



**CONVENTION ON
BIOLOGICAL DIVERSITY**

Distr.
GENERAL

UNEP/CBD/QR/15
27 February 2002

ENGLISH ONLY

**QUARTERLY REPORT ON THE ADMINISTRATION OF
THE CONVENTION ON BIOLOGICAL DIVERSITY
(October - December 2001)**

Note by the Executive Secretary

Contents :

	page
I. INTRODUCTION.....	4
II. EXECUTIVE DIRECTION AND MANAGEMENT	4
III. IMPLEMENTATION OF THE ADMINISTRATIVE ARRANGEMENTS	5
A. Personnel Arrangements	5
B. Financial Arrangements.....	5
IV. IMPLEMENTATION OF THE DECISIONS OF THE CONFERENCE OF THE PARTIES	6

STTM Division

DECISIONS:

V/2 Progress report on the implementation of the programme of work on the biological diversity of inland water ecosystems.....	6
V/3 Progress report on the implementation of the programme of work on marine and coastal biological diversity.....	7
V/4 Progress report on the implementation of the programme of work on forest biological diversity	8

V/5	Agricultural biological diversity review of phase I of the Programme of work and adoption of multi-year work programme.....	9
V/6	Ecosystem approach.....	10
V/7	Identification, monitoring and assessment, and indicators.....	10
V/8	Alien species that threaten ecosystems, habitats or species.....	10
V/9	Global taxonomy Initiative: implementation and further advance of the Suggestions for Action.....	10
V/10	Global strategy for plant conservation.....	11
V/23	Consideration of options for conservation and sustainable use of Biological diversity in dryland, Mediterranean, arid, semi-arid Grassland and savannah ecosystems.....	11
V/24	Sustainable use as a cross-cutting issue.....	11
 <u>Social Economic and Legal Matters</u>		
V/15	Incentive measures.....	12
V/16	Article 8(j) and related provisions.....	12
V/18	Impact assessment, liability and redress.....	12
V/21	Cooperation.....	13
V/25	Biological diversity and tourism.....	13
V/26	Access to genetic resources.....	14
V/27	Contribution of the Convention on Biological Diversity to the ten-year review of progress achieved since the United Nations Conference on Environment and Development.....	15
 <u>Biosafety Unit</u>		
V/1	Work plan of the Intergovernmental Committee for the Cartagena Protocol on Biosafety.....	15

Implementation and Outreach Division

V/11	Additional financial resources	16
V/12	Second review of the financial mechanism.....	17
V/13	Further guidance to the Global Environment Facility.....	17
V/14	Scientific and technical cooperation and the clearing-house mechanism.....	19
V/17	Education and public awareness.....	19
V/19	National reporting.....	21
V/20	Operations of the Convention.....	21

Annexes

Annex I	Status of Implementation of the Agreed Administration Arrangements	22
Annex II	Organisational Chart of the CBD Secretariat.....	27
Annex III	General Trust Fund for additional voluntary Contributions (BE).....	33
Annex IV	General Trust Fund for additional voluntary Contributions (BZ).....	37
Annex V	General Trust Fund for the CBD (BY).....	40
Annex VI	List of meetings organised by the Secretariat.....	47

I. INTRODUCTION

1. This report is prepared in keeping with decision III/24 of the Conference of the Parties which requested the Executive Secretary to prepare a quarterly report on the administration of the Convention including such matters as the staff lists, status of contributions, progress on the implementation of the medium term work programme and financial expenditures. The requirements to report on a regular basis to the Parties were further elaborated under the Administrative Arrangements between the Secretariat and UNEP which were endorsed by the Conference of the Parties in decision IV/17.
2. The Executive Secretary has prepared this Quarterly Report which contains a summary of key activities implementing the decisions of the Conference of the Parties and other relevant matters during the period 1 October to 31 December 2001.

II. EXECUTIVE DIRECTION AND MANAGEMENT

3. In early October, the Secretariat organized the second meeting of the Intergovernmental Committee on the Cartagena Protocol on Biosafety (ICCP-2) in Nairobi, Kenya. A joint meeting of the ICCP and COP Bureaux was also held in Nairobi following the meeting.
4. The Secretariat, with the generous financial support from the Government of Germany, organized the first meeting of the *Ad hoc* Open-ended Working Group on Access and Benefit-sharing in Bonn, Germany, from 22-26 October 2001. The meeting adopted the draft International Guidelines on Access and Benefit-sharing as well as an Action Plan for capacity building to be submitted to COP-6 when it meets in April 2002 in The Hague. On the margins of this meeting, the Secretariat participated in the International Indigenous Biodiversity Forum of the Global Biodiversity Forum (GBF).
5. The seventh meeting of the Subsidiary Body on Scientific, Technical and Technological Advice (SBSTTA-7) was held in Montreal, from 12-16 November. The meeting was preceded by a one-day meeting of the Informal Advisory Committee (IAC) of the Clearing-house Mechanism of the CBD. A three-day Open-ended Inter-sessional Meeting on the Strategic Plan, National Reports and Implementation of the Convention followed the meeting of SBSTTA-7 from 19-21 November also in Montreal. The Ecosystem Conservation Group (ECG) held a meeting on the margins of SBSTTA-7.
6. The third and last meeting of the CBD-UNESCO Consultative Working Group on Biodiversity Education and Public Awareness took place in Bilbao, Spain from 5-7 November 2001.
7. Additionally, during the last quarter of 2001, the Secretariat organized a number of other important meetings namely: a one-day meeting of the Liaison Group on Article 8(j) (Montreal, 9 October); A Workshop on Incentive Measures (Montreal, 10-12 October), and the first meeting of the *Ad hoc* Technical Expert Group on Marine and Coastal Protected Areas (22-26 October, Leigh, New Zealand).
8. The International Symposium on Managing Biodiversity in Agricultural Ecosystems was held in Montreal, on 8-10 November 2001. This meeting is funded and organized by the United Nations University in collaboration with McGill University and with input from the CBD.

9. The Secretariat was represented at the high level segment of the fifth session of the Conference of the Parties to the Convention to Combat Desertification (Geneva, 8-12 October); and participated at the following meetings: the meeting on the Collaborative Partnership on Forests (New York, 8-9 October); the second technical design workshop for the Millennium Ecosystem Assessment (South Africa, 8-11 October); the seventh session of the Conference of the Parties to the Convention Framework on Climate Change (Marrakech, 29 October); the fourth Global Meeting of Regional Seas Conventions and Action Plans (Montreal, 21-23 November); the first Intergovernmental Review of the Global Programme of Action for the Protection of the Marine Environment from land-based Activities (Montreal, 26-20 November); the fourth meeting of the Open-ended Intergovernmental Group of Ministers on International Environmental Governance (Montreal, 30 November to 1 December); and the eighteenth session of the GEF Council (Washington, D.C., 5-7 December).

10. Furthermore, and on the margins of the GEF Council meeting, a joint liaison group meeting between the Executive Secretaries of the Climate Change (UNFCCC), Desertification (UNCCD) and Biodiversity (CBD) secretariats and the officers of their relevant subsidiary bodies was held in Washington, D.C. on 6 December 2001.

11. The Secretariat was also active in other relevant international fora and participated in numerous meetings including: the workshop on the CBD and Environmental Governance (United Kingdom, 8 October), the General Assembly of the Global International Water Assessment (Sweden, 9-11 October); the workshop on Management of Invasive Alien Species (Brazil, 17-19 October); the meeting on Biodiversity and Human Health (New York, 26-30 October); the regional workshop on conservation and sustainable use of agro-biodiversity: bioprospecting, access and benefit-sharing (Barbados, 5-6 November); the Southern Africa Regional Training Workshop on the Clearing-house Mechanism (Malawi, 26-30 November); a Global Conference on Oceans and Coasts at Rio+10 (France, 3-7 December); WIPO's Intergovernmental Committee on Intellectual Property and Genetic Resources (Geneva, 10-14 December) and the AOSIS Workshop on Trade, Sustainable Development and Small Island States (Jamaica, 12-15 December).

12. Recruitment is underway to fill two senior professional positions; and the selected candidates are expected to report on duty during the first quarter of 2002.

III. IMPLEMENTATION OF THE ADMINISTRATIVE ARRANGEMENTS

A. Personnel Arrangements

13. During the reporting period, the Secretariat had **30** regularized Professional staff members and **20** regularized General Service staff members funded from the core budget. Additionally, **10** Professional posts and **5** General Service posts were funded from other sources. Between October and December 2001 the following staff members joined the Secretariat: Mr. Markus Lehmann, Economist (SEL), Ms. Michelle Gauthier, Programme Officer Agricultural Biodiversity (STTM), Mr. Lijie Cai, Programme Officer, National Reports (I&O), and Mr. Ryan Hill, Programme Officer Scientific Assessments Biosafety (BS). For more information, please refer to the attached staff list and organigram (Annex I Appendix 1, and Annex II respectively).

B. Financial Arrangements

14. With regard to budgetary matters, as at 31 December 2001, of the total pledged contributions of US\$6,598,350 for 2001 to the General Trust Fund for the Convention on Biological Diversity (**BY Trust Fund**), the total contributions received amounted to US\$6,111,473. A balance of US\$486,877 is still outstanding for 2001. Additionally, US\$871,018 were received during 2001 as advance payments for 2002, and US\$125,000 was received during 2001 as a special contribution from the Government of the United States of America. Total unpaid pledges to the BY Trust Fund for prior years amounts to US\$526,727. Details of the BY Trust Fund are contained in Annex V.

15. Several Parties have contributed additional voluntary funds in support of approved activities under the Convention (**BE Trust Fund**). As of 31 December 2001, the total amount pledged for 2001 amounts

to US\$2,487,351. The total collected for 2001 as at 31 December 2001 was US\$1,706,686, and an additional \$548,273 has been collected in 2001 for prior years. Total unpaid pledges for 2001 and prior years to the BE Trust Fund is US\$780,665. Details of the BE Trust Fund are contained in Annex III.

16. Pledges totalling US\$1,335,969 have so far been made as additional voluntary contributions to facilitate the participation of Parties to the Convention Process (**BZ Trust Fund**) in 2001, of which US\$1,150,679 has already been paid. Additionally, US\$299,413 has been collected during 2001 for prior years' pledges. Total unpaid pledges for 2001 and prior years to the BZ Trust Fund amount to US\$187,724. Details of the BZ Trust Fund are contained in Annex IV.

17. Invoices have been sent to the 182 Parties to the Convention, inviting them to pay their contributions to the General Trust Fund for 2002. As at 31 December 2001 ninety-eight countries have totally or partially paid their assessed contributions for 2001 representing 93% of the total amount pledged to the Trust Fund in 2001.

IV. IMPLEMENTATION OF THE DECISIONS OF THE CONFERENCE OF THE PARTIES

SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL MATTERS

DECISION V/2: PROGRESS REPORT ON THE IMPLEMENTATION OF THE PROGRAMME OF WORK ON THE BIOLOGICAL DIVERSITY OF INLAND WATER ECOSYSTEMS (IMPLEMENTATION OF DECISION IV/4)

Inland water ecosystems biological diversity

18. The Secretariat was involved in the third World Water Forum through jointly organized activities with the Ramsar Convention within the framework of the River Basin Initiative (RBI). The RBI will organize one or more discussion sessions on the Virtual Water Forum on integrated management of biodiversity, wetlands and river basins.

19. The Secretariat is preparing a progress report on the second joint work plan with Ramsar, and is drafting the third joint work plan in collaboration with the Ramsar Bureau. The Secretariat participated in three management meetings of the River Basin Initiative. The initiative is in its operational phase.

20. The Executive Secretary in collaboration with the Ramsar Bureau prepared a document on the CBD and Ramsar approaches to criteria and classification of inland water ecosystems (for national elaboration of Annex I to the Convention on Biological Diversity as pertinent to inland water ecosystems). The document was based on Annex I to the CBD, the Ramsar Strategic Framework for the List of Wetlands of International Importance, and the Ramsar STRP document on Wetlands Inventory. This document was considered by the Ramsar Standing Committee, which met from 3 to 7 December in Gland, Switzerland. The Ramsar Bureau will take further actions to carry out the recommendations contained in the document. The document will be sent for peer review to experts from the CBD and Ramsar Roster of Experts and relevant organizations.

21. The Secretariat continued its cooperation with Wetlands International (WI) in the framework of their joint work plan and also as a member of the Global Steering Group of the programme between the Netherlands Ministry of Foreign Affairs (DGIS) and Wetlands International. WI invited the CBD Secretariat to its Board of Members meeting held in Wageningen, the Netherlands, from 29 November to 2 December 2001. The Secretariat could not participate in the meeting. However, the Executive Secretary

sent an opening statement that was presented by Deputy Secretary General of the Ramsar Convention in the plenary.

22. The Secretariat has further strengthened its relationship with the Administrative Committee on Coordination, Subcommittee on Water Resources (ACC/SWR). The brochure prepared by the Secretariat on the World Water Assessment Programme (WWAP) was distributed at the Open Ended Intersessional Meeting on the Strategic Plan, National Reports and Implementation of the CBD held in Montreal from 19 to 21 November 2001. The World Water Assessment Programme published posters for all members of the ACC/SWR involved in the development of the World Water Development Report, for display in the WWAP exhibit in the International Conference on Freshwater in Bonn in December 2001. The Secretariat provided the WWAP secretariat with text and photos for the poster on the CBD. The Secretariat had input to the paper submitted to the conference in Bonn on Policies for Water Security, Charting Progress Since Rio.

23. The Secretariat, in collaboration with the Ramsar Convention, is implementing the project brief outlined in UNEP/CBD/SBSTTA/6/3 for development of rapid assessment methods for biological diversity of inland water ecosystems. The Executive Secretary, in collaboration with Conservation International, is preparing a document containing a list of existing assessment methods and guidelines for application of existing rapid assessment methods for evaluation and assessment of inland water ecosystems, and will identify elements of best practices. A liaison group meeting will likely be held in July 2002 to finalize the guidelines.

24. The Secretariat participated in the General Assembly of the Global International Waters Assessment (GIWA) held in Kalmar, Sweden, from 9 to 11 October 2001. Elements for a Memorandum of Cooperation (MoC) and a Joint Work Programme (JWP) were further discussed with the Secretariat of the GIWA. The MoC and JWP are now being finalized.

DECISION V/3 PROGRESS REPORT ON THE IMPLEMENTATION OF THE PROGRAMME OF WORK ON MARINE AND COASTAL BIOLOGICAL DIVERSITY (IMPLEMENTATION OF DECISION IV/5)

Marine and coastal biological diversity

25. The first meeting of the Expert Group took place in Leigh, New Zealand, from 22 to 26 October 2001, with financial support from the Governments of New Zealand, the United States of America, and the IUCN World Commission on Protected Areas (WCPA). The group comprised representatives of 15 countries: Brazil, Chile, Guyana, Indonesia, Lithuania, Morocco, New Zealand, Philippines, Seychelles, Solomon Islands, St Lucia, South Africa, Sweden, United Kingdom, and United States of America. Two other invited countries (Australia and Croatia) were unable to attend. In addition, observers from the IUCN World Congress on Protected Areas, the South Pacific Regional Environment Programme, and the United States funding agency participated in the meeting.

26. The group undertook considerable work during the week, focusing on identifying a general framework for consideration of the issue, and key topics for further work during the intersessional period. The desk study prepared by the Executive Secretary, in line with activity (c) of operational objective 3.1 of the programme of work on marine and coastal biological diversity (decision IV/5, annex) was used as a basis for group discussions. The members have identified a number of intersessional work areas, and established a process for undertaking this work. The Bureau, Secretariat and AHTEG will be using a range of mechanisms to ensure wide input into the work. In particular, a broad informal advisory group is being established, to operate as a Listserver.

27. Based on the intersessional work, the group will identify key areas for future research, including potential pilot projects, and provide other outputs in accordance with its terms of reference. These issues will be deliberated further during the second meeting. The group will finalize its work, including the written text, during the second meeting, which is tentatively scheduled to be held from 20 to 24 May 2002 in New Zealand.

Integrated marine and coastal area management

28. At its fifth meeting, the Conference of the Parties endorsed further work on developing guidelines for coastal areas taking into account the ecosystem approach. In this context, and in view of its commitments to the CBD, the Government of Netherlands is undertaking activities leading to better integration of CBD objectives in IMCAM projects at local, national and regional levels. As a first step, an analysis of integrated marine and coastal area management documents in relation to CBD objectives has been produced and submitted to the Secretariat.

General

29. An analysis of the first and second national reports and the National Biodiversity Strategies and Action Plans has been undertaken in order to assess the degree of national-level implementation of the Jakarta Mandate. The resulting report was presented at the Global Conference at Oceans and Coasts at Rio+10, which was held in Paris from December 3 to 8, 2001.

30. The Secretariat participated in The Reykjavik Conference on Responsible Fisheries in the Marine Ecosystem held in October 2001 in Reykjavik, Iceland. The conference was organized by the Government of Iceland and the United Nations Food and Agriculture Organization (FAO), and was co-sponsored by the Government of Norway. The aims of the Conference were to gather and review the best available knowledge on marine ecosystem issues; to identify means by which ecosystem considerations can be included in capture fisheries management; and to identify future challenges and relevant strategies.

31. In addition, the Secretariat participated in the First Intergovernmental Review on the Implementation of the Global Programme of Action for the Protection of the Marine Environment from Land-based Activities and in the Fourth Global Meetings of Regional Seas Conventions and Action Plans. Both were held in November in Montreal.

32. The Secretariat has contributed materials to the UN Atlas of the Oceans. The Atlas is a Web-based, interactive information system database on the science and sustainable use of oceans, and is a collaborative effort between a number of UN agencies.

DECISION V/4 PROGRESS REPORT ON THE IMPLEMENTATION OF THE PROGRAMME OF WORK FOR FOREST BIOLOGICAL DIVERSITY

Forest biological diversity

33. Forest biological diversity was discussed as the main theme at SBSTTA 7. The discussions resulted in recommendation VII/6, which in its annex I contains elements for an expanded programme of work on forest biological diversity. As part of the intersessional work assigned to it by SBSTTA 7, the Executive Secretary has completed an analysis comparing the existing programme of work (adopted by decision IV/7) to the elements for an expanded work programme, as contained in annex I to recommendation VII/7. The Executive Secretary found that the elements for an expanded work programme cover, in much greater detail, all the activities in the existing work programme, with the exception of some activities relating to the need to develop taxonomic studies.

34. In paragraph 4 of recommendation VII/6, SBSTTA welcomed the offer by the Government of Ghana to host a workshop to explore opportunities for collaboration among the CBD, the United Nations Forum on Forests (UNFF) and the Collaborative Partnership on Forests (CPF). This workshop will take place in Accra, Ghana, from 28-30 January 2002, and is a collaborative effort between the CBD and UNFF Secretariats, and the Government of Ghana, with financial support from the Government of the Netherlands. The workshop is expected to result in a list of elements for possible joint programmes between CBD and UNFF, and with other members of the CPF, and in guidance on ensuring successful cross-sectoral implementation of forest programmes and projects at the national level.

DECISION V/5 AGRICULTURAL BIOLOGICAL DIVERSITY: REVIEW OF PHASE I OF THE PROGRAMME OF WORK AND ADOPTION OF A MULTI-YEAR WORK PROGRAMME

Agricultural biological diversity

Agrobiodiversity – Case Studies

35. The Secretariat has organized jointly with the United Nations University and the International Plant Genetic Resources Institute, a Symposium on Managing Biodiversity in Agricultural Ecosystems. The symposium was held in Montreal, Canada, from 8 to 10 November 2001, and had approximately 140 participants. A compilation of 124 symposium abstracts will be published in early 2002 by the United Nations University. The publication will also be made available through the CHM. Background information and a meeting report are available on <http://www.unu.edu/env/plec/cbd/bio-mtg.html> and <http://www.iisd.ca/linkages/sd/mbae/>

Trade Liberalization

36. In response to decisions IV/6 paragraph 10 and V/5 paragraph 28, and recommendation VII/7 annex 1, on trade liberalization, the Secretariat called on Parties and International Organizations to provide their views on the draft document prepared by the Executive Secretary entitled “The impact of trade liberalization on the conservation and sustainable use of agricultural biodiversity”. The document will be further reviewed by a support group of experts, finalized, and presented for the consideration of COP 6.

Genetic Use Restriction Technologies (GURTs)

37. In response to decision V/5 paragraph 29, the Executive Secretary will convene representatives of Parties, International Organizations and Indigenous and Local Community Organizations to an Informal Consultation to discuss the potential impacts of the application of genetic use restriction technologies (GURTs) on indigenous and local communities and on Farmers’ Rights. The meeting will be held on 3 February 2002, and will report immediately after to the Ad Hoc Open-ended Inter-sessional Working Group on Article 8(j) and related provisions of the Convention (Montreal, 4 – 8 February 2002).

Staff

38. The new programme officer for agricultural biodiversity, Ms. Michelle Gauthier (Canada), seconded by FAO, entered in duty on 1 October 2001. She replaces Mr. David Cooper (United Kingdom), who returned to the FAO on 31 August 2001, after serving at the CBD Secretariat for 2 years.

DECISION V/6 ECOSYSTEM APPROACH

Ecosystem approach

39. Work on the ecosystem approach is ongoing through the elaboration of the concept in the different programmes of work with regard to the thematic areas and cross-cutting issues of the Convention.

DECISION V/7 IDENTIFICATION, MONITORING AND ASSESSMENT AND INDICATORS

Scientific assessments

40. A progress report on scientific assessments was given at SBSTTA 7 under agenda item 3.2. The discussions on the topic resulted in recommendation VII/2, which approved the steps taken by the Executive Secretary to implement the pilot assessments as described in annex II to his progress report on ongoing assessments (UNEP/CBD/SBSTTA/7/3). The work on these pilot assessments, relating to assessment of forest biological diversity, impacts of invasive alien species, and the interlinkages between biological diversity and climate change; and development of rapid assessment methods relating to inland water ecosystems, and marine and coastal biological diversity, are currently underway. The SBSTTA also approved the procedure being used to carry out assessments under the Convention through the use of ad hoc technical expert groups, outlined in annex I to the progress report. This assessment procedure represents an effort to harmonize the use of ad hoc technical expert groups in assessment processes. As requested by SBSTTA, the procedure will be kept under review, and, in the light of experience, periodically revised and improved.

DECISION V/8 ALIEN SPECIES THAT THREATEN ECOSYSTEMS, HABITATS OR SPECIES

Invasive alien species

41. In its recommendation VII/2, SBSTTA approved a pilot assessment on evaluating the impacts of invasive alien species. This assessment, described in annex II to document UNEP/CBD/SBSTTA/7/3, will undertake an ecosystem-approach assessment of the impacts of invasive alien species, supplanting existing anecdotal evidence and specific studies. The project will also contribute to the development of risk assessment and management methods, by identifying key threats and their most important impacts.

DECISION V/9 GLOBAL TAXONOMY INITIATIVE: IMPLEMENTATION AND FURTHER ADVANCE OF SUGGESTIONS FOR ACTION

Global Taxonomy Initiative

42. The Secretariat has continued to encourage regional meetings in order to identify national and regional priority taxonomic information requirements and determine capacity development needs, and has been active in encouraging the adoption of CBD objectives in meetings planned for South-East Asia, the Andean countries and North America.

43. The programme is being elaborated within other cross-cutting issues and thematic areas of the Convention, and taxonomic components have been further recognised in the draft Global Plant Conservation Strategy. The Secretariat has also taken part in the Information Management Working Group of the Global Invasive Species Programme. Information on taxonomic research needs was

presented to the Ad Hoc Open-ended Working Group on Access and Benefit-sharing. The Secretariat is updating its webpage on the Global Taxonomy Initiative.

DECISION V/10 GLOBAL STRATEGY FOR PLANT CONSERVATION

Global Plant Conservation Strategy

44. In response to recommendation VII/8 on the Global Strategy for Plant Conservation, paragraphs 2 and 3, the Executive Secretary requested Parties and International Organizations to provide comments on targets, and to nominate expert participants for an Informal Consultation being organized jointly between the Jardín Botánico Gran Canaria “Viejo y Clavijo” and the Secretariat. The consultation will be held in Gran Canaria from 11 to 13 February 2002. The task of the expert participants will be to refine the quantitative elements of the targets in the draft strategy, providing a scientific and technical rationale in each case, and clarifying terms as necessary: additionally they will prepare an analysis of the opportunities for implementation of the strategy through the thematic and cross-cutting programmes of work of the Convention, including in particular the ecosystem approach and the Global Taxonomy Initiative, as well as through existing relevant international, regional and national initiatives, and identifying any gaps in these programmes and initiatives.

DECISION V/23 CONSIDERATION OF OPTIONS FOR CONSERVATION AND SUSTAINABLE USE OF BIOLOGICAL DIVERSITY IN DRYLAND, MEDITERRANEAN, ARID, SEMI-ARID, GRASSLAND AND SAVANNAH ECOSYSTEMS

Dry and sub-humid lands biological diversity

45. The topic “Biological diversity of dry and sub-humid lands” was discussed during SBSTTA 7 under agenda-item 3.3. This resulted in recommendation VII/3: Biological diversity of dry and sub-humid lands: progress report on the implementation of the programme of work. The document on biological diversity of dry and sub-humid lands (UNEP/SBSTTA/7/4), prepared by the Secretariat, was used as the basis for the discussions.

DECISION V/24 SUSTAINABLE USE AS A CROSS-CUTTING ISSUE

Sustainable use

46. The Secretariat was represented in the International Conference on Improving Management of Natural and Cultural Heritage Resources in China, which was held in Beijing, China from 4 to 6 December 2001.

47. The issue “Sustainable use: progress on development of practical principles, operational guidance and associated instruments” was discussed during SBSTTA 7 under agenda-item 3.4. This resulted in recommendation VII/4. The documents on this issue (UNEP/CBD/SBSTTA/7/5 and UNEP/CBD/SBSTTA/7/INF 10), prepared by the Secretariat, were used as basis for the discussions.

48. Preparations are underway for the second regional workshop on sustainable use of biological diversity to be held in Hanoi, Vietnam, from 9 to 12 January 2002.

SOCIAL ECONOMIC AND LEGAL MATTERS

49. During the period under review, the SEL unit was busy inter alia with the organization and servicing of a number of meetings, including the Ad Hoc Working Group on Access and Benefit-sharing, the Workshop on Incentive Measures, the Liaison Group on Article 8 (j) and SBSTTA 7. Documentation

/...

for the second meeting of the Working Group on Article 8 (j) was completed, as well as a number of documents for COP6.

DECISION V/15 INCENTIVE MEASURES

50. A workshop on incentive measures was held in Montreal from 12-16 November 2001, with financial support from the Government of the Netherlands. Participants in the Workshop were selected from among government-nominated experts from each geographic region; in addition, representatives of competent intergovernmental and non-governmental organizations, as well as stakeholders, were invited to participate as observers. The workshop based its deliberations on background documentation prepared by the Secretariat in conjunction with competent organizations.

51. The proposals of the workshop were considered by SBSTTA at its seventh meeting, from 12-16 November 2001. On the basis of these proposals, SBSTTA elaborated and adopted recommendation VII/9 on incentive measures, for transmittal to the Conference of Parties at its sixth meeting, from 7-19 April 2002. Recommendation VII/9 includes both a set of recommendations on elements for consideration in the design and implementation of incentive measures as well as suggested recommendations for future cooperation to assist Parties in the development of incentive measures.

52. In recommendation VII/9, SBSTTA also requested the Executive Secretary to make information gathered on perverse incentives available to the sixth meeting of the Conference of the Parties. Furthermore, SBSTTA invited Parties to submit case studies and best practices on incentive measures and their implementation before COP 6. Pursuant to these requests, the Executive Secretary sent notifications to Parties and to relevant organizations, inviting them to submit information on perverse incentives as well as case studies and best practices on incentives no later than 31 January 2002.

DECISION V/16: ARTICLE 8 (j) AND RELATED PROVISIONS

53. In response to decision V/16 relating to the implementation of Article 8(j) and related provisions, all documents and other relevant information for the second meeting of the Ad Hoc Open-ended Intersessional Working Group on Article 8(j) and Related Provisions have been finalized and are now on the CBD webpage. Logistical arrangements for the meeting are also in place.

54. The CBD was represented at the second meeting of the WIPO-Intergovernmental Committee on Genetic Resources, Traditional Knowledge and Folklore, which was held in Geneva from 10-14 December 2001. Delegates were briefed on the upcoming second meeting of the Ad Hoc Open-ended Working Group on article 8 (j).

DECISION V/18: IMPACT ASSESSMENT, LIABILITY AND REDRESS

55. In decision V/18, the Conference of the Parties decided to consider, at its sixth meeting, a process for reviewing paragraph 2 of Article 14 of the Convention on liability and redress, including the possibility of establishing an ad hoc technical expert group, taking into account, inter alia, consideration of these issues within the framework of the Cartagena Protocol on Biosafety.

56. Work continues on information gathering on existing national, international and regional measures and agreements on liability and redress applicable to damage to biological diversity as mandated by decision V/18 and re-emphasised by the Paris Workshop on Liability and Redress in the Context of the Convention on Biological Diversity.

57. The Intergovernmental Committee for the Cartagena Protocol (ICCP) held its second meeting in Nairobi, Kenya, from 1 to 5 October 2001. It considered the issue of liability and redress in the context of the Protocol. Discussions by the ICCP focused mainly on the process for addressing Article 27 of the Protocol. In this regard, the ICCP emphasized that the process with respect to liability and redress under the Protocol is distinct from the process with respect to liability and redress under Article 14, paragraph 2, of the Convention but acknowledged the need to identify and promote synergies and cross-fertilization between the two processes. The ICCP recommended, inter alia, information gathering and analysis on the issue of liability and redress pursuant to Article 27 and the establishment of an open-ended ad hoc group of legal and technical experts by the first meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol to carry out the process contemplated under Article 27 of the Protocol.

DECISION V/21: COOPERATION WITH OTHER BODIES

SBSTTA RECOMMENDATION VI/7: BIOLOGICAL DIVERSITY AND CLIMATE CHANGE, INCLUDING COOPERATION WITH THE UNITED NATIONS FRAMEWORK CONVENTION ON CLIMATE CHANGE

Climate Change

58. The Ad Hoc Technical Expert Group on Biological Diversity and Climate Change will meet for the first time in Helsinki, Finland, from 21 to 25 January 2002. The establishment of the expert group was called for by SBSTTA in its recommendation VI/7. In this recommendation, SBSTTA noted the inter-linkages between biological diversity and climate change, and decided to promote, on the basis of the ecosystem approach, a wider assessment of these inter-linkages. As a first step in this wider assessment, SBSTTA decided to carry out a pilot assessment to prepare scientific advice to integrate biodiversity considerations into the implementation of the UNFCCC and its Kyoto Protocol, and, for this purpose, established an ad hoc technical expert group. The expert group is expected to analyse possible adverse effects on biological diversity of measures that might be taken or are being considered under the United Nations Framework Convention on Climate Change and its Kyoto Protocol; identify factors that influence biodiversity's capacity to mitigate climate change and contribute to adaptation and the likely effects of climate change on that capacity; and identify options for future work on climate change that also contribute to the conservation and sustainable use of biological diversity.

The Convention on Biological Diversity and the Convention on the Conservation of Migratory Species of Wild Animals (CMS)

59. The Executive Secretary is in the process of developing a joint work plan between the Convention on Biological Diversity and the Convention on the Conservation of Migratory Species of Wild Animals. The joint work plan covers various thematic and cross-cutting issues.

Ramsar Convention on Wetlands of International Importance especially as Waterfowl Habitat

60. In response to SBSTTA recommendation VI/3, the Executive Secretary, in collaboration with the Ramsar Bureau, is preparing a progress report on the implementation of the second joint work plan with Ramsar and a draft the third joint work plan for the consideration of the 6th meeting of the COP. For additional details regarding this collaboration, please refer to the section on inland water ecosystems biological diversity.

DECISION V/25: BIOLOGICAL DIVERSITY AND TOURISM

61. The draft guidelines on sustainable tourism development, developed during the workshop on tourism and biodiversity held in Santo Domingo, in June 2001, were submitted to SBSTTA-7 in November. The guidelines have also been forwarded to the tenth session of the Commission on Sustainable Development serving as the Preparatory Committee for the World Summit on Sustainable Development for consideration at its second meeting, to be held in New York from 28 January to 8 February 2002, as requested by SBSTTA recommendation VII/5 and by COP5. In the same recommendation, SBSTTA also requested the Secretariat to submit the draft guidelines to the preparatory process for the World Summit on Eco-tourism (WSE) to be held in Quebec City, in May 2002. To this end, and as promotional material, the Secretariat is preparing a booklet in all UN languages with a summarized text of the guidelines to be delivered to the meetings and to be transmitted to CBD focal points for further distribution.

62. An electronic consultation inviting further reactions to the guidelines by Parties has also been organized by the Secretariat and hosted on its website at: tourism@biodiv.org, as requested by SBSTTA-7. Comments received will be compiled and presented to the sixth meeting of the Conference of the Parties in April 2002.

63. The Secretariat also made a presentation on the guidelines and sustainable tourism at a seminar organized under the aegis of Association of Small Island States (AOSIS).

DECISION V/26: ACCESS TO GENETIC RESOURCES

64. The Ad Hoc Open-ended Working Group on Access to Genetic Resources and Benefit-sharing, established pursuant to decision V/26, met in Bonn, Germany, from 22 to 26 October 2001. Over 400 delegates and observers participated in the meeting including representatives from Government, indigenous and local communities, non-governmental organizations, industry, scientific and academic institutions, as well as intergovernmental organizations.

65. The Working Group developed guidelines on access and benefit-sharing and other approaches for submission to the COP. The report of the meeting is contained in document UNEP/CBD/COP/6/6 and is available on the CBD website. The Annex to the report contains recommendations adopted by the Ad Hoc Open-ended Working Group on ABS, for submission to the Conference of the Parties at its sixth meeting, on the following issues:

- Draft Bonn Guidelines on Access to Genetic Resources and Fair and Equitable Sharing of the Benefits Arising out of their Utilization
- Other approaches, including the development of an action plan for capacity-building
- Role of intellectual property rights in the implementation of access and benefit-sharing arrangements

66. In addition, in preparation for COP 6, a note was prepared by the Executive Secretary regarding overall progress in the implementation of Decision V/26 on access to genetic resources.

67. The Secretariat participated as an observer in the second meeting of the WIPO Committee on intellectual property and genetic resources, traditional knowledge and folklore, from December 10-14, and briefed participants on the results of the meeting of the Working Group on Access and Benefit-sharing, as requested by the Working Group.

68. The ABS webpages have been updated to include references to relevant decisions of the Conference of the Parties and also to include a list of all substantive documents produced by the Secretariat on access to genetic resources and benefit-sharing.

DECISION V/27: CONTRIBUTION OF THE CONVENTION ON BIOLOGICAL DIVERSITY TO THE TEN-YEAR REVIEW OF PROGRESS ACHIEVED SINCE THE UNITED NATIONS CONFERENCE ON ENVIRONMENT AND DEVELOPMENT

Preparations for the World Summit on Sustainable Development (Rio + 10)

69. A brochure produced by the Secretariat on the contribution of the Convention to the achievement of the objectives of Agenda 21 and the challenges ahead was distributed to the regional meetings preparatory to the World Summit on Sustainable Development to ensure that the Convention is fully taken into account in the preparations for, and conclusions, of the Summit.

70. A report by the Executive Secretary to the Secretary General on the role of the Convention was submitted to the second session of the tenth CSD, to be held in New York, in January 2002.

BIOSAFETY

DECISION V/1: WORK PLAN OF THE INTERGOVERNMENTAL COMMITTEE FOR THE CARTAGENA PROTOCOL ON BIOSAFETY

The Biosafety Protocol

71. Pursuant to decision V/1 adopted by the fifth meeting of the COP (COP 5) which had approved two meetings of the Intergovernmental Committee for the Cartagena Protocol on Biosafety (ICCP) to be held respectively in 2000 and in 2001, the second meeting of ICCP took place from 1 to 5 October 2001, in Nairobi, Kenya, following the offer made by the Executive Director of UNEP to host the meeting at UNEP Headquarters.

72. The Intergovernmental Committee addressed all the items that were included in its work plan for consideration at its second meeting, as adopted by COP 5, namely: a) new items for consideration by the second meeting of ICCP (liability and redress; monitoring and reporting; secretariat; guidance to the financial mechanism; rules of procedure for the first meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol; consideration of other issues necessary for the effective implementation of the Protocol, e.g., article 29, para. 4; elaboration of a draft provisional agenda for the first meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol), and, b) items for continued discussion from the first meeting of ICCP (decision-making; information-sharing and the biosafety clearing-house; capacity-building; handling, transport, packaging and identification; compliance). The report of the meeting, including its recommendations, has been conveyed to all national focal points and is also available on the website of the Secretariat (document UNEP/CBD/ICCP/2/15).

73. The meeting was attended by 349 delegates and observers representing 117 Governments and 48 organizations. The participation of representatives from developing countries and countries with economies in transition Parties to the CBD was made possible by the generous contribution made by the Governments: of Denmark; Germany, Italy, Japan, The Netherlands, New Zealand, Norway, the Republic of Korea, Spain, Sweden, Switzerland and the United Kingdom.

74. One of the recommendations adopted by the meeting addressed the need for further preparatory work by the Intergovernmental Committee before the first meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol (recommendation 2/13). In this respect, the Intergovernmental Committee noted that some issues in its work plan will require further consideration

/...

with a view to facilitating decision-making by the first meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol, and mandated its Bureau to explore jointly with the Bureau of COP 5 the possibility of having a third meeting of the ICCP to further consider issues taken in the mandate of the Intergovernmental Committee, including the possibility of holding that meeting in conjunction with COP 6, in the event that the fiftieth instrument of ratification, accession, approval or acceptance is not deposited by 8 January 2002.

75. Pursuant to the above recommendation, a joint meeting of the Bureaux of COP 5 and ICCP was held on 6 October 2001 in Nairobi, during which the Bureau of COP 5 endorsed the recommendation calling for a third meeting of the ICCP to be held back-to-back with COP 6 from 22 to 26 April 2002 in The Hague, Netherlands. COP 5 Bureau requested the Bureau of ICCP to propose a provisional agenda for such a meeting taking into account the work plan of ICCP as adopted by COP 5.

76. Subsequently, the Bureau of ICCP held a meeting by teleconference on 6 November 2001 to consider a provisional agenda for a possible third meeting of ICCP in the event that the Protocol does not enter into force in time to make it technically possible to have the first meeting of COP/MOP in conjunction with COP 6 in April 2002. The Bureau agreed that the provisional agenda should encompass, for further consideration, all the items identified under decision V/1 of COP 5 and considered by the first and second meeting of the ICCP. The Bureau, however, requested the Secretariat to focus, in preparing the necessary documentation and the annotations for the provisional agenda for ICCP 3, on items that clearly need further consideration in the sense of facilitating decision-making by the first COP/MOP. The minutes of the Bureau meeting have been circulated to all national focal points and are also available on the website of the Secretariat.

77. The Secretariat is currently pursuing preparations for a possible third meeting of ICCP and for other inter-sessional meetings recommended by the second meeting of ICCP, such as the regional meetings on capacity building for the Biosafety Clearing-House and the technical meetings of experts on article 18.2 (a) on one hand, and 18.2 (b) and 18.2 (c) on the other. These meetings are planned to take place during the months of February and March 2002, subject to availability of adequate funding.

78. The next meeting of the Bureau of ICCP will take place on 18-19 February 2002 in Yaoundé, Cameroon, following the invitation of Ambassador Philemon Yang (Cameroon), Chair of the ICCP, which was accepted by the other members of the Bureau.

IMPLEMENTATION AND OUTREACH

DECISION V/11: ADDITIONAL FINANCIAL RESOURCES

79. The report on additional financial resources was issued as document UNEP/CBD/COP/6/14. Translation into the other five UN languages is under way.

80. The comprehensive information concerning funding opportunities has been completed and is now available on the website of the Convention. It will be updated regularly. The interactive platform for sharing funding information has already been designed and will become operational once endorsed by the Conference of the Parties at its next meeting.

81. During the Open-ended Inter-sessional Meeting on the Strategic Plan, National Reports and the Implementation of the Convention (MSP) held in Montreal, Canada, 19-21 November 2001, the Secretariat chaired a GEF Workshop on Strategic Collaboration and a Framework for GEF Action on Capacity Building for the Global Environment on 20 Nov. 2001. The workshop generated useful feedback from Parties on the GEF Framework for Capacity Building under development.

82. The Secretariat continued to monitor closely the development on financial issues being addressed by the Department of Economic and Social Affairs of the United Nations for the Commission on

Sustainable Development and the International Conference on Financing for Development, and will report on any progress in these fields to the 6th meeting of the Conference of the Parties.

83. The Secretariat is in the process of collecting information concerning the practice and strategies of resources identification, mobilization and management that have been pursued by these organizations and processes. Further sharing of experience between different international and regional organizations and processes are being explored.

84. The Secretariat has conducted further information collection regarding biodiversity-supporting charities. A large number of charities that are active in biodiversity activities have been identified through the national reports and a specialized research through the Internet and publications. The information is now available on the website of the Convention.

85. The Secretariat launched a pro-active outreach effort to contact funding institutions and development agencies. CBD News, Handbook on the Convention and Global Biodiversity Outlook were sent to relevant funding institutions and development agencies. Invitations were also extended to them requesting their participation in the sixth meeting of the Conference of the Parties and other meetings of the Convention during the next six months.

86. Preparations were made to produce a CBD News supplement on financing for biological diversity, to convene a financing workshop for the implementation of Article 8(j) and related provisions next February as well as to convene a series of financing side events during the sixth meeting of the Conference of the Parties.

87. The Secretariat published an article entitled "Strengthening the Banking Sector to Support Biological Diversity" in European Nature (Issue 7, November 2001). The issue of European Nature was devoted to the relationship between banking and biodiversity.

DECISION V/12: REVIEW OF THE EFFECTIVENESS OF THE FINANCIAL MECHANISM AND DECISION V/13: ADDITIONAL GUIDANCE TO THE FINANCIAL MECHANISM

88. The independent evaluator for the second review of the effectiveness of the financial mechanism concluded his work as scheduled. In accordance with Decision V/12, a draft report of the evaluator was presented to the Global Environment Facility and its Implementing Agencies for comments. Their comments were incorporated into the final report of the evaluator. The final report was then presented to the Bureau of the Conference of the Parties. The final report is now being translated.

89. The report on the review process has been prepared for inclusion in the document on mechanisms for implementation (UNEP/CBD/COP/6/13). The Executive Summary of the final report of the evaluator is being issued as document UNEP/CBD/COP/6/13/Add.1. The final report of the evaluator is being issued as document UNEP/CBD/COP/6/Inf. 4.

90. To assist with the consideration of further guidance by the sixth meeting of the Conference of the Parties, a compilation of previous guidance to the financial mechanism by items of the provisional agenda together with the recommendation for the financial mechanism by the Intergovernmental Committee for the Cartagena Protocol on Biosafety was prepared as document UNEP/CBD/COP/6/Inf. 3.

91. Assistance was provided to the second meeting of the Intergovernmental Committee for the Cartagena Protocol on Biosafety in its discussions on capacity building, roster of experts and guidance for

the financial mechanism. The meeting successfully adopted recommendations 2.4 and 2.9. Assistance was also provided in the development of the voluntary Trust Fund for utilizing the roster of experts.

92. The Secretariat continued to review biodiversity proposals received from the GEF Implementing Agencies during the period and provided comments to Operations Coordination at the GEF Secretariat in order to promote the consistency of the project proposals with the guidance of the Conference of the Parties. A new review matrix is being developed in terms of guidance from the Conference of the Parties and all GEF-funded biodiversity projects will be assessed against this matrix.

93. An Executive GEFOP Meeting was convened on November 1 via telephone. The meeting assessed overall GEF funding structure and policy issues arising out of its proposed work programme. The Secretariat attended this teleconference

94. The Executive Secretary participated in and addressed the eighteenth meeting of the GEF Council. The meeting considered a range of issues including work programme, GEF structure, budget, monitoring and evaluation, STAP, relations with conventions, land degradation and other matters. The inputs from the Secretariat on the recent developments under the Convention were contained in document GEF/C.18/3. A system of monitoring decisions of the GEF Council is being developed.

95. The work programme approved by the GEF Council includes the following biodiversity and biosafety projects:

1. Global (Brazil, Cote d'Ivoire, India, Indonesia, Kenya, Mexico, Uganda): Conservation and Sustainable Management of Below Ground Biodiversity, Phase I (UNEP)
2. Regional (Burkina Faso, Botswana, Kenya, Mali, Namibia, Niger, Senegal, South Africa, Zimbabwe): Desert Margin Programme, Phase I (UNEP/UNDP)
3. Regional (Lao PDR, Cambodia, Vietnam): Mekong River Basin Wetland Biodiversity, Phase I (UNDP)
4. Armenia: Natural Resources Management and Poverty Reduction (World Bank)
5. Croatia: Karst Ecosystem Conservation Project (World Bank)
6. Indonesia: Komodo National Park Collaborative Management Initiative (World Bank/IFC)
7. Mali: Arid Rangeland Biodiversity Conservation (World Bank)
8. Tanzania: Conservation and Management of the Eastern Arc Mountain Forests (World Bank/UNDP)
9. Tunisia: Protected Areas Management Project (World Bank)
10. Bulgaria: Support to the Implementation of the National Biosafety Framework for Bulgaria (UNEP)
11. Cameroon: Support for the Implementation of the National Biosafety Framework for Cameroon (UNEP)
12. China: Support for the Implementation of the National Biosafety Framework of China (UNEP)
13. Cuba: Support for the Implementation of the National Biosafety Framework of Cuba (UNEP)
14. Kenya: Support for the Implementation of the National Biosafety Framework of Kenya (UNEP)
15. Mexico: Capacity Building for the Implementation of the Cartagena Protocol on Biosafety (UNDP/UNEP/UNIDO)
16. Namibia: Support to the Implementation of the National Biosafety Framework (UNEP)
17. Poland: Support to the Implementation of the National Biosafety Framework (UNEP)

18. Uganda: Support for the Implementation of the Uganda National Biosafety Framework (NBF) within the context to the Cartagena Protocol (UNEP)

96. In accordance with the Memorandum of Understanding between the COP and the GEF Council, the Secretariat requested the Global Environment Facility to provide a report on its activities for consideration by the sixth meeting of the Conference of the Parties. The GEF report is under preparation.

DECISION V/14 SCIENTIFIC TECHNICAL COOPERATION AND THE CLEARING HOUSE MECHANISM (ARTICLE 18)

Clearing-house Mechanism

97. During the period under review the CHM participated in the following meetings and undertook the following activities:

- Global Invasive Species Programme Capacity Building meeting for the South American region held in Brasilia, Brazil on 17-19 October 2001;
- organized a meeting with the Informal Advisory Committee of the CHM on the margins of SBSTTA7 on 11 November 2001;
- in collaboration with the Global Invasive Species Programme (GISP), organized a meeting of the Information Management Working Group (GISP) in Montreal, Canada, on 13 November 2001;
- organized a joint meeting of the CHM/Informal Advisory Committee and SBSTTA/CHM National Focal Points during SBSTTA7 on 14 November 2001 as requested under Decision V/14, 7;
- in collaboration with the Commonwealth Science Council, co-hosted the Southern Africa Regional Training Workshop on the Establishment and Operationalisation of the Commonwealth Knowledge Network and the Clearing-house Mechanism, in Zomba, Malawi, from 26-30 November 2001;
- the CHM is discussing the establishment of two new international thematic focal points
- created the Second National Reports Analyzer
- assisted in the servicing of the Open-ended Inter-sessional Meeting on the Strategic Plan, National Reports and Implementation of the Convention on Biological Diversity, held in Montreal, Canada, from 19-21 November 2001;
- participated in the Second Meeting of the Intergovernmental Committee for the Cartagena Protocol on Biosafety held in Nairobi, Kenya, from 1-5 October 2001 and gave presentations on the Biosafety Clearing-House during Second Meeting of the Intergovernmental Committee for the Cartagena Protocol on Biosafety held in Nairobi, Kenya, from 1-5 October 2001;

98. The CHM continues to participate in the development of the Pilot Phase of the Biosafety Clearing-House, in response to Article 20(1) of the Cartagena Protocol on Biosafety. Work continues an updated CHM Toolkit and the CHM continues discussions on a possible partnership with the Development Gateway .

DECISION V/17: EDUCATION AND PUBLIC AWARENESS

99. The Secretariats of the Convention on Biological Diversity (CBD) and the United Nations Educational Scientific and Cultural Organization (UNESCO) convened the third meeting of the CBD-

UNESCO Consultative Working Group of Experts on Biological Diversity Education and Public Awareness (EPA-3) in Bilbao, Spain, from 5 to 7 November 2001. The Group prepared a draft decision on the Global Initiative on Education and Public Awareness (Article 13) for COP 6 with the three following programme elements:

- (i) Towards a global CEPA network;
- (ii) Exchange of knowledge and expertise;
- (iii) Capacity building for CEPA.

100. In addition the Group discussed a communication strategy for the marketing of the Global Initiative.

101. During the seventh meeting of SBSTTA on 14 November 2001 a side-event on Education and Public Awareness was held to inform the delegates on the outcome of EPA-3.

102. From October to November 2001 the Secretariat undertook the publication of the Global Biodiversity Outlook (GBO) and four issues of the CBD technical series.

Library management

103. A total of 98 references of the bibliographic database of data were edited during the period under review. Two lists of new acquisitions were distributed, and a request was sent to the UQAM for the renewal of the university library cards for the staff of the Secretariat

Publications

104. During the period under review, the following publications were printed:

- i) CBD News no.2;
- (i) Rio + 10 in Arabic, Chinese, French, Russian and Spanish;
- (ii) Clearing-house mechanism pamphlet;
- (iii) COP 5 decisions in Arabic, Chinese and Russian;
- (iv) Cartagena Protocol in Arabic, Chinese and Russian;
- (v) CBD News no.2;
- (vi) 4 issues of CBD Technical Series;
- (vii) Global Biodiversity Outlook;
- (viii) Reprint of the CBD guide: Sustaining life on Earth in English.

105. The Secretariat produced a CBD 2002 wall calendar and organized its distribution. As a promotional item, it is one of the efforts to make known the Convention and its work to the general public. The calendar is also available in PDF format on the CBD website.

106. The Secretariat also produced a press release and background information for SBSTTA 7; organized student participation at SBSTTA 7, which proved a successful and appreciated experience for both the Secretariat and the students; organized interviews for the press at SBSTTA 7, (several were aired on local Canadian Radio channels and some articles appeared in the local newspapers), and produced CBD News Number 3.

107. Work is presently on-going on a children's biodiversity website as well as on several brochures. Preparations have begun for COP 6 and the Johannesburg Summit and work is under way for a press corner on the CBD website.

DECISION V/19: NATIONAL REPORTING

108. By the end of December 2001, the Secretariat had received a second national report from 66 countries (64 final and 2 interim reports). As previously reported, a number of Parties have indicated that they plan to submit their second national report after the deadline established by the fifth meeting of the Conference of Parties (May 15, 2001) but before the sixth meeting. This was reconfirmed by a number of Parties during SBSTTA 7 and MSP.

109. By the end of December 2001 the Secretariat had also received fifty three thematic reports on alien species, twelve thematic reports on benefit sharing and thirty seven thematic reports on forest ecosystems.

110. All the national reports and thematic reports received so far have been posted on the website of the Convention, with the exception of a few of those that had used the incorrect formats. Requests have been sent to those Parties in question for correction and some revised reports have been received.

111. The first edition of the Global Biodiversity Outlook was launched in November 2001 during MSP. It was well received and commended. The copies of GBO are being distributed as planned. SBSTTA 7 recommended that its second edition would be published in 2004.

112. The Inter-sessional Meeting on Strategic Plan, National Report and Implementation of the Convention (MSP) was held in Montreal, Canada from 19 to 21 November 2001. The report of the meeting has been finalized and will soon be available.

113. Following the request of the MSP, the Executive Secretary has circulated a notification to those Parties that have not yet submitted a second national report. Also at the request of the MSP, the Secretariat is developing a draft format for thematic reports on mountain ecosystems, protected areas and transfer of technology and technology cooperation, which will be presented to COP 6 for adoption. The MSP requested that a full assessment of information contained in the 2nd national reports be made and presented to the sixth meeting of the Conference of Parties for consideration. The Secretariat is undertaking this assessment, which is expected to be completed by the end of January 2002.

114. Work also continued on collecting electronic versions of the completed national biodiversity strategies and action plans and some of them have been posted on the website of the Convention.

115. An initial presentation demonstrating the Analyzer of 2nd national reports on the website of the Convention was made for the participants of MSP in November 2001.

DECISION V/20: OPERATIONS OF THE CONVENTION

116. . In decision V/20, the Conference of the Parties decided “to hold an open-ended inter-sessional meeting, to assist with the preparations for the sixth meeting of the Conference of the Parties”. Pursuant to that decision, the Open-ended Inter-Sessional Meeting on the Strategic Plan, National Reports and Implementation of the Convention on Biological Diversity was held from 19 to 21 November 2001 in Montreal, immediately following the seventh meeting of the Subsidiary Body on Scientific, Technical and Technological Advice (SBSTTA). The meeting considered, and developed draft elements of decisions on the following topics:

- “(a) Preparation of the Strategic Plan for the Convention;
- “(b) The second national reports; and
- “(c) Means to support implementation of the Convention and, in particular, implementation of priority actions in national biodiversity strategies and action plans.”

117. Recommendations adopted at the meeting were published in the Report of the Open-ended Intersessional Meeting on the Strategic Plan, National Reports and Implementation of the Convention on Biological Diversity (UNEP/CBD/COP/6/5). The whole document can be downloaded from <http://www.biodiv.org/doc/meetings/msp/msp-01/official/msp-01-cop-06-05-en.doc>.

ANNEX I

STATUS OF IMPLEMENTATION OF THE AGREED ADMINISTRATIVE ARRANGEMENTS

I Personnel Arrangements

1. During the reporting period, the Secretariat had 30 regularized Professional staff members and 20 regularized General Service staff members funded from the core budget. Additionally, 10 professional posts and 5 General Service posts were funded from other sources. For more information, please refer to the attached staff list and organigram (Appendix 1 and Annex II respectively).

II Contributions and Funds

2. In addition to the pledges and contributions of additional voluntary funds in support of approved activities under the Convention (**BE Trust Fund**) reported on in the last Quarterly Report, six new pledges were made to the BE Trust Fund during the fourth quarter of 2001. During the fourth quarter of 2001, new pledges and contributions were received from the Governments of Canada, the Netherlands, and Switzerland. The Government of Canada pledged funds for the Technical Expert Group Meetings on Articles 18.2 (a) and 18.2 (b) and (c) of the Cartagena Protocol on Biosafety, Montreal, Canada, 18- 20 and 13-15 March 2002 respectively. The Government of the Netherlands pledged funds for the Workshop on Incentive Measures, Montreal, Canada, 10-12 October 2001, the Workshop on Forest Biological Diversity, Accra, Ghana, 28-30 January 2002, and the support of a Senior Programme Officer at the CBD during 2002.. The Government of Switzerland pledged funds for the Ad Hoc Technical Expert Group on Biological Diversity and Climate Change, Helsinki, Finland, 21-25 January 2002.

3. For 2001 a total of US\$2,487,351 was pledged to the BE Trust Fund, of which US\$1,706,686 has been collected as at 31 December 2001. Additionally, \$548,273 was collected in 2001 for pledges from 2000 and prior years. Full details of the pledges and contributions to the BE Trust Fund are reflected in Annex III.

4. With regard to the General Trust Fund for the Convention (**BY Trust Fund**), as at 31 December 2001, total unpaid pledges to the BY Trust Fund for 2000 and prior years amounted to US\$526,727. The total amount pledged for the BY Trust Fund in 2001 is US\$6,598,350. Of this amount, US\$6,111,473 or 93% has been received as at 31 December 2001. Total unpaid pledges to the BY Trust Fund for 2001 amounts to US\$486,877. Total unpaid pledges for 2001 and prior years is therefore US\$1,013,604. A total of US\$871,018 has however been collected in 2001 as advance contributions for 2002. Full details of the pledges and contributions to the BY Trust Fund are reflected in Annex V.

5. As at 31 December 2001 a total of US\$1,150,679 was pledged for 2001 as additional voluntary contributions to facilitate the Participation of Parties in the Convention process (**BZ Trust Fund**). This sum includes pledges from Canada (\$278,000), Denmark (\$94,007), the European Commission (\$106,909), Finland (\$52,982), Germany (\$346,481), Italy (\$20,000), Japan (\$85,000), Republic of Korea (\$25,000), Netherlands (\$100,000), New Zealand (\$26,285), Norway (\$55,864), Sweden (\$48,162), Switzerland (\$10,000) and the United Kingdom (\$87,279).

6. As at 31 December 2001, contributions to the BZ Trust Fund in 2001 amounted to US\$1,150,679 or 86% of the total pledged for 2001. Additionally, US\$299,413 was collected in 2001 for prior years. A total of US\$187,724 remains outstanding for the BZ Trust Fund for 2001 and prior years. Full details of the pledges and contributions to the BZ Trust Fund are reflected in Annex IV.

III Accounting and Reporting

Reimbursement for Services provided to the Secretariat

8. At present seven UNEP staff members, the Chief, Fund Management and Administration, the Administrative Officer, the Finance Officer, the Senior Finance Assistant, two Finance Assistants and the Personnel Assistant are funded from the programme support funds (PSC). Additionally, UNEP has provided funding for one additional general service administrative post to be paid from PSC.

Conference and Other Services

9. During the period October to December 2001, UNON provided conference servicing assistance to the CBD for the Second Meeting of the Intergovernmental Committee on the Cartagena Protocol on Biosafety which took place in Nairobi, Kenya from 1-5 October 2001; the Ad Hoc Open-ended Meeting of Experts on Access and Benefits Sharing, which was convened in Bonn, Germany from 22-26 October 2001; the Seventh Meeting of the Subsidiary Body on Scientific, Technical and Technological Advice which took place in Montreal Canada from 12-16 November 2001 as well as for the Open-ended Intersessional Meeting on the Strategic Plan, National reports and the Implementation of the Convention which took place in Montreal Canada from 19-21 November 2001.

Appendix 1
STAFFING TABLE of the Secretariat of the Convention on Biological Diversity
1 October – 31 December 2001

Post #	Level	Title	PROFESSIONAL STAFF
1101	D2	Executive Secretary	Mr. H. Zedan (Regularized)
1102	D1	Principal Officer (SEL)	Mr. O. Jalbert (Regularized)
1103	D1	Principal Officer (STTM)	Mr. K. Mulongoy (Regularized)
1104	P5	Senior Programme Officer (Biosafety)	Ms. C. Sendashonga (Regularized)
1105	D1	Principal Officer (I&O)	Mr. A. Nogueira (Regularized)
1106	P4	Chief, Fund & Admin. (RMCS)	Ms. M. Rattray – (Regularized) (Post funded by UNEP)
1107	P4	Financial Resources & Instruments. PO (I&O)	Mr. S. Johnston (Regularized)
1108	P4	PO Legal Advice and Support (SEL)	Mr. D.Ogolla (Regularized)
1109	P4	Economist (SEL)	Mr. M. Lehmann (Regularized)
1110	P4	PO Conservation Ecology (STTM)	Mr. J-P. Le Danff (Regularized)
1111	P4	PO, Agricultural Biodiversity (STTM)	Ms. M. Gauthier –(Regularized) (Post funded by FAO)
1113	P4	PO, Clearing House Mechanism (I&O)	Mr. M. Silva (Regularized)
1114	P3	Special Assistant to the ES. (EDM)	Ms. M. Soliman (Regularized)
1115	P4	PO, Indigenous Knowledge (SEL)	Ms. H. Marrie (Regularized)
1116	P4	PO, Reports & Reviews (I&O)	Mr. A. Gross (Regularized)
1117	P3	Documentation Officer (I&O)	Mr. Z. Bamba (Regularized)
1118	P3	Meetings Services Officer (RMCS)	Mr. A. Yesli (Regularized)
1119	P3	Information Officer (I&O)	Ms. M. Stricker (Regularized)
1120	P3	Internet & Elect. Communications (I&O)	Mr. O. De Munck (Regularized)
1121	P3	PO, Jakarta Mandate (STTM)	Ms. M. Vierros (Regularized)
1122	P3	PO, Financial Resources (I&O)	Mr. Y. Xiang (Regularized)
1123	P3	Environmental. Affairs Officer , Reports/Reviews (I&O)	Vacant (funding by the Government of Quebec is still pending)
1124	P3	Administrative Officer (RMCS)	Ms. N. Patel (Regularized) (Post funded by UNEP)
1125	P4	Regulatory Scientist - (Biosafety)	Vacant (funding by the Government of Quebec is still pending)
1126	P3	Associate Programme Officer (Biosafety)	Mr. E. Tamale (Regularized)
1127	P2	Associate Legal Affairs Officer (Biosafety)	Mr. W. Damena (Regularized)
1128	P2	Associate Computer Systems Officer (I&O)	Mr. Q. Nguyen (Regularized)
1129	L5	Senior Programme Officer (STTM & I&O)	Mr. A. Heydendael (Regularized) (Post funded by The Netherlands)

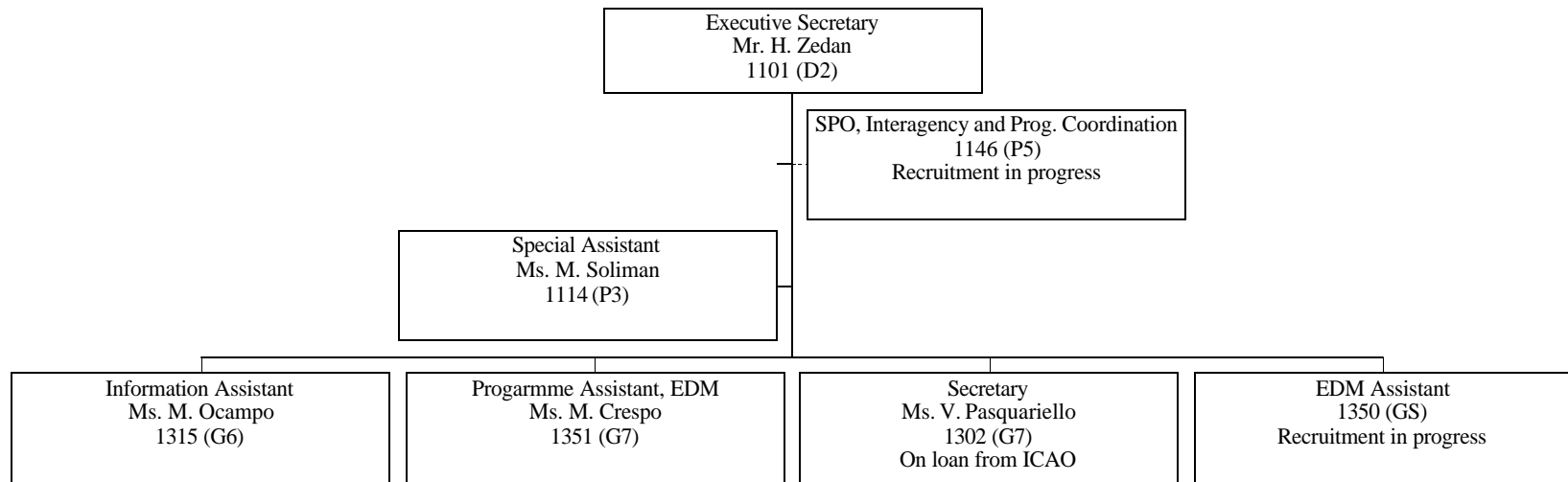
Post #	Level	Title	PROFESSIONAL STAFF
1131	P4	PO, Inland Waters (STTM)	Ms. P. Mirabzadeh (Regularized)
1132	P4	PO, Scientific & Technical Assessments (STTM)	Vacant (Recruitment in progress)
1133	P3	PO, Access & Benefit Sharing (SEL)	Ms. V. Normand (Regularized)
1134	P3	PO, Scientific, Technical Information (Biosafety)	Ms. K. Galloway-McLean (Regularized)
1135	P4	Editor (RMCS)	Mr. J. Fry (Regularized)
1136	P2	Associate Finance Officer (RMCS)	Ms. T.C. Fon Sing (Regularized) (Post funded by UNEP)
1137	P4	Taxonomy, PO (STTM)	Vacant (Post previously funded by Australia & Sweden)
1138	L2	JPO, CHM (I&O)	Ms. T. Alstadheim (Regularized) (Post funded by Norway)
1141	P4	PO, Dry and Sub-humid lands (STTM)	Vacant (Recruitment process completed) – new post
1142	P3	PO, Reports (I&O)	Mr. L. Cai (Regularized)
1143	P3	PO, Sustainable Use and Tourism (SEL)	Ms. P. Deda (Regularized)
1144	P4	PO, Legal & Policy Affairs (Biosafety)	Ms. X. Wang (Regularized)
1145	P3	PO, Scientific Assessments (Biosafety)	Mr. R. Hill (Regularized)
1146	P5	SPO, Interagency & Programme Coordination (EDM)	Vacant (Recruitment in progress) – new post
1147	L5	Senior Professional Officer, Forests (STTM)	Mr. H. Toivonen (Regularized) (Post funded by Finland)

Post #	Level	Title	GENERAL SERVICE STAFF
1301	G8	Finance Assistant (RMCS)	Mr. M. Reyes (Regularized) (Post funded by UNEP)
1302	G7	Senior Secretary to the Executive Secretary (EDM)	Ms. V. Pasquariello (Regularized) (on secondment from ICAO)
1303	G6	Secretary (SEL)	Ms. F. Velarde (Regularized)
1304	G7	Programme Assistant - (STTM)	Mr. D. Hamel (Regularized)
1305	G7	Programme Assistant - (STTM)	Ms. I. Anderson (Regularized)
1306	G7	Programme Assistant - (I&O)	Ms. S. Penney (Regularized)
1307	G7	Programme Assistant - (Biosafety)	Ms. D. Stepic (Regularized)
1308	G7	Programme Assistant - (I&O)	Ms. M. Chiasson (Regularized)
1309	G7	Programme Assistant - (SEL)	Mr. J. Ho Yoon (Regularized)
1310	G6	Administrative Assistant - (RMCS)	Ms. C. Risch (Regularized)
1311	G7	Travel Assistant - (RMCS)	Ms. R. Rabbath (Regularized)
1312	G7	Personnel Assistant (RMCS)	Ms. J. Martinez (seconded from ICAO) (post funded by UNEP)

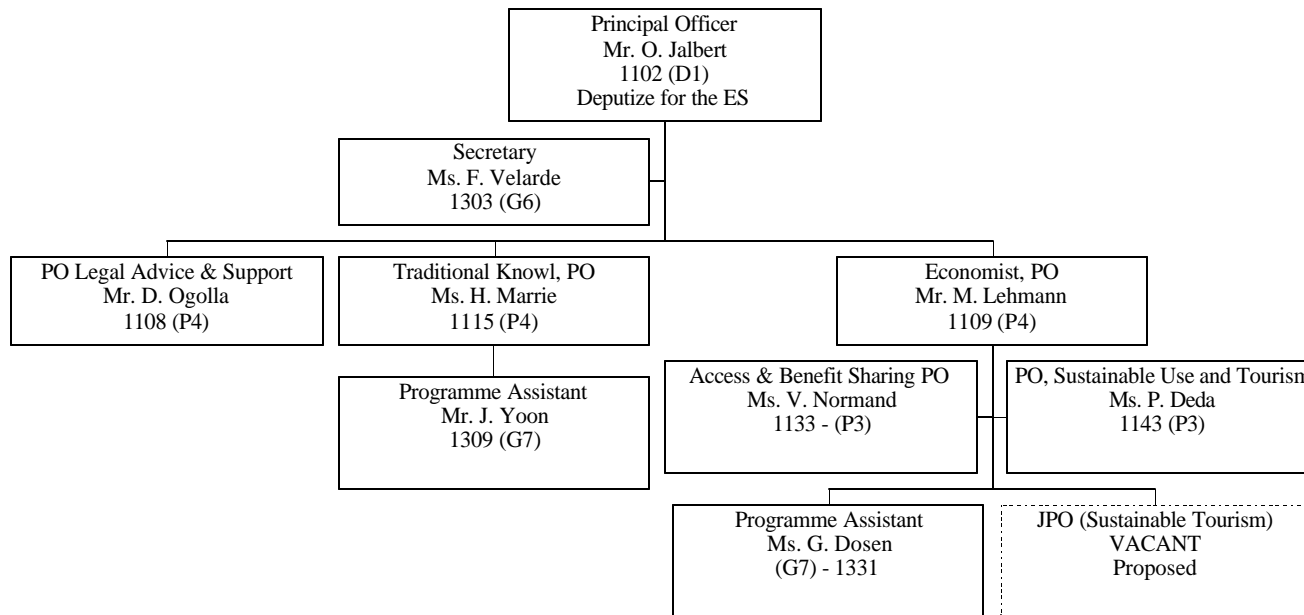
Post #	Level	Title	GENERAL SERVICE STAFF
1313	G6	Secretary - (STTM)	Ms. V. Allain (Regularized)
1314	G6	Secretary - (I&O)	Vacant (Recruitment in progress)
1315	G6	Information Assistant (EDM)	Ms. M. Ocampo (Regularized)
1316	G7	Computer Operations Assistant (I&O)	Mr. V. Gopez (Regularized)
1317	G5	Receptionist (RMCS)	Ms. L. Boutin (Regularized)
1318	G4	Messenger/Clerk (RMCS)	Mr. R. Bellido (Regularized)
1319	G6	Secretary (Biosafety)	Ms. J. Huppé (Regularized)
1329	G7	Programme Assistant, CHM (I&O)	Mr. H. Perea (Regularized)
1331	G7	Programme Assistant (SEL)	Ms. G. Dosen (Regularized)
1332	G5	Contributions Assistant - (RMCS)	Ms. M. Harrison (Regularized). Post funded by UNEP
1334	G5	Fund Mgmt Assistant - (RMCS)	Ms. L. Ali (Regularized). Post funded by UNEP
1337	G5	Conference Assistant – (RMCS)	Vacant (Recruitment in progress). Post funded by UNEP
1350	G5	EDM Assistant – (EDM)	Vacant
1351	G7	Programme Assistant – (EDM)	Ms. M. Crespo (Regularized)
1352	G7	Programme Assistant – (I&O)	Ms. F. D’Amico (Regularized)
1353	G7	Programme Assistant – (Biosafety)	Vacant (Recruitment process completed) – new post

Annex II

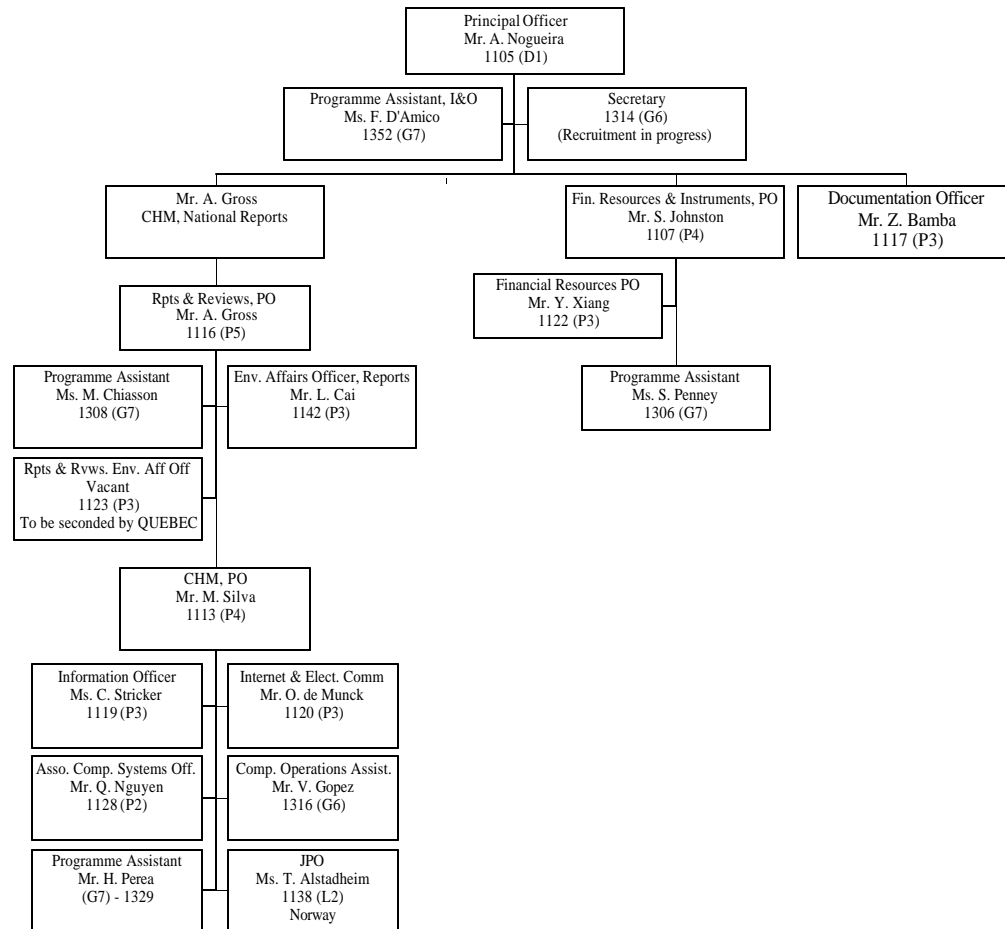
Executive Direction, Management & Inter-governmental Affairs



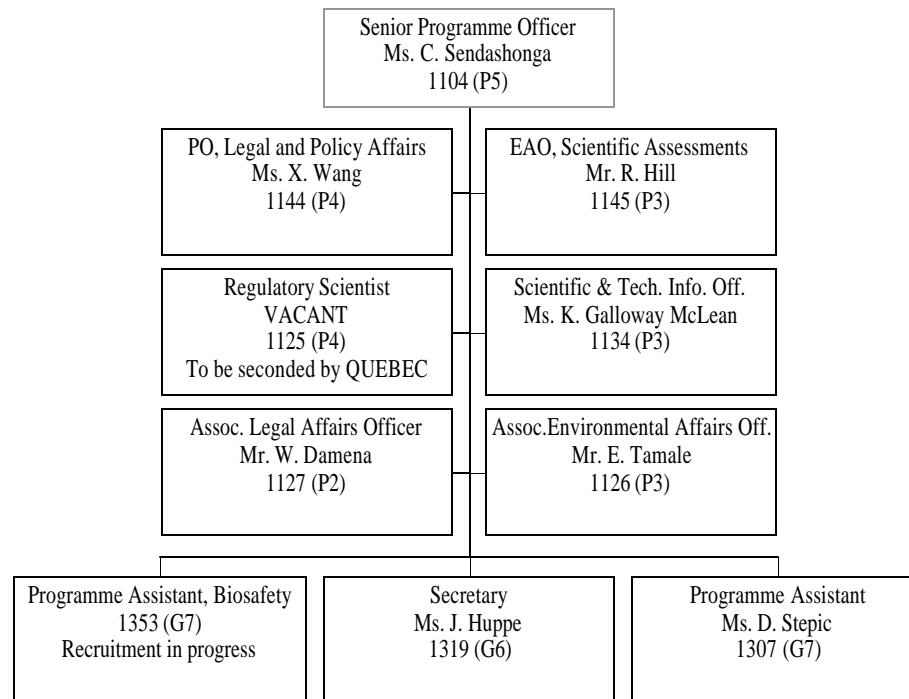
Social, Economic and Legal Matters



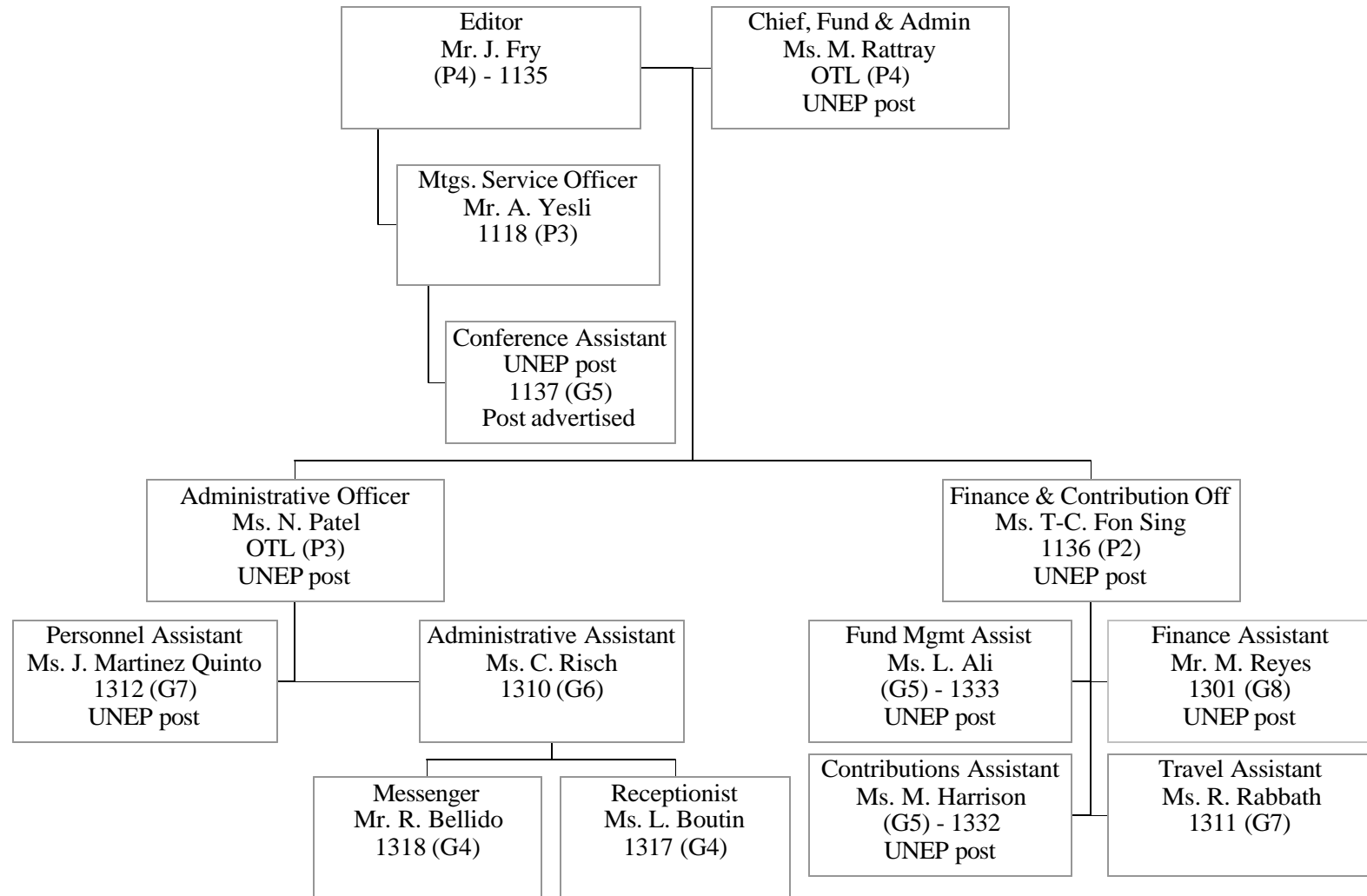
Implementation & Outreach



Biosafety



Resource Management & Conference Services



ANNEX III

**GENERAL TRUST FUND FOR ADDITIONAL VOLUNTARY CONTRIBUTIONS IN SUPPORT OF APPROVED ACTIVITIES UNDER
THE CONVENTION ON BIOLOGICAL DIVERSITY (BE)**

Status of Contribution as at 31 December 2001 (in United States dollars)

COUNTRIES	Unpaid Pledges as at 31.12.00	Adjustments	Pledges for 2001		Collections in 2001 for prior years	Collections in 2001 for 2001 & futures yrs	Unpaid pledges for 2001 & prior years
Belgium	187,999			1/	187,999		0
Canada			122,000	13/		122,000	0
Canada			79,618	15/			79,618
Canada			95,057	18/		95,057	0
Canada			50,000	36/			50,000
Canada			100,000	37/			100,000
Denmark			20,000	24/		16,585	3,415
France	288,214			3/	288,214		0
France			27,030	12/		26,144	886
France			25,000	21/		25,000	0
Germany			79,998	14/		79,998	0
Germany			260,000	30/		239,118	20,882
Netherlands			200,000	25/		200,000	0
Netherlands			99,000	27/			99,000
Netherlands			99,000	28/			99,000
Netherlands			99,000	29/			99,000
Netherlands			70,502	34/		70,502	0
Netherlands			147,000	35/			147,000
Netherlands			134,404	38/		134,404	0
Spain			25,000	19/			25,000

COUNTRIES	Unpaid Pledges as at 31.12.00	Adjustments	Pledges for 2001		Collections in 2001 for prior years	Collections in 2001 for 2001 & futures yrs	Unpaid pledges for 2001 & prior years
Sweden			9,865	16/		9,865	0
Switzerland			10,000	22/		10,000	0
Switzerland			30,864	39/			30,864
UK			14,600	5/		14,600	0
UK			15,000	6/		15,000	0
UK			25,000	7/		25,000	0
UK			6,000	8/			6,000
UK			87,018	9/		87,018	0
UK	72,060			4/	72,060		0
UK			10,433	20/		10,433	0
UK			27,962	23/		27,962	0
USA			360,000	10/		360,000	0
USA			20,000	26/		20,000	0
USA			10,000	31/			10,000
FAO			115,000	32/		115,000	0
FAO			10,000	33/			10,000
FAO			3,000	11/		3,000	0
Total	548,273	0	2,487,351		548,273	1,706,686	780,665

1/Belgium pledge BEF8,000,000

3/France pledge for Host Government Agreement (HGA)-11-15 December 2000, Montpellier, France

4/UK pledge of UKP50,000 for Second meeting of the Ad hoc Technical Expert Group on Forests, Edinburgh, Scotland, 23-27 April 2001

5/ UK pledge UKP10,000 towards the cost of the Expert Panel on Access and Benefit Sharing, Montreal Canada, 19-22

March 2001

6/UK pledge for a consultant under the Global Taxonomy Initiative

7/UK pledge of US\$25,000 for work by the Botanical Gardens Conservation International on Ex-situ

collections

8/UK pledge of US\$6,000 for two consultants on Forests Biological Diversity

9/UK pledge of UKP60,000 for the Biosafety Clearing House and Technical Expert meeting

10/USA pledge of US\$360,000 for the Biosafety Clearing House

11/FAO for strengthening of existing cooperation activities and networks for the sharing of fisheries infrastructure

12/France pledge of US\$27,030 for workshop on Liability and Redress - June 2001, Paris, France

13/Canada pledge US\$122,000 for additional approved activities

14/Germany pledge US\$79,998 for workshop on Sustainable Tourism - 4-7 June 2001, Dominican Republic

15/Canada pledge Cdn\$125,000 for meetings convened under the Cartagena Protocol on Biosafety

16/Sweden pledge SEK100,000 for open-ended Expert Meeting on Capacity Building, Havana Cuba, 11-13 July 2001

18/Canada pledge Cdn\$150,000 for the Capacity Building Experts Meeting on Biosafety Protocol, Havana Cuba, 11-13 July 2001

19/Spain pledge US\$25,000 for the Capacity Building Experts Meeting on Biosafety Protocol, Havana Cuba, 11-13 July 2001

20/UK pledge UK pounds 7,500 in support of the Global Taxonomy Initiative

21/France pledge of US\$25,000 for workshop on Handling, Packaging and Transport of LMOs, 13-15 June 2001, Paris, France

22/Switzerland pledge US\$10,000 for the Capacity Building Experts Meeting on Biosafety Protocol, Havana Cuba, 11-13 July 2001

23/UK pledge UK pounds 20,000 in support of the Open-ended Meeting of Experts on Capacity-building, Havana, Cuba 11-13 July 2001

24/Denmark pledge US\$20,000 in support of the Open-ended Meeting of Experts on Capacity-building, Havana, Cuba 11-13 July 2001

25/ Netherlands pledge US\$200,000 for conference costs - WW178101

26/ USA pledge US\$20,000 for meeting of Ad Hoc Technical Expert Group on Marine and Coastal Biodiversity

27/ Netherlands pledge for African Workshop on Sustainable Use of Biodiversity, Maputo Mozambique, 24-27 September 2001

28/ Netherlands pledge for Asian Workshop on Sustainable Use of Biodiversity, Hanoi, Vietnam, 9-12 January 2002

29/ Netherlands pledge for Latin American and the Caribbean Workshop on Sustainable Use of Biodiversity, Salinas Ecuador, 18-20 February 2002

30/ Germany pledge for Ad Hoc Working Group on Access and Benefits Sharing, 22-26 October 2001, Bonn, Germany

31/ USA pledge for GISP Meeting on Global Invasive Species

32/ FAO pledge towards the secondment of a Programme Officer

33/ FAO pledge towards the settlement of invoices for Ocean Atlas

34/ Netherlands pledge Euros 79,411.54 for the Workshop on Incentive Measures, Montreal, Canada 10-12 October

2001

35/ Netherlands pledge US\$147,000 for the Workshop on Forest Biological Diversity, Accra, Ghana 28-30 January 2002

36/Canada pledge US\$50,000 for the Technical Expert Group Meeting on Article 18.2(b) and (c), Montreal, Canada 13-15 March 2002

37/Canada pledge US\$100,000 for the Technical Expert Group Meeting on Article 18.2(a), Montreal, Canada 18-20
March 2002

38/ Netherlands pledge towards the cost of a Senior Professional Officer

39/Switzerland pledge CHF50,000 for the Ad Hoc Technical Expert Group on Biological Diversity and Climate Change, Helsinki, Finland 21-
25 January 2002

ANNEX IV

**GENERAL TRUST FUND FOR ADDITIONAL VOLUNTARY CONTRIBUTIONS TO FACILITATE THE PARTICIPATION OF
PARTIES IN THE PROCESS OF THE CONVENTION ON BIOLOGICAL DIVERSITY (BZ)**

Status as at 31 December 2001 (in United States dollars)

COUNTRIES	Unpaid Pledges as at 31.12.00	Adjustments		Pledges for 2001	Collections in 2001 for prior years	Collections in 2001 for 2001 & fut yrs	Unpaid pledges for 2001 & prior years
Canada			17/	278,000		278,000	0
Central African Rep.	2,000		1/				2,000
Central African Rep.	1,500		2/				1,500
Denmark	77,792		3/		62,279		15,512
Denmark			21/	94,007			94,007
EEC	61,637	(61,637)	4/				0
EEC			31/	106,909		63,151	43,758
Finland	20,000	(20,000)	5/				0
Finland				52,982		52,982	0
France	15,947		6/				15,947
France	7,661		7/		7,661		0
France	7,661		8/		7,661		0
France	22,982	(4,343)	9/		18,639		0
France	68,237		10/		68,237		0
Germany			20/	300,000		300,000	0
Germany		(879)	28/	46,481	45,602		0
Italy			30/	20,000		20,000	0
Japan			25/	85,000		85,000	0
Korea Rep.			29/	25,000		25,000	0
Netherlands			19/	100,000		100,000	0
New Zealand			16/	13,200		13,200	0

COUNTRIES	Unpaid Pledges as at 31.12.00	Adjustments		Pledges for 2001	Collections in 2001 for prior years	Collections in 2001 for 2001 & fut yrs	Unpaid pledges for 2001 & prior years
New Zealand			22/	13,085		13,085	0
Norway			23/	55,864		55,864	0
Sweden			18/	48,162		48,162	0
Switzerland	15,000		11/				15,000
Switzerland	15,547		12/		15,547		(0)
Switzerland	13,889	1,217	13/		15,106		(0)
Switzerland			24/	10,000		10,000	0
Spain	50,000	8,681	14/		58,681		0
UK		(696)	26/	28,986		28,290	0
UK		(348)	27/	14,493		14,145	0
UK			15/	43,800		43,800	0
Total	379,852	(78,005)		1,335,969	299,413	1,150,679	187,724

** Please note that the figures in bracket () means credit

1/Central African Republic for Biosafety Protocol Meeting, Vienna Austria, 15-19 September 1999

2/Central African Republic pledge for Art. 8(j) meeting in Seville 27-31 March 2000

3/Denmark pledge DKK620,000 for travel expenses for Central and Eastern European countries-5th COP , Nairobi Kenya, 15-26 May 2000

4/ EEC contribution towards cost of least developed countries (60% of ECU75,000)

5/ Finland : Assist the participation of developing countries in the negotiations on the Biosafety Protocol

6/France pledge FrF100,000 for the support of participants to the Liaison Group Meeting on Ecosystem Approach, Paris, 15-17 September 1999

7/France pledge FrF50,000 for Informal Consultations on Biosafety Vienna Austria, 15-19 September 1999

8/France pledge FrF50,000 for Resumed Session of the Ex-COP, Montreal Canada 20-28 January 2000

9/France pledge FrF150,000 Fifth Meeting of the COP, Nairobi-15-26 May 2000

10/ France pledge for the First meeting of the Intergovernmental Committee on the Cartagena Protocol on Biosafety 11-15 December 2000, Montpellier

11/ Switzerland: SBSTTA-3 Montreal Canada, 1-5 September 1997

12/Switzerland pledge CHF25,000 for the first Meeting of ICCP on Biosafety , 11-16 December 2000, Montpellier, France

13/Switzerland pledge CHF25,000 for the meeting of the Panel of Experts on Access & Benefits Sharing, Seville Spain, 27-31 March 2001

14/Spain pledge in support of developing country Parties and economies in transition attending the ICCP-1, 11-15 December Montpellier, France

15/ UK LDC Participation at SBSTTA-6, Montreal, Canada, 12-16 March 2001

16/New Zealand pledge NZ\$30,000 for Pacific Island Participants to SBSTTA-6, Montreal, 12-16 March 2001

17/Canada pledge US\$278,000 for SBSTTA-6 and Panel of Experts on Access and Benefits-sharing

18/Sweden pledge SEK500,000 for participation in the Convention process

19/ Netherlands pledge for participants costs

20/ Germany pledge US\$300,000 for Ad Hoc Working Group on Access and Benefits sharing, 22-26 October 2001, Bonn Germany

21/ Denmark pledge DKK 800,000 to support participation of Representatives at the Central and Eastern European Regional Workshop, February 2002

22/ New Zealand pledge US\$ 13,085 for the second Meeting of ICCP on Biosafety, 1-5 October 2001, Nairobi, Kenya

23/ Norway pledge NOK 500,000 in support of the CBD

24/ Switzerland pledge for the second Meeting of ICCP on Biosafety, 1-5 October 2001, Nairobi, Kenya

25/ Japan pledge for the Open-ended Meeting of Experts on Compliance, 26-28 September 2001 and for the second Meeting of ICCP on Biosafety, 1-5 October 2001, both held in Nairobi, Kenya

26/ UK pledge UKP20,000 for the seventh Meeting of the SBSTTA, 12-16 November 2001 and for the Intersessional Meeting on the Strategic Plan, 19-21 November 2001, both held in Montreal, Canada

27/ UK pledge UKP10,000 for the Ad Hoc Working Group on Access and Benefits sharing, 22-26 October 2001, Bonn Germany

28/ Germany pledge DM100,000 towards the Convention on Biological Diversity Meetings

29/ Korea Republic pledge US\$25,000 for the second Meeting of ICCP on Biosafety, 1-5 October 2001, Nairobi, Kenya

30/ Italy pledge US\$20,000 for the second Meeting of ICCP on Biosafety, 1-5 October 2001, Nairobi, Kenya

31/ EEC pledge EUR117,600 towards the second Meeting of ICCP on Biosafety, the seventh Meeting of the SBSTTA and the intersessional Meeting on the Strategic Plan.

ANNEX V

**GENERAL TRUST FUND FOR THE CONVENTION ON BIOLOGICAL DIVERSITY (BY)
as at 31 December 2001**

Countries	Unpaid Pledges as at 31/12/00	Pledges for 2001	Collections in 2000 for 2001	Collections in 2001 for prior yrs	Collections During 2001 for 2001	Unpaid Pledges for Prior Years	Unpaid Pledges for 2001	Unpaid Pledges for 2001 & Prior years
	US \$	US \$			US \$	US \$	US \$	US \$
Albania	0	263	373			0	(110)	(110)
Algeria	0	7,552				0	7,552	7,552
Angola	20	878		20	1,790	0	(912)	(912)
Antigua & Barbuda	0	176			358	0	(182)	(182)
Argentina	88,251	96,855		60,863		27,388	96,855	124,243
Armenia	19,255	527				19,255	527	19,782
Australia	0	130,223	71,896		193,540	0	(135,213)	(135,213)
Austria	0	82,717			82,717	0	0	0
Azerbaijan		965				0	965	965
Bahamas	0	1,317			2,685	0	(1,368)	(1,368)
Bahrain	0	1,493			1,493	0	0	0
Bangladesh	0	878			878	0	0	0
Barbados	640	702		640	705	0	(3)	(3)
Belarus	69,876	5,005				69,876	5,005	74,881
Belgium	88,281	96,942		88,281	96,942	0	0	0
Belize	0	88			88	0	0	0
Benin	0	176	48			0	128	128
Bhutan	0	88			179	0	(91)	(91)
Bolivia	0	615			615	0	0	0

Countries	Unpaid Pledges as at 31/12/00	Pledges for 2001	Collections in 2000 for 2001	Collections in 2001 for prior yrs	Collections During 2001 for 2001	Unpaid Pledges for Prior Years	Unpaid Pledges for 2001	Unpaid Pledges for 2001 & Prior years
Botswana	0	878			878	0	0	0
Brazil	103,647	129,169				103,647	129,169	232,816
Bulgaria	0	966				0	966	966
Burkina Faso	288	176				288	176	464
Burundi	219	88				219	88	307
Cambodia	0	88				0	88	88
Cameroon	3,289	1,142		2,044		1,245	1,142	2,387
Canada	0	239,897			239,897	0	0	0
Cape Verde	2,004	176				2,004	176	2,180
Central African Rep.	50	88				50	88	138
Chad	2,327	88				2,327	88	2,415
Chile	17,845	3,161		17,845	29,932	0	(26,771)	(26,771)
China	0	87,371			87,371	0	0	0
Colombia	15,002	9,571		13,111		1,891	9,571	11,462
Comoros	2,327	88				2,327	88	2,415
Congo	1,809	263				1,809	263	2,072
Congo Dem.Rep	3,259	615				3,259	615	3,874
Cook Islands	0	88				0	88	88
Costa Rica	5,527	1,405				5,527	1,405	6,932
Cote d'Ivoire	20	790				20	790	810
Croatia	5,109	2,634		5,109	5,369	0	(2,735)	(2,735)
Cuba	10,598	2,107				10,598	2,107	12,705
Cyprus	0	2,986			2,986	0	0	0
Czech Republic	0	9,396			9,396	0	0	0
Denmark	0	60,765			60,765	0	0	0
Djibouti	0	88				0	88	88
Dominica	0	88	112			0	(24)	(24)
Dominica Rep.	3,349	1,317				3,349	1,317	4,666
Ecuador	0	1,756				0	1,756	1,756

EEC	0	164,850			164,850	0	0	0
Egypt	0	5,708			5,708	0	0	0
Countries	Unpaid Pledges as at 31/12/00	Pledges for 2001	Collections in 2000 for 2001	Collections in 2001 for prior yrs	Collections During 2001 for 2001	Unpaid Pledges for Prior Years	Unpaid Pledges for 2001	Unpaid Pledges for 2001 & Prior years
El Salvador	0	1,054				0	1,054	1,054
Equatorial Guinea	2,327	88				2,327	88	2,415
Eritrea	0	88			179	0	(91)	(91)
Estonia	0	1,054			1,054	0	0	0
Ethiopia	0	527			527	0	0	0
Fiji	0	351	351		365	0	(365)	(365)
Finland	0	47,681			47,681	0	0	0
France	0	574,718			574,718	0	0	0
Gabon	3,477	1,317				3,477	1,317	4,794
Gambia	0	88				0	88	88
Georgia	39,938	615				39,938	615	40,553
Germany	0	865,545			865,545	0	0	0
Ghana	2,238	615				2,238	615	2,853
Greece	0	30,821			30,821	0	0	0
Grenada	0	88			88	0	0	0
Guatemala	7,376	1,581				7,376	1,581	8,957
Guinea	1,948	263				1,948	263	2,211
Guinea-Bissau	1,848	88				1,848	88	1,936
Guyana	1,498	88				1,498	88	1,586
Haiti	1,314	176				1,314	176	1,490
Honduras	575	263				575	263	838
Hungary	0	10,537			10,537	0	0	0
Iceland	0	2,810			2,810	0	0	0
India	50	26,255			26,255	50	0	50
Indonesia	33,331	16,508				33,331	16,508	49,839

Iran	73,301	14,137		60,000		13,301	14,137	27,438
Ireland	0	19,669	16,113		23,929	0	(20,373)	(20,373)
Israel	0	30,734			30,734	0	0	0
Countries	Unpaid Pledges as at 31/12/00	Pledges for 2001	Collections in 2000 for 2001	Collections in 2001 for prior yrs	Collections During 2001 for 2001	Unpaid Pledges for Prior Years	Unpaid Pledges for 2001	Unpaid Pledges for 2001 & Prior years
Italy	0	477,424			477,424	0	0	0
Jamaica	0	527			1,074	0	(547)	(547)
Japan	975,472	1,648,500		975,472	1,648,500	0	0	0
Jordan	0	527				0	527	527
Kazakhstan	57,086	4,215		5,728		51,358	4,215	55,573
Kenya	0	615	3			0	612	612
Kiribati	80	88		80	91	0	(3)	(3)
Korea, Dem. People's Rep.	17,094	1,317				17,094	1,317	18,411
Korea, Rep. of	0	88,337			88,337	0	0	0
Kyrgyzstan	5,111	527				5,111	527	5,638
Lao PDR	0	88			88	0	0	0
Latvia	0	1,493	867		626	0	0	0
Lebanon	34	1,405		34	1,380	0	25	25
Lesotho	788	176		788	176	0	0	0
Liberia	0	161				0	161	161
Libya	0	2,469				0	2,469	2,469
Liechtenstein	0	527			527	0	0	0
Lithuania	2,855	1,317		2,855	1,317	0	0	0
Luxembourg	0	5,971			5,971	0	0	0
Macedonia	940	351				940	351	1,291
Madagascar	0	263	548			0	(285)	(285)
Malawi	0	176				0	176	176
Malaysia	0	16,069			16,069	0	0	0
Maldives	0	88			179	0	(91)	(91)
Mali	2,004	176				2,004	176	2,180
Malta		922				0	922	922

Marshall Island	0	88				0	88	88
Mauritania	1,158	88				1,158	88	1,246
Mauritius	0	790			1,611	0	(821)	(821)
Mexico	12,677	87,371		12,677	53,891	0	33,480	33,480
Countries	Unpaid Pledges as at 31/12/00	Pledges for 2001	Collections in 2000 for 2001	Collections in 2001 for prior yrs	Collections During 2001 for 2001	Unpaid Pledges for Prior Years	Unpaid Pledges for 2001	Unpaid Pledges for 2001 & Prior years
Micronesia, Fed. States	0	88			88	0	0	0
Moldova, Rep. of	20,962	878				20,962	878	21,840
Monaco	20	351		20	372	0	(21)	(21)
Mongolia	0	176			176	0	0	0
Morocco	0	3,600				0	3,600	3,600
Mozambique	0	88			88	0	0	0
Myanmar	0	702			702	0	0	0
Namibia	0	615				0	615	615
Nauru	2,447	88				2,447	88	2,535
Nepal	0	351	2,383			0	(2,032)	(2,032)
Netherlands	0	143,306			143,306	0	0	0
New Zealand	0	19,406			39,556	0	(20,150)	(20,150)
Nicaragua	0	88				0	88	88
Niger	2,004	176				2,004	176	2,180
Nigeria	5,570	2,810				5,570	2,810	8,380
Niue	0	88			88	0	0	0
Norway	0	53,564			53,564	0	0	0
Oman	0	4,478			4,478	0	0	0
Pakistan	4,718	5,181				4,718	5,181	9,899
Panama	2,762	1,142		2,762	1,142	0	0	0
Papua New Guinea	0	615	560		55	0	0	0
Paraguay	3,517	1,229				3,517	1,229	4,746
Peru	7,917	8,693				7,917	8,693	16,610

Philippines	0	7,113				0	7,113	7,113
Poland	0	17,211	17,211		17,870	0	(17,870)	(17,870)
Portugal	0	37,846			37,486	0	360	360
Qatar	0	2,898			2,898	0	0	0
Romania	0	4,917			4,917	0	0	0
Countries	Unpaid Pledges as at 31/12/00	Pledges for 2001	Collections in 2000 for 2001	Collections in 2001 for prior yrs	Collections During 2001 for 2001	Unpaid Pledges for Prior Years	Unpaid Pledges for 2001	Unpaid Pledges for 2001 & Prior years
Russian Federation	0	94,572				0	94,572	94,572
Rwanda	1,158	88		333		825	88	913
St.Kitts &Nevis	0	88			179	0	(91)	(91)
St. Lucia	80	88				80	88	168
Saint Vincent & Grenadines	1,498	88				1,498	88	1,586
Samoa	0	88			88	0	0	0
San Marino	0	176			176	0	0	0
Senegal	20	527		20	491	0	36	36
Seychelles	3,355	176		3,355	1,643	0	(1,467)	(1,467)
Sierra Leone	2,327	88		500		1,827	88	1,915
Singapore	0	15,718			32,038	0	(16,320)	(16,320)
Slovak Republic	0	3,073			3,073	0	0	0
Slovenia	0	5,356			10,892	0	(5,536)	(5,536)
Solomon Is.	1,848	88				1,848	88	1,936
South Africa	29,267	32,139		29,267	32,139	0	0	0
Spain	0	227,516			227,516	0	0	0
Sri Lanka	2,501	1,054		2,501		0	1,054	1,054
Sudan	2,780	615				2,780	615	3,395
Suriname	621	351				621	351	972
Swaziland	0	176			176	0	0	0
Sweden	0	94,747			94,732	0	15	15
Switzerland	0	106,689	106,664		110,803	0	(110,778)	(110,778)
Syria	0	5,620			22,603	0	(16,983)	(16,983)

Tajikistan	1,207	351				1,207	351	1,558
Togo	0	88				0	88	88
Tonga	0	88				0	88	88
Trinidad & Tobago	0	1,405			10,052	0	(8,647)	(8,647)
Tunisia	0	2,459			2,459	0	0	0
Turkey	0	38,636			38,636	0	0	0
Countries	Unpaid Pledges as at 31/12/00	Pledges for 2001	Collections in 2000 for 2001	Collections in 2001 for prior yrs	Collections During 2001 for 2001	Unpaid Pledges for Prior Years	Unpaid Pledges for 2001	Unpaid Pledges for 2001 & Prior years
Turkmenistan	6,453	527				6,453	527	6,980
Uganda	0	351	1,587			0	(1,236)	(1,236)
Ukraine	37,924	16,684		37,924	16,684	0	0	0
U. K.	0	446,954	446,954		464,082	0	(464,082)	(464,082)
United Arab Emirates	0	15,630			31,450	0	(15,820)	(15,820)
Un.Rep. of Tanzania	1,050	263				1,050	263	1,313
Uruguay	7,451	4,215		3,613		3,838	4,215	8,053
Uzbekistan	11,963	2,195			2,195	11,963	0	11,963
Vanuatu	155	88		155		0	88	88
Venezuela	0	14,050	5,667			0	8,383	8,383
Viet Nam	0	615			615	0	0	0
Yemen	2,896	878				2,896	878	3,774
Zambia	20	176				20	176	196
Zimbabwe	720	790				720	790	1,510
Sub Total	1,852,724	6,598,350	671,337	1,325,997	6,311,154	526,727	(384,141)	142,586
Additional Contributions								
Canada		400,000					400,000	400,000
USA	0	125,000			125,000		0	0
Quebec	0	200,000					200,000	200,000
Grand Total	1,852,724	7,323,350	671,337	1,325,997	6,436,154	526,727	215,859	742,586

ANNEX VI

LIST OF MEETINGS ORGANIZED BY THE
SECRETARIAT OF THE CONVENTION ON BIOLOGICAL DIVERSITY
(As of 31 December 2001)

2001		
DATES	MEETING TITLE	VENUE
24 – 26 January 2001	Liaison Group on Agricultural Biodiversity	Rome, Italy
25- 26 January 2001	Global Biodiversity Outlook Advisory Group	Geneva, Switzerland
6-9 February 2001	Central American Workshop and Taxonomic Needs Assessment on the Global Taxonomy Initiative	San José Costa Rica
13 February 2001	COP-5 Bureau Meeting	The Hague, The Netherlands
26- 28 February 2001	African Regional Meeting on Biosafety Clearing House Mechanism	Nairobi, Kenya
27 February – 1 March 2001	Global Taxonomy Initiative African Regional meeting	Capetown, South Africa
11 March 2001	Informal Consultation on the proposed Global Strategy for Plant Conservation	Montreal, Canada
11 March 2001	Informal Advisory Committee Meeting of the Clearing House Mechanism	Montreal, Canada
12-16 March 2001	Sixth Meeting of the Scientific, Technical and Technological Advice (SBSTTA-6)	Montreal, Canada
13 March 2001	Liaison Group Meeting on Incentive Measures	Montreal, Canada
14 March 2001	Meeting with SBSTTA Focal Points, CHM Focal Points, and Informal Advisory Committee Focal Points	Montreal, Canada
16 March 2001	COP-5 Bureau Meeting	Montreal, Canada
19-20 March 2001	Liaison Group Meeting of the Biosafety Clearing House	Montreal, Canada
19-22 March 2001	Second Meeting of the Panel of Experts on Access and Benefits Sharing	Montreal, Canada
21 March 2001	ICCP Bureau Meeting	Montreal, Canada
23-27 April 2001	2 nd Meeting of the Ad Hoc Technical Group of Experts on Forests Ecosystems	Edinburgh, United Kingdom
17-18 May 2001	Informal Consultations on the Global Strategy for Plant Conservation	London, United Kingdom
28 May 2001	COP-5 Bureau Meeting	Mahe, Seychelles
28-30 May 2001	Workshop on the Strategic Plan of the CBD	Mahe, Seychelles

DATES	MEETING TITLE	VENUE
4-7 June 2001	Workshop on Sustainable Tourism	Santo Domingo, Dominican Republic
13-15 June 2001	Expert Meeting on Handling Transport Packaging and Identification of LMOs	Paris, France
18-20 June 2001	Workshop on Liability and Redress under the CBD	Paris, France
9 - 10 July 2001	ICCP Bureau Meeting	Havana, Cuba
11- 13 July 2001	Open-ended Meeting of Experts on Capacity-building for the Implementation of the Biosafety Protocol	Havana, Cuba
14 July 2001	International Workshop on Financial Support for National Biosafety Frameworks	Havana, Cuba
16 - 17 July 2001	Workshop on Financing for Biodiversity	Havana, Cuba
4 – 6 September 2001	Latin American and the Caribbean Regional Meeting on Biosafety Clearing House Mechanism	Lima, Peru
7 September 2001	Latin American and the Caribbean Regional Meeting on the Clearing House Mechanism	Lima, Peru
24 - 27 September 2001	African Regional Workshop on Sustainable Use of Biological Diversity	Maputo, Mozambique
25 September 2001	ICCP Bureau Meeting	Nairobi, Kenya
26 - 28 September 2001	Open-ended Meeting of Experts on Compliance	Nairobi, Kenya
27- 28 September 2001	Liaison Group Meeting of Technical Experts on the Pilot Phase of the Biosafety Clearing House	Nairobi, Kenya
1-5 October 2001	Second meeting of the Intergovernmental Committee on the Cartagena Protocol on Biosafety	Nairobi, Kenya
6 October 2001	Joint ICCP/COP-5 Bureau meeting	Nairobi, Kenya
9 October 2001	Liaison Group Meeting on Article 8 (j) and Related Provisions	Montreal, Canada
10-12 October 2001	Workshop on Incentive Measures for the Conservation and Sustainable Use of Biological Diversity	Montreal, Canada
19 October 2001	Tripartite Liaison Group Meeting on Climate Change and Biodiversity	Bonn, Germany
21 October 2001	COP-5 Bureau meeting	Bonn, Germany
22-26 October 2001	Ad hoc Open-ended Meeting on Access and Benefit Sharing	Bonn, Germany

22-26 October 2001	First Meeting of the Ad Hoc Technical Expert Group on Marine and coastal Protected Areas	Leigh, New Zealand
--------------------	--	--------------------

DATES	MEETING TITLE	VENUE
5 – 7 November 2001	Third Meeting of the SCBD/UNESCO Consultative Working Group of Experts on biodiversity Education and Public Awareness	Bilbao, Spain
11 November 2001	Meeting of the Informal Advisory Committee to the Clearing-House Mechanism	Montreal, Canada
12-16 November 2001	Seventh Meeting of the Subsidiary Body on Scientific, Technical and Technological Advice (SBSTTA-7)	Montreal, Canada
14 November 2001	Meeting of SBSTTA Focal Points, CHM Focal Points and the Informal Advisory Committee to the Clearing-House Mechanism	Montreal, Canada
19-21 November 2001	Open-ended Intersessional Meeting on the Strategic Plan, National Reports and the Implementation of the Convention	Montreal, Canada