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Improving Brooks' theorem

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October 28, 2011

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A prison problem

A prison problem

You are a warden in a prison with five large cells. You need to put all the inmates into the cells, but to prevent fighting you cannot put a pair of inmates that have fought before into the same cell. Each inmate in the prison has fought with at most six other inmates and none of the inmates who have fought with six others have fought with each other. Under what conditions can you complete your task?

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- plainly, if there is a group of six inmates who have all fought one another, then you cannot complete your task
- is this simple necessary condition sufficient?

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Greedy coloring

- $C := \{c_1, c_2, c_3, \dots\}$ an infinite set of colors

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- $C := \{c_1, c_2, c_3, \dots\}$ an infinite set of colors
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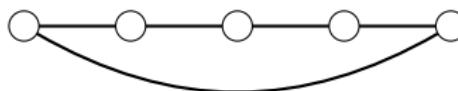
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- $C := \{c_1, c_2, c_3, \dots\}$ an infinite set of colors
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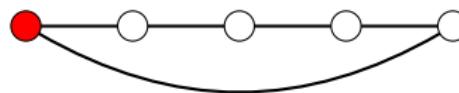
For example, say $C := \{\text{red, green, blue, cyan, \dots}\}$ and G is the 5-cycle:



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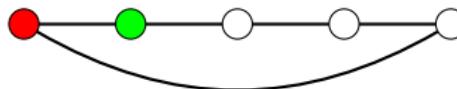
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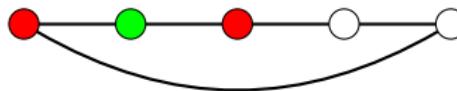
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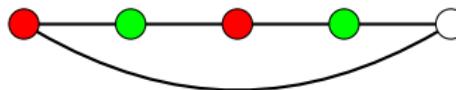
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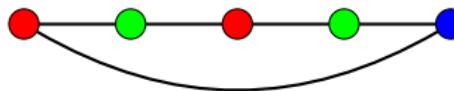
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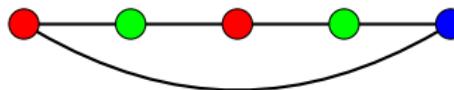
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For example, say $C := \{\text{red, green, blue, cyan, \dots}\}$ and G is the 5-cycle:



- if G has maximum degree k , then v_i has at most k colored neighbors, so greedy coloring uses at most $k + 1$ colors

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- $\chi(G) :=$ the minimum number of colors needed to color the vertices of G so that adjacent vertices receive different colors

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- $\chi(G) :=$ the minimum number of colors needed to color the vertices of G so that adjacent vertices receive different colors
- $\omega(G) :=$ the number of vertices in a largest complete subgraph of G

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Theorem (Brooks 1941)

Every graph with $\Delta \geq 3$ satisfies $\chi \leq \max\{\omega, \Delta\}$.

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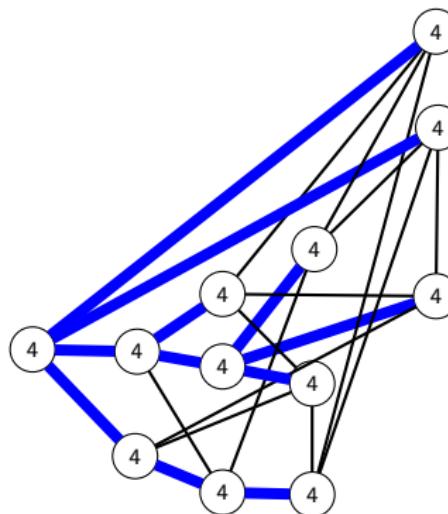
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Proof sketch

Any incomplete 2-connected graph with $\Delta \geq 3$ has a spanning tree where the root has two nonadjacent leaves as neighbors.



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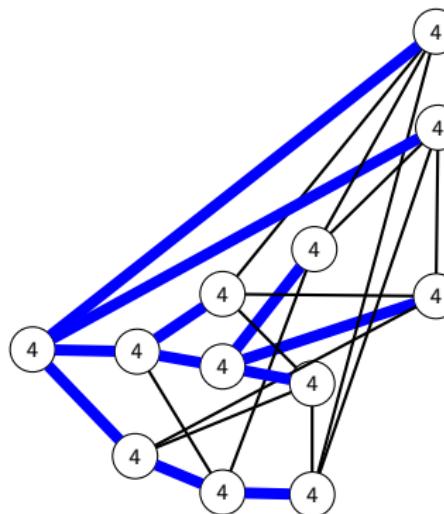
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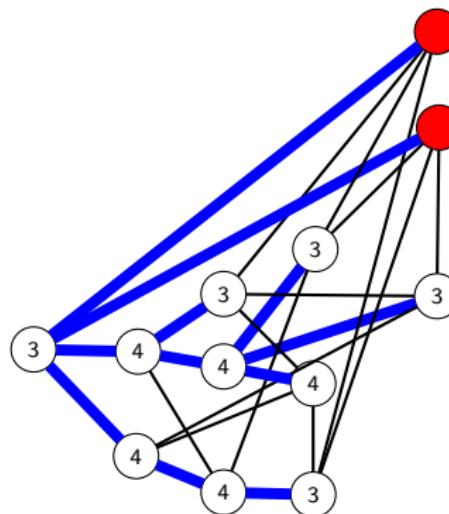
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Greedily coloring in leaf first order proves Brooks' theorem

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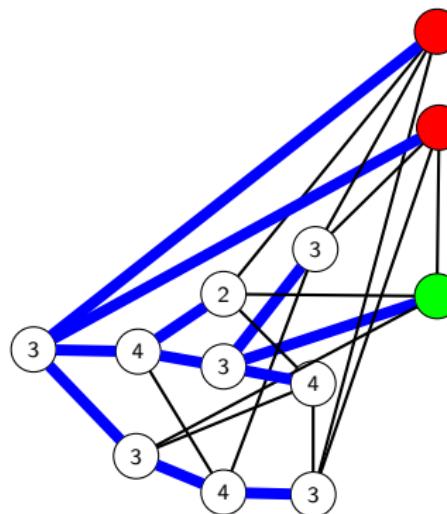
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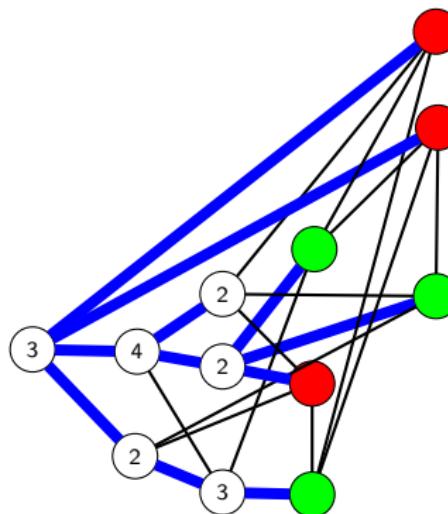
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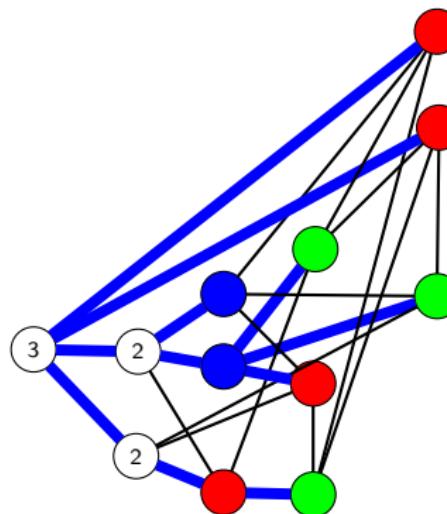
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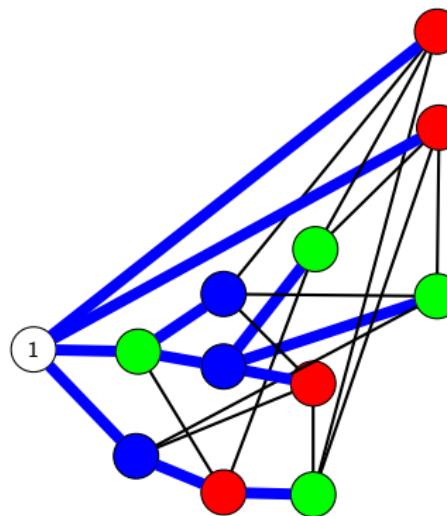
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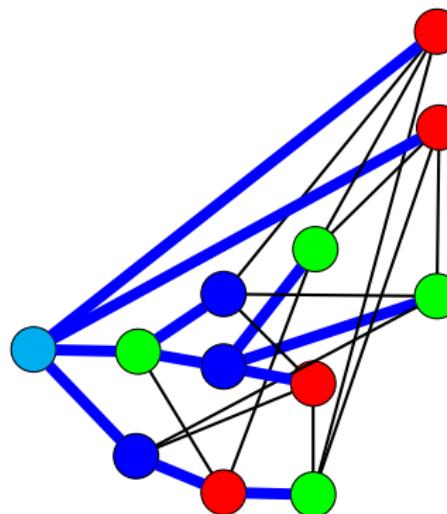
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The Ore-degree

Definition

The *Ore-degree* of an edge xy in a graph G is

$$\theta(xy) := d(x) + d(y).$$

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- every graph satisfies $\lfloor \frac{\theta}{2} \rfloor \leq \Delta$
- greedy coloring (in any order) shows that every graph satisfies $\chi \leq \lfloor \frac{\theta}{2} \rfloor + 1$

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Kierstead and Kostochka's generalization

Theorem (Kierstead and Kostochka 2009)

Every graph with $\theta \geq 12$ satisfies $\chi \leq \max \left\{ \omega, \left\lfloor \frac{\theta}{2} \right\rfloor \right\}$.

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Kierstead and Kostochka conjectured that the 12 could be reduced to 10. That this would be best possible can be seen from the following example which has $\theta = 9$, $\omega = 4$ and $\chi = 5$.

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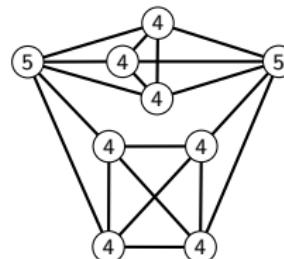


Figure: O_5 , a counterexample with $\theta = 9$.

Rephrasing the problem

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A graph G is called *vertex critical* if $\chi(G - v) < \chi(G)$ for each $v \in V(G)$.

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Problem

Prove that $K_{\Delta(G)+1}$ is the only vertex critical graph G with $\chi(G) \geq \Delta(G) \geq 6$ such that $\mathcal{H}(G)$ is edgeless.

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Kierstead and Kostochka's proof

- the proof is high-tech and clean, it uses both of the following

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- a result of Stiebitz from 1982 proving a conjecture of Gallai stating that $\mathcal{H}(G)$ has at most as many components as $\mathcal{L}(G)$

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- unfortunately, it only works for $\Delta \geq 7$

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To get down to $\Delta = 6$, go low-tech and get dirty.

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Theorem (Rabern 2010)

$K_{\Delta(G)+1}$ is the only vertex critical graph G with
 $\chi(G) \geq \Delta(G) \geq 6$ and $\omega(\mathcal{H}(G)) \leq \left\lfloor \frac{\Delta(G)}{2} \right\rfloor - 2$.

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- setting $\omega(\mathcal{H}(G)) = 1$ proves Kierstead and Kostochka's conjecture
- equivalently, as long as there is no group of six inmates who have all fought one another, you (the warden) can complete your inmate-cell-assignment task

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- start with a minimal counterexample G
- for any induced subgraph H , $\Delta - 1$ coloring $G - H$ leaves a list assignment L on H where $|L(v)| \geq \deg(v) - 1$

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Goal

Construct a subgraph H for which such a list assignment can always be completed.

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- we need H to have large degrees to get large lists, so H will be “dense”

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- we need H to have large degrees to get large lists, so H will be “dense”
- first, use minimality of G to exclude some troublesome H 's
- run the following recoloring algorithm to construct H

Partitioned colorings

Definition

Let G be a vertex critical graph. Let $a \geq 1$ and r_1, \dots, r_a be such that $1 + \sum_i r_i = \chi(G)$. By a **(r_1, \dots, r_a) -partitioned coloring** of G we mean a proper coloring of G of the form

$$\{\{x\}, L_{11}, L_{12}, \dots, L_{1r_1}, L_{21}, L_{22}, \dots, L_{2r_2}, \dots, L_{a1}, L_{a2}, \dots, L_{ar_a}\}.$$

Here $\{x\}$ is a singleton color class and each L_{ij} is a color class.

Mozhan's Lemma

Lemma (Mozhan 1983)

Let G be a vertex critical graph. Let $a \geq 1$ and r_1, \dots, r_a be such that $1 + \sum_i r_i = \chi(G)$. Of all (r_1, \dots, r_a) -partitioned colorings of G pick one minimizing

$$\sum_{i=1}^a \left\| G \left[\bigcup_{j=1}^{r_i} L_{ij} \right] \right\|.$$

Remember that $\{x\}$ is a singleton color class in the coloring. Put $U_i := \bigcup_{j=1}^{r_i} L_{ij}$ and let $Z_i(x)$ be the component of x in $G[\{x\} \cup U_i]$. If $d_{Z_i(x)}(x) = r_i$, then $Z_i(x)$ is complete if $r_i \geq 3$ and $Z_i(x)$ is an odd cycle if $r_i = 2$.

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- take a $(\lfloor \frac{\Delta-1}{2} \rfloor, \lceil \frac{\Delta-1}{2} \rceil)$ -partitioned coloring minimizing the above function

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- take a $(\lfloor \frac{\Delta-1}{2} \rfloor, \lceil \frac{\Delta-1}{2} \rceil)$ -partitioned coloring minimizing the above function
- prove that we may assume that x is a low vertex

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The recoloring algorithm

- take a $(\lfloor \frac{\Delta-1}{2} \rfloor, \lceil \frac{\Delta-1}{2} \rceil)$ -partitioned coloring minimizing the above function
- prove that we may assume that x is a low vertex
- by Mozhan's lemma, the neighborhood of x in each part induces a clique or an odd cycle

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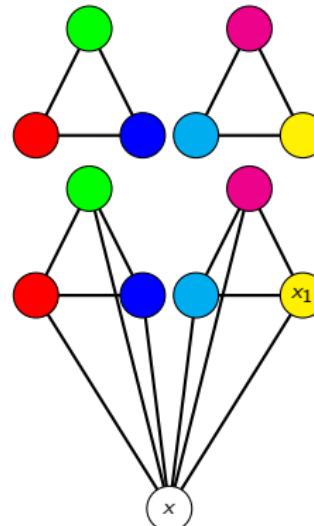
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- swap x with a low vertex x_1 in the right part

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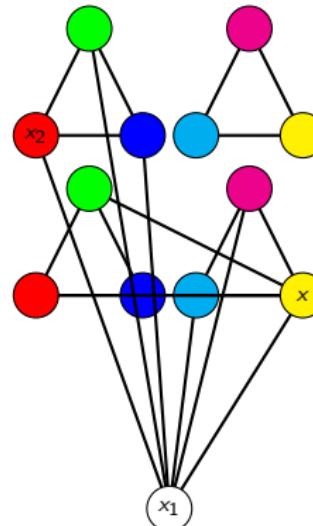
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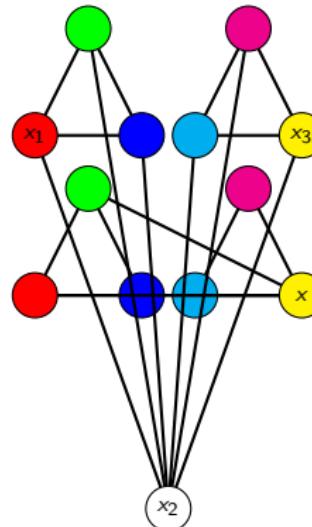
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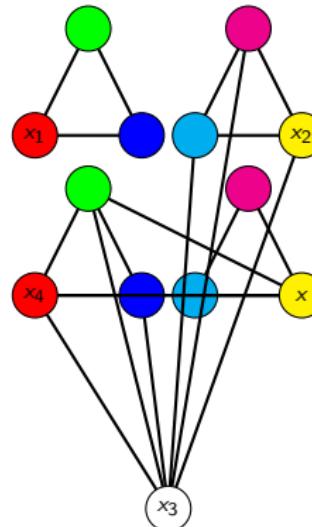
- swap x with a low vertex x_1 in the right part
- swap x_1 with a low vertex x_2 in the left part

The recoloring algorithm



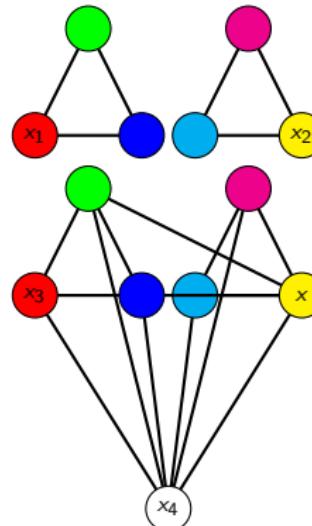
- swap x with a low vertex x_1 in the right part
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- continue swapping back and forth until you wrap around

The recoloring algorithm



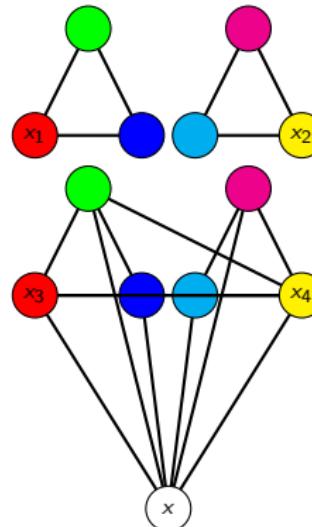
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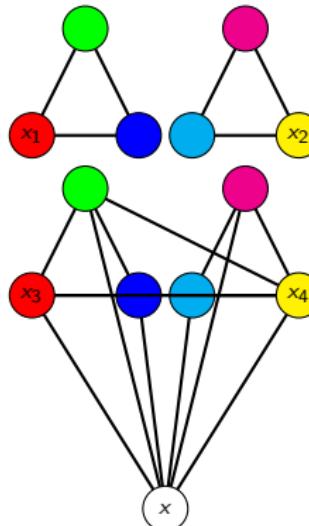
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- use the fact that you wrapped around to show that there are many edges between the two cliques

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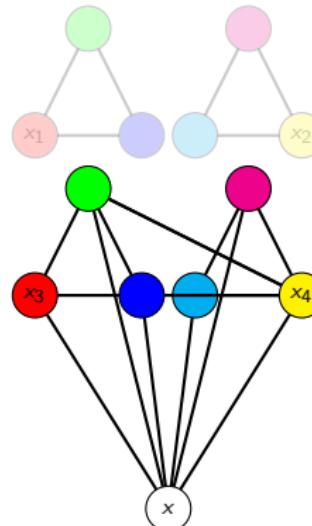
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- use the fact that you wrapped around to show that there are many edges between the two cliques
- we have now constructed the desired large “dense” subgraph

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Generalizing maximum degree

Definition

For $0 \leq \epsilon \leq 1$, define $\Delta_\epsilon(G)$ as

$$\left\lceil \max_{xy \in E(G)} (1 - \epsilon) \min\{d(x), d(y)\} + \epsilon \max\{d(x), d(y)\} \right\rceil.$$

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Note that $\Delta_1 = \Delta$, $\Delta_{\frac{1}{2}} = \left\lfloor \frac{\theta}{2} \right\rfloor$.

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Theorem (Rabern 2010)

For every $0 < \epsilon \leq 1$, there exists t_ϵ such that every graph with $\Delta_\epsilon \geq t_\epsilon$ satisfies $\chi \leq \max\{\omega, \Delta_\epsilon\}$.

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- the graph O_5 shows that $t_\epsilon = 6$ is smallest for $\frac{1}{2} \leq \epsilon < 1$
- best known general bounds, $\frac{2}{\epsilon} + 1 \leq t_\epsilon \leq \frac{4}{\epsilon} + 2$

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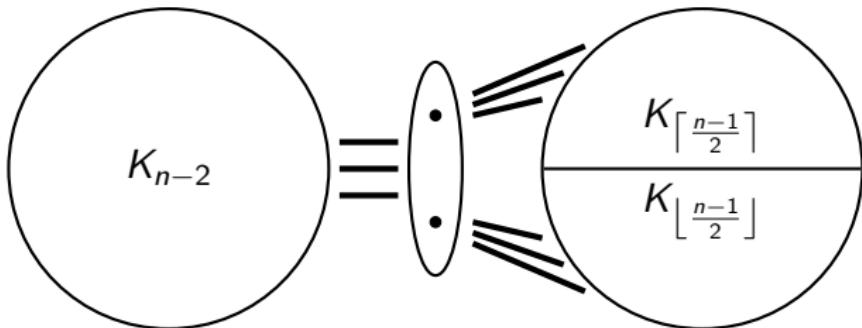


Figure: The graph O_n .

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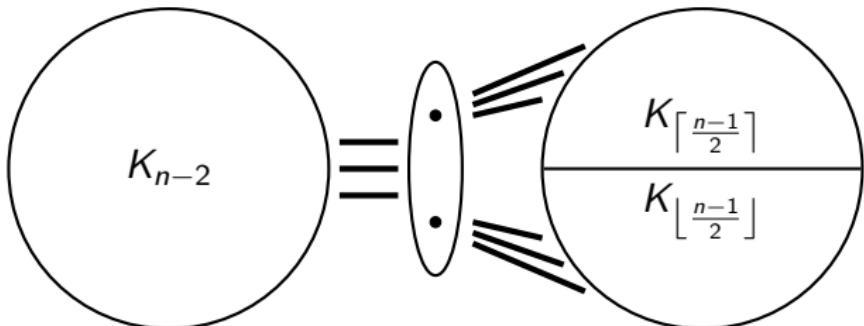
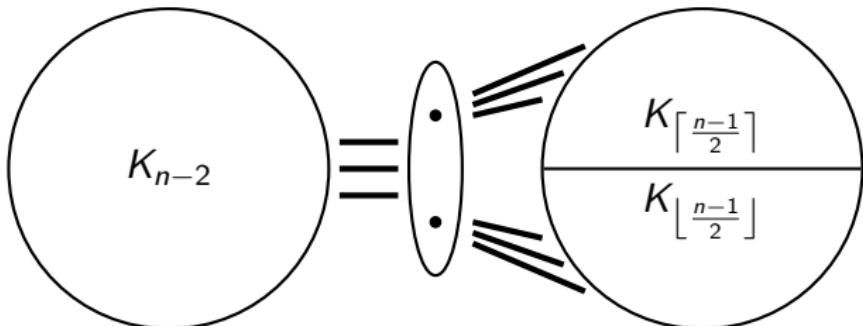


Figure: The graph O_n .

- $\chi(O_n) = n > \omega(O_n)$ and $\Delta(O_n) = \lceil \frac{n-1}{2} \rceil + n - 2$

The lower bound on t_ϵ Figure: The graph O_n .

- $\chi(O_n) = n > \omega(O_n)$ and $\Delta(O_n) = \lceil \frac{n-1}{2} \rceil + n - 2$
- $\mathcal{H}(O_n)$ is edgeless

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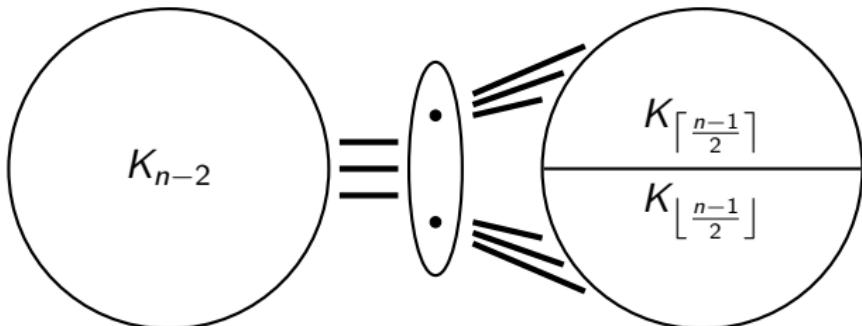


Figure: The graph O_n .

- $\chi(O_n) = n > \omega(O_n)$ and $\Delta(O_n) = \lceil \frac{n-1}{2} \rceil + n - 2$
- $\mathcal{H}(O_n)$ is edgeless
- computing Δ_ϵ gives $t_\epsilon \geq \frac{2}{\epsilon} + 1$

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- the above proofs only work for $\epsilon > 0$

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- the above proofs only work for $\epsilon > 0$
- what happens when $\epsilon = 0$?

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What about Δ_0 ?

- the above proofs only work for $\epsilon > 0$
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- the parameter Δ_0 has already been investigated by Stacho
under the name Δ_2

What about Δ_0 ?

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Definition (Stacho 2001)

For a graph G define

$$\Delta_0(G) := \max_{xy \in E(G)} \min\{d(x), d(y)\}.$$

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Facts about Δ_0

- greedy coloring (in any order) shows that every graph satisfies $\chi \leq \Delta_0 + 1$

Facts about Δ_0

- greedy coloring (in any order) shows that every graph satisfies $\chi \leq \Delta_0 + 1$
- for any fixed $t \geq 3$, the problem of determining whether or not $\chi(G) \leq \Delta_0(G)$ for graphs with $\Delta_0(G) = t$ is *NP*-complete (Stacho 2001)

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A tempting thought

A tempting thought

There exists t such that every graph with $\Delta_0 \geq t$ satisfies
 $\chi \leq \max\{\omega, \Delta_0\}$.

A tempting thought

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- since $t_\epsilon \geq \frac{2}{\epsilon} + 1$, we see that $t_\epsilon \rightarrow \infty$ as $\epsilon \rightarrow 0$

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There exists t such that every graph with $\Delta_0 \geq t$ satisfies $\chi \leq \max\{\omega, \Delta_0\}$.

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- there is a cute algorithmic way to see this assuming $P \neq NP$

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- there is a cute algorithmic way to see this assuming $P \neq NP$
- we use Lovász's ϑ parameter which can be approximated in polynomial time and has the property that $\omega(G) \leq \vartheta(G) \leq \chi(G)$

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- assume the tempting thought holds for some $t \geq 3$

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- assume the tempting thought holds for some $t \geq 3$
- take any arbitrary graph with $\Delta_0 \geq t$

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A polynomial-time algorithm

- assume the tempting thought holds for some $t \geq 3$
- take any arbitrary graph with $\Delta_0 \geq t$
- first, compute Δ_0 in polynomial time

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A polynomial-time algorithm

- assume the tempting thought holds for some $t \geq 3$
- take any arbitrary graph with $\Delta_0 \geq t$
- first, compute Δ_0 in polynomial time
- second, compute x such that $x - \frac{1}{2} < \vartheta < x + \frac{1}{2}$ in polynomial time

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- if $x \geq \Delta_0 + \frac{1}{2}$, then $\chi \geq \vartheta > \Delta_0$ and hence $\chi = \Delta_0 + 1$

A polynomial-time algorithm

- assume the tempting thought holds for some $t \geq 3$
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- if $x < \Delta_0 + \frac{1}{2}$, then $\omega \leq \vartheta < \Delta_0 + 1$, and hence $\omega \leq \Delta_0$

A polynomial-time algorithm

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- we just gave a polynomial time algorithm to determine whether or not $\chi \leq \Delta_0$ for graphs with $\Delta_0 \geq t$
- this is impossible unless $P=NP$

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Further
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What we can prove about Δ_0

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- actually, all the above results about Δ_ϵ follow from this result

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Theorem (Kostochka, Rabern and Stiebitz 2010)

Every graph satisfies

$$\chi \leq \max \left\{ \omega, \Delta_0, \frac{3}{4}(\Delta + 2) \right\}.$$

Conjecture

Every graph satisfies

$$\chi \leq \max \left\{ \omega, \Delta_0, \frac{2\Delta + 5}{3} \right\}.$$

The examples O_n above show that this would be tight.

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