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**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, DC 20549**

**FORM WB-APP
APPLICATION FOR AWARD FOR ORIGINAL INFORMATION SUBMITTED
PURSUANT TO SECTION 21F OF THE SECURITIES EXCHANGE ACT OF 1934**

A. APPLICANT'S INFORMATION (REQUIRED FOR ALL SUBMISSIONS)

1. Last Name _____ First _____ M.I. _____ Country _____

2. Street Address _____ Apartment/Unit # _____

City _____ State/Province _____ ZIP Code _____ Alt. Phone _____

3. Primary Phone _____ E-mail Address _____

B. ATTORNEY'S INFORMATION (IF APPLICABLE - SEE INSTRUCTIONS)

1. Attorney's Name _____ Country _____

2. Firm Name _____

3. Street Address _____

City _____ State/Province _____ ZIP Code _____ Fax _____

4. Primary Phone _____ E-mail Address _____

C. TIP/COMPLAINT DETAILS

1. Manner in which original information was submitted to SEC SEC website Mail Fax Other

2a. Tip, Complaint or Referral number _____ 2b. Date TCR referred to in 2a submitted to SEC _____

2c. Subject(s) of the Tip, Complaint or Referral _____

D. NOTICE OF COVERED ACTION (<https://www.sec.gov/whistleblower/claim-award>)

1. Date of Notice of Covered Action to which claim relates _____ 2. Notice Number _____
3a. Case Name _____ 3b. Case Number _____

E. CLAIMS PERTAINING TO RELATED ACTIONS

(Brought by a non-SEC authority)

1. Name of non-SEC authority to which you provided your information _____
2. Name and contact information for point of contact at non-SEC authority, if known _____
3a. Date you provided your information _____ 3b. Date action filed by non-SEC authority _____
4a. Case Name _____ 4b. Case number _____
5a. Have you also applied for a whistleblower award under a program of a non-SEC authority? YES NO
5b. If so, which authority? _____

F. ELIGIBILITY EXCLUSIONS AND OTHER INFORMATION

1. Are you, or were you at the time you acquired the original information you submitted to us, a member, officer or employee of the Securities and Exchange Commission ("SEC" or "Commission"), the Department of Justice, the Comptroller of the Currency, the Board of Governors of the Federal Reserve System, the Federal Deposit Insurance Corporation, the Office of Thrift Supervision, the Public Company Accounting Oversight Board, any law enforcement organization, or any national securities exchange, registered securities association, registered clearing agency, or the Municipal Securities Rulemaking Board?

YES NO

2. Are you, or were you at the time you acquired the original information you submitted to us, a member, officer or employee of a foreign government, any political subdivision, department, agency, or instrumentality of a foreign government, or any other foreign financial regulatory authority as that term is defined in Section 3(a)(52) of the Securities Exchange Act of 1934 ("Exchange Act") (15 U.S.C. §78c(a)(52))?

YES NO

3. Did you obtain the information you are providing to us through the performance of an engagement required under the federal securities laws by an independent public accountant?

YES NO

4. Did you provide the information identified in Section C above pursuant to a cooperation agreement with the SEC or another agency or organization?

YES NO

5. Are you a spouse, parent, child, or sibling of a member or employee of the Commission, or do you reside in the same household as a member or employee of the Commission?

YES NO

6. Did you acquire the information you are providing to us from any person described in questions F1 through F5?

YES NO

7. Did you provide the information identified in Section C above after you (or anyone representing you) received any request, inquiry, or demand that relates to the subject matter of your submission (i) from the SEC, (ii) in connection with an investigation, inspection or examination by the Public Company Accounting Oversight Board, or any self-regulatory organization, or (iii) in connection with an investigation by Congress, any other authority of the federal government, or a state Attorney General or securities regulatory authority?

YES NO

8. Are you currently a subject or target of a criminal investigation, or have you been convicted of a criminal violation, in connection with the information upon which your application for an award is based?

YES NO

9. If you answered "Yes" in response to any of the above eight questions, please provide a detailed response explaining your answer. Use additional sheets if necessary.

G. ELIGIBILITY FOR AND FACTORS DETERMINING AMOUNT OF AN AWARD

Explain how you meet the eligibility requirements for an award in connection with your submission of information to us, and if applicable, to a non-SEC authority in connection with a "Related Action" (as this term is defined in the "Instructions for Completing Form WB-APP" section below). In particular, refer to the procedures, exclusions and conditions described in Rules 21F-4, 21F-8 and 21F-9 under the Exchange Act. Provide any additional information you think may be relevant in light of the factors for determining the amount of an award as set forth in Rule 21F-6 under the Exchange Act. Include any supporting documents in your possession or control, and attach additional sheets, if necessary.

H. DECLARATION

I declare under penalty of perjury under the laws of the United States that the information contained herein is true, correct and complete to the best of my knowledge, information and belief. I fully understand that I may be subject to prosecution and may be ineligible for a whistleblower award if, in my submission of information, my other dealings with the SEC, or my dealings with another authority in connection with a Related Action, I knowingly and willfully make any false, fictitious, or fraudulent statements or representations, or use any false writing or document knowing that the writing or document contains any false, fictitious, or fraudulent statement or entry.

Signature _____ Date _____

Privacy Act Statement

This notice is given under the Privacy Act of 1974. We are authorized to request information from you by Section 21F of the Exchange Act. Our principal purpose in requesting this information is to assist in our evaluation of your eligibility and other factors relevant to our determination of whether to pay a whistleblower award to you under Section 21F of the Exchange Act.

However, the information provided may be used by SEC personnel for purposes of investigating possible violations of, or to conduct investigations authorized by, the federal securities law, in proceedings in which the federal securities laws are at issue or the SEC is a party, to coordinate law enforcement activities between the SEC and other federal, state, local, or foreign law enforcement agencies, securities self-regulatory organizations, and foreign securities authorities, and pursuant to other routine uses as described in SEC-17 "Enforcement Files."

Furnishing this information is voluntary, but a decision not to do so, or failure to provide complete information, may result in our denying a whistleblower award to you, or may affect our evaluation of the appropriate amount of an award. Further, if you are submitting this information for the SEC whistleblower program and you do not execute the Declaration, you may not be considered for an award.

Questions concerning this form may be directed to the SEC Office of the Whistleblower, 100 F Street NE, Washington, DC 20549-5631, Tel. (202) 551-4790, Fax (703) 813-9322.

General

This form should be used by persons making a claim for a whistleblower award in connection with information provided to the SEC and/or to a non-SEC authority in a Related Action. In order to be deemed eligible for an award, you must meet all the requirements set forth in Section 21F of the Exchange Act and the rules thereunder.

You must sign the Form WB-APP as the claimant. If you provided your information to the SEC anonymously, you must now disclose your identity on this form and your identity must be verified in a form and manner that is acceptable to the Office of the Whistleblower prior to the payment of any award.

- If you are filing your claim in connection with information that you provided to the SEC, then your Form WB-APP, and any attachments thereto, **must be received by the SEC Office of the Whistleblower within ninety (90) days of the date of the Notice of Covered Action to which the claim relates.**
- If you are filing your claim in connection with information you provided to a non-SEC authority in a Related Action, then your Form WB-APP, and any attachments thereto, must be received by the SEC Office of the Whistleblower as follows:

- If a final order imposing monetary sanctions has been entered in a Related Action at the time you submit your claim for an award in connection with a Commission action, **you must submit your claim for an award in that Related Action on the same Form WB-APP that you use for the Commission action.**
- If a final order imposing monetary sanctions in a Related Action has not been entered at the time you submit your claim for an award in connection with a Commission action, **you must submit your claim on Form WB-APP within ninety (90) days of the issuance of a final order imposing monetary sanctions in the Related Action.**

We strongly encourage Form WB-APP to be submitted by email. Duplicate submissions of a Form WB-APP are discouraged as they may cause delays. You must submit your Form WB-APP to us in **one** of the following three ways:

- By EMAIL sent to: FormWB-APPSubmission@SEC.GOV;
- By mailing or delivering the signed form to the SEC Office of the Whistleblower, 100 F Street NE, Washington, DC 20549-5631; or
- By faxing the signed form to (703) 813-9322.

Instructions for Completing Form WB-APP

Section A: Applicant's Information

Questions 1-3: Provide the following information about yourself:

First and last name, and middle initial
Complete address, including city, state and zip code
Telephone number and, if available, an alternate number
where you can be reached, and
E-mail address

Section B: Attorney's Information. If you are represented by an attorney in this matter, provide the information requested. If you are not represented by an attorney in this matter, leave this Section blank.

Questions 1-4: Provide the following information about the attorney representing you in this matter:

Attorney's name
Firm name
Complete address, including city, state and zip code
Telephone number and fax number, and
E-mail address

Section C: Tip/Complaint Details

Question 1: Indicate the manner in which your original information was submitted to the SEC.

Question 2a: Include the TCR (Tip, Complaint or Referral) number to which this claim relates.

Question 2b: Provide the date on which you submitted your information to the SEC.

Question 2c: Provide the name of the individual(s) or entity(s) to which your submission related.

Section D: Notice of Covered Action

The process for making a claim for a whistleblower award begins with the publication of a "Notice of Covered Action" on the Commission's website at: <https://www.sec.gov/whistleblower/claim-award>. This Notice is published whenever a judicial or administrative action brought by the Commission results in the imposition of monetary sanctions exceeding \$1,000,000. The Notice is published on the Commission's website subsequent to the entry of a final judgment or order in the action that by itself, or collectively with other judgments or orders previously entered in the action, exceeds the \$1,000,000 threshold.

Question 1: Provide the date of the Notice of Covered Action to which this claim relates.

Question 2: Provide the notice number of the Notice of Covered Action.

Question 3a: Provide the case name referenced in the Notice of Covered Action.

Question 3b: Provide the case number referenced in the Notice of Covered Action.

Section E: Claims Pertaining to Related Actions

Question 1: Provide the name of the non-SEC authority to which you provided your information.

A "Related Action" may include certain judicial or administrative actions brought by an authority **other than the SEC** (brought by a non-SEC authority). See Exchange Act Rule 21F-3(b)(1). **Note: A Related Action CANNOT include the SEC as a party.**

Question 2: Provide the name and contact information for your point of contact at the non-SEC authority, if known.

Question 3a: Provide the date on which you provided your information to the non-SEC authority.

Question 3b: Provide the date on which the non-SEC authority filed the Related Action.

Question 4a: Provide the case name of the Related Action.

Question 4b: Provide the case number of the Related Action.

Question 5a: Mark the "yes" box if you also applied for a whistleblower award under a program of a non-SEC authority. See Exchange Act Rule 21F-3(b).

Question 5b: If you marked "yes" in response to Question 5a, provide the name of the non-SEC authority.

Section F: Eligibility Exclusions and Other Information

- Question 1: State whether you are currently, or were at the time you acquired the original information that you submitted to the SEC, a member, officer, or employee of the SEC, Department of Justice, the Comptroller of the Currency, the Board of Governors of the Federal Reserve System, the Federal Deposit Insurance Corporation, the Office of Thrift Supervision, the Public Company Accounting Oversight Board, any law enforcement organization, or any national securities exchange, registered securities association, registered clearing agency, or the Municipal Securities Rulemaking Board.
- Question 2: State whether you are, or were at the time you acquired the original information you submitted to the SEC, a member, officer or employee of a foreign government, any political subdivision, department, agency, or instrumentality of a foreign government, or any other foreign financial regulatory authority as that term is defined in Section 3(a)(52) of the Exchange Act.
- Section 3(a)(52) of the Exchange Act (15 U.S.C. §78c(a)(52)) currently defines “foreign financial regulatory authority” as “any (A) foreign securities authority, (B) other governmental body or foreign equivalent of a self-regulatory organization empowered by a foreign government to administer or enforce its laws relating to the regulation of fiduciaries, trusts, commercial lending, insurance, trading in contracts of sale of a commodity for future delivery, or other instruments traded on or subject to the rules of a contract market, board of trade, or foreign equivalent, or other financial activities, or (C) membership organization a function of which is to regulate participation of its members in activities listed above.”
- Question 3: State whether you acquired the information you provided to the SEC through the performance of an engagement required under the federal securities laws by an independent public accountant.
- Question 4: State whether you provided the information submitted to the SEC pursuant to a cooperation agreement with the SEC or with any other agency or organization.
- Question 5: State whether you are a spouse, parent, child or sibling of a member or employee of the Commission, or whether you reside in the same household as a member or employee of the Commission.
- Question 6: State whether you acquired the information you are providing to the SEC from any individual described in Questions 1 through 5 of this Section.
- Question 7: State whether you provided the information identified to the SEC after you (or anyone representing you) received any request, inquiry or demand from the SEC, Congress, or any other federal, state or local authority, or any self-regulatory organization, or the Public Company Accounting Oversight Board about a matter to which the information in your

submission was relevant.

Question 8: State whether you are the subject or target of a criminal investigation or have been convicted of a criminal violation in connection with the information upon which your application for award is based.

Question 9: If you answered “yes” to ANY of the above eight questions, please provide a detailed response explaining your answer. Please provide details, including the name of any agency or organization that conducted an investigation or initiated any action against you, the name and telephone number of your point of contact at the agency or organization, if available and the investigation/case name and number, if applicable. Use additional sheets, if necessary.

Section G: Eligibility for and Factors Determining Amount of an Award

This section is optional but encouraged. Use this section to explain how you meet the eligibility requirements for an award in connection with your submission of information to the Commission, and if applicable, to a non-SEC authority in connection with a Related Action. **See Exchange Act Rules 21F-4, 21F-8 and 21F-9. Specifically address how you voluntarily provided the Commission with original information that led to a successful enforcement of a judicial or administrative action brought by the Commission (i.e. the Notice of Covered Action).** With respect to original information, please discuss any applicable circumstances described in Exchange Act Rule 21F-4(b)(4), such as whether you were an officer or director or employee whose principal duties involved compliance or internal audit responsibilities. Provide any additional information you think may be relevant in light of the factors for determining the amount of an award as set forth in Exchange Act Rule 21F-6. Include details and dates, if known, such as when and how you first learned the information you provided to the Commission, if you reported internally (and if so, when) and the results of any such reports and when you reported to the Commission and if applicable, non-SEC authority. Include any supporting documents in your possession or control, and attach additional sheets, if necessary.

Pursuant to Exchange Act Rule 21F-6, the Commission considers the following positive factors: (i) the significance of the information you provided to the success of the action; (ii) the extent of the assistance you provided in the investigation and successful action; (iii) the relevant law enforcement interests, including how your information supported the Commission's ability to enforce the federal securities laws, deter misconduct and/or protect investors; and (iv) whether, and the extent to which, you participated in a company's internal compliance systems. The Commission also considers the following negative factors: (i) your involvement in or culpability for the alleged misconduct; (ii) any unreasonable delay in reporting the misconduct; and (iii) your interference with internal reporting or compliance systems. Additional information about the criteria the Commission may consider in determining the amount of an award is available on the Commission's website at www.sec.gov/whistleblower.

Section H: Declaration

This section must be signed and dated by the claimant.