# How to Recover the Full Plaintext of XCB

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Abstract. XCB, a tweakable enciphering mode, is part of IEEE Std. 1619.2 for shared storage media. We show that all versions of XCB are not secure through three plaintext recovery attacks. A key observation is that XCB behaves like an LRW1-type tweakable block cipher for singleblock messages, which lacks CCA security. The first attack targets oneblock XCB, using three queries to recover the plaintext. The second one requires four queries to recover the plaintext that excludes one block. The last one requires seven queries to recover the *full* plaintext. The first attack applies to any scheme that follows the XCB structure, whereas the latter two attacks work on all versions of XCB, exploiting the separable property of the underlying universal hash function. We also discuss the impact of these vulnerabilities on XCB-based applications, such as disk encryption, nonce-based encryption, deterministic authenticated encryption and robust authenticated encryption, highlighting the risks due to XCB's failure to achieve STPRP security. To address these flaws, we propose the XCB<sup>\*</sup> structure, an improved version of XCB that adds only two XOR operations. We prove that XCB\* is STPRP-secure when using AXU hash functions, SPRPs, and a secure IV-based stream cipher.

Keywords:  $XCB \cdot Tweakable enciphering mode \cdot LRW1 \cdot CCA.$ 

## 1 Introduction

The term *tweakable enciphering mode* (TEM) [15], also referred to as *tweakable wide-block cipher mode* [12], is a length-preserving encryption scheme that provides strong tweakable pseudorandom permutation (STPRP) security. TEM is a permutation for a key and a public input called "tweak". In an ideal TEM, each tweak independently induces a random permutation. The security of a TEM is defined as its indistinguishability from the ideal one, even when an attacker can select tweaks and make encryption and decryption queries.

TEM is well-suited for applications like disk encryption. By using the data unit index (e.g., sector number) as a tweak, it induces independent pseudorandom permutations to encrypt each data unit. In the last decade, significant efforts have been made in designing TEMs and proving their security. Notable constructions based on block ciphers include CMC [15], EME [16], EME\* [13], XCB [22],  $\mathbf{2}$ 

HCTR [32], PEP [6], TET [14], HEH [30], HCH [7], HCI [26], MXCB [26], TCT<sub>1</sub> [31], TCT<sub>2</sub> [31], FMix [4], Adiantum [9], and HCTR2 [10], etc.

The design of TEM often utilizes block ciphers and encounters two primary challenges: incorporating the tweak into the permutation and extending the fixed block length of the block cipher to support variable input lengths.

For the first challenge, if we limit the message length to one block, TEM becomes the foundational concept of a tweakable block cipher (TBC) which was proposed by Liskov et al. in their seminal work [21]. This allows us to focus solely on methods for incorporating tweaks. Common TBC constructions based on block ciphers include LRW1, LRW2 [21], CLRW2 [20], and TNT [2], etc. Among these constructions, it is notable that LRW1 is the only one secure against chosen plaintext attacks (CPA) but not against chosen ciphertext attacks (CCA).

For the second challenge, TEMs typically utilize three frameworks to build a variable-input-length permutation [7]: Encrypt-Mask-Encrypt, Hash-CTR-Hash, and Hash-ECB-Hash. Here, "Hash" refers to a *universal hash function*, which is a component more efficient than a block cipher in the construction of modes.

**XCB** was initially proposed by McGrew et al. [22] in 2004 without security proof. We refer to it as XCBv1. In 2007, they proposed another version [23], referred to as XCBv2, with a security proof of STPRP. Subsequently, in 2010, XCBv2 was included into the IEEE 1619.2 standard [18].

In 2013, Chakraborty et al. [5] showed that XCBv2 is not secure when the message length is not a multiple of block length, using a distinguishing attack. They also gave security proofs for XCBv1 and the multiple-block-length XCBv2, referred to as XCBv2fb.

Recently, Bhati et al. [3] proposed an attack against XCBv2fb that can recover the plaintext, except for one block data, using only two queries. So it is a *partial* plaintext recovery attack. They also gave a fixed version, XCBv3, along with its security proof. We observe that by making an additional decryption query, the attacker can retrieve the entire plaintext. But these attacks are not applied to XCBv1 and XCBv3.

In summary, even though the security of XCBv2 [23] and XCBv2fb [5] has been compromised, XCBv1 [22] and XCBv3 [3] are still considered secure according to the literature, albeit with weaker bounds compared to other TEMs [5,3]. There are no *full* plaintext recovery attacks against any version of XCB.

XCB consists of two algorithms: encryption **E** and decryption **D**. Specifically, **E** takes a key K, a tweak T and a plaintext P as input and outputs a ciphertext  $C = \mathbf{E}_{K}^{T}(P)$ .  $\mathbf{D}_{K}^{T}$  is the inverse of  $\mathbf{E}_{K}^{T}$ . For simplicity, we will omit the key in the notation for both encryption and decryption in the following discussion.

**Plaintext Recovery Attacks.** Our main contribution is the proposal of three plaintext recovery attacks applicable to all versions of XCB. These attacks follow a consistent pattern of alternate querying  $\mathbf{D}^{T'}$  and  $\mathbf{E}^{T}$ , with variations in the number of queries and the length of the message.

The key observation is that XCB, when processing a single-block message, behaves similarly to an LRW1-type tweakable block cipher, which does not provide CCA security. Attack 1. When the message length is one block, similar to the distinguishing attack on LRW1 described in the paper presentation of [19], we have the equation:

$$\mathbf{D}^{T'}\mathbf{E}^T\mathbf{D}^{T'}\mathbf{E}^T = I,$$

where I is an identity transformation. Of course, we can use this equation to distinguish XCB from the corresponding ideal TEM. We reformulate it as  $\mathbf{D}^{T'}\mathbf{E}^T\mathbf{D}^{T'} = \mathbf{D}^T$ . Hence, given (T, C), the corresponding plaintext can be retrieved in the following manner: first, use C to query  $\mathbf{D}^{T'}$ ; then, query  $\mathbf{E}^T$  using the obtained result; and finally, query  $\mathbf{D}^{T'}$  again. This process results in obtaining  $\mathbf{D}^T(C)$ .

Attack 1 is independent of the specific properties of the components in XCB, and therefore, it can be applied to any scheme that follows the XCB structure, including XCBv1 [22], XCBv2 [23], XCBv2fb [5] and XCBv3 [3].

Attack 2. For a longer ciphertext, such as a typical sector length of 512 bytes, how can we recover the plaintext? By making an additional query to  $\mathbf{E}^T$ , we can recover the plaintext except for one block.

The attack exploits the separable property of the universal hash function (UHF) in XCB. In simple terms, any string XORed with the input of the UHF h can be separated from the function, as demonstrated by the equation:

$$h(X \oplus \Delta_1, Y \oplus \Delta_2) = h(X, Y) \oplus g(\Delta_1, \Delta_2),$$

where g is a keyed function that is independent of both X and Y.

Attack 3: Surprisingly, by executing three additional queries, we can recover the entire plaintext of XCB. The reason is the following equation,

$$\mathbf{D}^{T'}\mathbf{E}^T\mathbf{D}^{T'}\mathbf{E}^T\mathbf{D}^{T'}\mathbf{E}^T\mathbf{D}^{T'}=\mathbf{D}^T.$$

Attack 2 and 3 can be applied to any scheme that utilizes the XCB structure with separable UHFs, including XCBv1 [22], XCBv2 [23], XCBv2fb [5], XCBv3 [3], HCI and MXCB [26].

We summarize the three attacks in Table 1. In particular, regarding the standard version of XCBv2 [18], we present the first *full* plaintext attack.

**Table 1.** Three attacks with their message length, number of queries, recovery bits,application scope and target schemes.

	Message	Number of	Recovery	Application	Target
	length	queries	bits	scope	schemes
Attack 1	m = n	3	n	The XCB structure	XCBv1 [22], XCBv2 [23], XCBv2fb [5], XCBv3 [3]
Attack 2	$m \ge n$	4	m-n		XCBv1 [22], XCBv2 [23],
Attack 3	$m \ge n$	7	m	with separable UHFs	XCBv2fb [5], XCBv3 [3], HCI [26], MXCB [26]

**Implications.** TEM has many applications beyond disk encryption. Recently, NIST [8] intends to develop a tweakable enciphering mode named "accordion mode" which emphasizes its variable input length. NIST aims to standardize an accordion mode for three applications: authenticated encryption with associated data (AEAD), tweakable encryption for storage devices, and deterministic authenticated encryption. We examine how the attacks affect applications based on XCB, including disk encryption, nonce-based encryption, deterministic authenticated encryption and robust authenticated encryption. All applications require the underlying TEM to be STPRP-secure. We demonstrate how to transform attacks on XCB into attacks on these XCB-based applications.

**Modification.** We modify the XCB structrure into XCB<sup>\*</sup> with only extra two XOR operations. We prove that, when  $h_1$  and  $h_2$  are almost XOR universal (AXU) hash functions, e and d are SPRPs, and c is a secure IV-based stream cipher, the XCB<sup>\*</sup> structure is an STPRP.

In the following, we give notations and definitions in Section 2, the XCB structure in Section 3, three plaintext recovery attacks in Section 4, implications in Section 5 and the modification in Section 6.

### 2 Preliminaries

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**Notations.** Let  $\mathcal{X}$  be a set. Let  $x \stackrel{\$}{\leftarrow} \mathcal{X}$  denote selecting an element x from the set  $\mathcal{X}$  uniformly at random. Let  $\mathsf{Perm}(\mathcal{X})$  be a set of all length-preserving permutations on  $\mathcal{X}$ . If  $\mathcal{X} = \{0,1\}^n$ , we denote  $\mathsf{Perm}(\mathcal{X})$  as  $\mathsf{Perm}(n)$ . Let  $\pi \stackrel{\$}{\leftarrow} \mathsf{Perm}(\mathcal{X})$  be a random permutation on  $\mathcal{X}$ . Let  $\mathsf{TPerm}(\mathcal{T}, \mathcal{X})$  be a set of all length-preserving tweakable permutations on  $\mathcal{X}$  with tweak space of  $\mathcal{T}$ . Let  $\tilde{\pi} \stackrel{\$}{\leftarrow} \mathsf{TPerm}(\mathcal{T}, \mathcal{X})$  be a random tweakable permutation, so that  $\tilde{\pi}(T, \cdot)$  is a random permutation for each  $T \in \mathcal{T}$ . Let  $\mathcal{A}$  be an adversary. Let  $\mathcal{A}^{f(\cdot)} \Rightarrow b$  represent an algorithm that performs queries on the oracle f and outputs the bit b.

For a binary string X, |X| denotes the length of X in bits. Let  $\operatorname{msb}_r(X)$ and  $\operatorname{lsb}_r(X)$  be the r leftmost and the r rightmost bits of X respectively. For  $X, Y \in \{0, 1\}^n, X \oplus Y$  and XY respectively denote addition and multiplication in  $GF(2^n)$ . Let  $\operatorname{bin}_s(i)$  be the s-bit binary representation of i. X[a, b] denotes the substring of X from the *a*th bit through the *b*th, and indexing starts at 0.

**Block cipher.** A block cipher (or BC for short)  $E : \mathcal{K} \times \{0,1\}^n \to \{0,1\}^n$  is a function with key space  $\mathcal{K}$  and message space  $\{0,1\}^n$  such that for every key  $K \in \mathcal{K}, E(K, \cdot)$  is a permutation on  $\{0,1\}^n$ . The inverse E is denoted by D such that  $D(K, \cdot)$  is the inverse of  $E(K, \cdot)$ . We write E(K, P) (D(K, C)) as  $E_K(P)$  $(D_K(C))$  and sometimes omit K for convenience.

**Tweakable enciphering mode.** A tweakable enciphering mode (or TEM for short)  $\mathbf{E} : \mathcal{K} \times \mathcal{T} \times \mathcal{X} \to \mathcal{X}$  is a function with key space  $\mathcal{K}$ , tweak space  $\mathcal{T}$ , and message space  $\mathcal{X}$  such that for every key  $K \in \mathcal{K}$  and every tweak  $T \in \mathcal{T}$ ,  $\mathbf{E}(K,T,\cdot)$  is a length-preserving permutation on  $\mathcal{X}$ . The inverse of  $\mathbf{E}$  is denoted by  $\mathbf{D}$  such that  $\mathbf{D}(K,T,\cdot)$  is the inverse of  $\mathbf{E}(K,T,\cdot)$ . We write  $\mathbf{E}(K,T,P)$  $(\mathbf{D}(K,T,C))$  as  $\mathbf{E}_{K}^{T}(P)$   $(\mathbf{D}_{K}^{T}(C))$  and sometimes omit K for convenience. If  $\mathcal{X}$  is  $\{0,1\}^n$ , then the TEM is referred to as a tweakable block cipher (TBC). Additionally, if  $\mathcal{T}$  is empty, the TEM becomes a block cipher (BC).

Strong tweakable pseudorandom permutation (STPRP). Here, we consider the adversary  $\mathcal{A}$  that can query both the encryption and the decryption oracles. Let  $\mathbf{E} : \mathcal{K} \times \mathcal{T} \times \mathcal{X} \to \mathcal{X}$  be a tweakable enciphering mode. Let  $\tilde{\pi} \stackrel{\$}{\leftarrow} \mathsf{TPerm}(\mathcal{T}, \mathcal{X})$ . The STPRP advantage of  $\mathcal{A}$  is defined as:

$$\mathbf{Adv}_{\mathbf{E}}^{\mathrm{stprp}}(\mathcal{A}) = \Pr_{K \stackrel{\$}{\leftarrow} \mathcal{K}} \left[ \mathcal{A}^{\mathbf{E}_{K}, \mathbf{D}_{K}} \Rightarrow 1 \right] - \Pr_{\tilde{\pi} \stackrel{\$}{\leftarrow} \mathsf{TPerm}(\mathcal{T}, \mathcal{X})} \left[ \mathcal{A}^{\tilde{\pi}, \tilde{\pi}^{-1}} \Rightarrow 1 \right]$$

If  $\mathcal{T} = \emptyset$ , we write the notion of STPRP as SPRP.

Universal hash function.  $h : \mathcal{K} \times \mathcal{X} \times \mathcal{Y} \to \{0,1\}^n$  is  $\epsilon$ -almost xor universal ( $\epsilon$ -AXU) if for any given two distinct inputs  $(X, Y), (X', Y') \in \mathcal{X} \times \mathcal{Y}$  and any output  $Z \in \{0,1\}^n$ ,

$$\Pr_{K \stackrel{\$}{\leftarrow} \mathcal{K}} [h_K(X, Y) \oplus h_K(X', Y') = Z] \le \epsilon.$$

When Z is fixed to  $0^n$ , h is called  $\epsilon$ -almost universal ( $\epsilon$ -AU).

**Separable UHF.** The universal hash function h is separable if there exists a keyed function g, such that for any  $X, Y, \Delta_1, \Delta_2$  ( $|X| = |\Delta_1|, |Y| = |\Delta_2|$ ), the following equation holds,

$$h_K(X \oplus \Delta_1, Y \oplus \Delta_2) = h_K(X, Y) \oplus g_K(\Delta_1, \Delta_2).$$

### **3** XCB and Previous Attacks

#### 3.1 The XCB structure

XCB has two main versions: XCBv1 [22] and XCBv2 [23] (XCBv2fb [5] is the multiple-block-length XCBv2, and XCBv3 [3] a fixed version of XCBv2fb). We provide detailed descriptions of XCBv1 and XCBv2 in Appendix A. To make our attacks as general as possible, we abstract the underlying structure of all versions of XCB, as shown in Figure 1.

The encryption **E** and decryption **D** in the XCB structure use the following components:  $e, d, c, h_1$  and  $h_2$ . e and d are keyed permutations on  $\{0, 1\}^n$ , and instantiated by the encryption and decryption of a block cipher respectively. Their inverses are  $e^{-1}$  and  $d^{-1}$  respectively. c is a stream cipher, instantiated by the CTR mode.  $h_1$  and  $h_2$  are two universal hash functions. Note that they all contain keys. For simplicity, we omit the keys in the text and figures. The message of XCB is written as A||B, where A is an n-bit string fitting for the block length of the block cipher and B is the rest of the message.

The main differences between the two versions of XCB are as follows. See Appendix A for more details.

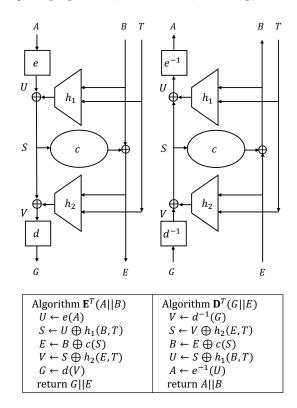


Fig. 1. The XCB structure

- Data sequential arrangement: In XCBv1, following the generic XCB structure, the plaintext is denoted as  $A \parallel B$ , with its corresponding ciphertext as  $G \parallel E$ . In contract, XCBv2 rearranges the plaintext to  $B \parallel A$ , resulting in the ciphertext being reordered as  $E \parallel G$ . The sequential arrangement of input and output data does not impact security. For simplicity, we will use the XCBv1 arrangement in the subsequent sections.
- Key size: In XCBv1, the key length is the same as the block length, which means XCBv1 can use AES-128. In contrast, XCBv2's key length matches the key length of the block cipher, allowing it to use all versions of AES.
- Keys for UHFs: The keys for the components are generated from the main key. In XCBv1, each of the two UHFs employs a different key, while in XCBv2, both functions share the same key.

### 3.2 Separable UHFs

We first define a common function used by UHFs in XCBv1 and XCBv2.

$$h_H(X,Y) = X_1 H_i^{u+v+1} \oplus X_2 H^{u+v} \oplus \dots \oplus X_{u-1} H^{v+3} \oplus X_u H^{v+2}$$

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$$\oplus Y_1 H^{v+1} \oplus Y_2 H^v \oplus \dots \oplus Y_{v-1} H^3 \oplus Y_v H^2 \oplus (\operatorname{bin}_{\frac{n}{2}}(|X|) \parallel \operatorname{bin}_{\frac{n}{2}}(|Y|)) H$$

$$(1)$$

where  $X_1 ||X_2|| \cdots ||X_u| = \operatorname{pad}(X)$ ,  $Y_1 ||Y_2|| \cdots ||Y_v| = \operatorname{pad}(Y)$ ,  $|X_i| = n$ ,  $|Y_j| = n$ ,  $i = 1, 2, \cdots, u$  and  $j = 1, 2, \cdots, v$ . If |X| is a multiple of n, then  $\operatorname{pad}(X) = X$ . Otherwise,  $\operatorname{pad}(X) = X ||0^r$ , where r is the smallest number required to make  $|(X||0^r)|$  a multiple of n.

In XCBv1,  $h_1$  and  $h_2$  are defined as:

$$h_i(X,T) = h_{H_i}(X,T), i = 1, 2,$$

where  $H_1$  and  $H_2$  are two distinct keys for UHFs.

In XCBv2,  $h_1$  and  $h_2$  are defined as:

$$h_1(X,T) = h_H(0^n || T, \text{pad}(X) || 0^n),$$

 $h_2(X,T) = h_H(T || 0^n, \operatorname{pad}(X) || \operatorname{bin}_{\frac{n}{2}}(|(T || 0^n)|) || \operatorname{bin}_{\frac{n}{2}}(|X|),$ 

where H is the same key shared by UHFs.

Then we have the following lemma.

**Lemma 1.** The universal hash functions  $h_1, h_2$  in XCBv1 and XCBv2 are separable.

*Proof.* Note that Theorem 2 in [23] mentions that the universal hash functions in XCBv2 are linear, and the linear property naturally ensures their separability. We first prove the separability of h. From (1) we have

$$\begin{aligned} h(X \oplus \Delta_1, Y \oplus \Delta_2) \\ = & (X_1 \oplus \Delta_{11}) H^{u+v+1} \oplus \dots \oplus (X_u \oplus \Delta_{1u}) H^{v+2} \oplus (Y_1 \oplus \Delta_{21}) H^{v+1} \oplus \dots \\ \oplus & (Y_v \oplus \Delta_{2v}) H^2 \oplus (\operatorname{bin}_{\frac{n}{2}}(|X \oplus \Delta_1|) \parallel \operatorname{bin}_{\frac{n}{2}}(|Y \oplus \Delta_2|)) H \\ = & X_1 H^{u+v+1} \oplus \dots \oplus X_u H^{v+2} \oplus Y_1 H^{v+1} \oplus \dots \oplus Y_v H^2 \\ \oplus & (\operatorname{bin}_{\frac{n}{2}}(|X|) \parallel \operatorname{bin}_{\frac{n}{2}}(|Y|)) H \\ \oplus & \Delta_{11} H^{u+v+1} \oplus \dots \oplus \Delta_{1u} H^{v+2} \oplus \Delta_{21} H^{v+1} \oplus \dots \oplus \Delta_{2v} H^2 \\ = & h(X,Y) \oplus g(\Delta_1, \Delta_2), \end{aligned}$$

where  $X_1 \| ... \| X_u = \text{pad}(X)$ ,  $\Delta_{11} \| ... \| \Delta_{1u} = \text{pad}(\Delta_1)$ ,  $Y_1 \| ... \| Y_v = \text{pad}(Y)$ ,  $\Delta_{21} \| ... \| \Delta_{2v} = \text{pad}(\Delta_2)$  and

$$g(\Delta_1, \Delta_2) = \Delta_{11} H^{u+v+1} \oplus \cdots \oplus \Delta_{1u} H^{v+2} \oplus \Delta_{21} H^{v+1} \oplus \cdots \oplus \Delta_{2v} H^2.$$

In XCBv1,  $h_1, h_2$  use h directly with two keys, so they are separable. In XCBv2, it is easy to verify that  $pad(X \oplus \Delta) = pad(X) \oplus pad(\Delta)$  for any X and  $\Delta$  satisfying  $|X| = |\Delta|$ , indicating that they are also separable.

In the following text, if  $h_1$  and  $h_2$  are separable, we denote

$$h_1(X \oplus \Delta_1, T \oplus \Delta_2) = h_1(X, T) \oplus g_1(\Delta_1, \Delta_2),$$
  
$$h_2(X \oplus \Delta_1, T \oplus \Delta_2) = h_2(X, T) \oplus g_2(\Delta_1, \Delta_2).$$

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#### 3.3 Previous attacks on XCB

Chakraborty et al. [5] observed that the padding function of pad is not injective. For example, for  $B = 0^{3n}$  and  $B' = 0^{3n-1}$ ,  $pad(B) = pad(B') = 0^{3n}$ . Therefore, in XCBv2,  $h_1$  is not an almost universal hash function, due to the equation  $h_1(B,T) = h_1(B',T)$ . If we query the encryption oracle with (T,A||B) and (T,A||B'), we get G||E and G'||E' respectively. The component creceives the same input, resulting in identical outputs. Therefore,  $msb_n(B\oplus E) = msb_n(c(e(A) \oplus h_1(B,T))) = msb_n(c(e(A) \oplus h_1(B',T))) = msb_n(B' \oplus E')$ . So, we can use the equation of  $msb_n(B\oplus E) = msb_n(B'\oplus E')$  to distinguish XCBv2 from a tweakable random permutation. To prevent the attack, they restricted the message to multiples of the block length and called it XCBv2fb. They also provided security proofs for both XCBv1 and XCBv2fb.

The partial plaintext recovery attack against XCBv2fb, as presented by Bhati et al. [3], exploits the separable property of UHFs and the fact that  $h_1$  and  $h_2$  share the same key. Given (T, G || E), to recover the corresponding plaintext A || B, the attacker query  $(T, G || (E \oplus \Delta)$  to the decryption oracle and get  $A_1 || B_1$ . Next, they query the encryption oracle with  $(T, A_1 || (B_1 \oplus \Delta)$  and obtain  $G_2 || E_2$ . Finally, the partial plaintext B is recovered using the formula  $B = B_1 \oplus E \oplus E_2 \oplus \Delta$ . They also gave a fixed version, XCBv3, accompanied by its security proof. In XCBv3,  $h_1$  and  $h_2$  utilize different keys derived from the main key, similar to the approach used in XCBv1.

If the attacker continue to query the decryption oracle with  $(T, G_2 || (E_2 \oplus \Delta))$ and obtain  $A_3 || B_3$ , then  $A = A_3$ . Therefore we get a *full* plaintext recovery attack against XCBv2fb. For more details, please refer to Appendix B. But these attacks are not applicable to XCBv1 and XCBv3.

We note that all versions of XCB, including XCBv1, XCBv2, XCBv2fb and XCBv3 follow the XCB structure in Figure 1 and their UHFs are all separable.

In the following discussion, we propose a set of more general plaintext recovery attacks that can be applied to all versions of XCB. As a result, none of the XCB versions, including XCBv1 and XCBv3, are secure.

## 4 Recover the (Full) Plaintext of XCB

#### 4.1 XCB is an LRW1-type TEM

A key observation is that when we minimize the length of the message to one block (at this point m = n and the input B is an empty string), XCB becomes an LRW1-type construction. Figure 2 gives the encryption of XCB in this case. In this scenario, the hash value of tweak T is XORed into the state between two keyed permutations. Specifically,

$$\mathbf{E}^T(A) = d(e(A) \oplus h'(T)),$$

where  $h'(T) = h_1(T) \oplus h_2(T)$ .

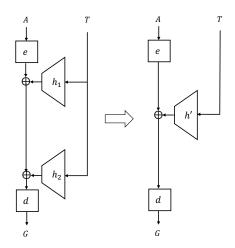


Fig. 2. The encryption **E** of XCB when m = n

The encryption of LRW1 is

$$\mathbf{E}^T(P) = e(e(P) \oplus T),$$

where e is a block cipher. LRW1 is a CPA secure tweakable block cipher when e is a PRP, and there exists a 4-query CCA attack to distinguish it from a tweakable random permutation as shown in the paper presentation of [19]. It is easy to verify that  $\mathbf{D}^{T_4}\mathbf{E}^{T_3}\mathbf{D}^{T_2}\mathbf{E}^{T_1} = I$  for tweaks  $T_i, i = 1, 2, 3, 4$  satisfying  $\bigoplus_{i=1}^{4} T_i = 0$ . So we can use this property to distinguish LRW1 from a tweakable random permutation.

In XCB (m = n), the difference is that the tweak is processed by a UHF. We just choose tweaks satisfying  $T_1 = T_3 = T$  and  $T_2 = T_4 = T'$ , so that

$$\mathbf{D}^{T'}\mathbf{E}^T\mathbf{D}^{T'}\mathbf{E}^T = I$$

for XCB (m = n). We can use this property to perform a distinguishing attack with 4 queries. But if we turn the equation into

$$\mathbf{D}^{T'}\mathbf{E}^T\mathbf{D}^{T'}=\mathbf{D}^T,$$

for any tweak-ciphertext pair (T, G), the queries to  $\mathbf{D}^{T'}$ ,  $\mathbf{E}^{T}$  and  $\mathbf{D}^{T'}$  in succession is equivalent to direct decryption of  $\mathbf{D}^{T}$ . Therefore, we only use 3 queries to recover the full plaintext. This is Attack 1.

We further extend the attack to the case with arbitrary message lengths, resulting in Attack 2 and Attack 3.

#### 4.2 Overview of three attacks

Given a tweak-ciphertext pair (T, G||E) of XCB, how can one retrieve the corresponding plaintext A||B without direct decryption? We call it a full recovery

plaintext attack when all  $A \parallel B$  can be recovered, and we call it a partial recovery plaintext attack when only A or B can be recovered. In the following, we assume that the message length is m bits and the block length of the block cipher is nbits.

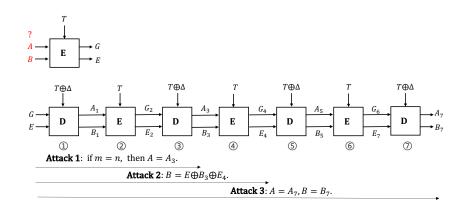


Fig. 3. Three plaintext recovery attacks

Figure 3 illustrates our three attacks that share a consistent pattern of alternating queries between the decryption and encryption oracles. Given (T, G||E), the attacker first queries the decryption oracle with (T', G||E) and gets  $(A_1||B_1) = \mathbf{D}^{T'}(G||E)$ , where  $T' = T \oplus \Delta$  is a different tweak from T, and  $\Delta \neq 0$ . The attacker then queries the encryption oracle with  $(T, A_1||B_1)$ , and gets  $(G_2||E_2) = \mathbf{E}^T(A_1||B_1)$ . So the attacker queries  $\mathbf{D}^{T'}$  and  $\mathbf{E}^T$  alternatively. We assume that the attacker gets the following answers:  $A_3||B_3, G_4||E_4, A_5||B_5, G_6||E_6$  and  $A_7||B_7$ , as illustrated in Figure 3.

The attacks differ in the number of queries and the length of the message.

- Attack 1 needs first three queries and the message length m = n. The final output  $A_3$  is the full plaintext A.
- Attack 2 needs first four queries and has no restrictions on message length. The final output  $E \oplus B_3 \oplus E_4$  is the partial plaintext B.
- Attack 3 needs all seven queries and has no restrictions on message length. The resulting output,  $A_7 || B_7$ , is the full plaintext A || B.

In the following, we demonstrate the correctness of the attacks. Additionally, we verify the attacks using the standard version of XCBv2 in Appendix C.

In the process of encrypting or decrypting with XCB, as shown in Figure 1, we define three intermediate values: U, S, and V. More specifically, for  $\mathbf{E}^{T}(A||B) = G||E$  or  $\mathbf{D}^{T}(G||E) = A||B$ , we have

- -U = e(A),
- $V = d^{-1}(G),$

$$-S = U \oplus h_1(B,T) = V \oplus h_2(E,T).$$

For the *i*-th query, the corresponding three values are denoted as  $U_i$ ,  $S_i$  and  $V_i$ ,  $i = 1, 2, \dots, 7$ .

### 4.3 Attack 1: full plaintext recovery attack with 3 queries (m = n)

Attack 1 performs the first three queries with a message length of m = n, and the output is  $A_3$ .

The correctness of Attack 1 comes from the following proposition.

**Proposition 1.** In XCB, if the message length m = n, then for any different T and T',

$$\mathbf{D}^{T'}\mathbf{E}^T\mathbf{D}^{T'}=\mathbf{D}^T.$$

*Proof.* For  $\mathbf{E}^T(A) = G$  or  $\mathbf{D}^T(G) = A$ , the intermediate values U and V are shown in Figure 4. The left side of the equation in Proposition 1 corresponds to three queries in Attack 1 and the right side corresponds to the direct decryption. For the *i*-th query, the intermediate values are  $U_i$  and  $V_i$ .

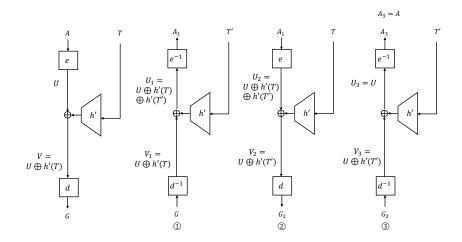


Fig. 4. The process of  $\mathbf{D}^{T'}, \mathbf{E}^{T}, \mathbf{D}^{T'}$  (Query 1 to Query 3)

For distinct T and  $T^\prime,$  the intermediate values before and during the queries are

$$U = e(A),$$
  

$$V = U \oplus h'(T) = V_1,$$
  

$$U_1 = U \oplus h'(T) \oplus h'(T') = U_2,$$

$$V_2 = U \oplus h'(T') = V_3$$
$$U_3 = U.$$

So  $A_3 = e^{-1}(U) = e^{-1}(e(A)) = A$ . That is,  $\mathbf{D}^{T'}\mathbf{E}^T\mathbf{D}^{T'}(G) = A = \mathbf{D}^T(G)$ , so we have  $\mathbf{D}^{T'}\mathbf{E}^T\mathbf{D}^{T'} = \mathbf{D}^T$ .

Since Attack 1 does not rely on any specific properties of the underlying components, it applies not only to XCBv1, XCBv2, and XCBv2bf, but also to any scheme that follows the XCB structure.

To exploit the method to add the tweak, we restrict the message length as a block in other TEMs. We divide the methods into four types: LRW1, LRW2, CLRW2 and TNT in Appendix D. We find that HCI and MXCB [26] are also LRW1-type constructions. Although the minimal message length is set to be two blocks, Attack 1 cannot be applied to HCI and MXCB. However, the following attacks are effective.

#### 4.4 Attack 2: partial plaintext recovery attack with 4 queries

Attack 2 executes the first four queries without message length restrictions. The output is  $E \oplus B_3 \oplus E_4$ .

The correctness of Attack 2 comes from the following proposition.

**Proposition 2.** In XCB, for any different T and T', if  $h_1, h_2$  are separable and

$$\mathbf{E}^{T}(A||B) = G||E,$$
  
$$\mathbf{D}^{T'}\mathbf{E}^{T}\mathbf{D}^{T'}(G||E) = A_{3}||B_{3},$$
  
$$\mathbf{E}^{T}(A_{3}||B_{3}) = G_{4}||E_{4}.$$

Then we have

$$B = E \oplus B_3 \oplus E_4.$$

*Proof.* We define the intermediate values (U, S, V) and  $(U_i, S_i, V_i)$  as before. For different values of T and  $T' = T \oplus \Delta$ , assuming that  $\mathbf{E}^T(A||B) = G||E$ , we compute the intermediate values. These results are illustrated in Figure 5.

Before queries, we have

$$U = e(A),$$
  

$$S = U \oplus h_1(B, T) = V \oplus h_2(E, T),$$
  

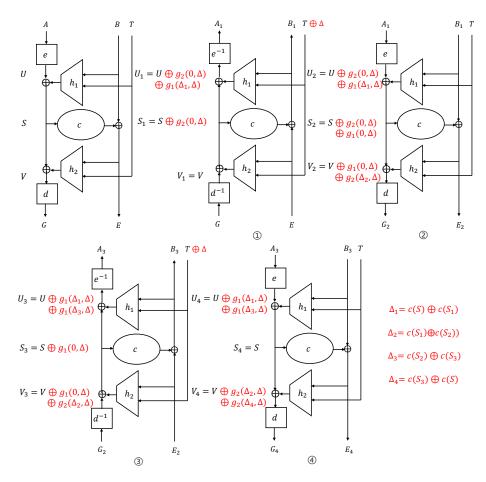
$$V = d^{-1}(G),$$
  

$$c(S) = B \oplus E.$$
(2)

For Query 1:

$$V_1 = V,$$
  

$$S_1 = S \oplus h_2(E, T) \oplus h_2(E, T \oplus \Delta) = S \oplus g_2(0, \Delta),$$



**Fig. 5.** The process of  $\mathbf{D}^{T'}, \mathbf{E}^{T}, \mathbf{D}^{T'}, \mathbf{E}^{T}$  (Query 1 to Query 4)

$$U_1 = S_1 \oplus h_1(B_1, T \oplus \Delta) = S \oplus g_2(0, \Delta) \oplus h_1(B, T) \oplus g_1(\Delta_1, \Delta)$$
$$= U \oplus g_2(0, \Delta) \oplus g_1(\Delta_1, \Delta),$$
$$c(S_1) = B_1 \oplus E,$$

where

$$\Delta_1 = B \oplus B_1 = c(S) \oplus E \oplus c(S_1) \oplus E = c(S) \oplus c(S_1).$$

For Query 2:

$$U_2 = U_1 = U \oplus g_2(0, \Delta) \oplus g_1(\Delta_1, \Delta),$$
  

$$S_2 = S_1 \oplus h_1(B_1, T \oplus \Delta) \oplus h_1(B_1, T) = S \oplus g_2(0, \Delta) \oplus g_1(0, \Delta),$$
  

$$V_2 = S_2 \oplus h_2(E_2, T) = S \oplus g_2(0, \Delta) \oplus g_1(0, \Delta) \oplus h_2(E, T) \oplus g_2(\Delta_2, 0)$$

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$$= V \oplus g_1(0, \Delta) \oplus g_2(\Delta_2, \Delta),$$
  
$$c(S_2) = B_1 \oplus E_2,$$

where

$$\Delta_2 = E \oplus E_2 = c(S_1) \oplus B_1 \oplus c(S_2) \oplus B_1 = c(S_1) \oplus c(S_2).$$

For Query 3:

$$V_{3} = V_{2} = V \oplus g_{1}(0, \Delta) \oplus g_{2}(\Delta_{2}, \Delta),$$

$$S_{3} = S_{2} \oplus h_{2}(E_{2}, T) \oplus h_{2}(E_{2}, T \oplus \Delta) = S \oplus g_{1}(0, \Delta),$$

$$U_{3} = S_{3} \oplus h_{1}(B_{3}, T \oplus \Delta) = S \oplus g_{1}(0, \Delta) \oplus h_{1}(B_{1}, T) \oplus g_{1}(\Delta_{3}, \Delta)$$

$$= S \oplus g_{1}(0, \Delta) \oplus h_{1}(B, T) \oplus g_{1}(\Delta_{1}, 0) \oplus g_{1}(\Delta_{3}, \Delta)$$

$$= U \oplus g_{1}(\Delta_{1}, \Delta) \oplus g_{1}(\Delta_{3}, \Delta),$$

$$c(S_{3}) = B_{3} \oplus E_{2},$$

where

$$\Delta_3 = B_1 \oplus B_3 = c(S_2) \oplus E_2 \oplus c(S_3) \oplus E_2 = c(S_2) \oplus c(S_3).$$

For Query 4:

$$U_{4} = U_{3} = U \oplus g_{1}(\Delta_{1}, \Delta) \oplus g_{1}(\Delta_{3}, \Delta),$$

$$S_{4} = S_{3} \oplus h_{1}(B_{3}, T \oplus \Delta) \oplus h_{1}(B_{3}, T) = S \oplus g_{1}(0, \Delta) \oplus g_{1}(0, \Delta) = S,$$

$$V_{4} = S_{4} \oplus h_{2}(E_{4}, T) = S \oplus h_{2}(E_{2}, T) \oplus g_{2}(\Delta_{4}, 0)$$

$$= S \oplus h_{2}(E, T) \oplus g_{2}(\Delta_{2}, 0) \oplus g_{2}(\Delta_{4}, 0) = V \oplus g_{2}(\Delta_{2}, 0) \oplus g_{2}(\Delta_{4}, 0),$$

$$c(S) = B_{3} \oplus E_{4},$$
(3)

where

$$\Delta_4 = E_2 \oplus E_4 = c(S_3) \oplus B_3 \oplus c(S) \oplus B_3 = c(S_3) \oplus c(S).$$

From (2) and (3) we have:

$$c(S) = B \oplus E = B_3 \oplus E_4.$$

 $\operatorname{So}$ 

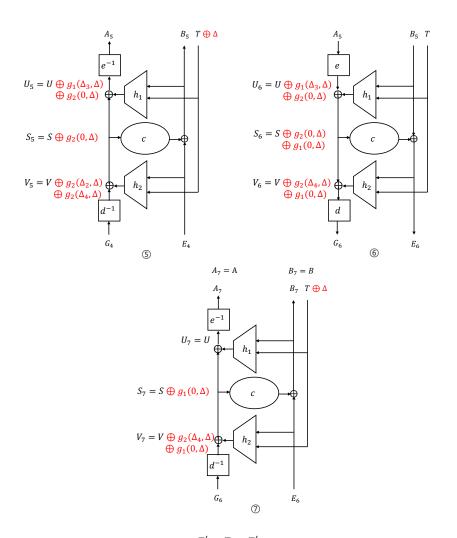
$$B = E \oplus B_3 \oplus E_4.$$

The universal hash functions  $h_1, h_2$  in XCBv1, XCBv2 and XCBv2fb are separable. By Proposition 1, Attack 2 holds for all these versions.

### 4.5 Attack 3: full plaintext recovery attack with 7 queries

In Attack 1, we use 3 queries to recover plaintext A; in Attack 2, we use 4 queries to recover plaintext B. So the question arises, can we recover the full plaintext A||B?

The answer is yes. Notice that in the 4th query, the intermediate value  $S_4$  goes back to S. Therefore  $\Delta_i = c(S_{i-1}) \oplus c(S_i), i = 1, 2, \cdots$  will also begin a four-step cycle, where  $S_0 = S$ . This implies that after an additional four queries, the intermediate values will return to the original (U, S, V). In fact, in the 7th query we obtain the plaintext of  $A \parallel B$ .



**Fig. 6.** The process of  $\mathbf{D}^{T'}, \mathbf{E}^{T}, \mathbf{D}^{T'}$  (Query 5 to Query 7)

For the completeness of the proof, we calculate the intermediate values as before. The correctness of Attack 3 comes from the following proposition:

**Proposition 3.** In XCB, for any distinct T and T', if  $h_1, h_2$  are separable, we have

$$\mathbf{D}^{T'}\mathbf{E}^T\mathbf{D}^{T'}\mathbf{E}^T\mathbf{D}^{T'}\mathbf{E}^T\mathbf{D}^{T'}=\mathbf{D}^T.$$

*Proof.* This proposition is an extension of Proposition 2, which adds 3 more queries on top of the 4 queries in Proposition 2, proving that querying XCB 7 times can get the entire plaintext directly.

For distinct T and T', we examine  $\mathbf{D}^{T'}\mathbf{E}^T\mathbf{D}^{T'}\mathbf{E}^T\mathbf{D}^{T'}\mathbf{E}^T\mathbf{D}^{T'}(G||E)$ . Let  $T' = T \oplus \Delta$ , the query steps are as follows. Queries 1 to 4 are the same as Proposition 2, and Queries 5 to 7 are shown in Figure 6. For Query 5,

$$\begin{split} V_5 &= V_4 = V \oplus g_2(\varDelta_2, 0) \oplus g_2(\varDelta_4, 0), \\ S_5 &= S_4 \oplus h_2(E_4, T) \oplus h_2(E_4, T \oplus \varDelta) = S \oplus g_2(0, \varDelta) = S_1, \\ U_5 &= S_5 \oplus h_1(B_5, T \oplus \varDelta) = S_1 \oplus h_1(B_3, T \oplus \varDelta) \oplus g_1(\varDelta_5, 0) \\ &= S_1 \oplus U_3 \oplus S_3 \oplus g_1(\varDelta_1, 0) \\ &= S \oplus g_2(0, \varDelta) \oplus U \oplus S \oplus g_1(\varDelta_1, 0) \oplus g_1(\varDelta_3, \varDelta) \oplus g_1(\varDelta_1, 0) \\ &= U \oplus g_2(0, \varDelta) \oplus g_1(\varDelta_3, \varDelta), \\ c(S_1) &= B_5 \oplus E_4 = B_1 \oplus E. \end{split}$$

where

$$\Delta_5 = B_3 \oplus B_5 = c(S) \oplus E_4 \oplus c(S_1) \oplus E_4 = c(S) \oplus c(S_1) = \Delta_1.$$

### For Query 6,

$$U_{6} = U_{5} = U \oplus g_{2}(0, \Delta) \oplus g_{1}(\Delta_{3}, \Delta),$$

$$S_{6} = S_{5} \oplus h_{1}(B_{5}, T \oplus \Delta) \oplus h_{1}(B_{5}, T) = S \oplus g_{2}(0, \Delta) \oplus g_{1}(0, \Delta) = S_{2},$$

$$V_{6} = S_{6} \oplus h_{2}(E_{6}, T) = S_{2} \oplus h_{2}(E_{4}, T) \oplus g_{2}(\Delta_{6}, 0)$$

$$= S_{2} \oplus V_{4} \oplus S_{4} \oplus g_{2}(\Delta_{2}, 0)$$

$$= S \oplus g_{2}(0, \Delta) \oplus g_{1}(0, \Delta) \oplus V \oplus S \oplus g_{2}(\Delta_{2}, 0) \oplus g_{2}(\Delta_{4}, 0) \oplus g_{2}(\Delta_{2}, 0)$$

$$= V \oplus g_{1}(0, \Delta) \oplus g_{2}(\Delta_{4}, \Delta),$$

$$c(S_{2}) = B_{5} \oplus E_{6} = B_{1} \oplus E_{2},$$

where

$$\Delta_6 = E_4 \oplus E_6 = c(S_1) \oplus B_5 \oplus c(S_2) \oplus B_5 = c(S_1) \oplus c(S_2) = \Delta_2.$$

For Query 7,

$$V_7 = V_6 = V \oplus g_1(0, \Delta) \oplus g_2(\Delta_4, \Delta),$$

$$S_7 = S_6 \oplus h_2(E_6, T) \oplus h_2(E_6, T \oplus \Delta) = S \oplus g_1(0, \Delta) = S_3,$$
  

$$U_7 = S_7 \oplus h_1(B_7, T \oplus \Delta) = S_3 \oplus h_1(B_5, T \oplus \Delta) \oplus g_1(\Delta_7, 0)$$
  

$$= S_3 \oplus U_5 \oplus S_5 \oplus g_1(\Delta_3, 0)$$
  

$$= S \oplus g_1(0, \Delta) \oplus U \oplus S \oplus g_1(\Delta_3, \Delta) \oplus g_1(\Delta_3, 0) = U,$$
  

$$c(S_3) = B_7 \oplus E_6 = B_3 \oplus E_2,$$

where

$$\Delta_7 = B_5 \oplus B_7 = c(S_2) \oplus E_6 \oplus c(S_3) \oplus E_6 = c(S_2) \oplus c(S_3) = \Delta_3.$$

Then we have

$$A_7 = e^{-1}(U_7) = e^{-1}(U) = e^{-1}(e(A)) = A.$$

and

$$B_7 = c(S_3) \oplus E_6 = B_3 \oplus E_2 \oplus E_6 = B_3 \oplus E_2 \oplus B_5 \oplus B_1 \oplus E_2$$
$$=B_1 \oplus B_3 \oplus B_5 = B_1 \oplus B_3 \oplus E_4 \oplus B_1 \oplus E = E \oplus B_3 \oplus E_4$$
$$=B.$$

So we have

$$\mathbf{D}^{T'}\mathbf{E}^T\mathbf{D}^{T'}\mathbf{E}^T\mathbf{D}^{T'}\mathbf{E}^T\mathbf{D}^{T'}(G||E) = A||B = \mathbf{D}^T(G||E).$$

And

$$\mathbf{D}^{T'}\mathbf{E}^T\mathbf{D}^{T'}\mathbf{E}^T\mathbf{D}^{T'}\mathbf{E}^T\mathbf{D}^{T'}=\mathbf{D}^T.$$

In Appendix C, we provide experimental validation of the three plaintext recovery attacks on XCBv2, the standard version which uses the underlying block cipher AES-128.

## 5 Implications

#### 5.1 XCB is not an STPRP

STPRP is an essential security requirement for TEM. As IEEE 1619.2 [18] states the security goal as "Interacting with the encryption and decryption routines, it should be infeasible to distinguish them from a random permutation and its inverse. Moreover, varying the plaintext length and/or the associated data should look like using a different and independent key." In NIST's draft for the requirements for Accordion mode [8], it also sets the security goal as STPRP.

STPRP implies security against plaintext recovery attacks. Our findings reveal that XCB does not meet the STPRP criteria, indicating previous security proofs for XCBv2 [23], XCBv2fb [5] and XCBv3 [3] are all flawed.

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In previous proofs [23,?,3], the security is based on the probability that the input collision to block cipher in the component c is negligible, ensuring the output of c is indistinguishable from random strings.

Attack 1 restricts the length of the message to one block, so c disappears. The LRW1-type structure indicates that XCB cannot be CCA secure.

Attacks 2 and 3 do not impose any message length restrictions. After four queries, we observe that the intermediate value S, the input to c, starts to repeat. Therefore, the above probability of input collision becomes 1. This contradicts the proofs of Theorem 1 in [23], Lemma 2 in [5] and Theorem 1 in [3].

### 5.2 Security of XCB-based applications

**Disk encryption.** In disk encryption, the plaintext P in the sector indexed by T is encrypted into  $C = \mathbf{E}^{T}(P)$ . Given (T, C), assume we can access the encryption oracle  $\mathbf{E}^{T}$  and the decryption oracle of another sector  $\mathbf{D}^{T'}$ . Then, with 4 queries, Attack 2 can recover the plaintext that excludes one block. With 7 queries, Attack 3 can recover the full plaintext.

**Nonce-based encryption.** TEM can be used as a nonce-based encryption scheme. For a nonce N and a plaintext P, the ciphertext is given by  $C = \mathbf{E}^{N}(P)$ . It can be proven that when TEM is an STPRP, the nonce-based encryption is IND-CCA secure. This implies that under CCA attacks, an adversary cannot distinguish the ciphertext from a random string.

When we restrict the message to one block, Attack 1 can recover the plaintext. It's important to note that in Attack 1, we query the encryption oracle only once, ensuring that we do not violate the nonce requirement.

**Deterministic authenticated encryption.** The notion of deterministic authenticated encryption (DAE) was introduced by Rogaway et al. [29] as a solution for securing key-wrap schemes. Additionally, it serves as a nonce-misuse resistant authenticated encryption. To illustrate, given associated data A and a plaintext P, the corresponding ciphertext C is calculated as  $C = \mathbf{E}^{A}(0^{n} || P)$ . During the decryption process, the authenticity of the DAE is ensured by verifying whether the leading string of  $\mathbf{D}^{A}(C)$  equals  $0^{n}$ .

If the underlying TEM is XCBv2, following the idea by Chakraborty et al. in [5], we choose  $P = 0^{3n}$  and  $P' = 0^{3n-1}$ . Then  $h_1(P, A) = h_1(P', A)$ . Therefore, for (A, P) and (A, P'), the second blocks of the ciphertexts are identical. This violates the privacy definition of DAE.

**Robust authenticated encryption.** Hoang et al. proposed the concept of robust authenticated encryption (RAE) [17]. RAE can be thought of as a bridge, connecting TEM and AE. The construction of RAE is based on TEM:  $C = \mathbf{E}^{N,A,\tau}(P||0^{\tau})$ . When  $\tau = 0$ , the scheme becomes a TEM. XCB is not an STPRP, so RAE[XCB] is not secure.

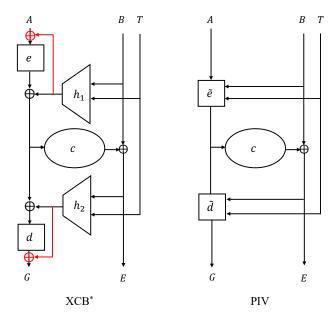


Fig. 7. XCB\* and PIV

## 6 Modification

All versions of XCB have security vulnerabilities. How can these be addressed? If we want to handle one-block-length messages, we should not use the XCB structure. Attack 1 shows the weakness of the structure. Even if we use stronger components, such as making  $h_1$  and  $h_2$  pseudorandom functions, XCB still fails to achieve CCA security.

One suggestion is to XOR the output of  $h_i$ , i = 1, 2 into both before and after the block cipher as shown on the left of Figure 7. The modification only adds two XOR operations. We call the new structure XCB<sup>\*</sup>. If we look at the UHF and its left block cipher as a whole, it is exactly an LRW2 construction. The same method was used in [1] to strengthen the security of GCM. Consequently, the whole structure changes to the PIV structure proposed by Shrimpton et al. [31] . We prove that when  $h_1$  and  $h_2$  are AXU hash functions, e and d are SPRPs, and c is a secure IV-based stream cipher, then XCB<sup>\*</sup> is an STPRP.

The following is the pseudocode for XCB<sup>\*</sup> and PIV.

LRW2 is an STPRP if the underlying block cipher is an SPRP and the UHFs are AXU, as proved by the Theorem 2 in [21].

**Lemma 2 (Theorem 2 in [21]).** Let  $\tilde{e}[e, h]^T(P) = e(P \oplus h(T)) \oplus h(T)$ , where e is a block cipher, and h is  $\epsilon$ -AXU. Then  $\tilde{e}$  is an STPRP. Specifically, for any adversary  $\mathcal{A}$  making q queries, there exist adversary  $\mathcal{B}$ 

$$\mathbf{Adv}_{\tilde{e}[e,h]}^{\mathrm{stprp}}(\mathcal{A}) \leq \mathbf{Adv}_{e}^{\mathrm{sprp}}(\mathcal{B}) + 3\epsilon q^{2}.$$

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Algorithm 1 The XCB* encry	yption and decryption
$\operatorname{XCB}^* \cdot \mathbf{E}^T(A \  B)$	$XCB^*.\mathbf{D}^T(G  E)$
$\Delta_1 \leftarrow h_1(B,T)$	$\Delta_2 \leftarrow h_2(E,T)$
$S \leftarrow e(A \oplus \Delta_1) \oplus \Delta_1$	$S \leftarrow d^{-1}(G \oplus \Delta_2) \oplus \Delta_2$
$E \leftarrow B \oplus c(S)$	$B \leftarrow E \oplus c(S)$
$\Delta_2 \leftarrow h_2(E,T)$	$\Delta_1 \leftarrow h_1(B,T)$
$G \leftarrow d(V \oplus \Delta_2) \oplus \Delta_2$	$A \leftarrow e^{-1}(S \oplus \Delta_1) \oplus \Delta_1$
return $G \parallel E$	return $A \parallel B$

Algorithm 2 The PIV encryptio	on and decryption	
$\operatorname{PIV} \mathbf{E}^T(A \  B)$	$\operatorname{PIV}$ . $\mathbf{D}^T(G  E)$	
$S \leftarrow \tilde{e}^{(B,T)}(A)$	$S \leftarrow \tilde{d}^{-1(E,T)}(G)$	
$E \leftarrow B \oplus c(S)$	$B \leftarrow E \oplus c(S)$	
$G \leftarrow \tilde{d}^{(E,T)}(S)$	$A \leftarrow \tilde{e}^{-1(B,T)'}(B)$	
return $G \  E$	return $A \parallel B$	

**Lemma 3.** For  $PIV[\tilde{e}, \tilde{d}, c]$ , let  $\mathcal{A}$  be an adversary making q queries. Then there exist adversaries  $\mathcal{B}$ ,  $\mathcal{C}$  and  $\mathcal{D}$ , each making q queries, such that

$$\mathbf{Adv}^{\mathrm{stprp}}_{\mathrm{PIV}[\tilde{e},\tilde{d},c]}(\mathcal{A}) \leq \mathbf{Adv}^{\mathrm{stprp}}_{\tilde{e}}(\mathcal{B}) + \mathbf{Adv}^{\mathrm{stprp}}_{\tilde{d}}(\mathcal{C}) + \mathbf{Adv}^{\mathrm{ivrnd}}_{c}(\mathcal{D}) + \frac{3q^2}{2^{n+1}}.$$

Lemma 3 is similar to Theorem 1 in [31]. The difference is the security strength of the stream cipher. In Lemma 3  $S \parallel c(S)$  is indistinguishable from a random string for a random IV S, whereas in Theorem 1 in [31], c(S) is indistinguishable from a random string for a nonce S which never repeats. These IV-based and nonce-based security notions are denoted as ivRND and nRND respectively. They are similar to IV-based encryption (ivE) and nonce-based encryption (nE) in [25], or pseudorandom function (PRF) and weak pseudorandom function (wPRF) in [28]. For the sake of completeness, we provide the proof in Appendix E.

In PIV $[\tilde{e}, \tilde{d}, c]$ , let  $\tilde{e}^T(P) = e(P \oplus h_1(T)) \oplus h_1(T)$  and  $\tilde{d}^T(P) = d(P \oplus h_2(T)) \oplus h_1(T)$  $h_1(T)$ , then PIV $[\tilde{e}, d, c]$  becomes XCB\* $[e, h_1, d, h_2, c]$  as shown in Figure 7. Combine Lemma 2 and 3, we have the following theorem.

**Theorem 1.** Assume that  $h_1$  and  $h_2$  are both  $\epsilon$ -AXU. For  $XCB^*[e, h_1, d, h_2, c]$ , let  $\mathcal{A}$  be an adversary making q queries. Then there exist adversaries  $\mathcal{B}$ ,  $\mathcal{C}$  and  $\mathcal{D}$ , making q queries, respectively, such that

$$\mathbf{Adv}_{\mathrm{XCB}^*[e,d,h_1,h_2,c]}^{\mathrm{stprp}}(\mathcal{A}) \leq \mathbf{Adv}_e^{\mathrm{sprp}}(\mathcal{B}) + \mathbf{Adv}_d^{\mathrm{sprp}}(\mathcal{C}) + \mathbf{Adv}_c^{\mathrm{ivrnd}}(\mathcal{D}) + \frac{3q^2}{2^{n+1}} + 6\epsilon q^2.$$

Note that this proposed method is still not suitable for XCBv2, because its UHF  $h_1$  is not an AXU hash function. If we restrict the message length as one block, XCB\* becomes a tweakable block cipher with the Chained LRW2 (CLRW2) construction, which is CCA secure [20].

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## 7 Conclusions

In this paper, we present three plaintext recovery attacks that are applicable to all versions of XCB. When m = n, 3 queries are sufficient to recover the plaintext A of (T, G). The attack are applicable to any scheme utilizing the XCB structure. When m > n, 4 queries are enough to recover the *partial* plaintext B of (T, G||E), and 7 queries are enough to recover the *full* plaintext A||B of (T, G||E). These attacks apply to any scheme that uses the XCB structure with separable UHFs. We leave it as an open problem to determine whether there are full plaintext recovery attacks against any version of XCB that require fewer queries.

The underlying issue with XCB's security lies primarily in its method of integrating tweaks. Though XCB and HCTR are both categorized within the Hash-CTR-Hash framework—leveraging UHFs in the first and third layers, with the CTR mode in the middle—their tweak handling is fundamentally different. XCB adopts the LRW1-type method, while HCTR employs the more secure LRW2-type method. See Appendix D for more detail. The LRW1 construction inherently makes XCB vulnerable to CCA attacks.

Another critical vulnerability is the separability of UHFs, which allows for attacks on schemes supporting arbitrary message lengths. While one might hope that selecting an appropriate UHF could mitigate these attacks, this is unlikely. Separability is a nearly unavoidable characteristic, as most practical UHFs rely on basic algebraic operations. For instance, GHASH in GCM [24], POLYVAL in AES-GCM-SIV [11], Poly1305 in ChaCha20-Poly1305 [27], and BPE in TET [14] etc. are all separable for some operation.

STPRP security is essential for a TEM. We demonstrate how to convert attacks on XCB into attacks on XCB-based applications, such as disk encryption, nonce-based encryption, deterministic authenticated encryption, and robust authenticated encryption. However, the security of nonce-based authenticated encryption using XCB remains uncertain. We leave it as another open problem.

As a final recommendation, we suggest reconsidering the continued use of XCB. Its inherent structural weaknesses, particularly in how it manages tweaks and the inseparability of practical UHFs, make it unsuitable for secure applications. A more robust alternative would be to modify the XCB structure by incorporating two additional XOR operations, effectively transforming it into the PIV construction as described in [31]. This adjustment provides a pathway to stronger security guarantees.

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## A The Description of XCBv1 and XCBv2

The encryption of XCBv1 is described in Algorithm 3, the encryption of XCBv2 is described in Algorithm 4.

**Algorithm 3** The XCBv1 encryption operation. Given a key  $K \in \{0,1\}^n$ , a plaintext  $P \in \{0,1\}^m$ , where  $m \in [n,2^{39}]$ , and a tweak  $T \in \{0,1\}^t$ , where  $t \in [0,2^{39}]$ , this operation returns a ciphertext  $C \in \{0,1\}^m$ .

```
 \begin{split} & H_{1} \leftarrow e_{K}(0^{n-3} \| 001) \\ & H_{2} \leftarrow e_{K}(0^{n-3} \| 011) \\ & K_{e} \leftarrow e_{K}(0^{n}) \\ & K_{d} \leftarrow e_{K}(0^{n-3} \| 010) \\ & K_{c} \leftarrow e_{K}(0^{n-3} \| 010) \\ & A \leftarrow P[0, n-1] \\ & B \leftarrow P[n, m-1] \\ & U \leftarrow e_{K_{e}}(A) \\ & S \leftarrow U \oplus h_{1H_{1}}(B,T) \\ & E \leftarrow B \oplus c_{K_{c}}(S) \\ & V \leftarrow S \oplus h_{2H_{2}}(E,T) \\ & G \leftarrow d_{K_{d}}(V) \\ & C \leftarrow G \| E \\ & \text{return } C \end{split}
```

## **B** Recover the Full Plaintext of XCBv2fb

Recall that, in XCBv2fb,  $h_1$  and  $h_2$  are defined as:

 $h_1(X,T) = h_H(0^n || T, \text{pad}(X) || 0^n),$ 

25

Algorithm 4 The XCBv2 encryption operation. Given a key  $K \in \{0, 1\}^k$ , a plaintext  $P \in \{0, 1\}^m$ , where  $m \in [n, 2^{39}]$ , and a tweak  $T \in \{0, 1\}^t$ , where  $t \in [0, 2^{39}]$ , this operation returns a ciphertext  $C \in \{0, 1\}^m$ .  $H \leftarrow e_K(0^n)$  $K_e \leftarrow \text{msb}_k(e_K(0^{n-3}||001)||e_K(0^{n-3}||010))$  $K_d \leftarrow \text{msb}_k(e_K(0^{n-3}||011)||e_K(0^{n-3}||100))$  $K_c \leftarrow \text{msb}_k(e_K(0^{n-3}||101)||e_K(0^{n-3}||110))$  $A \leftarrow P[m - n, m - 1]$  $B \leftarrow P[0, m - n - 1]$  $U \leftarrow e_{K_e}(A)$ 

 $h_2(X,T) = h_H(T || 0^n, \text{pad}(X) || \text{bin}_{\frac{n}{2}}(|(T || 0^n)|) || \text{bin}_{\frac{n}{2}}(|X|),$ 

where H is the same key shared by UHFs. |X| is a multiple of n, so pad(X) = X.

 $h_1$  and  $h_2$  are separable and use the same key, therefore there exist a function g such that  $h_1(X \oplus \Delta, T) = h_1(X \oplus, T) \oplus g(\Delta, 0)$  and  $h_2(X \oplus \Delta, T) = h_2(X \oplus, T) \oplus g(\Delta, 0)$ .

Bhati et al. [3] presented a partial plaintext attacks against XCBv2fb using only two queries. Based on this work, we propose a new attack to recover the *full* plaintext of XCBv2fb using only three queries.

Given (T, G || E), the attacker can recover the corresponding plaintext A || B by the following steps:

- Query the decryption oracle **D** with  $(T, G || (E \oplus \Delta) \text{ and get } A_1 || B_1$ .
- Query the encryption oracle **E** with  $(T, A_1 || (B_1 \oplus \Delta)$  and get  $G_2 || E_2$ .
- Query the decryption oracle **D** with  $(T, G_2 || (E_2 \oplus \Delta) \text{ and get } A_3 || B_3$ .
- Output  $A_3 \| (E \oplus B_1 \oplus E_2 \oplus \Delta)$ .

 $S \leftarrow U \oplus h_{1H}(B,T)$  $E \leftarrow U \oplus c_{K_c}(S)$  $V \leftarrow S \oplus h_{2H}(E,T)$  $G \leftarrow d_{K_d}(V)$  $C \leftarrow E ||G$ return C

The first two queries are the same as the attack in [3]. We just make a further decryption query. We calculate the intermediate values of  $(U_i, S_i, V_i)$  as shown by Figure 8. We see that  $A = A_3$  and  $B = E \oplus B_1 \oplus E_2 \oplus \Delta$ .

## C Experimental data of Attacks

We perform experimental verifications for the three attacks. The target is XCBv2 in the IEEE 1619.2 standard and the underlying block cipher is AES-128.

We assume that  $C = \mathbf{E}_{K}^{T}(P)$ , and set the key

$$K = 000102030405060708090a0b0c0d0e0f,$$

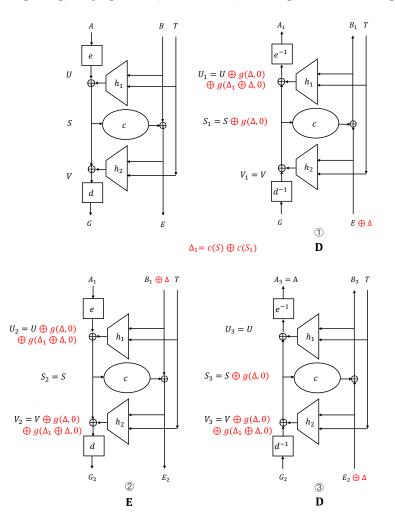


Fig. 8. The process of full plaintext recovery attack against XCBv2fb

and two different tweaks

Give (T, C), the following tree attacks try to recover the plaintext P. We assume that alternate queries to  $\mathbf{D}_{K}^{T'}$  and  $\mathbf{E}_{K}^{T}$  get the following data:

$$P_1, C_2, P_3, C_4, \cdots$$

In the following, all data is represented as hexadecimal strings.

### C.1 Attack 1 with 3 queries

We set |P| = 128 bits in Attack 1. We choose

P = 000102030405060708090a0b0c0d0e0f,

then the corresponding ciphertext

C = 1f20682d644ac931b4188e66714615d2.

Three queries get the following data:

$$\begin{split} P_1 &= \texttt{1e73ed22a51ca40b55c320785e59b109},\\ C_2 &= \texttt{7be9349f89dfce3fe07508e8fc2a741c},\\ P_3 &= \texttt{000102030405060708090a0b0c0d0e0f}. \end{split}$$

Through 3 queries, we successfully recover P, which is equal to  $P_3$ .

### C.2 Attack 2 and 3 with 4 and 7 queries respectively

We set |P| = 384 bits, three blocks, and choose

P = 000102030405060708090a0b0c0d0e0f 101112131415161718191a1b1c1d1e1f 202122232425262728292a2b2c2d2e2f.

The corresponding ciphertext is:

C = f950309c130bf8a7a939ea6aedac65f4c59bc42086ac51106ce49731f244d233 f624d22b23ec8e403f6df24f93b02691.

We get the following results:

 $P_1 = d6d60805 cb1050 fdf04 ecf3e9b8428 ed \\ 595802 e0b1 ed5e228b8962 f6d7176 dfe \\ 0a6188 cfeeca35 eaf35 cfc02 f875524 c, \\ \end{cases}$ 

 $C_2 = 959d3145ab745d50439a233f351045c2$  3b8c18f9e00536912ed5ee6e7faddfaa 9f535a1eed7e72f81779a175333c95bc,

```
P_3 = 4 \text{d7ff229f936a913b45fd260cb0a781e} \\ 40 \text{bc56e4f6df1834020b0bc7a4daf981} \\ \text{cc6ecea9e048ee9578679b5e8424ec7e}, \\ \end{cases}
```

- $C_4 = \texttt{b42ec0b6ee3857b3156f32012aab13e5} \\ \texttt{953680d764665f3376f686ed4a8335ad} \\ \texttt{7b6be4e56282fe1520ae35e131a451d8}, \\ \end{aligned}$
- $P_5 = 9 \texttt{ba8f82f3623ffe94c1817555c835efc} \\ 09 \texttt{f5461753275001919b732a6fd08a60} \\ 7 \texttt{a0da4a2463d96bdf0fcd2e9abe549bd},$
- $C_6 = {\tt d8e3c16f5647f244ffccfb54f21733d3} \\ {\tt 6b215c0e02cf38b234c7ffb2c76a3834} \\ {\tt 82452f7ad79acad6ab9aee5bb8e55f03}, \\ \label{eq:constraint}$
- $P_{7} = 000102030405060708090a0b0c0d0e0f \\ 101112131415161718191a1b1c1d1e1f \\ 202122232425262728292a2b2c2d2e2f.$

Through 7 queries, we recover the plaintext P, which is equal to  $P_7$ . If we partition the data into two parts:  $P = B || A, C = E || G, P_i = B_i || A_i, C_j = E_j || G_j, i = 1, 3, 5, 7, i = 2, 4, 6$ , where the left is 2-block, the right is 1-block. We can verify that  $B = E \oplus B_3 \oplus E_4$ . Therefore through 4 queries, we successfully recover the partial plaintext B.

### D Methods to Add Tweaks

In Section 4.1, we see that XCB becomes an LRW1-type tweakable block cipher in the case of m = n (Figure 2), leading to a CCA attack.

By limiting the length of the message to one block, we transform TEM into a tweakable block cipher. This alteration enables us to concentrate exclusively on strategies for incorporating tweaks.

For another example, if we do it in HCTR [32] as in Figure 9, we can see that HCTR follows the LRW2-type method to add tweak.

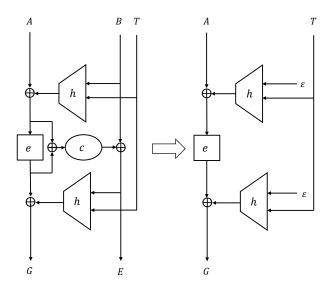


Fig. 9. HCTR and LRW2

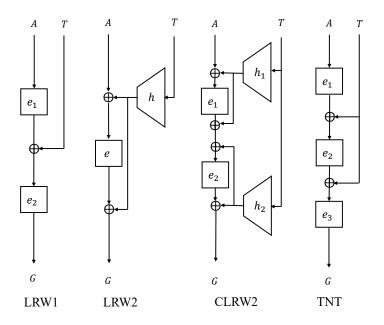


Fig. 10. LRW1, LRW2, CLRW2 and TNT  $\,$ 

We investigate TEM designs based on block ciphers in the current literature and find that there are mainly four methods to add tweaks, including LRW1type, LRW2-type, CLRW2-type and TNT type. As shown in Figure 10, the LRW1-type method XORs the tweak between two block ciphers; the LRW2type method XORs the UHF value of tweak before and after a block cipher; the CLRW2-type method is a chain of two LRW2; the TNT-type method XORs the tweak between two block ciphers twice.

We summarize block-cipher-based TEMs in the following list.

- LRW1-type: XCB [22], HCI [26], MXCB [26];
- LRW2-type: HCTR [32], HCTR2 [10], CMC [15], PEP [6], TET [14], HEH [30], HCH [7], Adiantum [9];
- CLRW2-type:  $XCB^*$ ,  $TCT_1$  [31];
- TNT-type: EME [16], EME\* [13].

## E Proof of Lemma 3

**ivRND and nRND.** Stream cipher c is a keyed variable-output-legth function. We define two security notions for stream ciphers, one is ivRND and the other is nRND. The ivRND security is defined through two games ivReal and ivRandom. When adversary  $\mathcal{A}$  queries l, the game ivReal returns  $S || \operatorname{msb}_l(c(S))$ , where S is an n-bit random string, the game ivRandom returns an (n + l)-bit random string R. The ivRand advantage of  $\mathcal{A}$  is defined as  $\operatorname{Adv}_c^{\operatorname{ivrnd}}(\mathcal{A}) = \Pr[\mathcal{A}^{ivReal} \Rightarrow 1] - \Pr[\mathcal{A}^{ivRandom} \Rightarrow 1].$ 

The nRND security is defined through two games nReal and nRandom. When adversary  $\mathcal{A}$  queries (S, l), the game nReal returns  $\mathrm{msb}_l(c(S))$ , the game nRandom returns an *l*-bit random string R. The adversary  $\mathcal{A}$  never repeats S. The nRand advantage of  $\mathcal{A}$  is defined as  $\mathrm{Adv}_c^{\mathrm{nrnd}}(\mathcal{A}) = \Pr[\mathcal{A}^{nReal} \Rightarrow 1] - \Pr[\mathcal{A}^{nRandom} \Rightarrow 1]$ .

In XCBv1 and XCBv2,  $c(S) = e(S) ||e(incr(S))||e(incr^2(S))|| \cdots$ , where e is a block cipher and  $incr(S) = S[0, n-33] ||(S[n-32, n-1]+1 \mod 2^{32}))|$ . It is easy to verify that c is ivRND secure but not nRND secure.

**STRND.** Let  $\mathbf{E} : \mathcal{K} \times \mathcal{T} \times \mathcal{X} \to \mathcal{X}$  be a tweakable enciphering mode, and let  $\mathbf{D}$  be its inverse. The advantage of distinguishing  $\mathbf{E}$  from random bits is

$$\mathbf{Adv}_{\mathbf{E}}^{\mathrm{strnd}}(\mathcal{A}) = \Pr_{\substack{K \overset{\$}{\leftarrow} \mathcal{K}}} [\mathcal{A}^{\mathbf{E}_{K}(\cdot, \cdot), \mathbf{D}_{K}(\cdot, \cdot)} \Rightarrow 1] - \Pr[\mathcal{A}^{\$(\cdot, \cdot), \$(\cdot, \cdot)} \Rightarrow 1]$$

where (T, P) returns a random string of length |P|. We insist that  $\mathcal{A}$  makes no pointless queries, regardless of oracle responses, and  $\mathcal{A}$  asks no query (T, P)outside of  $\mathcal{T} \times \mathcal{X}$ . We extend the definition above in the usual way to its resourcebounded versions. We have the following.

**Lemma 4.** [STPRP-security  $\approx$  STRND-security [15]] Let  $\mathbf{E} : \mathcal{K} \times \mathcal{T} \times \mathcal{X} \to \mathcal{X}$ be a tweakable enciphering mode and let  $q \geq 1$ . Then for adversary  $\mathcal{A}$  making qqueries

$$|\mathbf{Adv}_{\mathbf{E}}^{\mathrm{stprp}}(\mathcal{A}) - \mathbf{Adv}_{\mathbf{E}}^{\mathrm{strnd}}(\mathcal{A})| \leq \frac{q(q-1)}{2^{n+1}}$$

where n is the length of the shortest string in  $\mathcal{X}$ .

### Proof of Lemma 3.

*Proof.* The adversary  $\mathcal{A}$  queries the encryption and decryption oracles of PIV[ $\tilde{e}, \tilde{d}, c$ ]. In the following, we will replace the components in PIV with ideal components one-by-one, so that  $\mathcal{A}$  interacts with two oracles in the subsequent games.

- Game G1: the encryption and decryption of  $PIV[\tilde{e}, \tilde{d}, c]$ .
- Game G2: the encryption and decryption of PIV[ $\$, \tilde{d}, c$ ].
- Game G3: the encryption and decryption of PIV[\$, \$, c].
- Game G4: the encryption and decryption of PIV[\$,\$,\$].
- Game G5: \$ and \$.

By regular reductions, there exist adversaries  $\mathcal{B}$ ,  $\mathcal{C}$  and  $\mathcal{D}$ , each making q queries, such that

$$\Pr[\mathcal{A}^{G1} \Rightarrow 1] - \Pr[\mathcal{A}^{G2} \Rightarrow 1] \leq \mathbf{Adv}_{\tilde{e}}^{\mathrm{strnd}}(B),$$
$$\Pr[\mathcal{A}^{G2} \Rightarrow 1] - \Pr[\mathcal{A}^{G3} \Rightarrow 1] \leq \mathbf{Adv}_{\tilde{d}}^{\mathrm{strnd}}(C),$$
$$\Pr[\mathcal{A}^{G3} \Rightarrow 1] - \Pr[\mathcal{A}^{G4} \Rightarrow 1] \leq \mathbf{Adv}_{c}^{\mathrm{ivrnd}}(D).$$

G4 and G5 are identical, so we have

$$\mathbf{Adv}^{\mathrm{strnd}}_{\mathrm{PIV}[\tilde{e},\tilde{d},c]}(\mathcal{A}) \leq \mathbf{Adv}^{\mathrm{strnd}}_{\tilde{e}}(\mathcal{B}) + \mathbf{Adv}^{\mathrm{strnd}}_{\tilde{d}}(\mathcal{C}) + \mathbf{Adv}^{\mathrm{ivrnd}}_{c}(\mathcal{D}).$$

By Lemma 4, we have

$$\mathbf{Adv}^{\mathrm{stprp}}_{\mathrm{PIV}[\tilde{e},\tilde{d},c]}(\mathcal{A}) \leq \mathbf{Adv}^{\mathrm{stprp}}_{\tilde{e}}(\mathcal{B}) + \mathbf{Adv}^{\mathrm{stprp}}_{\tilde{d}}(\mathcal{C}) + \mathbf{Adv}^{\mathrm{ivrnd}}_{c}(\mathcal{D}) + \frac{3q^2}{2^{n+1}}.$$