

# Interpreting SMEFT Results in Extended Scalar Sectors

Based on

`arXiv:2007.01296, 2102.02823, 2205.01561`

**Samuel Homiller**

Harvard University

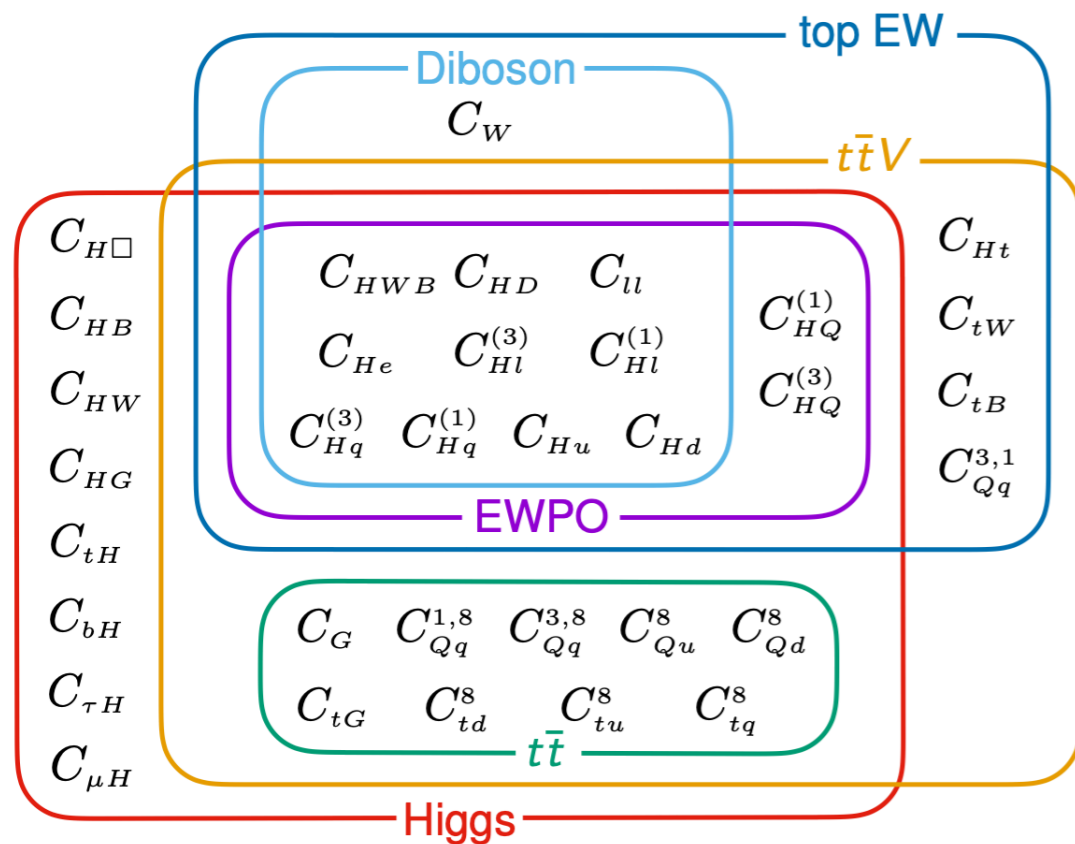
In collaboration with

Sally Dawson, Duarte Fontes, Pier Paolo Giardino, Samuel Lane, and Matthew Sullivan

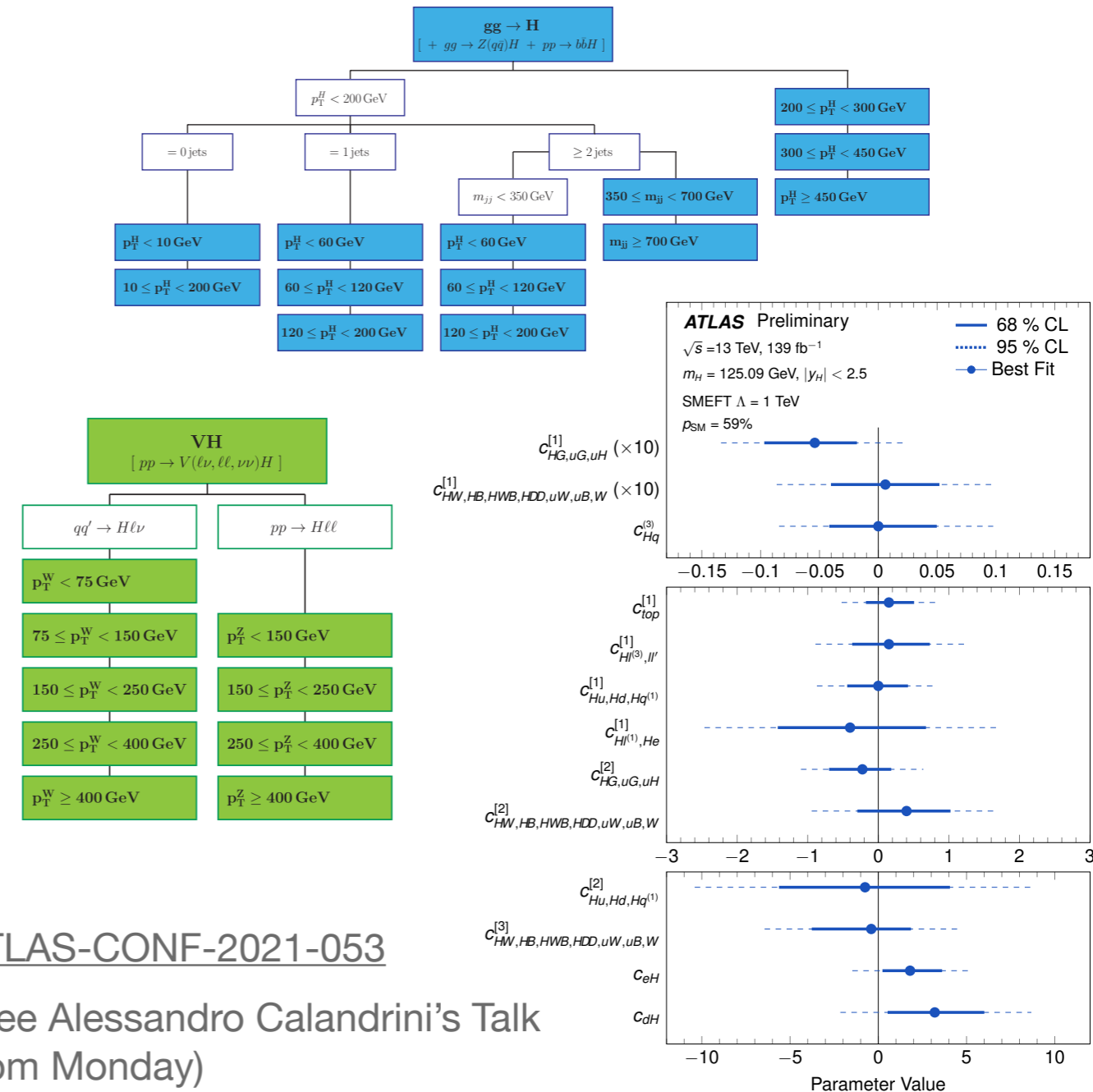
**LHC Higgs Working Group, November 30, 2022**

# Higgs Physics in the Age of the SMEFT

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \sum_{d=6,8,\dots} \sum_i \frac{C_i^{(d)}}{\Lambda^{d-2}} \mathcal{O}_i^{(d)}$$



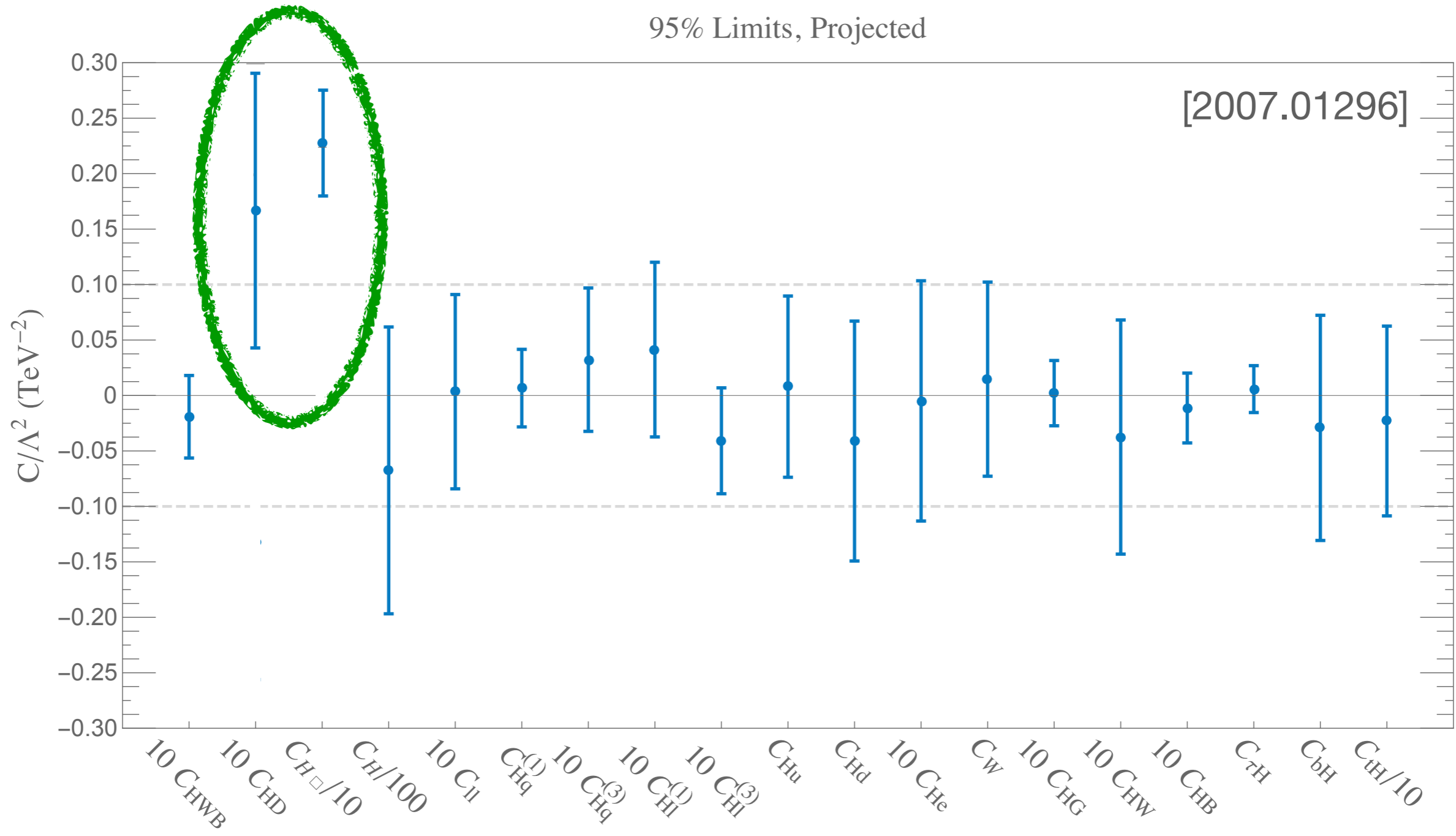
Ellis, Madigan, Mimasu, Sanz, You [2012.02779]



ATLAS-CONF-2021-053

(See Alessandro Calandrini's Talk from Monday)

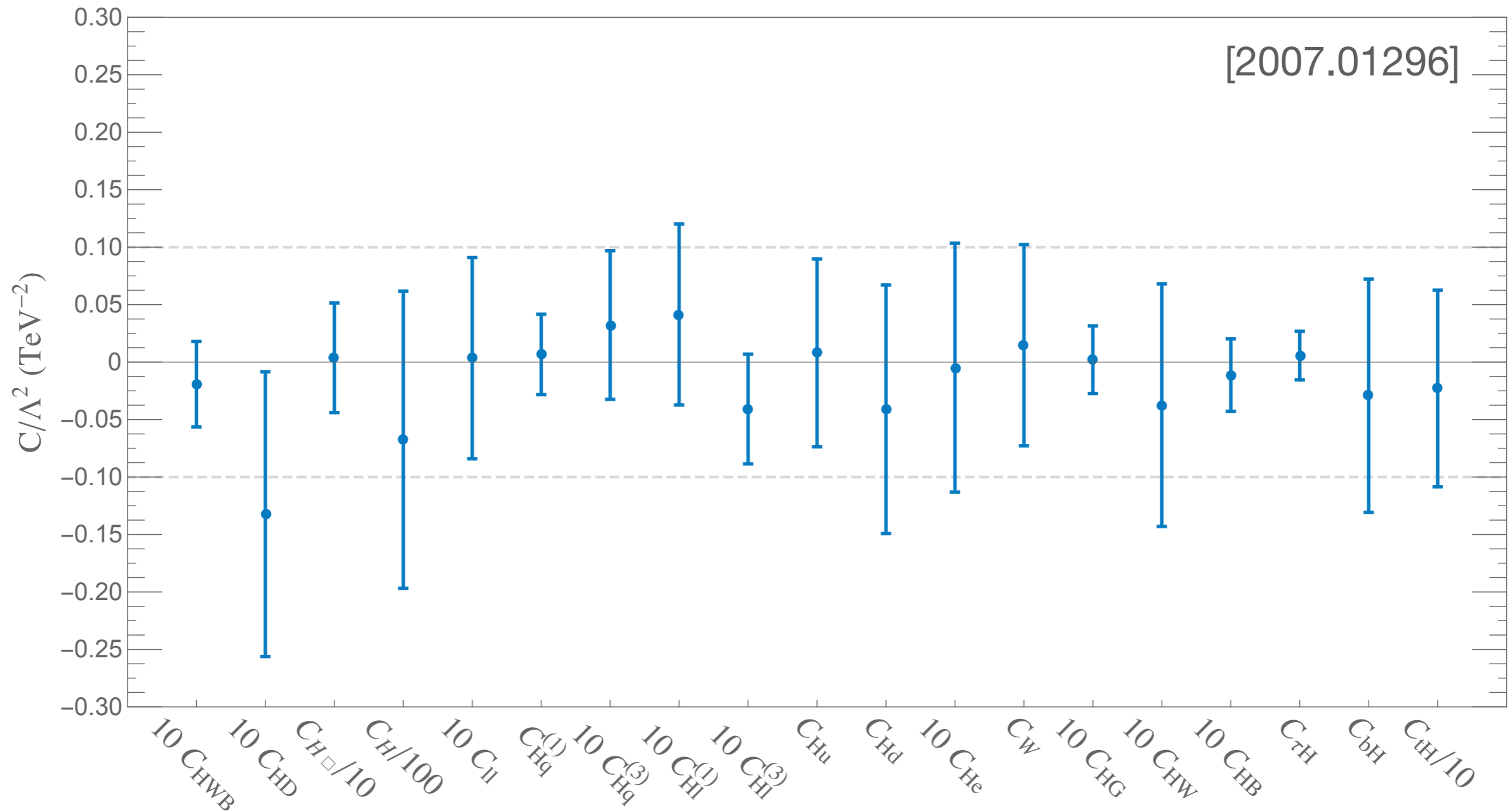
# The Dream:



⇒ Start model building! Focussed searches, make sure we understand the SM, ...

# Alas...

95% Limits, Projected



But we are still learning a lot about the Standard Model!

# What are we learning about *New Physics*?

SMEFT allows for a robust, precision program at the LHC, but ultimately these operators arise from *some* underlying UV model.

Lots of interesting / challenging methodological questions:

- At what order do we truncate the amplitude / Lagrangian?
- What assumptions about flavor should we make to get a manageable set of operators?
- How should we account for EFT validity issues?

Also “higher-order” effects to consider:

- RG Evolution of Wilson Coefficients
- One-Loop Matching Effects
- Importance of Dimension-8 Operators
- Higher Order QCD / EW Corrections in the EFT

These questions are best studied in examples!

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**Focus on the  
impacts of  
these today**



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# Example 1: The Singlet Model

arXiv:2102.02823, Dawson, Giardino, SH

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Simplest extension to the SM — only one additional state

Ideal test case for investigating details of matching procedure

- theoretical constraints well understood
- one-loop matching results are known

(Jiang et al., 1811.08878, Haisch et al., 2003.05936)

$$C_i(\mu_R) = c_i(M) + \frac{1}{16\pi^2} d_i(M) + \frac{1}{32\pi^2} \gamma_{ij} c_j(M) \log \left( \frac{\mu_R^2}{M^2} \right)$$

Goal: understand numerical importance of RGEs + 1-loop matching effects in the context of the singlet model



# The Singlet Model

$$V(\Phi, S) = -\mu_H^2 \Phi^\dagger \Phi + \lambda_H (\Phi^\dagger \Phi)^2 + \frac{1}{2} m_\xi \Phi^\dagger \Phi S + \frac{1}{2} \kappa \Phi^\dagger \Phi S^2 \\ + t_S S + \frac{1}{2} M^2 S^2 + \frac{1}{3} m_\zeta S^3 + \frac{1}{4} \lambda_S S^4$$

In  $Z_2$  non-symmetric case, use shift symmetry to set  $v_S \rightarrow 0$

Physical states:

Masses  $m_h = 125 \text{ GeV}$ ,  $M_H$

$$h = \cos \theta \Phi_0 + \sin \theta S$$

Other physical parameters:

$$H = -\sin \theta \Phi_0 + \cos \theta S$$

$$\sin \theta, \kappa, m_\zeta, \lambda_S$$

Higgs couplings universally suppressed by  $\cos \theta$

# Singlet Matching to SMEFT

Two coefficients are generated at tree-level:

$$c_{H\Box} = -\frac{m_\xi^2}{8M^2}$$

$$c_H = \frac{m_\xi^2}{8M^2} \left( \frac{m_\xi m_\zeta}{3M^2} - \kappa \right)$$

Perform matching at the scale  $M$ , related to the physical mass via

$$M^2 = m_h^2 \sin^2 \theta + M_H^2 \cos^2 \theta - \frac{\kappa}{2} v^2$$

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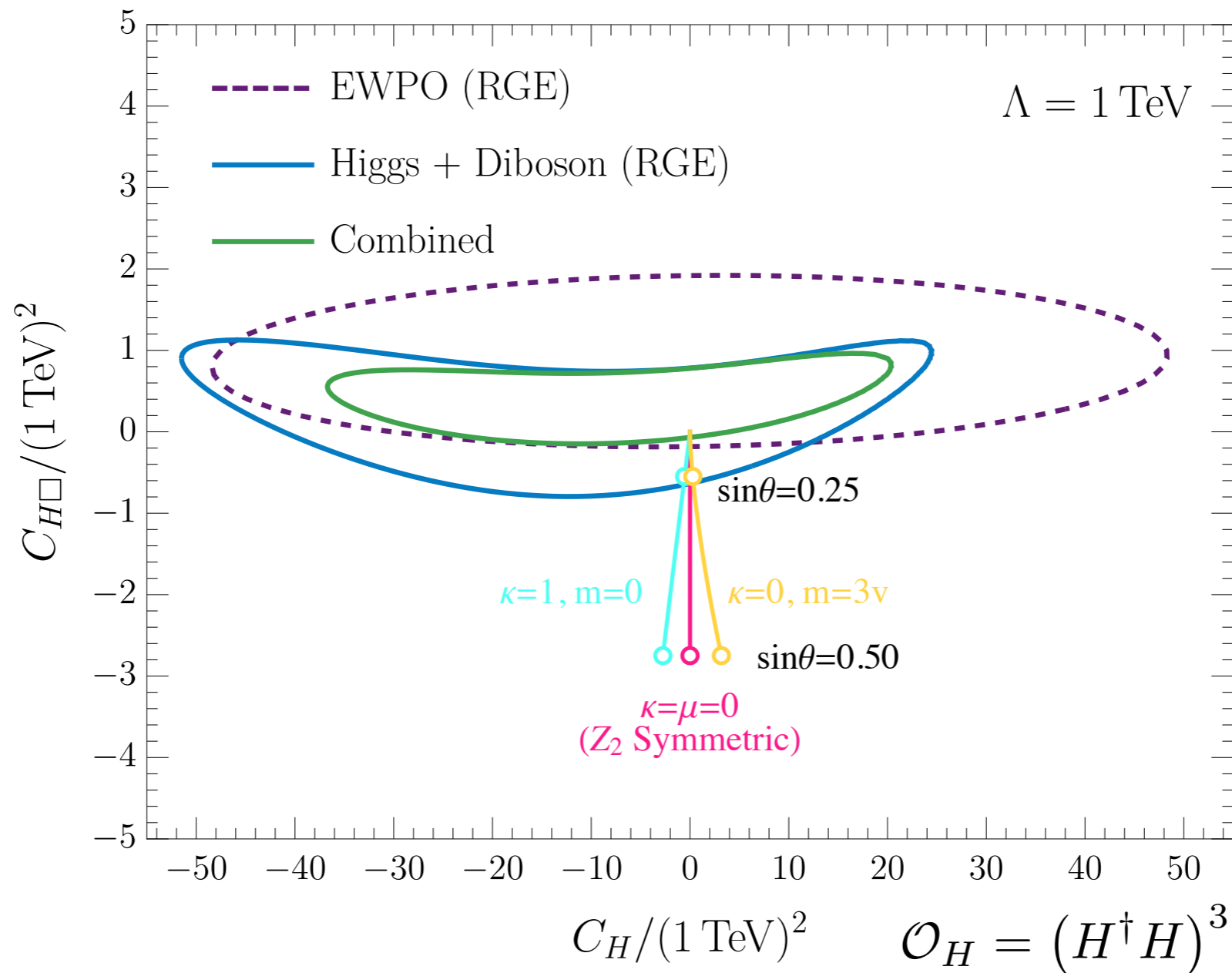
$$M^2 = m_h^2 \sin^2 \theta + M_H^2 \cos^2 \theta - \frac{\kappa}{2} v^2$$

These operators introduce

$$C_{HD}, C_{tH}, C_{bH}, C_{\tau H}, C_{Hl}^{(3)}, C_{Hq}^{(3)}, C_{Htb}$$

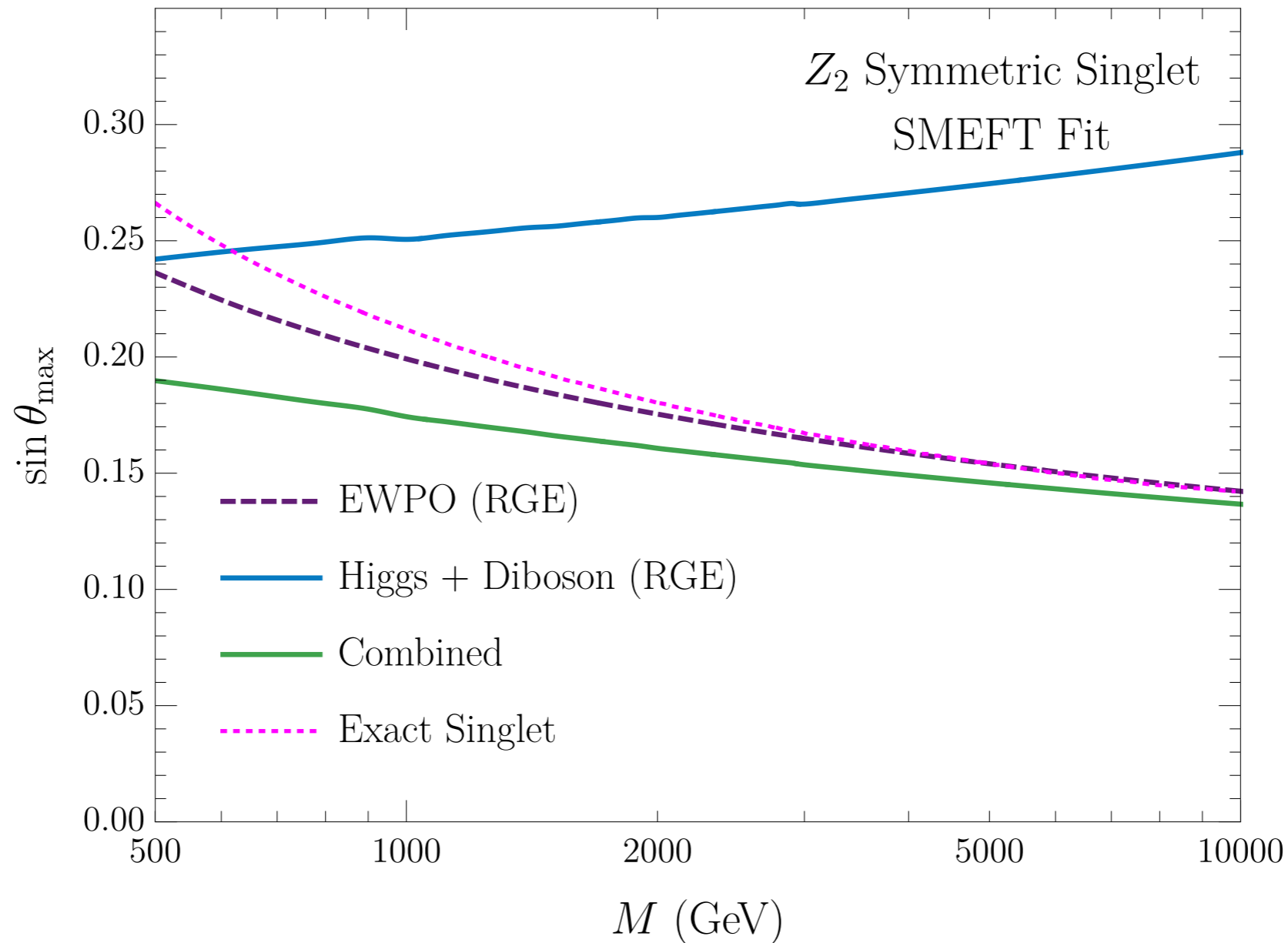
at the weak scale from RG running

# Tree Level (+RGE) Results



Limits on the singlet from EWPO and LHC competitive — but most allowed coefficients cannot be generated in the model

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Limits on the singlet from EWPO and LHC competitive — but most allowed coefficients cannot be generated in the model

# One-Loop Matching

Jiang, Craig, Li, Sutherland [1811.08878],

Haisch, Ruhdorfer, Salvioni, Venturini, Weiler [2003.05936]

New contributions to  $C_H, C_{H\Box}$  at the matching scale...

$$d_{H\Box} = -\frac{9}{2}\lambda c_{H\Box} + \frac{31}{36}(3g^2 + g'^2)c_{H\Box} + \frac{3}{2}c_H + \delta d_H + \delta d_{H\Box}^{\text{shift}}$$

$$d_H = \lambda \left[ \frac{1}{9}(62g^2 - 336\lambda)c_{H\Box} + 6c_H \right] + \delta d_H + \delta d_H^{\text{shift}}$$

...as well as many operators that don't appear at tree-level

$$C_{HD}, C_{HW}, C_{HB}, C_{HWB}, C_{Hu}, C_{Hd},$$

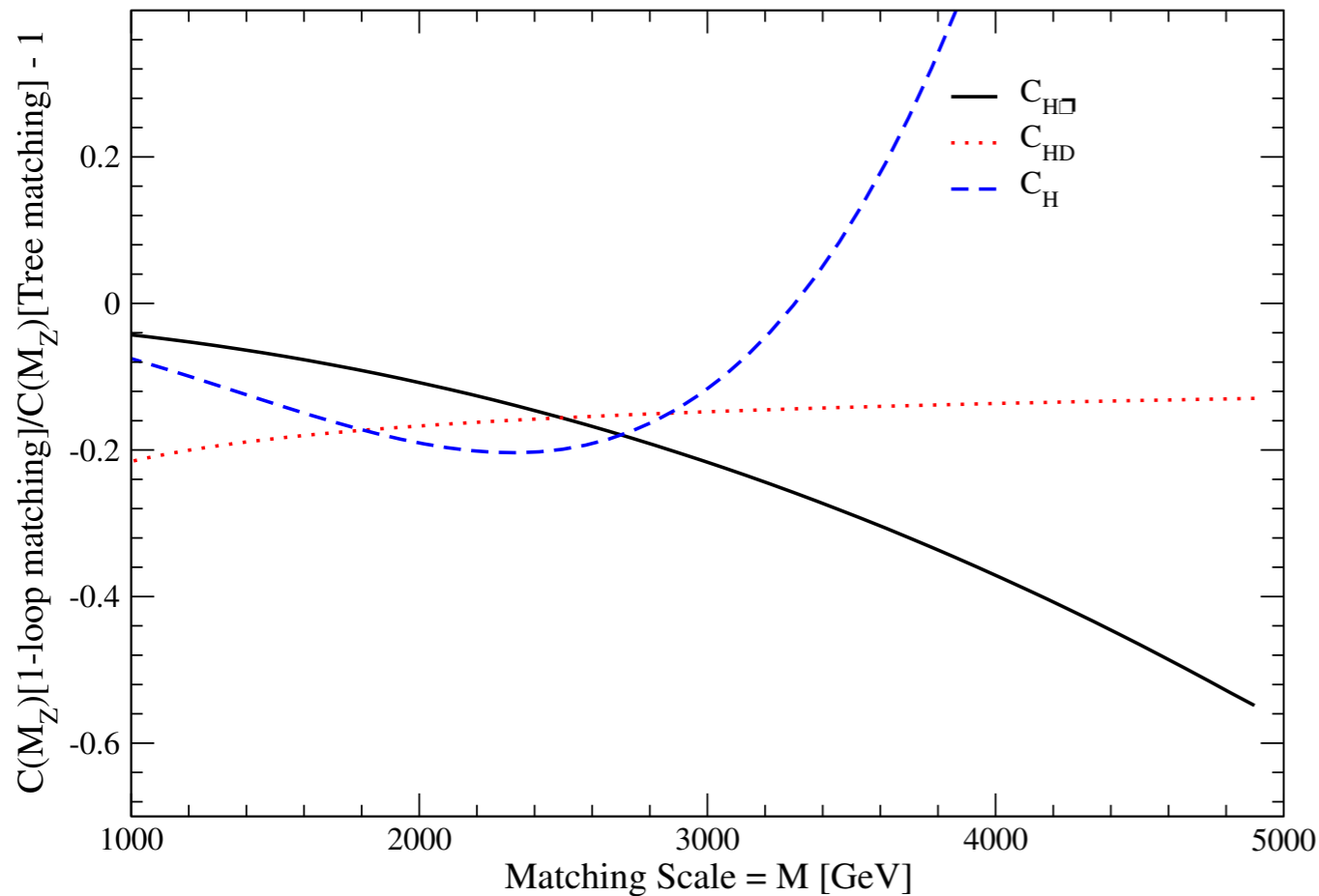
$$C_{Hq}^{(1)}, C_{Hq}^{(3)}, C_{Hl}^{(3)}, C_{tH}$$

**In principle of comparable size to RGE-induced contribution!**

# One-Loop Matching

## SMEFT Limit of Singlet Model

$\cos \theta = .98, \kappa = .5, m_\zeta = M/4, \lambda_S = .03$



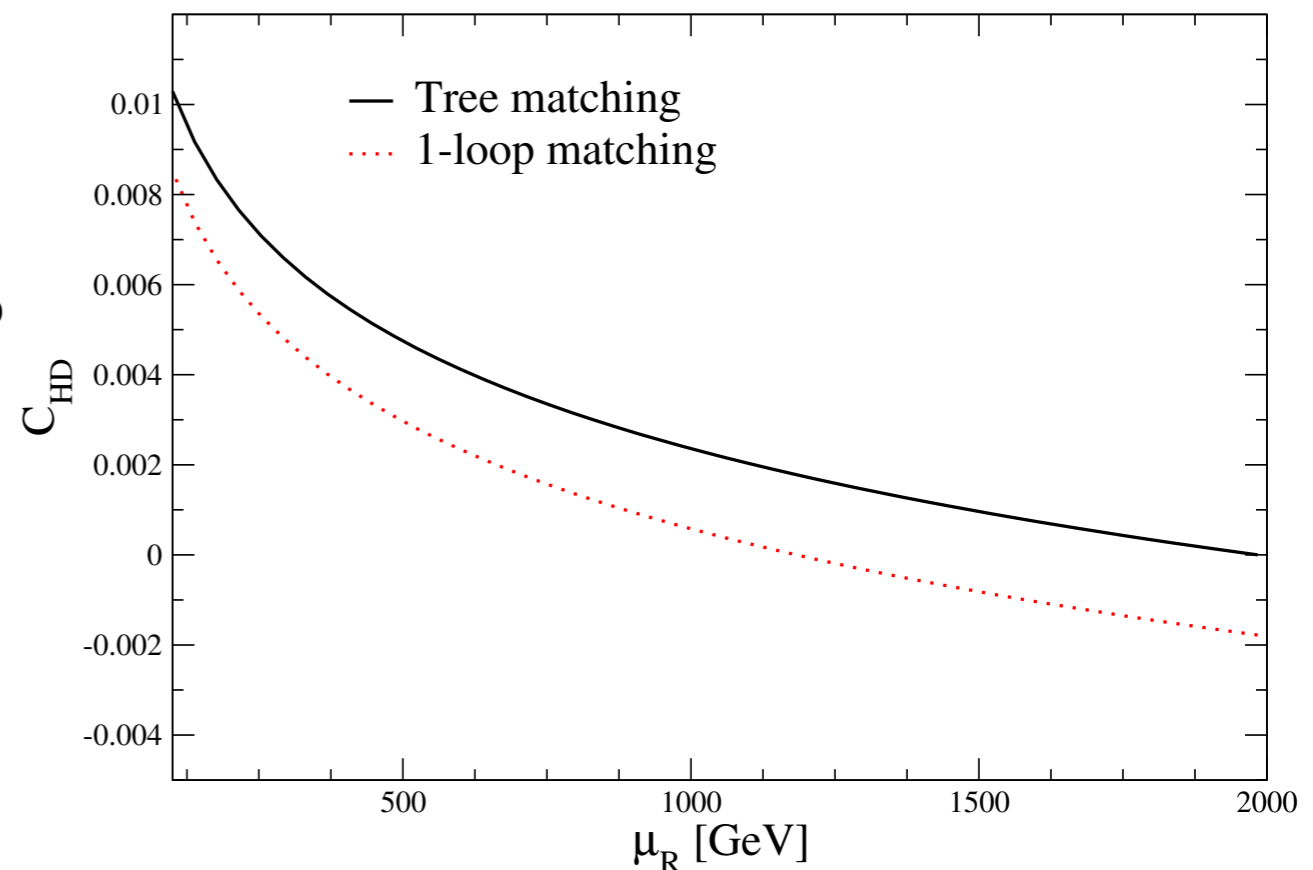
One-loop matching changes operators by  $\sim 10\text{-}20\%$  as measured at the weak scale

## Include only one-loop RGEs

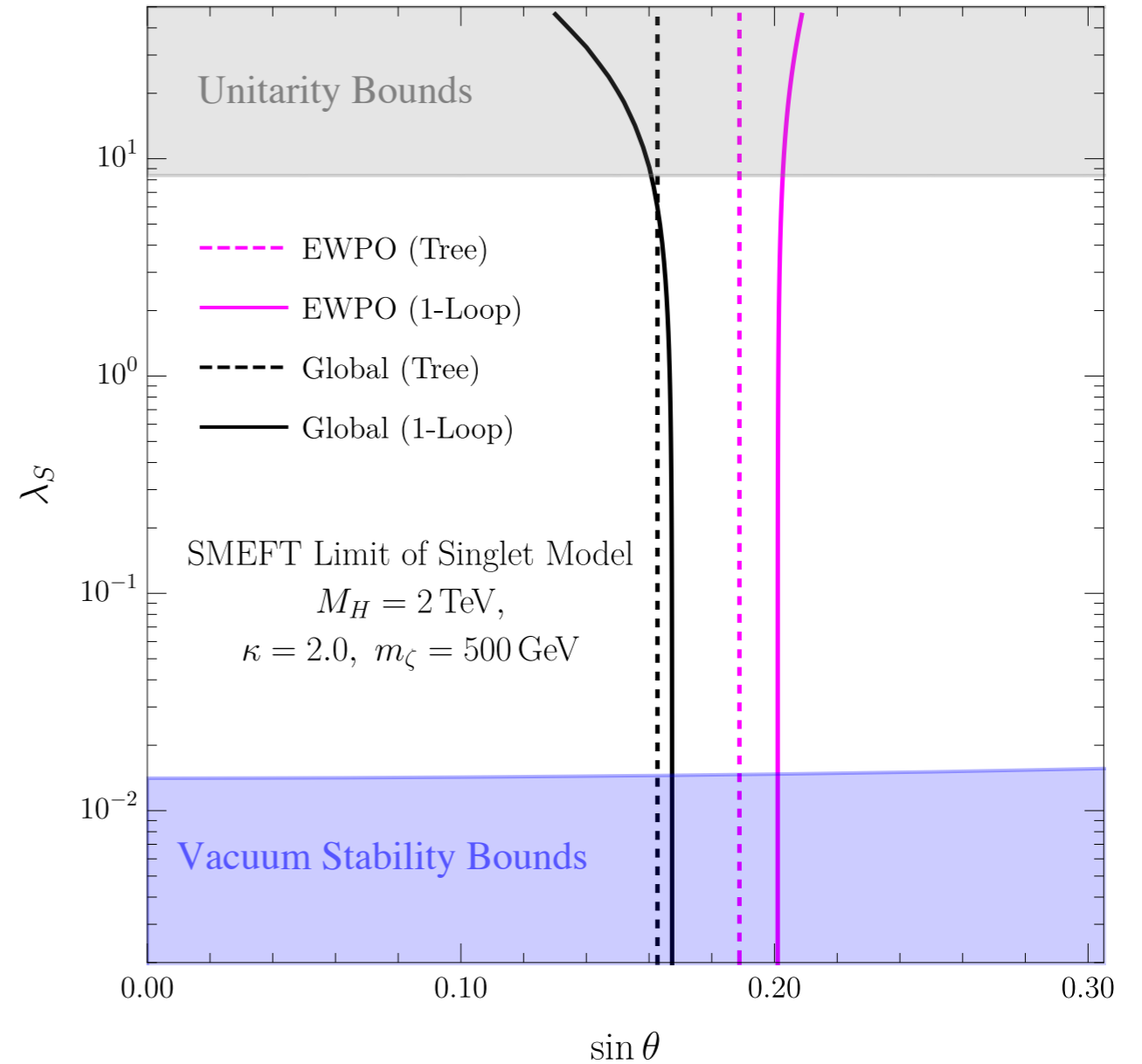
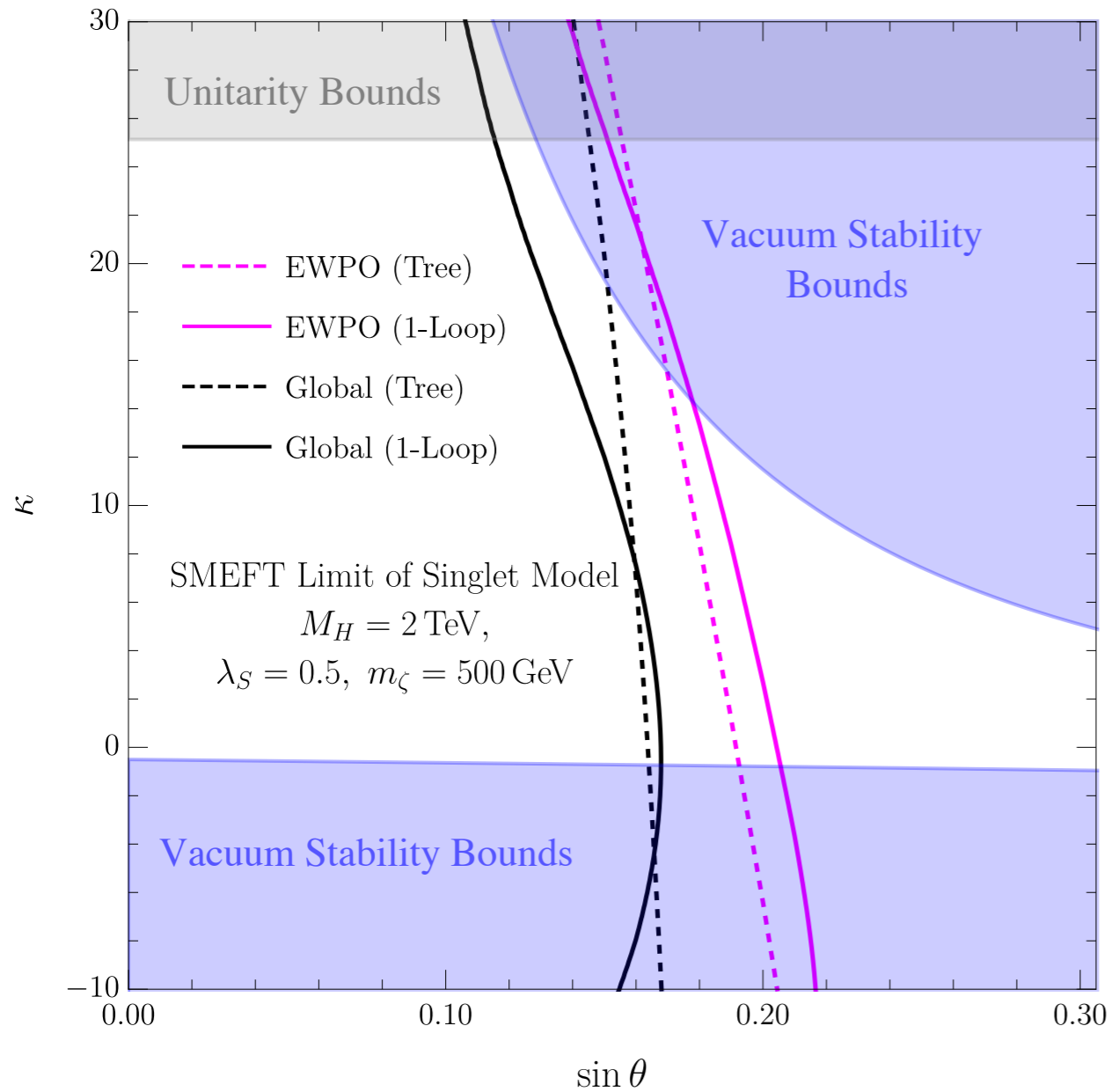
(two loops unavailable, but necessary to run one-loop induced operators)

## SMEFT Limit of Singlet Model

$M_H = 2 \text{ TeV}, \cos \theta = .99, \kappa = -.5, m_\zeta = 500 \text{ GeV}, \lambda_S = .03$



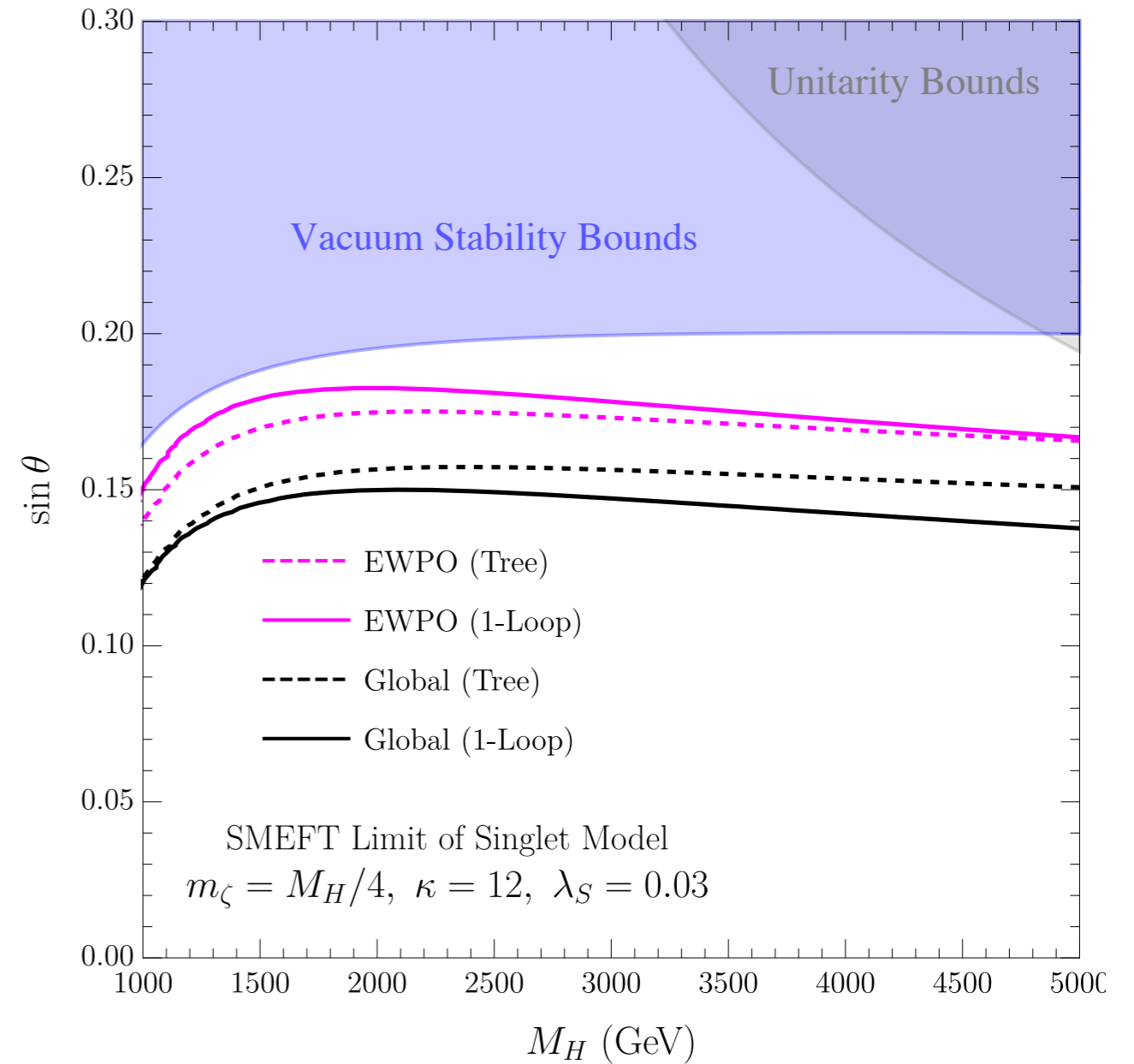
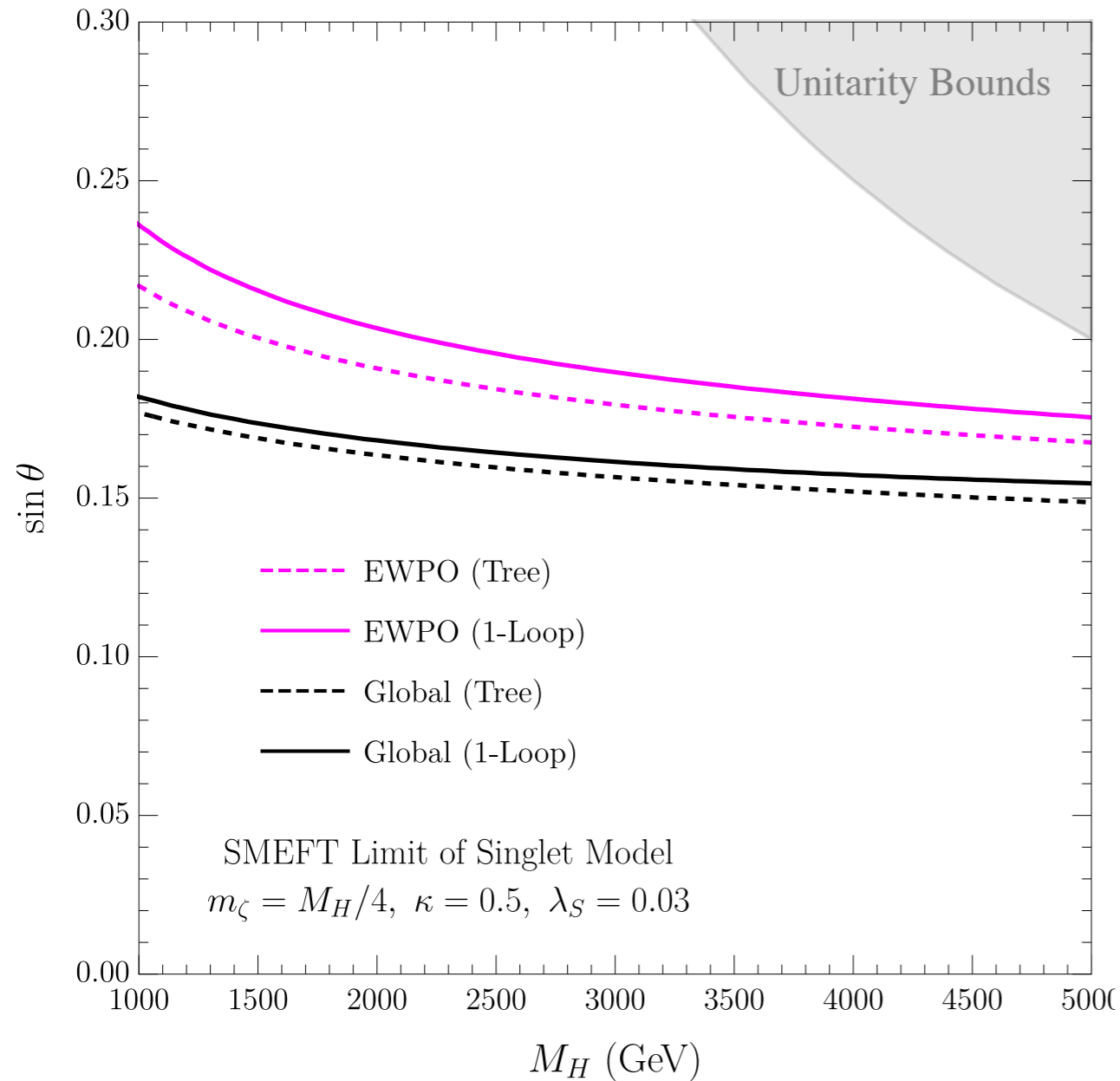
# Effects on the Fit



Effects mostly  $O(10\%)$ , except for large values of portal coupling



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# Example 2: The 2HDM

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Four “standard” types of 2HDMs (I, II, L and F) distinguished by  $Z_2$  symmetry acting on  $\Phi_2$  and the fermions.

Higgs coupling deviations can be written in terms of  $\tan \beta$ ,  $\cos(\beta - \alpha)$ .

E.g., for Type-II:

$$\kappa_u = \sin(\beta - \alpha) + \frac{\cos(\beta - \alpha)}{\tan \beta}$$



all approach 1 as  
 $\cos(\beta - \alpha) \rightarrow 0$

$$\kappa_d = \sin(\beta - \alpha) - \tan \beta \cos(\beta - \alpha)$$

$$\kappa_\ell = \sin(\beta - \alpha) - \tan \beta \cos(\beta - \alpha)$$

$$\kappa_V = \sin(\beta - \alpha)$$

Alignment parameter tells us how “SM-like” the 125-GeV Higgs is

# Matching to Dimension-6

Ignoring light flavor, there are four operators generated:

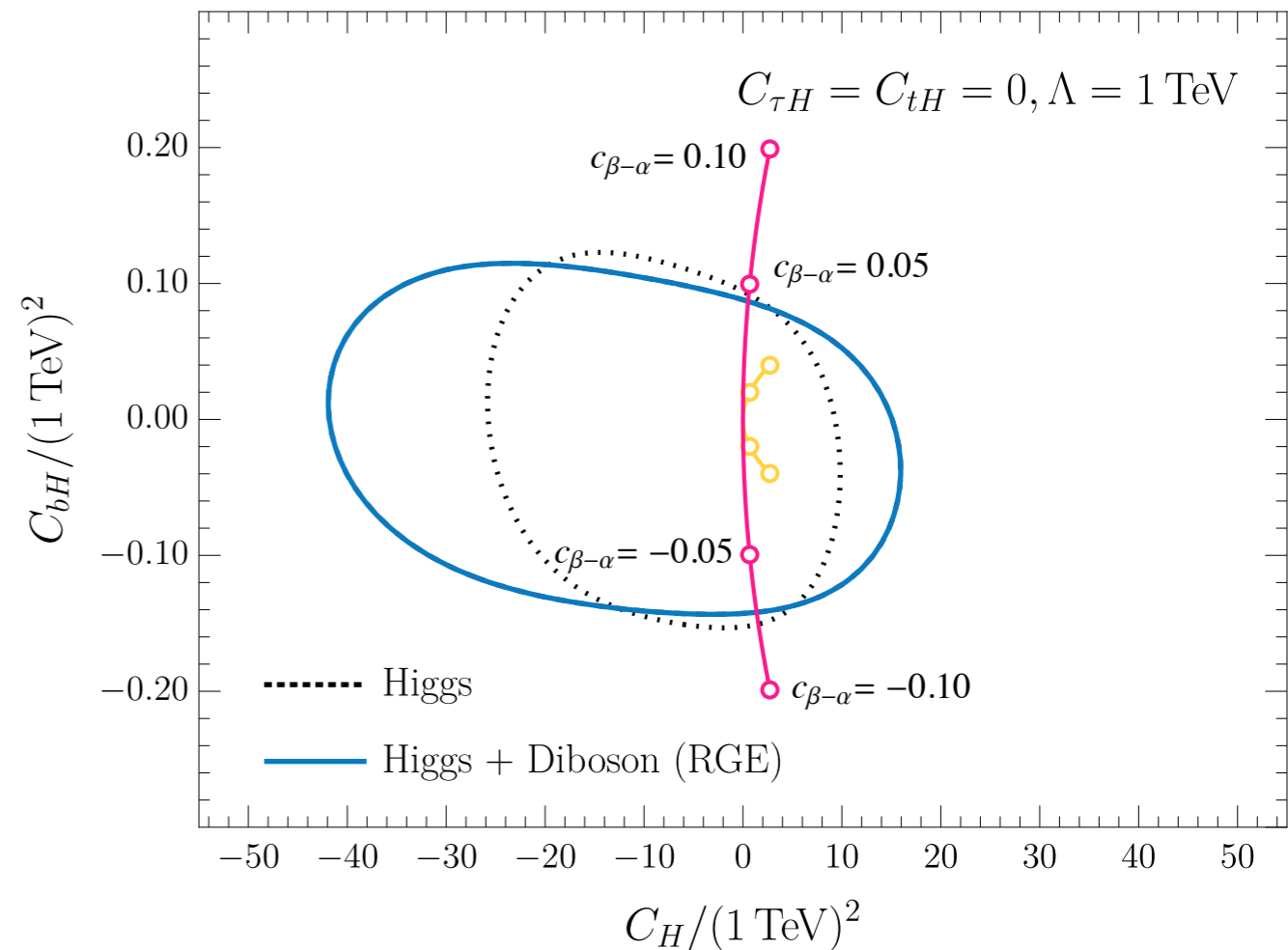
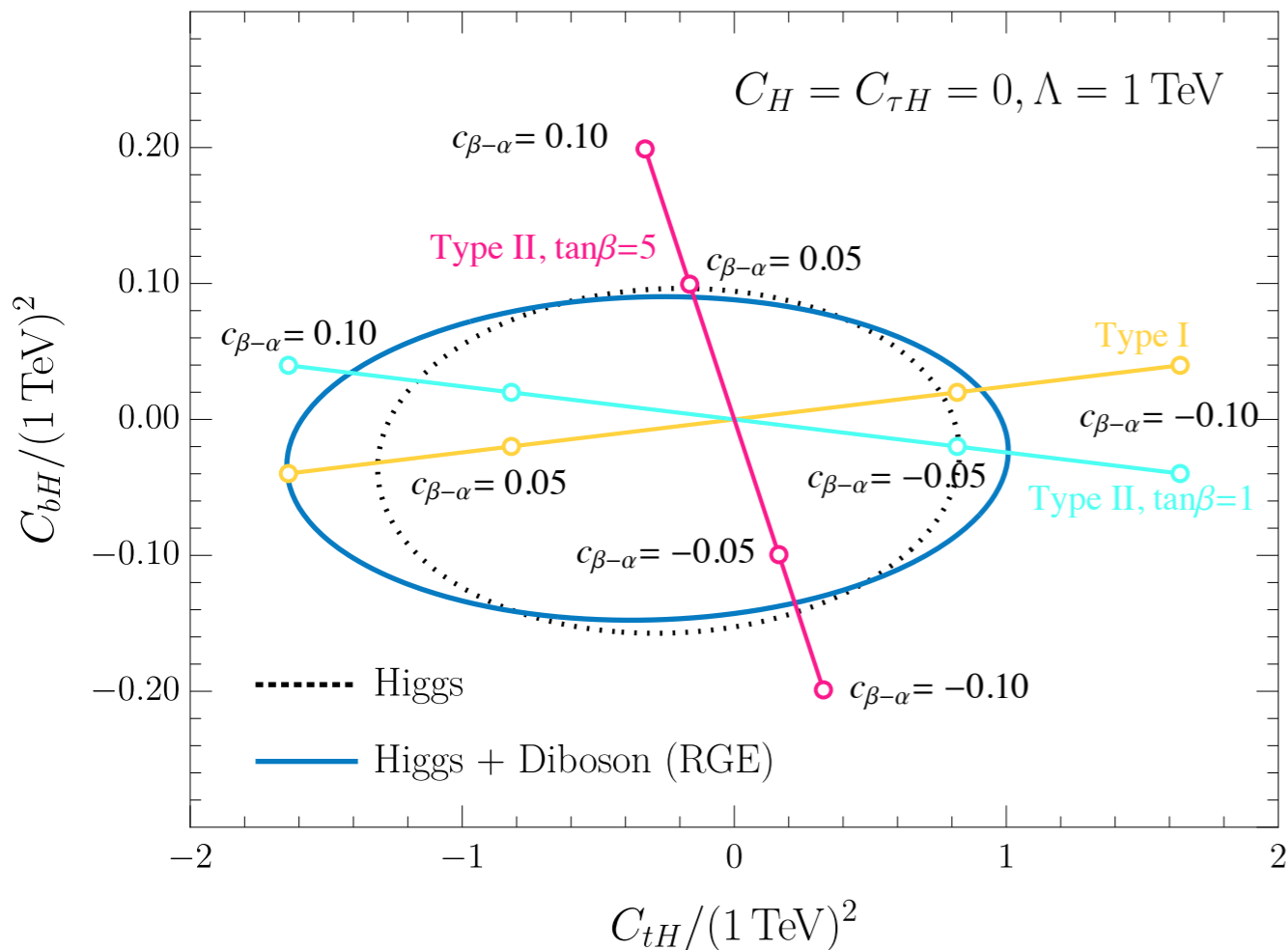
$$\begin{aligned} \mathcal{O}_H &= (H^\dagger H)^3, & \frac{v^2}{\Lambda^2} C_H &= \frac{\Lambda^2}{v^2} \cos^2(\beta - \alpha) \\ \mathcal{O}_{bH} &= (H^\dagger H)(\bar{Q}_3 b_R H), & \frac{v^2}{\Lambda^2} C_{bH} &= -y_b \eta_b \frac{\cos(\beta - \alpha)}{\tan \beta} \\ \mathcal{O}_{tH} &= (H^\dagger H)(\bar{Q}_3 t_R \tilde{H}), & \frac{v^2}{\Lambda^2} C_{tH} &= -y_t \eta_t \frac{\cos(\beta - \alpha)}{\tan \beta} \\ \mathcal{O}_{\tau H} &= (H^\dagger H)(\bar{L}_3 \tau_R \tilde{H}), & \frac{v^2}{\Lambda^2} C_{\tau H} &= -y_\tau \eta_\tau \frac{\cos(\beta - \alpha)}{\tan \beta} \end{aligned}$$

	$\eta_t$	$\eta_b$	$\eta_\tau$
Type-I	1	1	1
Type-II	1	$-\tan^2 \beta$	$-\tan^2 \beta$
Lepton-specific	1	1	$-\tan^2 \beta$
Flipped	1	$-\tan^2 \beta$	1

Requiring all the additional states to lie at a common high scale enforces the “decoupling limit”:

$$\cos(\beta - \alpha) \sim \frac{v^2}{\Lambda^2} \ll 1$$

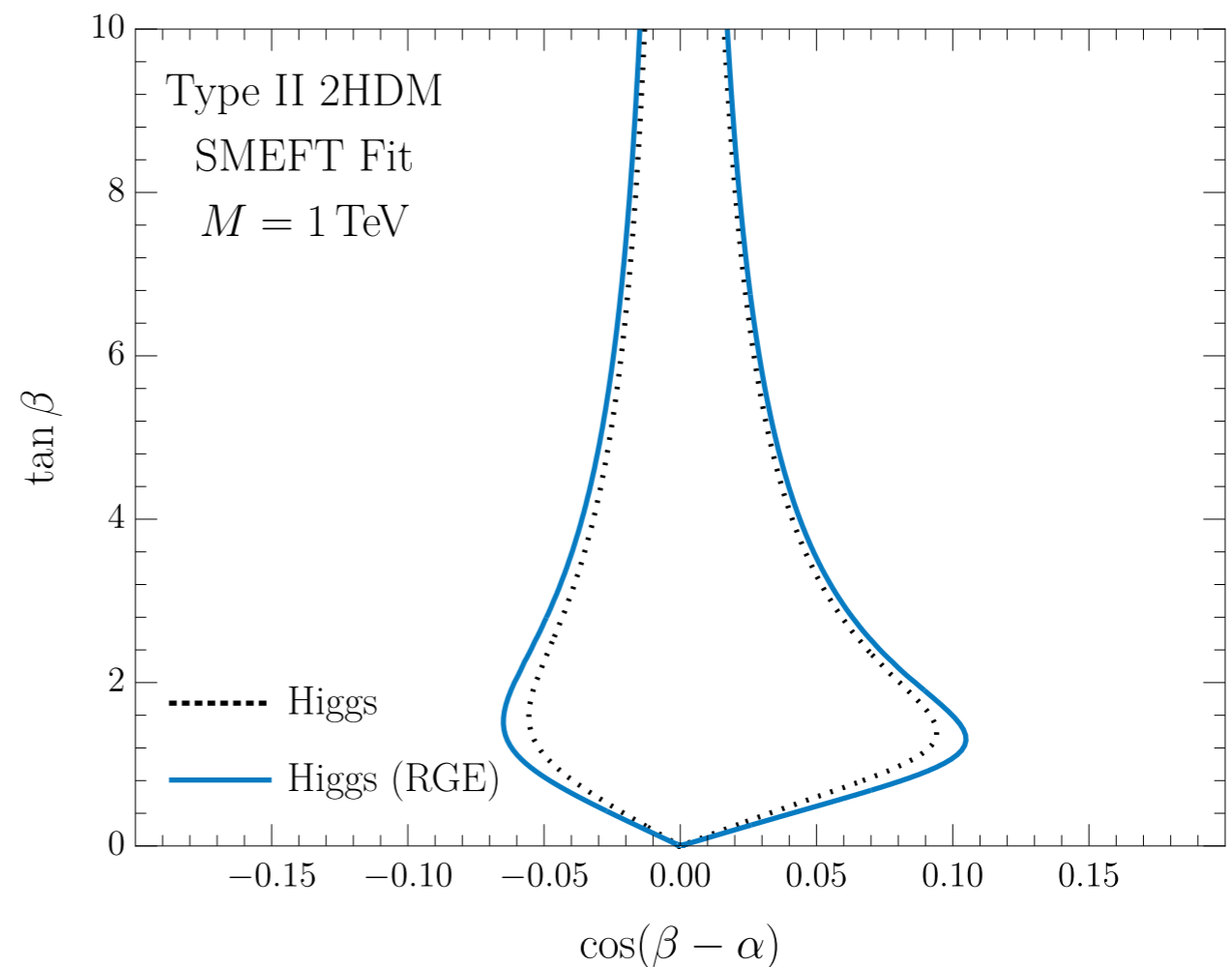
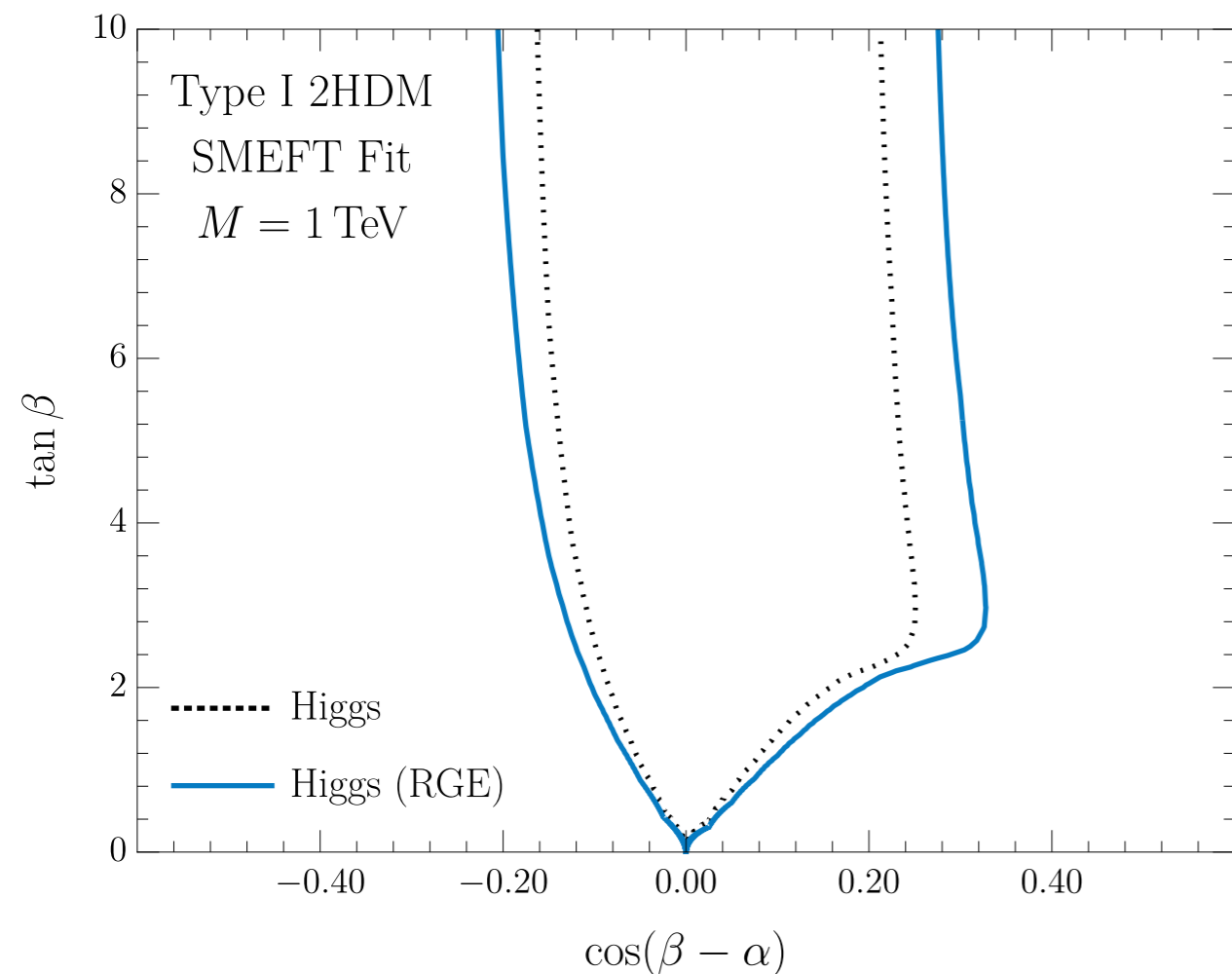
# Matching to Dimension-6



Different types of 2HDM sweep out different ranges of allowed coefficients

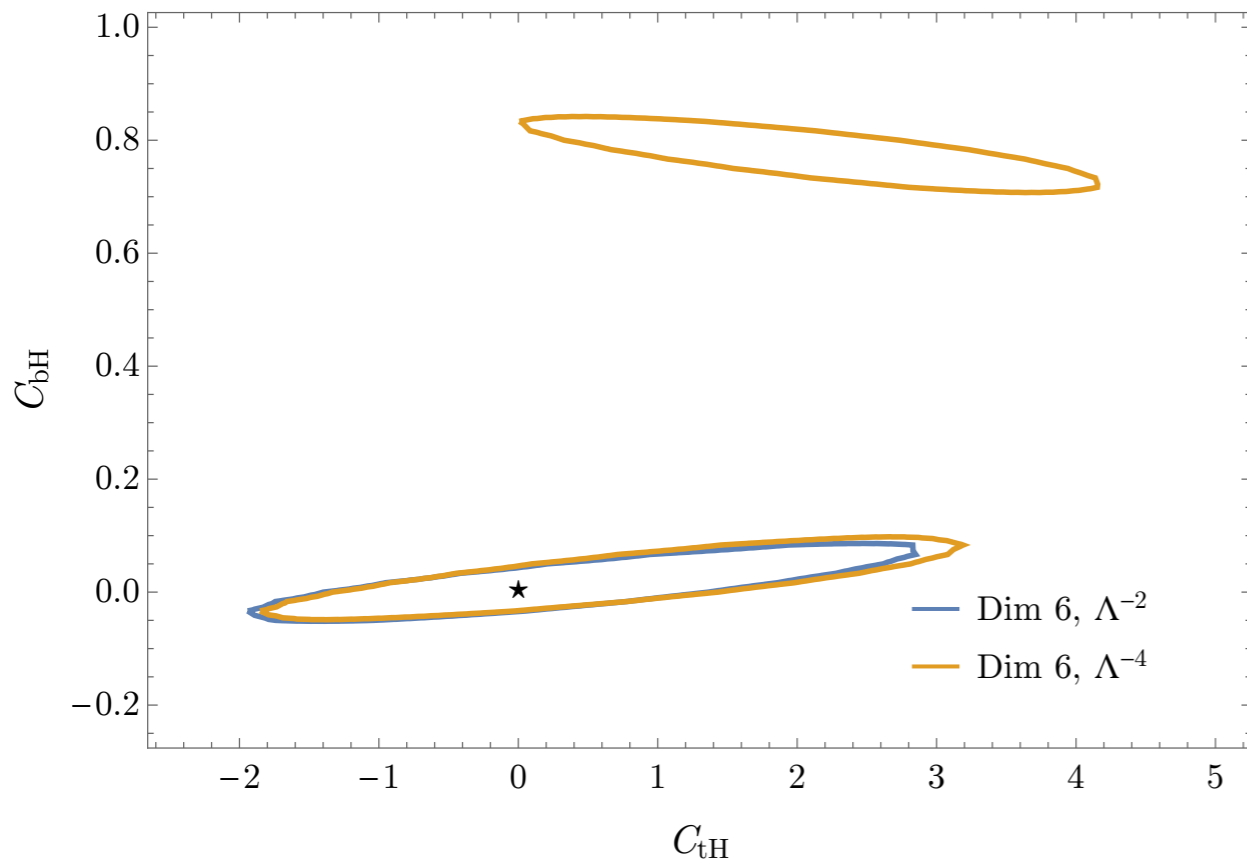
# Matching to Dimension-6

For a given type of 2HDM, easy to translate into the  $\tan \beta$ ,  $\cos(\beta - \alpha)$  plane



Effects of RGE are relatively small  
(logarithmic effects on Higgs couplings)

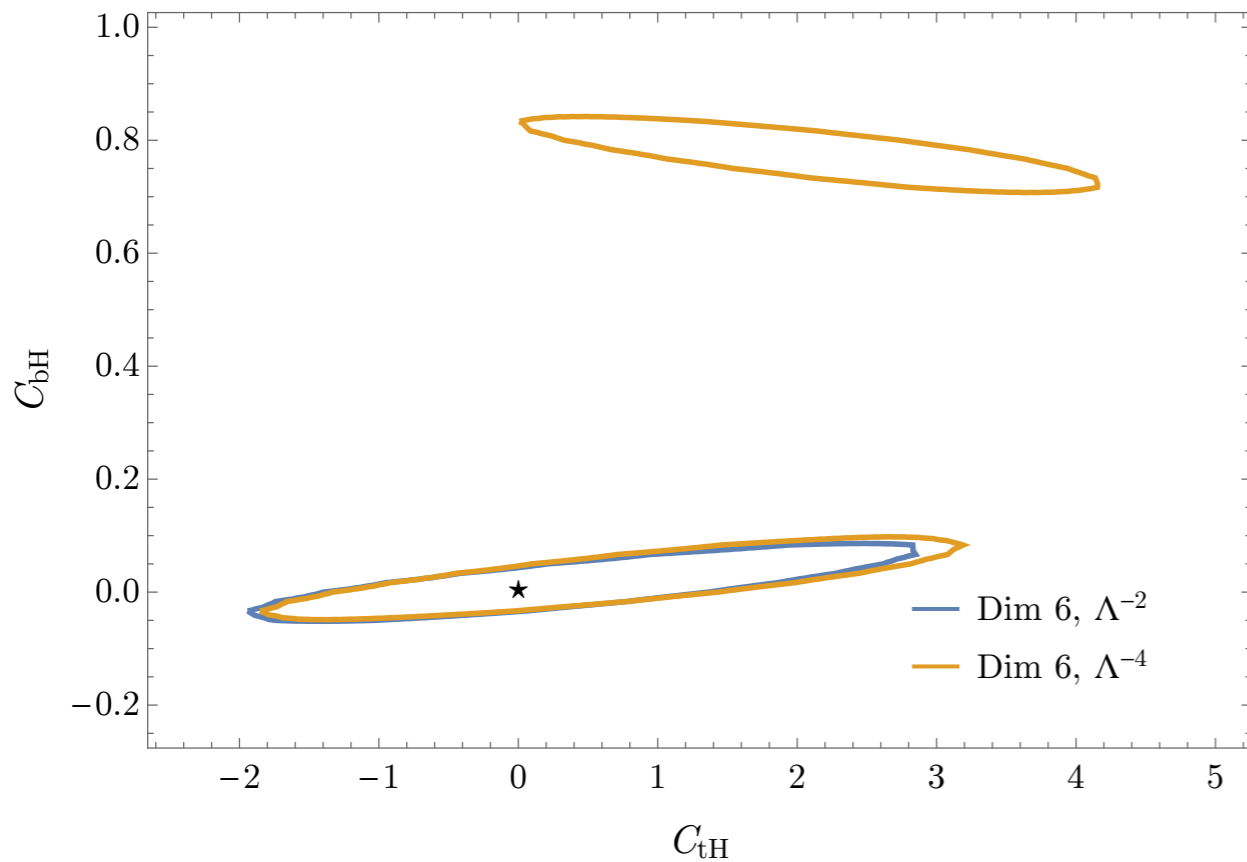
# Effects at Large $\tan\beta$



There is a second minimum where the bottom Yukawa has the opposite sign

The well-known “wrong-sign” region of the Type-II 2HDM

# Effects at Large $\tan\beta$

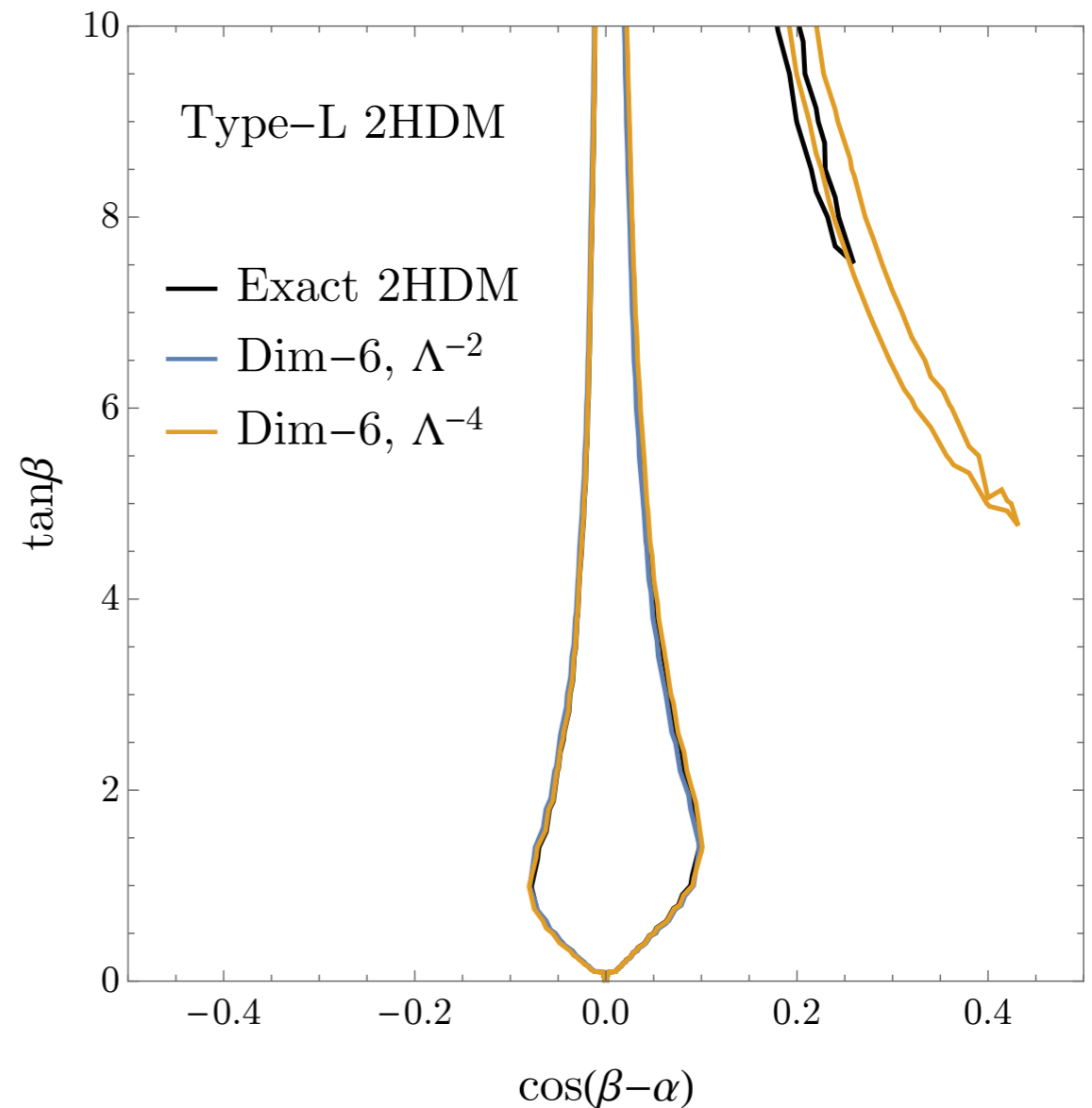


Actually ruled out for Type-II by latest Higgs data, but appears still in e.g., Type-L:

But only if we include  $\mathcal{O}(\Lambda^{-4})$  terms!

There is a second minimum where the bottom Yukawa has the opposite sign

The well-known “wrong-sign” region of the Type-II 2HDM





# Effects at Large $\tan \beta$

In the type-I 2HDM, all of the fermionic operators scale like:

$$\frac{v^2}{\Lambda^2} C_{fH} = -y_f \frac{\cos(\beta - \alpha)}{\tan \beta}$$

For large  $\tan \beta$ ,  
approaches the SM!



# Effects at Large $\tan \beta$

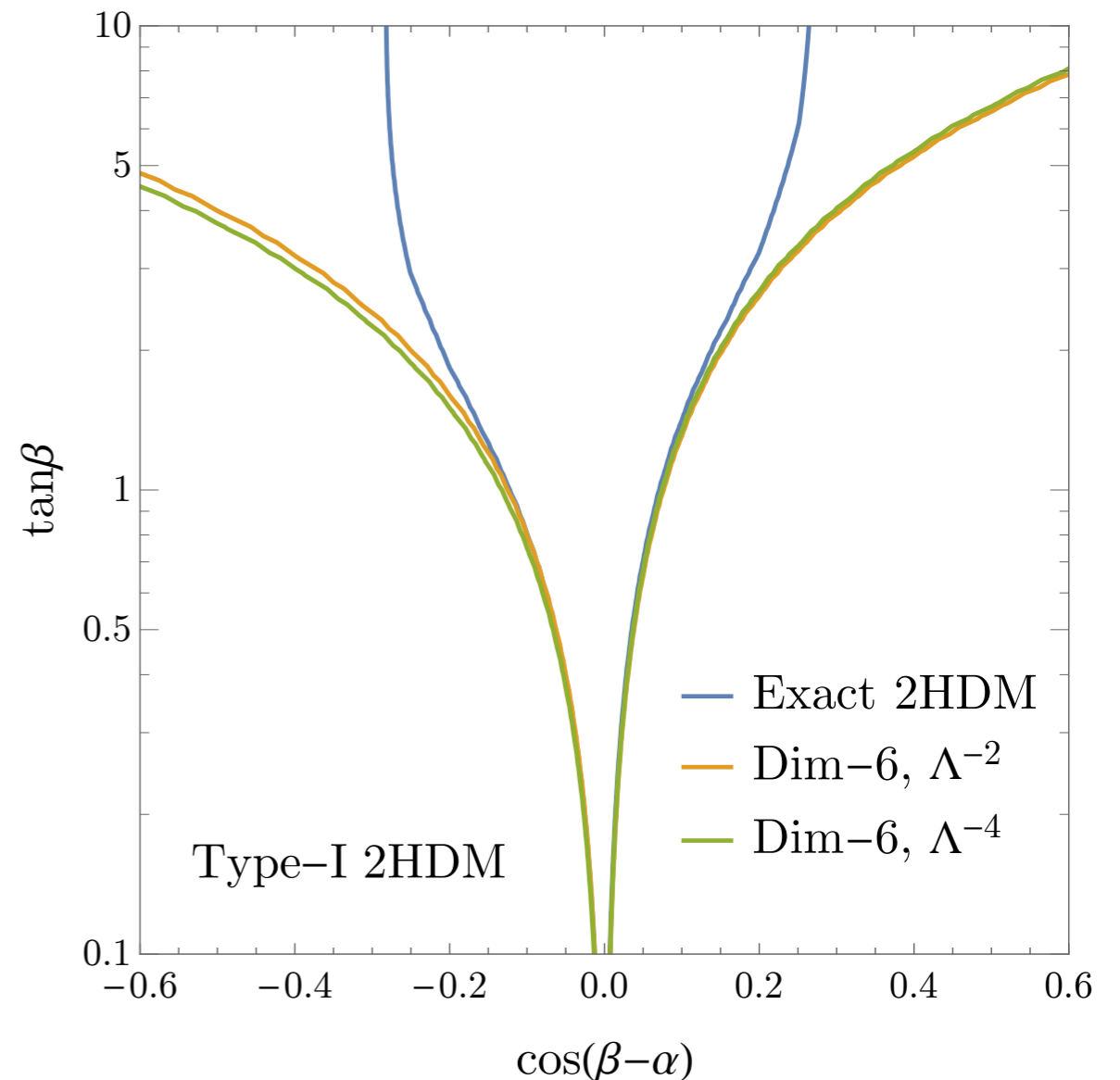
In the type-I 2HDM, all of the fermionic operators scale like:

$$\frac{v^2}{\Lambda^2} C_{fH} = -y_f \frac{\cos(\beta - \alpha)}{\tan \beta}$$

Ignoring the constraints on  $C_H$ , we see the dimension-6 description fails (see e.g., [1611.01112])

$\implies$  need to include gauge couplings! (Dimension-8)

For large  $\tan \beta$ , approaches the SM!



# $\lambda_{hhh}$ Constraints are Important!

At dimension-6, the leading constraints for large  $\tan \beta$  come from information about the Higgs self coupling encoded in  $C_H$

Use indirect bounds from single-Higgs measurements based on [arXiv:1607.04251]

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Extra factor of  $\Lambda$  increases importance for larger scales

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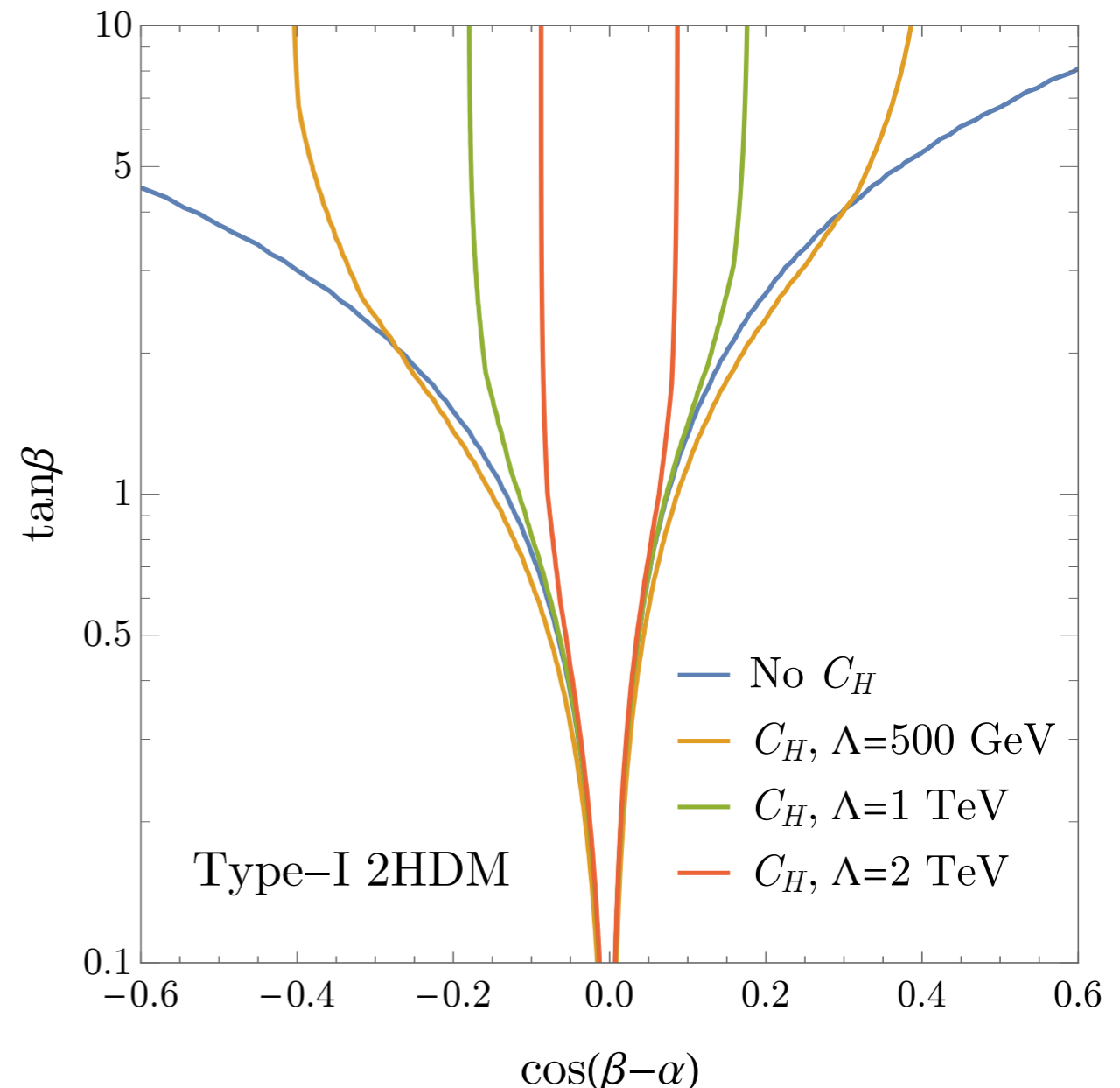
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# Matching the 2HDM to Dimension-8

arXiv:2205.01561, Dawson, Fontes, SH, Sullivan

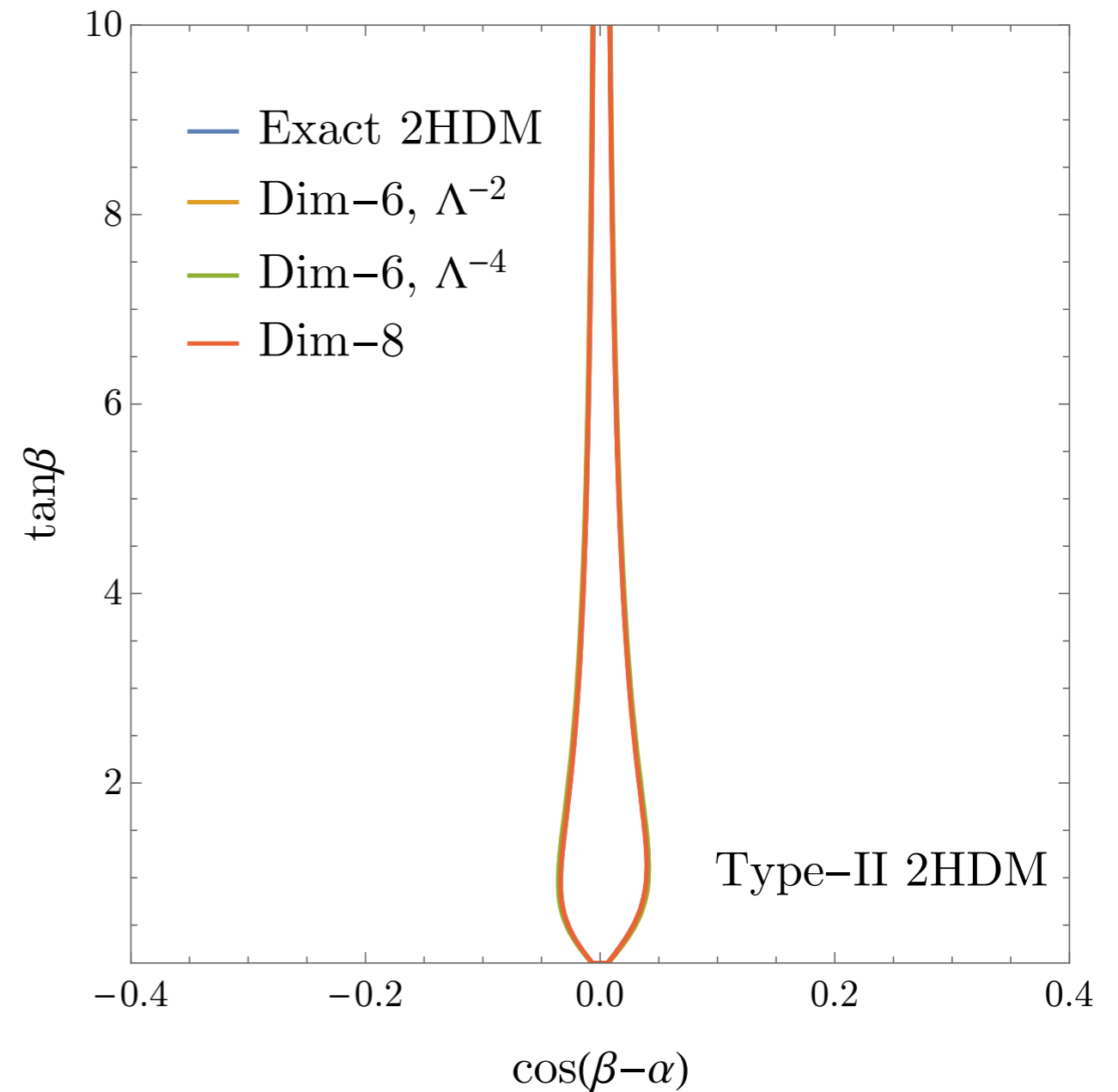
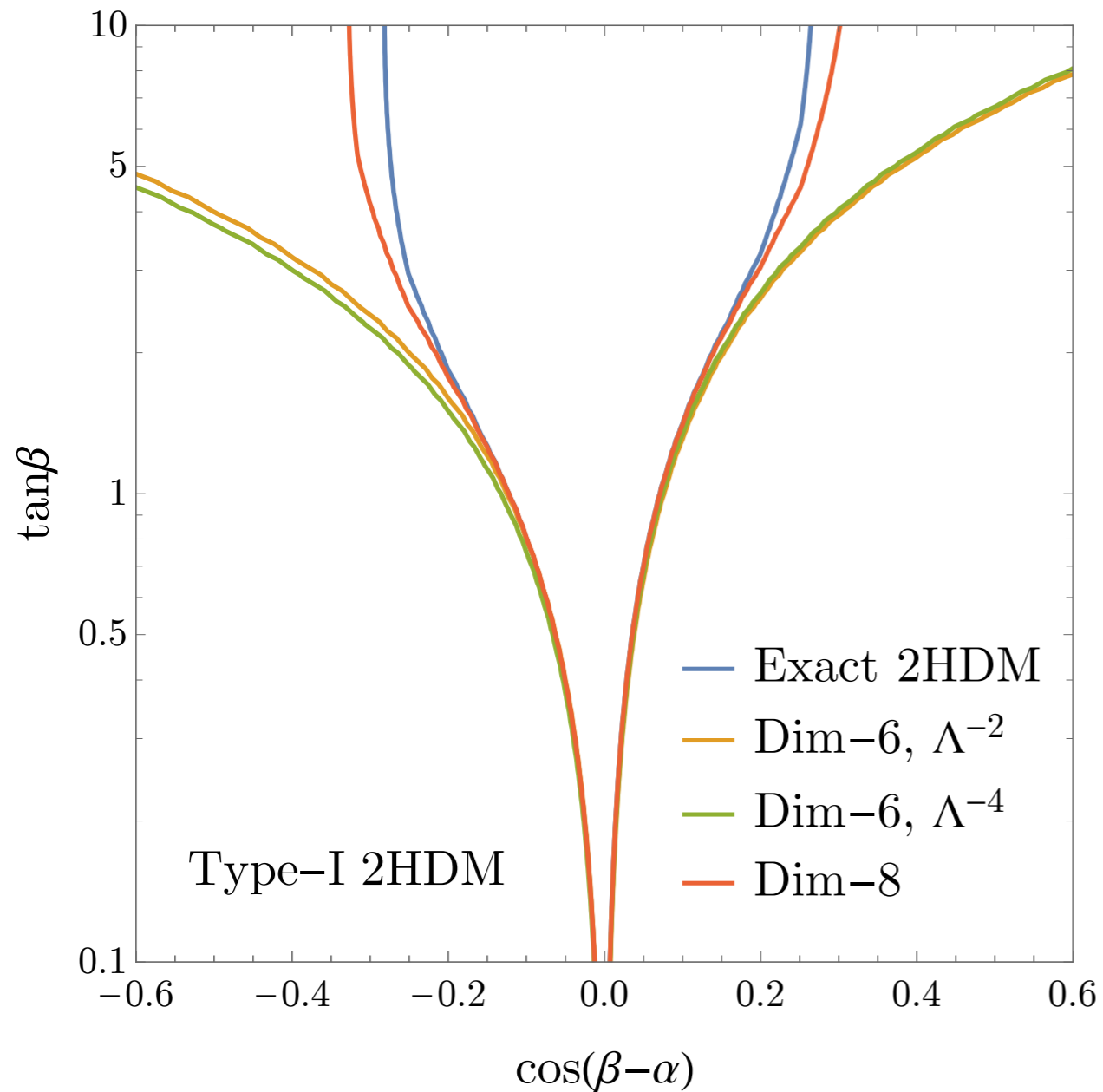
Gauge coupling modifications make it clear matching to dimension-8 is important.

Perform complete matching of the 2HDM to dimension-8, and write operators in terms of “Murphy basis” in [2005.00059]

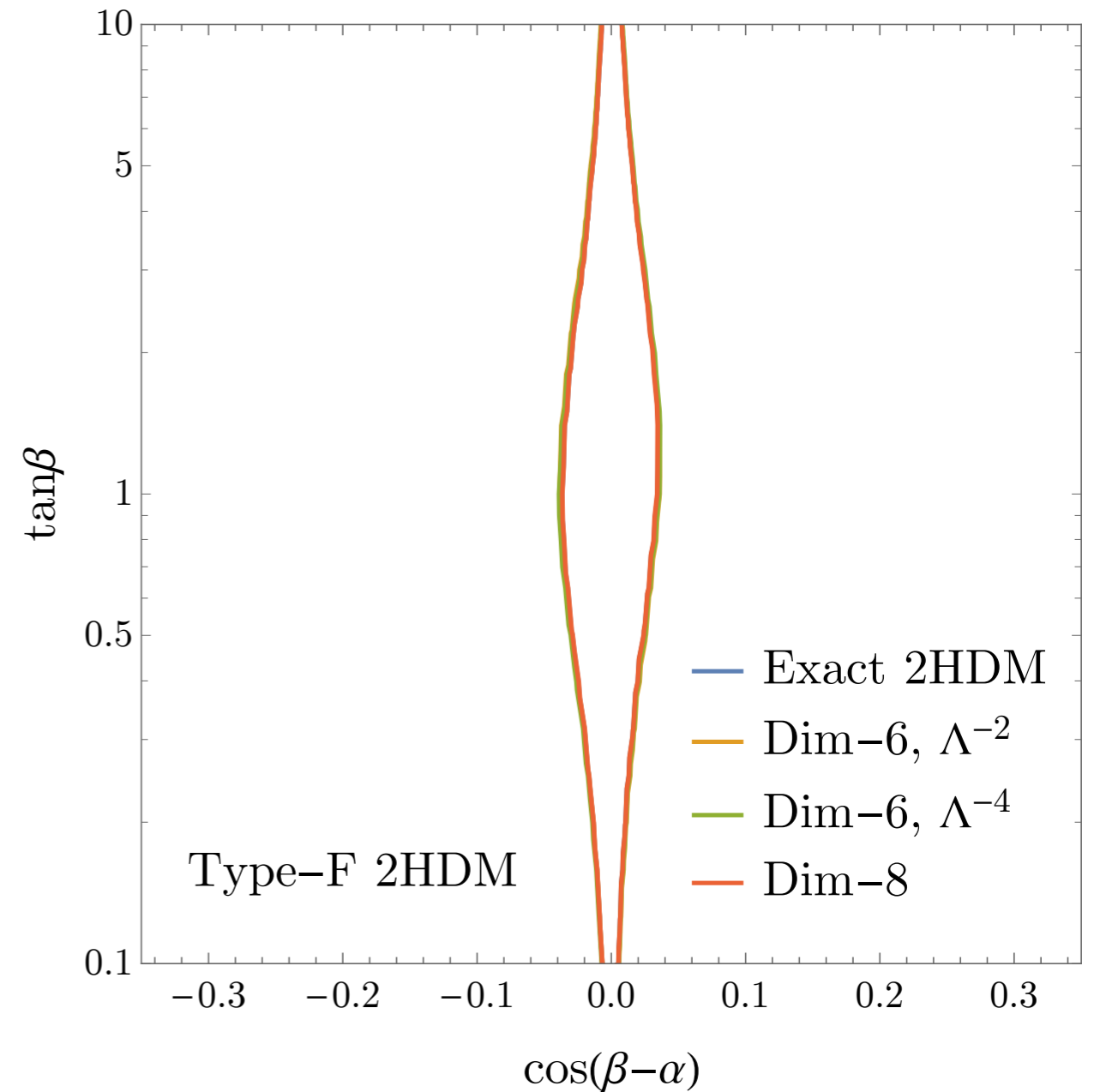
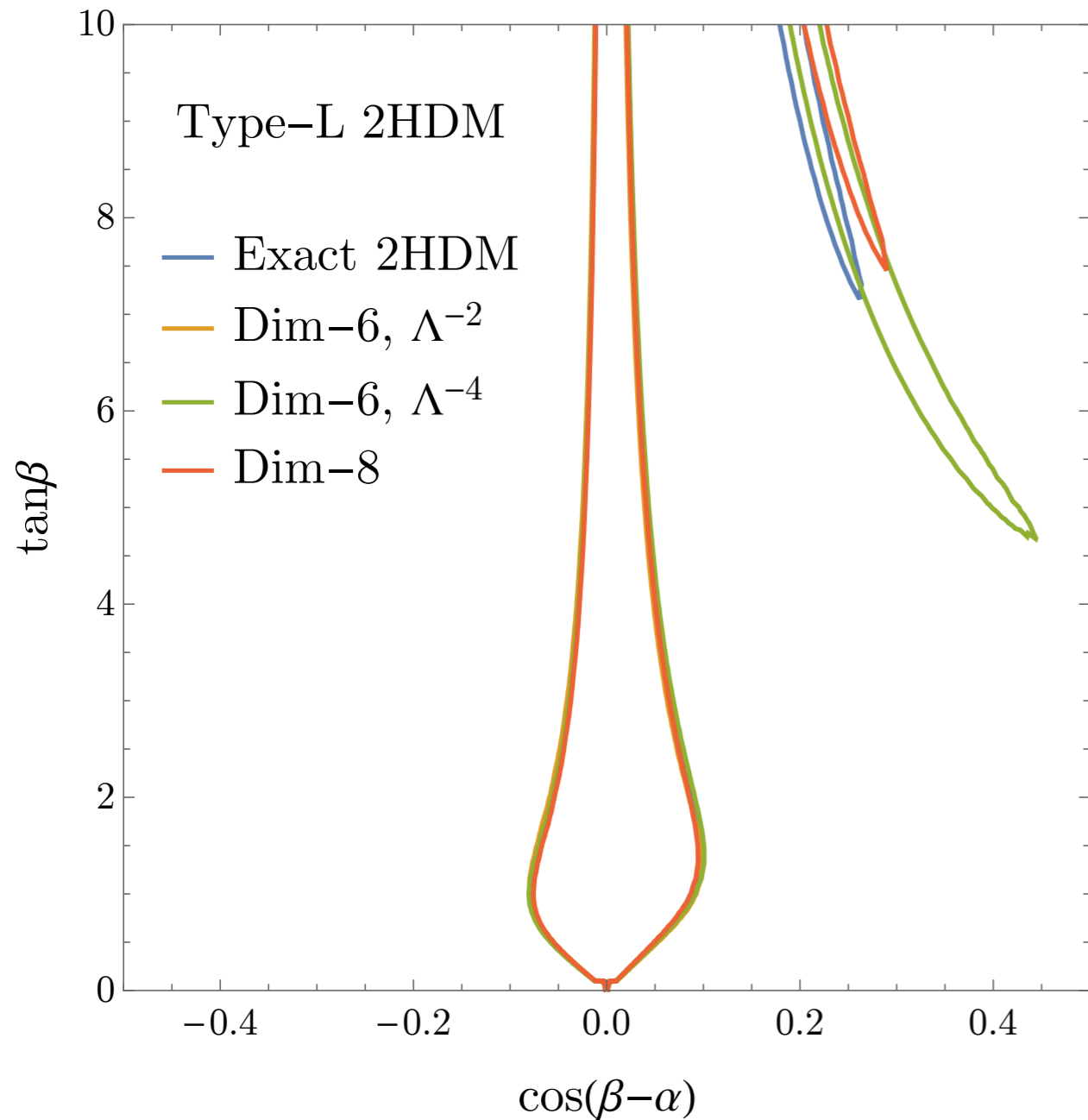
$$(D_\mu H^\dagger D^\mu H)(\bar{q}u\tilde{H}), \quad (D_\mu H^\dagger \tau^I D^\mu H)(\bar{q}u\tau^I \tilde{H}), \quad (D_\mu H^\dagger H)(\bar{q}u D^\mu \tilde{H})$$
$$(H^\dagger H)^2(\bar{q}u\tilde{H}), \quad (H^\dagger H)^4$$

$$\mathcal{O}_{H^6}^{(1)} = (H^\dagger H)^2 (D_\mu H)^\dagger (D^\mu H), \quad C_{H^6}^{(1)} = -\frac{\Lambda^4}{v^4} \cos(\beta - \alpha)^2$$

# Fit Results Including Dimension-8



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# EFT of the 2HDM Summary

arXiv:2205.01561, Dawson, Fontes, SH, Sullivan

Rich structure of the 2HDM leads to interesting effects when interpreting SMEFT results:

- SMEFT formally valid only in the “alignment-limit”, requires light scales for large mixing angles
- “Wrong-sign” regions require going beyond  $\mathcal{O}(\Lambda^{-2})$
- Gauge couplings only appear at dimension-8
- Self-coupling effects introduce a dependence on the heavy scale

# Conclusions

- SMEFT Fits may be the “legacy” measurements of the LHC, but important to keep UV models in mind!
- Tree level interpretations of SMEFT Fits aren’t the whole story!  
*RG evolution of coefficients is extremely important.*

- Considering explicit models lets us assess the importance of higher-order matching effects (1 loop, dim-8) in a concrete way.
- Higher order effects can change phenomenology / interpretation — what happens in even more complicated models?

Lots of other recent work on this topic!

See:

- Ellis, et al., [2012.02779]
- Das Bakshi, et al., [2012.03839]
- Marzocca, et al., [2009.01249]
- Brivio et al., [2108.01094]
- Almeida et al., [2108.04828],
- ... and others!

**Thanks for your attention!**