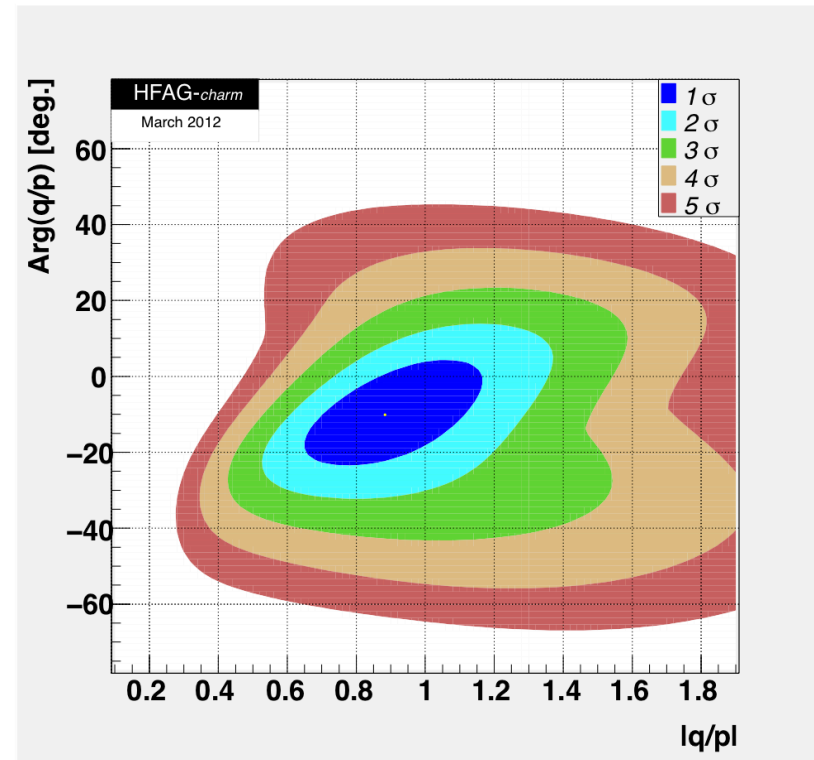
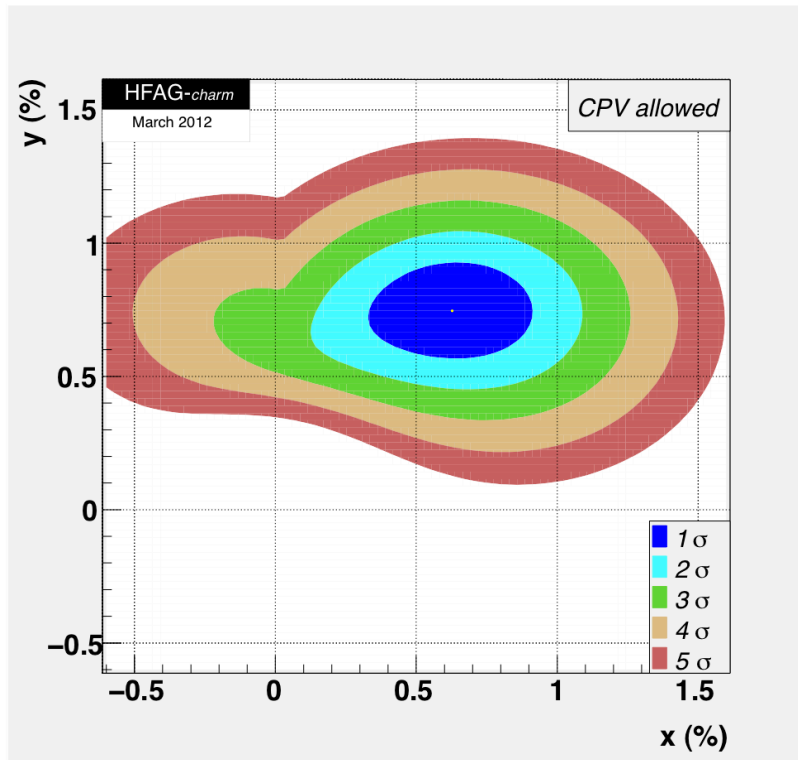


# Charm mixing and CPV @ LHCb

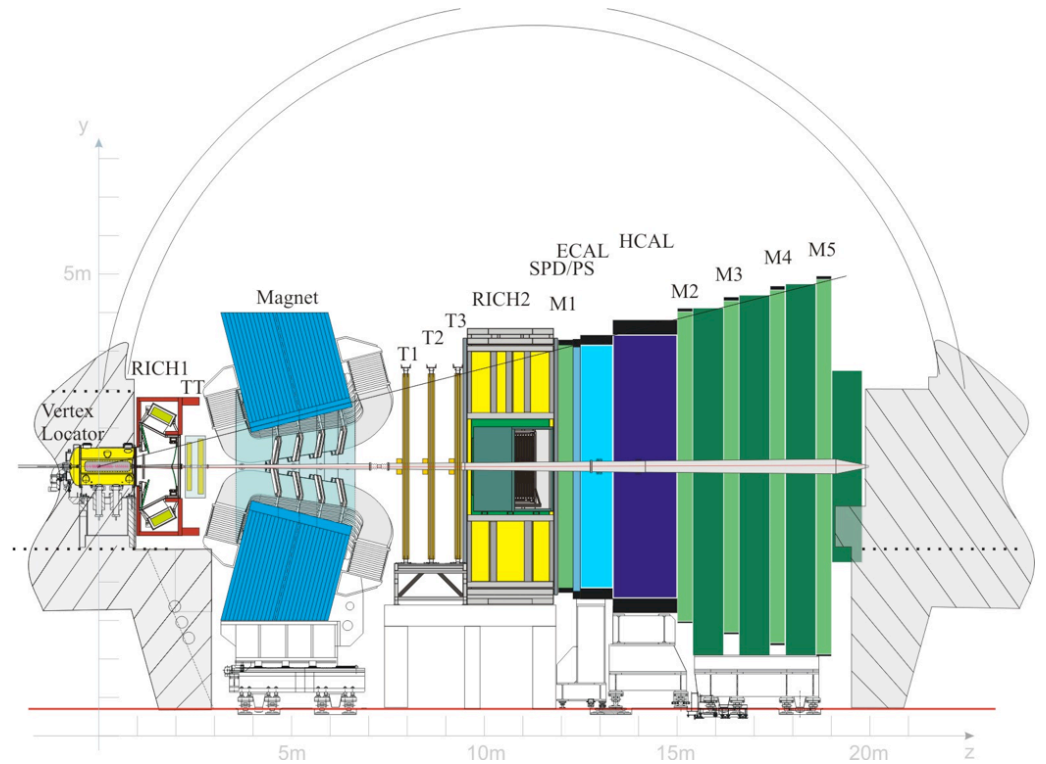
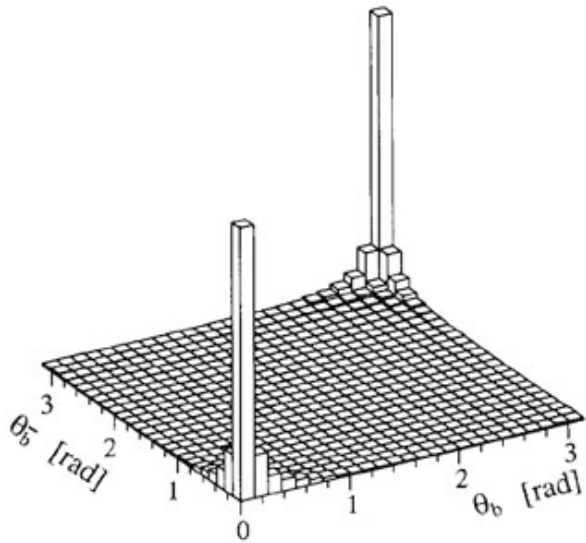


V. Gligorov, CERN

11<sup>th</sup> HQL conference, Prague, June 2012

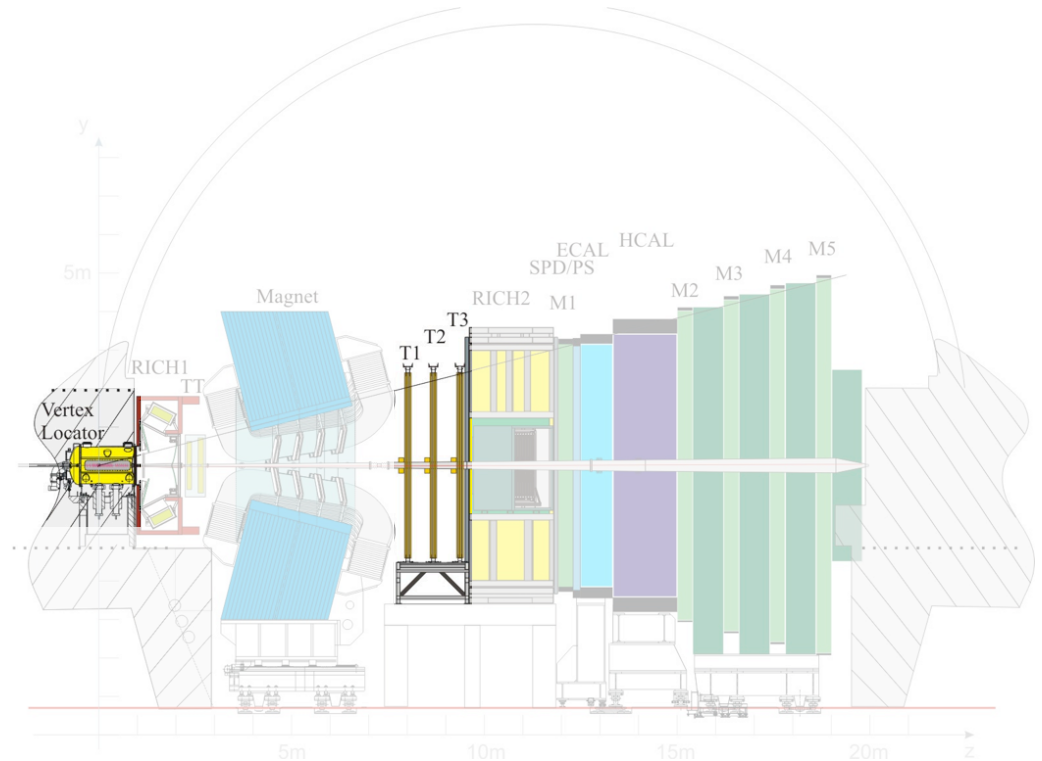
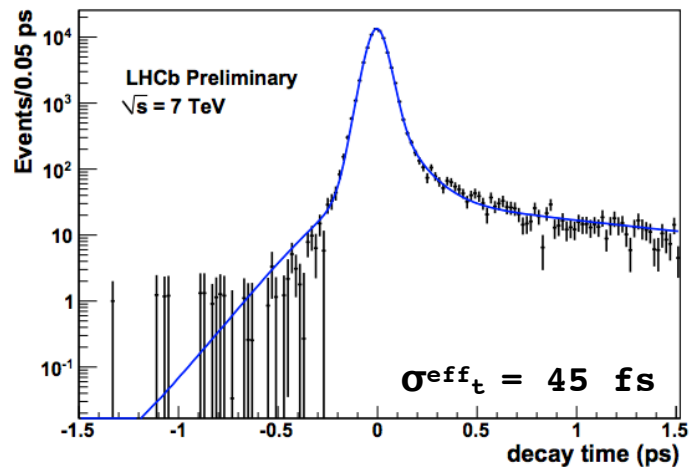
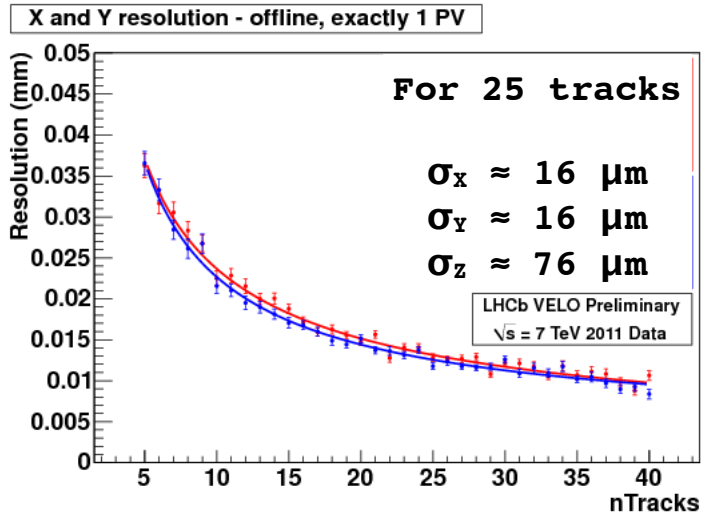
On behalf of the LHCb collaboration

# LHCb design



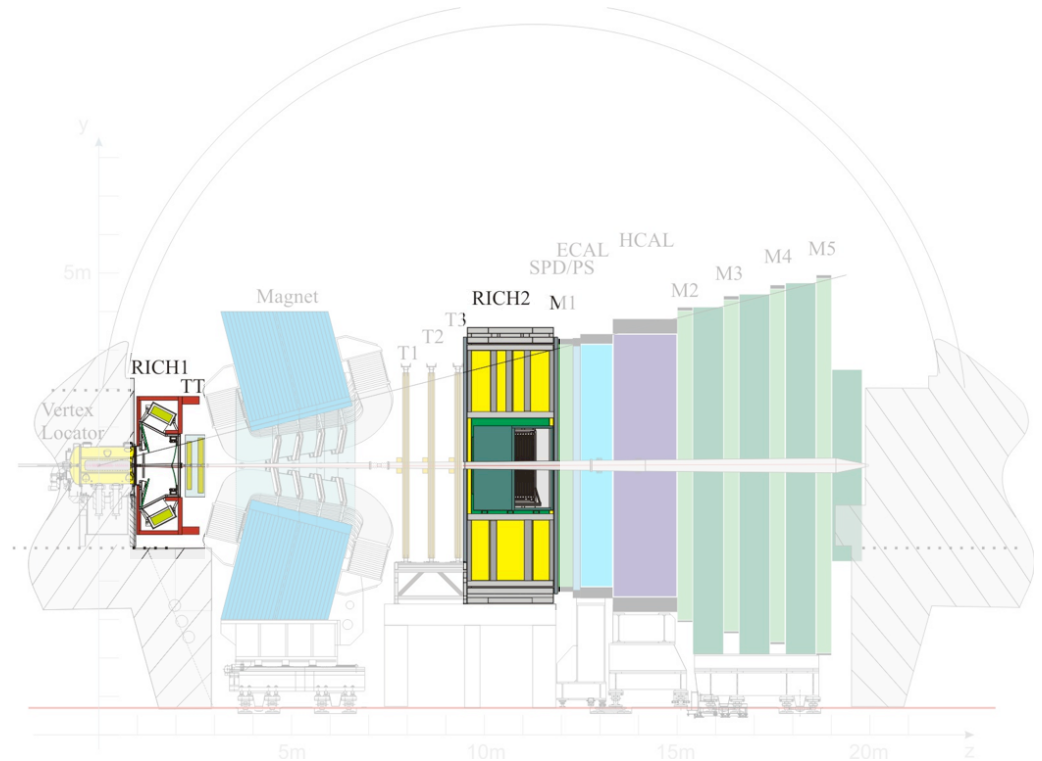
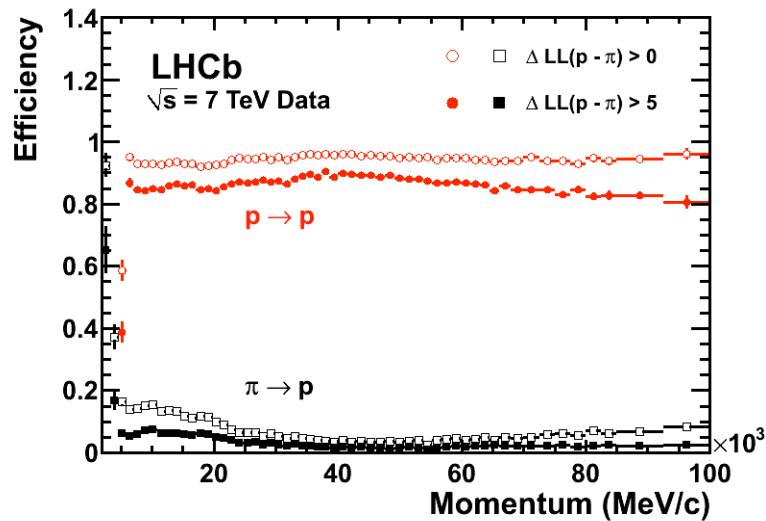
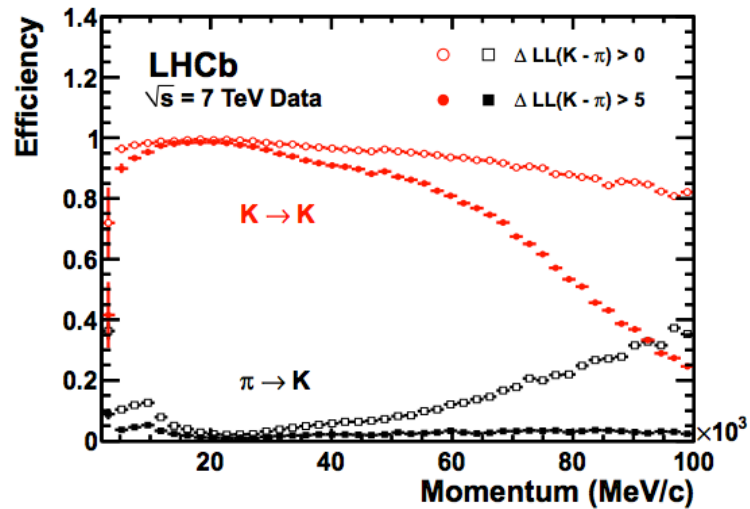
Production of heavy flavour particles  
correlated in the forward direction

# LHCb tracking



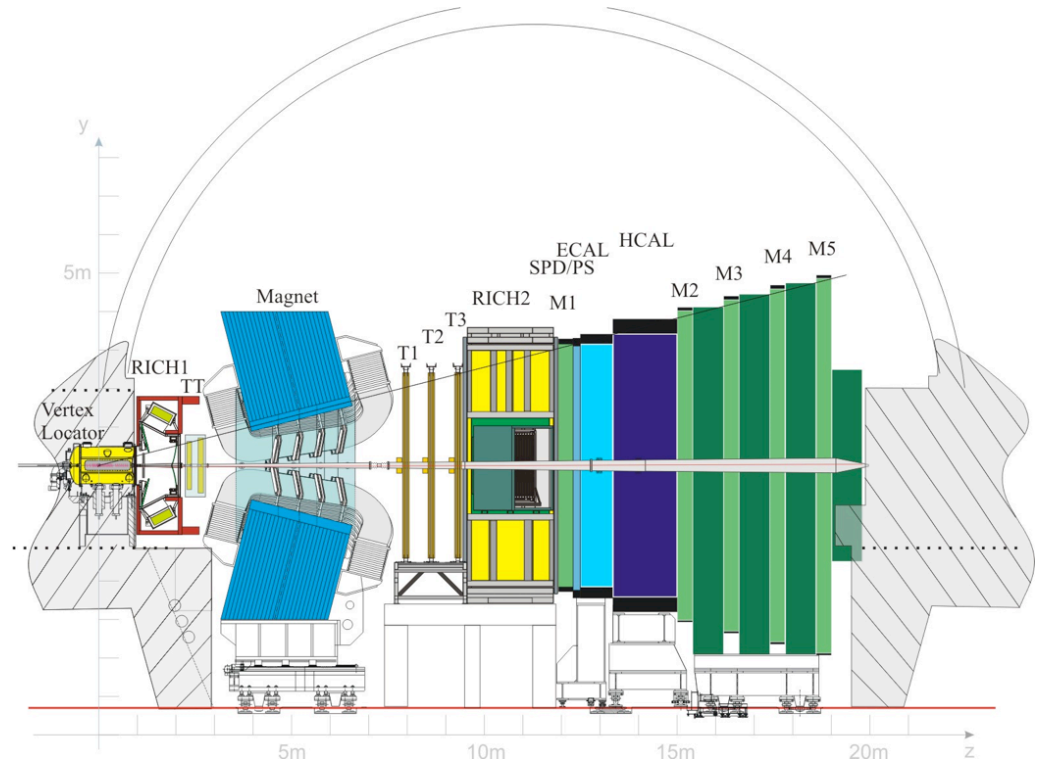
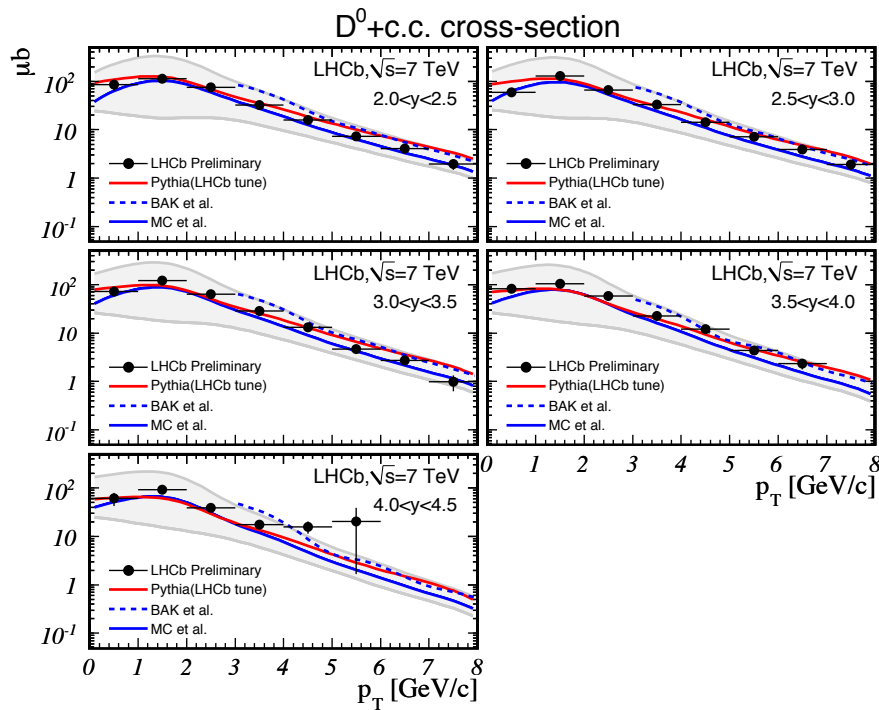
Excellent vertexing and decay time resolution

# LHCb Particle identification



Excellent pion-kaon-proton separation between 2 and 100 GeV/c of momentum

# LHCb charm cross section



Large prompt charm cross section of  $\sigma = 6.10 \pm 0.93$  mb

See [LHCb-CONF-2010-013](#)

# Charm @ LHC

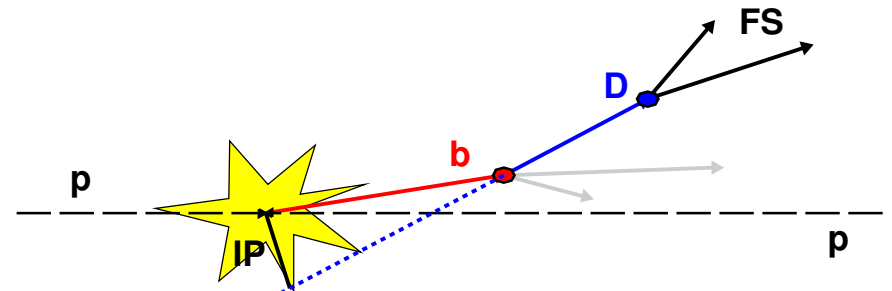
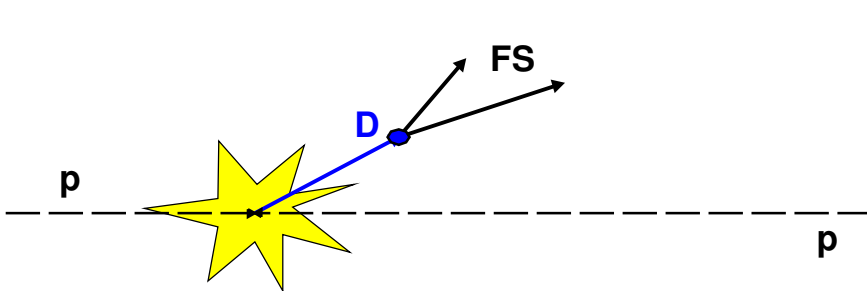
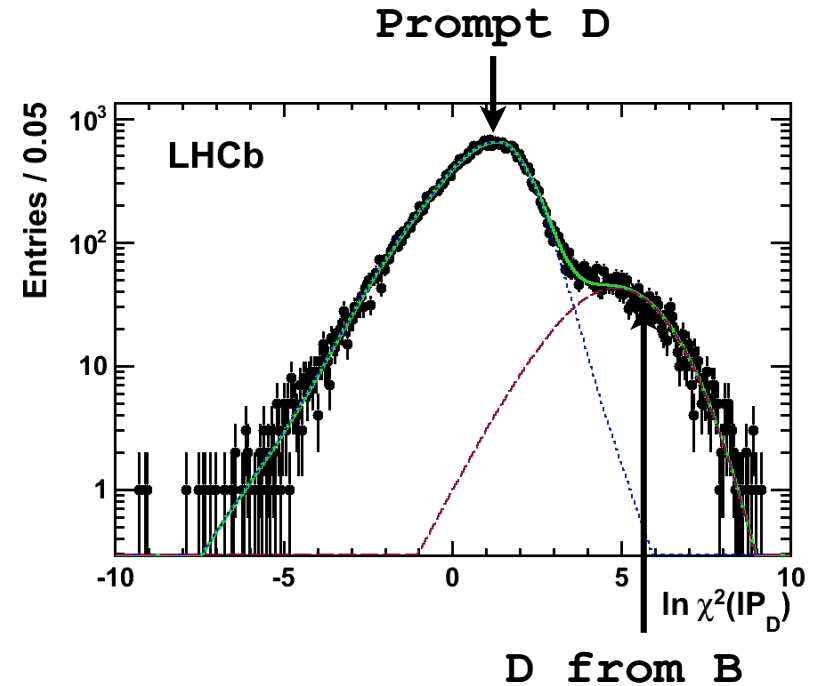
Two types of charm production:

**Prompt** : Charm produced directly in the primary interaction

**Secondary** : Charm produced in B decays [B(B→DX) > 50%]

Prompt charm is much more abundant because the LHC charm cross-section is ~20x higher than the B cross-section

Must discriminate between the two for analyses : use the D impact parameter  $\chi^2$



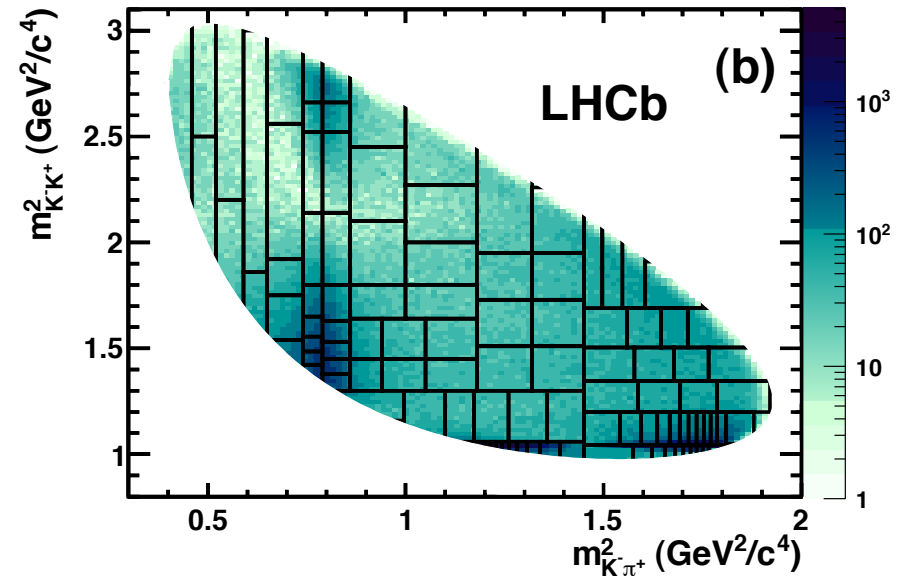
# Time Integrated CPV studies

# $D^+ \rightarrow K^+ K^- \pi^+$ — method

Search for direct CPV across Dalitz plot using a global  $\chi^2$  test of the consistency of the data with the no-CPV hypothesis

Measure CPV in bins of the Dalitz plot according to :

$$S_{CP}^i = \frac{N^i(D^+) - \alpha N^i(D^-)}{\sqrt{N^i(D^+) + \alpha^2 N^i(D^-)}}, \quad \alpha = \frac{N_{\text{tot}}(D^+)}{N_{\text{tot}}(D^-)}$$



See [LHCb-PAPER-2011-017](#)

See [Bediaga et al. PRD 80 096006 \(2009\)](#)



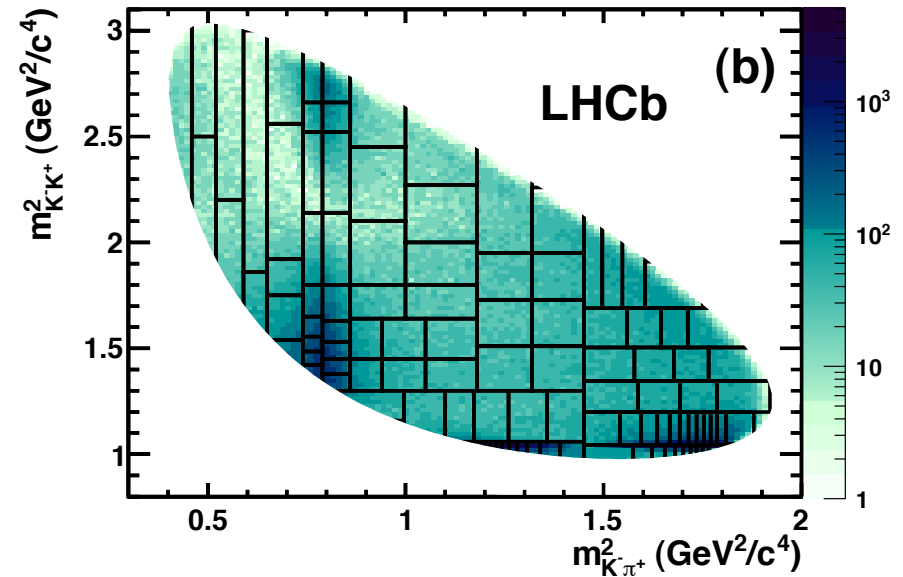
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Production asymmetry effects removed by global asymmetry correction term



See [LHCb-PAPER-2011-017](#)

See [Bediaga et al. PRD 80 096006 \(2009\)](#)

# $D^+ \rightarrow K^+ K^- \pi^+$ — method

Search for direct CPV across Dalitz plot using a global  $\chi^2$  test of the consistency of the data with the no-CPV hypothesis

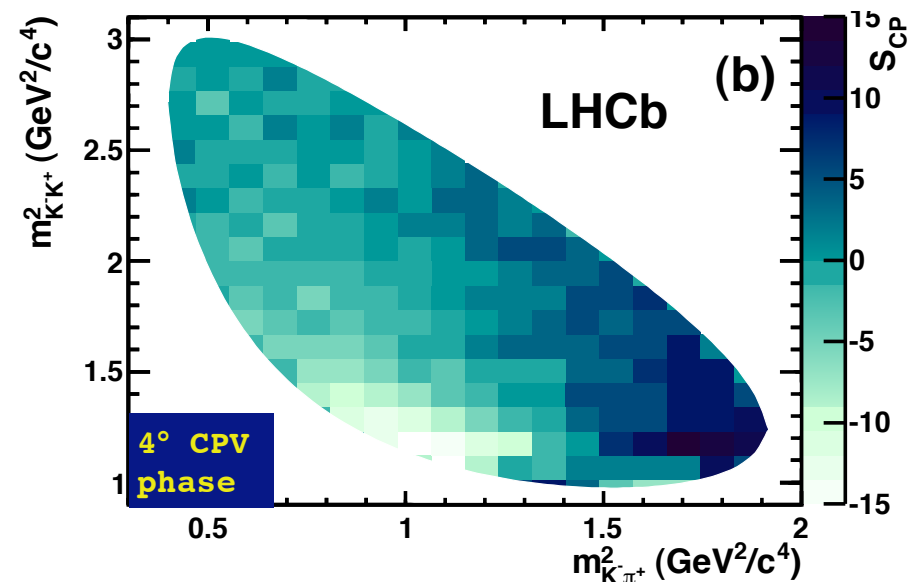
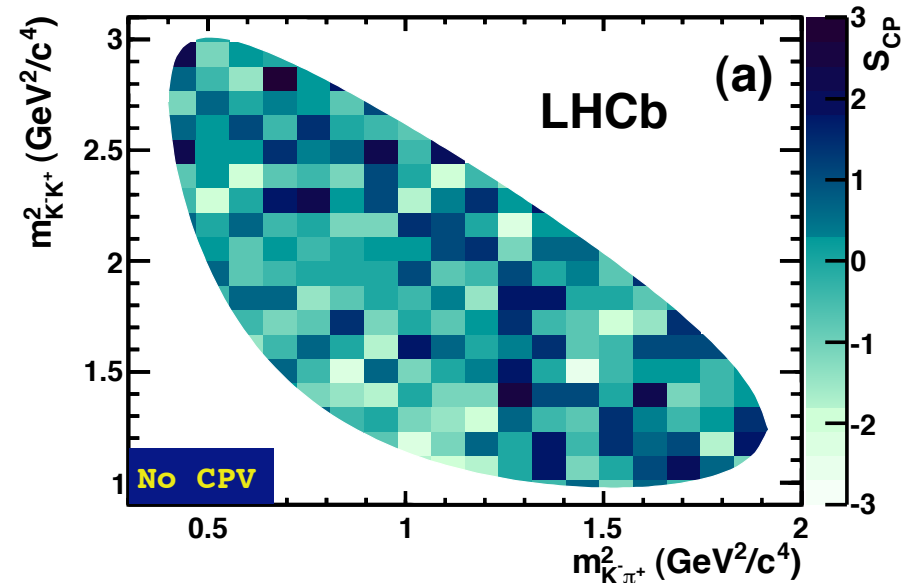
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Production asymmetry effects removed by global asymmetry correction term

In absence of CPV the  $S_{CP}^i$  values are Gaussian distributed with mean zero and unit width

Ability of the method to resolve CPV validated with toy MC studies, different binnings developed



See [LHCb-PAPER-2011-017](#)

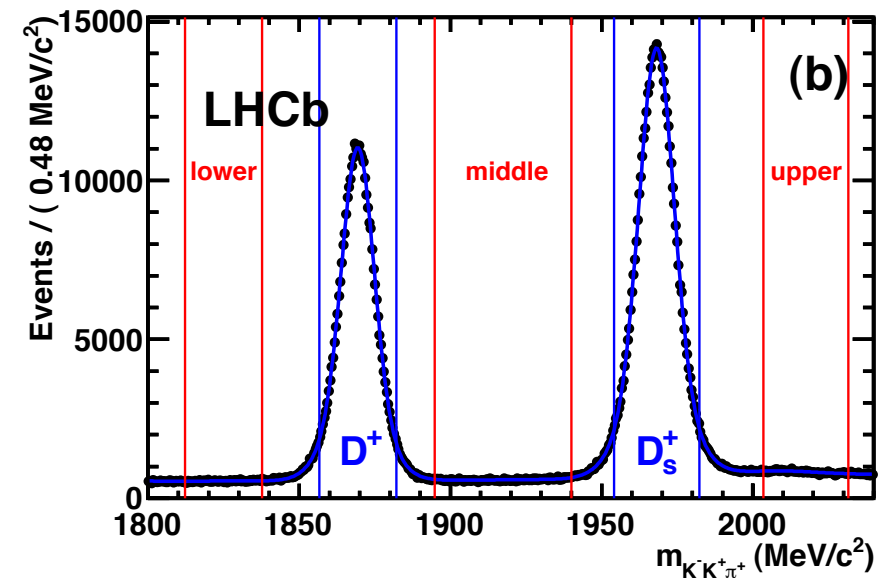
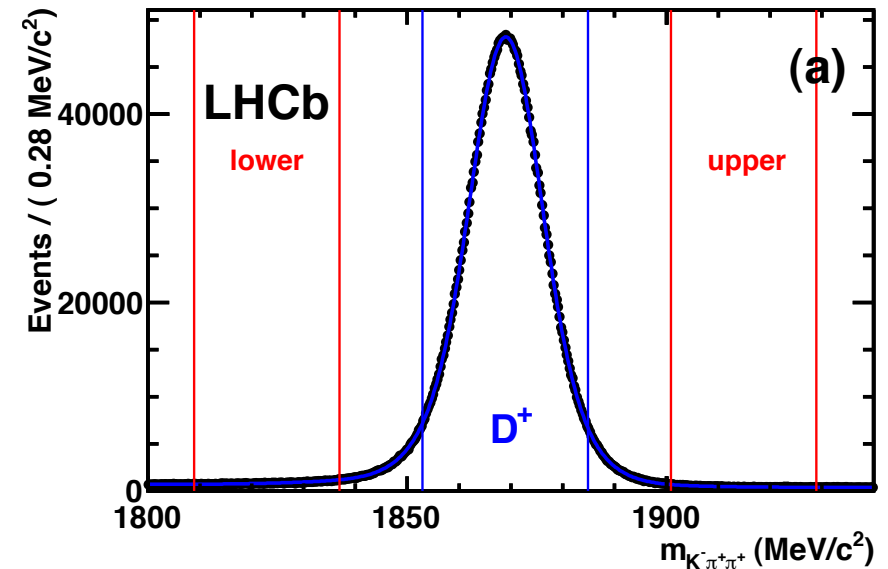
See [Bediaga et al. PRD 80 096006 \(2009\)](#)

# $D^+ \rightarrow K^+ K^- \pi^+$ — signals

Use sample of  $\sim 370,000$   $D^+ \rightarrow K^+ K^- \pi^+$  decays collected during 2010 data taking, corresponding to  $\sim 35$   $\text{pb}^{-1}$

Selection designed for as uniform an efficiency as possible across the Dalitz plot

Use  $D^+ \rightarrow K^- \pi^+ \pi^+$  and  $D_s^+ \rightarrow K^+ K^- \pi^+$  decays as control modes, which are Cabibbo Favoured so no CPV expected



See [LHCb-PAPER-2011-017](#)

See [Bediaga et al. PRD 80 096006 \(2009\)](#)

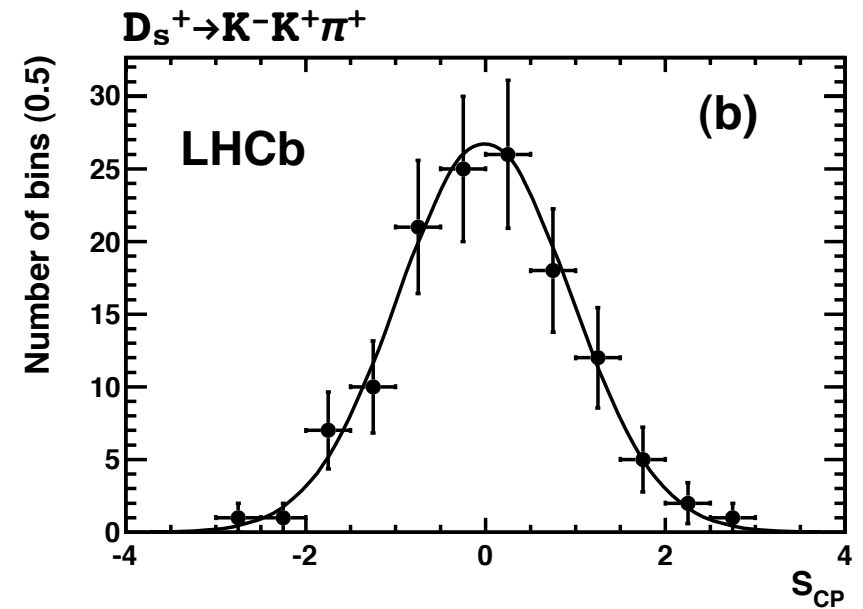
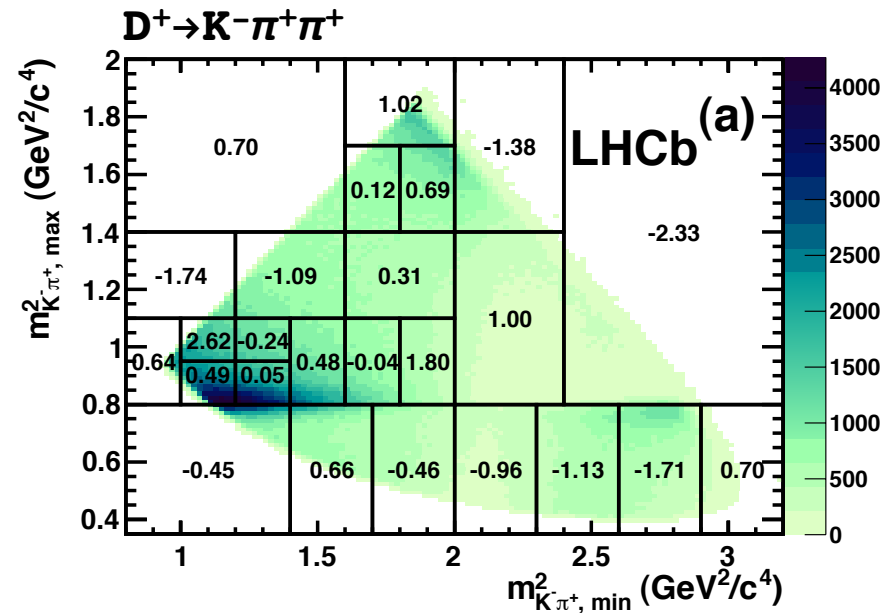
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=> And none observed



See [LHCb-PAPER-2011-017](#)

See [Bediaga et al. PRD 80 096006 \(2009\)](#)

# $D^+ \rightarrow K^+ K^- \pi^+$ — results

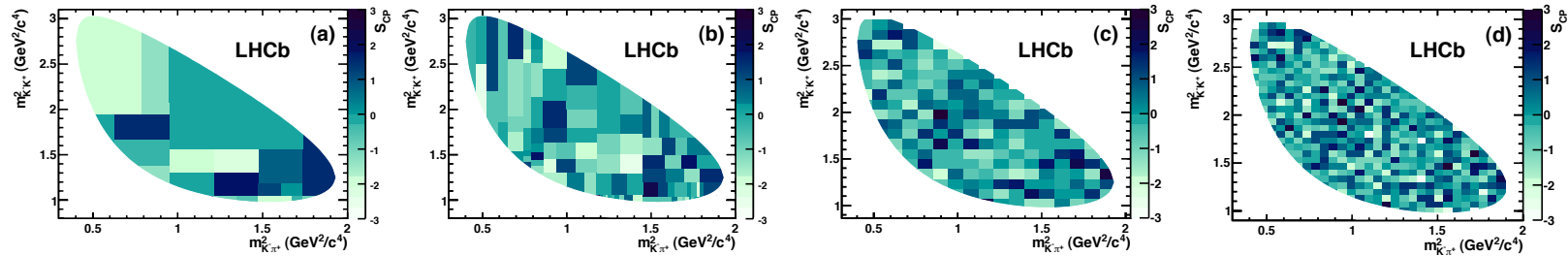


TABLE IX. Fitted means and widths,  $\chi^2/\text{ndf}$  and  $p$ -values for consistency with no CPV for the  $D^+ \rightarrow K^- K^+ \pi^+$  decay mode with four different binnings.

Binning	Fitted mean	Fitted width	$\chi^2/\text{ndf}$	$p$ -value (%)
Adaptive I	$0.01 \pm 0.23$	$1.13 \pm 0.16$	32.0/24	12.7
Adaptive II	$-0.024 \pm 0.010$	$1.078 \pm 0.074$	123.4/105	10.6
Uniform I	$-0.043 \pm 0.073$	$0.929 \pm 0.051$	191.3/198	82.1
Uniform II	$-0.039 \pm 0.045$	$1.011 \pm 0.034$	519.5/529	60.5

**No CPV observed in this mode with 2010 data (35 pb<sup>-1</sup>)**

**Similar measurements (including 4-body) are ongoing with 2011 data, and a ~30x larger sample**

[See LHCb-PAPER-2011-017](#)

[See Bediaga et al. PRD 80 096006 \(2009\)](#)

# $D^0 \rightarrow K^+ K^- / \pi^+ \pi^-$ — motivation

**Search for CP asymmetry defined as**

$$A_{CP}(f) = \frac{\Gamma(D^0 \rightarrow f) - \Gamma(\bar{D}^0 \rightarrow f)}{\Gamma(D^0 \rightarrow f) + \Gamma(\bar{D}^0 \rightarrow f)}$$

**Requires flavour tagging : use  $D^{*+}$  decays to determine the flavour of the  $D^0$  meson at production**

$$A_{\text{raw}}(f) \equiv \frac{N(D^{*+} \rightarrow D^0(f)\pi_s^+) - N(D^{*-} \rightarrow \bar{D}^0(f)\pi_s^-)}{N(D^{*+} \rightarrow D^0(f)\pi_s^+) + N(D^{*-} \rightarrow \bar{D}^0(f)\pi_s^-)}$$

**Note that  $D^{*+}$  decays are right at the threshold to decay into  $D^0\pi^+$ , hence the pion is “slow” => low momentum and transverse momentum**

# $D^0 \rightarrow K^+K^- / \pi^+\pi^-$ — observables

What is actually measured is a mixture of different effects, of which we are primarily interested in one

$$A_{\text{raw}}(f) = A_{CP}(f) + A_D(f) + A_D(\pi_s) + A_P(D^{*+})$$

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Physical asymmetry  
we want to measure



# $D^0 \rightarrow K^+K^- / \pi^+\pi^-$ — observables

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Detection  
asymmetry  
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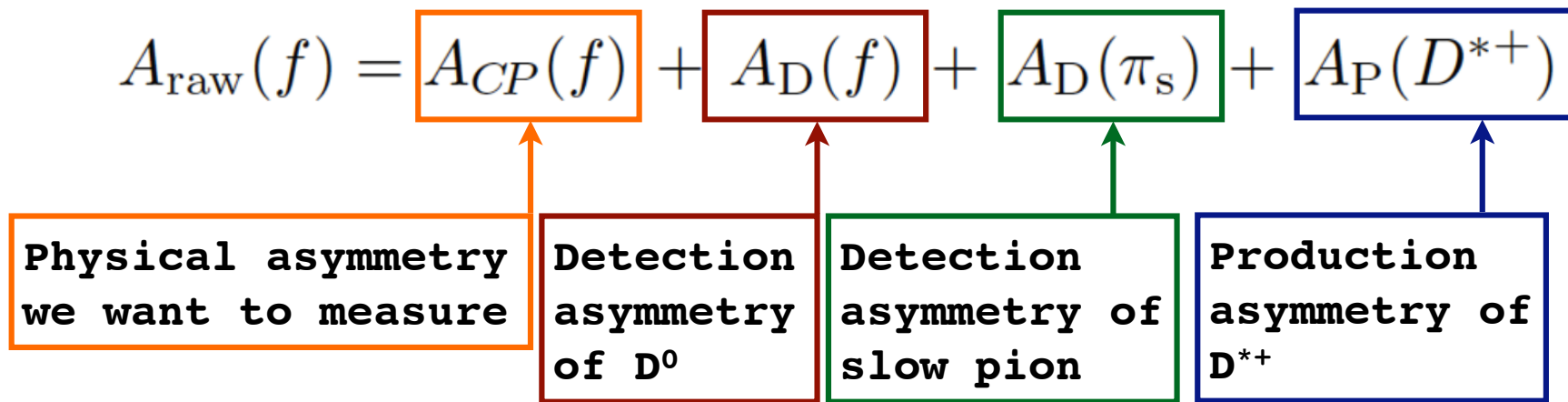
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Detection  
asymmetry  
of  $D^0$

Detection  
asymmetry of  
slow pion

# $D^0 \rightarrow K^+K^- / \pi^+\pi^-$ — observables

What is actually measured is a mixture of different effects, of which we are primarily interested in one



# $D^0 \rightarrow K^+K^- / \pi^+\pi^-$ — observables

What is actually measured is a mixture of different effects, of which we are primarily interested in one

$$A_{\text{raw}}(f) = A_{CP}(f) + A_D(f) + A_D(\pi_s) + A_P(D^{*+})$$

Physical asymmetry we want to measure

Detection asymmetry of  $D^0$

Detection asymmetry of slow pion

Production asymmetry of  $D^{*+}$

Therefore measure the difference in raw asymmetries between the  $KK$  and  $\pi\pi$  modes

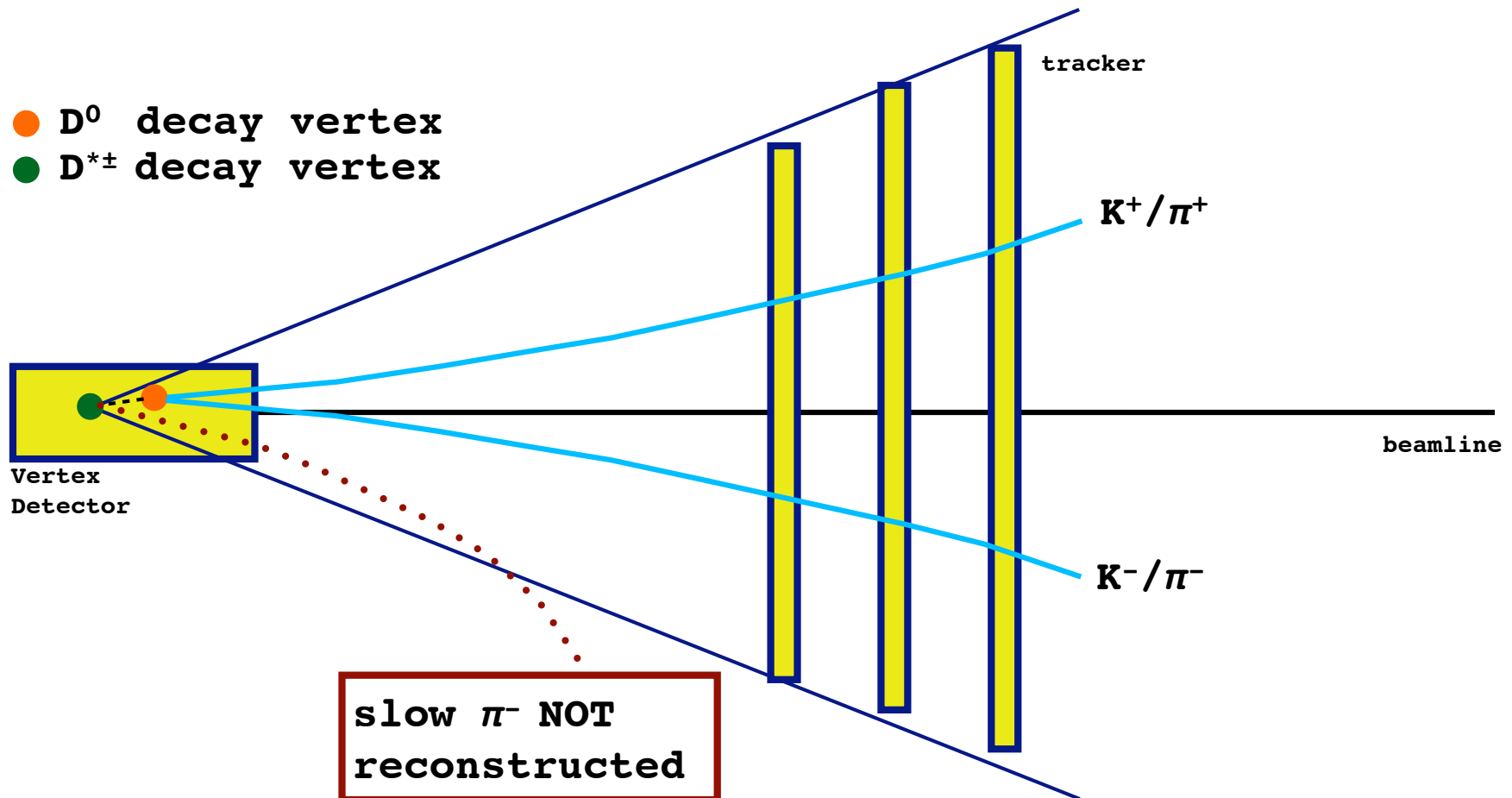
- Detection asymmetry of  $D^0$  does not exist due to charge symmetric final state
- $D^{*+}$  production and  $\pi_s$  detection asymmetries cancel

$$\Delta A_{CP} \equiv A_{\text{raw}}(KK) - A_{\text{raw}}(\pi\pi) = A_{CP}(KK) - A_{CP}(\pi\pi)$$

# $D^0 \rightarrow K^+K^- / \pi^+\pi^-$ — fitting

Measurement is inherently robust against biases at first order, but subtle effects can spoil this

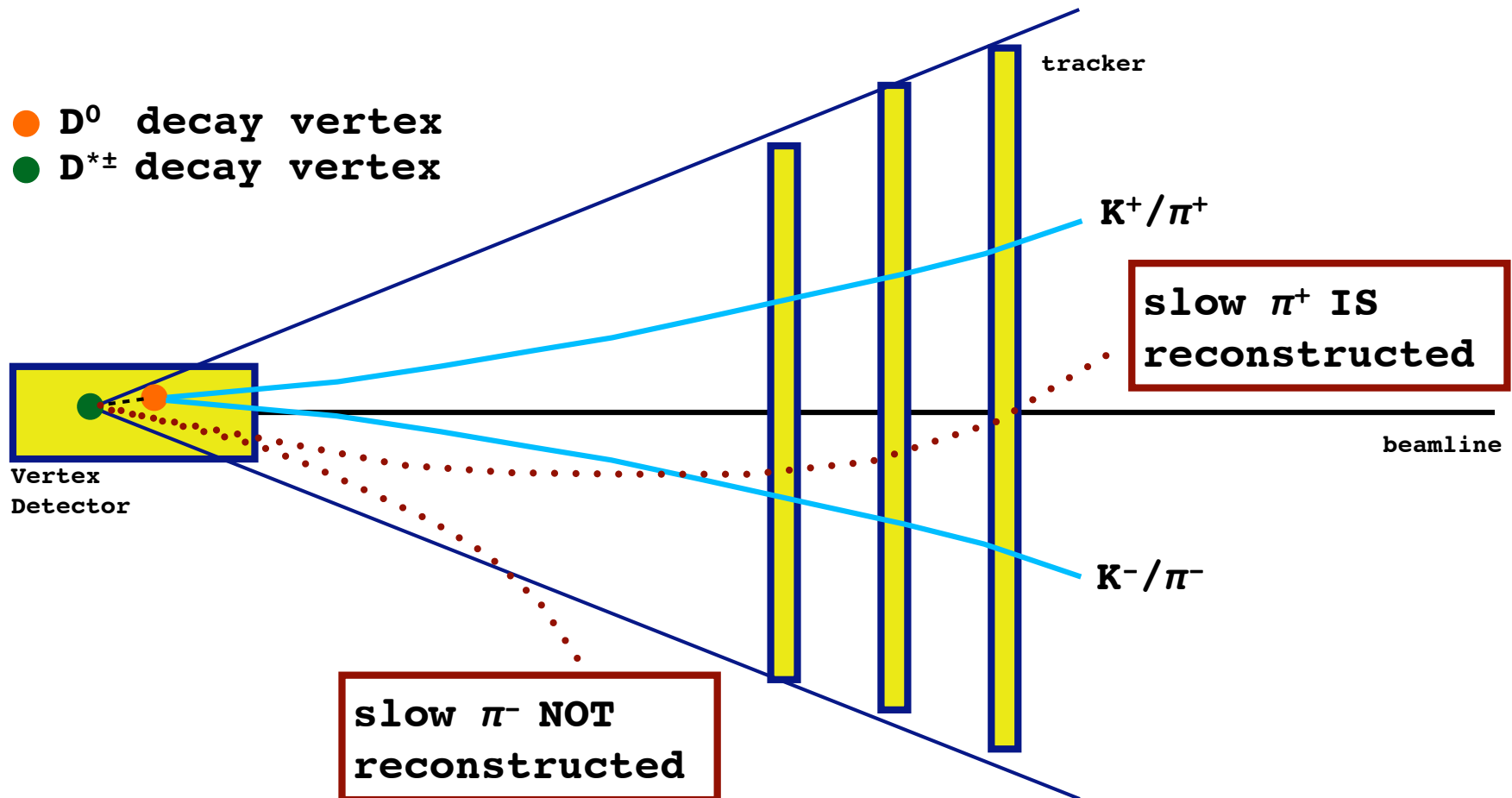
In particular there are regions of phase space where only a  $D^{*+}$  or a  $D^{*-}$  can be reconstructed due to the LHCb geometry



# $D^0 \rightarrow K^+K^- / \pi^+\pi^-$ — fitting

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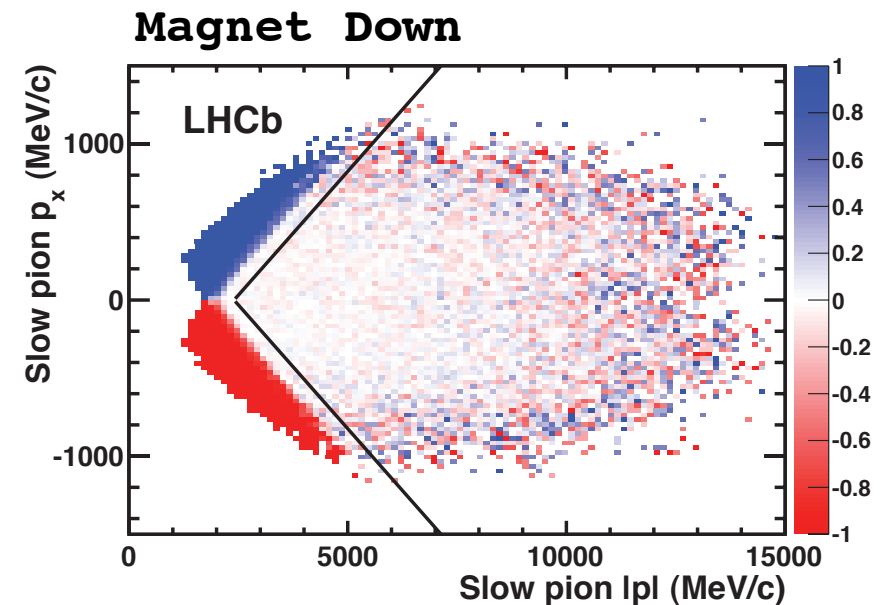
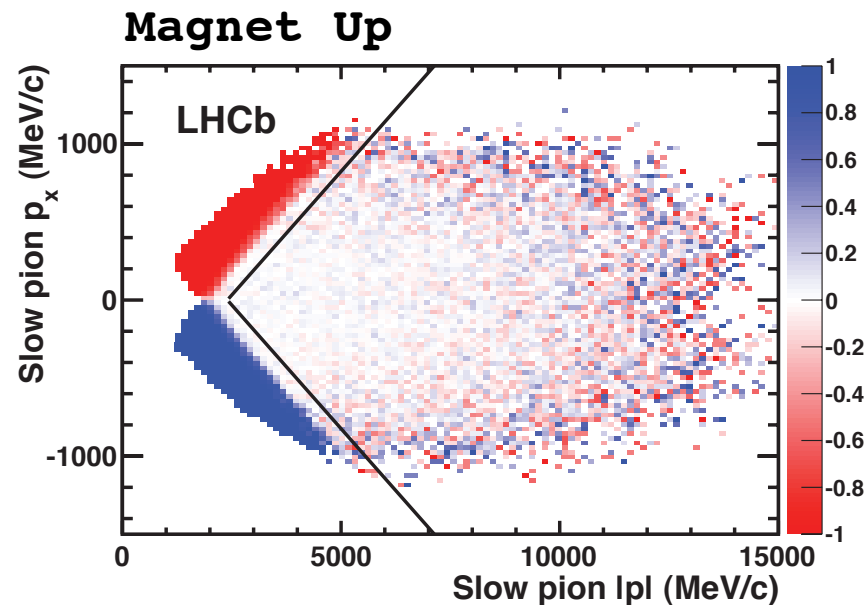


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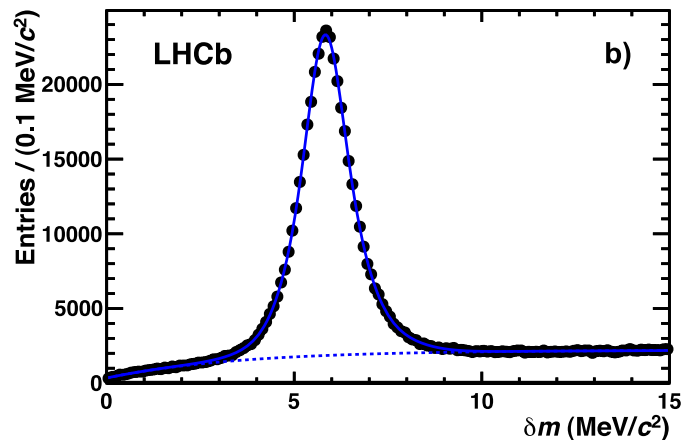
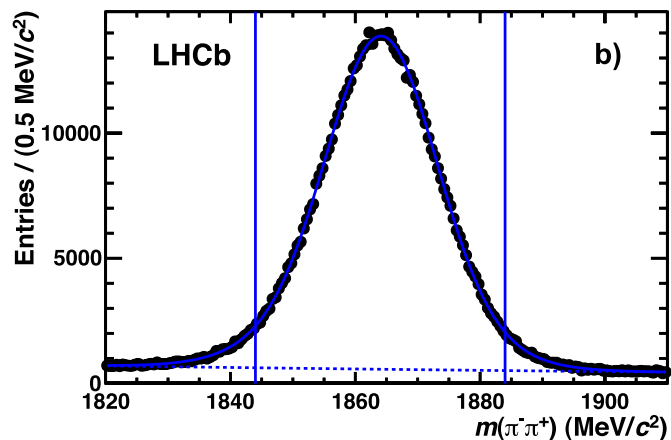
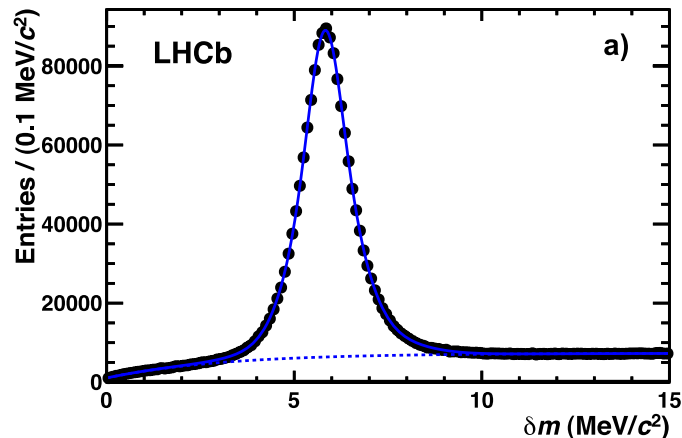
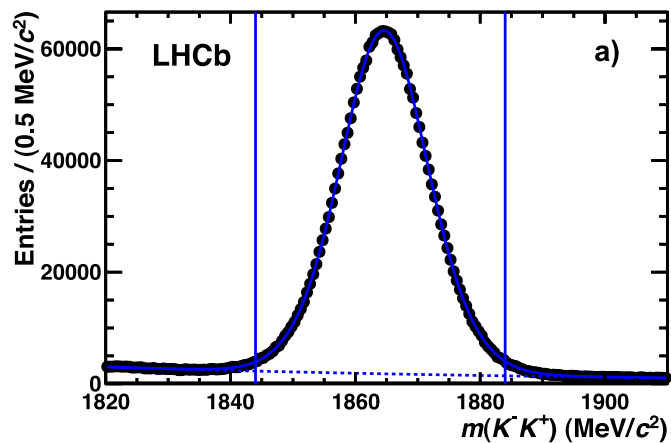
Measurement is inherently robust against biases at first order, but subtle effects can spoil this

In particular there are regions of phase space where only a  $D^{*+}$  or a  $D^{*-}$  can be reconstructed due to the LHCb geometry

These regions of 100%  $A^{\text{raw}}_{\text{CP}}$  break the underlying assumption in the expansion on the previous pages that the raw asymmetries are small => they are removed using fiducial cuts



# $D^0 \rightarrow K^+K^- / \pi^+\pi^-$ — signals



Total signal yields with  $0.6 \text{ fb}^{-1}$  are  $\sim 1.4 \text{ M KK}$  and  $\sim 0.4 \text{ M } \pi\pi$

Signal split into 216 subsamples according to decay kinematics, magnet polarity, and left/right detector hemisphere. Weighted average of DACP across all 216 independent measurements reduces possibility of second order detector effects inducing fake asymmetries.



# $D^0 \rightarrow K^+K^- / \pi^+\pi^-$ — result

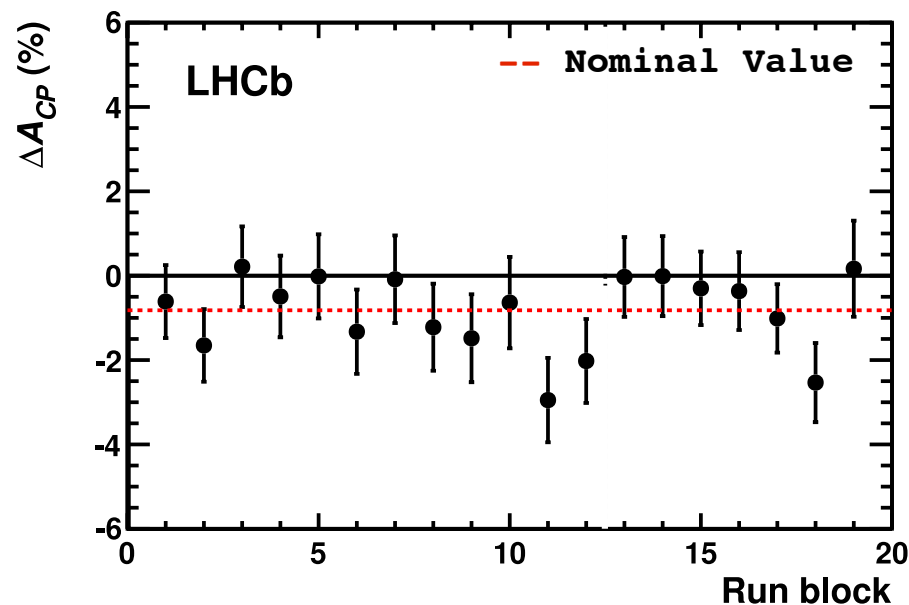
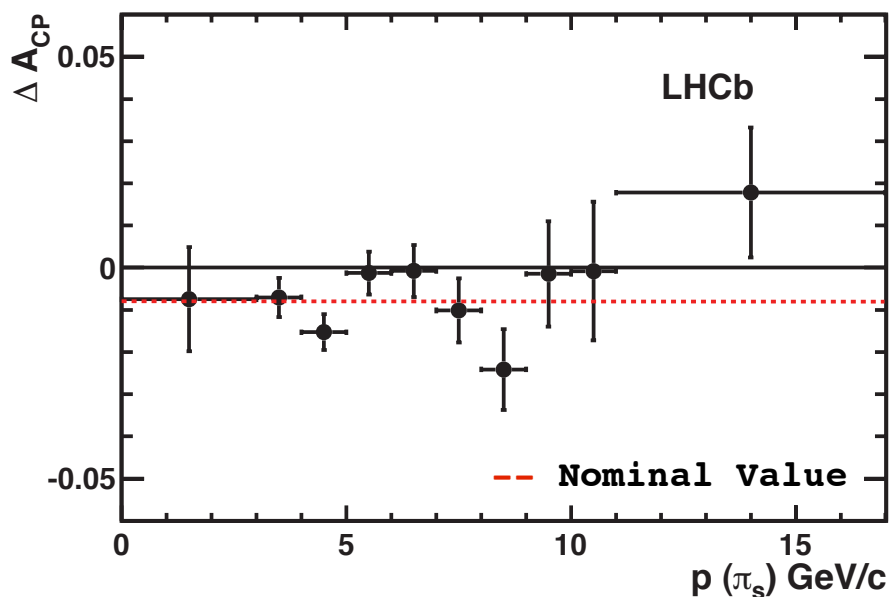
**Result is first evidence of CPV in charm hadron decays with  $3.5\sigma$  significance**

$$\Delta A_{CP} = [-0.82 \pm 0.21(\text{stat}) \pm 0.11(\text{syst})]\%$$

**Many other cross-checks performed and all results are consistent with the baseline number**

TABLE II. Summary of absolute systematic uncertainties for  $\Delta A_{CP}$ .

Source	Uncertainty
Fiducial requirement	0.01%
Peaking background asymmetry	0.04%
Fit procedure	0.08%
Multiple candidates	0.06%
Kinematic binning	0.02%
Total	0.11%



Mixing and time  
dependent  
CPV studies

# Motivation

$$y_{\text{CP}} \equiv \frac{\tau(D^0 \rightarrow K^- \pi^+)}{\tau(D^0 \rightarrow K^- K^+)} - 1,$$

$$\approx \left(1 - \frac{1}{8} A_m^2\right) y \cos \phi - \frac{1}{2} A_m x \sin \phi.$$

$$A_\Gamma \equiv \frac{\tau(\bar{D}^0 \rightarrow K^+ K^-) - \tau(D^0 \rightarrow K^+ K^-)}{\tau(\bar{D}^0 \rightarrow K^+ K^-) + \tau(D^0 \rightarrow K^+ K^-)}$$

$$\approx \frac{1}{2} (A_m + A_d) y \cos \phi - x \sin \phi.$$

In the above  $A_m$  represents CPV in mixing and  $A_d$  in decay.

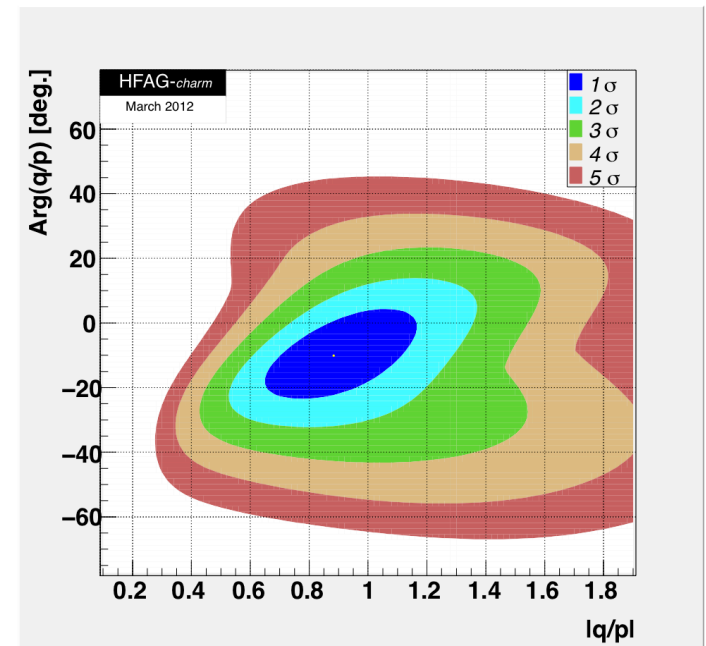
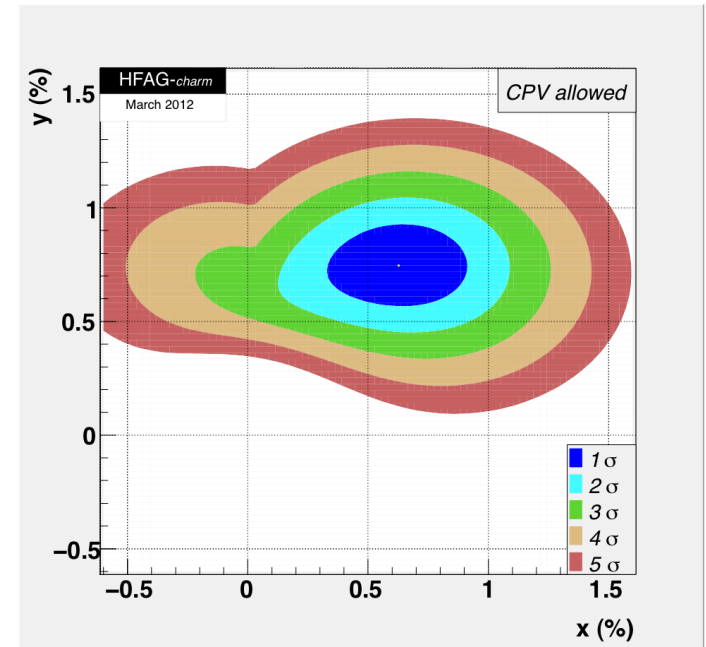
Probe mixing and CPV through tagged lifetime measurements

Use prompt  $D^{*+} \rightarrow D^0 (K^+ K^-, K^- \pi^+) \pi^+$  decays

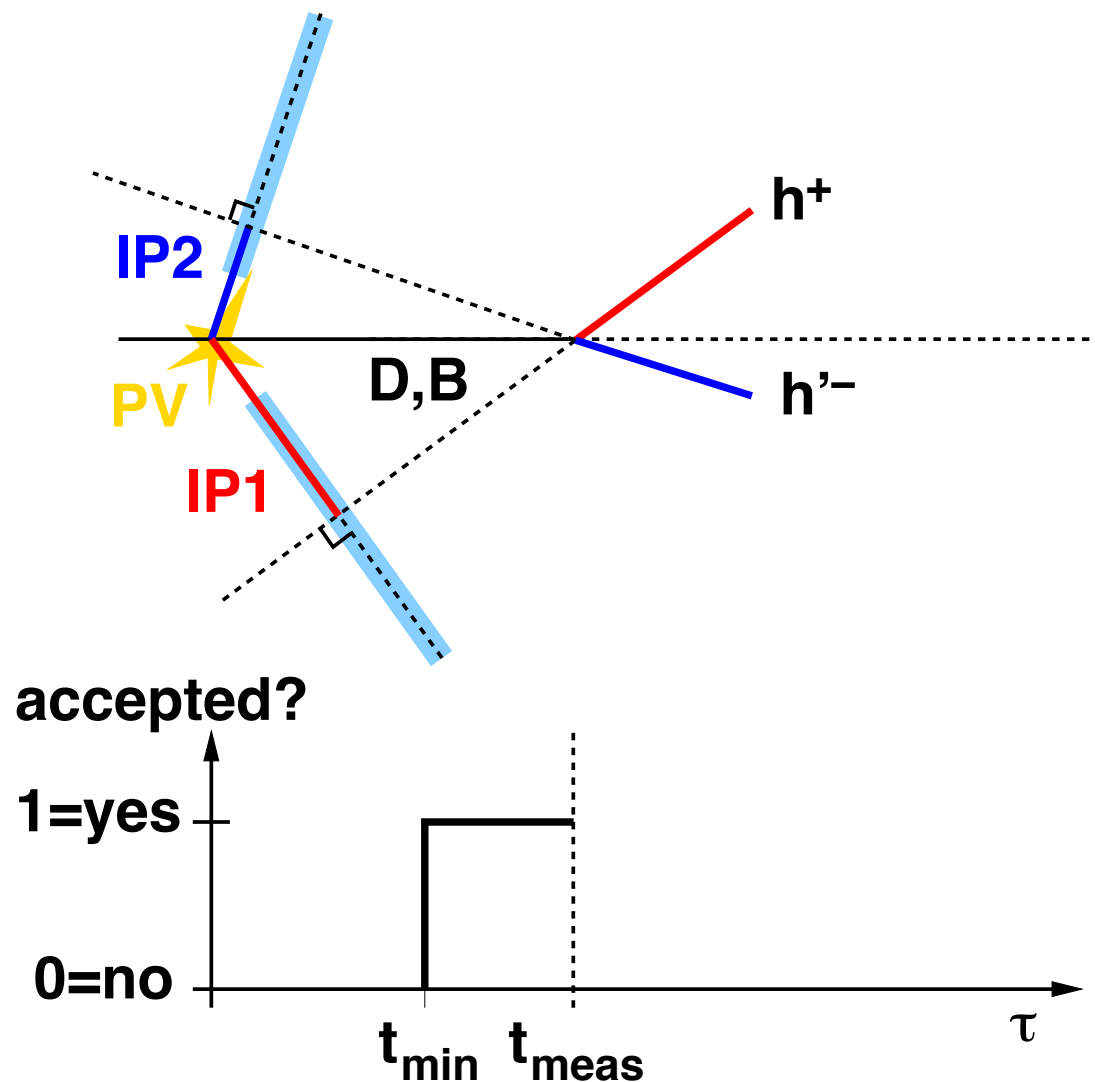
Key challenges :

Obtain the selection proprietime acceptance event by event

Separate prompt from secondary charm

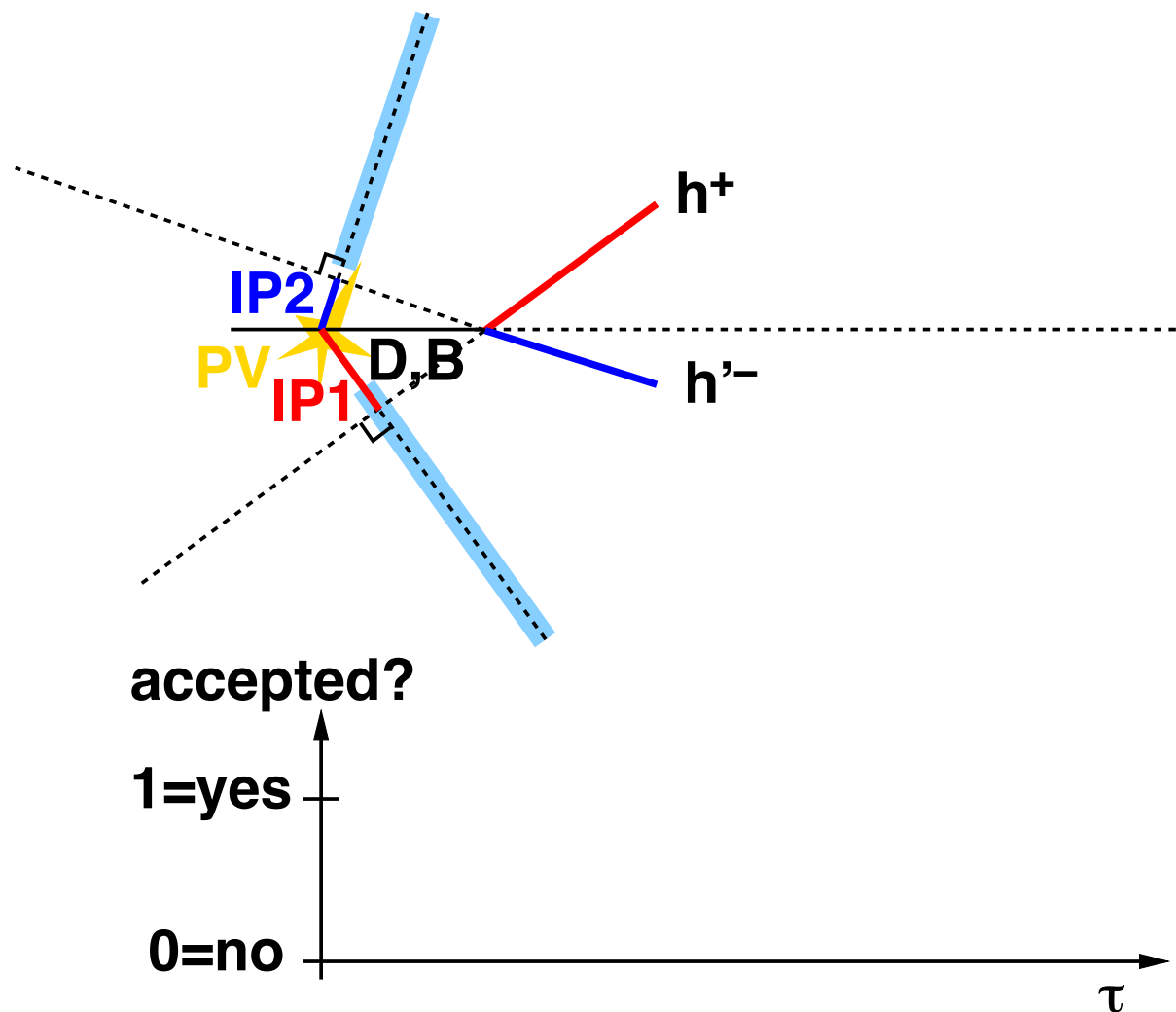


# Measuring proper time acceptances

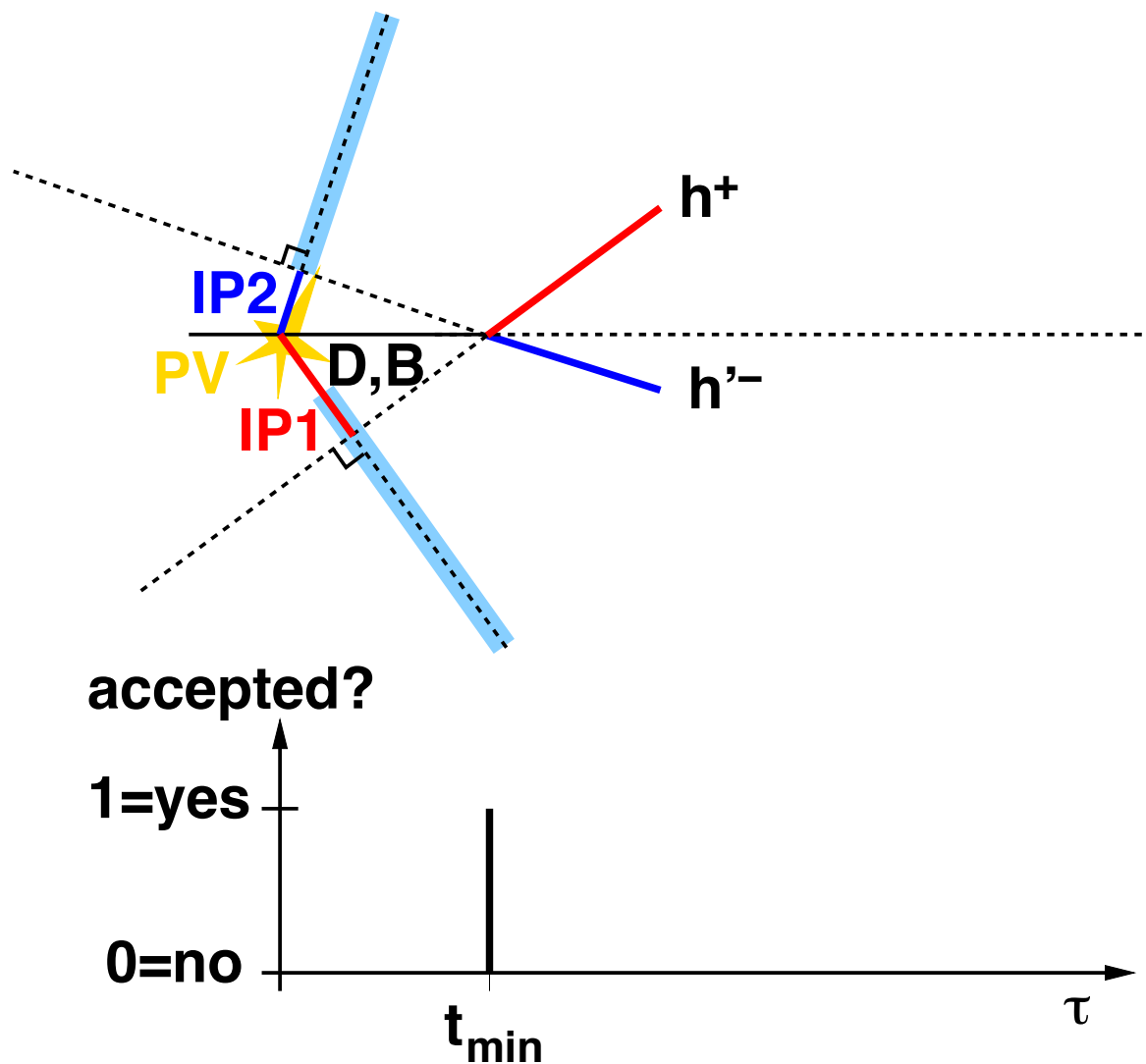


Each event fully describes its own proper time acceptance: can be measured by moving the B vertex and reapplying the selection

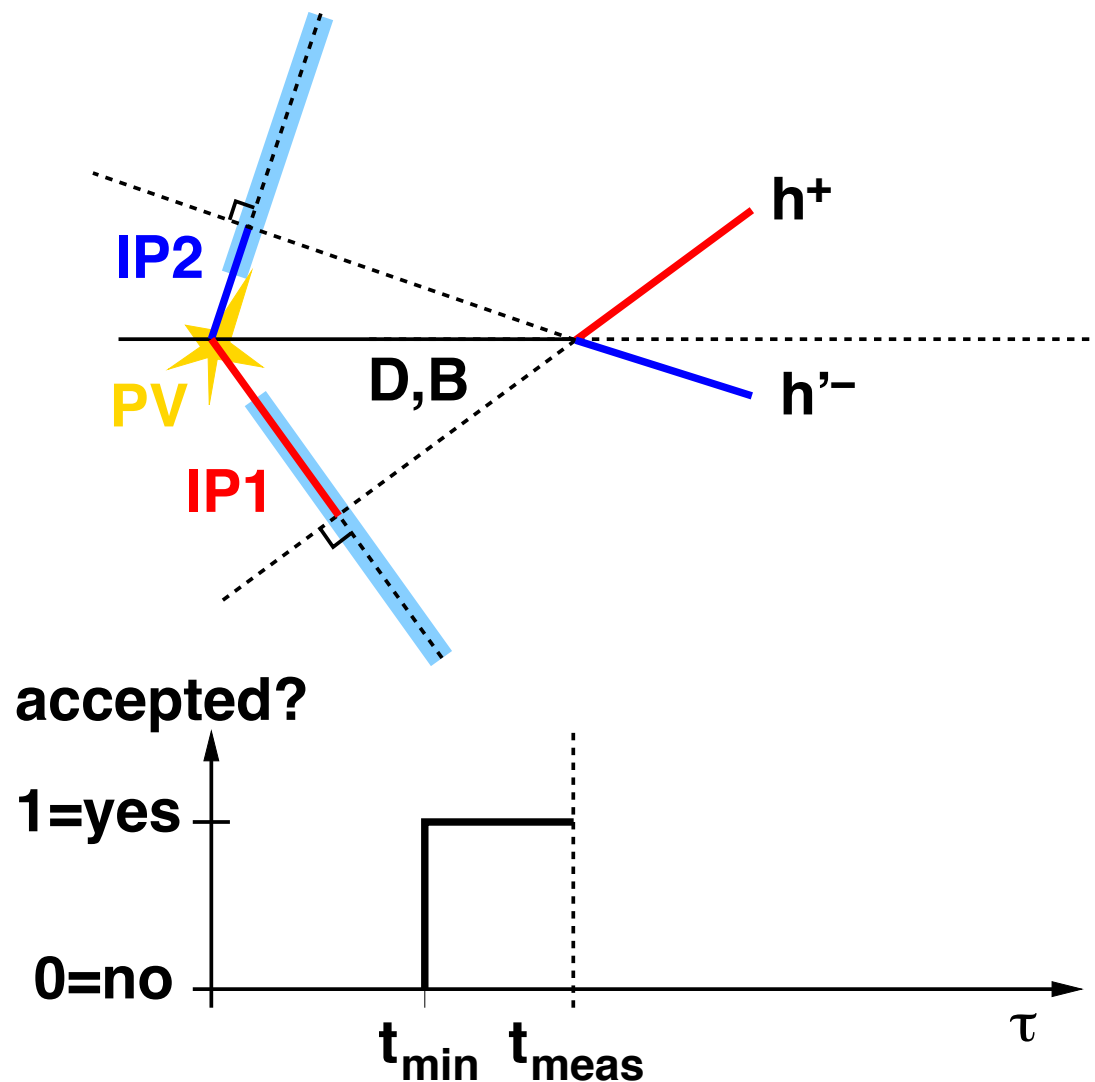
# Measuring proper time acceptances



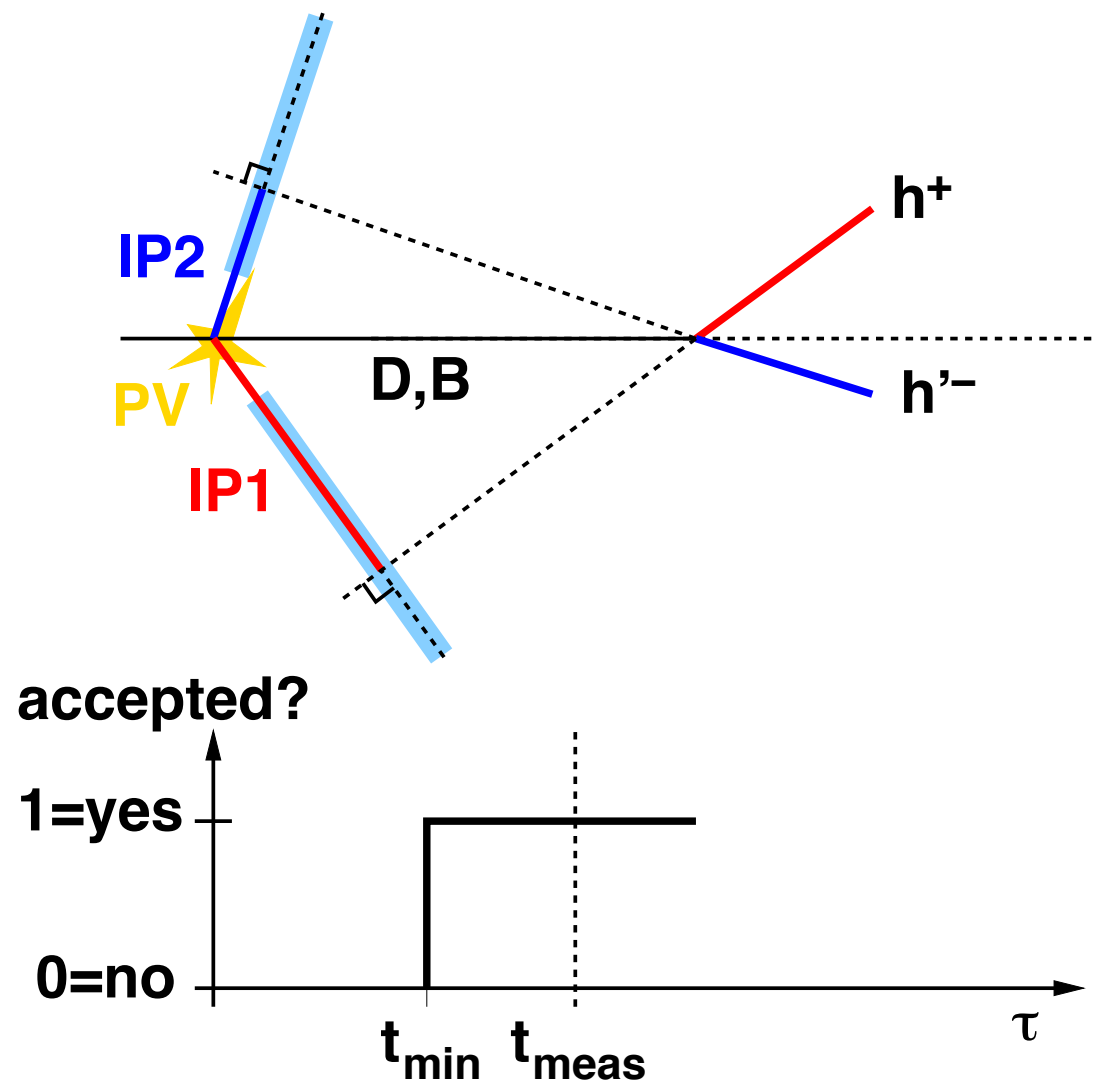
# Measuring proper time acceptances



# Measuring proper time acceptances



# Measuring proper time acceptances





# Results

Separate prompt and secondary charm on a statistical basis through their distribution in  $\ln(\chi^2 IP_D)$

Fit lifetime distributions using event-by-event acceptance functions obtained on data

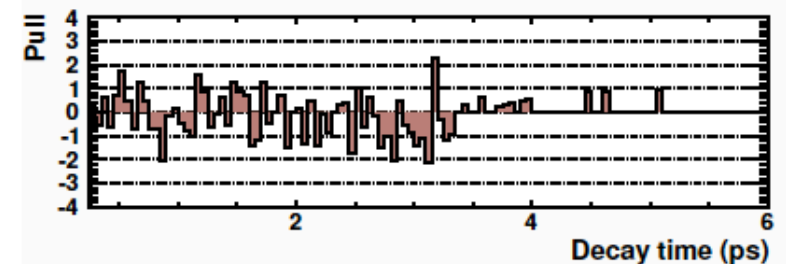
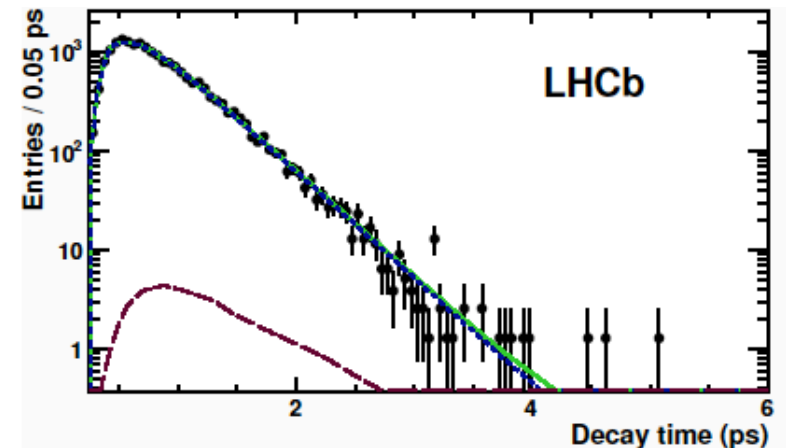
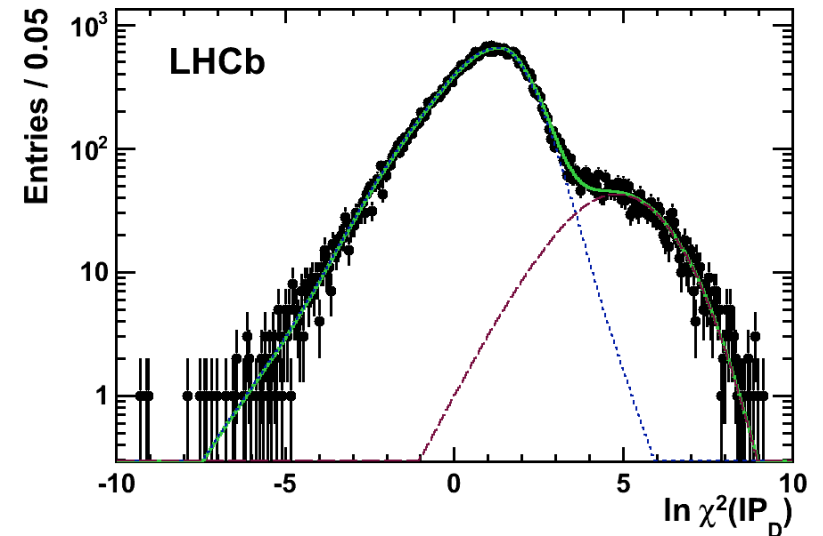
Results based on 29  $\text{pb}^{-1}$  of data taken in 2010 are

$$y_{CP} = (5.5 \pm 6.3_{\text{stat}} \pm 4.1_{\text{syst}}) \times 10^{-3}$$

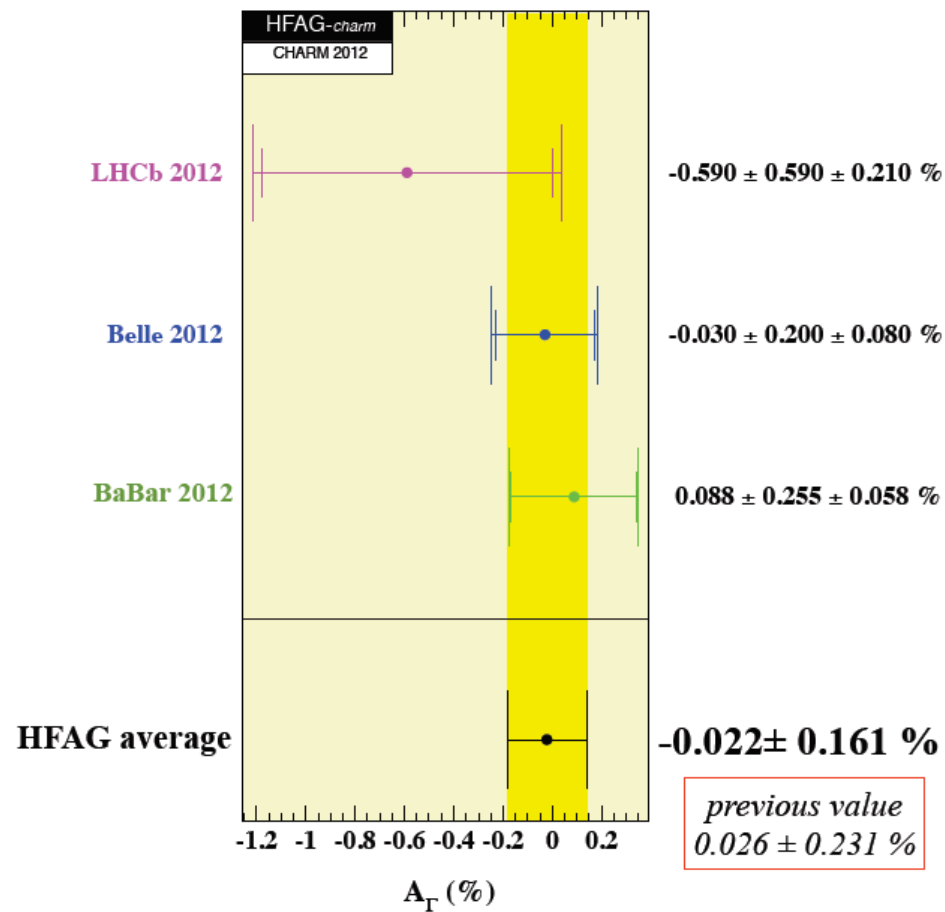
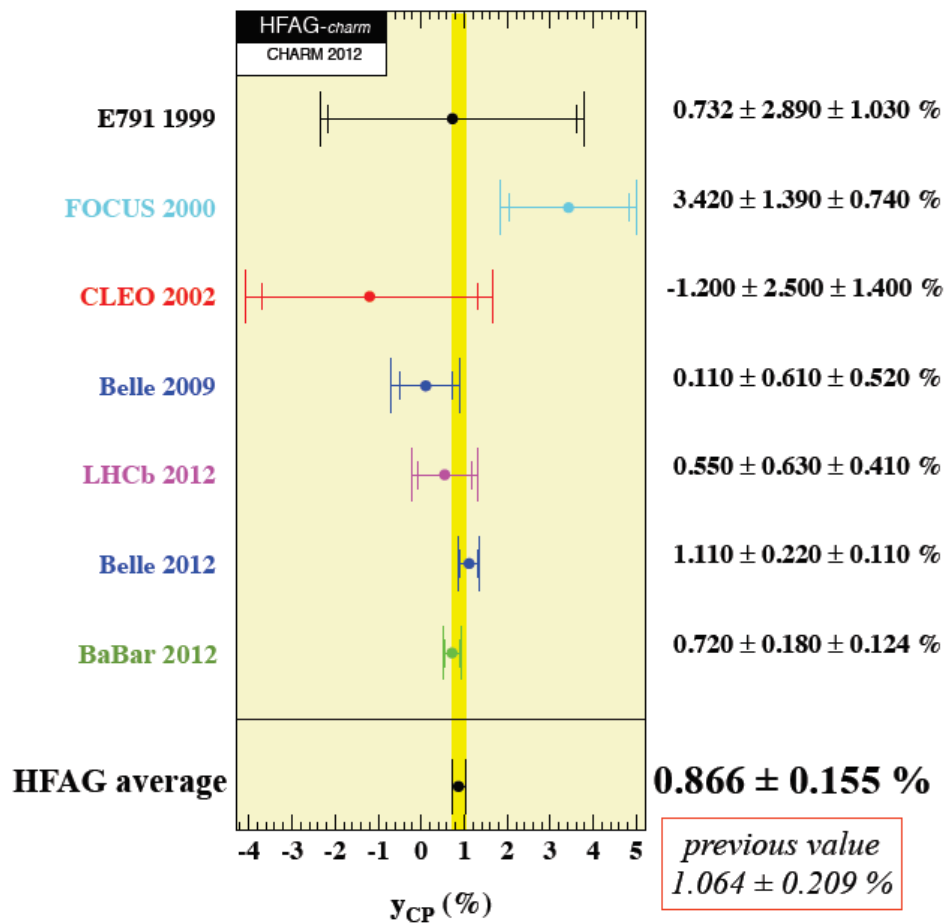
$$A_{\Gamma} = (-5.9 \pm 5.9_{\text{stat}} \pm 2.1_{\text{syst}}) \times 10^{-3}$$

Effect	$A_{\Gamma}$ ( $10^{-3}$ )	$y_{CP}$ ( $10^{-3}$ )
Decay-time acceptance correction	0.1	0.1
Decay-time resolution	0.1	0.1
Minimum decay-time cut	0.1	0.8
Maximum decay-time cut	0.2	0.2
Combinatorial background	1.3	0.8
Secondary-like background	1.6	3.9
Total	2.1	4.1

Table 1. Summary of systematic uncertainties.



# LHCb and the world



*Including new BaBar and Belle results: significant improvement in the uncertainty and lower value for  $y_{CP}$ .*

# Interplay of dir. and ind. CPV

At the present level of precision  $A_\Gamma$  is a measurement of indirect CPV, although note that direct CPV around  $10^{-2}$  would induce  $A_\Gamma$  at  $10^{-4}$  from the equation

$$A_\Gamma \approx \frac{1}{2}(A_m + A_d)y \cos \phi - x \sin \phi.$$

Experiment is not yet there, but will be soon(ish)

On the other hand, DACP contains both direct and indirect CPV contributions due to the lifetime acceptance of the selection criteria. For each state

$$A_{CP}(f) = a_{CP}^{dir}(f) \left( 1 + y \cos \phi \frac{\langle t \rangle}{\tau} \right) + a_{CP}^{ind}(f) \frac{\langle t \rangle}{\tau}$$

where  $\langle t \rangle$  is the average decay time and is experiment dependent! Since  $a_{CP}^{ind}$  is universal

$$\Delta A_{CP}(f) = \Delta a_{CP}^{dir}(f) \left( 1 + y \cos \phi \frac{\langle t \rangle}{\tau} \right) + \left( a_{CP}^{ind}(f) + \overline{a_{CP}^{dir}}(f) y \cos \phi \right) \frac{\Delta \langle t \rangle}{\tau}$$

$$\Delta \langle t \rangle / \tau = [9.83 \pm 0.22(\text{stat.}) \pm 0.19(\text{syst.})] \% \quad [\text{LHCb}]$$

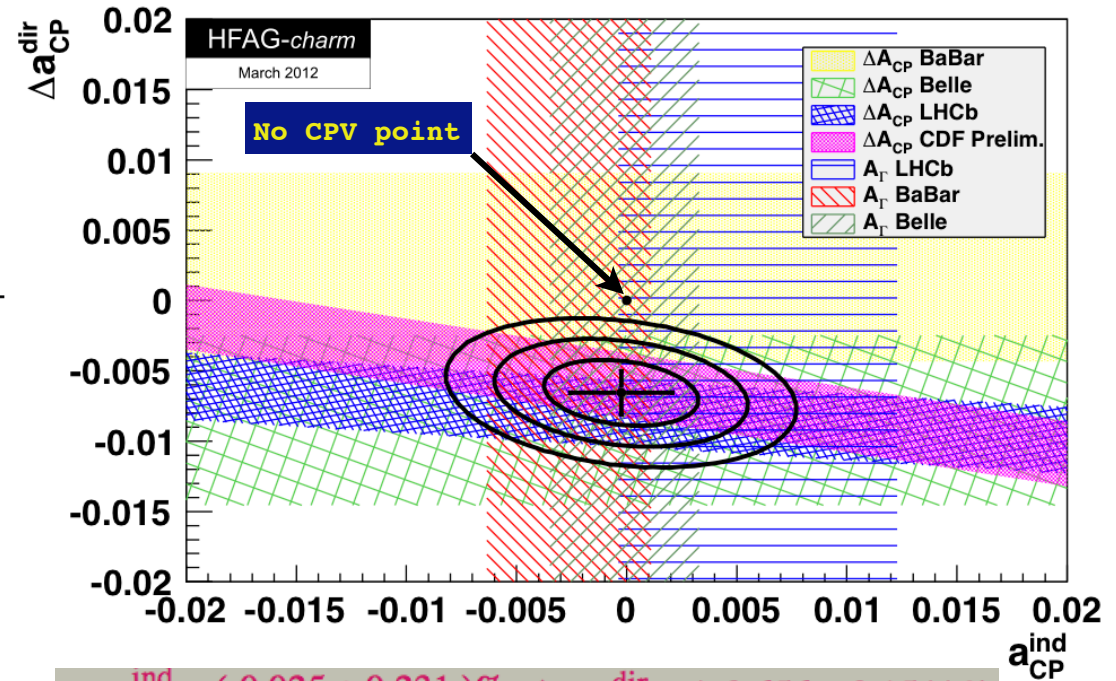
# Interplay of dir. and ind. CPV

Uses the following relations

$$A_\Gamma = -a_{CP}^{ind} - y_{CP} a_{CP}^{dir}$$

$$\Delta A_{CP} = \Delta a_{CP}^{dir} \left( 1 + y_{CP} \frac{\overline{\langle t \rangle}}{\tau} \right) + a_{CP}^{ind} \frac{\Delta \langle t \rangle}{\tau}$$

The data is consistent with no CPV at 0.006% CL



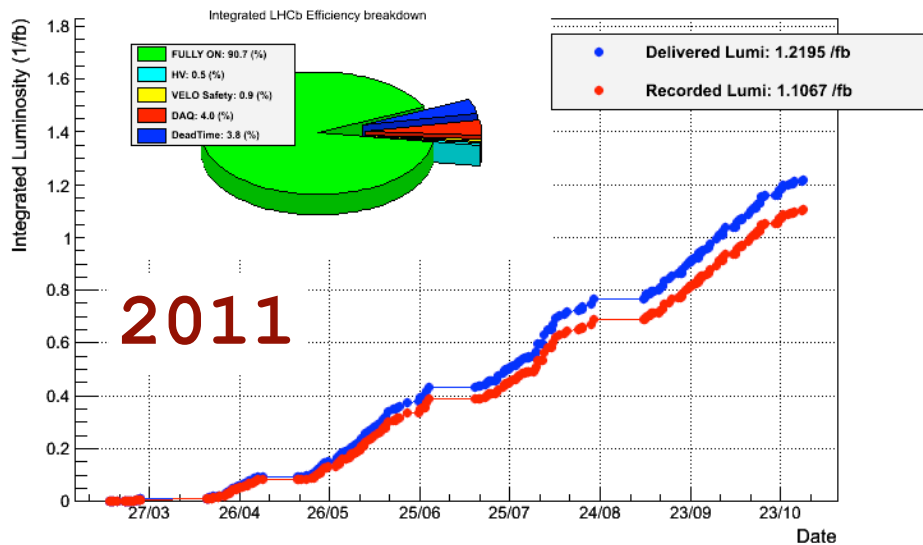
$$a_{CP}^{ind} = (-0.025 \pm 0.231)\% \quad \Delta a_{CP}^{dir} = (-0.656 \pm 0.154)\%$$

Year	Experiment	Results	$\Delta \langle t \rangle / \tau$	$\overline{\langle t \rangle} / \tau$
2007	Belle	$A_\Gamma = (0.01 \pm 0.30 \text{ (stat.)} \pm 0.15 \text{ (syst.)})\%$	-	-
2008	BaBar	$A_\Gamma = (0.26 \pm 0.36 \text{ (stat.)} \pm 0.08 \text{ (syst.)})\%$	-	-
2011	LHCb	$A_\Gamma = (-0.59 \pm 0.59 \text{ (stat.)} \pm 0.21 \text{ (syst.)})\%$	-	-
2008	BaBar	$A_{CP}(KK) = (0.00 \pm 0.34 \text{ (stat.)} \pm 0.13 \text{ (syst.)})\%$ $A_{CP}(\pi\pi) = (-0.24 \pm 0.52 \text{ (stat.)} \pm 0.22 \text{ (syst.)})\%$	0.00	1.00
2008	Belle	$\Delta A_{CP} = (-0.86 \pm 0.60 \text{ (stat.)} \pm 0.07 \text{ (syst.)})\%$	0.00	1.00
2011	LHCb	$\Delta A_{CP} = (-0.82 \pm 0.21 \text{ (stat.)} \pm 0.11 \text{ (syst.)})\%$	0.10	2.08
2012	CDF Prelim.	$\Delta A_{CP} = (-0.62 \pm 0.21 \text{ (stat.)} \pm 0.10 \text{ (syst.)})\%$	0.25	2.58
	Fit Result	Agreement with no CP violation CL = $6.1 \times 10^{-5}$		

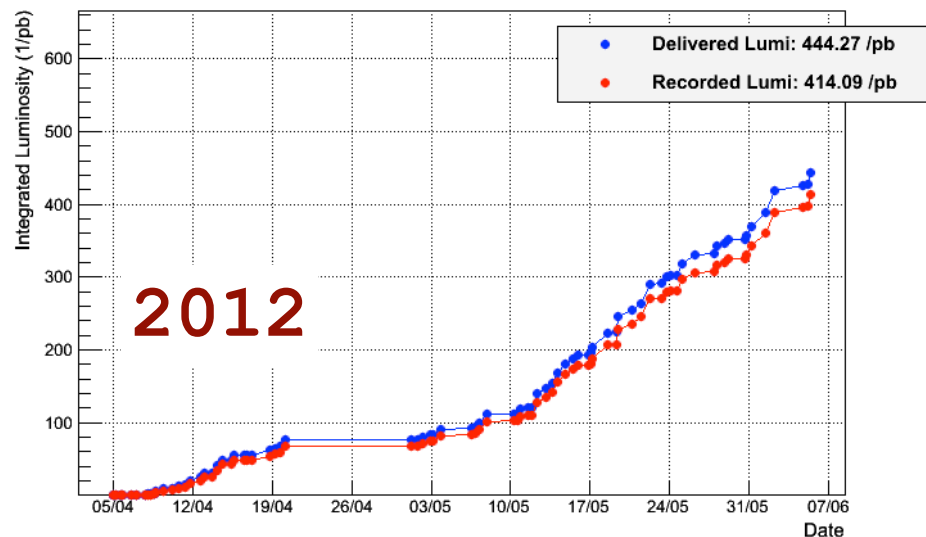
See Gersabeck et al.  
J.Phys.G G39 (2012) 045005

# Prospects in 2011/2012

LHCb Integrated Luminosity at 3.5 TeV in 2011



LHCb Integrated Luminosity at 4 TeV in 2012



>1 fb<sup>-1</sup> of data on tape in 2011, and 2012 datataking well underway. World's largest samples of two/three body D<sup>0</sup>/D<sup>+</sup>/D<sub>s</sub> decays available for analysis!

Analyses ongoing across a range of key CPV modes :  
D<sup>0</sup>→hh, D<sup>+</sup>→hhh, D<sup>0</sup>→hhhh, D<sup>+</sup>→K<sub>S</sub>h, D<sup>0</sup>→K<sub>S</sub>hh...

...stay tuned!

**BACKUPS**

# Why charm?

Only up-type quark where we can look for mixing/CPV : top doesn't hadronize, while  $\pi^0$  is its own antiparticle and CPV is tightly limited by CPT constraints

Large branching fractions ( $>10^{-3}$ ) for many CPV sensitive modes, very low SM backgrounds, hence potentially

$$\left[ \frac{\text{experim. NP signal}}{\text{SM CP "background"}} \right]_{\text{D decays}} > \left[ \frac{\text{experim. NP signal}}{\text{SM CP "background"}} \right]_{\text{B decays}}$$

Very broad range of modes which one can study

$$D^0(t) \rightarrow K_S \phi, K_S K^+ K^-, K_S \rho, K_S \pi^+ \pi^-, K_S \eta^{(\prime)}, K_S \omega$$

$$D^0(t) \rightarrow l^- \bar{\nu} K^+$$

$$D^0(t) \rightarrow K^+ K^-, \pi^+ \pi^-, K^+ \pi^-$$

$$D^0(t) \rightarrow 3\pi, K \bar{K} \pi$$

$$D^0(t) \rightarrow K^+ K^- \pi^+ \pi^-, K^+ K^- \mu^+ \mu^-, K^+ \pi^- \pi^+ \pi^-, K^+ K^- K^+ \pi^-$$

$$D^\pm \rightarrow K_S \pi^\pm, K_S K^\pm$$

$$D^\pm \rightarrow 3\pi, K \bar{K} \pi, K^\pm K^+ K^-, K^\pm \pi^+ \pi^-$$

$$D^0 \rightarrow \mu^+ \mu^- [, \gamma\gamma]$$

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Concentrate here on studies at LHCb in 2010/2011 data

$$D^0(t) \rightarrow K_S \phi, K_S K^+ K^-, K_S \rho, K_S \pi^+ \pi^-, K_S \eta^{(\prime)}, K_S \omega$$

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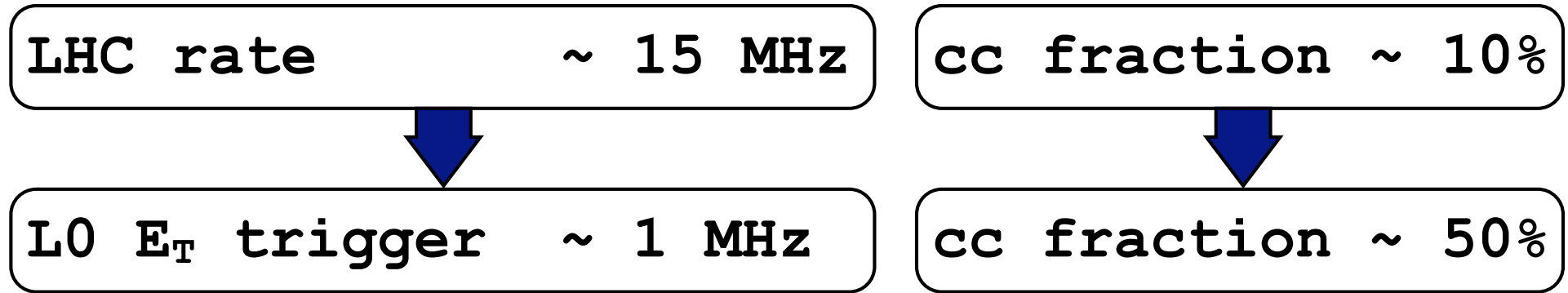
$$D^\pm \rightarrow K_S \pi^\pm, K_S K^\pm$$

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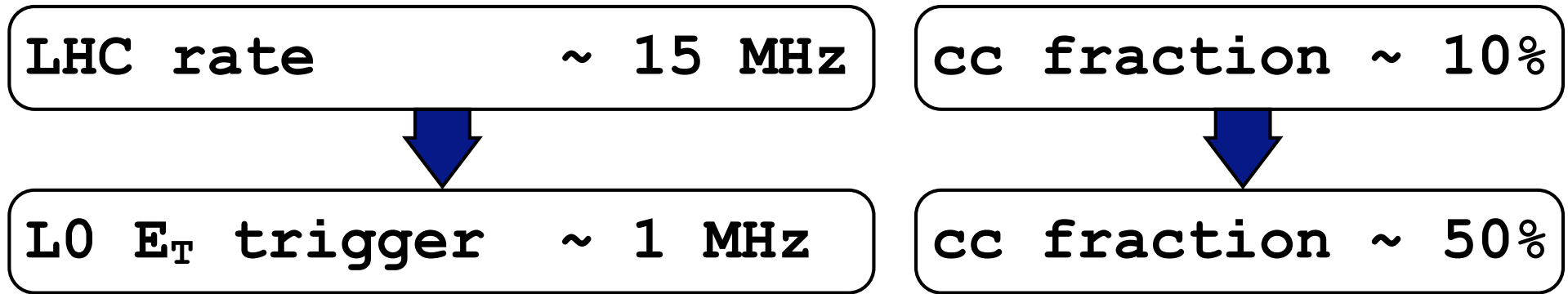
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# Trigger and DAQ



# Trigger and DAQ

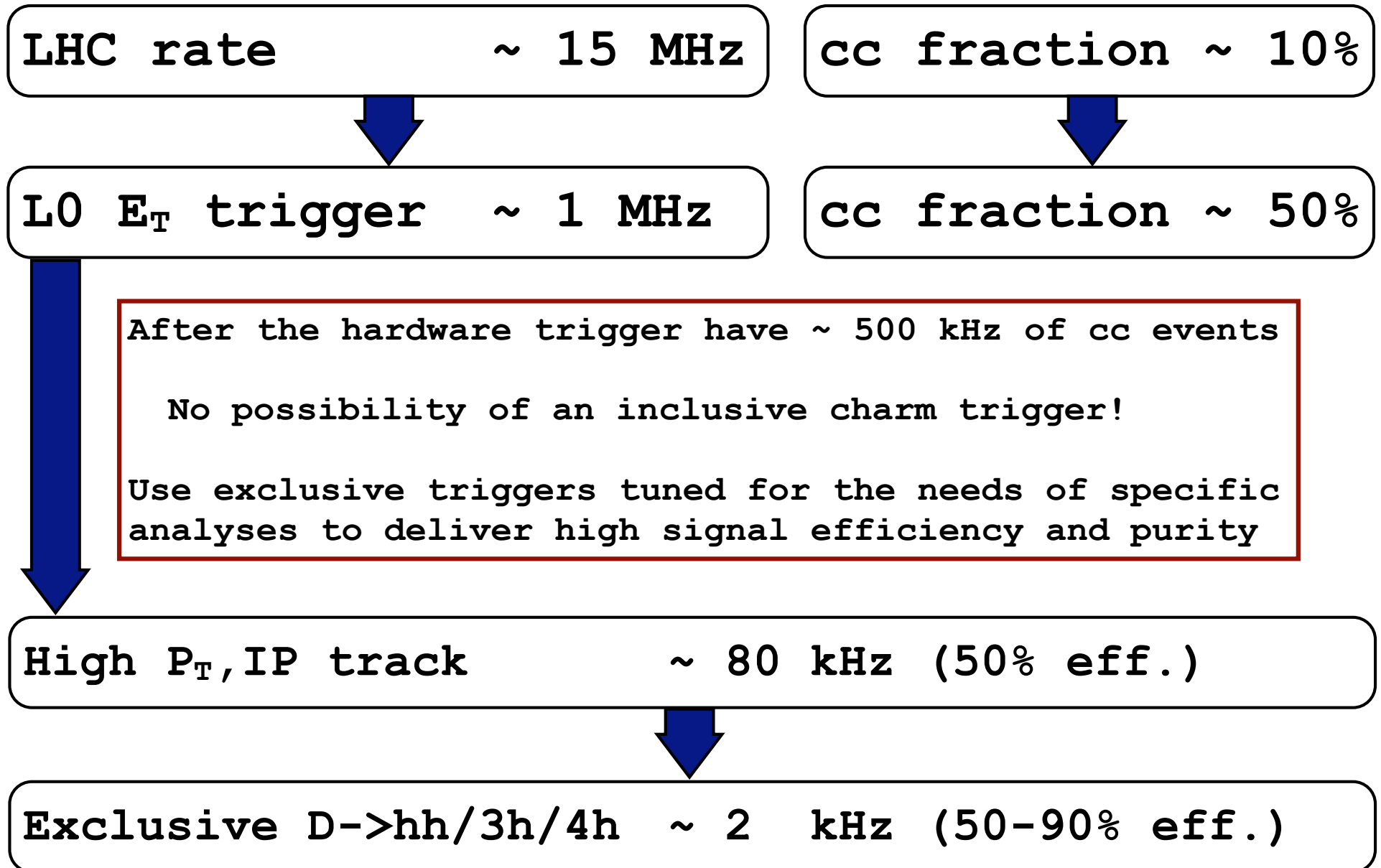


After the hardware trigger have ~ 500 kHz of cc events

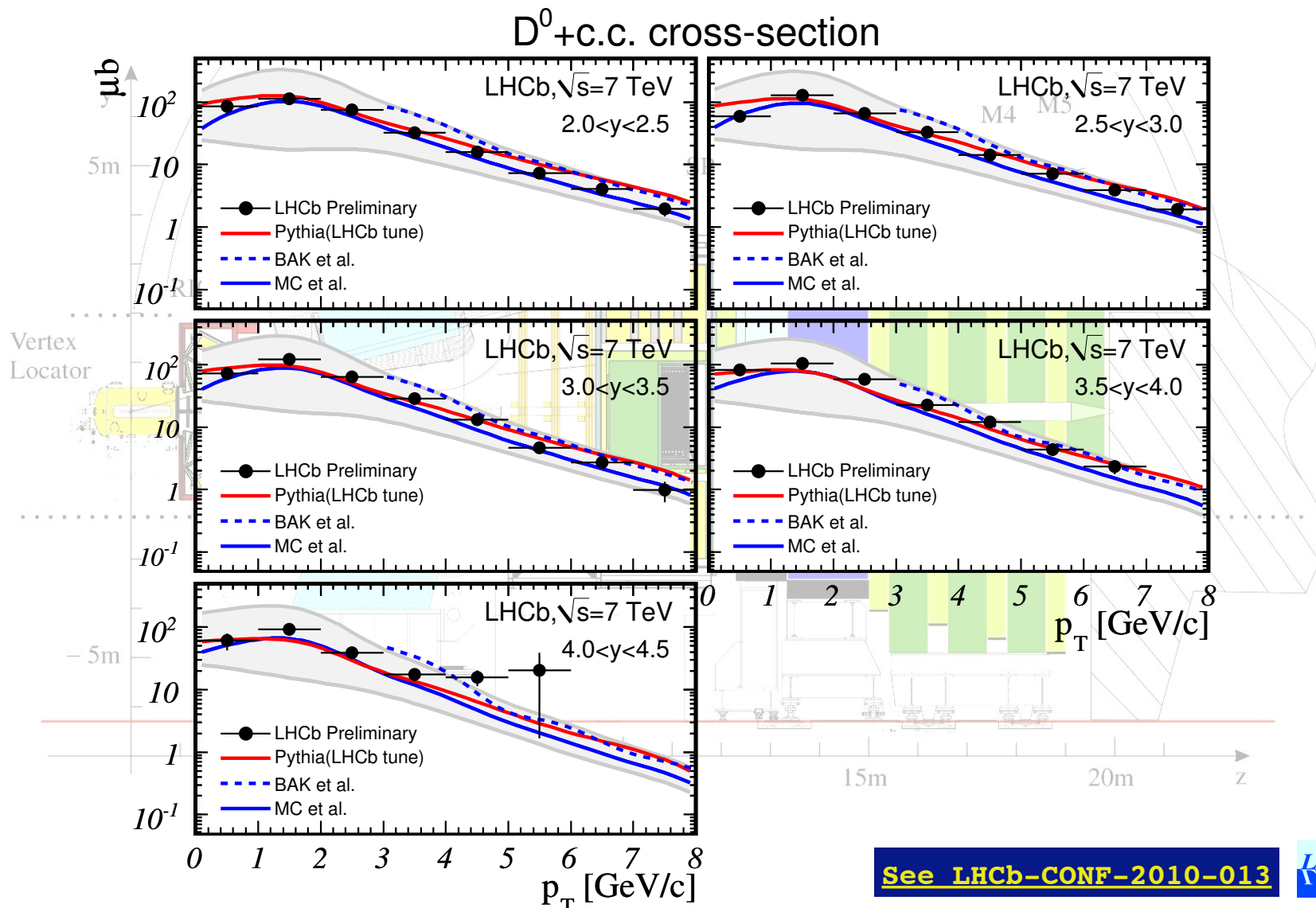
No possibility of an inclusive charm trigger!

Use exclusive triggers tuned for the needs of specific analyses to deliver high signal efficiency and purity

# Trigger and DAQ

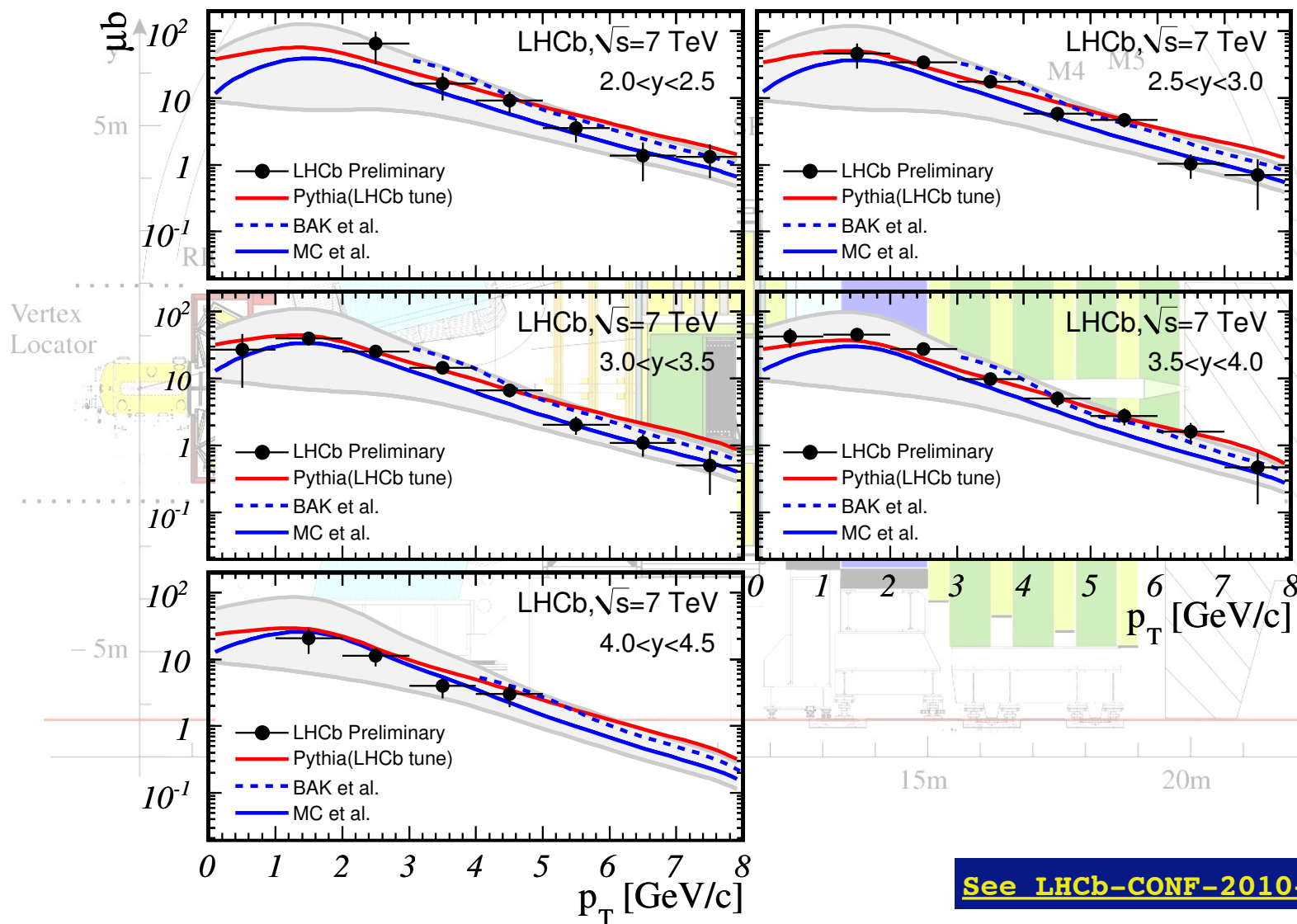


# Cross sections

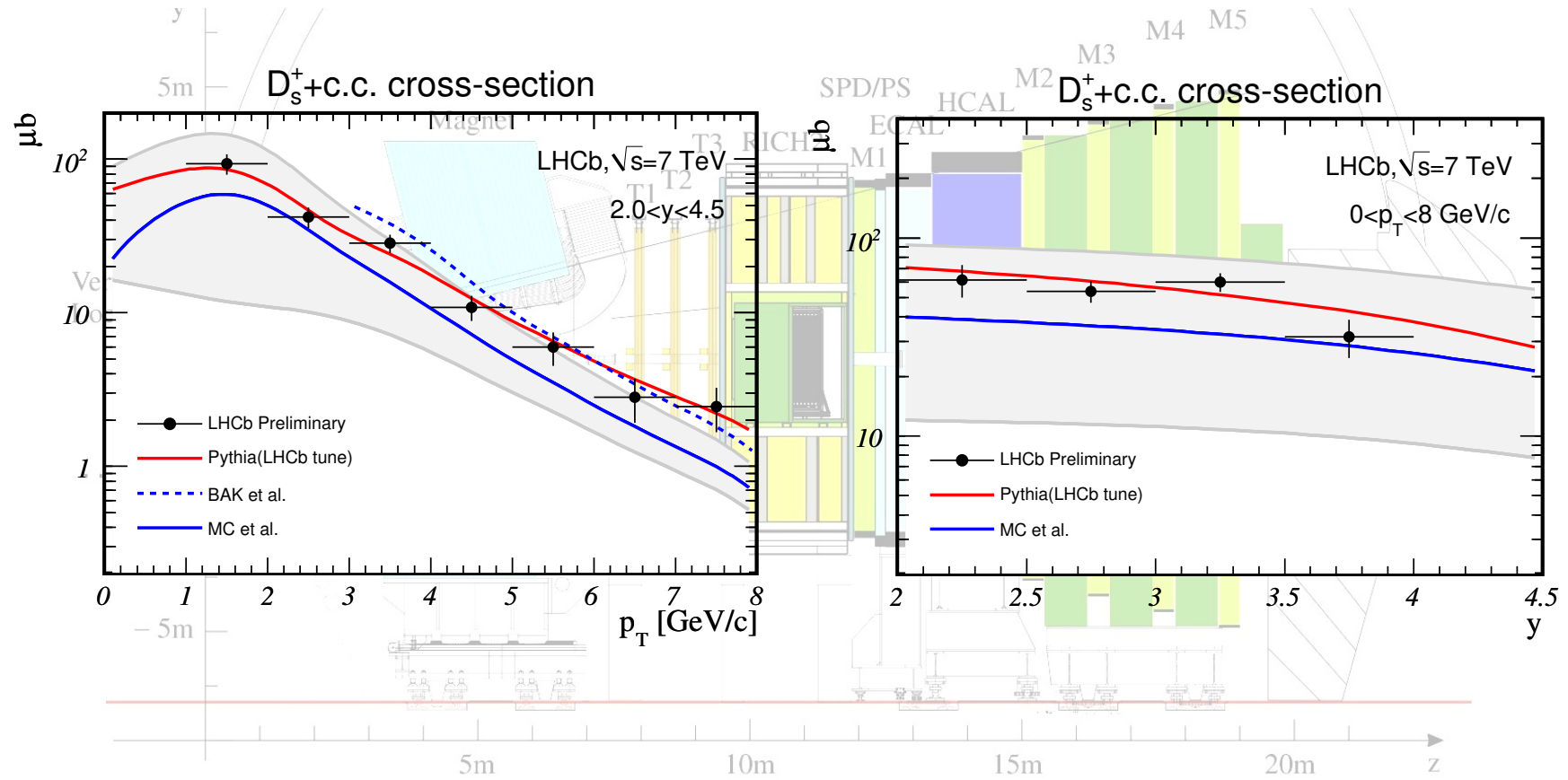


# Cross sections

$D^{*+} + c.c.$  cross-section



# Cross sections



See [LHCb-CONF-2010-013](#)

