

*Article*



# **Large Number of Direct or Pseudo-Direct Band Gap Semiconductors among**  $A_3$ *TrPn*<sub>2</sub> **Compounds with**  $\tilde{A} =$  **Li**, Na, **K, Rb, Cs;**  $Tr = Al$ , Ga,  $In$ ;  $Pn = P$ , As

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**Abstract:** Due to the high impact of semiconductors with respect to many applications for electronics and energy transformation, the search for new compounds and a deep understanding of the structure– property relationship in such materials has a high priority. Electron-precise Zintl compounds of the composition *A*3*TrPn*<sup>2</sup> (*A* = Li − Cs, *Tr* = Al − In, *Pn* = P, As) have been reported for 22 possible element combinations and show a large variety of different crystal structures comprising zero-, one-, two- and three-dimensional polyanionic substructures. From Li to Cs, the compounds systematically lower the complexity of the anionic structure. For an insight into possible crystal–structure band–structure relations for all compounds (experimentally known or predicted), their band structures, density of states and crystal orbital Hamilton populations were calculated on a basis of DFT/PBE0 and  $\text{SVP/TZVP}$  basis sets. All but three (Na3AlP2, Na3GaP2 and Na3AlAs2) compounds show direct or pseudo-direct band gaps. Indirect band gaps seem to be linked to one specific structure type, but only for Al and Ga compounds. Arsenides show smaller band gaps than phosphides due to weaker *Tr*-As bonds. The bonding situation was confirmed by a Mullikan analysis, and most states close to the Fermi level were assigned to non-bonding orbitals.

**Keywords:** Zintl phase; direct band gap; structure prediction; semiconductor; DFT calculation

# **1. Introduction**

Semiconductors have a variety of applications, like solar cells, LEDs, laser applications, etc., which are essential for the continuous evolution of our modern society. As their applications become more diverse and complex, efficient band gap engineering is becoming increasingly important in the design of new and highly specialised materials [\[1](#page-15-0)[–3\]](#page-15-1). When searching for compounds that allow a correlation between their atomic structure and the nature of their constituent elements with their properties, compound classes that have several or many representatives are particularly useful for determining the relevant parameters [\[4,](#page-15-2)[5\]](#page-15-3).

The Ga<sub>x</sub>Al<sub>1−x</sub>As and In<sub>x</sub>Ga<sub>1−x</sub>As compound family are exemplary compounds where a simple variety in the composition leads to highly tuneable band gaps [\[6–](#page-15-4)[10\]](#page-15-5). However, besides these well-defined examples, concepts for more complex compound families are missing; thus, exploring possible crystal–structure band–structure relations is key for the future of intelligent and efficient material design. In a previous study, we reported that, for a certain series of compounds, the nature and size of their band gaps were not solely dependent on the crystal structure and composition of the elements. In more detail, the electron-precise Zintl-phase  $A_5TtP_3$  or  $A_{10}Tt_2P_6$  system ( $A = Li - Cs$ ;  $Tt = Si - Sn$ ) occurs with two different isomers for the anionic subunits—either carbonate analogue *Tt*P<sup>3</sup> 5− monomers with a partial *Tt-*P double bond character or  $Tt_2P_6{}^{10-}$  dimers with exclusively single bonds, respectively, (Scheme [1\)](#page-1-0). We found for all compounds with monomeric units



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a higher dispersion within the valence and conduction bands, which is in line with a partial double bond formation between the atoms of the carbonate analogue monomer  $TtP_3$ <sup>5-</sup> anion. We also found no direct influence of the nature of the Tt element on the band gap. As a general trend, we found that direct band gaps at Γ are favoured in compounds with only little or no alkali metal orbital contribution at the valence band maximum, which suggests that the influence of the alkali metal on the electronic structure is an important  $\text{factor}$  [11].

<span id="page-1-0"></span>

**Scheme 1.** Polyanions in compounds of the composition  $A_5TtP_3$  and  $A_{10}Tt_2P_6$  (A = Li – Cs;  $Tt = Si - Sn$ ).

With this new insight, it becomes evident that the matter at hand needs to be further investigated for a more diverse system, where the variety of compounds present is greater and the influence of the alkali metal on the crystal and band structure can be further studied. We report here on our investigation of a class of compounds with a large number of representatives, namely the Zintl phases with the composition  $A_3$ *TrPn*<sub>2</sub> (*A* = Li – Cs,  $Tr = A l$ , Ga, In;  $Pn = P$ , As). They were chosen since there are 22 experimentally achievable compounds, within seven different structure types. Although many of the compounds within the system were characterised in the 1990s, their electronic structures were only scarcely investigated for selected compounds  $[12–14]$  $[12–14]$ . An overview of the crystal structures known up to date is shown in Table 1 and Scheme 2. According to [th](#page-1-1)e Zintl–Klemm concept, the alkali metals transfer their valence electron to the anionic substructure of the triel and pnictogen, thus allowing various covalent one-, two- or three-dimensional structural motifs of edge- or corner-sharing *TrPn*<sub>4</sub>-tetrahedra, as well as a "zero"-dimensional triangular planar coordination of the triel atom for compounds of the heavier alkali metals.

> <span id="page-1-1"></span>**Table 1.** Overview of the crystallographic details of the 3-1-2 compounds. Cell parameters given in the first line and second line are of experimental and calculated origin, respectively. The third line shows the deviation in %. If only one line is presented, the data refer to the predicted crystal structure discussed below.



**Table 1.** *Cont.*



<span id="page-3-0"></span>

atom for compounds of the heavier alkali metals.

valence formulae 1a and 1b of the "zero"-dimensional  $[T_2P_{n_4}]^{6-}$  unit of two edge-sharing triangular valence formulate the and **1b** of the "zero"-dimensional [*Tr*<sub>2</sub>*Pn*<sub>4</sub>]<sup>6</sup> unit of two edge-sharing triangular planar units. The dimeric  $[Tr_2Pn_4]^{6-}$  unit 2 and the one-dimensional  $\frac{1}{\infty}[Tr Pn_{4/2}]^{3-}$  string 3 of edge-**Scheme 2.** Lewis valence structures of the various building units in A<sub>3</sub>TrPn<sub>2</sub> Zintl phases. Mesomere sharing tetrahedra, a TrPn<sup>4</sup> tetrahedra **4** and the adamantane type subunit **5**. Further connecting atoms are represented in grey colour without formal charges.

Here, we report on the structural relationship of the 22 experimentally known compounds and their electronic properties by calculating their band structures, density of states (DOS) and crystal orbital Hamilton population (COHP). The influence of the crystal structure and elemental composition on the nature and size of the band gaps is analysed. Further on, eight crystal structures for compounds with new element combinations were predicted and their electronic structures investigated. An overview of the similarities and differences between these structures is given.

## **2. Results and Discussion**

*2.1. Structural Relationship of Compounds with Composition A3TrPn<sup>2</sup> (A = Li* − *Cs, Tr = Al* − *In, Pn = P, As)*

The Zintl phases  $A_3$ *TrPn*<sub>2</sub> ( $A = Li - Cs$ , *Tr* = Al, Ga, In, *Pn* = P, As) possess a unique variety of structures due to the covalent bonding of two *Pn* atoms by one *Tr* atom, resulting in the same charge of the polyanions. Depending on the alkali metal triel/pnictide element combination, the anionic substructures adopt different dimensions, ranging from isolated molecular anions ("zero"-dimensional) up to three-dimensional networks. Representatives of the different structure types are shown in Figure [1.](#page-4-0)

Compounds with the largest alkali metals such as  $Cs<sub>3</sub>AIP<sub>2</sub>$ ,  $Cs<sub>3</sub>GaP<sub>2</sub>$ ,  $Cs<sub>3</sub>AIAs<sub>2</sub>$ ,  $Cs<sub>3</sub>GaAs<sub>2</sub>$  and  $Rb<sub>3</sub>GaP<sub>2</sub>$  form "discrete" molecular polyanions. Whereas all Cs compounds crystalise in space group *Pbca* (no. 61, Figure [1A](#page-4-0)), Rb<sub>3</sub>GaP<sub>2</sub> shows a lower monoclinic symmetry with space group *P*21/*c* (no. 14, Figure [1B](#page-4-0)). These structures will be referred to as structure types **A** and **B**, respectively [\[15–](#page-15-9)[19\]](#page-15-10). Despite the different symmetry of the crystals, both structure types contain the same edge-sharing triangular planar [*Tr*<sub>2</sub>*Pn*<sub>4</sub>]<sup>6−</sup> polyanion, made up by two  $(3b-Tr)^0$ , two  $(2b-Pn)^{-}$  and two  $(1b-Pn)^{2-}$  atoms (nb = n-fold bonded). In order to achieve an electron octet for all atoms, resonance structures with a *Tr-Pn* double bond are required (Scheme [2,](#page-3-0) **1b**), resulting in four (2b-Pn)<sup>-</sup> and two (4b-Tr)<sup>1-</sup>. Similarly, *Tt*P<sub>3</sub> units have been observed in the  $A_5TtP_3$  ( $A = Li - Cs$ ,  $Tt = Si - Sn$ ) system, where  $[\mathrm{CO}_3]^{2-}$  isosteric  $[\mathit{TtP}_3]^{5-}$  units with a double bond character occur due to the higher amount of alkali metal [\[11\]](#page-15-6). The main difference between  $Rb_3GaP_2$  and the Cs compounds

is that the triangular dimers in Rb<sub>3</sub>GaP<sub>2</sub> show a slightly bent structure, with a dihedral angle of 14.6(1)◦ between the two edge-sharing triangular planar *TrPn*3, compared to a perfectly planar arrangement for the Cs compounds.

<span id="page-4-0"></span>

 $Tr = A l$ , Ga, In, Pn = P, As). The respective structure types (denoted as  $(A-H)$  in the picture) are used Al, Ga, In, *Pn* = P, As). The respective structure types (denoted as (**A**–**H**) in the picture) are used to to reference the structures. For all structures, the alkali metal  $A$  is depicted in red, the pnictide  $Pn$  in purple and the triel element *Tr* in grey. purple and the triel element *Tr* in grey. **Figure 1.** Overview of all known structure types within the  $A_3$ *TrPn*<sub>2</sub> system (with  $A = Li - Cs$ ,

*2.2. Crystal Structure Optimisation of the Known Compounds*  crystalise in space group *P*1 (no. 2). They contain the molecular, triangular planar ("zerodimensional<sup>"</sup>) [Al<sub>2</sub>*Pn*<sub>4</sub>]<sup>6−</sup>/[In<sub>2</sub>P<sub>4</sub>]<sup>6−</sup> unit (Scheme 2, **1a** and **1b**) that has been described above, and a linear one-dimensional substructure with tetrahedrally coordinated *Tr* atoms (Scheme 2, 3). The InP<sub>4</sub> tetrahedra are edge-sharing, forming one-dimensional chains along the b-axis (Figure 1C), referred to as structure type **C** [\[20–](#page-15-11)22]. The chains contain exclusively (2b-Pn)<sup>−</sup> and (4b-Tr)<sup>−</sup>, and the recurring unit can thus be formulated as  $\frac{1}{\infty}$ [*TrPn*<sub>4/2</sub>]<sup>3−</sup>. The two subunits are separated from each other through alkali metal atoms. Cs<sub>3</sub>InP<sub>2</sub> shows in general the same structural motifs and crystallises in the same space group, but the one-dimensional chains and triangular planar units have a slightly different arrangement  $K_3AIP_2$ ,  $Rb_3InP_2$  and  $K_3AIAs_2$  form a structure type with two different polyanions and

in the unit cell (Figure [1D](#page-4-0)) [\[23\]](#page-15-13). Here, the dimeric units of  $[\text{In}_2\text{P}_4]^{6-}$  have a planar structure, whilst the dihedral angles of 3.60(8)°, 4.0(2)° and 6.60(5)° in K<sub>3</sub>AlP<sub>2</sub>, Rb<sub>3</sub>InP<sub>2</sub> and K<sub>3</sub>AlAs<sub>2</sub>, respectively, lead to a slightly bent conformation. This type is henceforth referred to as structure **D**.

The Na compounds,  $\text{Na}_3\text{AlP}_2$  and  $\text{Na}_3\text{GaP}_2$ ,  $\text{Na}_3\text{AlAs}_2$ , as well as  $\text{K}_3\text{InP}_2$  and  $\text{K}_3\text{InAs}_2$ , are all isostructural and crystallise in space group *Ibam* (no. 72) [\[24](#page-15-14)[–28\]](#page-16-0). They consist solely of one-dimensional chains of edge sharing tetrahedra  $\frac{1}{\infty} [Tr P n_{4/2}]^{3-}$  along the c-axis (3,  $SiS<sub>2</sub>$ -type analogue) (Figure [1E](#page-4-0)). The structure is henceforth referred to as structure type **E** [\[29\]](#page-16-1).

Li<sub>3</sub>AlP<sub>2</sub>, Li<sub>3</sub>GaP<sub>2</sub>, Li<sub>3</sub>AlAs<sub>2</sub> and Li<sub>3</sub>GaAs<sub>2</sub> crystallise in space group *Cmca* (no. 64) and consist exclusively of dimers of edge-sharing  $TrP_{n_4}$  tetrahedra. The  $Tr_2P_{n_6}$  dimers (Scheme [2,](#page-3-0) **2**) are further connected by their vertices to neighbouring units of the same type, with the *Pn*-*Pn* vector of each edge-sharing tetrahedra dimer alternating in perpendicular directions with respect to each other, thus forming two-dimensional layers (Figure [1F](#page-4-0)), henceforth referred to as structure type **F** [\[12](#page-15-7)[,30](#page-16-2)[,31\]](#page-16-3). Consequently, the two-dimensional polyanion  ${}^2_{\infty}$  [*TrPn*<sub>4/2</sub>]<sup>3−</sup> exclusively consists of (4b-*Tr*)<sup>−</sup> and (2b-*Pn*)<sup>−</sup>, resulting in the same bonding situation as structure type **E**.

 $Li<sub>3</sub>InP<sub>2</sub>$  and  $Li<sub>3</sub>InAs<sub>2</sub>$ , in contrast, form a three-dimensional structure with exclusively vertex-sharing *TrPn*<sup>4</sup> tetrahedra in space group *I*41/*acd* (no. 142) [\[31,](#page-16-3)[32\]](#page-16-4). Four *TrPn*<sup>4</sup> tetrahedra form an adamantane-type  $[\text{In}_4\text{Pn}_{10}]$  "super tetrahedra" (Scheme [2\)](#page-3-0). These units are further connected via their "outer vertices" to neighbouring super-tetrahedra to build a three-dimensional polyanion  ${}_{\infty}^{3}[TrPn_{4/2}]^{3-}$  . The structure can be understood as a hierarchical variant of the diamond structure by replacing C atoms with adamantane-type units. Since each *Tr* and *Pn* atom remains four- and two-fold bonded, the same charge as in structures **E** and **F** results. Two such independent three-dimensional networks of tetrahedra (Figure [1G](#page-4-0)), referred to as structure type **G**, interpenetrate each other without any bonds formed between the two subunits.

Lastly, for  $\text{Na}_3\text{InP}_2$  and  $\text{Na}_3\text{InAs}_2$  an alternative three-dimensional connection of the InP<sup>4</sup> tetrahedra is present [\[33,](#page-16-5)[34\]](#page-16-6). These compounds crystallise in space group *P*21/*c* (no. 14). Here In2*Pn*<sup>6</sup> dimers of edge-sharing tetrahedra and In*Pn*<sup>4</sup> tetrahedra (Scheme [2,](#page-3-0) **2** and **4**, respectively) are connected via common *Pn* atoms. Since each *Pn*4*Tr*-tetrahedron is either corner- or edge-sharing with its neighbours, all *Pn* atoms are (2b-*Pn*) <sup>−</sup>, while In atoms remain four-fold bonded (4b-In)−, and the same overall charge of the polyanion  $\frac{3}{\infty}[Tr Pn_{4/2}]^{3-}$  results (Figure [1H](#page-4-0), referred to as structure type **H**).

Thus, all structure types presented can be considered as constitutional isomers of compounds with the composition *A*3*TrPn*2, since the bonding situation of the *Pn* and *Tr* atoms is the same, but their connection leads to a variety of zero-, one-, two-, and three-dimensional polyanions.

# *2.2. Crystal Structure Optimisation of the Known Compounds*

Using the experimental crystal structures as starting models, all existing compounds of the *A*3*TrPn*<sup>2</sup> system were structurally optimised with subsequent frequency calculations. All but one experimentally observed structure were proven to be true local minima. For Cs<sub>3</sub>InP<sub>2</sub>, one imaginary frequency at  $-12.7551$  cm<sup>-1</sup> was found, and thus, upon distortion along that frequency, a new optimisation in P 1 symmetry was conducted. This structure was then proven to be a true local minimum by the absence of further imaginary frequencies. However, the reduction in the symmetry did not lead to significant structural differences and did not change the band structure or density of states (DOS) at all.

Table [1](#page-1-1) shows an overview of all experimental and calculated cell parameters for all existing compounds. They are in good agreement, with deviations below 2%, but for structure type **E**, the calculated cell parameter b can be up to 6.5% smaller than the experimental reference. This could be due to the anisotropy of the structure, with the onedimensional chains being aligned along the c-axis. Thus, only the alkali metal "connects" them along b. Since the interactions between the chains are only weak, this parameter

might shrink more compared to the other cell parameters, for the structure optimisation at 0 K.

## *2.3. Structure Predictions for Unknown A3TrPn<sup>2</sup> Phosphides and Arsenides*

The crystal structures of  $K_3GaP_2$  Rb<sub>3</sub>AlP<sub>2</sub>, Na<sub>3</sub>GaAs<sub>2</sub>, K<sub>3</sub>GaAs<sub>2</sub>, Rb<sub>3</sub>AlAs<sub>2</sub>, Rb<sub>3</sub>GaAs<sub>2</sub>, Rb3InAs<sup>2</sup> and Cs3InAs<sup>2</sup> have not yet been reported. In order to make a theoretical prediction of the stability of the possible structure types, models were constructed based on the crystal structures of experimentally feasible P and As compounds as described above, containing either alkali, triel or pnictide atoms of the previous or next period. For example, for  $K_3GaP_2$ , models based on  $Rb_3GaP_2$ ,  $K_3AlP_2$  and  $Na_3GaP_2$  with structure types **B**, **C** and **E**, respectively, were used, since these are the experimentally feasible compounds with the lighter or heavier alkali metal ( $N_{a3}GaP_2$  and  $R_{a3}GaP_2$ , respectively) or triel element  $(K_3AIP_2)$ . From their experimental crystal structures, models were created for  $K_3GaP_2$ , optimised and the subsequent frequency, band structure, density of states (DOS) and crystal orbital Hamilton population (COHP) calculated. After optimisation and frequency calculation, the energy per unit cell and Gibbs free enthalpy can be obtained, respectively, and compared between each compound. Results for all structure predictions can be found in Tables [2](#page-6-0) and S3 in the Supporting Information, where the differences (∆∆E and ∆∆G) were referenced to the lowest energy ∆E and Gibbs free enthalpy ∆G for each compound.

<span id="page-6-0"></span>**Table 2.** Energy comparison of different possible structures for all unknown phosphides and arsenides. The structure type in the second column refers to the crystal structures shown in Figure [1.](#page-4-0) The energetically most stable structure was set to 0, marked with bold letters. If the most stable structure differs for ∆∆E and ∆∆G, they are additionally marked in italics. The type of band gap is denoted as follows: dir. = direct, indir. = indirect, p-dir. = pseudo-direct band gap (where the indirect band gap is less than 0.03 eV smaller than the direct).



Thus, the predicted structures are as follows: For  $K_3GaP_2$ ,  $R_3AlP_2$ ,  $K_3GaAs_2$ ,  $Rb_3GaAs_2$ and  $Cs<sub>3</sub>InAs<sub>2</sub>$ , structure type **B** with its trigonal planar dimer units has the lowest energy and Gibbs free enthalpy and is therefore considered to be the most stable. The lowest energy for  $Na<sub>3</sub>GaAs<sub>2</sub>$  corresponds to structure type  $H$ , with its three-dimensional network of corner and edge-sharing tetrahedra. For Rb<sub>3</sub>InAs<sub>2</sub>, two structure types are possible, since the lowest energy could be obtained for structure **C**, while the mixed zero- and onedimensional structure and the lowest Gibbs free enthalpy could be obtained for structure type **A**. This could be an indication of a possible phase transition from structure **C** to **A** for an increased reaction temperature and subsequent quenching.

Since all the computed energy and enthalpy differences are small, phase transitions between these predicted structures might occur. For example, the difference for  $Rb_3AlP_2$ between the favoured type **B** and type **A** is only 6.21 kJ/mol. Although higher than the difference to structure **C**, this difference decreases for the Gibbs free enthalpy, which—in contrast to the bare minimum energy calculated at 0 K—includes the influence of the temperature for the optimised structure. In the case of  $Rb_3AlP_2$ , the decrease in  $\Delta\Delta G$ for structure type **A**, compared to the energy difference, hints towards the existence of a high-temperature phase. The same trend is found for type **E** Na<sub>3</sub>GaAs<sub>2</sub>, type **A** Rb<sub>3</sub>AlAs<sub>2</sub> and type  $A Cs_3InAs_2$ , which therefore could also be candidates for high-temperature phase transitions.

The general trend in dimensionality of the anionic sublattice shows that larger alkali metal atoms prefer a lower dimensionality, but larger triel atoms (mostly In) prefer a higher dimensionality. These trends can be seen, for example, in the Li-Al and Li-Ga phosphides and antimonides, which all crystallise in the two-dimensional structure **F**, while their corresponding In compounds crystallise in the three-dimensional structures **G** and **H**. Furthermore, all Rb and Cs compounds crystallise in structures containing only edgesharing triangular planar dimers, type A and B, except Cs<sub>3</sub>InP<sub>2</sub>, Rb<sub>3</sub>InP<sub>2</sub> and Rb<sub>3</sub>InAs<sub>2</sub> (based on energy comparison), which crystallise in structures **C** or **D**, containing additional one-dimensional substructures. The remaining Na and K compounds crystallise in the one-dimensional or one- and zero-dimensional structure types **E** and **C**, except for the predicted structures of  $K_3GaP_2$ ,  $K_3GaAs_2$  and  $Na_3GaAs_2$  which prefer structure types **B** and **H**, respectively. Comparing the structures of each phosphide–antimonide pair, almost all show the same structure, except for  $Na_3GaP_2/Na_3GaAs_2$  and  $Cs_3InP_2/Cs_2InAs_2$ .

From these trends, it seems clear that the size of the atoms is the main factor determining which crystal structure is formed. More complex structures, formed through connections of *TrPn*4-tetrahedra, allow only for smaller voids, where Li and Na ions fit best. The larger cations K, Rb and Cs are more space demanding, and may not fit in these voids. Instead, less complex anionic substructures with a more flexible anion arrangement are formed (such as monomeric units) into which the larger cations fit better. The second trend of the more complex structures for In compounds, can also be explained by the larger size of the In atoms. Larger atoms widen the polyanionic network, resulting in larger voids for the cations. Al and Ga cannot widen the structure enough; thus, the simpler polyanionic structures **A** and **B** are formed for the Rb and Cs compounds.

### *2.4. Electronic Structure Analysis*

#### 2.4.1. Size of the Band Gap

For all compounds and predicted structures, the band structure and the density of states (DOS) were calculated. Plots for all structures can be found in the SI, and all band gap sizes and band gap types are listed in Table [3.](#page-8-0) If an indirect band gap is less than 0.03 eV smaller than the smallest direct band gap, the gap is denoted as a pseudo-direct band gap. As an interesting fact, we found that 27 compounds reveal a direct or pseudo-direct band gap and only  $\text{Na}_3\text{AlP}_2$ ,  $\text{Na}_3\text{GaP}_2$  and  $\text{Na}_3\text{AlAs}_2$  possess indirect band gaps.

<span id="page-8-0"></span>Table 3. Structure types and band gaps for all A<sub>3</sub>TrPn<sub>2</sub> compounds. Direct band gaps are marked with, "dir.", indirect band gaps with "indir." and compounds where the direct and indirect band gap only have a small difference (<0.03 eV) are labelled as "pseudo-direct", abbreviated as "p-dir.". Italicised cells represent the previously predicted compounds. For  $\rm Rb_3InAs_2$ , both possible structures with their band gaps are included.



The width of the band gaps is decreasing for all heavier triels, including the most stable predicted structures of the eight unknown compounds, except for  $K_3AlP_2$  and  $K_3AlAs_2$ , which have much smaller band gaps than their heavier homologues with Ga and In. Since these structures possess  $[Al_2P_4]^{\tilde{6}-}$  dimeric units, which incorporate partial double bonds (Scheme [2\)](#page-3-0), in contrast to structures with solely tetrahedral structural motifs, smaller band gaps are expected. This is indeed found for all structures incorporating this motif, including the predicted compounds, which also show especially small band gaps for compounds with structure type  $C$ . For  $Cs<sub>3</sub>GaAs<sub>2</sub>$ , the electronegativity difference could explain the slight increase in the band gap, since Ga has a higher electronegativity [\[35\]](#page-16-7).

As for the comparison between the band gaps of phosphides and arsenides, the latter have, in general, gaps that are about 0.2 to 0.4 eV smaller than the corresponding phosphides. This observation correlates with the idea that for the larger As atoms, the orbital overlap with their neighbouring atoms is less effective, which leads in molecules to a smaller HOMO-LUMO separation. However, three compounds do not follow this trend, namely Na3Ga*Pn*2, for which the As compound shows a much smaller band gap, as well as Cs3In*Pn*<sup>2</sup> and Rb3In*Pn*<sup>2</sup> (lower enthalpy structure), for which the As compounds have a larger band gap. Interestingly, this coincides with the set of compounds for which the structure type of the phosphide and arsenide are different, which raises the question as to whether the structure type also has an influence on the size of the band gap. A closer look at the difference between the calculated bad gaps for the predicted structures confirms this hypothesis, since the band gaps for the different structure types of, for example, Na<sub>3</sub>GaAs<sub>2</sub> range from 2.03 eV (**H**) to 2.39 eV (**E**).

By taking a closer look at the band gap size distribution over the different dimensionalities of structure types **A** to **H**, the band gap is on one hand increasing with the dimension of the anionic structure and on the other decreasing with the occurrence of edge-sharing tetrahedra as an anionic structural motif. Therefore, the lowest band gaps are obtained for structure types **C** and **D**, with a zero- and one-dimensional structure, followed by types **A** and **B** (pure zero-dimensional triangular planar units), type **E** (only one-dimensional), types **F** and **H** (two- and three-dimensional) and lastly type **G** (threedimensional structure without edge-sharing tetrahedra). This could explain the larger band gaps for the As compound of Cs3In*Pn*<sup>2</sup> and Rb3In*Pn*2, since they crystallise with molecular anions (zero-dimensional structures). If they were to adopt the same structure type as their corresponding P compounds, the band gaps of the As compounds would follow the trend of having lower gaps.

All band structures and DOS plots are available in the SI. Among the 30 compounds, we chose 4 examples to show the characteristics of the various band structures.  $Li<sub>3</sub>AlP<sub>2</sub>$ and Cs3AlP2, with structure type **F** and **A**, respectively, shown in Figure [2,](#page-9-0) correspond to compounds with direct and pseudo-direct band gaps.  $Na_3AlP_2$  and  $K_3InIP_2$ , shown in

<span id="page-9-0"></span>

Figure [3,](#page-10-0) are an example where both structures adapt the same structure type **E** but have Figure 9, are an example where both structures adapt the standing indirect and direct band gaps, respectively.

rigine 2. (a) band structure and density of states of  $\Sigma_3$  and  $\Sigma$  (type A) with a meet band gap of 5.00 eV green arrow); (b) band structure and density of states of  $C_{33}$ AlP<sub>2</sub> (type A) with a pseudo-direct band even arrow); (**b**) band structure and density of states of Cs3<sup>ALP</sup><sub>2</sub> (type **A**) with a pseudo-direct band band gap of 2.54 eV (green arrow) and an indirect band gap of 2.56 eV (orange arrow). gap of 2.54 eV (green arrow) and an indirect band gap of 2.56 eV (orange arrow). **Figure 2.** (**a**) Band structure and density of states of Li3AlP<sup>2</sup> (type **F**) with a direct band gap of 3.06 eV

The dispersion of the bands varies for the different structure types **A** and **F**. As for type **F**, all structure types with two- or three-dimensional polyanions (types **E**–**H**, Figure [2a](#page-9-0)) show a large band dispersion (especially in the area of the filled band region below  $E_F$ ), whereas structures that incorporate "discrete" edge-sharing triangular planar dimers (types **A**–**D**, Figure [2b](#page-9-0)) have bands with a small dispersion (flat bands). As a consequence, all Cs compounds show an increased occurrence of pseudo-direct band gaps as they adopt structure types **A**, **C**, and **D**. Since especially the top valence band is very flat at the Fermi level, multiple transitions similar in energy are possible and pseudo-direct band gaps arise. As an example, the band structure of  $Cs<sub>3</sub>AlP<sub>2</sub>$ , with two possible transitions, is shown in Figure [2b](#page-9-0). The direct band gap at Γ (green transition) is only 0.02 eV larger than the indirect transition  $Y_2 \to \Gamma$  (orange arrow). The low dispersion of the bands is a result of the rather localised electron density within the anionic–molecular [*Tr*<sub>2</sub>*Pn*<sub>4</sub>]<sup>6−</sup> units that are fully separated by alkali metal ions, while extended edge- or corner-sharing tetrahedra structural motifs result in a farther-distributed electron density along the two- or three-dimensional network, resulting in more disperse bands.

<span id="page-10-0"></span>

**Figure 3. (a)** Band structure and density of states of Na<sub>3</sub>AlP<sub>2</sub> (type **E**) with an indirect band gap of 3.34 eV; (**b**) band structure and density of states of  $K_3$ InP<sub>2</sub> (type E) with a direct band gap of 2.89 eV.

## 2.4.2. Band Structures and DOS

The DOS looks similar for all  $A_3$ *TrPn*<sub>2</sub> compounds: the valence bands contain mostly *Pn* states with minor contributions of the alkali and triel elements. Around the Fermi level of compounds with flat bands, an increase in alkali metal states can be observed. The lower conduction bands for most compounds contain mostly states of the triel, followed by pnictide and alkali metal states. This is not the case for  $\text{Na}_{3}\text{AlP}_{2}$ ,  $\text{Na}_{3}\text{GaP}_{2}$ ,  $\text{Na}_{3}\text{AlAs}_{2}$ and  $K_3$ InP<sub>2</sub>, where all elements contribute equally to the DOS. For higher bands, the most contributing element either changes, or all elements contribute equally.

All direct and pseudo-direct band gaps are located at Γ. Only three compounds, Na3AlP<sub>2</sub> (Figure [3a](#page-10-0)), Na3GaP<sub>2</sub> (Figure S29, SI) and Na3AlAs<sub>2</sub> (Figure S37, SI), have an indirect band gap. For Na<sub>3</sub>AlP<sub>2</sub>, the valence band minimum (VBM) appears—in contrast to, f[or](#page-10-0) example, K<sub>3</sub>InP<sub>2</sub> (Figure 3b)—not at Γ but on the path from Γ to X. At the same time, the lowest conduction band gets pushed up at Γ such that the conduction band minimum (CBM) lies between  $G_0$  a[nd](#page-10-0) X (Figure 3a). Na<sub>3</sub>GaP<sub>2</sub> again has the VBM at  $\Gamma$  while the CBM remains at the same position (Figure S29). A closer look at the DOS shows that, in contrast to all direct band gap compounds at the Fermi level, additional alkali metal states are present in indirect band gap compounds, leading to changes in the VBM. An increase in alkali metal states is also observed for compounds with very flat valence bands at the Fermi level.

The same can be seen for the conduction bands, which are pushed up in  $\text{Na}_3\text{AlP}_2$ , Na<sub>3</sub>GaP<sub>2</sub> and Na<sub>3</sub>AlAs<sub>2</sub> at Γ. In the DOS, it can be seen that there are more Na states present. The Li compounds and  $\text{Na}_3\text{In}P_2/\text{Na}_3\text{In}As_2$  have mainly triel states, followed by small contributions of Li/Na and P/As. Compounds with the heavier alkali metals also have more alkali metal states around the CBM, although they are at or close to Γ, so the mere existence of these states should not prevent the occurrence of direct gaps. This suggests that the interaction of the alkali metal with itself or adjacent triel and pnictide atoms could be a determining factor for the occurrence of direct and indirect band gaps.

A Mulliken analysis was conducted for all compounds, as well as all predicted compounds, determining the atom's Mulliken charges and the overlap population between neighbouring atoms (see SI). Mulliken charges represent trends in the charge distribution based on the calculated wave function, but do not reproduce the full expected ionic charges or formal charges that occur in representations of Lewis formulae. In all compounds with two-fold bonded *Pn* atoms, P and As atoms have a Mulliken charge of about −1. For those compounds that contain both one- and two-fold bonded Pn atoms (1b and 2b, respectively), the 1b-Pn have up to 0.2 higher negative charges, thus reflecting the trend of the bonding situation as shown in Scheme [2.](#page-3-0) All alkali metals show Mulliken charges, which are increasing for Na and K from about 0.56 to 0.75, but decrease again for Rb and Cs to around 0.70. Overall, the expected positive charge of the alkali metal is reflected in those numbers.

With respect to chemical bonding, triel elements can on the one hand be treated as *Tr*<sup>3+</sup> according to an ionic description and, on the other hand, with a formal charge of −1 or 0, according to a covalent description applying the octet rule, for the tetrahedral and triangular planar coordination, respectively. The Mulliken charges calculated for the triel atoms are between −0.3 and +0.5. The values represent a mostly polar covalent description of the charge, and the Mulliken charge of the triel element decreases for compounds with smaller electronegativity differences. Therefore, Al compounds show the highest charges. On the other hand, a trend for between three- and four-fold-bonded structure motifs can be found for each triel atom, since the atoms which are tetrahedrally coordinated show larger Mulliken charges than the triangular planar ones, due to the higher number of polar bonds formed.

Values larger than 0.2 for the overlap population indicate bonding triel–atom *Pn* interactions, which are in line with the bonds assigned based on the interatomic distances described in the crystal structures. Further on, the highest overlap populations are found for *Tr*-*Pn* bonds, which form partial double bonds within the dimeric triangular planar units in structures **A** to **D** (see Scheme [2\)](#page-3-0). Here, for example, the Al-P overlap in  $K_3AIP_2$ is about 0.5, which strongly hints towards a higher bond order. Since the absolute value of the overlap population for these partial double bonds decreases for Ga-*Pn* and In-*Pn*, the validation of the double bond rule, namely that heavier elements are less favoured for double bond formation, is stated. Since the probability of forming (partial) double bonds decreases with the atomic number within a group, Ga and In show less overlap population for these atomic interactions [\[36\]](#page-16-8).

Between alkali metals and *Pn*, as well as alkali metals and triel, only negligible interactions are found. For the interactions between two neighbouring triel elements, negative values were obtained for the overlap population, which suggests that atoms in adjacent (edge-sharing) tetrahedra and triangular planar units have no attractive, but a slightly repelling, interaction. This is more distinct for compounds of the heavier triel atoms, due to their increase in size, as well as structures with edge-sharing tetrahedra. As a consequence, the distance between adjacent triel atoms is smaller. The same negative overlap, and thus repulsion, can be found for the long-distance interaction between the next but one *Pn* atoms.

For all compounds, a crystal orbital Hamilton population (COHP) was calculated for all heteroatomic interactions, as well as the triel–triel interaction(s). For the latter, as well as the extra plots of the *Tr*-*Pn* interactions, they were reduced to the interactions of only neighbouring (and thus, for *Tr*-*Pn*, between bonding) atoms. A selection of these COHP plots can be found in Figure [4.](#page-12-0) In general, as seen in Figure [4a](#page-12-0), there are only few interactions from 0 eV until about −3 eV in the COHP, although a lot of states are present in the DOS, especially for *Pn*. Therefore, in this energy range, mainly the states of Pn lone pairs contribute to the DOS.

<span id="page-12-0"></span>

Figure 4. (a) COHP for all heteroatomic interactions in  $Li<sub>3</sub>AlP<sub>2</sub>$  and  $Na<sub>3</sub>AlP<sub>2</sub>$ . (b) COHP for Tr-Tr interactions for Na<sub>3</sub>AlP<sub>2</sub> and K<sub>3</sub>InP<sub>2</sub>. (**c**) Al-P bond projected COHP for Li<sub>3</sub>AlP<sub>2</sub> and Cs<sub>3</sub>AlP<sub>2</sub>. For all plots, the red line represents the Fermi level at 0 eV and the grey, dashed line the top of the band gap. For Cs<sub>3</sub>AlP<sub>2</sub>, the atomic positions in the projected DOS refer to the assigned positions in the scheme.

For Na3Al*Pn*<sup>2</sup> and Na3GaP<sup>2</sup> the projected COHP of the heteroatomic interactions shows two peculiar features. Below the Fermi level, there occur, compared to the other compounds, low values for the Na-*Tr* and Na-*Pn* interactions. The higher number of states observe in the DOS hints at additional Na electron density close to the Fermi level, which was deemed to be responsible for the position of the valence band maximum (VBM) and thus the indirect band gap, as pointed out above. Since the interactions with Na are small, the additional states are thus attributed to non-bonding Na states (see SI). Secondly, the COHP of the Al-Al interactions (Figure [4b](#page-12-0), left) shows a sharp increase in states at the band gap top. These interactions between the triel atoms might be responsible for the position of the conduction band minimum (CBM) at another *k*-point than Γ, since they are not present in  $K_3$ InP<sub>2</sub> (Figure [4b](#page-12-0), right), which also crystalises in the same structure type, but has a direct band gap (at Γ). The stronger In-In interactions, which are also represented by a stronger negative overlap population in the Mulliken analysis, could lead to an increase in energy for these interactions and thus an absence of them above the band gap.

Comparing the COHP of *Tr-Tr* interactions of structure type **E** with all other compounds, interactions between the triel atoms are absent or have a similar shape as  $K_3InP_2$ , for which they change between bonding and anti-bonding interactions above the Fermi level. Since all these compounds also show direct band gaps, the strength of the *Tr-Tr* interaction might be able to influence the position of the CBM. To gain more insight into this hypothesis, calculations with post-DFT methods have to be conducted, since the level of theory present is limited in the accuracy of describing excited states. Further on, relativistic effects might play a role, since within structure type **E** the switch to direct band gaps occurs only for In compounds. Since the In basis set accounts for some relativistic effects by a core potential, which the Al and Ga basis sets do not, the direct band gap could also arise from the chosen level of theory.

Lastly, all compounds that contain  $[Tr_2Pn_4]^{6-}$  units show another interesting feature in the "bond" projected COHP of *Tr-Pn* interactions. Figure [4c](#page-12-0) (left) shows that for  $Li_3AlP_2$ , the COHP, calculated for interactions of Al-P bonds, no significant difference between the different bonds can be found, since all Al-P bonds are single bonds. Therefore, the shape of the projected COHPs is the same. For  $Cs<sub>3</sub>AlP<sub>2</sub>$  (Figure [4c](#page-12-0), right), due to the partial double bond for the terminal P atoms P1 and P2, their interactions can be found directly below the Fermi level, since these  $\pi$ -bonds are high in energy. The bonding interactions for the bridging P atoms, P3 and P4, are lower in energy (at about −2 eV) due to the single bonds.

## **3. Methods**

The computational studies of all compounds in the  $A_3$ *Tr*P<sub>3</sub> system (with  $A = Li - Cs$ and *Tr* = Al, Ga, In) were performed using the CRYSTAL17 program package and hybrid density functional methods [\[37,](#page-16-9)[38\]](#page-16-10). A hybrid exchange–correlation functional after Perdew, Burke, and Ernzerhof (DFT-PBE0) was used, ref. [\[39\]](#page-16-11) Localised, Gaussiantype triple ζ-valence + polarisation level basis sets were used for Al, Ga, In and P and split valence + polarisation level basis sets for Li, Na, K, Rb and Cs. The basis sets were derived from the molecular Karlsruhe basis sets [\[12](#page-15-7)[,28](#page-16-0)[,40–](#page-16-12)[42\]](#page-16-13). For the evaluation of the Coulomb and exchange integrals (TOLINTEG), tight tolerance factors of 8, 8, 8, 8, 16 were used for all calculations. The reciprocal space of all calculations was sampled with Monkhorst–Pack-type *k*-point grids; their respective sizes can be found in the SI. The starting geometries were taken from experimental data whenever possible. For the unknown compounds, models based on adjacent structures by atom replacement were derived. Both the lattice parameters and atomic positions were fully optimised within the constraints imposed by the space group symmetry. Further on, all optimised structures were confirmed to be true local minima by means of harmonic frequency calculations at the Γ-point. For all compounds and models, electronic band structures and density of states (DOS) were calculated. The Brillouin Zone paths were provided by the web service *SeeK-path* and a list can be found in the SI [\[43\]](#page-16-14).

# **4. Conclusions**

In this paper, band structures and density of states for all  $30 A_3 Tr P n_2$  compounds with *A* = Li, Na, K, Rb, Cs; *Tr* = Al, Ga, In; *Pn* = P, As, a family of electron-precise Zintl phases, were presented. A total of 27 out of 30 compounds show direct or pseudo-direct band gaps. Na<sub>3</sub>AlP<sub>2</sub>, Na<sub>3</sub>AlAs<sub>2</sub> and Na<sub>3</sub>GaP<sub>2</sub> possess an indirect band gap. For the compounds with indirect band gaps, the conduction band minimum (CBM) and valence band maximum (VBM) are not located at Γ (as for the other compounds). The position of the VBM is probably caused by an increase in (non-bonding) Na states right below the Fermi level. The position of the CBM could be influenced by the strength of the *Tr*-*Tr* interaction above the band gap as well as relativistic effects, which are partially accounted for in the calculation by the In basis set. The width of the band gap was discussed, based on the structure as well the composition of the compound. Arsenides, as well as compounds with lower-dimensional anionic substructures, show lower band gaps, due to less interatomic overlap within the structure or between the atoms.

The bonding situation imposed by the crystal structure was confirmed by a Mulliken analysis, where bonds between the triel and *Pn* atoms were found. For the triangular planar *Tr*2*Pn*<sup>4</sup> building units, partial double bonds for the lighter triels were identified, as well as being excluded for the compounds with the heavier element In according to the double bond rule. Insights into atomic interactions, by calculation of the COHP, further described the electronic situation of the compounds.

For confirmation as well as to expand the correlations found, experiments on synthesising the predicted compounds need to be conducted. Experimentally known compounds should also be screened for possible phase transitions to gain an even deeper insight into the system. Calculations and structure predictions could also to be extended to antimonides and bismuthides to see how they affect the appearance of direct band gaps, since both basis sets take relativistic effects into account with a core potential [\[44–](#page-16-15)[47\]](#page-16-16). Calculations should also be expanded to compounds in the  $A_2TtPn_2$  system (with  $A = \text{Li}-\text{Cs}$ ,  $Tt = \text{Si}-\text{Sn}$ , *Pn* = P, As), which is the equivalent tetrel system, and therefore their crystal structures are closely related [\[48](#page-16-17)[–52\]](#page-16-18).

Further on, post-DFT calculations are needed to investigate all the effects found for conduction bands, as they provide a more accurate description for excited states [\[53](#page-16-19)[,54\]](#page-17-0).

**Supplementary Materials:** The following supporting information can be downloaded at: [https://](https://www.mdpi.com/article/10.3390/molecules29174087/s1) [www.mdpi.com/article/10.3390/molecules29174087/s1.](https://www.mdpi.com/article/10.3390/molecules29174087/s1) Additional Information on DFT calculations; Structure types A–H; Structure predictions; References [\[12](#page-15-7)[,15](#page-15-9)[–28](#page-16-0)[,30](#page-16-2)[–34,](#page-16-6)[55\]](#page-17-1) are cited in Supplementary Materials.

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