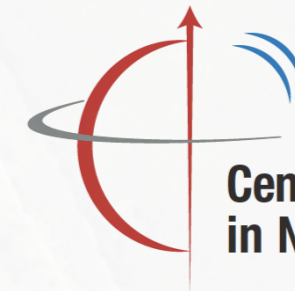




Stony Brook  
University



Center for Frontiers  
in Nuclear Science

# Electron-to-Tau Transition at EIC

Jinlong Zhang (SBU/CFNS)

Based on ongoing work with Abhay Deshpande (SBU/BNL/CFNS), Jin Huang (BNL), Krishna Kumar (UMass, Amherst), Yuxiang Zhao (IMP, CAS)

Snowmass-2021 CLFV workshop  
July 23, 2020

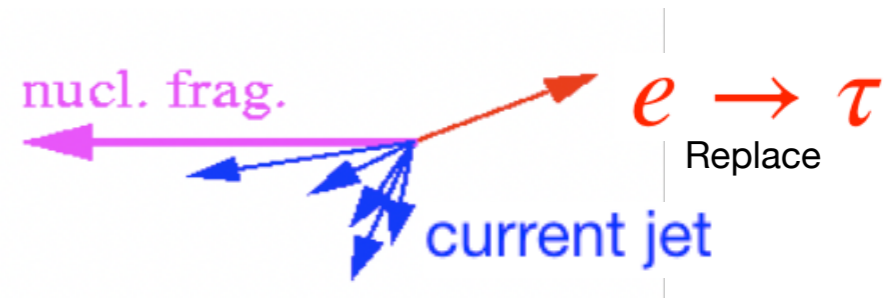
(Polarized)  
Ion Source

# CFLV in EIC: $e \rightarrow \tau$ Transition

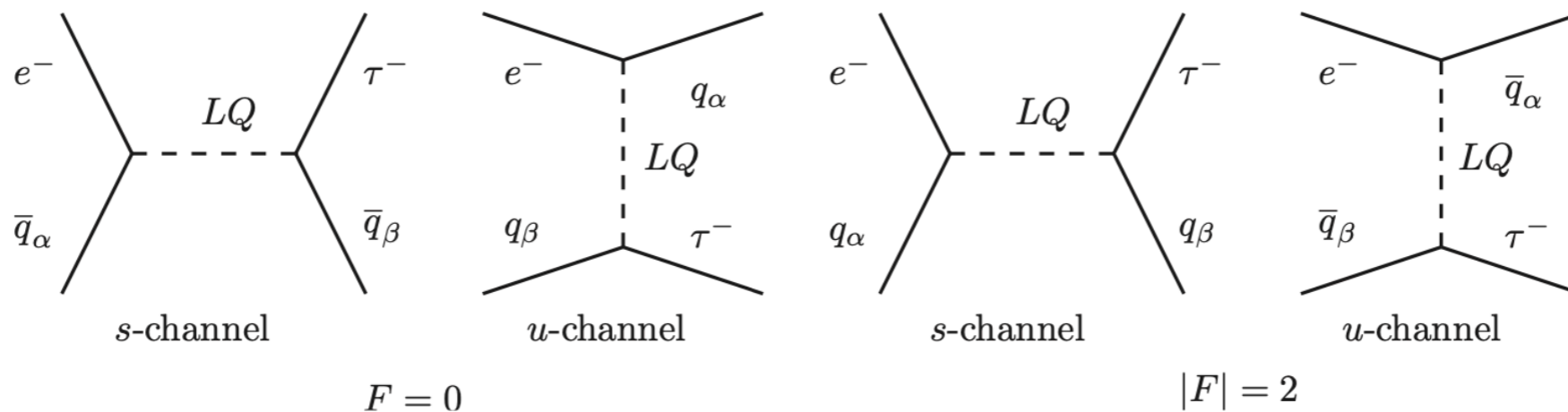
- While CLFV(1,2) is stringently constrained, limits on CLFV(1,3) are weaker by several orders of magnitude.
- Various models predict enhanced sensitivity for CLFV(1,3) while suppressing CLFV(1,2)

- CFLV in DIS:

$$e + p \rightarrow \tau + X$$



- **Leptoquark** models provide a good benchmark to study sensitivity
- CLFV at tree level processes; allow coupling between same and different generations of quarks and leptons at initial state and final state



# Leptoquark

Leptoquarks (LQs) appear in certain extensions of the SM.

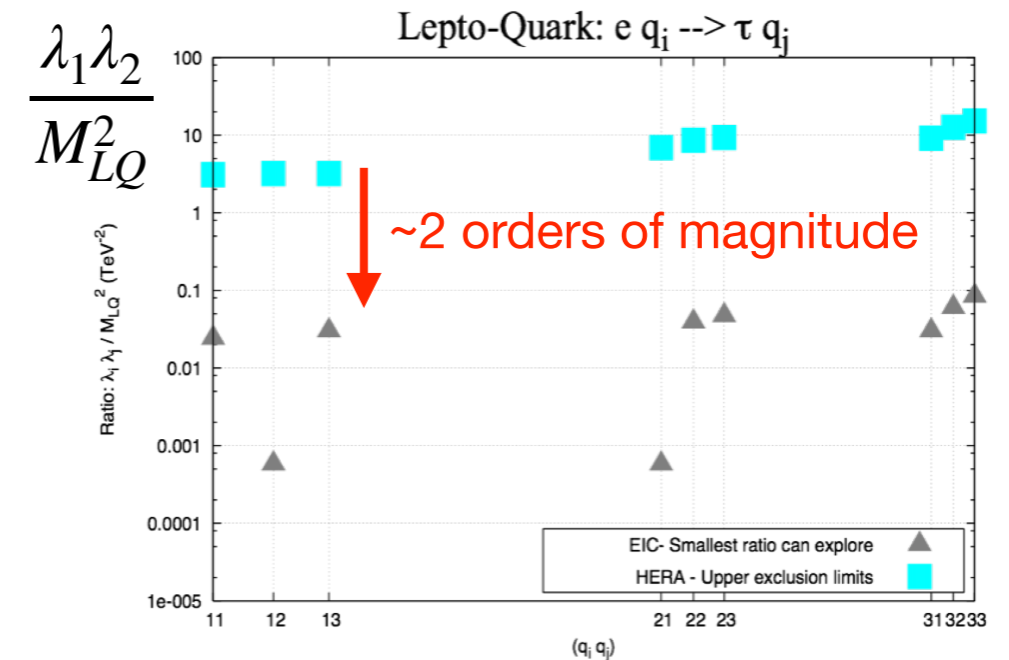
- Symmetry between lepton sector and quark sector
- Flavor violating but fermion number ( $F = 3B+L$ ) conserving
- Buchmüller-Rückl-Wyler (BRW) framework: 14 different LQ types (7 scalars, 7 vectors)
- Decades search at different facilities worldwide.

Buchmüller-Rückl-Wyler (BRW)

Type	$J$	$F$	$Q$	$ep$ dominant process	Coupling	Branching ratio $\beta_\ell$	Type	$J$	$F$	$Q$	$ep$ dominant process	Coupling	Branching ratio $\beta_\ell$
$S_0^L$	0	2	-1/3	$e_L^- u_L \rightarrow \begin{cases} \ell^- u \\ \nu_\ell d \end{cases}$	$\begin{matrix} \lambda_L \\ -\lambda_L \end{matrix}$	$\begin{matrix} 1/2 \\ 1/2 \end{matrix}$	$V_0^L$	1	0	+2/3	$e_R^+ d_L \rightarrow \begin{cases} \ell^+ d \\ \bar{\nu}_\ell u \end{cases}$	$\begin{matrix} \lambda_L \\ \lambda_L \end{matrix}$	$\begin{matrix} 1/2 \\ 1/2 \end{matrix}$
$S_0^R$	0	2	-1/3	$e_R^- u_R \rightarrow \ell^- u$	$\lambda_R$	1	$V_0^R$	1	0	+2/3	$e_L^+ d_R \rightarrow \ell^+ d$	$\lambda_R$	1
$\tilde{S}_0^R$	0	2	-4/3	$e_R^- d_R \rightarrow \ell^- d$	$\lambda_R$	1	$\tilde{V}_0^R$	1	0	+5/3	$e_L^+ u_R \rightarrow \ell^+ u$	$\lambda_R$	1
$S_1^L$	0	2	-1/3	$e_L^- u_L \rightarrow \begin{cases} \ell^- u \\ \nu_\ell d \end{cases}$	$\begin{matrix} -\lambda_L \\ -\lambda_L \end{matrix}$	$\begin{matrix} 1/2 \\ 1/2 \end{matrix}$	$V_1^L$	1	0	+2/3	$e_R^+ d_L \rightarrow \begin{cases} \ell^+ d \\ \bar{\nu}_\ell u \end{cases}$	$-\lambda_L$	1/2
			-4/3	$e_L^- d_L \rightarrow \ell^- d$	$-\sqrt{2}\lambda_L$	1							
$V_{1/2}^L$	1	2	-4/3	$e_L^- d_R \rightarrow \ell^- d$	$\lambda_L$	1	$S_{1/2}^L$	0	0	+5/3	$e_R^+ u_R \rightarrow \ell^+ u$	$\lambda_L$	1
$V_{1/2}^R$	1	2	-1/3	$e_R^- u_L \rightarrow \ell^- u$	$\lambda_R$	1	$S_{1/2}^R$	0	0	+2/3	$e_L^+ d_L \rightarrow \ell^+ d$	$-\lambda_R$	1
			-4/3	$e_R^- d_L \rightarrow \ell^- d$	$\lambda_R$	1				+5/3	$e_L^+ u_L \rightarrow \ell^+ u$	$\lambda_R$	1
$\tilde{V}_{1/2}^L$	1	2	-1/3	$e_L^- u_R \rightarrow \ell^- u$	$\lambda_L$	1	$\tilde{S}_{1/2}^L$	0	0	+2/3	$e_R^+ d_R \rightarrow \ell^+ d$	$\lambda_L$	1

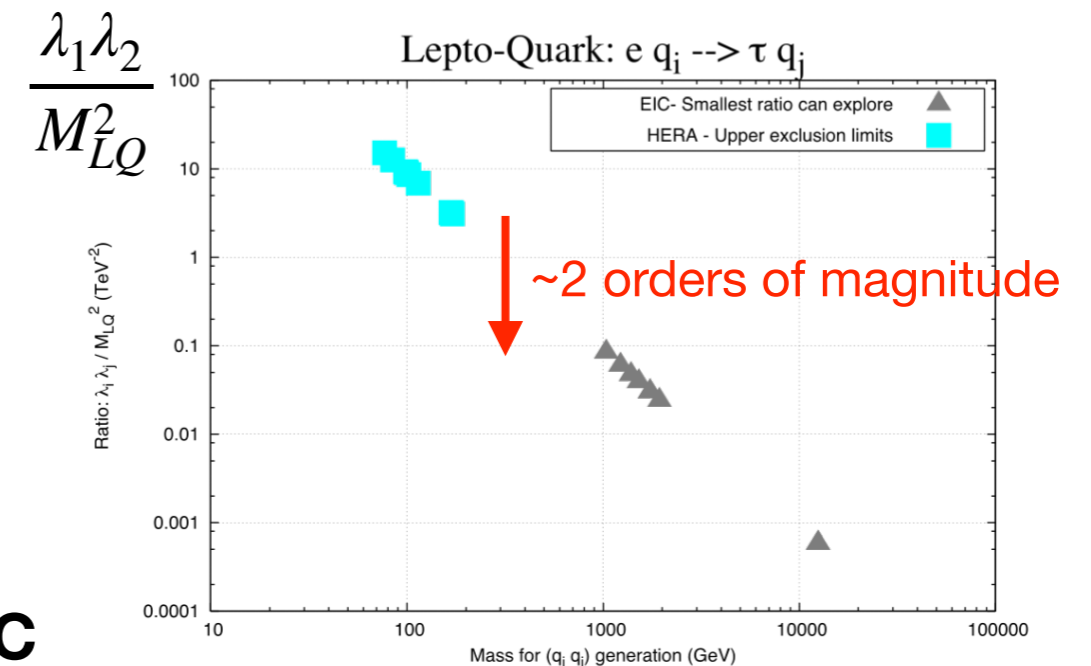
# $e \rightarrow \tau$ mediated by LQs in DIS

- At HERA, the first electron-proton collider, H1 and ZEUS have searched for Leptoquarks (CLFV) and set limits
  - $\sqrt{s} \sim 320$  GeV
  - Luminosity  $\sim 10^{30-31}$  cm<sup>-2</sup>s<sup>-1</sup>
  - Dataset:  $\sim 0.5$  fb<sup>-1</sup>



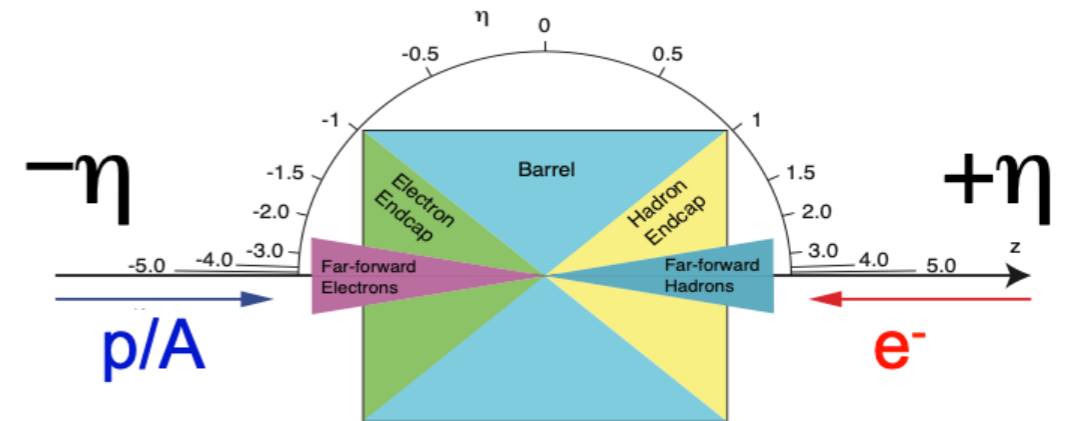
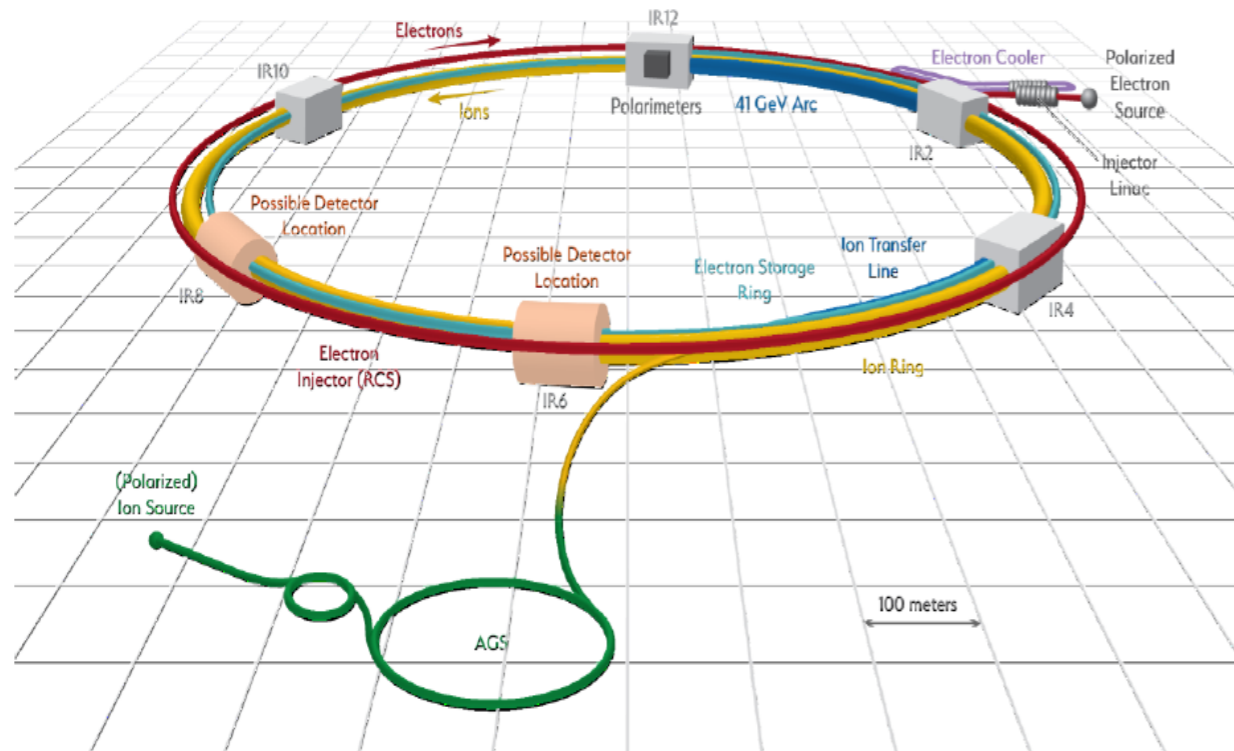
- First phenomenological study for CLFV mediated by LQs at EIC done by Gonderinger, Ramsey-Musolf, JHEP (2010) 2010: 45
- At the EIC, with much higher luminosity,  $10^{30-31} \rightarrow 10^{33-34}$  cm<sup>-2</sup>s<sup>-1</sup>,  $\sim 2$  orders of magnitude improvement of the sensitivity comparing to HERA is expected

Assume 0.1 fb cross-section sensitivity



**New discovery space:  $e \rightarrow \tau$  transition at EIC**

# EIC and detectors features



- Electron storage ring with frequent injection of fresh polarized electron bunches; up to 18 GeV
- Hadron storage ring with strong cooling or frequent injection of hadron bunches; up to 275 GeV

Design optimized to reach  $10^{34} \text{ cm}^{-2}\text{sec}^{-1}$

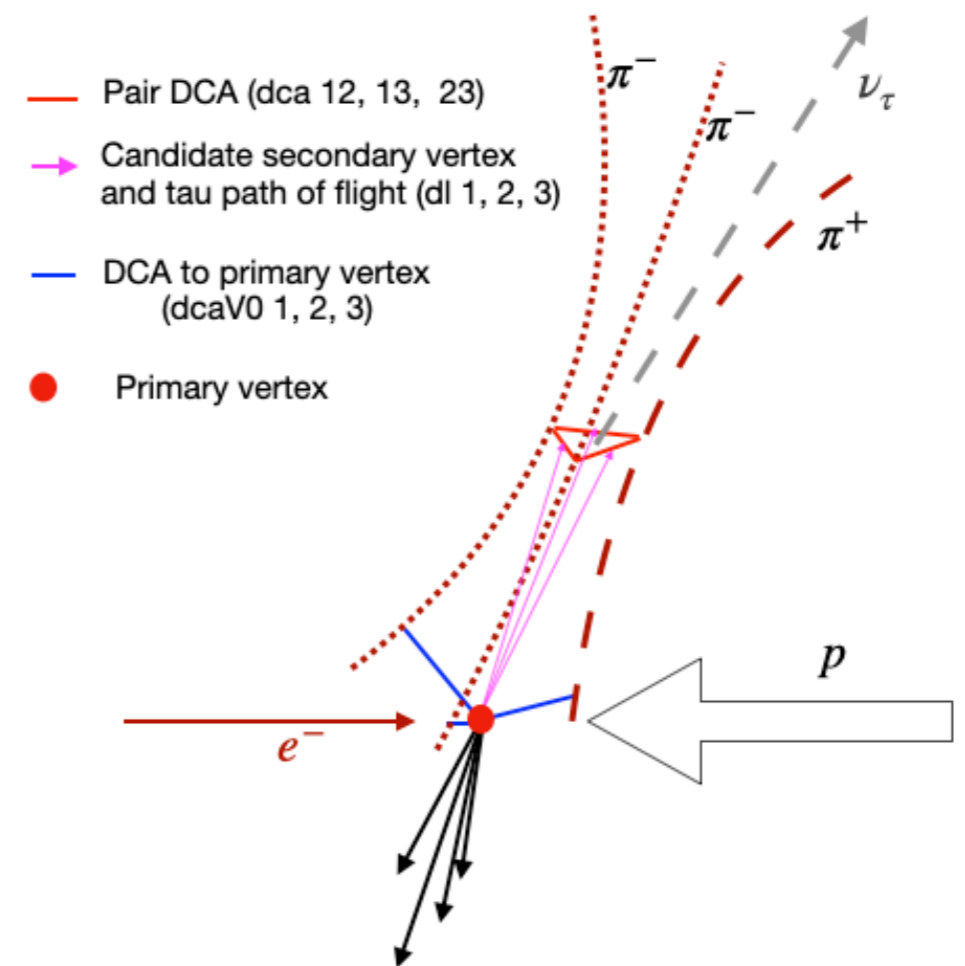
## - Detector features:

- ▶ Vertex + central + forward/backward tracker layout
- ▶ Hermetic coverage in tracking/calorimetry/PID for  $|\eta| < 4$
- ▶ Far forward/backward instrumentation (RP, ZDC, low Q2 tagger, etc)
- ▶ Momentum resolution  $\sim 1\%$  level
- ▶ Vertex resolution  $< 20 \mu\text{m}$  or so
- ▶ Moderate EMCal and HCal resolution

# Goal and strategy of this Study

HERA Efficiency  $\sim 2.5\%$ ; At EIC, benefit from improved vertex and jet detection, aim to greater than **10%** efficiency with negligible background in a **100 fb<sup>-1</sup>** data sample

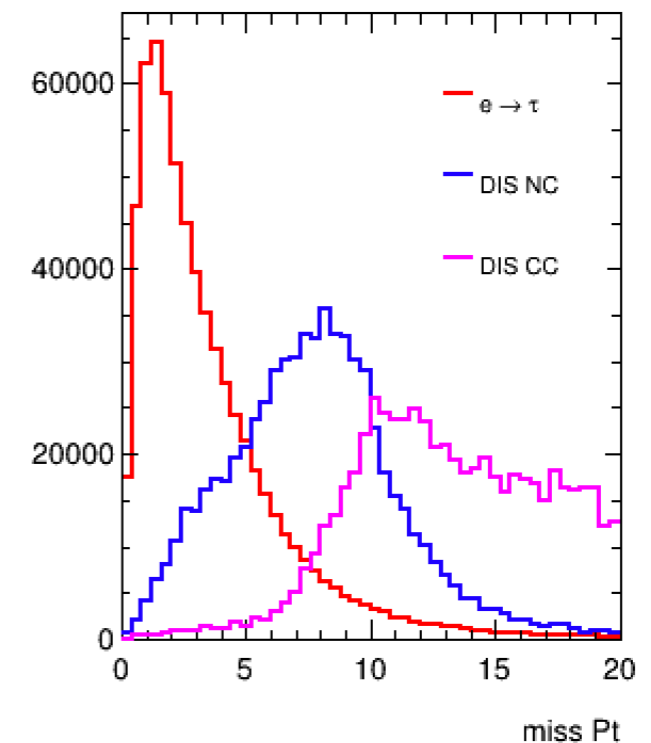
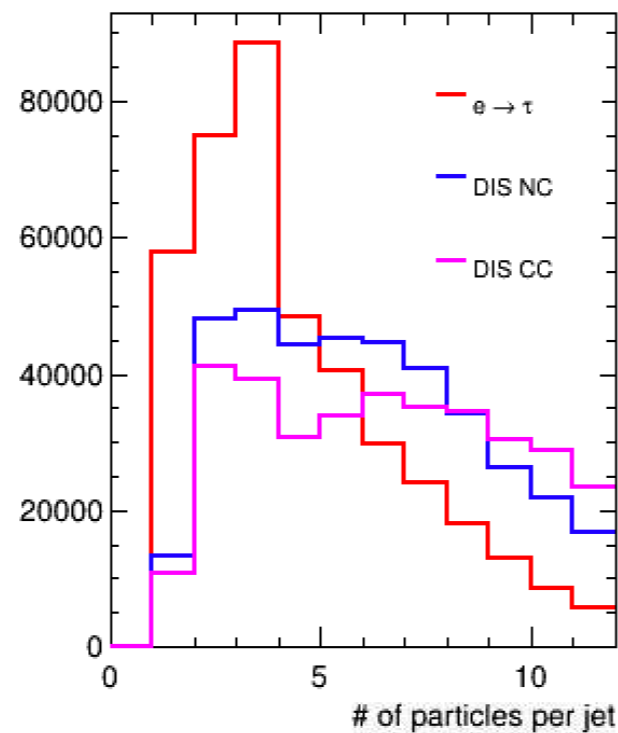
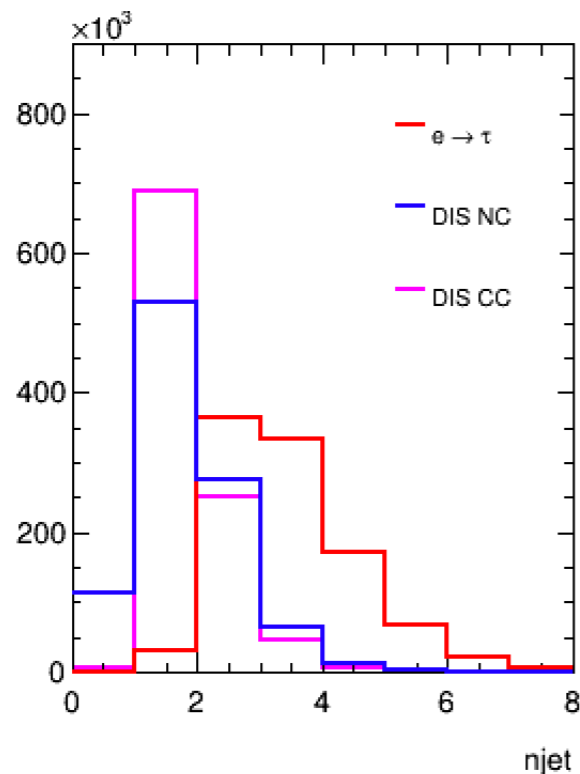
- Event generators:
  - LQGENEP 1.0 for Leptoquark events (L. Bellagamba, 2001)
  - DJANGO 4.6.8 for DIS (NC + CC) events (H. Spiesberger 2005)
- Jets reconstructed from MC events
  - Fastjet, Anti- $k_T$ ,  $R = 1.0$
  - Scattered electron for SM DIS and neutrinos **excluded**
- Primary vertex reconstructed from tracks of current jets
- **Tau vertex displaced at cm level**
  - 3-prong tau jet; decay topology important for  $\tau$  jet ID
  - 1-prong: recovering higher branching ratios; but background control is much more demanding



3-prong: **secondary vertex** finding from  $\pi^- \pi^+ \pi^-$

# Features of LQ $e \rightarrow \tau$ event

18x275 GeV<sup>2</sup>



Note: electron in DIS NC is masked; Fastjet, Anti- $k_T$ ,  $R = 1.0$ ; jet  $p_T > 2$  GeV;  $Q^2 > 100$  GeV<sup>2</sup>

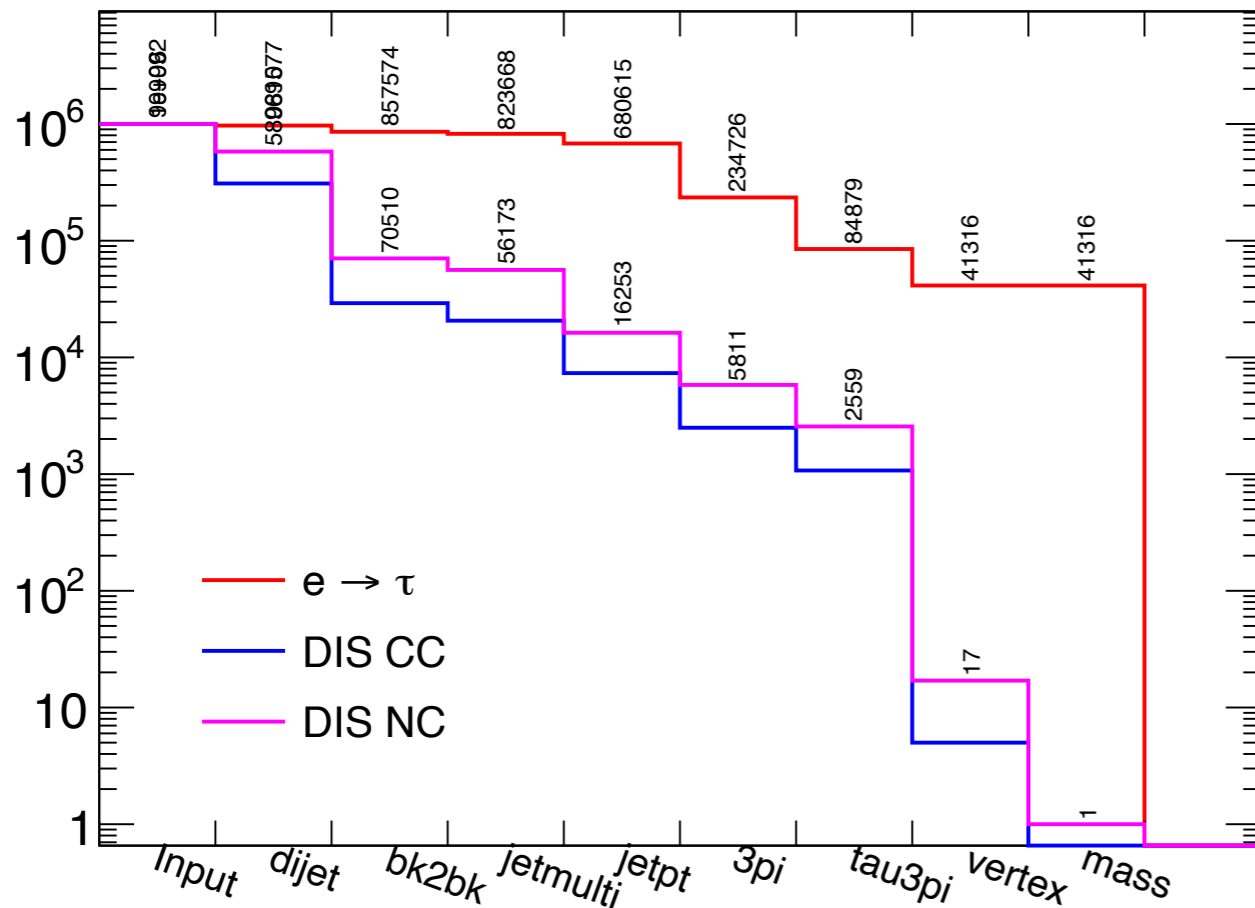
## - $e \rightarrow \tau$ event

- 2+ jets
- Low particle multiplicity
- Modest missing pT (partial of tau pT)

## - DIS event

- 1 jets dominating
- Higher particle multiplicity
- Missing pT  $\sim$  lepton pT

# Events Selection



- di-jet: number of jets  $\geq 2$
- bk2bk:  $\cos\Delta\phi_{jet1-jet2} < -0.7$
- jetmulti: number of particles  $< 5$  for at least one of the jets
- jetpt:  $p_T(jet1) > 4.0$  and  $p_T(jet2) > 2.5$
- 3pi: jet contain 3pi
- tau3pi: 3pi jet aligns with missing  $p_T$

- vertex:  $dR\_sum < 0.2$  &&  $dl\_asy < 0.2$  mm &&  $dl\_average > 0.2$  mm

Collimation in  $(\eta, \phi)$  space:

$$dR\_sum = \Delta R(\vec{1}, \vec{2}) + \Delta R(\vec{2}, \vec{3}) + \Delta R(\vec{1}, \vec{3})$$

Length matching:

$$dl\_asy = |dl_1 - dl_2| + |dl_1 - dl_3| + |dl_2 - dl_3|$$

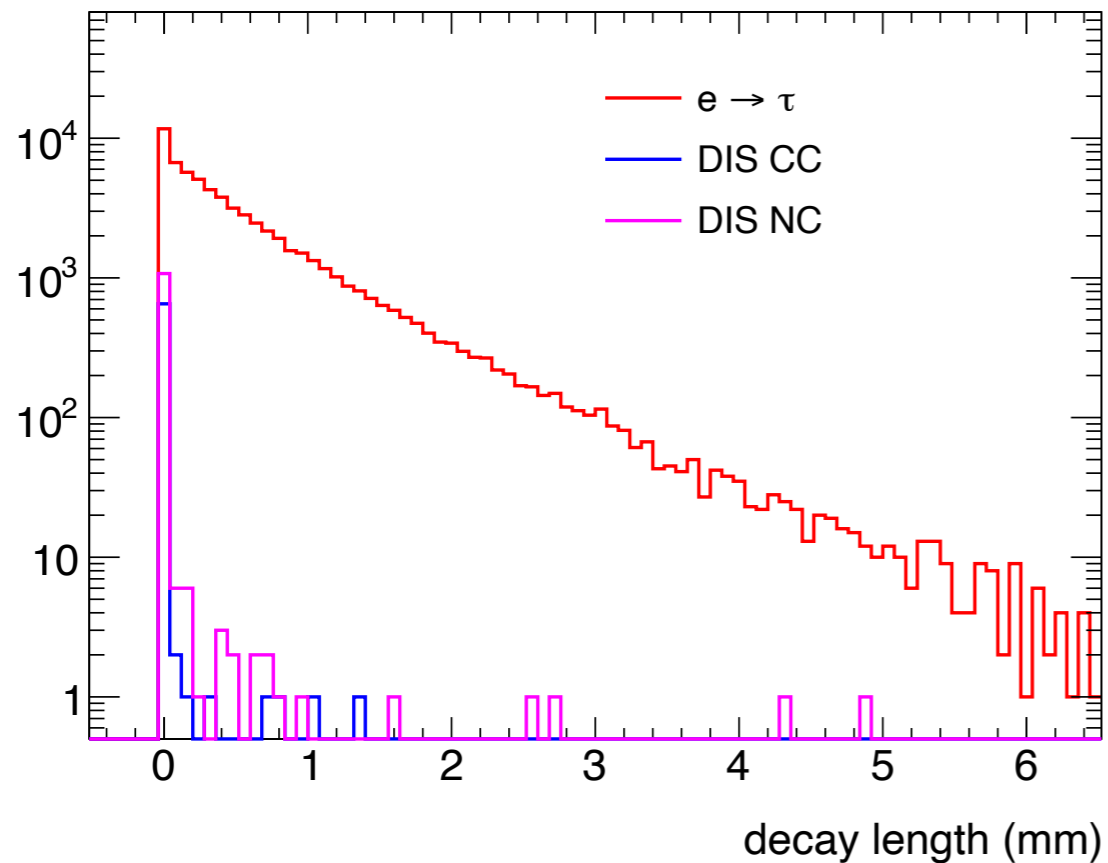
- mass: corrected mass  $< 1.8$  GeV

$$\sqrt{M_{3\pi}^2 + p_{3\pi}^2 \sin^2\theta + p_{3\pi} \sin\theta}$$

$\theta$ : angle between  $\vec{V}_{2nd}$  and  $\vec{p}_{3\pi}$



# Last Two Cuts

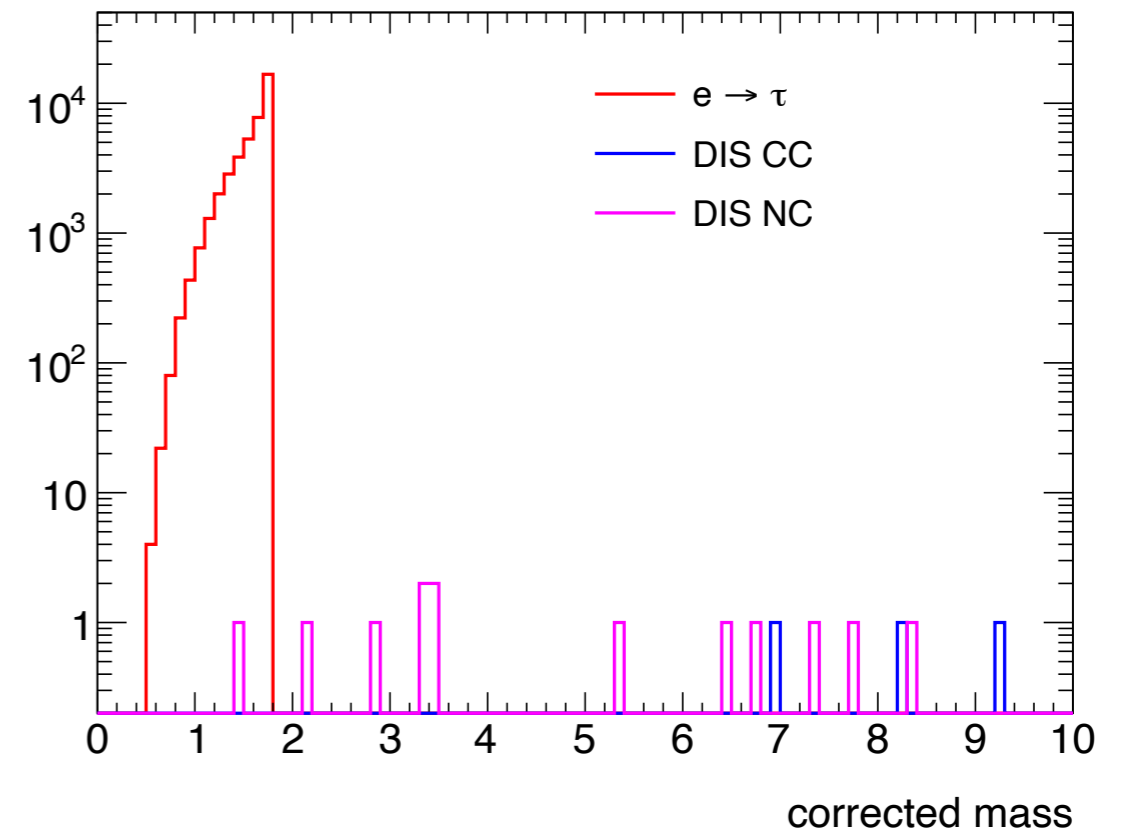


- Secondary vertex and corresponding decay length reconstructed from paired pion tracks

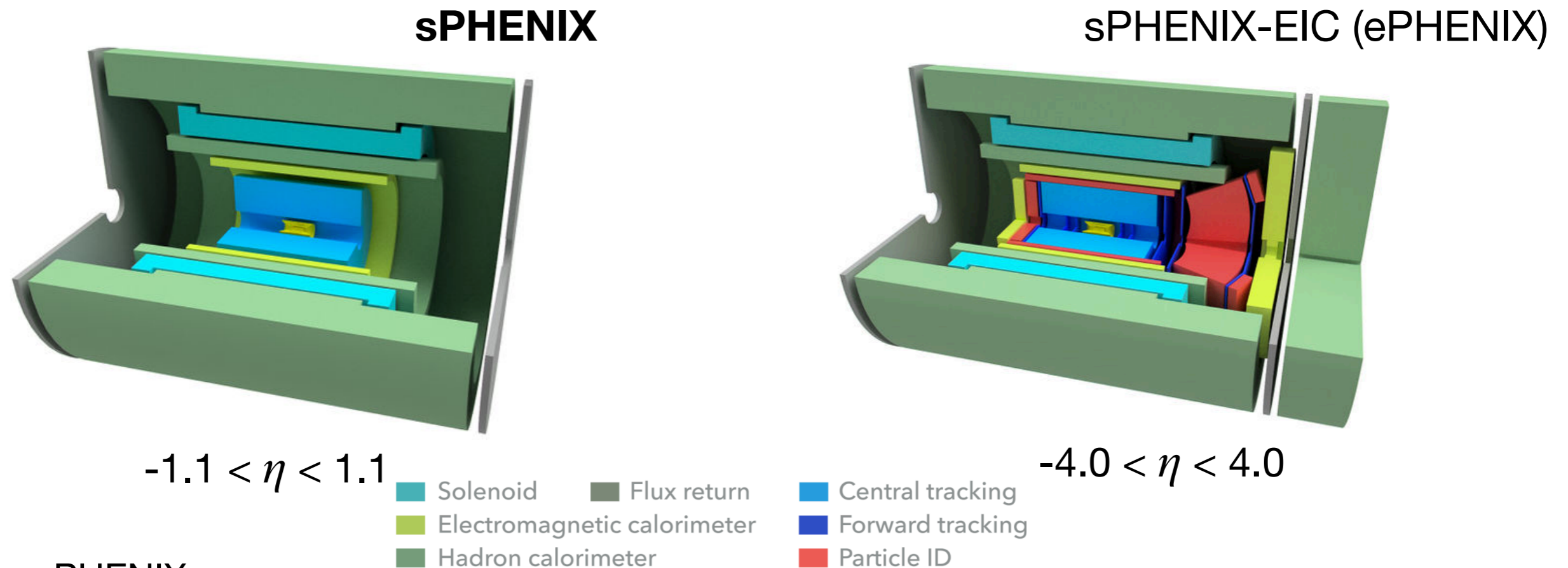
- Corrected mass from 3 pions

$$\sqrt{M_{3\pi}^2 + p_{3\pi}^2 \sin^2 \theta} + p_{3\pi} \sin \theta$$

$\theta$ : angle between  $\vec{V}_{2nd}$  and  $\vec{p}_{3\pi}$



# Detector Simulation: sPhenix and further



sPHENIX:

- Next generation RHIC detector, Approved and under construction
- Foundation for an EIC detector concept [arXiv:1402.1209, sPH-cQCD-2018-001]

Full detector simulation: <https://github.com/sPHENIX-Collaboration/coresoftware>

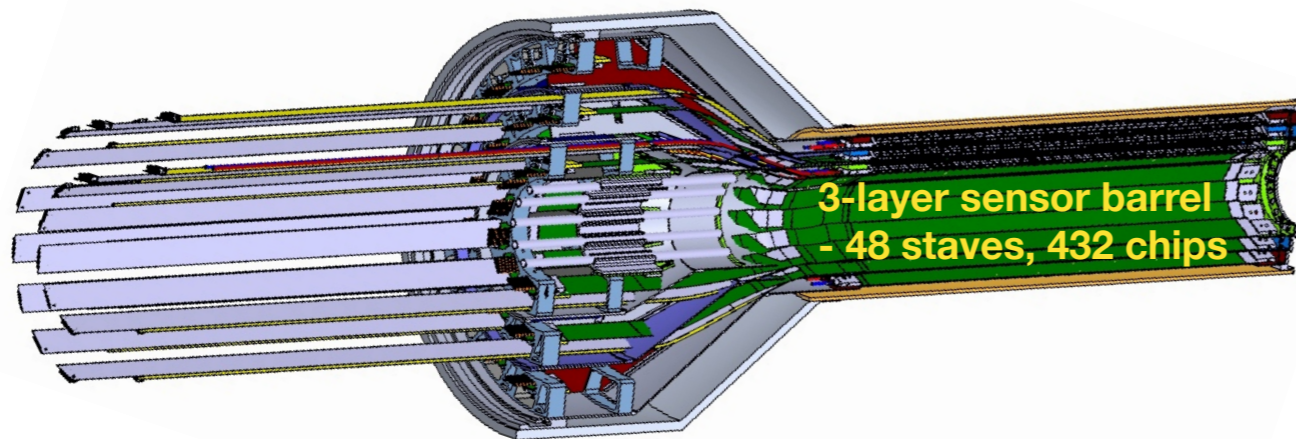
- GEANT4 Simulation framework, well developed.
- Analyses including vertexing and tracking have been implemented in heavy flavor studies.

# Vertex Detector: MAPS-based silicon

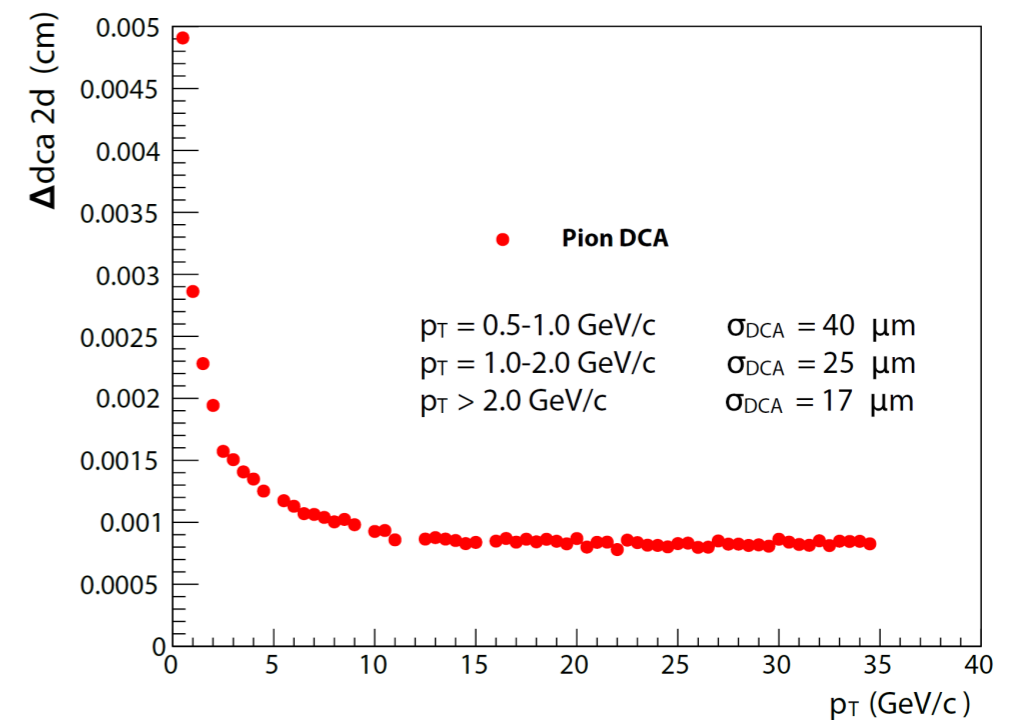
- For initial  $\tau$ -reco evaluation: sPHENIX vertex tracker
  - 30  $\mu\text{m}$  ALICE Pixel MAPS pixel in three layers, total 200 M pixel channels
  - 5  $\mu\text{m}$  hit position resolution
  - 0.3%  $X_0$  thickness per layer
  - R  $\sim$ 2cm. Note: EIC R  $\sim$  3cm

**state-of-the-art vertex detector**

MVTX — Monolithic-Active-Pixel-Sensor-based Vertex Detector



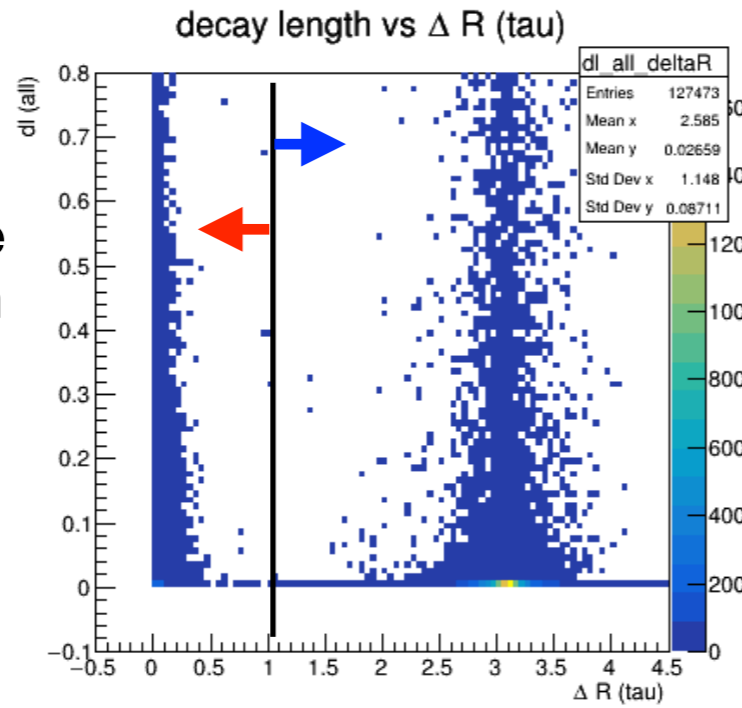
Service cone: signal, power, cooling  
and mechanical support



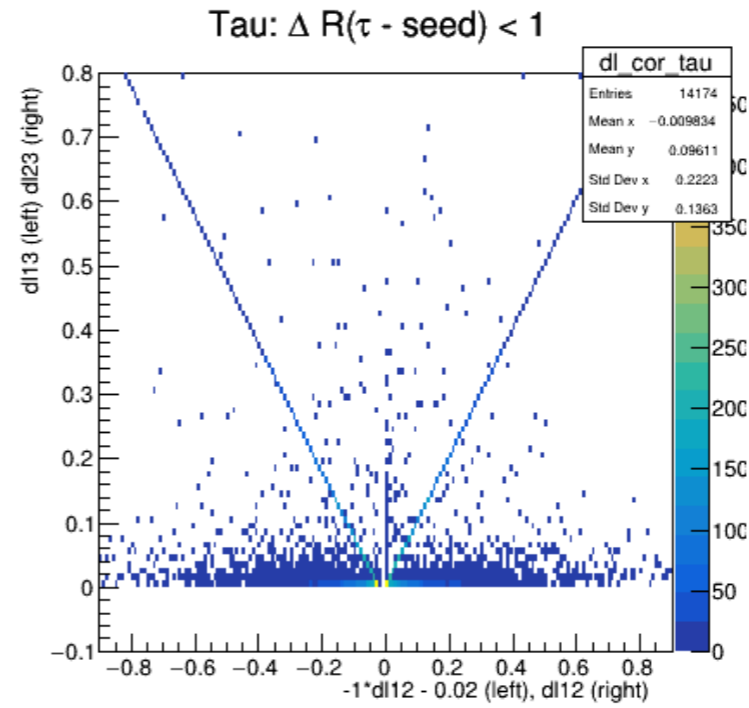
# Simplified secondary vertex reconstruction

## Generator level

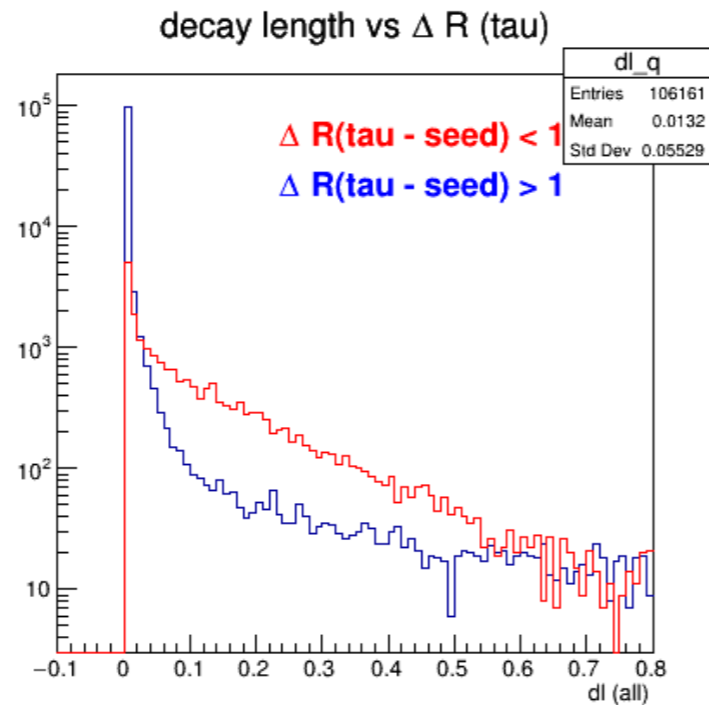
Tag 3-prong candidate with truth tau direction



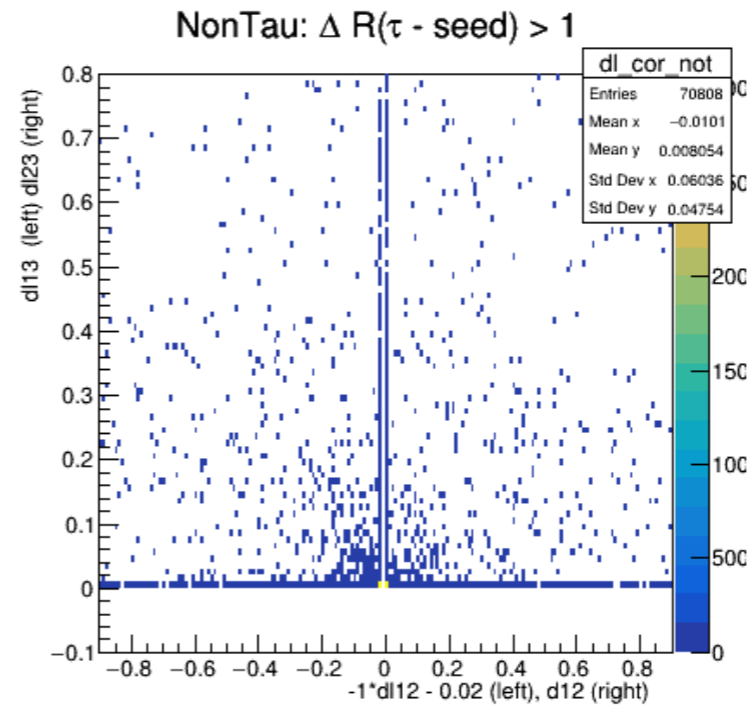
Tau side:  
Clear correlations between 3 reconstructed decay length



Significantly long reconstructed decay length at Tau side



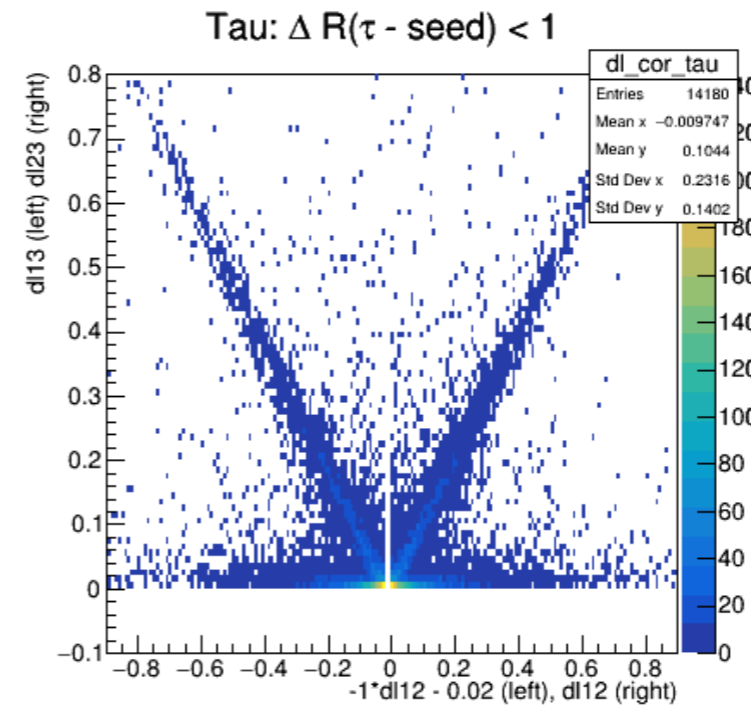
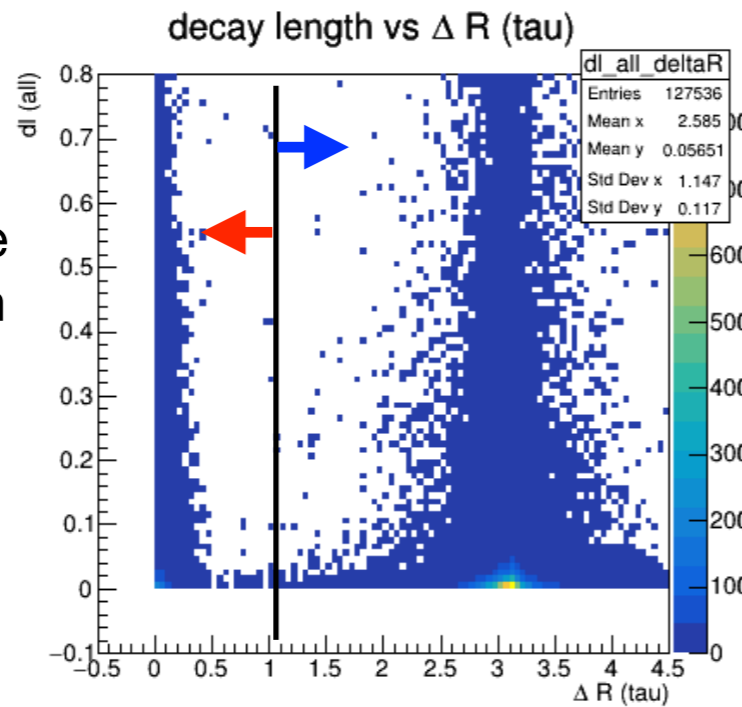
Away side:  
No correlations between 3 pair combination



# Simplified secondary vertex reconstruction

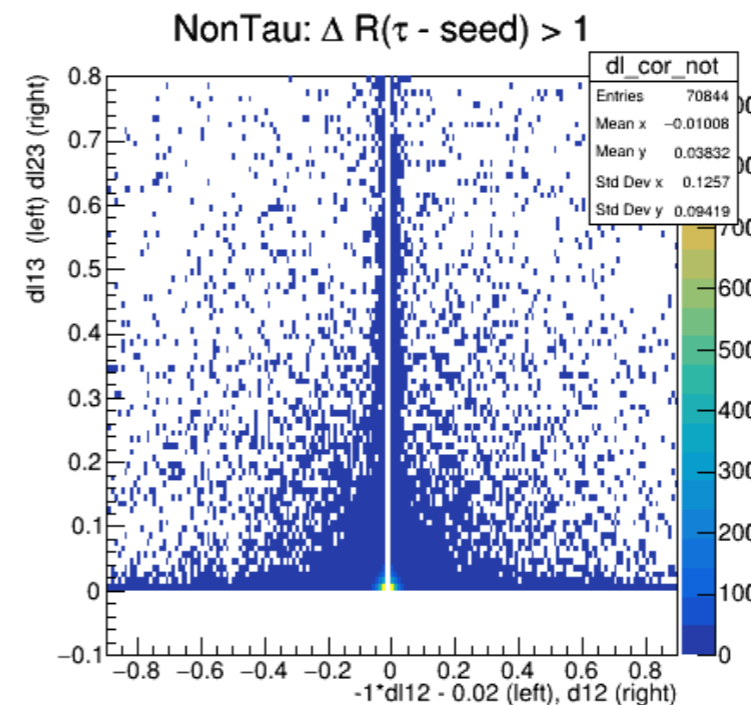
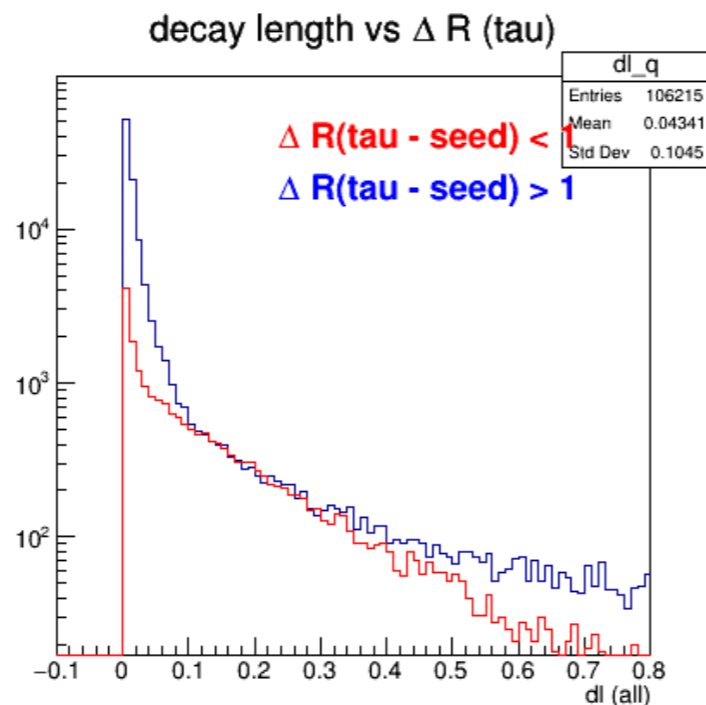
Full Geant4 of sPHENIX

Tag 3-prong candidate with truth tau direction



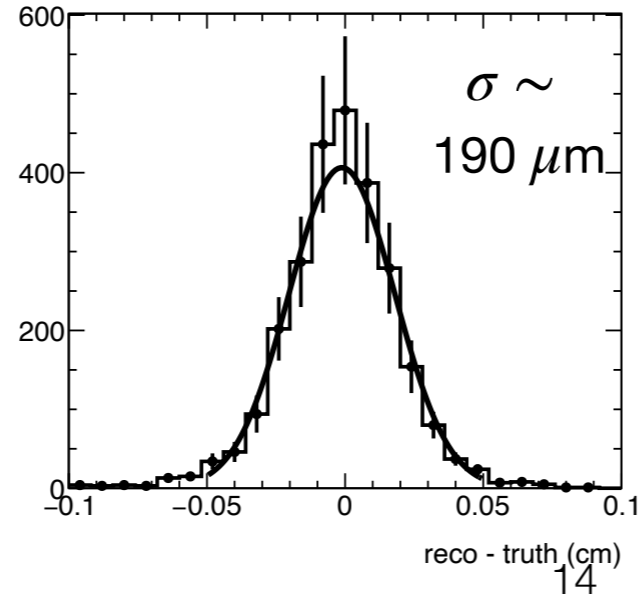
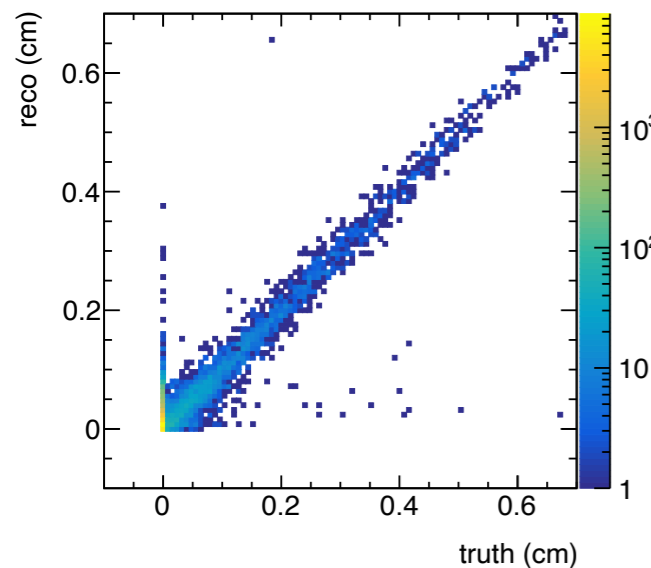
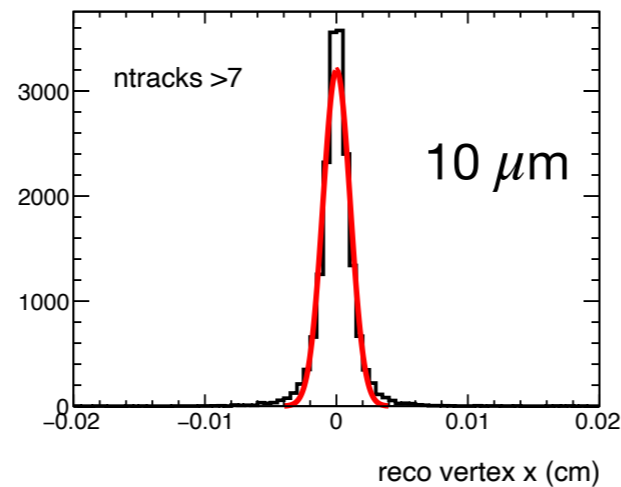
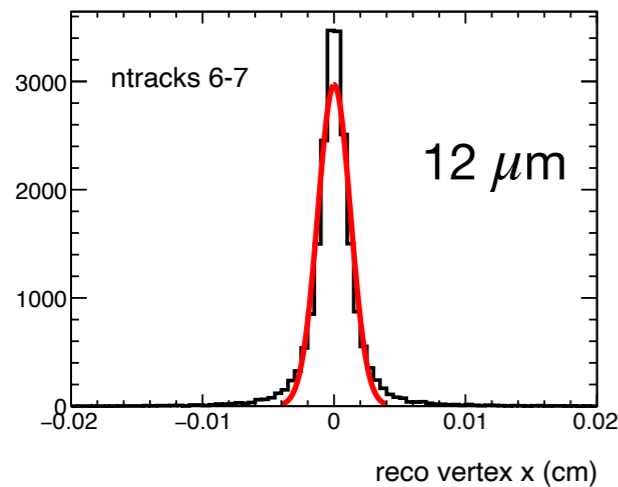
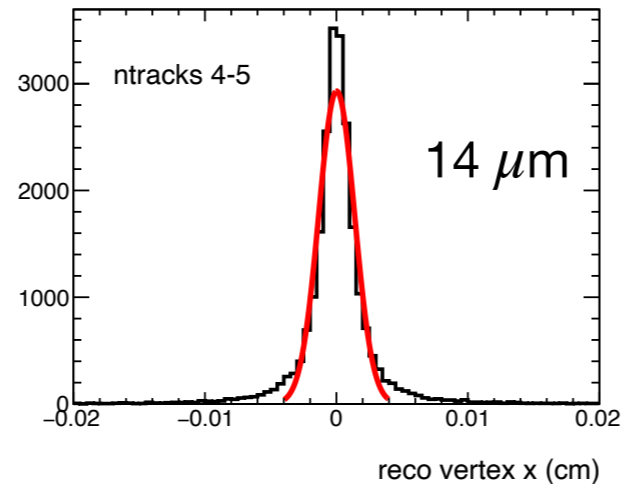
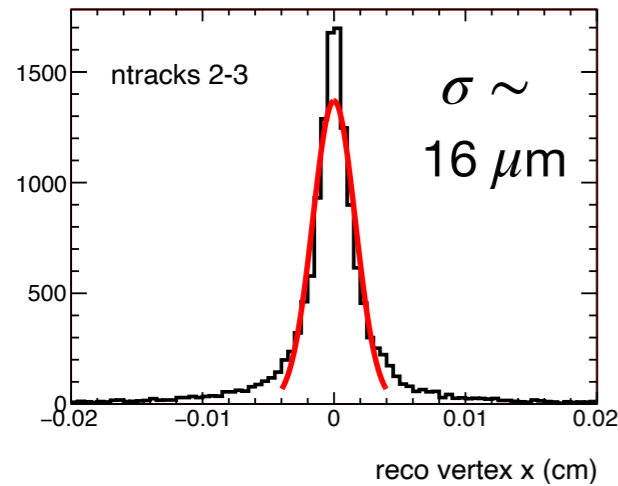
Tau side:  
Clear correlations  
between 3 pair  
combination

Significantly long  
reconstructed decay  
length at Tau side



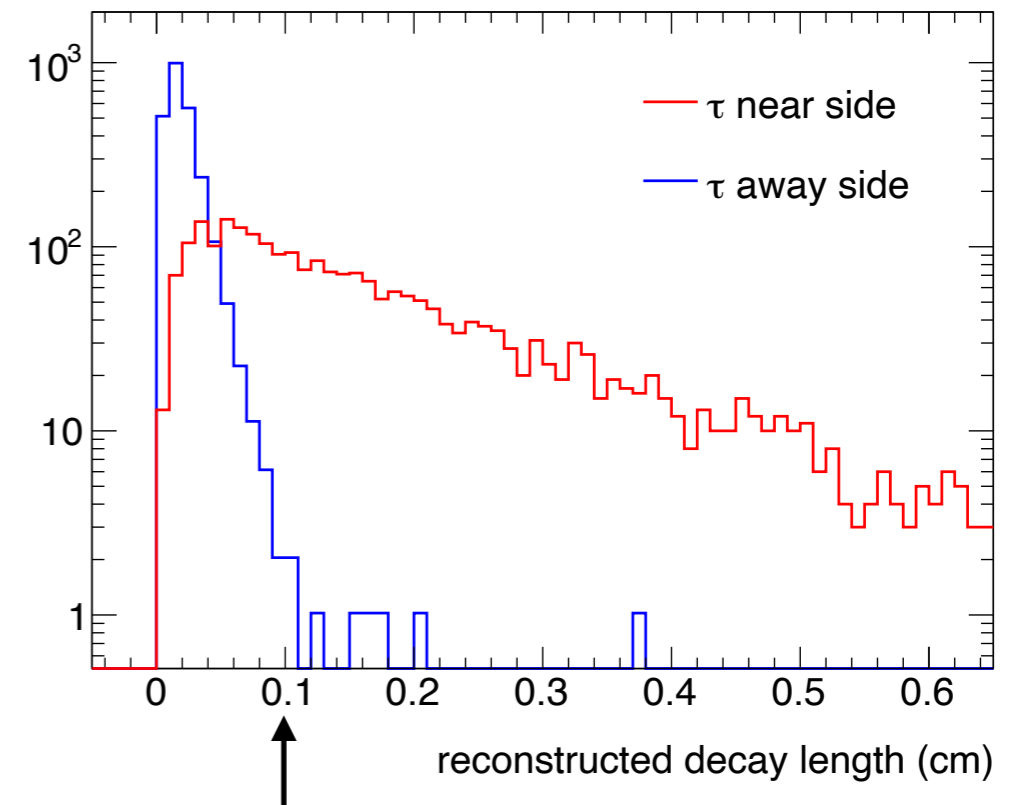
Away side:  
No correlations  
between 3 pair  
combination

# Effect of resolution

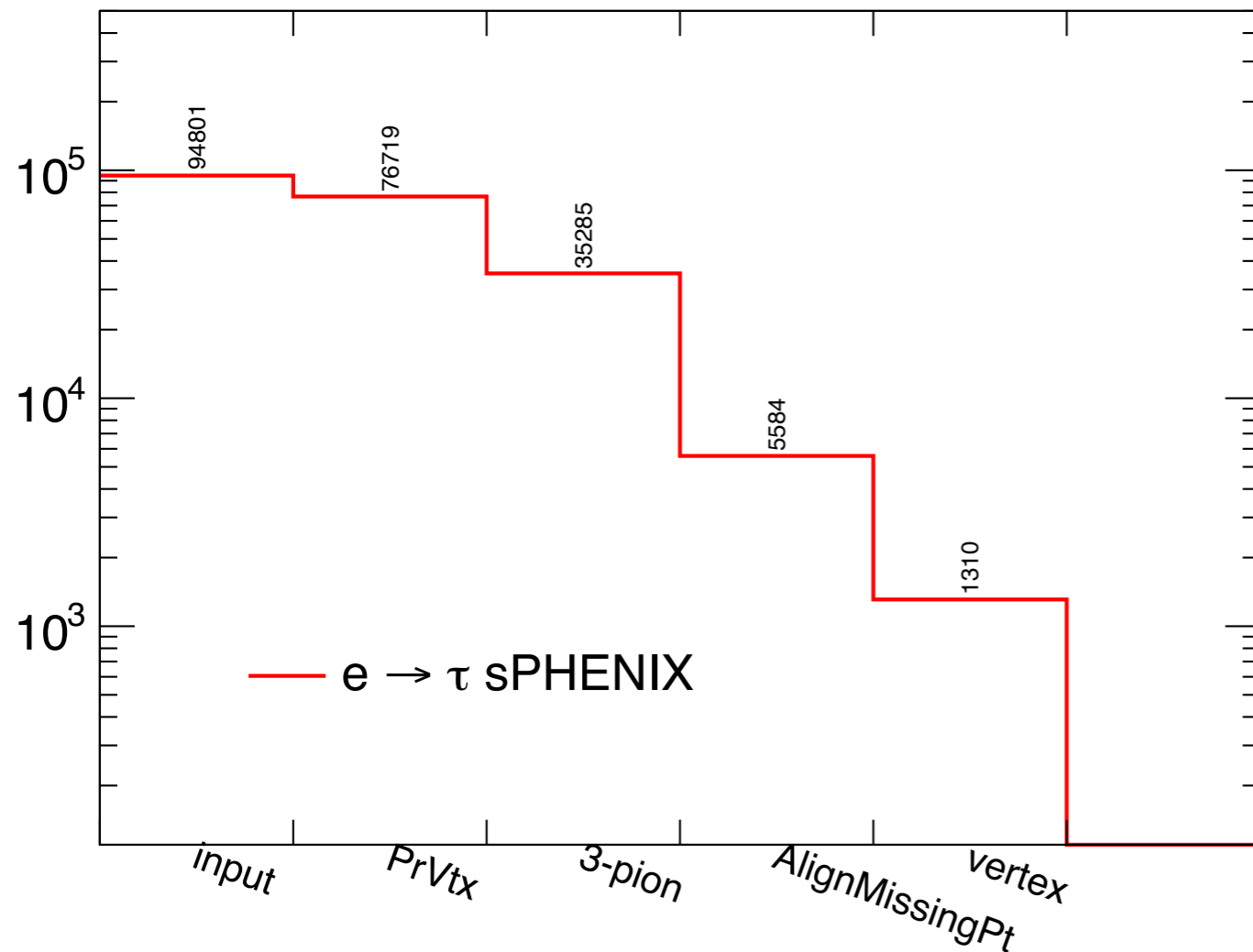


- Vertex resolution at x component  $\sim 10 \mu\text{m}$
- Similar for y and z components at middle rapidity
- Decay length resolution  $\sim 190 \mu\text{m}$

► Similar algorithm applied as for Generator level analysis



# Efficiency with Detector Effects



- PrVtx: good primary vertex
- 3-pion: only accept for 3-pion events (assuming 100% PID)
- AlignMissingPt: 3-pion should be at the “missing-pT” side azimuthally
- Vertex: match reconstructed secondary vertexes, decay length  $> 1$  mm

- Similar algorithm applied as for Generator level analysis
- $\sim 1.4\%$  ( $\sim 9.3\%$  out  $\sim 15\%$  3-prong) signal efficiency from sPHENIX detector simulation

# Next step

- Move to EIC configuration for the full detector simulation
- Completing 3-prong study
  - Optimize selection cuts; apply Multi-Variable Analysis (MVA)
  - Make the sensitivity projection
- Explore the 1-prong decays
  - Devise independent cuts for single muon and single pion modes



# Summary

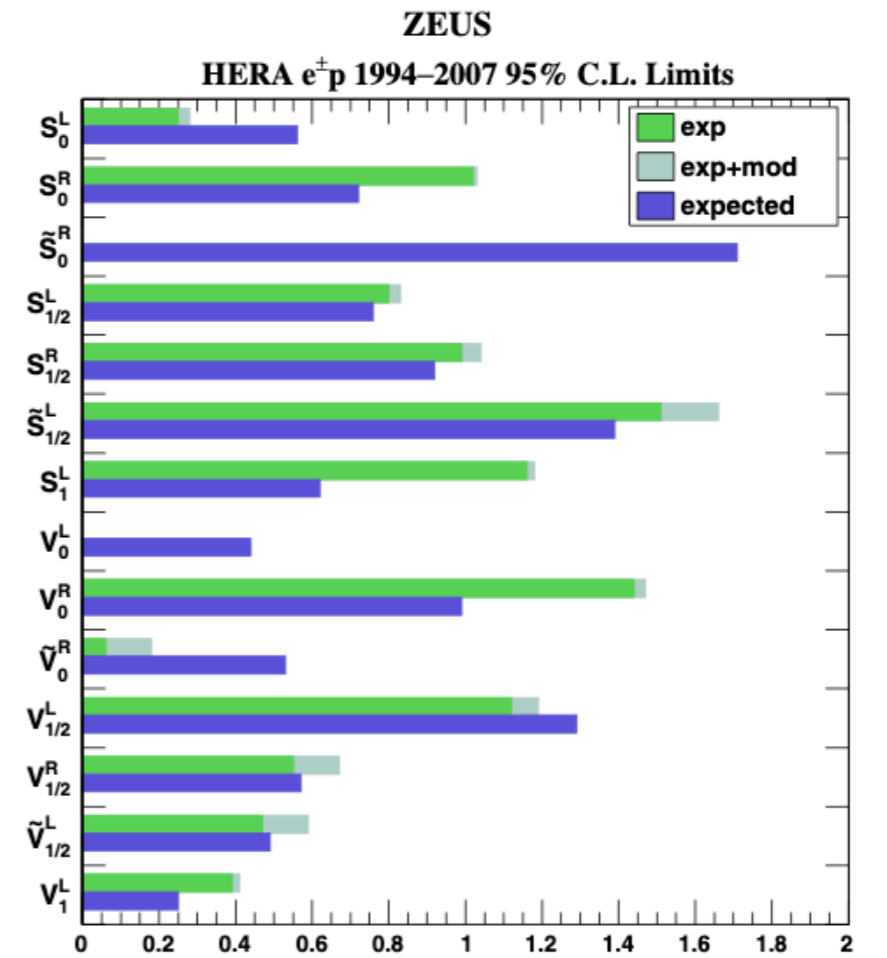
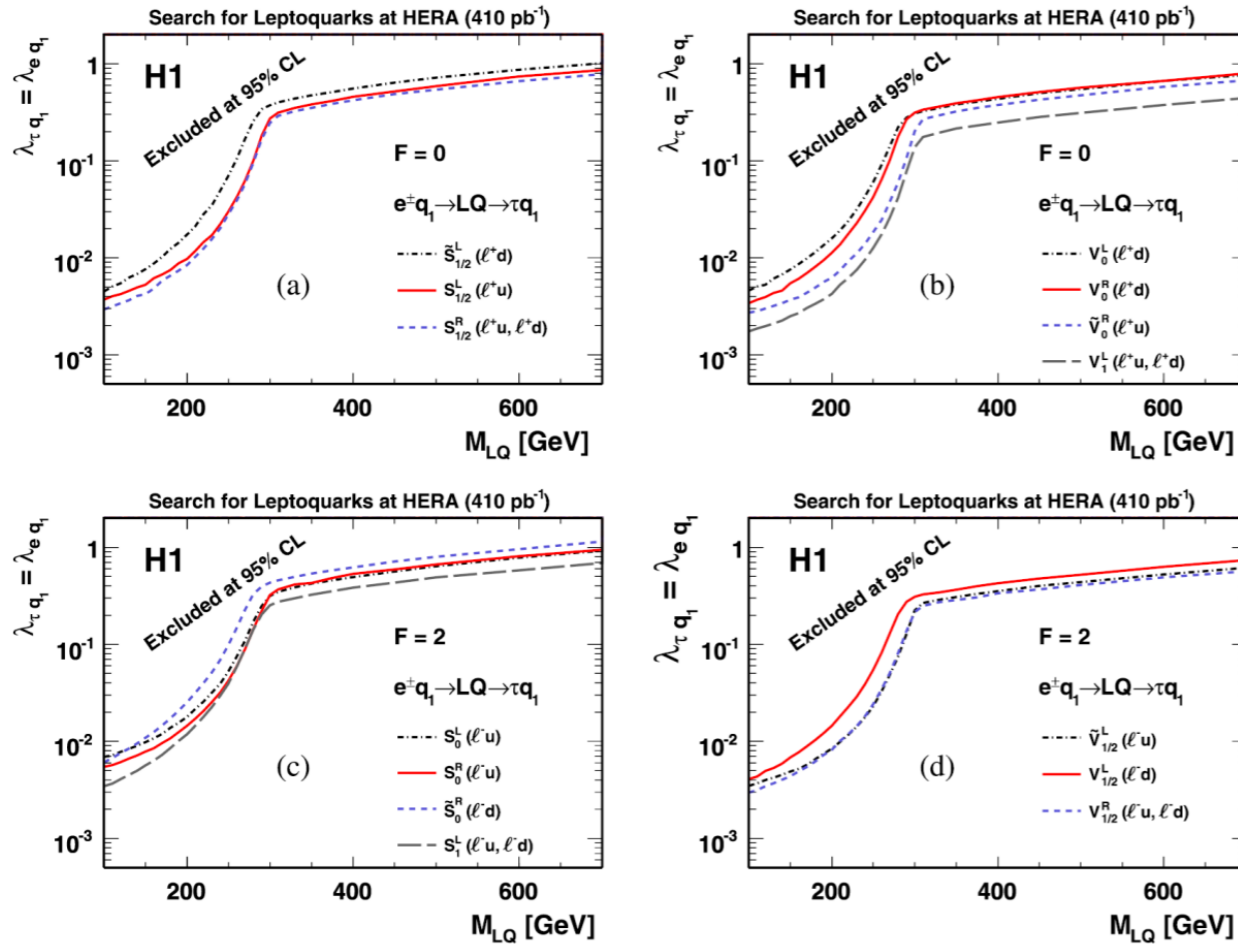
- EIC with high ( $10^{34}\text{cm}^{-2}\text{s}^{-1}$ ) luminosity opens opportunities for Charged Lepton Flavor Violation search
  - Benchmarking  $e \rightarrow \tau$  search with Leptoquark models
- LQGENEP generator + Full detector simulations and reconstruction via ePHENIX (sPHENIX-EIC) concept
- Starting an effort re-examining the potential of CLFV search with decay topological using modern precision vertex tracker and event shape analysis
  - Aiming for 0.1 fb cross-section sensitivity
  - Synergies with other high luminosity topics e.g. heavy flavors

**Backup**

# HERA

H1, PLB 701, 20-30 (2011)

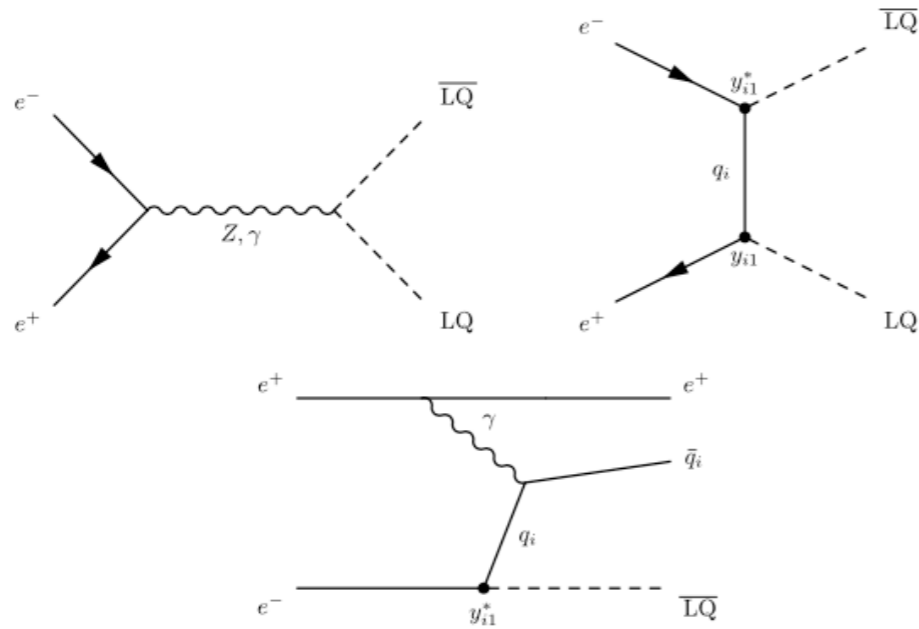
ZEUS, PRD 99, 092006 (2019)



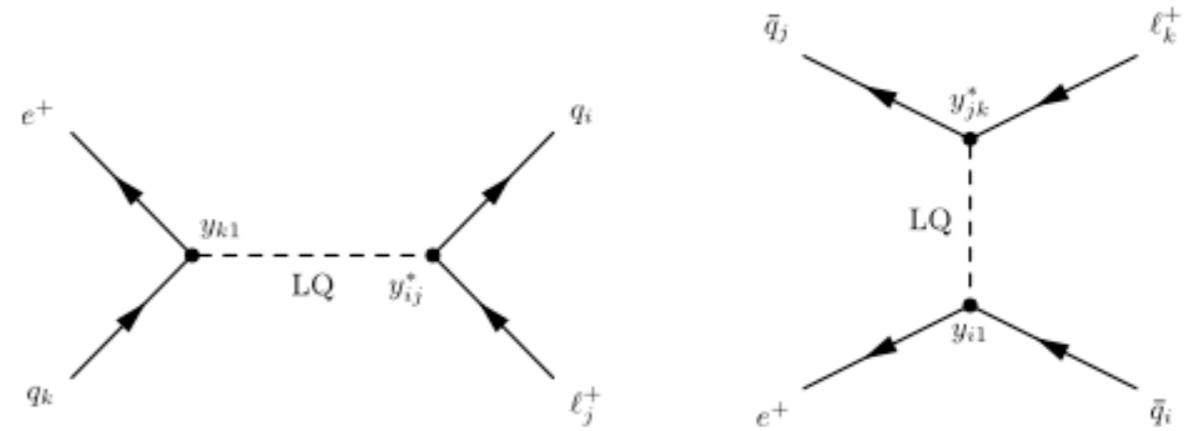
$$\eta_{LQ} = \frac{\lambda_{LQ}^2}{M_{LQ}^2} (\text{TeV}^{-2})$$

# Experimental Searches of Leptoquarks

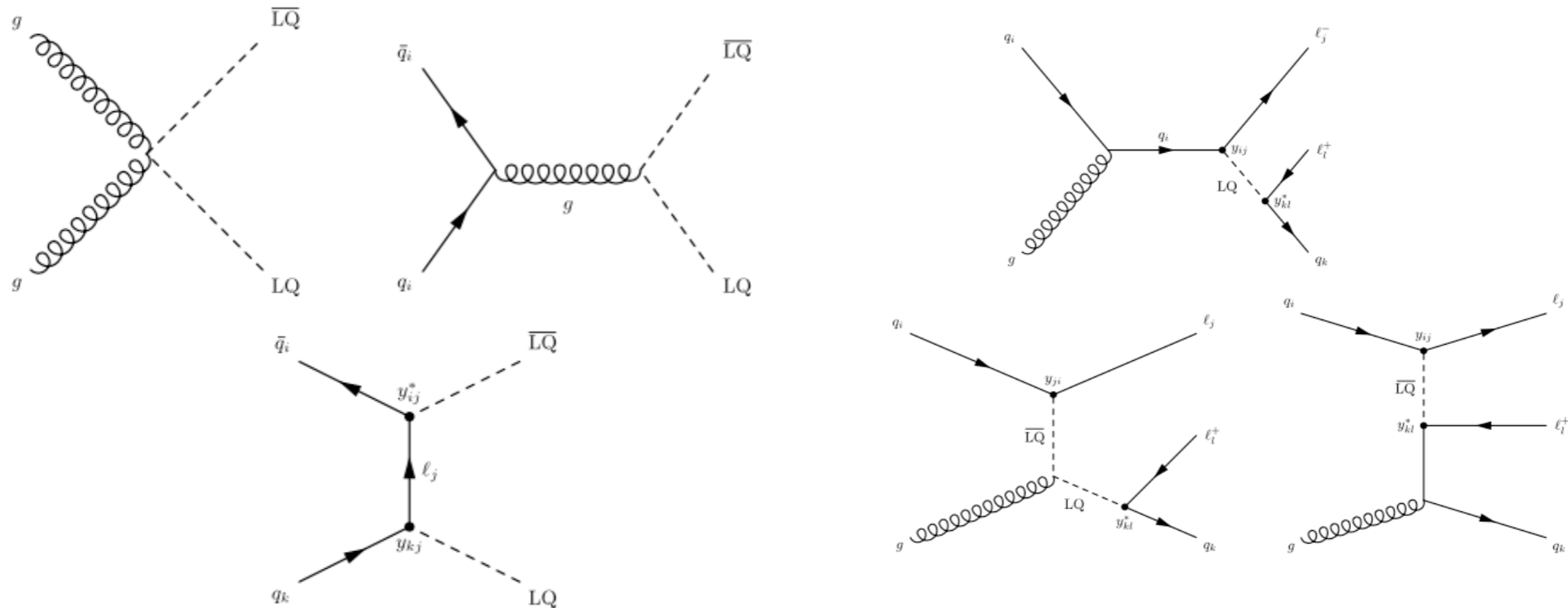
$e^+e^-$



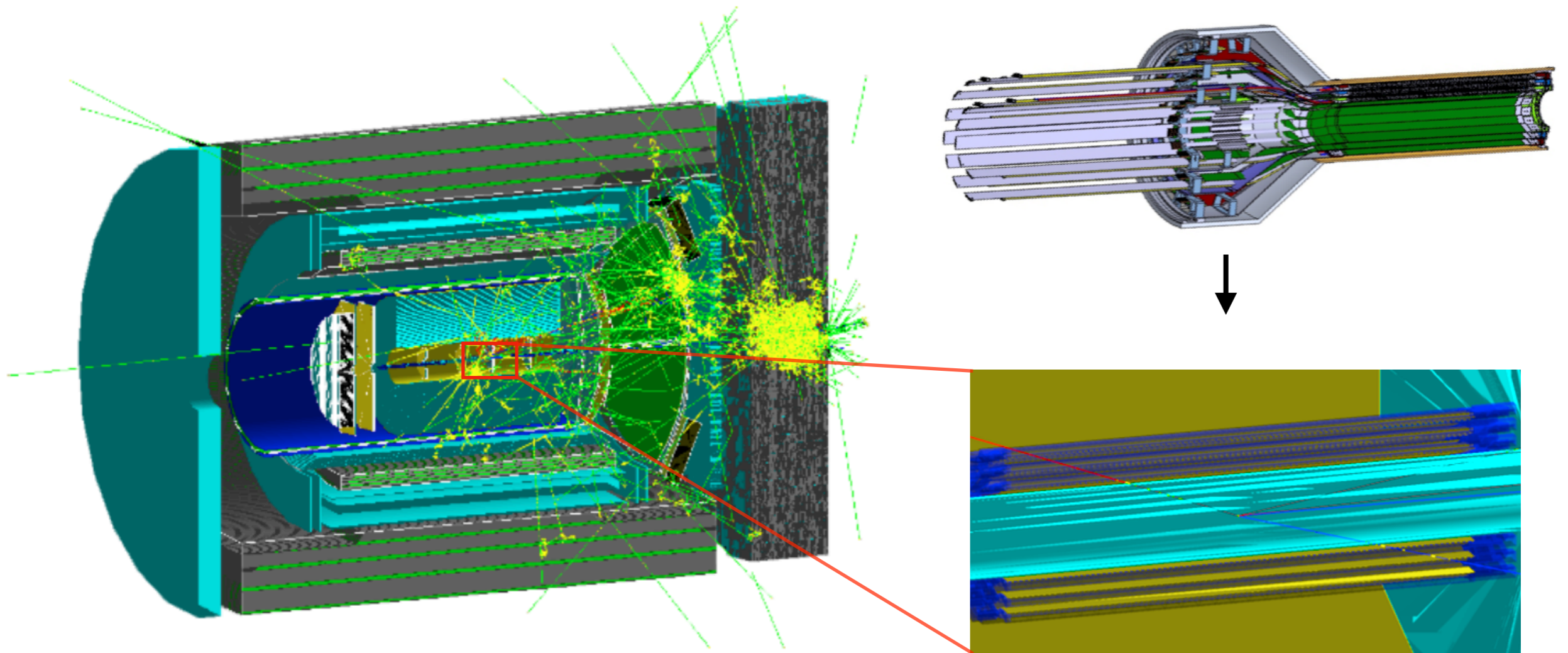
$ep$



$pp / p\bar{p}$

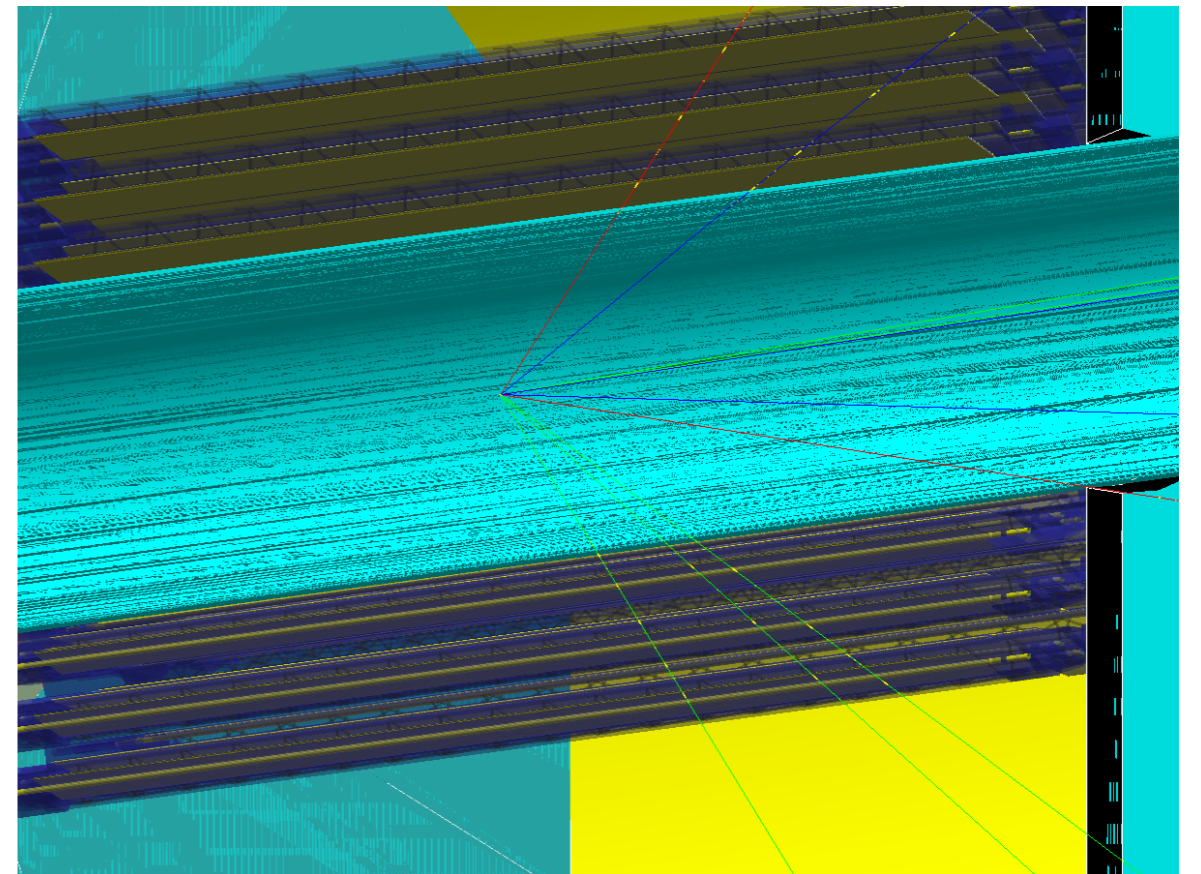
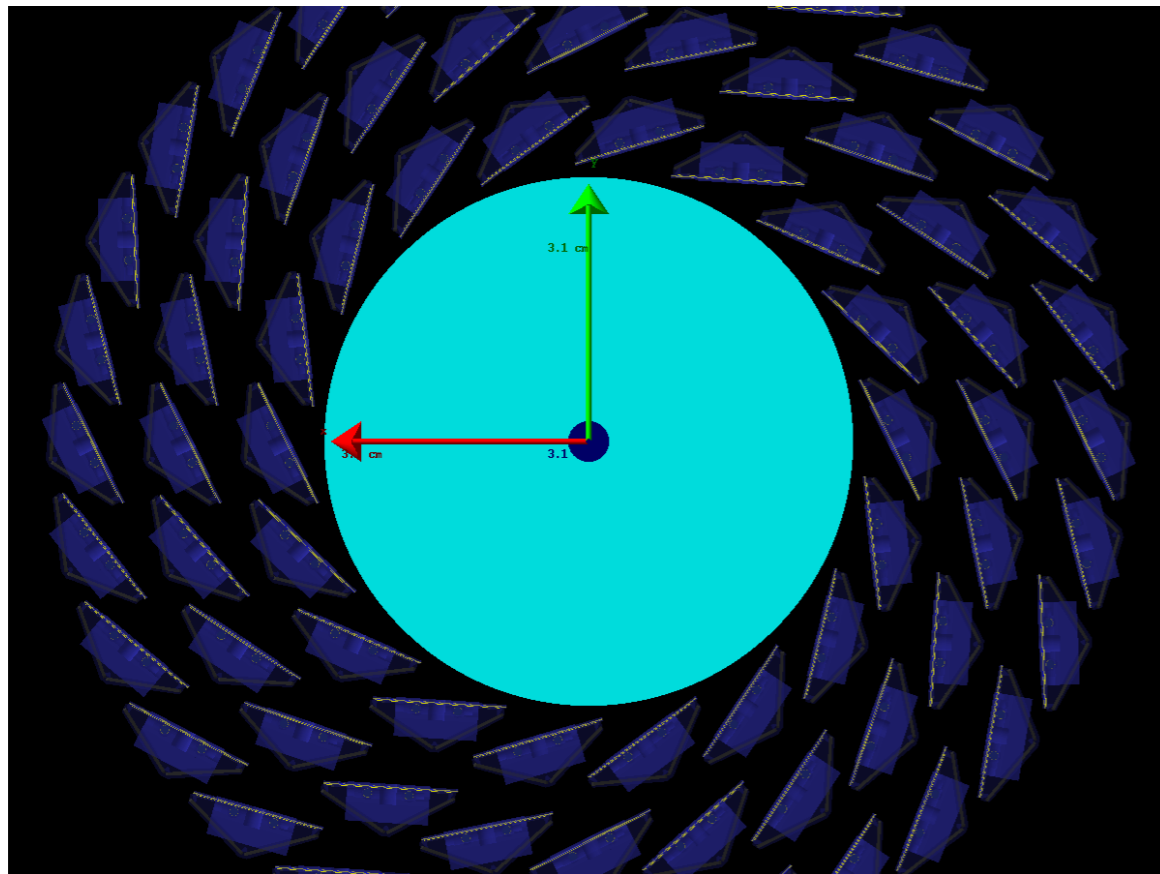


# LQ event at sPhenix-EIC detector



- LQGENEP 1.0 Leptoquark event  $e+p$   $18 \times 275$  GeV/c + sPHENIX-EIC sim
- For initial  $\tau$ -reco evaluation: sPHENIX vertex tracker

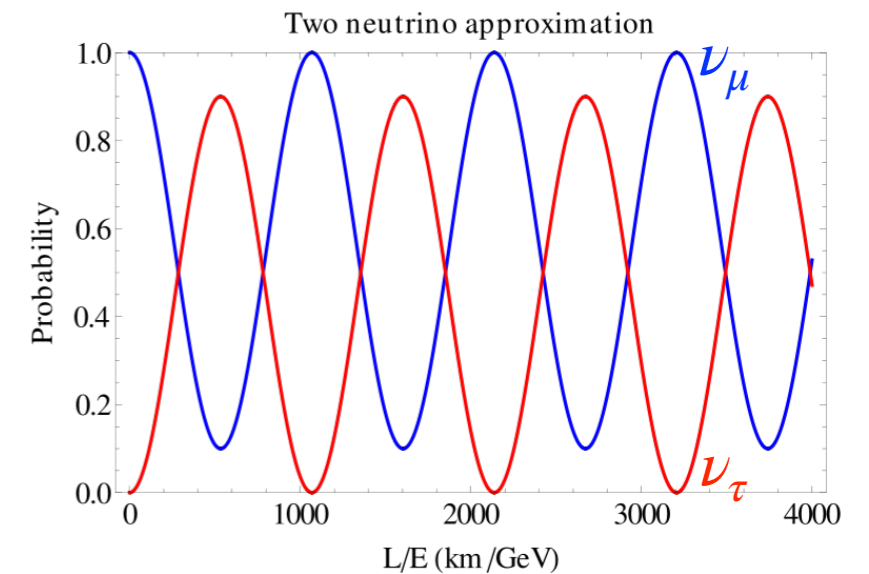
# Silicon Vertex Tracker Layout



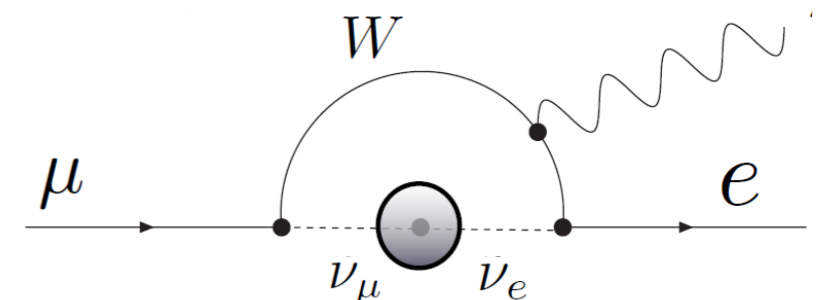
The EIC beam pipe is ~50% larger than the RHIC beam pipe. The MVTX geometry is adjusted to accommodate this pipe. The layout is based on the inner tracker from eRD16/18 from Håkan Wennlöf [hwennlof@kth.se](mailto:hwennlof@kth.se).

# Charged Lepton Flavor Violation

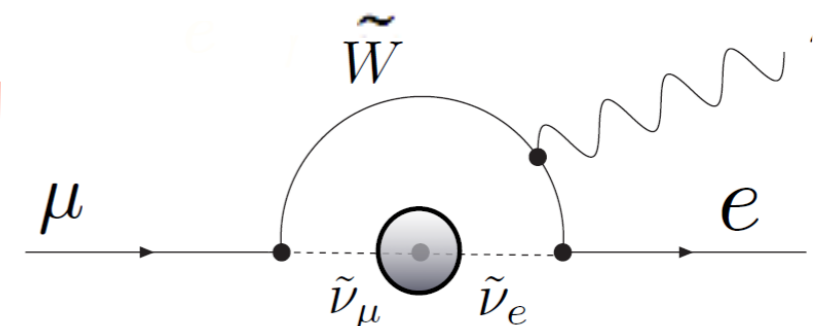
- Lepton Flavor (generation) is not conserved, neutrino oscillations observed. (2015 Nobel Prize)
- Charged lepton flavor violations (CFLV) should also be allowed within the SM; but extremely low rate, e.g.  $\text{BR}(\mu \rightarrow e\gamma) < 10^{-54}$
- Many BSM models predict significantly higher rate of CFLV, e.g. SUSY slepton mixing  $\text{BR}(\mu \rightarrow e\gamma) < 10^{-15}$



**BSM**



neutrino oscillations

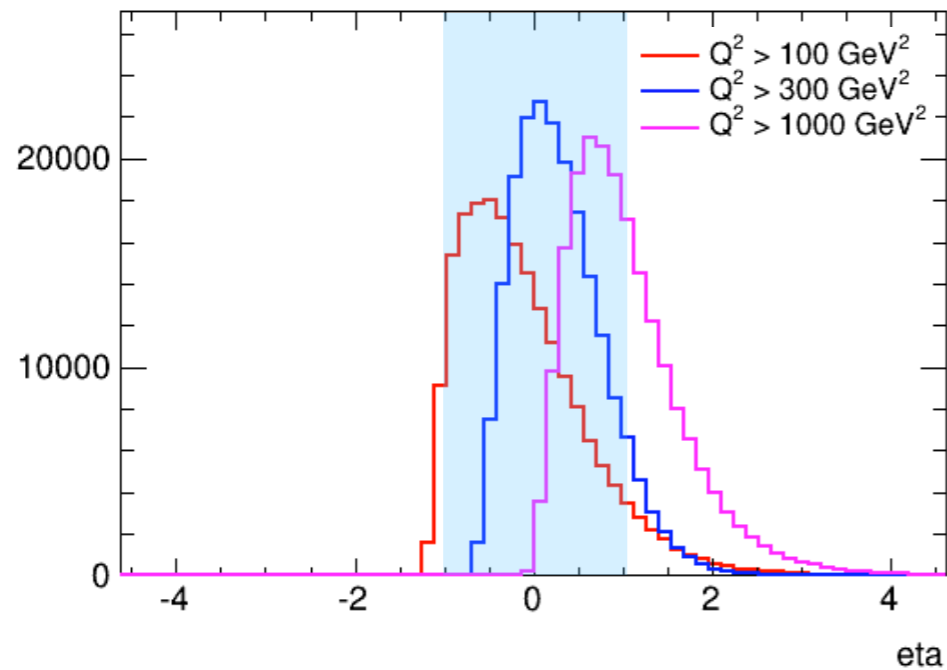


SUSY slepton mixing

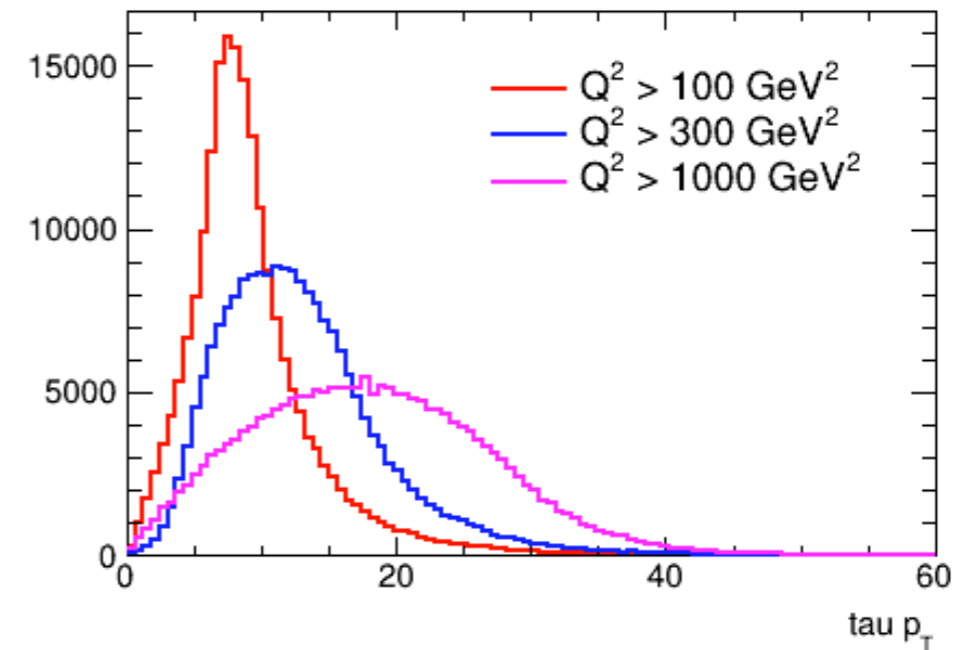
# How LQ Tau looks like at e+p

18x275 GeV<sup>2</sup>

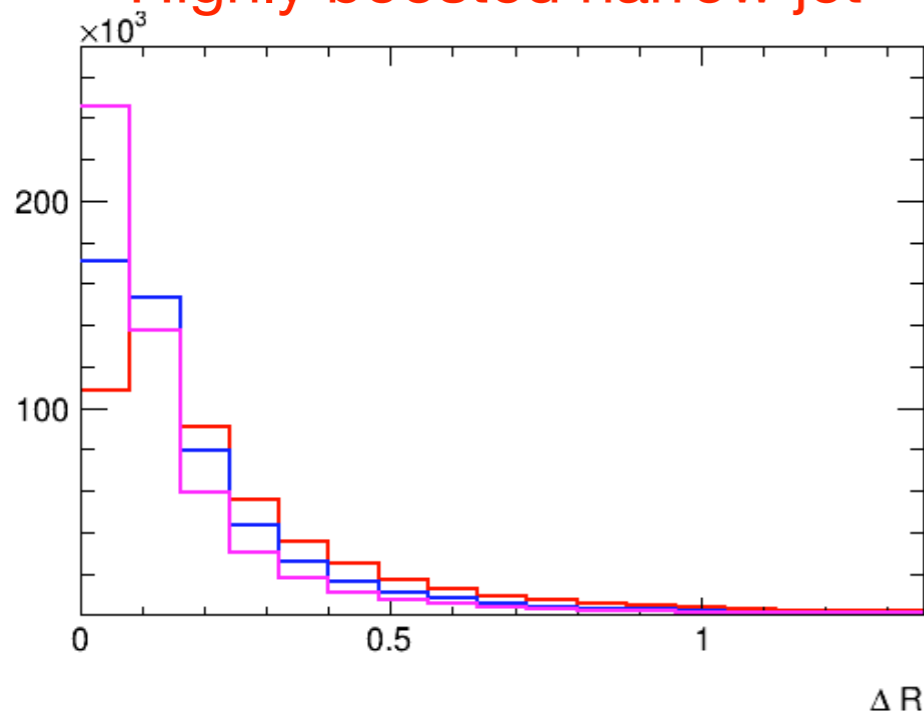
Mostly at Barrel (best detector performance)



high p<sub>T</sub>



Highly boosted narrow jet



Away from primary vertex by several cm

