



# CONVENTION ON BIOLOGICAL DIVERSITY

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## QUARTERLY REPORT ON THE ADMINISTRATION OF THE CONVENTION ON BIOLOGICAL DIVERSITY (January - March 2002)

Note by the Executive Secretary

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## I. INTRODUCTION

1. This report is prepared in keeping with decision III/24 of the Conference of the Parties which requested the Executive Secretary to prepare a quarterly report on the administration of the Convention including such matters as the staff lists, status of contributions, progress on the implementation of the medium term work programme and financial expenditures. The requirements to report on a regular basis to the Parties were further elaborated under the Administrative Arrangements between the Secretariat and UNEP which were endorsed by the Conference of the Parties in decision IV/17.
2. The Executive Secretary has prepared this Quarterly Report which contains a summary of key activities implementing the decisions of the Conference of the Parties and other relevant matters during the period 1 January to 31 March 2002.

## II. EXECUTIVE DIRECTION AND MANAGEMENT

3. During the first quarter of 2002, and with financial support from the Government of the Netherlands, the Secretariat organized two workshops on the Sustainable Use of Biological Diversity: one in Hanoi, Vietnam, from 9-12 January which focused on forest biodiversity, including timber and non-timber forest resources, and the second in Salinas, Ecuador from 18-21 February to address marine biodiversity and freshwater fisheries.
4. The *Ad hoc* Technical Expert Group on Biological Diversity and Climate Change met in Helsinki, Finland, from 21-25 January 2002 to consider the impact of climate change on forest biological diversity and to prepare scientific advice to integrate biodiversity considerations into the implementation of the United Nations Framework Convention on Climate Change (UNFCCC). Moreover, the Secretariat organized a workshop on Forests and Biological Diversity in Accra, Ghana from 28-30 January 2002 to discuss synergies and avoid duplications between the work of the United Nations Forum on Forests (UNFF), the Collaborative Partnership on Forests (CPF) and the CBD.
5. Moreover, the Secretariat organized a first meeting of the *Ad hoc* Technical Expert Group on Dry and Sub-humid Lands in Montreal, from 18-22 March 2002.
6. In January, the Secretariat participated actively in the second preparatory session of the Commission on Sustainable Development (CSD) acting as the Preparatory Committee for the World Summit on Sustainable Development (WSSD) that was held in New York. On the margins of the meeting, the Secretariat organized the second meeting of the Liaison Group of the Secretariats and Officers of the relevant Subsidiary Bodies of the United Nations Framework Convention on Climate Change (UNFCCC), the United Nations Convention to Combat Desertification (UNCCD) and the CBD. The deliberations focused on promoting synergies between the three secretariats particularly on forests as well as coordination of the representation in the relevant events including the respective inputs, roles and responsibilities.
7. In preparation for the sixth meeting of the Conference of the Parties (COP-6), the Secretariat participated in the second Pan-European Intergovernmental Conference "Biodiversity in Europe", which was held in Budapest, Hungary from 24-28 February. In the same vein, the Secretariat organized three regional preparatory meetings for COP-6 as follows: for the Asian region in Bangkok, Thailand (18-20 March), for Latin America and the Caribbean region in Kingston, Jamaica (18-20 March) and for the African region in Nairobi, Kenya (19-21 March 2002).

8. The second meeting of the *Ad hoc* Open-ended Working Group on Article 8(j) was held in Montreal from 4-8 February 2002. On the margins of this meeting, and in response to decision V/5, the Secretariat held on 3 February, one-day informal consultations on the impact of genetic use restriction technologies (GURTS) on indigenous and local communities and farmers' rights.
9. The Secretariat organized a technical expert group meeting on the Global Strategy for Plant Conservation in the Canary Islands, Spain, from 11-13 February 2002.
10. The regional meeting on the Pilot Phase of the Biosafety Clearing-house for the Central and Eastern Europe region was organized in Nitra, the Slovak Republic from 5-9 February in conjunction with the UNEP-GEF workshop on national biosafety frameworks. A similar meeting for the Asia Pacific region was held in Beijing, China from 4-8 March 2002.
11. Furthermore, and pursuant to recommendation 2/10 of the second meeting of the Intergovernmental Committee for the Cartagena Protocol on Biosafety (ICCP), the Secretariat organized in Montreal two meetings on Article 18 of the Biosafety Protocol (Handling, Transport, Packaging and Identification): Technical Expert Meeting on Article 18.2 (b) and (c) from 13-15 March and an Open-ended meeting on Article 18.2 (a) from 18-20 March 2002.
12. In response to decision V/14, the Secretariat organized an informal meeting on Formats, Protocols and Standards for Improved Exchange of Biodiversity-related Information, which was held in Montreal, from 19-20 February 2002.
13. The Secretariat was represented in various important international meetings namely: the eleventh session of the OECD Working Group on Regulatory Oversight in Biotechnology (Paris, France, 14-16 January), the Millennium Ecosystem Assessment Board Meeting (Kuala Lumpur, Malaysia, 14-16 January), the Workshop on Options for Progressing Technology Transfer Cooperation and Development at the World Summit for Sustainable Development (London, United Kingdom, 17-18 January), the FAO/IPCC Expert meeting on Forest-related Definitions (Rome, Italy, 23-25 January), the meeting of the Inter-agency Steering Group for the Permanent Forum on Indigenous Issues (Geneva, Switzerland, 23-24 January), the meeting of the Working Group on Economic Instruments (Geneva, Switzerland, 31 Jan. – 1 Feb.), the UNDP Equator Initiative (New York, 1 February), the workshop on Indicator Development for the World Water Development Report (Rome, 6-8 February), the seminar on the programme of work of the CBD on inland waters ecosystems (Rome, 11 February), the Asian regional Global Taxonomy meeting (Tsukuba, Japan, 12 February), the Sustainable Conservation Finance Retreat (Maryland, USA, 12-13 February), the Steering Committee meeting for the regional project to advance the collection and dissemination of biodiversity information (Kyoto, Japan, 13-14 February), the seventh special session of the Governing Council/Global Ministerial Environment Forum (Cartagena, Colombia, 13-15 February), the Expert Consultation on Biosafety and Environmental Impact of Genetic Enhancement and Introduction of Improved Strains/Alien Species in Africa (Nairobi, Kenya, 20-23 February), the Steering Committee meeting of the UNEP-GEF Project on the development of national biosafety frameworks (Geneva, Switzerland, 25 February), the IUCN/FIELD Workshop on the Guide to the Cartagena Protocol on Biosafety (Bonn, Germany, 28 Feb. – 3 March), the meeting of the Collaborative Partnership on Forests (CPF) (New York, 9 March) and the high level ministerial segment (New York, 13-14 March) as part of the second session of the United Nations Forum on Forests (UNFF), the fourth session of the Interim Commission on Phytosanitary Measures of the International Plant Protection Convention (Rome, Italy, 11-15 March), the first meeting of the Scientific and Technical Advisory Group (STAG) of the Global Biodiversity Information Facility (GBIF) (Sydney, Australia, 15-16 March) and the fourth meeting of the GBIF Governing Board (Canberra, Australia, 19-21 March), and an informal meeting on the Strategic Plan (Spain, 22 March).

14. Recruitment of several professional posts is well underway, including two senior officers. The selected candidates are expected to report on duty during the second quarter of 2002.

### III. IMPLEMENTATION OF THE ADMINISTRATIVE ARRANGEMENTS

#### A. Personnel Arrangements

15. During the reporting period, the Secretariat had **27** regularized Professional staff members and **20** regularized General Service staff members funded from the core budget. Additionally, **8** Professional posts and **5** General Service posts were funded from other sources. Between January and March 2002 the following staff member joined the Secretariat: Ms. Inhee Chung (Junior Professional Officer) (STTM). For more information, please refer to the attached staff list and organigram (Annex I Appendix 1, and Annex II respectively).

#### B. Financial Arrangements

16. With regard to budgetary matters, as at 31 March 2002, of the total pledged contributions of US\$6,911,000 for 2002 to the General Trust Fund for the Convention on Biological Diversity (**BY Trust Fund**), the total contributions received amounted to US\$2,821,584. Total unpaid pledges to the BY Trust Fund for prior years amounts to US\$914,545. Details of the BY Trust Fund are contained in Annex V.

17. Several Parties have contributed additional voluntary funds in support of approved activities under the Convention (**BE Trust Fund**). As of 31 March 2002, the total amount pledged for 2002 amounts to US\$634,731. The total collected for 2002 as at 31 March 2002 was US\$505,000 and an additional \$202,277 has been collected in 2002 for prior years. Total unpaid pledges for 2002 and prior years to the BE Trust Fund is US\$802,887. Details of the BE Trust Fund are contained in Annex III.

18. Pledges totalling US\$236,358 have so far been made as additional voluntary contributions to facilitate the participation of Parties to the Convention Process (**BZ Trust Fund**) in 2002, of which US\$209,381 has already been paid. Total unpaid pledges for 2002 and prior years to the BZ Trust Fund amount to US\$215,901. Details of the BZ Trust Fund are contained in Annex IV.

19. Invoices have been sent to the 183 Parties to the Convention, inviting them to pay their contributions to the General Trust Fund for 2002. As at 31 March 2002 fifty countries have totally or partially paid their assessed contributions for 2002 representing 41% of the total amount pledged to the Trust Fund in 2002.

### IV. IMPLEMENTATION OF THE DECISIONS OF THE CONFERENCE OF THE PARTIES

#### SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL MATTERS

20. Protected areas will be one of the three main issues for in-depth consideration at the seventh meeting of the Conference of the Parties. In this regard, the Secretariat has drafted several related documents for COP 6. The preparatory process for COP 7 in relation to protected areas is discussed in depth in document UNEP/CBD/COP/6/2. This document, which presents a detailed stepwise approach, including means and expected outputs, was developed in collaboration with the IUCN World Congress on Protected Areas (WCPA), the UNEP World Conservation Monitoring Centre (UNEP-WCMC), The World Resources Institute (WRI), and the UNESCO Man and Biosphere Programme.

21. COP 6 is also expected to approve a format for a thematic report on protected areas. This format, including questions and standard answers, is incorporated in document UNEP/CBD/COP/6/5/ADD5.

22. Additionally, two information documents have been produced on the topic. Document UNEP/CBD/COP/6/INF/16 discusses the role of protected areas within the Convention, while document UNEP/CBD/COP/6/INF/25 discusses the need for, and the steps required for, assessing the status of the world's protected areas.

#### **DECISION V/2: PROGRESS REPORT ON THE IMPLEMENTATION OF THE PROGRAMME OF WORK ON THE BIOLOGICAL DIVERSITY OF INLAND WATER ECOSYSTEMS (IMPLEMENTATION OF DECISION IV/4)**

##### Inland water ecosystems biological diversity

24. A report on the progress made in implementation of the programme of work on the biological diversity of inland water ecosystems is contained in document UNEP/CBD/COP/6/11.

25. The Secretariat, Ramsar Bureau and Wetlands International agreed on collaboration for preparation of a liaison group meeting to review, refine and elaborate the programme of work on biological diversity of inland water ecosystems. Financial support for the meeting will be provided by the Government of the Netherlands. The meeting is tentatively scheduled for late June.

26. After a peer review, the Executive Secretary finalized a document on the CBD Ramsar approaches to criteria and classification of inland water ecosystems (for national elaboration of Annex I to the Convention on Biological Diversity as pertinent to inland water ecosystems). The paper will be discussed in the eighth meeting of SBSTTA, (SBSTTA-8). The Secretariat continued its collaboration with the World Water Assessment Programme. The Secretariat participated in a workshop on indicator development for the World Water Development Report. The meeting was held in Rome from 6 to 8 February 2002. The meeting adopted a Pressure-State-Response framework for consideration in indicator selection.

27. The Secretariat participated in a seminar on the CBD programme of work on biological diversity of inland water ecosystems in FAO, Rome, on 11 February 2002. The Secretariat made a presentation on the programme of work on biological diversity of inland water ecosystems. Programme officers from Inland Water Resources and Aquaculture Services (FIRI) participated in the seminar. Participants explored ways on how to expand the co-operation between CBD and the FIRI of the FAO.

#### **DECISION V/3 PROGRESS REPORT ON THE IMPLEMENTATION OF THE PROGRAMME OF WORK ON MARINE AND COASTAL BIOLOGICAL DIVERSITY (IMPLEMENTATION OF DECISION IV/5)**

##### Marine and coastal biological diversity

28. Work on pre-session and information documents for COP 6 has been completed. A report on the progress made in implementations of decisions IV/5 and V/3 on marine and coastal biological diversity is contained in document UNEP/CBD/COP/6/11. In addition, two information documents have been produced. Document UNEP/CBD/COP/6/INF/32 presents an analysis of integrated marine and coastal area management documents in relation to the Convention's objectives, while document UNEP/CBD/COP/6/INF/41 presents an analysis of national-level implementation of the programme of work on marine and coastal biological diversity, based on 1<sup>st</sup> and 2<sup>nd</sup> national reports and National Biodiversity Strategies and Action Plans.

29. Preparations are underway for the second meeting of the ad hoc technical expert group on marine and coastal protected areas, which will take place from 20 to 24 May 2002 in Nelson, New Zealand. The core expert group and observers will meet to discuss the results of their intersessional work and to finalize

the outputs mandated by their Terms of Reference. The expert group report, recommendations, and other outputs will be presented to SBSTTA-8.

30. Preparations are also underway for the first meeting of the ad hoc technical expert group on mariculture, which will be held in Rome, Italy, from 1 to 5 July 2002. The meeting will be held in collaboration with the FAO. The Executive Secretary invited Parties to submit, by 15 February 2002, the name of an expert who may be called upon to serve in this expert group. To date, a number of nominations have been received, and the final selection process is currently on going.

31. The Secretariat is providing input to the International Global Observing Strategy (IGOS) Partnership's Coral Reef theme. This activity will provide an opportunity to ensure that earth observing systems are utilized to meet the coral reef assessment and monitoring needs of the Parties to the Convention.

#### **DECISION V/4      PROGRESS REPORT ON THE IMPLEMENTATION OF THE PROGRAMME OF WORK FOR FOREST BIOLOGICAL DIVERSITY**

##### Forest biological diversity

32. The Secretariat prepared the following pre-session documents for COP6:

- (i) Forest biological diversity. Elaboration of elements for an expanded work programme on forest biological diversity - relation to the existing work programme, activities of the United Nations Forum on Forests, and framework for selecting priorities and defining activities (UNEP/CBD/COP/6/17)
- (ii) Potential priorities for the proposed expanded programme of work on forest biological diversity (UNEP/CBD/COP/6/17/ADD1)
- (iii) Summary report of the work of the first meeting of the ad hoc technical expert group on biological diversity and climate change, Helsinki, 21-25 January 2002 (UNEP/CBD/COP/6/17/ADD2)
- (iv) Summary report of the workshop on forests and biological diversity, Accra, Ghana, 28-30 January 2002 (UNEP/CBD/COP/6/17/ADD3)

33. In addition, the Secretariat prepared the following four information documents for COP-6. The document (iii) is submitted by FAO, on behalf the expert meeting organized by FAO and UNFCCC in collaboration with CIFOR. Document (iv) presents views of Parties requested by the Executive Secretary (Notification 2001-12-05/02) on possible priorities of the draft programme of work on forest biological diversity. Comments provided to the Secretariat are given on the CBD web site.

- (i) Forest biological diversity. Report of the workshop on forests and biological diversity, Accra, Ghana, 28-30 January 2002 (UNEP/CBD/COP/6/INF/7)
- (ii) Forest biological diversity. Elaboration of elements for an expanded work programme on forest biological diversity - Potential actors, suggested timeframes and performance measures of proposed activities (UNEP/CBD/COP/6/INF/9)



- (iii) Forest biological diversity. Report on the expert meeting on harmonization of forest-related definitions for use by various stakeholders, Rome, 23-25 January 2002 (UNEP/CBD/COP/6/INF/26)
- (iv) Forest biological diversity. Submission form Parties (UNEP/CBD/COP/6/INF/27)

34. The Secretariat organized jointly with the Secretariat of the United Nations Forum on Forest the Workshop on forests and biological diversity in Accra, Ghana, from 28 to 30 January 2002. The Government of Ghana, with financial support from the Government of the Netherlands, hosted the Workshop. It was attended by 43 experts representing 22 countries, several members of the Collaborative Partnership on Forests, Indigenous Peoples organizations, IUFRO, Greenpeace International and the SBSTTA Bureau. The Workshop identified a common basis and some immediate actions that would effectively increase collaboration between the CBD, the United Nations Forum on Forests (UNFF) and members of the Collaborative Partnership on Forests (CPF). Suggested details of the collaborative framework and proposed topics for joint activities are presented in the report of the Workshop (UNEP/CBD/COP/INF/7).

35. The Secretariat participated in the second session of the United Nations Forum on Forests in New York, 4-15 March 2002. During this session, the Secretariat participated also in the CPF meeting and the informal CPF network meeting.

36. The Joint Liaison Group of the three Convention (CBD, UNFCCC and UNCCD) is organizing a joint workshop entitled "Identifying and promoting synergies through forests and forest ecosystems: a joint workshop between CBD, UNCCD and UNFCCC". The workshop will be held in July 2002. The selection of the participants in the Workshop is currently underway.

## **DECISION V/5 AGRICULTURAL BIOLOGICAL DIVERSITY: REVIEW OF PHASE I OF THE PROGRAMME OF WORK AND ADOPTION OF A MULTI-YEAR WORK PROGRAMME**

### Agricultural biological diversity

37. A report on the progress made in implementation of the programme of work of the agricultural biological diversity is contained in document UNEP/CBD/COP/6/11.

38. The United Nations University and the International Plant Genetic Resources Institute have published a CD containing the proceedings of the symposium "Managing Biodiversity in Agricultural Ecosystems", which will also be made available at COP 6. The symposium was organized in collaboration with CBD Secretariat, in Montreal, Canada, from 8 to 10 November 2001.

### Genetic use restriction technologies (GURTs)

39. The Executive Secretary convened an informal consultation on the potential impacts of the application of genetic use restriction technologies (GURTs) on indigenous peoples and local communities and on Farmers' Rights, in Montreal, on 3 February 2002, prior to the second meeting of the Ad Hoc Open-ended Inter-Sessional Working Group on Article 8(j) and Related Provisions of the Convention. Twenty-two participants were present including representatives from Parties, non-governmental organizations and universities. The results were reported to the Article 8(j) meeting.

40. The results of the informal consultation will be presented to the Conference of the Parties at its sixth meeting. The document UNEP/CBD/COP/6/11/ADD1 reports on the impacts of the application of genetic use restriction technologies on indigenous and local communities and on farmers' rights. The information document UNEP/CBD/COP/6/INF/8 is the report of the informal consultation on the potential impacts of the application of the genetic use restriction technologies on indigenous and local communities and on farmers' rights.

### Trade Liberalization

41. The Secretariat prepared a draft document on the "Impact of trade liberalization on agricultural biological diversity" and invited Parties and international organizations, including the World Trade Organization (WTO), to provide comments on the overall balance and soundness of the scientific, technical and socio-economic aspects in the documentation. The revised document is available to the Conference of the Parties in its sixth meeting as an information document (UNEP/CBD/COP/6/INF/2).

## **DECISION V/6 ECOSYSTEM APPROACH**

### Ecosystem approach

42. A report on the progress made in implementation of the decision V/6 on the Ecosystem Approach is contained in the document UNEP/CBD/COP/6/12 (section VII A).

43. Work on the ecosystem approach is ongoing through the elaboration of the concept in the different programmes of work with regard to the thematic areas and cross-cutting issues of the Convention. The approach has been the object of particular attention in the work on Sustainable Tourism, Forest Biological Diversity and Environmental Impact Assessment. In preparation to COP 7 and its proposed item 5.1 "Ecosystem approach: further elaboration and guidelines for implementation" the Secretariat is exploring with some institutions and networks (e.g. the CGIAR's Task Force on Integrated Natural Resource Management, FAO, UNESCO, Ramsar Convention, and others) ways and means to gather and compile additional information in order to facilitate the implementation of paragraph 3 of decision V/6 on ecosystem approach. This requests the Executive Secretary to collect, analyse and compare the case studies, and to prepare a synthesis of case studies and lessons learned for presentation at the SBSTTA meeting prior to the COP-7.

## **DECISION V/7 IDENTIFICATION, MONITORING AND ASSESSMENT AND INDICATORS**

44. A report on the progress made in implementation of decision V/7 on identification, monitoring and assessment is contained in the document UNEP/CBD/COP/6/12 (section II).

45. Discussions are also underway for possible co-operation with the International Association for Impact Assessment (IAIA) on the integration of biodiversity aspects into environmental and strategic impact assessments.

## **DECISION V/8 ALIEN SPECIES THAT THREATEN ECOSYSTEMS, HABITATS OR SPECIES**

### Invasive alien species

46. The Executive Secretary prepared document UNEP/CBD/COP/6/18 on review and consideration of options for the implementation of Article 8(h), on alien species that threaten ecosystems, habitats or species.

47. Taking into account the glossaries of the Global Invasive Species Programme (GISP) contained in UNEP/CBD/SBSTTA/6/INF/5 on review of the efficiency and efficacy of existing legal instruments applicable to invasive alien species, the International Plant Protection Convention (IPPC) and IUCN, Article 3 (Use of terms) of the Cartagena Protocol on Biosafety and other relevant documents, as well as comments from GISP and the IPPC secretariat, the Executive Secretary prepared a list of standard terms on alien species for submission to the Conference of the Parties at its sixth meeting (UNEP/CBD/COP/6/18/Add.1/Rev.1).

## **DECISION V/9 GLOBAL TAXONOMY INITIATIVE: IMPLEMENTATION AND FURTHER ADVANCE OF SUGGESTIONS FOR ACTION**

### Global Taxonomy Initiative

48. The Secretariat has continued to encourage regional meetings in order to identify national and regional priority taxonomic information requirements and determine capacity development needs, and has been active in encouraging the adoption of CBD objectives in meetings planned for South-East Asia, the Andean countries and North America. In furtherance of the South-East Asian workshop the Secretariat participated in a steering group meeting held in Tsukuba, Japan in February. The venue of the meeting, Kuala Lumpur in September 2002, was announced at COP 6 by Malaysia. The GTI participated in a Joint Informal Meeting of the Convention on Biological Diversity and the Global Invasive Species Programme on Formats, Protocols and Standards for Improved Exchange of Biodiversity-related Information, the report of which was presented to the Conference of the Parties. The Programme of Work of the Global Taxonomy Initiative was discussed by the Conference of the Parties in their sixth meeting, and is presented in Decision VI/8. A brochure has been produced outlining the basis of the GTI.

## **DECISION V/10 GLOBAL STRATEGY FOR PLANT CONSERVATION**

### Global Plant Conservation Strategy

49. A report on the progress made in implementation decision V/9 on the Global Taxonomy Initiative is contained in document UNEP/CBD/COP/6/12 (section IV).

50. In its recommendation VII/8, SBSTTA requested *inter alia* the Executive Secretary to carry out the following inter-sessional work: i) to refine the quantitative elements of the targets in the draft strategy providing a scientific and technical rationale in each case, and clarifying terms as necessary (paragraph 2); to prepare an analysis of the opportunities for implementation of the strategy through the thematic and cross-cutting programmes of work of the Convention, including in particular the Ecosystem Approach and the Global Taxonomy Initiative, as well as through existing relevant international, regional and national initiatives, and of any gaps in these programmes and initiatives (paragraph 3), and; to invite Parties, Governments and relevant organizations to provide information to the Executive Secretary on relevant international, regional and national initiatives (paragraph 4).

51. In response to these requests, the Secretariat organized a technical expert meeting on the Global Strategy for Plant Conservation from 11 to 13 February 2002 in Gran Canaria, Spain. Twenty-two participants attended the meeting. The meeting was organized with the support of Botanic Gardens Conservation International, U.K. and Jardín Botánico Canaria "Viero y Clavijo", Spain. The meeting adopted the 16 reviewed targets to be presented for consideration by COP-6. Details of the work of the technical expert group are given in the report of the group (UNEP/CBD/GSPC/1/6).

52. The meeting also prepared the following documents, which were submitted after some finalization to COP6.

- (i) Cross-cutting issues: Progress reports on implementation of Global strategy for plant conservation of the Convention on Biological Diversity. Technical review of the targets and analysis of opportunities for their implementation (UNEP/CBD/COP/6/12/ADD4).
- (ii) Global strategy for Plant Conservation. Technical review of the targets and analysis of opportunities for their implementation: Report of the meeting of technical experts on the global plant conservation strategy (UNEP/CBD/COP/6/INF/21).
- (iii) A review of the scope, terminology, base-line information, technical and scientific rationale of the 16 draft targets included in the proposed Global Strategy for Plant Conservation, with particular reference to the quantitative elements they contain (UNEP/CBD/COP/6/INF/21/ADD1).
- (iv) Opportunities for the implementation of the Global Strategy for Plant Conservation through the thematic and cross-cutting programmes of work of the Convention on Biological Diversity (UNEP/CBD/COP/6/INF/21/ADD2).
- (v) Global Strategy for Plant Conservation. Nationally based initiatives that can contribute to the implementation of the strategy (UNEP/CBD/COP/6/INF/21/ADD3).
- (vi) Examples of regional and international initiatives relevant to the 16 targets (UNEP/CBD/COP/6/INF/21/ADD4).

**DECISION V/23 CONSIDERATION OF OPTIONS FOR CONSERVATION AND SUSTAINABLE USE OF BIOLOGICAL DIVERSITY IN DRYLAND, MEDITERRANEAN, ARID, SEMI-ARID, GRASSLAND AND SAVANNAH ECOSYSTEMS**

Dry and sub-humid lands biological diversity

53. The Secretariat prepared a Progress report on the implementation of programmes of work on the biological diversity of dry and sub-humid lands. The report is contained in document UNEP/CBD/COP/6/11.

54. The Secretariat organized the first meeting of the Ad Hoc Technical Expert Group on Dry and sub-humid Lands in Montreal from 18 to 22 March 2002. It was attended by participants nominated by National Focal Points, and representatives from UNCCD, FAO, UNEP-WCMC, WORLD BANK, and indigenous and local communities. The report of the first AHTEG-meeting on dry and sub-humid lands will be available at COP 6 as an information document (UNEP/CBD/COP/6/INF/39).

55. The meeting agreed that the draft programme of work in COP decision V/23 constitutes a comprehensive programme that will allow the conservation of dry and sub-humid lands biodiversity, the sustainable use of its components, and the fair and equitable sharing of benefits derived from the utilization of dry and sub-humid lands genetic resources. The meeting discussed the following substantive issues:

56. Consolidation and assessment of information on status and trends of biodiversity of dry and sub-humid lands; the possible establishment of an international network; indicators of the status and trends; processes affecting biodiversity; global benefits derived from biodiversity, and socio-economic impacts of :

- i) Biodiversity loss, including the interrelationship between biodiversity and poverty;
- ii) Assessment of the progress and the effects of the specific measures;
- iii) Scoping of the inter-sessional work and preparation of the second meeting to be held in the second or third week of August 2002;

## **DECISION V/24 SUSTAINABLE USE AS A CROSS-CUTTING ISSUE**

### Sustainable use

57. The Secretariat prepared a progress report on activities in Sustainable use of biological diversity, and in Sustainable use and tourism, for the COP6 (UNEP/CBD/COP/6/12, Section VII B and C).

58. The Secretariat organized two regional workshops - in a series of three – to develop operational guiding principles for the sustainable use of biological diversity. The regional workshop in Hanoi, Vietnam from 9 to 12 January 2002, focused on a number of key axioms and guiding principles, related to the sustainable use of forest biological diversity and agro biodiversity. The Hanoi guiding principles were then further developed in Salinas, Ecuador from 18 to 21 February 2002 into operational guidelines, related to the sustainable use of marine and coastal biological diversity, the use of terms, indicators for monitoring sustainable use and practical implementation guidelines. About 30 participants, nominated by the countries in specific regions, attended the workshops. Also experts of the international organizations, such as CITES, FAO, IUCN, ITTO and WWF contributed to the work.

59. The reports of the three regional workshops on sustainable use of biological diversity are available as information documents for COP-6: The main report is the document UNEP/CBD/COP/6/INF/24 (“Ecosystem approach; sustainable use; and incentive measures. Reports of the regional workshops on the sustainable use of biological diversity”). Reports of the Maputo Workshop, Hanoi Workshop and Salinas Workshop are given as UNEP/CBD/COP6/INF/24/ADD1, ADD2 and ADD3, respectively.

## **SOCIAL ECONOMIC AND LEGAL MATTERS**

60. During the period under review, the work of the SEL unit focused mainly on finalizing preparations for the sixth meeting of the Conference of the Parties. SEL took an active part in the preparations for, and conduct of, the regional preparatory meetings for COP-6. The unit was also very busy completing the various tasks assigned to it by a number of meetings of subsidiary bodies, including the MSP meeting, the ABS and article 8 (j) working groups, and finalizing the documentation and arrangements for COP6.

## **DECISION V/15 INCENTIVE MEASURES**

61. The Secretariat prepared a pre-session synthesis report on case-studies and best practices on incentive measures as well as on information on perverse incentives, as received from Parties and relevant organizations, for consideration by the Conference of the Parties during its sixth meeting (UNEP/CBD/COP/6/12/ADD3). As of 15 March 2002, the Secretariat had received 11 submissions pursuant to the notification sent by the Executive Secretary (6 from Parties, 5 from organizations). 5 contributions were submitted after the deadline as given in the notification by the Executive Secretary (31 January 2002) and could not be included in the synthesis report. All the submissions can be found on CBD’s website, under <http://intranet.biodiv.org/programmes/socio-eco/incentives/default.asp>.

62. CBD’s webpage on Economics, Trade and Incentives has been revised. This revision included a re-organization of the information and case studies submitted so far by Parties and organizations, on incentive measures and perverse incentives, and the introduction of a collection of relevant links.

63. The Secretariat participated in the 2<sup>nd</sup> meeting of the Working Group on Economic Instruments, organized by the Economics and Trade Branch of UNEP in Geneva on 31 January to 1 February 2002, with a presentation on the use of economic incentives for biodiversity conservation and sustainable use under the CBD. The meeting decided that UNEP, in collaboration with the Working Group, should prepare a technical document entitled ‘Opportunities, prospects and challenges for the use of Economic Instruments in environmental policy-making’, that would serve as a guide for civil servants from environment, finance and sectoral ministries - mainly in developing countries - to identify opportunities for

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the use of economic instruments. The Secretariat is actively involved in the on-going work on this document by providing comments and by drafting input in regard to the role of economic instruments for biodiversity conservation and sustainable use under the CBD.

64. The Ad Hoc Technical Expert Group on Dry and Sub-humid Lands convened for its first meeting in Montreal on 18 to 22 March 2002. The Secretariat assisted the Expert Group in considering the socio-economic implications of biodiversity degradation and the role of economic valuation of biodiversity of dry and sub-humid lands. The conclusions and recommendations of the Expert Group on these issues are given in Annex IV of the report of the meeting.

#### **DECISION V/16: ARTICLE 8 (j) AND RELATED PROVISIONS**

65. The Ad Hoc Open-ended Intersessional Working Group on Article 8(j) and related provisions, established pursuant to decision IV/9, held its second meeting in Montreal, Canada from 4-8 February 2002. Over 286 delegates and observers participated in the meeting including representatives from Governments, indigenous and local communities, non-governmental organizations, industry, and academic institutions as well as intergovernmental organizations.

The working Group discussed the following issues:

- Report on progress in the integration of relevant tasks of the programme of work on Article 8(j) and related provisions into the thematic programmes of the Convention on Biological Diversity:
- Review of progress in the implementation of the priority tasks of the programme of work on Article 8(j) and related provisions.
- Outline of the composite report on the status and trends regarding the knowledge, innovations and practices of indigenous and local communities.
- Draft recommendations for the conduct of cultural, environmental and social impact assessment regarding development proposed to take place on, or which are likely to impact on, sacred sites and on lands and waters traditionally occupied or used by indigenous and local communities.
- Participatory mechanisms for indigenous and local communities.
- Assessment of the effectiveness of existing subnational, national and international instruments, particularly intellectual-property-rights instruments, that may have implications for the protection of the knowledge, innovations and practices of indigenous and local communities.

66. The report from the meeting is contained in the annex of document UNEP/CBD/COP/6/7 and is available on the CBD website. The annex to the report contains recommendations adopted by the Ad Hoc Open-ended Intersessional Working Group on Article 8(j) and Related Provisions, for submission to the Conference of the parties at its sixth meeting.

#### **DECISION V/18: IMPACT ASSESSMENT, LIABILITY AND REDRESS**

67. During the period under review, the Secretariat continued gathering information from Parties, Governments and relevant international organisations on liability and redress for transboundary environmental damage in accordance with decision V/18. The Secretariat also prepared document UNEP/CBD/COP/6/12/ADD1, reviewing recent developments at international and regional levels regarding this issue for COP-6.

## **DECISION V/21: COOPERATION WITH OTHER BODIES**

### **SBSTTA RECOMMENDATION VI/7: BIOLOGICAL DIVERSITY AND CLIMATE CHANGE, INCLUDING COOPERATION WITH THE UNITED NATIONS FRAMEWORK CONVENTION ON CLIMATE CHANGE**

#### Climate Change

68. The Secretariat organized the forest meeting of the Ad Hoc Technical Expert Group on Biological diversity and climate change in Helsinki, Finland, from 21 to 25 January 2002. The meeting was hosted by the Government of Finland, and sponsored by the Government of the Switzerland. It were attended by 27 participants, including experts form 15 countries, and representatives from UNCCD, GEG, IPCC, indigenous and local communities, WWF, Greenpeace International, IUCN and the South Pacific Regional Environment Programme (SPREP) and the COP and SBSTTA Bureaus. The Group decided to prepare two documents:

- (a) Assessment report on interlinkages between biological diversity and climate change, building essentially on two background documents (the IPCC technical paper and the Secretariat's note on the review of the impact of climate change on forest biological diversity): and
- (b) Advice on the integration of biodiversity considerations into the implementation of the UNFCCC and its Kyoto Protocol.

69. Details of the proposed content of these documents, as well as the organization for the intersessional work are presented in the report of the Workshop (UNEP/CBD/COP/INF/6). The summary report of the Group is given as document UNEP/CBD/COP/6/17/ADD2.

#### The Convention on Biological Diversity and the Conservation of Migratory Species of Wild Animals (CMS)

70. The Secretariat in collaboration with the Secretariat of the Convention on Migratory Species prepared a draft joint work programmed for 2002-2005. The draft is submitted as an information document (UNEP/CBD/COP/6/INF/15) to the sixth meeting of the Conference of the Parties.

#### Ramsar Convention on Wetlands of International Importance especially as Waterfowl Habitat

71. The Secretariat in collaboration with the Ramsar Bureau prepared progress reports on the implementation of the second joint work plan (2000-2001) of the Convention on Biological Diversity and the Convention on Wetlands (Ramsar Convention), and on the implementation of the River Basin Initiative. Additionally, a draft third joint work plan (2002-2006) of the CBD and Ramsar Convention was prepared. These documents were submitted as information documents to the sixth meeting of the Conference of the Parties (UNEP/CBD/COP/6/INF/12; UNEP/CBD/COP/6/INF/13 and UNEP/CBD/COP/6/INF/14).

#### World Intellectual Property Organization

72. The Secretariat has submitted a draft Memorandum of cooperation to WIPO to make official the cooperation with that organization on intellectual property issues as they relate to access and benefit sharing. The Secretariat is waiting for approval of the MOC by the appropriate authorities within WIPO.

## **DECISION V/25: BIOLOGICAL DIVERSITY AND TOURISM**

### Sustainable use.

73. The third workshop on sustainable use of biological diversity was held in Salinas, Ecuador, in February 2002, and focused on marine and freshwater capture fisheries. The workshop produced the "Salinas principles and practical operational guidelines for the sustainable use of biological diversity". The outcomes of the three workshops are contained in documents: UNEP/CBD/COP/6/INF/24/Add.1; Add.2 and Add.3.

74. The workshop followed two other workshops in Maputo, Mozambique and Hanoi, Vietnam, held in response to a request by the Conference of the Parties and with financial support from the Government of The Netherlands. The first workshop was held in Maputo, Mozambique, in September 2001 and focused on sustainable use of terrestrial, dryland resources and game utilization. The second workshop, held in Hanoi, Vietnam, in early January 2002 focused on forest biodiversity, including timber and non-timber forest resources and further developed the practical principles and operational guidelines produced in the first meeting.

75. In addition, pursuant to paragraph 1 of Decision V/24 of the Conference of the Parties, the Secretariat has been gathering and compiling case studies and lessons learned on the sustainable use of biological resources. Twenty-five case studies provided by Parties and relevant organizations have been made available through the clearing house mechanism of the Secretariat. Due to the limited number of submissions, the Secretariat has been carrying out further research, based on recent publications and ongoing projects and programmes, focusing on initiatives, approaches and management practices for the sustainable use of biodiversity.

### Biological Diversity and Tourism

76. The draft guidelines on sustainable tourism development, developed during the workshop on tourism and biodiversity held in Santo Domingo in June 2001, have been forwarded to the tenth session of the Commission on Sustainable Development serving as the Preparatory Committee for the World Summit on Sustainable Development for consideration at its second meeting, held in New York from 28 January to 8 February 2002, as requested by SBSTTA recommendation VII/5. With the same recommendation SBSTTA also requested the Secretariat to submit the draft guidelines to the preparatory process for the World Summit on Eco-tourism (WES) to be held in Quebec City, in May 2002. To this end, and as promotional material, the Secretariat has prepared a booklet in all UN languages with a summarized text of the guidelines which has been delivered to the WES preparatory meetings and has been transmitted to CBD focal points for further distribution. The booklet has also been distributed at the third Preparatory Committee for the World Summit on Sustainable Development, which took place in New York from 25 to 5 April 2002.

77. Comments received by the Secretariat following an electronic consultation inviting further reactions to the guidelines have been compiled and made available to the sixth conference of the Parties. They are contained in document UNEP/CBD/COP/6/12/Add 1.

### Strategic Plan

78. The Open-ended Inter-sessional Meeting on the Strategic Plan, National Reports and Implementation of the Convention, which took place in Montreal in November 2001, developed elements of the strategic plan, including the issue, mission statement, vision, constraints, operational goals, monitoring



and reporting and periodical assessment and review, review of implementation, and communication. In addition, the Secretariat developed parameters for the strategic plan in accordance with the request of the meeting, in consultation with Parties and other relevant actors. The parameters include: planned activities; expected products; timing of planned activities; actors carrying out the activities; mechanisms to realize the goals; and financial, human resources and other capacity requirements.

79. In order to facilitate discussion at the sixth meeting of the Conference of the Parties on the elements and parameters of the Strategic Plan, an informal consultation was organized in Sigüenza, Spain on 22 March 2002, at the invitation of the Government of Spain. The meeting made some suggestions for the further development of the Strategic Plan. These suggestions have been made available to Parties through the clearing house mechanism, prior to the sixth meeting of the Conference of the Parties.

### **DECISION V/26: ACCESS TO GENETIC RESOURCES**

80. Two documents related to access to genetic resources and benefit-sharing were finalized for consideration by COP VI:

- UNEP/CBD/COP/6/19 provides an overview of overall progress in the implementation of Decision V/26 on access to genetic resources.

- UNEP/CBD/COP/6/19/Add.1 reports on actions taken by the Executive Secretary in response to recommendations adopted by the Ad Hoc Open-ended Working Group on Access and Benefit-sharing, more specifically regarding the use of terms in paragraph 6 of the draft Bonn Guidelines on Access to Genetic Resources and Benefit-sharing and the further development of draft elements for an Action Plan on capacity-building for access and benefit-sharing.

81. A notification, dated 15 January 2002, was sent to National Focal Points as a reminder, inviting interested Parties to nominate an expert and to communicate his/her name and curriculum vitae to the Secretariat, in order to convene a group of ten experts (in consultation with the Bureau of the Conference of the Parties) to develop draft elements of a decision on the use of terms in the draft Bonn Guidelines Access to Genetic Resources and Benefit-sharing.

82. On the basis of these nominations, and in consultation with the Bureau, the Secretariat selected 10 experts taking into account their expertise, the need to ensure geographical representation and with due regard to gender balance. A compilation of submissions by experts on the use of terms was prepared by the Executive Secretary and is contained in document UNEP/CBD/COP/6/INF/40.

83. An overview of COP decisions related to access and benefit-sharing was also carried out by the Executive Secretary and included in documents UNEP/CBD/COP/6/5/Add.4 and UNEP/CBD/COP/6/INF/17 and a compilation of the draft decisions for COP-6 related to access to genetic resources and benefit-sharing is included in document UNEP/CBD/COP/6/1/Add.2.

84. The Secretariat also participated in regional preparatory meetings organized in preparation for COP-6, including in Kingston, Jamaica, for countries from Latin America and the Caribbean, during which the issue of access and benefit-sharing was addressed as one of the priority issues for consideration by COP-6.

85. The ABS webpages were also updated to include a page on capacity building for access and benefit-sharing, including inputs provided by Parties, intergovernmental organizations and non-

governmental organizations having provided input on the needs and priorities of countries and ongoing activities related to capacity-building for access and benefit-sharing.

**DECISION V/27: CONTRIBUTION OF THE CONVENTION ON BIOLOGICAL DIVERSITY TO THE TEN-YEAR REVIEW OF PROGRESS ACHIEVED SINCE THE UNITED NATIONS CONFERENCE ON ENVIRONMENT AND DEVELOPMENT**

Preparations for the World Summit on Sustainable Development (Rio + 10)

86. The Secretariat continued to participate actively in the preparatory process leading to the World Summit on Sustainable Development. A report was submitted to the second meeting of the Preparatory Commission outlining the achievements of the CBD since its entry into force, the challenges that lie ahead and the potential contribution of the Convention to the broad objective of sustainable development. Furthermore, the Executive Secretary participated in a panel discussion at Prep Com 2, in February, together with representatives of the other Rio Conventions. The Secretariat also provided promotional material on the Convention to participants in the regional preparatory meetings for the Summit to ensure that participants in these meetings be made aware of the CBD contribution to WSSD objectives."

## BIOSAFETY

### **DECISION V/1: WORK PLAN OF THE INTERGOVERNMENTAL COMMITTEE FOR THE CARTAGENA PROTOCOL ON BIOSAFETY**

#### The Biosafety Protocol

87. During the reporting period under consideration, the Secretariat continued with the implementation of the various inter-sessional activities recommended by the second meeting of the ICCP (ICCP 2), namely: i) continued development of the pilot phase of the Biosafety Clearing-House (BCH); ii) organization of regional meetings on the Biosafety Clearing-House; iii) preparation and organization of the meetings of technical experts on handling, transport, packaging and identification of living modified organisms (Article 18 of the Protocol); and, vi) preparations for the third meeting of the ICCP (ICCP 3).

88. With respect to the pilot phase of the BCH, the Secretariat continued to develop the central portal and the central database, in line with recommendations made by ICCP 2 and the feedback from participating countries, in particular the recommendations expressed at the various regional meetings on the BCH organized by the Secretariat. The Secretariat also made arrangements for the independent review of the pilot phase of the BCH to take place, pursuant to the recommendation of ICCP which called for this activity to be completed before COP 6

89. The second meeting of technical experts on handling, transport, packaging and identification to consider the modalities of documentation accompanying living modified organisms destined for contained use (paragraph 2b of Article 18 of the Protocol) and for intentional introduction into the environment (paragraph 2c of Article 18) took place from 13 to 15 March 2002 in Montreal and was attended by 64 experts representing Governments and relevant organisations, including intergovernmental, non-governmental and industry representatives who had participated in the first meeting of experts on the subject held in Paris in June 2001. The organization of the meeting was made possible by the generous contribution made by the Governments of Canada, France and Japan. The meeting made recommendations for consideration by the third meeting of ICCP (contained in document UNEP/CBD/ICCP/3/7/Add.2).

90. The meeting of technical experts on handling, transport, packaging and identification to consider the modalities for the implementation of the requirements contained in the first sentence of paragraph 2 (a) of Article 18 of the Protocol, was also held in Montreal from 18 to 20 March 2002, back-to-back with the technical experts meeting on paragraphs 2 (b) and 2 (c) of Article 18 mentioned above. The meeting was attended by 90 experts selected by the Secretariat in consultation with the Bureau of ICCP from the nominations submitted by Governments, taking into account the need for regional representation, transparency and the need for cooperation with relevant stakeholders, including NGOs and Industry. Financial support for the organization of the meeting was provided by the Governments of Canada, Spain, Switzerland and the United States of America. The recommendations made by the expert meetings for consideration by ICCP 3 are contained in document UNEP/CBD/ICCP/3/7/Add 1.

91. The Secretariat also organized regional meetings for the identification of capacity building needs for the Biosafety Clearing-House for the Central and Eastern European countries (Nitra, Slovakia, 5-9 February 2002) and for the Asia Pacific countries (Beijing, China, 3-8 March 2002). The reports of these meetings will be available to the third meeting of ICCP as Information documents. A one-day training session on the pilot phase of the BCH was also organized for the Africa region on 19 January 2002 in Nairobi, to give the African countries the opportunity to experiment with the prototype of the pilot phase of

the BCH which was not available when the initial regional meeting on the BCH for Africa was held in February 2001.

92. The three regional meetings on the BCH were organized in conjunction with the regional meetings on the UNEP/GEF project on development of national biosafety frameworks, and their organization was made possible by the generous financial support provided by the Governments of Japan, United Kingdom and United States of America.

93. The Secretariat also continued with the preparations for the third meeting of ICCP to be held in The Hague, from 22 to 26 April 2002, back-to-back with the sixth meeting of the Conference of the Parties to the CBD.

94. The Bureau of the ICCP met in Yaounde, Cameroon, from 18 to 19 February 2002, to review progress in the implementation of the inter-sessional activities and provide further guidance to the Secretariat in the preparations of ICCP 3. The minutes of the Bureau meeting were made available to all Governments. The next meeting of the Bureau will take place on 20 April 2002 in The Hague.

#### IMPLEMENTATION AND OUTREACH

#### **DECISION V/11: ADDITIONAL FINANCIAL RESOURCES**

95. The report on additional financial resources (UNEP/CBD/COP/6/14) was posted on the website of the Convention and mailed out to Parties and Governments.

96. The CBD News Supplement on financing for biodiversity was successfully prepared. Contributions were received from the Executive Secretary of the Convention, the Executive Director of the UNEP, the Vice President of the World Bank, the United Nations Development Programme, and from development agencies from Austria, Spain, Belgium, Germany, and Sweden. The final product will be distributed at the sixth meeting of the Conference of the Parties.

97. In response to the request to review decisions from the Open-ended Inter-sessional Meeting on the Strategic Plan, National Reports and the Implementation of the Convention (MSP), the Secretariat prepared a pilot study on COP-1 and COP-2 decisions on financial resources and the financial mechanism included in document UNEP/CBD/COP/6/5/ADD4-Review of the status of the decisions of the Conference of the Parties-, and a study on all previous decisions on financial issues, which was included in an information document to COP-6.

98. A lunchtime Workshop on Financing for the Implementation of Article 8(j) and Related Provisions was organized in Montreal, Canada, 4 February 2002, on the margin of the second meeting of the Ad hoc Open-ended Inter-sessional Working Group on Article 8(j) and Related Provisions of the Convention on Biological Diversity. GEF, UNEP, UNDP and World Bank made presentations on their experience and challenges. Funding institutions and development agencies then further exchanged views on implementing Article 8(j) with nearly eighty representatives from indigenous peoples and local communities worldwide. The summary of the meeting was posted on the website of the Convention.

99. The Secretariat attended the Conservation Finance Retreat organized by The Nature Conservancy in Harboretowne, Maryland, USA, from 12-13 February 2002. For the first time, major biodiversity-advocating organizations met together to discuss how to improve the delivery system of conservation finance, including the World Bank, WWF, WCS, TNC, RedLAC, Ramsar, KfW, IUCN,

IIED, IFC, IADB, GTZ, GEF, Forest Trends, and FLOWS. The Secretariat made a presentation at the meeting. The summary of the meeting was made available on the website of the Convention.

100. Based on the concerns expressed, the Secretariat is considering a Funding Information Clearing-house (FICH) Programme through SCBD's Finance Programme and the Clearing-House Mechanism to facilitate financial and technical cooperation at national, bilateral, regional and international levels. It seeks to provide essential information concerning status, threats and responses of biodiversity, past, ongoing and intended project activities in individual countries. Such information should enable funding institutions, development agencies and regional and international organizations to better understand country needs and to identify interested partners as well as to plan and coordinate their funding initiatives/activities. By utilizing the information, stakeholders within countries and from countries of common interests may identify commonalities of their planned efforts, and foster coordinated, coherent and concerted biodiversity projects and activities. Biodiversity-promoting organizations and specialists may use the information to broker funding opportunities and to provide advice in development and implementation of biodiversity projects.

101. The Secretariat continued to monitor closely the development on financial issues being addressed by the Department of Economic and Social Affairs of the United Nations for the Commission on Sustainable Development and the International Conference on Financing for Development, and other financing meetings organized by UNEP as well as by the GEF in preparation for the World Summit on Sustainable Development.

#### **DECISION V/12: REVIEW OF THE EFFECTIVENESS OF THE FINANCIAL MECHANISM AND DECISION V/13: ADDITIONAL GUIDANCE TO THE FINANCIAL MECHANISM**

102. All documents concerning the second review of the financial mechanism as requested by the fifth meeting of the Conference of the Parties have been posted on the website of the Convention and mailed out to Parties and Governments, including: Progress Report on the Mechanisms for Implementation (UNEP/CBD/COP/6/13); Executive summary of the report of the independent evaluator for the review of the effectiveness of the financial mechanism (UNEP/CBD/COP/6/13/Add.1); Financial Resources and Mechanism (Article 20 and 21) (UNEP/CBD/COP/6/Inf. 4).

103. The Secretariat continued to review biodiversity proposals received from the GEF Implementing Agencies during the period and provided comments to Operations Coordination at the GEF Secretariat so as to promote the consistency of the project proposals with the guidance of the Conference of the Parties. The Secretariat participated in the Executive GEF-OP Meeting convened on February 1, 2002 via telephone. The meeting assessed the overall GEF funding structure and policy issues arising out of its proposed work programme. Due to the lack of financial resources, the inter-sessional work programme was cancelled, and the reviewed project proposals were put on hold.

104. The Secretariat monitored the replenishment process of the GEF. The negotiation was initially planned to be concluded in February 2002, and was then postponed to a final meeting scheduled in Copenhagen. The final meeting was then re-scheduled for May 2002 in Washington, DC.

105. The report from the Global Environment Facility to the sixth meeting of the Conference of the Parties was made available in the languages of submission, and has been posted on the website. The GEF reports include: Report of the Global Environment Facility (UNEP/CBD/COP/6/9); Communications on the Second overall performance study of the Global Environment Facility (UNEP/CBD/COP/6/9/ADD1); Report of the Second overall performance study of the Global Environment Facility

## **DECISION V/14      SCIENTIFIC TECHNICAL COOPERATION AND THE CLEARING HOUSE MECHANISM (ARTICLE 18)**

### Clearing-house Mechanism

106. During the period under review the CHM participated in the following meetings and undertook the following activities:

- Informal meeting to identify possible formats, protocols and standards for the improved exchange of biodiversity-related data and information, Montreal, Canada, 19-20 February 2002;
- a presentation on its new focus on technical and scientific cooperation during the North American Biodiversity Information Network Experts' Workshop held in Montreal, Canada on 21-22 February 2002;
- launch of the Internet-based Second National Reports Analyzer;
- launch of the Internet-based mechanism to search CBD documents;
- participation in the regional meeting of the pilot phase of the Biosafety Clearing-House, held in Nitra, Slovakia on 5-9 February 2002;
- participation in the regional meeting of the pilot phase of the Biosafety Clearing-House held in Beijing, China on 4-9 March 2002;
- draft of new BCH interoperability guidelines for use by Parties and organizations in support of Article 20.1 of the Cartagena Protocol;
- continued participation in the development of the Pilot Phase of the Biosafety Clearing-House, in response to Article 20(1) of the Cartagena Protocol on Biosafety;
- continued to update the CHM Toolkit;
- continued discussions on a possible partnership with the Inter-American Biodiversity Information Network.

## **DECISION V/17: EDUCATION AND PUBLIC AWARENESS**

107. The Secretariat prepared the document Education and Public Awareness, Implementation of the Global Initiative on Biological Diversity Education and Public Awareness (UNEP/CBD/COP/6/13/Add.2) for agenda-item 18.3 of the sixth meeting of the Conference of the Parties to be held in The Hague, The Netherlands from 7 to 19 April 2002. The document contains a draft decision on the issue, including a programme of work for the Global Initiative on Communication, Education and Public Awareness (CEPA), consisting of the following three programme elements:

- i) towards a global communication, education and public awareness network;
- ii) exchange of knowledge and expertise
- iii) capacity building for communication, education and public awareness.

### Library management

108. From January to March 2002 the main part of the activities was devoted to the publications. A total of 92 references of the bibliographic database of data were edited during the period under review. Three lists of new acquisitions were distributed, and a new database on CBD documents has been implemented. The database allows users to search CBD documents by thematic areas, narrow keywords, by date and meetings.

### Publications

109. During the period under review, the following publications were printed:

- i) CBD News no.3;
- ii) CBD News supplement on finance;
- iii) I & O leaflet in English, French and Spanish;
- iv) Brochure on biodiversity and tourism in English, French and Spanish;
- v) GTI leaflet in English, French and Spanish;
- vi) A guide to CBD documents;
- vii) A poster for the biodiversity day;
- viii) 1 issue of CBD Technical Series;
- ix) Reprint of the Convention text in English and Arabic;
- x) Reprint of the Protocol text in English.

110. The Secretariat organized interviews for local and international press and media as a result of the meeting on article 8j and in preparation of COP 6. Press releases were produced and distributed for the following meetings: Art 8j, COP 6 and ICCP-3.

111. The Secretariat is also preparing a video news release, which is to be finalized for COP 6. A CEPA video was also produced in collaboration with IUCN.

112. Work is presently on-going on a children's biodiversity website and a press corner has been set-up on the CBD website. Two groups of students from local universities visited the Secretariat during the period.

## **DECISION V/19: NATIONAL REPORTING**

113. As requested by the Intersessional Meeting on Strategic Plan, National Report and the Implementation of Convention, which was held from 19 to 21 November 2001 in Montreal, Canada, a full assessment of information contained in the second national reports received by the end of January 2002 has been made and will be presented to the sixth meeting of the Conference of the Parties as contained in UNEP/CBD/COP 6/Inf. 10 & 11. The assessment was made on the basis of the information contained in 62 second national reports. Requests had been sent to relevant Parties for proper electronic versions of their second national reports.

114. A document serving as an introduction to the assessments, as contained in UNEP/CBD/COP 6/5/Add. 3 will be presented to the sixth meeting of the Conference of the Parties.

115. The assessments of information contained in second national reports are grouped under the thematic programmes of work, the cross-cutting issues and other articles of the Convention. It should be

noted that the conclusion or results of assessment are preliminary considering the number of second national reports on which the assessments are based.

116. Also at the request of MSP, draft formats for thematic reports on mountain ecosystems, protected areas and technology transfer and technology cooperation have been prepared for consideration at the sixth meeting of the Conference of the Parties. They are contained in the document UNEP/CBD/COP/6/5/Add.5. These three themes have been identified by the Conference of the Parties at its fourth meeting as the issues for in-depth consideration by the seventh meeting of the Conference of the Parties.

117. By the end of March 2002, the Secretariat had received a total of 69 second national reports, 54 thematic reports on alien species, 40 reports on forestry and 13 reports on access and benefit-sharing. The assessments of information contained in thematic reports received are also contained in the documents for COP 6 concerning thematic programmes of work.

118. Additionally, first national reports and national biodiversity strategies and action plans have been received and posted on the website of the Convention.

119. A few responses have been received in response to the Notification circulated by the Executive Secretary at the end of November 2001, in which a request by MSP was contained for those Parties that have not submitted a second national report to provide reasons for late or non submission.

120. The first edition of the Global Biodiversity Outlook has been disseminated to Parties as well as to relevant international organizations.

#### **DECISION V/20: OPERATIONS OF THE CONVENTION**

121. During the three months that preceded the sixth meeting of the Conference of the Parties in The Hague, the Secretariat prepared and distributed to National Focal Points the strategic plan to be considered at the sixth meeting of COP (documents UNEP/CBD/COP/6/5/ADD1 and UNEP/CBD/COP/6/5/ADD2); notified Parties to inform the reasons for not submitting their second national reports; prepared three draft formats for new thematic reports (mountain ecosystems, protected areas and technology transfer and technology cooperation, contained in document UNEP/CBD/COP/6/5/ADD5); prepared assessments of the contents of the second national reports received up to 31 January 2002 (documents UNEP/CBD/COP/6/5/ADD3; UNEP/CBD/COP/6/INF/10 and UNEP/CBD/COP/6/INF/11); reviewed the status of implementation of past COP decisions (documents UNEP/CBD/COP/6/5/ADD4 and UNEP/CBD/COP/6/INF/17); and prepared draft decisions on the above for consideration by the COP.

122. Also in response to Decision V/20, the Secretariat organized three regional preparatory meetings – Asian and the Pacific, Africa, and Latin America and the Caribbean – during the month of March. A fourth regional preparatory meeting for the European Union and the Central and Eastern Europe regions was organized under the auspices of the European Union.



## ANNEX I

### STATUS OF IMPLEMENTATION OF THE AGREED ADMINISTRATIVE ARRANGEMENTS

#### I Personnel Arrangements

1. During the reporting period, the Secretariat had 27 regularized Professional staff members and 20 regularized General Service staff members funded from the core budget. Additionally, 8 professional posts and 5 General Service posts were funded from other sources. For more information, please refer to the attached staff list and organigram (Appendix 1 and Annex II respectively).

#### II Contributions and Funds

2. In addition to the pledges and contributions of additional voluntary funds in support of approved activities under the Convention (**BE Trust Fund**) reported on in the last Quarterly Report, seven new pledges were made to the BE Trust Fund during the first quarter of 2002. During the first quarter of 2002, new pledges and contributions were received from the Governments of Canada, Japan, the Netherlands, Sweden and Switzerland. The Government of Canada pledged funds for the 2<sup>nd</sup> meeting of the Ad Hoc Open-ended Intersessional Working Group on Article 8(j); The Government of Japan pledged funds for the Asia and Pacific Regional Meeting on Capacity Building for Biosafety, and the Technical Expert Group Meetings on Articles 18.2 (b) and (c) of the Cartagena Protocol on Biosafety, Montreal, Canada, 13-15 March 2002. The Government of the Netherlands pledged funds for hosting the sixth Conference of the Parties to the Convention on Biological Diversity and the third meeting of the Intergovernmental Committee on the Cartagena Protocol on Biosafety, the Hague, the Netherlands 7-26 April 2002; the Government of Sweden pledged funds for the Programme Officer on the Global Taxonomy Initiative; the Governments of Switzerland and the USA pledged for the Technical Expert Group Meetings on Articles 18.2 (a) of the Cartagena Protocol on Biosafety, Montreal, Canada, 18-20 March 2002.

3. For 2002 a total of US\$634,731 was pledged to the BE Trust Fund, of which US\$505,000 has been collected as at 31 March 2002. Additionally, \$202,277 was collected in 2002 for pledges from 2001 and prior years. Full details of the pledges and contributions to the BE Trust Fund are reflected in Annex III.

4. With regard to the General Trust Fund for the Convention (**BY Trust Fund**), as at 31 March 2002, total unpaid pledges to the BY Trust Fund for 2001 and prior years amounted to US\$1,514,416. The total amount pledged for the BY Trust Fund in 2002 is US\$6,911,000. Of this amount, US\$2,821,584 or 41% has been received as at 31 March 2002. Additionally, US\$1,175,000 has been pledged as additional contributions to the BY Trust Fund. Total unpaid pledges to the BY Trust Fund for 2002 amounts to US\$5,264,416. Total unpaid pledges for 2002 and prior years is therefore US\$6,778,961. Full details of the pledges and contributions to the BY Trust Fund are reflected in Annex V.

5. As at 31 March 2002 a total of US\$236,358 was pledged for 2002 as additional voluntary contributions to facilitate the Participation of Parties in the Convention process (**BZ Trust Fund**). This sum includes pledges from Australia (\$10,000), Japan (\$45,000), the Netherlands (\$80,000), New Zealand (\$16,664), and Sweden (\$84,694).

6. As at 31 March 2002, contributions to the BZ Trust Fund in 2002 amounted to US\$209,381 or 89% of the total pledged for 2002. A total of US\$215,901 remains outstanding for the BZ Trust Fund for 2002 and prior years. Full details of the pledges and contributions to the BZ Trust Fund are reflected in Annex IV.

### III Accounting and Reporting

#### Reimbursement for Services provided to the Secretariat

8. At present seven UNEP staff members, the Chief, Fund Management and Administration, the Administrative Officer, the Finance Officer, the Senior Finance Assistant, two Finance Assistants and the Personnel Assistant are funded from the programme support funds (PSC). Additionally, UNEP has provided funding for one additional general service administrative post to be paid from PSC.

#### Conference and Other Services

9. During the period January to March 2002, UNON provided conference servicing assistance to the CBD for the African Regional meeting on Capacity Building for the Biosafety Clearing House, which took place in Nairobi, Kenya from 1-5 October 2001; the Ad Hoc Open-ended Intersessional Meeting on Article 8(j), Montreal, Canada, 4-8 February 2002 and the Regional Meeting for Africa in preparation for COP-6, which took place in Nairobi, Kenya, from 19-21 March 2002.

**Appendix 1**  
**STAFFING TABLE of the Secretariat of the Convention on Biological Diversity**  
1 January – 31 March 2002

| Post # | Level | Title   | PROFESSIONAL STAFF   |
|--------|-------|---|--|
| 1101   | D2    | Executive Secretary                           | Mr. H. Zedan (Regularized)                                       |
| 1102   | D1    | Principal Officer (SEL)                       | Mr. O. Jalbert (Regularized)                                     |
| 1103   | D1    | Principal Officer (STTM)                      | Mr. K. Mulongoy (Regularized)                                    |
| 1104   | P5    | Senior Programme Officer (Biosafety)          | Ms. C. Sendashonga (Regularized)                                 |
| 1105   | D1    | Principal Officer (I&O)                       | Mr. A. Nogueira (Regularized)                                    |
| 1106   | P4    | Chief, Fund & Admin. (RMCS)                   | Ms. M. Rattray – (Regularized) (Post funded by UNEP)             |
| 1107   | P4    | Financial Resources & Instruments. PO (I&O)   | Vacant (Recruitment in progress)                                 |
| 1108   | P4    | PO Legal Advice and Support (SEL)             | Mr. D.Ogolla (Regularized)                                       |
| 1109   | P4    | Economist (SEL)                               | Mr. M. Lehmann (Regularized)                                     |
| 1110   | P4    | PO Conservation Ecology (STTM)                | Vacant (Recruitment in progress)                                 |
| 1111   | P4    | PO, Agricultural Biodiversity (STTM)          | Ms. M. Gauthier –(Regularized) (Post funded by FAO)              |
| 1113   | P4    | PO, Clearing House Mechanism (I&O)            | Mr. M. Silva (Regularized)                                       |
| 1114   | P3    | Special Assistant to the ES. (EDM)            | Ms. M. Soliman (Regularized)                                     |
| 1115   | P4    | PO, Indigenous Knowledge (SEL)                | Ms. H. Marrie (Regularized)                                      |
| 1116   | P4    | PO, Reports & Reviews (I&O)                   | Vacant (Recruitment in progress)                                 |
| 1117   | P3    | Documentation Officer (I&O)                   | Mr. Z. Bamba (Regularized)                                       |
| 1118   | P3    | Meetings Services Officer (RMCS)              | Mr. A. Yesli (Regularized)                                       |
| 1119   | P3    | Information Officer (I&O)                     | Ms. M. Stricker (Regularized)                                    |
| 1120   | P3    | Internet & Elect. Communications (I&O)        | Mr. O. De Munck (Regularized)                                    |
| 1121   | P3    | PO, Jakarta Mandate (STTM)                    | Ms. M. Vierros (Regularized)                                     |
| 1122   | P3    | PO, Financial Resources (I&O)                 | Mr. Y. Xiang (Regularized)                                       |
| 1124   | P3    | Administrative Officer (RMCS)                 | Ms. N. Patel (Regularized) (Post funded by UNEP)                 |
| 1126   | P3    | Associate Programme Officer (Biosafety)       | Mr. E. Tamale (Regularized)                                      |
| 1127   | P2    | Associate Legal Affairs Officer (Biosafety)   | Mr. W. Damena (Regularized)                                      |
| 1128   | P2    | Associate Computer Systems Officer (I&O)      | Mr. Q. Nguyen (Regularized)                                      |
| 1129   | L5    | Senior Programme Officer (STTM & I&O)         | Mr. A. Heydendael (Regularized) (Post funded by The Netherlands) |
| 1131   | P4    | PO, Inland Waters (STTM)                      | Ms. P. Mirabzadeh (Regularized)                                  |
| 1132   | P4    | PO, Scientific & Technical Assessments (STTM) | Vacant (Recruitment in progress)                                 |

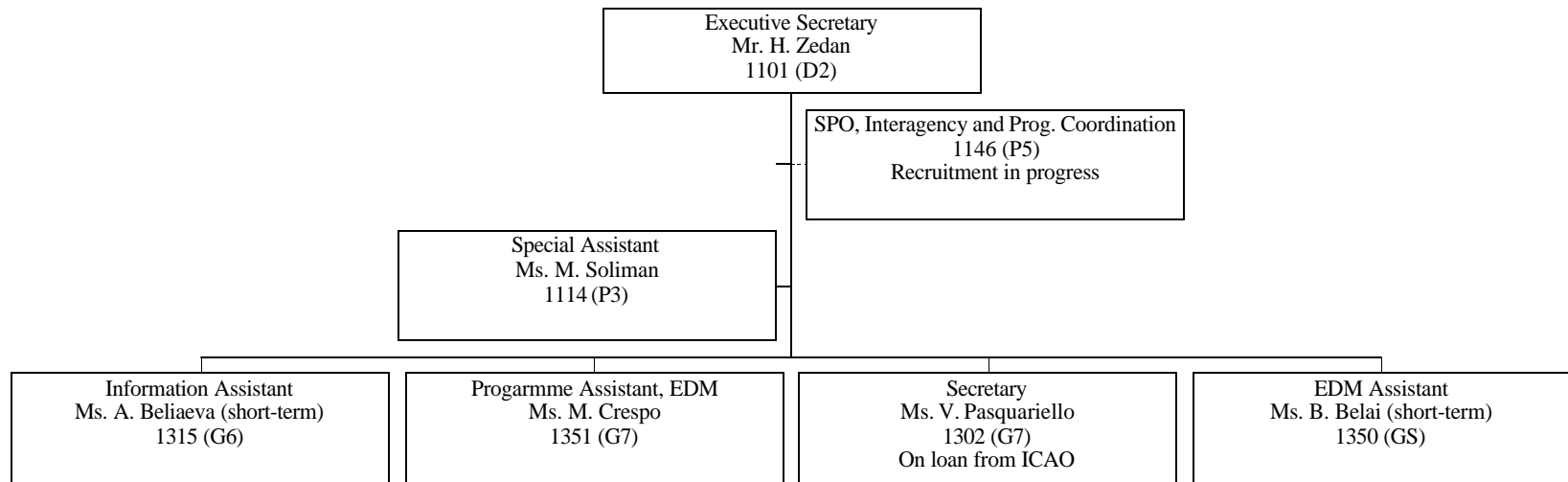
| Post # | Level | Title   | PROFESSIONAL STAFF  |
|--------|-------|---|---|
| 1133   | P3    | PO, Access & Benefit Sharing (SEL)                | Ms. V. Normand (Regularized)                                  |
| 1134   | P3    | PO, Scientific, Technical Information (Biosafety) | Ms. K. Galloway-McLean (Regularized)                          |
| 1135   | P4    | Editor (RMCS)                                     | Mr. J. Fry (Regularized)                                      |
| 1136   | P2    | Associate Finance Officer (RMCS)                  | Ms. T.C. Fon Sing (Regularized) (Post funded by UNEP)         |
| 1137   | P4    | Taxonomy, PO (STTM)                               | Vacant (Post previously funded by Australia & Sweden)         |
| 1139   | L2    | JPO, EIS (STTM)                                   | Ms. I. Chung (Regularized) (Post funded by Republic of Korea) |
| 1141   | P4    | PO, Dry and Sub-humid lands (STTM)                | Vacant (Recruitment process completed ) – new post            |
| 1142   | P3    | PO, Reports (I&O)                                 | Mr. L. Cai (Regularized)                                      |
| 1143   | P3    | PO, Sustainable Use and Tourism (SEL)             | Ms. P. Deda (Regularized)                                     |
| 1144   | P4    | PO, Legal & Policy Affairs (Biosafety)            | Ms. X. Wang (Regularized)                                     |
| 1145   | P3    | PO, Scientific Assessments (Biosafety)            | Mr. R. Hill (Regularized)                                     |
| 1146   | P5    | SPO, Interagency & Programme Coordination (EDM)   | Vacant (Recruitment in progress) – new post                   |
| 1147   | L5    | Senior Professional Officer, Forests (STTM)       | Mr. H. Toivonen (Regularized) (Post funded by Finland)        |

| Post # | Level | Title   | GENERAL SERVICE STAFF                                       |
|--------|-------|---|---|
| 1301   | G8    | Finance Assistant (RMCS)                          | Mr. M. Reyes (Regularized) (Post funded by UNEP)            |
| 1302   | G7    | Senior Secretary to the Executive Secretary (EDM) | Ms. V. Pasquariello (Regularized) (on secondment from ICAO) |
| 1303   | G6    | Secretary (SEL)                                   | Ms. F. Velarde (Regularized)                                |
| 1304   | G7    | Programme Assistant - (STTM)                      | Mr. D. Hamel (Regularized)                                  |
| 1305   | G7    | Programme Assistant - (STTM)                      | Ms. I. Anderson (Regularized)                               |
| 1306   | G7    | Programme Assistant - (I&O)                       | Ms. S. Penney (Regularized)                                 |
| 1307   | G7    | Programme Assistant - (Biosafety)                 | Ms. D. Stepic (Regularized)                                 |
| 1308   | G7    | Programme Assistant - (I&O)                       | Ms. M. Chiasson (Regularized)                               |
| 1309   | G7    | Programme Assistant - (SEL)                       | Mr. J. Ho Yoon (Regularized)                                |
| 1310   | G6    | Administrative Assistant - (RMCS)                 | Ms. C. Risch (Regularized)                                  |
| 1311   | G7    | Travel Assistant - (RMCS)                         | Ms. R. Rabbath (Regularized)                                |
| 1312   | G7    | Personnel Assistant (RMCS)                        | Ms. J. Martinez (seconded from ICAO) (post funded by UNEP)  |
| 1313   | G6    | Secretary - (STTM)                                | Ms. V. Allain (Regularized)                                 |
| 1314   | G6    | Secretary - (I&O)                                 | Ms. C. Nagy (short-term)                                    |

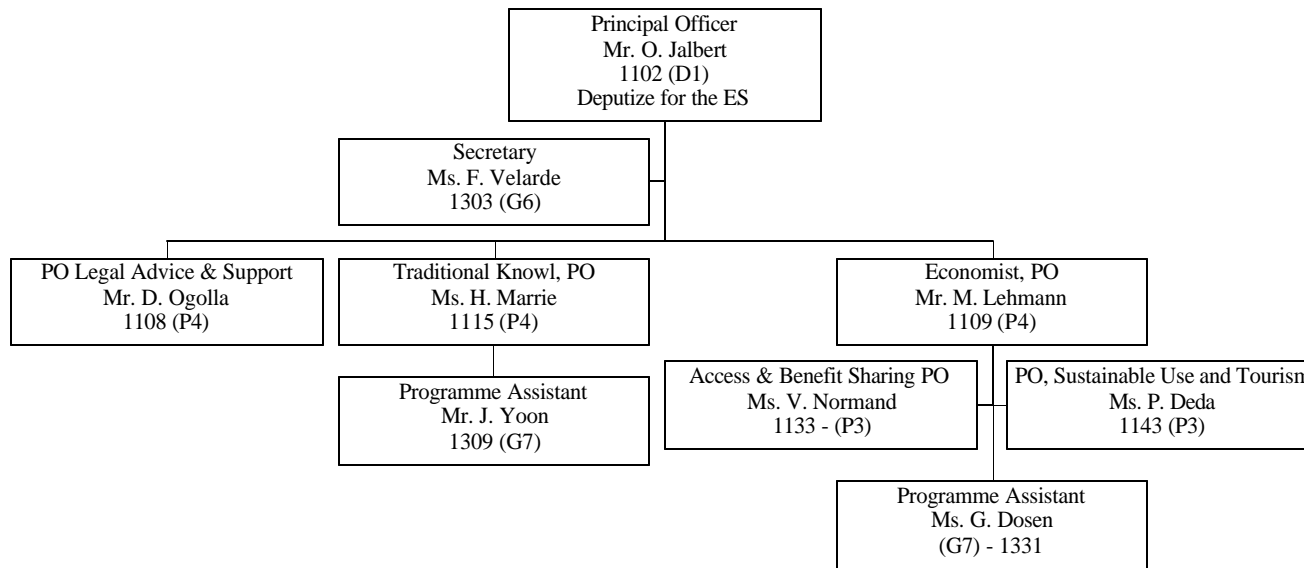
| <b>Post #</b> | <b>Level</b> | <b>Title</b>                        | <b>GENERAL SERVICE STAFF</b>                       |
|---------------|--------------|-------------------------------------|--|
| 1315          | G6           | Information Assistant (EDM)         | Ms. A. Beliaeva (short term)                       |
| 1316          | G7           | Computer Operations Assistant (I&O) | Mr. V. Gopez (Regularized)                         |
| 1317          | G5           | Receptionist (RMCS)                 | Ms. L. Boutin (Regularized)                        |
| 1318          | G4           | Messenger/Clerk (RMCS)              | Mr. R. Bellido (Regularized)                       |
| 1319          | G6           | Secretary (Biosafety)               | Ms. J. Huppé (Regularized)                         |
| 1329          | G7           | Programme Assistant, CHM (I&O)      | Mr. H. Perea (Regularized)                         |
| 1331          | G7           | Programme Assistant (SEL)           | Ms. G. Dosen (Regularized)                         |
| 1332          | G5           | Contributions Assistant - (RMCS)    | Ms. M. Harrison (Regularized). Post funded by UNEP |
| 1334          | G5           | Fund Mgmt Assistant - (RMCS)        | Ms. L. Ali (Regularized). Post funded by UNEP      |
| 1337          | G5           | Conference Assistant – (RMCS)       | Ms. T. Zavarzina (short-term). Post funded by UNEP |
| 1350          | G5           | EDM Assistant – (EDM)               | Ms. B. Belai (short-term)                          |
| 1351          | G7           | Programme Assistant – (EDM)         | Ms. M. Crespo (Regularized)                        |
| 1352          | G7           | Programme Assistant – (I&O)         | Ms. F. D’Amico (Regularized)                       |
| 1353          | G7           | Programme Assistant – (Biosafety)   | Ms. M. Ocampo (Regularized)                        |

## Annex II

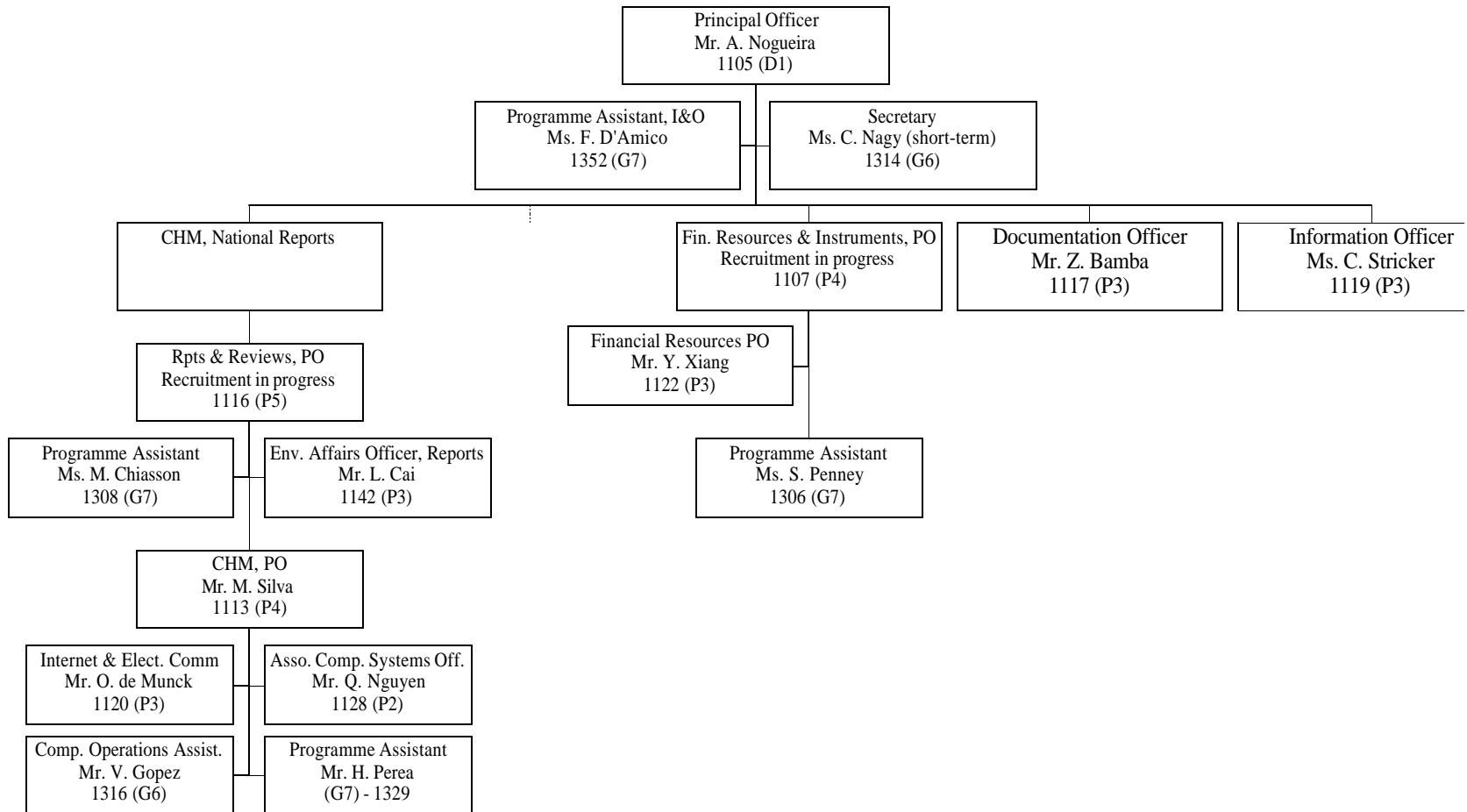
# Executive Direction, Management & Inter-governmental Affairs



## Social, Economic and Legal Matters

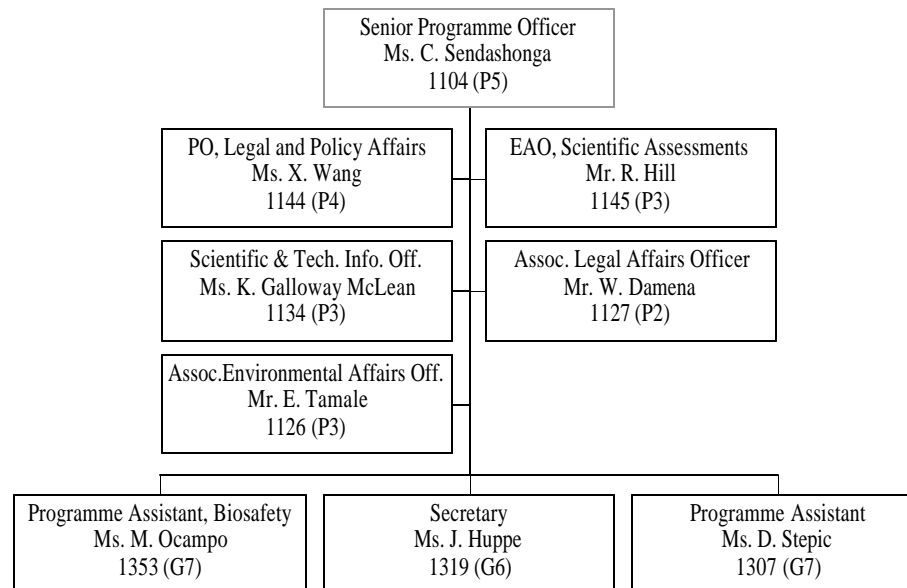


# Implementation & Outreach

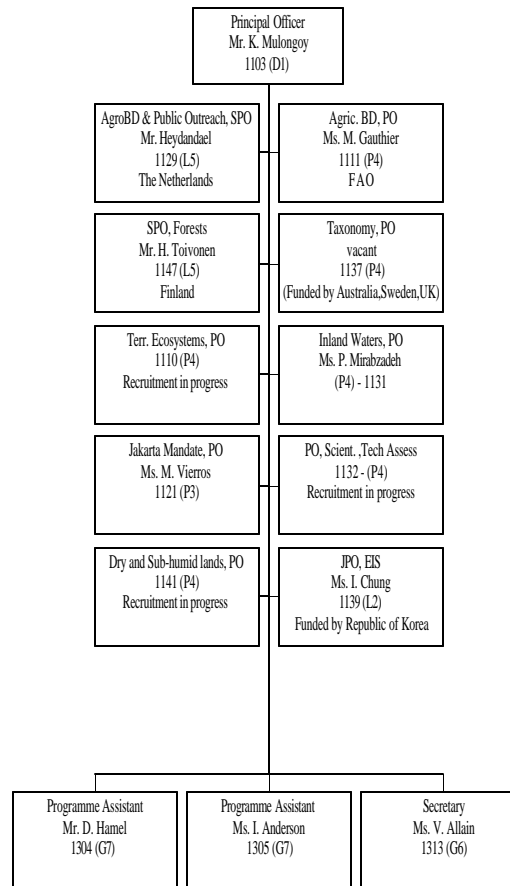




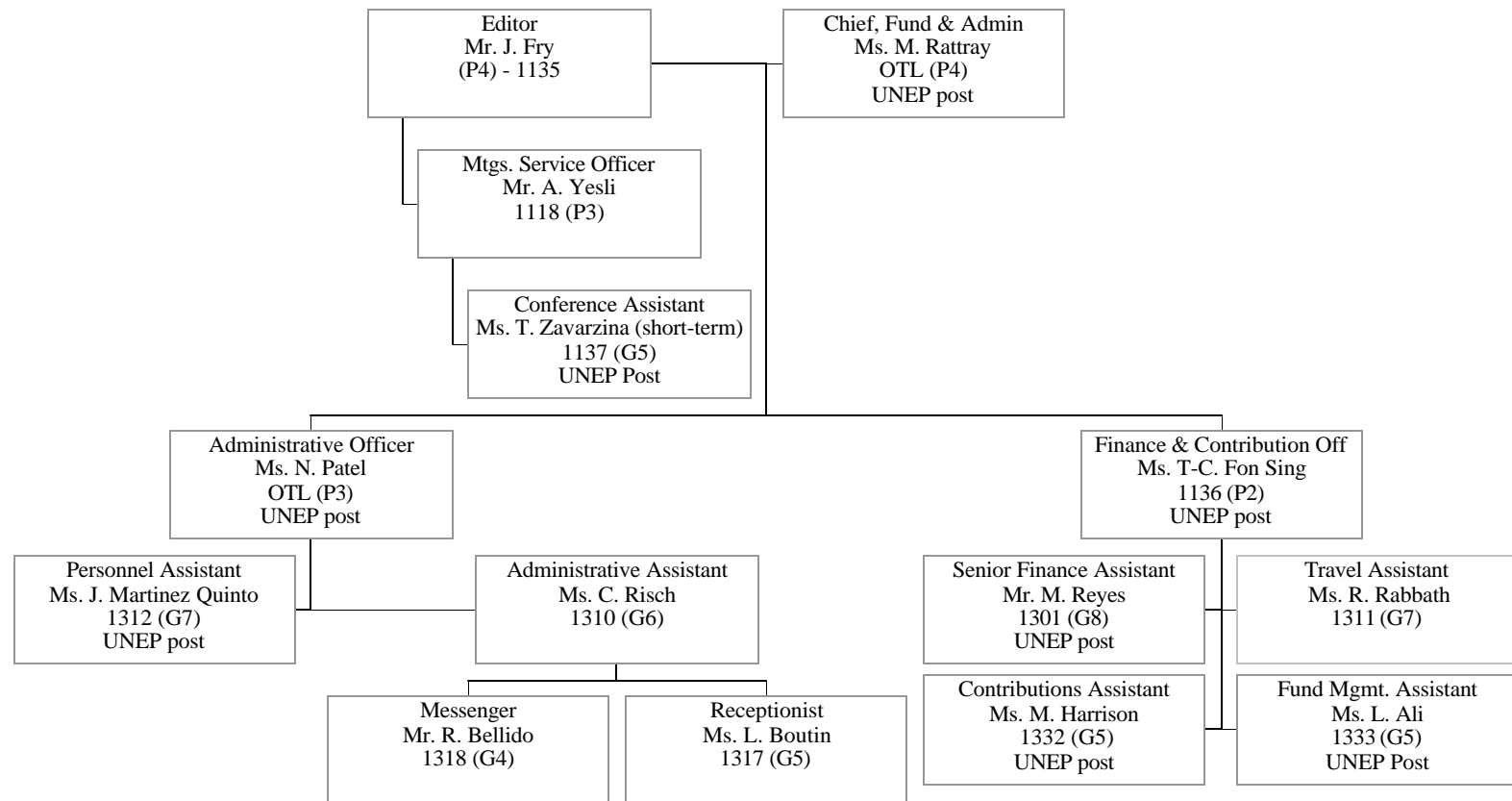
## Biosafety



### Scientific, Technical & Technological Matters



## Resource Management & Conference Services



**ANNEX III****GENERAL TRUST FUND FOR ADDITIONAL VOLUNTARY CONTRIBUTIONS IN SUPPORT OF APPROVED ACTIVITIES UNDER  
THE CONVENTION ON BIOLOGICAL DIVERSITY (BE)**

Status of Contribution as at 31 March 2002 (in United States dollars)

| <b>COUNTRIES</b> | <b>Unpaid Pledges as at 31.12.01</b> | <b>Adjustments</b> | <b>Pledges for 2002</b> |     | <b>Collections in 2002 for prior years</b> | <b>Collections in 2002 for 2002 &amp; future yrs</b> | <b>Unpaid pledges for 2002 &amp; prior years</b> |
|------------------|--------------------------------------|--------------------|-------------------------|-----|--|--|--|
| Canada           | 77,640                               |                    |                         | 1/  | 38,118                                     |  | 39,522   |
| Canada           | 100,000                              |                    |                         | 2/  |  |  | 100,000  |
| Canada           |                                      |                    | 2,238                   | 19/ |  |  | 2,238  |
| Denmark          | 3,415                                |                    |                         | 3/  |  |  | 3,415  |
| France           | 886                                  |                    |                         | 4/  |  |  | 886  |
| Germany          | 20,882                               |                    |                         | 5/  |  |  | 20,882   |
| Japan            |                                      |                    | 20,000                  | 15/ |  | 20,000   | 0  |
| Japan            |                                      |                    | 30,000                  | 16/ |  | 30,000   | 0  |
| Netherlands      | 99,000                               |                    |                         | 6/  |  |  | 99,000   |
| Netherlands      | 99,000                               |                    |                         | 7/  |  |  | 99,000   |
| Netherlands      | 99,000                               |                    |                         | 8/  |  |  | 99,000   |
| Netherlands      | 147,000                              |                    |                         | 9/  |  |  | 147,000  |
| Netherlands      | 0                                    |                    | 455,000                 | 21/ |  | 455,000  | 0  |
| Spain            | 25,000                               |                    |                         | 10/ |  |  | 25,000   |
| Sweden           | 38,095                               |                    |                         | 22/ | 23,020                                     |  | 15,075   |
| Sweden           |                                      |                    | 42,493                  | 23/ |  |  | 42,493   |
| Switzerland      | 29,240                               | (725)              |                         | 11/ | 30,139                                     |  | (1,624)  |
| Switzerland      |                                      |                    | 35,000                  | 17/ |  |  | 35,000   |
| UK               | 6,000                                |                    |                         | 12/ |  |  | 6,000  |
| USA              | 10,000                               |                    |                         | 13/ |  |  | 10,000   |

| <b>COUNTRIES</b> | <b>Unpaid Pledges as at 31.12.01</b> | <b>Adjustments</b> | <b>Pledges for 2002</b> |     | <b>Collections in 2002 for prior years</b> | <b>Collections in 2002 for 2002 &amp; future yrs</b> | <b>Unpaid pledges for 2002 &amp; prior years</b> |
|------------------|--------------------------------------|--------------------|-------------------------|-----|--|--|--|
| USA              |                                      |                    | 50,000                  | 18/ |  |  | 50,000   |
| FAO              | 10,000                               |                    |                         | 14/ |  |  | 10,000   |
| FAO              |                                      | 111,000            |                         | 20/ | 111,000                                    |  | 0  |
| <b>Total</b>     | <b>765,158</b>                       | <b>110,275</b>     | <b>634,731</b>          |     | <b>202,277</b>                             | <b>505,000</b>                                       | <b>802,887</b>                                   |

1/Canada pledge Cdn\$125,000 for meetings convened under the Cartagena Protocol on Biosafety

2/Canada pledge US\$100,000 for the Technical Expert Group Meeting on Article 18.2(a), Montreal, Canada 18-20 March 2002

3/Denmark pledge in support of the Open-ended Meeting of Experts on Capacity-building, Havana, Cuba 11-13 July 2001

4/France pledge for workshop on Liability and Redress - June 2001, Paris, France

5/ Germany pledge for Ad Hoc Working Group on Access and Benefits Sharing, 22-26 October 2001, Bonn, Germany

6/ Netherlands pledge for African Workshop on Sustainable Use of Biodiversity

7/ Netherlands pledge for Asian Workshop on Sustainable Use of Biodiversity

8/ Netherlands pledge for Latin American and the Caribbean Workshop on Sustainable Use of Biodiversity

9/ Netherlands pledge US\$147,000 for the Workshop on Forest Biological Diversity, Accra, Ghana 28-30 January 2002

10/Spain pledge US\$25,000 for the Capacity Building Experts Meeting on Biosafety Protocol, Havana, Cuba 11-13 July 2001

11/Switzerland pledge CHF50,000 for the Ad Hoc Technical Expert Group on Biological Diversity and Climate Change, Helsinki, Finland 21-25 January 2002

12/UK pledge of US\$6,000 for two consultants on Forests Biological Diversity

13/USA pledge for GISP Meeting on Global Invasive Species

14/FAO pledge towards the settlement of invoices for Ocean Atlas

15/Japan pledge for the Asia and Pacific Regional Meeting on Capacity Building for Biosafety

16/Japan pledge for the Technical Expert Group Meeting on Article 18.2(b) and (c), Montreal, Canada 13-15 March 2002

17/Switzerland pledge for the Technical Expert Group Meeting on Article 18.2(a), Montreal, Canada 18-20 March 2002

18/USA pledge for the Technical Expert Group Meeting on Article 18.2(a), Montreal, Canada 18-20 March 2002

19/Canada pledge towards the participation of Ms. Esther Camac from Costa Rica to the 2nd Meeting of the Ad Hoc Open-Ended Inter-sessional Working Group

20/FAO 1999 pledge towards the secondment of a Programme Officer to SCBD. Pledge was received but not allocated.

21/Netherlands, pledge for COP-6 and ICCP-3, the Hague, the Netherlands, 7-26 April 2002

22/Sweden pledge SEK400,000 towards the cost of a Programme Officer for the Global Taxonomy Initiative in 2001

UNEP/CBD/QR/16

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23/Sweden pledge SEK450,000 towards the cost of a Programme Officer for the Global Taxonomy Initiative in 2002

**ANNEX IV**

**GENERAL TRUST FUND FOR ADDITIONAL VOLUNTARY CONTRIBUTIONS TO FACILITATE THE PARTICIPATION OF PARTIES IN THE PROCESS OF THE CONVENTION ON BIOLOGICAL DIVERSITY (BZ)**  
Status as at 31 March 2002 (in United States dollars)

| COUNTRIES            | Unpaid Pledges as at 31.12.01 | Adjustments  |     | Pledges for 2002 | Collections in 2002 for prior years | Collections in 2002 for 2002 & fut yrs | Unpaid pledges for 2002 & prior years |
|----------------------|-------------------------------|--------------|-----|------------------|-------------------------------------|--|---------------------------------------|
| Australia            |                               |              | 13/ | 10,000           |                                     | 10,000                                 | 0                                     |
| Central African Rep. | 2,000                         |              | 1/  |                  |                                     |  | 2,000                                 |
| Central African Rep. | 1,500                         |              | 2/  |                  |                                     |  | 1,500                                 |
| Denmark              | 15,512                        |              | 3/  |                  |                                     |  | 15,512                                |
| Denmark              | 93,131                        |              | 4/  |                  |                                     |  | 93,131                                |
| EEC                  | 43,758                        |              | 5/  |                  |                                     |  | 43,758                                |
| France               | 15,947                        | (15,947)     | 6/  |                  |                                     |  | (0)                                   |
| France               |                               | 16,393       | 14/ |                  |                                     | 16,393                                 | 0                                     |
| Japan                |                               |              | 9/  | 45,000           |                                     |  | 45,000                                |
| Netherlands          |                               |              | 8/  | 80,000           |                                     | 80,000                                 | 0                                     |
| New Zealand          |                               |              | 10/ | 16,664           |                                     | 16,664                                 | 0                                     |
| Sweden               |                               |              | 11/ | 28,409           |                                     | 28,409                                 | 0                                     |
| Sweden               |                               | 1,630        | 12/ | 56,285           |                                     | 57,915                                 | 0                                     |
| Switzerland          | 15,000                        |              | 7/  |                  |                                     |  | 15,000                                |
| <b>Total</b>         | <b>186,848</b>                | <b>2,076</b> |     | <b>236,358</b>   | <b>0</b>                            | <b>209,381</b>                         | <b>215,901</b>                        |

\*\* Please note that the figures in bracket ( ) means credit

1/Central African Republic pledge for Biosafety Protocol Meeting, Vienna Austria, 15-19 September 1999

2/Central African Republic pledge for Art. 8(j) meeting in Seville 27-31 March 2000

3/Denmark pledge for travel expenses for Central and Eastern European countries-COP-5, Nairobi Kenya, 15-26 May 2000

4/Denmark pledge DKK 800,000 to support participation of Representatives at the Central and Eastern European Regional Workshop, February 2002

5/EEC pledge towards the second Meeting of ICCP on Biosafety, the seventh Meeting of the SBSTTA and the intersessional Meeting on the Strategic Plan. Grant Agreement SUB 01/324311

6/Cancellation - France pledge FrF100,000 for the support of participants to the Liaison Group Meeting on Ecosystem Approach, Paris, 15-17 September 1999

7/Switzerland pledge for SBSTTA-3, Montreal Canada, 1-5 September 1997

8/The Netherlands pledge towards the COP-6 and ICCP-3 Meetings, the Hague, the Netherlands, 7-26 April 2002

9/Japan pledge US\$45,000 towards the COP-6 and ICCP-3 Meetings, the Hague, the Netherlands, 7-26 April 2002

10/New Zealand pledge NZ\$40,000 towards the US\$45,000 towards the COP-6 and ICCP-3 Meetings, the Hague, the Netherlands, 7-26 April 2002

11/Sweden pledge SEK300,000 towards the ICCP-3 Meeting, the Hague, the Netherlands, 22-26 April 2002

12/Sweden pledge SEK600,000 towards the Article 8(j) Meeting, Montreal, Canada, 4-8 February 2002 and COP-6 Meeting, the Hague, the Netherlands, 7-19 April 2002

13/Australia pledge US\$10,000 towards the COP-6 Meeting, the Hague, the Netherlands, 7-19 April 2002

14/France pledge FrF100,000 for the support of participants to the Liaison Group Meeting on Ecosystem Approach, Paris, 15-17 September 1999



ANNEX V

**GENERAL TRUST FUND FOR THE CONVENTION ON BIOLOGICAL DIVERSITY (BY)  
as at 31 March 2002**

| Countries         | Unpaid Pledges<br>as at 31/12/01 | Pledges<br>for 2002 | Collections in<br>2001 for 2002 | Collections in<br>2002 for prior<br>yrs | Collections<br>During 2002<br>for 2002 &<br>future yrs | Unpaid<br>Pledges<br>for Prior<br>Years | Unpaid<br>Pledges for<br>2002 | Unpaid Pledges<br>for 2002 & Prior<br>years |
|-------------------|----------------------------------|---------------------|---------------------------------|---|--|---|-------------------------------|---|
|                   | US \$                            | US \$               | US \$                           | US \$                                   | US \$  | US \$                                   | US \$                         | US \$                                       |
| Albania           | 0                                | 274                 | 110                             |   |  | 0                                       | 164                           | 164   |
| Algeria           | 7,552                            | 7,841               |                                 |   |  | 7,552                                   | 7,841                         | 15,393                                      |
| Angola            | 0                                | 912                 | 912                             |   | 887  | 0                                       | (887)                         | (887)                                       |
| Antigua & Barbuda | 0                                | 182                 | 182                             |   |  | 0                                       | 0                             | 0   |
| Argentina         | 124,243                          | 100,566             |                                 |   |  | 124,243                                 | 100,566                       | 224,809                                     |
| Armenia           | 19,782                           | 547                 |                                 |   |  | 19,782                                  | 547                           | 20,329                                      |
| Australia         | 0                                | 135,213             | 135,213                         |   |  | 0                                       | 0                             | 0   |
| Austria           | 0                                | 85,887              |                                 |   | 85,887   | 0                                       | 0                             | 0   |
| Azerbaijan        | 965                              | 1,002               |                                 |   |  | 965                                     | 1,002                         | 1,967                                       |
| Bahamas           | 0                                | 1,368               | 1,368                           |   |  | 0                                       | 0                             | 0   |
| Bahrain           | 0                                | 1,550               |                                 |   |  | 0                                       | 1,550                         | 1,550                                       |
| Bangladesh        | 0                                | 912                 |                                 |   |  | 0                                       | 912                           | 912   |
| Barbados          | 0                                | 729                 | 3                               |   | 702  | 0                                       | 24                            | 24  |
| Belarus           | 74,881                           | 5,197               |                                 |   |  | 74,881                                  | 5,197                         | 80,078                                      |
| Belgium           | 0                                | 100,658             |                                 |   |  | 0                                       | 100,658                       | 100,658                                     |
| Belize            | 0                                | 91                  |                                 |   |  | 0                                       | 91                            | 91  |
| Benin             | 128                              | 182                 |                                 |   |  | 128                                     | 182                           | 310   |
| Bhutan            | 0                                | 91                  | 91                              |   |  | 0                                       | 0                             | 0   |
| Bolivia           | 0                                | 638                 |                                 |   |  | 0                                       | 638                           | 638   |
| Botswana          | 0                                | 912                 |                                 |   | 912  | 0                                       | 0                             | 0   |
| Brazil            | 232,816                          | 134,119             |                                 |   |  | 232,816                                 | 134,119                       | 366,935                                     |

| Bulgaria             | 966                           | 1,003            |                              |                                   |   | 966                            | 1,003                   | 1,969                                 |
|----------------------|-------------------------------|------------------|------------------------------|-----------------------------------|---|--------------------------------|-------------------------|---------------------------------------|
| Countries            | Unpaid Pledges as at 31/12/01 | Pledges for 2002 | Collections in 2001 for 2002 | Collections in 2002 for prior yrs | Collections During 2002 for 2002 & future yrs | Unpaid Pledges for Prior Years | Unpaid Pledges for 2002 | Unpaid Pledges for 2002 & Prior years |
| Burkina Faso         | 464                           | 182              |                              |                                   |   | 464                            | 182                     | 646                                   |
| Burundi              | 307                           | 91               |                              |                                   |   | 307                            | 91                      | 398                                   |
| Cambodia             | 88                            | 91               |                              |                                   |   | 88                             | 91                      | 179                                   |
| Cameroon             | 1,054                         | 1,185            |                              |                                   |   | 1,054                          | 1,185                   | 2,239                                 |
| Canada               | 0                             | 249,091          |                              |                                   | 249,091                                       | 0                              | 0                       | 0                                     |
| Cape Verde           | 2,180                         | 182              |                              |                                   |   | 2,180                          | 182                     | 2,362                                 |
| Central African Rep. | 138                           | 91               |                              | 138                               | 66  | (0)                            | 25                      | 25                                    |
| Chad                 | 2,415                         | 91               |                              |                                   |   | 2,415                          | 91                      | 2,506                                 |
| Chile                | 0                             | 3,282            | 26,771                       |                                   | 3,161   | 0                              | (26,650)                | (26,650)                              |
| China                | 0                             | 90,719           |                              |                                   |   | 0                              | 90,719                  | 90,719                                |
| Colombia             | 11,461                        | 9,938            |                              |                                   |   | 11,461                         | 9,938                   | 21,399                                |
| Comoros              | 2,415                         | 91               |                              |                                   |   | 2,415                          | 91                      | 2,506                                 |
| Congo                | 2,072                         | 274              |                              |                                   |   | 2,072                          | 274                     | 2,346                                 |
| Congo Dem.Rep        | 3,874                         | 638              |                              |                                   |   | 3,874                          | 638                     | 4,512                                 |
| Cook Islands         | 88                            | 91               |                              |                                   |   | 88                             | 91                      | 179                                   |
| Costa Rica           | 6,932                         | 1,459            |                              |                                   |   | 6,932                          | 1,459                   | 8,391                                 |
| Cote d'Ivoire        | 810                           | 821              |                              |                                   |   | 810                            | 821                     | 1,631                                 |
| Croatia              | 0                             | 2,735            | 2,735                        |                                   |   | 0                              | 0                       | 0                                     |
| Cuba                 | 12,705                        | 2,188            |                              |                                   |   | 12,705                         | 2,188                   | 14,893                                |
| Cyprus               | 0                             | 3,100            |                              |                                   | 3,100   | 0                              | 0                       | 0                                     |
| Czech Republic       | 0                             | 9,756            |                              |                                   | 9,756   | 0                              | 0                       | 0                                     |
| Denmark              | 0                             | 63,093           |                              |                                   |   | 0                              | 63,093                  | 63,093                                |
| Djibouti             | 88                            | 91               |                              |                                   |   | 88                             | 91                      | 179                                   |
| Dominica             | 0                             | 91               | 24                           |                                   |   | 0                              | 67                      | 67                                    |
| Dominica Rep.        | 4,666                         | 1,368            |                              |                                   |   | 4,666                          | 1,368                   | 6,034                                 |
| Ecuador              | 1,756                         | 1,824            |                              | 1,756                             |   | 0                              | 1,824                   | 1,824                                 |
| EEC                  | 0                             | 171,168          |                              |                                   |   | 0                              | 171,168                 | 171,168                               |

|                   |                                      |                         |                                     |  |  |                                       |                                |  |
|-------------------|--------------------------------------|-------------------------|-------------------------------------|--|--|---------------------------------------|--------------------------------|--|
| Egypt             | 0                                    | 5,926                   |                                     |  | 5,926  | 0                                     | 0                              | 0  |
| El Salvador       | 0                                    | 1,094                   |                                     |  |  | 0                                     | 1,094                          | 1,094  |
| <b>Countries</b>  | <b>Unpaid Pledges as at 31/12/01</b> | <b>Pledges for 2002</b> | <b>Collections in 2001 for 2002</b> | <b>Collections in 2002 for prior yrs</b> | <b>Collections During 2002 for 2002 &amp; future yrs</b> | <b>Unpaid Pledges for Prior Years</b> | <b>Unpaid Pledges for 2002</b> | <b>Unpaid Pledges for 2002 &amp; Prior years</b> |
| Equatorial Guinea | 2,415                                | 91                      |                                     |  |  | 2,415                                 | 91                             | 2,506  |
| Eritrea           | 0                                    | 91                      | 91                                  |  |  | 0                                     | 0                              | 0  |
| Estonia           | 0                                    | 1,094                   |                                     |  |  | 0                                     | 1,094                          | 1,094  |
| Ethiopia          | 0                                    | 547                     |                                     |  |  | 0                                     | 547                            | 547  |
| Fiji              | 0                                    | 365                     | 365                                 |  |  | 0                                     | 0                              | 0  |
| Finland           | 0                                    | 49,508                  |                                     |  |  | 0                                     | 49,508                         | 49,508   |
| France            | 0                                    | 596,742                 |                                     |  | 596,742  | 0                                     | 0                              | 0  |
| Gabon             | 4,794                                | 1,368                   |                                     |  |  | 4,794                                 | 1,368                          | 6,162  |
| Gambia            | 88                                   | 91                      |                                     |  |  | 88                                    | 91                             | 179  |
| Georgia           | 40,553                               | 638                     |                                     |  |  | 40,553                                | 638                            | 41,191   |
| Germany           | 0                                    | 898,715                 |                                     |  | 898,715  | 0                                     | 0                              | 0  |
| Ghana             | 2,853                                | 638                     |                                     |  |  | 2,853                                 | 638                            | 3,491  |
| Greece            | 0                                    | 32,003                  |                                     |  |  | 0                                     | 32,003                         | 32,003   |
| Grenada           | 0                                    | 91                      |                                     |  |  | 0                                     | 91                             | 91   |
| Guatemala         | 8,957                                | 1,641                   |                                     | 8,957                                    | 1,671  | 0                                     | (30)                           | (30)   |
| Guinea            | 2,211                                | 274                     |                                     |  |  | 2,211                                 | 274                            | 2,485  |
| Guinea-Bissau     | 1,936                                | 91                      |                                     |  |  | 1,936                                 | 91                             | 2,027  |
| Guyana            | 1,586                                | 91                      |                                     |  |  | 1,586                                 | 91                             | 1,677  |
| Haiti             | 1,490                                | 182                     |                                     |  |  | 1,490                                 | 182                            | 1,672  |
| Honduras          | 838                                  | 274                     |                                     |  |  | 838                                   | 274                            | 1,112  |
| Hungary           | 0                                    | 10,941                  |                                     |  |  | 0                                     | 10,941                         | 10,941   |
| Iceland           | 0                                    | 2,918                   |                                     |  | 2,918  | 0                                     | 0                              | 0  |
| India             | 50                                   | 27,261                  |                                     |  |  | 50                                    | 27,261                         | 27,311   |
| Indonesia         | 49,839                               | 17,141                  |                                     | 49,839                                   |  | 0                                     | 17,141                         | 17,141   |
| Iran              | 27,438                               | 14,679                  |                                     |  |  | 27,438                                | 14,679                         | 42,117   |
| Ireland           | 0                                    | 20,423                  | 20,373                              |  |  | 0                                     | 50                             | 50   |
| Israel            | 0                                    | 31,911                  |                                     |  |  | 0                                     | 31,911                         | 31,911   |
| Italy             | 0                                    | 495,720                 |                                     |  |  | 0                                     | 495,720                        | 495,720  |

|                           |  |                             |   |  |  |   |  |  |
|---------------------------|--|-----------------------------|---|--|--|---|--|--|
| Jamaica                   | 0  | 547                         | 547                                     |  |  | 0   | 0                                      | 0  |
| Japan                     | 0  | 1,711,675                   |   |  |  | 0   | 1,711,675                              | 1,711,675  |
| <b>Countries</b>          | <b>Unpaid Pledges<br/>as at 31/12/01</b> | <b>Pledges<br/>for 2002</b> | <b>Collections in<br/>2001 for 2002</b> | <b>Collections in<br/>2002 for prior<br/>yrs</b> | <b>Collections<br/>During 2002<br/>for 2002 &amp;<br/>future yrs</b> | <b>Unpaid<br/>Pledges<br/>for Prior<br/>Years</b> | <b>Unpaid<br/>Pledges for<br/>2002</b> | <b>Unpaid Pledges<br/>for 2002 &amp; Prior<br/>years</b> |
| Jordan                    | 527                                      | 547                         |   |  |  | 527   | 547                                    | 1,074  |
| Kazakhstan                | 55,573                                   | 4,376                       |   |  |  | 55,573  | 4,376                                  | 59,949   |
| Kenya                     | 612                                      | 638                         |   |  |  | 612   | 638                                    | 1,250  |
| Kiribati                  | 0  | 91                          | 3                                       |  |  | 0   | 88                                     | 88   |
| Korea, Dem. People's Rep. | 18,411                                   | 1,368                       |   |  |  | 18,411  | 1,368                                  | 19,779   |
| Korea, Rep. of            | 0  | 91,722                      |   |  |  | 0   | 91,722                                 | 91,722   |
| Kyrgyzstan                | 5,638                                    | 547                         |   |  |  | 5,638   | 547                                    | 6,185  |
| Lao PDR                   | 0  | 91                          |   |  |  | 0   | 91                                     | 91   |
| Latvia                    | 0  | 1,550                       |   |  |  | 0   | 1,550                                  | 1,550  |
| Lebanon                   | 25                                       | 1,459                       |   | 25   | 1,434  | (0)   | 25                                     | 25   |
| Lesotho                   | 0  | 182                         |   |  |  | 0   | 182                                    | 182  |
| Liberia                   | 161                                      | 182                         |   |  |  | 161   | 182                                    | 343  |
| Libya                     | 2,469                                    | 11,274                      |   |  |  | 2,469   | 11,274                                 | 13,743   |
| Liechtenstein             | 0  | 547                         |   |  |  | 0   | 547                                    | 547  |
| Lithuania                 | 0  | 1,368                       |   |  |  | 0   | 1,368                                  | 1,368  |
| Luxembourg                | 0  | 6,200                       |   |  | 6,200  | 0   | 0                                      | 0  |
| Macedonia                 | 1,291                                    | 365                         |   |  |  | 1,291   | 365                                    | 1,656  |
| Madagascar                | 0  | 274                         | 285                                     |  |  | 0   | (11)                                   | (11)   |
| Malawi                    | 176                                      | 182                         |   |  |  | 176   | 182                                    | 358  |
| Malaysia                  | 0  | 16,685                      |   |  | 16,685   | 0   | 0                                      | 0  |
| Maldives                  | 0  | 91                          | 91                                      |  |  | 0   | 0                                      | 0  |
| Mali                      | 2,180                                    | 182                         |   |  |  | 2,180   | 182                                    | 2,362  |
| Malta                     | 922                                      | 1,276                       |   |  |  | 922   | 1,276                                  | 2,198  |
| Marshall Island           | 88                                       | 91                          |   |  |  | 88  | 91                                     | 179  |
| Mauritania                | 1,246                                    | 91                          |   |  |  | 1,246   | 91                                     | 1,337  |
| Mauritius                 | 0  | 821                         | 821                                     |  |  | 0   | 0                                      | 0  |
| Mexico                    | 33,480                                   | 90,719                      |   | 20,803   |  | 12,677  | 90,719                                 | 103,396  |
| Micronesia, Fed. States   | 0  | 91                          |   |  |  | 0   | 91                                     | 91   |

|                    |  |                             |   |  |  |   |  |  |
|--------------------|--|-----------------------------|---|--|--|---|--|--|
| Moldova, Rep. of   | 21,840                                   | 912                         |   |  |  | 21,840  | 912                                    | 22,752   |
| Monaco             | 0  | 365                         | 21                                      |  | 344  | 0   | 0                                      | 0  |
| Mongolia           | 0  | 182                         |   |  |  | 0   | 182                                    | 182  |
| <b>Countries</b>   | <b>Unpaid Pledges<br/>as at 31/12/01</b> | <b>Pledges<br/>for 2002</b> | <b>Collections in<br/>2001 for 2002</b> | <b>Collections in<br/>2002 for prior<br/>yrs</b> | <b>Collections<br/>During 2002<br/>for 2002 &amp;<br/>future yrs</b> | <b>Unpaid<br/>Pledges<br/>for Prior<br/>Years</b> | <b>Unpaid<br/>Pledges for<br/>2002</b> | <b>Unpaid Pledges<br/>for 2002 &amp; Prior<br/>years</b> |
| Morocco            | 3,600                                    | 3,738                       |   | 3,600  |  | 0   | 3,738                                  | 3,738  |
| Mozambique         | 0  | 91                          |   |  |  | 0   | 91                                     | 91   |
| Myanmar            | 0  | 729                         |   |  | 729  | 0   | 0                                      | 0  |
| Namibia            | 615                                      | 638                         |   | 615  | 85   | 0   | 553                                    | 553  |
| Nauru              | 2,535                                    | 91                          |   |  |  | 2,535   | 91                                     | 2,626  |
| Nepal              | 0  | 365                         | 2,032                                   |  |  | 0   | (1,667)                                | (1,667)  |
| Netherlands        | 0  | 148,798                     |   |  |  | 0   | 148,798                                | 148,798  |
| New Zealand        | 0  | 20,150                      | 20,150                                  |  |  | 0   | 0                                      | 0  |
| Nicaragua          | 88                                       | 91                          |   |  |  | 88  | 91                                     | 179  |
| Niger              | 2,180                                    | 182                         |   |  |  | 2,180   | 182                                    | 2,362  |
| Nigeria            | 8,380                                    | 2,918                       |   |  |  | 8,380   | 2,918                                  | 11,298   |
| Niue               | 0  | 91                          |   |  |  | 0   | 91                                     | 91   |
| Norway             | 0  | 55,617                      |   |  | 55,617   | 0   | 0                                      | 0  |
| Oman               | 0  | 4,650                       |   |  |  | 0   | 4,650                                  | 4,650  |
| Pakistan           | 9,899                                    | 5,379                       |   | 4,000  |  | 5,899   | 5,379                                  | 11,278   |
| Palau              | 88                                       | 91                          |   |  |  | 88  | 91                                     | 179  |
| Panama             | 0  | 1,185                       |   |  |  | 0   | 1,185                                  | 1,185  |
| Papua New Guinea   | 0  | 638                         |   |  |  | 0   | 638                                    | 638  |
| Paraguay           | 4,746                                    | 1,276                       |   |  |  | 4,746   | 1,276                                  | 6,022  |
| Peru               | 16,610                                   | 9,026                       |   |  |  | 16,610  | 9,026                                  | 25,636   |
| Philippines        | 7,113                                    | 7,385                       |   | 7,113  | 7,385  | 0   | 0                                      | 0  |
| Poland             | 0  | 17,870                      | 17,870                                  |  |  | 0   | 0                                      | 0  |
| Portugal           | 360                                      | 39,297                      |   |  |  | 360   | 39,297                                 | 39,657   |
| Qatar              | 0  | 3,009                       |   |  |  | 0   | 3,009                                  | 3,009  |
| Romania            | 0  | 5,106                       |   |  |  | 0   | 5,106                                  | 5,106  |
| Russian Federation | 94,572                                   | 98,196                      |   |  |  | 94,572  | 98,196                                 | 192,768  |

|                            |                                      |                         |                                     |  |  |                                       |                                |  |
|----------------------------|--------------------------------------|-------------------------|-------------------------------------|--|--|---------------------------------------|--------------------------------|--|
| Rwanda                     | 913                                  | 91                      |                                     |  |  | 913                                   | 91                             | 1,004  |
| St.Kitts &Nevis            | 0                                    | 91                      | 91                                  |  |  | 0                                     | 0                              | 0  |
| St. Lucia                  | 168                                  | 91                      |                                     |  |  | 168                                   | 91                             | 259  |
| <b>Countries</b>           | <b>Unpaid Pledges as at 31/12/01</b> | <b>Pledges for 2002</b> | <b>Collections in 2001 for 2002</b> | <b>Collections in 2002 for prior yrs</b> | <b>Collections During 2002 for 2002 &amp; future yrs</b> | <b>Unpaid Pledges for Prior Years</b> | <b>Unpaid Pledges for 2002</b> | <b>Unpaid Pledges for 2002 &amp; Prior years</b> |
| Saint Vincent & Grenadines | 1,586                                | 91                      |                                     |  |  | 1,586                                 | 91                             | 1,677  |
| Samoa                      | 0                                    | 91                      |                                     |  |  | 0                                     | 91                             | 91   |
| San Marino                 | 0                                    | 182                     |                                     |  |  | 0                                     | 182                            | 182  |
| Sao Tome Principe          | 88                                   | 91                      |                                     |  |  | 88                                    | 91                             | 179  |
| Saudi Arabia               | 0                                    | 50,578                  |                                     |  |  | 0                                     | 50,578                         | 50,578   |
| Senegal                    | 36                                   | 547                     |                                     |  |  | 36                                    | 547                            | 583  |
| Seychelles                 | 0                                    | 182                     | 1,467                               |  |  | 0                                     | (1,285)                        | (1,285)  |
| Sierra Leone               | 1,915                                | 91                      |                                     |  |  | 1,915                                 | 91                             | 2,006  |
| Singapore                  | 0                                    | 16,320                  | 16,320                              |  |  | 0                                     | 0                              | 0  |
| Slovak                     | 0                                    | 3,191                   |                                     |  |  | 0                                     | 3,191                          | 3,191  |
| Slovenia                   | 0                                    | 5,562                   | 5,536                               |  |  | 0                                     | 26                             | 26   |
| Solomon Is.                | 1,936                                | 91                      |                                     |  |  | 1,936                                 | 91                             | 2,027  |
| South Africa               | 0                                    | 33,370                  |                                     |  |  | 0                                     | 33,370                         | 33,370   |
| Spain                      | 0                                    | 236,235                 |                                     |  |  | 0                                     | 236,235                        | 236,235  |
| Sri Lanka                  | 1,054                                | 1,094                   |                                     |  |  | 1,054                                 | 1,094                          | 2,148  |
| Sudan                      | 3,395                                | 638                     |                                     |  |  | 3,395                                 | 638                            | 4,033  |
| Suriname                   | 972                                  | 365                     |                                     |  |  | 972                                   | 365                            | 1,337  |
| Swaziland                  | 0                                    | 182                     |                                     |  |  | 0                                     | 182                            | 182  |
| Sweden                     | 15                                   | 98,378                  |                                     |  |  | 15                                    | 98,378                         | 98,393   |
| Switzerland                | 0                                    | 110,778                 | 110,778                             |  |  | 0                                     | 0                              | 0  |
| Syria                      | 0                                    | 5,835                   | 16,983                              |  |  | 0                                     | (11,148)                       | (11,148)   |
| Tajikistan                 | 1,558                                | 365                     |                                     |  |  | 1,558                                 | 365                            | 1,923  |
| Togo                       | 88                                   | 91                      |                                     |  |  | 88                                    | 91                             | 179  |
| Tonga                      | 88                                   | 91                      |                                     |  |  | 88                                    | 91                             | 179  |
| Trinidad & Tobago          | 0                                    | 1,459                   | 8,647                               |  |  | 0                                     | (7,188)                        | (7,188)  |
| Tunisia                    | 0                                    | 2,553                   |                                     |  | 2,553  | 0                                     | 0                              | 0  |
| Turkey                     | 0                                    | 40,117                  |                                     |  |  | 0                                     | 40,117                         | 40,117   |

|                                 |                                      |                         |                                     |  |  |                                       |                                |  |
|---------------------------------|--------------------------------------|-------------------------|-------------------------------------|--|--|---------------------------------------|--------------------------------|--|
| Turkmenistan                    | 6,980                                | 547                     |                                     |  |  | 6,980                                 | 547                            | 7,527  |
| Uganda                          | 0                                    | 365                     | 1,236                               |  |  | 0                                     | (871)                          | (871)  |
| Ukraine                         | 0                                    | 17,323                  |                                     |  |  | 0                                     | 17,323                         | 17,323   |
| U. K.                           | 0                                    | 464,082                 | 464,082                             |  |  | 0                                     | 0                              | 0  |
| <b>Countries</b>                | <b>Unpaid Pledges as at 31/12/01</b> | <b>Pledges for 2002</b> | <b>Collections in 2001 for 2002</b> | <b>Collections in 2002 for prior yrs</b> | <b>Collections During 2002 for 2002 &amp; future yrs</b> | <b>Unpaid Pledges for Prior Years</b> | <b>Unpaid Pledges for 2002</b> | <b>Unpaid Pledges for 2002 &amp; Prior years</b> |
| United Arab Emirates            | 0                                    | 16,229                  | 15,820                              |  |  | 0                                     | 409                            | 409  |
| Un.Rep. of Tanzania             | 1,313                                | 274                     |                                     |  |  | 1,313                                 | 274                            | 1,587  |
| Uruguay                         | 8,053                                | 4,376                   |                                     |  |  | 8,053                                 | 4,376                          | 12,429   |
| Uzbekistan                      | 11,963                               | 2,279                   |                                     |  |  | 11,963                                | 2,279                          | 14,242   |
| Vanuatu                         | 88                                   | 91                      |                                     |  |  | 88                                    | 91                             | 179  |
| Venezuela                       | 8,383                                | 14,588                  |                                     |  |  | 8,383                                 | 14,588                         | 22,971   |
| Viet Nam                        | 0                                    | 638                     |                                     |  |  | 0                                     | 638                            | 638  |
| Yemen                           | 3,774                                | 912                     |                                     |  |  | 3,774                                 | 912                            | 4,686  |
| Zambia                          | 196                                  | 182                     |                                     |  |  | 196                                   | 182                            | 378  |
| Zimbabwe                        | 1,510                                | 821                     |                                     |  |  | 1,510                                 | 821                            | 2,331  |
| <b>Sub Total</b>                | <b>1,011,391</b>                     | <b>6,911,000</b>        | <b>871,018</b>                      | <b>96,846</b>                            | <b>1,950,566</b>   | <b>914,545</b>                        | <b>4,089,416</b>               | <b>5,003,961</b>                                 |
| <b>Additional Contributions</b> |                                      |                         |                                     |  |  |                                       |                                |  |
| Canada                          | 400,000                              | 800,000                 |                                     |  |  | 400,000                               | 800,000                        | 1,200,000  |
| USA                             | 0                                    | 175,000                 |                                     |  |  | 0                                     | 175,000                        | 175,000  |
| Quebec                          | 200,000                              | 200,000                 |                                     |  |  | 200,000                               | 200,000                        | 400,000  |
| <b>Grand Total</b>              | <b>1,611,391</b>                     | <b>8,086,000</b>        | <b>871,018</b>                      | <b>96,846</b>                            | <b>1,950,566</b>   | <b>1,514,545</b>                      | <b>5,264,416</b>               | <b>6,778,961</b>                                 |

**ANNEX VI**

**LIST OF MEETINGS ORGANIZED BY THE  
SECRETARIAT OF THE CONVENTION ON BIOLOGICAL DIVERSITY  
(As of 31 March 2002)**

| <b>2002</b>         |   |                        |
|---------------------|---|------------------------|
| <b>DATES</b>        | <b>MEETING TITLE</b>  | <b>VENUE</b>           |
| 9- 12 January 2002  | Asian Regional Workshop on Sustainable Use of Biological Diversity  | Hanoi, Vietnam         |
| 19 January 2002     | African Regional Meeting on Capacity-Building for the Biosafety Clearing House  | Nairobi, Kenya         |
| 21-25 January 2002  | Ad-Hoc Working Group on the Interlinkages between Biological Diversity and Climate Change   | Helsinki, Finland      |
| 28-30 January 2002  | Workshop on Incentive Measures for the Workshop on Forests and Biological Diversity   | Accra, Ghana           |
| 3 February 2002     | Impacts of Genetic Use Restriction Technologies on Indigenous and Local Communities, and on Farmers' Rights in keeping with the Revision of the International Treaty on Plant Conservation for Food and Agriculture | Montreal, Canada       |
| 4-8 February 2002   | Open-ended Meeting of the Ad-Hoc Intersessional Working Group on Article 8(j) and Related Provisions  | Montreal, Canada       |
| 5-9 February 2002   | Eastern and Central Europe Regional Meeting on the Pilot Phase of the Biosafety Clearing house.   | Nitra, Slovak Republic |
| 11-13 February 2002 | Technical Expert Group Meeting on Global Strategy for Plant Conservation  | Gran Canaria, Spain    |
| 18-19 February 2002 | Meeting of the Bureau of the Intergovernmental Committee for the Cartagena Protocol on Biosafety  | Yaounde, Cameroon      |
| 18-20 February 2001 | Latin American and the Caribbean Regional Workshop on Sustainable Use of Biological Diversity   | Salinas, Ecuador       |
| 19-20 February 2002 | Informal Meeting on Formats, Protocols and Standards for the Improved Exchange of Biodiversity Information  | Montreal , Canada      |
| 4-8 March 2002      | Asian and Pacific Regional Meeting on the Pilot Phase of the Biosafety Clearing house   | Beijing, China         |
| 13-15 March 2002    | Technical Expert Meeting on Handling, Transport, Packaging and Identification of Living Modified Organisms, (Article 18.2b and 18.2c of the Biosafety Protocol)   | Montreal , Canada      |



| <b>DATES</b>     | <b>MEETING TITLE</b>   | <b>VENUE</b>      |
|------------------|--|-------------------|
| 18-20 March 2002 | Technical Experts Meeting on Handling, Transport, Packaging and Identification of Living Modified Organisms, (Article 18.2a of the Biosafety Protocol) | Montreal, Canada  |
| 18-20 March 2002 | Regional Meeting for Asia and the Pacific in preparation for the Sixth Meeting of the Conference of the Parties  | Bangkok, Thailand |
| 18-22 March 2002 | Regional Meeting for Latin America and the Caribbean in preparation for the Sixth Meeting of the Conference of the Parties                             | Kingston, Jamaica |
| 18-22 March 2002 | Ad hoc Technical Expert Group on Dry and Sub-humid Land Biodiversity   | Montreal, Canada  |
| 19-21 March 2002 | Regional Meeting for Africa in preparation for the Sixth Meeting of the Conference of the Parties  | Nairobi, Kenya    |