

Generic MitM Attack Frameworks on Sponge Constructions

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Abstract. This paper proposes general meet-in-the-middle (MitM) attack frameworks for preimage and collision attacks on hash functions based on (generalized) sponge construction. As the first contribution, our MitM preimage attack framework covers a wide range of sponge-based hash functions, especially those with lower claimed security level for preimage compared to their output size. Those hash functions have been very widely standardized (e.g., `Ascon-Hash`, `PHOTON`, etc.), but are rarely studied against preimage attacks. Even the recent MitM attack framework on sponge construction by Qin et al. (EUROCRYPT 2023) cannot attack those hash functions. As the second contribution, our MitM collision attack framework shows a different tool for the collision cryptanalysis on sponge construction, while previous collision attacks on sponge construction are mainly based on differential attacks. Most of the results in this paper are the first third-party cryptanalysis results. If cryptanalysis previously existed, our new results significantly improve the previous results, such as improving the previous 2-round collision attack on `Ascon-Hash` to the current 4 rounds, improving the previous 3.5-round quantum preimage attack on `SPHINCS+-Haraka` to our 4-round classical preimage attack, etc.

Keywords: Sponge · Hash Function · MitM · Collision · Preimage

1 Introduction

A cryptographic hash function H , that maps a message M of arbitrary length into a short fixed-length n -bit target T , should satisfy at least three basic se-

curity properties, *i.e.*, (2nd-) preimage resistance and collision resistance. Due to the breakthrough attacks by Wang et al. [58,57] on MD5 and SHA-1, the U.S. National Institute of Standards and Technology (NIST) started new standardization of hash functions in October 2008, *i.e.*, the SHA-3 competition. After intense competition, the Keccak sponge function family [9] designed by Bertoni *et al.* won the competition in October 2012 and was subsequently standardized by the NIST as Secure Hash Algorithm-3 [47] (SHA-3) in August 2015. Instead of using the classical Merkle-Damgård construction [45,19], Keccak adopts a new construction called *sponge construction*. Due to the high efficiency and security, the sponge construction or its variants become widely used to build hash functions and other primitives, such as Ascon-Hash [27], Xoodyak [17], PHOTON [36], SPONGENT [11], SPHINCS+-Haraka [7], ACE- \mathcal{H} -256 [1], etc. Notably, Ascon family has been selected as the winner of the NIST Lightweight Cryptography (LWC), and PHOTON and SPONGENT are currently the ISO standard lightweight hash. For most of the hash functions except Keccak, there is almost no cryptanalytic result on preimage attack. The reason may be that they (except Keccak) all have a lower claimed security level for preimage compared to their output size. Traditionally, for a hash function like SHA-2/3 with a n -bit digest, the security claim against preimage attacks is 2^n . However, for many new hash functions, the claimed security for preimage is lower than 2^n , e.g., Ascon-Hash and ACE- \mathcal{H} -256, the size of output is 256, but the security claim is just 2^{128} or 2^{192} by their designers. At CRYPTO 2022, Lefevre and Mennink [42] proved a tight preimage security for those sponge constructions, and increase security level of Ascon-Hash from the 2^{128} preimage security as claimed by designers, to 2^{192} preimage security.

The Meet-in-the-Middle (MitM) attack proposed by Diffie and Hellman in 1977 [22] is a time-memory trade-off cryptanalysis of symmetric-key primitives. During the last decades, it has been improved by more refined techniques and exploiting additional freedoms and structures, such as the internal state guessing [29], splice-and-cut [2], initial structure [52], bicliques [10,39], 3-subset MitM [13], (indirect-)partial matching [2,52], guess-and-determine [53,37], sieve-in-the-middle [16], match-box [32], dissection [24], MitM in differential view [40,30], and differential MitM [15], etc. Automating the MitM attacks with computer-aided tools may discover more advanced attack configurations, which was first tried in [14,21] at CRYPTO 2011 and 2016 for AES and AES-like ciphers. At IWSEC 2018, Sasaki [50] introduced the 3-subset MitM attacks on GIFT block cipher with Mixed Integer Linear Programming (MILP). At EUROCRYPT 2021, Bao *et al.* [4] fully automated MitM preimage attacks with MILP on AES-like hashing, which is built from AES-like structures. Later on, this model was further developed into models of key-recovery and collision attacks by Dong *et al.* [28] and Bao *et al.* [5]. Schrottenloher and Stevens [54,55] simplified the language of the automatic model and applied it in both classical and quantum settings.

MitM attack on sponge-based hash functions. The MitM attack has been widely used to attack Merkle-Damgård hash functions [52,2,35], whose compression function is usually built from a block cipher and the PGV hashing modes [48].

However, it was rarely used to attack sponge-based hash functions. At CRYPTO 2022, Schrottenloher and Stevens [54] first built several MitM attacks on sponge-based hash functions, *i.e.*, SPHINCS⁺-Haraka [7] and Sparkle [6]. At EURO-CRYPT 2023, Qin et al. [49] introduced a generic framework of MitM preimage attacks on sponge-based hashing and first built the MitM attacks on 4-round Keccak-512 [9], 3-/4-round Ascon-XOF [27], and 3-round Xoodyak-XOF [17].

Our Contribution.

Generic MitM Preimage Attack Framework for Sponge Constructions. The sponge construction [9] with b -bit internal state ($b = c + r$ with c -bit capacity and r -bit rate) includes two phases: the first phase is the absorbing phase, which XORs r -bit message block into the state and interleaves with an application of the permutation; the second phase is the squeezing phase, which returns r -bit state bits as output, and interleaves with application of the permutation, until n bits are returned as the digest T . For SHA-3, the designers choose $c/2 = n$ and provide an n -bit security for preimage resistance. At CRYPTO 2011, the developers of PHOTON introduced the generalized sponge [36], that squeezes r' -bit ($r' \geq r$) state at a time. At CRYPTO 2022, Lefevre and Mennink [42] formally proved that the preimage security for generalized sponge is $q \approx \min\{\max\{2^{n-r'}, 2^{c/2}\}, 2^n\}$. Therefore, the bit security of preimage may not be equal to the size of digest n . For example, the length of the digest of SPHINCS⁺-Haraka [7] ($b = 512, n = c = r = r' = 256$) is $n=256$ bits, however, the security claim against preimage attack is only 2^{128} . In this case, we prove that Qin et al.'s MitM framework [49] can not achieve better preimage attack with time complexity lower than the proved bound q . In fact, there exist many such hash functions (such as Ascon-Hash [27], PHOTON [36], SPONGENT [11], etc.) that previous MitM attacks can not work for preimage attacks. In this paper, we propose a new and general MitM preimage attack framework, that is applicable to any (generalized) sponge construction. Moreover, we invent more MILP modellings by exploiting the new features of the framework and dedicated hash functions, like new matching strategies for Ascon-Hash, Xoodyak-Hash. When applying to ACE- \mathcal{H} -256 and SPONGENT, the sieve-in-the-middle technique [16] is for the first time applied in the MitM automatic model, which is never applied in previous automatic tools [4,28].

We build the first preimage attacks on round-reduced Ascon-Hash (winner of NIST LWC), PHOTON (ISO standard), PHOTON-Beetle-Hash (finalist of NIST LWC), ACE- \mathcal{H} -256 (2nd round candidate of NIST LWC), SPONGENT (ISO standard), etc. Most attacks are the first cryptanalytic results since the primitives were designed, such as PHOTON and SPONGENT, which were designed in 2011. For SPHINCS⁺-Haraka, our preimage attack in the classical setting (4-round attack) covers even more rounds than the previous quantum preimage attack (3.5-round attack) [54]. Please find a summary in Table 1.

MitM Collision Attack Frameworks for Sponge Constructions. For sponge constructions, the collision attacks are usually built with differential at-

Table 1: A Summary of the Attacks. Q: quantum attack. †: The attack is invalid, since its complexity is higher than the birthday attack.

Target	Attacks	Methods	Rounds	Time	Memory	Claim	Generic	Ref.
Ascon-Hash	Preimage	MitM	3/12	$2^{162.80}$	2^{160}	2^{128}	2^{192}	Sect. 6.1
		MitM	4/12	$2^{184.85}$	2^{178}			Sect. 6.1
		MitM	5/12	$2^{191.31}$	2^{190}			Sect. 6.1
	Collision	Diff.	2/12	2^{125}	-	2^{128}	2^{128}	[61]
		Diff.	2/12	2^{103}	-			[33]
		MitM	3/12	$2^{116.74}$	2^{116}			Sect. 6.2
		MitM	4/12	$2^{124.85}$	2^{124}			Sect. 6.2
SPHINCS ⁺ -Haraka	Preimage	MitM	3.5/5	$2^{64.6}$ Q	-	-	$2^{85.3}$ Q	[54]
		MitM	4/5	2^{98}	2^{96}	2^{128}	2^{128}	Sect. 5
PHOTON-80/20/16	Preimage	MitM	4.5/12	2^{60}	2^{24}	2^{64}	2^{64}	Sect. 9.1
PHOTON-128/16/16	Preimage	MitM	4.5/12	2^{104}	2^{56}	2^{112}	2^{112}	Sect. 9.1
PHOTON-160/36/36	Preimage	MitM	4.5/12	2^{116}	2^{36}	2^{124}	2^{124}	Sect. 9.1
PHOTON-224/32/32	Preimage	MitM	4.5/12	2^{184}	2^{72}	2^{192}	2^{192}	Sect. 9.1
PHOTON-256/32/32	Preimage	MitM	4.5/12	2^{208}	2^{112}	2^{224}	2^{224}	Sect. 9.1
PHOTON-Beetle-Hash	Preimage	MitM	3.5/12	2^{112}	2^{65}	2^{128}	2^{128}	Sect. E.2
ACE- \mathcal{H} -256	Preimage	MitM	9/16	2^{160}	2^{128}	2^{192}	2^{192}	Sect. 8
SPONGENT-88	Collision	Diff.	6/45 [†]	$2^{55.2}$	-	2^{40}	2^{40}	[11]
	Preimage	MitM	6/45	$2^{74.63}$	2^{73}	2^{80}	2^{80}	Sect. 7
	Preimage	MitM	7/45	$2^{78.59}$	2^{67}	2^{80}	2^{80}	Sect. F.1
Subterranean 2.0	Preimage	MitM	Full	2^{160}	2^{100}	2^{112}	2^{224}	Sect. 10
Xoodyak-XOF	Preimage	Neural	1/12	-	-	2^{128}	2^{128}	[44]
		MitM	3/12	$2^{125.06}$	2^{97}			[49]
		MitM	3/12	$2^{121.77}$	2^{118}			Sect. 11
Xoodyak-Hash	Collision	MitM	3/12	$2^{125.23}$	2^{124}	2^{128}	2^{128}	Sect. 11

tacks, e.g., the collision attacks on Keccak [25,26,34,60] and Ascon [33]. As indicated by [38,43], by finding partial target preimages with the MitM approach, one can build collision attacks on Merkle-Damgård hash functions. In Dinur’s collision attack on Keccak [23], the partial target preimages were found by solving multivariate equations instead of MitM approach. To the best of our knowledge, the MitM approach has not yet been applied to the collision attack on sponge constructions. We build the first MitM collision attack framework for sponge constructions and immediately improve the collision attack on Ascon-Hash from the best previous 2 rounds [33] to the current 4 rounds, and also leads to the first collision attack on 3-round Xoodyak-Hash (finalist of NIST LWC). A summary is given in Table 1. We give a partial experiment to verify our collision attacks on Ascon-Hash in https://github.com/boxindex/MitM_attack_sponge.

2 Preliminaries

2.1 The Sponge Construction

The sponge construction [9] works on a b -bit internal state, which is divided into two parts: the r -bit outer part (r is called the rate), and c -bit inner part (c is called the capacity). One first initializes the b -bit state with the given value (all zero for Keccak). Then, pad and divide the given message into several r -bit blocks. In the absorbing phase, each r -bit message block is XOR-ed into the state and an inner permutation f built by iterating a round function is applied. In the squeezing phase, it produces the n -bit digest T . To find a collision requires at least $Time \approx \min\{2^{c/2}, 2^{n/2}\}$, and to find the preimage or second preimage requires at least $Time \approx \min\{2^{c/2}, 2^n\}$. Example hash function is SHA-3 hash function. A relaxation of sponge introduced in the design of PHOTON [36] is to squeeze a larger rate $r' \geq r$, which is called generalized sponge as shown in Figure 1. At CRYPTO 2022, Lefevre and Mennink [42] proved a tight preimage security for generalized sponge up to $Time \approx \min\{\max\{2^{n-r'}, 2^{c/2}\}, 2^n\}$. Therefore, the NIST Lightweight Cryptography standard Ascon-Hash ($b = 320, r = r' = 64, c = 256, n = 256$) does not generically achieve 2^{128} preimage security as claimed, but even 2^{192} preimage security.

2.2 The Meet-in-the-Middle Attack on Sponge Constructions

Meet-in-the-middle is a general attack paradigm against cryptographic primitives where internal states are computed along two independent chunks that are then matched to produce a complete path solution. For Merkle-Damgård hash functions or block ciphers, the two independent chunks are usually the forward computation path and the backward computation path, i.e., the popular splice-and-cut [2] or three-subset MitM techniques [13]. To illustrate how the MitM attack works, we detail the 7-round attack on AES-hashing of Sasaki [51] in Supplementary Material A as an example.

At EUROCRYPT 2023, Qin et al. [49] introduced a new MitM framework for sponge constructions as in Figure 2, where two independent forward chunks are

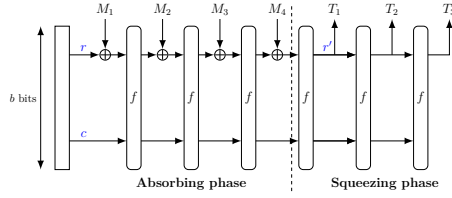


Fig. 1: The sponge construction

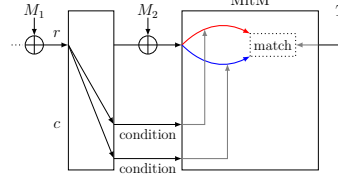


Fig. 2: Qin et al.'s MitM attack [49]

applied (without backward chunk). Starting from the r -bit outer part determined by M_2 , the two neutral sets (red \blacksquare and blue \blacksquare neutral bits, denoted as \mathcal{R} and \mathcal{B} , respectively) compute independently forward to the m -bit matching point, which is an m -bit deterministic relation on the two neutral sets by partially solving the inverse of the permutation from the n -bit target. Assuming the deterministic relation is $g_{\mathcal{B}} = g_{\mathcal{R}}$, where $g_{\mathcal{B}}$ is determined by the \blacksquare and \blacksquare bits of the starting state, and $g_{\mathcal{R}}$ is determined by \blacksquare and \blacksquare bits. $g_{\mathcal{B}} = g_{\mathcal{R}}$ is applied to filter the states.

When propagating the two neutral sets forward, Qin et al. found certain bit conditions can be applied to reduce the propagation of the \blacksquare and \blacksquare neutral bits, and therefore, improved the MitM path. Those conditions are determined by M_1 . After finding one proper M_1 that satisfies all bit conditions, the attacker can perform the following MitM attack. Supposing the red and blue neutral sets of the outer part are of $2^{d_{\mathcal{R}}}$ and $2^{d_{\mathcal{B}}}$ values, ($d_{\mathcal{R}}$ and $d_{\mathcal{B}}$ are also the degrees of freedom for the two sets of neutral bits) an MitM episode is performed as follows:

1. For each of $2^{d_{\mathcal{R}}}$ values, compute forward to the matching point.
2. For each of $2^{d_{\mathcal{B}}}$ values, compute forward to the matching point.
3. Given the n -bit target, compute backward to derive an m -bit matching point.
4. Filter states.

The complexity of one MitM episode is $2^{\max(d_{\mathcal{R}}, d_{\mathcal{B}})} + 2^{d_{\mathcal{R}} + d_{\mathcal{B}} - m}$, which checks a subspace of M_2 of size $2^{d_{\mathcal{R}} + d_{\mathcal{B}}}$. In order to find an n -bit target preimage, the episode should be repeated $2^{n - (d_{\mathcal{R}} + d_{\mathcal{B}})}$ times. Supposing \mathcal{C} is the time complexity to find M_1 , i.e., assigning proper bit conditions, then the overall time complexity to find the n -bit target preimage is

$$\mathcal{C} + 2^{n - (d_{\mathcal{R}} + d_{\mathcal{B}})} \cdot (2^{\max(d_{\mathcal{R}}, d_{\mathcal{B}})} + 2^{d_{\mathcal{R}} + d_{\mathcal{B}} - m}) \approx \mathcal{C} + 2^{n - \min(d_{\mathcal{R}}, d_{\mathcal{B}}, m)}. \quad (1)$$

Qin et al. introduced an MILP model to find good MitM path. They introduced 5 colors to encode each bit, and each bit is represented by three binary variables $(\omega_0, \omega_1, \omega_2)$:

- Gray \blacksquare : $(1, 1, 1)$, global constant bits,
- Red \blacksquare : $(0, 1, 1)$, determined by \blacksquare bits and \blacksquare bits of starting state,
- Blue \blacksquare : $(1, 1, 0)$, determined by \blacksquare bits and \blacksquare bits of starting state,

- **Green** \blacksquare : $(0, 1, 0)$, determined by \blacksquare bits, \blacksquare bits and \blacksquare bits, but the expression does not contain the product of \blacksquare and \blacksquare bits,
- **White** \square : $(0, 0, 0)$, depend on the product of \blacksquare and \blacksquare bits, i.e., unknown bit.

We adopt most of the techniques from Qin et al.’s MILP model, but make some changes for dedicated hash functions in order to find suitable MitM paths for our new attack frameworks.

2.3 The Collision Attack based on MitM Approach

At FSE 2012, Li, Isobe, and Shibutani [43] converted the MitM-based partial target preimage attacks into pseudo collision attacks. Suppose the algorithm \mathcal{A} can produce the t -bit partial target preimage. The collision finding approach works as follows:

1. Given the hash function H that produces n -bit digest, randomly fix the t -bit partial target as constant. Call \mathcal{A} to produce $2^{(n-t)/2}$ different (M, T) with the same fixed t -bit partial target.
2. From the $2^{(n-t)/2}$ (M, T) , find a collision on the remaining $(n - t)$ bits of the full target.

The MitM collision attacks have been applied to analyse Merkle–Damgård hash functions, such as SHA-2 [43], Whirlpool [28], AES-MMO [5]. However, this paper is the first time to apply it to sponge constructions.

3 Generic MitM Preimage Attack Framework on Sponge

In many sponge constructions, the security claim does not match the length of digest. For example, the length of the digest of SPHINCS⁺-Haraka [7] (one of 4 selected algorithms in NIST PQC process) is $n=256$ bits, however, the security claim against preimage attack is only 2^{128} . Qin et al.’s MitM framework [49] can not achieve preimage attack with complexity better than 2^{128} . The reason is shown in Equation (1). The complexity of Qin et al.’s MitM attack is at least $2^{n-\min(d_{\mathcal{R}}, d_{\mathcal{B}}, m)}$. In fact, in Qin et al.’s MitM attack, at least one MitM episode should be performed, whose complexity is $2^{\max(d_{\mathcal{R}}, d_{\mathcal{B}})} + 2^{d_{\mathcal{R}}+d_{\mathcal{B}}-m} = 2^{\max(d_{\mathcal{R}}, d_{\mathcal{B}}, d_{\mathcal{R}}+d_{\mathcal{B}}-m)}$. Therefore, considering both $2^{n-\min(d_{\mathcal{R}}, d_{\mathcal{B}}, m)}$ and $2^{\max(d_{\mathcal{R}}, d_{\mathcal{B}}, d_{\mathcal{R}}+d_{\mathcal{B}}-m)}$, the optimal complexity is achieved when $d_{\mathcal{R}} = d_{\mathcal{B}} = m = n/2$, which is at least $2^{n/2}$. Therefore, for preimage attack with $n = 256$ -bit target, Qin et al.’s MitM attack framework can only achieve 2^{128} complexity at best.

We propose a generic MitM preimage attack framework for all hash functions based on sponge constructions as shown in Figure 3. Suppose sponge hashing is with parameters: n -bit target size, b -bit state size, r -bit absorbing rate, c -bit capacity, r' -bit squeezing rate. Suppose the target is $T = T_1 \| T_2 \| \dots$, where $|T_i| = r'$ and $|T| = n$. Figure 3 only includes the first two blocks of target for brevity, i.e., $T_1 \| T_2$. The new framework consists of two phases:

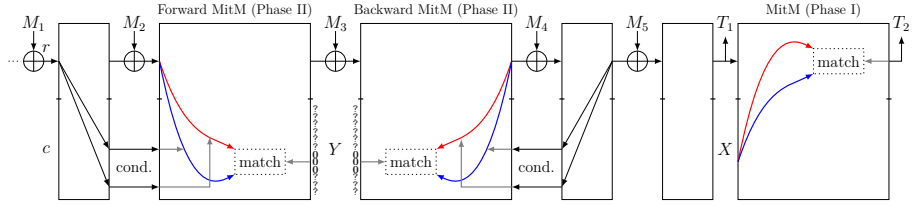


Fig. 3: General MitM Preimage Attack Framework

- **Phase I:** Given target blocks $T = T_1 \| T_2 \| T_3 \| \dots$, we first find a capacity state X , such that $squeeze(T_1 \| X) = T_2 \| T_3 \| \dots$. This is the so-called constrained-input constrained-output (CICO) problem [9], and $2^{n-r'}$ time is needed to find X by brute-force search.

Here, we apply Qin et al.'s MitM framework [49] and place a MitM attack in the permutation to squeeze T_2 . According to Eq. (1), the time to find X is

$$2^{|T| - |T_1| - \min(d_{\mathcal{R}}^t, d_{\mathcal{B}}^t, m^t)} = 2^{n-r' - \min(d_{\mathcal{R}}^t, d_{\mathcal{B}}^t, m^t)}, \quad (2)$$

A valid MitM attack in this phase can find X with time less than $2^{n-r'}$.

- **Phase II:** After we find X in Phase I, we compute $S' = f^{-1}(T_1 \| X)$. As shown in Figure 3, we try to find a collision on the c -bit capacity, i.e., $Trunc(f(f(M_1 \oplus S) \oplus M_2))_c = Trunc(f^{-1}(f^{-1}(M_5 \oplus S') \oplus M_4))_c = Y$, which is called the inner collision. The Floyd's cycle finding algorithm [31] can trivially find it with time complexity of $2^{c/2}$ with negligible memory. Here, we introduce another attack that may find the inner collision with time below $2^{c/2}$.

We fix t -bit of the capacity state Y as constants (e.g., zeros), then perform two MitM attacks (denoted as forward MitM and backward MitM, also following Qin et al.'s framework) to find $M_1 \| M_2$ and $M_4 \| M_5$, respectively, to meet those t -bit zeros. Suppose the MitM attack finds $2^{\frac{c-t}{2}}$ $M_1 \| M_2$ where the corresponding t bits are zero, and store those $M_1 \| M_2$ in L_1 indexed by the unfixed $c-t$ capacity bits, denoted as Y_1 . Build similar table L_2 storing $M_4 \| M_5$ indexed by Y_2 (unfixed $c-t$ capacity bits). Match the two tables L_1 and L_2 to produce a $M_1 \| M_2 \| M_4 \| M_5$, and then compute the last block M_3 . The time complexity to build L_1 is

$$\mathcal{C}_1 + 2^{t - \min(d_{\mathcal{R}}^{L1}, d_{\mathcal{B}}^{L1}, m^{L1})} \cdot 2^{\frac{c-t}{2}} = \mathcal{C}_1 + 2^{\frac{c}{2} + \frac{t}{2} - \min(d_{\mathcal{R}}^{L1}, d_{\mathcal{B}}^{L1}, m^{L1})}, \quad (3)$$

and similarly, the time complexity to build L_2 is

$$\mathcal{C}_2 + 2^{t - \min(d_{\mathcal{R}}^{L2}, d_{\mathcal{B}}^{L2}, m^{L2})} \cdot 2^{\frac{c-t}{2}} = \mathcal{C}_2 + 2^{\frac{c}{2} + \frac{t}{2} - \min(d_{\mathcal{R}}^{L2}, d_{\mathcal{B}}^{L2}, m^{L2})}, \quad (4)$$

where \mathcal{C}_1 and \mathcal{C}_2 are the time complexities of assigning conditions for these two MitM attacks. If \mathcal{C}_1 and \mathcal{C}_2 are smaller enough than $2^{c/2}$, we only consider the second parts of Equation (3) and (4). When $\min(d_{\mathcal{R}}^{L1}, d_{\mathcal{B}}^{L1}, m^{L1}) > \frac{t}{2}$ and $\min(d_{\mathcal{R}}^{L2}, d_{\mathcal{B}}^{L2}, m^{L2}) > \frac{t}{2}$, the overall time complexity will be less than $2^{c/2}$.

Remark. In **Phase II**, there are two independent forward and backward MitM attacks, and share a common partial fixed value (e.g., t -bit zeros) in the inner part, which should be chosen carefully so that both the two MitM attacks work efficiently.

Generally, for the generalized sponge with parameters (n, b, c, r, r') described in Section 2.1, the tight preimage security bound was proved to be $Time \approx \min\{\max\{2^{n-r'}, 2^{c/2}\}, 2^n\}$ [42]. To beat the general bound, we need to consider the following popular cases:

- Case I: If $n - r' < c/2 < n$, the general bound is $2^{c/2}$ -bit. Therefore, we only need to perform MitM attack in **Phase II** to derive attack better than general bound. Typical example is SPHINCS⁺-Haraka [7] with $n = 256, r = 256, c = 256, r' = 256$.
- Case II: If $n - r' = c/2$, the general bound is both reached as $2^{n-r'}$ (CICO problem in squeezing phase) and $2^{c/2}$ -bit (birthday problem in absorbing phase). Therefore, we need to perform both MitM attacks in **Phase I** and **Phase II** to derive attack better than general bound. Typical examples are Xoodyak-Hash [17] and Gimli-Hash [8] with $n = 256, c = 256, r = r' = 128$.
- Case III: If $n - r' > c/2$, the general bound is $2^{n-r'}$. Therefore, we only need to perform MitM attack in **Phase I**, and perform Floyd’s cycle finding algorithm in **Phase II** to get preimage attack with time lower than $2^{n-r'}$. This case is very popular in hash designs. Examples include Ascon-Hash [27], PHOTON [36], SPONGENT [11], ACE- \mathcal{H} -256 [1], etc.

Following the new MitM framework, we give the new preimage attacks on round-reduced SPHINCS⁺-Haraka, Ascon-Hash, PHOTON, PHOTON-Beetle-Hash, ACE- \mathcal{H} -256, Subterranean 2.0, SPONGENT, etc. Most of the attacks are the first known cryptanalysis results on preimage attacks on the corresponding hash functions.

4 MitM Collision Attack on Sponge Constructions

For collision attack on sponge constructions, the popular method is the differential attack, e.g., collision attacks on Keccak [25,26,34,60] and Ascon [33]. In this section, we introduce a new collision attack framework for sponge constructions based on MitM approach. The new framework immediately improves the collision attack on Ascon-Hash from the best previous 2 rounds [33] to the current 4 rounds, and also leads to the first collision attack on 3-round Xoodyak-Hash. In this section, we give two MitM collision frameworks for sponge construction as shown in Figure 4 and 5.

- For **Collision Framework I** in Figure 4, the attacker tries to find two messages that output the same n -bit target T directly. Generally, the birthday attack can find the collision with complexity of $2^{n/2}$. When applying the MitM approach as shown in Section 2.3, the attacker first fixes t -bit target

and find $2^{(n-t)/2}$ t -bit partial target preimages with a MitM approach, then a collision exists among those messages. If the MitM finds one partial target preimage with time complexity \mathcal{C}_I smaller than $2^{t/2}$, then the overall time complexity is $\mathcal{C}_I \cdot 2^{(n-t)/2} < 2^{n/2}$.

- For **Collision Framework II** in Figure 5, the attacker tries to find two messages that lead to a full state collision. Since the r -bit outer part can be modified freely by M_3 , the full state collision can be achieved when the c -bit inner part collides, which is called inner collision. Trivially, a birthday attack can generate the inner collision in $2^{c/2}$. When applying the MitM approach, the attacker first fixes t -bit target and find $2^{(c-t)/2}$ t -bit partial target preimages with a MitM approach, then an inner collision exists among those messages. If the MitM finds one partial target preimage with time complexity \mathcal{C}_{II} smaller than $2^{t/2}$, then the overall time complexity is $\mathcal{C}_{II} \cdot 2^{(c-t)/2} < 2^{c/2}$.

In the generalized sponge constructions, the collision security is $\min\{2^{c/2}, 2^{n/2}\}$.

- If $n > c$, the **Collision Framework II** is applied to find a collision with time less than the generic bound $2^{c/2}$. Example case is the eXtensible Output Function (XOF) when the output size is larger than c , like Xoodyak-XOF, Ascon-XOF, etc.
- If $n = c$, the attacker can choose **Collision Framework I** or **II** to find a better attack. Example cases are the most popular hash functions, like Ascon-Hash, Xoodyak-Hash, etc.
- If $n < c$, **Collision Framework I** should be applied. Example case is the extendable output functions (XOFs) when the output size is smaller than c .

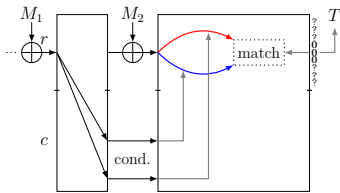


Fig. 4: Collision Framework I

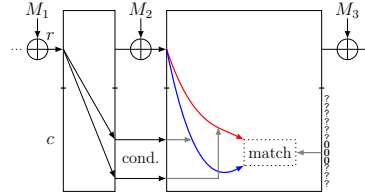


Fig. 5: Collision Framework II

Comparison between MitM preimage and collision attack. In Qin et al.'s MitM preimage attack [49], given the n -bit target, the attacker inverts it to build the m -bit matching equation. Then, the complexity of preimage attack is about 2^{n-m} by assuming enough degrees of freedom of the two sets of neutral bits.

For our MitM collision attack, we have to choose t -bit partial target (or inner part) to be fixed and invert it to get m -bit matching equation ($m \leq t < n$).

Assuming enough degrees of freedom of the neutral bits again, the attacker finds one t -bit target preimage with 2^{t-m} complexity on average. Then, to perform the birthday attack on the remaining $n - t$ bits, one needs $2^{(n-t)/2}$ t -bit target preimages, i.e., the time is at least $2^{t-m} \cdot 2^{(n-t)/2} = 2^{n/2+t/2-m}$. Therefore, $t/2$ should be smaller than m to beat the birthday bound $2^{n/2}$, i.e., $t/2 < m \leq t$, and $m = t$ is the optimal case. However, it is not trivial to find m -bit matching with $t/2 < m \leq t$ by fixing t -bit target.

For example, in Qin et al.’s attack on Keccak [49], their 1-bit matching equation (see equation (14) of [49]) is nonlinearly related to 4 target bits, which is of the form like $f_1(\mathcal{B}) + g_1(\mathcal{R}) + x_1 \cdot (f_2(\mathcal{B}) + g_2(\mathcal{R})) = x_2 + x_3 + x_1 \cdot x_4$, where functions $f_1(\mathcal{B})$ and $f_2(\mathcal{B})$ depend on blue neutral bits, $g_1(\mathcal{R})$ and $g_2(\mathcal{R})$ depend on red, and x_1, x_2, x_3, x_4 are four target bits. In order to derive the matching equation (a deterministic relation between red and blue bits), one can fix $x_1 = 0$ and $x_2 + x_3 = \text{constant}$, or $x_1 = 1$ and $x_2 + x_3 + x_4 = \text{constant}$, i.e., at least $t = 2$ target bits have to be fixed to derive the $m = 1$ bit matching. This is fine for the preimage attack, such as Qin et al.’s successful preimage attack on 4-round Keccak [49]. But it is infeasible for the collision attack, since $t/2 = 1 = m$. This is the difference between MitM preimage and collision attack, i.e., one good MitM preimage configuration may not trivially be converted into MitM collision attack.

5 Preimage Attack on 4-round SPHINCS⁺-Haraka

Haraka v2 [41] is a short-input AES-like hash function, which is built by employing a 256 or 512-bit permutation and the DM construction. However, in practical application of SPHINCS⁺ [7], one of the 4 selected algorithms of the NIST post-quantum standardization process, Haraka v2 is integrated as SPHINCS⁺-Haraka, which is a sponge-based hashing based on the 512-bit permutation of Haraka v2, but it was removed from the latest standardization document. The internal state of the 512-bit permutation is the concatenation of 4 AES states, and each round (total 5) applies two AES rounds individually on the states, followed by a MIX operation:

$$0, \dots, 15 \mapsto (3, 11, 7, 15), (8, 0, 12, 4), (9, 1, 13, 5), (2, 10, 6, 14).$$

SPHINCS⁺-Haraka has the configuration ($b = 512, n = c = r = r' = 256$). The claimed security level is 128 bits preimage attack. At CRYPTO 2022, Schrottenloher and Stevens [54] proposed a 3.5-round (out of the full 5 rounds) quantum preimage attack. However, no classical attack exists for reduced SPHINCS⁺-Haraka till now. In this section, we mount the first classical preimage attack on 4-round SPHINCS⁺-Haraka without the last MIX operation based on our new MitM preimage framework in Section 3. We recall the new MitM framework in Figure 3. The attack procedures of two phases are given as follows.

- **Phase I:** Since the 256-bit digest is produced at once, i.e. T_1 , we only need randomly set the value of 256-bit inner part X , and inversely compute $f^{-1}(T_1 \| X)$.

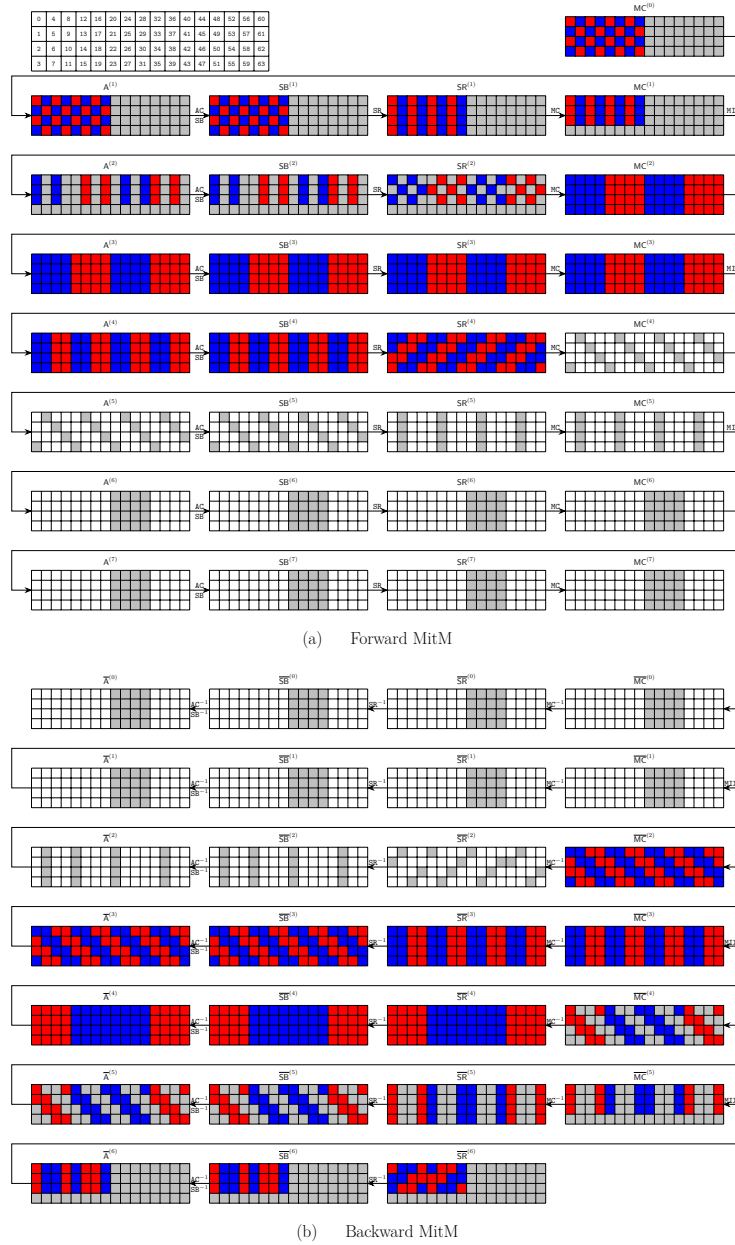


Fig. 6: The 4-round MitM attack on SPHINCS⁺-Haraka

– **Phase II:** In the forward MitM of Phase II (Figure 6(a)), the starting state is $A^{(2)} = \text{MIX}(\text{R}_{\text{AES}}(\text{R}_{\text{AES}}(A^{(0)})))$, where the message block (M_2 in Figure 3) is absorbed in $A^{(0)}[0-31]$. In the backward MitM of Phase II (Figure 6(b)), the starting state is $\overline{\text{MC}}^{(5)} = \text{MIX}^{-1}(\text{R}_{\text{AES}}^{-1}(\text{R}_{\text{AES}}^{-1}(\overline{\text{MC}}^{(7)})))$, where another message block (M_4 in Figure 3) is absorbed in $\overline{\text{MC}}^{(7)}[0-31]$. After the MitM attacks find proper states for the starting states $A^{(2)}$ (forward) and $\overline{\text{MC}}^{(5)}$ (backward), we can compute the corresponding message blocks M_2 and M_4 . Note that the \blacksquare bytes of the $A^{(2)}$ and $\overline{\text{MC}}^{(5)}$ can be deduced from the inner part of $A^{(0)}$ and $\overline{\text{MC}}^{(7)}$, respectively. Fix the 16-byte $\text{MC}^{(7)}[32-47]$ and $\overline{A}^{(0)}[32-47]$ as zeros. Then, perform the forward and backward MitM attacks to find $M_1||M_2$ stored in L_1 and $M_4||M_5$ stored in L_2 to satisfy those 16 zero bytes. We detail the forward MitM in Algorithm 1 with a time complexity of about 2^{97} and a memory complexity of 2^{96} . The time and memory is the same for the backward MitM. Then find a match between L_1 and L_2 to get a right $M_1||M_2||M_4||M_5$, and then compute M_3 . The memory of storing L_1 or L_2 is 2^{64} . The time complexity of Algorithm 1 is analyzed as follows:

- In Line 5, the time complexity to build U is $2^{12 \times 8 = 96}$.
- In Line 9, the time complexity to traverse 12 \blacksquare bytes, derive M_2 and build L_1 is 2^{96} . The memory to store L_1 is 2^{64} .

The overall time complexity to perform the preimage attack on 4-round SPHINCS⁺-Haraka is 2^{98} and the memory is 2^{96} .

Algorithm 1: Forward MitM in the Attack on SPHINCS⁺-Haraka

```

1 Set  $\text{MC}^{(7)}[32-47] = 0$ .
2 Given the value of the 8 columns  $\blacksquare$  bytes of  $A^{(2)}$  determined by  $M_1$  and fixing
  the other 8  $\blacksquare$  bytes as 0
3 Compute the 16  $\blacksquare$  bytes of  $\text{MC}^{(4)}$  from  $\text{MC}^{(7)}[32-47]$ , denoted by  $\text{MC}_m^{(4)}$ .
4  $U \leftarrow []$ 
5 for each value of 12  $\blacksquare$  bytes in  $A^{(2)}[20-22, 28-30, 48-50, 56-58]$  do
6   Compute forward to  $\text{SR}^{(4)}$ , and derive the 16 matching bytes, i.e.,  $\text{MC}^{(4)}[3,$ 
   4, 9, 14, 19, 20, 25, 30, 35, 36, 41, 46, 51, 52, 57, 62], denoted by  $\text{MC}_{mr}^{(4)}$ .
7    $U[\text{MC}_{mr}^{(4)}] \leftarrow A^{(2)}[20-22, 28-30, 48-50, 56-58]$ .
8 end
9 for each value of 12  $\blacksquare$  bytes in  $A^{(2)}[0-2, 8-10, 36-38, 44-46]$  do
10  Compute forward to  $\text{SR}^{(4)}$ , and derive the 16 matching bytes in  $\text{MC}^{(4)}$ ,
   denoted by  $\text{MC}_{mb}^{(4)}$ 
11  /* The matching equation is  $\text{MC}_m^{(4)} = \text{MC}_{mb}^{(4)} \oplus \text{MC}_{mr}^{(4)}$  */
12  Look up  $U[\text{MC}_{mb}^{(4)} \oplus \text{MC}_m^{(4)}]$ , construct  $M_2$  and store it in  $L_1$  indexed by
   unfixed 16 bytes in  $\text{MC}^{(7)}[48-63]$ .
13 end

```

6 Preimage and Collision Attacks on Reduced Ascon-Hash

The 320-bit state A of **Ascon** is split into five 64-bit words, and denote $A_{\{x,y\}}^{(r)}$ to be the x -th (column) bit of the y -th (row) 64-bit word, where $0 \leq y \leq 4$, $0 \leq x \leq 63$. The round function consists of three operations p_C (add constants), p_S (Sbox layer), and p_L (linear layer). Denote the internal states of round r as $A^{(r)} \xrightarrow{p_S \circ p_C} S^{(r)} \xrightarrow{p_L} A^{(r+1)}$. The full description and symbols of **Ascon-Hash** are given in Supplementary Material **B**.

6.1 Preimage attack on Reduced Ascon-Hash

At CRYPTO 2022, Lefevre and Mennink [42] proved that the NIST Lightweight Cryptography standard **Ascon-Hash** ($b = 320, r = r' = 64, c = 256, n = 256$) does not generically achieve 2^{128} preimage security as claimed, but even 2^{192} preimage security. In this section, we apply the new MitM preimage attack framework introduced in Section 3 to explore round-reduced preimage attacks with complexity lower than 2^{192} . We first give an advanced matching strategy and introduce 3-/4-/5-round preimage attacks on **Ascon-Hash**.

New matching strategy for preimage. In Qin et al.’s model [49], the deterministic relations between the two neutral sets, which act as matching, are derived by the last Sbox layer. Suppose $(a_0, a_1, a_2, a_3, a_4)$ and $(b_0, b_1, b_2, b_3, b_4)$ are the input and output of Sbox. Let b_0 be the known target bit (because the first row of the state is output as target), we have $b_0 = a_4a_1 + a_3 + a_2a_1 + a_2 + a_1a_0 + a_1 + a_0$. Qin et al. gave the observation that there exists a 1-bit matching if there is no unknown \square bit in $(a_0, a_1, a_2, a_3, a_4)$ and no product of \blacksquare and \blacksquare bits, i.e., (a_1, a_4) , (a_0, a_1) , and (a_0, a_1) should not be pair of $(\blacksquare, \blacksquare)$, $(\blacksquare, \blacksquare)$, or $(\blacksquare, \blacksquare)$, etc. In this case, equation of the form $g_B = g_R$ can be derived by b_0 , where g_B is determined by the \blacksquare neutral set, and g_R is determined by \blacksquare neutral set. $g_B = g_R$ is applied as 1-bit matching.

Here we introduce a more efficient matching strategy. Let $b_0 = a_1(a_4 + a_2 + a_0) + a_3 + a_2 + a_1 + a_0$, then we give the following observation:

Observation 1 *If $(a_0, a_1, a_2, a_3, a_4)$ satisfies the following conditions, there exists a 1-bit matching:*

1. *There is no \square bit in $(a_0, a_1, a_2, a_3, a_4)$.*
2. *There is no product of \blacksquare and \blacksquare , i.e., $(a_1, a_4 + a_2 + a_0)$ should not be $(\blacksquare, \blacksquare)$, $(\blacksquare, \blacksquare)$, $(\blacksquare, \blacksquare)$, or opposite order.*

3-round Preimage Attack on Ascon-Hash. Following the MitM framework given in Figure 3, we perform the 3-round preimage attack on **Ascon-Hash**.

In **Phase I**, the squeezing phase outputs four 64-bit blocks (the first row of the state) as 256-bit target, i.e., $T = T_1 \| T_2 \| T_3 \| T_4$. We place a 3-round MitM phase in the permutation to squeeze T_2 (similar to Figure 3). As shown in Figure

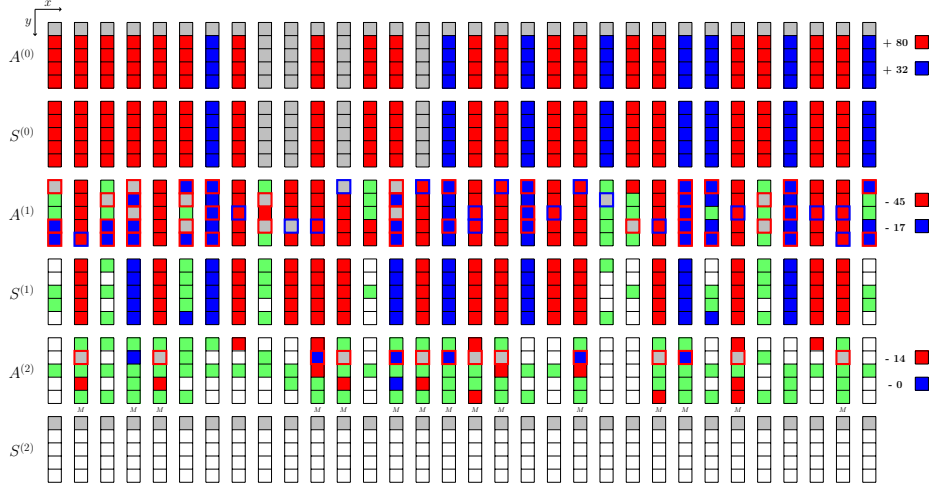


Fig. 7: The 3-round Preimage attack on ASCON-Hash

7, we explore the symmetry in x -axis to speedup the search by cutting the full 64-bit word into 32-bit word. Therefore, the linear operation works modular 32 instead of 64. For example, the linear operation in the second row changes from the original $A_{\{*,1\}}^{(r+1)} \leftarrow S_{\{*,1\}}^{(r)} \oplus (S_{\{*,1\}}^{(r)} \ggg 61) \oplus (S_{\{*,1\}}^{(r)} \ggg 39)$ into the current $A_{\{*,1\}}^{(r+1)} \leftarrow S_{\{*,1\}}^{(r)} \oplus (S_{\{*,1\}}^{(r)} \ggg 29) \oplus (S_{\{*,1\}}^{(r)} \ggg 7)$. It is very time consuming to solve a full MILP model. By exploring the symmetry, the model is reduced and easy to solve. But this strategy may miss paths and may be improved by different searching algorithm, e.g., [20].

Our automatic search finds a 3-round MitM characteristic shown in Figure 7. Due to the symmetry, in the full MitM path, the starting state $A^{(0)}$ contains 64 ■ bits and 160 ■ bits. The first row of $A^{(0)}$ is fixed as T_1 (marked as ■), and the remaining 32-bit ■ can be freely chosen. In the computation from $A^{(0)}$ to $A^{(2)}$, the consumed degree of freedoms (DoFs) of ■ and DoFs of ■ are 118 and 34, respectively. Additional, there are 12 consumed DoFs of ■ to make $a_0 + a_2 + a_4$ of $A^{(2)}$ become ■ or ■ for matching points. Therefore, $d_B = d_{\mathcal{R}} = 30$, and there are 30 matching bits. The 3-round MitM attack is given in Algorithm 2.

Analysis of Algorithm 2. In Line 13 to 21, $2^{130+30+30+\zeta}$ states are tested against the 192-bit $T_2||T_3||T_4$, therefore, $\zeta = 2$ is enough to find a preimage. In Line 8, we choose fixed c_B to eliminate their influence on the computation of $c_{\mathcal{R}} \in \mathbb{F}_2^{130}$ and the 30-bit matching point (those values will determined by ■/■ as well as ■/■) for 2^{160} red bits in $A^{(0)}$ in Line 10.

- The Line 4 to 6, the time complexity is $2^{2+64} \times \frac{16}{3 \times 64} = 2^{66} \times 2^{-3.58} = 2^{62.42}$ 3-round Ascon. The fraction $\frac{16}{3 \times 64}$ means that we only need to compute the 16 Sboxes related to ■ bits in the first round, and 3-round Ascon has a total of 3×64 Sboxes.

Algorithm 2: Preimage Attack on 3-round Ascon-Hash: Phase I

```

1 Fix the first row of  $A^{(0)}$  as  $T_1$ 
2 for  $2^\zeta$  values of the 32-bit free gray bits in  $A^{(0)}$  do
3   /* Precomputation */
4   for  $2^{64}$  values of the blue bits  $v_B$  in  $A^{(0)}$  do
5     Compute forward to determine the 34-bit blue/red (denoted as  $c_B \in \mathbb{F}_2^{34}$ )
        in  $A^{(1)}$ . E.g., in the red bit  $A_{\{30,2\}}^{(1)} = S_{\{30,2\}}^{(0)} \oplus S_{\{29,2\}}^{(0)} \oplus S_{\{24,2\}}^{(0)}$ , the
         $S_{\{24,2\}}^{(0)}$  should be gray to make the  $A_{\{30,2\}}^{(1)}$  independent of blue bits,
        which consumes 1 DoF of blue. This is actually done by computing the
        24-th Sbox, where there are 4 input blue bits and output one gray bit
         $S_{\{24,2\}}^{(0)}$  by consuming 1 DoF of blue. Then,  $S_{\{24,2\}}^{(0)}$  is one bit of the
        34-bit  $c_B$ 
6     Store the 64-bit blue values  $v_B$  of  $A^{(0)}$  in  $U[c_B]$ 
7   end
8   Choose an index  $c_B$ , e.g.,  $c_B = 0$ , there expected  $2^{64-34}$  elements in  $U[0]$ 
9   /* In the following, we always fix  $c_B$  as 0 */
10  for  $2^{160}$  values of the red bits  $v_R$  in  $A^{(0)}$  do
11    Compute forward to determine the 130-bit red/blue (denoted as
         $c_R \in \mathbb{F}_2^{130}$ ) and the 30-bit matching point. Build the table  $V$  and store
        the 160-bit red  $v_R$  of  $A^{(0)}$  as well as the 30-bit matching point in  $V[c_R]$ 
12  end
13  for  $c_R \in \mathbb{F}_2^{130}$  do
14    Retrieve the  $2^{30}$  elements of  $V[c_R]$  and restore  $v_R$  in  $L_1$  under the
        index of 30-bit matching point
15    for  $2^{30}$  values  $v_B$  in  $U[0]$  do
16      Compute forward to the 30-bit matching point and store  $v_B$  in  $L_2$ 
        indexed by the 30-bit matching point.
17    end
18    for values matched between  $L_1$  and  $L_2$  do
19      if  $T_2$  is satisfied then
20        Check if  $T_3 \parallel T_4$  is satisfied
21      end
22    end
23  end
24 end

```

- The Line 10 to 11, the time complexity is $2^{2+160} \times \frac{90}{3 \times 64} = 2^{162} \times 2^{-1.09} = 2^{160.91}$ 3-round Ascon.
- The Line 14, the time complexity is $2^{2+130+30} \times \frac{1}{3 \times 64} = 2^{162} \times 2^{-7.58} = 2^{154.42}$ 3-round Ascon. The fraction $\frac{1}{3 \times 64}$ is because we assume that inserting an element into L of size 2^{30} is equivalent to one Sbox operation.
- The Line 16, the time complexity is $2^{2+130+30} \times \frac{50}{3 \times 64} = 2^{162} \times 2^{-1.94} = 2^{160.06}$ 3-round Ascon. The $\frac{50}{3 \times 64}$ is because we only need to compute 50 Sboxes to determine the 30-bit matching point.
- The Line 21, the time complexity is $2^{2+130+30} = 2^{162}$ 3-round Ascon.

In **Phase II**, it is trivial to find an inner collision for the 256-bit capacity with the Floyd’s cycle finding algorithm [31] with 2^{128} time and no memory.

Therefore, the total preimage attack on 3-round Ascon-Hash needs $2^{62.42} + 2^{160.91} + 2^{154.42} + 2^{160.06} + 2^{162} + 2^{128} \approx 2^{162.80}$ time and $2^{64} + 2^{160} + 2^{30} + 2^{30} \approx 2^{160}$ memory.



Fig. 8: The 4-round Preimage attack on ASCON-Hash

4-/5-round Preimage Attack on Ascon-Hash. The 4-round MitM characteristic shown in Figure 8. Due to the symmetry, in the full MitM path, the starting state $A^{(0)}$ contains 16 blue bits and 184 red bits. The first row of $A^{(0)}$ is fixed as T_1 (marked as \blacksquare), and the remaining 56-bit \blacksquare can be freely chosen. In the computation from $A^{(0)}$ to $A^{(2)}$, the consumed degree of freedom (DoFs) of

■ and DoFs of ■ are 162 and 8, respectively. Additional, there are 8 consumed DoFs of ■ to make $a_0 + a_2 + a_4$ become ■ or ■ for matching points. Therefore, $d_B = 8$, $d_R = 14$, and there are 8 matching bits. The steps for the 4-round MitM attack is very similar to the 3-round attack. We refer the readers to **Supplementary Material C** for detailed analysis (including a detailed 4-round attack in Algorithm 8.). The total preimage attack on 4-round **Ascon-Hash** needs about $2^{184.85}$ time and 2^{178} memory.

The 5-round Preimage Attack on **Ascon-Hash** is given in **Supplementary Material C**, whose complexity is about $2^{191.31}$ time and 2^{190} memory.

6.2 Collision attack on 3 and 4-round attack on Ascon-Hash

According to Section 4, we have two collision frameworks. In **Collision Framework I** shown in Figure 4, the first row of **Ascon** state is the output, we have to apply the Observation 1 to perform the MitM collision attack. In **Collision Framework II** shown in Figure 5, we try to find messages that collide in the 256-bit capacity part. Therefore, we can fix partial capacity bits and find its preimage. For the Sbox, we can fix the output bits of the capacity part, i.e., any of (b_1, b_2, b_3, b_4) , and derive matching equations. In this case, we have a new matching strategy.

Observation 2 (Matching Strategy for Collision Framework II) *According to Eqn. (8), if we fix $b_1 = b_2 = b_3 = b_4 = 0$, then $a_0 = 1$, $a_1 \oplus a_2 = 1$, $a_3 = 0$ and $a_4 = 0$ can be derived. If there are no unknown □ bit in $(a_0, a_1, a_2, a_3, a_4)$, we can immediately obtain 4 matching equations.*

Obviously, the conditions of Observation 2 is much weaker than that of Observation 1. Therefore, it is more likely to produce better results. In our practical search for MitM path, we find better results when applying the **Collision Framework II** by exploring the new matching strategy.

3-round Collision Attack on Ascon-Hash. The 3-round MitM path in Figure 9 can be used to build collision attacks on **Ascon-Hash**, where the starting state $A^{(0)}$ contains 24 ■ bits and 36 ■ bits, $d_B = 24$, $d_R = 24$, and $m = t = 24$. There are totally 32 conditions on ■ of $A^{(0)}$, i.e., $\mu = 32$, which are listed in Table 2 in **Supplementary Material C**.

We give the MitM collision attack on 3-round **Ascon-Hash** in Algorithm 3. We use three message blocks (M_1, M_2, M_3) to conduct the collision attack, and the MitM procedure is placed at the 3rd block. In one MitM episode in Line 12 to Line 19, $2^{d_R+d_B-t} = 2^{24+24-24} = 2^{24}$ partial target preimages are expected to obtain. We need $2^{(n-t)/2-(d_R+d_B-t)} = 2^{(256-24)/2-24} = 2^{92}$ MitM episodes to build the collision attack, i.e., $2^{\zeta-32+4+12} = 2^{92}$ and $\zeta = 108$. The time complexity of steps in Alg. 3 are analyzed below:

- In Line 3, the time complexity is $2^{108} \times 2 = 2^{109}$ 3-round **Ascon**.

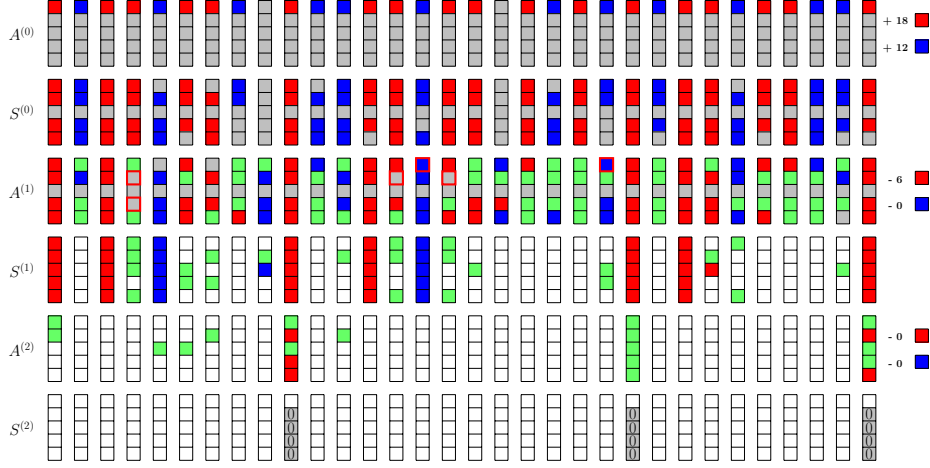


Fig. 9: The 3-round Collision attack on ASCON-Hash

- In Line 7, the time complexity is $2^{\zeta-32+4} \times \frac{1}{3} = 2^{80} \times 2^{-1.58} = 2^{78.42}$ 3-round Ascon.
- In Line 9, the time complexity is $2^{\zeta-32+4+36} \times \frac{74}{192} = 2^{116} \times 2^{-1.38} = 2^{114.62}$ 3-round Ascon.
- In Line 12, the time complexity is $2^{\zeta-32+4+12+24} \times \frac{1}{192} = 2^{116} \times 2^{-7.58} = 2^{108.42}$ 3-round Ascon.
- In Line 14, given 24 ■ bits of $A^{(0)}$, the time of computing the 24-bit matching point is $24 + 28 = 52$ Sbox applications. Therefore, the time of Line 14 is $2^{\zeta-32+4+12+24} \times \frac{(24+28)}{192} = 2^{116} \times 2^{-1.88} = 2^{114.12}$ 3-round Ascon.
- In Line 17, the time complexity is $2^{\zeta-40+10+6+24} = 2^{116}$ 3-round Ascon.

The total time complexity is $2^{109} + 2^{78.42} + 2^{114.62} + 2^{108.42} + 2^{114.12} + 2^{116} \approx 2^{116.74}$ 3-round Ascon. The memory is $2^6 + 2^{116} = 2^{116}$ to store U and L .

Experiment on the 3-round Collision Attack. Since the full 3-round attack has an impractical complexity, we only implement one MitM episode to verify the full attack indirectly. According to Algorithm 3, we first fix 24-bit target as 0 in Line 1. Choose all the ■ bits in $A^{(0)}$ to satisfy the 32-bit conditions directly, and fix all other ■ to zero. In Line 9, we prepare the table U , since only one MitM episode is performed, we only store $U[c_{\mathcal{R}}]$ with $c_{\mathcal{R}} = 0$, which needs 2^{24} memory cost. In the MitM episode between Line 12 to 17, 2^{24} time complexity is needed to produce 2^{24} preimages, whose 24-bit target value in $S^{(2)}$ is 0. Obviously, to find 2^{24} preimages with a 24-bit target fixed as 0, a brute-force search takes $2^{24+24=48}$ time. The source codes and results are available via https://github.com/boxindev/MitM_attack_sponge.

We deploy the experiment on a computer with i9-13900KF CPU and 32GB memory. In each experiment, the time of precomputation, i.e., building table U , is about 8500 seconds. Then, a MitM episode takes about 9 seconds, and about

$2^{24 \cdot 0.067}$ preimages are produced with 24-bit 0 in $S^{(2)}$. We list 10 examples in Table 4 in Supplementary Material C, since all the \blacksquare except for 32 conditions are set to zero in this experiment, the last 4 rows of message are fixed.

Algorithm 3: Collision Attack on 3-round Ascon-Hash

```

1 Fix the 24 bits 0 in  $S^{(2)}$  as shown in Figure 9 in order to build the matching
  points
2 for  $2^{\zeta}$  values of  $(M_1, M_2)$  do
3   Compute the inner part of the state after absorbing  $M_2$  and applying the
  permutation
4   if the 32 conditions are satisfied /* probability of  $2^{-32}$  */
5   then
6     for  $2^{64-24-36} = 2^4$  values of the  $\blacksquare$  bits in  $M_3$  do
7       Compute the  $\blacksquare$  bits in  $A^{(0)}$  to  $A^{(1)}$ , except those  $\blacksquare$  bits
8       for  $2^{36}$  values of the  $\blacksquare$  bits  $v_{\mathcal{R}}$  in  $A^{(0)}$  do
9         Compute forward to determine the 12-bit  $\blacksquare/\blacksquare$  (denoted as
           $c_{\mathcal{R}} \in \mathbb{F}_2^{12}$ ), and the 24-bit matching point. Build the table  $U$ 
          and store the 36-bit  $\blacksquare$   $v_{\mathcal{R}}$  of  $A^{(0)}$  as well as the 24-bit
          matching point in  $U[c_{\mathcal{R}}]$ 
10        end
11        for  $c_{\mathcal{R}} \in \mathbb{F}_2^{12}$  do
12          Retrieve the  $2^{24}$  elements of  $U[c_{\mathcal{R}}]$  and restore  $v_{\mathcal{R}}$  in  $L_1$  under
          the index of 24-bit matching point
13          for  $2^{24}$  values of the  $\blacksquare$  bits  $v_{\mathcal{B}}$  do
14            Compute forward to the 24-bit matching point and store
              $v_{\mathcal{B}}$  in  $L_2$  indexed by the 24-bit matching point
15          end
16          for values matched between  $L_1$  and  $L_2$  do
17            Compute the 256-bit capacity  $c$  from the matched  $\blacksquare$  and  $\blacksquare$ 
             bits and store the  $(M_1, M_2, M_3, c)$  in  $L$  indexed by  $c$ 
18            if the size of  $L$  is  $2^{(n-t)/2} = 2^{116}$  then
19              Check  $L$  and return  $(M_1, M_2, M_3)$  and  $(M'_1, M'_2, M'_3)$ 
              with the same  $c$ 
20            end
21          end
22        end
23      end
24    end
25 end

```

4-round Collision Attack on Ascon-Hash. The 4-round MitM path in Figure 10 can be used to build collision attacks on Ascon-Hash, where the starting state $A^{(0)}$ contains 8 \blacksquare bits and 54 \blacksquare bits, $d_{\mathcal{B}} = 8$, $d_{\mathcal{R}} = 54 - 46 = 8$, and $m = t = 8$.

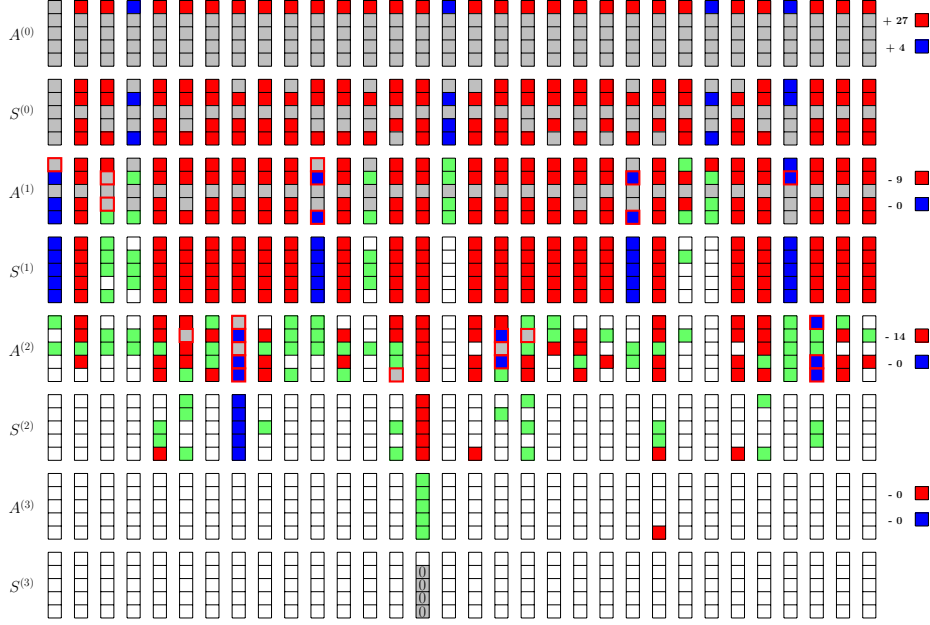


Fig. 10: The 4-round Collision attack on ASCON-Hash

There are totally 52 conditions on \blacksquare of $A^{(0)}$, *i.e.*, $\mu = 52$, which are listed in Table 3 in Supplementary Material C.

We give the MitM collision attack on 4-round Ascon-Hash in Algorithm 4. We also use three message blocks (M_1, M_2, M_3) to conduct the collision attack, and the MitM procedure is placed at the 3rd block. In one MitM episode in Line 12 to Line 20, $2^{d_{\mathcal{R}}+d_{\mathcal{B}}-t} = 2^{8+8-8} = 2^8$ partial target preimages are expected to obtain. We need $2^{(n-t)/2-(d_{\mathcal{R}}+d_{\mathcal{B}}-t)} = 2^{(256-8)/2-8} = 2^{116}$ MitM episodes to build the collision attack, *i.e.*, $2^{\zeta-52+2+46} = 2^{116}$ and $\zeta = 120$. The time complexity of steps in Alg. 4 are analyzed below:

- In Line 3, the time complexity is $2^{120} \times 2 = 2^{121}$ 4-round Ascon.
- In Line 7, the time complexity is $2^{\zeta-52+2} \times \frac{1}{3} = 2^{70} \times 2^{-1.58} = 2^{68.42}$ 4-round Ascon.
- In Line 9, the time complexity is $2^{\zeta-52+2+54} \times \frac{(54+52+24)}{256} = 2^{124} \times 2^{-0.98} = 2^{123.02}$ 4-round Ascon.
- In Line 12, the time complexity is $2^{\zeta-52+2+46+8} \times \frac{1}{256} = 2^{116}$ 4-round Ascon.
- In Line 14, given 8 \blacksquare bits of $A^{(0)}$, the time of computing the 8-bit matching point is $8 + 16 + 20 = 44$ Sbox applications. Therefore, the time of Line 14 is $2^{\zeta-52+2+46+8} \times \frac{44}{256} = 2^{124} \times 2^{-2.54} = 2^{121.46}$ 4-round Ascon.
- In Line 17, the time complexity is $2^{\zeta-52+2+46+8} = 2^{124}$ 4-round Ascon.

The total time complexity is $2^{121} + 2^{68.42} + 2^{123.02} + 2^{116} + 2^{121.46} + 2^{124} \approx 2^{124.85}$ 4-round Ascon. The memory is $2^{54} + 2^{124} = 2^{124}$ to store U and L .

Algorithm 4: Collision Attack on 4-round Ascon-Hash

```

1 Fix the 8 bits, i.e. the last 4 bits of the output of 14-th, 46-th Sboxes of  $A^{(3)}$ ,
  to build the matching points
2 for  $2^{\zeta}$  values of  $(M_1, M_2)$  do
3   Compute the inner part of the 3rd block
4   if the 52 conditions are satisfied /* probability of  $2^{-52}$  */
5   then
6     for  $2^{64-8-54} = 2^2$  values of the free ■ bits in  $M_3$  do
7       Compute the ■ bits in  $A^{(0)}$  to  $A^{(1)}$ , except those ■ bits
8       for  $2^{54}$  values of the ■ bits  $v_{\mathcal{R}}$  in  $A^{(0)}$  do
9         Compute forward to determine the 46-bit ■/■ (denoted as
           $c_{\mathcal{R}} \in \mathbb{F}_2^{46}$ ), and the 8-bit matching point. Build the table  $U$ 
          and store the 54-bit ■  $v_{\mathcal{R}}$  of  $A^{(0)}$  as well as the 8-bit matching
          point in  $U[c_{\mathcal{R}}]$ .
10      end
11      for  $c_{\mathcal{R}} \in \mathbb{F}_2^{46}$  do
12        Retrieve the  $2^8$  elements of  $U[c_{\mathcal{R}}]$  and restore  $v_{\mathcal{R}}$  in  $L_1$  under
          the index of 8-bit matching point
13        for  $2^8$  values of the ■ bits  $v_{\mathcal{B}}$  do
14          Compute to the 8-bit matching point and store  $v_{\mathcal{B}}$  in  $L_2$ 
            indexed by the 8-bit matching point.
15        end
16        for values matched between  $L_1$  and  $L_2$  do
17          Compute the 256-bit capacity  $c$  from the matched ■ and ■
            bits and store the  $(M_1, M_2, M_3, c)$  in  $L$  indexed by  $c$ 
18          if the size of  $L$  is  $2^{(n-t)/2} = 2^{124}$  then
19            Check  $L$  and return  $(M_1, M_2, M_3)$  and  $(M'_1, M'_2, M'_3)$ 
              with the same  $c$ 
20          end
21        end
22      end
23    end
24  end
25 end

```

Comment on the memory complexity. The straightforward version of the attack requires to store all the preimages generated as shown in Line 17 of Algorithm 3, which makes the memory complexity be of the same order as the time complexity. However, at ASIACRYPT 2012, Khovratovich [38, Section 4] implied that the memoryless collision search methods [56] may be applied, which multiply the time complexity by a small constant without storing the preimages. However, we are unable to figure out the detailed steps of the memoryless approach and leave it as an open problem.

7 Application to SPONGENT

7.1 Sieve-in-the-middle Technique

Usually, the matching of the MitM checks the compatibility between the two sets of neutral bits with a simple equality test (e.g., $g_B = g_R$) at a given round in the hash function [51]. In [46,16], the authors proposed the sieve-in-the-middle that leverages the valid transitions through some middle Sbox. Suppose, for the Sbox S with n -bit input and output pair (x, y) , the attacker is able to compute from the one set of neutral bits (e.g., ■ bits) an $|u|$ -bit vector u that corresponds to a part of input x of S . While compute from the red ■ neutral bits a $|v|$ -bit vector v that corresponds to a part of input y of S . $\mathcal{R}(u, v) = 1$ if and only if (u, v) corresponds to a valid pair of input and output of S . Canteaut et al. [16] gives the following definition and proposition.

Definition 1. Let $S : \mathbb{F}_2^n \mapsto \mathbb{F}_2^n$. Let $I, J \subset \mathbb{F}_2^n$ be two subsets with sizes $|u|$ and $|v|$, respectively. The sieving probability of (I, J) denotes by $\pi_{I, J}$ is the proportion of all elements in $\mathbb{F}_2^{|u|+|v|}$ which can be written as $(x \in I; S(x) \in J)$ for some x . The pair (I, J) is called an $(|u|, |v|)$ -sieve for S if $\pi_{I, J} < 1$.

Proposition 1. Any pair (I, J) of sets of size $(|u|, |v|)$ with $|u| + |v| > n$ is a sieve for S with sieving probability $\pi_{I, J} \leq 2^{n-(|u|+|v|)}$.

There are three algorithms proposed in [46], i.e., *instant matching*, *gradual matching*, and *parallel matching without memory*. In our paper, we only find application of the *instant matching*. Suppose the sizes of the two lists L_B and L_R built from the ■ and ■ neutral bits are 2^{d_B} and 2^{d_R} , respectively. A formal description of instant matching is given in Algorithm 5, the list L_B and L_R are decomposed into l groups. The time complexity is $\pi 2^{d_B+|u|} + \pi 2^{d_B+d_R}$ with memory $2^{d_B} + 2^{d_R}$.

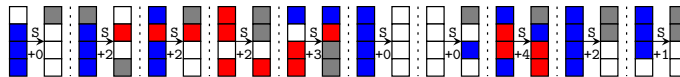


Fig. 11: Some possible colors of match

Algorithm 5: Instant matching

Input: $L_{\mathcal{B}}, L_{\mathcal{R}}$

```

1 Build the tables  $L_j$  such that  $L_j[v_j]$  corresponds to all  $u_j$  with  $R_j(u_j, v_j) = 1$ 
2 for  $(b_1, b_2, \dots, b_l) \in L_{\mathcal{B}}$  /*  $b_i \in L_{\mathcal{B}}^i$  */
3 do
4    $L_{aux} \leftarrow \emptyset$ 
5   for  $i$  from 1 to  $l$  do
6     if  $L_i[b_i]$  is empty then
7       go to Step 2
8     end
9   end
10  Add all tuples  $(a_1, a_2, \dots, a_l)$  with  $a_j \in L_j[b_j], \forall j$ , to  $L_{aux}$ 
11  for  $(a_1, a_2, \dots, a_l) \in L_{aux}$  do
12    if  $(a_1, a_2, \dots, a_l) \in L_{\mathcal{R}}$  then
13      Add  $(a_1, a_2, \dots, a_l, b_1, b_2, \dots, b_l)$  to  $L_{sol}$ 
14    end
15  end
16 end
17 return  $L_{sol}$ 

```

7.2 Introducing Sieve-in-the-middle Technique into Automatic Tool

The key point for introducing sieve-in-the-middle technique into MILP automatic tool is to count the degree of matching. According to Proposition 1 and take the 4×4 Sbox as example, if $|u|$ and $|v|$ bits of the input and output of Sbox are known, and $|u| + |v| > 4$, then the sieve probability $\pi = 2^{4-(|u|+|v|)}$. Therefore, in the MILP model, we let the degree of matching (DoM) for one Sbox be $\delta_{\mathcal{M}} = (|u| + |v|) - 4$ in bits, and the full DoM = $\sum \delta_{\mathcal{M}}$. Figure 11 shows some possible colors of match.

7.3 Description of SPONGENT

SPONGENT lightweight hash function family [12] proposed by Bogdanov et al. at CHES 2011 is based on the generalized sponge construction instantiated with b -bit PRESENT-type permutations. SPONGENT has been selected as ISO standard. The round function consist of Sbox layer with 4-bit Sbox and a bit permutation layer P_b moves bit j of the b -bit state to bit position $P_b(j)$:

$$P_b(j) = \begin{cases} j \cdot b/4 \bmod b - 1, & \text{if } j \in \{0, \dots, b - 2\} \\ b - 1, & \text{if } j = b - 1 \end{cases}$$

The internal states of round r are denoted as $A^{(r)} \xrightarrow{Sbox} S^{(r)} \xrightarrow{P_b} A^{(r+1)}$. We only focus on SPONGENT-88, which adopts $b = 88$ -bit permutation with capacity $c = 80$, rate $r = r' = 8$, and digest $n = 88$. The total number of rounds is 45. The security against preimage and collision is claimed to be 80-bit and

40-bit, respectively. The only cryptanalysis result against one of the 3 basic security properties (preimage, 2nd preimage, or collision) is the designer’s self-cryptanalysis, a rebound attack designed to build a 6-round collision attack on SPONGENT-88. However, the time complexity of the rebound attack is at least $2^{55.2}$ [11, Section 3.2], which is even higher than that of the trivial birthday attack with 2^{40} .

6-round Preimage Attack on SPONGENT-88. The squeezing phase of SPONGENT-88 outputs eleven 8-bit blocks as 88-bit target, i.e., $T = T_1 \| T_2 \| \dots \| T_{11}$. Different from the MitM framework given in Figure 3, we apply inverse permutation for the attack. Denote the output of the permutation when squeezing T_2 is $T_2 \| Y$, then we let state Y be free state and T_1 be fixed as target. Our MitM attack is to find the preimage Y of the target T_1 .

The 6-round MitM characteristic is shown in Figure 12. The first 8 bits of $A^{(0)}$ is fixed as T_1 (marked as \blacksquare), and the remaining 80-bit \square are capacity which are not known. Compute the partial state of the first round forward, 8-bit of $A^{(1)}$ can be deduced (marked as \blacksquare), which can be used for the matching. Since we carry out the MitM attack inversely, the starting state is $A^{(6)}$, which contains 73 \blacksquare bits and 6 \blacksquare bits. In the inverse computation from $A^{(6)}$ to $S^{(1)}$, the consumed degree of freedoms (DoFs) of \blacksquare and DoFs of \blacksquare are 67 and 0, respectively. Therefore, $d_B = 6$, $d_R = 6$. According to the sieve-in-the-middle, the degree of matching is 6, i.e., the DoM=2+2+1+1=6, includes two Sboxes with 4 \blacksquare bits input and 2 \blacksquare bits output (i.e., $S^{(1)}[0, 1, 2, 3] \mapsto A^{(1)}[0, 1, 2, 3]$, $S^{(1)}[20, 21, 22, 23] \mapsto A^{(1)}[20, 21, 22, 23]$), and two Sboxes with 3 \blacksquare bits input and 2 \blacksquare bits output (i.e., $S^{(1)}[44, 45, 46, 47] \mapsto A^{(1)}[44, 45, 46, 47]$, $S^{(1)}[64, 65, 66, 67] \mapsto A^{(1)}[64, 65, 66, 67]$), which are marked by black boxes. The 6-round MitM attack is given in Algorithm 6.

Analysis of Algorithm 6. In Line 10 to 17, $2^{67+6+6+\zeta}$ states are tested against the 80-bit $T_1 \| T_3 \| \dots \| T_{11}$, therefore, $\zeta = 1$ is enough to find a preimage.

- In Line 7, the time complexity is $2^{1+73} \times \frac{20+11+6}{6 \times 22} = 2^{74} \times 2^{-1.83} = 2^{72.17}$ 6-round SPONGENT.
- In Line 12, the time complexity is $2^{1+67+6} \times \frac{35}{6 \times 22} = 2^{74} \times 2^{-1.92} = 2^{72.08}$ 6-round SPONGENT.
- In Line 17, the time complexity is $2^{1+67+6} = 2^{74}$ 6-round SPONGENT.

In **Phase II**, it is trivial to find an inner collision for the 80-bit capacity with the Floyd’s cycle finding algorithm [31] with 2^{40} time and no memory.

Therefore, the total preimage attack on 6-round SPONGENT-88 is $2^{72.17} + 2^{72.08} + 2^{74} + 2^{40} \approx 2^{74.63}$ time and $2^{73} + 2^6 + 2^6 \approx 2^{73}$ memory. We also find a 7-round preimage attack on SPONGENT-88 with $2^{78.59}$ time and 2^{67} memory. Please check the details in Supplementary Material F.

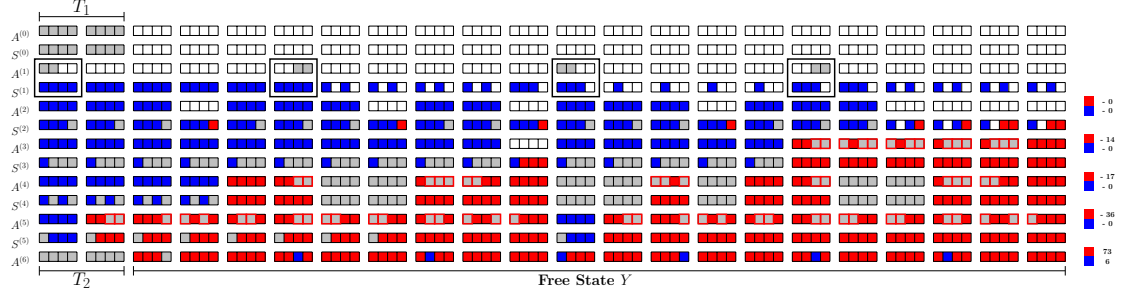


Fig. 12: The MitM preimage attack on 6-round SPONGENT-88

Algorithm 6: Preimage Attack on 6-round SPONGENT-88: Phase I

```

1 Fix the first 8 bits of  $A^{(0)}$  as  $T_1$ , and compute forward to get the 8-bit of  $A^{(1)}$ ,
  which are marked as ■
2 /* According to Alg. 5, prepare tables with valid elements through
   Sbox matching for the 4 Sbox. For simplicity, we build a full
   table containing all valid elements. */
3 Build the table  $L$ , stored  $2^{4-2} \times 2^{4-2} \times 2^{3+1-2} \times 2^{3+1-2} = 2^8$  valid elements
   indexed by  $4 + 4 + 3 + 3 = 14$  blue bits, i.e.,
    $S^{(1)}[0, 1, 2, 3, 20, 21, 22, 23, 44, 45, 46, 64, 65, 66]$ 
4 /* Therefore, under each 14-bit index, there are  $2^8/2^{14} = 2^{-6}$ 
   elements. */
5 for  $2^\zeta$  values of the 1-bit free gray bits in  $A^{(6)}$  do
6   for  $2^{73}$  values of the ■ bits  $v_{\mathcal{R}}$  in  $A^{(6)}$  do
7     Compute backward to determine the 67-bit ■/■ (denoted as
        $c_{\mathcal{R}} \in \mathbb{F}_2^{67}$ ) and store the 73-bit ■  $v_{\mathcal{R}}$  of  $A^{(6)}$  in  $V[c_{\mathcal{R}}]$ 
8   end
9   for  $c_{\mathcal{R}} \in \mathbb{F}_2^{67}$  do
10    Retrieve the  $2^6$   $v_{\mathcal{R}}$  from  $V[c_{\mathcal{R}}]$ 
11    for  $2^6$  values of ■ bits  $v_{\mathcal{B}}$  do
12      Compute to derive the  $4 + 4 + 3 + 3 = 14$  ■ bits
        $\xi = S^{(1)}[0, 1, 2, 3, 20, 21, 22, 23, 44, 45, 46, 64, 65, 66]$ 
13      if  $L[\xi]$  is not empty /* Probability of  $2^{-6}$  */
14        then
15          Combine  $v_{\mathcal{B}}$  and  $2^6$   $v_{\mathcal{R}}$  to construct the full state  $Y$ 
16          if  $T_1$  is satisfied then
17            Check if  $T_3 \parallel \dots \parallel T_{11}$  is satisfied
18          end
19        end
20      end
21    end
22 end

```

8 The 9-round Preimage Attack on ACE- \mathcal{H} -256

ACE [1] is one of the second round NIST LWC candidates. It has a 320-bit permutation and 16 iterations of round function, which is shown in Figure 13 by ignoring round constants addition. SB-64 is an 8-round unkeyed Simeck block cipher [59] with 8-byte block. In [1], Aagaard et.al. offered a sponge-based hash algorithm with ACE premutation, denoted by ACE- \mathcal{H} -256 with $b = 320$, $c = 256$, $r = r' = 64$, and $n = 256$. The security claim by the designers on preimage attack is 2^{192} .

As shown in Figure 13, $A^{(0)}[0, 1, 2, 3]$ and $C^{(0)}[0, 1, 2, 3]$ are used for both absorbing and squeezing. We denote the four squeezed 8-byte blocks as $T_1 || T_2 || T_3 || T_4$, with the new attack framework as shown in Figure 3, a 9-round (out of 16 rounds) MitM preimage attack on ACE- \mathcal{H} -256 is found:

- **Phase I:** As shown in Figure 13, given $T_1 = A^{(0)}[0-3] || C^{(0)}[0-3]$ and $T_2 = A^{(9)}[0-3] || C^{(9)}[0-3]$, the 32-byte capacity state X is separated into two neutral sets, i.e., 12 ■ bytes and 16 ■ bytes. In the forward computation, 8 ■ bytes and 12 ■ bytes are consumed. Therefore, the DoFs of ■ and ■ are both 4 bytes. In the matching phase as shown in Figure 14, we use the *instant matching* strategy in [46] by seeing the four consecutive SB-64 as one Big-SBox. Then, 4-byte degree of match can be gotten with an addition table L . The detailed attack is given in Algorithm 7. The precomputation time to build L is about 2^{160} with a memory 2^{128} to store L . The time of MitM procedure is about $2^{128+32} = 2^{160}$ with a memory of 2^{32} to store $L_{\mathcal{R}}$.
- **Phase II:** Once getting a valid X , the Floyd’s cycle finding algorithm is applied to find a collision at $c = 256$ bits inner part with time 2^{128} .

Hence, the time and memory complexity are both dominated by Phase I, i.e. 2^{160} and 2^{128} .

9 Applications to PHOTON and PHOTON-Beetle-Hash

PHOTON lightweight hash function family [36] was proposed by Guo et al. at CRYPTO 2011, which is also one of the ISO standards. It adopts 12-round AES-like permutations P with an internal state of d^2 elements of s bits each (arranged as a $d \times d$ matrix). The operations in each round are AddConstants (AC), SubCells (SC), ShiftRows (SR), and MixColumnsSerial (MC). In [36], the authors offered five different hash variants and we focus on the PHOTON-160/36/36 with $b = 196$ -bit P_{196} , $d = 7$, $s = 4$, $r = r' = 36$, $n = c = 160$, and a 124-bit preimage security, and keep other hash variants in Supplementary Material E.

9.1 Preimage Attack on 4.5-round PHOTON-160/36/36

In this section, we take PHOTON-160/36/36 as an example to show the detailed attack procedure. Other variants can be analysed in the same way and the corresponding attack complexities are given in Table 1. With the new attack framework as shown in Figure 3, we give a MitM preimage attack on 4.5-round without the MC in the last round in Algorithm 12 in Supplementary Material E.1.

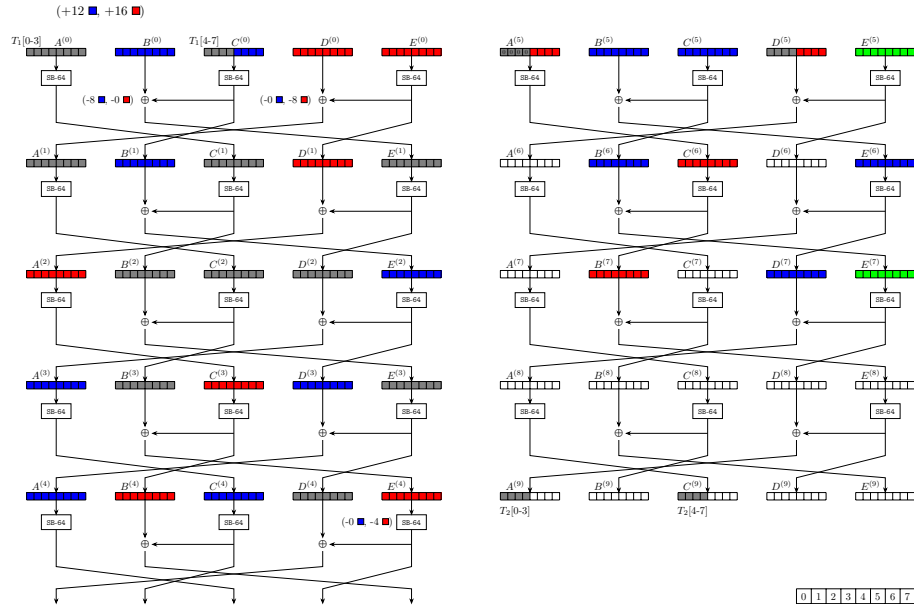


Fig. 13: The MitM preimage attack on 9-round ACE-H-256

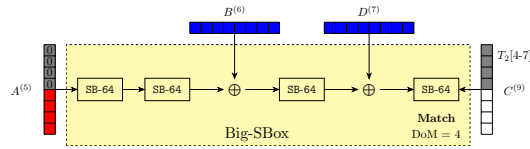


Fig. 14: The Big-SBox matching for 9-round preimage attack on ACE-H-256

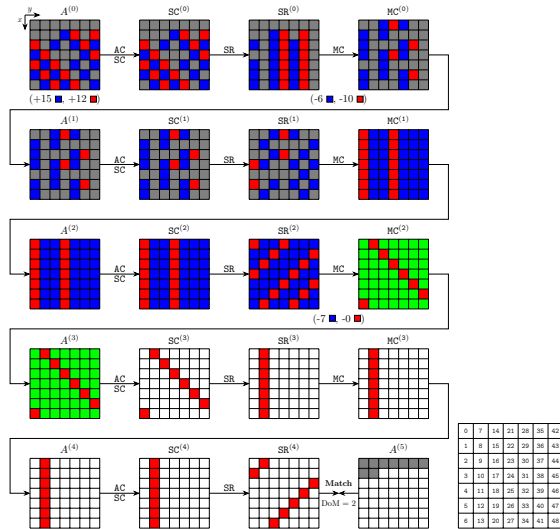


Fig. 15: The 4.5-round MitM attack on PHOTON-160/36/36

Algorithm 7: MitM Preimage Attack on 9-round ACE- \mathcal{H} -256

```

1 Let  $A^{(0)}[0, 1, 2, 3] \| C^{(0)}[0, 1, 2, 3]$  be  $T_1$ 
2 /* Precomputation of instant matching */
3 Set  $A^{(5)}[0, 1, 2, 3] = 0$ 
4  $L \leftarrow []$ 
5 for  $2^{16 \times 8 = 128}$  values for  $\blacksquare$  bytes of  $\tau = B^{(6)} \| D^{(7)}$  do
6   for  $2^{4 \times 8 = 32}$  values for  $\blacksquare$  bytes of  $\xi = A^{(5)}[4, 5, 6, 7]$  do
7     if Big-SBox ( $A^{(5)}, B^{(6)}, D^{(7)}[0-3]$ ) equals to  $T_2[4-7]$  then
8        $L[\tau] \leftarrow \xi$  /* There exists  $2^{128+32-32}/2^{128} = 1$  element under
          each  $\tau$  on average, therefore, there are totally
           $2^{128+32-32=128}$  elements stored in  $L$  */
9     end
10  end
11 end
12 /* Main procedure of MitM preimage attack */
13 Set  $A^{(0)}[4, 5, 6, 7]$  to be constant value
14 for  $2^{16 \times 8 = 128}$  possible values of  $\blacksquare$  bytes in  $A^{(1)}$  and  $E^{(1)}$  do
15    $L_{\mathcal{R}} \leftarrow []$ 
16   Compute forward to the  $\blacksquare$  words  $B^{(2)}, C^{(2)}, D^{(2)}, B^{(3)}, E^{(3)}, D^{(4)}$ 
17    $D^{(5)}[0-3] \leftarrow D^{(4)}[0-3]$ 
18   for  $2^{4 \times 8 = 32}$   $\blacksquare$  values of  $D^{(5)}[4, 5, 6, 7]$  do
19      $A^{(5)} \leftarrow D^{(4)} \oplus D^{(5)}$  /* This step makes  $A^{(5)}[0, 1, 2, 3] = 0$  */
20     Compute backward to the 16-byte values of  $v_{\mathcal{R}} = D^{(0)} \| E^{(0)}$ 
21     Store  $v_{\mathcal{R}}$  in  $L_{\mathcal{R}}$  indexed by  $D^{(5)}[4, 5, 6, 7]$ 
22     /* There is 1 element under each index */
23   end
24   for  $2^{4 \times 8 = 32}$  possible values of  $\blacksquare$  bytes in  $C^{(0)}[4, 5, 6, 7]$  do
25     Compute  $B^{(0)}$  by  $\text{SB-64}(C^{(0)}) \oplus E^{(1)}$ 
26     Compute forward to  $\tau = B^{(6)} \| D^{(7)}$ 
27     Retrieve one element in  $L[\tau]$  as  $\xi'$ 
28     Reconstruct the (candidate) state  $X$  by  $B^{(0)}, C^{(0)}$ , and  $L_{\mathcal{R}}[\xi']$ 
29     if  $X$  satisfies 192-bit  $T_2 \| T_3 \| T_4$  then
30       Output  $X$  and stop
31     end
32   end
33 end

```

- **Phase I:** Slightly different from the Figure 15, we could see $\text{SR}^{(0)}$ as the starting state. There are 12 ■ cells and 15 ■ cells. In the forward computation, 10 ■ and 13 ■ are consumed. The DoFs of ■, ■, and matching are 2 cells. The detail of the MitM attack is given in Algorithm 12. The time complexity is about $2^{124 - \min\{8,8,8\}} = 2^{116}$. The memory is 2^{36} to store U .
- **Phase II:** Since the capacity is $c = 160$ bits, and the Floyd’s cycle finding algorithm finds a collision in the capacity with 2^{80} time and without memory.

Hence, the total time complexity is 2^{116} and memory complexity is 2^{36} .

Additionally, we give the 4.5-round attacks on all other versions of PHOTON- $n/r/r'$ as shown in Figure 25, 26, 27, 28 in Supplementary Material E.1, and we also give a 3.5-round attack on PHOTON-Beetle-Hash in Supplementary Material E.2. The complexities are summarized in Table 1.

10 Application to Subterranean 2.0

Subterranean 2.0 family, designed by Daemen et al. [18], is a second round candidate of NIST LWC. In the family, the designers also provide a hash function Subterranean-XOF with a 112-bit security claim [18]. The designers have performed good study to support the 112-bit security. However, their analysis is about generating collision on the (257-9=) 248-bit inner part in the absorbing phase (see Section 3.4 of [18]). This may finally lead to collision attack and there is no cryptanalysis against preimage attack. At CRYPTO 2022, Lefevre and Mennink proved a tight bound of 224-bit preimage security [42] under ideal permutation model for Subterranean-XOF with 256-bit digest ($n = 256$, $b = 257$, $r = 9$, $r' = 32$, $c = 248$). However, the permutation of Subterranean-XOF only consists of 2 rounds (far from ideal). Therefore, it arises a natural open question how the preimage attack will work for Subterranean-XOF if the permutation is not ideal. In this section, we give the first preimage attack with complexity 2^{160} instead of the generic 2^{224} . We emphasize our attack does not break the designers’ security claim.

The internal state of Subterranean-XOF is 257 bits, and the round function contains four operations: $\chi : s_i \leftarrow s_i + (s_{i+1} + 1)s_{i+2}$, $\iota : \text{constant addition}$, $\theta : s_i \leftarrow s_i + s_{i+3} + s_{i+8}$, and $\pi : s_i \leftarrow s_{12i}$. The internal state of each round is updated as $A^{(r)} \xrightarrow{\chi \circ \iota} S^{(r)} \xrightarrow{\theta} \theta^{(r+1)} \xrightarrow{\pi} A^{(r+1)}$. The output function is $z_i = s_{12^{4i}} + s_{-12^{4i}}$, ($0 \leq i < 32$) as shown in Table 8 in Supplementary Material G, e.g., when $i = 0$, $z_0 = s_1 + s_{256}$. After each 32-bit digest is squeezed out, 1-round function is executed to update the internal state.

Under the attack framework of Figure 3, assume that the 256-bit hash value is T , which consists of T_i ($1 \leq i \leq 8$). In **Phase I** of Figure 3, taking the internal state as the starting point after outputting T_1 , denoted as $A^{(0)}$. When $(s_{12^{4i}}, s_{-12^{4i}})$ of $A^{(0)}$ is $(\blacksquare, \blacksquare)$, $(\color{red}\blacksquare, \color{red}\blacksquare)$, or $(\color{blue}\blacksquare, \color{blue}\blacksquare)$, the DoF for $(s_{12^{4i}}, s_{-12^{4i}})$ is only 1, since the output bit $z_i = s_{12^{4i}} + s_{-12^{4i}}$ is fixed as T_1 . Therefore, when counting number of ■ bits of $(s_{12^{4i}}, s_{-12^{4i}})$ in $A^{(0)}$, $(\color{red}\blacksquare, \color{red}\blacksquare)$ is only counted as 1-bit ■. Similar to ■ and \blacksquare bits. In the matching phase, when $(s_{12^{4i}}, s_{-12^{4i}})$ for the digest output

has no unknown \square bit, 1-bit matching point is derived. The matching points can be constructed by the digest output of $A^{(r)}$ ($r \geq 1$).

The color pattern of the MitM preimage attack for **Phase I** is shown in Figure 31. There are 64 \blacksquare bits and 100 \blacksquare bits in $A^{(0)}$. It consumes 36 DoFs of \blacksquare , so that $d_B = 64$, $d_R = 64$. The final matching points are 65 bits (DoM= 65) which are marked with m in Figure 31 (note that two bits marked by m in $A^{(1)}$, $A^{(2)}$ and $A^{(3)}$ are served as 1-bit matching). We give the Algorithm 15 in Supplementary Material G. The complexity is about 2^{160} 3-round Subterranean-XOF with a memory of 2^{100} to store U . In **Phase II**, since the size of capacity is 248 and the Floyd’s cycle finding algorithm finds a collision in the capacity with 2^{124} time. Therefore, the overall complexity to find the 256-bit target preimage is about 2^{160} time and 2^{100} memory.

11 Application to Xoodyak

The specification of Xoodyak [17] (one of the finalists of NIST LWC) is given in Supplementary Material B.2. We focus on Xoodyak-XOF and Xoodyak-Hash. For Xoodyak-Hash ($b = 384, c = 256, r = r' = 128, n = 256$), the security claims are 2^{128} against both preimage and collision attacks, we only study it against the collision attacks. The Xoodyak-XOF offers an arbitrary output length l and the preimage resistance is $\min(2^{128}, 2^l)$. We target on Xoodyak-XOF with a 128-bit digest against the preimage attack.

Collision Attack on 3-round Xoodyak-Hash. By applying the **Collision Framework II** (Figure 5), we find the following new matching strategy.

Observation 3 (Matching Strategy of Xoodyak for Collision) *Suppose the input and output of the Sbox are (a_0, a_1, a_2) and (b_0, b_1, b_2) , we have $b_i = a_i \oplus (a_{i+1} \oplus 1) \cdot a_{i+2}$, where $0 \leq i \leq 2$ according to Eqn. (9). If we fix $b_1 = b_2 = 0$, then $a_0 = a_1$ and $a_2 = 0$ can be derived. If there are no unknown \square bit in (a_0, a_1, a_2) , we can immediately obtain 2 matching equations.*

A new 3-round MitM characteristic in Figure 19 is found in Supplementary Material D.1. With the MitM characteristic, we can build collision attack on Xoodyak-Hash, which is given in Algorithm 10 in Supplementary Material D.1. The starting state $A^{(0)}$ contains 8 \blacksquare bits and 118 \blacksquare bits. There are totally 51 conditions on \blacksquare bits of $\iota^{(0)}$, which are listed in Table 5. In the computation from $A^{(0)}$ to $\iota^{(2)}$, the consumed DoFs of \blacksquare is 110 and the consumed DoFs of \blacksquare is 0. Therefore, $d_B = 8$, $d_R = 118 - 110 = 8$. We get $m = t = 8$ matching equations with the deterministic relations of $\iota^{(2)}$. The time complexity is about $2^{125.23}$ 3-round Xoodyak-Hash with the memory about 2^{124} .

MITM preimage attack on 3-round Xoodyak-XOF. Solving with the MILP model for Xoodyak, we get a new 3-round MITM preimage attack. The attack Figure 20 and other details are given in Supplementary Material D.2. The attack

parameters are $d_B = 8$, $d_R = 118 - 111 = 7$, $\mu = 53$, $m = 7$. The time complexity of the 3-round preimage attack is $2^{121.77}$ 3-round **Xoodyak-XOF**, and the memory is 2^{118} .

12 Conclusion

In this paper, we propose the generic MitM attack frameworks for preimage and collision attacks on sponge constructions. In the last decade, the sponge-based hash functions with lower claimed security level for preimages compared to their output size have been widely used and standardized. However, cryptanalysis tools regarding the preimage attacks against those hash functions are absent. This paper proposes the first generic cryptanalysis tool for preimage attacks against those hash functions. Most of our results are the first preimage cryptanalysis results. For example, the ISO standard **PHOTON** were designed in 2011, however, no result on round-reduced preimage attack ever proposed by the community before our results. Moreover, our MitM collision attack framework provides a different method to build collisions on sponge construction besides the method of differential attack.

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Supplementary Material

A Sasaki's MitM attack on 7-round AES hashing Mode

We take the MitM preimage attack on 7-round AES-hashing in [51] as an example.

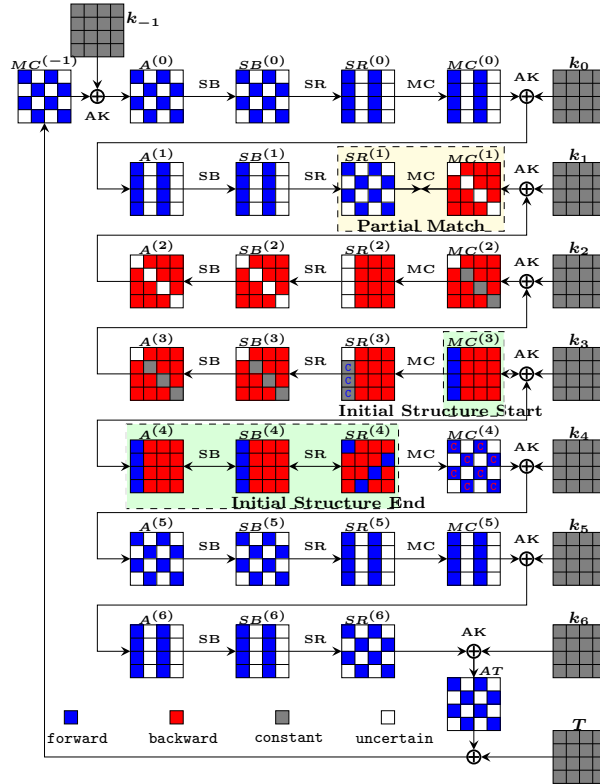


Fig. 16: The MitM preimage attack on 7-round AES-hashing.

Denote the internal states of round r as

$$A^{(r)} \xrightarrow{SB} SB^{(r)} \xrightarrow{SR} SR^{(r)} \xrightarrow{MC} MC^{(r)} \xrightarrow{AK} A^{(r+1)}.$$

$A_{\{i\}}^{(r)}$ represents the i -th ($0 \leq i \leq 15$) byte of state $A^{(r)}$ numbered from up to bottom, left to right. $A_{\{i-j\}}^{(r)}$ represents the i -th byte to j -th byte of state $A^{(r)}$.

Chunk Separation: As shown in Figure 16, the initial structure involves a few consecutive starting steps, i.e. $\{MC^{(3)}, A^{(4)}, SB^{(4)}, SR^{(4)}\}$. The $MC_{\{0-3\}}^{(3)}$

are chosen as neutral bytes (marked by blue) for the forward chunk and the $SR_{\{1-6,8,9,11,12,14,15\}}^{(4)}$ (marked by red) are chosen as neutral bytes for the backward chunk. Results from two chunks will match at $SR^{(1)}$ and $MC^{(1)}$ for a partial match.

Constraints on Initial Structure: To make the initial structure work, one needs to add 3 constraints on the neutral bytes for the forward chunk $MC_{\{0-3\}}^{(3)}$ to avoid the impacts on the backward chunk. The bytes $SR_{\{1,2,3\}}^{(3)}$ can be pre-determined constant values as follows:

$$\begin{bmatrix} c_0 = 9 \cdot MC_{\{0\}}^{(3)} \oplus e \cdot MC_{\{1\}}^{(3)} \oplus b \cdot MC_{\{2\}}^{(3)} \oplus d \cdot MC_{\{3\}}^{(3)} \\ c_1 = d \cdot MC_{\{0\}}^{(3)} \oplus 9 \cdot MC_{\{1\}}^{(3)} \oplus e \cdot MC_{\{2\}}^{(3)} \oplus b \cdot MC_{\{3\}}^{(3)} \\ c_2 = b \cdot MC_{\{0\}}^{(3)} \oplus d \cdot MC_{\{1\}}^{(3)} \oplus 9 \cdot MC_{\{2\}}^{(3)} \oplus e \cdot MC_{\{3\}}^{(3)} \end{bmatrix}. \quad (5)$$

There are 2^8 values of $MC_{\{0-3\}}^{(3)}$ when the constants c_0, c_1, c_2 are determined. Similarly, adding 8 constraints on the neutral bytes for the backward chunk to avoid the impacts on 8 bytes $MC_{\{0,2,5,7,8,10,13,15\}}^{(4)}$.

Matching through MC: According to the property of the MC operation, the match is tested column by column. There are totally five bytes known in each column of $SR^{(1)}$ and $MC^{(1)}$. So there is one byte matching for each column. Taking the match for first column as an example. The $SR_{\{0,2\}}^{(1)}$ are deduced in the forward computation and $MC_{\{1,2,3\}}^{(1)}$ are deduced in the backward computation. There is

$$\begin{aligned} & d \cdot SR_{\{0\}}^{(1)} \oplus e \cdot SR_{\{2\}}^{(1)} \\ = & d \cdot (b \cdot MC_{\{1\}}^{(1)} \oplus d \cdot MC_{\{2\}}^{(1)} \oplus 9 \cdot MC_{\{3\}}^{(1)}) \oplus e \cdot (9 \cdot MC_{\{1\}}^{(1)} \oplus e \cdot MC_{\{2\}}^{(1)} \oplus b \cdot MC_{\{3\}}^{(1)}). \end{aligned} \quad (6)$$

Forward and Backwork Computation: The forward computation list contains the blue neutral bits in $MC^{(3)}$ to $SR^{(1)}$. When accounting for the constraints, one can compute the neutral bytes in the forward chunk by traversing 2^8 possible values of $MC_{\{0-3\}}^{(3)}$. Then store $MC_{\{0-3\}}^{(3)}$ in table L_1 indexed by the value of $SR^{(1)}$ as the left part of Equ. (6) (i.e. $d \cdot SR_{\{0\}}^{(1)} \oplus e \cdot SR_{\{2\}}^{(1)}$). Similarly, the backward computation list contains the red neutral bits in $SR^{(4)}$ to $MC^{(1)}$. Store them in table L_2 indexed by the value of $MC^{(1)}$ as the right part of Equ. (6). Then one can use L_1 and L_2 for a 32-bit partial match on the indices.

B Details of Specifications on Ascon and Xoodyak Hash functions

B.1 Ascon-Hash and Ascon-XOF

The Ascon family [27] includes the hash functions Ascon-Hash and Ascon-Hasha as well as the extendable output functions Ascon-XOF and Ascon-XOFa with sponge-based modes of operations.

Ascon Permutation. The inner permutation applies 12 round functions to a 320-bit state. The state A is split into five 64-bit words, and denote $A_{\{x,y\}}^{(r)}$ to be the x -th (column) bit of the y -th (row) 64-bit word, where $0 \leq y \leq 4$, $0 \leq x \leq 63$. The round function consists of three operations p_C , p_S and p_L . Denote the internal states of round r as $A^{(r)} \xrightarrow{p_S \circ p_C} S^{(r)} \xrightarrow{p_L} A^{(r+1)}$.

- **Addition of Constants** p_C : $A_{\{*,2\}}^{(r)} = A_{\{*,2\}}^{(r)} \oplus RC_r$.
- **Substitution Layer** p_S : For each x , this step updates the columns $A_{\{x,*\}}^{(r)}$ using the 5-bit Sbox. Assume the S-box maps $(a_0, a_1, a_2, a_3, a_4) \in \mathbb{F}_2^5$ to $(b_0, b_1, b_2, b_3, b_4) \in \mathbb{F}_2^5$, where a_0 is the most significant bit. The algebraic normal form (ANF) of the Sbox is as follows:

$$\begin{cases} b_0 = a_4a_1 + a_3 + a_2a_1 + a_2 + a_1a_0 + a_1 + a_0 \\ b_1 = a_4 + a_3a_2 + a_3a_1 + a_3 + a_2a_1 + a_2 + a_1 + a_0 \\ b_2 = a_4a_3 + a_4 + a_2 + a_1 + 1 \\ b_3 = a_4a_0 + a_4 + a_3a_0 + a_3 + a_2 + a_1 + a_0 \\ b_4 = a_4a_1 + a_4 + a_3 + a_1a_0 + a_1 \end{cases} \quad (7)$$

The algebraic normal form (ANF) of the inverse Sbox is as follows:

$$\begin{cases} a_0 = b_4b_3b_2 + b_4b_3b_1 + b_4b_3b_0 + b_3b_2b_0 + b_3b_2 + b_3 + b_2 + b_1b_0 + b_1 + 1 \\ a_1 = b_4b_2b_0 + b_4 + b_3b_2 + b_2b_0 + b_1 + b_0 \\ a_2 = b_4b_3b_1 + b_4b_3 + b_4b_2b_1 + b_4b_2b_0 + b_4b_2 + b_4 + b_3b_2 + b_3b_1b_0 + b_3b_1 \\ \quad + b_2b_1b_0 + b_2b_1 + b_2b_0 + b_2 + b_1 + b_0 + 1 \\ a_3 = b_4b_2b_1 + b_4b_2b_0 + b_4b_2 + b_4b_1 + b_4 + b_3 + b_2b_1 + b_2b_0 + b_1 \\ a_4 = b_4b_3b_2 + b_4b_2b_1 + b_4b_2b_0 + b_4b_2 + b_3b_2b_0 + b_3b_2 + b_3 + b_2b_1 + b_2b_0 + b_1b_0 \end{cases} \quad (8)$$

- **Linear Diffusion Layer** p_L :

$$\begin{aligned} A_{\{*,0\}}^{(r+1)} &\leftarrow S_{\{*,0\}}^{(r)} \oplus (S_{\{*,0\}}^{(r)} \ggg 19) \oplus (S_{\{*,0\}}^{(r)} \ggg 28), \\ A_{\{*,1\}}^{(r+1)} &\leftarrow S_{\{*,1\}}^{(r)} \oplus (S_{\{*,1\}}^{(r)} \ggg 61) \oplus (S_{\{*,1\}}^{(r)} \ggg 39), \\ A_{\{*,2\}}^{(r+1)} &\leftarrow S_{\{*,2\}}^{(r)} \oplus (S_{\{*,2\}}^{(r)} \ggg 1) \oplus (S_{\{*,2\}}^{(r)} \ggg 6), \\ A_{\{*,3\}}^{(r+1)} &\leftarrow S_{\{*,3\}}^{(r)} \oplus (S_{\{*,3\}}^{(r)} \ggg 10) \oplus (S_{\{*,3\}}^{(r)} \ggg 17), \\ A_{\{*,4\}}^{(r+1)} &\leftarrow S_{\{*,4\}}^{(r)} \oplus (S_{\{*,4\}}^{(r)} \ggg 7) \oplus (S_{\{*,4\}}^{(r)} \ggg 41). \end{aligned}$$

Ascon-Hash and Ascon-XOF. The state A is composed of the outer part with 64 bits $A_{\{*,0\}}$ and the inner part 256 bits $A_{\{*,i\}}$ ($i = 1, 2, 3, 4$). For **Ascon-Hash**, the output size is 256 bits, and the security claim is 2^{128} . For **Ascon-XOF**, the output can have arbitrary length and the security claim against preimage attack is $\min(2^{128}, 2^l)$, where l is the output length. In this paper, we target on **Ascon-XOF** with a 128-bit hash value and a 128-bit security claim against preimage attack.

B.2 Xoodyak and Xoodoo Permutation

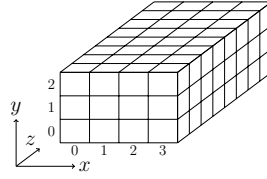


Fig. 17: Toy version of the Xoodoo state. The order in y is opposite to Keccak

Internally, **Xoodyak** makes use of the **Xoodoo** permutation [17], whose state (shown in Figure 17) bit denoted by $A_{\{x,y,z\}}^{(r)}$ is located at the x -th column, y -th row and z -th lane in the round r , where $0 \leq x \leq 3$, $0 \leq y \leq 2$, $0 \leq z \leq 31$. For **Xoodoo**, all the coordinates are considered modulo 4 for x , modulo 3 for y and modulo 32 for z . The permutation consists of the iteration of a round function $R = \rho_{\text{east}} \circ \chi \circ \iota \circ \rho_{\text{west}} \circ \theta$. The number of rounds is a parameter, which is 12 in **Xoodyak**. Denote the internal states of the round r as

$$\begin{aligned}
 A^{(r)} &\xrightarrow{\theta} \theta^{(r)} \xrightarrow{\rho_{\text{west}}} \rho^{(r)} \xrightarrow{\iota} \iota^{(r)} \xrightarrow{\chi} \chi^{(r)} \xrightarrow{\rho_{\text{east}}} A^{(r+1)}. \\
 \theta : \theta_{\{x,y,z\}}^{(r)} &= A_{\{x,y,z\}}^{(r)} \oplus \sum_{y'=0}^2 (A_{\{x-1,y',z-5\}}^{(r)} \oplus A_{\{x-1,y',z-14\}}^{(r)}), \\
 \rho_{\text{west}} : \rho_{\{x,0,z\}}^{(r)} &= \theta_{\{x,0,z\}}^{(r)}, \rho_{\{x,1,z\}}^{(r)} = \theta_{\{x-1,1,z\}}^{(r)}, \rho_{\{x,2,z\}}^{(r)} = \theta_{\{x,2,z-11\}}^{(r)}, \\
 \iota : \iota_{\{0,0,z\}}^{(r)} &= \rho_{\{0,0,z\}}^{(r)} \oplus RC_r, \text{ where } RC_r \text{ is round-dependent constant,} \\
 \chi : \chi_{\{x,y,z\}}^{(r)} &= \iota_{\{x,y,z\}}^{(r)} \oplus (\iota_{\{x,y+1,z\}}^{(r)} \oplus 1) \cdot \iota_{\{x,y+2,z\}}^{(r)}, \\
 \rho_{\text{east}} : A_{\{x,0,z\}}^{(r+1)} &= \chi_{\{x,0,z\}}^{(r)}, A_{\{x,1,z\}}^{(r+1)} = \chi_{\{x,1,z-1\}}^{(r)}, A_{\{x,2,z\}}^{(r+1)} = \chi_{\{x-2,2,z-8\}}^{(r)}.
 \end{aligned} \tag{9}$$

Xoodyak can serve as a **XOF**, i.e. **Xoodyak-XOF**, which offers arbitrary output length l . The preimage resistance is $\min(2^{128}, 2^l)$. We target on **Xoodyak-XOF** with output of 128-bit hash value and 128-bit absorbed message block.

C The Details of Attacks on Ascon-Hash

C.1 Details on the 4-round Preimage attack on Ascon-Hash

The attack is given in Algorithm 8.

Analysis of Algorithm 8. In Line 14 to 21, $2^{170+8+8+\zeta}$ states are tested against the 192-bit $T_2||T_3||T_4$, therefore, $\zeta = 6$ is enough to find a preimage. In Line 8, we choose fixed $c_{\mathcal{B}}$ to eliminate their influence on the computation of $c_{\mathcal{R}} \in \mathbb{F}_2^{170}$ and the 8-bit matching point (those values will be determined by $\blacksquare/\blacksquare$ as well as $\blacksquare/\blacksquare$) for 2^{178} red bits in $A^{(0)}$ in Line 10.

- The Line 4 to 6, the time complexity is $2^{16} \times \frac{4}{4 \times 64} = 2^{16} \times 2^{-6} = 2^{10}$ 4-round Ascon. The fraction $\frac{4}{4 \times 64}$ means that we only need to compute the 4 Sboxes related to \blacksquare bits in the first round, and 4-round Ascon has a total of 4×64 Sboxes.
- The Line 10 to 11, the time complexity is $2^{6+178} \times \frac{142}{4 \times 64} = 2^{184} \times 2^{-0.85} = 2^{183.15}$ 4-round Ascon.
- The Line 14, the time complexity is $2^{6+170+8} \times \frac{1}{4 \times 64} = 2^{184} \times 2^{-8} = 2^{176}$ 4-round Ascon.
- The Line 16, the time complexity is $2^{6+170+8} \times \frac{62}{4 \times 64} = 2^{184} \times 2^{-2.05} = 2^{181.95}$ 4-round Ascon.
- The Line 20, the time complexity is $2^{6+170+8} = 2^{184}$ 4-round Ascon.

In **Phase II**, it is trivial to find an inner collision for the 256-bit capacity with the Floyd’s cycle finding algorithm [31] with 2^{128} time and no memory.

Therefore, the total preimage attack on 4-round **Ascon-Hash** is $2^{10} + 2^{183.15} + 2^{176} + 2^{181.95} + 2^{184} + 2^{128} \approx 2^{184.85}$ time and $2^{16} + 2^{178} + 2^{14} + 2^8 \approx 2^{178}$ memory.

C.2 Details on the 5-round Preimage attack on Ascon-Hash

The 5-round MitM characteristic shown in Figure 18. The starting state $A^{(0)}$ contains 1 \blacksquare bits and 190 \blacksquare bits. The first row of $A^{(0)}$ is fixed as T_1 (marked as \blacksquare), and the remaining 65-bit \blacksquare can be freely chosen. In the computation from $A^{(0)}$ to $A^{(4)}$, the consumed degree of freedoms (DoFs) of \blacksquare and DoFs of \blacksquare are 187 and 0, respectively. Additionally, there are 2 consumed DoFs of \blacksquare to make $a_0 + a_2 + a_4$ become \blacksquare or \blacksquare for matching points. Therefore, $d_{\mathcal{B}} = 1$, $d_{\mathcal{R}} = 1$, and there are 2 matching bits.

The 5-round attack is given in Algorithm 9.

Analysis of Algorithm 9. In Line 7 to 14, $2^{189+1+1+\zeta}$ states are tested against the 192-bit $T_2||T_3||T_4$, therefore, $\zeta = 1$ is enough to find a preimage.

- The Line 4, the time complexity is $2^{1+190} \times \frac{54+62+48+11}{5 \times 64} = 2^{191} \times 2^{-0.87} = 2^{190.13}$ 5-round Ascon.
- The Line 7, the time complexity is $2^{1+189+1} \times \frac{1}{5 \times 64} = 2^{191} \times 2^{-8.32} = 2^{182.68}$ 5-round Ascon.
- The Line 9, the time complexity is $2^{1+189+1} \times \frac{61}{5 \times 64} = 2^{191} \times 2^{-2.39} = 2^{188.61}$ 5-round Ascon.
- The Line 13, the time complexity is $2^{1+189+0} = 2^{190}$ 5-round Ascon.

In **Phase II**, it is trivial to find an inner collision for the 256-bit capacity with the Floyd’s cycle finding algorithm [31] with 2^{128} time and no memory.

Therefore, the total preimage attack on 4-round **Ascon-Hash** is $2^{190.13} + 2^{182.68} + 2^{188.61} + 2^{190} + 2^{128} \approx 2^{191.31}$ time and $2^{190} + 2^1 + 2^1 \approx 2^{190}$ memory.

Algorithm 8: Preimage Attack on 4-round Ascon-Hash: Phase I

```

1 Fix the first row of  $A^{(0)}$  as  $T_1$ 
2 for  $2^{\zeta}$  values of the 32-bit free gray bits in  $A^{(0)}$  do
3   /* Precomputation */
4   for  $2^{16}$  values of the blue bits  $v_B$  in  $A^{(0)}$  do
5     Compute forward to determine the 8-bit red (denoted as  $c_B \in \mathbb{F}_2^8$ ) in
       $A^{(1)}$ . E.g., in the red bit  $A_{\{25,0\}}^{(1)} = S_{\{25,0\}}^{(0)} \oplus S_{\{6,0\}}^{(0)} \oplus S_{\{61,0\}}^{(0)}$ , the  $S_{\{61,0\}}^{(0)}$ 
      should be gray to make the  $A_{\{25,0\}}^{(1)}$  independent of blue bits, which
      consumes 1 DoF of blue. This is actually done by computing the 61-th
      Sbox, where there are 4 input blue bits and output one gray bit  $S_{\{61,0\}}^{(0)}$  by
      consuming 1 DoF of blue. Then,  $S_{\{61,0\}}^{(0)}$  is one bit of the 8-bit  $c_B$ 
6     Store the 16-bit blue values  $v_B$  of  $A^{(0)}$  in  $U[c_B]$ 
7   end
8   Choose an index  $c_B$ , e.g.,  $c_B = 0$ , there expected  $2^{16-8} = 2^8$  elements in
       $U[0]$ 
9   /* In the following, we always fix  $c_B$  as 0 */
10  for  $2^{178}$  values of the 184 red bits  $v_R$  in  $A^{(0)}$  do
11    Compute forward to determine the 170-bit red/blue (denoted as
       $c_R \in \mathbb{F}_2^{170}$ ) and the 8-bit matching point. Build the table  $V$  and store
      the 184-bit red  $v_R$  of  $A^{(0)}$  as well as the 8-bit matching point in  $V[c_R]$ 
12  end
13  for  $c_R \in \mathbb{F}_2^{170}$  do
14    Retrieve the  $2^8$  elements of  $V[c_R]$  and restore  $v_R$  in  $L_1$  under the
      index of 8-bit matching point
15    for  $2^8$  values  $v_B$  in  $U[0]$  do
16      Compute to the 8-bit matching point and store blue  $v_B$  in  $L_2$  indexed
        by the 8-bit matching point.
17    end
18    for values matched between  $L_1$  and  $L_2$  do
19      if  $T_2$  is satisfied then
20        | Check if  $T_3||T_4$  is satisfied
21      end
22    end
23  end
24 end

```

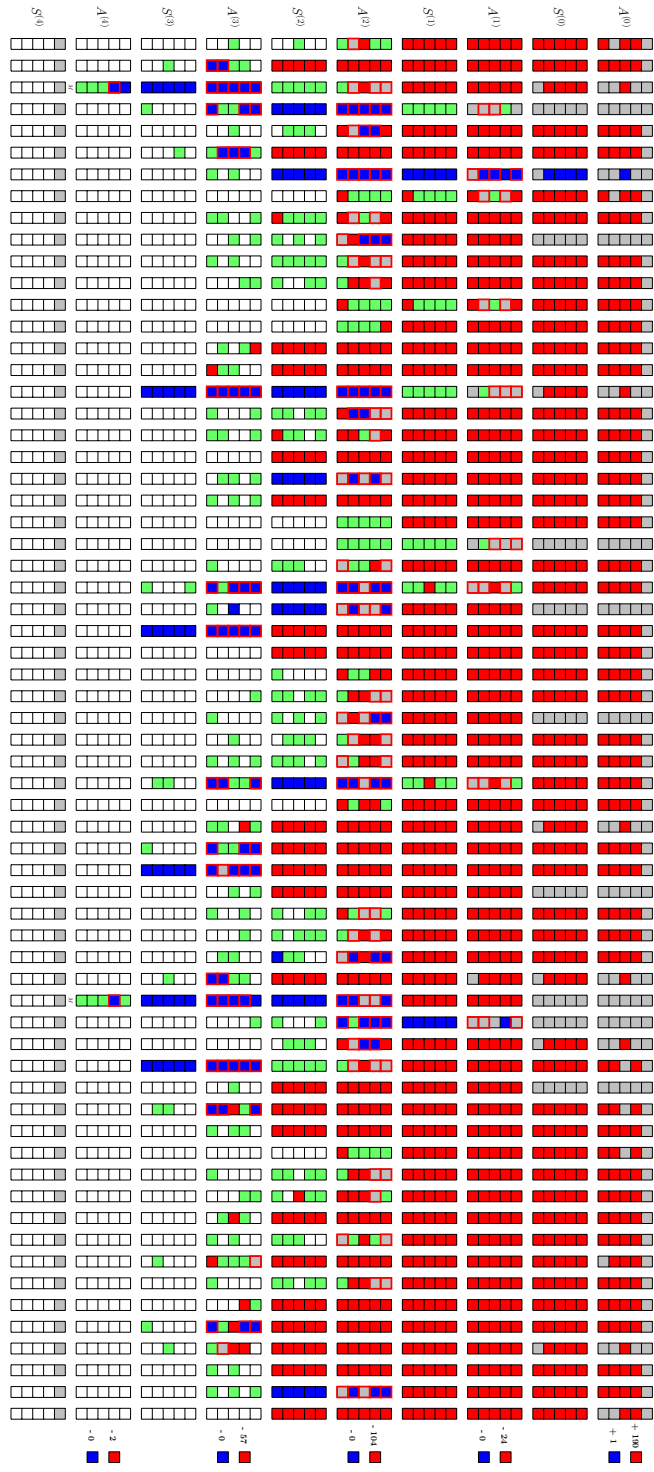


Fig. 18: The 5-round Preimage attack on ASCON-Hash

Algorithm 9: Preimage Attack on 5-round Ascon-Hash: Phase I

```

1 Fix the first row of  $A^{(0)}$  as  $T_1$ 
2 for  $2^{\zeta}$  values of the 65-bit free gray bits in  $A^{(0)}$  do
3   for  $2^{190}$  values of the  $\blacksquare$  bits  $v_{\mathcal{R}}$  in  $A^{(0)}$  do
4     Fix  $\blacksquare$  as 0, compute forward to determine the 189-bit  $\blacksquare/\blacksquare$  (denoted as
        $c_{\mathcal{R}} \in \mathbb{F}_2^{189}$ ), and the 2-bit matching point. Build the table  $V$  and store
       the 190-bit  $\blacksquare v_{\mathcal{R}}$  of  $A^{(0)}$  as well as the 2-bit matching point in  $V[c_{\mathcal{R}}]$ 
5   end
6   for  $c_{\mathcal{R}} \in \mathbb{F}_2^{189}$  do
7     Retrieve the  $2^1$  elements of  $V[c_{\mathcal{R}}]$  and restore  $v_{\mathcal{R}}$  in  $L_1$  under the
       index of 2-bit matching point
8     for  $2^1$  values of  $\blacksquare v_{\mathcal{B}}$  do
9       Compute to the 2-bit matching point and store  $\blacksquare v_{\mathcal{B}}$  in  $L_2$  indexed
       by the 2-bit matching point.
10    end
11    for values matched between  $L_1$  and  $L_2$  do
12      if  $T_2$  is satisfied then
13        Check if  $T_3 \parallel T_4$  is satisfied
14      end
15    end
16  end
17 end

```

C.3 Conditions for 3-/4-round collision attacks on Ascon-Hash

The bit conditions for 3-/4-round collision attacks on Ascon-Hash are given in Table 2 and Table 3. Table 4 shows ten messages that produce the 24-bit 0 in $S^{(2)}$ for the partial experiment on 3-round collision attack on Ascon-Hash.

$$\begin{aligned}
&A_{\{4,1\}}^{(0)} = 1, A_{\{5,1\}}^{(0)} = 0, A_{\{6,1\}}^{(0)} = 1, A_{\{7,3\}}^{(0)} + A_{\{7,4\}}^{(0)} = 1, A_{\{7,1\}}^{(0)} = 0, A_{\{10,1\}}^{(0)} = 1, \\
&A_{\{12,1\}}^{(0)} = 0, A_{\{14,3\}}^{(0)} + A_{\{14,4\}}^{(0)} = 1, A_{\{19,1\}}^{(0)} = 1, A_{\{21,3\}}^{(0)} + A_{\{21,4\}}^{(0)} = 1, \\
&A_{\{21,1\}}^{(0)} = 0, A_{\{23,1\}}^{(0)} = 0, A_{\{26,1\}}^{(0)} = 1, A_{\{27,1\}}^{(0)} = 0, A_{\{30,1\}}^{(0)} = 0, A_{\{31,1\}}^{(0)} = 0, \\
&A_{\{36,1\}}^{(0)} = 1, A_{\{37,1\}}^{(0)} = 0, A_{\{38,1\}}^{(0)} = 1, A_{\{39,3\}}^{(0)} + A_{\{39,4\}}^{(0)} = 1, A_{\{39,1\}}^{(0)} = 0, A_{\{42,1\}}^{(0)} = 1, \\
&A_{\{44,1\}}^{(0)} = 0, A_{\{46,3\}}^{(0)} + A_{\{46,4\}}^{(0)} = 1, A_{\{51,1\}}^{(0)} = 1, A_{\{53,3\}}^{(0)} + A_{\{53,4\}}^{(0)} = 1, \\
&A_{\{53,1\}}^{(0)} = 0, A_{\{55,1\}}^{(0)} = 0, A_{\{58,1\}}^{(0)} = 1, A_{\{59,1\}}^{(0)} = 0, A_{\{62,1\}}^{(0)} = 0, A_{\{63,1\}}^{(0)} = 0,
\end{aligned}$$

Table 2: Bit Conditions in 3-round Collision Attack on Ascon-Hash

$$\begin{aligned}
&A_{\{2,3\}}^{(0)} + A_{\{2,4\}}^{(0)} = 1, A_{\{3,1\}}^{(0)} = 1, A_{\{3,3\}}^{(0)} + A_{\{3,4\}}^{(0)} = 1, A_{\{5,3\}}^{(0)} + A_{\{5,4\}}^{(0)} = 1, \\
&A_{\{7,1\}}^{(0)} = 1, A_{\{9,1\}}^{(0)} = 1, A_{\{10,3\}}^{(0)} + A_{\{10,4\}}^{(0)} = 1, A_{\{11,3\}}^{(0)} + A_{\{11,4\}}^{(0)} = 1, A_{\{12,1\}}^{(0)} = 1, \\
&A_{\{12,3\}}^{(0)} + A_{\{12,4\}}^{(0)} = 1, A_{\{13,1\}}^{(0)} = 0, A_{\{15,1\}}^{(0)} = 1, A_{\{16,1\}}^{(0)} = 1, A_{\{18,3\}}^{(0)} + A_{\{18,4\}}^{(0)} = 1, \\
&A_{\{19,1\}}^{(0)} = 0, A_{\{20,3\}}^{(0)} + A_{\{20,4\}}^{(0)} = 1, A_{\{21,1\}}^{(0)} = 0, A_{\{22,1\}}^{(0)} = 1, A_{\{22,3\}}^{(0)} + A_{\{22,4\}}^{(0)} = 1, \\
&A_{\{23,1\}}^{(0)} = 0, A_{\{25,1\}}^{(0)} = 1, A_{\{25,3\}}^{(0)} + A_{\{25,4\}}^{(0)} = 1, A_{\{26,1\}}^{(0)} = 1, A_{\{27,3\}}^{(0)} + A_{\{27,4\}}^{(0)} = 1, \\
&A_{\{28,3\}}^{(0)} + A_{\{28,4\}}^{(0)} = 1, A_{\{28,1\}}^{(0)} = 1, \\
&A_{\{34,3\}}^{(0)} + A_{\{34,4\}}^{(0)} = 1, A_{\{35,1\}}^{(0)} = 1, A_{\{35,3\}}^{(0)} + A_{\{35,4\}}^{(0)} = 1, A_{\{37,3\}}^{(0)} + A_{\{37,4\}}^{(0)} = 1, \\
&A_{\{39,1\}}^{(0)} = 1, A_{\{41,1\}}^{(0)} = 1, A_{\{42,3\}}^{(0)} + A_{\{42,4\}}^{(0)} = 1, A_{\{43,3\}}^{(0)} + A_{\{43,4\}}^{(0)} = 1, A_{\{44,1\}}^{(0)} = 1, \\
&A_{\{44,3\}}^{(0)} + A_{\{44,4\}}^{(0)} = 1, A_{\{45,1\}}^{(0)} = 0, A_{\{47,1\}}^{(0)} = 1, A_{\{48,1\}}^{(0)} = 1, A_{\{50,3\}}^{(0)} + A_{\{50,4\}}^{(0)} = 1, \\
&A_{\{51,1\}}^{(0)} = 0, A_{\{52,3\}}^{(0)} + A_{\{52,4\}}^{(0)} = 1, A_{\{53,1\}}^{(0)} = 0, A_{\{54,1\}}^{(0)} = 1, A_{\{54,3\}}^{(0)} + A_{\{54,4\}}^{(0)} = 1, \\
&A_{\{55,1\}}^{(0)} = 0, A_{\{57,1\}}^{(0)} = 1, A_{\{57,3\}}^{(0)} + A_{\{57,4\}}^{(0)} = 1, A_{\{58,1\}}^{(0)} = 1, A_{\{59,3\}}^{(0)} + A_{\{59,4\}}^{(0)} = 1, \\
&A_{\{60,3\}}^{(0)} + A_{\{60,4\}}^{(0)} = 1, A_{\{60,1\}}^{(0)} = 1,
\end{aligned}$$

Table 3: Bit Conditions in 4-round Collision Attack on Ascon-Hash

Round	Message (first row)	Message (last four rows)
3	0002005b173f21cd	0a2010200a201020 0000000000000000 0102040001020400 0000000000000000
	0010005f570f34ed	
	0010015bd71f2ccd	
	0020045b173d25cd	
	0020115b173f2dc9	
	0110011feb353c42	
	0030005f572f34ed	
	0030045bd70f24e9	
	0100001bea0f2ae3	
	0100111bab253966	

Table 4: Preimages of 3-round Ascon-Hash in collision attack

D The Details of Attacks on 3-round Xoodyak-X0F and Xoodyak-Hash

D.1 Details of the 3-round Collision Attack on Xoodyak-Hash

A new 3-round MitM characteristic in Figure 19 is found. With the MitM characteristic, we can build collision attack on Xoodyak-Hash, which is given in Algorithm 10. The starting state $A^{(0)}$ contains 8 \blacksquare bits and 118 \blacksquare bits. There are totally 51 conditions on \blacksquare bits of $\iota^{(0)}$, which are listed in Table 5. In the computation from $A^{(0)}$ to $\iota^{(2)}$, the consumed DoFs of \blacksquare is 110 and the consumed DoFs of \blacksquare is 0. Therefore, $d_B = 8$, $d_R = 118 - 110 = 8$. We get $m = t = 8$ matching equations with the deterministic relations of $\iota^{(2)}$.

In one MitM episode in Line 10 to 15, $2^{8+8-8} = 2^8$ partial target preimages are expected to obtain. We need $2^{(n-t)/2-8} = 2^{116}$ MitM episodes to build the collision attack, *i.e.*, $2^{\zeta-51+110} = 2^{116}$, *i.e.*, $\zeta = 57$. Each step of Algorithm 10 is analyzed below:

- In Line 3, the time complexity is $2^{57} \times 51^3 = 2^{74.02}$ bit operations and 2^{57} 3-round Xoodyak.
- In Line 7, the time complexity is $2^{57-51+118} \times \frac{128+128+4}{128 \times 3} = 2^{123.44}$ 3-round Xoodyak. The fraction $\frac{128+128+4}{128 \times 3}$ is because that in the last round only 4 Sboxes with matching point are computed, while there are totally 128×3 Sboxes applications in the 3-round Xoodyak.
- In Line 10, the time is $2^{57-51+110+8} \times \frac{1}{384} = 2^{115.42}$ 3-round Xoodyak. This step is just to retrieve the values $U[c_R]$ and restore it in L_1 . Assuming one table access is about one Sbox application, we get the fraction $\frac{1}{384}$.
- In Line 12, the time is $2^{57-51+110+8} \times \frac{128+128+4}{128 \times 3} = 2^{123.44}$ 3-round Xoodyak.
- In Line 15, the time complexity is $2^{57-51+110+16-8} = 2^{124}$ 3-round Xoodyak.

The total complexity of the 3-round attack is $2^{74.02} + 2^{57} + 2^{123.44} + 2^{115.42} + 2^{123.44} + 2^{124} = 2^{125.23}$ 3-round Xoodyak-Hash, and the memory to store U and L is $2^{118} + 2^{124} = 2^{124.02}$.

$\iota_{\{1,0,0\}}^{(0)} = 0, \iota_{\{1,1,0\}}^{(0)} = 1, \iota_{\{2,0,0\}}^{(0)} = 1, \iota_{\{2,2,0\}}^{(0)} = 0, \iota_{\{2,0,2\}}^{(0)} = 0, \iota_{\{2,1,2\}}^{(0)} = 1, \iota_{\{3,0,2\}}^{(0)} = 0,$
$\iota_{\{3,1,2\}}^{(0)} = 1, \iota_{\{2,0,3\}}^{(0)} = 1, \iota_{\{2,2,3\}}^{(0)} = 0, \iota_{\{0,1,4\}}^{(0)} = 0, \iota_{\{0,2,4\}}^{(0)} = 1, \iota_{\{2,2,4\}}^{(0)} = 0, \iota_{\{0,0,7\}}^{(0)} = 0,$
$\iota_{\{0,1,7\}}^{(0)} = 1, \iota_{\{3,0,7\}}^{(0)} = 0, \iota_{\{3,1,7\}}^{(0)} = 1, \iota_{\{1,2,8\}}^{(0)} = 0, \iota_{\{0,1,9\}}^{(0)} = 0, \iota_{\{1,0,9\}}^{(0)} = 0, \iota_{\{2,0,9\}}^{(0)} = 1,$
$\iota_{\{2,2,9\}}^{(0)} = 0, \iota_{\{0,0,16\}}^{(0)} = 0, \iota_{\{0,1,16\}}^{(0)} = 1, \iota_{\{1,0,16\}}^{(0)} = 0, \iota_{\{1,1,16\}}^{(0)} = 1, \iota_{\{2,0,16\}}^{(0)} = 0, \iota_{\{2,1,16\}}^{(0)} = 1,$
$\iota_{\{3,0,16\}}^{(0)} = 0, \iota_{\{3,1,16\}}^{(0)} = 1, \iota_{\{1,0,17\}}^{(0)} = 0, \iota_{\{1,1,17\}}^{(0)} = 1, \iota_{\{1,2,18\}}^{(0)} = 1, \iota_{\{2,2,18\}}^{(0)} = 0, \iota_{\{3,1,21\}}^{(0)} = 1,$
$\iota_{\{2,0,23\}}^{(0)} = 0, \iota_{\{0,0,25\}}^{(0)} = 0, \iota_{\{0,1,25\}}^{(0)} = 1, \iota_{\{2,0,25\}}^{(0)} = 0, \iota_{\{2,1,25\}}^{(0)} = 1, \iota_{\{3,0,25\}}^{(0)} = 0, \iota_{\{3,1,25\}}^{(0)} = 1,$
$\iota_{\{2,1,26\}}^{(0)} = 1, \iota_{\{0,2,27\}}^{(0)} = 1, \iota_{\{1,0,27\}}^{(0)} = 0, \iota_{\{2,0,27\}}^{(0)} = 1, \iota_{\{2,2,27\}}^{(0)} = 0, \iota_{\{2,0,28\}}^{(0)} = 1, \iota_{\{0,1,30\}}^{(0)} = 1,$
$\iota_{\{3,0,30\}}^{(0)} = 0, \iota_{\{3,1,30\}}^{(0)} = 1,$

Table 5: Bit Conditions in 3-round Collision Attack on Xoodyak-Hash

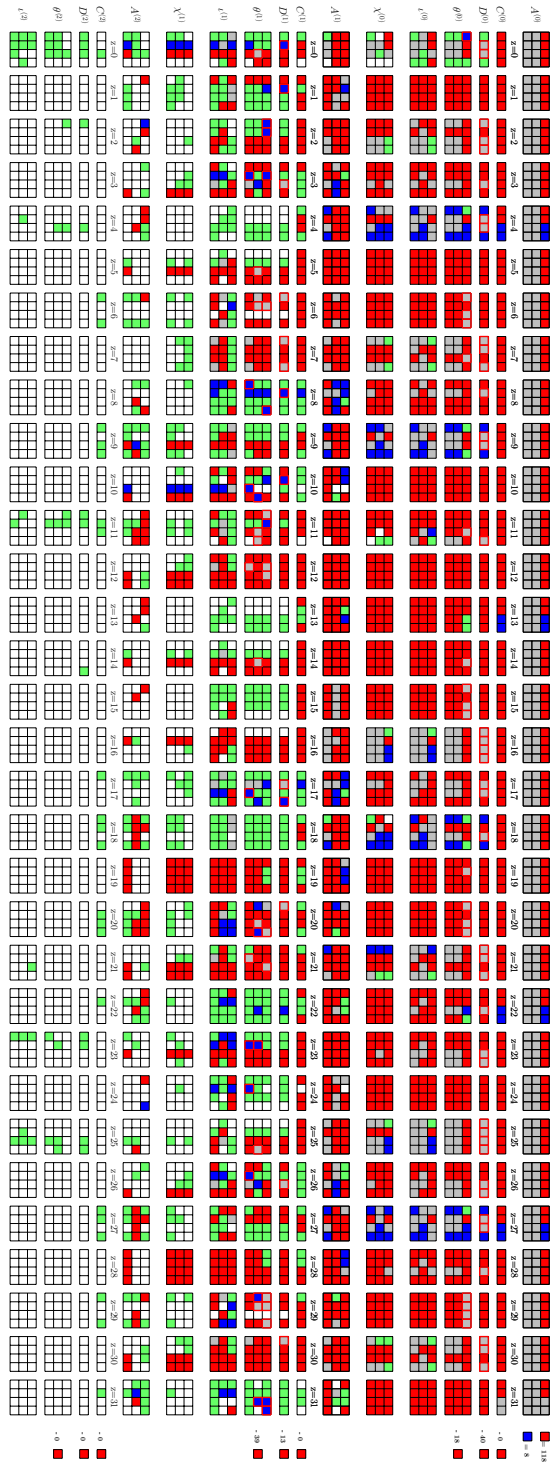


Fig. 19: The MITM collision attack on 3-round Xoodyak-Hash

Algorithm 10: Collision Attack on 3-round Xoodyak-Hash

```

1 Fixed  $t = 8$  bits of  $\chi^{(2)}$  as zero.
2 for  $2^{\zeta}$  values of  $M_1$  do
3   Compute the inner part of the 2nd block and solve the system of 51 linear
   equations
4   if the equations have solutions /* with probability of  $2^{-51}$  */
5   then
6     for  $2^{118}$  values of the ■ bits  $v_{\mathcal{R}}$  in  $A^{(0)}$  do
7       Compute forward to determine 110-bit ■/■ bits (denoted as
        $c_{\mathcal{R}} \in \mathbb{F}_2^{110}$ ), and the 8-bit matching point. Build the table  $U$  and
       store the 118-bit ■  $v_{\mathcal{R}}$  of  $A^{(0)}$  as well as the 8-bit matching point
       in  $U[c_{\mathcal{R}}]$ .
8     end
9     for  $c_{\mathcal{R}} \in \mathbb{F}_2^{110}$  do
10      Retrieve the  $2^8$  elements of  $V[c_{\mathcal{R}}]$  and restore  $v_{\mathcal{R}}$  in  $L_1$  under the
      index of 8-bit matching point
11      for  $2^8$  values of ■  $v_{\mathcal{B}}$  in  $L_2$  do
12        Compute forward to the 8 matching point and store ■  $v_{\mathcal{B}}$  in  $L_2$ 
        indexed by the 8 matching point.
13      end
14      for values matched between  $L_1$  and  $L_2$  do
15        Compute the 256-bit capacity  $c$  from the matched ■ and ■ cells
        and store the  $(M_1, M_2, c)$  in  $L$  indexed by  $c$ 
16        if the size of  $L$  is  $2^{(n-t)/2} = 2^{124}$  then
17          Check  $L$  and return  $(M_1, M_2)$  and  $(M'_1, M'_2)$  with the same
           $c$ 
18        end
19      end
20    end
21  end
22 end

```

D.2 New MITM preimage attack on 3-round Xoodyak-XOF

The 3-round MITM characteristic is shown in Figure 20. The starting state $A^{(0)}$ contains 8 \blacksquare bits and 118 \blacksquare bits. There are totally 53 conditions on \blacksquare bits of $\iota^{(0)}$, which are listed in Table 6. In the computation from $A^{(0)}$ to $\iota^{(2)}$, the consumed DoFs of \blacksquare is 111 and the consumed DoFs of \blacksquare is 0. Therefore, $d_{\mathcal{B}} = 8$, $d_{\mathcal{R}} = 118 - 111 = 7$. We get $m = 7$ matching equations as Equ. (10) with the deterministic relations of $\iota^{(2)}$.

$$\begin{aligned}
\chi_{\{1,2,8\}}^{(2)} &= \iota_{\{1,2,8\}}^{(2)} \oplus (\iota_{\{1,0,8\}}^{(2)} \oplus 1) \cdot \iota_{\{1,1,8\}}^{(2)}, \chi_{\{1,2,10\}}^{(2)} = \iota_{\{1,2,10\}}^{(2)} \oplus (\iota_{\{1,0,10\}}^{(2)} \oplus 1) \cdot \iota_{\{1,1,10\}}^{(2)}, \\
\chi_{\{2,2,10\}}^{(2)} &= \iota_{\{2,2,10\}}^{(2)} \oplus (\iota_{\{2,0,10\}}^{(2)} \oplus 1) \cdot \iota_{\{2,1,10\}}^{(2)}, \chi_{\{1,2,17\}}^{(2)} = \iota_{\{1,2,17\}}^{(2)} \oplus (\iota_{\{1,0,17\}}^{(2)} \oplus 1) \cdot \iota_{\{1,1,17\}}^{(2)}, \\
\chi_{\{1,2,26\}}^{(2)} &= \iota_{\{1,2,26\}}^{(2)} \oplus (\iota_{\{1,0,26\}}^{(2)} \oplus 1) \cdot \iota_{\{1,1,26\}}^{(2)}, \chi_{\{1,2,31\}}^{(2)} = \iota_{\{1,2,31\}}^{(2)} \oplus (\iota_{\{1,0,31\}}^{(2)} \oplus 1) \cdot \iota_{\{1,1,31\}}^{(2)}, \\
\chi_{\{2,2,31\}}^{(2)} &= \iota_{\{2,2,31\}}^{(2)} \oplus (\iota_{\{2,0,31\}}^{(2)} \oplus 1) \cdot \iota_{\{2,1,31\}}^{(2)}.
\end{aligned} \tag{10}$$

We give the attack procedure in Algorithm 11. In the MitM episode in Line 9 to 19, a space of $2^{7+8} = 2^{15}$ is searched. In order to search a 128-bit preimage, we have to search a space of $2^{\zeta-53+111+15} = 2^{128}$, i.e., $\zeta = 55$. Each step of Algorithm 11 is analyzed below:

- In Line 2, the time complexity is $2^{55} \times 53^3 = 2^{72.2}$ bit operations and 2^{55} 3-round Xoodyak.
- In Line 6, the time complexity is $2^{55-53+118} \times \frac{128+128+7}{128 \times 3} = 2^{119.45}$ 3-round Xoodyak. The fraction $\frac{128+128+7}{128 \times 3}$ is because that in the last round only 7 Sboxes with matching point are computed, while there are totally 128×3 Sboxes applications in the 3-round Xoodyak.
- In Line 9, the time is $2^{55-53+111+7} \times \frac{1}{384} = 2^{111.41}$ 3-round Xoodyak. This step is just to retrieve the values $U[c_{\mathcal{R}}]$ and restore it in L_1 . Assuming one table access is about one Sbox application, we get the fraction $\frac{1}{384}$.
- In Line 11, the time is $2^{55-53+111+8} \times \frac{128+128+7}{128 \times 3} = 2^{120.45}$ 3-round Xoodyak.
- In Line 14, the time complexity is $2^{55-53+111+15-7} \times \frac{2}{3} = 2^{120.41}$ 3-round Xoodyak.
- In Line 15, we only compute 5 Sboxes with $\rho^{(2)}$ to gain a filter of 2^{-5} , whose time complexity is $2^{55-53+111+15-7} \times \frac{5}{128 \times 3} = 2^{114.73}$ 3-round Xoodyak.
- In Line 18, we check the remaining states with the remaining $128-7-5 = 116$ Sboxes, which is $2^{55-53+111+15-7-5} \times \frac{116}{384} = 2^{114.27}$ 3-round Xoodyak.

The total complexity of the 3-round attack is $2^{72.2} + 2^{55} + 2^{119.45} + 2^{111.41} + 2^{120.45} + 2^{120.41} + 2^{114.73} + 2^{114.27} = 2^{121.77}$ 3-round Xoodyak-XOF, and the memory to store U is 2^{118} .

E Attacks on PHOTON and PHOTON-Beetle-Hash

E.1 Preimage Attacks on round-reduced PHOTON

All hash variants of PHOTON are given in Table 7.

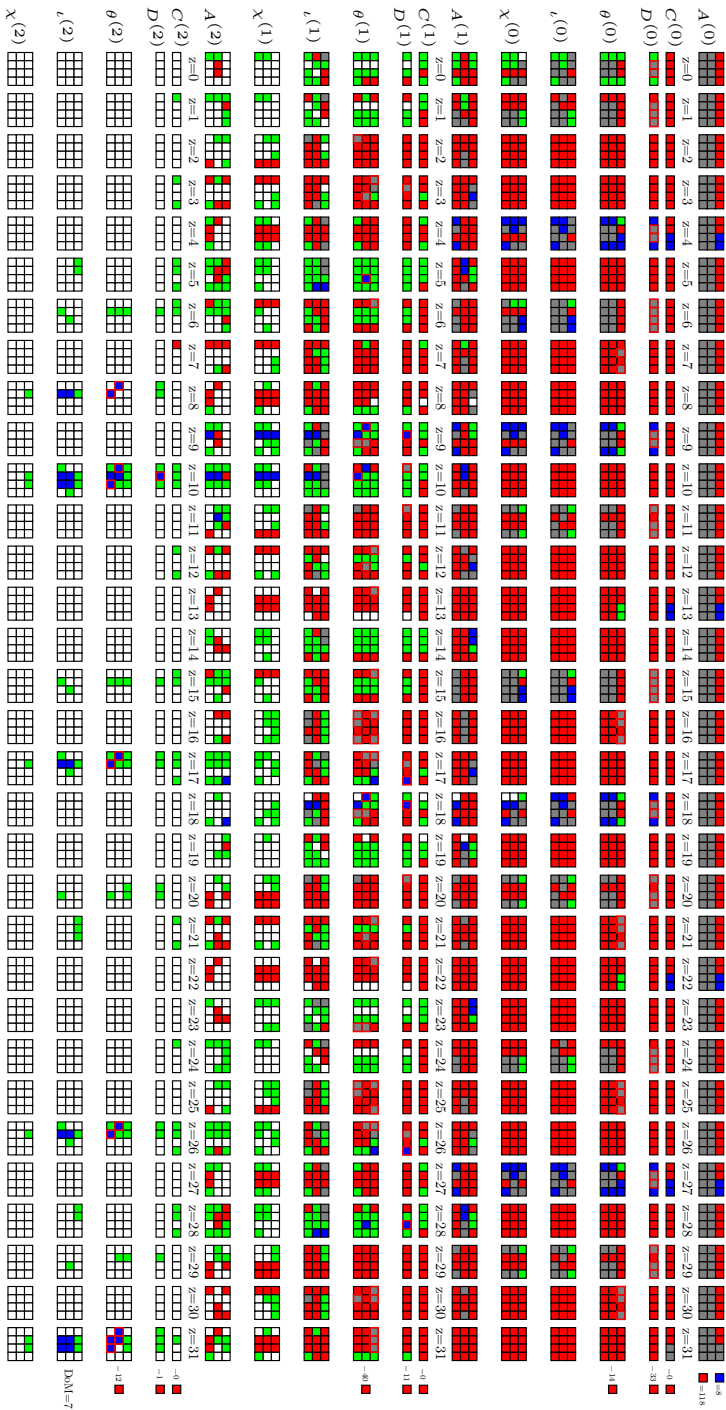


Fig. 20: The MITM preimage attack on 3-round Xoodyak-XOF

$\iota_{\{1,0,0\}}^{(0)} = 1; \iota_{\{3,1,0\}}^{(0)} = 0; \iota_{\{3,2,0\}}^{(0)} = 1; \iota_{\{2,0,1\}}^{(0)} = 0; \iota_{\{2,1,1\}}^{(0)} = 1; \iota_{\{3,0,1\}}^{(0)} = 0;$
$\iota_{\{3,1,1\}}^{(0)} = 1; \iota_{\{1,0,4\}}^{(0)} = 1; \iota_{\{1,2,4\}}^{(0)} = 0; \iota_{\{3,2,4\}}^{(0)} = 1; \iota_{\{3,1,4\}}^{(0)} = 0; \iota_{\{0,1,6\}}^{(0)} = 1; \iota_{\{2,0,6\}}^{(0)} = 0;$
$\iota_{\{2,1,6\}}^{(0)} = 1; \iota_{\{3,0,6\}}^{(0)} = 0; \iota_{\{3,1,6\}}^{(0)} = 1; \iota_{\{1,0,9\}}^{(0)} = 1; \iota_{\{1,2,9\}}^{(0)} = 0; \iota_{\{3,2,9\}}^{(0)} = 1; \iota_{\{3,1,9\}}^{(0)} = 0;$
$\iota_{\{0,0,11\}}^{(0)} = 0; \iota_{\{0,1,11\}}^{(0)} = 1; \iota_{\{3,0,11\}}^{(0)} = 0; \iota_{\{3,1,11\}}^{(0)} = 1; \iota_{\{0,0,15\}}^{(0)} = 0; \iota_{\{0,1,15\}}^{(0)} = 1;$
$\iota_{\{1,0,15\}}^{(0)} = 0; \iota_{\{1,1,15\}}^{(0)} = 1; \iota_{\{2,0,15\}}^{(0)} = 0; \iota_{\{2,1,15\}}^{(0)} = 1; \iota_{\{3,0,15\}}^{(0)} = 0; \iota_{\{3,1,15\}}^{(0)} = 1; \iota_{\{1,0,18\}}^{(0)} = 1;$
$\iota_{\{2,0,18\}}^{(0)} = 0; \iota_{\{3,1,18\}}^{(0)} = 0; \iota_{\{3,2,18\}}^{(0)} = 1; \iota_{\{0,0,20\}}^{(0)} = 0; \iota_{\{0,1,20\}}^{(0)} = 1; \iota_{\{3,0,20\}}^{(0)} = 0; \iota_{\{3,1,20\}}^{(0)} = 1;$
$\iota_{\{2,0,24\}}^{(0)} = 0; \iota_{\{2,1,24\}}^{(0)} = 1; \iota_{\{3,0,24\}}^{(0)} = 0; \iota_{\{3,1,24\}}^{(0)} = 1; \iota_{\{1,0,27\}}^{(0)} = 1; \iota_{\{1,2,27\}}^{(0)} = 0;$
$\iota_{\{2,0,27\}}^{(0)} = 0; \iota_{\{3,1,27\}}^{(0)} = 0; \iota_{\{3,2,27\}}^{(0)} = 1; \iota_{\{0,0,29\}}^{(0)} = 0; \iota_{\{0,1,29\}}^{(0)} = 1; \iota_{\{3,0,29\}}^{(0)} = 0; \iota_{\{3,1,29\}}^{(0)} = 1$

Table 6: Bit Conditions in 3-round Attack on Xoodyak-XOF

Algorithm 11: New Preimage Attack on 3-round Xoodyak-XOF

```

1 for  $2^{\zeta}$  values of  $M_1$  do
2   Compute the inner part of the 2nd block and solve the system of 53 linear
   equations
3   if the equations have solutions /* with probability of  $2^{-53}$  */
4   then
5     for  $2^{118}$  values of the ■ bits  $v_{\mathcal{R}}$  in  $A^{(0)}$  do
6       Fix ■ as 0, compute forward to determine 111-bit ■/■ bits
       (denoted as  $c_{\mathcal{R}} \in \mathbb{F}_2^{111}$ ), and the 7-bit matching point in Equ.
       (10), i.e., compute 7 bits  $f'_{\mathcal{M}} = f_{\mathcal{R}} \oplus f_{\mathcal{G}}$ . Build the table  $U$  and
       store the 118-bit ■ bits  $v_{\mathcal{R}}$  of  $A^{(0)}$  as well as the 7-bit matching
       point in  $U[c_{\mathcal{R}}]$ .
7     end
8     for  $c_{\mathcal{R}} \in \mathbb{F}_2^{111}$  do
9       Retrieve the  $2^7$  elements of  $V[c_{\mathcal{R}}]$  and restore  $v_{\mathcal{R}}$  in  $L_1$  under the
       index of 7-bit matching point
10      for  $2^8$  values of ■  $v_{\mathcal{B}}$  do
11        Compute to the 7 matching points and store ■  $v_{\mathcal{B}}$  in  $L_2$ 
        indexed by 7 matching points.
12      end
13      for values matched between  $L_1$  and  $L_2$  do
14        Compute  $\iota^{(2)}$  from the matched ■ and ■ cells
15        if  $\iota^{(2)}$  satisfy the first 5 Sbox /* Probability of  $2^{-5}$ . This
        step is to avoid computing all Sboxes of  $\iota^{(2)}$  and
        only use partial Sboxes to filter first. */
16        then
17          Check  $\iota^{(2)}$  against the remaining 116 Sboxes
18          if it leads to the given hash value then
19            Output the preimage
20          end
21        end
22      end
23    end
24  end
25 end

```

Table 7: Five different flavors of PHOTON

PHOTON- $n/r/r'$	Permutation	State	d	s	N_r	Preimage
PHOTON-80/20/16	P_{100}	100	5	4	12	64
PHOTON-128/16/16	P_{144}	144	6	4	12	112
PHOTON-160/36/36	P_{196}	196	7	4	12	124
PHOTON-224/32/32	P_{256}	256	8	4	12	192
PHOTON-256/32/32	P_{288}	288	6	8	12	224

Algorithm 12: MitM of Phase I in the Attack on PHOTON-160/36/36

```

1 Set the value 9 ■ nibbles  $SR^{(0)}[0, 7, 14, 21, 28, 35, 42, 1, 8]$  as  $SC \circ AC(T_1)$ 
2 for  $2^{80}$  values of 13 ■ cells in  $SR^{(0)}$ , 6-cell  $\zeta = MC^{(0)}[0, 4, 16, 20, 29, 32]$ , and
   10-cell  $\xi = MC^{(0)}[22, 23, 25, 26, 27, 35, 36, 38, 39, 41]$  do
3   Solve linear system on the 15 ■ cells of  $SR^{(0)}$  with  $\zeta$  to derive the
      $2^{(15-6) \times 4 = 36}$  solutions  $v_B$ 
4   for each of  $2^{36}$  solutions  $v_B$  do
5     Compute forward to  $SR^{(2)}$  and let the ■ in  $SR^{(2)}$  be 0
6      $\varphi \leftarrow MC(SR^{(2)})[6, 7, 15, 23, 31, 39, 47]$ 
7      $U[\varphi] \leftarrow v_B$  /* There are  $2^8$  elements on average */
8   end
9   Solve linear system on the 12 ■ cells of  $SR^{(0)}$  with  $\xi$  to derive the
      $2^{(12-10) \times 4 = 8}$  solutions  $v_{\mathcal{R}}$ 
10  for  $2^{28}$  values of  $\varphi$  do
11    for  $2^8$  values of  $v_{\mathcal{R}}$  do
12      Compute forward to  $SR^{(4)}[1, 7]$ 
13      if  $SR^{(4)}[1, 7] = A^{(5)}[1, 7]$  then
14        for  $v_B \in U[\varphi]$  do
15          Reconstruct the state  $X$  by  $v_B$  and  $v_{\mathcal{R}}$ 
16          if  $X$  satisfies the full  $160 - 36 = 124$  bits target then
17            Output  $X$  and stop
18          end
19        end
20      end
21    end
22  end
23 end

```

The 4.5-round MitM Attack on PHOTON-160/36/36 is given in Algorithm 12.

We give the 4.5-round attacks on all other versions of PHOTON- $n/r/r'$ as shown in Figure 25, 26, 27, 28, the attacks are very similar to the attack on PHOTON-160/36/36 in Section 9.1 and the complexities are summarized in Table 1.

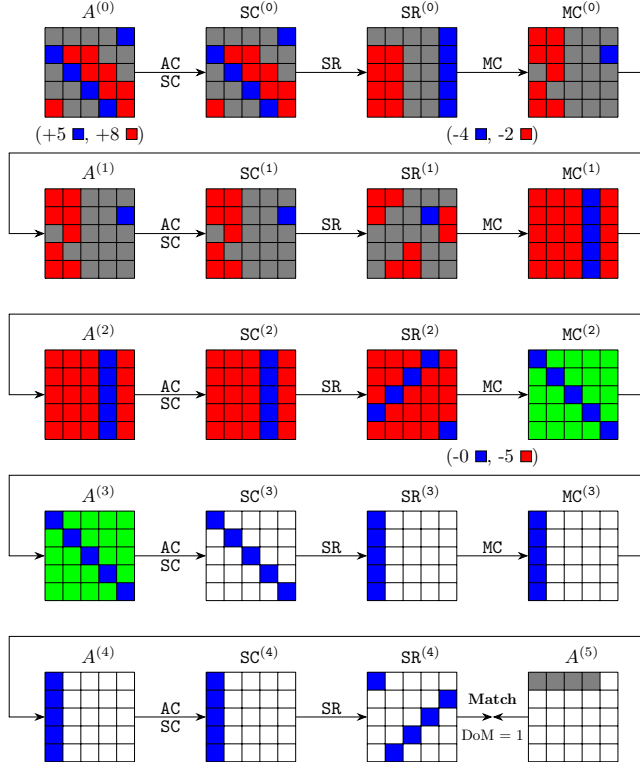


Fig. 21: The 4.5-round MitM attack on PHOTON-80/20/16

E.2 Preimage Attack on 3-round PHOTON-Beetle-Hash

The family of PHOTON-Beetle [3] designed by Bao et al. is one of the finalists of NIST LWC project. The AES-like P_{256} permutation is applied. In the PHOTON-Beetle family, the authors define a hash function PHOTON-Beetle-Hash. The message with arbitrary length is divided into one 128-bit block (M_1) and several $r = 32$ -bit blocks. The 256-bit digest $T = T_1 || T_2$ is squeezed with two $T_1 = T_2 = 128$ bits, i.e., $r' = 128$. The security level against preimage attack claimed by the designers is 128-bit. We use our new attack framework to find a 3.5-round (omitting the last MC) preimage attack on PHOTON-Beetle-Hash:

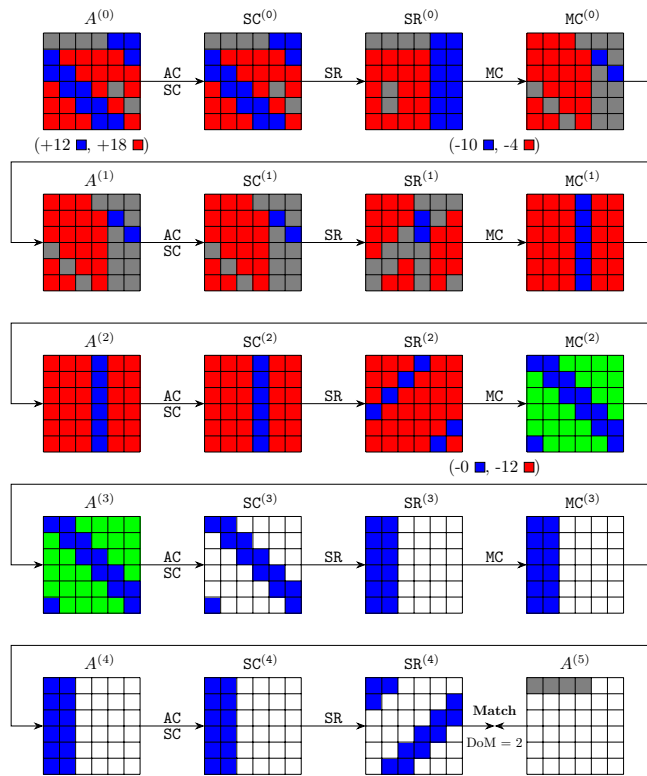


Fig. 22: The 4.5-round MitM attack on PHOTON-128/16/16

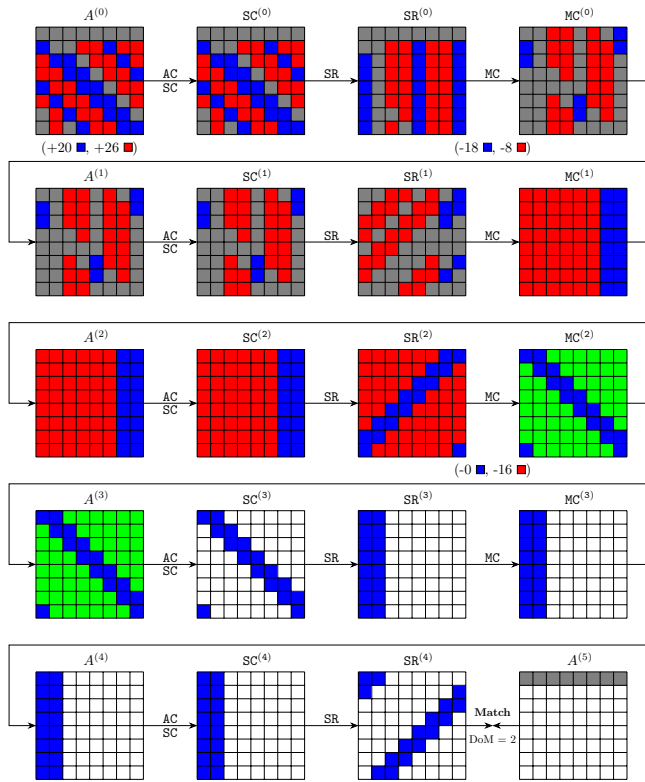


Fig. 23: The 4.5-round MitM attack on PHOTON-224/32/32

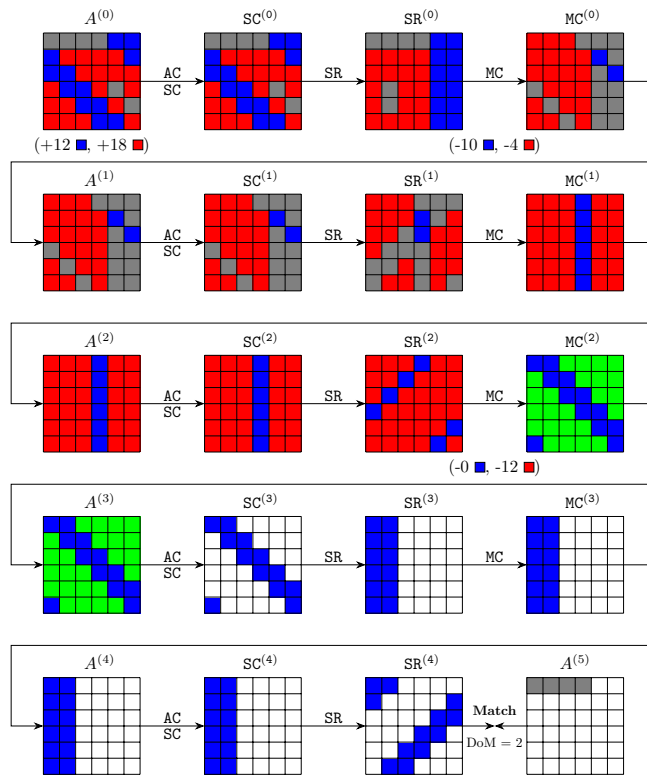


Fig. 24: The 4.5-round MitM attack on PHOTON-256/32/32

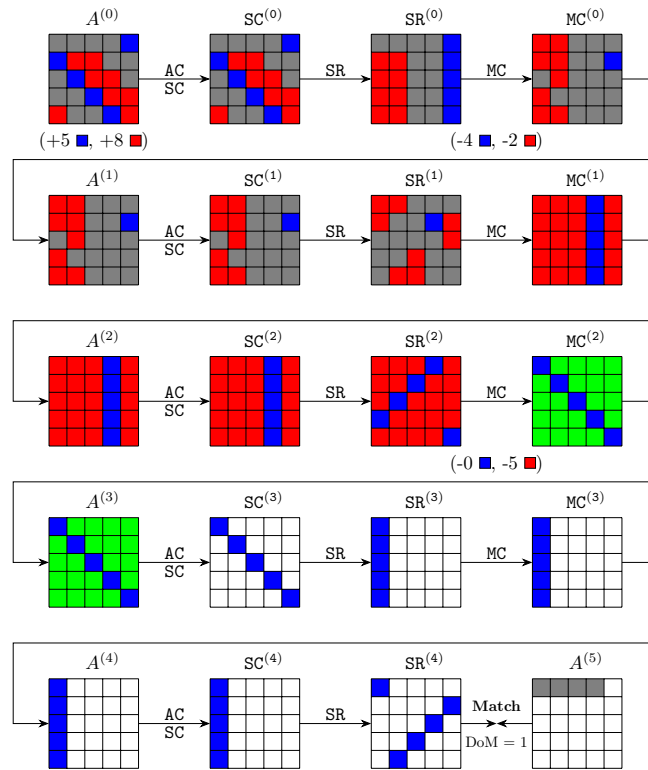


Fig. 25: The 4.5-round MitM attack on PHOTON-80/20/16

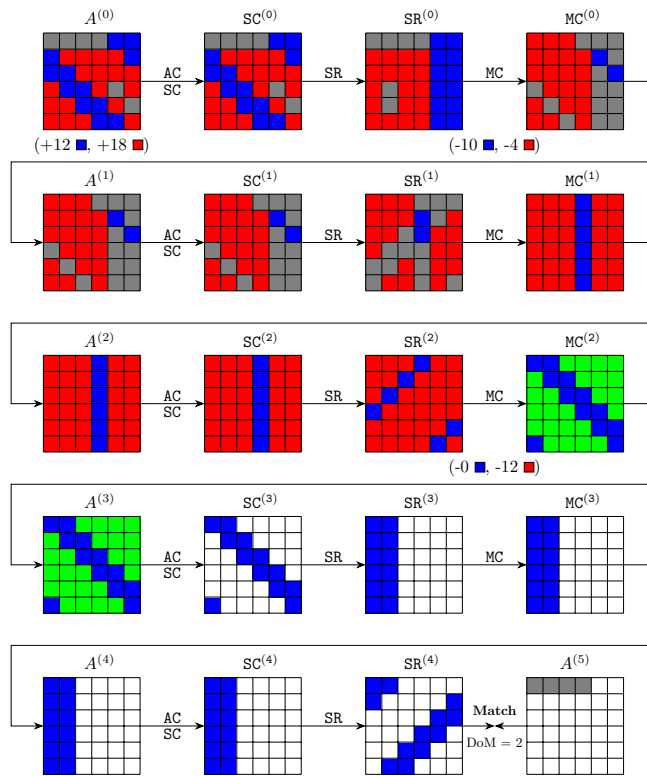


Fig. 26: The 4.5-round MitM attack on PHOTON-128/16/16

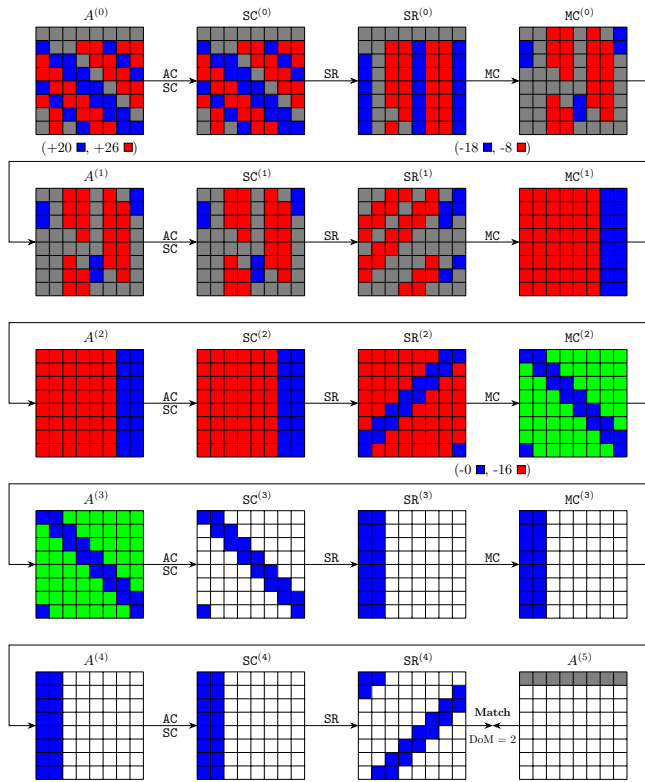


Fig. 27: The 4.5-round MitM attack on PHOTON-224/32/32

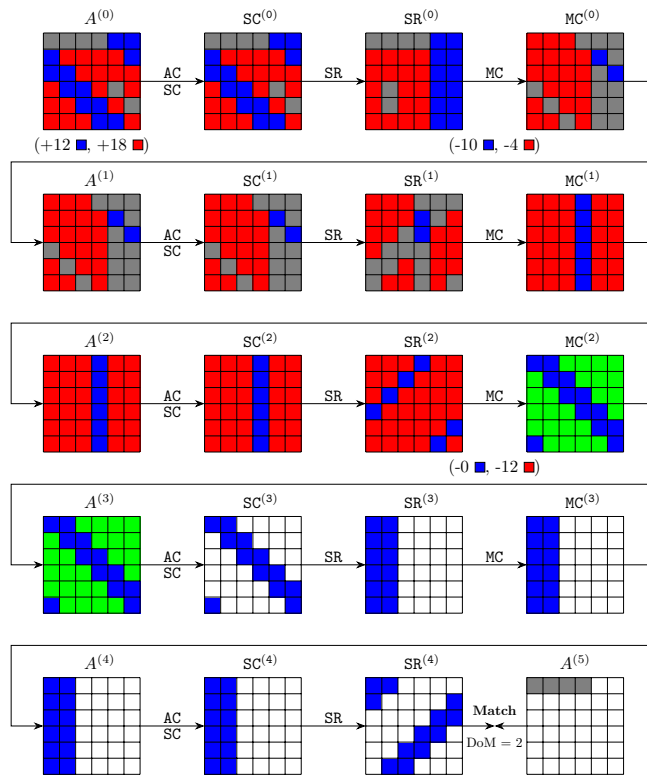


Fig. 28: The 4.5-round MitM attack on PHOTON-256/32/32

- **Phase I:** As shown in Figure 29, we conduct the MitM attack with given T_1 to find a capacity state X satisfying $f(T_1||X) = T_2||*$. There are 16 ■ nibbles and 16 ■ nibbles in the capacity state X . In the computation, there consumes 8 ■ nibbles and 8 ■ nibbles. After 3.5 rounds, we get 8 nibbles as matching points with the given target T_2 . The detail of the MitM attack is given in Algorithm 13. The time of Phase I is 2^{97} and the memory of storing U and V is 2^{65} .
- **Phase II:** We need to find a collision at $c = 256 - 32 = 224$ bits inner part. The time complexity is 2^{112} without memory.

The total time complexity is 2^{112} and memory complexity is 2^{65} .

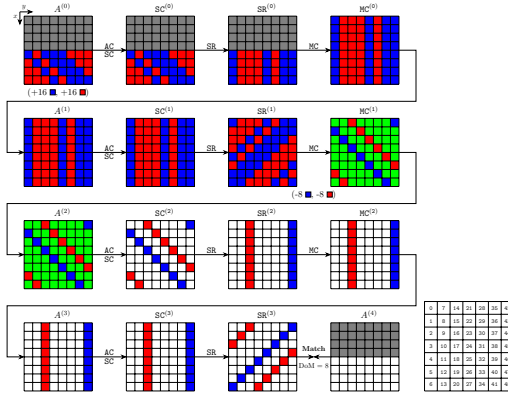


Fig. 29: The 3.5-round MitM attack on PHOTON-Beetle-Hash

The details of the MitM attack of phase I on 3.5-round PHOTON-Beetle-Hash are given in Figure 29 and Algorithm 13. There are 16 ■ nibbles and 16 ■ nibbles in the capacity state. After 3.5 rounds, we get 8 nibbles as matching points in the given target T_2 . In the computation, there consumes 8 ■ nibbles and 8 ■ nibbles. So we have $d_{\mathcal{R}}^I = d_{\mathcal{B}}^I = 8 \times 4 = 32$ and $m^I = 8 \times 4 = 32$. The time complexities are analyzed as follows:

- The time to build U is 2^{64} . There are 2^{32} values for each index ϕ on average.
- The time to build V is 2^{64} . There are 2^{32} values for each index φ on average.
- There are $2^{y+32+32-32} = 2^{y+32}$ values matched. According to Section 3, we need $2^{128-8 \times 4} = 2^{96}$ matched values. Therefore, $y = 96 - 32 = 64$ and the time complexity is $2^{64} \times (2^{32} + 2^{32} + 2^{32+32-32}) = 2^{97}$.

The time of Phase I is about 2^{97} . The memory complexity of storing U and V is 2^{65} .

Algorithm 13: MitM of Phase I in the 3.5-round Attack on PHOTON-Beetle-Hash

```

1 Set the value of the 32 ■ nibbles in  $A^{(0)}$  as the given  $T_1$ 
2  $U \leftarrow [], V \leftarrow []$ 
3 for each value of 16 ■ nibbles  $v_{\mathcal{R}}$  in  $A^{(0)}$  with ■ as fixed 0 do
4   | Compute forward to state  $\phi = \text{MC}_{1,9,17,25,33,41,42}^{(1)}$ 
5   |  $U[\phi] \leftarrow v_{\mathcal{R}}$ 
6 end
7 for each value of 16 ■ nibbles  $v_{\mathcal{B}}$  in  $A^{(0)}$  with ■ as fixed 0 do
8   | Compute forward to state  $\varphi = \text{MC}_{5,13,14,22,30,38,46}^{(1)}$ 
9   |  $V[\varphi] \leftarrow v_{\mathcal{B}}$ 
10 end
11 for  $2^y$  values of  $\phi$  and  $\varphi$  /*  $y \leq 32 + 32$  */
12 do
13   | for  $2^{32}$  values  $v_{\mathcal{R}}$  in  $U[\phi]$  do
14     | Compute  $\text{SR}^{(3)}$  and store the ■ values in  $L_U$  indexed by the 8-nibble
15     | matching point
16   | end
17   | for  $2^{32}$  values in  $V[\varphi]$  do
18     | Compute  $\text{SR}^{(3)}$  and store the ■ values in  $L_V$  indexed by the 8-nibble
19     | matching point
20   | end
21   | for values matched between  $L_U$  and  $L_V$  do
22     | Compute  $\text{SR}^{(3)}$  from the matched ■ and ■ nibbles.
23     | if it leads to the given  $T_2$  then
24       | Output the preimage as  $X$ 
25     | end
26   | end
27 end

```

F Attack on 7-round SPONGENT-88

F.1 7-round Preimage Attack on SPONGENT-88

The 7-round MitM characteristic is shown in Figure 30. The starting state $A^{(7)}$ contains 67 ■ bits and 2 ■ bits. The first 8 bits of $A^{(0)}$ are fixed as T_1 (marked as ■), and the remaining 80-bit □ are capacity which are not known. In the inverse computation from $A^{(7)}$ to $S^{(1)}$, the consumed degree of freedoms (DoFs) of ■ and DoFs of ■ are 65 and 0, respectively. Therefore, $d_B = 2$, $d_R = 2$, and there are 2 matching bits. The 7-round MitM attack is given in Algorithm 14.

Analysis of Algorithm 14. In Line 9 to 17, $2^{65+2+2+\zeta}$ states are tested against the 80-bit $T_1 \| T_3 \| \dots \| T_{11}$, therefore, $\zeta = 11$ is enough to find a preimage.

- The Line 6 to 7, the time complexity is $2^{11+67} \times \frac{32}{7 \times 22} = 2^{78} \times 2^{-2.27} = 2^{75.73}$ 7-round SPONGENT.
- The Line 12, the time complexity is $2^{11+65+2} \times \frac{46}{7 \times 22} = 2^{78} \times 2^{-1.74} = 2^{76.26}$ 7-round SPONGENT.
- The Line 17, the time complexity is $2^{11+65+2} = 2^{78}$ 7-round SPONGENT.

In **Phase II**, it is trivial to find an inner collision for the 80-bit capacity with the Floyd's cycle finding algorithm [31] with 2^{40} time and no memory.

Therefore, the total preimage attack on 7-round SPONGENT is $2^{75.73} + 2^{76.26} + 2^{78} + 2^{40} \approx 2^{78.59}$ time and $2^{67} + 2^2 + 2^2 \approx 2^{67}$ memory.

G Parameters for subterranean 2.0

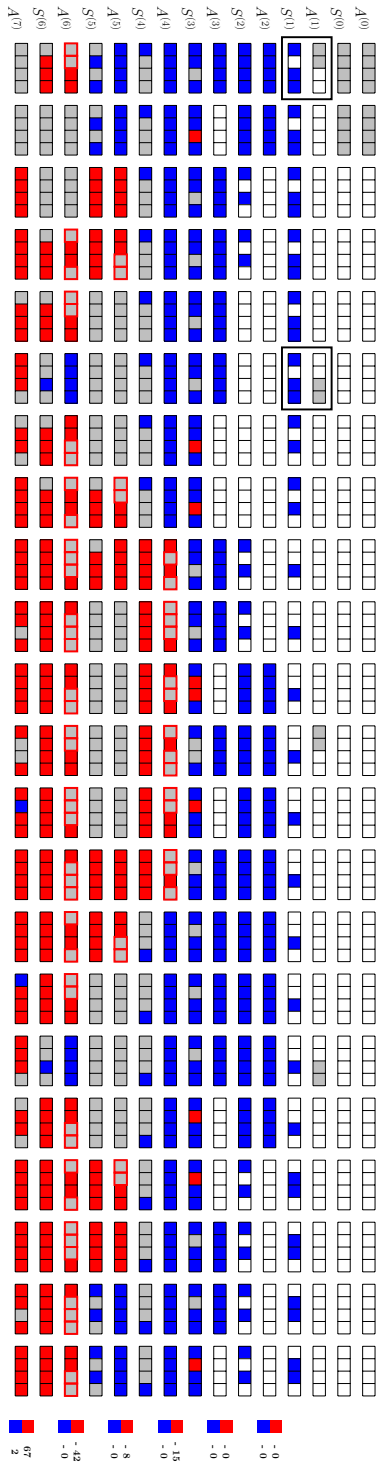


Fig. 30: The MitM preimage attack on 7-round Spongant-88

Algorithm 14: Preimage Attack on 7-round SPONGENT-88: Phase I

```

1 Fix the first 8 bits of  $A^{(0)}$  as  $T_1$ , and compute forward to get the 8-bit of  $A^{(1)}$ ,
  which are marked as ■
2 /* According to Alg. 5, prepare tables with valid elements through
   Sbox matching for the 2 Sbox. For simplicity, we build a full
   table containing all valid elements. */
3 Build the table  $L$ , stored  $2^{3+1-2} \times 2^{3+1-2} = 2^4$  valid elements indexed by
    $3 + 3 = 6$  blue bits, i.e.,  $S^{(1)}[0, 2, 3, 20, 22, 23]$ 
4 /* Therefore, under each 6-bit index, there are  $2^4/2^6 = 2^{-2}$ 
   elements. */
5 for  $2^5$  values of the 11-bit free gray bits in  $A^{(7)}$  do
6   for  $2^{67}$  values of the ■ bits  $v_{\mathcal{R}}$  in  $A^{(7)}$  do
7     Compute backward to determine the 65-bit ■/■ (denoted as
        $c_{\mathcal{R}} \in \mathbb{F}_2^{65}$ ), and store the 67-bit ■  $v_{\mathcal{R}}$  of  $A^{(7)}$  in  $V[c_{\mathcal{R}}]$ 
8   end
9   for  $c_{\mathcal{R}} \in \mathbb{F}_2^{65}$  do
10    Retrieve the  $2^2$   $v_{\mathcal{R}}$  from  $V[c_{\mathcal{R}}]$ 
11    for  $2^2$  values of ■ bits  $v_{\mathcal{B}}$  do
12      Compute to derive the  $3 + 3 = 6$  ■ bits  $\xi = S^{(1)}[0, 2, 3, 20, 22, 23]$ 
13      if  $L[\xi]$  is not empty /* Probability of  $2^{-2}$  */
14        then
15          Combine  $v_{\mathcal{B}}$  and  $2^2$   $v_{\mathcal{R}}$  to construct the full state  $Y$ 
16          if  $T_1$  is satisfied then
17            Check if  $T_3 \parallel \dots \parallel T_{11}$  is satisfied
18          end
19        end
20    end
21  end
22 end

```

i	state bits	i	state bits	i	state bits	i	state bits
0	(1, 256)	8	(64, 193)	16	(241, 16)	24	(4, 253)
1	(176, 81)	9	(213, 44)	17	(11, 246)	25	(190, 67)
2	(136, 121)	10	(223, 34)	18	(137, 120)	26	(30, 227)
3	(35, 222)	11	(184, 73)	19	(211, 46)	27	(140, 117)
4	(249, 8)	12	(2, 255)	20	(128, 129)	28	(225, 32)
5	(134, 123)	13	(95, 162)	21	(169, 88)	29	(22, 235)
6	(197, 60)	14	(15, 242)	22	(189, 68)	30	(17, 240)
7	(234, 23)	15	(70, 187)	23	(111, 146)	31	(165, 92)

Table 8: Mapping between state bits and input/output bits of Subterranean 2.0



Fig. 31: MitM Preimage Attack on Subterranean-XOF

Algorithm 15: MitM Preimage Attack on Subterranean-XOF

```

1 Fix the value to generate  $T_0$  in  $A^{(0)}$ .
2 for  $2^{60}$  values of  $\blacksquare$  in  $A^{(0)}$  do
3   for  $2^{100}$  values of the  $\blacksquare$  bits  $v_{\mathcal{R}}$  in  $A^{(0)}$  do
4     Compute the 36-bit  $\blacksquare/\blacksquare$  (denoted as  $c_{\mathcal{R}}$ ) and 65-bit matching point.
5     Build the table  $U$  and store the 100-bit  $\blacksquare$   $v_{\mathcal{R}}$  of  $A^{(0)}$  as well as the
6     matching point in  $U[c_{\mathcal{R}}]$ .
7   end
8   for  $c_{\mathcal{R}} \in 2^{36}$  do
9     Retrieve the  $2^{64}$  elements of  $U[c_{\mathcal{R}}]$  and restore  $v_{\mathcal{R}}$  in  $L_1$  under the
10    index of 65-bit matching point
11    for  $2^{64}$  values  $v_{\mathcal{B}}$  of  $\blacksquare$  bits in  $A^{(0)}$  do
12      Compute forward to the 65-bit matching point and store  $v_{\mathcal{B}}$  in  $L_2$ 
13      with the 65-bit matching as index
14      for values matched in  $L_1$  and  $L_2$  do
15        Reconstruct the state  $X$  with  $v_{\mathcal{R}}$  and  $v_{\mathcal{B}}$ 
16        if it leads to the given 224-bit hash value ( $T_2, T_3 \dots T_8$ ) then
17          Output the preimage
18        end
19      end
20    end
21  end
22 end

```
