

ACTION and CHARGES in HIGHER-DERIVATIVE HOLOGRAPHY

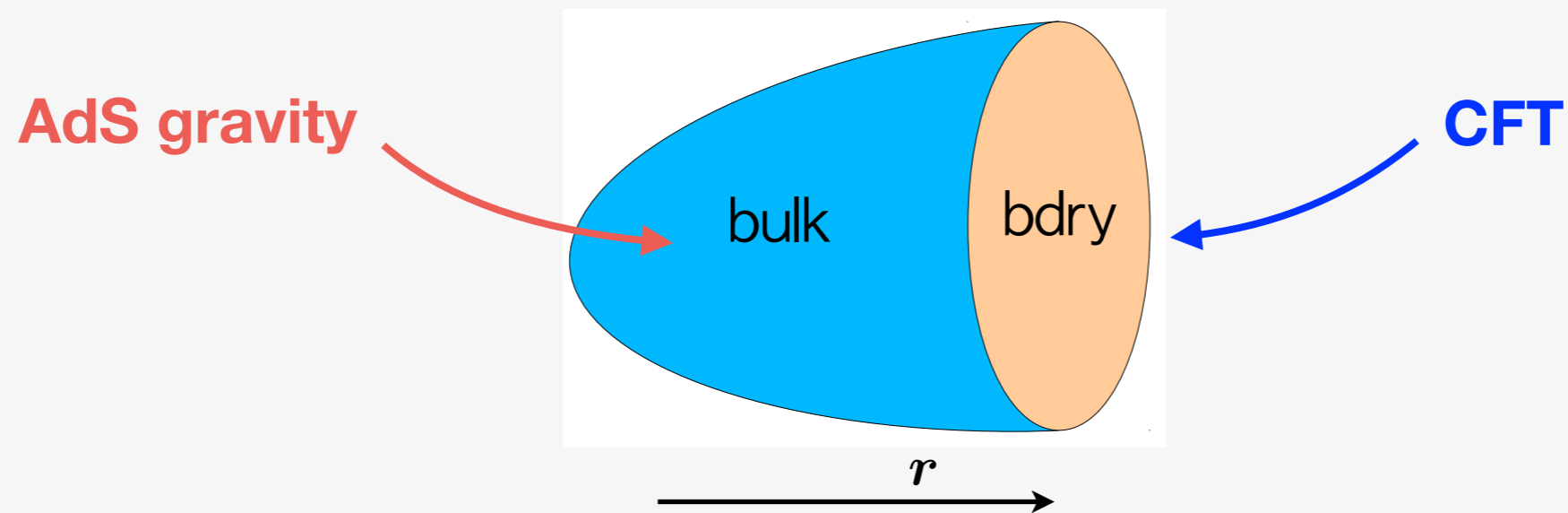
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AdS/CFT correspondence

Non-perturbative definition of Quantum Gravity in asymptotically AdS space



$$Z_{\text{gravity}} = Z_{\text{CFT}}$$

AdS boundary conditions \Leftrightarrow CFT background fields

Use CFT to address black hole microstate counting

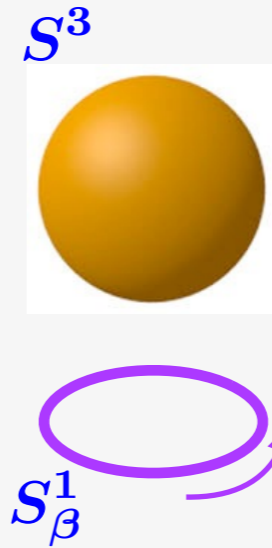
Outline

- Focus on AdS₅/CFT₄ $d=4$ SCFT's explicitly known and calculable
 $\mathcal{N} = 4$ SYM , infinite $\mathcal{N} = 1$ CFT's
- corrections to BPS black hole entropy from SCFT₄
- match these in higher-derivative $d = 5$ supergravity
→ anomalies play a key role ←
- along the way, will discuss issues that arise with higher-derivatives

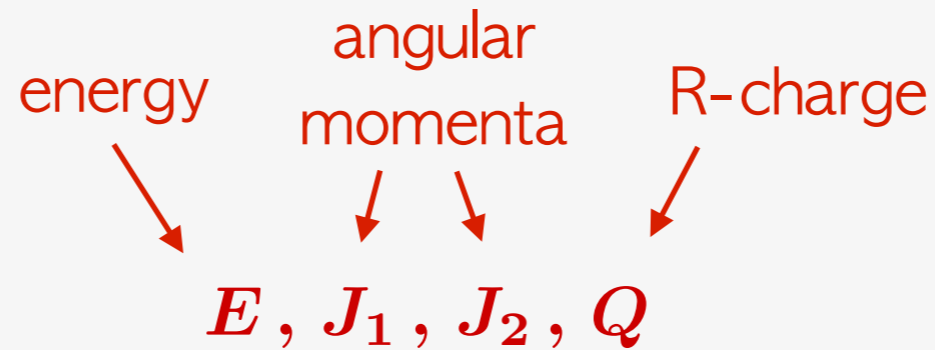
Joint work with: A. Ruipérez, E. Turetta 2208.01007, 2304.06101
Z. Komargodski 2021;
A. Cabo-Bizet, S. Murthy, D. Martelli 2018–2020;

Black Hole from SCFT

$\mathcal{N} = 1$ SCFT on $S^1 \times S^3$

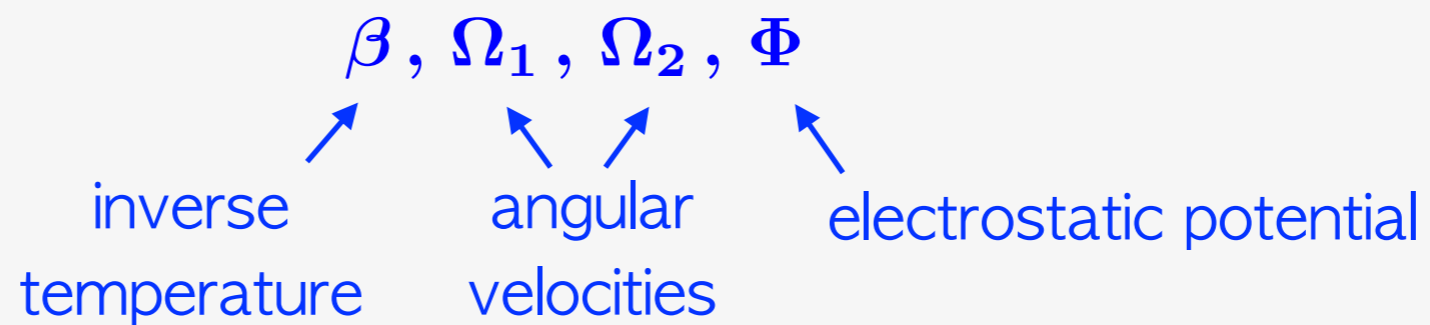


Microcanonical ensemble



Entropy $S = \log$ (degeneracy of states with assigned charges)

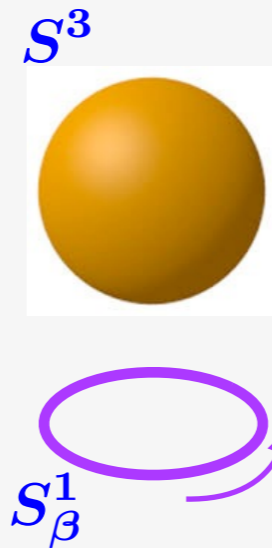
Grand-canonical ensemble



$$Z(\beta, \Omega_i, \Phi) = \text{Tr} e^{-\beta(E - \Omega_1 J_1 - \Omega_2 J_2 - \Phi Q)}$$

Black Hole from SCFT

$\mathcal{N} = 1$ SCFT on $S^1 \times S^3$



Microcanonical ensemble

supercharges

$$\{Q, \bar{Q}\} = E - 1 J_1 - 1 J_2 - \frac{3}{2} Q$$

$$J_1, J_2, Q$$

Entropy $S = \log$ (degeneracy of states with assigned charges)

Grand-canonical ensemble

$$\beta, \omega_1 = \beta(\Omega_1 - 1), \omega_2 = \beta(\Omega_2 - 1), \varphi = \beta(\Phi - \frac{3}{2})$$

$$Z(\beta, \omega_i, \varphi) = \text{Tr} e^{-\beta\{Q, \bar{Q}\} + \omega_1 J_1 + \omega_2 J_2 + \varphi Q}$$

Black Hole from SCFT

A black hole is a large-N saddle, $Z(\beta, \omega_i, \varphi) \approx e^{-I(\beta, \omega_i, \varphi)}$ ← action

$$S = \text{ext}_{\{\beta, \omega_1, \omega_2, \varphi\}} [-I + \beta\{Q, \bar{Q}\} - \omega_1 J_1 - \omega_2 J_2 - \varphi Q]$$

Supersymmetry

$e^{\omega_1 J_1 + \omega_2 J_2 + \varphi Q}$ must commute with supercharge

$$\varphi = \frac{\omega_1 + \omega_2}{2} - \pi i$$

Hosseini, Hristov, Zaffaroni
Cabo-Bizet, Murthy, DC, Martelli
Choi, Kim, Kim, Nahmgoong

$$\rightarrow Z(\beta, \omega_i) = \text{Tr} e^{-\pi i Q} e^{-\beta\{Q, \bar{Q}\} + \omega_i(J_i + \frac{1}{2}Q)}$$

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$$e^{\pi i F} = e^{-2\pi i J_1} \rightarrow \text{Tr} (-1)^F e^{-\beta\{Q, \bar{Q}\} + (\omega_1 - 2\pi i)(J_1 + \frac{1}{2}Q) + \omega_2(J_2 + \frac{1}{2}Q)}$$

spin-statistics

Witten index

Kinney, Maldacena, Minwalla, Raju

Romelsberger

- only states with $\{Q, \bar{Q}\} = 0$ contribute
- $Z = Z(\omega_i)$, no dependence on β
- protected: does not depend on continuous parameters

TASK : look for saddles

Cardy-like limit

A device to isolate black hole saddle

Small ω_1, ω_2 , with $\varphi = \frac{\omega_1 + \omega_2}{2} - \pi i$

lots of people, starting from
Choi, J. Kim, S. Kim, Nahmgoong '18

...
DC, Komargodski '21

$$I_{\text{CFT}} = \frac{16}{27} (3c - 2a) \frac{\varphi^3}{\omega_1 \omega_2} - \frac{4}{3} (a - c) \varphi \frac{2\pi i (\omega_1 + \omega_2) - \omega_1 \omega_2}{\omega_1 \omega_2} + \log |\mathcal{G}| + \mathcal{O}(e^{-1/\omega})$$

rank of discrete 1-form symmetry
= N in many $SU(N)$ examples

a, c superconformal anomaly coeff. General and finite

● Holographic SCFT at large- N $\rightarrow a = c \rightarrow I_{\text{CFT}} = \frac{16}{27} \frac{\varphi^3}{\omega_1 \omega_2} a$

Microcanonical entropy

$$S = \text{ext}_{\{\omega_1, \omega_2, \varphi, \Lambda\}} [-I - \omega_1 J_1 - \omega_2 J_2 - \varphi Q - \Lambda(\omega_1 + \omega_2 - 2\varphi - 2\pi i)]$$

linearizing in $a - c$

DC, Ruipérez, Turetta

$$S = \pi \sqrt{3Q^2 - 8a(J_1 + J_2) - 16a(a - c) \frac{(J_1 - J_2)^2}{Q^2 - 2a(J_1 + J_2)}} + \mathcal{O}((a - c)^2)$$

also Bobev, Dimitrov, Reys, Vekemans

Reality of Legendre transform \leftrightarrow constraint between the charges

$$\begin{aligned} & [3Q + 4(2a - c)] [3Q^2 - 8c(J_1 + J_2)] \\ &= Q^3 + 16(3c - 2a)J_1J_2 + 64a(a - c) \frac{(Q + a)(J_1 - J_2)^2}{Q^2 - 2a(J_1 + J_2)} + \mathcal{O}((a - c)^2) \end{aligned}$$

Microcanonical entropy

Setting $a = c$

$$S = \pi \sqrt{3Q^2 - 8a(J_1 + J_2)} + \mathcal{O}(a - c)$$

$$(3Q + 4a) [3Q^2 - 8a(J_1 + J_2)] = Q^3 + 16aJ_1J_2 + \mathcal{O}(a - c)$$

Microcanonical entropy

Simplification also for $J_1 = J_2 \equiv J$

$$S = \pi \sqrt{3Q^2 - 16aJ} + \mathcal{O}((a - c)^2)$$

$$[3Q + 4(2a - c)] [3Q^2 - 16cJ] = Q^3 + 16(3c - 2a)J^2 + \mathcal{O}((a - c)^2)$$

Gravity side

Gravitational partition function

$$Z(\beta, \Omega_i, \Phi) = \int Dg_{\mu\nu} DA_\mu D\psi_\mu e^{-\text{Action}[g_{\mu\nu}, A_\mu, \psi_\mu]}$$

Hard . . . resort to saddle point approximation

$$Z \approx e^{-I}$$

EFT approach

work directly in $d = 5$ and do not commit to specific top-down models

(SCFT details are unimportant, only a , c matter)

Holographic anomaly matching

R-current anomalies are matched by Chern-Simons terms in the bulk

$$\mathcal{L}_{\text{grav}} \supset (5a - 3c) \epsilon^{\mu\nu\rho\sigma\lambda} F_{\mu\nu} F_{\rho\sigma} A_\lambda + \frac{9}{8} (c - a) \epsilon^{\mu\nu\rho\sigma\lambda} R_{\mu\nu\alpha\beta} R_{\rho\sigma}{}^{\alpha\beta} A_\lambda$$

↑
2 der.

↑
4 der.

- 2∂ supergravity \longleftrightarrow a, c large & equal
 $a = c = \frac{\pi}{8g^3 G} + \dots \sim N^2 + \dots$ in many $SU(N)$ examples
- corrections to a, c
(in particular, to $a - c = 0 + \dots$) \longleftrightarrow 4∂ susy invariants !

2-derivative AdS₅ Black Hole

- minimal AdS₅ supergravity

$$\mathcal{L} = R + 12g^2 - \frac{1}{4}F_{\mu\nu}F^{\mu\nu} - \frac{1}{12\sqrt{3}}\epsilon^{\mu\nu\rho\sigma\lambda}F_{\mu\nu}F_{\rho\sigma}A_\lambda + \text{fermions}$$

- *non*-supersymmetric, *non*-extremal black hole Chong, Cvetič, Lu, Pope '05
- Supersymmetry: 2 supercharges, Q, \bar{Q} Gutowski, Reall '04,

- Bekenstein-Hawking entropy

$$S = \frac{\text{Area}}{4G} = \pi \sqrt{3Q^2 - 8a(J_1 + J_2)}$$

$$a = \frac{\pi}{8g^3 G}$$

S. Kim, K.M. Lee

- Delicate part:

on-shell action for $\beta = \infty$

CCMM

$$I_{\text{grav}} = \frac{16}{27} \frac{\varphi^3}{\omega_1 \omega_2} a = I_{\text{CFT}}$$

- constraints also agree



Dealing with higher derivatives

- 1 write down higher-derivative action
- 2 fix the boundary terms
- 3 evaluate it on solution
- 4 direct match of microcanonical entropy

1 4-derivative action

- ◆ start from *off-shell* $d = 5$ supergravity

- ◆ add two 4∂ invariants, controlled by λ_1, λ_2

$$\mathcal{L} = \mathcal{L}_{2\partial}^{\text{off-sh}} + \lambda_1 \frac{1}{g^2} \mathcal{L}_{C^2}^{\text{off-sh}} + \lambda_2 \frac{1}{g^2} \mathcal{L}_{R^2}^{\text{off-sh}}$$

Hanaki, Ohashi, Tachikawa '06

Ozkan, Pang '13

- ◆ integrate out auxiliary fields
- ◆ simplify result by field redefinitions

1 4-derivative action

Work at linear order in λ_1, λ_2

$$\Phi = \Phi^{(0)} + \lambda \Phi^{(1)}$$

$$I = I^{(0)}|_{\lambda=0} + \lambda \left(\partial_\lambda I^{(0)} + I^{(1)} \right) |_{\lambda=0} + \mathcal{O}(\lambda^2)$$

1 4-derivative action

Work at linear order in λ_1, λ_2

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$$I = I^{(0)}|_{\lambda=0} + \lambda \left(\partial_{\lambda} I^{(0)} + I^{(1)} \right) |_{\lambda=0} + \mathcal{O}(\lambda^2)$$

0

- ◆ EoM for auxiliary fields remain algebraic
- ◆ EoM dynamical fields remain second-order
- ◆ field redefinitions are perturbative \rightarrow simplifications

Hanaki, Ohashi, Tachikawa '06

Cremonini, Hanaki, Liu, Szepietowski '08

progress in:

Bobev, Hristov, Reys '21

Liu, Saskowski '22

1 4-derivative action

- arrive at 4 ∂ corrected supergravity action:

$$\mathcal{L} = c_0 R + 12c_1 g^2 - \frac{1}{4}c_2 F^2 - \frac{1}{12\sqrt{3}}c_3 \epsilon^{\mu\nu\rho\sigma\lambda} F_{\mu\nu} F_{\rho\sigma} A_\lambda$$
$$+ \lambda_1 \frac{1}{g^2} \left[\mathcal{X}_{\text{GB}} - \frac{1}{2} C_{\mu\nu\rho\sigma} F^{\mu\nu} F^{\rho\sigma} + \frac{1}{8} F^4 - \frac{1}{2\sqrt{3}} \epsilon^{\mu\nu\rho\sigma\lambda} R_{\mu\nu\alpha\beta} R_{\rho\sigma}{}^{\alpha\beta} A_\lambda \right]$$

$$\mathcal{X}_{\text{GB}} = R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} - 4R_{\mu\nu} R^{\mu\nu} + R^2 \quad \text{Gauss-Bonnet}$$

$$c_0 = 1 + 4\lambda_2, \quad c_1 = 1 - 10\lambda_1 + 4\lambda_2, \quad c_2 = 1 + 4\lambda_1 + 4\lambda_2, \quad c_3 = 1 - 12\lambda_1 + 4\lambda_2$$

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λ_2 renormalizes G_{Newton}

1 4-derivative action

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λ_1 from auxiliary fields & field redefinitions

1 4-derivative action

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λ_1 from auxiliary fields & field redefinitions

- Holographic anomaly matching \rightarrow dictionary :

$$a = \frac{\pi}{8Gg^3} (1 + 4\lambda_2), \quad c = \frac{\pi}{8Gg^3} (1 + 8\lambda_1 + 4\lambda_2)$$

2 Boundary terms

$$I_{\text{grav}} = \int_{M_5} \mathcal{L} + \int_{\partial M_4} \mathcal{L}_{\text{GHY}} + \int_{\partial M_4} \mathcal{L}_{\text{ct}}$$

divergences only in
 2∂ sector and Gauss-Bonnet term

- 2∂ sector → standard holographic counterterms
- Gauss-Bonnet term → Myers '87
Liu, Sabra '08; Cremonini, Liu, Szepietowski '09
- These are all the relevant terms DC, Ruipérez, Turetta '23
- Variational principle is also under control

3 On-shell action

corrected EoM : hard in general.

Luckily not necessary when working at linear order in corrections:

enough to evaluate $I_{\text{grav}} = \int_{M_5} \mathcal{L} + \int_{\partial M_4} \mathcal{L}_{\text{GHY}} + \int_{\partial M_4} \mathcal{L}_{\text{ct}}$

on *uncorrected* solution

Reall, Santos

using “*susy first, extremal later*” prescription CCMM

$$I_{\text{grav}} = \frac{16}{27}(3c - 2a) \frac{\varphi^3}{\omega_1 \omega_2} - \frac{4}{3}(a - c) \varphi \frac{2\pi i(\omega_1 + \omega_2) - \omega_1 \omega_2}{\omega_1 \omega_2} + \mathcal{O}((a - c)^2) = I_{\text{CFT}} \rightarrow \text{matches CFT !}$$

also: Bobev, Dimitrov, Reys, Vekemans

4 Direct match of the entropy

- corrected EoM hard in general, except when there is enough symmetry.

We study *near-horizon* geometry with $J_1 = J_2 \equiv J$

Ansatz for metric and gauge field:

$$ds^2 = v_1 \left(-r^2 dt^2 + \frac{dr^2}{r^2} \right) + \frac{v_2}{4} \left[\sigma_1^2 + \sigma_2^2 + v_3 (\sigma_3 + w r dt)^2 \right]$$

$$A = e r dt + p (\sigma_3 + w r dt)$$

EoM are then algebraic \rightarrow we find corrected *near-horizon* solution

Keep boundary conditions unchanged.

4 Direct match of the entropy

We checked that BH thermodynamics works in higher-der theory with CS terms

- entropy given by Wald's formula

$$S_{\text{Wald}} = -2\pi \int_{\mathcal{H}} d^3x \sqrt{\gamma} \mathcal{P}^{\mu\nu\rho\sigma} n_{\mu\nu} n_{\rho\sigma}, \quad \mathcal{P}^{\mu\nu\rho\sigma} = \frac{\partial \mathcal{L}}{\partial R_{\mu\nu\rho\sigma}}$$

↑
binormal

$$S_{\text{Wald}} = \frac{\pi^2}{g^3 G} \frac{\tilde{a} \sqrt{\tilde{a}(\tilde{a} + 2)}}{(1 - \tilde{a})^2} \left[1 + 4\lambda_2 + 48\lambda_1 \frac{2\tilde{a}^2 + 5\tilde{a} + 2}{11\tilde{a}^2 + 8\tilde{a} - 1} \right]$$

To obtain microcanonical form we need the corrected charges

Strategy: use formulae for charges that obey Gauss law

$$Q = \int_{\infty} *F = \int_{\infty} (*F + A \wedge F) = \int_{\mathcal{H}} (*F + A \wedge F)$$

↑
↑

$F(\infty) = 0$
 $d(*F + A \wedge F) = 0$

4 Direct match of the entropy

$$Q = \frac{1 + 4\lambda_2}{16\pi G} \int_{\mathcal{H}} \left(*\mathcal{F} + \frac{1 - 12\lambda_1}{\sqrt{3}} A \wedge F + \frac{2\lambda_1}{\sqrt{3}g^2} \Omega_{\text{CS}} \right) \quad \mathcal{F}^{\mu\nu} = -2 \frac{\partial(\mathcal{L} - \mathcal{L}_{\text{CS}})}{\partial F_{\mu\nu}}$$

Lorentz-Chern-Simons

$$J = \frac{1 + 4\lambda_2}{32\pi G} \int_{\mathcal{H}} \sqrt{\gamma} n_{\mu\nu} \left(-4\nabla_{\sigma} \mathcal{P}^{\mu\nu\sigma\rho} \eta_{\rho} + 2\mathcal{P}^{\mu\nu\sigma\rho} \nabla_{\sigma} \eta_{\rho} + \iota_{\eta} A \left(\mathcal{F}^{\mu\nu} + \frac{1 - 12\lambda_1}{3\sqrt{3}} \epsilon^{\mu\nu\rho\sigma\lambda} A_{\rho} F_{\sigma\lambda} \right) \right)$$

rotational Killing vector

Evaluate these on the corrected near-horizon solution

$$S_{\text{Wald}} = \pi \sqrt{3Q^2 - 16aJ}$$



Outlook

- SCFT₄ prediction beyond Bekenstein-Hawking works
 - a step towards understanding **full Quantum Entropy**
- Multi-charge black holes: action I still controlled by anomalies
 - only multi-charge $d=5$ BPS black hole known with no hypers is for IIB on S^5
 - very simple anomalies
- Go beyond linearization in $a - c$
 - conjecture**: only susy Chern-Simons matter,
 - globally well-defined invariants don't contribute
 - Reminiscent of 3d rigid susy [Closset, Dumitrescu, Festuccia, Komargodski](#)
 - ↓
 - localization of the full gravitational partition function (in Cardy limit)?

Outlook

? How to determine $I(\omega_i, \varphi_K)$ using a minimal set of data?

- ◆ gravitational blocks [Hosseini, Hristov, Zaffaroni](#)
- ◆ integration of anomaly polynomial [Ohmori, Tizzano](#)
- ◆ localization of the gravitational action [Benetti Genolini, Perez Ipiña, Sparks](#)
- ◆ [Alberto's talk](#)

thank you !