



CONVENTION ON BIOLOGICAL DIVERSITY

Distr.
GENERAL

UNEP/CBD/QR/22
23 October 2003

ENGLISH ONLY

QUARTERLY REPORT ON THE ADMINISTRATION OF THE CONVENTION ON BIOLOGICAL DIVERSITY (July - September 2003)

Note by the Executive Secretary

Contents :

	page
I. INTRODUCTION.....	4
II. EXECUTIVE DIRECTION AND MANAGEMENT.....	4
III. IMPLEMENTATION OF THE ADMINISTRATIVE ARRANGEMENTS.....	6
A. Personnel Arrangements.....	6
B. Financial Arrangements.....	6
IV. IMPLEMENTATION OF THE DECISIONS OF THE CONFERENCE OF THE PARTIES.....	7

DECISIONS:

Scientific Technical and Technological Matters

VI/2 Biological diversity of inland water	7
VI/3 Marine and coastal biological diversity.....	7
VI/4 Biological diversity of dry and sub-humid lands	8
VI/5 Agricultural biological diversity	11
VI/6 The International Treaty on Plant Genetic Resources for Food and agriculture.....	13

VI/7	Identification, monitoring, indicators and assessments	13
VI/8	Global Taxonomy Initiative	14
VI/9	Global Strategy for plant conservation	14
VI/12	Ecosystem approach.....	16
VI/20	Cooperation with other organizations, initiatives and conventions	16
VI/22	Forest biological diversity.....	18
VI/23	Alien species that threaten ecosystems, habitats or species	20
VI/28	Multi-year POW of the COP up to 2010.....	21
VI/30	Preparations for the seventh meeting of the COP.....	21

Social Economic and Legal Matters

VI/10	Article 8(j) and related provisions.....	22
VI/11	Liability and redress (Article 14, paragraph 2).....	22
VI/13	Sustainable use.....	22
VI/14	Biological diversity and tourism.....	23
VI/15	Incentive measures.....	23
VI/21	Annex to the Hague Ministerial Declaration	23
VI/24	Access and benefit-sharing as related to genetic resources	24

Biosafety Unit

VI/1	Intergovernmental Committee for the Cartagena Protocol on Biosafety.....	25
------	--	----

Implementation and Outreach

VI/16	Additional financial resources	26
VI/17	Financial mechanism.....	27

VI/18	Scientific and technical cooperation and the Clearing-house Mechanism	28
VI/19	Communication, education and public awareness.....	29
VI/25	National reporting	30
VI/27	Operations of the Convention	31

Annexes

Annex I	Status of Implementation of the Agreed Administration Arrangements.....	32
Annex II	Organisational Chart of the CBD Secretariat.....	37
Annex III	General Trust Fund for additional voluntary Contributions (BE).....	44
Annex IV	General Trust Fund for additional voluntary Contributions (BZ).....	46
Annex V	General Trust Fund for the CBD (BY).....	48
Annex VI	General Trust Fund to Support Developing Countries on Biosafety Issues (BF).....	54
Annex VII	List of meetings organised by the Secretariat	55

I. INTRODUCTION

1. This report is prepared in keeping with decision III/24 of the Conference of the Parties which requested the Executive Secretary to prepare a quarterly report on the administration of the Convention including such matters as the staff lists, status of contributions, progress on the implementation of the medium term work programme and financial expenditures. The requirements to report on a regular basis to the Parties were further elaborated under the Administrative Arrangements between the Secretariat and UNEP, which were endorsed by the Conference of the Parties in decision IV/17.
2. The Executive Secretary has prepared this Quarterly Report, which contains a summary of key activities implementing the decisions of the Conference of the Parties and other relevant matters during the period 1 July to 30 September 2003.

II. EXECUTIVE DIRECTION AND MANAGEMENT

3. The Cartagena Protocol on Biosafety entered into force on 11 September 2003. The Executive Director of UNEP, the Executive Secretary of the CBD, the Deputy Minister of Environmental Affairs and Tourism for South Africa, and Mr. Juan Mayr, the former Colombian Minister of Environment who chaired the final rounds of negotiations of the Protocol, marked the event with a press conference on 9 September 2003, held on the margins of the fifth IUCN World Parks Congress (WPC), in Durban, South Africa. The Secretary General of the United Nations issued a statement on this occasion. Other activities celebrating the entry into force of the Cartagena Protocol on Biosafety included a legal experts panel organized by the Centre for International Sustainable Development Law (CISDL) of McGill University Faculty of Law, in partnership with the CBD, which took place on 17 September 2003, in Montreal, Canada, and the publication of a CBD News Supplement dedicated to the history, current status and future perspectives of the Protocol.
4. Several countries acceded/ratified the Cartagena Protocol on Biosafety during the third quarter of 2003, bringing the number of Parties to 63. These are: Romania, Nigeria, Mongolia, the Democratic People's Republic of Korea, Burkina Faso, South Africa, Saint Vincent and the Grenadines, Malaysia, Antigua and Barbuda, Cambodia, Tonga, and El Salvador.
5. The Bureau of the Intergovernmental Committee for the Cartagena Protocol on Biosafety (ICCP) met from 28-29 August 2003 in Montreal, Canada. The meeting focused on preparations for the entry into force of the Protocol and the first meeting of the Conference of Parties serving as the Meeting of the Parties to the Protocol (COP/MOP-1).
6. Preparations of pre-session documents and logistical arrangements for the ninth meeting of the Subsidiary Body on Scientific, Technical and Technological Advice (SBSTTA-9), the second meeting of the *Ad hoc* Open-ended Group on Access and Benefit Sharing, the third meeting of the *Ad hoc* Open-ended Inter-sessional Working Group on Article 8(j) and Related Provisions, the seventh meeting of the Conference of the Parties (COP-7) and the first meeting of the Conference of Parties serving as the Meeting of the Parties to the Protocol (COP/MOP-1) are underway and progressing in a timely manner. Finalized documents are dispatched to Parties and are also accessible on the CBD website.
7. The CBD actively participated in the fifth IUCN World Parks Congress (WPC) held from 8-17 September 2003, in Durban, South Africa, where the Executive Secretary addressed Congress participants on "The Convention on Biological Diversity: Protected Areas and Benefits to People". Discussions with IUCN concerning the follow-up on the CBD programme of work on Communication,

Education and Public Awareness (CEPA) took place on the margins of the WPC. In addition, the Secretariat organized a Liaison Group meeting on protected areas on 18 September 2003 in conjunction with the Congress. The outcome of this Liaison Group meeting will be submitted to SBSTTA-9.

8. The CBD was also represented at a number of other important meetings during the third quarter of 2003, namely: the sixth session of the Conference of the Parties (COP-6) to the UN Convention to Combat Desertification (25 August-5 September 2003, Havana, Cuba), including the High Level Segment held from 1-2 September 2003, where the Secretariat organized a joint side event with UNCCD on the Joint Work Programme between the two conventions; the 18th session of the Global Biodiversity Forum held back-to-back with the fifth WTO Ministerial Conference (5-7 September and 10-14 September 2003, respectively, Cancun, Mexico); the twelfth World Forestry Congress (Quebec City, Canada, 21-28 September 2003); and the eleventh meeting of the Collaborative Partnership on Forests (CPF) and CPF Network meetings held on 20 September 2003, on the margins of the World Forestry Congress.

9. In addition to the Liaison Group meeting on protected areas referred to above, the Secretariat organized the following meetings during the third quarter of 2003: the *Ad hoc* Technical Expert Group on mountain biodiversity (1-3 July, 2003, Rome, Italy); the Meeting on the further elaboration and guidelines for implementation of the ecosystem approach (7-11 July 2003, Montreal, Canada); the Joint Latin America and Caribbean regional meeting on the Clearing-House Mechanism and the Inter-American Biodiversity Information Network: building partnerships through effective networking (12-14 August 2003, Cancun, Mexico); and the Convention on Biological Diversity Clearing-House Mechanism and European Community Clearing-House Mechanism joint regional meeting for Central and Eastern Europe (9-11 September 2003, Prague, Czech Republic).

10. A Memorandum of Cooperation (MoC) was signed between the CBD Secretariat and the Secretariat of the Global Biodiversity Information Facility (GBIF). The MoC's primary purpose is to facilitate the development and implementation of approaches, technologies and best practices necessary to access, share and disseminate biodiversity data via the Internet

11. A Memorandum of Cooperation (MoC) establishing a framework for collaboration in the area of biodiversity conservation relevant to dry and sub-humid lands development and sustainable livelihoods was also signed between the CBD and the UNDP Drylands Development Centre (DDC).

12. The Secretariat participated in several international meetings including: the brainstorming meeting on legal and administrative issues in national biosafety frameworks, organized under the UNEP/GEF project on Development of National Biosafety Frameworks (30 June-2 July 2003, Geneva, Switzerland); the Steering Committee on the mid-term review of the GEF-funded project on Biodiversity Indicators in National Use (1-4 July 2003, London, United Kingdom); the Workshop on synergies and cooperation with other Conventions, organized by the UNFCCC (2-4 July 2003, Espoo, Finland); two meetings of the Environmental Management Group (held in Geneva, Switzerland, on 3 July 2003 and 26 September 2003, respectively); the fifth meeting of the Intergovernmental Committee on Intellectual Property and Genetic Resources, Traditional Knowledge and Folklore of the World Intellectual Property Organization (7-15 July 2003); the Special Session of the Committee on Trade and Environment of the WTO (8 July 2003, Geneva, Switzerland); the Expert Consultation on the impacts of invasive alien species on inland water ecosystems (14-15 July 2003, Washington, D.C., United States); the first meeting on synergies among the Biodiversity, Wetlands and Desertification Conventions in Central America (20-22 August 2003, Belize); the first inter-regional Global Biodiversity Forum on "The ecosystem approach to dryland management: integrating biodiversity conservation and livelihood security" (30-31 August 2003, Havana, Cuba); a workshop on access and benefit-sharing organized by the Government of Canada (10-11 September 2003, Ottawa, Canada); the twelfth meeting of the Executive Committee of the Board

of the Millennium Ecosystem Assessment (15 September 2003, via teleconference); the International Workshop on Invasive Alien Species (IAS) and the International Plant Protection Convention (22-26 September 2003, Braunschweig, Germany); a Millennium Ecosystem Assessment brainstorming session on communications (23 September 2003, Washington, D.C., United States); the technical meeting on the implementation of the Biosafety Clearing-House (BCH) in industrialized countries: experiences and future development (29-30 September, Geneva, Switzerland); and the meeting of European Union countries on the CBD work programme on Communication, Education and Public Awareness (30 September 2003, Paris, France).

13. Six professional posts and one general service position were filled during the third quarter of 2003.

III. IMPLEMENTATION OF THE ADMINISTRATIVE ARRANGEMENTS

A. Personnel Arrangements

14. During this period, there were 34 Professional staff members regularized on posts funded from the core budget. There were 2 vacant posts, for which recruitment is in progress. Additionally, 6 professional staff members are regularized on posts funded from other sources.

15. There were 26 General Service staff members regularized on posts funded by the core budget and 5 staff members regularized on posts funded from other sources. There were no vacant posts during this period.

16. For more information, please refer to the attached staff list and organigram (Annex I Appendix 1, and Annex II respectively).

B. Financial Arrangements

17. With regard to budgetary matters, as at 30 September 2003, of the total pledged contributions of US\$7,257,328 for 2003 to the General Trust Fund for the Convention on Biological Diversity (**BY Trust Fund**), the total contributions received amounted to US\$4,384,656. Additionally US\$999,981 has been collected as additional contributions to the BY Trust Fund in 2003. Total unpaid pledges to the BY Trust Fund for prior years amount to US\$710,100. Details of the BY Trust Fund are contained in Annex V.

18. Several Parties have contributed additional voluntary funds in support of approved activities under the Convention (**BE Trust Fund**). As of 30 September 2003, the total amount pledged for 2003 amounts to US\$1,220,107. The total collected for 2003 as at 30 September 2003 was US\$452,568 and an additional \$167,719 has been collected in 2003 for prior years. Total unpaid pledges for 2003 and prior years to the BE Trust Fund is US\$1,060,481. Details of the BE Trust Fund are contained in Annex III.

19. Pledges totalling US\$515,289 have so far been made as additional voluntary contributions to facilitate the participation of Parties to the Convention Process (**BZ Trust Fund**) in 2003, of which US\$456,130 has already been paid. Total unpaid pledges for 2003 and prior years to the BZ Trust Fund amount to US\$110,713. Details of the BZ Trust Fund are contained in Annex IV.

20. As at 30 September 2003, contributions totalling US\$62,240 have so far been paid to the General Trust Fund to support Developing Countries on Biosafety Issues (**BF Trust Fund**), as voluntary contributions to for the use of the roster of experts on Biosafety in 2003. Details of the BF Trust Fund are contained in Annex VI.

IV. IMPLEMENTATION OF THE DECISIONS OF THE CONFERENCE OF THE PARTIES

SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL MATTERS

DECISION VI/2 BIOLOGICAL DIVERSITY OF INLAND WATERS

21. In decision VI/2, the Conference of the Parties requested the Executive Secretary to (i) strengthen collaboration with the Ramsar Convention on Wetlands on the implementation of the River Basin Initiative and the third joint work plan, and (ii) review the programme of work and include in its review advice on the further elaboration and refinement of the programme of work. In paragraph 4 (b) of recommendation VIII/2, SBSTTA requested the Executive Secretary to prepare a schedule of short, medium, and long-term outcome-oriented targets for the activities and deadlines for implementation of the programme of work.

22. In response to this COP decision and SBSTTA recommendation the following activities were carried out:

(a) Collaboration with the Ramsar Convention

23. The Executive Secretary has finalized, in collaboration with the Ramsar Bureau and the World Resources Institute, the revision of the document on the status and trends of, and threats to, inland water biological diversity, which is intended for publication in the Technical Publication Series of the Convention as a joint publication of the World Resources Institute, Wetlands International, the Netherlands Ministry of Foreign Affairs (DGIS), the Bureau of the Ramsar Convention on Wetlands and the Secretariat of the Convention on Biological Diversity.

(b) Review of the implementation of work

24. The Secretariat prepared, with the help of members of the Liaison Group on the Biodiversity of Inland Water Ecosystems, a draft schedule of short, medium, and long-term outcome-oriented targets for the activities envisaged in the refined programme of work on inland water biological diversity. The draft document has been made available for downloading from the CBD website and, through notification 2003-092, focal points have been invited to comment. The document will be submitted to SBSTTA as an information document.

25. In accordance with a proposal on “Integration of outcome oriented targets into the programmes of work of the Convention, taking into account the 2010 biodiversity target, the Global Strategy for Plant Conservation, and relevant WSSD targets” (UNEP/CBD/SBSTTA/9/14) a vision, mission and limited number of outcome-oriented targets and an analysis of the relationship between the programme of work on inland water biological diversity and other relevant processes (UNEP/CBD/SBSTTA/9/14/Add.1) has been prepared for consideration by SBSTTA-9.

26. The Secretariat participated in a meeting on the “Impacts of Invasive Alien Species on Inland Water Ecosystems”, held at the Smithsonian Institution, Natural History Museum (Washington, D.C., USA, 13 - 15 July 2003). The objective of the meeting was to discuss the design of a six-month assessment of the biological impacts of invasive alien species on inland water ecosystems. A draft report of this assessment will be submitted to the seventh meeting of the Conference of the Parties (COP) as an information document.

(c) New staff

27. The Secretariat recruited a programme officer for inland water biological diversity.

DECISION VI/3 MARINE AND COASTAL BIOLOGICAL DIVERSITY

28. In decision VI/3, the Conference of the Parties requested the Executive Secretary to (i) continue facilitating the implementation of the specific work plan on coral bleaching and the work plan on

physical degradation and destruction of coral reefs; (ii) continue developing the work plan on physical degradation and destruction of coral reefs; and (iii) strengthen collaboration with the Regional Seas Conventions and Action Plans. In recommendation VIII/3, SBSTTA requested that the programme of work on marine and coastal biodiversity should be further refined by *inter alia* considering the setting of clear targets for the implementation of activities, taking into account the plan of implementation of the World Summit on Sustainable Development and the Strategic Plan of the Convention.

29. In response to this COP decision and SBSTTA recommendation the following activities were carried out:

(a) Review and further elaboration of the programme of work

30. In accordance with a proposal on “Integration of outcome oriented targets into the programmes of work of the Convention, taking into account the 2010 biodiversity target, the Global Strategy for Plant Conservation, and relevant WSSD targets” (UNEP/CBD/SBSTTA/9/14) the Secretariat has prepared a draft set of targets to be integrated into the elaborated programme of work on marine and coastal biological diversity. The proposed targets are currently under peer review, and will be presented for consideration by SBSTTA-9.

(b) Marine and coastal living resources

31. The Secretariat has performed a literature review of potential climate change adaptation measures as they pertain to the marine and coastal environments. The review was particularly pertinent for adaptation measures relevant to coral reefs, mangroves and fisheries. The review has been incorporated into the documents on climate change to be presented to the ninth meeting of SBSTTA.

32. The Secretariat has performed a review of tools and methods for application of the ecosystem approach in the management of marine and coastal living resources. The review was incorporated into the background documentation for the expert group meeting on the ecosystem approach.

(c) Integrated marine and coastal area management

33. The Secretariat has provided additional input into two on-going assessment processes: the new United Nations Global Marine Assessment (GMA) and the Millennium Ecosystem Assessment (MA). The input related to the assessment needs of the Convention, as well as to informational material (such as National Reports) currently residing at the Secretariat that could be of benefit as an information source for the assessments.

(d) Marine and coastal protected areas (MCPAs)

34. The Secretariat has provided input to the World Parks Congress High Seas Marine Protected Areas Working Group, and has produced an information document on the CBD and MCPAs for the meeting of the Pan European Network on Marine and Coastal Protected Areas.

DECISION VI/4 BIOLOGICAL DIVERSITY OF DRY AND SUB-HUMID LANDS

35. In decision VI/4, the Conference of the Parties requested the Executive Secretary to *inter alia* prepare a proposal for the development of a mechanism to coordinate activities on the inter-linkages between biodiversity, desertification/land degradation and climate change. SBSTTA recommended that the proposal for the further refinement of the programme of work, including the list of expected outcomes, and timeframes, potential actors, and indicators of progress in the implementation, be adopted by the Conference of the Parties (Recommendation VIII/4).

36. In response to this COP decision and SBSTTA recommendation the following activities were carried out:

(a) Review of the implementation of the programme of work

(i) Assessment of status and trends (Activity 1 of the programme of work):

37. A teleconference with representatives of the Millennium Ecosystem Assessment (MA) discussed ways to address dry and sub-humid lands biodiversity through ongoing activities of the MA. The need for closer linkages between the MA and the Global Land Degradation Assessment (LADA) of FAO was emphasized. During the sixth meeting of the Conference of the Parties (COP 6) to the UN Convention to Combat Desertification (UNCCD), held in Havana, Cuba from the 25 August to the 5 September 2003, the Secretariat facilitated consultations between the MA and LADA.

38. The MA, in line with a request of SBSTTA to also focus the assessment on the national level, has initiated a capacity building initiative on training methodologies for drylands and poverty assessments. The Secretariat took part in a side event that introduced the training package at UNCCD COP 6. The Secretariat also followed the discussions of the Committee on Science and Technology (CST), a subsidiary body to the UNCCD COP, which focused on indicators, benchmarks and assessments. Contact was especially established with the Group of Experts (GoE) of the CST, to promote collaborative work as suggested under the Joint Work Programme (JWP) between the UNCCD and the CBD.

(ii) Areas of particular value and/or under threat (Activity 2 of the programme of work)

39. The "alternative hotspot map" spearheaded by UNEP-WCMC, is being further discussed. It is based on biodiversity parameters and identification of areas which are of particular value and/or under threat, taking into consideration livelihood needs and the threat posed through degradation and desertification.

(iii) Indicators (Activity 3 of the programme of work)

40. Indicators of land degradation/desertification were discussed during UNCCD COP 6. A working relationship with the GoE of the CST has been established in this regard. The recent CBD work on indicators was discussed with LADA and recommended for consideration in the revisions of their first draft report entitled "Stocktaking of dryland biodiversity issues in the context of the Land Degradation Assessment of Drylands (LADA): Selection and use of indicators and methods for assessing biodiversity and land condition".

41. A first working contact was established with the Observatoire du Sahara et du Sahel (OSS), based in Tunisia. OSS has developed a long-term ecological monitoring network in Africa, which also has experience with biodiversity indicator work.

(iv) Knowledge on processes that affect biodiversity (Activity 4 of the programme of work):

42. In preparation for UNCCD COP 6, the Secretariat reviewed draft versions of the Global Drylands Imperative's (GDI) "Challenge Papers" on biodiversity relevant issues (on the ecosystem approach, land tenure and pastoralism). This initiative is facilitated by the UNDP Drylands Development Center (DDC), and is a part of a recently agreed to Memorandum of Cooperation (MoC) between the UNDP DDC and the SCBD. The Secretariat also participated actively in the side events that were organized during the UNCCD COP 6 to launch the "Challenge Papers". Based on the discussions during the UNCCD COP 6 and the Global Biodiversity Forum (GBF), which took place in the margins of the UNCCD COP 6 (30 and 31 August 2003), some initial ideas for relevant topics were generated. The World Resources Institute (WRI) has offered an in-kind contribution by leading the authoring of a "Challenge Paper", potentially on "synergy" issues. Elements for a concept proposal were discussed between the Secretariat and UNDP-DDC.

43. The Secretariat actively contributed to the planning of the GBF, in their function as board members, and following up on some collaborative work that was recently agreed to in the framework of

dry and sub-humid lands with the World Conservation Union (IUCN). The title of this GBF was “The ecosystem Approach to Dryland Management: Integrating Biodiversity Conservation and Livelihood Security”. The Secretariat delivered a presentation on the CBD Ecosystem Approach, recent work and the relevance to dry and sub-humid lands at the meeting. Further, the Secretariat participated in the technical workshops that were part of the GBF (on integrated land and water management, community participation in drylands management, gender concerns for dryland management and innovative financing mechanisms).

(v) Benefits derived from biological diversity (Activity 5 of the programme of work)

44. No actions have been initiated under this activity.

(vi) Best management practices (Activity 6 of the programme of work)

45. A follow-up meeting was organized by the Secretariat with the UNCCD project of the German Agency for Technical Cooperation (GTZ) on the agreed elements of collaboration. This evolved from an initial brainstorming meeting held on 3 April 2003 in Bonn.

46. The Secretariat prepared a bi-lingual PowerPoint presentation on the work of the CBD, especially on the programme of work dry and sub-humid lands and the JWP between the UNCCD and CBD, for an international meeting entitled “Promoting best practices for Conservation and Sustainable Use of Biodiversity of Global Significance in Arid and Semi- Arid Zones”, organized by the Third World Network of Scientific Organisations (TWNSO). The meeting took place from 27 to 30 August 2003. Fifty-three (53) case-studies have been made available on the CBD web page.

47. A side event on the JWP between the UNCCD and CBD, which was held at UNCCD COP 6 contained two case-studies on synergies, presented by two members of the Ad Hoc Technical Expert Group (AHTEG) on dry and sub-humid lands.

(vii) Measures for conservation and sustainable use - promotion of responsible resource management - support for sustainable livelihoods (Activities 7 to 9 of the programme of work):

48. The CBD led initiative on “practicing synergies” was further discussed with the Secretariat of the UNCCD, and the other collaborating partners (UNDP-DDC, IUCN, GTZ/UNCCD project, the Government of the Netherlands and the UN Institute for Training and Research (UNITAR)) prior and during COP 6 of the UNCCD. Draft terms of reference for a consultancy to facilitate such an initiative and a first workshop, tentatively scheduled for 2004, were discussed.

49. A Memorandum of Cooperation (MOC), including elements for a joint work plan, has been agreed between the CBD Secretariat and the UNDP-DDC. Explicit suggestions for joint activities that directly implement activities of the refined work programme, as indicated in SBSTTA recommendation VIII/4, are included.

50. Working linkages with the Permanent Inter-State Committee for Drought Control in the Sahel (CILSS) were pursued by the Secretariat. The initial “letter of intent”, which was submitted by CILSS in July 2003, was used as a foundation for future collaborative work. Under the umbrella of the JWP between the UNCCD and CBD, and together with the Convention on Migratory Species of Wild Animals (CMS) through existing collaborative frameworks with both the UNCCD and CBD, a joint synergy project to be carried out in West Africa is being discussed.

51. A “letter of intent” was received from IUCN on 24 July 2003, specifying potential collaboration between the CBD and the IUCN Arid Lands Programme. Amongst the key collaborative activities are the documentation and facilitation of dryland area case-studies on the implementation of the ecosystem approach, support for the “practicing synergies” initiative, collaboration with the recently held GBF, the Commission on Ecosystem Management and the Society on Ecological Restoration International (SERI).

52. The Scientific and Technical Review Panel (STRP) of the Ramsar Convention, through its working group on water resources management, included in its work programme for its current inter-sessional work, activities of the programme of work on dry and sub-humid lands, *inter alia*, activity 7(e), calling for the development of guidelines on management and sustainable use of water resources in dry and sub-humid ecosystems. The CBD Secretariat continues its active participation in the e-mail and Internet based working group activities.

53. The Secretariat of the Convention on Migratory Species (CMS) invited the CBD to carry out a joint "synergy" project, together with the Secretariat of the UNCCD and the Bureau of the Ramsar Convention. CMS has secured funding for such an initiative. The Secretariat of the CBD offered technical support for the undertaking.

(b) Joint work programme with the United Nations Convention to Combat Desertification:

54. The Secretariats of the UNCCD and CBD jointly hosted a side event during UNCCD COP 6. The CBD Secretariat prepared the background presentation for the JWP. Members of the AHTEG on dry and sub-humid lands, participated in the side event, which was attended by over 40 delegates.

55. The report of the JWP was presented to the Committee of the Whole during UNCCD COP 6. Throughout the COP, the Secretariat offered additional information on the JWP. Special working relationships were established with the GoE of the CST.

56. The Executive Secretary of the CBD participated in the high-level segment of the UNCCD COP 6, and commented on the JWP during a panel discussion and inter-active dialogue with the Ministers.

57. The proposed "synergy portal", a web-based UNCCD/CBD, and possibly UNFCCC, communication portal, was further discussed, taking into account the preparations for the fifth Joint Liaison Group (JLG) meeting, which took place alongside the UNCCD COP 6 (2 September 2003). The "synergy portal" is to be developed as an integral part of the "information strategy", which was identified as a key area for joint work of the JLG. The Secretariat of the CBD has started work on a "strategy paper", outlining technical aspects of the project.

(c) Dissemination of relevant information

58. Suggestions for improving the webpage on dry and sub-humid lands are being discussed in-house, especially through the newly formed task force on webpage improvement. The Secretariat continued making contributions to the development of an educational CD-Rom on land degradation assessment and management through LADA/FAO.

DECISION VI/5 AGRICULTURAL BIOLOGICAL DIVERSITY

59. In decision VI/5, the Conference of the Parties requested the Executive Secretary to:

- (i) Undertake, in collaboration with other institutions, the necessary steps for the full implementation of the programme of work as contained in Table 1 of the annex to the decision and to report, as presented in Table 2:
 - A preliminary assessment of status and trends of agricultural biodiversity, in collaboration with FAO and the Millennium Ecosystem Assessment, to be submitted for consideration by SBSTTA prior to the seventh meeting of the Conference of the Parties (Table 1);
 - An analysis of lessons learned from case-studies, in collaboration with FAO, WTO, Parties and other relevant organizations, to be submitted for consideration by SBSTTA prior to the seventh meeting of the Conference of the Parties,

including on the following issues: cost-effective practices and technologies, partnerships and forums, and mainstreaming (Table 1); and

- Preparation of recommendations on capacity-building and policy for consideration by SBSTTA in time for consideration by the seventh meeting of the Conference of the Parties (Table 2).
- (ii) Prepare a draft format for the thematic report on agro-biodiversity and report to the seventh meeting of the Conference of the Parties (paragraph 5).
- (iii) Study further the impacts of trade liberalization on agro-biodiversity in collaboration with UNEP, FAO, WTO and other relevant organizations (paragraph 17).

60. In the same decision, the COP, *inter alia*:

- (i) Established an ad hoc technical expert group on the potential impacts of genetic use restriction technologies (GURTs) on smallholder farmers, indigenous and local communities and farmer's rights. The ad hoc technical expert group was requested to report to the Ad Hoc Open-ended Working Group on Article 8(j) and Related Provisions and to SBSTTA prior to the seventh meeting of the Conference of the Parties.
- (ii) Invited the International Union for the Protection of New Varieties of Plants (UPOV), the Intergovernmental Committee on Intellectual Property and Genetic Resources, Traditional Knowledge and Folklore of the World Intellectual Property Organization (WIPO) and other relevant organizations to examine, in the context of their work, the specific intellectual property implications of genetic use restriction technologies, particularly with respect to indigenous and local communities.

61. The Executive Secretary and main partner institutions (including FAO, MA, IPGRI, OECD, UNEP/WCMC) continued to discuss collaborative arrangements for the full implementation of the programme of work on agricultural biological diversity in light of (i) the institutional capacity of the key partners; (ii) the timing of the submission of the third national reports in time for COP 8; and (iii) the recommendations of the proposed multi-year programme of work until 2010.

62. The Government of the Federal Republic of Germany, through the GTZ and in collaboration with FAO, the UNCCD and CBD Secretariats, IPGRI, CILSS and a number of GTZ country partners, is organizing a sub-regional workshop on agricultural biological diversity in West Africa, tentatively scheduled to take place in Bamako, Mali, in December 2003. The objective of the workshop is to develop a common vision on the role of agricultural biodiversity and poverty alleviation at sub-regional and national levels. National focal points of the CBD from the participating countries, including, *inter alia*, Benin, Burkina Faso, Guinea Conakry, Mali, Mauritania and Senegal, have been invited to collaborate with this event.

(a) Draft format for the thematic report

63. The Executive Secretary finalized the format for the thematic report on agricultural biodiversity to be included in the 3rd national report, taking into account review comments received from the Bureau of SBSTTA, SBSTTA Focal Points (including during a side-event during SBSTTA 8), and by Parties and other Governments and organizations, in time for its submission to the seventh meeting of the COP. The deadline for submission of comments was the end of July 2003.

(b) Ad hoc technical expert group on genetic use restriction technologies

64. In accordance with their mandate, the two co-chairs of the meeting of the Ad Hoc Technical Expert Group on genetic use restriction technologies (GURTs), held in Montreal from 19 to 21 February 2003, finalized the report of the meeting and officially submitted it to the Executive Secretary. It will be will submitted it to the ninth meeting of the SBSTTA as an information document.

DECISION VI/6 THE INTERNATIONAL TREATY ON PLANT GENETIC RESOURCES FOR FOOD AND AGRICULTURE

65. In its decision VI/6, the Conference of the Parties appealed to Parties and other Governments to give priority consideration to the signature and ratification of the International Treaty on Plant Genetic Resources for Food and Agriculture.

66. No specific activities have been carried out by the Secretariat.

DECISION VI/7: IDENTIFICATION, MONITORING, INDICATORS AND ASSESSMENT

Impact assessment

67. In paragraph 3 of decision VI/7 A, the Conference of the Parties requested the Executive Secretary to, *inter alia*, prepare, in collaboration with relevant organizations, in particular the International Association for Impact Assessment, proposals for further development and refinement of guidelines for incorporating biodiversity-related issues into environmental impact assessment legislation or processes and in strategic impact assessment.

68. In response to this decision the following activities were carried out:

(i) Further development of guidelines for environmental impact assessment

69. The Secretariat has solicited, in collaboration with the International Association of Impact Assessment and the Netherlands Commission on Environmental Impact Assessment, additional case studies on the integration of biodiversity considerations into the guidelines on environmental-impact-assessment (EIA) and strategic environmental assessment (SEA). Based on the evaluation of additional case studies from twenty countries, a report has been prepared which identifies key areas requiring specific attention for the further development of the guidelines. In particular, they relate to (i) the steps following screening and scoping in EIA; (ii) SEA procedures; and (iii) the integration of guidelines in accordance with the ecosystem approach. The document will be submitted to the ninth meeting of the SBSTTA as an information document.

(ii) Capacity development in biodiversity and impact assessment

70. As a member of the Steering Committee of the project of the International Association for Impact Assessment (IAIA) on capacity building in biodiversity and impact assessment in developing countries, the Secretariat has participated in two telephone conferences during the months of August and September. Following the advertisement by IAIA of the position of a Technical Project Manager, the first telephone conference served to agree on a shortlist of candidates while the second was held to interview the two shortlisted applicants. The recommendation from the Steering Committee has now been presented to the board of IAIA for its consideration.

(iii) Joint symposium on biodiversity and trade issues in impact assessment

71. The Secretariat has discussed the possibility of organizing, during the 24th Annual Conference of the International Association for Impact Assessment, to be held in April 2004 in Vancouver, Canada, a joint symposium on biodiversity and trade issues in impact assessment. Both the Biodiversity and Ecology section and the Trade section of the IAIA have shown interest and preparations for the symposium are underway.

(b) National-level monitoring programmes and indicators

72. In decision VI/7 B, the Conference of the Parties requested the Executive Secretary to *inter alia* invite Parties to respond to a questionnaire on indicators and convene a technical expert meeting to further develop the three annexes on ongoing work on indicators on the following issues:

- Principles for developing national-level monitoring and indicators;

- A set of standard questions for developing national-level indicators; and
- A list of available and potential indicators based on a conceptual framework that has qualitative and quantitative approach.

73. In response to this decision, the Executive Secretary has incorporated peer review comments on the report of the expert meeting on indicators of biological diversity including indicators for rapid assessment of inland water ecosystems, which was held from 10 to 12 February 2003 in Montreal. An abbreviated version of the final document is presented to the ninth meeting of SBSTTA as document UNEP/CBD/SBSTTA/9/10. The full report is contained in document UNEP/CBD/SBSTTA/9/INF/7.

74. The Executive Secretary has continued discussions on a draft proposal of suitable indicators for measuring progress towards the attainment of the targets defined in the Plan of Implementation of the World Summit on Sustainable Development, the Strategic Plan of the Convention, and Global Strategy for Plant Conservation.

(c) Scientific Assessments

75. In decision VI/7 C, the Conference of the Parties requested the Executive Secretary to *inter alia* facilitate development and implementation of the Millennium Ecosystem Assessment (MA).

76. In response to this decision the Secretariat organized a telephone conference with the Director and the Coordinator for sub-global assessments of the MA to establish how the MA can satisfy the needs of the CBD, and in particular its programme of work on dry and sub-humid lands biodiversity.

77. In collaboration with the Global Invasive Species Programme and other partners, pilot assessments on the impact of invasive alien species on island ecosystems and on the socio-economic impact of invasive alien species in inland water ecosystems have been carried out.

DECISION VI/8 GLOBAL TAXONOMY INITIATIVE

78. The COP requested the Executive Secretary to:

- (i) Initiate regional meetings of scientists, managers and policy makers to prioritize the most urgent global taxonomic needs;
- (ii) Coordinate activities of the GTI with existing initiatives such as the GBIF and the CHM;
- (iii) Complete the guide to the Global Taxonomy Initiative.

79. The COP also decided to make permanent the post of Global Taxonomy Initiative Programme Officer.

(a) Regional and global meetings.

80. A preliminary report of the first Global Taxonomy Initiative workshop in Asia has been prepared for the ninth meeting of SBSTTA (UNEP/CBD/SBSTTA/9/INF/17).

(b) Progress on the Global Taxonomy Initiative

81. The Secretariat has also prepared a report on progress in the implementation of the programme of work of the Global Taxonomy Initiative (UNEP/CBD/SBSTTA/9/INF/16).

DECISION VI/9 GLOBAL STRATEGY FOR PLANT CONSERVATION

82. In Decision VI/9, the Conference of the Parties requested SBSTTA to:

- (iii) Take the targets into consideration in its periodic reviews of the thematic and cross-cutting programmes of work (paragraph 10 (a)); and
- (iv) Develop ways and means, within the Convention's thematic and cross-cutting programmes of work, for promoting implementation of the Strategy, and for monitoring and assessing progress; and to report to the seventh meeting of the Conference of the Parties (paragraph 10(b)).

83. In the same decision, the Conference of the Parties requested the Executive Secretary to implement the further work required to develop and implement the Strategy giving due consideration to the need to:

- (v) Clarify the scope of activities, develop sub-targets or milestones for each target and, develop baseline data and indicators to monitor progress towards achieving the targets (paragraph 17 of the annex);
- (vi) Develop regional components of the Strategy (paragraph 18 of the annex); and
- (vii) Establish, if appropriate, a flexible coordination mechanism (paragraph 20 of the annex).

84. In decision VI/29, the Conference of the Parties requested the Executive Secretary to enter into an arrangement with the Botanic Gardens Conservation International who offered to second a staff member to the Secretariat in order to promote the implementation of the Strategy.

(a) Further development of the targets of the Global Strategy for Plant Conservation and stakeholder consultations

85. In line with the recommendations made at the Liaison Group meeting on the Global Strategy for Plant Conservation held in Cartagena, Colombia from 11 to 12 October 2002 (UNEP/CBD/LG-GSPC/1/2), the Executive Secretary invited lead organizations to (i) facilitate and support the stakeholder consultations prior to the ninth meeting of SBSTTA, (ii) initiate the development of sub-targets, milestones and indicators for each of the 16 targets included in the Strategy, and (iii) elaborate on baseline data requirements for monitoring. The following organisations were approached: Royal Botanic Garden Kew, U.K. (target 1); IUCN (target 2); WWF (target 4), Plantlife International (target 5), FAO (target 6, 7, 9 and 13), the UNEP World Conservation Monitoring Centre (UNEP/WCMC) (target 7), Botanic Gardens Conservation International (BGCI) and the International Plant Genetic Resources Institute (IPGRI) (target 8), the Global Invasive Species Programme (GISP) (target 10) and CITES (target 11). The cross-cutting targets 3, 14, 15 and 16 are considered under the other targets. To date, the following meetings have been held:

- a. Target 8: Brussels, Belgium, 19-20 July 2003; organized by BGCI and IPGRI;
- b. Target 11: Geneva, Switzerland, 12-15 August 2003; point 22 of the agenda of the Thirteenth meeting of the Plants Committee of the CITES;
- c. Targets 4 and 13: Durban, South Africa, 10 September 2003; side-event organized by WWF at the World Parks Congress; and
- d. Target 5: Durban, South Africa, 11 September 2003; side-event organized by Plantlife International and IUCN at the World Parks Congress.

86. The Executive Secretary, in collaboration with Botanic Gardens Conservation International (BGCI) has made preparations for an expert meeting on the Global Strategy, which will be held in County Kerry, Ireland, from 5 to 7 October 2003. The meeting will discuss the draft consultation reports on the various targets and prepare a report for the ninth meeting of SBSTTA (UNEP/CBD/SBSTTA/9/14/Add.2).

(b) Secondment of an officer from the Botanic Gardens Conservation International

87. Following the signature of a Memorandum of Cooperation between the Secretariat and Botanic Gardens Conservation International (BGCI), an officer responsible for the GSPC, stationed in Nairobi, Kenya, has been seconded to the Secretariat and has taken up her duties.

DECISION VI/12 ECOSYSTEM APPROACH

88. In decision VI/12, the Conference of the Parties requested the Executive Secretary to:
- (i) Continue the compilation and dissemination of case studies and lessons learned and report to SBSTTA prior to the seventh meeting of the Conference of the Parties;
 - (ii) Carry out the activity specified above in collaboration with relevant organization and bodies, in particular the United Nations Forum on Forests, to convene a meeting of experts to compare the ecosystem approach with sustainable forest management and develop proposals for their integration; and
 - (iii) Develop proposals for the refinement of the principles and operational guidance.

Case studies, comparison with sustainable forest management, and refinement of the principles

89. The Secretariat facilitated the expert meeting on the Ecosystem Approach from 7 to 11 July 2003, in Montreal. The meeting was attended by 33 participants. The outputs of the meeting have been integrated into document UNEP/CBD/SBSTTA/9/8, entitled Ecosystem approach: further elaboration, guidelines for implementation and relationship with sustainable forest management. Additionally the final report of the meeting is being edited by the Secretariat based on the recommendations made by the expert group during the meeting and subsequent electronic consultations. The final report will be presented to SBSTTA as an information document (UNEP/CBD/SBSTTA/9/INF/4).

90. Prior to the expert meeting, consultations with SBSTTA Focal Points on the refinement of the principles and operational guidance was facilitated by the Secretariat.

DECISION VI/20 COOPERATION WITH OTHER ORGANIZATIONS, INITIATIVES AND CONVENTIONS

91. The Conference of the Parties in decision VI/20, invited the Food and Agriculture Organization of the United Nations to continue its close working relationships with the Executive Secretary and to extend its cooperation on those relevant areas identified in the decisions of the current meeting under the item on agricultural biological diversity, in particular on the International Treaty on Plant Genetic Resources for Food and Agriculture;

92. Further, pursuant to the same decision the Conference of the Parties requested SBSTTA and the Executive Secretary to:

- (i) Continue to cooperate with the United Nations Framework Convention on Climate Change (UNFCCC), including its Kyoto Protocol, and the Intergovernmental Panel on Climate Change (IPCC) on relevant issues such as dry and sub-humid lands, agricultural biological diversity, forest biological diversity, marine and coastal biological diversity, especially on coral reefs, and incentive measures and impacts of measures envisaged under the Kyoto Protocol with a view to maximizing synergies between these processes;
- (ii) Carry out further work with the UNFCCC Subsidiary Body on Scientific and Technical Advice (SBSTA), especially on issues relating to the national level.

- (iii) Continue cooperation as member of the joint liaison group among the UNFCCC, UNCCD and CBD and urges the joint liaison group to become fully operational in order to facilitate cooperation between the conventions both at national and international levels;
- (iv) Take the actions elaborated in the third joint work plan (2002-2006) between the CBD and the Convention on Wetlands (Ramsar), into full consideration in furthering the respective programmes of work for these areas;
- (v) Take the actions elaborated in the joint work plan between the CBD and the CMS, into full consideration in furthering the respective programmes of work for these areas; and to compile and disseminate through the clearing-house mechanism of the CBD case-studies on migratory species and their habitats, relevant to thematic areas and cross-cutting issues under the Convention on Biological Diversity.

93. The following activities were carried out by the Secretariat:

(a) Cooperation with the Food and Agriculture Organization of the United Nations (FAO)

94. The Executive Secretary is further discussing a Memorandum of Cooperation with FAO, taking into consideration the growing areas of cooperation between the two institutions, including, *inter alia*, the cooperation with the Secretariat of the IPPC, the Secretariat of the Commission on Genetic Resources for Food and Agriculture acting as the Interim Committee for the International Treaty on Plant Genetic Resources for Food and Agriculture and the secondment of a FAO staff member to the CBD Secretariat. The FAO is collaborating with the Secretariat in all the thematic programmes of work and all work of cross-cutting issues.

(b) Cooperation with the UNFCCC and UNCCD

95. The CBD Secretariat participated actively in the proceedings of the UNCCD COP 6, especially through information and outreach activities pertaining to the JWP between the UNCCD and CBD. On 2 September 2003 the joint liaison group of the Secretariats of the three Rio Conventions met at the margins of the COP.

96. A workshop hosted by UNFCCC on synergies and cooperation with other conventions was held from 2 to 4 July 2003 in Espoo, Finland. The Secretariat of the CBD and the Chair of SBSTTA actively participated in this meeting.

(c) Cooperation with the Convention on Wetlands (Ramsar)

97. The Scientific and Technical Review Panel (STRP) of the Ramsar Convention set up six working groups, which discuss subjects relevant to the Convention through e-mail and internet-based discussion. Components of the third joint work plan are also being addressed through these working groups. The Secretariat contributes actively in the discussions of a number of working groups.

(d) Cooperation with the Convention on the Conservation of Migratory Species of Wild Animals

98. The CMS Secretariat sourced funding for a joint synergy project with the CBD and UNCCD. The CBD Secretariat provided technical input in the early development phase of the initiative, and will continue to be a key collaborating partner. One of the key areas of the initiative is how issues relating to migratory species are/can be integrated in National Biodiversity Strategies and Action Plans under the CBD.

99. The Secretariats of the CMS and CBD have been discussing issues on harmonized reporting. The CMS Standing Committee, which met during the second half of July 2003, adopted reporting questions, which are being suggested to be also brought forward in the 3rd national reports of the CBD.

DECISION VI/22 FOREST BIOLOGICAL DIVERSITY

100. In decision VI/22, the Conference of the Parties requested the Executive Secretary to:

- (i) Initiate, in collaboration with the United Nations Forum on Forests (UNFF) Secretariat and the Collaborative Partnership on Forests (CPF), actions on the following areas identified as important first steps towards the implementation of the expanded work programme: ecosystem approach, collaboration with other bodies, cross-sectoral integration, protected areas, forest law enforcement and related trade, sustainable use, benefit sharing and servicing capacity building;
- (ii) Develop a format for voluntary thematic national reports;

101. In the same decision, the Conference of the Parties also:

- (iii) Further invited the ad hoc technical expert group on biological diversity and climate change to consider issues related to inter-linkages between biological diversity and climate change;
- (iv) Agreed to establish an ad hoc technical expert group to provide advice in the review and implementation of the expanded programme of work;
- (v) Requests the Executive Secretary to establish a liaison group on non-timber forest resources.
- (vi) Invites FAO, ITTO and the Global Fire Monitoring Center to explore possibilities for a joint work programme on issues pertaining to fire prevention and management with the CBD.

(a) Collaboration with UNFF and CPF members

102. As part of the expert meeting on the ecosystem approach, representatives of the UNFF Secretariat, as well as CPF members (ITTO, CIFOR, IUCN, UNEP), contributed to developing the comparison of the ecosystem approach with the concept of sustainable forest management.

103. The Secretariat is preparing the first version of the Secretary General's Report on Traditional Forest Related Knowledge for the fourth UNFF session. The draft will be sent to the UNFF Secretariat on 15 October 2003 for comments before the preparation of the final version.

104. The Secretariat participated in the 11th meeting of the Collaborative Partnership on Forests (CPF) and the CPF network meeting, held on the margins of the XII World Forestry Congress in Quebec City (21 – 24 September 2003).

(b) Implementation of common objectives

105. The Secretariat continued work on the assessment of the relationship between the IPF/IFF proposals for action and the activities of the expanded programme of work, to be presented at the fourth session of the United Nations Forum on Forests in 2004. A progress report is being prepared for SBSTTA-9.

(c) Ad hoc technical expert group on implementation of the programme of work

106. Preparations for the meeting in Montpellier, France, from 24 to 27 of November are underway. A Memorandum of Understanding has been signed between the Government of France and the Secretariat and, in response to the notification, nominations of experts have been received.

(d) Non-timber forest resources

107. The Secretariat sent out the notification for a liaison group on 10 July 2003 and initiated the preparation of a discussion paper by CIFOR, with a special focus on bush meat. The document provides (i) a comprehensive list of issues pertaining to the unsustainable harvesting of non-timber forest resources, particularly of bush meat and related products; (ii) examples of policies, enabling legislation and strategies that could promote sustainable use of, and trade in non-timber forest products; (iii) some potential and appropriate alternative sustainable livelihood technologies and practices; and (iv) considers monitoring tools for non-timber forest resources, including bush meat.

108. The liaison group, with eight (8) representatives from Africa, two (2) from CEE, five (5) from WEOG, five (5) from Asia, three (3) from Latin America and eight (8) persons representing seven (7) organizations, held an e-mail conference based on a discussion paper between 1 and 15 September 2003. The outcome, together with background documentation on bush meat hunting provided by CIFOR, will feed into the 9th meeting of the SBSTTA.

109. The Secretariat made a presentation of the ongoing work on non-timber forest resources at a side event organized by IUFRO on the margins of the XII World Forestry Congress in Quebec City (20 September 2003).

(e) Forest protected areas

110. The Secretariat sent a notification dated 18 August 2003 inviting the nomination of experts to participate in the International Workshop on Protected Forest Areas, to be held in Montreal from 6 to 8 November 2003. The workshop has been enabled partly through the financial support from the Government of the Netherlands. The Secretariat has prepared the relevant documentation for the workshop and selected the participants.

111. The format for a voluntary report on the implementation of the expanded programme of work on forest biodiversity was approved by the Bureau of COP 6, following consultations with national focal points and the Bureau of SBSTTA. The approved format was distributed to Parties immediately after approval by the COP-6 Bureau and posted on the website of the Convention in the six languages of the United Nations. By the end of September 2003 (the deadline for submission of voluntary reports), only one report was received. A reminder has been sent to Parties to facilitate the submission of voluntary reports. It should be noted that voluntary reports will be considered at COP 7.

112. The consultation is ongoing between CBD, UNFF, CFP, FAO, UNFCCC and other forums on how to harmonize and streamline the forest-related reporting under different conventions and forums. A joint webpage was established on the website of FAO to monitor possible overlaps and duplications in forest-related reporting.

(f) Integration of non-timber forest resources into forest inventories

113. The Secretariat is preparing document UNEP/CBD/SBSTTA/9/INF/14 on recent experiences with integrating non-timber forest resources into forest inventorying, including proposals for further integration. A notification was sent to members of the CPF, and its network, requesting their contributions to the document.

(g) Fire and forest biodiversity

114. The Secretariat is preparing document UNEP/CBD/SBSTTA/9/INF/15 on proposals for the inclusion of forest biodiversity in fire impact assessments. The draft document was circulated to the Global Fire Monitoring Center, FAO, and ITTO together with invitations to contribute to elements as starting points for possible joint work programmes with the Convention.

115. The SCBD was appointed as a focal point of the Working Group on Wildland Fires within the Inter-Agency Task Force on Disaster Reduction of the United Nations International Strategy for Disaster Reduction. The Secretariat was also invited to represent the Convention at the International Wildland Fire Summit to be held in Sydney on 8 October 2003.

(h) New staff

116. Two new members of staff joined the Secretariat to work on forest-related issues.

DECISION VI/23 ALIEN SPECIES THAT THREATEN ECOSYSTEMS, HABITATS OR SPECIES

117. In decision VI/23, the COP adopted the guiding principles for the prevention, introduction and mitigation of impacts of invasive alien species and requested the Executive Secretary to:

- (i) Carry out specific activities with GISP and other relevant organizations including developing a joint programme of work among the Convention on Biological Diversity, the Global Invasive Species Programme (GISP), the Convention on Wetlands (Ramsar), the International Maritime Organization, the International Plant Protection Convention and other relevant bodies;
- (ii) Support the development and dissemination on technical tools and related information;
- (iii) Integrate invasive alien species considerations into thematic work programmes of the Convention and when reporting on the thematic work programmes to report specifically on how the threats and impacts of invasive alien species will be addressed;
- (iv) Explore means to facilitate capacity enhancement for eradication work on alien species on continents and islands; and
- (v) Identify mechanism(s) for providing Parties with access to financial support for rapidly responding to new incursions by alien species in collaboration with GISP, the Global Environment Facility (GEF), FAO and the OECD.

(a) Collaboration with the Global Invasive Species Programme (GISP)

118. Paragraph 6 (d) of SBSTTA recommendation VI/5, decided to initiate assessments of the impacts of invasive alien species. In response to this, the Global Invasive Species Programme, and its partners, have started co-ordinating and developing an assessment of the socio-economic and biological impacts of invasive alien species (IAS) on inland water ecosystems on behalf of the CBD. The assessment convened an Expert Consultation at the Smithsonian Institution in Washington, DC, on 14 - 15 July, 2003. This group is being expanded through a web based discussion forum. Outputs will include a 15-page peer reviewed information document. The report will also support decision VI/23 of the Conference of Parties (COP) that urged research and assessments on the causes and consequences, as well as the prevention and management, of IAS (see UNEP/CBD/SBSTTA/7/3).

119. The Secretariat also participated in a meeting on the "Impacts of Invasive Alien Species on Inland Water Ecosystems", held in Washington, USA from 13 to 15 July 2003. A member of the Board of GISP visited the Secretariat and areas for possible joint activities between SCBD and GISP were identified.

(b) Collaboration with the International Plant Protection Convention

120. The Secretariat has participated in the International Workshop on “Invasive Alien Species and the International Plant Protection Convention”, which was held in Braunschweig, Germany, from 22 to 26 September 2003. In a presentation, the Secretariat highlighted the areas of collaboration between the International Plant Protection Convention and the CBD and gave an overview of the programme of work on invasive alien species.

**DECISION VI/28 MULTI-YEAR PROGRAMME OF WORK
OF THE CONFERENCE OF THE PARTIES UP TO 2010**

121. The Multi-year programme of work of the Conference of the Parties up to 2010 was discussed at the Intersessional Meeting held in Montreal, on 17-20 March 2003. The recommendations of the MYPOW, contained in the report of the Meeting, will be submitted to the Conference of the Parties for its consideration.

**DECISION VI/30 PREPARATIONS FOR THE SEVENTH MEETING OF THE CONFERENCE
OF THE PARTIES**

122. In paragraph 2 of this decision, the COP encouraged the Executive Secretary to actively collaborate with the Vth WCPA as well as with other appropriate conventions, international organizations and non-governmental organizations. In paragraph 3, invited Parties, other Governments and relevant international organizations to provide financial support for the organization of the Ad Hoc Technical Expert Group (AHTEG) on mountain biological diversity, protected areas and technology transfer and cooperation.

123. With the support of the Government of Italy, the Executive Secretary convened a meeting of the AHTEG on Mountain Biodiversity in Rome, from 1 to 3 July. Drawing on the report of the AHTEG, the Executive Secretary prepared document UNEP/CBD/SBSTTA/9/12 containing the proposed programme of work on mountain biodiversity with a total of 88 activities under 14 goals.

124. The Executive Secretary participated in the steering committee of the World Parks Congress (WPC) and made a statement at the WPC in Durban, South Africa, on “the Convention on Biological Diversity: protected areas and benefits to people”. In addition to participating in the WPC, the SCBD was also invited to contribute to WPC working groups that (i) discussed governance, political support and capacity building for protected areas, and (ii) were mandated to collate the Congress recommendations and prepare the Congress message to the CBD.

125. On 18 September 2003, the Executive Secretary convened in Durban, South Africa, a meeting of a liaison group to consider the outcomes of the WPC with a view to identifying elements from the WPC that are not fully reflected in the draft programme of work on protected areas prepared in June 2003 by the AHTEG on protected areas, but that should be drawn to the attention of SBSTTA for possible integration in its advice to COP-7. Drawing on the output of the Liaison Group meeting, the Executive Secretary prepared document UNEP/CBD/SBSTTA/9/6/Add.2 on the outcomes of the WPC.

126. The Secretariat finalized the background documentation on technology transfer and technology cooperation for the ninth meeting of the Subsidiary Body for Scientific, Technical and Technological Advice, including *inter alia* proposals for the development of a programme of work on technology transfer and cooperation, draft elements of such a programme of work and a review of the status of implementation of decisions on technology transfer and cooperation. Technology transfer and cooperation will be an issue for in-depth consideration during this meeting of the Subsidiary Body for Scientific, Technical and Technological Advice.

127. A new programme officer joined the Secretariat to work on *in-situ* conservation issues, including protected areas.

SOCIAL ECONOMIC AND LEGAL MATTERS

128. During the last quarter, work of the SEL unit focused mainly on preparations for the ninth meeting of the SBSTTA, the second meeting of the Working Group on Access and Benefit-sharing, the third meeting of the Working Group on Article 8 (j) and related provisions, as well as preparation of documents for the seventh meeting of the Conference of the Parties. These activities as well as ongoing cooperation with other institutions with regard to SEL work programs are examined below in greater detail.

DECISION VI/10 ARTICLE 8(J) AND RELATED PROVISIONS

129. In response to decision VI/10 relating to the implementation of Article 8(j) and related provisions, work continued at an accelerated pace in order to finalize relevant reports and documents for the third meeting of the Ad Hoc Open-ended Intersessional Working Group on Article 8(j) and related provisions, which will be held in Montreal, on 8-12 December 2003. This task has been completed.

130. Also, work is in progress on issues pertaining to the logistical nature of the meeting, such as pre-registration, translation of relevant documents and distribution. Documents are currently being posted on the website and forwarded to national focal points.

131. The first draft of the regional reports on the Status and Trends Regarding the Knowledge, Innovations and Practices of Indigenous and Local Communities were made available and the overall composite report to be submitted to the Working Group has been finalized.

132. The Secretariat continued to cooperate with other organizations to draw attention to the work being done under the CBD with regard to traditional knowledge.

DECISION VI/11 LIABILITY AND REDRESS

133. The Secretariat finalized the following three documents for the meeting of the Group of Legal and Technical Experts: (a) "Liability & redress in the context of paragraph 2 of Article 14 of the Convention on Biological Diversity: An analysis of pertinent issues."; (b) "Liability & redress in the context of paragraph 2 of Article 14 of the Convention on Biological Diversity: Update on developments in relevant sectoral international and regional instruments and developments in private international law."; and (c) "Information on case-studies and case law pertaining to transboundary environmental damage." These documents will be posted on the web to facilitate access by Parties, Governments and relevant international organizations.

134. The Secretariat prepared the Liability and Redress component of the COP document on Cross-cutting Issues for the consideration of COP 7.

DECISION VI/13 SUSTAINABLE USE AS A CROSS-CUTTING ISSUE

135. The (draft) Addis Ababa principles and guidelines for the sustainable use of biological diversity and related recommendations, contained in document UNEP/CBD/SBSTTA/9/9, have been posted on the CBD web-site and forwarded to National Focal Points. The document will be considered by SBSTTA-9.

136. Pursuant to decision VI/22, paragraph 19 (f), of the Conference of the Parties, the Secretariat has also finalized the report pertaining to the "Management of forest biodiversity, sustainable use to derive products and services and benefit sharing". The document contains a compilation of the 25 responses

submitted by Parties to the questionnaire issued by the Secretariat on this topic. The report is contained in document UNEP/CBD/SBATT/9/9/Add.1, which will also be submitted to the ninth session of SBSTTA for its consideration.

DECISION VI/14 BIOLOGICAL DIVERSITY AND TOURISM

137. The Secretariat is organizing, with the support of the Government of Federal Republic of Germany, a meeting with permanent representatives of Small Island Developing States at the United Nations in New York. The purpose of the meeting is to strengthen possible synergies between the Convention and the SIDS international community, and is a potential step forward in the increased involvement of the Convention in the sustainable development of islands. The CBD guidelines on biodiversity and tourism development will be presented at the meeting.

138. The Secretariat continues to assist and collaborate with international organizations and Parties at the implementation of the draft CBD guidelines on biodiversity and tourism development. In particular, the Secretariat is following the implementation of pilot projects in Panama, on the sustainable use of the marine resources of Kuna Yala, and in Bulgaria, for the application of the guidelines at the national level. The outcome of the Panama case study will be posted on the CBD website shortly.

DECISION VI/15 INCENTIVE MEASURES

139. In the period under review, the work of the Secretariat consisted mainly in preparing for the upcoming major meetings of Convention bodies. In particular, the Secretariat finalized the report of the workshop on incentive measures for the conservation and sustainable use of components of biological diversity, which was successfully held on 3-5 June 2003 in Montreal, as well as pre-session documentation for the upcoming ninth meeting of the Subsidiary Body for Scientific, Technical and Technological Advice on the proposals for the application of ways and means to remove or mitigate perverse incentives that were elaborated by the workshop pursuant to a request of the sixth meeting of the Conference of the Parties contained in decision VI/15, on incentive measures.

140. Pursuant to the request of the Conference of the Parties to further study the impact of trade liberalization on agricultural biodiversity (decision VI/5, paragraph 17), the Secretariat finalized the review a study entitled "Domestic Support Measures and their Effects for Agricultural Biological Diversity" in light of the comments received from the peer review process, for submission to the seventh meeting of the Conference of the Parties. The Secretariat also continued work on a second study entitled "The Impact of Trade Liberalization on Agricultural Biological Diversity - A Synthesis of Assessment Methodologies".

141. The Secretariat participated, as an observer, in the Fifth Ministerial Conference of the World Trade Organization (WTO), held in Cancun on 10 – 14 September 2003, as well as in the eighteenth meeting of the Global Biodiversity Forum, held back-to-back to the Ministerial Meeting in Cancun on 5 – 7 September 2003. This meeting addressed the interface between biodiversity, trade and sustainable development in three parallel workshops. The Secretariat provided input to this meeting by providing an overview of trade-related developments under the Convention.

DECISION VI/21 ANNEX TO THE HAGUE DECLARATION OF THE CONFERENCE OF THE PARTIES TO THE CONVENTION ON BIOLOGICAL DIVERSITY

142. As a follow-up to his report to the 58th session of the United Nations General Assembly, which contains information on follow-up measures within the Convention process to the WSSD, the Executive

Secretary prepared Draft Elements for a Resolution by UNGA 58 on the Convention on Biological Diversity.

DECISION VI/24 ACCESS TO GENETIC RESOURCES

143. As mandated by the Conference of the Parties in decision VI/24, the Secretariat has continued preparations for the Ad Hoc Open-ended Working Group on Access and Benefit-sharing to be held from 1 to 5 December 2003. A number of activities were carried out by the Secretariat in preparation for the meeting, including:

- Notifications dated 16 July 2003 were sent respectively to ABS and CBD National Focal Points, relevant organizations and indigenous and local community organizations to invite them to nominate a representative to attend the meeting
- The finalisation of documents by the Secretariat including:
 - a provisional agenda (UNEP/CBD/WG-ABS/2/1);
 - an annotated agenda (UNEP/CBD/WG-ABS/2/1/ADD1);
 - Further consideration of outstanding issues related to access and benefit-sharing: use of terms; other approaches and compliance measures in Contracting Parties with users under their jurisdiction: note by the Executive Secretary (UNEP/CBD/WG-ABS/2/2);
 - The role of intellectual property rights in access and benefit-sharing arrangements, including national and regional experiences: note by the Executive Secretary (UNEP/CBD/WG-ABS/2/3)
 - Compilation of submissions by Parties regarding their views on the process, nature, scope, elements and modalities of an international regime on access to genetic resources and benefit-sharing (UNEP/CBD/WG-ABS/2/4)
 - Compilation of submissions by Parties pursuant to decision VI/24 (UNEP/CBD/WG-ABS/2/INF/2)
 - Information for participants

144. In preparation for COP 7, relevant contributions related to access and benefit-sharing were provided to be included in documents related to cross-cutting issues and cooperation with other organizations.

145. The Secretariat has also developed databases on access and benefit-sharing measures and on capacity-building projects related to access and benefit-sharing to be accessible through the CHM.

146. The CBD Secretariat participated in the 5th meeting of the WIPO Inter-Governmental Committee on IPRs, Genetic Resources, Traditional Knowledge and Folklore from 7 to 11 July 2003 and carried out a side event on CBD issues and recent developments of interest to the WIPO IGC, related to access and benefit-sharing, traditional knowledge, innovations and practices and technology transfer and cooperation.

147. The Secretariat also participated in a Canadian Federal, Provincial and Aboriginal workshop on ABS held in Ottawa on 10-11 September 2003 and presented the Bonn Guidelines on access and benefit-sharing.

BIOSAFETY

**DECISION VI/1 INTERGOVERNMENTAL COMMITTEE FOR THE CARTAGENA
PROTOCOL ON BIOSAFETY**

The Biosafety Protocol

148. The highlight during the reporting period was the entry into force of the Protocol on 11 September 2003. A number of outreach activities were organized by the Secretariat to mark this important event, including a press conference that was held on 9 September 2003 in Durban, South Africa in the margins of the IUCN Fifth World Parks Congress, featuring the Executive Secretary of the CBD, the Executive Director of UNEP and Mr. Juan Mayr Maldonado, the former Chair of the ExCOP 1 meeting that finalized and adopted the text of the Protocol in January 2000. The Executive Secretary and the Executive Director of UNEP also launched a brochure entitled “Biosafety and the Environment: an Introduction to the Cartagena Protocol on Biosafety” which is a simplified guide to the Protocol intended to assist general public awareness and understanding of the Protocol and its operations.

149. The Secretariat also prepared a special edition of the CBD News (the quarterly newsletter of the Secretariat) devoted to the Protocol in which a number of people who have been associated with the negotiation of the Protocol and the ICCP process have contributed articles giving an update about the historical perspectives on the Protocol, key issues and views about its future implementation. Finally, a record of the negotiations of the Biosafety Protocol will soon be published by the Secretariat in the form of a technical report.

150. At UN Headquarters in New York, the Secretary General of the United Nations, who is also the Depository of the Biosafety Protocol, issued a statement welcoming the entry into force of the Protocol and underscoring its role in the global efforts to reconcile development and environmental conservation.

151. With regard to the on-going development and implementation of the pilot phase of the Biosafety Clearing-House (BCH), the Secretariat has sent to all Parties and other Governments the guidelines for national participation in the BCH. This was prepared following a recommendation of a Liaison Group meeting of technical experts in April 2003 to provide advice to the Executive Secretary regarding the establishment of national components of the BCH. The guidelines highlight four general options that can assist countries to determine the most appropriate way to participate in the BCH given the technical feasibility and resource requirements in each country. The guidelines are also available on the website of the Secretariat.

152. A meeting of the Bureau of the ICCP took place on 28-29 August 2003 in Montreal to review the status of preparation of the documentation for the first meeting of the COP-MOP and discuss matters of relevance pertaining to the entry into force of the Protocol. The Bureau provided comments and guidance to the Secretariat, which the Secretariat will take into account in finalizing the documents for distribution to Governments. The Bureau also requested the Secretariat to issue a number of notifications related to the entry into force of the Protocol and the preparations for the first meeting of COP-MOP. The minutes of the Bureau meeting are available on the website of the Secretariat. The next meeting of the ICCP Bureau will take place on 15 November 2003 in Montreal and will be a joint meeting with the Bureau of the COP to continue the consideration of a number of issues related to the preparation and organization of the first meeting of COP/MOP.

153. The pace of ratification of the Protocol continued to gather momentum. As of 30 September 2003, the following 63 Parties to the CBD had ratified/acceded/accepted/approved the Biosafety Protocol: Antigua and Barbuda, Austria, Barbados, Belarus, Bhutan, Bolivia, Botswana, Bulgaria, Burkina Faso, Cambodia, Cameroon, Colombia, Croatia, Cuba, Czech Republic, Democratic People's Republic of Korea, Denmark, Djibouti, Ecuador, El Salvador, European Community, Fiji, France, Ghana, India, Kenya, Lesotho, Liberia, Luxembourg, Malaysia, Maldives, Mali, Marshall Islands, Mauritius, Mexico, Mongolia, Mozambique, Nauru, Netherlands, Nicaragua, Nigeria, Niue, Norway, Oman, Palau, Panama, Republic of Moldova, Romania, Saint Kitts and Nevis, Saint Vincent and the Grenadines, Samoa, Slovenia, South Africa, Spain, Sweden, Switzerland, Tonga, Trinidad and Tobago, Tunisia, Uganda, Ukraine, United Republic of Tanzania, and Venezuela.

154. Preparations for the first meeting of the COP-MOP are now well underway. Following the kind offer of the Government of Malaysia to host the seventh meeting of the Conference of the Parties to the CBD as well as the first meeting of the COP-MOP, COP-MOP 1 will take place in Kuala Lumpur from 23 to 27 February 2004 in conjunction with COP 7. The Executive Secretary has already issued the invitation letter to all Parties, other Governments and relevant organizations to attend the meeting. The Secretariat is in the process of finalizing the pre-sessional documents for the meeting with a view to making them available to Parties and other Governments as soon as possible ahead of the meeting.

IMPLEMENTATION AND OUTREACH

DECISION VI/16 ADDITIONAL FINANCIAL RESOURCES

155. The Secretariat prepared a progress report on the mechanisms of implementation (UNEP/CBD/COP/7/17), covering all the mechanisms of the Convention, including the financial mechanism, clearing-house mechanism, communication, education and public awareness, national reporting, library and documentation and publications service, for consideration by the seventh meeting of the Conference of the Parties.

156. The document on additional financial resources (UNEP/CBD/COP/7/18) has been prepared in consultation with the Global Environment Facility and the European Center for Nature Conservation.

157. The Secretariat responded to requests concerning funding/information from:

- i. Uganda for sponsorship to attend a conference
- ii. Peru for a sustainable development project,
- iii. India for coastal research and development
- iv. Swaziland for research on non-timber forest products
- v. Sri Lanka for a project for the conservation of medicinal and native fruit plants
- vi. Pakistan for social harmony and development organization
- vii. Indonesia for the Foundation for Sustainability
- viii. Ukraine for the reconstruction of their national natural forest park
- ix. Russia for support of additional project elements
- x. DR Congo for a micro credit project
- xi. Gabon for a natural resources management project
- xii. Kenya for an eco-tourism venture
- xiii. Indonesia for more information
- xiv. South Korea for forestry matters
- xv. Peru for organizational support
- xvi. Nepal for a nursery project
- xvii. Small research project/Assistance/partnership

158. The Secretariat received comments on the draft Project Database 2003 from Oman, Germany, Austria, Iran, Sri Lanka, and others. Bolivia provided their comments regarding the Interactive Info-bulletin on Financing for Biological Diversity. Requests to join the Interactive Info-Bulletin have been received from many countries and several have undertaken to provide verified funded project data to the Interactive Info-Bulletin on Financing for Biological Diversity.

159. The Secretariat received invitations to attend the following meetings: seminar “Public-Private Partnerships for Europe’s Biodiversity – finding a new balance for Europe’s countryside to be held on 6 November 2003 in Den Bosch, The Netherlands; UNEP Finance Initiative 2003 Global Roundtable: Sustaining Value: a meeting on finance and sustainability from 20-21 October 2003 in Tokyo, Japan; the second meeting of the European Task Force on Banking, Business and Biodiversity. The meetings reflect the general trend of global work on banking, business and biodiversity.

160. The Secretariat is participating in the Global e-Conference on implementing the Monterrey consensus: governance Roles of Public, private and advocacy Stakeholders from September 29 to October 10, 2003.

161. Based on the database of the Organization for Economic Cooperation and Development /Development Assistance Committee/Credit Reporting System, the Secretariat has begun to undertake an analysis of biodiversity-related funding data. Relevant sectoral funding data has already been downloaded.

162. The Secretariat continued its effort to compile “Biodiversity-related projects 2003” and the “Guide to Sources of International Assistance for Biological Diversity”.

163. The Secretariat further worked on debt for nature initiatives based on the information from the Organization for Economic Cooperation and Development, and also contacted the French national focal point for assistance with the contact with the Paris Club in order to find more complete data on biodiversity-related debt transactions.

DECISION VI/17 FINANCIAL MECHANISM UNDER THE CONVENTION

164. The Secretariat finalized the document “Compilation of previous guidance to the financial mechanism” (UNEP/CBD/COP/7/INF/1) after consultation with the Global Environment Facility.

165. In order to prepare the document on arrangements for the third review of the effectiveness of the financial mechanism, the Secretariat convened a teleconference with the Secretariat of the Global Environment Facility on 14 August 2003. The document UNEP/CBD/COP/7/17/Add.5 has been finalized for editing.

166. The Secretariat intensified liaison with the Global Environment Facility Secretariat with respect to its report to the seventh meeting of the Conference of the Parties, in accordance with decisions III/8 and VI/17. Technical consultations were also held in order to ensure full compliance with requirements of relevant decisions.

167. The Secretariat held a consultation meeting with the Global Environment Facility Secretariat biodiversity team on 8 July 2003. The meeting discussed all issues contained in decisions VI/16 and VI/17, and exchanged views how best implement requests from these two decisions.

168. The Secretariat reviewed and took note of several documents from the Global Environment Facility, including terms of reference for an independent monitoring and evaluation unit; draft report to the ninth meeting of the United Nations Framework Convention on Climate Change; Elements of a

framework for GEF performance-based allocations; submissions of the Implementing Agencies regarding activities and services to be financed by the GEF FY04 corporate budget. The Secretariat was also informed by the Global Environment Facility of the revised schedule of preparation of GEF private sector strategy and the 6th GEF familiarization seminar.

169. The Secretariat received and reviewed the proposals with respect to national capacity self-assessment submitted through the implementing agencies from the following countries: Pakistan, Indonesia, Seychelles, Chad, Malawi, Liberia, Czech Republic, Slovenia, Yemen, Haiti, Mozambique, Turkmenistan, Bhutan, and Egypt.

170. The Secretariat received and reviewed the following PDF Block B proposals: Croatia: conservation and sustainable use of biodiversity in the Dalmatian coast through greening coastal development; Philippines & Indonesia: Marine Aquarium Market Transformation Initiative (MAMTI).

171. The Secretariat received and reviewed the following medium-sized project proposals: partnerships for marine protected areas in Mauritius; Peru: Conservation and sustainable use of biodiversity in the Peruvian Amazon by the indigenous Ashaninka population.

172. The Secretariat received and reviewed the following full project proposals: Congo, DR. Protected area management and nature conservation in DRC-Congo; Vietnam: Con Dao; Chile: Land management; Peru: Inka Terra; Global: development of national biosafety frameworks- supplemental request; Republic of Korea: wetlands; Chile: biodiversity along the Chilean Coast; Regional: Integrated ecosystem management in indigenous communities; Vite Nam: forest sector development project; Brazil: Rio de Janeiro sustainable land management in productive landscapes of the North-Northwestern Fluminense (NNWF); Madagascar: third environment programme.

173. The Secretariat attended the GEF Executive Operational Committee meeting on 22 July 2003, and reviewed all the project proposals for inclusion in the July 2003 inter-sessional work program. The meeting discussed balance in the intersessional work program, fees for implementing agencies, co-financing, executing agency, enabling activities, and scientific and technical issues. The inter-sessional work program includes the following biodiversity projects: (i) Benin: Community-based Coastal and Marine Biodiversity Management project; (ii) Honduras: Consolidation of Ecosystem Management and Biodiversity Conservation of the Bay Island project; (iii) Iran: Conservation of Iranian Wetlands project; (iv) Latvia: Biodiversity Protection in North Vidzeme Biosphere Reserve project; (v) Malaysia: Conservation of Biological Diversity through Improved Forest Planning Tools project; (vi) Mali: Biodiversity Conservation and Participatory Sustainable Management of Natural Resources in the Inner Niger Delta and its Transition Areas, Mopti Region project; (vii) Mongolia: Community-based Conservation of Biological Diversity in the Mountain Landscapes of Mongolia's Altai Sayan Ecoregion project; (viii) Pakistan: Protection and Management of Pakistan Wetlands project; (ix) Tunisia: Gulf of Gabes Marine and Coastal Resources Protection Project; (x) Global: Building Capacity for Effective Participation in the Biosafety Clearing House (BCH) of the Cartagena Protocol project (not approved by the Council).

DECISION VI/18 SCIENTIFIC TECHNICAL COOPERATION AND THE CLEARING HOUSE MECHANISM

Clearing-house Mechanism

174. During the period under review the CHM participated in the following meetings and undertook the following activities:

- In conjunction with the Inter-American Biodiversity Information Network, organized and serviced a Latin American and Caribbean regional meeting on the clearing-house mechanism in Cancun, Mexico, on 12-14 August 2003.
- In conjunction with the European Environment Agency, a Central and Eastern Europe regional meeting on the clearing-house mechanism was organized and serviced in Prague, the Czech Republic on 9-12 September 2003;
- The fourth draft and web-based version of the Clearing-house Mechanism Toolkit was completed;
- Participated in the Experts Consultation on the Impacts of Invasive Alien Species on Inland Waters Ecosystems, held in Washington, D.C. from 14 to 15 July 2003;
- Drafted a proposal for forestry synergy portal to be deployed in advance of SBSTTA meeting;
- Drafted proposal elements for Dry and Sub-humid lands portal;
- Continues to participate in the development of the Pilot Phase of the Biosafety Clearing-House, in response to Article 20(1) of the Cartagena Protocol on Biosafety.

Web site enhancement Project

175. The following activities will be completed as per the timetable indicated in "Project Planning Document:

- Redesign of www.biodiv.org"
- project plan and resources requirements created.
- documenting of functional requirements and content requirements are on track to be completed by end of September.
- Creation of functional and content requirements for redesign.
- Creation of information architecture.
- Creation of Navigation, Interface and Visual design for all sections of site.
- Production of new text content for site
- Production of new pages for site
- Testing and deployment

176. The following activities were NOT completed as planned due to problems with resource requirements.

-Establishment of Brand Strategy was not completed due to delays in contracting communications consulting firm.

- Evaluation of user needs and requirements was scaled back due to failure to secure focus groups for research. Secondary evaluation from programme officer served in its stead.

VI/19 COMMUNICATION, EDUCATION AND PUBLIC AWARENESS

Library and documents management

177. The following activities have been undertaken during the period under review:

Keywords and thematic areas codes have been attributed to 54 records.

Publications

178. The following publications have been printed:

- Leaflet for the XXII World Forestry Congress.

179. Preparations continue for the production of the following publications:

- CBD News supplement on biosafety
- CBD Tech. Series no.9 (SBSTTA book of abstracts)
- CBD Tech. Series no.10 (Climate change and biodiversity)
- CBD Tech. Series no.11 (Inland waters)
- CBD Tech. Series no.12 (Protected areas)
- Guide to GTI
- Handbook CD-ROM
- Monograph on the negotiation of the Cartagena Protocol

CEPA School Outreach Project.

180. Contact was established with multimedia production firms to document and translate activities into web-ready formats for distribution via the Ecoprint.ca network. Negotiations were also begun for the creation of a statement of work.

DECISION VI/25 NATIONAL REPORTING

181. The draft format for the third national reports was further revised based on the comments provided by the members of the Bureaux of COP 6 and SBSTTA. It is contained in the annex to the document UNEP/CBD/COP/7/17/Add.2, which contains an introduction of relevant background information and a summary of the process of the format preparation and all the comments received in that process, including those provided by national focal points and members of COP 6 and SBSTTA Bueaux.

182. In the process of preparation of the draft format for the third national reports, extensive consultations were undertaken both internally and externally to solicit comments and recommendations on how to improve the guidelines for national reports adopted at COP 5. In this process, due consideration was given to the requirements specified in paragraph 3 of Decision VI/25 as well as the relevant recommendations from the MYPOW Meeting (17-20 March 2003, Montreal, Canada) and the London Meeting on 2010-The Global Biodiversity Challenges (21-23 May 2003, London, UK). The current draft as contained in the annex to the document UNEP/CBD/COP/7/17/Add.2 is an attempt to balance the comments and recommendations from various countries and sides.

183. By the end of September 2003, the Secretariat has received 46 thematic reports on protected areas, 39 thematic reports on mountain ecosystems and 23 thematic reports on transfer of technology and technology cooperation. A synthesis was prepared of thematic reports on protected areas and those on transfer of technology and technology cooperation, which will be presented as an INF. document respectively to SBSTTA 9 and COP 7.

184. In addition, by 30 September 2003, the Secretariat has received 45 thematic reports on forest ecosystems, 57 thematic reports on alien invasive species and 15 thematic reports on access to genetic resources.

185. An analytic tool, which is similar to that developed by the Secretariat for the second national reports, is under development for all the thematic reports received. It is expected that this tool will be officially available on the website of the Convention soon once all the data from thematic reports are loaded.

186. A consultative meeting was convened between the Secretariats of the Convention on Biological Diversity and the Global Environment Facility. Among others, the GEF Secretariat informed that funds

were still available for those eligible countries to prepare their second national reports. The GEF Secretariat also informed that those eligible countries that had not been given the funds to prepare their national reports could send their requests either through the implementing agencies or directly to the GEF Secretariat.

187 By the end of September 2003, the Secretariat has received 103 second national reports. As required by Decision VI/25, an analysis of the second national reports was prepared by the Executive Secretary and will be presented to COP 7 in the official document UNEP/CBD/COP/7/17/Add.3 and an information document UNEP/CBD/COP/7/INF.X. It should be noted that in addition to a summary of conclusions derived from the detailed analysis contained in UNEP/CBD/COP/7/INF.X, the document UNEP/CBD/COP/7/17/Add.3 also presents a summary of the experiences and lessons learned in the second round of national reporting under the Convention, including an analysis of the reasons for late or no submissions, which is required by subparagraph (b) of paragraph 3 of Decision VI/25.

DECISION VI/27 OPERATIONS OF THE CONVENTION

188. The Executive Secretary finalized the note entitled “Review & Consolidation of the Decisions of the Conference of the Parties: Proposals by the Executive Secretary” for COP

ANNEX I

STATUS OF IMPLEMENTATION OF THE AGREED ADMINISTRATIVE ARRANGEMENTS

I Personnel Arrangements

1. During the reporting period, the Secretariat had 34 regularized Professional staff members and 26 regularized General Service staff members funded from the core budget. Additionally, 6 professional posts and 5 General Service posts were funded from other sources. For more information, please refer to the attached staff list and organigram (Appendix 1 and Annex II respectively).

II Contributions and Funds

2. During the third quarter of 2003, two new pledges of additional voluntary funds in support of approved activities under the Convention (**BE Trust Fund**) were made. New pledges were received from Belgium and France. The Government of Belgium pledged funds for the additional approved activities under the Convention and the Government of France pledged funds for the AHTEG on Forest Biodiversity, which is scheduled to take in Montpellier, France from 24-27 November 2003.

3. For 2003 a total of US\$1,220,107 has been pledged to the BE Trust Fund, of which US\$452,568 or 37% has been collected as at 30 September 2003. Additionally, \$167,719 was collected in 2003 for pledges made for 2002 and prior years. Full details of the pledges and contributions to the BE Trust Fund are reflected in Annex III.

4. With regard to the General Trust Fund for the Convention (**BY Trust Fund**), as at 30 September 2003 the total unpaid pledges to the BY Trust Fund for 2002 and prior years amounted to US\$710,100. The total amount pledged for the BY Trust Fund in 2003 is US\$7,257,328. Of this amount, US\$4,384,656 or 60.4% has been received. Additionally, US\$999,981 has been collected as additional contributions to the BY Trust Fund, and US\$209,813 has been collected for 2003 and prior years. Total unpaid pledges to the BY Trust Fund for 2003 amounts to US\$2,872,691. Total unpaid pledges for 2003 and prior years are therefore US\$3,582,791. Full details of the pledges and contributions to the BY Trust Fund are reflected in Annex V.

5. As at 30 September 2003 a total of US\$515,289 was pledged for 2003 as additional voluntary contributions to facilitate the Participation of Parties in the Convention process (**BZ Trust Fund**). This sum includes pledges from Austria (\$17,120), Germany (\$41,880), Ireland (\$43,143), Japan (\$85,000), New Zealand (\$10,000), Norway (\$61,306), Spain (\$33,104), Sweden (\$71,619) and the United Kingdom (\$152,197).

6. As at 30 September 2003, contributions to the BZ Trust Fund in 2003 amounted to US\$456,130 or 88.5% of the total pledged for 2003. Additionally, \$33,289 was collected for prior years. A total of US\$110,713 remains outstanding for the BZ Trust Fund for 2003 and prior years. Full details of the pledges and contributions to the BZ Trust Fund are reflected in Annex IV.

7. As at 30 September 2003, a total of \$62,240 has been paid to the "General Trust Fund (BF) to support developing country Parties on Biosafety Issues", for supporting developing country Parties and Parties with economies in transition to pay for the use of the experts selected from the roster of experts on biosafety.

III Accounting and Reporting

Status of Expenditure

9. COP-6 approved a budget of US\$9,506,600 for the BY Trust Fund for 2003. As at 30 September 2003, a total of US\$6,552,013 has so far been spent or committed. This represents 69% of the approved amount.

Reimbursement for Services provided to the Secretariat

10. At present eight UNEP staff members, the Chief, Fund Management and Administration, the Administrative Officer, the Finance Officer, the Senior Finance Assistant, the Finance Assistant, the Personnel Assistant, the Conference Assistant and the Information Assistant are funded from the programme support funds (PSC).

Appendix 1
STAFFING TABLE of the Secretariat of the Convention on Biological Diversity
1 July – 30 September 2003

Post #	Level	Title	PROFESSIONAL STAFF
1101	ASG	Executive Secretary	Mr. H. Zedan (Regularized)
1102	D1	Principal Officer (SEL)	Mr. O. Jalbert (Regularized)
1103	D1	Principal Officer (STTM)	Mr. K. Mulongoy (Regularized)
1104	P5	Senior Programme Officer (Biosafety)	Ms. C. Sendashonga (Regularized)
1105	D1	Principal Officer (I&O)	Mr. A. Nogueira (Regularized)
1106	P4	Chief, Fund & Admin. (RMCS)	Ms. M. Rattray-Huish– (Regularized) (Post funded by UNEP)
1107	P4	Financial Resources & Instruments. PO (I&O)	Mr. Y. Xiang (Regularized)
1108	P4	PO Legal Advice and Support (SEL)	Mr. D.Ogolla (Regularized)
1109	P4	Economist (SEL)	Mr. M. Lehmann (Regularized)
1110	P4	PO Conservation Ecology (STTM)	Mr. M. Guariguata (Regularized)
1111	P4	PO, Agricultural Biodiversity (STTM)	Ms. M. Gauthier –(Regularized) (Post funded by FAO)
1113	P4	PO, Clearing House Mechanism (I&O)	Mr. M. Silva (Regularized)
1114	P4	Special Assistant to the ES. (EDM)	Ms. M. Soliman (Regularized)
1115	P4	PO, Indigenous Knowledge (SEL)	Ms. H. Marrie (Regularized)
1116	P5	PO. Reports & Reviews (I&O)	Mr. K. Kakuyo (Regularized)
1117	P3	Documentation Officer (I&O)	Mr. Z. Bamba (Regularized)
1118	P3	Meetings Services Officer (RMCS)	Mr. A. Yesli (Regularized)
1119	P3	Information Officer (I&O)	Vacant (Post Advertised)
1120	P3	Internet & Elect. Communications (I&O)	Mr. O. De Munck (Regularized)
1121	P4	PO, Jakarta Mandate (STTM)	Ms. M. Vierros (Regularized)
1122	P3	PO, Website Officer (I&O)	Mr. D. Ainsworth (Regularized)
1124	P3	Administrative Officer (RMCS)	Ms. N. Patel (Regularized) (Post funded by UNEP)
1126	P3	Environmental Affairs Officer (Biosafety)	Mr. E. Tamale (Regularized)
1127	P3	Legal Affairs Officer (Biosafety)	Mr. W. Damena (Regularized)
1128	P2	Associate Computer Systems Officer (I&O)	Mr. Q. Nguyen (Regularized)
1130	L2	JPO, (BS)	Ms. C. Matheissen (Regularized) (Post funded by Government of Denmark)
1131	P4	PO, Inland Waters (STTM)	Mr. D. Coates (Regularized)

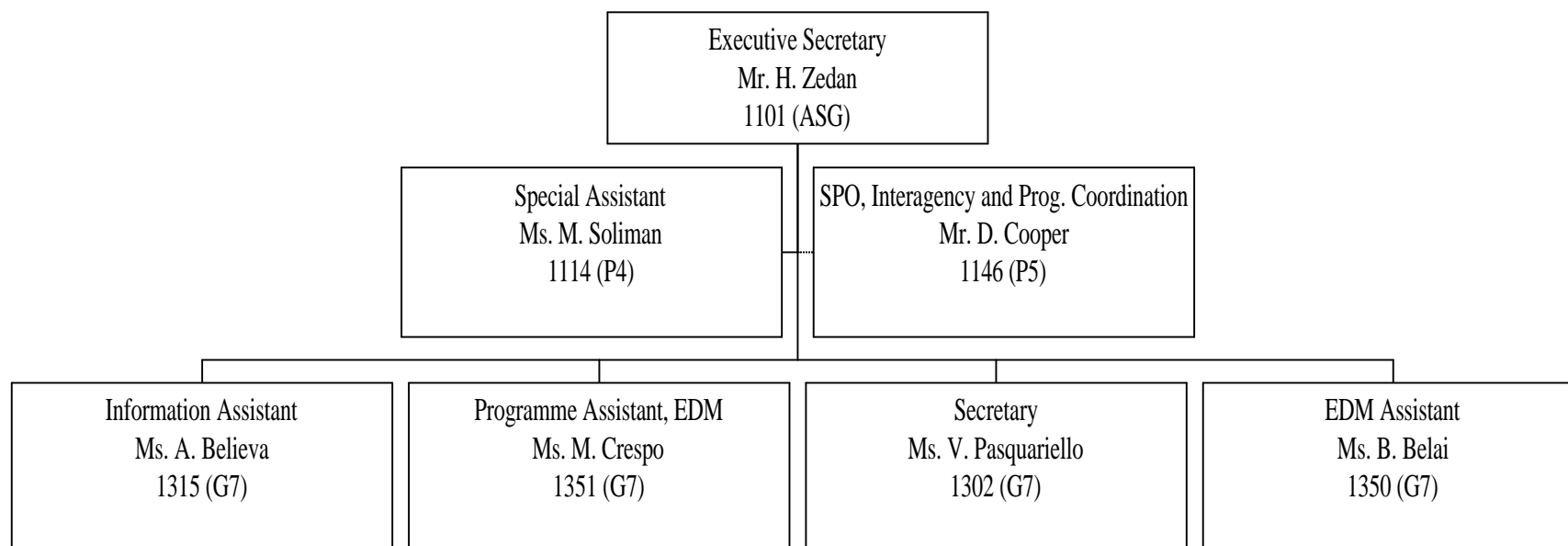
Post #	Level	Title	PROFESSIONAL STAFF
1132	P4	PO, Scientific & Technical Assessments (STTM)	Mr. R. Hoft (Regularized)
1133	P3	PO, Access & Benefit Sharing (SEL)	Ms. V. Normand (Regularized)
1134	P3	PO, Scientific, Technical Information (Biosafety)	Ms. K. Galloway-McLean (Regularized)
1135	P5	Editor (RMCS)	Mr. J. Fry (Regularized)
1136	P2	Associate Finance Officer (RMCS)	Ms. T.C. Fon Sing (Regularized) (Post funded by UNEP)
1137	P4	Taxonomy, PO (STTM)	Vacant (Recruitment in progress)
1139	L2	JPO, Forest (STTM)	Ms. C. Morosi (Regularized) (Post funded by Government of Italy)
1141	P4	PO, Dry and Sub-humid lands (STTM)	Ms. J. Zeidler (Regularized)
1142	P3	PO, Reports (I&O)	Mr. L. Cai (Regularized)
1143	P3	PO, Sustainable Use and Tourism (SEL)	Ms. P. Deda (Regularized)
1144	P4	PO, Legal & Policy Affairs (Biosafety)	Ms. X. Wang (Regularized)
1145	P3	PO, Scientific Assessments (Biosafety)	Mr. R. Hill (Regularized)
1146	P5	SPO, Interagency & Programme Coordination (EDM)	Mr. D. Cooper (Regularized)
1148	P4	PO, In situ- and ex-situ Conservation (STTM)	Mr. V. Gidda (Regularized)
1149	P3	Computer Information Systems Officer, (I&O)	Mr. P. LeBlond (Regularized)

Post #	Level	Title	GENERAL SERVICE STAFF
1301	G8	Finance Assistant (RMCS)	Mr. M. Reyes (Regularized) (Post funded by UNEP)
1302	G7	Senior Secretary to the Executive Secretary (EDM)	Ms. V. Pasquariello (Regularized) (on secondment from ICAO)
1303	G6	Secretary (SEL)	Ms. F. Velarde (Regularized)
1304	G7	Programme Assistant - (STTM)	Mr. D. Hamel (Regularized)
1305	G7	Programme Assistant - (STTM)	Ms. I. Anderson (Regularized)
1306	G7	Programme Assistant - (I&O)	Ms. S. Penney (Regularized)
1307	G7	Programme Assistant - (Biosafety)	Ms. D. Stepic (Regularized)
1308	G7	Programme Assistant - (I&O)	Ms. M. Chiasson (Regularized)
1309	G7	Programme Assistant - (SEL)	Ms. J. Crowley (Regularized)
1310	G6	Administrative Assistant - (RMCS)	Ms. C. Risch (Regularized)
1311	G7	Travel Assistant - (RMCS)	Ms. R. Rabbath (Regularized)

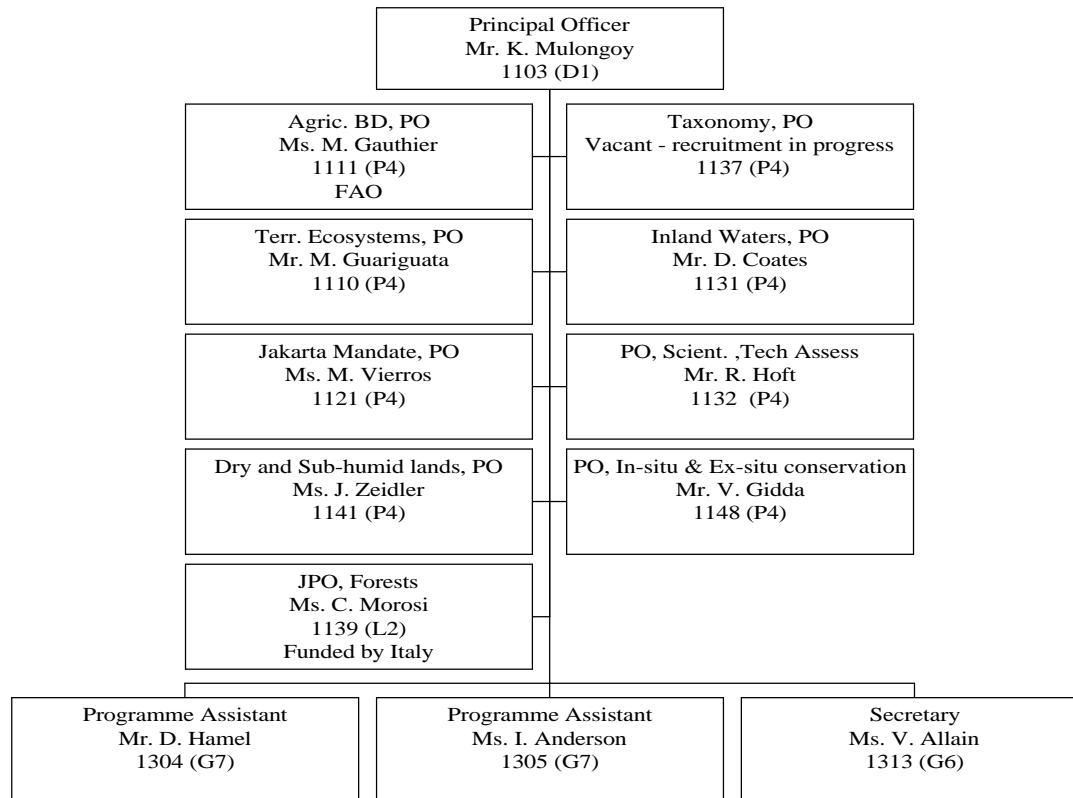
Post #	Level	Title	GENERAL SERVICE STAFF
1312	G7	Personnel Assistant (RMCS)	Ms. J. Martinez (Regularized) (post funded by UNEP)
1313	G6	Secretary - (STTM)	Ms. V. Allain (Regularized)
1314	G6	Secretary - (I&O)	Ms. A. Vincent (Regularized)
1315	G7	Information Assistant (EDM)	Ms. A. Beliaeva (Regularized)
1316	G7	Computer Operations Assistant (I&O)	Mr. V. Gopez (Regularized)
1317	G5	Receptionist (RMCS)	Ms. L. Boutin (Regularized)
1318	G4	Messenger/Clerk (RMCS)	Mr. R. Bellido (Regularized)
1319	G6	Secretary (Biosafety)	Ms. J. Huppé (Regularized)
1329	G7	Programme Assistant, CHM (I&O)	Mr. H. Perea (Regularized)
1331	G7	Programme Assistant (SEL)	Ms. G. Dosen (Regularized)
1332	G5	Information Assistant - (CS/I&O)	Ms. M. Harrison (Regularized). Post funded by UNEP
1334	G5	Fund Mgmt Assistant - (RMCS)	Ms. L. Ali (Regularized). Post funded by UNEP
1337	G5	Conference Assistant – (RMCS)	Ms. T. Zavarzina (Regularized). Post funded by UNEP
1350	GS	EDM Assistant – (EDM)	Ms. B. Belai (Regularized)
1351	G7	Programme Assistant – (EDM)	Ms. M. Crespo (Regularized)
1352	G7	Programme Assistant – (I&O)	Ms. F. D’Amico (Regularized)
1353	G7	Programme Assistant – (Biosafety)	Ms. M. Ocampo (Regularized)
1354	G7	Programme Assistant – Liability, Redress & Sustainable Tourism (SEL)	Ms. R. Rubian (Regularized)
1355	G7	Editorial Assistant (RMCS)	Ms. V. Lefebvre (Regularized)
1356	G6	Travel Assistant – (RMCS)	Ms. N. Al Saheb (Regularized)

Annex II

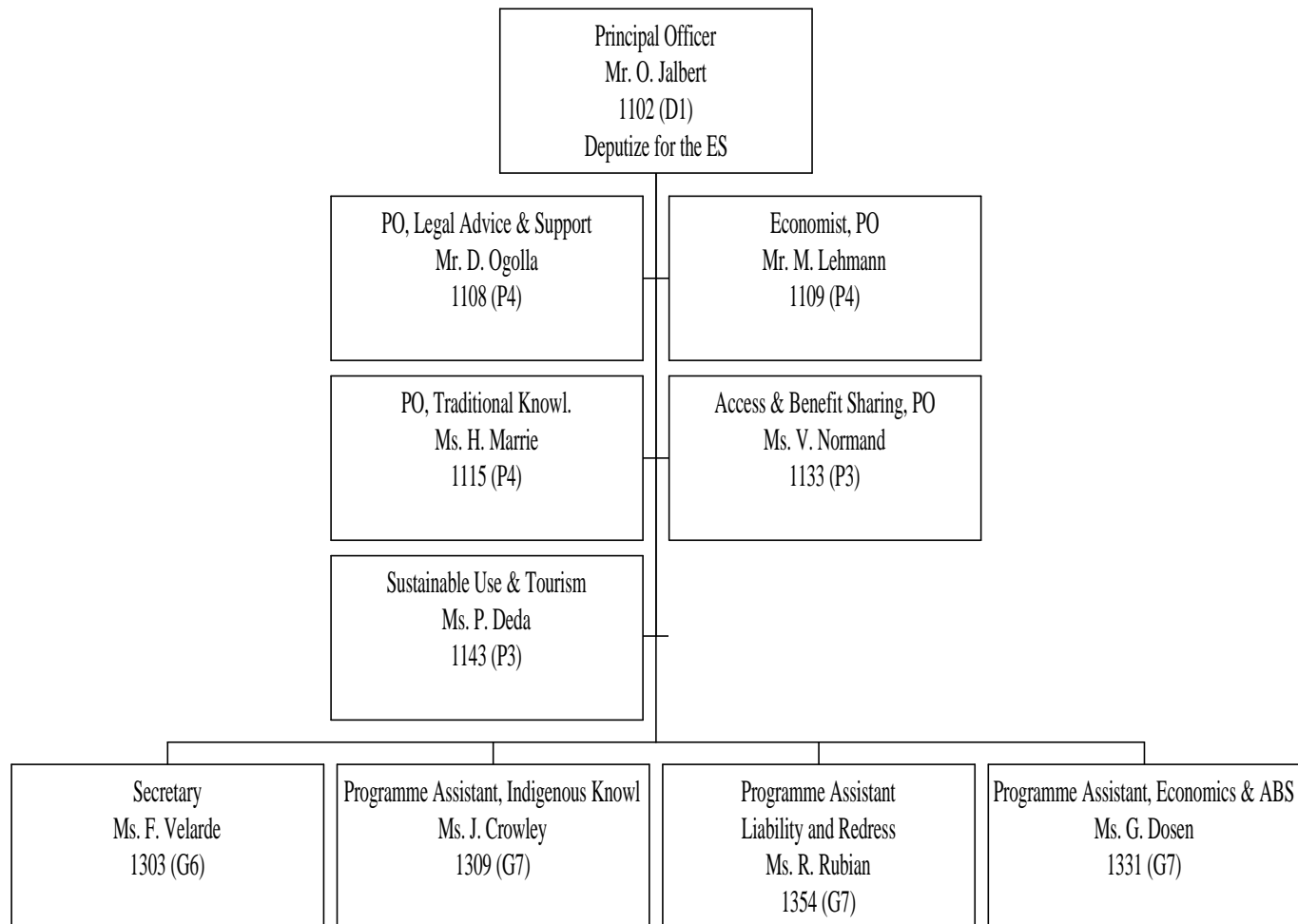
Executive Direction, Management & Inter-governmental Affairs



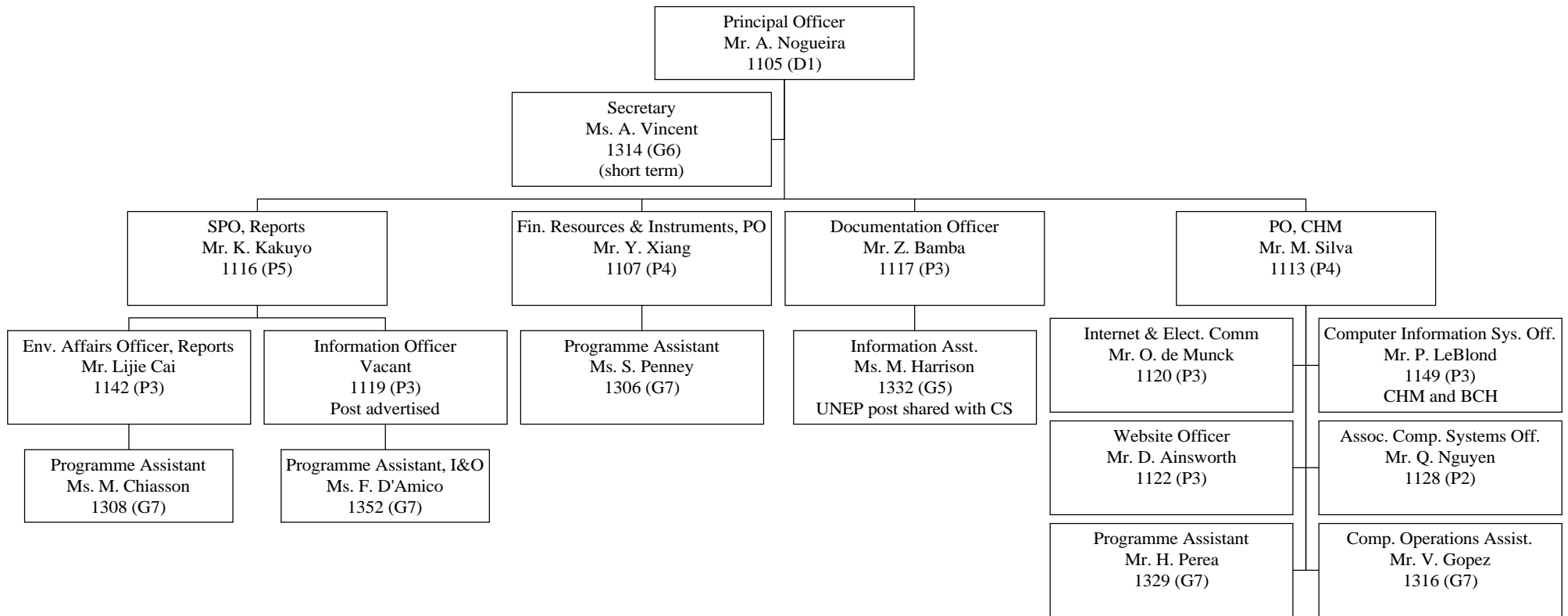
Scientific, Technical & Technological Matters



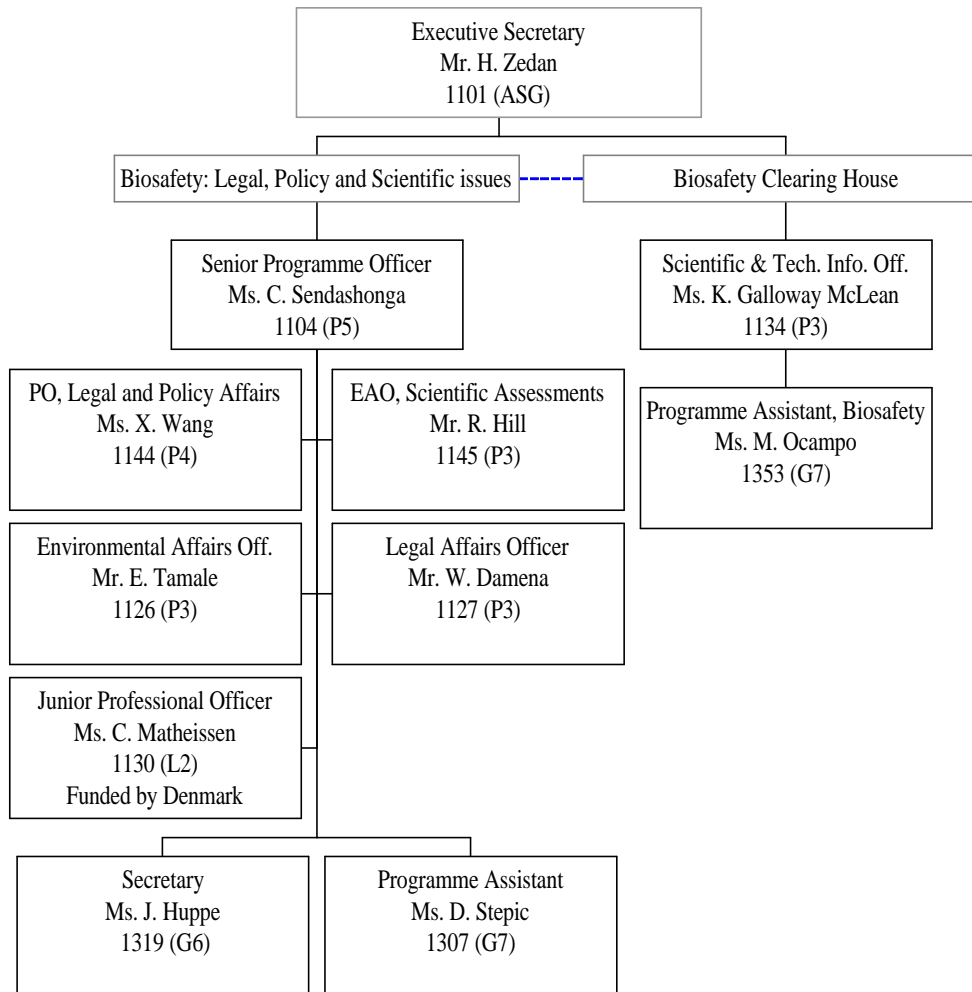
Social, Economic and Legal Matters



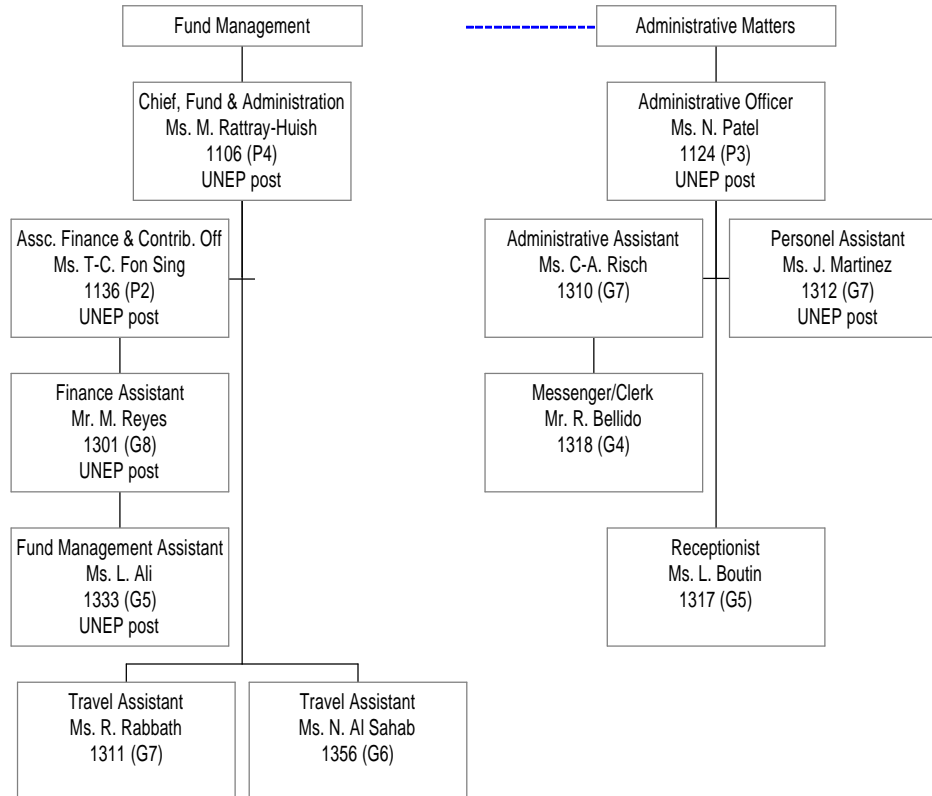
Implementation & Outreach



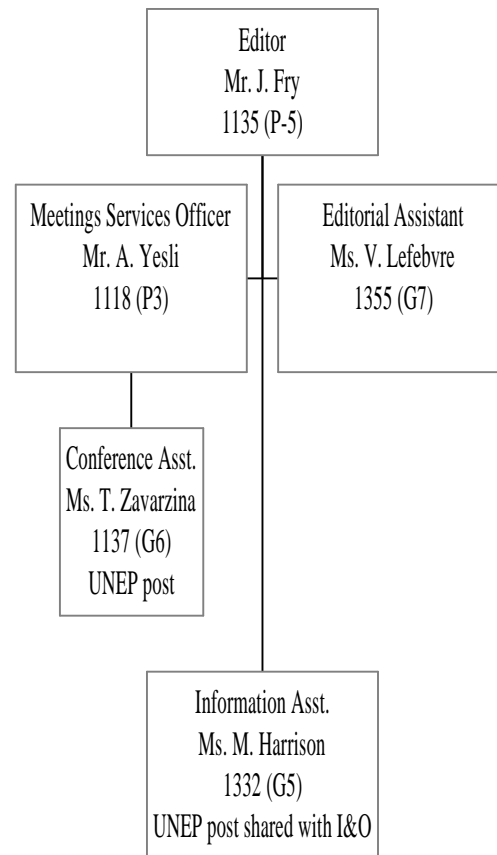
Biosafety Protocol



Resource Management



Conference Services



ANNEX III

GENERAL TRUST FUND FOR ADDITIONAL VOLUNTARY CONTRIBUTIONS IN SUPPORT OF APPROVED ACTIVITIES UNDER
THE CONVENTION ON BIOLOGICAL DIVERSITY (BE)

Status of Contribution as at 30 September 2003 (in United States dollars)

COUNTRIES	Unpaid Pledges as at 31.12.02	Adjustments	Pledges for 2003		Collections in 2003 for prior years	Collections in 2003 for 2003 & futures yrs	Unpaid pledges for 2003 & prior years
Australia			28,386	20/		28,386	0
Belgium			300,000	22/			300,000
Canada	40,498			1/			40,498
Canada			15,924	2/			15,924
Canada			2,222	17/		2,222	0
Denmark	3,415			3/	1,114		2,301
EEC	5,954			4/			5,954
FAO			136,935	19/		136,935	0
Finland			55,025	18/		55,025	0
France	25,000			5/			25,000
France			68,415	23/			68,415
Germany	20,882			6/			20,882
Netherlands	119,896			7/	119,876		20
Netherlands			100,000	8/		100,000	0
Netherlands			383,200	9/			383,200
Norway	100,000			10/			100,000
Spain	25,000			11/			25,000
Sweden	17,523			12/	17,523		0
Sweden	42,493			13/	29,206		13,287
Sweden			50,000	21/		50,000	0
UK			80,000	14/		80,000	0
USA	10,000			15/			10,000
USA	50,000			16/			50,000

Total	460,661	0	1,220,107	167,719	452,568	1,060,481
--------------	----------------	----------	------------------	----------------	----------------	------------------

- 1/Canada pledged Cdn\$125,000 for meetings convened under the Cartagena Protocol on Biosafety
- 2/Canada pledged Cdn\$25,000 towards the preparation of the Composite Report on Traditional Knowledge
- 3/Denmark pledge in support for Open-ended Meeting of Experts on Capacity building, Havana, Cuba, 11-13 July 2001
- 4/EEC pledged EUR 30,000 towards the Workshop on Liability & Redress - Cartagena Protocol on Biosafety, Rome, Italy 2-4 December 2002
- 5/France pledged US\$25,000 for the Technical Expert Group Meeting on Article 18.2(b) and (c), Montreal, Canada, 13-15 March 2002
- 6/Germany pledged for the Ad Hoc Working Group on Access & Benefits Sharing, Bonn, Germany, 22-26 October 2001
- 7/Netherlands pledged \$119,896 for the Workshop on Forest Biological Diversity, Accra, Ghana 28-30 January 2002
- 8/Netherlands pledged US\$100,000 for the Biosafety Clearing House- Case no. 2002.04.152
- 9/Netherlands pledged Euros 400,000 regarding the Letter of Intent for the period 2003
- 10/Norway pledged US\$100,000 for the 4th Workshop on Sustainable Use of Biological Resources, Addis Ababa, 6-8 May 2003
- 11/Spain pledged US\$25,000 for Capacity Building Experts Meeting on the Biosafety Protocol, Havana, Cuba, 11-13 July 2001
- 12/Sweden pledged SEK400,000 towards the cost of a Programme Officer for the Global Taxonomy Initiative in 2001
- 13/Sweden pledged SEK450,000 towards the cost of a Programme Officer for the Global Taxonomy Initiative in 2002
- 14/UK pledged US\$80,000 for the Expert Meeting on Indicators of Biological Diversity, Montreal, Canada, 10-12 February 2003
- 15/USA pledged US\$10,000 for GISP meeting on Global Invasive Species
- 16/USA pledged US\$50,000 for the Technical Expert Meeting on Art 18.2(a), Montreal, Canada, 18-20 March 2002
- 17/Canada pledged Cdn \$3,000 for travel costs of Ms M. Fleming to attend the Traditional Knowledge Meeting in Bolivia, 24-26 February 2003
- 18/Finland pledge Eur 50,000 for Ad Hoc Technical Expert Group on Biodiversity and Climate in Helsinki 2003
- 19/FAO pledged US\$136,935 for the secondment of a FAO Programme Officer to SCBD in 2003
- 20/Australia pledged A\$45,000 towards the preparation of the Composite Report on Traditional Knowledge
- 21/Sweden pledged US\$50,000 for Ad hoc Technical Expert Group Meeting on Protected Areas, Tjarno, Sweden, 10-14 June 2003
- 22/Belgium pledged US\$300,000 towards the support to the Convention on Biological Diversity
- 23/France pledged Eur 60,000 for the Ad Hoc Expert Group Meeting on the Implementation of the programme of work on Forest Biodiversity, Montpellier, France, 24-27 November 2003

ANNEX IV**GENERAL TRUST FUND FOR ADDITIONAL VOLUNTARY CONTRIBUTIONS TO FACILITATE THE PARTICIPATION OF PARTIES
IN THE PROCESS OF THE CONVENTION ON BIOLOGICAL DIVERSITY (BZ)**

Status as at 30 September 2003 (in United States dollars)

COUNTRIES	Unpaid Pledges as at 31.12.02	Adjustments	Pledges for 2003	Collections in 2003 for prior years	Collections in 2003 for 2003 & fut yrs	Unpaid pledges for 2003 & prior years
Austria			14/ 17,120		17,120	0
Denmark	15,513		1/			15,513
Denmark	26,057		2/			26,057
EEC	9,984		3/			9,984
Germany			4/ 14,088		14,088	0
Germany			15/ 27,712			27,712
Ireland			12/ 43,143		43,143	0
Japan			9/ 55,000		55,000	0
Japan			10/ 30,000		30,000	0
New Zealand			5/ 10,000		10,000	0
Norway	33,289		6/	33,289		(0)
Norway			13/ 61,306		61,306	0
Spain			7/ 33,104		33,104	0
Sweden			11/ 71,619		71,619	0
United Kingdom			8/ 120,750		120,750	0
United Kingdom			16/ 31,447			31,447
Total	84,843	0	515,289	33,289	456,130	110,713

1/Denmark pledged for travel expenses for Central and Eastern European countries-5th COP 15-26 Nairobi 2000

2/Denmark pledged for the support of participants from Central and Eastern European countries to CBD meetings

3/EEC pledged Euro 50,000 for the COP-6 and ICCP-3 Meetings in the Hague, the Netherlands on 7-26 May 2002

4/Germany pledged Euros 12,775 for the SBSTTA-8 and the Multi-year Programme of Work Meetings, Montreal, Canada, 10-14 March and 17-20, 2003

5/New Zealand pledged US\$ 10,000 for the SBSTTA-8 Meeting, Montreal, Canada, 10-14 March 2003

6/Norway pledged NOK 250,000 for the Capacity Building Meeting on Access and Benefits Sharing, Montreal, Canada, 2-4 December 2002

7/Spain pledged Euro 30,000 towards the SBSTTA-8 and the Multi-year Programme of Work meetings in Montreal, Canada, 10-20 March 2003

8/UK pledged GBP 75,000 for the SBSTTA-8 Meeting, Montreal, Canada, 10-14 March 2003

9/Japan pledged US\$55,000 towards the COP-7 meeting, Kuala Lumpur, Malaysia - 2004

10/Japan pledged US\$30,000 towards SBSTTA meetings to facilitate participation of developing country Parties

11/Sweden pledged US\$66,414 towards participation of Least Developed Country Parties to meetings of the CBD

12/Ireland pledged Euro 40,000 towards the SBSTTA-9 Meeting, Montreal, Canada, 10-14 November 2003

13/Norway pledged US\$61,306 towards the Ad Hoc Working Group Meeting on Access and Benefit Sharing, Montreal, Canada, 1-5 December 2003

14/Austria pledged Euro 15,000 to facilitate participation of developing Country Parties and of Economies in Transition Country Parties for SBSTTA-9 Meeting

15/Germany pledged Euro 25,550 towards the participation of representatives from Least Developed Countries and Countries with Economies in Transition for SBSTTA-9 Meeting

16/UK pledged GBP 20,000 towards the participation of the Least Developed Country Parties for SBSTTA-9 Meeting

ANNEX V

GENERAL TRUST FUND FOR THE CONVENTION ON BIOLOGICAL DIVERSITY (BY)
as at 30 September 2003

Countries	Unpaid Pledges as at 31/12/02	Pledges for 2003	Collections in 2002 for 2003	Collections in 2003 for prior yrs	Collections During 2003 for 2003 & future yrs	Unpaid Pledges for Prior Years	Unpaid Pledges for 2003	Unpaid Pledges for 2003 & Prior years
	US \$	US \$	US \$	US \$	US \$	US \$	US \$	US \$
Afghanistan	10	830				10	830	840
Albania	164	277				164	277	441
Algeria	15,393	6,473		15,393		0	6,473	6,473
Angola		185	1,799			0	(1,614)	(1,614)
Antigua & Barbuda		185	185			0	0	0
Argentina	224,809	106,252				224,809	106,252	331,061
Armenia	20,329	185				20,329	185	20,514
Australia		150,455	150,455		160,256	0	(160,256)	(160,256)
Austria	0	87,573			87,573	0	0	0
Azerbaijan	1,967	370				1,967	370	2,337
Bahamas		1,110	1,110			0	0	0
Bahrain	1,550	1,665		1,550	1,646	0	20	20
Bangladesh	433	925		433	70	0	855	855
Barbados		832	832			0	0	0
Belarus	74,881	1,757				74,881	1,757	76,638
Belgium	100,658	104,403		100,658	104,403	0	0	0
Belize		92	92			0	0	0
Benin	310	185				310	185	495
Bhutan		92	92			0	0	0
Bolivia	638	740		638	740	0	0	0
Bosnia & Herzegovina	47	369				47	369	416
Botswana	0	925			925	0	0	0
Brazil	30,704	221,012				30,704	221,012	251,716
Bulgaria	0	1,202			1,202	0	0	0
Burkina Faso	646	185		500		146	185	331

Countries	Unpaid Pledges as at 31/12/02	Pledges for 2003	Collections in 2002 for 2003	Collections in 2003 for prior yrs	Collections During 2003 for 2003 & future yrs	Unpaid Pledges for Prior Years	Unpaid Pledges for 2003	Unpaid Pledges for 2003 & Prior years
Burundi	398	92				398	92	490
Cambodia	25	185				25	185	210
Cameroon	2,239	832				2,239	832	3,071
Canada	0	236,548			236,548	0	0	0
Cape Verde	2,362	92				2,362	92	2,454
Central African Rep.	0	92			92	0	0	0
Chad	2,506	92				2,506	92	2,598
Chile		19,604	26,650			0	(7,046)	(7,046)
China	0	141,670				0	141,670	141,670
Colombia	2,891	18,587		2,697		194	18,587	18,781
Comoros	2,506	92				2,506	92	2,598
Congo	1,809	92		1,000		809	92	901
Congo Dem.Rep	4,512	370				4,512	370	4,882
Cook Islands	179	92				179	92	271
Costa Rica	8,391	1,849		3,188		5,203	1,849	7,052
Cote d'Ivoire	1,631	832				1,631	832	2,463
Croatia		3,606	3,606			0	0	0
Cuba	14,893	2,774				14,893	2,774	17,667
Cyprus	0	3,514			3,514	0	0	0
Czech Republic		18,772	18,772			0	0	0
Denmark	0	69,263			69,263	0	0	0
Djibouti	179	92		179	92	0	0	0
Dominica		92	159			0	(67)	(67)
Dominica Rep.	6,034	2,127				6,034	2,127	8,161
Ecuador	1,824	2,312		1,824	2,312	0	0	0
EEC	0	181,063			184,264	0	(3,201)	(3,201)
Egypt	0	7,490			7,490	0	0	0
El Salvador	0	1,665			1,665	0	0	0
Equatorial Guinea	2,506	92				2,506	92	2,598
Eritrea	0	92				0	92	92
Estonia	0	925				0	925	925
Ethiopia		370	370			0	0	0
Fiji		370	684			0	(314)	(314)

Countries	Unpaid Pledges as at 31/12/02	Pledges for 2003	Collections in 2002 for 2003	Collections in 2003 for prior yrs	Collections During 2003 for 2003 & future yrs	Unpaid Pledges for Prior Years	Unpaid Pledges for 2003	Unpaid Pledges for 2003 & Prior years
Finland	0	48,271			48,271	0	0	0
France	0	597,935			597,935	0	0	0
Gabon	6,162	1,295				6,162	1,295	7,457
Gambia	179	92				179	92	271
Georgia	41,191	462				41,191	462	41,653
Germany	0	903,376			903,376	0	0	0
Ghana	0	462				0	462	462
Greece	1,182	49,843		1,182	49,850	0	(7)	(7)
Grenada	91	92				91	92	183
Guatemala		2,497	30			0	2,467	2,467
Guinea		277	277			0	0	0
Guinea-Bissau	2,027	92				2,027	92	2,119
Guyana	1,677	92		1,677	92	0	0	0
Haiti	1,672	185				1,672	185	1,857
Honduras	1,112	462				1,112	462	1,574
Hungary	0	11,097			11,097	0	0	0
Iceland		3,052	3,052			0	0	0
India	100	31,534				100	31,534	31,634
Indonesia	0	18,495				0	18,495	18,495
Iran	14,679	25,153				14,679	25,153	39,832
Ireland		27,187	27,187			0	0	0
Israel	0	38,377			38,377	0	0	0
Italy	0	468,356				0	468,356	468,356
Jamaica	0	370				0	370	370
Japan	0	1,593,350				0	1,593,350	1,593,350
Jordan	1,074	740				1,074	740	1,814
Kazakhstan	54,970	2,589		30,000		24,970	2,589	27,559
Kenya	1,250	740		437		813	740	1,553
Kiribati	88	92				88	92	180
Korea, Dem. People's Rep.		832	832			0	0	0
Korea, Rep. of	0	171,169				0	171,169	171,169
Kuwait	1,951	13,559				1,951	13,559	15,510
Kyrgyzstan	6,185	92				6,185	92	6,277
Lao PDR	91	92		91	92	0	0	0
Latvia	1,550	925				1,550	925	2,475

Countries	Unpaid Pledges as at 31/12/02	Pledges for 2003	Collections in 2002 for 2003	Collections in 2003 for prior yrs	Collections During 2003 for 2003 & future yrs	Unpaid Pledges for Prior Years	Unpaid Pledges for 2003	Unpaid Pledges for 2003 & Prior years
Lebanon	0	1,110				0	1,110	1,110
Lesotho	182	92		182	92	0	0	0
Liberia	343	92				343	92	435
Libya	13,743	6,196				13,743	6,196	19,939
Liechtenstein	0	555			555	0	0	0
Lithuania		1,572	1,572			0	0	0
Luxembourg	0	7,398			7,398	0	0	0
Macedonia	365	555		365		0	555	555
Madagascar		277	11			0	266	266
Malawi	358	185				358	185	543
Malaysia	0	21,731			21,731	0	0	0
Maldives		92	92			0	0	0
Mali	2,362	185				2,362	185	2,547
Malta		1,387	1,387			0	0	0
Marshall Island	0	92			92	0	0	0
Mauritania	1,337	92				1,337	92	1,429
Mauritius		1,017	1,017			0	0	0
Mexico	12,677	100,426		12,677	43,725	(0)	56,701	56,701
Micronesia, Fed. States		92	92			0	0	0
Moldova, Rep. of	20,161	185		2,590	185	17,571	0	17,571
Monaco	0	370			370	0	0	0
Mongolia	182	92		182	92	0	0	0
Morocco	3,738	4,069				3,738	4,069	7,807
Mozambique	91	92				91	92	183
Myanmar	0	925				0	925	925
Namibia	553	647		553	647	0	0	0
Nauru	2,626	92				2,626	92	2,718
Nepal		370	1,667			0	(1,297)	(1,297)
Netherlands	0	160,719			160,700	0	19	19
New Zealand		22,286	22,286			0	0	0
Nicaragua		92	92			0	0	0
Niger	2,362	92				2,362	92	2,454
Nigeria	11,298	6,288		5,570		5,728	6,288	12,016

Countries	Unpaid Pledges as at 31/12/02	Pledges for 2003	Collections in 2002 for 2003	Collections in 2003 for prior yrs	Collections During 2003 for 2003 & future yrs	Unpaid Pledges for Prior Years	Unpaid Pledges for 2003	Unpaid Pledges for 2003 & Prior years
Niue	91	92		91	91	0	1	1
Norway		59,738	59,945			0	(207)	(207)
Oman	0	5,641			5,641	0	0	0
Pakistan	0	5,641			5,379	0	262	262
Palau		92	600			0	(508)	(508)
Panama	0	1,665				0	1,665	1,665
Papua New Guinea	638	555		638	555	0	0	0
Paraguay	6,022	1,480				6,022	1,480	7,502
Peru	25,636	10,912				25,636	10,912	36,548
Philippines	447	9,247		447	5,474	0	3,773	3,773
Poland	0	34,955			34,955	0	0	0
Portugal	360	42,723				360	42,723	43,083
Qatar		3,144	3,144			0	0	0
Romania	0	5,363				0	5,363	5,363
Russian Federation	0	110,968			110,968	0	0	0
Rwanda	1,004	92		1,004	92	(0)	0	(0)
St.Kitts &Nevis		92	92			0	0	0
St. Lucia	0	185			388	0	(203)	(203)
Saint Vincent & Grenadines	1,677	92				1,677	92	1,769
Samoa		92	92			0	0	0
San Marino		185	185			0	0	0
Sao Tome Principe	179	92				179	92	271
Saudi Arabia	50,578	51,230				50,578	51,230	101,808
Senegal	29	462		29	5,471	0	(5,009)	(5,009)
Seychelles		185	1,285			0	(1,100)	(1,100)
Sierra Leone	2,006	92		2,006	96	0	(4)	(4)
Singapore		36,342	36,342			0	0	0
Slovak		3,976	3,976			0	0	0
Slovenia		7,490	7,490			0	0	0
Solomon Is.	2,027	92				2,027	92	2,119
South Africa		37,729	37,729			0	0	0
Spain	0	232,918			232,918	0	0	0
Sri Lanka	2,148	1,480		2,148	40	0	1,440	1,440
Sudan	4,033	555				4,033	555	4,588
Suriname	1,337	185				1,337	185	1,522

Countries	Unpaid Pledges as at 31/12/02	Pledges for 2003	Collections in 2002 for 2003	Collections in 2003 for prior yrs	Collections During 2003 for 2003 & future yrs	Unpaid Pledges for Prior Years	Unpaid Pledges for 2003	Unpaid Pledges for 2003 & Prior years
Swaziland	182	185				182	185	367
Sweden	30	94,947		30	127,753	0	(32,806)	(32,806)
Switzerland		117,812	117,812			0	0	0
Syria		7,398	11,148			0	(3,750)	(3,750)
Tajikistan	1,923	92		1,923		0	92	92
Togo	179	92				179	92	271
Tonga	179	92		179	92	0	0	0
Trinidad & Tobago		1,480	7,188			0	(5,708)	(5,708)
Tunisia	0	2,774				0	2,774	2,774
Tuvalu	0	92				0	92	92
Turkey	0	40,688				0	40,688	40,688
Turkmenistan	7,527	277				7,527	277	7,804
Uganda		462	871			0	(409)	(409)
Ukraine	17,323	4,901		17,323	4,901	0	0	0
U. K.	0	511,935			511,935	0	0	0
United Arab Emirates	409	18,680		409	18,680	0	0	0
Un.Rep. of Tanzania		370	20,103			0	(19,733)	(19,733)
Uruguay	12,429	7,398				12,429	7,398	19,827
Uzbekistan	14,242	1,017				14,242	1,017	15,259
Vanuatu	20	92		20	94	0	(2)	(2)
Venezuela	22,971	19,235				22,971	19,235	42,206
Viet Nam	0	1,480				0	1,480	1,480
Yemen	4,686	555				4,686	555	5,241
Yugoslavia	0	1,849				0	1,849	1,849
Zambia	378	185				378	185	563
Zimbabwe	2,191	740				2,191	740	2,931
Sub Total	919,913	7,257,328	572,402	209,813	3,812,254	710,100	2,872,672	3,582,772
Additional Contributions						0	0	0
Canada		800,000			800,000	0	0	0
USA		0				0	0	0
Quebec		200,000	4,564		195,417	0	19	19
Grand Total	919,913	8,257,328	576,966	209,813	4,807,671	710,100	2,872,691	3,582,791

ANNEX VI**GENERAL TRUST FUND TO SUPPORT DEVELOPING COUNTRIES ON BIOSAFETY ISSUES (BF)**

Status as at 30 September 2003 (in United States dollars)

COUNTRIES	Unpaid Pledges as at 31.12.02		Adjustments	Pledges for 2003	Collections in 2003 for prior yrs	Collections in 2003 for 2003 and future years	Unpaid Pledges for 2003 & Prior yrs
United Kingdom		1/		62,240		62,240	0
Total				62,240	0	62,240	0

1/ UK pledged GBP 40,000 towards the support of the Pilot Phase of the Voluntary Fund for the Biosafety Roster of Experts

ANNEX VII

LIST OF MEETINGS ORGANIZED BY THE
SECRETARIAT OF THE CONVENTION ON BIOLOGICAL DIVERSITY
(as at 30 September 2003)

2003		
DATES	MEETING TITLE	VENUE
16- 17 January 2003	Scoping Meeting of the Advisory Group for the 2 nd Edition of the Global Biodiversity Outlook Report	Montreal, Canada
10-12 February 2003	Expert Group on Indicators of Biological Diversity	Montreal, Canada
19-21 February 2003	Ad hoc Technical Expert Group on Genetic Use Restriction Technologies	Montreal, Canada
24-26 February 2003	Ad hoc Technical Expert Group on Traditional Knowledge and the Clearing House Mechanism	Santa Cruz de la Sierra, Bolivia
9 March 2003	SBSTTA Bureau Meeting	Montreal, Canada
9 March 2003	Meeting of the Informal Advisory Committee of the Clearing House Mechanism	Montreal, Canada
10-14 March 2003	Eighth Meeting of the Subsidiary Body on Scientific, Technical and Technological Advice	Montreal, Canada
15 March 2003	Joint Meeting of the ICCP and COP-6 Bureaux	Montreal, Canada
17-20 March 2003	Open-ended Meeting of the Programme of Work of the Conference of the Parties up to 2010	Montreal, Canada
31 March – 2 April 2003	African Regional Meeting on Capacity Building for the Clearing House Mechanism	Nairobi, Kenya
10-11 April 2003	Biosafety Clearing House Technical Expert Group Meeting	Montreal, Canada
6-8 May 2003	Fourth Workshop on Sustainable Use of Biological Diversity	Addis Ababa, Ethiopia
13-16 May 2003	Workshop of the Ad Hoc Technical Expert Group on Biological Diversity and Climate Change	Helsinki, Finland
21-23 May 2003	2010 – the Global Biodiversity Challenge Meeting	London, U.K.
3-5 June 2003	Workshop on Incentive Measures for the Conservation and Sustainable Use of the Components of Biological Diversity	Montreal, Canada
10-14 June 2003	Meeting of the Ad Hoc Technical Group of Experts on Protected Areas	Tjarnö, Sweden

DATES	MEETING TITLE	VENUE
1-3 July 2003	Ad hoc Technical Expert Group on Mountain Biodiversity	Rome, Italy
7-11 July 2003	Meeting on the further elaboration and Guidelines for the Implementation of the Ecosystem Approach	Montreal, Canada
12-14 August 2003	Joint Latin America and the Caribbean Regional Meeting on the Clearing House Mechanism and the Inter-American Biodiversity Information Network	Cancun, Mexico
28-29 August 2003	Meeting of the Bureau of the Intergovernmental Committee on the Cartagena Protocol on Biosafety	Montreal, Canada
9-11 September 2003	Central and Eastern European Regional Meeting on the Clearing House Mechanism and the European the Clearing House Mechanism	Czech Republic
18 September 2003	Liaison Group meeting on Protected Areas (in conjunction with the World Congress on Protected Areas)	Durban, South Africa