

The Nuclear Factor NF- κ B Pathway in Inflammation

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The nuclear factor NF- κ B pathway has long been considered a prototypical proinflammatory signaling pathway, largely based on the role of NF- κ B in the expression of proinflammatory genes including cytokines, chemokines, and adhesion molecules. In this article, we describe how genetic evidence in mice has revealed complex roles for the NF- κ B in inflammation that suggest both pro- and anti-inflammatory roles for this pathway. NF- κ B has long been considered the “holy grail” as a target for new anti-inflammatory drugs; however, these recent studies suggest this pathway may prove a difficult target in the treatment of chronic disease. In this article, we discuss the role of NF- κ B in inflammation in light of these recent studies.

NF- κ B has long been considered a prototypical proinflammatory signaling pathway, largely based on the activation of NF- κ B by proinflammatory cytokines such as interleukin 1 (IL-1) and tumor necrosis factor α (TNF α), and the role of NF- κ B in the expression of other proinflammatory genes including cytokines, chemokines, and adhesion molecules, which has been extensively reviewed elsewhere. But inflammation is a complex physiological process and the role of NF- κ B in the inflammatory response cannot be extrapolated from *in vitro* studies. In this article, we describe how genetic evidence in mice has revealed complex roles for the NF- κ B pathway in inflammation.

ACTIVATION OF NF- κ B IN INFLAMMATION

The inflammatory response is characterized by coordinate activation of various signaling pathways that regulate expression of both pro- and anti-inflammatory mediators in resident tissue cells and leukocytes recruited from the blood. Currently, most of our knowledge of signaling in inflammation is gained from studying members of the IL-1 and TNF receptor families and the Toll-like microbial pattern recognition receptors (TLRs), which belong to the IL-1R family. IL-1 and TNF α represent the archetypal proinflammatory cytokines that are rapidly released on tissue injury or infection. TLRs recognize microbial molecular patterns, hence

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the term pattern recognition receptor (PRR). TLRs represent a germline encoded nonself recognition system that is hardwired to trigger inflammation (Akira et al. 2006). However, there is some suggestion that endogenous ligands may trigger TLRs during tissue injury and certain disease states, which may act to promote inflammation in the absence of infection (Karin et al. 2006). Although structurally different, these receptors use similar signal transduction mechanisms that include activation of I κ B kinase (IKK) and NF- κ B (Ghosh and Karin 2002). In recent years, it has become clear that there are at least two separate pathways for NF- κ B activation (Fig. 1). The

“canonical” pathway is triggered by microbial products and proinflammatory cytokines such as TNF α and IL-1 as described previously, usually leading to activation of RelA- or cRel-containing complexes (Karin and Ben-Neriah 2000). An “alternative” NF- κ B pathway is activated by TNF-family cytokines—lymphotoxin β (TNFSF3) (Senftleben et al. 2001a; Dejardin et al. 2002), CD40 ligand (CD40L and TNFSF5) (Senftleben et al. 2001a), B cell activating factor (BAFF and TNFSF13B) (Bonizzi et al. 2004), and receptor activator of NF- κ B ligand (RANKL and TNFSF11) (Novack et al. 2003)—but not TNF α (Matsushima et al. 2001; Dejardin et al. 2002; Bonizzi et al. 2004),

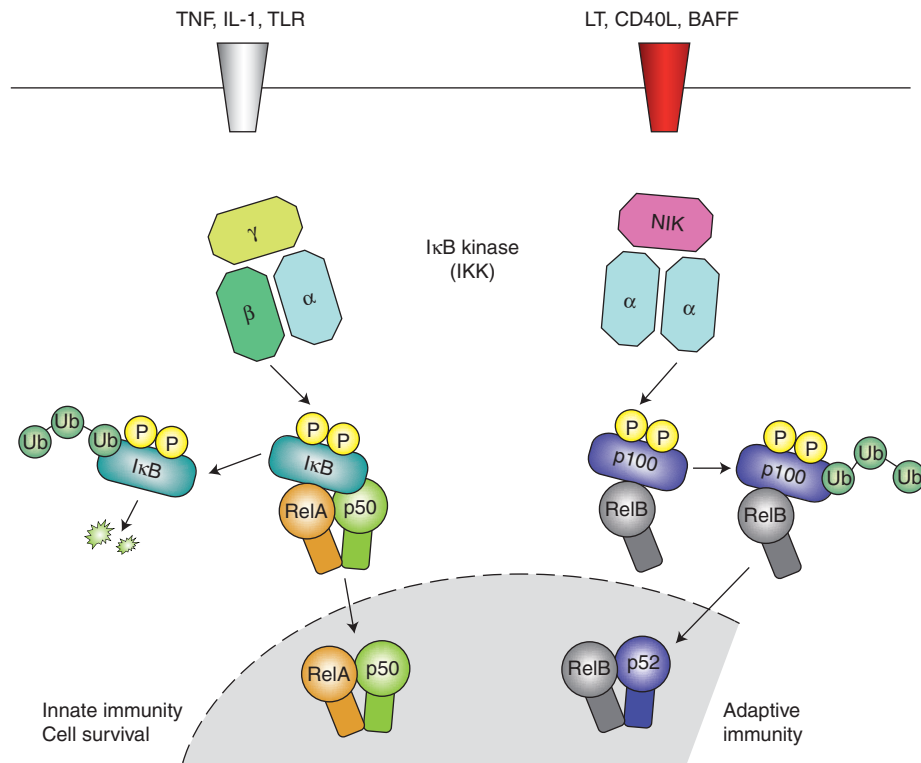


Figure 1. Canonical and alternative NF- κ B pathways. This diagram illustrates the canonical and alternative pathways for NF- κ B activation. The canonical pathway is triggered by TLRs and proinflammatory cytokines such as TNF α and IL-1, leading to activation of RelA that regulates expression of proinflammatory and cell survival genes. The alternative NF- κ B pathway is activated by LT β , CD40L, BAFF, and RANKL, but not TNF α , and results in activation of RelB/p52 complexes. Activation of the alternative pathway regulates genes required for lymph-organogenesis and B-cell activation. These pathways are characterized by the differential requirement for IKK subunits. IKK β regulates activation of the canonical pathway through phosphorylation of I κ Bs and requires the IKK γ subunit but not IKK α , whereas IKK α is required for activation of the alternative pathway through the phosphorylation and processing of p100, the precursor for p52, but this is independent of both IKK β and IKK γ .



resulting in activation of RelB/p52 complexes (Bonizzi and Karin 2004). These pathways are characterized by the differential requirement for IKK subunits. The IKK complex consists of two kinase subunits, IKK α (IKK1) and IKK β (IKK2), and a regulatory subunit IKK γ (NEMO). IKK β regulates activation of the canonical pathway through phosphorylation of I κ Bs and requires the IKK γ subunit but not IKK α (Zandi et al. 1997). IKK α is required for activation of the alternative pathway through the phosphorylation and processing of p100, the precursor for p52 (Senftleben et al. 2001a), and this is independent of both IKK β and IKK γ (Ghosh and Karin 2002).

THE CANONICAL NF- κ B PATHWAY

The canonical NF- κ B pathway has been defined primarily in response to TNF α and IL-1 signaling, prototypical proinflammatory cytokines that have important roles in the pathogenesis of chronic inflammatory diseases such as rheumatoid arthritis (RA), inflammatory bowel disease (IBD), asthma, and chronic obstructive pulmonary disease (COPD) (Holgate 2004; Chung 2006; Williams et al. 2007). NF- κ B activation is also widely implicated in inflammatory diseases (Table 1) (Tak and Firestein 2001) and much attention has focused on the development of anti-inflammatory drugs targeting NF- κ B (Karin et al. 2004).

Invariably, NF- κ B activity at sites of inflammation is associated with activation of the canonical pathway and RelA- or cRel-containing complexes. There have been several studies to show proinflammatory cytokine and

chemokine production by disease tissue is NF- κ B-dependent; for example, using fibroblast-like synoviocytes from RA patients (Aupperle et al. 1999; Aupperle et al. 2001). Similar studies have shown that proinflammatory cytokine production in human atherosclerotic plaques is also NF- κ B-dependent (Monaco et al. 2004). However, these studies relied on ex vivo tissue culture systems and expression of dominant-negative inhibitors or overexpression of I κ B α that may not reflect the role of NF- κ B in the disease context. There has also been correlation of NF- κ B activation with inflammatory disease in animal models of arthritis (Miagkov et al. 1998) and allergic airway disease (Poynter et al. 2002). But the association of NF- κ B activity and inflammatory disease is not easy to interpret because both pro- and anti-inflammatory mediators are produced during inflammation and the balance between these factors is likely to dictate disease progression (Lawrence and Gilroy 2007). It is clear from genetic experiments in mice that NF- κ B activation is not necessarily proinflammatory and has complex roles in the inflammatory response. The role of RelA as a critical effector of the canonical pathway has been demonstrated with the development of RelA and IKK β knockout mice (Beg and Baltimore 1996; Li et al. 1999). Using radiation chimeras, Alcamo et al. showed that RelA expression in radiation-resistant tissue cells is required for the leukocyte recruitment in the lung after challenge with the bacterial product lipopolysaccharide (LPS), but RelA was not required in hematopoietic cells for inflammation (Alcamo et al. 2001). This was quite surprising considering the strong activation of NF- κ B in lung macrophages in response to LPS and suggested a different role for NF- κ B in cells of the immune system.

Cre/lox gene targeting technology (Sauer 1998) has made it possible to specifically target NF- κ B activation in different cell lineages, an approach that has shown that NF- κ B plays a tissue-specific role in the inflammatory response. The deletion of IKK β or IKK γ in intestine epithelial cells clearly revealed a cytoprotective role for NF- κ B. The resulting breakdown in epithelial barrier integrity leads to

Table 1. Chronic inflammatory diseases associated with NF- κ B activation

NF- κ B activation in human inflammatory diseases
Rheumatoid arthritis
Atherosclerosis
Chronic obstructive pulmonary disease (COPD)
Asthma
Multiple sclerosis
Inflammatory bowel disease (IBD)
Ulcerative colitis

Adapted from Tak and Firestein 2001.

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increased inflammation because of commensal bacteria activating tissue macrophages (Chen et al. 2003; Nenci et al. 2007; Eckmann et al. 2008). Interestingly, macrophage-driven inflammation in response to a loss of barrier function was also suggested to be NF- κ B-dependent (Eckmann et al. 2008). In contrast, the specific targeting of NF- κ B in lung epithelial cells did not apparently affect the integrity of the epithelium but impaired inflammation by inhibiting the expression of proinflammatory cytokines and chemokines (Poynter et al. 2003; Poynter et al. 2004; Broide et al. 2005).

In 2001, we showed the involvement of NF- κ B in both the onset and resolution of acute inflammation in a single model system using pharmacological inhibitors (Lawrence et al. 2001). These studies confirmed the expected role of NF- κ B in proinflammatory gene induction during the onset of inflammation but also showed a role for NF- κ B in expression of anti-inflammatory genes and induction of leukocyte apoptosis during the resolution of inflammation. Inhibition of NF- κ B during the resolution of inflammation prolonged inflammatory response and inhibited apoptosis, in conflict with the generally accepted view that NF- κ B was antiapoptotic in inflammatory cells. More recently, Greten et al. also showed an anti-inflammatory role for IKK β in sepsis (Greten et al. 2007). Specific deletion of IKK β in myeloid cells increased sensitivity of mice to endotoxin (LPS)-induced shock caused by elevated plasma IL-1 β levels resulting from increased pro-IL-1 β processing in macrophages and neutrophils. In addition, Greten et al. confirmed a proapoptotic role for NF- κ B in neutrophils, which may also contribute to an anti-inflammatory role of NF- κ B as previously described (Lawrence et al. 2001). More recent studies by our group have shown both pro- and anti-inflammatory roles for IKK β during bacterial infection (Fong et al. 2008). In a model of Streptococcal pneumonia, IKK β was deleted in either macrophages or lung epithelial cells, and neutrophil recruitment and bacterial clearance were inhibited in mice lacking IKK β in lung epithelial cells but were enhanced in mice with IKK β deletion in

macrophages. In addition, IKK β -deficient macrophages showed increased MHC II, iNOS, and IL-12 expression, which are hallmarks of “classical” or M1 macrophage activation (Gordon and Taylor 2005). CD124 (IL-4 receptor) expression was absent on IKK β -deficient macrophages, suggesting that these cells have lost the ability to respond to IL-4 and develop an anti-inflammatory M2 phenotype (Gordon 2003). These data suggest that IKK β suppresses the proinflammatory M1 phenotype and favors the development of anti-inflammatory M2 macrophages. M2 macrophages are also thought to be important in promoting inflammation-associated cancer (Mantovani et al. 2008). Hagemann et al. showed that inhibiting IKK β in tumor-associated macrophages (TAM) switched the phenotype from M2 to M1, characterized by increased IL-12, iNOS, and MHC II (Hagemann et al. 2008). Interestingly, Saccani et al. have also shown that NF- κ B inhibits the proinflammatory phenotype of TAM (Saccani et al. 2006). These studies suggest an anti-inflammatory role for NF- κ B that limits the bactericidal and tumoricidal function of macrophages.

Gene knockout studies have also shown that NF- κ B proteins can have both pro- and anti-inflammatory roles. Homodimers of the p50 subunit of NF- κ B, which lack transactivation domains, have been shown to repress expression of NF- κ B target genes and inhibit inflammation (Bohuslav et al. 1998). A homodimeric complex of p50 was found in resting T cells and reduced p50 expression was observed after T-cell activation. Furthermore, overexpression of p50 was shown to repress IL-2 expression in T cells (Kang 1992). Although increased p50 expression was reported to suppress TNF α production in LPS tolerance (Bohuslav et al. 1998; Kastenbauer and Ziegler-Heitbrock 1999), Gadjeva et al. showed that p50-deficient mice that are heterozygous for RelA (p50^{-/-} p65^{+/-}) were extremely sensitive to LPS-induced shock (Gadjeva et al. 2004). These studies suggest anti-inflammatory roles of p50 homodimer and p50/p65 heterodimers in septic shock in keeping with the studies of Greten et al. targeting the canonical pathway



through IKK β (Greten et al. 2007). Apart from sepsis, an anti-inflammatory role of NF- κ B was also reported in inflammatory bowel disease in which p50^{-/-} p65^{+/-} mice were more susceptible to *Helicobacter hepaticus* induced colitis (Erdman et al. 2001). Later studies have shown that colitis was associated with increased IL-12p40 expression in the colon (Tomczak et al. 2003), and a further study has shown administration of IL-10 fusion protein inhibited IL-12p40 production and *H. hepaticus* induced colitis, which was dependent on p50/p105 expression in macrophages (Tomczak et al. 2006). These studies suggest that NF- κ B can have anti-inflammatory roles by directly inhibiting expression of proinflammatory genes and by manipulating the expression or activity of anti-inflammatory cytokines such as IL-10.

Apoptosis is an essential mechanism that prevents prolonged inflammation: Neutrophil apoptosis during acute inflammation and activation induced cell death (AICD) of antigen-specific T cells are important mechanisms that limit inflammatory and immune responses (Lawrence and Gilroy 2007). As described previously, NF- κ B has a proapoptotic role in neutrophils during inflammation (Lawrence et al. 2001; Greten et al. 2007), which may represent an important anti-inflammatory mechanism for NF- κ B during acute inflammation. However, NF- κ B has also been shown to be an important inhibitor of pathogen-induced apoptosis in macrophages, at least in vitro (Park et al. 2005). In this context, NF- κ B may have a proinflammatory role by enabling prolonged macrophage activation. This would increase innate resistance to infection and therefore block pathogen-induced inflammation during infection. Studies from Teixeira et al. and Kasibhatla et al. have shown that inhibition of NF- κ B activation decreases Fas (CD95) ligand expression on T cells, which is required for AICD (Ju et al. 1995; Emma Teixeira 1999; Kasibhatla et al. 1999). Overexpression of the endogenous NF- κ B inhibitor I κ B α , specifically in T cells, also suggests a proapoptotic role for NF- κ B in double-positive thymocytes (Hettmann et al. 1999). These studies contradict the antiapoptotic role of NF- κ B in inducing

expression Bcl-x_L, TRAF1, TRAF2, c-IAP1, and cIAP2 (Martin SJ 1995; Wang et al. 1998). IKK β was also shown to inhibit T-cell apoptosis in radiation chimera experiments using fetal embryonic liver cells from IKK β knockout embryos (Senftleben et al. 2001b). Studies from Lin et al. (1999) have shown the involvement of NF- κ B in both pro- and antiapoptotic function in T cells. Inhibiting NF- κ B reduced FasL induction and apoptosis in T cells but increased glucocorticoid-mediated apoptosis. Glucocorticoids are produced in the thymus and function to induce thymocyte apoptosis during positive selection. However, Fas and FasL interaction is important in AICD and peripheral T-cell deletion. These data suggest that NF- κ B inhibits glucocorticoid-mediated apoptosis and survival during positive selection. On the other hand, NF- κ B has the opposite role in mature peripheral T cells, promoting apoptosis by increasing FasL expression, which may be linked to termination of T-cell responses (Lin et al. 1999). FasL knockout mice provide a well characterized model of autoimmune disease because of hyperactivation of autoreactive lymphocytes, demonstrating the importance of this pathway in eliminating potentially pathological cells (Roths et al. 1984). These studies suggest that NF- κ B activation can also have contrasting roles in same-cell lineage, depending on the physiological context.

THE ALTERNATIVE NF- κ B PATHWAY

The alternative NF- κ B pathway is characterized by the inducible phosphorylation of p100 by IKK α , leading to activation of RelB/p52 heterodimers. The upstream kinase that activates IKK α in this pathway has been identified as an NIK (NF- κ B inducing kinase) (Senftleben et al. 2001a). Genetic studies in mice have showed the important role for this pathway in lymphoid organogenesis and B-lymphocyte function (Senftleben et al. 2001a; Bonizzi et al. 2004), but the role this pathway plays in inflammation is still unclear (Bonizzi and Karin 2004; Lawrence and Bebien 2007). Gene disruption studies have shown that IKK γ and IKK β subunits are required for I κ B α phosphorylation

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and canonical NF- κ B activation, whereas the alternative pathway is independent of both IKK γ and IKK β (Ghosh and Karin 2002). This raised the question as to why the IKK complex invariably contains IKK α . We addressed this using transgenic mice that express a mutant form of IKK α in which two serine residues in the activation loop of the kinase were mutated to alanine (IKK α^{AA}) (Cao et al. 2001). Cells from these mice express a native IKK complex but lack the NIK-inducible activity of IKK α . Using cells from these mice IKK α was shown to regulate the stability and promoter recruitment of RelA and c-Rel-containing NF- κ B through carboxy-terminal phosphorylation and proteosomal degradation (Lawrence et al. 2005). IKK α activation was shown to limit the inflammatory response during bacterial infection and inhibit canonical NF- κ B activation. Subsequent studies also showed that IKK α negatively regulates canonical NF- κ B activation, using macrophages derived from fetal liver cells of IKK α knockout embryos (Li et al. 2005) or zebrafish with a targeted mutation in the mammalian IKK α ortholog (Correa et al. 2005). IKK α -deficient macrophages showed increased expression of proinflammatory cytokines and an enhanced ability to stimulate T-cell proliferation (Li et al. 2005). However, interpretation of these studies may be clouded by the use of IKK α knockout cells: These experiments showed elevated IKK β activity toward I κ B α , which is not seen in cells from IKK α^{AA} mice (Cao et al. 2001; Lawrence et al. 2005). One would presume that the absence of IKK α protein generates IKK β homodimers with increased activity toward I κ B α and therefore the context of these experiments is less physiological than those performed with IKK α^{AA} cells. IKK α has also been shown to have an anti-inflammatory role through regulation of the SUMO ligase activity of PIAS (protein inhibitor of activated STAT) 1 (Liu et al. 2007). PIAS proteins were originally described as inhibitors of STAT transcription factor activation but have also been shown to regulate NF- κ B activity (Liu et al. 1998; Tahk et al. 2007). IKK α -mediated phosphorylation of PIAS1 was shown to block binding of both

STAT-1 and NF- κ B to proinflammatory gene promoters (Liu et al. 2007), but the significance of this pathway in the inflammatory response in vivo was not tested. It is yet to be determined how regulation of the canonical NF- κ B pathway by IKK α affects the cell-specific roles of NF- κ B in inflammation described previously. One assumes the anti-inflammatory roles of IKK α would only be present in the context of proinflammatory NF- κ B activation.

It is interesting that studies with RelB deficient mice have also revealed an anti-inflammatory role for RelB (Weih et al. 1995; Xia et al. 1997), although this has not been connected with IKK α activity, suggesting other components of the alternative NF- κ B pathway may have anti-inflammatory functions. RelB-deficient mice die of multiorgan inflammation (Weih et al. 1995), a phenotype that has been attributed to the breakdown of immunological tolerance caused by abnormal development of the thymus. Indeed, the pathology in *Relb* $-/-$ mice is driven by autoreactive T cells (Burkly et al. 1995; DeKoning et al. 1997). However, *Relb* $-/-$ fibroblasts show increased expression of proinflammatory cytokines and chemokines on stimulation with LPS in vitro (Xia et al. 1997). A more recent study has also shown that RelB has a role in endotoxin tolerance (Yoza et al. 2006), again suggesting that components of the alternative pathway have an anti-inflammatory role. The mechanism by which RelB confers this anti-inflammatory effect is not clear. Work from David Lo and colleagues suggests that RelB regulates I κ B α stability and therefore limits canonical NF- κ B activation (Xia et al. 1999). More recent work suggests that RelB may interfere with NF- κ B activity in the nucleus through protein–protein interactions with RelA (Jacque et al. 2005). Other work has described the reciprocal recruitment of RelA and RelB to NF- κ B target gene promoters and showed that the replacement of RelA-containing dimers with RelB complexes results in the down-regulation of certain NF- κ B target genes (Saccani et al. 2003). The physiological significance of these putative mechanisms has not yet been established in vivo.



Genetic “knockout” of several components of the alternative pathway, including RelB and p52, have established an important role in lymphoid organogenesis (Bonizzi and Karin 2004). Analysis of IKK α ^{AA} mice (Senftleben et al. 2001a; Bonizzi et al. 2004) and adoptive transfer of IKK α -deficient hematopoietic cells to lethally irradiated mice (Kaisho et al. 2001) revealed an important role for IKK α in the organization of the splenic marginal zone and germinal center reaction in response to antigenic challenge, implicating the alternative pathway in humoral immunity. The role of IKK α in lymphoid organogenesis is attributed to its role in lymphotoxin β receptor (LTBR)-signaling in spleen stromal cells (Bonizzi et al. 2004; Bonizzi and Karin 2004). LTBR-mediated induction of organogenic chemokines—CCL19, CCL21, CCL22—is dependent on IKK α -mediated activation of RelB/p52 complexes (Bonizzi et al. 2004). IKK α has also been described to have a role in B-cell maturation (Senftleben et al. 2001a), and recent studies have shown that this may contribute to the pathogenesis of B-cell mediated autoimmunity (Enzler et al. 2006). Our studies have also established that IKK α is required for the generation of cell-mediated immune responses, independent of humoral immunity, such as the delayed-type hypersensitivity reaction (DTH) in mice (unpublished observations). This suggests that IKK α regulates both humoral and cell-mediated adaptive immune responses. Studies of RelB- and p52-deficient mice have established an important role for these proteins in dendritic cell (DC) function and the generation of cell-mediated immunity (Caamano et al. 1998; Franzoso et al. 1998; Wu et al. 1998; Weih et al. 2001; Speirs et al. 2004). The role of IKK α in DC function and maturation has not been examined, although recent studies have shown that LTBR signaling is important to maintain DC populations in vivo (Kabashima et al. 2005). The function of IKK α in organogenic chemokine production may also be important in the homing of antigen-loaded DCs to secondary lymphoid tissues where they can prime naïve T cells. Alternatively, the homing of antigen-specific T

cells could be dysregulated in the absence of these chemokines. The role of IKK α in adaptive immunity may well stretch beyond its role in stromal cells and the regulation of lymphoid-organogenesis.

These recent studies suggest that IKK α has evolved distinct, but possibly complementary, roles in inflammation and adaptive immunity. IKK α functions to promote the resolution of inflammation by switching off the canonical NF- κ B pathway, but regulates the development of adaptive immunity through the alternative pathway. Although inflammation is classically considered to prime the adaptive response, for example through promoting DC maturation, the resolution of inflammation is required to avoid tissue injury while supporting the development of immunological memory. Cross talk between the alternative and canonical NF- κ B pathways may regulate the transition from acute inflammation to antigen-specific immune responses that drive autoimmune diseases such as RA and multiple sclerosis. Ultimately, inhibition of IKK α may represent a therapeutic target to prevent autoimmune inflammation while maintaining innate immunity.

SUMMARY

NF- κ B has long been considered the holy grail as a target for new anti-inflammatory drugs; however, data from elegant genetic studies in mice suggest that NF- κ B could equally be a difficult therapeutic target in inflammatory diseases. The NF- κ B pathway does indeed regulate proinflammatory cytokine production, leukocyte recruitment, or cell survival, which are important contributors to the inflammatory response. But, the antiapoptotic functions of NF- κ B can both protect against inflammation, in the case of epithelial cell survival and mucosal barrier integrity, and also maintain the inflammatory response through persistent leukocyte activation. In contrast, NF- κ B can promote leukocyte apoptosis in certain contexts and contribute to the resolution of inflammation. It is also clear that NF- κ B contributes to the feedback control of inflammation by various mechanisms to affect the magnitude

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and duration of the inflammatory response. Future studies to evaluate the status of these varied roles for the NF- κ B pathway in inflammatory disease are required to determine if this pathway could be a therapeutic target and in which context.

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