

CONVENTION ON BIOLOGICAL DIVERSITY

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QUARTERLY REPORT ON THE ADMINISTRATION OF THE CONVENTION ON BIOLOGICAL DIVERSITY

(Note by the Executive Secretary)

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I. INTRODUCTION

1. Decision III/24 of the Conference of the Parties requested the Executive Secretary to prepare a quarterly report on the administration of the Convention including such matters as the staff lists, status of contributions, progress on the implementation of the medium term work programme and financial expenditures. The requirements to report on a regular basis to the Parties were further elaborated under the Administrative Arrangements between the Secretariat and UNEP which were endorsed by the Conference of the Parties in decision IV/17.
2. The Executive Secretary has prepared this Quarterly Report which contains a summary of key activities to implement the decisions of the Conference of the Parties and other relevant matters from 13 March to 31 December 1998. The report also highlights significant events or activities up to 31 January 1999.

II. EXECUTIVE DIRECTION AND MANAGEMENT

3. During the period covered by this Report, the Secretariat has organised the fourth meeting of the COP in Bratislava, Slovakia from 4 to 15 May 1998 and the fifth meeting of the Open-ended *Ad Hoc* Meeting of the Working Group on Biosafety from 17 to 28 August 1998. Reports of these meetings have been distributed by the Secretariat (see documents UNEP/CBD/COP/4/29 and UNEP/CBD/BSWG/5/5). A booklet of the decisions of COP/4 has also been published in English, and versions in all languages are in preparation.
4. The COP at its fourth meeting decided that the fifth meeting of the COP would be held in Nairobi in the second quarter of 2000 for a period of two weeks. After examination of the international calendar, the COP Bureau decided to schedule the fifth meeting from 15 to 26 May 2000. The decisions of the fourth meeting of the COP also provide for a series of intersessional meetings. Preliminary dates, locations and agendas have been prepared by the Secretariat after consultation with Parties and relevant Bureaux. Annex VI gives details of these meetings.
5. Preparations for the fifth meeting of the COP have begun. A provisional agenda for the meeting is being considered by the Bureau of the COP. Preliminary discussions regarding the priorities for this meeting, namely, drylands, sustainable use including tourism and access to genetic resources with other institutions and Parties have started.

III. IMPLEMENTATION OF THE ADMINISTRATIVE ARRANGEMENTS

6. The Secretariat regularly reviews implementation of the Administrative Arrangements endorsed by the COP in decision IV/17. Status of implementation of the agreed Administrative Arrangements is presented in Annex I. The following highlights the key features of the Secretariat's activities with respect to implementation of these Arrangements.

A. Personnel Arrangements

7. As at 31 December 1998, the Secretariat had 35 staff members, of which 22 are Professionals and 13 General Service staff. The COP approved a total of 40 posts for the

1997-1998 biennium (22 Professionals and 18 General Services) and 47 posts for the 1999-2000 biennium (27 Professionals and 20 General Services). Of the 22 Professional posts, 11 posts have been regularized and 5 posts are encumbered by short-term staff. Of the 13 General Service posts, 9 posts have been regularized and 4 posts are encumbered by short-term staff, of which 1 post will be funded by UNEP in the next biennium.

8. In addition, five Professional positions are filled by staff funded from other sources : UNEP, FAO, UNESCO and the Government of Italy. UNEP also seconded a Programme Officer to the Secretariat from 1 September to 31 December 1998, in order to maintain liaison between the Secretariat and UNEP on UNEP/GEF activities that may advance the work programme of the Secretariat.

9. All Secretariat posts for 1998, as well as all the new posts approved for the next biennium have been classified and advertised. These new posts include 7 Professional posts (5 to be funded by the Secretariat budget, one by UNEP and one by the Governments of Australia and Sweden). They also include 4 General Service posts (2 funded from the Secretariat budget and 2 posts funded by UNEP).

10. An organigram for the Secretariat is provided in Annex II.

11. The Secretariat staff representative was established in September 1997. The Executive Committee (3 Professionals and 3 General Service staff), in response to issues brought to its attention by staff members, has had a series of ad hoc meetings to discuss issues with staff and management and subsequently follows up with a view to seeking appropriate solutions, for example, regarding promotions, transfers, the allocation of special post allowances for those fulfilling higher level functions for substantive periods, contractual problems, and so forth. An important role has been the active involvement of the EXCOM in all departmental panels during the selection and recruitment process for Secretariat posts. The Staff Association is pleased to report that its letter dated 14 July 1998, outlining many outstanding staffing problems and concerns, which emanated from a lengthy meeting with the Executive Secretary, is now receiving attention by UNEP/UNON.

B. Financial Arrangements

12. With regard to budgetary matters, as at 31 December 1998, of the total pledged contributions of US\$5,963,226 for 1998 to the BY Trust Fund, the total contributions received amount to US\$4,460,614. Total unpaid pledges to the BY Trust Fund for 1998 and prior years amount to US\$2,317,373.

13. Several Parties also contributed additional voluntary funds in support of approved activities under the Convention (BE Trust Fund). The total pledged for 1998 for this purpose was US\$755,979. Total unpaid pledges for 1998 and prior years to the BE Trust Fund is US\$32,200. The Governments of the United Kingdom, Australia and Sweden have made contributions that will contribute to the recruitment of additional staff. Canada has contributed and the United Kingdom has pledged funds for the Clearing House Mechanism (CHM). Other pledges included the Slovak Republic for the Host Government Agreement (COP/4), and Denmark, the Netherlands and Switzerland for the Extended Biosafety Bureau Meeting. Details of the BE Trust Fund are contained in Annex III.

14. Further contributions totaling US\$1,291,624 were pledged for additional voluntary contributions to facilitate the participation of Parties to the Convention Process (BZ Trust Fund). Total unpaid pledges for 1998 and prior years to the BZ Trust Fund is US\$567,698. Annex IV contains details.

15. Invoices were sent in 1997 and 1998 to the 174 Parties to the Convention listed in Appendix 1 to Annex 1, Part A of Decision III/24, inviting them to pay their contributions to the Trust Fund for 1998 and 1999. The Statement of Contributions to the CBD Trust Fund, as provided by the Trustee as at 31 December 1998 is attached as Annex V to this report.

16. An increase in the payment of contributions by the Parties to the Convention to the various Trust Funds has been noted in comparison to previous years. Continuing this effort will help avoid potential cashflow difficulties.

IV. IMPLEMENTATION OF THE DECISIONS OF THE CONFERENCE OF THE PARTIES

SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL MATTERS DIVISION

Decision IV/1 REPORT AND RECOMMENDATIONS OF THE THIRD MEETING OF
THE SUBSIDIARY BODY ON SCIENTIFIC, TECHNICAL AND
TECHNOLOGICAL ADVICE AND INSTRUCTIONS BY THE
CONFERENCE OF THE PARTIES TO THE SUBSIDIARY BODY ON
SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL ADVICE

17. Decision IV/1 was transmitted in July 1998 to all National Focal Points and relevant organizations by the Executive Secretary, in a letter inviting them to submit appropriate information to the Secretariat by 31 October 1998. To date, no such information has been received in the Secretariat.

18. In its decision IV/1, the COP took note of the report of the third meeting of the Subsidiary Body on Scientific, Technical and Technological Advice, endorsed SBSTTA III/5 recommendation, on indicators of biological diversity, and requested the Executive Secretary to undertake the work outlined in its annex. With a view to developing and proposing to SBSTTA a core set of indicators on biological diversity, the Secretariat is working towards the organization of a liaison group meeting to be held before April 1999. The Government of the Netherlands has indicated that it may be ready to sponsor such a meeting. At present, the Secretariat is developing the procedure for the establishment of a roster of experts for indicators on biological diversity.

19. With respect to decision IV/1B the Secretariat is building a medium term strategy of actions in view of the future work of SBSTTA/5. These actions include the organization of a liaison group on the Ecosystem Approach and activities for the implementation of Element 1 of the Work Programme for Forest Biological Diversity which concerns the Holistic and Inter-sectoral Ecosystem Approaches that integrate the conservation and sustainable use of biological diversity.

20. In addition, and, as developed below, the Secretariat participated in the Workshop on the Ecosystem Approach to the Management and Protection of the North Sea, held in Norway, June 1998, and made a presentation on this item.

21. An international workshop focusing on agricultural biological diversity at ecosystem and production system levels was organised jointly by the Secretariat and FAO, with the support of the Government of the Netherlands as outlined below under the implementation of decision IV/6. The technical experts considered, *inter alia*, the outcome of the Malawi workshop and agreed that greater attention deserves to be placed on promoting sustainable practices and production systems that maintain ecosystem functioning as well as biological diversity at species and generic levels.

22. The last meeting of the COP decided to convene 2 meetings of the SBSTTA, prior to the next meeting of the COP. Accordingly, the Secretariat, in consultation with the SBSTTA Bureau, scheduled the fourth meeting of SBSTTA will be held in Montreal, 21-25 June 1999, immediately followed by the Intersessional meeting on the Operations of the Convention (28-30 June 1999). The fifth meeting of SBSTTA will be held in Montreal 31 January - 4 February 2000, following the meeting of the *Ad hoc* Working Group on Article 8(j).

23. The agendas for the SBSTTA meetings were agreed upon during the last meeting of the Bureau of SBSTTA, which was held as a teleconference on 22 August 1998, as presented in Annex VII and Annex VIII.

24. The documentation that has been prepared for the fourth meeting of SBSTTA consists of concrete, focused technical reports including proposed draft conclusions and recommendations for consideration by SBSTTA. The following papers are currently being translated:

1. Report on co-operation with other bodies.
2. Proposal on the draft programme of work of SBSTTA.
3. Terms of reference for the *ad hoc* technical expert groups meetings.
4. Assessment of the status and trends and options for conservation and sustainable use of terrestrial biological diversity: drylands, arid, semi-arid, grassland, and savannah ecosystems.
5. Development of approaches and practices for the sustainable use of biological resources, including tourism.
6. Synthesis of reports and case-studies relating to environmental impact assessment.
7. Development of guiding principles for the prevention of impacts of alien species by identifying priority areas of work on isolated ecosystems and by evaluating and giving recommendations for the further development of the Global Invasive Species Programme, with a view to co-operation.

25. The assessment of the consequences of the use of the new technology for the control of plant gene expression for the conservation and sustainable use of biological diversity is in preparation by a team of internationally renowned specialists in biotechnology, intellectual property, plant breeding and socio-economic issues. During March the draft technical paper will be revised on the basis of the comments and suggestions of a review panel composed of competent institutions and bodies and experts nominated by each region. In mid April 1999 the technical assessment will be made available to the Parties and organisations for comments and inputs which

will be compiled in an information document for consideration by SBSTTA alongside the working document.

26. The papers will be distributed three months before the fourth meeting of SBSTTA in accordance with the revised *modus operandi* adopted in decision IV/16.

Ad hoc technical expert groups

27. According to Annex I to COP decision IV/ 16, on the *modus operandi* of SBSTTA, a limited number of *ad hoc* technical expert groups on specific priority issues in the SBSTT programme of work may be established for a limited duration. Document UNEP/CBD/SBSTTA/4 has been prepared which outlines terms of reference for 5 groups: a) marine and coastal biological diversity, b) inland water biological diversity, c) dryland ecosystem biological diversity, d) alien species, and e) forest biological diversity.

28. Since SBSTTA is requested to submit the terms of reference of the *ad hoc* technical expert groups for the approval of the COP it will not be possible to establish such expert groups prior to the current intersessional period. Intersessional activities COP/5 will depend upon small liaison groups to assist the Secretariat in preparing the documentation for SBSTTA meetings, in accordance with paragraph 9 of Annex I to decision COP IV/16. These liaison groups will be established by the Executive Secretary, in consultation with the Chairman and the other members of the SBSTTA Bureau. Currently, it is planned to have liaison groups meet on indicators, agrobiodiversity, and drylands.

Rosters of Experts

29. The roster of experts for four thematic issues (marine and coastal biodiversity, agricultural biodiversity, forest biodiversity and inland water biodiversity) have been established. The Secretariat had also received nominations from Parties and countries for inclusion in the rosters of experts on access and benefit sharing, and indicators. The Secretariat is in the process of finalising the database for the rosters of experts, whose formats will be consistent with other rosters developed under the Convention.

30. Paragraph 8 of the Annex to decision IV/5 encourages the Executive Secretary to make full use of the roster of experts on marine and coastal biological diversity. With the assistance of an expert seconded by the Government of the Netherlands, the Secretariat has explored ways and means developing a methodology to promote and enhance the full, efficient and transparent use of the roster of experts on marine and coastal biological diversity. Background documents and a questionnaire have been prepared with the purpose of assisting individual experts to obtain the necessary familiarity with the Convention process and clarifying the role which they can play in the process of implementing the Jakarta Mandate on marine and coastal biological diversity. These background documents and a questionnaire have been sent to all the experts on the existing roster. In addition, a separate letter also has been sent to all those countries who have not yet provided the Secretariat with the names of experts to be included in the roster of experts.

31. In accordance with paragraph 8 of the Annex to decision IV/5, the Executive Secretary should make full use of the roster of experts on marine and coastal biological diversity. With th

assistance of an expert seconded by the Government of the Netherlands, the Secretariat has explored the ways and means, as well as devised a methodology to promote and enhance the full, efficient and transparent use of the Roster of Experts on Marine and Coastal Biological Diversity. Background documents and a questionnaire have been prepared with the objective of guiding individual experts in familiarizing with the Convention process and to clarify the role which they can play in the process of implementing the Jakarta Mandate on marine and coastal biological diversity. The above mentioned background documents and questionnaire were sent to all th experts on the existing roster. In addition, a separate letter also has been sent to all those countries who have not yet provided the Secretariat with the names of experts to be included in the roster. (For access and benefit-sharing, see under decision IV/8).

Further co-operation with DIVERSITAS and with other institutions.

32. At its fourth meeting, the COP underscored the need for SBSTTA to focus on scientific, technical and technological aspects of the Convention, in accordance with Article 25 of th Convention, and, in its decision IV/1, encouraged SBSTTA to further co-operate with DIVERSITAS and other relevant international, regional and national scientific organisations and institutions, particularly on the issues of identification, monitoring and assessment of biological diversity.

33. In this connection, the SBSTTA Bureau noted at its last meeting the relevance of th International Biodiversity Observation Year (IBOY) Programme launched by DIVERSITAS in March 1998 to the work of SBSTTA and the Convention. Accordingly, the Secretariat has had preliminary contact with DIVERSITAS and its Secretariat in order to facilitate preparations for close co-operation.

IV/4 STATUS AND TRENDS OF THE BIOLOGICAL DIVERSITY OF INLAND WATER ECOSYSTEMS AND OPTIONS FOR CONSERVATION SUSTAINABLE USE

34. In July 1998, the Executive Secretary transmitted decision IV/4 on “Status and trends of the biological diversity of inland water ecosystems and options for conservation and sustainabl use” to all National Focal Points and relevant organisations, inviting them to submit relevant information, case studies and inputs to assist the Secretariat in the assessment of the status and trends of the biological diversity of inland water ecosystems and identification of options for conservation and sustainable use. Information has been submitted by: Belgium, The World Conservation Union (IUCN) and The Association of the Southeast Asian Nations.

35. To further develop collaboration with the Administrative Committee on Coordination (ACC) Subcommittee on Water Resources, the Secretariat attended the joint meeting of the ACC Subcommittees on Ocean and Coastal Areas and Water Resources in The Hague, The Netherlands, 21-23 June 1998, to report on the outcome of COP/4 and to enter into collaboration with the ACC Subcommittee on Ocean and Coastal Areas.

36. The Secretariat gave its comments and contribution in replying to a letter sent by the ACC Subcommittee on Water Resources concerning a draft proposal for the compilation of a refined global freshwater resources assessment to meet the needs of integrated water resources management and the proposed terms of reference for an ad hoc expert group meeting.

37. The Secretariat attended the eighth meeting of the Interagency Steering Committee on Water Supply and Sanitation and the nineteenth session of the ACC Subcommittee on Water Resources, held in Beirut, Lebanon from the 28 September-2 October, to follow up the issues dealt with by the ACC Subcommittee, as well as to inform the Subcommittee of decision IV/4 on the biological diversity of inland water ecosystems. During the meeting, the ACC Subcommittee Secretariat underscored the importance of the biodiversity perspective and supported its inclusion in carrying out ACC activities on water resources. Following the meeting and the discussion of the several items, the Secretariat submitted to the ACC Subcommittee an update of the information on inland waters and Small Island Developing States (SIDS), on the basis of decision IV/4, for the report of the Secretary General on progress in the implementation of the Barbados Program of Action for Sustainable Development of SIDS. Also, through the ACC Subcommittee, the Secretariat delivered its inputs to the implementation of the Global Programme of Action for the Protection of the Marine Environment from Land-Based Activities. Co-operation with the other partner organisations of the ACC subcommittee is foreseeable, particularly with regard to co-ordination arrangements - as follow-up to the decisions of the sixth session of the Commission on Sustainable Development (New York, 20 April-1 May 1998) - including a compilation of freshwater assessments.

IV/5 CONSERVATION AND SUSTAINABLE USE OF MARINE AND COASTAL BIOLOGICAL DIVERSITY, INCLUDING A PROGRAMME OF WORK

38. The Secretariat has received additional support from the Government of Sweden in implementation of the Jakarta Mandate, in particular through making available a senior expert to assist in promoting the Mandate and its programme of work at various international and regional fora. This contribution has allowed the Secretariat to promote the Mandate and its programme of work at the Advisory Committee on the Protection of the Sea (ACOPS)-South Africa Conference on Cooperation for Development and Protection of the Marine and Coastal Environment in Sub Saharan Africa (Cape Town, South Africa, November 1998); and, the International Tropical Marine Ecosystems Management Symposium (ITMEMS), organized by the International Coral Reef Initiative (ICRI) (Townsville, Australia, November 1998).

39. Within the above-mentioned framework, the Secretariat has recently been informed of the possible establishment of a joint initiative between the Government of Sweden and the Government of Indonesia aimed at joining forces in assisting in the promotion and implementation of the Jakarta Mandate and its programme of work. A consultation between the two Governments is to be held in Jakarta, in March 1999, to explore the modalities of such a joint initiative.

40. Within the framework of the Regional Organization for the Protection of the Marine Environment (ROPME), a Protocol on Biological Diversity and Establishment of Special Protected Areas is being negotiated for which the Secretariat will provide assistance on the inclusion of Jakarta Mandate provisions. To this end, a first meeting is scheduled to be held in Kuwait in September 1999, to which the Secretariat has been invited to contribute.

41. At the global level, the Secretariat attended the Second London Oceans Workshop, in London, UK, December 1998, in order to promote consideration of the Jakarta Mandate and its programme of work by the process on the review of Chapter 17 of Agenda 21, on oceans and seas,

being carried out within the Commission on Sustainable Development (CSD). The London Workshop was an important CSD intersessional event, prior to the seventh session of the CSD (New York, 1-5 March 1999). The Secretariat has provided input on the Jakarta Mandate, and will contribute to the meeting of the CSD Intersessional Working Group on the Oceans and Seas and Small Island Developing States, as well as to CSD-7.

42. Cooperation has been continuing with the United Nations Division of Ocean Affairs and the Law of the Sea (UNDOALOS). An informal consultation between the Secretariats of the two Conventions is planned for March 1999. Areas of cooperation will include: integrated marine and coastal area management, marine protected areas, and the issue of bioprospecting of deep-sea resources. The Secretariat is also monitoring closely the work of the International Sea Bed Authority in areas relevant to the Convention. Through UNDOALOS, . The Secretariat has contributed inputs to the Secretary-General's Report on Oceans and the Law of the Sea to the fifty-third session of the United Nations General Assembly.

43. An informal consultation was arranged in January 1999 between the Secretariat and the Helsinki Commission (HELCOM), the latter on behalf of the Helsinki Convention. Cooperative linkages have been identified by HELCOM in virtually all the areas covered by the Jakarta Mandate programme of work. The HELCOM proposal will be considered at the twentieth meeting of the Commission, in March 1999. The Commission will inform the Executive Secretary of the outcomes of HELCOM's deliberations.

44. The Secretariat was invited to attend the twenty-sixth Conference of the International Ocean Institute (IOI) in Halifax, November 1998, and contribute input to the Conference theme "The crisis of knowledge." The conference provided an opportunity for the two organizations to explore cooperation. The Executive Secretary of the IOI has proposed elements for a memorandum of cooperation between the two organizations. IOI could assist the implementation of the Jakarta Mandate programme of work in, inter alia, the areas of integrated marine and coastal area management and parataxonomy.

45. In response to the request of the COP at its fourth meeting, the Executive Secretary forwarded the invitation to the United Nations Framework Convention on Climate Change (UNFCCC) to urgently address the issue of coral bleaching as a possible occurrence of global warming and in light of the related potentially severe loss of biological diversity and consequent socio-economic impacts. The same invitation will also be conveyed by the Executive Secretary to the Conference of the Parties to the Ramsar Convention at its seventh meeting in Costa Rica (May 1999).

46. Organizations have been approached to discuss their interest in joining an informal IMCAM Task Force under the Convention, as called upon by the COP in its decision IV/5, to review existing instruments for IMCAM and their relevance to the Convention. This task force will include those agencies responsible for developing existing sets of guidelines on IMCAM, experts from the roster, and other relevant organizations. The task force is expected to be operational in the early second half of 1999. Regional organizations active in the field, such as the Secretariat of the Cartagena Convention, will also participate.

47. Co-operation between UNDOALOS, the United Nations Development Programme (UNDP) and the Convention in the field of training in IMCAM is being discussed, particularly, as

to the contribution of the UNDOALOS-UNDP TRAIN-SEA-COAST Programme to the implementation of capacity-building provisions on IMCAM within the Jakarta Mandat programme of work.

48. It is in the field of IMCAM that cooperation with the Intergovernmental Oceanographic Commission (IOC) of UNESCO has focused since COP/4. IOC has recently launched, together with the National Oceanic and Atmospheric Administration of the US and the Center for the Study of Marine Policy of the University of Delaware, US a Global Web Service on Integrated Coastal Management. CBD is a collaborating partner to the Service. The Service represents a web site exclusively devoted to IMCAM and provides information in all areas of IMCAM, including the history of IMCAM, national profiles, problems and case study examples, coastal laws, news, resources for practitioners, and useful links. The Service is accessible through the web site of the clearing house mechanism to the Convention.

49. The Secretariat has prepared terms of reference for an ad hoc technical expert group on MCPAs under SBSTTA, which will coordinate the implementation of the programme element relating to research on the effects and value of MCPAs on the conservation and sustainable use of marine and coastal biological diversity.

50. The Secretariat has prepared draft terms of reference for an ad hoc technical expert group on mariculture, under SBSTTA.

51. Efforts have also been made by the Secretariat to discuss the topic with the agencies responsible for the coordination and implementation of the project entitled "Development of the Best Practices and Dissemination of Lesson Learned for Dealing with the Global Problem of Alien Species that Threaten Biological Diversity," in particular to those alien species that are found in the marine and coastal environment. The Secretariat has started the compilation of an alien species "incident list", based on the above-mentioned process and on that contained in the National Reports.

IV/6 AGRICULTURAL BIOLOGICAL DIVERSITY

52. The fourth meeting of the Conference of the Parties considered the progress report (UNEP/CBD/COP/4/6), prepared by the Executive Secretary in consultation with the Food and Agriculture Organisation of the United Nations (FAO), on the implementation of the work programme on the conservation and sustainable use of agricultural biological diversity. Pursuant to its consideration of this item, the COP adopted decision IV/6, highlighting the need to expedite the implementation of the work programme on the conservation and sustainable use of agricultural biological diversity, in accordance with COP decision III/11, and to initiate work in areas requiring specific attention.

53. In July 1998, the Secretariat transmitted decision IV/6 to Parties, Governments and concerned organisations, reiterating the COP's invitation for relevant contributions in accordance with its earlier decision III/11. The Secretariat also attended and presented a written statement to the 5th Extraordinary Session of the Commission on Genetic Resources for Food and Agriculture (Rome, June 1998), highlighting the relevant decisions of COP/4 which, *inter alia*, urges maintained momentum in the negotiations of the revision of the International Undertaking on Plant

Genetic Resources in harmony with the Convention, with a view to its timely conclusion before the end of 1999.

54. The Secretariat participated in, and presented an opening statement to, the international workshop on the "Conservation and sustainable use of pollinators in agriculture with an emphasis on bees", that was organised by the Government of Brazil (São Paulo, October 1998), as a contribution to the implementation of decision III/11. The recommendations of the workshop will be made available shortly with a view to encouraging further consideration of the workshop proposals at national and regional levels in preparation for SBSTTA.

55. The Secretariat and FAO, with the support of the Government of the Netherlands, jointly organised an international workshop on "Opportunities, incentives and approaches for the conservation and sustainable use of agricultural ecosystems and production systems, held in Rome from 2-4 December 1998. Experts from each region, selected using the roster of experts established by the COP, and experts from key organisations and bodies, contributed to the assessment process at ecosystem level through reviewing case studies, experiences and lessons learnt. This workshop helped to identify the main elements required to provide enabling environments and technical, policy, institutional and legal incentives for the conservation and sustainable use of on two main outputs: (i) the identification of possible mechanisms (approaches and incentives) for developing and promoting integrated, biodiversity-friendly efforts and actions at the agro-ecosystem level; and (ii) the validation of the process for the analysis of ongoing activities and instruments addressing agricultural biological diversity, using tools such as the analytical matrix and the identification of criteria for setting priorities.

56. The workshop report entitled "Sustaining productive agro-ecosystems and agricultural biodiversity", will be distributed to Parties and interested organisations for information at the fourth meeting of SBSTTA with a view to assisting in the priority setting process for the further development of the multi-year work programme at SBSTTA's fifth meeting (January 2000).

57. In October 1998, with the assistance of a Programme Assistant/Genetic Resources, on a short-term contract as from June 1998, the Secretariat launched the first stage of its home page on agricultural biological diversity which will be gradually expanded on a monthly basis.

IV/7 FOREST BIOLOGICAL DIVERSITY

58. The Conference of the Parties at its fourth meeting (Bratislava, May 1998) has adopted decision IV/7 and its Annex on the programme of work for forest biological diversity under the Convention on Biological Diversity.

59. This programme is at an early stage. Still, the Secretariat has begun to circulate the pertinent information on this Work Programme for Forest Biological Diversity.

60. The Secretariat was represented at the Second meeting of Intergovernmental Forum on Forests (IFF), Geneva, August-September 1998, and, as requested in decision IV/7, its delegate transmitted and presented the decision to the meeting. The meeting also provided the opportunity to further contacts and collaboration with relevant organisations and bodies.

61. The Secretariat was also represented at the Conference of the Parties to the United Nations Convention to Combat Desertification at its second meeting (Dakar, December 1998) and, as requested in decision IV/7, its delegate transmitted and presented the decision to the meeting.

62. In accordance with decision IV/7, paragraph 11, which “requests the Executive Secretary, in implementing the work programme on forest biological diversity to actively continue collaborating and cooperating with the secretariat of the IFF”, the Secretariat, working in close contact with this Secretariat of the IFF, has contributed to the revision of the Implementation Plan of the high level Interagency Task Force on Forests.

63. The Secretariat is the lead agency for Traditional Forest Related Knowledge item in the process of the Intergovernmental Forum on Forests work. In accordance with decision IV/7, paragraph 11, and with the mandate given at the first session of the Intergovernmental Forum on Forests,” the Secretariat is currently preparing a draft note for the Secretary General Report to be considered for substantive discussion at IFF 3 meeting.

64. With respect to decision IV/7, “the Conference of the Parties, looking forward to the outcomes of forthcoming work under the IFF, including the global workshop on underlying causes of deforestation”, the Secretariat has been involved in the Government of Costa Rica and NGOs initiative on the Underlying Causes of Deforestation and Forest Degradation and took part in the meeting that was held in San Jose de Costa Rica, January 1999.

65. Finally, the Secretariat is currently carrying out an in depth analysis of the work programme on forest biological diversity in view of initiating a strategy to support the Parties in their process of implementing work programme.

IV/8 ACCESS AND BENEFIT-SHARING

66. A reminder for submission regarding information on ex situ collections which were acquired prior to the entry into force of the Convention on Biological Diversity and which are not addressed by the Commission on Genetic Resources for Food and Agriculture of the Food and Agriculture Organization; legislative or administrative measures, policies and programmes to promote sharing of benefits arising from the use of genetic resources on mutually agreed terms; and impacts of intellectual property rights on the achievement of the objectives of the Convention was sent to National Focal Points on 13 November 1998. The deadline for submission was 15 January 1999. The Executive Secretary also sent an invitation for submission of information from relevant organizations, including botanical gardens and zoos.

67. A reminder was also sent to National Focal Points for the nomination of experts regarding access and benefit-sharing, to provide the basis for selecting participants for the Expert Panel on Access and Benefit-Sharing, scheduled to be held from 4 - 8 October 1999. The deadline for the submission was also extended to 15 January 1999. To date (5 February 1999), the Secretariat has received nominations from 13 Governments who have submitted the names of 47 experts.

IV/9 IMPLEMENTATION OF ARTICLE 8(J) AND RELATED PROVISIONS

68. Further to Decision III/14 which established an intersessional process for the implementation of Article 8(j) and related provisions, Decision IV/9 of the Conference of the Parties established the *ad hoc* open-ended intersessional working group on the implementation of Article 8(j) and related provisions, and a short and medium-term programme of work to facilitate the work of the Parties. The COP further called for the submission of additional case studies covering five particular areas specified in paragraph 10 of the decision and a sixth, relating to Article 8(j) and intellectual property rights. In pursuance of the COP decision, the Executive Secretary has sent out letters to the focal points, indigenous and local community organizations and other relevant organizations calling for the submission of case studies on the basis of an indicative outline developed by the Secretariat.

69. The COP also requested the Executive Secretary to seek ways to enhance a collaborative relationship with the World Intellectual Property Organization, in order to further address the issue of determining how best to protect the knowledge, innovations and practices of indigenous and local communities embodying traditional lifestyles relevant to the conservation and sustainable use of biological diversity (paras 14-17). In this regard, a scheme for the Joint Work Plan (matrix) has been developed in collaboration with the Secretariat of WIPO, and preliminary talks were held regarding the implementation of the Joint Work Plan.

70. The Executive Secretary, in consultation with the COP Bureau, has decided that the first meeting of the working group shall take place in Montreal in January, prior to the fifth meeting of SBSTTA. The proposed agenda can be found in Annex XIV of this report.

71. The Secretariat participated in the Second International Conference on Women in Agriculture, which took place in Washington D.C. from June 28-July 2 1998. As a participant on the panel "Women's Knowledge, Biotechnology and Food Security - New Models for Partnership in the Next Millennium", the Executive Secretary presented a short paper reflecting the importance of the role of women in the conservation and sustainable use of biological diversity.

72. The Secretariat also participated in a series of key meetings held in Geneva last July: the Roundtable on Intellectual Property and Indigenous Peoples hosted by WIPO (23-24 July), the Inter-agency consultation on indigenous issues organized by the Centre for Human Rights (24 July), the 16th session of the Working Group on Indigenous Populations under the Centre for Human Rights (27-31 July). Among the main themes addressed at the 16th session were: education and language; health; standard setting activities, including principles and guidelines for private sector energy and mining concerns; the final report of the Special Rapporteur on Treaties, agreements and other constructive arrangements between States and indigenous populations; indigenous peoples and their relationship to land; and consideration of the establishment of the Permanent Forum. As a side event to the meeting, a workshop on Article 8(j) of the Convention on Biological Diversity was organized by the Secretariat.

73. A site on the homepage of the Secretariat regarding Traditional Knowledge and Biological Diversity is currently being designed.

IV/10 MEASURES FOR IMPLEMENTING THE CONVENTION ON BIOLOGICAL DIVERSITY

A. Incentive measures: consideration of measures for the implementation of Article 11

74. The Secretariat continues to collaborate with the Organizations for Economic Development and Co-operation (OECD) and the World Conservation Union (IUCN), the key partners identified in decision IV/10. IUCN organized an exploratory meeting in December 1998, involving organizations identified in decision IV/10 (a) (Incentive Measures) and (c) (Impact Assessment), to discuss key opportunities for developing and implementing programmes of work on these two areas. Although the key goal is to collaborate on a background document to be submitted to the fifth meeting of the Conference of the Parties, there are several other inter-sessional opportunities were identified. One major potential area of collaboration is to develop network of information sharing, involving other relevant organizations.

B. Public education and awareness: consideration of measures for the implementation of Article 13

75. Decision IV/10B was transmitted to National Focal Points as well as to relevant international organizations.

76. Informal negotiations were held between the CBD and UNESCO Secretariats on this issue. The Secretariat was informed that UNESCO is in the process of developing a comprehensive proposal for action. This proposal identifies the main strategic steps for the elaboration of contents and activities within such a global initiative on biological diversity education, training and public awareness and for their implementation, including funding aspects. It is proposed that a consultation of experts and donor agencies on the Global Initiative be organized jointly by UNESCO and CBD and hosted by UNESCO. The need for a high-level Report on Biological Diversity Education, Training and Public Awareness, to be initiated in the near future along the lines of the Report to UNESCO of the International Commission on Education for the Twenty-first Century and the Report to UNESCO of the World Commission on Culture and Development ("Our Creative Diversity") (co-ordinated, respectively, by Jacques Delors and Javier Pérez de Cuéllar) may be explored.

C. Impact assessment and minimizing adverse effects: consideration of measures for the implementation of Article 14

77. The Secretariat attended the Third French-speaking Experts' International Symposium on Impact Assessment (Montreal, 25-27 May 1998) and reported on decision IV/10 (c) on "Impact Assessment and Minimizing Adverse Effects: Consideration of Measures for the Implementation of Article 14".

78. Letters were sent by the Executive Secretary on decision IV/10(c) to all National Focal Points and relevant organisations to inform them of the outcome of COP/4 and to ask for reports and case studies.

79. Submissions were sent by the Governments of Australia, Namibia, Argentina, Oman, Dominican Republic as well as the Canadian International Development Agency, The World Bank and the United Nations Environment Programme.

80. On the basis of paragraph 6 of decision IV/10 (c), a meeting was held in Gland, Switzerland, at the IUCN headquarters (15-17 December 1998) among representatives of the Convention on Wetlands, the Bonn Convention, IUCN, the World Conservation Union, the International Association for Impact Assessment and the Secretariat of CBD. On this occasion, various representatives presented relevant work that has been done by their organisations or is in progress. This formed the basis for subsequent discussions on opportunities for co-ordination and collaboration.

BIOSAFETY UNIT

IV/3 ISSUES RELATED TO BIOSAFETY

88. Since the fourth meeting of the COP, the fifth meeting of the Open-ended *Ad hoc* Working Group on Biosafety was held under the chairmanship of Mr. Veit Koester (Denmark). The fifth meeting was attended by 382 delegates representing 101 Parties, two non-Parties, 6 United Nations agencies, 27 industrial organisations, 4 international and 20 non-governmental organisations.

89. The report of the fifth meeting of the Working Group is contained in the document UNEP/CBD/BSWG/5/3. In addition to the information note entitled "Products thereof" prepared by the Executive Secretary, the Secretariat prepared notes regarding the proposed work schedule, distribution of Articles among Sub Groups and Aide-Mémoire from the Chair.

90. The sixth and final meeting of the Biosafety Working Group (BSWG) will be held in Cartagena (Colombia), from 14 to 19 February 1999, followed by an extraordinary meeting of the Conference of the Parties. In order to prepare for and plan this meeting, the Bureau of the Open Ended *Ad Hoc* Working Group met on October 21 and 22, 1998, with the invited participation of the Co-Chairs of the Sub Working Groups and the Contact Groups, and observers from the host country for the 6th meeting (Colombia). On October 23 1998, the Bureau of the Conference of the Parties met with the invited participation of the Chair of the Open Ended *Ad Hoc* Working Group and an observer from the host country for the extraordinary Meeting of the Conference of the Parties (Colombia). The meetings were convened in order to facilitate the organization of work for both the 6th Meeting of the Working Group and the work related to the following Extraordinary Meeting of the Conference of the Parties in Cartagena, Colombia, in February. The meeting began with a report from the secretariat on preparations for BSWG6, specifically on a site visit to Colombia.

91. Responding to a recommendation from the Bureau of the Working Group, the Bureau of the COP agreed to extend the 6th meeting of the Working Group, to provide an opportunity for regional and inter-regional consultations, to include the Saturday and Sunday 13 and 14 of February, and, if requested, Friday the 11 February. No interpretation or other assistance, other than meeting rooms, will be made available for the consultations. It was also agreed that the Working Group proper would commence on Sunday the 14 February 1999.

IMPLEMENTATION AND COMMUNICATION DIVISION

IV/2 REVIEW OF THE OPERATIONS OF THE CLEARING-HOUSE MECHANISM

85. To date 135 CHM National Focal Points have been nominated. The Secretariat has put in place a list server with all officially designated Clearing-House Mechanism National Focal Points to disseminate information on new and recent developments regarding the CHM at the national, regional and international levels.

86. A total of 57 developing countries and countries with Economies in Transition have applied for the CHM add-on module under the Enabling Activities of the GEF. This add-on module constitutes an initial support for countries to get started with equipment and training, this first step will assist them in the development of their national clearing-houses. On average countries have received US \$ 12,000 for those modules.

87. The pilot phase of the CHM ended on December 31, 1998. As of January 1999, the CHM staff has begun the preparations for the independent review of the pilot phase. The independent review together with a longer-term programme of work for the clearing-house mechanism, will be considered at SBSTTA 5 and subsequently at COP/5. With the generous additional voluntary contribution of Canada, a CHM strategic plan is currently being developed. This strategic framework will assist the process of preparation of the medium and long-term programme of work of the CHM.

88. The development of the clearing-house mechanism is continuously assisted by the Informal Advisory Committee (IAC), which provides guidance to the Executive Secretary. The Secretariat also operates a listserv for the members of the IAC. The IAC is also fully involved in the independent review of the pilot phase of the CHM. Next IAC meetings are envisaged during upcoming CBD meetings.

89. In response to the requests of the Parties, both the Secretariat and the CHM home pages have undergone considerable updating and development. A number of new web pages have been created to facilitate the easy and quick access to information and documents on the Jakarta Mandate, National Reports, Financial Issues, Agro-biodiversity, Access to Genetic Resources, Benefit Sharing, and shortly the one on Financial Mechanism. Furthermore, the Biosafety web page is now up and running. The Biosafety Clearing-House, as a component of the CHM, is being developed to specifically address the requirements of information relevant to the operations of the Biosafety Protocol.

90. An electronic database on scientific and technical co-operation and a biodiversity-related search engine has also been developed for the home page of the Secretariat. In addition, databases on rosters of experts and focal points are underway.

91. In support of those Parties without adequate Internet access, the CHM is developing a project which will produce CD-ROMs with the aim to disseminate the official documents of the CBD meetings (Intergovernmental Committee negotiations, COP, SBSTTA, Biosafety, Indigenous

Knowledge, etc.) in all six UN official languages. These documents will be distributed to all CBD Focal Points and other interested parties in a CD-ROM format.

92. Also with the additional voluntary contribution of the Government of Canada, the CHM is, inter alia, developing a CD-ROM-based tool-kit to assist NFPs to develop their CHM at the national level. The beta version will be tested in March 1999 with the involvement of the CHM Focal Points and partners. The tool-kit will be launched at SBSTTA 4.

93. The revised version of an informative and awareness-raising brochure on the CHM, which is now available in English, French and Spanish, has been produced. The next issue of the CHM newsletter "Disseminator", informing on the activities of the clearing-house mechanism is currently under preparation and will be distributed in electronic and non-electronic formats. Electronic versions of the CHM-Buzz are widely disseminated to the National Focal Points, Informal Advisory Committee and partners of the CHM.

94. As outlined in Article 24 of the Convention, the Secretariat continues to provide encouragement for and facilitates the coordination of biodiversity-related networks, activities and focal points. The goal is to make information available arising from the Secretariat to a wide constituency. In addition, the CHM is promoting synergies in regards to information exchange with other biodiversity-related conventions (CITES, CMS, RAMSAR and World Heritage) and ongoing international or supra-national information initiatives. The CHM is also contributing to the harmonization of the information management of the United Nations Framework Convention on Climate Change and the United Nations Convention to Combat Desertification. The Secretariat committed itself to the establishment of a listserv and a joint Internet website for the biodiversity-related conventions as well as the Rio conventions.

IV/14 NATIONAL REPORTS BY PARTIES

95. The Executive Secretary sent a letter to all national focal points transmitting the decision and requesting all Parties that have submitted an interim national report to submit a full report and those that have not submitted a national report to do so by 31 December 1998. Information on the status of preparation of national reports by those Parties supported by biodiversity enabling activities has been requested of the GEF Implementing Agencies. The Secretariat has been in contact with a number of Parties concerning the submission of their national report.

96. Although only five additional Parties have submitted a national report since the fourth meeting of the Conference of the Parties, a number of Parties who had submitted an interim report have now submitted full reports. The total number of reports received currently stands at 112, comprised of 74 full reports and 38 interim or draft reports (see Annex XII).

97. Arrangements have been made to scan and convert into electronic format all national reports received in hard copy so that they can be posted on the Convention's website. The national reports pages on the website are being redesigned to include better access to information on national biodiversity strategies and action plans. To this end work is underway to identify and obtain copies of completed strategies and action plans.

98. A preliminary draft of the Global Biodiversity Outlook was distributed to members of the SBSTTA and COP Bureaux at COP/4. Work continues on preparing the first draft of the Global Biodiversity Outlook.

99. In light of the 31 December 1998 deadline established by the COP for the receipt of national reports, the Executive Secretary will prepare a revised synthesis of the information contained in the first national report in early 1999. It is the intention to circulate this report, together with a discussion document on the intervals and forms of future national reports, in mid-year well in advance of the fifth meeting of SBSTTA which will consider them.

IV/11 REVIEW OF THE EFFECTIVENESS OF THE FINANCIAL MECHANISM

100. COP/4 completed the first review of the effectiveness of the financial mechanism and adopted a number of actions to be taken by the GEF Council for further improving the effectiveness of the financial mechanism. COP/4 also decided that the COP at its fifth meeting will determine terms of reference for the second review of the effectiveness of the financial mechanism.

101. The Secretariat has initiated the preparation of background document for consideration by COP/5 in determining terms of reference for the second review. The key areas of concern have been identified and pertinent information collected. It is anticipated that a draft document will be ready for comment by Parties and relevant stakeholders by July 1999.

IV/12 ADDITIONAL FINANCIAL RESOURCES

102. This decision has been considered in conjunction with decisions III/6 on additional financial resources and II/6 on financial resources and mechanism. Priority has been given to the development of standardized information format on financial resources for inclusion in the suggested guidelines on the second national reports. A discussion note on the format has been proposed and under review. Comments will be invited soon. The Secretariat has been actively involved in the development of a biodiversity marker within the Credit Reporting System (CRS) of the Development Assistance Committee of the Organization of Economic Co-operation and Development. It is anticipated that the biodiversity marker will enable the CRS to generate aggregated biodiversity funding information in 1999.

103. The Secretariat had a meeting with the OECD/DAC Working Party on Environment and Development Task Force on 9 December 1998. Questions under their examination included: how can donors assist developing country partners in fulfilling their formal obligations under the Convention; how can donors assist developing countries develop the necessary capacity at the national level to further the ultimate objectives of the Convention; how can donors, individually and collectively, contribute to efforts towards greater policy coherence in support of the objectives of the Convention. It was found that the Secretariat needs to increase efforts to outreach development co-operation agencies. An information kit on the Convention and COP decisions may be produced in the course of this year with a view to promoting understanding and awareness of the Convention at the project level.

104. Efforts have also been made to establish a database on financial resources in support of the implementation of the Convention. The pilot study on the modalities of official development assistance will come to the completion next month, and a database on biodiversity funding based on OECD/DAC reporting system is under review. The Secretariat intends to forward the results of these two studies to developed country Parties and relevant funding institutions for verification and possible addition in the middle of this year.

105. As regards the exploration of possible collaboration with conventions of relevance, the Secretariat attended the workshop "Mechanisms for Financing Wise Use of Wetlands," organized in conjunction with the second International Conference on Wetlands and Development, from 13 - 14 November 1998 in Dakar, Senegal. The workshop discussed ODA and government funding and policies, and financial innovations for wetland conservation.

106. The Secretariat participated in the fourth International Roundtable Meeting on Finance and Environment convened by UNEP Financial Institutions Initiative in Cambridge on 17-18 September 1998. Opportunities were explored to include a biodiversity component on the agenda of the fifth International Roundtable Meeting on Finance and Environment. The Secretariat also attended the meeting, "Bio-partnerships" for Sustainable Development: Commercialization and the Bio-Industry Challenge, organized by UNCTAD's Biotrade Initiative in conjunction with UNCTAD's first meeting of "Partners for Development Summit" from 10 -12 November 1998. The meeting discussed: (1) bio-prospecting: deriving new products from nature; (2) non-timber forest products; (3) case studies; (4) prospects for bio-resource based development; (5) legal and policy developments and (6) bio-business development strategies. The outcomes of the meeting will constitute useful input to the work on promoting the involvement of private sector in the implementation of the Convention.

IV/13 ADDITIONAL GUIDANCE TO THE FINANCIAL MECHANISM

107. Decision IV/13 contains further guidance for the financial mechanism. The Secretariat communicated the electronic version of the decision to the GEF Secretariat and Implementing Agencies shortly after COP/4 meeting and also conveyed this guidance to the GEF at the 12th meeting of the GEF Council. The Secretariat stands ready for consultation with GEF on implementation of the guidance.

108. The Secretariat has increased its efforts to provide comments on GEF biodiversity project proposals with a view to promoting the consistency of the project proposals with the guidance of the Conference of the Parties. Accordingly, the Secretariat has made comments on all GEF-submitted biodiversity project proposals in the light of COP guidance, eligibility criteria and technicalities. In order to increase flow of information regarding GEF activities, an internal monthly brief on GEF has been circulated within the Secretariat.

109. The twelfth GEF Council meeting was held from October 14 to 16, 1998. The meeting discussed a number of issues that interest the Convention in respect of the actions they need to take in response to the COP/4 instructions. The documents from the GEF Secretariat for this meeting were assessed in the light of the COP guidance. The Officer in Charge made a statement to that meeting.

110. The Secretariat launched web pages on the financial mechanism at the website of the Convention which will be gradually updated and expanded on a regular basis. Similar efforts will be made in the area of financial resources.

111. COP/4 requested the Secretariat to advise the Parties on matters relating to recommendations for further guidance to the financial mechanism with respect to: (a) the relationship of any draft guidance to previous guidance and (b) any possible effects of that draft guidance on the implementation of previous guidance from the COP. The Secretariat has begun an assessment of previous COP guidance and the progress of implementation of the guidance. A background document in this respect will be finalized once the recommendations of SBSTTA-4 and 5 meetings become available.

IV/15 THE RELATIONSHIP OF THE CONVENTION ON BIOLOGICAL DIVERSITY WITH THE COMMISSION ON SUSTAINABLE DEVELOPMENT AND BIODIVERSITY-RELATED CONVENTIONS, OTHER INTERNATIONAL AGREEMENTS, INSTITUTIONS AND PROCESSES OF RELEVANCE

112. Implementation of this decision and co-operation in general forms a central element of the entire programme of work for the Convention and the Secretariat. Consequently, this section only highlights important activities not covered in other sections.

113. The Secretariat supported and participated in a project on harmonising the reporting requirements of Biodiversity-Related Conventions (CITES, CMS, RAMSAR and World Heritage). The World Conservation Monitoring Centre completed an initial feasibility study the results of which were considered at a meeting in early October for the Secretariat Information Managers. Follow-up to the results of this initiative are expected to form the framework of the Secretariat's activities in this area for the foreseeable future. The first steps in these joint efforts have included, *inter alia*, the establishment by the Secretariat of an electronic listserv and a joint Internet webpage.

114. During the period of this report the Secretariat has assisted in the preparations of a series of Global Biodiversity Forums. It supported the 10th Global Biodiversity Forum immediately prior to COP/4, with the Executive Secretary participating in the final session of the meeting. It has also contributed to similar Forums held in conjunction with COP/4 for UNFCCC in November, COP/2 for CCD in November/December, It is involved in preparation for the latest GBF to be held during COP/7 of the Ramsar Convention in May 1999.

115. The Secretariat presented a report of COP/4 for the UN General Assembly (document A/53/471). The Secretariat participated in the Panel of the Second Committee on "How to achieve a better coherence and improve co-ordination among environmental and environment-related Conventions", held on 23 October 1998.

116. The Secretariat has also continued its participation with the Inter-Agency Committee for Sustainable Development in order to assist with preparations for meetings of the CSD and other related matters covered by this Committee. For example, the Secretariat made inputs to the report

of the Secretary-General on tourism and sustainable development, through UNEP and on oceans and seas through ACC Subcommittee on Oceans and Coastal Areas.

117. In a letter dated 24 July 1998, the Executive Secretary invited Parties to submit relevant information regarding paragraph 14 on sustainable tourism as well as paragraphs 15 - 16 of decision IV/15 on other relevant issues addressed by the Commission on Sustainable Development. To date, the Secretariat has not received any submission in response to these invitations. The Secretariat is actively participating in the CSD process, including the ad hoc intersessional Working Group to be held from 22 February to 5 March 1999, in particular on the sessions on tourism, oceans and seas, and Small Island Developing States and through ACC Subcommittees on Water Resources and on Oceans and Coastal Areas.

118. With a view to further developing co-operation with the Rio Conventions, on 31 July 1998, the Executive Secretaries of the Convention on Combating Desertification and this Convention signed a Memorandum of Co-operation which identified general measures for co-operation and specific proposals on : 1) joint activities to support implementation of the conventions, 2) the development of joint scientific assessments, 3) synergies in information management, 4) liaison arrangements in New York. Implementation of these activities is under preparation.

119. The Secretariat participated at both COPs for CCD and FCCC. As a result, the COP of FCCC decided to consider issues related to forests, marine and coastal biodiversity and the clearing house mechanism at the next meeting of its subsidiary bodies in May 1999.

120. The Secretariat applied for an observer status in TRIPs Council and committee on Agriculture. In response to a request from TRIPs Council, the Secretariat provided factual information on activities of the Convention of relevance to the WTO for preliminary consideration in the review of Article 27.3(h) this year.

121. The Secretariat maintained regular contacts aiming at enhancing co-operation, *inter alia*, with the Secretariat of the Wetlands Convention, UNESCO and its IOC, UN/DOALOS, as well as UNEP's Regional Seas Secretariats. In June 1998, a Memorandum of Co-operation was signed between the Secretariat of CBD and CPPS, who also provides the Secretariat of the Action Plan for the Protection of the Marine Environment and Coastal Areas in the Southeast Pacific.

IV/16 INSTITUTIONAL MATTERS AND THE PROGRAMME OF WORK

122. Decision IV/16 took a number of important decisions with respect to the operations of the Convention and its future programme of work. Implementation of many of the elements of this decision have been covered in other parts of this report, for example, the revised modus operandi of the SBSTTA has been the basis for the preparation of SBSTTA/4 and SBSTTA/5.

123. Preparation of the intersessional meeting on the operations of the Convention are well advanced. A preliminary agenda for the meetings is contained in Annex XII. An outline for the handbook that relates the decisions of the COP and other relevant material to the operations of the Convention, to the text of the Convention has been prepared. Consultations with the COP Bureau are about to begin. It is anticipated that a first draft will be available for the intersessional meeting on the operations of the Convention in June 1999. Consultations have begun with Parties on the

basis of this outline as to the exact nature of the handbook. Further assistance with respect to the preparation of the handbook of the operations of the Convention been identified. A preliminary draft of the comparative review of the operations of other conventions in co-operation with the Erasmus University of Rotterdam, the Netherlands, has been finalised.

ANNEX I

STATUS OF IMPLEMENTATION OF THE AGREED ADMINISTRATION ARRANGEMENTS

I Personnel Arrangements

1. In keeping with the Administrative Arrangements, a new Executive Secretary will be appointed by the Executive Director of UNEP after consultation with the Conference of the Parties through its Bureau. In the interim, the Executive Director of UNEP, after informal consultations with a member of the Bureau, has appointed Mr. Hamdallah Zedan as Officer-in-Charge with effect from 1 October 1998. Interviews for this position took place in December 1998.
2. This applies as per Administrative Arrangements.
3. In keeping with the Administrative Arrangements, posts and their levels have been established by the Conference of the Parties for classification and recruitment purposes.
4. In keeping with the Administrative Arrangements, recommendations for promotion or non extension of contracts of staff up to the D1/L6 level are being made by the Executive Secretary.
5. The COP approved 22 Professional posts and 18 General Service posts for 1998. As at 31 December 1998, 11 Professional staff and 9 General Service staff have been regularized (i.e. gone through the recruitment process). 5 Professional posts and 4 General Service posts have been filled by temporary incumbents. All vacant posts will be filled in the near future or in some cases, immediately after a new Executive Secretary has been appointed. In addition, there are 6 staff members funded from other sources (UNEP, FAO, UNESCO, Italy). Refer to Appendix 1.
6. Although the Administrative Arrangements were signed in June 1997, it has not been possible to constitute an Appointment and Promotion Board at the SECRETARIAT as a result of the unavailability at the seat of the Secretariat of sufficient staff at the required level for an APB. It should also be pointed out that panels to prepare short lists and advise the Executive Secretary on the most suitable candidates for P5 and D1 positions will however draw upon staff from UNEP Headquarters unless the Bureau advises otherwise. The same holds true for the APB.
7. This applies as per the Administrative Arrangements.
8. In keeping with the Administrative Arrangements, a Secretariat staff representative body has been established. It was created in September 1997. The Executive Committee (3 professionals and 3 general service staff), in response to issues brought to its attention by staff members, has had a series of ad hoc meetings to discuss issues with staff and management and subsequently follows up with a view to seeking

appropriate solutions, for example, regarding promotions, transfers, the allocation of special post allowances for those fulfilling higher level functions for substantive periods, contractual problems, and so forth. An important role has been the active involvement of the EXCOM in all departmental panels during the selection and recruitment process for Secretariat posts. The Staff Association is pleased to report that its letter dated 14 July 1998, outlining many outstanding staffing problems and concerns, which emanated from a lengthy meeting with the Executive Secretary, is now receiving attention by UNEP/UNON. This welcome support and the recent filling of the administrative posts is helping substantively in improving communications between the Secretariat and Nairobi with a view to resolving issues and concerns that have been raised.

9. This applies as per the Administrative Arrangements.
10. This applies as per the Administrative Arrangements.
11. In keeping with the Administrative Arrangements, job descriptions are prepared and submitted to UNON by the Executive Secretary for posts approved by the Conference of the Parties.
12. The recruitment process is being carried out in keeping with the Administrative Arrangements with the exception of a review by the SECRETARIAT APB/APP referred to in sub-para (d) which, as mentioned earlier paragraph 6 above has not been established.
13. There are 9 short-term staff members on board (4 professionals and 5 general service) and the Executive Director of UNEP recently approved their extensions up to 30 Jun 1999.
14. In keeping with the Administrative Arrangements, the selection and terms of employment of consultants has been determined by the Executive Secretary.
15. Job classifications for GS staff have followed ICAO standards. The procedures for selecting, recruiting and renewing contracts of GS staff have been similar to that of professional staff.
16. This applies as per the Administrative Arrangements.
17. This applies as per the Administrative Arrangements.
18. This applies as per the Administrative Arrangements.
19. This applies as per the Administrative Arrangements.

II. Financial Arrangements

20. This applies as per the Administrative Arrangements.
21. This applies as per the Administrative Arrangements.
22. This applies as per the Administrative Arrangements.

III. Contributions and Funds

23. Some countries have pledged additional voluntary contributions to support the approved activities under the Convention on Biological Diversity.

The United Kingdom and Australia have contributed funds specifically for the recruitment of additional staff. Canada has contributed and the United Kingdom has pledged funds for the Clearing House Mechanism. Other pledges include that of the Slovak Republic for the Host Government Agreement for COP/4 (BE Trust Fund).

The Secretariat has also received pledges of US\$15,000 from the Government of Denmark and US\$20,000 from the Government of Switzerland in support of the Intersessional meeting of the Extended Bureau of the Biosafety Working Group.

As at 30 September 1998, a total of US\$752,467 was pledged for 1998 as additional voluntary contributions to facilitate the Participation of Parties in the Convention process. This includes pledges from Austria, Canada, Finland, Ireland, Norway, the Republic of Korea, Switzerland and the United Kingdom (BZ Trust Fund).

24. This applies as per the Administrative Arrangements.
25. This applies as per the Administrative Arrangements.
26. This applies as per the Administrative Arrangements.
27. The Trustees (rather than the Secretariat) have sent invoices in 1997 and 1998 to the 174 Parties to the Convention listed in Appendix 1 to Annex 1, Part A of Decision III/24, inviting them to pay their contributions to the Trust Fund for 1998 and 1999. The Statement of Contributions to the CBD Trust Fund, as provided by the Trustee as at 30 September 1998 is attached as Annex VII to this report.

As per the Administrative Arrangements, it has not been possible to send invoices from the Secretariat to the Parties to the Convention, however, since the Chief of Fund and Administration has now been recruited, invoices for the next biennium will be sent out from the Secretariat.

An increase in the payment of contributions by the Parties to the Convention to the various Trust Funds has been noted in comparison to previous years. Continuing this effort will help avoid potential cash flow difficulties.

28. In keeping with the Administrative Arrangements, UNEP routinely advises the Executive Secretary of the receipt of contributions and acknowledges receipt to the donors. UNEP also provides the Executive Secretary with an up-to-date report of the status of the pledges, and payments of contributions. The monthly status of expenditures are produced by the Secretariat.

As of the end September 1998, expenditures incurred by the Secretariat amounted to approximately US\$5,506,614 in 1998 and covered costs such as staff salaries, consultants, travel, communication and UNEP programme support costs. A summary of these expenditures is attached as **Appendix 2** to this report. The status of the trust funds as at 31 October 1998 is attached as **Appendix 3**.

IV. Treasury

29. This applies as per the Administrative Arrangements.

V. Budget

30. With regard to budgetary matters, as at 31 October 1998, of the total pledged contributions of US\$5,963,226 for 1998 to the BY Trust Fund, the total contributions received amount to US\$4,029,493. Total unpaid pledges to the BY Trust Fund for 1998 and prior years amounts to US\$2,973,816.

Several Parties also contributed additional voluntary funds in support of approved activities under the Convention (BE Trust Fund). The total pledged for 1998 for this purpose was US\$695,979. Total unpaid pledges for 1998 and prior years to the BE Trust Fund is US\$7,200.

Further contributions totalling US\$852,467 were pledged for additional voluntary contributions to facilitate the participation of Parties to the Convention Process (BZ Trust Fund). Total unpaid pledges for 1998 and prior years to the BZ Trust Fund is US\$406,435. See Annex VI for details.

31. In keeping with the Administrative Arrangements, the Executive Secretary has prepared draft allotments and staffing tables for activities under the Convention's budget for final approval by the Executive Director of UNEP. The relevant staffing tables as well as the organigram for the Secretariat are attached as Annex III and IV to this report. The organigram may be revised as and when required.
32. This applies as per the Administrative Arrangements.

VI Accounting and Reporting

33. This applies as per the Administrative Arrangements.
34. This applies as per the Administrative Arrangements.
35. This applies as per the Administrative Arrangements.
36. In keeping with the Administrative Arrangements, UNEP/UNON provides the Executive Secretary with up-to-date information on the status of allotments, trial balance and unliquidated obligations. Recently this procedure has been improved to permit the Secretariat direct access to the UNEP/UNON accounting system through a computer link.

VII Procurement of Goods and Services

37. This applies as per the Administrative Arrangements.
38. This applies as per the Administrative Arrangements.

VIII Reimbursement for Services provided to the Secretariat

39. This applies as per the Administrative Arrangements.
40. At present two UNEP staff members, the Chief, Fund Management and Administration, and the Administrative Officer are funded from the programme support funds.

IX Conference and Other Services

41. UNEP/UNON in full co-operation with the Executive Secretary of the CBD has facilitated the co-ordination and provision of conference services to the COP/4 sessions and bureau meetings and to the Biosafety Protocol meetings.

X Revision of this Agreement

42. To date, neither party has requested any changes to these Administrative Arrangements.

APPENDIX 1
Posts approved by COP (CORE BUDGET)

Status	Professional Posts	General Service Posts
Regularized posts	11	9
Temporarily filled posts	5	4
Vacant posts	6	5
TOTAL	22	18

Other Sources of Funding in 1998

Posts funded from Other Sources i 1998	Professional Posts	General Service Posts
PO; Chief, Fund & Admin; Administrative Officer, UNEP	3	0
Programme Officer, FAO	1	0
JPO, Government of Italy	1	0
Programme Officer, UNESCO	1	0
TOTAL	6	0

Additional NEW Posts approved by COP for 1999*

NEW POSTS	DIVISION	LEVEL
<i>Professional posts</i>		
Scientific & Technical Information Officer	Biosafety	P3
Inland Waters, PO	STTM	P4
Scientific & Technical Assessment, PO	STTM	P4
Access & Benefit Sharing, PO	STTM	P3
Editor	Support Services	P4
<i>General Service posts</i>		
Programme Assistant	STTM	GS-7
Programme Assistant	I&C	GS-7

New Posts to be funded from Other Sources in 1999	
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Taxonomy, PO (Australia)	STTM	P4
Finance & Contributions, PO (UNEP)	Support Services	P2
Contributions Assistant (UNEP)	Support Services	GS-5
Fund Management Assistant (UNEP)	Support Services	GS-5

* All posts have been classified and advertised. Deadline for application of Professional posts was 18 January 1999 and for General Service posts, the deadline was 28 December 1998.

Appendix 2

**GENERAL TRUST FUND
FOR ADDITIONAL VOLUNTARY CONTRIBUTIONS
IN SUPPORT OF APPROVED ACTIVITIES
UNDER THE CONVENTION ON BIOLOGICAL DIVERSITY (BE)**

Status as at 31 January 1999

<u>COMMITTABLE RESOURCES FOR 1998/1999:</u>	US\$
Balance available as at 01 January 1998	257,568
Contributions Received in 1998/1999	877,834
Interest Accrued in 1998	12,194
Adjustment to correct wrong income in 1997	(200,000)
A. Committable Resources	947,598
<hr/>	
<u>ALLOCATION APPROVED BY THE EXECUTIVE DIRECTOR:</u>	
Allocation issued by the Executive Director in 1998	487,716
<hr/>	
<u>APPROVED COMMITMENTS:</u>	
Approved Commitments for 1998/1999	592,967
Approved Provisions for Programme Support Costs (PSC) for 1998/99	77,086
B. Total Approved Commitments & PSC	670,053
<hr/>	
C. Balance Available after Commitment in 1998 (A- B) *	277,543

* See details of contributions to the BE Trust Fund in Annex V to this report

GENERAL TRUST FUND FOR THE CONVENTION ON BIOLOGICAL DIVERSITY (BY)	
Status as at 31 January 1999	
<u>COMMITTABLE RESOURCES FOR 1998/1999:</u>	US\$
Balance available as at 01 January 1998	6,704,669
Contributions Received in 1998/1999	5,396,593
Interest Accrued in 1998/99	367,844
A. Committable Resources	12,469,106
<u>ALLOCATION:</u>	
Allocation issued by the Executive Director in 1998	11,458,467
<u>APPROVED COMMITMENTS:</u>	
Approved Commitments for 1998/1999	6,785,740
Approved Provisions fo Programme Support Costs (PSC) for 1998/99	882,146
B. Total Approved Commitments & PSC for 1998/1999	7,667,886
C. Balance Available after Commitment (A-B)	4,801,219
<u>PROPOSED COMMITMENTS:</u>	
Proposed Commitments for 1998/1999	(1,424,700)
Approved Provisions fo Programme Support Costs (PSC) for 1998/99	(185,211)
D. Total Proposed Commitments & PSC	(1,609,911)
E. Total Approved & Proposed Commitments & PSC provisions (B+D)	9,277,797
F. Balance Available after Commitments and Proposal (A-E)	3,191,308

**GENERAL TRUST FUND
FOR ADDITIONAL VOLUNTARY CONTRIBUTIONS
TO FACILITATE THE PARTICIPATION OF PARTIES
IN THE PROCESS OF THE CONVENTION ON BIOLOGICAL DIVERSITY (BZ)**

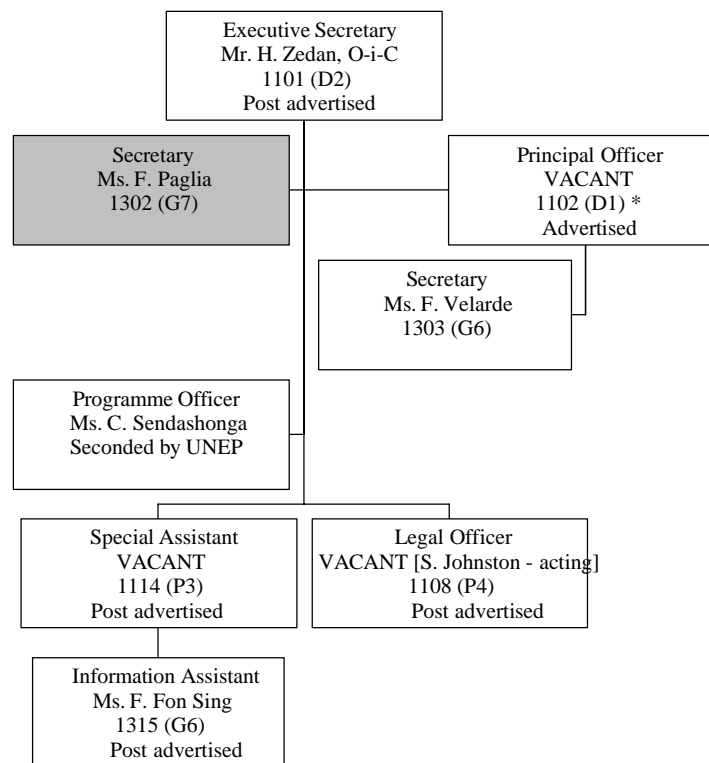
Status as at 31 January 1999

<u>COMMITTABLE RESOURCES FOR 1998/1999:</u>	US\$
Balance available as at 01 January 1998	(9,520)
Contributions Received in 1998/1999	1,698,039
Interest Accrued in 1998/99	34,846
A. Committable Resources	1,723,364
<hr/>	
<u>ALLOCATION</u>	
∴	
Allocation issued by the Executive Director in 1998	1,079,207
<hr/>	
<u>APPROVED COMMITMENTS:</u>	
Approved Commitments for 1998/1999	1,262,000
Approved Provisions for Programme Support Costs (PSC) for 1998/99	164,061
B. Total Approved Commitments & PSC for 1998/1999	1,426,061
<hr/>	
C. Balance Available after Commitment (A-B)	297,303

* See details of contributions to the BZ Trust Fund in Annex VI to this report

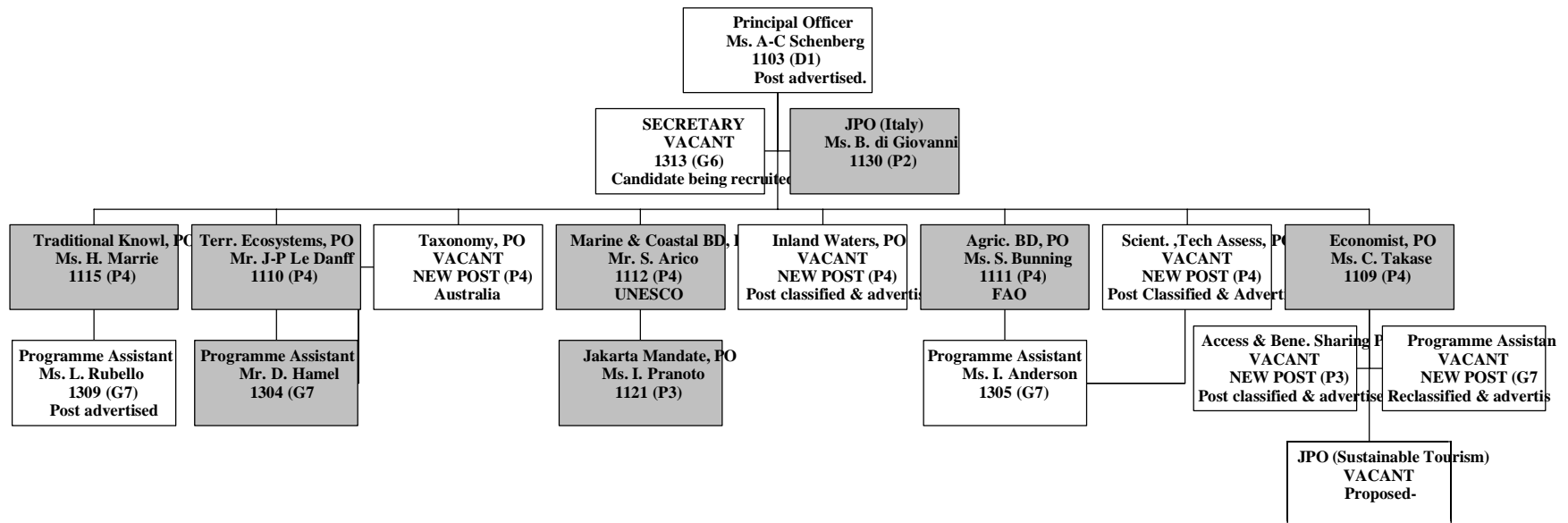
**ANNEX II
ORGANIZATIONAL CHART OF THE SECRETARIAT**

**Executive Direction, Management &
Inter-governmental Affairs**

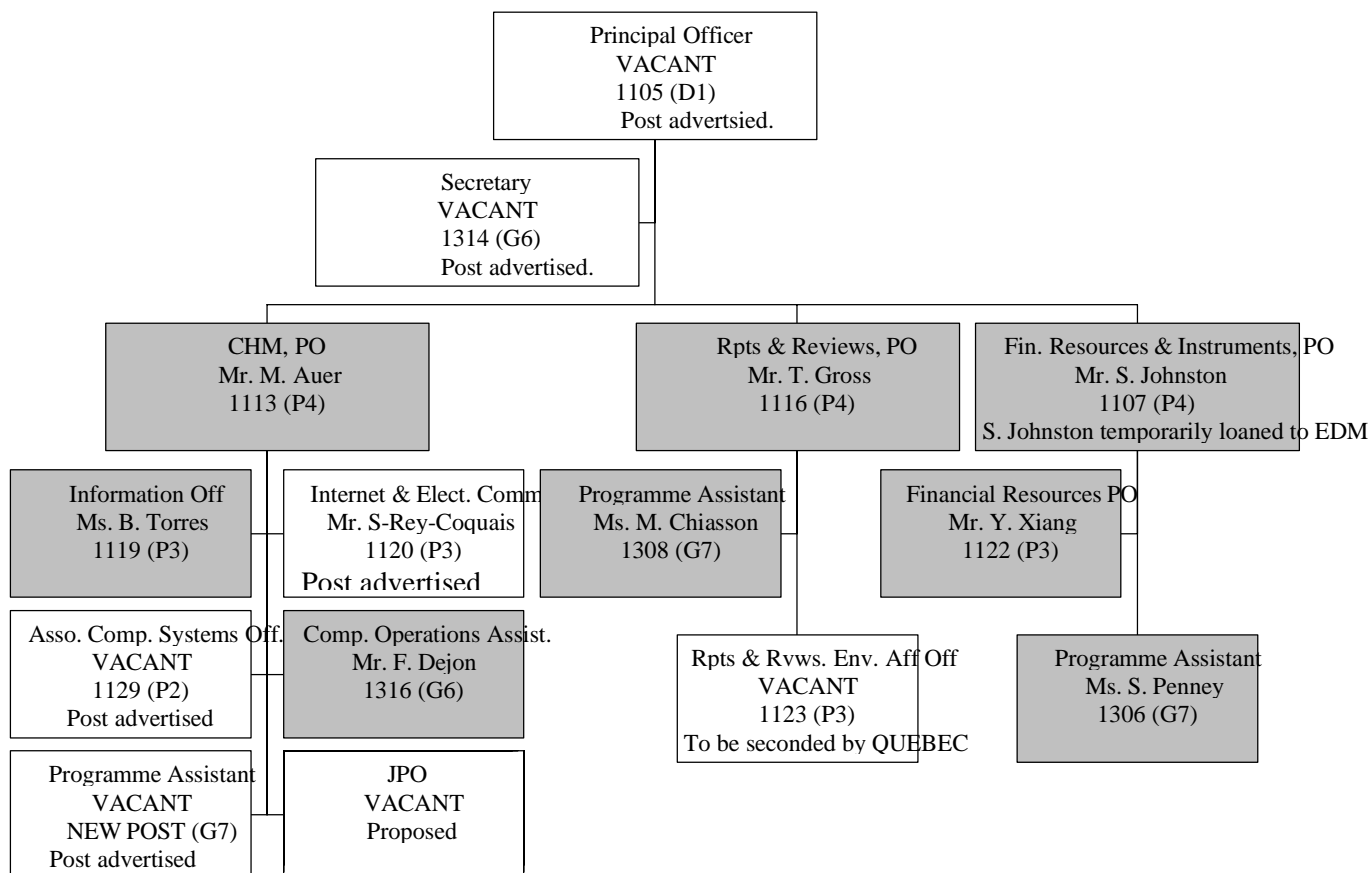


* The Principal Officer in this Division will also perform the duties of the D1 in the Support Services

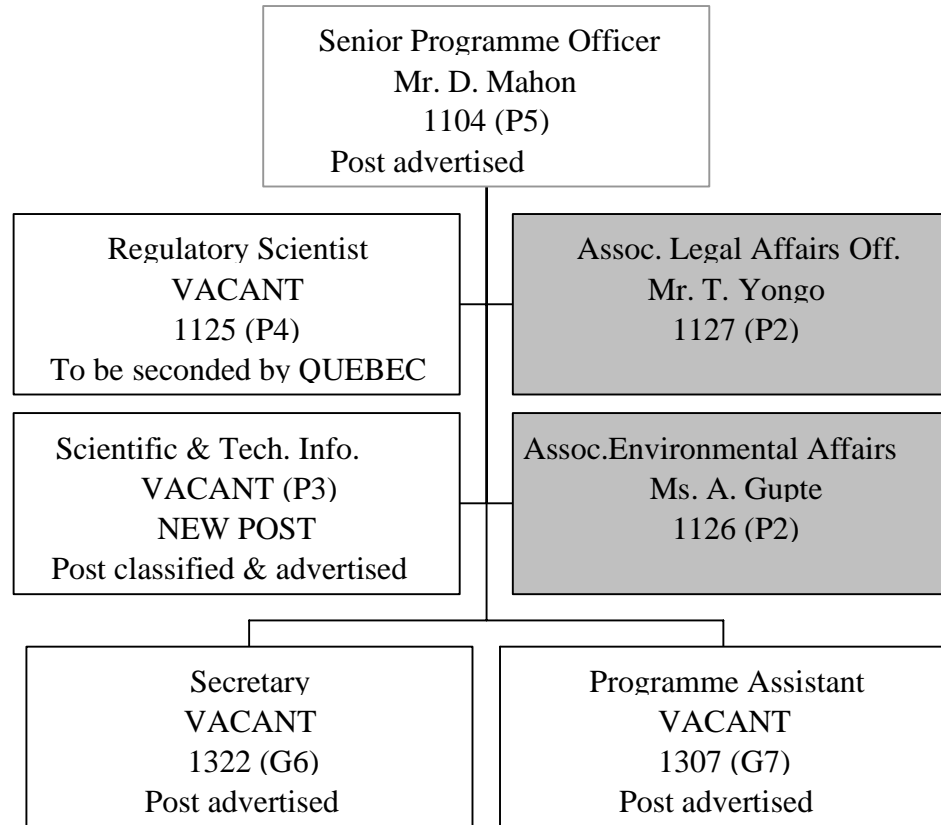
Scientific, Technical & Technological Matters



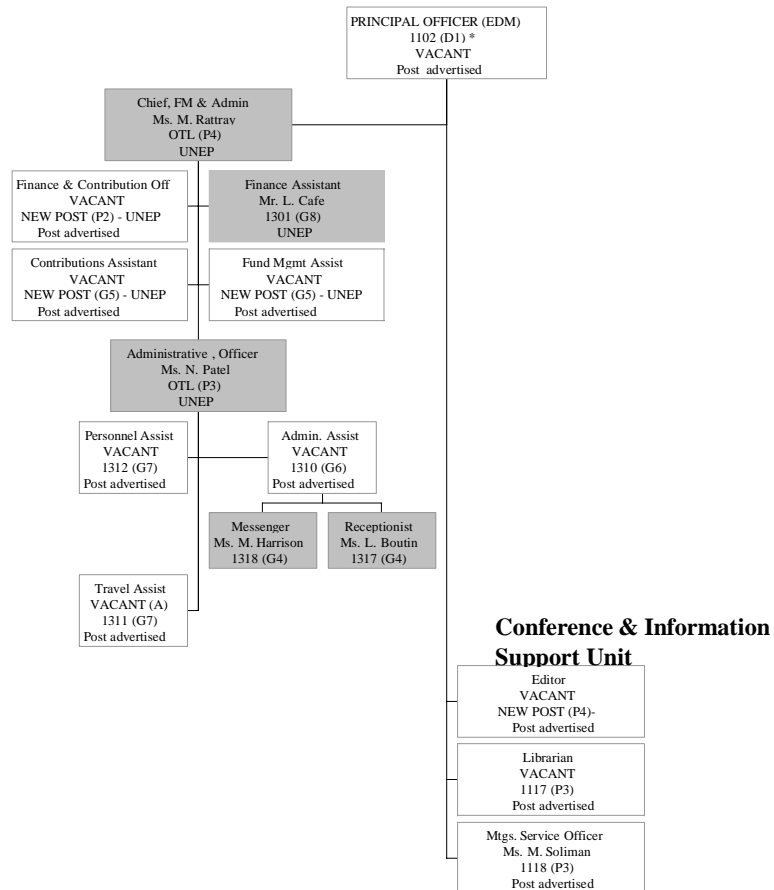
Implementation & Communication



Biosafety



Support Services



* The Principal Officer in the Executive Direction, Management and Intergovernmental Affairs Programme will also perform the duties of the in the Support Services Division

ANNEX IIIGENERAL TRUST FUND FOR ADDITIONAL VOLUNTARY CONTRIBUTIONS IN SUPPORT OF APPROVED ACTIVITIES UNDER THE CONVENTION ON
BIOLOGICAL DIVERSITY (BE)

Status of Contribution as at 31 December 1998 (in United States dollars)

COUNTRIES	Unpaid Pledges as at 31.12.97	Adjustments	Pledges for 1998		Collections in 1998 for prior years	Collections in 1998 for 1998 & futures yrs	Unpaid pledges for 1998 & prior years
Australia	0	0	50,000	8/	0	50,000	0
Canada	0	0	68,143	10/	0	68,143	0
Denmark	0	0	15,000	13/	0	15,000	0
Netherlands	5,200	0	0	2/	0	0	5,200
Netherlands	0	0	25,000	12/	0	0	25,000
Slovak Rep.	14,672			3/	14,672		0
Slovak Rep.	0	0	416,536	6/		416,536	0
Spain	82,233			4/	82,233		0
Switzerland	2,000	0	0	5/	0	0	2,000
Switzerland	0	0	20,000	11/	0	20,000	0
U.K.	47,972	1978	0	1/	49,950	0	0
U.K.	0	0	33,300	7/	0	33,300	0
FAO	0	0	128,000	9/	0	128,000	0
TOTAL	152,077	1978	755,979		146,855	730,979	32,200

1/UK pledged UKP30,000+4,540 for Kate Ten Tate & Kew Gardens secondment.

2/Netherlands contribution towards travel & lodging expenses of the 11 participants "Meeting of the Liaison Group on Biological Indicators project no. WW113407 (moved from BZ)

3/ Slovak: COP Bureau Meeting Bratislava -December '97

4/ Spain's pledge for workshop on traditional knowledge

5/ Switzerland: COP Bureau Meeting (June-July 1997)

6/ Slovak - Host Country Agreement COP/4

7/ First meeting of CHM (UKP 20,000- 20% of 100,000)

8/ Australia voluntary contribution to the CBD -1998

9/Secondment of Ms. Bunning to CBD

10/Canada (CND\$100,000) contribution for clearing house mechanism

11/Switzerland pledge for Extended Biosafety Bureau Meeting - October 1998

12/Netherlands pledge for Extended Biosafety Bureau Meeting - October 1998

13/Demark pledge towards Extended Biosafety Bureau Meeting - October 1998

ANNEX IV

GENERAL TRUST FUND FOR ADDITIONAL VOLUNTARY CONTRIBUTIONS TO FACILITATE THE PARTICIPATION OF PARTIES IN THE PROCESS OF THE
CONVENTION ON BIOLOGICAL DIVERSITY (BZ)

Status of Contribution as at 31 December 1998 (in United States dollars)

COUNTRIES	Unpaid Pledges as at 31.12.97	Adjustments		Pledges for 1998	Collections in 1997 for 1998	Collections in 1998 for prior years	Collections in 1998 for 1998 & fut yrs	Unpaid pledges for 1998 & prior years
Australia	0	0	17/	39,918	0	0	39,918	0
Austria	(30,000)	0	3/	30,000	30,000	0	0	0
Canada	0	0	8/	150,000	0	0	144,814	5,186
Canada	150,000	0	18/	0	0	150,000	0	0
Canada	0	0	30/	9,659	0	0	9,659	0
Denmark	20,000	0	4/	0	0	0	0	20,000
EEC	148,987	0	1/	0	0	48,758	0	100,229
EEC	0	0	22/	25,000	0	0	0	25,000
EEC	0	0	24/	147,406	0	0	58,950	88,456
EEC	0	0	29/	87,514	0	0	0	87,514
Finland	(20,000)	0	10/	20,000	20,000	0	0	0
Finland	20,000	0	14/	0	0	0	0	20,000
Germany	0	0	23/	60,496	0	0	60,496	0
Ireland	0	0	9/	43,335	0	0	43,315	20
Japan	523,931	0	12/	0	0	523,931	0	0
Netherlands	100,000	0	2/	0	0	100,000	0	0
Netherlands	0	0	26/	16,641	0	0	16,641	0
Norway	0	0	19/	38,918	0	0	38,918	0
Norway	0	0	20/	54,000	0	0	54,000	0
Norway	0	0	25/	36,148	0	0	36,148	0
Rep. Korea	0	0	11/	50,000	0	0	0	50,000
Sweden	0	0	21/	32,097	0	0	32,097	0
Switzerland	15,000	0	13/	0	0	0	0	15,000
Switzerland	0	0	6/	65,000	0	0	65,000	0
Switzerland	25,000	0	15/	0	0	25,000	0	0
Switzerland	17,194	0	7/	0	0	17,194	0	0
Switzerland	0	0	28/	72,993	0	0	0	72,993

COUNTRIES	Unpaid Pledges as at 31.12.97	Adjustments		Pledges for 1998	Collections in 1997 for 1998	Collections in 1998 for prior years	Collections in 1998 for 1998 & fut yrs	Unpaid pledges for 1998 & prior years
UK	0	4,000	5/	96,000	0	0	100,000	0
UK	0	0	16/	133,200	0	0	133,200	0
UK	0	0	27/	83,300	0	0	0	83,300
Total	970,112	4,000		1,291,624	50,000	864,883	833,156	567,698

- 1/ EEC contribution towards cost of least developed countries (60% of ECU75 ,000)
- 2/ Netherlands contribution towards third meeting of the conference of parties to the Convention on Biological Diversity project no.WW110931-US\$100,000
- 3/ Austria additional contribution to cover the travel costs for the participants to the 3rd meeting of biosafety working group.
- 4/ Denmark contribution towards travel costs for participants from developing countries i.e. Estonia, Latvia, Lithuania to travel to the regional meeting before COP/4 in 1998
- 5/ UK: Contributions towards travel costs of Biosafety 4; COP/4 (UK Pound 60,000)
- 6/ Switzerland contribution to cover the participation of African countries & countries with economy in transition to the activities of the Ad'hoc Working Group on biosafety assigned by COP/2 & COP/3
- 7/ Switzerland -Madrid Meeting 24-28 November 1997 (CHF 25,000)
- 8/ Canada :additional contribution to cover the travel costs for participants from developing countries to Secretariat meetings
- 9/ Ireland-Meetings of Conference of Parties and SBSTT
- 10/ Finland- COP/4
- 11/ Korea: contributions towards travel costs of participants to the clearing house workshop in Asia
- 12/ Japan pledged US\$523,931 for various core activities (US\$100,000 for the 4th meeting on Biosafety 5-13.2.98, Montreal; US\$20,000 for the Asian Regional Meeting, Hainan, China; US\$100,000 for COP/4 - Bratislava, Slovak Republic) (Japan's pledge to BE of US\$155,931moved to BZ)
- 13/ Switzerland: SBSTT
- 14/ Finland : Assist the participation of developing countries in the negotiations on Boisafety Protocol
- 15/ Switzerland Biosafety-4
- 16/ 80% of 100,000 additional fund for CBD(50,000 for COP/4; 10,000- to support developing participation; 20,000 for adhoc working group biosafety)
- 17/ Australian Contribution for COP/4 Meeting -Bratislava, 4-15 May 1998 (A\$60,000)
- 18/ Canada: Additional contribution to cover the travel costs for the participants from developing countries to Secretariat meetings in 1997
- 19/ Norway for participation of representatives from developing countries to the meeting of parties
- 20/ Norway for Biosafety Meeting August 1998
- 21/ Sweden for participation of representatives from the least developed countries in the workshop on traditional knowledge/or Biosafety
- 22/ EEC pledge for ECU 22,700 (US\$25,000) Biosafety Extended Bureau Meeting, Montreal 21-22/10/98
- 23/ Germany pledged DM100,000 to cover participation of representatives from developing countries (LDCs) 1998 meetings of the CBD
- 24/ EEC contribution ECU 125,000 - Grant of a Subsidy Declaration ref B7-8110/98/258/D4/EH to be paid in two instalments (paid equivalent of ECU 50,000)
- 25/ Norway - the Secretariat to provide supporting documents
- 26/ Netherlands - For participation of Regional Workshop on CHM for Central & Eastern European Countries - DFL 32,800
- 27/ UK pledged (Pounds 50,000) cost of facilitating developing country participation in the Biosafety Meeting February '99 - Colombia
- 28/ Switzerland pledged CHF 100,000 for Biosafety Meeting 22-23 February 1999 - Colombia
- 29/ EEC pledged EUR 75,000 related to financial commitment B7-8110/98/000930/A4/EH - Biosafety Meeting
- 30/ Canada pledge CDN\$15,000 towards Biosafety Protocol Negotiations

ANNEX V**GENERAL TRUST FUND FOR THE CONVENTION ON BIOLOGICAL DIVERSITY (BY)**

As at 31 December 1998

Countries	Unpaid Pledges as at 31/12/97	Adjustments	Pledges for 1998	Collections During 1997 for 1998	Collections in 1998 for prior yrs	Collections During 1998 for 1998 & future yrs	Unpaid Pledges for Prior Years	Unpaid Pledges for 1998	Unpaid pledges for 1998 and Prior Years
	US \$	US \$	US \$	US \$		US \$	US \$	US \$	US \$
Albania	1,654	0	638	0	0	0	1,654	638	2,292
Algeria	0	0	10,211	0	0	0	0	10,211	10,211
Antigua & Barbuda	(638)	0	638	638	0	0	0	0	0
Argentina	0	0	30,634	0	0	0	0	30,634	30,634
Armenia	14,756	0	3,191	0	0	0	14,756	3,191	17,947
Australia	(94,455)	0	94,455	94,455	0	111,547	0	(111,547)	(111,547)
Austria	0	0	55,524	0	0	55,524	0	0	0
Bahamas	0	0	1,276	0	0	3,110	0	(1,834)	(1,834)
Bahrain	0	0	1,149	0	0	0	0	1,149	1,149
Bangladesh	1,695	0	477	0	0	0	1,695	477	2,172
Barbados	705	0	638	0	0	638	705	0	705
Belarus	58,834	0	17,870	0	10,000	0	48,834	17,870	66,704
Belgium	72,076	(12,013)	69,948	0	60,063	0	0	69,948	69,948
Belize	902	0	638	0	0	0	902	638	1,540
Benin	(836)	0	477	0	0	0	(836)	477	(359)
Bhutan	(477)	0	477	477	0	75	0	(75)	(75)
Bolivia	2,406	0	638	0	705	0	1,701	638	2,339
Botswana	(705)	0	638	0	0	686	(705)	(48)	(753)
Brazil	39,269	0	103,390	0	39,269	63,222	0	40,168	40,168
Bulgaria	0	0	5,106	0	0	5,106	0	0	0
Burkina Faso	(112)	0	477	0	0	0	(112)	477	365
Burundi	0	0	64	0	0	0	0	64	64
Cambodia	(164)	0	477	0	0	0	(164)	477	313

Countries	Unpaid Pledges as at 31/12/97	Adjustments	Pledges for 1998	Collections During 1997 for 1998	Collections in 1998 for prior yrs	Collections During 1998 for 1998 & future yrs	Unpaid Pledges for Prior Years	Unpaid Pledges for 1998	Unpaid pledges for 1998 and Prior Years
	US \$	US \$	US \$	US \$		US \$	US \$	US \$	US \$
Cameroon	2,406	0	638	0	1,773	0	633	638	1,271
Canada	0	0	198,483	0	0	198,483	0	0	0
Cape Verde	1,216	0	477	0	0	0	1,216	477	1,693
Central African Rep.	50	0	477	0	0	0	50	477	527
Chad	1,695	0	477	0	0	0	1,695	477	2,172
Chile	0	0	5,106	0	0	0	0	5,106	5,106
China	0	0	47,227	0	0	47,227	0	0	0
Colombia	0	0	6,382	0	0	0	0	6,382	6,382
Comoros	1,695	0	477	0	0	0	1,695	477	2,172
Congo	705	0	638	0	0	0	705	638	1,343
Congo Dem.Rep	1,695	0	477	0	0	0	1,695	477	2,172
Cook Islands	2,406	0	638	0	0	0	2,406	638	3,044
Costa Rica	2,406	0	638	0	0	0	2,406	638	3,044
Cote d'Ivoire	902	0	638	0	0	0	902	638	1,540
Croatia	6,342	0	5,744	0	0	0	6,342	5,744	12,086
Cuba	8,531	0	3,191	0	0	0	8,531	3,191	11,722
Cyprus	0	0	1,915	0	0	1,915	0	0	0
Czech Republic	0	0	15,955	0	0	15,955	0	0	0
Denmark	0	0	45,951	0	0	0	0	45,951	45,951
Djibouti	(305)	0	477	0	0	0	(305)	477	172
Dominica	0	0	638	0	0	713	0	(75)	(75)
Dominica Rep.	0	0	1,021	0	0	0	0	1,021	1,021
Ecuador	1,409	0	1,276	0	0	0	1,409	1,276	2,685
EEC	0	0	119,130	0	0	119,130	0	0	0
Egypt	0	0	5,106	0	0	5,106	0	0	0
El Salvador	2,406	0	638	0	0	0	2,406	638	3,044
Equatorial Guinea	1,695	0	477	0	0	0	1,695	477	2,172
Eritrea	(477)	0	477	477	0	75	0	(75)	(75)

Countries	Unpaid Pledges as at 31/12/97	Adjustments	Pledges for 1998	Collections During 1997 for 1998	Collections in 1998 for prior yrs	Collections During 1998 for 1998 & future yrs	Unpaid Pledges for Prior Years	Unpaid Pledges for 1998	Unpaid pledges for 1998 and Prior Years
	US \$	US \$	US \$	US \$		US \$	US \$	US \$	US \$
Estonia	2,818	0	2,553	0	0	0	2,818	2,553	5,371
Ethiopia	1,216	0	477	0	0	0	1,216	477	1,693
Fiji	705	0	638	0	0	638	705	0	705
Finland	(39,569)	0	39,569	39,569	0	0	0	0	0
France	0	0	409,730	0	0	409,730	0	0	0
Gabon	0	0	1,149	0	0	0	0	1,149	1,149
Gambia	(32)	0	477	0	0	0	(32)	477	445
Georgia	30,928	0	7,020	0	0	0	30,928	7,020	37,948
Germany	0	0	578,218	0	0	578,218	0	0	0
Ghana	1,654	0	638	0	0	0	1,654	638	2,292
Greece	(4,356)	0	24,252	0	0	19,896	(4,356)	4,356	0
Grenada	705	0	638	0	0	0	705	638	1,343
Guatemala	3,306	0	1,276	0	0	0	3,306	1,276	4,582
Guinea	1,005	0	477	0	0	0	1,005	477	1,482
Guinea-Bissau	1,216	0	477	0	0	0	1,216	477	1,693
Guyana	705	0	638	0	0	0	705	638	1,343
Haiti	526	0	477	0	0	0	526	477	1,003
Honduras	1,654	0	638	0	0	0	1,654	638	2,292
Hungary	0	0	8,935	0	0	8,935	0	0	0
Iceland	0	0	1,915	0	0	1,915	0	0	0
India	0	0	19,784	0	0	19,766	0	18	18
Indonesia	9,363	0	8,935	0	0	0	9,363	8,935	18,298
Iran	31,708	0	28,719	0	0	0	31,708	28,719	60,427
Ireland	(13,402)	0	13,402	13,402	0	16,860	0	(16,860)	(16,860)
Israel	0	0	17,232	0	0	17,232	0	0	0
Italy	0	0	335,060	0	0	335,060	0	0	0
Jamaica	0	0	638	0	0	0	0	638	638

Countries	Unpaid Pledges as at 31/12/97	Adjustments	Pledges for 1998	Collections During 1997 for 1998	Collections in 1998 for prior yrs	Collections During 1998 for 1998 & future yrs	Unpaid Pledges for Prior Years	Unpaid Pledges for 1998	Unpaid pledges for 1998 and Prior Years
	US \$	US \$	US \$	US \$		US \$	US \$	US \$	US \$
Japan	1,102,725	0	998,797	0	1,102,725	0	0	998,797	998,797
Jordan	0	0	638	0	0	0	0	638	638
Kazakhstan	51,909	0	12,126	0	0	0	51,909	12,126	64,035
Kenya	(79)	0	638	0	0	0	(79)	638	559
Kiribati	0	0	477	0	0	552	0	(75)	(75)
Korea, Dem. People' Rep.	11,274	0	3,191	0	0	0	11,274	3,191	14,465
Korea, Rep. of	(52,333)	0	52,333	52,333	0	0	0	0	0
Kyrgyztan	2,114	0	1,915	0	0	0	2,114	1,915	4,029
Lao PDR	0	0	477	0	0	457	0	20	20
Latvia	(5,106)	0	5,106	5,106	0	0	0	0	0
Lebanon	(996)	0	638	0	0	0	(996)	638	(358)
Lesotho	0	0	477	0	0	0	0	477	477
Liechtenstein	0	0	319	0	0	0	0	319	319
Lithuania	13,701	0	5,106	0	13,701	5,106	0	0	0
Luxembourg	0	0	4,467	0	0	4,467	0	0	0
Macedonia	0	0	319	0	0	0	0	319	319
Madagascar	526	0	477	0	0	0	526	477	1,003
Malawi	(477)	0	477	477	0	0	0	0	0
Malaysia	0	0	8,935	0	0	0	0	8,935	8,935
Maldives	690	0	477	0	0	477	690	0	690
Mali	1,216	0	477	0	0	0	1,216	477	1,693
Mauritania	526	0	477	0	0	0	526	477	1,003
Mauritius	(638)	0	638	638	0	677	0	(677)	(677)
Marshall Islands	1,654	0	638	0	1,654	638	0	0	0
Mexico	73,991	(208)	50,419	0	19,650	0	54,133	50,419	104,552
Micronesia, Fed. States	(638)	0	638	638	0	0	0	0	0

Countries	Unpaid Pledges as at 31/12/97	Adjustments	Pledges for 1998	Collections During 1997 for 1998	Collections in 1998 for prior yrs	Collections During 1998 for 1998 & future yrs	Unpaid Pledges for Prior Years	Unpaid Pledges for 1998	Unpaid pledges for 1998 and Prior Years
	US \$	US \$	US \$	US \$		US \$	US \$	US \$	US \$
Moldova, Rep. of	13,701	0	5,106	0	0	0	13,701	5,106	18,807
Monaco	0	0	638	0	0	0	0	638	638
Mongolia	0	0	638	0	0	0	0	638	638
Morocco	0	0	1,915	0	0	4,029	0	(2,114)	(2,114)
Mozambique	(477)	0	477	477	0	0	0	0	0
Myanmar	1,695	0	477	0	0	0	1,695	477	2,172
Namibia	0	0	447	0	0	0	0	447	447
Nauru	1,654	0	638	0	0	0	1,654	638	2,292
Nepal	(1,167)	0	477	0	0	1,693	(1,167)	(1,216)	(2,383)
Netherlands	0	0	101,475	0	0	101,475	0	0	0
New Zealand	(15,317)	0	15,317	15,317	0	16,634	0	(16,634)	(16,634)
Nicaragua	1,654	0	638	0	0	0	1,654	638	2,292
Niger	1,216	0	477	0	0	0	1,216	477	1,693
Nigeria	30,690	0	7,020	0	30,690	7,020	0	0	0
Niue	705	0	638	0	705	713	0	(75)	(75)
Norway	0	0	35,740	0	0	36,492	0	(752)	(752)
Oman	0	0	2,553	0	0	6,392	0	(3,839)	(3,839)
Pakistan	(10)	0	3,829	0	0	0	(10)	3,829	3,819
Panama	2,406	0	638	0	1,600	0	806	638	1,444
Papua New Guinea	0	0	638	0	0	1,140	0	(502)	(502)
Paraguay	705	0	638	0	0	0	705	638	1,343
Peru	4,228	0	3,829	0	0	0	4,228	3,829	8,057
Philippines	(15)	0	3,829	0	0	3,814	(15)	15	0
Poland	32,019	0	21,061	0	32,019	21,061	0	0	0
Portugal	19,729	0	17,870	0	19,729	0	0	17,870	17,870
Qatar	0	0	2,553	0	0	2,533	0	20	20
Romania	0	0	9,573	0	0	9,573	0	0	0

Countries	Unpaid Pledges as at 31/12/97	Adjustments	Pledges for 1998	Collections During 1997 for 1998	Collections in 1998 for prior yrs	Collections During 1998 for 1998 & future yrs	Unpaid Pledges for Prior Years	Unpaid Pledges for 1998	Unpaid pledges for 1998 and Prior Years
	US \$	US \$	US \$	US \$		US \$	US \$	US \$	US \$
Russian Federation	373,054	0	272,515	0	0	0	373,054	272,515	645,569
Rwanda	526	0	477	0	0	0	526	477	1,003
St. Kitts & Nevis	0	0	638	0	0	638	0	0	0
St. Lucia	705	0	638	0	705	638	0	0	0
Saint Vincent & Grenadines	705	0	638	0	0	0	705	638	1,343
Samo	1,216	0	477	0	1,216	552	0	(75)	(75)
San Marino	0	0	638	0	0	638	0	0	0
Senegal	(180)	0	638	0	0	146	(180)	492	312
Seychelles	2,406	0	638	0	0	0	2,406	638	3,044
Sierra Leone	1,695	0	477	0	0	0	1,695	477	2,172
Singapore	0	0	8,935	0	0	8,935	0	0	0
Slovak	0	0	5,106	0	0	5,106	0	0	0
Slovenia	4,932	0	4,467	0	4,932	9,058	0	(4,591)	(4,591)
Solomon Is.	1,216	0	477	0	0	0	1,216	477	1,693
South Africa	0	0	20,423	0	0	47,971	0	(27,548)	(27,548)
Spain	0	0	151,894	0	0	151,894	0	0	0
Sri Lanka	0	0	638	0	0	0	0	638	638
Sudan	1,216	0	477	0	0	0	1,216	477	1,693
Suriname	1,395	0	638	0	1,395	638	0	0	0
Swaziland	0	0	638	0	0	789	0	(151)	(151)
Sweden	0	0	78,500	0	0	78,500	0	0	0
Switzerland	0	0	77,223	0	0	168,673	0	(91,450)	(91,450)
Syria	3,523	0	3,191	0	0	0	3,523	3,191	6,714
Tajikistan	0	0	511	0	0	0	0	511	511
Togo	1,216	0	477	0	0	0	1,216	477	1,693
Trinidad & T.	0	0	1,915	0	0	0	0	1,915	1,915
Tunisia	(1,915)	0	1,915	1,915	0	2,107	0	(2107)	(2107)

Countries	Unpaid Pledges as at 31/12/97	Adjustments	Pledges for 1998	Collections During 1997 for 1998	Collections in 1998 for prior yrs	Collections During 1998 for 1998 & future yrs	Unpaid Pledges for Prior Years	Unpaid Pledges for 1998	Unpaid pledges for 1998 and Prior Years
	US \$	US \$	US \$	US \$		US \$	US \$	US \$	US \$
Turkey	26,775	0	28,081	0	26,775	0	0	28,081	28,081
Turkmenistan	2,818	0	2,553	0	0	0	2,818	2,553	5,371
Uganda	258	0	477	0	0	0	258	477	735
Ukraine	184,958	0	69,565	0	110,000	0	74,958	69,565	144,523
U. K.	(339,527)	0	339,527	339,527	0	0	0	0	0
Un.Rep. of Tanzania	526	0	477	0	0	0	526	477	1,003
Uruguay	9,620	0	2,553	0	0	0	9,620	2,553	12,173
Uzbekistan	9,160	0	8,297	0	0	8,297	9,160	0	9,160
Vanuatu	526	0	477	0	526	477	0	0	0
Venezuela	(52,769)	0	21,061	52,769	0	0	0	(31,708)	(31,708)
Viet Nam	0	0	638	0	0	0	0	638	638
Yemen	705	0	638	0	0	0	705	638	1,343
Zambia	526	0	477	0	0	0	526	477	1,003
Zimbabwe	(638)	0	638	638	0	0	0	0	0
TOTAL	1,687,961	(12,221)	4,868,226	618,853	1,479,832	2,746,761	814,760	1,502,613	2,317,373

Additional Contributions									
USA	75,000	0	95,000	0	75,000	95,000	0	0	0
Canada	0	0	800,000	0		800,000	0	0	0
Quebec	0	0	200,000	0		200,000	0	0	0
Grand Total	1,762,961	(12,221)	5,963,226	618,853	1,554,832	3,841,761	814,760	1,502,613	2,317,373

Please note the copies of acknowledgement letter and receipts are yet to follow

ANNEX VI

LIST OF MEETINGS TO BE ORGANIZED BY THE
SECRETARIAT OF THE CONVENTION ON BIOLOGICAL DIVERSITY
(As of 31 January 1999)

<u>Dates</u>	<u>Meeting Title</u>	<u>Venue</u>
14 - 19 February 1999	Sixth Meeting of the Open-ended <i>Ad Hoc</i> Working Group on Biosafety	Colombia
22 - 23 February 1999	Extraordinary Meeting of the COP	Colombia
21 - 25 June 1999	Fourth Meeting of SBSTT	Montreal
28 - 30 June 1999	Intersessional Meeting on the Operations of the Convention	Montreal
4 - 8 October 1999	Expert Panel on Access and Benefit-sharing	To be determined
Nov. - Dec. 1999	<i>Ad Hoc</i> Technical Expert Group Meetings under SBSTT	Montreal
24 - 28 January 2000	<i>Ad Hoc</i> Working Group on Article 8 (j)	Montreal
31 January - 4 February 2000	Fifth Meeting of SBSTT	Montreal
15 - 26 May 2000	Fifth Meeting of the COP	Nairobi

ANNEX VII

SUBSIDIARY BODY ON SCIENTIFIC,
TECHNICAL AND TECHNOLOGICAL ADVICE
Fourth Meeting
Montreal, 21-25 June 1999

PROVISIONAL AGEND

1. Opening of the meeting.
2. Organizational matters:
 - 2.1 Election of officers;
 - 2.2 Adoption of the agenda;
 - 2.3 Organization of work
3. Reports:
 - 3.1 Cooperation with other bodies;
 - 3.2 Progress in the work programmes on thematic areas.
4. Priority issues:
 - 4.1 Programme of work of SBSTT ;
 - 4.2 *Ad hoc* technical expert groups: establishment of the terms of reference;
 - 4.3 Global Taxonomy Initiative: advice on its further advancement;
 - 4.4 Assessment of the status and trends and options for conservation and sustainable use of terrestrial biological diversity (drylands, Mediterranean, arid, semi-arid, grassland, and savannah ecosystems);
 - 4.5 Development of guiding principles for the prevention of impacts of alien species, by identifying priority areas of work on isolated ecosystems and by evaluating and giving recommendations for further development of the Global Invasive Species Programme (GISP), with a view to cooperation;
 - 4.6 Consideration of the consequences of the use of the new technology

for the control of plant gene expression for the conservation and sustainable use of biological diversity;

- 4.7 Incorporation of biological diversity considerations into environmental impact assessment;
- 4.8 Development of approaches and practices for the sustainable use of biological resources, including tourism.
5. Draft provisional agenda for the fifth meeting of SBSTTA.
6. Date and venue of the fifth meeting of SBSTTA.
7. Other matters.
8. Adoption of the report.
9. Closure of the meeting.

LIST OF DOCUMENTS FOR THE FOURTH MEETING OF THE
SUBSIDIARY BODY ON SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL ADVICE

1. Annotated Provisional Agenda
2. Report on co-operation with other bodies.
3. Progress report on the implementation of programmes of work on thematic areas.
4. Proposal on the draft programme of work of the Subsidiary Body on Scientific, Technical and Technological Advice.
5. Terms of reference for the *ad hoc* technical expert groups.
6. Further advancement of the Global Taxonomy Initiative.
7. Assessment of the status and trends and options for conservation and sustainable use of terrestrial biological diversity: drylands, arid, semi-arid, grassland, and savannah ecosystems.
8. Development of guiding principles for the prevention of impacts of alien species by identifying priority areas of work on isolated ecosystems and by evaluating and giving recommendations for the further development of the Global Invasive Species Programme, with a view to co-operation.
9. Consequences of the use of the new technology for the control of plant gene expression for the conservation and sustainable use of biological diversity.

10. Synthesis of reports and case-studies relating to environmental impact assessment.
11. Development of approaches and practices for the sustainable use of biological resources, including tourism.
12. Draft provisional agenda for the fifth meeting of SBSTTA.

ANNEX VIII

SUBSIDIARY BODY ON SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL ADVICE

Fifth Meeting

Montreal, 31 January-4 February 2000

PROVISIONAL AGEND

Item 1. Organizational Matters

1. Opening of the meeting.
2. Election of officers.
3. Adoption of the agenda.
4. Organization of work.

Item 2. Reports

5. Report on co-operation with other bodies.
6. Report on the roster of experts.
7. Report on the independent review of the pilot phase of the clearing-house mechanism.
8. Progress report on the development of indicators.
9. Agricultural biological diversity: outcome of the assessment on ongoing activities and existing instruments and establishment of th multi-year programme of activities.
10. Inland waters biological diversity: ways and means to implement the work programme.
11. Marine and coastal biological diversity: consideration of implementation tools for the programme of work.
12. Forest biological diversity: status and trends and identification of options for conservation and sustainable use.

Item 3. Priority Issues

13. Ecosystem approach: further conceptual elaboration.

14. Programme of work for dryland, mediterranean, arid, semiarid, grassland and savannah biological diversity.
15. Sustainable use of the components of biological diversity: identification of sectoral activities that could adopt biodiversity-friendly practices and technologies.
16. Establishment of guidelines for the second National Reports.

Item 4. Final Matters

17. Draft provisional agenda for the sixth meeting of SBSTTA.
18. Date and venue of the sixth meeting of SBSTTA.
19. Other matters.
20. Adoption of the report.
21. Closure of the meeting.

LIST OF DOCUMENTS FOR THE FIFTH MEETING OF THE
SUBSIDIARY BODY ON SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL ADVICE

1. Report on co-operation with other bodies.
2. Report on criteria for utilization of the roster of experts.
3. Report on the independent review of the pilot-phase of the clearing-house mechanism.
4. Progress report on the development of biological diversity indicators.
5. Outcome of the assessment exercise on agricultural biological diversity and draft proposal for the multi-year programme of activities.
6. Ways and means to implement the programme of work on inland waters biological diversity.
7. Consideration of implementation tools for the programme of work on marine and coastal biological diversity.
8. Forest biological diversity: status and trends and identification of options for conservation and sustainable use.

9. Further conceptual elaboration on the Ecosystem Approach.
10. Proposal of a programme of work for dryland, mediterranean, arid, semiarid, grassland and savannah biological diversity.
11. Sustainable use of components of biological diversity: identification of sectoral activities that could adopt biodiversity-friendly practices and technologies.
12. Synthesis of information contained in national reports on the implementation of the Convention and further guidelines on the preparation of national reports.
13. Draft provisional agenda for the sixth meeting of SBSTTA.

ANNEX IX

INTER-SESSIONAL MEETING ON THE OPERATIONS OF THE CONVENTION

First meeting

Montreal, 28 - 30 June 1999

DRAFT PROVISIONAL AGEND

Item 1. Organizational Matters

1. Opening of the meeting
2. Election of officers
3. Adoption of the agenda
4. Organization of work

Item 2. Operation of the Convention

5. Review of the operations of the Convention and the programme of work.
 - 5.1 Consideration of the operations of other conventions
 - 5.2 Review of experience of the operations of the Convention

Item 3. Access to Genetic Resources and Benefit-Sharing

6. Review of access to genetic resources and benefit-sharing arrangements and consideration of options
7. Ex-situ collections which were acquired prior to the entry into force of the Convention on Biological Diversity and which are not addressed by the Commission on Genetic Resources for Food and Agriculture of the Food and Agricultur Organization
8. The relationship between intellectual property rights and the relevant provisions of the Agreement on Trade-Related Aspects of Intellectual Property Rights and the Convention on Biological Diversity

Item 4. Final Matters

7. Other matters
8. Adoption of the report
9. Closure of the meeting

LIST OF DOCUMENTS FOR THE INTER-SESSIONAL MEETING
ON THE OPERATION OF THE CONVENTION

1. Report of the fourth meeting of the Conference of the Parties to the Convention on Biological Diversity
2. Analysis of the development and experience of the operations of other conventions and agreements
3. Draft Handbook on Convention
4. UNEP/CBD/COP/4/14 Synthesis of the views of the operations of the Convention
5. UNEP/CBD/COP/3/35 Relationship of the Convention with other processes: modalities for enhanced co-operation with relevant biodiversity-related bodies
6. Review of access and benefit sharing arrangements
7. Report on the information on ex situ collections in accordance with decision IV/8
8. The relationship between intellectual property rights and the relevant provisions of the Agreement on Trade-Related Aspects of Intellectual Property Rights and the Convention on Biological Diversity
9. UNEP/CBD/COP/4/21 Measures to promote and advance the distribution of benefits from biotechnology in accordance with Article 19
10. UNEP/CBD/COP/4/23 Review of national, regional and sectoral measures and guidelines on access to genetic resources
11. UNEP/CBD/COP/4/Inf.7 Synthesis of case studies on benefit-sharing
12. Compilation of case studies on genetic resources and equitable sharing of benefits

ANNEX X

EXPERT PANEL ON ACCESS AND BENEFIT-SHARING

First meeting

Montreal, 4-8 October 1999

DRAFT PROVISIONAL AGEND

Item 1. Organizational Matters

1. Opening of the meeting
2. Election of officers
3. Adoption of the agenda
4. Organization of work

Item 2. Access and Benefit-Sharing

5. Best practices on benefit sharing arrangements
6. Development of a common understanding of basic concepts
7. Exploration of options for access and benefit sharing on mutually agreed terms, including th consideration of Annex to decision IV/8

Item 3. Final Matters

8. Other matters
9. Adoption of the report
10. Closure of the meeting

LIST OF DOCUMENTS FOR THE FIRST MEETING OF THE EXPERT PANEL ON ACCESS AND BENEFIT-SHARING

1. Review of access and benefit sharing arrangements

2. Text of the Convention
3. Decisions II/15, III/11, III/15, IV/8
4. UNEP/CBD/COP/2/13 Access to genetic resources and benefit-sharing: legislation, administrative and policy information
5. UNEP/CBD/COP/3/20 Access to genetic resources
6. UNEP/CBD/COP/3/22 The impact of intellectual property rights systems on the conservation and sustainable use of biological diversity and the equitable sharing of benefits from its us
7. UNEP/CBD/COP/3/Inf.53 Fair and equitable sharing of benefits arising from the use of genetic resources
8. UNEP/CBD/COP/4/21 Measures to promote and advance the distribution of benefits from biotechnology in accordance with Article 19
9. UNEP/CBD/COP/4/23 Review of national, regional and sectoral measures and guidelines on access to genetic resources
10. UNEP/CBD/COP/4/Inf.7 Synthesis of case studies on benefit-sharing
11. UNEP/CBD/COP/4/Inf.10 Best practices for access to genetic resources, International Workshop, Cordoba, 16-17 January 1998
12. UNEP/CBD/COP/4/Inf.16 Access to genetic resources and means for fair and equitable benefit sharing - a case study, April 1998, submission by the Government of Switzerland
13. UNEP/CBD/COP/4/Inf. 30
14. Compilation of Case Studies on Benefit-sharing

ANNEX XI

FIRST MEETING OF THE *AD HOC* OPEN-ENDED
INTERSESSIONAL WORKING GROUP TO ADDRESS
THE IMPLEMENTATION OF ARTICLE 8(J) RELATED
PROVISIONS OF THE CONVENTION
24-28 January, 2000

DRAFT PROVISIONAL AGEND

1. Opening of the meeting.
2. Adoption of the Agenda.
3. Organization of work.
4. Consideration of the background document (synthesis of case studies) prepared by the Executive Secretary (Para.10, Decision COP IV/9).
5. Development of a programme of work, based on the structure of the elements in the Madrid report (UNEP/CBD/COP/4/10/Add.1):
 - Consideration of the priorities of work.
 - Identification of workplan objectives and activities to be directed to the Conference of the Parties and SBSTTA, taking into account the programme of work of other related thematic areas, and other international bodies or processes.
 - identification for collaboration and coordination with other international bodies or process to foster synergy and avoid duplication of work.
 - Consideration on measures to strengthen cooperation and to propose mechanisms that support cooperation at the international level among indigenous and local communities embodying traditional lifestyles relevant to the conservation and sustainable use of biological diversity.
6. Provision of advice on the application and development of legal and other forms of protection for the knowledge, innovations and practices of indigenous and local communities embodying traditional lifestyles relevant for the conservation and sustainable use of biological diversity:
7. Other matters.
8. Adoption of the report.
9. Closure of the meeting.

ANNEX XII**PARTIES AND GOVERNMENTS WHICH HAVE
SUBMITTED A FULL NATIONAL REPORT**

- | | | | |
|-----|--------------------|-----|---|
| 1. | Argentina | 41. | Mongolia |
| 2. | Australia | 42. | Mozambique |
| 3. | Austria | 43. | Namibia |
| 4. | Belarus | 44. | Nepal |
| 5. | Belgium | 45. | Netherlands |
| 6. | Benin | 46. | New Zealand |
| 7. | Bhutan | 47. | Norway |
| 8. | Bolivia | 48. | Oman |
| 9. | Botswana | 49. | Panama |
| 10. | Brazil | 50. | Peru |
| 11. | Bulgaria | 51. | Philippines |
| 12. | Cameroon | 52. | Poland |
| 13. | Canada | 53. | Portugal |
| 14. | China | 54. | Qatar |
| 15. | Colombia | 55. | Republic of Korea |
| 16. | Costa Rica | 56. | Romania |
| 17. | Denmark | 57. | Russian Federation |
| 18. | Djibouti | 58. | Samoa |
| 19. | Dominican Republic | 59. | Senegal |
| 20. | Egypt | 60. | Seychelles |
| 21. | Eritrea | 61. | Singapore |
| 22. | Estonia | 62. | Slovakia |
| 23. | European Community | 63. | Slovenia |
| 24. | Finland | 64. | South Africa |
| 25. | France | 65. | Spain |
| 26. | Germany | 66. | Sweden |
| 27. | Greece | 67. | Switzerland |
| 28. | Hungary | 68. | Thaila |
| 29. | India | 69. | Tunisia |
| 30. | Indonesia | 70. | Turkey |
| 31. | Ireland | 71. | Uganda |
| 32. | Israel | 72. | Ukraine |
| 33. | Italy | 73. | United Kingdom of Great Britain
and Northern Ireland |
| 34. | Japan | 74. | Vanuatu |
| 35. | Latvia | 75. | Vietnam |
| 36. | Lebanon | | |
| 37. | Madagascar | | |
| 38. | Malaysia | | |
| 39. | Mexico | | |
| 40. | Monaco | | |

**PARTIES WHICH HAVE SUBMITTED
AN INTERIM NATIONAL REPORT**

- | | | | |
|-----|----------------------------------|-----|---------------------|
| 1. | Algeria | 20. | Kazakhstan |
| 2. | Armenia | 21. | Kenya |
| 3. | Bahamas | 22. | Lesotho |
| 4. | Belize | 23. | Malawi |
| 5. | Burkina Faso | 24. | Maldives |
| 6. | Cape Verde | 25. | Mali |
| 7. | Chad | 26. | Marshall Islands |
| 8. | Chile | 27. | Niger |
| 9. | Comoros | 28. | Rwanda |
| 10. | Cuba | 29. | Saint Lucia |
| 11. | Democratic Republic of the Congo | 30. | Sri Lanka |
| 12. | Ecuador | 31. | Swaziland |
| 13. | El Salvador | 32. | Togo |
| 14. | Equatorial Guinea | 33. | Trinidad and Tobago |
| 15. | Fiji | 34. | Uruguay |
| 16. | Gabon | 35. | Uzbekistan |
| 17. | Gambia | 36. | Zambia |
| 18. | Haiti | 37. | Zimbabwe |
| 19. | Jamaica | | |

PARTIES WHICH HAVE NOT YET SUBMITTED A NATIONAL REPORT

- | | | | |
|-----|---------------------------------------|-----|--|
| 1. | Albania | 17. | Dominica |
| 2. | Angola | 18. | Ethiopia |
| 3. | Antigua and Barbuda | 19. | Former Yugoslav Republic of
Macedonia |
| 4. | Bahrain | 20. | Georgia |
| 5. | Bangladesh | 21. | Ghana |
| 6. | Barbados | 22. | Grenada |
| 7. | Burundi | 23. | Guatemala |
| 8. | Cambodia | 24. | Guinea |
| 9. | Central African Republic | 25. | Guinea-Bissau |
| 10. | Congo | 26. | Guyana |
| 11. | Cook Islands | 27. | Honduras |
| 12. | Côte d'Ivoire | 28. | Iceland |
| 13. | Croatia | 29. | Iran (Islamic Republic of) |
| 14. | Cyprus | 30. | Jordan |
| 15. | Czech Republic | 31. | Kiribati |
| 16. | Democratic People's Republic of Korea | | |

32. Kyrgyzstan
33. Lao People's Democratic Republic
34. Liechtenstein
35. Lithuania
36. Luxembourg
37. Mauritania
38. Mauritius
39. Micronesia (Federated States of)
40. Morocco
41. Myanmar
42. Nauru
43. Nicaragua
44. Niue
45. Nigeria
46. Pakistan
47. Papua New Guinea
48. Paraguay
49. Republic of Moldova
50. Saint Kitts and Nevis
51. Saint Vincent and the Grenadines
52. San Marino
53. Sierra Leon
54. Solomon Islands
55. Sudan
56. Suriname
57. Syrian Arab Republic
58. Tajikistan
59. Tonga
60. Turkmenistan
61. United Republic of Tanzania
62. Venezuela
63. Yemen