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Monday, May 8

Tuesday, May 9

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☺
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☺

Wednesday, May 10

Thursday, May 11

- 10:00am - 10:30am Benefits Briefing (Room 4521) - Johnson, Winter (Contractor)
- 10:00am - 10:30am Benefits Briefing (Room 4521) - Johnson, Winter (Contractor)
- 11:00am - 12:00pm Meeting (Room 4521) - Johnson, Winter (Contractor)
- 11:00am - 12:00pm Meeting (Room 4521) - Johnson, Winter (Contractor)
- 1:30pm - 2:00pm Meeting in Commissioner's Office (Commissioner's Office) - Johnson, Winter (Contractor)
- 1:30pm - 2:00pm Meeting in Commissioner's Office (Commissioner's Office) - Johnson, Winter (Contractor)
- 2:00pm - 2:30pm Waiver Briefing (Room 4521) - Johnson, Winter (Contractor)
- 2:00pm - 2:30pm Waiver Briefing (Room 4521) - Johnson, Winter (Contractor)
- 2:30pm - 3:30pm Ethics Briefing (Room 4521) - Johnson, Winter (Contractor)
- 2:30pm - 3:30pm Ethics Briefing (Room 4521) - Johnson, Winter (Contractor)

Friday, May 12

- 9:30am - 10:00am Hinman/Leder (Bill's office) - Leder, Paul
- 9:30am - 10:00am Hinman/Leder (Bill's office) - Leder, Paul
- 12:00pm - 12:30pm Pre-Meet for Briefing (Bill's Office) - Avakian, Stephanie
- 12:00pm - 12:30pm Pre-Meet for Briefing (Bill's Office) - Avakian, Stephanie
- 1:00pm - 1:30pm Meet re waiver w JC
- 1:00pm - 1:30pm Meet re waiver w JC
- 2:00pm - 2:30pm An Intro to OCC (your office) - Fredrickson, David R.
- 2:00pm - 2:30pm An Intro to OCC (your office) - Fredrickson, David R.
- 2:30pm - 3:00pm SEC Photo Appointment (SEC OSO) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm SEC Photo Appointment (SEC OSO) - Johnson, Winter (Contractor)
- 3:00pm - 3:30pm meeting (your office) - Summer, Barry
- 3:00pm - 3:30pm meeting (your office) - Summer, Barry
- 4:00pm - 4:30pm Meeting (Room 4521) - Johnson, Winter (Contractor)
- 4:00pm - 4:30pm Meeting (Room 4521) - Johnson, Winter (Contractor)

May 15, 2017 -
May 21, 2017

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Monday, May 15

- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 10:00am - 10:30am Cybersecurity EO (4520) - Daly, James (CF)
- 10:00am - 10:30am Cybersecurity EO (4520) - Daly, James (CF)
- 11:00am - 11:30am Meeting (Room 4521) - Johnson, Winter (Contractor)
- 11:00am - 11:30am Meeting (Room 4521) - Johnson, Winter (Contractor)
- 1:00pm - 2:00pm Organizational Structure Review (CF Only SP1 4520 Phone Small-12) - Arietti Gold, Charlene
- 1:00pm - 2:00pm Organizational Structure Review (CF Only SP1 4520 Phone Small-12) - Arietti Gold, Charlene
- 2:00pm - 4:00pm FW: HOLD -- Annual Meeting with Society of Corporate Secretaries (Multipurpose Room) - Fox, Raquel
- 2:00pm - 4:00pm FW: HOLD -- Annual Meeting with Society of Corporate Secretaries (Multipurpose Room) - Fox, Raquel
- 4:30pm - 5:00pm Discuss two waivers (Your Office) - Seaman, Michael P.
- 4:30pm - 5:00pm Discuss two waivers (Your Office) - Seaman, Michael P.

Tuesday, May 16

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 1:00pm - 2:00pm Discuss Rulemaking Projects (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:00pm - 2:00pm Discuss Rulemaking Projects (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:30pm - 4:00pm Check-In (Bill's Office) - Dingman, Lacey
- 3:30pm - 4:00pm Check-In (Bill's Office) - Dingman, Lacey

Wednesday, May 17

- 9:30am - 10:00am Budget review (JC office)
- 9:30am - 10:00am Budget review (JC office)
- 9:30am - 10:00am Benefits Information (Room 4521) - Johnson, Winter (Contractor)
- 9:30am - 10:00am Benefits Information (Room 4521) - Johnson, Winter (Contractor)
- 10:30am - 11:00am End of the shareholder proposal season logistics (your office) - Fredrickson, David R.
- 10:30am - 11:00am End of the shareholder proposal season logistics (your office) - Fredrickson, David R.
- 1:00pm - 2:00pm FW: OCIE Presents: The (Honest) Truth About Dishonesty with Author Dan Ariely (Home Office Auditorium - VTC (all regions)) - NEP Announcements
- 1:00pm - 2:00pm FW: OCIE Presents: The (Honest) Truth About Dishonesty with Author Dan Ariely (Home Office Auditorium - VTC (all regions)) - NEP Announcements
- 2:00pm - 3:00pm WKSI and Bad Actor waivers overview (BH office) - Parratt, Shelley
- 2:00pm - 3:00pm WKSI and Bad Actor waivers overview (BH office) - Parratt, Shelley
- 3:00pm - 4:00pm No-action and interpretive letters (Bill's office) - Fox, Raquel
- 3:00pm - 4:00pm No-action and interpretive letters (Bill's office) - Fox, Raquel
- 4:00pm - 4:15pm Brief meeting (Your office) - Jacobs, Barbara
- 4:00pm - 4:15pm Brief meeting (Your office) - Jacobs, Barbara
- 4:45pm - 5:00pm FW: Bad actor waiver request - briefing (Room 10733) - Glickman, Andrew Z.
- 4:45pm - 5:00pm FW: Bad actor waiver request - briefing (Room 10733) - Glickman, Andrew Z.
- 6:30pm - 7:00pm TC re Letter
- 6:30pm - 7:00pm TC re Letter

Thursday, May 18

b)(6)

Friday, May 19

b)(6)

May 22, 2017 -
May 28, 2017

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Monday, May 22

- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 11:00am - 12:00pm Continued Org. Discussion (Director's Office) - Arietti Gold, Charlene
- 11:00am - 12:00pm Continued Org. Discussion (Director's Office) - Arietti Gold, Charlene
- 1:00pm - 2:00pm holding time - Parratt, Shelley
- 1:00pm - 2:00pm holding time - Parratt, Shelley
- 2:00pm - 3:00pm CF-OCA Waivers and S-X Rule 3-10 (Bill's office) - Kronforst, Mark
- 2:00pm - 3:00pm CF-OCA Waivers and S-X Rule 3-10 (Bill's office) - Kronforst, Mark
- 3:00pm - 3:30pm Meeting with Cmmr Piwowar
- 3:00pm - 3:30pm Meeting with Cmmr Piwowar
- 3:30pm - 4:00pm XBRL Briefing (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:30pm - 4:00pm XBRL Briefing (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 5:00pm - 5:30pm Bill/Scott/Ken (Ken's Office (6735/SP2)) - Johnson, Kenneth A. (OCOO)
- 5:00pm - 5:30pm Bill/Scott/Ken (Ken's Office (6735/SP2)) - Johnson, Kenneth A. (OCOO)
- 5:30pm - 6:00pm Meeting (Room 10733) - Johnson, Winter (Contractor)
- 5:30pm - 6:00pm Meeting (Room 10733) - Johnson, Winter (Contractor)

Tuesday, May 23

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 12:05pm - 1:05pm (b)(6)
- 12:05pm - 1:05pm (b)(6)
- 1:00pm - 2:30pm discuss disclosure effectiveness (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 2:30pm discuss disclosure effectiveness (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 3:30pm FAST Act release (Bill's office) - Fox, Raquel
- 3:00pm - 3:30pm FAST Act release (Bill's office) - Fox, Raquel
- 4:00pm - 5:00pm Town Hall Prep (Bill's Office) - Seaman, Michael P.
- 4:00pm - 5:00pm Town Hall Prep (Bill's Office) - Seaman, Michael P.
- 6:30pm - 9:00pm (b)(6)
- 6:30pm - 9:00pm (b)(6)

Wednesday, May 24

- 10:00am - 11:30am discuss onramp project (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:30am discuss onramp project (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm meeting with Michele (your office) - Anderson, Michele
- 2:00pm - 3:00pm meeting with Michele (your office) - Anderson, Michele
- 3:00pm - 4:00pm Conflict Minerals and Pay Ratio (CF Only SP1 4520 Phone Small-12) - Fox, Raquel
- 3:00pm - 4:00pm Conflict Minerals and Pay Ratio (CF Only SP1 4520 Phone Small-12) - Fox, Raquel
- 4:30pm - 5:00pm Leidos (Hinman's office) - Fredrickson, David R.
- 4:30pm - 5:00pm Leidos (Hinman's office) - Fredrickson, David R.

Thursday, May 25

- 9:45am - 10:15am Town Hall Prep (Bill's Office) - Seaman, Michael P.
- 9:45am - 10:15am Town Hall Prep (Bill's Office) - Seaman, Michael P.
- 11:15am - 12:00pm Update w/CF - Stein, Kara
- 11:15am - 12:00pm Update w/CF - Stein, Kara
- 1:00pm - 3:00pm hold for town hall - Parratt, Shelley
- 1:00pm - 3:00pm hold for town hall - Parratt, Shelley
- 1:30pm - 2:30pm Conversation with the Director (Multipurpose Room and WebEx) - CF Business Management Office
- 1:30pm - 2:30pm Conversation with the Director (Multipurpose Room and WebEx) - CF Business Management Office
- 3:00pm - 3:30pm Resource Extraction briefing (Bill's office) - Fox, Raquel
- 3:00pm - 3:30pm Resource Extraction briefing (Bill's office) - Fox, Raquel
- 4:00pm - 5:00pm Med-X (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:00pm - 5:00pm Med-X (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 5:00pm - 7:00pm (b)(6)
- 5:00pm - 7:00pm (b)(6)

Friday, May 26

- 10:00am - 10:30am Follow-up on CF rulemaking (Bill's office) - Fox, Raquel
- 10:00am - 10:30am Follow-up on CF rulemaking (Bill's office) - Fox, Raquel
- 11:00am - 11:30am Introduction to OEEO (Bill's Office) - Henry, Peter
- 11:00am - 11:30am Introduction to OEEO (Bill's Office) - Henry, Peter
- 11:30am - 12:00pm Oregon state-enabled retirement savings program (Bill's office) - Fredrickson, David R.
- 11:30am - 12:00pm Oregon state-enabled retirement savings program (Bill's office) - Fredrickson, David R.
- 1:00pm - 1:30pm CF rulemaking (Bill's office) - Hinman, William
- 1:00pm - 1:30pm CF rulemaking (Bill's office) - Hinman, William
- 3:00pm - 3:30pm close out the week - Parratt, Shelley
- 3:00pm - 3:30pm close out the week - Parratt, Shelley

May 29, 2017 -
June 4, 2017

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Monday, May 29

- **Memorial Day (United States)**
- **Memorial Day (United States)**
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley ☺
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley ☺

Tuesday, May 30

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☺
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☺
- 3:00pm - 3:30pm chat about NYSE rule change - Hinman, William
- 3:00pm - 3:30pm chat about NYSE rule change - Hinman, William

Wednesday, May 31

- 10:00am - 10:30am NYSE Rule (Heather's office) - Hinman, William
- 10:00am - 10:30am NYSE Rule (Heather's office) - Hinman, William
- 11:00am - 11:30am Discuss Waivers (Bill's Office) - Seaman, Michael P.
- 11:00am - 11:30am Discuss Waivers (Bill's Office) - Seaman, Michael P.
- 1:00pm - 2:00pm transition - current disclosure review program - Parratt, Shelley
- 1:00pm - 2:00pm transition - current disclosure review program - Parratt, Shelley
- 2:00pm - 3:00pm meeting with Michele continued (your office) - Anderson, Michele
- 2:00pm - 3:00pm meeting with Michele continued (your office) - Anderson, Michele
- 3:30pm - 4:15pm Role of DERA (Bill's Office) - Bauguess, Scott
- 3:30pm - 4:15pm Role of DERA (Bill's Office) - Bauguess, Scott

Thursday, June 1

- 9:30am - 10:00am Meeting re CD&A (Bill's Office) - Krauskopf, Anne
- 9:30am - 10:00am Meeting re CD&A (Bill's Office) - Krauskopf, Anne
- 12:00pm - 1:00pm Bill Hinman and Chairman Clayton Lunch (Meet in Chairman's Office) - Clayton, Jay
- 12:00pm - 1:00pm Bill Hinman and Chairman Clayton Lunch (Meet in Chairman's Office) - Clayton, Jay
- 1:00pm - 1:30pm Continuity of Operations Briefing (Director's Office) - Arietti Gold, Charlene
- 1:00pm - 1:30pm Continuity of Operations Briefing (Director's Office) - Arietti Gold, Charlene
- 1:30pm - 2:00pm Cost Savings and Efficiency Request from OFM (Bill's Office) - Arietti Gold, Charlene
- 1:30pm - 2:00pm Cost Savings and Efficiency Request from OFM (Bill's Office) - Arietti Gold, Charlene
- 2:00pm - 2:30pm Introduction to OEEO (cont) (Bill's Office) - Henry, Peter
- 2:00pm - 2:30pm Introduction to OEEO (cont) (Bill's Office) - Henry, Peter
- 3:30pm - 4:00pm discuss CCMs and weekly enforcement matter summary (Bill's office) - Brightwell, Tamara
- 3:30pm - 4:00pm discuss CCMs and weekly enforcement matter summary (Bill's office) - Brightwell, Tamara
- 5:00pm - 5:30pm catch-up - Parratt, Shelley
- 5:00pm - 5:30pm catch-up - Parratt, Shelley

Friday, June 2

- 10:00am - 10:30am higgins call
- 10:00am - 10:30am higgins call
- 1:00pm - 2:00pm walk around CF? - Parratt, Shelley
- 1:00pm - 2:00pm walk around CF? - Parratt, Shelley
- 2:00pm - 2:30pm Canceled: Discuss Draft DAO 21(a) Report (4520) - Henseler, Timothy
- 2:00pm - 2:30pm Canceled: Discuss Draft DAO 21(a) Report (4520) - Henseler, Timothy
- 3:00pm - 4:00pm WKSJ Waiver Briefing (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:00pm - 4:00pm WKSJ Waiver Briefing (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.

June 5, 2017 -
June 11, 2017

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Monday, June 5

- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 11:30am - 12:00pm William Hinman Clearance & GETS/WPS (Room 4521) - Johnson, Winter (Contractor)
- 11:30am - 12:00pm William Hinman Clearance & GETS/WPS (Room 4521) - Johnson, Winter (Contractor)
- 2:00pm - 3:00pm Transition - DSO/Annual certification process (4521) - Parratt, Shelley
- 2:00pm - 3:00pm Transition - DSO/Annual certification process (4521) - Parratt, Shelley
- 3:30pm - 4:00pm catch up (your office) - Grim, David W.
- 3:30pm - 4:00pm catch up (your office) - Grim, David W.
- 5:00pm - 6:00pm follow-up (4521) - Parratt, Shelley
- 5:00pm - 6:00pm follow-up (4521) - Parratt, Shelley

Tuesday, June 6

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay

Wednesday, June 7

- 9:00am - 9:30am David Crane and Blu Hulsky re: SEC 5 year rule (Chairman's Conference Room) - Begany, Shelby
- 9:00am - 9:30am David Crane and Blu Hulsky re: SEC 5 year rule (Chairman's Conference Room) - Begany, Shelby
- 10:00am - 10:30am Introductions (William's Office) - Gibbs, Pamela
- 10:00am - 10:30am Introductions (William's Office) - Gibbs, Pamela
- 12:00pm - 1:00pm Discuss The DAO Draft 21(a) Report (4520) - Corpfin-ENFLiaison
- 12:00pm - 1:00pm Discuss The DAO Draft 21(a) Report (4520) - Corpfin-ENFLiaison
- 4:00pm - 4:30pm Discuss CF Special Act Awards (Bill's Office) - Pangalos, Eugene
- 4:00pm - 4:30pm Discuss CF Special Act Awards (Bill's Office) - Pangalos, Eugene

Thursday, June 8

- 9:30am - 10:00am meet and greet (4521) - Parratt, Shelley
- 9:30am - 10:00am meet and greet (4521) - Parratt, Shelley
- 2:00pm - 3:00pm possible 14a-8 rulemaking changes (Bill's office) - Fredrickson, David R.
- 2:00pm - 3:00pm possible 14a-8 rulemaking changes (Bill's office) - Fredrickson, David R.
- 3:00pm - 3:30pm FAST Act comments (Bill's office) - Fox, Raquel
- 3:00pm - 3:30pm FAST Act comments (Bill's office) - Fox, Raquel
- 3:30pm - 4:00pm Yahoo (Bill's Office) - LaMothe, Cicely
- 3:30pm - 4:00pm Yahoo (Bill's Office) - LaMothe, Cicely
- 4:00pm - 5:00pm Ceremonial Swearing-In (Bob Stebbins, OGC) (Ceremonial Room (10000)/ Terrace) - Clayton, Jay
- 4:00pm - 5:00pm Ceremonial Swearing-In (Bob Stebbins, OGC) (Ceremonial Room (10000)/ Terrace) - Clayton, Jay
- 5:00pm - 5:30pm follow up - Parratt, Shelley
- 5:00pm - 5:30pm follow up - Parratt, Shelley

Friday, June 9

- 10:30am - 11:00am Update on a few employee matters (Your office) - Arietti Gold, Charlene
- 10:30am - 11:00am Update on a few employee matters (Your office) - Arietti Gold, Charlene
- 12:00pm - 5:30pm (b)(6)
- 12:00pm - 5:30pm (b)(6)

June 12, 2017 -
June 18, 2017

June 2017

July 2017

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Monday, June 12

- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 4:00pm - 4:30pm discuss pricing ideas (historical guidance attached) - RESCHEDULED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:00pm - 4:30pm discuss pricing ideas (historical guidance attached) - RESCHEDULED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley

Tuesday, June 13

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 12:30pm - 2:00pm (b)(6)
- 12:30pm - 2:00pm
- 2:00pm - 3:00pm NYSE meeting (CF Only SP1 4520 Phone Small-12) - Fox, Raquel
- 2:00pm - 3:00pm NYSE meeting (CF Only SP1 4520 Phone Small-12) - Fox, Raquel
- 3:00pm - 4:00pm prep for Friday's stakeholder meeting (Bill's office) - McNair, Matt
- 3:00pm - 4:00pm prep for Friday's stakeholder meeting (Bill's office) - McNair, Matt
- 4:00pm - 4:15pm FAST Act follow-up (Bill's office) - Fox, Raquel
- 4:00pm - 4:15pm FAST Act follow-up (Bill's office) - Fox, Raquel
- 4:30pm - 5:30pm Meeting with Commissioner Pivowar (Room 10400) - Johnson, Winter (Contractor)
- 4:30pm - 5:30pm Meeting with Commissioner Pivowar (Room 10400) - Johnson, Winter (Contractor)
- 5:30pm - 6:00pm Meeting (Room 4521) - Johnson, Winter (Contractor)
- 5:30pm - 6:00pm Meeting (Room 4521) - Johnson, Winter (Contractor)

Wednesday, June 14

- Flag Day (United States)
- Flag Day (United States)
- 9:30am - 10:15am Upstate NY Coffee Club (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 9:30am - 10:15am Upstate NY Coffee Club (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 10:30am - 11:30am Discuss Society for Corporate Governance Questions (Bill's Office) - Seaman, Michael P.
- 10:30am - 11:30am Discuss Society for Corporate Governance Questions (Bill's Office) - Seaman, Michael P.
- 2:00pm - 2:30pm Discuss Waiver and Diversity Letters (Bill's Office) - Seaman, Michael P.
- 2:00pm - 2:30pm Discuss Waiver and Diversity Letters (Bill's Office) - Seaman, Michael P.

Thursday, June 15

- 9:00am - 9:20am Meeting (Room 4521) - Johnson, Winter (Contractor)
- 9:00am - 9:20am Meeting (Room 4521) - Johnson, Winter (Contractor)
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 11:00am - 11:30am Chairman's speech (Bill's speech) - Fox, Raquel
- 11:00am - 11:30am Chairman's speech (Bill's speech) - Fox, Raquel
- 11:30am - 12:00pm NYSE listing standard pre-meet (4520) - Fredrickson, David R.
- 11:30am - 12:00pm NYSE listing standard pre-meet (4520) - Fredrickson, David R.
- 12:00pm - 1:00pm spotify/nyse proposal to list with no uw/33 Act registration statement (TM workroom 7630) - Lawson, Sharon M.
- 12:00pm - 1:00pm spotify/nyse proposal to list with no uw/33 Act registration statement (TM workroom 7630) - Lawson, Sharon M.
- 2:30pm - 3:00pm Blue Sand Waiver Request (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:30pm - 3:00pm Blue Sand Waiver Request (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:00pm - 4:00pm Society conference (Bill's office) - Fox, Raquel
- 3:00pm - 4:00pm Society conference (Bill's office) - Fox, Raquel
- 5:00pm - 5:30pm update on FOIA - Parratt, Shelley
- 5:00pm - 5:30pm update on FOIA - Parratt, Shelley

Friday, June 16

- 10:00am - 10:30am JF call
- 10:00am - 10:30am JF call
- 11:30am - 12:00pm Bitcoin (Bill's office) - Garnett, Karen
- 11:30am - 12:00pm Bitcoin (Bill's office) - Garnett, Karen
- 2:00pm - 4:00pm Hold for Stakeholder Meeting (Multipurpose Room) - McNair, Matt
- 2:00pm - 4:00pm Hold for Stakeholder Meeting (Multipurpose Room) - McNair, Matt

June 19, 2017 -
June 25, 2017

June 2017							July 2017						
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Monday, June 19

- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 10:30am - 11:30am NYSE/Spotify meeting - updated with Webex info (4520) - Brightwell, Tamara
- 10:30am - 11:30am NYSE/Spotify meeting - updated with Webex info (4520) - Brightwell, Tamara
- 12:00pm - 12:30pm Meeting with Amy Starr OCMT (Room 4521) - Johnson, Winter (Contractor)
- 12:00pm - 12:30pm Meeting with Amy Starr OCMT (Room 4521) - Johnson, Winter (Contractor)
- 1:00pm - 1:15pm 3-05 Waivers (BH office) - Kronforst, Mark
- 1:00pm - 1:15pm 3-05 Waivers (BH office) - Kronforst, Mark
- 2:00pm - 3:00pm PwC investor survey (Wes office
100 F St NE
Washington DC 20002
United States) - Bricker, Wesley
- 2:00pm - 3:00pm PwC investor survey (Wes office
100 F St NE
Washington DC 20002
United States) - Bricker, Wesley
- 4:00pm - 4:30pm JC mtg
- 4:00pm - 4:30pm JC mtg

Tuesday, June 20

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 1:30pm - 2:00pm pre-meet (Funding Circle) (4521 or webex) - Parratt, Shelley
- 1:30pm - 2:00pm pre-meet (Funding Circle) (4521 or webex) - Parratt, Shelley
- 1:30pm - 2:00pm Pre-Meet Funding Circle (Room 4521 or Webex) - Johnson, Winter (Contractor)
- 1:30pm - 2:00pm Pre-Meet Funding Circle (Room 4521 or Webex) - Johnson, Winter (Contractor)
- 2:00pm - 3:00pm Funding Circle Introductory Conversation - Johnson, Winter (Contractor)
- 2:00pm - 3:00pm Funding Circle Introductory Conversation - Johnson, Winter (Contractor)
- 3:00pm - 3:30pm Meeting to provide feedback on call re: Spotify (Bill's office) - Bancroft, Rolaine S.
- 3:00pm - 3:30pm Meeting to provide feedback on call re: Spotify (Bill's office) - Bancroft, Rolaine S.
- 4:00pm - 5:00pm Call with The Vanguard Group (Chairmans Clayton's Office) - Johnson, Winter (Contractor)
- 4:00pm - 5:00pm Call with The Vanguard Group (Chairmans Clayton's Office) - Johnson, Winter (Contractor)

Wednesday, June 21

- 9:00am - 9:30am Pre-Meet (IAC) (Room 4521) - Johnson, Winter (Contractor)
- 9:00am - 9:30am Pre-Meet (IAC) (Room 4521) - Johnson, Winter (Contractor)
- 10:00am - 11:00am SEC Investor Advisory Committee (IAC) Executive Committee Meeting (Conference Room 4520) - Johnson, Winter (Contractor)
- 10:00am - 11:00am SEC Investor Advisory Committee (IAC) Executive Committee Meeting (Conference Room 4520) - Johnson, Winter (Contractor)
- 11:00am - 11:30am Hinman and Candidate (CF Office) - Begany, Shelby
- 11:00am - 11:30am Hinman and Candidate (CF Office) - Begany, Shelby
- 1:00pm - 1:30pm Meeting Re: Waivers (Steph's Office) - Avakian, Stephanie
- 1:00pm - 1:30pm Meeting Re: Waivers (Steph's Office) - Avakian, Stephanie
- 3:00pm - 4:00pm NYSE Meeting (CF Only SP1 4520 Phone Small-12) - Johnson, Winter (Contractor)
- 3:00pm - 4:00pm NYSE Meeting (CF Only SP1 4520 Phone Small-12) - Johnson, Winter (Contractor)
- 4:00pm - 5:00pm Introduction to BMO Functions (CF Only SP1 4520 Phone Small-12) - Pangalos, Eugene
- 4:00pm - 5:00pm Introduction to BMO Functions (CF Only SP1 4520 Phone Small-12) - Pangalos, Eugene

Thursday, June 22

- 12:00pm - 1:00pm Lunch (Union Station) - Walters, Barry
- 12:00pm - 1:00pm Lunch (Union Station) - Walters, Barry
- 3:30pm - 4:00pm Berkeley program - Parratt, Shelley
- 3:30pm - 4:00pm Berkeley program - Parratt, Shelley
- 4:00pm - 5:00pm recap on Spotify discussions? (4521) - Parratt, Shelley
- 4:00pm - 5:00pm recap on Spotify discussions? (4521) - Parratt, Shelley
- 5:00pm - 5:30pm Space Update (Bill's Office) - Arietti Gold, Charlene
- 5:00pm - 5:30pm Space Update (Bill's Office) - Arietti Gold, Charlene
- 5:30pm - 6:30pm Catching Up/ Social (10th Floor Terrace) - Clayton, Jay
- 5:30pm - 6:30pm Catching Up/ Social (10th Floor Terrace) - Clayton, Jay

Friday, June 23

- 9:30am - 9:45am Review Time Report (Room 4521) - Johnson, Winter (Contractor)
- 9:30am - 9:45am Review Time Report (Room 4521) - Johnson, Winter (Contractor)
- 10:00am - 10:30am Go over talking points for Chairman's speech (Bill's office) - Bancroft, Rolaine S.
- 10:00am - 10:30am Go over talking points for Chairman's speech (Bill's office) - Bancroft, Rolaine S.
- 11:00am - 11:30am NYSE exemption request (CONF RM SP1 4001 Phone Small-17) - Murphy, Elizabeth
- 11:00am - 11:30am NYSE exemption request (CONF RM SP1 4001 Phone Small-17) - Murphy, Elizabeth
- 11:30am - 12:00pm Meeting to feedback from NYSE meetings (Bill's office 4521) - Bancroft, Rolaine S.
- 11:30am - 12:00pm Meeting to feedback from NYSE meetings (Bill's office 4521) - Bancroft, Rolaine S.
- 2:00pm - 2:30pm Pre-meet for 2:45 US Chamber meeting (Bill's office) - Bancroft, Rolaine S.
- 2:00pm - 2:30pm Pre-meet for 2:45 US Chamber meeting (Bill's office) - Bancroft, Rolaine S.
- 2:45pm - 3:30pm FW: U.S. Chamber (Tom Quaadman / Brian O'Shea) Meeting w/ Bill Hinman (SEC HQ) - O'Shea, Brian
- 2:45pm - 3:30pm FW: U.S. Chamber (Tom Quaadman / Brian O'Shea) Meeting w/ Bill Hinman (SEC HQ) - O'Shea, Brian
- 4:30pm - 5:00pm BCLBE - Parratt, Shelley
- 4:30pm - 5:00pm BCLBE - Parratt, Shelley

June 26, 2017 -
July 2, 2017

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Monday, June 26

- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 11:00am - 12:00pm OSF briefing (ABS) (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 12:00pm OSF briefing (ABS) (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:00pm - 1:00pm CCRM Meeting (Room 4521) - Johnson, Winter (Contractor)
- 12:00pm - 1:00pm CCRM Meeting (Room 4521) - Johnson, Winter (Contractor)
- 1:30pm - 3:30pm Awards Ceremony (auditorium) - Parratt, Shelley
- 1:30pm - 3:30pm Awards Ceremony (auditorium) - Parratt, Shelley
- 4:30pm - 5:00pm CF-OCA Issues Summary - Pensions (Bill's office) - Shah, Nili
- 4:30pm - 5:00pm CF-OCA Issues Summary - Pensions (Bill's office) - Shah, Nili

Tuesday, June 27

- 9:30am - 10:00am FINAL - event with award winners (outside Bill's office) - Parratt, Shelley
- 9:30am - 10:00am FINAL - event with award winners (outside Bill's office) - Parratt, Shelley
- 9:30am - 10:00am Congratulations! (outside 4521) - Parratt, Shelley
- 9:30am - 10:00am Congratulations! (outside 4521) - Parratt, Shelley
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 1:30pm - 3:00pm Information Technology - Capital Planning Committee (ITCPC) Meeting (Conference Room Large SP1 #6000 -40-) - OIT_Project_Requests
- 1:30pm - 3:00pm Information Technology - Capital Planning Committee (ITCPC) Meeting (Conference Room Large SP1 #6000 -40-) - OIT_Project_Requests

Wednesday, June 28

- 8:30am - 10:30am Advanced Presentation Skills Training (Conference Room 4003) - Johnson, Winter (Contractor)
- 8:30am - 10:30am Advanced Presentation Skills Training (Conference Room 4003) - Johnson, Winter (Contractor)
- 10:30am - 11:30am ICO/Digital Token Briefing (Room 4521) - Johnson, Winter (Contractor)
- 10:30am - 11:30am ICO/Digital Token Briefing (Room 4521) - Johnson, Winter (Contractor)
- 11:30am - 12:00pm A/V Equipment Meeting (Room 4521) - Johnson, Winter (Contractor)
- 11:30am - 12:00pm A/V Equipment Meeting (Room 4521) - Johnson, Winter (Contractor)
- 2:00pm - 2:30pm discuss open items (Bill's office) - Bancroft, Rolaine S.
- 2:00pm - 2:30pm discuss open items (Bill's office) - Bancroft, Rolaine S.
- 4:00pm - 4:45pm ERG pre-meet (CONF RM SP3 4860 VTC Medium-14) - Bauguess, Scott
- 4:00pm - 4:45pm ERG pre-meet (CONF RM SP3 4860 VTC Medium-14) - Bauguess, Scott
- 4:45pm - 5:15pm discuss pay ratio (Bill's office) - Brightwell, Tamara
- 4:45pm - 5:15pm discuss pay ratio (Bill's office) - Brightwell, Tamara

Thursday, June 29

- 12:00am The Golden State of Governance 2017 National Conference (San Francisco, CA) - Johnson, Winter (Contractor)
- 12:00am The Golden State of Governance 2017 National Conference (San Francisco, CA) - Johnson, Winter (Contractor)
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay

Friday, June 30

- 12:00am The Golden State of Governance 2017 National Conference (San Francisco, CA) - Johnson, Winter (Contractor)
- 12:00am The Golden State of Governance 2017 National Conference (San Francisco, CA) - Johnson, Winter (Contractor)

July 2017

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August 2017

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Monday, July 3

- (b)(6)
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley ☺
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley ☺

Tuesday, July 4

- Independence Day (United States)
- Independence Day (United States)
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☺
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☺

Wednesday, July 5

- (b)(6)

Thursday, July 6

- 10:30am - 11:30am OCMT Briefing (Room 4521) - Johnson, Winter (Contractor)
- 10:30am - 11:30am OCMT Briefing (Room 4521) - Johnson, Winter (Contractor)
- 11:30am - 12:00pm Human Capital Planning Session with OHR--Background (Bill's Office) - Arietti Gold, Charlene
- 11:30am - 12:00pm Human Capital Planning Session with OHR--Background (Bill's Office) - Arietti Gold, Charlene
- 1:30pm - 2:30pm Business Roundtable Meeting Request (Room 4521) - Johnson, Winter (Contractor)
- 1:30pm - 2:30pm Business Roundtable Meeting Request (Room 4521) - Johnson, Winter (Contractor)
- 2:30pm - 3:30pm External Hire Request and 360s (Bill's Office) - Arietti Gold, Charlene
- 2:30pm - 3:30pm External Hire Request and 360s (Bill's Office) - Arietti Gold, Charlene

Friday, July 7

- LEAP TRAINING IS DUE TODAY (Room 4521) - Johnson, Winter (Contractor)
- LEAP TRAINING IS DUE TODAY (Room 4521) - Johnson, Winter (Contractor)
- 2:30pm - 3:30pm Meeting with Commissioner Piwowar - Johnson, Winter (Contractor)
- 2:30pm - 3:30pm Meeting with Commissioner Piwowar - Johnson, Winter (Contractor)

July 10, 2017 -
July 16, 2017

July 2017							August 2017						
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Monday, July 10

- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 11:30am - 11:45am IG Meet and Greet w/William Hinman (IG Suite 2952, SP3, 2d floor) - Edwards, Tawana W.
- 11:30am - 11:45am IG Meet and Greet w/William Hinman (IG Suite 2952, SP3, 2d floor) - Edwards, Tawana W.
- 1:00pm - 2:00pm Bill Hinman meeting with CII (100 F St NE, Washington, DC 20549 Room#4521) - Kylund Arnold
- 1:00pm - 2:00pm Bill Hinman meeting with CII (100 F St NE, Washington, DC 20549 Room#4521) - Kylund Arnold
- 1:00pm - 2:00pm HOLD: Council of Institutional Investors Meeting (Room 4521) - Johnson, Winter (Contractor)
- 1:00pm - 2:00pm HOLD: Council of Institutional Investors Meeting (Room 4521) - Johnson, Winter (Contractor)
- 2:30pm - 3:30pm Introduction (Bill's Office) - Arietti Gold, Charlene
- 2:30pm - 3:30pm Introduction (Bill's Office) - Arietti Gold, Charlene

Tuesday, July 11

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:00am - 12:30pm HOLD- Offering time to Small Businesses - Clayton, Jay
- 11:00am - 12:30pm HOLD- Offering time to Small Businesses - Clayton, Jay
- 11:30am - 11:45am SEC Regulations Meet and Greet (3000) - Shah, Nili
- 11:30am - 11:45am SEC Regulations Meet and Greet (3000) - Shah, Nili
- 4:00pm - 5:00pm NYC Bar Association M&A Committee Letter (Bill's office) - Yu, Ted
- 4:00pm - 5:00pm NYC Bar Association M&A Committee Letter (Bill's office) - Yu, Ted

Wednesday, July 12

- 9:30am - 10:00am Catch up and updates (Bill's office) - Bancroft, Rolaine S.
- 9:30am - 10:00am Catch up and updates (Bill's office) - Bancroft, Rolaine S.
- 10:00am - 11:00am Sustainability Accounting Standards Board (SASB) Meeting (CF Only SP1 4520 Phone Small-12) - Johnson, Winter (Contractor)
- 10:00am - 11:00am Sustainability Accounting Standards Board (SASB) Meeting (CF Only SP1 4520 Phone Small-12) - Johnson, Winter (Contractor)
- 2:00pm - 3:00pm Discuss FAST Act proposal (Bill's office) - Brightwell, Tamara
- 2:00pm - 3:00pm Discuss FAST Act proposal (Bill's office) - Brightwell, Tamara
- 4:30pm - 5:30pm update (4521) - Parratt, Shelley
- 4:30pm - 5:30pm update (4521) - Parratt, Shelley

Thursday, July 13

- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 1:00pm - 1:30pm Broadridge meeting (4520) - Brightwell, Tamara
- 1:00pm - 1:30pm Broadridge meeting (4520) - Brightwell, Tamara
- 1:30pm - 2:00pm Hold for meeting with Broadridge - Bancroft, Rolaine S.
- 1:30pm - 2:00pm Hold for meeting with Broadridge - Bancroft, Rolaine S.
- 2:30pm - 3:00pm Discuss Rule 701 and ENF sweep (4520) - Brightwell, Tamara
- 2:30pm - 3:00pm Discuss Rule 701 and ENF sweep (4520) - Brightwell, Tamara
- 3:30pm - 4:00pm Quick Catch Up and signatures-- adding in discussion of 360 reviews (Your office) - Arietti Gold, Charlene
- 3:30pm - 4:00pm Quick Catch Up and signatures-- adding in discussion of 360 reviews (Your office) - Arietti Gold, Charlene
- 4:00pm - 5:00pm 14a-8 proxy access proposal (Bill's office) - McNair, Matt
- 4:00pm - 5:00pm 14a-8 proxy access proposal (Bill's office) - McNair, Matt

Friday, July 14

- 10:15am - 12:00pm discuss rulemaking (Bill's office) - Brightwell, Tamara
- 10:15am - 12:00pm discuss rulemaking (Bill's office) - Brightwell, Tamara
- 12:30pm - 1:30pm SEC Speaks Planning Call - Grace O'Hanlon
- 12:30pm - 1:30pm SEC Speaks Planning Call - Grace O'Hanlon
- 4:30pm - 5:00pm Review Talking Points (Your office) - Arietti Gold, Charlene
- 4:30pm - 5:00pm Review Talking Points (Your office) - Arietti Gold, Charlene

July 17, 2017 -
July 23, 2017

July 2017							August 2017						
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Monday, July 17

- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 3:00pm - 4:00pm discuss Spotify (4520) - Brightwell, Tamara
- 3:00pm - 4:00pm discuss Spotify (4520) - Brightwell, Tamara

Tuesday, July 18

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:30pm discuss Spotify (4520) - Brightwell, Tamara
- 11:30am - 12:30pm discuss Spotify (4520) - Brightwell, Tamara
- 3:00pm - 4:00pm prep for Spotify meeting (room change - 4520) - Brightwell, Tamara
- 3:00pm - 4:00pm prep for Spotify meeting (room change - 4520) - Brightwell, Tamara
- 5:00pm - 5:30pm Welcoming New Faces to the SEC (Drinks on Chairman's Terrace) - Clayton, Jay
- 5:00pm - 5:30pm Welcoming New Faces to the SEC (Drinks on Chairman's Terrace) - Clayton, Jay

Wednesday, July 19

- 11:00am - 12:00pm Confirmed meeting with Spotify and Morgan Stanley (Rm 5000) - Bancroft, Rolaine S.
- 11:00am - 12:00pm Confirmed meeting with Spotify and Morgan Stanley (Rm 5000) - Bancroft, Rolaine S.
- 12:00pm - 1:00pm Spotify Debrief (5000 or 4520) - Bancroft, Rolaine S.
- 12:00pm - 1:00pm Spotify Debrief (5000 or 4520) - Bancroft, Rolaine S.
- 4:00pm - 4:30pm FAQs - Parratt, Shelley
- 4:00pm - 4:30pm FAQs - Parratt, Shelley
- 5:00pm - 6:00pm
- 5:00pm - 6:00pm

Thursday, July 20

- 9:45am - 10:00am Discussion re Waivers (Bill's Office) - Avakian, Stephanie
- 9:45am - 10:00am Discussion re Waivers (Bill's Office) - Avakian, Stephanie
- 10:30am - 11:00am Catch-UP w/ Barry Summer (Room 4521) - Johnson, Winter (Contractor)
- 10:30am - 11:00am Catch-UP w/ Barry Summer (Room 4521) - Johnson, Winter (Contractor)
- 11:00am - 11:30am Catch-UP w/ Elizabeth Murphy (Room 4521) - Johnson, Winter (Contractor)
- 11:00am - 11:30am Catch-UP w/ Elizabeth Murphy (Room 4521) - Johnson, Winter (Contractor)
- 1:30pm - 2:00pm Catch-UP w/ Karen Garnett (Room 4521) - Johnson, Winter (Contractor)
- 1:30pm - 2:00pm Catch-UP w/ Karen Garnett (Room 4521) - Johnson, Winter (Contractor)
- 2:00pm - 2:30pm Catch-UP w/ David Fredrickson (Room 4521) - Johnson, Winter (Contractor)
- 2:00pm - 2:30pm Catch-UP w/ David Fredrickson (Room 4521) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Catch-UP w/ Michele Anderson (Room 4521) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Catch-UP w/ Michele Anderson (Room 4521) - Johnson, Winter (Contractor)

Friday, July 21

- 9:30am - 10:30am wrap up Qs on draft process - Parratt, Shelley
- 9:30am - 10:30am wrap up Qs on draft process - Parratt, Shelley
- 10:30am - 11:00am discuss WKSJ matter (Bill's office) - Brightwell, Tamara
- 10:30am - 11:00am discuss WKSJ matter (Bill's office) - Brightwell, Tamara
- 11:00am - 12:00pm Public Citizen Meeting/Americans for Financial Reform (CONF RM SP1 4000 VTC/WebEx Large-41) - Johnson, Winter (Contractor)
- 11:00am - 12:00pm Public Citizen Meeting/Americans for Financial Reform (CONF RM SP1 4000 VTC/WebEx Large-41) - Johnson, Winter (Contractor)
- 2:00pm - 2:30pm Chat re: Emerging Risk Discussion Group (Bill's Office) - LaMothe, Cicely
- 2:00pm - 2:30pm Chat re: Emerging Risk Discussion Group (Bill's Office) - LaMothe, Cicely

July 2017							August 2017						
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Monday, July 24

- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley ☺
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley ☺
- 11:00am - 11:30am Financial Management Oversight Committee orientation (CF Only SP1 4520 Phone Small-12) - Luisi, Shelly C.
- 11:00am - 11:30am Financial Management Oversight Committee orientation (CF Only SP1 4520 Phone Small-12) - Luisi, Shelly C.
- 1:30pm - 2:00pm Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☺
- 1:30pm - 2:00pm Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☺
- 3:00pm - 4:00pm 14a-8 term sheet (Bill's office) - McNair, Matt
- 3:00pm - 4:00pm 14a-8 term sheet (Bill's office) - McNair, Matt
- 4:00pm - 4:30pm Touching Base (b)(6) (Chairman's Office) - Clayton, Jay
- 4:00pm - 4:30pm Touching Base (Chairman's Office) - Clayton, Jay

Tuesday, July 25

- 9:30am - 10:00am FinTech Update (Bill's Office) - Garnett, Karen
- 9:30am - 10:00am FinTech Update (Bill's Office) - Garnett, Karen
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☺
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☺
- 10:30am - 11:15am FW: Weekly Division and Office Director Meeting (Ceremonial Room) - Barnett, Gary
- 10:30am - 11:15am FW: Weekly Division and Office Director Meeting (Ceremonial Room) - Barnett, Gary
- 12:30pm - 1:30pm Human Capital Planning Session - CorpFin (Bill's Office) - Dingman, Lacey
- 12:30pm - 1:30pm Human Capital Planning Session - CorpFin (Bill's Office) - Dingman, Lacey
- 1:30pm - 2:30pm Johnathan Short/General Counsel of Intercontinental Exchange (Rm 4520) - Bancroft, Rolaine S.
- 1:30pm - 2:30pm Johnathan Short/General Counsel of Intercontinental Exchange (Rm 4520) - Bancroft, Rolaine S.
- 3:00pm - 4:00pm Emerging Risk Discussion Group (Ceremonial Room, Room 10000) - Memon, Sean ☺
- 3:00pm - 4:00pm Emerging Risk Discussion Group (Ceremonial Room, Room 10000) - Memon, Sean ☺
- 4:30pm - 4:45pm NEC (10704) - Wood, Bryan
- 4:30pm - 4:45pm NEC (10704) - Wood, Bryan
- 4:45pm - 5:00pm McHenry staff (b)(6) - Wood, Bryan
- 4:45pm - 5:00pm McHenry staff (b)(6) - Wood, Bryan
- 5:00pm - 5:15pm CFTC Call (b)(6) - Wood, Bryan
- 5:00pm - 5:15pm CFTC Call (b)(6) - Wood, Bryan
- 5:15pm - 5:30pm FSC Majority (b)(6) - Wood, Bryan
- 5:15pm - 5:30pm FSC Majority (b)(6) - Wood, Bryan
- 5:30pm - 5:45pm Senate Banking Majority (b)(6) - Wood, Bryan
- 5:30pm - 5:45pm Senate Banking Majority (b)(6) - Wood, Bryan
- 5:45pm - 6:00pm FSC Minority (b)(6) - Wood, Bryan
- 5:45pm - 6:00pm FSC Minority (b)(6) - Wood, Bryan
- 6:00pm - 6:15pm Senate Banking Minority (b)(6) - Wood, Bryan
- 6:00pm - 6:15pm Senate Banking Minority (b)(6) - Wood, Bryan

Wednesday, July 26

- 9:30am - 10:30am Coffee w/ M. Kronforst and D. Fredrickson's Team (CONF RM*SP1 9300/Outdoor Terrace) - Johnson, Winter (Contractor)
- 9:30am - 10:30am Coffee w/ M. Kronforst and D. Fredrickson's Team (CONF RM*SP1 9300/Outdoor Terrace) - Johnson, Winter (Contractor)
- 10:30am - 11:00am discuss resource extraction rulemaking (4520) - Brightwell, Tamara
- 10:30am - 11:00am discuss resource extraction rulemaking (4520) - Brightwell, Tamara
- 11:00am - 12:00pm discuss conflict minerals - term sheet added (4520) - Brightwell, Tamara
- 11:00am - 12:00pm discuss conflict minerals - term sheet added (4520) - Brightwell, Tamara
- 1:30pm - 2:30pm Catching Up (Your office) - Arietti Gold, Charlene
- 1:30pm - 2:30pm Catching Up (Your office) - Arietti Gold, Charlene
- 3:00pm - 4:00pm Barrier Analysis Briefing (Conference room 4520) - Hennen, Martha
- 3:00pm - 4:00pm Barrier Analysis Briefing (Conference room 4520) - Hennen, Martha
- 4:30pm - 5:00pm Discuss Getting to Know You Event (Bill's Office) - Seaman, Michael P.
- 4:30pm - 5:00pm Discuss Getting to Know You Event (Bill's Office) - Seaman, Michael P.

Thursday, July 27

- 10:30am - 11:30am FW: FMO Meeting (CONF RM SP2 8280 VTC/WebEx Large-30) - Kauffman, Caryn
- 10:30am - 11:30am FW: FMO Meeting (CONF RM SP2 8280 VTC/WebEx Large-30) - Kauffman, Caryn
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☺
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☺
- 11:00am - 11:15am test WebEx meeting for the VOIP team to troubleshoot (Not a live meeting) - Gunsteens, Jeffrey (Contractor)
- 11:00am - 11:15am test WebEx meeting for the VOIP team to troubleshoot (Not a live meeting) - Gunsteens, Jeffrey (Contractor)
- 11:30am - 12:00pm Small Business Advocate (Bill's office) - Fox, Raquel
- 11:30am - 12:00pm Small Business Advocate (Bill's office) - Fox, Raquel
- 1:00pm - 2:00pm touch base on Spotify (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 2:00pm touch base on Spotify (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 4:00pm discuss pay ratio - webex and room change (4000) - Brightwell, Tamara
- 3:00pm - 4:00pm discuss pay ratio - webex and room change (4000) - Brightwell, Tamara
- 4:00pm - 5:00pm Discuss Projects (Bill's Office) - Seaman, Michael P.
- 4:00pm - 5:00pm Discuss Projects (Bill's Office) - Seaman, Michael P.
- 5:00pm - 5:30pm Quick touch base (Bill's Office) - Arietti Gold, Charlene
- 5:00pm - 5:30pm Quick touch base (Bill's Office) - Arietti Gold, Charlene
- 5:30pm - 6:00pm catch up - Parratt, Shelley
- 5:30pm - 6:00pm catch up - Parratt, Shelley

Friday, July 28

- (b)(6)
- 10:00am - 10:30am Canceled: Discuss Getting to Know You Event II (Bill's Office) - Seaman, Michael P.
- 10:00am - 10:30am Canceled: Discuss Getting to Know You Event II (Bill's Office) - Seaman, Michael P.
- 11:00am - 11:30am Piowar
- 11:00am - 11:30am Piowar

July 2017							August 2017						
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Monday, July 31

- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 4:00pm - 5:00pm FinTech Briefing (CF Only SP1 4520 Phone Small-12) - Garnett, Karen
- 4:00pm - 5:00pm FinTech Briefing (CF Only SP1 4520 Phone Small-12) - Garnett, Karen

Tuesday, August 1

- 9:30am - 10:30am Coffee w/ J. Daly and C. LaMothe's Team (CONF RM*SP1 9300/Outdoor Terrace) - Johnson, Winter (Contractor)
- 9:30am - 10:30am Coffee w/ J. Daly and C. LaMothe's Team (CONF RM*SP1 9300/Outdoor Terrace) - Johnson, Winter (Contractor)
- 10:15am - 11:00am [b)(6)] other items (Bill's Office)
100 F St NE
Washington DC 20002
United States) - Arietti Gold, Charlene
- 10:15am - 11:00am [b)(6)] other items (Bill's Office)
100 F St NE
Washington DC 20002
United States) - Arietti Gold, Charlene
- 1:00pm - 1:30pm Pay Ratio (Bill's office) - Cook, John
- 1:00pm - 1:30pm Pay Ratio (Bill's office) - Cook, John

Wednesday, August 2

- 9:45am - 10:30am prep and call (Bill's office) - Parratt, Shelley
- 9:45am - 10:30am prep and call (Bill's office) - Parratt, Shelley
- 10:30am - 11:30am Discuss Getting to Know You Event III (Bill's Office) - Seaman, Michael P.
- 10:30am - 11:30am Discuss Getting to Know You Event III (Bill's Office) - Seaman, Michael P.
- 1:00pm - 1:30pm update on Spotify (4520) - Brightwell, Tamara
- 1:00pm - 1:30pm update on Spotify (4520) - Brightwell, Tamara
- 1:30pm - 2:00pm Berkeley Invite List (Bill's Office) - Seaman, Michael P.
- 1:30pm - 2:00pm Berkeley Invite List (Bill's Office) - Seaman, Michael P.
- 2:00pm - 3:00pm Discuss topics for speaking engagements (Rm 4520) - Bancroft, Rolaine S.
- 2:00pm - 3:00pm Discuss topics for speaking engagements (Rm 4520) - Bancroft, Rolaine S.
- 3:00pm - 3:30pm Cybersecurity Working Group (Ceremonial Room, Room 10000) - Hetner, Christopher
- 3:00pm - 3:30pm Cybersecurity Working Group (Ceremonial Room, Room 10000) - Hetner, Christopher
- 4:00pm - 5:00pm discuss rulemaking (Bill's office) - Brightwell, Tamara
- 4:00pm - 5:00pm discuss rulemaking (Bill's office) - Brightwell, Tamara

Thursday, August 3

- 9:30am - 10:00am Discuss WKSJ Waiver (Bill's Office) - Seaman, Michael P.
- 9:30am - 10:00am Discuss WKSJ Waiver (Bill's Office) - Seaman, Michael P.
- 10:00am - 10:30am proposed CDIs re DRS - Parratt, Shelley
- 10:00am - 10:30am proposed CDIs re DRS - Parratt, Shelley
- 1:30pm - 2:00pm B. Hinman re waiver process - Stein, Kara
- 1:30pm - 2:00pm B. Hinman re waiver process - Stein, Kara
- 2:00pm - 3:00pm Conversation with the Deputy Director (Legal and Regulatory Policy) (Multipurpose Room) - CF Business Management Office
- 2:00pm - 3:00pm Conversation with the Deputy Director (Legal and Regulatory Policy) (Multipurpose Room) - CF Business Management Office
- 3:30pm - 4:00pm JS call
- 3:30pm - 4:00pm JS call
- 4:00pm - 4:30pm Introduction of OIAD (Rick's Office 3735) - Fleming, Rick
- 4:00pm - 4:30pm Introduction of OIAD (Rick's Office 3735) - Fleming, Rick

Friday, August 4

- 10:00am - 11:00am discuss S-X 3-10 / 3-16 term sheet (Bill's office) - Brightwell, Tamara
- 10:00am - 11:00am discuss S-X 3-10 / 3-16 term sheet (Bill's office) - Brightwell, Tamara
- 11:00am - 11:30am Background for 8/9 Strategic Planning Conversation with the Chairman (Bill's Office) - Arietti Gold, Charlene
- 11:00am - 11:30am Background for 8/9 Strategic Planning Conversation with the Chairman (Bill's Office) - Arietti Gold, Charlene
- 11:30am - 12:00pm Keeping your calendar (Your office) - Arietti Gold, Charlene
- 11:30am - 12:00pm Keeping your calendar (Your office) - Arietti Gold, Charlene
- 1:00pm - 1:30pm delegated authority database review (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm delegated authority database review (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:30pm Discuss Bad Actor Waiver (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:30pm - 2:30pm Discuss Bad Actor Waiver (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:30pm - 4:00pm discuss end of the week email - Parratt, Shelley
- 3:30pm - 4:00pm discuss end of the week email - Parratt, Shelley
- 4:15pm - 4:30pm Annual GC / GAO Financial Statement Audit (BH's Office) - Mann, Seth J
- 4:15pm - 4:30pm Annual GC / GAO Financial Statement Audit (BH's Office) - Mann, Seth J

August 7, 2017 -
August 13, 2017

August 2017

September 2017

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Monday, August 7

- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 11:00am - 11:30am resource (our office) - Summer, Barry
- 11:00am - 11:30am resource (our office) - Summer, Barry
- 1:00pm - 2:00pm prep meeting on Spotify (4520) - Brightwell, Tamara
- 1:00pm - 2:00pm prep meeting on Spotify (4520) - Brightwell, Tamara
- 4:00pm - 5:00pm Shareholder Proposals (Bill's office) - McNair, Matt
- 4:00pm - 5:00pm Shareholder Proposals (Bill's office) - McNair, Matt

Tuesday, August 8

- 9:30am - 10:30am Coffee w/ M. Anderson and E. Murphy's Team (CONF RM*SP1 9300/Outdoor Terrace) - Johnson, Winter (Contractor)
- 9:30am - 10:30am Coffee w/ M. Anderson and E. Murphy's Team (CONF RM*SP1 9300/Outdoor Terrace) - Johnson, Winter (Contractor)
- 9:30am - 10:30am Coffee with Bill and Rob (9th Floor Terrace) - Johnson, Winter (Contractor)
- 9:30am - 10:30am Coffee with Bill and Rob (9th Floor Terrace) - Johnson, Winter (Contractor)
- 10:30am - 11:15am discuss GAO study on Alternative Payments and Fintech (Bill's office) - Brightwell, Tamara
- 10:30am - 11:15am discuss GAO study on Alternative Payments and Fintech (Bill's office) - Brightwell, Tamara
- 4:00pm - 4:30pm discuss Spotify (4520) - Brightwell, Tamara
- 4:00pm - 4:30pm discuss Spotify (4520) - Brightwell, Tamara

Wednesday, August 9

- 9:30am - 10:30am Coffee w/ S. Luisi and K. Garnett's Team (CONF RM*SP1 9300/Outdoor Terrace) - Johnson, Winter (Contractor)
- 9:30am - 10:30am Coffee w/ S. Luisi and K. Garnett's Team (CONF RM*SP1 9300/Outdoor Terrace) - Johnson, Winter (Contractor)
- 9:30am - 10:30am Coffee with Bill and Rob (9th Floor Terrace) - Johnson, Winter (Contractor)
- 9:30am - 10:30am Coffee with Bill and Rob (9th Floor Terrace) - Johnson, Winter (Contractor)
- 11:00am - 11:30am discuss pending legislation related to CF matters (Bill's office) - Brightwell, Tamara
- 11:00am - 11:30am discuss pending legislation related to CF matters (Bill's office) - Brightwell, Tamara
- 1:00pm - 1:30pm Confirmed: call with Latham and others about Spotify (Room 4520) - Anderson, Michele
- 1:00pm - 1:30pm Confirmed: call with Latham and others about Spotify (Room 4520) - Anderson, Michele
- 3:00pm - 3:30pm hold for draft registration process talking points - Parratt, Shelley
- 3:00pm - 3:30pm hold for draft registration process talking points - Parratt, Shelley

Thursday, August 10

- 9:30am - 10:30am Coffee w/ C. Arietti Gold, B. Summer and Kyle Moffatt's Team (CONF RM*SP1 9300/Outdoor Terrace) - Johnson, Winter (Contractor)
- 9:30am - 10:30am Coffee w/ C. Arietti Gold, B. Summer and Kyle Moffatt's Team (CONF RM*SP1 9300/Outdoor Terrace) - Johnson, Winter (Contractor)
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 12:30pm - 1:30pm platforms as investment contracts (4520 (if available)) - Fredrickson, David R.
- 12:30pm - 1:30pm platforms as investment contracts (4520 (if available)) - Fredrickson, David R.
- 1:30pm - 2:00pm LIBOR Update (Bill's Office) - Seaman, Michael P.
- 1:30pm - 2:00pm LIBOR Update (Bill's Office) - Seaman, Michael P.
- 3:00pm - 4:30pm CF Happy Hour for Feds Feed Families (9th Floor Terrace) - CF Business Management Office
- 3:00pm - 4:30pm CF Happy Hour for Feds Feed Families (9th Floor Terrace) - CF Business Management Office
- 4:30pm - 5:15pm Discuss upcoming IOSCO meeting/Climate Disclosures (4520) - Bancroft, Rolaine S.
- 4:30pm - 5:15pm Discuss upcoming IOSCO meeting/Climate Disclosures (4520) - Bancroft, Rolaine S.

Friday, August 11

(b)(6)

- 11:00am - 12:00pm discuss rulemaking agenda (Bill's office) - Brightwell, Tamara
- 11:00am - 12:00pm discuss rulemaking agenda (Bill's office) - Brightwell, Tamara
- 12:00pm - 1:00pm Lunch at Bistro Bis (Bistro Bis) - Clayton, Jay
- 12:00pm - 1:00pm Lunch at Bistro Bis (Bistro Bis) - Clayton, Jay
- 1:30pm - 2:00pm Meeting w/R. Evans (Room 4521) - Hinman, William
- 1:30pm - 2:00pm Meeting w/R. Evans (Room 4521) - Hinman, William
- 2:30pm - 3:30pm Meeting with Commissioner Piowowar (10th Floor - Piowowar Office) - Johnson, Winter (Contractor)
- 2:30pm - 3:30pm Meeting with Commissioner Piowowar (10th Floor - Piowowar Office) - Johnson, Winter (Contractor)

August 14, 2017 -
August 20, 2017

August 2017

September 2017

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Monday, August 14

- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley ☺
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley ☺
- 11:00am - 12:00pm Go over FAST Act REIII edits (Bill's office) - Bancroft, Rolaine S.
- 11:00am - 12:00pm Go over FAST Act REIII edits (Bill's office) - Bancroft, Rolaine S.
- 2:00pm - 3:00pm Discuss Funding Circle Term Sheet (CF Only SP1 4520 Phone Small-12) - Johnson, Winter (Contractor)
- 2:00pm - 3:00pm Discuss Funding Circle Term Sheet (CF Only SP1 4520 Phone Small-12) - Johnson, Winter (Contractor)
- 3:30pm - 4:00pm draft submission policy Q - Parratt, Shelley
- 3:30pm - 4:00pm draft submission policy Q - Parratt, Shelley
- 5:30pm - 5:40pm Discuss derivatives (Bill's office) - Jeffrey T. Dinwoodie
- 5:30pm - 5:40pm Discuss derivatives (Bill's office) - Jeffrey T. Dinwoodie

Tuesday, August 15

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☺
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☺
- 1:30pm - 2:00pm chat (your office) - Brightwell, Tamara
- 1:30pm - 2:00pm chat (your office) - Brightwell, Tamara
- 2:00pm - 2:30pm Discuss guidance on regulation dates and financial statements for Regulation A offerings (CF Only SP1 4520 Phone Small-12) - Johnson, Winter (Contractor)
- 2:00pm - 2:30pm Discuss guidance on regulation dates and financial statements for Regulation A offerings (CF Only SP1 4520 Phone Small-12) - Johnson, Winter (Contractor)
- 3:00pm - 3:30pm discuss ideas for next Division meeting (Bill's office) - Parratt, Shelley
- 3:00pm - 3:30pm discuss ideas for next Division meeting (Bill's office) - Parratt, Shelley
- 4:00pm - 4:30pm scott kupor
- 4:00pm - 4:30pm scott kupor

Wednesday, August 16

- 11:00am - 11:30am discuss Wes' outline (Bill's office) - Brightwell, Tamara
- 11:00am - 11:30am discuss Wes' outline (Bill's office) - Brightwell, Tamara
- 11:30am - 11:45am Catch up (Bill's Office) - Clayton, Jay
- 11:30am - 11:45am Catch up (Bill's Office) - Clayton, Jay
- 1:30pm - 2:00pm 1st Bitcoin Meeting w/ A.Starr (Room 4521) - Hinman, William
- 1:30pm - 2:00pm 1st Bitcoin Meeting w/ A.Starr (Room 4521) - Hinman, William
- 2:00pm - 3:00pm discuss CF rulemaking (Bill's office) - Brightwell, Tamara
- 2:00pm - 3:00pm discuss CF rulemaking (Bill's office) - Brightwell, Tamara
- 3:00pm - 3:45pm Confidential Review program backgrounder (Bill's Office) - White, Ryan
- 3:00pm - 3:45pm Confidential Review program backgrounder (Bill's Office) - White, Ryan
- 3:30pm - 4:00pm Personal Trading Rules LEAP Trading (Online)
- 3:30pm - 4:00pm Personal Trading Rules LEAP Trading (Online)
- 4:00pm - 5:00pm Strategic Planning and Budget Review for Thursday meetings (Bill's Office) - Arietti Gold, Charlene
- 4:00pm - 5:00pm Strategic Planning and Budget Review for Thursday meetings (Bill's Office) - Arietti Gold, Charlene

Thursday, August 17

- 12:00pm - 1:00pm discuss issues re EDGAR filer identity/suspicious filings/current protocols (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:00pm - 1:00pm discuss issues re EDGAR filer identity/suspicious filings/current protocols (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 2:00pm CF - Meeting Materials -FY 2018 Operating Plan and FY 2019 Budget Request Processes and Submission (CONF RM SP1 7000 VTC/WebEx Large-39) - Puccio, Nicole
- 1:00pm - 2:00pm CF - Meeting Materials -FY 2018 Operating Plan and FY 2019 Budget Request Processes and Submission (CONF RM SP1 7000 VTC/WebEx Large-39) - Puccio, Nicole
- 3:00pm - 3:30pm Travel Mtg w/ Winter (Room 4520)
- 3:00pm - 3:30pm Travel Mtg w/ Winter (Room 4520)

Friday, August 18

- 10:00am - 11:00am brainstorm speech topics (your office) - Brightwell, Tamara
- 10:00am - 11:00am brainstorm speech topics (your office) - Brightwell, Tamara
- 11:00am - 11:30am Meeting w/Alisa Sherbow (Room 4521) - Hinman, William
- 11:00am - 11:30am Meeting w/Alisa Sherbow (Room 4521) - Hinman, William
- 1:30pm - 2:30pm Speaking Engagement Q&As (Bill's Office) - Seaman, Michael P.
- 1:30pm - 2:30pm Speaking Engagement Q&As (Bill's Office) - Seaman, Michael P.
- 3:00pm - 3:30pm hold for meeting iwth John Chevedden (4520) - Brightwell, Tamara
- 3:00pm - 3:30pm hold for meeting iwth John Chevedden (4520) - Brightwell, Tamara
- 4:00pm - 4:30pm your office (follow-up on "catch-up") - Summer, Barry
- 4:00pm - 4:30pm your office (follow-up on "catch-up") - Summer, Barry

August 21, 2017 -
August 27, 2017

August 2017

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September 2017

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Monday, August 21

- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley

Tuesday, August 22

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm Meeting with Bill Hinman (Chairman's Office) - Clayton, Jay
- 11:15am - 12:00pm Meeting with Bill Hinman (Chairman's Office) - Clayton, Jay
- 1:00pm - 1:30pm cash awards - Parratt, Shelley
- 1:00pm - 1:30pm cash awards - Parratt, Shelley
- 1:30pm - 2:00pm Pre-Mtg for Satoshi/Draper (WebEx Online DC Office ROOM 4520) - Szczepanik, Valerie
- 1:30pm - 2:00pm Pre-Mtg for Satoshi/Draper (WebEx Online DC Office ROOM 4520) - Szczepanik, Valerie
- 3:00pm - 4:00pm discuss SBS Communications release (4520) - Brightwell, Tamara
- 3:00pm - 4:00pm discuss SBS Communications release (4520) - Brightwell, Tamara
- 4:00pm - 5:00pm discuss GAO review of climate change comments (BH office) - Parratt, Shelley
- 4:00pm - 5:00pm discuss GAO review of climate change comments (BH office) - Parratt, Shelley

Wednesday, August 23

- 11:00am - 12:00pm Meet w/ Satoshi N. and Tim Draper (Room 5000 at HQ) - Szczepanik, Valerie
- 11:00am - 12:00pm Meet w/ Satoshi N. and Tim Draper (Room 5000 at HQ) - Szczepanik, Valerie
- 1:30pm - 2:00pm update - Parratt, Shelley
- 1:30pm - 2:00pm update - Parratt, Shelley
- 4:00pm - 5:00pm Invitation: Berkeley Law Call @ Wed Aug 23, 2017 1pm - 2pm (PDT) (b)(6)@sec.gov - (b)(6)
- 4:00pm - 5:00pm Invitation: Berkeley Law Call @ Wed Aug 23, 2017 1pm - 2pm (PDT) (b)(6)@sec.gov - (b)(6)

Thursday, August 24

- 10:00am - 11:00am Special Act Award review (CF Only SP1 4520 Phone Small-12) - Carroll, Robert
- 10:00am - 11:00am Special Act Award review (CF Only SP1 4520 Phone Small-12) - Carroll, Robert
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 1:30pm - 2:30pm discuss pay ratio (CF Only SP1 4520 Phone Small-12) - Brightwell, Tamara
- 1:30pm - 2:30pm discuss pay ratio (CF Only SP1 4520 Phone Small-12) - Brightwell, Tamara
- 3:00pm - 4:00pm FRM and S-X waivers (Bill's office) - Kronforst, Mark
- 3:00pm - 4:00pm FRM and S-X waivers (Bill's office) - Kronforst, Mark

Friday, August 25

- 10:00am - 11:00am universal proxy significant issues (Bill's office) - Anderson, Michele
- 10:00am - 11:00am universal proxy significant issues (Bill's office) - Anderson, Michele
- 11:00am - 12:00pm discuss pay ratio - WebEx added (CONF RM SP1 4000 VTC/WebEx Large-41) - Brightwell, Tamara
- 11:00am - 12:00pm discuss pay ratio - WebEx added (CONF RM SP1 4000 VTC/WebEx Large-41) - Brightwell, Tamara
- 1:30pm - 2:30pm OZ Waiver Request Follow-Up (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:30pm - 2:30pm OZ Waiver Request Follow-Up (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 4:30pm - 5:00pm HC (Randolph Cree)
- 4:30pm - 5:00pm HC (Randolph Cree)

August 2017

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September 2017

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Monday, August 28

(b)(6)

- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley

Tuesday, August 29

(b)(6)

- 3:00pm - 4:00pm Emerging Risk Discussion Group (Ceremonial Room, Room 10000) - Memon, Sean
- 3:00pm - 4:00pm Emerging Risk Discussion Group (Ceremonial Room, Room 10000) - Memon, Sean

Wednesday, August 30

(b)(6)

Thursday, August 31

(b)(6)

- 5:30pm - 6:30pm Happy Hour on the Terrace (Chairman's Terrace) - Clayton, Jay
- 5:30pm - 6:30pm Happy Hour on the Terrace (Chairman's Terrace) - Clayton, Jay

Friday, September 1

(b)(6)

September 4, 2017 -
September 10, 2017

September 2017						
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Monday, September 4

(b)(6)

- Labor Day (United States)
- Labor Day (United States)
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley

Tuesday, September 5

Wednesday, September 6

- 3:00pm - 4:00pm CII and ABA Q&As (Bill's Office) - Seaman, Michael P.
- 3:00pm - 4:00pm CII and ABA Q&As (Bill's Office) - Seaman, Michael P.

Thursday, September 7

- 10:00am - 10:30am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:00am - 10:30am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 11:00am - 11:30am Status HR Investigation (Your Office) (b)(6)
- 11:00am - 11:30am Status HR Investigation (Your Office)
- 1:00pm - 2:00pm meet with Rohan Weersinghe and JC
- 1:00pm - 2:00pm meet with Rohan Weersinghe and JC
- 1:00pm - 2:00pm Meeting with Rohan Weersinghe, Kevin Bailey, Jay Clayton, Bill Hinman and Rob Evans (SEC, 100 F Street NE, Washington, DC - (Conf Room: CF Only SP1 4520 Phone Small-12)) - Ercolano, Patryce
- 1:00pm - 2:00pm Meeting with Rohan Weersinghe, Kevin Bailey, Jay Clayton, Bill Hinman and Rob Evans (SEC, 100 F Street NE, Washington, DC - (Conf Room: CF Only SP1 4520 Phone Small-12)) - Ercolano, Patryce
- 2:00pm - 3:00pm Director briefing on risk and control matrix and managment assurance process (CF Only SP1 4520 Phone Small-12) - Luisi, Shelly C.
- 2:00pm - 3:00pm Director briefing on risk and control matrix and management assurance process (CF Only SP1 4520 Phone Small-12) - Luisi, Shelly C.
- 4:30pm - 5:00pm 14a-8 reconsideration process (Bill's office) - McNair, Matt
- 4:30pm - 5:00pm 14a-8 reconsideration process (Bill's office) - McNair, Matt
- 5:00pm - 6:00pm Reception for New Division Directors: Dalia Blass and Jeff Harris (10th Floor Terrace) - Clayton, Jay
- 5:00pm - 6:00pm Reception for New Division Directors: Dalia Blass and Jeff Harris (10th Floor Terrace) - Clayton, Jay

Friday, September 8

- 10:00am - 11:00am ABA Dialogue with the Director Planning Call with Dave Lynn (Bill's Office) - Seaman, Michael P.
- 10:00am - 11:00am ABA Dialogue with the Director Planning Call with Dave Lynn (Bill's Office) - Seaman, Michael P.
- 11:00am - 12:00pm Federal Employee Viewpoint Survey (FEVS) history and planning (Bill's Office) - Arietti Gold, Charlene
- 11:00am - 12:00pm Federal Employee Viewpoint Survey (FEVS) history and planning (Bill's Office) - Arietti Gold, Charlene
- 1:30pm - 2:00pm Nasdaq Voldex (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:30pm - 2:00pm Nasdaq Voldex (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:00pm - 3:30pm Canceled: Review talking points for Senior Staff Meeting (Your office) - Arietti Gold, Charlene
- 3:00pm - 3:30pm Canceled: Review talking points for Senior Staff Meeting (Your office) - Arietti Gold, Charlene

September 11, 2017 -
September 17, 2017

September 2017

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October 2017

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Monday, September 11

- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley ☐
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley ☐
- 11:00am - 12:00pm Pre-meet for spotify (Rm 4520) - Bancroft, Rolaine S.
- 11:00am - 12:00pm Pre-meet for spotify (Rm 4520) - Bancroft, Rolaine S.
- 12:00pm - 12:30pm Finalize Managment Response to OIG Report (4520) - Luisi, Shelly C.
- 12:00pm - 12:30pm Finalize Managment Response to OIG Report (4520) - Luisi, Shelly C.
- 2:00pm - 3:00pm Meeting with NASDAQ (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:00pm - 3:00pm Meeting with NASDAQ (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:00pm - 4:30pm meeting with Spotify (4000) - Brightwell, Tamara
- 3:00pm - 4:30pm meeting with Spotify (4000) - Brightwell, Tamara
- 4:30pm - 5:30pm Resource Realignment (Bill's Office) - Arietti Gold, Charlene
- 4:30pm - 5:30pm Resource Realignment (Bill's Office) - Arietti Gold, Charlene

Tuesday, September 12

- 9:00am - 10:00am Hold for CII and ABA Discussion (Bill's Office) - Seaman, Michael P.
- 9:00am - 10:00am Hold for CII and ABA Discussion (Bill's Office) - Seaman, Michael P.
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☐
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☐
- 11:14am - 12:00pm WebEx for Today's Senior Staff Meeting (4520) - Arietti Gold, Charlene
- 11:14am - 12:00pm WebEx for Today's Senior Staff Meeting (4520) - Arietti Gold, Charlene
- 11:15am - 12:00pm Weekly senior staff meeting (CF Only SP1 4520 Phone Small-12) - Hinman, William
- 11:15am - 12:00pm Weekly senior staff meeting (CF Only SP1 4520 Phone Small-12) - Hinman, William
- 1:00pm - 1:30pm Rule 701 CDI and ENF Considerations (SP1 - 4520) - Gomez Abero, Sebastian
- 1:00pm - 1:30pm Rule 701 CDI and ENF Considerations (SP1 - 4520) - Gomez Abero, Sebastian
- ☐ 1:30pm - 3:00pm Canceled: Hold for CII and ABA Discussion (Bill's Office) - Seaman, Michael P.
- ☐ 1:30pm - 3:00pm Canceled: Hold for CII and ABA Discussion (Bill's Office) - Seaman, Michael P.
- 2:00pm - 2:30pm update (Bill's office) - Brightwell, Tamara
- 2:00pm - 2:30pm update (Bill's office) - Brightwell, Tamara
- ☐ 3:00pm - 4:00pm Canceled: Hold for Federal Employee Viewpoint Survey Results Briefing (CF Only SP1 4520 Phone Small-12) - Arietti Gold, Charlene
- ☐ 3:00pm - 4:00pm Canceled: Hold for Federal Employee Viewpoint Survey Results Briefing (CF Only SP1 4520 Phone Small-12) - Arietti Gold, Charlene
- 3:10pm - 3:40pm Meeting with Hinman, Stebbins, and Staff (Chairman's Office) - Clayton, Jay
- 3:10pm - 3:40pm Meeting with Hinman, Stebbins, and Staff (Chairman's Office) - Clayton, Jay
- 3:30pm - 4:00pm discuss rulemaking priorities (Bill's office) - Brightwell, Tamara
- 3:30pm - 4:00pm discuss rulemaking priorities (Bill's office) - Brightwell, Tamara

Wednesday, September 13

- 9:30am - 3:30pm Advisory Committee on Small and Emerging Companies (Multipurpose Room, SEC Headquarters)
- 9:30am - 3:30pm Advisory Committee on Small and Emerging Companies (Multipurpose Room, SEC Headquarters)
- 3:00pm - 4:00pm Logistics/info added: Town Hall with Chairman Clayton (Station Place Auditorium & Webcast) - OCOO Communications
- 3:00pm - 4:00pm Logistics/info added: Town Hall with Chairman Clayton (Station Place Auditorium & Webcast) - OCOO Communications

Thursday, September 14

- Council of Institutional Investors Fall 2017 Conference (San Diego, CA) - Johnson, Winter (Contractor)
- Council of Institutional Investors Fall 2017 Conference (San Diego, CA) - Johnson, Winter (Contractor)

Friday, September 15

- ABA Business Law Section Annual Meeting (Chicago, IL) - Johnson, Winter (Contractor)
- ABA Business Law Section Annual Meeting (Chicago, IL) - Johnson, Winter (Contractor)

September 18, 2017 -
September 24, 2017

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October 2017						
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Monday, September 18

- 9:00am - 9:30am Pre-meet for pay ratio meetings on Monday (Room 4520) - Bancroft, Rolaine S.
- 9:00am - 9:30am Pre-meet for pay ratio meetings on Monday (Room 4520) - Bancroft, Rolaine S.
- 9:30am - 10:00am Pay Ratio Staff Discussion with Chamber of Commerce (Chairman's Conference room) - Moskowitz, Lucas
- 9:30am - 10:00am Pay Ratio Staff Discussion with Chamber of Commerce (Chairman's Conference room) - Moskowitz, Lucas
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 11:00am - 12:00pm Conference room for call w/Tapestry Group (Rm 4520) - Bancroft, Rolaine S.
- 11:00am - 12:00pm Conference room for call w/Tapestry Group (Rm 4520) - Bancroft, Rolaine S.
- 11:00am - 12:00pm FW: Call with Tapestry Networks re: October 30th Mtg in NYC (Dial-in details enclosed.) - Margaret Adams
- 11:00am - 12:00pm FW: Call with Tapestry Networks re: October 30th Mtg in NYC (Dial-in details enclosed.) - Margaret Adams
- 12:00pm - 1:00pm SEC Hispanic Heritage Month Program (Auditorium) - Gibbs, Pamela
- 12:00pm - 1:00pm SEC Hispanic Heritage Month Program (Auditorium) - Gibbs, Pamela
- 2:00pm - 2:30pm Meeting w/ Mr. Geoff Healy (Room 4520)
- 2:00pm - 2:30pm Meeting w/ Mr. Geoff Healy (Room 4520)
- 3:30pm - 4:00pm discuss conflict minerals (Bill's office) - Brightwell, Tamara
- 3:30pm - 4:00pm discuss conflict minerals (Bill's office) - Brightwell, Tamara
- 4:30pm - 4:45pm Call with BRT (Jaime's office) - Fox, Raquel
- 4:30pm - 4:45pm Call with BRT (Jaime's office) - Fox, Raquel
- 5:00pm - 5:30pm catch up - Parratt, Shelley
- 5:00pm - 5:30pm catch up - Parratt, Shelley

Tuesday, September 19

- 9:00am - 9:45am hold for meet and greet with Larry Hammermesh (DE law prof) - Parratt, Shelley
- 9:00am - 9:45am hold for meet and greet with Larry Hammermesh (DE law prof) - Parratt, Shelley
- 11:30am - 12:00pm Discuss responses to Mellissa on pay ratio release (Rm 4520) - Bancroft, Rolaine S.
- 11:30am - 12:00pm Discuss responses to Mellissa on pay ratio release (Rm 4520) - Bancroft, Rolaine S.
- 12:00pm - 1:00pm HOLD - Call w/ Chief Justice Leo Strine (Room 4521)
- 12:00pm - 1:00pm HOLD - Call w/ Chief Justice Leo Strine (Room 4521)
- 1:30pm - 2:00pm Society for Corporate Governance (Bill's Office) - Cook, John
- 1:30pm - 2:00pm Society for Corporate Governance (Bill's Office) - Cook, John
- 2:30pm - 3:00pm US SIF (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:30pm - 3:00pm US SIF (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:00pm - 4:00pm discuss DUSTR (Bill's office) - Brightwell, Tamara
- 3:00pm - 4:00pm discuss DUSTR (Bill's office) - Brightwell, Tamara
- 4:00pm - 4:30pm Meeting with Corp Fin (Bill's Office) - Dingman, Lacey
- 4:00pm - 4:30pm Meeting with Corp Fin (Bill's Office) - Dingman, Lacey
- 5:00pm - 7:00pm whh mtg
- 5:00pm - 7:00pm whh mtg

Wednesday, September 20

- 10:00am - 11:00am DRS Interps (Bill's Office) - Garnett, Karen
- 10:00am - 11:00am DRS Interps (Bill's Office) - Garnett, Karen
- 1:00pm - 2:00pm Hold for meet and greet with CFO of Walmart (Wes' office 6612) - Bancroft, Rolaine S.
- 1:00pm - 2:00pm Hold for meet and greet with CFO of Walmart (Wes' office 6612) - Bancroft, Rolaine S.
- 1:00pm - 2:00pm HOLD: meeting with Walmart CFO/CAO (Wes Office) - Bricker, Wesley
- 1:00pm - 2:00pm HOLD: meeting with Walmart CFO/CAO (Wes Office) - Bricker, Wesley
- 1:00pm - 2:00pm Cyber Breach Q&A (Chairmans Suite 10120) - Hetner, Christopher
- 1:00pm - 2:00pm Cyber Breach Q&A (Chairmans Suite 10120) - Hetner, Christopher
- 3:00pm - 4:00pm Director briefing on control test results and management assurance statement (CF Only SP1 4520 Phone Small-12) - Luisi, Shelly C.
- 3:00pm - 4:00pm Director briefing on control test results and management assurance statement (CF Only SP1 4520 Phone Small-12) - Luisi, Shelly C.
- 4:00pm - 4:30pm Center On Executive Compensation (10704) - Cook, John
- 4:00pm - 4:30pm Center On Executive Compensation (10704) - Cook, John
- 5:00pm - 5:30pm Finalizing Cyber Statement (Call in Option: (b)(6) (Chairman's Conference Room) - Clayton, Jay
- 5:00pm - 5:30pm Finalizing Cyber Statement (Call in Option: (b)(6) (Chairman's Conference Room) - Clayton, Jay

Thursday, September 21

- (b)(6)
- 9:30am - 11:00am Go over questions for Soc. Corp Governance event (Bill's office) - Bancroft, Rolaine S.
- 9:30am - 11:00am Go over questions for Soc. Corp Governance event (Bill's office) - Bancroft, Rolaine S.
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:30am Canceled: Performance Management Closeout Training for Supervisors (3551 and WebEx) - CF Business Management Office
- 10:30am - 11:30am Canceled: Performance Management Closeout Training for Supervisors (3551 and WebEx) - CF Business Management Office
- 12:30pm - 1:00pm Director briefing on control test results -continued (CF Only SP1 4520 Phone Small-12) - Luisi, Shelly C.
- 12:30pm - 1:00pm Director briefing on control test results -continued (CF Only SP1 4520 Phone Small-12) - Luisi, Shelly C.
- 1:00pm - 1:30pm FMOC (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
- 1:00pm - 1:30pm FMOC (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
- 2:30pm - 3:00pm Discuss Spotify's write-up (CF Only SP1 4520 Phone Small-12) - Bancroft, Rolaine S.
- 2:30pm - 3:00pm Discuss Spotify's write-up (CF Only SP1 4520 Phone Small-12) - Bancroft, Rolaine S.
- 4:00pm - 5:30pm (b)(6)
- 4:00pm - 5:30pm (b)(6)

Friday, September 22

- (b)(6)
- 11:30am - 12:00pm Canceled: Meeting re misc. capital formation issues (Bill's office) - Klima, Jaime
- 11:30am - 12:00pm Canceled: Meeting re misc. capital formation issues (Bill's office) - Klima, Jaime
- 2:00pm - 2:30pm Meeting re Misc. Capital Formation Issues (Mr. Hinman's Ofc) - Klima, Jaime
- 2:00pm - 2:30pm Meeting re Misc. Capital Formation Issues (Mr. Hinman's Ofc) - Klima, Jaime
- 3:00pm - 4:00pm discuss resource extraction (Bill's office) - Brightwell, Tamara
- 3:00pm - 4:00pm discuss resource extraction (Bill's office) - Brightwell, Tamara
- 4:15pm - 5:00pm Division databases - slight delay (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:15pm - 5:00pm Division databases - slight delay (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley

September 25, 2017 -
October 1, 2017

September 2017

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October 2017

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Monday, September 25

- 9:30am - 10:00am Pre-Meet (Chairman's Conference Room) - Wood, Bryan
- 9:30am - 10:00am Pre-Meet (Chairman's Conference Room) - Wood, Bryan
- 10:00am - 10:30am Senate Banking Call (Chairman's Conference Room) - Wood, Bryan
- 10:00am - 10:30am Senate Banking Call (Chairman's Conference Room) - Wood, Bryan
- 11:00am - 11:30am Capital Formation Discussion (Lucas' office) - Klima, Jaime
- 11:00am - 11:30am Capital Formation Discussion (Lucas' office) - Klima, Jaime
- 11:30am - 12:30pm Meeting with Barry Silbert on Digital currencies generally (CONF RM SP1 4000 VTC/WebEx Large-41) - Starr, Amy
- 11:30am - 12:30pm Meeting with Barry Silbert on Digital currencies generally (CONF RM SP1 4000 VTC/WebEx Large-41) - Starr, Amy
- 2:00pm - 3:00pm Meeting invite for the SEC Cybersecurity roundtable with Booz Allen Hamilton (SEC Auditorium VTC/WebEx Large-41) - Hetner, Christopher
- 2:00pm - 3:00pm Meeting invite for the SEC Cybersecurity roundtable with Booz Allen Hamilton (SEC Auditorium VTC/WebEx Large-41) - Hetner, Christopher
- 2:00pm - 3:00pm Rescheduling FEVS briefing (CF Only SP1 4520 Phone Small-12) - Arietti Gold, Charlene
- 2:00pm - 3:00pm Rescheduling FEVS briefing (CF Only SP1 4520 Phone Small-12) - Arietti Gold, Charlene
- 3:00pm - 4:00pm Broadridge blockchain initiative (Conference Room Large SP1 #4000 -40-) - Anderson, Michele
- 3:00pm - 4:00pm Broadridge blockchain initiative (Conference Room Large SP1 #4000 -40-) - Anderson, Michele
- 3:30pm - 4:00pm Cyber Working Group- Touching Base with Chairman Clayton (Ceremonial Room) - Clayton, Jay
- 3:30pm - 4:00pm Cyber Working Group- Touching Base with Chairman Clayton (Ceremonial Room) - Clayton, Jay
- 4:00pm - 4:30pm Canceled: discuss IOSCO meeting on climate change - Parratt, Shelley
- 4:00pm - 4:30pm Canceled: discuss IOSCO meeting on climate change - Parratt, Shelley
- 4:30pm - 5:00pm weekly meeting - rescheduled (4520) - Parratt, Shelley
- 4:30pm - 5:00pm weekly meeting - rescheduled (4520) - Parratt, Shelley

Tuesday, September 26

- 10:00am - 11:00am Chairman's testimony
- 10:00am - 11:00am Chairman's testimony
- 11:45am - 12:30pm CF Senior Staff - delayed (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:45am - 12:30pm CF Senior Staff - delayed (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Meeting with Veronika Shime and others from the National Mining Association (TBD) - Murphy, Elizabeth
- 2:00pm - 3:00pm Meeting with Veronika Shime and others from the National Mining Association (TBD) - Murphy, Elizabeth
- 2:30pm - 3:00pm Bloomberg Presentation (Ceremonial Room, Room 10000) - Driscoll, Peter B.
- 2:30pm - 3:00pm Bloomberg Presentation (Ceremonial Room, Room 10000) - Driscoll, Peter B.
- 3:00pm - 4:00pm Emerging Risk Discussion Group (Ceremonial Room, Room 10000) - Memon, Sean
- 3:00pm - 4:00pm Emerging Risk Discussion Group (Ceremonial Room, Room 10000) - Memon, Sean
- 5:00pm - 5:30pm catch up (your office) - Brightwell, Tamara
- 5:00pm - 5:30pm catch up (your office) - Brightwell, Tamara

Wednesday, September 27

- 10:30am - 11:00am Meeting with Charlene (Room 4521) - Hinman, William
- 10:30am - 11:00am Meeting with Charlene (Room 4521) - Hinman, William
- 11:00am - 11:30am Touching Base Prior to Thursday (Lucas' Office) - Begany, Shelby
- 11:00am - 11:30am Touching Base Prior to Thursday (Lucas' Office) - Begany, Shelby
- 11:30am - 12:00pm discuss 14a-8 SLB (Bill's office) - Fredrickson, David R.
- 11:30am - 12:00pm discuss 14a-8 SLB (Bill's office) - Fredrickson, David R.
- 12:00pm - 1:00pm save the date for new employee lunch (4520) - Daly, James (CF)
- 12:00pm - 1:00pm save the date for new employee lunch (4520) - Daly, James (CF)
- 3:15pm - 3:45pm Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 3:15pm - 3:45pm Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 4:00pm - 5:00pm Discuss Society Q&A (Bill's Office) - Seaman, Michael P.
- 4:00pm - 5:00pm Discuss Society Q&A (Bill's Office) - Seaman, Michael P.
- 4:30pm - 5:15pm Finishing our previous conversation (Bill's Office) - Arietti Gold, Charlene
- 4:30pm - 5:15pm Finishing our previous conversation (Bill's Office) - Arietti Gold, Charlene
- 4:30pm - 5:15pm Canceled: Realignment Discussion (Bill's Office) - Arietti Gold, Charlene
- 4:30pm - 5:15pm Canceled: Realignment Discussion (Bill's Office) - Arietti Gold, Charlene

Thursday, September 28

- 9:30am - 10:00am Canceled: Women initiatives - discuss presentation of slides (Bill's Office) - Gomez Abero, Sebastian
- 9:30am - 10:00am Canceled: Women initiatives - discuss presentation of slides (Bill's Office) - Gomez Abero, Sebastian
- 10:00am - 10:30am Signature for Management Assurance Statement (Your office) - Arietti Gold, Charlene
- 10:00am - 10:30am Signature for Management Assurance Statement (Your office) - Arietti Gold, Charlene
- 10:30am - 12:15pm UPDATE Driver assigned Hercules Mintz - Transportation Request for 09/28/2017 (from SEC to Eisenhower Executive Building (17th & State Ave entrance) ; return to SEC) - Facilities Mailbox (HQ)
- 10:30am - 12:15pm UPDATE Driver assigned Hercules Mintz - Transportation Request for 09/28/2017 (from SEC to Eisenhower Executive Building (17th & State Ave entrance) ; return to SEC) - Facilities Mailbox (HQ)
- 10:30am - 11:00am Departing Office for White House Meeting
- 10:30am - 11:00am Departing Office for White House Meeting
- 11:00am - 11:30am White House re: Capital Formation (EEOB 180A) - Begany, Shelby
- 11:00am - 11:30am White House re: Capital Formation (EEOB 180A) - Begany, Shelby
- 3:00pm - 4:30pm National Investor Relations Institute Meeting (Lower Level Multipurpose room) - Johnson, Winter (Contractor)
- 3:00pm - 4:30pm National Investor Relations Institute Meeting (Lower Level Multipurpose room) - Johnson, Winter (Contractor)
- 4:30pm - 5:30pm Travel to NY - Society for Corporate Governance 2017 Eastern Region Fall Conference
- 4:30pm - 5:30pm Travel to NY - Society for Corporate Governance 2017 Eastern Region Fall Conference

Friday, September 29

- Society for Corporate Governance 2017 Eastern Region Fall Conference (New York, NY) - Johnson, Winter (Contractor)
- Society for Corporate Governance 2017 Eastern Region Fall Conference (New York, NY) - Johnson, Winter (Contractor)

October 2, 2017 -
October 8, 2017

October 2017						
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Monday, October 2

- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 4:30pm - 5:00pm catch up - Parratt, Shelley
- 4:30pm - 5:00pm catch up - Parratt, Shelley

Tuesday, October 3

- 11:30am - 12:30pm Continued Org. Discussion (Bill's Office) - Arietti Gold, Charlene
- 11:30am - 12:30pm Continued Org. Discussion (Bill's Office) - Arietti Gold, Charlene
- 2:30pm - 4:30pm Hold for S-X Meeting w/ Davis Polk (CF Only SP1 4520 Phone Small-12) - Torno, Jarrett
- 2:30pm - 4:30pm Hold for S-X Meeting w/ Davis Polk (CF Only SP1 4520 Phone Small-12) - Torno, Jarrett
- 4:00pm - 4:30pm chat about cyber (BH office) - Parratt, Shelley
- 4:00pm - 4:30pm chat about cyber (BH office) - Parratt, Shelley
- 4:30pm - 5:15pm CF Update - Stein, Kara
- 4:30pm - 5:15pm CF Update - Stein, Kara

Wednesday, October 4

- 10:00am - 11:00am Chairman's Testimony
- 10:00am - 11:00am Chairman's Testimony
- 10:45am - 11:00am Senior Staff (Room 4003) - Arietti Gold, Charlene
- 10:45am - 11:00am Senior Staff (Room 4003) - Arietti Gold, Charlene
- 11:00am - 12:00pm Doug Leone - Sequoia Capital (Bill's Office) - Seaman, Michael P.
- 11:00am - 12:00pm Doug Leone - Sequoia Capital (Bill's Office) - Seaman, Michael P.
- 1:00pm - 1:30pm Cybersecurity (Room 4521) - Hinman, William
- 1:00pm - 1:30pm Cybersecurity (Room 4521) - Hinman, William
- 1:30pm - 2:00pm Discuss WKSJ Waiver Request (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:30pm - 2:00pm Discuss WKSJ Waiver Request (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:00pm - 3:00pm follow up on 14a-8 SLB (Bill's office) - Brightwell, Tamara
- 2:00pm - 3:00pm follow up on 14a-8 SLB (Bill's office) - Brightwell, Tamara
- 3:00pm - 3:30pm chat (your office) - Brightwell, Tamara
- 3:00pm - 3:30pm chat (your office) - Brightwell, Tamara
- 6:15pm - 8:15pm (b)(6)
- 6:15pm - 8:15pm

Thursday, October 5

- 9:30am - 10:00am Market Facing Cybersecurity Tabletop Discussion (Ceremonial Room 10000) - Hetner, Christopher
- 9:30am - 10:00am Market Facing Cybersecurity Tabletop Discussion (Ceremonial Room 10000) - Hetner, Christopher
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 11:00am - 11:30am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 11:00am - 11:30am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay

Friday, October 6

- 10:00am - 11:00am Open Commission Meeting prep (webex) - Brightwell, Tamara
- 10:00am - 11:00am Open Commission Meeting prep (webex) - Brightwell, Tamara
- 11:30am - 12:00pm ICO Catch Up Call (VS to call BH) - Szczepanik, Valerie
- 11:30am - 12:00pm ICO Catch Up Call (VS to call BH) - Szczepanik, Valerie
- 1:00pm - 1:30pm Discuss Montana Event (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:00pm - 1:30pm Discuss Montana Event (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.

October 9, 2017 -
October 15, 2017

October 2017						
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November 2017						
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Monday, October 9

- Columbus Day (United States)
- Columbus Day (United States)
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 10:55am - 11:25am 8:55 am Montana time- Fireside Chat (Third Floor University Center (32 Campus Drive)) - Clayton, Jay
- 10:55am - 11:25am 8:55 am Montana time- Fireside Chat (Third Floor University Center (32 Campus Drive)) - Clayton, Jay
- 11:45am - 12:45pm 9:45 am Montana Time- Meeting with Sen. Daines' Constituents (University Center-The theater on the right hand side of the South Ballroom (when looking at the South Ballroom)) - Clayton, Jay
- 11:45am - 12:45pm 9:45 am Montana Time- Meeting with Sen. Daines' Constituents (University Center-The theater on the right hand side of the South Ballroom (when looking at the South Ballroom)) - Clayton, Jay
- 2:00pm - 4:00pm Noon Montana Time- Time with Senator Tester's Constituents (DA Davidson Missoula, 283 W. Front Street, #101) - Clayton, Jay
- 2:00pm - 4:00pm Noon Montana Time- Time with Senator Tester's Constituents (DA Davidson Missoula, 283 W. Front Street, #101) - Clayton, Jay

Tuesday, October 10

- 9:45am - 10:30am Prep for Open Meeting (CF Only SP1 4520 Phone Small-12) - Bancroft, Rolaine S.
- 9:45am - 10:30am Prep for Open Meeting (CF Only SP1 4520 Phone Small-12) - Bancroft, Rolaine S.
- 10:30am - 11:00am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:00am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:30pm - 4:00pm SEC Cybersecurity Markets Facing Table Top Exercise (Multipurpose Room) - Hetner, Christopher
- 12:30pm - 4:00pm SEC Cybersecurity Markets Facing Table Top Exercise (Multipurpose Room) - Hetner, Christopher
- 1:00pm - 2:00pm Performance Management Closeout Training for Supervisors (3551 and WebEx) - CF Business Management Office
- 1:00pm - 2:00pm Performance Management Closeout Training for Supervisors (3551 and WebEx) - CF Business Management Office
- 4:00pm - 4:30pm Staffing of OCC (Bill's Office) - Hinman, William
- 4:00pm - 4:30pm Staffing of OCC (Bill's Office) - Hinman, William
- 4:45pm - 5:15pm Speaking Engagements (Bill's Office) - Seaman, Michael P.
- 4:45pm - 5:15pm Speaking Engagements (Bill's Office) - Seaman, Michael P.

Wednesday, October 11

- 10:00am - 12:00pm Open Commission Meeting (auditorium) - Brightwell, Tamara
- 10:00am - 12:00pm Open Commission Meeting (auditorium) - Brightwell, Tamara
- 1:30pm - 2:00pm CII 14a-8 Meeting (Chair's Office) - Seaman, Michael P.
- 1:30pm - 2:00pm CII 14a-8 Meeting (Chair's Office) - Seaman, Michael P.
- 3:00pm - 4:00pm Berkeley prep (Bill's office) - Brightwell, Tamara
- 3:00pm - 4:00pm Berkeley prep (Bill's office) - Brightwell, Tamara

Thursday, October 12

- 11:30am - 12:00pm update on Nasdaq's Voldex request (Bill's office) - Fredrickson, David R.
- 11:30am - 12:00pm update on Nasdaq's Voldex request (Bill's office) - Fredrickson, David R.
- 2:00pm - 2:30pm Interview w/Reporter (CF Only SP1 4520 Phone Small-12) - Johnson, Winter (Contractor)
- 2:00pm - 2:30pm Interview w/Reporter (CF Only SP1 4520 Phone Small-12) - Johnson, Winter (Contractor)
- 2:00pm - 2:30pm Interview w/Reporter Nicole Bullock (TBD)
- 2:00pm - 2:30pm Interview w/Reporter Nicole Bullock (TBD)
- 2:30pm - 3:30pm Updates on Data Vulnerabilities (Bill's Office) - Arietti Gold, Charlene
- 2:30pm - 3:30pm Updates on Data Vulnerabilities (Bill's Office) - Arietti Gold, Charlene
- 3:30pm - 4:30pm Non-GAAP guidance (SP1 4520) - Yu, Ted
- 3:30pm - 4:30pm Non-GAAP guidance (SP1 4520) - Yu, Ted

Friday, October 13

- 10:00am - 10:30am OECD case study (CF Only SP1 4520 Phone Small-12) - Brightwell, Tamara
- 10:00am - 10:30am OECD case study (CF Only SP1 4520 Phone Small-12) - Brightwell, Tamara
- 10:30am - 11:30am Prep for NACD event on 10/19 (Bill's office) - Bancroft, Rolaine S.
- 10:30am - 11:30am Prep for NACD event on 10/19 (Bill's office) - Bancroft, Rolaine S.
- 11:30am - 12:00pm discuss private and public offerings (Bill's office) - Brightwell, Tamara
- 11:30am - 12:00pm discuss private and public offerings (Bill's office) - Brightwell, Tamara
- 2:15pm - 3:00pm Spotify update/call with Latham (CF Only SP1 4520 Phone Small-12) - Anderson, Michele
- 2:15pm - 3:00pm Spotify update/call with Latham (CF Only SP1 4520 Phone Small-12) - Anderson, Michele
- 4:00pm - 4:30pm An issue we need to brief you on today (Bill's Office) - Arietti Gold, Charlene
- 4:00pm - 4:30pm An issue we need to brief you on today (Bill's Office) - Arietti Gold, Charlene

October 16, 2017 -
October 22, 2017

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November 2017						
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Monday, October 16

- (b)(6)
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 1:30pm - 2:30pm Follow-up meeting re: Spotify (CF Only SP1 4520 Phone Small-12) - Bancroft, Rolaine S.
- 1:30pm - 2:30pm Follow-up meeting re: Spotify (CF Only SP1 4520 Phone Small-12) - Bancroft, Rolaine S.

Tuesday, October 17

- Berkeley/SEC Conference (Berkeley, CA) - Johnson, Winter (Contractor)
- Berkeley/SEC Conference (Berkeley, CA) - Johnson, Winter (Contractor)

Wednesday, October 18

(b)(6)

Thursday, October 19

- 10:00am - 12:30pm NACD program
- 10:00am - 12:30pm NACD program
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 1:00pm - 1:30pm Incident Management Reporting Framework (IMRF) Exercise - October 19, 2017 (teleconference) - Harris, Tawana
- 1:00pm - 1:30pm Incident Management Reporting Framework (IMRF) Exercise - October 19, 2017 (teleconference) - Harris, Tawana
- 2:00pm - 2:30pm FW: Call to discuss NYSE Listings Issues (Dial In: (b)(6)) - Hope Jarkowski
- 2:00pm - 2:30pm FW: Call to discuss NYSE Listings Issues (Dial In: (b)(6)) - Hope Jarkowski
- 2:00pm - 2:30pm Hold for call w/Tom Farley NYSE re: update on Saudi Aramco (Bill's office) - Bancroft, Rolaine S.
- 2:00pm - 2:30pm Hold for call w/Tom Farley NYSE re: update on Saudi Aramco (Bill's office) - Bancroft, Rolaine S.
- 3:00pm - 3:30pm IM Meeting Prep - Garnett, Karen
- 3:00pm - 3:30pm IM Meeting Prep - Garnett, Karen
- 3:30pm - 4:00pm IM/CF meeting on "Coordination on Cryptocurrency" | Agenda attached below (CONF RM*SP1 8000 VTC/WebEx Large-40 | Dial In via (b)(6)) - Blass, Dalia
- 3:30pm - 4:00pm IM/CF meeting on "Coordination on Cryptocurrency" | Agenda attached below (CONF RM*SP1 8000 VTC/WebEx Large-40 | Dial In via (b)(6)) - Blass, Dalia

Friday, October 20

- 10:00am - 11:00am Meeting with Roel Campos and Liquidnet (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 10:00am - 11:00am Meeting with Roel Campos and Liquidnet (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:00pm - 2:00pm posting delegated authority actions on awb://sec.gov (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 2:00pm posting delegated authority actions on awb://sec.gov (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm FW: Invitation: Corporate Boards Discussion with Wes Bricker @ Fri Oct 20, 2017 2pm - 3pm (EDT) (b)(6)@sec.gov (b)(6) - michael.j.gallagher@pwc.com
- 2:00pm - 3:00pm FW: Invitation: Corporate Boards Discussion with Wes Bricker @ Fri Oct 20, 2017 2pm - 3pm (EDT) (b)(6)@sec.gov (b)(6) - michael.j.gallagher@pwc.com
- 3:00pm - 3:30pm Corrective Action Plan Review and Signature Before Formal Submission to COO (Bill's Office) - Arietti Gold, Charlene
- 3:00pm - 3:30pm Corrective Action Plan Review and Signature Before Formal Submission to COO (Bill's Office) - Arietti Gold, Charlene

October 23, 2017 -
October 29, 2017

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Monday, October 23

- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 1:30pm - 2:00pm discuss cybersecurity guidance (Bill's office) - Brightwell, Tamara
- 1:30pm - 2:00pm discuss cybersecurity guidance (Bill's office) - Brightwell, Tamara
- 2:00pm - 3:00pm SEC Cybersecurity Forum (Amazon AWS) (CONF RM*MULTIPURPOSE ROOM (MPR)-114 and Webex) - Hetner, Christopher
- 2:00pm - 3:00pm SEC Cybersecurity Forum (Amazon AWS) (CONF RM*MULTIPURPOSE ROOM (MPR)-114 and Webex) - Hetner, Christopher
- 3:30pm - 4:30pm discuss 3-05 thoughts - rescheduled (Bill's office) - Brightwell, Tamara
- 3:30pm - 4:30pm discuss 3-05 thoughts - rescheduled (Bill's office) - Brightwell, Tamara

Tuesday, October 24

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:30pm - 1:30pm (b)(6)
- 12:30pm - 1:30pm
- 1:30pm - 3:00pm Information Technology - Capital Planning Committee (ITCPC) Meeting (Conference Room Large SP1 #4000 -40-) - OIT_Project_Requests
- 1:30pm - 3:00pm Information Technology - Capital Planning Committee (ITCPC) Meeting (Conference Room Large SP1 #4000 -40-) - OIT_Project_Requests
- 3:30pm - 4:30pm Discuss Town Hall Topics (Bill's Office) - Seaman, Michael P.
- 3:30pm - 4:30pm Discuss Town Hall Topics (Bill's Office) - Seaman, Michael P.

Wednesday, October 25

- 11:00am - 11:30am Betsy meeting (Bill's Office) - Seaman, Michael P.
- 11:00am - 11:30am Betsy meeting (Bill's Office) - Seaman, Michael P.
- 12:00pm - 1:00pm Lunch w/ Barry Walters (Union Station) - Walters, Barry
- 12:00pm - 1:00pm Lunch w/ Barry Walters (Union Station) - Walters, Barry
- 4:00pm - 5:00pm Current WKSJ Waiver Requests (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 4:00pm - 5:00pm Current WKSJ Waiver Requests (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 5:00pm - 6:30pm Happy Hour with the Chairman (Chairman's 10th Floor Terrace) - Clayton, Jay
- 5:00pm - 6:30pm Happy Hour with the Chairman (Chairman's 10th Floor Terrace) - Clayton, Jay

Thursday, October 26

- 10:00am - 11:30am Review Guides (Conference Room #4520) - Parratt, Shelley
- 10:00am - 11:30am Review Guides (Conference Room #4520) - Parratt, Shelley
- 12:00pm - 1:00pm office decoration judging - Parratt, Shelley
- 12:00pm - 1:00pm office decoration judging - Parratt, Shelley
- 1:30pm - 3:00pm FMOC meeting (CONF RM*SP1 3000 VTC/WebEx Medium-24) - Kauffman, Caryn
- 1:30pm - 3:00pm FMOC meeting (CONF RM*SP1 3000 VTC/WebEx Medium-24) - Kauffman, Caryn
- 3:00pm - 5:00pm Hold for Division social event
- 3:00pm - 5:00pm Hold for Division social event

Friday, October 27

- 9:30am - 9:45am briefing (Bill's office) - Daly, James (CF)
- 9:30am - 9:45am briefing (Bill's office) - Daly, James (CF)
- 10:00am - 10:30am preview of 2018 review program (BH office) - Parratt, Shelley
- 10:00am - 10:30am preview of 2018 review program (BH office) - Parratt, Shelley
- 10:30am - 11:30am HOLD for Tapestry TPs (Bill's office) - Bancroft, Rolaine S.
- 10:30am - 11:30am HOLD for Tapestry TPs (Bill's office) - Bancroft, Rolaine S.
- 11:30am - 12:00pm S-3 waiver (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:30am - 12:00pm S-3 waiver (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:00pm - 12:15pm (b)(6)
- 12:00pm - 12:15pm
- 12:15pm - 1:15pm (b)(6)
- 12:15pm - 1:15pm
- 2:00pm - 2:30pm catch up (Bill's office) - Bancroft, Rolaine S.
- 2:00pm - 2:30pm catch up (Bill's office) - Bancroft, Rolaine S.
- 2:30pm - 3:30pm Finish up Tapestry/NYSE questions (Bill's office) - Bancroft, Rolaine S.
- 2:30pm - 3:30pm Finish up Tapestry/NYSE questions (Bill's office) - Bancroft, Rolaine S.

October 30, 2017 -
November 5, 2017

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November 2017						
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Monday, October 30

- Tapestry - Audit Committee Leadership Network (New York City, NY)
- Tapestry - Audit Committee Leadership Network (New York City, NY)
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley

Tuesday, October 31

- Halloween (United States)
- Halloween (United States)
- 9:20am - 9:45am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 9:20am - 9:45am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 9:45am - 10:15am Conflict Minerals (Chairman's Office) - Clayton, Jay
- 9:45am - 10:15am Conflict Minerals (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:30pm FMO Meeting (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
- 11:30am - 12:30pm FMO Meeting (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
- 1:00pm - 2:00pm Discuss PLI Panel (Bill's Office) - Seaman, Michael P.
- 1:00pm - 2:00pm Discuss PLI Panel (Bill's Office) - Seaman, Michael P.
- 2:30pm - 3:00pm Meeting with Chairman Clayton and the Divisions+OCIE (Chairman's Office) - Clayton, Jay
- 2:30pm - 3:00pm Meeting with Chairman Clayton and the Divisions+OCIE (Chairman's Office) - Clayton, Jay
- 3:00pm - 4:00pm Emerging Risk Discussion Group (Ceremonial Room, Room 10000) - Memon, Sean
- 3:00pm - 4:00pm Emerging Risk Discussion Group (Ceremonial Room, Room 10000) - Memon, Sean
- 3:30pm - 4:00pm Annual Financial Report Close Out Discussion with Pete and Team (Chairman's Conference Room) - Clayton, Jay
- 3:30pm - 4:00pm Annual Financial Report Close Out Discussion with Pete and Team (Chairman's Conference Room) - Clayton, Jay

Wednesday, November 1

- 11:00am - 12:00pm OICF Business Plan Discussion (Room# 4521) - Hinman, William
- 11:00am - 12:00pm OICF Business Plan Discussion (Room# 4521) - Hinman, William
- 1:00pm - 3:00pm Cyber Tabletop Exercise Placeholder (Multipurpose Room) - Hetner, Christopher
- 1:00pm - 3:00pm Cyber Tabletop Exercise Placeholder (Multipurpose Room) - Hetner, Christopher
- 3:00pm - 3:30pm IOSCO ICO Statement Briefing (Room 4521) - Hinman, William
- 3:00pm - 3:30pm IOSCO ICO Statement Briefing (Room 4521) - Hinman, William
- 3:30pm - 4:30pm discuss potential rulemaking from S-K concept release (4520) - Brightwell, Tamara
- 3:30pm - 4:30pm discuss potential rulemaking from S-K concept release (4520) - Brightwell, Tamara

Thursday, November 2

- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 11:30am - 12:00pm Discuss Small Business Advocate Vacancy (CONF RM SP1 3002 Phone Small-17) - Katz, Brian
- 11:30am - 12:00pm Discuss Small Business Advocate Vacancy (CONF RM SP1 3002 Phone Small-17) - Katz, Brian
- 1:00pm - 1:30pm Waivers (Bills office) - Peikin, Steven
- 1:00pm - 1:30pm Waivers (Bills office) - Peikin, Steven
- 4:00pm - 4:30pm IOSCO C1 - Advice Memo (Room 4520) - Coco, Michael
- 4:00pm - 4:30pm IOSCO C1 - Advice Memo (Room 4520) - Coco, Michael
- 4:30pm - 5:00pm Reuters-Ross Kerber-telephone backgrounder-Staff Legal Bulletin (Bill's office) - Burns, Judith A. (OPA)
- 4:30pm - 5:00pm Reuters-Ross Kerber-telephone backgrounder-Staff Legal Bulletin (Bill's office) - Burns, Judith A. (OPA)
- 5:30pm - 6:30pm Mediation
- 5:30pm - 6:30pm Mediation

Friday, November 3

- 10:00am - 11:00am Discuss PLI Panel (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 10:00am - 11:00am Discuss PLI Panel (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 11:00am - 11:30am Bloomberg BNA's Andrea Vittorio-telephone backgrounder (Bill's office) - Burns, Judith A. (OPA)
- 11:00am - 11:30am Bloomberg BNA's Andrea Vittorio-telephone backgrounder (Bill's office) - Burns, Judith A. (OPA)
- 12:00pm - 1:00pm Division Staffing Issues (Bill's Office) - Arietti Gold, Charlene
- 12:00pm - 1:00pm Division Staffing Issues (Bill's Office) - Arietti Gold, Charlene
- 1:00pm - 2:00pm Telework Briefing (CF Only SP1 4520 Phone Small-12) - Arietti Gold, Charlene
- 1:00pm - 2:00pm Telework Briefing (CF Only SP1 4520 Phone Small-12) - Arietti Gold, Charlene
- 2:00pm - 2:30pm discuss next steps - Spotify (4520) - Brightwell, Tamara
- 2:00pm - 2:30pm discuss next steps - Spotify (4520) - Brightwell, Tamara
- 3:30pm - 4:30pm Groundfloor - Peer-to-Peer Lending (CF Only SP1 4520 Phone Small-12) - Garnett, Karen
- 3:30pm - 4:30pm Groundfloor - Peer-to-Peer Lending (CF Only SP1 4520 Phone Small-12) - Garnett, Karen

November 2017						
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Monday, November 6

- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 11:30am - 12:00pm discuss State Department chart re: conflict minerals (Bill's office) - Brightwell, Tamara
- 11:30am - 12:00pm discuss State Department chart re: conflict minerals (Bill's office) - Brightwell, Tamara
- 12:00pm - 12:30pm Ron Orol-The Deal-telephone backgrounder on staff legal bulletin (Bill's office) - Burns, Judith A. (OPA)
- 12:00pm - 12:30pm Ron Orol-The Deal-telephone backgrounder on staff legal bulletin (Bill's office) - Burns, Judith A. (OPA)
- 1:30pm - 2:00pm Chamber of Commerce Pre-Meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:30pm - 2:00pm Chamber of Commerce Pre-Meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:00pm - 2:45pm CF-DERA catch up (Bill's Office) - Bauguess, Scott
- 2:00pm - 2:45pm CF-DERA catch up (Bill's Office) - Bauguess, Scott
- 3:00pm - 4:00pm Chamber - Proxy Access Shareholder Proposals (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:00pm - 4:00pm Chamber - Proxy Access Shareholder Proposals (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.

Tuesday, November 7

- Election Day (United States)
- Election Day (United States)
- 10:00am - 10:30am catch up (Bill's office) - Brightwell, Tamara
- 10:00am - 10:30am catch up (Bill's office) - Brightwell, Tamara
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:30pm - 3:30pm Review NYSE TPs (Bill's office) - Bancroft, Rolaine S.
- 2:30pm - 3:30pm Review NYSE TPs (Bill's office) - Bancroft, Rolaine S.
- 4:30pm - 5:30pm FW: Meeting with Commissioner Piwowar to discuss recommendations chart for resource extraction proposal (Commissioner Piwowar's Office) - Staffin, Elliott
- 4:30pm - 5:30pm FW: Meeting with Commissioner Piwowar to discuss recommendations chart for resource extraction proposal (Commissioner Piwowar's Office) - Staffin, Elliott

Wednesday, November 8

- 9:30am - 10:00am PLI Annual Institute Prep (Bill's Office) - Seaman, Michael P.
- 9:30am - 10:00am PLI Annual Institute Prep (Bill's Office) - Seaman, Michael P.

Thursday, November 9

- PLI
- PLI
- 3:00pm - 4:00pm FY17 GAO Exit Conference (Conference Room 10000) - Kauffman, Caryn
- 3:00pm - 4:00pm FY17 GAO Exit Conference (Conference Room 10000) - Kauffman, Caryn

Friday, November 10

- NYSE Listed Company Advisory Board Meeting (New York Stock Exchange)
- NYSE Listed Company Advisory Board Meeting (New York Stock Exchange)

November 13, 2017 -
November 19, 2017

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December 2017						
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Monday, November 13

- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 1:30pm - 2:00pm Cybersecurity Working Group Meeting (Ceremonial Room) - Hetner, Christopher
- 1:30pm - 2:00pm Cybersecurity Working Group Meeting (Ceremonial Room) - Hetner, Christopher
- 5:00pm - 5:30pm How to spend our limited awards funding (Bill's Office) - Arietti Gold, Charlene
- 5:00pm - 5:30pm How to spend our limited awards funding (Bill's Office) - Arietti Gold, Charlene

Tuesday, November 14

- b(6)
- 9:30am - 10:30am Coffee with OICF (9th Floor Terrace) - CF Business Management Office
- 9:30am - 10:30am Coffee with OICF (9th Floor Terrace) - CF Business Management Office
- 9:30am - 10:30am OICF Coffee (9th Floor Terrace) - Hinman, William
- 9:30am - 10:30am OICF Coffee (9th Floor Terrace) - Hinman, William
- 1:00pm - 2:00pm Discuss Spotify letter (CF Only SP1 4520 Phone Small-12) - Bancroft, Rolaine S.
- 1:00pm - 2:00pm Discuss Spotify letter (CF Only SP1 4520 Phone Small-12) - Bancroft, Rolaine S.
- 3:00pm - 4:00pm `Confirmed - FSSCC presentation of the Sector's DRAFT Cybersecurity Profile (Ceremonial Room) - Halferty, Kelly
- 3:00pm - 4:00pm `Confirmed - FSSCC presentation of the Sector's DRAFT Cybersecurity Profile (Ceremonial Room) - Halferty, Kelly
- 5:00pm - 6:00pm rulemaking pre-meet (Bill's office) - Brightwell, Tamara
- 5:00pm - 6:00pm rulemaking pre-meet (Bill's office) - Brightwell, Tamara

Wednesday, November 15

- 10:15am - 10:30am Small Business Advocate: Overview (SP3 3903) - Gonzalez, Raul (Contractor)
- 10:15am - 10:30am Small Business Advocate: Overview (SP3 3903) - Gonzalez, Raul (Contractor)
- 10:30am - 11:30am Advocate for Small Business Capital Formation: Meeting (SP3 3903) - Gonzalez, Raul (Contractor)
- 10:30am - 11:30am Advocate for Small Business Capital Formation: Meeting (SP3 3903) - Gonzalez, Raul (Contractor)
- 1:00pm - 2:00pm Advocate for Small Business Capital Formation: Meeting (SEC HQ - SP3 3857) - Gonzalez, Raul (Contractor)
- 1:00pm - 2:00pm Advocate for Small Business Capital Formation: Meeting (SEC HQ - SP3 3857) - Gonzalez, Raul (Contractor)
- 2:30pm - 2:45pm Waiver Meeting (Bill's Office) - Seaman, Michael P.
- 2:30pm - 2:45pm Waiver Meeting (Bill's Office) - Seaman, Michael P.
- 3:00pm - 4:00pm Advocate for Small Business Capital Formation: Meeting (SEC HQ - SP3 3857) - Gonzalez, Raul (Contractor)
- 3:00pm - 4:00pm Advocate for Small Business Capital Formation: Meeting (SEC HQ - SP3 3857) - Gonzalez, Raul (Contractor)
- 4:00pm - 5:00pm Canceled: CF rulemaking - to be rescheduled (4520) - Brightwell, Tamara
- 4:00pm - 5:00pm Canceled: CF rulemaking - to be rescheduled (4520) - Brightwell, Tamara

Thursday, November 16

- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 11:00am - 11:30am Long Term Stock Exchange - Fredrickson, David R.
- 11:00am - 11:30am Long Term Stock Exchange - Fredrickson, David R.
- 12:00pm - 12:30pm DIVISON HEAD LUNCH
- 12:00pm - 12:30pm DIVISON HEAD LUNCH
- 12:30pm - 1:30pm Monthly luncheon – program office directors adjusted time to 12:30-1:30pm for 11/16 only (IM Work/Project Room SP1-8417) - Blass, Dalia
- 12:30pm - 1:30pm Monthly luncheon – program office directors adjusted time to 12:30-1:30pm for 11/16 only (IM Work/Project Room SP1-8417) - Blass, Dalia
- 1:30pm - 2:30pm Advocate for Small Business Capital Formation: Meeting (WebEx) - Gonzalez, Raul (Contractor)
- 1:30pm - 2:30pm Advocate for Small Business Capital Formation: Meeting (WebEx) - Gonzalez, Raul (Contractor)
- 2:30pm - 3:00pm Commissioner Piwowar Meeting - Seaman, Michael P.
- 2:30pm - 3:00pm Commissioner Piwowar Meeting - Seaman, Michael P.
- 6:45pm - 7:45pm Rami Sibay Securities Regulation Class - Seaman, Michael P.
- 6:45pm - 7:45pm Rami Sibay Securities Regulation Class - Seaman, Michael P.

Friday, November 17

- 10:00am - 11:00am Advocate for Small Business Capital Formation: Meeting (SEC HQ - SP3 3857) - Gonzalez, Raul (Contractor)
- 10:00am - 11:00am Advocate for Small Business Capital Formation: Meeting (SEC HQ - SP3 3857) - Gonzalez, Raul (Contractor)
- 11:00am - 12:00pm Diversity Briefing (CF Only SP1 4520 Phone Small-12) - Arietti Gold, Charlene
- 11:00am - 12:00pm Diversity Briefing (CF Only SP1 4520 Phone Small-12) - Arietti Gold, Charlene
- 11:00am - 11:45am Diversity Briefing-CF (William Hinman's Office) - Ghebretnsae, Philippos (Contractor)
- 11:00am - 11:45am Diversity Briefing-CF (William Hinman's Office) - Ghebretnsae, Philippos (Contractor)
- 1:00pm - 2:00pm Advocate for Small Business Capital Formation: Meeting (SEC HQ - SP3 3857) - Gonzalez, Raul (Contractor)
- 1:00pm - 2:00pm Advocate for Small Business Capital Formation: Meeting (SEC HQ - SP3 3857) - Gonzalez, Raul (Contractor)

November 20, 2017 -
November 26, 2017

November 2017						
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December 2017						
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Monday, November 20

- 9:00am - 10:00am Advocate for Small Business Capital Formation: Meeting (SEC HQ - SP3 3903) - Gonzalez, Raul (Contractor)
- 9:00am - 10:00am Advocate for Small Business Capital Formation: Meeting (SEC HQ - SP3 3903) - Gonzalez, Raul (Contractor)
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 11:30am - 12:00pm Catch-up (Your office) - Kronforst, Mark
- 11:30am - 12:00pm Catch-up (Your office) - Kronforst, Mark
- 12:30pm - 1:00pm Holding for discussion of expanded deputy duties (Bill's Office) - Arietti Gold, Charlene
- 12:30pm - 1:00pm Holding for discussion of expanded deputy duties (Bill's Office) - Arietti Gold, Charlene
- 1:00pm - 2:00pm Advocate for Small Business Capital Formation: Meeting (SEC HQ - SP3 3903) - Gonzalez, Raul (Contractor)
- 1:00pm - 2:00pm Advocate for Small Business Capital Formation: Meeting (SEC HQ - SP3 3903) - Gonzalez, Raul (Contractor)
- 2:00pm - 3:00pm "Munchee Discussion Mtg" w/A. Starr (Office 4518) - Hinman, William
- 2:00pm - 3:00pm "Munchee Discussion Mtg" w/A. Starr (Office 4518) - Hinman, William
- 3:00pm - 4:00pm Advocate for Small Business Capital Formation: Meeting (SEC HQ - SP3 3857) - Gonzalez, Raul (Contractor)
- 3:00pm - 4:00pm Advocate for Small Business Capital Formation: Meeting (SEC HQ - SP3 3857) - Gonzalez, Raul (Contractor)
- 4:00pm - 4:30pm discuss future role of OCMT (Bill's office) - Parratt, Shelley
- 4:00pm - 4:30pm discuss future role of OCMT (Bill's office) - Parratt, Shelley
- 4:30pm - 5:30pm discuss CF rulemaking (4520) - Brightwell, Tamara
- 4:30pm - 5:30pm discuss CF rulemaking (4520) - Brightwell, Tamara

Tuesday, November 21

- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:00pm - 1:00pm Native American Heritage Month Celebration (3833 and 3835)
- 12:00pm - 1:00pm Native American Heritage Month Celebration (3833 and 3835)
- 3:00pm - 3:30pm Meeting w/Rob. Evans (Room 4521) - Hinman, William
- 3:00pm - 3:30pm Meeting w/Rob. Evans (Room 4521) - Hinman, William
- 3:30pm - 4:00pm catch up on rulemaking? (Bill's office) - Brightwell, Tamara
- 3:30pm - 4:00pm catch up on rulemaking? (Bill's office) - Brightwell, Tamara

Wednesday, November 22

- 10:00am - 11:00am Advocate for Small Business Capital Formation: Meeting (WebEx and SP3 3903) - Gonzalez, Raul (Contractor)
- 10:00am - 11:00am Advocate for Small Business Capital Formation: Meeting (WebEx and SP3 3903) - Gonzalez, Raul (Contractor)

Thursday, November 23

- Thanksgiving
- Thanksgiving
- Thanksgiving Day (United States)
- Thanksgiving Day (United States)

Friday, November 24

November 27, 2017 -
December 3, 2017

November 2017

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December 2017

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Monday, November 27

- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 1:30pm - 2:30pm Discuss AICPA Panel (CF Only SP1 4520 Phone Small-12) - Bancroft, Rolaine S.
- 1:30pm - 2:30pm Discuss AICPA Panel (CF Only SP1 4520 Phone Small-12) - Bancroft, Rolaine S.
- 3:00pm - 3:30pm Discuss Small Business Forum Panel (Bill's Office) - Seaman, Michael P.
- 3:00pm - 3:30pm Discuss Small Business Forum Panel (Bill's Office) - Seaman, Michael P.

Tuesday, November 28

- 10:30am - 11:00am Discuss SBA Interview Results (CONF RM SP1 6000 VTC/WebEx Large-40) - Katz, Brian
- 10:30am - 11:00am Discuss SBA Interview Results (CONF RM SP1 6000 VTC/WebEx Large-40) - Katz, Brian
- 1:30pm - 3:00pm Information Technology - Capital Planning Committee (ITCPC) Meeting (Conference Room Large SP1 #4000 -40-) - OIT_Project_Requests
- 1:30pm - 3:00pm Information Technology - Capital Planning Committee (ITCPC) Meeting (Conference Room Large SP1 #4000 -40-) - OIT_Project_Requests
- 2:00pm - 2:45pm connecting with retail investors (Nester's office 2571) - Nester, John J.
- 2:00pm - 2:45pm connecting with retail investors (Nester's office 2571) - Nester, John J.
- 3:00pm - 3:30pm Tech Data Waiver Request (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:00pm - 3:30pm Tech Data Waiver Request (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:30pm - 4:00pm role and work of OCMT - rescheduled AGAIN (Bill's office) - Parratt, Shelley
- 3:30pm - 4:00pm role and work of OCMT - rescheduled AGAIN (Bill's office) - Parratt, Shelley
- 4:00pm - 5:00pm Apple 14a-8 no-action requests (Bill's office) - McNair, Matt
- 4:00pm - 5:00pm Apple 14a-8 no-action requests (Bill's office) - McNair, Matt
- 5:00pm - 5:30pm mediation
- 5:00pm - 5:30pm mediation

Wednesday, November 29

- 9:30am - 9:45am Discuss BIO and Federal Bar Association Meetings (Bill's Office) - Seaman, Michael P.
- 9:30am - 9:45am Discuss BIO and Federal Bar Association Meetings (Bill's Office) - Seaman, Michael P.
- 10:00am - 11:00am Discuss 961 Certification (Bill's Office) - Crane, Angela
- 10:00am - 11:00am Discuss 961 Certification (Bill's Office) - Crane, Angela
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Closed Commission Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Closed Commission Room) - Clayton, Jay
- 11:00am - 12:00pm FRM Revisions - capital formation (Bill's office) - Kronforst, Mark
- 11:00am - 12:00pm FRM Revisions - capital formation (Bill's office) - Kronforst, Mark
- 12:00pm - 5:00pm Out on Business Travel
- 12:00pm - 5:00pm Out on Business Travel

Thursday, November 30

- 2017 Annual Government-Business Forum on Small Business Capital Formation (The University of Texas at Austin)
- 2017 Annual Government-Business Forum on Small Business Capital Formation (The University of Texas at Austin)
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 1:30pm - 2:00pm Call re: bills (WebEx Online) - Gomez Abero, Sebastian
- 1:30pm - 2:00pm Call re: bills (WebEx Online) - Gomez Abero, Sebastian

Friday, December 1

- Out on Business Travel
- Out on Business Travel

December 4, 2017 -
December 10, 2017

December 2017

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January 2018

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Monday, December 4

- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 11:00am - 2:00pm Federal Bar Association Luncheon (Cleary Gottlieb Office - 2000 Pennsylvania Avenue, NW Washington, DC 20006)
- 11:00am - 2:00pm Federal Bar Association Luncheon (Cleary Gottlieb Office - 2000 Pennsylvania Avenue, NW Washington, DC 20006)
- 3:00pm - 4:00pm AICPA conference planning (Room 4520 and via teleconference) - Adams, Christine B.
- 3:00pm - 4:00pm AICPA conference planning (Room 4520 and via teleconference) - Adams, Christine B.
- 4:30pm - 5:00pm Meeting with Commissioner Piwowar
- 4:30pm - 5:00pm Meeting with Commissioner Piwowar

Tuesday, December 5

- 8:30am - 10:30am 2017 AICPA Conference (Wardman Park Marriott, 2660 Woodley Rd NW, Washington, DC 20008)
- 8:30am - 10:30am 2017 AICPA Conference (Wardman Park Marriott, 2660 Woodley Rd NW, Washington, DC 20008)
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:30pm CF Senior Staff - extending the time for those that are available (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:30pm CF Senior Staff - extending the time for those that are available (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:15pm 14a-8 Task Force Kickoff Meeting (Room 3551) - McNair, Matt
- 1:00pm - 1:15pm 14a-8 Task Force Kickoff Meeting (Room 3551) - McNair, Matt
- 2:00pm - 3:00pm Waiver Meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:00pm - 3:00pm Waiver Meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:00pm - 3:30pm Division Directors, OCIE, GC with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 3:00pm - 3:30pm Division Directors, OCIE, GC with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 4:00pm - 4:30pm discuss SRC release (Bill's office) - Brightwell, Tamara
- 4:00pm - 4:30pm discuss SRC release (Bill's office) - Brightwell, Tamara

Wednesday, December 6

- 10:00am - 11:00am catch-up - Parratt, Shelley
- 10:00am - 11:00am catch-up - Parratt, Shelley
- 1:30pm - 2:00pm Dow-Dupont (4520 or Bill's office) - Fredrickson, David R.
- 1:30pm - 2:00pm Dow-Dupont (4520 or Bill's office) - Fredrickson, David R.
- 3:30pm - 5:30pm HOLD - BIO Board Meeting (InterContinental - 801 Wharf Street SW.)
- 3:30pm - 5:30pm HOLD - BIO Board Meeting (InterContinental - 801 Wharf Street SW.)

Thursday, December 7

- 9:30am - 10:00am Meeting (Bill's office) - Fox, Raquel
- 9:30am - 10:00am Meeting (Bill's office) - Fox, Raquel
- 2:00pm - 2:20pm Meeting w/Rob Evans (Room 4521) - Hinman, William
- 2:00pm - 2:20pm Meeting w/Rob Evans (Room 4521) - Hinman, William
- 2:30pm - 3:00pm discuss rulemaking matters (Bill's office) - Brightwell, Tamara
- 2:30pm - 3:00pm discuss rulemaking matters (Bill's office) - Brightwell, Tamara
- 3:00pm - 3:30pm catch up items (Bill's office) - Bancroft, Rolaine S.
- 3:00pm - 3:30pm catch up items (Bill's office) - Bancroft, Rolaine S.
- 3:45pm - 4:15pm EVP of Comcast- David Cohen (Chairman's Office) - Clayton, Jay
- 3:45pm - 4:15pm EVP of Comcast- David Cohen (Chairman's Office) - Clayton, Jay
- 4:20pm - 5:00pm Hold to review Division performance ratings (Bill's Office) - Arietti Gold, Charlene
- 4:20pm - 5:00pm Hold to review Division performance ratings (Bill's Office) - Arietti Gold, Charlene

Friday, December 8

- December
- December
- 10:30am - 11:00am Holiday Event Discussion (CF Only SP1 4520 Phone Small-12)
- 10:30am - 11:00am Holiday Event Discussion (CF Only SP1 4520 Phone Small-12)
- 11:15am - 11:45am ICO/ Cryptocurrency Discussion (Chairman's Conference Room (Dial in Option (b)(6))) - Moskowitz, Lucas
- 11:15am - 11:45am ICO/ Cryptocurrency Discussion (Chairman's Conference Room (Dial in Option (b)(6))) - Moskowitz, Lucas
- 12:00pm - 3:00pm OSO Holiday Party 2017 (SP2, 2nd Floor; Open Space adjacent to SP2 elevator lobby) - Walters, Barry
- 12:00pm - 3:00pm OSO Holiday Party 2017 (SP2, 2nd Floor; Open Space adjacent to SP2 elevator lobby) - Walters, Barry
- 2:30pm - 4:30pm CF Holiday Party - CORP FIN FAMILY FESTIVUS (Auditorium Lobby) - CF Business Management Office
- 2:30pm - 4:30pm CF Holiday Party - CORP FIN FAMILY FESTIVUS (Auditorium Lobby) - CF Business Management Office

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Monday, December 11

- 11:45am - 12:45pm Munchee Press Call (CONF RM*SP1 5000 VTC/WebEx Large-57) - Karp, David S.
- 11:45am - 12:45pm Munchee Press Call (CONF RM*SP1 5000 VTC/WebEx Large-57) - Karp, David S.
- 12:00pm - 1:30pm Confirmed blockchain demo (RM 7000) - Szczepanik, Valerie
- 12:00pm - 1:30pm Confirmed blockchain demo (RM 7000) - Szczepanik, Valerie
- 1:00pm - 2:00pm Meeting re: draft statement [redacted] and Chairman's Conference Room) - Memon, Sean
- 1:00pm - 2:00pm Meeting re: draft statement [redacted] and Chairman's Conference Room) - Memon, Sean
- 3:00pm - 4:00pm Confirmed meeting with Managed Funds Association (8000) - Bancroft, Rolaine S.
- 3:00pm - 4:00pm Confirmed meeting with Managed Funds Association (8000) - Bancroft, Rolaine S.
- 3:00pm - 4:00pm FW: Managed Funds Association meeting (Tasha Ashby) | Topics listed below in schedule notes | 12/11/17 (3pm - 4pm) confirmed (Conference Room 8000 | Dial in via WebEx [redacted]) - Blass, Dalia
- 3:00pm - 4:00pm FW: Managed Funds Association meeting (Tasha Ashby) | Topics listed below in schedule notes | 12/11/17 (3pm - 4pm) confirmed (Conference Room 8000 | Dial in via WebEx [redacted]) - Blass, Dalia
- 4:00pm - 4:30pm Annual Internal Controls Assessment (Chairman's Conference Room) - Clayton, Jay
- 4:00pm - 4:30pm Annual Internal Controls Assessment (Chairman's Conference Room) - Clayton, Jay
- 4:30pm - 5:30pm HOLD - Parratt, Shelley
- 4:30pm - 5:30pm HOLD - Parratt, Shelley

Tuesday, December 12

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:30pm Brown bag discussion - SLB (3551)
- 11:30am - 12:30pm Brown bag discussion - SLB (3551)
- 1:15pm - 1:30pm town hall prep (auditorium) - Brightwell, Tamara
- 1:15pm - 1:30pm town hall prep (auditorium) - Brightwell, Tamara
- 1:30pm - 2:30pm *Logistics info added - Chairman's Town Hall (Station Place Auditorium and WebEx) - SEC Internal Communication
- 1:30pm - 2:30pm *Logistics info added - Chairman's Town Hall (Station Place Auditorium and WebEx) - SEC Internal Communication
- 2:30pm - 3:00pm rescheduled Monday meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:30pm - 3:00pm rescheduled Monday meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 4:00pm Emerging Risk Discussion Group (Ceremonial Room, Room 10000) - Memon, Sean
- 3:00pm - 4:00pm Emerging Risk Discussion Group (Ceremonial Room, Room 10000) - Memon, Sean
- 4:00pm - 4:30pm Divisions, OCIE, and GC (Chairman's Office) - Clayton, Jay
- 4:00pm - 4:30pm Divisions, OCIE, and GC (Chairman's Office) - Clayton, Jay
- 5:00pm - 5:30pm Announcing Changes (Bill's Office) - Arietti Gold, Charlene
- 5:00pm - 5:30pm Announcing Changes (Bill's Office) - Arietti Gold, Charlene

Wednesday, December 13

- 9:30am - 10:30am Thank you! (area outside my office (room 4523)) - Parratt, Shelley
- 9:30am - 10:30am Thank you! (area outside my office (room 4523)) - Parratt, Shelley
- 9:30am - 10:30am Canceled: hold for possible social event - Parratt, Shelley
- 9:30am - 10:30am Canceled: hold for possible social event - Parratt, Shelley
- 1:00pm - 2:00pm Bitcoin Briefing (Video Conference DC and NY (Closed Commission Room in DC and the OTX Room at NYRO)) - Clayton, Jay
- 1:00pm - 2:00pm Bitcoin Briefing (Video Conference DC and NY (Closed Commission Room in DC and the OTX Room at NYRO)) - Clayton, Jay

Thursday, December 14

- 10:00am - 10:30am tax update - rescheduled AGAIN (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 10:30am tax update - rescheduled AGAIN (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 1:00pm - 2:00pm FW: Review and Processing Guidance (CF Only SP1 4520 Phone Small-12) - Johnson, Winter (Contractor)
- 1:00pm - 2:00pm FW: Review and Processing Guidance (CF Only SP1 4520 Phone Small-12) - Johnson, Winter (Contractor)
- 3:45pm - 4:45pm Driver Anthony Rivers [redacted] - Transport 12/14/17 [redacted] to Dept of Treasury @ 3:45PM / Return to SEC with Jaime Klima
- 3:45pm - 4:45pm Driver Anthony Rivers [redacted] - Transport 12/14/17 [redacted] to Dept of Treasury @ 3:45PM / Return to SEC with Jaime Klima
- 4:30pm - 5:00pm Cryptocurrency Meeting (Treasury (Following FSOC)) - Clayton, Jay
- 4:30pm - 5:00pm Cryptocurrency Meeting (Treasury (Following FSOC)) - Clayton, Jay
- 5:00pm - 5:30pm Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 5:00pm - 5:30pm Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 5:30pm - 7:30pm Last Cocktails of the Year (Chairman's Suite) - Clayton, Jay
- 5:30pm - 7:30pm Last Cocktails of the Year (Chairman's Suite) - Clayton, Jay

Friday, December 15

- 9:30am - 10:30am Meeting re: FinTech (Ceremonial Room and [redacted]) - Memon, Sean
- 9:30am - 10:30am Meeting re: FinTech (Ceremonial Room and [redacted]) - Memon, Sean
- 11:00am - 12:00pm Discuss Dow/DuPont relief options (CF Only SP1 4520 Phone Small-12) - Bancroft, Rolaine S.
- 11:00am - 12:00pm Discuss Dow/DuPont relief options (CF Only SP1 4520 Phone Small-12) - Bancroft, Rolaine S.
- 2:00pm - 2:30pm answer any Qs on shut down procedures - rescheduled (4521) - Parratt, Shelley
- 2:00pm - 2:30pm answer any Qs on shut down procedures - rescheduled (4521) - Parratt, Shelley
- 4:30pm - 5:30pm catch up before the holidays - Parratt, Shelley
- 4:30pm - 5:30pm catch up before the holidays - Parratt, Shelley

December 18, 2017 -
December 24, 2017

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January 2018						
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Monday, December 18

- 9:30am - 10:00am ICO Catch-up w/ Amy Starr (Room 4521) - Hinman, William
- 9:30am - 10:00am ICO Catch-up w/ Amy Starr (Room 4521) - Hinman, William
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting (4520) - Parratt, Shelley
- 2:00pm - 2:30pm Discuss Apple Response (Bill's Office) - Seaman, Michael P.
- 2:00pm - 2:30pm Discuss Apple Response (Bill's Office) - Seaman, Michael P.
- 3:00pm - 3:30pm Cybersecurity Working Group (Ceremonial Room) - Hetner, Christopher
- 3:00pm - 3:30pm Cybersecurity Working Group (Ceremonial Room) - Hetner, Christopher
- 4:00pm - 4:30pm Following up on Bloomberg (Bill's Office) - Arietti Gold, Charlene
- 4:00pm - 4:30pm Following up on Bloomberg (Bill's Office) - Arietti Gold, Charlene

Tuesday, December 19

- 10:00am - 10:30am Inline XBRL (Bill's office/4520) - Fredrickson, David R.
- 10:00am - 10:30am Inline XBRL (Bill's office/4520) - Fredrickson, David R.
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 3:00pm SEC Cybersecurity Forum (Accenture and Ponemon Institute 2017 Cyber Crime Study) (CONF RM*MULTIPURPOSE ROOM (MPR)-114 and Webex) - Hetner, Christopher
- 1:30pm - 3:00pm SEC Cybersecurity Forum (Accenture and Ponemon Institute 2017 Cyber Crime Study) (CONF RM*MULTIPURPOSE ROOM (MPR)-114 and Webex) - Hetner, Christopher
- 4:30pm - 5:30pm FW: Confirmed: Business Roundtable Meeting re: Tax Reform (CONF RM SP2 6280 Forum OCOO Only) - Bricker, Wesley
- 4:30pm - 5:30pm FW: Confirmed: Business Roundtable Meeting re: Tax Reform (CONF RM SP2 6280 Forum OCOO Only) - Bricker, Wesley

Wednesday, December 20

- 9:30am - 10:00am Discuss WKSI Waiver Requests (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 9:30am - 10:00am Discuss WKSI Waiver Requests (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 10:00am - 10:15am Discuss Bad Actor Waiver (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 10:00am - 10:15am Discuss Bad Actor Waiver (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 11:00am - 11:30am Background interview with Tom Zanki from Law360 (Bill's Office) - White, Ryan
- 11:00am - 11:30am Background interview with Tom Zanki from Law360 (Bill's Office) - White, Ryan
- 12:30pm - 1:30pm Introductory Call re SRI Panel (b)(6) - Denenberg, Alan F.
- 12:30pm - 1:30pm Introductory Call re SRI Panel (b)(6) - Denenberg, Alan F.
- 2:00pm - 3:30pm consenSys w/ Amy Starr (CONF RM SP1 6000 VTC/WebEx Large-40) - Hinman, William
- 2:00pm - 3:30pm consenSys w/ Amy Starr (CONF RM SP1 6000 VTC/WebEx Large-40) - Hinman, William
- 2:00pm - 3:30pm Follow on Meeting with ConsenSys - Submission Attached (6000) - Starr, Amy
- 2:00pm - 3:30pm Follow on Meeting with ConsenSys - Submission Attached (6000) - Starr, Amy

Thursday, December 21

- 10:30am - 12:00pm Information Technology - Capital Planning Committee (ITCPC) Meeting - DME Allocations (WebEx Included) (Conference Room Large SP1 #5000 -38-) - OIT_Project_Requests
- 10:30am - 12:00pm Information Technology - Capital Planning Committee (ITCPC) Meeting - DME Allocations (WebEx Included) (Conference Room Large SP1 #5000 -38-) - OIT_Project_Requests
- 12:00pm - 1:30pm Mthly Program Office Directors Luncheon | Lunch is BYO (IM Work/Project Room SP1-8417 | POC: Rene Gwinn (b)(6) for Dalia Blass)
- 12:00pm - 1:30pm Mthly Program Office Directors Luncheon | Lunch is BYO (IM Work/Project Room SP1-8417 | POC: Rene Gwinn (b)(6) for Dalia Blass)

Friday, December 22

(b)(6)

- 12:00pm - 12:30pm Treasury Call (WebEx Online) - Wood, Bryan
- 12:00pm - 12:30pm Treasury Call (WebEx Online) - Wood, Bryan

December 2017

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January 2018

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Monday, December 25

- CHRISTMAS
- CHRISTMAS
- Christmas Day (United States)
- Christmas Day (United States)

Tuesday, December 26

(b)(6)

Wednesday, December 27

(b)(6)

Thursday, December 28

(b)(6)

- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay

Friday, December 29

(b)(6)

(b)(6)

January 1, 2018 -
January 7, 2018

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February 2018						
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Monday, January 1

(b)(6)

New Year's Day (United States)
New Year's Day (United States)

Tuesday, January 2

(b)(6)

Wednesday, January 3

- 10:00am - 11:00am Division meeting prep - Parratt, Shelley
- 10:00am - 11:00am Division meeting prep - Parratt, Shelley
- 12:30pm - 1:00pm IM Pre-Meeting (Bill's Office) - Seaman, Michael P.
- 12:30pm - 1:00pm IM Pre-Meeting (Bill's Office) - Seaman, Michael P.
- 2:00pm - 3:00pm IM/CF Cryptocurrency Coordination (2-3pm) (Conference Room 8000 Dial In via WebEx (b)(6)) - Blass, Dalia
- 2:00pm - 3:00pm IM/CF Cryptocurrency Coordination (2-3pm) (Conference Room 8000 Dial In via WebEx (b)(6)) - Blass, Dalia
- 3:00pm - 4:00pm Follow-up meeting re: Fintech (Ceremonial Room and (b)(6)) - Memon, Sean
- 3:00pm - 4:00pm Follow-up meeting re: Fintech (Ceremonial Room and (b)(6)) - Memon, Sean
- 4:00pm - 4:30pm discuss resource extraction (your office) - Brightwell, Tamara
- 4:00pm - 4:30pm discuss resource extraction (your office) - Brightwell, Tamara
- 4:30pm - 5:00pm Retirement (Bill's Office) - Arietti Gold, Charlene
- 4:30pm - 5:00pm Retirement (Bill's Office) - Arietti Gold, Charlene

Thursday, January 4

- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 12:00pm - 12:30pm trading suspension candidate - UBI Blockchain, Ltd. (CF Only SP1 4520 Phone Small-12) - Anderson, Michele
- 12:00pm - 12:30pm trading suspension candidate - UBI Blockchain, Ltd. (CF Only SP1 4520 Phone Small-12) - Anderson, Michele
- 1:00pm - 1:30pm Meeting w/B. Hinman and Rob Evans (Room 4521) - Johnson, Winter (Contractor)
- 1:00pm - 1:30pm Meeting w/B. Hinman and Rob Evans (Room 4521) - Johnson, Winter (Contractor)
- 1:30pm - 2:00pm ICO/Crypto Catch-Up (BH's office) - Szczepanik, Valerie
- 1:30pm - 2:00pm ICO/Crypto Catch-Up (BH's office) - Szczepanik, Valerie
- 2:00pm - 3:00pm hold for meeting with Center on Executive Compensation (4520) - Brightwell, Tamara
- 2:00pm - 3:00pm hold for meeting with Center on Executive Compensation (4520) - Brightwell, Tamara
- 4:00pm - 5:00pm discuss speech (your office) - Brightwell, Tamara
- 4:00pm - 5:00pm discuss speech (your office) - Brightwell, Tamara

Friday, January 5

- 12:00pm - 12:30pm Discuss Senior Special Counsel Interviews (4520 and WebEx) - Schoenauer, Virginia
- 12:00pm - 12:30pm Discuss Senior Special Counsel Interviews (4520 and WebEx) - Schoenauer, Virginia
- 2:00pm - 2:30pm HOLD to Discuss topics for SRI panels (CF Only SP1 4520 Phone Small-12) - Bancroft, Rolaine S.
- 2:00pm - 2:30pm HOLD to Discuss topics for SRI panels (CF Only SP1 4520 Phone Small-12) - Bancroft, Rolaine S.
- 4:30pm - 5:00pm Invitation: Call with Rob, William, and Tamara @ Fri Jan 5, 2018 4:30pm - 5pm (EST) (b)(6)@sec.gov (Dial-In: (b)(6))
passcode (b)(6) - (b)(6)
- 4:30pm - 5:00pm Invitation: Call with Rob, William, and Tamara @ Fri Jan 5, 2018 4:30pm - 5pm (EST) (b)(6)@sec.gov (Dial-In: (b)(6))
passcode (b)(6) - (b)(6)

January 8, 2018 -
January 14, 2018

January 2018							February 2018						
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Monday, January 8

- 11:00am - 12:00pm Meeting with Finova Financial (Home Office Conf. Room 3000; WebEx Online) - Szczepanik, Valerie
- 11:00am - 12:00pm Meeting with Finova Financial (Home Office Conf. Room 3000; WebEx Online) - Szczepanik, Valerie
- 1:00pm - 2:00pm Call w/ Comcast re: 14a-8 (Bill's office) - McNair, Matt
- 1:00pm - 2:00pm Call w/ Comcast re: 14a-8 (Bill's office) - McNair, Matt
- 3:00pm - 3:30pm Follow-up (Bill's Office) - Seaman, Michael P.
- 3:00pm - 3:30pm Follow-up (Bill's Office) - Seaman, Michael P.

Tuesday, January 9

- 9:00am - 10:00am Tuesday (1/9/18) Coffee/Breakfast to Support SEC Affinity Groups (9th Floor Terrace) - Gibbs, Pamela
- 9:00am - 10:00am Tuesday (1/9/18) Coffee/Breakfast to Support SEC Affinity Groups (9th Floor Terrace) - Gibbs, Pamela
- 10:00am - 10:30am discuss Treasury inquiry (Bill's office) - Anderson, Michele
- 10:00am - 10:30am discuss Treasury inquiry (Bill's office) - Anderson, Michele
- 10:00am - 11:00am Chairman's Talking Points (WebEx Online) - Szczepanik, Valerie
- 10:00am - 11:00am Chairman's Talking Points (WebEx Online) - Szczepanik, Valerie
- 10:30am - 11:00am discuss speech (Bill's office) - Brightwell, Tamara
- 10:30am - 11:00am discuss speech (Bill's office) - Brightwell, Tamara
- 11:30am - 12:30pm Update on the messaging for the CF All Hands (CF Only SP1 4520 Phone Small-12) - Arietti Gold, Charlene
- 11:30am - 12:30pm Update on the messaging for the CF All Hands (CF Only SP1 4520 Phone Small-12) - Arietti Gold, Charlene
- 1:00pm - 2:00pm introductory meeting with Ipreo (4520) - Brightwell, Tamara
- 1:00pm - 2:00pm introductory meeting with Ipreo (4520) - Brightwell, Tamara
- 2:00pm - 2:45pm discuss NYU program (Bill's office) - Brightwell, Tamara
- 2:00pm - 2:45pm discuss NYU program (Bill's office) - Brightwell, Tamara
- 3:30pm - 4:30pm Exxon - Shareholder Proposal Reform (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:30pm - 4:30pm Exxon - Shareholder Proposal Reform (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 4:30pm - 5:30pm Discuss Talking Points (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 4:30pm - 5:30pm Discuss Talking Points (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.

Wednesday, January 10

- 10:00am - 11:00am Briefing on Cannabis Companies (CF Only SP1 4520 Phone Small-12) - LaMothe, Cicely
- 10:00am - 11:00am Briefing on Cannabis Companies (CF Only SP1 4520 Phone Small-12) - LaMothe, Cicely
- 11:00am - 11:45am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:00am - 11:45am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 12:00pm - 1:00pm SEC/NYU dialogue prep (CF Only SP1 4520 Phone Small-12) - Anderson, Michele
- 12:00pm - 1:00pm SEC/NYU dialogue prep (CF Only SP1 4520 Phone Small-12) - Anderson, Michele
- 1:30pm - 2:00pm AmerisourceBergen 14a-8 (Bill's office) - McNair, Matt
- 1:30pm - 2:00pm AmerisourceBergen 14a-8 (Bill's office) - McNair, Matt
- 3:00pm - 3:30pm update (Shelley and Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Shelley and Kyle) - Parratt, Shelley
- 3:30pm - 4:00pm Canceled: discuss speech (your office) - Brightwell, Tamara
- 3:30pm - 4:00pm Canceled: discuss speech (your office) - Brightwell, Tamara
- 4:00pm - 6:00pm [b](6)
- 4:00pm - 6:00pm [b](6)

Thursday, January 11

- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 11:30am - 12:30pm HOLD to discuss request for relief from Intel/Mobileye (CF Only SP1 4520 Phone Small-12) - Bancroft, Rolaine S.
- 11:30am - 12:30pm HOLD to discuss request for relief from Intel/Mobileye (CF Only SP1 4520 Phone Small-12) - Bancroft, Rolaine S.
- 1:00pm - 1:45pm [WILLIAM HINMAN (SECURITIES & EXCHANGE COMMISSION)] ([100 F ST NE, WASHINGTON, DC 20002-4224 US]) - JOSEPH MBAYED, BLOOMBERG/ 731 LEX
- 1:00pm - 1:45pm [WILLIAM HINMAN (SECURITIES & EXCHANGE COMMISSION)] ([100 F ST NE, WASHINGTON, DC 20002-4224 US]) - JOSEPH MBAYED, BLOOMBERG/ 731 LEX
- 2:00pm - 2:30pm Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 2:00pm - 2:30pm Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 2:00pm - 2:30pm Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 2:00pm - 2:30pm Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 2:00pm - 2:30pm Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 2:00pm - 2:30pm Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 3:00pm - 3:30pm update (Shelley and Betsy) - rescheduled - Parratt, Shelley
- 3:00pm - 3:30pm update (Shelley and Betsy) - rescheduled - Parratt, Shelley
- 3:30pm - 4:00pm Canceled: Mtg. w/ENF & CF - Stein, Kara
- 3:30pm - 4:00pm Canceled: Mtg. w/ENF & CF - Stein, Kara
- 4:00pm - 4:30pm update (Shelley and David) - rescheduled - Parratt, Shelley
- 4:00pm - 4:30pm update (Shelley and David) - rescheduled - Parratt, Shelley
- 4:30pm - 5:30pm Reception Welcoming New Commissioners Peirce and Jackson (Chairman's Suite) - Clayton, Jay
- 4:30pm - 5:30pm Reception Welcoming New Commissioners Peirce and Jackson (Chairman's Suite) - Clayton, Jay

Friday, January 12

- 9:30am - 10:00am update from Tim (4521) - Parratt, Shelley
- 9:30am - 10:00am update from Tim (4521) - Parratt, Shelley
- 10:00am - 10:15am Wells Fargo Update (Bill's Office) - Seaman, Michael P.
- 10:00am - 10:15am Wells Fargo Update (Bill's Office) - Seaman, Michael P.
- 10:15am - 10:45am Update (Bill's Office) - Seaman, Michael P.
- 10:15am - 10:45am Update (Bill's Office) - Seaman, Michael P.
- 10:45am - 11:15am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:45am - 11:15am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 1:00pm - 1:30pm S-1 Incorporation by Reference (Bill's Office) - Seaman, Michael P.
- 1:00pm - 1:30pm S-1 Incorporation by Reference (Bill's Office) - Seaman, Michael P.

January 2018						
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February 2018						
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Monday, January 15

- Martin Luther King Day (United States)
- Martin Luther King Day (United States)
- 8:00am - 8:30am estimateds
- 8:00am - 8:30am estimateds

Tuesday, January 16

- 10:00am - 10:30am Monday meeting on Tuesday (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 10:30am Monday meeting on Tuesday (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:00am - 11:30am CF Senior Staff - RESCHEDULED TO 11AM (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 11:30am CF Senior Staff - RESCHEDULED TO 11AM (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:30am - 12:30pm Ceremonial Oath of Office For New Commissioners Jackson and Peirce (Station Place Auditorium and webcast) - SEC Internal Communication
- 11:30am - 12:30pm Ceremonial Oath of Office For New Commissioners Jackson and Peirce (Station Place Auditorium and webcast) - SEC Internal Communication
- 1:00pm - 2:00pm discuss pending DRS and comments (4520) - Brightwell, Tamara
- 1:00pm - 2:00pm discuss pending DRS and comments (4520) - Brightwell, Tamara
- 4:30pm - 5:30pm All Hands Dry Run (Bill's Office) - Arietti Gold, Charlene
- 4:30pm - 5:30pm All Hands Dry Run (Bill's Office) - Arietti Gold, Charlene

Wednesday, January 17

- 10:30am - 11:00am Canceled: discuss 3-10 - Brightwell, Tamara
- 10:30am - 11:00am Canceled: discuss 3-10 - Brightwell, Tamara
- 11:00am - 11:30am Panel 2 Planning Call - SEC-NYU Dialogue Shareholder Engagement (WebEx Online) - Juergens, Jennifer L
- 11:00am - 11:30am Panel 2 Planning Call - SEC-NYU Dialogue Shareholder Engagement (WebEx Online) - Juergens, Jennifer L
- 12:00pm - 12:30pm Senior Special Counsel Discussion (Bill's Office) - Hinman, William
- 12:00pm - 12:30pm Senior Special Counsel Discussion (Bill's Office) - Hinman, William
- 1:30pm - 2:00pm Bill and Jay - Clayton, Jay
- 1:30pm - 2:00pm Bill and Jay - Clayton, Jay
- 2:00pm - 3:30pm Commissioners' Roundtable on Mentoring (TBD)
- 2:00pm - 3:30pm Commissioners' Roundtable on Mentoring (TBD)
- 2:00pm - 3:30pm Roundtable on Mentoring (**New logistics info added - read enclosure** Station Place Auditorium + webcast) - SEC Internal Communication
- 2:00pm - 3:30pm Roundtable on Mentoring (**New logistics info added - read enclosure** Station Place Auditorium + webcast) - SEC Internal Communication
- 4:00pm - 4:30pm ENF/CF - Stein, Kara
- 4:00pm - 4:30pm ENF/CF - Stein, Kara
- 4:30pm - 5:30pm Discuss SRI Panels (Bill's Office) - Seaman, Michael P.
- 4:30pm - 5:30pm Discuss SRI Panels (Bill's Office) - Seaman, Michael P.
- 5:00pm - 5:30pm Feedback for Coaching (Natalya calls Bill) - Natalya Pestalozzi
- 5:00pm - 5:30pm Feedback for Coaching (Natalya calls Bill) - Natalya Pestalozzi
- 5:30pm - 6:00pm Just in case we need final changes to the all hands script (Bill's office) - Arietti Gold, Charlene
- 5:30pm - 6:00pm Just in case we need final changes to the all hands script (Bill's office) - Arietti Gold, Charlene

Thursday, January 18

- 9:30am - 10:00am SRI TPs (BH office) - Parratt, Shelley
- 9:30am - 10:00am SRI TPs (BH office) - Parratt, Shelley
- 10:30am - 11:00am FAIR Act rulemaking briefing (4520) - Brightwell, Tamara
- 10:30am - 11:00am FAIR Act rulemaking briefing (4520) - Brightwell, Tamara
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:30am - 12:00pm review delegated authority changes - Parratt, Shelley
- 11:30am - 12:00pm review delegated authority changes - Parratt, Shelley
- 12:00pm - 1:30pm Mthly Program Office Directors Luncheon | lunch is BYO (IM Work/Project Room SP1-8417 | POC: Rene Gwinn (b)(6) for Dalia Blass)
- 12:00pm - 1:30pm Mthly Program Office Directors Luncheon | lunch is BYO (IM Work/Project Room SP1-8417 | POC: Rene Gwinn (b)(6) for Dalia Blass)
- 1:15pm - 4:00pm Driver Joseph Hendricks (b)(6) - Transport 1/18/18 (b)(6) (to While House @ 1:15 PM / Return to SEC @ 3:15 PM) - Facilities Mailbox (HQ)
- 1:15pm - 4:00pm Driver Joseph Hendricks (b)(6) - Transport 1/18/18 (b)(6) (to While House @ 1:15 PM / Return to SEC @ 3:15 PM) - Facilities Mailbox (HQ)
- 2:00pm - 3:30pm Hold for possible Division meeting
- 2:00pm - 3:30pm Hold for possible Division meeting
- 2:00pm - 3:00pm CF Town Hall (Multipurpose Room (SP 1, Lower Level)) - CF Business Management Office
- 2:00pm - 3:00pm CF Town Hall (Multipurpose Room (SP 1, Lower Level)) - CF Business Management Office
- 2:00pm - 3:00pm Hold for Division All Hands (formal announcement will follow) - Arietti Gold, Charlene
- 2:00pm - 3:00pm Hold for Division All Hands (formal announcement will follow) - Arietti Gold, Charlene
- 4:30pm - 7:30pm (b)(6)
- 4:30pm - 7:30pm (b)(6)

Friday, January 19

- SEC-NYU Dialogue on Shareholder Engagement - Brightwell, Tamara
- SEC-NYU Dialogue on Shareholder Engagement - Brightwell, Tamara
- 11:30am - 12:00pm Potential Government Shutdown Preparations (Webex) - Dingman, Lacey
- 11:30am - 12:00pm Potential Government Shutdown Preparations (Webex) - Dingman, Lacey
- 2:30pm - 3:00pm Shutdown Update (WebEx Online) - Arietti Gold, Charlene
- 2:30pm - 3:00pm Shutdown Update (WebEx Online) - Arietti Gold, Charlene

January 22, 2018 -
January 28, 2018

January 2018

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February 2018

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Monday, January 22

- 1:00pm - 2:00pm SRI (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:00pm - 2:00pm SRI (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:00pm - 3:00pm Canceled: holding - just in case we need time (Bill's office) - Parratt, Shelley
- 2:00pm - 3:00pm Canceled: holding - just in case we need time (Bill's office) - Parratt, Shelley
- 3:30pm - 4:00pm All Hands and Staffing (Bill's Office) - Arietti Gold, Charlene
- 3:30pm - 4:00pm All Hands and Staffing (Bill's Office) - Arietti Gold, Charlene
- 4:30pm - 5:30pm Regroup on SRI - Seaman, Michael P.
- 4:30pm - 5:30pm Regroup on SRI - Seaman, Michael P.

Tuesday, January 23

- 10:30am - 12:30pm SRI presentation - Parratt, Shelley
- 10:30am - 12:30pm SRI presentation - Parratt, Shelley
- 12:45pm - 1:15pm Pre-meet re: NEC briefing (Chairman's conf. rm and [b](6)) - Memon, Sean
- 12:45pm - 1:15pm Pre-meet re: NEC briefing (Chairman's conf. rm and [b](6)) - Memon, Sean
- 1:30pm - 2:30pm Wedbush Waiver (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:30pm - 2:30pm Wedbush Waiver (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:00pm - 3:30pm Cybersecurity Working Group (Ceremonial Room) - Hetner, Christopher
- 3:00pm - 3:30pm Cybersecurity Working Group (Ceremonial Room) - Hetner, Christopher
- 4:30pm - 5:30pm Continuing moving pieces (Bill's Office) - Arietti Gold, Charlene
- 4:30pm - 5:30pm Continuing moving pieces (Bill's Office) - Arietti Gold, Charlene
- 5:00pm - 5:30pm Canceled: TESTING EMAIL - Johnson, Winter (Contractor)
- 5:00pm - 5:30pm Canceled: TESTING EMAIL - Johnson, Winter (Contractor)

Wednesday, January 24

- 1:00pm - 1:30pm Form 8-K disclosure - confirmed (4523/BH-phone) - Parratt, Shelley
- 1:00pm - 1:30pm Form 8-K disclosure - confirmed (4523/BH-phone) - Parratt, Shelley
- 1:30pm - 1:45pm Brief Discussion of EGC Legislation (Bill's Office) - Seaman, Michael P.
- 1:30pm - 1:45pm Brief Discussion of EGC Legislation (Bill's Office) - Seaman, Michael P.
- 2:00pm - 3:00pm Resource Meeting with Commissioner Peirce (10th Floor) - Seaman, Michael P.
- 2:00pm - 3:00pm Resource Meeting with Commissioner Peirce (10th Floor) - Seaman, Michael P.
- 3:00pm - 3:30pm Canceled: update (Shelley and Kyle) - cancelled - Parratt, Shelley
- 3:00pm - 3:30pm Canceled: update (Shelley and Kyle) - cancelled - Parratt, Shelley

Thursday, January 25

- 9:20am - 12:00pm Driver assigned Joseph Hendricks [b](6) - Transportation Request for 01/25/2018 [b](6) (from SEC to Eisenhower Executive Office Building 1650 Penn Ave NW; return to SEC) - Facilities Mailbox (HQ)
- 9:20am - 12:00pm Driver assigned Joseph Hendricks [b](6) - Transportation Request for 01/25/2018 [b](6) (from SEC to Eisenhower Executive Office Building 1650 Penn Ave NW; return to SEC) - Facilities Mailbox (HQ)
- 9:30am - 11:30am Briefing to NEC re digital assets (TBD) - Memon, Sean
- 9:30am - 11:30am Briefing to NEC re digital assets (TBD) - Memon, Sean
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 12:00pm - 1:00pm Corporation Finance Meeting - William Hinman (10100) - Peirce, Hester
- 12:00pm - 1:00pm Corporation Finance Meeting - William Hinman (10100) - Peirce, Hester
- 1:00pm - 2:00pm Hold for Staffing Discussion (Bill's Office) - Arietti Gold, Charlene
- 1:00pm - 2:00pm Hold for Staffing Discussion (Bill's Office) - Arietti Gold, Charlene
- 3:00pm - 4:00pm Discuss London Program (Bill's Office) - Seaman, Michael P.
- 3:00pm - 4:00pm Discuss London Program (Bill's Office) - Seaman, Michael P.
- 4:00pm - 5:00pm Senior Special Counsel Interview - #1 (Bill's Office #4521) - Johnson, Winter (Contractor)
- 4:00pm - 5:00pm Senior Special Counsel Interview - #1 (Bill's Office #4521) - Johnson, Winter (Contractor)

Friday, January 26

- 10:00am - 11:00am Senior Special Counsel Interview - #2 (Bill's Office #4521) - Johnson, Winter (Contractor)
- 10:00am - 11:00am Senior Special Counsel Interview - #2 (Bill's Office #4521) - Johnson, Winter (Contractor)
- 11:00am - 11:30am discuss speech (your office) - Brightwell, Tamara
- 11:00am - 11:30am discuss speech (your office) - Brightwell, Tamara
- 12:00pm - 1:00pm Senior Special Counsel Interview #3 (Bill's Office #4521) - Johnson, Winter (Contractor)
- 12:00pm - 1:00pm Senior Special Counsel Interview #3 (Bill's Office #4521) - Johnson, Winter (Contractor)
- 1:30pm - 2:00pm Rob Jackson and Bill Hinman (10200) - Jackson, Rob
- 1:30pm - 2:00pm Rob Jackson and Bill Hinman (10200) - Jackson, Rob
- 3:30pm - 4:00pm Discuss SEC Speaks Panel Topics (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:30pm - 4:00pm Discuss SEC Speaks Panel Topics (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.

January 2018						
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February 2018						
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Monday, January 29

- 9:30am - 10:00am Senior Special Counsel Interview #3 (Bill's Office) - Johnson, Winter (Contractor)
- 9:30am - 10:00am Senior Special Counsel Interview #3 (Bill's Office) - Johnson, Winter (Contractor)
- 11:00am - 12:00pm discuss comment letter (BH) - Parratt, Shelley
- 11:00am - 12:00pm discuss comment letter (BH) - Parratt, Shelley
- 12:00pm - 12:30pm
- 12:00pm - 12:30pm
- 12:00pm - 1:00pm Resource Extraction (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 12:00pm - 1:00pm Resource Extraction (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:00pm - 2:00pm Confirmed: Lynn Doughtie (call (Mr. Bricker will host) (b)(6)) - Risso, Casey Kittredge
- 1:00pm - 2:00pm Confirmed: Lynn Doughtie (call (Mr. Bricker will host) (b)(6)) - Risso, Casey Kittredge
- 2:00pm - 2:30pm Wedbush Waiver (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:00pm - 2:30pm Wedbush Waiver (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:00pm - 3:30pm London Panel (Bill's Office) - Seaman, Michael P.
- 3:00pm - 3:30pm London Panel (Bill's Office) - Seaman, Michael P.
- 3:30pm - 4:00pm SEC Speaks Topics and Panel (Bill's Office) - Seaman, Michael P.
- 3:30pm - 4:00pm SEC Speaks Topics and Panel (Bill's Office) - Seaman, Michael P.
- 4:00pm - 4:30pm cyber guidance (bills office) - Fredrickson, David R.
- 4:00pm - 4:30pm cyber guidance (bills office) - Fredrickson, David R.
- 4:30pm - 5:00pm Lobbying proposal (i)(5) and (i)(7) (Bill's office) - McNair, Matt
- 4:30pm - 5:00pm Lobbying proposal (i)(5) and (i)(7) (Bill's office) - McNair, Matt

Tuesday, January 30

- 9:30am - 10:00am discuss o+g reserve accounting (BH) - Hinman, William
- 9:30am - 10:00am discuss o+g reserve accounting (BH) - Hinman, William
- 10:00am - 10:30am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:00am - 12:00pm Voluntary Early Retirement Authority (VERA) and Voluntary Separation Incentive Payment (VSIP) Discussion (3551 or WebEx) - CF Business Management Office
- 11:00am - 12:00pm Voluntary Early Retirement Authority (VERA) and Voluntary Separation Incentive Payment (VSIP) Discussion (3551 or WebEx) - CF Business Management Office
- 11:30am - 12:00pm Harold Hamm/ Continental Resources (Chairman's Conference Room) - Clayton, Jay
- 11:30am - 12:00pm Harold Hamm/ Continental Resources (Chairman's Conference Room) - Clayton, Jay
- 12:00pm - 1:00pm Special Counsel Interview #4 (Bill's Office #4521) - Johnson, Winter (Contractor)
- 12:00pm - 1:00pm Special Counsel Interview #4 (Bill's Office #4521) - Johnson, Winter (Contractor)
- 1:30pm - 3:00pm Information Technology Capital Planning Committee (ITCPC) Meeting - DME Allocations (Continuation) (Conference Room Large SP1 #4000 -40-) - OIT_Project_Requests
- 1:30pm - 3:00pm Information Technology Capital Planning Committee (ITCPC) Meeting - DME Allocations (Continuation) (Conference Room Large SP1 #4000 -40-) - OIT_Project_Requests
- 1:30pm - 2:00pm Discuss open 3-05 questions (4521 - Bill's office) - Moffatt, Kyle
- 1:30pm - 2:00pm Discuss open 3-05 questions (4521 - Bill's office) - Moffatt, Kyle
- 2:00pm - 2:30pm Informational Briefing: Diversity Assessment Report for Regulated Entities (ROOM UPDATE SP1 7002) - Gibbs, Pamela
- 2:00pm - 2:30pm Informational Briefing: Diversity Assessment Report for Regulated Entities (ROOM UPDATE SP1 7002) - Gibbs, Pamela
- 2:30pm - 3:00pm Canceled: Ethics Office question (BH office) - Parratt, Shelley
- 2:30pm - 3:00pm Canceled: Ethics Office question (BH office) - Parratt, Shelley
- 3:00pm - 4:00pm Emerging Risk Discussion Group (Ceremonial Room, Room 10000) - Memon, Sean
- 3:00pm - 4:00pm Emerging Risk Discussion Group (Ceremonial Room, Room 10000) - Memon, Sean
- 4:30pm - 4:45pm Bail-In Information Memo (Bill's Office) - Seaman, Michael P.
- 4:30pm - 4:45pm Bail-In Information Memo (Bill's Office) - Seaman, Michael P.

Wednesday, January 31

- 12:00am 17th Annual Institute on Securities Regulation in Europe →
- 12:00am 17th Annual Institute on Securities Regulation in Europe →

Thursday, February 1

- 17th Annual Institute on Securities Regulation in Europe →
- 17th Annual Institute on Securities Regulation in Europe →
- Annual Institute on Securities Regulation in Europe
- Annual Institute on Securities Regulation in Europe
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 1:00pm - 3:00pm Confirmed - Meeting on 301 (Treasury, Room 4125 (Media Room B)) - (b)(6)@treasury.gov
- 1:00pm - 3:00pm Confirmed - Meeting on 301 (Treasury, Room 4125 (Media Room B)) - (b)(6)@treasury.gov

Friday, February 2

- 12:00am 17th Annual Institute on Securities Regulation in Europe
- 12:00am 17th Annual Institute on Securities Regulation in Europe
- Groundhog Day (United States)
- Groundhog Day (United States)

February 5, 2018 -
February 11, 2018

February 2018

March 2018

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Monday, February 5

- 10:45am - 11:45am FMOOC Meeting (CONF RM*SP2 7280 VTC/WebEx Large-30) - Kauffman, Caryn
- 10:45am - 11:45am FMOOC Meeting (CONF RM*SP2 7280 VTC/WebEx Large-30) - Kauffman, Caryn
- 12:30pm - 1:00pm MA (Room 4521) - Johnson, Winter (Contractor)
- 12:30pm - 1:00pm MA (Room 4521) - Johnson, Winter (Contractor)
- 1:30pm - 2:00pm spins (Bill's office) - Fredrickson, David R.
- 1:30pm - 2:00pm spins (Bill's office) - Fredrickson, David R.
- 3:30pm - 4:00pm 14a-8(i)(9) (Bill's office) - McNair, Matt
- 3:30pm - 4:00pm 14a-8(i)(9) (Bill's office) - McNair, Matt
- 4:00pm - 4:30pm discuss SIFMA letter (Bill's office) - Brightwell, Tamara
- 4:00pm - 4:30pm discuss SIFMA letter (Bill's office) - Brightwell, Tamara
- 4:30pm - 5:00pm discuss Rule 506 disqualification / waivers (Bill's office) - Brightwell, Tamara
- 4:30pm - 5:00pm discuss Rule 506 disqualification / waivers (Bill's office) - Brightwell, Tamara

Tuesday, February 6

- 10:00am - 10:30am Meeting w/Commissioner Peirce Re: Waivers (Peirce's Office) - Avakian, Stephanie
- 10:00am - 10:30am Meeting w/Commissioner Peirce Re: Waivers (Peirce's Office) - Avakian, Stephanie
- 10:30am - 11:15am Canceled: Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Canceled: Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 12:00pm - 12:30pm MS (Room 4521) - Hinman, William
- 12:00pm - 12:30pm MS (Room 4521) - Hinman, William
- 1:00pm - 1:30pm TB (Room 4521) - Johnson, Winter (Contractor)
- 1:00pm - 1:30pm TB (Room 4521) - Johnson, Winter (Contractor)
- 1:30pm - 2:00pm discuss TPs for town hall (BH) - Parratt, Shelley
- 1:30pm - 2:00pm discuss TPs for town hall (BH) - Parratt, Shelley
- 2:00pm - 2:30pm FW: SRC Rulemaking (Chairman's Conference Room) - Clayton, Jay
- 2:00pm - 2:30pm FW: SRC Rulemaking (Chairman's Conference Room) - Clayton, Jay
- 2:30pm - 3:00pm Theranos (SF-4030) Call (Call-in Number Below) - Chan, Jessica
- 2:30pm - 3:00pm Theranos (SF-4030) Call (Call-in Number Below) - Chan, Jessica
- 3:00pm - 3:30pm Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 3:00pm - 3:30pm Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 3:00pm - 3:30pm Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 3:00pm - 3:30pm Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 3:00pm - 3:30pm Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 3:00pm - 3:30pm Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 3:30pm - 4:00pm Rulemaking calendar (Bill's office) - Fox, Raquel
- 3:30pm - 4:00pm Rulemaking calendar (Bill's office) - Fox, Raquel
- 4:00pm - 5:00pm Pending Waiver Requests (Bill's Office) - Seaman, Michael P.
- 4:00pm - 5:00pm Pending Waiver Requests (Bill's Office) - Seaman, Michael P.

Wednesday, February 7

- 10:30am - 11:00am catch-up - Parratt, Shelley
- 10:30am - 11:00am catch-up - Parratt, Shelley
- 11:00am - 11:30am Call re Spot Mkts (WebEx Online) - Szczepanik, Valerie
- 11:00am - 11:30am Call re Spot Mkts (WebEx Online) - Szczepanik, Valerie
- 11:30am - 12:00pm Cyber Board Discussion (I'll come to your office) - Hetner, Christopher
- 11:30am - 12:00pm Cyber Board Discussion (I'll come to your office) - Hetner, Christopher
- 1:00pm - 1:30pm Betsy -2017 review (4521) - Parratt, Shelley
- 1:00pm - 1:30pm Betsy -2017 review (4521) - Parratt, Shelley
- 2:00pm - 2:30pm CF Discussion (B.Hinman's Office) - Johnson, Winter (Contractor)
- 2:00pm - 2:30pm CF Discussion (B.Hinman's Office) - Johnson, Winter (Contractor)
- 3:00pm - 3:30pm update (Shelley and Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Shelley and Kyle) - Parratt, Shelley
- 3:30pm - 4:00pm Meeting w/Commissioner Jackson Re: Waivers (Jackson's Office) - Avakian, Stephanie
- 3:30pm - 4:00pm Meeting w/Commissioner Jackson Re: Waivers (Jackson's Office) - Avakian, Stephanie

Thursday, February 8

- 10:00am - 10:15am GE briefing (4520) - Brightwell, Tamara
- 10:00am - 10:15am GE briefing (4520) - Brightwell, Tamara
- 10:30am - 4:00pm Out on Business Travel
- 10:30am - 4:00pm Out on Business Travel
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Canceled: Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Canceled: Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 3:00pm - 4:00pm SEC/KPMG meeting Thu-Feb.8, 3-4pm / attendees below (40th floor Lynne Doughtie conference room, KPMG NYO, 345 Park Ave (between 51-52 Streets), NYC 10154 **building security /visitor entry info below) - Doughtie, Lynne M
- 3:00pm - 4:00pm SEC/KPMG meeting Thu-Feb.8, 3-4pm / attendees below (40th floor Lynne Doughtie conference room, KPMG NYO, 345 Park Ave (between 51-52 Streets), NYC 10154 **building security /visitor entry info below) - Doughtie, Lynne M

Friday, February 9

- 9:30am - 10:00am discuss reg flex agenda (Bill's office / webex) - Brightwell, Tamara
- 9:30am - 10:00am discuss reg flex agenda (Bill's office / webex) - Brightwell, Tamara
- 10:00am - 11:00am GE briefing (4520) - Brightwell, Tamara
- 10:00am - 11:00am GE briefing (4520) - Brightwell, Tamara
- 12:30pm - 1:00pm Update (#4521) - Hinman, William
- 12:30pm - 1:00pm Update (#4521) - Hinman, William
- 2:00pm - 2:30pm update/idea - Parratt, Shelley
- 2:00pm - 2:30pm update/idea - Parratt, Shelley

February 12, 2018 -
February 18, 2018

February 2018

March 2018

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Monday, February 12

- Lincoln's Birthday (United States)
- Lincoln's Birthday (United States)
- 10:00am - 11:00am Catch Up (Bill's Office) - Arietti Gold, Charlene
- 10:00am - 11:00am Catch Up (Bill's Office) - Arietti Gold, Charlene
- 11:00am - 12:00pm Discuss SEC Speaks (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 11:00am - 12:00pm Discuss SEC Speaks (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:30pm - 2:00pm meeting w/ C. Peirce re: resource extraction (Commissioner's office) - Brightwell, Tamara
- 1:30pm - 2:00pm meeting w/ C. Peirce re: resource extraction (Commissioner's office) - Brightwell, Tamara
- 3:00pm - 4:00pm discuss conflict minerals (4520) - Brightwell, Tamara
- 3:00pm - 4:00pm discuss conflict minerals (4520) - Brightwell, Tamara

Tuesday, February 13

- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:30pm - 1:00pm Brief Legislation Mtg (4520)
- 12:30pm - 1:00pm Brief Legislation Mtg (4520)
- 1:30pm - 2:00pm BlackRock Meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:30pm - 2:00pm BlackRock Meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:00pm - 2:30pm prep - Dave Michaels (Bill's office) - Brightwell, Tamara
- 2:00pm - 2:30pm prep - Dave Michaels (Bill's office) - Brightwell, Tamara
- 2:30pm - 3:00pm Draft Commission Response to GAO Fintech Report -- Time-Sensitive Request -- Please Comment by Noon Friday (Tomorrow) 2/9 (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:30pm - 3:00pm Draft Commission Response to GAO Fintech Report -- Time-Sensitive Request -- Please Comment by Noon Friday (Tomorrow) 2/9 (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:00pm - 5:00pm Fintech/crypto/etc - touch base - rescheduled (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:00pm - 5:00pm Fintech/crypto/etc - touch base - rescheduled (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 5:00pm - 5:30pm Mtg (Sean's office) - Szczepanik, Valerie
- 5:00pm - 5:30pm Mtg (Sean's office) - Szczepanik, Valerie

Wednesday, February 14

- Valentine's Day (United States)
- Valentine's Day (United States)
- 9:30am - 10:10am Background interview with WSJ (Dalia Blass's office) - White, Ryan
- 9:30am - 10:10am Background interview with WSJ (Dalia Blass's office) - White, Ryan
- 10:15am - 10:45am Brief Discussion (Bill's Office) - Hinman, William
- 10:15am - 10:45am Brief Discussion (Bill's Office) - Hinman, William
- 11:00am - 11:30am Dave Michaels Off the Record (Chairman's Office) - Clayton, Jay
- 11:00am - 11:30am Dave Michaels Off the Record (Chairman's Office) - Clayton, Jay
- 2:00pm - 2:30pm Fleming-Hinman Meeting (3735) - Seaman, Michael P.
- 2:00pm - 2:30pm Fleming-Hinman Meeting (3735) - Seaman, Michael P.
- 2:30pm - 3:00pm Commissioner Piwowar Mtg (TBD)
- 2:30pm - 3:00pm Commissioner Piwowar Mtg (TBD)
- 3:00pm - 3:30pm FW: Exxon Follow-Up Call (TBD) - Seaman, Michael P.
- 3:00pm - 3:30pm FW: Exxon Follow-Up Call (TBD) - Seaman, Michael P.
- 3:30pm - 4:00pm Discuss DRS (CF Only SP1 4520 Phone Small-12) - LaMothe, Cicely
- 3:30pm - 4:00pm Discuss DRS (CF Only SP1 4520 Phone Small-12) - LaMothe, Cicely
- 4:00pm - 4:30pm Brief Discussion w/ Rob Evans (Bill's Office)
- 4:00pm - 4:30pm Brief Discussion w/ Rob Evans (Bill's Office)
- 5:00pm - 5:30pm town hall (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 5:00pm - 5:30pm town hall (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.

Thursday, February 15

- 9:30am - 10:30am HOLD
- 9:30am - 10:30am HOLD
- 10:30am - 11:00am hold
- 10:30am - 11:00am hold
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 12:00pm FW: Conference Call on Venezuela Petro and US Sanctions (Conference Call Bridge # [b](6)) - [b](6)@treasury.gov
- 11:00am - 12:00pm FW: Conference Call on Venezuela Petro and US Sanctions (Conference Call Bridge # [b](6)) - [b](6)@treasury.gov
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:30am - 2:30pm HOLD
- 11:30am - 2:30pm HOLD
- 12:00pm - 1:30pm Mthly Program Office Directors Luncheon | lunch is BYO (IM Work/Project Room SP1-8417 | POC: Rene Gwinn [b](6) for Dalia Blass)
- 12:00pm - 1:30pm Mthly Program Office Directors Luncheon | lunch is BYO (IM Work/Project Room SP1-8417 | POC: Rene Gwinn [b](6) for Dalia Blass)
- 2:30pm - 3:30pm NEW DATE - Division Director Town Hall (MPR) - CF Business Management Office
- 2:30pm - 3:30pm NEW DATE - Division Director Town Hall (MPR) - CF Business Management Office
- 2:30pm - 4:30pm Hold for Town Hall - Arietti Gold, Charlene
- 2:30pm - 4:30pm Hold for Town Hall - Arietti Gold, Charlene
- 4:30pm - 5:00pm Meeting with Cmr. Piowowar Re: SRC Term Sheet (Cmr. Piowowar's Office) - Gomez Abero, Sebastian
- 4:30pm - 5:00pm Meeting with Cmr. Piowowar Re: SRC Term Sheet (Cmr. Piowowar's Office) - Gomez Abero, Sebastian

Friday, February 16

- 10:00am - 11:00am discuss S-11 / Guide 5 project (4000) - Brightwell, Tamara
- 10:00am - 11:00am discuss S-11 / Guide 5 project (4000) - Brightwell, Tamara
- 11:00am - 11:30am Hinman-Leder touch base (Bill's office) - Leder, Paul
- 11:00am - 11:30am Hinman-Leder touch base (Bill's office) - Leder, Paul
- 1:00pm - 1:30pm Brief Discussion (Bill's Office #4521) - Hinman, William
- 1:00pm - 1:30pm Brief Discussion (Bill's Office #4521) - Hinman, William
- 2:30pm - 3:00pm Disney/21CenturyFox waiver request (Bill's office) - Moffatt, Kyle
- 2:30pm - 3:00pm Disney/21CenturyFox waiver request (Bill's office) - Moffatt, Kyle
- 3:30pm - 4:30pm Confirmed: KPMG Call (Call [b](6)) Bricker to host (host code: [b](6)) - Risso, Casey Kittredge
- 3:30pm - 4:30pm Confirmed: KPMG Call (Call [b](6)) Bricker to host (host code: [b](6)) - Risso, Casey Kittredge

February 2018							March 2018							
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Monday, February 19

- Presidents' Day (United States)
- Presidents' Day (United States)

Tuesday, February 20

- 9:30am - 10:00am touch base on cyberguidance (Bill's office) - Brightwell, Tamara
- 9:30am - 10:00am touch base on cyberguidance (Bill's office) - Brightwell, Tamara
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm Hold for OCM prep - Brightwell, Tamara
- 11:15am - 12:00pm Hold for OCM prep - Brightwell, Tamara
- 11:30am - 11:45am FSB Note (Bill's Office) - Seaman, Michael P.
- 11:30am - 11:45am FSB Note (Bill's Office) - Seaman, Michael P.
- 12:00pm - 12:30pm call re chairman's questions (WebEx Online) - Szczepanik, Valerie
- 12:00pm - 12:30pm call re chairman's questions (WebEx Online) - Szczepanik, Valerie
- 2:00pm - 3:00pm Waivers (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:00pm - 3:00pm Waivers (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:00pm - 3:30pm Call with Investor Advisory Committee Subcommittee on Market Structure (Bill's Office) - Seaman, Michael P.
- 3:00pm - 3:30pm Call with Investor Advisory Committee Subcommittee on Market Structure (Bill's Office) - Seaman, Michael P.
- 3:30pm - 4:30pm Filing Meeting (TBD) - Seaman, Michael P.
- 3:30pm - 4:30pm Filing Meeting (TBD) - Seaman, Michael P.
- 4:00pm - 5:00pm 14a-8 (Bill's Office) - Seaman, Michael P.
- 4:00pm - 5:00pm 14a-8 (Bill's Office) - Seaman, Michael P.

Wednesday, February 21

- 10:00am - 10:15am Brief Discussion w/ B. Hinman (Office #4521) - Hinman, William
- 10:00am - 10:15am Brief Discussion w/ B. Hinman (Office #4521) - Hinman, William
- 11:00am - 11:15am Brief Discussion w/ B. Hinman (Office#4521) - Hinman, William
- 11:00am - 11:15am Brief Discussion w/ B. Hinman (Office#4521) - Hinman, William
- 1:00pm - 1:30pm Ambio Holdings waiver request (Bill's office) - Moffatt, Kyle
- 1:00pm - 1:30pm Ambio Holdings waiver request (Bill's office) - Moffatt, Kyle
- 1:30pm - 2:00pm catch up - Parratt, Shelley
- 1:30pm - 2:00pm catch up - Parratt, Shelley
- 2:00pm - 3:00pm Cybersecurity Background call (Bill's Office) - White, Ryan
- 2:00pm - 3:00pm Cybersecurity Background call (Bill's Office) - White, Ryan
- 2:30pm - 3:00pm Meeting on Small Business Advocate Recruitment (Room 3903 and Webex) - Dingman, Lacey
- 2:30pm - 3:00pm Meeting on Small Business Advocate Recruitment (Room 3903 and Webex) - Dingman, Lacey
- 3:00pm - 3:30pm update (Shelley and Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Shelley and Kyle) - Parratt, Shelley
- 4:00pm - 5:00pm Meeting w Caitlin Long (formerly of Symbiont) (re regn of exchanges) (Conference Call) - Szczepanik, Valerie
- 4:00pm - 5:00pm Meeting w Caitlin Long (formerly of Symbiont) (re regn of exchanges) (Conference Call) - Szczepanik, Valerie

Thursday, February 22

- 9:00am - 10:00am Regulating crypto markets (Conference room by BH's office) - Bauguess, Scott
- 9:00am - 10:00am Regulating crypto markets (Conference room by BH's office) - Bauguess, Scott
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Canceled: Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Canceled: Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 12:00pm - 1:00pm Confirmed:Bill Hinman (meet in lobby) - Rizzo, Casey Kittredge
- 12:00pm - 1:00pm Confirmed:Bill Hinman (meet in lobby) - Rizzo, Casey Kittredge
- 1:30pm - 2:00pm Canceled: update (Shelley and Betsy) - cancelled - Parratt, Shelley
- 1:30pm - 2:00pm Canceled: update (Shelley and Betsy) - cancelled - Parratt, Shelley
- 2:00pm - 2:45pm Closed Commission Meeting - Seaman, Michael P.
- 2:00pm - 2:45pm Closed Commission Meeting - Seaman, Michael P.
- 2:00pm - 2:30pm Canceled: update (Shelley and David) - cancelled - Parratt, Shelley
- 2:00pm - 2:30pm Canceled: update (Shelley and David) - cancelled - Parratt, Shelley
- 2:30pm - 3:30pm SEC Speaks (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:30pm - 3:30pm SEC Speaks (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 4:30pm - 5:00pm Search Firm for Small Business Position (Chairman's Conference Room) - Clayton, Jay
- 4:30pm - 5:00pm Search Firm for Small Business Position (Chairman's Conference Room) - Clayton, Jay
- 5:00pm - 6:00pm Appreciation Happy Hour (Chairman's Conference Room/ Dining Room/ Terrace- 10th Floor) - Clayton, Jay
- 5:00pm - 6:00pm Appreciation Happy Hour (Chairman's Conference Room/ Dining Room/ Terrace- 10th Floor) - Clayton, Jay

Friday, February 23

- 12:00am SEC Speaks ->
- 12:00am SEC Speaks ->
- 9:30am - 10:00am
- 9:30am - 10:00am

February 26, 2018 -
March 4, 2018

February 2018

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March 2018

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Monday, February 26

- 11:00am - 12:00pm CF Business Plan Development and Honorary Award Nominees (Bill's Office) - Arietti Gold, Charlene
- 11:00am - 12:00pm CF Business Plan Development and Honorary Award Nominees (Bill's Office) - Arietti Gold, Charlene
- 1:00pm - 1:30pm Discuss NACD Advisory Council Meeting (Bill's Office) - Seaman, Michael P.
- 1:00pm - 1:30pm Discuss NACD Advisory Council Meeting (Bill's Office) - Seaman, Michael P.
- 1:30pm - 2:30pm FinTech update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:30pm FinTech update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 2:30pm 3-05 and use of PCC GAAP (Bill's office) - Moffatt, Kyle
- 2:00pm - 2:30pm 3-05 and use of PCC GAAP (Bill's office) - Moffatt, Kyle
- 2:30pm - 3:00pm discuss Chairman's direction to monitor cyber disclosures (BH) - Parratt, Shelley
- 2:30pm - 3:00pm discuss Chairman's direction to monitor cyber disclosures (BH) - Parratt, Shelley
- 3:00pm - 4:30pm Cybersecurity Discussion with Industry CISOs (Ceremonial Room) - Hetner, Christopher
- 3:00pm - 4:30pm Cybersecurity Discussion with Industry CISOs (Ceremonial Room) - Hetner, Christopher
- 5:00pm - 5:30pm Discuss IM's FAIR Act release (Bill's office) - Brightwell, Tamara
- 5:00pm - 5:30pm Discuss IM's FAIR Act release (Bill's office) - Brightwell, Tamara

Tuesday, February 27

- 9:30am - 10:00am Call with Treasury (Bill's Office) - Wood, Bryan
- 9:30am - 10:00am Call with Treasury (Bill's Office) - Wood, Bryan
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 2:00pm NACD Advisory Council Meeting (2800 Pennsylvania Avenue NW, Washington, DC 20007)
- 11:30am - 2:00pm NACD Advisory Council Meeting (2800 Pennsylvania Avenue NW, Washington, DC 20007)
- 2:30pm - 3:00pm hold (SEP)
- 2:30pm - 3:00pm hold (SEP)
- 2:30pm - 2:45pm chat with Bill (4521) - Parratt, Shelley
- 2:30pm - 2:45pm chat with Bill (4521) - Parratt, Shelley
- 3:00pm - 3:30pm Rescheduled SRC briefing with Cmr. Jackson (Cmr. Jackson's Office) - Gomez Abero, Sebastian
- 3:00pm - 3:30pm Rescheduled SRC briefing with Cmr. Jackson (Cmr. Jackson's Office) - Gomez Abero, Sebastian
- 3:00pm - 4:00pm Emerging Risk Discussion Group (Ceremonial Room, Room 10000) - Memon, Sean
- 3:00pm - 4:00pm Emerging Risk Discussion Group (Ceremonial Room, Room 10000) - Memon, Sean
- 5:30pm - 6:30pm Confirmed: KPMG (Call Toll Free: (b)(6) Host Code: (b)(6) (Bricker to host)) - Risso, Casey Kittredge
- 5:30pm - 6:30pm Confirmed: KPMG (Call Toll Free: (b)(6) Host Code: (b)(6) (Bricker to host)) - Risso, Casey Kittredge

Wednesday, February 28

- 9:30am - 9:45am Items (Bill's Office) - Seaman, Michael P.
- 9:30am - 9:45am Items (Bill's Office) - Seaman, Michael P.
- 10:00am - 11:00am FW: [WILLIAM HINMAN (SECURITIES & EXCHANGE COMMISSION)] ([100 F ST NE, WASHINGTON, DC 20002-4224 US]) - PETER MALONEY, BLOOMBERG/ 731 LEX
- 10:00am - 11:00am FW: [WILLIAM HINMAN (SECURITIES & EXCHANGE COMMISSION)] ([100 F ST NE, WASHINGTON, DC 20002-4224 US]) - PETER MALONEY, BLOOMBERG/ 731 LEX
- 2:30pm - 3:00pm Cybersecurity Working Group (Ceremonial Room) - Hetner, Christopher
- 2:30pm - 3:00pm Cybersecurity Working Group (Ceremonial Room) - Hetner, Christopher
- 3:30pm - 4:30pm FinTech working group (4521) - Parratt, Shelley
- 3:30pm - 4:30pm FinTech working group (4521) - Parratt, Shelley
- 4:30pm - 5:00pm Waiver Matters (Bill's Office) - Seaman, Michael P.
- 4:30pm - 5:00pm Waiver Matters (Bill's Office) - Seaman, Michael P.
- 5:30pm - 6:00pm Call w Ivan Nurminsky (U Berkeley) (WebEx Online) - Szczepanik, Valerie
- 5:30pm - 6:00pm Call w Ivan Nurminsky (U Berkeley) (WebEx Online) - Szczepanik, Valerie

Thursday, March 1

- 9:30am - 9:45am Brief Discussion about Closed Commission Meeting (Bill's Office) - Seaman, Michael P.
- 9:30am - 9:45am Brief Discussion about Closed Commission Meeting (Bill's Office) - Seaman, Michael P.
- 10:00am - 11:00am Framework and Filing (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 10:00am - 11:00am Framework and Filing (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Glass Lewis (Chairman's Office) - Clayton, Jay
- 11:00am - 11:30am Glass Lewis (Chairman's Office) - Clayton, Jay
- 1:00pm - 2:00pm KPMG Meeting (SEC- Chairman's Office) - Clayton, Jay
- 1:00pm - 2:00pm KPMG Meeting (SEC- Chairman's Office) - Clayton, Jay
- 2:00pm - 3:30pm Closed Commission Meeting - Seaman, Michael P.
- 2:00pm - 3:30pm Closed Commission Meeting - Seaman, Michael P.
- 3:30pm - 4:00pm CII Meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:30pm - 4:00pm CII Meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 4:00pm - 6:00pm hold for Karen's party - Parratt, Shelley
- 4:00pm - 6:00pm hold for Karen's party - Parratt, Shelley
- 4:15pm - 4:45pm Speeches for KG Going Away (CONF RM*SP1 9300/Outdoor Terrace) - LaMothe, Cicely
- 4:15pm - 4:45pm Speeches for KG Going Away (CONF RM*SP1 9300/Outdoor Terrace) - LaMothe, Cicely

Friday, March 2

- 10:00am - 10:30am Cyber Guidance Call with Senate Banking Cmte staff (10744) - Wood, Bryan
- 10:00am - 10:30am Cyber Guidance Call with Senate Banking Cmte staff (10744) - Wood, Bryan
- 11:00am - 12:00pm Filing Meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 11:00am - 12:00pm Filing Meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.

(b)(6)

March 5, 2018 -
March 11, 2018

March 2018						
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April 2018						
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Monday, March 5

- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 12:00pm Establishing a process for prioritizing CF IT needs (CF Only SP1 4520 Phone Small-12) - Arietti Gold, Charlene
- 11:00am - 12:00pm Establishing a process for prioritizing CF IT needs (CF Only SP1 4520 Phone Small-12) - Arietti Gold, Charlene
- 1:00pm - 2:00pm SEC Cybersecurity Forum (EY Crypto/ICO/Blockchain) (CONF RM*MULTIPURPOSE ROOM (MPR)-114 and Webex) - Hetner, Christopher
- 1:00pm - 2:00pm SEC Cybersecurity Forum (EY Crypto/ICO/Blockchain) (CONF RM*MULTIPURPOSE ROOM (MPR)-114 and Webex) - Hetner, Christopher
- 2:00pm - 2:30pm Fwd: Call to discuss NACD April 3 Advisory Council meeting (2pm ET) - details enclosed (b)(6)
- 2:00pm - 2:30pm Fwd: Call to discuss NACD April 3 Advisory Council meeting (2pm ET) - details enclosed (b)(6)
- 2:30pm - 3:30pm SolidX (Bill's Office) - Seaman, Michael P.
- 2:30pm - 3:30pm SolidX (Bill's Office) - Seaman, Michael P.
- 3:30pm - 4:00pm 14a-8 (Bill's Office) - Seaman, Michael P.
- 3:30pm - 4:00pm 14a-8 (Bill's Office) - Seaman, Michael P.
- 4:00pm - 5:00pm FW: SRC Briefing for Commissioner Peirce (10100) - Porter, Amber
- 4:00pm - 5:00pm FW: SRC Briefing for Commissioner Peirce (10100) - Porter, Amber
- 4:30pm - 5:30pm Disclosure Effectiveness Mtg w/ Commissioner Jackson (Commissioner's Office—10200) - Hinman, William
- 4:30pm - 5:30pm Disclosure Effectiveness Mtg w/ Commissioner Jackson (Commissioner's Office—10200) - Hinman, William
- 5:30pm - 6:00pm chat? (your office) - Brightwell, Tamara
- 5:30pm - 6:00pm chat? (your office) - Brightwell, Tamara

Tuesday, March 6

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm rescheduled senior staff meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm rescheduled senior staff meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online) - Szczepanik, Valerie
- 12:00pm - 1:30pm (b)(6)
- 12:00pm - 1:30pm (b)(6)
- 12:00pm - 12:30pm Paul Packer re: fin tech (Chairman's Conference Room) - Moskowitz, Lucas
- 12:00pm - 12:30pm Paul Packer re: fin tech (Chairman's Conference Room) - Moskowitz, Lucas
- 1:00pm - 2:00pm FAIR Act (proposed Rule 139b) briefing with Chairman -- join IM/TM (Chairman's office) - Murphy, Elizabeth
- 1:00pm - 2:00pm FAIR Act (proposed Rule 139b) briefing with Chairman -- join IM/TM (Chairman's office) - Murphy, Elizabeth
- 4:00pm - 5:00pm Digital Asset Framework (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 4:00pm - 5:00pm Digital Asset Framework (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 5:00pm - 5:30pm discuss 14a-8 cybersecurity proposals (4520) - Brightwell, Tamara
- 5:00pm - 5:30pm discuss 14a-8 cybersecurity proposals (4520) - Brightwell, Tamara

Wednesday, March 7

- 11:00am - 11:30am Discuss International Institute presentation for 3/12 (Bill's Office) - Davis, Julie Z.
- 11:00am - 11:30am Discuss International Institute presentation for 3/12 (Bill's Office) - Davis, Julie Z.
- 1:00pm - 2:00pm Congressional Testimony Preparation (4520) - Thomas, Christina
- 1:00pm - 2:00pm Congressional Testimony Preparation (4520) - Thomas, Christina
- 2:00pm - 2:30pm Discuss written testimony (CF Only SP1 4520 Phone Small-12) - Davis, Julie Z.
- 2:00pm - 2:30pm Discuss written testimony (CF Only SP1 4520 Phone Small-12) - Davis, Julie Z.
- 3:00pm - 3:30pm update (Shelley and Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Shelley and Kyle) - Parratt, Shelley
- 3:30pm - 4:00pm FW: SRC (Chairman's Conference Room) - Clayton, Jay
- 3:30pm - 4:00pm FW: SRC (Chairman's Conference Room) - Clayton, Jay
- 4:30pm - 5:00pm Treasury Pre-Meet (Bill's office) - Szczepanik, Valerie
- 4:30pm - 5:00pm Treasury Pre-Meet (Bill's office) - Szczepanik, Valerie
- 5:30pm - 6:00pm changing stripes - Parratt, Shelley
- 5:30pm - 6:00pm changing stripes - Parratt, Shelley

Thursday, March 8

- 10:00am - 10:30am Spotify Form F-1: Discussion of Outstanding Issues and Comments (CF Only SP1 4520 Phone Small-12) - Shainess, Joshua
- 10:00am - 10:30am Spotify Form F-1: Discussion of Outstanding Issues and Comments (CF Only SP1 4520 Phone Small-12) - Shainess, Joshua
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 11:00am - 12:00pm Meeting with Indiegogo re: Crowdfunding (CONF RM SP2 3280 VTC/WebEx Large-26) - Gomez Abero, Sebastian
- 11:00am - 12:00pm Meeting with Indiegogo re: Crowdfunding (CONF RM SP2 3280 VTC/WebEx Large-26) - Gomez Abero, Sebastian
- 1:00pm - 2:30pm Cross-Agency Cryptocurrency Working Group Meeting (Dept of the Treasury, 1500 Pennsylvania Ave NW) - (b)(6)@treasury.gov
- 1:00pm - 2:30pm Cross-Agency Cryptocurrency Working Group Meeting (Dept of the Treasury, 1500 Pennsylvania Ave NW) - (b)(6)@treasury.gov
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 4:00pm - 4:15pm chat (4521) - Parratt, Shelley
- 4:00pm - 4:15pm chat (4521) - Parratt, Shelley
- 4:30pm - 5:00pm Meeting with Commissioner Piwowar
- 4:30pm - 5:00pm Meeting with Commissioner Piwowar

Friday, March 9

- 10:30am - 11:00am Pre-meeting for Fintech Core Principles Report (Chairman's conference room/WEBEX) - Klima, Jaime
- 10:30am - 11:00am Pre-meeting for Fintech Core Principles Report (Chairman's conference room/WEBEX) - Klima, Jaime
- 11:00am - 12:00pm discuss approach to token offering reviews (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 12:00pm discuss approach to token offering reviews (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 4:15pm UPDATE --> Driver Joseph Hendricks (b)(6) - Transport (3/9/18) (b)(6) (to Department of the Treasury / Return @ 3:30PM) - Facilities Mailbox (HQ)
- 2:00pm - 4:15pm UPDATE --> Driver Joseph Hendricks (b)(6) - Transport (3/9/18) (b)(6) (to Department of the Treasury / Return @ 3:30PM) - Facilities Mailbox (HQ)
- 2:00pm - 4:00pm Transport to/from Treasury (DC attendees) (Car reserved) - Klima, Jaime
- 2:00pm - 4:00pm Transport to/from Treasury (DC attendees) (Car reserved) - Klima, Jaime
- 2:30pm - 3:30pm Meeting re FinTech Core Principles Report (Treasury) - Klima, Jaime
- 2:30pm - 3:30pm Meeting re FinTech Core Principles Report (Treasury) - Klima, Jaime
- 4:30pm - 5:00pm Discuss International Institute Presentation (4520) - Davis, Julie Z.
- 4:30pm - 5:00pm Discuss International Institute Presentation (4520) - Davis, Julie Z.

March 12, 2018 -
March 18, 2018

March 2018

April 2018

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Monday, March 12

- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:00pm - 12:30pm Call with Les Silverman (Bill's Office) - Seaman, Michael P.
- 12:00pm - 12:30pm Call with Les Silverman (Bill's Office) - Seaman, Michael P.
- 1:00pm - 1:30pm Call with Brian Rogers (T Rowe) (Rogers will call Jay's Conference Line) - Clayton, Jay
- 1:00pm - 1:30pm Call with Brian Rogers (T Rowe) (Rogers will call Jay's Conference Line) - Clayton, Jay
- 2:00pm - 3:30pm Institute Presentation - Building an Effective Disclosure Program for Public Companies (SEC Main Auditorium - 100 F Street NE, Washington, DC 20549) - International Institutes
- 2:00pm - 3:30pm Institute Presentation - Building an Effective Disclosure Program for Public Companies (SEC Main Auditorium - 100 F Street NE, Washington, DC 20549) - International Institutes
- 4:00pm - 5:00pm GAB - alt hosts added to webex (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:00pm - 5:00pm GAB - alt hosts added to webex (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley

Tuesday, March 13

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:00pm - 1:30pm (b)(6)
- 12:00pm - 1:00pm (b)(6)
- 12:00pm - 1:00pm Meet w Hogan Lovells on Proposed eMusic ICO (Washington DC Room 9280) - Szczepanik, Valerie
- 12:00pm - 1:00pm Meet w Hogan Lovells on Proposed eMusic ICO (Washington DC Room 9280) - Szczepanik, Valerie
- 1:45pm - 2:00pm Filing Meeting (Bill's Office) - Seaman, Michael P.
- 1:45pm - 2:00pm Filing Meeting (Bill's Office) - Seaman, Michael P.
- 3:00pm - 4:00pm Discuss Waivers (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:00pm - 4:00pm Discuss Waivers (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 4:00pm - 5:00pm Bitcoin Consultation - (please see text) (Bill's office) - Teotia, Sagar
- 4:00pm - 5:00pm Bitcoin Consultation - (please see text) (Bill's office) - Teotia, Sagar
- 5:30pm - 6:00pm FW: KPMG/SEC Call (b)(6) - Risso, Casey Kittredge
- 5:30pm - 6:00pm FW: KPMG/SEC Call (b)(6) - Risso, Casey Kittredge

Wednesday, March 14

- 10:00am - 11:00am House Financial Services ICO Hearing - Seaman, Michael P.
- 10:00am - 11:00am House Financial Services ICO Hearing - Seaman, Michael P.
- 11:00am - 12:00pm AD office - presentation of review RESCHEDULED AGAIN (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 12:00pm AD office - presentation of review RESCHEDULED AGAIN (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm readout cryto asset meeting at UST (4930 or webex) - Bauguess, Scott
- 1:30pm - 2:00pm readout cryto asset meeting at UST (4930 or webex) - Bauguess, Scott
- 2:00pm - 2:30pm SolidX (Bill's Office) - Seaman, Michael P.
- 2:00pm - 2:30pm SolidX (Bill's Office) - Seaman, Michael P.
- 2:30pm - 3:00pm EOG (Bill's office) - Fredrickson, David R.
- 2:30pm - 3:00pm EOG (Bill's office) - Fredrickson, David R.

Thursday, March 15

- 8:00am - 8:30am Closing
- 8:00am - 8:30am Closing
- 10:00am - 10:30am Marc B / Bill H mtg (BH's office) - Berger, Marc
- 10:00am - 10:30am Marc B / Bill H mtg (BH's office) - Berger, Marc
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Ceremonial Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Ceremonial Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Ceremonial Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Ceremonial Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 12:00pm - 1:30pm Mthly Program Office Directors Luncheon | lunch is BYO (IM Work/Project Room SP1-8417 | POC: Rene Gwinn (b)(6) for Dalia Blass)
- 12:00pm - 1:30pm Mthly Program Office Directors Luncheon | lunch is BYO (IM Work/Project Room SP1-8417 | POC: Rene Gwinn (b)(6) for Dalia Blass)
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:00pm John D'Agostino (DMS Governance) (Mtg. in NYRO ROOM 410; VTC hook up to DC ROOM 3000; webex dial in Below) - Szczepanik, Valerie
- 2:00pm - 3:00pm John D'Agostino (DMS Governance) (Mtg. in NYRO ROOM 410; VTC hook up to DC ROOM 3000; webex dial in Below) - Szczepanik, Valerie
- 3:30pm - 4:00pm shareholder proposal pre-meet (Bill's office/4520) - Fredrickson, David R.
- 3:30pm - 4:00pm shareholder proposal pre-meet (Bill's office/4520) - Fredrickson, David R.
- 4:30pm - 5:00pm Canceled: Exxon CEO (Chairman's Conference Room) - Clayton, Jay
- 4:30pm - 5:00pm Canceled: Exxon CEO (Chairman's Conference Room) - Clayton, Jay

Friday, March 16

(b)(6)

March 19, 2018 -
March 25, 2018

March 2018

April 2018

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Monday, March 19

- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 11:30am Retreat Planning (Your office) - Arietti Gold, Charlene
- 11:00am - 11:30am Retreat Planning (Your office) - Arietti Gold, Charlene
- 11:45am - 12:15pm Brief Discussion w/D. Fredrickson (Bill's Office) - Hinman, William
- 11:45am - 12:15pm Brief Discussion w/D. Fredrickson (Bill's Office) - Hinman, William
- 1:30pm - 2:30pm Meeting w/ D. King (Bill's Office) - Hinman, William
- 1:30pm - 2:30pm Meeting w/ D. King (Bill's Office) - Hinman, William
- 2:30pm - 3:00pm WKSI Waiver - PNC (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:30pm - 3:00pm WKSI Waiver - PNC (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:00pm - 4:00pm CSBS re: cryptos (Chairman's conference room) - Memon, Sean
- 3:00pm - 4:00pm CSBS re: cryptos (Chairman's conference room) - Memon, Sean
- 4:30pm - 5:00pm (Bill's Office) - Seaman, Michael P.
- 4:30pm - 5:00pm (Bill's Office) - Seaman, Michael P.

Tuesday, March 20

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm Digital Asset Enforcement Issues (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 11:30am - 12:00pm Digital Asset Enforcement Issues (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 12:30pm - 1:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 12:30pm - 1:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 1:00pm - 2:00pm CDI 203.17: alternate test for FPIs - voting power vs. voting securities (CF Only SP1 4520 Phone Small-12) - Johnson, Winter (Contractor)
- 1:00pm - 2:00pm CDI 203.17: alternate test for FPIs - voting power vs. voting securities (CF Only SP1 4520 Phone Small-12) - Johnson, Winter (Contractor)
- 2:00pm - 3:00pm reconvene - 10-K filing reviews (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm reconvene - 10-K filing reviews (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 3:30pm discuss mandatory arbitration findings to date (BH) - Parratt, Shelley
- 3:00pm - 3:30pm discuss mandatory arbitration findings to date (BH) - Parratt, Shelley
- 3:30pm - 4:00pm discuss ethics rules and draft registration statements (4521 or webex) - Parratt, Shelley
- 3:30pm - 4:00pm discuss ethics rules and draft registration statements (4521 or webex) - Parratt, Shelley
- 5:00pm - 6:00pm Political Spending Disclosure Mtg w/Commissioner Jackson (TBD) - Hinman, William
- 5:00pm - 6:00pm Political Spending Disclosure Mtg w/Commissioner Jackson (TBD) - Hinman, William

Wednesday, March 21

- 10:30am - 11:00am Catch up with Brad Gans (Brad to call Bill Hinman - (b)(6)) - Gans, Bradley J [LEGL]
- 10:30am - 11:00am Catch up with Brad Gans (Brad to call Bill Hinman - (b)(6)) - Gans, Bradley J [LEGL]
- 12:00pm - 1:30pm Lunch Meeting w/ Dan Gallagher ((b)(6))
- 12:00pm - 1:30pm Lunch Meeting w/ Dan Gallagher ((b)(6))
- 3:00pm - 3:30pm update (Shelley and Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Shelley and Kyle) - Parratt, Shelley
- 3:30pm - 4:00pm Pre-meet to Discuss Broadridge Briefing (4520 or WebEx) - Davis, Julie Z.
- 3:30pm - 4:00pm Pre-meet to Discuss Broadridge Briefing (4520 or WebEx) - Davis, Julie Z.
- 4:00pm - 4:30pm HOLD - Commissioner Peirce Update (Commissioner's Office)
- 4:00pm - 4:30pm HOLD - Commissioner Peirce Update (Commissioner's Office)

Thursday, March 22

- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 11:30am - 12:00pm Meeting for Corporation Finance Division Overview (SP1 - Conference Room 4520) - Davis, Julie Z.
- 11:30am - 12:00pm Meeting for Corporation Finance Division Overview (SP1 - Conference Room 4520) - Davis, Julie Z.
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:00pm update (Shelley and David) - Parratt, Shelley
- 2:00pm - 3:00pm update (Shelley and David) - Parratt, Shelley
- 3:30pm - 4:00pm Briefing on Broadridge (Chairman's Conference Room) - Clayton, Jay
- 3:30pm - 4:00pm Briefing on Broadridge (Chairman's Conference Room) - Clayton, Jay
- 4:00pm - 5:00pm token filing review (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:00pm - 5:00pm token filing review (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:30pm - 5:00pm Bill Hinman and Jay - Clayton, Jay
- 4:30pm - 5:00pm Bill Hinman and Jay - Clayton, Jay

Friday, March 23

- 1:00pm - 2:00pm Rule 701 Discussion (4520 and WebEx) - Davis, Julie Z.
- 1:00pm - 2:00pm Rule 701 Discussion (4520 and WebEx) - Davis, Julie Z.
- 3:00pm - 3:30pm discuss OSPB future - RESCHEDULED (Bill's office) - Parratt, Shelley
- 3:00pm - 3:30pm discuss OSPB future - RESCHEDULED (Bill's office) - Parratt, Shelley
- 4:00pm - 4:30pm Catch up (Bill's office) - Fox, Raquel
- 4:00pm - 4:30pm Catch up (Bill's office) - Fox, Raquel

March 26, 2018 -
April 1, 2018

March 2018

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April 2018

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Monday, March 26

- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 11:30am Discuss muni underwriter case with OEL and OCMT (4520 or WebEx) - Davis, Julie Z.
- 11:00am - 11:30am Discuss muni underwriter case with OEL and OCMT (4520 or WebEx) - Davis, Julie Z.
- 1:30pm - 2:30pm token filing review (CF Only SP1 4520 Phone Small-12 or webex) - Parratt, Shelley
- 1:30pm - 2:30pm token filing review (CF Only SP1 4520 Phone Small-12 or webex) - Parratt, Shelley
- 3:00pm - 3:30pm FW: Rich Daly- CEO of Broadridge (with Roel Campos) (Chairman's Office) - Clayton, Jay
- 3:00pm - 3:30pm FW: Rich Daly- CEO of Broadridge (with Roel Campos) (Chairman's Office) - Clayton, Jay
- 4:00pm - 4:30pm mandatory arbitration data gathering - TODAY (4521) - Parratt, Shelley
- 4:00pm - 4:30pm mandatory arbitration data gathering - TODAY (4521) - Parratt, Shelley
- 4:30pm - 5:00pm Lobbying proposals (Bill's office) - McNair, Matt
- 4:30pm - 5:00pm Lobbying proposals (Bill's office) - McNair, Matt

Tuesday, March 27

- 10:00am - 11:00am Mandatory Arbitration Data Collection Team Kickoff Meeting (3000 or WebEx) - Luisi, Shelly C.
- 10:00am - 11:00am Mandatory Arbitration Data Collection Team Kickoff Meeting (3000 or WebEx) - Luisi, Shelly C.
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:45pm Coinbase Meeting (Room 7000; WebEx Code [b](6)) - Goldsholle, Gary
- 11:15am - 12:45pm Coinbase Meeting (Room 7000; WebEx Code [b](6)) - Goldsholle, Gary
- 3:00pm - 4:00pm Emerging Risk Discussion Group (Ceremonial Room, Room 10000) - Memon, Sean
- 3:00pm - 4:00pm Emerging Risk Discussion Group (Ceremonial Room, Room 10000) - Memon, Sean

Wednesday, March 28

- 11:00am - 12:30pm Crypto Meeting with Scott Kupor - Andreessen Horowitz etc. (6000) - Seaman, Michael P.
- 11:00am - 12:30pm Crypto Meeting with Scott Kupor - Andreessen Horowitz etc. (6000) - Seaman, Michael P.
- 11:30am - 12:00pm FW: Cybersecurity Working Group (Ceremonial Room) - Hetner, Christopher
- 11:30am - 12:00pm FW: Cybersecurity Working Group (Ceremonial Room) - Hetner, Christopher
- 2:00pm - 3:00pm discuss 3-10 rulemaking (4520) - Brightwell, Tamara
- 2:00pm - 3:00pm discuss 3-10 rulemaking (4520) - Brightwell, Tamara
- 4:30pm - 4:45pm Catch-up (Bill's Office) - Seaman, Michael P.
- 4:30pm - 4:45pm Catch-up (Bill's Office) - Seaman, Michael P.

Thursday, March 29

- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:30am - 12:00pm Corp. Fin. Update (10100) - Peirce, Hester
- 11:30am - 12:00pm Corp. Fin. Update (10100) - Peirce, Hester
- 12:30pm - 2:00pm FW: Confirmed Meeting with Consensus - Dial in added (CONF RM*SP1 8000 VTC/WebEx Large-40) - Starr, Amy
- 12:30pm - 2:00pm FW: Confirmed Meeting with Consensus - Dial in added (CONF RM*SP1 8000 VTC/WebEx Large-40) - Starr, Amy
- 2:30pm - 3:00pm discuss bed bug letters/options (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:30pm - 3:00pm discuss bed bug letters/options (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley

Friday, March 30

- 11:00am - 12:00pm Hold for Hearing Prep (CONF RM SP1 4000 VTC/WebEx Large-41) - Davis, Julie Z.
- 11:00am - 12:00pm Hold for Hearing Prep (CONF RM SP1 4000 VTC/WebEx Large-41) - Davis, Julie Z.

April 2018							May 2018							
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Monday, April 2

- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 1:00pm Coin Center to Present on ETF/Qualified Cutodians (DC Room 6000) - Szczepanik, Valerie
- 11:00am - 1:00pm Coin Center to Present on ETF/Qualified Cutodians (DC Room 6000) - Szczepanik, Valerie
- 11:00am - 11:30am review program - future state ideas RESCHEDULED - Parratt, Shelley
- 11:00am - 11:30am review program - future state ideas RESCHEDULED - Parratt, Shelley
- 1:00pm - 4:00pm Cybersecurity TTX - Dress Rehearsal (Placeholder) (CONF RM*MULTIPURPOSE ROOM (MPR)-114) - Hetner, Christopher
- 1:00pm - 4:00pm Cybersecurity TTX - Dress Rehearsal (Placeholder) (CONF RM*MULTIPURPOSE ROOM (MPR)-114) - Hetner, Christopher
- 1:30pm - 2:30pm FinTech update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:30pm FinTech update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley

Tuesday, April 3

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 1:00pm - 2:00pm NASDAQ Meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:00pm - 2:00pm NASDAQ Meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:30pm - 3:00pm SRC Meeting with Piwowar and Clayton (Chairman's Conference Room) - Clayton, Jay
- 2:30pm - 3:00pm SRC Meeting with Piwowar and Clayton (Chairman's Conference Room) - Clayton, Jay

Wednesday, April 4

- 2:00pm - 3:00pm Continue Crypto Discussions (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:00pm - 3:00pm Continue Crypto Discussions (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:30pm - 4:00pm Hold: Call to Discuss FINRA's April 12 regulator event (SEC only) (b)(6) Dinwoodie, Jeffrey T.
- 3:30pm - 4:00pm Hold: Call to Discuss FINRA's April 12 regulator event (SEC only) (b)(6) Dinwoodie, Jeffrey T.
- 4:00pm - 4:30pm TTX cyber event (Bill's office) - Daly, James (CF)
- 4:00pm - 4:30pm TTX cyber event (Bill's office) - Daly, James (CF)

Thursday, April 5

- 10:00am - 11:00am Treasury documents (Bill's office) - Anderson, Michele
- 10:00am - 11:00am Treasury documents (Bill's office) - Anderson, Michele
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am recap IPO review | developments re: Solid Biosciences (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:30am - 11:00am recap IPO review | developments re: Solid Biosciences (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm CONFIRMED -- Backgrounder for Bloomberg re: Cyber (Chairman's Conference Room) - Memon, Sean
- 1:00pm - 1:30pm CONFIRMED -- Backgrounder for Bloomberg re: Cyber (Chairman's Conference Room) - Memon, Sean
- 1:00pm - 1:30pm Interview with Bloomberg on external cyber efforts (Chairman's Conference Room) - White, Ryan
- 1:00pm - 1:30pm Interview with Bloomberg on external cyber efforts (Chairman's Conference Room) - White, Ryan
- 1:30pm - 2:00pm update (Shelley and Betsy) - Parratt, Shelley
- 1:30pm - 2:00pm update (Shelley and Betsy) - Parratt, Shelley
- 2:00pm - 2:30pm update (Shelley and David) - Parratt, Shelley
- 2:00pm - 2:30pm update (Shelley and David) - Parratt, Shelley
- 2:30pm - 2:45pm hold for Amy Starr on Volcker (Bill's Office) - Davis, Julie Z.
- 2:30pm - 2:45pm hold for Amy Starr on Volcker (Bill's Office) - Davis, Julie Z.
- 4:00pm - 5:00pm Special Act Award Calibration Meeting (4520) - Pangalos, Eugene
- 4:00pm - 5:00pm Special Act Award Calibration Meeting (4520) - Pangalos, Eugene

Friday, April 6

- 9:00am - 10:00am Confirmed - Meet w Prof. Catalini (MIT) re ETH (Room 4000 DC Office - Call in Below) - Szczepanik, Valerie
- 9:00am - 10:00am Confirmed - Meet w Prof. Catalini (MIT) re ETH (Room 4000 DC Office - Call in Below) - Szczepanik, Valerie
- 11:00am - 12:00pm S-K concept release rulemakings (4000) - Brightwell, Tamara
- 11:00am - 12:00pm S-K concept release rulemakings (4000) - Brightwell, Tamara
- 12:00pm - 12:30pm Update on Mandatory Arbitration Research (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:00pm - 12:30pm Update on Mandatory Arbitration Research (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm Call/mtg re: ETH (Chairman's Conf. Rm and (b)(6)) - Memon, Sean
- 1:00pm - 1:30pm Call/mtg re: ETH (Chairman's Conf. Rm and (b)(6)) - Memon, Sean
- 1:30pm - 3:00pm Hearing Prep (SP 4520) - Davis, Julie Z.
- 1:30pm - 3:00pm Hearing Prep (SP 4520) - Davis, Julie Z.

April 2018							May 2018						
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29	30						27	28	29	30	31		

Monday, April 9

- 9:15am - 9:45am Rohan Weerasinghe/William Hinman Catch-up (Rohan to call Bill at (b)(6)) - Ercolano, Patryce [CCC]
- 9:15am - 9:45am Rohan Weerasinghe/William Hinman Catch-up (Rohan to call Bill at (b)(6)) - Ercolano, Patryce [CCC]
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:30pm - 2:30pm FinTech update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:30pm - 2:30pm FinTech update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 3:00pm - 4:00pm Managed Funds Association - 16(b) Short Swing Profit Rule (3000) - Seaman, Michael P.
- 3:00pm - 4:00pm Managed Funds Association - 16(b) Short Swing Profit Rule (3000) - Seaman, Michael P.
- 4:00pm - 4:30pm discuss DUSTR comments (Bill's office) - Brightwell, Tamara
- 4:00pm - 4:30pm discuss DUSTR comments (Bill's office) - Brightwell, Tamara
- 4:00pm - 5:00pm Follow-up from Emerging Risk Group Discussion on EU General Data Protection Regulation and Direct Access to SEC Registrant Books and Records (CONF RM*SP2 10620 VTC/WebEx Large-29) - Hutchinson, Kathleen
- 4:00pm - 5:00pm Follow-up from Emerging Risk Group Discussion on EU General Data Protection Regulation and Direct Access to SEC Registrant Books and Records (CONF RM*SP2 10620 VTC/WebEx Large-29) - Hutchinson, Kathleen
- 5:00pm - 5:30pm CF Awards (Bill's office) - Arietti Gold, Charlene
- 5:00pm - 5:30pm CF Awards (Bill's office) - Arietti Gold, Charlene

Tuesday, April 10

- 11:15am - 11:45am FW: IM All Mgr and SK16 Download Meeting w/IM Director (the 2nd Tuesday of the Month) effective 11/1/2017 (Conference Room (b)(6)) - Blass, Dalia
- 11:15am - 11:45am FW: IM All Mgr and SK16 Download Meeting w/IM Director (the 2nd Tuesday of the Month) effective 11/1/2017 (Conference Room (b)(6)) - Blass, Dalia
- 1:00pm - 2:00pm Hinman Hearing Prep (SP1 4520) - Davis, Julie Z.
- 1:00pm - 2:00pm Hinman Hearing Prep (SP1 4520) - Davis, Julie Z.
- 2:00pm - 2:30pm Bill and Counsels discuss hearing prep materials (4520) - Davis, Julie Z.
- 2:00pm - 2:30pm Bill and Counsels discuss hearing prep materials (4520) - Davis, Julie Z.
- 3:00pm - 5:00pm CONFIRMED: Hold for Mtg with CFTC to discuss positions on crypto (Room 4000) - Szczepanik, Valerie
- 3:00pm - 5:00pm CONFIRMED: Hold for Mtg with CFTC to discuss positions on crypto (Room 4000) - Szczepanik, Valerie

Wednesday, April 11

- 9:30am - 10:00am discuss bed bug letters (4521) - Parratt, Shelley
- 9:30am - 10:00am discuss bed bug letters (4521) - Parratt, Shelley
- 11:30am - 12:00pm Touch base on mandatory arbitration (4520) - Luisi, Shelly C.
- 11:30am - 12:00pm Touch base on mandatory arbitration (4520) - Luisi, Shelly C.
- 2:00pm - 2:30pm Share Buybacks (4521) - Seaman, Michael P.
- 2:00pm - 2:30pm Share Buybacks (4521) - Seaman, Michael P.
- 2:30pm - 3:00pm International Development Association matter (Bill's Office) - Seaman, Michael P.
- 2:30pm - 3:00pm International Development Association matter (Bill's Office) - Seaman, Michael P.

Thursday, April 12

- 10:00am - 10:15am Deutsche Bank (Bill's Office) - Seaman, Michael P.
- 10:00am - 10:15am Deutsche Bank (Bill's Office) - Seaman, Michael P.
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley ☺
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley ☺
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Ceremonial Room) - Moskowitz, Lucas ☺
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Ceremonial Room) - Moskowitz, Lucas ☺
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ☺
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Ceremonial Room) - Moskowitz, Lucas ☺
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Ceremonial Room) - Moskowitz, Lucas ☺
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ☺
- 11:30am - 1:00pm (b)(6)
- 11:30am - 1:00pm (b)(6)
- 11:30am - 12:00pm Rob/Bill Catchup (SEC 10200) - Jackson, Rob
- 11:30am - 12:00pm Rob/Bill Catchup (SEC 10200) - Jackson, Rob
- 1:00pm - 2:00pm discuss DUSTR release (4520) - Brightwell, Tamara
- 1:00pm - 2:00pm discuss DUSTR release (4520) - Brightwell, Tamara
- 2:00pm - 3:30pm Block for Closed Commission Meeting ☺
- 2:00pm - 3:30pm Block for Closed Commission Meeting ☺
- 2:00pm - 3:30pm Block for Closed Commission Meeting ☺
- 2:00pm - 3:30pm Block for Closed Commission Meeting ☺
- 2:00pm - 3:30pm Hearing Prep (4520) - Davis, Julie Z.
- 2:00pm - 3:30pm Hearing Prep (4520) - Davis, Julie Z.
- 3:30pm - 4:00pm Background Discussion with WSJ (Corp Fin Conference Room) - Carofine, Christopher
- 3:30pm - 4:00pm Background Discussion with WSJ (Corp Fin Conference Room) - Carofine, Christopher
- 4:30pm - 5:00pm ether - Parratt, Shelley
- 4:30pm - 5:00pm ether - Parratt, Shelley
- 5:00pm - 5:30pm staffing (4521) - Parratt, Shelley
- 5:00pm - 5:30pm staffing (4521) - Parratt, Shelley

Friday, April 13

- 9:00am - 9:30am (b)(6)
- 9:00am - 9:30am (b)(6)
- 10:00am - 12:30pm FW: Cybersecurity TTX - Core Exercise (CONF RM*MULTIPURPOSE ROOM (MPR)-114) - Hetner, Christopher
- 10:00am - 12:30pm FW: Cybersecurity TTX - Core Exercise (CONF RM*MULTIPURPOSE ROOM (MPR)-114) - Hetner, Christopher
- 1:30pm - 2:00pm Rescheduled-Quarterly Meeting - IG and CF (IG Suite 2952) - Edwards, Tawana W.
- 1:30pm - 2:00pm Rescheduled-Quarterly Meeting - IG and CF (IG Suite 2952) - Edwards, Tawana W.
- 3:00pm - 4:00pm discuss proxy CDIs (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 4:00pm discuss proxy CDIs (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley

April 16, 2018 -
April 22, 2018

April 2018						
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May 2018						
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Monday, April 16

- Drexel Event (Philadelphia)
- Drexel Event (Philadelphia)
- Tax Day (United States)
- Tax Day (United States)

Tuesday, April 17

- 10:00am - 10:30am Canceled: Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Canceled: Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Canceled: Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Canceled: Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 1:00pm - 1:30pm Brief on Congressional inquiry re proxy advisory firms (Bill's office) - Davis, Julie Z.
- 1:00pm - 1:30pm Brief on Congressional inquiry re proxy advisory firms (Bill's office) - Davis, Julie Z.
- 1:30pm - 3:00pm Information Technology Capital Planning Committee (ITCPC) Meeting (Conference Room Large SP1 #6000 -36-) - OIT_Project_Requests
- 1:30pm - 3:00pm Information Technology Capital Planning Committee (ITCPC) Meeting (Conference Room Large SP1 #6000 -36-) - OIT_Project_Requests
- 2:00pm - 2:30pm Discuss hearing (4520) - Davis, Julie Z.
- 2:00pm - 2:30pm Discuss hearing (4520) - Davis, Julie Z.
- 3:00pm - 3:30pm Meeting with VCs re: utility tokens (Chairman's Conference Room) - Memon, Sean
- 3:00pm - 3:30pm Meeting with VCs re: utility tokens (Chairman's Conference Room) - Memon, Sean
- 3:30pm - 5:00pm Courtesy meeting with Subcommittee Chairman Bill Huizinga (R-MI) (2232 Rayburn House Office Building) - Davis, Julie Z.
- 3:30pm - 5:00pm Courtesy meeting with Subcommittee Chairman Bill Huizinga (R-MI) (2232 Rayburn House Office Building) - Davis, Julie Z.

Wednesday, April 18

- 10:00am - 12:00pm Hinman Hearing Prep (Closed Commission Room) - Davis, Julie Z.
- 10:00am - 12:00pm Hinman Hearing Prep (Closed Commission Room) - Davis, Julie Z.
- 1:00pm - 1:30pm Morgan Stanley Waiver Request (4521) - Seaman, Michael P.
- 1:00pm - 1:30pm Morgan Stanley Waiver Request (4521) - Seaman, Michael P.
- 3:00pm - 3:30pm update (Shelley and Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Shelley and Kyle) - Parratt, Shelley
- 3:30pm - 4:00pm discuss paper to electronic rulemaking (4520) - Brightwell, Tamara
- 3:30pm - 4:00pm discuss paper to electronic rulemaking (4520) - Brightwell, Tamara
- 4:00pm - 4:30pm Touching Base (Bill's Office) - Arietti Gold, Charlene
- 4:00pm - 4:30pm Touching Base (Bill's Office) - Arietti Gold, Charlene
- 5:00pm - 5:30pm OIEA Fake Coin Project (HoweyCoin) (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 5:00pm - 5:30pm OIEA Fake Coin Project (HoweyCoin) (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.

Thursday, April 19

- 9:00am - 12:00pm Mtg. w Patrick Byrne (Overstock/tZero) (DC Room 2860) - Szczepanik, Valerie
- 9:00am - 12:00pm Mtg. w Patrick Byrne (Overstock/tZero) (DC Room 2860) - Szczepanik, Valerie
- 10:15am - 10:30am discuss arbitration statement (Bill's office) - Brightwell, Tamara
- 10:15am - 10:30am discuss arbitration statement (Bill's office) - Brightwell, Tamara
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 11:30am - 12:00pm Meeting w/Brad Gans-CITI (Bill's Office)
- 11:30am - 12:00pm Meeting w/Brad Gans-CITI (Bill's Office)
- 12:00pm - 1:30pm Mthly Program Office Directors Luncheon | lunch is BYO (IM Work/Project Room SP1-8417 | POC: Rene Gwinn (b)(6) for Dalia Blass)
- 12:00pm - 1:30pm Mthly Program Office Directors Luncheon | lunch is BYO (IM Work/Project Room SP1-8417 | POC: Rene Gwinn (b)(6) for Dalia Blass)
- 1:30pm - 2:00pm update (Shelley and Betsy) - Parratt, Shelley
- 1:30pm - 2:00pm update (Shelley and Betsy) - Parratt, Shelley
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 2:30pm update (Shelley and David) - Parratt, Shelley
- 2:00pm - 2:30pm update (Shelley and David) - Parratt, Shelley
- 3:30pm - 4:00pm Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 3:30pm - 4:00pm Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay

Friday, April 20

- (b)(6)
- 10:00am - 10:15am pending FOIA request (4521) - Parratt, Shelley
 - 10:00am - 10:15am pending FOIA request (4521) - Parratt, Shelley
 - 10:15am - 11:00am Disclosure update (4521) - Parratt, Shelley
 - 10:15am - 11:00am Disclosure update (4521) - Parratt, Shelley
 - 11:00am - 12:00pm Update on mandatory arbitration (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
 - 11:00am - 12:00pm Update on mandatory arbitration (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
 - 12:00pm - 1:00pm Proxy Advisory Firms (6612) - Bricker, Wesley
 - 12:00pm - 1:00pm Proxy Advisory Firms (6612) - Bricker, Wesley
 - 1:45pm - 2:30pm catch up - Parratt, Shelley
 - 1:45pm - 2:30pm catch up - Parratt, Shelley

April 2018							May 2018							
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29	30						27	28	29	30	31			

Monday, April 23

- 9:00am - 12:00pm GDF IOSCO Code of Conduct Mtg. (Cravath Offices in NY/VTC to DC Room 9280) - Szczepanik, Valerie
- 9:00am - 12:00pm GDF IOSCO Code of Conduct Mtg. (Cravath Offices in NY/VTC to DC Room 9280) - Szczepanik, Valerie
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 1:00pm Hinman Hearing Prep (Closed Commission Room) - Davis, Julie Z.
- 11:00am - 1:00pm Hinman Hearing Prep (Closed Commission Room) - Davis, Julie Z.
- 2:00pm - 2:30pm Discuss Comment Letter (4520) - Seaman, Michael P.
- 2:00pm - 2:30pm Discuss Comment Letter (4520) - Seaman, Michael P.
- 2:30pm - 3:30pm Retreat Planning (Bill's Office) - Wenger, Daniel T
- 2:30pm - 3:30pm Retreat Planning (Bill's Office) - Wenger, Daniel T
- 5:00pm - 6:00pm Call with Joe Lubin (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 5:00pm - 6:00pm Call with Joe Lubin (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 5:00pm - 6:00pm Invitation: Call with Bill Hinman <-> Joseph Lubin @ Mon Apr 23, 2018 5pm - 6pm (EDT) [b](6)@sec.gov (https://consensys.zoom.us/j/[b](6)] - john.zimmerebner@consensys.net
- 5:00pm - 6:00pm Invitation: Call with Bill Hinman <-> Joseph Lubin @ Mon Apr 23, 2018 5pm - 6pm (EDT) [b](6)@sec.gov (https://consensys.zoom.us/j/[b](6)] - john.zimmerebner@consensys.net

Tuesday, April 24

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:00pm - 12:30pm voting agreement in IPO (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:00pm - 12:30pm voting agreement in IPO (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 4:00pm Emerging Risk Discussion Group (Ceremonial Room, Room 10000) - Memon, Sean
- 3:00pm - 4:00pm Emerging Risk Discussion Group (Ceremonial Room, Room 10000) - Memon, Sean
- 3:00pm - 3:30pm Call w/ HFSC (4520) - Heiles, Holli
- 3:00pm - 3:30pm Call w/ HFSC (4520) - Heiles, Holli
- 4:00pm - 4:30pm Airfox/Paragon (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 4:00pm - 4:30pm Airfox/Paragon (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 5:00pm - 5:30pm Call w/ SBC (4520) - Heiles, Holli
- 5:00pm - 5:30pm Call w/ SBC (4520) - Heiles, Holli

Wednesday, April 25

- Administrative Professionals Day (United States)
- Administrative Professionals Day (United States)
- testimony Cap Mkts Sub comm
- testimony Cap Mkts Sub comm
- 11:00am - 12:00pm Hold for Hinman Hearing Prep (Closed Commission Room) - Davis, Julie Z.
- 11:00am - 12:00pm Hold for Hinman Hearing Prep (Closed Commission Room) - Davis, Julie Z.
- 3:00pm - 3:30pm Board Diversity (Bill's office) - Davis, Julie Z.
- 3:00pm - 3:30pm Board Diversity (Bill's office) - Davis, Julie Z.

Thursday, April 26

- SEP - Chicago
- SEP - Chicago
- 9:00am - 1:00pm House Testimony - starts at 10 (Rayburn House Office Building, Room 2128) - Davis, Julie Z.
- 9:00am - 1:00pm House Testimony - starts at 10 (Rayburn House Office Building, Room 2128) - Davis, Julie Z.
- 10:15am - 11:00am Canceled: Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Canceled: Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 2:00pm - 2:30pm Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 2:00pm - 2:30pm Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 2:00pm - 2:30pm Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 2:00pm - 2:30pm Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 4:30pm - 5:30pm SRC and other CF Rules (Jaime's office) - Klima, Jaime
- 4:30pm - 5:30pm SRC and other CF Rules (Jaime's office) - Klima, Jaime

Friday, April 27

- SEP out
- SEP out
- 10:00am - 10:30am Discuss GreenSky (4520 or WebEx) - Davis, Julie Z.
- 10:00am - 10:30am Discuss GreenSky (4520 or WebEx) - Davis, Julie Z.
- 11:00am - 11:30am GAO Response (Bill's Office) - Seaman, Michael P.
- 11:00am - 11:30am GAO Response (Bill's Office) - Seaman, Michael P.
- 1:00pm - 2:30pm Information Technology Capital Planning Committee (ITCPC) Meeting (Conference Room Large SP1 #4000 -40-) - OIT_Project_Requests
- 1:00pm - 2:30pm Information Technology Capital Planning Committee (ITCPC) Meeting (Conference Room Large SP1 #4000 -40-) - OIT_Project_Requests

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Monday, April 30

- 12:00am SEP out →
- 12:00am SEP out →
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 10:30am - 11:00am Canceled: waiver matter (4520) - Brightwell, Tamara
- 10:30am - 11:00am Canceled: waiver matter (4520) - Brightwell, Tamara
- 11:00am - 12:30pm SIFMA Meeting (8000) - Davis, Julie Z.
- 11:00am - 12:30pm SIFMA Meeting (8000) - Davis, Julie Z.
- 1:30pm - 2:00pm Brief Token Discussion w/ A. Starr (B. Hinman's Office) - Hinman, William
- 1:30pm - 2:00pm Brief Token Discussion w/ A. Starr (B. Hinman's Office) - Hinman, William
- 2:30pm - 3:30pm Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester ☉
- 2:30pm - 3:30pm Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester ☉

Tuesday, May 1

- 12:00am SEP out →
- 12:00am SEP out →
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☉
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☉
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay ☉
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☉
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☉
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay ☉
- 10:30am - 11:30am SEC Cybersecurity Forum (E&Y Cybersecurity/Financial Services) (CONF RM*MULTIPURPOSE ROOM (MPR)-114 and Webex) - Hetner, Christopher
- 10:30am - 11:30am SEC Cybersecurity Forum (E&Y Cybersecurity/Financial Services) (CONF RM*MULTIPURPOSE ROOM (MPR)-114 and Webex) - Hetner, Christopher
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☉
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☉
- 11:30am - 12:30pm FMOC Meeting (CONF RM SP2 5280 VTC/WebEx Medium-24) - Kauffman, Caryn
- 11:30am - 12:30pm FMOC Meeting (CONF RM SP2 5280 VTC/WebEx Medium-24) - Kauffman, Caryn
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie ☉
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie ☉
- 1:00pm - 1:15pm Brief Meeting (Office #4521) - Hinman, William
- 1:00pm - 1:15pm Brief Meeting (Office #4521) - Hinman, William
- 2:00pm - 2:30pm Pre-meet for ABA's Joint Committee on Employee Benefits (4520) - Davis, Julie Z.
- 2:00pm - 2:30pm Pre-meet for ABA's Joint Committee on Employee Benefits (4520) - Davis, Julie Z.
- 3:00pm - 3:30pm Meeting re: crypto coordination (Lucas's office) - Memon, Sean
- 3:00pm - 3:30pm Meeting re: crypto coordination (Lucas's office) - Memon, Sean
- 4:00pm - 5:00pm chat (Bill's office) - Brightwell, Tamara
- 4:00pm - 5:00pm chat (Bill's office) - Brightwell, Tamara

Wednesday, May 2

- 9:00am - 9:30am Small Business Advocate (Chairman's conf room) - Uhlmann, Peter
- 9:00am - 9:30am Small Business Advocate (Chairman's conf room) - Uhlmann, Peter
- 10:00am - 11:00am Mandatory Arbitration (CF Only SP1 4520 Phone Small-12) - Brown, Catherine
- 10:00am - 11:00am Mandatory Arbitration (CF Only SP1 4520 Phone Small-12) - Brown, Catherine
- 11:00am - 11:30am leg affairs
- 11:00am - 11:30am leg affairs
- 12:00pm - 12:30pm MA Wrap Party (4000) - Luisi, Shelly C.
- 12:00pm - 12:30pm MA Wrap Party (4000) - Luisi, Shelly C.
- 1:00pm - 2:30pm MBTI Group Session (4520) - Wenger, Daniel T
- 1:00pm - 2:30pm MBTI Group Session (4520) - Wenger, Daniel T
- 2:45pm - 3:00pm Brief check-in with Marcy (Conference Room #4520)
- 2:45pm - 3:00pm Brief check-in with Marcy (Conference Room #4520)
- 3:30pm - 4:00pm Annual Ethics Training (Office #4521) - Hinman, William
- 3:30pm - 4:00pm Annual Ethics Training (Office #4521) - Hinman, William

Thursday, May 3

- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☉
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☉
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☉
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☉
- 11:15am - 11:45am call Adam
- 11:15am - 11:45am call Adam
- 1:00pm - 1:30pm Incident Management Response Framework Exercise (Teleconference Phone Bridge) - COOPSupport
- 1:00pm - 1:30pm Incident Management Response Framework Exercise (Teleconference Phone Bridge) - COOPSupport
- 1:30pm - 2:00pm update (Shelley and Betsy) - Parratt, Shelley ☉
- 1:30pm - 2:00pm update (Shelley and Betsy) - Parratt, Shelley ☉
- 2:00pm - 3:30pm Block for Closed Commission Meeting ☉
- 2:00pm - 3:30pm Block for Closed Commission Meeting ☉
- 2:00pm - 3:30pm Block for Closed Commission Meeting ☉
- 2:00pm - 3:30pm Block for Closed Commission Meeting ☉
- 2:00pm - 2:30pm update (Shelley and David) - Parratt, Shelley ☉
- 2:00pm - 2:30pm update (Shelley and David) - Parratt, Shelley ☉
- 4:00pm - 5:00pm Discuss draft mining disclosure discussion sheet (adopting release) (CF Only SP1 4520 Phone Small-12) - Murphy, Elizabeth
- 4:00pm - 5:00pm Discuss draft mining disclosure discussion sheet (adopting release) (CF Only SP1 4520 Phone Small-12) - Murphy, Elizabeth
- 5:00pm - 7:00pm Drinks on the Terrace (Chairman's Terrace/ Office) - Clayton, Jay
- 5:00pm - 7:00pm Drinks on the Terrace (Chairman's Terrace/ Office) - Clayton, Jay

Friday, May 4

- 10:00am - 10:30am Call w Brad G
- 10:00am - 10:30am Call w Brad G
- 10:25am - 11:15am Crypto backgrounder with Dave Michaels (Digital Lab in OPA space (second floor, glass room next to John Nester's office)) - White, Ryan
- 10:25am - 11:15am Crypto backgrounder with Dave Michaels (Digital Lab in OPA space (second floor, glass room next to John Nester's office)) - White, Ryan
- 11:30am - 11:45am Discuss NASAA 19(d) panel (Bill's office/WebEx Online) - Davis, Julie Z.
- 11:30am - 11:45am Discuss NASAA 19(d) panel (Bill's office/WebEx Online) - Davis, Julie Z.
- 1:30pm - 2:00pm significant deficiency letters - Parratt, Shelley
- 1:30pm - 2:00pm significant deficiency letters - Parratt, Shelley

May 7, 2018 -
May 13, 2018

May 2018							June 2018							
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Monday, May 7

- 9:00am - 12:00pm Annual 19(d) SEC and NASAA conference (The Washington Court Hotel)
- 9:00am - 12:00pm Annual 19(d) SEC and NASAA conference (The Washington Court Hotel)
- 1:00pm - 2:00pm mtg re fintech (Bill's office) - Szczepanik, Valerie
- 1:00pm - 2:00pm mtg re fintech (Bill's office) - Szczepanik, Valerie
- 2:00pm - 2:30pm FinTech update - START AT 2 (30 MIN) (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 2:30pm FinTech update - START AT 2 (30 MIN) (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:30pm - 3:00pm weekly meeting with Bill - RESCHEDULED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:30pm - 3:00pm weekly meeting with Bill - RESCHEDULED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:30pm - 3:00pm weekly meeting with Bill - RESCHEDULED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:30pm - 3:00pm weekly meeting with Bill - RESCHEDULED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 3:30pm Pre-Mtg. for CFTC (Washington, DC Room 4000) - Szczepanik, Valerie
- 3:00pm - 3:30pm Pre-Mtg. for CFTC (Washington, DC Room 4000) - Szczepanik, Valerie
- 4:00pm - 5:00pm CONFIRMED: CFTC/SEC Mtg. (Washington DC Room 6000) - Szczepanik, Valerie
- 4:00pm - 5:00pm CONFIRMED: CFTC/SEC Mtg. (Washington DC Room 6000) - Szczepanik, Valerie

Tuesday, May 8

- 9:15am - 10:00am discuss 3-05 term sheet (4520) - Brightwell, Tamara
- 9:15am - 10:00am discuss 3-05 term sheet (4520) - Brightwell, Tamara
- 10:00am - 11:00am SIFMA follow-up (4520 and webex) - Brightwell, Tamara
- 10:00am - 11:00am SIFMA follow-up (4520 and webex) - Brightwell, Tamara
- 11:00am - 11:30am Quick Touch Base on Retreat Agenda and Workload Analysis (4520) - Arietti Gold, Charlene
- 11:00am - 11:30am Quick Touch Base on Retreat Agenda and Workload Analysis (4520) - Arietti Gold, Charlene
- 12:30pm - 1:30pm CF Public Service Recognition Week Event (9th Floor Terrace) - Wenger, Daniel T
- 12:30pm - 1:30pm CF Public Service Recognition Week Event (9th Floor Terrace) - Wenger, Daniel T
- 12:30pm - 1:30pm TODAY: Ice Cream Sundae Bar for Public Service Recognition Week (9th Floor Terrace) - CF Business Management Office
- 12:30pm - 1:30pm TODAY: Ice Cream Sundae Bar for Public Service Recognition Week (9th Floor Terrace) - CF Business Management Office
- 2:00pm - 4:00pm Meeting with ABA Joint Committee on Employee Benefits (Room 4000 SP1) - Krauskopf, Anne
- 2:00pm - 4:00pm Meeting with ABA Joint Committee on Employee Benefits (Room 4000 SP1) - Krauskopf, Anne
- 3:00pm - 5:00pm Open House - Offices of the Chairman and Commissioners (Station Place, 10th Floor) - SEC Internal Communication
- 3:00pm - 5:00pm Open House - Offices of the Chairman and Commissioners (Station Place, 10th Floor) - SEC Internal Communication

Wednesday, May 9

- 9:00am - 10:00am SBA Interview (b)(6) (Conference Room 3903) - McNamara, James
- 9:00am - 10:00am SBA Interview (b)(6) (Conference Room 3903) - McNamara, James
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 12:00pm Call w/John Coates - Harvard Law School (Office#4521)
- 11:00am - 12:00pm Call w/John Coates - Harvard Law School (Office#4521)
- 12:00pm - 1:00pm SBA Interview (b)(6) (Conf room 3903 and remote connection) - McNamara, James
- 12:00pm - 1:00pm SBA Interview (b)(6) (Conf room 3903 and remote connection) - McNamara, James
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 3:00pm - 4:30pm FSOC Cryptocurrency Working Group Senior Staff Meeting (1500 Pennsylvania Ave NW (Main Treasury), Diplomatic Reception Room (3311)) - (b)(6)@treasury.gov
- 3:00pm - 4:30pm FSOC Cryptocurrency Working Group Senior Staff Meeting (1500 Pennsylvania Ave NW (Main Treasury), Diplomatic Reception Room (3311)) - (b)(6)@treasury.gov
- 3:00pm - 4:30pm TENTATIVE: FSOC Mtg. (Treasury, DC) - Szczepanik, Valerie
- 3:00pm - 4:30pm TENTATIVE: FSOC Mtg. (Treasury, DC) - Szczepanik, Valerie

Thursday, May 10

- 12:00am Senior Officer Retreat (b)(6) Wenger, Daniel T →
- 12:00am Senior Officer Retreat (b)(6) Wenger, Daniel T →
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas

Friday, May 11

- ← 12:00am Senior Officer Retreat (b)(6) Wenger, Daniel T
- ← 12:00am Senior Officer Retreat (b)(6) Wenger, Daniel T
- 3:00pm - 3:30pm Digital Assets - Draft Legal Advisory (Webex Call in (or Shira's office 9161)) - Serrano, Danae
- 3:00pm - 3:30pm Digital Assets - Draft Legal Advisory (Webex Call in (or Shira's office 9161)) - Serrano, Danae

May 14, 2018 -
May 20, 2018

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Monday, May 14

- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:30am - 11:00am Discuss Treasury Workstreams (Call Val) - Seaman, Michael P.
- 10:30am - 11:00am Discuss Treasury Workstreams (Call Val) - Seaman, Michael P.
- 11:00am - 12:00pm SBA Interview (b)(6) Conference room 3903) - McNamara, James
- 11:00am - 12:00pm SBA Interview (b)(6) Conference room 3903) - McNamara, James
- 12:00pm - 1:00pm SBA Interview (b)(6) Conference room 3903) - McNamara, James
- 12:00pm - 1:00pm SBA Interview (b)(6) Conference room 3903) - McNamara, James
- 1:00pm - 1:30pm Follow up call - Draft Legal Advisory (WebEx Online) - Serrano, Danae
- 1:00pm - 1:30pm Follow up call - Draft Legal Advisory (WebEx Online) - Serrano, Danae
- 2:00pm - 3:00pm Follow-up with Treasury re: FinTech (Chairman's conference room) - Klima, Jaime
- 2:00pm - 3:00pm Follow-up with Treasury re: FinTech (Chairman's conference room) - Klima, Jaime
- 3:00pm - 4:00pm SBA Interview (b)(6) Conference room 3903) - McNamara, James
- 3:00pm - 4:00pm SBA Interview (b)(6) Conference room 3903) - McNamara, James
- 5:00pm - 5:30pm Call with Steve Bochner (Bill's Office) - Seaman, Michael P.
- 5:00pm - 5:30pm Call with Steve Bochner (Bill's Office) - Seaman, Michael P.

Tuesday, May 15

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room)
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room)
- 11:30am - 12:00pm Discuss Waivers (Bill's Office) - Seaman, Michael P.
- 11:30am - 12:00pm Discuss Waivers (Bill's Office) - Seaman, Michael P.
- 1:00pm - 2:00pm SBA Interview (b)(6) (3903) - McNamara, James
- 1:00pm - 2:00pm SBA Interview (b)(6) (3903) - McNamara, James
- 2:00pm - 3:00pm Town Hall with Chairman Clayton - details enclosed (Station Place Auditorium + webcast) - SEC Internal Communication
- 2:00pm - 3:00pm Town Hall with Chairman Clayton - details enclosed (Station Place Auditorium + webcast) - SEC Internal Communication
- 3:30pm - 4:00pm Chat about scheduling (Bill's Office) - Davis, Julie Z.
- 3:30pm - 4:00pm Chat about scheduling (Bill's Office) - Davis, Julie Z.
- 4:30pm - 5:30pm FW: Treasury Workstreams Call (WebEx Online) - Seaman, Michael P.
- 4:30pm - 5:30pm FW: Treasury Workstreams Call (WebEx Online) - Seaman, Michael P.

Wednesday, May 16

- 9:00am - 10:00am (b)(6)
- 9:00am - 10:00am (b)(6)
- 10:00am - 10:15am DRS Filing Question (Bill's Office) - Seaman, Michael P.
- 10:00am - 10:15am DRS Filing Question (Bill's Office) - Seaman, Michael P.
- 10:30am - 11:00am catch up (Bill's office) - Parratt, Shelley
- 10:30am - 11:00am catch up (Bill's office) - Parratt, Shelley
- 11:30am - 12:00pm Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 11:30am - 12:00pm Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 12:00pm - 1:00pm SBA Interview (b)(6) (3903 may need to be remote interview) - McNamara, James
- 12:00pm - 1:00pm SBA Interview (b)(6) (3903 may need to be remote interview) - McNamara, James
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 2:00pm - 3:00pm AD7 1-A DOS (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm AD7 1-A DOS (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 3:30pm update (Shelley and Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Shelley and Kyle) - Parratt, Shelley
- 4:30pm - 5:00pm Wells Fargo Meeting with Commissioners (10120) - Seaman, Michael P.
- 4:30pm - 5:00pm Wells Fargo Meeting with Commissioners (10120) - Seaman, Michael P.

Thursday, May 17

- 9:00am - 9:30am Meeting Re: Harvey Public Library (Steph's Office) - Avakian, Stephanie
- 9:00am - 9:30am Meeting Re: Harvey Public Library (Steph's Office) - Avakian, Stephanie
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 1:00pm - 2:00pm BlackRock: call re: proxy advisors (Dial-in below (or RM 8001 for those in headquarters)) - Bartels, David P.
- 1:00pm - 2:00pm BlackRock: call re: proxy advisors (Dial-in below (or RM 8001 for those in headquarters)) - Bartels, David P.
- 2:00pm - 2:30pm AIB Development Bank (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:00pm - 2:30pm AIB Development Bank (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:00pm - 3:30pm Briefing with Commissioner Prowar on ICOs (Commissioner's office) - Davis, Julie Z.
- 3:00pm - 3:30pm Briefing with Commissioner Prowar on ICOs (Commissioner's office) - Davis, Julie Z.
- 4:00pm - 5:00pm SBA Interview Recap Discussion (3903) - McNamara, James
- 4:00pm - 5:00pm SBA Interview Recap Discussion (3903) - McNamara, James

Friday, May 18

- 10:00am - 11:00am AD7 DRS/A filing review - CONFIRMED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am AD7 DRS/A filing review - CONFIRMED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 11:30am Discuss Speech (Bill's Office) - Seaman, Michael P.
- 11:00am - 11:30am Discuss Speech (Bill's Office) - Seaman, Michael P.
- 12:00pm - 1:00pm Follow Up Mtg w/A.Frankel (SEC Headquarters - 100 F St NE, Washington, DC 20549, Conference Room (4520)) - Hinman, William
- 12:00pm - 1:00pm Follow Up Mtg w/A.Frankel (SEC Headquarters - 100 F St NE, Washington, DC 20549, Conference Room (4520)) - Hinman, William
- 1:00pm - 2:00pm 1-A/A (GAB) filing review - CONFIRMED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 2:00pm 1-A/A (GAB) filing review - CONFIRMED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 2:30pm Pre-meeting (Chairman's Conference Room) - Fox, Raquel
- 2:00pm - 2:30pm Pre-meeting (Chairman's Conference Room) - Fox, Raquel
- 3:00pm - 4:00pm Discussion with Clayton, Peirce, GC, Corp Fin, and Prowar Staff (Chairman's Conference Room/ Dial in option (b)(6)) - Clayton, Jay
- 3:00pm - 4:00pm Discussion with Clayton, Peirce, GC, Corp Fin, and Prowar Staff (Chairman's Conference Room/ Dial in option (b)(6)) - Clayton, Jay
- 4:00pm - 5:00pm Possible Early Retirement Offer and Assigning CF employees to Regional Offices (Bill's Office) - Arietti Gold, Charlene
- 4:00pm - 5:00pm Possible Early Retirement Offer and Assigning CF employees to Regional Offices (Bill's Office) - Arietti Gold, Charlene

May 21, 2018 -
May 27, 2018

May 2018						
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June 2018						
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Monday, May 21

- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:30am - 12:00pm Discuss Treasury Workstreams (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 11:30am - 12:00pm Discuss Treasury Workstreams (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 12:45pm - 1:30pm Confirmed: IFRS Survey (6612) - Riso, Casey Kittredge
- 12:45pm - 1:30pm Confirmed: IFRS Survey (6612) - Riso, Casey Kittredge
- 2:00pm - 4:00pm Meeting with Society for Corporate Governance (Multipurpose Room) - Davis, Julie Z.
- 2:00pm - 4:00pm Meeting with Society for Corporate Governance (Multipurpose Room) - Davis, Julie Z.
- 4:00pm - 5:00pm Interview Panel - Director, Advocate for Small Business Capital Formation (Room SP3 - 3903) - Acord, Heather
- 4:00pm - 5:00pm Interview Panel - Director, Advocate for Small Business Capital Formation (Room SP3 - 3903) - Acord, Heather

Tuesday, May 22

- 9:00am - 9:30am
- 9:00am - 9:30am
- 10:00am - 10:30am Tabletop Exercise (Bill's Office) - Seaman, Michael P.
- 10:00am - 10:30am Tabletop Exercise (Bill's Office) - Seaman, Michael P.
- 10:00am - 11:30am Update Mtg. W John D'Agostino (DMS Governance) (Washington, DC ROOM 3000) - Szczepanik, Valerie
- 10:00am - 11:30am Update Mtg. W John D'Agostino (DMS Governance) (Washington, DC ROOM 3000) - Szczepanik, Valerie
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 2:30pm Cybersecurity Executive TTX (CONF RM*MULTIPURPOSE ROOM (MPR)-114) - Hetner, Christopher
- 1:00pm - 2:30pm Cybersecurity Executive TTX (CONF RM*MULTIPURPOSE ROOM (MPR)-114) - Hetner, Christopher
- 3:00pm - 3:30pm Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 3:00pm - 3:30pm Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 3:30pm - 4:00pm check in (BH's office) - Szczepanik, Valerie
- 3:30pm - 4:00pm check in (BH's office) - Szczepanik, Valerie
- 4:00pm - 5:00pm Discuss Speech (Bill's Office) - Seaman, Michael P.
- 4:00pm - 5:00pm Discuss Speech (Bill's Office) - Seaman, Michael P.

Wednesday, May 23

- 9:00am - 10:00am DUSTR (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 9:00am - 10:00am DUSTR (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 10:00am - 11:30am Invitation: SEC/Ethereum @ Wed May 23, 2018 10am - 11:30am (EDT) (b)(6)@sec.gov (https://consensus.zoom.us/j/(b)(6)) - matt.corva@consensus.net
- 10:00am - 11:30am Invitation: SEC/Ethereum @ Wed May 23, 2018 10am - 11:30am (EDT) (b)(6)@sec.gov (https://consensus.zoom.us/j/(b)(6)) - matt.corva@consensus.net
- 10:30am - 11:00am Hiring Action and Press Release Mtg (4521) - Hinman, William
- 10:30am - 11:00am Hiring Action and Press Release Mtg (4521) - Hinman, William
- 11:00am - 11:30am ENF referral briefing (BH) - Parratt, Shelley
- 11:00am - 11:30am ENF referral briefing (BH) - Parratt, Shelley
- 11:30am - 12:00pm Meeting w/Jean Rogers - SASB (CF Only SP1 4520 Phone Small-12) - Hinman, William
- 11:30am - 12:00pm Meeting w/Jean Rogers - SASB (CF Only SP1 4520 Phone Small-12) - Hinman, William
- 12:30pm - 2:00pm (b)(6)
- (b)(6)
- 12:30pm - 2:00pm (b)(6)
- (b)(6)
- (b)(6)

Thursday, May 24

- (b)(6)
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas

Friday, May 25

(b)(6)

May 28, 2018 -
June 3, 2018

May 2018							June 2018						
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27	28	29	30	31			24	25	26	27	28	29	30

Monday, May 28

- Memorial Day (United States)
- Memorial Day (United States)
- 1:30pm - 2:30pm FinTech update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:30pm FinTech update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester

Tuesday, May 29

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 12:00pm - 12:30pm weekly meeting with Bill - rescheduled (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:00pm - 12:30pm weekly meeting with Bill - rescheduled (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:00pm - 12:30pm weekly meeting with Bill - rescheduled (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:00pm - 12:30pm weekly meeting with Bill - rescheduled (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 2:30pm Planning call for PCAOB Standing Advisory Group (Bill's Office) - Davis, Julie Z.
- 2:00pm - 2:30pm Planning call for PCAOB Standing Advisory Group (Bill's Office) - Davis, Julie Z.
- 3:00pm - 4:00pm Emerging Risk Discussion Group (Ceremonial Room, Room 10000) - Memon, Sean
- 3:00pm - 4:00pm Emerging Risk Discussion Group (Ceremonial Room, Room 10000) - Memon, Sean
- 3:30pm - 4:00pm Chamber re: IPO report (Chairman's Conference Room) - Clayton, Jay
- 3:30pm - 4:00pm Chamber re: IPO report (Chairman's Conference Room) - Clayton, Jay

Wednesday, May 30

- 9:30am - 10:00am meet intern (b)(6)
- 9:30am - 10:00am meet intern (b)(6)
- 10:00am - 11:00am Pre-meet to discuss Hal Scott meeting (CF Only SP1 4520 Phone Small-12) - Davis, Julie Z.
- 10:00am - 11:00am Pre-meet to discuss Hal Scott meeting (CF Only SP1 4520 Phone Small-12) - Davis, Julie Z.
- 11:00am - 12:00pm Follow-Up on Treasury Workstreams (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 11:00am - 12:00pm Follow-Up on Treasury Workstreams (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:00pm - 2:00pm Vanguard: call re: proxy advisors (Dial-in below (or RM 8001 for those in headquarters)) - Bartels, David P.
- 1:00pm - 2:00pm Vanguard: call re: proxy advisors (Dial-in below (or RM 8001 for those in headquarters)) - Bartels, David P.
- 2:00pm - 3:00pm Meeting w/ Charlotte Laurent-Ottomane & Gianna McCarthy (Conference Room 4520)
- 2:00pm - 3:00pm Meeting w/ Charlotte Laurent-Ottomane & Gianna McCarthy (Conference Room 4520)
- 3:00pm - 3:30pm update (Shelley and Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Shelley and Kyle) - Parratt, Shelley
- 3:30pm - 4:00pm Commissioner Peirce Corp. Fin. Update (10100) - Porter, Amber
- 3:30pm - 4:00pm Commissioner Peirce Corp. Fin. Update (10100) - Porter, Amber

Thursday, May 31

- 9:00am - 10:00am (b)(6)
- 9:00am - 10:00am (b)(6)
- 10:00am - 11:00am Waiver Meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 10:00am - 11:00am Waiver Meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 11:00am - 11:30am update with Betsy and Bill - Parratt, Shelley
- 11:00am - 11:30am update with Betsy and Bill - Parratt, Shelley
- 12:00pm - 1:00pm Call with Hal Scott on Arbitration (4520) - Davis, Julie Z.
- 12:00pm - 1:00pm Call with Hal Scott on Arbitration (4520) - Davis, Julie Z.
- 1:30pm - 2:00pm CBS / Viacom / National Amusements (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:30pm - 2:00pm CBS / Viacom / National Amusements (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:00pm - 2:30pm update (Shelley and David) - Parratt, Shelley
- 2:00pm - 2:30pm update (Shelley and David) - Parratt, Shelley
- 2:30pm - 4:30pm Hold for travel to Senate Banking Committee Staff Briefing on Capital Formation (Dirksen Senate Office Building) - Davis, Julie Z.
- 2:30pm - 4:30pm Hold for travel to Senate Banking Committee Staff Briefing on Capital Formation (Dirksen Senate Office Building) - Davis, Julie Z.
- 3:00pm - 3:30pm Senate Banking re: Capital Formation (Dirksen SOB) - Wood, Bryan
- 3:00pm - 3:30pm Senate Banking re: Capital Formation (Dirksen SOB) - Wood, Bryan
- 4:30pm - 5:00pm Civil and Other Token Projects (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 4:30pm - 5:00pm Civil and Other Token Projects (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 5:00pm - 5:30pm Tom Kim (Bill's Office) - Seaman, Michael P.
- 5:00pm - 5:30pm Tom Kim (Bill's Office) - Seaman, Michael P.

Friday, June 1

(b)(6)

June 4, 2018 -
June 10, 2018

June 2018

July 2018

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Monday, June 4

(b)(6)

- 9:00am - 10:30am Speech (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 9:00am - 10:30am Speech (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:30am - 12:00pm Discuss Open Items on 701 Request for Comment (CF Only SP1 4520 Phone Small-12) - Davis, Julie Z.
- 11:30am - 12:00pm Discuss Open Items on 701 Request for Comment (CF Only SP1 4520 Phone Small-12) - Davis, Julie Z.
- 1:30pm - 2:00pm Discuss Harvey Public Library enforcement recommendation --RESCHEDULED (WebEx Online) - Gaunt, LeeAnn G.
- 1:30pm - 2:00pm Discuss Harvey Public Library enforcement recommendation --RESCHEDULED (WebEx Online) - Gaunt, LeeAnn G.
- 3:00pm - 4:00pm State Street: call re: proxy advisors (Dial-in below (or RM 8001 for those in headquarter)) - Bartels, David P.
- 3:00pm - 4:00pm State Street: call re: proxy advisors (Dial-in below (or RM 8001 for those in headquarter)) - Bartels, David P.
- 4:00pm - 4:30pm
- 4:00pm - 4:30pm

Tuesday, June 5

- 10:00am - 11:00am Discuss Bill's comments on DUSTR (4521 (Bill's office)) - Moffatt, Kyle
- 10:00am - 11:00am Discuss Bill's comments on DUSTR (4521 (Bill's office)) - Moffatt, Kyle
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:15pm - 3:15pm Travel and attend PCAOB Standing Advisory Group (PCAOB) - Davis, Julie Z.
- 12:15pm - 3:15pm Travel and attend PCAOB Standing Advisory Group (PCAOB) - Davis, Julie Z.
- 3:30pm - 4:00pm Cybersecurity Working Group Meeting (Ceremonial Room) - Hetner, Christopher
- 3:30pm - 4:00pm Cybersecurity Working Group Meeting (Ceremonial Room) - Hetner, Christopher
- 4:00pm - 4:30pm Long Distance Telework--Military Spouse Request (Bill's Office) - Arietti Gold, Charlene
- 4:00pm - 4:30pm Long Distance Telework--Military Spouse Request (Bill's Office) - Arietti Gold, Charlene
- 4:30pm - 5:30pm Civil and Others, Continued (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 4:30pm - 5:30pm Civil and Others, Continued (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.

Wednesday, June 6

- 8:30am - 9:00am Meet with Barbara Novick from Blackrock on Proxy Advisory Firms (4520) - Davis, Julie Z.
- 8:30am - 9:00am Meet with Barbara Novick from Blackrock on Proxy Advisory Firms (4520) - Davis, Julie Z.

(b)(6)

Thursday, June 7

(b)(6)

- 9:00am - 9:30am Chat with Jay (Shelby will send a dial-in for those out of the office) (b)(6) - Clayton, Jay
- 9:00am - 9:30am Chat with Jay (Shelby will send a dial-in for those out of the office) (b)(6) - Clayton, Jay
- 11:00am - 11:30am Canceled: Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Canceled: Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas

Friday, June 8

- 10:00am - 11:30am Conference Call (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 10:00am - 11:30am Conference Call (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:00pm - 1:30pm Continue Discussion on Rule 701 Request for Comment (4520 or WebEx) - Davis, Julie Z.
- 1:00pm - 1:30pm Continue Discussion on Rule 701 Request for Comment (4520 or WebEx) - Davis, Julie Z.
- 1:30pm - 2:00pm FW: Updated invitation: WSJ CFO Network pre-call @ Fri Jun 8, 2018 1:30pm - 2pm (EDT) (b)(6)@sec.gov (join by phone only) (b)(6) - Jenna Coladarci
- 1:30pm - 2:00pm FW: Updated invitation: WSJ CFO Network pre-call @ Fri Jun 8, 2018 1:30pm - 2pm (EDT) (b)(6)@sec.gov (join by phone only) (b)(6) - Jenna Coladarci
- 2:00pm - 2:30pm ENF referral briefing (4521) - Parratt, Shelley
- 2:00pm - 2:30pm ENF referral briefing (4521) - Parratt, Shelley
- 2:30pm - 3:00pm token IPO - bedbug candidate (4521) - Parratt, Shelley
- 2:30pm - 3:00pm token IPO - bedbug candidate (4521) - Parratt, Shelley
- 4:30pm - 5:00pm 14a-8 (Bill's office) - McNair, Matt
- 4:30pm - 5:00pm 14a-8 (Bill's office) - McNair, Matt

June 11, 2018 -
June 17, 2018

June 2018						
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July 2018						
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Monday, June 11

- 9:30am - 9:45am Pre-meet for Burton Meeting (Bill's office) - Davis, Julie Z.
- 9:30am - 9:45am Pre-meet for Burton Meeting (Bill's office) - Davis, Julie Z.
- 10:00am - 11:00am Meeting with David Burton (3001) - Davis, Julie Z.
- 10:00am - 11:00am Meeting with David Burton (3001) - Davis, Julie Z.
- 11:00am - 12:00pm weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 12:00pm weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 12:00pm weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 12:00pm weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 2:00pm Regroup on Speech II (4520) - Seaman, Michael P.
- 1:00pm - 2:00pm Regroup on Speech II (4520) - Seaman, Michael P.
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 4:00pm - 4:30pm BIO (Chairman's Conference Room) - Clayton, Jay
- 4:00pm - 4:30pm BIO (Chairman's Conference Room) - Clayton, Jay

Tuesday, June 12

- 8:00am - 9:00am (b)(6)
- 8:00am - 9:00am (b)(6)
- 10:00am - 10:30am Canceled: Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:00am - 10:30am Canceled: Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 1:30pm - 3:30pm SEC Honorary Awards Ceremony (Station Place Auditorium)
- 1:30pm - 3:30pm SEC Honorary Awards Ceremony (Station Place Auditorium)
- 2:00pm - 6:00pm CFO Network Event (PARK HYATT | WASHINGTON, D.C.)
- 2:00pm - 6:00pm CFO Network Event (PARK HYATT | WASHINGTON, D.C.)
- 4:15pm - 5:30pm Meet w Securrency (WebEx Online/ ROOM 4000 DC) - Szczepanik, Valerie
- 4:15pm - 5:30pm Meet w Securrency (WebEx Online/ ROOM 4000 DC) - Szczepanik, Valerie
- 6:00pm - 9:00pm SRI Steering Committee Dinner (WilmerHale - DC Office)
- 6:00pm - 9:00pm SRI Steering Committee Dinner (WilmerHale - DC Office)

Wednesday, June 13

- 9:00am - 10:00am Speech - Seaman, Michael P.
- 9:00am - 10:00am Speech - Seaman, Michael P.
- 10:00am - 10:30am AIB Update (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 10:00am - 10:30am AIB Update (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:00pm - 1:30pm Bitcoin Investment Trust Form 10 / Other Bitcoin Investment Vehicles (4520 / WebEx below) - Garrison, Charles
- 1:00pm - 1:30pm Bitcoin Investment Trust Form 10 / Other Bitcoin Investment Vehicles (4520 / WebEx below) - Garrison, Charles

(b)(6)

Thursday, June 14

- (b)(6)
- Flag Day (United States)
- Flag Day (United States)
- 10:00am - 12:00pm SAVE: MTG W RIPPLE COUNSEL (Washington, DC TBD) - Szczepanik, Valerie
- 10:00am - 12:00pm SAVE: MTG W RIPPLE COUNSEL (Washington, DC TBD) - Szczepanik, Valerie
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 11:00am - 12:00pm Invitation to WebEx meeting: Call with Dave Michaels 11:00 am (1 hr) Eastern Daylight Time (New York, GMT-04:00) (WebEx Online) - Natalie Strom via SEC WebEx
- 11:00am - 12:00pm Invitation to WebEx meeting: Call with Dave Michaels 11:00 am (1 hr) Eastern Daylight Time (New York, GMT-04:00) (WebEx Online) - Natalie Strom via SEC WebEx
- 1:00pm - 2:00pm CONFIRMED - Mtg. W BitPay (Washington, DC 4860) - Szczepanik, Valerie
- 1:00pm - 2:00pm CONFIRMED - Mtg. W BitPay (Washington, DC 4860) - Szczepanik, Valerie

Friday, June 15

(b)(6)

June 18, 2018 -
June 24, 2018

June 2018							July 2018						
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Monday, June 18

- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:30pm FinTech update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:30pm FinTech update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 2:30pm update on SRC release (CF Only SP1 4520 Phone Small-12) - Davis, Julie Z.
- 2:00pm - 2:30pm update on SRC release (CF Only SP1 4520 Phone Small-12) - Davis, Julie Z.
- 2:30pm - 3:00pm 3-10 & 3-16 - Discussion re: EA (4520 / Webex) - Garrison, Charles
- 2:30pm - 3:00pm 3-10 & 3-16 - Discussion re: EA (4520 / Webex) - Garrison, Charles
- 3:30pm - 4:00pm [redacted]
- 3:30pm - 4:00pm [redacted]
- 5:30pm - 6:00pm Catch up on FSOC Workstreams - Seaman, Michael P.
- 5:30pm - 6:00pm Catch up on FSOC Workstreams - Seaman, Michael P.

Tuesday, June 19

- 10:00am - 10:30am DUSTR Briefing with Chair @ 10:10am (Chairman's Office) - McCord, Lindsay
- 10:00am - 10:30am DUSTR Briefing with Chair @ 10:10am (Chairman's Office) - McCord, Lindsay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm Rep. Davidson Pre-Meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:00pm - 1:30pm Rep. Davidson Pre-Meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:00pm - 3:00pm Workstreams Update (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:00pm - 3:00pm Workstreams Update (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:15pm - 5:00pm Travel to and Meet with Rep. Davidson (1004 Longworth House Office Building) - Seaman, Michael P.
- 3:15pm - 5:00pm Travel to and Meet with Rep. Davidson (1004 Longworth House Office Building) - Seaman, Michael P.
- 4:00pm - 4:30pm Meeting with Rep. Davidson - Wood, Bryan
- 4:00pm - 4:30pm Meeting with Rep. Davidson - Wood, Bryan

Wednesday, June 20

- 11:30am - 12:30pm CDIs - Securities Act Rules - Fredrickson, David R.
- 11:30am - 12:30pm CDIs - Securities Act Rules - Fredrickson, David R.
- 12:30pm - 1:30pm Travel to Treasury Meeting
- 12:30pm - 1:30pm Travel to Treasury Meeting
- 1:30pm - 3:00pm FSOC Cryptocurrency Working Group Senior Staff Meeting (1500 Pennsylvania Ave NW (Main Treasury), [redacted]@treasury.gov)
- 1:30pm - 3:00pm FSOC Cryptocurrency Working Group Senior Staff Meeting (1500 Pennsylvania Ave NW (Main Treasury), [redacted]@treasury.gov)
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 5:00pm - 6:30pm 14a-8 party (Toscana Cafe) - McNair, Matt
- 5:00pm - 6:30pm 14a-8 party (Toscana Cafe) - McNair, Matt

Thursday, June 21

- 9:00am - 10:00am Chairman's Honorary Award Congratulations (CONF RM SP1 4000) - Hinman, William
- 9:00am - 10:00am Chairman's Honorary Award Congratulations (CONF RM SP1 4000) - Hinman, William
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:30am - 12:00pm Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:30am - 12:00pm Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 12:00pm - 1:30pm Mthly Program Office Directors Luncheon | lunch is BYO (IM Work/Project Room SP1-8417 | POC: Rene Gwinn [redacted] for Dalia Blass)
- 12:00pm - 1:30pm Mthly Program Office Directors Luncheon | lunch is BYO (IM Work/Project Room SP1-8417 | POC: Rene Gwinn [redacted] for Dalia Blass)
- 1:30pm - 2:00pm Background Call with Bob Pisani (CNBC) (10739) - Strom, Natalie
- 1:30pm - 2:00pm Background Call with Bob Pisani (CNBC) (10739) - Strom, Natalie
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 4:30pm - 7:30pm CF Social Event (Union Pub) - CFSocialCommittee
- 4:30pm - 7:30pm CF Social Event (Union Pub) - CFSocialCommittee

Friday, June 22

- 9:00am - 10:00am Cryptocurrency Update (EEOB 212) - Gross, Mackenzie A. EOP/WHO
- 9:00am - 10:00am Cryptocurrency Update (EEOB 212) - Gross, Mackenzie A. EOP/WHO
- 10:30am - 11:00am Marijuana-Related Registrants (Bill's office) - Garrison, Charles
- 10:30am - 11:00am Marijuana-Related Registrants (Bill's office) - Garrison, Charles

[redacted]

June 25, 2018 -
July 1, 2018

June 2018						July 2018							
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Monday, June 25

- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:30pm FinTech update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:30pm FinTech update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 4:30pm - 5:00pm Marijuana-Related Companies & DOJ Referrals (4520) - Garrison, Charles
- 4:30pm - 5:00pm Marijuana-Related Companies & DOJ Referrals (4520) - Garrison, Charles

Tuesday, June 26

- 9:15am - 10:00am Upstate NY Coffee Club (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 9:15am - 10:00am Upstate NY Coffee Club (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 10:00am - 11:00am Dispute Resolution / Mandatory Arbitration Provisions Update (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 10:00am - 11:00am Dispute Resolution / Mandatory Arbitration Provisions Update (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 12:00pm - 1:00pm (b)(6)
- 12:00pm - 1:00pm
- 1:30pm - 2:00pm DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 1:30pm - 2:00pm DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 2:00pm - 3:00pm press prep for SRC open meeting (SP1 4520) - Davis, Julie Z.
- 2:00pm - 3:00pm press prep for SRC open meeting (SP1 4520) - Davis, Julie Z.
- 3:00pm - 4:00pm Emerging Risk Discussion Group (Ceremonial Room, Room 10000) - Memon, Sean
- 3:00pm - 4:00pm Emerging Risk Discussion Group (Ceremonial Room, Room 10000) - Memon, Sean
- 4:00pm - 7:00pm (b)(6)
- 4:00pm - 7:00pm

Wednesday, June 27

- 10:00am - 11:00am SEC/CFTC Catch Up (Room 4520) - Szczepanik, Valerie
- 10:00am - 11:00am SEC/CFTC Catch Up (Room 4520) - Szczepanik, Valerie
- 10:00am - 10:30am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 11:00am - 12:00pm Guide 3 (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 11:00am - 12:00pm Guide 3 (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 12:00pm - 1:00pm lunch: Hinman & Leder (TBD) - Leder, Paul
- 12:00pm - 1:00pm lunch: Hinman & Leder (TBD) - Leder, Paul
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 2:00pm - 2:30pm IT update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 2:30pm IT update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 3:30pm update (Shelley and Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Shelley and Kyle) - Parratt, Shelley
- 4:00pm - 5:00pm Civil (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 4:00pm - 5:00pm Civil (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.

Thursday, June 28

- 8:30am - 9:30am Press Pre-Brief on SRC (Will dial in reporter) (Bill's Office) - Strom, Natalie
- 8:30am - 9:30am Press Pre-Brief on SRC (Will dial in reporter) (Bill's Office) - Strom, Natalie
- 10:00am - 12:00pm hold for OCM (auditorium) - Brightwell, Tamara
- 10:00am - 12:00pm hold for OCM (auditorium) - Brightwell, Tamara
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 1:30pm - 2:00pm update (Shelley and Betsy) - Parratt, Shelley
- 1:30pm - 2:00pm update (Shelley and Betsy) - Parratt, Shelley
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 2:30pm update (Shelley and David) - Parratt, Shelley
- 2:00pm - 2:30pm update (Shelley and David) - Parratt, Shelley
- 4:00pm - 5:30pm Happy Hour for Commissioner Piwowar (Happy hour to begin after the CCM) (Chairman's Balcony) - Clayton, Jay
- 4:00pm - 5:30pm Happy Hour for Commissioner Piwowar (Happy hour to begin after the CCM) (Chairman's Balcony) - Clayton, Jay

Friday, June 29

- 10:00am - 11:00am Discuss Stakeholder Meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 10:00am - 11:00am Discuss Stakeholder Meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 11:00am - 11:30am Transparency - posting docs to sec.gov - Parratt, Shelley
- 11:00am - 11:30am Transparency - posting docs to sec.gov - Parratt, Shelley

July 2, 2018 -
July 8, 2018

July 2018						
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August 2018						
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Monday, July 2

- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:00pm - 1:00pm (b)(6)
- 12:00pm - 1:00pm (b)(6)
- 12:00pm - 1:00pm (b)(6)
- 1:30pm - 2:30pm FinTech update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:30pm FinTech update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Discuss Fall 2018 Reg Flex Agenda (CF Only SP1 4520 Phone Small-12) - Davis, Julie Z.
- 1:30pm - 2:00pm Discuss Fall 2018 Reg Flex Agenda (CF Only SP1 4520 Phone Small-12) - Davis, Julie Z.
- 2:30pm - 4:30pm Hold for Stakeholder's Meeting (Multipurpose Room) - McNair, Matt
- 2:30pm - 4:30pm Hold for Stakeholder's Meeting (Multipurpose Room) - McNair, Matt
- 5:00pm - 5:30pm call with Bryan Hough (Bill's office) - Anderson, Michele
- 5:00pm - 5:30pm call with Bryan Hough (Bill's office) - Anderson, Michele

Tuesday, July 3

- 10:00am - 10:30am catch up on loose ends (your office) - Fredrickson, David R.
- 10:00am - 10:30am catch up on loose ends (your office) - Fredrickson, David R.
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 2:30pm monthly meeting - Corp Fin (Room 4521) - Becker, Chyhe K.
- 2:00pm - 2:30pm monthly meeting - Corp Fin (Room 4521) - Becker, Chyhe K.

Wednesday, July 4

- (b)(6)
- Independence Day (United States)
- Independence Day (United States)
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley

Thursday, July 5

- (b)(6)
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting

Friday, July 6

(b)(6)

July 9, 2018 -
July 15, 2018

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Monday, July 9

(b)(6)

- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:30pm FinTech update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:30pm FinTech update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester

Tuesday, July 10

(b)(6)

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie

Wednesday, July 11

(b)(6)

- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley

Thursday, July 12

(b)(6)

- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 1:00pm - 2:00pm Dave Michael call
- 1:00pm - 2:00pm Dave Michael call
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting

Friday, July 13

(b)(6)

July 16, 2018 -
July 22, 2018

July 2018

August 2018

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Monday, July 16

- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm 3-10/3-16 Update (Bill's Office) - Garrison, Charles
- 1:00pm - 1:30pm 3-10/3-16 Update (Bill's Office) - Garrison, Charles
- 1:30pm - 2:30pm FinTech update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:30pm FinTech update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:45pm - 2:00pm Prep for 7/17 Meeting with Matt Mulder (Cryptocurrency Staffer for Rep McHenry) (Bill's Office) - Garrison, Charles
- 1:45pm - 2:00pm Prep for 7/17 Meeting with Matt Mulder (Cryptocurrency Staffer for Rep McHenry) (Bill's Office) - Garrison, Charles
- 3:30pm - 4:00pm Corp. Fin. Update (10100) - Porter, Amber
- 3:30pm - 4:00pm Corp. Fin. Update (10100) - Porter, Amber
- 5:30pm - 6:00pm Prep for Offsite (Chairman's Conference Room (and conference line below)) - Moskowitz, Lucas
- 5:30pm - 6:00pm Prep for Offsite (Chairman's Conference Room (and conference line below)) - Moskowitz, Lucas

Tuesday, July 17

- 9:30am - 10:15am Thank you to OSBP and others (area outside room 4523) - Hinman, William
- 9:30am - 10:15am Thank you to OSBP and others (area outside room 4523) - Hinman, William
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:15pm - 3:00pm Message/Press Prep for Open Meeting (4520) - Davis, Julie Z.
- 2:15pm - 3:00pm Message/Press Prep for Open Meeting (4520) - Davis, Julie Z.
- 3:15pm - 4:00pm CF Update - Stein, Kara
- 3:15pm - 4:00pm CF Update - Stein, Kara
- 4:00pm - 5:00pm FSO Workstreams Update (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 4:00pm - 5:00pm FSO Workstreams Update (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 4:30pm - 5:00pm catch up - Parratt, Shelley
- 4:30pm - 5:00pm catch up - Parratt, Shelley
- 5:00pm - 5:30pm (b)(6)
- 5:00pm - 5:30pm

Wednesday, July 18

- 9:00am - 9:30am Dave Michaels (Chairman's Office) - Strom, Natalie
- 9:00am - 9:30am Dave Michaels (Chairman's Office) - Strom, Natalie
- 10:00am - 12:00pm Hold for OCM (auditorium) - Brightwell, Tamara
- 10:00am - 12:00pm Hold for OCM (auditorium) - Brightwell, Tamara
- 1:00pm - 1:30pm Town Hall Prep (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:00pm - 1:30pm Town Hall Prep (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 2:00pm - 3:00pm Waivers (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:00pm - 3:00pm Waivers (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 4:30pm - 5:00pm (b)(6)
- 4:30pm - 5:00pm

Thursday, July 19

- Town Hall on Goals and Priorities for 2018 (SEC - Philadelphia Regional Office)
- Town Hall on Goals and Priorities for 2018 (SEC - Philadelphia Regional Office)
- 9:00am - 10:00am Town Hall with Chairman Clayton (PLRO and Webcast (see enclosed)) - SEC Internal Communication
- 9:00am - 10:00am Town Hall with Chairman Clayton (PLRO and Webcast (see enclosed)) - SEC Internal Communication
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 12:00pm - 1:30pm Mthly Program Office Directors Luncheon | lunch is BYO (IM Work/Project Room SP1-8417 | POC: Rene Gwinn (b)(6) for Dalia Blass)
- 12:00pm - 1:30pm Mthly Program Office Directors Luncheon | lunch is BYO (IM Work/Project Room SP1-8417 | POC: Rene Gwinn (b)(6) for Dalia Blass)
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 4:30pm - 5:30pm Check In w CFTC (Room 4520 and DIAL IN BELOW) - Szczepanik, Valerie
- 4:30pm - 5:30pm Check In w CFTC (Room 4520 and DIAL IN BELOW) - Szczepanik, Valerie

Friday, July 20

- 10:00am - 10:30am
- 10:00am - 10:30am
- 10:30am - 12:00pm FSO Cryptocurrency Working Group Senior Staff Meeting (1500 Pennsylvania Ave NW (Main Treasury), (b)(6)@treasury.gov)
- 10:30am - 12:00pm FSO Cryptocurrency Working Group Senior Staff Meeting (1500 Pennsylvania Ave NW (Main Treasury), (b)(6)@treasury.gov)
- 2:00pm - 2:30pm Bill/Betsy update - RESCHEDULED - Parratt, Shelley
- 2:00pm - 2:30pm Bill/Betsy update - RESCHEDULED - Parratt, Shelley
- 2:30pm - 3:00pm Call w/ Ben Bain (Bill's Office) - Strom, Natalie
- 2:30pm - 3:00pm Call w/ Ben Bain (Bill's Office) - Strom, Natalie
- 3:00pm - 3:30pm Call w/ Lucinda Schen (Bill's office) - White, Ryan
- 3:00pm - 3:30pm Call w/ Lucinda Schen (Bill's office) - White, Ryan
- 3:00pm - 3:30pm Fortune Interview (By Phone - Bill's Office) - Seaman, Michael P.
- 3:00pm - 3:30pm Fortune Interview (By Phone - Bill's Office) - Seaman, Michael P.

July 23, 2018 -
July 29, 2018

July 2018

August 2018

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Monday, July 23

- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:15pm CF Meeting w/ Bill/Charlene/Shelley (Conference Room 4520) - Johnson, Winter (Contractor)
- 11:15am - 12:15pm CF Meeting w/ Bill/Charlene/Shelley (Conference Room 4520) - Johnson, Winter (Contractor)
- 1:30pm - 2:30pm FinTech update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:30pm FinTech update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester

Tuesday, July 24

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 12:00pm - 12:45pm Temasek (Chairman's Conference Room) - Clayton, Jay
- 12:00pm - 12:45pm Temasek (Chairman's Conference Room) - Clayton, Jay
- 2:00pm - 2:30pm review VS speaking requests - Parratt, Shelley
- 2:00pm - 2:30pm review VS speaking requests - Parratt, Shelley
- 3:00pm - 4:00pm Cybersecurity Working Group- TTX After Action Report Review (Ceremonial Room) - Hetner, Christopher
- 3:00pm - 4:00pm Cybersecurity Working Group- TTX After Action Report Review (Ceremonial Room) - Hetner, Christopher
- 3:30pm - 4:30pm Meeting with H4 - updated (4000) - Brightwell, Tamara
- 3:30pm - 4:30pm Meeting with H4 - updated (4000) - Brightwell, Tamara
- 5:00pm - 5:30pm Catch up (Bill's office) - Bricker, Wesley
- 5:00pm - 5:30pm Catch up (Bill's office) - Bricker, Wesley

Wednesday, July 25

- 9:15am - 9:45am Regulation S-X Call (Bill's Office // WebEx Online) - Strom, Natalie
- 9:15am - 9:45am Regulation S-X Call (Bill's Office // WebEx Online) - Strom, Natalie
- 10:00am - 11:30am Meeting Re: Waiver (Outside Counsel) (5000) - Avakian, Stephanie
- 10:00am - 11:30am Meeting Re: Waiver (Outside Counsel) (5000) - Avakian, Stephanie
- 11:30am - 12:00pm Gender Diversity Pre-meet (Bill's Office) - Davis, Julie Z.
- 11:30am - 12:00pm Gender Diversity Pre-meet (Bill's Office) - Davis, Julie Z.
- 12:30pm - 1:15pm (b)(6)
- 12:30pm - 1:15pm (b)(6)
- 12:30pm - 1:15pm (b)(6)
- 12:30pm - 1:00pm (b)(6)
- 12:30pm - 1:00pm (b)(6)
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 2:15pm - 2:45pm FinTech Mtg. (Lucas' Office) - Szczepanik, Valerie
- 2:15pm - 2:45pm FinTech Mtg. (Lucas' Office) - Szczepanik, Valerie
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 4:00pm - 4:30pm Discuss Board Diversity and Universal Proxy (4520) - Davis, Julie Z.
- 4:00pm - 4:30pm Discuss Board Diversity and Universal Proxy (4520) - Davis, Julie Z.

Thursday, July 26

- 10:00am - 11:00am Meeting with Coinbase (TM Workroom and WebEx) - Goldsholle, Gary
- 10:00am - 11:00am Meeting with Coinbase (TM Workroom and WebEx) - Goldsholle, Gary
- 10:30am - 11:30am FMOC Meeting (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
- 10:30am - 11:30am FMOC Meeting (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
- 10:30am - 11:30am FMOC Meeting (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
- 10:30am - 11:30am FMOC Meeting (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 12:00pm - 1:00pm FY 2018 Human Capital Review Meeting (Corporation Finance) (3903) - McNamara, James
- 12:00pm - 1:00pm FY 2018 Human Capital Review Meeting (Corporation Finance) (3903) - McNamara, James
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting

Friday, July 27

- 10:00am - 11:00am review risk and control matrix (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am review risk and control matrix (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:30am - 12:30pm CF- FY 2019-2020 Budget Meeting (CONF RM SP2 6280 Forum OCOO Only) - Ellerbe, Dana
- 11:30am - 12:30pm CF- FY 2019-2020 Budget Meeting (CONF RM SP2 6280 Forum OCOO Only) - Ellerbe, Dana
- 1:00pm - 6:00pm (b)(6)
- 1:00pm - 6:00pm (b)(6)

July 30, 2018 - August 5, 2018

July 2018

August 2018

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Monday, July 30

- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:30pm FinTech update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:30pm FinTech update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Mining Release (Bill's Office) - Seaman, Michael P.
- 1:30pm - 2:00pm Mining Release (Bill's Office) - Seaman, Michael P.
- 2:00pm - 2:30pm Meeting with Matt Mulder (Cryptocurrency Staffer for Rep McHenry) (4520) - Garrison, Charles
- 2:00pm - 2:30pm Meeting with Matt Mulder (Cryptocurrency Staffer for Rep McHenry) (4520) - Garrison, Charles
- 3:30pm - 4:30pm Regroup on Mining (Bill's Office) - Seaman, Michael P.
- 3:30pm - 4:30pm Regroup on Mining (Bill's Office) - Seaman, Michael P.

Tuesday, July 31

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm FW: Meeting with SolidX/VanEck/Cboe (TM Workroom) - Orr, Andrea
- 2:00pm - 3:00pm FW: Meeting with SolidX/VanEck/Cboe (TM Workroom) - Orr, Andrea
- 3:30pm - 4:00pm OMA
- 3:30pm - 4:00pm OMA
- 4:30pm - 5:30pm stuff - Parratt, Shelley
- 4:30pm - 5:30pm stuff - Parratt, Shelley

Wednesday, August 1

- 9:40am - 10:10am Commissioner Peirce prep (Bill's office) - McCord, Lindsay
- 9:40am - 10:10am Commissioner Peirce prep (Bill's office) - McCord, Lindsay
- 10:00am - 11:00am Commissioner Peirce DUSTR briefing (Commissioner Peirce's office) - McCord, Lindsay
- 10:00am - 11:00am Commissioner Peirce DUSTR briefing (Commissioner Peirce's office) - McCord, Lindsay
- 10:00am - 11:00am FW: DUSTR Briefing (10100) - Porter, Amber
- 10:00am - 11:00am FW: DUSTR Briefing (10100) - Porter, Amber
- 11:00am - 11:30am follow up -- mining release/material exploration results discussion (Bill's office or 4520) - Murphy, Elizabeth
- 11:00am - 11:30am follow up -- mining release/material exploration results discussion (Bill's office or 4520) - Murphy, Elizabeth
- 1:00pm - 1:30pm Personnel Matter (Bill's Office) - Rossiter, Iris
- 1:00pm - 1:30pm Personnel Matter (Bill's Office) - Rossiter, Iris
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 3:00pm - 3:30pm GDPR (10th Floor Ceremonial Room) - Clayton, Jay
- 3:00pm - 3:30pm GDPR (10th Floor Ceremonial Room) - Clayton, Jay
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:30pm - 4:30pm CF Special Act Award Calibration Meeting (Room 4520) - Pangalos, Eugene
- 3:30pm - 4:30pm CF Special Act Award Calibration Meeting (Room 4520) - Pangalos, Eugene
- 5:00pm - 6:30pm Happy Hour with Recent Rulemaking and Investor Roundtable Teams (10th Floor Terrace) - Clayton, Jay
- 5:00pm - 6:30pm Happy Hour with Recent Rulemaking and Investor Roundtable Teams (10th Floor Terrace) - Clayton, Jay

Thursday, August 2

- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:30am - 12:15pm Bill/Betsy update - RESCHEDULED - Parratt, Shelley
- 11:30am - 12:15pm Bill/Betsy update - RESCHEDULED - Parratt, Shelley
- 1:00pm - 2:00pm SWAT Meeting (Conference Room 4520) - Johnson, Winter (Contractor)
- 1:00pm - 2:00pm SWAT Meeting (Conference Room 4520) - Johnson, Winter (Contractor)
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 3:00pm - 3:30pm SLB (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:00pm - 3:30pm SLB (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:30pm - 4:00pm TokenLot -- Draft Action Memo and OIP (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:30pm - 4:00pm TokenLot -- Draft Action Memo and OIP (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:00pm - 5:00pm Proxy Process Roundtable (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 4:00pm - 5:00pm Proxy Process Roundtable (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.

Friday, August 3

- 2:30pm - 3:30pm possible anti-waiver issue in state exclusive forum provisions (Room 4520) - Anderson, Michele
- 2:30pm - 3:30pm possible anti-waiver issue in state exclusive forum provisions (Room 4520) - Anderson, Michele
- 3:30pm - 4:00pm extending meeting - Parratt, Shelley
- 3:30pm - 4:00pm extending meeting - Parratt, Shelley

August 6, 2018 -
August 12, 2018

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Monday, August 6

(b)(6)

- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:30pm FinTech update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:30pm FinTech update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester

Tuesday, August 7

(b)(6)

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie

Wednesday, August 8

(b)(6)

- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 3:00pm - 4:00pm ENF + Corp Fin call with Tesla (Brad Bondi) (Updated for Dial-In Information) - Schneider, Erin
- 3:00pm - 4:00pm ENF + Corp Fin call with Tesla (Brad Bondi) (Updated for Dial-In Information) - Schneider, Erin

Thursday, August 9

(b)(6)

- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting

Friday, August 10

(b)(6)

- 12:30pm - 1:30pm Call with Tesla and counsel (Dial-in/SP 4520, (b)(6)) - Yu, Ted
- 12:30pm - 1:30pm Call with Tesla and counsel (Dial-in/SP 4520, (b)(6)) - Yu, Ted
- 12:30pm - 1:30pm Canceled: HOLD - Call with Tesla and Counsel (WebEx/SP 4520 for those in office) - Yu, Ted
- 12:30pm - 1:30pm Canceled: HOLD - Call with Tesla and Counsel (WebEx/SP 4520 for those in office) - Yu, Ted

August 13, 2018 - August 19, 2018

August 2018

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September 2018

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Monday, August 13

- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:30pm FinTech update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:30pm FinTech update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley

Tuesday, August 14

- 9:30am - 10:30am Meeting w Hinman et al (Jay's Office) - Clayton, Jay
- 9:30am - 10:30am Meeting w Hinman et al (Jay's Office) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF Senior Staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 2:00pm Bill's thoughts on harmonization (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 2:00pm Bill's thoughts on harmonization (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm GAB A1 - touch base (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm GAB A1 - touch base (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:30pm - 4:00pm Cyber Intelligence Information Handling Discussion (Ceremonial Room) - Hetner, Christopher
- 3:30pm - 4:00pm Cyber Intelligence Information Handling Discussion (Ceremonial Room) - Hetner, Christopher
- 4:00pm - 4:45pm 404(b) Discussion Sheet -- transition issues (Bill's office or 4520) - Murphy, Elizabeth
- 4:00pm - 4:45pm 404(b) Discussion Sheet -- transition issues (Bill's office or 4520) - Murphy, Elizabeth

Wednesday, August 15

- 10:00am - 12:00pm hold for OCM - DUSTR - Brightwell, Tamara
- 10:00am - 12:00pm hold for OCM - DUSTR - Brightwell, Tamara
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:30pm - 4:00pm Division strategy - post retreat deliverable - Parratt, Shelley
- 3:30pm - 4:00pm Division strategy - post retreat deliverable - Parratt, Shelley
- 4:30pm - 5:00pm EDGAR PX14A6G/N possibilities - Parratt, Shelley
- 4:30pm - 5:00pm EDGAR PX14A6G/N possibilities - Parratt, Shelley

Thursday, August 16

- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am FW: Chairman's Briefing - FAST Act Rulemaking (Chairman's Conference Room) - Gomez Abero, Sebastian
- 11:00am - 11:30am FW: Chairman's Briefing - FAST Act Rulemaking (Chairman's Conference Room) - Gomez Abero, Sebastian
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 3:30pm - 4:30pm Bill/David update - RESCHEDULED - Parratt, Shelley
- 3:30pm - 4:30pm Bill/David update - RESCHEDULED - Parratt, Shelley
- 3:30pm - 4:30pm Bill/David update - RESCHEDULED - Parratt, Shelley
- 3:30pm - 4:30pm Bill/David update - RESCHEDULED - Parratt, Shelley
- 3:30pm - 4:30pm Bill/David update - RESCHEDULED - Parratt, Shelley
- 4:30pm - 6:00pm Happy Hour on the Terrace with recent rulemaking teams (10th floor Chairman's Terrace) - Clayton, Jay
- 4:30pm - 6:00pm Happy Hour on the Terrace with recent rulemaking teams (10th floor Chairman's Terrace) - Clayton, Jay

Friday, August 17

- 10:00am - 11:00am catch up - Parratt, Shelley
- 10:00am - 11:00am catch up - Parratt, Shelley
- 12:15pm - 1:15pm (b)(6)
- 12:15pm - 1:15pm (b)(6)
- 12:15pm - 1:15pm (b)(6)
- 12:15pm - 1:15pm (b)(6)
- 2:15pm - 3:00pm Bill/Betsy update - RESCHEDULED - Parratt, Shelley
- 2:15pm - 3:00pm Bill/Betsy update - RESCHEDULED - Parratt, Shelley
- 3:00pm - 3:15pm Call with Dave Michaels/Jason Zweig (WebEx Online) - Strom, Natalie
- 3:00pm - 3:15pm Call with Dave Michaels/Jason Zweig (WebEx Online) - Strom, Natalie
- 3:15pm - 3:30pm Call with Ben Bain (Bloomberg) (WebEx Online) - Strom, Natalie
- 3:15pm - 3:30pm Call with Ben Bain (Bloomberg) (WebEx Online) - Strom, Natalie

August 20, 2018 - August 26, 2018

August 2018						
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September 2018						
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Monday, August 20

- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 12:00pm (b)(5)
- 11:00am - 12:00pm
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 4:00pm - 4:30pm Hedging Release (Bill's Office) - Garrison, Charles
- 4:00pm - 4:30pm Hedging Release (Bill's Office) - Garrison, Charles

Tuesday, August 21

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:00am - 11:30am touch base on TM's No Action Letter (VS office) - Szczepanik, Valerie
- 11:00am - 11:30am touch base on TM's No Action Letter (VS office) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 2:30pm - 3:00pm sustainability disclosure project (4520) - Daly, James (CF)
- 2:30pm - 3:00pm sustainability disclosure project (4520) - Daly, James (CF)
- 3:00pm - 4:00pm White papers memo (Room 4520) - Long, Pamela
- 3:00pm - 4:00pm White papers memo (Room 4520) - Long, Pamela

Wednesday, August 22

- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 2:00pm - 2:30pm Waivers (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:00pm - 2:30pm Waivers (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob

Thursday, August 23

- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 3:30pm - 4:00pm Earnings Release/Reporting Frequency RFC Draft (4520) - Garrison, Charles
- 3:30pm - 4:00pm Earnings Release/Reporting Frequency RFC Draft (4520) - Garrison, Charles
- 4:30pm - 5:00pm Quick discussion of Bill's 3-05 comments (4520 or WebEx) - Davis, Julie Z.
- 4:30pm - 5:00pm Quick discussion of Bill's 3-05 comments (4520 or WebEx) - Davis, Julie Z.

Friday, August 24

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Monday, August 27

(b)(6)

- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☐
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☐
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☐
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☐
- 1:00pm - 1:30pm Call with (b)(6) candidate for Small Bus Advocate (WebEx number) - Gomez Abero, Sebastian
- 1:00pm - 1:30pm Call with (b)(6) candidate for Small Bus Advocate (WebEx number) - Gomez Abero, Sebastian

Tuesday, August 28

(b)(6)

- 9:30am - 10:00am Prep for Launch Tennessee (CF Only SP1 4520 Phone Small-12) - Davis, Julie Z.
- 9:30am - 10:00am Prep for Launch Tennessee (CF Only SP1 4520 Phone Small-12) - Davis, Julie Z.
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☐
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☐
- 2:00pm - 2:30pm monthly meeting - Corp Fin (Room 4521) - Becker, Chyhe K. ☐
- 2:00pm - 2:30pm monthly meeting - Corp Fin (Room 4521) - Becker, Chyhe K. ☐
- 4:00pm - 4:30pm Review Final Division Awards (Your office) - Arietti Gold, Charlene
- 4:00pm - 4:30pm Review Final Division Awards (Your office) - Arietti Gold, Charlene

Wednesday, August 29

(b)(6)

- 9:00am HOLD - Potential Clayton Speech on Capital Formation and Fireside Chat with Bill in Nashville, TN - Garrison, Charles →
- 9:00am HOLD - Potential Clayton Speech on Capital Formation and Fireside Chat with Bill in Nashville, TN - Garrison, Charles →
- 9:00am Potential Clayton Speech on Capital Formation and Fireside Chat with Bill in Nashville, TN - Garrison, Charles →
- 9:00am Potential Clayton Speech on Capital Formation and Fireside Chat with Bill in Nashville, TN - Garrison, Charles →
- 11:00am - 12:00pm FMOC with OMB Guest Speaker (Conference Room 6280) - Kauffman, Caryn
- 11:00am - 12:00pm FMOC with OMB Guest Speaker (Conference Room 6280) - Kauffman, Caryn
- 1:15pm - 2:45pm (b)(6)
- 1:15pm - 2:45pm (b)(6)
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley ☐
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley ☐
- 3:00pm - 3:45pm Nashville Speech, Followed by Fireside Chat with Hinman and Gov. Haslam (2:00 CT) (b)(6) - Clayton, Jay
- 3:00pm - 3:45pm Nashville Speech, Followed by Fireside Chat with Hinman and Gov. Haslam (2:00 CT) (b)(6) - Clayton, Jay
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley ☐
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley ☐
- 4:00pm - 4:45pm Jay and Bill Smaller Q&A in Nashville (3:00 CT) (b)(6)
- (b)(6) - Clayton, Jay
- 4:00pm - 4:45pm Jay and Bill Smaller Q&A in Nashville (3:00 CT) (b)(6)
- (b)(6) - Clayton, Jay
- 6:00pm - 6:30pm Craig Lewis- Vanderbilt (b)(6) - Clayton, Jay
- 6:00pm - 6:30pm Craig Lewis- Vanderbilt (b)(6) - Clayton, Jay
- 6:30pm - 8:00pm 5:30 CT- Jay Speaking at Event at Vanderbilt Business and Law schools (Vandy Business School) - Clayton, Jay
- 6:30pm - 8:00pm 5:30 CT- Jay Speaking at Event at Vanderbilt Business and Law schools (Vandy Business School) - Clayton, Jay

Thursday, August 30

(b)(6)

- 5:30pm HOLD - Potential Clayton Speech on Capital Formation and Fireside Chat with Bill in Nashville, TN - Garrison, Charles
- 5:30pm HOLD - Potential Clayton Speech on Capital Formation and Fireside Chat with Bill in Nashville, TN - Garrison, Charles
- 5:30pm Potential Clayton Speech on Capital Formation and Fireside Chat with Bill in Nashville, TN - Garrison, Charles
- 5:30pm Potential Clayton Speech on Capital Formation and Fireside Chat with Bill in Nashville, TN - Garrison, Charles
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley ☐
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley ☐
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas ☐
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas ☐
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas ☐
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas ☐
- 1:45pm - 2:15pm Meet w Bill on Swaps (Bill's office) - Szczepanik, Valerie
- 1:45pm - 2:15pm Meet w Bill on Swaps (Bill's office) - Szczepanik, Valerie
- 2:00pm - 3:30pm Block for Closed Commission Meeting ☐
- 2:00pm - 3:30pm Block for Closed Commission Meeting ☐
- 2:00pm - 2:30pm SEC/CFTC Catch Up Mtg. (TBD) - Szczepanik, Valerie
- 2:00pm - 2:30pm SEC/CFTC Catch Up Mtg. (TBD) - Szczepanik, Valerie
- 3:30pm - 4:00pm Testimony (Bill's office) - Yu, Ted
- 3:30pm - 4:00pm Testimony (Bill's office) - Yu, Ted
- 3:30pm - 4:00pm Madison Square Garden Waiver (BH's Office) - Torno, Jarrett
- 3:30pm - 4:00pm Madison Square Garden Waiver (BH's Office) - Torno, Jarrett

Friday, August 31

(b)(6)

(b)(6)

September 3, 2018 - September 9, 2018

September 2018

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Monday, September 3

(b)(6)

- Labor Day (United States)
Labor Day (United States)
10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester

Tuesday, September 4

(b)(6)

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
12:00pm - 1:30pm lunch
12:00pm - 1:30pm lunch
1:30pm - 2:00pm Weekly Catchup (Bill's Office) - Seaman, Michael P.
1:30pm - 2:00pm Weekly Catchup (Bill's Office) - Seaman, Michael P.
2:00pm - 2:30pm Mining (Bill's Office) - Seaman, Michael P.
2:00pm - 2:30pm Mining (Bill's Office) - Seaman, Michael P.

Wednesday, September 5

(b)(6)

- 9:15am - 9:45am Meeting to Discuss Crypto (Chairman's Conference Room (Dial in Added: (b)(6))) - Moskowitz, Lucas
9:15am - 9:45am Meeting to Discuss Crypto (Chairman's Conference Room (Dial in Added: (b)(6))) - Moskowitz, Lucas
9:30am - 10:00am FW: Briefing on Mining with the Chairman (Chairman's Office) - Gomez Abero, Sebastian
9:30am - 10:00am FW: Briefing on Mining with the Chairman (Chairman's Office) - Gomez Abero, Sebastian
1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
2:00pm - 2:30pm FW: TM Crypto Custody No-Action Letter (TM Workroom) - Goldsholle, Gary
2:00pm - 2:30pm FW: TM Crypto Custody No-Action Letter (TM Workroom) - Goldsholle, Gary
4:00pm - 5:00pm Meeting with Alan Cohen & Barbara Novick - Blackrock (Conference Room 4520)
4:00pm - 5:00pm Meeting with Alan Cohen & Barbara Novick - Blackrock (Conference Room 4520)

Thursday, September 6

(b)(6)

- 10:00am - 10:30am call w CFTC (dial in below: please forward to others as appropriate) - Szczepanik, Valerie
10:00am - 10:30am call w CFTC (dial in below: please forward to others as appropriate) - Szczepanik, Valerie
10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
11:00am - 11:30am NACD Cyber Discussion (Chris to Call Bill) - Hetner, Christopher
11:00am - 11:30am NACD Cyber Discussion (Chris to Call Bill) - Hetner, Christopher
1:30pm - 2:00pm Update on Proxy Roundtable (Bill's Office) - Davis, Julie Z.
1:30pm - 2:00pm Update on Proxy Roundtable (Bill's Office) - Davis, Julie Z.
2:00pm - 3:30pm Block for Closed Commission Meeting
2:00pm - 3:30pm Block for Closed Commission Meeting
2:00pm - 3:30pm Block for Closed Commission Meeting
2:00pm - 3:30pm Block for Closed Commission Meeting
3:00pm - 3:30pm Crypto Discussion (Chairman's Conference Room (Dial in option: (b)(6))) - Clayton, Jay
3:00pm - 3:30pm Crypto Discussion (Chairman's Conference Room (Dial in option: (b)(6))) - Clayton, Jay
4:00pm - 5:00pm Walgreens WKS/PSLRA Waiver Call (Bill's Office/Dial In (b)(6))) - Henseler, Timothy
4:00pm - 5:00pm Walgreens WKS/PSLRA Waiver Call (Bill's Office/Dial In (b)(6))) - Henseler, Timothy

Friday, September 7

(b)(6)

- 11:00am - 11:30am Exclusive Forum (Bill's office) - Davis, Julie Z.
11:00am - 11:30am Exclusive Forum (Bill's office) - Davis, Julie Z.
12:00pm - 12:30pm urgent tracker meeting (WebEx Online) - Szczepanik, Valerie
12:00pm - 12:30pm urgent tracker meeting (WebEx Online) - Szczepanik, Valerie
2:00pm - 2:30pm Fwd: Briefing re Bitcoin Tracker One (CONF RM SP1 7000 VTC/WebEx Large-39) - Bergoffen, Roni E
2:00pm - 2:30pm Fwd: Briefing re Bitcoin Tracker One (CONF RM SP1 7000 VTC/WebEx Large-39) - Bergoffen, Roni E
3:00pm - 3:30pm Rescheduled Briefing re Bitcoin Tracker One (CONF RM SP1 7000 VTC/WebEx Large-39) - Bergoffen, Roni E
3:00pm - 3:30pm Rescheduled Briefing re Bitcoin Tracker One (CONF RM SP1 7000 VTC/WebEx Large-39) - Bergoffen, Roni E
3:30pm - 4:00pm waiver follow-up (Bill's office) - Murphy, Elizabeth
3:30pm - 4:00pm waiver follow-up (Bill's office) - Murphy, Elizabeth
4:30pm - 5:00pm SEC/CFTC Call (dial in below) - Szczepanik, Valerie
4:30pm - 5:00pm SEC/CFTC Call (dial in below) - Szczepanik, Valerie
6:40pm - 7:10pm XBT call (WebEx Online) - Bergoffen, Roni E
6:40pm - 7:10pm XBT call (WebEx Online) - Bergoffen, Roni E

September 10, 2018 -
September 16, 2018

September 2018

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October 2018

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Monday, September 10

- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:30am - 11:00am Meeting (10400) - Roisman, Elad
- 10:30am - 11:00am Meeting (10400) - Roisman, Elad
- 2:00pm - 2:30pm CF pre-meet for Chairman briefing on 404(b) (CF Only SP1 4520 Phone Small-12/WebEx) - Davis, Julie Z.
- 2:00pm - 2:30pm CF pre-meet for Chairman briefing on 404(b) (CF Only SP1 4520 Phone Small-12/WebEx) - Davis, Julie Z.
- 2:30pm - 3:00pm Earnings Release/Reporting Frequency RFC Draft (4520) - Bloom, Luna
- 2:30pm - 3:00pm Earnings Release/Reporting Frequency RFC Draft (4520) - Bloom, Luna
- 2:30pm - 3:00pm Hurricane Prep Discussion (Division Deputies Okay) (Chairman's Conference Room) - Moskowitz, Lucas
- 2:30pm - 3:00pm Hurricane Prep Discussion (Division Deputies Okay) (Chairman's Conference Room) - Moskowitz, Lucas
- 5:00pm - 5:30pm touch base on tracker status (WebEx Online/ROOM 4520) - Szczepanik, Valerie
- 5:00pm - 5:30pm touch base on tracker status (WebEx Online/ROOM 4520) - Szczepanik, Valerie
- 5:30pm - 6:30pm CFTC Discussion (WebEx Online) - McGee, Carol
- 5:30pm - 6:30pm CFTC Discussion (WebEx Online) - McGee, Carol

Tuesday, September 11

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:00am - 11:30am Divisions, OCIE, GC, OCA with Chairman Clayton including a Crypto Discussion (Chairman's Conference Room) - Clayton, Jay
- 11:00am - 11:30am Divisions, OCIE, GC, OCA with Chairman Clayton including a Crypto Discussion (Chairman's Conference Room) - Clayton, Jay
- 12:00pm - 12:30pm High Level talk re FINRA no-action Ltr. (TM Workroom - 7630) - Szczepanik, Valerie
- 12:00pm - 12:30pm High Level talk re FINRA no-action Ltr. (TM Workroom - 7630) - Szczepanik, Valerie
- 1:00pm - 1:30pm Debrief on Madison Avenue Comment Letter (Bill's Office) - Garrison, Charles
- 1:00pm - 1:30pm Debrief on Madison Avenue Comment Letter (Bill's Office) - Garrison, Charles
- 3:30pm - 4:00pm FW: 404(b) briefing (Chairman's Conference Room) - Gomez Abero, Sebastian
- 3:30pm - 4:00pm FW: 404(b) briefing (Chairman's Conference Room) - Gomez Abero, Sebastian
- 5:00pm - 5:30pm Call w Michael Gill/Dan Gorfine - Szczepanik, Valerie
- 5:00pm - 5:30pm Call w Michael Gill/Dan Gorfine - Szczepanik, Valerie
- 5:00pm - 5:30pm CFTC/SEC Touch Base (Teleconference - [b](6)) - Gorfine, Daniel
- 5:00pm - 5:30pm CFTC/SEC Touch Base (Teleconference - [b](6)) - Gorfine, Daniel

Wednesday, September 12

- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 12:30pm - 12:45pm quick chat on hurricane relief (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:30pm - 12:45pm quick chat on hurricane relief (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 2:00pm Regs Committee Meeting (from Kyle) (3000) - Seaman, Michael P.
- 1:00pm - 2:00pm Regs Committee Meeting (from Kyle) (3000) - Seaman, Michael P.
- 1:00pm - 2:00pm Regs Committee Meeting (from Kyle) (3000) - Seaman, Michael P.
- 1:00pm - 2:00pm Regs Committee Meeting (from Kyle) (3000) - Seaman, Michael P.
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 2:15pm - 2:45pm Meet with IAC Executive Committee (4520) - Davis, Julie Z.
- 2:15pm - 2:45pm Meet with IAC Executive Committee (4520) - Davis, Julie Z.
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 4:30pm - 5:30pm Discuss Austin Q&A (Bill's Office) - Seaman, Michael P.
- 4:30pm - 5:30pm Discuss Austin Q&A (Bill's Office) - Seaman, Michael P.
- 5:00pm - 6:30pm Happy Hour Welcoming Commissioner Elad Roisman (Chairman's Terrace/ Office/ Ceremonial Room) - Clayton, Jay
- 5:00pm - 6:30pm Happy Hour Welcoming Commissioner Elad Roisman (Chairman's Terrace/ Office/ Ceremonial Room) - Clayton, Jay

Thursday, September 13

- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting

Friday, September 14

- 10:30am - 12:00pm National Investor Relations Institute Annual Meeting (Multipurpose Room) - Garrison, Charles
- 10:30am - 12:00pm National Investor Relations Institute Annual Meeting (Multipurpose Room) - Garrison, Charles
- 1:30pm - 1:45pm Pre-meet for David Burton's Securities Regulation Working Group (4520) - Davis, Julie Z.
- 1:30pm - 1:45pm Pre-meet for David Burton's Securities Regulation Working Group (4520) - Davis, Julie Z.
- 2:00pm - 2:30pm Discuss Hedging Release (4520) - Davis, Julie Z.
- 2:00pm - 2:30pm Discuss Hedging Release (4520) - Davis, Julie Z.

September 17, 2018 -
September 23, 2018

September 2018

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October 2018

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Monday, September 17

- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:30am - 1:30pm Meeting with Securities Regulation Working Group (David Burton) - Davis, Julie Z.
- 11:30am - 1:30pm Meeting with Securities Regulation Working Group (David Burton) - Davis, Julie Z.
- 11:40am - 1:30pm Meeting with Securities Regulation Working Group (David Burton) (Meet Bryan in lobby at 11:45) - Davis, Julie Z.
- 11:40am - 1:30pm Meeting with Securities Regulation Working Group (David Burton) (Meet Bryan in lobby at 11:45) - Davis, Julie Z.
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 3:00pm - 3:30pm FMFIA Assurance Statement briefing (4520) - Luisi, Shelly C.
- 3:00pm - 3:30pm FMFIA Assurance Statement briefing (4520) - Luisi, Shelly C.
- 4:30pm - 5:00pm FW: Follow-up call on Tidal Royalty and XBT (Dial-in: [b](6)]) - Bain, Cara
- 4:30pm - 5:00pm FW: Follow-up call on Tidal Royalty and XBT (Dial-in: [b](6)]) - Bain, Cara
- 4:30pm - 5:00pm Hold for call with FINRA regarding XBT (Conference Room 7000) - Guidroz, John
- 4:30pm - 5:00pm Hold for call with FINRA regarding XBT (Conference Room 7000) - Guidroz, John

Tuesday, September 18

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 1:00pm - 2:00pm FEVS Results Briefing (4520) - Wenger, Daniel T
- 1:00pm - 2:00pm FEVS Results Briefing (4520) - Wenger, Daniel T
- 2:00pm - 3:00pm Confirmed: DHS/FBI Meeting (CONF RM*SP2 10620 VTC/WebEx Large-29) - Bystrowski, Michael (Contractor)
- 2:00pm - 3:00pm Confirmed: DHS/FBI Meeting (CONF RM*SP2 10620 VTC/WebEx Large-29) - Bystrowski, Michael (Contractor)
- 3:00pm - 4:00pm rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 4:00pm rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:00pm - 5:00pm Kik Preso to Bill (TBD) - Szczepanik, Valerie
- 4:00pm - 5:00pm Kik Preso to Bill (TBD) - Szczepanik, Valerie
- 4:00pm - 5:00pm Kik Presp to Bill (HO Testimony Room 1 (30) (VTC)) - Mitchell, Brent S.
- 4:00pm - 5:00pm Kik Presp to Bill (HO Testimony Room 1 (30) (VTC)) - Mitchell, Brent S.
- 5:00pm - 5:30pm CFTC/SEC Mtg. re trackers (WebEx Online) - Szczepanik, Valerie
- 5:00pm - 5:30pm CFTC/SEC Mtg. re trackers (WebEx Online) - Szczepanik, Valerie

Wednesday, September 19

- 11:00am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:30pm Mtg w/ Glass-Lewis (Conf Room 8000 | Dial-in: [b](6)]) - Cellupica, Paul
- 1:30pm - 2:30pm Mtg w/ Glass-Lewis (Conf Room 8000 | Dial-in: [b](6)]) - Cellupica, Paul
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 3:00pm - 4:00pm Discuss Guide 3 Release (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:00pm - 4:00pm Discuss Guide 3 Release (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 4:00pm - 5:00pm FMOC meeting (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
- 4:00pm - 5:00pm FMOC meeting (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
- 5:30pm - 6:00pm Quick Pre-Brief re: Sensitive Data Meeting scheduled for Thursday 11:30 to 12:30 with the CIO (Your office) - Arietti Gold, Charlene
- 5:30pm - 6:00pm Quick Pre-Brief re: Sensitive Data Meeting scheduled for Thursday 11:30 to 12:30 with the CIO (Your office) - Arietti Gold, Charlene

Thursday, September 20

- 10:00am - 11:00am Meeting with Fidelity re: Digital Asset Custodial Services (TM Workroom/WebEx) - Garrison, Charles
- 10:00am - 11:00am Meeting with Fidelity re: Digital Asset Custodial Services (TM Workroom/WebEx) - Garrison, Charles
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 11:30am - 12:30pm CF Sensitive Data Inventory Review Discussion (Room 4000 or WebEx Online) - Dyson, Pamela C.
- 11:30am - 12:30pm CF Sensitive Data Inventory Review Discussion (Room 4000 or WebEx Online) - Dyson, Pamela C.
- 12:00pm - 12:30pm Discussion on Jay's Statement on Staff Views (Feel free to bring your lunches for a working lunch) (Ceremonial Room) - Clayton, Jay
- 12:00pm - 12:30pm Discussion on Jay's Statement on Staff Views (Feel free to bring your lunches for a working lunch) (Ceremonial Room) - Clayton, Jay
- 12:30pm - 2:30pm meet w FINRA Digital Assets Policy Group (Room 4000) - Szczepanik, Valerie
- 12:30pm - 2:30pm meet w FINRA Digital Assets Policy Group (Room 4000) - Szczepanik, Valerie
- 1:30pm - 2:30pm Mtg w/ ISS (Conf Room 8000 | Dial-in: [b](6)]) - Cellupica, Paul
- 1:30pm - 2:30pm Mtg w/ ISS (Conf Room 8000 | Dial-in: [b](6)]) - Cellupica, Paul
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:30pm - 4:00pm Block for Closed Commission Meeting
- 2:30pm - 4:00pm Block for Closed Commission Meeting
- 3:30pm - 4:00pm Discuss Society for Corporate Governance Conference (Bill's Office) - Seaman, Michael P.
- 3:30pm - 4:00pm Discuss Society for Corporate Governance Conference (Bill's Office) - Seaman, Michael P.
- 4:30pm - 5:30pm Meeting with OilCoin (3000/Webex) - Garrison, Charles
- 4:30pm - 5:30pm Meeting with OilCoin (3000/Webex) - Garrison, Charles

Friday, September 21

- [b](6)
- 12:00pm - 1:00pm [b](6)
- 12:00pm - 1:00pm [b](6)

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Monday, September 24

- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 11:15am Hearing Prep for Dalia (Closed Commission Meeting Room) - Davis, Julie Z.
- 11:00am - 11:15am Hearing Prep for Dalia (Closed Commission Meeting Room) - Davis, Julie Z.
- 1:00pm - 2:00pm Meeting with Council of Institutional Investors (4520) - Davis, Julie Z.
- 1:00pm - 2:00pm Meeting with Council of Institutional Investors (4520) - Davis, Julie Z.
- 2:00pm - 2:30pm Discuss Harmonization (4520) - Davis, Julie Z.
- 2:00pm - 2:30pm Discuss Harmonization (4520) - Davis, Julie Z.

Tuesday, September 25

- 8:30am - 10:30am Meeting with Don Rheem (Bill's Office)
- 8:30am - 10:30am Meeting with Don Rheem (Bill's Office)
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm Society Conference and SLB Talking Points (Bill's Office) - Seaman, Michael P.
- 11:30am - 12:00pm Society Conference and SLB Talking Points (Bill's Office) - Seaman, Michael P.
- 12:00pm - 12:30pm hp re em
- 12:00pm - 12:30pm hp re em
- 1:00pm - 1:30pm Call with Jeff Karpf - Seaman, Michael P.
- 1:00pm - 1:30pm Call with Jeff Karpf - Seaman, Michael P.
- 1:30pm - 2:00pm Meeting with Elad - Peikin, Steven
- 1:30pm - 2:00pm Meeting with Elad - Peikin, Steven
- 2:00pm - 2:30pm rj re em
- 2:00pm - 2:30pm rj re em
- 2:00pm - 2:30pm monthly meeting - Corp Fin (Room 4521) - Becker, Chyhe K.
- 2:00pm - 2:30pm monthly meeting - Corp Fin (Room 4521) - Becker, Chyhe K.
- 2:30pm - 3:00pm Hold for Meeting with Roisman re: Bitcoin Tracker One - Garrison, Charles
- 2:30pm - 3:00pm Hold for Meeting with Roisman re: Bitcoin Tracker One - Garrison, Charles
- 2:30pm - 3:00pm Meeting with CORP FIN (10400) - Roisman, Elad
- 2:30pm - 3:00pm Meeting with CORP FIN (10400) - Roisman, Elad
- 3:00pm - 4:00pm Emerging Risk Discussion Group (10000 (Ceremonial Room)) - Erhardt, Julie A.
- 3:00pm - 4:00pm Emerging Risk Discussion Group (10000 (Ceremonial Room)) - Erhardt, Julie A.
- 4:00pm - 4:30pm ks re em
- 4:00pm - 4:30pm ks re em

Wednesday, September 26

- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 11:00am - 11:30am Prep for Chairman Briefing on TTW Discussion Sheet (4520 or WebEx) - Davis, Julie Z.
- 11:00am - 11:30am Prep for Chairman Briefing on TTW Discussion Sheet (4520 or WebEx) - Davis, Julie Z.
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 2:00pm - 3:00pm Society Event (Bill's Office) - Seaman, Michael P.
- 2:00pm - 3:00pm Society Event (Bill's Office) - Seaman, Michael P.
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:30pm - 4:00pm (b)(6)
- 3:30pm - 4:00pm
- 4:00pm - 5:00pm
- 4:00pm - 5:00pm
- 5:00pm - 6:00pm
- 5:00pm - 6:00pm

Thursday, September 27

- 10:00am - 10:30am Discuss Bill's comments on 3-05 (CF Only SP1 4520 Phone Small-12) - Davis, Julie Z.
- 10:00am - 10:30am Discuss Bill's comments on 3-05 (CF Only SP1 4520 Phone Small-12) - Davis, Julie Z.
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:30am - 11:00am hold to discuss no-action letter (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:30am - 11:00am hold to discuss no-action letter (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:20am - 11:50am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:20am - 11:50am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:30am - 12:00pm SEP security background investigator
- 11:30am - 12:00pm SEP security background investigator
- 1:00pm - 2:00pm Meet with Center for American Progress on ESG Issues (Conf Room 4001) - Davis, Julie Z.
- 1:00pm - 2:00pm Meet with Center for American Progress on ESG Issues (Conf Room 4001) - Davis, Julie Z.
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 4:00pm - 7:00pm Society for Corporate Governance 2018 Eastern Region Fall Conference (New York, NY)
- 4:00pm - 7:00pm Society for Corporate Governance 2018 Eastern Region Fall Conference (New York, NY)

Friday, September 28

- Society for Corporate Governance 2018 Eastern Region Fall Conference (New York, NY)
- Society for Corporate Governance 2018 Eastern Region Fall Conference (New York, NY)

October 1, 2018 -
October 7, 2018

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Monday, October 1

- 9:30am - 10:00am FMFIA wrap up (BH) - Parratt, Shelley
- 9:30am - 10:00am FMFIA wrap up (BH) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 11:30am Discuss Possible Proxy Roundtable Panelists (Michele's office (3649)) - Davis, Julie Z.
- 11:00am - 11:30am Discuss Possible Proxy Roundtable Panelists (Michele's office (3649)) - Davis, Julie Z.
- 11:30am - 12:00pm Lions Gate/Starz (Bill's office) - Yu, Ted
- 11:30am - 12:00pm Lions Gate/Starz (Bill's office) - Yu, Ted
- 2:00pm - 2:30pm FW: Test-the-Waters Briefing (Chairman's Conference Room) - Gomez Abero, Sebastian
- 2:00pm - 2:30pm FW: Test-the-Waters Briefing (Chairman's Conference Room) - Gomez Abero, Sebastian
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 3:00pm - 3:30pm LACBA Securities Regulation Seminar - Panel Discussion (Call-in number: (b)(6)) Taylor, Kathleen M.
- 3:00pm - 3:30pm LACBA Securities Regulation Seminar - Panel Discussion (Call-in number: (b)(6)) Taylor, Kathleen M.
- 3:30pm - 4:00pm Section 16/swap issue (tbd) - Fredrickson, David R.
- 3:30pm - 4:00pm Section 16/swap issue (tbd) - Fredrickson, David R.

Tuesday, October 2

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 2:30pm - 3:30pm Cybersecurity Working Group (Ceremonial Room) - Hetner, Christopher
- 2:30pm - 3:30pm Cybersecurity Working Group (Ceremonial Room) - Hetner, Christopher
- 3:00pm - 3:30pm Meeting on Crypto (Chairman's Conference Room) - Clayton, Jay
- 3:00pm - 3:30pm Meeting on Crypto (Chairman's Conference Room) - Clayton, Jay
- 4:00pm - 5:00pm Division Update (Bill's Office) - Arietti Gold, Charlene
- 4:00pm - 5:00pm Division Update (Bill's Office) - Arietti Gold, Charlene

Wednesday, October 3

- 10:00am - 10:30am Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 10:00am - 10:30am Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 11:30am - 12:30pm (b)(6) Bloom, Luna
- 11:30am - 12:30pm (b)(6) Bloom, Luna
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 2:00pm - 2:30pm Director's Photo Retake w/Robert Shanklin (Room 1800)
- 2:00pm - 2:30pm Director's Photo Retake w/Robert Shanklin (Room 1800)
- 2:30pm - 3:00pm er meet
- 2:30pm - 3:00pm er meet
- 5:00pm - 5:30pm (b)(6)
- 5:00pm - 5:30pm (b)(6)

Thursday, October 4

- 10:00am - 10:30am Jim briefs Bill pre-GAO call (4521) - Parratt, Shelley
- 10:00am - 10:30am Jim briefs Bill pre-GAO call (4521) - Parratt, Shelley
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 11:00am - 12:00pm Discuss 404(b) Economic Analysis (Bill's Office) - Davis, Julie Z.
- 11:00am - 12:00pm Discuss 404(b) Economic Analysis (Bill's Office) - Davis, Julie Z.
- 1:30pm - 2:00pm Clear Channel Holdings S-4 (Bill's Office) - Torno, Jarrett
- 1:30pm - 2:00pm Clear Channel Holdings S-4 (Bill's Office) - Torno, Jarrett
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 3:00pm - 4:00pm rulemaking update - RESCHEDULED (4521) - Parratt, Shelley
- 3:00pm - 4:00pm rulemaking update - RESCHEDULED (4521) - Parratt, Shelley

Friday, October 5

(b)(6)

October 8, 2018 -
October 14, 2018

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Monday, October 8

- Columbus Day (United States)
- Columbus Day (United States)
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley

Tuesday, October 9

- (b)(6)
- 9:00am - 9:30am OIG GC call
- 9:00am - 9:30am OIG GC call
- 10:00am - 11:00am weekly meeting with Bill - RESCHEDULED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill - RESCHEDULED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:00am - 11:15am Briefing (Chairman's Conference Room) - Wood, Bryan
- 11:00am - 11:15am Briefing (Chairman's Conference Room) - Wood, Bryan
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:30pm - 1:00pm .3SEC/CFTC call re XBT et al. (WebEx Online/Bill's office) - Szczepanik, Valerie
- 12:30pm - 1:00pm .3SEC/CFTC call re XBT et al. (WebEx Online/Bill's office) - Szczepanik, Valerie

Wednesday, October 10

- (b)(6)
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 11:30am - 12:00pm LunaTrust (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:30am - 12:00pm LunaTrust (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley

Thursday, October 11

- 9:30am - 10:00am Uber -- SFRO Rule 701 Compliance Investigation Update (4520) - Garrison, Charles
- 9:30am - 10:00am Uber -- SFRO Rule 701 Compliance Investigation Update (4520) - Garrison, Charles
- 10:15am - 11:00am disclosure update (4521) - Parratt, Shelley
- 10:15am - 11:00am disclosure update (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:30pm - 3:00pm Cross-Border CDIs (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:30pm - 3:00pm Cross-Border CDIs (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:00pm - 3:30pm Shareholder Proposals Briefing w/ Commissioner Roisman (4004 - NEW LOCATION) - Garrison, Charles
- 3:00pm - 3:30pm Shareholder Proposals Briefing w/ Commissioner Roisman (4004 - NEW LOCATION) - Garrison, Charles
- 3:30pm - 5:00pm SOXtoberfest (9th Floor Lunchroom/Terrace) - CFSocialCommittee
- 3:30pm - 5:00pm SOXtoberfest (9th Floor Lunchroom/Terrace) - CFSocialCommittee
- 5:00pm - 5:30pm Canceled: Closing the loop on DRS access (Bill's Office) - Arietti Gold, Charlene
- 5:00pm - 5:30pm Canceled: Closing the loop on DRS access (Bill's Office) - Arietti Gold, Charlene

Friday, October 12

- 10:00am - 11:00am exclusive forum provisions (Bill's office) - Anderson, Michele
- 10:00am - 11:00am exclusive forum provisions (Bill's office) - Anderson, Michele
- 11:00am - 12:00pm Continental Resources Briefing for Bill (4520/Webex) - Garrison, Charles
- 11:00am - 12:00pm Continental Resources Briefing for Bill (4520/Webex) - Garrison, Charles
- 1:30pm - 2:30pm Summary of female applicant barrier analysis (Corp Fin) - Henry, Peter
- 1:30pm - 2:30pm Summary of female applicant barrier analysis (Corp Fin) - Henry, Peter
- 2:30pm - 5:30pm (b)(6)
- 2:30pm - 5:30pm (b)(6)
- 2:30pm - 3:30pm to discuss the mining disclosure adopting release (Rm. 10120) - Staffin, Elliott
- 2:30pm - 3:30pm to discuss the mining disclosure adopting release (Rm. 10120) - Staffin, Elliott

October 15, 2018 -
October 21, 2018

October 2018						
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Monday, October 15

(b)(6)

- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:30am - 12:00pm E&Y Report on ICOs (Chairman's Conference Room) - Moskowitz, Lucas
- 11:30am - 12:00pm E&Y Report on ICOs (Chairman's Conference Room) - Moskowitz, Lucas
- 1:00pm - 2:00pm Discuss Reg A Adopting Release (CF Only SP1 4520 Phone Small-12) - Davis, Julie Z.
- 1:00pm - 2:00pm Discuss Reg A Adopting Release (CF Only SP1 4520 Phone Small-12) - Davis, Julie Z.
- 2:00pm - 2:30pm Proxy Process Briefing w/ Commissioner Roisman (4520) - Garrison, Charles
- 2:00pm - 2:30pm Proxy Process Briefing w/ Commissioner Roisman (4520) - Garrison, Charles
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 4:00pm - 4:30pm discuss Paragon undertakings (Bill's office) - Szczepanik, Valerie
- 4:00pm - 4:30pm discuss Paragon undertakings (Bill's office) - Szczepanik, Valerie

Tuesday, October 16

(b)(6)

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 12:00pm - 12:30pm GAO Call w Bob
- 12:00pm - 12:30pm GAO Call w Bob
- 1:00pm - 2:00pm Mtg w/Bev O'Toole (4520)
- 1:00pm - 2:00pm Mtg w/Bev O'Toole (4520)
- 2:00pm - 3:00pm rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:30pm - 4:00pm Mtg w/NYSE President, Stacey Cunningham (4520)
- 3:30pm - 4:00pm Mtg w/NYSE President, Stacey Cunningham (4520)
- 4:00pm - 5:00pm Meeting with Bitwise (4000/Webex) - Garrison, Charles
- 4:00pm - 5:00pm Meeting with Bitwise (4000/Webex) - Garrison, Charles

Wednesday, October 17

- 9:30am - 9:45am Need to catch up briefly on a personnel issue (Bill's Office. Call me at (b)(6)) - Arietti Gold, Charlene
- 9:30am - 9:45am Need to catch up briefly on a personnel issue (Bill's Office. Call me at (b)(6)) - Arietti Gold, Charlene
- 10:00am - 10:30am LA Panel Prep (Bill's office) - Garrison, Charles
- 10:00am - 10:30am LA Panel Prep (Bill's office) - Garrison, Charles
- 11:30am - 12:15pm Monthly Update w/CF - Stein, Kara
- 11:30am - 12:15pm Monthly Update w/CF - Stein, Kara
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 2:00pm - 3:00pm SLB 14J Briefing w/ Comm'r Jackson (Comm'r Jackson's office) - McNair, Matt
- 2:00pm - 3:00pm SLB 14J Briefing w/ Comm'r Jackson (Comm'r Jackson's office) - McNair, Matt
- 3:00pm - 3:15pm HOLD
- 3:00pm - 3:15pm HOLD
- 3:30pm - 5:00pm FMOC Meeting (CONF RM SP2 5280 VTC/WebEx Medium-24) - Kauffman, Caryn
- 3:30pm - 5:00pm FMOC Meeting (CONF RM SP2 5280 VTC/WebEx Medium-24) - Kauffman, Caryn
- 5:00pm - 5:30pm DRS and Performance Appraisals (Bill's Office) - Arietti Gold, Charlene
- 5:00pm - 5:30pm DRS and Performance Appraisals (Bill's Office) - Arietti Gold, Charlene

Thursday, October 18

- 12:00am LA County Bar Association Securities Regulation Seminar (Los Angeles, CA)
- 12:00am LA County Bar Association Securities Regulation Seminar (Los Angeles, CA)
- 9:30am - 10:00am BPI Waiver Proposal (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 9:30am - 10:00am BPI Waiver Proposal (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 10:00am - 10:30am 13D and HSR Reform (4520) - Garrison, Charles
- 10:00am - 10:30am 13D and HSR Reform (4520) - Garrison, Charles
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 11:30am - 12:00pm Guide 3 Comments (Bill's Office) - Seaman, Michael P.
- 11:30am - 12:00pm Guide 3 Comments (Bill's Office) - Seaman, Michael P.
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting

Friday, October 19

- 12:00am LA County Bar Association Securities Regulation Seminar (Los Angeles, CA)
- 12:00am LA County Bar Association Securities Regulation Seminar (Los Angeles, CA)

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November 2018						
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Monday, October 22

- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 4:00pm Interview for Small Bus Advocate (CF Only*SP1 4003 Phone Small-17) - Gomez Abero, Sebastian
- 3:00pm - 4:00pm Interview for Small Bus Advocate (CF Only*SP1 4003 Phone Small-17) - Gomez Abero, Sebastian
- 4:45pm - 5:15pm Corp Fin (call in number below) - Crenshaw, Caroline
- 4:45pm - 5:15pm Corp Fin (call in number below) - Crenshaw, Caroline

Tuesday, October 23

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:35am - Meet in Chairman's office to depart for DOJ - Garrison, Charles
- 11:35am - Meet in Chairman's office to depart for DOJ - Garrison, Charles
- 12:00pm - 12:30pm DOJ with Makan Delrahim (DOJ- 950 Pennsylvania Ave) - Clayton, Jay
- 12:00pm - 12:30pm DOJ with Makan Delrahim (DOJ- 950 Pennsylvania Ave) - Clayton, Jay
- 2:00pm - 3:00pm FW: Cyber Risk Discussion with BitSight (CONF RM SP2 4280 VTC/WebEx Medium-23) - Bystrowski, Michael (Contractor)
- 2:00pm - 3:00pm FW: Cyber Risk Discussion with BitSight (CONF RM SP2 4280 VTC/WebEx Medium-23) - Bystrowski, Michael (Contractor)
- 2:00pm - 2:30pm monthly meeting - Corp Fin (Room 4521) - Becker, Chyhe K.
- 2:00pm - 2:30pm monthly meeting - Corp Fin (Room 4521) - Becker, Chyhe K.
- 4:00pm - 5:00pm CF senior staff - RESCHEDULED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:00pm - 5:00pm CF senior staff - RESCHEDULED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley

Wednesday, October 24

- 9:15am - 10:00am Continental Discussion (4004) - Garrison, Charles
- 9:15am - 10:00am Continental Discussion (4004) - Garrison, Charles
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 2:00pm - 3:00pm Confirmed: John Formica PWC (CONF RM SP1 6002 Phone Small-18) - Risso, Casey Kittredge
- 2:00pm - 3:00pm Confirmed: John Formica PWC (CONF RM SP1 6002 Phone Small-18) - Risso, Casey Kittredge
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 4:00pm - 5:00pm TechGC Questions (Bill's Office) - Seaman, Michael P.
- 4:00pm - 5:00pm TechGC Questions (Bill's Office) - Seaman, Michael P.

Thursday, October 25

- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:30am - 12:30pm FMOC Meeting (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
- 10:30am - 12:30pm FMOC Meeting (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
- 10:30am - 11:30am FMOC Meeting (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
- 10:30am - 11:30am FMOC Meeting (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 1:00pm - 1:15pm TBAC planning call with Mike Gill (Bill's Office) - Davis, Julie Z.
- 1:00pm - 1:15pm TBAC planning call with Mike Gill (Bill's Office) - Davis, Julie Z.
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 2:30pm Guide 7 (10400) - Thomas, Christina
- 2:00pm - 2:30pm Guide 7 (10400) - Thomas, Christina
- 3:15pm - 3:25pm Hold - David Fredrickson (Bill's Office) - Hinman, William
- 3:15pm - 3:25pm Hold - David Fredrickson (Bill's Office) - Hinman, William
- 3:30pm - 4:30pm Call with Goodwin and SEC Re: Direct Listing (b)(6) - Axtell, Sarah
- 3:30pm - 4:30pm Call with Goodwin and SEC Re: Direct Listing (b)(6) - Axtell, Sarah
- 3:30pm - 4:30pm Direct Listing Phone Call (Bill's Office) - Seaman, Michael P.
- 3:30pm - 4:30pm Direct Listing Phone Call (Bill's Office) - Seaman, Michael P.

Friday, October 26

- TechGC Summit
- TechGC Summit

October 29, 2018 - November 4, 2018

October 2018						
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November 2018						
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Monday, October 29

- 9:30am - 10:00am Quick Pre-Meeting Before the FMOC (CONF RM SP2 6280 Forum OCOO Only) - Johnson, Kenneth A. (OCOO)
- 9:30am - 10:00am Quick Pre-Meeting Before the FMOC (CONF RM SP2 6280 Forum OCOO Only) - Johnson, Kenneth A. (OCOO)
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 12:00pm Corrected FMOC invite (CONF RM SP2 6280 Forum OCOO Only) - Ellerbe, Dana
- 11:00am - 12:00pm Corrected FMOC invite (CONF RM SP2 6280 Forum OCOO Only) - Ellerbe, Dana
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 5:00pm - 5:30pm stebbins
- 5:00pm - 5:30pm stebbins

Tuesday, October 30

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 2:00pm Treasury TBAC Lunch
- 11:15am - 2:00pm Treasury TBAC Lunch
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 3:00pm - 4:00pm Emerging Risk Discussion Group (10000 (Ceremonial Room)) - Erhardt, Julie A.
- 3:00pm - 4:00pm Emerging Risk Discussion Group (10000 (Ceremonial Room)) - Erhardt, Julie A.

Wednesday, October 31

- Halloween (United States)
- Halloween (United States)
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 2:30pm - 3:00pm FW: Chairman's briefing - Hedging (Chairman's Conference Room) - Gomez Abero, Sebastian
- 2:30pm - 3:00pm FW: Chairman's briefing - Hedging (Chairman's Conference Room) - Gomez Abero, Sebastian

Thursday, November 1

- (b)(6)
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting

Friday, November 2

(b)(6)

November 5, 2018 -
November 11, 2018

November 2018

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December 2018

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Monday, November 5

- 9:00am - 12:00pm Georgetown FinTech Week
- 9:00am - 12:00pm Georgetown FinTech Week
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:15pm Discuss draft IOSCO statement on sustainability/ESG (4520) - Davis, Julie Z.
- 1:00pm - 1:15pm Discuss draft IOSCO statement on sustainability/ESG (4520) - Davis, Julie Z.
- 2:00pm - 3:00pm *Save the Date* Town Hall with Chairman Clayton (Station Place Auditorium + webcast) - OCOO Communications
- 2:00pm - 3:00pm *Save the Date* Town Hall with Chairman Clayton (Station Place Auditorium + webcast) - OCOO Communications
- 3:00pm - 4:00pm Exclusive Forum Provisions Selecting a Foreign Forum and/or Foreign Law (CF Only SP1 4520 Phone Small-12) - Walsh, Elizabeth
- 3:00pm - 4:00pm Exclusive Forum Provisions Selecting a Foreign Forum and/or Foreign Law (CF Only SP1 4520 Phone Small-12) - Walsh, Elizabeth
- 3:00pm - 4:00pm hold for EF discussion - Parratt, Shelley
- 3:00pm - 4:00pm hold for EF discussion - Parratt, Shelley
- 4:00pm - 5:00pm weekly meeting with Bill - RESCHEDULED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:00pm - 5:00pm weekly meeting with Bill - RESCHEDULED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 5:00pm - 5:30pm catch up - EDGAR/OGC policy change (BH) - Parratt, Shelley
- 5:00pm - 5:30pm catch up - EDGAR/OGC policy change (BH) - Parratt, Shelley

Tuesday, November 6

- Election Day (United States)
- Election Day (United States)
- 10:00am - 12:00pm Broadridge Steering Committee Meeting with Staff (CONF RM SP1 4000 VTC/WebEx Large-41) - Kung, Felicia
- 10:00am - 12:00pm Broadridge Steering Committee Meeting with Staff (Room 4000) - Kung, Felicia
- 10:00am - 12:00pm Broadridge Steering Committee Meeting with Staff (CONF RM SP1 4000 VTC/WebEx Large-41) - Kung, Felicia
- 10:00am - 12:00pm Broadridge Steering Committee Meeting with Staff (Room 4000) - Kung, Felicia
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 2:00pm CIRA dashboard demonstration (4520) - Stehlik, Michael
- 1:00pm - 2:00pm CIRA dashboard demonstration (4520) - Stehlik, Michael
- 2:00pm - 3:00pm Interview (CF Only*SP1 3004 Phone Small-19) - Gomez Abero, Sebastian
- 2:00pm - 3:00pm Interview (CF Only*SP1 3004 Phone Small-19) - Gomez Abero, Sebastian
- 3:00pm - 3:30pm Briefing with Chairman re: 3-05 (Chairman's Conf. Room) - Gomez Abero, Sebastian
- 3:00pm - 3:30pm Briefing with Chairman re: 3-05 (Chairman's Conf. Room) - Gomez Abero, Sebastian
- 3:30pm - 4:00pm GAO Exit Conference (Ceremonial Room, room 10000) - Kauffman, Caryn
- 3:30pm - 4:00pm GAO Exit Conference (Ceremonial Room, room 10000) - Kauffman, Caryn
- 4:00pm - 4:30pm Prep Call with Dave Lynn, Bill Hinman, Shelley Parratt for PLI Panel - Hot Topics in CF (Dial-in information below) - Garrison, Charles
- 4:00pm - 4:30pm Prep Call with Dave Lynn, Bill Hinman, Shelley Parratt for PLI Panel - Hot Topics in CF (Dial-in information below) - Garrison, Charles
- 4:30pm - 5:30pm PLI Prep - Internal (4520) - Garrison, Charles
- 4:30pm - 5:30pm PLI Prep - Internal (4520) - Garrison, Charles

Wednesday, November 7

- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 3:00pm - 3:15pm ADRs (Bill's Office) - Seaman, Michael P.
- 3:00pm - 3:15pm ADRs (Bill's Office) - Seaman, Michael P.
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:30pm - 4:00pm PLI Talking Points - Second Turn (4520) - Garrison, Charles
- 3:30pm - 4:00pm PLI Talking Points - Second Turn (4520) - Garrison, Charles
- 4:00pm - 5:00pm Bill/David update - RESCHEDULED - Parratt, Shelley
- 4:00pm - 5:00pm Bill/David update - RESCHEDULED - Parratt, Shelley

Thursday, November 8

- PLI - NYC
- PLI - NYC
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 3:00pm - 4:00pm FW: Meeting with World Economic Forum re: corporate reporting/governance (Chairman's Conference Room) - Memon, Sean
- 3:00pm - 4:00pm FW: Meeting with World Economic Forum re: corporate reporting/governance (Chairman's Conference Room) - Memon, Sean

Friday, November 9

- 10:00am - 10:30am Hinman Call-re: Interpretive Guidance - Clayton, Jay
- 10:00am - 10:30am Hinman Call-re: Interpretive Guidance - Clayton, Jay
- 10:30am - 11:00am Touch base on Small Business Forum topics (CF Only SP1 4520 Phone Small-12) - Davis, Julie Z.
- 10:30am - 11:00am Touch base on Small Business Forum topics (CF Only SP1 4520 Phone Small-12) - Davis, Julie Z.
- 11:00am - 12:00pm Review Program Structure--update (Bill's Office) - Arietti Gold, Charlene
- 11:00am - 12:00pm Review Program Structure--update (Bill's Office) - Arietti Gold, Charlene
- 12:00pm - 12:30pm FAIR Act Update (4520) - Garrison, Charles
- 12:00pm - 12:30pm FAIR Act Update (4520) - Garrison, Charles

November 12, 2018 -
November 18, 2018

November 2018

December 2018

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Monday, November 12

(b)(6)

- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester

Tuesday, November 13

(b)(6)

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm Weekly Meeting with Counsels (Bill's office) - Davis, Julie Z.
- 11:30am - 12:00pm Weekly Meeting with Counsels (Bill's office) - Davis, Julie Z.
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 1:00pm - 2:00pm Confirmed: Interview with Candidate for Small Bus Advocate (CF Only*SP1 4004 Phone Small-17) - Gomez Abero, Sebastian
- 1:00pm - 2:00pm Confirmed: Interview with Candidate for Small Bus Advocate (CF Only*SP1 4004 Phone Small-17) - Gomez Abero, Sebastian
- 2:00pm - 2:30pm Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 2:00pm - 2:30pm Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 3:00pm - 4:00pm rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 4:00pm rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:30pm - 5:00pm ABA Prep Call with Bob Buckholz (b)(6) - Garrison, Charles
- 4:30pm - 5:00pm ABA Prep Call with Bob Buckholz (b)(6) - Garrison, Charles

Wednesday, November 14

(b)(6)

- 10:00am - 10:30am Hold-LIBOR Mtg w/ Shelly L. (Bill's Office) - Hinman, William
- 10:00am - 10:30am Hold-LIBOR Mtg w/ Shelly L. (Bill's Office) - Hinman, William
- 10:30am - 11:00am Concept Release Meeting w/Bill Hinman (Bill's Office 4521) - Blass, Dalia
- 10:30am - 11:00am Concept Release Meeting w/Bill Hinman (Bill's Office 4521) - Blass, Dalia
- 11:00am - 12:00pm MD&A Discussion Sheet Conversation (4520) - Garrison, Charles
- 11:00am - 12:00pm MD&A Discussion Sheet Conversation (4520) - Garrison, Charles
- 12:00pm - 1:00pm Confirmed interview (CF Only*SP1 4004 Phone Small-17) - Gomez Abero, Sebastian
- 12:00pm - 1:00pm Confirmed interview (CF Only*SP1 4004 Phone Small-17) - Gomez Abero, Sebastian
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 3:00pm - 3:30pm HOLD - Brief Discussion Charlene/Bill (Bill's Office) - Hinman, William
- 3:00pm - 3:30pm HOLD - Brief Discussion Charlene/Bill (Bill's Office) - Hinman, William
- 3:00pm - 3:30pm Proxy Process off-record-call with reporters (Bill's office) - White, Ryan
- 3:00pm - 3:30pm Proxy Process off-record-call with reporters (Bill's office) - White, Ryan

Thursday, November 15

(b)(6)

- 9:00am - 4:30pm Proxy Roundtable (Auditorium) - Davis, Julie Z.
- 9:00am - 4:30pm Proxy Roundtable (Auditorium) - Davis, Julie Z.
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 12:00pm - 3:00pm SEC/NACD Cyber Workshop w Agenda (NACD HQ, 1515 N. Courthouse Rd., Suite 1200 Arlington, VA) - Hetner, Christopher
- 12:00pm - 3:00pm SEC/NACD Cyber Workshop w Agenda (NACD HQ, 1515 N. Courthouse Rd., Suite 1200 Arlington, VA) - Hetner, Christopher
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:30pm - 4:00pm Information Technology Capital Planning Committee (ITCPC) Meeting (SP1 Conference Room 4000) - OIT_Project_Requests
- 2:30pm - 4:00pm Information Technology Capital Planning Committee (ITCPC) Meeting (SP1 Conference Room 4000) - OIT_Project_Requests
- 4:30pm - 6:00pm Chairman's Happy Hour (Chairman's Office) - Gomez Abero, Sebastian
- 4:30pm - 6:00pm Chairman's Happy Hour (Chairman's Office) - Gomez Abero, Sebastian
- 4:30pm - 6:00pm Happy Hour with Chairman Clayton (10th Floor Terrace/Chairman's Office) - Gomez Abero, Sebastian
- 4:30pm - 6:00pm Happy Hour with Chairman Clayton (10th Floor Terrace/Chairman's Office) - Gomez Abero, Sebastian

Friday, November 16

- ABA Business Law Section Fall Meeting (Washington, DC)
- ABA Business Law Section Fall Meeting (Washington, DC)

(b)(6)

- 1:30pm - 2:00pm CBA Update (Shelley's Office) - Arietti Gold, Charlene
- 1:30pm - 2:00pm CBA Update (Shelley's Office) - Arietti Gold, Charlene
- 2:00pm - 2:30pm 2019 review program - Parratt, Shelley
- 2:00pm - 2:30pm 2019 review program - Parratt, Shelley
- 2:30pm - 3:30pm Discussion re: Two Shareholder Proposals (4520) - Garrison, Charles
- 2:30pm - 3:30pm Discussion re: Two Shareholder Proposals (4520) - Garrison, Charles
- 4:00pm - 4:30pm 3-05 and Art 11 Discussion (Bill's office) - Moffatt, Kyle
- 4:00pm - 4:30pm 3-05 and Art 11 Discussion (Bill's office) - Moffatt, Kyle

November 19, 2018 -
November 25, 2018

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Monday, November 19

- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 11:30am FSOC prep (Bill's office) - Ingram, Jonathan
- 11:00am - 11:30am FSOC prep (Bill's office) - Ingram, Jonathan
- 11:30am - 11:45am Reg A question (Bill's office) - Davis, Julie Z.
- 11:30am - 11:45am Reg A question (Bill's office) - Davis, Julie Z.
- 1:30pm - 3:00pm Fwd: FSOC Digital Assets Working Group Senior Staff Meeting (1500 Pennsylvania Ave NW (Main Treasury), Secretary's Large Conference Room (3327)) - [b](6)@treasury.gov
- 1:30pm - 3:00pm Fwd: FSOC Digital Assets Working Group Senior Staff Meeting (1500 Pennsylvania Ave NW (Main Treasury), Secretary's Large Conference Room (3327)) - [b](6)@treasury.gov
- 4:30pm - 5:30pm Interview (CF Only*SP1 3004 Phone Small-19) - Gomez Abero, Sebastian
- 4:30pm - 5:30pm Interview (CF Only*SP1 3004 Phone Small-19) - Gomez Abero, Sebastian

Tuesday, November 20

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:00pm - 12:15pm Call with Peter Nickoloff - Treasury re IPO Timeline Question [b](6) Garrison, Charles
- 12:00pm - 12:15pm Call with Peter Nickoloff - Treasury re IPO Timeline Question [b](6) Garrison, Charles
- 1:00pm - 1:30pm Discussion re Proxy (Jay, Elad, Bill, Dalia if available) (Jay's Office) - Clayton, Jay
- 1:00pm - 1:30pm Discussion re Proxy (Jay, Elad, Bill, Dalia if available) (Jay's Office) - Clayton, Jay
- 1:30pm - 2:00pm Briefing: Director Certification under DF Sec 961 (4520) - Luisi, Shelly C.
- 1:30pm - 2:00pm Briefing: Director Certification under DF Sec 961 (4520) - Luisi, Shelly C.
- 2:00pm - 3:00pm Conference Call with Continental Resources (3857 (SP3 - next to the large break room) / Webex) - Garrison, Charles
- 2:00pm - 3:00pm Conference Call with Continental Resources (3857 (SP3 - next to the large break room) / Webex) - Garrison, Charles
- 2:00pm - 3:00pm HOLD - Call with Continental - Garrison, Charles
- 2:00pm - 3:00pm HOLD - Call with Continental - Garrison, Charles
- 2:30pm - 2:45pm Quick chat on shareholder proposal (Bill's Office) - Davis, Julie Z.
- 2:30pm - 2:45pm Quick chat on shareholder proposal (Bill's Office) - Davis, Julie Z.
- 3:00pm - 3:30pm Enforcement referral (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 3:30pm Enforcement referral (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 5:00pm Informal Pre-Thanksgiving Happy Hour (Chairman's Terrace) - Clayton, Jay
- 3:00pm - 5:00pm Informal Pre-Thanksgiving Happy Hour (Chairman's Terrace) - Clayton, Jay
- 3:30pm - 4:30pm Tapestry Prep Meeting with Tapestry Networks Team (4520) - Garrison, Charles
- 3:30pm - 4:30pm Tapestry Prep Meeting with Tapestry Networks Team (4520) - Garrison, Charles

Wednesday, November 21

[b](6)

- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley

Thursday, November 22

[b](6)

- Thanksgiving Day (United States)
- Thanksgiving Day (United States)
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting

Friday, November 23

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November 26, 2018 -
December 2, 2018

November 2018

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December 2018

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Monday, November 26

- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 12:00pm Confirmed Interview for Small Bus (CF Only*SP1 4003 Phone Small-17) - Gomez Abero, Sebastian
- 11:00am - 12:00pm Confirmed Interview for Small Bus (CF Only*SP1 4003 Phone Small-17) - Gomez Abero, Sebastian
- 1:30pm - 2:30pm SolidX/CBOE/VanEck Meeting (Room 7000) - Orr, Andrea
- 1:30pm - 2:30pm SolidX/CBOE/VanEck Meeting (Room 7000) - Orr, Andrea
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 3:00pm - 4:00pm Plain English Guidance Update Call (WebEx Dial-in below) - Garrison, Charles
- 3:00pm - 4:00pm Plain English Guidance Update Call (WebEx Dial-in below) - Garrison, Charles
- 4:30pm - 5:00pm 12(j) proceedings - Parratt, Shelley
- 4:30pm - 5:00pm 12(j) proceedings - Parratt, Shelley

Tuesday, November 27

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 2:00pm - 2:30pm monthly meeting - Corp Fin (Room 4521) - Becker, Chyhe K.
- 2:00pm - 2:30pm monthly meeting - Corp Fin (Room 4521) - Becker, Chyhe K.
- 3:00pm - 4:00pm rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 4:00pm rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:00pm - 5:00pm Possible CXBTF Settlement With Canaccord regarding 15c2-11 (CONF RM SP1 7000 VTC/WebEx Large-39 (Dial-In)) - Guidroz, John
- 4:00pm - 5:00pm Possible CXBTF Settlement With Canaccord regarding 15c2-11 (CONF RM SP1 7000 VTC/WebEx Large-39 (Dial-In)) - Guidroz, John

Wednesday, November 28

- 9:30am - 10:30am Hold for donuts
- 9:30am - 10:30am Hold for donuts
- 11:00am - 12:00pm Meeting with DOJ/FTC on HSR Reform (Rm 4520) - Yu, Ted
- 11:00am - 12:00pm Meeting with DOJ/FTC on HSR Reform (Rm 4520) - Yu, Ted
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 2:30pm - 3:00pm Macro economic analysis and corporate debt levels (4520) - Becker, Chyhe K.
- 2:30pm - 3:00pm Macro economic analysis and corporate debt levels (4520) - Becker, Chyhe K.
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:15pm - 4:00pm update on proxy roundtable next steps (4520) - Davis, Julie Z.
- 3:15pm - 4:00pm update on proxy roundtable next steps (4520) - Davis, Julie Z.

Thursday, November 29

- Tapestry Lead Director Event
- Tapestry Lead Director Event
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 12:00pm - 12:15pm Commissioner Jackson re Hedging (Jackson's office - 10205) - Garrison, Charles
- 12:00pm - 12:15pm Commissioner Jackson re Hedging (Jackson's office - 10205) - Garrison, Charles
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:30pm - 4:00pm Tapestry Lead Director Speaking Engagement (Park Hyatt - 1201 24th Street, NW) - Garrison, Charles
- 2:30pm - 4:00pm Tapestry Lead Director Speaking Engagement (Park Hyatt - 1201 24th Street, NW) - Garrison, Charles

Friday, November 30

- 10:00am - 10:30am Brainstorm on Diversity Disclosure (CF Only SP1 4520 Phone Small-12) - Davis, Julie Z.
- 10:00am - 10:30am Brainstorm on Diversity Disclosure (CF Only SP1 4520 Phone Small-12) - Davis, Julie Z.
- 10:30am - 11:00am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10-400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10-400) - Roisman, Elad
- 11:30am - 12:00pm AT call
- 11:30am - 12:00pm AT call
- 2:00pm - 2:30pm Discuss AICPA topics - see note below (Bill's office) - Moffatt, Kyle
- 2:00pm - 2:30pm Discuss AICPA topics - see note below (Bill's office) - Moffatt, Kyle
- 3:00pm - 3:30pm quick chat - Parratt, Shelley
- 3:00pm - 3:30pm quick chat - Parratt, Shelley

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Monday, December 3

- 9:30am - 9:45am Welcome Shareholder Proposals Task Force (3551 - training room) - Davis, Julie Z.
- 9:30am - 9:45am Welcome Shareholder Proposals Task Force (3551 - training room) - Davis, Julie Z.
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 2:00pm Pre-Meeting for Banking Briefing on Proxy Roundtable (4520) - Wood, Bryan
- 1:00pm - 2:00pm Pre-Meeting for Banking Briefing on Proxy Roundtable (4520) - Wood, Bryan

Tuesday, December 4

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm Touch base re Guidance/FSOC (VS to call Bill) - Szczepanik, Valerie
- 1:00pm - 1:30pm Touch base re Guidance/FSOC (VS to call Bill) - Szczepanik, Valerie
- 2:40pm - 3:10pm Meet to Walk Over (Lobby) - Wood, Bryan
- 2:40pm - 3:10pm Meet to Walk Over (Lobby) - Wood, Bryan
- 3:00pm - 4:00pm Senate Banking Briefing (Dirksen) - Wood, Bryan
- 3:00pm - 4:00pm Senate Banking Briefing (Dirksen) - Wood, Bryan

Wednesday, December 5

- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 12:00pm - 1:00pm amc
- 12:00pm - 1:00pm amc
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley

Thursday, December 6

- 12:00am Program on International Financial Systems (PFIS) Global Certificate Program (Cambridge, MA)
- 12:00am Program on International Financial Systems (PFIS) Global Certificate Program (Cambridge, MA)
- (b)(6)
- 7:45am - 9:00am BRT Corporate Governance Committee Breakfast (300 New Jersey Ave, NW, Suite 800) - Garrison, Charles
- 7:45am - 9:00am BRT Corporate Governance Committee Breakfast (300 New Jersey Ave, NW, Suite 800) - Garrison, Charles
- 10:00am - 11:00am Hedging Update (4520/ Webex) - Garrison, Charles
- 10:00am - 11:00am Hedging Update (4520/ Webex) - Garrison, Charles
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 12:00pm - 5:00pm Out - Business Travel
- 12:00pm - 5:00pm Out - Business Travel
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting

Friday, December 7

- 12:00am Program on International Financial Systems (PFIS) Global Certificate Program (Cambridge, MA)
- 12:00am Program on International Financial Systems (PFIS) Global Certificate Program (Cambridge, MA)

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Monday, December 10

- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 10:40am - 11:00am FW: Brief Jay on CLO Issues (Staff to meet in Sean's Office and dial Jay when Jay is in car from AICPA) - Clayton, Jay
- 10:40am - 11:00am FW: Brief Jay on CLO Issues (Staff to meet in Sean's Office and dial Jay when Jay is in car from AICPA) - Clayton, Jay
- 12:30pm - 1:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie ☉
- 12:30pm - 1:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie ☉
- 1:00pm - 1:30pm Planning for Small Business Forum Panel (4520) - Davis, Julie Z.
- 1:00pm - 1:30pm Planning for Small Business Forum Panel (4520) - Davis, Julie Z.
- 2:00pm - 2:20pm FW: Leveraged Loans - Briefing for the Chairman (Chairman's Conference Room) - Gomez Abero, Sebastian
- 2:00pm - 2:20pm FW: Leveraged Loans - Briefing for the Chairman (Chairman's Conference Room) - Gomez Abero, Sebastian
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber ☉
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber ☉
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester ☉
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester ☉
- 3:00pm - 4:00pm US Agency Bond Internal Prep Meeting (4520/Webex) - Garrison, Charles
- 3:00pm - 4:00pm US Agency Bond Internal Prep Meeting (4520/Webex) - Garrison, Charles
- 4:00pm - 5:00pm Hedging team meeting with Roisman - Garrison, Charles
- 4:00pm - 5:00pm Hedging team meeting with Roisman - Garrison, Charles
- 4:30pm - 5:00pm Division Performance Ratings (Bill's Office) - Arietti Gold, Charlene
- 4:30pm - 5:00pm Division Performance Ratings (Bill's Office) - Arietti Gold, Charlene
- 5:00pm - 5:30pm AICPA Pre-Meet (4520 / Webex) - Garrison, Charles
- 5:00pm - 5:30pm AICPA Pre-Meet (4520 / Webex) - Garrison, Charles

Tuesday, December 11

- 2:00pm Small Business Forum on December 12 (Columbus, OH) - Davis, Julie Z. →
- 2:00pm Small Business Forum on December 12 (Columbus, OH) - Davis, Julie Z. →
- 8:30am - 9:45am AICPA Conference on Current SEC and PCAOB Developments (Washington Marriott Wardman Park - 2660 Woodley Road NW) - Garrison, Charles
- 8:30am - 9:45am AICPA Conference on Current SEC and PCAOB Developments (Washington Marriott Wardman Park - 2660 Woodley Road NW) - Garrison, Charles
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☉
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☉
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☉
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☉
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie ☉
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie ☉
- 12:00pm - 12:30pm FW: Securities Transfer Association (Charlie Rossi) (Chairman's Conference Room) - Moskowitz, Lucas
- 12:00pm - 12:30pm FW: Securities Transfer Association (Charlie Rossi) (Chairman's Conference Room) - Moskowitz, Lucas
- 1:00pm - 1:30pm Division Performance Ratings (Bill's office) - Garrison, Charles
- 1:00pm - 1:30pm Division Performance Ratings (Bill's office) - Garrison, Charles
- 3:00pm - 4:00pm Emerging Risk Discussion Group (10000 (Ceremonial Room)) - Erhardt, Julie A. ☉
- 3:00pm - 4:00pm Emerging Risk Discussion Group (10000 (Ceremonial Room)) - Erhardt, Julie A. ☉
- 3:00pm - 4:00pm rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 3:00pm - 4:00pm rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉

Wednesday, December 12

- ← 11:30pm Small Business Forum on December 12 (Columbus, OH) - Davis, Julie Z.
- ← 11:30pm Small Business Forum on December 12 (Columbus, OH) - Davis, Julie Z.
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley ☉
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley ☉

Thursday, December 13

- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☉
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☉
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☉
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☉
- 11:00am - 12:00pm Meeting w/NYSE & Society for Corporate Governance re Proxy Advisory Firms (CONF RM*SP1 8000 VTC/WebEx Large-40) - Varghese, Thankam
- 11:00am - 12:00pm Meeting w/NYSE & Society for Corporate Governance re Proxy Advisory Firms (CONF RM*SP1 8000 VTC/WebEx Large-40) - Varghese, Thankam
- 12:30pm - 1:00pm ENF discussion (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:30pm - 1:00pm ENF discussion (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 2:00pm US Agency Bonds - SEC presentation/briefing to DOJ Antitrust (SPI - Room 4520 and WebEx Dial-in below) - Felker, Charles J. (Josh)
- 1:00pm - 2:00pm US Agency Bonds - SEC presentation/briefing to DOJ Antitrust (SPI - Room 4520 and WebEx Dial-in below) - Felker, Charles J. (Josh)
- 2:00pm - 3:30pm Block for Closed Commission Meeting ☉
- 2:00pm - 3:30pm Block for Closed Commission Meeting ☉
- 2:00pm - 3:30pm Block for Closed Commission Meeting ☉
- 2:00pm - 3:30pm Block for Closed Commission Meeting ☉
- 3:30pm - 4:00pm debrief on call with Senate staff on PAF bill (Bill's office) - Anderson, Michele
- 3:30pm - 4:00pm debrief on call with Senate staff on PAF bill (Bill's office) - Anderson, Michele
- 4:30pm - 5:00pm Meeting with Commissioner Jackson re Hedging (Jackson's office) - Garrison, Charles
- 4:30pm - 5:00pm Meeting with Commissioner Jackson re Hedging (Jackson's office) - Garrison, Charles

Friday, December 14

- 2:00pm - 4:30pm 2018 CF Holiday Party (Auditorium Lobby) - CF Business Management Office
- 2:00pm - 4:30pm 2018 CF Holiday Party (Auditorium Lobby) - CF Business Management Office

December 17, 2018 -
December 23, 2018

December 2018

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January 2019

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Monday, December 17

- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 12:00pm Meeting with Grayscale re: Crypto-Currency ETFs (Room 6000) - Orr, Andrea
- 11:00am - 12:00pm Meeting with Grayscale re: Crypto-Currency ETFs (Room 6000) - Orr, Andrea
- 1:00pm - 2:00pm Call to Discuss Goldman II Question (Dail-In Below) - Mendez, Mark M.
- 1:00pm - 2:00pm Call to Discuss Goldman II Question (Dail-In Below) - Mendez, Mark M.
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 2:00pm - 4:00pm (b)(5)
- 2:00pm - 4:00pm

Tuesday, December 18

- 9:30am - 10:00am FSO Discussion (Jay's Office) - Clayton, Jay
- 9:30am - 10:00am FSO Discussion (Jay's Office) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:30pm - 1:00pm Interview - Senior Officer, Associate Director, IM-DRAO (CONF 7000) - Lyons, Mindy (Contractor)
- 12:30pm - 1:00pm Interview - Senior Officer, Associate Director, IM-DRAO (CONF 7000) - Lyons, Mindy (Contractor)
- 2:00pm - 2:30pm Annual Control Testing Briefing pursuant to Section 961 of Dodd-Frank (Chairman's Conference Room) - Clayton, Jay
- 2:00pm - 2:30pm Annual Control Testing Briefing pursuant to Section 961 of Dodd-Frank (Chairman's Conference Room) - Clayton, Jay
- 2:30pm - 3:30pm RESCHEDULED - NEW TIME & LOCATION! - Information Technology Capital Planning Committee (ITCPC) Meeting - DME Allocations (CONF RM SP2 6280 Forum OCOO Only) - OIT_Project_Requests
- 2:30pm - 3:30pm RESCHEDULED - NEW TIME & LOCATION! - Information Technology Capital Planning Committee (ITCPC) Meeting - DME Allocations (CONF RM SP2 6280 Forum OCOO Only) - OIT_Project_Requests
- 3:00pm - 3:30pm Chris Burnham re: Cap Formation (Jay's Office) - Clayton, Jay
- 3:00pm - 3:30pm Chris Burnham re: Cap Formation (Jay's Office) - Clayton, Jay

Wednesday, December 19

- 9:00am - 12:00pm OCM - Quarterly Reports RFC & Hedging (Auditorium) - Garrison, Charles
- 9:00am - 12:00pm OCM - Quarterly Reports RFC & Hedging (Auditorium) - Garrison, Charles
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 11:00am - 12:00pm 404b - economic analysis (CONF RM SP1 4002 Phone Small-12) - Becker, Chyhe K.
- 11:00am - 12:00pm 404b - economic analysis (CONF RM SP1 4002 Phone Small-12) - Becker, Chyhe K.
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 4:00pm - 5:00pm Meeting with BRT (CONF RM*SP1 8000 VTC/WebEx Large-40) - Gomez Abero, Sebastian
- 4:00pm - 5:00pm Meeting with BRT (CONF RM*SP1 8000 VTC/WebEx Large-40) - Gomez Abero, Sebastian
- 6:00pm - 7:30pm Happy Hour at the Exchange (1719 G Street NW) - Clayton, Jay
- 6:00pm - 7:30pm Happy Hour at the Exchange (1719 G Street NW) - Clayton, Jay

Thursday, December 20

- 9:30am - 10:00am Shareholder Proposal Conversation (Bill's Office) - Wood, Bryan
- 9:30am - 10:00am Shareholder Proposal Conversation (Bill's Office) - Wood, Bryan
- 10:00am - 11:00am Discuss 2 Shareholder Proposal Issues (CF Only SP1 4520 Phone Small-12) - Davis, Julie Z.
- 10:00am - 11:00am Discuss 2 Shareholder Proposal Issues (CF Only SP1 4520 Phone Small-12) - Davis, Julie Z.
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:30am - 12:00pm Jared Roscoe re: reform on reporting company disclosures on human capital management - Clayton, Jay
- 11:30am - 12:00pm Jared Roscoe re: reform on reporting company disclosures on human capital management - Clayton, Jay
- 12:00pm - 12:30pm Two Items for Today's CCM (Bill's office) - Garrison, Charles
- 12:00pm - 12:30pm Two Items for Today's CCM (Bill's office) - Garrison, Charles
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 1:45pm Bob and Bill with Jay (Jay's Office) - Clayton, Jay
- 1:15pm - 1:45pm Bob and Bill with Jay (Jay's Office) - Clayton, Jay
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 3:30pm - 4:00pm Canceled: Hold for Interview - Senior Officer, Associate Director, IM-DRAO (CONF 8000) - Lyons, Mindy (Contractor)
- 3:30pm - 4:00pm Canceled: Hold for Interview - Senior Officer, Associate Director, IM-DRAO (CONF 8000) - Lyons, Mindy (Contractor)

Friday, December 21

- 11:00am - 11:30am Communicating Strategic Plan and Finalizing Performance Appraisals (Bill's Office) - Arietti Gold, Charlene
- 11:00am - 11:30am Communicating Strategic Plan and Finalizing Performance Appraisals (Bill's Office) - Arietti Gold, Charlene
- 11:30am - 12:00pm Government Shutdown Discussion (4520 or WebEx) - Schoenauer, Virginia
- 11:30am - 12:00pm Government Shutdown Discussion (4520 or WebEx) - Schoenauer, Virginia
- 1:30pm - 2:00pm Interview - Senior Officer, Associate Director, IM-DRAO (CONF 8000) - Lyons, Mindy (Contractor)
- 1:30pm - 2:00pm Interview - Senior Officer, Associate Director, IM-DRAO (CONF 8000) - Lyons, Mindy (Contractor)

December 24, 2018 -
December 30, 2018

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January 2019						
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Monday, December 24

- Christmas Eve (United States)
- Christmas Eve (United States)
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester

Tuesday, December 25

- Christmas Day (United States)
- Christmas Day (United States)
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 3:00pm - 4:00pm rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 4:00pm rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley

Wednesday, December 26

- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 2:00pm - 2:45pm Planning for Possible Shutdown (WebEx Online) - McNamara, James
- 2:00pm - 2:45pm Planning for Possible Shutdown (WebEx Online) - McNamara, James
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob

Thursday, December 27

- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am touch base (WebEx Online) - Parratt, Shelley
- 10:30am - 11:00am touch base (WebEx Online) - Parratt, Shelley
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting
- 2:00pm - 3:30pm Block for Closed Commission Meeting

Friday, December 28

December 31, 2018 -
January 6, 2019

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January 2019						
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Monday, December 31

- New Year's Eve (United States)
- New Year's Eve (United States)
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly meeting with Bill (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley

Tuesday, January 1

- New Year's Day (United States)
- New Year's Day (United States)
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley

Wednesday, January 2

- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley

Thursday, January 3

- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Canceled: Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Canceled: Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley

Friday, January 4

- 11:00am - 11:30am Touching base call with Jay re: shutdown (b)(6) - Clayton, Jay
- 11:00am - 11:30am Touching base call with Jay re: shutdown (b)(6) - Clayton, Jay

January 7, 2019 -
January 13, 2019

January 2019

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February 2019

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Monday, January 7

- 10:30am - 11:00am delaying amendments (WebEx Online) - Parratt, Shelley
- 10:30am - 11:00am delaying amendments (WebEx Online) - Parratt, Shelley
- 12:00pm - 1:00pm Goldsholle/Hinman lunch (Bistro Bis) - Goldsholle, Gary
- 12:00pm - 1:00pm Goldsholle/Hinman lunch (Bistro Bis) - Goldsholle, Gary
- 1:30pm - 2:00pm Canceled: Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Canceled: Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester

Tuesday, January 8

- 9:30am - 10:00am touch base (webex) - Parratt, Shelley
- 9:30am - 10:00am touch base (webex) - Parratt, Shelley
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton- Excepted Items Only (Chairman's Office/ Dial In [b](6)) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton- Excepted Items Only (Chairman's Office/ Dial In [b](6)) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton- Excepted Items Only (Chairman's Office/ Dial In [b](6)) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton- Excepted Items Only (Chairman's Office/ Dial In [b](6)) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 1:30pm - 2:00pm Call Dir. Hinman and Redfearn re: Gemini Exchange - Baird, Elizabeth
- 1:30pm - 2:00pm Call Dir. Hinman and Redfearn re: Gemini Exchange - Baird, Elizabeth
- 2:00pm - 2:30pm Meeting/Call (Room 9000 and [b](6)) - Memon, Sean
- 2:00pm - 2:30pm Meeting/Call (Room 9000 and [b](6)) - Memon, Sean
- 3:00pm - 4:00pm rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 4:00pm rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley

Wednesday, January 9

- 10:00am - 11:00am Meeting with Broadridge (CF Only SP1 4520 Phone Small-12) - Davis, Julie Z.
- 10:00am - 11:00am Meeting with Broadridge (CF Only SP1 4520 Phone Small-12) - Davis, Julie Z.
- 11:00am - 11:30am Chairman's briefing - Guide 3 (Chairman's Conference Room) - Gomez Abero, Sebastian
- 11:00am - 11:30am Chairman's briefing - Guide 3 (Chairman's Conference Room) - Gomez Abero, Sebastian
- 12:00pm - 1:00pm Lunch (meet in lobby) - Bricker, Wesley
- 12:00pm - 1:00pm Lunch (meet in lobby) - Bricker, Wesley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 2:30pm - 3:00pm Chmn
- 2:30pm - 3:00pm Chmn

Thursday, January 10

- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:30am Canceled: PLACEHOLDER for Final Round OCC- Chief Counsel Interview (Brett's Office 7612) - McCray, Dontayshia(Contractor)
- 10:30am - 11:30am Canceled: PLACEHOLDER for Final Round OCC- Chief Counsel Interview (Brett's Office 7612) - McCray, Dontayshia(Contractor)
- 1:30pm - 2:30pm CF Tag Up (WebEx Online) - Arietti Gold, Charlene
- 1:30pm - 2:30pm CF Tag Up (WebEx Online) - Arietti Gold, Charlene
- 1:30pm - 2:00pm Division Directors- Touching base during shutdown (Dial in below) - Clayton, Jay
- 1:30pm - 2:00pm Division Directors- Touching base during shutdown (Dial in below) - Clayton, Jay
- 1:30pm - 2:00pm Meeting with SASB (Elisse Walter and Jeff Hales) (4520) - Davis, Julie Z.
- 1:30pm - 2:00pm Meeting with SASB (Elisse Walter and Jeff Hales) (4520) - Davis, Julie Z.
- 2:00pm - 3:00pm Canceled: PLACEHOLDER for Final Round OCC- Chief Counsel Interview (Brett's Office 7612) - McCray, Dontayshia(Contractor)
- 2:00pm - 3:00pm Canceled: PLACEHOLDER for Final Round OCC- Chief Counsel Interview (Brett's Office 7612) - McCray, Dontayshia(Contractor)

Friday, January 11

- 10:30am - 11:00am Principals Markets Call (Dial-in: [b](6)) - Clayton, Jay
- 10:30am - 11:00am Principals Markets Call (Dial-in: [b](6)) - Clayton, Jay
- 2:00pm - 3:00pm Canceled: PLACEHOLDER for Final Round OCC-Chief Counsel Interview (Brett's Office) - McCray, Dontayshia(Contractor)
- 2:00pm - 3:00pm Canceled: PLACEHOLDER for Final Round OCC-Chief Counsel Interview (Brett's Office) - McCray, Dontayshia(Contractor)

January 14, 2019 -
January 20, 2019

January 2019						
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February 2019						
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Monday, January 14

- 1:30pm - 2:00pm Canceled: Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Canceled: Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie

Tuesday, January 15

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:00am Daily Shutdown Status Meeting (WebEx Online) - McNamara, James
- 10:30am - 11:00am Daily Shutdown Status Meeting (WebEx Online) - McNamara, James
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley

Wednesday, January 16

- 10:00am - 11:30am Hold for Potential Open Commission Meeting - Davis, Julie Z.
- 10:00am - 11:30am Hold for Potential Open Commission Meeting - Davis, Julie Z.
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 12:15pm - 12:45pm Directors- Touching Base During Shutdown (Jay's Conference Room/ Dial in: [b)(6)] Clayton, Jay
- 12:15pm - 12:45pm Directors- Touching Base During Shutdown (Jay's Conference Room/ Dial in: [b)(6)] Clayton, Jay
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley

Thursday, January 17

- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 10:45am Meeting with Michael Izza, CEO of ICAEW (Wes's office- 6612) - Garrison, Charles
- 10:15am - 10:45am Meeting with Michael Izza, CEO of ICAEW (Wes's office- 6612) - Garrison, Charles
- 10:15am - 10:45am Mtg. with Michael Izza and Robert Hodgekinson (CF Joining) (6612) - Rizzo, Casey Kittredge
- 10:15am - 10:45am Mtg. with Michael Izza and Robert Hodgekinson (CF Joining) (6612) - Rizzo, Casey Kittredge
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 2:30pm - 3:00pm Jay and Directors -- Shutdown items (Chairman's Conf Rm and [b)(6)] Memon, Sean
- 2:30pm - 3:00pm Jay and Directors -- Shutdown items (Chairman's Conf Rm and [b)(6)] Memon, Sean
- 5:00pm - 5:30pm Call-In (WebEx Online) - Wood, Bryan
- 5:00pm - 5:30pm Call-In (WebEx Online) - Wood, Bryan

Friday, January 18

- 9:45am - 10:15am Regulation A Offering Statement Qualification (WebEx Online) - Parratt, Shelley
- 9:45am - 10:15am Regulation A Offering Statement Qualification (WebEx Online) - Parratt, Shelley

January 2019

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February 2019

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Monday, January 21

- Martin Luther King Day (United States)
- Martin Luther King Day (United States)
- 1:30pm - 2:00pm Canceled: Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Canceled: Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester

Tuesday, January 22

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton- Shutdown related topics only (Chairman's Office/ Dial in : (b)(6)) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton- Shutdown related topics only (Chairman's Office/ Dial in : (b)(6)) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton- Shutdown related topics only (Chairman's Office/ Dial in : (b)(6)) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton- Shutdown related topics only (Chairman's Office/ Dial in : (b)(6)) - Clayton, Jay
- 10:30am - 11:30am ADDING WEBEX - CF Excepted Employee Tag Up (open to anyone planning to be in the office) (WEBEX for meeting in 4520) - Carroll, Robert
- 10:30am - 11:30am ADDING WEBEX - CF Excepted Employee Tag Up (open to anyone planning to be in the office) (WEBEX for meeting in 4520) - Carroll, Robert
- 10:30am - 11:30am CF Excepted Employee Tag Up (open to anyone planning to be in the office) (Conference Room 4520) - Arietti Gold, Charlene
- 10:30am - 11:30am CF Excepted Employee Tag Up (open to anyone planning to be in the office) (Conference Room 4520) - Arietti Gold, Charlene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:00am Daily Shutdown Status Call (Webex) - McNamara, James
- 10:30am - 11:00am Daily Shutdown Status Call (Webex) - McNamara, James
- 11:30am - 12:00pm Call with Nasdaq for update on issues relating to IPOs (b)(6) mobile friendly: (b)(6) - Arnold Golub
- 11:30am - 12:00pm Call with Nasdaq for update on issues relating to IPOs (b)(6) mobile friendly: (b)(6) - Arnold Golub
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm hold for call w/nasdaq - Parratt, Shelley
- 11:30am - 12:00pm hold for call w/nasdaq - Parratt, Shelley
- 2:15pm - 2:45pm Delaying Amendment Question (b)(6) - JOEL.TROTTER@LW.com
- 2:15pm - 2:45pm Delaying Amendment Question (b)(6) - JOEL.TROTTER@LW.com
- 3:00pm - 4:00pm rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 4:00pm rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 3:30pm Canceled: IPO call - Parratt, Shelley
- 3:00pm - 3:30pm Canceled: IPO call - Parratt, Shelley

Wednesday, January 23

- 10:00am - 11:30am Hold for Potential Open Commission Meeting - Davis, Julie Z.
- 10:00am - 11:30am Hold for Potential Open Commission Meeting - Davis, Julie Z.
- 10:00am - 10:30am Bryan W. and Bill H. with Jay - Clayton, Jay
- 10:00am - 10:30am Bryan W. and Bill H. with Jay - Clayton, Jay
- 10:30am - 11:00am Daily Shutdown Status Call (Webex) - McNamara, James
- 10:30am - 11:00am Daily Shutdown Status Call (Webex) - McNamara, James
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 2:00pm - 3:00pm Canceled: CF Senior Staff Shutdown Tag Up (CF Only SP1 4520 Phone Small-12) - Arietti Gold, Charlene
- 2:00pm - 3:00pm Canceled: CF Senior Staff Shutdown Tag Up (CF Only SP1 4520 Phone Small-12) - Arietti Gold, Charlene
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob

Thursday, January 24

- Berkeley Event
- Berkeley Event
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Daily Shutdown Status Call (Webex) - McNamara, James
- 10:30am - 11:00am Daily Shutdown Status Call (Webex) - McNamara, James
- 11:00am - 11:30am T-3 Qualification - Egalet Corp. (Bill's Office and via WebEx) - Spigel, Larry
- 11:00am - 11:30am T-3 Qualification - Egalet Corp. (Bill's Office and via WebEx) - Spigel, Larry
- 1:00pm - 1:30pm Division Directors Touching Base re: Shutdown (Jay's Office/ Or dial in to (b)(6)) - Clayton, Jay
- 1:00pm - 1:30pm Division Directors Touching Base re: Shutdown (Jay's Office/ Or dial in to (b)(6)) - Clayton, Jay
- 2:15pm - 3:00pm Call with Bob Pisani (Bill's Office) - Strom, Natalie
- 2:15pm - 3:00pm Call with Bob Pisani (Bill's Office) - Strom, Natalie
- 2:30pm - 3:30pm Presentation Discussion (Crypto Currency Panel) - call in#: (b)(6) (Mobile Friendly: (b)(6)) - Rosenblum, Robert
- 2:30pm - 3:30pm Presentation Discussion (Crypto Currency Panel) - call in#: (b)(6) (Mobile Friendly: (b)(6)) - Rosenblum, Robert

Friday, January 25

- 10:30am - 11:00am Daily Shutdown Status Call (Webex) - McNamara, James
- 10:30am - 11:00am Daily Shutdown Status Call (Webex) - McNamara, James
- 4:00pm - 4:30pm planning (WebEx Online) - Parratt, Shelley
- 4:00pm - 4:30pm planning (WebEx Online) - Parratt, Shelley

January 2019							February 2019						
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Monday, January 28

- 12:00am SRI San Diego (San Diego, CA) →
- 12:00am SRI San Diego (San Diego, CA) →
- 10:00am - 11:30am Shut Down Update (CF Only SP1 4520 Phone Small-12) - Arietti Gold, Charlene
- 10:00am - 11:30am Shut Down Update (CF Only SP1 4520 Phone Small-12) - Arietti Gold, Charlene
- 1:00pm - 1:30pm Smaller Group Meeting re: Reopening (Jay's Conference Room) - Clayton, Jay
- 1:00pm - 1:30pm Smaller Group Meeting re: Reopening (Jay's Conference Room) - Clayton, Jay
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 2:00pm - 3:00pm Monday manager meeting (CF Only*SP1 3551 Training Room) - Carroll, Robert
- 2:00pm - 3:00pm Monday manager meeting (CF Only*SP1 3551 Training Room) - Carroll, Robert

Tuesday, January 29

- 12:00am SRI San Diego (San Diego, CA)
- 12:00am SRI San Diego (San Diego, CA)
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:30am - 12:00pm Crypto Discussion (Jay's Office) - Clayton, Jay
- 11:30am - 12:00pm Crypto Discussion (Jay's Office) - Clayton, Jay
- 11:30am - 12:00pm Crypto Discussion (Jay's Office) - Clayton, Jay
- 12:00pm - 12:30pm CF Rulemaking - discuss current calendar (CF Only SP1 4520 Phone Small-12) - Davis, Julie Z.
- 12:00pm - 12:30pm CF Rulemaking - discuss current calendar (CF Only SP1 4520 Phone Small-12) - Davis, Julie Z.
- 1:00pm - 1:30pm 14a-8 (Jay's Office) - Clayton, Jay
- 1:00pm - 1:30pm 14a-8 (Jay's Office) - Clayton, Jay
- 3:00pm - 3:30pm Quick Tag Up regarding staffing for SBA (Bill's Office) - Arietti Gold, Charlene
- 3:00pm - 3:30pm Quick Tag Up regarding staffing for SBA (Bill's Office) - Arietti Gold, Charlene
- 4:30pm - 5:00pm 14(e) amicus brief (Bill's office or 4520) - Fredrickson, David R.
- 4:30pm - 5:00pm 14(e) amicus brief (Bill's office or 4520) - Fredrickson, David R.

Wednesday, January 30

- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:30pm - 3:45pm Update on my conversation with Pete re: SBA (Telephone (WebEx attached)) - Arietti Gold, Charlene
- 3:30pm - 3:45pm Update on my conversation with Pete re: SBA (Telephone (WebEx attached)) - Arietti Gold, Charlene
- 4:00pm - 4:30pm Kik/Kin Mtg. (Bill's office/VS to dial in) - Szczepanik, Valerie
- 4:00pm - 4:30pm Kik/Kin Mtg. (Bill's office/VS to dial in) - Szczepanik, Valerie

Thursday, January 31

- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 1:00pm - 2:00pm Town Hall with Chairman Clayton (Station Place Auditorium and Webcast) - SEC Internal Communication
- 1:00pm - 2:00pm Town Hall with Chairman Clayton (Station Place Auditorium and Webcast) - SEC Internal Communication
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 2:00pm - 3:00pm CF Town Hall (Multipurpose Room) - CF Business Management Office
- 2:00pm - 3:00pm CF Town Hall (Multipurpose Room) - CF Business Management Office
- 3:00pm - 4:30pm Canceled: Chief Justice Leo Strine (Deleware) (Jay's Office) - Clayton, Jay
- 3:00pm - 4:30pm Canceled: Chief Justice Leo Strine (Deleware) (Jay's Office) - Clayton, Jay
- 4:30pm - 6:00pm [REDACTED]
- 4:30pm - 6:00pm [REDACTED]

Friday, February 1

- 10:15am - 10:45am tZero catch up (WebEx Online) - Szczepanik, Valerie
- 10:15am - 10:45am tZero catch up (WebEx Online) - Szczepanik, Valerie
- 11:15am - 12:00pm OASB Discussion (Bill's Office) - Miller, Martha
- 11:15am - 12:00pm OASB Discussion (Bill's Office) - Miller, Martha
- 1:00pm - 1:30pm Call on Crypto with Jay [REDACTED] - Clayton, Jay
- 1:00pm - 1:30pm Call on Crypto with Jay [REDACTED] - Clayton, Jay
- 2:00pm - 2:30pm Brainstorming session re: Brexit Guidance (4520) - Garrison, Charles
- 2:00pm - 2:30pm Brainstorming session re: Brexit Guidance (4520) - Garrison, Charles

February 4, 2019 -
February 10, 2019

February 2019

March 2019

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Monday, February 4

(b)(6)

- 12:00pm - 2:00pm (b)(6) - Zepalka, Jennifer
- 12:00pm - 2:00pm (b)(6) - Zepalka, Jennifer
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 4:00pm - 4:30pm Jeremy Lieberman - Clayton, Jay
- 4:00pm - 4:30pm Jeremy Lieberman - Clayton, Jay

Tuesday, February 5

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm direct listing - Parratt, Shelley
- 11:30am - 12:00pm direct listing - Parratt, Shelley
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 2:00pm - 2:30pm Gina Chon Reuters (Bill's Office)
- 2:00pm - 2:30pm Gina Chon Reuters (Bill's Office)
- 2:30pm - 3:00pm Chat CorpFin/OMWI (Pams Office (Rm 4813)) - Maurer, Jeremiah (Contractor)
- 2:30pm - 3:00pm Chat CorpFin/OMWI (Pams Office (Rm 4813)) - Maurer, Jeremiah (Contractor)
- 3:00pm - 3:30pm Discuss Guide 3 Comments (Bill's Office) - Seaman, Michael P.
- 3:00pm - 3:30pm Discuss Guide 3 Comments (Bill's Office) - Seaman, Michael P.

Wednesday, February 6

- 9:30am - 10:00am AmTrust (Steph's Office and (b)(6)) - Avakian, Stephanie
- 9:30am - 10:00am AmTrust (Steph's Office and (b)(6)) - Avakian, Stephanie
- 10:00am - 11:30am Hold for Potential Open Commission Meeting - Davis, Julie Z.
- 10:00am - 11:30am Hold for Potential Open Commission Meeting - Davis, Julie Z.
- 11:00am - 1:00pm FW: CONFIRMED: Telegram; mtg with counsel (HQ SP1 7000) - Waxman, Daphna A.
- 11:00am - 1:00pm FW: CONFIRMED: Telegram; mtg with counsel (HQ SP1 7000) - Waxman, Daphna A.
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Barbara Novick of BlackRock (4520) - Garrison, Charles
- 1:30pm - 2:00pm Barbara Novick of BlackRock (4520) - Garrison, Charles
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 3:00pm - 3:30pm Performance Metric Review w/Charlene (Bill's Office) - Hinman, William
- 3:00pm - 3:30pm Performance Metric Review w/Charlene (Bill's Office) - Hinman, William

Thursday, February 7

- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 11:30am - 12:00pm RM schedule for mtg on 4/24 - Parratt, Shelley
- 11:30am - 12:00pm RM schedule for mtg on 4/24 - Parratt, Shelley
- 1:15pm - 1:45pm Discuss response to Reed QFR (CF Only SP1 4520 Phone Small-12) - Davis, Julie Z.
- 1:15pm - 1:45pm Discuss response to Reed QFR (CF Only SP1 4520 Phone Small-12) - Davis, Julie Z.

Friday, February 8

- 3:00pm - 4:00pm Discuss research on fraud in unregistered offerings (tbd - we will need to reschedule the VTC) - Becker, Chyhe K.
- 3:00pm - 4:00pm Discuss research on fraud in unregistered offerings (SP1-3000 (VTC and WebEx)) - Becker, Chyhe K.
- 3:00pm - 4:00pm Discuss research on fraud in unregistered offerings (tbd - we will need to reschedule the VTC) - Becker, Chyhe K.
- 3:00pm - 4:00pm Discuss research on fraud in unregistered offerings (SP1-3000 (VTC and WebEx)) - Becker, Chyhe K.

February 11, 2019 -
February 17, 2019

February 2019

March 2019

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Monday, February 11

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:00pm - 12:30pm Hold for meeting (4520) - Gomez Abero, Sebastian
- 12:00pm - 12:30pm Hold for meeting (4520) - Gomez Abero, Sebastian
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 4:30pm - 5:00pm catch up - Parratt, Shelley
- 4:30pm - 5:00pm catch up - Parratt, Shelley

Tuesday, February 12

- Lincoln's Birthday (United States)
- Lincoln's Birthday (United States)
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm 14a-8 Matters (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:30pm - 2:00pm 14a-8 Matters (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:00pm - 2:30pm reorg update - Parratt, Shelley
- 2:00pm - 2:30pm reorg update - Parratt, Shelley

Wednesday, February 13

- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 12:00pm - 1:00pm Confirmed: Meeting with Stone Ridge re: Bitcoin Strategy Fund (CONF RM*SP1 8000 VTC/WebEx Large-40; b)(6) McHugh, Jennifer B.
- 12:00pm - 1:00pm Confirmed: Meeting with Stone Ridge re: Bitcoin Strategy Fund (CONF RM*SP1 8000 VTC/WebEx Large-40; b)(6) McHugh, Jennifer B.
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 3:30pm NAM Meeting (NAM Offices 733 10th Street NW Suite 700) - Seaman, Michael P.
- 1:30pm - 3:30pm NAM Meeting (NAM Offices 733 10th Street NW Suite 700) - Seaman, Michael P.
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley

Thursday, February 14

- Valentine's Day (United States)
- Valentine's Day (United States)
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:30am - 12:00pm Guide 3 - Prep Session For Chair Briefing (Conference Room 4520) - Hinman, William
- 11:30am - 12:00pm Guide 3 - Prep Session For Chair Briefing (Conference Room 4520) - Hinman, William
- 11:30am - 12:00pm Guide 3 - Prep Session For Chair Briefing (Conference Room 4520) - Hinman, William
- 11:30am - 12:00pm Guide 3 - Prep Session For Chair Briefing (Conference Room 4520) - Hinman, William
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 2:30pm - 3:00pm Brexit research update (4520) - Luisi, Shelly C.
- 2:30pm - 3:00pm Brexit research update (4520) - Luisi, Shelly C.
- 3:00pm - 3:30pm 14a-8 meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:00pm - 3:30pm 14a-8 meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:45pm - 4:15pm Hill discussion (Bill's office) - Wood, Bryan
- 3:45pm - 4:15pm Hill discussion (Bill's office) - Wood, Bryan

Friday, February 15

- b)(6)
- 11:00am - 11:30am Update on Performance Metrics (Bill's Office) - Arietti Gold, Charlene
- 11:00am - 11:30am Update on Performance Metrics (Bill's Office) - Arietti Gold, Charlene
- 2:00pm - 3:00pm FW: Remote Teleconference with SEC (Bldg 51 Room 6300// Webex) - Lee, Amy *
- 2:00pm - 3:00pm FW: Remote Teleconference with SEC (Bldg 51 Room 6300// Webex) - Lee, Amy *
- 5:30pm - 6:00pm
- 5:30pm - 6:00pm

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Monday, February 18

- Presidents' Day (United States)
- Presidents' Day (United States)
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester

Tuesday, February 19

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm Guide 3 Rulemaking Briefing with Jay (Jay's Office) - Clayton, Jay
- 11:30am - 12:00pm Guide 3 Rulemaking Briefing with Jay (Jay's Office) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 12:30pm - 1:00pm Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 12:30pm - 1:00pm Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 12:30pm - 1:00pm Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 12:30pm - 1:00pm Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 1:00pm - 2:30pm CONFIRMED; mtg w/FINCEN, CFTC to discuss Telegram (SP 1 CONF RM 8001; WebEx Online) - Waxman, Daphna A.
- 1:00pm - 2:30pm CONFIRMED; mtg w/FINCEN, CFTC to discuss Telegram (SP 1 CONF RM 8001; WebEx Online) - Waxman, Daphna A.
- 4:30pm - 5:00pm Broadridge (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 4:30pm - 5:00pm Broadridge (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 5:00pm - 6:30pm Happy Hour Honoring Former Commissioner Kara Stein (Chairman's Terrace/ Suite/ Ceremonial Room) - Clayton, Jay
- 5:00pm - 6:30pm Happy Hour Honoring Former Commissioner Kara Stein (Chairman's Terrace/ Suite/ Ceremonial Room) - Clayton, Jay

Wednesday, February 20

- 10:00am - 12:00pm Hold for Potential Open Commission Meeting - Davis, Julie Z.
- 10:00am - 12:00pm Hold for Potential Open Commission Meeting - Davis, Julie Z.
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley

Thursday, February 21

- 12:00am SEC Speaks (Washington, DC)
- 12:00am SEC Speaks (Washington, DC)
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 1:30pm - 2:30pm Harmonization (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:30pm - 2:30pm Harmonization (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:00pm - 3:30pm Jay, Elad, Bill, Dalia (Jay's Office) - Clayton, Jay
- 3:00pm - 3:30pm Jay, Elad, Bill, Dalia (Jay's Office) - Clayton, Jay
- 4:00pm - 4:15pm S-1/S-4 Differences (SPAC Liquidity) (BH Office) - Kohl, Lisa
- 4:00pm - 4:15pm S-1/S-4 Differences (SPAC Liquidity) (BH Office) - Kohl, Lisa
- 5:00pm - 5:45pm 14a-8 Matters (Bill's Office) - Seaman, Michael P.
- 5:00pm - 5:45pm 14a-8 Matters (Bill's Office) - Seaman, Michael P.

Friday, February 22

- 12:00am SEC Speaks (Washington, DC)
- 12:00am SEC Speaks (Washington, DC)
- 1:00pm - 1:30pm Update on Personnel Matter (Murphy) (Bill's Office) - Arietti Gold, Charlene
- 1:00pm - 1:30pm Update on Personnel Matter (Murphy) (Bill's Office) - Arietti Gold, Charlene
- 2:00pm - 2:30pm Brief Discussion with Kyle and Stephanie (B. Hinman's Office)
- 2:00pm - 2:30pm Brief Discussion with Kyle and Stephanie (B. Hinman's Office)
- 2:30pm - 3:00pm 14a-8 Continued (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:30pm - 3:00pm 14a-8 Continued (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:30pm - 4:00pm Enforcement Discussion w/ Valerie Szczepanik & Amy Starr (B. Hinman's Office)
- 3:30pm - 4:00pm Enforcement Discussion w/ Valerie Szczepanik & Amy Starr (B. Hinman's Office)

February 2019							March 2019						
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Monday, February 25

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 11:30am Discuss Unified Agenda (Rulemaking projects from April 2019 to April 2020) (Bill's Office) - Kohl, Lisa
- 11:00am - 11:30am Discuss Unified Agenda (Rulemaking projects from April 2019 to April 2020) (Bill's Office) - Kohl, Lisa
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 2:00pm - 2:30pm Warren Shutdown Letter (Bill's office) - Garrison, Charles
- 2:00pm - 2:30pm Warren Shutdown Letter (Bill's office) - Garrison, Charles
- 4:00pm - 5:00pm London Speech (Bill's Office) - Seaman, Michael P.
- 4:00pm - 5:00pm London Speech (Bill's Office) - Seaman, Michael P.

Tuesday, February 26

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 4:00pm Emerging Risk Discussion Group (10000) - Erhardt, Julie A.
- 3:00pm - 4:00pm Emerging Risk Discussion Group (10000) - Erhardt, Julie A.
- 3:00pm - 4:00pm Emerging Risk Discussion Group (10000) - Erhardt, Julie A.
- 3:00pm - 4:00pm Emerging Risk Discussion Group (10000) - Erhardt, Julie A.
- 3:00pm - 3:30pm Meeting with Jay (Jay's Office) - Gomez Abero, Sebastian
- 3:00pm - 3:30pm Meeting with Jay (Jay's Office) - Gomez Abero, Sebastian
- 4:00pm - 5:00pm 14a-8 continued (Bill's Office) - Seaman, Michael P.
- 4:00pm - 5:00pm 14a-8 continued (Bill's Office) - Seaman, Michael P.

Wednesday, February 27

- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 2:00pm SEC Welcomes Dr. Henry Gates, Jr. -- SEC Black History Month Program (Auditorium) - Gibbs, Pamela
- 1:00pm - 2:00pm SEC Welcomes Dr. Henry Gates, Jr. -- SEC Black History Month Program (Auditorium) - Gibbs, Pamela
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 2:30pm - 3:00pm cookies
- 2:30pm - 3:00pm cookies
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 4:30pm - 5:00pm PLI London Speech - Climate Discussion (Bill's office) - Garrison, Charles
- 4:30pm - 5:00pm PLI London Speech - Climate Discussion (Bill's office) - Garrison, Charles

Thursday, February 28

- (b)(6)
- 9:30am - 10:30am Follow up to our conversation--meeting with DR (Your office) - Arietti Gold, Charlene
 - 9:30am - 10:30am Follow up to our conversation--meeting with DR (Your office) - Arietti Gold, Charlene
 - 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
 - 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
 - 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
 - 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
 - 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
 - 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
 - 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
 - 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
 - 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
 - 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
 - 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
 - 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
 - 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
 - 3:00pm - 3:30pm Call with Groundfloor (Bill's office) - Garrison, Charles
 - 3:00pm - 3:30pm Call with Groundfloor (Bill's office) - Garrison, Charles

Friday, March 1

- 9:30am - 10:00am OIA (Office)
- 9:30am - 10:00am OIA (Office)
- 10:00am - 10:30am 14a-8 Proposing Release Discussion Sheet (4520) - Kohl, Lisa
- 10:00am - 10:30am 14a-8 Proposing Release Discussion Sheet (4520) - Kohl, Lisa
- 11:00am - 11:30am 14a-2 Proposing Release Discussion Sheet (4520) - Kohl, Lisa
- 11:00am - 11:30am 14a-2 Proposing Release Discussion Sheet (4520) - Kohl, Lisa
- 1:00pm - 1:30pm Briefing on Guide 3 Discussion Sheet (10120 (Conference Room between Comms. Jackson/Peirce)) - Porter, Amber
- 1:00pm - 1:30pm Briefing on Guide 3 Discussion Sheet (10120 (Conference Room between Comms. Jackson/Peirce)) - Porter, Amber
- 1:30pm - 2:00pm Quick catch up re: filing accel. request (Chairman's Conf Rm and (b)(6)) - Memon, Sean
- 1:30pm - 2:00pm Quick catch up re: filing accel. request (Chairman's Conf Rm and (b)(6)) - Memon, Sean

March 4, 2019 -
March 10, 2019

March 2019

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April 2019

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Monday, March 4

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 12:00pm Mtg w/ Grayscale Investments re: bitcoin ETP (Conf. Room 7000) - Coe, Michael E.
- 11:00am - 12:00pm Mtg w/ Grayscale Investments re: bitcoin ETP (Conf. Room 7000) - Coe, Michael E.
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm FW: Leveraged Loans Discussion (Chairman's Conference Room) - Clayton, Jay
- 1:30pm - 2:00pm FW: Leveraged Loans Discussion (Chairman's Conference Room) - Clayton, Jay
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 3:00pm - 4:00pm MD&A Proposing Release Discussion Sheet (Bill's office) - Garrison, Charles
- 3:00pm - 4:00pm MD&A Proposing Release Discussion Sheet (Bill's office) - Garrison, Charles

Tuesday, March 5

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm Discuss Accelerated Filer Release (Bill's Office 4521) - Seaman, Michael P.
- 11:15am - 12:00pm Discuss Accelerated Filer Release (Bill's Office 4521) - Seaman, Michael P.
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 1:00pm - 1:30pm 3-05/3-14/Article 11 Comments (4520) - Kohl, Lisa
- 1:00pm - 1:30pm 3-05/3-14/Article 11 Comments (4520) - Kohl, Lisa
- 1:45pm - 2:15pm Hiro Mizuno (Government Pension Investment Fund- Japan) (Jay's Conference Room) - Clayton, Jay
- 1:45pm - 2:15pm Hiro Mizuno (Government Pension Investment Fund- Japan) (Jay's Conference Room) - Clayton, Jay
- 2:00pm - 2:30pm king cake (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 2:30pm king cake (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:30pm - 3:30pm CTR Process Update (FAST Act) (CF Only SP1 4520 Phone Small-12) - Arietti Gold, Charlene
- 2:30pm - 3:30pm CTR Process Update (FAST Act) (CF Only SP1 4520 Phone Small-12) - Arietti Gold, Charlene
- 3:30pm - 4:00pm Discuss Speech (Bill's Office) - Seaman, Michael P.
- 3:30pm - 4:00pm Discuss Speech (Bill's Office) - Seaman, Michael P.
- 4:00pm - 4:30pm - Hinman, William
- 4:00pm - 4:30pm - Hinman, William
- 4:30pm - 5:00pm Mandatory Arbitration Follow-Up (Chairman's conference room) - Diamond, Eric
- 4:30pm - 5:00pm Mandatory Arbitration Follow-Up (Chairman's conference room) - Diamond, Eric

Wednesday, March 6

- 10:30am - 11:00am
- 10:30am - 11:00am
- 10:30am - 11:00am Guide 3 (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 10:30am - 11:00am Guide 3 (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 11:00am - 11:30am Arbitration (Bob mentioned we should have a quick meeting with Jay today) (Jay's Office) - Clayton, Jay
- 11:00am - 11:30am Arbitration (Bob mentioned we should have a quick meeting with Jay today) (Jay's Office) - Clayton, Jay
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley

Thursday, March 7

- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 11:00am - 11:30am CII Spring Conference Meeting with CF (4280 (conference room next to third elevator bank on fourth floor)) - Garrison, Charles
- 11:00am - 11:30am CII Spring Conference Meeting with CF (4280 (conference room next to third elevator bank on fourth floor)) - Garrison, Charles
- 1:30pm - 2:00pm SCSO Waivers (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:30pm - 2:00pm SCSO Waivers (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:00pm - 2:30pm catch up - Parratt, Shelley
- 2:00pm - 2:30pm catch up - Parratt, Shelley
- 3:30pm - 4:00pm speech (Bill's Office) - Seaman, Michael P.
- 3:30pm - 4:00pm speech (Bill's Office) - Seaman, Michael P.
- 4:00pm - 4:30pm Social Reality - Tracking Stock Rewards Program (4520) - Spigel, Larry
- 4:00pm - 4:30pm Social Reality - Tracking Stock Rewards Program (4520) - Spigel, Larry
- 5:00pm - 5:30pm 14a-8 Matter (Bill's Office) - Seaman, Michael P.
- 5:00pm - 5:30pm 14a-8 Matter (Bill's Office) - Seaman, Michael P.

Friday, March 8

- 10:30am - 11:00am Review "slot" request for FY19 (Bill's Office) - Arietti Gold, Charlene
- 10:30am - 11:00am Review "slot" request for FY19 (Bill's Office) - Arietti Gold, Charlene
- 1:30pm - 2:30pm speech (Bill's Office) - Seaman, Michael P.
- 1:30pm - 2:30pm speech (Bill's Office) - Seaman, Michael P.
- 3:00pm - 4:00pm Path Forward (Bill's Office) - Arietti Gold, Charlene
- 3:00pm - 4:00pm Path Forward (Bill's Office) - Arietti Gold, Charlene

March 11, 2019 -
March 17, 2019

March 2019

April 2019

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Monday, March 11

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm 404(b) follow-up with DERA (CONF RM SP1 6003 Phone Small-18) - Bhandari, Tara
- 11:15am - 12:00pm 404(b) follow-up with DERA (CONF RM SP1 6003 Phone Small-18) - Bhandari, Tara
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 2:30pm - 3:30pm A placeholder for the path forward discussion (Bill's office) - Arietti Gold, Charlene
- 2:30pm - 3:30pm A placeholder for the path forward discussion (Bill's office) - Arietti Gold, Charlene

Tuesday, March 12

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:00pm - 12:30pm Discussion on Proxy Advisors (Jay, Elad, Dalia, Bill)- Feel free to bring your lunch (Jay's Coference Room) - Clayton, Jay
- 12:00pm - 12:30pm Discussion on Proxy Advisors (Jay, Elad, Dalia, Bill)- Feel free to bring your lunch (Jay's Coference Room) - Clayton, Jay
- 1:15pm - 1:45pm Abby Johnson (Fidelity) (Chairman's Conference Room) - Clayton, Jay
- 1:15pm - 1:45pm Abby Johnson (Fidelity) (Chairman's Conference Room) - Clayton, Jay
- 2:00pm - 3:00pm 14a-8 (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:00pm - 3:00pm 14a-8 (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:00pm - 3:30pm Kik Pre-Meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:00pm - 3:30pm Kik Pre-Meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 4:00pm - 4:30pm Toshiba Brief (Bill's office / Webex) - Garrison, Charles
- 4:00pm - 4:30pm Toshiba Brief (Bill's office / Webex) - Garrison, Charles
- 5:00pm - 5:30pm Review Chairman's Awards Nominations (Bill's Office) - Arietti Gold, Charlene
- 5:00pm - 5:30pm Review Chairman's Awards Nominations (Bill's Office) - Arietti Gold, Charlene
- 5:30pm - 6:00pm touch base - Parratt, Shelley
- 5:30pm - 6:00pm touch base - Parratt, Shelley

Wednesday, March 13

- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 11:00am - 12:00pm Meeting Re: Kik (Friedstad Conference Room) - Peikin, Steven
- 11:00am - 12:00pm Meeting Re: Kik (Friedstad Conference Room) - Peikin, Steven
- 11:00am - 11:30am Leveraged Loans Group- Follow-Up Discussion Post-FSOC (Chairman's Conference Room) - Clayton, Jay
- 11:00am - 11:30am Leveraged Loans Group- Follow-Up Discussion Post-FSOC (Chairman's Conference Room) - Clayton, Jay
- 12:00pm - 12:30pm (b)(6)
- 12:00pm - 12:30pm
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 2:00pm - 3:00pm speech (Bill's Office) - Seaman, Michael P.
- 2:00pm - 3:00pm speech (Bill's Office) - Seaman, Michael P.
- 2:00pm - 2:30pm guidance comments (We'll call Valerie) - Seaman, Michael P.
- 2:00pm - 2:30pm guidance comments (We'll call Valerie) - Seaman, Michael P.
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 4:00pm - 4:30pm Meeting with Jackson re LEIs in FAST Act (Jackson's office) - Garrison, Charles
- 4:00pm - 4:30pm Meeting with Jackson re LEIs in FAST Act (Jackson's office) - Garrison, Charles

Thursday, March 14

- 12:00am PLI - London
- 12:00am PLI - London
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley

Friday, March 15

- 12:00am PLI - London
- 12:00am PLI - London

March 18, 2019 -
March 24, 2019

March 2019

April 2019

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Monday, March 18

(b)(6)

- 1:00pm - 1:30pm Ted Gayer and David Wessel- Brookings (Jay's Office) - Clayton, Jay
- 1:00pm - 1:30pm Ted Gayer and David Wessel- Brookings (Jay's Office) - Clayton, Jay
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester

Tuesday, March 19

(b)(6)

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie

Wednesday, March 20

(b)(6)

- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 5:00pm - 8:00pm TechTalk Washington DC (Ruth's Chris Steakhouse, 1801 Connecticut Ave NW - Washington DC) - Mike Healy
- 5:00pm - 8:00pm TechTalk Washington DC (Ruth's Chris Steakhouse, 1801 Connecticut Ave NW - Washington DC) - Mike Healy

Thursday, March 21

(b)(6)

- 8:30am - 5:30pm FINTECH FORUM 2019 (details to be discussed) - Szczepanik, Valerie
- 8:30am - 5:30pm FINTECH FORUM 2019 (details to be discussed) - Szczepanik, Valerie
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 1:00pm - 1:30pm Jay touching base with Bob, Bill, Dalia, Sean, Lucas, Eric, Sebastian (Dial in Option- (b)(6) - Clayton, Jay
- 1:00pm - 1:30pm Jay touching base with Bob, Bill, Dalia, Sean, Lucas, Eric, Sebastian (Dial in Option- (b)(6) - Clayton, Jay

Friday, March 22

(b)(6)

March 25, 2019 -
March 31, 2019

March 2019

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April 2019

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Monday, March 25

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 12:00pm Update on realignment (Bill's Office) - Arietti Gold, Charlene
- 11:00am - 12:00pm Update on realignment (Bill's Office) - Arietti Gold, Charlene
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 2:30pm - 3:30pm redacted exhibits update/decisions (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:30pm - 3:30pm redacted exhibits update/decisions (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley

Tuesday, March 26

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm CorpFin/OASB Update Meeting (Bill's Office (4521)) - Miller, Martha
- 1:30pm - 2:00pm CorpFin/OASB Update Meeting (Bill's Office (4521)) - Miller, Martha
- 3:00pm - 4:00pm Emerging Risk Discussion Group (10000) - Erhardt, Julie A.
- 3:00pm - 4:00pm Emerging Risk Discussion Group (10000) - Erhardt, Julie A.
- 3:30pm - 4:00pm Direct Listing Guidance (Bill's Office) - Seaman, Michael P.
- 3:30pm - 4:00pm Direct Listing Guidance (Bill's Office) - Seaman, Michael P.
- 4:00pm - 4:30pm NAL (Bill's Office) - Seaman, Michael P.
- 4:00pm - 4:30pm NAL (Bill's Office) - Seaman, Michael P.

Wednesday, March 27

- 8:00am - 9:00am MLA Meeting (Willard Hotel -- Peacock Room) - Moskowitz, Lucas
- 8:00am - 9:00am MLA Meeting (Willard Hotel -- Peacock Room) - Moskowitz, Lucas
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 11:00am - 12:00pm 14a-8 (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 11:00am - 12:00pm 14a-8 (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:30pm - 4:00pm 14a-3(b) Exemptive Request (Bill's office) - Yu, Ted
- 3:30pm - 4:00pm 14a-3(b) Exemptive Request (Bill's office) - Yu, Ted
- 4:30pm - 5:00pm Devon Reconsideration (4520) - Garrison, Charles
- 4:30pm - 5:00pm Devon Reconsideration (4520) - Garrison, Charles

Thursday, March 28

- 10:00am - 11:00am Kik CF Pre-Meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 10:00am - 11:00am Kik CF Pre-Meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 1:00pm - 2:00pm prep (Your office) - Arietti Gold, Charlene
- 1:00pm - 2:00pm prep (Your office) - Arietti Gold, Charlene
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 2:00pm - 2:30pm meeting - Seaman, Michael P.
- 2:00pm - 2:30pm meeting - Seaman, Michael P.
- 3:00pm - 3:30pm Briefing on fantasy football filings (4520) - Daly, James (CF)
- 3:00pm - 3:30pm Briefing on fantasy football filings (4520) - Daly, James (CF)

Friday, March 29

(b)(6)

- 10:00am - 12:00pm Meeting Re: Meeting Kik Meeting (Outside Counsel) OTX (Friestad Conference Room) - Peikin, Steven
- 10:00am - 12:00pm Meeting Re: Meeting Kik Meeting (Outside Counsel) OTX (Friestad Conference Room) - Peikin, Steven
- 2:00pm - 2:30pm Ray Garrett Topics/Prep (Bill's Office) - Kohl, Lisa
- 2:00pm - 2:30pm Ray Garrett Topics/Prep (Bill's Office) - Kohl, Lisa
- 3:00pm - 3:30pm meeting
- 3:00pm - 3:30pm meeting
- 4:00pm - 4:30pm Canceled: Catch Up (Bill's Office) - LaMothe, Cicely
- 4:00pm - 4:30pm Canceled: Catch Up (Bill's Office) - LaMothe, Cicely

April 1, 2019 -
April 7, 2019

April 2019

May 2019

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Monday, April 1

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester

Tuesday, April 2

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 11:45am Harmonization (Bill's Office) - Seaman, Michael P.
- 11:30am - 11:45am Harmonization (Bill's Office) - Seaman, Michael P.
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 1:30pm - 2:30pm Meet 'n Greet w/ Gabe Benincasa, Chief Risk Officer (CF Director Bill Hinman's Office (4521/SP1)) - Benincasa, Gabriel
- 1:30pm - 2:30pm Meet 'n Greet w/ Gabe Benincasa, Chief Risk Officer (CF Director Bill Hinman's Office (4521/SP1)) - Benincasa, Gabriel
- 4:00pm - 5:00pm SEC Speaks Workshop (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 4:00pm - 5:00pm SEC Speaks Workshop (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 5:00pm - 5:30pm Meeting w/Commissioner
- 5:00pm - 5:30pm Meeting w/Commissioner

Wednesday, April 3

- 10:00am - 10:15am Backgrounder with Nik from CoinDesk (Bill's office) - White, Ryan
- 10:00am - 10:15am Backgrounder with Nik from CoinDesk (Bill's office) - White, Ryan
- 10:15am - 10:30am Backgrounder with Michael Del Castillo at Forbes (Bill's office) - White, Ryan
- 10:15am - 10:30am Backgrounder with Michael Del Castillo at Forbes (Bill's office) - White, Ryan
- 10:30am - 10:45am Backgrounder with Ben Bain at Bloomberg (Bill's office) - White, Ryan
- 10:30am - 10:45am Backgrounder with Ben Bain at Bloomberg (Bill's office) - White, Ryan
- 11:00am - 12:00pm FW: Guide 3 Discussion (SP3-4901) - Kothari, S.P.
- 11:00am - 12:00pm FW: Guide 3 Discussion (SP3-4901) - Kothari, S.P.
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm redacted exhibits update (BH) - Parratt, Shelley
- 1:30pm - 2:00pm redacted exhibits update (BH) - Parratt, Shelley
- 2:30pm - 3:30pm *Info added* Town Hall with Chairman Jay Clayton (Station Place Auditorium & webcast) - SEC Internal Communication
- 2:30pm - 3:30pm *Info added* Town Hall with Chairman Jay Clayton (Station Place Auditorium & webcast) - SEC Internal Communication
- 3:30pm - 4:00pm Staffing Allocation and Usage (Bill's Office) - Arietti Gold, Charlene
- 3:30pm - 4:00pm Staffing Allocation and Usage (Bill's Office) - Arietti Gold, Charlene

Thursday, April 4

- b)(6)
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 12:15pm - 1:15pm SEC Investor Advocacy Clinic Summit - Q&A (Multipurpose Room)
- 12:15pm - 1:15pm SEC Investor Advocacy Clinic Summit - Q&A (Multipurpose Room)
- 12:45pm - 1:15pm Speaking Time Placeholder -- SEC Investor Advocacy Clinic Summit, Thursday, April 4, 2019 (SEC Multipurpose Room) - McNeil, Tracey L.
- 12:45pm - 1:15pm Speaking Time Placeholder -- SEC Investor Advocacy Clinic Summit, Thursday, April 4, 2019 (SEC Multipurpose Room) - McNeil, Tracey L.
- 3:30pm - 4:00pm UDF / Pre-Call (WebEx Online) - Cave, D. Mark
- 3:30pm - 4:00pm UDF / Pre-Call (WebEx Online) - Cave, D. Mark

Friday, April 5

- 11:30am - 12:00pm Groundfloor - Next Steps (4520) - Garrison, Charles
- 11:30am - 12:00pm Groundfloor - Next Steps (4520) - Garrison, Charles
- 1:00pm - 2:00pm Outside Counsel Meeting: UDF / 12(j) litigation (5000 / VTC to FWRO) - Cave, D. Mark
- 1:00pm - 2:00pm Outside Counsel Meeting: UDF / 12(j) litigation (5000 / VTC to FWRO) - Cave, D. Mark
- 2:00pm - 3:00pm Forms 10 - digital assets post settlement (CF Only SP1 4520 Phone Small-12 or webex) - Parratt, Shelley
- 2:00pm - 3:00pm Forms 10 - digital assets post settlement (CF Only SP1 4520 Phone Small-12 or webex) - Parratt, Shelley
- 3:00pm - 3:30pm catch up - Parratt, Shelley
- 3:00pm - 3:30pm catch up - Parratt, Shelley
- 3:30pm - 4:00pm SEC Speaks (Bill's Office) - Seaman, Michael P.
- 3:30pm - 4:00pm SEC Speaks (Bill's Office) - Seaman, Michael P.

April 2019						
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May 2019						
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Monday, April 8

- 12:00am The SEC Speaks in 2019 (Ronald Reagan Building and International Trade Center, 1300 Pennsylvania Avenue, NW, Washington, D.C., 20004) →
- 12:00am The SEC Speaks in 2019 (Ronald Reagan Building and International Trade Center, 1300 Pennsylvania Avenue, NW, Washington, D.C., 20004) →
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ○
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ○
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ○
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ○
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ○
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ○
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ○
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie ○
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie ○
- 2:30pm - 3:00pm Discuss Ray Garrett (Bill's Office) - Kohl, Lisa
- 2:30pm - 3:00pm Discuss Ray Garrett (Bill's Office) - Kohl, Lisa

Tuesday, April 9

- 12:00am The SEC Speaks in 2019 (Ronald Reagan Building and International Trade Center, 1300 Pennsylvania Avenue, NW, Washington, D.C., 20004) →
- 12:00am The SEC Speaks in 2019 (Ronald Reagan Building and International Trade Center, 1300 Pennsylvania Avenue, NW, Washington, D.C., 20004) →
- SEC SPEAKS
- SEC SPEAKS
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ○
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ○
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ○
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ○

Wednesday, April 10

- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley ○
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley ○
- 11:00am - 11:30am DLT/Digital Asset/Financial Innovation Hiring Process (Chairman's Conference Room) - Clayton, Jay
- 11:00am - 11:30am DLT/Digital Asset/Financial Innovation Hiring Process (Chairman's Conference Room) - Clayton, Jay
- 11:30am - 12:00pm Discuss Ray Garrett (Bill's Office) - Kohl, Lisa
- 11:30am - 12:00pm Discuss Ray Garrett (Bill's Office) - Kohl, Lisa
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ○
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ○
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley ○
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley ○
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley ○
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley ○
- 3:30pm - 4:00pm CTR extension update - Parratt, Shelley
- 3:30pm - 4:00pm CTR extension update - Parratt, Shelley
- 4:30pm - 6:00pm Happy Hour on the Chairman's Terrace (10th Floor Terrace) - Clayton, Jay
- 4:30pm - 6:00pm Happy Hour on the Chairman's Terrace (10th Floor Terrace) - Clayton, Jay

Thursday, April 11

- 12:00am Ray Garrett conference (Northwestern - Chicago) - Parratt, Shelley →
- 12:00am Ray Garrett conference (Northwestern - Chicago) - Parratt, Shelley →
- Ray Garrett (Northwestern)
- Ray Garrett (Northwestern)
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley ○
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley ○
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ○
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ○
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ○
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ○
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Ceremonial Room (10000)) - Moskowitz, Lucas ○
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Ceremonial Room (10000)) - Moskowitz, Lucas ○
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ○
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ○
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ○
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ○

Friday, April 12

- 12:00am Ray Garrett conference (Northwestern - Chicago) - Parratt, Shelley
- 12:00am Ray Garrett conference (Northwestern - Chicago) - Parratt, Shelley
- 10:00am - 10:30am OECD/TCFD Agenda Item Prep (4520) - Garrison, Charles
- 10:00am - 10:30am OECD/TCFD Agenda Item Prep (4520) - Garrison, Charles
- 10:30am - 11:00am Waivers and UDF (Bill's Office) - Seaman, Michael P.
- 10:30am - 11:00am Waivers and UDF (Bill's Office) - Seaman, Michael P.
- 1:00pm - 1:30pm Meeting w/Charlene (Bill's Office) - Johnson, Winter (Contractor)
- 1:00pm - 1:30pm Meeting w/Charlene (Bill's Office) - Johnson, Winter (Contractor)

(b)(6)

April 15, 2019 -
April 21, 2019

April 2019

May 2019

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Monday, April 15

- Tax Day (United States)
- Tax Day (United States)
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 11:30am Harmonization (Bill's Office) - Seaman, Michael P.
- 11:00am - 11:30am Harmonization (Bill's Office) - Seaman, Michael P.
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm Redacted exhibits update - Parratt, Shelley
- 2:30pm - 3:00pm Redacted exhibits update - Parratt, Shelley
- 3:30pm - 4:30pm Appropriations Bill Report, etc. (Bill's Office) - Seaman, Michael P.
- 3:30pm - 4:30pm Appropriations Bill Report, etc. (Bill's Office) - Seaman, Michael P.

Tuesday, April 16

- 9:30am - 10:00am FYI- Short termism roundtable meeting with Chairman's Office (Chairman's Conference Room) - Clayton, Jay
- 9:30am - 10:00am FYI- Short termism roundtable meeting with Chairman's Office (Chairman's Conference Room) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FY19 GAO Audit Entrance Conference (10th Floor Ceremonial Room) - Kauffman, Caryn
- 11:30am - 12:00pm FY19 GAO Audit Entrance Conference (10th Floor Ceremonial Room) - Kauffman, Caryn
- 2:30pm - 3:30pm Stone Ridge re: Bitcoin Market Overview and Data Analysis (Room 8000) - McHugh, Jennifer B.
- 2:30pm - 3:30pm Stone Ridge re: Bitcoin Market Overview and Data Analysis (Room 8000) - McHugh, Jennifer B.

Wednesday, April 17

- 10:00am - 10:30am hinman
- 10:00am - 10:30am hinman
- 10:30am - 11:00am OMB memo - ROOM CHANGE (CONF RM SP1 7000 VTC/WebEx Large-39) - Parratt, Shelley
- 10:30am - 11:00am OMB memo - ROOM CHANGE (CONF RM SP1 7000 VTC/WebEx Large-39) - Parratt, Shelley
- 11:30am - 12:00pm Meeting with Continental (Sean's office) - Memon, Sean
- 11:30am - 12:00pm Meeting with Continental (Sean's office) - Memon, Sean
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley

Thursday, April 18

- 9:30am - 9:50am Coffee (Office 4521) - Hinman, William
- 9:30am - 9:50am Coffee (Office 4521) - Hinman, William
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 1:00pm - 2:00pm Jill Fisch Call (rescheduled) (Bill's Office) - Kohl, Lisa
- 1:00pm - 2:00pm Jill Fisch Call (rescheduled) (Bill's Office) - Kohl, Lisa
- 3:00pm - 3:30pm catch up - Parratt, Shelley
- 3:00pm - 3:30pm catch up - Parratt, Shelley

Friday, April 19

- 10:00am - 10:20am Brief Discussion (Bill's Office) - Hinman, William
- 10:00am - 10:20am Brief Discussion (Bill's Office) - Hinman, William
- 10:30am - 10:50am Brief Discussion (Bill's Office) - Hinman, William
- 10:30am - 10:50am Brief Discussion (Bill's Office) - Hinman, William

April 22, 2019 -
April 28, 2019

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Monday, April 22

- 9:00am - 9:30am Kik Call (WebEx Online) - Cohen, Robert
- 9:00am - 9:30am Kik Call (WebEx Online) - Cohen, Robert
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:30pm - 1:00pm Agent Burwell to call Bill - Szczepanik, Valerie
- 12:30pm - 1:00pm Agent Burwell to call Bill - Szczepanik, Valerie
- 1:00pm - 1:30pm conflict minerals - GAO annual review (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm conflict minerals - GAO annual review (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 2:00pm - 4:00pm Confirmed - Meeting with MiMedx (CONF RM*SP1 3000 VTC/WebEx Medium-24;) - Yu, Ted
- 2:00pm - 4:00pm Confirmed - Meeting with MiMedx (CONF RM*SP1 3000 VTC/WebEx Medium-24;) - Yu, Ted

Tuesday, April 23

- 10:15am - 10:35am Brief Discussion (Bill's Office) - Hinman, William
- 10:15am - 10:35am Brief Discussion (Bill's Office) - Hinman, William
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:30pm - 4:30pm Meeting to Discuss Commissioner Roisman's Comments on Accelerated Filer Definition Proposing Release (CONF RM SP1 4002 Phone Small-12) - Fieldsend, John R.
- 3:30pm - 4:30pm Meeting to Discuss Commissioner Roisman's Comments on Accelerated Filer Definition Proposing Release (CONF RM SP1 4002 Phone Small-12) - Fieldsend, John R.

Wednesday, April 24

- Administrative Professionals Day (United States)
- Administrative Professionals Day (United States)
- 10:00am - 11:00am metrics (CF Only SP1 4520 Phone Small-12 or webex) - Parratt, Shelley
- 10:00am - 11:00am metrics (CF Only SP1 4520 Phone Small-12 or webex) - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 11:00am - 11:30am discuss SO performance evaluations - Parratt, Shelley
- 11:00am - 11:30am discuss SO performance evaluations - Parratt, Shelley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley

Thursday, April 25

- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:30am - 11:30am FMOC meeting (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
- 10:30am - 11:30am FMOC meeting (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 12:00pm - 2:00pm [REDACTED]
- 12:00pm - 2:00pm [REDACTED]
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 2:30pm - 4:00pm FSOC Digital Assets Working Group Senior Staff Meeting (1500 Pennsylvania Ave NW (Main Treasury), Secretary's Large Conference Room (3327)) - [REDACTED]@treasury.gov
- 2:30pm - 4:00pm FSOC Digital Assets Working Group Senior Staff Meeting (1500 Pennsylvania Ave NW (Main Treasury), Secretary's Large Conference Room (3327)) - [REDACTED]@treasury.gov
- 4:00pm - 5:00pm Discuss Commissioner Roisman's Accelerated Filer Definition Comments (CONF RM SP1 6000 VTC/WebEx Large-40) - Fieldsend, John R.
- 4:00pm - 5:00pm Discuss Commissioner Roisman's Accelerated Filer Definition Comments (CONF RM SP1 6000 VTC/WebEx Large-40) - Fieldsend, John R.
- 5:00pm - 5:30pm Roisman (Roisman's Office) - Garrison, Charles
- 5:00pm - 5:30pm Roisman (Roisman's Office) - Garrison, Charles

Friday, April 26

- 11:30am - 12:00pm feedback (Bill's office) - Anderson, Michele
- 11:30am - 12:00pm feedback (Bill's office) - Anderson, Michele
- 12:30pm - 1:30pm filing review briefing (4000) - Parratt, Shelley
- 12:30pm - 1:30pm filing review briefing (4000) - Parratt, Shelley

April 29, 2019 -
May 5, 2019

April 2019

May 2019

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Monday, April 29

(b)(6)

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 2:00pm - 2:30pm Data Dashboard (Directors Dashboard) - Johnson, Winter (Contractor)
- 2:00pm - 2:30pm Data Dashboard (Directors Dashboard) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Non-GAAP - tailored metrics (Bill's office) - Moffatt, Kyle
- 2:30pm - 3:00pm Non-GAAP - tailored metrics (Bill's office) - Moffatt, Kyle
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 4:00pm - 4:30pm Small Business Remarks (WH Office) - Kohl, Lisa
- 4:00pm - 4:30pm Small Business Remarks (WH Office) - Kohl, Lisa

Tuesday, April 30

(b)(6)

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 1:15pm - 3:15pm Chief Justice Strine Visiting SEC (Jay's Office) - Clayton, Jay
- 1:15pm - 3:15pm Chief Justice Strine Visiting SEC (Jay's Office) - Clayton, Jay
- 3:00pm - 4:00pm Emerging Risk Discussion Group (10000) - Benincasa, Gabriel
- 3:00pm - 4:00pm Emerging Risk Discussion Group (10000) - Benincasa, Gabriel
- 4:30pm - 5:00pm Call with Chip Wilson (CEO Lululemon) - Moskowitz, Lucas
- 4:30pm - 5:00pm Call with Chip Wilson (CEO Lululemon) - Moskowitz, Lucas
- 4:30pm - 5:00pm Joint Statement on LIBOR Transition (7000 or webex) - Luisi, Shelly C.
- 4:30pm - 5:00pm Joint Statement on LIBOR Transition (7000 or webex) - Luisi, Shelly C.

Wednesday, May 1

(b)(6)

- 10:00am - 11:00am Continental Update (4520 / Webex) - Garrison, Charles
- 10:00am - 11:00am Continental Update (4520 / Webex) - Garrison, Charles
- 11:30am - 12:00pm Realignment Update & Next Steps (CF Only SP1 4520 Phone Small-12) - Johnson, Winter (Contractor)
- 11:30am - 12:00pm Realignment Update & Next Steps (CF Only SP1 4520 Phone Small-12) - Johnson, Winter (Contractor)
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley
- 3:00pm - 4:00pm 14a-8 (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:00pm - 4:00pm 14a-8 (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.

Thursday, May 2

(b)(6)

- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 2:00pm - 3:00pm Block.one (Bill's Office) - Seaman, Michael P.
- 2:00pm - 3:00pm Block.one (Bill's Office) - Seaman, Michael P.
- 4:30pm - 5:00pm Checking in on Town Hall dates (Your office) - Arietti Gold, Charlene
- 4:30pm - 5:00pm Checking in on Town Hall dates (Your office) - Arietti Gold, Charlene

Friday, May 3

(b)(6)

- 1:00pm - 2:00pm Invitation to WebEx meeting: Media Relations and Social Media 1:00 pm (1 hr) Eastern Daylight Time (New York, GMT-04:00) (WebEx Online) - John Nester via SEC WebEx
- 1:00pm - 2:00pm Invitation to WebEx meeting: Media Relations and Social Media 1:00 pm (1 hr) Eastern Daylight Time (New York, GMT-04:00) (WebEx Online) - John Nester via SEC WebEx

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Monday, May 6

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:00pm - 3:00pm Small Business Capital Formation Roundtable (Multipurpose Room) - Garrison, Charles
- 1:00pm - 3:00pm Small Business Capital Formation Roundtable (Multipurpose Room) - Garrison, Charles
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie ☺
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie ☺
- 4:00pm - 5:00pm Meeting with Makan Delrahim re HSR (DOJ) - Clayton, Jay
- 4:00pm - 5:00pm Meeting with Makan Delrahim re HSR (DOJ) - Clayton, Jay

Tuesday, May 7

- 9:00am - 10:00am Senator Warner (Senator will be joined by Craig Radcliffe and Carolina Young) (SEC) - Clayton, Jay
- 9:00am - 10:00am Senator Warner (Senator will be joined by Craig Radcliffe and Carolina Young) (SEC) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☺
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☺
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 12:00pm - 12:30pm Catch-up (Bill's Office) - Seaman, Michael P.
- 12:00pm - 12:30pm Catch-up (Bill's Office) - Seaman, Michael P.
- 1:00pm - 2:30pm LEAP Registration Notification: Mandatory Avoiding Prohibited Personnel Practices and Violations of Merit Systems Principles Briefing
- 1:00pm - 2:30pm LEAP Registration Notification: Mandatory Avoiding Prohibited Personnel Practices and Violations of Merit Systems Principles Briefing
- 1:00pm - 2:00pm Slack Update (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:00pm - 2:00pm Slack Update (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:00pm - 4:00pm ABA Joint Committee on Employee Benefits - SEC Staff Meeting - Garrison, Charles
- 2:00pm - 4:00pm ABA Joint Committee on Employee Benefits - SEC Staff Meeting - Garrison, Charles
- 2:30pm - 3:00pm realignment messaging (BH) - Parratt, Shelley
- 2:30pm - 3:00pm realignment messaging (BH) - Parratt, Shelley
- 3:00pm - 3:30pm Guide 3 Comments (Bill's Office) - Seaman, Michael P.
- 3:00pm - 3:30pm Guide 3 Comments (Bill's Office) - Seaman, Michael P.
- 3:30pm - 4:00pm Meeting Re: Block.one (Steph's Office) - Avakian, Stephanie
- 3:30pm - 4:00pm Meeting Re: Block.one (Steph's Office) - Avakian, Stephanie
- 4:00pm - 4:30pm NOBO/OBO (Chairman's Conference Room) - Clayton, Jay
- 4:00pm - 4:30pm NOBO/OBO (Chairman's Conference Room) - Clayton, Jay

Wednesday, May 8

- 9:30am - 10:00am PWP (Bill's Office) - LaMothe, Cicely
- 9:30am - 10:00am PWP (Bill's Office) - LaMothe, Cicely
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley ☺
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley ☺
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:00pm - 2:30pm Join Us for an Open House (Station Place I, 10th Floor) - SEC Internal Communication
- 1:00pm - 2:30pm Join Us for an Open House (Station Place I, 10th Floor) - SEC Internal Communication
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley ☺
- 1:30pm - 2:00pm ENF referral briefing (BH) - Parratt, Shelley ☺
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley ☺
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley ☺
- 3:30pm - 4:30pm Realignment Communications meeting (CF Only SP1 4520 Phone Small-12) - Carroll, Robert 🗨
- 3:30pm - 4:30pm Realignment Communications meeting (CF Only SP1 4520 Phone Small-12) - Carroll, Robert 🗨
- 4:30pm - 6:00pm Happy Hour (Terrace) - Clayton, Jay
- 4:30pm - 6:00pm Happy Hour (Terrace) - Clayton, Jay

Thursday, May 9

- 8:00am - 9:00am Call with OCC re Incentive Comp (Dial-In Below) - Clayton, Jay
- 8:00am - 9:00am Call with OCC re Incentive Comp (Dial-In Below) - Clayton, Jay
- 9:00am - 11:00am Hold~ SEC Open Meeting
- 9:00am - 11:00am Hold~ SEC Open Meeting
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley ☺
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley ☺
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ☺
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ☺
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ☺
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ☺
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ☺
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ☺
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ☺
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ☺
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ☺
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ☺
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ☺
- 2:00pm - 3:00pm AD/SACA Mtg (TBD)
- 2:00pm - 3:00pm AD/SACA Mtg (TBD)
- 3:00pm - 3:30pm Discussion on MD&A (Bill's Office) - Bloom, Luna
- 3:00pm - 3:30pm Discussion on MD&A (Bill's Office) - Bloom, Luna
- 3:30pm - 4:00pm Updated invitation: a16z Crypto Summit | Prep Call - Director Bill Hinman @ Thu May 9, 2019 12:30pm - 1pm (PDT)
(b)(6)@sec.gov (uberconference.com (b)(6) Dial in: (b)(6) *MP-1-Radia Perlman (L1) (3)) - (b)(6)
- 3:30pm - 4:00pm Updated invitation: a16z Crypto Summit | Prep Call - Director Bill Hinman @ Thu May 9, 2019 12:30pm - 1pm (PDT)
(b)(6)@sec.gov (uberconference.com (b)(6) Dial in: (b)(6) *MP-1-Radia Perlman (L1) (3)) - (b)(6)
- 4:00pm - 4:30pm 14a-8 (Bill's Office) - Seaman, Michael P.
- 4:00pm - 4:30pm 14a-8 (Bill's Office) - Seaman, Michael P.

Friday, May 10

- 10:30am - 11:00am Form D Filing compliance (4520) - Fredrickson, David R.
- 10:30am - 11:00am Form D Filing compliance (4520) - Fredrickson, David R.
- 11:00am - 12:00pm "It's SECAcademic!" - PSRW Game Show (Station Place III, Rooms 3832-3837 and WebEx) - SEC Internal Communication
- 11:00am - 12:00pm "It's SECAcademic!" - PSRW Game Show (Station Place III, Rooms 3832-3837 and WebEx) - SEC Internal Communication
- 1:00pm - 2:00pm MiMedx Exemptive Request (Bill's office) - Yu, Ted
- 1:00pm - 2:00pm MiMedx Exemptive Request (Bill's office) - Yu, Ted
- 2:30pm - 3:30pm Updated time - Guide 3 Proposing Release (Bill's Office) - McCord, Lindsay
- 2:30pm - 3:30pm Updated time - Guide 3 Proposing Release (Bill's Office) - McCord, Lindsay

May 2019							June 2019						
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Monday, May 13

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 12:00pm - 12:30pm Fwd: FCPA small group meeting (WHSR exec) - Storch, Thomas H. EOP/NSC
- 12:00pm - 12:30pm Fwd: FCPA small group meeting (WHSR exec) - Storch, Thomas H. EOP/NSC
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie ☺
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie ☺
- 1:45pm - 2:30pm Airfox Form 10 (Bill's Office) - Seaman, Michael P.
- 1:45pm - 2:30pm Airfox Form 10 (Bill's Office) - Seaman, Michael P.
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber ☺
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber ☺
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester ☺
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester ☺
- 3:00pm - 3:30pm Joint Statement on LIBOR Transition (3000) - Luisi, Shelly C.
- 3:00pm - 3:30pm Joint Statement on LIBOR Transition (3000) - Luisi, Shelly C.
- 3:00pm - 3:30pm Meeting with DOL (Proxy Issues) (Ceremonial Room) - Blase, Elizabeth
- 3:00pm - 3:30pm Meeting with DOL (Proxy Issues) (Ceremonial Room) - Blase, Elizabeth

Tuesday, May 14

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☺
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☺
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☺
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☺
- 10:00am - 10:30am Discuss Buybacks Remarks (rescheduled) (WH Office) - Kohl, Lisa
- 10:00am - 10:30am Discuss Buybacks Remarks (rescheduled) (WH Office) - Kohl, Lisa
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☺
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☺
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie ☺
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie ☺
- 2:20pm - 2:50pm Hold for Marketplace Lending Association meeting (8000) - Seaman, Michael P.
- 2:20pm - 2:50pm Hold for Marketplace Lending Association meeting (8000) - Seaman, Michael P.
- 3:00pm - 3:30pm brief ethics chat - rescheduled (4521? (BH office)) - Parratt, Shelley
- 3:00pm - 3:30pm brief ethics chat - rescheduled (4521? (BH office)) - Parratt, Shelley
- 4:00pm - 4:30pm Harmonization (Bill's Office) - Seaman, Michael P.
- 4:00pm - 4:30pm Harmonization (Bill's Office) - Seaman, Michael P.

Wednesday, May 15

- 10:00am - 11:00am Exclusive Forum Review Guide (CF Only SP1 4520 Phone Small-12) - Walsh, Elizabeth
- 10:00am - 11:00am Exclusive Forum Review Guide (CF Only SP1 4520 Phone Small-12) - Walsh, Elizabeth
- 12:00pm - 1:00pm (b)(6)
- 12:00pm - 1:00pm (b)(6)
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:30pm - 2:00pm Approach to Form 10 Claims Process Filings (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:30pm - 2:00pm Approach to Form 10 Claims Process Filings (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺

Thursday, May 16

- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad ☺
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad ☺
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☺
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☺
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☺
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☺
- 11:00am - 12:00pm MD&A Discussion Sheet Mtg. (Office #4521) - Hinman, William
- 11:00am - 12:00pm MD&A Discussion Sheet Mtg. (Office #4521) - Hinman, William
- 2:00pm - 2:30pm Mylan (Bill's Office) - Seaman, Michael P.
- 2:00pm - 2:30pm Mylan (Bill's Office) - Seaman, Michael P.
- 4:00pm - 4:30pm CVance
- 4:00pm - 4:30pm CVance

Friday, May 17

- 10:00am - 10:30am redacted exhibits - RESCHEDULED (BH) - Parratt, Shelley
- 10:00am - 10:30am redacted exhibits - RESCHEDULED (BH) - Parratt, Shelley
- 12:00pm - 1:00pm Town Hall Talking Point Brainstorming--Bring your lunch or join via WebEx (4520) - Arietti Gold, Charlene
- 12:00pm - 1:00pm Town Hall Talking Point Brainstorming--Bring your lunch or join via WebEx (4520) - Arietti Gold, Charlene

May 20, 2019 -
May 26, 2019

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Monday, May 20

- 7:30am - 12:00pm Placeholder for NASAA 19(d) Conference (Washington Court Hotel) - Seaman, Michael P.
- 7:30am - 12:00pm Placeholder for NASAA 19(d) Conference (Washington Court Hotel) - Seaman, Michael P.
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:00pm - 1:30pm (b)(6)
- 12:00pm - 1:30pm
- 12:00pm - 1:30pm
- 12:00pm - 1:30pm
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 3:30pm - 4:00pm a16z Talking Points - Seaman, Michael P.
- 3:30pm - 4:00pm a16z Talking Points - Seaman, Michael P.
- 4:00pm - 5:00pm Re: documents for Corp Fin Arrangement (Les to call W. Hinman) - Silverman, Leslie N.
- 4:00pm - 5:00pm Re: documents for Corp Fin Arrangement (Les to call W. Hinman) - Silverman, Leslie N.
- 4:00pm - 4:30pm Les Silverman Call - Seaman, Michael P.
- 4:00pm - 4:30pm Les Silverman Call - Seaman, Michael P.
- 4:30pm - 5:30pm MiMedx (Bill's office) - Yu, Ted
- 4:30pm - 5:30pm MiMedx (Bill's office) - Yu, Ted

Tuesday, May 21

- 12:00am A16Z Conference (San Francisco, CA)
- 12:00am A16Z Conference (San Francisco, CA)
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Emerging Risk Discussion Group (10000) - Benincasa, Gabriel
- 2:00pm - 3:00pm Emerging Risk Discussion Group (10000) - Benincasa, Gabriel
- 6:45pm - 7:45pm Invitation: a16z Crypto Regulatory Summit | Fireside Chat with Willia... @ Tue May 21, 2019 3:45pm - 4:45pm (PDT) (b)(6)@sec.gov (The Pearl, 601 19th St, San Francisco, CA 94107) - Events
- 6:45pm - 7:45pm Invitation: a16z Crypto Regulatory Summit | Fireside Chat with Willia... @ Tue May 21, 2019 3:45pm - 4:45pm (PDT) (b)(6)@sec.gov (The Pearl, 601 19th St, San Francisco, CA 94107) - Events

Wednesday, May 22

- 12:00am A16Z Conference (San Francisco, CA)
- 12:00am A16Z Conference (San Francisco, CA)
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 5:00pm Facebook Mtg. (ROOM 4000) - Szczepanik, Valerie
- 3:00pm - 5:00pm Facebook Mtg. (ROOM 4000) - Szczepanik, Valerie
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley

Thursday, May 23

- 9:30am - 11:00am town hall prep
- 9:30am - 11:00am town hall prep
- 9:30am - 10:30am Town Hall Planning (4520) - Mann, Seth J
- 9:30am - 10:30am Town Hall Planning (4520) - Mann, Seth J
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:30am - 11:00am Town Hall Planning with SOs (4520) - Mann, Seth J
- 10:30am - 11:00am Town Hall Planning with SOs (4520) - Mann, Seth J
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 1:00pm - 2:00pm SAVE THE DATE - CF Townhall (MPR and WebEx) - CF Business Management Office
- 1:00pm - 2:00pm SAVE THE DATE - CF Townhall (MPR and WebEx) - CF Business Management Office
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 2:00pm - 3:30pm Closed Commission Meeting-KIK
- 2:00pm - 3:30pm Closed Commission Meeting-KIK
- 3:00pm - 3:30pm OICF no-action letter (BH) - Parratt, Shelley
- 3:00pm - 3:30pm OICF no-action letter (BH) - Parratt, Shelley
- 3:30pm - 4:00pm Square Inc. Discussion Mtg (CF Only SP1 4520 Phone Small-12) - Hinman, William
- 3:30pm - 4:00pm Square Inc. Discussion Mtg (CF Only SP1 4520 Phone Small-12) - Hinman, William
- 3:30pm - 4:00pm Square Inc. Discussion Mtg (CF Only SP1 4520 Phone Small-12) - Hinman, William
- 3:30pm - 4:00pm Square Inc. Discussion Mtg (CF Only SP1 4520 Phone Small-12) - Hinman, William
- 4:00pm - 4:30pm Discuss comments on LIBOR Joint Statement draft (Bill's office) - Luisi, Shelly C.
- 4:00pm - 4:30pm Discuss comments on LIBOR Joint Statement draft (Bill's office) - Luisi, Shelly C.
- 4:30pm - 5:00pm 14a-8 Mtg (CF Only SP1 4520 Phone Small-12)
- 4:30pm - 5:00pm 14a-8 Mtg (CF Only SP1 4520 Phone Small-12)

Friday, May 24

(b)(6)

May 2019							June 2019						
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Monday, May 27

- 9:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie ☺
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie ☺
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber ☺
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber ☺
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester ☺
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester ☺

Tuesday, May 28

- 9:30am - 10:00am Weekly meeting - RESCHEDULED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 9:30am - 10:00am Weekly meeting - RESCHEDULED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 9:30am - 10:00am Weekly meeting - RESCHEDULED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 9:30am - 10:00am Weekly meeting - RESCHEDULED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☺
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☺
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☺
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☺
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☺
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☺
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☺
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☺
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☺
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☺
- 11:15am - 11:30am Paragon (4520 / webex) - Garrison, Charles
- 11:15am - 11:30am Paragon (4520 / webex) - Garrison, Charles
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie ☺
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie ☺
- 12:00pm - 1:30pm Lunch (Legal Seafood) - Bricker, Wesley
- 12:00pm - 1:30pm Lunch (Legal Seafood) - Bricker, Wesley
- 1:30pm - 2:00pm Financial Reporting Manual Updates (CF Only SP1 4520 Phone Small-12) - Hinman, William
- 1:30pm - 2:00pm Financial Reporting Manual Updates (CF Only SP1 4520 Phone Small-12) - Hinman, William
- 2:00pm - 2:30pm Prep for Tapestry Audit Committee Leadership Summit (Bill's office) - Garrison, Charles
- 2:00pm - 2:30pm Prep for Tapestry Audit Committee Leadership Summit (Bill's office) - Garrison, Charles
- 3:30pm - 4:00pm Discuss Draft Buyback Remarks (Bill's Office) - Kohl, Lisa
- 3:30pm - 4:00pm Discuss Draft Buyback Remarks (Bill's Office) - Kohl, Lisa
- 4:30pm - 5:30pm Draper call
- 4:30pm - 5:30pm Draper call
- 4:30pm - 5:00pm 1:30 pm - Bill Hinman (SEC) (ZOOM; https://zoom.us/j/) - Timothy Draper
- 4:30pm - 5:00pm 1:30 pm - Bill Hinman (SEC) (ZOOM; https://zoom.us/j/) - Timothy Draper

Wednesday, May 29

- 9:30am - 10:00am Joint Statement on LIBOR Transition (3000 or webex) - Luisi, Shelly C.
- 9:30am - 10:00am Joint Statement on LIBOR Transition (3000 or webex) - Luisi, Shelly C.
- 10:00am - 12:00pm Meeting with Society for Corporate Governance (Multipurpose Room) - Garrison, Charles
- 10:00am - 12:00pm Meeting with Society for Corporate Governance (Multipurpose Room) - Garrison, Charles
- 12:00pm - 1:00pm Meeting OGC/IM/CF (Chairman's Conference Room) - Memon, Sean
- 12:00pm - 1:00pm Meeting OGC/IM/CF (Chairman's Conference Room) - Memon, Sean
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:00pm - 1:30pm No-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 3:15pm - 3:30pm Pre-Meet for Bloomberg Interview (Bill's Office) - Kohl, Lisa
- 3:15pm - 3:30pm Pre-Meet for Bloomberg Interview (Bill's Office) - Kohl, Lisa
- 3:30pm - 4:30pm Interview with Robert Schmidt and Ben Bain (Bloomberg) (Bill's office) - Strom, Natalie
- 3:30pm - 4:30pm Interview with Robert Schmidt and Ben Bain (Bloomberg) (Bill's office) - Strom, Natalie
- 5:00pm - 5:30pm FinHub (Bill's Office) - Seaman, Michael P.
- 5:00pm - 5:30pm FinHub (Bill's Office) - Seaman, Michael P.
- 6:30pm - 8:30pm [Redacted]
- 6:30pm - 8:30pm [Redacted]

Thursday, May 30

- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad ☺
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad ☺
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☺
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☺
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☺
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☺
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☺
- 11:30am - 12:00pm Discuss Bill's comments on PAF Guidance (4520) - Kohl, Lisa
- 11:30am - 12:00pm Discuss Bill's comments on PAF Guidance (4520) - Kohl, Lisa
- 12:00pm - 2:00pm Blocking this time - Seaman, Michael P.
- 12:00pm - 2:00pm Blocking this time - Seaman, Michael P.
- 1:00pm - 2:30pm Hold for Closed Commission Meeting - Seaman, Michael P.
- 1:00pm - 2:30pm Hold for Closed Commission Meeting - Seaman, Michael P.
- 3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P. ☺
- 3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P. ☺
- 3:30pm - 4:30pm Fannie/Freddie UMBS Matter (Bill's Office) - Seaman, Michael P.
- 3:30pm - 4:30pm Fannie/Freddie UMBS Matter (Bill's Office) - Seaman, Michael P.
- 4:30pm - 5:00pm Reg A Token Equity/Debt (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 4:30pm - 5:00pm Reg A Token Equity/Debt (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 5:00pm - 6:00pm Meet Craig Phillips (Treasury) - Clayton, Jay
- 5:00pm - 6:00pm Meet Craig Phillips (Treasury) - Clayton, Jay

Friday, May 31

- HOLD - FINTECH FORUM 2019 (Washington, DC)
- HOLD - FINTECH FORUM 2019 (Washington, DC)

June 2019							July 2019						
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Monday, June 3

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 10:30am - 11:30am Meet re Kik (Ceremonial Room -- 10th floor, windows facing Capitol) - Mitchell, Brent S.
- 10:30am - 11:30am Meet re Kik (Ceremonial Room -- 10th floor, windows facing Capitol) - Mitchell, Brent S.
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie ☉
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie ☉
- 2:00pm - 3:00pm SEC 85th Anniversary and viewing of original Securities Exchange Act of 1934 (Station Place Auditorium & webcast) - SEC Internal Communication
- 2:00pm - 3:00pm SEC 85th Anniversary and viewing of original Securities Exchange Act of 1934 (Station Place Auditorium & webcast) - SEC Internal Communication
- 3:00pm - 3:30pm Roundtable update (Bill's office) - Garrison, Charles
- 3:00pm - 3:30pm Roundtable update (Bill's office) - Garrison, Charles
- 5:00pm - 5:30pm Bill / Valerie Update Call (call) - Seaman, Michael P.
- 5:00pm - 5:30pm Bill / Valerie Update Call (call) - Seaman, Michael P.

Tuesday, June 4

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☉
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☉
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 12:30pm - 1:30pm Follow-up to Society for Corporate Governance (4520) - Garrison, Charles
- 12:30pm - 1:30pm Follow-up to Society for Corporate Governance (4520) - Garrison, Charles
- 2:00pm - 3:00pm (Hold) SASB Meeting on Human Capital (4520) - Kohl, Lisa
- 2:00pm - 3:00pm (Hold) SASB Meeting on Human Capital (4520) - Kohl, Lisa
- 3:30pm - 4:00pm Prep call with Tapestry (WebEx Online) - Garrison, Charles
- 3:30pm - 4:00pm Prep call with Tapestry (WebEx Online) - Garrison, Charles
- 4:15pm - 4:45pm Mtg re PCC (4:15pm) (Chairman's Conference Room) - Memon, Sean
- 4:15pm - 4:45pm Mtg re PCC (4:15pm) (Chairman's Conference Room) - Memon, Sean

Wednesday, June 5

- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley ☉
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley ☉
- 3:00pm - 3:30pm Joint Statement on LIBOR Transition (7000 or Webex) - Luisi, Shelly C.
- 3:00pm - 3:30pm Joint Statement on LIBOR Transition (7000 or Webex) - Luisi, Shelly C.
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley ☉
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley ☉
- 4:00pm - 4:30pm Harvard Prep (WH Office) - Kohl, Lisa
- 4:00pm - 4:30pm Harvard Prep (WH Office) - Kohl, Lisa
- 4:30pm - 5:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 4:30pm - 5:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉

Thursday, June 6

- 10:00am - 11:00am 14a-8 (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 10:00am - 11:00am 14a-8 (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley ☉
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley ☉
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ☉
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ☉
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ☉
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ☉
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ☉
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ☉
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ☉
- 12:30pm - 4:00pm Travel to NY
- 12:30pm - 4:00pm Travel to NY
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ☉
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ☉
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ☉
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ☉
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ☉
- 4:45pm - 6:30pm ACLS Session: Dialogue with the SEC (Four Seasons Downtown - 27 Barclay Street - Greenwich Ballroom) - Margaret Adams
- 4:45pm - 6:30pm ACLS Session: Dialogue with the SEC (Four Seasons Downtown - 27 Barclay Street - Greenwich Ballroom) - Margaret Adams
- 5:00pm - 6:30pm Tapestry Audit Committee Leadership Summit (Four Seasons Downtown - 27 Barclay Street, New York, NY 10007) - Garrison, Charles
- 5:00pm - 6:30pm Tapestry Audit Committee Leadership Summit (Four Seasons Downtown - 27 Barclay Street, New York, NY 10007) - Garrison, Charles

Friday, June 7

- 10:00am - 11:00am Metrics (CF Only SP1 4520 Phone Small-12) - Walsh, Elizabeth
- 10:00am - 11:00am Metrics (CF Only SP1 4520 Phone Small-12) - Walsh, Elizabeth
- 11:00am - 11:30am Debt/Equity (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 11:00am - 11:30am Debt/Equity (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 11:30am - 12:00pm Meeting with OASB re outreach/concept release (4520) - Zepalka, Jennifer
- 11:30am - 12:00pm Meeting with OASB re outreach/concept release (4520) - Zepalka, Jennifer
- 1:30pm - 2:00pm Catch up (call) - Seaman, Michael P.
- 1:30pm - 2:00pm Catch up (call) - Seaman, Michael P.
- 2:00pm - 3:00pm Berkeley Prep Call - Seaman, Michael P.
- 2:00pm - 3:00pm Berkeley Prep Call - Seaman, Michael P.

June 10, 2019 -
June 16, 2019

June 2019

July 2019

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Monday, June 10

- 12:00am Fly to California →
- 12:00am Fly to California →
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie ☺
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie ☺
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber ☺
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber ☺
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester ☺
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester ☺

Tuesday, June 11

- 12:00am Fly to California →
- 12:00am Fly to California →
- Berkeley/SEC Conference (Berkeley, CA)
- Berkeley/SEC Conference (Berkeley, CA)
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☺
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☺
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay ☺
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☺
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☺
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay ☺
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☺
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☺
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie ☺
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie ☺

Wednesday, June 12

- Harvard Corporate Governance Roundtable
- Harvard Corporate Governance Roundtable
- 11:30am - 1:00pm Leadership Luncheon: Looking Toward the Future -- Diversity @ the SEC (MULTIPURPOSE ROOM) - Gibbs, Pamela
- 11:30am - 1:00pm Leadership Luncheon: Looking Toward the Future -- Diversity @ the SEC (MULTIPURPOSE ROOM) - Gibbs, Pamela
- 1:00pm - 2:00pm Mtg w/ Grayscale re: BTC ETP (RM 7000 / WebEx Online) - Coe, Michael E.
- 1:00pm - 2:00pm Mtg w/ Grayscale re: BTC ETP (RM 7000 / WebEx Online) - Coe, Michael E.
- 1:45pm - 2:15pm Urban Revitalization Coalition (Chairman's Conference Room) - Clayton, Jay
- 1:45pm - 2:15pm Urban Revitalization Coalition (Chairman's Conference Room) - Clayton, Jay
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 2:30pm - 3:30pm Prep re housing reform (Chairman's Conference Room) - Clayton, Jay
- 2:30pm - 3:30pm Prep re housing reform (Chairman's Conference Room) - Clayton, Jay
- 3:00pm - 4:30pm FSOC Digital Assets Working Group Senior Staff Meeting (Secretary's Large Conference Room (3327)) (b)(6)@treasury.gov
- 3:00pm - 4:30pm FSOC Digital Assets Working Group Senior Staff Meeting (Secretary's Large Conference Room (3327)) (b)(6)@treasury.gov

Thursday, June 13

- Harvard Corporate Governance Roundtable (Cambridge, MA)
- Harvard Corporate Governance Roundtable (Cambridge, MA)
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad ☺
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad ☺
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☺
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☺
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☺
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☺

Friday, June 14

- Flag Day (United States)
- Flag Day (United States)
- 11:00am - 12:00pm Meeting with Vanguard - Expected Index Inflows into China A Shares (Room 7000) - Orr, Andrea
- 11:00am - 12:00pm Meeting with Vanguard - Expected Index Inflows into China A Shares (Room 7000) - Orr, Andrea
- 1:30pm - 2:00pm Canadian Auditor Consents Mtg. (CF Only SP1 4520 Phone Small-12) - Hinman, William
- 1:30pm - 2:00pm Canadian Auditor Consents Mtg. (CF Only SP1 4520 Phone Small-12) - Hinman, William

June 2019							July 2019						
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Monday, June 17

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm FAF Trustee Chair - (please see text) (Bill's office) - Teotia, Sagar
- 1:00pm - 1:30pm FAF Trustee Chair - (please see text) (Bill's office) - Teotia, Sagar
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 2:30pm - 3:00pm DERA/Corp Fin Monthly Update (Bill's Office) - Hinman, William
- 2:30pm - 3:00pm DERA/Corp Fin Monthly Update (Bill's Office) - Hinman, William
- 2:30pm - 3:00pm DERA/Corp Fin Monthly Update (Bill's Office) - Hinman, William
- 2:30pm - 3:00pm DERA/Corp Fin Monthly Update (Bill's Office) - Hinman, William
- 3:00pm - 4:00pm 14a-8 (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:00pm - 4:00pm 14a-8 (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 4:00pm - 4:30pm Roundtable Update (Bill's office) - Garrison, Charles
- 4:00pm - 4:30pm Roundtable Update (Bill's office) - Garrison, Charles

Tuesday, June 18

- 10:00am - 10:30am Catch up (Lucas/Alan office) - Memon, Sean
- 10:00am - 10:30am Catch up (Lucas/Alan office) - Memon, Sean
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm DERA Analysis 404(b) (Chairman's Conference Room) - Gomez Abero, Sebastian
- 1:00pm - 1:30pm DERA Analysis 404(b) (Chairman's Conference Room) - Gomez Abero, Sebastian
- 1:30pm - 3:30pm 64th Annual SEC Awards (Station Place Auditorium; VTC or webcast) - SEC Internal Communication
- 1:30pm - 3:30pm 64th Annual SEC Awards (Station Place Auditorium; VTC or webcast) - SEC Internal Communication
- 3:30pm - 4:00pm FB mtg (Jeff's office) - Szczepanik, Valerie
- 3:30pm - 4:00pm FB mtg (Jeff's office) - Szczepanik, Valerie
- 4:00pm - 4:30pm [b](6)
- 4:00pm - 4:30pm [b](6)
- 4:30pm - 5:00pm Roundtable update (Bill's office) - Garrison, Charles
- 4:30pm - 5:00pm Roundtable update (Bill's office) - Garrison, Charles

Wednesday, June 19

- 9:30am - 10:00am filing review - Parratt, Shelley
- 9:30am - 10:00am filing review - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 11:00am - 11:30am Stone Ridge (IM Coordinating) (Chairman's Conference Room) - Clayton, Jay
- 11:00am - 11:30am Stone Ridge (IM Coordinating) (Chairman's Conference Room) - Clayton, Jay
- 12:15pm - 1:00pm Chief Counsel Final Interview w/ [b](6) Brett's Office) - McCray, Dontayshia(Contractor)
- 12:15pm - 1:00pm Chief Counsel Final Interview w/ [b](6) Brett's Office) - McCray, Dontayshia(Contractor)
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 4:00pm - 5:00pm Canceled: PLACEHOLDER for Chief Counsel Final Interview (Brett's Office) - McCray, Dontayshia(Contractor)
- 4:00pm - 5:00pm Canceled: PLACEHOLDER for Chief Counsel Final Interview (Brett's Office) - McCray, Dontayshia(Contractor)
- 4:30pm - 5:00pm IM proposed rulemaking -- leveraged ETFs (Bill's office) - Murphy, Elizabeth
- 4:30pm - 5:00pm IM proposed rulemaking -- leveraged ETFs (Bill's office) - Murphy, Elizabeth

Thursday, June 20

- 10:00am - 11:00am Rescheduled Filing Review Meeting (Bill's Office) - Kohl, Lisa
- 10:00am - 11:00am Rescheduled Filing Review Meeting (Bill's Office) - Kohl, Lisa
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:30am - 12:30pm Chief Counsel Final Interview w/ Emily Russell (Brett's Office) - McCray, Dontayshia(Contractor)
- 11:30am - 12:30pm Chief Counsel Final Interview w/ Emily Russell (Brett's Office) - McCray, Dontayshia(Contractor)
- 1:00pm - 2:30pm Digital Assets Working Group Follow-up Meeting with Facebook (Main Treasury, 1500 Pennsylvania Ave. NW, Diplomatic Reception Room (3311)) - [b](6)@treasury.gov
- 1:00pm - 2:30pm Digital Assets Working Group Follow-up Meeting with Facebook (Main Treasury, 1500 Pennsylvania Ave. NW, Diplomatic Reception Room (3311)) - [b](6)@treasury.gov
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 3:00pm - 4:00pm 14a-8 (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:00pm - 4:00pm 14a-8 (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 4:00pm - 5:00pm Canceled: Chief Counsel Final Interview w/ [b](6) Brett Redfearn's Office) - McCray, Dontayshia(Contractor)
- 4:00pm - 5:00pm Canceled: Chief Counsel Final Interview w/ [b](6) Brett Redfearn's Office) - McCray, Dontayshia(Contractor)

Friday, June 21

- 10:00am - 12:00pm Hold for Stakeholders Meeting (MultiPurpose Room) - Seaman, Michael P.
- 10:00am - 12:00pm Hold for Stakeholders Meeting (MultiPurpose Room) - Seaman, Michael P.
- 1:00pm - 2:00pm 14a-8 Rulemaking (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:00pm - 2:00pm 14a-8 Rulemaking (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.

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Monday, June 24

(b)(6)

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:30am - 12:00pm Token Filing Issues (Bill's Office) - Seaman, Michael P.
- 11:30am - 12:00pm Token Filing Issues (Bill's Office) - Seaman, Michael P.
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 3:00pm - 4:00pm Canceled: Chief Counsel Final Interview w/ (b)(6) (Brett's Office) - McCray, Dontayshia(Contractor)
- 3:00pm - 4:00pm Canceled: Chief Counsel Final Interview w/ (b)(6) (Brett's Office) - McCray, Dontayshia(Contractor)
- 4:00pm - 5:00pm Chief Counsel Final Interview w/ (b)(6) (Brett's Office) - McCray, Dontayshia(Contractor)
- 4:00pm - 5:00pm Chief Counsel Final Interview w/ (b)(6) (Brett's Office) - McCray, Dontayshia(Contractor)

Tuesday, June 25

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:30pm Sponsor Partner Corp. Briefing (4520) - Kohl, Lisa
- 11:30am - 12:30pm Sponsor Partner Corp. Briefing (4520) - Kohl, Lisa
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 1:00pm - 1:30pm Internal pre-meet re: EO (Chairman's Conf. Rm and (b)(6)) - Memon, Sean
- 1:00pm - 1:30pm Internal pre-meet re: EO (Chairman's Conf. Rm and (b)(6)) - Memon, Sean
- 2:00pm - 2:45pm Call re EO STRENGTHENING SECURITIES AND EXCHANGE COMMISSION DISCLOSURE REQUIREMENTS TO PROTECT INVESTORS FROM PRC ACTIVITIES (b)(6) Debacker, Devin A. EOP/WHO
- 2:00pm - 2:45pm Call re EO STRENGTHENING SECURITIES AND EXCHANGE COMMISSION DISCLOSURE REQUIREMENTS TO PROTECT INVESTORS FROM PRC ACTIVITIES (b)(6) Debacker, Devin A. EOP/WHO
- 3:00pm - 4:00pm hold for social event with award winners (TBD) - Parratt, Shelley
- 3:00pm - 4:00pm hold for social event with award winners (TBD) - Parratt, Shelley
- 4:30pm - 5:00pm Guide 3 (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 4:30pm - 5:00pm Guide 3 (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.

Wednesday, June 26

- 10:00am - 11:00am Groundfloor update (4520) - Garrison, Charles
- 10:00am - 11:00am Groundfloor update (4520) - Garrison, Charles
- 11:00am - 11:30am Hold for either FinHub discussion or realignment updae (Bill's Office) - Arietti Gold, Charlene
- 11:00am - 11:30am Hold for either FinHub discussion or realignment updae (Bill's Office) - Arietti Gold, Charlene
- 11:30am - 12:00pm (b)(6)
- 11:30am - 12:00pm (b)(6)
- 12:15pm - 1:15pm Lunch w/ Bill Hinman - Clayton, Jay
- 12:15pm - 1:15pm Lunch w/ Bill Hinman - Clayton, Jay
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 2:30pm (Catch-up) - Seaman, Michael P.
- 2:00pm - 2:30pm (Catch-up) - Seaman, Michael P.
- 2:30pm - 3:00pm Harmonization (Bill's Office) - Seaman, Michael P.
- 2:30pm - 3:00pm Harmonization (Bill's Office) - Seaman, Michael P.
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 3:30pm Coordinating FOI request (Your office) - Arietti Gold, Charlene
- 3:00pm - 3:30pm Coordinating FOI request (Your office) - Arietti Gold, Charlene
- 3:30pm - 4:30pm PharmaShares Briefing (4520) - Kohl, Lisa
- 3:30pm - 4:30pm PharmaShares Briefing (4520) - Kohl, Lisa

Thursday, June 27

- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 2:30pm - 3:00pm OCIE, GC, OCA Meeting w/ the Chairman (Chairman's Conference Room) - Blake, Kristene
- 2:30pm - 3:00pm OCIE, GC, OCA Meeting w/ the Chairman (Chairman's Conference Room) - Blake, Kristene

Friday, June 28

(b)(6)

July 1, 2019 -
July 7, 2019

July 2019						
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August 2019						
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Monday, July 1

(b)(6)

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Val/Bill Weekly Crypto Update (VS to Call WH) - Szczepanik, Valerie

Tuesday, July 2

(b)(6)

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley

Wednesday, July 3

(b)(6)

- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley

Thursday, July 4

(b)(6)

- Independence Day (United States)
- Independence Day (United States)
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley

Friday, July 5

(b)(6)

July 2019							August 2019						
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Monday, July 8

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber ☺
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber ☺
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester ☺
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester ☺

Tuesday, July 9

- 10:00am - 10:30am Conference Call: Divisions, OCIE, GC, OCA with Chairman Clayton (b)(6) - Clayton, Jay ☺
- 10:00am - 10:30am Conference Call: Divisions, OCIE, GC, OCA with Chairman Clayton - Clayton, Jay ☺
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☺
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☺
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☺
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☺
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☺
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☺
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie ☺
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie ☺
- 12:00pm - 1:00pm Blockstack / YouNow (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 12:00pm - 1:00pm Blockstack / YouNow (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:00pm - 2:00pm Weekly meeting - bring lunch (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:00pm - 2:00pm Weekly meeting - bring lunch (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 2:30pm - 3:30pm Metrics (CF Only SP1 4520 Phone Small-12) - Walsh, Elizabeth
- 2:30pm - 3:30pm Metrics (CF Only SP1 4520 Phone Small-12) - Walsh, Elizabeth
- 4:00pm - 4:30pm Guide 3 Updates/GC Comments (Bill's Office #4521) - Hinman, William
- 4:00pm - 4:30pm Guide 3 Updates/GC Comments (Bill's Office #4521) - Hinman, William
- 4:00pm - 4:30pm Guide 3 Updates/GC Comments (Bill's Office #4521) - Hinman, William
- 4:00pm - 4:30pm Guide 3 Updates/GC Comments (Bill's Office #4521) - Hinman, William
- 4:30pm - 5:00pm IM's leveraged ETFs proposal (webex) - Murphy, Elizabeth
- 4:30pm - 5:00pm IM's leveraged ETFs proposal (webex) - Murphy, Elizabeth

Wednesday, July 10

- 11:00am - 11:30am Small Business Forum / Advisory Committee Meeting Omaha (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 11:00am - 11:30am Small Business Forum / Advisory Committee Meeting Omaha (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 11:30am - 12:00pm OZ guidance (Bill's office) - Zepralka, Jennifer
- 11:30am - 12:00pm OZ guidance (Bill's office) - Zepralka, Jennifer
- 12:00pm - 12:30pm Vanguard/China A Shares (Bill's Office) - Kohl, Lisa
- 12:00pm - 12:30pm Vanguard/China A Shares (Bill's Office) - Kohl, Lisa
- 1:00pm - 1:30pm no-action letter update - CONFIRMED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:00pm - 1:30pm no-action letter update - CONFIRMED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 3:00pm - 3:30pm call re OZ
- 3:00pm - 3:30pm call re OZ
- 3:00pm - 3:30pm FW: Call to Discuss SEC Statement on Investing in Opportunity Zones (Conference Call) - Cox, Emory E. EOP/WHO
- 3:00pm - 3:30pm FW: Call to Discuss SEC Statement on Investing in Opportunity Zones (Conference Call) - Cox, Emory E. EOP/WHO
- 4:00pm - 4:30pm Axa call
- 4:00pm - 4:30pm Axa call
- 4:30pm - 5:00pm XBRL briefing (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:30pm - 5:00pm XBRL briefing (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley

Thursday, July 11

- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad ☺
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad ☺
- 10:00am - 10:30am Eurex Euro Stoxx Banks Futures (4520) - Garrison, Charles
- 10:00am - 10:30am Eurex Euro Stoxx Banks Futures (4520) - Garrison, Charles
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☺
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☺
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☺
- 11:00am - 12:00pm IP Guidance (Bill's Office) - Seaman, Michael P.
- 11:00am - 12:00pm IP Guidance (Bill's Office) - Seaman, Michael P.
- 1:00pm - 1:30pm Mylan (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm Mylan (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:30pm - 4:30pm catch up - Parratt, Shelley
- 3:30pm - 4:30pm catch up - Parratt, Shelley
- 5:00pm - 5:30pm Review HC and Budget Briefings for upcoming meetings (Bill's office) - Arietti Gold, Charlene
- 5:00pm - 5:30pm Review HC and Budget Briefings for upcoming meetings (Bill's office) - Arietti Gold, Charlene

Friday, July 12

- 10:00am - 10:45am Senior Special Counsel 2nd Round Interview #1 (Bill's Office #4521) - Johnson, Winter (Contractor) 🗓
- 10:00am - 10:45am Senior Special Counsel 2nd Round Interview #1 (Bill's Office #4521) - Johnson, Winter (Contractor) 🗓
- 11:00am - 12:00pm CII Meeting re: Rulemaking Petition on Non-GAAP Financials in Proxy Statement CD&A (4520) - Garrison, Charles
- 11:00am - 12:00pm CII Meeting re: Rulemaking Petition on Non-GAAP Financials in Proxy Statement CD&A (4520) - Garrison, Charles
- 1:30pm - 2:15pm ABS Pre-Meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:30pm - 2:15pm ABS Pre-Meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:15pm - 3:00pm Senior Special Counsel 2nd Round Interview #2 (Bill's Office #4521) - Johnson, Winter (Contractor) 🗓
- 2:15pm - 3:00pm Senior Special Counsel 2nd Round Interview #2 (Bill's Office #4521) - Johnson, Winter (Contractor) 🗓
- 4:00pm - 5:00pm Planning and Updates (Bill's Office) - Arietti Gold, Charlene
- 4:00pm - 5:00pm Planning and Updates (Bill's Office) - Arietti Gold, Charlene

July 15, 2019 -
July 21, 2019

July 2019						
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August 2019						
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Monday, July 15

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 11:45am ACI Preliminary Meeting (Bill's Office) - LaMothe, Cicely
- 11:00am - 11:45am ACI Preliminary Meeting (Bill's Office) - LaMothe, Cicely
- 2:00pm - 3:00pm 2019 Human Capital Review - Corp Fin (Conference Room 3903) - McNamara, James
- 2:00pm - 3:00pm 2019 Human Capital Review - Corp Fin (Conference Room 3903) - McNamara, James
- 4:00pm - 5:00pm Roundtable Panel Prep (Bill's Office) - Garrison, Charles
- 4:00pm - 5:00pm Roundtable Panel Prep (Bill's Office) - Garrison, Charles

Tuesday, July 16

- (b)(6)
- 8:30am - 9:30am Fireside Chat w/ Bill Hinman and David Hirschmann (US Chamber of Commerce, 1615 H Street, NW) - Clayton, Jay
- 8:30am - 9:30am Fireside Chat w/ Bill Hinman and David Hirschmann (US Chamber of Commerce, 1615 H Street, NW) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:45pm Senior Special Counsel 2nd Round Interview #3 (Bill's Office #4521) - Johnson, Winter (Contractor)
- 1:00pm - 1:45pm Senior Special Counsel 2nd Round Interview #3 (Bill's Office #4521) - Johnson, Winter (Contractor)
- 2:00pm - 3:00pm JPM - ABS Issues (Conference Room 3000) - Seaman, Michael P.
- 2:00pm - 3:00pm JPM - ABS Issues (Conference Room 3000) - Seaman, Michael P.
- 6:00pm - 6:30pm (b)(6)
- 6:00pm - 6:30pm (b)(6)

Wednesday, July 17

- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:00am Fwd: PCC on EO STRENGTHENING SECURITIES AND EXCHANGE COMMISSION DISCLOSURE REQUIREMENTS TO PROTECT INVESTORS FROM PRC ACTIVITIES (b)(6) - Morgen, Hunter M. EOP/WHO
- 10:30am - 11:00am Fwd: PCC on EO STRENGTHENING SECURITIES AND EXCHANGE COMMISSION DISCLOSURE REQUIREMENTS TO PROTECT INVESTORS FROM PRC ACTIVITIES (b)(6) - Morgen, Hunter M. EOP/WHO
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Roundtable Opening Remarks (Bill's office) - Garrison, Charles
- 1:30pm - 2:00pm Roundtable Opening Remarks (Bill's office) - Garrison, Charles
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:30pm - 4:30pm Supreme Court decision regarding FOIA and competitive harm (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:30pm - 4:30pm Supreme Court decision regarding FOIA and competitive harm (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:30pm - 5:00pm Bill/Betsy update (Bill's office) - Parratt, Shelley
- 4:30pm - 5:00pm Bill/Betsy update (Bill's office) - Parratt, Shelley
- 5:30pm - 6:00pm Quick Meeting re Roundtable (Bill's Office) - Garrison, Charles
- 5:30pm - 6:00pm Quick Meeting re Roundtable (Bill's Office) - Garrison, Charles

Thursday, July 18

- 8:00am - 10:00am (b)(6)
- 8:00am - 10:00am (b)(6)
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:30am - 12:30pm Block
- 11:30am - 12:30pm Block
- 12:30pm - 4:30pm Roundtable on Short-Termism (Auditorium) - Garrison, Charles
- 12:30pm - 4:30pm Roundtable on Short-Termism (Auditorium) - Garrison, Charles
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley

Friday, July 19

- (b)(6)

July 22, 2019 -
July 28, 2019

July 2019

August 2019

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Monday, July 22

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 2:30pm MD&A Rulemaking (Room 4520) - Kim, Angie
- 1:00pm - 2:30pm MD&A Rulemaking (Room 4520) - Kim, Angie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm Harmonization Meeting - Seaman, Michael P.
- 2:30pm - 3:00pm Harmonization Meeting - Seaman, Michael P.
- 3:00pm - 3:45pm Briefing: CF - Lee, Allison
- 3:00pm - 3:45pm Briefing: CF - Lee, Allison
- 5:00pm - 5:30pm Bill / Valerie weekly catch-up (phone) - Seaman, Michael P.
- 5:00pm - 5:30pm Bill / Valerie weekly catch-up (phone) - Seaman, Michael P.

Tuesday, July 23

- 9:30am - 10:30am CF Intern Breakfast Event (9th Floor Terrace) - Bloom, Luna
- 9:30am - 10:30am CF Intern Breakfast Event (9th Floor Terrace) - Bloom, Luna
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 12:30pm - 2:00pm LUNCH with William Hinman & Roel Campos (b)(6) ampos, Roel C.
- 12:30pm - 2:00pm LUNCH with William Hinman & Roel Campos (b)(6) ampos, Roel C.
- 1:30pm - 2:30pm Potential Waiver Briefing with Commissioner Lee - Seaman, Michael P.
- 1:30pm - 2:30pm Potential Waiver Briefing with Commissioner Lee - Seaman, Michael P.

Wednesday, July 24

- 9:00am - 9:30am (b)(6)
- 9:00am - 9:30am (b)(6)
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:30am - 11:00am touch base on OIG data request (BH or phone) - Parratt, Shelley
- 10:30am - 11:00am touch base on OIG data request (BH or phone) - Parratt, Shelley
- 11:00am - 12:00pm Filing Review Meeting (Bill's Office) - Walsh, Elizabeth
- 11:00am - 12:00pm Filing Review Meeting (Bill's Office) - Walsh, Elizabeth
- 1:00pm - 1:30pm IAC Executive Meeting (CF Only SP1 4520 Phone Small-12)
- 1:00pm - 1:30pm IAC Executive Meeting (CF Only SP1 4520 Phone Small-12)
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm SEC Town Hall (Station Place Auditorium and Webcast) - SEC Internal Communication
- 2:00pm - 3:00pm SEC Town Hall (Station Place Auditorium and Webcast) - SEC Internal Communication
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 3:30pm Hold for Corporate Secretaries/BRT Meeting (Ceremonial Room) - Kohl, Lisa
- 3:00pm - 3:30pm Hold for Corporate Secretaries/BRT Meeting (Ceremonial Room) - Kohl, Lisa
- 4:00pm - 6:00pm Farewell Celebration for Lucas -- July 24th, 4-6pm (10th Floor Terrace) - Memon, Sean
- 4:00pm - 6:00pm Farewell Celebration for Lucas -- July 24th, 4-6pm (10th Floor Terrace) - Memon, Sean
- 4:30pm - 5:00pm Filing Fee Tagging Discussion (Bill's Office) - Garrison, Charles
- 4:30pm - 5:00pm Filing Fee Tagging Discussion (Bill's Office) - Garrison, Charles
- 6:30pm - 8:30pm (b)(6)
- 6:30pm - 8:30pm (b)(6)

Thursday, July 25

- (b)(6)
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:30am FMOC Meeting (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
- 10:30am - 11:30am FMOC Meeting (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 11:30am - 12:00pm Discuss PAF Revisions (4520) - Kohl, Lisa
- 11:30am - 12:00pm Discuss PAF Revisions (4520) - Kohl, Lisa
- 3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P.
- 3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P.

Friday, July 26

July 2019							August 2019							
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa	
		1	2	3	4	5	6				1	2	3	
7	8	9	10	11	12	13		4	5	6	7	8	9	10
14	15	16	17	18	19	20		11	12	13	14	15	16	17
21	22	23	24	25	26	27		18	19	20	21	22	23	24
28	29	30	31					25	26	27	28	29	30	31

Monday, July 29

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 11:30am Review Budget Briefing for afternoon presentation (should take 15 minutes and we can use the end of the tag up if it runs short) (Room 4520) - Arietti Gold, Charlene
- 11:00am - 11:30am Review Budget Briefing for afternoon presentation (should take 15 minutes and we can use the end of the tag up if it runs short) (Room 4520) - Arietti Gold, Charlene
- 1:15pm - 2:00pm 3-10/3-16 Update (Bill's office) - Garrison, Charles
- 1:15pm - 2:00pm 3-10/3-16 Update (Bill's office) - Garrison, Charles
- 3:00pm - 4:00pm CF - FY 2020-2021 Budget Meeting (Webex (TBA) or CONF RM SP2 6280 Forum OCOO Only) - Planning and Budget Office
- 3:00pm - 4:00pm CF - FY 2020-2021 Budget Meeting (Webex (see below) or CONF RM SP2 6280 Forum OCOO Only) - Planning and Budget Office
- 3:00pm - 4:00pm CF - FY 2020-2021 Budget Meeting (Webex (TBA) or CONF RM SP2 6280 Forum OCOO Only) - Planning and Budget Office
- 3:00pm - 4:00pm CF - FY 2020-2021 Budget Meeting (Webex (see below) or CONF RM SP2 6280 Forum OCOO Only) - Planning and Budget Office
- 3:00pm - 4:00pm Canceled: CF - FY 2020-2021 Budget Meeting (Webex (TBA) or CONF RM SP2 6280 Forum OCOO Only) - Planning and Budget Office
- 3:00pm - 4:00pm Canceled: CF - FY 2020-2021 Budget Meeting (Webex (TBA) or CONF RM SP2 6280 Forum OCOO Only) - Planning and Budget Office
- 5:00pm - 5:30pm catch up - Parratt, Shelley
- 5:00pm - 5:30pm catch up - Parratt, Shelley

Tuesday, July 30

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm ACI Bi-Weekly Meeting (Bill's Office) - LaMothe, Cicely
- 1:00pm - 1:30pm ACI Bi-Weekly Meeting (Bill's Office) - LaMothe, Cicely
- 2:00pm - 3:00pm FW: LEAP Registration Notification: 278 Filer Training (TRAINING RM*SP2 2358) - LEAP@sec.gov
- 2:00pm - 3:00pm FW: LEAP Registration Notification: 278 Filer Training (TRAINING RM*SP2 2358) - LEAP@sec.gov

Wednesday, July 31

- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 11:00am - 12:00pm Waivers (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 11:00am - 12:00pm Waivers (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Potential Society 14a-8 Meeting - Seaman, Michael P.
- 2:00pm - 3:00pm Potential Society 14a-8 Meeting - Seaman, Michael P.
- 2:00pm - 3:00pm Society for Corporate Governance Re: 14a-8 No-Action Process (Conference Room 9280) - Bates, Hughes
- 2:00pm - 3:00pm Society for Corporate Governance Re: 14a-8 No-Action Process (Conference Room 9280) - Bates, Hughes
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:30pm - 4:30pm Qualified! - RESCHEDULED (area outside room 4523) - Parratt, Shelley
- 3:30pm - 4:30pm Qualified! - RESCHEDULED (area outside room 4523) - Parratt, Shelley

Thursday, August 1

- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 3:30pm - 4:30pm Sponsor Partners (4520) - Kohl, Lisa
- 3:30pm - 4:30pm Sponsor Partners (4520) - Kohl, Lisa

Friday, August 2

- 10:00am - 10:30am awards for counsels + others - Parratt, Shelley
- 10:00am - 10:30am awards for counsels + others - Parratt, Shelley
- 11:00am - 11:30am Hold for Forum Panel Discussion (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 11:00am - 11:30am Hold for Forum Panel Discussion (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 12:00pm - 1:00pm Catch-up - Seaman, Michael P.
- 12:00pm - 1:00pm Catch-up - Seaman, Michael P.

August 5, 2019 - August 11, 2019

August 2019						
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September 2019						
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Monday, August 5

- (b)(6)
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 2:00pm Prep Materials for Chairman meeting on Wednesday with Treasury re Cloud (Chairman's Conference Room (b)(6) Zerrusen, Kevin
- 1:00pm - 2:00pm Prep Materials for Chairman meeting on Wednesday with Treasury re Cloud (Chairman's Conference Room (b)(6) Zerrusen, Kevin
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester

Tuesday, August 6

- (b)(6)
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie

Wednesday, August 7

- (b)(6)
- 11:00am - 12:00pm CF/FinHub Update (Commissioner Roisman's Office) - Garrison, Charles
- 11:00am - 12:00pm CF/FinHub Update (Commissioner Roisman's Office) - Garrison, Charles
- 11:00am - 11:30am Cyber Briefing (The Chairman's Conference Room (b)(6) Clayton, Jay
- 11:00am - 11:30am Cyber Briefing (The Chairman's Conference Room (b)(6) Clayton, Jay
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 2:00pm Small Biz Forum - Harmonization Panel Planning Call (WebEx Online) - Riegel, Jennifer G.
- 1:00pm - 2:00pm Small Biz Forum - Harmonization Panel Planning Call (WebEx Online) - Riegel, Jennifer G.
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 6:30pm - 7:00pm
- 6:30pm - 7:00pm

Thursday, August 8

- (b)(6)
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 2:00pm - 3:00pm Hold~CF Town Hall (Multi-Purpose Center)
- 2:00pm - 3:00pm Hold~CF Town Hall (Multi-Purpose Center)

Friday, August 9

- (b)(6)

August 12, 2019 -
August 18, 2019

August 2019						
Su	Mo	Tu	We	Th	Fr	Sa
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11	12	13	14	15	16	17
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25	26	27	28	29	30	31

September 2019						
Su	Mo	Tu	We	Th	Fr	Sa
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15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

Monday, August 12

(b)(6)

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley

Tuesday, August 13

- 12:00am Small Business Events (Omaha, NE)
- 12:00am Small Business Events (Omaha, NE)
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 5:00pm - 6:00pm Opportunity Zone Tour (South entrance of the Mastercraft Building, 1111 North 13th Street, Omaha, NE 68102) - Davis, Julie Z.
- 5:00pm - 6:00pm Opportunity Zone Tour (South entrance of the Mastercraft Building, 1111 North 13th Street, Omaha, NE 68102) - Davis, Julie Z.

Wednesday, August 14

- 12:00am Small Business Events (Omaha, NE)
- 12:00am Small Business Events (Omaha, NE)
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley

Thursday, August 15

- 10:00am - 10:30am XBRL FAQs (phone) - Parratt, Shelley
- 10:00am - 10:30am XBRL FAQs (phone) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley

Friday, August 16

- 10:30am - 1:00pm Hold for Meeting - Seaman, Michael P.
- 10:30am - 1:00pm Hold for Meeting - Seaman, Michael P.
- 11:00am - 11:30am Treasury call (Bill's office) - Wood, Bryan
- 11:00am - 11:30am Treasury call (Bill's office) - Wood, Bryan
- 1:00pm - 1:30pm Accredited Investor (Bill's Office) - Seaman, Michael P.
- 1:00pm - 1:30pm Accredited Investor (Bill's Office) - Seaman, Michael P.
- 2:00pm - 3:00pm PAF Update (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 2:00pm - 3:00pm PAF Update (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa

August 19, 2019 -
August 25, 2019

August 2019							September 2019							
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa	
					1	2	3	1	2	3	4	5	6	7
4	5	6	7	8	9	10	8	9	10	11	12	13	14	
11	12	13	14	15	16	17	15	16	17	18	19	20	21	
18	19	20	21	22	23	24	22	23	24	25	26	27	28	
25	26	27	28	29	30	31	29	30						

Monday, August 19

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie ☺
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie ☺
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber ☺
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber ☺
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester ☺
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester ☺
- 4:00pm - 4:30pm Ruihua Briefing (4520) - Kohl, Lisa
- 4:00pm - 4:30pm Ruihua Briefing (4520) - Kohl, Lisa

Tuesday, August 20

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☺
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☺
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay ☺
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☺
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☺
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay ☺
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☺
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☺
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie ☺
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie ☺
- 12:00pm - 12:30pm Prep for Background Calls (WebEx Online) - Smith Costello, Chandler
- 12:00pm - 12:30pm Prep for Background Calls (WebEx Online) - Smith Costello, Chandler
- 2:00pm - 3:00pm 14a-8 (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:00pm - 3:00pm 14a-8 (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:30pm - 4:30pm Hold for Press Calls on PAF Guidance - Kohl, Lisa
- 2:30pm - 4:30pm Hold for Press Calls on PAF Guidance - Kohl, Lisa
- 2:30pm - 4:30pm Press Calls: Background Briefing (CR 8000) - Smith Costello, Chandler
- 2:30pm - 4:30pm Press Calls: Background Briefing (CR 8000) - Smith Costello, Chandler

Wednesday, August 21

- 10:00am - 11:00am OCM on PAF Interp - Kohl, Lisa
- 10:00am - 11:00am OCM on PAF Interp - Kohl, Lisa
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:30pm - 2:00pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 1:30pm - 2:00pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 3:00pm - 3:30pm Call w/ HFSC majority (4520) - Heiles, Holli
- 3:00pm - 3:30pm Call w/ HFSC majority (4520) - Heiles, Holli
- 4:00pm - 4:30pm Placeholder to discuss 14a-2(b) release (Bill's Office) - Bloom, Luna
- 4:00pm - 4:30pm Placeholder to discuss 14a-2(b) release (Bill's Office) - Bloom, Luna

Thursday, August 22

- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad ☺
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad ☺
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☺
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☺
- 5:00pm - 5:30pm catch up - Parratt, Shelley
- 5:00pm - 5:30pm catch up - Parratt, Shelley

Friday, August 23

(b)(6)

August 26, 2019 -
September 1, 2019

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September 2019						
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Monday, August 26

- (b)(6)
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
 - 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
 - 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
 - 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
 - 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
 - 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
 - 11:30am - 12:15pm CF Update - Lee, Allison
 - 11:30am - 12:15pm CF Update - Lee, Allison
 - 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
 - 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
 - 2:00pm - 2:30pm Waivers (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
 - 2:00pm - 2:30pm Waivers (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
 - 3:30pm - 4:00pm Call w/ Senate Banking majority on proxy guidance (4520) - Pandol, Holli
 - 3:30pm - 4:00pm Call w/ Senate Banking majority on proxy guidance (4520) - Pandol, Holli

Tuesday, August 27

- (b)(6)
- 10:10am - 10:30am Meeting w/ Sean, Bill, Kristy, Steph and Steve (Chairman's Office) - Clayton, Jay
 - 10:10am - 10:30am Meeting w/ Sean, Bill, Kristy, Steph and Steve (Chairman's Office) - Clayton, Jay
 - 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
 - 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
 - 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
 - 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
 - 2:00pm - 3:00pm Disclosure Program realignment update (Multipurpose room) - Moffatt, Kyle
 - 2:00pm - 3:00pm Disclosure Program realignment update (Multipurpose room) - Moffatt, Kyle
 - 3:00pm - 3:30pm pre-meet for Fed Mtg. (Bill's office) - Szczepanik, Valerie
 - 3:00pm - 3:30pm pre-meet for Fed Mtg. (Bill's office) - Szczepanik, Valerie

Wednesday, August 28

- (b)(6)
- 9:00am - 12:30pm Driver assigned Melvin Blocker - Transportation Request for 8/28/19 (William H. Hinman and Valerie Szczepanik) (From SEC to 1801 K Street, NW Washington, DC; Return to SEC) - Facilities Mailbox (HQ)
 - 9:00am - 12:30pm Driver assigned Melvin Blocker - Transportation Request for 8/28/19 (William H. Hinman and Valerie Szczepanik) (From SEC to 1801 K Street, NW Washington, DC; Return to SEC) - Facilities Mailbox (HQ)
 - 10:00am - 12:00pm Meeting at Fed re Libra (1801 K Street) - Szczepanik, Valerie
 - 10:00am - 12:00pm Meeting at Fed re Libra (1801 K Street) - Szczepanik, Valerie
 - 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
 - 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
 - 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
 - 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
 - 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
 - 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
 - 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
 - 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
 - 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
 - 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
 - 4:00pm - 5:00pm (b)(5)
 - 4:00pm - 5:00pm (b)(5)
 - 5:00pm - 5:45pm Article 11 Mtg. (CF Only SP1 4520 Phone Small-12) - Hinman, William
 - 5:00pm - 5:45pm Article 11 Mtg. (CF Only SP1 4520 Phone Small-12) - Hinman, William
 - 5:00pm - 5:45pm Article 11 Mtg. (CF Only SP1 4520 Phone Small-12) - Hinman, William
 - 5:00pm - 5:45pm Article 11 Mtg. (CF Only SP1 4520 Phone Small-12) - Hinman, William

Thursday, August 29

- (b)(6)
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
 - 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
 - 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
 - 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
 - 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
 - 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
 - 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
 - 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
 - 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
 - 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
 - 12:00pm - 1:00pm Meeting with Invesco (Chairman's Conference Room) - Memon, Sean
 - 12:00pm - 1:00pm Meeting with Invesco (Chairman's Conference Room) - Memon, Sean
 - 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
 - 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
 - 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
 - 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
 - 3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P.
 - 3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P.
 - 5:00pm - 5:30pm Jackson Meeting - Garrison, Charles
 - 5:00pm - 5:30pm Jackson Meeting - Garrison, Charles

Friday, August 30

(b)(6)

September 2, 2019 -
September 8, 2019

September 2019						
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October 2019						
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Monday, September 2

- Labor Day (United States)
- Labor Day (United States)
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester

Tuesday, September 3

- (b)(6)
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 12:00pm - 1:00pm (b)(6)
- 12:00pm - 1:00pm (b)(6)
- 2:00pm - 2:30pm Harmonization (Bill's Office) - Seaman, Michael P.
- 2:00pm - 2:30pm Harmonization (Bill's Office) - Seaman, Michael P.
- 3:00pm - 3:30pm Call with Aaron Wright (WebEx Online) - Seaman, Michael P.
- 3:00pm - 3:30pm Call with Aaron Wright (WebEx Online) - Seaman, Michael P.
- 3:30pm - 4:00pm Bob Buckholz Materials (Bill's Office) - Seaman, Michael P.
- 3:30pm - 4:00pm Bob Buckholz Materials (Bill's Office) - Seaman, Michael P.
- 5:15pm - 5:30pm Bill / Val Catch-up - Seaman, Michael P.
- 5:15pm - 5:30pm Bill / Val Catch-up - Seaman, Michael P.

Wednesday, September 4

- (b)(6)
- 12:00pm - 1:30pm Lunch with Brad Wendt (U.S. Treasury) and Bill Hinman (U.S. Securities and Exchange Commission) (U.S. Department of Treasury - 1500 Pennsylvania Avenue, NW) - (b)(6)@treasury.gov
- 12:00pm - 1:30pm Lunch with Brad Wendt (U.S. Treasury) and Bill Hinman (U.S. Securities and Exchange Commission) (U.S. Department of Treasury - 1500 Pennsylvania Avenue, NW) - (b)(6)@treasury.gov
- 12:30pm - 1:30pm Memri Briefing (Treasury) - Szczepanik, Valerie
- 12:30pm - 1:30pm Memri Briefing (Treasury) - Szczepanik, Valerie
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 3:00pm FSOC Digital Assets Working Group Senior Staff Meeting (Main Treasury, Diplomatic Reception Room (3311)) - (b)(6)@treasury.gov
- 1:30pm - 3:00pm FSOC Digital Assets Working Group Senior Staff Meeting (Main Treasury, Diplomatic Reception Room (3311)) - (b)(6)@treasury.gov
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley

Thursday, September 5

- (b)(6)
- 9:00am - 10:00am Call w Van Eck (Bill's office/dial in is (b)(6)) - Szczepanik, Valerie
- 9:00am - 10:00am Call w Van Eck (Bill's office/dial in is (b)(6)) - Szczepanik, Valerie
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 4:00pm - 4:30pm Commissioner Jackson Catch-up - Garrison, Charles
- 4:00pm - 4:30pm Commissioner Jackson Catch-up - Garrison, Charles

Friday, September 6

- (b)(6)
- 11:00am - 12:00pm Rulemaking Update (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 11:00am - 12:00pm Rulemaking Update (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 11:00am - 12:00pm Rulemaking Update (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 11:00am - 12:00pm Rulemaking Update (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa

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Monday, September 9

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie ☺
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie ☺
- 3:00pm - 4:00pm (b)(6)
- (b)(6)
- 3:00pm - 4:00pm (b)(6)
- (b)(6)

Tuesday, September 10

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☺
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☺
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 2:30pm - 3:00pm Discuss Overstock Letters (4520 and WebEx) - Henseler, Timothy
- 2:30pm - 3:00pm Discuss Overstock Letters (4520 and WebEx) - Henseler, Timothy
- 3:30pm - 4:00pm RM update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:30pm - 4:00pm RM update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:00pm - 4:30pm Meeting with Bill Hinman - Lee, Allison
- 4:00pm - 4:30pm Meeting with Bill Hinman - Lee, Allison

Wednesday, September 11

- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley ☺
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley ☺
- 11:00am - 11:30am waiver pre-meet
- 11:00am - 11:30am waiver pre-meet
- 11:30am - 12:00pm ABA TPs - Parratt, Shelley
- 11:30am - 12:00pm ABA TPs - Parratt, Shelley
- 12:30pm - 1:00pm Better Markets pre-meet (9000) - Conley, Michael A.
- 12:30pm - 1:00pm Better Markets pre-meet (9000) - Conley, Michael A.
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 2:00pm - 2:30pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 2:00pm - 2:30pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley ☺
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley ☺
- 3:30pm - 4:30pm Better Markets Mtg (TBD)
- 3:30pm - 4:30pm Better Markets Mtg (TBD)
- 3:30pm - 4:30pm Better Markets Meeting (4000) - Conley, Michael A.
- 3:30pm - 4:30pm Better Markets Meeting (4000) - Conley, Michael A.

Thursday, September 12

- 9:30am - 10:00am filing fee release briefing (4520) - Murphy, Elizabeth
- 9:30am - 10:00am filing fee release briefing (4520) - Murphy, Elizabeth
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley ☺
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley ☺
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas ☺
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas ☺
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas ☺
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas ☺
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas ☺
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean ☺
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas ☺
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean ☺
- 11:30am - 12:30pm Financial Management Oversight Committee (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
- 11:30am - 12:30pm Financial Management Oversight Committee (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
- 12:30pm - 2:00pm Commission Closed Meeting
- 12:30pm - 2:00pm Commission Closed Meeting
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ☺
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ☺
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ☺
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ☺
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ☺
- 2:30pm - 3:00pm Delinquent Filer Program Audit (Bill's Office) - Kohl, Lisa
- 2:30pm - 3:00pm Delinquent Filer Program Audit (Bill's Office) - Kohl, Lisa
- 3:00pm - 4:00pm ORS Informational Session (CF Only*SP1 3551 Training Room) - CF Business Management Office
- 3:00pm - 4:00pm ORS Informational Session (CF Only*SP1 3551 Training Room) - CF Business Management Office
- 3:00pm - 4:00pm Talking Points (Bill's Office) - Seaman, Michael P.
- 3:00pm - 4:00pm Talking Points (Bill's Office) - Seaman, Michael P.
- 5:00pm - 5:30pm Delinquent Filer Program Audit - rescheduled. (Bill's office) - Kohl, Lisa
- 5:00pm - 5:30pm Delinquent Filer Program Audit - rescheduled. (Bill's office) - Kohl, Lisa

Friday, September 13

- 10:00am - 1:00pm ABA Event (Dialogue with Director of CF) - Kohl, Lisa
- 10:00am - 1:00pm ABA Event (Dialogue with Director of CF) - Kohl, Lisa
- 2:00pm - 3:00pm Delinquent Filer Program Audit (CF Only SP1 4520 Phone Small-12) - Hinman, William
- 2:00pm - 3:00pm Delinquent Filer Program Audit (CF Only SP1 4520 Phone Small-12) - Hinman, William
- 3:00pm - 3:30pm Accredited Investor Update (Bill's Office) - Seaman, Michael P.
- 3:00pm - 3:30pm Accredited Investor Update (Bill's Office) - Seaman, Michael P.
- 3:30pm - 4:00pm waivers (Bill's Office) - Seaman, Michael P.
- 3:30pm - 4:00pm waivers (Bill's Office) - Seaman, Michael P.
- 4:00pm - 5:00pm Talking Points - Seaman, Michael P.
- 4:00pm - 5:00pm Talking Points - Seaman, Michael P.

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Monday, September 16

- 9:30am - 2:00pm NYSE Investor Relations Summit (11 Wall Street) - Garrison, Charles
- 9:30am - 2:00pm NYSE Investor Relations Summit (11 Wall Street) - Garrison, Charles
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 3:30pm - 4:00pm Prep for Kudlow Meeting (Chairman's Office / (b)(6)) Clayton, Jay
- 3:30pm - 4:00pm Prep for Kudlow Meeting (Chairman's Office / Clayton, Jay

Tuesday, September 17

- 9:30am - 2:00pm NYSE Investor Relations Summit (11 Wall Street) - Garrison, Charles
- 9:30am - 2:00pm NYSE Investor Relations Summit (11 Wall Street) - Garrison, Charles
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie

Wednesday, September 18

- 9:30am - 10:00am Meeting w/ Commissioner Roisman, Bill Hinman and OGC (Chairman's Office) - Clayton, Jay
- 9:30am - 10:00am Meeting w/ Commissioner Roisman, Bill Hinman and OGC (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Commission LIBOR Briefing (Closed Commission Room) - Kohl, Lisa
- 10:00am - 10:30am Commission LIBOR Briefing (Closed Commission Room) - Kohl, Lisa
- 10:00am - 10:30am Meeting w/ Sean, Alan, Bryan and Bill (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Meeting w/ Sean, Alan, Bryan and Bill (Chairman's Office) - Clayton, Jay
- 11:00am - 11:30am IM Release - Derivatives/Sales Practices (Bill's office) - Murphy, Elizabeth
- 11:00am - 11:30am IM Release - Derivatives/Sales Practices (Bill's office) - Garrison, Charles
- 11:00am - 11:30am IM Release - Derivatives/Sales Practices (Bill's office) - Murphy, Elizabeth
- 11:00am - 11:30am IM Release - Derivatives/Sales Practices (Bill's office) - Garrison, Charles
- 11:30am - 12:00pm Abra (4520) - Garrison, Charles
- 11:30am - 12:00pm Abra (4520) - Garrison, Charles
- 12:00pm - 12:30pm (b)(6)
- 12:00pm - 12:30pm (b)(6)
- 12:30pm - 2:00pm (b)(6)
- 12:30pm - 2:00pm (b)(6)
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:30pm - 5:00pm AML Stuff (call) - Seaman, Michael P.
- 4:30pm - 5:00pm AML Stuff (call) - Seaman, Michael P.
- 5:00pm - 5:15pm Brief Discussion w/Charlene (Bill's Office) - Hinman, William
- 5:00pm - 5:15pm Brief Discussion w/Charlene (Bill's Office) - Hinman, William

Thursday, September 19

- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am ETNs on Hypothetical Flex Options (4520) - Garrison, Charles
- 10:00am - 10:30am ETNs on Hypothetical Flex Options (4520) - Garrison, Charles
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Briefing on FY19 management assurance statement (4520) - Luisi, Shelly C.
- 10:30am - 11:00am Briefing on FY19 management assurance statement (4520) - Luisi, Shelly C.
- 11:00am - 11:30am Chat about 9/27 Society Event (Bill's Office) - Kohl, Lisa
- 11:00am - 11:30am Chat about 9/27 Society Event (Bill's Office) - Kohl, Lisa
- 2:00pm - 3:00pm NACD Meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:00pm - 3:00pm NACD Meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:30pm - 4:00pm Rescheduled ACI Update (Bill's Office) - LaMothe, Cicely
- 3:30pm - 4:00pm Rescheduled ACI Update (Bill's Office) - LaMothe, Cicely
- 4:30pm - 5:00pm Discuss 14a-2(b) (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 4:30pm - 5:00pm Discuss 14a-2(b) (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa

Friday, September 20

- 10:00am - 12:00pm Mtg. w Ripple (CONF RM*SP1 4000) - Szczepanik, Valerie
- 10:00am - 12:00pm Mtg. w Ripple (CONF RM*SP1 4000) - Szczepanik, Valerie
- 3:00pm - 3:30pm pn mtg
- 3:00pm - 3:30pm pn mtg

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Monday, September 23

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie ☉
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie ☉
- 3:00pm - 4:00pm Discuss MD&A Open Items (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 3:00pm - 4:00pm Discuss MD&A Open Items (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa

Tuesday, September 24

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☉
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☉
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 2:00pm - 2:45pm CF Update - Lee, Allison
- 2:00pm - 2:45pm CF Update - Lee, Allison
- 4:00pm - 5:30pm Hold for retirement party - Parratt, Shelley
- 4:00pm - 5:30pm Hold for retirement party - Parratt, Shelley

Wednesday, September 25

- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley ☉
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley ☉
- 11:00am - 11:30am Meeting w/ Bill Hinman and Commissioner Roisman (Chairman's Office) - Clayton, Jay
- 11:00am - 11:30am Meeting w/ Bill Hinman and Commissioner Roisman (Chairman's Office) - Clayton, Jay
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 2:00pm - 3:00pm Follow up on FMFIA statement (CF Only SP1 4520 Phone Small-12) - Luisi, Shelly C.
- 2:00pm - 3:00pm Follow up on FMFIA statement (CF Only SP1 4520 Phone Small-12) - Luisi, Shelly C.
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob ☉
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob ☉
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley ☉
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley ☉
- 5:00pm - 7:00pm (b)(6)
- 5:00pm - 7:00pm

Thursday, September 26

- 9:00am - 9:15am Pre-Brief for Reporter Call (Room 7630) - Smith Costello, Chandler
- 9:00am - 9:15am Pre-Brief for Reporter Call (Room 7630) - Smith Costello, Chandler
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley ☉
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley ☉
- 10:30am - 11:30am Reporter Background Call (Room 7630) - Smith Costello, Chandler
- 10:30am - 11:30am Reporter Background Call (Room 7630) - Smith Costello, Chandler
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas ☉
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas ☉
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas ☉
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas ☉
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas ☉
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean ☉
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas ☉
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean ☉
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ☉
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ☉
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ☉
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ☉
- 3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P. ☉
- 3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P. ☉
- 3:30pm - 4:30pm MD&A Discussion (WHH Office) - Kohl, Lisa
- 3:30pm - 4:30pm MD&A Discussion (WHH Office) - Kohl, Lisa

Friday, September 27

- (b)(6)
- 8:30am - 9:30am Society Eastern Regional Conference (Current @ Chelsea Piers) - Kohl, Lisa
- 8:30am - 9:30am Society Eastern Regional Conference (Current @ Chelsea Piers) - Kohl, Lisa
- 10:00am - 11:30am NIRI-CF Annual Meeting (Multipurpose Room) - Garrison, Charles
- 10:00am - 11:30am NIRI-CF Annual Meeting (Multipurpose Room) - Garrison, Charles

September 30, 2019 -
October 6, 2019

September 2019

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October 2019

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Monday, September 30

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:45pm - 1:15pm Chairman Clayton Meeting w/ Bill Hinman, Valerie Szczepanik and Stephanie Avakian (Chairman's Conference Room) - Blake, Kristene
- 12:45pm - 1:15pm Chairman Clayton Meeting w/ Bill Hinman, Valerie Szczepanik and Stephanie Avakian (Chairman's Conference Room) - Blake, Kristene
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm MD&A Interpretive Release Discussion (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 1:30pm - 2:00pm MD&A Interpretive Release Discussion (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 2:30pm - 3:00pm Conference Call: Meeting w/ Bill Hinman (b)(6) - Clayton, Jay
- 2:30pm - 3:00pm Conference Call: Meeting w/ Bill Hinman (b)(6) - Clayton, Jay
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 2:30pm - 3:00pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 4:00pm - 4:30pm Peirce Meeting (re-scheduled from 2:30 pm) - Garrison, Charles
- 4:00pm - 4:30pm Peirce Meeting (re-scheduled from 2:30 pm) - Garrison, Charles

Tuesday, October 1

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 12:30pm - 1:00pm Chairman Clayton Meeting w/ Sean, Bryan, Bill and Alan (Chairman's Office) - Blake, Kristene
- 12:30pm - 1:00pm Chairman Clayton Meeting w/ Sean, Bryan, Bill and Alan (Chairman's Office) - Blake, Kristene
- 3:30pm - 4:00pm pcc
- 3:30pm - 4:00pm pcc
- 3:30pm - 4:20pm INVITATION: Investor Protections in Relation to Non-Market Economies PCC, Oct. 1, 3:30pm - 4:20pm, (b)(6) - Burnes, Yen M. EOP/NSC
- 3:30pm - 4:20pm INVITATION: Investor Protections in Relation to Non-Market Economies PCC, Oct. 1, 3:30pm - 4:20pm, (b)(6) - Burnes, Yen M. EOP/NSC

Wednesday, October 2

- 10:00am - 11:00am Direct Listings Pre-Meeting (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 10:00am - 11:00am Direct Listings Pre-Meeting (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 12:00pm - 1:00pm catch up/sandwich - Parratt, Shelley
- 12:00pm - 1:00pm catch up/sandwich - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:00pm - 4:30pm MD+A - Parratt, Shelley
- 4:00pm - 4:30pm MD+A - Parratt, Shelley
- 5:00pm - 5:30pm Society of Corporate Governance - speaking prep (Bill's office) - Anderson, Michele
- 5:00pm - 5:30pm Society of Corporate Governance - speaking prep (Bill's office) - Anderson, Michele

Thursday, October 3

- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 1:00pm - 2:00pm Discuss 14a-8 Chart (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 1:00pm - 2:00pm Discuss 14a-8 Chart (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 1:45pm - 3:30pm Direct Listings Meeting (5280) - Kohl, Lisa
- 1:45pm - 3:30pm Direct Listings Meeting (5280) - Kohl, Lisa
- 2:00pm - 3:30pm Joe Grundfest Meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:00pm - 3:30pm Joe Grundfest Meeting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:30pm - 4:00pm ICO/DLT guidance efforts (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:30pm - 4:00pm ICO/DLT guidance efforts (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:15pm - 4:30pm Pre-Meet on Retail Access to Private Placements (WHH Office) - Kohl, Lisa
- 4:15pm - 4:30pm Pre-Meet on Retail Access to Private Placements (WHH Office) - Kohl, Lisa
- 4:30pm - 5:00pm IM Briefing on Retail Access to Private Investments (Chairman's Conference Room (b)(6)) - Clayton, Jay
- 4:30pm - 5:00pm IM Briefing on Retail Access to Private Investments (Chairman's Conference Room (b)(6)) - Clayton, Jay

Friday, October 4

- 10:00am - 11:00am Discuss Harmonization (WHH Office) - Kohl, Lisa
- 10:00am - 11:00am Discuss Harmonization (WHH Office) - Kohl, Lisa
- 11:00am - 11:45am CCLN October 28 pre-meeting discussion between SEC and Tapestry Networks (Dial in details enclosed; Kenmore) - Meagan Berglund
- 11:00am - 11:45am CCLN October 28 pre-meeting discussion between SEC and Tapestry Networks (Dial in details enclosed; Kenmore) - Meagan Berglund
- 12:30pm - 1:00pm (b)(6)
- 12:30pm - 1:00pm (b)(6)

October 7, 2019 -
October 13, 2019

October 2019						
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Monday, October 7

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:30am - 11:30am FMO- MA & OIT follow-up meeting (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
- 10:30am - 11:30am FMO- MA & OIT follow-up meeting (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
- 11:30am - 1:30pm Business Roundtable (BRT Offices) - Seaman, Michael P.
- 11:30am - 1:30pm Business Roundtable (BRT Offices) - Seaman, Michael P.
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 2:00pm - 3:00pm Opportunity for FGP panel to connect (OEEO conference room (SPIII 2908)) - Henry, Peter
- 2:00pm - 3:00pm Opportunity for FGP panel to connect (OEEO conference room (SPIII 2908)) - Henry, Peter
- 2:30pm - 3:30pm Confirmed: meeting with MassMutual regarding investor access to private equity (Ceremonial Room) - Gomez Abero, Sebastian
- 2:30pm - 3:30pm Confirmed: meeting with MassMutual regarding investor access to private equity (Ceremonial Room) - Gomez Abero, Sebastian
- 4:00pm - 5:00pm FW: SEC/FINRA Meeting - William Hinman and Jennifer Zepalka with Robert Cook and Bob Colby (CONF RM SP1 6000 VTC/WebEx Large-40) - Cook, Robert
- 4:00pm - 5:00pm FW: SEC/FINRA Meeting - William Hinman and Jennifer Zepalka with Robert Cook and Bob Colby (CONF RM SP1 6000 VTC/WebEx Large-40) - Cook, Robert
- 4:00pm - 4:30pm (b)(5) - Ceremonial Room - Dinwoodie, Jeffrey T.
- 4:00pm - 4:30pm (b)(5) - Ceremonial Room - Dinwoodie, Jeffrey T.

Tuesday, October 8

- 10:00am - 11:00am Reminder: Redacted Exhibit Assessments Discussion (Room 3551 - CF Training Room) - CF-Knowledge Management Office
- 10:00am - 11:00am Reminder: Redacted Exhibit Assessments Discussion (Room 3551 - CF Training Room) - CF-Knowledge Management Office
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 4:30pm Hold for Meeting - Kohl, Lisa
- 1:00pm - 4:30pm Hold for Meeting - Kohl, Lisa
- 1:00pm - 1:15pm Call w/ Alan, Bill, Bryan and Sean (Chairman's Office / (b)(6)) - Clayton, Jay
- 1:00pm - 1:15pm Call w/ Alan, Bill, Bryan and Sean (Chairman's Office / (b)(6)) - Clayton, Jay

Wednesday, October 9

(b)(6)

- 10:00am - 10:30am Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 11:00am - 11:30am Quick 14a-8 Pre-Meet (WHH Office) - Kohl, Lisa
- 11:00am - 11:30am Quick 14a-8 Pre-Meet (WHH Office) - Kohl, Lisa
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Goldman - Direct Listings (4280) - Garrison, Charles
- 2:00pm - 3:00pm Goldman - Direct Listings (4280) - Garrison, Charles
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:30pm - 4:30pm 3-05 Discussion Sheet (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 3:30pm - 4:30pm 3-05 Discussion Sheet (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 5:00pm - 5:30pm Rob Jackson/Allison Lee/Bill Hinman Meeting (Rob's Office) - Jackson, Rob
- 5:00pm - 5:30pm Rob Jackson/Allison Lee/Bill Hinman Meeting (Rob's Office) - Jackson, Rob

Thursday, October 10

(b)(6)

- 10:00am - 11:00am Hold for Meeting w/ U.S. SIF re: ESG Metrics (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 10:00am - 11:00am Hold for Meeting w/ U.S. SIF re: ESG Metrics (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 1:00pm - 2:00pm Hold for Next Generation Professionals Event (TBD) - Kohl, Lisa
- 1:00pm - 2:00pm Hold for Next Generation Professionals Event (TBD) - Kohl, Lisa
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 2:00pm - 3:00pm 14a-8 - No Action Policy Briefing (Suite 10300) - Lee, Allison
- 2:00pm - 3:00pm 14a-8 - No Action Policy Briefing (Suite 10300) - Lee, Allison
- 3:31pm - 4:31pm INX F-1 - Token Review Nearing Completion (Rm 4520) - Moffatt, Kyle
- 3:31pm - 4:31pm INX F-1 - Token Review Nearing Completion (Rm 4520) - Moffatt, Kyle

Friday, October 11

(b)(6)

- 11:00am - 11:30am A couple of updates (b)(6) Your office) - Arietti Gold, Charlene
- 11:00am - 11:30am A couple of updates (b)(6) Your office) - Arietti Gold, Charlene

October 14, 2019 -
October 20, 2019

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Monday, October 14

(b)(6)

- Columbus Day (United States)
- Columbus Day (United States)
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester

Tuesday, October 15

(b)(6)

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 12:00pm - 12:30pm FW: Telegram Update Call (call) - Campbell, Caitlyn
- 12:00pm - 12:30pm FW: Telegram Update Call (call) - Campbell, Caitlyn
- 4:00pm - 4:30pm Meeting with Commissioner Roisman (Roisman's office) - Garrison, Charles
- 4:00pm - 4:30pm Meeting with Commissioner Roisman (Roisman's office) - Garrison, Charles
- 4:30pm - 5:30pm Meeting with Mark Branson of FINMA (SEC HQ Rm 10120) - Macdonald, Morgan G
- 4:30pm - 5:30pm Meeting with Mark Branson of FINMA (SEC HQ Rm 10120) - Macdonald, Morgan G

Wednesday, October 16

(b)(6)

- 9:30am - 10:00am Quick CF Pre-Meet on Proxy Briefing (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 9:30am - 10:00am Quick CF Pre-Meet on Proxy Briefing (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 10:00am - 10:30am 14a briefing
- 10:00am - 10:30am 14a briefing
- 10:00am - 11:00am FW: Briefing on proxy rulemakings (Ceremonial Room) - McNair, Matt
- 10:00am - 11:00am FW: Briefing on proxy rulemakings (Ceremonial Room) - McNair, Matt
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm CF Leadership Training - Bill to do stop-by (TBD) - Garrison, Charles
- 1:00pm - 1:30pm CF Leadership Training - Bill to do stop-by (TBD) - Garrison, Charles
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 3:30pm Draft Libra Legislation Discussion (Bill's office) - Garrison, Charles
- 3:00pm - 3:30pm Draft Libra Legislation Discussion (Bill's office) - Garrison, Charles
- 4:20pm - 4:50pm Background Call with Reuters (Bill's office) - Garrison, Charles
- 4:20pm - 4:50pm Background Call with Reuters (Bill's office) - Garrison, Charles

Thursday, October 17

(b)(6)

- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Ceremonial Room) - Memon, Sean
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Ceremonial Room) - Memon, Sean
- 1:30pm - 2:30pm Discuss IM Comments on Accredited Investor (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:30pm - 2:30pm Discuss IM Comments on Accredited Investor (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.

Friday, October 18

(b)(6)

- 1:30pm - 2:00pm ENF Update (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:30pm - 2:00pm ENF Update (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:30pm - 3:00pm Discuss CarrierEQ (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:30pm - 3:00pm Discuss CarrierEQ (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.

October 2019							November 2019						
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Monday, October 21

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 12:00pm (b)(5)
- 11:00am - 12:00pm
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 2:00pm - 3:00pm Mtg. w Ripple counsel (DC office - Room 8000) - Szczepanik, Valerie
- 2:00pm - 3:00pm Mtg. w Ripple counsel (DC office - Room 8000) - Szczepanik, Valerie
- 3:30pm - 4:00pm INX Filing (Bill's Office) - Seaman, Michael P.
- 3:30pm - 4:00pm INX Filing (Bill's Office) - Seaman, Michael P.
- 4:30pm - 5:00pm Discuss Proxy Opening Statements (WHH Office) - Kohl, Lisa
- 4:30pm - 5:00pm Discuss Proxy Opening Statements (WHH Office) - Kohl, Lisa

Tuesday, October 22

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:00am - 11:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 11:00am - 11:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:30pm - 3:00pm China Issues (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:30pm - 3:00pm China Issues (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.

Wednesday, October 23

- 9:00am - 10:30am October FMO (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
- 9:00am - 10:30am October FMO (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:00am Brexit - commn briefing and review focus (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:30am - 11:00am Brexit - commn briefing and review focus (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 11:30am 14a-8 Program (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 11:00am - 11:30am 14a-8 Program (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 11:30am - 12:00pm 3-10/3-16 Discussion (Bill's office) - Garrison, Charles
- 11:30am - 12:00pm 3-10/3-16 Discussion (Bill's office) - Garrison, Charles
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 2:00pm INVITATION: PCC Meeting on U.S. Investor Protections, Oct 23, 1:00pm - 2:00pm, EEOB 230A (EEOB 230A) - CR-NSC-INTECON
- 1:00pm - 2:00pm INVITATION: PCC Meeting on U.S. Investor Protections, Oct 23, 1:00pm - 2:00pm, EEOB 230A (EEOB 230A) - CR-NSC-INTECON
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley

Thursday, October 24

- 9:45am - 9:55am Commissioner Lee Pre-Meeting (Bill's Office) - Seaman, Michael P.
- 9:45am - 9:55am Commissioner Lee Pre-Meeting (Bill's Office) - Seaman, Michael P.
- 10:00am - 11:00am Meet with Commissioner Lee on waivers (Lee's Office) - Seaman, Michael P.
- 10:00am - 11:00am Meet with Commissioner Lee on waivers (Lee's Office) - Seaman, Michael P.
- 10:00am - 11:00am Reminder: Shareholder Proposals Discussion (Room 3551- CF Training Room) - CF-Knowledge Management Office
- 10:00am - 11:00am Reminder: Shareholder Proposals Discussion (Room 3551- CF Training Room) - CF-Knowledge Management Office
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:00am - 11:30am restatement issue - Parratt, Shelley
- 11:00am - 11:30am restatement issue - Parratt, Shelley
- 11:30am - 12:00pm discuss PLI TPs for proxy (BH) - Parratt, Shelley
- 11:30am - 12:00pm discuss PLI TPs for proxy (BH) - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 5:00pm - 5:30pm Tapestry Compensation Committee Event Discussion (Bill's office) - Garrison, Charles
- 5:00pm - 5:30pm Tapestry Compensation Committee Event Discussion (Bill's office) - Garrison, Charles

Friday, October 25

October 28, 2019 -
November 3, 2019

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Monday, October 28

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:30am - 11:00am HOLD: Call w/ Bill Hinman - Clayton, Jay
- 10:30am - 11:00am HOLD: Call w/ Bill Hinman - Clayton, Jay
- 11:00am - 12:00pm Meeting w/ Commissioner Roisman and Bill Hinman (Bill to join by phone) (b)(6) Chairman's NYRO Office / (b)(6) - Clayton, Jay
- 11:00am - 12:00pm Meeting w/ Commissioner Roisman and Bill Hinman (Bill to join by phone) (b)(6) Chairman's NYRO Office / (b)(6) - Clayton, Jay
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 3:30pm - 4:30pm Depart for 4 PM Tapestry Event at Mandarin Oriental - Garrison, Charles
- 3:30pm - 4:30pm Depart for 4 PM Tapestry Event at Mandarin Oriental - Garrison, Charles
- 4:00pm - 5:30pm HOLD for Tapestry Compensation Committee Leadership Network - Garrison, Charles
- 4:00pm - 5:30pm HOLD for Tapestry Compensation Committee Leadership Network - Garrison, Charles

Tuesday, October 29

- 9:00am - 11:00am HOLD for Tapestry Compensation Committee Leadership Network - Garrison, Charles
- 9:00am - 11:00am HOLD for Tapestry Compensation Committee Leadership Network - Garrison, Charles
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 11:45am SAFTs/PLI - quick catch up (WHH Office) - Kohl, Lisa
- 11:30am - 11:45am SAFTs/PLI - quick catch up (WHH Office) - Kohl, Lisa
- 1:00pm - 1:30pm Rob Jackson / Bill Hinman Monthly Catchup (Rescheduled) (Rob's Office) - Jackson, Rob
- 1:00pm - 1:30pm Rob Jackson / Bill Hinman Monthly Catchup (Rescheduled) (Rob's Office) - Jackson, Rob
- 1:30pm - 2:00pm Marijuana/Cannabis Companies (4520) - Garrison, Charles
- 1:30pm - 2:00pm Marijuana/Cannabis Companies (4520) - Garrison, Charles
- 2:30pm - 3:00pm Resource Extraction Proposing Release Update (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:30pm - 3:00pm Resource Extraction Proposing Release Update (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 4:00pm - 5:00pm Meeting with GTS (CONF RM SP1 4000 VTC/WebEx Large-41) - Seaman, Michael P.
- 4:00pm - 5:00pm Meeting with GTS (CONF RM SP1 4000 VTC/WebEx Large-41) - Seaman, Michael P.
- 6:30pm - 7:00pm (b)(6)

Wednesday, October 30

- Your Scheduled Refresh (SEC HQ) - OITRefreshProject
- Your Scheduled Refresh (SEC HQ) - OITRefreshProject
- 10:00am - 12:00pm Annual meeting with the Broadridge Steering Committee (Room 6000) - Kung, Felicia
- 10:00am - 12:00pm Annual meeting with the Broadridge Steering Committee (Room 6000) - Kung, Felicia
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Meeting with Invesco re: investor access to private equity (9280) - Gomez Abero, Sebastian
- 2:00pm - 3:00pm Meeting with Invesco re: investor access to private equity (9280) - Gomez Abero, Sebastian
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 4:30pm Reminder: Digital Assets and the Dark Web (Multipurpose Room) - CF-Knowledge Management Office
- 3:00pm - 4:30pm Reminder: Digital Assets and the Dark Web (Multipurpose Room) - CF-Knowledge Management Office
- 3:30pm - 4:30pm Cloud Storage (4520) - Niethamer, Jason
- 3:30pm - 4:30pm Cloud Storage (4520) - Niethamer, Jason
- 4:30pm - 5:00pm Discuss 14a-8 Proposal (CONF RM SP2 4280 VTC/WebEx Medium-23) - Phatak, Narahari
- 4:30pm - 5:00pm Discuss 14a-8 Proposal (CONF RM SP2 4280 VTC/WebEx Medium-23) - Phatak, Narahari
- 5:00pm - 5:30pm Review of EDGAR Incident (Bill's Office 4521) - Hickman, Jed
- 5:00pm - 5:30pm Review of EDGAR Incident (Bill's Office 4521) - Hickman, Jed

Thursday, October 31

- Halloween (United States)
- Halloween (United States)
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Toddler Parade (Outside Shelley + Bill's Office) - Kohl, Lisa
- 10:30am - 11:00am Toddler Parade (Outside Shelley + Bill's Office) - Kohl, Lisa
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 1:00pm - 1:30pm (b)(5) (4520) - Garrison, Charles
- 1:00pm - 1:30pm (b)(5) (4520) - Garrison, Charles
- 2:00pm - 2:30pm Call with Ben Bain (Bill's office) - Strom, Natalie
- 2:00pm - 2:30pm Call with Ben Bain (Bill's office) - Strom, Natalie
- 3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P.
- 3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P.
- 3:30pm - 4:00pm Accredited Investor Release Meeting (Dalia's Office (8518)) - Blass, Dalia
- 3:30pm - 4:00pm Accredited Investor Release Meeting (Dalia's Office (8518)) - Blass, Dalia

Friday, November 1

- 1:30pm - 4:30pm meet individually with Big 4 accounting firms re: China (NYC) - Teotia, Sagar
- 1:30pm - 4:30pm meet individually with Big 4 accounting firms re: China (NYC) - Teotia, Sagar
- 1:30pm - 2:00pm Meeting w/ Ernst & Young (NYRO 4th Floor Conference Room / (b)(6) Clayton, Jay
- 1:30pm - 2:00pm Meeting w/ Ernst & Young (NYRO 4th Floor Conference Room / (b)(6) Clayton, Jay
- 1:30pm - 4:30pm Canceled: OCA Meetings (NYRO) - Clayton, Jay
- 1:30pm - 4:30pm Canceled: OCA Meetings (NYRO) - Clayton, Jay
- 2:15pm - 2:45pm Meeting w/ Deloitte (NYRO Room 423) - Clayton, Jay
- 2:15pm - 2:45pm Meeting w/ Deloitte (NYRO Room 423) - Clayton, Jay
- 3:00pm - 3:30pm Meeting w/ KPMG (NYRO 4th Floor Conference Room) - Clayton, Jay
- 3:00pm - 3:30pm Meeting w/ KPMG (NYRO 4th Floor Conference Room) - Clayton, Jay
- 3:45pm - 4:15pm Meeting w/ PricewaterhouseCoopers (NYRO Room 423) - Clayton, Jay
- 3:45pm - 4:15pm Meeting w/ PricewaterhouseCoopers (NYRO Room 423) - Clayton, Jay

November 4, 2019 -
November 10, 2019

November 2019

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Monday, November 4

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm Background Call Pre-brief (Bill's Office) - Smith Costello, Chandler
- 1:00pm - 1:30pm Background Call Pre-brief (Bill's Office) - Smith Costello, Chandler
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 2:00pm - 3:00pm Meeting with Vanguard re: investor access to private equity (Ceremonial Room) - Gomez Abero, Sebastian
- 2:00pm - 3:00pm Meeting with Vanguard re: investor access to private equity (Ceremonial Room) - Gomez Abero, Sebastian
- 3:00pm - 4:30pm Press Calls - Seaman, Michael P.
- 3:00pm - 4:30pm Press Calls - Seaman, Michael P.
- 3:00pm - 4:00pm HOLD: Reporter Background Briefing(s) (Conference Room by Bill's Office) - Smith Costello, Chandler
- 3:00pm - 4:00pm HOLD: Reporter Background Briefing(s) (Conference Room by Bill's Office) - Smith Costello, Chandler
- 4:30pm - 4:45pm MS Waiver (Bill's Office) - Seaman, Michael P.
- 4:30pm - 4:45pm MS Waiver (Bill's Office) - Seaman, Michael P.
- 5:00pm - 5:15pm Catch up (b)(6) - Memon, Sean
- 5:00pm - 5:15pm Catch up - Memon, Sean

Tuesday, November 5

- Election Day (United States)
- Election Day (United States)
- 9:00am - 5:30pm PLI - NY - Garrison, Charles
- 9:00am - 5:30pm PLI - NY - Garrison, Charles
- 10:00am - 11:00am OCM - 14a-8 & 14a-2(b) - Kohl, Lisa
- 10:00am - 11:00am OCM - 14a-8 & 14a-2(b) - Kohl, Lisa
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:00pm - 1:00pm Closed Commission Meeting - Seaman, Michael P.
- 12:00pm - 1:00pm Closed Commission Meeting - Seaman, Michael P.
- 1:10pm - 2:10pm PLI - Corp Fin Hot Topics - Garrison, Charles
- 1:10pm - 2:10pm PLI - Corp Fin Hot Topics - Garrison, Charles
- 2:00pm - 2:30pm IM comments on Accredited Investor (Bill's Office) - Seaman, Michael P.
- 2:00pm - 2:30pm IM comments on Accredited Investor (Bill's Office) - Seaman, Michael P.
- 2:40pm - 3:00pm Call w/ Sen. Crapo staff on proxy (4520) - Pandol, Holli Heiles
- 2:40pm - 3:00pm Call w/ Sen. Crapo staff on proxy (4520) - Pandol, Holli Heiles
- 3:00pm - 3:30pm Hold for HFSC Majority Call re: Proxy (TBD) - Kohl, Lisa
- 3:00pm - 3:30pm Hold for HFSC Majority Call re: Proxy (TBD) - Kohl, Lisa
- 4:00pm - 4:30pm Call with Matt Levine (4520) - Smith Costello, Chandler
- 4:00pm - 4:30pm Call with Matt Levine (4520) - Smith Costello, Chandler
- 4:30pm - 5:30pm Hold for 10th Floor Celebration - Kohl, Lisa
- 4:30pm - 5:30pm Hold for 10th Floor Celebration - Kohl, Lisa

Wednesday, November 6

- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 11:00am - 11:30am LIBOR TIA issues (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 11:30am LIBOR TIA issues (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm Hold for Call w/ Sen. Brown Staff (TBD) - Kohl, Lisa
- 1:00pm - 1:30pm Hold for Call w/ Sen. Brown Staff (TBD) - Kohl, Lisa
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm FW: Premeet on Schatz call (TBD) - Pandol, Holli Heiles
- 1:30pm - 2:00pm FW: Premeet on Schatz call (TBD) - Pandol, Holli Heiles
- 2:30pm - 3:00pm IAC Exec Committee Meeting (Ceremonial Room) - Hinman, William
- 2:30pm - 3:00pm IAC Exec Committee Meeting (Ceremonial Room) - Hinman, William
- 2:30pm - 3:00pm IAC Exec Committee Meeting (Ceremonial Room) - Hinman, William
- 2:30pm - 3:00pm IAC Exec Committee Meeting (Ceremonial Room) - Hinman, William
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 4:00pm - 4:30pm Call with T Rowe re: investor access to private equity (TBD and WebEx) - Gomez Abero, Sebastian
- 4:00pm - 4:30pm Call with T Rowe re: investor access to private equity (TBD and WebEx) - Gomez Abero, Sebastian

Thursday, November 7

- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 2:00pm - 2:30pm Call w/ Sen. Schatz staff (4520) - Pandol, Holli Heiles
- 2:00pm - 2:30pm Call w/ Sen. Schatz staff (4520) - Pandol, Holli Heiles
- 3:00pm - 4:00pm Meeting with Council of Institutional Investors (CII) (Conference Room 8000) - Blass, Dalia
- 3:00pm - 4:00pm Meeting with Council of Institutional Investors (CII) (Conference Room 8000) - Blass, Dalia

Friday, November 8

- 10:30am - 11:00am First 14a-8 Chart Responses (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 10:30am - 11:00am First 14a-8 Chart Responses (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.

November 11, 2019 -
November 17, 2019

November 2019

December 2019

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Monday, November 11

- Veteran's Day (United States)
- Veteran's Day (United States)
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester

Tuesday, November 12

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm areas of corporate governance focus - RESCHEDULED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:30am - 12:00pm areas of corporate governance focus - RESCHEDULED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 1:00pm - 1:30pm FY19 GAO Audit Exit Conference (Ceremonial Room) - Kauffman, Caryn
- 1:00pm - 1:30pm FY19 GAO Audit Exit Conference (Ceremonial Room) - Kauffman, Caryn
- 2:00pm - 2:30pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 2:00pm - 2:30pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay

Wednesday, November 13

- 10:00am - 11:00am Interagency Policy Coordination Meeting (EEOB 208 (Cordell Hull Room)) - Ziegler, Garrett M. EOP/WHO
- 10:00am - 11:00am Interagency Policy Coordination Meeting (EEOB 208 (Cordell Hull Room)) - Ziegler, Garrett M. EOP/WHO
- 11:00am - 11:30am Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 11:00am - 11:30am Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 3:30pm Accelerated Filer Release (Bill's Office) - Seaman, Michael P.
- 3:00pm - 3:30pm Accelerated Filer Release (Bill's Office) - Seaman, Michael P.

Thursday, November 14

- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 1:00pm - 3:00pm LEAP Registration Notification: MANDATORY: A Proactive Management Approach to Conduct and Performance (Conference Room Large SP1 #4000) - (b)(6)
- 1:00pm - 3:00pm LEAP Registration Notification: MANDATORY: A Proactive Management Approach to Conduct and Performance (Conference Room Large SP1 #4000) - (b)(6)
- 3:00pm - 4:00pm (b)(6)
- 3:00pm - 4:00pm (b)(6)
- 3:00pm - 4:00pm Demonstration of Director's Dashboard (4520) - Stehlik, Michael
- 3:00pm - 4:00pm Demonstration of Director's Dashboard (4520) - Stehlik, Michael
- 3:45pm - 4:00pm JPM / Tocaya (Bill's Office) - Seaman, Michael P.
- 3:45pm - 4:00pm JPM / Tocaya (Bill's Office) - Seaman, Michael P.
- 4:00pm - 5:30pm (b)(6)
- 4:00pm - 5:30pm (b)(6)

Friday, November 15

- 10:00am - 11:00am Direct Listing Discussion (b)(6) Sheffield, Jennifer [ICG-BCMA]
- 10:00am - 11:00am Direct Listing Discussion (b)(6) Sheffield, Jennifer [ICG-BCMA]
- 10:00am - 11:00am Hold - SEC Meeting in Washington DC - Keene, Mark [ICG-BCMA]
- 10:00am - 11:00am Hold - SEC Meeting in Washington DC - Keene, Mark [ICG-BCMA]
- 11:00am - 11:30am (Rescheduled Meeting w/ Roisman) - Kohl, Lisa
- 11:00am - 11:30am (Rescheduled Meeting w/ Roisman) - Kohl, Lisa
- 2:00pm - 2:30pm catch up - Parratt, Shelley
- 2:00pm - 2:30pm catch up - Parratt, Shelley

November 18, 2019 -
November 24, 2019

November 2019						
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December 2019						
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Monday, November 18

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 12:30pm FSOC Digital Assets Working Group Senior Staff Meeting (Main Treasury [Room TBD]) - [redacted]@treasury.gov
- 11:00am - 12:30pm FSOC Digital Assets Working Group Senior Staff Meeting (Main Treasury Building, Diplomatic Reception Room (3311)) - [redacted]@treasury.gov
- 11:00am - 12:30pm FSOC Digital Assets Working Group Senior Staff Meeting (Main Treasury [Room TBD]) - [redacted]@treasury.gov
- 11:00am - 12:30pm FSOC Digital Assets Working Group Senior Staff Meeting (Main Treasury Building, Diplomatic Reception Room (3311)) - [redacted]@treasury.gov
- 12:00pm - 12:30pm Markets Report Update (Chairman's Conference Room / [redacted]) - Clayton, Jay
- 12:00pm - 12:30pm Markets Report Update (Chairman's Conference Room / [redacted]) - Clayton, Jay
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 2:30pm - 3:00pm Meeting w/ John Finley (Blackstone) (Chairman's Conference Room) - Clayton, Jay
- 2:30pm - 3:00pm Meeting w/ John Finley (Blackstone) (Chairman's Conference Room) - Clayton, Jay
- 4:00pm - 4:30pm Brief Discussion w/Valerie S. (Bill's Office) - Hinman, William
- 4:00pm - 4:30pm Brief Discussion w/Valerie S. (Bill's Office) - Hinman, William
- 4:30pm - 5:30pm catch up - Parratt, Shelley
- 4:30pm - 5:30pm catch up - Parratt, Shelley

Tuesday, November 19

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Meeting with Fidelity re: investor access to private equity (10120) - Gomez Abero, Sebastian
- 2:00pm - 3:00pm Meeting with Fidelity re: investor access to private equity (10120) - Gomez Abero, Sebastian
- 3:30pm - 4:00pm holding for GAO discussion - Parratt, Shelley
- 3:30pm - 4:00pm holding for GAO discussion - Parratt, Shelley
- 4:00pm - 4:30pm Buybacks Meeting (Bill's Office 4521) - Seaman, Michael P.
- 4:00pm - 4:30pm Buybacks Meeting (Bill's Office 4521) - Seaman, Michael P.

Wednesday, November 20

- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 1:00pm - 1:30pm MMI NAL (WHH Office) - Kohl, Lisa
- 1:00pm - 1:30pm MMI NAL (WHH Office) - Kohl, Lisa
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm DFP - IG audit (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm DFP - IG audit (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:30pm - 4:00pm Direct Listings Meeting - Jeff Solomon (Cowen) (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 3:30pm - 4:00pm Direct Listings Meeting - Jeff Solomon (Cowen) (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 3:30pm - 4:00pm Meeting Bill Hinman and Jeff Solomon (100 F Street NE, Washington DC 20549) - Orange, Tyra
- 3:30pm - 4:00pm Meeting Bill Hinman and Jeff Solomon (100 F Street NE, Washington DC 20549) - Orange, Tyra
- 4:00pm - 4:30pm CDI 203.17 Discussion (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 4:00pm - 4:30pm CDI 203.17 Discussion (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 5:00pm - 5:30pm Meet w/ C. Jackson (C. Jackson Office) - Kohl, Lisa
- 5:00pm - 5:30pm Meet w/ C. Jackson (C. Jackson Office) - Kohl, Lisa

Thursday, November 21

- [redacted]
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:30am - 11:00am Thrivent - mandatory arbitration (Bill's office) - Anderson, Michele
- 10:30am - 11:00am Thrivent - mandatory arbitration (Bill's office) - Anderson, Michele
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 12:00pm - 12:30pm [redacted]
- 12:00pm - 12:30pm [redacted]
- 12:15pm - 2:15pm [redacted]
- [redacted]
- 12:15pm - 2:15pm [redacted]
- [redacted]
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 2:45pm - 3:15pm TIA LIBOR (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:45pm - 3:15pm TIA LIBOR (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:30pm - 4:00pm Circle up on GAO response (ESG) (4520) - Luisi, Shelly C.
- 3:30pm - 4:00pm Circle up on GAO response (ESG) (4520) - Luisi, Shelly C.

Friday, November 22

- 10:30am - 11:00am Trust Indenture Act Discussion (dial in: [redacted]) - Wipf, Thomas
- 10:30am - 11:00am Trust Indenture Act Discussion (dial in: [redacted]) - Wipf, Thomas

November 25, 2019 -
December 1, 2019

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Monday, November 25

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 2:00pm - 3:00pm Ripple Mtg. w Counsel (Room 3004/ WebEx Online) - Szczepanik, Valerie
- 2:00pm - 3:00pm Ripple Mtg. w Counsel (Room 3004/ WebEx Online) - Szczepanik, Valerie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 3:00pm - 3:30pm MGEX Issue (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 3:00pm - 3:30pm MGEX Issue (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 3:30pm - 4:00pm TIA/LIBOR Update (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 3:30pm - 4:00pm TIA/LIBOR Update (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 4:00pm - 4:30pm Peirce Meeting - Seaman, Michael P.
- 4:00pm - 4:30pm Peirce Meeting - Seaman, Michael P.

Tuesday, November 26

- (b)(6)
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie

Wednesday, November 27

- (b)(6)
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 3:30pm Call w/Redfearn & Hinman: Spikes Securities Future Trading on MGEX (Dial In: (b)(6)) - Redfearn, Brett
- 3:00pm - 3:30pm Call w/Redfearn & Hinman: Spikes Securities Future Trading on MGEX (Dial In: (b)(6)) - Redfearn, Brett

Thursday, November 28

- (b)(6)
- Thanksgiving Day (United States)
- Thanksgiving Day (United States)
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 11:00am - 11:30am STRIKES Call: SEC - MIAAX (Gallagher) (call - dial in below) - Redfearn, Brett
- 11:00am - 11:30am STRIKES Call: SEC - MIAAX (Gallagher) (call - dial in below) - Redfearn, Brett
- 3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P.
- 3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P.

Friday, November 29

- (b)(6)
- 9:00am - 9:30am MGEX (WebEx Online) - McGee, Carol
- 9:00am - 9:30am MGEX (WebEx Online) - McGee, Carol

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Monday, December 2

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 12:00pm *logistics added* Town Hall with Chairman Jay Clayton (Station Place Auditorium & webcast) - SEC Internal Communication
- 11:00am - 12:00pm *logistics added* Town Hall with Chairman Jay Clayton (Station Place Auditorium & webcast) - SEC Internal Communication
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 3:00pm - 3:30pm Follow Up Conversation (Chairman's Conference Room) - Wood, Bryan
- 3:00pm - 3:30pm Follow Up Conversation (Chairman's Conference Room) - Wood, Bryan

Tuesday, December 3

- 10:10am - 10:30am Meeting w/ Alan, Raquel, Bill and Val (Chairman's Office) - Clayton, Jay
- 10:10am - 10:30am Meeting w/ Alan, Raquel, Bill and Val (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:00am - 11:30am Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 11:00am - 11:30am Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:15pm 14a-8 Kickoff - Seaman, Michael P.
- 1:00pm - 1:15pm 14a-8 Kickoff - Seaman, Michael P.
- 1:30pm - 2:00pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 1:30pm - 2:00pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 3:00pm - 3:30pm Accredited Investor Comments (Bill's Office) - Seaman, Michael P.
- 3:00pm - 3:30pm Accredited Investor Comments (Bill's Office) - Seaman, Michael P.
- 4:00pm - 4:30pm Updated invitation: Call w/ Bill Hinman - Re: PwC's Corporate Directors Exchange @ Tue Dec 3, 2019 4pm - 4:30pm (EST) (b)(6)@sec.gov (See dial-in numbers below) - paula.loop@pwc.com
- 4:00pm - 4:30pm Updated invitation: Call w/ Bill Hinman - Re: PwC's Corporate Directors Exchange @ Tue Dec 3, 2019 4pm - 4:30pm (EST) (b)(6)@sec.gov (See dial-in numbers below) - paula.loop@pwc.com

Wednesday, December 4

- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 11:00am - 11:30am Pre-Meet re: Goldman (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 11:00am - 11:30am Pre-Meet re: Goldman (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 12:15pm - 1:15pm Lunch with the State of Our Securities Markets Panelists (Multi-Purpose Room) - Henderson, Jill
- 12:15pm - 1:15pm Lunch with the State of Our Securities Markets Panelists (Multi-Purpose Room) - Henderson, Jill
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:30pm Direct Listings - follow-up meeting w/ Goldman (CONF RM*SP1 3000 VTC/WebEx Medium-24) - Kohl, Lisa
- 2:00pm - 3:30pm Direct Listings - follow-up meeting w/ Goldman (CONF RM*SP1 3000 VTC/WebEx Medium-24) - Kohl, Lisa
- 2:00pm - 3:30pm Meeting with Gallagher / Goldman - Seaman, Michael P.
- 2:00pm - 3:30pm Meeting with Gallagher / Goldman - Seaman, Michael P.
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 4:00pm - 4:30pm 14a-8 Batch #2 (Bill's Office) - Seaman, Michael P.
- 4:00pm - 4:30pm 14a-8 Batch #2 (Bill's Office) - Seaman, Michael P.
- 4:30pm - 5:00pm Discuss SBC Briefing TPs (WHH Office) - Kohl, Lisa
- 4:30pm - 5:00pm Discuss SBC Briefing TPs (WHH Office) - Kohl, Lisa
- 6:30pm - 7:00pm (b)(6)
- 6:30pm - 7:00pm

Thursday, December 5

- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 12:00pm - 12:15pm quick tag up on climate examples (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 12:00pm - 12:15pm quick tag up on climate examples (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:45pm - 2:15pm FW: Travel to Dirksen (Meet in SEC Lobby) - Pandol, Holli Heiles
- 1:45pm - 2:15pm FW: Travel to Dirksen (Meet in SEC Lobby) - Pandol, Holli Heiles
- 2:00pm - 3:00pm Hold for Senate Banking Committee Briefing (TBD) - Kohl, Lisa
- 2:00pm - 3:00pm Hold for Senate Banking Committee Briefing (TBD) - Kohl, Lisa
- 3:45pm - 4:00pm staff notes - Parratt, Shelley
- 3:45pm - 4:00pm staff notes - Parratt, Shelley
- 4:00pm - 5:00pm MGEX Issue/CFTC Prep (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 4:00pm - 5:00pm MGEX Issue/CFTC Prep (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa

Friday, December 6

- 9:00am - 10:00am Meet w/ Alain Deckers of the EC (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 9:00am - 10:00am Meet w/ Alain Deckers of the EC (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 9:00am - 10:00am Meeting with Alain Deckers of the European Commission (Conf Rm 10620) - Macdonald, Morgan G
- 9:00am - 10:00am Meeting with Alain Deckers of the European Commission (Conf Rm 10620) - Macdonald, Morgan G
- 10:30am - 11:00am FY19 961 certification (4520) - Luisi, Shelly C.
- 10:30am - 11:00am FY19 961 certification (4520) - Luisi, Shelly C.
- 11:00am - 12:00pm Briefing re targeted reviews of pay ratio, diversity, and board risk oversight disclosures (4520) - Luisi, Shelly C.
- 11:00am - 12:00pm Briefing re targeted reviews of pay ratio, diversity, and board risk oversight disclosures (4520) - Luisi, Shelly C.
- 2:00pm - 3:30pm REMINDER: CF Holiday Celebration (4000) - CF Business Management Office
- 2:00pm - 3:30pm REMINDER: CF Holiday Celebration (4000) - CF Business Management Office

December 9, 2019 -
December 15, 2019

December 2019						
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Monday, December 9

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 11:30am discuss not de minimis (CONF RM SP1 3001 Phone Small-16) - Staffin, Elliott
- 11:00am - 11:30am discuss not de minimis (CONF RM SP1 3001 Phone Small-16) - Staffin, Elliott
- 1:00pm - 1:30pm Briefing: OICF's OECD Work (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 1:00pm - 1:30pm Briefing: OICF's OECD Work (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 3:00pm - 3:30pm Prep for Meeting with CFTC re SPIKES (TM Workroom & WebEx) - Sutaria, Ajay
- 3:00pm - 3:30pm Prep for Meeting with CFTC re SPIKES (TM Workroom & WebEx) - Sutaria, Ajay
- 4:00pm - 4:15pm CFTC (Bill's Office) - Seaman, Michael P.
- 4:00pm - 4:15pm CFTC (Bill's Office) - Seaman, Michael P.
- 4:30pm - 5:00pm Discuss AICPA TPs (WHH) - Kohl, Lisa
- 4:30pm - 5:00pm Discuss AICPA TPs (WHH) - Kohl, Lisa

Tuesday, December 10

- 9:00am - 1:00pm AICPA Conference on SEC and PCAOB Current Developments
- 9:00am - 1:00pm AICPA Conference on SEC and PCAOB Current Developments
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 1:30pm - 2:00pm Commissioner Lee Accredited Investor (Commissioner Lee's Office) - Seaman, Michael P.
- 1:30pm - 2:00pm Commissioner Lee Accredited Investor (Commissioner Lee's Office) - Seaman, Michael P.
- 2:30pm - 3:00pm Peirce - Seaman, Michael P.
- 2:30pm - 3:00pm Peirce - Seaman, Michael P.
- 3:00pm - 3:30pm Processing Fees Memo + CF Reaction (WHH Office) - Kohl, Lisa
- 3:00pm - 3:30pm Processing Fees Memo + CF Reaction (WHH Office) - Kohl, Lisa
- 4:00pm - 4:30pm Retail Access (Bill's Office) - Seaman, Michael P.
- 4:00pm - 4:30pm Retail Access (Bill's Office) - Seaman, Michael P.
- 4:30pm - CorpFin - CFTC Waiver Process (Suite 10300) - Lee, Allison
- 4:30pm - CorpFin - CFTC Waiver Process (Suite 10300) - Lee, Allison

Wednesday, December 11

- 10:00am - 10:30am Meeting RE: Retail Access to Private Equity (Chairman's Conference Room) Clayton, Jay
- 10:00am - 10:30am Meeting RE: Retail Access to Private Equity (Chairman's Conference Room) Clayton, Jay
- 10:30am - 11:00am Meeting RE: Processing Fees (Chairman's Conference Room) Clayton, Jay
- 10:30am - 11:00am Meeting RE: Processing Fees (Chairman's Conference Room) Clayton, Jay
- 11:00am - 12:00pm confidential treatment (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 12:00pm confidential treatment (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 5:00pm - 5:30pm Bill Hinman/Rob Jackson Phone Call re. Blockchain of Things Waiver (WebEx Online) - Jackson, Rob
- 5:00pm - 5:30pm Bill Hinman/Rob Jackson Phone Call re. Blockchain of Things Waiver (WebEx Online) - Jackson, Rob

Thursday, December 12

- Off Site (All-day) (TBD) - Clayton, Jay
- Off Site (All-day) (TBD) - Clayton, Jay
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 11:00am - 5:30pm Off Site Meeting (CFPB, 1700 G Street, NW) - Clayton, Jay
- 11:00am - 5:30pm Off Site Meeting (CFPB, 1700 G Street, NW) - Clayton, Jay

Friday, December 13

- 11:00am - 11:30am 3-10 (WHH) - Kohl, Lisa
- 11:00am - 11:30am 3-10 (WHH) - Kohl, Lisa
- 11:30am - 12:00pm 14a-2(b) rulemaking (Bill's office) - Anderson, Michele
- 11:30am - 12:00pm 14a-2(b) rulemaking (Bill's office) - Anderson, Michele
- 3:30pm - 4:00pm Canceled: Roisman - Kohl, Lisa
- 3:30pm - 4:00pm Canceled: Roisman - Kohl, Lisa

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Monday, December 16

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Meeting w/ Bill Hinman - Clayton, Jay
- 1:30pm - 2:00pm Meeting w/ Bill Hinman - Clayton, Jay
- 2:00pm - 2:30pm Edgar Data (Alan's Office) - Zerrusen, Kevin
- 2:00pm - 2:30pm Edgar Data (Alan's Office) - Zerrusen, Kevin
- 2:30pm - 3:00pm Catch up on IG inquiry (CF Only SP1 4520 Phone Small-12) - Arietti Gold, Charlene
- 2:30pm - 3:00pm Catch up on IG inquiry (CF Only SP1 4520 Phone Small-12) - Arietti Gold, Charlene
- 3:30pm - 4:00pm Roisman (rescheduled) - Kohl, Lisa
- 3:30pm - 4:00pm Roisman (rescheduled) - Kohl, Lisa

Tuesday, December 17

- 9:30am - 10:00am HOLD: Background Call Prebrief (WebEx) - Smith Costello, Chandler
- 9:30am - 10:00am HOLD: Background Call Prebrief (WebEx) - Smith Costello, Chandler
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:00pm - 12:30pm FN in AI release (Chairman's Conference Room) - Gomez Abero, Sebastian
- 12:00pm - 12:30pm FN in AI release (Chairman's Conference Room) - Gomez Abero, Sebastian
- 1:00pm - 2:00pm Closed Commission Meeting - BCOT - Seaman, Michael P.
- 1:00pm - 2:00pm Closed Commission Meeting - BCOT - Seaman, Michael P.
- 3:00pm - 3:30pm cybersecurity meetings (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 3:30pm cybersecurity meetings (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:30pm - 4:30pm AI Background Call (4520 (Conference Room by Bill's Office)) - Smith Costello, Chandler
- 3:30pm - 4:30pm AI Background Call (4520 (Conference Room by Bill's Office)) - Smith Costello, Chandler
- 4:30pm - 5:00pm Catching up on your off site and a few other things (Bill's Office) - Arietti Gold, Charlene
- 4:30pm - 5:00pm Catching up on your off site and a few other things (Bill's Office) - Arietti Gold, Charlene

Wednesday, December 18

- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Brief Discussion w/ Valerie S. & Tim H. (WHH Office) - Hinman, William
- 1:30pm - 2:00pm Brief Discussion w/ Valerie S. & Tim H. (WHH Office) - Hinman, William
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 4:00pm - 5:00pm Revised equity line review approach/new CDI (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:00pm - 5:00pm Revised equity line review approach/new CDI (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley

Thursday, December 19

- 9:45am - 10:30am update - RESCHEDULED - Parratt, Shelley
- 9:45am - 10:30am update - RESCHEDULED - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 12:00pm filing reviews/climate change - RESCHEDULED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 12:00pm filing reviews/climate change - RESCHEDULED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:30pm - 3:30pm HOLD for SPIKES Meeting with CFTC (includes 60 minutes for travel--meeting would be from 2:00-3:00I) (CFTC 1155 21st Street NW) - Sutaria, Ajay
- 1:30pm - 3:30pm HOLD for SPIKES Meeting with CFTC (includes 60 minutes for travel--meeting would be from 2:00-3:00I) (CFTC 1155 21st Street NW) - Sutaria, Ajay
- 1:30pm - 2:00pm Conference Call: Section 961 Internal Control Briefing (Chairman's Conference Room) - Clayton, Jay
- 1:30pm - 2:00pm Conference Call: Section 961 Internal Control Briefing (Chairman's Conference Room) - Clayton, Jay
- 2:00pm - 3:00pm FW: CFTC-SEC Meeting to Discuss SPIKES-SPK (1155 21st Street, NW, Conference Room **Washington, DC; 2nd Floor; DC 2102**) - DeWitt, Dorothy
- 2:00pm - 3:00pm FW: CFTC-SEC Meeting to Discuss SPIKES-SPK (1155 21st Street, NW, Conference Room **Washington, DC; 2nd Floor; DC 2102**) - DeWitt, Dorothy
- 4:00pm - 4:30pm Call with Treasury re TIA and NYS LIBOR Legislation (Webex or 4520 (next to Bill's office)) - Metzman, David
- 4:00pm - 4:30pm Call with Treasury re TIA and NYS LIBOR Legislation (Webex or 4520 (next to Bill's office)) - Metzman, David

Friday, December 20

- 1:30pm - 2:30pm Rick Kline (Phone) - Seaman, Michael P.
- 1:30pm - 2:30pm Rick Kline (Phone) - Seaman, Michael P.
- 3:00pm - 3:30pm Bill's thoughts on hosting disclosure documents on company web (Bill's Office) - Arietti Gold, Charlene
- 3:00pm - 3:30pm Bill's thoughts on hosting disclosure documents on company web (Bill's Office) - Arietti Gold, Charlene

December 2019						
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January 2020						
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Monday, December 23

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie ☺
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie ☺
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) ☺
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) ☺
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor) ☺
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor) ☺
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber ☺
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor) ☺
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber ☺
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor) ☺
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester ☺
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester ☺

Tuesday, December 24

- Christmas Eve (United States)
- Christmas Eve (United States)
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☺
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☺
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☺
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay ☺
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☺
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☺
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie ☺
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie ☺

Wednesday, December 25

(b)(6)

- Christmas Day (United States)
- Christmas Day (United States)
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:30pm - 2:00pm ENF update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob ☺
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob ☺

Thursday, December 26

(b)(6)

- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad ☺
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad ☺
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☺
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay ☺
- 3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P. ☺
- 3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P. ☺

Friday, December 27

(b)(6)

December 30, 2019 -
January 5, 2020

December 2019						
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January 2020						
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Monday, December 30

- (b)(6)
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
 - 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
 - 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
 - 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
 - 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
 - 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
 - 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
 - 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
 - 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
 - 3:00pm - 3:30pm Conference Call: Divisions, OCIE, OGC, OCA, OLIA (b)(6) - Clayton, Jay
 - 3:00pm - 3:30pm Conference Call: Divisions, OCIE, OGC, OCA, OLIA (b)(6) - Clayton, Jay

Tuesday, December 31

- (b)(6)
- New Year's Eve (United States)
 - New Year's Eve (United States)
 - 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
 - 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
 - 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
 - 11:15am - 12:00pm CF senior staff (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley

Wednesday, January 1

- (b)(6)
- New Year's Day (United States)
 - New Year's Day (United States)
 - 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
 - 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
 - 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
 - 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley

Thursday, January 2

- (b)(6)
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
 - 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
 - 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
 - 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
 - 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
 - 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
 - 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
 - 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
 - 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
 - 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
 - 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
 - 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
 - 1:15pm - 2:00pm Bill/David update - Parratt, Shelley

Friday, January 3

(b)(6)

January 6, 2020 -
January 12, 2020

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Monday, January 6

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:30am - 12:00pm Discuss ENF Case (Bill's Office) - Seaman, Michael P.
- 11:30am - 12:00pm Discuss ENF Case (Bill's Office) - Seaman, Michael P.
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 3:30pm - 4:00pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 3:30pm - 4:00pm Meeting w/ Bill Hinman (Room 4521) - Clayton, Jay
- 3:30pm - 4:00pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 3:30pm - 4:00pm Meeting w/ Bill Hinman (Room 4521) - Clayton, Jay

Tuesday, January 7

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)

Wednesday, January 8

- 10:00am - 11:00am Internal Pre-Meet for DPW and Grundfest Meetings (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 10:00am - 11:00am Internal Pre-Meet for DPW and Grundfest Meetings (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 11:00am - 12:00pm Direct Listings Meeting w/ Davis Polk (CONF RM SP1 4000 VTC/WebEx Large-41) - Kohl, Lisa
- 11:00am - 12:00pm Direct Listings Meeting w/ Davis Polk (CONF RM SP1 4000 VTC/WebEx Large-41) - Kohl, Lisa
- 12:00pm - 1:30pm Resource and Accredited Investor Lunch (TBD) - Seaman, Michael P.
- 12:00pm - 1:30pm Resource and Accredited Investor Lunch (TBD) - Seaman, Michael P.
- 1:00pm - 3:00pm Update: Rulemaking Tabletop Exercise (Multipurpose Room LL 006/WebEx) - COOPSupport
- 1:00pm - 3:00pm Update: Rulemaking Tabletop Exercise (Multipurpose Room LL 006/WebEx) - COOPSupport
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:30pm - 4:30pm 3-05 Comments (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 3:30pm - 4:30pm 3-05 Comments (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa

Thursday, January 9

- 8:30am - 10:30am You are invited to Kick Off 2020 With OMWI & SEC's Employee Affinity Groups (9th Floor Terrace) - Office of Minority and Women Inclusion
- 8:30am - 10:30am You are invited to Kick Off 2020 With OMWI & SEC's Employee Affinity Groups (9th Floor Terrace) - Office of Minority and Women Inclusion
- 10:00am - 11:00am CONFIRMED In-Person Meeting with MIAX re Security Futures (TM Workroom & WebEx) - Sutaria, Ajay
- 10:00am - 11:00am CONFIRMED In-Person Meeting with MIAX re Security Futures (TM Workroom & WebEx) - Sutaria, Ajay
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 2:30pm - 4:00pm Grundfest Direct Listings Follow-Up Meeting (CONF RM SP1 4000 VTC/WebEx Large-41) - Kohl, Lisa
- 2:30pm - 4:00pm Grundfest Direct Listings Follow-Up Meeting (CONF RM SP1 4000 VTC/WebEx Large-41) - Kohl, Lisa

Friday, January 10

- 11:00am - 11:30am Meet w/ Roisman (rescheduled from Thursday) - Kohl, Lisa
- 11:00am - 11:30am Meet w/ Roisman (rescheduled from Thursday) - Kohl, Lisa
- 2:00pm - 2:30pm David & Ted Update (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 2:00pm - 2:30pm David & Ted Update (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa

January 13, 2020 -
January 19, 2020

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Monday, January 13

- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie

Tuesday, January 14

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 1:00pm - 2:00pm Newsletter Proposal (CF Only SP1 4520 Phone Small-12) - Arietti Gold, Charlene
- 1:00pm - 2:00pm Newsletter Proposal (CF Only SP1 4520 Phone Small-12) - Arietti Gold, Charlene
- 2:00pm - 2:30pm PEI CFOs and CEOs Conference Planning Call - Seaman, Michael P.
- 2:00pm - 2:30pm PEI CFOs and CEOs Conference Planning Call - Seaman, Michael P.
- 3:00pm - 3:30pm personnel (Bill's office) - Parratt, Shelley
- 3:00pm - 3:30pm personnel (Bill's office) - Parratt, Shelley
- 4:00pm - 4:30pm CorpFin Monthly Update - Lee, Allison
- 4:00pm - 4:30pm CorpFin Monthly Update - Lee, Allison
- 4:30pm - 5:00pm 14a-2(b) - Discuss Review and Response Mechanism (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 4:30pm - 5:00pm 14a-2(b) - Discuss Review and Response Mechanism (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 5:00pm - 5:30pm Disclosure Statement Outline (WHH) - Kohl, Lisa
- 5:00pm - 5:30pm Disclosure Statement Outline (WHH) - Kohl, Lisa

Wednesday, January 15

- 10:00am - 10:30am no-action letter update - RESCHEDULED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 10:30am no-action letter update - RESCHEDULED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 11:00am - 12:00pm CII Meeting (Rescheduled) (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 11:00am - 12:00pm CII Meeting (Rescheduled) (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 12:00pm - 6:00pm Travel to Conference
- 12:00pm - 6:00pm Travel to Conference
- 1:00pm - 3:00pm Filing Tabletop Exercise (CONF RM SP1 3000 / WebEx) - COOPSupport
- 1:00pm - 3:00pm Filing Tabletop Exercise (CONF RM SP1 3000 / WebEx) - COOPSupport
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley

Thursday, January 16

- PWC Event (Palm Beach, FL)
- PWC Event (Palm Beach, FL)
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley

Friday, January 17

- 10:00am - 10:30am 14a-8 Reconsideration Requests (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 10:00am - 10:30am 14a-8 Reconsideration Requests (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:30pm - 2:00pm Canceled: Discuss MD&A Changes (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 1:30pm - 2:00pm Canceled: Discuss MD&A Changes (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa

January 20, 2020 -
January 26, 2020

January 2020

February 2020

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Monday, January 20

- Martin Luther King Day (United States)
- Martin Luther King Day (United States)
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am Weekly meeting (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester

Tuesday, January 21

- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:00am - 11:30am NOBO/OBO (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 11:00am - 11:30am NOBO/OBO (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 11:30am - 12:00pm Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 11:30am - 12:00pm Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 12:00pm - 12:30pm Discuss SRI (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 12:00pm - 12:30pm Discuss SRI (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 2:00pm - 3:00pm Discuss China Briefing (WHH) - Kohl, Lisa
- 2:00pm - 3:00pm Discuss China Briefing (WHH) - Kohl, Lisa
- 3:05pm - 3:35pm Brief Discussion w/ Valerie S (WH Office) - Hinman, William
- 3:05pm - 3:35pm Brief Discussion w/ Valerie S (WH Office) - Hinman, William
- 3:45pm - 4:15pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 3:45pm - 4:15pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 4:30pm - 5:00pm MD&A - Critical Accounting Judgments (Bill's office) - Bloom, Luna
- 4:30pm - 5:00pm MD&A - Critical Accounting Judgments (Bill's office) - Bloom, Luna

Wednesday, January 22

- 10:00am - 10:30am Travel to briefing (Meet in SEC Lobby) - Pandol, Holli Heiles
- 10:00am - 10:30am Travel to briefing (Meet in SEC Lobby) - Pandol, Holli Heiles
- 10:30am - 11:30am U.S.-China Commission briefing (444 North Capitol St, Ste 602 (Hall of States Building)) - Pandol, Holli Heiles
- 10:30am - 11:30am U.S.-China Commission briefing (444 North Capitol St, Ste 602 (Hall of States Building)) - Pandol, Holli Heiles
- 1:00pm - 2:00pm Harmonization (Bill's Office) - Seaman, Michael P.
- 1:00pm - 2:00pm Harmonization (Bill's Office) - Seaman, Michael P.
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 3:30pm GAO/ESG Audit (WHH) - Kohl, Lisa
- 3:00pm - 3:30pm GAO/ESG Audit (WHH) - Kohl, Lisa
- 3:30pm - 4:30pm 14a-8 Season Update (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:30pm - 4:30pm 14a-8 Season Update (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 4:30pm - 5:00pm MD&A (Sean/Alan Office) - Wood, Bryan
- 4:30pm - 5:00pm MD&A (Sean/Alan Office) - Wood, Bryan
- 5:00pm - 5:30pm Brief Discussion w/ Charlene (WHH Office) - Hinman, William
- 5:00pm - 5:30pm Brief Discussion w/ Charlene (WHH Office) - Hinman, William

Thursday, January 23

- Bill in NY - Garrison, Charles
- Bill in NY - Garrison, Charles
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 3:50pm - 4:30pm PEI CFO & COO Forum (Grand Hyatt - 109 East 42nd Street) - Garrison, Charles
- 3:50pm - 4:30pm PEI CFO & COO Forum (Grand Hyatt - 109 East 42nd Street) - Garrison, Charles

Friday, January 24

- b)(6)
- 9:30am - 12:00pm Hold #2 for Meeting with Treasury Senior Staff (J Dinwoodie) - Seaman, Michael P.
- 9:30am - 12:00pm Hold #2 for Meeting with Treasury Senior Staff (J Dinwoodie) - Seaman, Michael P.
- 10:00am - 11:00am Canceled: Realignment Business Planning (CF Only SP1 4520 Phone Small-12) - Mann, Seth J
- 10:00am - 11:00am Canceled: Realignment Business Planning (CF Only SP1 4520 Phone Small-12) - Mann, Seth J
- 2:00pm - 2:30pm Kik (Call) - Seaman, Michael P.
- 2:00pm - 2:30pm Kik (Call) - Seaman, Michael P.
- 3:30pm - 4:00pm Proxy (Bill's office) - Gomez Abero, Sebastian
- 3:30pm - 4:00pm Proxy (Bill's office) - Gomez Abero, Sebastian
- 4:30pm - 5:00pm Kik settlement discussion (Bill's Office) - Littman, Kristina
- 4:30pm - 5:00pm Kik settlement discussion (Bill's Office) - Littman, Kristina

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Monday, January 27

- San Diego Conference
- San Diego Conference
- 11:30am - 12:00pm ENF/13(d) Chat (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 11:30am - 12:00pm ENF/13(d) Chat (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie

Tuesday, January 28

- San Diego Conference?
- San Diego Conference?
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay

Wednesday, January 29

- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 11:00am - 11:30am Bill-Hester Talk (Hester's office) - Garrison, Charles
- 11:00am - 11:30am Bill-Hester Talk (Hester's office) - Garrison, Charles
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 2:30pm FW: Conference call (dial in below) - Dinwoodie, Jeffrey T.
- 2:00pm - 2:30pm FW: Conference call (dial in below) - Dinwoodie, Jeffrey T.
- 2:30pm - 3:00pm Violet Follow-Up (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 2:30pm - 3:00pm Violet Follow-Up (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:30pm - 4:00pm Rescheduled CF-DERA Monthly Meeting; Odd Months in Bill's office/Even Months in S.P.'s office - Kothari, S.P.
- 3:30pm - 4:00pm Rescheduled CF-DERA Monthly Meeting; Odd Months in Bill's office/Even Months in S.P.'s office - Kothari, S.P.

Thursday, January 30

- 10:00am - 10:30am Corp Fin Town Hall (Multipurpose Room) - Clayton, Jay
- 10:00am - 10:30am Corp Fin Town Hall (Multipurpose Room) - Clayton, Jay
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:30am - 11:30am January FMO (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
- 10:30am - 11:30am January FMO (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 2:00pm - 2:30pm london (WHH) - Kohl, Lisa
- 2:00pm - 2:30pm london (WHH) - Kohl, Lisa
- 3:00pm - 3:30pm MIA/SPIKES follow up (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 3:00pm - 3:30pm MIA/SPIKES follow up (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P.
- 3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P.
- 4:00pm - 5:00pm meeting with NYSE on direct listings-NYSE=2019-67 (CONF RM SP1 7000 VTC/WebEx Large-39) - Lawson, Sharon M.
- 4:00pm - 5:00pm meeting with NYSE on direct listings-NYSE=2019-67 (CONF RM SP1 7000 VTC/WebEx Large-39) - Lawson, Sharon M.
- 5:00pm - 5:15pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 5:00pm - 5:15pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay

Friday, January 31

- 10:30am - 11:00am Brian B Call (phone) - Seaman, Michael P.
- 10:30am - 11:00am Brian B Call (phone) - Seaman, Michael P.
- 1:30pm - 2:00pm OSF Review Program Briefing (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:30pm - 2:00pm OSF Review Program Briefing (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:00pm - 2:15pm Telephone Call (CF Only SP1 4520 Phone Small-12) - Johnson, Winter (Contractor)
- 2:00pm - 2:15pm Telephone Call (CF Only SP1 4520 Phone Small-12) - Johnson, Winter (Contractor)
- 2:15pm - 2:45pm Conference Call w/ Sagar and Bill (b)(6) - Clayton, Jay
- 2:15pm - 2:45pm Conference Call w/ Sagar and Bill (b)(6) - Clayton, Jay
- 3:00pm - 4:00pm FW: NYS Legislation and TIA (b)(6) Room 7000 for SEC participants) - Danis, Michelle
- 3:00pm - 4:00pm FW: NYS Legislation and TIA (b)(6) Room 7000 for SEC participants) - Danis, Michelle
- 4:00pm - 4:30pm discuss possible guidance (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:00pm - 4:30pm discuss possible guidance (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley

February 2020							March 2020						
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Monday, February 3

- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:00pm - 12:30pm catch up on coronavirus - please see text (office) - Teotia, Sagar
- 12:00pm - 12:30pm catch up on coronavirus - please see text (office) - Teotia, Sagar
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 2:30pm - 3:00pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 3:00pm - 3:30pm Rohan Weerasinghe/William Hinman/Peter Driscoll - Catch-up Meeting (SEC, 100 F Street NE, Washington DC 20549) - Ercolano, Patryce [CCC]
- 3:00pm - 3:30pm Rohan Weerasinghe/William Hinman/Peter Driscoll - Catch-up Meeting (SEC, 100 F Street NE, Washington DC 20549) - Ercolano, Patryce [CCC]
- 4:00pm - 4:30pm Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 4:00pm - 4:30pm Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay

Tuesday, February 4

- 9:30am - 10:00am Coordination of messaging on ESG (CONF RM*SP2 10620 VTC/WebEx Large-29) - Martin, Katherine K
- 9:30am - 10:00am Coordination of messaging on ESG (CONF RM*SP2 10620 VTC/WebEx Large-29) - Martin, Katherine K
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm London (WHH) - Kohl, Lisa
- 11:30am - 12:00pm London (WHH) - Kohl, Lisa
- 1:30pm - 2:00pm SPAC/S-3 Eligibility (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 1:30pm - 2:00pm SPAC/S-3 Eligibility (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 1:30pm - 2:00pm Update to WebEx meeting: SPAC/S-3 Eligibility (WebEx Online) - Lisa Kohl via SEC WebEx
- 1:30pm - 2:00pm Update to WebEx meeting: SPAC/S-3 Eligibility (WebEx Online) - Lisa Kohl via SEC WebEx
- 2:30pm - 3:30pm ETP registration (4520) - Bloom, Luna
- 2:30pm - 3:30pm ETP registration (4520) - Bloom, Luna
- 3:30pm - 4:00pm Talk about 144 Case (Bill's Office) - Gomez Abero, Sebastian
- 3:30pm - 4:00pm Talk about 144 Case (Bill's Office) - Gomez Abero, Sebastian
- 4:00pm - 4:30pm CorpFin Monthly Update - Lee, Allison
- 4:00pm - 4:30pm CorpFin Monthly Update - Lee, Allison

Wednesday, February 5

- 10:30am - 11:00am FW: Cross Divisional LIBOR Update for the Chairman's Office (Chairman's Conference Room) - Clayton, Jay
- 10:30am - 11:00am FW: Cross Divisional LIBOR Update for the Chairman's Office (Chairman's Conference Room) - Clayton, Jay
- 1:00pm - 1:30pm no-action letter update - CONFIRMED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update - CONFIRMED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update - CONFIRMED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update - CONFIRMED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley

Thursday, February 6

- 12:00am 19th Annual Institute on Securities Regulation in Europe (London) →
- 12:00am 19th Annual Institute on Securities Regulation in Europe (London) →
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 11:30am - 12:30pm Meeting w/ Ernst & Young (Chairman's Conference Room) - Clayton, Jay
- 11:30am - 12:30pm Meeting w/ Ernst & Young (Chairman's Conference Room) - Clayton, Jay
- 1:00pm - 4:00pm Faculty Dinner - Institute on Securities Regulation in Europe (The Mercer, 34 Threadneedle Street, London) - Andrea Cunningham
- 1:00pm - 4:00pm Faculty Dinner - Institute on Securities Regulation in Europe (The Mercer, 34 Threadneedle Street, London) - Andrea Cunningham

Friday, February 7

- 12:00am 19th Annual Institute on Securities Regulation in Europe (London)
- 12:00am 19th Annual Institute on Securities Regulation in Europe (London)

February 2020							March 2020						
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Monday, February 10

- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:30am - 12:00pm Discuss LIBOR (WHH) - Kohl, Lisa
- 11:30am - 12:00pm Discuss LIBOR (WHH) - Kohl, Lisa
- 1:00pm - 1:30pm Stanford Events Prep Call (WHH) - Kohl, Lisa
- 1:00pm - 1:30pm Stanford Events Prep Call (WHH) - Kohl, Lisa
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Stanford Panel Call (WHH) - Kohl, Lisa
- 1:30pm - 2:00pm Stanford Panel Call (WHH) - Kohl, Lisa
- 3:00pm - 3:30pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 3:00pm - 3:30pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 3:30pm - 4:00pm PVABs (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 3:30pm - 4:00pm PVABs (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 4:30pm - 5:00pm Rescheduled Bill and Val Call - Kohl, Lisa
- 4:30pm - 5:00pm Rescheduled Bill and Val Call - Kohl, Lisa

Tuesday, February 11

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 2:00pm 14a-8 Exec Comp Proposals (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 1:00pm - 2:00pm 14a-8 Exec Comp Proposals (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:00pm - 3:00pm LIBOR Testimony Prep (WHH) - Kohl, Lisa
- 2:00pm - 3:00pm LIBOR Testimony Prep (WHH) - Kohl, Lisa
- 3:00pm - 4:00pm Dan Gallagher/Broadridge Meeting - Seaman, Michael P.
- 3:00pm - 4:00pm Dan Gallagher/Broadridge Meeting - Seaman, Michael P.

Wednesday, February 12

- Lincoln's Birthday (United States)
- Lincoln's Birthday (United States)
- 10:00am - 10:30am (b)(5)
- 10:00am - 10:30am
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 11:30am - 12:00pm 3-10/3-16 Comments/Proposed Responses (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 11:30am - 12:00pm 3-10/3-16 Comments/Proposed Responses (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 4:00pm - 5:00pm YouNow Conversation (Bill's Office) - Seaman, Michael P.
- 4:00pm - 5:00pm YouNow Conversation (Bill's Office) - Seaman, Michael P.
- 5:00pm - 5:30pm freunds
- 5:00pm - 5:30pm freunds

Thursday, February 13

- 9:00am - 9:30am Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 9:00am - 9:30am Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Tracing Issue in Direct Listings (WHH) - Kohl, Lisa
- 10:00am - 10:30am Tracing Issue in Direct Listings (WHH) - Kohl, Lisa
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 2:00pm - 3:30pm FW: Telegram Oral Argument Moot for D.C. (CONF RM*SP1 3000 VTC/WebEx Medium-24; NYRO TBD) - Tenreiro, Jorge
- 2:00pm - 3:30pm FW: Telegram Oral Argument Moot for D.C. (CONF RM*SP1 3000 VTC/WebEx Medium-24; NYRO TBD) - Tenreiro, Jorge
- 2:00pm - 2:30pm Peirce - Seaman, Michael P.
- 2:00pm - 2:30pm Peirce - Seaman, Michael P.
- 3:00pm - 4:00pm Harmonization Comments - Seaman, Michael P.
- 3:00pm - 4:00pm Harmonization Comments - Seaman, Michael P.
- 4:00pm - 4:30pm Proxy Follow-Up (WHH Office) - Kohl, Lisa
- 4:00pm - 4:30pm Proxy Follow-Up (WHH Office) - Kohl, Lisa

Friday, February 14

- Valentine's Day (United States)
- Valentine's Day (United States)
- 10:00am - 11:00am Meeting w/ PricewaterhouseCoopers (NYRO (Room 409)) - Clayton, Jay
- 10:00am - 11:00am Meeting w/ PricewaterhouseCoopers (NYRO (Room 409)) - Clayton, Jay
- 11:00am - 12:00pm Meeting w/ KPMG (NYRO (Room 423)) - Clayton, Jay
- 11:00am - 12:00pm Meeting w/ KPMG (NYRO (Room 423)) - Clayton, Jay
- 1:00pm - 2:00pm Meeting w/ Deloitte (NYRO (Room 409)) - Clayton, Jay
- 1:00pm - 2:00pm Meeting w/ Deloitte (NYRO (Room 409)) - Clayton, Jay

February 17, 2020 -
February 23, 2020

February 2020

March 2020

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Monday, February 17

- Presidents' Day (United States)
- Presidents' Day (United States)
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester

Tuesday, February 18

- 12:00am Stanford Law Conference (Stanford, CA)
- 12:00am Stanford Law Conference (Stanford, CA)
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie

Wednesday, February 19

- 12:00am Stanford Law Conference (Stanford, CA)
- 12:00am Stanford Law Conference (Stanford, CA)
- 11:30am - 12:00pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 11:30am - 12:00pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay

Thursday, February 20

- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Quick 3-10 Chat (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 10:30am - 11:00am Quick 3-10 Chat (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 11:00am - 11:30am Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 11:00am - 11:30am Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 11:30am - 12:00pm corona check in (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:30am - 12:00pm corona check in (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:30pm - 2:30pm
- 12:30pm - 2:30pm
- 2:30pm - 3:00pm RESCHEDULING: Cross Divisional LIBOR Update for the Chairman's Office (Chairman's COnference Room) - Clayton, Jay
- 2:30pm - 3:00pm RESCHEDULING: Cross Divisional LIBOR Update for the Chairman's Office (Chairman's COnference Room) - Clayton, Jay
- 3:00pm - 4:00pm Rulemaking update - RESCHEDULED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 4:00pm Rulemaking update - RESCHEDULED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:00pm - 4:30pm Discuss Matter (WHH) - Kohl, Lisa
- 4:00pm - 4:30pm Discuss Matter (WHH) - Kohl, Lisa
- 4:30pm - 5:00pm prepare you for tomorrow's ACI update - Parratt, Shelley
- 4:30pm - 5:00pm prepare you for tomorrow's ACI update - Parratt, Shelley

Friday, February 21

- 11:00am - 12:00pm ACI update - DELAYED AGAIN (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 12:00pm ACI update - DELAYED AGAIN (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 4:00pm Confirmed: LIBOR Call (Michael Held's Office (dial-in: [REDACTED]) - Held, Michael
- 3:00pm - 4:00pm Confirmed: LIBOR Call (Michael Held's Office (dial-in: [REDACTED]) - Held, Michael
- 4:15pm - 4:45pm corona update - DELAYED (Bill's office) - Parratt, Shelley
- 4:15pm - 4:45pm corona update - DELAYED (Bill's office) - Parratt, Shelley

February 2020							March 2020						
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Monday, February 24

- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie ☉
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie ☉
- 2:00pm - 2:30pm coronavirus (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 2:00pm - 2:30pm coronavirus (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 2:00pm - 2:30pm CV Options (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:00pm - 2:30pm CV Options (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:30pm - 3:00pm FW: Conference Call w/ Bill Hinman (b)(6) - Clayton, Jay
- 2:30pm - 3:00pm FW: Conference Call w/ Bill Hinman (b)(6) - Clayton, Jay
- 3:00pm - 4:00pm LIBOR Testimony - Plan/Prep (WHH) - Kohl, Lisa
- 3:00pm - 4:00pm LIBOR Testimony - Plan/Prep (WHH) - Kohl, Lisa
- 5:00pm - 5:30pm Coronavirus readiness (dial-in below) - Dinwoodie, Jeffrey T.
- 5:00pm - 5:30pm Coronavirus readiness (dial-in below) - Dinwoodie, Jeffrey T.

Tuesday, February 25

- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☉
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☉
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 2:00pm - 2:30pm Introductions (Bill's Office) - Gibbs, Pamela
- 2:00pm - 2:30pm Introductions (Bill's Office) - Gibbs, Pamela
- 2:30pm - 3:00pm PVAB Term Sheet (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 2:30pm - 3:00pm PVAB Term Sheet (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 5:00pm - 5:30pm Review Town Hall Talking Points (Bill's Office) - Arietti Gold, Charlene
- 5:00pm - 5:30pm Review Town Hall Talking Points (Bill's Office) - Arietti Gold, Charlene

Wednesday, February 26

- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley ☉
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley ☉
- 11:00am - 11:30am LIBOR TA (WHH) - Kohl, Lisa
- 11:00am - 11:30am LIBOR TA (WHH) - Kohl, Lisa
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☉
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob ☉
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob ☉
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley ☉
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley ☉
- 5:00pm - 5:30pm Coronavirus - internal discussion (dial-in below) - Dinwoodie, Jeffrey T.
- 5:00pm - 5:30pm Coronavirus - internal discussion (dial-in below) - Dinwoodie, Jeffrey T.

Thursday, February 27

- 10:00am - 11:00am Confirmed: Meeting with T.Rowe (4520) - Kohl, Lisa
- 10:00am - 11:00am Confirmed: Meeting with T.Rowe (4520) - Kohl, Lisa
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley ☉
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley ☉
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ☉
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ☉
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ☉
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ☉
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ☉
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean ☉
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ☉
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean ☉
- 1:00pm - 2:30pm IAC LIBOR Meeting (Multipurpose Room) - Kohl, Lisa
- 1:00pm - 2:30pm IAC LIBOR Meeting (Multipurpose Room) - Kohl, Lisa
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ☉
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ☉
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ☉
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ☉
- 2:30pm - 3:00pm Proposed rulemaking for EDGAR extraordinary actions (WHH) - Parratt, Shelley
- 2:30pm - 3:00pm Proposed rulemaking for EDGAR extraordinary actions (WHH) - Parratt, Shelley
- 3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P. ☉
- 3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P. ☉
- 4:00pm - 4:30pm 14a-2(b) (Bill's office) - Anderson, Michele
- 4:00pm - 4:30pm 14a-2(b) (Bill's office) - Anderson, Michele
- 5:00pm - 6:00pm (b)(6)
- 5:00pm - 6:00pm (b)(6)

Friday, February 28

- 11:00am - 11:30am 14a-8(i)(2) (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 11:00am - 11:30am 14a-8(i)(2) (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 11:30am - 12:30pm Setting aside some time to cover a multitude of items (your office) - Arietti Gold, Charlene
- 11:30am - 12:30pm Setting aside some time to cover a multitude of items (your office) - Arietti Gold, Charlene
- 2:00pm - 3:00pm LIBOR Briefing - TM (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 2:00pm - 3:00pm LIBOR Briefing - TM (CF Only SP1 4520 Phone Small-12) - Kohl, Lisa
- 3:00pm - 4:00pm waiver download - Parratt, Shelley
- 3:00pm - 4:00pm waiver download - Parratt, Shelley

March 2, 2020 -
March 8, 2020

March 2020

April 2020

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Monday, March 2

- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 2:00pm - 2:30pm (b)(5) (Bill's Office) - Seaman, Michael P.
- 2:00pm - 2:30pm (Bill's Office) - Seaman, Michael P.
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 5:00pm - 5:30pm (b)(6)
- 5:00pm - 5:30pm

Tuesday, March 3

- 9:30am - 10:00am Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 9:30am - 10:00am Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 9:45am - 10:00am quick meeting (Bill's Office) - Seaman, Michael P.
- 9:45am - 10:00am quick meeting (Bill's Office) - Seaman, Michael P.
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Conference Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm Earnings Releases/Quarterly Reporting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 11:30am - 12:00pm Earnings Releases/Quarterly Reporting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 12:00pm - 4:00pm Mtg - Chair (K.Halferty) (CONF RM*MULTIPURPOSE ROOM (MPR)-114) - CONF RM*MULTIPURPOSE ROOM (MPR)-114
- 12:00pm - 4:00pm Mtg - Chair (K.Halferty) (CONF RM*MULTIPURPOSE ROOM (MPR)-114) - CONF RM*MULTIPURPOSE ROOM (MPR)-114
- 3:00pm - 4:00pm SAVE THE DATE: CF Town Hall with Chairman Clayton (Multipurpose Room (MPR) or WebEx) - CF Business Management Office
- 3:00pm - 4:00pm SAVE THE DATE: CF Town Hall with Chairman Clayton (Multipurpose Room (MPR) or WebEx) - CF Business Management Office
- 4:00pm - 4:30pm CorpFin Monthly Update - Lee, Allison
- 4:00pm - 4:30pm CorpFin Monthly Update - Lee, Allison

Wednesday, March 4

- 10:00am - 10:30am HOLD: Background Call Prebrief (WebEx) - Smith Costello, Chandler
- 10:00am - 10:30am HOLD: Background Call Prebrief (WebEx) - Smith Costello, Chandler
- 10:30am - 11:00am Meeting w/ Commissioner Roisman (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Meeting w/ Commissioner Roisman (Chairman's Office) - Clayton, Jay
- 11:00am - 11:30am Rescheduled Roisman Meeting (CR Office) - Kohl, Lisa
- 11:00am - 11:30am Rescheduled Roisman Meeting (CR Office) - Kohl, Lisa
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:45pm - 5:15pm HOLD: Harmonization Background Call (4520) - Smith Costello, Chandler
- 4:45pm - 5:15pm HOLD: Harmonization Background Call (4520) - Smith Costello, Chandler

Thursday, March 5

- 9:45am - 10:15am Travel to RHOB (Meet in SEC lobby) - Pandol, Holli Heiles
- 9:45am - 10:15am Travel to RHOB (Meet in SEC lobby) - Pandol, Holli Heiles
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:10am - 10:40am Meeting w/ Rep. Brad Sherman (2181 Rayburn House Office Building) - Clayton, Jay
- 10:10am - 10:40am Meeting w/ Rep. Brad Sherman (2181 Rayburn House Office Building) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 2:00pm - 3:00pm Today @2: Women's History Month Program (SEC Auditorium) - Gibbs, Pamela
- 2:00pm - 3:00pm Today @2: Women's History Month Program (SEC Auditorium) - Gibbs, Pamela
- 3:00pm - 4:00pm Emergency action proposal (not corona) (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 4:00pm Emergency action proposal (not corona) (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:00pm - 5:00pm Rulemaking update - RESCHEDULED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:00pm - 5:00pm Rulemaking update - RESCHEDULED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley

Friday, March 6

March 9, 2020 -
March 15, 2020

March 2020

April 2020

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Monday, March 9

- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:15pm 1:00 pm internal call - privileged and confidential (b)(6) - Dinwoodie, Jeffrey T.
- 1:00pm - 1:15pm 1:00 pm internal call - privileged and confidential (b)(6) - Dinwoodie, Jeffrey T.
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie

Tuesday, March 10

- 9:45am - 10:15am Per Bill's request (let me know if you'd prefer 10 am) (WebEx) - Arietti Gold, Charlene
- 9:45am - 10:15am Per Bill's request (let me know if you'd prefer 10 am) (WebEx) - Arietti Gold, Charlene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff update via webex - CONFIRMED FOR 11:15 (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff update via webex - CONFIRMED FOR 11:15 (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 2:30pm 14a-8 IM Issue (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:00pm - 2:30pm 14a-8 IM Issue (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 2:00pm - 2:30pm IM Issue (WebEx) (WebEx) - Bates, Hughes
- 2:00pm - 2:30pm IM Issue (WebEx) (WebEx) - Bates, Hughes
- 2:00pm - 2:30pm IM Issue (WebEx) (WebEx) - Bates, Hughes
- 3:00pm - 3:30pm Touching Base (WebEx Only) - Arietti Gold, Charlene
- 3:00pm - 3:30pm Touching Base (WebEx Only) - Arietti Gold, Charlene
- 3:30pm - 4:00pm ETNs vs ETFs (Proshares) - RESCHEDULED (CF Only SP1 4520 Phone Small-12 or webex) - Parratt, Shelley
- 3:30pm - 4:00pm ETNs vs ETFs (Proshares) - RESCHEDULED (CF Only SP1 4520 Phone Small-12 or webex) - Parratt, Shelley
- 4:00pm - 4:30pm oil and gas developments - RESCHEDULED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:00pm - 4:30pm oil and gas developments - RESCHEDULED (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 5:30pm - 6:00pm Conference Call: Division Directors, OCIE, GC, OCA, OLIA (b)(6) - Clayton, Jay
- 5:30pm - 6:00pm Conference Call: Division Directors, OCIE, GC, OCA, OLIA (b)(6) - Clayton, Jay

Wednesday, March 11

- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 11:00am - 11:30am regroup on 14a-8 IM issue (WebEx) - Seaman, Michael P.
- 11:00am - 11:30am regroup on 14a-8 IM issue (WebEx) - Seaman, Michael P.
- 11:45am - 12:15pm catch up (WebEx Online) - Parratt, Shelley
- 11:45am - 12:15pm catch up (WebEx Online) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:14pm - 2:14pm Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) - meetings.sec.gov
- 1:14pm - 2:14pm Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) - meetings.sec.gov
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 2:30pm FINRA Adjudication Issue (WebEx) - Seaman, Michael P.
- 2:00pm - 2:30pm FINRA Adjudication Issue (WebEx) - Seaman, Michael P.
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:30pm - 4:00pm Conference Call: Division Directors, OCIE, GC, OCA, OLIA (b)(6) - Clayton, Jay
- 3:30pm - 4:00pm Conference Call: Division Directors, OCIE, GC, OCA, OLIA (b)(6) - Clayton, Jay
- 4:02pm - 5:02pm Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) - meetings.sec.gov
- 4:02pm - 5:02pm Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) - meetings.sec.gov
- 4:15pm - 4:45pm Discuss Path Forward on MIAX SPIKES with Alan & Kay (TM Workroom & WebEx) - Sutaria, Ajay
- 4:15pm - 4:45pm Discuss Path Forward on MIAX SPIKES with Alan & Kay (TM Workroom & WebEx) - Sutaria, Ajay
- 5:00pm - 5:30pm HOLD: Prebrief for Accelerated Filer Press Call (WebEx) - Smith Costello, Chandler
- 5:00pm - 5:30pm HOLD: Prebrief for Accelerated Filer Press Call (WebEx) - Smith Costello, Chandler
- 5:45pm - 8:00pm Commission Briefing-DO NOT FORWARD (WebEx) - Hardin, Cakesha
- 5:45pm - 8:00pm Commission Briefing-DO NOT FORWARD (WebEx) - Hardin, Cakesha

Thursday, March 12

- 9:00am - 9:30am Invitation: Call w/ Sagar Teotia & Bill Hinman. @ Thu Mar 12, 2020 9am - 9:30am (EDT) (b)(6)@sec.gov (Please phone in only.) - tim.ryan@pwc.com
- 9:00am - 9:30am Invitation: Call w/ Sagar Teotia & Bill Hinman. @ Thu Mar 12, 2020 9am - 9:30am (EDT) (b)(6)@sec.gov (Please phone in only.) - tim.ryan@pwc.com
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:30am - 11:00am Conference Call: Meeting w/ Bill and Dalia (WebEx Online) - Clayton, Jay
- 10:30am - 11:00am Conference Call: Meeting w/ Bill and Dalia (WebEx Online) - Clayton, Jay
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am discuss Forms 144 filing question (WebEx Online) - Parratt, Shelley
- 11:00am - 11:30am discuss Forms 144 filing question (WebEx Online) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 12:15pm - 12:45pm HOLD: Accelerated Filer Press Call - Moving for new release time (AT&T Conference Call + Chairman's conference room) - Smith
- 12:15pm - 12:45pm HOLD: Accelerated Filer Press Call - Moving for new release time (AT&T Conference Call + Chairman's conference room) - Smith
- 12:59pm - 1:59pm Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) - meetings.sec.gov
- 12:59pm - 1:59pm Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) - meetings.sec.gov
- 1:00pm - 1:30pm catchup (WebEx Online) - Fredrickson, David R.
- 1:00pm - 1:30pm catchup (WebEx Online) - Fredrickson, David R.
- 1:00pm - 1:30pm Canceled: Prep for HFSC briefing - Pandol, Holli Heiles
- 1:00pm - 1:30pm Canceled: Prep for HFSC briefing - Pandol, Holli Heiles
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 2:30pm - 3:00pm Conference Call: Cross Divisional LIBOR Update for the Chairman's Office (b)(6) - Clayton, Jay
- 2:30pm - 3:00pm Conference Call: Cross Divisional LIBOR Update for the Chairman's Office (b)(6) - Clayton, Jay
- 3:30pm - 4:00pm Regroup on Earnings/Quarterly Reporting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 3:30pm - 4:00pm Regroup on Earnings/Quarterly Reporting (CF Only SP1 4520 Phone Small-12) - Seaman, Michael P.
- 4:30pm - 5:00pm Touch Base (b)(6) (WebEx Online) - Kohl, Lisa
- 4:30pm - 5:00pm Touch Base (b)(6) (WebEx Online) - Kohl, Lisa
- 5:30pm - 6:00pm Conference Call: Weekly Division and Office Director Meeting (b)(6) - Clayton, Jay
- 5:30pm - 6:00pm Conference Call: Weekly Division and Office Director Meeting (b)(6) - Clayton, Jay

Friday, March 13

- 10:30am - 12:30pm Hold for HFSC COVID19 Briefing - Kohl, Lisa
- 10:30am - 12:30pm Hold for HFSC COVID19 Briefing - Kohl, Lisa
- 11:00am - 12:00pm HFSC briefing (Brett's office) - Pandol, Holli Heiles
- 11:00am - 12:00pm HFSC briefing (Brett's office) - Pandol, Holli Heiles
- (b)(6)
- 1:30pm - 2:30pm Meeting w/ Dalia, Brett and Bill (b)(6) - Clayton, Jay
- 1:30pm - 2:30pm Meeting w/ Dalia, Brett and Bill (b)(6) - Clayton, Jay
- 4:30pm - 5:00pm Conference Call: Divisions, OCIE, GC, OCA, OLIA (b)(6) - Clayton, Jay
- 4:30pm - 5:00pm Conference Call: Divisions, OCIE, GC, OCA, OLIA (b)(6) - Clayton, Jay
- 4:45pm - 6:00pm Commission Briefing-DO NOT FORWARD (WebEx) - Hardin, Cakesha
- 4:45pm - 6:00pm Commission Briefing-DO NOT FORWARD (WebEx) - Hardin, Cakesha
- 5:34pm - 6:34pm Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) (b)(6)
- 5:34pm - 6:34pm Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) (b)(6)

March 16, 2020 -
March 22, 2020

March 2020

April 2020

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26	27	28	29	30		

Monday, March 16

(b)(6)

- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 4:47pm - 5:47pm Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) - (b)(6)
- 4:47pm - 5:47pm Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) - (b)(6)
- 5:00pm - 5:30pm Conference Call: Division Directors, OCIE, GC, OCA, OLIA (b)(6) - Clayton, Jay
- 5:00pm - 5:30pm Conference Call: Division Directors, OCIE, GC, OCA, OLIA (b)(6) - Clayton, Jay
- 5:30pm - 6:30pm Commission Briefing-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha
- 5:30pm - 6:30pm Commission Briefing-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha

Tuesday, March 17

(b)(6)

- Saint Patrick's Day (United States)
- Saint Patrick's Day (United States)
- 9:30am - 10:00am catch up (WebEx Online) - Hinman, William
- 9:30am - 10:00am catch up (WebEx Online) - Hinman, William
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am catch up (WebEx Online) - Hinman, William
- 10:30am - 11:00am catch up (WebEx Online) - Hinman, William
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 12:37pm - 1:37pm Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) - (b)(6)
- 12:37pm - 1:37pm Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) - (b)(6)
- 2:30pm - 3:00pm Conference Call: Division and Office Directors (b)(6) - Clayton, Jay
- 2:30pm - 3:00pm Conference Call: Division and Office Directors (b)(6) - Clayton, Jay
- 4:30pm - 5:00pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 4:30pm - 5:00pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 4:30pm - 5:00pm quick briefing on finance cos (WebEx Online) - Parratt, Shelley
- 4:30pm - 5:00pm quick briefing on finance cos (WebEx Online) - Parratt, Shelley
- 5:03pm - 6:03pm Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) - (b)(6)
- 5:03pm - 6:03pm Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) - (b)(6)
- 5:22pm - 6:22pm Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) - (b)(6)
- 5:22pm - 6:22pm Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) - (b)(6)

Wednesday, March 18

(b)(6)

- 9:16am - 10:16am Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) - (b)(6)
- 9:16am - 10:16am Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) - (b)(6)
- 10:00am - 10:30am Commission Briefing-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha
- 10:00am - 10:30am Commission Briefing-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha
- 11:00am - 11:30am Call w/ Senate Banking staff (Hollis's office / dial-in) - Pandol, Hollis Heiles
- 11:00am - 11:30am Call w/ Senate Banking staff (Hollis's office / dial-in) - Pandol, Hollis Heiles
- 1:00pm - 1:30pm daily check in/update - RESCHEDULED (WebEx Online) - Parratt, Shelley
- 1:00pm - 1:30pm daily check in/update - RESCHEDULED (WebEx Online) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:30pm SO update - phone only to limit bandwidth (WebEx Online) - Parratt, Shelley
- 2:00pm - 3:30pm SO update - phone only to limit bandwidth (WebEx Online) - Parratt, Shelley
- 4:30pm - 5:00pm SBC call (WebEx Online) - Pandol, Hollis Heiles
- 4:30pm - 5:00pm SBC call (WebEx Online) - Pandol, Hollis Heiles
- 5:00pm - 5:30pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Clayton, Jay
- 5:00pm - 5:30pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Clayton, Jay

Thursday, March 19

(b)(6)

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Commission Briefing-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha
- 10:00am - 10:30am Commission Briefing-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:36am - 11:36am Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) - meetings.sec.gov
- 10:36am - 11:36am Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) - meetings.sec.gov
- 12:00pm - 1:00pm HOLD: Call with UK FCA re COVID-19 (WebEx Online) - Martin, Katherine K
- 12:00pm - 1:00pm HOLD: Call with UK FCA re COVID-19 (WebEx Online) - Martin, Katherine K
- 12:00pm - 1:00pm CONFIRMED: Call with UK FCA re COVID-19 (Dial in below) - Martin, Katherine K
- 12:00pm - 1:00pm CONFIRMED: Call with UK FCA re COVID-19 (Dial in below) - Martin, Katherine K
- 12:00pm - 1:00pm Canceled: March Madness Lunch and Watch Party (9th Floor Terrace (Room 9300)) - OGC Business Office
- 12:00pm - 1:00pm Canceled: March Madness Lunch and Watch Party (9th Floor Terrace (Room 9300)) - OGC Business Office
- 2:00pm - 2:30pm (Rescheduled) Path forward on SPIKES (TM Workroom & WebEx) - Sutaria, Ajay
- 2:00pm - 2:30pm (Rescheduled) Path forward on SPIKES (TM Workroom & WebEx) - Sutaria, Ajay
- 3:30pm - 5:00pm call with EY (to come) - Teotia, Sagar
- 3:30pm - 5:00pm call with EY (to come) - Teotia, Sagar
- 3:30pm - 4:00pm Covid -19 impacts on Registrants (EY/SEC) (Dial-in info (b)(6)) - Jim Estes (Assurance VC)
- 3:30pm - 4:00pm Covid -19 impacts on Registrants (EY/SEC) (Dial-in info (b)(6)) - Jim Estes (Assurance VC)
- 5:00pm - 5:30pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Clayton, Jay
- 5:00pm - 5:30pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Clayton, Jay
- 6:00pm - 7:00pm call with pwc (to come) - Teotia, Sagar
- 6:00pm - 7:00pm call with pwc (to come) - Teotia, Sagar
- 6:00pm - 6:45pm Updated invitation: Update with PwC @ Thu Mar 19, 2020 6pm - 6:45pm (EDT) (b)(6) @sec.gov ((US) (b)(6)) - matthew.j.adams@pwc.com
- 6:00pm - 6:45pm Updated invitation: Update with PwC @ Thu Mar 19, 2020 6pm - 6:45pm (EDT) (b)(6) @sec.gov ((US) (b)(6)) - matthew.j.adams@pwc.com

Friday, March 20

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 11:00am - 11:15am Relief (WebEx) - Seaman, Michael P.
- 11:00am - 11:15am Relief (WebEx) - Seaman, Michael P.
- 1:30pm - 2:30pm 14a-2(b) Catch up (lol) - Kohl, Lisa
- 1:30pm - 2:30pm 14a-2(b) Catch up (lol) - Kohl, Lisa
- 4:00pm - 4:30pm Proxy Printing Update (WebEx Online) - Seaman, Michael P.
- 4:00pm - 4:30pm Proxy Printing Update (WebEx Online) - Seaman, Michael P.
- 4:30pm - 5:00pm update from Lindsay - earnings releases (WebEx Online) - Parratt, Shelley
- 4:30pm - 5:00pm update from Lindsay - earnings releases (WebEx Online) - Parratt, Shelley
- 5:00pm - 5:30pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Clayton, Jay
- 5:00pm - 5:30pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Clayton, Jay
- 5:30pm - 6:30pm Commission Briefing-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha
- 5:30pm - 6:30pm Commission Briefing-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha

March 23, 2020 -
March 29, 2020

March 2020

April 2020

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Monday, March 23

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:43am - 11:43am Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) - (b)(6)
- 10:43am - 11:43am Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) - (b)(6)
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 4:14pm - 5:14pm FW: Join WebEx meeting in progress: Lisa Kohl's meeting (WebEx Online) - WebEx Support
- 4:14pm - 5:14pm FW: Join WebEx meeting in progress: Lisa Kohl's meeting (WebEx Online) - WebEx Support
- 5:00pm - 5:30pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Clayton, Jay
- 5:00pm - 5:30pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Clayton, Jay
- 5:30pm - 6:00pm Commission Briefing-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha
- 5:30pm - 6:00pm Commission Briefing-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha

Tuesday, March 24

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:30am - 11:30am Conference Call: Meeting w/ Division and Office Directors (b)(6) - Clayton, Jay
- 10:30am - 11:30am Conference Call: Meeting w/ Division and Office Directors (b)(6) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:15pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:15pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:03pm - 2:03pm Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) - (b)(6)
- 1:03pm - 2:03pm Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) - (b)(6)
- 2:00pm - 3:00pm Call w/ Anne Sheehan (IAC) and Allison Bennington (Value Act) re: PVAB (WebEx Online) - Kohl, Lisa
- 2:00pm - 3:00pm Call w/ Anne Sheehan (IAC) and Allison Bennington (Value Act) re: PVAB (WebEx Online) - Kohl, Lisa
- 4:30pm - 5:00pm accommodation request (WebEx Online) - Parratt, Shelley
- 4:30pm - 5:00pm accommodation request (WebEx Online) - Parratt, Shelley

Wednesday, March 25

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:30am Canceled: Conference Call: Briefing for House Financial Services Committee Members (b)(6) - Clayton, Jay
- 10:30am - 11:30am Canceled: Conference Call: Briefing for House Financial Services Committee Members (b)(6) - Clayton, Jay
- 1:00pm - 1:30pm 14a-6 request (WebEx Online) - Parratt, Shelley
- 1:00pm - 1:30pm 14a-6 request (WebEx Online) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Clayton, Jay
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Clayton, Jay
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha

Thursday, March 26

- 9:30am - 10:30am Conference Call w/ Grant Thornton (b)(6) - Clayton, Jay
- 9:30am - 10:30am Conference Call w/ Grant Thornton (b)(6) - Clayton, Jay
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:30am - 11:30am Conference Call w/ BDO (b)(6) - Clayton, Jay
- 10:30am - 11:30am Conference Call w/ BDO (b)(6) - Clayton, Jay
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 12:00pm - 12:30pm daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 12:00pm - 12:30pm daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 1:00pm - 2:00pm checking in for 1:15 (WebEx Online) - Fredrickson, David R.
- 1:00pm - 2:00pm checking in for 1:15 (WebEx Online) - Fredrickson, David R.
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 2:00pm - 3:00pm (b)(5)
- 2:00pm - 3:00pm (b)(5)
- 3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P.
- 3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P.

Friday, March 27

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Trial run on video clip technology with Bill (WebEx Online) - Arietti Gold, Charlene
- 10:00am - 10:30am Trial run on video clip technology with Bill (WebEx Online) - Arietti Gold, Charlene
- 1:08pm - 2:08pm Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) - meetings.sec.gov
- 1:08pm - 2:08pm Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) - meetings.sec.gov
- 1:30pm - 2:00pm paper to electronic - Forms 144 (WebEx Online) - Parratt, Shelley
- 1:30pm - 2:00pm paper to electronic - Forms 144 (WebEx Online) - Parratt, Shelley
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Clayton, Jay
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Clayton, Jay
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha

March 30, 2020 -
April 5, 2020

March 2020						
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April 2020						
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Monday, March 30

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:30pm - 1:30pm (b)(5)
- 12:30pm - 1:30pm
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 4:00pm - 4:30pm Completing Performance Reviews (WebEx Online) - Arietti Gold, Charlene
- 4:00pm - 4:30pm Completing Performance Reviews (WebEx Online) - Arietti Gold, Charlene
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) Clayton, Jay
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS Clayton, Jay
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha

Tuesday, March 31

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) Blake, Kristene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Cohen, Alan
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Cohen, Alan
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 12:00pm - 12:45pm Update meeting - SEC and KPMG (b)(6) Daylor, Jacquelyn K
- 12:00pm - 12:45pm Update meeting - SEC and KPMG (b)(6) Daylor, Jacquelyn K
- 1:30pm - 2:00pm Bret R
- 1:30pm - 2:00pm Bret R
- 1:30pm - 1:45pm Catch-Up: Bill/Brett (b)(6) - Redfearn, Brett
- 1:30pm - 1:45pm Catch-Up: Bill/Brett (b)(6) - Redfearn, Brett
- 2:30pm - 3:00pm FW: Covid-19 issues (Tel: (b)(6)) Skype Meeting (connection details below) - Davine, Christine
- 2:30pm - 3:00pm FW: Covid-19 issues (Tel: (b)(6)) Skype Meeting (connection details below) - Davine, Christine
- 3:30pm - 4:30pm Conference Call: House Financial Services Committee Members (Dial in (b)(6)) - Clayton, Jay
- 3:30pm - 4:30pm Conference Call: House Financial Services Committee Members (Dial in (b)(6)) - Clayton, Jay
- 4:00pm - 4:30pm Bill/Lindsay update (WebEx Online) - Parratt, Shelley
- 4:00pm - 4:30pm Bill/Lindsay update (WebEx Online) - Parratt, Shelley

Wednesday, April 1

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:30am - 12:00pm FW: SEC-Ripple Meeting (https://zoom.us/j/448795763) - Turqman, Eric T.
- 10:30am - 12:00pm FW: SEC-Ripple Meeting (https://zoom.us/j/448795763) - Turqman, Eric T.
- 10:30am - 12:00pm Mtg with Ripple Counsel (CONF RM SP2 8280 VTC/WebEx Large-30 and WebEx) - Waxman, Daphna A.
- 10:30am - 12:00pm Mtg with Ripple Counsel (CONF RM SP2 8280 VTC/WebEx Large-30 and WebEx) - Waxman, Daphna A.
- 12:01pm - 1:01pm Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) (b)(6)
- 12:01pm - 1:01pm Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) (b)(6)
- 1:00pm - 1:30pm Conference Call: Cross Divisional LIBOR Update for the Chairman's Office (b)(6) Clayton, Jay
- 1:00pm - 1:30pm Conference Call: Cross Divisional LIBOR Update for the Chairman's Office (b)(6) Clayton, Jay
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update - resetting webex (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update - resetting webex (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) Clayton, Jay
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS Clayton, Jay
- 4:40pm - 5:40pm Small Group Meeting (b)(6) Blake, Kristene
- 4:40pm - 5:40pm Small Group Meeting (b)(6) Blake, Kristene
- 4:40pm - 5:00pm NEW: Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) Clayton, Jay
- 4:40pm - 5:00pm NEW: Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS Clayton, Jay
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha

Thursday, April 2

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 11:15am - 11:45am LIBOR (WebEx Online) - Kohl, Lisa
- 11:15am - 11:45am LIBOR (WebEx Online) - Kohl, Lisa
- 12:00pm - 12:15pm discuss SO postings (WebEx Online) - Parratt, Shelley
- 12:00pm - 12:15pm discuss SO postings (WebEx Online) - Parratt, Shelley
- 1:24pm - 2:24pm Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) (b)(6)
- 1:24pm - 2:24pm Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) (b)(6)
- 1:53pm - 2:53pm Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) -
- 1:53pm - 2:53pm Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) -
- 3:27pm - 4:27pm Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) -
- 3:27pm - 4:27pm Join WebEx meeting in progress: William Hinman's meeting (WebEx Online) -
- 3:30pm - 4:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 3:30pm - 4:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)

Friday, April 3

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am OASB/CF Catch Up (WebEx) - Kohl, Lisa
- 10:00am - 10:30am OASB/CF Catch Up (WebEx) - Kohl, Lisa
- 10:30am - 11:00am Proxy Printing/Mailing Problems - Potential Relief (WebEx) - Yu, Ted
- 10:30am - 11:00am Proxy Printing/Mailing Problems - Potential Relief (WebEx) - Yu, Ted
- 11:10am - 11:40am KOSPI 200 Futures Issue (WebEx Online) - Kohl, Lisa
- 11:10am - 11:40am KOSPI 200 Futures Issue (WebEx Online) - Kohl, Lisa
- 1:30pm - 2:00pm Discuss 3-05 (WebEx Online) - Kohl, Lisa
- 1:30pm - 2:00pm Discuss 3-05 (WebEx Online) - Kohl, Lisa
- 2:00pm - 2:30pm Luckin Tag Up (WebEx Online) - Kohl, Lisa
- 2:00pm - 2:30pm Luckin Tag Up (WebEx Online) - Kohl, Lisa
- 2:30pm - 3:00pm Conference Call Re: Auditing Matter - Blake, Kristene
- 2:30pm - 3:00pm Conference Call Re: Auditing Matter - Blake, Kristene
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) Clayton, Jay
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS Clayton, Jay
- 5:00pm - 5:30pm Commission Briefings-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha
- 5:00pm - 5:30pm Commission Briefings-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha

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Monday, April 6

- 9:00am - 9:15am Invitation to WebEx meeting: Catch up 9:00 am (15 mins) Eastern Daylight Time (New York, GMT-04:00) (WebEx Online) - Sean Memon via SEC WebEx
- 9:00am - 9:15am Invitation to WebEx meeting: Catch up 9:00 am (15 mins) Eastern Daylight Time (New York, GMT-04:00) (WebEx Online) - Sean Memon via SEC WebEx
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:30am - 11:00am Catch up Bill/Sagar/Bryan/Sean/Sebastian (b)(6) - Memon, Sean
- 10:30am - 11:00am Catch up Bill/Sagar/Bryan/Sean/Sebastian (b)(6) - Memon, Sean
- 11:30am - 12:00pm Catch up with J Zepralka (WebEx Online) - Zepralka, Jennifer
- 11:30am - 12:00pm Catch up with J Zepralka (WebEx Online) - Zepralka, Jennifer
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 3:45pm - 4:00pm Intel on your message--I've gathered information from staff (WebEx Online) - Arietti Gold, Charlene
- 3:45pm - 4:00pm Intel on your message--I've gathered information from staff (WebEx Online) - Arietti Gold, Charlene
- 4:00pm - 4:30pm Test FTP for video (WebEx Online) - Arietti Gold, Charlene
- 4:00pm - 4:30pm Test FTP for video (WebEx Online) - Arietti Gold, Charlene
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha

Tuesday, April 7

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) - Blake, Kristene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 3:30pm Catch up re disclosures (WebEx Online) - Memon, Sean
- 3:00pm - 3:30pm Catch up re disclosures (WebEx Online) - Memon, Sean
- 4:00pm - 4:30pm CorpFin Monthly Update (Dial In - (b)(6)) - Lee, Allison
- 4:00pm - 4:30pm CorpFin Monthly Update (Dial In - (b)(6)) - Lee, Allison
- 4:00pm - 4:30pm CorpFin Monthly Update - Lee, Allison
- 4:00pm - 4:30pm CorpFin Monthly Update - Lee, Allison
- 4:30pm - 5:00pm Bill/Lindsay update - RESCHEDULED (WebEx Online) - Parratt, Shelley
- 4:30pm - 5:00pm Bill/Lindsay update - RESCHEDULED (WebEx Online) - Parratt, Shelley

Wednesday, April 8

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:00am webex for 10:30 (WebEx Online) - Parratt, Shelley
- 10:30am - 11:00am webex for 10:30 (WebEx Online) - Parratt, Shelley
- 11:30am - 12:00pm meeting (WebEx Online) - Seaman, Michael P.
- 11:30am - 12:00pm meeting (WebEx Online) - Seaman, Michael P.
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 4:00pm industry updates - EXTENDING TIME (WebEx Online) - Parratt, Shelley
- 2:00pm - 4:00pm industry updates - EXTENDING TIME (WebEx Online) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:45pm - 3:55pm meeting (WebEx Online) - Seaman, Michael P.
- 3:45pm - 3:55pm meeting (WebEx Online) - Seaman, Michael P.
- 4:00pm - 4:30pm NYSE/Nasdaq listing standards (Dial-in added below) - Smith, Kay
- 4:00pm - 4:30pm NYSE/Nasdaq listing standards (Dial-in added below) - Smith, Kay
- 5:00pm - 5:30pm John Zecca / SEC - Listing Standards Relief Request (WebEx Online) - Redfearn, Brett
- 5:00pm - 5:30pm John Zecca / SEC - Listing Standards Relief Request (WebEx Online) - Redfearn, Brett

Thursday, April 9

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:30am - 12:00pm HOLD -- CALL WITH DHS (WebEx Online) - Sumption, Nancy K
- 11:30am - 12:00pm HOLD -- CALL WITH DHS (WebEx Online) - Sumption, Nancy K
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 1:45pm David update (WebEx Online) - Parratt, Shelley
- 1:15pm - 1:45pm David update (WebEx Online) - Parratt, Shelley
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha

Friday, April 10

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 11:00am - 11:30am STB Benefits Discussion (b)(6) - Groenert, Elizabeth A.
- 11:00am - 11:30am STB Benefits Discussion (b)(6) - Groenert, Elizabeth A.
- 3:00pm - 3:30pm catch-up (WebEx Online) - Seaman, Michael P.
- 3:00pm - 3:30pm catch-up (WebEx Online) - Seaman, Michael P.
- 4:30pm - 5:00pm Canceled: Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 4:30pm - 5:00pm Canceled: Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 5:00pm - 5:30pm Canceled: Commission Briefing-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha
- 5:00pm - 5:30pm Canceled: Commission Briefing-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha

April 13, 2020 - April 19, 2020

April 2020

May 2020

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Monday, April 13

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Cross Divisional LIBOR Update for the Chairman's Office (b)(6) - Blake, Kristene
- 1:30pm - 2:00pm Cross Divisional LIBOR Update for the Chairman's Office (b)(6) - Blake, Kristene
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Call: (b)(6)) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Call: (b)(6)) - Johnson, Winter (Contractor)
- 3:00pm - 3:30pm meeting - Seaman, Michael P.
- 3:00pm - 3:30pm meeting - Seaman, Michael P.
- 4:00pm - 4:30pm Cross Divisional LIBOR Update for the Chairman's Office (Chairman's Conference Room) - Clayton, Jay
- 4:00pm - 4:30pm Cross Divisional LIBOR Update for the Chairman's Office (Chairman's Conference Room) - Clayton, Jay
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha

Tuesday, April 14

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am FY20 GAO Audit Entrance Conference (webex) - Kauffman, Caryn
- 9:30am - 10:00am FY20 GAO Audit Entrance Conference (webex) - Kauffman, Caryn
- 9:30am - 10:00am GAO Audit Entrance Conference (b)(6) - Clayton, Jay
- 9:30am - 10:00am GAO Audit Entrance Conference (b)(6) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors
- (b)(6) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) - Blake, Kristene
- (b)(6) - Blake, Kristene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 1:00pm - 1:30pm Conference Call RE: 3-05 adopting release (b)(6) - Blake, Kristene
- 1:00pm - 1:30pm Conference Call RE: 3-05 adopting release (b)(6) - Blake, Kristene
- 2:00pm - 2:30pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 2:00pm - 2:30pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 3:00pm - 4:00pm 14a-2(b) (WebEx) - Kohl, Lisa
- 3:00pm - 4:00pm 14a-2(b) (WebEx) - Kohl, Lisa
- 3:30pm - 4:00pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 3:30pm - 4:00pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 4:30pm - 5:00pm Bill/Lindsay update - RESCHEDULED (WebEx Online) - Parratt, Shelley
- 4:30pm - 5:00pm Bill/Lindsay update - RESCHEDULED (WebEx Online) - Parratt, Shelley
- 5:00pm - 5:30pm chat - Hinman, William
- 5:00pm - 5:30pm chat - Hinman, William

Wednesday, April 15

- Tax Day (United States)
- Tax Day (United States)
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:15am - 10:30am DEAC - Kohl, Lisa
- 10:15am - 10:30am DEAC - Kohl, Lisa
- 10:30am - 11:00am COVID-19 Update Meeting (WebEx) - Seaman, Michael P.
- 10:30am - 11:00am COVID-19 Update Meeting (WebEx) - Seaman, Michael P.
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update - UPDATING WEBEX (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update - UPDATING WEBEX (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 5:00pm - 5:30pm Canceled: Summary of Weekly Offering Info (webex) - Gharib, Johnny
- 5:00pm - 5:30pm Canceled: Summary of Weekly Offering Info (webex) - Gharib, Johnny

Thursday, April 16

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Meeting with Bill Hinman (CorpFin) (WebEx Online) - Spratley, Laura E
- 10:30am - 11:00am Bi-weekly Meeting with Bill Hinman (CorpFin) (WebEx Online) - Spratley, Laura E
- 3:30pm - 4:00pm NYSE Rule 451 (WebEx) - Kohl, Lisa
- 3:30pm - 4:00pm NYSE Rule 451 (WebEx) - Kohl, Lisa
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx Online) - Hardin, Cakesha

Friday, April 17

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 2:00pm - 2:30pm COVID-19 Update Meeting (WebEx) - Seaman, Michael P.
- 2:00pm - 2:30pm COVID-19 Update Meeting (WebEx) - Seaman, Michael P.
- 3:30pm - 4:00pm Comm. Lee Discuss RBC Waiver w/ CorpFin - Lee, Allison
- 3:30pm - 4:00pm Comm. Lee Discuss RBC Waiver w/ CorpFin - Lee, Allison

April 20, 2020 - April 26, 2020

April 2020

May 2020

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Monday, April 20

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:30am - 12:00pm Statement (WebEx) - Gomez Abero, Sebastian
- 11:30am - 12:00pm Statement (WebEx) - Gomez Abero, Sebastian
- 1:00pm - 1:15pm Talk about potential NACD speaking event (WebEx) - Gomez Abero, Sebastian
- 1:00pm - 1:15pm Talk about potential NACD speaking event (WebEx) - Gomez Abero, Sebastian
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 2:00pm - 2:30pm Crowdfunding Relief (WebEx) - Seaman, Michael P.
- 2:00pm - 2:30pm Crowdfunding Relief (WebEx) - Seaman, Michael P.
- 4:00pm - 4:30pm direct listing review discussion - RESCHEDULED - Parratt, Shelley
- 4:00pm - 4:30pm direct listing review discussion - RESCHEDULED - Parratt, Shelley
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS [b](6) - Blake, Kristene
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS [b](6) - Blake, Kristene
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx) - Hardin, Cakesha
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx) - Hardin, Cakesha

Tuesday, April 21

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors [b](6) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors [b](6) - Blake, Kristene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:00pm - 12:30pm Argentina Schedule B - Parratt, Shelley
- 12:00pm - 12:30pm Argentina Schedule B - Parratt, Shelley
- 1:15pm - 1:30pm COVID-19-related Draft Bills (WebEx) - Kohl, Lisa
- 1:15pm - 1:30pm COVID-19-related Draft Bills (WebEx) - Kohl, Lisa
- 1:30pm - 2:00pm LIBOR/TIA (WebEx) - Kohl, Lisa
- 1:30pm - 2:00pm LIBOR/TIA (WebEx) - Kohl, Lisa
- 3:00pm - 3:30pm USO update - Parratt, Shelley
- 3:00pm - 3:30pm USO update - Parratt, Shelley
- 3:00pm - 3:30pm Canceled: RESCHEDULING: Briefing on China Statement - Strom, Natalie
- 3:00pm - 3:30pm Canceled: RESCHEDULING: Briefing on China Statement - Strom, Natalie
- 4:00pm - 4:30pm Bill/Lindsay update (WebEx Online) - Parratt, Shelley
- 4:00pm - 4:30pm Bill/Lindsay update (WebEx Online) - Parratt, Shelley

Wednesday, April 22

- Administrative Professionals Day (United States)
- Administrative Professionals Day (United States)
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:00am webex - Parratt, Shelley
- 10:30am - 11:00am webex - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:15pm - 1:25pm USO - Seaman, Michael P.
- 1:15pm - 1:25pm USO - Seaman, Michael P.
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm US oil ETP - privileged and confidential (dial-in below) - Smith, Kay
- 1:30pm - 2:00pm US oil ETP - privileged and confidential (dial-in below) - Smith, Kay
- 2:20pm - 2:30pm meeting - Seaman, Michael P.
- 2:20pm - 2:30pm meeting - Seaman, Michael P.
- 2:30pm - 3:00pm Sumit/Bill intro (Bill to call sumit at [b](6)) - Rajpal, Sumit
- 2:30pm - 3:00pm Sumit/Bill intro (Bill to call sumit at [b](6)) - Rajpal, Sumit
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:30pm - 4:00pm Options for engagement activities in Friday "town hall" (WebEx) - Arietti Gold, Charlene
- 3:30pm - 4:00pm Options for engagement activities in Friday "town hall" (WebEx) - Arietti Gold, Charlene
- 4:00pm - 4:30pm update from Brad on oil price impact - Parratt, Shelley
- 4:00pm - 4:30pm update from Brad on oil price impact - Parratt, Shelley
- 5:00pm - 5:30pm SPIKES Discussion - Next Steps - Redfearn, Brett
- 5:00pm - 5:30pm SPIKES Discussion - Next Steps - Redfearn, Brett

Thursday, April 23

- Ray Garrett - Chicago
- Ray Garrett - Chicago
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:30pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:30pm - 2:00pm Bill/David update - Parratt, Shelley
- 2:30pm - 3:30pm S-3 Implications of COVID Order (WebEx) - Seaman, Michael P.
- 2:30pm - 3:30pm S-3 Implications of COVID Order (WebEx) - Seaman, Michael P.
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS - Blake, Kristene
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS - Blake, Kristene
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx) - Hardin, Cakesha
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx) - Hardin, Cakesha

Friday, April 24

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 11:15am - 11:30am STA concerns re Broadridge (WebEx) - Seaman, Michael P.
- 11:15am - 11:30am STA concerns re Broadridge (WebEx) - Seaman, Michael P.
- 2:00pm - 2:30pm USO check-in//privileged and confidential (dial-in below) - Smith, Kay
- 2:00pm - 2:30pm USO check-in//privileged and confidential (dial-in below) - Smith, Kay
- 2:30pm - 3:00pm Sound Check, agenda review, etc. for Town Hall (Webex) - Arietti Gold, Charlene
- 2:30pm - 3:00pm Sound Check, agenda review, etc. for Town Hall (Webex) - Arietti Gold, Charlene
- 3:00pm - 4:00pm Hold for CF-wide virtual update and happy hour (WebEx) - Arietti Gold, Charlene
- 3:00pm - 4:00pm Hold for CF-wide virtual update and happy hour (WebEx) - Arietti Gold, Charlene
- 3:00pm - 4:00pm SAVE THE DATE: Virtual Town Hall with Bill (WebEx) - CF Business Management Office
- 3:00pm - 4:00pm SAVE THE DATE: Virtual Town Hall with Bill (WebEx) - CF Business Management Office
- 4:15pm - 4:30pm USO - Seaman, Michael P.
- 4:15pm - 4:30pm USO - Seaman, Michael P.

April 27, 2020 -
May 3, 2020

April 2020

May 2020

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Monday, April 27

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:10am - 10:30am USO Update (WebEx) - Seaman, Michael P.
- 10:10am - 10:30am USO Update (WebEx) - Seaman, Michael P.
- 10:30am - 11:00am Emergency order - 8-K reviews - Parratt, Shelley
- 10:30am - 11:00am Emergency order - 8-K reviews - Parratt, Shelley
- 11:30am - 12:00pm areas of review focus - Parratt, Shelley
- 11:30am - 12:00pm areas of review focus - Parratt, Shelley
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 1:30pm - 2:00pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 1:30pm - 2:00pm Canceled: Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 1:30pm - 2:00pm Canceled: Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update w/ Commissioner Peirce (Conference call) - Dent, Denene
- 2:30pm - 3:00pm Corp. Fin. Update w/ Commissioner Peirce (Conference call) - Dent, Denene
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS - Blake, Kristene
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS - Blake, Kristene
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx) - Hardin, Cakesha
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx) - Hardin, Cakesha

Tuesday, April 28

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am USO Check-in Privileged and Confidential (WebEx) - Seaman, Michael P.
- 10:00am - 10:30am USO Check-in Privileged and Confidential (WebEx) - Seaman, Michael P.
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors - Blake, Kristene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm Tag-Up before LIBOR Briefing (WWW) - Kohl, Lisa
- 11:30am - 12:00pm Tag-Up before LIBOR Briefing (WWW) - Kohl, Lisa
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 1:00pm - 1:30pm Business Roundtable Event (See WebEx Invitation) - Clayton, Jay
- 1:00pm - 1:30pm Business Roundtable Event (See WebEx Invitation) - Clayton, Jay
- 1:00pm - 1:30pm Updates for Web seminar: Corporate Governance Committee Meeting - Kirk Schwyn
- 1:00pm - 1:30pm Updates for Web seminar: Corporate Governance Committee Meeting - Kirk Schwyn
- 1:30pm - 2:00pm Cross Divisional LIBOR Update for the Chairman's Office - Clayton, Jay
- 1:30pm - 2:00pm Cross Divisional LIBOR Update for the Chairman's Office - Clayton, Jay
- 1:30pm - 2:00pm Cross Divisional LIBOR Update for the Chairman's Office (Chairman's Conference Room) - Clayton, Jay
- 1:30pm - 2:00pm Cross Divisional LIBOR Update for the Chairman's Office (Chairman's Conference Room) - Clayton, Jay
- 2:00pm - 3:00pm Conference Call: Meeting w/ AAG Makan Delrahim - Clayton, Jay
- 2:00pm - 3:00pm Conference Call: Meeting w/ AAG Makan Delrahim - Clayton, Jay
- 2:00pm - 4:00pm Hold for JCEB Meeting - Kohl, Lisa
- 2:00pm - 4:00pm Hold for JCEB Meeting - Kohl, Lisa
- 3:30pm - 4:00pm C&Ds for the new mining property disclosure rules - Parratt, Shelley
- 3:30pm - 4:00pm C&Ds for the new mining property disclosure rules - Parratt, Shelley
- 4:00pm - 4:30pm Bill/Lindsay update (WebEx Online) - Parratt, Shelley
- 4:00pm - 4:30pm Bill/Lindsay update (WebEx Online) - Parratt, Shelley

Wednesday, April 29

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:30am - 11:00am COVID-19 Update Meeting (WebEx) - Seaman, Michael P.
- 10:30am - 11:00am COVID-19 Update Meeting (WebEx) - Seaman, Michael P.
- 11:30am - 12:00pm call re enf matter (vs to call wh) - Szczepanik, Valerie
- 11:30am - 12:00pm call re enf matter (vs to call wh) - Szczepanik, Valerie
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 2:30pm Conference Call: Energy Briefing - Blake, Kristene
- 2:00pm - 2:30pm Conference Call: Energy Briefing - Blake, Kristene
- 2:30pm - 3:00pm
- 2:30pm - 3:00pm
- 3:30pm - 4:00pm Retail Briefing (WebEx) - Kohl, Lisa
- 3:30pm - 4:00pm Retail Briefing (WebEx) - Kohl, Lisa
- 4:30pm - 5:30pm Discussion re: intersection of economic analysis and COVID-19 (Chairman's Conference Room) - Memon, Sean
- 4:30pm - 5:30pm Discussion re: intersection of economic analysis and COVID-19 (Chairman's Conference Room) - Memon, Sean

Thursday, April 30

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:30am April FMOC (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
- 10:30am - 11:30am April FMOC (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
- 10:30am - 11:30am April FMOC Meeting - Bare, Stefanie
- 10:30am - 11:30am April FMOC Meeting - Bare, Stefanie
- 10:30am - 11:00am Conf. Call: Bi-weekly Meeting with Bill Hinman (CorpFin) - Spratley, Laura E
- 10:30am - 11:00am Conf. Call: Bi-weekly Meeting with Bill Hinman (CorpFin) - Spratley, Laura E
- 1:45pm - 2:00pm Time to Log-In to RLIA (TBD (Zoom?)) - Kohl, Lisa
- 1:45pm - 2:00pm Time to Log-In to RLIA (TBD (Zoom?)) - Kohl, Lisa
- 2:00pm - 3:00pm RILA GC Event (Online) - Kohl, Lisa
- 2:00pm - 3:00pm RILA GC Event (Online) - Kohl, Lisa
- 3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P.
- 3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P.
- 3:00pm - 3:30pm FW: Hold for NACD Webex Test - Marcel Bucsescu
- 3:00pm - 3:30pm FW: Hold for NACD Webex Test - Marcel Bucsescu
- 3:30pm - 4:15pm FW: NACD Advisory Council Call with SEC Director of Corp Fin Bill Hinman - Marcel Bucsescu
- 3:30pm - 4:15pm FW: NACD Advisory Council Call with SEC Director of Corp Fin Bill Hinman - Marcel Bucsescu
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS - Blake, Kristene
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS - Blake, Kristene
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx) - Hardin, Cakesha
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx) - Hardin, Cakesha

Friday, May 1

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 2:00pm - 2:30pm Call with Dalia Blass, SEC and Paul Wick, Seligman (WebEx Cloud dial-in) - Blass, Dalia
- 2:00pm - 2:30pm Call with Dalia Blass, SEC and Paul Wick, Seligman (WebEx Cloud dial-in) - Blass, Dalia
- 4:00pm - 4:30pm COVID-19 Update Meeting (WebEx) - Seaman, Michael P.
- 4:00pm - 4:30pm COVID-19 Update Meeting (WebEx) - Seaman, Michael P.

May 4, 2020 -
May 10, 2020

May 2020

June 2020

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Monday, May 4

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:30am - 11:00am CARES Act CECL FAQs (WebEx) - McCord, Lindsay
- 10:30am - 11:00am CARES Act CECL FAQs (WebEx) - McCord, Lindsay
- 11:30am - 12:00pm Conference Call: Bill and Raquel Interview Prep (b)(6) - Blake, Kristene
- 11:30am - 12:00pm Conference Call: Bill and Raquel Interview Prep (b)(6) - Blake, Kristene
- 12:00pm - 12:30pm (b)(5)
- 12:00pm - 12:30pm
- 1:00pm - 1:30pm 3-05 (WebEx) - Kohl, Lisa
- 1:00pm - 1:30pm 3-05 (WebEx) - Kohl, Lisa
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 3:00pm - 4:00pm HOLD: Crowdfunding Background Call (Dial In Available in Notes) - Smith Costello, Chandler
- 3:00pm - 4:00pm HOLD: Crowdfunding Background Call (Dial In Available in Notes) - Smith Costello, Chandler
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 5:00pm - 5:45pm Conference Call: COVID-19 Market Monitoring Group (b)(6) - Blake, Kristene
- 5:00pm - 5:45pm Conference Call: COVID-19 Market Monitoring Group (b)(6) - Blake, Kristene

Tuesday, May 5

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 2:00pm Save the Date - Eagle Horizon 2020 COOP Exercise - COOPSupport
- 10:00am - 2:00pm Save the Date - Eagle Horizon 2020 COOP Exercise - COOPSupport
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) - Blake, Kristene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:30am - 12:00pm XRP chat - Szczepanik, Valerie
- 11:30am - 12:00pm XRP chat - Szczepanik, Valerie
- 12:00pm - 1:00pm Lunch w/ Jay - Blake, Kristene
- 12:00pm - 1:00pm Lunch w/ Jay - Blake, Kristene
- 3:30pm - 4:30pm Recording Time for Bill and Raquel Fireside (Closed Commission Hearing Room) - Clayton, Jay
- 3:30pm - 4:30pm Recording Time for Bill and Raquel Fireside (Closed Commission Hearing Room) - Clayton, Jay
- 4:00pm - 4:30pm CorpFin Monthly Update - Lee, Allison
- 4:00pm - 4:30pm CorpFin Monthly Update - Lee, Allison

Wednesday, May 6

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:00am Bill/Betsy update - Parratt, Shelley
- 11:00am - 11:30am Thrivent/Mandatory Arbitration (WebEx) - Kohl, Lisa
- 11:00am - 11:30am Thrivent/Mandatory Arbitration (WebEx) - Kohl, Lisa
- 12:00pm - 4:00pm Save the Date - Eagle Horizon 2020 Devolution Exercise - COOPSupport
- 12:00pm - 4:00pm Save the Date - Eagle Horizon 2020 Devolution Exercise - COOPSupport
- 1:00pm - 1:30pm no-action - new webex - Parratt, Shelley
- 1:00pm - 1:30pm no-action - new webex - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 3:30pm Catch-up (WebEx) - Seaman, Michael P.
- 3:00pm - 3:30pm Catch-up (WebEx) - Seaman, Michael P.
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley

Thursday, May 7

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:30am - 11:00am FW: Call w/ Sherman's office (Webex) - Pandol, Holli Heiles
- 10:30am - 11:00am FW: Call w/ Sherman's office (Webex) - Pandol, Holli Heiles
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:30am - 12:00pm (b)(5) (b)(6) - Avakian, Stephanie
- 11:30am - 12:00pm (b)(5) (b)(6) - Avakian, Stephanie
- 1:00pm - 2:00pm Technology Catalog Demo (Data Catalog expansion) (b)(6) - Kurup, Laura E.
- 1:00pm - 2:00pm Technology Catalog Demo (Data Catalog expansion) (b)(6) - Kurup, Laura E.
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:30pm - 2:00pm Canceled: Bill/David update - CANCELLED - Parratt, Shelley
- 1:30pm - 2:00pm Canceled: Bill/David update - CANCELLED - Parratt, Shelley
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx) - Hardin, Cakesha
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx) - Hardin, Cakesha

Friday, May 8

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 11:00am - 12:00pm 14a-2(b) (WebEx) - Kohl, Lisa
- 11:00am - 12:00pm 14a-2(b) (WebEx) - Kohl, Lisa
- 12:00pm - 1:00pm PSRW 2020 - Virtual Game Show (WebEx) - SEC Internal Communication
- 12:00pm - 1:00pm PSRW 2020 - Virtual Game Show (WebEx) - SEC Internal Communication
- 2:00pm - 2:30pm COVID-19 Update Meeting (WebEx) - Seaman, Michael P.
- 2:00pm - 2:30pm COVID-19 Update Meeting (WebEx) - Seaman, Michael P.
- 2:30pm - 3:30pm 8-K review update - CONFIRMED - Parratt, Shelley
- 2:30pm - 3:30pm 8-K review update - CONFIRMED - Parratt, Shelley

May 2020							June 2020						
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Monday, May 11

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 4:00pm - 4:30pm Corp Fin Update - Lee, Allison
- 4:00pm - 4:30pm Corp Fin Update - Lee, Allison
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 5:00pm - 5:45pm Conference Call: COVID-19 Market Monitoring Group (b)(6) - Blake, Kristene
- 5:00pm - 5:45pm Conference Call: COVID-19 Market Monitoring Group - Blake, Kristene

Tuesday, May 12

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 9:30am - 10:00am Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors
- (b)(6) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors
- (b)(6) - Blake, Kristene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm Reg S-K Release: Call to Discuss Human Capital Management Framework Disclosure (WebEx Online) - Harrison, Sean
- 11:30am - 12:00pm Reg S-K Release: Call to Discuss Human Capital Management Framework Disclosure (WebEx Online) - Harrison, Sean
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 1:30pm - 2:00pm Emerging Markets Roundtable (WebEx) - Gomez Abero, Sebastian
- 1:30pm - 2:00pm Emerging Markets Roundtable (WebEx) - Gomez Abero, Sebastian
- 2:00pm - 2:30pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 2:00pm - 2:30pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 2:00pm - 2:30pm SF-3 eligibility - Parratt, Shelley
- 2:00pm - 2:30pm SF-3 eligibility - Parratt, Shelley
- 4:00pm - 4:30pm Bill/Lindsay update (WebEx Online) - Parratt, Shelley
- 4:00pm - 4:30pm Bill/Lindsay update (WebEx Online) - Parratt, Shelley

Wednesday, May 13

- Rocky Mountain Securities Conference (Denver, CO)
- Rocky Mountain Securities Conference (Denver, CO)
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:30am - 11:00am Accredited Investor (WebEx) - Seaman, Michael P.
- 10:30am - 11:00am Accredited Investor (WebEx) - Seaman, Michael P.
- 11:00am - 11:30am IDA and IM/TM Statement on International Mail Delivery (WebEx) - Seaman, Michael P.
- 11:00am - 11:30am IDA and IM/TM Statement on International Mail Delivery (WebEx) - Seaman, Michael P.
- 12:30pm - 1:00pm Division Priorities - Kohl, Lisa
- 12:30pm - 1:00pm Division Priorities - Kohl, Lisa
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update - resetting webex (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update - resetting webex (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:30pm - 4:00pm USO Pre-meeting (WebEx) - Seaman, Michael P.
- 3:30pm - 4:00pm USO Pre-meeting (WebEx) - Seaman, Michael P.
- 4:00pm - 4:30pm USO Check-in Privileged and Confidential (WebEx) - Seaman, Michael P.
- 4:00pm - 4:30pm USO Check-in Privileged and Confidential (WebEx) - Seaman, Michael P.
- 4:00pm - 4:30pm Cross Divisional LIBOR Update for the Chairman's Office (Chairman's Conference Room) - Clayton, Jay
- 4:00pm - 4:30pm Cross Divisional LIBOR Update for the Chairman's Office (Chairman's Conference Room) - Clayton, Jay
- 4:30pm - 5:15pm FW: Preparation Call: Leaders Council Virtual Meeting, May 18 (U.S. Dial-in: (b)(6) - Stephanie Conte
- 4:30pm - 5:15pm FW: Preparation Call: Leaders Council Virtual Meeting, May 18 (U.S. Dial-in: (b)(6) - Stephanie Conte

Thursday, May 14

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 11:30am - 12:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 11:30am - 12:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 12:00pm - 12:30pm Commission Briefing-DO NOT FORWARD (WebEx) - Hardin, Cakesha
- 12:00pm - 12:30pm Commission Briefing-DO NOT FORWARD (WebEx) - Hardin, Cakesha
- 4:30pm - 5:00pm Conference Call: Bi-weekly w/ Bill Hinman (b)(6) - Blake, Kristene
- 4:30pm - 5:00pm Conference Call: Bi-weekly w/ Bill Hinman (b)(6) - Blake, Kristene

Friday, May 15

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 2:00pm - 2:30pm COVID-19 Update Meeting (WebEx) - Seaman, Michael P.
- 2:00pm - 2:30pm COVID-19 Update Meeting (WebEx) - Seaman, Michael P.
- 3:00pm - 3:30pm catch up on a couple items - see text (sagar to call bill) - Teotia, Sagar
- 3:00pm - 3:30pm catch up on a couple items - see text (sagar to call bill) - Teotia, Sagar
- 3:30pm - 4:30pm Qualified Residential Mortgage Definition - Review Extension (WebEx) - Seaman, Michael P.
- 3:30pm - 4:30pm Qualified Residential Mortgage Definition - Review Extension (WebEx) - Seaman, Michael P.

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Monday, May 18

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 2:00pm - 2:30pm Conference Call: CF Retail Briefing [redacted] - Blake, Kristene
- 2:00pm - 2:30pm Conference Call: CF Retail Briefing [redacted] - Blake, Kristene
- 3:15pm - 3:30pm Quick Tag-Up on Roundtable (WebEx) - Kohl, Lisa
- 3:15pm - 3:30pm Quick Tag-Up on Roundtable (WebEx) - Kohl, Lisa
- 3:30pm - 6:00pm FW: Virtual Leaders Council Meeting -- "Returning to Work: Practical Realities, Legal Risks, Disclosure Obligations" - Sandpiper Partners, Panelists, and Moderator [redacted] - Swanson, Kristofer
- 3:30pm - 6:00pm FW: Virtual Leaders Council Meeting -- "Returning to Work: Practical Realities, Legal Risks, Disclosure Obligations" - Sandpiper Partners, Panelists, and Moderator [redacted] - Swanson, Kristofer
- 3:30pm - 4:00pm Emerging Markets Roundtable - Planning Meeting (WebEx) - Gomez Abero, Sebastian
- 3:30pm - 4:00pm Emerging Markets Roundtable - Planning Meeting (WebEx) - Gomez Abero, Sebastian
- 4:00pm - 6:00pm Hold for Leaders Council Event - Kohl, Lisa
- 4:00pm - 6:00pm Hold for Leaders Council Event - Kohl, Lisa
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS [redacted] - Blake, Kristene
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS [redacted] - Blake, Kristene
- 5:00pm - 5:45pm Conference Call: COVID-19 Market Monitoring Group [redacted] - Blake, Kristene
- 5:00pm - 5:45pm Conference Call: COVID-19 Market Monitoring Group [redacted] - Blake, Kristene

Tuesday, May 19

- 9:30am - 10:00am Conference Call: Energy Briefing [redacted] - Blake, Kristene
- 9:30am - 10:00am Conference Call: Energy Briefing [redacted] - Blake, Kristene
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors [redacted] - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors [redacted] - Blake, Kristene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 2:00pm Direct Listings Discussion with Wilson (WebEx) - Kohl, Lisa
- 1:00pm - 2:00pm Direct Listings Discussion with Wilson (WebEx) - Kohl, Lisa
- 3:00pm - 3:30pm Call: Assistant Secretary Destro and Director Bill Hinman (WebEx) - Kohl, Lisa
- 3:00pm - 3:30pm Call: Assistant Secretary Destro and Director Bill Hinman (WebEx) - Kohl, Lisa
- 4:00pm - 4:30pm Bill/Lindsay update (WebEx Online) - Parratt, Shelley
- 4:00pm - 4:30pm Bill/Lindsay update (WebEx Online) - Parratt, Shelley

Wednesday, May 20

- Franklin Templeton Meeting (New York, NY)
- Franklin Templeton Meeting (New York, NY)
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:00am Bill/Betsy update CONFIRMED - Parratt, Shelley
- 10:30am - 11:00am Bill/Betsy update CONFIRMED - Parratt, Shelley
- 11:00am - 11:30am DERA Report (WebEx) - Seaman, Michael P.
- 11:00am - 11:30am DERA Report (WebEx) - Seaman, Michael P.
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 1:45pm Conference Call w/ Holli, Bill, Sagar, Brett [redacted] - Blake, Kristene
- 1:30pm - 1:45pm Conference Call w/ Holli, Bill, Sagar, Brett [redacted] - Blake, Kristene
- 2:30pm - 3:00pm IAC Executive Committee meeting with Bill Hinman (WebEx) - Perry, Clotelle (Contractor)
- 2:30pm - 3:00pm IAC Executive Committee meeting with Bill Hinman (WebEx) - Perry, Clotelle (Contractor)
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 4:00pm - 4:30pm Thrivent Update (WebEx cloud) - Blass, Dalia
- 4:00pm - 4:30pm Thrivent Update (WebEx cloud) - Blass, Dalia
- 4:30pm - 5:00pm Article 177 / China Disclosure - Kohl, Lisa
- 4:30pm - 5:00pm Article 177 / China Disclosure - Kohl, Lisa

Thursday, May 21

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:30am - 11:00am Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS [redacted] - Blake, Kristene
- 10:30am - 11:00am Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS [redacted] - Blake, Kristene
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Commission Briefing-DO NOT FORWARD (WebEx) - Hardin, Cakesha
- 11:00am - 11:30am Commission Briefing-DO NOT FORWARD (WebEx) - Hardin, Cakesha
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:30am - 12:00pm Relief from 48 hour rule prior to effectiveness - Viela Bio, Inc. - Gilmore, Patrick
- 11:30am - 12:00pm Relief from 48 hour rule prior to effectiveness - Viela Bio, Inc. - Gilmore, Patrick
- 12:00pm - 12:15pm Conference Call: Bob, Dalia, Bill [redacted] - Blake, Kristene
- 12:00pm - 12:15pm Conference Call: Bob, Dalia, Bill [redacted] - Blake, Kristene
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:45pm - 2:00pm AV Check for Town hall (WebEx) - Schoenauer, Virginia
- 1:45pm - 2:00pm AV Check for Town hall (WebEx) - Schoenauer, Virginia
- 2:00pm - 3:00pm SAVE THE DATE: Virtual Town Hall (WebEx) - CF Business Management Office
- 2:00pm - 3:00pm SAVE THE DATE: Virtual Town Hall (WebEx) - CF Business Management Office
- 2:30pm - 2:45pm Follow-up call (WebEx) - Gomez Abero, Sebastian
- 2:30pm - 2:45pm Follow-up call (WebEx) - Gomez Abero, Sebastian
- 4:00pm - 4:30pm Relief from 48 hour rule prior to effectiveness - Viela Bio, Inc. (Webex) - Gilmore, Patrick
- 4:00pm - 4:30pm Relief from 48 hour rule prior to effectiveness - Viela Bio, Inc. (Webex) - Gilmore, Patrick

Friday, May 22

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:30am - 11:00am Conf. Call: Bi-weekly Meeting with Bill Hinman (CorpFin) - Spratley, Laura E
- 10:30am - 11:00am Conf. Call: Bi-weekly Meeting with Bill Hinman (CorpFin) - Spratley, Laura E
- 11:00am - 11:30am NYSE Direct Listing w/ Primary - Kohl, Lisa
- 11:00am - 11:30am NYSE Direct Listing w/ Primary - Kohl, Lisa
- 1:00pm - 1:30pm discuss TP preferences - Parratt, Shelley
- 1:00pm - 1:30pm discuss TP preferences - Parratt, Shelley
- 2:30pm - 3:00pm LIBOR - Kohl, Lisa
- 2:30pm - 3:00pm LIBOR - Kohl, Lisa

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Monday, May 25

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester

Tuesday, May 26

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors - Blake, Kristene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 4:00pm - 4:30pm Bill/Lindsay update (WebEx Online) - Parratt, Shelley
- 4:00pm - 4:30pm Bill/Lindsay update (WebEx Online) - Parratt, Shelley
- 5:00pm - 5:45pm Conference Call: COVID-19 Market Monitoring Group - Blake, Kristene
- 5:00pm - 5:45pm Conference Call: COVID-19 Market Monitoring Group - Blake, Kristene

Wednesday, May 27

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:45am - 11:00am FW: HOLD: Knowledge Sharing Session - MD&A (10am start) - Woody, Kevin
- 9:45am - 11:00am FW: HOLD: Knowledge Sharing Session - MD&A (10am start) - Woody, Kevin
- 10:00am - 10:45am FW: COVID-19 Topic Discussion: MD&A - Woody, Kevin
- 10:00am - 10:45am FW: COVID-19 Topic Discussion: MD&A - Woody, Kevin
- 11:00am - 11:30am LIBOR regroup - Kohl, Lisa
- 11:00am - 11:30am LIBOR regroup - Kohl, Lisa
- 11:00am - 11:30am Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 11:00am - 11:30am Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 1:00pm - 1:30pm Conference Call: Biweekly with Bill - Blake, Kristene
- 1:00pm - 1:30pm Conference Call: Biweekly with Bill - Blake, Kristene
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update - resetting webex (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update - resetting webex (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 4:00pm - 4:30pm Catch Up (WebEx) - Seaman, Michael P.
- 4:00pm - 4:30pm Catch Up (WebEx) - Seaman, Michael P.
- 4:00pm - 4:30pm Cross Divisional LIBOR Update for the Chairman's Office (Chairman's Conference Room) - Clayton, Jay
- 4:00pm - 4:30pm Cross Divisional LIBOR Update for the Chairman's Office (Chairman's Conference Room) - Clayton, Jay

Thursday, May 28

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am USO (WebEx) - Seaman, Michael P.
- 10:30am - 11:00am USO (WebEx) - Seaman, Michael P.
- 11:45am - 12:15pm Conference Call: Energy Briefing - Blake, Kristene
- 11:45am - 12:15pm Conference Call: Energy Briefing - Blake, Kristene
- 3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P.
- 3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P.
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS - Blake, Kristene
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS - Blake, Kristene
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx) - Hardin, Cakesha
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx) - Hardin, Cakesha

Friday, May 29

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 12:00pm FW: SEC/Securities Law Committee Annual Virtual Meeting (WebEx) - Schoenauer, Virginia
- 10:00am - 12:00pm FW: SEC/Securities Law Committee Annual Virtual Meeting (WebEx) - Schoenauer, Virginia
- 10:00am - 12:00pm Hold for Society Annual Meeting (virtually?) (MPR or WebEx) - Kohl, Lisa
- 10:00am - 12:00pm Hold for Society Annual Meeting (virtually?) (MPR or WebEx) - Kohl, Lisa
- 3:10pm - 3:25pm quick catch up - 10 mins (Webex) - Kohl, Lisa
- 3:10pm - 3:25pm quick catch up - 10 mins (Webex) - Kohl, Lisa
- 3:30pm - 4:00pm Catch-up (WebEx) - Seaman, Michael P.
- 3:30pm - 4:00pm Catch-up (WebEx) - Seaman, Michael P.

June 1, 2020 -
June 7, 2020

June 2020

July 2020

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Monday, June 1

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 5:00pm - 5:45pm Conference Call: COVID-19 Market Monitoring Group (b)(6) - Blake, Kristene
- 5:00pm - 5:45pm Conference Call: COVID-19 Market Monitoring Group - Blake, Kristene

Tuesday, June 2

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Fin Hub Update - Arietti Gold, Charlene
- 10:00am - 10:30am Fin Hub Update - Arietti Gold, Charlene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) - Blake, Kristene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:30pm - 1:00pm chat re overstock - Szczepanik, Valerie
- 12:30pm - 1:00pm chat re overstock - Szczepanik, Valerie
- 1:30pm - 2:00pm Discuss 701/Pilot Comments (WebEx) - Kohl, Lisa
- 1:30pm - 2:00pm Discuss 701/Pilot Comments (WebEx) - Kohl, Lisa
- 4:00pm - 4:30pm Bill/Lindsay update (WebEx Online) - Parratt, Shelley
- 4:00pm - 4:30pm Bill/Lindsay update (WebEx Online) - Parratt, Shelley
- 4:00pm - 4:30pm CorpFin Monthly Update - Lee, Allison
- 4:00pm - 4:30pm CorpFin Monthly Update - Lee, Allison
- 4:00pm - 4:30pm CorpFin Monthly Update w/ Dial In - Lee, Allison
- 4:00pm - 4:30pm CorpFin Monthly Update w/ Dial In - Lee, Allison
- 4:30pm - 5:00pm catch-up (WebEx) - Seaman, Michael P.
- 4:30pm - 5:00pm catch-up (WebEx) - Seaman, Michael P.

Wednesday, June 3

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:00am Bill/Betsy update - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 2:30pm Touchpoint (Microsoft Teams Meeting) - Steven C Jacobs
- 2:00pm - 2:30pm Touchpoint (Microsoft Teams Meeting) - Steven C Jacobs
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley

Thursday, June 4

- 8:30am - 9:30am Case discussions (b)(6) - Avakian, Stephanie
- 8:30am - 9:30am Case discussions (b)(6) - Avakian, Stephanie
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 12:00pm - 12:30pm SEC/World Bank Call (Webex) - Hamm, Kimberly
- 12:00pm - 12:30pm SEC/World Bank Call (Webex) - Hamm, Kimberly
- 1:00pm - 1:30pm Life Science Briefing (WebEx) - Kohl, Lisa
- 1:00pm - 1:30pm Life Science Briefing (WebEx) - Kohl, Lisa
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:30pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:30pm - 2:00pm Bill/David update - Parratt, Shelley

Friday, June 5

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley

June 8, 2020 -
June 14, 2020

June 2020

July 2020

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Monday, June 8

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 12:00pm FCLT Global Call (confirmed) (WebEx) - Kohl, Lisa
- 11:00am - 12:00pm FCLT Global Call (confirmed) (WebEx) - Kohl, Lisa
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 3:00pm - 3:30pm Roundtable Catch-Up (WebEx) - Kohl, Lisa
- 3:00pm - 3:30pm Roundtable Catch-Up (WebEx) - Kohl, Lisa
- 3:30pm - 4:00pm Conf. Call: Bi-weekly Meeting with Bill Hinman (CorpFin) - Spratley, Laura E
- 3:30pm - 4:00pm Conf. Call: Bi-weekly Meeting with Bill Hinman (CorpFin) - Spratley, Laura E
- 4:15pm - 4:30pm Bill Hinman / Overstock / TZero - Redfearn, Brett
- 4:15pm - 4:30pm Bill Hinman / Overstock / TZero - Redfearn, Brett
- 4:30pm - 5:00pm Catch-up (WebEx) - Seaman, Michael P.
- 4:30pm - 5:00pm Catch-up (WebEx) - Seaman, Michael P.
- 5:00pm - 5:45pm Conference Call: COVID-19 Market Monitoring Group (b)(6) - Blake, Kristene
- 5:00pm - 5:45pm Conference Call: COVID-19 Market Monitoring Group (b)(6) - Blake, Kristene

Tuesday, June 9

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am OCA Statement (Webex) - McCord, Lindsay
- 9:30am - 10:00am OCA Statement (Webex) - McCord, Lindsay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) - Blake, Kristene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 1:30pm - 2:00pm Sonata
- 1:30pm - 2:00pm Sonata
- 3:30pm - 4:00pm call re PWG/audit standards - privileged and confidential - Dinwoodie, Jeffrey T.
- 3:30pm - 4:00pm call re PWG/audit standards - privileged and confidential - Dinwoodie, Jeffrey T.

Wednesday, June 10

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update - resetting webex (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update - resetting webex (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:30pm - 4:00pm Compliance assessments - Parratt, Shelley
- 3:30pm - 4:00pm Compliance assessments - Parratt, Shelley
- 4:00pm - 4:30pm Cross Divisional LIBOR Update for the Chairman's Office (Chairman's Conference Room) - Clayton, Jay
- 4:00pm - 4:30pm Cross Divisional LIBOR Update for the Chairman's Office (Chairman's Conference Room) - Clayton, Jay
- 5:00pm - 5:30pm China Investigations - Welshhans, Carolyn
- 5:00pm - 5:30pm China Investigations - Welshhans, Carolyn

Thursday, June 11

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am Hold: Internal planning re: PWG deputies meeting - Dinwoodie, Jeffrey T.
- 9:30am - 10:00am Hold: Internal planning re: PWG deputies meeting - Dinwoodie, Jeffrey T.
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 12:15pm - 1:15pm Deputies Call of the President's Working Group on Financial Markets (b)(6) - Dinwoodie, Jeffrey T.
- 12:15pm - 1:15pm Deputies Call of the President's Working Group on Financial Markets (b)(6) - Dinwoodie, Jeffrey T.
- 2:30pm - 3:00pm FW: Jeff to summarize call with Peter Phelan of Treasury re LIBOR (Phone) - Metzman, David
- 2:30pm - 3:00pm FW: Jeff to summarize call with Peter Phelan of Treasury re LIBOR (Phone) - Metzman, David
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 4:30pm - 5:00pm Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx) - Hardin, Cakesha
- 5:00pm - 5:30pm Commission Briefing-DO NOT FORWARD (WebEx) - Hardin, Cakesha

Friday, June 12

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:30am - 11:00am TIA/LIBOR (WebEx) - Kohl, Lisa
- 10:30am - 11:00am TIA/LIBOR (WebEx) - Kohl, Lisa
- 1:00pm - 1:30pm open issues re: 14a-2(b) release (WebEx Online) - Anderson, Michele
- 1:00pm - 1:30pm open issues re: 14a-2(b) release (WebEx Online) - Anderson, Michele
- 1:30pm - 2:00pm PWG - Wood, Bryan
- 1:30pm - 2:00pm PWG - Wood, Bryan
- 2:30pm - 2:45pm Quick Catch Up (WebEx) - Seaman, Michael P.
- 2:30pm - 2:45pm Quick Catch Up (WebEx) - Seaman, Michael P.

June 15, 2020 -
June 21, 2020

June 2020

July 2020

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Monday, June 15

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:30am - 11:00am Hertz - Parratt, Shelley
- 10:30am - 11:00am Hertz - Parratt, Shelley
- 11:00am - 11:30am Interview Qs re: DLT (WebEx) - Kohl, Lisa
- 11:00am - 11:30am Interview Qs re: DLT (WebEx) - Kohl, Lisa
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 2:00pm - 2:15pm Information Session: Global Security Risk Review Guide (WebEx) - CF-Knowledge Management Office
- 2:00pm - 2:15pm Information Session: Global Security Risk Review Guide (WebEx) - CF-Knowledge Management Office
- 2:15pm - 2:30pm A couple items (WebEx) - Seaman, Michael P.
- 2:15pm - 2:30pm A couple items (WebEx) - Seaman, Michael P.
- 2:30pm - 3:00pm DGT 9A catch up (webex) - Gharib, Johnny
- 2:30pm - 3:00pm DGT 9A catch up (webex) - Gharib, Johnny
- 3:00pm - 3:30pm Briefing on Cybersecurity Disclosures (Webex) - Luisi, Shelly C.
- 3:00pm - 3:30pm Briefing on Cybersecurity Disclosures (Webex) - Luisi, Shelly C.
- 4:00pm - 5:00pm Updated invitation: NSS Interview - Bill Hinman @ Mon Jun 15, 2020 4pm - 5pm (EDT) (b)(6) @sec.gov
- 4:00pm - 5:00pm Updated invitation: NSS Interview - Bill Hinman @ Mon Jun 15, 2020 4pm - 5pm (EDT) (b)(6) @sec.gov
- 5:00pm - 5:45pm Conference Call: COVID-19 Market Monitoring Group (b)(6) - Blake, Kristene
- 5:00pm - 5:45pm Conference Call: COVID-19 Market Monitoring Group (b)(6) - Blake, Kristene

Tuesday, June 16

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Meeting to Discuss PWG Draft - China (WebEx) - Gumagay, Paul
- 10:00am - 10:30am Meeting to Discuss PWG Draft - China (WebEx) - Gumagay, Paul
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) - Blake, Kristene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:30pm Conference Call: Assistant Attorney General Makan Delrahim (b)(6) - Blake, Kristene
- 1:30pm - 2:30pm Conference Call: Assistant Attorney General Makan Delrahim (b)(6) - Blake, Kristene
- 2:30pm - 3:00pm Conference Call: Energy Briefing (b)(6) - Blake, Kristene
- 2:30pm - 3:00pm Conference Call: Energy Briefing (b)(6) - Blake, Kristene
- 3:30pm - 4:00pm Conference Call RE: Proxy 14a-2 Release (b)(6) - Blake, Kristene
- 3:30pm - 4:00pm Conference Call RE: Proxy 14a-2 Release (b)(6) - Blake, Kristene
- 4:30pm - 5:00pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 4:30pm - 5:00pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay

Wednesday, June 17

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am Hertz update - PLEASE JOIN NOW - Parratt, Shelley
- 9:30am - 10:00am Hertz update - PLEASE JOIN NOW - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:20am - 10:50am Hertz - Ransom, Mara
- 10:20am - 10:50am Hertz - Ransom, Mara
- 10:30am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:00am Bill/Betsy update - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:30pm - 3:00pm Conference Call: PWG Briefing (b)(6) - Blake, Kristene
- 2:30pm - 3:00pm Conference Call: PWG Briefing (b)(6) - Blake, Kristene
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:30pm - 4:00pm Conference Call: CF Retail Briefing (b)(6) - Blake, Kristene
- 3:30pm - 4:00pm Conference Call: CF Retail Briefing (b)(6) - Blake, Kristene
- 4:30pm - 5:00pm Catch-Up (Virtual) - LaMothe, Cicely
- 4:30pm - 5:00pm Catch-Up (Virtual) - LaMothe, Cicely
- 5:00pm - 5:30pm new matter (webex) - Kohl, Lisa
- 5:00pm - 5:30pm new matter (webex) - Kohl, Lisa

Thursday, June 18

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am Message from OMWI Director Pamela Gibbs - Parratt, Shelley
- 9:30am - 10:00am Message from OMWI Director Pamela Gibbs - Parratt, Shelley
- 10:00am - 10:30am DGT 9A - Liquidity (webex) - Gharib, Johnny
- 10:00am - 10:30am DGT 9A - Liquidity (webex) - Gharib, Johnny
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:30am - 11:00am Hertz call - at W+C request - Parratt, Shelley
- 10:30am - 11:00am Hertz call - at W+C request - Parratt, Shelley
- 10:30am - 11:30am FW: Hertz ATM (Webex) - Gilmore, Patrick
- 10:30am - 11:30am FW: Hertz ATM (Webex) - Gilmore, Patrick
- 11:00am - 12:00pm PWG call - dial in below - Dinwoodie, Jeffrey T.
- 11:00am - 12:00pm PWG call - dial in below - Dinwoodie, Jeffrey T.
- 11:00am - 11:30am Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 11:00am - 11:30am Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 1:00pm - 1:30pm Knowledge Sharing Event: And now, the rest of the story..... (WebEx) - CF-Knowledge Management Office
- 1:00pm - 1:30pm Knowledge Sharing Event: And now, the rest of the story..... (WebEx) - CF-Knowledge Management Office
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:30pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:30pm - 2:00pm Bill/David update - Parratt, Shelley
- 2:00pm - 2:30pm Cross Divisional LIBOR Update for the Chairman's Office (Kristene will connect) (b)(6) - Clayton, Jay
- 2:00pm - 2:30pm Cross Divisional LIBOR Update for the Chairman's Office (Kristene will connect) (b)(6) - Clayton, Jay
- 2:30pm - 3:00pm Roundtable Catch-Up - Invite Time (WebEx) - Kohl, Lisa
- 2:30pm - 3:00pm Roundtable Catch-Up - Invite Time (WebEx) - Kohl, Lisa

Friday, June 19

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:30am - 11:00am secure file transfer update - Parratt, Shelley
- 10:30am - 11:00am secure file transfer update - Parratt, Shelley

June 18, 2020

Thursday

June 2020

July 2020

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THURSDAY

18

7 AM

8

9

daily check in/update - resetting WebEx Online Parratt, Shelley	daily check in/update - resetting WebEx Online Parratt, Shelley	Message from OMWI Director Pamela Gibbs Parratt, Shelley	Message from OMWI Director Pamela Gibbs Parratt, Shelley
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10

DGT 9A - Liq webex Gharib, John	DGT 9A - Liq webex Gharib, John	Disclosure update - rotating 4521 Parratt, S	Disclosure update - rotating 4521 Parratt, S	FW: Hertz ATM Webex Gilmore, Patrick	FW: Hertz ATM Webex Gilmore, Patrick
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11

PWG call - dial in below Dinwoodie, Jeffrey T.	PWG call - dial in below Dinwoodie, Jeffrey T.	Conference 929-251-961 Blake, Kriste	Conference 929-251-961 Blake, Kriste	Division Dire Chairman's C Moskowi	Division Dire Chairman's C Moskowi	Division Dire Chairman's C Moskowi	Division Dire Chairman's C Moskowi	Division Dire Chairman's C Moskowi	Division Dire Chairman's C Memon,	Division Dire Chairman's C Moskowi	Division Dire Chairman's C Memon,
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12 PM

1

Knowledge Sharing Event: And n WebEx CF-Knowledge Management Offic	Knowledge Sharing Event: And n WebEx CF-Knowledge Management Offic	Bill/David update Parratt, Shelley	Bill/David update Parratt, Shelley	Bill/David update Parratt, Shelley	Bill/David update Parratt, Shelley
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2

Cross Divisional LIBOR Update for the Chairman's Office (Kristene will connect) (b)(6) Clayton, Jay	Cross Divisional LIBOR Update for the Chairman's Office (Kristene will connect) (b)(6) Clayton, Jay
Roundtable Catch-Up - Invite Time WebEx Kohl, Lisa	Roundtable Catch-Up - Invite Time WebEx Kohl, Lisa

3

Proxy Meeting WebEx Gomez Abero, Sebastian	Proxy Meeting WebEx Gomez Abero, Sebastian
Catch Up WebEx Seaman, Michael P.	Catch Up WebEx Seaman, Michael P.

4

Resource Extraction Options WebEx Seaman, Michael P.	Resource Extraction Options WebEx Seaman, Michael P.
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5

Commission Briefing-DO NOT FORWARD WebEx Hardin, Cakesha	Commission Briefing-DO NOT FORWARD WebEx Hardin, Cakesha
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6

June 22, 2020 -
June 28, 2020

June 2020

July 2020

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Monday, June 22

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am hold for a check in with Bill - Parratt, Shelley
- 9:30am - 10:00am hold for a check in with Bill - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 11:30am Hertz proposed comments (webex) - Ransom, Mara
- 11:00am - 11:30am Hertz proposed comments (webex) - Ransom, Mara
- 11:30am - 12:00pm DGT 9A (webex) - Gharib, Johnny
- 11:30am - 12:00pm DGT 9A (webex) - Gharib, Johnny
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 3:30pm - 4:00pm Conf. Call: Bi-weekly Meeting with Bill Hinman (CorpFin) - Spratley, Laura E
- 3:30pm - 4:00pm Conf. Call: Bi-weekly Meeting with Bill Hinman (CorpFin) - Spratley, Laura E
- 4:30pm - 5:00pm Amryt Direct Listing (webex) - Gilmore, Patrick
- 4:30pm - 5:00pm Amryt Direct Listing (webex) - Gilmore, Patrick
- 5:00pm - 5:45pm Conference Call: COVID-19 Market Monitoring Group (b)(6) - Blake, Kristene
- 5:00pm - 5:45pm Conference Call: COVID-19 Market Monitoring Group (b)(6) - Blake, Kristene

Tuesday, June 23

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 11:00am Updated link Updated Training Notice: Financial Statement Query Viewer (FSQV) Analytical Tool (WebEx) - CF-Knowledge Management Office
- 10:00am - 11:00am Updated link Updated Training Notice: Financial Statement Query Viewer (FSQV) Analytical Tool (WebEx) - CF-Knowledge Management Office
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) - Blake, Kristene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm Priorities - TPs and projects - Parratt, Shelley
- 11:30am - 12:00pm Priorities - TPs and projects - Parratt, Shelley
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley
- 4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley

Wednesday, June 24

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am Updated Training Notice: Audit Analytic Query Viewer (AAQV) Analytical Tool (WebEx) - CF-Knowledge Management Office
- 10:00am - 11:00am Updated Training Notice: Audit Analytic Query Viewer (AAQV) Analytical Tool (WebEx) - CF-Knowledge Management Office
- 10:30am - 10:45am Discuss Statement (WebEx) - Seaman, Michael P.
- 10:30am - 10:45am Discuss Statement (WebEx) - Seaman, Michael P.
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update - resetting webex (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update - resetting webex (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 3:30pm Addressing Racial Bias event
- 3:00pm - 3:30pm Addressing Racial Bias event
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:30pm - 4:00pm FW: Conference Call: PWG Prep (b)(6) - Blake, Kristene
- 3:30pm - 4:00pm FW: Conference Call: PWG Prep (b)(6) - Blake, Kristene

Thursday, June 25

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am meeting with reps from Norges Bank re: shareholder voting (WebEx Online) - Anderson, Michele
- 10:00am - 11:00am meeting with reps from Norges Bank re: shareholder voting (WebEx Online) - Anderson, Michele
- 10:00am - 11:00am Updated Training Notice: iView Analytical Tool Training (WebEx) - CF-Knowledge Management Office
- 10:00am - 11:00am Updated Training Notice: iView Analytical Tool Training (WebEx) - CF-Knowledge Management Office
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 11:00am - 11:30am Cross Divisional LIBOR Update for the Chairman's Office (Chairman's Conference Room) - Clayton, Jay
- 11:00am - 11:30am Cross Divisional LIBOR Update for the Chairman's Office (Chairman's Conference Room) - Clayton, Jay
- 12:00pm - 1:00pm Clayton HFSC Hearing - Kohl, Lisa
- 12:00pm - 1:00pm Clayton HFSC Hearing - Kohl, Lisa
- 1:00pm - 2:00pm Canceled: CANCELLED: CF Chief Accountant Interview (WebEx) - Schoenauer, Virginia
- 1:00pm - 2:00pm Canceled: CANCELLED: CF Chief Accountant Interview (WebEx) - Schoenauer, Virginia
- 3:00pm - 3:30pm CF-DERA Monthly Meeting (b)(6) - Kothari, S.P.
- 3:00pm - 3:30pm CF-DERA Monthly Meeting (b)(6) - Kothari, S.P.
- 3:15pm - 3:45pm Roundtable Prep Call: Tracy Maitland, Gary Cohn, Glenn Hutchins, Barbara Novick and Bill Hinman (b)(6) - Clayton, Jay
- 3:15pm - 3:45pm Roundtable Prep Call: Tracy Maitland, Gary Cohn, Glenn Hutchins, Barbara Novick and Bill Hinman (b)(6) - Clayton, Jay
- 3:30pm - 4:30pm Canceled: CANCELLED: CF Chief Accountant Interview (WebEx) - Schoenauer, Virginia
- 3:30pm - 4:30pm Canceled: CANCELLED: CF Chief Accountant Interview (WebEx) - Schoenauer, Virginia
- 4:30pm - 5:30pm Conference Call: PWG (b)(6) - Clayton, Jay
- 4:30pm - 5:30pm Conference Call: PWG (b)(6) - Clayton, Jay
- 5:00pm - 5:30pm Canceled: Diversity and Inclusion CF Event/Town Hall and Budget Submission (Montana? (or WebEx)) - Arietti Gold, Charlene
- 5:00pm - 5:30pm Canceled: Diversity and Inclusion CF Event/Town Hall and Budget Submission (Montana? (or WebEx)) - Arietti Gold, Charlene

Friday, June 26

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am Commission Briefing-DO NOT FORWARD (WebEx) - Hardin, Cakesha
- 9:30am - 10:00am Commission Briefing-DO NOT FORWARD (WebEx) - Hardin, Cakesha
- 10:00am - 10:30am check-in redux (WebEx) - Kohl, Lisa
- 10:00am - 10:30am check-in redux (WebEx) - Kohl, Lisa
- 10:30am - 11:00am climate follow-up - Parratt, Shelley
- 10:30am - 11:00am climate follow-up - Parratt, Shelley
- 11:30am - 12:00pm Dept. of Ed / Privileged and Confidential (webex) - Kohl, Lisa
- 11:30am - 12:00pm Dept. of Ed / Privileged and Confidential (webex) - Kohl, Lisa
- 1:00pm - 2:00pm CONFIRMED: CF Chief Accountant Interview (WebEx) - Schoenauer, Virginia
- 1:00pm - 2:00pm CONFIRMED: CF Chief Accountant Interview (WebEx) - Schoenauer, Virginia
- 2:00pm - 2:30pm Changing topic to Budget Submission (due today) - Arietti Gold, Charlene
- 2:00pm - 2:30pm Changing topic to Budget Submission (due today) - Arietti Gold, Charlene
- 3:00pm - 4:00pm CONFIRMED: CF Chief Accountant Interview (WebEx) - Schoenauer, Virginia
- 3:00pm - 4:00pm CONFIRMED: CF Chief Accountant Interview (WebEx) - Schoenauer, Virginia

June 29, 2020 -
July 5, 2020

June 2020

July 2020

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Monday, June 29

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 10:30am Knowledge Sharing Event: CARES Act & Paycheck Protection Program (WebEx) - CF-Knowledge Management Office
- 10:00am - 10:30am Knowledge Sharing Event: CARES Act & Paycheck Protection Program (WebEx) - CF-Knowledge Management Office
- 12:30pm - 1:00pm Blockstack Mtg. - Szczepanik, Valerie
- 12:30pm - 1:00pm Blockstack Mtg. - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 1:30pm - 2:00pm Meeting w/ Bill Hinman (Chairman's Office) - Clayton, Jay
- 2:00pm - 2:30pm interview follow up - Parratt, Shelley
- 2:00pm - 2:30pm interview follow up - Parratt, Shelley
- 3:30pm - 4:00pm Conference Call: Meeting w/ Bill Hinman (b)(6) Clayton, Jay
- 3:30pm - 4:00pm Conference Call: Meeting w/ Bill Hinman Clayton, Jay
- 4:00pm - 5:00pm PVAB Chat (WebEx) - Kohl, Lisa
- 4:00pm - 5:00pm PVAB Chat (WebEx) - Kohl, Lisa
- 5:00pm - 5:45pm Conference Call: COVID-19 Market Monitoring Group (b)(6) Blake, Kristene
- 5:00pm - 5:45pm Conference Call: COVID-19 Market Monitoring Group Blake, Kristene
- 6:30pm - 7:00pm FW: EDGAR Filing Issues Impacting Most Large Filers TODAY (WebEx) - Windsor, Chris
- 6:30pm - 7:00pm FW: EDGAR Filing Issues Impacting Most Large Filers TODAY (WebEx) - Windsor, Chris

Tuesday, June 30

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) Blake, Kristene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm Senior Staff (WebEx) - Arietti Gold, Charlene
- 11:15am - 12:00pm Senior Staff (WebEx) - Arietti Gold, Charlene
- 2:30pm - 3:00pm Bill/Lindsay update - RESCHEDULED - Parratt, Shelley
- 2:30pm - 3:00pm Bill/Lindsay update - RESCHEDULED - Parratt, Shelley
- 3:30pm - 3:45pm Resource Discussion Sheet (WebEx) - Seaman, Michael P.
- 3:30pm - 3:45pm Resource Discussion Sheet (WebEx) - Seaman, Michael P.
- 4:00pm - 5:00pm Roundtable on Q2 Reporting: Discussion of COVID-19 Related Disclosure Considerations (Auditorium / WebEx) - Clayton, Jay
- 4:00pm - 5:00pm Roundtable on Q2 Reporting: Discussion of COVID-19 Related Disclosure Considerations (Auditorium / WebEx) - Clayton, Jay

Wednesday, July 1

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:00am Bill/Betsy update - Parratt, Shelley
- 12:30pm - 1:00pm Emerging Markets Roundtable - Panel 4 (WebEx) - Gomez Abero, Sebastian
- 12:30pm - 1:00pm Emerging Markets Roundtable - Panel 4 (WebEx) - Gomez Abero, Sebastian
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:45pm - 2:00pm ENF update - Parratt, Shelley
- 1:45pm - 2:00pm ENF update - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 4:00pm - 5:00pm Review Budget Briefing (which is on the calendar for July 7th at 1pm) (WebEx) - Arietti Gold, Charlene
- 4:00pm - 5:00pm Review Budget Briefing (which is on the calendar for July 7th at 1pm) (WebEx) - Arietti Gold, Charlene

Thursday, July 2

- (b)(6)
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:30am Pershing Square - RESCHEDULED again - Parratt, Shelley
- 9:30am - 10:30am Pershing Square - RESCHEDULED again - Parratt, Shelley
- 10:00am - 10:30am COVID-19 Topic Discussion: Other Accounting Issues (WebEx) - CF-Knowledge Management Office
- 10:00am - 10:30am COVID-19 Topic Discussion: Other Accounting Issues (WebEx) - CF-Knowledge Management Office
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:30am - 11:00am Conf. Call: Bi-weekly Meeting with Bill Hinman (CorpFin) - Spratley, Laura E
- 10:30am - 11:00am Conf. Call: Bi-weekly Meeting with Bill Hinman (CorpFin) - Spratley, Laura E
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 1:00pm - 1:30pm Corp Fin/ KUSI Into (WebEx) - Leszczynski, John
- 1:00pm - 1:30pm Corp Fin/ KUSI Into (WebEx) - Leszczynski, John
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:30pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:30pm - 2:00pm Bill/David update - Parratt, Shelley

Friday, July 3

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley

July 6, 2020 -
July 12, 2020

July 2020

August 2020

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Monday, July 6

- (b)(6)
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 12:00pm FW: Confirmed - SEC/CFTC on SPIKES - McGee, Carol
- 11:00am - 12:00pm FW: Confirmed - SEC/CFTC on SPIKES - McGee, Carol
- 11:30am - 12:30pm SEC Emerging Markets Roundtable Prep Session (WebEx) - Kaufman, Jacqueline
- 11:30am - 12:30pm SEC Emerging Markets Roundtable Prep Session (WebEx) - Kaufman, Jacqueline
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 3:30pm - 4:00pm FW: Discussion of -1X Short VIX (webex) - Orr, Andrea
- 3:30pm - 4:00pm FW: Discussion of -1X Short VIX (webex) - Orr, Andrea
- 4:30pm - 5:00pm FW: Brief Aland & Kay on SPIKES Conversation with CFTC Staff (WebEx) - Sutaria, Ajay
- 4:30pm - 5:00pm FW: Brief Aland & Kay on SPIKES Conversation with CFTC Staff (WebEx) - Sutaria, Ajay

Tuesday, July 7

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) Blake, Kristene
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) Blake, Kristene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 1:00pm - 2:00pm CorpFin FY21-FY22 Budget Meeting- Updated Meeting Materials Attached (Webex) - Planning and Budget Office
- 1:00pm - 2:00pm CorpFin FY21-FY22 Budget Meeting- Updated Meeting Materials Attached (Webex) - Planning and Budget Office
- 2:00pm - 2:30pm Cross divisional Labor update for Chairman's office - dial in below - Dinwoodie, Jeffrey T.
- 2:00pm - 2:30pm Cross divisional Labor update for Chairman's office - dial in below - Dinwoodie, Jeffrey T.
- 2:00pm - 2:30pm Cross Divisional LIBOR Update for the Chairman's Office (Chairman's Conference Room) - Clayton, Jay
- 2:00pm - 2:30pm Cross Divisional LIBOR Update for the Chairman's Office (Chairman's Conference Room) - Clayton, Jay
- 3:30pm - 4:00pm FW: Conference Call Re: 14a-8 (b)(6) Blake, Kristene
- 3:30pm - 4:00pm FW: Conference Call Re: 14a-8 (b)(6) Blake, Kristene
- 4:00pm - 4:30pm CorpFin Monthly Update (with WebEx) - Lee, Allison
- 4:00pm - 4:30pm CorpFin Monthly Update (with WebEx) - Lee, Allison
- 4:00pm - 4:30pm CorpFin Monthly Update - Lee, Allison
- 4:00pm - 4:30pm CorpFin Monthly Update - Lee, Allison

Wednesday, July 8

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am Hill Briefing Discussion - Wood, Bryan
- 9:30am - 10:00am Hill Briefing Discussion - Wood, Bryan
- 10:00am - 10:15am Knowledge Sharing: Staying in Touch with CF and SEC News, Releases, and Communications (WebEx) - CF-Knowledge Management Office
- 10:00am - 10:15am Knowledge Sharing: Staying in Touch with CF and SEC News, Releases, and Communications (WebEx) - CF-Knowledge Management Office
- 11:30am - 12:00pm Conference Call RE: 14a-8 (b)(6) Blake, Kristene
- 11:30am - 12:00pm Conference Call RE: 14a-8 (b)(6) Blake, Kristene
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update - resetting webex (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update - resetting webex (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley

Thursday, July 9

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 3:30pm Roundtable (CF is 1:30 to 2:30) (WebEx) - Kohl, Lisa
- 9:30am - 3:30pm Roundtable (CF is 1:30 to 2:30) (WebEx) - Kohl, Lisa
- 10:00am - 11:00am Training Notice - SWAT Release 4.0 (WebEx) - CF-Knowledge Management Office
- 10:00am - 11:00am Training Notice - SWAT Release 4.0 (WebEx) - CF-Knowledge Management Office
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 4:00pm - 4:30pm Review draft agenda (Webex) - Carroll, Robert
- 4:00pm - 4:30pm Review draft agenda (Webex) - Carroll, Robert

Friday, July 10

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley

July 2020							August 2020						
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Monday, July 13

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:30am - 12:00pm Brief Follow Up on Talking Points for Town Hall (WebEx) - Arietti Gold, Charlene
- 11:30am - 12:00pm Brief Follow Up on Talking Points for Town Hall (WebEx) - Arietti Gold, Charlene
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch Up (Val to call Bill) - Szczepanik, Valerie
- 2:00pm - 2:30pm CF Health Care Briefing (b)(6) - Blake, Kristene
- 2:00pm - 2:30pm CF Health Care Briefing (b)(6) - Blake, Kristene
- 3:00pm - 3:30pm Bill/Michele - quick tag up on 14a-2(b) (WebEx) - Kohl, Lisa
- 3:00pm - 3:30pm Bill/Michele - quick tag up on 14a-2(b) (WebEx) - Kohl, Lisa
- 3:30pm - 4:00pm Conference Call: Biweekly w/ Bill (b)(6) - Blake, Kristene
- 3:30pm - 4:00pm Conference Call: Biweekly w/ Bill (b)(6) - Blake, Kristene
- 5:00pm - 5:45pm Conference Call: COVID-19 Market Monitoring Group (b)(6) - Blake, Kristene
- 5:00pm - 5:45pm Conference Call: COVID-19 Market Monitoring Group (b)(6) - Blake, Kristene

Tuesday, July 14

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC (b)(6) - Blake, Kristene
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC (b)(6) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) - Blake, Kristene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:30am - 12:00pm - Hinman, William
- 11:30am - 12:00pm - Hinman, William
- 1:00pm - 1:30pm digital asset check in (con't) (VS to call WH) - Szczepanik, Valerie
- 1:00pm - 1:30pm digital asset check in (con't) (VS to call WH) - Szczepanik, Valerie
- 2:00pm - 3:00pm screening practices - Parratt, Shelley
- 2:00pm - 3:00pm screening practices - Parratt, Shelley

Wednesday, July 15

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:15am 144 Scope (WebEx) - Seaman, Michael P.
- 10:00am - 10:15am 144 Scope (WebEx) - Seaman, Michael P.
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:45am - 11:00am SPAC Issue (WebEx) - Seaman, Michael P.
- 10:45am - 11:00am SPAC Issue (WebEx) - Seaman, Michael P.
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Harmonization (WebEx) - Seaman, Michael P.
- 2:00pm - 3:00pm Harmonization (WebEx) - Seaman, Michael P.
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:30pm - 4:30pm update on COVID order monitoring - Parratt, Shelley
- 3:30pm - 4:30pm update on COVID order monitoring - Parratt, Shelley
- 4:30pm - 5:00pm Meeting re: PWG (b)(6) - Memon, Sean
- 4:30pm - 5:00pm Meeting re: PWG (b)(6) - Memon, Sean

Thursday, July 16

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:45am - 10:00am AV Check - Facilitated Discussion on Racial and Social Justice (WebEx) - Schoenauer, Virginia
- 9:45am - 10:00am AV Check - Facilitated Discussion on Racial and Social Justice (WebEx) - Schoenauer, Virginia
- 10:00am - 11:00am REMINDER: Facilitated Discussion on Racial and Social Justice (WebEx) - CF Business Management Office
- 10:00am - 11:00am REMINDER: Facilitated Discussion on Racial and Social Justice (WebEx) - CF Business Management Office
- 10:00am - 11:00am Tentative Town Hall - Arietti Gold, Charlene
- 10:00am - 11:00am Tentative Town Hall - Arietti Gold, Charlene
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:30am - 11:45am Touch base on LIBOR research (Web ex) - Luisi, Shelly C.
- 11:30am - 11:45am Touch base on LIBOR research (Web ex) - Luisi, Shelly C.
- 12:00pm - 1:00pm Lunch w/ Bill (Chairman's Office) - Clayton, Jay
- 12:00pm - 1:00pm Lunch w/ Bill (Chairman's Office) - Clayton, Jay
- 1:00pm - 2:30pm (b)(6)
- 1:00pm - 2:30pm (b)(6)
- 1:00pm - 2:30pm (b)(6)
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:30pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:30pm - 2:00pm Bill/David update - Parratt, Shelley
- 3:30pm - 4:00pm Discuss ORS data surveillance reporting options (Webex) - Luisi, Shelly C.
- 3:30pm - 4:00pm Discuss ORS data surveillance reporting options (Webex) - Luisi, Shelly C.

Friday, July 17

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley

July 20, 2020 -
July 26, 2020

July 2020

August 2020

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Monday, July 20

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm Harmonization - Reg S (WebEx) - Seaman, Michael P.
- 1:00pm - 1:30pm Harmonization - Reg S (WebEx) - Seaman, Michael P.
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 3:00pm - 3:30pm Prebrief for Reporter Calls (WebEx) - Smith Costello, Chandler
- 3:00pm - 3:30pm Prebrief for Reporter Calls (WebEx) - Smith Costello, Chandler
- 5:00pm - 5:45pm Conference Call: COVID-19 Market Monitoring Group - Blake, Kristene
- 5:00pm - 5:45pm Conference Call: COVID-19 Market Monitoring Group - Blake, Kristene

Tuesday, July 21

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS - Blake, Kristene
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors - Blake, Kristene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 1:30pm - 2:00pm Cross Divisional LIBOR Update for the Chairman's Office (Chairman's Conference Room) - Clayton, Jay
- 1:30pm - 2:00pm Cross Divisional LIBOR Update for the Chairman's Office (Chairman's Conference Room) - Clayton, Jay
- 3:00pm - 5:00pm Reporter Prebriefings (WebEx) - Smith Costello, Chandler
- 3:00pm - 5:00pm Reporter Prebriefings (WebEx) - Smith Costello, Chandler

Wednesday, July 22

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 12:00pm Open Commission Meeting (WebEx) - Hardin, Cakesha
- 10:00am - 12:00pm Open Commission Meeting (WebEx) - Hardin, Cakesha
- 11:30am - 12:00pm Post-Meeting "Virtual Scrum" (Room 4000/AT&T Conference Line) - Smith Costello, Chandler
- 11:30am - 12:00pm Post-Meeting "Virtual Scrum" (Room 4000/AT&T Conference Line) - Smith Costello, Chandler
- 12:30pm - 1:00pm phone dial in - Parratt, Shelley
- 12:30pm - 1:00pm phone dial in - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm climate - Parratt, Shelley
- 1:30pm - 2:00pm climate - Parratt, Shelley
- 2:00pm - 2:30pm Rulemaking update - resetting webex (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 2:30pm Rulemaking update - resetting webex (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:30pm - 3:00pm Confirmed: Background Discussion with Matt Levine (SP 4000 + WebEx (reporter also has WebEx dial in)) - Smith Costello, Chandler
- 2:30pm - 3:00pm Confirmed: Background Discussion with Matt Levine (SP 4000 + WebEx (reporter also has WebEx dial in)) - Smith Costello, Chandler
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:30pm - 4:00pm HFSC minority proxy call - Pandol, Holli Heiles
- 3:30pm - 4:00pm HFSC minority proxy call - Pandol, Holli Heiles
- 3:45pm - 4:15pm Canceled: HFSC minority proxy call - Pandol, Holli Heiles
- 3:45pm - 4:15pm Canceled: HFSC minority proxy call - Pandol, Holli Heiles

Thursday, July 23

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am filing w/ serious deficiencies - Parratt, Shelley
- 10:00am - 10:30am filing w/ serious deficiencies - Parratt, Shelley
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Conf. Call: Bi-weekly Meeting with Bill Hinman (CorpFin) - Spratley, Laura E
- 10:30am - 11:00am Conf. Call: Bi-weekly Meeting with Bill Hinman (CorpFin) - Spratley, Laura E

Friday, July 24

- 9:15am - 9:30am direct listing follow up (phone) - Parratt, Shelley
- 9:15am - 9:30am direct listing follow up (phone) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley

July 27, 2020 - August 2, 2020

July 2020

August 2020

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Calendar grid for July 2020 showing dates 1 through 31.

Calendar grid for August 2020 showing dates 1 through 31.

Monday, July 27

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
11:00am - 11:30am Cross Divisional LIBOR Update for the Chairman's Office - Clayton, Jay
11:00am - 11:30am Cross Divisional LIBOR Update for the Chairman's Office - Clayton, Jay
1:00pm - 1:45pm Canceled: HOLD for DRP Director/Deputy Director Interview (WebEx) - Schoenauer, Virginia
1:00pm - 1:45pm Canceled: HOLD for DRP Director/Deputy Director Interview (WebEx) - Schoenauer, Virginia
3:00pm - 4:00pm Briefing w/ the U.S. House of Representatives China Task Force: China and U.S. Capital Markets (Auditorium / (b)(6)) - Clayton, Jay
3:00pm - 4:00pm Briefing w/ the U.S. House of Representatives China Task Force: China and U.S. Capital Markets (Auditorium / (b)(6)) - Clayton, Jay
3:00pm - 4:00pm Hold for Potential House China Task Force Meeting (WebEx) - Kohl, Lisa
3:00pm - 4:00pm Hold for Potential House China Task Force Meeting (WebEx) - Kohl, Lisa
3:00pm - 3:45pm Canceled: HOLD for DRP Director and Deputy Director Interview (WebEx) - Schoenauer, Virginia
3:00pm - 3:45pm Canceled: HOLD for DRP Director and Deputy Director Interview (WebEx) - Schoenauer, Virginia
4:30pm - 5:00pm Call with Jay/Bill/Steph/Steve - Memon, Sean
4:30pm - 5:00pm Call with Jay/Bill/Steph/Steve - Memon, Sean
5:00pm - 5:45pm Conference Call: COVID-19 Market Monitoring Group - Blake, Kristene
5:00pm - 5:45pm Conference Call: COVID-19 Market Monitoring Group - Blake, Kristene

Tuesday, July 28

- 9:30am - 10:00am Climate Briefing (WebEx) - Kohl, Lisa
9:30am - 10:00am Climate Briefing (WebEx) - Kohl, Lisa
9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC - Blake, Kristene
10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC - Blake, Kristene
10:30am - 11:15am Meeting w/ Division, Office and Regional Directors - Blake, Kristene
10:30am - 11:15am Meeting w/ Division, Office and Regional Directors - Blake, Kristene
10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
11:15am - 12:00pm CF senior staff update - new webex - Parratt, Shelley
11:15am - 12:00pm CF senior staff update - new webex - Parratt, Shelley
11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
1:00pm - 1:45pm CONFIRMED: DRP Director and Deputy Director Interview (WebEx) - Schoenauer, Virginia
1:00pm - 1:45pm CONFIRMED: DRP Director and Deputy Director Interview (WebEx) - Schoenauer, Virginia
2:00pm - 2:30pm Conference Call: Biweekly w/ Bill - Blake, Kristene
2:00pm - 2:30pm Conference Call: Biweekly w/ Bill - Blake, Kristene
4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley
4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley

Wednesday, July 29

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
10:30am - 11:00am Bill/Betsy update - Parratt, Shelley
10:30am - 11:00am Bill/Betsy update - Parratt, Shelley
1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
2:00pm - 2:45pm CONFIRMED: DRP Director and Deputy Director Interview (WebEx) - Schoenauer, Virginia
2:00pm - 2:45pm CONFIRMED: DRP Director and Deputy Director Interview (WebEx) - Schoenauer, Virginia
3:00pm - 4:30pm Commission OCIE and Markets Briefing-DO NOT FORWARD (WebEx) - Hardin, Cakesha
3:00pm - 4:30pm Commission OCIE and Markets Briefing-DO NOT FORWARD (WebEx) - Hardin, Cakesha
3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
5:00pm - 5:45pm CONFIRMED: DRP Director Interview (WebEx) - Schoenauer, Virginia
5:00pm - 5:45pm CONFIRMED: DRP Director Interview (WebEx) - Schoenauer, Virginia

Thursday, July 30

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
10:30am - 11:30am July FMOC (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
10:30am - 11:30am July FMOC (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
10:30am - 11:30am July FMOC (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
10:30am - 11:30am July FMOC (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
10:30am - 11:30am July FMOC (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
10:30am - 11:30am July FMOC (CONF RM SP2 6280 Forum OCOO Only) - Kauffman, Caryn
11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
11:30am - 12:00pm award suggestions (phone?) - Parratt, Shelley
11:30am - 12:00pm award suggestions (phone?) - Parratt, Shelley
12:30pm - 1:00pm Val/Bill crypto catch up - Szczepanik, Valerie
12:30pm - 1:00pm Val/Bill crypto catch up - Szczepanik, Valerie
1:00pm - 1:30pm Discuss ORS China Report - possible next steps (WebEx) - Kohl, Lisa
1:00pm - 1:30pm Discuss ORS China Report - possible next steps (WebEx) - Kohl, Lisa
1:15pm - 2:00pm Bill/David update - Parratt, Shelley
1:15pm - 2:00pm Bill/David update - Parratt, Shelley
1:15pm - 2:00pm Bill/David update - Parratt, Shelley
1:15pm - 2:00pm Bill/David update - Parratt, Shelley
1:30pm - 2:00pm Bill/David update - Parratt, Shelley
1:30pm - 2:00pm Bill/David update - Parratt, Shelley
3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P.
3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P.

Friday, July 31

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
11:00am - 12:00pm Filing Briefing (WebEx) - Seaman, Michael P.
11:00am - 12:00pm Filing Briefing (WebEx) - Seaman, Michael P.
1:00pm - 1:45pm CONFIRMED: DRP Director and Deputy Director Interview (WebEx) - Schoenauer, Virginia
1:00pm - 1:45pm CONFIRMED: DRP Director and Deputy Director Interview (WebEx) - Schoenauer, Virginia
2:00pm - 2:45pm CONFIRMED: DRP Director and Deputy Director Interview (WebEx) - Schoenauer, Virginia
2:00pm - 2:45pm CONFIRMED: DRP Director and Deputy Director Interview (WebEx) - Schoenauer, Virginia
3:00pm - 3:45pm CONFIRMED: DRP Deputy Director Interview (WebEx) - Schoenauer, Virginia
3:00pm - 3:45pm CONFIRMED: DRP Deputy Director Interview (WebEx) - Schoenauer, Virginia
4:00pm - 4:30pm interview wrap up - Parratt, Shelley
4:00pm - 4:30pm interview wrap up - Parratt, Shelley
5:00pm - 5:30pm Sorry to hold everyone, but there is an EDGAR related issues we'd like to raise before Monday. We are doing our homework and will be ready to discuss by 5. (WebEx) - Arietti Gold, Charlene
5:00pm - 5:30pm Sorry to hold everyone, but there is an EDGAR related issues we'd like to raise before Monday. We are doing our homework and will be ready to discuss by 5. (WebEx) - Arietti Gold, Charlene

August 3, 2020 - August 9, 2020

August 2020

September 2020

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Monday, August 3

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 10:30am 101/103/105 Rulemaking (webex) - Gharib, Johnny
- 10:00am - 10:30am 101/103/105 Rulemaking (webex) - Gharib, Johnny
- 11:30am - 12:00pm 14a-8 (WebEx) - Seaman, Michael P.
- 11:30am - 12:00pm 14a-8 (WebEx) - Seaman, Michael P.
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 3:30pm - 4:30pm Coinbase - OCA Consultation (WebEx) - Seaman, Michael P.
- 3:30pm - 4:30pm Coinbase - OCA Consultation (WebEx) - Seaman, Michael P.
- 5:00pm - 5:45pm Conference Call: COVID-19 Market Monitoring Group - Blake, Kristene
- 5:00pm - 5:45pm Conference Call: COVID-19 Market Monitoring Group - Blake, Kristene

Tuesday, August 4

- 9:00am - 9:30am Nasdaq Pre-Meet (WebEx) - Kohl, Lisa
- 9:00am - 9:30am Nasdaq Pre-Meet (WebEx) - Kohl, Lisa
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 9:55am Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS - Blake, Kristene
- 9:30am - 9:55am Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS - Blake, Kristene
- 10:00am - 10:30am Briefing on FOIA Request (WebEx) - Arietti Gold, Charlene
- 10:00am - 10:30am Briefing on FOIA Request (WebEx) - Arietti Gold, Charlene
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors - Blake, Kristene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 12:30pm - 1:00pm kodak (if this works) - Avakian, Stephanie
- 12:30pm - 1:00pm kodak (if this works) - Avakian, Stephanie
- 1:00pm - 2:00pm Nasdaq/SEC call on Direct Listings - Arnold Golub
- 1:00pm - 2:00pm Nasdaq/SEC call on Direct Listings - Arnold Golub
- 1:00pm - 2:00pm Hold for Nasdaq Meeting (TBD) - Kohl, Lisa
- 1:00pm - 2:00pm Hold for Nasdaq Meeting (TBD) - Kohl, Lisa
- 3:30pm - 4:00pm Suspicious Tender Offer Filings (WebEx) - Errett, Robert
- 3:30pm - 4:00pm Suspicious Tender Offer Filings (WebEx) - Errett, Robert
- 4:00pm - 4:30pm CorpFin Monthly Update with WebEx - Lee, Allison
- 4:00pm - 4:30pm CorpFin Monthly Update with WebEx - Lee, Allison
- 4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley
- 4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley
- 4:00pm - 4:30pm CorpFin Monthly Update - Lee, Allison
- 4:00pm - 4:30pm CorpFin Monthly Update - Lee, Allison

Wednesday, August 5

- 9:00am - 9:30am climate docs - Parratt, Shelley
- 9:00am - 9:30am climate docs - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update - resetting webex (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update - resetting webex (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:30pm - 4:00pm Hertz update - Parratt, Shelley
- 3:30pm - 4:00pm Hertz update - Parratt, Shelley
- 4:00pm - 4:15pm Follow Up - FOIA request for CF resumes (WebEx) - Dennis, Patti
- 4:00pm - 4:15pm Follow Up - FOIA request for CF resumes (WebEx) - Dennis, Patti

Thursday, August 6

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am S-K Release Update (webex) - Gharib, Johnny
- 9:30am - 10:00am S-K Release Update (webex) - Gharib, Johnny
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Information Session: Temporary Rule 83 and Rule 418/12b-4 Submission Procedures (WebEx) - CF-Knowledge Management Office
- 10:00am - 10:30am Information Session: Temporary Rule 83 and Rule 418/12b-4 Submission Procedures (WebEx) - CF-Knowledge Management Office
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Conf. Call: Biweekly Meeting w/ Bill Hinman (CorpFin) & CMR Roisman - Spratley, Laura E
- 10:30am - 11:00am Conf. Call: Biweekly Meeting w/ Bill Hinman (CorpFin) & CMR Roisman - Spratley, Laura E
- 11:30am - 12:00pm Coronavirus Relief: Additional Time to File Public Company Reports (WebEx) - CF-Knowledge Management Office
- 11:30am - 12:00pm Coronavirus Relief: Additional Time to File Public Company Reports (WebEx) - CF-Knowledge Management Office
- 1:30pm - 2:00pm 14a-8 (WebEx) - Seaman, Michael P.
- 1:30pm - 2:00pm 14a-8 (WebEx) - Seaman, Michael P.
- 3:30pm - 4:30pm Discuss MD&A Release (WebEx) - Kohl, Lisa
- 3:30pm - 4:30pm Discuss MD&A Release (WebEx) - Kohl, Lisa

Friday, August 7

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:15am Internal SEC precall on PWG - Pandol, Holli Heiles
- 10:00am - 10:15am Internal SEC precall on PWG - Pandol, Holli Heiles
- 1:00pm - 1:30pm MSCI Taiwan Index (WebEx) - Seaman, Michael P.
- 1:00pm - 1:30pm MSCI Taiwan Index (WebEx) - Seaman, Michael P.
- 1:30pm - 2:00pm deli filing - Parratt, Shelley
- 1:30pm - 2:00pm deli filing - Parratt, Shelley
- 2:10pm - 2:25pm FW: Pre-Call PREP for PWG China Report Hill Briefing (Dial-in below) - @treasury.gov
- 2:10pm - 2:25pm FW: Pre-Call PREP for PWG China Report Hill Briefing (Dial-in below) - @treasury.gov
- 2:10pm - 2:25pm Pre-call prep w/ UST - Pandol, Holli Heiles
- 2:10pm - 2:25pm Pre-call prep w/ UST - Pandol, Holli Heiles
- 2:30pm - 3:30pm Hill briefing on PWG - Pandol, Holli Heiles
- 2:30pm - 3:30pm Hill briefing on PWG - Pandol, Holli Heiles
- 5:00pm - 5:15pm Update (WebEx) - Seaman, Michael P.
- 5:00pm - 5:15pm Update (WebEx) - Seaman, Michael P.

August 10, 2020 - August 16, 2020

August 2020

September 2020

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Monday, August 10

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 2:45pm Canceled: Call with Janine Guillot, Tom Riesenber (SASB) and Bill Hinman (SEC) (Webex Dial-in: [b)(6)]) - Grace Hartline
- 2:00pm - 2:45pm Canceled: Call with Janine Guillot, Tom Riesenber (SASB) and Bill Hinman (SEC) (Webex Dial-in: [b)(6)]) - Grace Hartline

Tuesday, August 11

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC ([b)(6)]) - Blake, Kristene
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC ([b)(6)]) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors ([b)(6)]) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors ([b)(6)]) - Blake, Kristene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm Canceled: CF senior staff update - CANCELLED - Parratt, Shelley
- 11:15am - 12:00pm Canceled: CF senior staff update - CANCELLED - Parratt, Shelley
- 4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley
- 4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley

Wednesday, August 12

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:00am Bill/Betsy update - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley

Thursday, August 13

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:45am Information Session: Mandatory Arbitration and Exclusive Forum (WebEx) - CF-Knowledge Management Office
- 10:00am - 10:45am Information Session: Mandatory Arbitration and Exclusive Forum (WebEx) - CF-Knowledge Management Office
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 12:00pm Update on the Agency-wide Race Discussions and Highlights of the Next Steps (via WebEx) - Gibbs, Pamela
- 11:00am - 12:00pm Update on the Agency-wide Race Discussions and Highlights of the Next Steps (via WebEx) - Gibbs, Pamela
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowit, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:30pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:30pm - 2:00pm Bill/David update - Parratt, Shelley

Friday, August 14

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley

August 17, 2020 - August 23, 2020

August 2020

September 2020

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Monday, August 17

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am INX - RESCHEDULED (time w/ fewest conflicts) - Parratt, Shelley
- 10:00am - 11:00am INX - RESCHEDULED (time w/ fewest conflicts) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:00pm - 12:30pm PWG Follow Up - Wood, Bryan
- 12:00pm - 12:30pm PWG Follow Up - Wood, Bryan
- 1:30pm - 2:00pm Meeting (WebEx) - Seaman, Michael P.
- 1:30pm - 2:00pm Meeting (WebEx) - Seaman, Michael P.
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch-Up (VS to call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch-Up (VS to call WH) - Szczepanik, Valerie
- 2:00pm - 2:30pm Stakeholders Meeting Prep (WebEx) - Seaman, Michael P.
- 2:00pm - 2:30pm Stakeholders Meeting Prep (WebEx) - Seaman, Michael P.
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 4:00pm - 5:00pm regroup (b)(6) - Parratt, Shelley
- 4:00pm - 5:00pm regroup (b)(6) - Parratt, Shelley
- 5:00pm - 5:30pm Conference Call: COVID-19 Market Monitoring Group (b)(6) - Blake, Kristene
- 5:00pm - 5:30pm Conference Call: COVID-19 Market Monitoring Group (b)(6) - Blake, Kristene

Tuesday, August 18

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) - Blake, Kristene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm Option Grants (WebEx) - Seaman, Michael P.
- 11:30am - 12:00pm Option Grants (WebEx) - Seaman, Michael P.
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 1:00pm - 2:00pm Awards Briefing and Other Items as time permits (WebEx) - Arietti Gold, Charlene
- 1:00pm - 2:00pm Awards Briefing and Other Items as time permits (WebEx) - Arietti Gold, Charlene
- 2:00pm - 2:30pm Cross Divisional LIBOR Update for the Chairman's Office (b)(6) - Blake, Kristene
- 2:00pm - 2:30pm Cross Divisional LIBOR Update for the Chairman's Office (b)(6) - Blake, Kristene
- 3:00pm - 4:00pm Dakota Coin Authority - RESCHEDULED again - Parratt, Shelley
- 3:00pm - 4:00pm Dakota Coin Authority - RESCHEDULED again - Parratt, Shelley
- 4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley
- 4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley
- 4:30pm - 5:00pm Call on Thrivent - Pandol, Holli Heiles
- 4:30pm - 5:00pm Call on Thrivent - Pandol, Holli Heiles

Wednesday, August 19

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:30am - 12:30pm 14a-8 Stakeholders Meeting (WebEx) - Bates, Hughes
- 10:30am - 12:30pm 14a-8 Stakeholders Meeting (WebEx) - Bates, Hughes
- 11:00am - 12:30pm Invitation to join Web seminar as a panelist: 14a-8 Stakeholders Meeting August 19, 2020 (b)(6) - Jeffrey Gunsteens
- 11:00am - 12:30pm Invitation to join Web seminar as a panelist: 14a-8 Stakeholders Meeting August 19, 2020 (b)(6) - Jeffrey Gunsteens
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update - resetting webex (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update - resetting webex (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:30pm - 5:00pm Per my email last week (WebEx) - Arietti Gold, Charlene
- 4:30pm - 5:00pm Per my email last week (WebEx) - Arietti Gold, Charlene

Thursday, August 20

- 9:30am - 10:00am Catch-up (WebEx) - Seaman, Michael P.
- 9:30am - 10:00am Catch-up (WebEx) - Seaman, Michael P.
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 4:00pm - 5:00pm Call with Janine Guillot, Tom Riesenber (SASB) and Bill Hinman (SEC) (Webex Dial-in (b)(6) - Grace Hartline
- 4:00pm - 5:00pm Call with Janine Guillot, Tom Riesenber (SASB) and Bill Hinman (SEC) (Webex Dial-in (b)(6) - Grace Hartline

Friday, August 21

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 11:00am - 12:00pm New Event
- 11:00am - 12:00pm New Event
- 1:00pm - 1:30pm Biweekly Meeting with Bill Hinman (CorpFin) - Spratley, Laura E
- 1:00pm - 1:30pm Biweekly Meeting with Bill Hinman (CorpFin) - Spratley, Laura E
- 1:30pm - 2:00pm Mandatory Arb/Review Guide (WebEx) - Kohl, Lisa
- 1:30pm - 2:00pm Mandatory Arb/Review Guide (WebEx) - Kohl, Lisa

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Monday, August 24

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:30pm - Social Equity (WebEx) - Arietti Gold, Charlene
- 12:30pm - Social Equity (WebEx) - Arietti Gold, Charlene
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch-Up (VS to call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch-Up (VS to call WH) - Szczepanik, Valerie
- 2:00pm - 2:15pm Tech Check Group 3 - Reminder - Victoria Newton
- 2:00pm - 2:15pm Tech Check Group 3 - Reminder - Victoria Newton
- 3:30pm - 4:00pm Catch-up (WebEx) - Seaman, Michael P.
- 3:30pm - 4:00pm Catch-up (WebEx) - Seaman, Michael P.

Tuesday, August 25

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC (b)(6) - Blake, Kristene
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC (b)(6) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) - Blake, Kristene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff update - new webex - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff update - new webex - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:15pm Tech Check Group 5 - Reminder - Victoria Newton
- 1:00pm - 1:15pm Tech Check Group 5 - Reminder - Victoria Newton
- 2:30pm - 3:00pm Biweekly with Bill (b)(6) - Blake, Kristene
- 2:30pm - 3:00pm Biweekly with Bill (b)(6) - Blake, Kristene
- 3:00pm - 3:30pm Virtual Scrum Pre-brief (WebEx) - Smith Costello, Chandler
- 3:00pm - 3:30pm Virtual Scrum Pre-brief (WebEx) - Smith Costello, Chandler
- 3:30pm - 4:00pm Stuff - Kohl, Lisa
- 3:30pm - 4:00pm Stuff - Kohl, Lisa
- 4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley
- 4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley
- 4:45pm - 5:00pm meeting (WebEx) - Seaman, Michael P.
- 4:45pm - 5:00pm meeting (WebEx) - Seaman, Michael P.

Wednesday, August 26

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:30am Hold for OCM - Kohl, Lisa
- 10:00am - 11:30am Hold for OCM - Kohl, Lisa
- 10:00am - 12:00pm Open Commission Meeting-DO NOT FORWARD (WebEx) - Hardin, Cakesha
- 10:00am - 12:00pm Open Commission Meeting-DO NOT FORWARD (WebEx) - Hardin, Cakesha
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:00am Bill/Betsy update - Parratt, Shelley
- 11:00am - 12:00pm FW: Updated invitation: PwC LIBOR Market update @ Wed Aug 26, 2020 11am - 12pm (EDT) (b)(6)@sec.gov - jessica.m.pufahl@pwc.com
- 11:00am - 12:00pm FW: Updated invitation: PwC LIBOR Market update @ Wed Aug 26, 2020 11am - 12pm (EDT) (b)(6)@sec.gov - jessica.m.pufahl@pwc.com
- 11:00am - 11:30am Post Open Meeting Virtual Scrum (AT&T Conference Line) - Smith Costello, Chandler
- 11:00am - 11:30am Post Open Meeting Virtual Scrum (AT&T Conference Line) - Smith Costello, Chandler
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley

Thursday, August 27

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:30pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:30pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:30pm - 2:00pm Listing Standards/Co-Audit - Wood, Bryan
- 1:30pm - 2:00pm Listing Standards/Co-Audit - Wood, Bryan
- 2:30pm - 3:00pm Thryv Direct Listing (WebEx) - Kohl, Lisa
- 2:30pm - 3:00pm Thryv Direct Listing (WebEx) - Kohl, Lisa
- 3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P.
- 3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P.
- 4:00pm - 4:30pm Items (WebEx) - Seaman, Michael P.
- 4:00pm - 4:30pm Items (WebEx) - Seaman, Michael P.
- 4:30pm - 5:30pm proposed changes to DGT on CTRs - RESCHEDULED - Parratt, Shelley
- 4:30pm - 5:30pm proposed changes to DGT on CTRs - RESCHEDULED - Parratt, Shelley

Friday, August 28

- 9:30am - 11:00am New Event
- 9:30am - 11:00am New Event
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley

August 31, 2020 -
September 6, 2020

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Monday, August 31

(b)(6)

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch-Up (VS to call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch-Up (VS to call WH) - Szczepanik, Valerie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 3:15pm - 4:00pm rulemaking (webex) - Seaman, Michael P.
- 3:15pm - 4:00pm rulemaking (webex) - Seaman, Michael P.

Tuesday, September 1

(b)(6)

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors
- (b)(6) Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors
- (b)(6) - Blake, Kristene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 1:00pm - 1:30pm Quick Touch Base on Hiring (I'll call you) - Arietti Gold, Charlene
- 1:00pm - 1:30pm Quick Touch Base on Hiring (I'll call you) - Arietti Gold, Charlene
- 4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley
- 4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley
- 4:00pm - 4:30pm CorpFin Monthly Update - Lee, Allison
- 4:00pm - 4:30pm CorpFin Monthly Update - Lee, Allison
- 5:10pm - 5:20pm Available to talk? NYSE Issue (WebEx) - Seaman, Michael P.
- 5:10pm - 5:20pm Available to talk? NYSE Issue (WebEx) - Seaman, Michael P.

Wednesday, September 2

(b)(6)

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:30am - 11:00am call re OCC interp (VS to call WH) - Szczepanik, Valerie
- 10:30am - 11:00am call re OCC interp (VS to call WH) - Szczepanik, Valerie
- 11:00am - 11:15am Christina to call Bill - Thomas, Christina
- 11:00am - 11:15am Christina to call Bill - Thomas, Christina
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update - resetting webex (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update - resetting webex (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley

Thursday, September 3

(b)(6)

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Conf. Call: Biweekly Meeting with Bill Hinman (CorpFin) - Spratley, Laura E
- 10:30am - 11:00am Conf. Call: Biweekly Meeting with Bill Hinman (CorpFin) - Spratley, Laura E
- 1:00pm - 1:20pm Reminder - Tech Check - Victoria Newton
- 1:00pm - 1:20pm Reminder - Tech Check - Victoria Newton
- 1:30pm - 2:00pm CorpFin Monthly Update - Lee, Allison
- 1:30pm - 2:00pm CorpFin Monthly Update - Lee, Allison
- 2:00pm - 3:00pm Speaking Engagement Stuff (The Warrenton Office) - Kohl, Lisa
- 2:00pm - 3:00pm Speaking Engagement Stuff (The Warrenton Office) - Kohl, Lisa

Friday, September 4

(b)(6)

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Waivers (WebEx) - Seaman, Michael P.
- 10:00am - 10:30am Waivers (WebEx) - Seaman, Michael P.
- 1:00pm - 1:30pm Items (WebEx) - Seaman, Michael P.
- 1:00pm - 1:30pm Items (WebEx) - Seaman, Michael P.

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Monday, September 7

(b)(6)

- Labor Day (United States)
- Labor Day (United States)
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley

Tuesday, September 8

(b)(6)

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC (b)(6) - Clayton, Jay
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC (b)(6) - Clayton, Jay
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC (b)(6) - Clayton, Jay
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC (b)(6) - Clayton, Jay
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) - Blake, Kristene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff update - new webex - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff update - new webex - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Corporation Finance - Monthly Briefing Meeting (Webex) - Crenshaw, Caroline
- 1:30pm - 2:00pm Corporation Finance - Monthly Briefing Meeting (Webex) - Crenshaw, Caroline
- 2:30pm - 3:00pm Conference Call: COVID-19 Market Monitoring Group (b)(6) - Blake, Kristene
- 2:30pm - 3:00pm Conference Call: COVID-19 Market Monitoring Group (b)(6) - Blake, Kristene
- 3:00pm - 3:30pm catch up (WebEx) - Kohl, Lisa
- 3:00pm - 3:30pm catch up (WebEx) - Kohl, Lisa
- 4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley
- 4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley

Wednesday, September 9

(b)(6)

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:00am Bill/Betsy update - Parratt, Shelley
- 1:00pm - 1:30pm ENF Referral (WebEx) - Seaman, Michael P.
- 1:00pm - 1:30pm ENF Referral (WebEx) - Seaman, Michael P.
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 2:30pm Groundfloor Issue (WebEx) - Seaman, Michael P.
- 2:00pm - 2:30pm Groundfloor Issue (WebEx) - Seaman, Michael P.
- 2:30pm - 3:00pm Information Session: SWAT Refresher - Claiming Correspondence (WebEx) - CF-Knowledge Management Office
- 2:30pm - 3:00pm Information Session: SWAT Refresher - Claiming Correspondence (WebEx) - CF-Knowledge Management Office
- 3:00pm - 3:30pm Libra update (VS to call Bill) - Szczepanik, Valerie
- 3:00pm - 3:30pm Libra update (VS to call Bill) - Szczepanik, Valerie
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:30pm - 3:45pm Catch-up (WebEx) - Seaman, Michael P.
- 3:30pm - 3:45pm Catch-up (WebEx) - Seaman, Michael P.

Thursday, September 10

(b)(6)

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am Conversation with Bill Hinman (SEC) - Dry Run (Zoom Meeting - Please click the URL link next to your name only.) - Julie Bell Lindsay
- 10:00am - 11:00am Conversation with Bill Hinman (SEC) - Dry Run (Zoom Meeting - Please click the URL link next to your name only.) - Julie Bell Lindsay
- 10:00am - 11:00am CAQ Webisode Dry-Run - Kohl, Lisa
- 10:00am - 11:00am CAQ Webisode Dry-Run - Kohl, Lisa
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:30pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:30pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:30pm - 2:00pm Biweekly w/ Bill (b)(6) - Blake, Kristene
- 1:30pm - 1:55pm Biweekly w/ Bill (b)(6) - Blake, Kristene
- 1:30pm - 2:00pm Biweekly w/ Bill (b)(6) - Blake, Kristene
- 1:30pm - 1:55pm Biweekly w/ Bill (b)(6) - Blake, Kristene
- 4:00pm - 4:45pm Hinman Berman Fireside Chat (b)(6) - Mark Berman
- 4:00pm - 4:45pm Hinman Berman Fireside Chat (b)(6) - Mark Berman

Friday, September 11

(b)(6)

- 7:45am - 9:15am Harvard Event (Zoom - link in invite) - Kohl, Lisa
- 7:45am - 9:15am Harvard Event (Zoom - link in invite) - Kohl, Lisa
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am Libra chat - Szczepanik, Valerie
- 10:00am - 11:00am Libra chat - Szczepanik, Valerie
- 11:00am - 11:30am Call re: Digital Assets - Wood, Bryan
- 11:00am - 11:30am Call re: Digital Assets - Wood, Bryan

September 14, 2020 -
September 20, 2020

September 2020

October 2020

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Monday, September 14

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch-Up (VS to call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch-Up (VS to call WH) - Szczepanik, Valerie
- 2:00pm - 3:00pm FSB US Principals - Libra (Kristene will connect) [b](6) - Clayton, Jay
- 2:00pm - 3:00pm FSB US Principals - Libra (Kristene will connect) [b](6) - Clayton, Jay
- 2:00pm - 2:30pm Pre-meet: DERA's 9/17 panel discussion (WebEx) - Kothari, S.P.
- 2:00pm - 2:30pm Pre-meet: DERA's 9/17 panel discussion (WebEx) - Kothari, S.P.
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 3:00pm - 3:30pm items (webex) - Kohl, Lisa
- 3:00pm - 3:30pm items (webex) - Kohl, Lisa
- 4:00pm - 4:30pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 4:00pm - 4:30pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 5:15pm - 5:45pm Conference Call: COVID-19 Market Monitoring Group [b](6) - Blake, Kristene
- 5:15pm - 5:45pm Conference Call: COVID-19 Market Monitoring Group [b](6) - Blake, Kristene

Tuesday, September 15

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS [b](6) - Blake, Kristene
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS [b](6) - Blake, Kristene
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS [b](6) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors [b](6) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors [b](6) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors [b](6) - Blake, Kristene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 4:00pm - 5:00pm Meeting w/ AAG Makan Delrahim (TBD) - Clayton, Jay
- 4:00pm - 5:00pm Meeting w/ AAG Makan Delrahim (TBD) - Clayton, Jay
- 4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley
- 4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley

Wednesday, September 16

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 11:00am - 12:00pm Palantir Briefing (WebEx) - Kohl, Lisa
- 11:00am - 12:00pm Palantir Briefing (WebEx) - Kohl, Lisa
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update - resetting webex (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update - resetting webex (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:30pm - 4:00pm Speaking Engagements (WebEx) - Seaman, Michael P.
- 3:30pm - 4:00pm Speaking Engagements (WebEx) - Seaman, Michael P.
- 4:30pm - 5:30pm New Event
- 4:30pm - 5:30pm New Event

Thursday, September 17

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:30am Conversation with Bill Hinman (SEC) - Recording (Zoom Meeting - Please click the URL link next to your name only.) - Julie Bell Lindsay
- 9:30am - 10:30am Conversation with Bill Hinman (SEC) - Recording (Zoom Meeting - Please click the URL link next to your name only.) - Julie Bell Lindsay
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Call re Libra - Szczepanik, Valerie
- 10:30am - 11:00am Call re Libra - Szczepanik, Valerie
- 11:00am - 11:30am Speaking Follow-Up (WebEx) - Kohl, Lisa
- 11:00am - 11:30am Speaking Follow-Up (WebEx) - Kohl, Lisa
- 12:00pm - 1:30pm [b](6)
- 12:00pm - 1:30pm [b](6)
- 1:00pm - 2:00pm September FMO Meeting (WebEx) - Kauffman, Caryn
- 1:00pm - 2:00pm September FMO Meeting (WebEx) - Kauffman, Caryn
- 2:30pm - 4:30pm DERA Conference (WebEx) - Hinman, William
- 2:30pm - 4:30pm DERA Conference (WebEx) - Hinman, William

Friday, September 18

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 11:00am - 11:30am 14a-8 - Hamm, Kimberly
- 11:00am - 11:30am 14a-8 - Hamm, Kimberly
- 1:00pm - 1:30pm FW: Call w/ McCarthy staff - Pandol, Holli Heiles
- 1:00pm - 1:30pm FW: Call w/ McCarthy staff - Pandol, Holli Heiles
- 2:00pm - 2:30pm Bill/Lindsay update - Parratt, Shelley
- 2:00pm - 2:30pm Bill/Lindsay update - Parratt, Shelley
- 3:00pm - 4:30pm Proxy Disclosure Conference Taping
- 3:00pm - 4:30pm Proxy Disclosure Conference Taping
- 3:00pm - 4:00pm FW: The Latest from the SEC (Recorded Session Call) [b](6) - Victoria Newton
- 3:00pm - 4:00pm FW: The Latest from the SEC (Recorded Session Call) [b](6) - Victoria Newton
- 4:00pm - 5:00pm Catch-up (WebEx) - Seaman, Michael P.
- 4:00pm - 5:00pm Catch-up (WebEx) - Seaman, Michael P.

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Monday, September 21

- 9:00am - 10:00am [redacted]
- 9:00am - 10:00am [redacted]
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am hold for filing review - Parratt, Shelley
- 10:00am - 11:00am hold for filing review - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 12:00pm 2020 Human Capital Review - Division of Corporation Finance - Konya, Stephen
- 11:00am - 12:00pm 2020 Human Capital Review - Division of Corporation Finance - Konya, Stephen
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch-Up (VS to call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch-Up (VS to call WH) - Szczepanik, Valerie
- 2:00pm - 2:30pm Biweekly w/ Bill [redacted] Blake, Kristene
- 2:00pm - 2:30pm Biweekly w/ Bill [redacted] Blake, Kristene
- 3:30pm - 4:00pm LIBOR (WebEx) - Kohl, Lisa
- 3:30pm - 4:00pm LIBOR (WebEx) - Kohl, Lisa
- 5:00pm - 5:30pm Conference Call: COVID-19 Market Monitoring Group [redacted] Blake, Kristene
- 5:00pm - 5:30pm Conference Call: COVID-19 Market Monitoring Group [redacted] Blake, Kristene
- 5:30pm - 6:00pm Pre-Brief for Reporter Calls (Webex) - Strom, Natalie
- 5:30pm - 6:00pm Pre-Brief for Reporter Calls (Webex) - Strom, Natalie

Tuesday, September 22

- Corporate Counsel Proxy Disclosure Conference
- Corporate Counsel Proxy Disclosure Conference
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am Meeting re: Harmonization [redacted] Blake, Kristene
- 9:30am - 10:00am Meeting re: Harmonization [redacted] Blake, Kristene
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC [redacted] Blake, Kristene
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC [redacted] Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff update - new webex - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff update - new webex - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 12:00pm - 1:00pm [redacted]
- 12:00pm - 1:00pm [redacted]
- 2:00pm - 2:30pm Biweekly w/ Bill [redacted] Blake, Kristene
- 2:00pm - 2:30pm Biweekly w/ Bill [redacted] Blake, Kristene
- 2:00pm - 2:30pm Meeting w/ TM & CF [redacted] Blake, Kristene
- 2:00pm - 2:30pm Meeting w/ TM & CF [redacted] Blake, Kristene
- 2:30pm - 3:30pm Reporter Briefing (14a-8, Whistleblower) (AT&T Conference Line) - Strom, Natalie
- 2:30pm - 3:30pm Reporter Briefing (14a-8, Whistleblower) (AT&T Conference Line) - Strom, Natalie
- 4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley
- 4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley
- 4:30pm - 5:00pm Cross Divisional LIBOR Update for the Chairman's Office [redacted] Blake, Kristene
- 4:30pm - 5:00pm Cross Divisional LIBOR Update for the Chairman's Office [redacted] Blake, Kristene

Wednesday, September 23

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 12:00pm Open Commission Meeting-DO NOT FORWARD (WebEx) - Hardin, Cakesha
- 10:00am - 12:00pm Open Commission Meeting-DO NOT FORWARD (WebEx) - Hardin, Cakesha
- 10:00am - 11:30am Hold for 14a-8 OCM redux - Kohl, Lisa
- 10:00am - 11:30am Hold for 14a-8 OCM redux - Kohl, Lisa
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:30am COOP ERG Training (WebEx) - COOPSupport
- 10:30am - 11:30am COOP ERG Training (WebEx) - COOPSupport
- 12:00pm - 12:30pm Post Open Meeting Virtual Scrum (AT&T Conference Call/SP Room 4000) - Smith Costello, Chandler
- 12:00pm - 12:30pm Post Open Meeting Virtual Scrum (AT&T Conference Call/SP Room 4000) - Smith Costello, Chandler
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:50pm - 4:30pm ABA Business Law Section Meeting - Updated with Zoom Links (Zoom) - Kohl, Lisa
- 2:50pm - 4:30pm ABA Business Law Section Meeting - Updated with Zoom Links (Zoom) - Kohl, Lisa
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 4:00pm Rob Jackson / Bill Hinman Monthly Catchup (10200) - Jackson, Rob
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley

Thursday, September 24

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am SWAT Release 4.1 (WebEx) - CF-Knowledge Management Office
- 10:00am - 10:30am SWAT Release 4.1 (WebEx) - CF-Knowledge Management Office
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:30am - 12:00pm Catch Up re: Listing Standard/Co-Audit - Wood, Bryan
- 11:30am - 12:00pm Catch Up re: Listing Standard/Co-Audit - Wood, Bryan
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:30pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:30pm - 2:00pm Bill/David update - Parratt, Shelley
- 3:00pm - 4:30pm Updated invitation: [Live Multi-Webcam] NWU: Current Developments at the SEC ... @ Thu Sep 24, 2020 2pm - 3:30pm (CDT) [redacted]@sec.gov (Webinar ID [redacted]) - 6C Live Webinar Calendar
- 3:00pm - 4:30pm Updated invitation: [Live Multi-Webcam] NWU: Current Developments at the SEC ... @ Thu Sep 24, 2020 2pm - 3:30pm (CDT) [redacted]@sec.gov (Webinar ID [redacted]) - 6C Live Webinar Calendar
- 3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P.
- 3:00pm - 3:30pm CF-DERA Monthly Meeting (Odd Months in Bill's office/Even Months in S.P.'s Office) - Kothari, S.P.

Friday, September 25

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 11:30am - 12:00pm 302(a) Qs (WebEx) - Kohl, Lisa
- 11:30am - 12:00pm 302(a) Qs (WebEx) - Kohl, Lisa
- 2:30pm - 3:00pm Press on SPACs - Parratt, Shelley
- 2:30pm - 3:00pm Press on SPACs - Parratt, Shelley

September 28, 2020 -
October 4, 2020

September 2020

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Monday, September 28

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 11:30am redacted exhibits - 2021 review plan - Parratt, Shelley
- 11:00am - 11:30am redacted exhibits - 2021 review plan - Parratt, Shelley
- 1:00pm - 2:00pm OCC NAL discussion (optional for others except WHH) - Parratt, Shelley
- 1:00pm - 2:00pm OCC NAL discussion (optional for others except WHH) - Parratt, Shelley
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 4:00pm - 4:30pm Catch-up (WebEx) - Seaman, Michael P.
- 4:00pm - 4:30pm Catch-up (WebEx) - Seaman, Michael P.

Tuesday, September 29

- 9:00am - 9:30am Conference Call: COVID-19 Market Monitoring Group (b)(6) - Blake, Kristene
- 9:00am - 9:30am Conference Call: COVID-19 Market Monitoring Group (b)(6) - Blake, Kristene
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 10:15am - 10:30am Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 10:15am - 10:30am Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:30pm Prep for the Town Hall--finalizing talking points and agenda (Web Ex) - Arietti Gold, Charlene
- 11:30am - 12:30pm Prep for the Town Hall--finalizing talking points and agenda (Web Ex) - Arietti Gold, Charlene
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 1:30pm - 2:00pm Industry Developments (b)(6) - Brown, Steven
- 1:30pm - 2:00pm Industry Developments (b)(6) - Brown, Steven
- 2:30pm - 3:00pm Discuss Citi Waiver (WebEx) - Seaman, Michael P.
- 2:30pm - 3:00pm Discuss Citi Waiver (WebEx) - Seaman, Michael P.
- 3:00pm - 3:30pm Discuss Resource (WebEx) - Seaman, Michael P.
- 3:00pm - 3:30pm Discuss Resource (WebEx) - Seaman, Michael P.
- 4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley
- 4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley

Wednesday, September 30

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 11:00am - 11:30am Catch up re SEC Speaks - Memon, Sean
- 11:00am - 11:30am Catch up re SEC Speaks - Memon, Sean
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update - resetting webex (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update - resetting webex (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 4:00pm - 4:30pm town hall TPs (I will call you) - Parratt, Shelley
- 4:00pm - 4:30pm town hall TPs (I will call you) - Parratt, Shelley

Thursday, October 1

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 11:00am - 12:15pm SAVE THE DATE: CF Town Hall - FY20 wrap-up/FY21 kick-off (WebEx) - CF Business Management Office
- 11:00am - 12:15pm SAVE THE DATE: CF Town Hall - FY20 wrap-up/FY21 kick-off (WebEx) - CF Business Management Office
- 1:00pm - 2:00pm SEC Hispanic Heritage Month Program (SECView) - Gibbs, Pamela
- 1:00pm - 2:00pm SEC Hispanic Heritage Month Program (SECView) - Gibbs, Pamela
- 1:30pm - 2:00pm Discuss SEC Speaks Plan/Outline (WebEx) - Kohl, Lisa
- 1:30pm - 2:00pm Discuss SEC Speaks Plan/Outline (WebEx) - Kohl, Lisa
- 2:00pm - 2:30pm Conf. Call: Biweekly Meeting with Bill Hinman (CorpFin) - Spratley, Laura E
- 2:00pm - 2:30pm Conf. Call: Biweekly Meeting with Bill Hinman (CorpFin) - Spratley, Laura E
- 3:30pm - 4:00pm Cross Divisional LIBOR Update for the Chairman's Office (b)(6) - Blake, Kristene
- 3:30pm - 4:00pm Cross Divisional LIBOR Update for the Chairman's Office (b)(6) - Blake, Kristene
- 4:00pm - 4:30pm Catch-up (WebEx) - Seaman, Michael P.
- 4:00pm - 4:30pm Catch-up (WebEx) - Seaman, Michael P.

Friday, October 2

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am exit strategy - PRIVATE - Parratt, Shelley
- 10:00am - 10:30am exit strategy - PRIVATE - Parratt, Shelley

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Monday, October 5

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley ☺
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley ☺
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch-Up (VS to call WH) - Szczepanik, Valerie ☺
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch-Up (VS to call WH) - Szczepanik, Valerie ☺
- 4:30pm - 5:00pm Quick Tag-Up on 302 (WebEx) - Kohl, Lisa
- 4:30pm - 5:00pm Quick Tag-Up on 302 (WebEx) - Kohl, Lisa

Tuesday, October 6

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley ☺
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley ☺
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC (b)(6) Blake, Kristene
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC (b)(6) Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene ☺
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene ☺
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene ☺
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene ☺
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene ☺
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene ☺
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene ☺
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) Blake, Kristene ☺
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) Blake, Kristene ☺
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☺
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay ☺
- 11:15am - 12:00pm CF senior staff update - new webex - Parratt, Shelley ☺
- 11:15am - 12:00pm CF senior staff update - new webex - Parratt, Shelley ☺
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 12:00pm - 1:00pm Webex meeting scheduled: 13g (b)(6) Cisco Webex
- 12:00pm - 1:00pm Webex meeting scheduled: 13g (b)(6) Cisco Webex
- 1:30pm - 2:00pm Biweekly w/ Bill (b)(6) - Blake, Kristene
- 1:30pm - 2:00pm Biweekly w/ Bill (b)(6) - Blake, Kristene
- 1:30pm - 2:00pm Biweekly w/ Bill (b)(6) - Blake, Kristene
- 1:30pm - 2:00pm Biweekly w/ Bill (b)(6) - Blake, Kristene
- 3:00pm - 3:30pm Check-in (Webex) - Carroll, Robert
- 3:00pm - 3:30pm Check-in (Webex) - Carroll, Robert
- 4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley ☺
- 4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley ☺
- 4:00pm - 4:30pm CorpFin Monthly Update - Lee, Allison ☺
- 4:00pm - 4:30pm CorpFin Monthly Update - Lee, Allison ☺

Wednesday, October 7

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley ☺
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley ☺
- 10:00am - 10:30am JZ re Finders open meeting (Webex) - Zepalka, Jennifer
- 10:00am - 10:30am JZ re Finders open meeting (Webex) - Zepalka, Jennifer
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley ☺
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley ☺
- 10:30am - 11:00am Bill/Betsy update - Parratt, Shelley ☺
- 10:30am - 11:00am Bill/Betsy update - Parratt, Shelley ☺
- 12:15pm - 12:45pm HOLD: Post Open Meeting Virtual Scrum (AT&T Conference Line) - Smith Costello, Chandler
- 12:15pm - 12:45pm HOLD: Post Open Meeting Virtual Scrum (AT&T Conference Line) - Smith Costello, Chandler
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley ☺
- 2:00pm - 2:30pm hold for PLI webex test (WebEx) - Kohl, Lisa
- 2:00pm - 2:30pm hold for PLI webex test (WebEx) - Kohl, Lisa
- 2:00pm - 2:30pm SEC Speaks WebEx Test - Grace O'Hanlon
- 2:00pm - 2:30pm SEC Speaks WebEx Test - Grace O'Hanlon
- 2:30pm - 3:00pm CFTC Waivers (Webex) - Reischauer, Amy
- 2:30pm - 3:00pm CFTC Waivers (Webex) - Reischauer, Amy
- 3:00pm - 3:30pm (b)(6) follow-up (WebEx) - Kohl, Lisa
- 3:00pm - 3:30pm (b)(6) follow-up (WebEx) - Kohl, Lisa
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley ☺
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley ☺

Thursday, October 8

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley ☺
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley ☺
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley ☺
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley ☺
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ☺
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ☺
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ☺
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ☺
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean ☺
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowitz, Lucas ☺
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean ☺
- 11:15am - 12:15pm Meeting Re: FD - Avakian, Stephanie
- 11:15am - 12:15pm Meeting Re: FD - Avakian, Stephanie
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ☺
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ☺
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ☺
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley ☺
- 1:30pm - 2:00pm Bill/David update - Parratt, Shelley ☺
- 1:30pm - 2:00pm Bill/David update - Parratt, Shelley ☺
- 1:30pm - 2:00pm quick call re Blockstack - Szczepanik, Valerie
- 1:30pm - 2:00pm quick call re Blockstack - Szczepanik, Valerie
- 3:15pm - 4:35pm PLI's The SEC Speaks Program - Division of Corporation Finance - Joy Low
- 3:15pm - 4:35pm PLI's The SEC Speaks Program - Division of Corporation Finance - Joy Low
- 3:15pm - 4:30pm Canceled: SEC Speaks (Virtual) - Kohl, Lisa
- 3:15pm - 4:30pm Canceled: SEC Speaks (Virtual) - Kohl, Lisa

Friday, October 9

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley ☺
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley ☺
- 10:00am - 10:30am Corp Fin Monthly Update w/ webEx - Lee, Allison
- 10:00am - 10:30am Corp Fin Monthly Update w/ webEx - Lee, Allison
- 11:30am - 12:00pm catch up? (phone - i will call your (b)(6) #) - Parratt, Shelley
- 11:30am - 12:00pm catch up? (phone - i will call your (b)(6) #) - Parratt, Shelley

October 12, 2020 -
October 18, 2020

October 2020						
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Monday, October 12

- Columbus Day (United States)
- Columbus Day (United States)
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch-Up (VS to call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch-Up (VS to call WH) - Szczepanik, Valerie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester

Tuesday, October 13

- 9:15am - 9:30am Hold: Staffing Update (WebEx) - Schoenauer, Virginia
- 9:15am - 9:30am Hold: Staffing Update (WebEx) - Schoenauer, Virginia
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS - Blake, Kristene
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS - Blake, Kristene
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors - Blake, Kristene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 1:30pm - 2:00pm Corporation Finance - Monthly Briefing Meeting (Webex) - Crenshaw, Caroline
- 1:30pm - 2:00pm Corporation Finance - Monthly Briefing Meeting (Webex) - Crenshaw, Caroline
- 2:00pm - 2:15pm CFTC Waiver (WebEx) - Seaman, Michael P.
- 2:00pm - 2:15pm CFTC Waiver (WebEx) - Seaman, Michael P.
- 2:30pm - 3:15pm Call to Discuss FCA Plans for USD Synthetic LIBOR (Webex) - Metzman, David
- 2:30pm - 3:15pm Call to Discuss FCA Plans for USD Synthetic LIBOR (Webex) - Metzman, David
- 3:30pm - 4:00pm MD&A - Kohl, Lisa
- 3:30pm - 4:00pm MD&A - Kohl, Lisa
- 4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley
- 4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley

Wednesday, October 14

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:30am - 11:30am MD&A Release - Bill's Comments (WebEx) - Kohl, Lisa
- 10:30am - 11:30am MD&A Release - Bill's Comments (WebEx) - Kohl, Lisa
- 11:00am - 1:00pm Closed Commission Meeting-DO NOT FORWARD (WebEx) - Hardin, Cakesha
- 11:00am - 1:00pm Closed Commission Meeting-DO NOT FORWARD (WebEx) - Hardin, Cakesha
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update - resetting webex (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 2:00pm - 3:00pm Rulemaking update - resetting webex (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 3:00pm - 3:30pm Follow-up from Diversity, Equity and Inclusion Town Hall (WebEx) - LaMothe, Cicely
- 3:00pm - 3:30pm Follow-up from Diversity, Equity and Inclusion Town Hall (WebEx) - LaMothe, Cicely
- 3:30pm - 4:00pm SPAC processing - Parratt, Shelley
- 3:30pm - 4:00pm SPAC processing - Parratt, Shelley
- 5:00pm - 5:30pm Leadership Lightbulb (good news to share) (WebEx) - Arietti Gold, Charlene
- 5:00pm - 5:30pm Leadership Lightbulb (good news to share) (WebEx) - Arietti Gold, Charlene

Thursday, October 15

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:00am - 10:30am Bi-weekly Meeting with Bill Hinman & Charles Garrison (CorpFin) (10400) - Roisman, Elad
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Bi-weekly Division Head Meeting- Hinman w/ CF (Chairman's Office) - Clayton, Jay
- 10:30am - 11:00am Conf. Call: Biweekly Meeting with Bill Hinman (CorpFin) - Spratley, Laura E
- 10:30am - 11:00am Conf. Call: Biweekly Meeting with Bill Hinman (CorpFin) - Spratley, Laura E
- 11:00am - 11:30am Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 11:00am - 11:30am Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 1:00pm - 1:30pm Discuss Harmonization Comments (WebEx) - Seaman, Michael P.
- 1:00pm - 1:30pm Discuss Harmonization Comments (WebEx) - Seaman, Michael P.
- 1:30pm - 2:00pm Discuss Known Trends and Uncertainties changes (WebEx) - Bloom, Luna
- 1:30pm - 2:00pm Discuss Known Trends and Uncertainties changes (WebEx) - Bloom, Luna
- 2:15pm - 2:45pm Harmonization (WebEx) - Seaman, Michael P.
- 2:15pm - 2:45pm Harmonization (WebEx) - Seaman, Michael P.

Friday, October 16

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 11:00am - 11:30am catch up - Parratt, Shelley
- 11:00am - 11:30am catch up - Parratt, Shelley
- 12:00pm - 1:30pm Invitation: MPD Celebration and Memorial @ Fri Oct 16, 2020 12pm - 1:30pm (EDT) - keir@uber.com
- 12:00pm - 1:30pm Invitation: MPD Celebration and Memorial @ Fri Oct 16, 2020 12pm - 1:30pm (EDT) - keir@uber.com
- 3:30pm - 4:30pm Midland - S-1 transferring to CF - Update - McCord, Lindsay
- 3:30pm - 4:30pm Midland - S-1 transferring to CF - Update - McCord, Lindsay

October 2020							November 2020							
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Monday, October 19

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am SPACs and TOs - Parratt, Shelley
- 10:00am - 11:00am SPACs and TOs - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:00am - 12:00pm Updated Event Time: Review and Processing Guide Update - Staff Knowledge Sharing Session (WebEx) - CF-Knowledge Management Office
- 11:00am - 12:00pm Updated Event Time: Review and Processing Guide Update - Staff Knowledge Sharing Session (WebEx) - CF-Knowledge Management Office
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch-Up (VS to call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch-Up (VS to call WH) - Szczepanik, Valerie
- 5:00pm - 5:30pm Meeting (SCIF) - Clayton, Jay
- 5:00pm - 5:30pm Meeting (SCIF) - Clayton, Jay

Tuesday, October 20

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC (b)(6) - Blake, Kristene
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC (b)(6) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) - Blake, Kristene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:15am - 12:00pm CF senior staff update - new webex - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff update - new webex - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 11:15am - 12:00pm CF senior staff update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Cross Divisional LIBOR Update for the Chairman's Office (b)(6) - Blake, Kristene
- 1:30pm - 2:00pm Cross Divisional LIBOR Update for the Chairman's Office (b)(6) - Blake, Kristene
- 3:00pm - 3:30pm Catch-up (WebEx) - Seaman, Michael P.
- 3:00pm - 3:30pm Catch-up (WebEx) - Seaman, Michael P.
- 4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley
- 4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley
- 4:30pm - 5:00pm Biweekly w/ Bill (b)(6) - Blake, Kristene
- 4:30pm - 5:00pm Biweekly w/ Bill (b)(6) - Blake, Kristene

Wednesday, October 21

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Filing Review Issue - Conx SPAC (WebEx) - Kohl, Lisa
- 10:00am - 10:30am Filing Review Issue - Conx SPAC (WebEx) - Kohl, Lisa
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:15am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:00am Bill/Betsy update - Parratt, Shelley
- 10:30am - 11:00am Bill/Betsy update - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:00pm - 1:30pm no-action letter update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Enforcement referral update (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 1:30pm - 2:00pm Knowledge Sharing Event: Updated Accelerated Filer Definition (WebEx) - CF-Knowledge Management Office
- 1:30pm - 2:00pm Knowledge Sharing Event: Updated Accelerated Filer Definition (WebEx) - CF-Knowledge Management Office
- 2:00pm - 4:00pm Closed Commission Meeting-DO NOT FORWARD (WebEx) - Hardin, Cakesha
- 2:00pm - 4:00pm Closed Commission Meeting-DO NOT FORWARD (WebEx) - Hardin, Cakesha
- 3:00pm - 3:30pm Bill/Lindsay update - Parratt, Shelley
- 3:00pm - 3:30pm Bill/Lindsay update - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 3:00pm - 3:30pm update (Bill/Kyle) - Parratt, Shelley
- 4:30pm - 5:30pm Catch Up (WebEx) - Seaman, Michael P.
- 4:30pm - 5:30pm Catch Up (WebEx) - Seaman, Michael P.

Thursday, October 22

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:30am PR - Parratt, Shelley
- 9:30am - 10:30am PR - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 10:15am - 11:00am Disclosure update - rotating SOs (4521) - Parratt, Shelley
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Moskowicz, Lucas
- 11:00am - 11:30am Division Directors, OCIE, GC, OCA with Chairman's Office (Chairman's Conference Room) - Memon, Sean
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:15pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:30pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:30pm - 2:00pm Bill/David update - Parratt, Shelley
- 1:30pm - 2:00pm LIBOR/TA pre-meet/regroup (WebEx) - Kohl, Lisa
- 1:30pm - 2:00pm LIBOR/TA pre-meet/regroup (WebEx) - Kohl, Lisa
- 2:00pm - 2:30pm Cross Divisional LIBOR Update for the Chairman's Office (b)(6) - Blake, Kristene
- 2:00pm - 2:30pm Cross Divisional LIBOR Update for the Chairman's Office (b)(6) - Blake, Kristene

Friday, October 23

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 1:00pm - 2:00pm SPACs/Interpretive Qs (WebEx) - Kohl, Lisa
- 1:00pm - 2:00pm SPACs/Interpretive Qs (WebEx) - Kohl, Lisa
- 4:00pm - 4:30pm SEC/NASDAQ Call (Webex) - Blake, Kristene
- 4:00pm - 4:30pm SEC/NASDAQ Call (Webex) - Blake, Kristene

October 26, 2020 - November 1, 2020

October 2020						
Su	Mo	Tu	We	Th	Fr	Sa
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
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25	26	27	28	29	30	31

November 2020						
Su	Mo	Tu	We	Th	Fr	Sa
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

Monday, October 26

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:00am - 11:00am weekly catch up (CF Only SP1 4520 Phone Small-12) - Parratt, Shelley
- 10:30am - 11:00am 144 draft (webex) - Murphy, Elizabeth
- 10:30am - 11:00am 144 draft (webex) - Murphy, Elizabeth
- 11:00am - 12:30pm October FMOC Meeting (WebEx) - Kauffman, Caryn
- 11:00am - 12:30pm October FMOC Meeting (WebEx) - Kauffman, Caryn
- 11:00am - 12:00pm October FMOC Meeting (WebEx) - Kauffman, Caryn
- 11:00am - 12:00pm October FMOC Meeting (WebEx) - Kauffman, Caryn
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch-Up (VS to call WH) - Szczepanik, Valerie
- 1:30pm - 2:00pm Bill/Val Weekly Crypto Catch-Up (VS to call WH) - Szczepanik, Valerie
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Porter, Amber
- 2:30pm - 3:00pm Corp. Fin. Update - Peirce (10100) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm FW: Corp. Fin. Bi-weekly Update (10100) - Peirce, Hester
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 2:30pm - 3:00pm Canceled: Corp. Fin. Update - Peirce (Dial In -Webex) - Johnson, Winter (Contractor)
- 3:30pm - 4:00pm Chat w/ Bill Hinman (WebEx) - Kohl, Lisa
- 3:30pm - 4:00pm Chat w/ Bill Hinman (WebEx) - Kohl, Lisa

Tuesday, October 27

- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 9:30am - 10:00am daily check in/update - resetting (WebEx Online) - Parratt, Shelley
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Divisions, OCIE, GC, OCA with Chairman Clayton (Chairman's Office) - Clayton, Jay
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 10:00am - 10:30am Conference Call: Division Directors, OCIE, OGC, OCA, OLIA, OIA, OCR, OMS (b)(6) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Division, Office and Regional Directors Meeting (Webex) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) - Blake, Kristene
- 10:30am - 11:15am Meeting w/ Division, Office and Regional Directors (b)(6) - Blake, Kristene
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 10:30am - 11:15am Weekly Division and Office Director Meeting (Ceremonial Room) - Clayton, Jay
- 11:30am - 12:00pm chat PLI event (WebEx) - Kohl, Lisa
- 11:30am - 12:00pm chat PLI event (WebEx) - Kohl, Lisa
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 11:30am - 12:00pm FW: DLT BiWeekly Coordination Call (WebEx Online/HQ CONF ROOM TBA) - Szczepanik, Valerie
- 12:00pm - 12:30pm Quick Catch Up (WebEx) - Arietti Gold, Charlene
- 12:00pm - 12:30pm Quick Catch Up (WebEx) - Arietti Gold, Charlene
- 2:00pm - 3:00pm FW: Wells Meeting Re: Ripple (Outside Counsel) - Avakian, Stephanie
- 2:00pm - 3:00pm FW: Wells Meeting Re: Ripple (Outside Counsel) - Avakian, Stephanie
- 4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley
- 4:00pm - 4:30pm Bill/Lindsay update - Parratt, Shelley
- 4:30pm - 5:00pm catch up - personnel - Parratt, Shelley
- 4:30pm - 5:00pm catch up - personnel - Parratt, Shelley

Non Responsive Record