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J.A.Budagov, Y.A.Kulchitsky¹, V.S.Rumyantsev¹,
V.B.Vinogradov, G.Karapetian², M.Nessi², A.A.Bogush³

EXPERIMENTAL STUDY OF THE EFFECT
OF HADRON SHOWER LEAKAGE
ON THE ENERGY RESPONSE AND RESOLUTION
OF ATLAS HADRON BARREL PROTOTYPE
CALORIMETER

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¹On leave from Institute of Physics, Academy of Sciences, Minsk, Belarus

²CERN, Geneva, Switzerland

³Institute of Physics, Academy of Sciences, Minsk, Belarus

1 Introduction

Due to limited dimensions of calorimeters one from important questions of calorimetry concerns the energy leakage and related with it the deterioration of energy resolution, appearance of tails in the energy distributions and ultimately the deterioration of the quality obtained physics information. In this article we report on the results of the experimental study of hadronic shower leakage effects on the pion response and energy resolution of *ATLAS* barrel hadron prototype calorimeter [1]. Because this calorimeter has innovative concept of longitudinal segmentation of active and passive layers (see Fig. 1), the measurement of hadron showers leakage is of special interest [2]. This investigation was performed on the basis of data from 100 GeV pion exposure of the prototype calorimeter at the *H8* beam of the *CERN SPS* at different Z impact points in the range from -36 to 20 cm with step 2 cm (Z scan) at incident angle $\Theta = 10^\circ$ which were obtained in May 1995. Earlier some results related with leakage for this calorimeter were obtained in [3], [4], [5].

2 The Prototype Calorimeter

The prototype calorimeter is composed of five sector modules, each spanning $2\pi/64$ in azimuth, 100 cm in the axial (Z) direction, 180 cm in the radial direction, and with a front face of 100×20 cm² [3]. The iron structure of each module consists of 57 repeated “periods”. Each period is 18 mm thick and consists of four layers. The first and third layers are formed by large trapezoidal steel plates (master plates), 5 mm thick and spanning the full radial dimension of the module. In the second and fourth layers, smaller trapezoidal steel plates (spacer plates) and scintillator tiles alternate along the radial direction. The spacer plates are 4 mm thick and of 11 different sizes. Scintillator tiles are 3 mm thickness. The iron to scintillator ratio is 4.67:1 by volume. The calorimeter thickness at incidence angle $\Theta = 10^\circ$ corresponds to 158 cm of iron equivalent (9.4 nuclear interaction length) [5].

Radially oriented *WLS* fibres collect light from the tiles at both of their open edges and bring it to photo-multipliers (*PMTs*) at the periphery of the calorimeter. Each *PMT* views a specific group of tiles, through the corresponding bundle of fibres. With this readout scheme three-dimensional segmentation is immediately obtained.

Tiles of 18 different shapes all have the same radial dimensions (10 *cm*). The prototype calorimeter is radially segmented into four depth segments by grouping fibres from different tiles. Proceeding outward in radius, the three smallest tiles, 1 ÷ 3 are grouped into section 1, 4 ÷ 7 into section 2, 8 ÷ 12 into section 3 and 13 ÷ 18 into section 4. The readout cell width in *Z* direction is about 20 *cm*.

Construction and performance of *ATLAS* iron-scintillator barrel hadron prototype calorimeter is described elsewhere [1], [3], [6].

3 Test Beam Layout

The five modules have been positioned on a scanning table, able to allow high precision movements along any direction. Upstream of the calorimeter, a trigger counter telescope was installed, defining a beam spot of 2 *cm* diameter. Two delay-line wire chambers, each with *Z*, *Y* readout, allowed to reconstruct the impact point of beam particles on the calorimeter face to better than ± 1 *mm* [7]. For the detection of the hadronic shower longitudinal and lateral leakages backward (80×80 *cm*²) and side (40×115 *cm*²) “*muon walls*” punchthrough detector were placed behind and at the right side of the calorimeter modules [8]. Basic elements of “*muon walls*” are plastic scintillator detectors with dimensions $20 \times 40 \times 2$ *cm*³ which are readout by 2-inch photomultipliers *EMI 9813KB*. The tag of given (longitudinal or lateral) leakage is at least one hit in corresponding “*muon wall*”. Due to the number of photoelectrons in any scintillator counter of walls is roughly 100 per minimum ionising particle “*muon walls*” detected charged particles with high efficiency. As a result we have for each event 200 values of charges from *PMT* properly calibrated [3] with pedestal subtracted.

4 Results

30 runs contained 320 *K* events with various *Z* coordinates have been analysed. The treatment was carried out using program *TILEMON* [9].

The scintillator detector planes behind and back of the calorimeter give us possibility to select the event samples at different conditions: “no leakage”, only “longitudinal leakage”, only “lateral leakage”, “longitudinal and lateral leakages” simultaneously.

In this section the following issues are discussed:

1. punchthrough probability,
2. energy leakage,
3. the effect of leakage on energy resolution.

First of all we determine the value of punchthrough probability.

4.1 Longitudinal punchthrough probability

By definition [8], [10], [11] the total hadronic punchthrough probability is the ratio of the number of events giving at least one hit in the punchthrough detector to the total number of trigger beam particles. It seems that the information needed is simple: hit or no hit. But there are some problems in definition of hit (see, for example, discussion [10]). In Fig. 2 our *ADC* spectra one of “*muon wall*” counter ($N^{\circ} 8$ in Fig. 3 [8]) in μ beam (top) and in π beam (bottom) are shown. Spectrum in π beam look similar to simulated distribution for iron-scintillator calorimeter [11] as obtained by Monte Carlo calculations with *GEANT* (Fig. 14 from [10]). The region left from minimum ionising single particle distribution is related with the contribution of neutrons as punchthrough particles [10].

We used two cuts:

1. $ADC_i > ADC_i^L$, where ADC_i^L — the beginning of Landau distribution for i -counter,
2. $ADC_i > 0$ (naturally after pedestal subtraction).

Note that the results of *cut 1* are not so much distinguished from a cut used in [5] $ADC_i > (\langle ADC \rangle_i - 3\sigma_i)$.

We think that *cut 2* is more correct since it does not reject events with leakage. In following for the spectra analysis we use this *cut*.

As can be seen from Table 1 *cut 2* is more soft relative to leakage and leads to decreasing of the event sample “no leakage” and to increasing of event sample with leakage. Especially the event samples with “longitudinal leakage” and “longitudinal and lateral leakage” are increased (more than 60%). In the last row longitudinal punchthrough probability for different cuts corrected on value of acceptance of the shower leakage detector (77 ± 4)% [5] are presented.

Obtained value of punchthrough probability for *cut 1* (18 ± 1)% agree with the one from [5]. In the case of *cut 2* obtained value (20 ± 1)% more

Table 1: Percentage of the events and punchthrough probabilities for different types of leakages and cuts.

Type	Alias	Cut 1 %	Cut 2 %	Cut 2/Cut 1 - 1 %
no leak.	<i>nl</i>	72.0	62.0	-14.
lon. leak.	<i>ll</i>	10.0	9.4	-6.
lat. leak.	<i>lal</i>	14.0	22.6	61.
lon. & lat. leak.	<i>lll</i>	3.6	6.0	67.
all long. leak.	<i>lol</i>	13.6	15.4	13.
punchthrough prob.	<i>PP</i>	18.±1.	20.±1.	

correspond to calculated in [5] iron equivalent length $L_{Fe} = 158$ cm and the one for a conventional iron-scintillator calorimeter [12].

4.2 Energy response and leakage

There are a few methods for evaluating of an energy leakage in calorimetry. For example, in [13] an additional “leakage” calorimeter was used for this purpose. In [14], [15] the shower containment was measured by using the abundant longitudinal segmentation information. Since we do not have such possibilities the following method was used. We reconstruct the sum of initial energies of showers, E_{in} , by using the detected energies of the event sample “no leakage”, E_{nl} , and the fraction of these events, N_{nl}/N_{all} :

$$\sum_{i=1}^{N_{all}} E_{in}^i = \frac{N_{all}}{N_{nl}} \sum_{n=1}^{N_{nl}} E_{nl}^n, \quad (1)$$

where $N_{all} = N_{nl} + N_{lol}$, N_{nl} — number of the event sample “no leakage”, N_{lol} — number of the event sample “all longitudinal leakage”.

The relative missing leakage energy is equal to:

$$L_r = 1 - \frac{\sum_{n=1}^{N_{nl}} E_{nl}^n}{\sum_{i=1}^{N_{all}} E_{in}^i} - \frac{\sum_{l=1}^{N_{lol}} E_{ll}^l}{\sum_{i=1}^{N_{all}} E_{in}^i} = \frac{N_{lol} (\langle E_{nl} \rangle - \langle E_{ll} \rangle)}{N_{all} \langle E_{nl} \rangle}, \quad (2)$$

where E_{ll} — energies of the event sample “longitudinal leakage”.

In Fig.’s 3 and 4 two-dimensional spectra of energy responses as a function of Z coordinate and energy E are shown. Fig.’s 5 and 6 show

the corresponding energy responses for events with all Z at different leakage conditions. To map the energy in GeV scale the constant equal to $100 GeV / \langle E_{nl} \rangle$ was used, where $\langle E_{nl} \rangle = 514.2 pC$ is the mean energy response for event sample “no leakage”. From these figures general behaviour of energy response can be observed. It is seen that distributions for event samples “no leakage” and “lateral leakage” have almost *Gaussian* behaviour, the distribution for event sample “longitudinal leakage” have the clear low energy tail and in the distribution for event sample “lateral leakage” the maximum amplitude increases with increasing of Z . The obtained mean responses, relative resolutions as well as the values of leakages and tails are given in Table 2, where

$$L = \frac{\langle E_{nl} \rangle - \langle E_i \rangle}{\langle E_{nl} \rangle}, \quad (3)$$

$i =$ “no leakage”, “longitudinal leakage”, “lateral leakage”, “longitudinal and lateral leakages”, “all events”. The estimate of tail is defined as an excess of the events over *Gaussian* curve in the region more than one sigma.

Table 2: Responses, resolutions, leakages and tails for events with different Z in the range from -36 to $20 cm$.

Type	% Events	$\langle E \rangle$ GeV	$\frac{\sigma}{\langle E \rangle}$ %	$\frac{\sigma_i - \sigma_{nl}}{\sigma_{nl}}$ %	L %	Low tail %	High tail %
no leak.	62.0	100.	7.4	0.0	0.0	0.0	2.6 ± 0.05
lon. leak.	9.4	91.0	10.4	41.	9.0	7.1 ± 0.2	0.0
lat. leak.	22.6	96.8	7.2	-1.9	3.2	0.0	1.1 ± 0.05
lon. & lat.leak.	6.0	88.3	10.7	45.	11.7	6.1 ± 0.2	0.0
all events	100.	97.7	8.3	13.	2.3	1.5 ± 0.03	1.3 ± 0.02

Fig.’s 7 and 8 show the energy distributions for event samples with various leakage conditions at $Z = -8 cm$. The characteristics of these distributions are given in Table 3. The event samples — “any leakage”, “longitudinal leakage”, “longitudinal and lateral leakages” have the low energy tails, the event samples — “no leakage” and “lateral leakage” have the high energy tails. The events sample with leakage naturally have the low energy tail. The high energy tail in the event sample “no leakage” was

Table 3: Responses, resolutions, leakages and tails for the events with $Z = -8$ cm at various leakage conditions.

Type	% Events	$\langle E \rangle$ GeV	$\frac{\sigma}{\langle E \rangle}$ %	$\frac{\sigma_i - \sigma_{nl}}{\sigma_{nl}}$ %	L %	Low tail %	High tail %
no leak.	71.3	100.	7.3	0.0	0.0	0.0	2.7 ± 0.2
lon. leak.	11.1	91.0	9.9	35.	9.0	6.7 ± 0.7	0.0
lat. leak.	14.2	98.8	7.1	-4.	1.2	0.0	1.7 ± 0.3
lon. & lat.leak.	3.3	89.5	8.8	20.	10.5	$12. \pm 2.$	0.0
all events	100	98.4	9.8	7.9	1.6	1.5 ± 0.1	1.3 ± 0.1

explained in [16] by contribution of showers with unusually large electromagnetic component. The unexpected high energy tail in the event sample “lateral leakage” may be explained as these events are the events of type “no leakage” with some leakage insufficient to cut the high energy tail.

In Fig. 9 are shown the mean energy responses for events with different types of leakage obtained by averaging of energy spectra (top) and *Gaussian* fits (bottom) as a function of Z coordinate at different leakage conditions. In Table 4 are given the results of averaging of these dependences in the uniformity ranges.

Table 4: Responses and resolutions for the events at various leakage conditions.

Type	$\langle E \rangle$ GeV	E_G GeV	$\frac{RMS}{\langle E \rangle}$ %	$\frac{\sigma}{E_G}$ %	L %	$\frac{\sigma_i - \sigma_{nl}}{\sigma_{nl}}$ %
no leak.	$100. \pm 0.02$	99.7 ± 0.02	8.0 ± 0.02	7.4 ± 0.02	0.0	0.0
lon. lk.	91.1 ± 0.12	94.0 ± 0.08	16.2 ± 0.1	9.7 ± 0.07	8.9 ± 0.1	31.0 ± 0.8
lat. lk.*	98.3 ± 0.06	98.1 ± 0.06	7.6 ± 0.03	7.3 ± 0.03	1.7 ± 0.1	-1.4 ± 0.5
all ev.*	98.5 ± 0.02	98.8 ± 0.02	9.9 ± 0.02	8.0 ± 0.02	1.5 ± 0.1	8.1 ± 0.4

* For events with $Z < 5$ cm.

The fraction of the energy leaking out from the backward side of this calorimeter calculated by the formula (2) amounts to $(1.8 \pm 0.03)\%$ and agrees with the value 1.73% for $L_{Fe} = 158$ cm measured in [15]. Besides,

our value for this leakage agrees with the result of Monte Carlo calculations for longitudinal leakage of our calorimeter for 100 GeV pions at $\Theta = -11.3^\circ$ and $Z = 8$ cm which equals to $(1.65 \pm 0.15)\%$ [17].

It should be noted that 15% of the events have the 9% energy longitudinal leakage and 1% of the events 50% of energy (≈ 50 GeV) leaking out at average. The latter estimate is extracted from the low energy tail in Fig. 6 (top). This fact must be taken into account in searching of new particles in future *LHC* experiments.

The obtained fraction of events without longitudinal leakage offers the possibility to estimate the full shower containment length, L_{sh} . Using the measurement value of the event fraction with longitudinal leakage $f_{lol} = (14.5 \pm 0.5)\%$ from Table 3, the value of *muon wall* acceptance $\varepsilon = (77 \pm 4)\%$ [5], the interaction length of the pion induced showers $L_{int} = 20 \pm 1$ cm [15] and the length of calorimeter $L_{cal} = L_{Fe}$ we extracted the average full shower containment by the relation:

$$f_{nl} = 1 - \frac{f_{lol}}{\varepsilon} = 1 - e^{-\frac{(L_{cal}-L_{sh})}{L_{int}}}. \quad (4)$$

This expression reflects the fact that in order to be the event without longitudinal leakage the interaction of the incident particle with the material of calorimeter must be taken place in the range from the beginning of the calorimeter to the value $L_{max} = L_{cal} - L_{sh}$, in average. The obtained value is $L_{sh} = 125 \pm 5$ cm. This value may be compared with the 99% shower containment values 126 cm and 136 cm given by [18] and [15] respectively.

We also considered the question concerning nonuniformity response of calorimeter. As can be seen in Fig. 9 the energy response as a function of Z coordinate from event sample “no leakage” is more uniform than the one for other event types. It allows to estimate the more extended range of uniformity (from -36 cm to 20 cm) than in [3] which appears equal to 0.9% (*RMS*).

4.3 Influence of leakage on the energy resolution

Fig. 10 shows the relative energy resolutions obtained by *Gaussian* fitting of spectra (top) and the relative energy resolutions ($RMS/\langle E \rangle$) obtained by averaging of spectra (bottom) as a function of Z coordinate at different leakage conditions. Fig. 11 shows the same normalised to average value of (σ/E_G) over the uniformity range for events without leakage. One can see that due to the tails the resolutions obtained by averaging are much

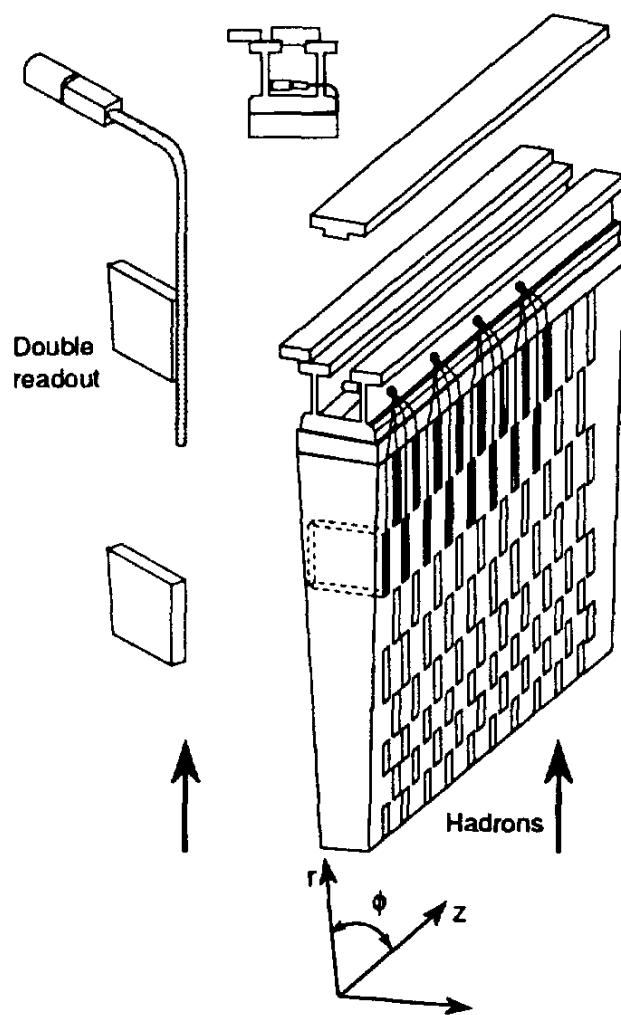


Figure 1: Principle of the tile hadronic calorimeter.

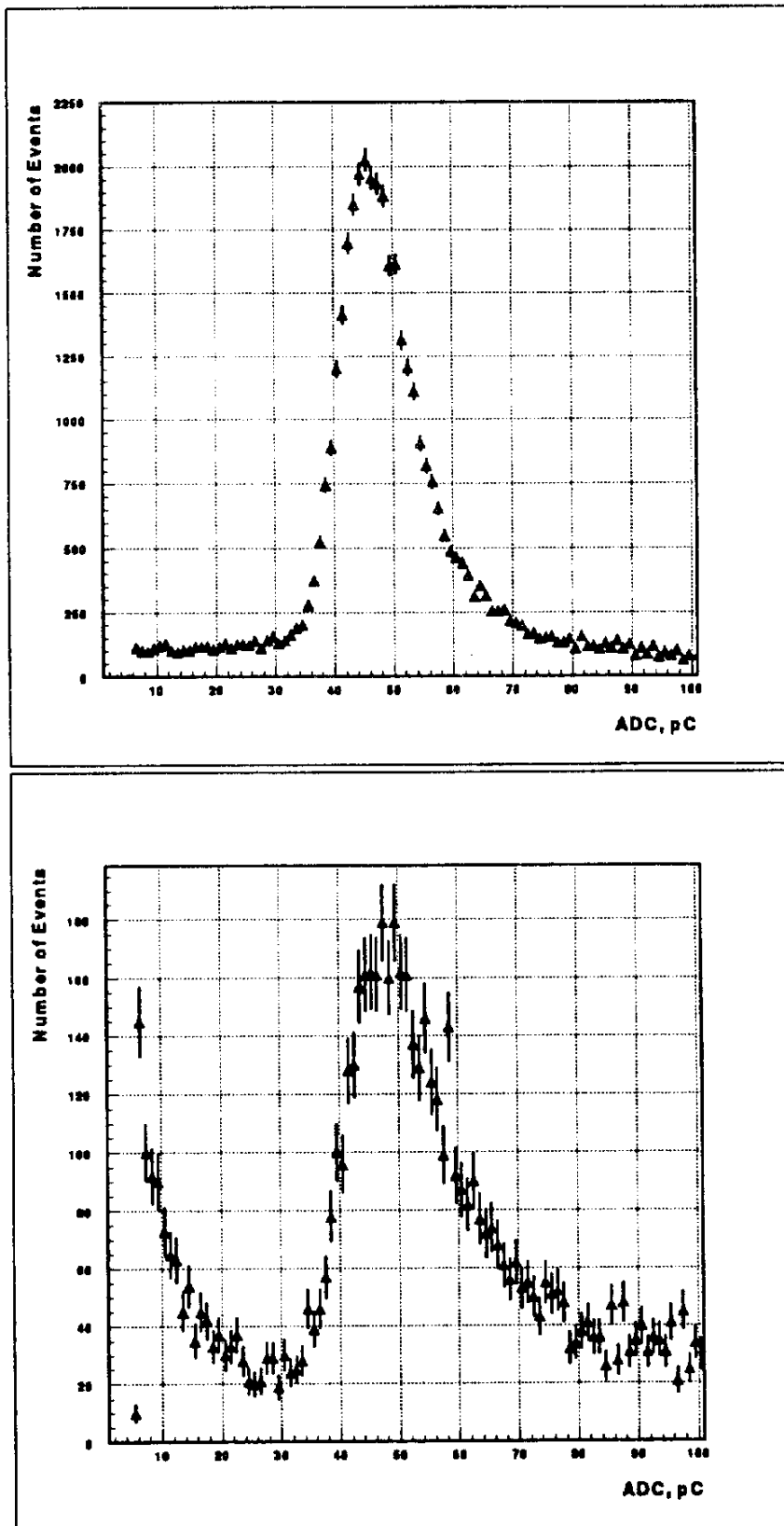


Figure 2: Typical ADC spectrum of a “muon wall” counters in the μ beam (top) and in the π beam (bottom) for counter N^Q 8.

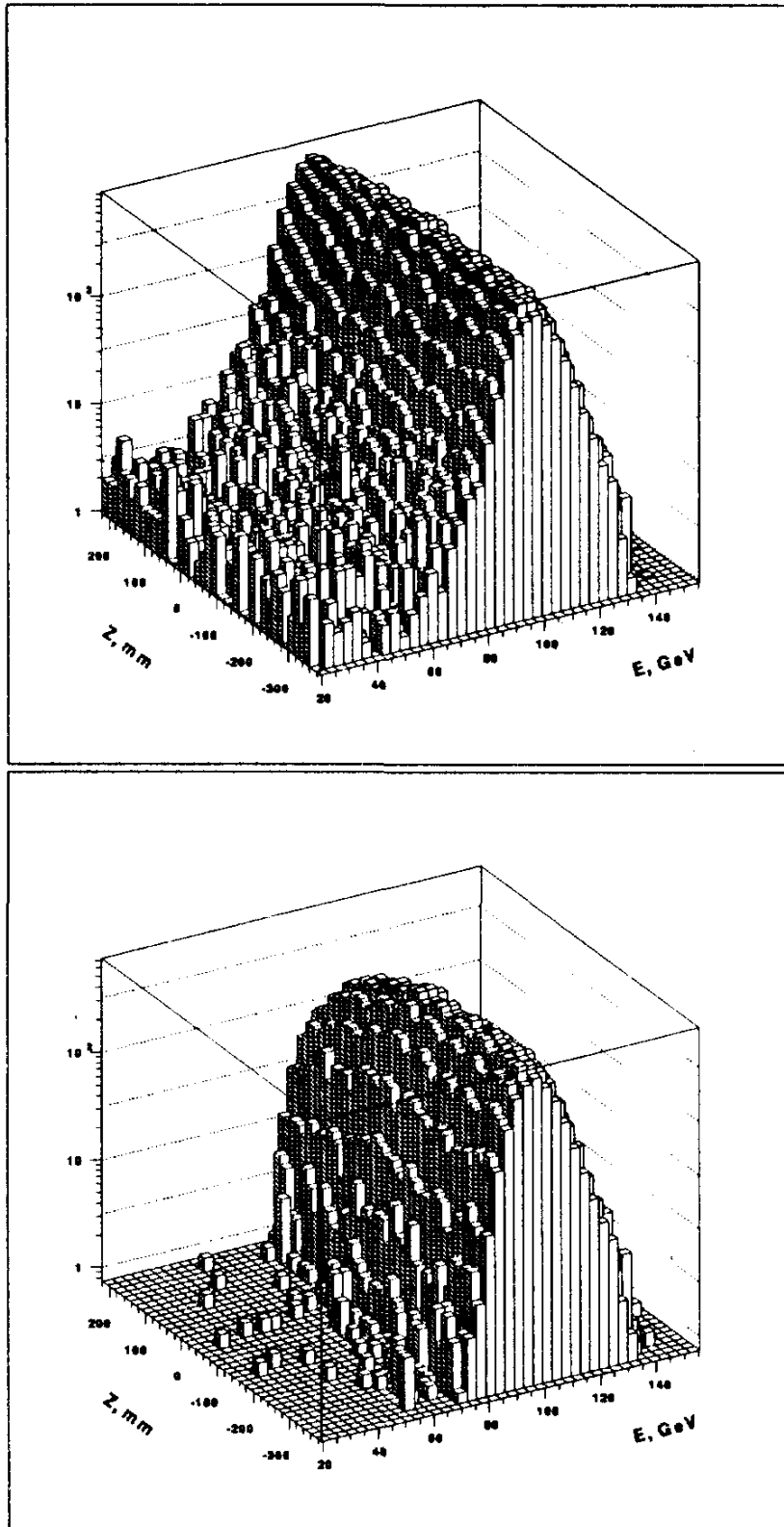


Figure 3: Two dimensional spectrum of energy response as a function of Z coordinate and energy E for various leakage conditions: all events (top), no leakage (bottom).

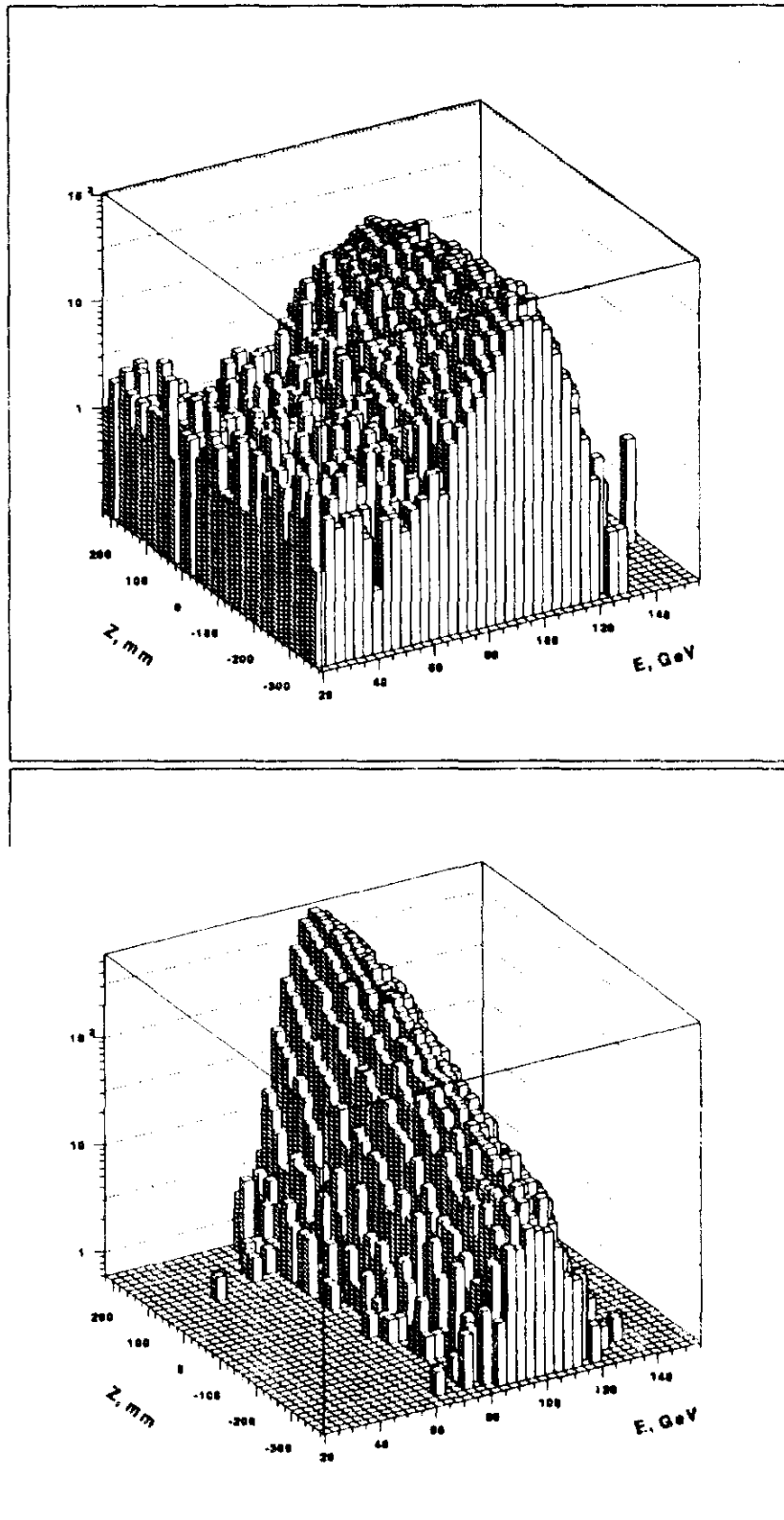


Figure 4: Two dimensional spectrum of energy response as a function of Z coordinate and energy E for various leakage conditions: longitudinal leakage (top), lateral leakage (bottom).

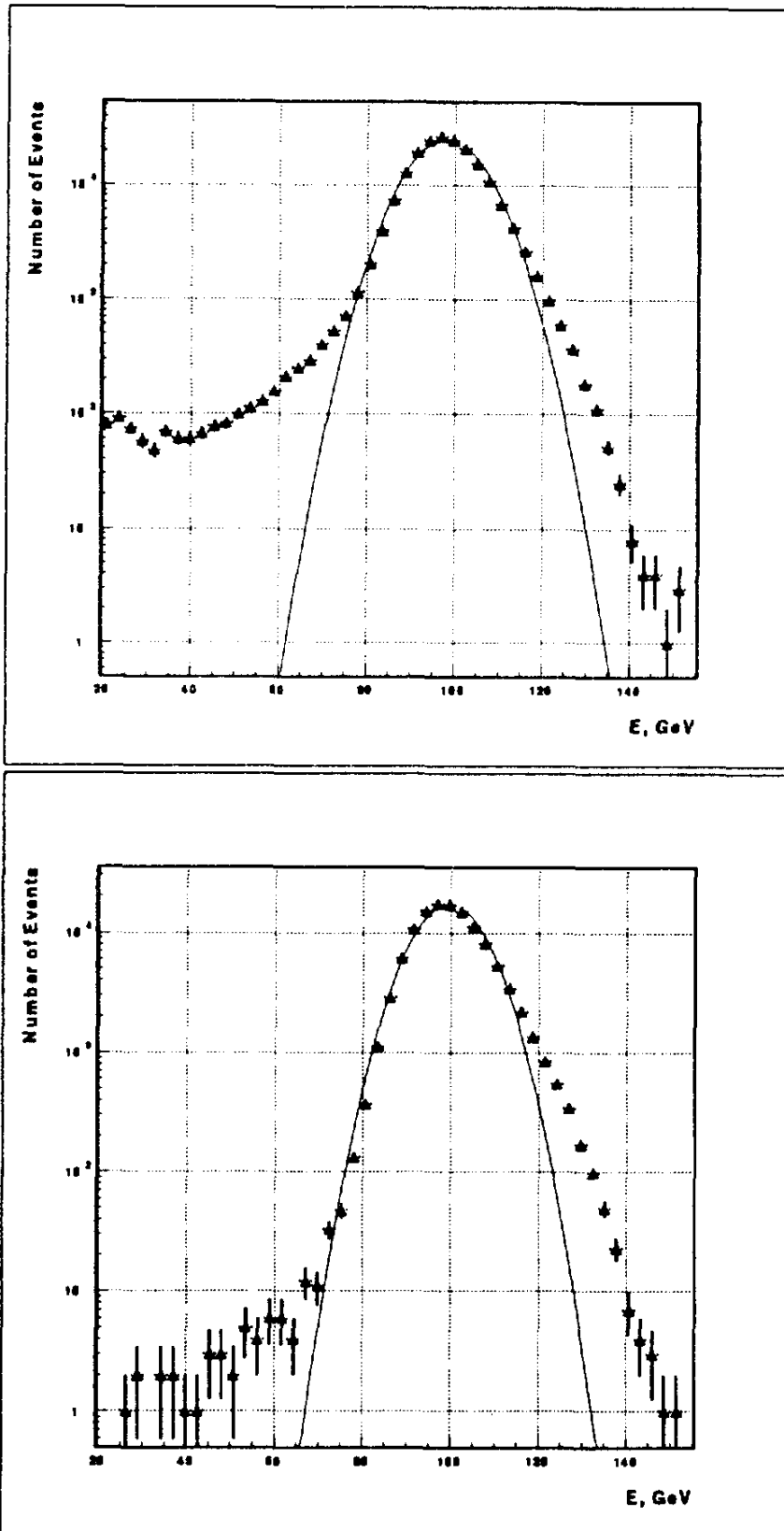


Figure 5: Energy responses for all Z at a different leakage conditions: all events (top), no leakage (bottom).

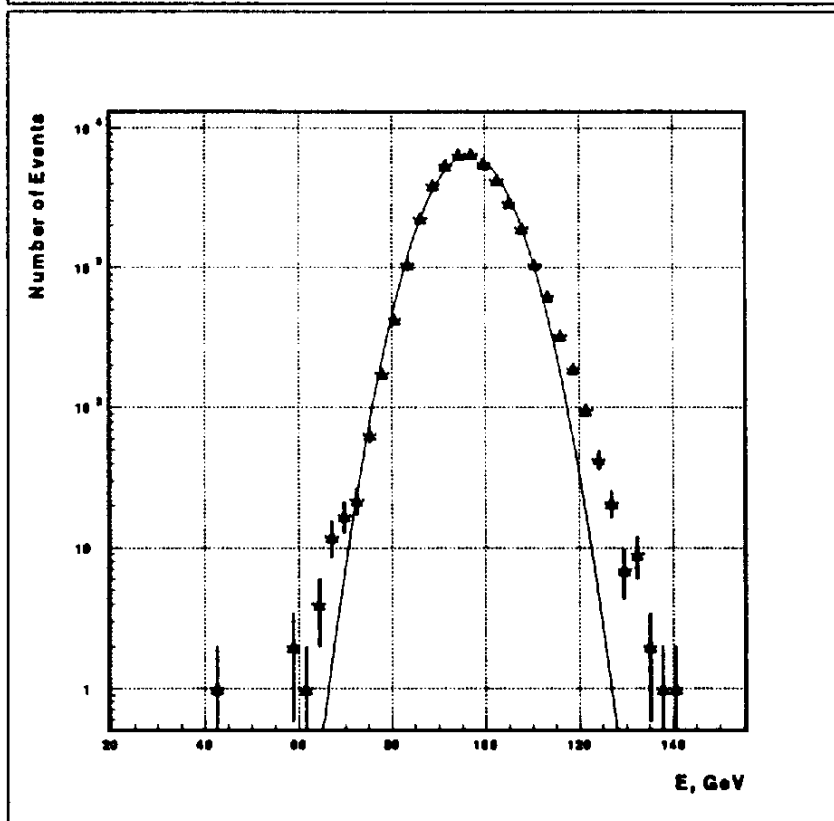
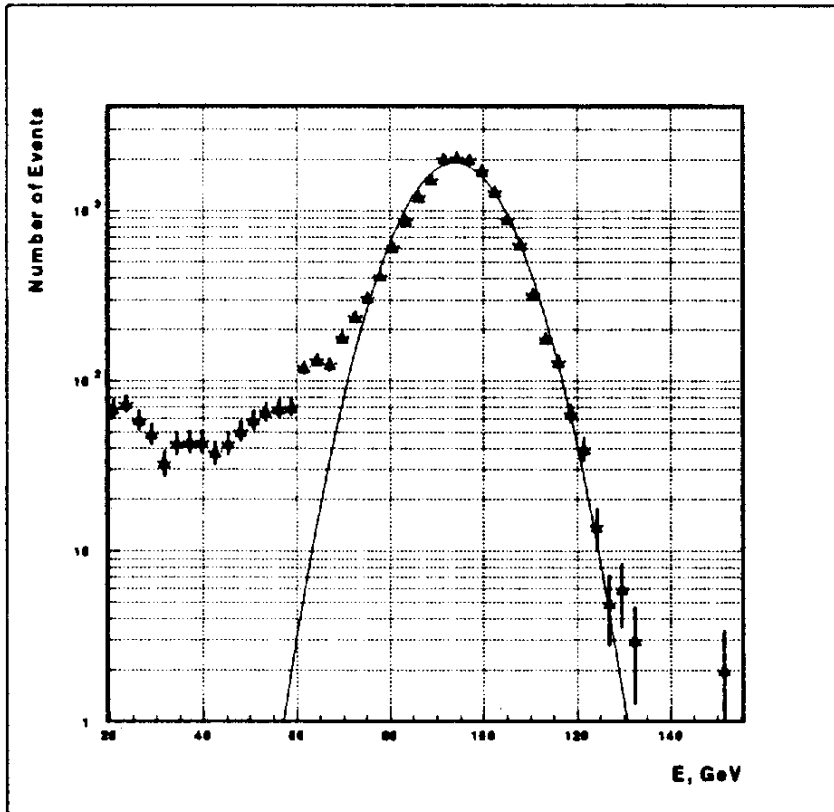


Figure 6: Energy responses for Z at a different leakage conditions: longitudinal leakage (top), lateral leakage (bottom).

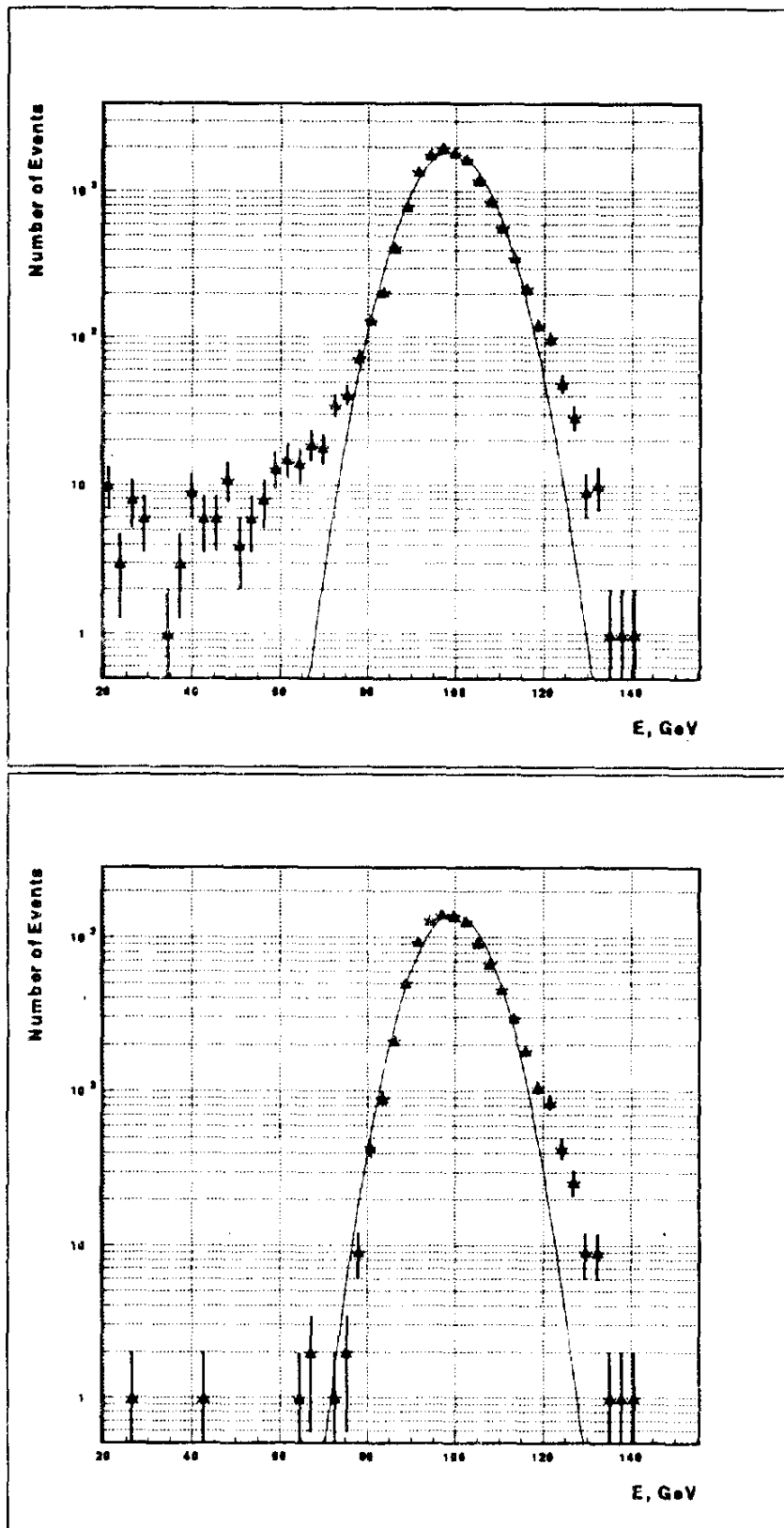


Figure 7: Energy responses for all $Z = -8$ cm at a different leakage conditions: all events (top), no leakage (bottom).

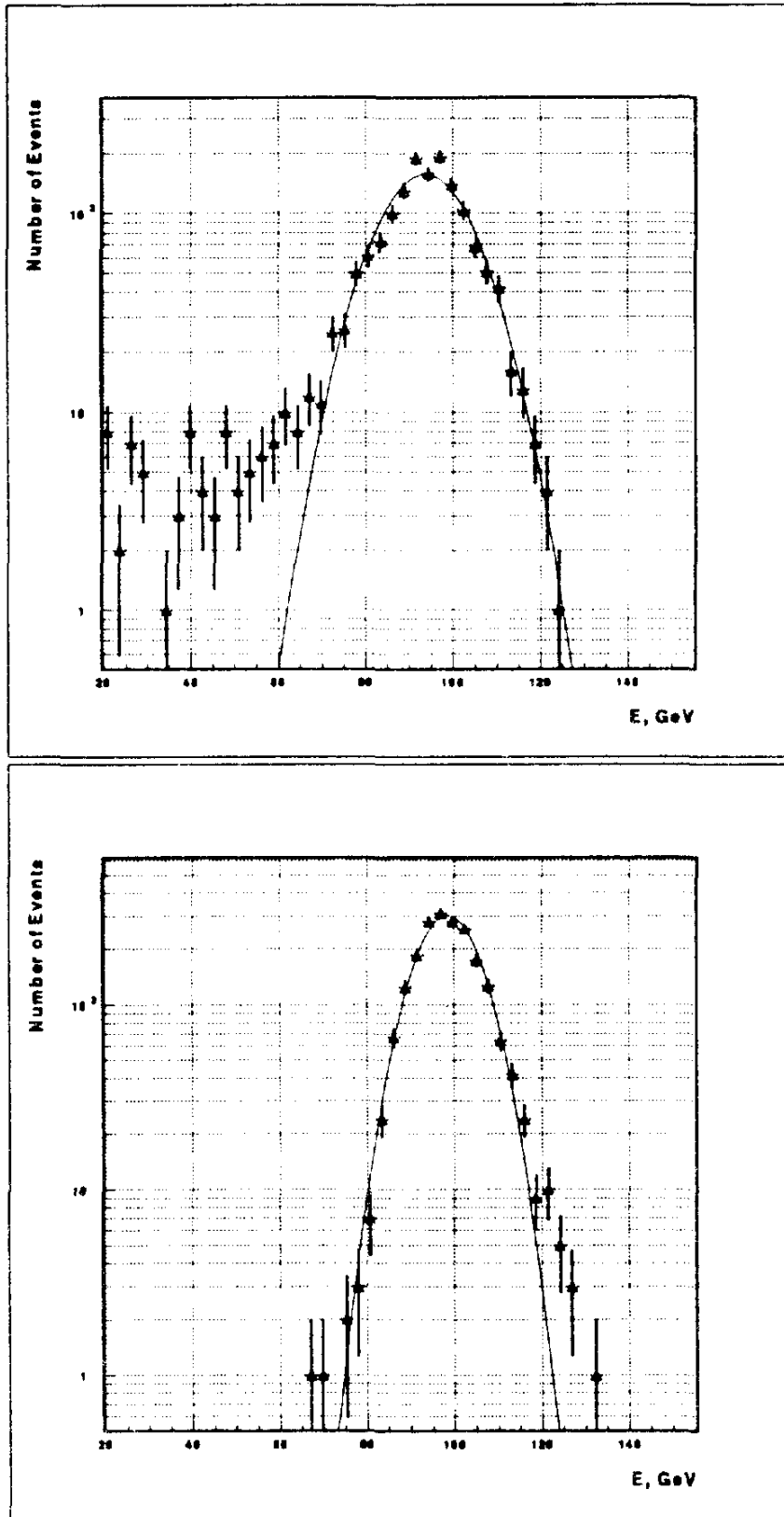


Figure 8: Energy responses for all $Z = -8 \text{ cm}$ at a different leakage conditions: longitudinal leakage (top), lateral leakage (bottom).

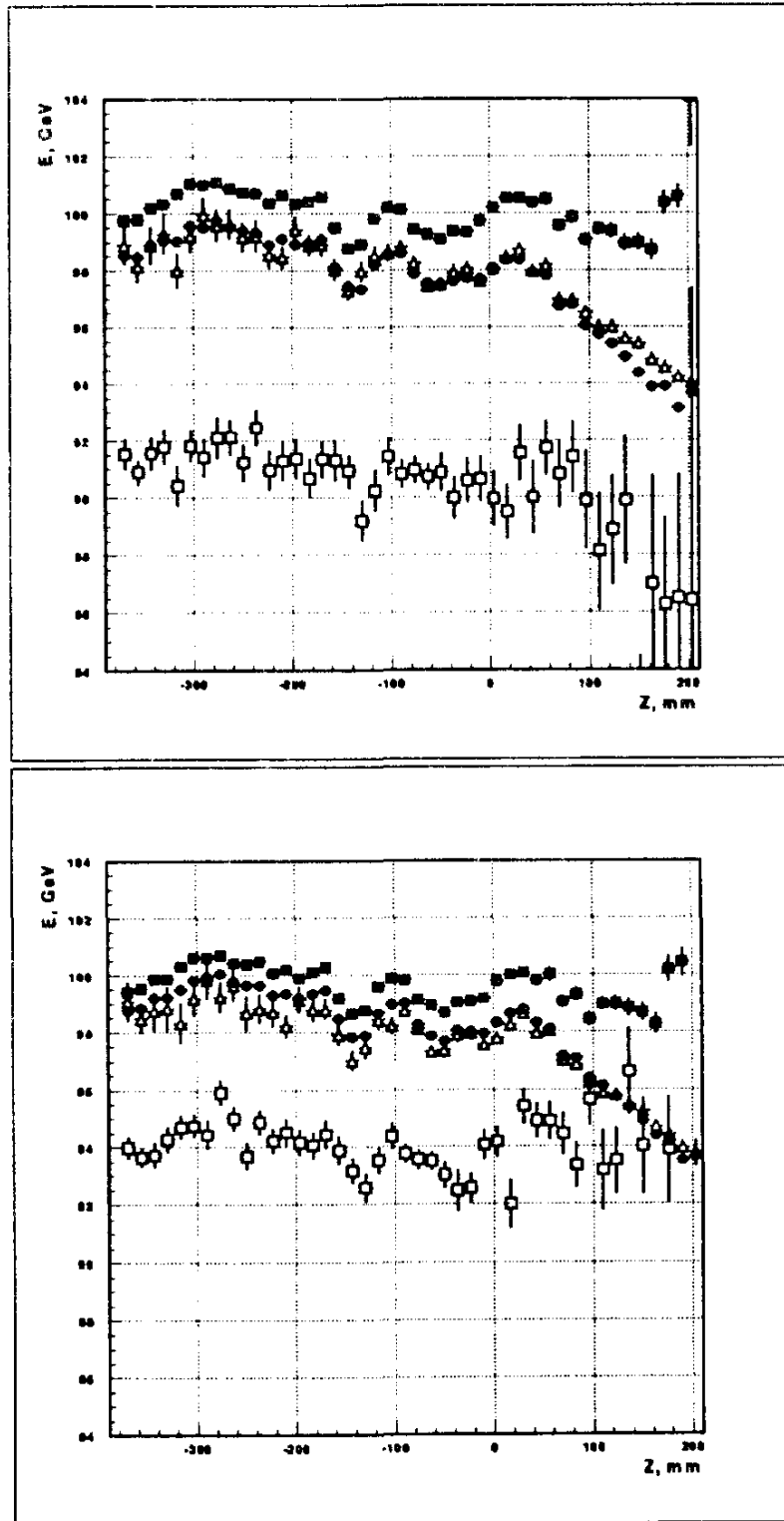


Figure 9: Mean energy responses obtained by averaging of spectrum (top) and *Gaussian* fitting (bottom) as a function of Z coordinate at different leakage conditions: a) black square — no leakage, b) open square — longitudinal leakage, c) open triangle — lateral leakage, d) black circle — all events.

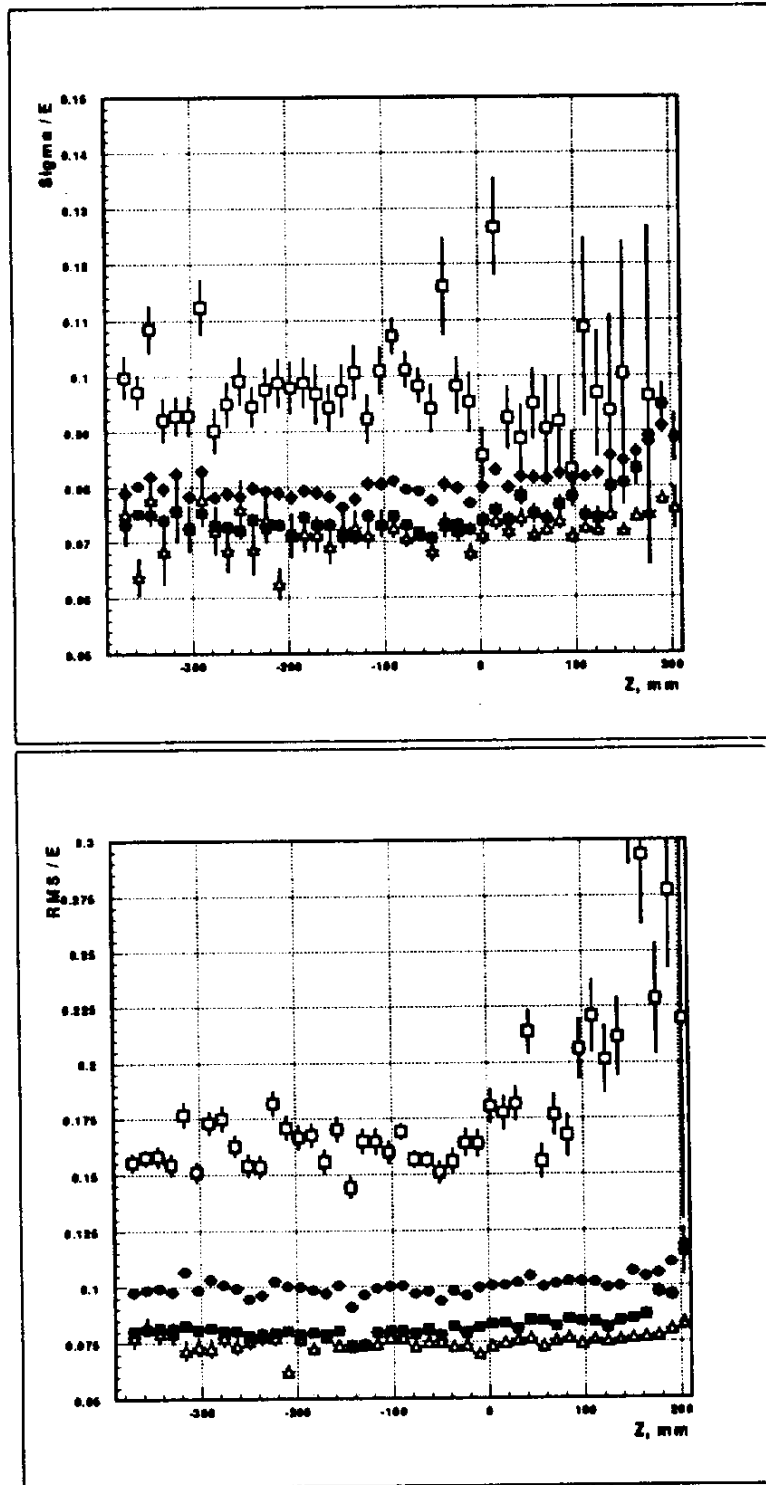


Figure 10: Energy resolutions (σ/E_G) obtained by *Gaussian* fitting (top) and energy resolutions ($RMS/ \langle E \rangle$) obtained by averaging of spectrum (bottom) as a function of Z coordinate at different leakage conditions: a) black square — no leakage, b) open square — longitudinal leakage, c) open triangle — lateral leakage, d) black circle — all events.

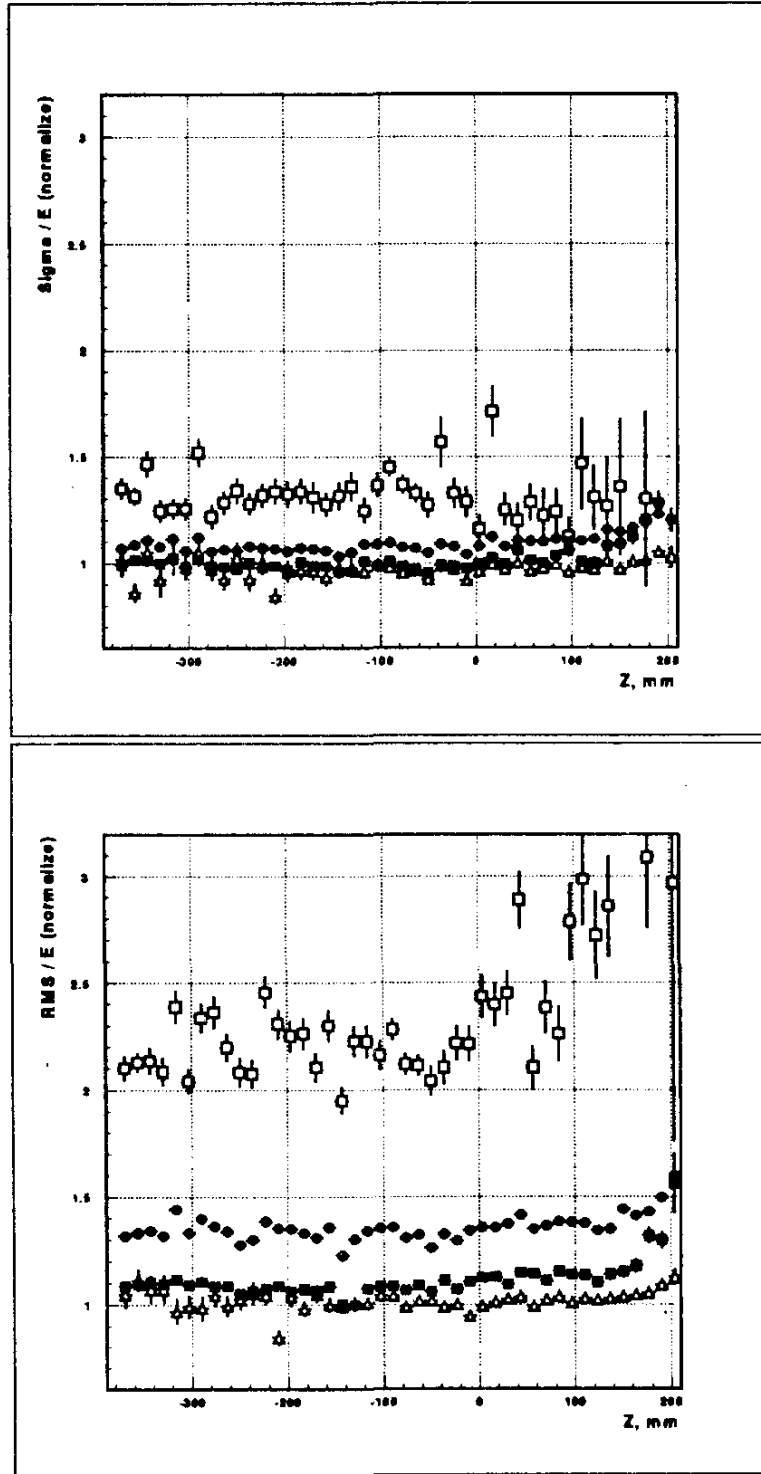


Figure 11: Normalised energy resolutions (σ/E_G) obtained by Gaussian fitting (top) and normalised energy resolutions ($RMS/ \langle E \rangle$) obtained by averaging of spectrum (bottom) as a function of Z coordinate at different leakage conditions: a) black square — no leakage, b) open square — longitudinal leakage, c) open triangle — lateral leakage, d) black circle — all events.

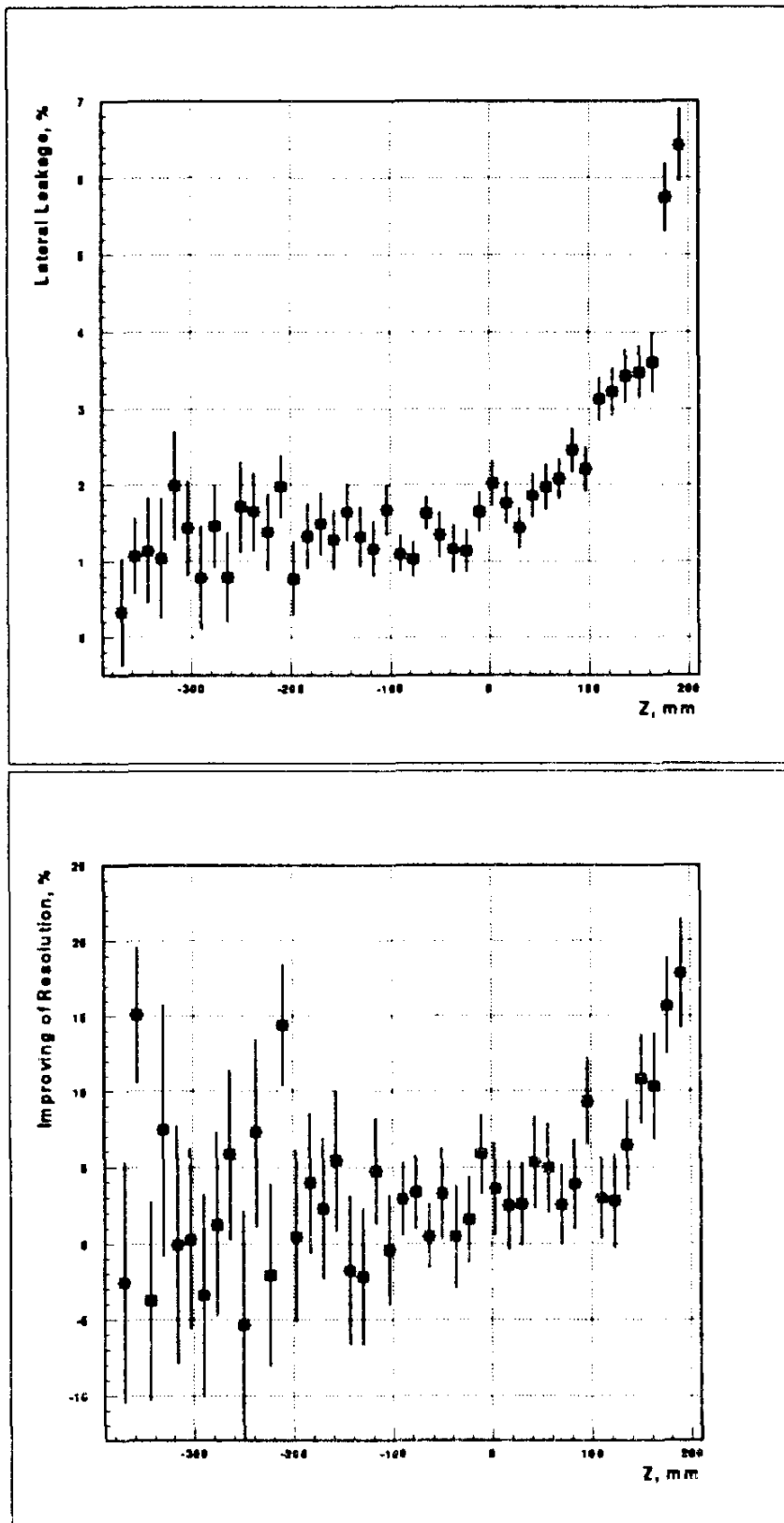


Figure 12: The lateral leakage (top) and the energy resolution improving (bottom) for the events sample with lateral leakage as a function of Z coordinate.

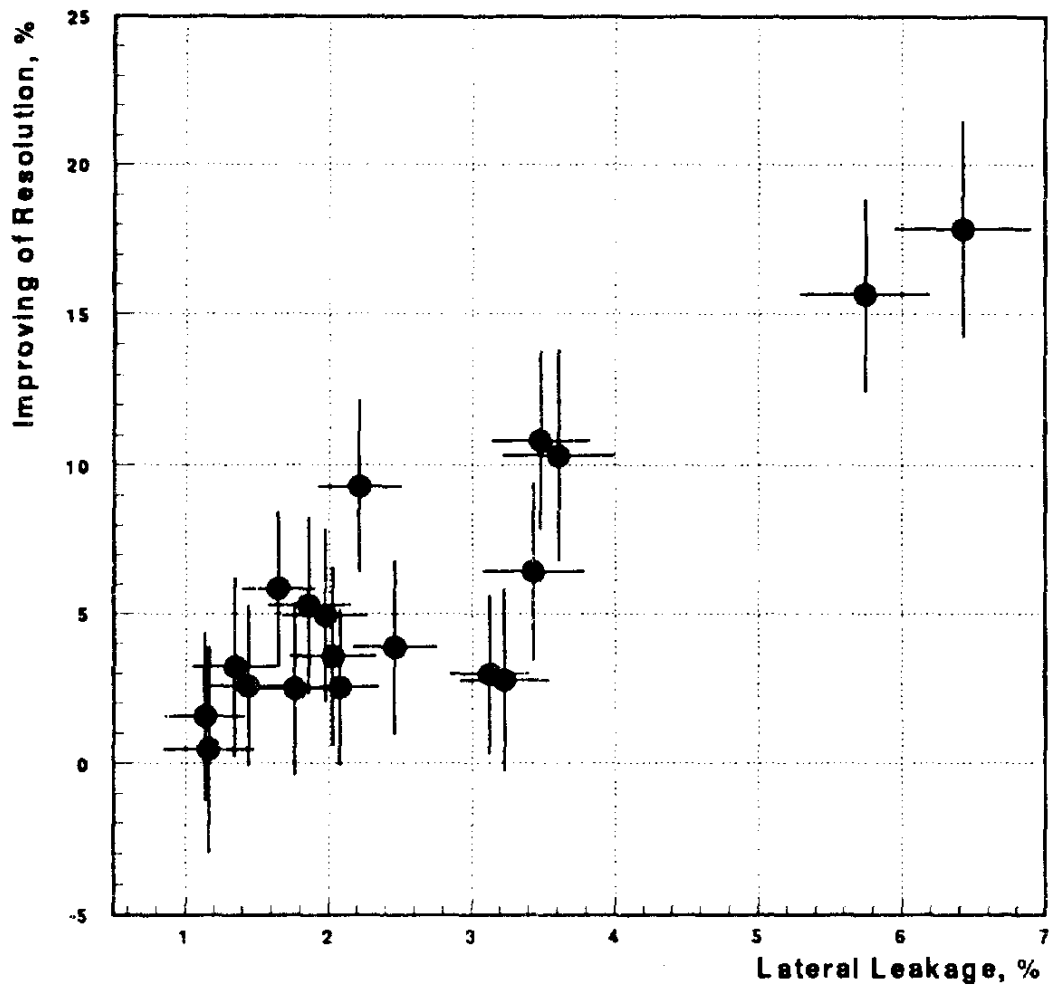


Figure 13: The energy resolution improving for the events sample with lateral leakage as a function of lateral leakage for $Z > -5$ cm.

greater (approximately in two times for events with longitudinal leakage) than ones obtained by *Gaussian* fitting. The results of averaged by Z in their uniformity range of Fig.'s 9, 10, 11 are given in Table 4. As can be seen longitudinal energy leakage amounts 9%, but deterioration of energy resolution for the same case σ/E_G amounts 31%. The general degradation of the resolution with increasing of leakage is in agreement with earlier observations [13], [16], [19], [20]. Moreover, our energy resolution degradation $\frac{(\sigma_{ll}-\sigma_{nl})}{\sigma_{ll}} = 24\%$ is in reasonable agreement with the parameterisation proposed by [18] on the basis of the data from *CITF* collaboration [21]:

$$\frac{(\sigma_l - \sigma_0)}{\sigma_l} = 0.9 \cdot \sqrt{\frac{\langle E_0 \rangle - \langle E_l \rangle}{\langle E_0 \rangle}}, \quad (5)$$

where $\langle E_0 \rangle = \langle E_{nl} \rangle$ and $\sigma_0 = \sigma_{nl}$ — energy and energy resolution for events without leakage, $\langle E_l \rangle = \langle E_{ll} \rangle$ and $\sigma_l = \sigma_{ll}$ — energy and energy resolution for events with “longitudinal leakage”. In our case for the value of energy resolution degradation from (5) we obtain 27%.

In the case of lateral leakage the unexpected inverse behaviour is observed: energy leakage leads to some improving of the resolution. Let us consider this in more detail. In Fig. 12 two distributions of the lateral leakage are shown: lateral energy leakage (top), energy resolution (σ/E_G) (bottom) for the event sample with lateral leakage as a function of Z coordinate.

Fig. 13 presents the energy resolution as a function of lateral leakage for this event sample. As can be seen the energy resolution improves with increasing lateral energy leakage at least to the value of lateral energy leakage equal to 6% at $Z = 18$ cm where energy resolution is improving to 18%.

This phenomenon can be explained as follows. The hadronic shower consists of electromagnetic and pure hadronic parts and the electromagnetic part in lateral direction places in the central core [19], [22]. So by cutting some lateral hadronic part we “improve” the shower properties, make it less fluctuating. However this may be the specific property of our calorimeter.

5 Conclusions

We have investigated the hadronic shower longitudinal and lateral leakages and its effect on the pion response and energy resolution on the basis of

100 GeV pion beam data at incidence angle $\Theta = 10^\circ$ at impact points Z in the range from -36 to 20 cm.

Some results are following:

- The measured value of longitudinal punchthrough probability (20 ± 1)% agrees with the one for a conventional iron-scintillator calorimeter with the same nuclear interaction length thickness and with the earlier measurement [5]. It also more correspond to calculated in [5] iron equivalent length $L_{Fe} = 158$ cm.
- The fraction of the energy of 100 GeV pions at $\Theta = 10^\circ$ leaking out at the back of this calorimeter amounts to 1.8% and agrees with the one for a conventional iron-scintillator calorimeter.
- Unexpected behaviour of the energy resolution as a function of leakage is observed: 6% lateral leakage leads to 18% improving of energy resolution in compare to events with the showers without leakage.

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Экспериментальное исследование влияния утечки энергии адронного ливня на энерговыделение и энергетическое разрешение в прототипе цилиндрического адронного калориметра установки АТЛАС

Представлена детальная информация о продольной и поперечной утечках энергии адронного ливня и их влиянии на энерговыделение и энергетическое разрешение в прототипе железо-сцинтилляционного цилиндрического адронного калориметра установки АТЛАС. Результаты получены на основе анализа экспериментальных данных экспозиции (май 1995 года) калориметра в пучке π -мезонов с энергией 100 ГэВ. Средняя доля энергии исследуемого адронного ливня, вытекающего из калориметра, составляет 1,8 % и согласуется с соответствующей величиной для железо-сцинтилляционных калориметров обычного типа. Обнаружено неожиданное поведение энергетического разрешения в зависимости от поперечной утечки ливня: 6-процентная поперечная утечка энергии ливня приводит к 18-процентному улучшению энергетического разрешения.

Работа выполнена в Лаборатории ядерных проблем ОИЯИ.

Препринт Объединенного института ядерных исследований. Дубна, 1996

Experimental Study of the Effect of Hadron Shower Leakage on the Energy Response and Resolution of ATLAS Hadron Barrel Prototype Calorimeter

The hadronic shower longitudinal and lateral leakages and its effect on the pion response and energy resolution of ATLAS iron-scintillator barrel hadron prototype calorimeter have been investigated. The results are based on 100 GeV pion beam data at incidence angle $\Theta = 10^\circ$. The fraction of the energy leaking out at the back of this calorimeter amounts to 1.8 % and agrees with the one for a conventional iron-scintillator calorimeter. Unexpected behaviour of the energy resolution as a function of leakage is observed: 6 % lateral leakage lead to 18 % improving of energy resolution in compare with the showers without leakage.

The investigation has been performed at the Laboratory of Nuclear Problems, JINR.

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Макет Т.Е.Попеко

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