# On certain group embeddings in cross-characteristic

#### A. Cossidente

Dipartimento di Matematica Università della Basilicata Contrada Macchia Romana, 85100 Potenza Italy

#### G. Marino

Dipartimento di Matematica e Applicazioni "R. Caccioppoli"
Università degli Studi di Napoli "Federico II"
Complesso Monte S. Angelo, 80126 Napoli
Italy
giuseppe.marino@unina2.it

#### Abstract

We explore some geometry of three group embeddings in cross-characteristic. The first embedding is  $Sz(8) < P\Omega_8^+(5)$ . We found that this embedding is associated with a cap in PG(7,5). The corresponding capcode is also determined. The second embedding is  $PSL_2(13) < G_2(4)$  and we explore its action on quadrics of PG(5,4) invariant under  $PSp_6(4)$ . The last embedding is  $PSL_2(7) < A_7 < PSU_3(25)$  which is associated with a spread of the Hermitian curve  $\mathcal{H}(2,25)$ .

#### 1 Introduction

Let G be one of the finite classical groups acting on a vector space V over a finite field F of characteristic r. It has been shown by Aschbacher [1] that every subgroup of G either lies in one of a family  $C_i$ ,  $i = 1, \ldots, 8$  of natural geometric subgroups of G or has the form  $N_G(X)$ , where X is a quasisimple subgroup of G and V is an absolutely irreducible X-module realisable over no proper subfield of F. In the latter case, the problem is that of deciding when  $N_G(X)$  is maximal. If  $N_G(X)$  lies in no subgroup in  $C_i$  but is not maximal in G then X must lie in a larger quasisimple subgroup Y of G. This leads to the problem of determining all triples (X, Y, V) of this kind. Let p be the characteristic of X. Much is known about the case p = r. Here, it is assumed that  $p \neq r$ , so that we are in the cross-characteristic case.

In this paper, we do not prove any maximality result, but we are mainly interested in the geometry of two group embeddings in cross-characteristic. The first embedding is  $Sz(8) < P\Omega_8^+(5)$ . We found that this embedding is associated with a cap in PG(7,5). The corresponding cap-code is also determined. The second embedding is  $PSL_2(13) < G_2(4)$  and we explore its action on quadrics of PG(5,4) invariant under  $PSp_6(4)$ .

# 2 The embedding $Sz(8) < P\Omega_8^+(5)$

The embedding  $Sz(8) < P\Omega_8^+(5)$  arises from the embedding of  $2 \cdot Sz(8)$  in  $P\Omega_8^+(5)$ . Notice that the double cover 2Sz(8) has a (p, 8)-representation only when p = 5 and it is absolutely irreducible. The group Sz(8) is maximal in  $P\Omega_8^+(5)$ , [4].

It follows from the ATLAS that the group 2.Sz(8) may be generated by the maps A and B which take  $(X_{\infty}, X_0, \dots, X_6)$  (subscripts mod 7) to  $(X_4, -X_5, X_2, X_1, -X_6, X_{\infty}, X_0, X_3)$  and  $(X_{\infty}, X_1, X_2, X_3, X_4, x_5, X_6, X_0)$ , respectively, together with the map  $C: X_{\infty} \mapsto 2X_{\infty} + X_0 + X_1 + X_2 + X_3 + X_4 + X_5 + X_6, X_t \mapsto X_{\infty} - X_{-t} - X_{3-t} + X_{4-t} + 3X_{1-t} + 3X_{2-t} + 3X_{6-t}$ .

Generating matrices for Sz(8) in its orthogonal representation are:

$$M1 = \begin{pmatrix} 1 & 4 & 3 & 2 & 1 & 3 & 3 & 0 \\ 3 & 3 & 0 & 1 & 4 & 1 & 2 & 2 \\ 2 & 3 & 2 & 3 & 2 & 3 & 1 & 2 \\ 0 & 2 & 4 & 3 & 4 & 3 & 2 & 4 \\ 1 & 2 & 1 & 4 & 0 & 2 & 3 & 2 \\ 3 & 4 & 2 & 4 & 3 & 0 & 3 & 1 \\ 2 & 1 & 4 & 0 & 3 & 1 & 2 & 2 \\ 4 & 0 & 2 & 2 & 2 & 1 & 2 & 4 \end{pmatrix}$$

and

$$M2 = \begin{pmatrix} 3 & 3 & 4 & 1 & 0 & 2 & 4 & 3 \\ 3 & 1 & 4 & 2 & 4 & 3 & 0 & 3 \\ 4 & 4 & 0 & 2 & 2 & 2 & 1 & 2 \\ 1 & 2 & 2 & 1 & 4 & 0 & 2 & 3 \\ 0 & 4 & 2 & 4 & 3 & 4 & 3 & 2 \\ 2 & 3 & 2 & 0 & 4 & 1 & 4 & 3 \\ 4 & 0 & 1 & 2 & 3 & 4 & 2 & 2 \\ 3 & 3 & 2 & 3 & 2 & 3 & 2 & 4 \end{pmatrix}.$$

The absolutely irreducible subgroup  $2.2^{3+3}:7$  of 2.Sz(8) (of index 65) is represented monomially. Thus there is a configuration of 65 coordinate frames preserved by 2.Sz(8) (and also by Sz(8)), but not by  $P\Omega_8^+(5)$ . These frames correspond to the points of the Suzuki-Tits ovoid in PG(3,8). The frames of course are, the canonical frame  $\{< e_1 >, < e_2 >, < e_3 >, < e_4 >, < e_5 >, < e_6 >, < e_7 >, < e_8 >\}$  and its images. By direct computations, the Sz(8)-invariant quadric of PG(7,5) is  $Q: X_0^2 + X_\infty^2 + X_1^2 + X_2^2 + X_3^2 + X_4^2 + X_5^2 + X_6^2 = 0$ .

From our previous discussion, Sz(8) has an orbit  $\mathcal{O}$  of size  $520 = 8 \times 65$  consisting of 65 non-singular frames. A point of  $\mathcal{O}$  corresponds to a secant section of the Suzuki-Tits ovoid of PG(3,8).

The map  $(X, Y, Z) \rightarrow (x, y, z)$  where,

$$Y = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix},$$

$$Z = \begin{pmatrix} 2 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 4 & 3 & 3 & 4 & 1 & 0 & 3 \\ 1 & 3 & 3 & 4 & 1 & 0 & 3 & 4 & 3 \\ 1 & 3 & 4 & 1 & 0 & 3 & 4 & 3 & 3 \\ 1 & 4 & 1 & 0 & 3 & 4 & 3 & 3 & 4 \\ 1 & 0 & 3 & 4 & 3 & 3 & 4 & 1 \\ 1 & 3 & 4 & 3 & 3 & 4 & 1 & 0 \end{pmatrix},$$

and

$$x = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 \\ 1 & 1 & 1 & 0 \\ 1 & 0 & 1 & 1 \end{pmatrix},$$

$$y = \begin{pmatrix} w^3 & 0 & 0 & 0 \\ 0 & w^2 & 0 & 0 \\ 0 & 0 & w^{-2} & 0 \\ 0 & 0 & 0 & w^{-3} \end{pmatrix},$$

$$z = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix},$$

gives an isomorphism between the orthogonal representation of Sz(8) and the natural GF(8)-representation. It turns out that  $\langle X^2, Y \rangle$  is the group  $2^3: 7$ , which fixes the point (1,0,0,0,0,0,0,0) in the GF(5)-representation and the point (0,1,0,0) in the natural representation.

It should be noted that the group  $2 \cdot Sz(8)$  is an exceptional cover, and may be treated sporadically. Its 8-dimensional representation is exceptional in the sense that it is not a 5-modular reduction of a characteristic zero representation of  $2 \cdot Sz(8)$ .

We have the following proposition.

**Proposition 2.1** The group Sz(8) in its orthogonal representation is the full stabilizer in  $P\Omega_8^+(5)$  of a set of 65 non-singular frames. The points of these frames are permuted in a single orbit  $\mathcal{O}$  and form a 520-cap of PG(7,5).

**Proof.** We need to prove the second part of the Proposition. Suppose there are three collinear points  $X_1$ ,  $X_2$ ,  $X_3$  on  $\mathcal{O}$ . Of course, they cannot be points of a frame and they can lie on at most three frames. Notice that, the group Sz(8) is 2-transitive on the 65 frames of  $\mathcal{O}$  and transitive on  $\mathcal{O}$ . It follows that we can choose a frame  $F_1$  as the canonical frame  $\{\langle e_1 \rangle, \langle e_2 \rangle, \langle e_3 \rangle, \langle e_4 \rangle, \langle e_5 \rangle, \langle e_6 \rangle, \langle e_7 \rangle, \langle e_8 \rangle\}$  and a frame  $F_2$  as the image of  $F_1$  under  $M_1$ . Direct and tedious calculations show that in any case we get a contradiction.

#### 2.1 The code associated to $\mathcal{O}$

A GF(q)-linear code C of length n, dimension k and minimum distance d is said to be a q-ary [n, k, d]-code.

For given q, n and k the largest value of d for which a q-ary [n, k, d]-code exists is denoted by  $d_q(n, k)$ . An extensive table of bounds on  $d_2(n, k)$  is provided in [2, Table I].

An n-cap of the (k-1)-dimensional projective space PG(k-1,q) over the finite field GF(q) is a set of n points no three of which are collinear. A cap is *complete* if it cannot be extended to a larger cap with addition of points.

In [12] a construction of linear codes arising from caps is described. More precisely, let K be an n-cap of  $\operatorname{PG}(k-1,q)$  generating the whole space and let A be the matrix whose columns are the homogeneous coordinate vectors of points of K. The code C arising from K is the [n,k]-code with generator matrix A. Codes arising from caps are called cap-codes.

For a cap-code given by an n-cap K of PG(k-1,q), any subspace of rank 1 of the code corresponds to a hyperplane of PG(k-1,q). The non-zero words of a rank 1 subspace have weight n-t if and only if the corresponding hyperplane intersects the cap K in exactly t points.

Using the software package MAGMA [3], we found that the cap-code associated with C has length 520, dimension 8 and minimum distance 387 and information rate 1/65. The automorphism group of C is  $2 \cdot Sz(8) \cdot 2$ .

### **3** The embedding $PSL_2(13) < G_2(4)$

Let PG(5,4) be the 5-dimensional projective space over GF(4) equipped with a symplectic polarity  $\Lambda$ . Let G be the group  $PSp_6(4)$  of  $\Lambda$ . Although  $PSp_6(4)$  contains a number of subgroups isomorphic to the Cartan-Dickson-Chevalley exceptional group  $G_2(4)$ , we write  $G_2(4)$  for a fixed representative. The group  $G_2(4)$  is maximal in  $PSp_6(4)$ , [5], [6].

When q is even, an orthogonal polarity is a symplectic one. The group G acts on the set  $\Phi$  of all quadrics of PG(5,4) inducing the polarity  $\Lambda$  and contains the corresponding orthogonal groups.

Let V be the 6-dimensional vector space underlying PG(5,4), and let  $\{e_1, e_2, e_3, e_4, e_5, e_6\}$  be a basis of V so that the basic nonsingular alternating form B has the canonical coordinate form

$$B(x,y) = \sum_{i=1}^{3} (x_i y_{3+i} + y_i x_{3+i}).$$
 (1)

A quadratic form Q on V has B for its corresponding polar form if and only if

$$B(x,y) = Q(x+y) + Q(x) + Q(y).$$
 (2)

From (1) it follows that Q is a quadratic form for a quadric in  $\Phi$ , with associated alternating form B, if and only if

$$Q(x) = x_1 x_4 + x_2 x_5 + x_3 x_6 + \sum_{i=1}^{6} \alpha_i x_i^2,$$

for some  $\alpha_i \in GF(4)$ . Dye shows in [10] that two members  $\mathcal{Q}_1, \mathcal{Q}_2$  of  $\Phi$  (with corresponding quadratic forms  $Q_1, Q_2$  associated with B) are equivalent, that is, have the same Witt index, if and only if there is a transvection of the group  $Sp_6(4)$  of B transforming  $Q_1$  into  $Q_2$ . The choices for the  $\alpha_i$ 's lead to  $4^6$  distinct quadratic forms associated with B. The group G has two orbits on  $\Phi$ , [8, Theorem 14]: an orbit of size  $4^3(4^3+1)/2$  consisting of maximal Witt index quadratic forms and denoted by  $\mathcal{H}_G$ , and an orbit of size  $4^3(4^3-1)/2$  consisting of non-maximal Witt index quadratic forms and denoted by  $\mathcal{E}_G$ . From a projective point of view, members of  $\mathcal{H}_G$  give rise to hyperbolic quadrics  $\mathcal{Q}^+(5,4)$  inducing the polarity  $\Lambda$ , and members of  $\mathcal{E}_G$  give rise to elliptic quadrics  $\mathcal{Q}^-(5,4)$  inducing the polarity  $\Lambda$ .

The Arf invariant of Q with respect to the basis  $\{e_1, e_2, e_3, e_4, e_5, e_6\}$  is

$$\Delta(Q) = \sum_{i=1}^{3} Q(e_i)Q(e_{3+i}).$$

Let  $L = \{\lambda^2 + \lambda : \lambda \in GF(4)\}$ , the image of the homomorphism  $\lambda \mapsto \lambda^2 + \lambda$  of the additive group  $GF(4)^+$  of GF(4) whose kernel is GF(2). Thus L is a subgroup of  $GF(4)^+$  isomorphic to  $GF(4)^+/GF(2)^+$ . Write  $\bar{\Delta}(Q)$  for the class of  $\Delta(Q)$  modulo L.

Dye shows in [9], [10] that  $\bar{\Delta}(Q)$  is independent of the choice of the symplectic base, and two quadratic froms associated with B, say  $Q_1$  and  $Q_2$ , are equivalent if and only if  $\bar{\Delta}(Q_1) = \bar{\Delta}(Q_2)$ . In particular, Q has non-maximal Witt index if and only if  $\Delta(Q)$  does not belong to L. The group  $G_2(4)$  acts transitively on both  $\mathcal{H}_G$  and  $\mathcal{E}_G$  [6].

From [5], the group  $H = PSL_2(13)$  is always a subgroup of  $G_2(4^i)$ ,  $i \ge 1$  and it is a maximal subgroup if and only if i = 1. The group H is generated by the matrices:

$$g1 = \left( \begin{array}{ccccc} \omega & \omega^2 & \omega^2 & 1 & 0 & \omega \\ \omega & 0 & \omega^2 & \omega^2 & 0 & 1 \\ 0 & 1 & \omega^2 & 0 & \omega & 1 \\ 1 & \omega^2 & \omega & 1 & 0 & \omega \\ 0 & 1 & 1 & 0 & \omega & 0 \\ 0 & \omega & \omega^2 & 0 & \omega^2 & 1 \end{array} \right),$$

and

$$g2 = \left( egin{array}{cccccc} \omega & 1 & 1 & 0 & 0 & 0 \ \omega^2 & 0 & 1 & 0 & 0 & 0 \ 0 & 0 & \omega^2 & 0 & 0 & 0 \ 1 & 1 & \omega^2 & \omega & \omega^2 & \omega^2 \ 1 & \omega & \omega & 0 & \omega^2 & \omega \ 0 & \omega^2 & \omega & 0 & 1 & 0 \end{array} 
ight).$$

where  $\omega^2 = \omega + 1$ . Direct computations show that H acts transitively on the set  $\mathcal{Y}$  of 14 quadrics of  $\mathcal{E}_G$  with equations  $x_1x_4 + x_2x_5 + x_3x_6 + \sum_{i=1}^6 \alpha_i x_i^2$ , where  $(\alpha_1, \alpha_2, \alpha_3, \alpha_4, \alpha_5, \alpha_6) \in$ :

$$\begin{split} &\{(1,0,\omega^2,\omega,0,\omega),(\omega,\omega,0,\omega,\omega^2),(\omega,1,\omega^2,\omega^2,\omega,\omega),\\ &(\omega^2,1,0,\omega^2,0,1),(\omega^2,\omega,1,1,\omega,\omega),(1,0,\omega,1,0,1),\\ &\omega^2,\omega,\omega^2,1,0,\omega),(\omega^2,0,1,1,\omega,1),(\omega^2,0,\omega,\omega,\omega^2,\omega),\\ &(0,1,1,\omega^2,1,1),(0,1,\omega,0,\omega,\omega^2),(0,\omega^2,1,\omega,\omega,1),\\ &(0,\omega^2,\omega^2,\omega,1,1),(\omega^2,1,0,\omega^2,\omega^2,\omega). \end{split}$$

**Proposition 3.1** The group  $PSL_2(13)$  as a subgroup of  $G_2(4)$  is the full stabilizer of a set  $\mathcal{Y}$  of 14 quadrics in  $\mathcal{E}_G$ . This action of H is equivalent to the primitive action of  $PSL_2(13)$  on the bases of PG(2,13) when  $PSL_2(13)$  is considered as stabilizer of a conic in PG(2,13).

**Remark 3.2** It should be noted that the group  $PSL_2(13)$  as a subgroup of  $G_2(4)$  is also the stabilizer of a certain distance 2-ovoid of the split Cayley Hexagon H(4), see [7].

# 4 The embedding $PSL_2(7) < A_7 < PSU_3(25)$

Let PG(2,25) denote the Desarguesian projective plane over the finite field GF(25). A Hermitian curve  $\mathcal{H}(2,25)$  in PG(2,25) is defined as the set of all isotropic points of a non-degenerate unitary polarity  $\bot$  of PG(2,25). The number of points of  $\mathcal{H}(2,25)$  is 126. If P is a point in PG(2,25), then the polar line  $P^\bot$  of P meets  $\mathcal{H}(2,25)$  in 1 or 6 points, according as P lies on  $\mathcal{H}(2,25)$  or does not. Lines of the first type are called tangents, those of the second type are called secants of  $\mathcal{H}(2,25)$ . There is just one tangent at every point  $P \in \mathcal{H}(2,25)$ , whereas the remaining 25 lines through P are secants. If  $P \notin \mathcal{H}(2,25)$ , then through P there are 6 tangents (meeting  $\mathcal{H}(2,25)$  in the points of  $P^\bot \cap \mathcal{H}(2,25)$ ) and 20 secants.

Let  $PSU_3(25)$  denote the special projective unitary group associated with  $\perp$ . The group  $PSU_3(q^2)$  acts doubly transitively on the set of points of  $\mathcal{H}(2,25)$ .

A spread of  $\mathcal{H}(2,25)$  is a set of 21 pairwise disjoint secants that partition the points of  $\mathcal{H}(2,25)$ .

An obvious method for constructing a spread of  $\mathcal{H}(2,25)$  requires one to start with a non-isotropic point  $P \in PG(2,25)$ . The set of secants to  $\mathcal{H}(2,25)$  through P, together with  $P^{\perp}$ , form a spread of  $\mathcal{H}(2,25)$ . This spread is called *regular*.

Let  $\mathcal{H}(2,25)$  be the Hermitian curve with equation  $X_1^6 + X_2^6 + X_3^6 = 0$ , where  $X_1, X_2, X_3$  are projective homogeneous coordinates in PG(2,25). The group K generated by the matrices

$$h_1 = \begin{pmatrix} \omega^4 & 1 & 3\\ \omega^8 & \omega^{10} & \omega^{16}\\ \omega^2 & \omega^{16} & \omega^4 \end{pmatrix},$$

and

$$h_2 = \left( \begin{array}{ccc} \omega^{11} & \omega^{17} & \omega^5 \\ \omega^7 & \omega^9 & \omega^5 \\ 4 & \omega^{19} & \omega^{11} \end{array} \right),$$

where  $\omega \in GF(25)$  and  $\omega^2 = \omega + 2$  is isomorphic to  $PSL_2(7)$  and leaves  $\mathcal{H}(2,25)$  invariant. The orbit of the line  $r: \omega^{14}X_1 + \omega^5X_2 - X_3 = 0$  under K gives rise to a spread of  $\mathcal{H}(2,25)$ . This spread was firstly noted by T. Penttila [14]. The group K is easily seen to be maximal in  $A_7$  which in turn is maximal in  $PSU_3(25)$ . For more geometry on the groups embedding  $A_7 < PSU_3(25)$ , see [11].

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