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# Optimized Voronoi-based algorithms for parallel shortest vector computation

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**ABSTRACT** In this paper, we improve and accelerate Voronoi cell-based algorithms used to solve the Shortest Vector Problem (SVP), a fundamental challenge in lattice-based cryptanalysis. In particular, we optimize the “Relevant Vectors” algorithms by [1] using various norm-based optimizations, which capitalize on the previous states of the algorithm to infer information and speedup the processing. We also use other concepts from generic knowledge on lattice theory and other algorithms/attacks, such as the concept of pruning—i.e., avoiding specific computations that are likely not going to improve the solution—which delivered additional speedup. The optimization that requires additional memory is based on computing all target vectors upfront, sort them by increasing norm and apply the previous optimizations. Our improvements render the algorithm significantly faster, producing a speedup factor of almost  $69\times$  compared to the original algorithm if no additional memory is explored, and about  $77\times$  if additional memory is used. We also show that the algorithm is highly suitable for parallelization on both CPUs and GPUs. Our parallel multi-core version of this algorithm also scales linearly on CPUs (in our tests up to 28 threads) compared to the baseline, original Voronoi-cell based SVP-solver by Agrell et al. and can take advantage of Simultaneous Multi-threading (SMT) in tests up to 56 threads. We show that a parallel GPU implementation is competitive with a highly ranked CPU, although the CPU outperforms the GPU if we run the algorithm using 56 threads. We expect the GPU implementation to surpass the CPU for higher lattice dimensions, a scenario that will be possible as soon as memory (currently the limiting factor) increases in size on the GPU side.

**INDEX TERMS** Cryptanalysis, Lattices, Parallel Processing

## I. UNITS

Use either SI (MKS) or CGS as primary units. (SI units are strongly encouraged.) English units may be used as secondary units (in parentheses). This applies to papers in data storage. For example, write “15 Gb/cm<sup>2</sup> (100 Gb/in<sup>2</sup>).” An exception is when English units are used as identifiers in trade, such as “3½-in disk drive.” Avoid combining SI and CGS units, such as current in amperes and magnetic field in oersteds. This often leads to confusion because equations do not balance dimensionally. If you must use mixed units, clearly state the units for each quantity in an equation.

The SI unit for magnetic field strength  $H$  is A/m. However, if you wish to use units of T, either refer to magnetic flux

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The word “data” is plural, not singular. The subscript for the permeability of vacuum  $\mu_0$  is zero, not a lowercase letter “o.” The term for residual magnetization is “remanence”; the adjective is “remanent”; do not write “remnance” or “remnant.” Use the word “micrometer” instead of “micron.” A graph within a graph is an “inset,” not an “insert.” The word “alternatively” is preferred to the word “alternately” (unless you really mean something that alternates). Use the word “whereas” instead of “while” (unless you are referring to simultaneous events). Do not use the word “essentially” to

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Be aware of the different meanings of the homophones “affect” (usually a verb) and “effect” (usually a noun), “complement” and “compliment,” “discreet” and “discrete,” “principal” (e.g., “principal investigator”) and “principle” (e.g., “principle of measurement”). Do not confuse “imply” and “infer.”

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Figures that are meant to appear in color, or shades of black/gray. Such figures may include photographs, illustrations, multicolor graphs, and flowcharts.

##### 2) Line Art figures

Figures that are composed of only black lines and shapes. These figures should have no shades or half-tones of gray, only black and white.

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Head and shoulders shots of authors that appear at the end of our papers.

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Data charts which are typically black and white, but sometimes include color.

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Figures compiled of more than one sub-figure presented side-by-side, or stacked. If a multipart figure is made up of multiple figure types (one part is lineart, and another is grayscale or color) the figure should meet the stricter guidelines.

#### C. FILE FORMATS FOR GRAPHICS

Format and save your graphics using a suitable graphics processing program that will allow you to create the im-

TABLE 1. Units for Magnetic Properties

Symbol	Quantity	Conversion from Gaussian and CGS EMU to SI <sup>a</sup>
$\Phi$	magnetic flux	$1 \text{ Mx} \rightarrow 10^{-8} \text{ Wb} = 10^{-8} \text{ V}\cdot\text{s}$
$B$	magnetic flux density, magnetic induction	$1 \text{ G} \rightarrow 10^{-4} \text{ T} = 10^{-4} \text{ Wb/m}^2$
$H$	magnetic field strength	$1 \text{ Oe} \rightarrow 10^3/(4\pi) \text{ A/m}$
$m$	magnetic moment	$1 \text{ erg/G} = 1 \text{ emu}$ $\rightarrow 10^{-3} \text{ A}\cdot\text{m}^2 = 10^{-3} \text{ J/T}$
$M$	magnetization	$1 \text{ erg}/(\text{G}\cdot\text{cm}^3) = 1 \text{ emu/cm}^3$ $\rightarrow 10^3 \text{ A/m}$
$4\pi M$	magnetization	$1 \text{ G} \rightarrow 10^3/(4\pi) \text{ A/m}$
$\sigma$	specific magnetization	$1 \text{ erg}/(\text{G}\cdot\text{g}) = 1 \text{ emu/g} \rightarrow 1 \text{ A}\cdot\text{m}^2/\text{kg}$
$j$	magnetic dipole moment	$1 \text{ erg/G} = 1 \text{ emu}$ $\rightarrow 4\pi \times 10^{-10} \text{ Wb}\cdot\text{m}$
$J$	magnetic polarization	$1 \text{ erg}/(\text{G}\cdot\text{cm}^3) = 1 \text{ emu/cm}^3$ $\rightarrow 4\pi \times 10^{-4} \text{ T}$
$\chi, \kappa$	susceptibility	$1 \rightarrow 4\pi$
$\chi\rho$	mass susceptibility	$1 \text{ cm}^3/\text{g} \rightarrow 4\pi \times 10^{-3} \text{ m}^3/\text{kg}$
$\mu$	permeability	$1 \rightarrow 4\pi \times 10^{-7} \text{ H/m}$ $= 4\pi \times 10^{-7} \text{ Wb}/(\text{A}\cdot\text{m})$
$\mu_r$	relative permeability	$\mu \rightarrow \mu_r$
$w, W$	energy density	$1 \text{ erg/cm}^3 \rightarrow 10^{-1} \text{ J/m}^3$
$N, D$	demagnetizing factor	$1 \rightarrow 1/(4\pi)$

Vertical lines are optional in tables. Statements that serve as captions for the entire table do not need footnote letters.

<sup>a</sup>Gaussian units are the same as cg emu for magnetostatics; Mx = maxwell, G = gauss, Oe = oersted; Wb = weber, V = volt, s = second, T = tesla, m = meter, A = ampere, J = joule, kg = kilogram, H = henry.

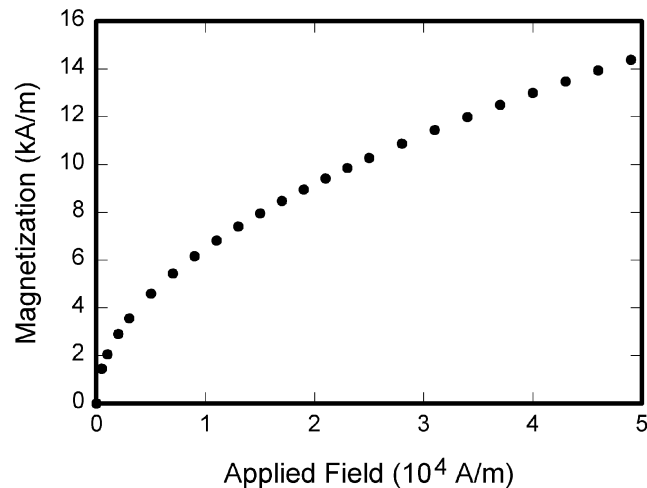
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Most charts, graphs, and tables are one column wide (3.5 inches/88 millimeters/21 picas) or page wide (7.16 inches/181 millimeters/43 picas). The maximum depth a graphic can be is 8.5 inches (216 millimeters/54 picas). When choosing the depth of a graphic, please allow space for a caption. Figures can be sized between column and page widths if the author chooses, however it is recommended that figures are not sized less than column width unless when necessary.

There is currently one publication with column measurements that do not coincide with those listed above. Proceedings of the IEEE has a column measurement of 3.25 inches (82.5 millimeters/19.5 picas).

The final printed size of author photographs is exactly 1 inch wide by 1.25 inches tall (25.4 millimeters  $\times$  31.75 millimeters/6 picas  $\times$  7.5 picas). Author photos printed in editorials measure 1.59 inches wide by 2 inches tall (40 millimeters  $\times$  50 millimeters/9.5 picas  $\times$  12 picas).



**FIGURE 1.** Magnetization as a function of applied field. It is good practice to explain the significance of the figure in the caption.

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In order to preserve the figures’ integrity across multiple computer platforms, we accept files in the following formats: .EPS/.PDF/.PS. All fonts must be embedded or text converted to outlines in order to achieve the best-quality results.

### G. COLOR SPACE

The term color space refers to the entire sum of colors that can be represented within the said medium. For our purposes, the three main color spaces are Grayscale, RGB (red/green/blue) and CMYK (cyan/magenta/yellow/black). RGB is generally used with on-screen graphics, whereas CMYK is used for printing purposes.

All color figures should be generated in RGB or CMYK color space. Grayscale images should be submitted in Grayscale color space. Line art may be provided in grayscale OR bitmap colorspace. Note that “bitmap colorspace” and “bitmap file format” are not the same thing. When bitmap color space is selected, .TIF/.TIFF/.PNG are the recommended file formats.

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Figure axis labels are often a source of confusion. Use words rather than symbols. As an example, write the quantity “Magnetization,” or “Magnetization M,” not just “M.” Put units in parentheses. Do not label axes only with units. As in Fig. 1, for example, write “Magnetization (A/m)” or “Magnetization ( $A \cdot m^{-1}$ ),” not just “A/m.” Do not label axes with a ratio of quantities and units. For example, write “Temperature (K),” not “Temperature/K.”

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Multipart figures should be combined and labeled before final submission. Labels should appear centered below each subfigure in 8 point Times New Roman font in the format of (a) (b) (c).

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A conclusion section is not required. Although a conclusion may review the main points of the paper, do not replicate the abstract as the conclusion. A conclusion might elaborate on the importance of the work or suggest applications and extensions.

Appendixes, if needed, appear before the acknowledgment.

#### **ACKNOWLEDGMENT**

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Number footnotes separately in superscript numbers.<sup>1</sup> Place the actual footnote at the bottom of the column in which it is cited; do not put footnotes in the reference list (endnotes). Use letters for table footnotes (see Table 1).

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**SECOND B. AUTHOR** was born in Greenwich Village, New York, NY, USA in 1977. He received the B.S. and M.S. degrees in aerospace engineering from the University of Virginia, Charlottesville, in 2001 and the Ph.D. degree in mechanical engineering from Drexel University, Philadelphia, PA, in 2008.

From 2001 to 2004, he was a Research Assistant with the Princeton Plasma Physics Laboratory. Since 2009, he has been an Assistant Professor with the Mechanical Engineering Department, Texas A&M University, College Station. He is the author of three books, more than 150 articles, and more than 70 inventions. His research interests include high-pressure and high-density nonthermal plasma discharge processes and applications, microscale plasma discharges, discharges in liquids, spectroscopic diagnostics, plasma propulsion, and innovation plasma applications. He is an Associate Editor of the journal *Earth, Moon, Planets*, and holds two patents.

Dr. Author was a recipient of the International Association of Geomagnetism and Aeronomy Young Scientist Award for Excellence in 2008, and the IEEE Electromagnetic Compatibility Society Best Symposium Paper Award in 2011.



**THIRD C. AUTHOR, JR. (M'87)** received the B.S. degree in mechanical engineering from National Chung Cheng University, Chiayi, Taiwan, in 2004 and the M.S. degree in mechanical engineering from National Tsing Hua University, Hsinchu, Taiwan, in 2006. He is currently pursuing the Ph.D. degree in mechanical engineering at Texas A&M University, College Station, TX, USA.

From 2008 to 2009, he was a Research Assistant with the Institute of Physics, Academia Sinica, Taipei, Taiwan. His research interest includes the development of surface processing and biological/medical treatment techniques using nonthermal atmospheric pressure plasmas, fundamental study of plasma sources, and fabrication of micro- or nanostructured surfaces.

Mr. Author's awards and honors include the Frew Fellowship (Australian Academy of Science), the I. I. Rabi Prize (APS), the European Frequency and Time Forum Award, the Carl Zeiss Research Award, the William F. Meggers Award and the Adolph Lomb Medal (OSA).

## REFERENCES

- [1] E. Agrell, T. Eriksson, A. Vardy, and K. Zeger, "Closest point search in lattices," *IEEE Transactions on Information Theory*, vol. 48, pp. 2201–2214, Aug 2002.

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