# Newton Polytope-Based Strategy for Finding Small Roots of Multivariate Polynomials

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Abstract. Coppersmith's method plays an important role in cryptanalysis. By introducing a new tool called Sumsets theory from Additive Combinatorics, we propose a novel strategy for Coppersmith's method based on Newton polytope. With our novel strategy, we provide the first provable and efficient algorithm for calculating the asymptotic bounds of Coppersmith's method, which is typically a tedious and non-trivial task. Moreover, our new perspective offers a better understanding of Coppersmith's method. As a byproduct, we apply our new technique and prove the new heuristic introduced by Meers and Nowakowski at Asiacrypt'23 and improve the cryptanalytic result for the Commutative Isogeny Hidden Number Problem.

Keywords: Coppersmith's method, Sumsets, Newton polytopes, Additive combinatorics

### 1 Introduction

In 1996, Coppersmith [\[Cop96,](#page-19-0) [Cop97\]](#page-19-1) introduced methods to find the small solutions of univariate polynomial modular equation and bivariate polynomial. Since then, Coppersmith's methods have been extended in several ways, such as [\[HG01,](#page-19-2) [May03\]](#page-19-3), and have found significant applications in cryptanalysis [\[BHHG01,](#page-19-4) [BV96,](#page-19-5) [HR23,](#page-19-6)[May02,](#page-19-7)[May03,](#page-19-3)[MNS22,](#page-19-8) [DMH20,](#page-19-9) [TLP17\]](#page-20-0).

The main idea behind Coppersmith's methods starts with constructing a set  $G$  of polynomials sharing common roots with the original polynomial. In general, the coefficients of such polynomials are used to build a lattice  $\mathcal L$  to be reduced. Roughly speaking, the set of polynomials determines everything about Coppersmith's methods, such as the bound of the desired root and the running time. Therefore, to improve Coppersmith's method, the key is to construct a better family of polynomials G.

To find the small roots of a single polynomial equation  $g(x_1, \ldots, x_k) = 0$  over the integers, or the small solutions of the modular equation  $g(x_1, \ldots, x_k) \equiv 0 \mod M$ , Jochemsz and May [\[JM06\]](#page-19-10) presented in 2006 a heuristic strategy, known as the Jochemsz-May Strategy, to choose a collection  $G_m$  of polynomials  $g_{[i_1,\ldots,i_k]}(x_1,\ldots,x_k)$  satisfying  $g_{[i_1,\ldots,i_k]}(x_1,\ldots,x_k) \equiv 0 \mod M^m$  for a specific integer m. The main idea in the Jochemsz-May strategy is to decrease the order of M in  $g_{[i_1,...,i_k]}$ , which generalizes the work of Blömer and May [\[BM05\]](#page-19-11) that finds optimal bound for small integer roots of bivariate polynomials. At Asiacrypt'23, Meers and Nowakowski [\[MN23\]](#page-19-12) proposed Automated Coppersmith, which generalized the Jochemsz-May Strategy from a single polynomial equation to a system of polynomial equations.

The goal of Jochemsz-May Strategy is to achieve better bound of Coppersmith's method for general polynomial equations and the bound should be determined before constructing the lattice. However, as pointed out in [\[MN23\]](#page-19-12), it is typically a tedious and non-trivial task to determine the asymptotic upper bounds for Coppersmith's method and manual analysis has to be performed anew when a new set of polynomials is considered. It seems convoluted to prove the asymptotic bound.

More precisely, Coppersmith's methods encounter estimating the exponents of the following in-equality at the end <sup>[4](#page-0-0)</sup>, where  $X_i$  is the upper bound, to be determined, of the absolute value of root  $x_i$  for  $i = 1, \dots, k$ :

$$
\det(\mathcal{L}) < M^{m \dim(\mathcal{L}) - \epsilon}.
$$

How to quickly compute these  $\dim(\mathcal{L})$  and  $\det(\mathcal{L})$  is an unavoidable issue.

<span id="page-0-0"></span><sup>4</sup> This is just a simplified version, details can be found in Section [2](#page-3-0)

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For some simple polynomials, we can compute them by summation. Taking the modular polynomial equation  $f(x_1, x_2) = a_1x_1 + a_2x_2 + C \equiv 0 \mod M$  as an example, the Jochemsz-May Strategy <sup>[5](#page-1-0)</sup> yields a lattice  $\mathcal L$  and the corresponding dim $(\mathcal L)$  is

$$
|\{\lambda|\lambda \text{ is a monomial of } f^m\}| = \sum_{i_1=0}^m \sum_{i_2=0}^{m-i_1} 1 = \frac{1}{2}m^2 + \frac{3}{2}m + 1 = \frac{1}{2}m^2 + o(m^2).
$$

However, it can be easily seen that it become more and more difficult when dealing with polynomials with more and more variables.

To avoid the significantly tedious and time-consuming manual analysis, Meers and Nowakowski [\[MN23\]](#page-19-12) heuristically assumed that the functions  $\dim(\mathcal{L})$  and  $\det(\mathcal{L})$  are polynomials in m. This allows them to select specific values m and then utilize Lagrange interpolation to compute dim( $\mathcal{L}$ ) and det( $\mathcal{L}$ ) with the help of a computer, although it is still time-consuming usually.

Unfortunately, there is some flaw in their claim. They claim that for a polynomial  $f$  with  $k$ variables, dim( $\mathcal{L}$ ) is a polynomial in m with degree k. Considering the counterexample when  $f =$  $x^5 + x + 1$  for  $m = 1, 2, \ldots$ , the corresponding  $\dim(\mathcal{L})$  is the number of monomials in  $f^m$ , which is 3, 6, 10, 15, 20, 25 and so on. It can be seen that for  $m > 3$ , dim( $\mathcal{L}$ ) always satisfies the polynomial  $5 * m - 5$  while this does not hold for  $m < 3$ . In fact, we need the constraint that m is big enough to ensure that  $\dim(\mathcal{L})$  is some polynomial in m.

However, even counting the condition that  $m$  is sufficiently large, there are still some unsatisfactory aspects of the interpolation method.

First, since we do not know how large m can be to ensure that  $\dim(\mathcal{L})$  is a polynomial in m, a natural idea is that we can terminate the interpolation method for some  $m$ 's when the corresponding polynomial is stable. However, we find that sometimes the interpolation method may get stuck in local convergence, that is the polynomial will be stable for a long time before the correct polynomial appears, which will certainly result in an incorrect polynomial when a natural termination strategy is employed (see more details in Section [5.2\)](#page-16-0). Hence, the output of the interpolation method seems untrusted.

Second, by the state-of-the-art results in additive combinatorics, for some polynomials  $f$  with just 4 variables like the examples in Section [5.2](#page-16-0) or Section [5.3,](#page-17-0)  $m$  needs to be greater than  $2^{300}$  in theory in order for dim( $\mathcal{L}$ ) to be a polynomial in m [\[GSW23\]](#page-19-13). Since m needs to be sufficiently large to ensure that  $\dim(\mathcal{L})$  and  $\det(\mathcal{L})$  are polynomials on m, it is necessary to compute the values of  $\dim(\mathcal{L})$  and  $\det(\mathcal{L})$  for large enough m. Hence, the interpolation method must involve the computation of  $f^m$  for a large m to make the results provable, which requires a significant amount of time in the worst case, as the number of monomials in the powers of  $f$  grows very quickly. Therefore, Automated Coppersmith is still very time-consuming for general polynomials, which is also verified by our experiments in Section [5.](#page-15-0)

As a consequence, the following natural question arises:

Can we compute  $\dim(\mathcal{L})$  and  $\det(\mathcal{L})$  more efficiently?

### 1.1 Our contributions

By introducing new tools, we provide a novel way to avoid the tedious computation in Coppersmith's method, which allows us to handle more complicated polynomial equations and achieve better results.

New Tools from Additive Combinatorics We introduce new tools for Coppersmith's method from Additive Combinatorics, including Sumset theory and a series of results for counting integer points in convex hulls. The new perspective based on the new tools will help us clarify further Coppersmith's method and may inspire some novel ideas.

For example, we can reformulate the lattice dimension and determinant computation in Coppersmith's method with the Sumset theory. Note that for the asymptotic upper bound of the roots, only the leading coefficient of these polynomials (if they are) are needed and for the single polynomial  $f$ ,  $\dim(\mathcal{L})$  is the number of monomials in  $f^m$ . In 1992, Khovanskii [\[Kho92\]](#page-19-14) proved that this is indeed polynomial in m when m is big enough and the leading coefficient of  $|\text{supp}\{f^m\}|$  is exactly the volume

<span id="page-1-0"></span><sup>5</sup> Details about the Jochemsz-May Strategy can be found in Section [3.1.](#page-7-0)

of the convex hull related to f, where  $\text{supp}\lbrace f^m\rbrace$  is the set of the monomials of  $f^m$ . See Fig. [1](#page-2-0) for an example. Hence we can compute the leading coefficient of  $\dim(\mathcal{L})(m)$  by computing the volume, which is usually very fast in practice.

<span id="page-2-0"></span>

Fig. 1: Newton polytope corresponding to  $\text{supp}\{f\} = \{x_1, x_2, 1\}$ 

Subsequently, researchers investigated how large  $m$  needs to be such that the number of monomials in  $f<sup>m</sup>$  is a polynomial in m. Such explicit results were only previously known in the special cases when the number of variables is k with  $k = 1$  [\[GS20,](#page-19-15) [GW21,](#page-19-16) [Nat72,](#page-20-1) [WCC11\]](#page-20-2), when the convex hull of f is a simplex or when f has  $k + 2$  monomials [\[CG20\]](#page-19-17) until 2023 Granville et al. [\[GSW23\]](#page-19-13) gave the first effective upper bounds for this threshold for arbitrary f. When f has n monomials, it tends to be at least  $n^n!$ ! It might imply that in certain worst-case, if we use Lagrange interpolation, we would need to compute  $f^m$  for very large  $m = O(n^n)$ . Obviously, Khovanskii's results can help improve the computation of  $\dim(\mathcal{L})$  for the Jochemsz-May strategy.

Moreover, we obtain similar results for the calculation of  $\det(\mathcal{L})$ , and we extend these results to a system of polynomial equations.

Novel strategy based on Newton polytope We also propose a novel Newton polytope-based strategy to choose polynomials for Coppersmith's method. In theory, we rigorously prove that our strategy can achieve the same bound as the Jochemsz-May Strategy, which is currently the optimal strategy used for solving general cases of f.

Compared to the original Jochemsz-May Strategy [\[JM06,](#page-19-10)[MN23\]](#page-19-12), our new strategy has the following advantages.

- We directly eliminate the time-consuming computation for  $f<sup>m</sup>$  when computing asymptotic upper bound in Automated Coppersmith for large m, which brings a  $1000x~1200x$  improvement in running time for some polynomials in our experiment, as presented in Section [5.](#page-15-0) Moreover, our strategy also provides a way to construct the lattice basis without computation for  $f<sup>m</sup>$ .
- We overcome the issue of getting stuck in local convergence when using interpolation method to compute the bound, which means that the output of our method is reliable.
- Our new method is provable, which gets rid of the heuristic assumptions in [\[MN23\]](#page-19-12). In fact, our method also offers a new perspective on understanding Automated Coppersmith, thus we also prove Meers and Nowakowski's Heuristic 2 [\[MN23\]](#page-19-12).
- Our new method provides an explicit formula for the computation in Coppersmith's method, which is no doubt significant for the further study. The same explicit formula also holds for the Jochemsz-May Strategy. Specifically, suppose  $f \in \mathbb{Z}[x_1, \ldots, x_k]$  has a small root  $\mathbf{u} = (u_1, \ldots, u_k)$ and known bounds  $X_j$  such that  $u_j \leq X_j$  for  $j = 1, ..., k$ . Let M be the modulus and m a fixed integer. Then, in Coppersmith's method, the basic inequality  $\det(L) < M^{m \dim(L)}$  can be written as

$$
X_1^{\int_{N(f)} x_1 dV} \cdot \cdot \cdot \cdot X_k^{\int_{N(f)} x_k dV} M^{\frac{k}{k+1} \int_{N(f)} 1 dV} \leq M^{\int_{N(f)} 1 dV},
$$

where  $N(f)$  is the Newton polytope of f, that is the convex hull of  $\{(i_1,\ldots,i_k) \mid x_1^{i_1} \ldots x_k^{i_k} \text{ is a monomial of } f^m\}$ .

As with Meers and Nowakowski in [\[MN23\]](#page-19-12), we also do not consider the Extended Strategy mentioned in [\[JM06\]](#page-19-10). Just Basic Strategy is enough in practice, such as CI-HNP in [\[MN23\]](#page-19-12). Moreover, considering whether the Extended Strategy has a result related to Newton polytope is quite challenging, and we leave it as an open problem.

New cryptanalytic result for CI-HNP Based on our strategy, we improve the results for the Commutative Isogeny Hidden Number Problem (CI-HNP) proposed by Meers and Nowakowski in Asiacrypt'23. Additionally, we rigorously prove that their Automated Coppersmith's method is equivalent to the Jochemsz-May Strategy when handling single polynomial equations, which is non-trivial.

Roadmap. The paper is organized as follows: In Section [2](#page-3-0) we give some basic preliminaries about Coppersmith's method and newly introduced tools—Sumset Theory. In Section [3,](#page-7-1) we provide the explicit formula for the Jochemsz-May strategy and our novel strategy when handling a single polynomial equation. Then, in Section [4,](#page-12-0) we extend this to a system of polynomial equations. As an application, we improve the results of CI-HNP for CSURF, details can be found in Section [5.](#page-15-0) Moreover, in order to fully demonstrate the efficiency of computing asymptotic upper bounds, we have conducted sufficient experiments, which also can be found in Section [5.](#page-15-0) Finally, we conclude our work in Section [6.](#page-18-0)

### <span id="page-3-0"></span>2 Preliminaries

Let  $\mathbb Z$  denote the ring of integers and  $\mathbb Q$  denote the field of rational numbers. We use lowercase bold letters (e.g.,  $\mathbf{v}$ ) for vectors and uppercase bold letters (e.g., **A**) for matrices. The notation  $\binom{n}{m}$ represents the number of ways to select m items out of n items, which is defined as  $\frac{n!}{m!(n-m)!}$ . If  $m > n$ , we set  $\binom{n}{m} = 0$ .  $o(\cdot)$  (Little-o) denotes the upper bounds that cannot be tight.

#### 2.1 Polynomials

Let  $x_1, \ldots, x_k$  be k variables. Suppose f is a polynomial in  $\mathbb{Z}[x_1, \ldots, x_k]$ , then the polynomial f can be expressed as

$$
f(x_1,\ldots,x_k)=\sum_{i_1,\ldots,i_k\in\mathbb{N}}\alpha_{i_1,\ldots,i_k}\cdot x_1^{i_1}\cdot\ldots\cdot x_k^{i_k}.
$$

Here,  $x_1^{i_1} \cdot \ldots \cdot x_k^{i_k}$  is termed as a monomial of f if its coefficient  $\alpha_{i_1,\ldots,i_k} \neq 0$ . The set of all monomials of f is denoted as supp ${f}$ . The total degree  $\deg(f)$  of f is defined as

$$
\deg(f) := \max_{\alpha_{i_1,\ldots,i_k}\neq 0} (i_1 + \cdots + i_k).
$$

The following definitions serve to simplify the notations related to multivariate polynomials.

**Definition 1 (Monomial Order).** Let  $\mathcal M$  be a set of monomials. A monomial order on  $\mathcal M$  is a total order  $\prec$  that satisfies the following two properties:

- 1. For every  $\lambda \in \mathcal{M}$ , it holds that  $1 \prec \lambda$ .
- 2. If  $\lambda_1 \prec \lambda_2$ , then  $\lambda \cdot \lambda_1 \prec \lambda \cdot \lambda_2$  for every monomial  $\lambda \in \mathcal{M}$ .

For example, suppose  $x_1 \prec x_2 \prec x_3$ , then  $x_2^2 \prec x_3$  and  $x_1 \prec x_2 \prec x_2^2$  when using the lexicographic monomial order  $\prec_{\text{lex}}$ . Because lexicographic monomial order ( $\prec_{\text{lex}}$ ) first compares exponents of  $x_1$  in the monomials, and in case of equality compares exponents of  $x_2$ , and so forth.

If  $\prec$  is a monomial order, the leading monomial of a polynomial f is the unique monomial  $\lambda$  of f that satisfies  $\lambda' \prec \lambda$  for every monomial  $\lambda'$  of f. We denote the leading monomial, and the leading coefficient of the leading monomial of f by  $LM(f)$  and  $LC(f)$  respectively. The leading term of f is denoted  $LT(f)$  and satisfies

$$
LT(f) = LC(f) \times LM(f).
$$

If  $LC(f) = 1$ , then we say that f is a monic polynomial.

**Definition 2 (Ideal).** Let  $\mathcal{F} = \{f_1, \ldots, f_n\}$  be a set of polynomials in  $\mathbb{Z}[x_1, \ldots, x_k]$ . The ideal I generated by F is the set of all linear polynomial combinations of  $f_1, \ldots, f_n$ , that is

$$
I = \{a_1f_1 + \ldots + a_nf_n : a_i \in \mathbb{Z}[x_1, \ldots, x_k]\}.
$$

If I is an ideal, then the set of all leading terms of the elements of I is denoted  $LT(I)$  and satisfies  $LT(I) = {LT(f)|f \in I}.$ 

**Definition 3.** Fix a monomial order, and let I be an ideal. A finite subset  $G = \{g_1, \ldots, g_r\} \subset I$  is a Gröbner basis for I if

$$
LT(I) = \{LT(g_1), \ldots, LT(g_r)\}.
$$

Before we introduce the Hilbert Function of an ideal  $I$ , we need the following definition:

**Definition 4.** Suppose I is an ideal in  $\mathbb{Z}[x_1, x_2, \ldots, x_k]$  and then we define  $\mathbb{Z}[x_1, x_2, \ldots, x_k]_{\leq s}$  to be the set of polynomials in  $\mathbb{Z}[x_1, x_2, \ldots, x_k]$  of total degree  $\leq s$ , and  $I_{\leq s}$  is the set of polynomials in I of total degree  $\leq s$ . That is,

$$
\mathbb{Z}[x_1, x_2, \dots, x_k]_{\leqslant s} = \{f \in \mathbb{Z}[x_1, x_2, \dots, x_k] : \deg(f) \leqslant s\},
$$
  

$$
I_{\leqslant s} = I \cap \mathbb{Z}[x_1, x_2, \dots, x_k]_{\leqslant s} = \{f \in I : \deg(f) \leqslant s\}.
$$

Both  $\mathbb{Z}[x_1, x_2, \ldots, x_k]_{\leq s}$  and  $I_{\leq s}$  are vector spaces over  $\mathbb{Z}$ , with  $I_{\leq s}$  exactly being a subspace of  $\mathbb{Z}[x_1, x_2, \ldots, x_k]_{\leq s}$ . Now, we are prepared to introduce the Hilbert function.

**Definition 5 (Hilbert function).** Let I be an ideal in  $\mathbb{Z}[x_1, x_2, \ldots, x_k]$ , and let  $I_{\leq s}$  be the space of elements of I of degree at most s. The (affine) Hilbert function  $HF(s)$  of I is defined to be the dimension of  $\mathbb{Z}[x_1, x_2, \ldots, x_k]_{\leq s}/I_{\leq s}$  as a vector space over  $\mathbb{Z}$ . That is,

$$
HF_I(s) = \dim(\mathbb{Z}[x_1, x_2, \dots, x_k]_{\leq s}/I_{\leq s}).
$$

There is a useful lemma for Hilbert function, which is called Hilbert's theorem (see [\[Mum76\]](#page-19-18), Theorem 6.21):

**Lemma 6.** Let  $I \subset \mathbb{Z}[x_1, x_2, \ldots, x_k]$  be a proper ideal. Then there exists a polynomial  $h(z) \in \mathbb{Q}[z]$ such that  $\deg(h) = \dim(I)$ , for sufficiently large m,

$$
HF_I(m) = h(m).
$$

The polynomial  $h(z)$  is often referred to as the Hilbert polynomial of I.

Remark 7. The concepts of Hilbert functions and Hilbert polynomials of graded algebras are crucial in commutative algebra. For more detailed results, please refer to [\[Sta78\]](#page-20-3).

#### 2.2 Lattices, SVP, and LLL

Let  $m \geq 2$  be an integer. A lattice is a discrete additive subgroup of  $\mathbb{R}^m$ . A more explicit definition is presented as follows.

**Definition 8** (Lattice). Let  $\mathbf{v}_1, \mathbf{v}_2, \ldots, \mathbf{v}_n \in \mathbb{R}^m$  be n linearly independent vectors with  $n \leq m$ . The lattice L spanned by  $\{v_1, v_2, \ldots, v_n\}$  is the set of all integer linear combinations of  $\{v_1, v_2, \ldots, v_n\}$ , i.e.,

$$
\mathcal{L} = \left\{ \mathbf{v} \in \mathbb{R}^m \mid \mathbf{v} = \sum_{i=1}^n a_i \mathbf{v_i}, a_i \in \mathbb{Z} \right\}.
$$

The integer n denotes the rank of the lattice  $\mathcal{L}$ , while m represents its dimension. The lattice  $\mathcal{L}$  is said to be full rank if  $n = m$ . We use the matrix  $\mathbf{B} \in \mathbb{R}^{n \times m}$ , where each vector  $\mathbf{v}_i$  contributes a row to **B**. The determinant of L is defined as  $\det(\mathcal{L}) = \sqrt{\det(\mathbf{B}\mathbf{B}^t)}$ , where  $\mathbf{B}^t$  is the transpose of **B**. If L is full rank, this reduces to  $\det(\mathcal{L}) = |\det(\mathbf{B})|$ .

**Definition 9** (Fundamental domain). For a lattice basis  $v_1, v_2, \ldots, v_n \in \mathbb{R}^m$ , the space generated by all real number combinations in  $[0, 1)^n$  is called the fundamental domain of the lattice  $\mathcal{L}$ . It is denoted as

$$
\mathcal{P}(\mathcal{L}) = \left\{ \sum_{i=1}^{n} a_i \mathbf{v_i} | 0 \le a_i < 1 \right\}.
$$

The volume of the fundamental domain  $\mathcal P$  is equal to the determinant of the lattice, that is vol $(\mathcal P)$  =  $\det(\mathcal{L})$ .

In lattice theory, numerous hard problems are used to secure several cryptosystems. The Shortest Vector Problem (SVP) is one of them.

Definition 10 (Shortest Vector Problem (SVP)). Given a lattice L, the Shortest Vector Problem (SVP) asks to find a non-zero lattice vector  $\mathbf{v} \in \mathcal{L}$  of minimum Euclidean norm, i.e., find  $\mathbf{v} \in \mathcal{L}\backslash\{\mathbf{0}\}\$  such that  $\|\mathbf{v}\| \leq \|\mathbf{w}\|$  for all non-zero  $\mathbf{w} \in \mathcal{L}$ .

Although SVP is NP-hard under randomized reductions [\[Ajt98\]](#page-18-1), there exist algorithms that can find a relatively short vector, instead of the exactly shortest vector, in polynomial time, such as the famous LLL algorithm proposed by Lenstra, Lenstra, and Lovász [\[LLL82\]](#page-19-19) in 1982. The following result is useful for our analysis [\[May03\]](#page-19-3).

**Lemma 11 (LLL).** Let  $\mathcal{L}$  be a lattice spanned by a basis  $(\mathbf{u}_1, \ldots, \mathbf{u}_\omega)$ . In polynomial time, the LLL algorithm finds a new basis  $(\mathbf{v}_1, \ldots, \mathbf{v}_\omega)$  of  $\mathcal L$  satisfying

<span id="page-5-0"></span>
$$
\|\mathbf{v}_1\| \leq \ldots \leq \|\mathbf{v}_i\| \leq 2^{\frac{\omega(\omega-1)}{4(\omega+1-i)}} \det(\mathcal{L})^{\frac{1}{\omega+1-i}},
$$

for  $i = 1, \ldots, \omega$ .

#### 2.3 Sumsets theory

For any given finite subset A of an abelian group G, suppose  $0 \in A$ , we consider the sumset  $mA :=$  ${a_1 + a_2 + \cdots + a_m : a_i \in A}$ . Khovanskii's 1992 theorem [\[Kho92\]](#page-19-14) states that if  $A \subset \mathbb{Z}^k$  is finite, then there exists  $p(x) \in \mathbb{Q}[x]$  of degree k and  $N_{\text{Kh}}(A)$  such that if  $m \ge N_{\text{Kh}}(A)$ , then  $|mA| = p(m)$ . Moreover, if the difference set  $A - A$  generates all of  $\mathbb{Z}^k$  additively, then  $\deg(p) = k$  and the leading coefficient of p is the volume of the convex hull of A, which we define as  $N = H(A)$ .

To make things more straightforward, we introduce the Newton polytope.

**Definition 12 (Set of points).** Let  $G = \mathbb{Z}^k$ . For a polynomial f, consider  $A(f)$  as the set of points corresponding to the monomials of f as follows:

$$
A(f) = \{(i_1, \ldots, i_k)|x_1^{i_1} \cdot \ldots \cdot x_k^{i_k} \text{ is a monomial of } f\}.
$$

**Definition 13 (Newton polytope).** Let f be a polynomial in  $\mathbb{Z}[x_1, \ldots, x_k]$ . The Newton polytope  $N(f)$  of f is defined as the convex hull of  $A(f)$ 

Obviously, the Newton polytope has the following property:

Property 14. For all polynomials  $f_1, f_2$  in  $\mathbb{Z}[x_1, \ldots, x_k]$ , it holds that

$$
N(f_1 \cdot f_2) = N(f_1) + N(f_2).
$$

Definition 15 (Saturated Newton polytope). We say that a polynomial f has Saturated Newton Polytope if every integer point of the convex hull of its exponent vectors corresponds to a monomial of  $f$ .

For example, when  $\text{supp}\{f\} = \{x_1^2, x_1, x_2, 1\}, A(f)$  is  $\{(0,0), (1,0), (2,0), (0,1)\},$  corresponding to  $\{1, x, x^2, y\}$  and the Newton Polytope of f is a triangle with  $\{(0,0), (2,0), (0, 1)\}$ . Then  $|mA(f)|$ corresponds to supp ${f^m}$ . For simplicity, we write  $A(f)$  as A and the convex hull of A as N. So Khovanskii's 1992 theorem [\[Kho92\]](#page-19-14) can be stated as follows:

**Lemma 16 (Khovanskii, [\[Kho92\]](#page-19-14)).** Suppose  $A \subset \mathbb{Z}^k$  is finite. Then there exists a value  $N_{kh}$  with the following propery: if  $m > N_{kh}$ , then there exists a polynomial  $p(x) \in \mathbb{Q}[x]$  such that  $|mA| = p(m)$ .

Khovanskii proved this by constructing a finitely generated graded module M over the polynomial ring  $\mathbb{C}[t_1,\ldots,t_s]$ , where the cardinality of set A is denoted by s. This module possesses the characteristic that its homogeneous component  $M_m$  forms a vector space over  $\mathbb C$  with precisely m dimensions for all  $m \geq 1$ . Therefore, the dimension of  $M_m$  over  $\mathbb C$  is exactly the Hilbert Function. According to Hilbert's theorem, the dimension of  $M_m$  over  $\mathbb C$  is a polynomial in m for sufficiently large m, thereby yielding the desired result.

Suppose  $A \subset \mathbb{Z}^k$  is full rank, which means there exist  $\mathbf{v}_1, \ldots, \mathbf{v}_k$  that are linearly independent. We denote the linear space spanned by A over  $\mathbb Z$  as  $\text{span}_{\mathbb Z}(A)$ , that is

$$
\mathrm{span}_{\mathbb{Z}}(A) = \{a_1\mathbf{v}_1 + \ldots + a_k\mathbf{v}_k | a_1, \ldots, a_k \in \mathbb{Z}\}.
$$

We denote the convex hull of A as N and its volume as  $V(N)$ . Moreover, if  $\text{span}_{\mathbb{Z}}(A) = \mathbb{Z}^k$  additively, then  $\deg(p) = k$  and the leading coefficient of p is  $V(N)$ .

When  $\text{span}_{\mathbb{Z}}(A) \neq \mathbb{Z}^k$ , we denote  $[\mathbb{Z}^k : \text{span}_{\mathbb{Z}}(A)]$  as the index of  $\text{span}_{\mathbb{Z}}(A)$  over  $\mathbb{Z}^k$ . Actually, if we view span<sub> $\mathbb{Z}(A)$ </sub> as a lattice over  $\mathbb{Z}$ , then  $[\mathbb{Z}^k : \text{span}_{\mathbb{Z}}(A)]$  is equal to the fundamental domain of  $span_{\mathbb{Z}}(A).$ 

See Fig [2](#page-6-0) as an example, where  $f = a_1x_1 + a_2x_2 + C$ . We have supp ${f} = {x_1, x_2, 1}$ . Now it holds that

$$
A(f) = \{(1,0), (0,1), (0,0)\}
$$

and

<span id="page-6-3"></span>
$$
\text{span}_{\mathbb{Z}}(A) = \{(1,0)z_1 + (0,1)z_2 | z_1, z_2 \in \mathbb{Z}\}\
$$

<span id="page-6-0"></span>is a lattice over Z. Then the fundamental domain of  $\text{span}_{\mathbb{Z}}(A)$  is a unit square and  $[\mathbb{Z}^k : \text{span}_{\mathbb{Z}}(A)] = 1$ 



Fig. 2:  $A(f)$  and  $\mathcal{P}(f)$ : we can see that  $A(f)$  is a triangle and the fundamental domain of span<sub>Z</sub>(A) is a unit square.

Then we can rewrite Corollary 2 in [\[Kho92\]](#page-19-14) as follows:

**Lemma 17.** Suppose  $A \subset \mathbb{Z}^k$  is full rank. Then there exists a value  $N_{kh}$  with the following property. if  $m > N_{kh}$ , then there exists a polynomial  $p(x) \in \mathbb{Q}[x]$  of degree k such that  $|mA| = p(m)$  and the leading coefficient of p is  $V(N)/[\mathbb{Z}^k : \text{span}_{\mathbb{Z}}(A)]$ , where N is the convex hull of A, and  $V(N)$  is its volume.

Regarding the size of  $N_{kh}$ , in 2023, Granville et al. [\[GSW23\]](#page-19-13) provided the first effective upper bounds for this threshold for arbitrary A. For any such A in terms of the width of A,  $w(A)$  = width $(A) := \max_{a_1, a_2 \in A} ||a_1 - a_2||_{\infty}$ . Then the upper bound proposed by Granville et al. is as follows:

**Lemma 18 (Granville et al., [\[GSW23\]](#page-19-13)).** If  $A \subset \mathbb{Z}^k$  is finite, then  $|mA| = p(m)$  for all  $m \geq$  $(2|A| \cdot \text{width}(A))^{(k+4)|A|}.$ 

We note that the former upper bound is too large. When  $|A| = n$ , it tends to be at least  $n^n!$ Finally, we give another useful theorem called Ehrhart Theorem proposed by Ehrhart in 1962 [\[Ehr62\]](#page-19-20).

<span id="page-6-1"></span>**Lemma 19 (Ehrhart, [\[Ehr62\]](#page-19-20)).** Suppose  $A \subset \mathbb{Z}^k$  is full-rank and N is the convex hull of A, then the number of integer points contained in the polytope  $mN$  is a polynomial of m with degree k. Moreover, the leading coefficient is  $V(N)$ .

It was later generalized by Michel Brion and Michèle Vergne [\[BV97\]](#page-19-21) into the following result.

**Lemma 20 (Brion and Vergne, [\[BV97\]](#page-19-21)).** Let N be a convex integer polytope with vertices in  $\mathbb{Z}^k$ and  $\phi$  be any homogeneous polynomial function. Then the following counting function:

<span id="page-6-2"></span>
$$
\sum_{\delta \in mN \cap \mathbb{Z}^k} \phi(\delta)
$$

is a polynomial of m with degree  $k + \deg(\phi)$ . Moreover, the leading coefficient is the integral of  $\phi$  over the polytope N.

Now Lemma [19](#page-6-1) can be seen as a special case of Lemma [20](#page-6-2) when  $\phi = 1$ .

Considering A as a Saturated Newton polytope, the number of integer points in  $mN$  is not less than that in  $mA$  as  $A \subset N$ . However, by comparing Lemma [17](#page-6-3) and Lemma [19,](#page-6-1) we can see that if we view the number of integer points in  $mN$  and  $mA$  as polynomials in  $m$ , the leading terms of these two polynomials are the same, both equal to  $V(N)$ .

#### 2.4 Coppersmith's method

Suppose  $f \in \mathbb{Z}[x_1, ... x_k]$  is a polynomial with a small root  $\mathbf{u} = (u_1, ..., u_k) \in \mathbb{Z}^k$  modulo some integer M. Here, a small root means  $|u_i| < X_i$  for known bound  $X_i$ , for  $i = 1, \ldots, k$ . To find such a root, Coppersmith's method is usually employed. Below we will give a brief introduction to Coppersmith's method for solving modular equations. More details can be found in [\[May03\]](#page-19-3).

Coppersmith's method first constructs a lattice  $\mathcal L$  with the coefficient vector of a system of polynomials that has the same small root **u** of f when modulo  $M<sup>m</sup>$  where m is some positive integer. For example, the polynomials can be selected as:

$$
g_{[i_1,...,i_k]} = x_1^{i_1} \cdot ... \cdot x_k^{i_k} f^{\ell} M^{m-\ell}, \text{ for } \ell = 0,...,m.
$$

Note that each  $g_{[i_1,...,i_k]}$  has the same small root of f modulo  $M^m$ .

Coppersmith's method tries to find the short vectors, or equivalently, the short polynomials  $g_1, \ldots, g_k$ , in the lattice  $\mathcal L$  by applying the LLL algorithm. Using the following result, due to Howgrave-Graham, and Lemma [11,](#page-5-0) we just need  $\det(\mathcal{L}) < M^{m \dim(\mathcal{L})}$  to ensure that  $g_1, \ldots, g_k$  have the same small root **u** with f, not only modulo  $M^m$  but also over  $\mathbb{Z}$ .

**Lemma 21 (Howgrave-Graham [\[HG97\]](#page-19-22)).** Let  $g(x_1, \ldots, x_k) \in \mathbb{Z}[x_1, \ldots, x_k]$  be a polynomial with at most  $\omega$  monomials. Let M be a positive integer. If there exist k integers  $(u_1, \ldots, u_k)$  satisfying the following two conditions:

- 1.  $q(u_1, \ldots, u_k) \equiv 0 \mod M$ ,
- 2. there exist k positive integers  $X_1, \ldots, X_k$  such that  $|u_i| < X_i$  for  $i = 1, \ldots, k$ , and  $||g(x_1X_1, \ldots, x_kX_k)||$ √ ω ,

then  $g(u_1, \ldots, u_k) = 0$  holds over  $\mathbb{Z}$ .

Lastly, Coppersmith's method computes the desired root  $\mathbf{u} = (u_1, \dots, u_k)$  by solving the system of polynomial equations  $q_i(x_1, \ldots, x_k) = 0$  for  $i = 1, \ldots, k$ .

In the multivariate scenario, that is, for  $k > 1$ , we usually assume the ideal generated by  $q_1, \ldots, q_k$ being zero-dimensional, allowing us to compute the small root **u** by Gröbner basis. The following Assumtion [1](#page-7-2) is widely used in many works [\[May03,](#page-19-3)[MR09,](#page-19-23)[MNS21,](#page-19-24)[MNS22,](#page-19-8)[MN23,](#page-19-12)[FNP24\]](#page-19-25).

<span id="page-7-2"></span>**Assumption 1** The ideal generated by the polynomials obtained from Coppersmith's method is zerodimensional.

### <span id="page-7-1"></span>3 Newton polytope-based strategy

In this section, we propose our Newton polytope-based strategy and provide the corresponding explicit formulas for  $\dim(\mathcal{L})$  and  $\det(\mathcal{L})$  due to fruitful results in Additive Combinatorics [\[Ehr62,](#page-19-20)[Kho92,](#page-19-14)[Nat23,](#page-20-4) [GSW23\]](#page-19-13). Based on the results proposed by Ehrhart [\[Ehr62\]](#page-19-20) and Khovanskii [\[Kho92\]](#page-19-14), we also prove that our strategy achieves the same bound as the Jochemsz-May strategy. Thus, the Jochemsz-May strategy and ours share the same explicit formulas. Note this strategy cannot simply be seen as a rewrite of the Jochemsz-May strategy on the Newton polytope. Actually, our strategy introduces some simplifications to the lattice construction.

In Section [3.1,](#page-7-0) we first recall the widely used Jochemsz-May Strategy [\[JM06\]](#page-19-10) in Coppersmith's method, which is the optimal strategy for the general case. Then we propose our novel Newton Polytope-Based Strategy in Section [3.2.](#page-8-0) It has two appealing properties: simpler lattice construction and faster asymptotic bound computation. We will show them in Section [3.3](#page-9-0) and Section [3.4.](#page-10-0) For simplicity, we assume f satisfies  $[\mathbb{Z}^k : \text{span}_{\mathbb{Z}}(A(f))] = 1$ , otherwise, the result needs to be divided by  $[\mathbb{Z}^k : \text{span}_{\mathbb{Z}}(A(f))].$ 

### <span id="page-7-0"></span>3.1 Jochemsz-May Strategy and Meers-Nowakowski Strategy  $6$

Suppose  $f \in \mathbb{Z}[x_1,...x_k]$  is a polynomial with a small root  $\mathbf{u} = (u_1,...,u_k) \in \mathbb{Z}^k$  modulo some integer  $M$ . Here a small root means we assume that we know an upper bound for the root, namely

<span id="page-7-3"></span> $^6$  The Jochemsz-May strategy can be viewed as the Meers-Nowakowski Strategy (Automated Coppersmith's method) [\[MN23\]](#page-19-12) in the case  $n = 1$ .

 $|u_i| < X_i$  for some given  $X_i$ . The key step in Coppersmith's method is to construct a lattice  $\mathcal L$  with the coefficient vector of a system of polynomials as follows:

<span id="page-8-1"></span>
$$
g_{[i_1,\ldots,i_k]}(x_1,\ldots,x_k) = x_1^{i_1} \cdot \ldots \cdot x_k^{i_k} f^{\ell} M^{m-\ell}, \text{ for } \ell = 0,\ldots,m. \tag{1}
$$

Note that each  $g_{[i_1,...,i_k]}$  has the same small root of f modulo  $M^m$ , where m is some positive integer. At Asiacrypt'06, Jochemsz and May [\[JM06\]](#page-19-10) described a strategy to choose polynomials in Equa-

tion [\(1\)](#page-8-1) to make  $\det(\mathcal{L})$  as small as possible. This remains the best available strategy for the general problem. They defined the following set

$$
J_{\ell} = \{\lambda | \lambda \in \text{supp}\{f^{m}\} \text{ and } \frac{\lambda}{\text{LM}(f)^{\ell}} \in \text{supp}\{f^{m-\ell}\}\}\
$$

and then define the shift polynomials as follows

<span id="page-8-2"></span>
$$
g_{[i_1,...,i_k]}(x_1,...,x_k) = \frac{x_1^{i_1} \cdot ... \cdot x_k^{i_k}}{\text{LM}(f)^\ell} f^\ell M^{m-\ell},\tag{2}
$$

for  $\ell = 0, \ldots, m$ , and  $x_1^{i_1} \ldots x_k^{i_k} \in J_{\ell} \setminus J_{\ell+1}$ .

The lattice  $\mathcal L$  is constructed by taking the coefficient vectors of the polynomials  $g(x_1X_1, \ldots, x_nX_n)$ as a basis.

Recently, Meers and Nowakowski proposed the Automated Coppersmith's method, which can be seen as a generalization of the Jochemsz-May Strategy from a single polynomial equation to a system of polynomial equations. However, the Meers-Nowakowski Strategy and the Jochemsz-May Strategy still have a slight difference in the single polynomial equation case. In the Meers-Nowakowski Strategy, they choose

$$
J'_{\ell} = {\lambda | \lambda \in \text{supp}\lbrace f^{m} \rbrace \text{ and } \frac{\lambda}{\text{LM}(f)^{\ell}} f^{\ell} \in \text{supp}\lbrace f^{m} \rbrace }.
$$

These two strategies can be seen as greedy strategies, aiming to reduce the order of M in Equation [\(2\)](#page-8-2) as much as possible.

Although  $J_{\ell}$  and  $J'_{\ell}$  appear somewhat different, nevertheless, we will prove in [A](#page-20-5)ppendix A that this difference is essentially negligible. In this section, we only consider the case of a single polynomial equation. When comparing with previous work, we only just compare with the Jochemsz-May Strategy.

#### <span id="page-8-0"></span>3.2 Newton polytope-based strategy

Now we propose our novel Newton polytope based strategy. For simplicity, we assume that in the following,  $f$  is monic and the lattice spanned by the points corresponding to all monomials of  $f$  is  $\mathbb{Z}^k$ . Otherwise, we need to divide by the volume of the lattice.

Suppose  $f \in \mathbb{Z}[x_1,...x_k]$  is a polynomial with a small root  $\mathbf{u} = (u_1,...,u_k) \in \mathbb{Z}^k$  modulo some integer M, the leading monomial of f corresponds to the integer point  $\alpha \in \mathbb{Z}^k$ . Here, a small root means  $|u_j| < X_j$  for known bound  $X_j$ , for  $j = 1, ..., k$ . We also focus on selecting the basis of the lattice used in Coppersmith's method. Our algorithm is described as follows:

Fix an integer m: Consider  $A(f) = \{(i_1, \ldots, i_k) \mid x_1^{i_1} \cdot \ldots \cdot x_k^{i_k} \text{ is a monomial of } f\}$  and its convex hull  $N(f)$ , then compute  $mN(f)$ .

Define the sets

$$
S_{\ell} = \ell \alpha + (m - \ell)N(f),
$$

so that  $S_m \subset \ldots \subset S_0$ . For each integer point  $(i_1, \ldots, i_k)$  in  $mN(f)$ , compute  $\ell$  such that  $(i_1, \ldots, i_k) \in$  $S_{\ell}$  but  $(i_1, \ldots, i_k) \notin S_{\ell+1}$ . Record

$$
g_{[i_1,\ldots,i_k]}(x_1,\ldots,x_k)=\frac{x_1^{i_1}\cdot\ldots\cdot x_k^{i_k}}{\mathrm{LM}(f)^\ell}f^\ell M^{m-\ell}.
$$

Use the coefficient vectors of all  $g_{[i_1,...,i_k]}(x_1X_1,...,x_kX_k)$  to form the basis of the lattice.

We provide Algorithm [1](#page-9-1) to better understand our novel strategy. Compared with Jochemsz-May Strategy, we do not need to compute the monomials in  $f<sup>m</sup>$  precisely. This leads to an efficient construction of the lattice.

It is worth mentioning that, due to the introduction of new tools from additive combinatorics, our proposed strategy can quickly compute asymptotic bounds.

Algorithm 1: Construction of the lattice in Coppersmith's method

<span id="page-9-1"></span>**Input:**  $f \in \mathbb{Z}[x_1, \ldots, x_k]$ , integer m, modulus M, and bounds  $X_i$  for  $j = 1, \ldots, k$ **Output:** Set of polynomials, whose coefficient vector forms the basis of the lattice  $\mathcal{L}$ 1 Define  $\alpha \in \mathbb{Z}^k$  is the integer point correspond to  $LM(f)$ ; 2 Compute Newton polytope  $N(f)$  of f;  $3 \ G \leftarrow \emptyset;$ 4 for  $(i_1, \ldots, i_k) \in mN(f)$  do  $\mathbf{5}$   $\ell \leftarrow 0;$ 6 while  $(i_1, \ldots, i_k) \in \ell \alpha + (m - \ell) N(f)$  do 7  $|\ell \leftarrow \ell + 1;$ 8 end  $9\quad \Big|\quad g_{[i_1,...,i_k]}(x_1,\ldots,x_k)\leftarrow\frac{x_1^{i_1} \cdot ... \cdot x_k^{i_k}}{\ln(I)^{\ell}} f^{\ell} M^{m-\ell};$ 10  $\Big| G \leftarrow G \cup \{g_{[i_1,...,i_k]}(x_1X_1,...,x_kX_k)\};$ 11 end 12 return  $G$ ;

#### <span id="page-9-0"></span>3.3 Computing  $\dim(\mathcal{L})$  efficiently

Next, we will associate the leading coefficient of  $\dim(\mathcal{L})$  with the volume of the convex hull by analyzing the Hilbert Function of some graded algebra. Therefore, we only need to compute the volume of the convex hull to obtain the desired value, which is a very fast operation.

For example, when we consider a modular polynomial equation  $f \equiv 0 \pmod{M}$  with supp $\{f\}$  ${x_1, x_2, 1}$ , the computation about dim( $\mathcal{L}$ ) is

$$
\sum_{i_1=0}^{m} \sum_{i_2=0}^{m-i_1} 1 = \frac{1}{2}m^2 + \frac{3}{2}m + 1.
$$

For calculating the asymptotic bounds of Coppersmith's method, we only need the leading coefficient 1/2 for the asymptotic bound in Coppersmith's method. This can be computed easily by calculating the volume of the Newton polytope of f, that is, a triangle with vertices at  $(0, 0)$ ,  $(0, 1)$ , and  $(1, 0)$ .

According to our strategy in Algorithm [1,](#page-9-1) for each integer point  $(i_1, \ldots, i_k)$  in  $mN(f)$ , we selected a corresponding polynomial  $g_{[i_1,...,i_k]}$ , and then constructed the lattice  $\mathcal L$  using the coefficient vectors of all  $g_{[i_1,...,i_k]}(x_1X_1,\ldots,x_kX_k)$ . Therefore, the dimension of our lattice is equal to the number of integer points in  $mN(f)$ . Next, we present a formal theorem regarding the calculation of dim( $\mathcal{L}$ ).

<span id="page-9-2"></span>**Theorem 22.** Suppose  $f \in \mathbb{Z}[x_1, \ldots, x_k]$  and m is an integer. The dimension of the lattice obtained by Algorithm [1](#page-9-1) is a polynomial in m, and the leading coefficient is the volume of the Newton polytope corresponding to f. That is,

$$
\dim(\mathcal{L}) = V(N(f))m^k + o(m^k).
$$

*Proof.* The dimension of the lattice is the number of integer points in  $mN(f)$ . Therefore, by Lemma [19,](#page-6-1) we know that there exists a degree k polynomial  $p_E$  such that  $\dim \mathcal{L} = p_E(m)$ , and  $LM(p_E)$  $V(N(f)).$ 

In fact, we find that, not only our strategy can compute  $\dim(\mathcal{L})$  in such an elegant way, but the Jochemsz-May Strategy also has a similar result.

<span id="page-9-3"></span>**Theorem 23.** Suppose  $f \in \mathbb{Z}[x_1, \ldots, x_k]$  and m is an integer. There exists  $N_{kh}$  such that for  $m >$  $N_{kh}$ , the dimension of the lattice obtained by the Jochemsz-May Strategy is a polynomial in m, and the leading coefficient is the volume of the Newton polytope corresponding to f. That is,

$$
\dim(\mathcal{L}) = V(N(f))m^k + o(m^k).
$$

*Proof.* The dimension of the lattice is the number of integer points in  $mA(f)$ . Therefore, by Lemma [17,](#page-6-3) there exists  $N_{kh}$  and a degree k polynomial  $p_K$ , such that for  $m > N_{kh}$ , it holds that  $\dim(\mathcal{L}) = p_K(m)$ , and the leading term of  $p_K$  is  $LM(p_K) = V(N(f))$ . □ Comparison with the Jochemsz-May Strategy. By comparing the theorems for calculating  $\dim(\mathcal{L})$  in both our strategy (Theorem [22\)](#page-9-2) and Jochemsz-May Strategy (Theorem [23\)](#page-9-3), we find that if we view  $\dim(\mathcal{L})$  as a polynomial in m, the leading terms of these two polynomials are the same. However, Jochemsz-May Strategy involves a more precise calculation of mA, which results in a greater computational burden.

### <span id="page-10-0"></span>3.4 Computing  $\det(\mathcal{L})$  efficiently

Suppose  $f \in \mathbb{Z}[x_1, ... x_k]$  is a polynomial with a small root  $\mathbf{u} = (u_1, ..., u_k) \in \mathbb{Z}^k$  modulo some integer M. Here, a small root means  $|u_j| < X_j$  for known bound  $X_j$ , for  $j = 1, \ldots, k$ . Recall we take the coefficient vectors of  $g(x_1X_1,\ldots,x_nX_n)$  to construct the lattice  $\mathcal L$  in Algorithm [1.](#page-9-1) Then we can write  $\det(\mathcal{L})$  as

$$
\det(\mathcal{L}) = X_1^{p_1(m)} \cdot \ldots \cdot X_k^{p_k(m)} M^{p_{\mathcal{F}}(m)}.
$$

We will divide the discussion into three parts regarding  $\det(\mathcal{L})$ . In the first two parts, we show that we can compute the leading coefficients of  $p_j$  for  $j = 1, \ldots, k$  and  $p_{\mathcal{F}}$  in a manner similar to how we compute dim( $\mathcal{L}$ ). Finally, we will show that the leading coefficient of  $\det(\mathcal{L})$  in our strategy is the same as that in the Jochemsz-May Strategy, which can also be viewed as a proof of Heuristic 2 (for  $n = 1$ ) in [\[MN23\]](#page-19-12). Therefore, the asymptotic bounds computed by both strategies are the same, which demonstrates that our strategy can achieve the same effectiveness as the Jochemsz-May Strategy.

Computing the leading coefficient of  $p_j$ . Considering the computation of  $p_j$ , the main idea is to transform  $p_i$  into an integral or a higher-dimensional Newton polytope. For example, we choose f with supp ${f} = {x_1, x_2, 1}$ . If we want to directly compute  $p_1$ , we need to compute

$$
p_1(m) = \sum_{i_1=0}^{m} \sum_{i_2=0}^{m-i_1} i_1 = \frac{1}{6}m^3 + o(m^3).
$$

However, we can use the following method to compute  $LC(p_1)$ . Now we consider about the convex hull of  $\{(0, 0, 0), (0, 1, 0), (1, 0, 0), (1, 0, 1)\}$  as in Figure [3.](#page-10-1) Note that the volume of this tetrahedron is equal to the integral of  $x_1$  over  $N(f)$ .

<span id="page-10-1"></span>

Fig. 3: 
$$
supp{f} = {x_1, x_2, 1}
$$

Our formal theorem regarding the calculation of  $p_i$  is as follows.

<span id="page-10-2"></span>**Theorem 24.** Suppose  $f \in \mathbb{Z}[x_1, \ldots, x_k]$  and m is an integer. Then  $p_j$  in  $\det(\mathcal{L})$  obtained by Algo-rithm [1](#page-9-1) is a polynomial in m, and the leading coefficient is the integral of  $x_i$  over the Newton polytope corresponding to f. That is,

$$
p_j(m) = \int_{N(f)} x_j dV m^{k+1} + o(m^{k+1}).
$$

*Proof.* Regarding the lattice constructed in our strategy, we can write  $p_i$  as follows:

$$
p_j(m) = \sum_{\delta \in mN(f) \cap \mathbb{Z}^k} x_j(\delta),\tag{3}
$$

Here  $x_j$  is a homogeneous polynomial. When  $\delta = (i_1, \ldots, i_k)$ , it holds that  $x_j(\delta) = i_j$ .

Therefore, by Lemma [20,](#page-6-2) we know that  $p_j(m)$  is a degree  $k + 1$  polynomial and  $LM(p_j)$  =  $\int_{N(f)}$  $x_j dV$ . □

Computing the leading coefficient of  $p_{\mathcal{F}}$ . Suppose  $f \in \mathbb{Z}[x_1,...x_k]$  is a polynomial with a small root  $\mathbf{u} = (u_1, \ldots, u_k) \in \mathbb{Z}^k$  modulo some integer M, the leading monomial of f correspond to the integer point  $\alpha \in \mathbb{Z}^k$ . Here, a small root means  $|u_j| < X_j$  for known bound  $X_j$ , for  $j = 1, \ldots, k$ . In our novel Newton polytope-based strategy, we define the sets

$$
S_{\ell} = \ell \alpha + (m - \ell)N(f),
$$

satisfied  $S_m \subset \ldots \subset S_0$ . For each integer point  $(i_1, \ldots, i_k)$  in  $mN(f)$ , compute  $\ell$  such that  $(i_1, \ldots, i_k) \in$  $S_{\ell}$  but  $(i_1, \ldots, i_k) \notin S_{\ell+1}$ . Recall that

$$
g_{[i_1,\ldots,i_k]}(x_1,\ldots,x_k)=\frac{x_1^{i_1}\cdot\ldots\cdot x_k^{i_k}}{\mathrm{LM}(f)^\ell}f^\ell M^{m-\ell},
$$

and then use the coefficient vectors of all  $g_{[i_1,...,i_k]}(x_1X_1,...,x_kX_k)$  to form the basis of the lattice. Define  $T_m = \{m\alpha\}$  and  $T_\ell$  for  $\ell = 0, \ldots, m-1$  as follows:

$$
T_{\ell} = S_{\ell} \setminus S_{\ell+1}.
$$

Therefore, we can write  $p_{\mathcal{F}}(m)$  as follows:

$$
p_{\mathcal{F}}(m) = m \dim(\mathcal{L}) - \sum_{\ell=0}^m \ell |T_{\ell} \cap \mathbb{Z}^k|.
$$

Before presenting the theorem for calculating  $p<sub>\mathcal{F}</sub>$ </sub>, we first give a lemma for counting the sum of the number of integer points in  $S_{\ell}$ .

<span id="page-11-1"></span>**Lemma 25.** For  $f \in \mathbb{Z}[x_1, ..., x_k]$ ,  $\sum_{\ell=0}^m |S_{\ell} \cap \mathbb{Z}^k| = V(N(f))m^{k+1} + o(m^{k+1})$ .

*Proof.* From Lemma [19,](#page-6-1) we know that there exists a polynomial  $p_E(m)$  such that  $|mN(f) \cap \mathbb{Z}^k|$  =  $p_E(m)$ , and the leading coefficient is  $V(N(f))$ . Therefore, we have

$$
\sum_{\ell=0}^{m} |S_{\ell} \cap \mathbb{Z}^{k}| = \sum_{\ell=0}^{m} |(m - \ell)N(f) \cap \mathbb{Z}^{k}|
$$
  
= 
$$
\sum_{\ell=0}^{m} |\ell N(f) \cap \mathbb{Z}^{k}|
$$
  
= 
$$
\sum_{\ell=0}^{m} V(N(f)) \ell^{k} + o(m^{k+1})
$$
  
= 
$$
\frac{1}{k+1} V(N(f)) m^{k+1} + o(m^{k+1}).
$$

Therefore, we can compute  $p_{\mathcal{F}}$  as in the following theorem:

**Theorem 26.** Suppose  $f \in \mathbb{Z}[x_1,\ldots,x_k]$  and m is an integer. Then the exponent  $p_{\mathcal{F}}$  of M in  $\det(\mathcal{L})$ obtained by Algorithm [1](#page-9-1) is a polynomial in m, and its leading coefficient is  $kV(N(f))/(k+1)$ . That is,

$$
p_{\mathcal{F}}(m) = \frac{k}{k+1} V(N(f)) m^{k+1} + o(m^{k+1}).
$$

*Proof.* As  $p_{\mathcal{F}}(m) = m \dim(\mathcal{L}) - \sum_{\ell=0}^m \ell |T_{\ell} \cap \mathbb{Z}^k|$  and  $T_{\ell} = S_{\ell} \setminus S_{\ell+1}$  for  $\ell = 0, \ldots, m-1$ , we can rewrite  $p_{\mathcal{F}}$  as follows:

<span id="page-11-0"></span>
$$
p_{\mathcal{F}}(m) = m \dim(\mathcal{L}) - m|S_m \cap \mathbb{Z}^k| - \sum_{\ell=0}^{m-1} \ell(|S_{\ell} \cap \mathbb{Z}^k| - |S_{\ell+1} \cap \mathbb{Z}^k|). \tag{4}
$$

⊓⊔

Then Equation [\(4\)](#page-11-0) holds that

$$
p_{\mathcal{F}}(m) = m \dim(\mathcal{L}) - \sum_{\ell=0}^{m} |S_{\ell} \cap \mathbb{Z}^{k}|.
$$

Using Theorem [22](#page-9-2) and Lemma [25,](#page-11-1) we have

$$
m\dim(\mathcal{L}) = V(N(f)) m^{k+1} + o(m^{k+1}),
$$

and

$$
\sum_{\ell=0}^{m} |S_{\ell} \cap \mathbb{Z}^{k}| = \frac{1}{k+1} V(N(f)) m^{k+1} + o(m^{k+1}).
$$

Therefore, the exponent  $p_{\mathcal{F}}$  in  $\det(\mathcal{L})$  obtained by Algorithm [1](#page-9-1) is a polynomial in m, and the leading coefficient is  $V(N(f)) - V(N(f))/(k+1) = kV(N(f))/(k+1)$ , that is

$$
p_{\mathcal{F}}(m) = \frac{k}{k+1} V(N(f)) m^{k+1} + o(m^{k+1}).
$$

Comparison with the Jochemsz-May Strategy. One may ask whether our strategy can achieve the same bounds as the Jochemsz-May Strategy. Next, we will prove that our strategy can achieve the same bounds, i.e., the leading coefficients of both  $\dim(\mathcal{L})$  and  $\det(\mathcal{L})$  are the same.

Define  $\Delta(f) = (mN(f) \cap \mathbb{Z}^k) \setminus m\mathcal{A}(f)$ . In Section [3.3,](#page-9-0) we have already proven that the difference between our strategy and the Jochemsz-May Strategy lies in  $\Delta(f)$ . Comparing Theorem [22](#page-9-2) with Theorem [23,](#page-9-3) this part has been shown to be a very small portion, i.e.,  $o(m^k)$ . Therefore, the leading coefficient of  $\dim(\mathcal{L})$  in our strategy is the same as that in the Jochemsz-May Strategy, which is the volume of  $N(f)$ .

Considering  $\det(\mathcal{L})$ , specifically the leading coefficients of  $p_j$  and  $p_{\mathcal{F}}$ , we discuss this in two parts. For  $p_j$ , we need to show that the difference between our  $p_j$  and the  $p_j$  in the Jochemsz-May Strategy, that is

$$
\sum_{\delta \in mN(f) \cap \mathbb{Z}^k} x_j(\delta) - \sum_{\delta \in mA(f)} x_j(\delta) = \sum_{\delta \in \Delta(f)} x_j(\delta),
$$

is within  $o(m^{k+1})$ . Note that  $x_j(\delta) \leq \deg(f)m$ , thus  $\sum_{\delta \in \Delta(f)} x_j(\delta)$  belongs to  $o(m^{k+1})$ . Hence, the leading coefficient of our  $p_j$  is the same as in the Jochemsz-May Strategy.

For  $p_{\mathcal{F}}$ , for  $(i_1,\ldots,i_k) \in \Delta(f)$ , the order of M in  $g_{[i_1,\ldots,i_k]}$  is less than m. Therefore, the difference in  $p_{\mathcal{F}}$  between our strategy and the Jochemsz-May Strategy is less than  $m \cdot |\Delta(f)|$ , which is within  $o(m^{k+1})$ . As a result, the leading coefficient of  $p_{\mathcal{F}}$  is also the same in both strategies.

In summary, our strategy can achieve the same bounds as the Jochemsz-May Strategy.

### <span id="page-12-0"></span>4 Generalization to a system of equaitons

The Jochemsz-May Strategy [\[JM06\]](#page-19-10) is designed for the case of a single polynomial equation. However, in cryptanalysis, it is sometimes necessary to handle a system of polynomial equations, such as in the Implicit Factorization Problem [\[MR09\]](#page-19-23) and the Modular Inversion Hidden Number Problem [\[XSH](#page-20-6)<sup>+</sup>19]. Recently, Meers et al. [\[MN23\]](#page-19-12) introduced the Commutative Isogeny Hidden Number Problem at Asiacrypt'23, where solving this problem is reduced to solving a system of equations.

Next, we will generalize our Newton polytope-based strategy to handle a system of polynomial equations. Using summation techniques for proving some combinatorial identities, we will also provide similar results for calculating asymptotic bounds as in the single polynomial equation case in Section [3.](#page-7-1) Finally, we will compare our strategy with the Meers-Nowakowski Strategy (the Automated Coppersmith method) proposed by Meers and Nowakowski [\[MN23\]](#page-19-12). They proposed a heuristic and used Lagrange interpolation to compute asymptotic bounds, whereas our approach computes these bounds faster and is provable. As an application, we improve the results for the CSURF problem proposed by Meers and Nowakowski in Asiacrypt'23 [\[MN23\]](#page-19-12). The details about the improvement of CI-HNP will be provided in Section [5.](#page-15-0)

⊓⊔

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#### 4.1 Newton polytope-based strategy

There is more than one way to extend to multiple polynomial equations. Meers et al. [\[MN23\]](#page-19-12) proposed one approach in Asiacrypt'23, that is the Meers-Nowakowski Strategy (the Automated Coppersmith method), but here we choose an alternative method, which allows us to improve the result of CI-HNP over CSURF proposed by Meers and Nowakowski in Asiacrypt'23 [\[MN23\]](#page-19-12).

Suppose  $f_1, \ldots, f_n \in \mathbb{Z}[x_1, \ldots x_k]$  have a common small root  $\mathbf{u} = (u_1, \ldots, u_k) \in \mathbb{Z}^k$  modulo some integer  $M, \alpha_j \in \mathbb{Z}^k$  are the integer points corresponding to the leading monomial of  $f_j$  for  $j = 1, \ldots, n$ . Here, a small root means  $|u_j| < X_j$  for known bound  $X_j$ , for  $j = 1, \ldots, k$ . Our strategy is described as follows:

Fix an integer m. Consider

$$
A = \bigcup_{j=1}^{n} A(f_j)
$$

and denote its convex hull  $N$ , then compute  $mN$ .

Define the sets

<span id="page-13-0"></span>
$$
S_{\ell} = (m - \ell)N(f) + \bigcup_{\substack{\ell_1 + \dots + \ell_n = \ell \\ 0 \le \ell_j \le m}} \sum_{j=1}^n \ell_j \alpha_j,
$$
\n
$$
(5)
$$

so that  $S_m \subset \ldots \subset S_0$ . For each integer point  $(i_1, \ldots, i_k)$  in  $mN(f)$ , compute  $\ell$  such that  $(i_1, \ldots, i_k) \in$  $S_{\ell}$  but  $(i_1,\ldots,i_k) \notin S_{\ell+1}$ . Then there exist  $\ell_1,\ldots,\ell_n$  with  $\sum_{j=1}^n \ell_j = \ell$ , such that

$$
(i_1,\ldots,i_n)\in (m-\ell)N(f)+\sum_{j=1}^n \ell_j\alpha_j.
$$

Recall that

$$
g_{[i_1,\ldots,i_k]}(x_1,\ldots,x_k) = \frac{x_1^{i_1} \cdot \ldots \cdot x_k^{i_k}}{\prod_{j=1}^n \text{LM}(f_j)^{\ell_j}} \prod_{j=1}^n f_j^{\ell_j} M^{m-\ell}.
$$

Use the coefficient vectors of all  $g_{[i_1,...,i_k]}(x_1X_1,...,x_kX_k)$  to form the basis of the lattice.

### 4.2 Compute  $\dim(\mathcal{L})$  efficiently

In our strategy, we selected a set of polynomial  $g_{[i_1,...,i_k]}$ , and then constructed the lattice  $\mathcal L$  using the coefficient vectors of all  $g_{[i_1,...,i_k]}(x_1X_1,...,x_kX_k)$ .

For  $f_1, \ldots, f_n \in \mathbb{Z}[x_1, \ldots, x_k]$ , let A be the union of all  $A(f_i)$  and N be its convex hull. Then, the dimension of the lattice is the number of integer points in  $mN$ . This means that the case of multiple polynomial equations can be reduced to a single one. The formal theorem for calculating  $dim(\mathcal{L})$  is as follows.

<span id="page-13-1"></span>**Theorem 27.** Suppose  $f_1, \ldots, f_n \in \mathbb{Z}[x_1, \ldots, x_k]$  and m is an integer. Let A be the union of all  $A(f_i)$  and N be its convex hull. The dimension of the lattice obtained by the Newton polytope-based strategy is a polynomial in m, and the leading coefficient is the volume of  $N$ . That is,

$$
\dim(\mathcal{L}) = V(N)m^k + o(m^k).
$$

*Proof.* The dimension of the lattice is the number of integer points in  $mN$ . Therefore, by Lemma [19,](#page-6-1) we know that there exists a degree k polynomial  $p_E$  such that dim  $\mathcal{L} = p_E(m)$ , and  $LM(p_E) = V(N(f))$ . ⊓⊔

In fact, A corresponds to the set of all monomials of  $f_i$  for  $j = 1, \ldots, n$ . Informally speaking, A can be written as  $A(f_1 + \cdots + f_n)$ .

### 4.3 Compute  $\det(\mathcal{L})$  efficiently

Suppose  $f_1, \ldots, f_n \in \mathbb{Z}[x_1, \ldots, x_k]$  have a common small root  $\mathbf{u} = (u_1, \ldots, u_k) \in \mathbb{Z}^k$  modulo some integer M, which satisfies  $|u_i| < X_i$  for known bounds  $X_i$ , for  $j = 1, ..., k$ .

Let the lattice  $\mathcal L$  be constructed by our novel strategy. Then we can write  $\det(\mathcal L)$  as

$$
\det(\mathcal{L}) = X_1^{p_1(m)} \cdot \ldots \cdot X_k^{p_k(m)} M^{p_{\mathcal{F}}(m)}.
$$

We will discuss the computation of the leading coefficients of  $p_i$  and  $p_{\mathcal{F}}$  in the following two parts.

Computing the leading coefficient of  $p_i$ . Similar to dim( $\mathcal{L}$ ), we can reduce the case of multiple polynomial equations to that of a single one. The formal theorem is as follows.

**Theorem 28.** Suppose  $f_1, \ldots, f_n \in \mathbb{Z}[x_1, \ldots, x_k]$  and m is an integer. Let A be the union of all  $A(f_i)$  and N be its convex hull. Then  $p_i$  of the lattice obtained by the Newton polytope-based strategy is a polynomial in m, and the leading coefficient is the integral of  $x_j$  over the Newton polytope corresponding to N. That is,

$$
p_j(m) = \int_N x_j \, dV \, m^{k+1} + o(m^{k+1}).
$$

The proof is similar to that of Theorem [24,](#page-10-2) so we omit it here.

Computing the leading coefficient of  $p_{\mathcal{F}}$ . The  $p_F$  corresponding to a system of polynomial equations is fundamentally different from the single polynomial case because we need to consider all the leading monomials,  $LM(f_j)$  for  $j = 1, ..., n$ . We use a *lifting* technique to address this issue here, that is, lifting a calculation from  $\mathbb{Z}^k$  to  $\mathbb{Z}^{k+1}$ .

First, we define  $B = {\alpha_1, \ldots, \alpha_n}$  and rewrite  $S_\ell$  in Equation [\(5\)](#page-13-0) as

$$
S_{\ell} = (m - \ell)N(f) + \bigcup_{\substack{\ell_1 + \dots + \ell_n = \ell \\ 0 \le \ell_j \le m}} \sum_{j=1}^n \ell_j \alpha_j
$$

$$
= \ell B + (m - \ell)N(f).
$$

Next, we provide the result of Lemma [25](#page-11-1) in the case of a system of polynomial equations.

Denote  $A=(A,1)\cup(B,0)$  and its convex hull as N. Here,  $(A,1)$  means  $\{(i_1,\ldots,i_k,1)\mid (i_1,\ldots,i_k)\in$  $A\}$ , and similarly for  $(B, 0)$ .

<span id="page-14-0"></span>**Lemma 29.** For  $f_1, ..., f_n \in \mathbb{Z}[x_1, ..., x_k], \sum_{\ell=0}^m |S_{\ell} \cap \mathbb{Z}^k| = V(\widetilde{N}) m^{k+1} + o(m^{k+1}).$ 

*Proof.* From Lemma [19,](#page-6-1) we know that there exists a polynomial  $p_E(m)$  such that  $|mN(f) \cap \mathbb{Z}^k|$  =  $p_E(m)$ , and the leading coefficient is  $V(N(f))$ . Therefore, we have

$$
\sum_{\ell=0}^{m} |S_{\ell} \cap \mathbb{Z}^{k}| = \sum_{\ell=0}^{m} |\ell B + (m - \ell)N(f) \cap \mathbb{Z}^{k}
$$

$$
= |m\widetilde{N} \cap \mathbb{Z}^{k}|
$$

$$
= V(\widetilde{N}) m^{k+1} + o(m^{k+1}).
$$

|

⊓⊔

The key idea is lifting a calculation from  $\mathbb{Z}^k$  to  $\mathbb{Z}^{k+1}$ . Therefore, we can compute  $p_{\mathcal{F}}$  as the following theorem:

**Theorem 30.** Suppose  $f_1, \ldots, f_n \in \mathbb{Z}[x_1, \ldots, x_k]$  and m is an integer. Then  $p_{\mathcal{F}}$  of the lattice obtained by the Newton polytope-based strategy is a polynomial in m, and the leading coefficient is  $V(N)-V(N)$ . That is,

<span id="page-14-1"></span>
$$
p_{\mathcal{F}}(m) = (V(N) - V(\tilde{N})) m^{k+1} + o(m^{k+1}).
$$

*Proof.* As  $p_{\mathcal{F}}(m) = m \dim(\mathcal{L}) - \sum_{\ell=0}^{m} |S_{\ell} \cap \mathbb{Z}^{k}|$ , then using Theorem [27](#page-13-1) and the above Lemma [29,](#page-14-0) we have

$$
m\dim(\mathcal{L}) = V(N(f))\,m^{k+1} + o(m^{k+1}),
$$

and

$$
\sum_{\ell=0}^m |S_{\ell} \cap \mathbb{Z}^k| = V(\widetilde{N}) m^{k+1} + o(m^{k+1}).
$$

Therefore, the leading coefficient of  $p_{\mathcal{F}}$  is  $V(N) - V(\tilde{N})$ 

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#### 4.4 Comparison with the Meers-Nowakowski Strategy

We mainly discuss two issues and place the improved results on CI-HNP for CSURF in Section [5:](#page-15-0)

- For a polynomial f with k variables, the claim of Meers and Nowakowsk is that  $m \dim(\mathcal{L})$ ,  $p_j$ and  $p_F$  are  $k + 1$ -degree polynomials is incorrect. We provide the revised version and proof for Heuristic 2 in their paper [\[MN23\]](#page-19-12).
- Comparing the efficiency of calculating asymptotic bounds, noting that their results are not provable.

Specifically, they claim that for a polynomial f with k variables,  $\dim(\mathcal{L})$  is a degree k polynomial in  $m$ . This is incorrect because this property only holds when  $m$  is sufficiently large.

Considering the counterexample when  $f = x^5 + x + 1$  for  $m = 1, 2, \ldots$ , the corresponding  $\dim(\mathcal{L})$ is the number of monomials in  $f^m$ , which is 3, 6, 10, 15, 20, 25 and so on. It can be seen that for  $m \geq 3$ , dim( $\mathcal{L}$ ) always satisfies the polynomial  $5 * m - 5$  while this does not hold for  $m < 3$ . In fact, we need the constraint that m is big enough to ensure that  $\dim(\mathcal{L})$  is some polynomial in m. The same applies to  $p_j$  as well.

They propose a new heuristic assumption that  $p_F$  is also a  $k + 1$ -degree polynomial in m. We find that this heuristic assumption is still incorrect, as it also requires  $m$  to be sufficiently large. Additionally, we present a counterexample for  $p_F$  involved in Heuristic 2, still considering f as  $x^5$  +  $x + 1$ . For  $m = 1, 2, \ldots$ , the number of  $p_F(m)$  is 2, 8, 20, 40, 65, 95... If we interpolate with  $m = 1, 2, 3$ , we get

$$
p_{\mathcal{F}}(m) = 3m^2 - 3m + 2.
$$

If we continue with  $m = 2, 3, 4$ , we get

$$
p_{\mathcal{F}}(m) = 4m^2 - 8m + 8
$$

and with  $m = 3, 4, 5$ , we get

$$
p_{\mathcal{F}}(m) = \frac{5}{2}m^2 + \frac{5}{2}m - 10.
$$

It is only at this point that we get the correct result  $\frac{5}{2}$  but it still looks like not provable. However, using our Newton polytope-based explicit formula, we can quickly compute that the leading term of  $p_{\mathcal{F}}(m)$  is

$$
\frac{k}{k+1} \cdot V(N(f)) = \frac{1}{2} \cdot 5 = \frac{5}{2}.
$$

Therefore, for the heuristic of Meers and Nowakowsk, we need to add the condition that  $m$  is sufficiently large as a correction. The corrected proof can be obtained through our Theorem [30.](#page-14-1)

When using Lagrange interpolation to compute asymptotic bounds, by the state-of-the-art results in additive combinatorics, for some polynomials  $f$  with just 4 variables like examples in Section [5.2](#page-16-0) or Section [5.3,](#page-17-0) m needs to be greater than  $2^{300}$  in theory for  $\dim(\mathcal{L})$  to be a polynomial in m [\[GSW23\]](#page-19-13). Hence, the interpolation method must involve the computation of  $f<sup>m</sup>$  for a large m to make the results provable. Since we do not know how large m can be to ensure that  $\dim(\mathcal{L})$  is a polynomial in m, a natural idea is that we can terminate the interpolation method for some m's when the corresponding polynomial is stable. However, we find that sometimes the interpolation method may get stuck in local convergence (see more details in Section [5.2\)](#page-16-0). Hence, the output of the interpolation method seems unbelievable. Moreover, for some f, it still requires a significant amount of time. We conducted several experiments in Section [5.](#page-15-0)

### <span id="page-15-0"></span>5 Applications

In this section, we present improved results on CI-HNP for CSURF as an application of our theory. We also compare with the Lagrange interpolation method. In Section [5.2,](#page-16-0) we point out that it suffers from cases of local convergence, leading to unprovable results. Then, we compare the time required for calculating asymptotic bounds to validate the efficiency of our algorithm in Section [5.3](#page-17-0) and Section [5.4.](#page-18-2) Our experiments were performed using SageMath 10.3 on a MacBook Pro with an M1 chip, boasting a maximum CPU clock rate of 3.2 GHz.

#### 5.1 Improving the Hidden Number Problem for CSURF

We first introduce the result of CI-HNP for CSURF proposed by Meers and Nowakowski [\[MN23\]](#page-19-12). Essentially, the CI-HNP for CSURF is to solve a system of the following polynomial equations:

Lemma 31 (Meers et al., [\[MN23\]](#page-19-12)). Given a modulus  $M \in \mathbb{N}$  and polynomials

$$
f_1(x_1, x_2, x_3) := x_1^2 + a_1 x_1 x_2^2 + a_2 x_1 x_2 + a_3 x_1 + a_4 x_2^2 + a_5 x_2 + a_6,
$$
  

$$
f_2(x_1, x_2, x_3) := x_3^2 + b_1 x_1^2 x_3 + b_2 x_1 x_3 + b_3 x_3 + b_4 x_1^2 + b_5 x_1 + b_6,
$$

for some constants  $a_i, b_i \in \mathbb{Z}$ , a bound  $X \in \mathbb{N}$ , and an arbitrarily small constant  $\epsilon > 0$ , if M is sufficiently large, and

$$
X < M^{10/41 - \epsilon},
$$

then we can find all small roots  $u = (u_1, u_2, u_3)$  of  $f_1$  and  $f_2$  modulo M, such that  $|u_i| < X$  in time polynomial in  $log(M)$ , under Assumption [1.](#page-7-2)

For CI-HNP in CSURF, the dimension and determinant of the lattice are quite complex to compute. However, using the explicit formulas we discovered, it becomes much more convenient. Below are the improved results we obtained by our strategy in Section [4:](#page-12-0)

**Theorem 32.** Given a modulus  $M \in \mathbb{N}$  and polynomials

$$
f_1(x_1, x_2, x_3) := x_1^2 + a_1 x_1 x_2^2 + a_2 x_1 x_2 + a_3 x_1 + a_4 x_2^2 + a_5 x_2 + a_6,
$$
  

$$
f_2(x_1, x_2, x_3) := x_3^2 + b_1 x_1^2 x_3 + b_2 x_1 x_3 + b_3 x_3 + b_4 x_1^2 + b_5 x_1 + b_6,
$$

for some constants  $a_i, b_i \in \mathbb{Z}$ , a bound  $X \in \mathbb{N}$ , and an arbitrarily small constant  $\epsilon > 0$ , if M is sufficiently large, and

$$
X < M^{8/31-\epsilon},
$$

then we can find all small roots  $u = (u_1, u_2, u_3)$  of  $f_1$  and  $f_2$  modulo M, such that  $|u_i| < X$ . in time polynomial in  $log(M)$ , under Assumption [1.](#page-7-2)

Proof. Using our strategy mentioned in Section [4](#page-12-0) and explicit formulas, we can quickly compute

$$
dim(\mathcal{L}) = \frac{8}{3}m^3 + o(m^3),
$$
  
\n
$$
p_1 = 2m^4 + o(m^4),
$$
  
\n
$$
p_2 = \frac{5}{3}m^4 + o(m^4),
$$
  
\n
$$
p_3 = \frac{3}{2}m^4 + o(m^4),
$$
  
\n
$$
p_{\mathcal{F}} = \frac{4}{3}m^4 + o(m^4),
$$

resulting in  $X < M^{8/31}$ .

This improves Theorem 4 in [\[MN23\]](#page-19-12) of  $XYZ < M^{30/40}$ , thereby improving the CSURF result from requiring 31/40 MSBs in Theorem 7 in [\[MN23\]](#page-19-12) to only requiring 23/31 MSBs.

### <span id="page-16-0"></span>5.2 Example for Local Convergence

Let us illustrate the phenomenon of local convergence encountered when using the interpolation method proposed in [\[MN23\]](#page-19-12). Consider the following polynomial  $f$  with

$$
\mathrm{supp}\{f\} = \{x_1^3, x_1x_2, x_1x_3, x_2, x_3^2x_4^2, x_4^5, 1\}.
$$

Here we use  $p_{\mathcal{M}}$  to represent  $m \dim(\mathcal{L})$ . We compute  $f^m$  and then track the corresponding  $p_{\mathcal{M}}(m)$ and  $p_i(m)$ . Here, f has four variables, i.e.,  $k = 4$ . According to the results of [\[Kho92\]](#page-19-14), we know that

. ⊔

 $\dim(\mathcal{L})$  should be polynomials in m with degree 4 when  $m > N_{kh}$ . However, according to the result in [\[GSW23\]](#page-19-13), the upper bound,

$$
N_{kh} = (2 \times 7 \times 5)^{8 \times 7} \approx 2^{343},
$$

is extremely large, making it impractical.

A natural idea is to consider the values of  $p_{\mathcal{M}}$  and  $p_j$  at  $m-5, m-4, m-3, m-2, m-1, m$  when  $m \geq 5$ , and then interpolate to obtain a fifth-degree polynomial, recording the leading coefficient. The relevant numerical values are presented in Figure [4.](#page-17-1) In Figure [4a,](#page-17-1) we observe that as  $m$  increases, the leading coefficient of  $p_{\mathcal{M}}$  stabilizes at 25/12. However, this is incorrect. Continuing to increase m, we eventually find that the leading coefficient of  $p_{\mathcal{M}}$  stabilizes at 2. All the information can be found in Figure [4b,](#page-17-1) where the part to the left of the gray dashed line corresponds to Figure [4a.](#page-17-1)

<span id="page-17-1"></span>

Fig. 4: An example for Local Convergence

In practical applications of the interpolation method, one might encounter issues with results getting stuck in local convergence. Additionally, even the state-of-the-art results in Additive Combinatorics as proposed in [\[GSW23\]](#page-19-13) may lead to overly large computations. Our novel strategy effectively tackles these challenges, which further highlights the value of our work.

### <span id="page-17-0"></span>5.3 A Toy Example

Here we use a toy example to demonstrate the significant reduction in computational time achieved by our new method to compute asymptotic upper bounds.

We use the following system of polynomial equations:

$$
\begin{cases} f_1 \text{ with } \text{supp}\{f_1\} = \{x_3^3 x_4^2, x_2^2, x_1 x_2, 1\} \\ f_2 \text{ with } \text{supp}\{f_2\} = \{x_4^5, x_3^2 x_1, x_1^3, x_2, 1\}. \end{cases}
$$

Now we see the following Figure [5](#page-17-2) to see how large m needs to be to satisfy the interpolation and get the value we want.

<span id="page-17-2"></span>

Fig. 5: The left subfigure represents the Newton polytope corresponding to  $\{f_1, f_2\}$ . For the right subfigure, for the horizontal coordinate  $m$ , the vertical coordinate indicates the leading coefficient obtained by interpolating  $p_{\mathcal{M}}$  and  $p_j$  at  $m-5, m-4, m-3, m-2, m-1, m$  when  $m \geq 5$ .

If we want to obtain provable results using Lagrange interpolation, we must require that  $m$  is greater than  $N_{kh}$  proposed by [\[GSW23\]](#page-19-13), which is more than  $2^{300}$ . It is infeasible to compute  $f^m$  for such a large m. If we heuristically choose m such that the leading term of  $\dim(\mathcal{L})$  remains invariant with respect to m, we must also choose  $m > 40$ , which costs more than 20 minutes! However, if we use the explicit formula, the time cost is less than 0.5s to compute the volume of its Newton polytope!

### <span id="page-18-2"></span>5.4 More Experiments

We conducted more experiments to demonstrate the advantages of our method compared to the interpolation method.

As we discussed above, using interpolation requires m to be sufficiently large such that  $|\text{supp}(f^m)|$ is a polynomial in m. Therefore, the inevitable computation of  $f<sup>m</sup>$  consumes a significant amount of time. Intuitively, for  $f \in \mathbb{Z}[x_1,\ldots,x_k]$ , the sparser the points in  $A(f)$  are within  $N(f)$ , the larger the required  $m$ . As the number of variables  $k$  increases, the corresponding  $m$  also increases. We conducted experiments under different values of k as the following Table [1.](#page-18-3)

<span id="page-18-3"></span>

Table 1: Running time for computing asymptotic upper bounds ( " - " means longer than 24 h). The details about the polynomials used in our experiments can be found in Table [2](#page-21-0) in Appendix [B.](#page-21-1)

For the polynomial  $f$ , using Lagrange interpolation, we employ the Automated Coppersmith method to construct the lattice, compute  $\dim(\mathcal{L})$  and  $\det(\mathcal{L})$ , and then use interpolation to obtain the leading coefficient. For our Newton polytope approach, we directly calculate the volume of the corresponding polytope and record the computation time. Our method shows significant time advantages, as these polynomials require large values of m before becoming polynomial-like. For instance, in Exp.  $5$  - Exp. 8, the minimum required values of m were 12, 12, 14, and 18, respectively.

### <span id="page-18-0"></span>6 Conclusion

In this paper, we introduced a new and powerful mathematical tool from Additive Combinatorics to improve Coppermith's method for solving polynomial equations. We revisited the strategy of Jochemsz and May, as well as the strategy of Meers and Nowakowski, and proved that the bounds obtained by the two strategies can be efficiently achieved by the volume of the corresponding Newton polytope. We also proposed a novel Newton polytope-based strategy, eliminating the need for computing large exponentiations of polynomials in the previous strategies. As applications, we proved a heuristic introduced by Meers and Nowakowski at Asiacrypt'23 and improved the cryptanalytic result for the Commutative Isogeny Hidden Number Problem.

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# <span id="page-20-5"></span>A The equivalence of the Jochemsz-May Strategy and the Meers-Nowakowski Strategy for a single polynomial equation

Suppose  $f \in \mathbb{Z}[x_1, ... x_k]$  is a polynomial with a small root  $\mathbf{u} = (u_1, ..., u_k) \in \mathbb{Z}^k$  modulo some integer M. Here a small root means we assume that we know an upper bound for the root, namely  $|u_i| < X_i$ for some given  $X_i$ .

For the Jochemsz-May Strategy [\[JM06\]](#page-19-10), they defined the following set

$$
J_{\ell} = \{\lambda | \lambda \in \text{supp}\{f^{m}\} \text{ and } \frac{\lambda}{\text{LM}(f)^{\ell}} \in \text{supp}\{f^{m-\ell}\}\}\
$$
(6)

and then define the shift polynomials as follows

$$
g_{[i_1,\ldots,i_k]}(x_1,\ldots,x_k)=\frac{x_1^{i_1}\cdot\ldots\cdot x_k^{i_k}}{\mathrm{LM}(f)^\ell}f^\ell M^{m-\ell},
$$

for  $\ell = 0, \ldots, m$ , and  $x_1^{i_1} \ldots x_k^{i_k} \in J_{\ell} \setminus J_{\ell+1}$ .

Recently, Meers and Nowakowski generalized the Jochemsz-May Strategy from a single polynomial equation to a system of polynomial equations. However, the Meers-Nowakowski Strategy and the Jochemsz-May Strategy still have a slight difference in the single polynomial equation case. In the Meers-Nowakowski Strategy, they choose

$$
J'_{\ell} = \{\lambda | \lambda \in \text{supp}\{f^m\} \text{ and } \frac{\lambda}{\text{LM}(f)^{\ell}} f^{\ell} \in \text{supp}\{f^m\} \}. \tag{7}
$$

Although  $J_{\ell}$  and  $J'_{\ell}$  appear somewhat different, nevertheless, we will prove that this difference is essentially negligible.

Suppose the lattices obtained through the Jochemsz-May strategy and the Meers-Nowakowski strategy are  $\mathcal L$  and  $\mathcal L'$ , respectively. They have the same dimension, which is  $mA(f)$ . For determinate, we have the following theorem:

**Theorem 33.** For  $f \in \mathbb{Z}[x_1,\ldots,x_k]$  and an integer m, suppose the lattices obtained through the Jochemsz-May strategy and the Meers-Nowakowski strategy are  $\mathcal L$  and  $\mathcal L'$ , respectively. Write

$$
\det(\mathcal{L}) = X_1^{p_1(m)} \cdot \ldots \cdot X_k^{p_k(m)} M^{p_{\mathcal{F}}(m)},
$$
  

$$
\det(\mathcal{L}') = X_1^{p'_1(m)} \cdot \ldots \cdot X_k^{p'_k(m)} M^{p'_{\mathcal{F}}(m)}.
$$

We have

$$
p_j(m) = p'_j(m), \text{ for } j = 1, \ldots, k,
$$

and

$$
\lim_{m \to \infty} \frac{p_{\mathcal{F}}(m)}{p'_{\mathcal{F}}(m)} = 1.
$$

*Proof.* The difference lies in the fact that  $J_{\ell} \subset J'_{\ell}$ , which results in differences in  $p_F$  and  $p'_F$ . However, assuming that the lattice obtained through the Newton polytope strategy is  $\mathcal{L}''$  with corresponding  $p_F^{\prime\prime}$ , we have  $p_F \geq p_F^{\prime\prime} \geq p_F^{\prime\prime}$ . From Section [3,](#page-7-1) we know that

$$
\lim_{m \to \infty} \frac{p_F}{p'^\prime_F} = 1.
$$

Thus, it follows that

$$
\lim_{m\to\infty}\frac{p_F}{p'_F}=1.
$$

In fact, they both have the leading coefficient  $\frac{k}{k+1}V(N(f)).$ 

# <span id="page-21-1"></span>B Details for f in Section [5](#page-15-0)

We also provide detailed information about the polynomials used in our experiments in Table [2.](#page-21-0)

<span id="page-21-0"></span>