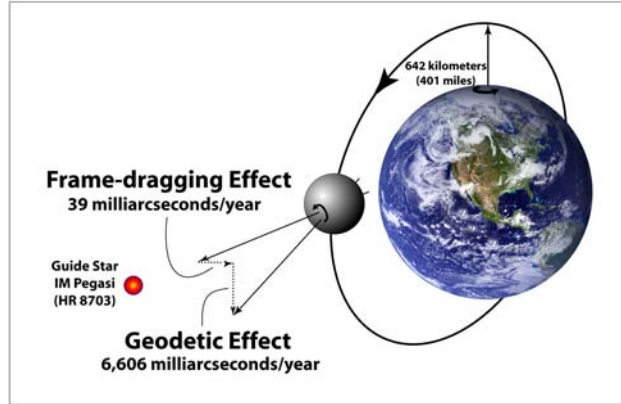
This is the first of five connected papers giving details of progress on the Gravity Probe B (GP-B) Relativity Mission. GP-B, launched on 20 April 2004, is a landmark physics experiment in space to test two fundamental predictions of Einstein’s theory of general relativity, the geodetic and frame-dragging effects, by means of ultra-precise cryogenic gyroscopes in Earth orbit. Data collection began 28 August 2004 and science operations were completed 29 September 2005. The data analysis has proven deeper than expected. Patch effect anomalies on the gyro rotor and housing have given rise to two mutually reinforcing complications:

- 1) A changing polhode path affecting the calibration of the gyroscope scale factor  $C_g$  against the aberration of starlight
- 2) Two larger than expected manifestations of a Newtonian gyro torque due to patch potentials on the rotor and housing.

In earlier papers, we reported two distinct methods, ‘geometric’ and ‘algebraic’, for identifying and removing the first Newtonian effect (‘misalignment torque’), and also a preliminary method of treating the second (‘roll-polhode resonance torque’). Central to the progress in both torque modeling and  $C_g$  determination has been an extended effort from January 2007 on “Trapped Flux Mapping”. A new turning point came in August 2008 when it became possible to include a detailed history of the roll-polhode resonance torques into the computation. The frame-dragging effect is now plainly visible in the processed data. The current statistical uncertainty from an analysis of 154 days of data by the algebraic method is 6 marcsec/yr (~15% of the frame-dragging effect). The systematic error will be added to this statistical uncertainty using the methods discussed in an accompanying paper by Muhlfelder et al. A covariance analysis, incorporating the impact of patch effect anomalies, indicates that a 3 to 5% determination of frame-dragging is possible with a more complete, but computationally intensive data analysis approach.

## 1 The NASA-Stanford Gravity Probe B Mission (GP-B)

In 1960, L. I. Schiff (Schiff (1960)) showed that an ideal gyroscope in orbit around the Earth or other massive body would undergo two relativistic precessions with respect to a distant undisturbed inertial frame: 1) a geodetic precession in the orbit plane due to the local curvature of space time; 2) a frame-dragging precession due to the Earth’s rotation.



**Figure 1.** Predicted precessions of the GP-B gyroscope (North and West are positive in this coordinate system).

The geodetic effect is fundamentally equivalent to the curvature precession of the Earth-Moon system around the Sun first given by W. De Sitter in 1916. The Schiff frame-dragging effect is related to, but not identical with, the dragging of the orbit plane of a satellite around a rotating planet computed in 1918 by J. Lense and H. Thirring (Lense and Thirring 1918). It has, as Thirring observed, connections to the possible relation between General Relativity and Mach's principle.

Schiff's formula for the combined gyroscope precession  $\Omega$  in Einstein's theory is:

$$\Omega = \frac{3GM}{2c^2 R^3} (\mathbf{R} \times \mathbf{v}) + \frac{GI}{c^2 R^3} \left[ \frac{3\mathbf{R}}{R^2} (\boldsymbol{\omega} \cdot \mathbf{R}) - \boldsymbol{\omega} \right]$$

where the first term is the geodetic, and the second the frame-dragging effect, with  $G$  the gravitational constant,  $c$  the velocity of light,  $M$ ,  $I$ ,  $\boldsymbol{\omega}$ , the mass, moment of inertia, and angular velocity of the Earth, and  $\mathbf{R}$  and  $\mathbf{v}$  the instantaneous distance and velocity of the gyroscope. In the polar orbit selected for Gravity Probe B, the two effects are at right angles. Their magnitudes are found by integrating Schiff's formula around the orbit. For the geodetic effect, it is necessary to take into account the oblateness of the Earth which modifies both the orbit and the term itself; a correction investigated first by D. C. Wilkins, then B. M. Barker and R. F. O'Connell (Barker and O'Connell (1970)), and finally, most elegantly, by J. V. Breakwell (Breakwell (1988)). In the 642 km orbit of GP-B, the predicted geodetic precession is -6,606 marc-s/yr and the frame-dragging -39 marc-s/yr. GP-B was designed to measure both to  $< 0.5$  marc-s/yr.

The gyroscope is a spinning spherical body. Conceptually, therefore, Gravity Probe B is simple. All it needs is a star, a telescope, and a spinning sphere. The difficulty lies in the numbers. To reach the 0.5 marc-s/yr experiment goal calls for:

- 1) One or more exceedingly accurate gyroscopes with drift rates  $< 10^{-11}$  deg/hr, i.e. 6 to 7 orders of magnitude better than the best modeled inertial navigation gyroscopes
- 2) A reference telescope  $\sim 3$  orders of magnitude better than the best previous star trackers
- 3) A sufficiently bright suitably located guide star (IM Pegasi was chosen) whose proper motion with respect to remote inertial space is known to  $< 0.5$  marc-s/yr
- 4) Sufficiently accurate orbit information to calibrate the science signal and calculate the two predicted effects

These four constraints led to 10 fundamental requirements on the design of GP-B, and then to 27 distinct experiment subsystems for executing the mission.

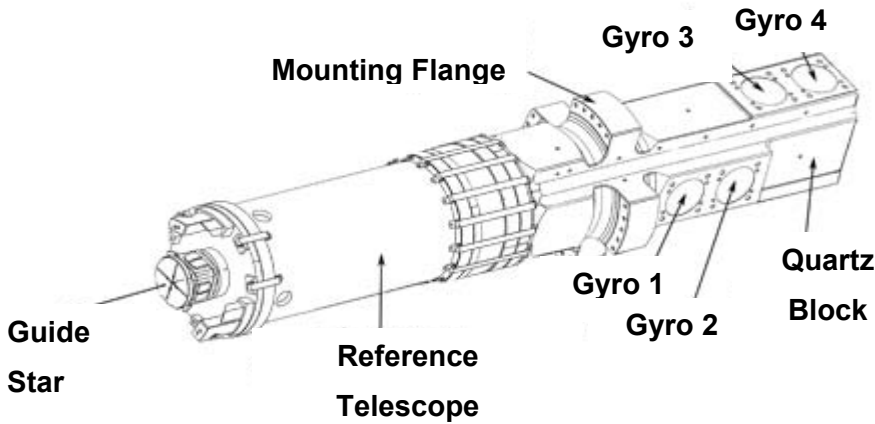


Figure 2. The Gravity Probe B Instrument.

The heart of the instrument is the integrated fused quartz structure, operating at cryogenic temperatures, illustrated in Figure 2. It comprises a 0.14m aperture, 3.81m focal length folded Cassegrainian telescope bonded to a quartz block containing four gyroscopes mounted in line to within 0.1mm of the boresight of the telescope. Two gyroscopes spin clockwise and two counter-clockwise with their axes initially aligned to the star to within a few arc-s. Each gyroscope measures both relativity effects. The spacecraft rolls slowly (77.5s period) around the line to the star. Since the guide star is occulted for almost half of each orbit (see Figure 1), the telescope must reacquire it every orbit.

The instrument is mounted in an evacuated chamber within a 2440l superfluid helium dewar (1.8 K) fabricated by Lockheed Martin (Figure 3) (Parmley, Goodman et al. 1986).

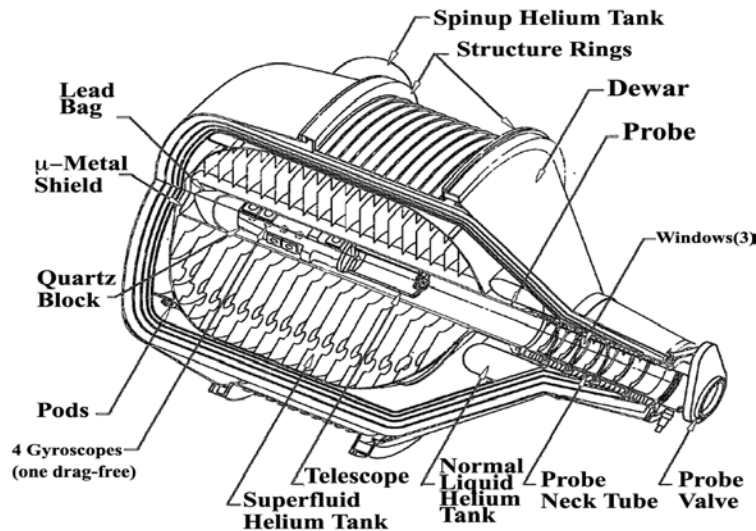
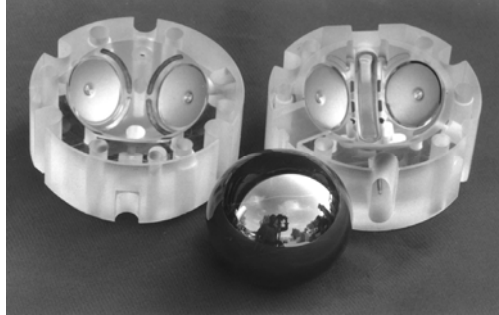


Figure 3. The Flight Dewar.

The boil-off gas provides thrust for attitude, translational and roll control of the spacecraft. With a pointing of 150-250 marc-s, reaching the  $< 0.5$  marc-s/yr experiment goal requires accurate subtraction of the gyroscope signal  $G$  from the telescope signal  $T$ . Thus, in addition to near perfect telescope and

gyroscopes, we face the challenge of accurately matching the two scale factors to make valid  $\mathbf{G} - \mathbf{T}$  subtractions, and as will appear, an interesting separate process of calibration for  $\mathbf{G}$ .

The gyroscope (Figure 4) comprises a near perfect niobium-coated fused quartz sphere (3.81 cm dia) electrically suspended about its geometric center in a spherical quartz housing.



**Figure 4.** Gravity Probe B gyroscope.

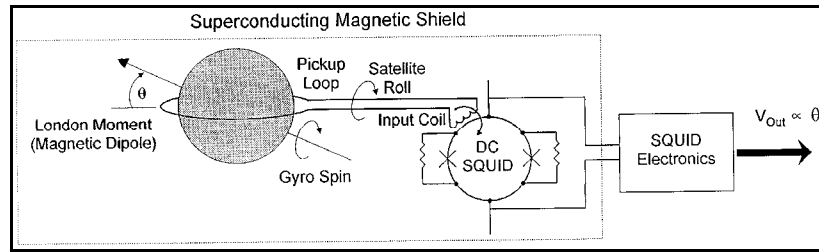
A simple argument reveals the benefit of space. Let the maximum allowed Newtonian drift rate be  $\Omega_0$  ( $\sim 2 \times 10^{-17}$  rad/s for a  $< 0.5$  marc-s/yr experiment). Picture a perfectly spherical, but not perfectly homogeneous, rotor with its mass center a distance  $\delta r$  from the geometric center. An acceleration  $f$  at right angles to the spin axis will cause a torque  $\mathbf{T} = M(\delta \mathbf{r} \times \mathbf{f})$  yielding the requirement

$$f \frac{\delta r}{r} < \frac{2}{5} v_s \Omega_0,$$

where  $v_s$  is the peripheral velocity of the rotor ( $\sim 10$  m/s). Inserting numbers, one finds for an experiment on Earth ( $f = 1$  g) the ridiculous homogeneity requirement  $6 \times 10^{-18}$ . For a satellite, the acceleration level from air drag, solar radiation pressure, etc. might typically be  $f \sim 10^{-8}$  g which yields the still exceedingly difficult requirement  $\sim 6 \times 10^{-10}$ . This brings us to the ‘drag-free’ concept first suggested in 1959 by G. E. Pugh (Pugh (1959)), and then extensively developed at Stanford University. The gyroscope, being shielded from drag, tends to follow an ideal gravitational orbit. By sensing its position inside the satellite and applying compensating thrust, the net acceleration is further reduced to  $f \sim 10^{-11}$  g. The resulting homogeneity requirement is  $6 \times 10^{-7}$ ; the homogeneity of the actual rotors was  $3 \times 10^{-7}$ . A parallel argument holds for suspension torques acting on the out-of-roundness of the gyro rotor, and is met experimentally with an equally satisfactory margin.

Having a rotor spherical and mass-balanced to 10 nm poses a readout problem; for how is one to measure, with marc-s accuracy, the direction of spin of a perfectly round, perfectly homogeneous unmarked sphere? The key is cryogenics. Below 9K, the rotor’s niobium coating is superconducting; it generates a small dipole magnetic moment, the London moment ( $M_L$ ) (London (1960)) proportional to spin, aligned with the instantaneous spin axis.

Detection is by a highly sensitive SQUID magnetometer coupled to a 4-turn thin film superconducting coil patterned on the flat surface of the left-side housing half (Figure 4). Figure 5 indicates how the amplitude and phase of the misalignment angle are determined at the spacecraft’s 77.5 s roll period (Muhlfelder, Lockhart et al.(2003)).



**Figure 5.** London Moment Readout

Because the rotor is cooled initially in a finite field, superimposed on the London moment and complicating the readout process is some trapped magnetic flux. See Section 3 below.

Cryogenics helps in three other ways: magnetic shielding, ultra-high vacuum, and mechanical stability.

- Magnetic Shielding:** The shielding has two formidable requirements, (i) a dc field level of  $10^{-7}$  gauss to reduce trapped flux in the rotor to  $\sim 1\%$  of the London moment, and (ii) 240db ac attenuation to isolate the gyroscopes from varying external fields. The  $10^{-7}$  gauss dc field was obtained by an expanding lead-bag technique devised by B. Cabrera (Cabrera (1982)) and applied to GP-B by M. Taber, J. Mester, J. Lockhart, and colleagues (Mester, Lockhart et al. (2000)); ac shielding was implemented with a succession of nested Cryoperm and superconducting shields including transverse cylindrical shields around each gyroscope. Among the engineering challenges, two were critical, inserting the warm probe into a cold dewar, and ensuring that the probe itself was non-magnetic. Insertion was a severely disciplined 24-hour-long process requiring a sophisticated airlock (or rather helium lock). As for the probe itself, this took an even more disciplined process in which every component, tool, and manufacturing procedure was subject to appropriate limits and testing. An engineer in good position to judge (R. Parmley of Lockheed Martin) has estimated that 30% of the cost came from meeting the magnetic requirements (Parmley, Bell et al. (2003)).
- Ultra-high vacuum:** To spin a gyroscope, a torque must be applied. For GP-B, the only viable technique is by helium gas run at sonic velocity through the differentially pumped channel in the right-side housing half (Figure 4). The spin-up gas and torque are then switched off. Let the spin torque be  $T_s$  and its residual value after spin-up be  $T_r$ . With spin-up time  $t_s$  and the previously stated Newtonian drift limit  $\Omega_0$ ,  $T_r / T_s$  has to be  $< t_s \Omega_0$ , i.e.  $< 10^{-13}$ , another formidable requirement and one that makes cryogenic operation seem at first disadvantageous since at a given pressure, gas torques increase as the temperature is lowered. The key is 'low-temperature bakeout' (Turneure, Cornell et al. (1982)). At 2.5K, everything except  $\text{He}^3$  and  $\text{He}^4$  is frozen out. By raising the temperature from 2.5K to 6K for 10 hours, any adsorbed gas is driven off from the exposed surfaces, first allowed to be exhausted to space and then taken up in a large cryopump after the valve to space is closed. Tests on orbit showed that the pressure in the chamber after bakeout was  $< 10^{-14}$  torr, perhaps the lowest ever attained in a scientific instrument.
- Mechanical stability:** Two obvious concerns have been: (i) possible thermal distortion of the telescope/quartz block structure, and (ii) viscoelastic creep in the shape of the gyro rotor under the surprisingly large centrifugal acceleration from spin. Both matter at room temperature; both nearly vanish at 2.5K. At the 230K ambient satellite temperature, sunlight falling on the side of the telescope would shift the star image many arc-s. The greatly reduced expansion coefficient, and greatly improved thermal isolation in the GP-B dewar, together eliminate any significant image motion. Likewise with creep. Metal rotors at room temperature have been known to change shape significantly after 100 days. Fused quartz at 2.5K has a viscoelastic time well in

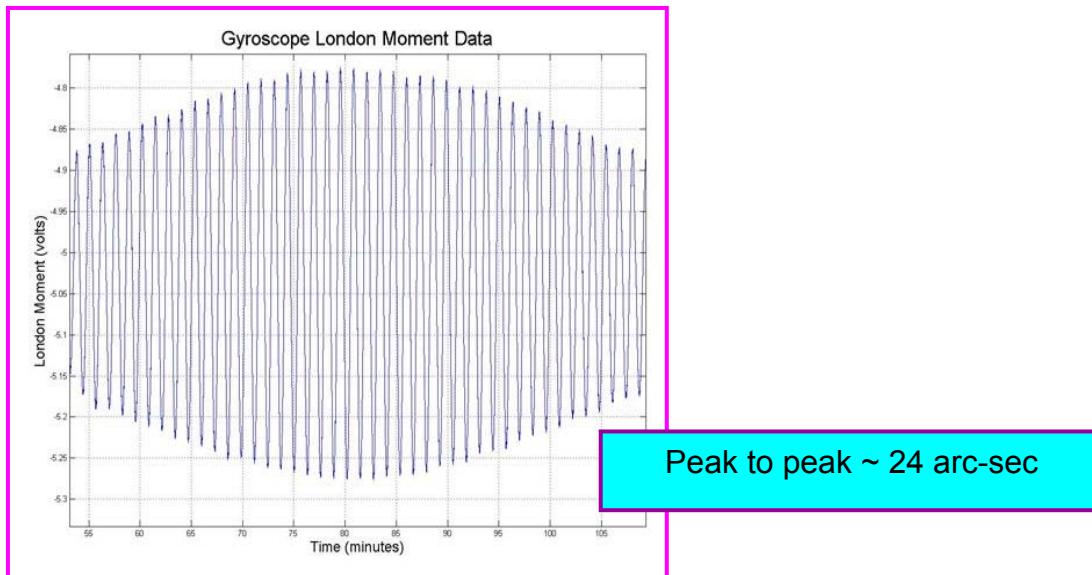


excess of 1000 years; no detectable change can occur. One further interesting aspect of cryogenic operation is the reduced thermal relaxation time; the GP-B gyro rotor equilibrates thermally in seconds, not minutes.

Issues of mechanical stability are, as H. A. Rowland remarked 120 years ago, the nightmare of precision measurement. In GP-B, not only do cryogenics help; so does space. On Earth, the GP-B telescope cantilevered under its own weight sags causing a 130 marc-s displacement of the image. In space, such distortions vanish.

A focused 0.14m aperture diffraction-limited telescope has a 1.8 arc-s Airy disk corresponding at 3.81m focal length to an image diameter of  $\sim 30\mu\text{m}$ . Measurement to  $< 0.5$  marc-s requires image division at the nm level, yielding two main design constraints, one on pointing, the other on the sharpness of the image divider. First, two star images are formed by means of a beam splitter. These then separately fall on the edges of two roof prisms to give reference signals in two orthogonal directions from the intensities of the beams reflected from the prisms. The criteria determining performance are photon noise and the sharpness of the prism edge. For IM Pegasi (5.9 magnitude), the effective pointing accuracy determined by photon noise was  $\sim 50$  marc-s. With that, as already remarked, an accurate gyro-telescope subtraction ( $\mathbf{G} - \mathbf{T}$ ) becomes necessary. Two separate methods have been used. In the first, dither signals of known periods ( $\sim 30\text{s}$  &  $\sim 40\text{s}$ ) and amplitudes  $\sim 60$  marc-s were injected into the pointing servo, and then demodulated. In the second, correlation functions were drawn on the natural pointing variations. The agreement between the two methods on orbit was excellent.

The output of the London moment readout is a voltage which, though proportional to angle, depends on several experimental parameters and hence is not directly in angular measure. Nature itself provides the calibration signal. The apparent position of the guide star varies by known amounts through the Earth's motion around the Sun (annual aberration) and the spacecraft's motion around the Earth (orbital aberration). Because the telescope is held pointing at the guide star, these aberration signals appear in the output of the gyroscope. They provide exact cross-checking calibrations of the gyro scale factor  $\mathbf{G}$ . Recalling that the line of sight to the star is occulted by the Earth for nearly half of each orbit (Figure 1), we define two parts for each orbit, Guide Star Valid (GSV) and Guide Star Invalid (GSI). Figure 6 illustrates data from one GSV period. The 5 arc-s orbital aberration envelope is clearly visible superimposed on the output of the gyroscope modulated at the 77.5s roll period.



**Figure 6.** Gyroscope London Moment Data Over One Guide Star Valid Period.

Gravity Probe B was launched from Vandenberg Air Force Base, California on 20 April 2004. The dewar stayed cold on orbit for 17 months and 9 days, surpassing the 16½ month design lifetime. Initial Orbital Checkout (IOC) took 128 days during which time extensive calibration tests re-verified numerous pre-launch performance measures. Spin-up of the four gyroscopes was completed on 14 July 2004; science data collection began 28 August 2004. A final Post-Science Calibration Phase, starting 14 August 2005 and ending with the depletion of the dewar on 29 September 2005, involved tests in which certain potential systematic effects were deliberately enhanced. These included, for example, pointing the spacecraft for limited periods at other real or virtual guide stars to investigate the magnitude of misalignment torques.

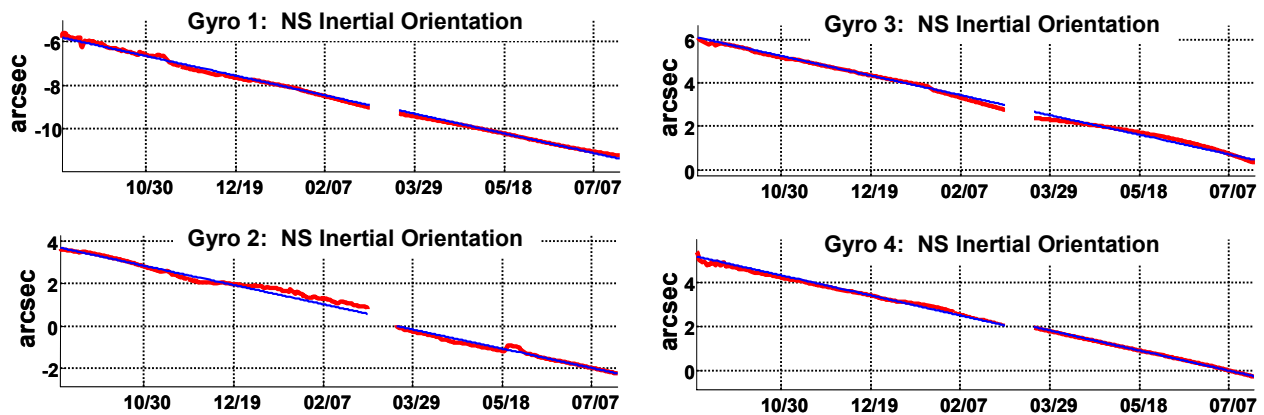
## 2 The Actual vs. the Ideal Gravity Probe B

Our aim for GP-B was an apparatus where all disturbances would be vanishingly small so that all four gyroscopes would agree to within the  $<0.5$  marc-s/yr design requirement. Since the gyroscopes have different mechanical and electrical parameters, and function in different magnetic, electric, and acceleration environments, that four-way agreement, if achieved, would be exceedingly powerful. Other fundamentals included rolling the spacecraft about the line to the star for torque averaging and removing  $1/f$  noise in the readouts, and the expectation of  $<10^{-12}$ g cross-axis average of the drag-free control, and  $<5$  marc-s pointing accuracy at roll period. With a series of ground-based and IOC tests, along with known natural variations during the Science Phase and calibrated increases of potential systematic effects during the Calibration Phase, GP-B would become the most rigorously validated of all tests of Einstein's theory. That aim, though attained by different means in the actual as against the ideal GP-B, is one we still hold to.

Ideally, also there would be no data interruptions other than the once per orbit occultations of the guide star. With relativity signals increasing as time  $t$ , and readout noise averaging as  $t^{-1/2}$ , the measurement accuracy should then advance as  $t^{3/2}$ , a result slightly modified by the process of calibrating the gyroscope scale factor against the aberration of starlight. We knew, however, that interruptions of data would probably occur, and had a provision for dealing with them (Duhamel (1984)).

Many of the ideal conditions were met. The performances of the telescope and dewar met or beat expectation. The launch vehicle delivered GP-B into, as close as could be imagined, an ideal orbit. The gyroscopes were nearly  $10^6$  times better than the best inertial navigation gyroscopes. The UV discharge of electric charge on the rotors proved easier than expected. The low field and trapped flux levels remained constant through the year. The SQUID noise in the gyro readouts was actually less than the pre-launch expectation, reaching levels corresponding to ideal measurements ranging from 0.14 marc-s/yr for Gyro 3 to 0.35 marc-s/yr for Gyro 4. Nevertheless, some deviations from the ideal did occur, of which the most important were two mutually reinforcing complications in gyro behavior, a changing polhode period affecting the determination of the scale factor, and two larger than expected forms of Newtonian torque, known respectively as the 'misalignment' and 'roll-polhode resonance' torques.

Figure 7 (April 2007) shows what is effectively raw data from the four gyroscopes in the North-South (orbital) plane. The geodetic effect is at once obvious, but so are a number of unexplained wiggles. The mean  $1\sigma$  result is  $-6,638 \pm 97$  marc-s/yr (Everitt (2007)). After subtracting corrections for the solar geodetic effect ( $+7$  marc-s/yr) and the proper motion of the guide star ( $+28 \pm 1$  marc-s/yr), the result is  $-6,673 \pm 97$  marc-s/yr, to be compared with the predicted  $-6,606$  marc-s/yr of General Relativity. The East-West results required for frame-dragging were at this stage inconclusive. Remarkably, it has proved possible to provide detailed understanding of all the more important disturbing effects, and provide Newtonian methods for rigorously removing them.



**Figure 7.** Direct measure of the North-South (NS) geodetic precession.

The original ideal  $<10^{-11}$  deg/hr GP-B gyroscope depended on making seven distinct quantities simultaneously ‘near zero’. Three, homogeneity, sphericity, and electric dipole moment were properties of the rotor. Three others, drag-free acceleration, pressure, and magnetic field level, were properties of the environment. The seventh concerned maintaining the electric charge on the rotor (due either to lift-off or cosmic ray impacts) at an acceptably low level. Table 1 gives the requirements set before launch. Detailed on-orbit investigations showed that six of the seven were met, or even surpassed, but with regard to the electric dipole ‘near zero’, there was an issue, or to be more exact, a double issue due to the patch effect.

Rotor Properties		
• Density homogeneity	$<6 \times 10^{-7}$	met
• Sphericity	$< 10$ nm	met
• Electric dipole moment	$< 0.1$ V-m	<b>issue</b>
Environment		
• Cross track acceleration	$< 10^{-11}$ g	met
• Gas pressure	$< 10^{-12}$ torr	met
• Magnetic field	$< 10^{-6}$ gauss	met
Mixed		
• Rotor electric charge	$< 10^8$ electrons	met

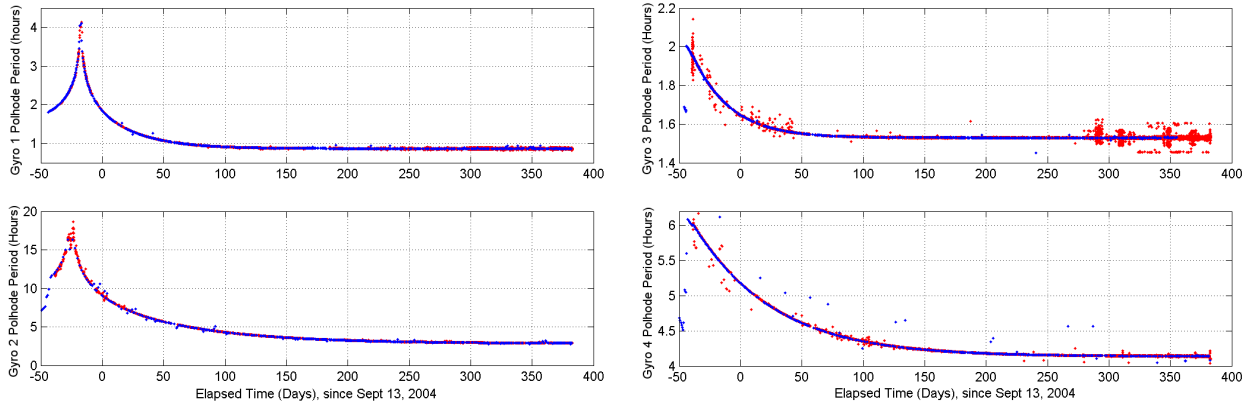
**Table 1** The Original Seven Near Zeros

‘Patch effect’ is the name given to contact potential differences between different crystalline or contamination regions on a metal surface (Darling (1989)). The concern prior to launch was of patches on the rotor creating a net overall dipole moment which would interact with the gyro suspension voltages to cause a torque. Kelvin probe measurements on flat samples indicated crystals so minute that any such effect would vanish. This conclusion was, as later UV scanning measurements on one flight-quality rotor revealed, incorrect, but gradually, more important, it became evident that individual patches on the rotor could interact with nearby patches on the housing causing significant disturbing forces. Put simply, while mechanically both rotor and housing are exceedingly spherical, electrically they are not. These patch effect terms are now known to explain the two classes of anomalous Newtonian torques, and quite probably also the changing polhode period just referred to.

Pre-launch calculations had given strong reason that the polhode periods of the gyroscopes would remain fixed throughout the mission. The discovery, a few days into the Science Phase, illustrated in Figure 8, that they were changing was a considerable surprise. With Gyros 1 and 2, the initial 1.8 and 6 hour



periods increase rapidly, reaching separatrices with extremely high periods after 20 to 30 days, and then decrease progressively to  $\sim 1$  and  $\sim 3$  hour asymptotic values over the rest of the year. They began by spinning at or near their minimum moments of inertia, pass through their intermediate moments at the separatrix, and finally approach spinning about their maximum moments. With Gyros 3 and 4 initially spinning more nearly around their maximum moments, there is a steady decrease to asymptotic periods of 1.55 and 4.2 hours. Observe that while the body axes are going through these large motions, the angular momentum of the gyroscope is unaffected.

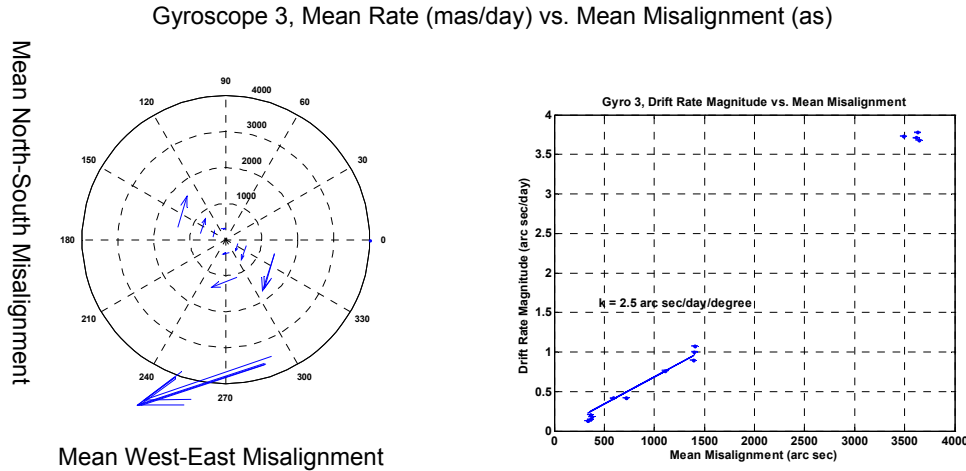


**Figure 8.** Polhode period history of GP-B gyros: red from HF FFT, blue from snapshots.

Why does a changing polhode period matter? The answer comes in the process of calibrating the gyro scale factor against the aberration of starlight. To perform a  $<0.5$  marc-s/yr measurement in the presence of a 6.6 arc-s/yr geodetic effect, 5 arc-s orbital aberration, and 20 arc-s annual aberration, one needs a readout scaled correctly to 1-2 parts in  $10^5$ . Now the signal used to provide that calibration is the SQUID readout data itself, which is taken during the half-orbit GSV segments. Reaching the  $\sim 10^{-5}$  accuracy necessarily depends on making exact connections between the data from successive half-orbits. The changing polhode period complicates this process owing to the presence of the trapped flux in the rotor. Details of the two methods of dealing with this problem are given in the accompanying paper, “Polhode Motion, Trapped Flux, and the GP-B Science Data Analysis” by A. Silbergleit et al. (Silbergleit et al. (2009)).

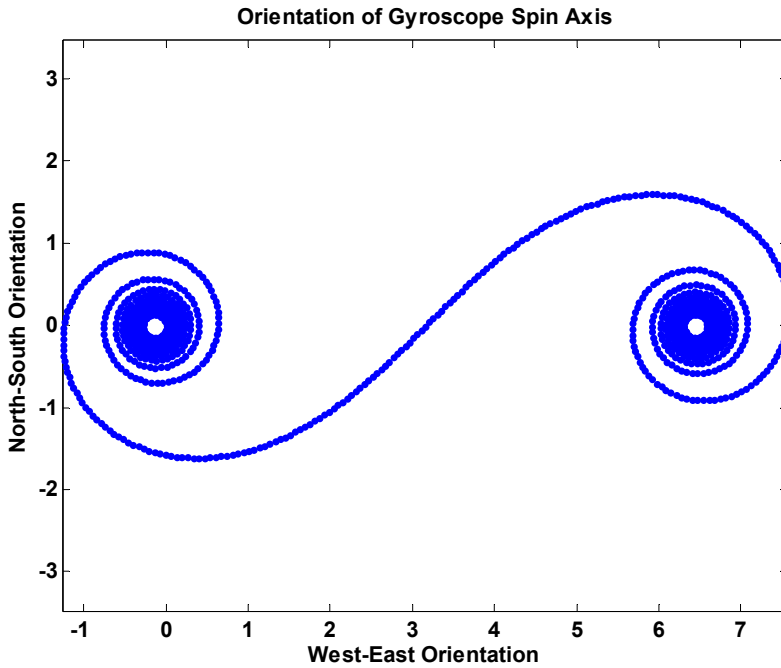
The accompanying paper by G. M. Keiser et al. “Misalignment and Resonance Torques and Their Treatment in the GP-B Data Analysis” (G. M. Keiser et al. (2009)) details these two unfolding surprises. Briefly, the story is as follows. In pointing the spacecraft off to a series of real and virtual stars at much larger angles to the gyro spins during the Calibration Phase, we observed much larger than expected gyro drift rates (Figure 9). The rate proved to be proportional to the mean misalignment when  $<1^\circ$ , and always in the direction perpendicular to this misalignment. The data provided a useful initial calibration of these torques, but not one sufficiently accurate for the final analysis. In Section 3, we describe the methods by which more advanced calibrations are achieved.

An interesting aspect of GP-B is that whereas the guide star is only visible for about half the orbit, gyro-to-gyro comparison signals can be processed continuously even during the GSI phase. The roll-polhode resonance torque was discovered in April 2007 by J. Kolodziejczak through just such comparisons. From time to time, the spin direction of one of the gyroscopes would shift over the course of a few days by angles of 20 or more marc-s with no such effect in the other three.



**Figure 9.** Mean Drift Rate vs. Misalignment for Gyroscope 3 During Calibration Maneuvers

Further investigation revealed that these shifts took place at times when there was a high-order resonance between the slowly changing polhode period and the 77.5s satellite roll period. As Keiser et al. show, the gyro displacement broadly follows the Cornu spiral pattern of Figure 10.



**Figure 10.** Expected Change in Orientation due to Resonance Torques. The units in this figure are dimensionless but are equal in the North-South and West-East directions.

### 3 The Evolving Data Analysis Process

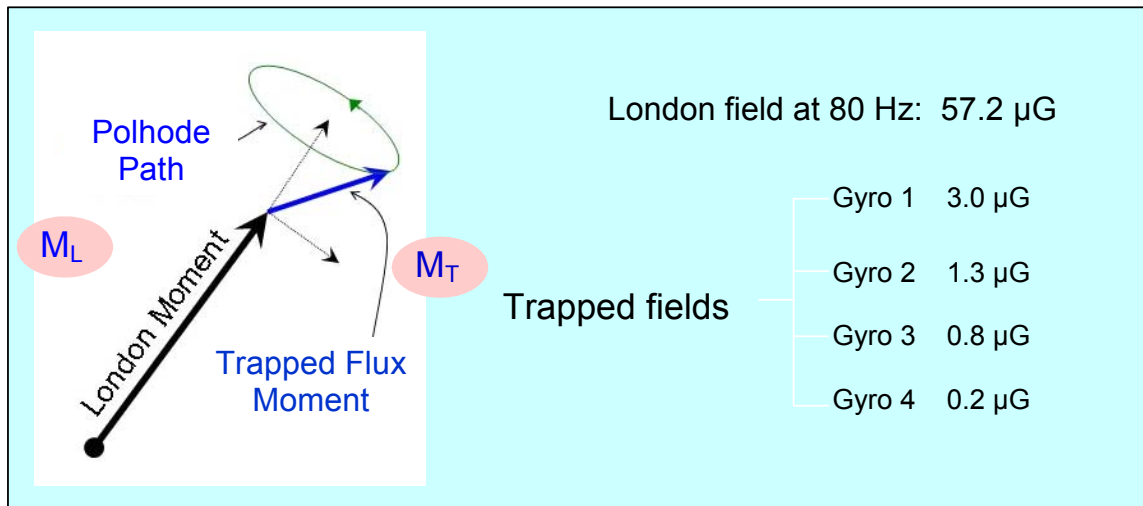
The geodetic effect, being in Einstein’s theory 170 times larger, is naturally easier to separate from Newtonian torques and other disturbances than the frame-dragging effect. As early as September 2005, it was visible in the raw data, or more exactly, in the data after an initial processing in which the aberration

signals were used for approximate calibration, and then removed from the plotted curve (compare Figure 7).

Two complementary data processing methods, called for convenience ‘geometric’ and ‘algebraic’, emerged as the analysis proceeded. The algebraic method, partially set up before launch, depends on a sophisticated filtering process with explicitly modeled torques. The geometric method, begun in August 2006, has the immediate benefit of illuminating why a clean separation of relativity from the misalignment drift is possible. The relativity signal is in a fixed direction in inertial space; the misalignment drift, depending as it does on spacecraft pointing, is varied over the course of a year in an exactly known way by the annual aberration of starlight. Define two directions, varying in inertial space, one *radial*, parallel to the misalignment, and the other *axial*, at right angles to it. The relativity signal can be resolved into a radial term free of classical drift, and an axial one, where the two are superimposed.

The geometric separation of relativity from the misalignment drifts proved strikingly effective, and has gone through a number of refinements. An essential feature in it and the corresponding ‘algebraic’ treatment is the need for a continuous history of the misalignment through both GSV and GSI periods. During GSI, accurate pointing information is obtained from the science gyros themselves.

The misalignment torque is, as already noted, only one of the three principal data analysis issues, the others being accurate calibration of the gyro scale factor  $C_g$  and treatment of the roll-polhode resonance torques. Both depend on a detailed process of Trapped Flux Mapping, begun in November 2006 and now complete.

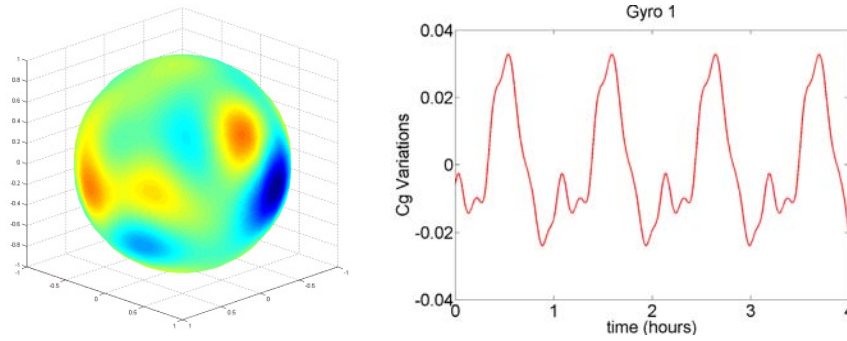


**Figure 11.** Ideal vs. Actual London Moment Readout.

Figure 11 illustrates the trapped flux in the rotor, and its effect on the gyro scale factor  $C_g$ . Superimposed on the London moment is a flux ranging from 0.3% - 5%  $M_L$  depending on which gyro, with components parallel and perpendicular to  $M_L$ . The perpendicular component provides a very accurate measurement of the gyro spin speed. The parallel component adds to  $M_L$ , evolving with time as the polhode period varies. The SQUID readout signal,  $z_i$ , is the dot product of the normal,  $\mathbf{n}$ , to the gyro readout loop with the difference between  $\boldsymbol{\tau}$ , the satellite roll axis direction and  $\mathbf{s}$ , the inertial orientation of the gyroscope, multiplied by  $C_g$ . The  $\mathbf{n}$  direction changes uniformly with the vehicle roll angle  $\phi$ . The angle  $\boldsymbol{\tau}$ , measured to high accuracy by the cryogenic telescope, includes both the annual (20.4958 arc-s peak) and orbital (5.1856 arc-s peak) aberrations computed respectively from JPL ephemerides data and precise orbit measurements from onboard GPS receivers and laser reflectors. Since the measurement noise,  $v_{SQUID}$ , near roll frequency is  $\sim 200$  marc-s/Hz $^{1/2}$  and  $C_g$  needs to be known to  $\sim 1$  part in  $10^5$ , data from

many successive half-orbits must be connected unambiguously. To do so requires a continuous accurate determination of the polhode phase  $\phi_p$ .

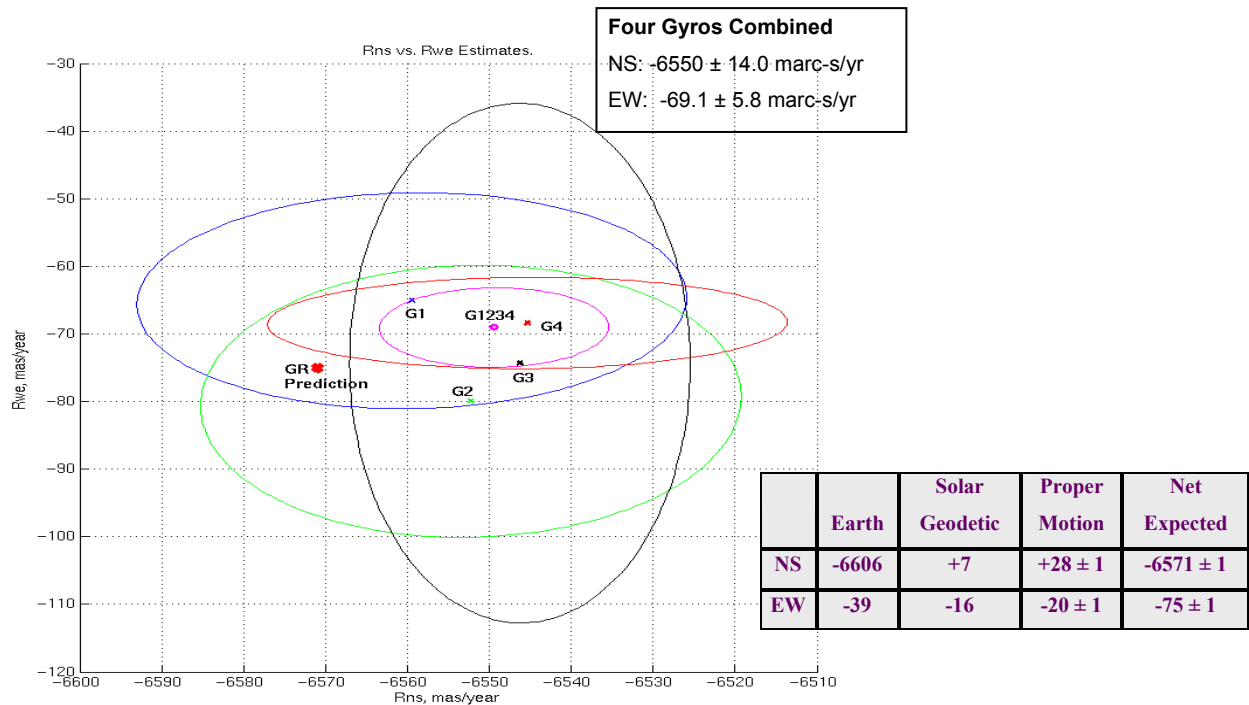
Though the readout was not originally intended to measure  $\phi_p$ , data in the form of 2s long 2.2 kHz bursts was available from engineering telemetry channels. From it we obtained ‘snapshots’ of the trapped flux signal over a number of gyroscope spin cycles, and from the evolving flux pattern thus seen were able to track the motion of the spin axis in the body frame over time with remarkable precision.



**Figure 12.** Gyro 1 Trapped Flux Map (left) and resulting  $C_g$  variations (right).

This Trapped Flux Mapping (TFM) technique produces two critical results: 1) a history of the polhode phase good to  $1^\circ$  over the entire 353 days of science data, and 2) a direct estimate of polhode-driven variations in the  $C_g$ . The mapping is accomplished by fitting a constant-coefficient rotor-fixed flux map to a dynamic model of the polhode motion. Figure 12 shows (left) the flux map for Gyro 1 which remained perfectly constant within the measurement limit throughout the mission, and (right) the periodic variation in scale factor over a 4-hour time period as the polhoding modulates the direction of the flux pattern. The results for Gyros 2, 3 and 4 had a similar form with successively lower flux levels. With this, data from multiple orbits could be chained together in order to calibrate  $C_g$  with enough precision to resolve marc-s changes in the gyro orientation. Absolute polhode phase is now known to  $\sim 1^\circ$  over the entire mission for all four gyroscopes, spin speeds to  $\sim 1$  nHz and spin-down rates to  $\sim 1$  pHz/sec. One of the dramatic moments of GP-B came in August 2007 when the first reasonably complete TFM data was inserted into the ‘algebraic’ method, and scatter in the processed data abruptly dropped from  $100\sigma$  to  $2\sigma$ .

The roll-polhode resonance torques have already been described. Coming to one gyro at a time, the resonances were in general unambiguously identifiable. Our first approach was to exclude the resonant period data. A second, slightly more advanced, was to bridge across with a simple ramp function. With the recognition that the dynamics follow or nearly follow a Cornu spiral, a more complete treatment became possible by incorporating an additional term into the basic data processing model with continuously varying functions of the polhode phase and angle. The result was a remarkable reduction in spread between the processed data from the four gyroscopes. Figure 13 shows the results from individual gyroscopes, and the four gyros combined. The four separate error ellipses overlap. Details are in the accompanying paper by Heifetz et al. (Heifetz et al. (2009)); the current limits on systematic errors are reviewed in the accompanying paper by Muhlfelder et al. (Muhlfelder et al (2009)).



**Figure 13.** Preliminary Relativity Estimates. Gyroscopes 1, 2, 4: Segments 5, 6, and 9, (Gyro 3: Segments 5, 6), not including systematic error or a model sensitivity analysis. Note that the “GR prediction” in the Figure is the net expected value of the attached table allowing for solar geodetic and guide star proper motion terms.

An important result of a different kind has emerged from continuing work on the geometric approach. The guide star (IM Pegasi) is known to be a binary with period 24.6 days and amplitude 1-2 marc-s. The motion has been determined with considerable accuracy by our colleagues at the Smithsonian Astrophysical Observatory and York University, Canada (I. I. Shapiro et al. (2007)). Careful investigation has identified an ellipse of the same period and approximate amplitude in the processed GP-B data. When data processing has reached a more advanced stage, the two independent measurements will be made the basis of a suitable blind comparison test.

The results of Figure 13 were limited by available computational speed. To bring the processing within the available constraints, we had to average the data once per orbit. We are now actively pursuing high speed computer techniques which will overcome this limitation and allow 2 second processing to more completely investigate the details of the roll-polhode resonance torque.

## 4 Conclusion

The underlying physics of the major disturbances to the science measurement is understood. Methods to remove them credibly from the measurement have a solid physics foundation and result in greatly improved quality of fit to the data model. Precise knowledge of polhode phase, now known to 1 deg throughout the mission, is the key synchronizing element needed to tie together the entire data set. The TFM machinery can accurately model polhode-modulated mission-length variations of the readout scale factor, thus allowing separation of them from estimates of gyro drift.

Analysis through the Fall of 2007 has provided 20% determinations of the  $\sim 1$  marc-s orbital motion of the guide star and of the bending of starlight due to the sun. The results in Section 3 for the 155 day processing period from December 10, 2004 through May 27, 2005 (155 effective days of data) are encouraging. **A non-zero WE gyroscope drift establishes the frame-dragging effect with a present statistical uncertainty of 15%. The NS drift component provides better than a 0.5% measurement of the geodetic effect.** The systematic uncertainties for the GR effects need to be added to these statistical uncertainties using the methods outlined by Muhlfelder et al. The current results look very encouraging, and with the extension to 279 days or longer science data and the use of more advanced processing techniques, we hope to approach the 3% - 5% covariance limit.

Reaching the final limit will include completing the work on the geometric and algebraic science analyses and performing an even more extensive investigation of the systematics than is given in the accompanying paper by Muhlfelder et al. The use of high speed computing to decrease the analysis time step from once per orbit (5859 s) to once every two seconds will significantly increase the fidelity of the analysis by providing multiple data points per roll cycle for the modeling of the roll-polhode resonance torque. No fundamental “breakthroughs” appear to be needed to extend the analysis to these other segments. The necessary techniques are being developed in collaboration with Prof. Charbel Farhat of the Stanford University Department of Aeronautics and Astronautics and his colleagues.

## Acknowledgements

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## **POLHODE MOTION, TRAPPED FLUX, AND THE GP-B SCIENCE DATA ANALYSIS**

**Alex Silbergleit et al.**

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# Polhode Motion, Trapped Flux, and the GP-B Science Data Analysis

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**Abstract** Magnetic field trapped in the Gravity Probe B (GP-B) gyroscope rotors contributes to the scale factor of the science readout signal. This contribution is modulated by the rotor's polhode motion. In orbit, polhode period was observed to change due to a small energy dissipation, which significantly complicates data analysis. We present precise values of spin phase, spin down rate, polhode phase and angle, and scale factor variations obtained from the data by Trapped Flux Mapping. This method finds the (unique) trapped field distribution and rotor motion by fitting a theoretical model to the harmonics of high (gyroscope spin) frequency signal. The results are crucial for accurately determining the gyroscope relativistic drift rate from the science signal.

**Keywords** Gravity Probe B · Polhode motion · Dissipation · Trapped Flux Mapping

**PACS** 04 · 04.20-q · 45.40Cc · 74.25-q · 02.70.-c

## 1 Introduction

The GP-B relativity science mission to measure the geodetic and frame-dragging effects on the four spinning superconducting gyroscopes [see Adler, Silbergleit (2000) and the references therein] is described in Everitt et al. (1980); Turneaure et al. (2003); Everitt et al. (2009). It uses the low frequency (LF) London Moment magnetic readout provided by a high-precision low-noise SQUID. In addition, a high frequency (HF) signal from residual magnetic field trapped in the type II superconductor (niobium) is present, as a superposition of multiple harmonics of the spin frequency. This paper deals with the analysis of the HF signal, and presents the analysis results, which are crucial for obtaining the desired accuracy of the measurements.

In sect. 2 the relation between the gyro polhode motion, trapped field, and science readout is explained, and the sources of HF signal are described. The on-orbit discovery

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of the time variation of the gyro polhode period, and the explanation of this effect as kinetic energy dissipation, are given in sect. 3, along with some results obtained from the analysis of the measured polhode period time history using a general dissipation model. Sect. 4 explains the concept of Trapped Flux Mapping (TFM; determination of trapped magnetic field and characteristics of gyro motion from the HF signal analysis), its relevance and importance for the final result of GP-B experiment, and the key points of the procedure. The actual approach to TFM in the form of a three level computer analysis scheme is detailed in sect. 5, while the results of TFM are given in sect. 6. Sect. 7 contains some conclusions.

## 2 Gyroscope Polhode Motion, Trapped Flux, and GP-B Readout

Polhode motion is important in the context of GP-B data analysis: the trapped magnetic flux couples to the SQUID pick-up loop, which contributes to the overall readout scale factor. Below we describe the model of this phenomenon.

### 2.1 Free Gyroscope Motion: Polhoding

Let us choose the principal inertia axes of a GP-B gyroscope,  $\hat{I}_1, \hat{I}_2, \hat{I}_3$ , as a Cartesian body-fixed frame, and number the moments of inertia in their non-decreasing order,  $0 < I_1 \leq I_2 \leq I_3$ . The GP-B flight rotors are the best spheres ever made, as certified by the Guinness World Records,<sup>1</sup> with  $I_i - I_j/I_k \sim 10^{-6}$ . Still, the value of the inertial *asymmetry parameter*, defined as

$$0 \leq Q \equiv \frac{I_2 - I_1}{I_3 - I_1} \leq 1, \quad (1)$$

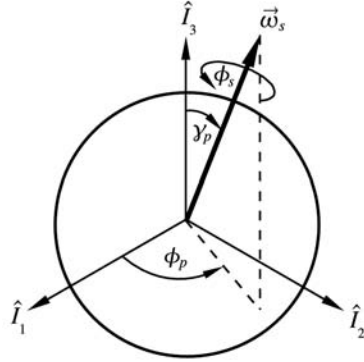
can be anywhere between zero and one. The case  $Q = 0$  corresponds to a rotor symmetric about the maximum inertia axis,  $\hat{I}_3$ .

The instantaneous position of the spin axis,  $\omega_s$ , in the rotor body can be described by the angle,  $\gamma_p$ , between it and the axis  $\hat{I}_3$ , and the second angle,  $\phi_p$ , between the projection of  $\omega_s$  on the plane  $\{\hat{I}_1, \hat{I}_2\}$  and the axis  $\hat{I}_1$  (see Fig. 1). The motion of the spin axis in the body,  $\phi_p(t), \gamma_p(t)$ , obeys the Euler equations. If the torques are negligible and the motion is free, it is described by the exact Euler solution [e.g. Landau, Lifshitz (1959); MacMillan (1960)] corresponding to the precession of the spin axis  $\omega_s$  about the inertia axis ( $\hat{I}_3$ , in the case of GP-B gyros). This precession is called the polhode motion, or polhoding; we also call  $\gamma_p$  and  $\phi_p$  the polhode angle and phase, respectively. The period of the polhode motion,  $T_p = \text{const}$ , as well as the path of the vector  $\omega_s$  in the body, is determined by the values of the conserved angular momentum,  $L = \text{const}$ , and energy,  $E = \text{const}$ . The polhode angular rate,

$$\Omega_p \equiv 2\pi/T_p \propto \left[ \sqrt{(I_3 - I_1)(I_3 - I_2)/I_3} \right] \omega_s \approx (1 - 4) \times 10^{-6} \omega_s \quad (\text{or smaller}),$$

for GP-B gyros. It is worthwhile noting that in the case of a symmetric rotor ( $Q = 0$ ), the polhode path is a circular cone and the motion is uniform, i.e., with  $\gamma_p = \text{const}$  and  $\dot{\phi}_p = \Omega_p = \text{const}$ , so the polhode phase is a linear function of time. In contrast, for an

<sup>1</sup> See <http://einstein.stanford.edu/MISSION/mission6.html#awards>



**Fig. 1** Body-fixed frame and the instantaneous position of the spin axis.

asymmetric rotor ( $Q > 0$ ) the polhode cone is *not* circular, and the motion of  $\omega_s$  in the body is no longer uniform. In particular,  $\gamma_p(t)$  oscillates with the period  $T_p(t)/2$ ,  $\phi_p(t)$  is not a linear function of time (modulated with the same period), and the angular velocity  $\dot{\phi}_p(t) \neq \text{const}$  does not coincide identically with  $\Omega_p(t)$ .

## 2.2 GP-B Readout: London Moment and Trapped Flux

The main source of the GP-B magnetic readout is the dipole field of the London Moment (LM) aligned with the gyroscope spin axis [London (1961), sect. 12]. However, this is not the only source: when a type II superconductor is cooled below the critical temperature, residual magnetic field (even under the GP-B conditions!) is trapped inside the rotor. Magnetic quanta  $\pm\Phi_0$  form (macroscopically) point sources on the rotor surface (fluxons). The multi-pole field of these point sources contributes to the total flux through the SQUID pick-up loop, which rolls with the spacecraft in inertial space with the roll period  $T_r \approx 77.5$  s.

The SQUID signal is proportional to the total magnetic flux through the pick-up loop, which is the sum of the LM and trapped flux (TF) contributions,

$$\Phi(t) = \Phi^{LM}(t) + \Phi^{TF}(t) . \quad (2)$$

It is straightforward to see that the LM flux is proportional to the small angle  $\beta$  ( $\sim 10^{-4}$  or smaller) between the LM and pick-up loop plane,  $\Phi^{LM}(t) = C_g^{LM}\beta(t)$ . The LM scale factor  $C_g^{LM}$ , proportional to the spin speed, is constant, up to a very small spin-down rate of GP-B gyros [spin-down time  $\sim 10^4$  yr, see Everitt et al. (2009)]. The angle  $\beta(t)$  carries the *relativity signal* at the low roll frequency  $f_r \approx 0.01$  Hz.

The time signature of the TF signal emerges from the following argument. Fluxons are frozen in the rotor surface and spin with it; the function that converts a fluxon's position into its magnetic flux through the pick-up loop is strongly nonlinear (almost a step-function, due to a relatively small gap between the rotor and the loop). Therefore the TF signal consists of multiple harmonics of spin, and, since the pick-up loop is rolling, those are actually the harmonics of spin  $\pm$  roll frequency. Finally, since the spin axis moves in the rotor body (polhoding), the spin harmonics are modulated by

the polhode frequency, so that

$$\begin{aligned}\Phi^{TF}(t) &= \sum_n H_n(t) e^{in(\phi_s \pm \phi_r)} \\ &= \sum_{|n|=odd} H_n(t) e^{in(\phi_s \pm \phi_r)} + \beta(t) \sum_{|n|=even} h_n(t) e^{in(\phi_s \pm \phi_r)},\end{aligned}\quad (3)$$

where  $H_n(t) = H_n(\phi_p(t), \gamma_p(t))$ ,  $h_n(t) = h_n(\phi_p(t), \gamma_p(t))$ . The accurate derivation of the formula (3) is given in Keiser, Silbergleit (1995); Nemenmann, Silbergleit (1999), and for the case of non-rolling spacecraft outlined in Keiser, Cabrera (1983), where an alternative approach was used [see Sect. 5.1].

The fact that even harmonics of spin are multiplied by the (same as above) small angle  $\beta$  is of purely geometric nature: the spin axis is set to reside almost in the pick-up loop plane in the GP-B experiment.

According to Eq. (2), the LM flux and d.c. part of the TF [ $n = 0$  in the expression (3)] combine to provide the GP-B *low frequency (LF) science readout* (sampled after the additional lowpass filter with a 4 Hz cutoff) given by:

$$\Phi_{LF}(t) = \Phi^{LM}(t) + \Phi_{DC}^{TF}(t) = C_g^{LM} \beta(t) + C_g^{TF}(t) \beta(t), \quad C_g^{TF}(t) \equiv h_0(t). \quad (4)$$

Thus, polhode motion combined with the TF creates polhode variations of the readout scale factor. These variations are not larger than 5% of the (constant) LM scale factor for all the GP-B gyros.

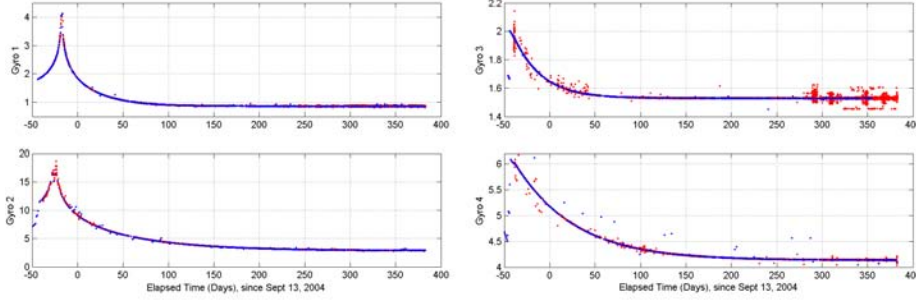
### 2.3 GP-B High Frequency Data

There were two telemetry sources of the high frequency (HF) SQUID signal: 1) FFT of first six harmonics of spin, and 2) *snapshots*, i.e.,  $\sim 2$  s stretches of original SQUID signal sampled at 2200 Hz. Both were received only during the part of an orbit when the Guide Star was occulted by the earth. At time when the snapshots were available, a snapshot would come about every 40 s, but the gaps between the snapshot arrays were up to 2 days.

HF FFT harmonics were analyzed during the mission as soon as the telemetry was in place. Snapshots, being the most accurate source of HF information, were thoroughly treated after the mission. All 976,478 snapshots available from the mission science period were processed after the flight (see sect. 4).

## 3 Changing Polhode Period: On–Orbit Discovery and Its Explanation

One of the two on–orbit discoveries which affected GP-B data analysis most strongly was the changing polhode period. The full time history of the polhode period for each of the GP-B gyroscopes is shown in Fig. 2, with very good agreement of the results obtained using the two HF signals. Moreover, an entirely different gyro position signal (polhode modulation of its the spin component) gives the same result [see Dolphin (2007)]. Notably, the  $T_p(t)$  plot for gyros 1, 2 has a peak where formally  $T_p = \infty$ . Nevertheless, throughout the science period (starting Sept. 13, 2004), polhode periods of all the four gyros decrease monotonically and tend to specific asymptotic values  $T_{pa}$ .



**Fig. 2** Polhode period history of GP-B gyros: red—from HF FFT, blue—from snapshots.

Such behavior can only be explained by *energy dissipation*, which reduces the kinetic energy of the rotor without changing its angular momentum. This phenomenon was observed, in particular, in rolling satellites starting with the ‘Explorer’ launched in 1958 [Modi (1973)]. Dissipation moves the spin axis in the body to the *maximum* inertia axis where the energy is easily seen to have a *minimum*, under the conserved angular momentum constraint. Thus the polhode path, controlled by the parameter  $L^2/2E$ , also changes (note that the spin-down torque changes both quantities  $L$  and  $E$ , but *not* this ratio, hence *not the polhode path*). The two types of behavior seen in the plots of Fig. 1 are also explained: by pure chance, gyros 1, 2 start their evolution with the spin vector precessing about  $\hat{I}_1$ , so  $T_p$  tends to infinity when  $\omega_s$  crosses the separatrix [Landau, Lifshitz (1959); MacMillan (1960)]. In contrast with this, the evolution of gyros 3, 4 starts when  $\omega_s$  is already precessing about  $\hat{I}_3$ , so  $T_p(t)$  just decreases monotonically.

Writing  $\omega_s = \omega_{1,3}$  for the case when  $\omega_s$  is parallel to  $\hat{I}_{1,3}$ , respectively, and using the angular momentum conservation [ $I_1\omega_1 = I_3\omega_3$ ,  $\omega_1 = (I_3/I_1)\omega_3$ ] one can readily determine the relative energy loss (and spin speed reduction) from the minimum,  $\hat{I}_1$ , to the maximum,  $\hat{I}_3$ , inertia axis:

$$\frac{E_1 - E_3}{E_1} = \frac{I_1\omega_1^2 - I_3\omega_3^2}{I_1\omega_1^2} = \frac{\omega_1 - \omega_3}{\omega_1} = \frac{I_3 - I_1}{I_3} < 4 \times 10^{-6} \quad (5)$$

for GP-B gyros. The total energy loss needed to move the spin axis all the way from min to max inertia axis is thus less than  $4 \mu J$  ( $E \sim 1 J$ ); in one year, the average dissipated power required for this is just  $10^{-13} W$ !

The physical origin of this energy dissipation is not completely clear. It might be related to inelastic deformations of the rotor, but probably is dominated by dissipative patch effect torques [see Buchman, Gill (2007)]. Independent of the origin, we have found a *general dissipation model* in the form of an additional term in the Euler equations unique up to a scalar factor. The detailed description of these new equations along with their solution will be published separately [a brief derivation of the model can be found in Salomon (2008)]. Fitting the polhode period time history obtained from the model to the measurements allowed us to determine the rotor asymmetry parameter (also found, in some cases, from the gyro position signal), the asymptotic period  $T_{pa} \sim 1-2$  hours, and the characteristic time of dissipation  $\tau_{dis} \sim 1-2$  months, depending on the gyro (see Table 1). Thus the dissipation is *slow* ( $T_p \ll \tau_{dis}$ ), so that the polhode motion of the GP-B gyros is *quasi-adiabatic*.

**Table 1** Asymptotic Polhode Period,  $T_{pa}$ , and Dissipation Time,  $\tau_{dis}$ 

Parameter	Gyro 1	Gyro 2	Gyro 3	Gyro 4
$T_{pa}$ (hours)	0.867	2.851	1.529	4.137
$\tau_{dis}$ (days)	31.9	74.6	30.7	61.2

## 4 Trapped Flux Mapping: Concept and Importance

### 4.1 Trapped Flux Mapping

*Trapped Flux Mapping* (TFM) is the procedure of finding the distribution of trapped magnetic field and characteristics of gyro motion from *odd* harmonics of HF SQUID signal, by fitting them to their theoretical model. The latter is based on the following solution for the scalar magnetostatic potential outside the spherical rotor with  $K$  pairs of fluxons and anti-fluxons on its surface (in the body-fixed frame described in sect. 2.1):

$$\Psi(r, \theta, \phi) = \frac{\Phi_0}{2r_g} \sum_{l=1}^{\infty} \left(\frac{r_g}{r}\right)^{l+1} \frac{1}{l+1} \sum_{m=-l}^l A_{lm} Y_{lm}(\theta, \phi),$$

$$A_{lm} = \sum_{k=1}^K [Y_{lm}^*(\theta_k^+, \phi_k^+) - Y_{lm}^*(\theta_k^-, \phi_k^-)]. \quad (6)$$

Here  $\Phi_0$  is the magnetic flux quantum, and  $r_g$  is the rotor radius (more on this model in sect. 5.1). If the fluxon number,  $K$ , and positions,  $\theta_k^\pm$ ,  $\phi_k^\pm$ , were known, then the coefficients  $A_{lm}$  would be found uniquely (and easily!) by the above formula. However, neither are known, in reality, so the coefficients  $A_{lm}$  are to be estimated by the TFM procedure, along with the main functions describing the gyro motion, such as the spin phase, the polhode phase, etc.. As shown below, TFM provides the asymmetry parameter  $Q$  and all coefficients  $A_{lm}$  up to  $l_{max} = 21, 25, 21, 21$  (gyros 1–4 respectively) for each rotor. Likewise, for the entire duration of the mission, TFM gives the spin speeds to  $10\text{ nHz}$ ; the spin down rates to  $1\text{ pHz/s}$ ; the spin phases to  $0.05\text{ rad}$ ; the polhode phases to  $0.02\text{ rad}$ , and the polhode angles to  $0.01 - 0.1\text{ rad}$ . With these results we compute the complete scale factor variations  $C_g^{TF}(t)$  for the whole mission using formula (9).

The TFM products play a crucial role in the GP-B science analysis, which simply cannot be accomplished without them with the needed accuracy. First of all, accurate polhode phase and angle values at any time of the mission are required for modeling both the scale factor variations and patch effect torques [Heifetz et al. (2009); Keiser et al. (2009)], because all the torque coefficients are modulated by the polhode harmonics in the same fashion as the LF SQUID scale factor. In addition, TFM brings in an independent determination of those scale factor variations, which, first, allows for a separate determination of the LM scale factor and slowly varying D.C. part of the TF scale factor. Second,  $C_g^{TF}(t)$  found by TFM can be directly used in the LF science analysis thus dramatically reducing the number of estimated parameters, and even turning the (originally non-linear) estimation problem into a linear one.



## 4.2 Key Points of TFM

To carry out TFM, one first of all needs to process the measured HF SQUID signal,  $z^{HF}(t) \propto \Phi^{HF}(t)$ , [those almost one million snapshots mentioned in sect. 2.3], to extract the multiple harmonics of spin,  $H_n(t)$ , according to the formula (3):

$$\text{measured } z^{HF}(t) = \sum_n H_n(t) e^{in(\phi_s \pm \phi_r)}. \quad (7)$$

Then, starting with expression (6) for the magnetic potential in the rotor-fixed frame, one transforms it to the frame of the pick-up loop using the rotation matrices for spherical harmonics [Rose (1995)], and computes the magnetic field. The flux through the loop can then be found by integrating the normal field component [for details of this derivation see Kozaczuk (2007); Conklin (2009)]. As in Eq. (3), the result is a sum of the spin harmonics, but with an explicit expression for each of them. In particular, the odd harmonics relevant to TFM are given by

$$H_n(t) = \frac{\Phi_0}{2} \sum_{\substack{l=|n| \\ l \text{ odd}}}^{\infty} \left(\frac{r_g}{b}\right)^l \sum_{m=-l}^l A_{lm} d_{0n}^l(\pi/2) d_{mn}^l(\gamma_p) e^{im\phi_p} I_l, \quad n \text{ odd}. \quad (8)$$

Here  $b$  is the pick-up loop radius,  $r_g/b \sim 1$ ,  $\phi_p(t)$  and  $\gamma_p(t)$  are the polhode phase and angle defined in sect. 2.1 [and roughly known from the polhode period modelling, sect. 3],  $d_{mn}^l(\alpha)$  is the rotation matrix, essentially, a hypergeometric polynomial of the argument  $\tan^2(\alpha/2)$  [see Rose (1995), formula (4.14)], and  $I_l$  is the known coefficient.

Formula (8) is exactly the expression to fit to the measured harmonics  $H_n(t)$ . The Euler angles  $\phi_p(t)$ ,  $\gamma_p(t)$ , and  $\phi_s(t)$ , which enter it in a *nonlinear* way, are originally derived from measurements, but their accuracy is insufficient for properly determining  $A_{lm}$ . Expressions for these functions based on the dissipation model and Euler solution are used in a *nonlinear* fitting process described in sect. 5, which was developed to find them. Then a *linear* fit is carried out to estimate the coefficients  $A_{lm}$  and to complete TFM.

Concluding this section we note that the same derivation that yields formula (8) for odd harmonics provides similar expressions for even ones as well. The latter are proportional to the spin-to-pick-up loop misalignment,  $\beta$ , to lowest order [see Eq. (3)]. According to Eq. (4), the D.C. harmonics ( $n = 0$ ) gives the scale factor of the trapped flux contribution to the science signal, namely:

$$C_g^{TF}(t) = h_0(t) = \frac{\Phi_0}{2} \sum_{\substack{l=1 \\ l \text{ odd}}}^{\infty} \left(\frac{r_g}{b}\right)^l \sum_{m=-l}^l A_{lm} d_{m0}^l(\gamma_p) e^{im\phi_p} I_l l P_{l-1}(0) \quad (9)$$

Therefore, as soon as accurate values of  $\phi_p(t)$ ,  $\gamma_p(t)$  and  $A_{lm}$  are determined from TFM, the scale factor variations can be immediately computed.

## 5 Trapped Flux Mapping: Three Analysis Levels

The TFM estimation process is broken up into three parts called *levels*, in which various groups of parameters are estimated somewhat independently. Level A and B

data processing leads to the estimates of the polhode phase and angle,  $\phi_p(t)$  and  $\gamma(t)$ , the spin phase,  $\phi_s(t)$ , and the asymmetry parameter,  $Q$ . Once we know the rotor orientation (these three Euler angles) and its asymmetry, in Level C we perform a linear fit to find the coefficients  $A_{lm}$ .

### 5.1 Level A: Asymmetry Parameter, Polhode Phase and Angle

The polhode phase,  $\phi_p(t)$ , can be written as the sum of the *symmetric polhode phase*,  $\phi_p(t, Q = 0)$ , and the polhode modulation,  $\Delta\phi_p(t, Q)$ , which has a period  $T_p/2$ , and is due to the inertial asymmetry described by the parameter  $Q$  from Eq. (1). The symmetric polhode phase is the integral of the polhode rate (we choose  $t_0$  to be any moment of time when  $\omega_s$  lies in the  $\{\hat{I}_1, \hat{I}_3\}$  plane),

$$\phi_p(t, Q = 0) = \int_{t_0}^t \Omega_p(t') dt' = \int_{t_0}^t \frac{2\pi}{T_p(t')} dt', \quad (10)$$

and it is just a straight line if no dissipation is present,  $T_p = \text{const}$ ,  $\Omega_p = \text{const}$ . An expression for the deviation from the straight line (a sum of exponents with the time scale  $\tau_{dis}$ ) is implied by the dissipation model, so the total model for the polhode phase becomes:

$$\phi_p(t) = \phi_{p0} + \Omega_{pa}(t - t_{ref}) - \sum_{m=1}^M D_m e^{-n \frac{t-t_{ref}}{\tau_{dis}}} + \Delta\phi_p(t, Q) \quad (11)$$

Here  $\phi_{p0}$  is the value of the symmetric polhode phase at time  $t_{ref}$  with no dissipation present,  $\Omega_{pa} = 2\pi/T_{pa}$  is the asymptotic polhode rate, and  $D_m$  are constant coefficients. Note that the total number of the parameters in the formula (11),  $\{\phi_{p0}, \Omega_{pa}, Q, D_1, D_2, \dots, D_M\}$ , that need to be estimated is  $3 + M$ , with  $M < 12$  sufficient for a good enough accuracy for all the gyros. The polhode angle,  $\gamma_p$ , is computed from the Euler solution given the polhode rate, the spin rate  $\omega_s(t)$  (discussed in the next section), and  $Q$ .

Estimating  $Q$  is the most computationally intensive part of the TFM data processing. This is because  $Q$  estimation is sensitive to all fit parameters, even though  $Q$  is entirely independent physically. As a result, only three separate batches of data spanning 1-2 days each are used to estimate  $Q$  for every gyroscope. The batches were chosen to be relatively long and early in the mission, when the polhode variations are largest and the influence of  $Q$  is strongest. The Level A code is run many times on a single batch of data while incrementing  $Q$  from 0 to 1 by 0.02 at the each next run. The value of  $Q$  for which the RMS of the post-fit residuals is minimum is taken as the best estimate of  $Q$ , with its associated error. Table 2 shows the resulting values of  $Q$  from Level A processing. The accuracy of these results is  $\sim 20\%$ , enough for estimation of the scale factor variations due to trapped flux to  $\sim 1\%$ . This is due to the relative insensitivity of the signal to the asymmetry parameter.

Once  $Q$  is estimated, individual fits are performed on one batch of data at a time depending on how the data are grouped. Spectral analysis of the data provides initial estimates of the polhode rate  $\Omega_p(t)$  accurate to  $\sim 100 \text{ nHz}$  [Salomon (2008)]. Integrating this preliminary value of  $\Omega_p(t)$  is sufficient for the Level A fitting process, but the initial polhode phase,  $\phi_{p0}$ , is unknown. Therefore the initial polhode phase is estimated for each batch of data using a standard Nelder-Mead simplex method [see Lagarias et

**Table 2** Asymmetry Parameter,  $Q$ 

Gyro 1	Gyro 2	Gyro 3	Gyro 4
$0.303 \pm 0.069$	$0.143 \pm 0.029$	$0.127 \pm 0.072$	$0.190 \pm 0.048$

al. (1998)] implemented by the function *fminsearch.m* in the MATLAB software. This gives the estimates of  $\phi_{p0}$  at roughly 100 different times throughout the science mission.

Each estimate of the initial polhode phase has some error associated with it. To improve the overall accuracy of the polhode phase determination, a single model, Eq. (11), is fit to all the preliminary polhode phase estimates. Not only does this smooth the estimates, reducing the overall error, but it also produces a polhode phase time-history that is continuous and self-consistent over the entire mission. The unknown parameters  $\phi_{p0}$ ,  $\Omega_{pa}$  and  $D_m$  all appear linearly in this model, allowing for a simple least squares fit. An estimate of the polhode phase during each batch of data is constructed from the estimates of  $\phi_{p0}$  for the same batch, and the integration of the estimated polhode rate. To construct a self-consistent polhode phase, the estimated polhode rate accurate to  $\sim 600 \text{ nrad/sec}$  is adequate for resolving  $\pi$  ambiguities between batches of data that are typically not more than 4 days apart. The RMS of the polhode phase post-fit residuals for each gyroscope is on the order of 0.1 rad.

## 5.2 Level B: Spin Phase

The model used to describe the spin phase is based on the observed behavior of the measured spin frequency, which is accurately described over short stretches by a straight line plus a small contribution due to the changing polhode frequency. This results in a spin phase model consisting of a quadratic polynomial plus two corrections,

$$\phi_s(t) = \phi_{si} + \omega_{si}(t - t_i) + \frac{1}{2} d\omega_s(t - t_i)^2 + \phi_p(t, Q = 0) + \Delta\phi_s(t, Q) \quad (12)$$

The first correction, the symmetric polhode phase  $\phi_p(t, Q = 0)$ , is added because the measured HF harmonics prove to be of the spin plus polhode frequency rather than the spin frequency. The last term,  $\Delta\phi_s(t, Q)$ , is a small modulation of the spin phase caused by the precession of the angular velocity,  $\omega_s$ , about the angular momentum vector in inertial space. This modulation term can be explicitly computed from the solution to Euler's equations transformed into the inertial frame [see Landau, Lifshitz (1959); Salomon (2008)], so it requires no additional parameters to estimate. The model (12) is independently fit to every batch of data about 1 day duration. Since at this step the parameters in the symmetric polhode phase model are considered known, the three parameters to estimate for each batch are  $\omega_{si}$ ,  $d\omega_s$ , and  $\phi_{si}$ .

The nonlinear search routine for these three parameters is a modified version of the Nelder-Mead simplex method developed by Kozaczuk (2007) and implemented in the properly modified version of the MATLAB function *fminsearch.m*. The nonlinear search for the initial spin rate  $\omega_{si}$  and the decay rate  $d\omega_s$  is performed separately from the search for the initial spin phase  $\phi_{s0}$ , in order to simplify the nonlinear estimation process. The Level B code iterates between a search for  $(\omega_{si}, d\omega_s)$  and a search for  $\phi_{s0}$ . A total of four iterations is a good compromise between accuracy and computation time for all the four GP-B gyros.

### 5.3 Level C: Trapped Field Distribution and Polhode Phase Refining

With the parameters estimated in the Level A and Level B data processing, the time histories of the three Euler angles,  $\phi_p(t)$ ,  $\theta_p(t)$  and  $\phi_s(t)$ , are computed for the whole science period. Then a linear least squares fit of the model (6) to the measured odd harmonics of spin,  $H_{2k+1}(t)$ , is performed to estimate the coefficients  $A_{lm}$  using the data from the entire mission. Fig. 3 shows the best-fit values of the real and imaginary parts of  $A_{lm}$  with the various maximum number of odd harmonics,  $N_{max}$ , and coefficients,  $L_{max}$ , used,  $N_{max} = L_{max} = 19, 23, 29$ . A good agreement in the common values from different fits is seen, with a rather regular distribution, which turns out to be normal with zero mean.

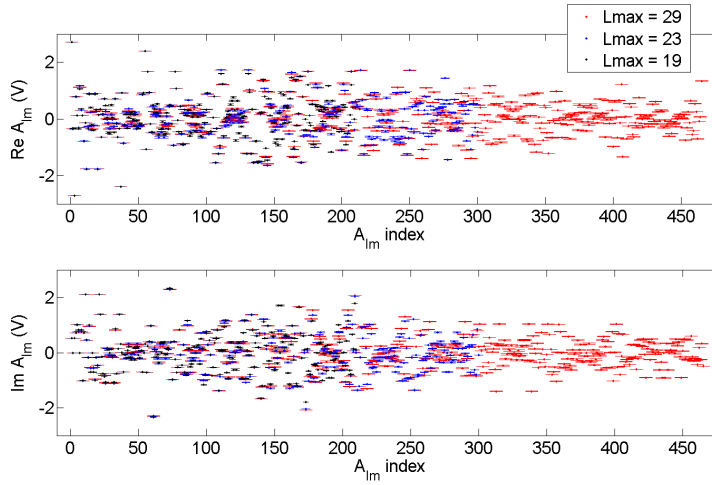
After this step, estimates exist for all necessary parameters in the model (8). An iterative approach is used to refine these estimates further.

One of the most fundamental quantities in the determination of the trapped magnetic potential and the prediction of the scale factor variations  $C_g^{TF}(t)$  is the polhode phase,  $\phi_p(t)$ . The estimation of  $\phi_p(t)$  from the Level A data processing is typically accurate to  $50 - 100 \text{ mrad}$ , based on the post-fit residuals. A more accurate technique for determining the polhode phase error comes in at Level C and involves the absolute value of the measured first harmonics,  $|H_1(t)|$ . Using this quantity has two significant advantages for polhode phase determination. The first is that  $H_1$  is the largest signal present in the HF SQUID output, providing confidence in its accuracy. The second, more important advantage is that the absolute value of any measured harmonics,  $|H_n(t)|$ , is totally independent of errors in the spin phase, which is apparent from Eq. (7). In fact,  $|H_1(t)|$  effectively depends only on the polhode phase and  $A_{lm}$ , since the polhode angle is simply computed from the measured parameters.

Upon completion of Levels A, B and C, a best-fit  $|H_1(t)|$  can be constructed from the estimated parameters and compared with the measured value. This comparison gives a correction,  $\delta\phi_p$ , to the best-fit polhode phase from Level A. The exponential polhode phase model, Eq.(11), is then re-fit to the corrected polhode phase. With the new polhode phase, Level B processing is carried out again to refine the spin phase parameters, and then Level C is rerun to refine the coefficients  $A_{lm}$ . The polhode phase refinement, the Level B processing, and then the Level C processing constitutes a single iteration of the process that converges to the optimal solution. We continue these iterations until the RMS of the Level C post-fit residuals reaches a stable value.

## 6 Trapped Flux Mapping: Results

The accuracy achieved in the Level C fits and trapped flux scale factor,  $C_g^{TF}(t)$ , is given in Table 3. The post-fit residuals are roughly a few percent for all gyroscopes, which translates into  $\sim 10^{-4}$  error in the predicted trapped flux scale factor relative to the total scale factor  $C_g = C_g^{LM} + C_g^{TF}$ . The highest uncertainty in the estimated  $C_g^{TF}$  is for gyroscope 3, even though the post-fit residuals are larger for gyroscope 4. This is because the polhode damps out relatively quickly for gyroscope 3, causing poor observability of the coefficients  $A_{lm}$ . The calculated distribution of trapped magnetic potential of the gyro 1 surface is shown in Fig. 4, along with the polhode paths and scale factor variations,  $C_g^{TF}$ , on different dates separated by more than 4 months. Due to the dissipation, the polhode path shrinks over time, and the magnitude of scale factor oscillations drops accordingly.



**Fig. 3** Best-fit  $\text{Re}(A_{lm})$ ,  $\text{Im}(A_{lm})$ , with error bars, for gyroscope 1 using up to  $L_{max}$  odd harmonics,  $L_{max} = 19, 23, 29$  - in black, blue, and red, respectively.

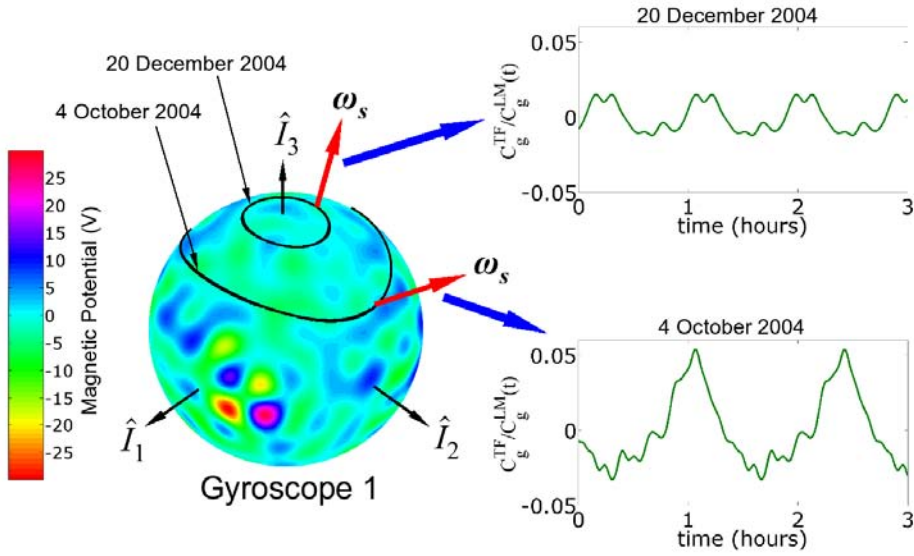
**Table 3** Trapped Flux Mapping Results of Level C Fits

Gyro No.	Relative Residuals	Number of Harmonics	Relative Size of Variations	Formal Error in $C_g^{TF}$ Relative to $C_g$
1	1.1%	21	0.2% - 3.0%	$1.5 \times 10^{-4} - 7.0 \times 10^{-5}$
2	1.5%	25	0.5% - 1.5%	$6.0 \times 10^{-5} - 3.0 \times 10^{-5}$
3	2.6%	21	0.01% - 1.0%	$2.0 \times 10^{-4} - 1.6 \times 10^{-4}$
4	2.8%	21	0.1% - 0.3%	$8.5 \times 10^{-5} - 6.5 \times 10^{-5}$

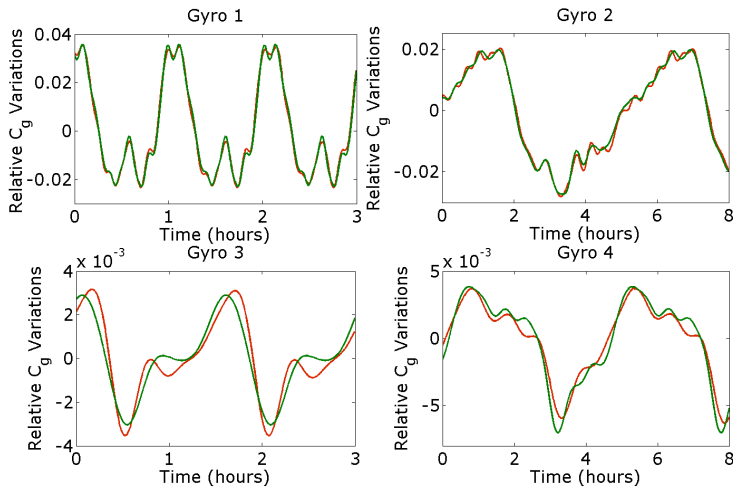
Fig. 5 shows polhode variations of the scale factor,  $C_g(t)^{TF}$ , for all four gyroscopes during a few hours of the same day, 10 November 2004. An independent estimate of the total gyroscope readout scale factor,  $C_g(t)$ , comes from the analysis of the LF SQUID signal. This determination is limited by the constantly changing trapped flux contribution, and it is not possible to separate the London Moment scale factor from the d.c. part of the Trapped Flux. Nevertheless, this determination provides a useful comparison of TFM. The LF results agree with the TFM results to  $\sim 10^{-3}$  or better, relative to the total scale factor,  $C_g$ . The best agreement is for gyroscope 3, which has the highest uncertainty in the  $C_g^{TF}$  estimated by TFM: for gyroscope 3 the relative polhode variations,  $C_g^{TF}/C_g$ , are by far smaller than for any other gyro.

## 7 Conclusions

The change of polhode period and path discovered on orbit is explained by a slow rotation energy loss while conserving the angular momentum, and is properly analyzed using a new general model of dissipative gyroscope motion. This lays the ground for the developed procedure of mapping magnetic trapped field. Trapped Flux Mapping has been carried out successfully for each of the four GP-B gyroscopes using the odd



**Fig. 4** Gyroscope 1: magnetic potential distribution, and polhode paths and scale factor variations,  $C_g^{TF}(t)$ , on Oct. 4, 2004, and Feb. 20, 2005. The path shrinks due to dissipation, and the magnitude of variations goes down accordingly.



**Fig. 5** Scale factor variations,  $C_g^{TF}(t)$ , relative to the total scale factor,  $C_g(t)$ , on Nov. 10, 2004, as estimated by both TFM (green) and the LF analysis (red).

harmonics of the HF SQUID signal. Its results are necessary to determine the LF scale factor variations and modulation of patch effect torque coefficients, thus they are crucial for the best measurement of relativistic drift rate.

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## **MISALIGNMENT AND RESONANCE TORQUES AND THEIR TREATMENT IN THE GP-B DATA ANALYSIS**

**George (Mac) Keiser et al.**

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# Misalignment and Resonance Torques and Their Treatment in the GP-B Data Analysis

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## Abstract

Classical torques acting on the GP-B gyroscopes decrease the accuracy in the measurement of the relativistic drift rate. Based on measurements made during the year-long science data collection, there are two dominant classical torques acting on the gyroscopes. The first torque, known as the misalignment torque, has a magnitude proportional to the misalignment between the gyroscope spin axis and the satellite roll axis and is aligned perpendicular to the plane containing these two vectors. The second torque, known as the resonance torque, mainly produces a permanent offset in the orientation of the gyroscope spin axis when a harmonic of the gyroscope polhode frequency is in the vicinity of the satellite roll frequency. These two torques have the same physical origin: an electrostatic interaction between the patch effect fields on the surfaces of the rotor and the housing. In the post-mission data analysis, the change in the gyroscope orientation due to both of these torques can be clearly separated from the relativistic drift rate.

## 1 Introduction

As discussed in the accompanying papers (Everitt et al. (2009), Heifetz et al. (2009), Mulhfelder et al. (2009), Silbergleit et al. (2009)), the Gravity Probe B (GP-B) satellite was designed to accurately measure the geodetic and frame-dragging precessions predicted by the general theory of relativity (de Sitter (1916), Lense and Thirring (1918)). Averaged over the orbital motion of the satellite (Adler and Silbergleit (2000)), both of these predicted effects produce a linear drift in the orientation of the gyroscope spin axis with time. A classical torque acting on a gyroscope can produce an error in an accurate measurement of the relativistic drift rate.

This paper describes the two classical torques acting on the GP-B gyroscopes that had a measurable effect on the orientation of the gyroscope spin axis. The misalignment torque is described in section 2 of this paper, while the resonance torque is described in section 3 of this paper. In each of these sections, we present the observational evidence for these torques, discuss the physical origin of each torque, and demonstrate post-mission data analysis methods of separating the gyroscope drift rate caused by each torque from the relativistic drift rate. Both torques are caused by an interaction of the patch effect potential on the surface of the gyroscope rotor with a patch effect potential on the surface of the gyroscope housing. Additional observations that can be explained by the patch effect will be described in a forthcoming paper (Buchman et al. (2009)). Because the misalignment torque acts in a specific direction and because the resonance torque produces a unique time signature in the orientation of the gyroscope spin axis, the effects of these torques on the gyroscope spin axis orientation can clearly be separated from the relativistic drift rate. The final section of this paper discusses the impact of the torques on the measurement of the relativistic drift rate.

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## 2 Misalignment Torques

### 2.1 Observations

The Gravity Probe B mission timeline was divided into three phases. The initialization phase, which began after launch on April 20, 2004, through the spin-up and final alignment of the gyroscopes on August 29, 2004, included the initial calibration of the instrument, tests of each of the four gyroscopes at slow spin speeds, adjustment of the spacecraft's attitude and translation control system, spin-up of each of the four gyroscopes, and a final alignment of the spin axes. The main science data collection phase began on August 29, 2004, and continued until August 15, 2005. The final phase of the mission was called the calibration phase, where potential disturbances were deliberately increased to determine their impact on the measurement of the spin axis orientation with respect to the guide star and to measure other possible classical torques acting on the gyroscope.

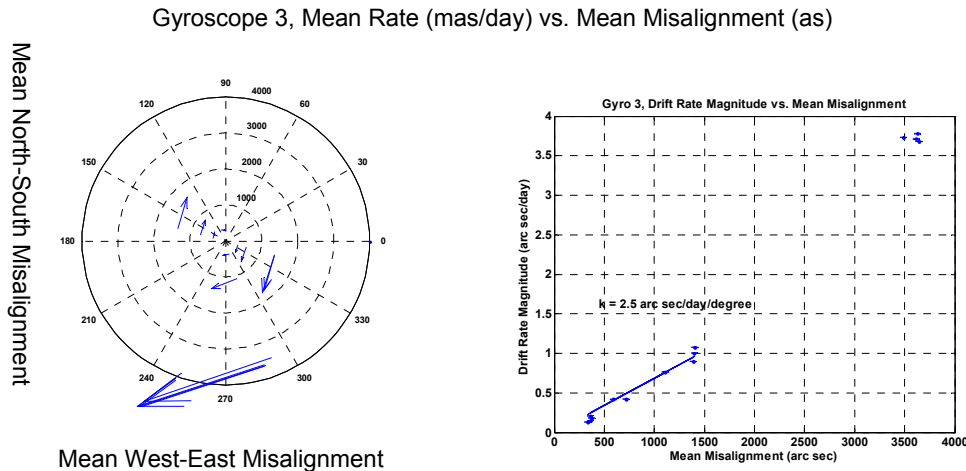
During the calibration phase, 17 spacecraft operations were performed to study the effect of increasing the angle between the satellite roll axis and the four gyroscope spin axes. Throughout the science data collection phase, while the guide star, IM Pegasi (HR 8703), was not occulted by the earth and was being used to measure the satellite's attitude, the satellite's attitude control system maintained the axis of the science telescope and the satellite roll axis, which coincided with the science telescope's optical axis, within 200 mas (milli-arc-sec) of the apparent position of the guide star. Because of the 20 arc second annual optical aberration and the relativistic linear drift in the gyroscopes' spin axis orientation, the angle between the roll axis and the spin axis slowly varied over the course of the year but was maintained within 30 arc seconds during normal operations.

Each of the 17 spacecraft operations included (1) measurement of the gyroscope orientation for 24 hours, (2) maneuver of the satellite roll axis to a nearby star or virtual star, (3) maintenance of the gyroscope spin axis in this orientation for a period of 12 or 24 hours, (4) another spacecraft maneuver back to the guide star, IM Pegasi, and, finally, (5) another period of 24 hours to measure the gyroscope spin axis orientation after the spacecraft maneuvers. The gyroscope drift rate at the increased misalignment could then be found from the change in each gyroscope spin axis orientation divided by the time at the increased misalignment. The majority of these spacecraft maneuvers were to stars or virtual stars within one degree of the IM Pegasi (HR Pegasi is 0.4 deg to the East of IM Pegasi, and HD 216635 is 1 deg to the North of IM Pegasi), but maneuvers were also made to one star as far as 7 deg from IM Pegasi.

In addition to increasing the misalignment between the satellite roll axis and the gyroscope spin axis, during some of these operations the spacecraft was deliberately accelerated as much as  $10^{-7}$  m/s<sup>2</sup> using the helium thrusters to measure the combined effect of increasing the misalignment and the spacecraft acceleration. Furthermore, at enhanced misalignment angles, tests were done to measure the effect of increasing the 20 Hz control voltage of the electrostatic suspension system from its nominal 200 mV level. The operating mode of the electrostatic suspension system was also changed so that the mean control voltages on the pair of electrodes on one axis were held at a constant d.c. value rather than being modulated at 20 Hz.

The average gyroscope drift rate for gyroscope 3 and the orientation of the spacecraft relative to IM Pegasi during the maneuver are shown in Figure 1 for those operations where the satellite roll axis lay within 1 degree of the guide star, and where the control voltages applied by the electrostatic suspension system were modulated at 20 Hz. In the polar plot, each vector represents the magnitude and direction of the average drift rate during the maneuver, and the base of the vector is at the location of the misalignment between the roll axis and spin axes during the maneuver. In this figure, note that the gyroscope drift rate lies in a direction perpendicular to the misalignment and is approximately proportional to the magnitude of the misalignment. The right hand panel of Figure 1 shows the measured

magnitude of the drift rate compared to the magnitude of the misalignment for gyroscope 3. The drift rate is proportional to the misalignment for misalignments less than 0.4 degrees but begins to show evidence of nonlinearity at a misalignment 1 degree. At angles larger than 1 degree, the relation between the misalignment and the drift rate was clearly nonlinear although the direction of the drift rate of the gyroscope spin axis continues to be in a direction perpendicular to the misalignment.



**Figure 1.** Mean Drift Rate vs. Misalignment for Gyroscope 3 During Calibration Maneuvers

Changing the operating mode of the electrostatic suspension system provided clear evidence that the source of these torques is electrostatic. While the voltages applied to the electrodes were modulated at 20 Hz, the proportionality factor between the misalignment angle and the gyroscope drift rate remained the same regardless of the magnitude of the modulated 20 Hz control voltage applied to the electrodes and regardless of the acceleration of the spacecraft. However, when steady voltages were instead applied to the electrodes, there was a significant change in the magnitude of the drift rate for a given misalignment angle, although the direction of the gyroscope spin axis drift rate continued to lie in a direction perpendicular to the misalignment. In addition, the magnitude and direction of the drift rate change depended on the magnitudes of the steady voltages applied to the six electrodes.

## 2.2 Explanation and Calculation of Torque

The electrostatic patch effect (Darling (1989)) produces a nonuniform potential on the surface of a metal. Charges in a metal with a finite conductivity move until the electrostatic potential is uniform. However, if there is a nonuniform dipole layer on the surface of the metal due to the crystalline structure or impurities on the surface of the metal, then the electrostatic potential on the surface is no longer uniform. In this case, the electric field and the force are no longer necessarily perpendicular to the surface of the metal. For an isolated metal surface, the net force and torque on the body are zero, but with two metallic surfaces in close proximity, the net force or torque on each surfaces will, in general, be nonzero. For two plane parallel surfaces, the net force due to patch effect fields has been calculated by Speake (Speake (1996)).

To investigate the effect of a nonuniform potential on the surface of the rotor and the housing, the electrostatic potential on the surface of the rotor and the housing was expanded in terms of spherical harmonics:

$$\begin{aligned}
V_R(\theta, \phi) &= \sum_{l=0}^{\infty} \sum_{m=-l}^l R_{lm} Y_{lm}(\theta, \phi) \\
V_H(\theta, \phi) &= \sum_{l=0}^{\infty} \sum_{m=-l}^l H_{lm} Y_{lm}(\theta, \phi)
\end{aligned} \tag{1}$$

Where  $R_{lm}$  and  $H_{lm}$  are coefficients in the expansion of the potentials in terms of the orthonormal spherical harmonics,  $Y_{lm}(\theta, \phi)$ . With these boundary conditions, Laplace's equation may be solved for the potential,  $\Phi$ , in the region between the two surfaces. With this solution and the approximation that the gap,  $\Delta$ , between the rotor and the housing is much smaller than the radius,  $a$ , of the rotor, the gradient in the potential at the surface of the rotor and the housing is given by

$$\left. \frac{\partial \Phi}{\partial r} \right|_{r=a} = \left. \frac{\partial \Phi}{\partial r} \right|_{r=b} \approx \frac{1}{\Delta} \sum_{l=0}^{\infty} \sum_{m=-l}^l (H_{lm} - R_{lm}) Y_{lm}(\theta, \phi) \tag{2}$$

The energy stored in the electric field may be calculated from the potential and the gradient in the potential at the two surfaces:

$$\begin{aligned}
W &= \frac{\epsilon_0}{2} \int_{\text{gap}} (\nabla \Phi)^2 dV = \frac{\epsilon_0}{2} \left( \int_{r=b} \Phi \frac{\partial \Phi}{\partial r} dA - \int_{r=a} \Phi \frac{\partial \Phi}{\partial r} dA \right) \\
&\approx \frac{\epsilon_0 a^2}{2} \int d\Omega (\Phi_b - \Phi_a) \left. \frac{\partial \Phi}{\partial r} \right|_{r=a} = \frac{\epsilon_0 a^2}{2\Delta} \sum_{l=0}^{\infty} (H_{lm} - R_{lm})(H_{lm} - R_{lm})^*.
\end{aligned} \tag{3}$$

In this expression,  $\epsilon_0$  is the permittivity of free space. Here and in the equations which follow, the asterisk denotes the complex conjugate of the coefficient. The orthonormal properties of the spherical harmonics have been used here: it is important to note that the result (3) is valid only if the spherical harmonic expansions for both surfaces are written in the same reference frame.

The expansion of both surface potentials are originally done in the frame fixed to the corresponding surface. Their coefficients do not change as long as the patch potential remains constant. To calculate the energy stored in the electrostatic field and the gyroscope torques, rotation matrices may be used to find the expansion of the surface potential in a common reference frame. In the principal axis reference frame, with the  $z'$ -axis chosen as the principal axis corresponding to the maximum moment of inertia, the rotor potential may be expanded in terms of spherical harmonics in that reference frame ( $r, \theta', \phi'$ ):

$$V_R(\theta', \phi') = \sum_{l=0}^{\infty} \sum_{m=-l}^l R_{lm}' Y_{lm}(\theta', \phi'). \tag{4}$$

Since the rotor potential is real,  $R_{lm}' = (-1)^m R_{l,-m}'$ . We chose a common reference frame to be the guide star reference frame, where the  $z$ -axis lies in the apparent direction of the guide star and the  $x$ -axis lies in the plane of the  $z$ -axis and the Earth's rotation axis. The spherical harmonics in the principal axis reference frame may be rotated to the guide star reference frame through two successive sets of Euler angle rotations. The first is a transformation from the principal axis reference frame to a reference frame with the  $z$ -axis aligned with the instantaneous spin axis. This 3-2-3 transformation uses the three Euler angles  $\phi_P$ ,  $\gamma$ , and  $\phi_S$  which correspond to a rotation about the principal axis with the largest moment of inertia, a rotation about the new  $y$ -axis by an angle  $\gamma$  which is the angle between the principal axis and the spin axis, and finally a rotation about the spin axis by the spin phase,  $\phi_S$ . The second set of Euler angle transformations is a 2-3 transformation: the first angle rotates about the  $y$ -axis of the coordinate system by an angle  $-\beta$  so the  $z$ -axis is aligned with the apparent direction to the guide star, while the second angle

rotates about the direction to the guide star by an angle  $-\alpha$ . These two angles,  $\alpha$  and  $\beta$ , determine the orientation of the gyroscope spin axis in the guide star reference frame. With these two sets of rotations, the rotor potential in the guide star reference frame becomes

$$\begin{aligned} V_R(\theta, \phi) &= \sum_{l=0}^{\infty} \sum_{m=-l}^l R_{lm}' \sum_{q=-l}^l D_{qp}^l(\alpha, \beta, 0) \sum_{p=-l}^l D_{pm}^l(-\phi_S, -\gamma, -\phi_P) Y_{lq}(\theta, \phi) \\ &= \sum_{l=0}^{\infty} \sum_{m=-l}^l R_{lm}' \sum_{q=-l}^l e^{-iq\alpha} d_{qp}^l(\beta) \sum_{p=-l}^l e^{ip\phi_S} d_{pm}^l(-\gamma) e^{im\phi_P} Y_{lq}(\theta, \phi) \end{aligned} \quad (5)$$

The elements of the rotation matrices,  $D$  and  $d$ , are defined in Rose (1995). If the housing potential is initially expanded in a reference frame where the  $z$ -axis coincides with both the satellite roll axis and the apparent direction to the guide star, then transforming to the inertially fixed reference frame involves only a rotation about the  $z$ -axis by the satellite roll phase,  $\phi_R$ . In the guide star reference frame, the housing potential may be written as

$$V_H(\theta, \phi) = \sum_{k=0}^{\infty} \sum_{j=-k}^k H_{kj}' e^{ij\phi_R} Y_{kj}(\theta, \phi) \quad (6)$$

With these two expressions for the rotor and housing potentials in a common reference frame, the variable part of the energy in the electrostatic field averaged over the spin of the gyroscope is

$$\bar{W} = -\frac{\epsilon_0 a^2}{\Delta} \sum_{l=0}^{\infty} \sum_{m=-l}^l \sum_{q=-l}^l R_{lm}' H_{lq}'^* d_{q0}^l(\beta) d_{0m}^l(-\gamma) e^{im\phi_P} e^{-iq(\alpha+\phi_R)} \quad (7)$$

In addition to averaging over the spin of the gyroscope, the energy in the electrostatic field may be averaged over the roll of the housing and the polhode period. In this case, the averaged energy is

$$\begin{aligned} \bar{\bar{W}} &= -\frac{\epsilon_0 a^2}{\Delta} \sum_{l=0}^{\infty} R_{l0}' H_{l0}'^* d_{00}^l(\beta) d_{00}^l(-\gamma) \\ &= -\frac{\epsilon_0 a^2}{\Delta} \sum_{l=0}^{\infty} R_{l0}' H_{l0}'^* P_l(\beta) P_l(-\gamma) \end{aligned} \quad (8)$$

Here  $P_l$  is the Legendre polynomial of order  $l$ .

The torque acting on the gyroscope may be found from the derivative of the average energy with respect to the angles,  $\alpha$  and  $\beta$ , which define the orientation of the gyroscope spin axis in the guide star reference frame. Since the average energy given above only depends on the angle  $\beta$ , the torque is given by

$$\bar{\tau} = -\frac{\partial \bar{\bar{W}}}{\partial \beta} \hat{e}_\beta = \frac{\epsilon_0 a^2}{\Delta} \sum_{l=1}^{\infty} R_{l0}' H_{l0}'^* P_l(-\gamma) \frac{\partial P_l(\beta)}{\partial \beta} \hat{e}_\beta \quad (9)$$

The torque is the negative derivative of the energy with respect to the angle. The unit vector,  $\hat{e}_\beta$ , lies in a direction perpendicular to the plane of the misalignment angle,  $\beta$ , so this torque will always be perpendicular to the misalignment. From this result, the torque is clearly a nonlinear function of the misalignment angle, but for small angles this expression becomes

$$\bar{\tau} = \frac{\epsilon_0 a^2}{\Delta} \beta \hat{e}_\beta \sum_{l=1}^{\infty} l(l+1) R_{l0}' H_{l0}'^* P_l(-\gamma), \quad \beta \ll 1 \quad (10)$$

This result agrees with the observations. The torque always lies in a direction perpendicular to the misalignment, and, for small angles, the torque is proportional to the misalignment. In addition, averaged over the polhode period, the magnitude of the torque for a given misalignment will slowly change if the angle,  $\gamma$ , between the rotor's principal axis and the spin axis slowly changes even though the patch effect potentials on the surface of the rotor and the housing remain fixed. Since the absolute value of the Legendre polynomial is always less than or equal to unity, for a given value of  $l$ , the polhode motion reduces the average torque compared to the torque in the absence of polhode motion ( $\gamma = 0$ ). The magnitude of the torque depends on the magnitude and distribution of the patch effect potentials on the surface of the rotor and the housing, but it is important to note that this torque is due to the interaction of the patch effect on the rotor with the patch effect on the housing. Neither surface alone will produce a torque.

In addition, this result explains the change in the magnitude of the torque when the operating mode of the electrostatic suspension system was changed. Since the torque is linearly proportional to the potential on the surface of the housing, a modulated voltage applied to the electrodes will, on the average, produce no net torque regardless of its magnitude. However, when a steady voltage is applied to the electrodes, the electrode potentials are the sum of the electrode patch effect potential and the voltage applied. This change in the electrode potential produces a corresponding change in the magnitude of the misalignment torque. The magnitude of the torque depends on the magnitude of the applied steady voltage as well as the orientation of each electrode with respect to the gyroscope spin axis.

### 2.3 Data Analysis in the Presence of Misalignment Torques

In an inertial reference frame (Silbergleit (2001)), the equations for the components of the gyroscope drift rate in the North-South and West-East directions in the presence of a misalignment torque may be written as

$$\begin{aligned}\frac{ds_{NS}}{dt} &= r_{NS} + k\mu_{WE} \\ \frac{ds_{WE}}{dt} &= r_{WE} - k\mu_{NS}\end{aligned}\tag{11}$$

Where  $s_{NS}$  and  $s_{WE}$  are the components of the gyroscope spin axis in the North-South and West-East directions,  $r_{NS}$  and  $r_{WE}$  are the uniform components of the gyroscope drift rate,  $\mu_{NS}$  and  $\mu_{WE}$  are the components of the misalignment,  $\beta$ , between the spin and roll axes in the North-South and West-East directions. From equation (10), this coefficient,  $k$ , is given by

$$k = \frac{1}{I\omega_s} \frac{\epsilon_0 a^2}{\Delta} \sum_{l=1}^{\infty} l(l+1) R'_{l0} H'_{l0} P_l(-\gamma).\tag{12}$$

This coefficient may be time dependent because the polhode angle,  $\gamma$ , is changing due to polhode damping even though the patch effect potentials are fixed on the surface of the rotor and the housing.

There are several methods of analyzing the data to determine simultaneously the uniform components of the drift rate and the misalignment torque coefficient based on the time history of the gyroscope spin axis orientation. One method is to estimate the uniform components of the drift rate as well as the misalignment torque coefficient, using an appropriate model of the time variation of the latter. This method along with preliminary results is described in the accompanying paper by Heifetz et al. (2009). A second, complementary method takes advantage of the linear combination of the two drift rate equations (11) which eliminates the torque coefficient. Defining the misalignment phase as



$$\theta = \tan^{-1} \frac{\mu_{WE}}{\mu_{NS}}. \quad (13)$$

the linear combination of the drift rate equations

$$\frac{ds_R}{dt} = \cos \theta \frac{ds_{NS}}{dt} + \sin \theta \frac{ds_{WE}}{dt} = r_{NS} \cos \theta + r_{WE} \sin \theta \quad (14)$$

is independent of the misalignment torque coefficient. For any given misalignment phase, it is possible to determine this radial component of the drift rate without any knowledge of the torque coefficient. Furthermore, with sufficient variation in the misalignment phase, it is possible to independently determine the two drift rate components,  $r_{NS}$  and  $r_{WE}$ .

Equation (14) describes the rate of change of the gyroscope spin axis orientation in the presence of a continuously changing, but known, misalignment. In the analysis of the data, the rate of change of the spin axis orientation must be determined from the measured orientation. This rate may be estimated by dividing the data into batches of 4 or 5 days and finding the rate of change of the orientation over this interval. Then, the radial rate is a sinusoidal function of the average misalignment phase. The amplitude and phase of this sinusoidal curve determine the uniform components of the gyroscope drift rate,  $r_{NS}$  and  $r_{WE}$ . Instead of dividing the data into 4 or 5 day batches, a better method is to find the gyroscope spin axis drift rate on an orbit-by-orbit basis. In this case, the gyroscope drift rates in the North-South and West-East directions may be determined from the first differences in the spin axis orientation,

$$r_i = \left. \frac{ds}{dt} \right|_i = \frac{s_{i+1} - s_i}{t_{i+1} - t_i}. \quad (15)$$

The noise in these gyroscope drift rates is sequentially correlated, and it is essential to explicitly include this sequential correlation in the measurement noise matrix. [See, for example, Reasenberg (1972)]. The first differences in the orientation estimates may then be used to construct a series of derived measurements of the gyroscope drift rate. These drift rate measurements are a function only of the uniform components and the misalignment phase. If the misalignment phase is known, then a least squares fit may be used to determine the uniform components of the drift rate as long as the sequential correlation and the dependence on the misalignment phase are explicitly included.

## 3 Resonance Torques

### 3.1 Observations

In the course of the data analysis, we found that significant changes in the orientation of the gyroscope spin axis occurred during those intervals when a harmonic of the gyroscope polhode frequency was nearly equal to the satellite roll frequency. Although measurable changes did not always occur at those times when this resonance condition held, each measurable change occurred at one of these resonance conditions.

Figure 2 shows the magnitude of the components of the gyroscope 2 readout signal at the cosine and sine of the roll frequency for each orbit during one of these resonances. The cosine component is proportional to the angle between the gyroscope spin axis and the apparent direction to the guide star in the plane of the orbit, while the sine component is proportional to the same angle in the perpendicular direction. Figure 3 is a plot of these components versus one another.

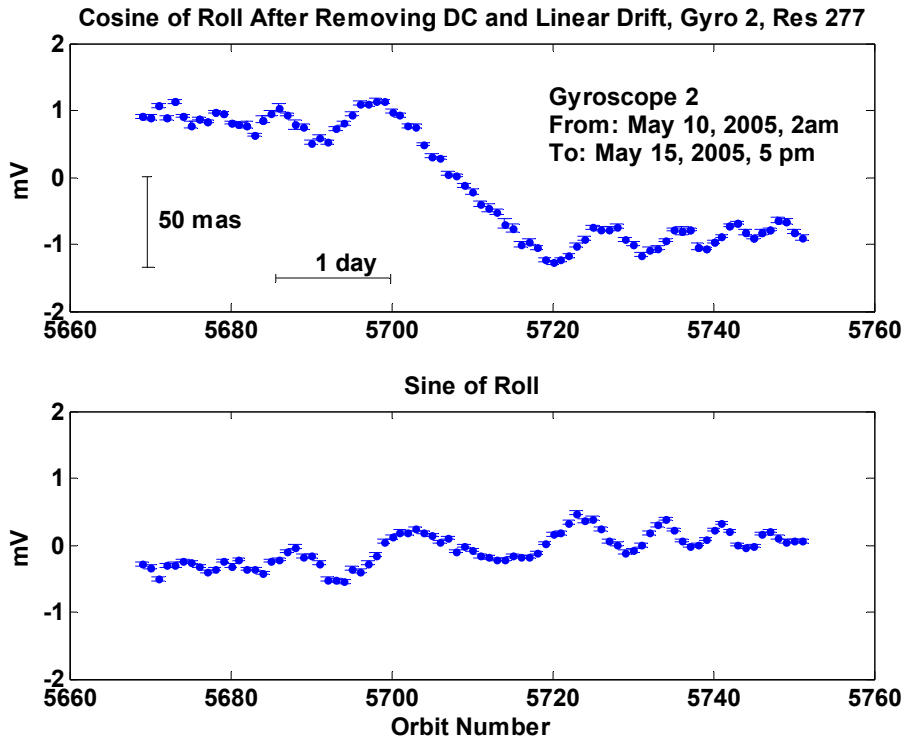


Figure 2. Amplitude of Components of Gyroscope Readout Signal at Cosine and Sine of Satellite Roll Frequency

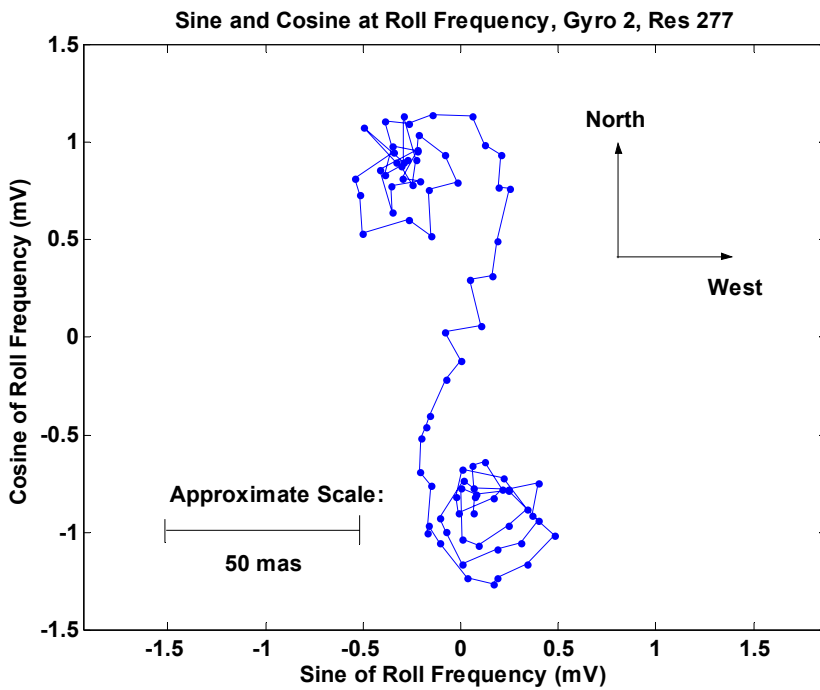


Figure 3. Components of Gyroscope Readout Signal at Satellite Roll Frequency. Each point represents the estimated average orientation for one orbit of the satellite.

### 3.2 Explanation and Calculation of Torque

From equation (7), the spin averaged torque may be calculated during those intervals where a harmonic of the gyroscope polhode frequency is equal to the satellite roll frequency. In this case, there are two components of the torque because the spin averaged energy in the electrostatic field depends on both the angles,  $\alpha$  and  $\beta$ , which define the orientation of the gyroscope spin axis with respect to the guide star reference frame:

$$\begin{aligned}\tau_\alpha &= -\frac{\partial \bar{W}}{\partial \alpha} = -\frac{\epsilon_0 a^2}{\Delta} \sum_{l=0}^{\infty} \sum_{m=-l}^l \sum_{q=-l}^l \operatorname{Re} \left( R'_{lm} H'_{lq}{}^* i q e^{-iq\alpha} d_{q0}^l(\beta) d_{0m}^l(-\gamma) e^{im\phi_P} e^{-iq\phi_R} \right) \\ \tau_\beta &= -\frac{\partial \bar{W}}{\partial \beta} = \frac{\epsilon_0 a^2}{\Delta} \sum_{l=0}^{\infty} \sum_{m=-l}^l \sum_{q=-l}^l \operatorname{Re} \left( R'_{lm} H'_{lq}{}^* e^{-iq\alpha} \frac{\partial d_{q0}^l(\beta)}{\partial \beta} d_{0m}^l(-\gamma) e^{im\phi_P} e^{-iq\phi_R} \right)\end{aligned}\quad (16)$$

A third component of the spin-averaged torque, which lies along the instantaneous spin axis, is zero. These components of the torque are not orthogonal. The torque in the guide reference frame in the two perpendicular directions which are also perpendicular to the direction to the guide star may be found from the relations

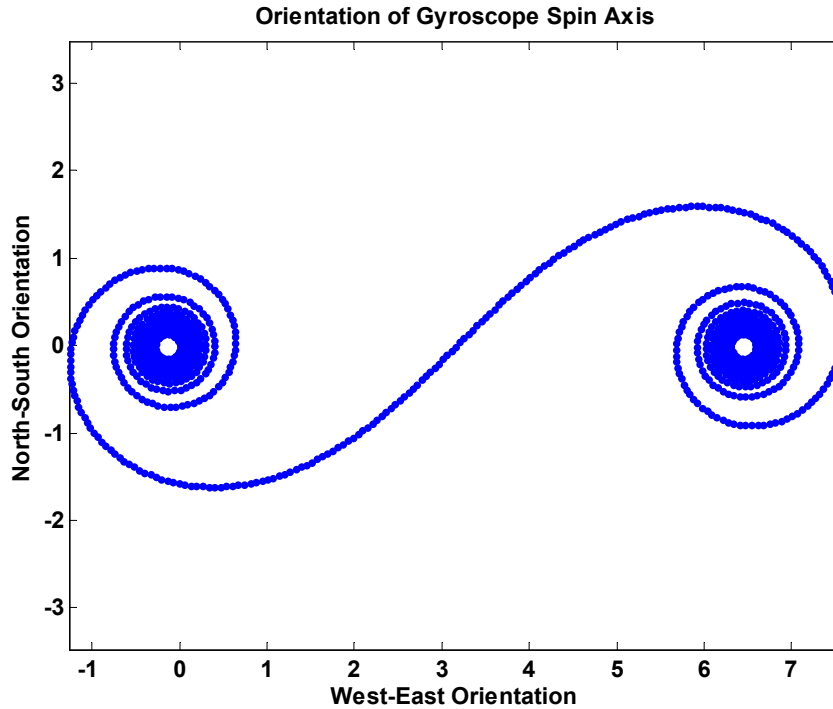
$$\begin{aligned}\tau_x &= -\tau_\alpha \cos \alpha \cot \beta - \tau_\beta \sin \alpha \\ \tau_y &= -\tau_\alpha \sin \alpha \cot \beta + \tau_\beta \cos \alpha \\ \tau_z &= \tau_\alpha\end{aligned}\quad (17)$$

Combining these last two equations and transforming to the inertial reference frame (Silbergleit, 2001), the components of the spin axis drift rate in the North-South and West-East directions are

$$\begin{aligned}\frac{ds_{NS}}{dt} &= a_m \cos \Delta\phi - b_m \sin \Delta\phi \\ \frac{ds_{WE}}{dt} &= a_m \sin \Delta\phi + b_m \cos \Delta\phi\end{aligned}\quad (18)$$

where  $\Delta\phi$  is the phase difference between the  $m^{\text{th}}$  harmonic of the polhode phase and the satellite roll phase. For small misalignment angles,  $\beta \ll 1$ , the coefficients  $a_m$  and  $b_m$  are given by

$$\begin{aligned}a_m &= \frac{\epsilon_0 a^2}{\Delta} \sum_{l=0}^{\infty} \sqrt{l(l+1)} d_{0m}^l(\gamma) \operatorname{Re} \{ R_{lm} H_{l,-1} \} \\ b_m &= \frac{\epsilon_0 a^2}{\Delta} \sum_{l=0}^{\infty} \sqrt{l(l+1)} d_{0m}^l(\gamma) \operatorname{Im} \{ R_{lm} H_{l,-1} \}\end{aligned}\quad (19)$$



**Figure 4.** Expected Change in Orientation due to Resonance Torques. The units in this figure are arbitrary but are equal in the North-South and West-East directions.

Assuming the polhode frequency is changing approximately linearly with time at a rate  $r$  close to the resonance, the phase difference changes quadratically with time, the time history of the orientation of the gyroscope spin axis may be found by integrating the drift rate equations

$$\begin{aligned}
 s_{NS}(t) &= s_{NS0} + a_m \int_{-\infty}^t \cos \frac{rt^2}{2} + b_m \int_{-\infty}^t \sin \frac{rt^2}{2} \\
 s_{WE}(t) &= s_{WE0} - a_m \int_{-\infty}^t \sin \frac{rt^2}{2} + b_m \int_{-\infty}^t \cos \frac{rt^2}{2}
 \end{aligned}
 \tag{20}$$

The integrals in these equation are Fresnel's integrals, and the path traced out by the components of the gyroscope spin axis is the Cornu spiral as shown in Figure 4. This curve agrees well with the measurements shown in Figure 3.

### 3.3 Data Analysis in the Presence of Resonance Torques

As long as the polhode phase is known well enough, the times at which the resonances occur and the interval over which the phase difference is less than  $2\pi$  radians may be accurately determined. Outside of this interval, although these resonance torques have a constant amplitude but time dependent frequency, the deviation of the gyroscope's spin axis orientation is less a small fraction of the magnitude of the total offset produced by the resonance torque. The most straightforward approach to the analysis of the data in the presence of these resonance torques is simply to exclude the data during these intervals and to assume that the torques produce an unknown change in the orientation during this time. With such a data analysis approach, it is, of course, necessary to determine the sensitivity of the overall result to the width of the interval over which the data is excluded.

An alternative approach would be to use the data during each of the resonances to determine the two coefficients,  $a_m$  and  $b_m$ , for each resonance. Although this approach increases the number of coefficients which need to be determined from the data analysis, the advantage is that additional, unsegmented data may be used. Covariance analyses have shown that there is a net increase in the precision of the results when data during the resonance is included and the two coefficients are determined along with the other parameters. The caveat here is that it is necessary to clearly demonstrate that the model used for the orientation or rate during each of these resonances is accurate.

## 4 Summary and Conclusion

The misalignment and resonance torques produced significant disturbances to the orientation of the gyroscope spin axes. These torques may be explained by the same physical effect – an interaction of patch effect fields on the surface of the rotor with patch effect fields on the surface of the housing. The misalignment torque is proportional to the misalignment between the gyroscope spin axis and the satellite roll axis. All four gyroscopes exhibited a drift rate due to the misalignment torque, but the magnitude of the torque varied considerably from one gyroscope to another and over the duration of the mission. The resonance torques, on the other hand, are independent of the misalignment to lowest order, but their frequency depends on the difference between a harmonic of the polhode frequency and the satellite roll frequency. When this frequency difference is small, they can produce a permanent offset of the gyroscope spin axis. Because of the significantly different polhode frequencies and rates of change of the polhode frequencies, the frequency of the offsets due to the resonance torques was very different for the different gyroscopes. As the polhode motion was damped out, the frequency of these resonance conditions decreased.

In the analysis of the telemetry data from the satellite, the effects of these torques may be clearly separated from the uniform drift rate. In the case of the misalignment torques, the drift rate due to these torques may be separated from the uniform drift rate because of the known direction of the misalignment drift rate which is perpendicular to the misalignment. Two alternative data analysis methods can be used: one only uses the component of the drift rate in a direction parallel to the misalignment, the other uses the drift rate information in both directions but includes the misalignment torque coefficient as one of the parameters in the data analysis. The effects of the resonance torques may also be separated from the uniform drift rate either by not using the data during those times when the effects of the resonance torques are most pronounced or by explicitly included two additional parameters for each resonance in the data analysis.

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## **THE GRAVITY PROBE B DATA ANALYSIS FILTERING APPROACH**

**Michael Heifetz et al.**

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# The Gravity Probe B Data Analysis Filtering Approach

M.Heifetz, W.Bencze, T.Holmes, A.Silbergleit, V.Solomonik

## Abstract

A simple strategy of the Gravity Probe B (GP-B) data analysis has evolved in the elaborate multi-level structure after the discovery of the complex polhode motion, and of the patch effect torques. We describe a cascade of four estimators (filters) that reduce the science data (SQUID and telescope signals) to the estimates of the relativistic drift rates. Those estimators, structured in two “floors”, are based on the polhode-related models for the readout scale factor and patch effect torque. Results of the 1<sup>st</sup> Floor processing - gyro orientation profiles - manifest clearly the strong geodetic effect but also the presence of classical torque. Modeling of the patch effect torque at the 2<sup>nd</sup> Floor provides a successful compensation of the torque contributions, and leads to consistent estimates of the relativistic drift rates.

## 1 Introduction

Data analysis in the GP-B experiment - estimation of the relativistic drift rate for each of the four unique GP-B gyroscopes - was supposed to be rather straightforward [Haupt (1996), Heifetz et al. (2003)]. One should take the low frequency (LF) SQUID readout signal for the duration of the mission, calibrate the scale factor based on orbital and annual aberration known from the GPS orbit data and earth ephemeris; obtain the time-history of the gyroscope inertial orientation in the projections on the directions in the orbital plane and perpendicular to it, and then estimate the slopes of those projections, which were supposed to be almost straight lines. The slope of the line in the orbital plane gives the measurement of the geodetic effect. The slope of the projection perpendicular to the orbital plane gives the measurement of the frame-dragging effect [this is exactly true for the ideal polar orbit with the Guide Star (GS) in the orbit plane].

In reality, an unexpected damped polhode motion of all the GP-B rotors, and some larger than expected classical torques on them, were discovered on orbit. In addition, about a year of science data was cut into 10 segments by various spacecraft (S/C) anomalies. This has turned a “simple” data analysis strategy into a challenging adaptive estimation process involving a multi-level filtering machinery.

There are three cornerstones of the GP-B filtering (estimation) method. 1) SQUID readout signal structure: *measurement models* – these models are based on the underlying physics and engineering of the GP-B instrument. 2) Gyroscope motion: *torque models* – accumulated understanding of the underlying physics. 3) Filter implementation: *numerical techniques* – algorithms from the estimation theory [Kailath et al. (2000), Bierman (2006)] specifically adjusted to GP-B data.

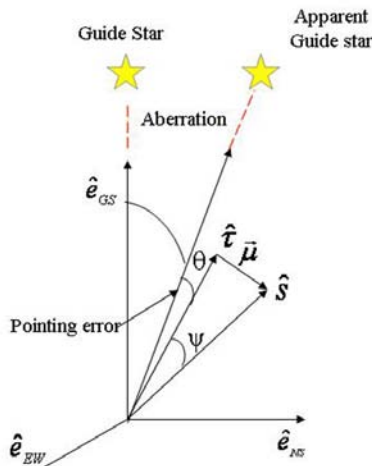
To successfully estimate the relativity parameters, the filtering approach must meet several major challenges: a) the complicated variation of the readout scale factor (see details in Sect. 3); b) a continuously acting torque due to electrostatic patch effect (see Sect. 4); c) simultaneous estimation of the relativity parameters, multiple torque and scale factor coefficients; d) noisy measurements that depend on unknown parameters in a nonlinear fashion; e) segmented science data (see Sect. 3); f) the large volume of data (~one terabyte): four gyroscopes x 4605 orbits of science data.

In Sect. 2 the main original idea of a “simple” data analysis is explained and the science signal measurement model is introduced. Sect. 3 presents the current two-floor structure of the filtering approach, gives the description of the model of scale factor variations, and shows the results of the

science signal analysis. Those are the gyroscope orientation profiles revealing the presence of Newtonian torques. A model of the patch effect torque is introduced in Sect. 4, and a concept of the “reconstruction” of the gyroscope’s relativistic motion by means of modeling, estimation, and elimination of the torque contributions from the orientation profiles is described. A further expansion of the data analysis, the “two-second filter”, is described in Sect. 5. Section 6 contains some conclusions.

## 2 “Simple” GP-B Data Analysis: Pre-Launch Concept

### 2.1 Inertial frame and the relevant vectors



**Figure 1.** Inertial Reference Frame.

The drift experienced by the GP-B gyroscopes is measured in the inertial Cartesian frame  $\{\hat{e}_{GS}, \hat{e}_{EW}, \hat{e}_{NS}\}$  introduced by Silbergleit et al. (2001) (see Fig. 1). Its first unit vector  $\hat{e}_{GS}$  points from the Earth center to the true position of the GS (IM Pegassi). The second unit vector is  $\hat{e}_{EW} = (\hat{e}_{GS} \times \hat{z}) / |\hat{e}_{GS} \times \hat{z}|$ , where  $\hat{z}$  is the unit vector of the inertial frame JE2000 [see Seidelmann (1992), McCarthy (1996)]. The last axis  $\hat{e}_{NS}$  is naturally defined as  $\hat{e}_{NS} = \hat{e}_{EW} \times \hat{e}_{GS}$ . The  $\hat{z}$  axis coincides with the Earth rotation axis at noon, January 1, 2000, and stays very close to it later.

Thus the geodetic drift is in the direction of  $\hat{e}_{NS}$ , and the frame-dragging drift is along  $\hat{e}_{EW}$ , if the orbit is polar and contains  $\hat{e}_{GS}$  in its plane. The real GP-B orbit is close enough to this ideal one, so the frame is a natural choice for GP-B data analysis.

The unit vector  $\hat{S}$  represents the gyroscope spin axis, while  $\hat{t}$  points along the S/C roll axis. The *misalignment angle*,  $\psi$ , between these vectors is only  $\sim 10^{-4}$  or smaller, and they are both at about the same small angle to  $\hat{e}_{GS}$ . For this reason, the *misalignment vector*,  $\hat{\mu}$ , defined as  $\hat{\mu} = \hat{S} - \hat{t}$ , lies, to lowest order, in the NS – EW plane, and  $\psi \approx \mu \sim 10^{-4}$ .

When the GS is visible by the telescope (GS valid, GSV), the S/C attitude and translation control system points the S/C roll axis in the apparent direction to the GS. The latter differs from true direction to the GS by the sum of the orbital and annual aberrations (up to 25 arcs), the pointing error,  $\theta$ , (within  $\sim 50$  marcs on average), and the contribution of the stellar parallax and starlight bending by the Sun (up to 25 mas). In the pre-launch data reduction concept, only GSV periods were planned to be analyzed.

### 2.2 Measurement model

The GP-B readout system provides measurements of the time-varying angle between the direction of the gyroscope spin axis aligned with the London moment [Turneure et al. (2003), Everitt et al. (2008)], and the SQUID pick-up loop that rolls with the S/C. The corresponding measurement model is shown, to lowest order, to be [Silbergleit (2006)]:

$$Z(t) = C_g(t) \left\{ \begin{aligned} & [\tau_{NS}(t) - s_{NS}(t)] \cos(\Phi_r(t) + \delta\varphi) + \\ & + [\tau_{EW}(t) - s_{EW}(t)] \sin(\Phi_r(t) + \delta\varphi) \end{aligned} \right\} + bias + noise. \quad (1)$$

There are two groups of variables in this equation. The first group consists of the available data:  $Z(t)$  is the SQUID signal,  $\Phi_r(t)$  is the roll phase signal, and  $\tau_{NS}(t), \tau_{EW}(t)$  are known (see the previous section; pointing error is determined by the telescope signal). The second group of the unknown variables that need to be estimated includes the readout scale factor  $C_g(t)$ , the gyroscope inertial orientation  $s_{NS}(t), s_{EW}(t)$ , the roll phase offset  $\delta\varphi$ , and the bias components, such as the SQUID calibration signal.

In the original pre-launch strategy of the data analysis, the idea of a “simple” batch-based estimator was implemented. The science signals were processed in a sequence of short batches; within each batch, the scale factor and the roll phase offset can be calibrated using the known total aberration, and subsequently, the estimates  $\tilde{s}_{NS}(t)$  and  $\tilde{s}_{EW}(t)$  of the gyro orientation time history could be obtained. The estimated curve of each of the orientations would then be fitted to a line, and the estimated slopes would give the measurement of the relativity parameters.

Two surprising on-orbit findings led to the development of a much more elaborate two-floor structure of the data analysis described below.

## 3 Two-Floor Structure of Data Analysis

### 3.1 Modification of the pre-launch scheme

Pre-launch data analysis strategy was built on the assumption that no modeling of classical torques is needed. In this case processing of the SQUID signal could be done in one batch, or, to check the instrument stability, in a sequence of batches. During the mission, after the discovery of the polhode-related behavior of the scale factor  $C_g(t)$  [see Silbergleit et al. (2009)] and of the so called *misalignment* torque [see Keiser et al. (2009)], it became evident that more sophisticated modeling of both the scale factor and torque is necessary. The magnitude of the misalignment torque is proportional to the (small) misalignment,  $\mu$ , and its direction is perpendicular to the misalignment vector (Sect. 2.1). This torque acted continuously, so it requires continuous modeling for the entire orbit, both its GSV and GSI parts (GSI=GS Invalid, the period when the GS is occulted by the Earth).

To simplify the development of a cumbersome analysis structure, save the computational resources and stabilize filtering algorithms, we decided to carry out data analysis in two steps called *floors*. The 1<sup>st</sup> Floor is a modification of the pre-launch scheme using batch processing with no torque modeling within each batch. At the 2<sup>nd</sup> Floor torque and more accurate scale factor modeling occurs, as a way of integrating information from all the batches. For various reasons, we have chosen the batch length equal to one orbit (~97 min).

The implementation of the two-floor analysis structure faced an additional difficulty in the form of several different characteristic time scales present in the signals. The longest of them is 1 year, the period of the annual aberration. Unfortunately, the year-long duration of the experiment was interrupted by several spacecraft anomalies (e.g. the solar flare on January 20, 1995), so science data came in 10 segments separated by day long gaps (the list of segments is given in Table 1). Naturally, one of the questions to be resolved was how to connect those segments in the data analysis.

Segment	Science Data	Duration (days)
1	September 13, 2004 – September 23	11
2	September 25 – November 10	47
3	November 12 – December 04	23
4	December 05 – December 09	5
5	December 10 – January 20, 2005	42
6	January 21 – March 04	43
7	March 07 – March 15	9
8	March 16 – March 18	3
9	March 19 – May 27	70
10	May 31 – July 23, 2005	54

**Table 1.** Segmented Science Data

The next important time scale is the orbital period, the period of orbital aberration calibrating the scale factor within each batch. A short interval ( $\sim 2$  min) of the GS reacquisition by the telescope is also a part of the data for each orbit.

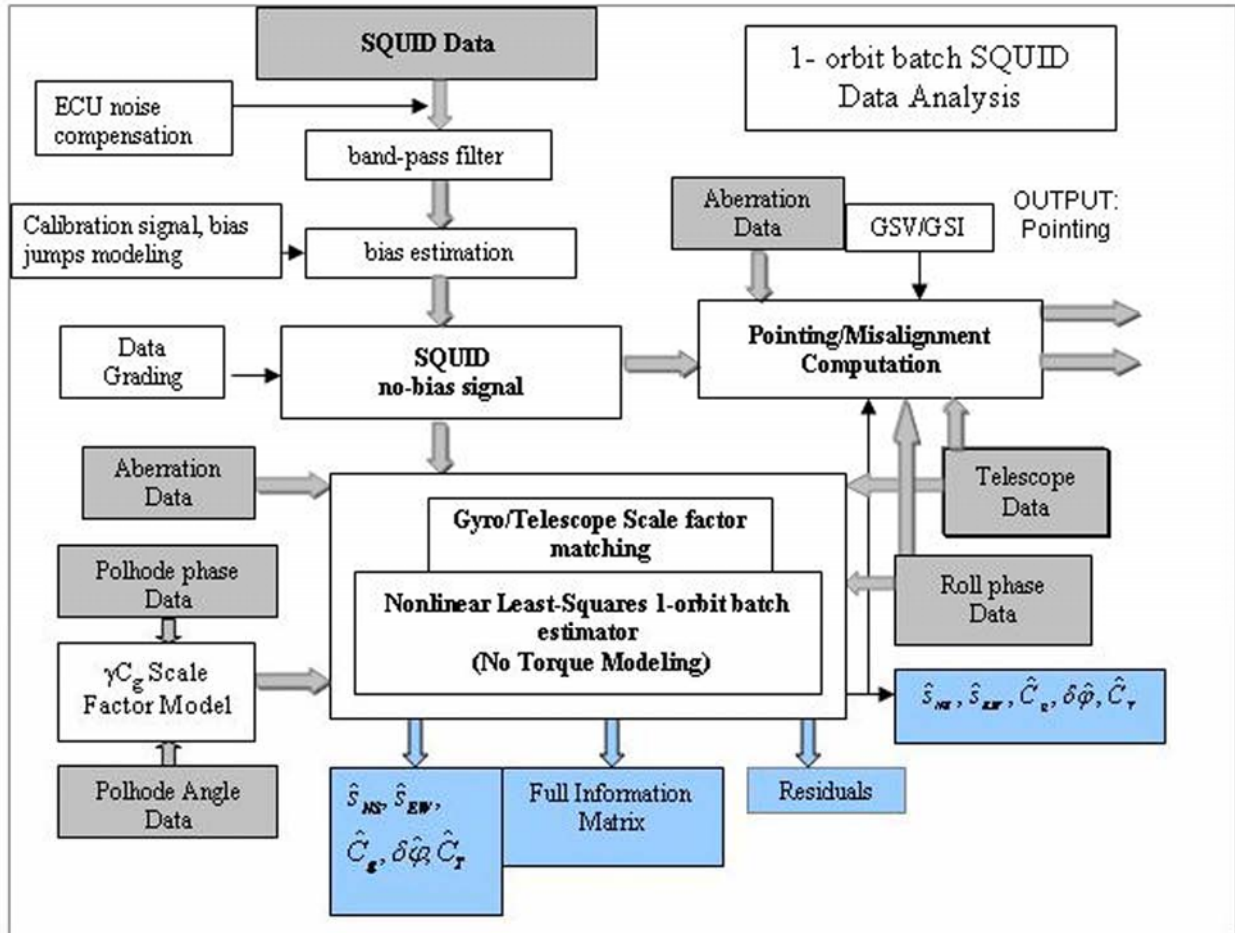
The third meaningful time scale is the period of the GP-B satellite roll (77.5 sec): the science signal is at the roll frequency, as seen from Eq. (1). Other time scales are related to the gyro polhode motion: it is the polhode period ( $\sim$  few hours), which itself is changing slowly with the characteristic time of kinetic energy dissipation ( $\sim 1$  or 2 months, depending on the gyro) [Silbergleit (2009)].

All these time scales were taken into account in the design of the data analysis software architecture. The orbital period was the main batch length for the 1<sup>st</sup> Floor of the data reduction (see Sect. 3.2 below). The changing polhode period and the energy dissipation times were the key parameters in the development of the trapped flux mapping (TFM) [Conklin (2009), Silbergleit et al. (2009)], and the outputs of the TFM, the polhode phase and polhode angle, were key ingredients of the scale factor and torque modeling (see Sect. 3.3 and Sect. 4 below). The annual aberration, which is defined by the annual period, is the main long-term calibrator of the scale factor and also, the main contributor to the misalignment time signature. The latter is essential for the observability and identification of the misalignment torque coefficients (see Sect. 4). Lastly, the roll frequency is, naturally, the fundamental frequency of the SQUID science signal. But in addition, it is also one of the key elements of the roll-resonance torque and the algorithms of its compensation (see Sect. 4 and Sect. 5).

### 3.2 Floor 1: one-orbit batch data reduction

The idea of the 1<sup>st</sup> Floor is the compression of science data within each orbit. The inputs are the LF SQUID and telescope signals, roll phase signal, and pre-computed aberration data. The filtering (estimation) is carried out independently for each orbit based on the measurement model (1). The state vector includes the two components of the gyro inertial orientation, the coefficients of the scale factor polhode variations, the roll phase offset, the two telescope scale factors (of two directional channels), and the coefficients in the bias variation model. The  $1/f$  nature of the SQUID noise is addressed by applying a band-pass digital filter to the SQUID and telescope signals. The resulting signal spectrum is within the roll  $\pm$  orbit frequency band. No torque modeling is performed at the 1<sup>st</sup> Floor. The output of the 1<sup>st</sup> Floor data reduction consists of the estimates of the state vector (1 point per orbit), full information (inverse

covariance) matrix, and the post-fit residuals. An additional output, the misalignment and pointing signals, is used for the misalignment torque modeling at the 2<sup>nd</sup> Floor (see Sect. 4).



**Figure 2.** First Floor structure block-diagram.

Among various modules in the 1st Floor structure (Fig.2) several should be highlighted:

- 1) Scale factor model
- 2) Pointing error compensation - gyroscope/telescope scale factor matching
- 3) Data grading (using only high quality data)
- 4) Bias model (including polhode variations, bias jumps, calibration signal components, etc.)
- 5) Nonlinear least-squares estimator. The latter is implemented in the form of the square-root information filter [Bierman (2006)] that provides numerical stability and computational fidelity.

### 3.3 Floors 1 and 2: readout scale factor modeling

A mathematical model of the scale factor variations due to trapped magnetic flux and gyro polhoding has been shown to be ( $\gamma C_g$ -model):

$$C_g(t) = C_{g0} \left\{ 1 + \sum_{m=0}^M a_m \cos(m\Phi_0(t)) + b_m \sin(m\Phi_0(t)) \right\}, \quad (2a)$$

$$\begin{pmatrix} a_0(\gamma_0) \\ b_0(\gamma_0) \end{pmatrix} = \sum_{n=0}^{N_0} \begin{pmatrix} a_{0n} \\ b_{0n} \end{pmatrix} \varepsilon_0^n(t), \quad \begin{pmatrix} a_m(\gamma_0) \\ b_m(\gamma_0) \end{pmatrix} = \sum_{n=1}^{N_n} \begin{pmatrix} a_{mn} \\ b_{mn} \end{pmatrix} \varepsilon_0^{n+1}(t), \quad m = 1, 2, \dots; \quad (2b)$$

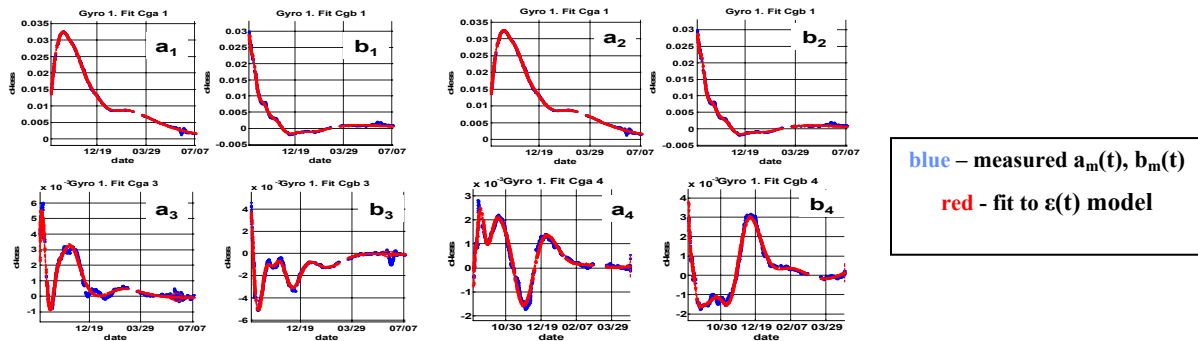
$$\varepsilon_0(t) = \tan(\gamma_0(t)/2).$$

Here  $\Phi_0$  and  $\gamma_0$  are the polhode phase and polhode angle calculated for a symmetric gyroscope based on the information from the TFM [see Silbergleit et al. (2009) for all the details]. The numbers  $M$ ,  $N_0$ ,  $N_n$  are chosen so to make these approximations good enough for our analysis accuracy without including unnecessary terms (in theory, all the expansions are, of course, infinite). The number of terms required decreases towards the end of the mission, with the damping of polhode variations.

In this model the scale factor is represented as an expansion in harmonics of the polhode phase, but the coefficients of this expansion turn out to be functions of the polhode angle. The polhode frequency and angle are slowly changing due to energy dissipation, with the characteristic time of this process (1-2 months). At Floor 1 only

Eq. (2a) is used, and the coefficients  $a_m$  and  $b_m$  are estimated once per orbit. At the 2<sup>nd</sup> Floor these estimates are fit to the model (2b), with the unknown parameters  $a_{mn}$  and  $b_{mn}$  to be estimated. If the fit is successful, then the complete physical model (2a), (2b) is justified. The fit results for real data are plotted in Fig.3; clearly, the model explains the complicated profiles of the coefficients  $a_m$  and  $b_m$  very well. An identical model is used at the 2<sup>nd</sup> Floor for the torque coefficients (Sect. 4). The scale factor model (2a), (2b) will also be used in a new two-second filter described in Sect. 5.

The successful use of the  $\gamma C_g$ -model to a significant extent is due to TFM that computes a highly accurate polhode phase and angle for each gyroscope for the whole duration of the science mission. It also provides an alternative to the estimation of scale factor variations by the model (2a), (2b). Namely, scale factor variations were computed from the TFM results (again, for each gyro and the whole mission), and could be used as a known function, simplifying significantly the 1<sup>st</sup> Floor processing.



**Figure 3.** Gyro 1 Polhode Harmonics from Batch Analysis with Fits to  $\gamma C_g$ -model (dimensionless values).



### 3.4 Gyroscope orientation profiles: moving window method

The main inputs of the 2<sup>nd</sup> Floor are the gyro orientation profiles from the 1<sup>st</sup> Floor, along with their covariance matrix. However, the 1<sup>st</sup> Floor profiles are not smooth enough for the torque modeling. Smoothing of the noisy 1-orbit batch orientation estimates is performed by means of a “moving window” concept.

A window of a length of several polhode periods moves along the time axis with one-orbit shifts. Within each window the information obtained by the one-orbit batch processing is integrated: components of the 1<sup>st</sup> Floor state vector for each orbit are treated as the “measurements” of the window state vector parameters, and the information matrices available for each orbit are summed up. To propagate the gyro orientation within a window, we apply the misalignment torque model (see Sect. 4.1). If there is a jump in one or both orientation components, it is modeled as a “ramp”. The output of the “moving window” estimator is a pair of smoothed profiles of gyro orientation (1 point per orbit) in the NS and EW directions, along with their uncertainty at each point (see Fig. 4 and Fig.5). There is a clear linear trend in the NS plot that should probably represent the geodetic effect, and about the right size, relative to the GR prediction. However, the EW component is by far not a straight line: the small frame-dragging effect is completely concealed by classical torque contributions.

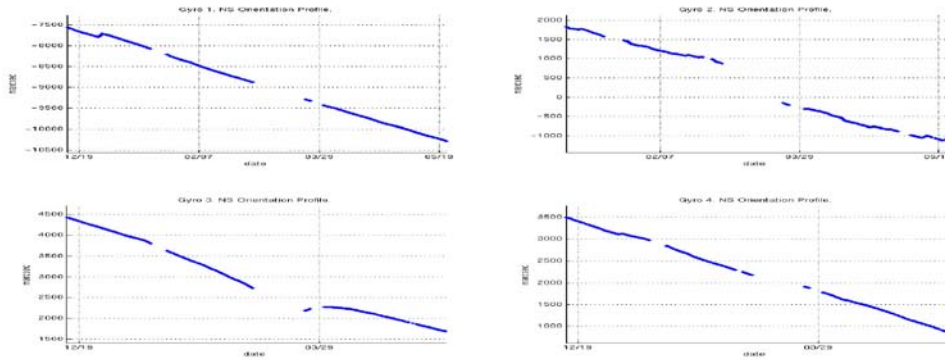


Figure 4. Gyroscope Orientation Time History (NS direction; units of the vertical axis are arc-seconds).

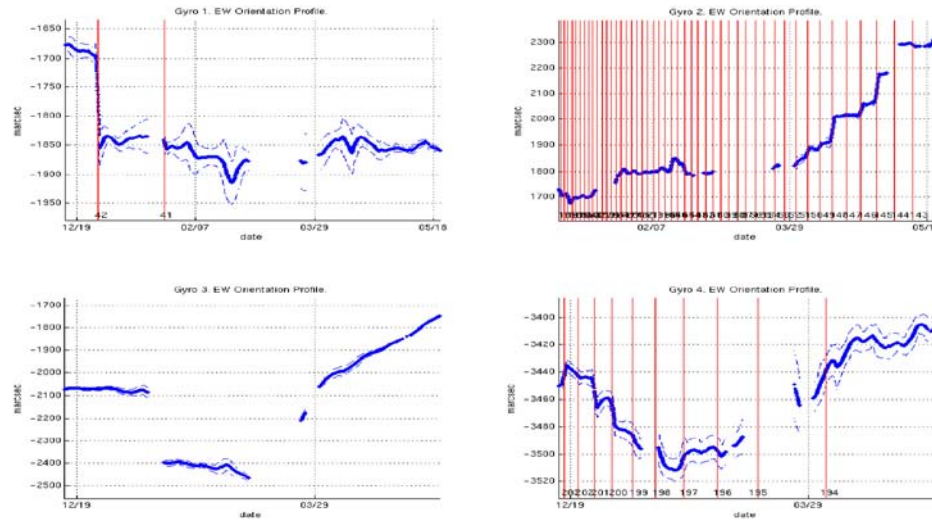


Figure 5. Gyroscope Orientation Time History (EW direction). Red vertical lines correspond to the resonances  $\omega_r = m\omega_p(t)$  (units of the vertical axis are arc-seconds).

## 4 Floor 2: Misalignment and Roll-Resonance Torque Modeling. Torque Compensation and Relativity Estimation

The “moving window” smoothing allows one to observe the fine structure of the gyro orientation. It was instrumental in understanding and modeling of the resonance phenomena, i.e. jumps in the orientation profiles. Kolodziejczak (2007) identified many significant jumps with the so called *roll-resonance* torques which are not averaged out over the roll period around times,  $t_m$ , when the constant roll frequency,  $\omega_r$ , coincides with a harmonic of the time-varying polhode frequency,  $\omega_p$ , i.e.,  $\omega_r = m\omega_p(t_m)$  [Everitt et al. (2008), Keiser et al. (2009)]. A physical model of both the misalignment and roll-resonance torque and its use in the 2<sup>nd</sup> Floor analysis is described in this section.

### 4.1 Misalignment and roll-resonance torque model

One of the assumptions in the early phases of the post-flight GP-B data analysis was that only the roll-averaged patch effect torque should be considered. Patch effect torque is caused by the variation of electrostatic potential on the rotor and housing surfaces and their relative motion. Discovery of the resonance torques and subsequent derivation of the roll-resonance torque model [Keiser et al. (2009)] showed that the non-roll-averaged torque should be taken into account, that is, should be modeled and compensated.

As mentioned, the patch effect produces two torques that have to be taken into account in the data reduction. The misalignment torque is proportional to the misalignment  $\mu$ , with the coefficient determined by the patch patterns. There is also a contribution of zero order in  $\mu$  (not vanishing when  $\mu=0$ ), which varies at the harmonics of roll; the coefficients involved in it are also determined by the patches.

Due to the polhode motion of the rotor, all the torque coefficients are superpositions of multiple polhode frequency harmonics. When one of the multiple frequencies coincides with the roll frequency, a non-averaging d.c. contribution appears and affects the long-term gyroscope drift. In addition, there is some evidence that the resonance torque does not completely average to zero over every roll period even between the resonances. Therefore it should also be modeled continuously in the estimation.

The equation of motion of the GP-B gyroscope spin axis ( $\hat{S}$ ) in the presence of the misalignment and roll-resonance torque is:

$$\begin{aligned} \frac{ds_{NS}}{dt} &= r_{NS} + k(t)\mu_{EW} + [c^-(t)\cos(\theta + \Phi_r) - c^+(t)\sin(\theta + \Phi_r)] \\ \frac{ds_{EW}}{dt} &= r_{EW} - k(t)\mu_{NS} + [c^-(t)\sin(\theta + \Phi_r) + c^+(t)\cos(\theta + \Phi_r)], \end{aligned} \quad (3)$$

where  $r_{NS}$  and  $r_{EW}$  are the relativistic drift rates,  $\mu_{NS}$  and  $\mu_{EW}$  are the inertial components of the misalignment,  $\vec{\mu}$ , (see Fig.1), and  $k(t)$ ,  $c^\pm(t)$  are the torque coefficients. Expressions in brackets represent the non-roll-average, roll-resonance torque model, where  $\Phi_r$  is the roll phase, and  $\theta$  is the misalignment phase,  $\theta = \arctan(\mu_{EW} / \mu_{NS})$ . The misalignment phase can be used as a pre-calculated function of time (as done in the analyses of data presented below), or set to be a constant. There is some question whether  $\theta$  has the form used here, or the constant value  $\theta = \pi/2$  as in the resonance torque model [Keiser et al. (2009)].



The torque coefficients  $k(t)$ ,  $c^\pm(t)$  related to the patch distribution can be represented in exactly the same way as the scale factor  $C_g$  in Eqs. (2a), (2b), namely:

$$c(t) = \sum_{m=0}^{\tilde{M}} [c_{1m}(\gamma_0) \cos m\Phi_0(t) + c_{2m}(\gamma_0) \sin m\Phi_0(t)], \quad (4a)$$

$$\begin{pmatrix} c_{10}(\gamma_0) \\ c_{20}(\gamma_0) \end{pmatrix} = \sum_{n=0}^{\tilde{N}_0} \begin{pmatrix} c_{10n} \\ c_{20n} \end{pmatrix} \varepsilon_0^n(t); \quad \begin{pmatrix} c_{1m}(\gamma_0) \\ c_{2m}(\gamma_0) \end{pmatrix} = \sum_{n=1}^{\tilde{N}_n} \begin{pmatrix} c_{1mn} \\ c_{2mn} \end{pmatrix} \varepsilon_0^{n+1}(t), \quad m = 1, 2, \dots; \quad (4b)$$

$$\varepsilon_0(t) = \tan(\gamma_0(t)/2).$$

Here  $\Phi_0$  and  $\gamma_0$  are the polhode phase and polhode angle calculated for a symmetric gyroscope, and  $c(t)$  stands for any of the torque coefficients. Thus  $c_{1m}(t) = k_{1m}^\pm(t)$ ,  $c_{1m}^\pm(t)$ ,

$c_{1mn} = k_{1mn}^\pm$ ,  $c_{1mn}^\pm$ , and  $c_{2m}(t) = k_{2m}^\pm(t)$ ,  $c_{2m}^\pm(t)$ ,  $c_{2mn} = k_{2mn}^\pm$ ,  $c_{2mn}^\pm$ , respectively. The only difference between the formulas (2b) and (4b) is in the meaning of the states to be estimated,  $\{a_{mn}, b_{mn}\}$  in (2b), and  $\{c_{1mn}, c_{2mn}\}$  in (4b). The values of the first set are determined by the trapped field distribution on the rotor surface, while in the second case they depend on the electrostatic patch patterns and the inertial asymmetry of the rotor.

The torque model (3), (4a), (4b) exploits the combinations of phases  $\Phi_m^\mp = \Phi_r \mp m\Phi_p$ , and produces shifts in the orientations  $S_{NS}$  and  $S_{EW}$  at the resonance times when  $\Phi_m^-(t)$  passes through zero, which is equivalent to the above resonance condition  $\omega_r = m\omega_p(t)$ . Naturally, the following conceptual questions arise: Can the torque model (3) - (4) explain the observed multiple jumps in the gyroscope orientation profiles? Could all the significant torque contributions be estimated using this model and subtracted from the gyro orientation profiles, providing thus a reconstruction of the gyroscope relativistic motion and measurement of its rate? As shown below, both answers are affirmative.

## 4.2 Elimination of torque contributions from the 1st Floor orientation profiles: Proof of concept

The output of the 1<sup>st</sup> Floor includes, in general, the multidimensional state vector (gyro orientation, scale factor coefficients, roll phase offset, SQUID bias components, etc.) and the full information matrix. To address the above questions, we consider only the orientation profiles and their uncertainties as inputs to the 2<sup>nd</sup> Floor processing. The Kalman filter is a natural tool for solving this simplified estimation problem: orientation profiles give the measurement of the orientations  $S_{NS}$  and  $S_{EW}$  at the discrete, 1 point per orbit, known time points. Their behavior,  $S_{NS}(t)$  and  $S_{EW}(t)$ , is described by the dynamic model (3), (4a), (4b). One complication should be immediately addressed, namely, science data segmentation, according to Table 1. The segments are separated by the S/C anomalies. Some of them, such as, for instance, solar flare between segments 5 and 6, could change the patch patterns, and thus the values of the torque coefficients. To overcome this obstacle, the dynamic estimator was designed in the following framework (Segments 5, 6, and 9 were analyzed for gyros 1, 2, 4; the results for gyro 3 are from Segments 5 and 6 only).

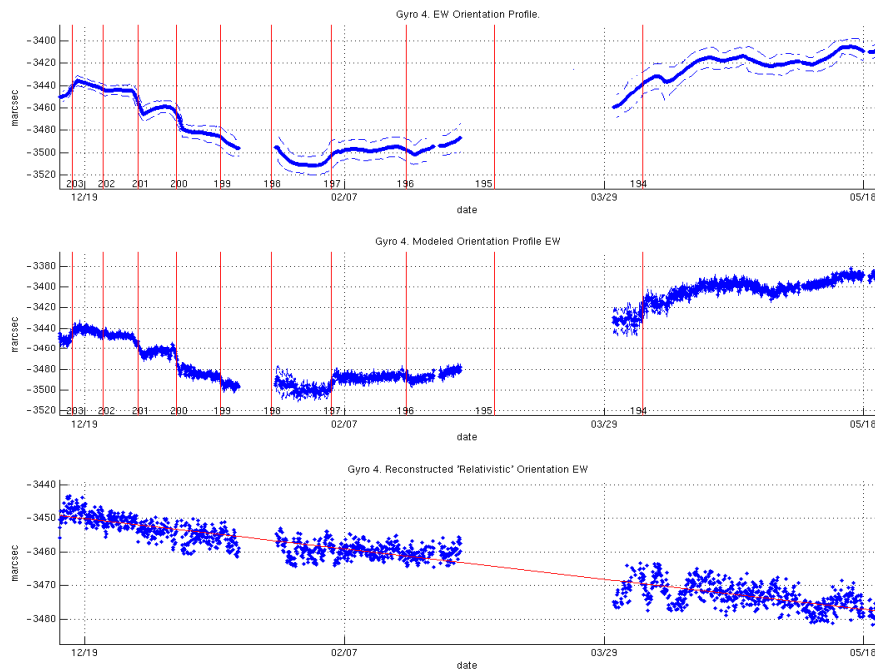
### Step1. Independent Kalman filter/smoothers for each segment and each gyroscope.

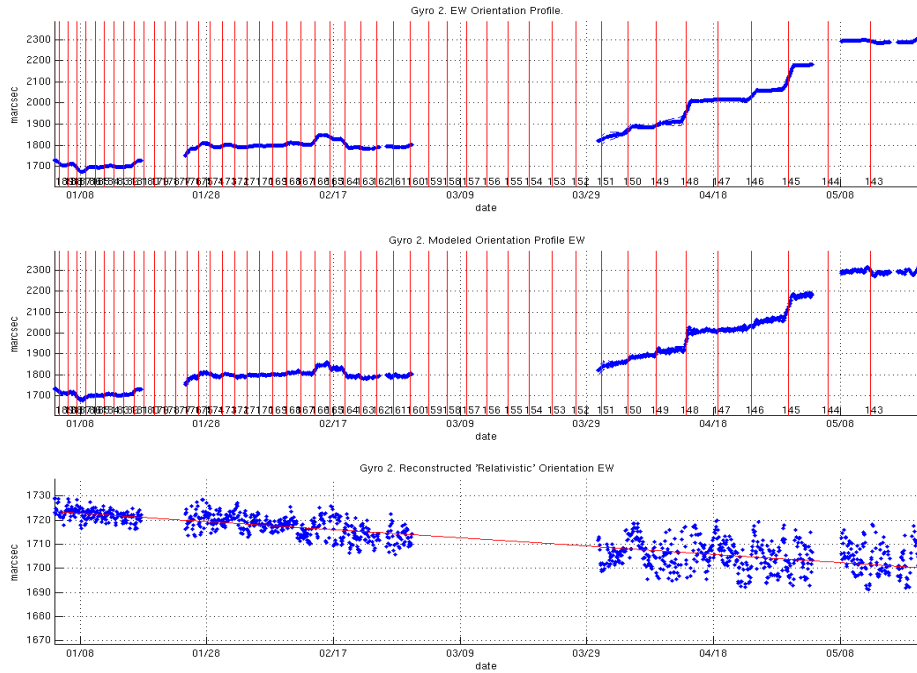
Introduce the state vector  $x = [s_{NS}, s_{EW}, r_{NS}, r_{EW}, \{c_{1mn}^{\pm}, c_{2mn}^{\pm}\}, \{k_{1mn}, k_{2mn}\}]$ , where the first two components are dynamically changing states according to the model (3), and all other components are constants. The time-varying roll-resonance torque coefficients  $k(t), c^-(t), c^+(t)$  are represented by the model (4.a), (4.b); the parameters of this model,  $c_{1mn}^{\pm}, c_{2mn}^{\pm}, k_{1mn}, k_{2mn}$ , remain constant within a given segment.

A Kalman filter technique was implemented in the form of a smoother with the forward-backward propagation-update structure [Bryson (2002), Bar-Shalom (1998)]. The observability analysis showed that the roll-resonance torque coefficients in the vicinity of the corresponding resonances are strongly observable, and their estimates are statistically significant. The outputs of the Step 1 estimators are, specifically, the estimates of the torque coefficients and the modeled orientation profiles: the solution of the equations (3) with the estimated torque coefficient values. An example of the modeled orientation profiles, that has the same shape as the input, is presented in Fig.6.

### Step 2. Reconstruction of “relativistic trajectory”: subtracting torque contributions.

We can combine the segments by subtracting the torque contributions estimated for each of the segments in Step 1 from the input orientation profiles. The resulting curve is the reconstructed “relativistic trajectory”; it consists of the segment pieces that have the same “relativistic” slope. The reconstructed trajectory can then be fit to the same-slope line over each segment; thus the state vector includes a common slope and individual y-intercepts.





**Figure 6.** Gyroscopes 4 (top) and 2 (bottom): a) East-West orientation profile; b) modeled orientation profile; c) reconstructed “relativistic” trajectory.

The estimate of the slope gives the value of the corresponding relativistic drift rate. The reconstructed trajectory noise is larger than the orientation profile noise by the known amount defined by the uncertainty in the subtracted torque contributions.

The described two-step approach can be used not only for one particular gyroscope, but also for any combination of the gyroscope signals. Step 2 easily allows for fitting the pieces of the reconstructed trajectories of different gyroscopes over different segments, since they all have the same relativistic drift rate (slope). Reconstructed trajectories (corrected for the segment shifts) of the gyroscopes 4 (10 resonances) and 2 (73 resonances) are presented in Fig. 6. Both the NS and EW reconstructed trajectories now contain a clear linear trend, *the EW curve for the first time ever*.

Estimates of the relativistic drift rates can also be obtained directly from the output of Step 1 estimators. Each individual estimator results in the state vector and information matrix whose dimensions differ from segment to segment owing to the different number of resonances and torque coefficients. Nevertheless, they also have a common part: relativistic drift rates. The integration of the information from all one-segment estimators can be done by creating the augmented state vector and information matrix. The augmented state vector includes the relativistic parameters and all torque coefficients from all segments, and the augmented information matrix consists of blocks of the individual information matrices. The combined covariance matrix is the inverse of the augmented information matrix, and the combined state vector estimate is a linear combination of the individual estimates with weighting coefficients, which depend on the combined covariance matrix and the individual information matrices. These two described methods of relativity parameters estimation are statistically equivalent.

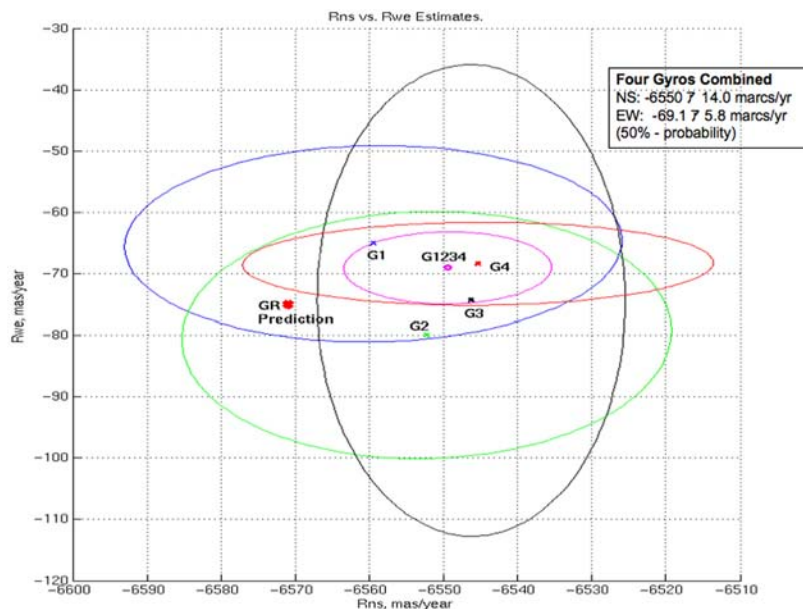
### 4.3 Two-Floor Analysis Results

The estimates of the relativistic drift rates with 50% - probability error ellipses obtained by the second method described above are shown in Fig.7. The “individual” gyro estimates are consistent with each other (the ellipses overlap). The error bars of the estimates given in Fig. 7 are formal statistical 50% probability errors.

The data analysis using the methods outlined above is still preliminary since a number of factors have not been fully investigated:

- 1) More data segments for gyroscope analysis
- 2) Variation of the number of parameters used to characterize the torque coefficients;
- 3) Unmodeled error in the measurement of the telescope pointing during GSI;
- 4) Use of the maximum information matrix to determine the error ellipse;
- 5) Inclusion of systematic errors, etc.. (The accompanying paper Muhlfelder (2009) discusses approaches to establishing systematic errors bounds.)

Nevertheless, the preliminary results given in Fig. 7 are, of course, subject to the limitations just described. There are two important observations that can be made in this data analysis example: (1) the residual errors are substantially smaller than found with earlier data analysis methods, and (2) the relativity estimates for the individual gyroscopes are statistically consistent with each other. The combined result for all four gyroscopes is in agreement with the GR values. (GR predictions for the real GP-B orbit, taking into account the GS proper motion, the solar geodetic effect, and the EW projection of the Earth geodetic effect, are -6571 marcs/year in the NS direction, and -75 marcs/year in the EW direction).



**Figure7.** Preliminary relativity estimates. Gyroscopes 1, 2, 4: segments 5, 6, and 9, (gyro 3: segments 5,6). Do not include systematic error or a model sensitivity analysis.

## 5 Data Analysis Expansion: Two-second Filter

The accuracy of the results of the above two-floor structure is badly limited by the orientation profile time step, which is one data point per orbit (1<sup>st</sup> Floor data compression). Effective direct modeling of the roll-resonance torques and their compensation in the gyro orientation profiles, implemented as a proof of concept, emphasized the existence of torques at the roll frequency corresponding to  $\sim 1$  min roll period, apparently, much smaller than the duration of one orbit ( $\sim 97$  min). Consequently the current two-floor estimation structure does not fully address the roll-resonance torque. To improve the relativity measurements, it is desirable to replace it with a single-floor estimator/filter, with the data sampling rate much higher than the roll frequency, so that continuous dynamical modeling of all the pertinent features takes place.

We are currently working on the design and implementation of the single-floor “two-second filter” where the SQUID and telescope science signals, sampled at the rate of 2 seconds, are processed according to the readout model (1), scale factor model (2a)-(2b) *simultaneously* with the patch effect torque model (3), (4a), (4b). The state vector includes the relativity parameters, scale factor coefficients, and all the parameters currently estimated at the 1<sup>st</sup> Floor, as well as the torque coefficients, which are so far estimated separately at the 2<sup>nd</sup> Floor. For the first time, S/C pointing will be determined consistently with all other data. During a GSI mode it will be estimated and iteratively updated using the available four SQUID signals, and the estimates of all the needed parameters obtained from the analysis of the previous GSV mode. Information about the GSI pointing is needed for the gyro orientation propagation due to continuously acting torques.

This enhanced two-second filter will provide accurate modeling of the underlying physical phenomena implemented in a flexible, module-based structure. It will permit fast incorporation and evaluation of instrument models, and the check of sensitivity to variations in the source data and parameter sets. The architecture of the two-second filter incorporates the “one system” approach: all the GP-B instruments (gyroscopes, telescope, spacecraft, etc.) should be treated as a single GP-B system. It includes the model identification and verification on the sensor-to-system level (gyroscope, SQUID, telescope, spacecraft, etc.). It also encompasses the development, verification and testing of filtering algorithms based on distributed computing and parallel processing. The enhanced direct torque model toolset brings in important benefits to the overall GP-B data analysis picture and increases confidence in final results by testing for consistency during the analysis.

## 6 Conclusion

A “simple” strategy of the GP-B data analysis has evolved in the complex two-floor structure after on-orbit discoveries of the changes in the rotor’s polhode period and path, and of patch effect torques. Direct modeling of the readout scale factor at the 1<sup>st</sup> Floor, and the misalignment and roll-resonance torque modeling at the 2<sup>nd</sup> Floor, allowed us to separate the relativistic drift from the drift induced by classical torques. A cascade of four interconnected estimators applied to the GP-B science data has demonstrated a consistent determination of the geodetic and frame-dragging effects for all GP-B gyroscopes, as well as fidelity of the physical models used.

There is a clear way to improve the accuracy of GP-B results. Existence of a roll component in the patch effect torque suggests the development of a one-level estimator (“2-second filter”), with a sampling data rate much higher than the roll period. This filter will simultaneously exploit all the models currently used in the estimators of the 1<sup>st</sup> and 2<sup>nd</sup> Floors. Though the number of estimated parameters in the new filter will be much larger than the one in the reduced 1<sup>st</sup> and 2<sup>nd</sup> Floor filters, the new estimator will be free of the shortcomings associated with the current two-floor data analysis structure.

## Acknowledgments.

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## **GP-B SYSTEMATIC ERROR**

**Barry Muhlfelder et al.**

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# GP-B Systematic Error

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## Abstract:

We have evaluated the systematic error in the GP-B experiment using five different approaches and estimated the contribution of more than 100 specific sources of the error. The systematic effects we consider include those due to gyroscope torques, gyroscope readout, telescope readout, and guide star proper motion. Effects with an estimated impact on the experiment error larger than 1 mas/yr are discussed in detail. Examples of analyses that bound other sources to less than 1 mas/yr are included to show the range of techniques employed to perform this work. We describe the remaining tasks to complete the systematic error analysis and estimate the total experiment uncertainty.

## 1 Introduction

The bounding of systematic error or uncertainty is an essential part of every high accuracy physics experiment. An early discussion of GP-B systematic uncertainty may be found in a report that has come to be known as ‘The Green Book’ [Everitt et al. (1980)]. Many GP-B ground-based tests and analyses were described there, and a theoretical framework was presented, providing an early quantification of the experiment’s systematic uncertainty.

The GP-B experiment was designed to provide an accurate measurement of non-Newtonian (general relativistic) gyroscope precession without modeling systematic effects. A detailed error tree, containing both stochastic and systematic sources for every gyro, allowed trade studies to be performed for various design parameters. Prior to launch in April 2004, the experiment uncertainty was expected to be limited by stochastic noise dominated by the noise in the SQUID-based London Moment gyroscope readout system. Based upon test and analysis techniques, the expectation was that the impact of systematics on the overall experiment accuracy would be small, and that without modeling systematic effects, the total experiment uncertainty would be less than 0.5 mas/yr.

During the first two weeks of on-orbit GP-B operations, the SQUID noise calibration was successfully performed. The results are shown in Figure 1. Using a systematics-free measurement model, the experiment uncertainty based upon this noise level is  $\sim 0.2$  mas/yr for each gyroscope, or  $\sim 0.1$  mas/yr when combining the results from all 4 gyroscopes. Although we expected that there would be little impact from systematic effects, after completing the SQUID noise calibration, we began an exhaustive set of calibrations designed to test the pre-launch expectation.

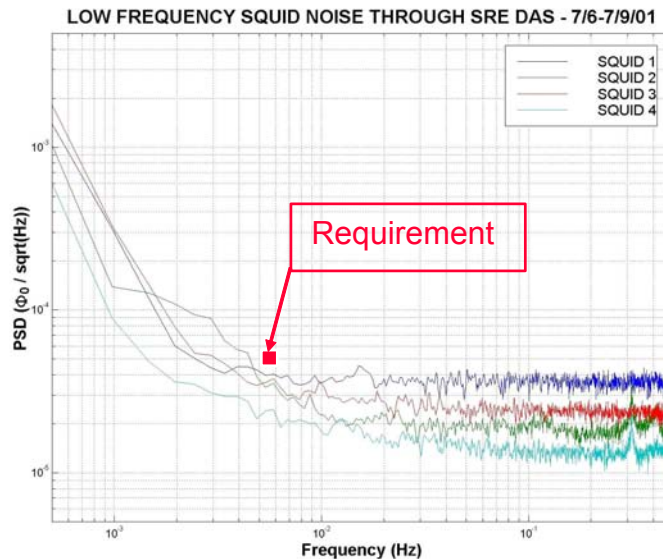
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**Figure 1.** Low frequency SQUID noise

The on-orbit mission plan comprised three phases: (1) Initialization, (2) Science, and (3) Calibration. These phases were designed to perform three tasks: configure the hardware for science during Initialization, collect the science data during Science, and calibrate systematic effects during all phases. Keiser (2009) has described the numerous SQUID and telescope readout operations, acquisition of the guide star, and gyroscope suspension and spin up. All the science hardware, including each of the four gyroscopes and their readout systems, the telescope and its eight detector channels, and many instrumentation channels functioned successfully. Initialization of the experiment was completed in August 2004. By comparison, few operations were required during the Science phase. The goal of this phase was to collect a year of science data with no changes to the configuration of the science instrument and minimum interference of any kind. More than 1 Terabyte of science data was collected prior to the completion of the science phase on August 15, 2005. The final on-orbit operations were performed during the 6½ week long Calibration phase.

The full set of GP-B calibrations probes more than 100 physical sources including guide star proper motion, telescope readout, and gyroscope readout and classical torques. The uncertainty in the proper motion is known from published sources to better than  $\sim 1$  mas/yr. To date, all GP-B analyses have been performed with information available from these sources. Recent measurements [Shapiro et al. (2007)] will provide even tighter limits on proper motion uncertainty, however, by agreement, the actual proper motion values have been withheld from the GP-B data analysis team to allow for future comparison and incorporation. In this paper we focus on readout issues and gyroscope torques discovered in the course of calibration experiments and data analysis. Both of these effects are much larger in magnitude than the known guide star proper motion uncertainty.

Analyses of data collected on-orbit demonstrate that all but three GP-B systematic effects are small. These three behaviors are damping of the gyroscope polhode motion and two related Newtonian gyroscope torques. In accompanying papers, Silbergleit et al. (2009) describe a technique to model the effect of the gyroscope's changing polhode on the gyroscope scale factor and Keiser et al. (2009) describe

the Newtonian torques generated by the interaction of patch potentials on the rotor and housing surfaces. Assuming only gyroscope spin and roll averaging, two distinct manifestations of these patches were found: (1) torques that are perpendicular to and proportional to the gyroscope misalignment and (2) torques that occur at the difference frequency of harmonics of polhode and the spacecraft roll frequency. Left unmodeled, these effects would cause experiment error up to 1 arcsec/yr. Contrary to the initial plan, we had to model these three effects in the data analysis to achieve the best accuracy for the experiment. These modeling efforts, begun in 2004 and continuing to the present, have resulted in a dramatically reduced systematic error. In the discussion here we focus on the residual error associated with imperfect modeling of these effects. Before describing these and other smaller effects, we give the methodology for evaluating the total systematic experiment uncertainty.

## 2 Approaches to estimating experiment uncertainty

The study of individual systematic effects involves three steps: identification, modeling (if required), and bounding. The identification of a systematic effect connects a physical mechanism disturbing the measurement to the experiment. Modeling is only required for large effects, specifically those associated with patch potentials. Physics-based models governing the patch effect are incorporated into the science data analysis to separate the relativistic drift from that induced by patch effect torques. Systematic effects that can be bounded below 1 mas/yr do not require modeling.

Five approaches are used to bound the total GP-B experiment uncertainty:

1. Bottom-up analyses
2. Sensitivity tests
3. Gyro-to-gyro comparisons
4. Data sampling tests
5. Comparison of results of independent analysis methods

### 2.1 Bottom-up Analyses

These analyses give the uncertainty associated with individual sources and are based upon physical models of the hardware with parameter values determined from ground and/or flight measurement. For instance, a bottom-up analysis gives the gyroscope precession rate resulting from the support force acting on the gyroscope mass unbalance vector. The physical model here is the mass unbalance torque causing a change in the angular momentum of the spinning mass. This model, combined with the known parameters, bounds the effect. The parameters are the support force (measured on-orbit), the mass unbalance (measured on the ground and refined on-orbit), the angular velocity (measured on-orbit), and the gyroscope moment of inertia. Using these known parameter values gives a Newtonian drift rate below 0.08 mas/yr.

### 2.2 Sensitivity tests

The assessment of the impact of an individual systematic effect on experiment uncertainty is determined by using the science analysis algorithms to determine the sensitivity to a particular effect. One sensitivity test method is to propagate the numerical uncertainty in a system parameter through the modeling software. The resulting variation in the relativity results gives the experiment uncertainty due to this parameter.

Example: Timing error. The gyroscope orientation evolves in time, and therefore the model to describe this evolution is susceptible to timing error. To assess the impact of timing error on the experiment, we find the science result using the time stamp provided by the onboard clock for each data point. The uncertainty in our knowledge of the timing is determined by performing an independent analysis of on-orbit timing data. The time stamp for each data point is modified by this uncertainty and the analysis is rerun to give a new science result. The change in it is a measure of the timing systematic error. This analysis bounds the impact of the timing error on the relativity determination to better than 0.5 mas/yr.

## **2.3 Gyro-to-gyro variation**

The range of relativistic drift rate estimates for the four gyroscopes gives a measure of the experiment uncertainty due to stochastic and systematic sources, but it does not include common-mode effects. The dominant stochastic error results from noise in the gyro readout system. This noise directly leads to gyro-to-gyro differences. Gyroscope systematic errors result from modeling deficiencies in the gyroscope readout system and gyroscope torque modeling. Since the gyroscopes are independent sensors, we expect gyro-to-gyro science differences.

## **2.4 Data selection tests.**

Ideally, the science result should be independent of which data are selected for analysis. Difference in the results for different data sets provides a measure of experiment uncertainty. For example, the yearlong mission was interrupted nine times due to spacecraft anomalies resulting in 10 segments of science data. Variation in the experiment result based on the analysis of various combinations of these segments provides a measure of the experiment uncertainty.

## **2.5 Two independent analysis methods and teams**

Two independent teams, using significantly different modeling approaches, have performed full science analyses. Although these teams use the same science data, and address the same physical effects, their results are based upon separate analysis algorithms. The most significant difference in their analysis methodologies is in the treatment of the misalignment torque. One approach takes a torque-free projection of the gyroscope motion whereas the other explicitly models this effect. The two teams also model the resonance torque and the evolving gyroscope scale factor with differing techniques described below. Agreement in science results using these two modeling approaches increases our confidence in the result.

# **3 Initial models of scale factor, torques, and the associated early science results**

The modeling of the evolving gyroscope scale factor and the patch effect torques has dramatically improved during the past 4½ years. Our progress has resulted from insights into the underlying physics and from key advances in essential supporting analyses.

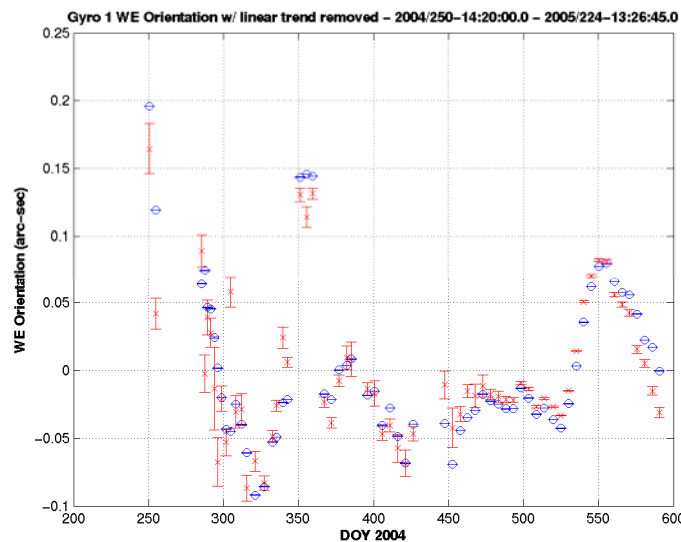
Prior to launch, we had expected the scale factor to be periodic at polhode frequency and patch effect torques to be negligible. Early in the Science phase, it became clear that the gyroscope polhode path and frequency were changing with time due to polhode damping, thereby resulting in a slowly evolving gyroscope scale factor. The first and simplest technique provided an estimate of the scale factor profile for multi-day-long batches of data. This approach treated the scale factor profile for each batch of data as a Fourier expansion of polhode harmonics. Although over the past four years we have developed

significantly more sophisticated techniques, this first method was used during the science and calibration phases of the mission to track the orientation of the gyroscopes. The gyroscope orientation information was essential to the discovery of the patch effect torques. This connection of one modeling advance leading to another has been repeatedly seen in our work and underlies our progress over the past several years.

Large misalignment torques were discovered during the calibration phase of the mission. The geometrical nature of these torques was established through a series of tests in which the space vehicle was pointed to neighboring stars, thereby dramatically increasing the misalignment of the gyroscope spin axis relative to the spacecraft roll axis [Keiser et al., 2009]. The first misalignment torque models either explicitly included a drift term perpendicular to the measured misalignment or took a torque-free projection of the gyroscope drift.

The resonance torque was discovered after completing the science phase. It was observed that when a harmonic of polhode frequency coincided with the spacecraft roll frequency, the gyroscope orientation would often shift. In some cases this shift, typically occurring in one or two days, was as large as 0.15 arcsec. The original method to model this behavior was to excise the data near the shift. Although the underlying physical explanation for these shifts was unknown, this approach significantly improved the fit of the data to the model.

In April of 2007, using the techniques as described above, we reported an experiment uncertainty of 97 mas/yr. This result was based upon a series of sensitivity analyses. In these analyses we probed the sensitivity of the result to scale factor and torque modeling deficiencies. Scale factor modeling deficiencies were bounded by varying the number of polhode harmonic terms included in the model and by changing the batch length used to determine these terms. Misalignment torque error due to misalignment uncertainty was derived from two independent analyses. Figure 2 shows the result of these two analyses for gyroscope 1, plotted here as gyroscope orientation (the orientation is equal to the vehicle pointing minus the misalignment). The difference in results gives an uncertainty of 30 mas. Sensitivity of the science result to this level of uncertainty was determined by modifying the misalignment by this amount. This change to the misalignment caused only a small change (4 mas/yr) shift to the science result, suggesting some other source for the leading cause of error.



**Figure 2.** Gyroscope 1 WE orientation using two different methods from an analysis performed in early 2007. Differences give a measure of the uncertainty.

## 4 Model Improvements

We found that the largest contribution to experiment uncertainty in 2007 was caused by resonance torque mis-modeling, although we lacked a detailed understanding of the modeling deficiency. The science analysis method used in 2007 excised data from the analysis for each resonance when the difference between an harmonic of polhode phase and the roll phase was less than a specified value. For those orbits with phase difference greater than the specified value, the resonance torque was ignored. Sensitivity tests were performed by varying the resonance width as defined by this phase difference. This variation in phase difference criteria led to larger than a 50 mas/yr variation in the science result. A subsequent method used linear ramps to model the NS and WE orientations during resonances. Although tests using this model demonstrated approximately three times lower sensitivity to the resonance torque, both this approach and the previous one were simplifications of the true physical phenomenon. These methods assume that the resonance torque vanishes before and after each resonance. A study of flight data now gives insight into the potential limitations of these approaches [Keiser et al. (2009)]. Oscillations, as predicted from the full torque model and as observed in the flight data, before and after each resonance are ignored in the simplified models. Our most recent efforts make explicit use of the full model. Two comments are in order here: First, the similarity between the data and the full model increases our confidence in our understanding of the underlying physics, and second, this model improves the numerical fit of the model to the data, thereby reducing the residuals. Initial science results making use of the improved model are encouraging [Heifetz et al. (2009)].

Use of the full resonance torque model requires accurate knowledge of the polhode phase, only recently available. Given that the observed resonances occurred for high harmonics of the polhode frequency, and that the uncertainty in the polhode phase scales with harmonic number, the need for accurate phase information is clear. Trapped Flux Mapping (TFM) now provides a continuous and accurate estimate of polhode phase [Silbergleit et al. (2009)]. The uncertainty in these estimates has improved dramatically over the past year with a current uncertainty of  $< 0.5$ .deg. Sensitivity tests are in progress to determine the impact of this uncertainty on the overall experiment uncertainty.

## 5 Sensitivity Tests

The improvements we described above to the resonance torque modeling were motivated by initial high sensitivity. These recent improvements suggest that we reexamine the other modeling sensitivities to determine an updated total uncertainty. Table 1 gives a list of the most important tests. The GP-B guide star, IM Pegasi, is known to be a binary star with a  $\sim 25$  day orbital period. Adding a model of this motion to the analysis caused less than a 5 mas/yr change to the science result. This finding not only demonstrated insensitivity to this motion, but also has allowed a determination of the star's orbital parameters. These parameters will be made public once the guide star results from the SAO are released. A sensitivity test comparing harmonic expansion,  $\gamma C_g$  [Heifetz (2009)] and TFM analyses provide a determination of our experiment uncertainty due to readout scale factor error. This is a particularly interesting test, making use of the low frequency gyroscope signal in two cases (harmonic expansion and  $\gamma C_g$ ) and the high frequency gyroscope signal in the other (TFM). Use of the TFM results also simplifies the science analysis from a nonlinear to a linear fit and thus provides a check of sophisticated non-linear estimation techniques. Tests were performed to probe the sensitivity of the science result to misalignment and resonance torque modeling.

Sensitivity Parameter	Parameter Range
Guide star orbital motion	0 to fitted
Resonance torque	Continuous fit vs. fixed width (0.75, 1.5, 2)
Scale factor modulation	Harmonic expansion, $\gamma C_g$ , TFM
Polhode phase	$-0.5^\circ$ to $0.5^\circ$
Misalignment torque averaging window	15 – 25 orbits
Misalignment torque uncertainty	-0.1 to 0.1 radians

**Table 1.** Sensitivity tests and the associated range of parameter values.

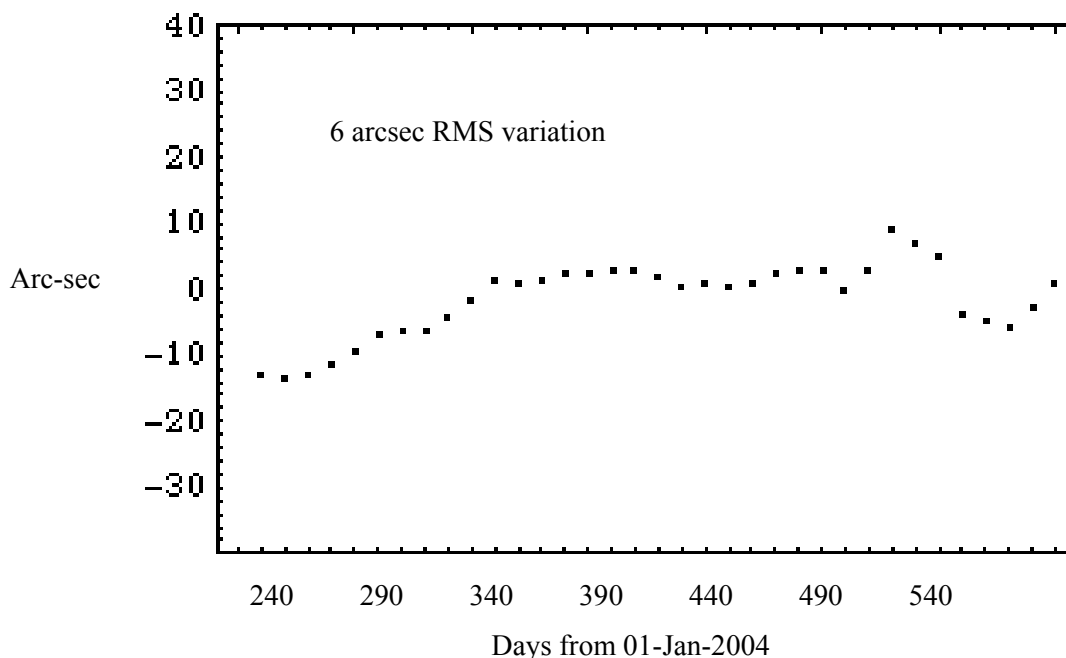
A preliminary estimate of the uncertainty in science result for gyroscope 1, based upon all of these sensitivity analyses gives a preliminary uncertainty of 30 mas/yr. Gyro-to-gyro comparisons by Heifetz et al. (2009) suggest a still lower bound. We continue to work to reduce these limits.

Following launch, analysis of the flight data has confirmed many potential systematic effects are less than 1 mas/yr. Currently these effects do not require modeling. In the future these resolved effects may require re-examination as the total experiment error decreases.

Rather than provide a long list of resolved systematic effects, it is instructive to describe several representative analyses.

## 5.1 Roll phase uncertainty coupling to science result

Roll phase uncertainty or error cause the science signal in the NS direction to be rotated into the WE direction and the science signal in the WE direction to be rotated into the NS direction. To limit the impact on the science result to 1 mas/yr requires 10 arcsec roll phase knowledge.



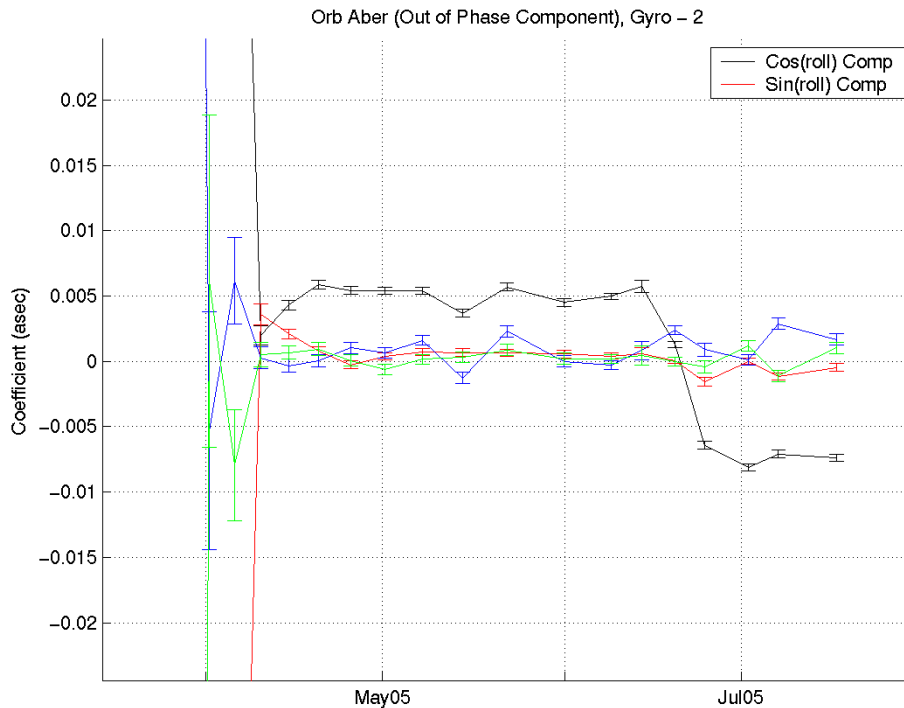
**Figure. 3.** Spacecraft roll phase uncertainty vs. time.



Figure 3 shows the roll phase uncertainty for the duration of the mission. The roll phase and roll phase uncertainty is determined using a primary and backup CCD-based roll star telescopes. As the spacecraft rolls about the line of sight to the guide star, other stars 30-40 degrees from the roll axis are tracked as they rotate through each of the CCD's field of view. The uncertainty in the roll phase is found by comparing the primary and backup roll phase measurements.

## 5.2 Gyroscope position coupling to the science result

The gyroscope readout signal will be modified by the gyroscope's position change, if the position couples to the readout signal. We know that a gravity gradient force acts on the gyroscope's position at roll  $\pm$  twice orbit frequency. If the coupling exists, the gravity gradient force will cause a readout signal at roll  $\pm$  twice orbit. This signal will mix with and modify the signal at near roll  $\pm$  orbit. The mixing is due to the use of only the guide-star-visible portion of each aberration cycle to determine the gyroscope scale factor. Figure 4 shows the results of two analyses for gyroscope 2. The signal that is out-of-phase with orbital aberration should be very small. Confirming a small out-of-phase term increases confidence in the scale factor as determined from the in-phase term. When the analysis is done without including the roll  $\pm$  twice orbit term, a signal of 5 mas amplitude is observed out-of-phase at roll  $\pm$  orbit. The switch of the sign in the middle of June occurred when the drag free sensor was switched from gyroscope 1 to gyroscope 3. When the model includes roll  $\pm$  twice orbit, the estimated out-of-phase signal nearly vanishes. This analysis allows us to place a 1 mas/yr limit on the experiment uncertainty associated with the gyroscope 2 position. Analyses for the other gyroscopes demonstrated even weaker coupling than that found for gyroscope 2.



**Figure 4.** Out-of-phase orbital aberration term estimates. Cosine and Sine terms of out-of-phase orbital aberration.  $2\times$  orbital not modeled: Black/Red.  $2\times$  orbital modeled: Blue/Green.



### 5.3 Electromagnetic Compatibility (EMC)

The gyroscope readout system is based on the detection of magnetic fields of  $10^{-16}$  T near the satellite roll frequency. Extreme care was taken in the design and operation of the science instrument to limit spurious magnetic signals. Although these efforts were largely successful, one extraneous signal source, the Experiment Control Unit (ECU) was identified during science data taking. Figure 5 shows approximately 1 minute of the science signal for gyroscope 1. The raw time series data profile is shown in black; the processed data profile with the ECU signal removed is shown in red. Fortunately, as is the case in the Figure, the science signal at 12.9 mHz is far removed from the ECU signal frequency more than 90% of time. The readout data at these times are used in the science analysis. When the ECU signal frequency is near the science signal frequency, we excise the data. Sensitivity tests show negligible variation in the science result for varied amounts of excised data. To facilitate these and other tests the science database incorporates a data-grading tool that allows consistent, convenient, unbiased testing.

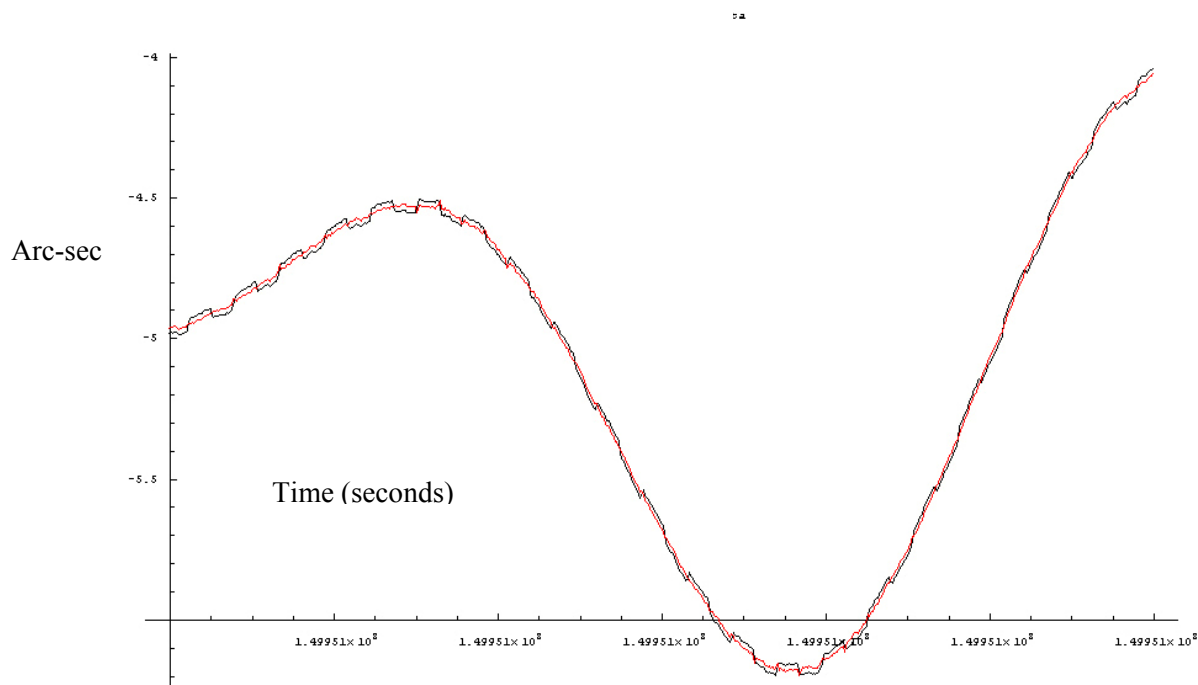
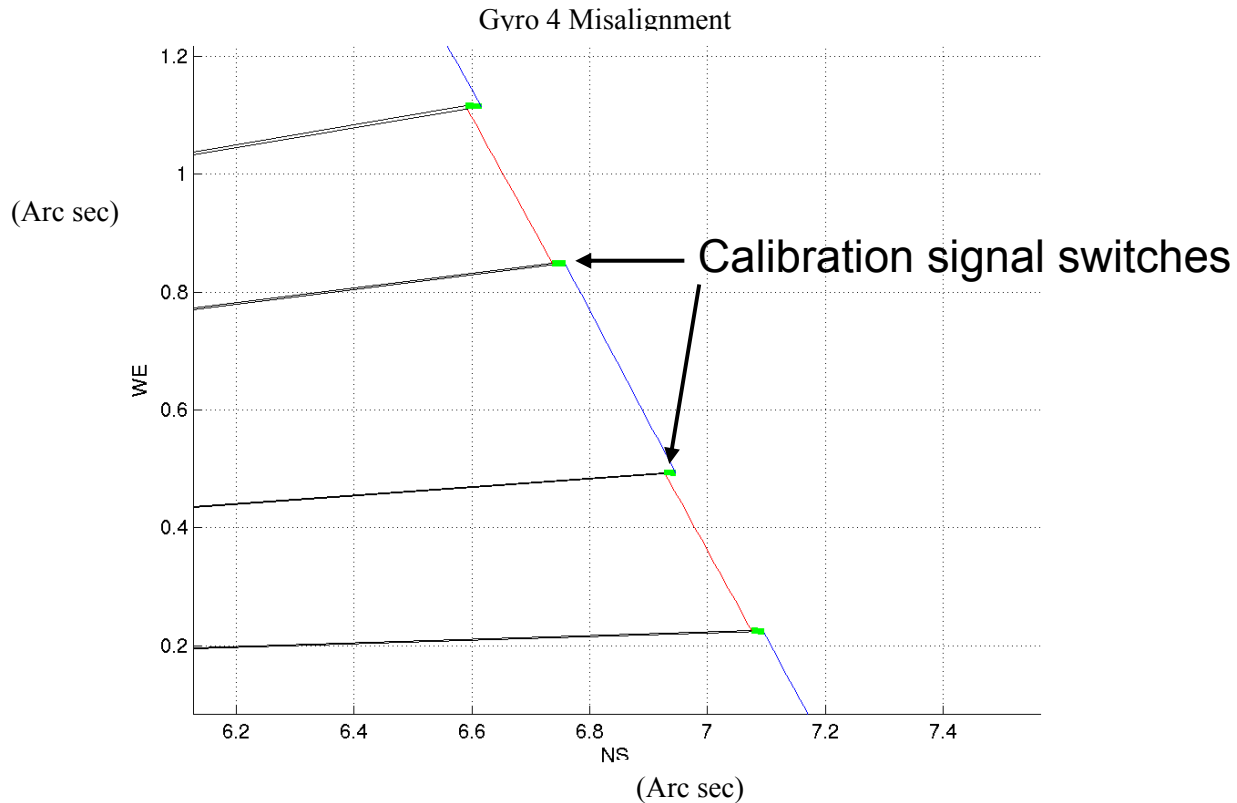


Figure 5. Gyroscope 1 low frequency science data

### 5.4 SQUID non-linearity

One area of on-going investigation is the small SQUID readout non-linearity. Thus far, analyses show evidence for such a non-linearity although we do not yet have sufficient understanding to incorporate a specific model into the science analysis. Prior to launch the SQUID was specified to have a non-linearity of less than 0.00015 relative to 10 volts. Although this specification was successfully confirmed, tests on the ground and later on-orbit revealed subtle behavior in the digitizing hardware of the SQUID's electronics. A misconfiguration of the SQUID's A/D converter caused some of the  $2^{16}$  digital output levels in the 16 bit A/D converter to be preferred over adjacent levels. This preferential-levels behavior, which may cause system non-linearity, was analyzed and documented in a GP-B science document. A recent analysis of on-orbit data highlighting possible non-linear behavior is shown in Figure 6.



**Figure 6.** Gyroscope 4 misalignment June-July, 2005. Apparent shifts in gyroscope misalignment correspond to times when the gyroscope readout calibration signal was toggled on/off.

Shifts in the apparent misalignment occur when the readout calibration signal was toggled on/off and they occur in the radial direction relative to zero misalignment. These shifts in gyroscope misalignment are suggestive of offsets in the dc gyroscope scale factor and are likely due to non-linear behavior in the gyroscope readout system.

## 6 Torques other than those due to patch effect potentials

Gyroscope torques cause Newtonian gyroscope drift. There are two approaches to limit the impact of this drift on the experiment error. One approach that has been used with patch potential torques is to model the underlying physics. Sensitivity tests and gyro-to-gyro comparisons provide a measure of residual error. A bottom-up approach has been used for other Newtonian torques including support dependent and support independent effects. Sources for these torques include gyroscope physical contamination, magnetic contamination, differential gas pressure damping, and gyroscope mass unbalance and gyroscope geometry imperfections. We have performed a preliminary analysis and find that the Newtonian drift associated with these torques is less than 1 mas/yr.

## 7 Summary

There has been significant progress in bounding the total GP-B systematic uncertainty. Many effects have been bounded to less than 0.1 mas/yr to 1 mas/yr. We have described five approaches to determining the total uncertainty. The most recent models yield reduced scatter in sensitivity test results and provide very encouraging gyro-to-gyro agreement in the relativity rate estimates, however more work

remains. We need to extend the analysis of support dependent and support independent torques to include the effects of dissipation on the experiment uncertainty [Buchman et al. (2009)]. Completion of these analyses will provide the underpinnings for a report detailing the contributions to the uncertainty from more than 100 sources. We continue to improve the science analysis, refining the modeling of the physics governing the behavior of the GP-B hardware. A large effort, now in the early stages of implementation, will track the gyroscope dynamics using a 2 second time step rather than the current once per orbit time step. It is likely that reduced uncertainty due to systematic effects will be a vital by-product. Sensitivity tests and gyro-to-gyro comparison of the science results using these refined models will provide the final elements in determining the total experiment uncertainty.

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