Table of Contents

Foreword xxvii

List of Acronyms xxix

List of Affiliations xxxi

Acknowledgements xxxiii

Part I: Introduction

Chapter 1 Health Systems Performance Assessment: Goals, Framework and Overview 3
Christopher J.L. Murray, David B. Evans

Part II: Regional Perspectives on Performance Assessment

Chapter 2 African Regional Consultation on Health Systems Performance Assessment 23
WHO Regional Office for Africa

Chapter 3 American Regional Consultation on Health Systems Performance Assessment 27
WHO Regional Office for the Americas

Chapter 4 Eastern Mediterranean Regional Consultation on Health Systems Performance Assessment 35
WHO Regional Office for the Eastern Mediterranean

Chapter 5 European Regional Consultation on Health Systems Performance Assessment 55
WHO Regional Office for Europe

Chapter 6 South-East Asian Regional Consultation on Health Systems Performance Assessment 69
WHO Regional Office for South-East Asia

Chapter 7 Western Pacific Regional Consultation on Health Systems Performance Assessment 95
WHO Regional Office for the Western Pacific

Part III: Expert Consultation Reports

Chapter 8 Technical Consultation on Measurement of the Efficiency of Health Systems 109

Chapter 9 Technical Consultation on Concepts and Methods for Measuring the Responsiveness of Health Systems 115

Chapter 10 Technical Consultation on Effective Coverage in Health Systems 125

Chapter 11 Technical Consultation on Stewardship 135

Chapter 12 Technical Consultation on Statistical Strategies for Cross-Population Comparability 141

Chapter 13 Technical Consultation on Fairness in Financial Contribution 147

Chapter 14 Technical Consultation on the Measurement of Health Inequalities 155

Chapter 15 WHO Meetings of Experts on Measuring and Summarizing Health 163

Part IV: Methods and Empiricism

Inputs

Chapter 16 National Health Accounts: Concepts, Data Sources, and Methodology 185
Jean-Pierre Poullier, Patricia Hernandez, Kei Kawabata

Chapter 17 Patterns of Global Health Expenditures: Results for 191 Countries 195
Jean-Pierre Poullier, Patricia Hernandez, Kei Kawabata, William D. Savedoff

Financing

Chapter 18 Monitoring the Health Financing Function 205
William D. Savedoff, Guy Carrin, Kei Kawabata, Abdelhay Mechbal

Chapter 19 Developing Health Financing Policies 211
William D. Savedoff, Guy Carrin

Provision and Coverage

Chapter 20 Beyond Access and Utilization: Defining and Measuring Health System Coverage 221
Bakhuti Shengelia, Christopher J.L. Murray, Orvill B. Adams

Chapter 21 Provision of Personal and Non-personal Health Services: Proposal for Monitoring 235
Orvill B. Adams, Bakhuti Shengelia, Barbara Stilwell, Itziar Larizgoitia, Andrei Issakov, Sylvester Y. Kwankam, Ferdinand Siem Tjam

Chapter 22 Inequalities in Coverage: Valid DTP3 and Measles Vaccination in 40 Countries 251
Saba Moussavi, Bakhuti Shengelia, Ajay Tandon, Neeru Gupta, Christopher J.L. Murray

Chapter 23 Validity of Reported Vaccination Coverage in 45 Countries 265
Christopher J.L. Murray, Bakhuti Shengelia, Neeru Gupta, Saba Moussavi, Ajay Tandon, Michel Thieren

Resource Generation

Chapter 24 Human, Physical, and Intellectual Resource Generation: Proposals for Monitoring 273
Orvill B. Adams, Mario R. Dal Poz, Bakhuti Shengelia, Sylvester Y. Kwankam, Andrei Issakov, Barbara Stilwell, Pascal Zurn, Alexandre Goubarev

Stewardship

Chapter 25 Towards Better Stewardship: Concepts and Critical Issues 289
Phyllida Travis, Dominique Egger, Philip Davies, Abdelhay Mechbal

Population Health

Chapter 26 Quantifying Individual Levels of Health: Definitions, Concepts, and Measurement Issues 301
Joshua A. Salomon, Colin D. Mathers, Somnath Chatterji, Ritu Sadana, T. Bedirhan Üstün, Christopher J.L. Murray

Chapter 27 Alternative Summary Measures of Average Population Health 319
Colin D. Mathers, Joshua A. Salomon, Christopher J.L. Murray, Alan D. Lopez

Chapter 28 Life Tables for 191 Countries for 2000: Data, Methods, Results 335
Alan D. Lopez, Omar B. Ahmad, Michel Guillot, Brodie D. Ferguson, Joshua A. Salomon, Christopher J.L. Murray, Kenneth H. Hill

Chapter 29 Modified Logit Life Table System: Principles, Empirical Validation, and Application 355
Christopher J.L. Murray, Brodie D. Ferguson, Alan D. Lopez, Michel Guillot, Joshua A. Salomon, Omar B. Ahmad

Chapter 30 Empirical Evaluation of the Anchoring Vignette Approach in Health Surveys 369
Christopher J.L. Murray, Emre Özaltin, Ajay Tandon, Joshua A. Salomon, Ritu Sadana, Somnath Chatterji

Chapter 31 Unpacking Health Perceptions Using Anchoring Vignettes 401
Joshua A. Salomon, Ajay Tandon, Christopher J.L. Murray, World Health Survey Pilot Study Collaborating Groups

Chapter 32 Health State Valuations in Summary Measures of Population Health 409
Joshua A. Salomon, Christopher J.L. Murray, T. Bedirhan Üstün, Somnath Chatterji

Chapter 33 Methods for Measuring Healthy Life Expectancy 437
Colin D. Mathers, Christopher J.L. Murray, Joshua A. Salomon

HEALTH INEQUALITY

Chapter 34 A Framework for Measuring Health Inequality 471
Emmanuela Gakidou, Christopher J.L. Murray, Julio Frenk

Chapter 35 Measuring Total Health Inequality: Adding Individual Variation to Group-Level Differences 485
Emmanuela Gakidou, Gary King

Chapter 36 Determinants of Inequality in Child Survival: Results from 39 Countries 497
Emmanuela Gakidou, Gary King

Chapter 37 Measurement Methods for Inequality in the Risk of Adult Mortality 503
Emmanuela Gakidou, Ajay Tandon

FAIRNESS IN FINANCIAL CONTRIBUTION

Chapter 38 Assessing the Distribution of Household Financial Contributions to the Health System: Concepts and Empirical Application 513
Christopher J.L. Murray, Ke Xu, Jan Klavus, Kei Kawabata, Piya Hanvoravongchai, Riadh Zeramdini, Ana Mylena Aguilar-Rivera, David B. Evans

Chapter 39 Household Health System Contributions and Capacity to Pay: Definitional, Empirical, and Technical Challenges 533
Ke Xu, Jan Klavus, Kei Kawabata, David B. Evans, Piya Hanvoravongchai, Juan Pablo Ortiz, Riadh Zeramdini, Christopher J.L. Murray

Chapter 40 Summary Measures of the Distribution of Household Financial Contributions to Health 543
Ke Xu, Jan Klavus, Ana Mylena Aguilar-Rivera, Guy Carrin, Riadh Zeramdini, Christopher J.L. Murray

Chapter 41 The Impact of Vertical and Horizontal Inequality on the Fairness in Financial Contribution Index 557
Ke Xu, Jan Klavus, David B. Evans, Piya Hanvoravongchai, Riadh Zeramdini, Christopher J.L. Murray

Chapter 42 Understanding Household Catastrophic Health Expenditures: a Multi-country Analysis 565
Ke Xu, David B. Evans, Kei Kawabata, Riadh Zeramdini, Jan Klavus, Christopher J.L. Murray

RESPONSIVENESS

Chapter 43 Health System Responsiveness: Concepts, Domains and Operationalization 573
Nicole B. Valentine, Amala de Silva, Kei Kawabata, Charles Darby, Christopher J.L. Murray, David B. Evans

Chapter 44 Classical Psychometric Assessment of the Responsiveness Instrument in the WHO Multi-country Survey Study on Health and Responsiveness 2000–2001 597
Nicole B. Valentine, René Lavallée, Bao Liu, Gouke J. Bonsel, Christopher J.L. Murray

Chapter 45 Weights for Responsiveness Domains: Analysis of Country Variation in 65 National Sample Surveys 631
Nicole B. Valentine, Joshua A. Salomon

Chapter 46 Patient Experiences with Health Services: Population Surveys from 16 OECD Countries 643
Nicole B. Valentine, Juan Pablo Ortiz, Ajay Tandon, Kei Kawabata, David B. Evans, Christopher J.L. Murray

Chapter 47 Inequality in Responsiveness: Population Surveys from 16 OECD Countries 653
Juan Pablo Ortiz, Nicole B. Valentine, Emmanuela Gakidou, Ajay Tandon, Kei Kawabata, David B. Evans, Christopher J.L. Murray

COMPOSITE ATTAINMENT

Chapter 48 Quality and Equity: Preferences for Health System Outcomes 667
Emmanuela Gakidou, Christopher J.L. Murray, David B. Evans

Chapter 49 Measuring Health System Attainment: the Impact of Variability in the Importance of Social Goals 677
Jeremy A. Lauer, David B. Evans, Christopher J.L. Murray

EFFICIENCY

Chapter 50 Health System Efficiency: Concepts 683
Ajay Tandon, Jeremy A. Lauer, David B. Evans, Christopher J.L. Murray

Chapter 51 Determinants of Health System Performance: Second-Stage Efficiency Analysis 693
David B. Evans, Jeremy A. Lauer, Ajay Tandon, Christopher J.L. Murray

Chapter 52 Health System Efficiency: Time, Attribution, and Multiple Indicators 699
David B. Evans, Christopher J.L. Murray, Ajay Tandon

MEASUREMENT CHALLENGES

Chapter 53 Cross-Population Comparability of Evidence for Health Policy 705
Christopher J.L. Murray, Ajay Tandon, Joshua A. Salomon, Colin D. Mathers, Ritu Sadana

Chapter 54 Towards Evidence-Based Public Health 715
Christopher J.L. Murray, Colin D. Mathers, Joshua A. Salomon

Chapter 55 Statistical Models for Enhancing Cross-Population Comparability 727
Ajay Tandon, Christopher J.L. Murray, Joshua A. Salomon, Gary King

Chapter 56 Estimating Permanent Income Using Indicator Variables 747
Brodie D. Ferguson, Ajay Tandon, Emmanuela Gakidou, Christopher J.L. Murray

Chapter 57 WHO Multi-country Survey Study on Health and Responsiveness 2000–2001 761
T. Bedirhan Üstün, Somnath Chatterji, Maria Villanueva, Lydia Bendib, Can Çelik, Ritu Sadana, Nicole B. Valentine, Juan Pablo Ortiz, Ajay Tandon, Joshua A. Salomon, Yang Cao, Wan Jun Xie, Emre Özaltin, Colin D. Mathers, Christopher J.L. Murray

Chapter 58 The World Health Surveys 797
T. Bedirhan Üstün, Somnath Chatterji, Abdelhay Mechbal, Christopher J.L. Murray, WHS Collaborating Groups

INCREASING POLICY RELEVANCE

Chapter 59 Subnational Health Systems Performance Assessment: Objectives, Challenges and Strategies 809
Phyllida Travis, Abdelhay Mechbal, Ajay Tandon, Brodie D. Ferguson, Michel Thieren, Christopher J.L. Murray

Chapter 60 WHO-CHOICE: CHOosing Interventions That Are Cost-Effective 823
Raymond C.W. Hutubessy, Rob M.P.M. Baltussen, Tessa Tan Torres-Edejer, David B. Evans, WHO-CHOICE Working Group

PART V: REPORT OF THE SCIENTIFIC PEER REVIEW GROUP ON HEALTH SYSTEMS PERFORMANCE ASSESSMENT

Chapter 61 Report of the Scientific Peer Review Group on Health Systems Performance Assessment 839
Sudhir Anand, Walid Ammar, Timothy Evans, Toshihiko Hasegawa, Katarzyna Kissimova-Skarbek, Ana Langer, Adetokunbo O. Lucas, Lindiwe Makubalo, Alireza Marandi, Gregg Meyer, Andrew Podger, Peter Smith, Suwit Wibulpolprasert

Index 917

List of Tables

Table 1.1	Intrinsic goals to which the health system contributes	8
Table 11.1	Tasks of the stewardship function	136
Table 13.1	The dimensions of health system contributions	150
Table 17.1	Health spending by income groups and regions	196
Table 17.2	Share of total spending on health by type and region, 2000 (%)	198
Table 17.3	External resources, consumption, and health spending, selected countries, 2000 (I$ per capita)	201
Table 18.1	Indicators for the financing function	207
Table 21.1	Expenditure shares to salary and drugs and supplies in eight LAC countries	237
Table 21.2	Incentives	244
Table 21.3	Matrix for the assessment and monitoring of health service provision function	246
Table 22.1	Summary table of the four inequality indices for DTP3 and measles	255
Table 22.2	Correlation of inequalities indices for probability of being covered for DTP3 and measles (Spearman's rho)	257
Table 22.3A	Measles: estimated covariates and random effect from probit model	258
Table 22.3B	DTP3: estimated covariates and random effect from probit model	260
Table 24.1	Classification of human resources for health systems	277
Table 24.2	Flow of workers' remittances and its share in imports and exports of goods in selected labour exporting countries	280
Table 24.3	Admissions of non-UK qualified nurses to the nursing register, 1990–1998	280
Table 27.1	SMPH criteria met by various forms of health expectancies	323
Table 27.2	Different questions and time perspectives for describing individual health	326
Table 28.1	Mortality data sources (number of countries) for WHO subregions, 2000	335
Table 28.2	Mortality data sources (% of deaths covered) for WHO subregions, 2000	336
Table 28.3	Life expectancy at birth (years), both sexes combined, top 10 and bottom 10 countries, 2000	343
Table 28.4	Countries with male life expectancy greater than 75.0 years, 2000	343
Table 28.5	Countries with female life expectancy greater than 80.0 years, 2000	343
Table 28.6	Difference in life expectancy at birth for all possible causes of death and causes excluding AIDS, by sex, 2000 (years)	344
Table 28.7	Total deaths by sex, age and WHO subregion, 2000	346
Table 28.8	Life expectancy at birth (years) by WHO subregion, 2000	348
Table 28.9	Availability of vital registration data on mortality in the WHO database, 1980–2000 (as of 15 September 2001)	350
Table 29.1	Life tables comprising the empirical dataset	360

Table 29.2	Characteristics of life tables comprising the empirical dataset	360
Table 29.3	Values of θ_x, γ_x and l_x standard, by sex	361
Table 29.4	Comparison of root mean square error of $\ln(m_x)$ of the Coale-Demeny, Brass and modified logit systems using the 30% life table subset	366
Table 29.5A	Results of regression of selected observed life table parameters on those predicted by the modified logit system (n=541)	367
Table 29.5B	Results of regression of selected observed life table parameters on those predicted by the modified logit system, using 25th percentile values for males ages 65+	367
Table 30.1	Number of respondents for each set of vignettes by domain in 69 MCSS surveys	372
Table 30.2	Mobility vignettes as included in the WHO Multi-country Survey Study on Health and Responsiveness 2000–2001	372
Table 30.3	Consistent and near consistent orderings of vignettes by domain in 69 MCSS surveys	373
Table 30.4	Per cent consistent and near consistent orderings by domain and survey	375
Table 30.5	Benefit of the doubt rank order correlation coeffcients for mobility and cognition, 69 surveys	377
Table 30.6	Average BDROCC (benefit of the doubt rank order correlation coefficient) by domain and survey	380
Table 30.7	Average BDROCC (benefit of the doubt rank order correlation coefficient) by education group for all domains	382
Table 30.8	Regression analysis of BDROCC (benefit of the doubt rank order correlation coefficient) as a function of age, sex, educational attainment, and survey mode	382
Table 30.9	Eighteen most commonly used vignette response patterns in 69 surveys among consistent raters	383
Table 30.10	Modal mobility vignette response patterns for consistent raters, pooled and across 69 surveys	384
Table 30.11	Distribution of respondents in 69 multi-country survey studies by 28 self-response and vignette response patterns	386
Table 30.12	Distribution of vignette-adjusted raw score (VARS) and self-response categories for mobility in 69 multi-country survey studies	387
Table 30.13	Vignette thetas and sigmas for mobility for full dataset and for BDROCC > 0.8 dataset	390
Table 31.1	Distribution of sample used in pilot study of health module for the World Health Survey by country, age, sex, and years of schooling	403
Table 32.1	Characteristics of responses to different valuation methods	415
Table 32.2	Regression models for visual analogue scale values	417
Table 32.3	Characteristics of the study population	418
Table 32.4	Median categorical descriptions of each condition by domain	419
Table 32.5	Correlation coefficients for mean VAS scores across countries	420

Table 32.6	Characteristics of the multi-method study population	421
Table 32.7	Spearman's rank order correlation coefficients for different valuation methods, within and between countries	422
Table 32.8	Mean, median and standard deviation of valuation results by method and condition	423
Table 32.9	Results from multi-method analyses	425
Table 32.10	Estimation of valuation function	427
Table 32.11	Implications of estimated valuation functions: changes in overall health decrements associated with changes in levels on individual domains	429
Table 32.12	Predictive validity of regression models	430
Table 33.1	Life expectancy (LE), healthy life expectancy (HALE), and lost healthy years as per cent of total LE (LHE%), at birth and at age 60, by sex and total, WHO regions and world, 2000	438
Table 33.2	Life expectancy (LE), healthy life expectancy (HALE), and lost healthy years (LHE) as per cent of total LE (HLE%), at birth and at age 60, by sex and total, by mortality subregion, 1999	440
Table 33.3	Overall prevalence YLD rates (%) for WHO epidemiological subregions for the year 2000	446
Table 33.4	Cause-specific methods used for estimation of country-level prevalence YLD	448
Table 33.5	Average per cent of total prevalence YLD estimated by every method, for WHO Member States within each epidemiological subregion, GBD 2000 Version 1	449
Table 33.6	Major cause groups for which independent comorbidity assumed	450
Table 33.7	Estimation of uncertainty in GBD prior estimates of comorbidity-adjusted prevalences by age and sex for WHO Member States in the year 2000	452
Table 33.8	Estimated correlation matrix for age-specific uncertainty distributions for prevalence YLD estimates for the AFRO and SEARO D regions, year 2000 estimates	453
Table 33.9	Population surveys conducted using WHO survey instrument 1999–2000	454
Table 35.1	DHS survey year and sample size	486
Table 35.2	Child survival inequality index for 50 countries, estimates and 95% confidence intervals	489
Table 35.3	Relative ranks of child survival inequality by four measures of inequality	490
Table 36.1	Demographic and Health Surveys used in this analysis: country name, three-letter acronym, survey year, and sample size	498
Table 37.1	Some existing individual-level datasets	504
Table 37.2	Predicted mean and median hazard rate, interquartile range, standard deviation, and coefficient of variation, by age and sex, USA	507
Table 37.3	Expected death rates and estimated standard deviation of the expected death rate across small areas in the USA	509
Table 37.4	Standard deviation of estimated risk of death across individuals from two analyses: full survival analysis model with random effect and small-area analysis	510

Table 38.1	Main indicators used in the income and burden approaches to analysing the consequences of household health system payments	513
Table 38.2	Redistributive effect (RE) and poverty headcount difference (DH)	521
Table 38.3	Fairness in financial contribution and catastrophic payments	525
Table 38.4	Correlation coefficients between measures in the income and burden space	527
Table 40.1	Standard deviation of HFC and "augmented standard deviation" based on equal burden HFC (HFC_0)	548
Table 40.2	The FFC index based on equal burden HFC (HFC_0) and HFC mean ($\overline{HFC}$)	549
Table 40.3	Theil's index and the mean logarithmic deviation (MLD)	551
Table 40.4	Inequality in HFC implied by Atkinson's index	552
Table 40.5	Rank order using different inequality measures	553
Table 40.7	Comparison of different summary measures	554
Table 40.6	The rank correlation coefficient of different inequality measures	554
Table 42.1	Data sources and country codes	567
Table 42.2	Percentage of households with catastrophic health expenditures due to out-of-pocket payments, 59 countries	568
Table 42.3	The determinants of catastrophic health expenditure from cross-country analysis	570
Table 43.1	Existing questionnaires that incorporate domains of responsiveness	576
Table 43.2	Operationalization of the domains in the World Health Survey 2002	588
Table 44.1	Criteria and approaches for constructing an instrument	598
Table 44.2	The operationalization of responsiveness domains in the WHO Multi-country Survey Study	600
Table 44.3	The number of items in the responsiveness module of the Multi-country Survey Study with a short description of the sections and the targeted respondents	601
Table 44.4	Average item missing rates for the responsiveness module across 65 surveys	603
Table 44.5	Average item missing rates by survey for 65 surveys	604
Table 44.6	Size of samples for retests in eight countries	605
Table 44.7	Kappa rates for sections of the responsiveness module, calculated from retests in eight countries	606
Table 44.8	Item correlations and alpha coefficients for domain questions on the level of responsiveness	607
Table 44.9	Confirmatory factor analysis standardized coefficients—outpatients	609
Table 44.10	Confirmatory factor analysis standardized coefficients—inpatients	611
Table 45.1	List of 65 surveys analysed, survey modes, and respondent numbers	632
Table 45.2	Domain coefficients from the ordered probit model for 65 surveys	636
Table 45.3	Domain weights for eight domains across 65 surveys	638

Table 45.4	Comparison of responsiveness domain weights from 65 national sample surveys with *The World Health Report 2000* key informant survey results	640
Table 45.5	Correlation of responsiveness domain weights with GDP per capita and total health expenditure per capita for 65 surveys	641
Table 46.1	Descriptive statistics of the responsiveness module for 16 OECD countries: survey mode, response rates, the number of respondents, and the percentage of respondents using health services	644
Table 46.2	Wording of responsiveness module items and response options for inpatient and outpatient services in the Multi-country Survey Study for the domains of prompt attention, dignity, communication, autonomy, confidentiality, choice, quality of basic amenities, and support	645
Table 46.3	Responsiveness domain and overall results for inpatient services in 16 OECD countries: means and standard errors, standardized by country, age and sex	648
Table 46.4	Responsiveness domain and overall results for outpatient services in 16 OECD countries: means and standard errors, standardized by country, age and sex	648
Table 46.5	Countries with high and low performance for two of the most important responsiveness domains: dignity and prompt attention	649
Table 46.6	Correlation of the percentage of the population "very satisfied or satisfied with the way health care runs in their country" and responsiveness domain-specific and overall inpatient and outpatient results	650
Table 46.7	Responsiveness inpatient and outpatient results compared with satisfaction results for the poor and wealthy in 16 OECD countries	651
Table 47.1	Information on the countries analysed	654
Table 47.2	Overall outpatient and inpatient level of responsiveness for 16 OECD countries	654
Table 47.3	Exercise based on key informants	656
Table 47.4	Overall, outpatient, and inpatient inequality in responsiveness (coefficient of variation)	657
Table 47.5	Inequality in responsiveness by domain	659
Table 47.6	A comparison of different inequality measures (coefficient of variation, relative mean deviation, standard deviation of logs, Gini coefficient, Theil index, and mean)	660
Table 47.7	Rank correlation between different inequality measures	660
Table 47.8	Inequality of responsiveness by sex	661
Table 48.1	Sample size and characteristics of surveys used	669
Table 48.2	Relative weights assigned to the three main health system goals	670
Table 48.3	Relative weights and sample standard deviations assigned to system quality vs. equity	672
Table 48.4	Results from the seemingly unrelated regression model	673
Table 49.1	Original weights and benefit of the doubt weights with summary statistics	678
Table 51.1	Variables used in the second-stage analysis	695
Table 51.2	Multivariate analysis for determinants of efficiency on health	696

Table 51.3	Multivariate analysis for determinants of overall efficiency	697
Table 54.1	A taxonomy of evidence relating to population health and health systems	720
Table 54.2	Example of taxonomy for WHO estimates of life expectancy at birth for Member States for year 2000	722
Table 54.3	Average uncertainty range for life expectancy at birth (e_0) estimates	722
Table 54.4	Example of taxonomy for UNICEF/WHO joint estimates of maternal mortality ratios (MMRs) per 100 000 for Member States for year 2000	722
Table 54.5	Average uncertainty ranges for estimates of maternal mortality ratio (MMR) per 100 000	722
Table 55.1	Descriptive statistics (simulated data)	729
Table 55.2	Estimation results: ordered probit	730
Table 55.3	Estimation results: two-stage partial credit	732
Table 55.4	Estimation results: partial credit with covariates	733
Table 55.5	Estimation results: HOPIT	742
Table 55.6	Estimation results: HOPIT τ^1	742
Table 55.7	Estimation results: HOPIT τ^2	743
Table 55.8	Estimation results: HOPIT τ^3	743
Table 55.9	Estimation results: HOPIT τ^4	744
Table 55.10	Estimation results: hierarchical partial credit	744
Table 55.11	Estimation results: hierarchical partial credit δ^1	745
Table 55.12	Estimation results: hierarchical partial credit δ^2	745
Table 55.13	Estimation results: hierarchical partial credit δ^3	746
Table 55.14	Estimation results: hierarchical partial credit δ^4	746
Table 56.1	Variables used in the estimation and validation of permanent income using DIHOPIT	753
Table 56.2	Results of application of random-effect DIHOPIT to Greece ECHP, 1995	754
Table 56.3	Correlation of estimated permanent income with reported income measures, Greece ECHP, 1995	754
Table 56.4	Results of application of random-effect DIHOPIT to Pakistan IHS, 1991	755
Table 56.5	Correlation of permanent income estimates with reported household income and expenditure, Pakistan IHS, 1991	756
Table 56.6	Results of application of random-effect DIHOPIT to Peru LSMS, 2000	757
Table 56.7	Correlation of permanent income estimates with reported household income and expenditure, Peru LSMS, 2000	757
Table 56.8	Item reduction subsets, Peru LSMS, 2000	758
Table 56.9	Spearman rank correlation of permanent income estimated from indicator subsets with full-set permanent income, household income and household expenditure, Peru LSMS, 2000	758

Table 57.1	Assessment instrument domains	766
Table 57.2	Distribution of survey countries by WHO regions	778
Table 57.3	Countries participating in the survey study	778
Table 57.4	Summary survey diagnostics for different modes	779
Table 57.5	Sex ratio (male/female) in the UN Population Database and in the household survey country samples	779
Table 57.6	Timing of individual sections of full-length household interviews, pilot phase (data from 10 countries)	780
Table 57.7	Mean interview duration (minutes) of full-length household interviews, main phase (data from 10 countries)	781
Table 57.8A	Reliability statistics for major sections of the health component of the survey (data from 10 countries in full-length household interview mode)	781
Table 57.8B	Reliability statistics for major sections of the responsiveness component of the survey	782
Table 57.9	Summary results of calibration tests	782
Table 57.10A	Survey metrics for the household survey, health and responsiveness components	783
Table 57.10B	Survey metrics for the brief face-to-face survey, health and responsiveness components	784
Table 57.10C	Survey metrics for the postal survey, health and responsiveness components	785
Table 57.11	Average cost per completed interview	790
Table 58.1	Modules of the WHS instrument in 2002–2003	799
Table 58.2	Countries participating in the WHS 2002–2003	803
Table 59.1	Assessment of health system outcomes	813
Table 59.2	Illustration of types of indicators	814
Table 60.1	Leading 10 selected risk factors as per cent of disease burden measured in DALYs	827
Table 60.2	Interventions evaluated	828
Table 60.3	Annual costs, effects, and cost-effectiveness of interventions for AmrA	830
Table 61.1	Matrix for the assessment of human resource generation	856
Table 61.2	Matrix for the assessment of human resource maintenance and utilization	856
Table 61.3	Indicators discussed with the Scientific Peer Review Group, Dec. 2001	862
Table 61.4	Three classifications of tasks for stewardship	866

LIST OF FIGURES

Figure 1.1	Boundaries of the health system	7
Figure 1.2	From functions to outcomes	9
Figure 1.3	Defining health system efficiency	10
Figure 12.1	Mapping from unobserved latent variable to observed categorical response categories	141
Figure 16.1	Private and public health expenditures by function, Sri Lanka	190
Figure 16.2	Per capita expenditure on health by region in Mexico, 1995	191
Figure 17.1	Health spending around the world as share of GDP (%), 2000	196
Figure 17.2	Inequality in health spending and income by region, 2000	197
Figure 17.3	Healthy life expectancy and health spending, 2000	198
Figure 17.4	Composition of world spending on health, 2000	199
Figure 17.5	Public share of health spending by income group	199
Figure 17.6	Out-of-pocket share of health spending by income	200
Figure 18.1	The process of developing indicators for the financing function	206
Figure 21.1	Health service provider	235
Figure 21.2	Assessment of health service provision	236
Figure 21.3	Physicians, nurses, and dentists per 1 000 population, in selected OECD countries, 1998	238
Figure 21.4	Matrix of decision autonomy	241
Figure 22.1	Distribution of the probability of valid DTP3	254
Figure 22.2	Comparison of DTP3 and measles inequality variance index	256
Figure 23.1	DTP3 immunization coverage rates by birth cohort (1985–1998) from the DHS, 45 countries (sorted by increasing total valid immunization rates)	267
Figure 23.2	DTP3 vaccination rates by birth cohort (1985–1998), according to source of data, 41 countries	268
Figure 23.3	Differences in DTP3 vaccination rates by birth cohort (1985–1998), according to source of data, 45 countries	268
Figure 23.4	Comparison of total valid DTP3 vaccination rates for overlapping birth cohorts across two successive DHS surveys in three countries	269
Figure 23.5	Quinquennial change in coverage rates for DTP3 by birth cohort (1986–1998), according to source of data, 16 countries	269
Figure 24.1	Availability of health technology. 1997	274
Figure 24.2	Total health employment per 1 000 population, 1997	275
Figure 24.3	Comparison of the total health expenditure (THE) and total investment in medical facilities, 1998	275

Figure 24.4	Comparison of total health expenditure (THE) and total investment in medical facilities, 1998	276
Figure 24.5	The link between resource generation and service provision functions	276
Figure 24.6A	Distribution of countries by physicians per 100 000 inhabitants	278
Figure 24.6B	Distribution of countries by nurses per 100 000 inhabitants	278
Figure 24.7	Comparison of the number of health care personnel in selected African countries, 1996	278
Figure 24.8	Comparison of the number of health care personnel in selected European countries, 1998	279
Figure 24.9	Comparison of the number of nurses to the number of doctors in Europe, 1998	279
Figure 24.10	Trends in total per capita investments in medical facilities in PPP terms	283
Figure 26.1	Health and disability in a single health domain	306
Figure 26.2	Domains of health	309
Figure 26.3	Multidimensional health profile, WHO Health and Responsiveness Surveys 2000–2001, selected surveys and countries	311
Figure 26.4	Latent variable for a health domain: an illustration for mobility	312
Figure 27.1	Survivorship function for a population	321
Figure 27.2	Survivorship function for four health states	322
Figure 28.1	Trends in ${}_{45}q_{15}$ from vital registration data—China, 1990–1998	338
Figure 28.2	Trends in ${}_{45}q_{15}$ (unadjusted) based on estimates from the Sample Survey of Population Change—China, 1991–1998	339
Figure 28.3	Age pattern of HIV mortality	342
Figure 28.4	Distribution of male–female difference in life expectancy at birth, WHO Member States, 2000	344
Figure 28.5	Chances of dying in childhood (0–4 years) and adulthood (15–59 years), by subregion, 2000	345
Figure 28.6	Adult mortality versus child mortality for 191 WHO Member States for the year 2000	347
Figure 28.7	Average age of death and population, 2000, 191 countries	348
Figure 29.1	Annual logit life table values (1900–95) vs. 1900 logit values (US males)	358
Figure 29.2	Values of θ_x, γ_x by age and sex	361
Figure 29.3	Deviations between observed and predicted logits by age, selected countries	362
Figure 29.4	Isoclines of e_0, ${}_{45}q_{15}$ and ${}_{20}q_{60}$, selected values, males	362
Figure 29.5	Log Mx for four populations with male e_0 = 65 years	363
Figure 29.6	Comparison of observed patterns of ${}_5q_0$ and e_0 vs. Coale-Demeny model values, males	365
Figure 29.7	Comparison of observed patterns of ${}_5q_0$ and ${}_{45}q_{15}$ vs. Coale-Demeny model values, males	365

Figure 29.8 Comparison of observed patterns of ${}_{45}q_{15}$ and ${}_{20}q_{60}$ vs. Coale-Demeny model values, males 365
Figure 29.9 Predicted vs. observed male ${}_{45}q_{15}$ using the Coale-Demeny and modified logit systems, selecting on the basis of ${}_{5}q_{0}$ and e_0 366
Figure 29.10 Predicted vs. observed male e_0 using the Coale-Demeny and modified logit systems, selecting on the basis of ${}_{5}q_{0}$ and ${}_{45}q_{15}$ 366
Figure 30.1 Latent mobility scale and cut-point variation in categorical responses 370
Figure 30.2 Stacked-bar diagram of vignette responses in China and the Netherlands 373
Figure 30.3 Three examples of consistent vignette orderings 376
Figure 30.4 Median BDROCC across domains 379
Figure 30.5 Median BDROCC across countries 379
Figure 30.6 28 self-response and vignette response patterns 385
Figure 30.7 Cumulative mobility distributions in Latvia, Estonia, Romania, and the Czech Republic 388
Figure 30.8 Cut-points and vignette ratings for all respondents 391
Figure 30.9 Average cut-points for each survey and vignette ratings, 55 survey full run 392
Figure 30.10 Cut-point 1 by age category in six countries, y-axis is normalized to vignette 1 equals 100 392
Figure 30.11 Cut-points by educational attainment in Austria Postal Survey, y-axis is normalized to vignette 1 equals 100 392
Figure 30.12 Cut-points by sex in eight countries 392
Figure 30.13 Posterior estimates of mobility versus expected values of mobility for the entire dataset 393
Figure 30.14 Comparison of posterior estimates of mobility for full run and BDROCC > 0.8 run 394
Figure 30.15 Comparison of age-standardized raw score with age-standardized posteriors in 55 surveys 394
Figure 31.1 Response category cut-point shift 401
Figure 31.2 Mobility items in the World Health Survey Pilot Study 402
Figure 31.3 Mobility ratings for self-assessment and selected vignettes, China and Sri Lanka (N=1 061 for self-ratings, N=151 for vignettes) 404
Figure 31.4 Vignette ratings for two mobility questions, pooled results from six countries (China, Myanmar, Pakistan, Sri Lanka, Turkey, United Arab Emirates) 404
Figure 31.5 Variation in vignette ratings across age groups in three countries, Myanmar, Pakistan and Turkey 405
Figure 32.1 Measurement framework for health state valuations 411
Figure 32.3 Average VAS score by condition and country 418
Figure 32.2 Distribution of categorical ratings on difficulties performing usual activities for the condition of drug dependence 418

Figure 32.4 Box-and-whisker plots of VAS scores by country for four states: own health state, infertility, major depression, and quadriplegia 420
Figure 32.5 Standard deviation of domain descriptions and VAS scores 421
Figure 32.6 Valuation results by method and condition 424
Figure 33.1 Average HALE at birth (males and females combined), 191 Member States, 2000 441
Figure 33.2 Average HALE at birth (males and females combined), 191 Member States, 2000 442
Figure 33.3 Healthy life expectancy at birth versus total life expectancy at birth, by sex, WHO Member States, 2000 442
Figure 33.4 Healthy life expectancy at birth: males versus females, WHO Member States, 2000 442
Figure 33.5 Female-male difference in HALE at birth, 191 Member States, 2000 443
Figure 33.6 Overall prevalence YLD rates (%) for WHO Regions, 2000 445
Figure 33.7 Estimated age-standardized prevalence YLD rate versus life expectancy at birth, by sex, WHO Member States, 2000 451
Figure 33.8 Estimated age-standardized prevalence YLD: country versus regional rate, by sex, WHO Member States, 2000 451
Figure 33.9 Comparison of severity-weighted average prevalences from surveys and GBD priors, developed and developing countries 455
Figure 33.10 Comparison of severity-weighted average prevalences from surveys for developed and developing countries and for A regions versus Euro B and Euro C regions 456
Figure 33.11 Age-standardized average severity-weighted prevalences versus per capita Gross Domestic Product (PPP 1998), 63 surveys in the WHO 2000–2001 household survey study 456
Figure 33.12 Age-standardized average severity-weighted prevalences for females versus males, 63 surveys in the WHO 2000–2001 household survey study 456
Figure 33.13 Survey weights by age and sex, 63 surveys in 55 countries, 2000–2001 458
Figure 33.14 Estimated age-standardized posterior prevalence YLD rate versus life expectancy at birth, by sex, WHO Member States, 2000 458
Figure 33.15 Estimated age-standardized posterior prevalence YLD: country versus regional rate, by sex, WHO Member States, 2000 459
Figure 33.16 Uncertainty in average healthy life expectancy at birth (males and females combined) for the year 2000 versus average health expenditure per capita (1998) for 191 WHO Member States 461
Figure 33.17 HALE at birth with 95% uncertainty intervals, by sex, 17 epidemiological regions, 2000 462
Figure 34.1 Healthy life span for an individual 472
Figure 34.2A Health survivorship function 472
Figure 34.2B Distribution of healthy life span 472
Figure 34.3A Health risk by age 473
Figure 34.3B Distribution of realized healthy life span 473

Figure 34.4	Mortality rates by age, UK females, 1901–1995	474
Figure 34.5A	Health risk by age	475
Figure 34.5B	Distribution of healthy life span	475
Figure 34.6	Distribution of healthy life expectancy	477
Figure 34.7	Transfer of healthy life expectancy	478
Figure 34.8	Transfer of healthy life expectancy	479
Figure 34.9	Transfer of healthy life expectancy	479
Figure 35.1	Distribution of probability of death between birth and age two ($_2q_0$) for Benin and the Central African Republic	488
Figure 35.2	Child survival inequality index and 95% confidence intervals for 50 countries	491
Figure 35.3	Child survival inequality index, plotted against five economic and demographic indicators by type of government	492
Figure 35.4	Country rankings of child survival inequality: comparing the individual-level inequality index with existing indices of income- and ethnicity-related inequalities in child survival. A rank of 1 on all scales indicates the highest levels of inequality.	493
Figure 36.1	Relationship of total child survival inequality with average level of child mortality	500
Figure 36.2	Within- vs. between-group inequality for 39 countries	500
Figure 36.3	Per cent reduction in total inequality resulting from removing income inequality versus removing health services access inequality	500
Figure 36.4	Per cent reduction in total inequality resulting from raising income to the mean versus raising health services access to the mean for those below the mean	501
Figure 37.1	Predicted distributions of risk of death for males and females aged 45–54 years, USA	506
Figure 37.2	Coefficient of variation in expected death rate, males and females, USA	509
Figure 37.3	Coefficient of variation in expected death rate, males and females, United Kingdom	509
Figure 37.4	Coefficient of variation of risk of death from survival analysis model and small area analysis, USA, males and females	510
Figure 38.1	Illustration of the progressivity index	515
Figure 38.2	The redistributive effect (RE)	516
Figure 38.3	Conceptual framework for the calculation of redistributive effect (RE)	516
Figure 38.4	Distribution of income and the poverty line	517
Figure 38.5	Distribution of household financial contribution (HFC)	519
Figure 38.6	Redistributive effect (RE) and its components (V, H and R)	523
Figure 38.7	Redistributive effect (RE) and out-of-pocket (OOP) share	523
Figure 38.8	Level of poverty and impoverishment due to health payments	524
Figure 38.9	The fairness in financial contribution index (FFC) and the prepayment share of total health expenditure	524

Figure 38.10	The distribution of household financial contribution by income deciles	527
Figure 39.1	Current income, permanent income, and effective income	534
Figure 39.2	Subsistence expenditure as a share of total consumption expenditure, Bangladesh	539
Figure 40.1	Distribution of household financial contributions (HFC), Spain and Azerbaijan	543
Figure 40.2	The FFC index based on equal burden HFC (HFC_0) and HFC mean ($\overline{HFC}$)	548
Figure 40.3	HFC distributions, Viet Nam and Zambia	553
Figure 41.1	Decomposing the FFC index: removing the vertical effect	559
Figure 41.2	Concentration index of HFC (CI_HFC) vs. percentage increase in FFC after removing the vertical effect	560
Figure 41.3	Decomposing the FFC index: removing both vertical and extreme horizontal effect	560
Figure 41.4	Sources of unfairness (1-FFC)	561
Figure 41.5	Redistributive effect (RE) vs. FFC	562
Figure 42.1	Proportion of households with catastrophic expenditures vs. share of out-of-pocket payment in total health expenditures (OOP%THE)	569
Figure 44.1	Grouping of respondents (completed questionnaires) to responsiveness module	602
Figure 45.1	Question on the importance of responsiveness domains asked to respondents in the responsiveness module of the Multi-country Survey Study	633
Figure 45.2	Frequency of respondents in a country rating a domain as least important or most important	635
Figure 45.3	Domain weights under alternative anchor values	637
Figure 45.4	Comparisons of eight domain weights across 65 surveys. Surveys in decending order based on prompt attention weights	640
Figure 46.1	National health expenditure compared with inpatient and outpatient responsiveness results for 16 OECD countries	649
Figure 47.1	Distribution of responsiveness for 16 countries	658
Figure 47.2	Distribution of responsiveness for Belgium, Portugal, and Finland for males and females	661
Figure 47.3	Inequality in responsiveness vs. total health expenditure as percentage of GDP	661
Figure 47.4	Regression analysis using basic variables (inequality in responsiveness vs. Gini, total health expenditure as percentage of GDP and government effectiveness)	662
Figure 47.A1	Inequality scenario 1	664
Figure 47.A2	Inequality scenario 2	664
Figure 47.A3	Inequality scenario 3	665
Figure 48.1	Health system goals as part of the performance assessment framework	668
Figure 48.2	Relative weights assigned to the five health system goals, by country and survey mode	671
Figure 49.1	Alternative (maximum) attainment scores versus original (*World Health Report 2000*) scores, showing 45-degree line	679

Figure 49.2 Histogram showing absolute differences in two sets of attainment scores: alternative (maximum) versus original (*World Health Report 2000*) 679
Figure 49.3 Density plot of the changes induced under benefit of the doubt, showing the standard normal distribution 679
Figure 49.4 Alternative ranks (based on maximum scores) versus original (*World Health Report 2000*) ranks 679
Figure 49.5 Histogram of absolute value of differences in two sets of ranks: based on alternative (maximum) and original (*World Health Report 2000*) scores 680
Figure 50.1 The health system as a production unit 683
Figure 50.2 Efficiency: free disposal hull (FDH) 685
Figure 50.3 Efficiency: data envelopment analysis (DEA) 685
Figure 50.4 Efficiency: corrected ordinary least squares (COLS) 685
Figure 50.5 Efficiency: stochastic frontier truncated-normal model 685
Figure 50.6 Efficiency: fixed-effect model 686
Figure 50.7 Causal web relating income to health 687
Figure 50.8 Literacy rate versus GDP per capita, PPP 687
Figure 50.9 Educational attainment versus GDP per capita, PPP 687
Figure 50.10 Overall attainment versus health expenditure per capita, 1997 international dollars 688
Figure 50.11 Overall attainment versus educational attainment 688
Figure 50.12 Overall attainment versus proxy "Other" 688
Figure 51.1 Distributions of estimated efficiency for health and overall attainment 694
Figure 51.2 Overall efficiency versus efficiency for health: country means and confidence intervals 694
Figure 51.3 Kernel density estimate of the distribution of logistic transformation of estimated efficiencies 696
Figure 52.1 Defining health system efficiency 699
Figure 52.2 Efficiency and effective coverage of critical interventions 702
Figure 53.1 Proportion of population ≥16 years of age, reporting bad and very bad general health, 12 European countries, 1994 706
Figure 53.2 Comparison of average health levels by age and sex, China and the United States of America 707
Figure 53.3 Mapping from latent mobility variable to categories 707
Figure 55.1 Mapping from unobserved latent variable to observed response categories 727
Figure 55.2 Distribution of responses for three self-report questions in countries A and B 729
Figure 55.3 Predicted versus true cut-points: ordered probit for main question 730
Figure 55.4 Predicted versus true mobility: ordered probit for main question 730
Figure 55.5 Predicted probabilities: ordered probit for main question 731

Figure 55.6	Predicted versus true mobility: two-stage partial credit	732
Figure 55.7	Predicted probabilities: two-stage partial credit for main question	732
Figure 55.8	Predicted versus true mobility: partial credit with covariates	733
Figure 55.9	Predicted versus true mobility: partial credit with covariates (Bayesian)	734
Figure 55.10	Distribution of vignette responses for country A	735
Figure 55.11	Distribution of vignette responses for country B	736
Figure 55.12	Predicted versus true mobility: HOPIT	737
Figure 55.13	Predicted versus true cut-points: HOPIT main question	737
Figure 55.14	Predicted versus true cut-points: HOPIT auxiliary question 1	737
Figure 55.15	Predicted versus true cut-points: HOPIT auxiliary question 2	738
Figure 55.16	Predicted versus true mobility: HOPIT (Bayesian)	738
Figure 55.17	Predicted versus true mobility: partial credit model	739
Figure 55.18	Predicted versus true mobility: partial credit model (Bayesian)	739
Figure 56.1	Hypothetical indicator cut-points on the permanent income latent variable	750
Figure 56.2	Indicator variable ladder for 23 indicators, Greece ECHP, 1995	754
Figure 56.3	Indicator variable ladder for 30 indicators, Pakistan IHS, 1991	756
Figure 56.4	Indicator variable ladder for 24 indicators, Peru LSMS, 2000	757
Figure 57.1	Response category shift — different rulers	764
Figure 57.2	Quality assurance steps for data	776
Figure 57.3	Different survey modes in the WHO Multi-country Survey Study, 2000–2001	777
Figure 57.4	WHO Multi-country Survey Study, 2000–2001	777
Figure 57.5	Example of a sample population deviation index	780
Figure 57.6	Sample population representativeness by survey mode	786
Figure 57.7A	Comparison of household vs. postal surveys for representativeness	787
Figure 57.7B	Comparison of brief face-to-face vs. postal surveys for representativeness	787
Figure 57.8A	Summary quality assessment of household surveys by reliability and missing value	787
Figure 57.8B	Summary quality assessment of household surveys by reliability and representativeness	787
Figure 57.8C	Summary quality assessment of household surveys by representativeness and missing value	788
Figure 57.8D	Summary quality assessment of WHO surveys by representativeness and missing value	788
Figure 57.8E	Summary quality assessment of WHO surveys by response rate and missing value	788
Figure 57.8F	Summary quality assessment of WHO surveys by representativeness and response rate	788
Figure 57.9A	Representativeness of DHS surveys by country	789

Figure 57.9B Relationship between missing data and representativeness for DHS surveys 789
Figure 57.10 Comparison of representativeness across different surveys 789
Figure 57.11 Comparison of cost by mode 790
Figure 57.12 Adjusted and unadjusted mobility by age 790
Figure 57.13 Adjusted and unadjusted self-care by age 791
Figure 57.14 Adjusted and unadjusted dignity by age 791
Figure 57.15 Plot of weighted and smoothed log of death rates from survey, compared with corresponding WHO 2000 estimates for females in Nigeria 791
Figure 58.1 Different information collection modes for health information systems 798
Figure 58.2 The WHS 2002–2003 geographical distribution of participating countries 804
Figure 58.3 WHS quality assurance procedures 805
Figure 58.4 Example of a sample population deviation index 806
Figure 59.1 Effect of increasing sample size on accuracy of sample and posterior estimates for a given prior estimate 818
Figure 59.2 Proportion of a provincial population estimated to be poor 819
Figure 59.3 Effects of item reduction: deriving estimates of economic status from asset questions 819
Figure 60.1 Health system efficiency 823
Figure 60.2 Annual costs and effectiveness for CVD risk factor interventions, AmrA 831
Figure 60.3A Probability of inclusion in the optimal mix at "low levels" of resources availability in subregion AmrA 832
Figure 60.3B Probability of inclusion in the optimal mix at "high levels" of resources availability in subregion AmrA 832
Figure 60.4 Maximum possible health gains from selected CVD risk factor interventions, subregion AmrA 833
Figure 61.1 A framework for stewardship 869
Figure 61.2 Response category cut-point shift 900