



**CONVENTION ON
BIOLOGICAL DIVERSITY**

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**QUARTERLY REPORT ON THE ADMINISTRATION OF
THE CONVENTION ON BIOLOGICAL DIVERSITY
(July – September 2001)**

Note by the Executive Secretary

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I. INTRODUCTION

1. This report is prepared in keeping with decision III/24 of the Conference of the Parties which requested the Executive Secretary to prepare a quarterly report on the administration of the Convention including such matters as the staff lists, status of contributions, progress on the implementation of the medium term work programme and financial expenditures. The requirements to report on a regular basis to the Parties were further elaborated under the Administrative Arrangements between the Secretariat and UNEP which were endorsed by the Conference of the Parties in decision IV/17.
2. The Executive Secretary has prepared this Quarterly Report which contains a summary of key activities implementing the decisions of the Conference of the Parties and other relevant matters during the period 1 July to 30 September 2001.

II. EXECUTIVE DIRECTION AND MANAGEMENT

3. In July, the Secretariat organized three meetings in Havana, Cuba, namely: the Open-ended meeting of Technical Experts on Capacity Building Requirements for the Implementation of Provisions of the Cartagena Protocol on Biosafety; the International Workshop on Financial Support for the Creation and Implementation of the National Biosafety Frameworks and the Workshop on Financing Biodiversity and Biosafety which was organized in conjunction with UNEP/GEF.
4. This was followed in September by a number of biosafety meetings, namely: the Group of Latin America and the Caribbean Regional Meeting on Capacity Building for the Cartagena Protocol Focusing particularly on the Biosafety Clearing House and the regional capacity building meeting on the clearing house Mechanism of the Convention. These two meetings were organized in Lima, Peru, from 4-7 September 2001.
5. In addition, the Liaison Group of Technical Experts on the Biosafety Clearing-house reconvened in Nairobi on 27-28 September and the Open-ended Meeting of Experts on Compliance also met in Nairobi from 26-28 September 2001.
6. The African Regional Workshop on Sustainable Use of Biological Diversity was organized in Maputo, Mozambique from 24-27 September 2001.
7. The Secretariat was represented in a number of important international fora: the OECD Conference on New Biotechnology Foods and Crops: Science, Safety and Society (July 2001, Bangkok), the Workshop on the Explanatory Guide to the Cartagena Protocol (July 2001, Germany), and the Nordic-Baltic Seminar on International Forest Policy (September, Finland).
8. Fruitful discussions on fostering cooperation and involvement of climate change expertise in the CBD pilot assessment of the interlinkages between climate change and biodiversity were held with the Secretariat of the United Nations Framework Convention on Climate Change (UNFCCC) on the margins of the fourteenth session of the Subsidiary Body on Scientific and Technological Advice (SBSTA) which was held in July in Bonn, Germany. Arrangements are underway to hold, during the last quarter of 2001, a joint liaison group between the two secretariats with the participation of the Secretariat of the United Nations Convention to Combat Desertification (UNCCD).

III. IMPLEMENTATION OF THE ADMINISTRATIVE ARRANGEMENTS

9. The Secretariat regularly reviews implementation of the Administrative Arrangements endorsed by the COP in decision IV/17. The status of implementation of the agreed Administrative Arrangements is presented in Annex I. The following, highlights the key features of the Secretariat's activities with respect to implementation of these Arrangements.

A. Personnel Arrangements

10. During the reporting period, the Secretariat had 27 regularized Professional staff members and 20 regularized General Service staff members funded from the core budget. Additionally, 8 Professional posts and 5 General Service posts were funded from other sources. Between July and September 2001 the following staff members joined the Secretariat: Ms. Paola Deda Programme Officer Sustainable Use and Tourism, and Ms. Xueman Wang, Programme Officer Legal and Policy Affairs Biosafety. The following staff members were regularized: Ms. Maria Crespo, Programme Assistant Executive Direction and Management (EDM) and Ms. Franca D'Amico Programme Assistant Implementation and Outreach (I&O). For more information, please refer to the attached staff list and organigram (Annex I Appendix 1, and Annex II respectively).

B. Financial Arrangements

11. With regard to budgetary matters, as at 30 September 2001, of the total pledged contributions of US\$6,598,350 for 2001 to the General Trust Fund for the Convention on Biological Diversity (**BY Trust Fund**), the total contributions received amounted to US\$5,599,607. A balance of US\$998,743 is still outstanding for 2001. Total unpaid pledges to the BY Trust Fund for prior years amounts to US\$600,342. Details of the BY Trust Fund are contained in Annex V.

12. Several Parties have contributed additional voluntary funds in support of approved activities under the Convention (**BE Trust Fund**). As of 30 September 2001, the total amount pledged for 2001 amounts to US\$2,079,711. The total collected for 2001 as at 30 September 2001 was US\$1,230,137, and an additional \$548,273 has been collected in 2001 for prior years. Total unpaid pledges for 2001 and prior years to the BE Trust Fund is US\$849,387.

13. During the third quarter of 2001, new pledges and contributions were received from the Governments of Canada, Denmark, Germany, the Netherlands, the United Kingdom, the United States of America and the Food and Agricultural Organization (FAO). The Governments of Canada, Denmark and the United Kingdom pledged additional funds for the open-ended Meeting of Experts on Capacity-building for the Implementation of the Cartagena Protocol on Biosafety, Havana, Cuba, 11-13 July 2001. Germany pledged funds for the Ad Hoc Meeting on Access and Benefits Sharing, Bonn, Germany, 22-26 October 2001; the Netherlands pledged funds for SCBD conference servicing costs and for three (3) Regional Workshops on Sustainable Use of Biodiversity; the United States of America pledged contributions for the meeting on Global Invasive Species (GISP), and the FAO pledged funds for the secondment of an Agrobiodiversity Programme Officer as well as for production of an Ocean Atlas. Details of the BE Trust Fund are contained in Annex III.

14. Pledges totalling US\$1,040,079 have so far been made as additional voluntary contributions to facilitate the participation of Parties to the Convention Process (**BZ Trust Fund**) in 2001, of which US\$881,247 has already been paid. Additionally, US\$238,705 has been collected during 2001 for prior years' pledges. Total unpaid pledges for 2001 and prior years to the BZ Trust Fund amount to US\$222,680. Details of the BZ Trust Fund are contained in Annex IV.

15. Invoices have been sent to the 180 Parties to the Convention, inviting them to pay their contributions to the General Trust Fund for 2002. To date eighty-six countries have totally or partially paid their assessed contributions for 2001 representing 85% of the total amount pledged to the Trust Fund in 2001.

IV. IMPLEMENTATION OF THE DECISIONS OF THE CONFERENCE OF THE PARTIES

SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL MATTERS

DECISION V/2: PROGRESS REPORT ON THE IMPLEMENTATION OF THE PROGRAMME OF WORK ON THE BIOLOGICAL DIVERSITY OF INLAND WATER ECOSYSTEMS (IMPLEMENTATION OF DECISION IV/4)

Inland water ecosystems biological diversity

16. Consistent with decision V/21, the Secretariat continued implementing the second Joint Work Plan with the Ramsar Convention and in particular promoted the implementation of the second phase of the River Basin Initiative (establishment phase). The CBD Secretariat, in collaboration with the Ramsar Bureau is preparing a progress report on the Second Joint Work Plan and a draft third Joint Work Plan for consideration by the sixth meeting of the Conference of the Parties, in accordance with recommendation VI/3 of SBSTTA.

17. The Secretariat is represented in the Ramsar Convention's subsidiary body for scientific advice, the Scientific and Technical Review Panel (STRP). The CBD Secretariat will be involved in development of the documents for Ramsar COP-8 with particular focus on the following topics: ecological quality of wetlands; assessment methodologies; and early warning systems; the World Commission on Dams report; integrated coastal zone management; invasive species; draft guidelines for the designation of mangrove and coral reef wetland types; wetland inventory; strategic framework and guidelines for the future development of the list of Wetlands of International Importance, and Frameworks for managing wetlands of international importance and other wetlands.

18. Representatives of the secretariats of the two conventions held discussions on themes and activities that should be considered and further developed for inclusion in the third joint work plan between the Secretariat of the CBD and the Ramsar Bureau. The following issues were identified, and it was noted that other topics of common interest may subsequently be identified:

- (i) Activities related to present STRP work plan and CBD programmes of work, including: development of guidelines for invasive species for wetland managers; development of inventory, and monitoring systems; development of guidelines for integrated coastal zone management; development of guidelines for wetland restoration; and development of guidelines on new criteria for identification of wetlands of international importance;
- (ii) Other issues of common interests include inter alia: impact assessment; climate change; rapid assessment; integrated river basin management; and marine protected areas;
- (iii) Involvement of the Ramsar Bureau in elaboration and refinement of the programme of work on biological diversity of inland water ecosystems;
- (iv) Mechanisms to improve the synergy between activities of the CBD and Ramsar Conventions and to explore opportunities to involve, in a harmonised manner, other environmental conventions in joint activities of Ramsar and CBD.

19. The CBD Secretariat in its capacity as a member of the Global Steering Group for Wetlands

International (WI) and the Netherlands Ministry of Foreign Affairs (DGIS) agreement relating to cooperation for the conservation and wise use of wetlands, has identified the usefulness of three project proposals: Assessing the Status of Freshwater Biodiversity; Development and Introduction of a Standardised Approach to Wetland Inventory - The Asian Wetland Inventory; and Sustainable Management of Waterbird Harvesting. The Executive Secretary in his letter to WI noted that the projects contribute to the implementation of the Convention on Biological Diversity programme of work on biological diversity of inland water ecosystems, particularly paragraph 9(e) on monitoring and assessment; and paragraph (f) on sustainable use.

20. SBSTTA in recommendation VI/5 decided to initiate assessments on a number of priority issues including development of rapid assessment methods for the biodiversity of inland water ecosystems, in cooperation with the Ramsar Convention on Wetlands. Moreover SBSTTA, in its recommendation VI/2, noted the need to facilitate the progress in preparation of guidelines for rapid assessment methodologies of the biological diversity of inland water ecosystems, paying special attention to early cooperation with small island developing states. The CBD Secretariat prepared a project brief on development of rapid assessment methodologies of the biological diversity of inland water ecosystems and prepared an outline of the paper on this issue and in the context of the project brief.

21. In response to a letter of invitation from UNESCO, to strengthen the collaboration between UNESCO and the CBD Secretariat in the development and progress of the World Water Assessment Programme (WWAP), a link has been established to the WWAP's website on the CBD website at <http://www.biodiv.org/areas/water/links.asp>. The WWAP will be introduced to the participants of the seventh meeting of the SBSTTA through the progress report on assessment methods for biological diversity of inland water ecosystems. Moreover, the SCBD will provide the participants with the brochure on WWAP during the meeting.

22. The CBD's webpage on inland waters biodiversity is being currently updated.

DECISION V/3 PROGRESS REPORT ON THE IMPLEMENTATION OF THE PROGRAMME OF WORK ON MARINE AND COASTAL BIOLOGICAL DIVERSITY (IMPLEMENTATION OF DECISION IV/5)

Marine and coastal biological diversity

23. Preparations for the first meeting of the Ad hoc technical expert group on marine and coastal protected areas, to be held in Leigh, New Zealand, from 22 to 26 November 2001, are progressing well. The selection of experts has now been finalized, resulting in a regionally and geographically balanced group with considerable expertise on the topic. The agenda, annotated agenda, background document, and other meeting documents have been prepared.

24. The Secretariat has been providing input to the UN Atlas of the Oceans. This Internet-based Atlas is a collaborative effort between a number of UN agencies, and the SCBD as a core member of the Atlas group. Written text and selected CBD documents have been provided for inclusion to the Atlas on topics related to marine and coastal biological diversity, and in particular to habitat threats, marine protected areas, invasive alien species and coral reefs.

25. The Secretariat is in the process of facilitating closer collaboration with the Global Coral Reef Monitoring Network (GCRMN) in the implementation of the CBD work programmes on coral bleaching and physical degradation and destruction of coral reefs. Input to the coral reef work programmes has also

been received from the World Fish Centre (ICLARM) and the IOC of UNESCO. IOC has also been assisting the SCBD in developing rapid assessment methods for marine biological diversity.

26. A lecture on the Convention and its programme of work in marine and coastal biological diversity was given to an aquatic conservation class at McGill University, Montreal, Canada. It is envisaged that this activity will lead to further collaboration with McGill in this subject area.

27. The Secretariat is updating the webpage on marine and coastal biodiversity.

DECISION V/4 PROGRESS REPORT ON THE IMPLEMENTATION OF THE PROGRAMME OF WORK FOR FOREST BIOLOGICAL DIVERSITY

Forest biological diversity

28. The Secretariat prepared the following pre-session documents for SBSTTA 7:

- (i) Report of the ad hoc technical group on forest biological diversity UNEP/CBD/SBSTTA/7/6);
- (ii) Consideration of specific threats to forest biological diversity (a) climate change; (b) human induced uncontrolled forest fires; and (c) harvesting of non-timber forest resources UNEP/CBD/SBSTTA/7/7);
- (iii) Draft revised programme of work on forest biological diversity (UNEP/CBD/SBSTTA/7/8)

29. In addition the Secretariat prepared the five INF documents for SBSTTA 7. Documents(i)and (ii) were prepared on the basis of commissioned reviews, and documents (iii) and (iv) on the basis of draft reports produced by the Ad Hoc Technical Expert Group on Forest Biological Diversity:

- (i) Impacts of human-induced fires on biodiversity and ecosystem functioning, and their causes in tropical, temperate and boreal forest biomes (UNEP/CBD/SBSTTA/7/INF/1);
- (ii) Sustainable management of non-timber forest resources: a review with recommendations for the SBSTTA (UNEP/CBD/SBSTTA/7/INF/2);
- (iii) The Ad Hoc Technical Expert Group for Forest Biological Diversity: Review of the status and trends of, and threats to, forest biological diversity (UNEP/CBD/SBSTTA/7/INF/3);
- (iv) The Ad Hoc Technical Expert Group for Forest Biological Diversity: Matrices used in defining options and priority actions for conservation and sustainable use of forest biological diversity (UNEP/CBD/SBSTTA/7/INF/4);
- (v) Implementation of the work programme for forest biological diversity-synthesis of information from the thematic reports delivered by Parties (UNEP/CBD/SBSTTA/7/INF/5);

30. The Secretariat was represented in the Nordic -Baltic Seminar on the International Forest Policy, organized in Helsinki, Finland from 20.21 September 2001.

31. The Secretariat started the organization of an Ad Hoc Technical Expert Group Meeting on the interlinkages between the Climate Change and Biological Diversity, to be held in Helsinki from 10 to 14 December 2001 and to be sponsored by the Government of Finland.

32. The CBD's webpage on forest biodiversity is being currently updated.

DECISION V/5 AGRICULTURAL BIOLOGICAL DIVERSITY: REVIEW OF PHASE I OF THE PROGRAMME OF WORK AND ADOPTION OF A MULTI-YEAR WORK PROGRAMME

Agricultural biological diversity

33. The Secretariat was represented in the meeting of the Commission on Genetic Resources for Food and Agriculture, held in Rome from 23 June to 5 July 2001. The Commission completed its work to revise the International Undertaking, in harmony with the CBD. The text of the Undertaking, as prepared by the Commission, will be forwarded by the Director-General of FAO Conference in November, 2001, for finalization and adoption. The pending items, which need further consultation, are (i) the issue of Intellectual Property Rights (IPR's) on "parts and components", (ii) the list of crops; and (iii) the relationship to the World Trade Organization (WTO).

34. The Secretariat held discussions with Niek van der Graaf, Secretary of the International Plant Protection Convention, concerning the elaboration of a Memorandum of Understanding (MoU) at FAO Headquarters in Rome. Deliberations were also held with the new FAO focal point for the CBD on current and forthcoming FAO-CBD cooperation, especially in view of the upcoming SBSTTA-7.

35. The Secretariat prepared the following two pre-session documents for SBSTTA 7:

- (i) Agricultural biological diversity: progress report on the implementation of the programme of work, including development of the International Pollinators Initiative;
- (ii) Agricultural biodiversity: the international initiative for the conservation and sustainable use of pollinators: a proposal for a plan of action.

36. The preparations concerning the pre-SBSTTA-7 symposium on managing biological diversity in agricultural ecosystems were started with the International Plant Genetic Research Institute (IPGRI) in Rome.

37. The Secretariat is updating its webpage on agrobiodiversity.

DECISION V/6 ECOSYSTEM APPROACH

Ecosystem approach

38. Work on the ecosystem approach is ongoing through the elaboration of the concept in the different programmes of work with regard to the thematic areas and cross-cutting issues of the Convention.

39. The CBD's webpage on ecosystem approach is being currently updated.

DECISION V/7 IDENTIFICATION, MONITORING AND ASSESSMENT AND INDICATORS

Scientific assessments

40. The Secretariat prepared two pre-session documents on the issue:
- (i) Indicators and environmental impact assessment: designing national-level monitoring programmes and indicators (UNEP/CBD/SBSTTA/7/12);
 - (ii) Indicators and environmental impact assessment: further development of guidelines for incorporating biodiversity-related issues into environmental impact assessment legislation and/or processes and in strategic environmental assessment (UNEP/CBD/SBSTTA/7/13).
41. In preparing the pre-session document on environmental impact assessment (EIA), the Secretariat interacted with the Biodiversity and Ecology Section of the International Association for Impact Assessment (IAIA) and the “Secrétariat francophone” of the OAIA. They agreed to continue collaborating to implement any recommendation of SBSTTA 7 on EIA.
42. The Secretariat further explored the possibility of collaborating with the IPPC Secretariat and the Global Invasive Species Programme (GISP) to carry out the intersessional work requested by SBSTTA in its recommendation VI/4 and to implement COP decision V/8.
43. The Secretariat also involved GISP in the development of the pilot project on assessment of the impact of invasive alien species (SBSTTA recommendation VI/5). Arrangements are being made to start implementing the pilot projects as soon as GISP launches its Phase II.

DECISION V/8 ALIEN SPECIES THAT THREATEN ECOSYSTEMS, HABITATS OR SPECIES

Invasive alien species

44. The impact of invasive alien species is being addressed through the elaboration of the programmes of work of the thematic areas of the Convention. The Secretariat is focusing on eradication, control and mitigation of their impacts combined with the promotion of the development of legal frameworks and guidelines at national, regional and international levels.
45. Collaboration with the organizations that specialize in this issue, such as the GISP, the International Plant Protection Convention (IPPC), the World Conservation Union (IUCN) and UNESCO’s Programme on Man and the Biosphere (MAB) was consolidated.
46. The Secretariat is updating the webpage on alien invasive species.

DECISION V/9 GLOBAL TAXONOMY INITIATIVE: IMPLEMENTATION AND FURTHER ADVANCE OF SUGGESTIONS FOR ACTION

Global Taxonomy Initiative

47. Decision V/9 of the Conference of the Parties urges Parties, Governments and relevant organizations to undertake the identification of national and regional priority taxonomic information requirements; assessments of national taxonomic capacity to identify and, where possible, quantify national and regional-level taxonomic impediments and needs, including the identification of taxonomic tools, facilities and services required at all levels, and mechanisms to establish, support and maintain such tools, facilities and services; and the building of taxonomic capacity, in particular in developing countries, including through partnerships between national, regional and international taxonomic reference centres, and through information networks. The Secretariat was represented at a meeting in China, organized by BioNET-INTERNATIONAL, in which reports were made by China, Japan, Mongolia, North Korea and South Korea, and a partnership proposed between the Parties represented, specifically in terms of CBD objectives. The Secretariat was also represented at a workshop in Japan, organized by Species 2000 Asia-Oceania, in which partnerships between a number of East Asian countries were discussed. The Secretariat was also represented at a meeting in Mexico, organized by the All-Species Foundation, Conservation International, and CONABIO, at which the taxonomic needs of developing countries were discussed and concepts of new and appropriate taxonomic tools debated, together with possible funding sources.

48. Decision IV/1 of the Conference of the Parties suggested that Parties and authorities should utilize information systems to maximum effect in taxonomic institutions. In developing priority-setting criteria for information products, taxonomic institutions should consider the needs of the wide range of users of that information, including biological diversity managers. In particular, taxonomic information, literature and checklists should be put into electronic form. In addition, the Conference of the Parties suggested in Decision IV/1 that Governments and members of the Organization for Economic Cooperation and Development (OECD) should endorse and support the recommendations from the OECD Megascience Forum's Biodiversity Informatics Subgroup, regarding the development of a Global Biodiversity Informatics Facility (GBIF) to allow people in all countries to share biological diversity information and to provide access to critical authority files. The Secretariat was represented at the third Governing Board meeting of the GBIF in Paris, and continued to stress the needs identified by the Conference of the Parties. The GTI Programme Officer and CHM Programme Officer have both been nominated for, and accepted on, GBIF Science subcommittees.

49. Decision V/9 of the Conference of the Parties requested Parties to designate a national focal point for the GTI. Since the last Quarterly Report a second Notification has been issued by the Executive Secretary. The following additional Parties have designated a National Focal Point: Antigua & Barbuda, Costa Rica, Croatia, France, Honduras, India, Ireland, Mexico, Poland, Seychelles, Switzerland and Zimbabwe.

50. The Coordination Mechanism of the GTI advised the Executive Secretary to urge Parties to the Convention to facilitate such efforts of international cooperation for taxonomic research as are needed to help implementing activities of the Convention by *inter alia* establishing clear and unambiguous mechanisms for granting the necessary permissions for approved research projects, field work, collection of biological specimens, and free exchange of personnel, data and relevant materials. The Executive Secretary issued a notification on 23rd July 2001 pursuant to Decision V/26 on access to genetic resources and noting the issue highlighted by the GTI Coordination Mechanism. A document was sent with this notification to elucidate the particular issues concerning taxonomic research and proposing some solutions.

51 The Secretariat is updating its webpage on the global taxonomy initiative.

Global Plant Conservation Strategy

52. The Secretariat prepared a pre-session document, containing the report of the Second Informal Consultation on the Global Strategy for Plant Conservation, held on 17 and 18 May 2001 in London, with the title "a proposal for a global strategy for plant conservation" (UNEP/CBD/SBSTTA/7/10); the document is posted at the CBD's web site.

53. In preparation for consideration of the proposed strategy by SBSTTA and in line with Decision V/10 (paragraph 5), the Executive Secretary solicited the views of Parties and liaised with relevant organizations to gather relevant information that will be reported to SBSTTA. Accordingly, submissions from Parties and other Governments were received from: Belgium, Costa Rica, Guyana, Iran, Kiribati, New Zealand, Pakistan, Poland, Palau, Spain, Thailand.

54. The Secretariat is preparing the status of targets in national strategies and plans.

DECISION V/23 CONSIDERATION OF OPTIONS FOR CONSERVATION AND SUSTAINABLE USE OF BIOLOGICAL DIVERSITY IN DRYLAND, MEDITERRANEAN, ARID, SEMI-ARID, GRASSLAND AND SAVANNAH ECOSYSTEMS

Dry and sub-humid lands biological diversity

55. The Secretariat prepared a pre-session document for SBSTTA 7 on the status of the joint work programme on biological diversity of dry and sub-humid lands: Progress report on the implementation of the programme of work (UNEP/SBSTTA/7/4). The Secretariat prepared this joint programme of work in consultation with the Secretariat of the Convention to Combat Desertification.

56. This joint work programme provides SBSTTA 7 with:

- (i) a review of the current status; and
- (ii) information on ongoing activities of both Secretariats in support of this joint work programme under the ten priority activities identified, such as assessments (Status and trends), areas of special value, indicators, ecological, physical and social threats, particularly to functioning, goods and services, examples of best management practices and targeted actions.

57. The Secretariat is updating the Web page on dry- and sub-humid lands.

DECISION V/24 SUSTAINABLE USE AS A CROSS-CUTTING ISSUE

Sustainable use

58. The Executive Secretary signed an Arrangement with the Consul General of The Netherlands on behalf of The Netherlands Minister of Development Cooperation on 19 July 2001 for a Contribution to the Convention on Biological Diversity to organize three Regional Workshops on Sustainable Use of Biological Diversity.

59. The Secretariat prepared the framework document for the development of guiding principles for sustainable use of biological diversity (UNEP/CBD/WS-Sustainable Use/1/2) and organized the first regional workshop on the issue in Maputo, Mozambique from 24 to 27 September 2001. This workshop focusing on terrestrial, dryland resources and game utilization developed the Maputo Principles, axioms (universal thrusts) and guiding principles for sustainable use. A second regional workshop, is being organized in Hanoi, Vietnam from 9 to 12 January 2002. It will address sustainable use of forest biological

diversity, including timber and non-wood forest products (NWFP). The third workshop, to be held in Ecuador in March 2002, will focus mainly on marine and freshwater capture fisheries. The output of the three regional workshops will be reported to COP 6. A substantive discussion on the issue will take place in SBSTTA 8 or 9. The report of the first regional workshop in Maputo, Mozambique is contained in document Sustainable Use: Outcome of the first regional workshop on sustainable use of biological diversity, Maputo, Mozambique, 24 to 27 September 2001 (UNEP/CBD/SBSTTA/7/INF/9).

60. The Secretariat prepared a pre-session document on Sustainable use: progress in the development of practical principles, operational guidance and associated instruments (UNEP/CBD/SBSTTA/7/5). The Secretariat also prepared the pre-session document A compilation of case studies on sustainable use of biological diversity (UNEP/CBD/SBSTTA/7/INF/10).

61. The Secretariat participated in the 4th biennial Conference of the Canadian Society for Ecological Economics (CANSEE), hosted by the McGill School of Environment, convened in Montreal from 23 to 25 August 2001, with a presentation on "CBD's Contribution to Global Ecological Governance" and chaired a session on "Biodiversity, Bio-business & Environmental Democracy" in which representatives of the various transnational enterprises were represented.

62. The CBD's webpage on sustainable use is being currently updated.

SOCIAL ECONOMIC AND LEGAL MATTERS

63. During the period under review, the SEL unit was busy *inter alia* with the preparations of a number of meetings to be held in the coming months, including the Ad Hoc Working Group on Access and Benefit-sharing, the workshop on incentive measures, the liaison group and Ad Hoc Working Group on Article 8 (j), and SBSTTA 7, and COP6.

DECISION V/15 INCENTIVE MEASURES

64. Further to the liaison group meeting held in March, the Secretariat has been actively preparing a workshop on incentive measures, which was to be held in Montreal, on 17-19 September, with the support of the Governments of The Netherlands and Belgium: experts were invited and the documentation was sent to confirmed participants. However, as a result of recent events in the United States, it was not possible to hold the meeting as planned. The workshop has been rescheduled to 10- 12 October 2001, in Montreal. The purpose of the meeting will be to elaborate proposals for the design and implementation of incentive measures for consideration by SBSTTA 7, based on background documentation prepared by the Secretariat in conjunction with competent organizations.

DECISION V/16: ARTICLE 8 (j) AND RELATED PROVISIONS

65. In response to decision V/16 relating to the implementation of Article 8(j) and related provisions, work is still in progress to prepare and finalize relevant reports and documents for the second Ad Hoc Open-ended Intersessional Working Group on Article 8(j) and related provisions, to be held in February 2002.

66. A liaison group that was to be held back-to-back with the workshop on incentive measures was also rescheduled to October 9. The liaison group is being convened to review the draft documents and, thus, assist the Secretariat in the preparation of the documentation for the Ad Hoc Open-ended Intersessional Working Group on Article 8(j) and related provisions.

DECISION V/18: IMPACT ASSESSMENT, LIABILITY AND REDRESS

67. In decision V/18, the Conference of the Parties decided to consider, at its sixth meeting, a process for reviewing paragraph 2 of Article 14 of the Convention on liability and redress, including the possibility of establishing an ad hoc technical expert group, taking into account, *inter alia*, consideration of these issues within the framework of the Cartagena Protocol on Biosafety. The Government of France offered to organize an inter-sessional workshop on liability and redress in the context of the Convention whose outcome would be fed into the decision-making process of the sixth meeting of the Conference of the Parties.

68. As reported in the Quarterly Report for April-June 2001, the Workshop on Liability and Redress in the Context of the Convention on Biological Diversity took place in Paris from 18 to 20 June 2001. Since then, work continues on information gathering on existing national, international and regional measures and agreements on liability and redress applicable to damage to biological diversity as mandated by decision V/18 and underlined by the Paris Workshop.

69. Further, the Secretariat prepared a document on Liability and Redress for Damage resulting from the Transboundary Movements of Living Modified Organisms (see UNEP/CBD/ICCP/2/3) to assist the Intergovernmental Committee for the Cartagena Protocol in its consideration of this issue within the context of the Protocol at its second meeting scheduled to take place in Nairobi, Kenya, from 1 to 5 October 2001. The document takes into consideration the conclusions of the Paris Workshop in order to ensure cross-fertilization between the two processes.

DECISION V/21: COOPERATION WITH OTHER BODIES

SBSTTA RECOMMENDATION VI/7: BIOLOGICAL DIVERSITY AND CLIMATE CHANGE, INCLUDING COOPERATION WITH THE UNITED NATIONS FRAMEWORK CONVENTION ON CLIMATE CHANGE

70. The Secretariat was represented at the Fourteenth Session of the Subsidiary Bodies of the UNFCCC, that took place from 16 to 27 July 2001 in Bonn, Germany. In this context a Liaison Group meeting on Climate Change UNFCCC/CBD was held in Bonn on July 23. The most important items on the agenda were: the work of the ad hoc technical expert group on biological diversity and climate change, and related work of the IPCC to prepare a technical paper, including consideration of any comments from SBSTTA during its fourteenth session; Information exchange; a first exchange of views on the date and scope of the proposed joint workshop; a first exchange of views on the possible scope of the proposed joint work plan; date and place of next meeting.

71. The Secretariat prepared the pre-session document: A Review of the Impact of Climate Change on Forest Biological Diversity (UNEP/CBD/SBSTTA/7/INF/5) for SBSTTA 7.

DECISION V/25: BIOLOGICAL DIVERSITY AND TOURISM

72. Following up on the workshop on tourism and biological diversity, held in Santo Domingo, in June 2001, the Secretariat updated the webpage and prepared a summary of the guidelines on sustainable tourism development for use as promotion material and as may be decided by SBSTTA and COP6. The guidelines will be submitted to SBSTTA-7, in November, for onward transmission to the CSD in accordance with COP decision V/25.

DECISION V/26: ACCESS TO GENETIC RESOURCES

73. The Secretariat continued preparations for the meeting of the Ad Hoc Open-ended Working Group on Access and Benefit-sharing to be held in Bonn, Germany, from 22 to 26 October 2001. These preparations included:

- the finalization and mailing out of the working documents in the six UN languages, the preparation and posting of a notification, the elaboration of a webpage on the meeting, including all relevant documentation;
- the registration of participants;
- preparation and/or circulation of relevant information documents, including one prepared by the Secretariat on the relationship between the work programme on access and benefit-sharing and the Global Taxonomy Initiative;
- Coordination of logistical arrangements with representatives of the German Government responsible for the organization of the meeting in Bonn.

74. The webpage on access and benefit-sharing is in the process of being improved. A new link to *ex situ* collections is available, which provides direct access to “An International Review of the Ex Situ Plant Collections of the Botanic Gardens of the World” prepared by Botanic Gardens Conservation International, with the support of the UK Government and the Secretariat of the Convention on Biological Diversity.

DECISION V/27: CONTRIBUTION OF THE CONVENTION ON BIOLOGICAL DIVERSITY TO THE TEN-YEAR REVIEW OF PROGRESS ACHIEVED SINCE THE UNITED NATIONS CONFERENCE ON ENVIRONMENT AND DEVELOPMENT

Preparations for the World Summit on Sustainable Development (Rio + 10)

75. A brochure produced by the Secretariat on the contribution of the Convention to the achievement of the objectives of Agenda 21 and the challenges ahead was distributed to the organizations responsible for regional meetings preparatory to the World Summit on Sustainable Development. Thus, it is hoped that the role of the Convention will be taken fully into account in the preparations for, and conclusions, of the Summit.

76. A report by the Executive Secretary to the Secretary General on the role of the Convention was prepared for submission to the tenth session of the CSD, in January 2002.

BIOSAFETY

DECISION V/1: WORK PLAN OF THE INTERGOVERNMENTAL COMMITTEE FOR THE CARTAGENA PROTOCOL ON BIOSAFETY

The Biosafety Protocol

77. During the reporting period under consideration, the Biosafety Programme focused mainly on the implementation of the following inter-sessional activities requested by the first meeting of the ICCP: i) development and implementation of the pilot phase of the Biosafety Clearing-House (BCH); ii) organization of the open-ended meeting of experts on capacity-building for the implementation of the Biosafety Protocol; iii) organization of the open-ended meeting of experts on compliance; iv) preparations for the second meeting of the ICCP (ICCP 2).

78. With respect to the pilot phase of the BCH, the Secretariat continued to develop the central portal and the central database in line with the elements identified by ICCP 1 and the recommendations of the Liaison Group meeting of technical experts on the BCH convened by the Executive Secretary on 19-20 March 2001 in Montreal, which were endorsed by the Bureau at its meeting held on 21 March 2001 in Montreal. A presentation of the pilot phase was made during the open-ended meeting of experts on capacity building for the Biosafety Protocol which was held in Havana, Cuba in July 2001, in order to solicit more feedback from Governments. The feedback received from Governments as well as from the ICCP Bureau at its meeting held on 9-10 July 2001 in Havana were used by the Secretariat to continue improving the design and content of the pilot phase of the BCH.

79. At its meeting held in Havana, the ICCP Bureau recommended that a technical review of the pilot phase, to be conducted by the liaison group of technical experts on the BCH in order to provide technical recommendations on the development of the pilot phase. Consequently, the liaison group was reconvened by the Executive Secretary and met from 27 to 28 September 2001 in Nairobi. The recommendations made by the liaison group will be reported to the second meeting of the ICCP for consideration.

80. In order to stimulate the participation of Governments in the pilot phase of the BCH, the Secretariat organized a regional meeting on capacity building for the Protocol focusing specifically on the BCH for the GRULAC region, which took place in Lima, Peru, from 4 to 6 September 2001. The meeting was attended by representatives from 25 out of the 33 countries which comprise the GRULAC region, as well as by representatives of a number of bilateral and multilateral donor governments and agencies, IGOs and NGOs. The meeting provided an opportunity for the participants to identify the needs of the region for effective participation in the BCH, and to have a hands-on training on the use of the BCH Tool-kit developed by the Secretariat. An action plan for building capacities in the GRULAC region was developed and will be transmitted to the second meeting of the ICCP for consideration.

81. The meeting of Government-nominated experts on capacity building for the Biosafety Protocol was organized in Havana, Cuba, from 11 to 13 July 2001, to further develop the capacity building provisions of the Biosafety Protocol pursuant to the recommendation of the first meeting of the ICCP. The meeting was attended by over 220 experts and observers nominated by Governments and relevant organizations. The meeting discussed a number of issues related to capacity building in line with the terms of reference stipulated by ICCP1 and came up with a draft action plan for building capacities for the effective implementation of the Protocol. The report of the meeting (document UNEP/CBD/BS/EM-CB/1/3) was sent to the participants as well as made available on the website of the Secretariat. It will also be part of the pre-sessional documentation for the second meeting of the ICCP.

82. The participation of Government-nominated experts from developing countries and countries with economies in transition Parties to the CBD was made possible by the generous financial contribution made by the Governments of Canada, Denmark, Sweden, Switzerland and the United Kingdom.

83. The Secretariat also took part in the organization of the international workshop on financing the creation and implementation of national biosafety frameworks which was organized by UNEP and the GEF in Havana, on 14 July 2001, back to back with the open-ended meeting of experts on capacity building. The aim of the workshop was to explore ways and means of maximizing complementarities and synergies among various initiatives of bilateral, regional and multilateral funding institutions, including relevant non-biosafety initiatives. The outcome of the workshop will be presented by UNEP and GEF at the second meeting of the ICCP in October 2001.

84. Pursuant to the request of the first meeting of ICCP with regard to the issue of compliance (article 34 of the Protocol), an open-ended meeting of government-nominated experts on compliance took place from 26 to 28 September 2001, in Nairobi, immediately prior to the second meeting of the ICCP, to consider a synthesis report of views submitted by Parties and Governments regarding the elements and options for a compliance regime under the Protocol. The meeting was attended by 175 delegates representing 94 Governments as well as a number of IGOs, NGOs and Private Sector organizations. The report of the meeting (UNEP/CBD/ICCP/2/13) was transmitted to the second meeting of the ICCP for consideration.

85. The participation of Government-nominated experts from developing countries and countries with economies in transition Parties to the CBD was made possible by the generous contribution made by the following Governments: Japan, New Zealand and the Republic of Korea.

86. During the reporting period under consideration, the Bureau of the ICCP held a number of meetings to consider implementation of the inter-sessional activities mentioned in this report, including the organization of the inter-sessional meetings mentioned here. Consequently, the Bureau met in Havana, Cuba, on 9-10 July 2001 and on 25 September 2001 in Nairobi. The minutes of the Bureau meeting held in Havana were sent to all national focal points and were also made available on the website of the Secretariat.

87. Finally, the Secretariat also continued with the preparations of the second meeting of the ICCP which will take place from 1 to 5 October 2001 in Nairobi, Kenya. The Secretariat is also making preparations for the joint meeting of the Bureaux of COP 5 and ICCP which will be held on 6 October 2001 in Nairobi following the conclusion of the second meeting of the ICCP.

IMPLEMENTATION AND OUTREACH

DECISION V/11: ADDITIONAL FINANCIAL RESOURCES

88. The Secretariat has concentrated on the preparation of a report on additional financial resources (UNEP/CBD/COP/6/14) as requested in Decision V/11. The draft report has been reviewed by a wide range of stakeholders including the Global Environment Facility, and is being finalized.

89. The database on biodiversity-related funding information has been advanced on two fronts: the first was to provide information concerning where and how to access funding for biodiversity, and the second to provide an interactive platform for donors and recipients to share funding information. The comprehensive information concerning funding opportunities is now available on the website of the

Convention, and will be updated regularly. The interactive platform for sharing funding information has already been designed and will become operational once endorsed by the Conference of the Parties at its sixth meeting.

90. The Secretariat, in collaboration with the Global Environment Facility, convened a workshop on financing for biological diversity in Havana, Cuba, from 16 to 17 July 2001. The participants felt that the workshop provided a useful forum for donor Parties and Governments, bilateral, regional and multilateral funding institutions to share experience and information and to promote cooperation, collaboration and synergies. The joint summary of the co-chairs of the workshop is contained in the document CBD-GEF/WS-Financing/2, which is available on the website of the Convention.

91. The Secretariat has continued to monitor closely the development on financial issues being addressed by the Department of Economic and Social Affairs of the United Nations for the Commission on Sustainable Development and the International Conference on Financing for Development, and will report on any progress in these fields to the Conference of the Parties.

92. The Secretariat is in the process of collecting information concerning the practice and strategies of resources identification, mobilization and management that have been pursued by these organizations and processes. Further sharing of experience between different international and regional organizations and processes are being explored.

93. The Secretariat has conducted further information collection regarding biodiversity-supporting charities. A large number of charities that are active in biodiversity activities have been identified through the national reports and a specialized research through the Internet and publications. The information is now available on the website of the Convention.

DECISION V/12: SECOND REVIEW OF THE FINANCIAL MECHANISM

94. The review of the effectiveness of the financial mechanism being undertaken by the independent evaluator has made progress as scheduled. In accordance with the terms of reference for the independent evaluator that were developed in consultation with relevant stakeholders and consistent with Decision V/12, the review team with members from both developed and developing countries has undertaken the following tasks: data collection through written questionnaires, interviews with key stakeholders, desk studies and field visits; and analysis and report write up.

95. The questionnaire was developed in consultation with the Global Environment Facility (GEF), and distributed to the CBD and GEF focal points in June 2001. In addition to extensive follow-up, the team utilized the Open-ended Meeting of Experts on Capacity Building for the Implementation of the Biosafety Protocol in Cuba on 9-12 July 2001 to elicit additional responses to the questionnaire. A total of sixty-one responses, with a fairly balanced regional distribution, was compiled in the evaluator's database.

96. A series of interviews were conducted, using a slightly modified version of the questionnaire to yield valuable information from other types of stakeholders involved in GEF work and/or knowledgeable of GEF work. These interviews were either conducted in person (through visits in Montreal, Washington DC, or in Cuba), by telephone, or in some cases, through written responses. A good balance and breadth of responses were received from respondents, which met the planned target response quota.

97. Desk studies were conducted in July -August 2001 using the evaluation matrix developed in consultation with the CBD and GEF secretariats. A wide range of documentation, either identified by the review team itself, or provided by the different actors covered by the review, was analyzed. Types of

documents reviewed included but were not limited to: project implementation reports, the GEF 2001 biodiversity program study, reports of the GEF Council meetings, GEF Lesson Notes, operational reports on GEF programmes, various internal reports outlining specific operational programme components in response to key COP decisions, project related documents for field visit projects, relevant GEF monitoring and evaluation documents such as the inception report of the second GEF's overall performance study, the second independent evaluation of the GEF Small Grants Programme, relevant COP Decisions and policy documents from CBD websites, the report of the first review of the financial mechanism, and quarterly reports on the administration of the CBD.

98. Field visits that were conducted in a selection of projects and countries reflects the following: type and range of COP guidance, geographical distribution over the four developing regions including small island developing States, representative range of GEF operational programmes and types of projects, representative range of Implementing Agency involvement, and representative range of project size and stages of implementation, duration and level of co-financing. The review team conducted field visits in Jordan (Asia and the Pacific), Ecuador (Latin America and the Caribbean), Russian Federation (Eastern Europe and Central Asia), and Seychelles (Africa) from August -October 2001.

99. In the field of inter-secretariat collaboration, the comments on biodiversity proposals received from the GEF Implementing Agencies during the period were provided to Operations Coordination at the GEF Secretariat in order to promote project proposals that are consistent with the guidance of the Conference of the Parties.

DECISION V/13: FURTHER GUIDANCE TO THE FINANCIAL MECHANISM

100. Efforts on further guidance for the financial mechanism have been focused on the preparation of a note that provides a compilation of past guidance to the financial mechanism by substantive item of the provisional agenda, and the development of guidance for the financial mechanism under the Cartagena Protocol on Biosafety. The document on "Guidance for the Financial Mechanism" tabled before the second meeting of the Intergovernmental Committee on the Cartagena Protocol on Biosafety was prepared in close collaboration and consultation with the GEF Secretariat. The recommendation No. 2.4 for the financial mechanism has been adopted by the ICCP, which calls for, among others, practical arrangements to be implemented by the financial mechanism.

101. In accordance with the Memorandum of Understanding between the COP and the GEF Council, the Secretariat requested the Global Environment Facility to provide a report on its activities for consideration by the sixth meeting of the Conference of the Parties. The Secretariat has sent reminder messages to the GEF Secretariat.

DECISION V/14 SCIENTIFIC TECHNICAL COOPERATION AND THE CLEARING HOUSE MECHANISM (ARTICLE 18)

Clearing-house Mechanism

102. The CHM participated in the North American Biodiversity Information Network (NABIN) Steering Committee Meeting held in Montreal, Canada from 29-30 August 2001 where it gave a presentation on technical and scientific cooperation initiatives undertaken by the CHM; the CHM also met with the Inter-American Biodiversity Information Network (IABIN) on 28 August 2001 to discuss possible collaboration and joint initiatives.

103. An informal meeting was held with the Co-Chairs of the GISP on 29 August 2001 to discuss the development of a pilot initiative to assist work on the thematic issues within the work programme of the SBSTTA.

104. Two international thematic focal points were established: The Global Invasive Species Programme, and the Global Taxonomy Initiative (GTI), and a new pamphlet on the CHM was published during the reporting period

105. The CHM organized and presented a Latin America and Caribbean Regional Meeting on the Clearing-House Mechanism in Lima, Peru on 7 September. The CHM also assisted in the presentation of a GRULAC Regional Meeting on the Biosafety Clearing-House (BCH) held in Lima, Peru, from 4-6 September 2001, where it introduced use of the Management Center of the BCH and gave a presentation on capacity building recommendations from the African Regional Meeting on the BCH and the CHM.

106. The CHM continues to participate in the development of the Pilot Phase of the Biosafety Clearing-House, in response to Article 20(1) of the Cartagena Protocol on Biosafety. In response to a recommendation by the ICCP Bureau, completed a Toolkit to assist in the use of the Pilot Phase of the Biosafety Clearing-House. Work has also begun on an updated CHM Toolkit.

107. The CHM is discussing a possible partnership with the Development Gateway in response to Decision V/14, Annex II (j). It has drafted a Memorandum of Cooperation for participation in the UNEP/GEF Sustainable Alternatives Network and is organizing a meeting on the margins of SBSTTA-7 on formats, protocols and standards for the improved exchange of biodiversity-related data.

108. In support of ICCP-1 recommendation to draw upon technical advisory expertise, the CHM participated in the Liaison Group Meeting of Technical Experts on the Biosafety Clearing-House held in Nairobi, Kenya on 27-28 September 2001. It also attended the Task Force Meeting 5 of the European Community Clearing House Mechanism in Bonn, Germany, 27 September 2001, and gave a presentation to the Pan-European Workshop on Building the CHM Partnership: Facilitating Scientific and Technical Cooperation, held in Bonn, Germany, from 28-29 September 2001.

DECISION V/17: EDUCATION AND PUBLIC AWARENESS

109. The Secretariat together with UNESCO prepared the agenda and the main "Discussion paper for the proposed global initiative on Biological Diversity Education and Public Awareness" (UNEP/CBD-UNESCO/CWGEBDEPA-3/2), dated 27 August, for the third meeting of the consultative group of biological diversity education and public awareness, EPA-3, to be held in Bilbao, Spain from 12 to 15 September 2001.

110. For this meeting two consultants, that participated in EPA-2, were requested to prepare this background discussion paper, containing contributions on education and a communication strategy.

111. Due to the major disruption of international air transportation, caused by the events on 11 September 2001 in the USA, an effective organization of this meeting as planned was impossible. Therefore, it was decided to postpone the meeting. New dates were set for 5 to 7 November, 2001 in Bilbao, Spain.

Library management

112. A total of 504 documents have been entered in the bibliographic database. The library catalogue is now accessible on SCBD's intranet site. The staff can search documents by using the same category

used to classify the documents. The documents are also listed in alphabetical order for convenience. It is also possible to conduct a search by subject. Four (4) lists of new acquisitions have been distributed and the Library subscribed to 17 journal titles during the reporting period.

113. Work is being undertaken to establish a virtual library to help facilitate access to information pertaining to biodiversity on the Internet. SCBD staff can now access certain electronic journals on the intranet. This list of journals will be updated on a regular basis. The Library and Documentation Centre is developing new pages to provide links to biodiversity related documents. This activity falls within the collection development policy of the library.

Publications

114. During the period under review, the following publications were printed:

- i) CBD News No.1;
- ii) Rio + 10 in English;
- (iii) Traditional knowledge pamphlet in 6 languages;
- (iv) Social, Economic and Legal issues pamphlet in 6 languages;
- (v) Cartagena Protocol in 6 languages
- (vi) Two (2) issues of CBD Technical Series;
- (vii) COP 5 decisions in French and Spanish;
- (viii) Cartagena Protocol in French and Spanish;
- (ix) A poster for the International Day for Biological Diversity.

115. Additionally, the following publications are being prepared and/or printed:

- (i) COP 5 report in Arabic, Chinese and Russian;
- (ii) Cartagena Protocol text in Arabic, Chinese and Russian;
- (iii) Rio + 10 in French, Arabic, Chinese, Spanish and Russian;
- (iv) CHM brochure in 6 languages;
- (v) Global Biodiversity Outlook;
- (vi) GTI brochure in 6 languages.

116. The CBD Newsletter Vol. 1 No 2. has been published and articles are being prepared for the next issue. Work has been on-going on the production of a CBD wall calendar for 2002, involving extensive photo research and text editing.

117. The build-up for SBSTTA 7 started, including organizing the student participation and the press attendance. Information kits for both have been prepared. An updated list of relevant local journalist has been compiled.

118. An interview was organized for the ES with the Frankfurter Allgemeine Zeitung (Germany's leading daily newspaper).

DECISION V/19: NATIONAL REPORTING

119. By the end of September 2001, the Secretariat had received a second national report from fifty five countries. As previously reported, a number of Parties have indicated to the Secretariat that they plan

to submit their second national report after the deadline established by the Conference of the Parties (15 May 2001) but before the sixth meeting.

120. By the end of the reporting period, the Secretariat had also received fifty two thematic reports on alien species, twelve thematic reports on benefit sharing and thirty three thematic reports on forest ecosystems. All the reports received are posted on the Convention's website.

121. Work continued on the preparation of the Global Biodiversity Outlook. Comments on the draft text received from Parties in response to SBSTTA recommendation VI/9, were incorporated, maps and tables were completed. The initial draft has been delivered to the designer. Correction of proofs and printing will take place in October, leading to publication of the report in time for the seventh meeting of SBSTTA.

122. Work also continued on collecting electronic versions of completed national biodiversity strategies and action plans with a view to providing access to these via the Convention's website.

123. Pre-session documents on national reports and on national biodiversity strategies and action plans were prepared for the Meeting on the Strategic Plan.

DECISION V/20: OPERATIONS OF THE CONVENTION

124. In its decision V/20, on the operations of the Convention on Biological Diversity, the Conference of the Parties decided to hold an open-ended inter-sessional meeting, to assist with preparations for the sixth meeting of the Conference of the Parties. The meeting will consider, and to the extent possible develop draft elements of decisions on, the following topics:

- (a) Preparation of the Strategic Plan for the Convention;
- (b) The second national reports; and
- (c) Means to support implementation of the Convention, in particular, implementation of priority actions in national biodiversity strategies and action plans.

125. Preparations for this meeting have been finalised during the period covered by this Report. Documentation and invitations for the meeting were sent to Parties and other stakeholders on 2 October 2001.

126. As reported in the previous Quarterly report the Executive Secretary convened a Workshop on the Strategic Plan in the Seychelles from 28 to 30 May 2001. The Workshop provided guidance on follow-up steps and the overall process to develop a Strategic Plan up to the sixth meeting of the Conference of the Parties, and proposed a structure and draft elements of a Plan. The Conclusions of the Seychelles Workshop were distributed to Parties in document UNEP/CBD/WS-StratPlan/5 and have been made available at meetings since 31 May 2001, such as the Open-ended meeting of Experts on Capacity-Building for the Implementation of the Biosafety Protocol and ICCP.

127. The Conclusions also formed the basis of the relevant document (UNEP/CBD/MSP/2) for the open-ended meeting on the Strategic Plan, national reports and the implementation of the convention (MSP). Document UNEP/CBD/MSP/2 will also be distributed to other institutions such as: the Working Group on Access and Benefit Sharing; SBSTTA-7; and other meetings, as appropriate (i.e. third meeting

of the CBD-UNESCO Consultative Working Group of Experts on Biodiversity Education and Public Awareness), for their consideration.

ANNEX I

STATUS OF IMPLEMENTATION OF THE AGREED ADMINISTRATIVE ARRANGEMENTS

I Personnel Arrangements

1. During the reporting period, the Secretariat had 27 regularized Professional staff members and 20 regularized General Service staff members funded from the core budget. Additionally, 8 Professional posts and 5 General Service posts were funded from other sources. For more information, please refer to the attached staff list and organigram (Appendix 1 and Annex II respectively).

II Contributions and Funds

2. In addition to the pledges and contributions of additional voluntary funds in support of approved activities under the Convention (**BE Trust Fund**) reported on in the last Quarterly Report, nine new pledges were made to the BE Trust Fund during the third quarter of 2001. The Governments of Canada, Denmark and the United Kingdom pledged funds for the Open-ended meeting of Experts on Capacity-building for the Cartagena Protocol which took place in Havana Cuba from 11-13 July 2001; the Government of Germany pledged funds for the Ad Hoc Meeting of Experts on Access and Benefits Sharing, scheduled for Bonn, from 22-26 October 2001. The Government of the Netherlands pledged funds for conference servicing and for three (3) Regional Workshops on Sustainable Use of Biodiversity; the United States of America pledged contributions for the GISP meeting on Global Invasive Species, and the FAO pledged funds for an Agrobiodiversity Programme Officer as well as for production of an Ocean.

3. For 2001 a total of US\$2,079,711 has so far been pledged to the BE Trust Fund, of which US\$1,230,137 has been collected as at 30 September 2001. Additionally, \$548,273 was collected in 2001 for pledges from 2000 and prior years. Full details of the pledges and contributions to the BE Trust Fund are reflected in Annex III.

4. With regard to the General Trust Fund for the Convention (**BY Trust Fund**), as at 30 September 2001, total unpaid pledges to the BY Trust Fund for 2000 and prior years amounted to US\$600,342. The total amount pledged for the BY Trust Fund in 2001 is US\$6,598,350. Of this amount, US\$5,599,607 or 85% has been received as at 30 September 2001. Total unpaid pledges to the BY Trust Fund for 2001 amounts to US\$998,743. Total unpaid pledges for 2001 and prior years is therefore US\$1,599,085. In addition to the assessed contributions to the BY Trust Fund, a voluntary pledge of \$600,000 was made to the Trust Fund by the Government of Canada during this period. Full details of the pledges and contributions to the BY Trust Fund are reflected in Annex V.

5. As at 30 September 2001 a total of US\$1,040,079 has been pledged for 2001 as additional voluntary contributions to facilitate the Participation of Parties in the Convention process (**BZ Trust Fund**). This sum includes pledges from Canada (\$278,000), Denmark (\$94,007), Germany (\$300,000), Japan (\$85,000), Netherlands (\$100,000), New Zealand (\$26,285), Norway (\$54,825), Sweden (\$48,162), Switzerland (\$10,000) and the United Kingdom (\$43,800).

6. As at 30 September 2001, contributions to the BZ Trust Fund in 2001 amounted to US\$881,247 or 85% of the total pledged so far for 2001. Additionally, US\$238,705 has been collected in 2001 for prior years. A total of US\$222,680 remains outstanding for the BZ Trust Fund for 2001 and prior years. Full details of the pledges and contributions to the BZ Trust Fund are reflected in Annex IV.

III Accounting and Reporting

Reimbursement for Services provided to the Secretariat

8. At present seven UNEP staff members, the Chief, Fund Management and Administration, the Administrative Officer, the Finance Officer, the Senior Finance Assistant, two Finance Assistants and the Personnel Assistant are funded from the programme support funds (PSC). Additionally, UNEP has provided funding for one additional general service administrative post to be paid from PSC.

Conference and Other Services

9. During the period July to September 2001, UNON provided conference servicing assistance to the CBD for the Open-ended Meeting of Experts on Capacity –building for the Implementation of the Biosafety Protocol which took place in Havana, Cuba from 11-13 July 2001, as well as for the Open-ended Meeting of Experts on Compliance, which was convened in Nairobi, Kenya from 26-28 September 2001.

Appendix 1
STAFFING TABLE of the Secretariat of the Convention on Biological Diversity
1 July – 30 September 2001

Post #	Level	Title	PROFESSIONAL STAFF
1101	D2	Executive Secretary	Mr. H. Zedan (Regularized)
1102	D1	Principal Officer (SEL)	Mr. O. Jalbert (Regularized)
1103	D1	Principal Officer (STTM)	Mr. K. Mulongoy (Regularized)
1104	P5	Senior Programme Officer (Biosafety)	Ms. C. Sendashonga (Regularized)
1105	D1	Principal Officer (I&O)	Mr. A. Nogueira (Regularized)
1106	P4	Chief, Fund & Admin. (RMCS)	Ms. M. Rattray – (Regularized) (Post funded by UNEP)
1107	P4	Financial Resources & Instruments. PO (I&O)	Mr. S. Johnston (Regularized)
1108	P4	PO Legal Advice and Support (SEL)	Mr. D.Ogolla (Regularized)
1109	P4	Economist (SEL)	Vacant (Recruitment process completed)
1110	P4	PO Conservation Ecology (STTM)	Mr. J-P. Le Danff (Regularized)
1111	P4	PO, Agricultural Biodiversity (STTM)	Mr. D. Cooper –(Regularized) (Post funded by FAO)
1113	P4	PO, Clearing House Mechanism (I&O)	Mr. M. Silva (Regularized)
1114	P3	Special Assistant to the ES. (EDM)	Ms. M. Soliman (Regularized)
1115	P4	PO, Indigenous Knowledge (SEL)	Ms. H. Marrie (Regularized)
1116	P4	PO, Reports & Reviews (I&O)	Mr. A. Gross (Regularized)
1117	P3	Documentation Officer (I&O)	Mr. Z. Bamba (Regularized)
1118	P3	Meetings Services Officer (RMCS)	Mr. A. Yesli (Regularized)
1119	P3	Information Officer (I&O)	Ms. M. Stricker (Regularized)
1120	P3	Internet & Elect. Communications (I&O)	Mr. O. De Munck (Regularized)
1121	P3	PO, Jakarta Mandate (STTM)	Ms. M. Vierros (Regularized)
1122	P3	PO, Financial Resources (I&O)	Mr. Y. Xiang (Regularized)
1123	P3	Environmental. Affairs Officer , Reports/Reviews (I&O)	Vacant (funding by the Government of Quebec is still pending)
1124	P3	Administrative Officer (RMCS)	Ms. N. Patel (Regularized) (Post funded by UNEP)
1125	P4	Regulatory Scientist - (Biosafety)	Vacant (funding by the Government of Quebec is still pending)
1126	P3	Associate Programme Officer (Biosafety)	Mr. E. Tamale (Regularized)
1127	P2	Associate Legal Affairs Officer (Biosafety)	Mr. W. Damena (Regularized)
1128	P2	Associate Computer Systems Officer (I&O)	Mr. Q. Nguyen (Regularized)
1129	L5	Senior Programme Officer (STTM & I&O)	Mr. A. Heydendael (Regularized) (Post funded by The Netherlands)
1131	P4	PO, Inland Waters (STTM)	Ms. P. Mirabzadeh (Regularized)

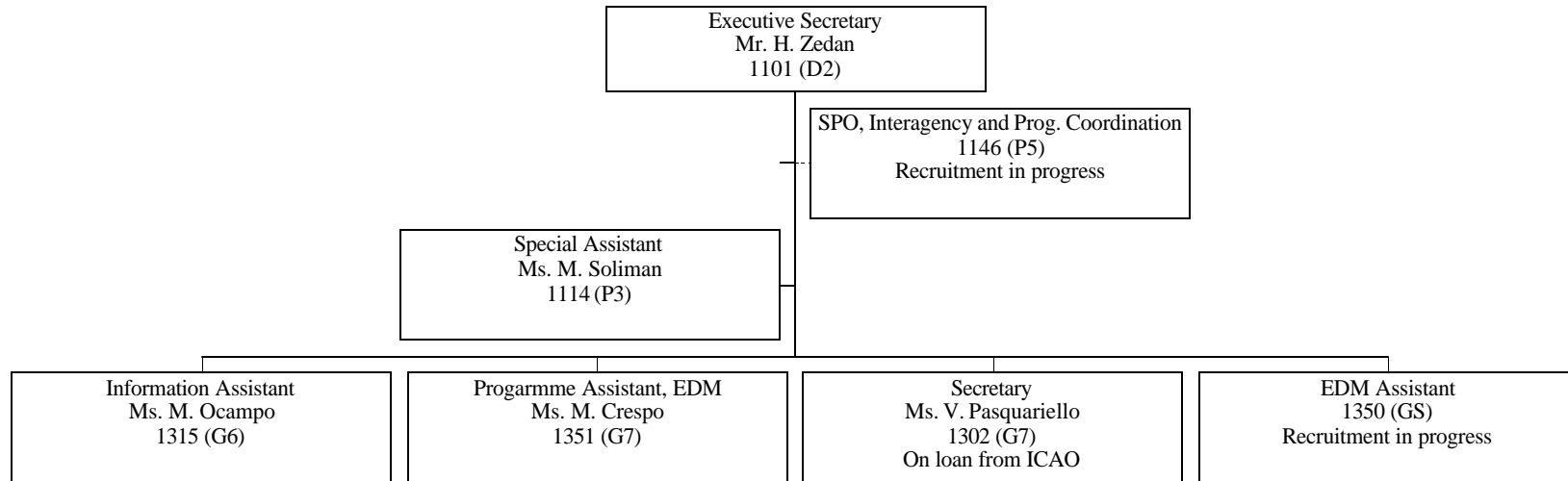
1132	P4	PO, Scientific & Technical Assessments (STTM)	Vacant (Recruitment in progress)
Post #	Level	Title	PROFESSIONAL STAFF
1133	P3	PO, Access & Benefit Sharing (SEL)	Ms. V. Normand (Regularized)
1134	P3	PO, Scientific, Technical Information (Biosafety)	Ms. K. Galloway-McLean (Regularized)
1135	P4	Editor (RMCS)	Mr. J. Fry (Regularized)
1136	P2	Associate Finance Officer (RMCS)	Ms. T.C. Fon Sing (Regularized) (Post funded by UNEP)
1137	P4	Taxonomy, PO (STTM)	Vacant (Post previously funded by Australia & Sweden)
1138	L2	JPO, CHM (I&O)	Ms. T. Alstadheim (Regularized) (Post funded by Norway)
1141	P4	PO, Dry and Sub-humid lands (STTM)	Vacant (Recruitment process completed) – new post
1142	P3	PO, Reports (I&O)	Vacant (Recruitment process completed) – new post
1143	P3	PO, Sustainable Use and Tourism (SEL)	Ms. P. Deda (Regularized)
1144	P4	PO, Legal & Policy Affairs (Biosafety)	Ms. X. Wang (Regularized)
1145	P3	PO, Scientific Assessments (Biosafety)	Vacant (Recruitment process completed) – new post
1146	P5	SPO, Interagency & Programme Coordination (EDM)	Vacant (Recruitment in progress) – new post
1147	L5	Senior Professional Officer, Forests (STTM)	Mr. H. Toivonen (Regularized) (Post funded by Finland)

Post #	Level	Title	GENERAL SERVICE STAFF
1301	G8	Finance Assistant (RMCS)	Mr. M. Reyes (Regularized) (Post funded by UNEP)
1302	G7	Senior Secretary to the Executive Secretary (EDM)	Ms. V. Pasquariello (Regularized) (on secondment from ICAO)
1303	G6	Secretary (SEL)	Ms. F. Velarde (Regularized)
1304	G7	Programme Assistant - (STTM)	Mr. D. Hamel (Regularized)
1305	G7	Programme Assistant - (STTM)	Ms. I. Anderson (Regularized)
1306	G7	Programme Assistant - (I&O)	Ms. S. Penney (Regularized)
1307	G7	Programme Assistant - (Biosafety)	Ms. D. Stepic (Regularized)
1308	G7	Programme Assistant - (I&O)	Ms. M. Chiasson (Regularized)
1309	G7	Programme Assistant - (SEL)	Mr. J. Ho Yoon (Regularized)
1310	G6	Administrative Assistant - (RMCS)	Ms. C. Risch (Regularized)
1311	G7	Travel Assistant - (RMCS)	Ms. R. Rabbath (Regularized)
1312	G7	Personnel Assistant - (RMCS)	Ms. J. Martinez (on secondment from ICAO). Post funded by UNEP
1313	G6	Secretary - (STTM)	Ms. V. Allain (Regularized)
1314	G6	Secretary - (I&O)	Vacant (Recruitment in progress)
1315	G6	Information Assistant (EDM)	Ms. M. Ocampo (Regularized)

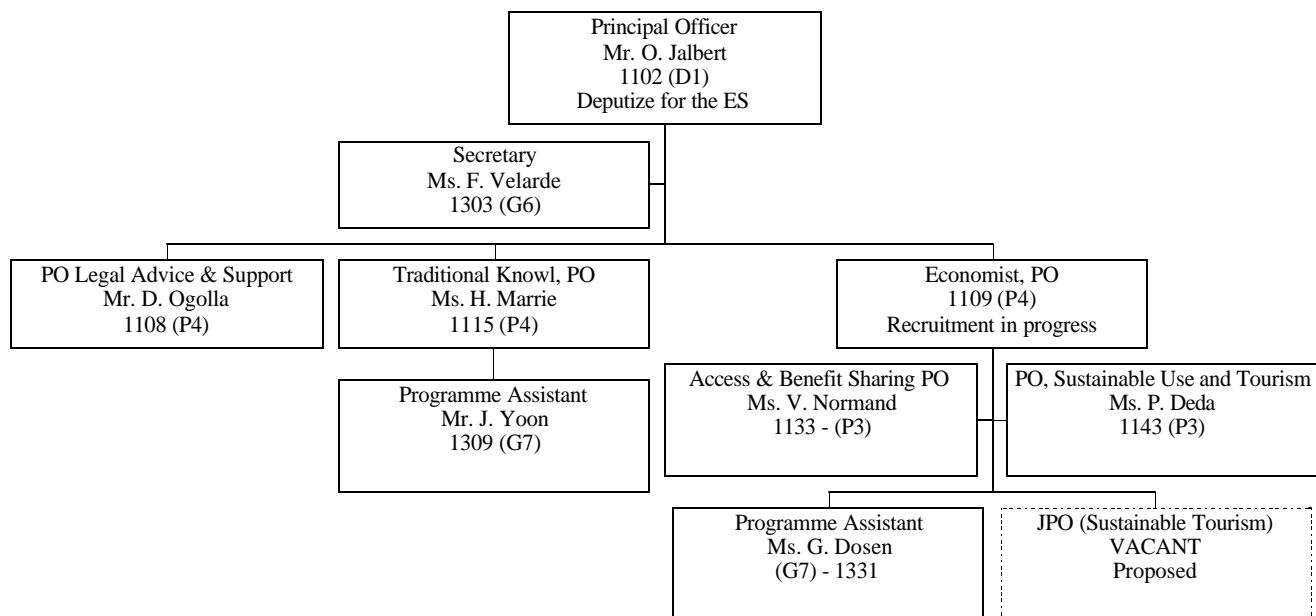
1316	G7	Computer Operations Assistant (I&O)	Mr. V. Gopez (Regularized)
1317	G5	Receptionist (RMCS)	Ms. L. Boutin (Regularized)
Post #	Level	Title	GENERAL SERVICE STAFF
1318	G4	Messenger/Clerk (RMCS)	Mr. R. Bellido (Regularized)
1319	G6	Secretary (Biosafety)	Ms. J. Huppé (Regularized)
1329	G7	Programme Assistant, CHM (I&O)	Mr. H. Perea (Regularized)
1331	G7	Programme Assistant (SEL)	Ms. G. Dosen (Regularized)
1332	G5	Contributions Assistant - (RMCS)	Ms. M. Harrison (Regularized). Post funded by UNEP
1334	G5	Fund Mgmt Assistant - (RMCS)	Ms. L. Ali (Regularized). Post funded by UNEP
1337	G5	Conference Assistant – (RMCS)	Vacant (Recruitment in progress). Post funded by UNEP
1350	G5	EDM Assistant – (EDM)	Vacant
1351	G7	Programme Assistant – (EDM)	Ms. M. Crespo (Regularized)
1352	G7	Programme Assistant – (I&O)	Ms. F. D'Amico (Regularized)
1353	G7	Programme Assistant – (Biosafety)	Vacant (Recruitment process completed) – new post

Annex II

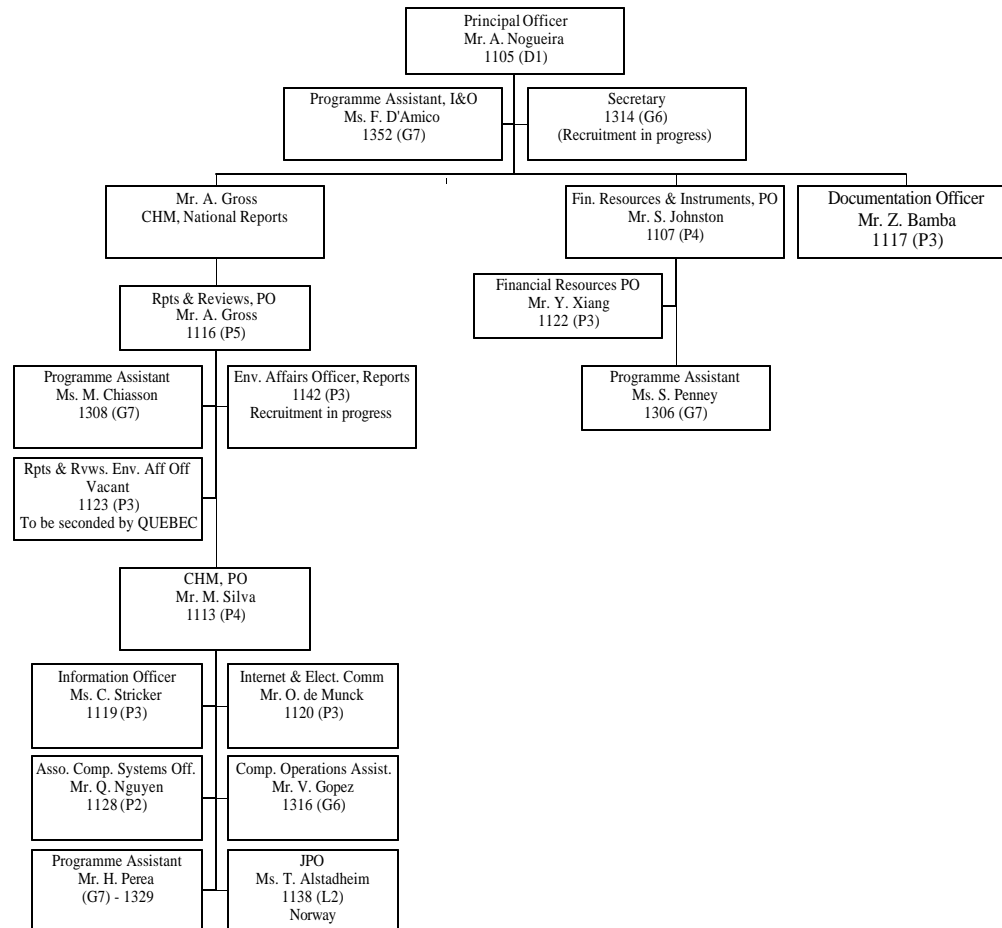
Executive Direction, Management & Inter-governmental Affairs



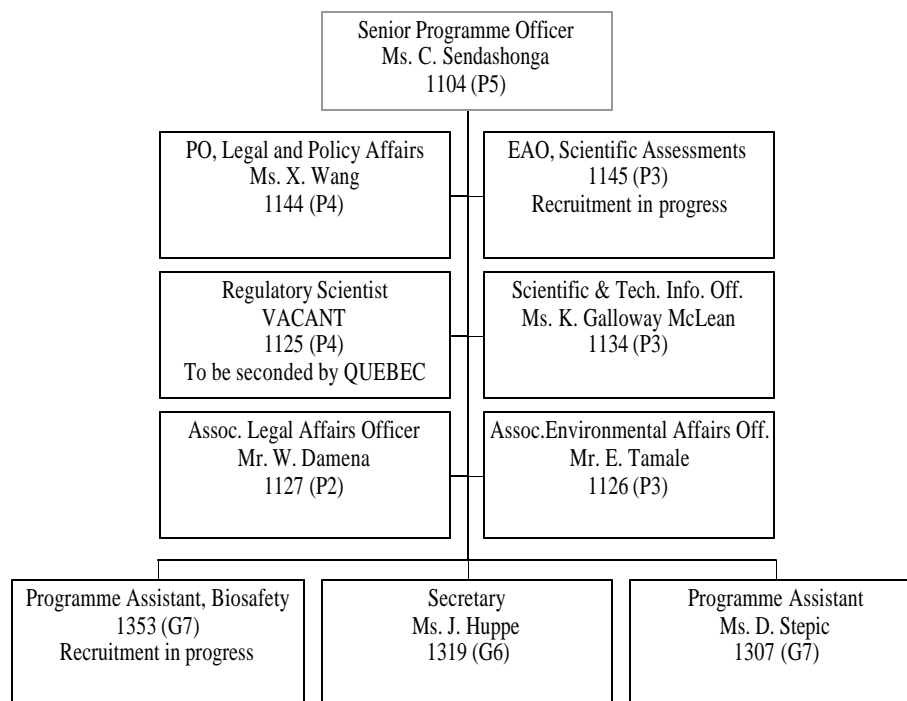
Social, Economic and Legal Matters



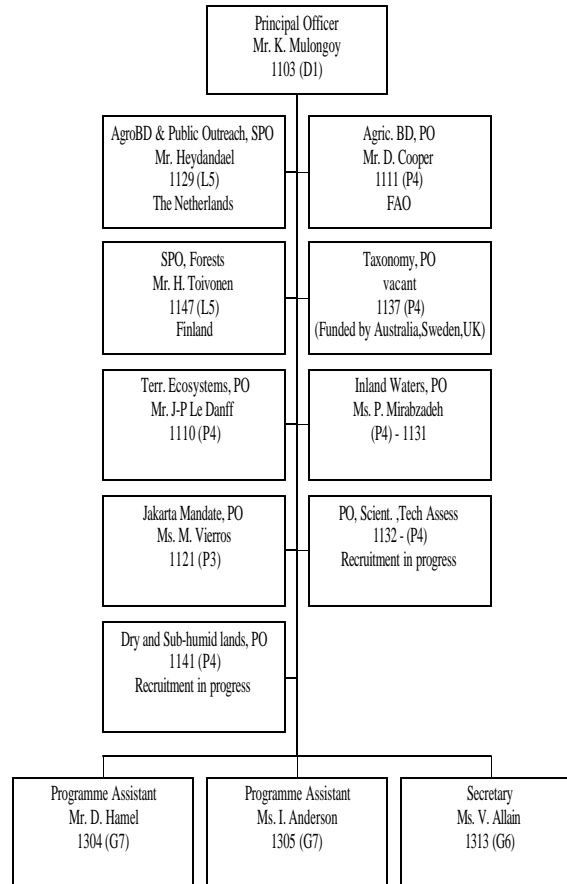
Implementation & Outreach



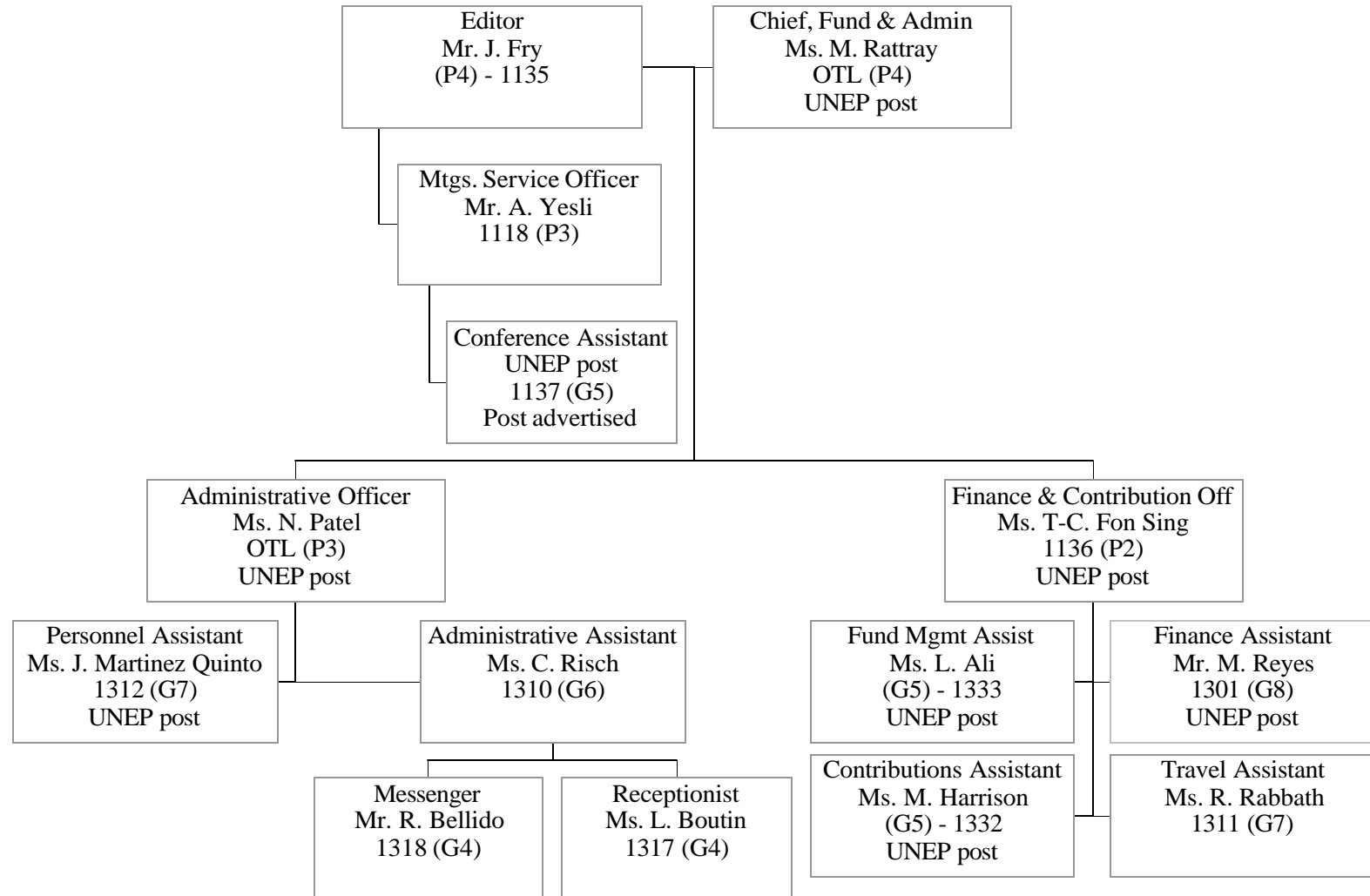
Biosafety



Scientific, Technical & Technological Matters



Resource Management & Conference Services



ANNEX III

GENERAL TRUST FUND FOR ADDITIONAL VOLUNTARY CONTRIBUTIONS IN SUPPORT OF APPROVED ACTIVITIES UNDER
THE CONVENTION ON BIOLOGICAL DIVERSITY (BE)
Status of Contribution as at 30 September 2001 (in United States dollars)

COUNTRIES	Unpaid Pledges as at 31.12.00	Adjustments	Pledges for 2001		Collections in 2001 for prior years	Collections in 2001 for 2001 & futures yrs	Unpaid pledges for 2001 & prior years
Belgium	187,999			1/	187,999		0
Canada	5,500	(5,500)		2/			0
Canada			122,000	13/		122,000	0
Canada			79,618	15/			79,618
Canada			150,000	18/			150,000
Denmark			20,000	24/			20,000
France	288,214			3/	288,214		0
France			27,030	12/		26,144	886
France			25,000	21/			25,000
Germany			79,998	14/		79,998	0
Germany			260,000	30/		239,118	20,882
Netherlands			70,000	17/			70,000
Netherlands			200,000	25/		200,000	0
Netherlands			99,000	27/			99,000
Netherlands			99,000	28/			99,000
Netherlands			99,000	29/			99,000
Spain			25,000	19/			25,000
Sweden		250	9,615	16/		9,865	0
Switzerland			10,000	22/		10,000	0

COUNTRIES	Unpaid Pledges as at 31.12.00	Adjustments	Pledges for 2001		Collections in 2001 for prior years	Collections in 2001 for 2001 & futures yrs	Unpaid pledges for 2001 & prior years
UK			14,600	5/		14,600	0
UK			15,000	6/		15,000	0
UK			25,000	7/		25,000	0
UK			6,000	8/			6,000
UK			87,018	9/		87,018	0
UK	72,569	(509)		10/	72,060		0
UK		(437)	10,870	20/		10,433	0
UK			27,962	23/		27,962	0
USA			360,000	10/		360,000	0
USA			20,000	26/			20,000
USA			10,000	31/			10,000
FAO			115,000	32/			115,000
FAO			10,000	33/			10,000
FAO			3,000	11/		3,000	0
Total	554,282	(6,196)	2,079,711		548,273	1,230,137	849,386

1/ Belgium pledge BEF8,000,000

2/ Canada pledge US\$55,000 for the Ad Hoc Technical Experts Meeting on Forests

3/ France pledge for Host Government Agreement- ICCP-1, 11-15 December 2000, Montpellier France

4/ UK pledge UK pounds 50,000 for 2nd Ad Hoc Technical Experts Meeting on Forests

5/ UK pledge UK pounds 10,000 for 2nd Expert Panel meeting on Access and benefits sharing

6/ UK pledge for consultant on Global Taxonomy Initiative

7/ UK pledge US\$25,000 for work by Botanical Gardens Conservation International on ex-situ collections

8/ UK pledge US\$ 6,000 for two consultants on forestry

9/ UK pledge UK pounds 60,000 for Biosafety Clearing House and Technical expert meeting

10/ USA pledge for Biosafety Clearing House

11/ FAO for strengthening of existing cooperation activities and networks

12/ France pledge US\$27,030 for a workshop on Liability and Redress, June 2001 - Paris

- 13/ Canada pledge for 2nd Expert Panel meeting on Access and benefits sharing and other approved activities
- 14/ Germany pledge US\$79,998 for Workshop on Sustainable Tourism, Dominican Republic, 4-7 June 2001
- 15/ Canada pledge Cdn\$125,000 for meetings convened under the Cartagena Protocol on Biosafety
- 16/ Sweden pledged SEK 100,000 for the Open-ended Meeting of Experts on Capacity-building for the Biosafety Protocol, Havana, Cuba, 11-13 July 2001
- 17/ Netherlands pledged US\$70,000 for a Workshop on Incentive Measures, Montreal, September 2001
- 18/ Canada pledged USD\$150,000 for the Open-ended Meeting of Experts on Capacity-building for the Biosafety Protocol, Havana, Cuba, 11-13 July 2001
- 19/ Spain pledged US\$25,000 for the Open-ended Meeting of Experts on Capacity-building for the Biosafety Protocol, Havana, Cuba, 11-13 July 2001
- 20/ UK pledged pounds 7,500 in support of the Global Taxonomy Initiative
- 21/ France pledged US\$25,000 for the Meeting on Handling, Packaging and Transport of LMOs, Paris, France, 13-15 June 2001
- 22/ Switzerland pledged US\$10,000 for the Open-ended Meeting of Experts on Capacity-building for the Biosafety Protocol, Havana, Cuba, 11-13 July 2001
- 23/ UK pledged UK pounds 20,000 for the Open-ended Meeting of Experts on Capacity-building for the Biosafety Protocol, Havana, Cuba, 11-13 July 2001
- 24/ Denmark pledged US\$20,000 for the Open-ended Meeting of Experts on Capacity-building for the Biosafety Protocol, Havana, Cuba, 11-13 July 2001
- 25/ Netherlands pledged US\$200,000 for conference costs – WW178101
- 26/ USA pledged for AD Hoc Technical Experts Meeting on Marine and Coastal Biodiversity
- 27/ Netherlands pledged for African Workshops on Sustainable Use (WW178102)
- 28/ Netherlands pledged for Asian Workshops on Sustainable Use (WW178102)
- 29/ Netherlands pledged for Latin American and Caribbean Workshops on Sustainable Use (WW178102)
- 30/ Germany pledged for Ad Hoc Working Group on Access and Benefits Sharing, 22-26 October 2001, Bonn, Germany
- 31/ USA pledged for GISP meeting on global invasive species
- 32/ FAO secondment of Programme Officer at SCBD
- 33/ FAO pledge for Ocean Atlas

ANNEX IV

GENERAL TRUST FUND FOR ADDITIONAL VOLUNTARY CONTRIBUTIONS TO FACILITATE THE PARTICIPATION OF PARTIES IN THE PROCESS OF
THE CONVENTION ON BIOLOGICAL DIVERSITY (BZ)
Status as at 30 September 2001 (in United States dollars)

COUNTRIES	Unpaid Pledges as at 31.12.00	Adjustments		Pledges for 2001	Collections in 2001 for prior years	Collections in 2001 or 2001 & fut yrs	Unpaid pledges for 2001 & prior years
Canada			17/	278,000		278,000	0
Central African Rep.	2,000		1/				2,000
Central African Rep.	1,500		2/				1,500
Denmark	77,792		3/		62,279		15,512
Denmark			21/	94,007			94,007
EEC	61,637	(61,637)	4/				0
Finland	20,000	(20,000)	5/				0
France	15,947		6/				15,947
France	7,661		7/		7,661		0
France	7,661		8/		7,661		0
France	22,982	(4,343)	9/		18,639		0
France	68,237		10/		68,237		0
Germany			20/	300,000		300,000	0
Japan			25/	85,000		85,000	0
Netherlands			19/	100,000		100,000	0
New Zealand	0		16/	13,200		13,200	0
New Zealand	0		22/	13,085		13,085	0
Norway			23/	54,825			54,825
Sweden			18/	48,162		48,162	0
Switzerland	15,000		11/				15,000
Switzerland	15,547		12/		15,547		(0)
Switzerland	13,889		13/				13,889
Switzerland			24/	10,000			10,000
Spain	50,000	8,681	14/		58,681		0
UK	0		15/	43,800		43,800	0
Total	379,852	(77,299)		1,040,079	238,705	881,247	222,680

** Please note that the figures in bracket () means credit

- 1/Central African Republic for Biosafety Protocol Meeting, Vienna Austria, 15-19 September 1999
- 2/Central African Republic pledge for Art. 8(j) meeting in Seville 27-31 March 2000
- 3/Denmark pledge DKK620,000 for travel expenses for Central and Eastern European countries-5th COP , Nairobi Kenya, 15-26 May 2000
- 4/ EEC contribution towards cost of least developed countries (60% of ECU75,000)
- 5/ Finland : Assist the participation of developing countries in the negotiations on Biosafety Protocol
- 6/France pledge FrF100,000 for the support of participants to the Liaison Group Meeting on Ecosystem Approach, Paris, 15-17 September 1999
- 7/France pledge FrF50,000 for Informal Consultations on Biosafety Vienna Austria, 15-19 September 1999
- 8/France pledge FrF50,000 for Resumed Session of the Ex-COP, Montreal Canada 20-28 January 2000
- 9/France pledge FrF150,000 Fifth Meeting of the COP, Nairobi-15-26 May 2000
- 10/ France pledge for the First meeting of the Intergovernmental Committee on the Cartagena Protocol on Biosafety 11-15 December 2000, Montpellier
- 11/ Switzerland: SBSTTA-3 Montreal Canada, 1-5 September 1997
- 12/Switzerland pledge CHF25,000 for the first Meeting of ICCP on Biosafety , 11-16 December 2000, Montpellier, France
- 13/Switzerland pledge CHF25,000 for the meeting of the Panel of Experts on Access & Benefits Sharing, Seville Spain, 27-31 March 2001
- 14/Spain pledge in support of developing country Parties and economies in transition attending the ICCP-1, 11-15 December Montpellier, France
- 15/ UK LDC Participation at SBSTTA-6, Montreal, Canada, 12-16 March 2001
- 16/New Zealand pledge NZ\$30,000 for Pacific Island Participants to SBSTTA-6, Montreal, 12-16 March 2001
- 17/ Canada pledge US\$278,000 for SBSTTA-6
- 18/ Sweden pledged SEK 500,000 for participation of least developed country participants
- 19/ Netherlands pledged for participants costs (WW178101)
- 20/ Germany pledged US\$300,000 for Ad Hoc Working Group on Access and Benefits Sharing, 22-26 October 2001, Bonn, Germany
- 21/ Denmark pledged DKK 800,000 for Support to the participation of representatives from Central and Eastern Europe
- 22/ New Zealand pledged \$13,085 for Second meeting of ICCP, Nairobi, Kenya, 1-5 October 2001
- 23/ Norway pledged NOK 500,000 in support of the CBD
- 24/ Switzerland pledged for the Second meeting of ICCP, Nairobi, Kenya, 1-5 October 2001
- 25/ Japan pledged \$85,000 for Meeting on Compliance and the Second meeting of ICCP, Nairobi, Kenya, 1-5 October 2001

ANNEX V

**GENERAL TRUST FUND FOR THE CONVENTION ON BIOLOGICAL DIVERSITY (BY)
as at 30 September 2001**

Countries	Unpaid Pledges as at 31/12/00	Pledges for 2001	Collections in 2000 for 2001	Collections in 2001 for prior yrs	Collections During 2001 for 2001	Unpaid Pledges for Prior Years	Unpaid Pledges for 2001	Unpaid Pledges for 2001 & Prior years
	US \$	US \$			US \$	US \$	US \$	US \$
Albania	0	263	373			0	(110)	(110)
Algeria	0	7,552				0	7,552	7,552
Angola	20	878			878	20	0	20
Antigua & Barbuda	0	176				0	176	176
Argentina	88,251	96,855		60,863		27,388	96,855	124,243
Armenia	19,255	527				19,255	527	19,782
Australia	0	130,223	71,896		58,327	0	0	0
Austria	0	82,717			82,717	0	0	0
Azerbaijan		965				0	965	965
Bahamas	0	1,317			1,317	0	0	0
Bahrain	0	1,493				0	1,493	1,493
Bangladesh	0	878			878	0	0	0
Barbados	640	702		640	705	0	(3)	(3)
Belarus	69,876	5,005				69,876	5,005	74,881
Belgium	88,281	96,942		88,281	44,944	0	51,998	51,998
Belize	0	88			88	0	0	0
Benin	0	176	48			0	128	128
Bhutan	0	88			88	0	0	0
Bolivia	0	615				0	615	615
Botswana	0	878			878	0	0	0
Brazil	103,647	129,169				103,647	129,169	232,816
Bulgaria	0	966				0	966	966

Countries	Unpaid Pledges as at 31/12/00	Pledges for 2001	Collections in 2000 for 2001	Collections in 2001 for prior yrs	Collections During 2001 for 2001	Unpaid Pledges for Prior Years	Unpaid Pledges for 2001	Unpaid Pledges for 2001 & Prior years
Burkina Faso	288	176				288	176	464
Burundi	219	88				219	88	307
Cambodia	0	88				0	88	88
Cameroon	3,289	1,142		2,044		1,245	1,142	2,387
Canada	0	239,897			239,897	0	0	0
Cape Verde	2,004	176				2,004	176	2,180
Central African Rep.	50	88				50	88	138
Chad	2,327	88				2,327	88	2,415
Chile	17,845	3,161		17,845	29,932	0	(26,771)	(26,771)
China	0	87,371			87,371	0	0	0
Colombia	15,002	9,571		8,094		6,908	9,571	16,479
Comoros	2,327	88				2,327	88	2,415
Congo	1,809	263				1,809	263	2,072
Congo Dem.Rep	3,259	615				3,259	615	3,874
Cook Islands	0	88				0	88	88
Costa Rica	5,527	1,405				5,527	1,405	6,932
Cote d'Ivoire	20	790				20	790	810
Croatia	5,109	2,634			2,634	5,109	0	5,109
Cuba	10,598	2,107				10,598	2,107	12,705
Cyprus	0	2,986			2,986	0	0	0
Czech Republic	0	9,396			9,396	0	0	0
Denmark	0	60,765			60,765	0	0	0
Djibouti	0	88				0	88	88
Dominica	0	88	112			0	(24)	(24)
Dominica Rep.	3,349	1,317				3,349	1,317	4,666
Ecuador	0	1,756				0	1,756	1,756

EEC	0	164,850			164,850	0	0	0
Egypt	0	5,708			5,708	0	0	0
El Salvador	0	1,054				0	1,054	1,054
Countries	Unpaid Pledges as at 31/12/00	Pledges for 2001	Collections in 2000 for 2001	Collections in 2001 for prior yrs	Collections During 2001 for 2001	Unpaid Pledges for Prior Years	Unpaid Pledges for 2001	Unpaid Pledges for 2001 & Prior years
Equatorial Guinea	2,327	88				2,327	88	2,415
Eritrea	0	88			88	0	0	0
Estonia	0	1,054			1,054	0	0	0
Ethiopia	0	527			527	0	0	0
Fiji	0	351	351			0	0	0
Finland	0	47,681			47,681	0	0	0
France	0	574,718			574,718	0	0	0
Gabon	3,477	1,317				3,477	1,317	4,794
Gambia	0	88				0	88	88
Georgia	39,938	615				39,938	615	40,553
Germany	0	865,545			865,545	0	0	0
Ghana	2,238	615				2,238	615	2,853
Greece	0	30,821			30,821	0	0	0
Grenada	0	88				0	88	88
Guatemala	7,376	1,581				7,376	1,581	8,957
Guinea	1,948	263				1,948	263	2,211
Guinea-Bissau	1,848	88				1,848	88	1,936
Guyana	1,498	88				1,498	88	1,586
Haiti	1,314	176				1,314	176	1,490
Honduras	575	263				575	263	838
Hungary	0	10,537			10,537	0	0	0
Iceland	0	2,810			2,810	0	0	0
India	50	26,255			26,255	50	0	50
Indonesia	33,331	16,508				33,331	16,508	49,839
Iran	73,301	14,137				73,301	14,137	87,438
Ireland	0	19,669	16,113		3,556	0	0	0
Israel	0	30,734			30,734	0	0	0
Italy	0	477,424				0	477,424	477,424

Countries	Unpaid Pledges as at 31/12/00	Pledges for 2001	Collections in 2000 for 2001	Collections in 2001 for prior yrs	Collections During 2001 for 2001	Unpaid Pledges for Prior Years	Unpaid Pledges for 2001	Unpaid Pledges for 2001 & Prior years
Jamaica	0	527				0	527	527
Japan	975,472	1,648,500		975,472	1,648,500	0	0	0
Jordan	0	527				0	527	527
Kazakhstan	57,086	4,215		5,728		51,358	4,215	55,573
Kenya	0	615	3			0	612	612
Kiribati	80	88		80	91	0	(3)	(3)
Korea, Dem. People's Rep.	17,094	1,317				17,094	1,317	18,411
Korea, Rep. of	0	88,337			88,337	0	0	0
Kyrgyzstan	5,111	527				5,111	527	5,638
Lao PDR	0	88			88	0	0	0
Latvia	0	1,493	867		626	0	0	0
Lebanon	34	1,405		34	1,380	0	25	25
Lesotho	788	176		788	176	0	0	0
Liberia	0	161				0	161	161
Libya	0	2,469				0	2,469	2,469
Liechtenstein	0	527			527	0	0	0
Lithuania	2,855	1,317		2,855	1,317	0	0	0
Luxembourg	0	5,971			5,971	0	0	0
Macedonia	940	351				940	351	1,291
Madagascar	0	263	548			0	(285)	(285)
Malawi	0	176				0	176	176
Malaysia	0	16,069			16,069	0	0	0
Maldives	0	88				0	88	88
Mali	2,004	176				2,004	176	2,180
Malta		922				0	922	922
Marshall Island	0	88				0	88	88
Mauritania	1,158	88				1,158	88	1,246
Mauritius	0	790			790	0	0	0

Mexico	12,677	87,371		12,677	25,556	0	61,815	61,815
Micronesia, Fed. States	0	88			88	0	0	0
Moldova, Rep. of	20,962	878				20,962	878	21,840
Monaco	20	351		20	372	0	(21)	(21)
Countries	Unpaid Pledges as at 31/12/00	Pledges for 2001	Collections in 2000 for 2001	Collections in 2001 for prior yrs	Collections During 2001 for 2001	Unpaid Pledges for Prior Years	Unpaid Pledges for 2001	Unpaid Pledges for 2001 & Prior years
Mongolia	0	176			176	0	0	0
Morocco	0	3,600				0	3,600	3,600
Mozambique	0	88			88	0	0	0
Myanmar	0	702			702	0	0	0
Namibia	0	615				0	615	615
Nauru	2,447	88				2,447	88	2,535
Nepal	0	351	2,383			0	(2,032)	(2,032)
Netherlands	0	143,306			143,306	0	0	0
New Zealand	0	19,406			19,406	0	0	0
Nicaragua	0	88				0	88	88
Niger	2,004	176				2,004	176	2,180
Nigeria	5,570	2,810				5,570	2,810	8,380
Niue	0	88			88	0	0	0
Norway	0	53,564			53,564	0	0	0
Oman	0	4,478			4,478	0	0	0
Pakistan	4,718	5,181				4,718	5,181	9,899
Panama	2,762	1,142		2,762	1,142	0	0	0
Papua New Guinea	0	615	560		55	0	0	0
Paraguay	3,517	1,229				3,517	1,229	4,746
Peru	7,917	8,693				7,917	8,693	16,610
Philippines	0	7,113				0	7,113	7,113
Poland	0	17,211	17,211			0	0	0
Portugal	0	37,846			37,486	0	360	360
Qatar	0	2,898			2,898	0	0	0
Romania	0	4,917				0	4,917	4,917
Russian Federation	0	94,572				0	94,572	94,572
Rwanda	1,158	88		333		825	88	913

Countries	Unpaid Pledges as at 31/12/00	Pledges for 2001	Collections in 2000 for 2001	Collections in 2001 for prior yrs	Collections During 2001 for 2001	Unpaid Pledges for Prior Years	Unpaid Pledges for 2001	Unpaid Pledges for 2001 & Prior years
St. Kitts & Nevis	0	88			88	0	0	0
St. Lucia	80	88				80	88	168
Saint Vincent & Grenadines	1,498	88				1,498	88	1,586
Samoa	0	88			88	0	0	0
San Marino	0	176			176	0	0	0
Senegal	20	527				20	527	547
Seychelles	3,355	176		1,504		1,851	176	2,027
Sierra Leone	2,327	88		500		1,827	88	1,915
Singapore	0	15,718			15,718	0	0	0
Slovak Republic	0	3,073			3,073	0	0	0
Slovenia	0	5,356			5,356	0	0	0
Solomon Is.	1,848	88				1,848	88	1,936
South Africa	29,267	32,139		29,267	32,139	0	0	0
Spain	0	227,516			227,516	0	0	0
Sri Lanka	2,501	1,054		903		1,598	1,054	2,652
Sudan	2,780	615				2,780	615	3,395
Suriname	621	351				621	351	972
Swaziland	0	176			176	0	0	0
Sweden	0	94,747			94,732	0	15	15
Switzerland	0	106,689	106,664		25	0	0	0
Syria	0	5,620			7,940	0	(2,320)	(2,320)
Tajikistan	1,207	351				1,207	351	1,558
Togo	0	88				0	88	88
Tonga	0	88				0	88	88
Trinidad & Tobago	0	1,405			10,052	0	(8,647)	(8,647)
Tunisia	0	2,459			2,459	0	0	0
Turkey	0	38,636			38,636	0	0	0
Turkmenistan	6,453	527				6,453	527	6,980

Uganda	0	351	1,587			0	(1,236)	(1,236)
Ukraine	37,924	16,684		37,924	16,684	0	0	0
U. K.	0	446,954	446,954			0	0	0
United Arab Emirates	0	15,630			31,450	0	(15,820)	(15,820)
Countries	Unpaid Pledges as at 31/12/00	Pledges for 2001	Collections in 2000 for 2001	Collections in 2001 for prior yrs	Collections During 2001 for 2001	Unpaid Pledges for Prior Years	Unpaid Pledges for 2001	Unpaid Pledges for 2001 & Prior years
Un.Rep. of Tanzania	1,050	263				1,050	263	1,313
Uruguay	7,451	4,215		3,613		3,838	4,215	8,053
Uzbekistan	11,963	2,195				11,963	2,195	14,158
Vanuatu	155	88		155		0	88	88
Venezuela	0	14,050	5,667			0	8,383	8,383
Viet Nam	0	615				0	615	615
Yemen	2,896	878				2,896	878	3,774
Zambia	20	176				20	176	196
Zimbabwe	720	790				720	790	1,510
Sub Total	1,852,724	6,598,350	671,337	1,252,382	4,928,270	600,342	998,743	1,599,085
Additional Contributions								
Canada		400,000					400,000	400,000
USA	0	125,000			125,000		0	0
Quebec	0	200,000					200,000	200,000
Grand Total	1,852,724	7,323,350	671,337	1,252,382	5,053,270	600,342	1,598,743	2,199,085

ANNEX VI

LIST OF MEETINGS ORGANIZED BY THE
SECRETARIAT OF THE CONVENTION ON BIOLOGICAL DIVERSITY
(As of 30 September 2001)

2001		
DATES	MEETING TITLE	VENUE
24 – 26 January 2001	Liaison Group on Agricultural Biodiversity	Rome, Italy
25- 26 January 2001	Global Biodiversity Outlook Advisory Group	Geneva, Switzerland
6-9 February 2001	Central American Workshop and Taxonomic Needs Assessment on the Global Taxonomy Initiative	San José Costa Rica
13 February 2001	COP-5 Bureau Meeting	The Hague, The Netherlands
26- 28 February 2001	African Regional Meeting on Biosafety Clearing House Mechanism	Nairobi, Kenya
27 February – 1 March 2001	Global Taxonomy Initiative African Regional meeting	Capetown, South Africa
11 March 2001	Informal Consultation on the proposed Global Strategy for Plant Conservation	Montreal, Canada
11 March 2001	Informal Advisory Committee Meeting of the Clearing House Mechanism	Montreal, Canada
12-16 March 2001	Sixth Meeting of the Scientific, Technical and Technological Advice (SBSTTA -6)	Montreal, Canada
13 March 2001	Liaison Group Meeting on Incentive Measures	Montreal, Canada
14 March 2001	Meeting with SBSTTA Focal Points, CHM Focal Points, and Informal Advisory Committee Focal Points	Montreal, Canada
16 March 2001	COP-5 Bureau Meeting	Montreal, Canada
19-20 March 2001	Liaison Group Meeting of the Biosafety Clearing House	Montreal, Canada
19-22 March 2001	Second Meeting of the Panel of Experts on Access and Benefits Sharing	Montreal, Canada
21 March 2001	ICCP Bureau Meeting	Montreal, Canada
23-27 April 2001	2 nd Meeting of the Ad Hoc Technical Group of Experts on Forests Ecosystems	Edinburgh, United Kingdom
17-18 May 2001	Informal Consultations on the Global Strategy for Plant Conservation	London, United Kingdom
28 May 2001	COP-5 Bureau Meeting	Mahe, Seychelles
28-30 May 2001	Workshop on the Strategic Plan of the CBD	Mahe, Seychelles
4-7 June 2001	Workshop on Sustainable Tourism	Santo Domingo, Dominican Republic
13-15 June 2001	Expert Meeting on Handling Transport Packaging and Identification of LMOs	Paris, France
18-20 June 2001	Workshop on Liability and Redress under the CBD	Paris, France

2001		
DATES	MEETING TITLE	VENUE
9 - 10 July 2001	ICCP Bureau Meeting	Havana, Cuba
11- 13 July 2001	Open-ended Meeting of Experts on Capacity-building for the Implementation of the Biosafety Protocol	Havana, Cuba
14 July 2001	International Workshop on Financial Support for National Biosafety Frameworks	Havana, Cuba
16 - 17 July 2001	Workshop on Financing for Biodiversity	Havana, Cuba
4 – 6 September 2001	Latin American and the Caribbean Regional Meeting on Biosafety Clearing House Mechanism	Lima, Peru
7 September 2001	Latin American and the Caribbean Regional Meeting on the Clearing House Mechanism	Lima, Peru
24 - 27 September 2001	African Regional Workshop on Sustainable Use of Biological Diversity	Maputo, Mozambique
25 September 2001	ICCP Bureau Meeting	Nairobi, Kenya
26 - 28 September 2001	Open-ended Meeting of Experts on Compliance	Nairobi, Kenya
27- 28 September 2001	Liaison Group Meeting of Technical Experts on the Pilot Phase of the Biosafety Clearing House	Nairobi, Kenya