Optimized Implementation of SM4 on AVR Microcontrollers, RISC-V Processors, and ARM Processors

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Abstract. The SM4 block cipher is a Chinese domestic crpytographic that was introduced in 2003. Since the algorithm was developed for the use in wireless sensor networks, it is mandated in the Chinese National Standard for Wireless LAN WAPI (Wired Authentication and Privacy Infrastructure). The SM4 block cipher uses a 128-bit block size and a 32-bit round key. This consists of 32 rounds and one reverse translation R. In this paper, we present the optimized implementation of the SM4 block cipher on 8-bit AVR microcontrollers, which are widely used in wireless sensor devices, the optimized implementation of the SM4 block cipher on 32-bit RISC-V processors, which are open-source based computer architectures, and the optimized implementation of SM4 on 64-bit ARM processors with the parallel computation, which are widely used in smartphone and tablet. In the AVR microcontroller, it is implemented in three versions, including speed-optimization, memory-optimization, and code-optimization. As a result, speed-optimization, memory-optimization, and code-optimization achieved 205.2 cycles per byte, 213.3 cycles per byte and 207.4 cycles per byte, respectively. This is faster than the reference implementation written in C (1670.7 cycles per byte). The implementation on 32-bit RISC-V processors 128.8 cycles per byte. This is faster than the reference C code implementation (345.7 cycles per byte). The implementation on 64-bit ARM processors is 8.62 cycles per byte. This is faster than the reference C code implementation (120.07 cycles per byte).

Keywords: 8-bit AVR Microcontrollers · 32-bit RISC-V Processors · 64-bit ARM Processors · Software Implementation · SM4 Block Cipher.

1 Introduction

A number of sensor nodes are used to collect the data in wireless sensor networks. Tiny sensor nodes have limited computation resources, such as computing

power, memory size, and battery life. Since cryptographic algorithms are based on complicated operations, it is difficult to achieve the high availability for wireless sensor networks in secure packets. To resolve this problem, lightweight block cipher algorithms have been proposed. Lightweight cryptography algorithms require low resources than ordinary cryptographic algorithms. The SM4 block cipher is one of the lightweight block cipher, which is Chinese National Standard for wireless LAN WAPI (Wired Authentication and Privacy Infrastructure). [1]

In this paper, we propose optimized implementations of the SM4 block cipher on low-end 8-bit AVR microcontrollers, 32-bit RISC-V processors and high-end 64-bit ARM processors. Main contributions are as follow:

1.1 Contributions

- **Optimized implementations of the SM4 block cipher on 8-bit AVR microcontrollers.** We implemented the SM4 block cipher on low-end AVR microcontrollers. SM4 block cipher requires the 128-bit block size, while AVR microcontrollers only support 8-bit wise general purpose registers. Therefore, the efficient register allocation should be considered. We proposed the optimal register allocation. Furthermore, the SM4 block cipher requires the 32-bit wise rotation operation, while 8-bit wise operations are performed on AVR microcontrollers. We suggested the optimized implementation of 32-bit wise rotation on 8-bit development environments.
- **Optimized implementations of the SM4 block cipher on 32-bit RISC-V Processors.** RISC-V is an open-source based computer architecture that supports new instruction sets for operations. This paper presents the first optimized implementation of SM4 on 32-bit RISC-V processors. In particular, we optimized S-Box operations with RISC-V instructions.
- **Parallel implementations of the SM4 block cipher on 64-bit ARM Processors.** 64-bit ARM processors support SIMD (Single Instruction Multiple Data) features, which can process the data in a parallel way. We propose the parallel implementation of the SM4 block cipher in 12-way approaches. This implementation encrypts 12 plaintext blocks at once through SIMD instructions. For the optimal implementation, we introduce the vector register allocation plan with arrangement and efficient instructions for the optimized parallel implementation.

2 Backgrounds

2.1 SM4 Block Cipher

The SM4 block cipher is one of a China domestic crpytographic algorithm, which was first published in 2003. It was a cryptographic standard issued by the OSCCA (Office of State Commercial Crpytography Administration) [2]. Table 1 shows the list of SM4 parameters. The left of Figure 1 describes encryption tasks for the SM4 block cipher.

Table 1. Parameters for the SM4 block cipher.

Block size	Round key size	Rounds (Encryption)	Rounds (Key schedule)
128-bit	32-bit	32	32

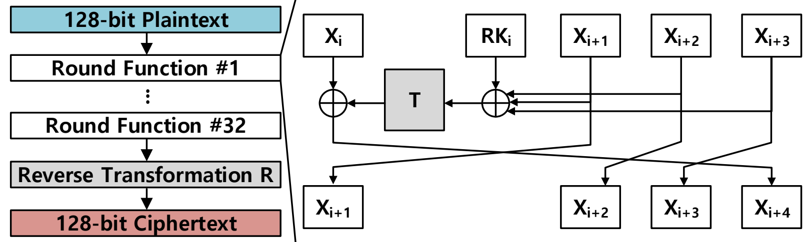

Fig. 1. Encryption flow of the SM4 block cipher and the round function structure.

The SM4 block cipher consists of 4 computations; Round function (`F`), Permutations (`T` and `T'`), Nonlinear transformation (`tau`), Linear transformations (`L`) and (`L'`), and S-box (`S`).

Round function (`F`). The plaintext of the SM4 block cipher is divided in four 32-bit units, called `X`. Round function (`F`) requires 5 arguments, which are X_0, X_1, X_2, and X_3, and round key. `F` can be defined as the following equation.

$$\texttt{F}(X_0,\, X_1,\, X_2,\, X_3,\, \mathrm{rk}) = X_0 \oplus \texttt{T}(X_1 \oplus X_2 \oplus X_3 \oplus,\, \mathrm{rk})$$

The right of Figure 1 represents the Round function `F` structure.

Permutations `T` and `T'`. `T` is the permutation function that requires 32-bit input values, and makes 32-bit outputs. It has the reversible feature. Permutations `T` and `T'` consists of `tau` and `L`.

Nonlinear transformation `tau`. The nonlinear transformation (`tau`) uses 4 S-boxes, which needs 32-bit inputs and returns 32-bit outputs. It is performed in a parallel way. Each input value does not affect each other. Nonlinear transformation `tau` can be represented as follow, where A and B are 32-bit input value and 32-bit output value, respectively. The type of a_i and b_i is a 8-bit wise string.

$$A = (a_0,\, a_1,\, a_2,\, a_3); \quad \texttt{tau}(\mathrm{A}) = (\mathrm{S}(a_0),\, \mathrm{S}(a_1),\, \mathrm{S}(a_2),\, \mathrm{S}(a_3));$$
$$(b_0,\, b_1,\, b_2,\, b_3) = \texttt{tau}(\mathrm{A}); \quad B = (b_0,\, b_1,\, b_2,\, b_3);$$

Linear transformations `L`, and `L'`. Linear transformations (`L`, and `L'`) mainly perform rotate operations. Input values from output of `tau`, and operates 32-bit wise. `L`, and L' are can be defined as follow, where B is 32-bit input value, and `ROTL` represents the rotation to the left operation.

$$\texttt{L}(B) = B \oplus (\texttt{ROTL}(B,\, 2)) \oplus (\texttt{ROTL}(B,\, 10)) \oplus (\texttt{ROTL}(B,\, 18)) \oplus (\texttt{ROTL}(B,\, 24))$$
$$\texttt{L'}(B) = B \oplus (\texttt{ROTL}(B,\, 13)) \oplus (\texttt{ROTL}(B,\, 23))$$

S-box S. The S-box (S) transforms the 8-bit input value to the 8-bit output value with the S-box table. Input values are from the nonlinear transformation (tau).

2.2 Target Processor: 8-bit Low-end AVR Microcontrollers.

AVR microcontroller is the 8-bit based Harvard architecture, which is widely used for wireless sensor networks. It has 32 8-bit general purpose registers and 133 instructions. Most of instructions are taken less than 4 clock cycles [3]. We evaluated the performance on ATmega128. This is the one of 8-bit AVR microcontroller family. It has 128KB of programmable flash memory, 4KB internal SRAM, 4KB EEPROM, and 64KB optional external memory space [4]. AVR registers are denoted as R0 to R31. Some registers have special features as follows:

- **ZERO register:** R1 is the zero register that always represents 0 value. However, it can be used freely for general purposes. This R1 register should be zeroed at the end of the operation.
- **Callee saved registers:** R2-R17 and R28-R29 are callee saved registers (i.e. non-volatile registers). These registers saved important values (i.e. long-lived values and data from callee). These must be preserved in the stack before it is used.
- **Pointer address registers:** R26-R31 can be used as a pointer address by combining two registers. When these are used for the pointer address, these are written as X (R26-R27), Y (R28-R29), Z (R30-R31) notation. R28-R29 are also callee saved registers.

2.3 Target Processor: 32-bit RISC-V Processors.

RISC-V is a new computer CPU structure under development at UC Berkeley since 2010. It is not just for academic or research purposes, but for commercialization in the industrial world. The main feature of the RISC-V processor is that the basic instruction set is provided by the consortium, but there are no restrictions on the extended instructions that users can add. Therefore, when utilizing this, it is possible to increase the speed of the target application service by customizing the RISC-V processor. In this paper, the 32-bit structure RV32I used for performance comparison provides 32-bit registers 32 (x0-x31) [5].

2.4 Target Processor: 64-bit High-end ARM Processors.

ARMv8-A is the next generation ARM architecture of ARMv7, simply called ARMv8. It has two architectures, which are 32-bit AArch32 and 64-bit AArch64.

In this paper, we targeted the AArch64 architecture, in short A64. A64 has 32 64-bit general purpose scalar registers that can handle 32-bit, and 64-bit data. In addition, there are 32 128-bit vector registers, it can be utilized for the parallel implementation with SIMD [6]. We used vector registers to implement the SM4 block cipher in a parallel way.

2.5 Related works.

In this section, we introduce optimized implementations of block ciphers on embedded processors. In [8], the revised version of CHAM was optimized on 8-bit AVR microcontrollers. In [8], they suggested optimized 8-bit wise rotation and 32-bit wise rotation. This implementation utilized the pre-calculation technique with the counter mode of operation. In [7], parallel implementations are presented. In [9], the optimized ARIA block cipher was presented. They optimized primitive operations, including rotation operation, a subsitute-layer, and a diffusion-layer on the low-end AVR microcontroller. In [10], they proposed the compact implementation of PRESENT block cipher, which is introduced in CHES'07 [11]. It optimally implemented the PRESENT through pre-computation technique. In [12], the compact implementation of AES (Advanced Encryption Standard) block cipher on Intel processors was presented (i.e. FACE). This implementation applied pre-computation technique that pre-calculate repetitive values, and reused them. In ICISC'19, they proposed optimized implementation of FACE on the AVR microcontroller was presented [13]. It extended the pre-computation to the round 3. The implementation is also secure against CPA (Correlation Power Analysis).

3 Optimized Implementation of the SM4 Block Cipher

In this Section, we introduce the optimized implementation of the SM4 block cipher on 8-bit AVR microcontrollers, 32-bit RISC-V processors, and 64-bit ARM processors. The optimal performance is achieved through efficient register allocation and instruction techniques.

3.1 8-bit Low-end AVR Microcontrollers

Instruction set. AVR microcontrollers have useful instruction sets. Generally instructions take 1 or 2 clock cycles. Instructions used to implement the optimized SM4 block cipher are summarized in Table 2 [7].

Register utilization. For the optimized implementation, we efficiently allocated registers. Detailed descriptions are as follows:

- X **blocks.** In Section 2.1, the SM4 block cipher stores 128-bit plaintext into 4 32-bit X. However, 8-bit AVR microcontrollers have 8-bit wise registers that can only represent the 8-bit data. Four registers are required to handle one

Table 2. Summarized instruction set of AVR microcontrollers for optimized SM4 block cipher. `Rd`: Destination register, `Rr`: Source register.

Instruction	Operands	Description	Operation	#Clock
ADD	Rd, Rr	Add without Carry	Rd ← Rd + Rr	1
ADC	Rd, Rr	Add with Carry	Rd ← Rd + Rr + C	1
EOR	Rd, Rr	Exclusive OR	Rd ← Rd ⊕ Rr	1
CLR	Rd	Clear Register	Rd ← Rd ⊕ Rd	1
LSL	Rd	Logical Shift Left	C | Rd ← Rd << 1	1
ROL	Rd	Rotate Left Through Carry	C | Rd ← Rd << 1 || C	1
MOV	Rd, Rr	Copy Register	Rd ← Rr	1
MOVW	Rd, Rr	Copy Register Word	Rd + 1:Rd ← Rr + 1:Rr	1
LD	Rd, X(or Y, Z)	Load Indirect	Rd ← X(or Y, Z)	2
LPM	Rd, Z	Load Program Memory	Rd ← (Z)	3
ST	X(or Y, Z), Rr	Store Indirect	X(or Y, Z) ← Rr	2
PUSH	Rr	Push Register on Stack	STACK ← Rr	2
POP	Rd	Pop Register from Stack	Rd ← STACK	2

32-bit X. As a result, there are 4 X that quarters of plaintext. 16 registers are required to store the whole plaintext.

- **Round key, and `T` input/output.** Each `F` requires a 32-bit round key. 4 8-bit registers used to save the round key. The round key is used as the input value of `T` by performing the XOR operation with X blocks. Therefore, round key registers are also used to store parameters or results of `T`.
- **Nonlinear operation.** The nonlinear transformation (`tau`) performs the rotation operation. 8 registers are required for result and intermediate values of rotation. 4 out of 8 registers store the `tau` output result.
- **Address pointer.** In order to load the value into a register on AVR microcontrollers, it should be accessed through the address pointer. In this case, there are 3 kinds of values for the function call; Plaintext, Round key, and S-box values. We allocate `X` pointer for loading plaintext, and storing ciphertext, `Y` pointer for Round key, and `Z` pointer for S-box values. Especially, the `X` pointer address (`R26` and `R27`) do not need to during round functions. These registers are used to store temporary values. The `R30` register is always fixed to 0 value, because it stored the lower address of S-Box. This can be used to the temporary ZERO register.
- **Loop index.** Using the `CPI` instruction, it is possible to compare a register value with a constant value. To implement the loop statement, it requires only one register to store loop index. This register is shared with the temporary value register. It needs to preserve an index value on the stack. It can be implement through `PUSH` and `POP` instructions.

Figure 2 shows the whole register allocation. Each rectangle represents 8-bit register. Two-colored registers are used for multiple purposes.

Fig. 2. Register allocation for the SM4.

Table 3. Optimized 32-bit wise rotation operation on 8-bit environments where i and j represent specific registers.

32-bit ROL_1	32-bit ROL_8	32-bit ROL_{16}	32-bit ROL_{24}
$LSL\ R_i$ $ROL\ R_{i+1}$ $ROL\ R_{i+2}$ $ROL\ R_{i+3}$ $ADC\ R_i,\ ZERO$	$MOV\ R_i,\ R_{j+3}$ $MOV\ R_{i+1},\ R_j$ $MOV\ R_{i+2},\ R_{j+1}$ $MOV\ R_{i+3},\ R_{j+2}$	$MOVW\ R_i,\ R_{j+2}$ $MOVW\ R_{i+2},\ R_j$	$MOV\ R_i,\ R_{j+1}$ $MOV\ R_{i+1},\ R_{j+2}$ $MOV\ R_{i+2},\ R_{j+3}$ $MOV\ R_{i+3},\ R_j$
5 cycles	4 cycles	2 cycles	4 cycles

Optimized implementation of 32-bit wise rotation. The rotation of the SM4 block cipher is 32-bit wise operation, but AVR microcontrollers perform only 8-bit wise. 32-bit wise rotation can be implemented with following instructions; `LSL, ROL, ADC, MOV,` and `MOVW`. Each rotation can be implemented following Table 3. When input and output values of the 8 or 16 rotation operation are in the same register, it needs one temporary register. This takes more clock cycles. We separated input and output registers. This makes results of rotation in different registers. This implementation eliminates the temporary register, and takes less clock cycles to transfer values to the temporary register than the previous method.

Efficient S-Box implementation. In this paper, there are three optimization perspectives on AVR microcontrollers (speed-optimization, memory-optimization, and code-optimization). In terms of speed-optimization, storing the S-box in RAM is effective. The LD instruction loads the S-Box value with 2 clock cycles. This can get the S-Box value, quickly. On the other hand, for the memory-optimization perspective, S-Box can be saved to flash memory. In Section 2.2, it was confirmed that the AVR microcontroller has larger flash memory than RAM. Therefore, the memory-optimized implementation can be useful in situations where the lack of RAM. The memory-optimization can be implemented with the LPM instruction, which takes 3 clock cycles. As a result, the memory-optimization takes a longer executing time than the speed-optimization. For the case of code-optimization, we utilized the looped implementation, which sacrificed the performance but achieved the optimal code size.

Algorithm 1 Efficient S-Box implementation in RISC-V

Input: S-Box input = T0
Output: S-Box output = T1

```
 1: SW T0, 0(SP)
 2: LBU T1, 3(SP)
 3: ADD T0, A2, T1
 4: LBU T1, 0(T0)
 5: SLLI T1, T1, 24
 6: LBU T2, 2(SP)
 7: ADD T0, A2, T2
 8: LBU T2, 0(T0)
 9: SLLI T2, T2, 16
10: XOR T1, T1, T2

11: LBU T2, 1(SP)
12: ADD T0, A2, T2
13: LBU T2, 0(T0)
14: SLLI T2, T2, 8
15: XOR T1, T1, T2
16: LBU T2, 0(SP)
17: ADD T0, A2, T2
18: LBU T2, 0(T0)
19: XOR T1, T1, T2
```

3.2 32-bit RISC-V Processors

32-bit RISC-V processor supports 32-bit wise instructions. This is useful to perform 32-bit wise operations of SM4. For the optimal implementation in RISC-V, we propose Rotation optimization and efficient S-Box implementation.

Rotation Optimized Implementation. The rotation operation is not supported in RISC-V. Therefore, the rotation operation is implemented using the `SLLI`, `SRLI`, and `OR` instructions. `ROL`(n) can be implemented by `OR` the value of `SLLI`(n) and `SRLI`($32 - n$).

Efficient S-Box implementation. RISC-V is using 32-bit registers. However, in S-Box, it is converted to a pre-computed value in bytes. Therefore, it is necessary to convert a 32-bit value by dividing it 8-bit units. For the implementation, SP (Stack Pointer) and LBU (Load Unsigned Byte) are used. SP has the address of the current stack, and LBU loads only the 1-byte value of the address pointed to. The S-Box process is the same as Algorithm 1. In Algorithm 1, the result value of S-Box is stored in T1, and A2 has the address of S-box.

3.3 64-bit high-end ARM Processors

On the 64-bit ARMv8 processor, the efficient implementation is possible by using vector registers. When implemented in a parallel-way, 12 plaintexts can be encrypted at once. Since ARMv8 has 32 vector registers, we utilized these registers in an optimal way. First, vector registers (`v0-v11`) are storing plaintext. Second, vector registers (`v12-v15`) have intermediate values, and the `v15` register is also used for saving the round key value. Third, `v16-v31` registers used for the S-Box look-up table. The SM4 encryption is performed on ARM processors as following order; Loading phase, Register transpose step, Round function layer, and Storing phase.

Instructions summary. Table 4 shows instructions for implementing the SM4 block cipher, in a parallel-way. Most of instructions are vector instructions, except the `ADR` instruction. The `ADR` instruction is used to the store address of

Algorithm 2 Loading 12-plaintext in vector instructions.

Input: Memory address = [x1]
Output: Plaintexts = [v0, v1, v2, v3, v4, v5, v6, v7, v8, v9, v10, v11]

```
1: LD1.4S v0, v1, v2 ,v3, [x1], #64
2: LD1.4S v4, v5, v6 ,v7, [x1], #64
3: LD1.4S v8, v9, v10 ,v11, [x1], #64
```

S-Box table. The ARMv8 processor has 32 128-bit vector register, which can be calculate in a parallel-way. Some instructions require to specify the memory arrangement. In Table 4, the memory arrangement is omitted for the convenience.

Table 4. Instructions set for optimized implementation of the SM4 block cipher; `Xd`: destination scalar register, `Xn`: source scalar register, `Vd`: destination vector register, `Vt`: transferred vector register, `Vn, Vm`: source vector register.

asm	Operands	Description	Operation
ADR	Xd, (Label)	Form PC-relative address	Xd ← Label
EOR	Vd, Vn, Vm	Bitwise Exclusive OR	Td ← Vn ⊕ Vm
LD1	Vd1-4, (Xn)	Load multiple single-element structures	Vd1-4 ← (Xn)
LD1R	Vt, (Xn)	Load single-element and replicate to all lanes	Vt ← (Xn)
MOVI	Vt, #imm	Move Immediate	Vt ← #imm
SHL	Vd, Vn, #shift	Shift Left	Vd ← Vn << #shift
SRI	Vd, Vn, #shift	Shift Right and Insert	Vd ← Vn >> #shift
ST1	Vt1-4, (Xn)	Store multiple single-element structures	(Xn) ← Vt1-4
SUB	Vd, Vn, Vm	Subtract	Vd ← Vn - Vm
TBL	Vd, Vn, Vm	Table vector Lookup	Vd ← Vn[Vm]
TBX	Vd, Vn, Vm	Table vector lookup extension	Vd ← Vn[Vm]
UZP1	Vd, Vn, Vm	Unzip vectors primary	Vd ← Vn[even], Vm[even]
UZP2	Vd, Vn, Vm	Unzip vectors secondary	Vd ← Vn[odd], Vm[odd]

Loading phase. Algorithm 2 shows the implementation of Loading phase. Using 3 `LD1` instructions, 12 128-bit plaintexts are stored in vector registers (`v0-v11`). At this point, the post-incremented memory access is used to adjust the address pointer offset. Therefore, it is possible to reduce the execution time for calculating additional addresses. After that, the table look-up of S-Box is performed through `TBL` and `TBX` instructions.

Register transpose step. Algorithm 3 is transpose step with `UZP1` and `UZP2` instructions in a source code level. The `UZP1` instruction reads an even numbered vector elements from the source register, and stores it to the destination register.

The UZP2 instruction does same operation, but read an odd numbered elements. In this process, registers are grouped by four and 32-bit blocks are arranged to be stored in one register. In total, 3 iterations are repeated to align 12 plaintexts. At the end of encryption, the transpose step is performed once again, to retrieve vector registers. Figure 3 shows the operation process of UZP1 and UZP2 instructions.

Algorithm 3 Alignment of the plaintext in vector instructions.

Input: PT0 = [v_a.4s], PT1 = [v_b.4s], PT2 = [v_c.4s], PT3 = [v_d.4s]
Output: X_0 = [v_a.4s], X_1 = [v_b.4s], X_2 = [v_c.4s], X_3 = [v_d.4s]

```
1: UZP1.4S v12, va, vb
2: UZP2.4S v13, va, vb
3: UZP1.4S v14, vc, vd
4: UZP2.4S v15, vc, vd
5: UZP1.4S va, v12, v14
6: UZP1.4S vb, v13, v15
7: UZP2.4S vc, v12, v14
8: UZP2.4S vd, v13, v15
```

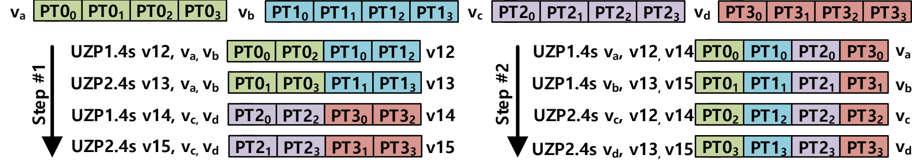

Fig. 3. UZP1 and UZP2 instructions process for SM4.

Round function layer. Source codes for Round function layer are shown at line 1-8 of Algorithm 4, which operates the nonlinear transformation (tau). It is implemented by TBL and TBX instructions to seek the S-box table. TBL and TBX instructions read a value from a vector element in the index source register, search each result as an index in the byte table of the source table register, and write the result to the destination register. The first 64 bytes of S-Box is extracted through the TBL instruction. The TBX instruction searches the table in the next range of previous TBL instruction. To search for the next branch of S-Box, subtraction to the value of the index source register by 0x40 and then using the TBX instruction are performed, subsequently.

In Algorithm 4, line 9-20 shows the source code that implements linear transformations (L) of the round function. The rotation operation is implemented using the left shift operations SHL and SRI instructions. Using only three registers (v12, V13, v14,), v15 is used as a temporary register to store the round key

value. In order to use only 3 registers, the rotation operation is performed and then XOR is performed, immediately.

Algorithm 4 Round Function of the plaintext in vector instruction.

Input: S-Box input = [v_a.16b]
Output: S-Box output = [v_a.16b]

```
 1: MOVI v13.16b, #0x40
 2: TBL v12.16b, v16.16b-
    v19.16b, va.16b
 3: SUB va.16b, va.16b, v13.16b
 4: TBX v12.16b, v20.16b-
    v23.16b, va.16b
 5: SUB va.16b, va.16b, v13.16b
 6: TBX v12.16b, v24.16b-
    v27.16b, va.16b
 7: SUB va.16b, va.16b, v13.16b
 8: TBX va.16b, v28.16b-
    v31.16b, va.16b
 9: SHL.4s v13, v12, #2
10: SRI.4s v13, v12, #30
11: EOR.16b va, v12, v13
12: SHL.4s v13, v12, #10
13: SRI.4s v13, v12, #22
14: EOR.16b va, v13, va
15: SHL.4s v13, v12, #18
16: SRI.4s v13, v12, #14
17: EOR.16b va, v13, va
18: SHL.4s v13, v12, #24
19: SRI.4s v13, v12, #8
20: EOR.16b va, v13, va
```

Storing phase. In the last storing phase, the encryption result is saved. Algorithm 5 is to perform an operation that stores the ciphertext in the memory. The result value (`v0-v11`) is stored in the memory address (`x0`) by 512-bits in a post incremental method, and 12 ciphertexts are stored by performing a total of 3 operations.

4 Evaluation

In this Section, we present the evaluation of proposed implementations. The evaluation is conducted separately for each implementation environment. The performance evaluation is based on clock cycles per byte (cpb).

Table 5. Comparison result on 8-bit AVR microcontrollers. Symbols (s, m, and c) represent speed, memory, and code-optimized implementations, respectively.

Measurement	Reference C	**This work^s**	**This work^m**	**This work^c**
Timing [cpb]	1670.69	**205.2**	213.3	207.4
RAM [bytes]	418	418	**162**	418
ROM [bytes]	2856	5888	6144	**884**

Algorithm 5 Storing 12-plaintexts in vector instruction.

Input: Ciphertexts = [v0, v1, v2, v3, v4, v5, v6, v7, v8, v9, v10 ,v11]
Output: Memory address = [x0]

```
1: st1.4s v0, v1, v2, v3, [x0], #64
2: st1.4s v4, v5, v6, v7, [x0], #64
3: st1.4s v8, v9, v10, v11, [x0], #64
```

Table 6. Comparison result of execution timing (cycles per byte) on 32-bit RISC-V processors (left) and 64-bit ARM processors (right).

RISC-V		ARM	
Reference C	**This work**	Reference C	**This work**
345.7	**128.8**	120.07	**8.62**

4.1 Efficient Implementations of SM4 Block Cipher on 8-bit AVR Microcontrollers

Proposed implementations are targeted for the ATmega128 processor, which is one of AVR family. Source codes are implemented over `Microchip studio` framework, and compiled `-O2` option. Since there are no other SM4 block cipher implementations on AVR microcontrollers. Performance comparisons are done with reference C code implementations. Comparison results are shown in Table 5. Reference C code takes 1670.69 cpb (clock cycles per byte), while the proposed speed-optimization implementation achieved 205.2 cpb, memory-optimization implementation recorded 213.3 cpb, and code-optimization implementation reached 207.4 cpb. The reason for result is that the proposed implementation is implemented in an optimal form using an AVR assembly. In particular, the efficient rotation is used in the Linear transformation (`L`), it makes better performance than the reference C code implementation. In addition, it can be compare each criteria. Speed-optimization achieved best performance than the others, Memory-optimization requires the least RAM size, and Code-optimization has the least ROM size.

4.2 Implementations of SM4 Block Cipher on 32-bit RISC-V Processors

This section analyzes and evaluates the performance of the SM4 encryption implementation on RISC-V. In this paper, Proceed performance measurements on RISC-V, optimization techniques were not applied. The RISC-V implementation does not use extensions and relies on the RV32I-based ISA. For the performance measurement, HiFive1 Rev B development board with 32-bit E31 RISC-V core was used. Results are shown in left part of Table 6. For the reference code, the execution timing is 345.7 cpb. The implementation achieved 128.8 cpb, showing a performance improvement by 2.68×.

4.3 Speed-optimization of SM4 Block Cipher on 64-bit ARM Processors

This section analyzes and evaluates the performance of the SM4 encryption implementation on ARMv8. It was written using Xcode and the calculation speed was measured by Apple A13 Bionic. The Apple A13 Bionic is a 64-bit ARM-based single chip (2.65 GHz) designed by Apple. The performance comparison is done with the reference code implemented in C language. Results are shown in right part of Table 6. For the reference code, the execution timing is 120.07 cpb. The proposed implementation achieved 8.62 cpb, showing a performance improvement by 12.93×.

5 Conclusion

In this paper, we present optimized implementations of the SM4 block cipher on AVR microcontrollers, RISC-V processors, and ARM processors. With optimized implementation techniques, the performance is significantly improved than previous approaches. We believe that this paper will be helpful to implement the SM4 block cipher in various environments, including both low-end and high-end Internet of Things.

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