

# JHAE: A Novel Permutation-Based Authenticated Encryption Mode Based on the Hash Mode JH

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**Abstract.** In this paper JHAE, an authenticated encryption ( $AE$ ) mode, was presented based on the JH hash mode. JHAE is an on-line and single-pass dedicated  $AE$  mode based on permutation that supports optional associated data ( $AD$ ). It was proved that this mode, based on ideal permutation, achieved privacy and integrity up to  $O(2^{n/2})$  queries where the length of the used permutation was  $2n$ . To decrypt, JHAE did not require the inverse of its underlying permutation and therefore saved area space. JHAE has been used by Artemia, one of the CAESAR candidates.

**Keywords:** Authenticated Encryption, Provable Security, Privacy, Integrity, CAESAR

## 1 Introduction

Privacy and authentication are two main goals in information security. In many applications, these security parameters are established simultaneously. For example, in the widely used Transport Layer Security (TLS), a generic approach (the MAC-then-Encrypt approach [3]) is used. A cryptographic scheme that provides both privacy and authentication is called authenticated encryption ( $AE$ ) scheme. The traditional approach for  $AE$  is the use of generic compositions. In this approach, two algorithms are used, one of which provides confidentiality and the other provides authenticity. However, this approach is not efficient for many applications, because it requires two different algorithms with two different keys as well as separate passes over the message [3]. Another approach for designing an  $AE$  is the use of a block cipher in a special mode, in which the block cipher is treated as a black box in the mode [26, 36, 39]. The most important problem of these modes is the necessity for a running the full round block cipher to process each message block which is time/resource-consuming.

Dedicated  $AE$  schemes resolve the problems of generic compositions and block cipher based modes. Designing a dedicated  $AE$  has recently received great attention in cryptography community, mostly driven by the NIST-funded CAESAR competition for  $AE$  [12]. ASC-1 [19], ALE [11], AEGIS [41], FIDES [10], CBEAM [37], and APE [2] are some dedicated  $AE$  schemes which were submitted before the CAESAR. A common approach for constructing a dedicated  $AE$  is to iterate a random permutation or random function in a special mode of operation. Therefore, there are two main stages in designing a new dedicated  $AE$ :

1. Designing a new dedicated mode (based on a random permutation or a random function)

2. Designing a new random permutation or random function to be used in the mode.

A general approach is to design a dedicated  $AE$  mode from a hash function mode. For example, duplex constructions [5], which were used in designing of the CAESAR candidates Ascon [13], ICEPOLE [34], KETJE [35], KEYAK [28], NORX [21],  $\pi$ -Cipher [15], PRIMATEs-GIBBON [16], PRIMATEs-HANUMAN [16], PRIMATEs-APE [16], PRØST-APE [17], and STRIBOB [38], are closely related to the sponge construction [6]. Other examples include FWPAE and FPAE modes [25] that were obtained from FWP [30] and FP [33] hash function modes, respectively. An important challenge in developing an  $AE$  mode from another mode (e.g. hash mode) is to prove its security to ensure transition to another application does not make any structural flaws.

**Hash Modes.** A hash function has two main components, a mode of operation, and a primitive which is iteratively used by the mode. For example the Merkle-Damgård construction [14, 27] was used in designing of many famous hash functions such as SHA-0 [31] and SHA-1 [32]. Some flaws in the construction (e.g. multi-collision attack [22]) leads to development of new hash constructions such as Wide-pipe [24], Sponge [6], JH [40], Grøstl [18], and FP [33]. The last four ones are permutation-based hash modes. JH and Grøstl were two finalists of the NIST SHA-3 hash function competition and Sponge was used by the hash function Keccak [8] which was the winner of the competition. A comparison of some hash function modes was presented in [33]. For the modes Sponge, Grøstl, JH, and FP the comparison was summarized in Table 1 where  $\epsilon$  is a small fraction due to the preimage attack on JH presented in [9]. Some of the advantages of permutation-based hash modes were given as follows:

- The modes do not need any key schedule.
- Easy-to-invert permutations are usually efficient [33].

**Table 1.** Comparison of some permutation-based hash modes [33].

Mode	Mesg-blk ( $l$ )	Size of $\pi$ ( $a$ )	Rate ( $l/a$ )	Indiff. bound		# of independent permutations	Reference
				lower	upper		
Sponge	$n$	$2n$	0.5	$n/2$	$n/2$	1	[7]
Grøstl	$n$	$2n$	0.5	$n/2$	$n$	2	[18]
JH	$n$	$2n$	0.5	$n/2$	$n(1 - \epsilon)$	1	[29]
FP	$n$	$2n$	0.5	$n/2$	$n$	1	[33]

**Contribution .** In this paper JH hash function mode [40] is used to develop a new dedicated  $AE$  mode, called JHAE. The main reasons of using JH mode to design a new  $AE$  mode were given as follows:

- It was a permutation-based mode.

- Keccak (which used the Sponge construction), Grøstl, and JH were three finalists of the SHA-3 competition. In comparison by Grøstl, JH used only one permutation and in comparison by Sponge, it had better indistinguishability upper bound (See Table 1).
- Duplex constructions [5] and FPAE [25] were two *AE* modes based on the Sponge and FP hash function modes, respectively, and until now no *AE* mode is presented based on the JH hash function mode.
- The important researches on the JH hash mode had done in the duration of SHA-3 competition and shown that there was not any significant vulnerability in the mode.

JHAE is an on-line and single-pass dedicated *AE* mode that supports optional associated data (AD). Also, its security relies on using nonces. It was proved in this paper that the mode achieved privacy (indistinguishability under the chosen plaintext attack or IND-CPA) and integrity (integrity of ciphertext or INT-CTXT) up to  $O(2^{n/2})$  queries, where the length of the used permutation was  $2n$ . In addition, it was demonstrated that the integrity bound of JHAE was reduced to the indistinguishability of JH hash mode, which is at least  $O(2^{n/2})$ .

**JHAE in the CAESAR Competition.** Artemia [20] is a family of the dedicated authenticated encryption scheme which was submitted to the CAESAR competition. Artemia is a sponge-based authenticated encryption scheme that uses the JHAE mode. Except Artemia, all of the sponge-based candidates of CAESAR used the duplex constructions [1]. Until now (in the duration of the CAESAR competition) no flaw has been reported for Artemia. A comparison between Artemia and other dedicated *AE* schemes which were submitted to the CAESAR competition was presented in [1]. With respect to [1], the comparison of Artemia and other sponge-based candidates can be summarized as Table 2. The features of the schemes were inherited from their mode (e.g. the features of Artemia were inherited from JHAE).

**Table 2.** Comparison between Artemia and other sponge-based candidates of CAESAR [1]. n.n. means unnamed custom primitive.

Sponge-Based <i>AE</i>	Design	Primitive	Provable Security	Parallelizable	On-Line	Nonce Misuse Resistance	Inverse-Free	Reference
Artemia	JHAE	Artemia	Yes	No	Yes	No	Yes	[20]
Ascon	Duplex	Ascon	No	No	Yes	Yes	Yes	[13]
ICEPOLE	Duplex	n.n.	Yes	Yes	Yes	Yes	Yes	[34]
KETJE	Duplex	Keccak- <i>f</i>	Yes	No	Yes	No	Yes	[35]
KEYAK	Duplex	Keccak- <i>f</i>	Yes	Yes	Yes	No	Yes	[28]
NORX	Duplex	n.n.	No	Yes	Yes	No	Yes	[21]
$\pi$ -Cipher	Duplex	n.n.	No	Yes	Yes	No	Yes	[15]
PRIMATEs-GIBBON	Duplex	PRIMATE	No	No	Yes	No	Yes	[16]
PRIMATEs-HANUMAN	Duplex	PRIMATE	No	No	Yes	No	Yes	[16]
PRIMATEs-APE	Duplex	PRIMATE	Yes	No	Yes	Yes	No	[16]
PRØST-APE	Duplex	PRØST	Yes	No	Yes	Yes	No	[17]
STRIBOB	Duplex	n.n.	Yes	No	Yes	No	Yes	[20]

**Organization.** The paper is structured as follows: Section 2 gives a specification of JHAE encryption-authentication and decryption-verification. Security of JHAE is analyzed in Section 3. In this section, privacy and integrity of JHAE, are proved in the ideal permutation model and by reducing to the security of JH hash mode, respectively. In Section 4, the rationale behind of the JHAE design is briefly described. Finally conclusion is given in Section 5.

## 2 JHAE Authenticated Encryption Mode

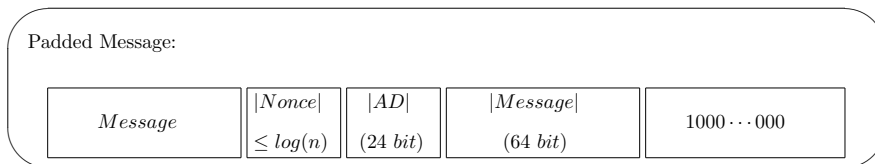
In this section, JHAE mode, depicted in Fig 4, is described. JHAE is developed from JH hash function mode (Fig 3) [40] and iterates a fixed permutation  $\pi : \{0, 1\}^{2n} \rightarrow \{0, 1\}^{2n}$ . It is a nonce-based, single-pass, and on-line dedicated *AE* mode that supports AD. To decrypt, JHAE does not require the inverse of its underlying permutation and therefore saved area space.

### 2.1 Encryption and Authentication

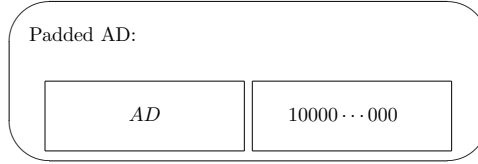
JHAE accepts an  $n$ -bit key  $K$ , an  $n$ -bit nonce  $N$ , a message  $M$ , an optional AD,  $A$ , and produces ciphertext  $C$  and authentication tag  $T$ . Pseudo-code of JHAE's encryption-authentication is depicted in Table 3. It is assumed that the input message, after padding, is a multiple of the block size ( $n$ ). The last block of the original message is concatenated by the padding data as follows (See Figure 1):

1. The length of nonce ( $N$ ) is appended to the end of the last block of message.
2. The length of the associated data ( $A$ ) is appended to the end of the padded message in 1.
3. The length of the message ( $M$ ) is appended to the end of the padded message in 2.
4. A bit '1' followed by a sequence of '0' is appended to the end of the padded message in 3 such that the padded message is a multiple of the block size  $n$ .

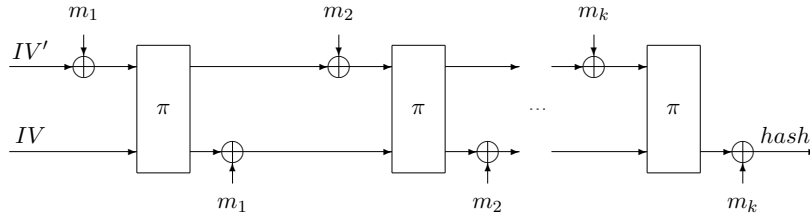
If there is the AD in the procedure, it is padded by a bit '1' followed by a sequence of '0' such that the padded AD would be a multiple of the block size  $n$  (See Figure 2). The padded AD is processed in a way which is similar to the process of the message block with an exception that ciphertext blocks ( $c_i$ ), are not produced for the AD blocks.



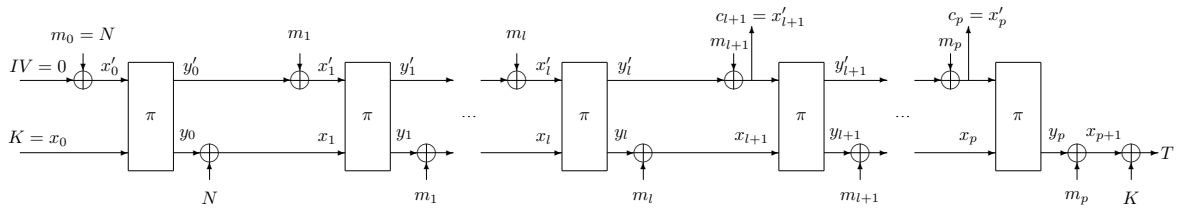
**Fig. 1.** Message padding in JHAE



**Fig. 2.** AD padding in JHAE



**Fig. 3.** JH hash mode [29]



**Fig. 4.** JHAE mode of operation (encryption and authentication), where  $pad(A) = m_1 || m_2 || \dots || m_l$  and  $pad(M) = m_{l+1} || m_{l+2} || \dots || m_p$

**Table 3.** Encryption and authentication pseudo code of JHAE

<p>Algorithm1. <math>JHAE - E^\pi(K, N, M, A)</math></p> <p>Input: Key <math>K</math> of <math>n</math> bits, Nonce <math>N</math> of <math>n</math> bits, Associated data <math>A</math> where <math>pad(A) = m_1    m_2    \dots    m_l</math> and Message <math>M</math> where <math>pad(M) = m_{l+1}    m_{l+2}    \dots    m_p</math></p> <p>Output: Ciphertext <math>C</math>, Tag <math>T</math></p> <p><math>IV = 0; m_0 = N</math></p> <p><math>x'_0 = IV \oplus m_0; x_0 = K</math></p> <p><math>pad(A)    pad(M) = m_1    m_2    \dots    m_p</math></p> <p>for <math>i = 0</math> to <math>p - 1</math> do:</p> <p style="padding-left: 2em;"><math>y'_i    y_i = \pi(x'_i    x_i);</math></p> <p style="padding-left: 2em;"><math>x'_{i+1} = y'_i \oplus m_{i+1};</math></p> <p style="padding-left: 2em;"><math>x_{i+1} = y_i \oplus m_i</math></p> <p>end for</p> <p><math>y'_p    y_p = \pi(x'_p    x_p);</math></p> <p><math>x_{p+1} = y_p \oplus m_p</math></p> <p><math>C = x'_{l+1}    x'_{l+2}    \dots    x'_p</math></p> <p><math>T = x_{p+1} \oplus K</math></p> <p>Return <math>(C, T)</math></p>
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## 2.2 Decryption and Verification

JHAE decryption-verification procedure, depicted in Table 4, accepts an  $n$ -bit key  $K$ , an  $n$ -bit nonce  $N$ , a ciphertext  $C$ , a tag  $T$ , an optional AD,  $A$ , and decrypts the ciphertext to get message  $M$  and tag  $T'$ . If  $T' = T$ , then it outputs  $M$ ; else, it outputs  $\perp$ .

## 3 Security Proofs

In this section, security of JHAE is proved. First, game playing framework proposed by Bellare and Rogaway [4] is used and an upper bound is obtained for the advantage of an adversary that can distinguish the JHAE from a random oracle (IND-CPA) in the ideal permutation model. Then, it is proved that JHAE provides integrity (INT-CTXT) until JH hash mode is indistinguishable from a random oracle or tag can not be guessed. These proofs are followed in two subsections of privacy and integrity.

### 3.1 Privacy

In this section, privacy's security bound for JHAE based on ideal permutation  $\pi$  is provided.

**Theorem 1.** *JHAE based on an ideal permutation  $\pi : \{0, 1\}^{2n} \rightarrow \{0, 1\}^{2n}$ , is  $(t_A, \sigma, \epsilon)$ -indistinguishable from an ideal AE based on a random function RO and ideal permutation  $\pi'$  with the same domain and range, for any  $t_A$ ; then,  $\epsilon \leq \frac{\sigma(\sigma - 1)}{2^{2n-1}} + \frac{\sigma^2}{2^{2n}} + \frac{\sigma^2}{2^n}$ , where  $\sigma$  is the total number of blocks in queries to JHAE encryption function (denoted by  $JHAE - E$ ),  $\pi$ , and  $\pi^{-1}$ , by the adversary  $\mathcal{A}$ .*

**Table 4.** Decryption and verification pseudo code of JHAE

<p>Algorithm2. <math>JHAE - D^\pi(K, N, C, T, A)</math></p> <p>Input: Key <math>K</math> of <math>n</math> bits, Nonce <math>N</math> of <math>n</math> bits, Associated Data <math>A</math> where <math>pad(A) = m_1    m_2    \dots    m_l</math>, ciphertext <math>C = c_1    c_2    \dots    c_p</math> and Tag <math>T</math></p> <p>Output: Message <math>M</math> or <math>\perp</math></p> <p><math>IV = 0; m_0 = N</math></p> <p><math>x'_0 = IV \oplus m_0; x_0 = K</math></p> <p><math>x'_{l+1}    x'_{l+2}    \dots    x'_{l+p} = c_1    c_2    \dots    c_p</math></p> <p>for <math>i = 0</math> to <math>l - 1</math> do:</p> <p style="padding-left: 2em;"><math>y'_i    y_i = \pi(x'_i    x_i);</math></p> <p style="padding-left: 2em;"><math>x'_{i+1} = y'_i \oplus m_{i+1};</math></p> <p style="padding-left: 2em;"><math>x_{i+1} = y_i \oplus m_i</math></p> <p>end for</p> <p>for <math>i = l</math> to <math>p - 1</math> do:</p> <p style="padding-left: 2em;"><math>y'_i    y_i = \pi(x'_i    x_i);</math></p> <p style="padding-left: 2em;"><math>m_{i+1} = y'_i \oplus x'_{i+1};</math></p> <p style="padding-left: 2em;"><math>x_{i+1} = y_i \oplus m_i</math></p> <p>end for</p> <p><math>y'_p    y_p = \pi(x'_p    x_p);</math></p> <p><math>x_{p+1} = y_p \oplus m_p</math></p> <p><math>M = m_{l+1}    m_{l+2}    \dots    m_p</math></p> <p><math>T' = x_{p+1} \oplus K</math></p> <p>if <math>T' = T</math></p> <p style="padding-left: 2em;">Return <math>M</math></p> <p>else</p> <p style="padding-left: 2em;">Return <math>\perp</math></p>
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*Proof.* To prove the above theorem, a game playing framework based on ten games of  $G_0$  to  $G_9$  is used where  $G_0$  represents JHAE based on ideal permutation  $\pi$ ,  $JHAE - \pi, \pi^{-1}$ , and  $G_9$  represents a random oracle,  $RO$ , an ideal permutation  $\pi$  and its inverse  $\pi^{-1}$ . To determine the adversary's advantage on distinguishing JHAE from an ideal  $AE$  scheme, the adversary's advantage moving from a game to the next game is calculated.

**Game  $G_0$ .** This game shows the communication of  $\mathcal{A}$  with  $JHAE - \pi, \pi^{-1}$  (see Table 5). In this game, permutations  $\pi$  and  $\pi^{-1}$  are exactly the permutations that are used in the real JHAE mode. Hence:

$$Pr[\mathcal{A}^{G_0} \Rightarrow 1] = Pr[\mathcal{A}^{JHAE-E} \Rightarrow 1]$$

**Game  $G_1$ .** This game is identical to  $G_0$  with an exception that the ideal permutation  $(\pi, \pi^{-1})$  is chosen in a "lazy" manner, oracles  $O_2$  and  $O_3$  respectively (see Table 6). These oracles perfectly simulate two ideal permutations and, since it is assumed that  $\pi$  and  $\pi^{-1}$  in  $G_0$  are ideal permutations, then the distribution of the returned values in  $G_0$  and  $G_1$  are identical. Therefore we have:

$$Pr[\mathcal{A}^{G_1} \Rightarrow 1] = Pr[\mathcal{A}^{G_0} \Rightarrow 1].$$

**Game  $G_2$ .** To generate  $G_2$ , a PRP-PRF switch [4] is done in  $G_1$  (see Table 7). This means that the ideal permutations  $O_2$  and  $O_3$  in  $G_1$  are replaced with two random functions in  $G_2$ . Therefore, the only difference between  $G_2$  and  $G_1$  is oracles  $O_2$  and  $O_3$  (two ideal permutations are stimulated in  $G_1$ ; but, two random functions are stimulated in  $G_2$ ). Unlike the ideal permutation, it is possible to find a collision in a random function. Since in  $G_1$ , there is not collision, in  $G_2$ , There may be a collision in  $O_2$  or  $O_3$  and the adversary can differentiate  $G_2$  from  $G_1$ . Hence, a collision is defined in  $G_2$  as a bad event and denoted by  $bad_0$ . The distribution of the returned values by  $G_2$  and  $G_1$  are identical until  $bad_0$  occurs. Suppose that the adversary can do at most  $\sigma_2$  and  $\sigma_3$  query for  $O_2$  and  $O_3$ , respectively, and let  $\sigma' = \sigma_2 + \sigma_3$ ; Then:

$$\begin{aligned} Pr[\mathcal{A}^{G_2} \Rightarrow 1] - Pr[\mathcal{A}^{G_1} \Rightarrow 1] &= Pr[bad_0 \leftarrow true] = Pr[Collision in  $O_2$  or  $O_3$  in  $G_2$ ] \\ &\leq \frac{\sigma_2(\sigma_2 - 1)}{2^{2n+1}} + \frac{\sigma_3(\sigma_3 - 1)}{2^{2n+1}} \leq \frac{\sigma'(\sigma' - 1)}{2^{2n+1}} \leq \frac{\sigma(\sigma - 1)}{2^{2n+1}}. \end{aligned}$$

**Game  $G_3$ .** In  $G_3$ , oracle  $O_1$  does not pass any query to the oracle  $O_2$ ; but, it exactly simulates the behavior of oracle  $O_2$  (see  $G_3$  in Table 8). Thus, the distribution of the returned values by  $G_3$  and  $G_2$  are identical from the adversary's view:

$$Pr[\mathcal{A}^{G_3} \Rightarrow 1] = Pr[\mathcal{A}^{G_2} \Rightarrow 1].$$



**Game  $G_4$ .** In  $G_4$  ( see Table 9) the purpose is to push the behavior of  $O_1$  one step towards the random oracle. Hence, the queries that are included into  $O_2$  by  $O_1$  and those that are directly queried by the adversary of  $O_2$  or  $O_3$  are separated. In this game, if an intermediate query generated by  $O_1$ , that is expected to be queried to  $O_2$ , has a record on the part of  $O_2$  not included by  $O_1$ , it is considered a bad event and denoted by  $bad_1$ . However, the distribution of responses of queries to  $O_2$  and  $O_3$  remains identical to  $G_3$ . Hence, it can be stated that  $G_3$  and  $G_4$  are identical until  $bad_1$  occurs in  $G_4$ . Assuming that the adversary can do at most  $\sigma_1$  query to  $O_1$  and  $\sigma'$  query to  $O_2$  or  $O_3$ , the adversary's advantage from  $G_3$  to  $G_4$  is bounded as follows:

$$Pr[\mathcal{A}^{G_4} \Rightarrow 1] - Pr[\mathcal{A}^{G_3} \Rightarrow 1] = Pr[bad_1 \leftarrow true] \leq \frac{\sigma'(\sigma_1)}{2^{2n}} \leq \frac{\sigma^2}{2^{2n}}.$$

**Game  $G_5$ .** In  $G_5$  ( see Table 10), the responses of  $O_2$  or  $O_3$  are not compatible with those of  $O_1$ . In  $G_5$ , the purpose is to push the behaviour of  $O_2$  and  $O_3$  one step towards the ideal permutations that are independent from  $RO$ . For this reason, two auxiliary tables are generated to keep the input and output of the intermediate tentative queries to  $O_2$  generated by  $O_1$  which are denoted by  $W$  and  $Y$ , respectively. The aim of this game is to not return any record that has been included in  $O_2$  by  $O_1$  when the adversary is directly queried to  $O_2$  or  $O_3$ . Hence, in this game, if a query to  $O_2$  or  $O_3$  has a record in  $W$  and  $Y$ , respectively, it is considered a bad event and denoted by  $bad_2$ . More precisely, on query to  $O_1$ , when it generates a local tentative fresh query  $w_i$  to  $O_2$  and generates  $y_i$  as a response, then  $w_i$  is stored in  $W$  and  $y_i$  is stored in  $Y$ . However, distribution of the responses to queries to  $O_1$  remains identical to  $G_4$ . Hence, it can be stated that  $G_4$  and  $G_5$  are identical until  $bad_2$  occurs in  $G_4$ . To bound the probability of  $bad_2$ , suppose that  $w_j$  is the  $j$ -th block that is queried to  $O_1$  and  $y_j$  is the response of  $O_1$  to the query where  $1 \leq j \leq \sigma_1$ ,  $v_i$  is the  $i$ -th query to  $O_2$  where  $1 \leq i \leq \sigma_2$ , and  $z_l$  is the  $l$ -th query to  $O_3$  where  $1 \leq l \leq \sigma_3$ . Then:

$$Pr[bad_2 \leftarrow true] = \sum_{i=1}^{\sigma_2} \sum_{j=1}^{\sigma_1} Pr[v_i = w_j] + \sum_{l=1}^{\sigma_3} \sum_{j=1}^{\sigma_1} Pr[z_l = y_j] \leq \frac{\sigma_2 \sigma_1}{2^n} + \frac{\sigma_3 \sigma_1}{2^n}.$$

It must be noted that, in the above calculations, the fact that, given the response of a query to  $O_1$ , the adversary can determine half of the bits of each  $w_j \in W$  and  $y_i \in Y$  is considered. Hence, the adversary's advantage from  $G_4$  to  $G_5$  is bounded as follows:

$$Pr[\mathcal{A}^{G_5} \Rightarrow 1] - Pr[\mathcal{A}^{G_4} \Rightarrow 1] \leq \frac{\sigma_1 \times (\sigma_2 + \sigma_3)}{2^n} \leq \frac{\sigma^2}{2^n}.$$

**Game  $G_6$ .**  $G_6$  (see Table 11) is identical to  $G_5$  with an exception that  $O_1$  does not keep the history of the intermediate queries. However, this modification has no impact on the distribution of the returned values to the adversary, if there is no bad event in neither of the games. Hence, in the adversary's view, for queries to  $O_1$ , distributions of the returned values in  $G_5$  and  $G_6$  are identical as far as there is not an intermediate collision in  $G_5$ . On

the other hand, the distribution of responses to queries to  $O_2$  and  $O_3$  remains identical to  $G_5$ . Hence, the adversary's advantage from  $G_5$  to  $G_6$  is bounded as follows:

$$Pr[\mathcal{A}^{G_6} \Rightarrow 1] - Pr[\mathcal{A}^{G_5} \Rightarrow 1] \leq \frac{\sigma_1 \times (\sigma_1 - 1)}{2^{2n}} \leq \frac{\sigma \times (\sigma - 1)}{2^{2n}}.$$

**Game  $G_7$ .** In Game  $G_7$  (see Table 12), the blocks of ciphertext and tag value are generated randomly. However, it has no impact of the distribution of the returned values to the adversary. Hence, distributions of the returned values in  $G_6$  and  $G_7$  are identical:

$$Pr[\mathcal{A}^{G_7} \Rightarrow 1] = Pr[\mathcal{A}^{G_6} \Rightarrow 1].$$

**Game  $G_8$ .** In Game  $G_8$  (see Table 12), a PRF-PRP switch [4] is run; i.e. the ideal random functions  $O_2$  and  $O_3$  in  $G_7$  are replaced with a random permutation and its inverse in  $G_8$ . Therefore, the only difference between  $G_7$  and  $G_8$  is oracles  $O_2$  and  $O_3$ . Thus, the distribution of the returned values by  $G_7$  and  $G_8$  are identical until  $O_2$  or  $O_3$  has a collision in  $G_7$ . Hence, the adversary's advantage from  $G_7$  to  $G_8$  is bounded as follows:

$$\begin{aligned} Pr[\mathcal{A}^{G_8} \Rightarrow 1] - Pr[\mathcal{A}^{G_7} \Rightarrow 1] &= Pr[\text{Collision in } O_2 \text{ or } O_3 \text{ in } G_7] \\ &\leq \frac{\sigma_2(\sigma_2 - 1)}{2^{2n+1}} + \frac{\sigma_3(\sigma_3 - 1)}{2^{2n+1}} \leq \frac{\sigma'(\sigma' - 1)}{2^{2n+1}} \leq \frac{\sigma(\sigma - 1)}{2^{2n+1}}. \end{aligned}$$

**Game  $G_9$ .** In  $G_8$  for each message/AD block, an appropriate (regarding the length) random value is selected as cipher text and similarly a random value is selected as the tag value. Next, these random values are concatenated and returned to the adversary. However, in  $G_9$  (see Table 13) on query to  $O_1$ , a random string of the length of the desired cipher and tag is selected and returned to the adversary. However, this modification from  $G_8$  to  $G_9$  has no impact on the distribution of the returned values to the adversary. Hence:

$$Pr[\mathcal{A}^{G_9} \Rightarrow 1] = Pr[\mathcal{A}^{G_8} \Rightarrow 1].$$

On the other hand,  $G_8$  perfectly simulates  $RO, \pi, \pi^{-1}$ . Then:

$$Pr[\mathcal{A}^{RO, \pi, \pi^{-1}} \Rightarrow 1] = Pr[\mathcal{A}^{G_9} \Rightarrow 1].$$

Finally, using the fundamental lemma of game playing [4], the following can be stated:

$$\begin{aligned} Adv_{JHAE}^{Privacy}(\mathcal{A}) &= Pr[\mathcal{A}^{JHAE-E, \pi, \pi^{-1}} \Rightarrow 1] - Pr[\mathcal{A}^{RO, \pi, \pi^{-1}} \Rightarrow 1] \\ &= Pr[\mathcal{A}^{G_0} \Rightarrow 1] - Pr[\mathcal{A}^{G_9} \Rightarrow 1] \\ &= (Pr[\mathcal{A}^{G_0} \Rightarrow 1] - Pr[\mathcal{A}^{G_1} \Rightarrow 1]) \\ &\quad + (Pr[\mathcal{A}^{G_1} \Rightarrow 1] - Pr[\mathcal{A}^{G_2} \Rightarrow 1]) \\ &\quad + (Pr[\mathcal{A}^{G_2} \Rightarrow 1] - Pr[\mathcal{A}^{G_3} \Rightarrow 1]) \\ &\quad + (Pr[\mathcal{A}^{G_3} \Rightarrow 1] - Pr[\mathcal{A}^{G_4} \Rightarrow 1]) \\ &\quad + (Pr[\mathcal{A}^{G_4} \Rightarrow 1] - Pr[\mathcal{A}^{G_5} \Rightarrow 1]) \\ &\quad + (Pr[\mathcal{A}^{G_5} \Rightarrow 1] - Pr[\mathcal{A}^{G_6} \Rightarrow 1]) \end{aligned}$$

$$\begin{aligned}
 & +(Pr[\mathcal{A}^{G_6} \Rightarrow 1] - Pr[\mathcal{A}^{G_7} \Rightarrow 1]) \\
 & +(Pr[\mathcal{A}^{G_7} \Rightarrow 1] - Pr[\mathcal{A}^{G_8} \Rightarrow 1]) \\
 & +(Pr[\mathcal{A}^{G_8} \Rightarrow 1] - Pr[\mathcal{A}^{G_9} \Rightarrow 1]) \\
 \leq & 0 + \frac{\sigma(\sigma - 1)}{2^{2n+1}} + 0 + \frac{\sigma^2}{2^{2n}} + \frac{\sigma^2}{2^n} + \frac{\sigma(\sigma - 1)}{2^{2n}} + 0 + \frac{\sigma(\sigma - 1)}{2^{2n+1}} + 0 \\
 \leq & \frac{\sigma(\sigma - 1)}{2^{2n-1}} + \frac{\sigma^2}{2^{2n}} + \frac{\sigma^2}{2^n}.
 \end{aligned}$$

### 3.2 Integrity

In this section, integrity of ciphertext (INT-CTXT) of JHAE is proved. The INT-CTXT security bound of a permutation based  $AE$  scheme is defined as the maximum advantage of any adversary to produce a valid triple  $(N, A||C, T)$  (e.g. a forgery for the  $AE$  scheme) without directly querying to the scheme. To forge an  $AE$  scheme, the adversary can query to  $AE - E$  (encryption and authentication),  $AE - D$  (decryption and verification), and  $\pi$  or  $\pi^{-1}$ . Thus, two phases can be considered for any forgery attempt as follows:

1. **Data gathering:** The adversary gathers some valid triples such as  $S = (N_i, (A||C)_i, T_i); 1 \leq i \leq q$  by at most  $q$  queries to  $AE - E$ ,  $\pi$  or  $\pi^{-1}$ .
2. **Execution:** The adversary produces a new triple  $(N, A||C, T)$  such that  $(N, A||C, T) \notin S$  is accepted by  $AE - D$  as a valid triple.

In this section, it is shown that the advantage of any adversary that makes a reasonable number of queries to  $JHAE - E$ ,  $\pi$ , and  $\pi^{-1}$  is negligible in the forgery attack against  $JHAE$ .

**Theorem 2.** *For any adversary  $\mathcal{A}$  that makes total  $\sigma$  block queries to  $JHAE - E$ ,  $\pi$ , or  $\pi^{-1}$ ,  $JHAE$  based on an ideal permutation  $\pi : \{0, 1\}^{2n} \rightarrow \{0, 1\}^{2n}$ , is  $(t_A, \sigma, \epsilon)$ -unforgeable, for any  $t_A$ , where  $\epsilon \leq \frac{\sigma^2}{2^n} + \frac{q}{2^n}$ .*

*Proof.* Suppose that  $\mathcal{A}$  is an adversary that tries to forge JHAE.  $\mathcal{A}$  should query at the first to JHAE,  $q$  times, and produce a list  $S = \{(N_i, (A||C)_i, T_i); 1 \leq i \leq q\}$ . Next,  $\mathcal{A}$  produces a new  $(N, A||C, T) \notin S$  such that  $JHAE - D(N, A||C, T) \neq \perp$  as its forged triple. All of the possible cases for the new valid  $(N, A||C, T)$  are as follows (cases 001 to 111).

1. **Case 001.** Adversary generates a valid  $(N, A||C, T) \notin S$  such that  $\exists(N_i, (A||C)_i, T_i) \in S : N = N_i, A||C = (A||C)_i, T \neq T_i$ , for  $0 \leq i \leq q$ .
2. **Case 010.** Adversary generates a valid  $(N, A||C, T) \notin S$  such that  $\exists(N_i, (A||C)_i, T_i) \in S : N = N_i, A||C \neq (A||C)_i, T = T_i$ , for  $0 \leq i \leq q$ .
3. **Case 011.** Adversary generates a valid  $(N, A||C, T) \notin S$  such that  $\forall(N_i, (A||C)_i, T_i) \in S : A||C \neq (A||C)_i, T \neq T_i$ , for  $0 \leq i \leq q$  and  $\exists(N_i, (A||C)_i, T_i) \in S : N = N_i, A||C \neq (A||C)_i, T \neq T_i$ .
4. **Case 100.** Adversary generates a valid  $(N, A||C, T) \notin S$  such that  $\exists(N_i, (A||C)_i, T_i) \in S : N \neq N_i, A||C = (A||C)_i, T = T_i$ , for  $0 \leq i \leq q$ .
5. **Case 101.** Adversary generates a valid  $(N, A||C, T) \notin S$  such that  $\exists(N_i, (A||C)_i, T_i) \in S : N \neq N_i, A||C = (A||C)_i, T \neq T_i$ , for  $0 \leq i \leq q$ .

6. **Case 110.** Adversary generates a valid  $(N, A\|C, T) \notin S$  such that  $\exists(N_i, (A\|C)_i, T_i) \in S : N \neq N_i, A\|C \neq (A\|C)_i, T = T_i$ , for  $0 \leq i \leq q$ .
7. **Case 111.** Adversary generates a valid  $(N, A\|C, T) \notin S$  such that  $\forall(N_i, (A\|C)_i, T_i) \in S : N \neq N_i, A\|C \neq (A\|C)_i, T \neq T_i$ , for  $0 \leq i \leq q$ .

Hence, the adversary's advantage can be upper bound to forge JHAE as follows:

$$\begin{aligned} Pr[\mathcal{A}_{JHAE}^{INT} \Rightarrow 1] &= Pr[Case\ 001] + Pr[Case\ 010] + Pr[Case\ 011] \\ &+ Pr[Case\ 100] + Pr[Case\ 101] + Pr[Case\ 110] + Pr[Case\ 111]. \end{aligned} \quad (1)$$

To determine an upper bound for this advantage, the mentioned cases are categorized as three distinct sets as follows and the adversary's advantage in producing a successful forgery for each set is determined.

**Set 1:** Set 1 includes any case that could not be used to successfully forge JHAE. More precisely, any triple that matches case 001 can not be used to forge JHAE. The reason comes from the fact that, for JHAE for a valid triple, if  $A\|C = (A\|C)_i$  and  $N = N_i$  then  $T = T_i$ . Therefore:

$$Pr[Case\ 001] = 0.$$

**Set 2:** Set 2 includes any case that can be directly used to differentiate JH hash mode from a random oracle. To determine these cases, JH hash mode in Fig 3 is considered. Since  $T = T_i$  (for  $1 \leq i \leq q$ ) implies  $(x_{p+1})_i = (x_{p+1})$ , and  $(x_{p+1})_i$  and  $(x_{p+1})$  are hash outputs in JH hash mode, then cases 010, 100, and 110 in the forgery attempt of JHAE lead to collisions in JH hash mode. In other words, if cases 010, 100, and 110 occur in the forgery attempt of JHAE, a collision can be found in the JH hash mode and therefore the mode can be differentiated from a random oracle. Since the bound of the indistinguishability of JH has been proved to be  $\frac{\sigma^2}{2^n}$  [29], then:

$$Pr[Case\ 010] + Pr[Case\ 100] + Pr[Case\ 110] \leq \frac{\sigma^2}{2^n}.$$

**Set 3:** This set includes cases that force the adversary to guess the tag. More precisely, in cases 011, 101, and 111, the adversary finds a new valid  $(N, A\|C, T)$  such that  $\forall(N_i, (A\|C)_i, T_i) \in S : N \neq N_i$  or  $A\|C \neq (A\|C)_i$ . On the other hand, given such a pair of  $N$  and  $A\|C$ , distribution of the valid tag would be uniformly distributed over  $\{0, 1\}^n$ . Hence, at each attempt, the adversary's advantage in generating a valid tag would be  $2^{-n}$ . So:

$$Pr[Case\ 101] + Pr[Case\ 011] + Pr[Case\ 111] \leq \frac{q}{2^n}$$

Finally, using Equation 1:

$$Pr[\mathcal{A}_{JHAE}^{INT} \Rightarrow 1] \leq \frac{\sigma^2}{2^n} + \frac{q}{2^n}$$

## 4 Design Rationale

In this section, design rationale of JHAE, is described briefly.

**Structure.** The structure of JHAE is based on the JH hash function mode. The rational of using JH mode was mentioned in Section 1.

**Padding.** In the padding rule of JHAE, the length of nonce, AD, and message were used. The main rational of the rule is domain separation between nonce, AD, and message.

**Final Key Addition.** With respect to Figure 4, the final tag was computed as  $x_{p+1} \oplus K$ . Since JHAE didn't use explicit finalization, this key addition is required to prevent the length extension attacks.

## 5 Conclusion

In this paper, JHAE, a new dedicated permutation-based *AE* mode, was introduced. JHAE is an on-line and single-pass dedicated *AE* mode which did not require the inverse of its underlying permutation to decrypt and therefore saved area space. JHAE was used by Artemia, one of the CAESAR candidates.

In the ideal permutation model, it was proved that JHAE provided IND-CPA and INT-CTXT up to  $q = O(2^{n/2})$ . This is the first nontrivial security bound for JHAE. On the other hand, the best-known attack on JHAE has a complexity up to  $q = O(2^n)$ . Therefore, in particular there remains a gap between the best-known attack and the security bound of JHAE.

In a recent work, Jovanovic et. al. [23] showed that sponge based constructions for authenticated encryption, namely JHAE, can achieve the significantly higher bound of  $2^{c/2}$ , where  $c$  is their capacity. (Note that the capacity of JHAE, is  $n$ ). For a future work, the security bound of JHAE can be improved using the security model introduced in [23].

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**Table 5.** Game  $G_0$  perfectly simulates  $(JHAE - \pi, \pi^{-1})$ 

Game $G_0$
Initialize: $K \leftarrow \{0, 1\}^n$ ; $IV = 0; m_0 = N$ $x'_0 = IV \oplus m_0; x_0 = K$ — on $O_1$ -query $(N, A, M)$ — $pad(A)    pad(M) = m_1    m_2    \dots    m_p$ for $i = 0$ to $p - 1$ do: $y'_i    y_i = O_2(x'_i    x_i)$ ; $x'_{i+1} = y'_i \oplus m_{i+1}$ ; $x_{i+1} = y_i \oplus m_i$ end for $y'_p    y_p = O_2(x'_p    x_p)$ ; $x_{p+1} = y_p \oplus m_p$ $C = x'_{l+1}    x'_{l+2}    \dots    x'_p$ $T = x_{p+1} \oplus K$ Return $(C, T)$ — on $O_2$ -query $m$ — $v = \pi(m)$ return $v$ — on $O_3$ -query $v$ —//Inverse Query $m = \pi^{-1}(v)$ return $m$



**Table 6.** In game  $G_1$  the permutations  $\pi$  and  $\pi^{-1}$  are simulated .

<p>Game <math>G_1</math></p> <p>Initialize:</p> <p><math>X = \emptyset ; K \leftarrow \{0, 1\}^n;</math></p> <p><math>IV = 0; m_0 = N</math></p> <p><math>x'_0 = IV \oplus m_0; x_0 = K</math></p> <p>— on <math>O_1</math>-query (N,A,M) —</p> <p><math>pad(A)    pad(M) = m_1    m_2    \dots    m_p</math></p> <p>for <math>i = 0</math> to <math>p - 1</math> do:</p> <p style="padding-left: 2em;"><math>y'_i    y_i = O_2(x'_i    x_i);</math></p> <p style="padding-left: 2em;"><math>x'_{i+1} = y'_i \oplus m_{i+1};</math></p> <p style="padding-left: 2em;"><math>x_{i+1} = y_i \oplus m_i</math></p> <p>end for</p> <p><math>y'_p    y_p = O_2(x'_p    x_p);</math></p> <p><math>x_{p+1} = y_p \oplus m_p</math></p> <p><math>C = x'_{l+1}    x'_{l+2}    \dots    x'_p</math></p> <p><math>T = x_{p+1} \oplus K</math></p> <p>Return <math>(C, T)</math></p> <p>— on <math>O_2</math>-query m—</p> <p>if <math>(m, v) \in X</math> then return <math>v</math></p> <p>else <math>v \leftarrow \{0, 1\}^{2n}</math></p> <p>if <math>\exists(m', v') \in X</math> S.T <math>v' = v</math> then</p> <p><math>v \leftarrow \{0, 1\}^{2n} \setminus \{v' : (m', v') \in X\}</math></p> <p><math>X = X \cup (m, v)</math></p> <p>return <math>v</math></p> <p>— on <math>O_3</math>-query v—//Inverse Query</p> <p>if <math>(m, v) \in X</math> then return <math>m</math></p> <p>else <math>m \leftarrow \{0, 1\}^{2n}</math></p> <p>if <math>\exists(m', v') \in X</math> S.T <math>m' = m</math> then</p> <p><math>m \leftarrow \{0, 1\}^{2n} \setminus \{m' : (m', v') \in X\}</math></p> <p><math>X = X \cup (m, v)</math></p> <p>return <math>m</math></p>
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**Table 7.** In game  $G_2$  the bad event type-0 may occur.

<p>Game <math>G_2</math></p> <p>Initialize:</p> <p><math>X = \emptyset ; K \leftarrow \{0, 1\}^n;</math></p> <p><math>IV = 0; m_0 = N</math></p> <p><math>x'_0 = IV \oplus m_0; x_0 = K</math></p> <p>— on <math>O_1</math>-query <math>(N, A, M)</math> —</p> <p><math>pad(A)    pad(M) = m_1    m_2    \dots    m_p</math></p> <p>for <math>i = 0</math> to <math>p - 1</math> do:</p> <p style="padding-left: 2em;"><math>y'_i    y_i = O_2(x'_i    x_i);</math></p> <p style="padding-left: 2em;"><math>x'_{i+1} = y'_i \oplus m_{i+1};</math></p> <p style="padding-left: 2em;"><math>x_{i+1} = y_i \oplus m_i</math></p> <p>end for</p> <p><math>y'_p    y_p = O_2(x'_p    x_p);</math></p> <p><math>x_{p+1} = y_p \oplus m_p</math></p> <p><math>C = x'_{i+1}    x'_{i+2}    \dots    x'_p</math></p> <p><math>T = x_{p+1} \oplus K</math></p> <p>Return <math>(C, T)</math></p> <p>— on <math>O_2</math>-query <math>m</math>—</p> <p>if <math>(m, v) \in X</math> then return <math>v</math></p> <p>else <math>v \leftarrow \{0, 1\}^{2n}</math></p> <p>if <math>\exists(m', v') \in X</math> S.T <math>v' = v</math> then <math>bad_0 \leftarrow true</math></p> <p><math>X = X \cup (m, v)</math></p> <p>return <math>v</math></p> <p>— on <math>O_3</math>-query <math>v</math>—//Inverse Query</p> <p>if <math>(m, v) \in X</math> then return <math>m</math></p> <p>else <math>m \leftarrow \{0, 1\}^{2n}</math></p> <p>if <math>\exists(m', v') \in X</math> S.T <math>m' = m</math> then <math>bad_0 \leftarrow true</math></p> <p><math>X = X \cup (m, v)</math></p> <p>return <math>m</math></p>
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**Table 8.** In game  $G_3$  oracle  $O_2$  is simulated inside oracle  $O_1$ .

<p>Game <math>G_3</math></p> <p>Initialize:</p> <p><math>X = \emptyset ; K \leftarrow \{0, 1\}^n;</math>  <math>IV = 0; m_0 = N</math>  <math>x'_0 = IV \oplus m_0; x_0 = K</math>  — on <math>O_1</math>-query <math>(N, A, M)</math> —  <math>pad(A) \parallel pad(M) = m_1 \parallel m_2 \parallel \dots \parallel m_p</math>  for <math>i = 0</math> to <math>p - 1</math> do:    if <math>(x'_i \parallel x_i, y'_i \parallel y_i) \in X</math> then return <math>y'_i \parallel y_i</math>    else <math>y'_i \parallel y_i \leftarrow \{0, 1\}^{2n}</math>    if <math>\exists((x'_i \parallel x_i)', (y'_i \parallel y_i)') \in X</math> S.T <math>(y'_i \parallel y_i)' = y'_i \parallel y_i</math> then <math>bad_0 \leftarrow true</math>    <math>X = X \cup (x'_i \parallel x_i, y'_i \parallel y_i)</math>    <math>x'_{i+1} = y'_i \oplus m_{i+1};</math>    <math>x_{i+1} = y_i \oplus m_i</math>  end for  if <math>(x'_p \parallel x_p, y'_p \parallel y_p) \in X</math> then return <math>y'_p \parallel y_p</math>  else <math>y'_p \parallel y_p \leftarrow \{0, 1\}^{2n}</math>  if <math>\exists((x'_p \parallel x_p)', (y'_p \parallel y_p)') \in X</math> S.T <math>(y'_p \parallel y_p)' = y'_p \parallel y_p</math> then <math>bad_0 \leftarrow true</math>  <math>X = X \cup (x'_p \parallel x_p, y'_p \parallel y_p)</math>  <math>x_{p+1} = y_p \oplus m_p</math>  <math>C = x'_{l+1} \parallel x'_{l+2} \parallel \dots \parallel x'_p</math>  <math>T = x_{p+1} \oplus K</math>  Return <math>(C, T)</math>  — on <math>O_2</math>-query <math>m</math>—  if <math>(m, v) \in X</math> then return <math>v</math>  else <math>v \leftarrow \{0, 1\}^{2n}</math>  if <math>\exists(m', v') \in X</math> S.T <math>v' = v</math> then <math>bad_0 \leftarrow true</math>  <math>X = X \cup (m, v)</math>  return <math>v</math>  — on <math>O_3</math>-query <math>v</math>—//Inverse Query  if <math>(m, v) \in X</math> then return <math>m</math>  else <math>m \leftarrow \{0, 1\}^{2n}</math>  if <math>\exists(m', v') \in X</math> S.T <math>m' = m</math> then <math>bad_0 \leftarrow true</math>  <math>X = X \cup (m, v)</math>  return <math>m</math></p>
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**Table 9.** In game  $G_4$  bad event type-1 may occur.

<p>Game <math>G_4</math></p> <p>Initialize:</p> <p><math>X_{O_1} = X_{O_2} = \emptyset</math>; <math>X = X_{O_1} \parallel X_{O_2}</math>; <math>K \leftarrow \{0, 1\}^n</math>;</p> <p><math>IV = 0</math>; <math>m_0 = N</math></p> <p><math>x'_0 = IV \oplus m_0</math>; <math>x_0 = K</math></p> <p>— on <math>O_1</math>-query (N,A,M) —</p> <p><math>pad(A) \parallel pad(M) = m_1 \parallel m_2 \parallel \dots \parallel m_p</math></p> <p>for <math>i = 0</math> to <math>p - 1</math> do:</p> <p>  if <math>(x'_i \parallel x_i, y'_i \parallel y_i) \in X_{O_1}</math> then return <math>y'_i \parallel y_i</math></p> <p>  else if <math>(x'_i \parallel x_i, y'_i \parallel y_i) \in X_{O_2}</math> then <math>bad_1 \leftarrow true</math></p> <p>  else <math>y'_i \parallel y_i \leftarrow \{0, 1\}^{2n}</math></p> <p>  if <math>\exists((x'_i \parallel x_i)', (y'_i \parallel y_i)') \in X</math> S.T <math>(y'_i \parallel y_i)' = y'_i \parallel y_i</math> then <math>bad_0 \leftarrow true</math></p> <p>  <math>X_{O_1} = X_{O_1} \cup (x'_i \parallel x_i, y'_i \parallel y_i)</math></p> <p>  <math>x'_{i+1} = y'_i \oplus m_{i+1}</math>;</p> <p>  <math>x_{i+1} = y_i \oplus m_i</math></p> <p>end for</p> <p>if <math>(x'_p \parallel x_p, y'_p \parallel y_p) \in X_{O_1}</math> then return <math>y'_p \parallel y_p</math></p> <p>else if <math>(x'_p \parallel x_p, y'_p \parallel y_p) \in X_{O_2}</math> then <math>bad_1 \leftarrow true</math></p> <p>else <math>y'_p \parallel y_p \leftarrow \{0, 1\}^{2n}</math></p> <p>if <math>\exists((x'_p \parallel x_p)', (y'_p \parallel y_p)') \in X</math> S.T <math>(y'_p \parallel y_p)' = y'_p \parallel y_p</math> then <math>bad_0 \leftarrow true</math></p> <p><math>X_{O_1} = X_{O_1} \cup (x'_p \parallel x_p, y'_p \parallel y_p)</math></p> <p><math>x_{p+1} = y_p \oplus m_p</math></p> <p><math>C = x'_{l+1} \parallel x'_{l+2} \parallel \dots \parallel x'_p</math></p> <p><math>T = x_{p+1} \oplus K</math></p> <p>Return <math>(C, T)</math></p> <p>— on <math>O_2</math>-query m—</p> <p>if <math>(m, v) \in X</math> then return <math>v</math></p> <p>else <math>v \leftarrow \{0, 1\}^{2n}</math></p> <p>if <math>\exists(m', v') \in X</math> S.T <math>v' = v</math> then <math>bad_0 \leftarrow true</math></p> <p><math>X_{O_2} = X_{O_2} \cup (m, v)</math></p> <p>return <math>v</math></p> <p>— on <math>O_3</math>-query v—//Inverse Query</p> <p>if <math>(m, v) \in X</math> then return <math>m</math></p> <p>else <math>m \leftarrow \{0, 1\}^{2n}</math></p> <p>if <math>\exists(m', v') \in X</math> S.T <math>m' = m</math> then <math>bad_0 \leftarrow true</math></p> <p><math>X_{O_2} = X_{O_2} \cup (m, v)</math></p> <p>return <math>m</math></p>
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**Table 10.** In  $G_5$ , bad event type-2 may occur.

<p>Game <math>G_5</math></p> <p>Initialize:</p> <p><math>X_{O_1} = X_{O_2} = W_{O_1} = W_{O_2} = Y_{O_1} = Y_{O_2} = \emptyset</math>; <math>X = X_{O_1} \parallel X_{O_2}</math>; <math>W = W_{O_1} \parallel W_{O_2}</math>; <math>Y = Y_{O_1} \parallel Y_{O_2}</math>;  <math>K \leftarrow \{0, 1\}^n</math>;  <math>IV = 0</math>; <math>m_0 = N</math>  <math>x'_0 = IV \oplus m_0</math>; <math>x_0 = K</math>  — on <math>O_1</math>-query (N,A,M) —  <math>pad(A) \parallel pad(M) = m_1 \parallel m_2 \parallel \dots \parallel m_p</math>  for <math>i = 0</math> to <math>p - 1</math> do:    if <math>(x'_i \parallel x_i, y'_i \parallel y_i) \in X_{O_1}</math> then return <math>y'_i \parallel y_i</math>    else if <math>(x'_i \parallel x_i, y'_i \parallel y_i) \in X_{O_2}</math> then <math>bad_1 \leftarrow true</math>    else <math>y'_i \parallel y_i \leftarrow \{0, 1\}^{2n}</math>    if <math>\exists((x'_i \parallel x_i)', (y'_i \parallel y_i)') \in X</math> S.T <math>(y'_i \parallel y_i)' = y'_i \parallel y_i</math> then <math>bad_0 \leftarrow true</math>    <math>X_{O_1} = X_{O_1} \cup (x'_i \parallel x_i, y'_i \parallel y_i)</math>    <math>W_{O_1} = W_{O_1} \cup (x'_i \parallel x_i)</math>, <math>Y_{O_1} = Y_{O_1} \cup (y'_i \parallel y_i)</math>    <math>x'_{i+1} = y'_i \oplus m_{i+1}</math>;    <math>x_{i+1} = y_i \oplus m_i</math>  end for  if <math>(x'_p \parallel x_p, y'_p \parallel y_p) \in X_{O_1}</math> then return <math>y'_p \parallel y_p</math>  else if <math>(x'_p \parallel x_p, y'_p \parallel y_p) \in X_{O_2}</math> then <math>bad_1 \leftarrow true</math>  else <math>y'_p \parallel y_p \leftarrow \{0, 1\}^{2n}</math>  if <math>\exists((x'_p \parallel x_p)', (y'_p \parallel y_p)') \in X</math> S.T <math>(y'_p \parallel y_p)' = y'_p \parallel y_p</math> then <math>bad_0 \leftarrow true</math>  <math>X_{O_1} = X_{O_1} \cup (x'_p \parallel x_p, y'_p \parallel y_p)</math>  <math>W_{O_1} = W_{O_1} \cup (x'_p \parallel x_p)</math>, <math>Y_{O_1} = Y_{O_1} \cup (y'_p \parallel y_p)</math>  <math>x_{p+1} = y_p \oplus m_p</math>  <math>C = x'_{l+1} \parallel x'_{l+2} \parallel \dots \parallel x'_p</math>  <math>T = x_{p+1} \oplus K</math>  Return <math>(C, T)</math>  — on <math>O_2</math>-query m—  if <math>(m, v) \in X_{O_2}</math> then return <math>v</math>  if <math>m \in W_{O_1}</math> then <math>bad_2 \leftarrow true</math>  else <math>v \leftarrow \{0, 1\}^{2n}</math>  if <math>\exists(m', v') \in X</math> S.T <math>v' = v</math> then <math>bad_1 \leftarrow true</math>  <math>X_{O_2} = X_{O_2} \cup (m, v)</math>  return <math>v</math>  — on <math>O_3</math>-query v—//Inverse Query  if <math>(m, v) \in X_{O_2}</math> then return <math>m</math>  if <math>v \in Y_{O_1}</math> then <math>bad_2 \leftarrow true</math>  else <math>m \leftarrow \{0, 1\}^{2n}</math>  if <math>\exists(m', v') \in X</math> S.T <math>m' = m</math> then <math>bad_1 \leftarrow true</math>  <math>X_{O_2} = X_{O_2} \cup (m, v)</math>  return <math>m</math></p>
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**Table 11.** In game  $G_6$   $O_1$  does not keep the history of intermediate queries.

<p>Game <math>G_6</math></p> <p>Initialize:</p> <p><math>X = \emptyset ; K \leftarrow \{0, 1\}^n;</math></p> <p><math>IV = 0; m_0 = N</math></p> <p><math>x'_0 = IV \oplus m_0; x_0 = K</math></p> <p>— on <math>O_1</math>-query <math>(N, A, M)</math> —</p> <p><math>pad(A) \parallel pad(M) = m_1 \parallel m_2 \parallel \dots \parallel m_p</math></p> <p>for <math>i = 0</math> to <math>p - 1</math> do:</p> <p style="padding-left: 2em;"><math>y'_i \parallel y_i \leftarrow \{0, 1\}^{2n};</math></p> <p style="padding-left: 2em;"><math>x'_{i+1} = y'_i \oplus m_{i+1};</math></p> <p style="padding-left: 2em;"><math>x_{i+1} = y_i \oplus m_i</math></p> <p>end for</p> <p><math>y'_p \parallel y_p \leftarrow \{0, 1\}^{2n};</math></p> <p><math>x_{p+1} = y_p \oplus m_p</math></p> <p><math>C = x'_{l+1} \parallel x'_{l+2} \parallel \dots \parallel x'_p</math></p> <p><math>T = x_{p+1} \oplus K</math></p> <p>Return <math>(C, T)</math></p> <p>— on <math>O_2</math>-query <math>m</math>—</p> <p>if <math>(m, v) \in X</math> then return <math>v</math></p> <p>else <math>v \leftarrow \{0, 1\}^{2n}</math></p> <p><math>X = X \cup (m, v)</math></p> <p>return <math>v</math></p> <p>— on <math>O_3</math>-query <math>v</math>—//Inverse Query</p> <p>if <math>(m, v) \in X</math> then return <math>m</math></p> <p>else <math>m \leftarrow \{0, 1\}^{2n}</math></p> <p><math>X = X \cup (m, v)</math></p> <p>return <math>m</math></p>
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**Table 12.**  $G_7$  (boxes removed) and  $G_8$  (boxes included). In game  $G_7$ , blocks of ciphertext and tag value are generated randomly. In game  $G_8$  there is a switch from random permutation to random function.

Games $G_7$ and	$G_8$
Initialize:	
$X = \emptyset$	
— on $O_1$ -query $(N, A, M)$ —	
$pad(A)    pad(M) = m_1    m_2    \dots    m_p$	
for $i = 1$ to $p$ do:	
$x'_i \leftarrow \{0, 1\}^n$	
end for	
$T \leftarrow \{0, 1\}^n$	
$C = x'_{l+1}    x'_{l+2}    \dots    x'_p$	
Return $(C, T)$	
— on $O_2$ -query $m$ —	
if $(m, v) \in X$ then return $v$	
else $v \leftarrow \{0, 1\}^{2n}$	
	if $\exists(m', v') \in X$ S.T $v' = v$ then
	$v \leftarrow \{0, 1\}^{2n} \setminus \{v' : (m', v') \in X\}$
$X = X \cup (m, v)$	
return $v$	
— on $O_3$ -query $v$ —//Inverse Query	
if $(m, v) \in X$ then return $m$	
else $m \leftarrow \{0, 1\}^{2n}$	
	if $\exists(m', v') \in X$ S.T $m' = m$ then
	$m \leftarrow \{0, 1\}^{2n} \setminus \{m' : (m', v') \in X\}$
$X = X \cup (m, v)$	
return $m$	

**Table 13.** Game  $G_9$  perfectly simulates an ideal AE, *i.e.*,  $RO, \pi$  and  $\pi^{-1}$ .

Game $G_9$
Initialize: $X = \emptyset$ — on $O_1$ -query $(N, A, M)$ — $pad(A)    pad(M) = m_1    m_2    \dots    m_p$ $C \leftarrow \{0, 1\}^{ Pad(M) }$ $T \leftarrow \{0, 1\}^n$ Return $(C, T)$ — on $O_2$ -query $m$ — if $(m, v) \in X$ then return $v$ else $v \leftarrow \{0, 1\}^{2n}$ if $\exists(m', v') \in X$ S.T $v' = v$ then $v \leftarrow \{0, 1\}^{2n} \setminus \{v' : (m', v') \in X\}$ $X = X \cup (m, v)$ return $v$ — on $O_3$ -query $v$ —//Inverse Query if $(m, v) \in X$ then return $m$ else $m \leftarrow \{0, 1\}^{2n}$ if $\exists(m', v') \in X$ S.T $m' = m$ then $m \leftarrow \{0, 1\}^{2n} \setminus \{m' : (m', v') \in X\}$ $X = X \cup (m, v)$ return $m$