

Touching Lives...

THROUGH CONNECTION
& COLLABORATION





MISSION

The College of American Pathologists Foundation champions patient-centered and humanitarian roles for pathologists. The CAP Foundation strives to connect people, especially those in need, to the specialized, life-saving skills of pathologists.



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EXECUTIVE REPORT 2013:

A Year of Momentum, Born of Collaboration

The remarkable aspect of the CAP Foundation in 2013 was the intense nature of our efforts. This was a year of connection and collaboration, marked by perseverance, which brought tremendous success.

With each passing month, we gained measurable momentum. We were propelled by our emphasis on building—from building our networks, to growing the endowment of the Herbek Humanitarian Award, to building the sustainability of our primary and most patient-centric program, See, Test & Treat®. In fact, we are proud to report that since launching the Herbek See, Test & Treat Founders Circle fund, we have raised just under \$1 million, with special thanks to the 35 founders who committed nearly \$700,000 to anchor the program with their endowment.

In 2013, we learned that building is truly a team effort. By putting our best people forward, we gained sizable commitments from key leaders and stakeholders. And those commitments became fuel, powering connections to other foundations and signaling that our programs have what it takes to succeed.

The commitment of donors, the collaboration of CAP governors, the dedication of CAP staff, and the creative perseverance of CAP Foundation directors combined to create critical mass in 2013. When larger philanthropies, such as the Robert Wood Johnson Foundation, saw such substantial commitment from the CAP Foundation and its leadership, doors opened.

Home work is hard work

By looking at health care through a humanitarian lens, it is tempting to focus on the glaring but often faraway areas with enormous gaps, such as so much of the developing world.

But when you look around and when you consider the progress of See, Test & Treat and our other programs detailed on these pages, it is clear: We need not travel to remote corners of the globe to provide critically needed care. We can focus the lens on the picture here at home, and

easily find those who struggle without basic health care. Some come here from those Third World nations. Others grow up here but face financial and social challenge. The need is not far off. Rather, in an urban medical center like Boston and in tony locales like Los Angeles, we find people without access to even the most basic health screenings.

The CAP Foundation lives its social mission by bringing the life-saving skills of pathologists to bear on the vexing, costly problem of patients disengaged from health care. Our goal is not so much “to give fish, but to teach how to fish”—to demonstrate a model for community partnership that brings the underserved into the health care system, empowers them to take an active role in their own health care, and helps them remain connected.

Thank you to all who stand with us in this effort with gifts of time, treasure, and talent. To our members and staff, vendors, and public supporters, we remain forever grateful for your constant support and encouragement.

Sincerely,



Lewis A. Hassell, MD, FCAP, President
CAP Foundation Board of Directors



Marion Malone, Executive Director
CAP Foundation



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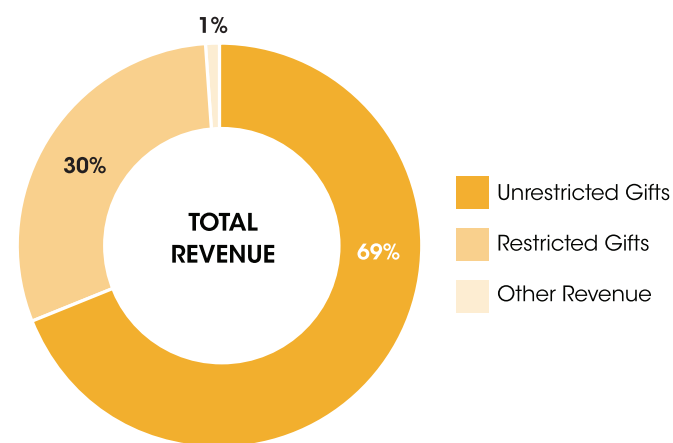
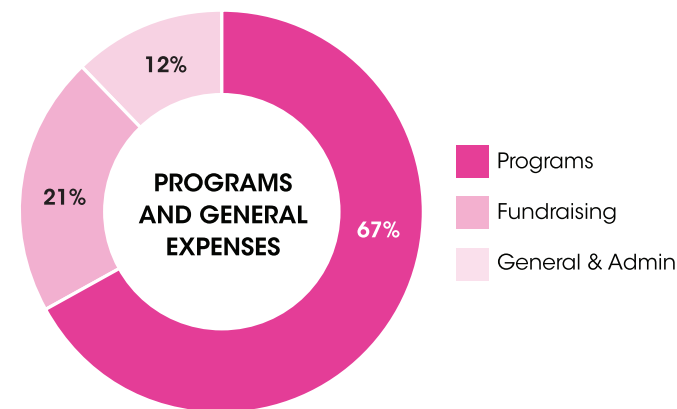
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CAP FOUNDATION FINANCIALS

	December 31	
	2013	2012
Assets		
Cash and Cash Equivalents	\$ 345,886	\$ 249,238
Pledges Receivable	134,703	93,825
Prepaid and Deposits	2,430	27,853
Due from College of American Pathologists	57,836	15,562
Total Current Assets	\$ 540,855	\$ 386,478
Investments	1,674,739	1,430,380
Pledges Receivable	255,422	133,995
Beneficial Interest in Remainder Trust	988,253	944,634
Property and Equipment	5,577	16,731
Total Assets	\$ 3,464,846	\$ 2,912,218
Liabilities and Net Assets		
Current Liabilities		
Accrued Grants and Awards	59,262	22,500
Accounts Payable	17,320	23,103
Deferred Revenue	-	-
Accrued Other	235,935	154,955
Total Current Liabilities	\$ 312,517	\$ 200,558
Note Payable to College of American Pathologists	175,000	175,000
Total Liabilities	\$ 487,517	\$ 375,558
Net Assets		
Unrestricted	702,632	586,479
Temporarily Restricted	1,944,651	1,620,886
Permanently Restricted	330,045	329,295
Total Net Assets	\$ 2,977,328	\$ 2,536,660
Total Liabilities and Net Assets	\$ 3,464,845	\$ 2,912,218



A complete copy of the financial statements audited by Ernst & Young LLP is available upon written request to the CAP Foundation, 325 Waukegan Road, Northfield, IL 60093.



VOLUNTEERS WEIGH IN ON EDUCATIONAL VALUE

"In one day, we empower women for the rest of their lives."

See, Test & Treat volunteers know all too well the problems inherent in caring for vulnerable patients. Fortunately, thanks to CAP Foundation funding and our generous supporters, they also know—and they implement—the solutions.

"I grew up and completed medical school in Costa Rica, so I am very familiar with the needs and lack of education among women in underserved communities," explains Donna M. Coffey, MD, FCAP, a Houston Methodist Hospital pathologist and lead for the 2013 See, Test & Treat in Houston.

Her colleague and See, Test & Treat supporting pathologist, Patricia Chévez-Barrios, MD, FCAP, a native of Mexico, concurs.

"I volunteer for See, Test & Treat because I know that the educational aspect is as important as

the procedure or screening itself," Dr. Chévez-Barrios explains. "People do not know why they are at risk for different diseases, and they don't understand how they can prevent or detect them early."

With the help of colleagues, residents, and fellows, 2013 program volunteers created an instructional video in four different languages—English, Spanish, Mandarin, and Vietnamese—to effectively educate friends and families of the more than 120 women they treated in a single day.

In the HOPE Clinic area where patients awaited test results, Dr. Chévez-Barrios and a team of multilingual residents were able to provide educational sessions and answer questions in Spanish, Mandarin, and Vietnamese.

"We also brought a microscope with an attached camera and projected the slides on a TV monitor," Dr. Chévez-Barrios explains. "Patients were able to look into the microscope and see what we see. And we were able to give live presentations with the slides and microscope in the different languages."

The team also included cytotechnologists along with gynecologists and gynecologic oncologists from Methodist, Baylor College of Medicine, and MD Anderson Cancer Center.

"Cervical cancer is totally preventable through Pap tests and HPV vaccines, but the fact is, in our work, we find women with advanced inoperable, incurable cervical cancer," one gynecologist and program volunteer says. "I am thrilled to participate in this program; through See, Test & Treat, we can reach women before they have symptoms and before they come to us with advanced cancer."

"Practicing medicine is really about caring for patients through partnerships," a patient outreach specialist said. "We work alongside our colleagues, teaming up to provide screening, diagnoses, and education, all in one day. Here in Houston, we created a completely bilingual health fair, working alongside concerned partners in the community. Through these partnerships, we are able get the results to women quickly, removing barriers to continuing care and enabling women to gain a community of services in a warm and nonthreatening environment."

seetest&treat[®]

SNAPSHOTS OF 2013

Women and families who came to one of the CAP Foundation's six See, Test & Treat programs in 2013 are among those at risk.

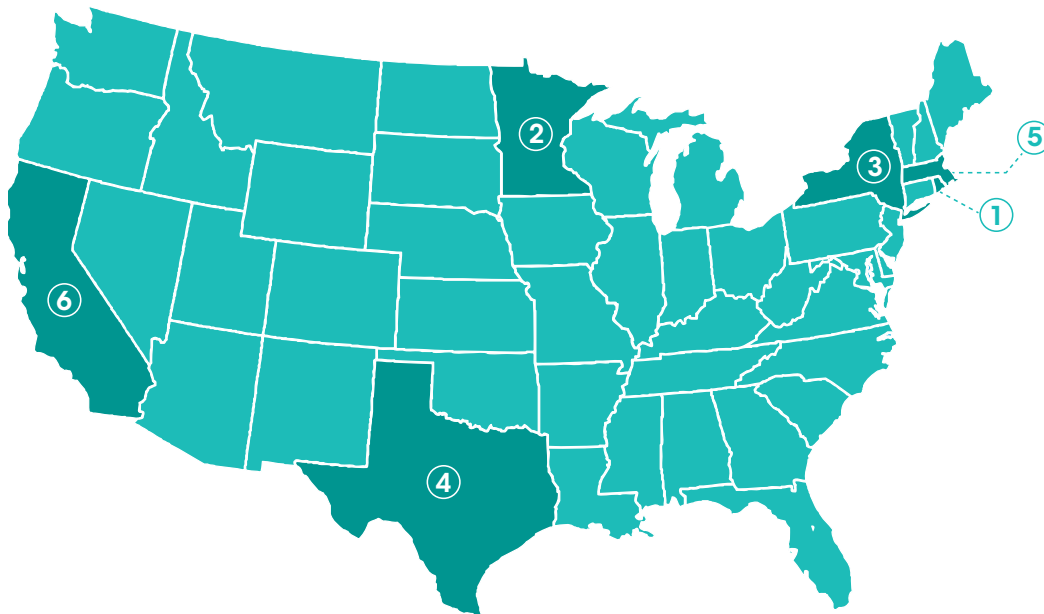
On the precipice of cracks in society, some misunderstand directions on forms and applications written only in English. Others are forced to choose between paying the light bill or paying bus fare to a low-wage job. Their address today may be a world away from one they gave two months ago. And that differs from the home they knew two weeks earlier.

Last year, the CAP Foundation's generous support resulted in more than 500 screenings for cervical cancer, more than 300 screenings for breast cancer, with hundreds more participating in interactive learning, speaking with pathology residents, and comparing normal and abnormal cells under a microscope.



"In one day, we empower women for the rest of their lives."

TAKE A LOOK AT OUR YEAR IN REVIEW:



1. Providence, Rhode Island

September 28, 2013

2. Minneapolis, Minnesota

October 1-3, 2013

3. The Bronx, New York

October 5, 2013

4. Houston, Texas

October 19, 2013

5. Boston, Massachusetts

October 26, 2013

6. Garden Grove, California

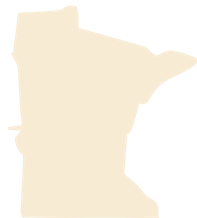
November 1, 2013



Providence, **RHODE ISLAND**

September 28, 2013

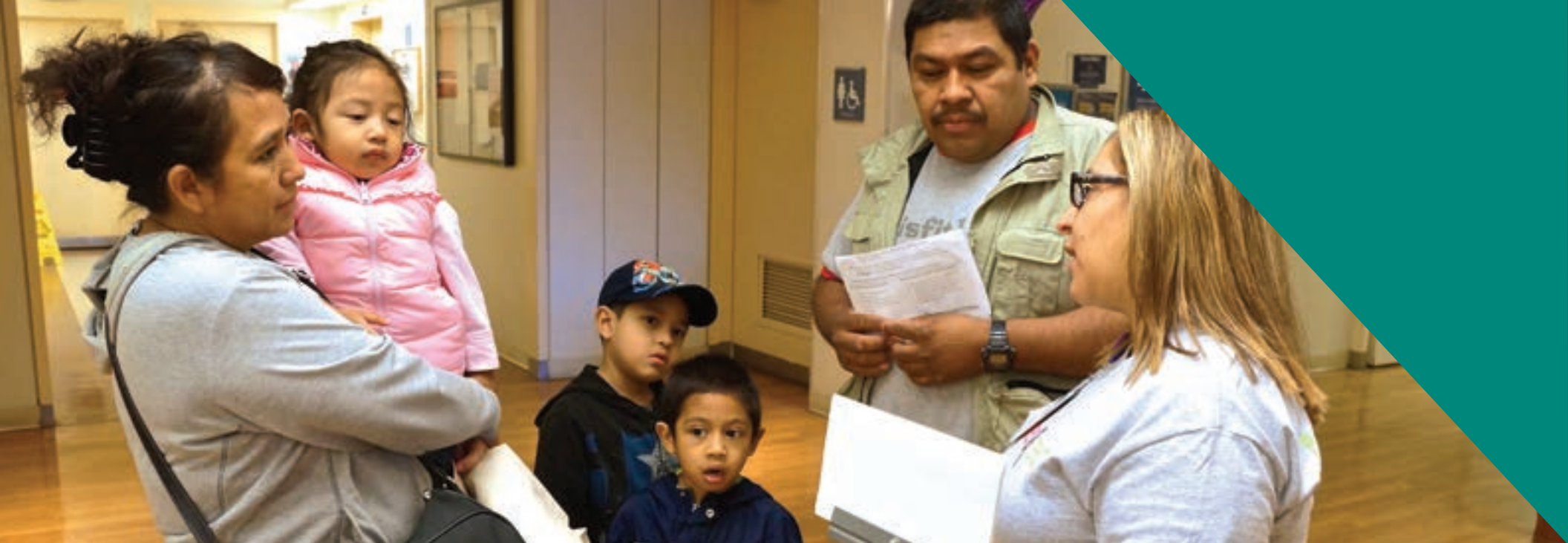
- Organization** Comprehensive Cancer Center at Rhode Island Hospital, in partnership with Lifespan health system and Women & Infants Hospital of Rhode Island
- Participants** 98 minority and underserved women in Providence area
- Highlights** Approximately 14% received abnormal Pap test results; 23% required additional breast diagnostics
- Pathologists** Latha R. Pisharodi, MD, FCAP, and Douglas Anthony, MD, PhD, FCAP; Bassam Aswad, MD



Minneapolis, **MINNESOTA**

October 1–3, 2013

- Organization** NorthPoint Health & Wellness Clinic with Hennepin Hospital
- Participants** Nearly 200
- Highlights** Preprogram social and educational events effectively reached area women challenged by access to medical resources
- Pathologists** Bradley M. Linzie, MD, FCAP, and Steven M. Debol, MD, PhD, FCAP



The Bronx, NEW YORK

October 5, 2013



Organization Montefiore Medical Center

Participants 10 supplemental to the existing state screening program on site that day

Highlights First program in NYC; first to partner with an existing cancer screening program; allowed the hospital to treat additional patients who did not meet state requirements for the existing cancer screening

Pathologists Michael B. Prystowsky, MD, PhD, FCAP, and Mark J. Suhrland, MD, FCAP



Houston, TEXAS

October 19, 2013



- Organization** HOPE Clinic; a partnership with volunteers representing Houston Methodist Hospital, Baylor College of Medicine, MD Anderson Cancer Center, UT Health, and Texas Children's Hospital
- Participants** 209 regional women, largely underserved, minorities
- Highlights** Texas has the highest percentage of uninsured adults; minorities rank among the lowest nationally in cervical and breast cancer screening rates; 2013 patient volume was nearly double that of 2012; 10% of women screened showed abnormal Pap results—a higher portion than in 2012
- Pathologists** Donna M. Coffey, MD, FCAP; Patricia Chévez-Barrios, MD, FCAP; Dina R. Mody, MD, FCAP; Mary R. Schwartz, MD, FCAP; Blythe K. Gorman, MD, FCAP



Boston, MASSACHUSETTS

October 26, 2013

Organization Tufts Medical Center

Participants More than 50 women in Boston's Chinatown, nearby neighborhoods

Highlights Approximately 20% received abnormal Pap test results—more than three times the national norm

Pathologists Barbarajean Magnani, PhD, MD, FCAP; Stephen P. Naber, MD, PhD FCAP; Farhat Askarian, MD; Monika Pilichowska, MD



Garden Grove, **CALIFORNIA**

November 1, 2013

Organization Nhân Hòa Clinic

Participants More than 100 underserved women, including those from local homeless and battered women's shelters

Highlights Part of a community health fair that featured health insurance exchange representatives; abnormal Pap test results neared 10%

Pathologists Si Van Nguyen, MD, FCAP; support from eight additional pathologists, including Alessandro DeVito, MD, FCAP; Teresa Duran, MD; Eric F. Glassy, MD, FCAP; Oliver Huang, MD; Nathash Kallichanda, MD; Alfred Lui, MD, FCAP; Banafsheh Rashidi, MD.; Diane Tam Singer, MD, FCAP

From left to right:
Lewis A. Hassell, MD, FCAP
Si Van Nguyen, MD, FCAP
Gene N. Herbek, MD, FCAp



**The Gene and Jean Herbek
Humanitarian Award**

Sunday, October 13

Presented at CAP '13, the CAP annual meeting in Orlando, Florida

CAP Member Recipient: Si Van Nguyen, MD, FCAP

Grant Amount: \$20,000

The CAP Foundation honored pathologist Si Van Nguyen, MD, FCAP, with the 2013 Gene and Jean Herbek Humanitarian Award. Introduced in 2011, the award grants up to \$25,000 to a CAP Fellow who provides leadership in a See, Test & Treat program.

Named for the CAP's current president and See, Test & Treat pioneer Gene N. Herbek, MD, FCAP, and his wife, the award aims to build the impact and extend the reach of this humanitarian program beyond its core services.

Pathologist Si Van Nguyen, MD, FCAP, of Huntington Beach, California, received his award at the CAP's annual meeting. There, CAP Foundation board president Lewis A. Hassell, MD, FCAP, shared that "in Dr. Si's native language, Vietnamese, one of the meanings of the first name 'Si' is 'hero'—one who is a hero. While the suffix is commonly used to describe *professional* heroes, we will attest that Dr. Si is a hero on both *professional* and *personal* levels."

A refugee who emigrated from Vietnam 30 years ago, Dr. Si Nguyen knows all too well of the health vulnerabilities among Southeast Asians living in the US. The statistics startle:

- Cervical cancer is the leading cause of death for Vietnamese women.
- No matter where they live, Vietnamese women are four times more likely to have cervical cancer than non-Hispanic white women.
- Worse, Vietnamese women receive Pap tests at rates alarmingly below US federal screening goals.

Dr. Si Nguyen plans to use the grant to fund the outfitting of a mobile laboratory van, which will bring speedy evaluation to cervical cancer screenings. Once operative, his See, Test & Treat mobile laboratory will expand his reach beyond the screenings he conducted at the Nhân Hòa Comprehensive Health Care Clinic in Garden Grove, California. There, his 2012 and 2013 programs alone screened nearly 300 women in just two days.

"As a pathologist, I am disheartened to know that in my community, and in fact in many cultures, belief is strong that cancer is a death sentence, that if you get cancer you will die," Dr. Si Nguyen said. "This type of thinking has to stop. Screening, early detection, education, and access to treatment options—resources that See, Test & Treat brings to a community—make a difference. It is my privilege to help make that difference."

HIGHLIGHTS: 2013 GRANTS AND AWARDS

Guiding the Next Generation of Pathologists

2013 Advanced Training Elective in Translational Diagnostics

Host & Site:

Ventana Medical Systems, Tucson, Arizona

About the Award:

Four-week, hands-on training focused on developing novel histopathology assays

Aaron Pritchard, MD

University of New Mexico, Albuquerque

Changing the World of Cancer Care

"Working with Ventana's Medical Innovations unit, I was part of team focused on improving the detection of markers in ovarian cancers that spawn a particularly poor prognosis and resistance to chemotherapy. I gained a tremendous view on advances that will continue to change the world of cancer diagnosis and treatment; and in turn, this progress will likely alter the practice of the current and next generation of pathologists."

– Aaron Pritchard, MD

Andrew Cook Nelson, MD, PhD

University of Minnesota, Minneapolis

Confirming Career Choices

"I actively worked with the staff scientists to assess the DNA damage response in cancer. This training reinforced my intended career path. As an MD, PhD, my intention has always been to pursue research either in a basic or clinical/translational capacity. The training experience strengthened my confidence in pursuing my goal to improve our diagnostic characterization of tumors in ways that better tailor treatment to the patient."

– Andrew Cook Nelson, MD, PhD

2013 Geraldine Colby Zeiler Cytotechnology Award

Through the generosity of William B. Zeiler, MD, FCAP, and in honor of his late wife, who was a Mayo Clinic-trained cytotechnologist, this award recognizes up to five cytotechnology students during their training.

Cynthia Alvarado

University of Texas MD Anderson Cancer Center School of Health Professions, Houston

Guimin (Luke) Chang

University of Pittsburgh Medical Center, Anisa I. Kanbour School of Cytotechnology, Pittsburgh

Grace Dragich

Rhode Island School of Cytotechnology, Providence

I-Chia (Mimi) Huang

University of Texas MD Anderson Cancer Center School of Health Professions, Houston

Sergeant Andrew R. Quatro

Medical Education Training Campus Cytotechnology Program
Ft. Sam Houston, Texas

2013 William R. Bynum, MD, Scholarship Fund: Medical Technologist Award

Working with the Missouri Society of Pathologists, the CAP Foundation supports the advancement of medical technologists in their final academic year.

Katelyn Brier, St. Louis, Missouri

Mercy Hospital School of Clinical Laboratory Science

Jeanie Harris, Gray Summit, Missouri

Mercy Hospital School of Clinical Laboratory Science

REPORTS FROM THE FIELD:

Growing Value by Advancing Experience

2013 Leadership Development Award

Enhancing resident pathologist education and experience, the Leadership Development Award immerses participants in the concerns that confront the specialty and that illustrate the need to deliver patient-centric care. Awarded up to \$1,000 in travel expenses, these young pathologists travel to the CAP Residents Forum fall or spring meeting or to the CAP Policy Meeting.



Joanna Conant, MD

University of Vermont College of Medicine
Burlington, Vermont

“With health care issues at the forefront of policy debates and the complexities of managing a lab ever growing, it’s more important than ever that pathologists be involved in decisions that will impact our practices and the care of our patients. The CAP Foundation provided me an opportunity to get involved in shaping the specialty’s future for the well-being of our patients.”



Whitney A. McCarthy, MD

Baylor College of Medicine
Houston, Texas

“This award allowed me to attend two Residents Forum meetings. I was excited to bring back new ideas that will give our residents the best possible training experience. And given my interest in improving residency training at a national level, I look forward to doing my part to promote change and improvement as one of my program’s delegates to the Residents Forum.”



Jordan A. Roberts, MD

Houston Methodist Hospital
Houston, Texas

“Pathology is entering an age of transformation in response to the dynamic climate of health care in this country. Gaining an in-depth understanding of policymaking and health care reform is essential to consistently integrate pathology services into overall patient care. Ultimately, this will allow us to provide the best service to meet the needs of patients, fellow physicians, and administrators. I want to extend a special thank you to the CAP Foundation for encouraging resident involvement in health care issues.”



Meggen A. Walsh, DO

University of Kentucky College of Medicine
Lexington, Kentucky

“As a resident, it is difficult to finance attendance to the many meetings available to pathologists. The Residents Forum meeting I attended allowed me to bring some different training ideas back to our program and share how others are learning. I sincerely believe that involvement in the College is a key to pathology success now and in the future.”

Keitges Program on Medical Ethics

Through the Pierre W. Keitges, MD, Medical Ethics Endowment Fund, the CAP Foundation sponsors education specific to medical ethics at the College’s annual meeting, delivering enrichment that engages pathologists to address the changing landscape of medical issues. At CAP ’13, participants tackled the tough topic “Disclosing Harmful Pathology Errors to Colleagues, Treating Clinicians, and Patients.” Guided by faculty Suzanne M. Dintzis, MD, PhD, FCAP, and Mara H. Rendi, MD, PhD, FCAP, participants engaged in simulated scenarios as they explored the medical-legal implications of pathology disclosure.



2013 DONOR HONOR ROLL

Through the honor roll, the CAP Foundation expresses its deepest gratitude to the following members, friends, staff, corporations, and foundations for the support they have generously given to the CAP Foundation during 2013 and throughout their lifetime.

Lifetime Giving of \$1,000,000 or more

William B. Zeiler, MD, FCAP
College of American Pathologists

Lifetime Giving of \$250,000 or more

Dr. and Mrs. Daniel Hanson

Lifetime Giving of \$100,000 or more

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University of Pittsburgh
Medical Center
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Dr. Susan Van Hook Williams

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individuals who were
honored or remembered
in 2013 with a gift:**

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Kathy Myers
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