

United Nations Educational Scientific and Cultural Organization
and
International Atomic Energy Agency
THE ABDUS SALAM INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS

**ON NON-KÄHLERIANITY OF NONUNIFORM LATTICES
IN $SO(n, 1)(n \geq 4)$**

Yi-Hu Yang¹

*Department of Applied Mathematics, Tongji University Shanghai,
People's Republic of China*

and

The Abdus Salam International Centre for Theoretical Physics, Trieste, Italy.

Abstract

In this note, we will show that no nonuniform lattice of $SO(n, 1)(n \geq 4)$ is the fundamental group of a quasi-compact Kähler manifold.

MIRAMARE – TRIESTE

September 2000

¹E-mail: yhyang@ictp.trieste.it

1 Introduction and statement of the result

It is well known that a general finitely presented group is not necessarily the fundamental group of a compact Kähler manifold [15]. It is very interesting to know if an abstract group can or cannot be the fundamental group of a compact Kähler or quasi-compact Kähler manifold (by a quasi-compact Kähler manifold we mean a manifold obtained from a compact Kähler manifold by deleting a normal crossing divisor). In the paper [3], Carlson and Toledo showed that no cocompact lattice in $SO(n, 1)$ ($n \geq 3$) is the fundamental group of a compact Kähler manifold. In this note, we will consider the nonuniform lattices' case in $SO(n, 1)$ ($n \geq 4$). Especially, we will show the following:

Theorem 1 *Let Γ be a nonuniform lattice of $SO(n, 1)$ ($n \geq 4$), i.e. $\Gamma \backslash SO(n, 1)/SO(n)$ is noncompact and of finite volume with respect to the standard symmetric Riemannian metric. Let \overline{M} be any compact Kähler manifold and let D be any normal crossing divisor of \overline{M} . Denote $\overline{M} \setminus D$ by M . Then Γ , as an abstract group, is not isomorphic to $\pi_1(M)$.*

Remark. If M is a quasi-projective variety, then by Hironaka's theorem [5], topologically, M is just a smooth projective variety minus a normal crossing divisor. So, one has that a nonuniform lattice in $SO(n, 1)$ ($n \geq 4$) cannot be the fundamental group of any quasi-projective variety.

The idea of the proof is to use infinite energy harmonic maps theory due to Jost and Zuo [8, 9]. So, this note can also be considered as an application of Jost-Zuo's theory on the existence of infinite energy harmonic maps. Assuming that Γ is isomorphic to $\pi_1(M)$, by [8, 9], one gets a pluriharmonic map u from M (with an appropriate complete Kähler metric with finite volume and bounded curvature, see the next section for details) to $\Gamma \backslash SO(n, 1)/SO(n)$ (with the standard symmetric Riemannian metric), which is possibly of infinite energy and induces an isomorphism from $\pi_1(M)$ to Γ . Then, a deep analysis [6, 7, 9, 11, 12] of this map shows that there exists a holomorphic foliation on M . So, one obtains that the map u factors by a holomorphic map from M to a Riemann surface S . This leads to a contradiction.

2 On Jost-Zuo's harmonic maps of infinite energy

In this section, we recall the existence and some basic facts on Jost-Zuo's infinite energy harmonic maps.

Let \overline{M} be a compact Kähler manifold with a fixed Kähler metric ω_0 , D be a fixed divisor with (at worst) normal crossing condition and $D = \bigcup_{i=1}^p D_i$. Here, D_i are irreducible components of D . One may also assume that each irreducible component D_i is free from self intersections. Thus, at each intersection point, precisely two components of D meet. Denote $\overline{M} \setminus D$ by M .

Let σ_i ($i = 1, 2, \dots, p$) be a defining section of D_i in $\mathcal{O}(M, [D_i])$, which satisfies $|\sigma_i| \leq 1$ for a certain Hermitian metric of $[D_i]$ and defines a holomorphic coordinate system in each small

disk transversal to D_i . So, one can get a fibration of a small neighborhood, say $|\sigma_i| \leq \delta \leq 1$, of D_i by small holomorphic disks which meet D_i transversally. Similarly, for the boundary of such a small neighborhood, denoted by Σ_i^δ , one also gets a fibration by circles. The intersection of two such boundaries is fibered by tori.

Corresponding to the above defining sections σ_i , one can define a complete Kähler metric on M as follows,

$$g := -\frac{\sqrt{-1}}{2} \sum_{i=1}^p \partial\bar{\partial}(\phi(|\sigma_i|)\log|\log|\sigma_i|^2|) + c\omega_0|_M,$$

where ϕ is a suitable C^∞ cut-off function on $[0, \infty)$, so that $\phi(s)$ is identical to one on $[0, \epsilon)$ and to zero on $[2\epsilon, \infty)$, for sufficiently small $\epsilon \geq 0$ and c is taken sufficiently large, so that g is positive definite. Then g is a Kähler metric. One can show that (M, g) is complete and has finite volume [2]. In fact, when restricted to small holomorphic disks transversal to D , this metric looks like the Poincaré metric on the punctured disk (D^*, z)

$$-\frac{\sqrt{-1}}{2} \partial\bar{\partial}\log(-\log|z|^2) = \frac{\sqrt{-1}}{2} \frac{1}{|z|^2(\log|z|^2)^2} dz \wedge d\bar{z}.$$

In the sequel, unless stated otherwise, we always assume that M is endowed with this complete metric g with finite volume.

Let N be a globally symmetric space of noncompact type, its isometry group denoted by $I(N)$. Given a reduced representation (for its definition, see [8, 9])

$$\rho : \pi_1(M) \rightarrow I(N),$$

one wants to get a ρ -equivariant harmonic map from the universal covering of M to N . The difficulty arises since the representation ρ may map some small loops around D to some hyperbolic or quasi-hyperbolic elements (for their definitions, also see [9]) in $I(N)$. This is why a ρ -equivariant harmonic map, if it exists, may have infinite energy (here, we use the above metric g to compute the energy).

Now, we can state Jost-Zuo's theorem on the existence of a ρ -equivariant pluriharmonic map, which may be of infinite energy, as follows:

Theorem 2 *Let $M, N, I(N)$ and ρ as above. Then there exists a ρ -equivariant pluriharmonic map u from the universal covering M' of M with the above metric g to N with the standard symmetric metric.*

For simplicity of notation, we shall consider u in the sequel as a map from M to $Y := N/\rho(\pi_1(M))$, although Y , in general, may be singular (but in which we want to apply it, Y is smooth). Let $w \in D_i$ be a regular point of D . Near w , one can choose a coordinate system (z^1, z^2) on \bar{M} such that z^1 parametrizes small holomorphic discs, which meet D_i transversally near w , z^2 parametrizes D_i (of course, z^2 will have more than one component if the complex

dimension of M is greater than 2. In the following, the index 2 will stand for all those z^2 -directions together), and $z^1 = 0$ on a small neighborhood of w in D_i and $z^2(w) = 0$. Then, one has some derivative estimates of u (see p.481 of [9]):

$$\left| \frac{\partial u}{\partial z^1}(z^1, z^2) \right|_g \leq \frac{c}{|z^1|}, \quad \left| \frac{\partial u}{\partial z^2}(z^1, z^2) \right|_g \leq c,$$

where c is some positive constant. If w is a singular point of D , i.e., a point at which two irreducible components of D meet, similar estimates can be obtained. One may use $\sigma := \prod_{i=1}^p \sigma_i$ to replace the above coordinate component z^1 . Then, one can get that in the σ -direction, the derivative of u behaves like $\frac{1}{|\sigma|}$, whereas in directions normal to σ , it is bounded.

3 Some properties of the infinite energy harmonic map

In this section, we shall show that the rank of the harmonic map u in the previous section has a serious restriction if $N = SO(n, 1)/SO(n)$. In the following, our exposition is slightly general, which is not restricted to the case of $SO(n, 1)$ only.

Let M be as in the previous section with the constructed Kähler metric g , the corresponding Kähler form of which is denoted by

$$\omega = \frac{\sqrt{-1}}{2} \sum_{\alpha, \beta=1}^m g_{\alpha\bar{\beta}} dz^\alpha \wedge dz^{\bar{\beta}}$$

where $m = \dim_C M$ and (z^1, z^2, \dots, z^m) is a local coordinate system of M . Let $Y = \rho(\pi_1(M)) \backslash G/K$ a locally symmetric space of noncompact type (it may be singular, but in the case where we want to apply the result, it is smooth). Here, G is a semisimple Lie group, K is a maximal compact subgroup of G (for standard references see [4]). Let \mathfrak{g} be the Lie algebra of G and \mathfrak{k} the Lie algebra of K , then one has the Cartan decomposition $\mathfrak{g} = \mathfrak{k} + \mathfrak{p}$ such that $[\mathfrak{p}, \mathfrak{p}] \subset \mathfrak{k}$, $[\mathfrak{k}, \mathfrak{p}] \subset \mathfrak{p}$. Denote by \mathfrak{p}^C the complexification of \mathfrak{p} . One can then identify the complexification of the tangent space at any point of Y with \mathfrak{p}^C . This is unique up to the right action of K and the left action of $\rho(\pi_1(M))$. Since these actions preserve all relevant structures, we may regard $df(T_x^{1,0}M)$ as a subspace of \mathfrak{p}^C , for any map $f : M \rightarrow Y$ and any point $x \in M$. Introduce a local coordinate system (u^1, u^2, \dots, u^n) on Y .

As in [13], we introduce a symmetric $(2, 0)$ -tensor ϕ related to the map u

$$\phi(X, Y) = \langle \partial u(X), \partial u(Y) \rangle, \quad X, Y \in T_x^{1,0}M,$$

which can be locally written as $\sum_{\alpha, \beta=1}^m \phi_{\alpha\beta} dz^\alpha \otimes dz^\beta$. Now, we compute its iterated divergence. By the divergence formula, one has a $(1, 0)$ -form ξ

$$\xi_\alpha = g^{\beta\bar{\gamma}} \phi_{\alpha\beta, \bar{\gamma}}$$

where $(g^{\beta\bar{\gamma}})$ represents the inverse of $(g_{\beta\bar{\gamma}})$ and ∇ denotes the covariant derivative. Then, taking the divergence of ξ again, one obtains by a direct computation [13]

$$\delta \xi = (|D'' \partial u|^2 - g^{\alpha\bar{\beta}} g^{\gamma\bar{\delta}} R_{iklm} u_\alpha^i u_\gamma^k u_\beta^l u_\delta^m)$$

where δ is the codifferential, R_{iklm} is the curvature tensor of Y , and $D''\partial u$ is the $(0, 1)$ -type covariant derivative of ∂u , which is locally written as

$$(D''\partial u)_{\alpha\bar{\beta}}^i = u_{\alpha\bar{\beta}}^i + \Gamma_{jk}^i u_{\alpha}^j u_{\bar{\beta}}^k.$$

Here Γ_{jk}^i are the Christoffel symbols of Y . Then, Jost-Zuo's argument (see p.482 of [9]) shows that the two sides of the above formula are zero pointwise. It should be pointed out that the estimates of the derivatives of u near the divisor D given in the previous section are essential in this reasoning. In particular, using the curvature conditions of Y , one obtains that

$$D''\partial u = 0, \quad g^{\alpha\bar{\beta}}g^{\gamma\bar{\delta}}R_{iklm}u_{\alpha}^i u_{\gamma}^k u_{\bar{\beta}}^l u_{\bar{\delta}}^m = 0.$$

Note that the above first formula just represents the pluriharmonicity of u . Taking the orthogonal frame $e_1, e_2, \dots, e_m, e_{\bar{1}}, e_{\bar{2}}, \dots, e_{\bar{m}}$ on M , one has

$$\begin{aligned} g^{\alpha\bar{\beta}}g^{\gamma\bar{\delta}}R_{iklm}u_{\alpha}^i u_{\gamma}^k u_{\bar{\beta}}^l u_{\bar{\delta}}^m &= \langle R(\partial u(e_{\alpha}), \partial u(e_{\beta}))\bar{\partial}u(e_{\bar{\alpha}}), \bar{\partial}u(e_{\bar{\beta}}) \rangle \\ &= -\langle [\partial u(e_{\alpha}), \partial u(e_{\beta})], [\bar{\partial}u(e_{\bar{\alpha}}), \bar{\partial}u(e_{\bar{\beta}})] \rangle \end{aligned}$$

So, $[\partial u(e_{\alpha}), \partial u(e_{\beta})] = 0$ for all α and β . Thus, one has that if one identifies $\partial u(T_x^{1,0}M)$ with a subspace of \mathfrak{p}^C , then $\partial u(T_x^{1,0}M)$ is an abelian subspace of \mathfrak{p}^C . Therefore, $\dim_C \partial u(T_x^{1,0}M)$ should not be greater than the rank of Y . If one applies this assertion to the present case, i.e., $G = SO(n, 1)$, one obtains

Lemma 1 *Let $u : M \rightarrow Y$ be the pluriharmonic map as in the previous section. Assume $Y = \rho(\pi_1(M)) \setminus SO(n, 1)/SO(n)$. Then u has real rank at most 2.*

4 The proof of Theorem 1

In this section, we will give the proof of Theorem 1. Let Γ be a nonuniform lattice of $SO(n, 1)$ ($n \geq 3$), i.e., $\Gamma \setminus SO(n, 1)/SO(n)$ is noncompact and of finite volume with respect to the standard symmetric Riemannian metric. Let \bar{M} be any compact Kähler manifold, D be any normal crossing divisor of \bar{M} . Denote $\bar{M} \setminus D$ by M . Assume that as abstract groups, $\pi_1(M)$ is isomorphic to Γ . We will derive a contradiction. Therefore, the proof of Theorem 1 is completed.

By Jost-Zuo's theorem, we get a pluriharmonic map $u : (M, g) \rightarrow \Gamma \setminus SO(n, 1)/SO(n) = \Gamma \setminus \mathbf{H}_R^n$, which, by the above assumption, induces an isomorphism from $\pi_1(M)$ to Γ . The lemma in the previous section tells us that this map is of real rank at most 2. So, one has two cases: 1) the real codimension of the fibres of u is generically equal to 1; 2) the real codimension of the fibres of u is generically equal to 2. In the first case, one gets a map from M to S^1 , which gives an isomorphism between the fundamental groups of M and S^1 . However, this is impossible, since a lattice of $SO(n, 1)$ can never be \mathbf{Z} . So, the remaining is to show that

another case is also impossible. We will adopt the argument of Jost-Yau [6, 7]. To this end, we embed $SO(n, 1)/SO(n)$ isometrically into some complex hyperbolic space \mathbf{H}_C^n . From now on, we assume that u is a pluriharmonic map into some complex hyperbolic space \mathbf{H}_C^n and its real rank is 2 generically. Introduce local complex coordinates (z^1, z^2, \dots, z^m) ($m = \dim_C M$) on M and (u^1, u^2, \dots, u^n) on $\Gamma \setminus \mathbf{H}_C^n$ and denote the Christoffel symbols of $\Gamma \setminus \mathbf{H}_C^n$ by $\Gamma_{\beta\gamma}^\alpha$, $\alpha, \beta, \gamma = 1, 2, \dots, n$. Similar to the previous section, as a consequence of Siu's Bochner type identity [14] and the argument of [9] (using the strong negativity of the curvature tensor of \mathbf{H}_C^n), we obtain

$$D_{\bar{j}}\partial_i u^\alpha = u_{z^i z^{\bar{j}}}^\alpha + \Gamma_{\beta\gamma}^\alpha u_{z^i}^\beta u_{z^{\bar{j}}}^\gamma = 0, \quad \text{for all } \alpha, i, j$$

and

$$u_{z^{\bar{i}} z^{\bar{j}}}^\alpha u_{z^{\bar{j}}}^\beta - u_{z^{\bar{j}} z^{\bar{i}}}^\alpha u_{z^{\bar{i}}}^\beta = 0, \quad \text{for all } \alpha, \beta, i, j.$$

Then, the argument of Jost-Yau [6, 7] shows that there exists a holomorphic foliation \mathcal{F} on a Zariski open subset of M , on the leaves of which u is constant. Arguments of Mok (see Proposition (2.2.1) of [11]) imply that \mathcal{F} can be extended as a holomorphic foliation to $M \setminus V$ for some complex analytic variety V of complex codimension at least 2. Then, the study of [12] (see Proposition (2.2) of [12]) shows that this extended foliation actually defines an open analytic equivalence relation, still denoted by \mathcal{F} , on M , and the quotient of M by \mathcal{F} , denoted by S , is an irreducible complex space of complex dimension 1, by a result of Kaup [10]. Therefore, one obtains a factorisation of u as follows:

$$\begin{array}{ccc} M & \xrightarrow{u} & \Gamma \setminus \mathbf{H}_R^n (\subset \Gamma \setminus \mathbf{H}_C^n) \\ \downarrow \pi & & \updownarrow \mathbf{1}_{\mathbf{H}_R^n} \\ S & \xrightarrow{h} & \Gamma \setminus \mathbf{H}_R^n (\subset \Gamma \setminus \mathbf{H}_C^n) \end{array}$$

where π is holomorphic by the construction of S and h is harmonic, since u is pluriharmonic.

By the above assumption, $u_* : \pi_1(M) \rightarrow \Gamma$ is an isomorphism, so $\pi_* : \pi_1(M) \rightarrow \pi_1(S)$ is injective. Therefore, Γ , as a subgroup of $\pi_1(S)$ acts freely on the universal covering of S , which is either complex plane or unit disk. Thus, the cohomological dimension of Γ is at most 2 (see [1]). However, the cohomological dimension of Γ is in fact $n - 1$, which is at least 3 by the assumption. Thus, we get a contradiction. The proof is completed.

Acknowledgments

The author would like to take this opportunity to thank J. Jost for his valuable suggestions. He also wants to thank Kang Zuo for his great help and interest in this work, especially, he helped the author to clarify some technical problems. He also thanks M. S. Raghunathan for his useful suggestions and comments. This work is partially supported by NSF of China.

References

- [1] Brown, K. S.: *Cohomology of Groups*, Graduate texts in Mathematics, **87**, Springer-Verlag.
- [2] Cornalba, M. & Griffiths, P.: Analytic cycles and vector bundles on noncompact algebraic varieties, *Invent. Math.*, **28**(1975), 1-106.
- [3] Carlson, J. & Toledo, D.: Harmonic mappings of Kähler manifolds to locally symmetric spaces, *Publ. Math. IHES*, **69**(1989), 173-201.
- [4] Helgason, S.: *Differential Geometry, Lie Groups, and Symmetric Spaces*, Academic Press, 1978.
- [5] Hironaka, H.: Resolution of singularities of an algebraic variety over characteristic zero, I, II, *Ann. Math.*, **79**(1964), 109-326.
- [6] Jost, J. & Yau, S. T.: Harmonic mappings and Kähler manifolds, *Math. Ann.* **262**(1983), 145-166.
- [7] Jost, J. & Yau, S. T.: Harmonic maps and group representations, *Differential Geometry and Minimal Submanifolds*, (B. Lawson and K. Tenenblat eds.), Longman Scientific, 1991, 241-260.
- [8] Jost, J. & Zuo, K.: Harmonic maps of infinite energy and rigidity results for quasiprojective varieties, *Math.Res. Lett.*, **1**(1994), 631-638.
- [9] Jost, J. & Zuo, K.: Harmonic maps of infinite energy and rigidity results for representations of fundamental groups of quasiprojective varieties, *J. Differential Geometry*, **47**(1997), 469-503.
- [10] Kaup, B.: Über offene analytische Äquivalenzrelationen auf komplexen Räumen, *Math. Ann.*, **183**(1969), 6-16.
- [11] Mok, N.: The holomorphic or anti-holomorphic character of harmonic mappings into irreducible compact quotients of polydiscs, *Math. Ann.*, **272**(1985), 197-216.
- [12] Mok, N.: Strong rigidity of irreducible quotients of polydiscs of finite volume, *Math. Ann.*, **282**(1988), 555-577.
- [13] Sampson, J. H.: Applications of harmonic maps to Kähler geometry, *Contemp. Math.*, **49**(1986), 125-133.
- [14] Siu, Y. T.: The complex analyticity of harmonic maps and the strong rigidity of compact Kähler manifolds, *Ann. Math.*, **112**(1980), 73-111.
- [15] Toledo, D.: Rigidity theorems in Kähler geometry and fundamental groups of varieties, *Several Complex Variables*, MSRI Publications, Volume **37**(1999), 509-533.