

**CONVENTION ON  
BIOLOGICAL DIVERSITY**

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QUARTERLY REPORT ON THE ADMINISTRATION OF  
THE CONVENTION ON BIOLOGICAL DIVERSITY

Note by the Executive Secretary

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## I. INTRODUCTION

1. Decision III/24 of the Conference of the Parties requested the Executive Secretary to prepare a quarterly report on the administration of the Convention including such matters as the staff lists, status of contributions, progress on the implementation of the medium term work programme and financial expenditures. The requirements to report on a regular basis to the Parties were further elaborated under the Administrative Arrangements between the Secretariat and UNEP which were endorsed by the Conference of the Parties in decision IV/17.
2. The Executive Secretary has prepared this Quarterly Report which contains a summary of key activities to implement the decisions of the Conference of the Parties and other relevant matters from 1 January to 31 May 1999.

## II. EXECUTIVE DIRECTION AND MANAGEMENT

3. During the period covered by this Report, the Secretariat has organised and convened the Extraordinary meeting of the COP in Cartagena Colombia, from 21 to 23 February 1999 and the Sixth meeting of the Open-ended Ad Hoc Meeting of the Working Group on Biosafety from 12 to 19 February 1999. The Report of these meetings has been distributed by the Secretariat (see documents UNEP/CBD/EXCOP/1/L2/Rev.1), and has been posted on the Secretariat's website in the official languages. A booklet of the decisions of COP-4 has now also been published in Spanish and French in addition to English, and versions in Arabic Chinese and Russian are in preparation and will be ready in time for the fourth meeting of SBSTTA.
4. The decisions of the fourth meeting of the COP also provide for a series of further intersessional meetings. Preliminary dates locations and agendas have been prepared by the Secretariat after consultation with Parties and relevant Bureaux. Annex VI gives details of these meetings.
5. Preparations for the fifth meeting of the COP, which is to be held in Nairobi from 15 to 26 May 2000, have begun. The Bureau of the COP has approved a provisional agenda for the meeting. A draft Host Country Agreement has been submitted to the Government of Kenya. UNON have been advised of the dates and their services booked for the meeting. Preliminary work and discussions with Parties and other relevant institutions on most of the topics and documents for the meeting have begun. The fourth meeting of the SBSTTA to be held from 21 to 25 June 1999 will be considering the priorities for the fifth meeting of the COP namely, drylands, sustainable use including tourism and access to genetic resources.

## III. IMPLEMENTATION OF THE ADMINISTRATIVE ARRANGEMENTS

6. The Secretariat regularly reviews implementation of the Administrative Arrangements endorsed by the COP in decision IV/17. Status of implementation of the agreed Administrative Arrangements is presented in Annex I. The following highlights the key features of the Secretariat's activities with respect to implementation of these Arrangements.

### A. Personnel Arrangements

7. As at 1 May 1999, the Secretariat had 36 staff members, of which 22 are Professionals and 14 are General Service staff. The Conference of the Parties approved a total of 47 posts for the 1999-2000 biennium (27 Professionals and 20 General Services). Necessary administrative actions have been taken in respect of these posts and all of them have been advertised and recruitment is underway. The status of each post is reflected in the staff list (see Annex 1).
8. An organigramme for the Secretariat is provided in Annex II.

## B. Financial Arrangements

9 With regard to budgetary matters, as at 31 May 1999, of the total pledged contributions of US\$6,849,995 for 1999 to the BY Trust Fund, the total contributions received amount to US\$4,241,723. Total unpaid pledges to the BY Trust Fund for 1999 and prior years amounts to US\$3,803,527.

10 Several Parties also contributed additional voluntary funds in support of approved activities under the Convention (BE Trust Fund). The total pledged for 1999 for this purpose was US\$699,165. Total unpaid pledges for 1999 and prior years to the BE Trust Fund is US\$66,432. The Food and Agricultural Organisation (FAO) has contributed for the secondment of one staff member in the field of Agro-biodiversity at the Secretariat, and funds were received from the Government of Colombia for the hosting of the BSWG-6 and Ex-COP meetings which took place in Cartagena in February 1999. The governments of Australia, Canada and the United Kingdom have also contributed funds for approved activities of the Convention. Additionally, pledges were received from Sweden for approved activities under the Convention. Details of the BE Trust Fund are contained in Annex III.

11 Further contributions totaling US\$571,950 were pledged as additional voluntary contributions to facilitate the participation of Parties to the Convention Process (BZ Trust Fund) in 1999. Total unpaid pledges for 1999 and prior years to the BZ Trust Fund is US\$589,847. Annex IV contains details. Particular note should be taken of the need for additional funding for the BZ Trust Fund. The 1999-2000 Work Programme calls for the convening of 6 meetings between now and the convening of COP-5 in May 2000. It is estimated that approximately US\$3.5 million will be required to pay for the participation of least developed country Parties, Parties from small island developing states, other developing country Parties and Parties with economies in transition at these meetings. The present rate of pledges and contributions to the BZ Trust Fund is insufficient to support the participation of these Parties at the meetings of the Secretariat.

12. Invoices were sent to the 174 Parties to the Convention listed in Appendix 1 to Annex 1, Part A of Decision III/24, inviting them to pay their contributions to the Trust Fund for 1998 and 1999. To date 86 countries have paid their contributions for 1998 and 44 countries have already paid their 1999 contributions to the BY Trust Fund amounting to 65% of the total amount pledged to the Trust Fund in 1999. The Statement of Contributions to the CBD Trust Fund, as provided by the Trustee as at 31 May 1999 is attached as Annex V to this report.

## IV. IMPLEMENTATION OF THE DECISIONS OF THE CONFERENCE OF THE PARTIES

### SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL MATTERS DIVISION

Decision IV/1           REPORT AND RECOMMENDATIONS OF THE THIRD MEETING OF THE  
SUBSIDIARY BODY ON SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL ADVICE  
AND INSTRUCTIONS BY THE CONFERENCE OF THE PARTIES TO THE  
SUBSIDIARY BODY ON SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL ADVICE

13. In its decision IV/1, the COP took note of the report of the third meeting of the Subsidiary Body on Scientific, Technical and Technological Advice (SBSTTA), endorsed SBSTTA-III/5 recommendation on indicators of biological diversity, and requested the Executive Secretary to undertake the work outlined in the annex to the decision.

14. In response to the letter of the Executive Secretary sent out in July 1998, which transmitted decision IV/1 and invited relevant information, the Secretariat received information from several Parties regarding activities undertaken to implement specific provisions under the decision, especially in the areas of indicators and alien species. With a view to developing and proposing to SBSTTA a core set of indicators on biological diversity, the

Secretariat is working towards the organisation of a liaison group meeting to be held during 1999. The Government of the Netherlands has indicated its interest in sponsoring such a meeting. It is already providing the Secretariat the support of a Dutch expert in the preparation of the liaison group meeting. The Secretariat is in the process of establishing a roster of experts for indicators on biological diversity. Currently, the roster contains data on 259 experts from 32 countries.

15. With respect to decision IV/1.B on the ecosystem approach, the Secretariat is building a medium term strategy of actions in view of the future work of SBSTTA-5. These activities include the organization of a liaison group meeting on the ecosystem approach to be held in Paris in September 1999, and activities for the implementation of Element 1 of the Work Programme for Forest Biological Diversity, which concerns the holistic and inter-sectoral ecosystem approaches that integrate the conservation and sustainable use of biological diversity.

16. In accordance with decision IV/1.C on "Alien species that threaten ecosystems, habitats or species", the Secretariat has prepared a note by the Executive Secretary to the fourth meeting of SBSTTA (document UNEP/CBD/SBSTTA/4/8) in order to assist SBSTTA in its deliberations on the issue. In particular, COP requested SBSTTA to develop guiding principles for the prevention, introduction and mitigation of impacts of alien species, to identify the priority work pertinent to the issue of alien species in geographically and evolutionarily isolated ecosystems, and to examine the Global Invasive Species Programme (GISP), with a view to considering concerted action and developing proposals for further action.

17. In carrying out this work, the Secretariat actively interacted with related processes, with the aim of gathering information that may be important for SBSTTA's work on the issue. One of these processes is the above-mentioned GISP and the Secretariat participated in relevant meetings under the programme, notably the periodic meetings of its Steering Committee.

18. The preparation of the fourth meeting of SBSTTA, to be held in Montreal 21-25 June 1999, is well on the way. All the documents listed below have been finalized in all the official languages of the Convention, mailed to the national focal points and posted on the web-site.

19. The documentation that has been prepared for the fourth meeting of SBSTTA consists of concrete, focused, technical reports including clear conclusions and proposed draft recommendations for consideration by SBSTTA. As contained in document UNEP/CBD/SBSTTA/1/Add.1, "Annotated provisional agenda", the substantive background documents prepared for this meeting are as follows:

1. Report on cooperation with other bodies (UNEP/CBD/SBSTTA/4/2);
2. Progress report on the implementation of programmes of work on thematic areas (UNEP/CBD/SBSTTA/4/3);
3. Proposal on the draft programme of work of SBSTTA (UNEP/CBD/SBSTTA/4/4);
4. Terms of reference for the ad hoc technical expert groups (UNEP/CBD/SBSTTA/4/5);
5. Further advancement of the Global Taxonomy Initiative (UNEP/CBD/SBSTTA/4/6);
6. Assessment of the status and trends and options for conservation and sustainable use of terrestrial biological diversity: drylands, Mediterranean, arid, semi-arid, grassland, and savannah ecosystems (UNEP/CBD/SBSTTA/4/7);
7. Development of guiding principles for the prevention of impacts of alien species by identifying priority areas of work on isolated ecosystems and by evaluating and giving recommendations for the further development of the Global Invasive Species Programme, with a view to cooperation (UNEP/CBD/SBSTTA/4/8);
8. Consequences of the use of the new technology for the control of plant gene expression for the conservation and sustainable use of biological diversity (UNEP/CBD/SBSTTA/4/9/Rev.1);
9. Synthesis of reports and case-studies relating to environmental impact assessment (UNEP/CBD/SBSTTA/4/10); and
10. Development of approaches and practices for the sustainable use of biological resources, including tourism (UNEP/CBD/SBSTTA/4/11);

Ad hoc technical expert groups

20. As reported in the previous Quarterly Report, intersessional activities until COP-5 will depend upon small liaison groups to assist the Secretariat in preparing the documentation for SBSTTA meetings, in accordance with paragraph 9 of Annex I to decision COP IV/16. These liaison groups will be established by the Executive Secretary, in consultation with the Chairman and the other members of the SBSTTA Bureau. Currently, the Secretariat is planning to organize liaison group meetings on indicators, agrobiodiversity, and the ecosystem approach in order to assist the preparation of background documents for the fifth meeting of SBSTTA. The preparation for the liaison group on ecosystem approach is progressing with a view to organizing the meeting in September 1999.

Rosters of Experts

21. The rosters of experts for four thematic issues (marine and coastal biodiversity, agricultural biodiversity, forest biodiversity and inland water biodiversity) have been established. The Secretariat is also building rosters of experts on access and benefit sharing (see under decision IV/8) and on indicators of biological diversity (see paragraph 14 above).

22. In accordance with paragraph 8 of the Annex to decision IV/5, the Executive Secretary should make full use of the roster of experts on marine and coastal biological diversity. With the assistance of an expert seconded by the Government of the Netherlands, the Secretariat has explored the ways and means, as well as devised a methodology to promote and enhance the full, efficient and transparent use of the roster of experts on Marine and Coastal Biological Diversity. Background documents and a questionnaire have been prepared with the objective of guiding individual experts in familiarizing with the Convention process and to clarify the role that they can play in the process of implementing the Jakarta Mandate on marine and coastal biological diversity. The above-mentioned background documents and questionnaire were sent to all the experts on the existing roster. In addition, a separate letter was also sent to all those countries that have not yet provided the Secretariat with the names of experts to be included in the roster.

Further cooperation with DIVERSITAS and with other institutions.

23. In accordance with decision IV/1 and the guidance from the SBSTTA Bureau, the Secretariat maintained contact with DIVERSITAS and its Secretariat, in particular, with regard to the preparation for the International Biodiversity Observation Year (IBOY) to be observed in 2001. The Secretariat already participated in two planning meetings of IBOY, in Paris, December 1998 and in Santa Barbara, April 1999.

IV/4 STATUS AND TRENDS OF THE BIOLOGICAL DIVERSITY OF INLAND WATER  
ECOSYSTEMS AND OPTIONS FOR CONSERVATION AND SUSTAINABLE USE

24. In the framework of the CBD-Ramsar Joint Work Plan, the Secretariat participated in the seventh meeting of the Conference of the Contracting Parties to the Convention on Wetlands (San José, Costa Rica, 10-18 May 1999) and in the 13<sup>th</sup> session of the Global Biodiversity Forum which preceded it (San José, Costa Rica, 7-9 May 1999).

25. At the seventh meeting of the Conference of the Parties to the Convention on Wetlands, the CBD Secretariat delivered a statement focusing on the CBD-Ramsar Joint Work Plan. Key features of the programme were highlighted, including Ramsar status as a lead CBD partner in inland water biological diversity programme; close cooperation between scientific and technical bodies of the two conventions; the importance of linking the two conventions' database for the management of the rosters of experts on inland water biological diversity.

26. At the above-mentioned meetings, cooperation between the two conventions was reaffirmed, in particular, in the area of inland waters and marine and coastal biological diversity; incentive measures; and impact assessment. The importance of the involvement of indigenous and local communities was reiterated. Moreover, the

development of a harmonized convention information resource, the streamlining of national reports, and the development of a network for lessons learned have been proposed in order to implement partnership and cooperation. Other possible areas of cooperation were identified with regard to alien species, integrated river basin management, access to genetic resources and bioprospecting.

#### IV/5 CONSERVATION AND SUSTAINABLE USE OF MARINE AND COASTAL BIOLOGICAL DIVERSITY, INCLUDING A PROGRAMME OF WORK

27. In response to the request of COP at its fourth meeting, the Executive Secretary expressed the concern of COP on the recent extensive and severe coral bleaching phenomenon as a possible occurrence of global warming especially in light of the related potentially severe loss of biological diversity and consequent socio-economic impacts, to the Executive Secretary of the Convention on Wetlands (Ramsar Convention) at the seventh meeting of its Conference of the Parties in Costa Rica, May 1999. The same concern had been expressed earlier to the Executive Secretary of United Nations Framework of Convention on Climate Change (UNFCCC) at the fourth meeting of its Conference of the Parties (Buenos Aires, November 1998). In addition, UNFCCC was also invited to urgently address this issue in its deliberations.

28. On the same topic, some Parties and countries, as well as partner organizations have approached the CBD Secretariat for assistance on the issue of coral bleaching. Some discussions with Parties, countries and partner organizations have been carried out, in particular on the organization of an expert consultation meeting, the output of which would be a draft pre-session document for SBSTTA-5. The terms of reference for the meeting are being prepared by the CBD Secretariat for the purpose of seeking the views of the SBSTTA Bureau and financial support from donor Parties. In the meantime the Secretariat continues to collect information on coral bleaching, including relevant materials from experts on the roster.

29. At the invitation of the International Coral Reef Initiative (ICRI) International Secretariat, the CBD Secretariat participated in the third meeting of the Coordination and Planning Committee (CPC) of ICRI in Paris, 15-16 March 1999. At the meeting, the CBD Secretariat made a presentation entitled "The Jakarta Mandate on Marine and Coastal Biological Diversity and Its Activities related to Coral Reefs," which highlighted the decision of COP relevant to the issue of coral reefs as well as possible cooperation between the two Secretariats. ICRI agreed to provide technical assistance to CBD in relation to the issue of the coral bleaching phenomenon.

30. Still in the area of coral reefs, in response to the request of the President of the International Society for Reef Studies (ISRS), the CBD Secretariat expressed its willingness to provide technical support in the preparation of the next International Coral Reef Symposium, to be held in Bali, October 2000, hosted by the Government of Indonesia.

31. Cooperation is continuing with the United Nations Division for Ocean Affairs and the Law of the Sea (UN/DOALOS). An informal consultation took place between the Secretariats of the two Conventions in New York in March 1999. As a follow up action, elements and topics to start joint activities have been identified, inter alia, a study on the conservation and sustainable use of the genetic resources on the deep sea bed; instruments for integrated marine and coastal area management; and considerations on marine protected areas. It is proposed that a telephone conference should be arranged in the near future among technical officers within the two organizations in order to identify specific actions for this matter.

32. A Memorandum of Cooperation (MoC) between the Secretariat and the International Ocean Institute (IOI) is at the final stage. The draft MoC, which contained specific elements of cooperation between the two organizations, has been submitted to IOI for final comments. In the meantime, as part of activities aimed at promoting the Convention, in particular its Jakarta Mandate, at the invitation of IOI-Canada, the Jakarta Mandate Unit will deliver a lecture on the Convention on Biological Diversity and its activities in the area of marine and coastal diversity, at the Sustainable Development and Implementation of the UNCED Training Programme to be held in Dalhousie University, Halifax, June 1999. This specific training programme was specially designed for mid-career government official from various developing countries and countries with economies in transition.



33. Communication has been established between the Secretariat and the Commission for the Conservation of Antarctic Marine Living Resources (CCAMLR) on the cooperation between the two organizations. Specific elements of cooperation relevant to the Jakarta Mandate programme are being discussed between the Chairman of the Scientific Committee of CCAMLR and the Jakarta Mandate Unit. These preliminary elements include exchange of information pertaining to marine and coastal living resources and the possibility of establishing focal points for liaison in both secretariats.
34. In response to the transmittal of decision IV/5 of the Conference of the Parties to the CBD, the Secretariat of the Helsinki Commission (the Baltic Marine Environment Protection Commission-HELCOM) developed a proposal for identified cooperation linkages in marine and coastal biological diversity between the CBD and the Helsinki Convention, which was endorsed by HELCOM at its 20<sup>th</sup> meeting in March 1999. A very important element of HELCOM's decision is that the Helsinki Convention will serve as a strategic mechanism for the implementation of the CBD in the Baltic region. Recently, the CBD Secretariat submitted a technical input to the Ninth Meeting of the Working Group on Nature Conservation and Biodiversity (EC Nature) of the HELCOM Environment Committee (Germany, 17-21 May 1999).
35. The Secretariat will participate at the upcoming meeting of Regional Seas Conventions and Action Plans, to be held in the Hague, 5-9 July 1999, with the aim of strengthening the existing linkages between the CBD and Regional Seas Conventions and Action Plans, as well as establishing synergy with other regional conventions and initiatives, as appropriate. The CBD Secretariat is invited to deliver a presentation on "The Jakarta Mandate of the Convention on Biological Diversity on the Conservation and Sustainable Use of Marine and Coastal Biological Diversity."
36. The Regional Coordinating Unit for the East Asian Seas Action Plan of UNEP (UNEP RCU/EAS) has approached the CBD Secretariat in order to establish a joint programme in implementing specific elements of the Jakarta Mandate programme of work. Discussions on technical matters are being held between the two organizations for this purpose.
37. Cooperation with the Secretariats of other Convention continues. In relation to the Secretariat of the Cartagena Convention (UNEP Regional Coordinating Unit for the Caribbean Environment Programme: UNEP-CAR/RCU), technical discussions are ongoing between the relevant officers of the two organizations on marine and coastal protected area issues. The UNEP-CAR/RCU provided the Jakarta Mandate unit with "The Caribbean Marine Protected Areas Database in CD ROM" and a publication entitled "Common Guidelines and Criteria for Protected Areas in the Wider Caribbean Region: Identification, Selection, Establishment and Management" as their contribution to this specific programme element. In line with Programme Element 1, Operational Objective 1.1 of the Programme of Work on Marine and Coastal Biological Diversity as contained in the annex to decision IV/5, the UNEP-CAR/RCU provided the CBD Secretariat with Guidelines for Integrated Planning and Management of Coastal and Marine Areas in the Wider Caribbean region.
38. These regional guidelines on marine and coastal protected areas (MCPA) and on integrated marine and coastal area management (IMCAM) are being compiled by the CBD Secretariat, with other regional guidelines and with global guidelines (such as the IMCAM guidelines produced by UNEP, the World Bank, OECD and others). Informal task forces have been established in order to produce biodiversity-oriented guidelines on MCPA and IMCAM, as requested by the Parties in decision IV/5.
39. Regarding the cooperation with the Permanent Commission for South Pacific (CPPS), CPPS will submit the Report of the Meeting of Experts to Analyze the Ecological Impact of Alien Species in the South East Pacific to be included as an information document for the upcoming fourth meeting of SBSTTA, June 1999. The CBD Secretariat had provided technical assistance to the above-mentioned meeting. With regard to CPPS' request for technical advice on the establishment of a regional information center, the Jakarta Mandate Unit has coordinated with the CHM Unit in order to respond to this request. In addition, CPPS informed the CBD Secretariat about their activity related to the preparation of the project proposal on the implementation of a Protocol on Administration and Conservation of the Marine Protected Areas of the South East Pacific, to be submitted to GEF

for funding, as well as the upcoming workshop on the "Effects of Mariculture in Marine and Coastal Areas, Adjacent to the South East Pacific", to be held in Chile, July 1999.

40. In relation to the roster of experts on marine and coastal biological diversity, the Jakarta Mandate Unit continues working with the CHM Unit in updating the database. In the mean time, the Secretariat is awaiting response from the experts on the roster in relation to the completion of individual data and information.

41. In the framework of the work of SBSTTA on indicators, the Jakarta Mandate Unit is contributing to the design and organization of the next meeting of the liaison group on indicators, including identifying experts from the roster. This should allow identification and selection of marine and coastal biological diversity indicators, with the aim to advance the elaboration of guidelines on ecosystem approaches, within the framework of IMCAM, paying special attention to indicators, as called for in the programme of work.

42. The same kind of assistance is being provided by the Jakarta Mandate Unit in the organization of the meeting of the liaison group on the ecosystem approach.

43. The Jakarta Mandate Unit has in tandem with the CHM Unit provided a contribution to the UNEP Technical Meeting of the Global Plan of Action Clearing House Decision Makers for the Protection of the Marine Environment (the Hague, 11-12 May 1999) regarding the contents and conceptual strategy of a clearing house for the Global Plan of Action (GPA).

#### IV/6 AGRICULTURAL BIOLOGICAL DIVERSITY

44. In accordance with the complementary COP decisions IV/6 and III/11, progress is being made by the CBD Secretariat, in collaboration with the Food and Agriculture Organization of the United Nations (FAO), on the assessment of activities and instruments on agricultural biodiversity. On this basis, a draft framework programme of work is being developed for consideration by SBSTTA-5 with a view to its subsequent review and adoption by COP-5.

45. Further contributions to the ongoing assessment by international and regional organizations and bodies were made available by FAO to the eighth session of the Commission on Genetic Resources for Food and Agriculture (CGRFA)<sup>1</sup>. The CBD Secretariat is still collecting national inputs and has received two further updates (Ukraine and the Netherlands). Sections pertaining to agricultural biological diversity have been identified in 59 national reports on biological diversity strategies, programmes and action plans. These are currently being compiled and will be taken into account in the assessment.

46. Collaboration and coordination in the implementation of decisions III/11 and IV/6 have been enhanced through the active participation in workshops on agricultural biodiversity-related issues by experts drawn from the CBD roster of experts and experts representing interested organizations and bodies. In view of FAO's lead role in regard to these decisions and its mandate concerning the conservation and sustainable use of plant, livestock, forest and fish genetic resources important to food and agriculture, an updated report on Cooperation between FAO and the Convention was jointly prepared by the two Secretariats and provided to the eighth session of the CGRFA<sup>2</sup>.

#### International workshop: Sustaining agricultural biodiversity and agro-ecosystem functions

47. From 2 to 4 December 1998, FAO and the CBD Secretariat convened, with the support of the Government of the Netherlands, a joint international workshop on "Opportunities, incentives and approaches for the conservation and sustainable use of agricultural biological diversity in agro-ecosystems and production systems." By reviewing case studies, experiences and lessons learnt at the ecosystem level, experts from 20 countries and 15

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<sup>1</sup> Documents CGRFA/8/99/10.1, 10.2, 11.1, 11.2, 11.3 and 11 Add.1

<sup>2</sup> Document CGRFA/8/99/12

organizations obtained improved understanding of issues at agro-ecosystem and production system levels and identified priority action areas for promoting sustainable agricultural strategies, farming systems and practices, as well as landscape management approaches that enhance biological diversity and ecosystem functioning. Participants emphasized the areas of: (i) information, assessment and indicators; (ii) research and development; (iii) awareness and capacity building; and (iv) development of policy and instruments.

48. The workshop report is being made widely available to encourage Parties and governments to consider the outcome well in advance of the deliberations on agricultural biological diversity at SBSTTA-5. The report was provided by FAO to CGRFA-8<sup>3</sup> and has been posted through the CBD Clearing-House Mechanism (CHM), on the agricultural biological diversity home page, as well as on FAO's sustainable development home page. The report will be made available by FAO at SBSTTA-4 and, funds permitting, will be translated in all official languages.

49. The conduct and dissemination of case studies and lessons learnt is an important means of furthering the Convention. Pursuant to para. 4 of decision IV/6 and a call for case studies by the CBD Secretariat, 20 case studies were provided for the workshop by Parties and organizations. These illustrate efforts being made and ways and means to minimize possible negative impacts of agriculture on biological diversity and to enhance the ecological functions provided by biological diversity to agriculture. Parties and organizations are still invited to submit further case studies for analysis by the CBD Secretariat and dissemination through the CHM.

#### Soil Biota

50. As few inputs have been submitted to date on soil biota, including soil micro-organisms (para. 5 of decision IV/6; paras. 11 and 12 of decision III/11 and its Annex 3), a call for case studies on soil biota has been prepared and will be posted through the CHM soon. Inputs will be reviewed and consolidated for consideration by SBSTTA-5. Learning from the experience with pollinators and agro-ecosystem approaches, the conduct of a technical workshop on this issue would be most useful in encouraging and generating relevant contributions and in initiating a review of this priority issue.

#### Assessment of new technology in the seed sector

51. Pursuant to paragraph 11 of decision IV/6, the Executive Secretary invited contributions from Parties and organizations to the assessment of the consequences for the conservation and sustainable use of biological diversity of the development and use of new technology for the control of plant gene expression, such as that described in U.S. patent No. 5,723,765. No direct contributions were provided.

52. A team of specialists in biotechnology, intellectual property, plant breeding and socio-economic issues was identified through a consultative process and recruited during the period January-March 1999 to prepare a scientifically sound technical assessment. The draft expert paper was submitted for peer review and was revised on the basis of comments and suggestions by the reviewers, comprising national experts representing each region and key organizations. The document UNEP/CBD/SBSTTA/4/9/Rev.1, to be consideration by SBSTTA-4, provides information on relevant processes and activities in international fora and a summary of issues for consideration based on the expert paper which is contained in its Annex 1. The terms of reference of the assessment and statements by concerned bodies, USDA, Delta and Pine Land Co., Monsanto and Zeneca Agro-chemicals, are provided in document UNEP/CBD/SBSTTA/4/Inf 3.

#### Genetic Resources important for Food and Agriculture and Related Issues

53. The CBD Secretariat attended the eighth regular session of the CGRFA (19-23 April 1999, Rome). Inter alia, the Commission agreed that FAO should coordinate the State of the World's Animal Genetic Resources. It further agreed that a second report on the State of the World's Plant Genetic Resources for Food and Agriculture (PGRFA) and amendment to the Global Plan of Action should be considered after completion of the revision of the

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<sup>3</sup> Document CGRFA/8/99/Inf.12 (April 1999, Eng.) Sustaining agricultural biodiversity and agro-ecosystems functions.

International Undertaking (IU) and that a longer term report on the state of Agricultural Biodiversity might be envisaged, in cooperation with the CBD in the context of the framework programme on agricultural biological diversity.

54. Progress was made in the negotiations for the revision of the IU, in particular, Articles 11, 12, 13 and 15 addressing the Multilateral System (MLS) of access and benefit sharing, its coverage, facilitated access to PGRFA within the system, as well as Farmers' Rights. These issues are of direct relevance to the Intersessional Meeting on the Operations of the Convention (ISOC, 28-30 June 1999) that will, inter alia, explore options for access and benefit-sharing mechanisms (ref. COP decisions IV/8 and IV/16) and subsequent work of the CBD Expert Panel on Access and Benefit-Sharing (4-8 October 1999).

55. Cooperation with the Consultative Group on International Agricultural Research (CGIAR), coordinated through the System-wide Genetic Resources Programme and its System-wide Information Network on Genetic Resources (SINGER), continues to be most valuable. FAO and the CGIAR contributed to the assessment of the new technologies for the control of plant gene expression, and provided clarifications regarding *ex situ* collections of genetic resources important for food and agriculture that are addressed by the CGRFA.

#### Roster of Experts

56. A letter has been sent to all experts on the CBD Secretariat roster of experts on agricultural biological diversity whose information is incomplete (no curriculum vitae and/or biodata form), requesting additional and updated information. The CBD roster of experts will soon be made available through CHM to enable access to the information and facilitate updating.

#### Holistic Approaches and Cross-cutting Issues

57. In addition to the thematic programme of work, efforts are being made, as appropriate, to address agricultural biodiversity-related issues in a holistic way, through the follow up to decision IV/1 in regard to: (a) indicators and assessment methodologies; (b) the further development and application of the ecosystem approach; (c) alien species that threaten ecosystems, habitats or species; and (d) taxonomy. For example, initial contacts have been made with OECD, which is developing, inter alia, agricultural biodiversity indicators including those that address habitat and landscape levels. Attention is also being drawn to integrated agricultural systems that maintain some combination of crop, livestock, aquatic and/or forest genetic resources, including soil organisms, pollinators, predators and other interactions that sustain life-support functions.

### IV/7 FOREST BIOLOGICAL DIVERSITY

58. The CBD Secretariat was represented at the third meeting of the Intergovernmental Forum on Forests (IFF), Geneva, May 1999. As the lead agency for Traditional Forest Related Knowledge (TFRK) item in the process of IFF, in accordance with decision IV/7, paragraph 11, which "requests the Executive Secretary, in implementing the work programme on forest biological diversity to actively continue collaborating and cooperating with the secretariat of the IFF", and with the mandate given at the first session of IFF, the CBD Secretariat prepared a draft note for the Report of the Secretary-General to the third meeting of IFF on TFRK and presented the final document at the meeting. (See also under decision IV/9)

59. The CBD Secretariat continues to carry out an in depth analysis of the work programme on forest biological diversity in view of initiating a strategy to assist the Parties in their process of implementing work programme and to further develop its related activities.

#### IV/8 ACCESS AND BENEFIT-SHARING

60. Three documents for the Intersessional Meeting on the Operations of the Convention, to be held from 28 to 30 June 1999, were finalised, placed on the Internet and mailed out to the National Focal Points. The documents are: Review of access and benefit sharing arrangements (UNEP/CBD/ISOC/3); Report on the information on ex situ collections in accordance with decision IV/8 (UNEP/CBD/ISOC/4); and the relationship between intellectual property rights and the relevant provisions of the Agreement on Trade-Related Aspects of Intellectual Property Rights and the Convention on Biological Diversity (UNEP/CBD/ISOC/5). An information document compiling submissions on ex-situ collections is being prepared for the Intersessional Meeting. Document UNEP/CBD/ISOC/4 has been benefited from these submissions.

61. The final reminder was also sent to the National Focal Points for the nomination of experts regarding access and benefit-sharing, which form a basis to select participants for the Expert Panel on Access and Benefit-Sharing, scheduled to be held on 4 - 8 October 1999. The deadline for the submission was again extended to 15 July 1999. To date, the CBD Secretariat has received 72 nominations of experts from 23 Governments and one regional economic integration organization. The distribution of submissions are: 14 from WEOG; 3 from GRULAC; 5 from Asia; 1 from Africa; and 1 from the Central and Eastern Europe (CEE) region, while the distribution of experts are: 47 from WEOG; 8 from GRULAC; 12 from Asia; 1 from Africa; and 4 from CEE. A proposal on the modalities of the Expert Panel meeting has been included in document UNEP/CBD/ISOC/3. The Secretariat has maintained contacts with potential hosts for this meeting.

#### IV/9 IMPLEMENTATION OF ARTICLE 8(j) AND RELATED PROVISIONS

62. To assist the discussion at the first Ad Hoc Open-Ended Intersessional Working Group on the implementation of Article 8(j) and related provisions and to support the working group in carrying out its mandate as set out in para 1 (a-e) of decision IV/9, three background documents are being prepared. The first draft of the "Work Element of the draft Programme of Work" and of the "Application and Development of Legal and other Appropriate Forms of Protection for the Knowledge, Innovations and Practices of Indigenous and Local Communities" have been completed and circulated for comments within the CBD Secretariat.

63. The Executive Secretary in July 1998 transmitted decision IV/9 on the implementation of Article 8(j) and related provisions to all National Focal Points, indigenous and local community organizations and other relevant organizations and institutions, inviting them to submit relevant information, case studies and inputs to assist the CBD Secretariat in the preparation of the documents for discussion and consideration at the first Ad Hoc Open-Ended Intersessional Working Group to address the implementation of Article 8(j) and related provisions of the Convention. As of 20 March 1999, due date for receipt of case studies and other relevant information, the CBD Secretariat received a number of case studies and relevant information which will be made available on the CBD web-site in the near future and a synthesis of the case studies as a background document is being developed as requested in para. 10 of decision IV/9.

64. The CBD Secretariat, as the lead agency on TFRK in the IFF process, prepared a Report of the Secretary-General on "Programme Element II.d - Issues needing further clarification: traditional forest related knowledge (TFRK)" (E/CN.17/IFF/1999/8) to contribute to further the process of the work of this forum on this issue. The CBD Secretariat attended the third meeting of IFF, held in Geneva, 3-14 May 1999 to follow up with the TFRK issues, to participate effectively, and to further inform IFF on decisions IV/7 and IV/9 with regard to Traditional Forest Related Knowledge. The CBD Secretariat also assisted in the preparation of the Draft Report of the Co-Chairmen on "Category II.d - Issues that need further clarification: traditional forest related knowledge (Report of the Secretary General, E/CN.17/IFF/1999/8)."

65. During the meeting, IFF highlighted the importance of the participation and involvement of indigenous and local communities in all types of forest management and welcomed the establishment of the Ad Hoc Open-ended Intersessional Working Group in the CBD process to address the implementation of Article 8(j) and

related provisions. Interest was also expressed to consider the outcome of the work and proposals for action that will be developed by the Working Group at the fourth meeting of IFF in order to incorporate them in its proposals for action.

66. To further develop collaboration with the Commission on Human Rights (CHR) - Working Group on Indigenous Populations, the CBD Secretariat attended the meeting of the Working Group on the Permanent Forum held in Geneva, 15-19 February 1999.

#### 1V/10 MEASURES FOR IMPLEMENTING THE CONVENTION ON BIOLOGICAL DIVERSITY

##### A. Incentive measures: Consideration of measures for the implementation of Article 11

67. The web page on incentive measures has been created. The case studies received for the fourth meeting of the Conference of the Parties have been placed on this web page. Further submissions have been made from two Parties. The web page is also linked to the relevant Internet sites of other organizations, including "changing consumption and production pattern" (Commission on Sustainable Development, the United Nations); "Instruments for change" (International Institute for Sustainable Development); "economic of biodiversity" (IUCN); and "Wise Use Resource Centre" (the Convention on Wetlands of International Importance).

##### B. Public education and awareness: consideration of measures for the implementation of Article 13

68. With regard to decision IV/10.B. on public education and awareness and measures to implement Article 13, informal consultations continued with UNESCO concerning the launching of a Global Initiative on Biological Diversity Education, Training and Public Awareness. It appears that funding is an impediment to the launching of such an initiative, while UNESCO continues its efforts aimed at mobilizing interests and financial means. Some Parties have indicated to the CBD Secretariat that they are in the process of launching/implementing education, training and awareness measures.

69. In the meantime, the CBD Secretariat has gathered relevant information from a number of agencies, organizations and other conventions that have both expertise and experience in implementing the provisions contained in decision IV/10.B. Among these are: UNFCCC, GEF, UNEP, the World Bank, UNDP, UNITAR, FAO, OECD, IUCN/CEC, and others.

##### C. Impact assessment and minimizing adverse effects: consideration of measures for the implementation of Article 14

###### Concerning impact assessment

70. On the basis of the submissions by Parties and other relevant international organizations, a synthesis of reports and case-studies relating to environmental impact assessment was prepared as a background document for the fourth meeting of SBSTTA (UNEP/CBD/SBSTTA/4/10).

71. The web page on impact assessment has been created. The information received for the preparation of the synthesis report has been placed on the web together with a further submission made from Venezuela.

### Concerning liability and redress

72. In paragraph 8 of decision IV/10.C, the Conference of the Parties invited Parties and relevant organizations to provide the Executive Secretary with information on national, international and regional measures and agreements on liability and redress applicable to damage to biological diversity, including the nature, scope and coverage of such provisions, and information on experiences in their implementation, as well as information regarding access by foreign citizens to national courts potentially applicable to or in cases involving transboundary environmental harm.

74. Letters have been sent to the National Focal Points requesting the governments to provide relevant information to the CBD Secretariat by 16 July 1999 to enable the Executive Secretary to prepare a synthesis report based on the information contained in submissions by Parties and other relevant information, for examination by the Conference of the Parties at its fifth meeting.

75. Paragraph 9 of the decision further invites Parties to include in their national report information on actions taken with respect to liability and redress for damage to biological diversity.

### BIOSAFETY UNIT

#### IV/3 ISSUES RELATED TO BIOSAFETY

76. Since the fourth COP meeting, two meetings of the Open-ended Ad hoc Working Group on Biosafety were held under the chairmanship of Mr. Veit Koester (Denmark). The fifth meeting was held in Montreal from 14 to 28 August 1998. 382 delegates representing 101 Parties, 2 non-Parties, 6 United Nations agencies, 4 international organisations, 27 industrial organisations and 20 non-governmental organisations attended this meeting. The report of the fifth meeting is contained in the document UNEP/CBD/BSWG/5/3.

77. An Extended Bureau of the Open Ended Ad Hoc Working Group on Biosafety met on 21 and 22 September 1998 to prepare for and plan the sixth and final meeting. The Co-Chairs of the Sub Working Groups and the Contact Groups as well as a representative from the country (Colombia) hosting the sixth and final meeting participated in the meeting as observers at the invitation of the Bureau. In order to prepare for the first Extraordinary meeting of the Conference of Parties (ExCOP) meeting, a meeting of the Bureau of the Conference of the Parties (COP) on 23 October 1998 followed the Extended Bureau meeting. The Chair of the Open Ended Ad Hoc Working Group and a representative from the country (Colombia) hosting the first ExCOP participated in the meeting as observers at the invitation of the COP Bureau. Responding to a recommendation from the Extended Bureau of the Working Group, the COP Bureau agreed to extend the sixth and final meeting of the Working Group to provide an opportunity for regional and inter-regional consultations. These consultations were held in Cartagena (Colombia) from 11 to 14 February 1999.

78. The sixth and final meeting was held in Cartagena from 14 to 19 February 1999 and was attended by more than 400 delegates representing 137 Parties, 3 non-Parties, 5 United Nations agencies, 4 inter-governmental organisations, 26 industrial organisations and 38 non-governmental organisations. Participation of 106 developing country Parties at this meeting was made possible by voluntary contributions from Austria, Australia, Canada, Denmark, E.U., Norway, Sweden, U.K., and Switzerland.

83. At its conclusion, the Working Group had still been unable to present a consensus text of the Protocol for adoption. The report of the sixth and final meeting is contained in document UNEP/CBD/ExCOP/1/2. The sixth and final meeting was immediately followed by the first ExCOP meeting from 22 to 23 February 1999.

79. The Working Group agreed to forward to the Conference of the Parties for its consideration, as a package, the draft articles proposed by the Chair of the Group as revised by the Legal Drafting Group. The Chair agreed to convey the outstanding concerns of the Parties to the COP as well. The Open-ended Ad Hoc Working Group on Biosafety provisionally adopted the following articles: 16, on competent national authorities and national focal points; 19, on capacity-building; 26, on financial mechanism and resources; 27, on the Conference of the Parties serving as the meeting of the Parties; 28, on subsidiary bodies and mechanisms; 29, on the secretariat; 30, on the relationship with the Convention; 32, on monitoring and reporting; 33, on compliance; 34, on assessment and review; 35, on signature; 36, on entry into force; 38, on withdrawal; and 39, on authentic texts. In addition, the Working Group had also provisionally adopted, under article 3, on the use of terms, the definitions of the terms "exporter", "importer", "living modified organism", "living organism", "modern biotechnology", and "regional economic integration organisation". It also provisionally adopted annex I on information required in notifications and annex II on risk assessment.

80. The first ExCOP was opened by Mr. Laszlo Miklos (Slovakia), the President of the COP, on 22 February 1999. In accordance with the practice of previous meetings of the COP, he proposed that Colombia's Minister of Environment, Mr. Juan Mayr Maldonado, should be invited to be the President of the first ExCOP. The meeting agreed to do so by acclamation and Mr. Mayr accepted the invitation. The members of the COP Bureau continued in office. Under the chairmanship of Mr. Mayr, the meeting continued the negotiations of the Protocol on Biosafety. A consensus could not be reached on the draft text submitted by the Chair of the Open-ended Ad hoc Working Group on Biosafety.

81. The Conference of the Parties therefore decided to suspend its first extraordinary meeting and to reconvene later. The COP agreed that the meeting should be reconvened as soon as possible and in any event no later than the fifth meeting of the COP, which is scheduled for May 2000. The specific date and venue are to be determined by the President of meeting and the Bureau of the COP. Subsequently, Parties have indicated that the meeting should not be resumed until it was clear that consensus on a protocol is possible. The COP decided to transmit the text of the draft protocol as proposed by the Open-ended Ad Hoc Working Group on Biosafety as well as the statements with respect to the text of the draft protocol contained in that report, to the Conference of the Parties at the resumed session of its extraordinary meeting.

82. The COP stressed the importance of concentrating at the resumed session on reaching a satisfactory resolution on the core issues and related issues as contained in the draft report of the first part of the meeting. The core issues and related issues that remained before the first extraordinary meeting of the Conference of the Parties, as identified in informal consultations under the chairmanship of the President and reported in the report of the meeting were articles: 4 on scope, 5 on application of the advanced informed agreement procedure, 6 on notification, 15 on handling, transport, packaging and identification, 21 on non-Parties, 22 on non-discrimination, 23 on illegal transboundary movements, 24 on socio-economic considerations and 31 on relationship with other international agreements. It was agreed by all the negotiating groups at the meeting that the essential core issues were articles: 4 on Scope, 5 on application of the advanced informed agreement procedure and 31 on relationship with other international agreements

83. The participation of 109 developing country Parties at the extraordinary meeting of the Conference of the Parties was made possible by voluntary contributions from Austria, Australia, Canada, Denmark, E.U., Norway, Sweden, U.K., and Switzerland.



## IMPLEMENTATION AND COMMUNICATION DIVISION

### IV/2 REVIEW OF THE OPERATIONS OF THE CLEARING-HOUSE MECHANISM

84. In accordance with Decision IV/2 (k) the independent review of the pilot phase of the Clearing-House Mechanism is currently under development. Among others, a survey will be sent to all the CHM National Focal Points, members of the Informal Advisory Committee (IAC), Biodiversity-related Conventions and CHM partners by the end of May. It is envisaged that three outcomes will be obtained at the end of this important process: an independent review, a Strategic Plan and a longer-term programme of work for the CHM. They will be subsequently, discussed at the forthcoming SBSTTA-5 and COP-5 meetings.

85. Consultations with the IAC members using electronic means have been actively pursued for the independent review and strategic plan of the CHM. A discussion on the progress made to date has been scheduled with the IAC members and with the CHM-National Focal Points during the next SBSTTA-4 meeting.

86. The CD-ROM tool kit, which has been produced to assist the CHM-National Focal Points in their efforts to design and establish CHM web sites and pages, has successfully passed its beta-testing phase. The tool kit will be launched and distributed to all the CHM National Focal Points next June, on the occasion of the SBSTTA-4 meeting.

87. Responding to the needs of the Parties and COP decisions, several electronic databases of roster of experts have been created in the following areas: Access to Genetic Resources and Benefit Sharing, Agro-biodiversity, Biodiversity Indicators, Inland Waters and Marine and Coastal Biodiversity. These databases will be available to the public in June 1999.

88. Our web site has new developments, more than 44 themes/topics/web entries of the Secretariat's Clearing-House Mechanism web site are now available in Spanish at: <http://www.biodiv.org/chm/index-s.html>. The French version of the CHM web site is being prepared and will be available in due course.

89. The first issue of the CHM Newsletter corresponding to 1999 has been distributed to the Focal Points and CHM partners employing electronic and non-electronic means. The second issue of the Newsletter is currently under preparation and will be distributed next June during SBSTTA-4. The CHM brochure was published in February 1999 in English, French and Spanish. They are also available electronically on our web site.

90. At the Cyber-Café, to be held during SBSTTA-4, several international and national presentations on the progress and achievements made by the CHM-related initiatives will be offered.

91. To date 136 CHM National Focal Points nominations have been received in the Secretariat. Of them, 76 CHM-NFPs have established e-mail addresses and 29 have created their National CHM web sites.

92. Overall the Global Environment Facility has funded 59 CHM projects under the add-on modules of the Enabling Activities. A total of US\$693,059 has been disbursed in these projects, and countries have received on average US\$11,747 to establish their National Clearing-House Mechanism.

### IV/14 NATIONAL REPORTS BY PARTIES

93. No further national reports have been received since the last quarterly report was prepared. The total number of reports received thus continues to stand at 112, comprised of 74 full reports and 38 interim or draft reports, as previously reported. See Annex XII attached.

94. All national reports received have been posted on the Convention's website. The national reports pages on the website are being redesigned to include better access to information on national biodiversity strategies and

action plans. To this end work is underway to identify and obtain copies of completed strategies and action plans. The secretariat will seek the assistance of Parties in this.

95. As requested by the Conference of the Parties, the Executive Secretary will prepare for the fifth meeting of SBSTTA a revised synthesis of the information contained in the first national reports (decision IV/14, paragraph 2).

96. The Secretariat is undertaking a pilot project on assessing the state of implementation of the Convention. The intention is to develop and test improved national reporting mechanisms as an aid to assessing implementation. This project involves the voluntary participation of about ten Parties, who have agreed to review their first reports against a matrix to be developed under the project, and to participate in an analysis of the conclusions of this exercise. The Parties taking part are Ecuador, Indonesia, Norway, Slovenia, and the United Kingdom. It is expected that an African country and a small island developing State will also take part. The Governments of Norway and the United Kingdom are funding the project, and a third funder is expected to be identified shortly. The World Conservation Monitoring Centre will assist the secretariat. The results of this project will be used to develop the Note by the Executive Secretary to be prepared for the fifth meeting of SBSTTA on intervals and forms of future national reports, the nature of the information needed from Parties in order to assess the state of implementation of the Convention, and ways and means to further facilitate national implementation (decision IV/14, paragraph 3).

97. Work continues on preparing the first draft of the Global Biodiversity Outlook (GBO). It is hoped to have a full first draft ready by October, with the aim of publication in May 2000 at the fifth meeting of the Conference of the Parties. The Executive Secretary is establishing a steering committee to be composed of expert members of past and present bureaux of SBSTTA and the Conference of the Parties. This steering committee will review the first draft in the last quarter of this year. In accordance with decision II/1, which asks the Executive Secretary to produce the GBO 'under the guidance of the Bureau of the Conference of the Parties and the SBSTTA', the revised draft will then be submitted to meetings of the bureaux of SBSTTA and the Conference of the Parties to be held in early 2000 with a view to seeking clearance for publication.

#### IV/11 REVIEW OF THE EFFECTIVENESS OF THE FINANCIAL MECHANISM

##### Memorandum of Understanding concerning the significance of inter-secretariat co-operation (also decision III/8)

98. The Secretariat has increased its efforts to enhance co-operation with the GEF and its Secretariat. The improved inter-secretariat co-operation is on all fronts:

(a) The Secretariat has provides written comments on approximately sixty-five GEF biodiversity project proposals with a view to promoting the consistency of the project proposals with the guidance of the Conference of the Parties. In this respect, the Secretariat has developed a format for preparing its comments on the GEF biodiversity project proposals.

(b) The twelfth GEF Council meeting was held from 14 to 16 October 1998, and the thirteenth from 5 to 7 May 1999. The meetings discussed a number of issues that interest the Convention in respect of the actions they need to take in response to the COP-4 instructions. The documents from the GEF Secretariat for these meetings were assessed in the light of the COP guidance. The Secretariat provided three written comments on GEF's draft documents on its relation with conventions and its draft response to COP guidance. The Acting Executive Secretary of the Convention made statement to these meetings. One professional staff members followed up the proceedings of these meetings.

- (c) The GEF Operations Committee (GEFOP) met on 11 December 1998 and 30 March 1999. As a member of the Committee, the Secretariat attended both the teleconferences and made contributions to the discussions on substantive policy issues, for instance, review of biodiversity portfolio.
- (d) The GEF biodiversity task force is another GEF operational modality for discussing biodiversity-related funding issues. The Secretariat participated in the GEF task force on incremental costs, and contributed significantly to the outcomes of the task force. The Secretariat attended the London Workshop on incremental costs on 25-26 March 1999, and continues to monitor the progress made in the area of incremental costs.
- (e) The Scientific and Technical Advisory Panel of the GEF (STAP) provides scientific advice on GEF operational policy. The Secretariat has also increased its efforts to enhance its relationship with STAP. The Secretariat attended the First STAP Meeting in New York on 14-17 September 1998, and currently provides input to STAP's discussion on land degradation linkages with biodiversity. STAP has been invited to attend the forthcoming meetings of SBSTTA.
- (f) The Secretariat attended the GEF Monitoring and Evaluation Reference Group meeting on Trust Funds on 21 September 1998, and also provided substantive comments on the GEF/UNEP proposed framework for developing GEF programme indicators.
- (g) The Secretariat invited the GEF for the fifth and sixth meetings of the Open-Ended Ad Hoc Working Group on Biosafety, the first extraordinary meeting of the COP, the agrobiodiversity workshop "Opportunities, Incentives and Approaches for the Conservation and Sustainable Use of Agricultural Biodiversity in Agro-Ecosystems and Production Systems" held in Rome on 2-4 December 1998. The representatives from the GEF attended all these meetings.
- (h) In response to increasing requests concerning the financial mechanism, the Secretariat launched web pages on the financial mechanism at the website of the Convention which will be gradually updated and expanded on a regular basis. The Secretariat is also exploring how to facilitate dissemination of relevant information.

#### Actions to be taken by the GEF

99. COP-4 completed the first review of the effectiveness of the financial mechanism and adopted a number of actions to be taken by the GEF Council for further improving the effectiveness of the financial mechanism. The Secretariat has transmitted this decision together with decision IV/13 on additional guidance to the GEF Council and its Secretariat. The Secretariat has closely monitored the implementation of these requests and provided comments upon request.

#### Determination of terms of reference for the second review

100. COP-4 decided that the COP at its fifth meeting will determine terms of reference for the second review of the effectiveness of the financial mechanism. It is understood that the Secretariat may need to prepare background documentation for consideration by COP-5 in this regard. The Secretariat has initiated the preparation of background document, and identified the key areas of concern. It is anticipated that a draft document will be ready for comment by Parties and relevant stakeholders by July 1999.

#### Matters related to recommendations for further guidance

101. COP-4 requested the Secretariat to advise the Parties on matters relating to recommendations for further guidance to the financial mechanism with respect to: (a) the relationship of any draft guidance to previous

guidance and (b) any possible effects of that draft guidance on the implementation of previous guidance from the COP. The Secretariat has begun an assessment of previous COP guidance and the progress of implementation of the guidance. A background document in this respect will be finalized once the recommendations of SBSTTA-4 and 5 meetings become available.

#### IV/12 Additional financial resources

##### Development of standardized information on financial support (also decision III/6, paragraph 4)

102. In light of the progress in developing guidelines for the second national report, the Secretariat has considered, as a priority, the development of a standardized information format on financial resources for inclusion in the suggested guidelines on the second national reports. A discussion note on the format has been prepared and is currently under review. Comments will be invited soon. The Secretariat has been actively involved in the development of a biodiversity marker within the Credit Reporting System (CRS) of the Development Assistance Committee of the Organization of Economic Co-operation and Development, and made substantial inputs to the development of reporting format under the biodiversity marker. It is anticipated that the biodiversity marker will enable the CRS to generate aggregated biodiversity funding information in 1999.

##### Monitoring financial support

103. Efforts have been made to establish a database on financial resources in support of the implementation of the Convention. A mission was made to the OECD/DAC Secretariat to secure relevant data in February 1999. The data on biodiversity funding based on OECD/DAC reporting system is under review. The Secretariat intends to forward the results to developed country Parties and relevant funding institutions for verification and possible addition in the middle of this year.

##### Collaboration with relevant international organizations, institutions, conventions and agreements (also decision III/6, paragraph 2)

104. The Secretariat had a meeting with the OECD/DAC Working Party on Environment and Development Task Force on 9 December 1998. Questions under their examination included: how can donors assist developing country partners in fulfilling their formal obligations under the Convention; how can donors assist developing countries develop the necessary capacity at the national level to further the ultimate objectives of the Convention; how can donors, individually and collectively, contribute to efforts towards greater policy coherence in support of the objectives of the Convention. It was found that the Secretariat needs to increase efforts to outreach development co-operation agencies. An information kit on the Convention and COP decisions may be produced in the course of this year with a view to promoting understanding and awareness of the Convention at the project level.

105. The Secretariat is aware that in the context of the General Assembly, preparation is being made for a high-level consultation on Financing for Development in 2001. A brief on monitoring biodiversity-related finance in this context has been prepared. The Secretariat is currently monitoring the activities of the Ad Hoc Open Ended Working Group on Financing for Development, and relevant activities of the Commission on Sustainable Development on financial issues in Agenda 21.

106. The Secretariat has kept monitoring of relevant processes of the World Bank, International Monetary Fund, climate change convention and ozone convention and protocol.

##### Additional financial support to elements in the programme of work

107. Inland water ecosystems. The focus has been on the Ramsar process. The Secretariat attended the workshop "Mechanisms for Financing Wise Use of Wetlands," organized in conjunction with the second International Conference on Wetlands and Development, from 13-14 November 1998 in Dakar, Senegal. The workshop discussed ODA and government funding and policies, and financial innovations for wetland conservation. It was found that the Ramsar Bureau has developed unique funding mechanisms, viz., the Small Grants Fund for Wetland Conservation and Wise Use (SGF) and Wetlands for the Future focusing on the Neotropical Region. Another interesting observation was a conservation program developed by British Petroleum Company.

108. Forest ecosystem. The focus has been on the Inter-governmental Forest Forum (IFF) process. The Secretariat provided substantial comments on a UNDP-sponsored study on Investment Promotion Agency and will continue to monitor the IFF discussion on its financial mechanism.

109. Marine and coastal ecosystems. The focus has been on relevant UNESCO, SIDS and Law of the Seas processes. The Secretariat will monitor financial areas of these processes.

110. Dryland ecosystems. The focus has been on the Global Mechanism of the Convention to Combat Desertification (CCD). The Secretariat has explored working relationship with CCD and will further monitor the activities of its financial mechanism.

111. Agro-ecosystems. The focus has been on relevant FAO processes. The Secretariat has identified relevant funding information within FAO processes.

112. In addition, the Secretariat has considered how to directly involve funding institutions to support specific elements in the programme of work of the Convention.

Private sector support for the implementation of the Convention (also decision III/6, paragraph 3)

113. The Secretariat participated in the fourth International Roundtable Meeting on Finance and Environment convened by UNEP Financial Institutions Initiative in Cambridge on 17-18 September 1998. Opportunities for the inclusion of a biodiversity component on the agenda of the future International Roundtable Meeting on Finance and Environment were explored. The Secretariat further explored the possibility of a closer working relationship with the UNEP Financial Service Initiatives with a view to making the activities of bank and insurance sectors more supportive of the Convention's objectives.

114. The Secretariat attended the meeting, "Bio-partnerships" for Sustainable Development: Commercialization and the Bio-Industry Challenge, organized by UNCTAD's Biotrade Initiative in conjunction with UNCTAD's first meeting of "Partners for Development Summit" from 10-12 November 1998. The meeting discussed: (1) bio-prospecting: deriving new products from nature; (2) non-timber forest products; (3) case studies; (4) prospects for bio-resource based development; (5) legal and policy developments and (6) bio-business development strategies. The outcomes of the meeting will constitute useful input to the work on promoting the involvement of the private sector in the implementation of the Convention. The Secretariat will explore further opportunities on how bio-industry can support the Convention's objectives.

115. The Secretariat has identified other initiatives and case studies on the private sector in relation to the Convention. The Secretariat has monitored the progress made by the World Bank on market transformation initiatives (forest and marine), and identified a number of case studies on constraints to, opportunities for and implications of private sector support.

116. The Secretariat has conducted a case study on private grant-making foundations with respect to their support to biodiversity. The results of such case studies will be made available to COP-5.

#### IV/13 ADDITIONAL GUIDANCE TO THE FINANCIAL MECHANISM

117. Decision IV/13 contains further guidance for the financial mechanism. The Secretariat communicated the electronic version of the decision to the GEF Secretariat and Implementing Agencies right after COP-4 meeting and also conveyed this guidance to the GEF at the 12<sup>th</sup> and 13<sup>th</sup> meetings of the GEF Council. The Secretariat provided comments on the draft GEF response to the additional guidance and also seeks to promote implementation of the guidance through project proposal review.

#### IV/15 THE RELATIONSHIP OF THE CONVENTION ON BIOLOGICAL DIVERSITY WITH THE COMMISSION ON SUSTAINABLE DEVELOPMENT AND BIODIVERSITY-RELATED CONVENTIONS, OTHER INTERNATIONAL AGREEMENTS, INSTITUTIONS AND PROCESSES OF RELEVANCE

118. Implementation of this decision and co-operation in general forms a central element of the entire programme of work for the Convention and the Secretariat. Consequently, this section only highlights important activities not covered in other sections.

119. The Secretariat has developed a Global Biodiversity Calendar of Events, which contains details of relevant meetings organised by other processes. An important reason for the Calendar is not only to promote co-ordination and co-operation between the Convention and other processes but also to facilitate the contribution of other processes to the work of the Convention.

120. The Secretariat supported and participated in a project on harmonising the reporting requirements of Biodiversity-Related Conventions (CITES, CMS, Ramsar Convention and the World Heritage Convention). The World Conservation Monitoring Centre completed an initial feasibility study the results of which were considered at a meeting in early October for the Secretariat Information Managers. Follow-up to the results of this initiative are expected to form the framework of the Secretariat's activities in this area for the foreseeable future. The first steps in these joint efforts have included, inter alia, the establishment by the Secretariat of an electronic listserv and a joint Internet webpage.

121. During the period of this report the Secretariat has assisted in the preparations of a series of Global Biodiversity Forums. It supported the 10<sup>th</sup> Global Biodiversity Forum immediately prior to COP-4, with the Executive Secretary participating in the final session of the meeting. It has also contributed to similar Forums held in conjunction with COP-4 for UNFCCC, COP-2 for CCD and most recently COP-7 of the Ramsar Convention in May 1999. It has also provided support for the next Forum to be held immediately prior to SBSTTA-4. The Secretariat has also participated in meetings of the Steering Committee for the Forum as well.

122. The Secretariat presented a report of COP-4 for the UN General Assembly (document A/53/471). The Secretariat participated in the Panel of the Second Committee on "How to achieve a better coherence and improve co-ordination among environmental and environment-related Conventions", held on 23 October 1998.

123. The Secretariat has also continued its participation with the Inter-Agency Committee for Sustainable Development in order to assist with preparations for meetings of the CSD and other related matters covered by this Committee. For example, the Secretariat made inputs to the report of the Secretary-General on tourism and sustainable development and on oceans and seas through ACC Subcommittee on Oceans and Coastal Areas.

124. The Executive Secretary invited Parties to submit information regarding paragraph 14 on sustainable tourism as well as paragraphs 15 and 16 of decision IV/15 on other relevant issues addressed by the Commission on Sustainable Development. The Secretariat received two submissions in response to these invitations. The background document for the fourth meeting of SBSTTA on sustainable use, including tourism is finalised. The Secretariat not only participated in CSD/7 but also was involved in the preparatory process. For example, it

participated in the ad hoc intersessional Working Group to be held from 22 February to 5 March 1999 and made contributions through ACC Subcommittees on Water Resources and on Oceans and Coastal Areas.

125. The Secretariat participated at meeting of the COPs for the Ramsar Convention, CCD and FCCC. As a result, the COP of FCCC decided to consider issues related to forests, marine and coastal biodiversity and the clearinghouse mechanism at the next meeting of its subsidiary bodies in May 1999.

126. The Secretariat has prepared two submissions to the WTO's Committee on Trade and Environment (CTE). The first described the relevant decisions of COP-4 (see document WT/CTE/W/92). The second responds to a series of question provided by the Chair of the CTE and has been prepared for the forthcoming meeting of the CTE in July 1999. The Secretariat has also applied for an observer status in TRIPs Council and Committee on Agriculture. In response to a request from TRIPs Council, the Secretariat provided factual information on activities of the Convention of relevance to the WTO for preliminary consideration in the review of Article 27.3(b) this year. The Acting Executive Secretary also participated in the WTO High Level Symposium on Trade and Environment from 15 to 16 March 1999.

127. The Secretariat has maintained regular contact with UNESCO and its IOC, UN/DOALOS, as well as UNEP's Regional Seas Secretariats, with a view to promoting the implementation of the Jakarta Mandate. It has also raised with DOLAS the issue of access to the genetic resources of the deep seabed in line with decision II/10. In June 1998, a Memorandum of Co-operation was signed between the Secretariat of CBD and CPPS, who also provides the Secretariat of the Action Plan for the Protection of the Marine Environment and Coastal Areas in the Southeast Pacific.

#### IV/16 INSTITUTIONAL MATTERS AND THE PROGRAMME OF WORK

128. Decision IV/16 took a number of important decisions with respect to the operations of the Convention and its future programme of work. Implementation of many of the elements of this decision have been covered in other parts of this report, for example, the revised modus operandi of the SBSTTA has been the basis for the preparation of SBSTTA-4 and SBSTTA-5.

129. Preparations for the intersessional meeting on the operations of the Convention are complete. A preliminary agenda for the meetings is contained in Annex XII.

130. An outline for the handbook that relates the decisions of the COP and other relevant material to the operations of the Convention, to the text of the Convention has been prepared. Consultations with the COP Bureau have begun. It is anticipated that a draft will be available for the intersessional meeting on the operations of the Convention in June 1999. Consultations have begun with Parties on the basis of this outline as to the exact nature of the handbook. Further assistance with respect to the preparation of the handbook of the operations of the Convention been identified.

## ANNEX I

### STATUS OF IMPLEMENTATION OF THE AGREED ADMINISTRATION ARRANGEMENTS

#### I Personnel Arrangements

1. The COP approved 27 Professional posts and 20 General Services posts for the biennium 1999-2000. All these posts have been classified and advertised. The recruitment process is underway as reflected in the staff list (Appendix 1).

#### II Contributions and Funds

2. Some countries have pledged additional voluntary contributions to support the approved activities under the Convention on Biological Diversity.
3. In addition to the pledges and contributions reported on in the last Quarterly Report, Sweden and the FAO have pledged funds specifically for the funding of staff posts. Australia has contributed funds for approved activities under the Convention and the Government of Colombia contributed funds in keeping with the Host Government Agreement for the BSWG-6/Ex-COP meetings (BE Trust Fund).
4. The Secretariat has also received a pledge of Cdn\$100,000 from the Government of Canada in support of the Biosafety negotiating process, and a pledge of UK pounds 60,000 from the United Kingdom for approved activities under the Convention.
5. As at 31 May 1999, a total of US\$571,950 has been pledged for 1999 as additional voluntary contributions to facilitate the Participation of Parties in the Convention process. This includes pledges from Australia, Austria, Denmark, Germany, Ireland, New Zealand, Sweden Switzerland and the United Kingdom (BZ Trust Fund).
6. The Trustees sent invoices in 1998 to the 174 Parties to the Convention listed in Appendix 1 to Annex 1, Part A of Decision III/24, inviting them to pay their contributions to the Trust Fund for 1998, 1999 and 2000. The Statement of Contributions to the CBD Trust Fund, as provided by the Trustee as at 17 May 1999 is attached as Annex V to this report.

#### III Budget

7. With regard to budgetary matters, as at 31 May 1999, of the total pledged contributions of US\$5,963,226 for 1998 to the BY Trust Fund, the total contributions received amounts to US\$5,015,670. Total unpaid pledges to the BY Trust Fund for 1998 and prior years amounts to US\$1,380,412. The total amount pledged for the BY Trust Fund in 1999 is US\$6,849,995. Of this amount, US\$4,426,880 has already been received. Total unpaid pledges to the BY Trust Fund for 1999 amounts to US\$2,423,115. Total unpaid pledges for 1999 and prior years is therefore US\$3,803,527.
8. Several Parties also contributed additional voluntary funds in support of approved activities under the Convention (BE Trust Fund). The total pledged for 1998 for this purpose was US\$755,979. Total unpaid pledges for 1998 and prior years to the BE Trust Fund is US\$7,210. For 1999 a total of US\$699,195 has so far been pledged. US\$639,932 of this amount has been collected. The outstanding balance for 1999 is US\$59,233. Total unpaid pledges for 1999 and prior years is therefore US\$66,432.



9. Contributions totalling US\$1,291,624 were pledged in 1998 for additional voluntary contributions to facilitate the participation of Parties to the Convention Process (BZ Trust Fund). Total unpaid pledges for 1998 and prior years to the BZ Trust Fund is US\$419,169. New pledges to the BZ Trust Fund in 1999 amount to US\$540,817. Of this amount, US\$392,406 has already been paid. A total of US\$148,411 remains outstanding for the BZ Trust Fund for 1999. Total unpaid pledges for 1999 and prior years is therefore US\$567,580.
10. In keeping with the Administrative Arrangements, the Executive Secretary has prepared draft allotments and staffing tables for activities under the Convention's budget for final approval by the Executive Director of UNEP. The relevant staffing tables as well as the organigramme for the Secretariat are attached as Annex III and IV to this report. The organigramme may be revised as and when required.

VI Accounting and Reporting

Reimbursement for Services provided to the Secretariat

11. At present three UNEP staff members, the Chief, Fund Management and Administration, the Administrative Officer and the Finance Assistant are funded from the programme support funds (PSC). Additionally, UNEP has provided funding for five (5) more general service administrative staff to be paid from PSC.

Conference and Other Services

12. UNEP/UNON in full co-operation with the Executive Secretary of the CBD has facilitated the co-ordination and provision of conference services to the Extraordinary Session of the COP and to the Biosafety Protocol meetings.

Appendix 1

STAFFING TABLE OF THE SECRETARIAT OF THE  
CONVENTION ON BIOLOGICAL DIVERSITY

As at 31 May 1999

PROFESSIONAL STAFF			
Post No.	Level	Title	Remarks
1101	D2	Executive Secretary	H. Zedan (Acting)
1102	D1	Principal Officer (EDM/SS)	Mr. O. Jalbert/approved by APB (Regularized)
1103	D1	Principal Off. (STTM)	Mr. Mulongoy/approved by APB (Regularized)
1104	P5	Senior Prog. Officer (Biosafety)	Ms. Sendashonga/approved by APB (Regularized); D.Mahon (temporary)
1105	D1	Principal Officer (I&C)	Post to be re-advertised.
1106	P4	Chief, Fund & Admin. (SS)	M. Rattray - Funded by UNEP (OTL) - (Regularized)
1107	P4	Financial Resources Instr., PO (I&C)	S. Johnston (Regularized)
1108	P4	Legal Officer (EDM)	Mr. D.Ogolla/approved by APB (Regularized)
1109	P4	Economist (STTM)	C. Takase (Regularized)
1110	P4	Terr. Ecosystems, PO (STTM)	J-P. Le Danff (Regularized)
1111	P4	Agric. BD, PO (STTM)	Vacant (Post funded by FAO)
1112	P4	Marine & Coastal BD, PO (STTM)	S. Arico (Post funded by UNESCO) (Regularized)
1113	P4	CHM, PO (I&C)	M. Auer (Regularized)
1114	P3	Special Assistant Exec. Sec. (EDM)	Case submitted to APB.
1115	P4	Indigenous Knowl. PO (STTM)	H. Marrie (Regularized)
1116	P4	Reports & Reviews, PO (I&C)	T. Gross (Regularized)
1117	P3	Documentation Officer (SS)	Mr. Z. Bamba/approved by APB (Regularized)
1118	P3	Mtgs. Service Officer (SS)	Case submitted to APB; M. Soliman (temporary)
1119	P3	Information Officer (I&C)	B. Torres (Regularized)
1120	P3	Internet & Elect. Comm. (I&C)	Mr. De Munck/approved by APB (Regularized); S. Rey-Coquais (temporary)
1121	P3	Jakarta Mandate, PO (STTM)	I. Pranoto (Regularized)
1122	P3	Financial Resources, PO (I/C)	Y. Xiang (Regularized)
1123	P3	Reports & Reviews Env. Aff. Off (I&C)	Vacant (Post funded by the Government of QUEBEC)
1124	P3	Administrative Officer (SS)	N. Patel (Post funded by UNEP (OTL) - (Regularized)
1125	P4	Regulatory Scientist - (Biosafety)	Vacant (Post funded by the Government of QUEBEC)
1126	P2	Associate Envir. Affairs Off, (Biosafety)	A. Gupte (Regularized)
1127	P2	Asso. Legal Affairs Off. (Biosafety)	T. Yongo (Regularized)
1128	P2	Asso. Computer Syst. Officer (I&C)	Mr. Quang/approved by APB (Regularized)
1130	L2	JPO, STTM	B. DiGiovanni (Post funded by Italy) (Regularized)

PROFESSIONAL STAFF			
Post No.	Level	Title	Remarks
1131	P4	Inland Waters, PO (STTM)	Mr. Halwart/approved by APB (Regularized)
1132	P4	Scientific, Tech. Assessment (STTM)	Case submitted to APB.
1133	P3	Access & Benefit Sharing (STTM)	Post to be re-advertised.
1134	P3	Scientific, Tech Info (Biosafety)	Post to be re-advertised.
1135	P4	Editor (SS)	Mr. J. Fry/Approved by APB (Regularized)
1136	P2	Associate Finance Officer (SS)	Case submitted to APB - Post funded by UNEP (OTL)
1137	P4	Taxonomy, PO (STTM)	Case submitted to APB. Post funded by Australia & Sweden
1138	L2	JPO - I & C (Proposed)	
1139	L2	JPO - STTM (Proposed)	

Notes:

From the SCBD budget :

13 posts are encumbered by regularized staff members; 7 new staff Members are being recruited (their cases have been approved by the APB)

3 cases have been submitted to the Appointments and Promotion Board + ES

3 posts will be re-advertised.

From Other Sources of Funding:

8 posts are being funded by Other Sources of funding (UNEP, Quebec, FAO, UNESCO, Italy, Australia & Sweden)

2 cases have been submitted to the Appointments and Promotion Board.

GENERAL SERVICE STAFF			
Post No.	Level	Title	Remarks
1301	G8	Finance Assistant (SS)	L. Cafe (on loan from ICAO) -Funded by UNEP (OTL)
1302	G7	Secretary to Exec. Sec. (EDM)	F. Paglia (Regularized)
1303	G6	Secretary to Principal Off. (EDM)	F. Velarde (Regularized)
1304	G7	Prog. Assistant - (STTM)	D. Hamel (Regularized)
1305	G7	Prog. Assistant - (STTM)	I. Anderson (Regularized)
1306	G7	Prog. Assistant - (I&C)	S. Penney (Regularized)
1307	G7	Prog. Assistant - (Biosafety)	Case submitted to the APP
1308	G7	Prog. Assistant - (I&C)	M. Chiasson (Regularized)
1309	G7	Prog. Assistant - (STTM)	Joon Ho Yoon (Regularized)
1310	G6	Admin Assistant - (SS)	Post to be re-advertised
1311	G7	Travel Assistant - (SS)	R. Rabbath (Regularized)
1312	G7	Personnel Assistant - (SS)	Post to be re-advertised
1313	G6	Secretary - (STTM)	V. Allain (Regularized)
1314	G6	Secretary - (I&C)	J. Huppe/approved by APP (Regularized)
1315	G6	Information Assistant (EDM)	M. Ocampo (Regularized)
1316	G7	Computer Op. Assistant (I&C)	F. Dejon (Regularized)
1317	G4	Receptionist (SS)	L. Boutin (Regularized)
1318	G4	Messenger (SS)	M. Harrison (Regularized)
1319	G6	Secretary (Biosafety)	Case submitted to the APP
1329	G7	Prog. Assistant - (CHM)	H. Perea (Regularized)
1331	G7	Prog. Assistant - (STTM, Economics)	G. Dosen/approved by APP (Regularized)
1332	G5	Contributions Assistant - (SS)	Under recruitment - Funded by UNEP(OTL)
1324	G5	Fund Mgmt Assistant - (SS)	Under recruitment - Funded by UNEP(OTL)

Notes:

From the SCBD budget :

14 posts are encumbered by regularized staff members; 2 new staff members are being recruited (their cases have been approved by the APP)

2 cases have been submitted to the Appointments' and Promotion Panel

2 posts will be re-advertised

From the Other Sources :

3 posts are funded by UNEP's OTL budget

Appendix 2

GENERAL TRUST FUND  
FOR ADDITIONAL VOLUNTARY CONTRIBUTIONS  
IN SUPPORT OF APPROVED ACTIVITIES  
UNDER THE CONVENTION ON BIOLOGICAL DIVERSITY (BE)

Status as at 31 May 1999

<u>COMMITABLE RESOURCES FOR 1998/1999:</u>	US\$
Balance available as at 01 January 1998	257,568
Contributions Received in 1998/1999	1,542,756
Interest Accrued in 1998/99	18,402
Adjustment to correct wrong income in 1997	(200,000)
A. Commitable Resources	1,618,726
<u>ALLOCATION:</u>	
Allocation issued by the Executive Director in 1998	947,596
<u>APPROVED COMMITMENTS:</u>	
Approved Commitments for 1998/1999	592,967
Approved Provisions for Programme Support Costs (PSC) for 1998/99	77,086
B. Total Approved Commitments & PSC for 1998/1999	670,053
C. Balance Available after Commitment (A-B)	948,673
<u>PROPOSED COMMITMENTS:</u>	
Proposed Commitments for 1998/1999	575,038
Approved Provisions for Programme Support Costs (PSC) for 1998/99	74,755
D. Total Proposed Commitments & PSC	649,793
E. Total Approved & Proposed Commitments & PSC provisions (B+D)	1,319,846
F. Balance Available after Commitments and Proposal (A-E)	298,880

\* See details of contributions to the BE Trust Fund in Annex III to this report

GENERAL TRUST FUND FOR THE CONVENTION ON BIOLOGICAL DIVERSITY (BY)

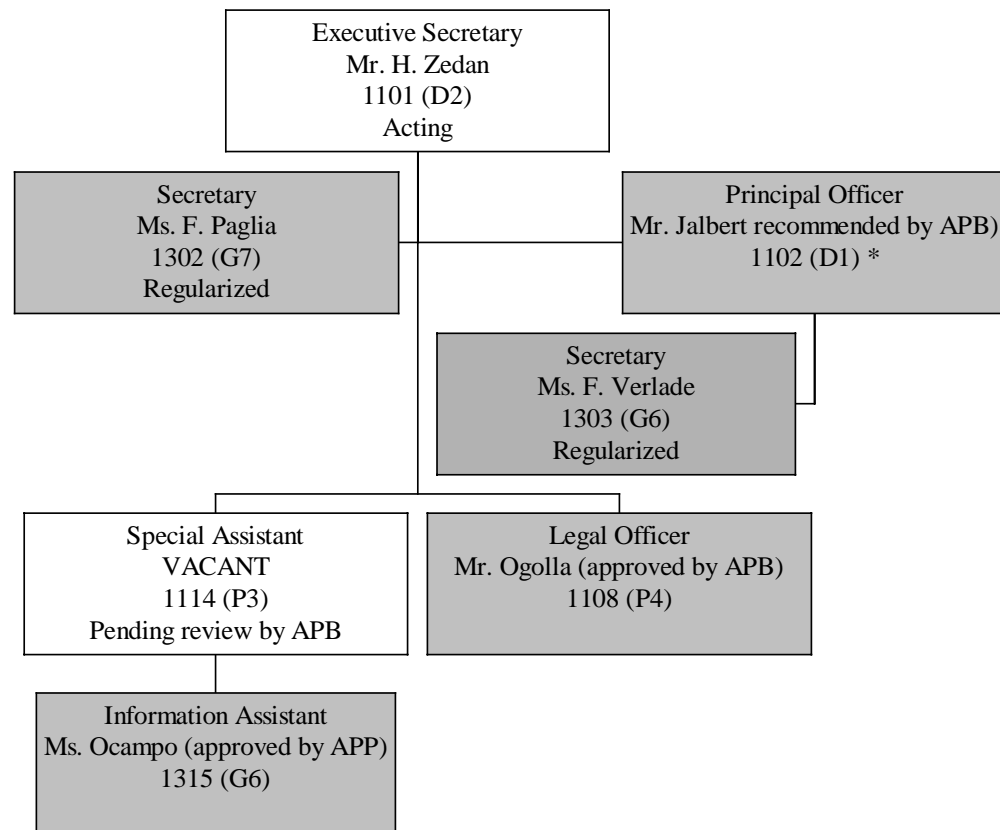
Status as at 31 May 1999

<u>COMMITABLE RESOURCES FOR 1998/1999:</u>		US\$
Balance available as at 01 January 1998		6,704,669
Contributions Received in 1998/1999		10,812,247
Interest Accrued in 1998/99		367,606
A. Commitable Resources		17,884,522
<u>ALLOCATION:</u>		
Allocation issued by the Executive Director in 1998		11,458,467
<u>APPROVED COMMITMENTS:</u>		
Approved Commitments for 1998/1999		6,785,740
Approved Provisions for Programme Support Costs (PSC) for 1998/99		882,146
B. Total Approved Commitments & PSC for 1998/1999		7,667,886
C. Balance Available after Commitment (A-B)		10,216,636
<u>PROPOSED COMMITMENTS:</u>		
Proposed Commitments for 1998/1999		4,227,321
Approved Provisions for Programme Support Costs (PSC) for 1998/99		549,552
D. Total Proposed Commitments & PSC		4,776,873
E. Total Approved & Proposed Commitments & PSC provisions (B+D)		12,444,759
F. Balance Available after Commitments and Proposal (A-E)		5,439,763

GENERAL TRUST FUND FOR ADDITIONAL VOLUNTARY CONTRIBUTIONS TO FACILITATE THE PARTICIPATION OF PARTIES IN THE PROCESS OF THE CONVENTION ON BIOLOGICAL DIVERSITY (BZ)	
Status as at 31 May 1999	
<u>COMMITTABLE RESOURCES FOR 1998/1999:</u>	US\$
Balance available as at 01 January 1998	(9,520)
Contributions Received in 1998/1999	2,171,680
Interest Accrued in 1998/99	62,526
A. Committable Resources	2,224,686
<u>ALLOCATION:</u>	
Allocation issued by the Executive Director in 1998	1,723,364
<u>APPROVED COMMITMENTS:</u>	
Approved Commitments for 1998/1999	1,262,000
Approved Provisions for Programme Support Costs (PSC) for 1998/99	164,061
B. Total Approved Commitments & PSC for 1998/1999	1,426,061
C. Balance Available after Commitment (A-B)	798,625
<u>PROPOSED COMMITMENTS:</u>	
Proposed Commitments for 1998/1999	432,160
Approved Provisions for Programme Support Costs (PSC) for 1998/99	56,181
D. Total Proposed Commitments & PSC	488,341
E. Total Approved & Proposed Commitments & PSC provisions (B+D)	1,914,402
F. Balance Available after Commitments and Proposal (A-E)	310,284

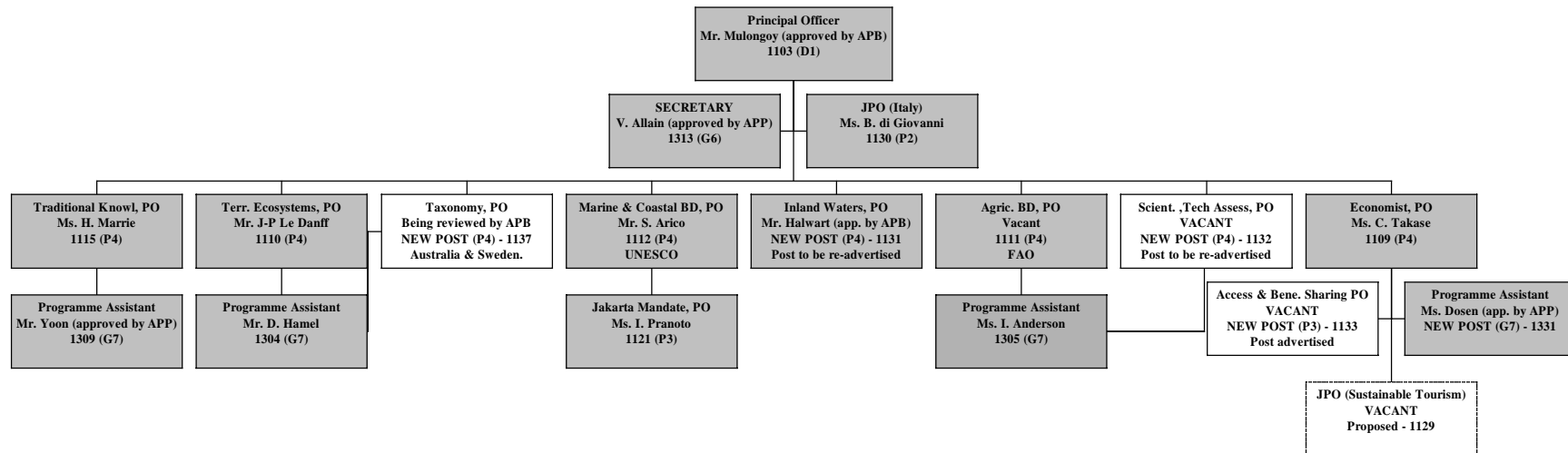
- See details of contributions to the BZ Trust Fund in Annex VI to this report

## Annex II Executive Direction, Management & Inter-governmental Affairs

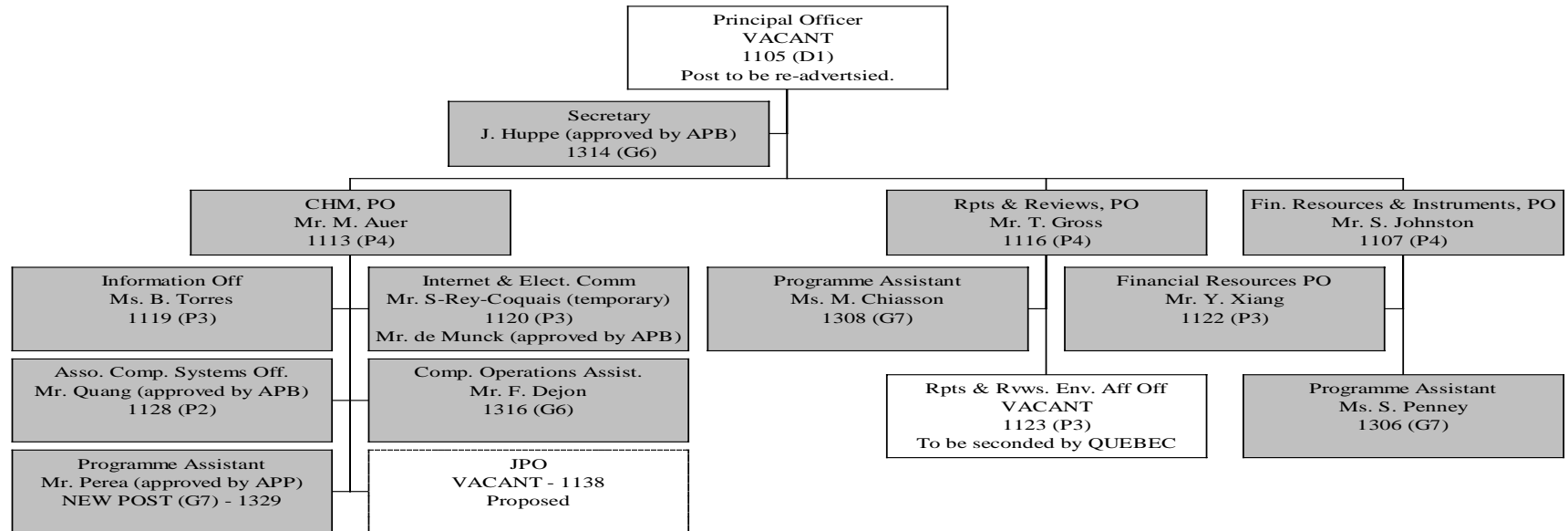




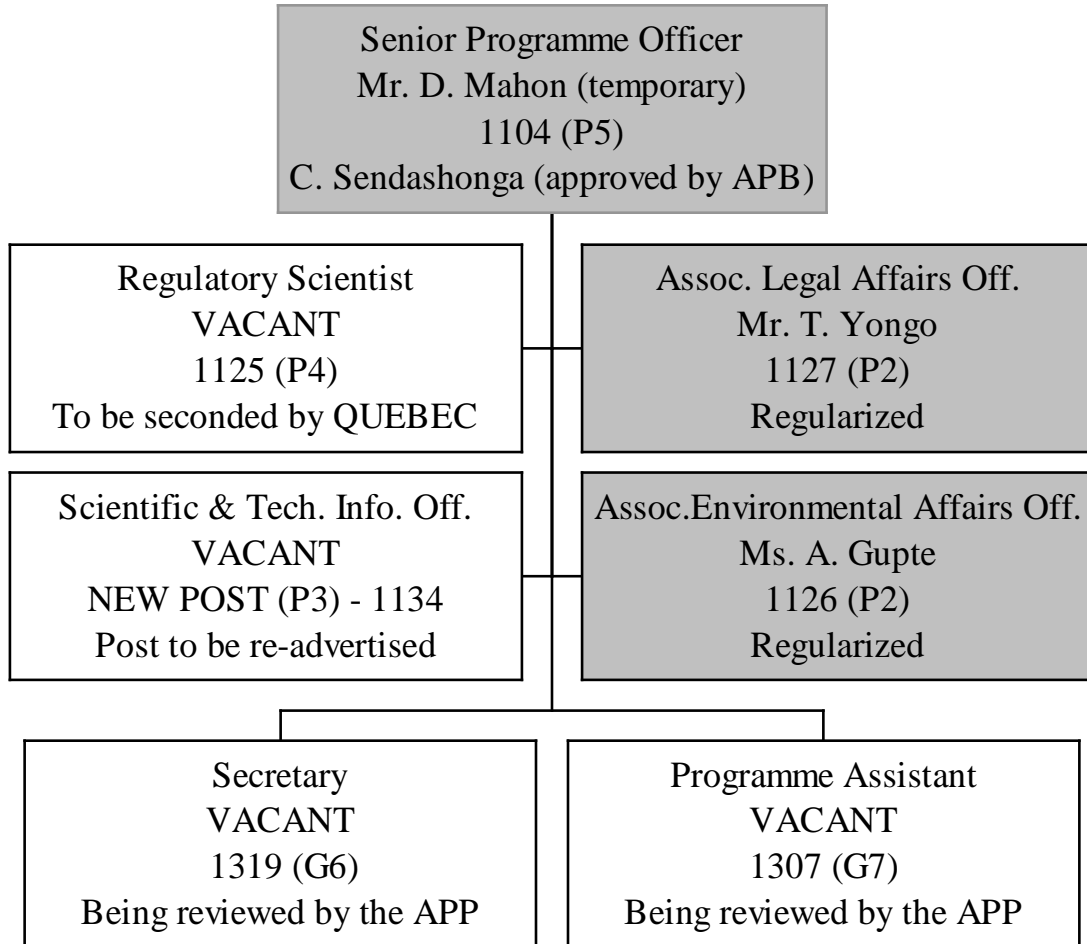
## Scientific, Technical & Technological Matters



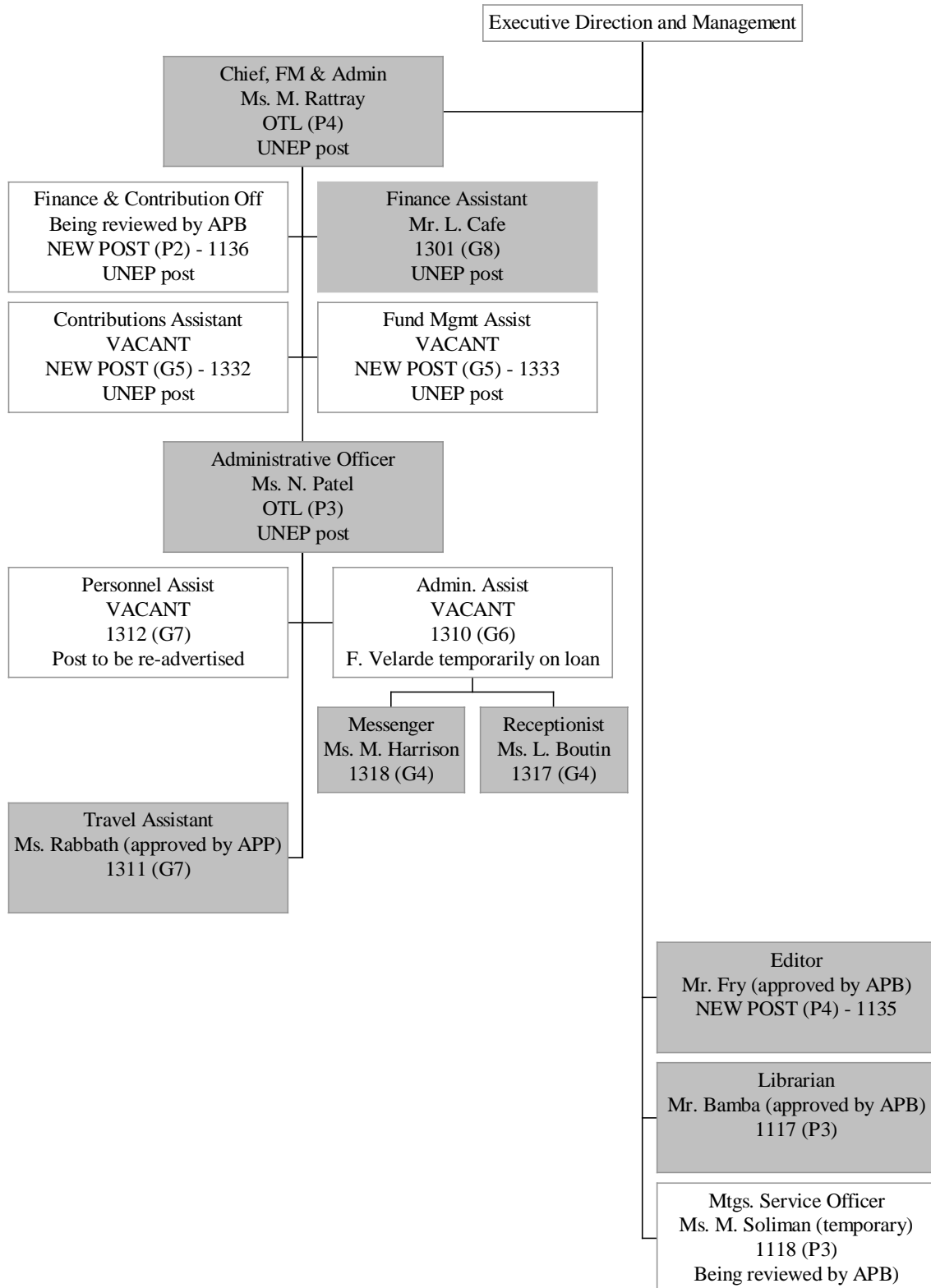
## Implementation & Communication



## Biosafety



# Support Services



ANNEX IIIGENERAL TRUST FUND FOR ADDITIONAL VOLUNTARY CONTRIBUTIONS IN SUPPORT OF APPROVED ACTIVITIES UNDER THE CONVENTION  
ON BIOLOGICAL DIVERSITY (BE)

Status of Contribution as at 31 May 1999 (in United States dollars)

COUNTRIES	Unpaid Pledges as at 31.12.98	Adjustments	Pledges for 1999		Collections in 1999 for prior years	Collections in 1999 for 1999 & futures yrs	Unpaid pledges for 1999 & prior years
Australia	0	0	19,290	4/	0	19,290	0
Canada	0	0	66,556	11/	0	66,556	0
Colombia	0	0	350,000	6/	0	350,000	0
Netherlands	5,200	0	0	1/	0	0	5,200
Netherlands	10	(10)	0	2/	0	0	0
Sweden	0	0	59,232	5/	0	0	59,232
Switzerland	2,000	0	0	3/	0	0	2,000
UK	0	0	32,362	8/	0	32,362	0
UK	0	0	32,362	9/	0	32,362	0
UK	0	0	32,362	10/	0	32,362	0
FAO	0	0	107,000	7/	0	107,000	0
Total	7,210	(10)	699,165		0	639,932	66,432

1/Netherlands contribution towards travel & lodging expenses of the 11 participants "Meeting of the Liaison Group on Biological Indicators project no. WW113407 (moved from BZ)

2/Netherlands pledge for Extended Biosafety Bureau Meeting -Oct'98

3/ Switzerland: COP Bureau Meeting (June-July 1997)

4/Australia A\$30,000 contribution for approved activities

5/Sweden 50% covered by SIDA for Taxonomy position (SEK1.45million for 3 years from March 1999-2002)

6/Colombia Host Government - Biosafety Meeting Cartagena 12-25 February 1999

7/FAO-Italy- Ms Bunning

8/UK pledged UK Pounds 20,000 for cost of developing a CBD implementation assessment framework (WCMC)

9/UK pledged UK Pounds 20,000 for cost of preparing a Convention handbook

10/UK pledged UK Pounds 20,000 for cost of further work by Professor Ed Malthy

11/Canada - pledge CND\$100,000

ANNEX IV

## GENERAL TRUST FUND FOR ADDITIONAL VOLUNTARY CONTRIBUTIONS TO FACILITATE THE PARTICIPATION OF PARTIES IN THE PROCESS OF THE CONVENTION ON BIOLOGICAL DIVERSITY (BZ)

Status of Contribution as at 31 May 1999 (in United States dollars)

COUNTRIES	Unpaid Pledges as at 31.12.98	Adjustments		Pledges for 1999	Collections in 1998 for 1999	Collections in 1999 for prior years	Collections in 1999 for 1999 & fut. yrs	Unpaid pledges for 1999 & prior years
Australia	0	0	14/	32,150	0	0	32,150	0
Austria	0	0	15/	20,000	0	0	20,000	0
Canada	5,186	0	2/	0	0	0	0	5,186
Denmark	20,000	0	3/	0	0	0	0	20,000
Denmark	0	0	16/	75,000	0	0	0	75,000
EEC	100,229	0	1/	0	0	0	0	100,229
EEC	25,000	0	4/	0	0	0	0	25,000
EEC	88,456	0	5/	0	0	14,880	0	73,576
EEC	87,514	0	6/	0	0	57,356	0	30,158
Finland	20,000	0	7/	0	0	0	0	20,000
Germany	0	0	18/	54,162	0	0	0	54,162
Ireland	20	0	8/	0	0	0	0	20
Ireland	0	0	17/	40,871	0	0	0	40,871
New Zealand	0	0	19/	28,155	0	0	28,155	0
Rep. Korea	50,000	0	9/	0	0	0	0	50,000
Sweden	0	0	11/	168,328	0	0	168,328	0
Switzerland	15,000	0	10/	0	0	0	0	15,000
Switzerland	0	0	13/	72,637	0	0	72,637	0
UK	80,000	0	12/	0	0	80,000	0	0
UK	0	0	20/	80,645	0	0	0	80,645
Total	491,405	0		571,950	0	152,236	321,271	589,847

\*\* Please note that the figures in bracket ( ) means credit

1/ EEC contribution towards cost of least developed countries (60% of ECU75,000) to the Convention on Biological Diversity project no.WW110931-US\$100,000

2/Canada :additional contribution to cover the travel costs for participants from developing countries to SCBD meetings

3/Denmark contribution towards travel costs for participants from developing countries i.e. Estonia, Latvia, Lithuania to travel to the regional meeting before COP-4 in 1998

4/EEC pledge for ECU22,700(US\$25,000) Biosafety Extended Bureau Meeting Montreal 21-22/10/98

5/EEC Contribution ECU125,000 - Grant of a Subsidy Declaration ref. B7-8110/98/258/D4/EH to be paid in two installments(paid equivalent of ECU 50,000)

6/EEC pledged EUR 75,000 related financial commitment B7-8110/98/000930/A4/EH - Biosafety Meeting and the Extraordinary Meeting of COP, Cartagena

- 7/ Finland : Assist the participation of developing countries in the negotiations on Biosafety Protocol
- 8/Ireland-Meetings of Conference of Parties and SBSTTA
- 9/Korea: contributions towards travel costs of participants to the clearing house workshop in Asia
- 10/ Switzerland: SBSTTA
- 11/Sweden pledge of SEK1,300,000 for participation of Developing Country delegates
- 12/UK pledged (Pounds 50,000) cost of facilitating developing country participation in the Biosafety meeting February '99- Colombia
- 13/Switzerland pledged CHF 100,000 for Biosafety Meeting 22-23 February 1999- Colombia
- 14/Australia pledged A\$50,000for the participation of Pacific Island Parties in the BSWG-6 and EX-COP 6 Meetings
- 15/ Austria contribution for participation of Parties in the Biosafety 6 Meeting
- 16/Denmark pledge for travel expenses for Central and Eastern European countries for preparatory meetings of SCBD- 1999
- 17/Ireland pledged IRPounds30,000 for meetings of the SBSTTA
- 18/Germany pledged DM100,000
- 19/New Zealand pledged NZ\$50,000 for the participants of Pacific Island countries for meetings of SBSTTA-4 (21-30 June 1999-Montreal)
- 20/UK pledged (Pounds 50,000) for participation of developing countries in the SBSTTA-4 (21-30 June 1999-Montreal)

ANNEX V

GENERAL TRUST FUND FOR THE CONVENTION ON BIOLOGICAL DIVERSITY (BY)  
As at 31 May 1999

Countries	Unpaid Pledges as at 31/12/98	Pledges for 1999	Collections During 1998 for 1999	Collections in 1999 for prior years	Collections During 1999 for 1999	Unpaid Pledges for Prior Years	Unpaid Pledges for 1999	Unpaid Pledges for 1999 & Prior years
	US \$	US \$	US \$		US \$	US \$	US \$	US \$
Albania	2,292	226		2,292	226	0	0	0
Algeria	10,211	7,075		10,211	7,075	0	0	0
Angola	0	753	0	0	0	0	753	753
Antigua & Barbuda	0	151			151	0	0	0
Argentina	30,634	77,074				30,634	77,074	107,708
Armenia	17,947	828				17,947	828	18,775
Australia	0	111,547	111,547			0	0	0
Austria	0	70,827			70,827	0	0	0
Bahamas	(1,834)	1,129	0			(1,834)	1,129	(705)
Bahrain	1,149	1,280				1,149	1,280	2,429
Bangladesh	2,172	753				2,172	753	2,925
Barbados	705	602				705	602	1,307
Belarus	66,704	6,172				66,704	6,172	72,876
Belgium	69,948	83,020				69,948	83,020	152,968
Belize	1,540	75				1,540	75	1,615
Benin	(359)	151	0			(359)	151	(208)
Bhutan	0	75	75			0	0	0
Bolivia	2,339	527				2,339	527	2,866
Botswana	0	753	753			0	0	0
Brazil	40,168	110,644		40,168	2,033	0	108,611	108,611
Bulgaria	0	1,430				0	1,430	1,430
Burkina Faso	365	151				365	151	516
Burundi	64	75				64	75	139



Countries	Unpaid Pledges as at 31/12/98	Pledges for 1999	Collections During 1998 for 1999	Collections in 1999 for prior years	Collections During 1999 for 1999	Unpaid Pledges for Prior Years	Unpaid Pledges for 1999	Unpaid Pledges for 1999 & Prior years
	US \$	US \$	US \$		US \$	US \$	US \$	US \$
Cambodia	313	75				313	75	388
Cameroon	1,271	978				1,271	978	2,249
Canada	0	207,287			207,287	0	0	0
Cape Verde	1,693	151				1,693	151	1,844
Central African Rep.	527	75				527	75	602
Chad	2,172	75				2,172	75	2,247
Chile	5,106	9,860				5,106	9,860	14,966
China	0	73,236				0	73,236	73,236
Colombia	6,382	8,204				6,382	8,204	14,586
Comoros	2,172	75				2,172	75	2,247
Congo	1,343	226				1,343	226	1,569
Congo Dem.Rep.	2,172	527				2,172	527	2,699
Cook Islands	3,044	75				3,044	75	3,119
Costa Rica	3,044	1,204				3,044	1,204	4,248
Côte d'Ivoire	1,540	677				1,540	677	2,217
Croatia	12,086	2,710				12,086	2,710	14,796
Cuba	11,722	1,957				11,722	1,957	13,679
Cyprus	0	2,559				0	2,559	2,559
Czech Republic	0	9,107				0	9,107	9,107
Denmark	45,951	52,010		46,951	52,010	(1,000)	0	(1,000)
Djibouti	172	75				172	75	247
Dominica	0	75	75			0	0	0
Dominica Rep.	1,021	1,129				1,021	1,129	2,150
Ecuador	2,685	1,505				2,685	1,505	4,190
EEC	0	142,500				0	142,500	142,500
Egypt	0	4,892				0	4,892	4,892
El Salvador	3,044	903				3,044	903	3,947

Countries	Unpaid Pledges as at 31/12/98	Pledges for 1999	Collections During 1998 for 1999	Collections in 1999 for prior years	Collections During 1999 for 1999	Unpaid Pledges for Prior Years	Unpaid Pledges for 1999	Unpaid Pledges for 1999 & Prior years
	US \$	US \$	US \$		US \$	US \$	US \$	US \$
Equatorial Guinea	2,172	75				2,172	75	2,247
Eritrea	0	75	75			0	0	0
Estonia	5,371	1,129				5,371	1,129	6,500
Ethiopia	1,693	452				1,693	452	2,145
Fiji	705	301				705	301	1,006
Finland	0	40,795			40,795	0	0	0
France	0	492,251			492,251	0	0	0
Gabon	1,149	1,129				1,149	1,129	2,278
Gambia	445	75				445	75	520
Georgia	37,948	1,430				37,948	1,430	39,378
Germany	0	738,226			430,000	0	308,226	308,226
Ghana	2,292	527				2,292	527	2,819
Greece	0	26,419				0	26,419	26,419
Grenada	1,343	75				1,343	75	1,418
Guatemala	4,582	1,355				4,582	1,355	5,937
Guinea	1,482	226				1,482	226	1,708
Guinea-Bissau	1,693	75				1,693	75	1,768
Guyana	1,343	75				1,343	75	1,418
Haiti	1,003	151				1,003	151	1,154
Honduras	2,292	226				2,292	226	2,518
Hungary	0	9,032				0	9,032	9,032
Iceland	0	2,409			2,409	0	0	0
India	18	22,505				18	22,505	22,523
Indonesia	18,298	13,849				18,298	13,849	32,147
Iran	60,427	14,527				60,427	14,527	74,954
Ireland	0	16,860	16,860			0	0	0
Israel	0	25,967				0	25,967	25,967

Countries	Unpaid Pledges as at 31/12/98	Pledges for 1999	Collections During 1998 for 1999	Collections in 1999 for prior years	Collections During 1999 for 1999	Unpaid Pledges for Prior Years	Unpaid Pledges for 1999	Unpaid Pledges for 1999 & Prior years
	US \$	US \$	US \$		US \$	US \$	US \$	US \$
Italy	0	408,854			408,854	0	0	0
Jamaica	638	452				638	452	1,090
Japan	998,797	1,425,000		998,797	520,778	0	904,222	904,222
Jordan	638	452				638	452	1,090
Kazakhstan	64,035	4,968				64,035	4,968	69,003
Kenya	559	527		559	543	0	(16)	(16)
Kiribati	0	75	75			0	0	0
Korea, Dem. People's Rep.	14,465	1,430				14,465	1,430	15,895
Korea, Rep. of	0	74,816				0	74,816	74,816
Kyrgyzstan	4,029	602				4,029	602	4,631
Lao PDR	20	75				20	75	95
Latvia	0	1,806				0	1,806	1,806
Lebanon	(358)	1,204				(358)	1,204	846
Lesotho	477	151				477	151	628
Liechtenstein	319	452				319	452	771
Lithuania	0	1,656				0	1,656	1,656
Luxembourg	0	5,118			5,118	0	0	0
Macedonia	319	301				319	301	620
Madagascar	22	226		22	226	0	0	0
Malawi	0	151				0	151	151
Malaysia	8,935	13,548		8,935		0	13,548	13,548
Maldives	690	75				690	75	765
Mali	1,693	151				1,693	151	1,844
Marshall Island	0	75				0	75	75
Mauritania	1,003	75				1,003	75	1,078
Mauritius	0	677	677			0	0	0

Countries	Unpaid Pledges as at 31/12/98	Pledges for 1999	Collections During 1998 for 1999	Collections in 1999 for prior years	Collections During 1999 for 1999	Unpaid Pledges for Prior Years	Unpaid Pledges for 1999	Unpaid Pledges for 1999 & Prior years
	US \$	US \$	US \$		US \$	US \$	US \$	US \$
Mexico	80,124	73,762		41,940		38,183	73,762	111,945
Micronesia, Fed. States	0	75				0	75	75
Moldova, Rep. of	18,807	1,355				18,807	1,355	20,162
Monaco	638	301		620		18	301	319
Mongolia	638	151		638		0	151	151
Morocco	(2,114)	3,086			2,875	(2,114)	211	(1,903)
Mozambique	0	75				0	75	75
Myanmar	2,172	602		700		1,472	602	2,074
Namibia	447	527				447	527	974
Nauru	2,292	75				2,292	75	2,367
Nepal	(2,383)	301				(2,383)	301	(2,082)
Netherlands	0	122,762			122,762	0	0	0
New Zealand	0	16,634	16,634			0	0	0
Nicaragua	2,292	75				2,292	75	2,367
Niger	1,693	151				1,693	151	1,844
Nigeria	0	3,011				0	3,011	3,011
Niue	0	75	75			0	0	0
Norway	(752)	45,913	0		45,161	(752)	752	0
Oman	0	3,839	3,839			0	0	0
Pakistan	3,819	4,441				3,819	4,441	8,260
Panama	1,444	978				1,444	978	2,422
Papua New Guinea	(502)	527	0			(502)	527	25
Paraguay	1,343	1,054				1,343	1,054	2,397
Peru	8,057	7,150		4,228		3,829	7,150	10,979
Philippines	0	6,021			6,021	0	0	0
Poland	0	15,580				0	15,580	15,580
Portugal	17,870	31,387		17,870		0	31,387	31,387

Countries	Unpaid Pledges as at 31/12/98	Pledges for 1999	Collections During 1998 for 1999	Collections in 1999 for prior years	Collections During 1999 for 1999	Unpaid Pledges for Prior Years	Unpaid Pledges for 1999	Unpaid Pledges for 1999 & Prior years
	US \$	US \$	US \$		US \$	US \$	US \$	US \$
Qatar	20	2,484				20	2,484	2,504
Romania	0	5,043			5,043	0	0	0
Russian Federation	645,569	111,923				645,569	111,923	757,492
Rwanda	1,003	75				1,003	75	1,078
St. Kitts & Nevis	0	75			75	0	0	0
St. Lucia	0	75			75	0	0	0
Saint Vincent & Grenadines	1,343	75				1,343	75	1,418
Samoa	0	75	75			0	0	0
San Marino	0	151				0	151	151
Senegal	312	452	0			312	452	764
Seychelles	3,044	151				3,044	151	3,195
Sierra Leone	2,172	75				2,172	75	2,247
Singapore	0	13,247			13,247	0	0	0
Slovak	0	2,935				0	2,935	2,935
Slovenia	0	4,591	4,591			0	0	0
Solomon Is.	1,693	75				1,693	75	1,768
South Africa	0	27,548	27,548			0	0	0
Spain	0	194,868		194,868		0	0	0
Sri Lanka	638	903				638	903	1,541
Sudan	1,693	527				1,693	527	2,220
Suriname	0	301				0	301	301
Swaziland	0	151	151			0	0	0
Sweden	0	81,590				0	81,590	81,590
Switzerland	(14,227)	91,450	0		77,223	(14,227)	14,227	0
Syria	6,714	4,817				6,714	4,817	11,531
Tajikistan	511	376				511	376	887

Countries	Unpaid Pledges as at 31/12/98	Pledges for 1999	Collections During 1998 for 1999	Collections in 1999 for prior years	Collections During 1999 for 1999	Unpaid Pledges for Prior Years	Unpaid Pledges for 1999	Unpaid Pledges for 1999 & Prior years
	US \$	US \$	US \$		US \$	US \$	US \$	US \$
Togo	1,693	75				1,693	75	1,768
Tonga	0	75				0	75	75
Trinidad & Tobago	1,915	1,280				1,915	1,280	3,195
Tunisia	0	2,107	2,107			0	0	0
Turkey	28,081	33,118				28,081	33,118	61,199
Turkmenistan	5,371	602				5,371	602	5,973
Uganda	735	301				735	301	1,036
Ukraine	144,523	22,731				144,523	22,731	167,254
U. K.	0	383,113			383,113	0	0	0
Un.Rep. of Tanzania	1,003	226				1,003	226	1,229
Uruguay	12,173	3,613				12,173	3,613	15,786
Uzbekistan	9,160	2,785				9,160	2,785	11,945
Vanuatu	0	75				0	75	75
Venezuela	(31,708)	13,247				(31,708)	13,247	(18,461)
Viet Nam	638	527				638	527	1,165
Yemen	1,343	753				1,343	753	2,096
Zambia	1,003	151				1,003	151	1,154
Zimbabwe	0	677			677	0	0	0
Sub Total	2,554,343	5,699,995	185,157	1,173,931	3,091,723	1,380,412	2,423,115	3,803,527
Additional Contributions								
USA	0	150,000	0	0	150,000	0	0	0
Canada	0	800,000	0	0	800,000	0	0	0
Quebec	0	200,000	0	0	200,000	0	0	0
Grand Total	2,554,343	6,849,995	185,157	1,173,931	4,241,723	1,380,412	2,423,115	3,803,527

ANNEX VI

LIST OF MEETINGS TO BE ORGANIZED BY THE  
SECRETARIAT OF THE CONVENTION ON BIOLOGICAL DIVERSITY  
(As of 31 January 1999)

<u>Dates</u>	<u>Meeting Title</u>	<u>Venue</u>
21-25 June 1999	Fourth Meeting of SBSTTA	Montreal
28-30 June 1999	Intersessional Meeting on the Operations of the Convention	Montreal
4-8 October 1999	Expert Panel on Access and Benefit-sharing	Costa Rica
24-28 January 2000	Ad Hoc Working Group on Article 8 (j)	Montreal
31 January - 4 February 2000	Fifth Meeting of SBSTTA	Montreal
15-26 May 2000	Fifth Meeting of the COP	Nairobi

ANNEX VII

SUBSIDIARY BODY ON SCIENTIFIC,  
TECHNICAL AND TECHNOLOGICAL ADVICE  
Fourth Meeting  
Montreal, 21-25 June 1999

PROVISIONAL AGENDA

1. Opening of the meeting.
2. Organizational matters:
  - 2.1 Election of officers;
  - 2.2 Adoption of the agenda;
  - 2.3 Organization of work
3. Reports:
  - 3.1 Cooperation with other bodies;
  - 3.2 Progress in the work programmes on thematic areas.
4. Priority issues:
  - 4.1 Programme of work of SBSTTA;
  - 4.2 Ad hoc technical expert groups: establishment of the terms of reference;
  - 4.3 Global Taxonomy Initiative: advice on its further advancement;
  - 4.4 Assessment of the status and trends and options for conservation and sustainable use of terrestrial biological diversity (drylands, Mediterranean, arid, semi-arid, grassland, and savannah ecosystems);
  - 4.5 Development of guiding principles for the prevention of impacts of alien species, by identifying priority areas of work on isolated ecosystems and by evaluating and giving recommendations for further development of the Global Invasive Species Programme (GISP), with a view to cooperation;
  - 4.6 Consideration of the consequences of the use of the new technology for the control of plant gene expression for the conservation and sustainable use of biological diversity;
  - 4.7 Incorporation of biological diversity considerations into environmental impact assessment;
  - 4.8 Development of approaches and practices for the sustainable use of biological resources, including tourism.
5. Draft provisional agenda for the fifth meeting of SBSTTA.



6. Date and venue of the fifth meeting of SBSTTA.
7. Other matters.
8. Adoption of the report.
9. Closure of the meeting.

LIST OF DOCUMENTS FOR THE FOURTH MEETING OF THE  
SUBSIDIARY BODY ON SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL ADVICE

1. Provisional Agenda and Annotated Provisional Agenda
2. Report on co-operation with other bodies.
3. Progress report on the implementation of programmes of work on thematic areas.
4. Proposal on the draft programme of work of the Subsidiary Body on Scientific, Technical and Technological Advice.
5. Terms of reference for the ad hoc technical expert groups.
6. Further advancement of the Global Taxonomy Initiative.
7. Assessment of the status and trends and options for conservation and sustainable use of terrestrial biological diversity: drylands, arid, semi-arid, grassland, and savannah ecosystems.
8. Development of guiding principles for the prevention of impacts of alien species by identifying priority areas of work on isolated ecosystems and by evaluating and giving recommendations for the further development of the Global Invasive Species Programme, with a view to co-operation.
9. Consequences of the use of the new technology for the control of plant gene expression for the conservation and sustainable use of biological diversity.
10. Synthesis of reports and case studies relating to environmental impact assessment.
11. Development of approaches and practices for the sustainable use of biological resources, including tourism.
12. Draft provisional agenda for the fifth meeting of SBSTTA.
13. Dates and venue of the fifth meeting of SBSTTA.

ANNEX VIII

SUBSIDIARY BODY ON SCIENTIFIC,  
TECHNICAL AND TECHNOLOGICAL ADVICE  
Fifth Meeting  
Montreal, 31 January-4 February 2000

PROVISIONAL AGENDA

Item 1. Organizational Matters

1. Opening of the meeting.
2. Election of officers.
3. Adoption of the agenda.
4. Organization of work.

Item 2. Reports

5. Report on co-operation with other bodies.
6. Report on the roster of experts.
7. Report on the independent review of the pilot phase of the clearing-house mechanism.
8. Progress report on the development of indicators.
9. Agricultural biological diversity: outcome of the assessment on ongoing activities and existing instruments and establishment of the multi-year programme of activities.
10. Inland waters biological diversity: ways and means to implement the work programme.
11. Marine and coastal biological diversity: consideration of implementation tools for the programme of work.
12. Forest biological diversity: status and trends and identification of options for conservation and sustainable use.

Item 3. Priority Issues

13. Ecosystem approach: further conceptual elaboration.
14. Programme of work for dryland, mediterranean, arid, semiarid, grassland and savannah biological diversity.
15. Sustainable use of the components of biological diversity: identification of sectoral activities that could adopt biodiversity-friendly practices and technologies.
16. Establishment of guidelines for the second National Reports.

Item 4. Final Matters

17. Draft provisional agenda for the sixth meeting of SBSTTA.
18. Date and venue of the sixth meeting of SBSTTA.
19. Other matters.
20. Adoption of the report.
21. Closure of the meeting.

LIST OF DOCUMENTS FOR THE FIFTH MEETING OF THE  
SUBSIDIARY BODY ON SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL ADVICE

1. Report on co-operation with other bodies.
2. Report on criteria for utilization of the roster of experts.
3. Report on the independent review of the pilot-phase of the clearing-house mechanism.
4. Progress report on the development of biological diversity indicators.
5. Outcome of the assessment exercise on agricultural biological diversity and draft proposal for the multi-year programme of activities.
6. Ways and means to implement the programme of work on inland waters biological diversity.
7. Consideration of implementation tools for the programme of work on marine and coastal biological diversity.
8. Forest biological diversity: status and trends and identification of options for conservation and sustainable use.
9. Further conceptual elaboration on the Ecosystem Approach.
10. Proposal of a programme of work for dryland, mediterranean, arid, semiarid, grassland and savannah biological diversity.
11. Sustainable use of components of biological diversity: identification of sectoral activities that could adopt biodiversity-friendly practices and technologies.
12. Synthesis of information contained in national reports on the implementation of the Convention and further guidelines on the preparation of national reports.
13. Draft provisional agenda for the sixth meeting of SBSTTA.

ANNEX IX

INTER-SESSIONAL MEETING ON  
THE OPERATIONS OF THE CONVENTION  
Montreal, 28 - 30 June 1999

PROVISIONAL AGENDA

1. Opening of the meeting
2. Organizational Matters
  - 2.1 Election of officers
  - 2.2 Adoption of the agenda
  - 2.3 Organization of work
3. Review of the operations of the Convention and the programme of work.
  - 3.1 Consideration of the operations of other conventions
  - 3.2 Review of experience of the operations of the Convention
4. Access to Genetic Resources and Benefit-Sharing
  - 4.1 Review of access to genetic resources and benefit-sharing arrangements and consideration of options
  - 4.2 Ex-situ collections which were acquired prior to the entry into force of the Convention on Biological Diversity and which are not addressed by the Commission on Genetic Resources for Food and Agriculture of the Food and Agriculture Organization of the United Nations
  - 4.3 The relationship between intellectual property rights and the relevant provisions of the Agreement on Trade-Related Aspects of Intellectual Property Rights and the Convention on Biological Diversity
5. Other matters
6. Adoption of the report
7. Closure of the meeting

LIST OF DOCUMENTS FOR THE INTER-SESSIONAL MEETING  
ON THE OPERATION OF THE CONVENTION

<u>Symbol</u>	<u>Title</u>
UNEP/CBD/ISOC/1	Provisional agenda
UNEP/CBD/ISOC/1/Add.1	Annotated provisional agenda
UNEP/CBD/ISOC/2	Analysis of the development and experience of the operations of other conventions and agreements
UNEP/CBD/ISOC/3	Review of access and benefit sharing arrangements
UNEP/CBD/ISOC/4	Report on the information on <u>ex situ</u> collections in accordance with decision IV/8
UNEP/CBD/ISOC/5	The relationship between intellectual property rights and the relevant provisions of the Agreement on Trade-Related Aspects of Intellectual Property Rights and the Convention on Biological Diversity
UNEP/CBD/COP/4/27	Report of the fourth meeting of the Conference of the Parties to the Convention on Biological Diversity
UNEP/CBD/COP/4/14	Synthesis of the views of the operations of the Convention
UNEP/CBD/COP/3/35	Relationship of the Convention with other processes: modalities for enhanced cooperation with relevant biodiversity-related bodies
UNEP/CBD/COP/4/21	Measures to promote and advance the distribution of benefits from biotechnology in accordance with Article 19
UNEP/CBD/COP/4/23/Rev.1	Review of national, regional and sectoral measures and guidelines on access to genetic resources
UNEP/CBD/COP/4/Inf.7	Synthesis of case studies on benefit-sharing
UNEP/CBD/COP/3/23	The Convention on Biological Diversity and the Agreement on Trade-Related Intellectual Property Rights (TRIPs): Relationships and Synergies
UNEP/CBD/COP/3/22	The impact of intellectual property rights systems on the conservation and sustainable use of biological diversity and on the equitable sharing of benefits from its use
	Draft Handbook on the Convention on Biological Diversity

ANNEX X

EXPERT PANEL ON ACCESS AND  
BENEFIT-SHARING

First meeting  
Montreal, 4-8 October 1999

DRAFT PROVISIONAL AGENDA

Item 1. Organizational Matters

1. Opening of the meeting
2. Election of officers
3. Adoption of the agenda
4. Organization of work

Item 2. Access and Benefit-Sharing

5. Best practices on benefit sharing arrangements
6. Development of a common understanding of basic concepts
7. Exploration of options for access and benefit sharing on mutually agreed terms, including the consideration of Annex to decision IV/8

Item 3. Final Matters

8. Other matters
9. Adoption of the report
10. Closure of the meeting

LIST OF DOCUMENTS FOR THE FIRST MEETING OF THE EXPERT PANEL  
ON ACCESS AND BENEFIT-SHARING

1. Review of access and benefit sharing arrangements
2. Text of the Convention
3. Decisions II/15, III/11, III/15, IV/8
4. UNEP/CBD/COP/2/13 Access to genetic resources and benefit-sharing: legislation, administrative and policy information
5. UNEP/CBD/COP/3/20 Access to genetic resources
6. UNEP/CBD/COP/3/22 The impact of intellectual property rights systems on the conservation and sustainable use of biological diversity and the equitable sharing of benefits from its use
7. UNEP/CBD/COP/3/Inf.53 Fair and equitable sharing of benefits arising from the use of genetic resources
8. UNEP/CBD/COP/4/21 Measures to promote and advance the distribution of benefits from biotechnology in accordance with Article 19
9. UNEP/CBD/COP/4/23 Review of national, regional and sectoral measures and guidelines on access to genetic resources
10. UNEP/CBD/COP/4/Inf.7 Synthesis of case studies on benefit sharing
11. UNEP/CBD/COP/4/Inf.10 Best practices for access to genetic resources, International Workshop, Cordoba, 16-17 January 1998
12. UNEP/CBD/COP/4/Inf.16 Access to genetic resources and means for fair and equitable benefit sharing - a case study, April 1998, submission by the Government of Switzerland
13. UNEP/CBD/COP/4/Inf. 30
14. Compilation of Case Studies on Benefit-sharing

ANNEX XI

FIRST MEETING OF THE AD HOC OPEN-ENDED  
INTERSESSIONAL WORKING GROUP TO ADDRESS  
THE IMPLEMENTATION OF ARTICLE 8(J) RELATED  
PROVISINS OF THE CONVENTION  
24-28 January, 2000

DRAFT PROVISIONAL AGENDA

1. Opening of the meeting.
2. Adoption of the Agenda.
3. Organization of work.
4. Consideration of the background document (synthesis of case studies) prepared by the Executive Secretary (Para.10, Decision COP IV/9).
5. Development of a programme of work, based on the structure of the elements in the Madrid report (UNEP/CBD/COP/4/10/Add.1):
  - Consideration of the priorities of work.
  - Identification of workplan objectives and activities to be directed to the Conference of the Parties and SBSTTA, taking into account the programme of work of other related thematic areas, and other international bodies or processes.
  - identification for collaboration and coordination with other international bodies or process to foster synergy and avoid duplication of work.
  - Consideration on measures to strengthen cooperation and to propose mechanisms that support cooperation at the international level among indigenous and local communities embodying traditional lifestyles relevant to the conservation and sustainable use of biological diversity.
6. Provision of advice on the application and development of legal and other forms of protection for the knowledge, innovations and practices of indigenous and local communities embodying traditional lifestyles relevant for the conservation and sustainable use of biological diversity:
7. Other matters.
8. Adoption of the report.
9. Closure of the meeting.



ANNEX XII

PARTIES AND GOVERNMENTS WHICH HAVE  
SUBMITTED A FULL NATIONAL REPORT

- |                        |   |
|------------------------|---|
| 1. Argentina           | 40. Monaco  |
| 2. Australia           | 41. Mongolia  |
| 3. Austria             | 42. Mozambique  |
| 4. Belarus             | 43. Namibia   |
| 5. Belgium             | 44. Nepal   |
| 6. Benin               | 45. Netherlands   |
| 7. Bhutan              | 46. New Zealand   |
| 8. Bolivia             | 47. Norway  |
| 9. Botswana            | 48. Oman  |
| 10. Brazil             | 49. Panama  |
| 11. Bulgaria           | 50. Peru  |
| 12. Cameroon           | 51. Philippines   |
| 13. Canada             | 52. Poland  |
| 14. China              | 53. Portugal  |
| 15. Colombia           | 54. Qatar   |
| 16. Costa Rica         | 55. Republic of Korea                                       |
| 17. Denmark            | 56. Romania   |
| 18. Djibouti           | 57. Russian Federation                                      |
| 19. Dominican Republic | 58. Samoa   |
| 20. Egypt              | 59. Senegal   |
| 21. Eritrea            | 60. Seychelles  |
| 22. Estonia            | 61. Singapore   |
| 23. European Community | 62. Slovakia  |
| 24. Finland            | 63. Slovenia  |
| 25. France             | 64. South Africa  |
| 26. Germany            | 65. Spain   |
| 27. Greece             | 66. Sweden  |
| 28. Hungary            | 67. Switzerland   |
| 29. India              | 68. Thailand  |
| 30. Indonesia          | 69. Tunisia   |
| 31. Ireland            | 70. Turkey  |
| 32. Israel             | 71. Uganda  |
| 33. Italy              | 72. Ukraine   |
| 34. Japan              | 73. United Kingdom of Great Britain<br>and Northern Ireland |
| 35. Latvia             | 74. Vanuatu   |
| 36. Lebanon            | 75. Vietnam   |
| 37. Madagascar         |   |
| 38. Malaysia           |   |
| 39. Mexico             |   |

PARTIES WHICH HAVE SUBMITTED  
AN INTERIM NATIONAL REPORT

1. Algeria
2. Armenia
3. Bahamas
4. Belize
5. Burkina Faso
6. Cape Verde
7. Chad
8. Chile
9. Comoros
10. Cuba
11. Democratic Republic of the Congo
12. Ecuador
13. El Salvador
14. Equatorial Guinea
15. Fiji
16. Gabon
17. Gambia
18. Haiti
19. Jamaica
20. Kazakhstan
21. Kenya
22. Lesotho
23. Malawi
24. Maldives
25. Mali
26. Marshall Islands
27. Niger
28. Rwanda
29. Saint Lucia
30. Sri Lanka
31. Swaziland
32. Togo
33. Trinidad and Tobago
34. Uruguay
35. Uzbekistan
36. Zambia
37. Zimbabwe

PARTIES WHICH HAVE NOT YET SUBMITTED A NATIONAL REPORT

1. Albania
2. Angola
3. Antigua and Barbuda
4. Bahrain
5. Bangladesh
6. Barbados
7. Burundi
8. Cambodia
9. Central African Republic
10. Congo
11. Cook Islands
12. Côte d'Ivoire
13. Croatia
14. Cyprus
15. Czech Republic
16. Democratic People's Republic of Korea
17. Dominica
18. Ethiopia
19. Former Yugoslav Republic of
- 20.
21. Georgia
22. Ghana
23. Grenada
24. Guatemala
25. Guinea
26. Guinea-Bissau
27. Guyana
28. Honduras
29. Iceland
30. Iran (Islamic Republic of)
31. Jordan
32. Kiribati
33. Kyrgyzstan
34. Lao People's Democratic Republic
35. Liechtenstein
36. Lithuania
37. Luxembourg
38. Mauritania
39. Mauritius
40. Micronesia (Federated States of)
41. Morocco
42. Myanmar
43. Nauru
44. Nicaragua
45. Niue
46. Nigeria
47. Pakistan
48. Papua New Guinea
49. Paraguay
50. Republic of Moldova
51. Saint Kitts and Nevis
52. Saint Vincent and the Grenadines
53. San Marino
54. Sierra Leone
55. Solomon Islands
56. Sudan
57. Suriname
58. Syrian Arab Republic
59. Tajikistan
60. Tonga
61. Turkmenistan
62. United Republic of Tanzania
63. Venezuela
64. Yemen