# Nuclear Astrophysics: The origin of heavy elements Lecture 2: Core-collapse supernovae

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#### Supernova types

#### (a) Type la supernova

- The spectrum has no hydrogen or helium lines, but does have a strong absorption line of ionized silicon (Si II).
- Produced by runaway carbon fusion in a white dwarf in a close binary system (the ionized silicon is a by-product of carbon fusion).

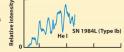
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#### (b) Type Ib supernova

- The spectrum has no hydrogen lines, but does have a strong absorption line of un-ionized helium (He I).
- Produced by core collapse in a massive star that lost the hydrogen from its outer layers.





#### (c) Type Ic supernova

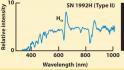
- The spectrum has no hydrogen lines or helium lines.
- Produced by core collapse in a massive star that lost the hydrogen and the helium from its outer lavers.





#### (d) Type II supernova

- The spectrum has prominent hydrogen lines such as H<sub>cc</sub>.
- Produced by core collapse in a massive star whose outer layers were largely intact.





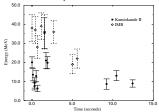
#### SN1987A

Type II supernova in LMC (~ 55 kpc)

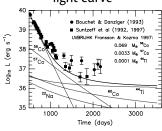


- $E_{\rm grav} \approx 10^{53} \ {\rm erg}$
- $E_{\rm rad} \approx 8 \times 10^{49} \, \rm erg$
- $E_{\text{kin}} \approx 10^{51} \text{ erg} = 1 \text{ Bethe}$

#### neutrinos $E_{\nu} \approx 2.7 \times 10^{53}$ erg

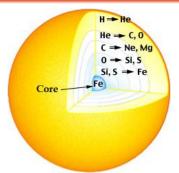


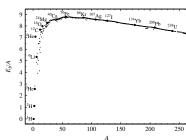




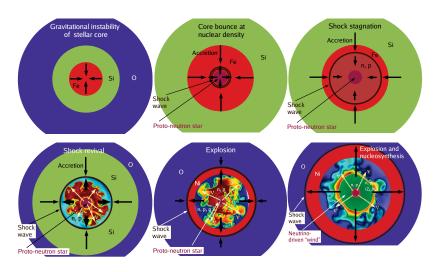
#### Presupernova Star

- Star has an onion like structure.
- Iron is the final product of the different burning processes.
- As the mass of the iron core grows it becomes unstable and collapses when it reaches around  $1.4\ M_{\odot}$ .





#### Schematical evolution

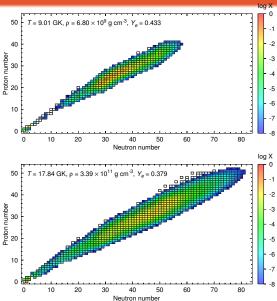


H.-Th. Janka, et al, PTEP 01A309 (2012)

#### Early iron core

- The core is made of heavy nuclei (iron-mass range A=45-65) and electrons. Composition given by Nuclear Statistical Equilibrium. There are  $Y_e$  electrons per nucleon.
- The mass of the core  $M_c$  is determined by the nucleons  $(M_c = nm_u)$ .
- There is no nuclear energy generation which adds to the pressure.
   Thus, the pressure is mainly due to the degenerate electrons, with a small correction from the electrostatic interaction between electrons and nuclei.
- As long as  $M_c < M_{\rm ch} = 1.44(2Y_e)^2 M_{\odot}$  (plus slight corrections for finite temperature), the core can be stabilized by the degeneracy pressure of the electrons.

# Composition



# Onset of collapse

There are two processes that make the situation unstable:

- Silicon burning is continuing in a shell around the iron core. This adds mass to the iron core increasing  $M_c$ .
- Electrons can be captured by protons (free or in nuclei):

$$e^- + A(Z, N) \to A(Z - 1, N + 1) + \nu_e$$
.

This reduces the pressure and keep the core cold, as the neutrinos leave. The net effect is a reduction of  $Y_e$  and consequently of the Chandrasekhar mass  $(M_{ch})$ 

#### **Initial conditions**

The dominant contribution to the pressure comes from the electrons. They are degenerate and relativistic:

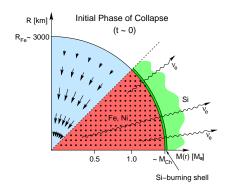
$$P \approx n_e \mu_e = n_e \varepsilon_F$$

 $\mu_e$  is the chemical potential, fermi energy, of the electrons:

$$\mu_e \approx 1.11 (\rho_7 Y_e)^{1/3} \text{ MeV}, \quad \frac{\rho Y_e}{m_u} = n_e$$

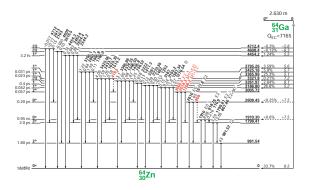
For  $\rho_7 = 1$  ( $\rho = 10^7$  g cm<sup>-3</sup>) the chemical potential is 1 MeV, reaching the nuclear energy scale. At this point is energetically favorable to capture electrons by nuclei.

#### Presupernova evolution



- T = 0.1–0.8 MeV,  $\rho = 10^7$ – $10^{10}$  g cm<sup>-3</sup>. Composition of iron group nuclei.
- Important processes:
  - electron capture:  $e^- + (N, Z) \rightarrow (N+1, Z-1) + \nu_e$ •  $\beta^-$  decay:
    - $(N,Z) \to (N-1,Z+1) + e^- + \bar{\nu}_e$
- Dominated by allowed transitions (Fermi and Gamow-Teller)
- Evolution decreases number of electrons  $(Y_e)$  and Chandrasekar mass  $(M_{ch} \approx 1.4(2Y_e)^2 M_{\odot})$

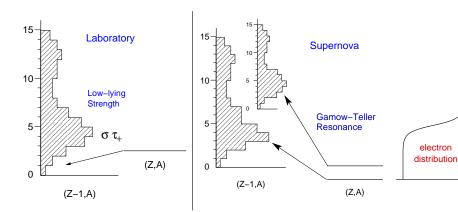
# Electron capture on Earth



$$\lambda_{\text{ec}} = \frac{\ln 2}{K} f(Q) [B(F) + B(GT)], \quad K = 6147.0 \pm 2.4 \text{ s}$$

- f(Q) phase space function.
- *B*(*F*) Fermi matrix element.
- *B*(*GT*) Gamow-Teller matrix element.

#### Laboratory vs. stellar electron capture

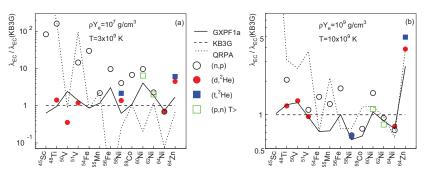


Capture of K-shell electrons to tail of GT strength distribution. Parent nucleus in the ground state

Capture of electrons from the high energy tail of the FD distribution. Capture to states with large GT matrix elements (GT resonance). Thermal ensemble of initial states.

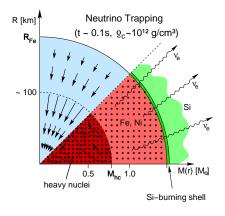
# Systematic study measured GT strengths

#### A. L. Cole et al., PRC 86, 015809 (2012)



- Rates for iron-group nuclei are under control
- With increasing density, less sophisticated models like QRPA may suffice.

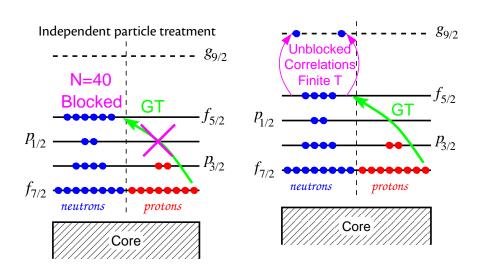
#### Collapse phase



#### Important processes:

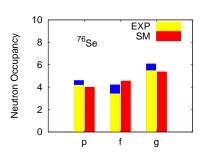
- Neutrino transport (Boltzmann equation):  $v + A \rightleftharpoons v + A$  (trapping)  $v + e^- \rightleftharpoons v + e^-$  (thermalization) cross sections  $\sim E_v^2$
- electron capture on protons:  $e^- + p \rightleftharpoons n + \nu_e$
- electron capture on nuclei:  $e^- + A(Z, N) \rightleftharpoons A(Z-1, N+1) + \nu_e$

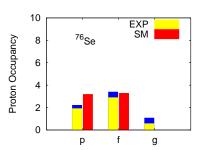
# (Un)blocking electron capture at N=40



# Correlations around N=40: GT+ strength for <sup>76</sup>Se

The structure of  $^{76}$ Se (Z=34, N=42) has been the subject of several studies due to its important for the double beta decay of  $^{76}$ Ge Measured occupation numbers in transfer reactions Schiffer *et al*, PRL **100**, 112501 (2008) Kay *et al*, PRC **79**, 021301(R) (2009)

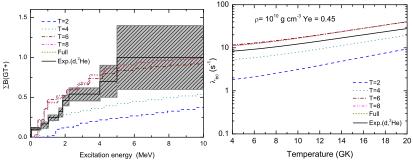




Occupation of  $g_{9/2}$  orbital is larger than naive IPM estimates.

# Correlations around N=40: GT+ strength for <sup>76</sup>Se

Gamow-Teller strength measured in charge-exchange reactions:  $(d, {}^{2}\text{He})$ : Grewe *et al.*, PRC **78**, 044301 (2008)

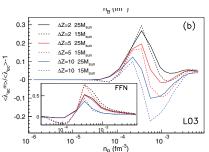


- Slow convergence of cross-shell correlations.
- Thermofield dynamics or finite temperature QRPA models, which consider only 2p-2h (T=2) correlations, do not suffice.
- What is the role of the  $N=40~(Z\lesssim 26)$  island of inversion on electron capture rates?

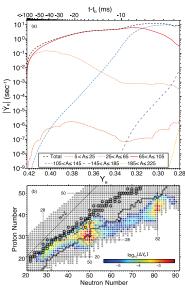
Zhi, Langanke, GMP, Nowacki, Sieja, NPA 859, 172 (2011)

#### Most important nuclei

- Most relevant nuclei are those around N = 50.
- Shell closure enhances the abundances.
- Results are sensitive to assumptions about shell closure far from stability.

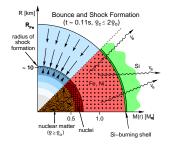


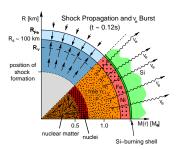
Raduta, et al, PRC 93, 025803 (2016)



Sullivan et al, ApJ 816, 44 (2015).

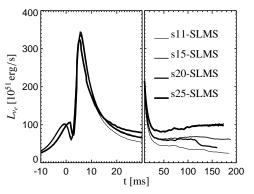
#### Bounce and $v_e$ burst



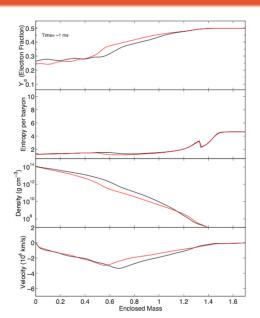


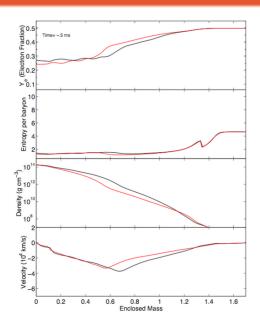
- Collapse continues until central density becomes around twice nuclear matter density.
- Sudden increase in nuclear pressure stops the collapse and a shock wave is launched at the sonic point. The energy of the shock depends on the Equation of State.
- The passage of the shock dissociates nuclei into free nucleons which costs  $\sim 8~{\rm MeV/nucleon}$ . Additional energy is lost by neutrino emission produced by electron capture ( $\nu_e$  burst).
- Shock stalls at a distance of several 100 km.

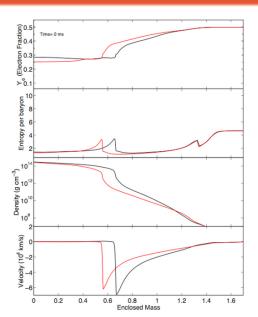
#### Neutrino burst

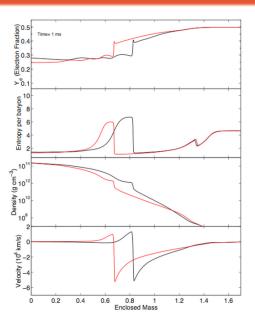


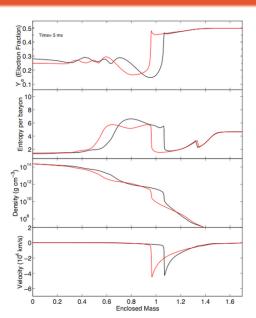
- Burst occurs when shock wave reaches regions with densities low enough to be transparent to neutrinos. It is produced by electron captures on free protons from the dissociation.
- Burst structure does not depend on the progenitor star.
- Future observation by a supernova neutrino detector may test our basic understanding of supernova explosions. Standard neutrino candles.

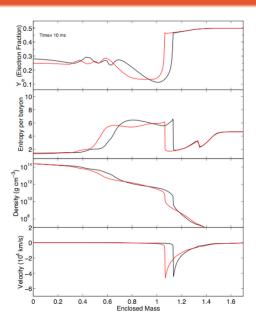


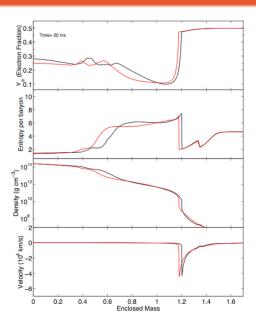


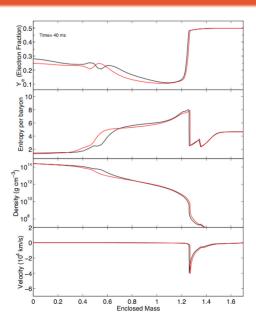




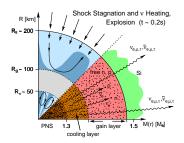


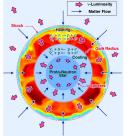






#### Delayed explosion mechanism: neutrino heating





#### Main processes:

$$v_e + n \rightleftarrows p + e^-$$
  
 $\bar{v}_e + p \rightleftarrows n + e^+$ 

Concept of gain radius due to Bethe.
Corresponds to the region where cooling
(electron positron capture) and heating
(neutrino antineutrino absorption) are equal.

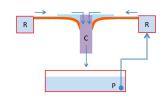
Cooling: 
$$143 \left(\frac{kT}{2 \text{ MeV}}\right)^6 \text{ MeV/s}$$

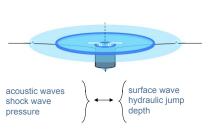
$$\text{Heating: } 110 \bigg( \frac{L_{\nu_e,52} \epsilon_{\nu_e}^2}{r_7^2} \, Y_n + \frac{L_{\bar{\nu}_e,52} \epsilon_{\bar{\nu}_e}^2}{r_7^2} \, Y_p \bigg) \, \text{MeV/s}$$

Gravitational energy of a nucleon at 100 km: 14 MeV Energy transfer induces convection and requires multidimensional simulations.

#### SWASI: Shallow Water Analogue of a Shock Instability

Kitchen sink hydraulic jump







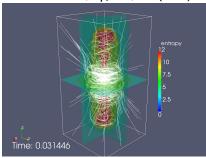
Foglizzo et al, PRL 108 051103 (2012)

#### Summary

- Multidymensional (3D) simulations of core-collapse supernova are very challenging.
- There may be several mechanism operating
- Important role of microphysics (neutrino reactions consistent with the equation of state) and hydrodynamical instabilities.
- Current exploding 3D models suggest very low explosion energies.
   A factor ten smaller than 10<sup>51</sup> ergs.
- Explosion very sensitive to small variations, e.g. neutrino interactions.
- It may suggest that supernova explosions are rare phenomena.
- If explosions are a common outcome of massive star evolution we will need to develop a robust explosion mechanism.

#### Magnetorotationally Driven Supernovae

#### Winteller et al, ApJ 750, L22 (2012)



- Rotation and magnetic fields may play an important role in some supernova explosions (relation GRBs?).
- Origin highly magnetized neutron stars (magnetars)
- Site r-process nucleosynthesis.

