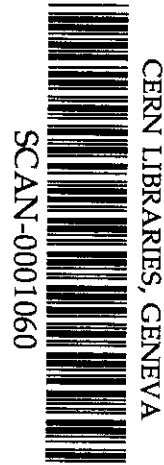


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**A COUNTEREXAMPLE TO A GENERALIZATION  
OF THE LEVI PROBLEM**

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**A COUNTEREXAMPLE TO A GENERALIZATION  
OF THE LEVI PROBLEM**

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**Abstract**

We prove by means of a 5-dimensional counterexample that an open subset  $X \subset \mathbb{C}^n$  which is exhaustable by an increasing sequence  $(X_j)_{j \geq 1}$  of  $q$ -complete open sets in  $\mathbb{C}$  is not necessarily  $q$ -complete.

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## 1. Introduction

Let  $X$  be a Stein manifold and  $D \subset X$  an open subset which is exhaustable by an increasing sequence  $D_1 \subset D_2 \subset \dots \subset D_n \subset \dots$  of  $q$ -complete domains in  $X$ . Does it follow that  $D$  itself is  $q$ -complete?

The answer to this problem is yes if  $q = 1$  where the special case when  $(D_j)_{j \geq 1}$  is a sequence of Stein domains in  $\mathbb{C}^n$  had been solved a long time ago by Behnke and Stein [2].

If  $X$  is arbitrary, the space  $D$  is not necessarily  $q$ -complete. Vajaitu [5], gave an example of such situation.

But it is unknown if an open subset  $D \subset \mathbb{C}^n$  which is the union of an increasing sequence of  $q$ -complete domains is itself  $q$ -complete [3].

In this paper, we give an example of an open subset  $D \subset \mathbb{C}^5$  which is an increasing sequence  $(D_j)_{j \geq 1}$  of 3-complete open subsets, but such that  $D$  is not 3-complete.

For this, we modify a counterexample of Fornaess [4] concerning a generalization of the Levi problem.

## 2. 5-dimensional counterexample to a generalization of the union problem

We consider pairwise disjoint closures discs

$$\Delta_n = \{z \in \mathbb{C} : |z - \frac{1}{n}| < r_n\}, n \geq 2.$$

There exist numbers  $\varepsilon_n > 0$  such that

$$\frac{-1}{2^n} < \varepsilon_n \log(\frac{1}{2}|z - \frac{1}{n}|) < 0$$

in the set  $\Delta - \Delta_n$ , where  $\Delta = \{z \in \mathbb{C} : |z| < 1\}$ .

The function  $h : \Delta \longrightarrow \mathbb{R}^- \cup \{-\infty\}$  defined by,  $h(z) = \sum_{n \geq 2} \varepsilon_n \log(\frac{1}{2}|z - \frac{1}{n}|)$ ,

is subharmonic, and  $h(z) > \frac{-1}{2}$  on  $\Delta - \bigcup_{n \geq 2} \Delta_n$ .

Then, we modify the function  $h$  as follows:

Choose  $k_n \in \mathbb{N}$ ,  $k_n \geq 2$ , such that  $\frac{1}{k_n} < \varepsilon_n$ , and put:

$$H(z) = \begin{cases} h(z) & \text{on } \Delta - \bigcup_{n \geq 2} \Delta_n \\ \text{Max}(h(z), \frac{1}{k_n} \log(\frac{1}{2}|z - \frac{1}{n}|) - 1) & \text{on } \Delta_n \end{cases}$$

In [4] it is proved that  $J$  is subharmonic, and there exist pairwise disjoint discs  $D_n = \{z \in \mathbb{C} : |z - \frac{1}{n}| < r'_n\}$ ,  $n \geq 2$ , relatively compact in  $\Delta$ , such that  $H$  is bounded from below on  $\Delta - \bigcup_{n \geq 2} D_n$ , and in some neighborhood of  $\partial\Delta_n$ ,  $H = h$  while on  $D_n$ , we have  $H(z) = \frac{1}{k_n} \log(\frac{1}{2}|z - \frac{1}{n}|) - 1$ .

Clearly the domain  $D \subset \mathbb{C}^5$  defined by

$$D = \{(z, w) \in \Delta \times \mathbb{C}^4 - \{w_1 = w_2 = 0\} : H(z) - \log|w| < 0\},$$

satisfies the following conditions:

- (i) If  $(z, w) \in D$  and  $z \in \Delta - \bigcup_{n \geq 2} D_n$ , then  $|w| > \frac{1}{2}$
- (ii) If  $z \in D_n$  then  $(z, w) \in D$  if and only if  $z \in D_n$  and  $|(z - \frac{1}{n})||w|^{-k_n} < 2e^{k_n}$

Consider now the homeomorphic map  $\Gamma_n$  defined, on  $\mathbb{C} \times (\mathbb{C}^4 - \{w_1 = w_2 = 0\})$ , by  $\Gamma_n(z, w) = ((z - \frac{1}{n}) \frac{1}{(|w_1|^2 + |w_2|^2)^{k_n}}, w)$ ; and put

$$B_n = \{(\eta, w) \in \mathbb{C} \times (\mathbb{C}^4 - \{w_1 = w_2 = w_4 = 0\}) : |\eta| < 2e^{k_n}, (|w_1|^2 + |w_2|^2) < l_n\}$$

We may choose, by (ii),  $l_n > 0$  sufficiently small such that if  $(\eta, w) \in \mathbb{C} \times (\mathbb{C}^4 - \{w_1 = w_2 = 0\})$  with  $|\eta| < 2e^{k_n}$ ,  $|w_1|^2 + |w_2|^2 < l_n$ , then:

$$(z, w) = \Gamma_n^{-1}(\eta, w) = (\frac{1}{n} + \eta(|w_1|^2 + |w_2|^2)^{k_n}, w) \in D_n \times (\mathbb{C}^4 - \{w_1 = w_2 = 0\}) \cap D$$

Consider now the open set  $X$  in  $\mathbb{C}^5$  defined by:  $X = D \cup \bigcup_{n \geq 2} \Gamma_n^{-1}(B_n)$ .

We claim that  $X$  is not cohomologically 3-complete.

Let  $f$  be the holomorphic function on  $X$  defined by  $f(z, w) = z$ , and let  $X_0 = \{(z, w) \in X : f(z, w) = 0\}$ . Then  $X_0 \simeq \{w \in \mathbb{C}^4 - \{w_1 = w_2 = 0\} : H(0) - \log|w| < 0\}$ .

We first prove that  $H^3(X_0, \mathcal{O}) \neq 0$ . Here  $\mathcal{O}$  is the sheaf of germs of holomorphic functions on  $\mathbb{C}^4$ .

We write  $X_0 = Y_0 \cap Z_0$  with  $Y_0 = \mathbb{C}^4 - \{w_1 = w_2 = 0\}$ , and  $Z_0 = \{w \in \mathbb{C}^4 : |w| \leq e^{H(0)}\}$

From theorem 15, [1] page 254, We deduce that the restriction map:

$$H^r(\mathbb{C}^4, \mathcal{O}) \longrightarrow H^r(Z_0, \mathcal{O})$$

is an isomorphism onto if  $r < \text{dih}(\mathcal{O}) - 1$ . But since  $\text{dih}(\mathcal{O}) = 4$ , and  $Z_0$  is not a domain of holomorphy, it follows that  $H^r(Z_0, \mathcal{O}) = 0$  if  $r = 1, 2$  and  $H^3(Z_0, \mathcal{O}) \neq 0$ .

From the Mayer-Vietoris exact sequence of sheaves, we obtain the induced exact sequence of cohomology groups

$$\longrightarrow H^3(Y_0 \cup Z_0, O) \longrightarrow H^3(Y_0, O) \oplus H^3(Z_0, O) \longrightarrow H^3(X_0, O) \longrightarrow 0$$

where  $Y_0 \cup Z_0 = \mathbb{C}^4 - \{|w| \leq \exp(H(0)), w_1 = w_2 = 0\} = Y_0 \cup Z'_0$  with  $Z'_0 = \mathbb{C}^4 - \{|w_3|^2 + |w_4|^4 \leq e^{2H(0)}\}$ .  $Z'_0$  is clearly 2-complete, because the function  $\phi(z) = |z|^2 + \frac{1}{|z_3|^2 + |z_4|^2 - e^{2H(0)}}$  is a strongly 2-convex exhaustion on  $Z'_0$ .

From the exact sequence of cohomology groups:

$$\rightarrow H^2(Y_0, O) \oplus H^2(Z'_0, O) \rightarrow H^2(Y_0 \cap Z'_0) \rightarrow H^3(Y_0 \cup Z'_0) \rightarrow H^3(Y_0, O) \oplus H^3(Z'_0, O) \rightarrow$$

We deduce that:  $H^2(Y_0 \cap Z'_0, O) \simeq H^3(Y_0 \cup Z_0, O)$ .

But since  $\psi(z) = \text{Max}(|z|^2 + \frac{1}{|z_1|^2 + |z_2|^2}, |z|^2 + \frac{1}{|z_3|^2 + |z_4|^2 - e^{2H(0)}})$  is a strongly 2-convex exhaustion function on:

$Y_0 \cap Z'_0 = \mathbb{C}^4 - (\{|w_3|^2 + |w_4|^4 \leq e^{2H(0)}\} \cup \{w_1 = w_2 = 0\})$ ,

then  $H^3(Y_0 \cup Z_0, O) = 0$ . It follows, from the first exact sequence of cohomology, that  $H^3(X_0, O_{X_0}) \neq 0$ .

Consider now the exact sequence of sheaves

$$0 \longrightarrow O_X \xrightarrow{\phi} O_X \longrightarrow O_X/fO_X \longrightarrow 0.$$

where  $(z, w) = z$ , and  $\phi(g) = f_x g$  for any  $g \in O_{X,x}, x \in X$ .

We get the induced exact sequence of cohomology groups

$$H^2(X_o, O_{X_o}) \longrightarrow H^3(X, O_X) \longrightarrow H^3(X, O_X) \longrightarrow H^3(X_o, O_{X_o}) \longrightarrow H^4(X, O_X)$$

If  $H^p(X, O_X) = 0$  for  $p \geq 3$ , it follows from the exact sequence of cohomology groups that  $H^3(X_o, O_{X_o}) = 0$  which is a contradiction.

We conclude that  $X$  is not cohomologically 3-complete.

**Theorem 1** - *There exists a sequence of 3-complete open subsets  $(X_j)_{j \geq 2}$  of  $X \subset \mathbb{C}^5$  such that*

$$X_2 \subset X_3 \subset \cdots \subset \bigcup_{j \geq 2} X_j = X$$

## Proof

Define, for each  $k \geq 2$ ,  
$$X_k = X - \bigcup_{n \geq k} \left\{ \left( \frac{1}{n}, 0, 0 \right) \right\} \times \mathbb{C} \times \mathbb{C}^*$$

The subspaces  $X_k$  are strongly 3-complete. In fact, the function defined by:  
$$|z|^2 + |w|^2 + \exp\left(\frac{-1}{H(z) - \log|w|}\right) + \frac{1}{1-|z|} + \sum_{p < k} \left| z - \frac{1}{p} \right| (|w_1|^2 + |w_2|^2 + |w_4|^2)^{-k_p}$$
  
$$+ \prod_{p < k} \frac{|z - \frac{1}{p}|^{k_p}}{|w_1|^2 + |w_2|^2}$$
 is a strongly 3-convex exhaustion function on  $X_k$ .  
But  $X$  is not 3-complete.

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