

157

Cours/Lecture Series

1985-1986 ACADEMIC TRAINING PROGRAMME

SPEAKER : F. EISELE / DESY
TITLE : Lepton-hadron scattering – past and future
DATES : 16, 17 and 18 October
TIME : 11.00 to 12.00 hours
PLACE : Auditorium

ABSTRACT

Lepton-hadron scattering experiments have played a key role for the development and verification of the standard model. The lectures will summarize the main ideas and experimental results concerning the substructure of the nucleon, the structure of the weak currents and the production of new particles. In a second step it will be discussed how experiments at e-p colliders like HERA can lead beyond the standard model by studying the substructure of quarks and leptons, the existence of new kinds of interactions and/or new particles.

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lepton-nucleon scattering: past + future

electrons, muons

neutrinos

① fundamental interactions: electroweak

electroweak theory

QED refused to be violated!

62 2V
73 neutral current
GIM

hist

$\frac{eD}{Mc} (78)$ } el. mag. weak interaction

structure of neutral + charged weak currents

② structure of hadronic matter: quarks

elastic eA-scattering: nuclear + nucleon charge distributions

quasielastic vector + axial form factors

DIS: Partons SLACMIT 69

Partons = quarks; early expt. (GEM, CITF)

flavour decomposition

$F_2^{MN}, F_2^{MP}, F_2^{MN}$

$x_{UV}, x_{dV}, \bar{u}, \bar{d}, \bar{s}$
 $q_L(x)$

82 ERIC-Effect; nuclear dependence

③ fragmentation
 D_u^+, D_u^-
baryon fragmentation (CR)

$D_u^{++}, D_u^+, D_d^+, D_d^-$ } very short

④ QCD tests: (short)

big historical impact!

⑤ future: $e-p$ -colliders: HERA ≈ 1990

↔ true electroweak machine,
 concludes strength of charged lepton physics
 and $\nu, \bar{\nu}$ -physics

today:

a) some history: ① establishment of QPM
 ② the way to electroweak theory
 sorting out the standard model

b) tests of electroweak theory in $e-N$
 NC + CC
 present status

c) flavour decomposition of the nucleon:
 → determination of parton distributions
 - something on QCD-tests (little)

d) $e-p$ -physics:
 - physics interest
 - HERA
 - detectors for HERA

not covered due to lack of time: + (interest?)

- ν -oscillations, reactor ν -physics

- beam dump results

- final states in DIS ← that's a pity (little)

⑦ charged lepton nucleon scattering:

|| best method to measure nuclear and nucleon structure
|| due to pointlike probe with known interaction!

phases: ① e^- -scattering on nuclei

$E_e \lesssim 600 \text{ MeV}$

mainly: charge distributions of nuclei
and the proton
from elastic scattering

(~50-62)

② e^-p, e^-D scattering at high energies

CEA, DESY ; $E_e \leq 6 \text{ GeV}$ early 60's

SLAC $E_e \leq 20 \text{ GeV}$ mid 60's

CEA, DESY : emphasis on resonance production
hadronic final states

SLAC: inclusive measurements

elastic \rightarrow proton + neutron form factors

inelastic \rightarrow Partons! (68)

③ muon scattering experiments

FNAL, CERN

'QCD' } \rightarrow first evidence for scaling violations
at high Q^2 (FNAL, Chang et al.)

QFT } \rightarrow precise measurements on H_2, D_2, Fe, C over
large Q^2 -range

\Rightarrow EMC-effect : quark distributions depend on
nucleon surrounding!

④ future: $e-p$ storage rings

HERA ≥ 1990 : electroweak machine
combos: $(e, \mu, \nu, \bar{\nu})$ -experiments

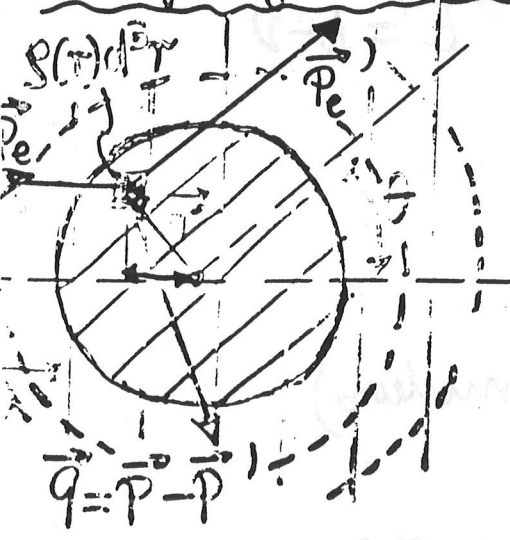
⑦ differential cross-sections + form factors (reminder) 5

| target | cross-section for pointlike target | extended target |
|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| nucleus (M) spin 0 'elastic' | $\left(\frac{d\sigma}{d\Omega}\right)_{\text{point}} = \frac{(2mZE^2)^2}{q^4} \cdot \frac{\cos^2\theta/2}{1 + \frac{2E}{M}}$ <p><small>Rutherford</small> <small>recoil</small></p> | $\left(\frac{d\sigma}{d\Omega}\right) = \left(\frac{d\sigma}{d\Omega}\right)_{\text{point}} \cdot F(q^2) $ |
| nucleon (M) spin 1/2 'elastic' | $\left(\frac{d\sigma}{d\Omega}\right)_{\text{Dirac}} = \left(\frac{d\sigma}{d\Omega}\right)_{\text{Mott}} \cdot \left[1 + \frac{q^2}{2M^2} \tan^2\frac{\theta}{2}\right]$ <p><small>nucleon mag. moment</small></p> | $\left(\frac{d\sigma}{d\Omega}\right)_{\text{point}} \cdot \left[F_1^2(q^2) \cdot 1 + F_2^2(q^2) \cdot \tan^2\frac{\theta}{2} \right]$ |
| nucleon (M) Spin 1/2 inelastic: energy + momentum transfer independent! | | $\frac{d^2\sigma}{d\Omega dE'} = \left(\frac{d\sigma}{d\Omega}\right)_{\text{point}} \cdot \left[W_1^2(x, q^2) \cdot 1 + W_2^2(x, q^2) \cdot \tan^2\frac{\theta}{2} \right]$ |

hadronic structure: measure deviation from 'pointlike' cross-section

Form factors $F_i(q^2)$ contain all information!

example of non-relativistic scattering: spatial resolution and q^2



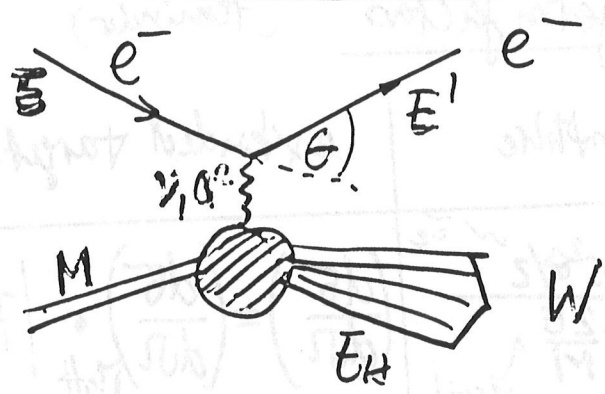
$$F(q^2) = \int_0^R e^{i\vec{q}\cdot\vec{r}/\hbar} \rho(r) d^3r$$

$$\approx 1 - 1/6 \frac{q^2 \langle r^2 \rangle}{\hbar^2} + \dots$$

$$\frac{\vec{q}\cdot\vec{r}}{\hbar} = 2\pi \cdot \frac{r \cdot \sin\theta}{\lambda} : \text{phase difference}$$

$$\Delta r \approx \frac{0.2 \text{ GeV} \cdot \text{fm}}{q}$$

$\frac{q \cdot r}{0.2 \text{ GeV} \cdot \text{fm}} \ll 1 \iff$ target appears pointlike!



$$Q^2 = 4EE' \sin^2 \theta / 2$$

$$\nu = E - E' = E_H - M$$

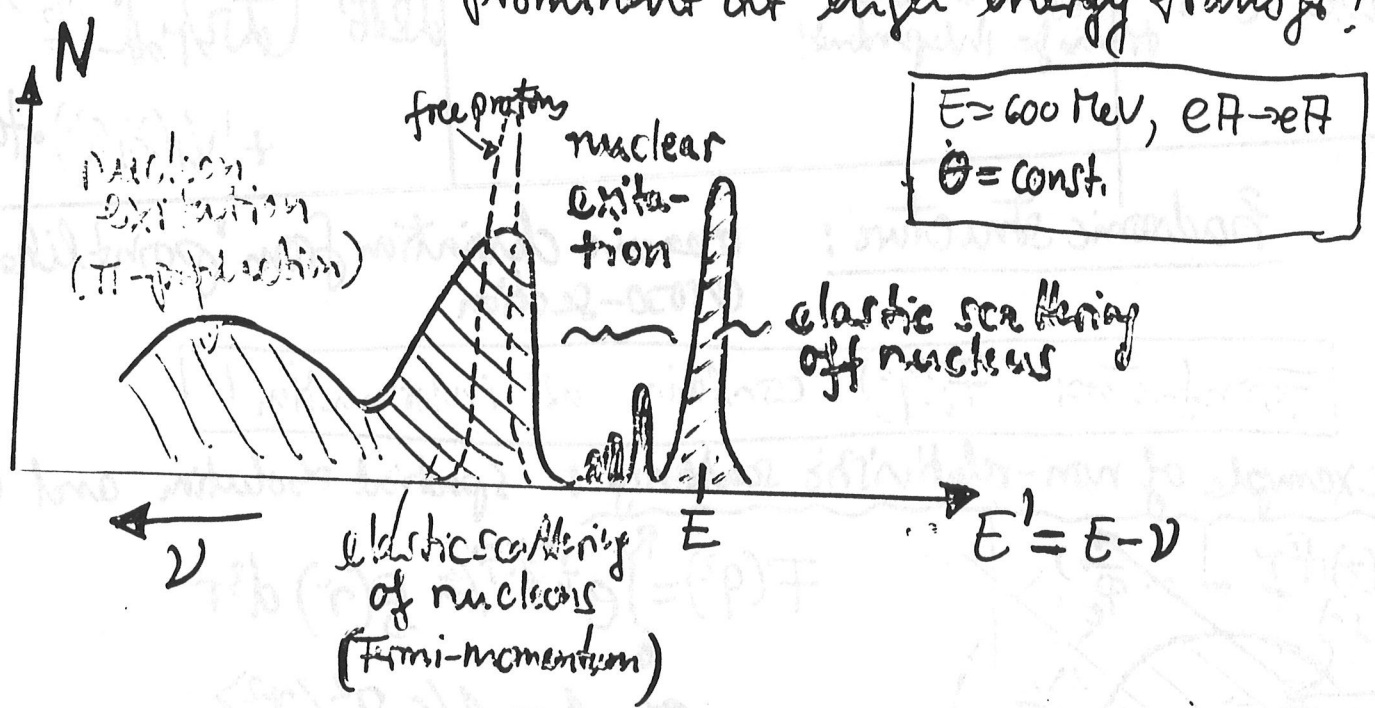
$$Q^2 = 2m\nu \cdot X$$

$$X = 1 - \frac{(W^2 - M^2)}{2m\nu}$$

$Q^2 = 2m\nu$: elastic \rightarrow
 $X = 1$

Q^2 and ν not independent for specific final state of fixed mass W ! \rightarrow (described by FF: $F(Q^2)$)

elastic + inelastic measurements: elastic scattering is not prominent at high energy transfers!



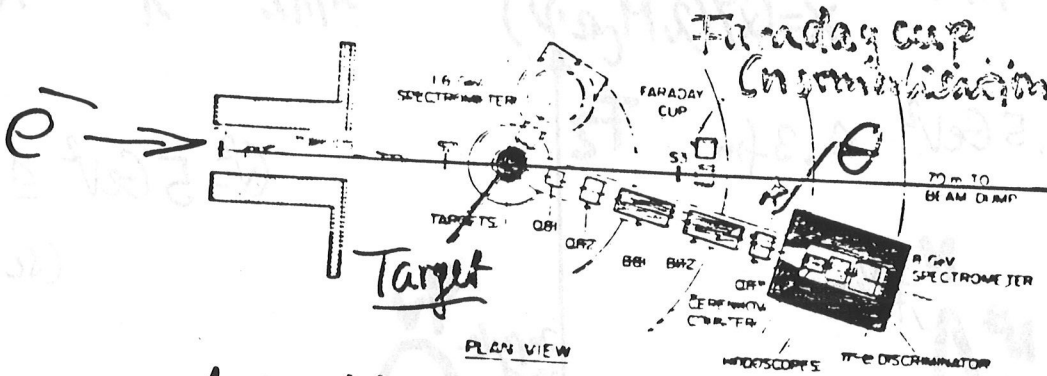
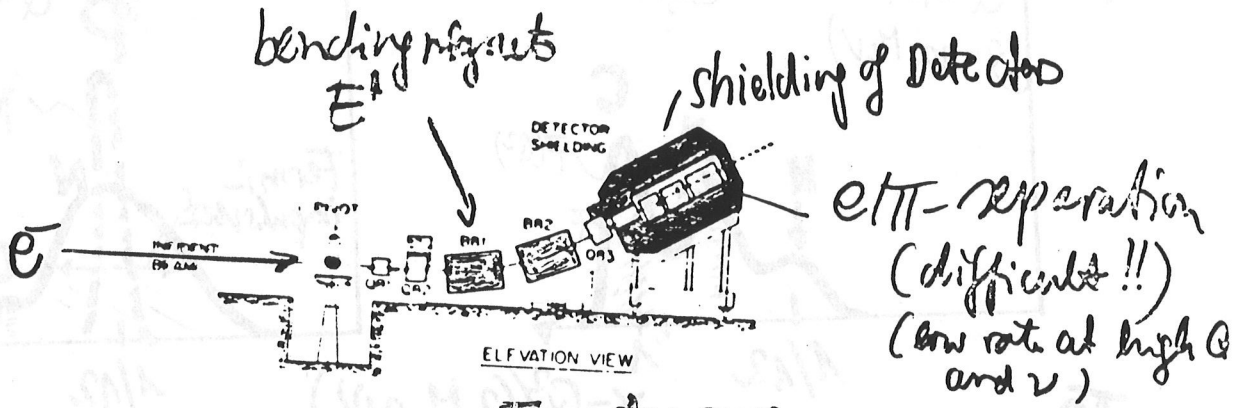
evidence for hadronic structure:

- ① nuclear excitation
- ② scattering from constituents (nucleons)
- ③ excitation of nucleons

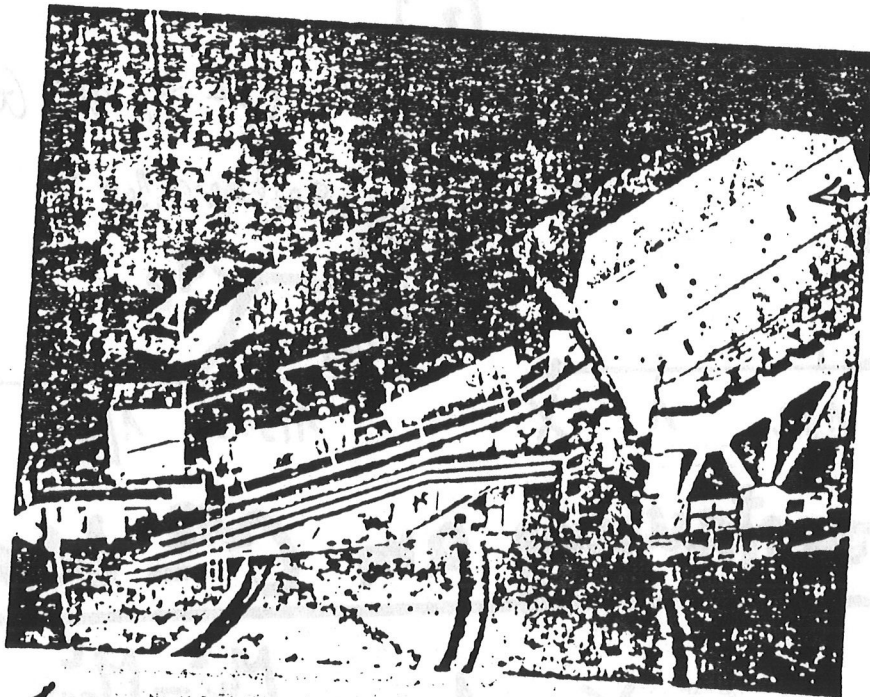
|| + Q^2 -dependence of form factors resp. structure functions

SLAC : 8 GeV-Spectrometer

(6) \rightarrow
7

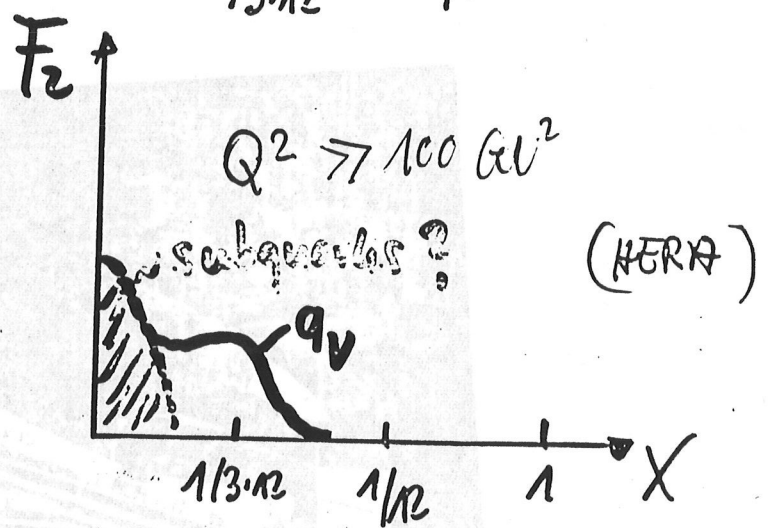
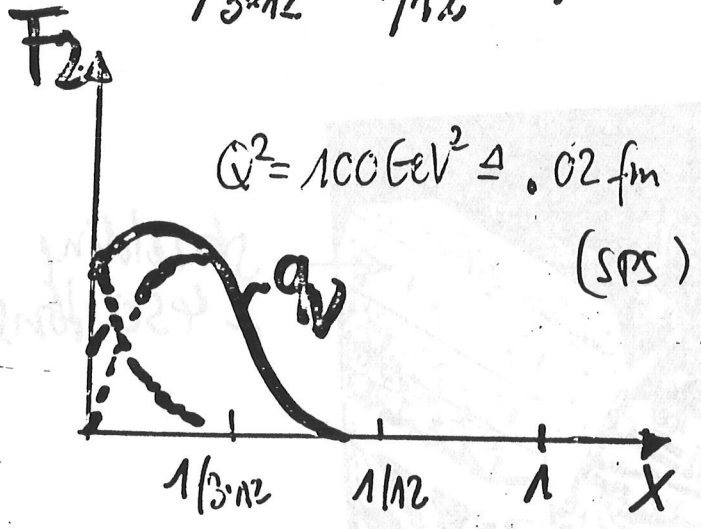
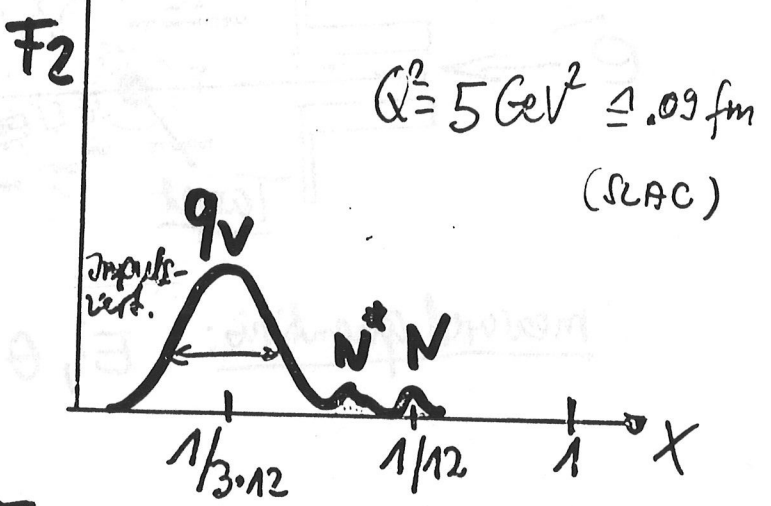
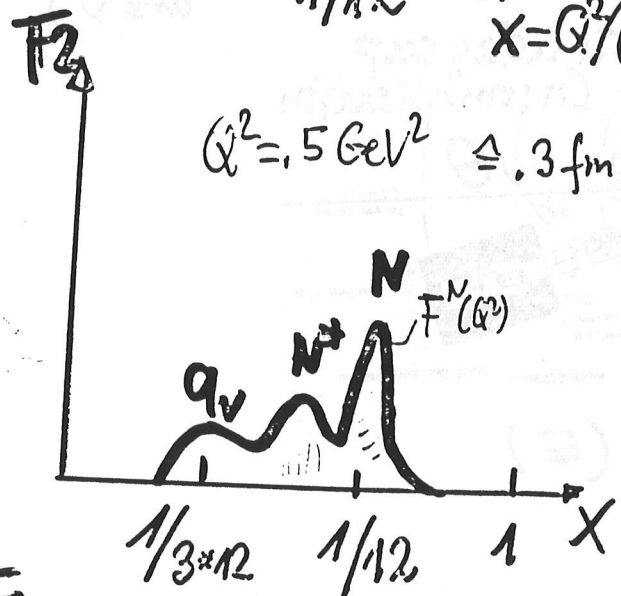
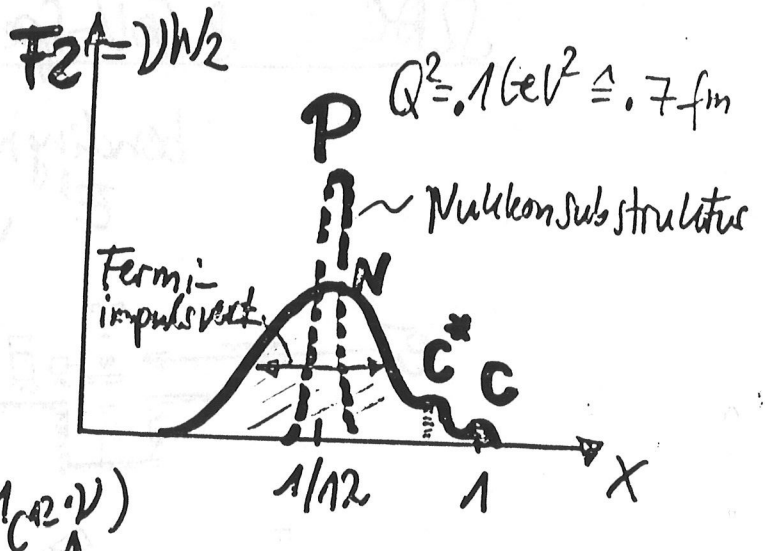
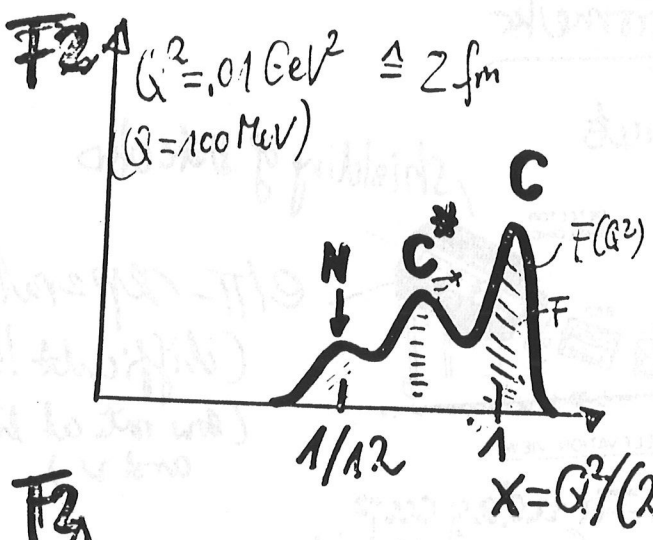


measured quantities: E' , θ , (E)



shielding
 ~ 450 tons

~ 40 m



inkl. Elektronstrahlung am C^{12} -Kern

$$X = 1 - \frac{M_X^2 - M_{C^{12}}^2}{2M_{C^{12}}(E - E')} = \frac{Q^2}{2M_{C^{12}}\nu}$$

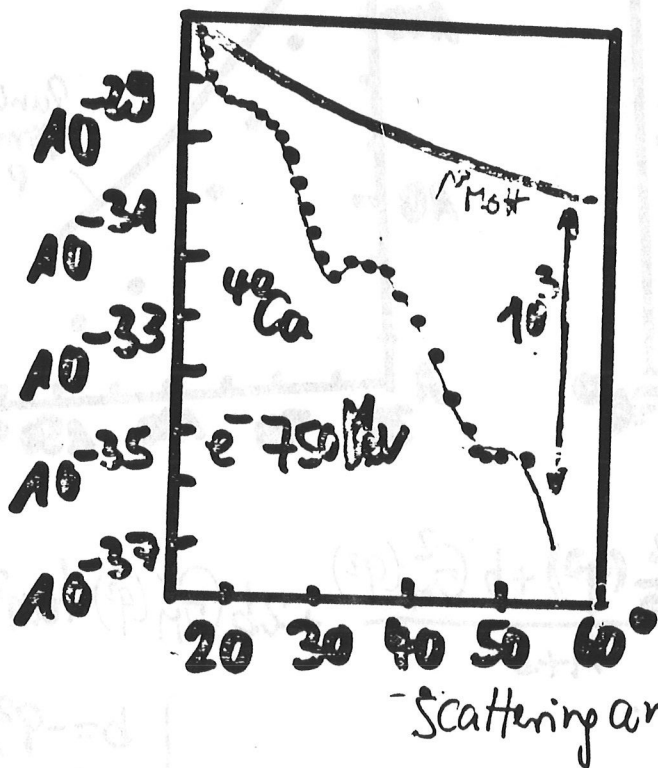
$$\frac{d^2\sigma}{d\Omega d\theta'} = \left(\frac{d\sigma}{d\Omega}\right)_{\text{Mott}} \left[\frac{1}{\nu} F_2(x, Q^2) + 2 \tan^2 \frac{\theta}{2} \frac{x F_1(x, Q^2)}{M} \right]$$

I elastic scattering on nuclei:

→ 'charge distribution' in the nucleus

(e.g. Hofstadter et al. Stanford...)

$d\sigma/d\Omega$ [cm²/sr]



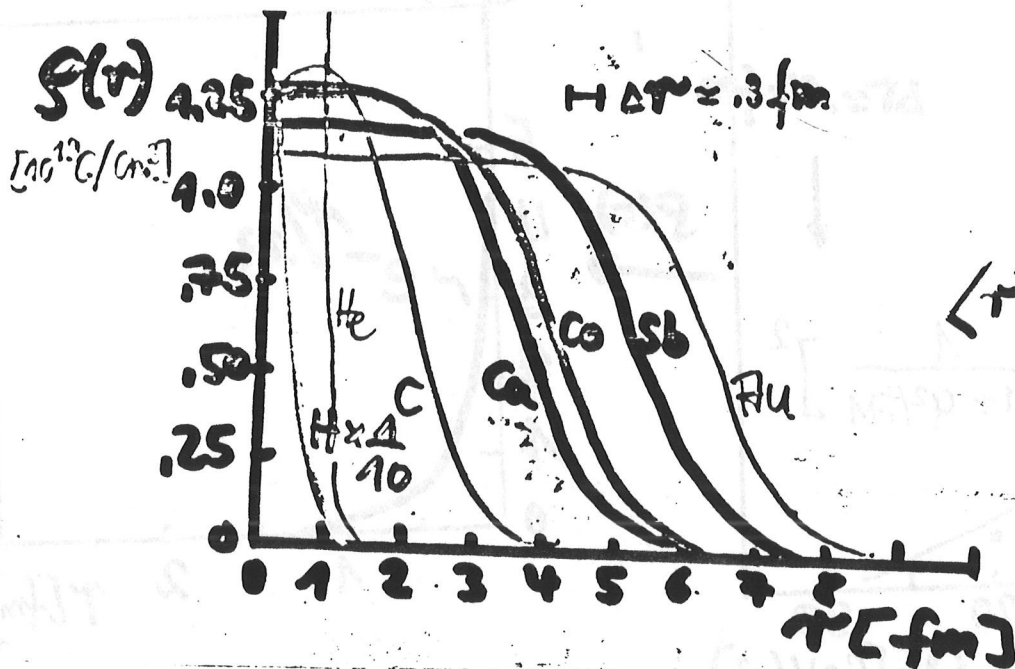
diffraction pattern:

position of minima: given by $\langle r \rangle$ (radius)

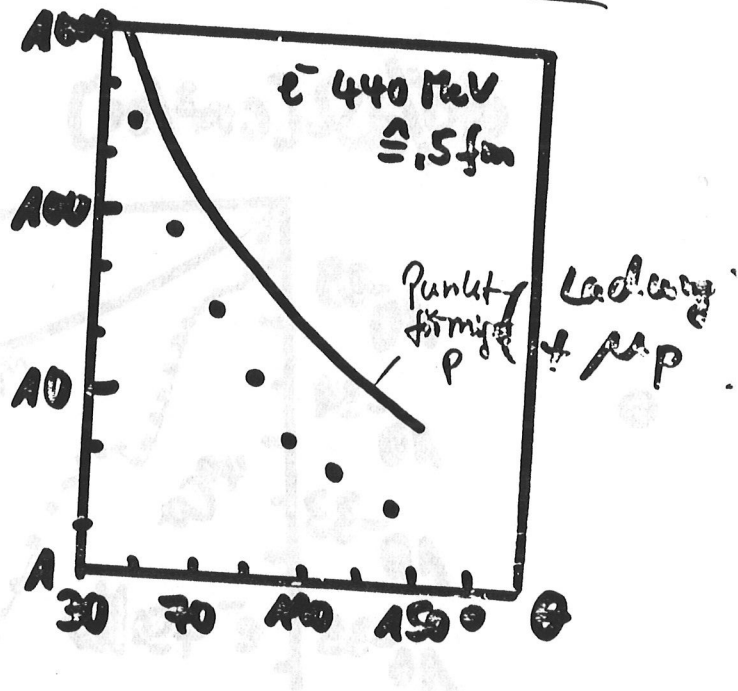
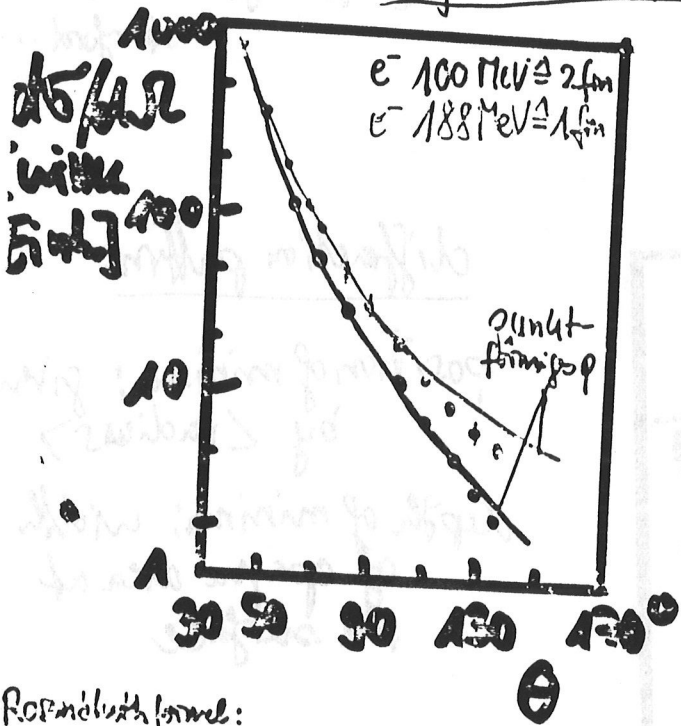
depth of minima: width of opaque area at the surface

$$\frac{d\sigma}{d\Omega} = \left(\frac{d\sigma}{d\Omega}\right)_{\text{Mott}} \cdot |F(qz)|^2$$

→ $S(r)$
(Fourier transform)



II free nucleons: charge + magnetic moment



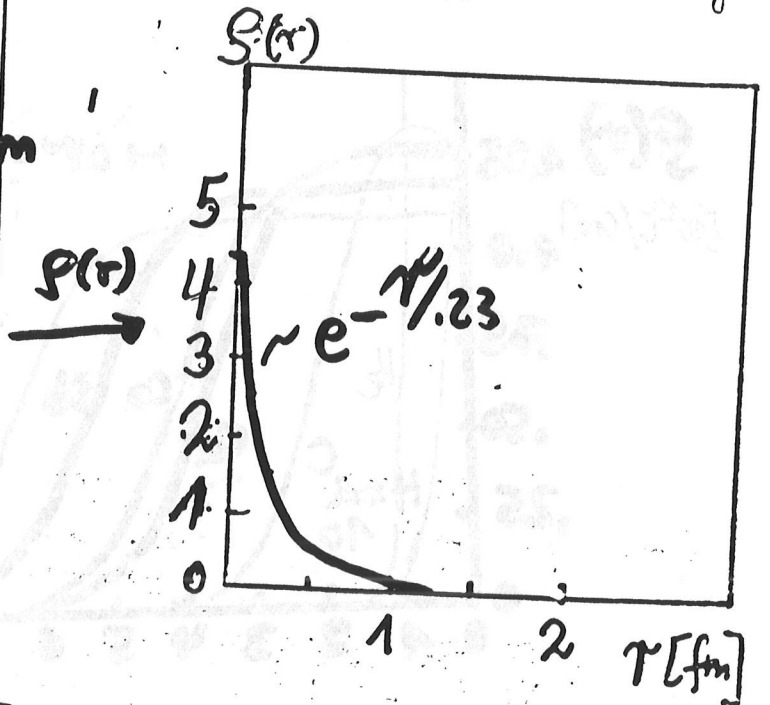
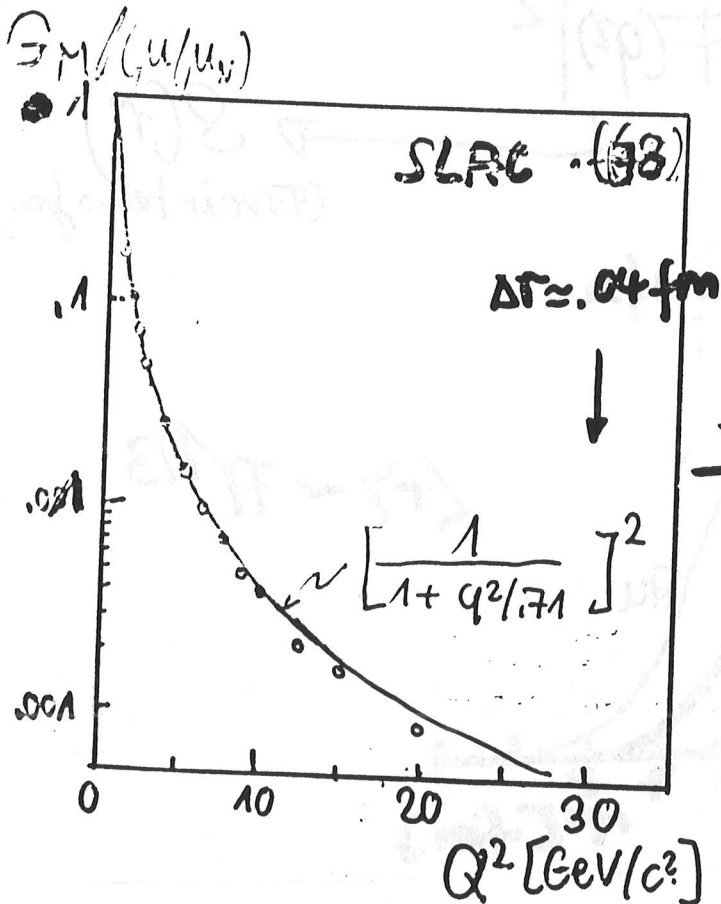
Rosenbluth formel:

$$\frac{d\sigma}{d\Omega} = \left(\frac{d\sigma}{d\Omega}\right)_{\text{Mott}} \left[\frac{G_E^2(q^2) + b G_M^2(q^2)}{1+b} + 2b G_M^2(q^2) \tan^2 \theta/2 \right]$$

$$b = -q^2 / 4m^2 c^2$$

$$G_E(0) = 1 \text{ "el. FF"}$$

$$G_M(0) = 2.79 \text{ "magn. FF"}$$



Partons appear on the scene

'decade of
the
leptons'

l-N scattering is best probe
for seeing the quark and
antiquark substructure of the
nucleon

also true for
next generation
of
accelerators

① practically all scattering
process occur at the
parton level
(in contrast to hadronic collisions
not involving leptons!)

② incident particle is "pointlike"
and has well known inter-
action

SLAC-MIT e-p scattering

• 20 GeV Linac

→ large kinematic range above resonance region

• Spectrometers specialised on inclusive measurements
"measure only scattered electron"
(partially motivated + enforced by short on target)

inelastic measurements started summer 1967 (elastic scattering was already done)
first results at Vienna meeting (not in conference proceedings)

inelastic scattering above resonance region shows much weaker Q^2 -dependence than elastic scattering (this was completely unexpected)

68

Summary by Pangolsky: "Theoretical focus on the possibility + evidence on the behaviour of structure in the nucleon"

67: Bjorken: scaling for $Q^2, \nu \rightarrow \infty$

68: Feynman: Partons
Bjorken, Paschos:

69 Lepton-photon symposium (L)

all essential features are there:

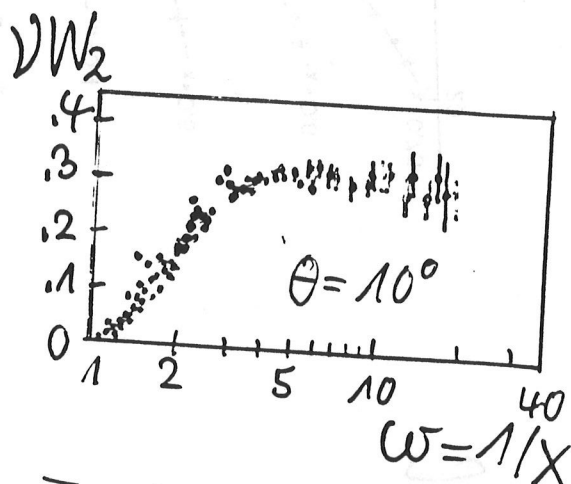
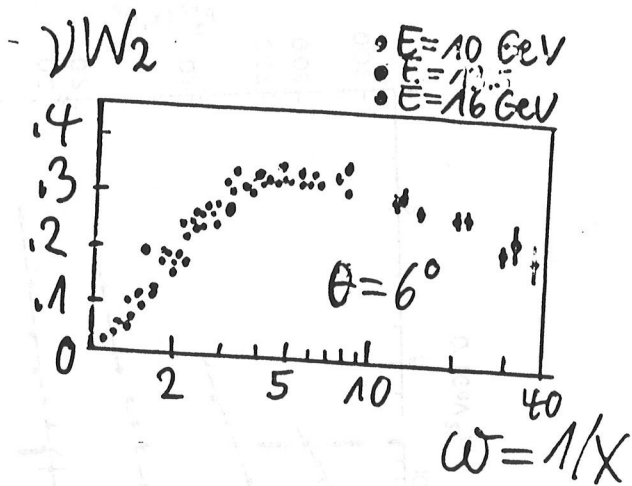
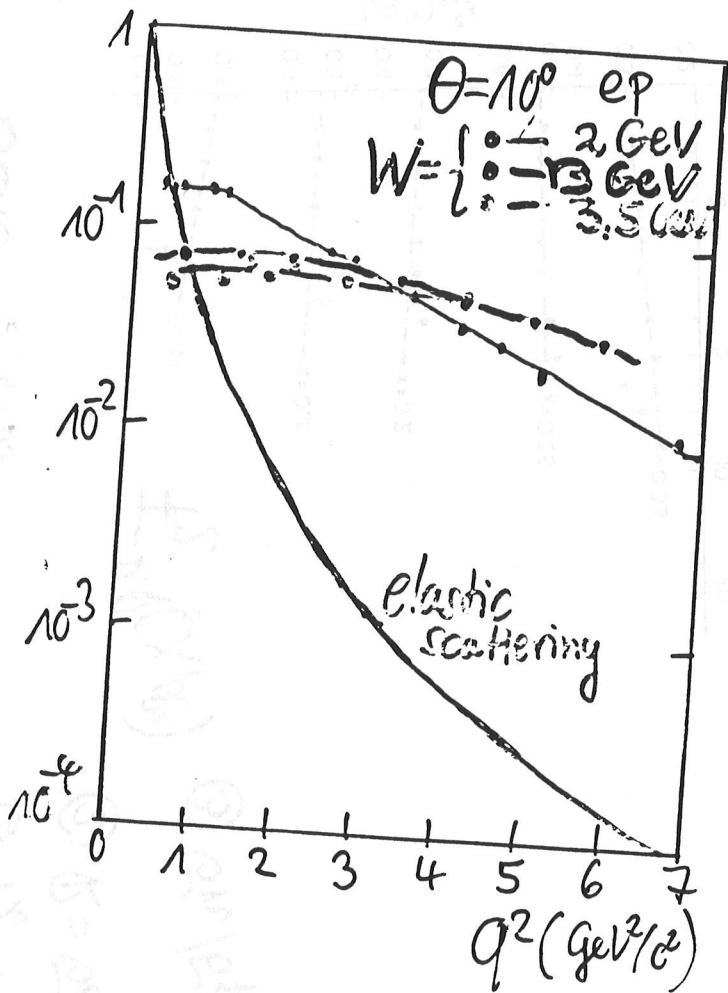
- ① very weak Q^2 -dependence for $W > 2$
Compared to elastic scattering ← polarized scattering
- ② $\sigma_L / \sigma_T \ll 1$ (SLAC-MIT + DESY) (spin 1/2)
- ③ νW_2 scales in $W=1/X$ for $W > 2$ GeV
to $\approx 10\%$ accuracy
- ④ $\int_1^\infty \frac{d\nu}{\nu^2} (\nu W_2) = \int_0^1 F_2^p dx = 0.16 \pm 0.004$ (Murray, West)

Conclusion:

"both 'diffraction' models (vector meson dominance) and 'Parton' models fit the data"

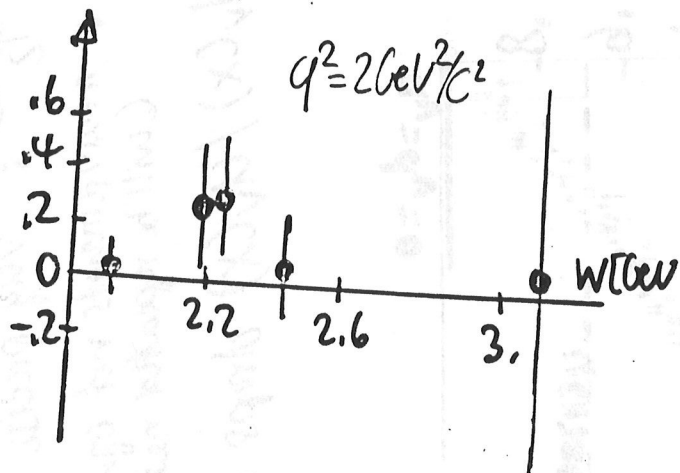
$$\sigma/\sigma_{Mott} = W_2(q^2, \nu) + 2 + g^2 \theta/2 W_1(q^2, \nu)$$

16. Taylor

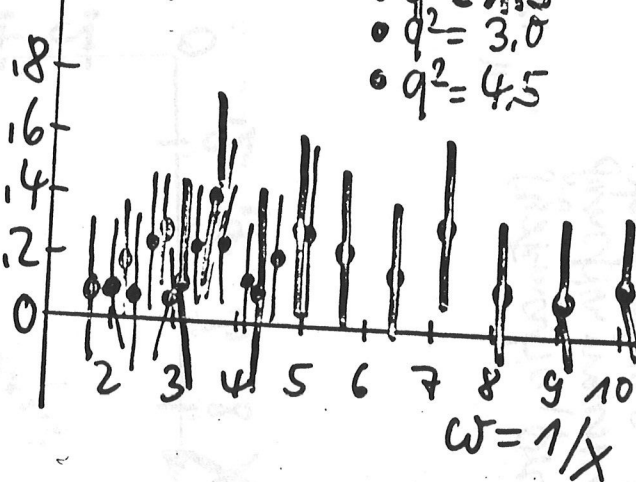


Energies: 7, 11, 13.5, 15.2, 17.7 GeV
 SLAC-MIT (prel.)

$$R = \sigma_L/\sigma_T \quad (\text{DESY + SLAC})$$



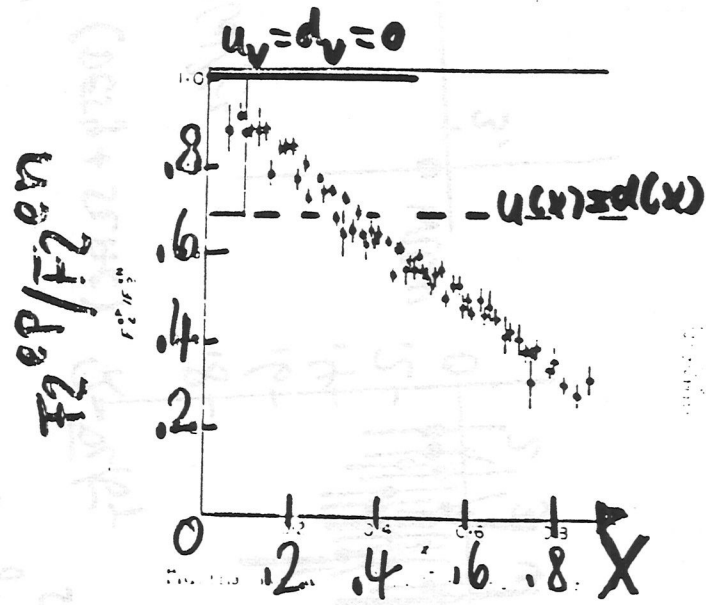
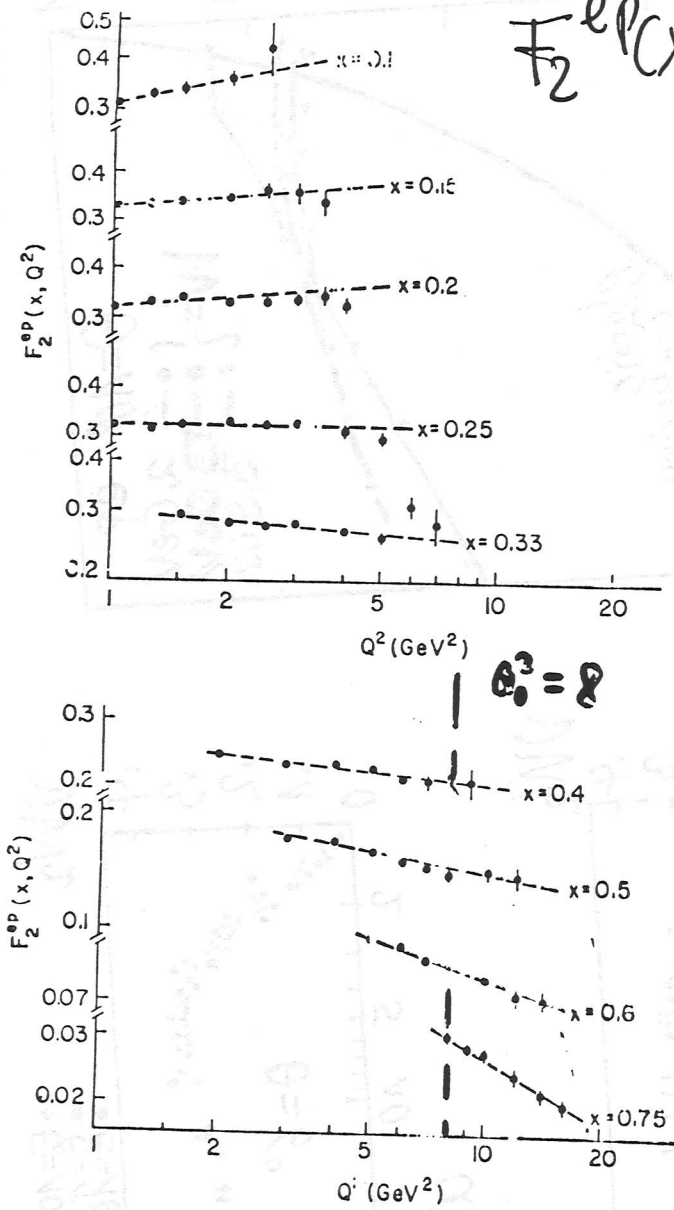
$$R = \sigma_L/\sigma_T$$



SLAC III (1971)

- ① $t_2^{-1}, t_2^{-2}, t_2^{-3}$: very precise data, α too low to show scaling violation
- ② $R = \sigma_L / \sigma_T$: measurements not optimized for R (will be repeated next year!!)
- ③ σ_n / σ_p : $d_v(x) / u_v(x)$ drops with x!

$F_2^e p(x, Q^2)$



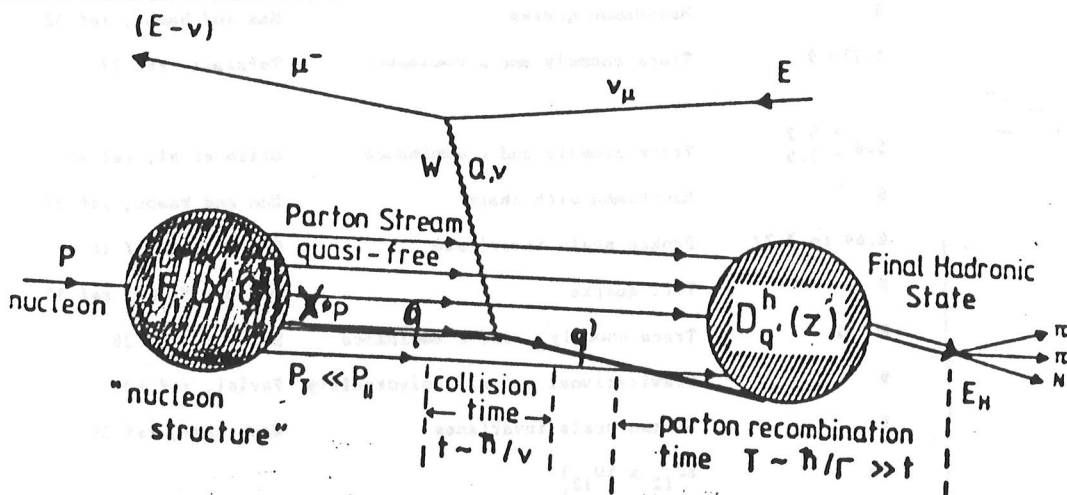
J. Steinberger: " .. systematic, precise structure function measurements, which stand today as landmarks of reliable experimentation "

Further important steps to establish QPM

- Partons = Quarks
- ① $\sigma_T(\nu N)$ rises linearly with σ_N } $\sim E^{-4}$
 - $\int_0^1 F_2^{\nu N} dx / \int_0^1 F_2^{eN} dx = \frac{18}{5}$; charge $1/3$ } 72
 - ③ number of valence quarks = 3 ! { GGM } 74
sea quarks (small) GGM
 - ④ jet structure in e^+e^- ; Spear (MARRI) 75
CHARM (R: 1974) established 75

but: near catastrophe (London 74!)

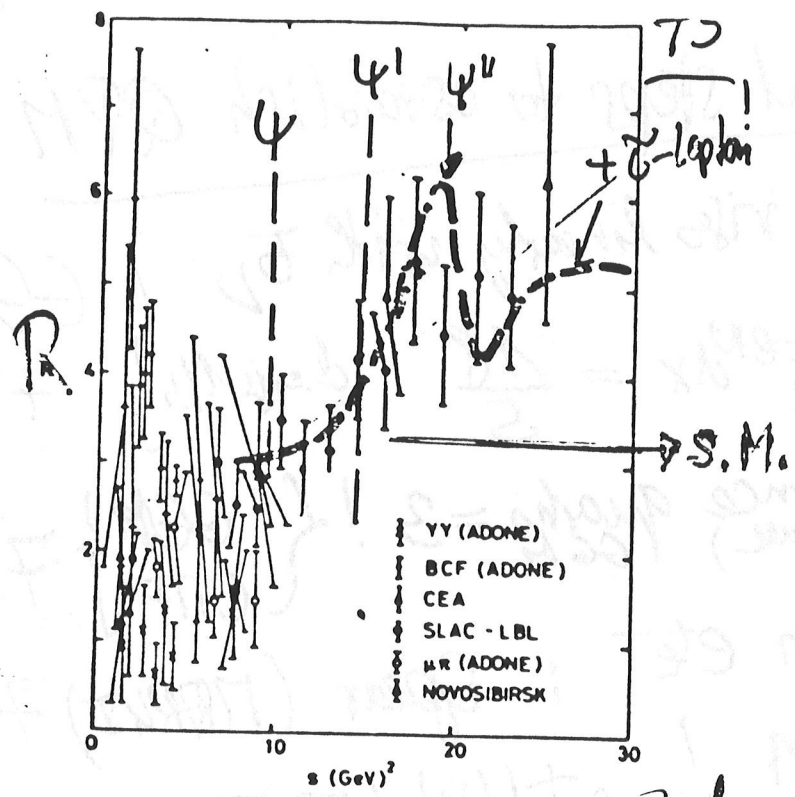
QPM picture for DIS



LOW ENERGY $\tau\tau$
 near catastrophe for quark
 model:

$$R = \frac{\sigma(e^+e^- \rightarrow q\bar{q})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)} \approx 3 \pm 2$$

near linearly !! $\approx 3 \pm 2$



John Ellis: 22 theoretical explanations
 most of them fatal for
 standard model.

Table of Values of R

| Value | Model | Source |
|------------------------|-----------------------------------------|-------------------------------|
| 0.36 | Bethe-Salpeter bound quarks | Bohm et al, ref 42 |
| 2/3 | Gell-Mann-Zweig quarks | |
| 0.69 | Generalized vector meson dominance | Renard, ref 49 |
| ~1 | Composite quarks | Raitio, ref 43 |
| 10/9 | Gell-Mann-Zweig with charm | Glashow et al, ref 31 |
| 2 | Coloured quarks | |
| 2.5 to 3 | Generalized meson dominance | Greco, ref 30 |
| 2 to 5 | " " " " | Sakurai, Counaris, ref 47 |
| $3^{1/3}$ | Coloured charmed quarks | Glashow et al, ref 31 |
| 4 | Han-Nambu quarks | Han and Nambu, ref 32 |
| 5.720.9 | Trace anomaly and μ dominance | Terazawa, ref 27 |
| 5.8 \pm 3.2 - 3.5 | Trace anomaly and μ dominance | Orito et al, ref 25 |
| 6 | Han-Nambu with charm | Han and Nambu, ref 32 |
| 6.69 to 7.77 | Broken scale invariance | Choudhury, ref 18 |
| 8 | Tati quarks | Han and Nambu, ref 32 |
| 8 \pm 2 | Trace anomaly and c dominance | Eliezer, ref 26 |
| 9 | Gravitational cut-off, universality | Parisi, ref 40 |
| 9 | Broken scale invariance | Nachtmann, ref 39 |
| 16 | $SU_{12} \times SU_{12}$) | Fritzsch & Minkowski, ref 34 |
| 35 $^{1/3}$ | $SU_{16} \times SU_{16}$) gauge models | |
| ~5000 | High Z quarks) | Yock, ref 73 |
| 70,383 | Schwinger's quarks) | |
| - | = of partons | Cabibbo and Karl, ref 9 |
| | | Matveev and Tolkachev, ref 35 |
| | | Erenburg, ref 26 |

indicated values
 of R

S.M.

B) neutrino experiments : why? 17

Study of weak interaction at high energy
 12. Minutes of the 108th SPSC Meeting held on 5-6 November 1985, SPSC 108(*)

Prose for discoveries

- i) always full of surprises!
 ***** P, CP, 2V, ...
- ii) no consistent theory was available
 (in contrast to QED, which appeared to be violated)

later:

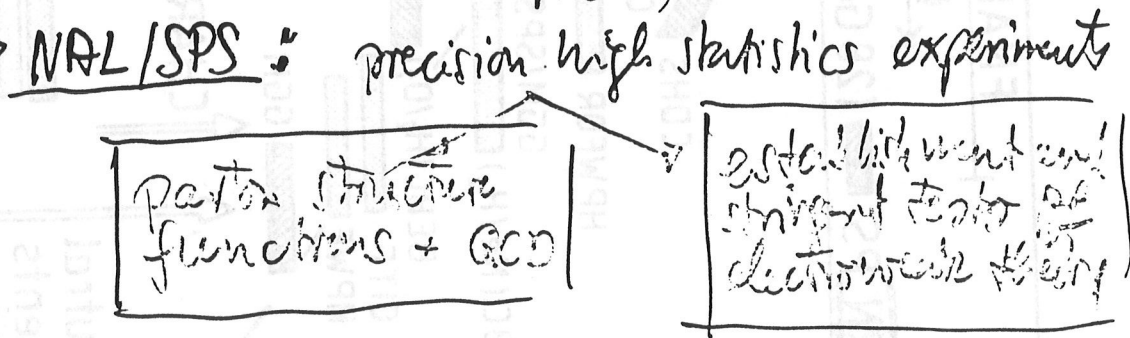
ideal probe to determine the quark content of the nucleon; reports, sea and valence quarks
 (only after high intensity beams and massive detectors became available)

field had dramatic development:

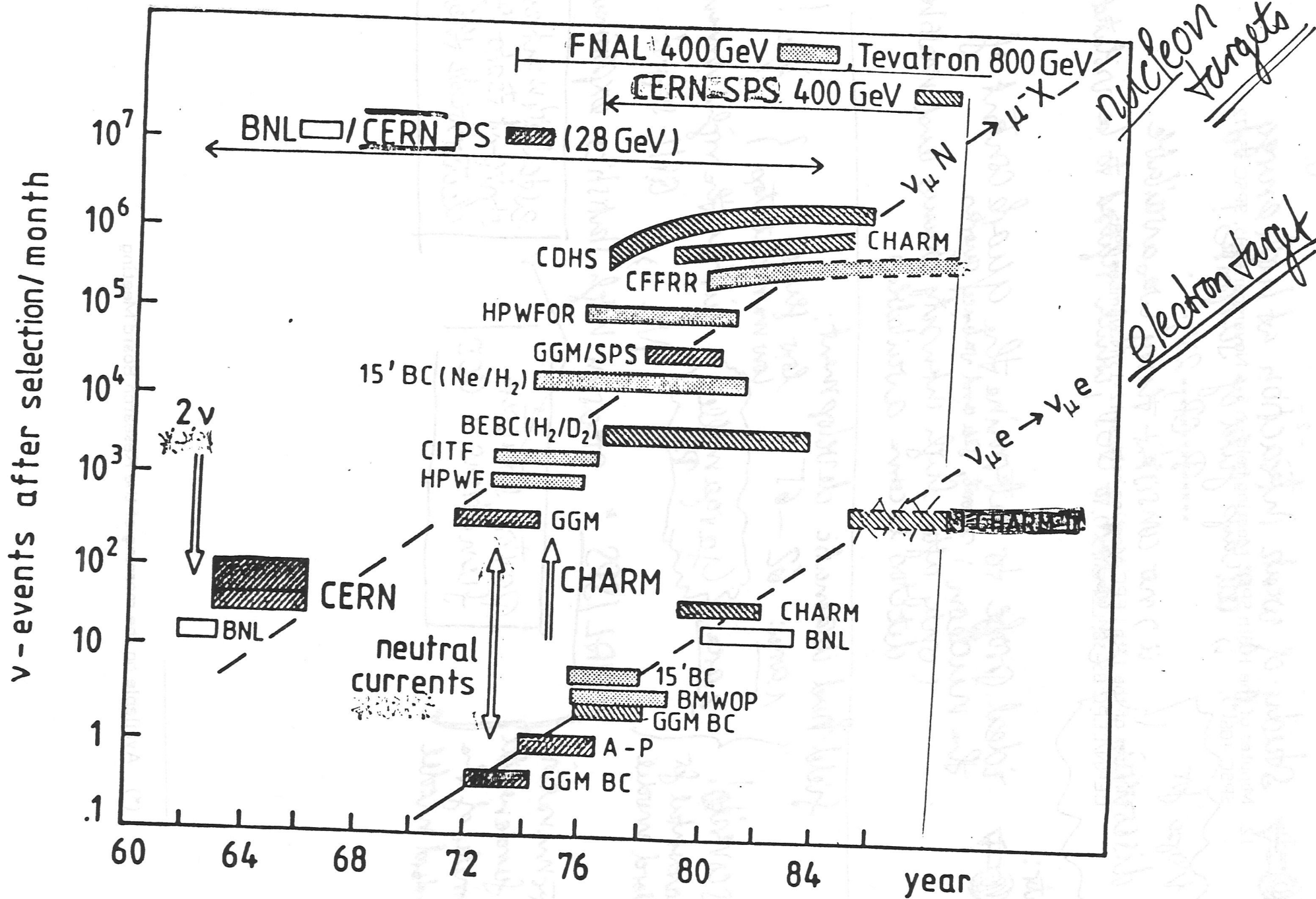
discoveries!
 fundamental for Standard model

- 1. area: 62-65 : low fluxes, low mass detectors } Yes!
- 2. area: { Gargamelle: PS } just at the right moment

determination of fundamental parameters of the Standard model



(*) Available on the morning of the Research Board Meeting

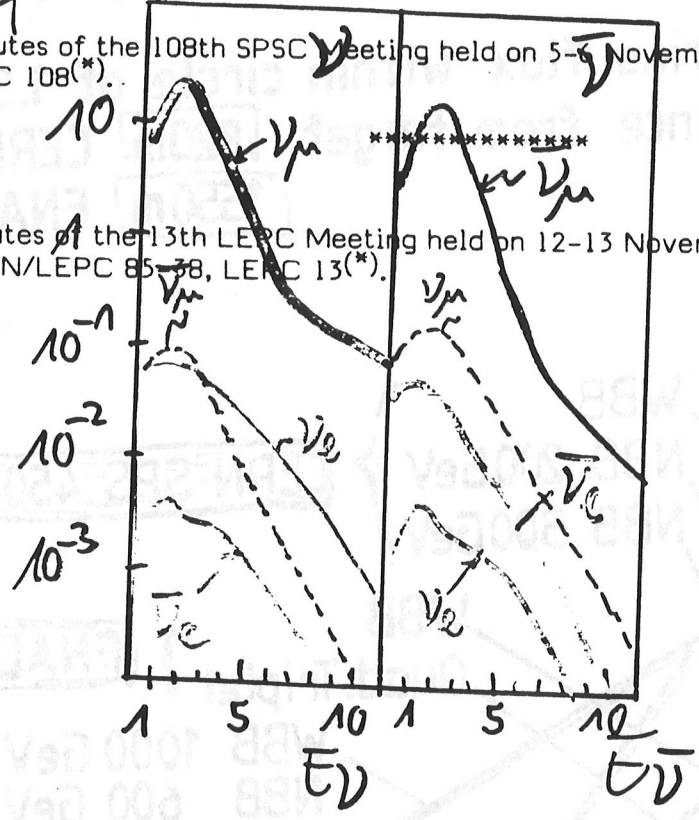


ν -flux (arbitr. units)

- 2 -

12. Minutes of the 108th SPSC Meeting held on 5-6 November 1985, SPSC 85-72, SPSC 108(*)

13. Minutes of the 13th LEPC Meeting held on 12-13 November 1985, CERN/LEPC 85-78, LEPC 13(*)

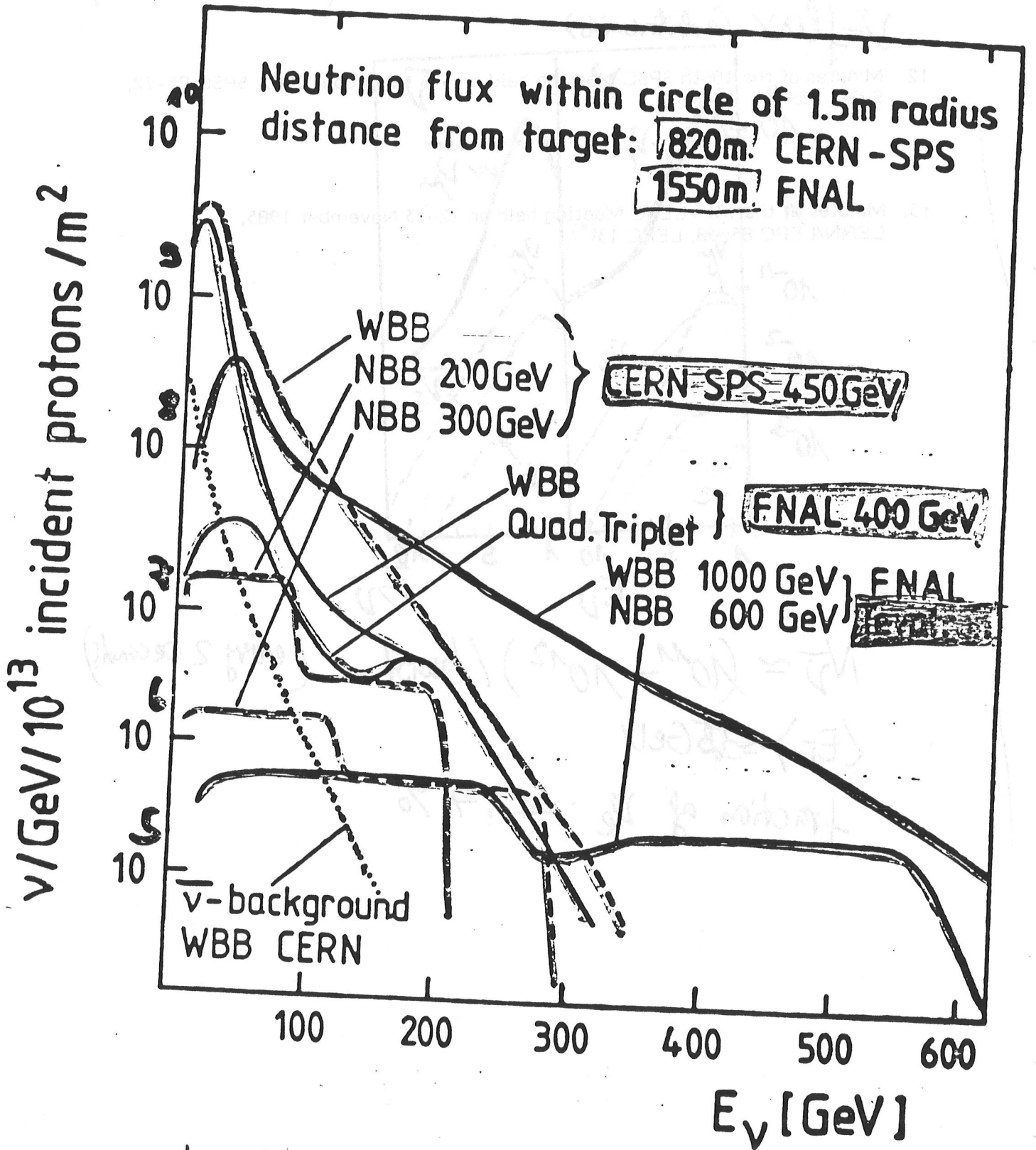


$N_\nu \approx (10^{11} - 10^{12}) / \text{burst} \quad (\text{every 2 seconds})$

$\langle E_\nu \rangle \approx 35 \text{ GeV}$

fraction of $\nu_e \approx 17\%$

(*) Available on the morning of the Research Board Meeting



Narrow band beam:

Momentum selected π and L of one sign:

- very low background of wrong polarity
- hard spectrum
- "easy" to monitor

Wide band beam:

Sign selection by focussing horn with little momentum dependence

- maximum flux
- low average energy
- background at 4.60

Detectors for ν -physics

- 2 -

12. Minutes of the 108th SPSC Meeting held on 5-6 November 1985, SPSC 85-12.
 13. Minutes of the 13th LEP Meeting held on 12-13 November 1985, CERN/LEP 85-18, (LEP) 3

| | BC (H_2, D_2) | BC heavy liquid | electronic detectors high Z iron | low Z |
|---------------------------------|------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| target mass | 1 to ¹⁰ ³ | ~ 10 to ¹⁰ | ~ 1000 to ¹⁰ ⁶ | ~ 100 to |
| muon ident. | good | good | excellent | good |
| electron ident & measurement | — | good ** | no | possible $\Delta\theta_e = \frac{30 \text{ mrad}}{\sqrt{E_e}}$ |
| total hadronic energy | poor | possible | good | good |
| jet angle | poor | possible | — | possible $\sim 22 \text{ mrad}$ * |
| hadron exclusive measurements | good for charged, 10^0 10^1 ; - | good | — | — |
| main use & strength | inclusive CC+NC: ① - parton dist. ② - NC coupling ③ - fragmentation - exclusive channels | $\nu_e e \rightarrow \nu_e e$ search for rare processes :: charm exclusive channels | inclusive NC+CC - structure functions - NC (with ν) - σ_{TOT} rare processes: - multimuon | $\nu_e e \rightarrow \nu_e e$ NC - x-distribution γ - " + |
| examples | BEBC 15' BC | GGM 15' BC BEBC | CDHS, CITE CCFR | *CHARM+I BNL-E734 F-P FNAL-E594 |

note: construction of electronic detector depends on kind of physics one wants to do

(*) Available on the morning of the Research Board meeting
 — interest in physics changed drastically after NC + charm discovery
 • What were design criteria for early experiments?
 HPWF, CITE, CDHS

35

BEBC (Hz)

$\lambda_{\mu} - N - \dots$

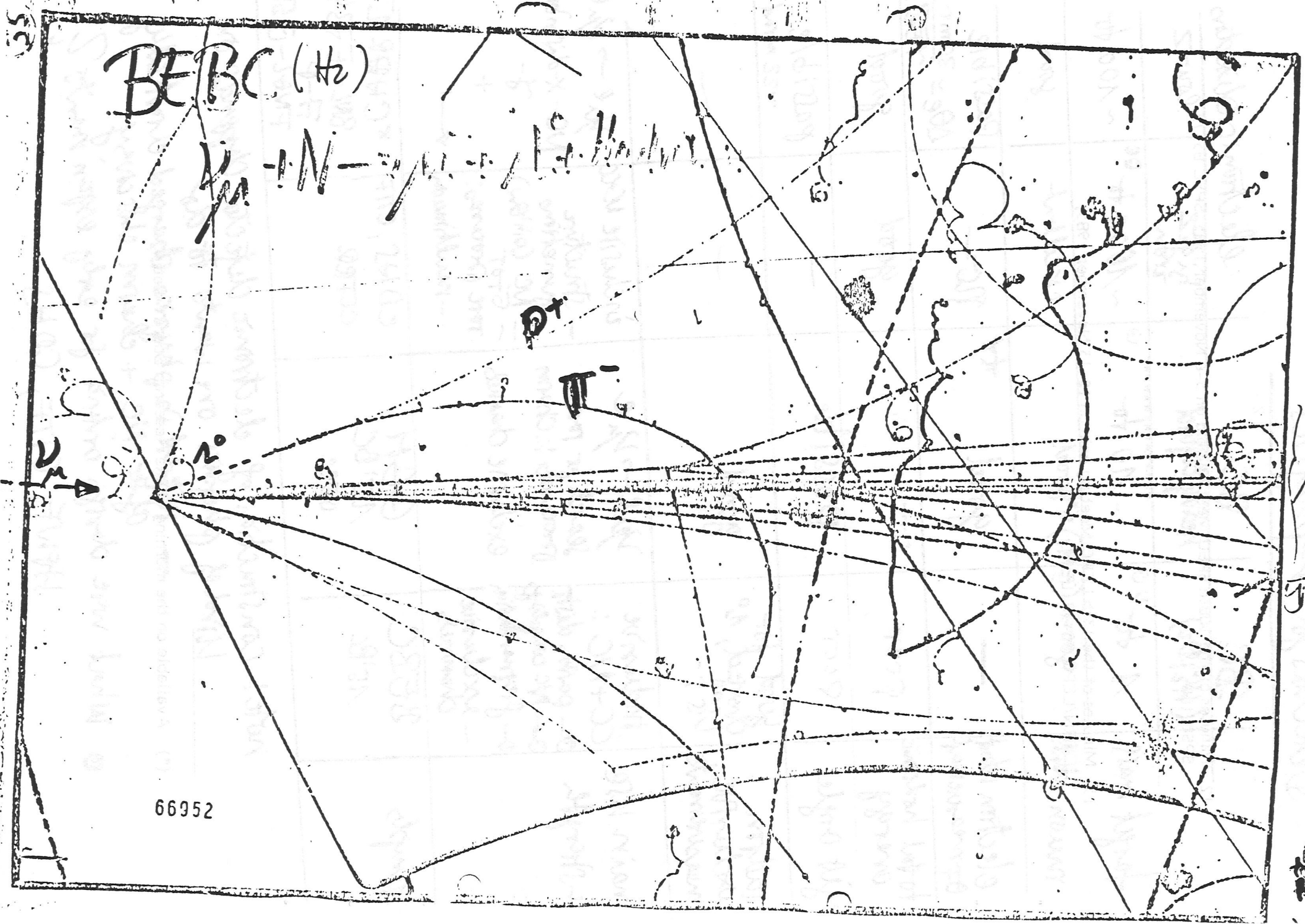
D+

II-

66952

30

22



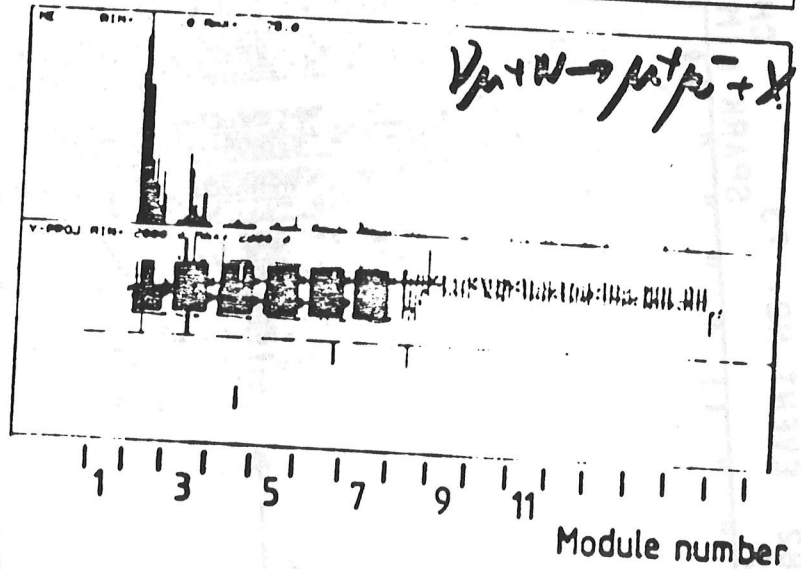
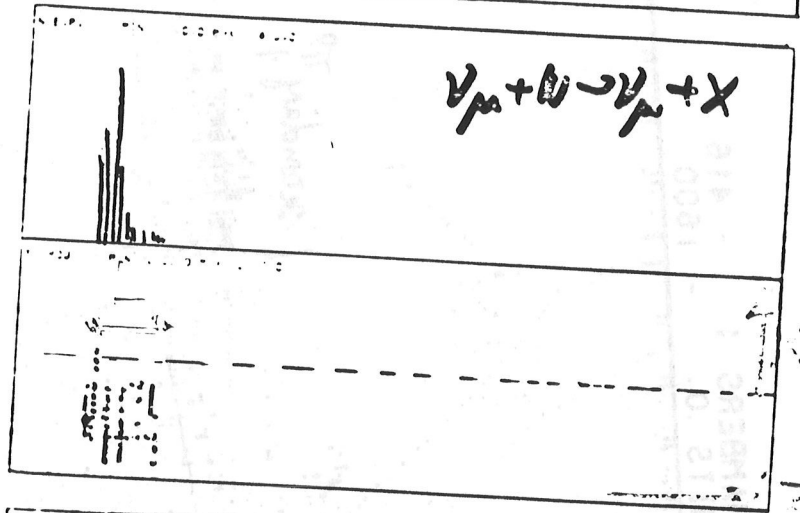
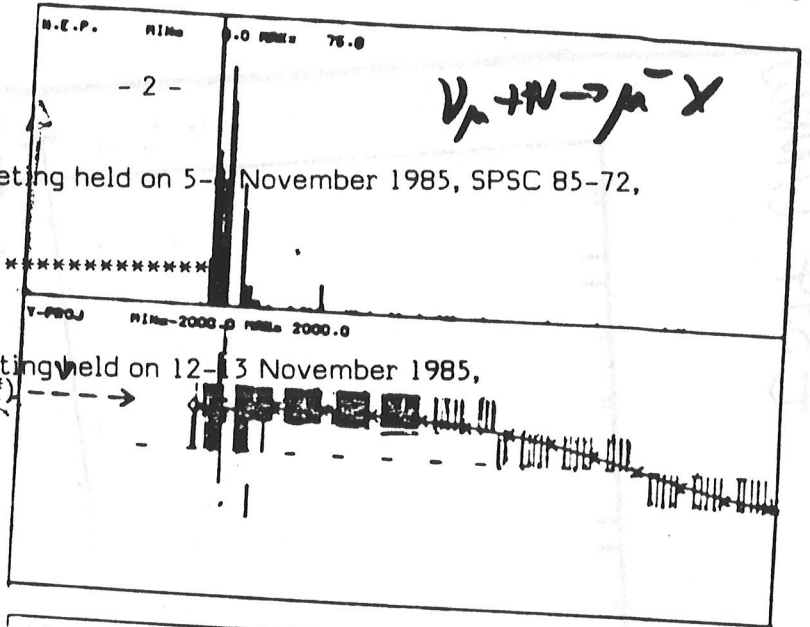
Pulse height
in calorimeter

12. Minutes of the 108th SPSC Meeting held on 5- November 1985, SPSC 108(*)

13. Minutes of the 13th LEPC Meeting held on 12-13 November 1985, CERN/LEPC 85/38/LEPC 13(*)

U.A. Chant
HITS
+ Scintillators

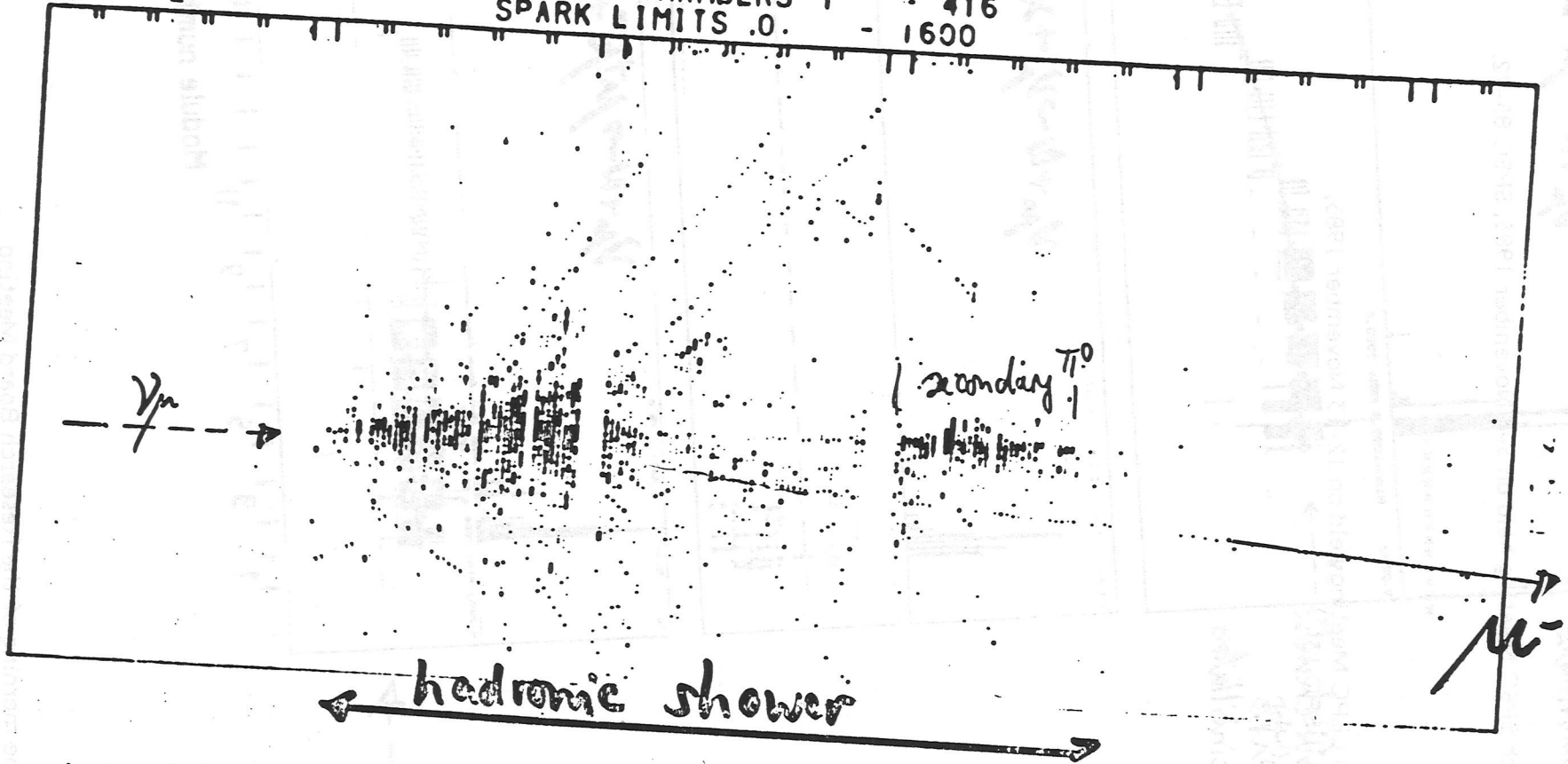
iron detector
(CDHS)



(*) Available on the morning of the Research Board Meeting

E594 (FNAL)

RUN 2482 EVENT NO. 73 CHAMBERS 1 - 416
VIEW 2 SPARK LIMITS .0. - 1600



Low Z-detector!

fine grained calorimetric measurement of a neutrino interaction

- trace muon, inside shower
- recognize electrons: el. wgn. + hadronic showers different!

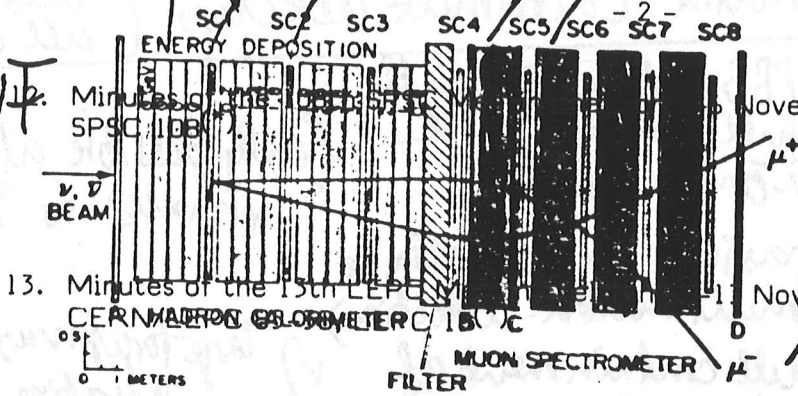
liquid scintillator

granular chamber

iron toroids

WBB) 25
 $\nu_{\mu} + Z \rightarrow \nu_{\mu} \mu^+ \mu^-$
 heavy lead target

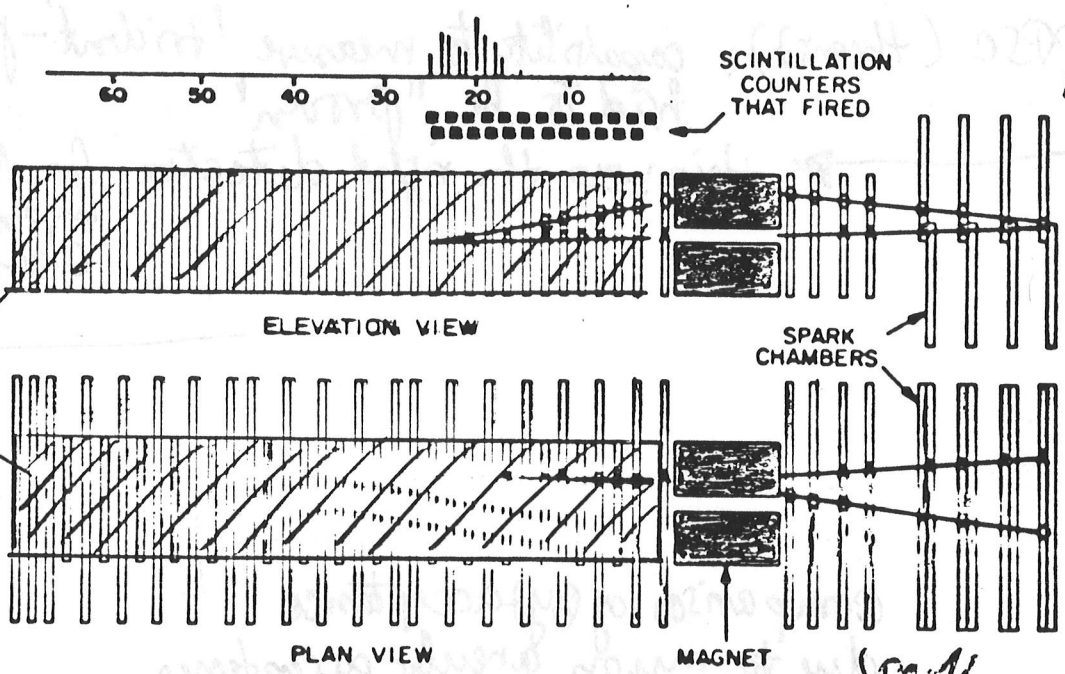
HPWF



HPWF
 (1. stage detector) no
 no hadron energy measurement for $\mu^+ \mu^-$
 low mass!

CITF

Steel target + liquid scintillator



NBB!
 "wrong sig leptons"
 $\nu_{\mu} + N \rightarrow \mu^+ + X$

Spark Chamber
 very precision acceptance, best for multibunch, CC structure fast (NC)

explains partially the big impact of the CERN SPS ν -Program!

(*) Available on the morning of the Research Board Meeting

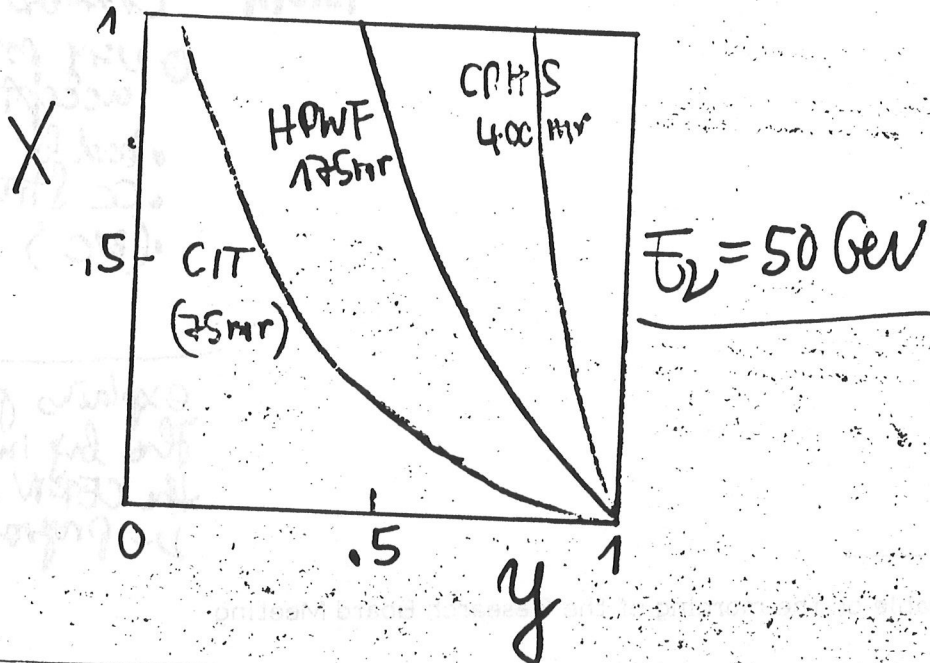
FNAL experiments pioneered calorimetric detectors (was followed all others)

- CDHS (72) }
 experiments }
 i) NBB-detector with full coverage of the ν -energy spectrum (only possible at CERN! thanks to BEBC!)
 ii) maximal μ -acceptance (multimuon-detection)
 iii) full containment of calorimetric ν large target mass: 2. generation experiment

SPSC (theory?): capability to measure 'trident'-production had to be "proven"

→ this was the right detector for NC, inclusive measurements charm, ... too late!

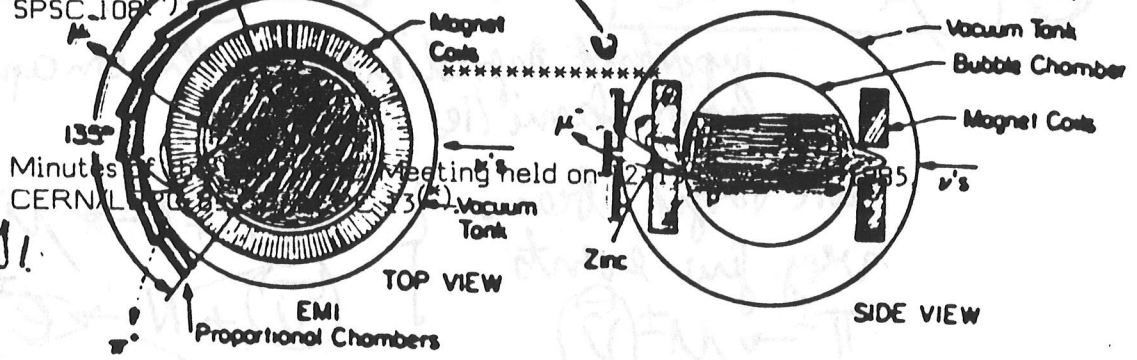
Comparison of (xy) -acceptance due to muon angular acceptance



external muon identifier - 2 -

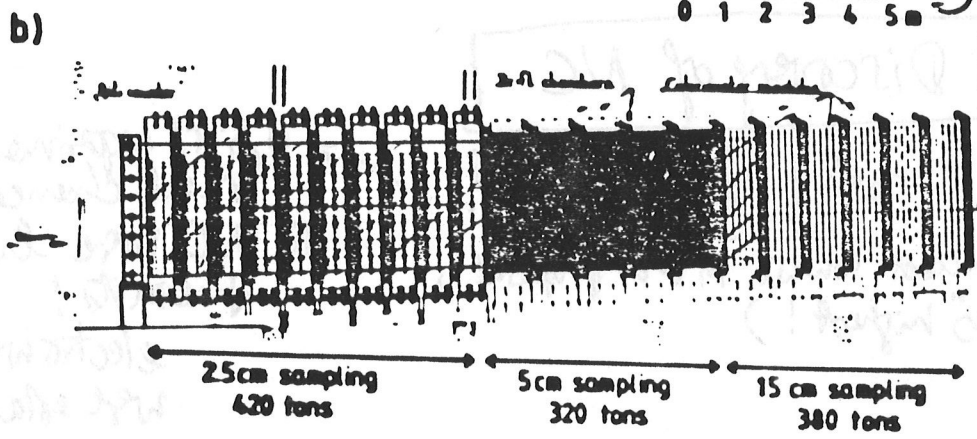
15 BC
10 to
BBC very similar!

12. Minutes of the 108th SPSC Meeting held on 5-6 November 1985, SPSC 85-72, SPSC 108



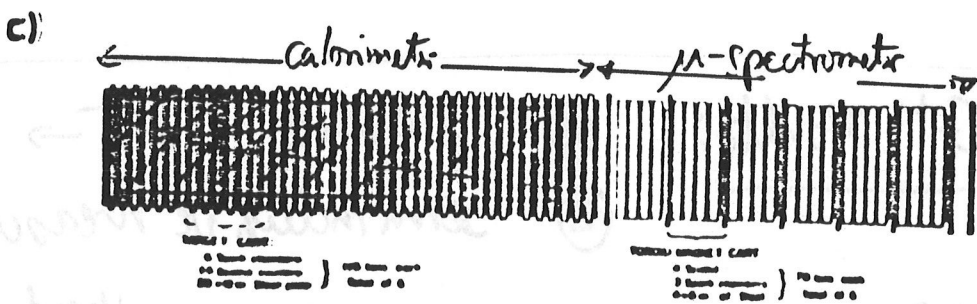
Ne
He
ye +

CDHS
600 to



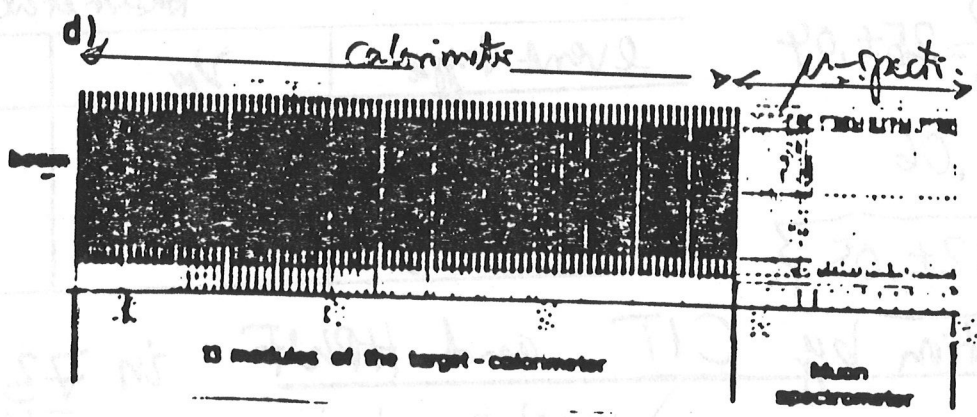
iron
include
νN
rare process

CFRR
370 to



iron

CHARM
80 to



marble
ye

(*) Available on the morning of the Research Board Meeting

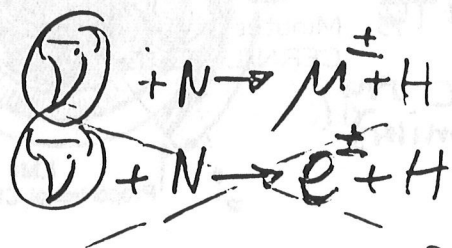
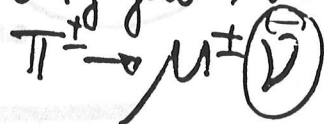
Some major neutrino detectors (same scale)

Major discoveries in neutrino experiments:

① $\nu_\mu \neq \nu_e$ Columbia-BNL (BNL) 62)

important ingredient for the concept of lepton families

bare target beam
very few events



(single)
29 events
7400
not
...

② Discovery of NC

why Gargamelle?

(NC ranked lowest in their proposal, "partons" highest!)

→ 1st experiment, that had a real chance

→ NC were liked by (some) theorists!

electroweak gauge theory were established in 71!

initial GGM results:

① 2 events $\bar{\nu} e^- \rightarrow \bar{\nu} e^-$ **

② semi-inclusive measurements

$$R_{\nu} = \frac{(\nu N \rightarrow \nu X)}{(\nu N \rightarrow \mu X)} = 0.25 \pm 0.04$$

$$R_{\bar{\nu}} = 0.39 \pm 0.06$$

$$\sin^2 \theta_w = 0.32 \pm 0.05$$

Haset et al. (73)

| event type | ν_μ | $\bar{\nu}_\mu$ |
|------------------------|-----------|-----------------|
| # events with μ | 428 | 148 |
| # events without μ | 102 | 64 |

confirmation by CIT and HPWF in 73 (Bonn)

→ most convincing

$$\sin^2 \theta_w = 0.33 \pm 0.07$$

Gargamelle : $\left\{ \begin{array}{l} \nu_{\mu} N \rightarrow \text{hadrons} + \text{'invisible'} \\ \bar{\nu}_{\mu} e^{-} \rightarrow \bar{\nu}_{\mu} e^{-} \end{array} \right.$ 29

① first experiment which had a good chance to discover

NC : ① large chamber: ***** $L = 4.8m$

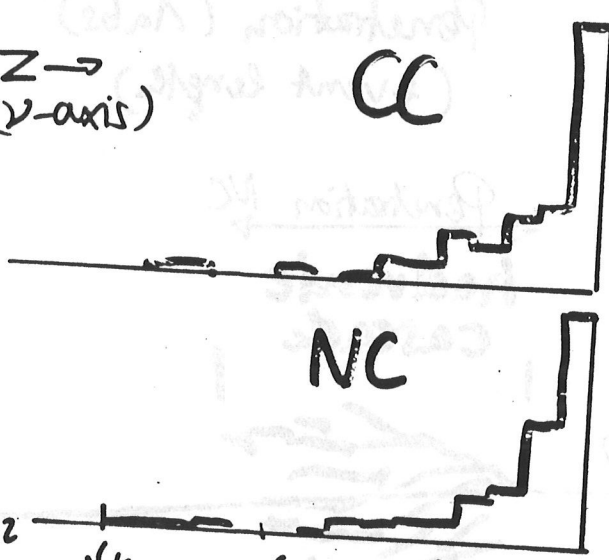
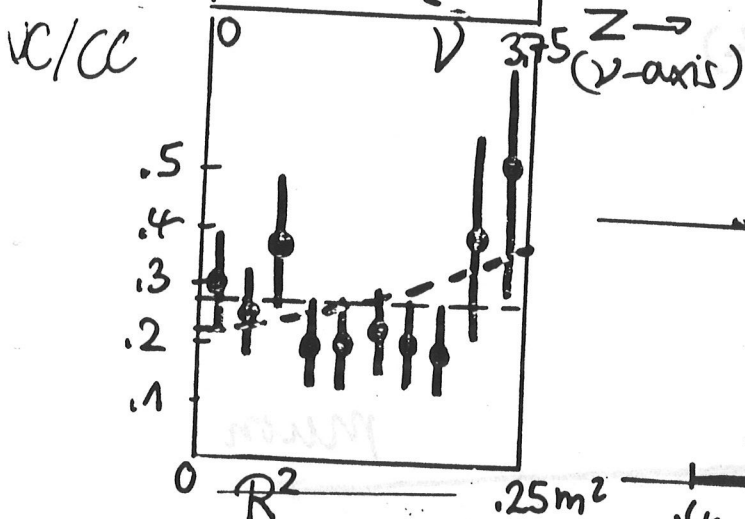
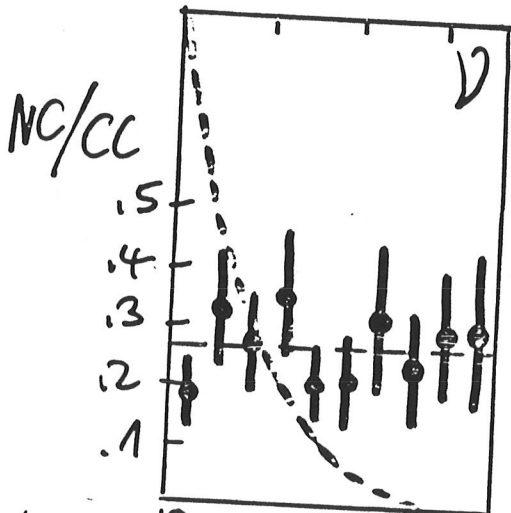
13. Minutes of the 13th LEPC Meeting held on 12 November 1978
CEFN/LEPC 85-38, LEPC 13(*)

② large ν -flux: $W = 18 \text{ to } > 10^{18} \nu$ through chamber

④ $L \rightarrow$ absorption length for n and π
 \rightarrow neutron background can be distinguished
 \rightarrow large fraction of π interact \leftrightarrow muon identified

⑤ $\bar{\nu}_{\mu} e$ was visible
 first event found Dec. 72!

they didn't miss!



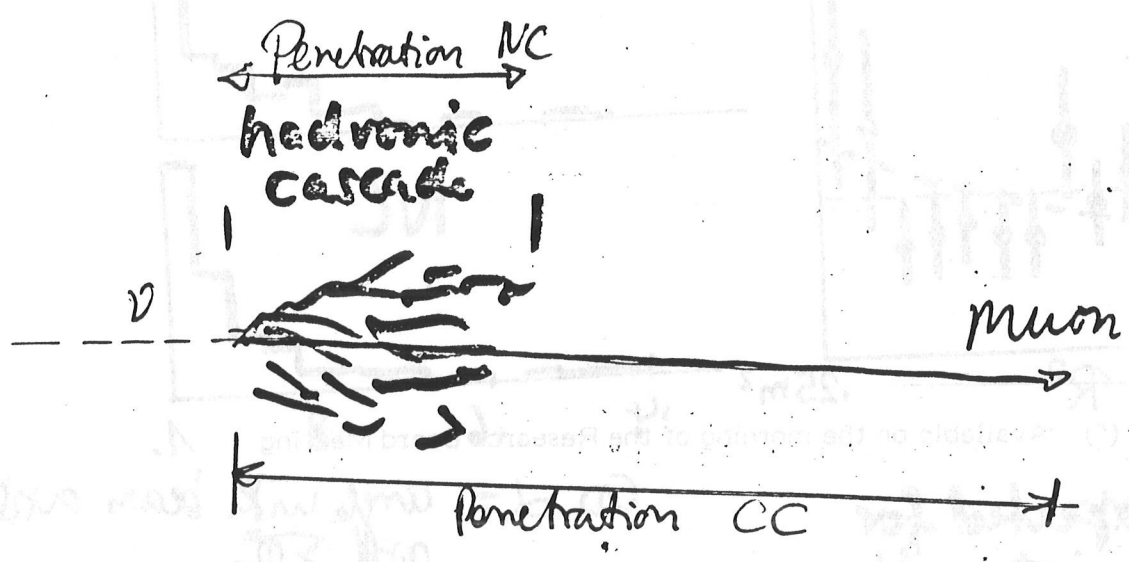
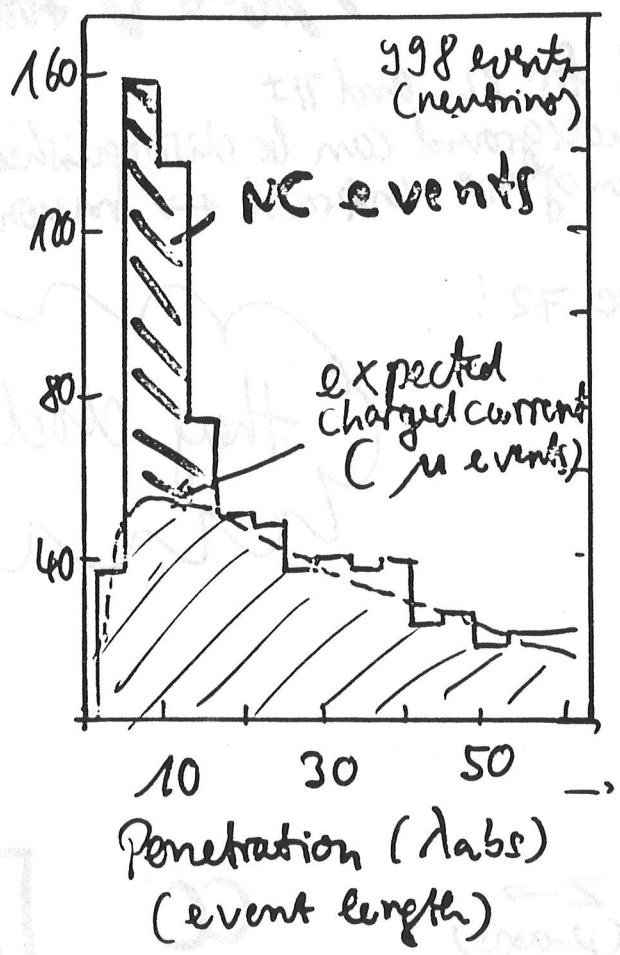
(*) Available on the morning of the Research Board Meeting 1.

--- expected for n -interactions

$\cos \alpha =$ angle with beam axis and ΣP_{Tx}

CITF - NC - result based on event length (penetration) (present day technique)

events/(λ abs)



Impact of NC discovery on "standard model" 31

Why important?

- 2 -

Exp: SPSC 108. ^{12. Minutes of the 108th SPSC Meeting held on 2-6 November 1985, SPSC 85, 72.} ^{SPSC 108} ① something qualitatively new after 40 years of physics (Sakurai)

13. ^{Minutes of the 13th LEPC Meeting held on 2-6 November 1985, CERN/LEPC 85, 38, LEPC 13(*)} Form of point interaction (33) survived with few modifications

$$V \rightarrow V-A$$

$$P, N \rightarrow u, d_c = u \cos \theta_c + s \sin \theta_c$$

$$\begin{pmatrix} e \\ \nu_e \end{pmatrix} \rightarrow \begin{pmatrix} e \\ \nu_e \end{pmatrix} + \begin{pmatrix} \mu \\ \nu_\mu \end{pmatrix}$$

What is the structure of this new interaction?

② It gave a strong boost to electroweak gauge theories!
(note: NC not really required, just one good option)

See: J. Illiopoulos; Int. Conference London 74

① gauge theories are the most theoretically beautiful: (very recommendable reading)

- Yang-Mills theories 60
- spontaneous symm. breaking 64
- Higgs mechanism (Weinberg) 67
- GIM 70

gauge theories are respectable!
simple and beautiful \Rightarrow + Hooft: they are renormalizable 71

② NC: we are on the right track!

J. Illiopoulos: "I have won several bottles of wine for betting on NC. This time I am willing to bet a whole case on the discovery of charm before summer 75!"

③ within gauge theories: NC imply charm!!
 \Rightarrow charm discovery will make the case for gauge theories!

a) best place: neutrinos! not really talked about hidden charm

③ further content of this talk:

32

- QCD
- GUT's + prediction of $\sin^2 \theta_W \approx .2$
proton decay
- SUSY

comment of Bjorken (on this talk) (FERMILAB-Conf-85/58)
"The november revolution"

||... } Everything was there, what we call
the standard model: proton decay, charm, GIM,
QCD, the $SU(2) \times U(1)$ electroweak theory, $SU(5)$
grand unification, Higgs, etc. It was all
presented with absolute conviction and soundness
at the time just a little mad, at least
to me (I am a conservative)

- recall John Ellis: $R = \frac{e^2 \rightarrow h}{e^2 \rightarrow \pi}$

④ CHARM and neutrinos:

- actually 2 opposite dimuon events were
presented by HPWF at the London conference

present wisdom: first experimental sign of
charm!

75 lepton-photon symposium

charm was established in neutrino
interactions by opposite sign dimuon events
(HPWF)

end of "historic" section

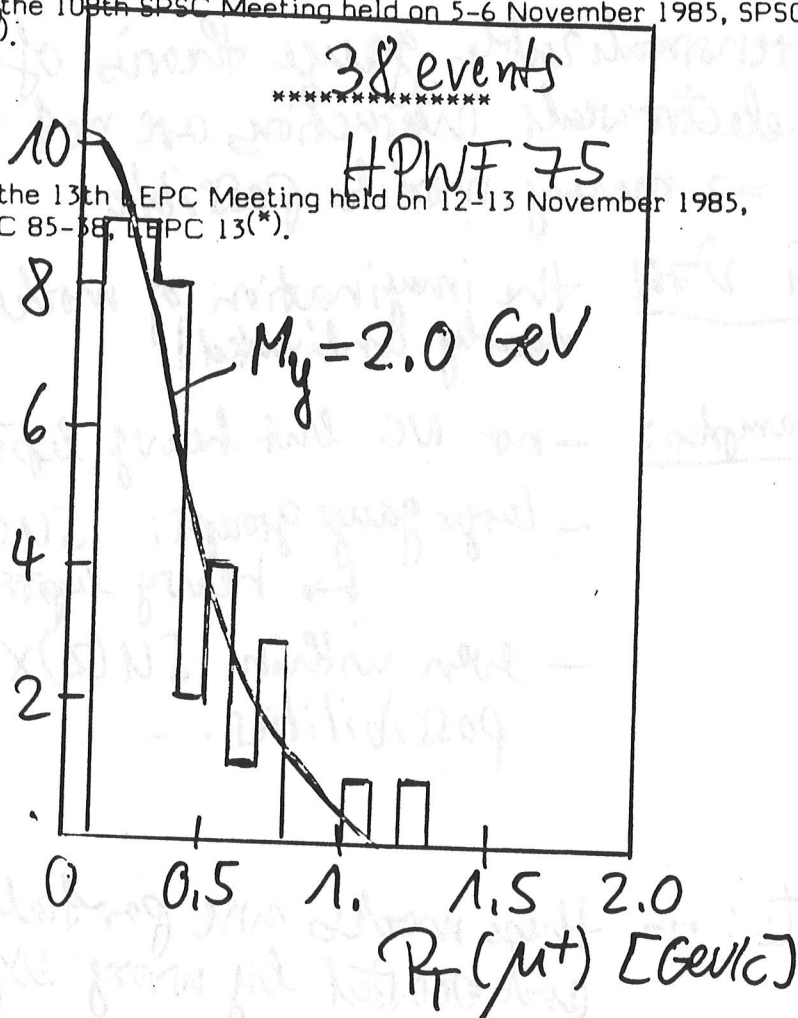
II: electroweak theory

III: QPM, structure functions and QCD

early
Confirmation of charm in ν -interactions 33

12. Minutes of the 10th SPSC Meeting held on 5-6 November 1985, SPSC 85-72, SPSC 10A(7).

13. Minutes of the 13th LEPC Meeting held on 12-13 November 1985, CERN/LEPC 85-38, LEPC 13(*).



- ① $\frac{(\nu_{\mu} N \rightarrow \mu^- \mu^-)}{(\nu_{\mu} N \rightarrow \mu^- \mu^+)} \leq 0.1$
- ② hadronic origin: wrong sign muon correlated with hadronic shower
- ③ momentum asymmetry compatible with charm but not with heavy leptons

(*) Available on the morning of the Research Board Meeting



Electroweak theory

a) sorting out the standard model

note: renormalizable gauge theories of the electroweak interactions are not very restrictive:
→ many models possible

Sakurai 1976! the imagination of model builders is nearly unlimited!

- examples:
- no NC but heavy leptons (e^-, E^+)
 - large gauge groups: $SU(3) \times U(1)$
↳ heavy leptons ..
 - even within $SU(2) \times U(1)$ several possibilities ..

note: → these models were partially inspired and beaten by wrong experimental results!

Four possible gauge theories

(Harrn 75)

35

simplest vec
by means
all possible

- 2 -

12. Minutes of the 108th Meeting held on 11-13 November 1985, SPSC 108(*)
 "Standard" "Vector" "Asymmetric" (Hybrid) "Left-right"

| Gauge group | $SU(2) \times U(1)$ | $SU(2) \times U(1)$ | $SU(2) \times U(1)$ | $SU(2)_L \times SU(2)_R \times U(1)$ |
|-------------------------------------------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------------------------------------|
| Bosons | W^\pm, Z, γ | W^\pm, Z, γ | W^\pm, Z, γ | $W^\pm_L, W^\pm_R, Z_V, Z_A$ |
| Left-handed fermions | $\begin{pmatrix} \nu \\ e \end{pmatrix}_L, \begin{pmatrix} u \\ d \end{pmatrix}_L$ | $\begin{pmatrix} \nu \\ e \end{pmatrix}_L, \begin{pmatrix} u \\ d \end{pmatrix}_L$ | $\begin{pmatrix} \nu \\ e \end{pmatrix}_L, \begin{pmatrix} u \\ d \end{pmatrix}_L$ | $\begin{pmatrix} \nu \\ e \end{pmatrix}_L, \begin{pmatrix} u \\ d \end{pmatrix}_L$ |
| Right-handed fermions | $(\bar{e})_R, (u)_R, (d)_R$ | $\begin{pmatrix} \nu \\ e \end{pmatrix}_R, (u)_R, (d)_R$ | $\begin{pmatrix} \nu \\ e \end{pmatrix}_R, (u)_R, (d)_R$ | $\begin{pmatrix} \nu \\ e \end{pmatrix}_R, (u)_R, (d)_R$ |
| Boson-mass relations | $M_Z^2(1 - \sin^2\theta) = M_W^2$ | $M_W^2 \geq (1-x)M_Z^2$ | $M_W^2 \geq (1-x)M_Z^2$ | $M_{W_R}^2 > M_{W_L}^2 = M_{Z_R}^2$ $M_{Z_V}^2(1-2x) = M_{Z_A}^2$ |
| $\frac{\sigma(\bar{\nu}e)}{\sigma(\nu e)}$ | $\frac{1-4x+16x^2}{3-12x+16x^2}$ | 1 | 1 | "Standard" |
| $\frac{\sigma(\bar{\nu}N \rightarrow \bar{\nu}N)}{\sigma(\nu N \rightarrow \nu N)}$ | $\frac{(2-8)(1-2x)+4x^2}{(2+8)(1-2x)+4x^2} \neq 1$ | 1 | "Standard" | "Standard" |
| Parity violation in atoms | yes (1) | no | no (2) | no |
| Asymmetry in e, D -scattering | (yes) (3) | NO | (yes) | yes |

favoured

unfavoured

$x = \sin^2\theta_W$

(*) Available on the morning of the Research Board Meeting

6 questions to ask to neutral currents: (follows Sakurai, 36)

① are ordinary neutrinos involved? $\nu N \rightarrow \nu' X$

check: $\frac{d\sigma}{dy}(\nu) = \frac{d\sigma}{dy}(\bar{\nu})$ $\nu = \nu' ?$

(hermiticity of the current)

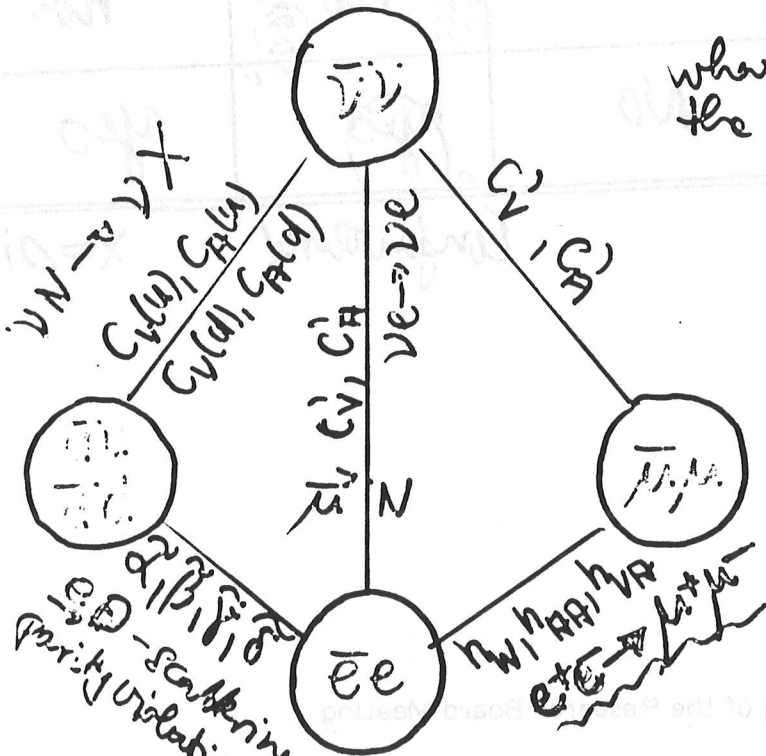
② is S,P,T ruled out?

\rightarrow V,A does not allow rising y -distribution ($\sim y^2$)
 but: confusion theorem: all V,A y -distributions can be
 faked by combination of S,P,T,

③ what is the V,A-structure of NC? (e.g. pure V possible - y -distributions, S, V, P, T -; (V,A-interference)

④ what are the isospin and SU(3) properties of the current
 U, d, s, c - couplings: measure couplings separately.

⑤ universality of neutral currents?



what are the relations between the $(q\bar{q}), (\nu\bar{\nu}), (e\bar{e}), (\mu\bar{\mu})$ -currents

\rightarrow study all processes separately, also those not involving neutrinos

mostly by EN instead

(note: all couplings given by $\sin^2\theta_w$ in GWS)

⑥ is NC flavour conserving?

$\Delta C = 0 ? \frac{C O H S 71}{V}$

⑦ test of GIM (U-M) structure in CC

Structure of neutral and charged current interactions

assumptions:

- 2 -

- ① interactions mediated by spin 1 bosons with $m_B \gg \sqrt{s}$ (effective pointlike interaction) (V, A !)
- ② two component neutrino hypothesis
- ③ e, μ - universality

12. Minutes of the 10th SPSC Meeting held on 5-11 November 1985, SPSC 85-72, SPSC 108(*)
 13. Minutes of the 15th LEPC Meeting held on 1-13 November 1985, CERN/LEPC 85-38, LEPC 13(*)

I νe - scattering : leptonic interactions

charged current

neutral current

$$L = -\frac{4G}{\sqrt{2}} \left[j_d^{(C)+} \cdot j_d^{(C)-} + S j_d^{(N)} \cdot j_d^{(N)} \right]$$

$$j_d^{(C)+} = \bar{e} \gamma_d \frac{(G_V + G_A \gamma_5)}{2} \nu_e + \bar{\mu} \gamma_d \frac{(G_V + G_A \gamma_5)}{2} \nu_\mu$$

$$j_d^{(N)} = \bar{\nu}_e \gamma_d \frac{(1 - \gamma_5)}{2} \nu_e + \bar{\nu}_\mu \gamma_d \frac{(1 - \gamma_5)}{2} \nu_\mu + \bar{e} \gamma_d \frac{(G_V' + G_A' \gamma_5)}{2} e + \bar{\mu} \gamma_d \frac{(G_V' + G_A' \gamma_5)}{2} \mu$$

II semileptonic $\nu - q$ interactions

$$L = -\frac{4}{\sqrt{2}} \left[j_d^{(C)+} \cdot j_d^{(C)-} + S j_d^{(N)} \cdot j_d^{(N)} \right]$$

- ④ generation universality of weak couplings
- ⑤ flavour conservation for the neutral current

GIM idea generalized by Kobayashi-Maskawa

$$j_d^{(C)+} = (\bar{u}, \bar{c}, \bar{t}) \left(U_{ij} \right)_{3 \times 3} \gamma_d \frac{(G_V + G_A \gamma_5)}{2} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

$$j_d^{(N)} = \frac{1}{2} \left[\bar{u} \gamma_d (G_V(u) + G_A(u) \gamma_5) u + \bar{c} \gamma_d (G_V(c) + G_A(c) \gamma_5) c + \bar{t} \gamma_d (G_V(t) + G_A(t) \gamma_5) t - \bar{d} \gamma_d (G_V(d) + G_A(d) \gamma_5) d - \bar{s} \gamma_d (G_V(s) + G_A(s) \gamma_5) s - \bar{b} \gamma_d (G_V(b) + G_A(b) \gamma_5) b \right]$$

(U_{ij}) : unitary 3x3 matrix : generation mixing

(*) Available on the morning of the Research Board Meeting

Comments:

g : relative strength of CC and NC coupling

$C_V C_A$: relative strength of vector and axial vector currents.

(U_{ij}) : (3x3) unitary matrix: generation mixing of charged currents (Kobayashi-Maskawa-matrix)

unitary: each flavour couples with same strength!

e.g:
$$J_d^{(C)} = \bar{u} \gamma_d \frac{(C_V + C_A \gamma^5)}{2} [U_{ud} d + U_{us} s + U_{ub} b]$$

$$|U_{ud}|^2 + |U_{us}|^2 + |U_{ub}|^2 = 1 !$$

right handed couplings: $C_R = \frac{C_V + C_A}{2}$ (V+A)

left handed couplings: $C_L = \frac{C_V - C_A}{2}$ (V-A)

Experimental answers:

- ①+②: not well tested! EFTF excludes large γ^2 -term (76)
 CDHS, BEBC: consistent with V, A
- ③ ^{12.} Minutes of the 108th SPSC Meeting, held on 5-6 November 1985, SPSC 85-72.
 V, A structure of ρ^0 !

a) hadronic current (quarks)

- ① ^{13.} Minutes of the 13th LEPC Meeting held on 12-13 November 1985.
~~mark~~ ~~the~~ measurements on 150 scalar targets:

$$R_{\nu}^N = \frac{(d\sigma^{\nu}/dy)^{NC}}{(d\sigma^{\nu}/dy)^{CC}} ; R_{\bar{\nu}}^N = \frac{(d\sigma^{\bar{\nu}}/dy)^{NC}}{(d\sigma^{\bar{\nu}}/dy)^{CC}} \quad \left. \vphantom{R_{\nu}^N} \right\} \text{These ratios are best measured!}$$

GPM:

$$\begin{aligned} \rho^2 [C_L^2(u) + C_L^2(d)] &= (R_{\nu}^N - r^2 R_{\bar{\nu}}^N) / (1 - r^2) + \text{corr.} \\ \rho^2 [C_R^2(u) + C_R^2(d)] &= (R_{\bar{\nu}}^N - R_{\nu}^N) / (1/r - r) + \text{corr.} \end{aligned}$$

$$r = \sqrt{\sigma_{CC}^{\bar{\nu}} / \sigma_{CC}^{\nu}}$$

results: (3 most precise published expts.)

| Experiment | E _H | R _ν ^N | R _{ν̄} ^N | R _ν ^N - R _{ν̄} ^N | (V-A) | (V+A) | T = ,48 ± 0. |
|------------|----------------|-----------------------------|------------------------------|------------------------------------------------------------|-------------|-------------|--------------|
| | | | | | R-H | R-H | |
| * CDHS 77 | > 12 GeV | .293 ± .010 | .35 ± .03 | .057 ± .032 | .29 ± .02 | .036 ± .02 | |
| CHARM 81 | 7.2 GeV | .320 ± .010 | .377 ± .02 | .057 ± .022 | .305 ± .013 | .036 ± .013 | |
| CDHS 82 | > 10 GeV | .300 ± .007 | .357 ± .015 | .057 ± .017 | .292 ± .014 | .036 ± .011 | |

$$\Rightarrow \begin{aligned} \rho^2 [C_L^2(u) + C_L^2(d)] &= .296 \pm .008 \\ \rho^2 [C_R^2(u) + C_R^2(d)] &= .036 \pm .008 \end{aligned} \quad \left. \vphantom{\rho^2} \right\} \text{V+A component non-zero!}$$

*) first established by CDHS (77) c

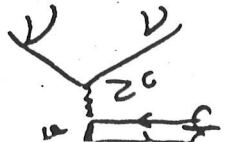
② Separation of u and d-couplings

- ④ single pion production (first done by GGM)
- ⑤ data on neutrons and protons

→ unique solution for all ψ couplings by (1978)
 (*) Available on the morning of the Research Board Meeting
 in excellent agreement with standard model

- weak coupling of strange quark (not really measured)

- Charmed quark: rough estimate by CDHS using
 $\nu \bar{\nu} \rightarrow \nu_e + e + X$: e -production by



NC inclusive measurement: $R_{\nu}^N, R_{\bar{\nu}}^N$

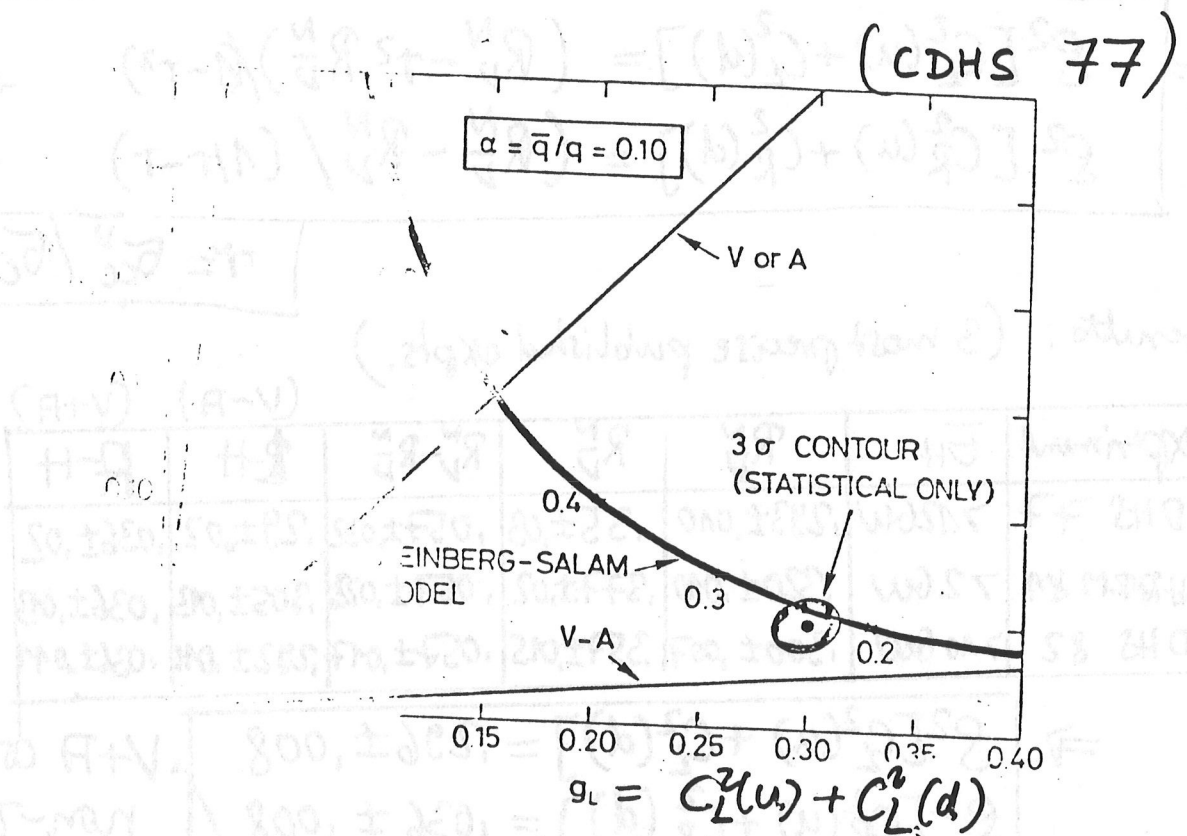


Fig. 2: Comparison of model predictions and the CDHS result on g_L and g_R . The relative amount of the sea is assumed to be 0.10.

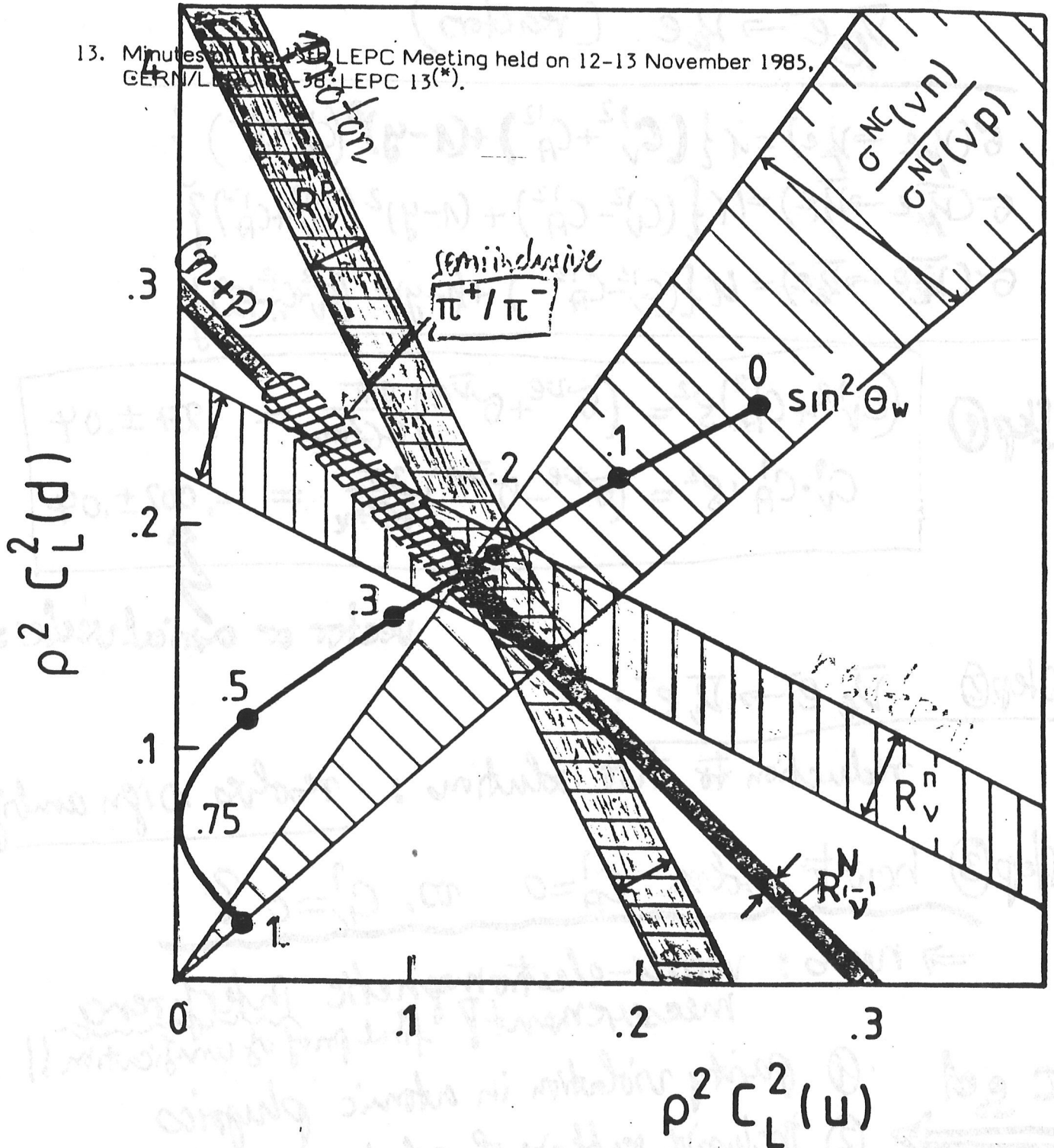
1477 - component established

separation of lefthanded couplings

12. Minutes of the 108th SPSC Meeting held on 5-6 November 1985, SPSC 85-72, SPSC 108^(*).

status '84

13. Minutes of the 13th LEPC Meeting held on 12-13 November 1985, GERN/LEPC 85-38, LEPC 13^(*).



(*) Available on the morning of the Research Board Meeting, $C_L(u) = 0.39 \pm 0.014$, $C_R(u) = -0.17 \pm 0.014$, $C_L(d) = -0.37 \pm 0.015$, $C_R(d) = 0.04 \pm 0.027$ } Panman ν '84

(b) neutral weak couplings of the electron

① $\nu_{\mu} e^{-} \rightarrow \nu_{\mu} e^{-}$
 $\bar{\nu}_{\mu} e^{-} \rightarrow \bar{\nu}_{\mu} e^{-}$

$\bar{\nu}_{e} e^{-} \rightarrow \bar{\nu}_{e} e^{-}$ (reactors)

$\sigma(\nu_{\mu} e^{-} \rightarrow \nu_{\mu} e^{-}) = 4 \{ (C_V^{12} + C_A^{12}) + (1-y)^2 (C_V^{12} - C_A^{12}) \}$

$\sigma(\bar{\nu}_{\mu} e^{-} \rightarrow \bar{\nu}_{\mu} e^{-}) = 4 \{ (C_V^{12} - C_A^{12}) + (1-y)^2 (C_V^{12} + C_A^{12}) \}$

$\sigma(\bar{\nu}_{e} e^{-} \rightarrow \bar{\nu}_{e} e^{-}) = 4 \{ (C_V^{12} - C_A^{12}) + (1-y)^2 (C_V^{12} + C_A^{12} + 2) \}$

Step ① $(C_V^{12} + C_A^{12}) s^2 = (\sigma^{\nu e} + \sigma^{\bar{\nu} e}) \frac{3\pi}{4G^2 m_e} = .27 \pm .04$
 $C_V^{12} \cdot C_A^{12} \cdot s^2 = (\sigma^{\nu e} - \sigma^{\bar{\nu} e}) \frac{3\pi}{4G^2 m_e} = -.002 \pm .04$

vector or axial vector = ϵ

Step ② $\bar{\nu}_{e} e^{-} \rightarrow \bar{\nu}_{e} e^{-}$;

reduction to two solutions: resolves sign ambiguity

Step ③ how to reduce $C_A^1 = 0$ vs. $C_V^1 = 0$?

\Rightarrow needs: weak-electromagnetic interference measurement? final proof of unification!!

AC sd
 \Rightarrow

- ① parity violation in atomic physics
- ② inclusive scattering of polarized electrons
- ③ $e^+ e^- \rightarrow \mu^+ \mu^-$ asymmetry

ν_e -scattering results at accelerators

I. $\nu_{\mu} \rightarrow \nu_e$ [10⁻⁴² cm²/GeV]
Minutes of the 108th SPSC Meeting held on 5-6 November 1985, SPSC 85-108(*)

| Experiment | Detector | $\langle E_{\nu} \rangle$ | ν_e events | background | S/B | σ_{ν_e}/E |
|---------------------------|-----------------------|---------------------------|----------------|------------|------|--------------------|
| Gargamelle PS 73 | BC | 2.2 GeV | 1 | 3 ± 1 | | < 3 (90% C.L.) |
| Aachen-Padua PS 75 | Spark Chamber | 2.2 GeV | 11 | 3 | 1.8 | 1.1 ± 0.6 |
| Gargamelle SPS (78) | BC | 25 GeV | 9 | 0.5 ± 0.2 | 18 | 2.4 + 1.2 - 0.9 |
| BNL-Columbia FNAL (78) | 15' BC | 30 GeV | 11 | 0.5 ± 0.2 | 18 | 1.8 ± 0.8 |
| BMWOP (FNAL) | Spark Chamber | 20 GeV | 40 | 12 | 2.8 | 1.4 ± 0.3 ± 0.2 |
| CHARM (SPS) | counterprop. marble | 31 GeV | 46 ± 12 | 64 ± 10 | 0.72 | 1.8 ± 0.3 ± 0.4 |
| BNL E 734 | counter Liquid Scint. | 2.2 GeV | 51 ± 9 | 25 ± 3 | 2.0 | 1.6 ± 0.29 ± 0.26 |
| World average | | | 165 events | | | 1.53 ± 0.18 |

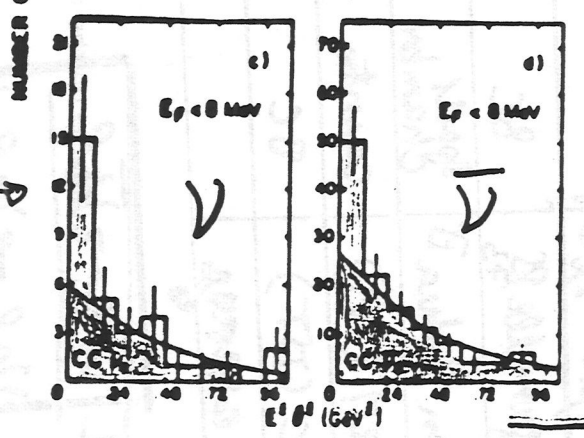
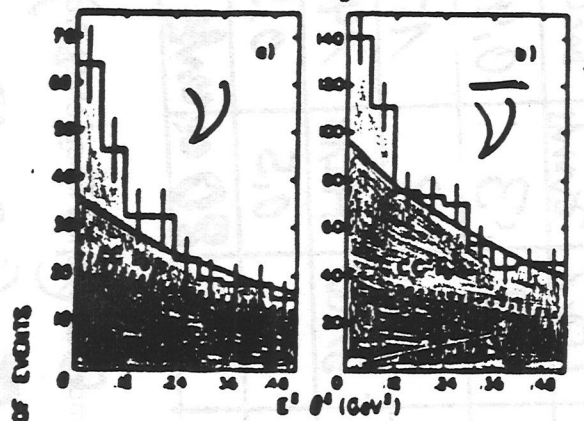
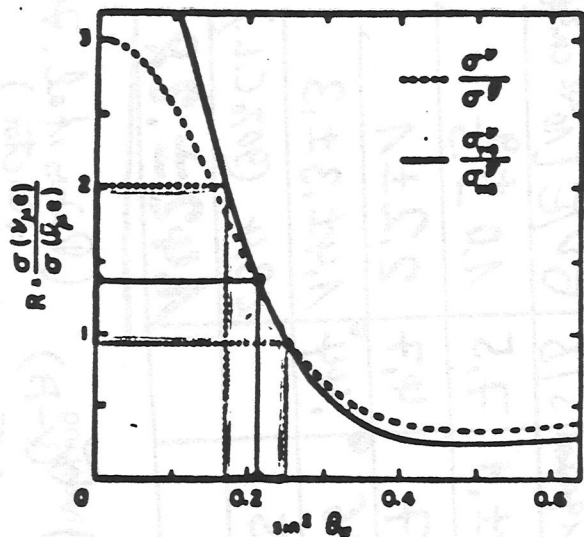
II $\nu_{\mu} e \rightarrow \nu_e e$

| Experiment | Detector | $\langle E_{\nu} \rangle$ | ν_e events | background | S/B | σ_{ν_e}/E [10 ⁻⁴² cm ² /GeV] |
|------------------|---------------|---------------------------|----------------|------------|------|-------------------------------------------------------------|
| Gargamelle PS 73 | BC | 3.2 | 3 | 0.4 ± 0.1 | 7.5 | 1.0 ± 0.9 - 0.5 |
| Aachen Padua B | Spark Chamber | 2.2 | 8 | 1.7 | 4.7 | 2.2 ± 1 |
| CHARM (SPS) | counter | 31 GeV | 77 ± 19 | 146 | 0.44 | 1.4 ± 0.3 ± 0.3 |
| BEC (CIST) | BC | | 0.5 | 0.5 | | < 3.4 (90% C.L.) |
| World average | | | 80 events | | | 1.42 ± 0.28 |

III $\nu_e e \rightarrow \nu_e e$ Available on the morning of the Research Board Meeting

$\sigma = (1.07 \pm 0.22) (V-A)$ (Reines et al. 76 reactor)

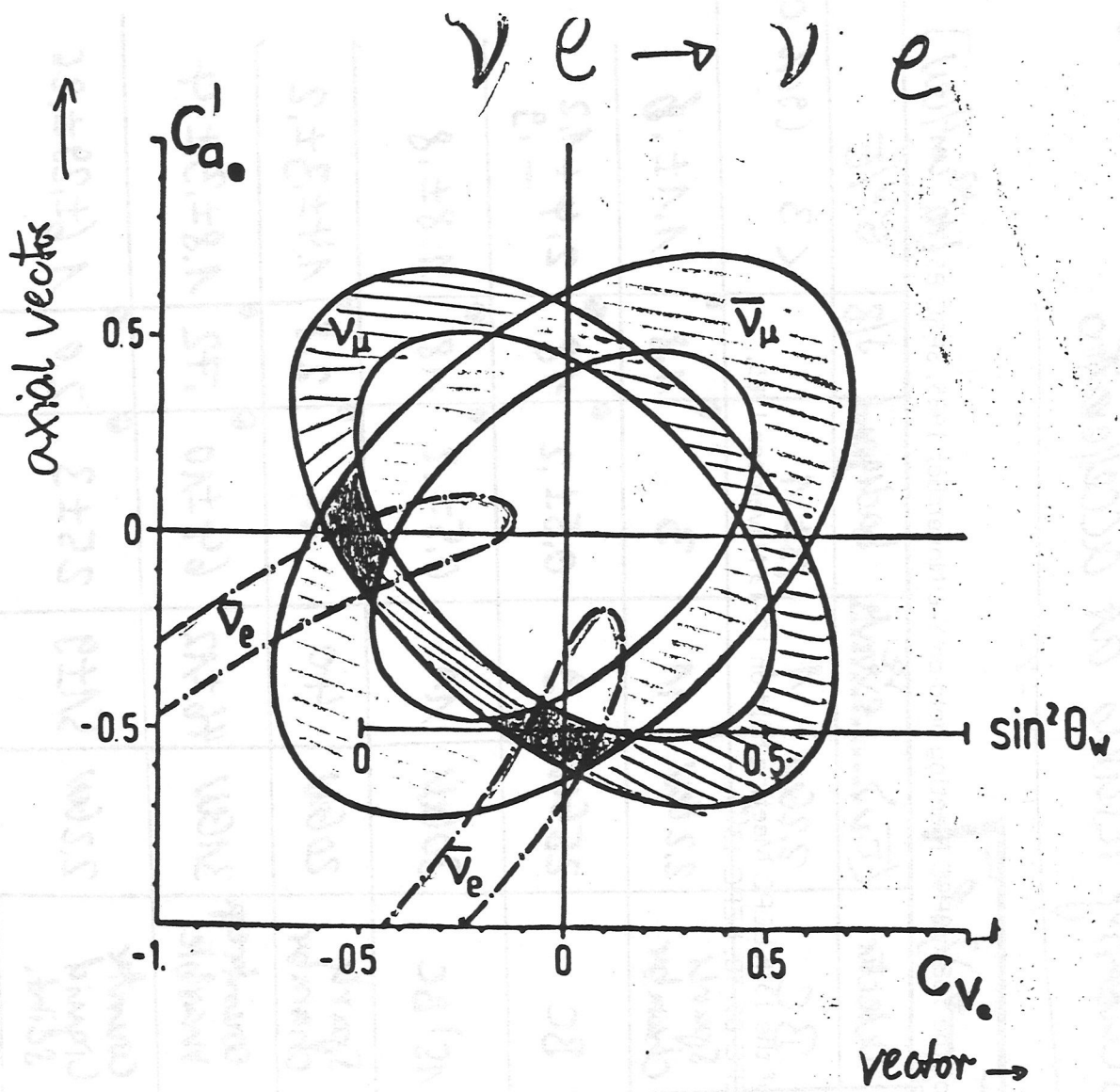
$\sigma = (9.5 \pm 3.7 \pm 1.8) E_{\nu} \cdot 10^{-42} \text{ cm}^2/\text{GeV}$ (LAMPF incl. 1984)



reject e^+e^- pairs at beginning

example: CHARM

$E_e^2 \theta_e^2$



Sorting out the Standard model

12. Minutes of the 108th SPSC Meeting held on 5-6 November 1985, SPSC 85-72, SPSC 108(*).

① semileptonic interactions *****

$$R_{\nu}^N = \frac{\sigma(\nu N \rightarrow \nu X)}{\sigma(\nu N \rightarrow \mu N)}$$

Minutes of the 15th LEPC Meeting held on 12-13 November 1985, CERN/LEPC (85-10, LEPC 130).

a) not pure V or A
b) significant but small (V+A) component

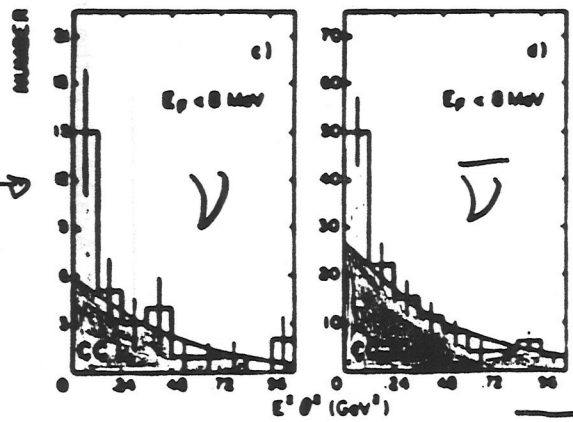
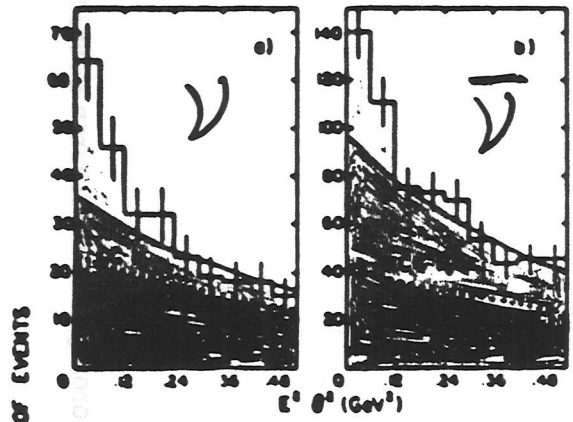
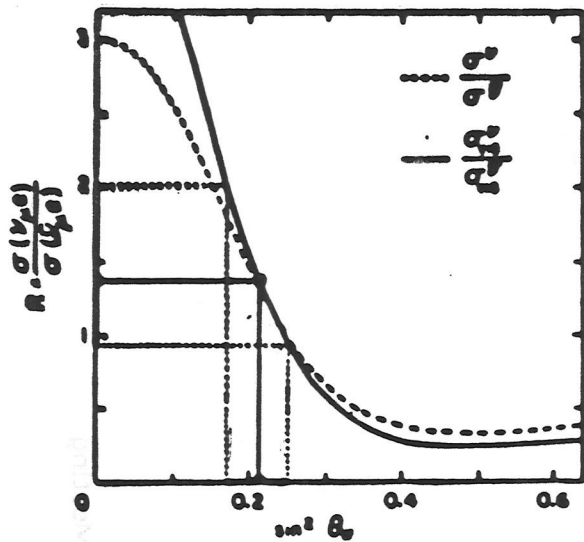
$$\begin{aligned} & \rightarrow S^2 \{ C_L^2(u) + C_L^2(d) \} \\ & S^2 \{ C_R^2(u) + C_R^2(d) \} \end{aligned}$$

② measurements on free nucleons (H, D)
separation of u and d couplings

all couplings uniquely determined! (first in 78)

③ $\bar{\nu}_\mu e \rightarrow \bar{\nu}_\mu e$ scattering + $\nu_e e \rightarrow \nu_e e$

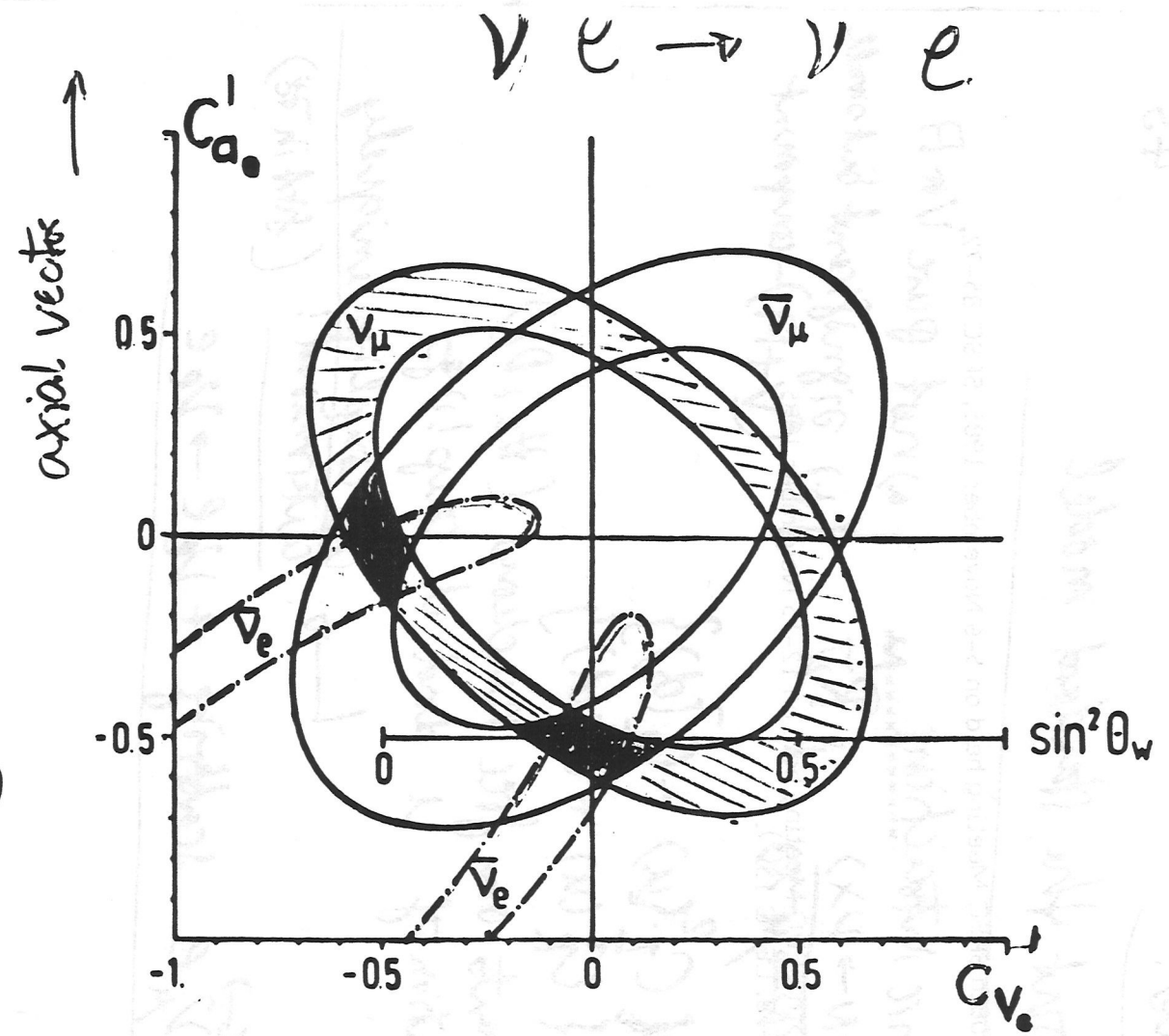
(*) Available on the morning of the Research Board Meeting



reject e^+e^- pairs at beginning

example: CHARM

$E_e^2 \theta_e^2$



$$C_V^1 \cdot C_A^1 = -0.002 \pm 0.04$$

↳ electron NC either pure vector or pure axial vector
 Needs: measurement of pseudoscalar

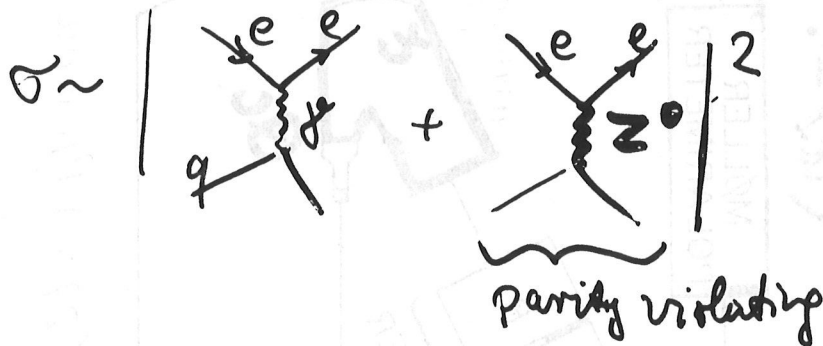
SLAC σ_D - experiment (78): ^{inelastic scattering} of polarized electrons

measured quantity:

12. Minutes of the 108th SPSC Meeting held on 5-6 November 1985, SPSC 85-72, SPSC 108(*).

$$R = \frac{\sigma_R(e \uparrow D \rightarrow ex) - \sigma_L(e \downarrow D \rightarrow ex)}{\sigma_R(e \uparrow) + \sigma_L(e \downarrow)}$$

13. Minutes of the 13th LEPC Meeting held on 12-17 November 1985, CERN/LEPC 85-38, LEPC 13(*).



$$R = \frac{A_{weak} \cdot A_{el.magn.}}{|A_w + A_{el.magn.}|^2} \approx \frac{A_{weak}}{A_{el.magn.}} \approx \frac{G_F}{4\pi} \cdot \frac{Q^2}{s} \approx 10^{-4}$$

for $Q^2 = 16 \text{ GeV}^2$

$$= a_1(x) + a_2(x) \left[\frac{1 - (1-y)^2}{1 + (1-y)^2} \right]$$

$$\left\{ \begin{array}{l} a_1(x) (\sigma_R + \sigma_L) : C_V^1 \cdot C_V(y) \\ a_2(x) (\sigma_R + \sigma_L) : C_V^1 \cdot C_A(y) \end{array} \right\} \text{ y-dependence!}$$

c.g: $C_V^1 = 0 \Rightarrow R = \text{const.}$ (independent of y)
 $C_A^1 = 0 \Rightarrow R = 0$ for y=0; varies with y!

Note: similar experiment done by BCDMS with polarized muons!

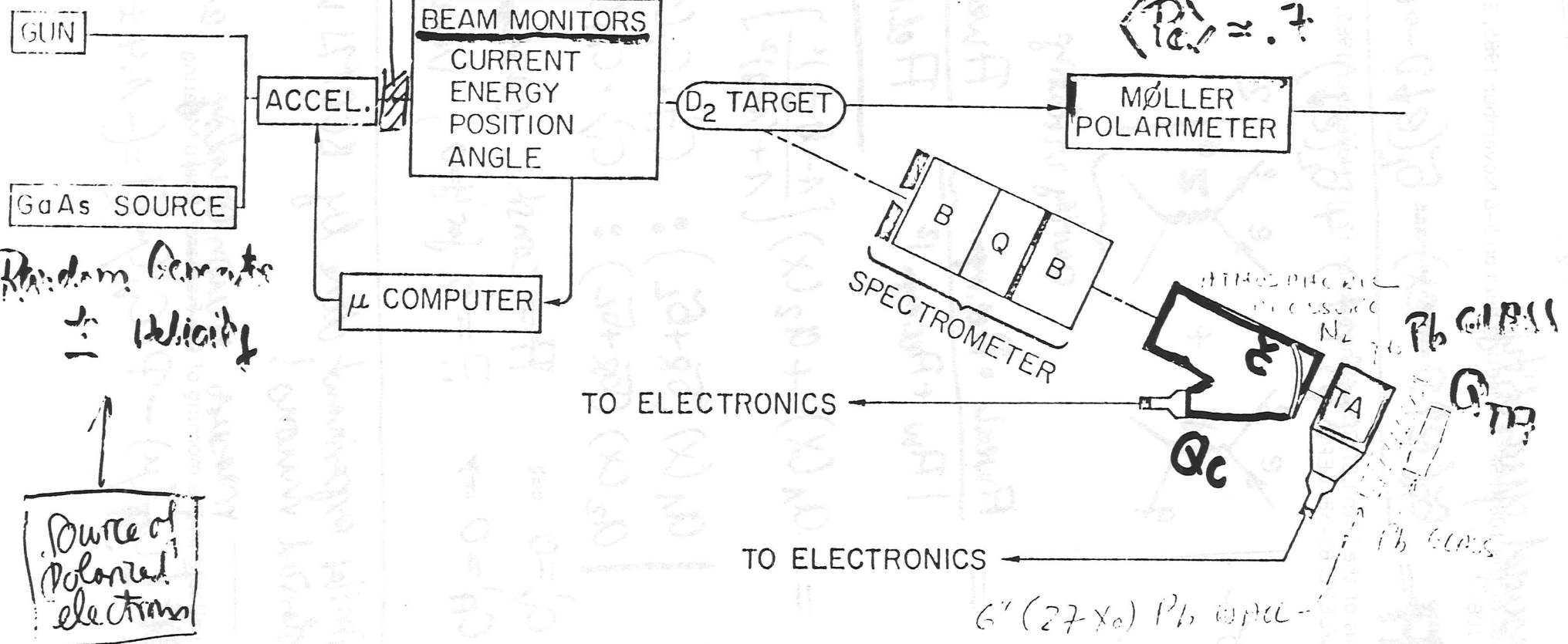
measures muon coupling and e- μ universality

(*) Available on the morning of the Research Board Meeting

$$F_{\mu} = -g^2 Q^2 [C_V^1(\mu) - P \cdot C_V^1(\mu)] = (-1.4 \pm 0.35 \pm 0.2) \cdot 10^{-4} \text{ GeV}^{-2} \cdot g(y) \cdot Q^2$$

Proposed 1970
 results 1978

bending magnet gives (g-2) precession! Q_c



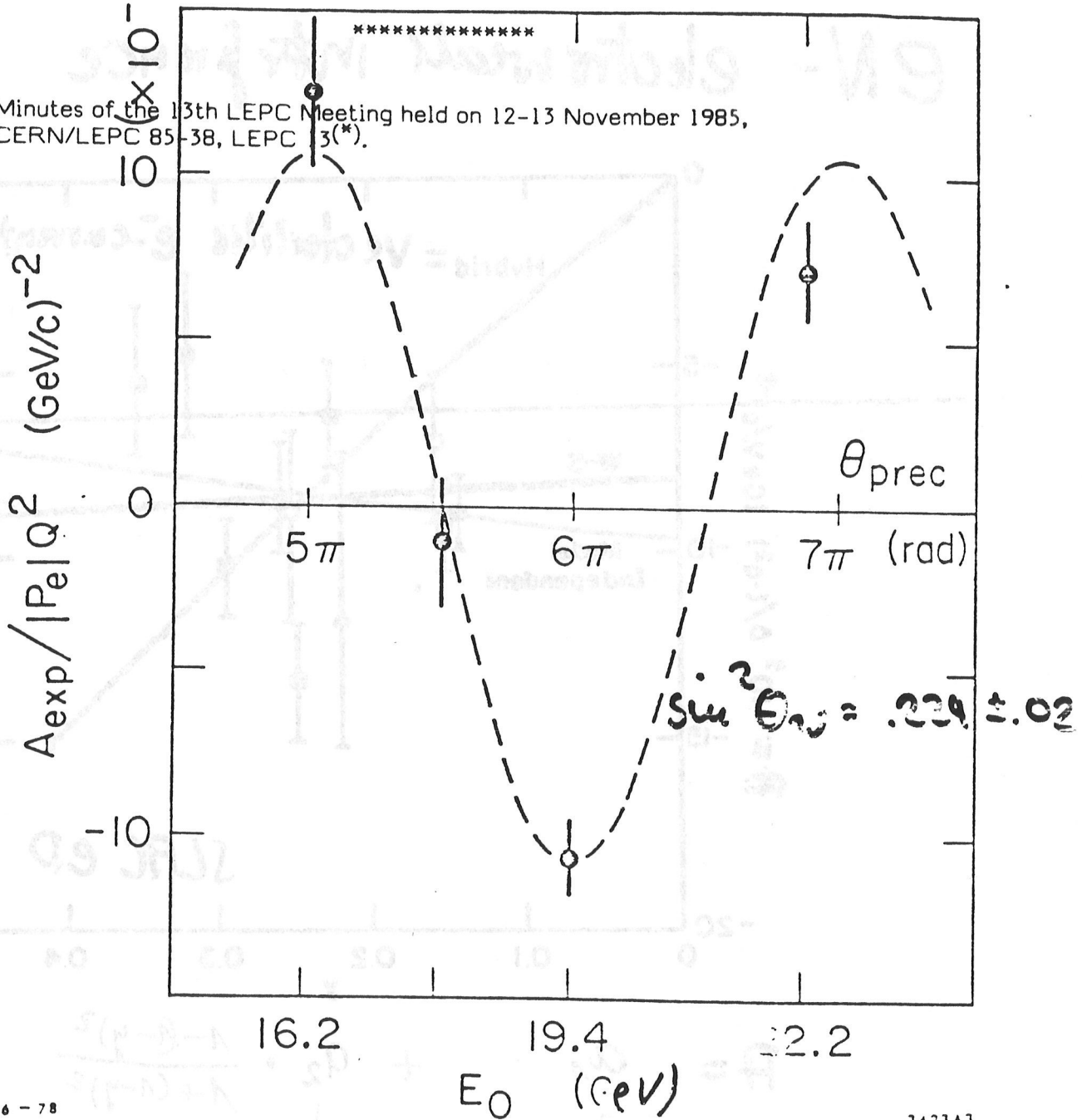
Random Generators to Helicity

Source of Polarized electrons

3423A1

12. Minutes of the 108th SPSC Meeting held on 5-6 November 1985, SPSC 85-72, SPSC 108

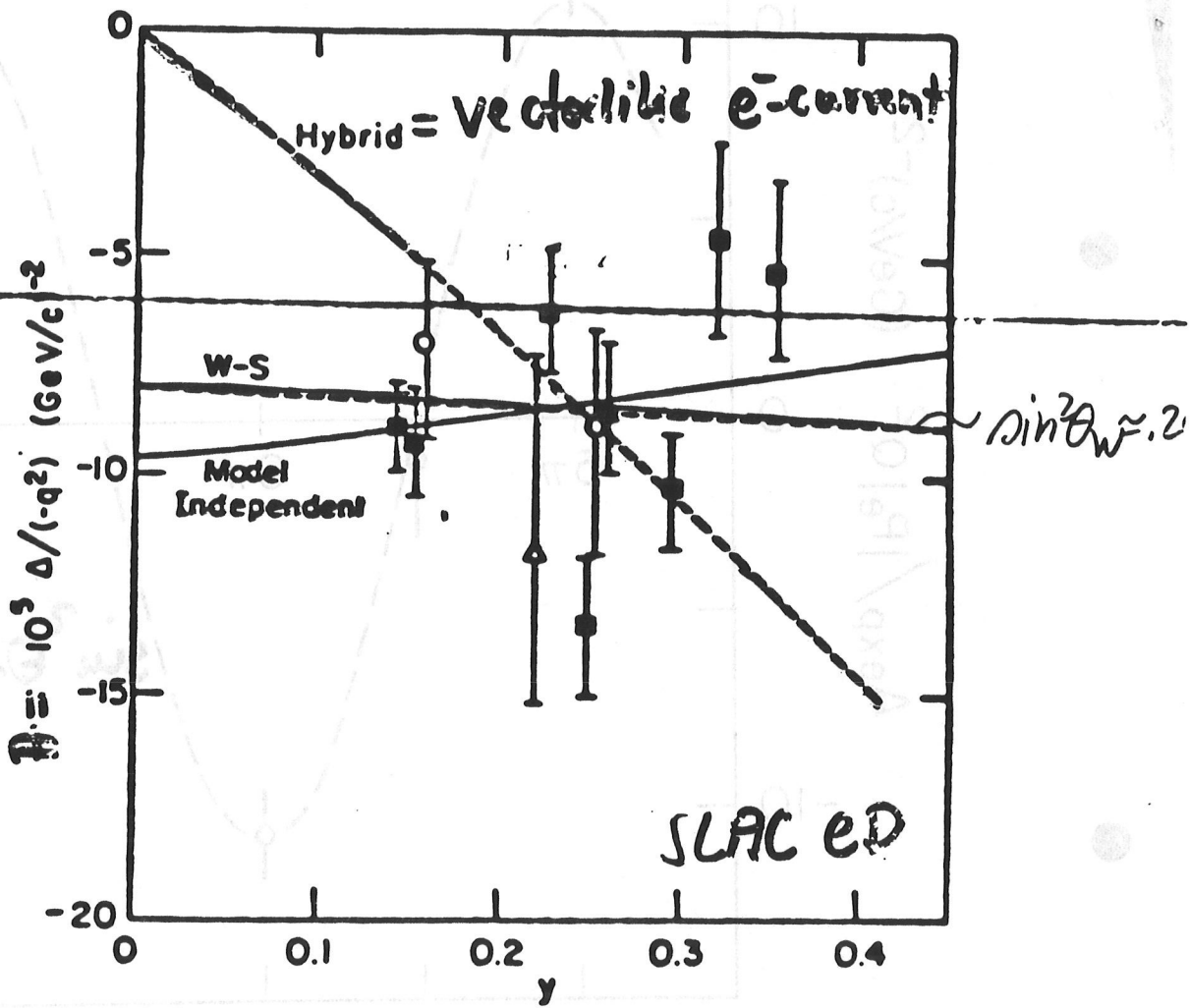
13. Minutes of the 13th LEPC Meeting held on 12-13 November 1985, CERN/LEPC 85-38, LEPC 13(*)



$$\theta_{prec} \sim (g-2) \cdot E_0$$

(*) Available on the morning of the Research Board Meeting

EN - electroweak interference



$$R = a_1 + a_2 \cdot \frac{1 - (1-y)^2}{1 + (1-y)^2}$$

\downarrow
 $G_1^e \cdot G_1^p$

\downarrow
 $G_2^e \cdot G_2^p$

SLAC e.p experiment: (78)

- ① showed electromagnetic-weak interference for the first time (essential ingredient of standard model)
- ② gave precise value of $\sin^2 \theta_w$:

$\sin^2 \theta_w = 0.20 \pm 0.03$ SLAC (78)
 $\sin^2 \theta_w = 0.24 \pm 0.02$ CDHS (77)

gives strong support to the universality of the coupling. (model dependent)

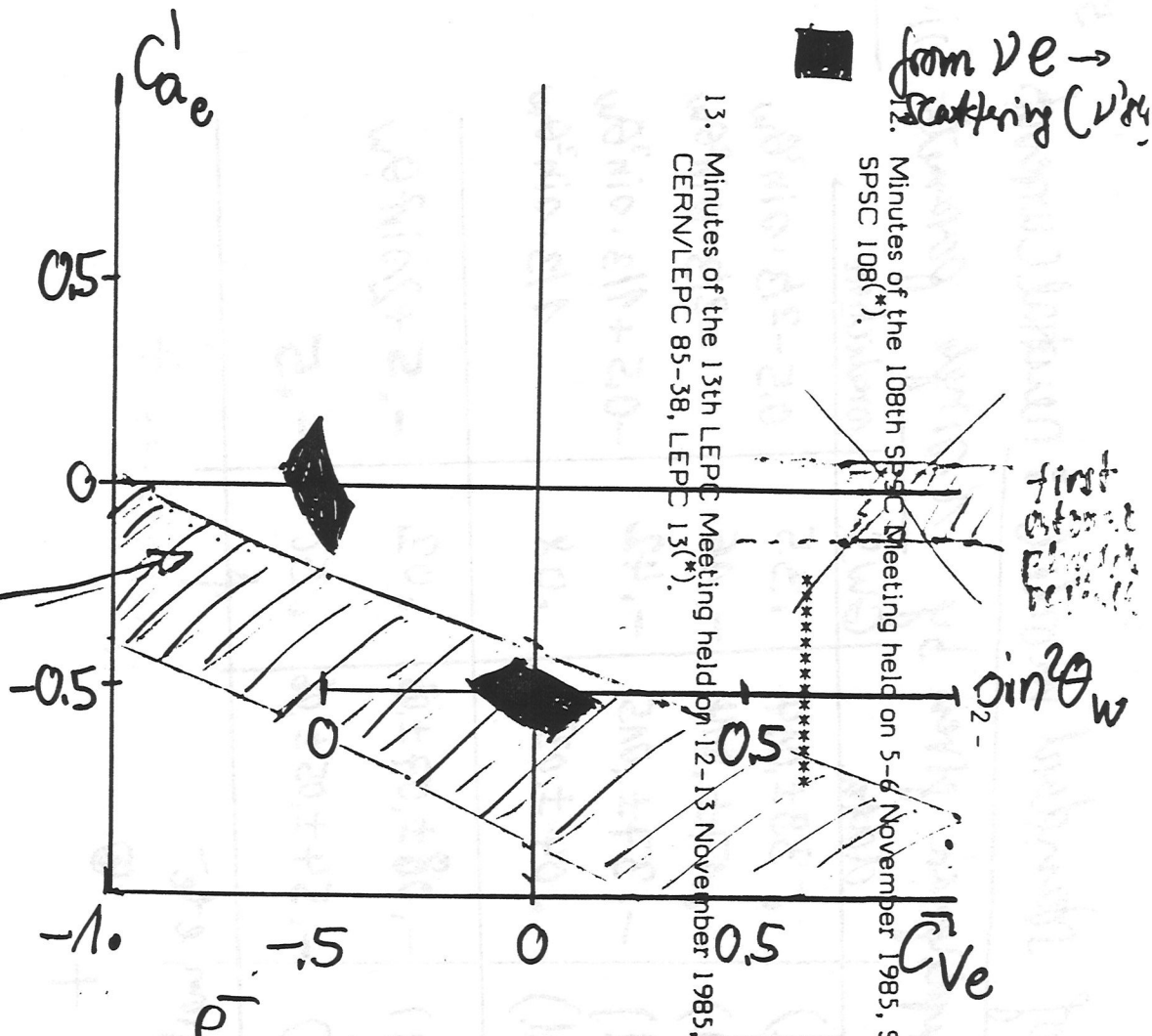
- ③ if excluded vectorlike electron currents which were favoured at that time by atomic physics expts.

Standard model was established (apart from $W^3, Z^0, Higgs...$)

Sakurai 78

Despite the almost unbounded imagination of the model builders, the only model that has survived, is the least imaginative, standard model

SLAC e.p



| | |
|-----------------------------------|-------------------------|
| $C_V^e = -0.08 \pm 0.07 \pm 0.03$ | using C_A^e from e.e. |
| $C_A^e = 0.54 \pm 0.05 \pm 0.06$ | |
| $C_V^e(\mu) = -0.17 \pm 0.36$ | BCDM |

(b) Tests of standard model : neutral currents

i) all couplings are given by 1 single parameter $\sin^2 \theta_w$

| | | data | GWS | prediction |
|---------|------------|------------------------|------|------------------------------------|
| νq | $C_L(u)$ | $.39 \pm .014$ | .35 | $0.5 - 2/3 \cdot \sin^2 \theta_w$ |
| | $C_R(u)$ | $-.17 \pm .014$ | -.15 | $-2/3 \cdot \sin^2 \theta_w$ |
| | $C_L(d)$ | $-.37 \pm .015$ | -.42 | $-0.5 + 1/3 \cdot \sin^2 \theta_w$ |
| | $C_R(d)$ | $.04 \pm .027$ | .08 | $1/3 \cdot \sin^2 \theta_w$ |
| νe | $C_V^e(e)$ | $-.08 \pm .07 \pm .03$ | .02 | $-.5 + 2 \sin^2 \theta_w$ |
| | $C_A^e(e)$ | $-.54 \pm .05 \pm .06$ | .50 | -.5 |

$\left. \begin{matrix} e\mu \\ e\nu \\ eb \\ ec \end{matrix} \right\}$

from e^+e^-
+ \odot

↑
for $\sin^2 \theta_w = .23$

ii) determination of S : relative strength of neutral and charged currents
 $S \equiv 1$ in standard model (Higgs doublet + ...)

best values from inclusive νN scattering

$\nu N \quad S = 1.01 \pm .02 \quad \nu \bar{\nu}$

iii) consistency of $\sin^2 \theta_w$ for all processes?
 tested from $Q^2 \approx 10^{-6}$ up to $Q^2 \approx 10^3$

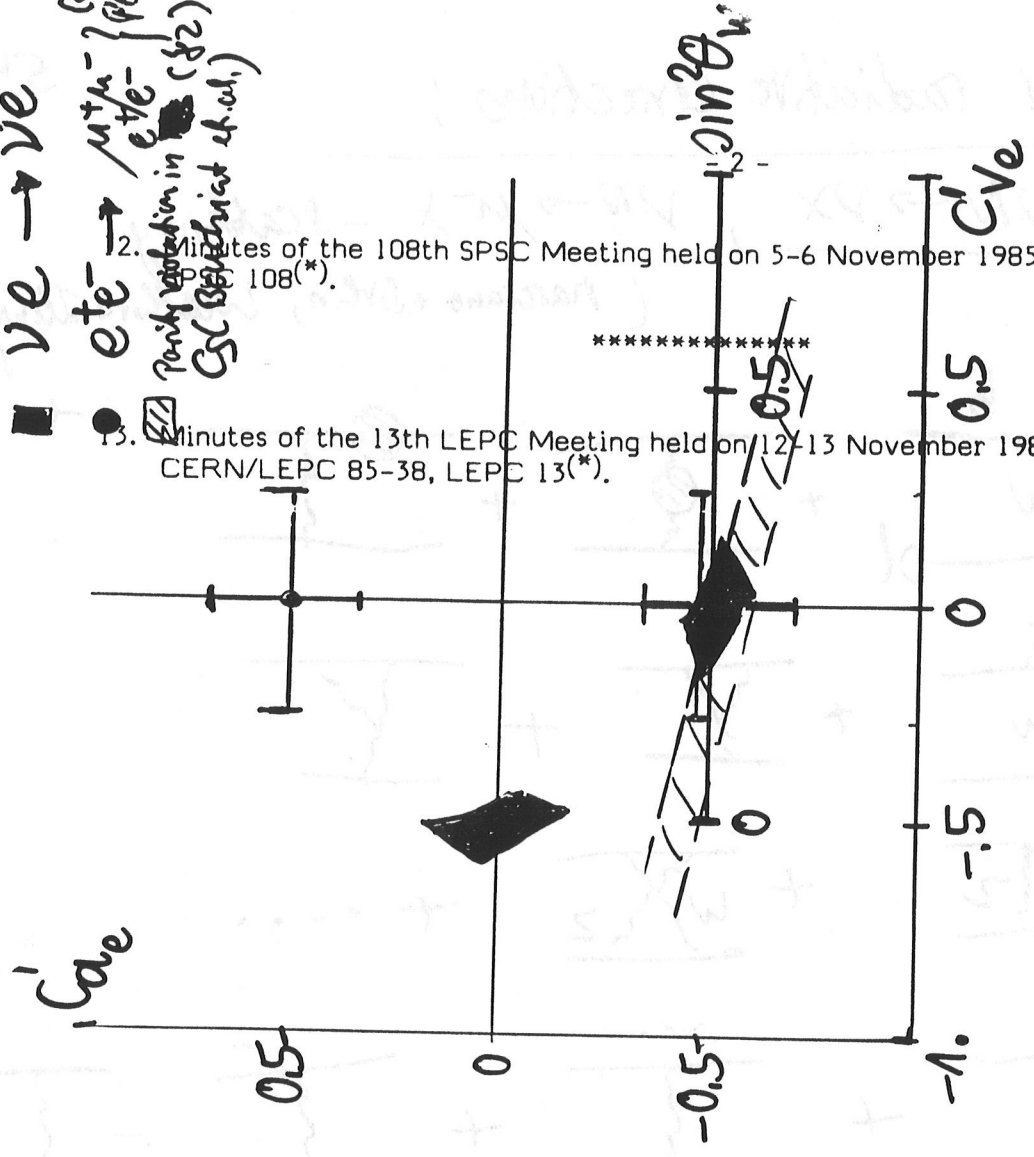
→ needs d. weak radiative corrections $O(\alpha)$ to be meaningful : $\sin^2 \theta_w$ depends on Q^2 !!
 → $\sin^2 \theta_w(Q^2)$: running coupling constant

$\nu e \rightarrow \nu e$

$e^+e^- \rightarrow \mu^+\mu^-$ (82)
 Parity violation in e^+e^- (82)
 GSI (Berthold et al.)

2. Minutes of the 108th SPSC Meeting held on 5-6 November 1985, SPSC 85-72, 108(*).

3. Minutes of the 13th LEPC Meeting held on 12-13 November 1985, CERN/LEPC 85-38, LEPC 13(*).



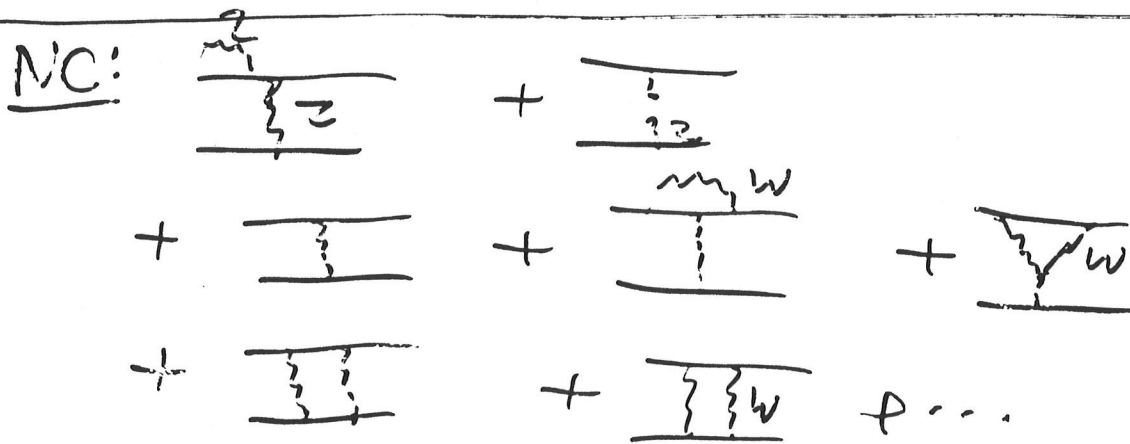
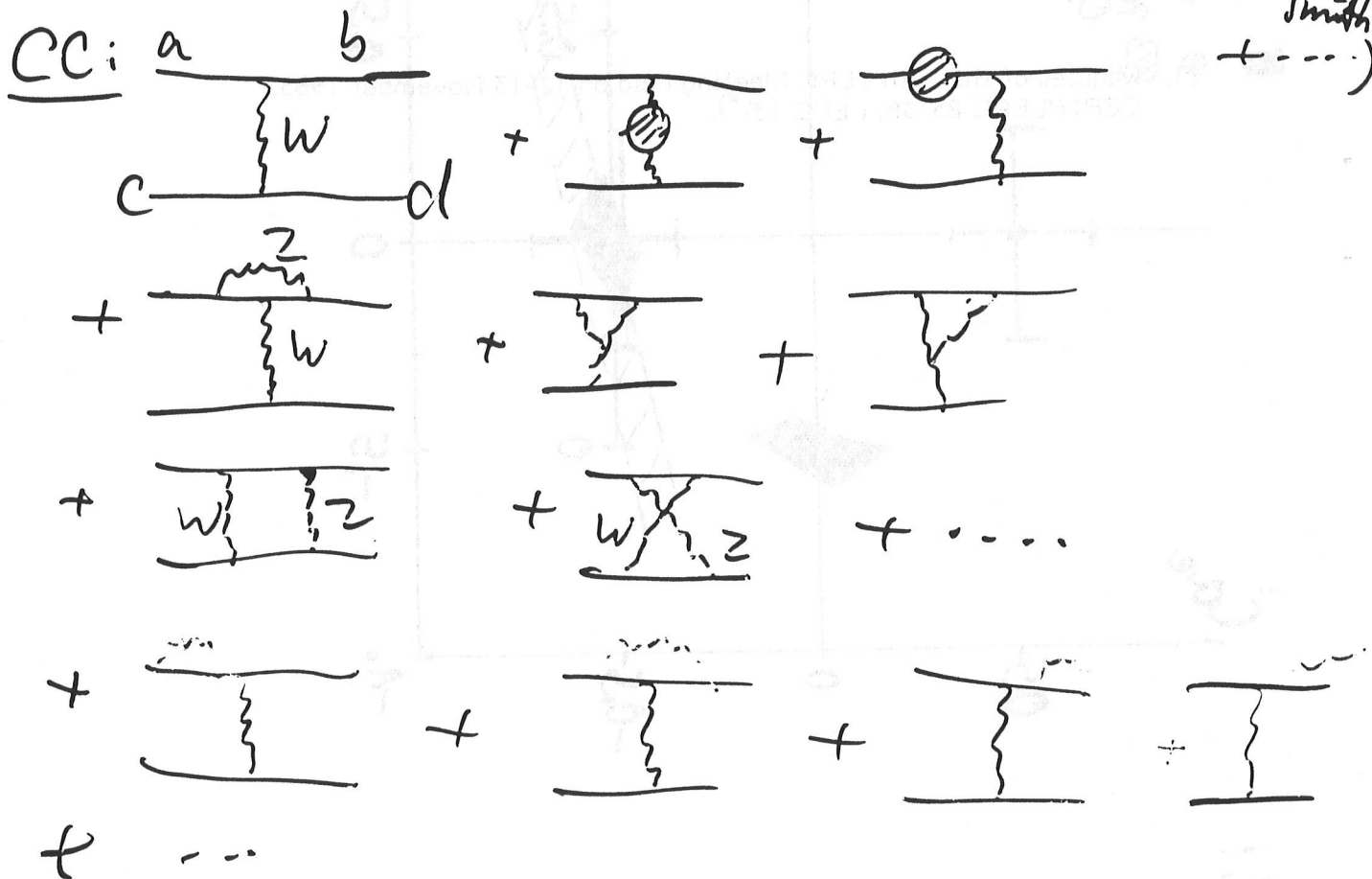
Test of standard model:
electron couplings

(*) Available on the morning of the Research Board Meeting

electroweak radiative corrections:

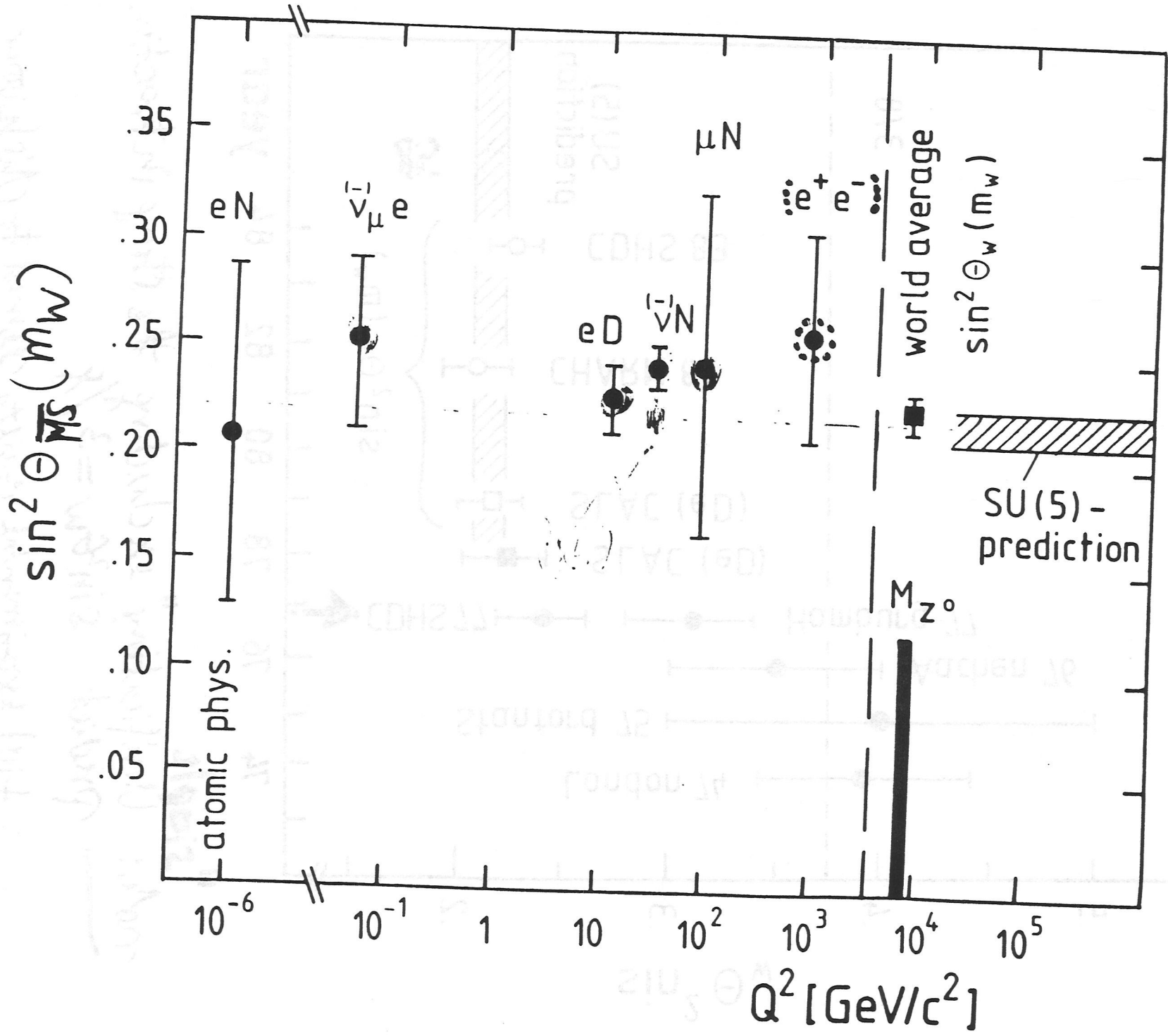
example: $\nu N \rightarrow \nu X$, $\nu N \rightarrow \mu^- X$ - scattering

(Marciano + Sirlin, Weather + Jlewelly-Smith + ...)



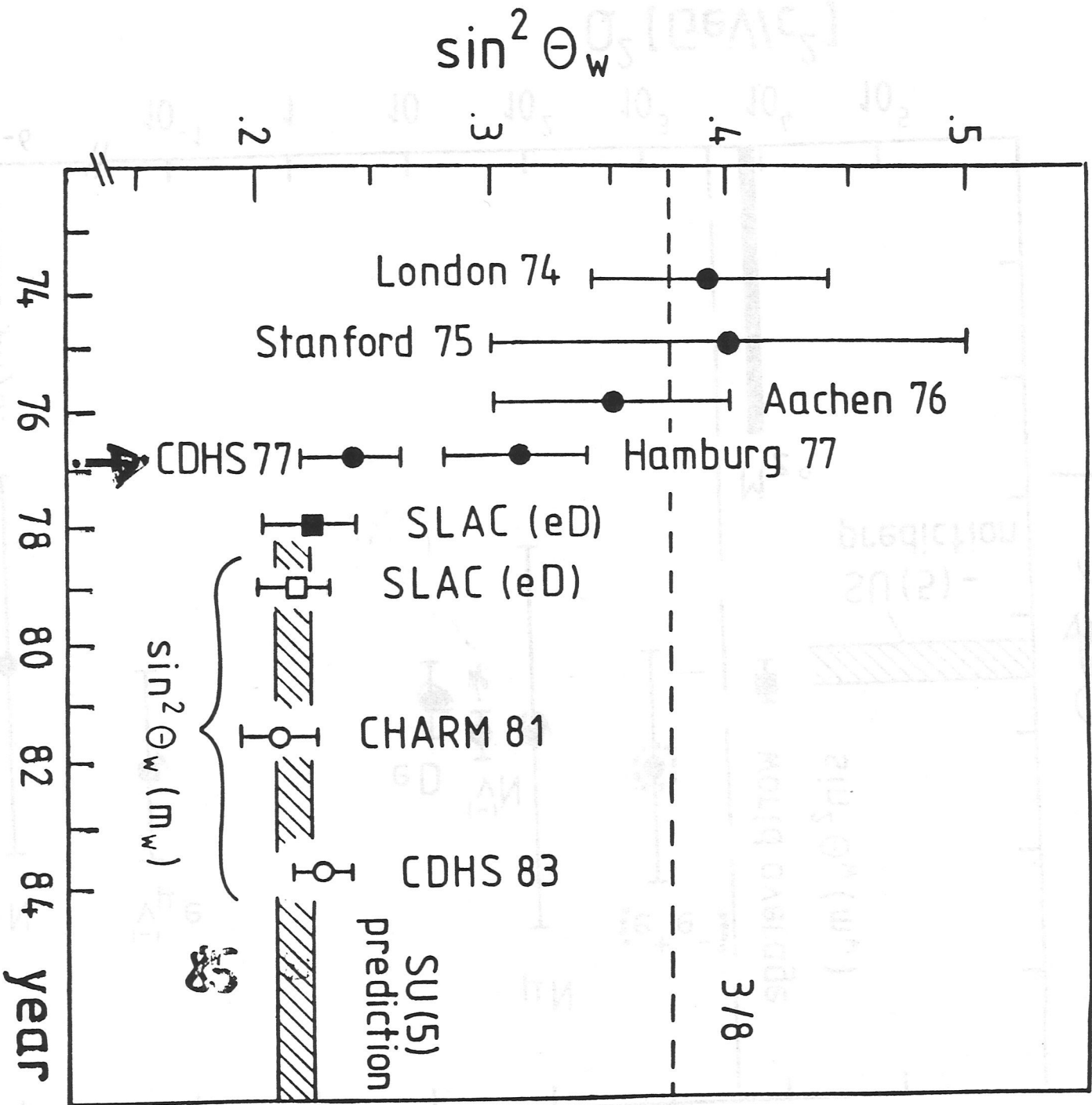
note: purely electromagnetic corrections dominate!

but:
 ||| corrections finite only within gauge
 " theories!



Grand unified theories need $\sin^2 \theta_W \approx 0.2$.

History of $\sin^2 \theta_w$

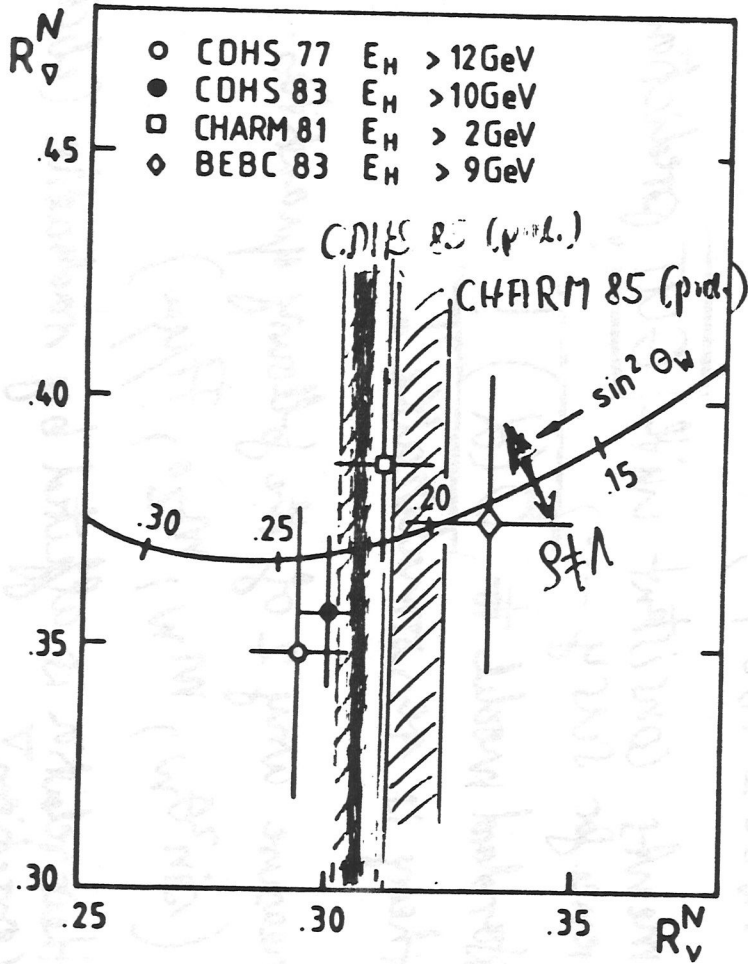


"simple"

notes: Unification "excluding" the strong interaction.

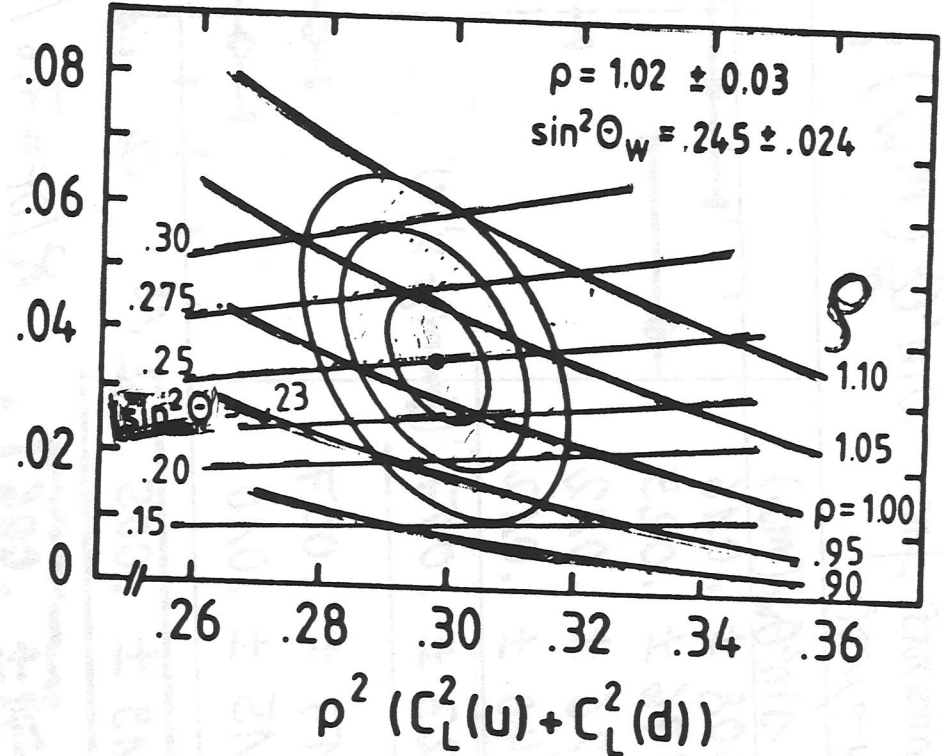
Predict $\sin^2 \theta_w = 3/8$

first experimental results, derived to Chicago via GUT's



isoscalar targets

$$\rho^2 (C_R^2(u) + C_R^2(d))$$



master equations

$$\left. \begin{aligned} S^2 [C_L^2(u) + C_L^2(d)] &= (R_V^N - r^2 R_V^N) / (1 - r^2) + \text{corr} \\ S^2 [C_R^2(u) + C_R^2(d)] &= (R_V^N - R_V^N) (1/r - r) + \text{corr} \end{aligned} \right\}$$

$R_V^N = (\nu N \rightarrow \nu X) / (\nu N \rightarrow \mu X)$ Precision experiments for $\sin^2 \theta_{MS}(m_W)$:

| | $\sin^2 \theta_W(m_W)$ | |
|-------------------|---------------------------------|--|
| CHARM 81 | $.208 \pm .016$ | |
| ABCDAS 83 | $.176 \pm .025$ | |
| CDHS 83 | $.226 \pm .012$ | |
| LCFRR 84 | $.240 \pm .012$ | |
| average 84 | $.223 \pm .007$ (Gruniger 1/84) | |
| CDHS 85 | $.217 \pm .007$ | |
| CHARM 85 | $.215 \pm .010$ | |
| World average 85: | $.219 \pm .005$ | |

• theoretical uncertainty $\rightarrow \pm .006$ • $\chi^2/DF = 7.6/6$
 (mainly charm mass effect)

SUSY prediction: $.248 \pm .004$ (Marciano, Sirlin)₈₅

(minimal susy GUT's $\rightarrow .236 \pm .004$)

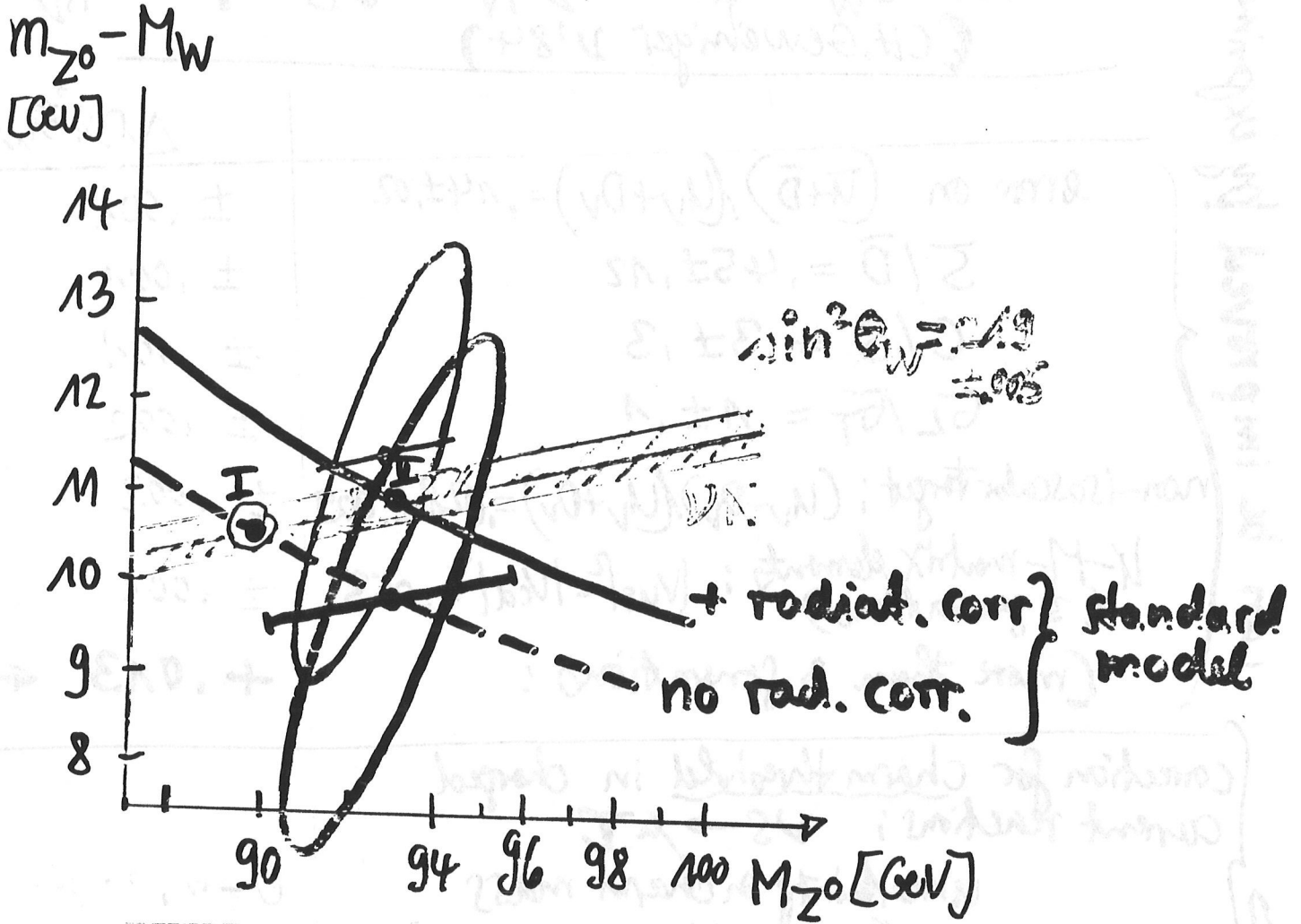
\Rightarrow ① measurements consistent with GUT prediction
 (no preference for SUSY ...)

\Rightarrow ② test of standard model to $O(\alpha)$!
 theory is renormalizable!

idea: measure any 2 of the following quantities:
 ($\sin^2 \theta_W, m_W, m_{Z^0}, \Gamma_{\text{th}}^{\nu}$)

\Rightarrow their relation is affected by radiative (electroweak) corrections!

② consistency with $m_{Z^0}, m_W \pm$ and electroweak radiative corrections: 59



correction for νN : $\sim 4\%$ on $\sin^2 \theta_W(m_W)$

$$m_W = \frac{37.281 \text{ GeV}}{\sin \theta_W \sqrt{1 - \Delta T}} = \frac{38.8 \text{ GeV}}{\sin \theta_W}$$

rad. corr: $\Delta T = 1 - \left(\frac{37.281 \text{ GeV}}{M_W \cdot \sin \theta_W} \right)^2 = 0.077$ predicted!

expt: $\Delta T = 0.08 \pm 0.05$ ($\nu N + m_W/c$)

conclusion: standard model tested $\delta(\text{cor}) \approx 0.02!$
 to $\mathcal{O}(\alpha)$ within $\sim 2\%$. Neutrino experiments are not
 the limiting factor \Rightarrow LEP!

theoretical uncertainties in the determination of $\sin^2 \theta_W$ from $\nu N - DIS$: R_{ν}^N
 (Ch. Geweniger $\nu'84$)

can be improved by experimentally

| | $\Delta \sin^2 \theta_W$ |
|------------------------------------------------------------------------------|--------------------------|
| error on $(\bar{u} + \bar{d}) / (u_V + d_V) = .14 \pm .02$ | $\pm .001$ |
| $\bar{S} / \bar{D} = .45 \pm .12$ | $\pm .001$ |
| $C / S = .3 \pm .3$ | $\pm .001$ |
| $\sigma_L / \sigma_T = .1 \pm .1$ | $\pm .002$ |
| non-isoscalar target : $(u_V - d_V) / (u_V + d_V) = .028 \pm .009$ | $\pm .003$ |
| $U-M$ -matrix elements : $ V_{us} ^2 = V_{cd} ^2 = .053$ (3 generations) | $\pm .000$ |
| (more than 3 generations : | $+ .013 \leftarrow$) |

correction for charm threshold in charged current reactions : $\nu S \rightarrow \mu^- C$

uncertainty in charm mass
 $m_c (GeV) = 1.2 \pm 0.1 \rightarrow m_c = 1.5 (GeV)$

$0 - 0.004$

highest twists ??

$\pm .002$

theorists needed, can they help? total

$\pm .006$

'conservative' estimate!

note: theoretical uncertainties dominate already!

way out:

precision measurement of $\gamma_{int} - \gamma_{ext}$
 CHARM II : $\Delta \sin^2 \theta_W = \pm .005$

C) Test of standard model: charged currents

- • V-A structure : $C_L = \frac{C_A + C_V}{2}$, $C_R = \frac{C_A - C_V}{2}$
- • GIM - mechanism
- U_{cs} , U_{cd} - couplings (parameters)

(i) partial differential cross-section for the reaction $\nu + q_i \rightarrow l + q_j$:

$$\Rightarrow \frac{d^2\sigma^{ij}}{dx dy} = \frac{G^2 M E_\nu}{\pi} [U_{ij} q_i(x) S_{ij} (A + B(1-y)^2)]$$

$S_{ij} = 1$ for $m_i = m_j$ } threshold suppression of heavy quark production
 $S_{ij} \neq 1$ for $m_i \neq m_j$

table!

$$\frac{d^2\sigma^\nu}{dx dy} = \frac{G^2 M E_\nu}{\pi} \left\{ C_L^2 q^\nu(x) + C_R^2 \bar{q}^\nu(x) + (1-y)^2 [C_L^2 \bar{q}^\nu(x) + C_R^2 q^\nu(x)] \right\}$$

$$\frac{d^2\sigma^{\bar{\nu}}}{dx dy} = \frac{G^2 M E_\nu}{\pi} \left\{ C_L^2 \bar{q}^\nu(x) + C_R^2 q^\nu(x) + (1-y)^2 [C_L^2 q^\nu(x) + C_R^2 \bar{q}^\nu(x)] \right\}$$

flat: right-handed particles $q_L(x)$ $(1-y)^2$: left-handed particles $q_R(x)$

simplify: i) neglect couplings to 3. generation (ok)
 ii) neglect charm threshold effects + quite large!

| | $q(x)$ | $\bar{q}(x)$ |
|-----------------------------------|-----------------|-----------------------|
| $\nu p \rightarrow \mu^- X$ | $2x(u+s)$ | $2x(\bar{u}+\bar{c})$ |
| $\bar{\nu} p \rightarrow \mu^+ X$ | $2x(u+c)$ | $2x(\bar{d}+\bar{s})$ |
| $\nu N \rightarrow \mu^- X$ | $q(x) + x(s-c)$ | $\bar{q}(x) - x(s-c)$ |
| $\bar{\nu} N \rightarrow \mu^+ X$ | $q(x) - x(s-c)$ | $\bar{q}(x) + x(s-c)$ |

$$q(x) = x(u+\bar{d}+s+c)$$

$$\bar{q}(x) = x(\bar{u}+\bar{d}+\bar{s}+\bar{c})$$

Table 5.4a) Elementary quark cross-sections leading to light and charmed quarks

I. charged current reactions

| reaction | σ_{ij}^* | y-distribution | | ϵ_{ij}^* | $q_L(x)$ | | |
|----------------------------------------------------------------------------|------------------------------------------------|--------------------|--------------------|----------------------|----------------------------------|----------------------------------|----------------------------------------------|
| | | A | B | | proton | neutron | isoscalar target |
| $\nu_\mu + d \rightarrow \mu^- + u$ $\mu^- + \bar{c}$ | $\sigma_{du}^2 = .94$ $\sigma_{dc}^2 = .06$ | C_L^2 | C_R^2 | 1 ϵ_{cd} | $2xd(x)$ $2xd(x)$ | $2xu(x)$ $2xu(x)$ | $x(u+d)$ $x(u+d)$ |
| $\nu_\mu + s \rightarrow \mu^- + \bar{c}$ | $\sigma_{sc}^2 = .94$ | C_L^2 | C_R^2 | ϵ_{cs} | $2xs(x)$ | $2xs(x)$ | $2xs(x)$ |
| $\mu^- + u$ | $\sigma_{su}^2 = .06$ | C_L^2 | C_R^2 | 1 | $2xs(x)$ | $2xs(x)$ | $2xs(x)$ |
| $\nu_\mu + \bar{u} \rightarrow \mu^- + \bar{d}$ $\mu^- + \bar{s}$ | $\sigma_{ud}^2 = .94$ $\sigma_{su}^2 = .06$ | C_R^2 C_R^2 | C_L^2 C_L^2 | 1 1 | $2x\bar{u}(x)$ | $2x\bar{d}(x)$ | $x(\bar{u}+\bar{d})$ |
| $\nu_\mu + \bar{c} \rightarrow \mu^- + \bar{d}$ $\mu^- + \bar{s}$ | $\sigma_{dc}^2 = .06$ $\sigma_{sc}^2 = .94$ | C_R^2 C_R^2 | C_L^2 C_L^2 | 1 1 | $2x\bar{c}(x)$ | $2x\bar{c}(x)$ | $2x\bar{c}(x)$ |
| $\bar{\nu}_\mu + u \rightarrow \mu^+ + d$ $\mu^+ + s$ | $\sigma_{du}^2 = .94$ $\sigma_{us}^2 = .06$ | C_R^2 C_R^2 | C_L^2 C_L^2 | 1 1 | $2xu(x)$ | $2xd(x)$ | $x(u+d)$ |
| $\bar{\nu}_\mu + c \rightarrow \mu^+ + d$ $\mu^+ + s$ | $\sigma_{dc}^2 = .06$ $\sigma_{sc}^2 = .94$ | C_R^2 C_R^2 | C_L^2 C_L^2 | 1 1 | $2xc(x)$ | $2xc(x)$ | $2xc(x)$ |
| $\bar{\nu}_\mu + \bar{u} \rightarrow \mu^+ + \bar{c}$ $\mu^+ + \bar{d}$ | $\sigma_{ud}^2 = .94$ $\sigma_{cd}^2 = .06$ | C_L^2 C_L^2 | C_R^2 C_R^2 | 1 ϵ_{cd} | $2x\bar{d}(x)$ $2x\bar{d}(x)$ | $2x\bar{u}(x)$ $2x\bar{u}(x)$ | $x(\bar{u}+\bar{d})$ $x(\bar{u}+\bar{d})$ |
| $\bar{\nu}_\mu + \bar{s} \rightarrow \mu^+ + \bar{c}$ $\mu^+ + \bar{u}$ | $\sigma_{cs}^2 = .94$ $\sigma_{su}^2 = .06$ | C_L^2 | C_R^2 | ϵ_{cs} 1 | $2x\bar{s}(x)$ $2x\bar{s}(x)$ | $2x\bar{s}(x)$ $2x\bar{s}(x)$ | $2x\bar{s}(x)$ $2x\bar{s}(x)$ |

* see chapter 8 ϵ_{ij}^* depends on the energy spectrum of the experiment

example: $\nu N \rightarrow \mu^- X$:

horrible

$$q_L^{\nu N}(x) = C_L^2 \left[\frac{1}{2} x(u+d) + \frac{1}{2} x(u+d) + 2xs(x) + \frac{1}{2} 2xs(x) \right] + C_R^2 \left[\frac{1}{2} x(\bar{u}+\bar{d}) + \frac{1}{2} 2x\bar{c}(x) \right] + \text{coupling to 3. generation!}$$

but fortunately

(V-A)-structure of CC

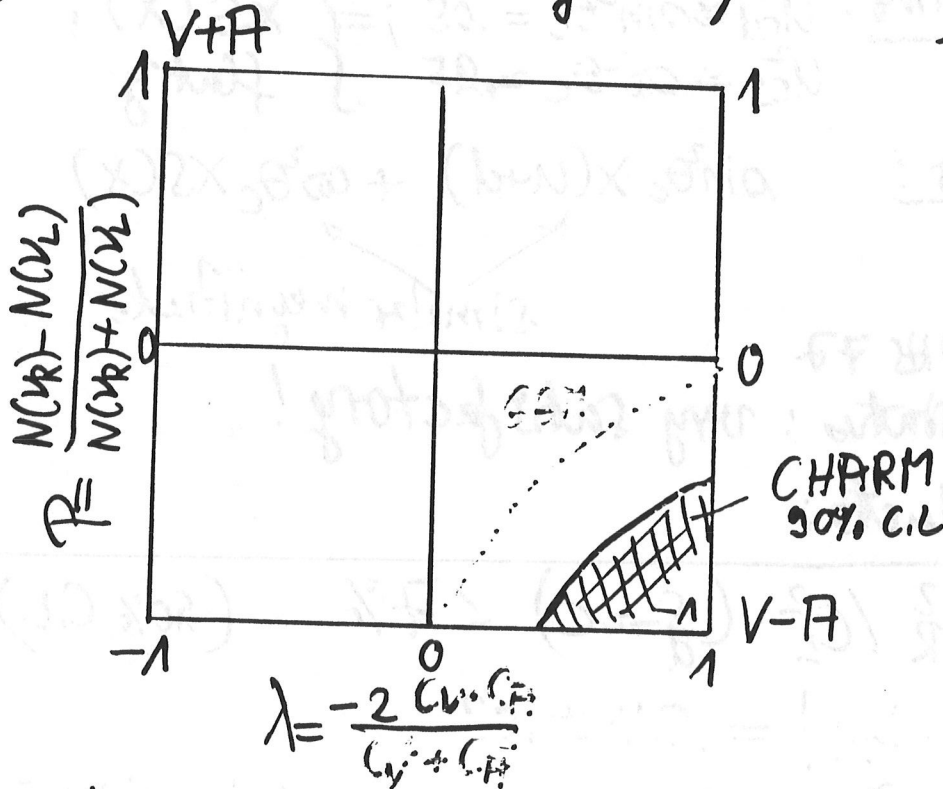
① measurement of muon polarization in $\bar{\nu}_\mu + N \rightarrow \mu^+ + X$
 CHARM 2 (some help by CDHS): only safe way to rule out S,P,T

$$\frac{\sigma_{S,P}}{\sigma_{TOT}} \leq .07 \quad (95\% \text{ C.L.})$$

$$H_{\mu^+} = .82 \pm .07 \pm .12 (1\sigma) = 1 \text{ for V-A!}$$

$$= -1 \text{ for S,P,T}$$

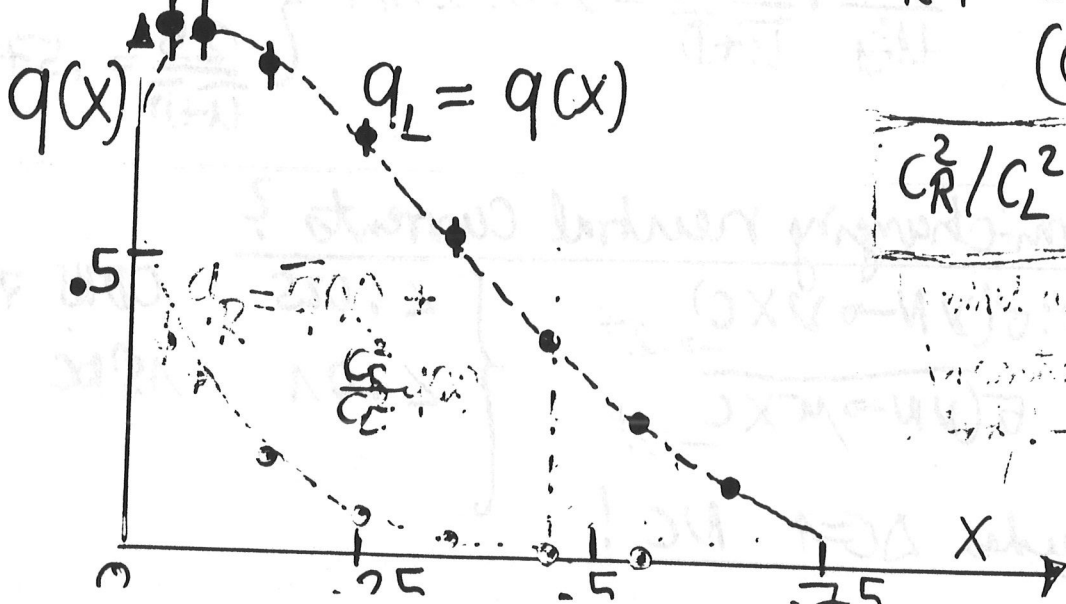
② inverse muon decay: $\nu_\mu + e^- \rightarrow \mu^- + \nu_e$



- ① resolves sign and parity of μ decay
- ② 5 times larger CME
- ③ incoming neutrinos are left handed

③ inclusive measurements: measure q_R, q_L : limit on right handed couplings!

(CDHS #2)



$$C_R^2 / C_L^2 < .009$$

...
 ...
 ...

⊕ test of GIM-mechanism : indispensable ingredient of standard model

a) single charm production:

$$\frac{d^2\sigma}{dx dy} (\bar{\nu} N \rightarrow \mu^+ X) = \frac{G^2 M E \nu}{\pi} \left\{ U_{cd}^2 X(u+d) + U_{cs}^2 X(S) \right\}$$

$\sin^2 \theta_c \approx .05 \quad \cos^2 \theta_c \approx .95$
 $\downarrow \quad \quad \quad \downarrow$

exp. signatures: i) dileptons opposite charge $\mu^+ \mu^-$ / $e^+ e^-$
 ii) strange hadrons in final state!

antineutrino: $U_{cd}^2 \approx \sin^2 \theta_c = .05$; $U_{cs}^2 \approx \cos^2 \theta_c = .95$ } $X \bar{S}(X)$!
 flat y

neutrino: $\sin^2 \theta_c X(u+d) + \cos^2 \theta_c X(S)$

similar magnitude

1. test: CDHS 77

present status: very satisfactory!

byproducts:

CDHS 82 | $C_R^2 / C_L^2 (\bar{d} \rightarrow c) < 7\%$ (90% C.L.)

CDHS 82

$|U_{cd}| = .04 \pm .02$

$\frac{U_{cs}^2}{U_{cd}^2} \cdot \frac{2.S}{(u+d)} = 10.4 \pm 1.7$

$|U_{cs}| \approx .50$

$\frac{2.S}{(u+d)} = .57 \pm .10$

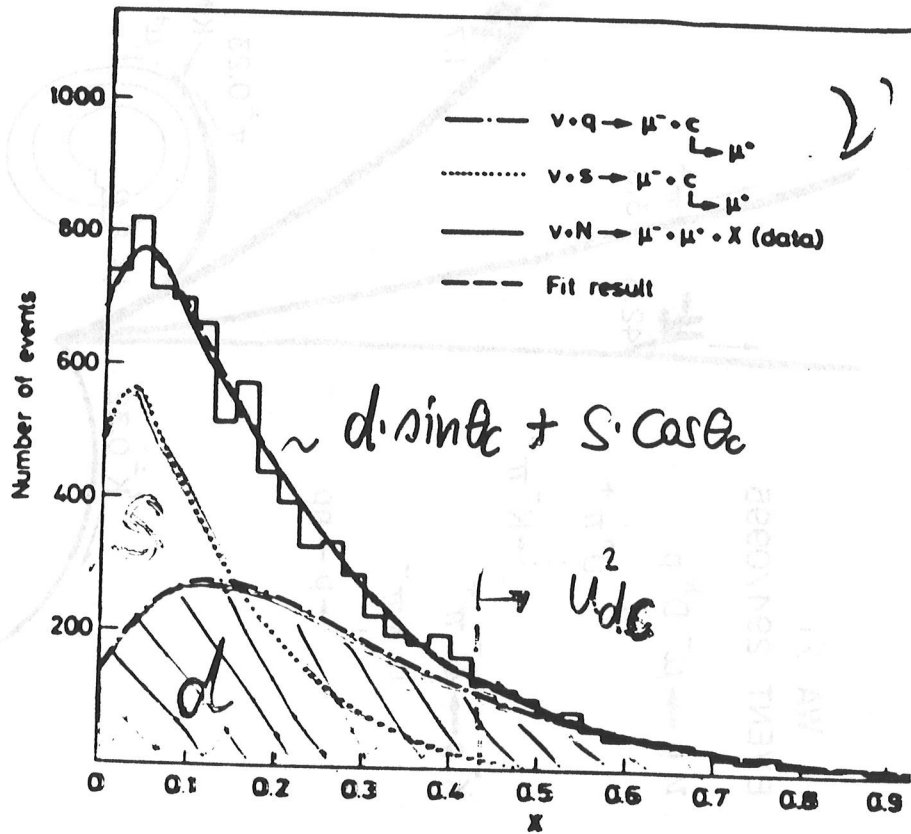
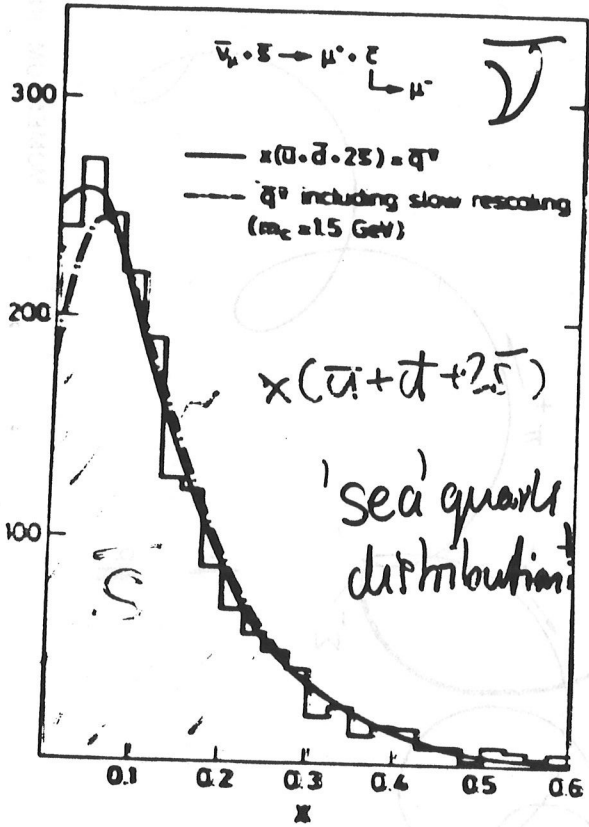
⊖ charm-changing neutral currents?

search for: $\sigma(\nu N \rightarrow \nu X C)_{\rightarrow \mu^+}$

$\sigma(\nu N \rightarrow \mu^- X C)_{\rightarrow \mu^+}$

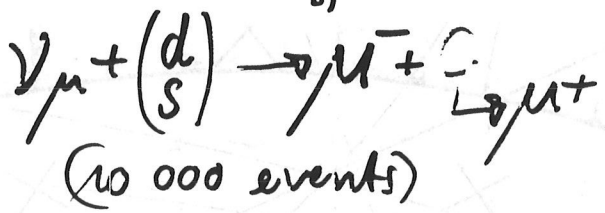
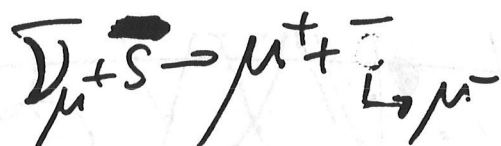
$\left\{ \begin{array}{l} < .025 \quad \text{CDHS 77} \\ < .01 \quad \text{NSIC 77} \end{array} \right.$

excludes $\Delta G=1$ NC!



a)

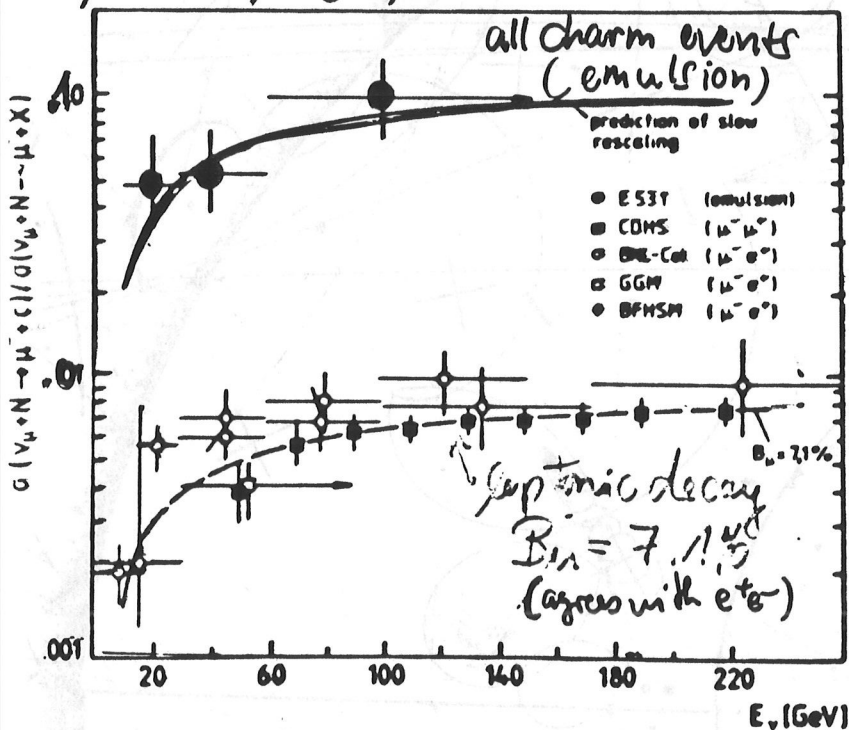
b)



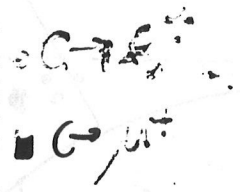
② (2000 events)

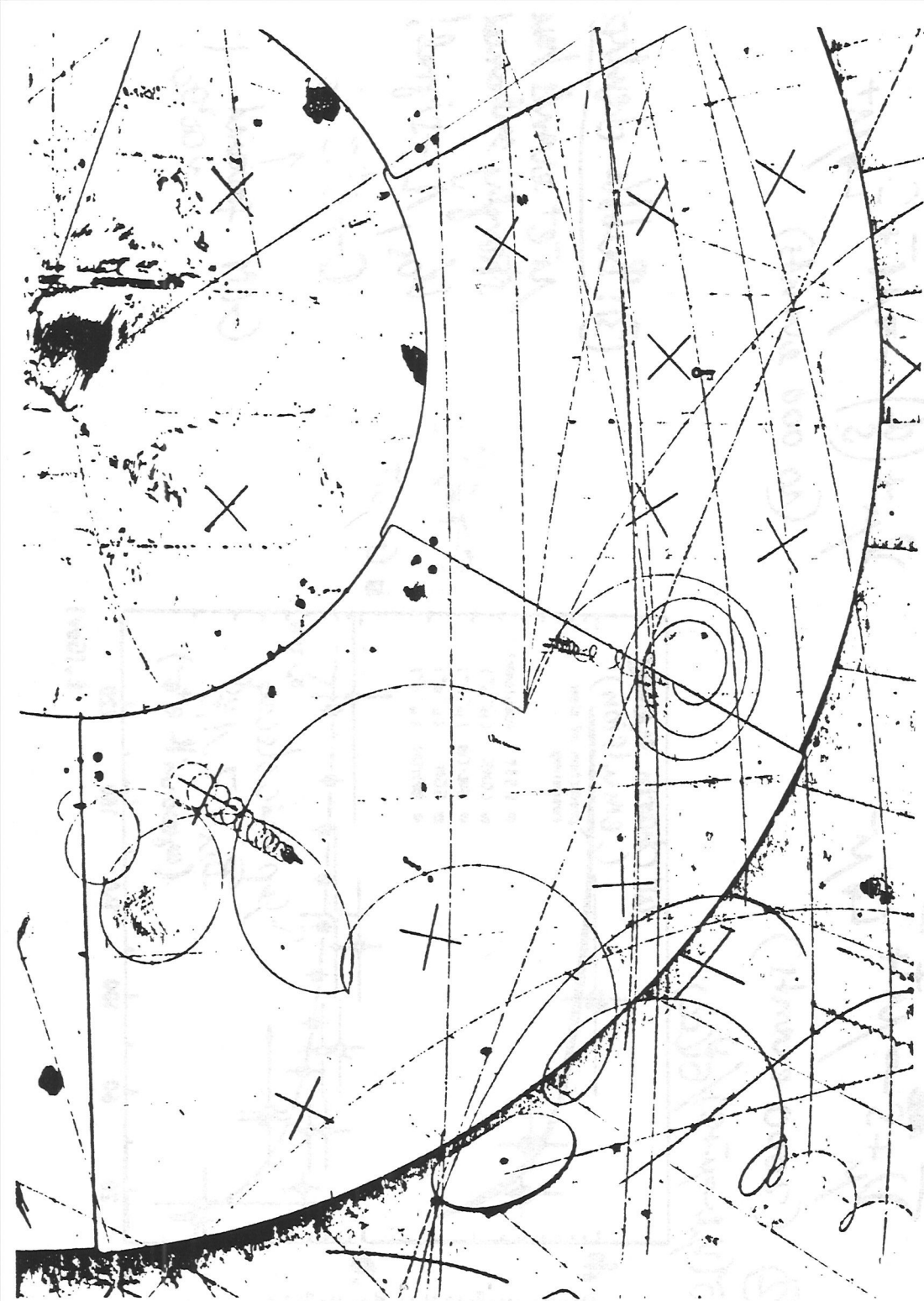
(10 000 events)

$$\sigma(\nu_{\mu} + \mu + c) / \sigma(\nu_{\mu} + c)$$

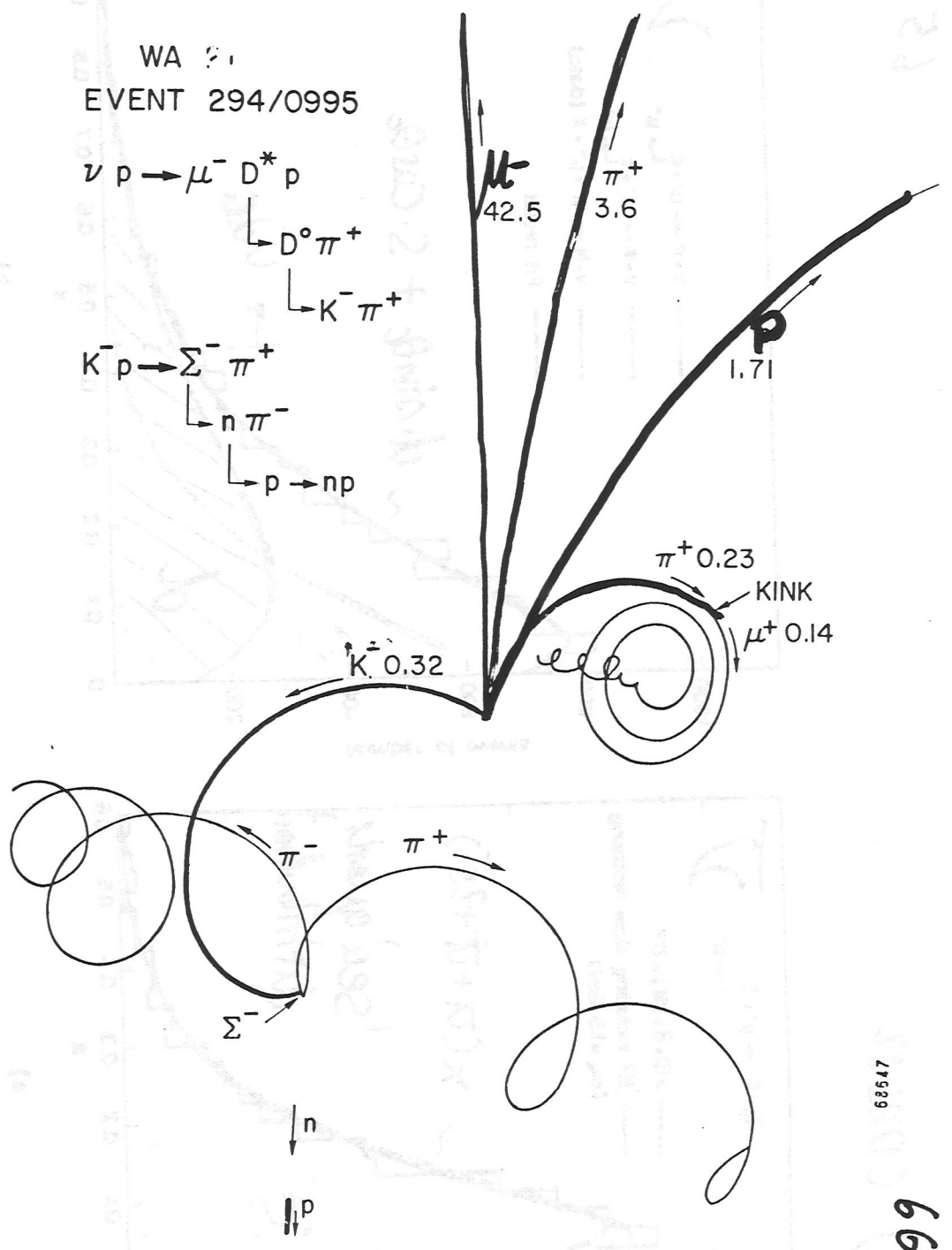
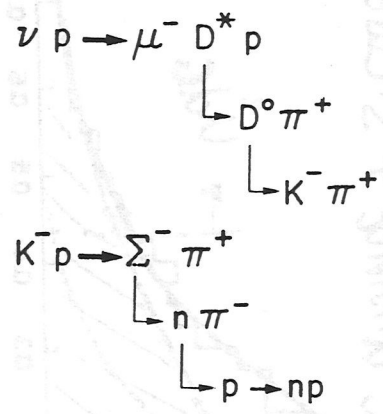


③: Bubble Chamber:
 $\mu^+ e^+$ events have strongly enhanced U_{cs}, Λ -signal!
 $C \rightarrow S^+ c$
 ↑
 GIM favored!
 $\sim \cos^2 \theta_c$





WA 21
EVENT 294/0995



MOMENTUM IN GeV/c

68547

66

IV Parton distributions + QCD

- ① $F_2^M(x, Q^2) [1 + (1-y)^2] = \frac{Q^4}{8\pi d^2 M E_\mu} \cdot \frac{d^2 \sigma^\mu}{dx dy} + q_L(x, Q^2) \cdot y^2$
- ② $F_2^V(x, Q^2) [1 + (1-y)^2] = \frac{\pi (1 + Q^2/m_W^2)^2}{Q^2 M E_\nu} \frac{d^2(\sigma^\nu + \bar{\sigma}^\nu)}{dx dy} + q_L(x, Q^2) \cdot y^2$
+ corr (s-c)
- ③ $X F_3(x, Q^2) [1 - (1-y)^2] = \frac{\pi (1 + Q^2/m_W^2)^2}{Q^2 M E_\nu} \cdot \frac{d^2(\sigma^\nu - \bar{\sigma}^\nu)}{dx dy}$
- ④ $\bar{q}^\nu(x, Q^2) [1 - (1-y)^4] = \frac{Q^2 M E_\nu}{\pi (1 + Q^2/m_W^2)^2} \left[\frac{d^2 \sigma^\nu}{dx dy} - (1-y)^2 \frac{d^2 \bar{\sigma}^\nu}{dx dy} \right] - q_L(x, Q^2) [(1-y) - (1-y)^3]$

$R = \frac{q_L(x, Q^2)}{F_2 - q_L} \approx \frac{5_L}{5_T}$ accessible using relation ① μ
or ② and ④ for neutrinos
 \Rightarrow measure y -dependence at fixed (x, Q^2)

QPM-relations:

$$F_2^V = q + \bar{q} + q_L \quad ; \quad F_2^M = \sum_{\text{quarks}} e_i^2 \cdot q_i(x)$$

$$X F_3 = q - \bar{q} = q_{\text{valenz}}$$

most important example: isoscalar targets

$$F_2^{VN} = X (u + d + s + c) \cdot \frac{2}{3} \quad \left(\frac{1}{3} \text{ after correction for strange quark suppression!!} \right)$$

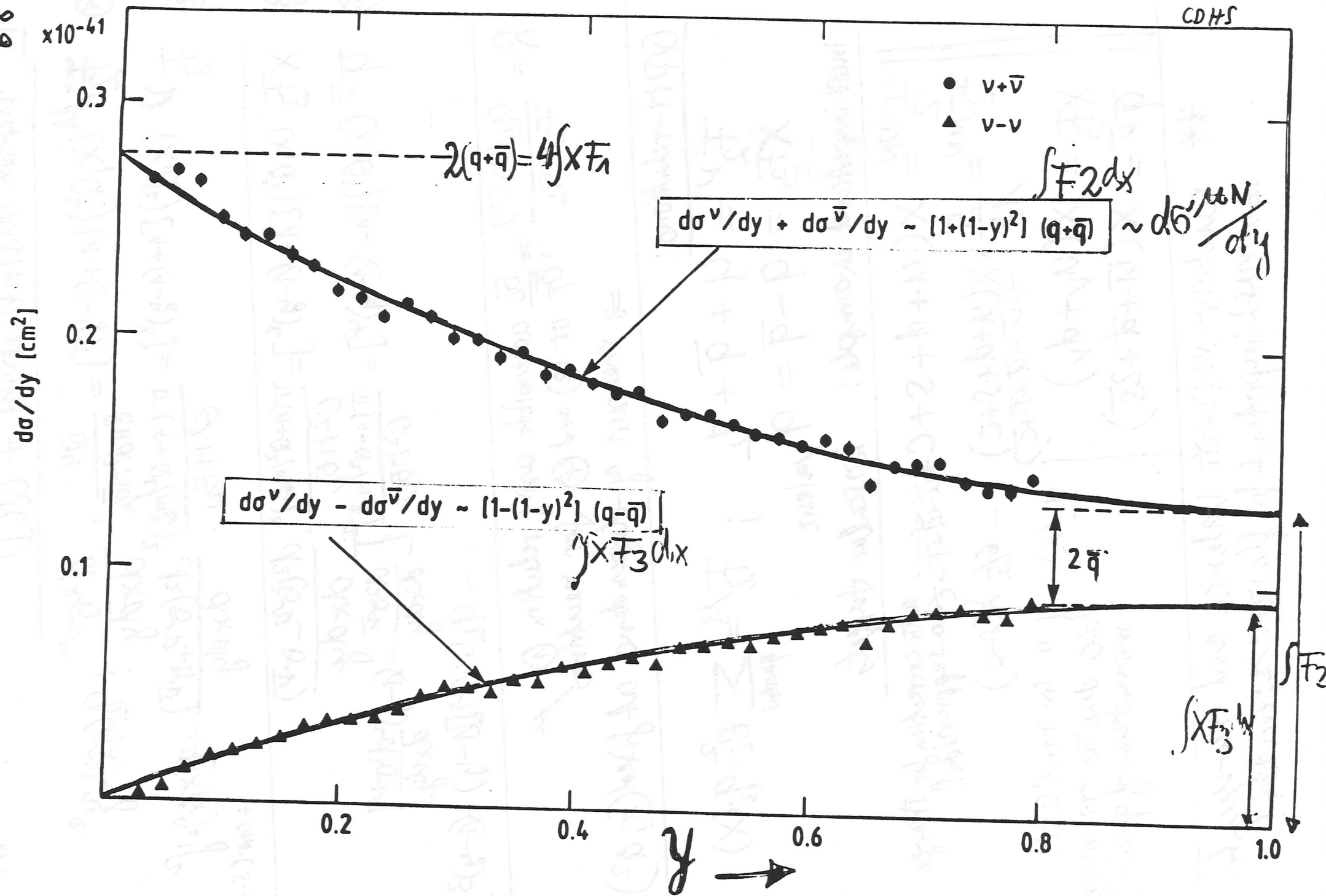
$$F_2^{MN} = \frac{5}{18} \cdot X (u + d + s + c) \quad - \frac{6}{5} X (s - c)$$

$$X F_3 = X (u_V + d_V)$$

$$\bar{q}^V = X (\bar{u} + \bar{d} + 2\bar{s})$$

$q_L(x) = \begin{cases} 0 & \text{in naive QPM} \\ \neq 0 & \text{due to transverse momentum of quarks!} \end{cases}$

****** neutrino separates valence and sea-quarks
 \Rightarrow most important for flavor-separation!



② flavour decomposition of the nucleon

determine: $q_L(x)$
 $xu_v(x), xd_v(x), xs(x), xc(x)$
 $x\bar{d}(x), x\bar{u}(x)$

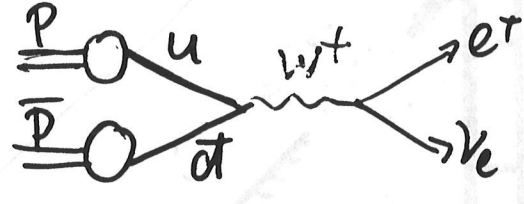
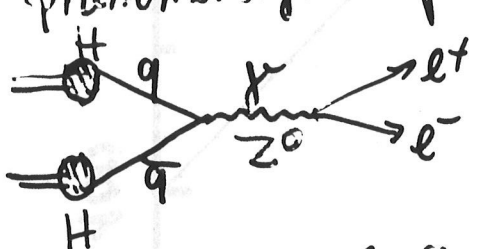
mainly neutrons
 since sea and valence
 must be separated!

+ gluon-distribution $xG(x)$ ← no direct access
 apart from

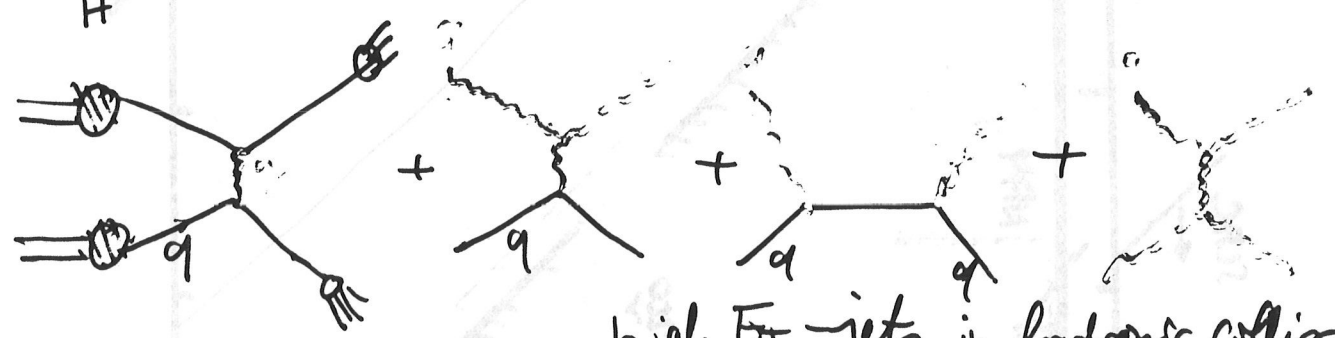
$$\int_0^1 xG(x) dx = 1 - \int_0^1 F_2(x) dx$$

why do we want parton distributions?

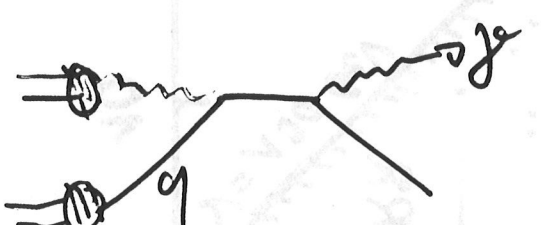
phenomenological input for all hard scattering processes, QCD-phenomenology



Drell-Yan



high ET jets in hadronic collisions



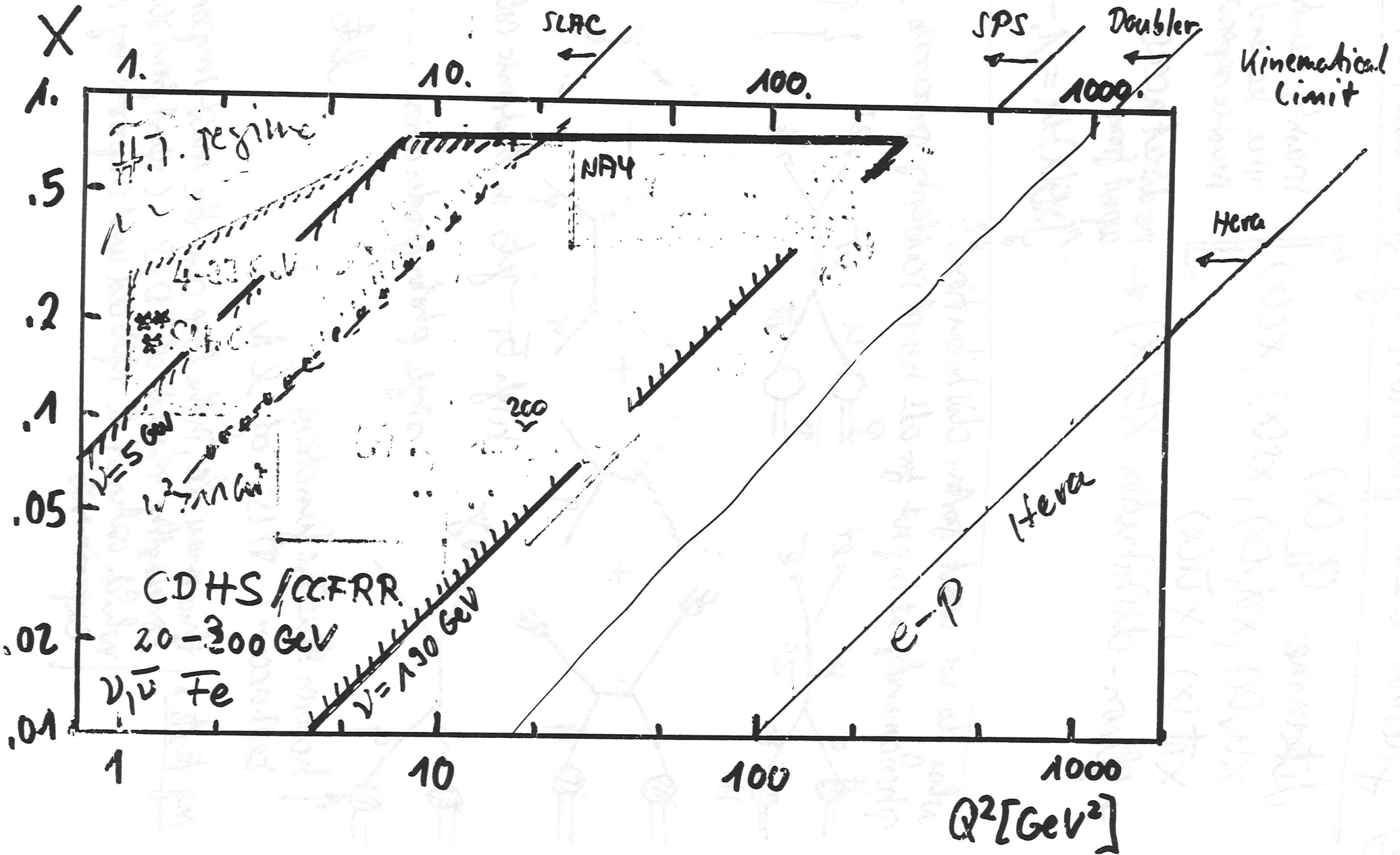
single photon production ...

etc.

↑ hadron structure functions
 best access: DIS of LN!

my tasks: | this may be, in the long run the most important
 longlasting result of DIS (apart from the discovery
 which cannot be replaced and improved by new
 experiments!

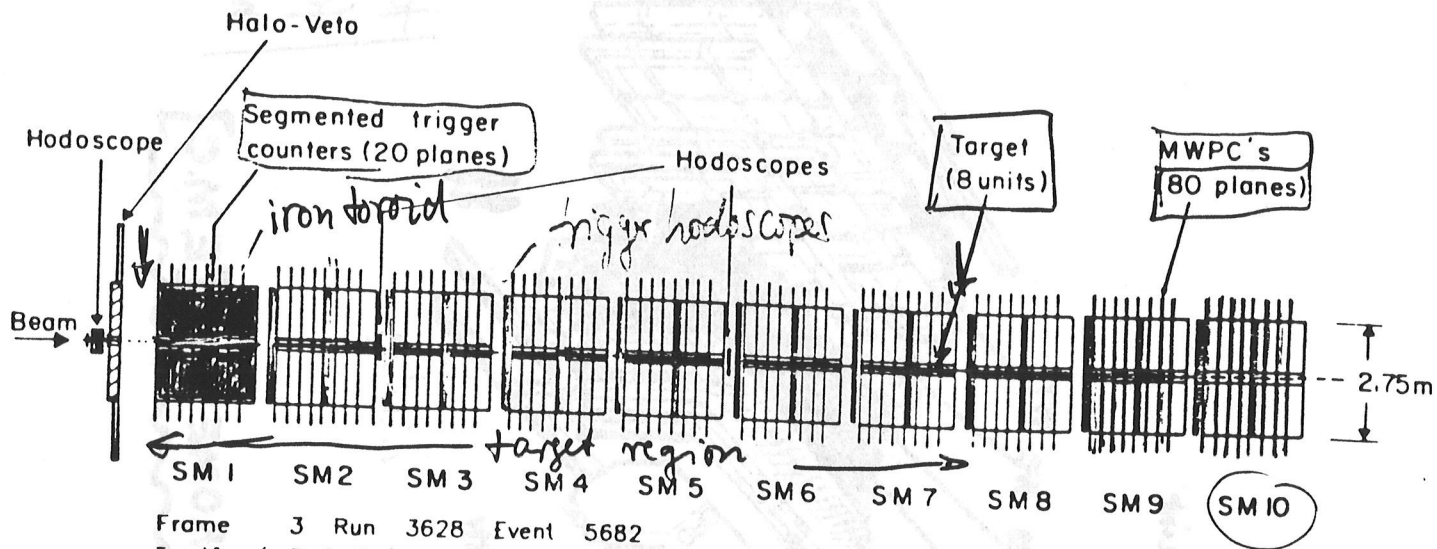
I.1: DIS: Experiments



BCDMS(NA4)

(mainly heavy targets : C^{12})

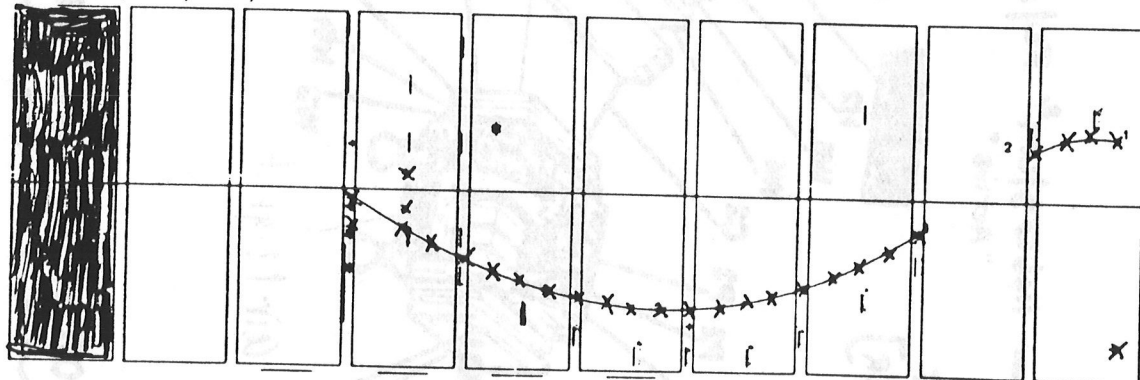
Fig. 1



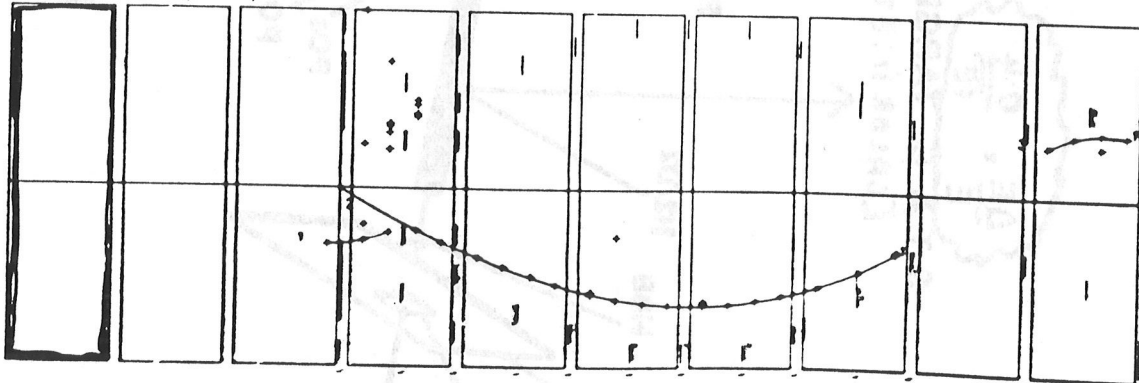
Frame 3 Run 3628 Event 5682

Top View (x Projection)

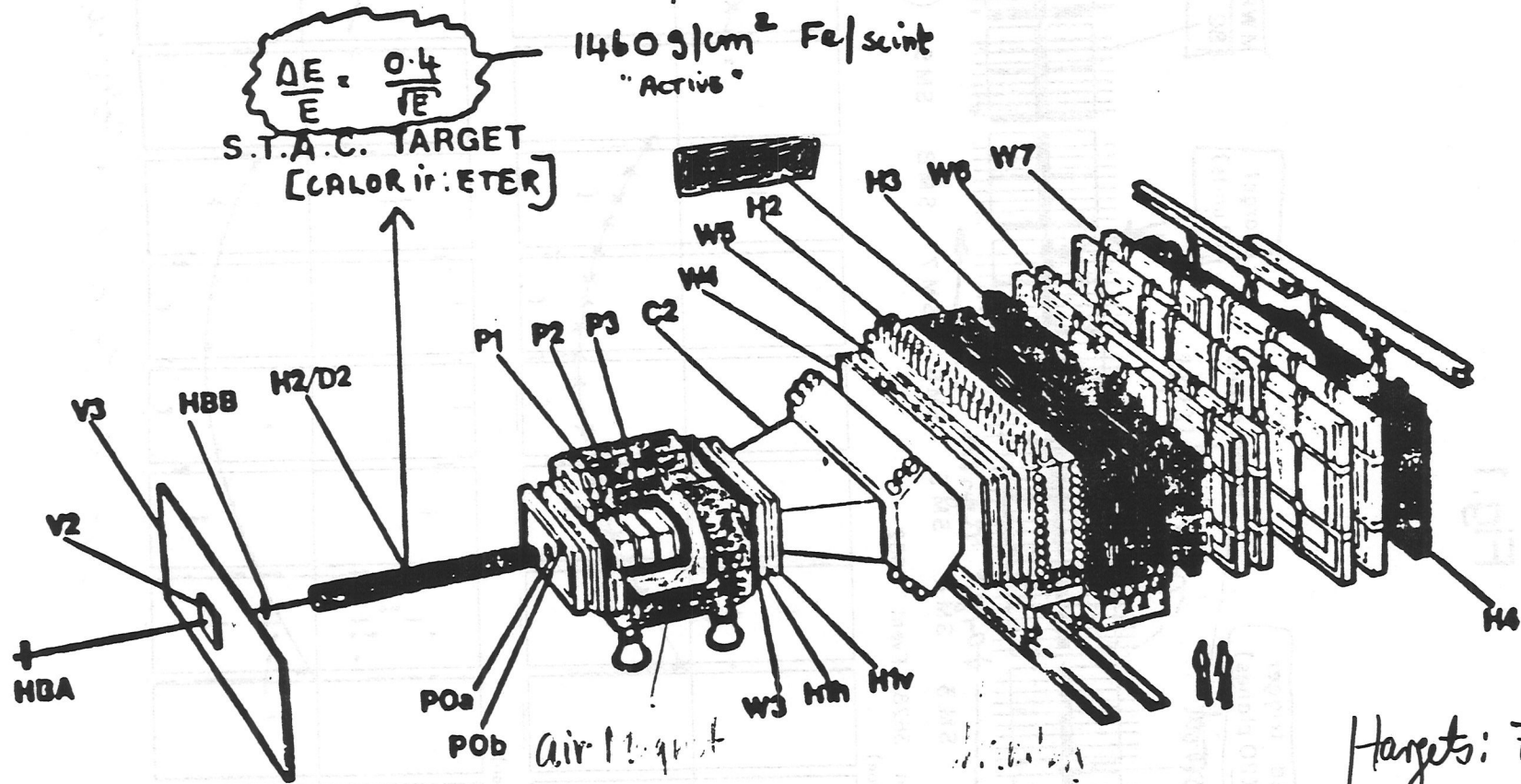
enlarged view



Side View (y Projection)



enlarged view of hodoscopes



FORWARD SPECTROMETER [E.M.C]

Targets: Fe, H_2 , D_2
 forward particle identification
 muon spectrometer

Fig. 29 EMC apparatus.

Strategy for flavour separation

0) fix normalisation, $\sigma^{\nu} / \sigma^{\nu}$

1) measure $x F_3(x, Q^2) = \boxed{x(u_v + d_v)}$ (no problem)

2) measure $\boxed{Q_1(x, Q^2)}$ equiv. to $R = \sigma_L / \sigma_T$
 (no further progress without or make assumption)

3) check consistency of data by measuring $F_2(x, Q^2)$

$$F_2^{\mu n} + \frac{6}{5}(s-c) = \frac{8}{15} F_2^{\nu N}$$

↑ correction for strange sea composition $(s-c)$

4) measure strange sea and threshold suppression $\boxed{x S(x, Q^2)}$ (dimension events COM 82)

5) measure $\bar{q}^{\nu}(x, Q^2) = x(\bar{u} + \bar{d} + 2\bar{s})$

6) estimate of $x(x)$ $\Rightarrow x(\bar{u} + \bar{d})$

7) measure $d(x) / u(x)$ (large x)

$$F_2^{\mu p} / F_2^{\mu n}$$

$\left. \begin{matrix} \nu p, \nu n \\ \bar{\nu} p, \bar{\nu} n \end{matrix} \right\}$ all can be done in $(\nu, \bar{\nu}) D_2$ exposure

$$\boxed{\begin{matrix} x u_v(x), x d_v(x) \\ x(\bar{u} + 3/4 \bar{s}) \\ x(\bar{d} + 3/4 \bar{s}) \end{matrix}}$$

isoscalar target (Fe, nuclei) (good statistics)

isospin target D_2 H_2

8) Synthesis: combine data from isoscalar targets and free nucleon targets?

would give: Q^2 -dependence much better accuracy

isospin

Experimental results:

① Total cross-section for neutrino

$$\sigma_{\text{TOT}}^{\nu} = \frac{G^2 M E_{\nu}}{\pi} \left[Q + \frac{1}{3} \bar{Q} \right]$$

$$\sigma_{\text{TOT}}^{\bar{\nu}} = \frac{G^2 M E_{\nu}}{\pi} \left[\bar{Q} + \frac{1}{3} Q \right]$$

$$; Q = \int_0^1 q(x) dx$$

- a) linear rise predicted for $Q^2 \ll m_W^2$
and Q independent of Q^2 (scaling)

b) $(Q + \bar{Q}) = \int_0^1 F_2 dx = 0.476 \pm 0.015$

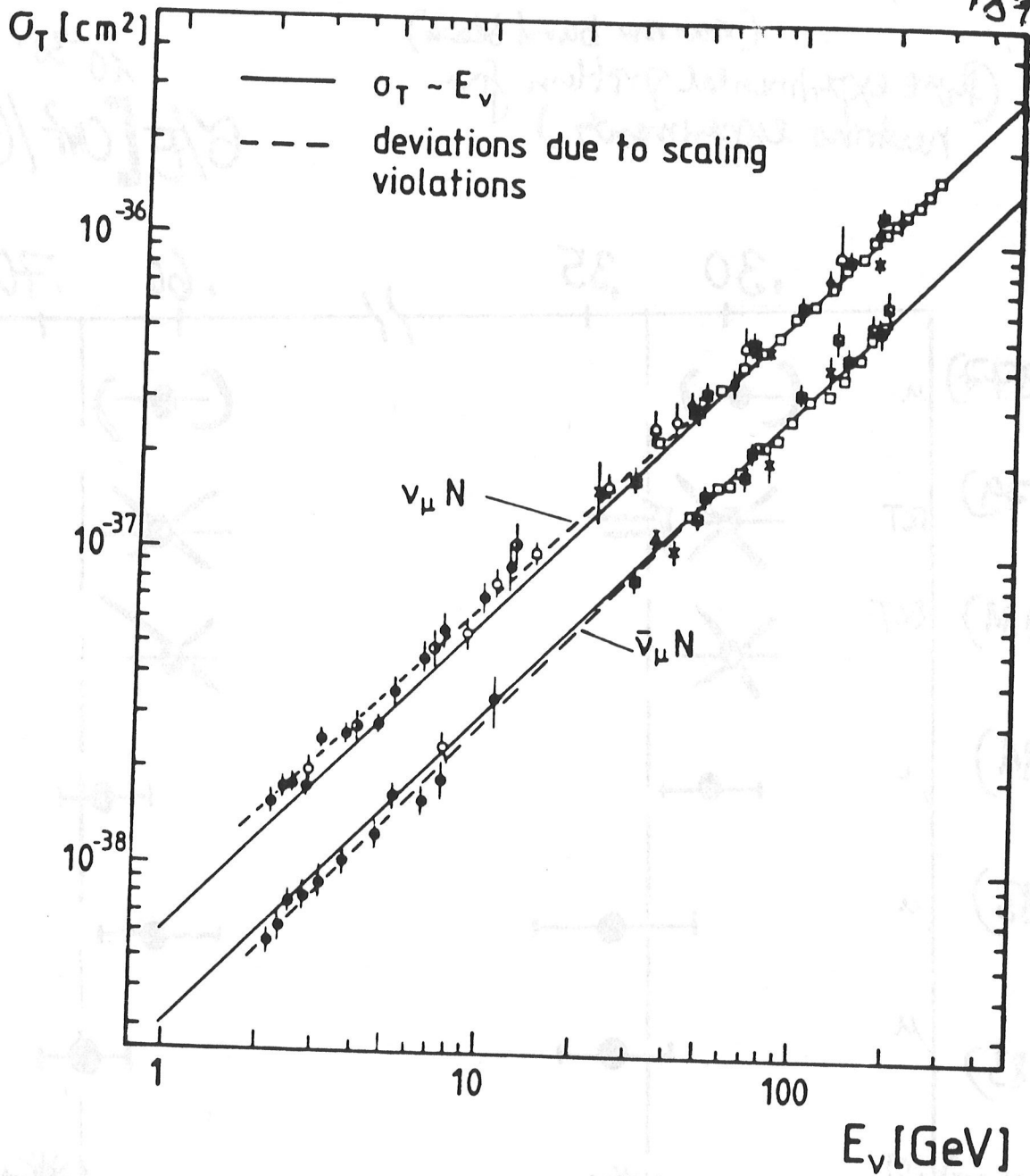
$$Q_v = \int_0^1 x F_3 dx = 0.332 \pm 0.010$$

$$\bar{Q} = \int_0^1 \bar{q}(x) dx = 0.072 \pm 0.010$$

momentum
fractions of
quarks +
antiquarks

$$\langle \nu \rangle = 50 \text{ GeV}$$

sets scale for gluon momentum fraction!!



5.4

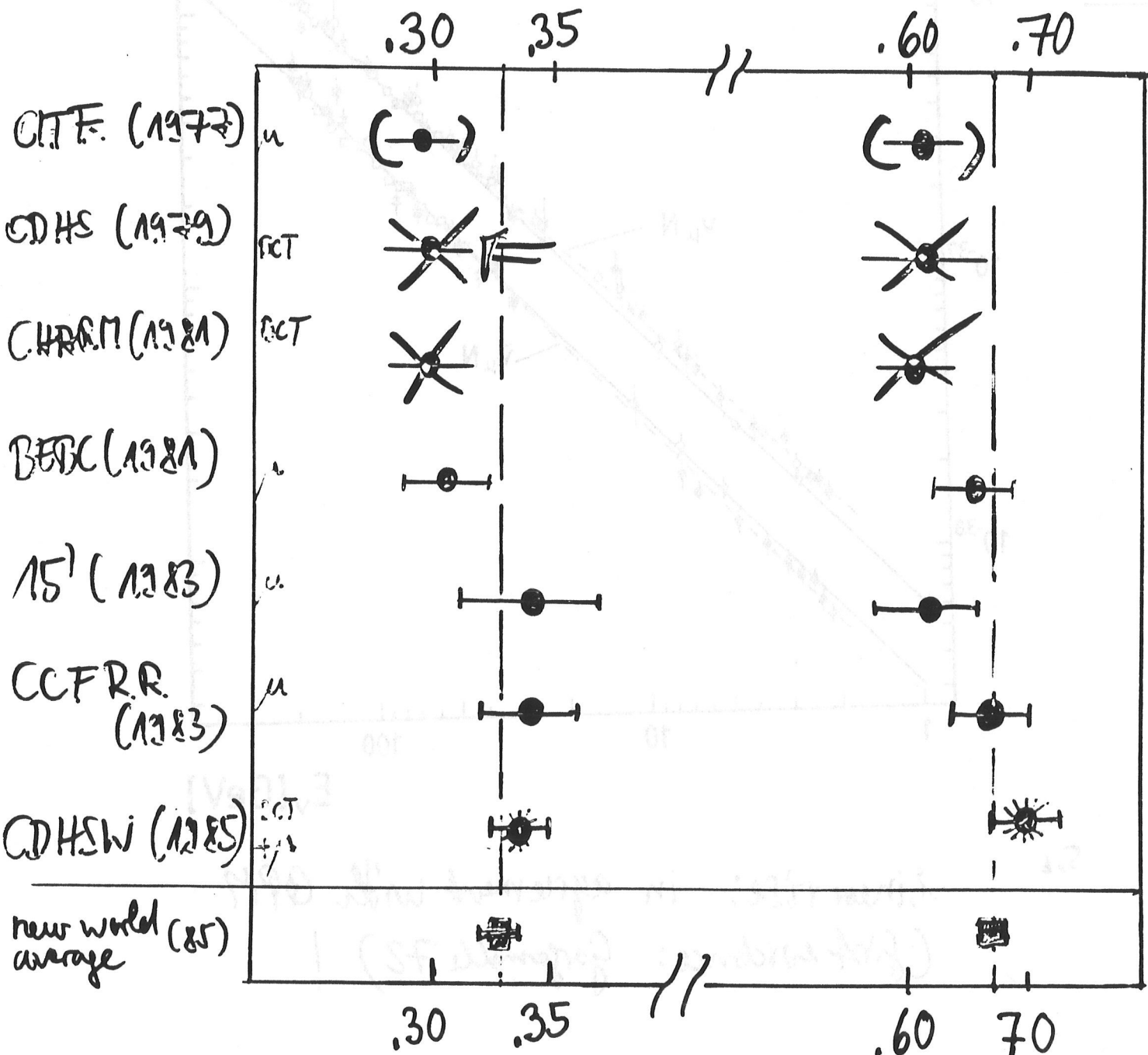
linear rise: in agreement with QPM
 (first evidence: Gargamelle 72) !

Neutrino + Antineutrino Cross sections

(narrow band beam)

(Pure experimental problem for neutrino experiments)

$$\sigma/E [10^{-38} \text{ cm}^2/\text{GeV}]$$



longstanding problem if settled (my opinion)

$$\sigma_{\bar{\nu}}/E_{\nu} = .326 \pm .008 \quad \sigma_{\nu}/E_{\nu} = .680 \pm .014$$

$$r = \frac{\sigma_{\bar{\nu}}}{\sigma_{\nu}} = .480 \pm .009$$

longstanding problem if settled (my opinion)

Ⓐ valence quark distributions on isoscalar targets
 $X(u_v + d_v)$

⇒ unaffected by R and sea corrections!
but normalization!

• good agreement between experiments for same normalization

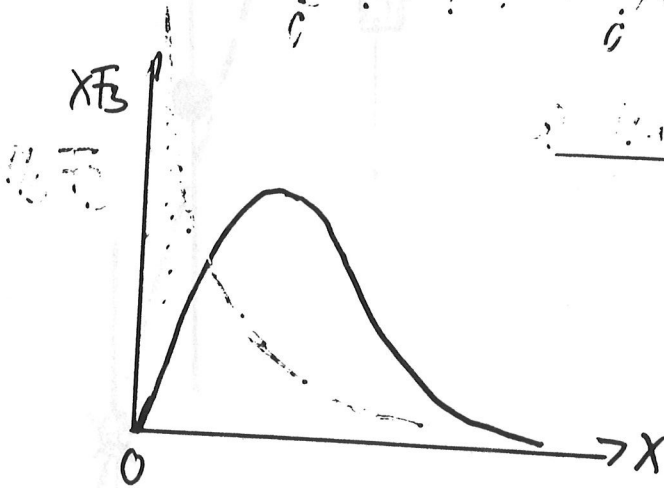
$$\int_0^1 \frac{1}{x} dx = \int_0^1 \frac{1}{x} (u_v + d_v) dx = .332 \pm .010$$

ⓐ first-level Llewellyn-Smith sum-rule:

$$\int_0^1 F_2(x, Q^2) / x dx = \int_0^1 (u_v + d_v) dx = \frac{2}{3} \nabla + \sigma(\alpha_s)_{cor.}$$

2nd level sum-rule:

$$F_2(0) = \frac{1}{3} : 18\% !!$$

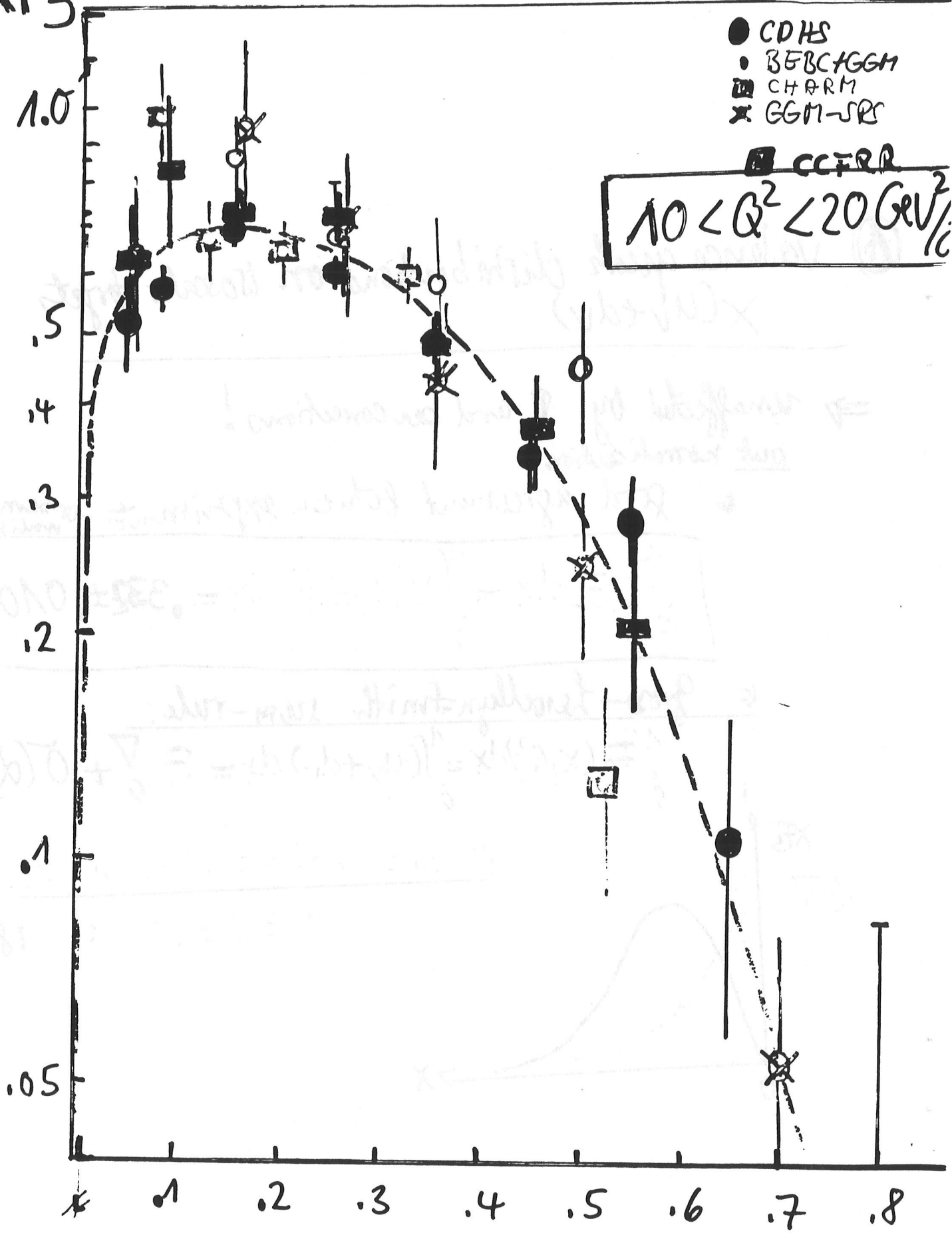


XF_3

(82') 78

- CDHS
- BEBC/AGM
- CHARM
- ✱ GGM-SRS
- CCFR

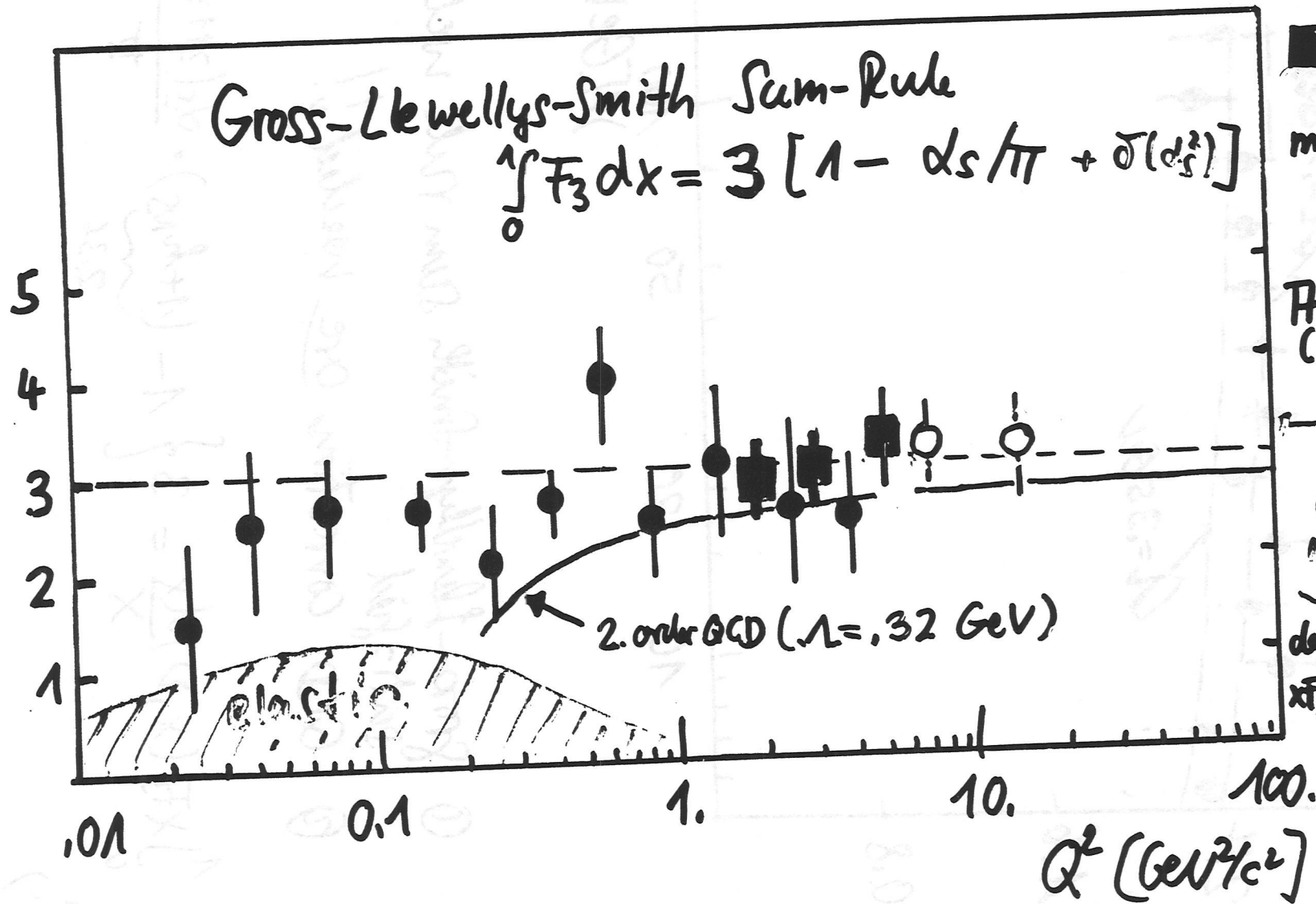
$10 < Q^2 < 20 \text{ GeV}^2/c^2$



X

Gross-Llewellyn-Smith Sum-Rule

$$\int_0^1 F_3 dx = 3 [1 - \alpha_s/\pi + \mathcal{O}(\alpha_s^2)]$$



■ CCFR (prelim)
mostly measured

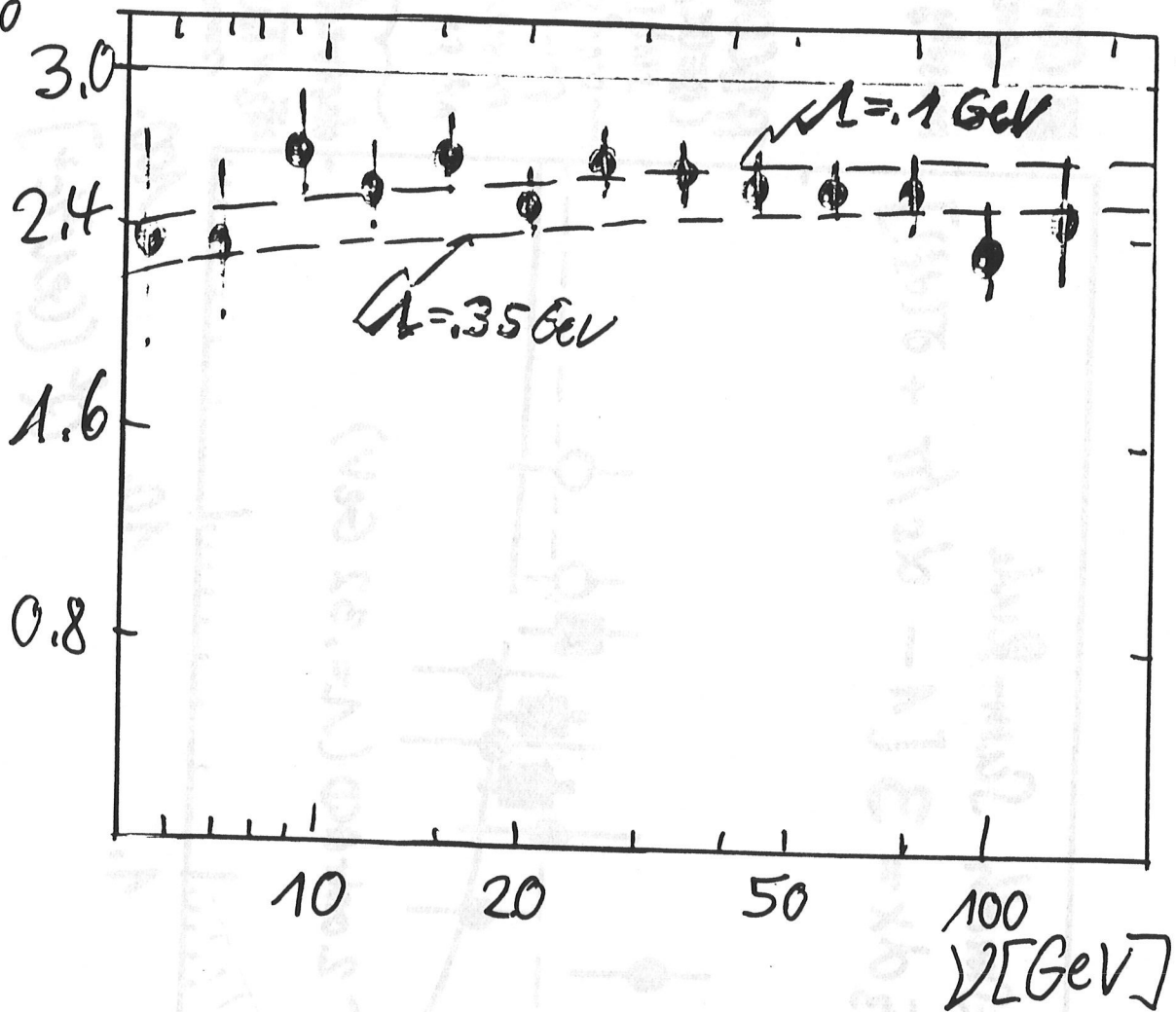
FBCDLOS (BEOC/GGM-A)
substantial

model dependent corrections at small x!

derived using $xF_3 \sim T_2^1$ at small x

from CDHS 85 prel. 180
 ↳ not official

$$\int_0^1 F_3(x, \nu) dx$$



- ① Gross-Llewellyn-Smith sum rule well satisfied
- ② QCD corrections are needed!!

$$\int_0^1 x F_3(x, \nu) \frac{dx}{x} = 3 \left\{ 1 - \underbrace{(1+h_{NS})}_{2.36} \cdot \frac{\alpha_s(2M^2)}{\pi} \right\}$$

(Yndurain et al.)

② measurement of $q_L(x, Q^2)$ or $R = \sigma_L / \sigma_T$

→ necessary to obtain $F_2(x, Q^2)$ and $\bar{q}(x, Q^2)$!

→ longlasting experimental problem

General experiments made strong statements at the proposal level, but had no success

→ there is a genuine QCD-prediction for q_L !

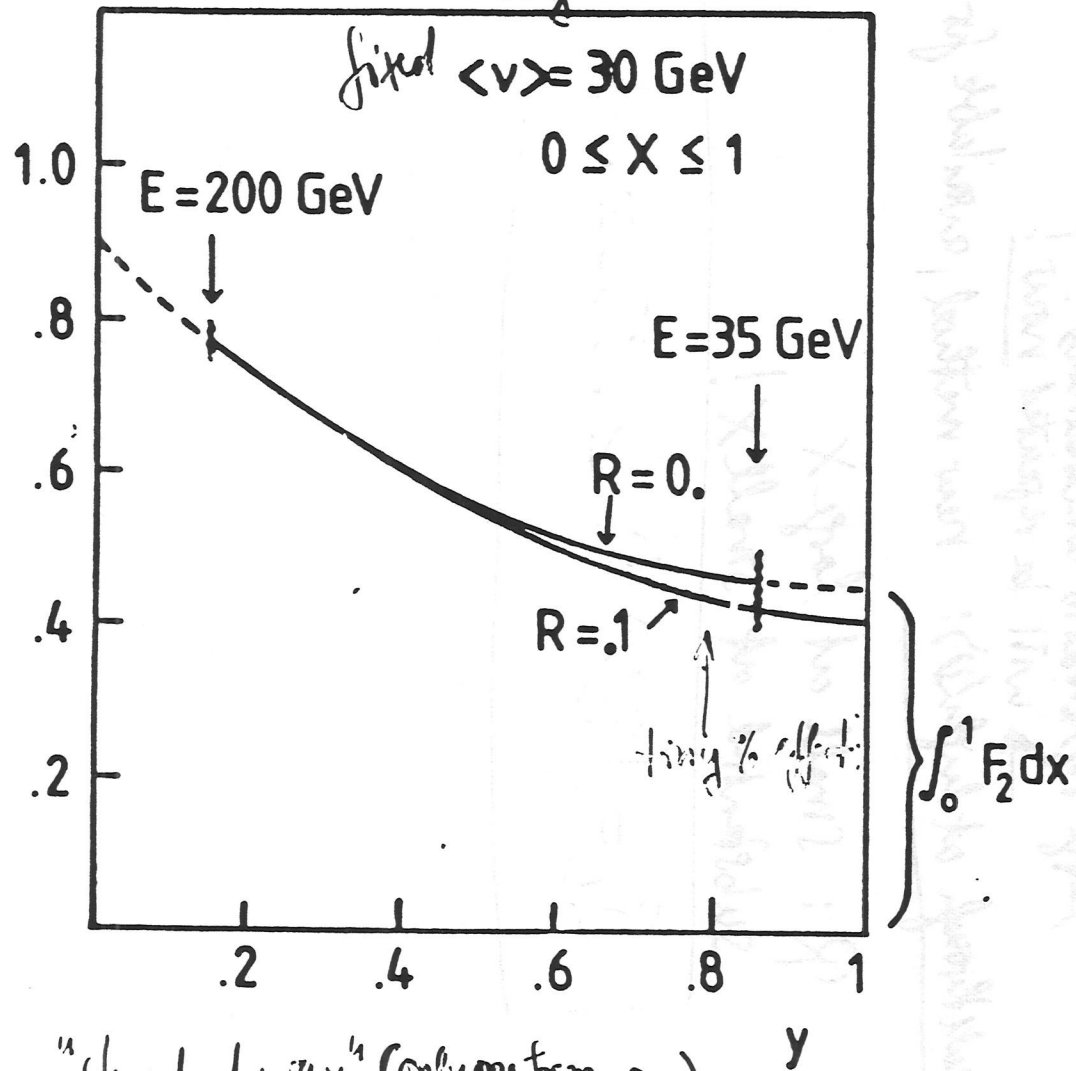
history: SLAC-MIT has measurements at low $\langle \nu \rangle$ but large systematic uncertainties:
→ will be repeated now!

breakthrough at high $\langle \nu \rangle$: new method, available for ν -experiment

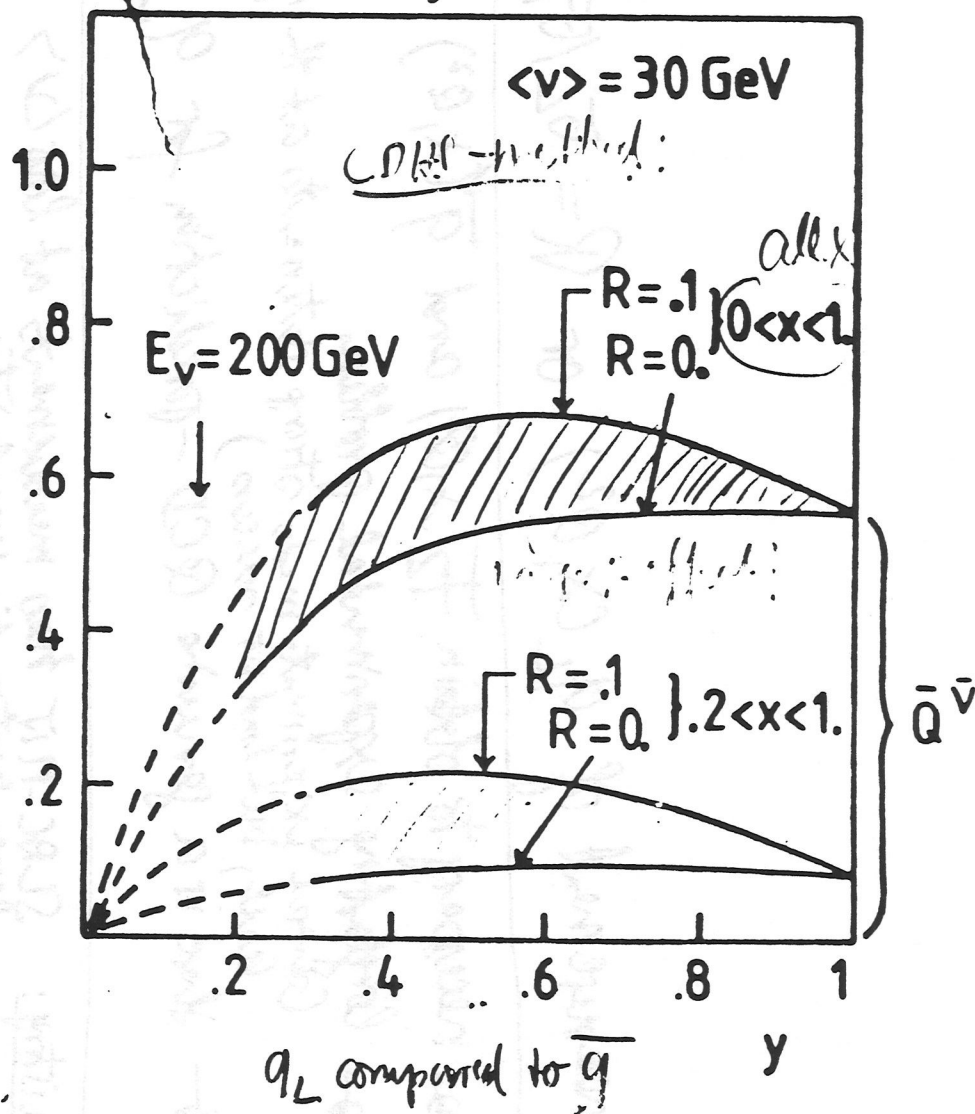
R : small at large x
substantial at small x !

$$\bar{q}_L = \int_0^1 dx \dots$$

$$\frac{d(\sigma^{\nu} + \sigma^{\bar{\nu}})}{dy} \cdot \frac{\pi}{G^2 ME} ; \frac{d\sigma^{\mu}}{dy} \cdot u$$



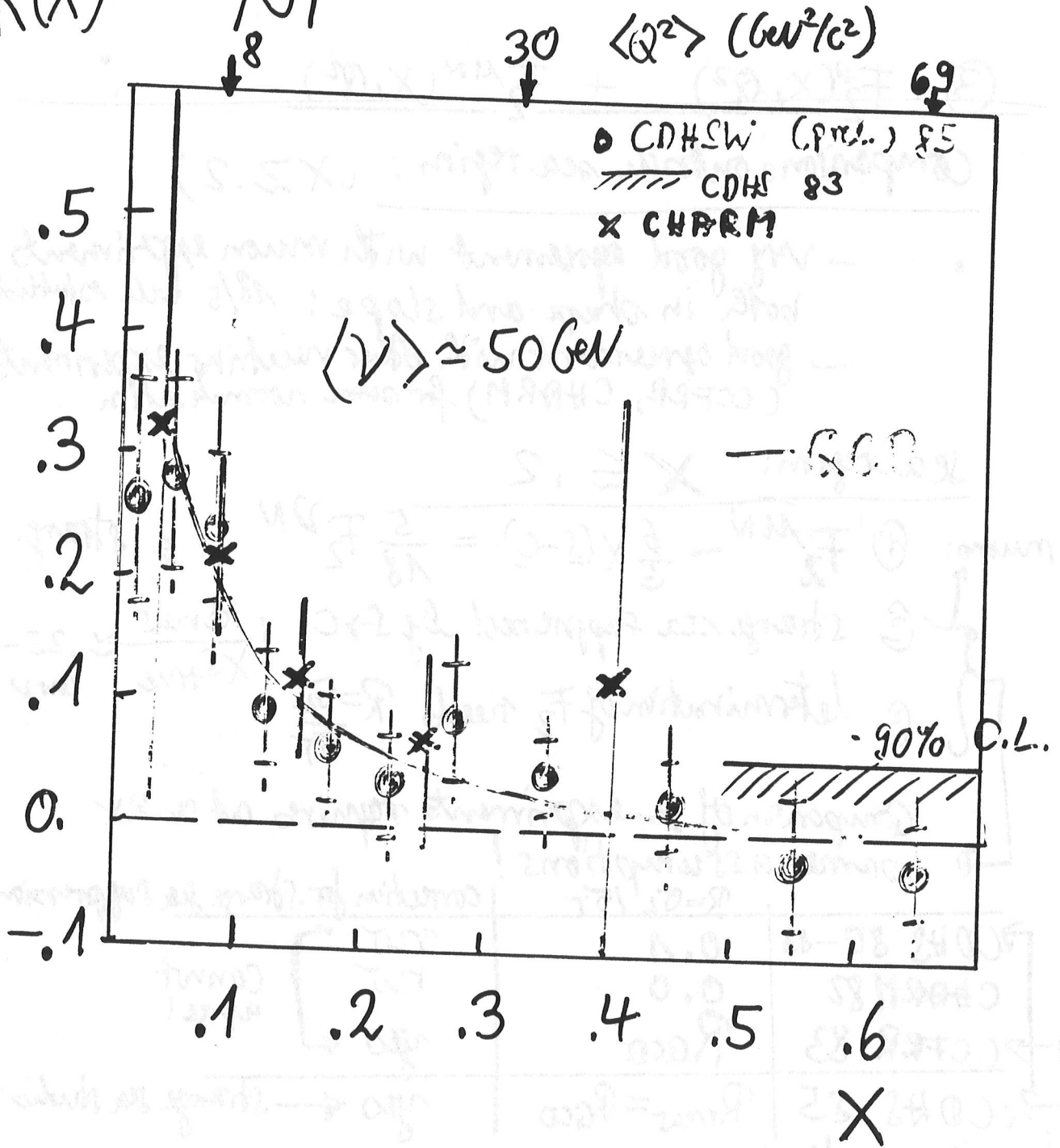
$$\left[\frac{d^2\sigma^{\bar{\nu}}}{dx dy} - (1-y)^2 \frac{d^2\sigma^{\nu}}{dx dy} \right] \frac{\pi}{G^2 ME}$$



"standard way" (only one form factor)
 q_L compared to $Q + \bar{Q}$

determination of $R = \sigma_L / \sigma_T$

$$R(x) = \frac{\sigma_L}{\sigma_T}$$



③ $F_2^{\nu}(X, Q^2) + F_2^{\mu N}(X, Q^2)$

Comparison outside sea region: ($X \geq .2$)

- very good agreement with muon experiments both in shape and slope: 18/5 well established
- good agreement with other neutrino experiments (CCFR, CHARM) for same normalization

sea region: $X \leq .2$

- muons:
- ① $F_2^{\mu N} - \frac{6}{5} X(S-C) = \frac{5}{18} F_2^{\nu N}$; strange sea
 - ② strange sea suppressed! by $S \rightarrow C$; $\frac{X S_{small}}{X S_{true}} \approx .25 \rightarrow .7$ low > high
 - ③ determination of F_2 needs $R = \frac{\sigma_2}{\sigma_1}$

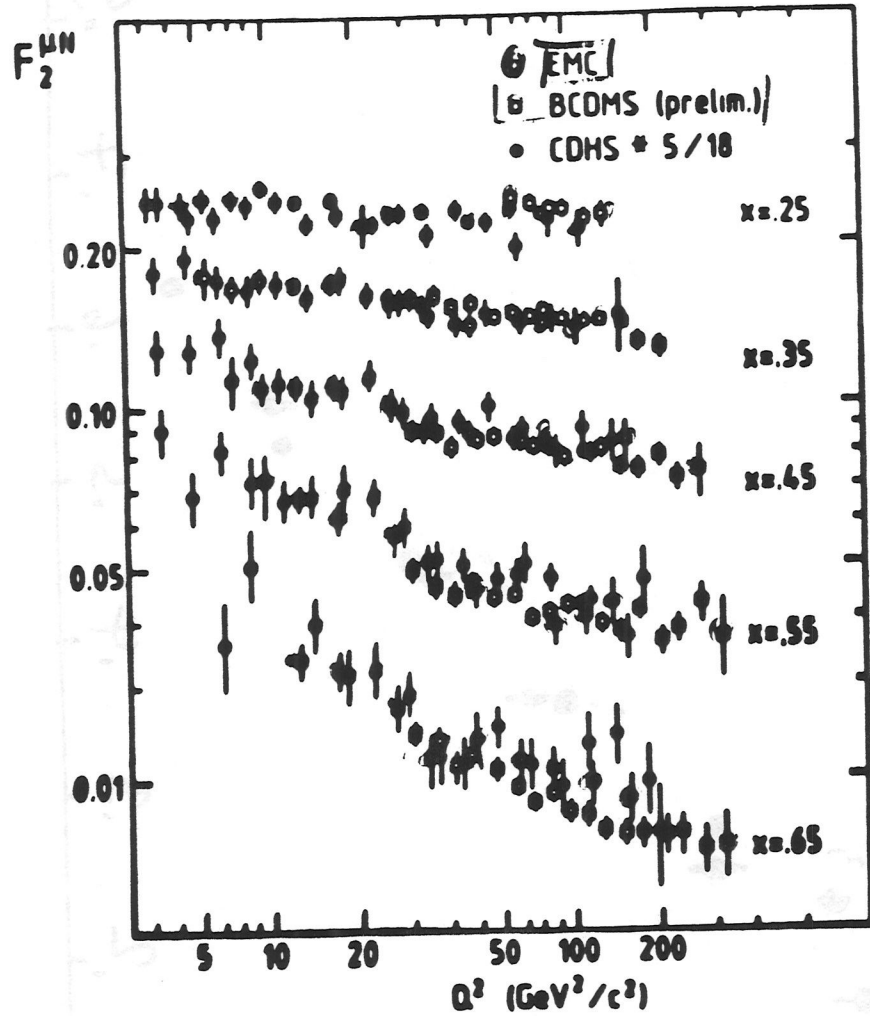
Comparison of ν -experiments requires at least same assumptions!

| | $R = \frac{\sigma_2}{\sigma_1}$ | correction for strange sea suppression | |
|------------|---------------------------------|----------------------------------------|--------------------------------|
| CDHS 80-83 | 0.1 | no |] cannot agree! |
| CHARM 82 | 0.0 | no | |
| CCFR 83 | R_{GCD} | yes |] strange sea studies are done |
| CDHS 85 | $R_{meas} = R_{GCD}$ | yes | |

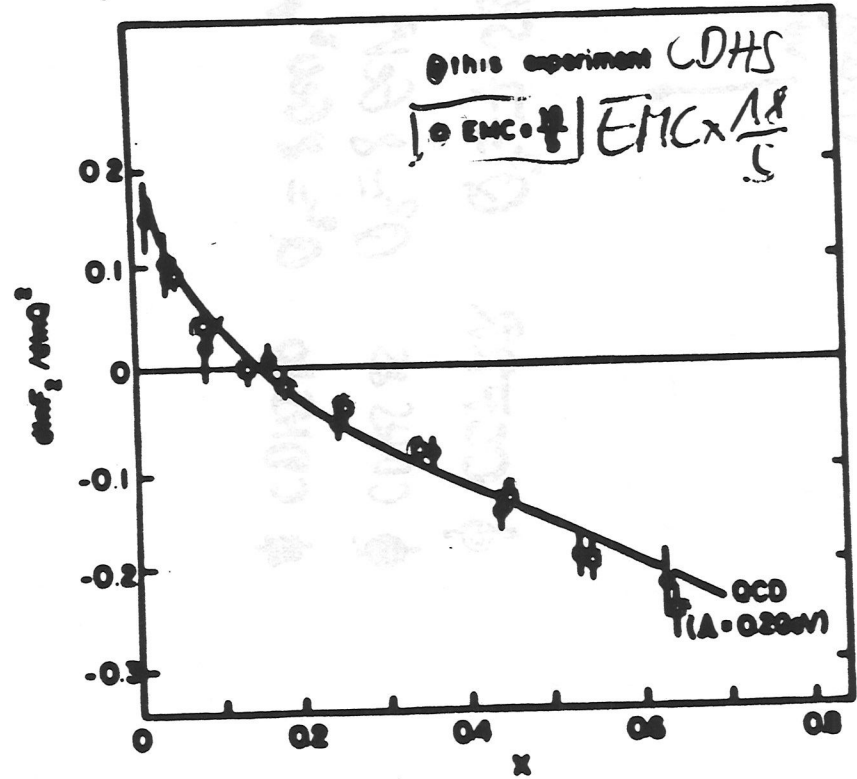
cannot agree at small X

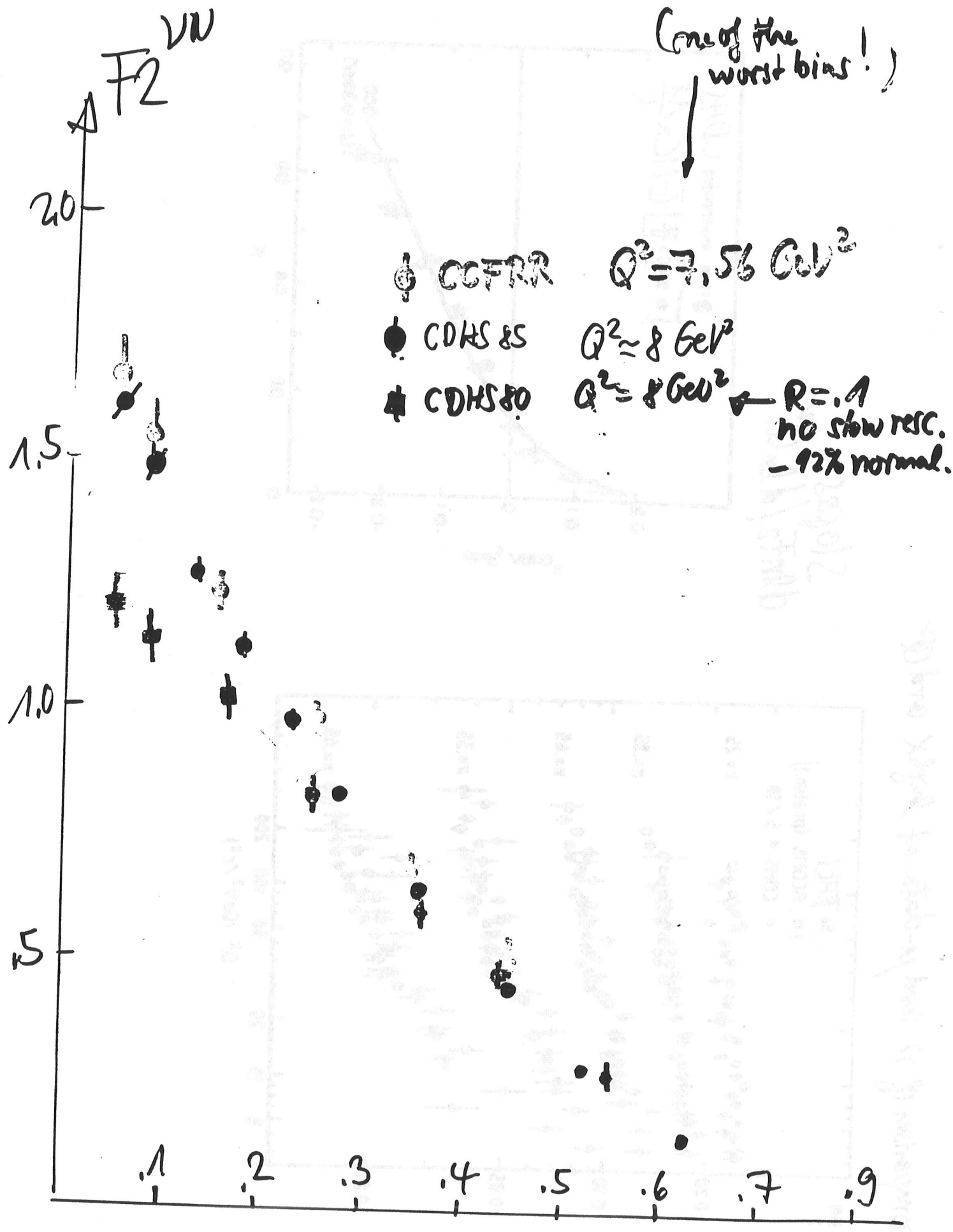
- ① effects are large
 - ② well known since long time!
- } see e.g. Pydal, Cornell 83
T.B. Paris 82

Comparison of ν and μ -data at high x and Q^2

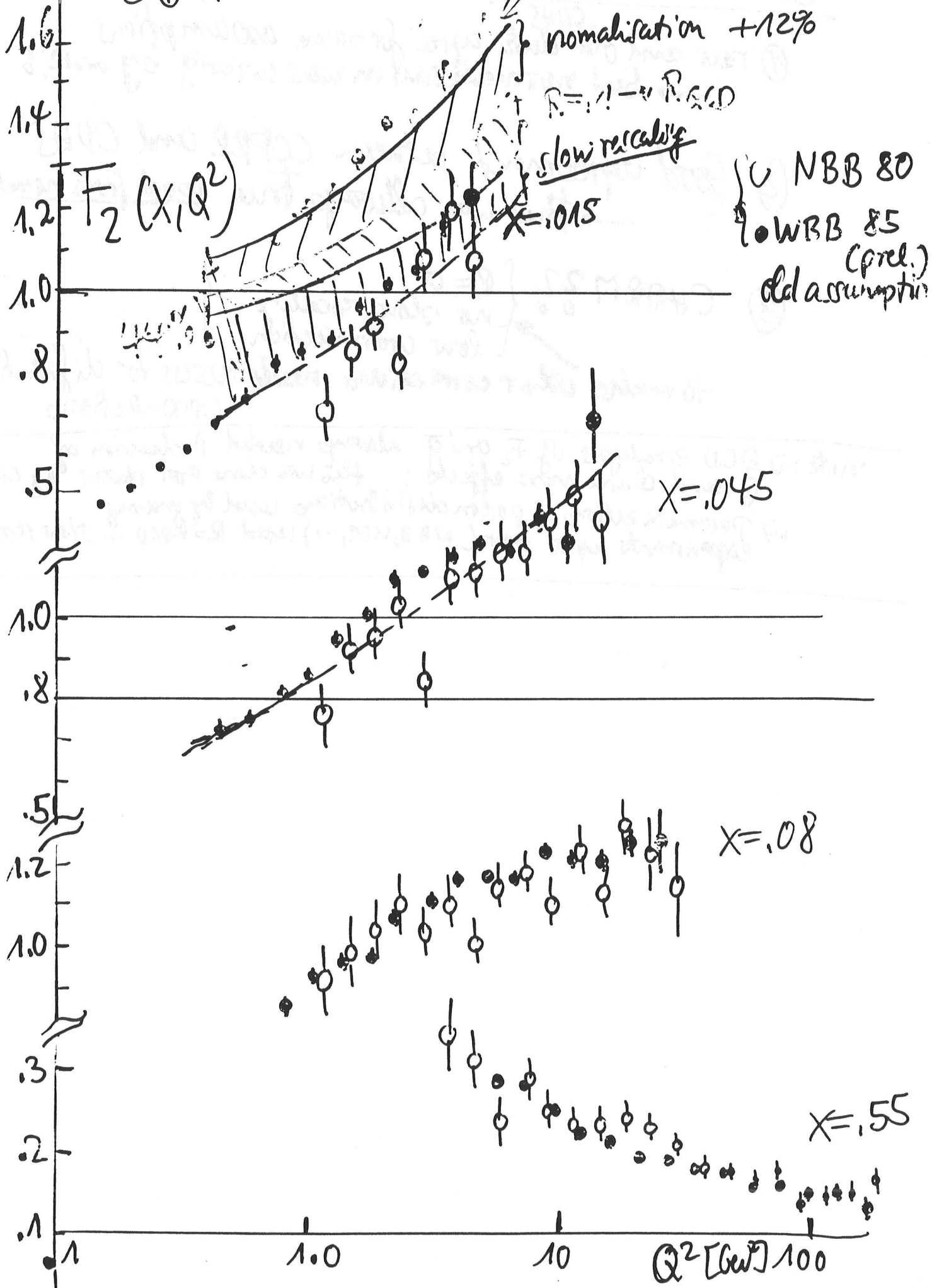


Slopes
 $d \ln F_2 / d \ln Q^2$





CDHS



Conclusions on $F_2(x, Q^2)$

- ① new and old data agree for same assumptions
^{CDHS}
 → but normalisation was wrong by ~2%
- ② Good agreement between CCFR and CDHS
 → this was always true apart from normalisation
- ③ CHARM 22 $\left\{ \begin{array}{l} R=0 \\ \text{no slow rescaling} \\ \text{low cross-section} \end{array} \right. \left. \begin{array}{l} \text{to make other corrections needs} \\ \text{access to differential} \\ \text{cross-sections} \end{array} \right.$

note: i) QCD analysis of F_2 only always needed inclusion of R and charm mass effects: this was done and started by CDHS

ii) Parametrisations of parton distributions used by many experiments up to now (NA3, UA1, ...) used $R=R_{QCD} + \text{slow rescaling}$

5

$$\bar{q}^{\nu}(x, Q^2) = x(\bar{u} + \bar{d} + 2\bar{s}) \tag{83'}$$

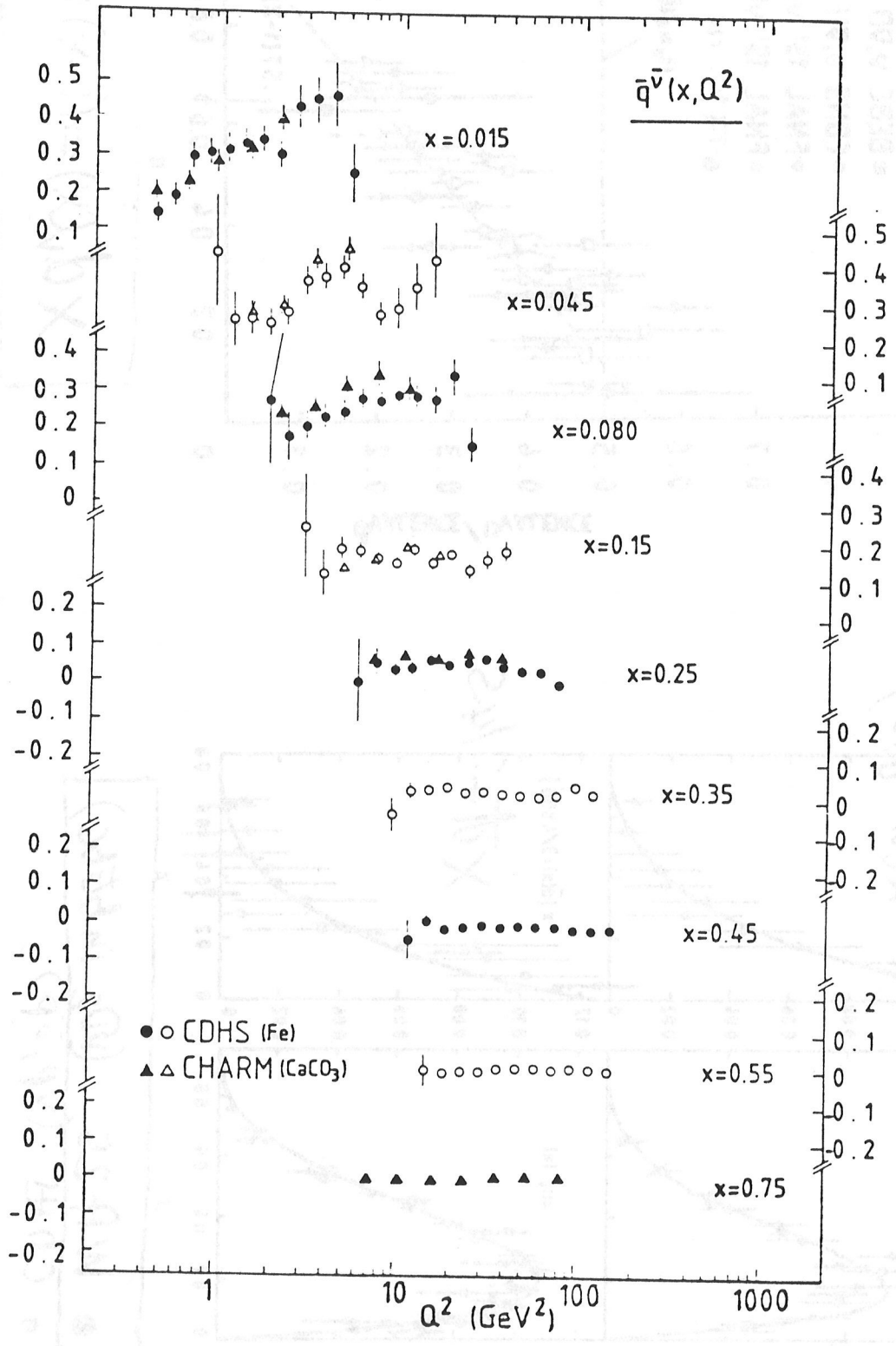
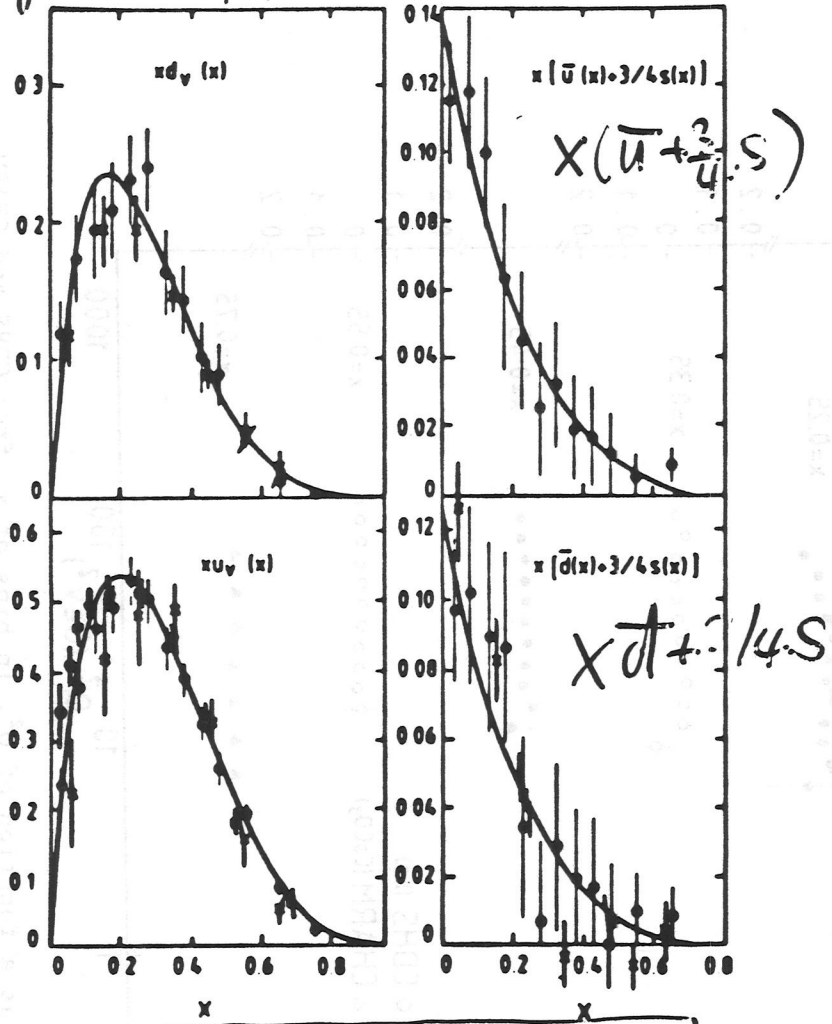
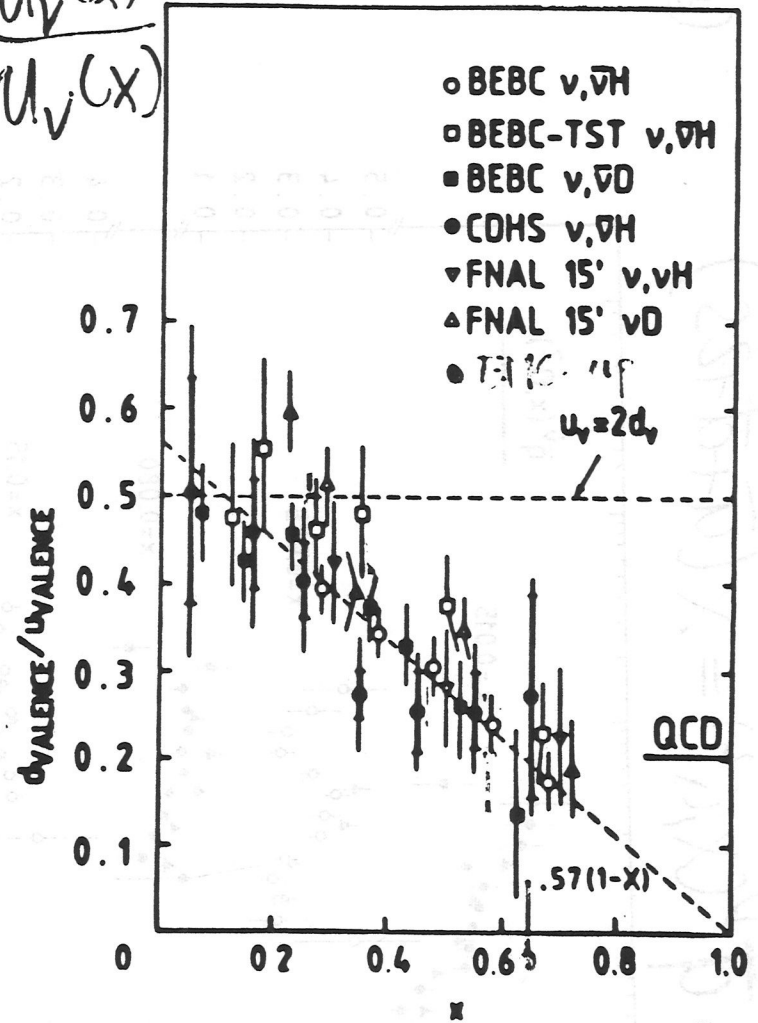


Fig. 15 \bar{q}^{ν} as a function of Q^2 , in bins of x , from CDHS and CHARM.

(7) free ν vs ν : ν_l, p



$\frac{d_{ij}(x)}{u_v(x)}$



**

● WA25 (νD_2 in BEBC)

● CDHS ($\nu p, \bar{\nu} p$)

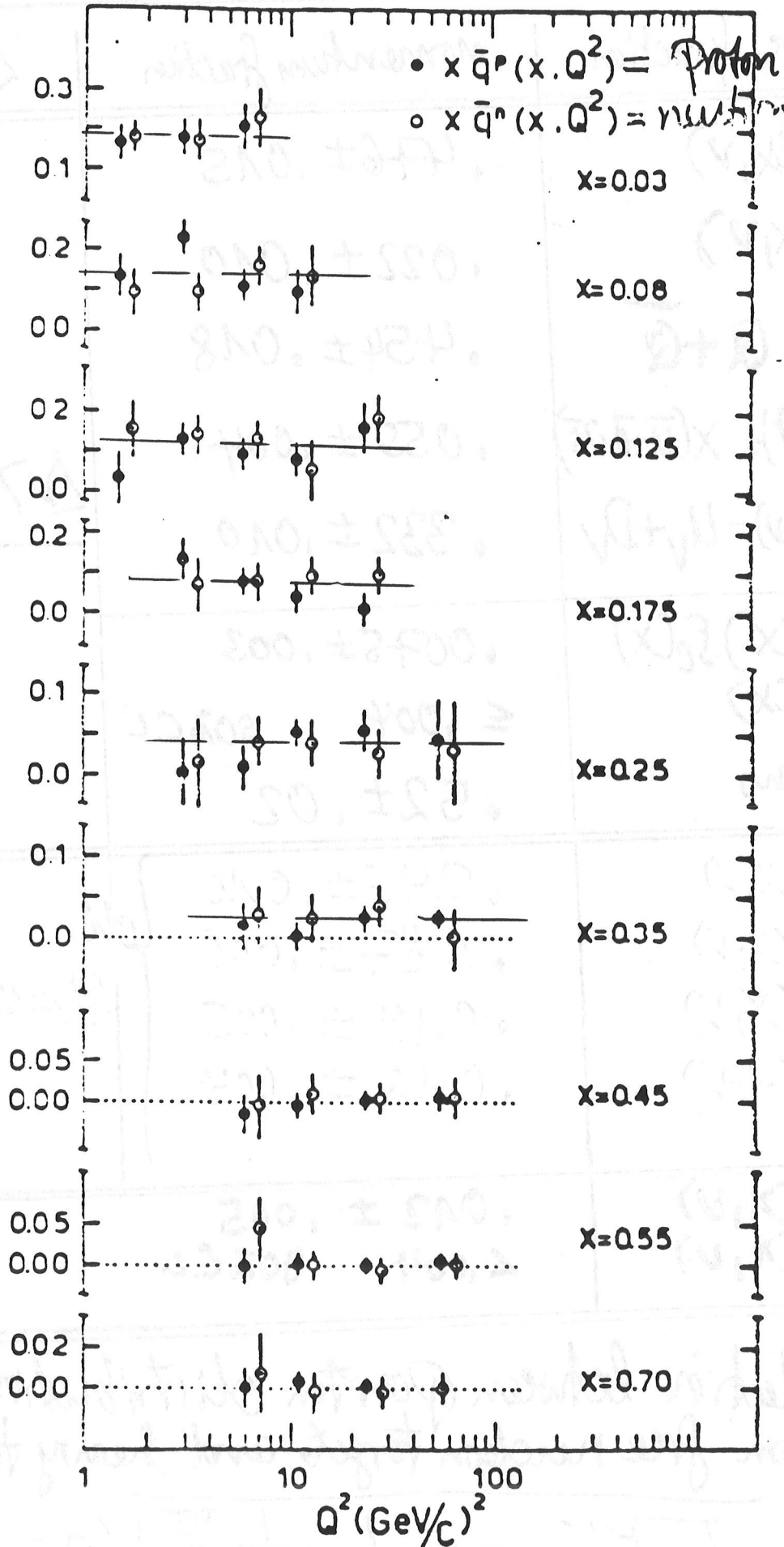
BT ● EMC prelim. using sea measurement of CDHS

$x d_v(x) = (1-x) x(1/4 s)$

up quarks in proton carry higher momenta than down quarks!

antiquarks in free nucleons:

WF25



$\left(\frac{\nu}{\nu'}\right) D_2$ in BEBC

$\bar{u} = \bar{d}$!!

$\bar{u}/\bar{d} = 1.1 \pm .4$

fig 2

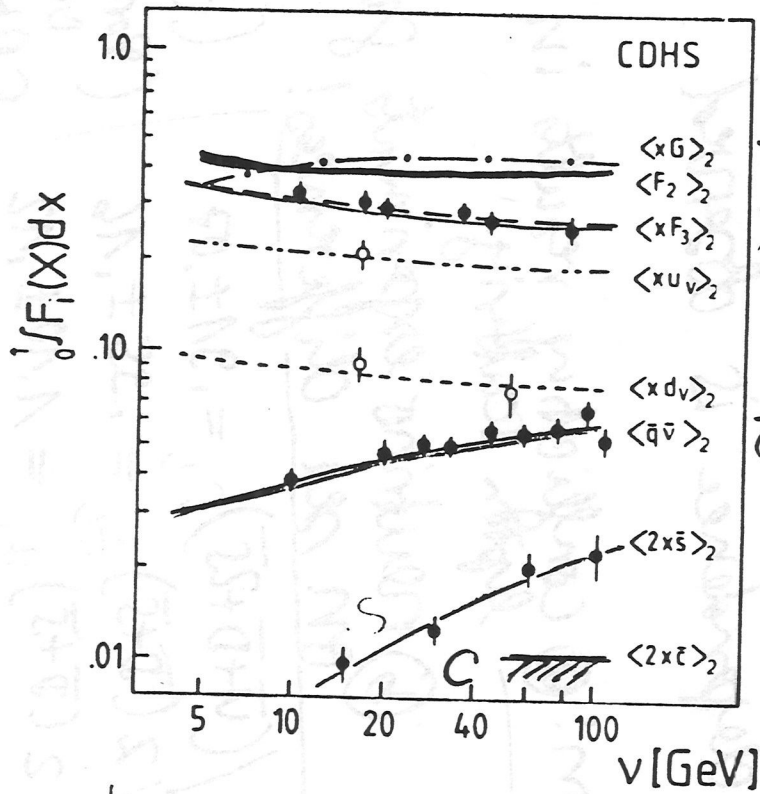
momentum fractions of nucleon constituents* (85)

| | Structure function | momentum fraction | $\langle \nu \rangle$ depend $\langle \nu \rangle$ |
|----------------------|-----------------------------------------------------------|----------------------|----------------------------------------------------|
| heavy targets | $F_2^{\nu N}(x, \nu)$ | $.476 \pm .015$ | $\Delta T = 50 \text{ GeV}$ |
| | $Q_L(x, \nu)$ | $.022 \pm .010$ | |
| | $2xF_{11} = Q + \bar{Q}$ | $.454 \pm .018$ | |
| | $\bar{q}^{\nu}(x, \nu) = x(\bar{u} + \bar{d} + 2\bar{s})$ | $.055 \pm .004$ | |
| | $x F_3(x, \nu) = U_V + D_V$ | $.332 \pm .010$ | |
| | $x S(x) \{c(x)$ | $.0075 \pm .003$ | |
| | $x C(x)$ | $\leq .004$ 50% C.L. | |
| | gluons | $.52 \pm .02$ | |
| free nucleon (part.) | $x u_v(x, \nu)$ | $.245 \pm .010$ | OK $\Delta T = 50 \text{ GeV}$ |
| | $x d_v(x, \nu)$ | $.087 \pm .006$ | |
| | $x \bar{u}(x, \nu)$ | $.019 \pm .005$ | |
| | $x \bar{d}(x, \nu)$ | $.013 \pm .004$ | |
| iron | $x S(x, \nu)$ | $.012 \pm .005$ | |
| | $x C(x, \nu)$ | $< .004$ 90% C.L. | |

? ? relation between parton distributions from free nucleon targets and heavy targets ? ?

EMC - effect (82)

EMC-effect

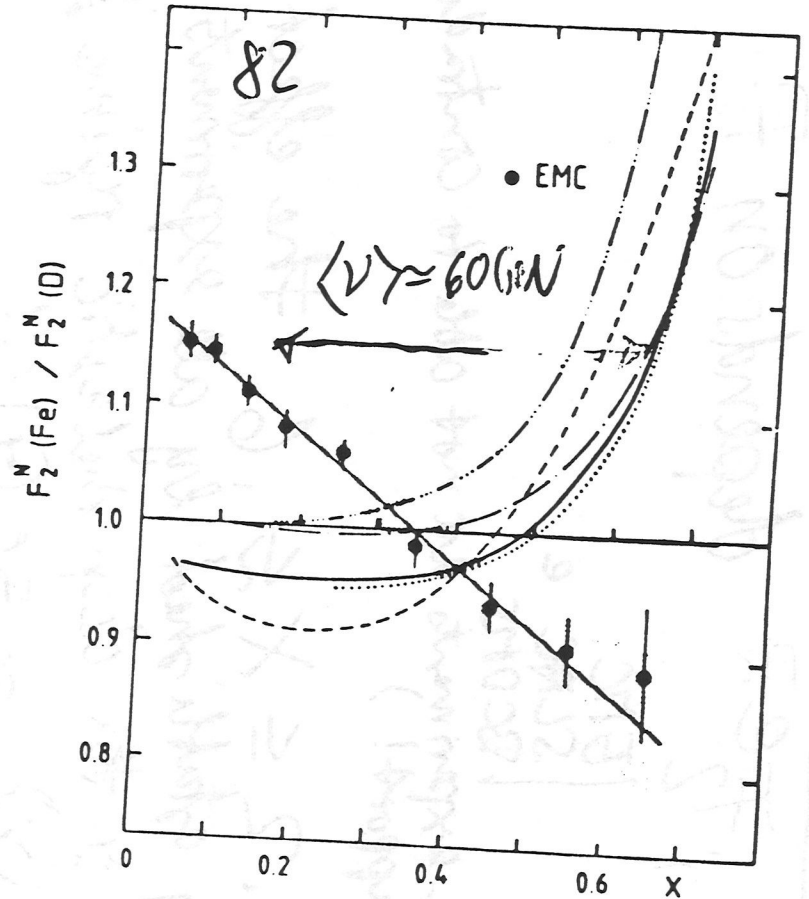


$$F_2 = q + \bar{q}$$

$$x(u_v + d_v)$$

$$q\bar{v} = x(\bar{u} + \bar{d} + 2\bar{s})$$

change of momentum fractions with $\langle \nu \rangle$



nuclear dependence of parton distributions

note: $\langle \nu \rangle = 60 \text{ GeV}$ is on the ^{will} parton scale !!

Some facts about EMC-effect

① $F_2^{\mu\tau}$, $F_2^{e\tau}$ depends on x ▽
○

EMC
SLAC e
BCDMs

(V-experiments are not able to contradict or support!)

② for $0.2 \leq x \leq 0.6$ the effect is clearly established by all experiments this is the deep inelastic regime!
 $v_{\text{rel}} = 50 \text{ GeV}$

EMC effect occurs on the parton level
"valence quarks" are affected! ☺

③ no Q^2 -dependence is observed

④ Sea-region: (a) conflicting results in charged lepton scattering

only important inclusion of v-expt →

(b) neutrino experiments give limits on sea differences!

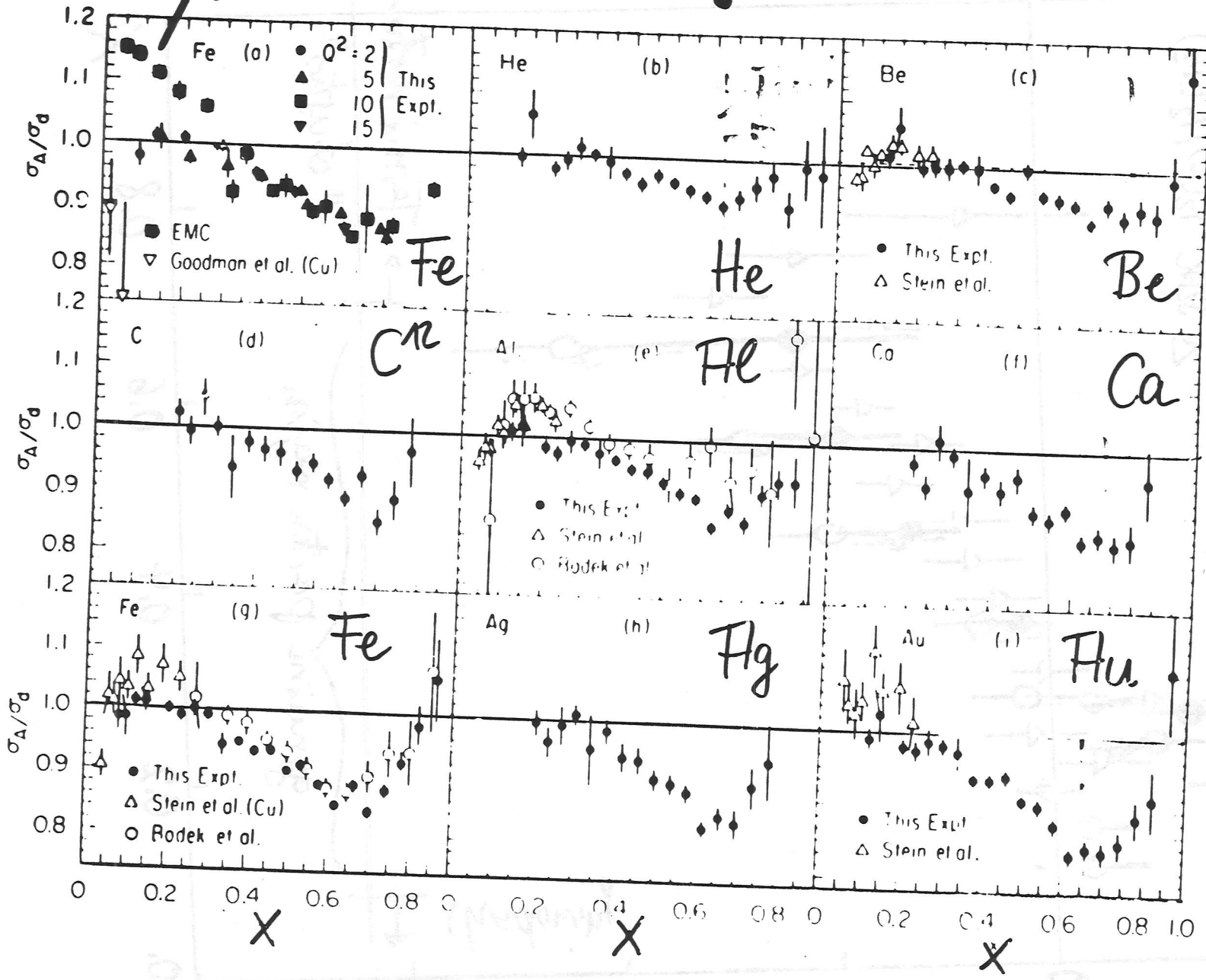
$$\begin{aligned} (\bar{u} + \bar{d} + 2\bar{s})_{Ne} / (\bar{u} + \bar{d} + 2\bar{s})_{\tau} &= 0.91 \pm 0.07 \\ (\bar{u} + \bar{d} + 2\bar{s})_{Ne} / 2(\bar{d} + \bar{s})_{\tau} &= 0.95 \pm 0.16 \\ (\bar{u} + \bar{d} + 2\bar{s})_{Fe} / 2(\bar{d} + \bar{s})_{\tau} &= 1.10 \pm 0.12 \end{aligned}$$

(Cooper et al. 89)
B51C 89
(Porter et al. 89)
B50C
CDHS

no evidence for sea difference!

• SAC-Ergebnisse

EMC

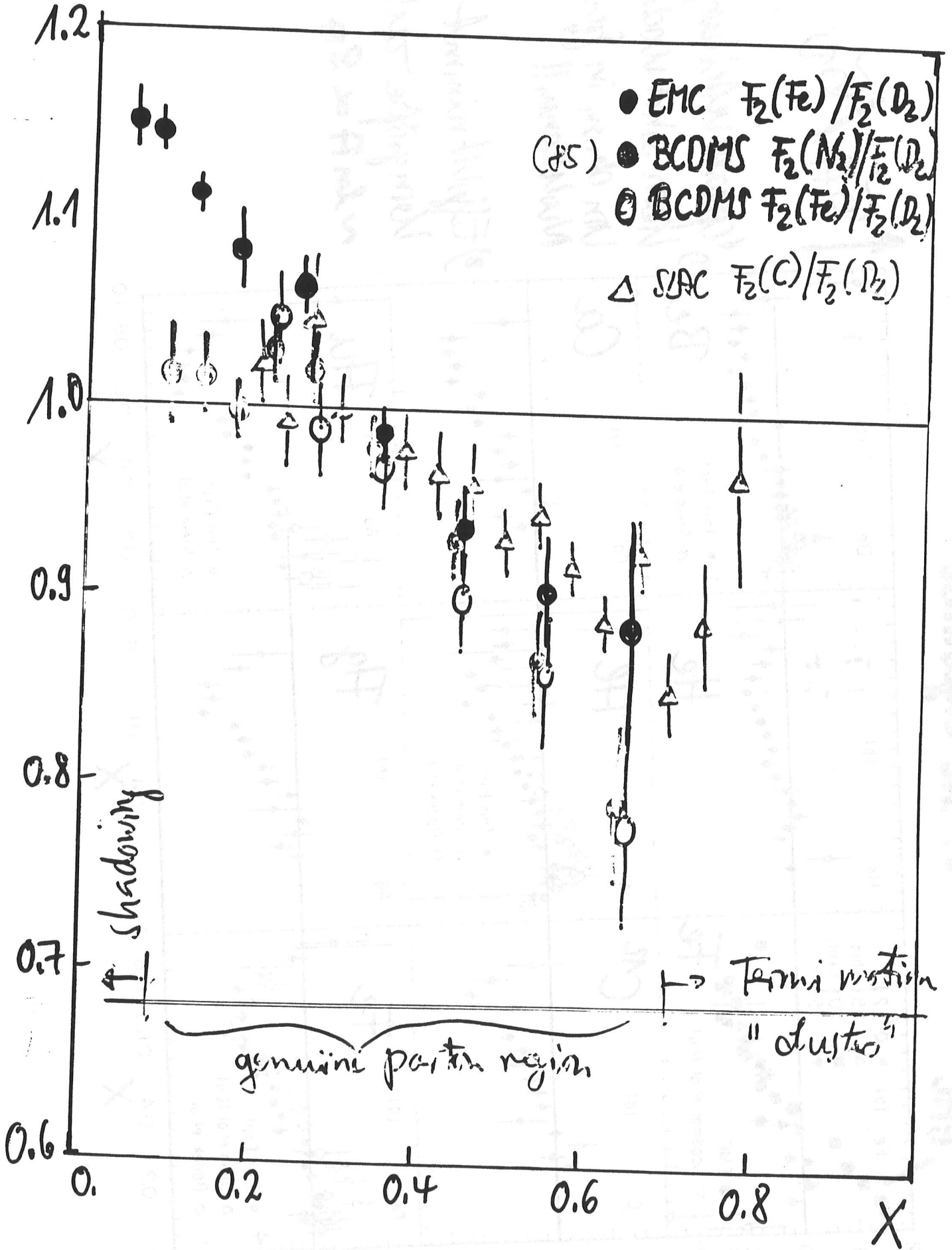


σ_A / σ_D

1) Quarkverteilungen im Kern sind verschieden von denen in freien Nucleonen!!

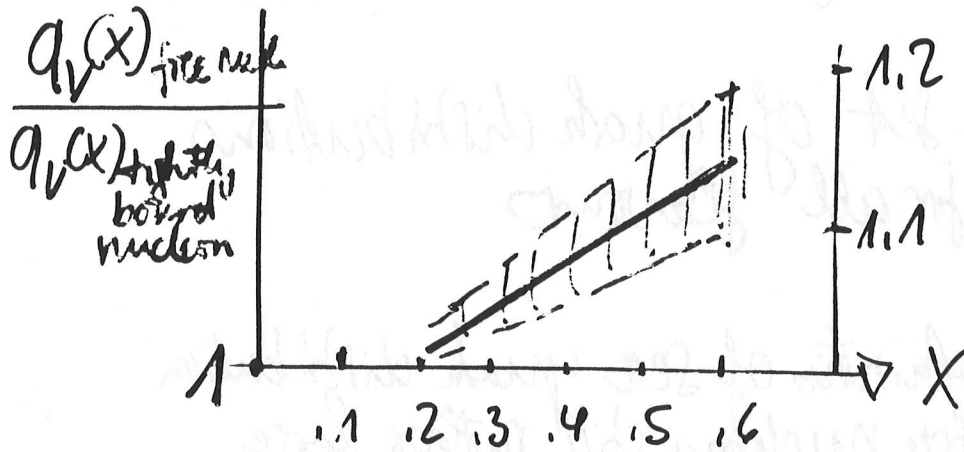
2) Effekt nimmt mit Kerngröße zu!
 $\sim \ln A \propto SA$

$$F_2(A)/F_2(D)$$



Summary EMC-effects: effect on flavour composition is rather moderate!! (not dramatic)

① effect on valence quarks established!



- a) tiny effect on $\int X F_3 dx$
- b) quarks in free nucleons carry higher momentum fraction at large X (up to 20%)

② sea-quarks:

- a) not established
- b) maximum effect:

$$\frac{(\text{Sea fraction})_{\text{free nucleon}}}{(\text{Sea fraction})_{\text{bound nucleon}}} = 1.05 \pm 0.06$$

$\pm \text{stat.}$
 $\leq 6\%$

Conservative estimate:

sea quark momentum fractions differ by not more than 15%!
no effect found by ν data.

③ Theoretical interest and explanations?

class 1: pions in heavy nucleus (extra sea!)

class 2: rescaling: $R_A > R_N$ (nucleon effective radius)
 $\rightarrow F^A(x, Q^2) = F^N(x, Q^2) = Q^2 \cdot \frac{R_N}{R_A}$

class 3: cluster models:

study behaviour of multi-quark systems: \leftarrow QCD?

Summary on flavour decomposition

Consistent set of quark distributions available for all flavours

uncertainties of sea quark distribution for free nucleons still rather large due to EMC-effect: $\leq 15\%$

note: these differences disappear in applications at high Q^2 (SPS, HERA) due to rapid evolution of the sea-quarks + gluons

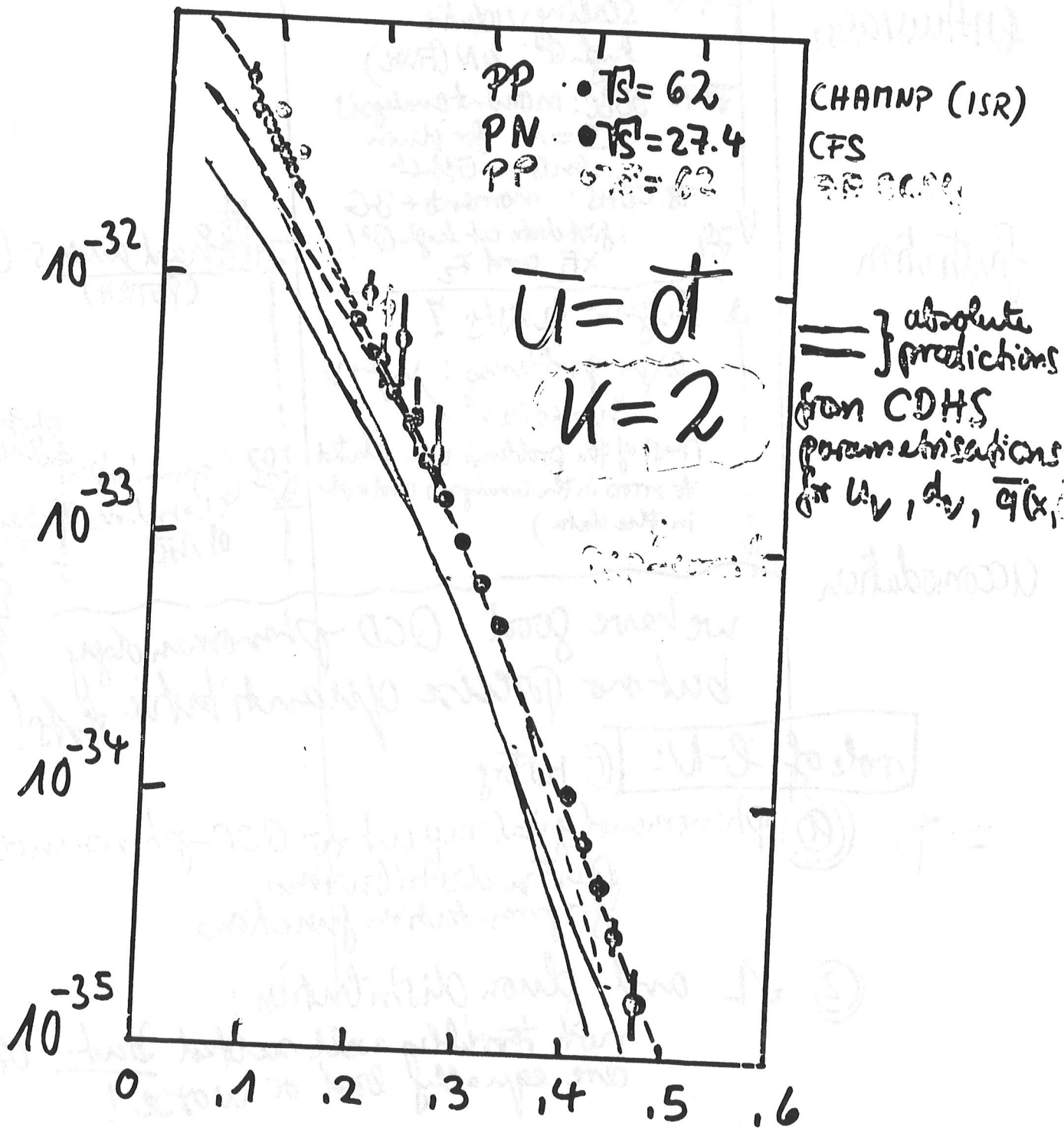
Valence quark distributions differ for bound and free nucleons: $\leq 15\%$ effect is quantitatively measured and can be corrected

2 longstanding experimental problems settled:

- i) σ_T^V , σ_T^N : scale for parton distribution well known
- ii) $q_L(x)$ measured rather decently
($R = \sigma_L / \sigma_T$)

\rightarrow $\mu + \nu$ - people at the SPS can leave the field with good conscience soon (we will still learn on EMC-effect)

$$m^3 \frac{d^2\sigma}{d\Omega dx} \Big|_{x_F=0} [\text{cm}^2 \text{GeV}^2] \propto x\bar{u}(4u+d)$$



$$x = \sqrt{s}$$

③ l-N-scattering and QCD

3 phases

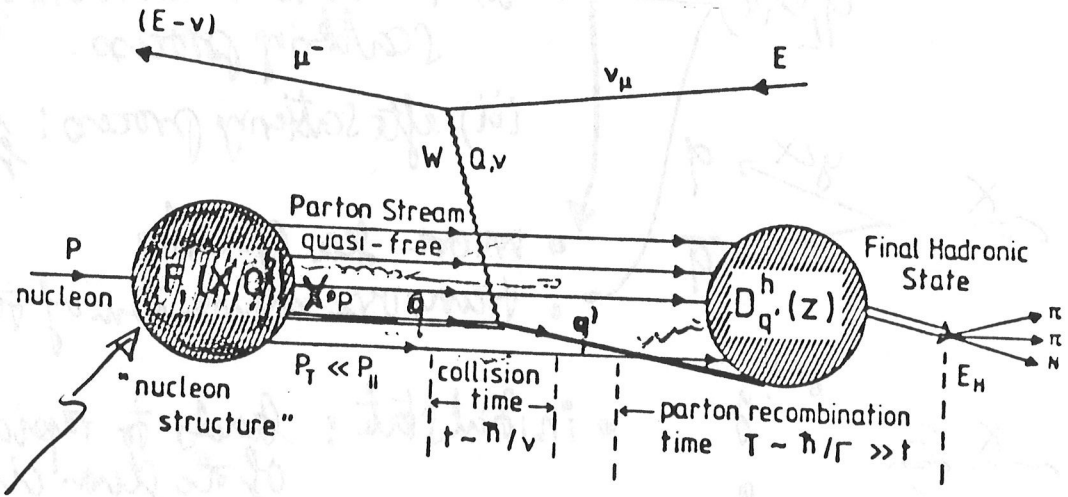
| | | |
|----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------|
| | <p>lN: first testing ground of QCD</p> | <p>e^+e^-</p> |
| <p>Enthusiasm</p> | <p>77 scaling violation high Q^2: μN (FNAL)</p> <p>78 BEBC: moment analysis $S=1$ for gluon $\ln Q^2 - \ln Q^2 - \ln Q^2$</p> <p>78 CDHS: moment + BG ; first data at high Q^2! $x F_3$ and F_2</p> | <p>79 Hard muons ($e^+e^- \rightarrow q\bar{q}$) (PETRA)</p> |
| <p>Frustration</p> | <p>higher energy! exp. problems: $\mu \rightarrow 0$ $\frac{1}{2} = 60 \text{ GeV}$</p> <p>(most of the problems were related to errors in the analysis and not in the data)</p> | <p>photon structure function</p> |
| <p>Accommodation</p> | <p>we have good QCD phenomenology but no precise quantitative tests!</p> | |

role of l-N: (C) history

- ⇒ ① Phenomenological input to QCD-phenomenology
Parton distributions
fragmentation functions
- ② Λ and gluon distribution:
not terribly well suited but others are equally bad or worse!

high E_T jets
P.P.
g.g.

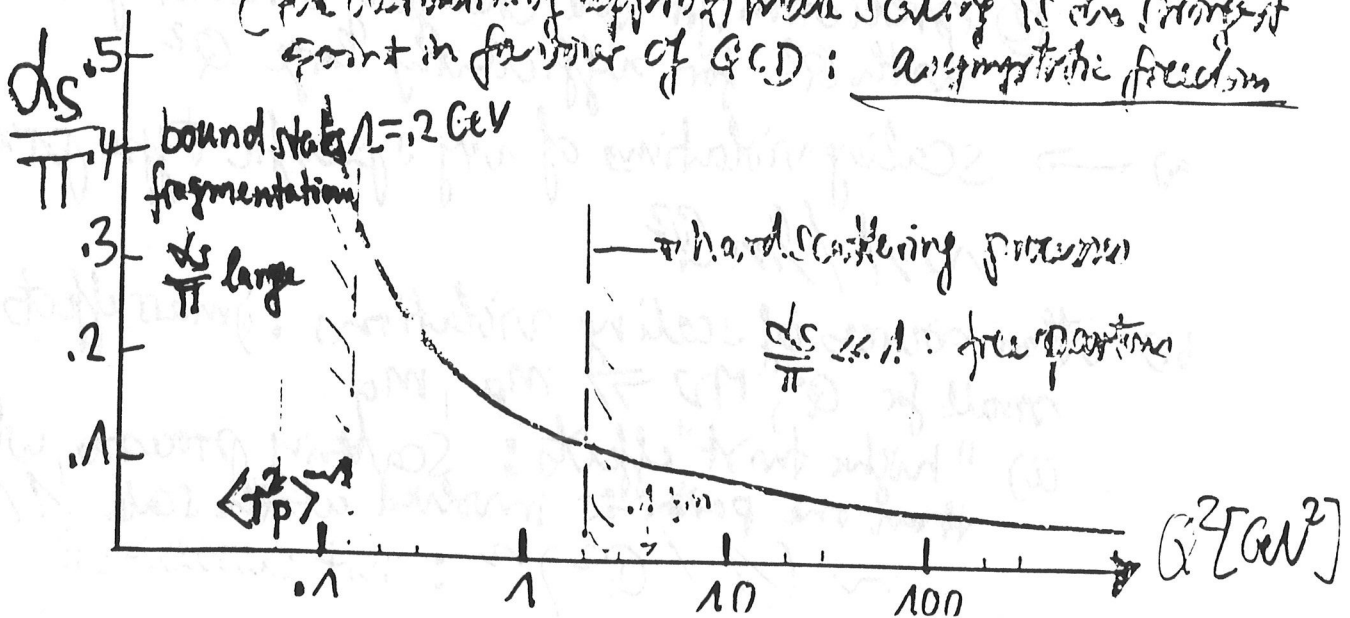
QPM-picture for DIS :



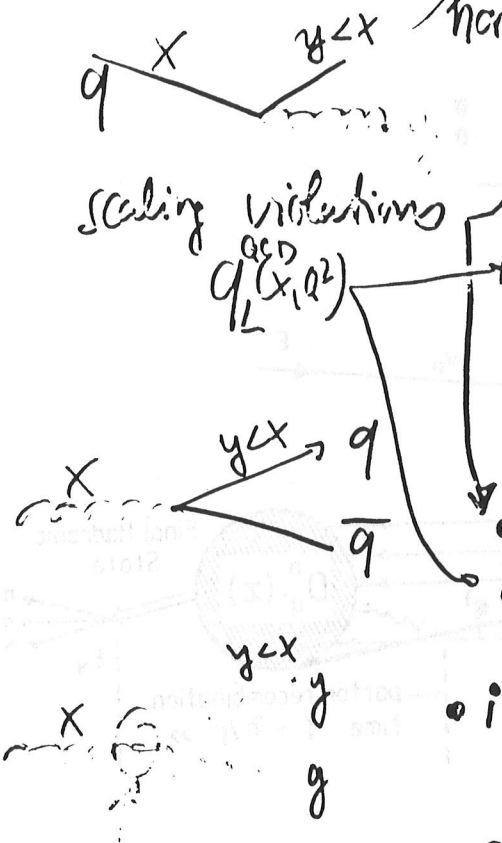
QPM

- i) quarks + antiquarks are quasi-free for the space-time scale of the hard scattering process
 ↳ scaling of structure functions
- ii) they will have a transverse momentum with respect to the incident proton direction (clear from uncertainty principle)
 ↳ $q_{\perp} = 4 \langle P_{\perp}^2 \rangle / Q^2$ (intrinsic P_{\perp})
- iii) the final quarks fragment into observable hadrons, independent of the nature of the hard scattering process.

QCD: a QCD is the only theoretical basis of the QPM!
 (the derivation of approximate scaling is the strongest point in favour of QCD: asymptotic freedom)



b) radiation effects of field quanta : in the time scale of the hard scattering process



- i) loss of longitudinal momentum; \rightarrow shrinkage of parton distributions towards small x
- ii) transverse momentum of quark before scattering process.
- iii) after scattering process: broadening of hadron jet
- more sea quarks
- transverse momentum of these quarks
- initial state: leads to rapid shrinking of the gluon distribution towards small x
- final state: broadening of gluon jets

this is the basis for QCD: gluons are colored \Rightarrow asymptotic freedom (not directly visible in DIS)

c) gluons have to show up as partons : γ -gluon fusion; q - g , q - g sea

QCD predictions for DIS:

- ① shape of structure functions not predictable at present, using perturbation theory
 - ② prediction for the change of structure functions with Q^2 for sufficiently large Q^2
- a) \rightarrow scaling violations of very specific type predicted $\sim 1/\ln Q^2$
- b) other sources of scaling violations: i) mass effects (m_p, m_q small for Q^2), $M^2 \rightarrow m_p, m_q$
- ii) "higher twist" effects: scattering processes, where more than one parton is involved at the scale $1/Q$!
 $\sim (1/Q^2)^n$: not calculated!!

QCD-prediction: available for sufficiently large Q^2, W^2 ¹⁰³
since $1/\ln Q^2$ terms will dominate

are present experiments in this energy regime?

basic problems of QCD-tests

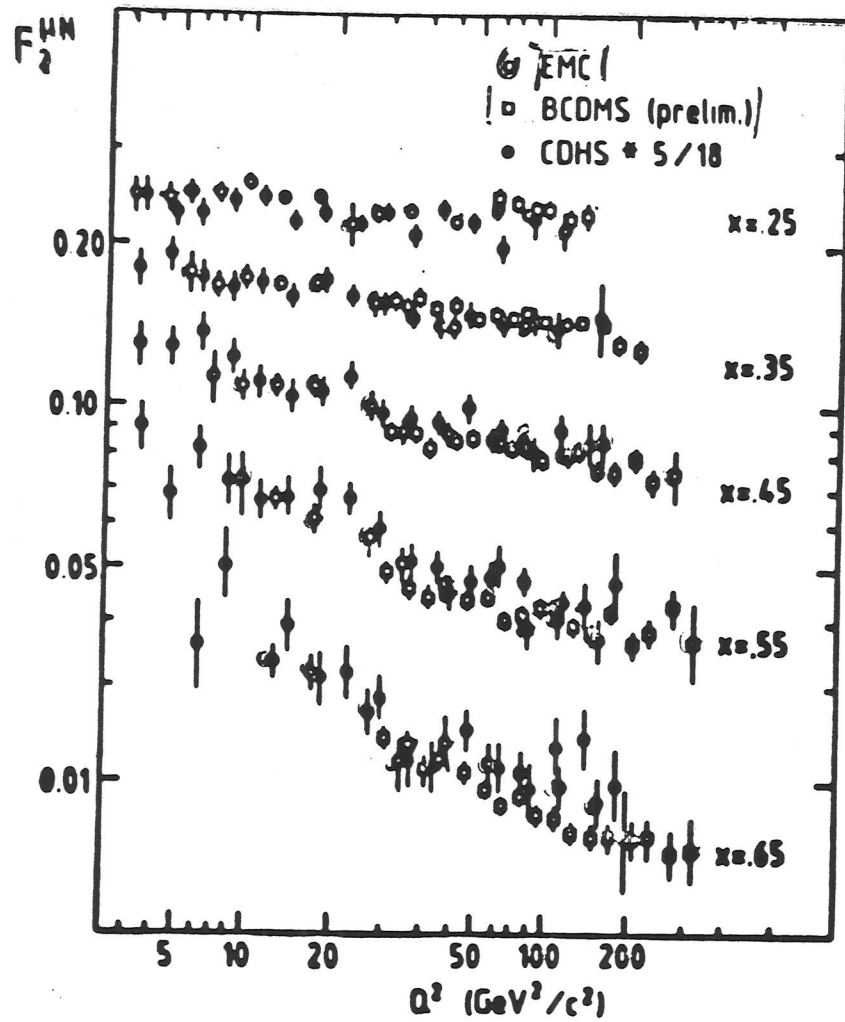
Step 1: exp evidence for scaling violations

- a) Clearly established up to highest available values of Q^2 : muon and neutrino experiments
- b) their pattern is in qualitative agreement with QCD expectations

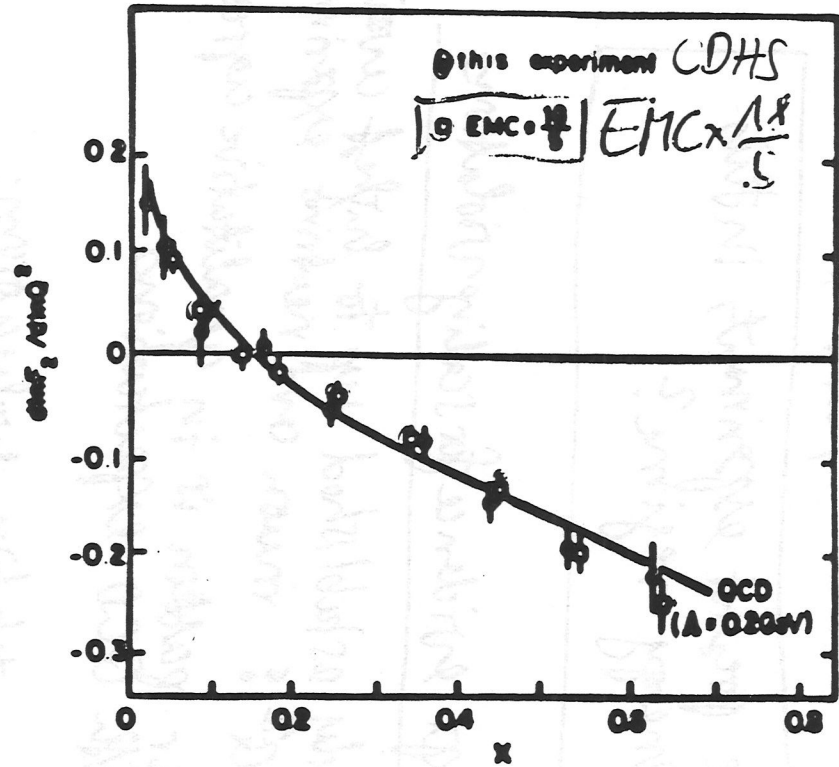
Step 2: quantitative evaluations:



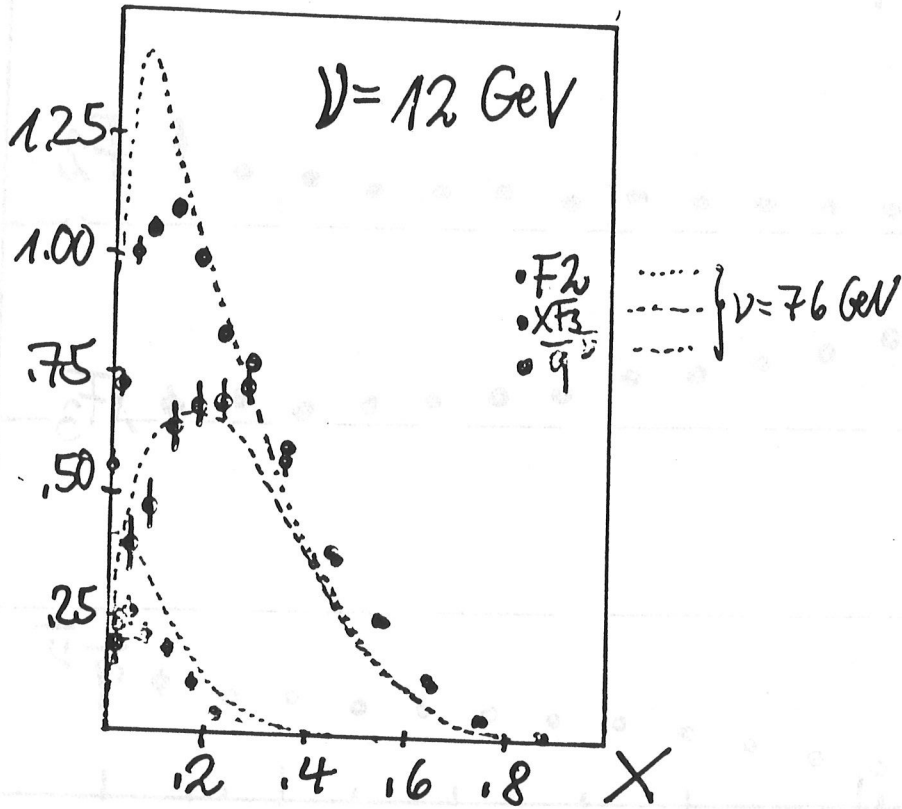
Comparison of ν and μ -data at high Q^2



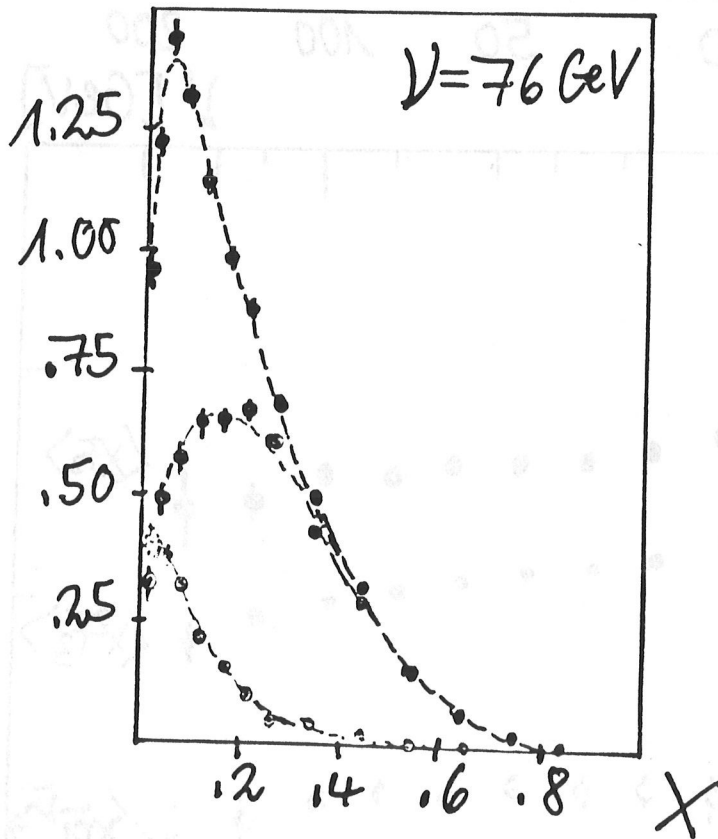
Slopes
 $d \ln F_2 / d \ln Q^2$



Change of shape with $\nu = \frac{Q^2}{2mX}$



CDHS 85
(ν pred.)

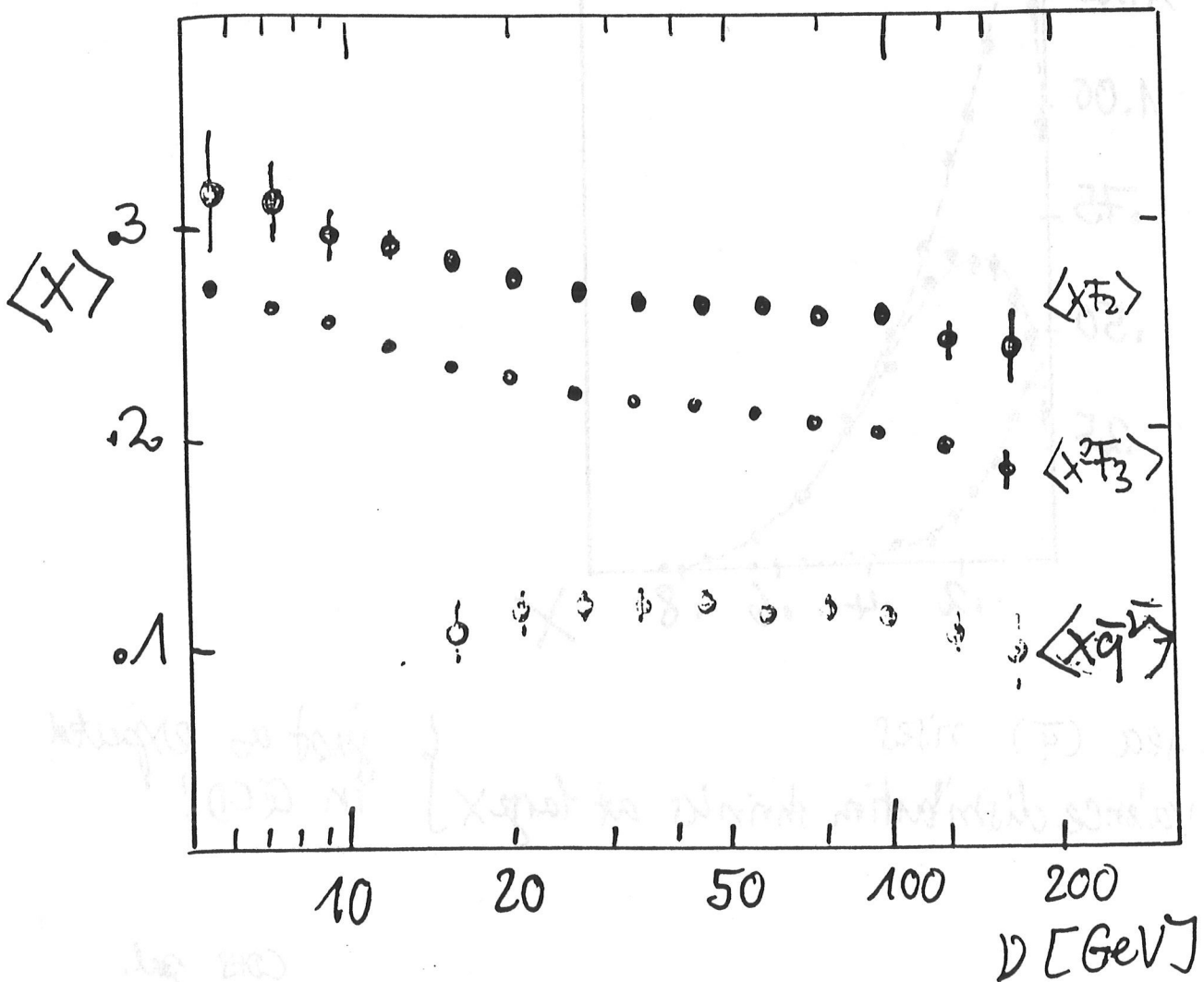
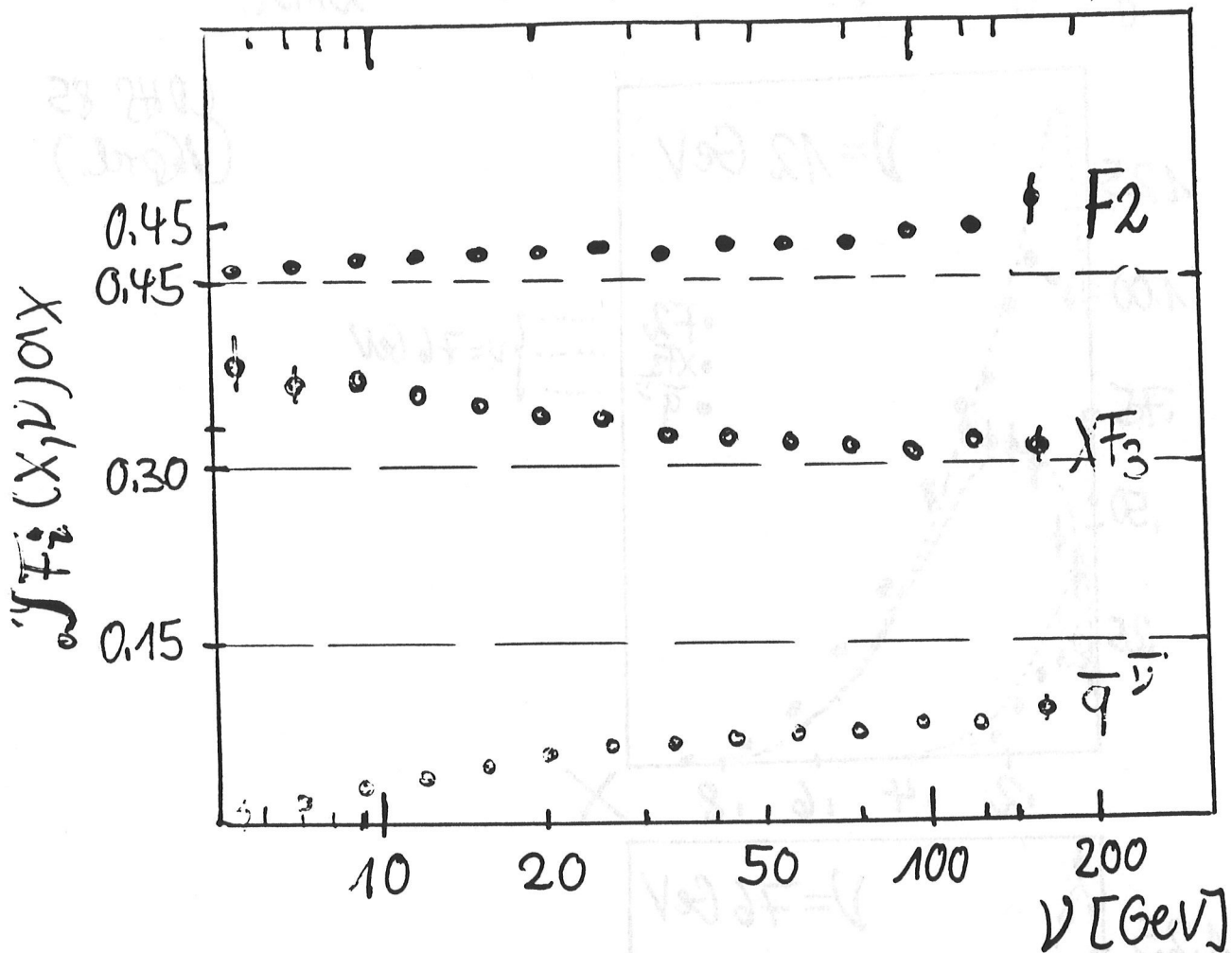


- sea (\bar{q}) rises
 - valence distribution shrinks at large X
- } just as expected in QCD!

"momentum fraction"

COHS (preliminary)
(very)

106



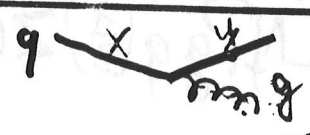
: Scaling violations in DIS: test of QCD

- do we see QCD effects?
 - kinematic effects
 - higher twist contributions
- (- QCD vs. other field-"theories")
- determination of the gluon distribution
- what is $\Lambda_{\overline{MS}}$?

QCD-analysis:

relevant for QCD: slopes of structure functions
 $\frac{dF_i(x, Q^2)}{d \ln Q^2}$ (scaling violations)

2 contributions:



"bremsstrahlung": shrinking at large x



"pair production": rise of the sea at small x

A scale:

$$\alpha_s(Q^2) = \frac{12\pi}{25 \ln(Q^2/\Lambda^2)} \quad (L.O.)$$

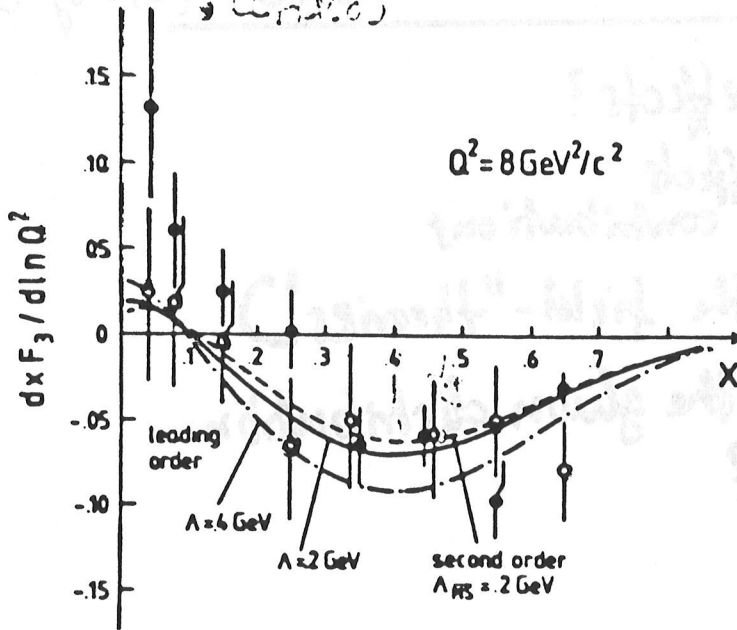
specific QCD predictions:

- $\alpha_s(Q^2) \sim 1/\ln Q^2$: "asymptotic freedom" related to
- gluons have spin 1 \Rightarrow x-dependence of scaling violations

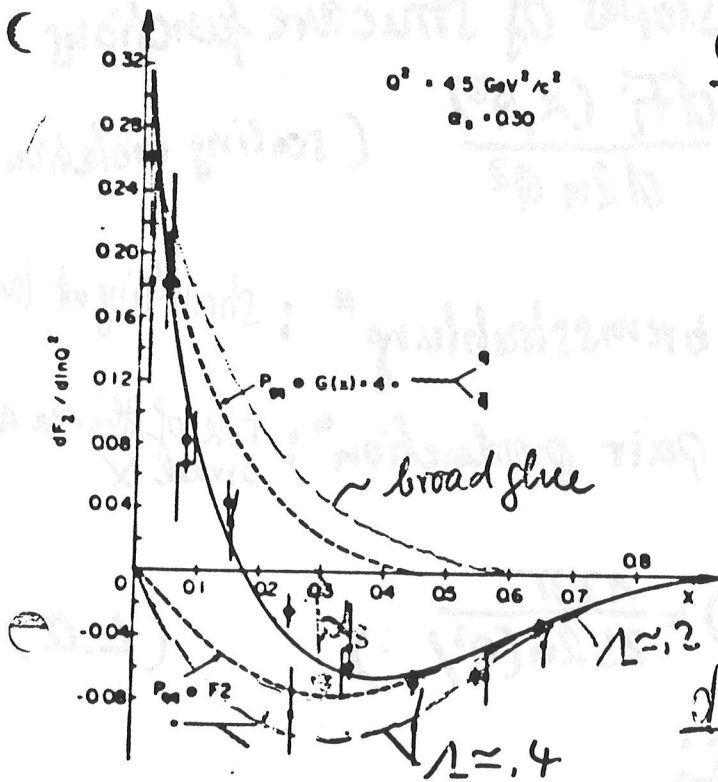
most direct access:

Altarelli-Parisi equations

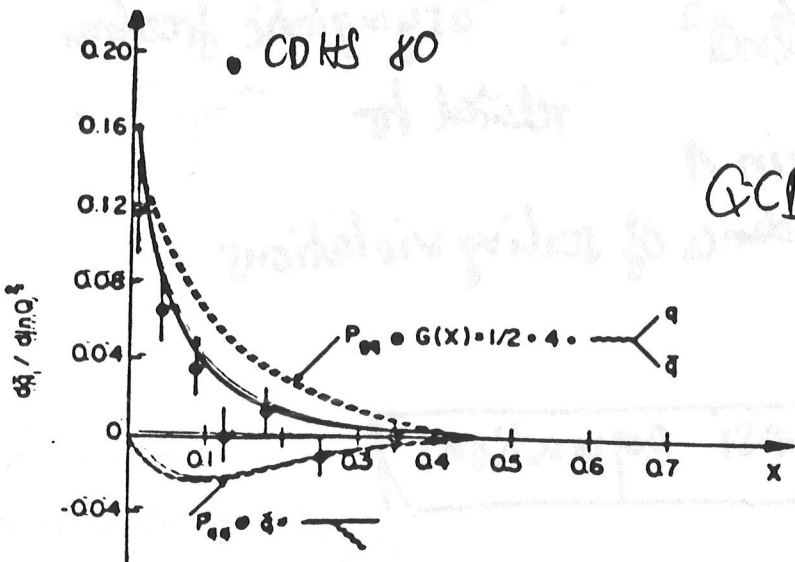
CDHS 80
CFR 80



$$\frac{dxF_3(x, Q^2)}{d \ln Q^2} = \frac{\alpha_s(Q^2)}{2\pi} \int_0^1 \frac{d^2z}{z^2} \left[P_{qg}\left(\frac{x}{z}\right) F_2(z, Q^2) - \frac{xz}{z} \right]$$



$$\frac{dF_2(x, Q^2)}{d \ln Q^2} = \frac{\alpha_s(Q^2)}{2\pi} \int_0^1 \frac{d^2z}{z^2} \left[P_{qg}\left(\frac{x}{z}\right) F_2(z, Q^2) + N_f P_{gq}\left(\frac{x}{z}\right) z G(z, Q^2) \right]$$



$$\frac{d\bar{q}(x, Q^2)}{d \ln Q^2} = \frac{\alpha_s(Q^2)}{2\pi} \int_0^1 \frac{d^2z}{z^2} \left[P_{qg}\left(\frac{x}{z}\right) \bar{q}(z, Q^2) + N_f P_{gq}\left(\frac{x}{z}\right) z G(z, Q^2) \right]$$

- QCD
- ① unknown: $\alpha_s(Q^2)/\pi$
 $\rightarrow = \frac{12}{(33 - 2N_f) \ln(Q^2/\Lambda^2)}$
 - ② gluon distribution
 - ③ measured: $F_i(x, Q^2)$
 - ④ predicted by QCD: $P_{ij} : \frac{dF_j}{dx}$
- stoppers are predicted !!

A few facts about scaling violations and QCD

- a.) they are ^{presently} quantitatively described by QCD-effects alone for $Q^2 \gtrsim 2 \text{ GeV}^2$ and $W \gtrsim 3.5 \text{ GeV}$
- b.) QCD is unable to describe low $Q^2 (W^2)$ data (e.g. from SLAC) and high Q^2 data from SPS, FNAL simultaneously!
 ↳ mass or non-perturbative effects must be present! (especially for low W !)
- c.) we can never prove that we see QCD-effects experimentally!
 A series of $(1/Q^2)^n$ can fit an elephant!
 ⇒ All scaling violations could be non-perturbative!
 example: simple dipole models can explain a lot!

theoretical input: "higher twist contributions are probably small" $\approx \approx$ ~~77/78~~

assumptions: we see effects due to radiations which QCD-ingredients are tested?

| | | | |
|---------------|--------------------------------|--------|----------------------|
| equivalent to | gluon spin 1 ? | - yes! | BFAC/COHS (77/78) |
| | gluon-gluon coupling | - no | |
| | $\alpha_s(Q^2) \sim 1/\ln Q^2$ | - no | |

Quantitative QCD-analysis of scaling violation

- aim:
- determine $\Lambda_{\overline{MS}}$
 - determine $XG(X, Q_0^2)$

warnings:

- ① data at low invariant mass are suspicious
we have evidence for substantial non-perturbative effects
↳ cut at $W^2 \gtrsim 10 \text{ GeV}^2$
- ② data at low Q^2 are suspicious: low order QCD-predictions will not work
 - XF_3 : no problem, since low x -region has no information on $\Lambda_{\overline{MS}}$
 - F_2, \overline{qV} : all information is at small x !
to fit $XG(X, Q_0^2)$
→ high Q^2 -cut not possible → (danger)
- ③ many technical problems: delicate analysis
 - different programs do not agree (second order)
 - analysis of F_2, \overline{qV} depends on assumption R , sea-contribution, ...

↳ the errors due to the analysis, extraction $\Lambda_{\overline{MS}}$ have often been larger than the errors due to data

① $\Lambda_{\overline{MS}}$: $XF_3(X, Q^2)$ } straight forward but statistically limited

"improve" by using $F_2(X, Q^2)$ for $X \gtrsim 3$

- necessary for muon experiments
- what value of R !
- subtract sea contribution!

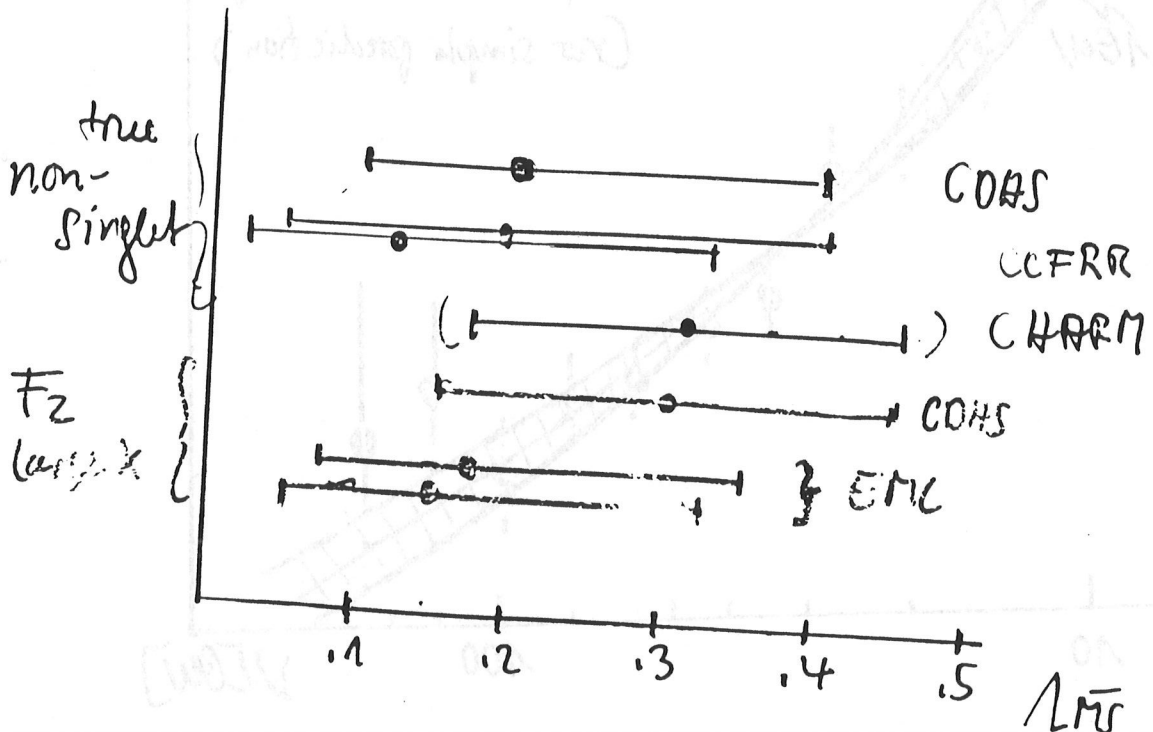
② $XG(X, Q_0^2)$: \overline{F}_2 alone not sufficient. $\left\{ \begin{array}{l} + \overline{qV} \\ + XF_3 \end{array} \right.$

Genuine non-singlet results at high W^2 and Q^2

| | | | $\Lambda_{\overline{MS}}$ [MeV] |
|-----------|--------|-----------------------------------------------------|------------------------------------------------------------------------------|
| CDHS (83) | XF_3 | $Q^2 > 2 \text{ GeV}^2$ $W^2 > 11 \text{ GeV}^2$ | 200^{+200}_{-100} |
| CCFR (84) | XF_3 | $Q^2 > 5 \text{ GeV}^2$ $W^2 > 10 \text{ GeV}^2$ | 120^{+200}_{-106} <small>(some progress 194 +220 -150 second part)</small> |
| CHARM | XF_3 | $Q^2 > 3 \text{ GeV}^2$ no W^2 cut! | 310 ± 140 |

! using F_2 at large x ! depends on R !

| | | | $\Lambda_{\overline{MS}}$ [MeV] |
|-----------|-------------------------------------------------|-------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|
| CDHS (83) | $F_2 (x \geq .3)$ sea subtracted as measured | $Q^2 > 2 \text{ GeV}^2$ $W^2 > 11 \text{ GeV}^2$ R_{had} | 300 ± 150 |
| EMC | $F_2 (x \geq .25)$ sea subtracted from CDHS | $W^2 \geq 10 \text{ GeV}^2$ $Q^2 > 3 \text{ GeV}^2$ R_{had} | $173^{+20}_{-37} \text{ }^{+150}_{-90}$ (Fe) $150^{+20}_{-60} \text{ }^{+160}_{-90}$ (H ₂) |



Altarelli
Barris '85

$100 \leq \Lambda_{\overline{MS}} \leq 500$

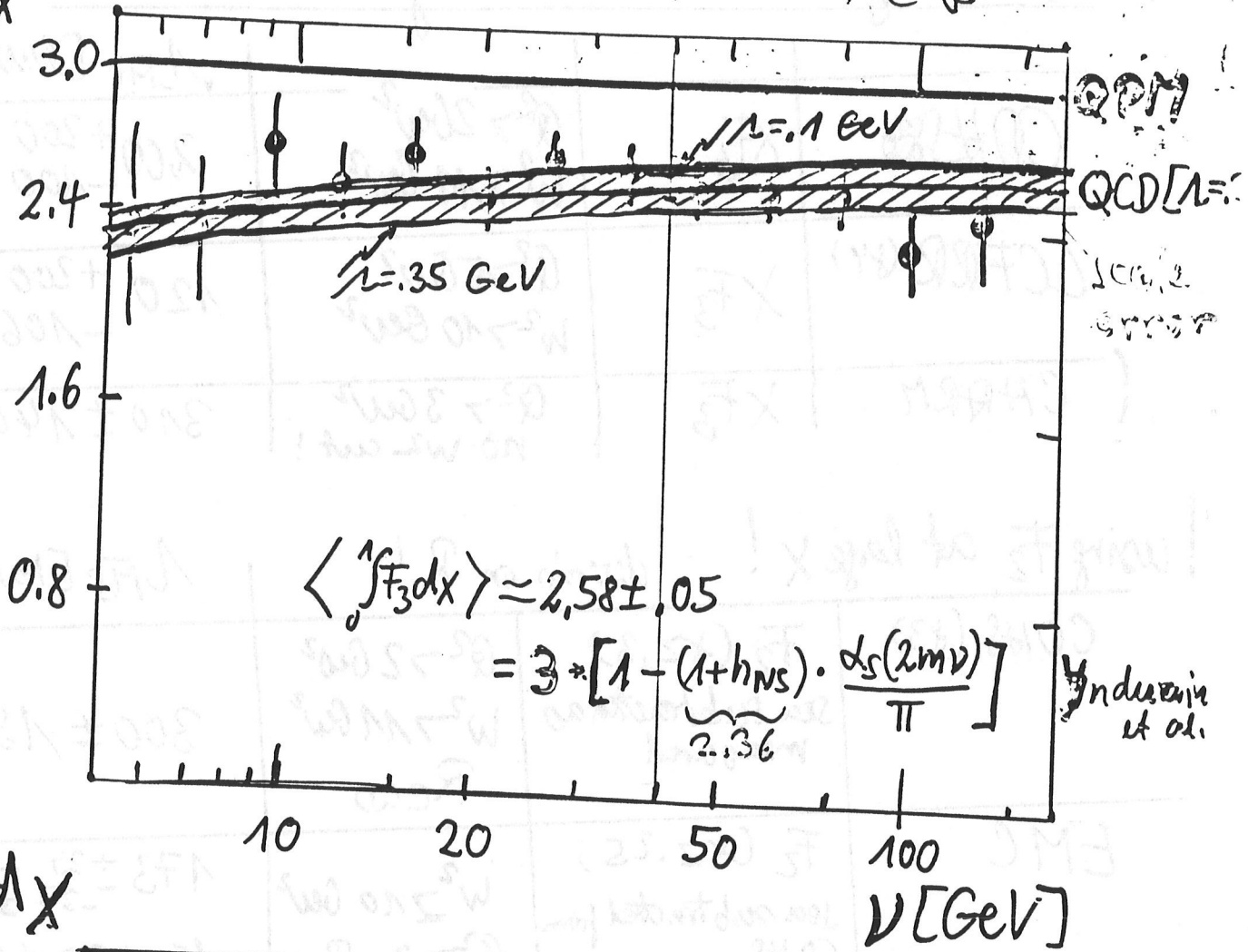
$\alpha_S(Q^2=100)$

$= .165 \pm .030$

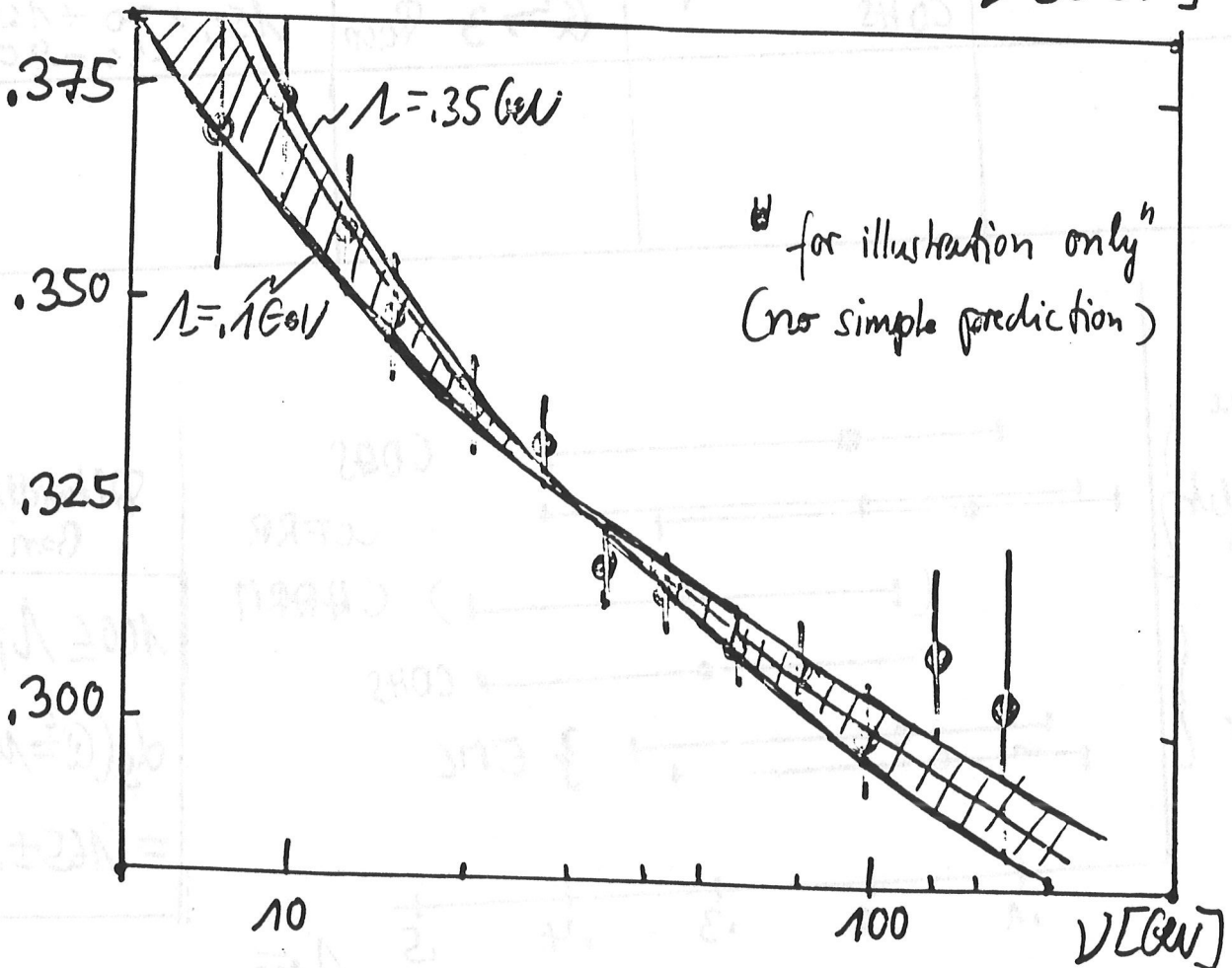
illustrates sensitivity of data
to Λ

CDRS 85112
(unpubl.)

$\int_0^1 F_3 dx$

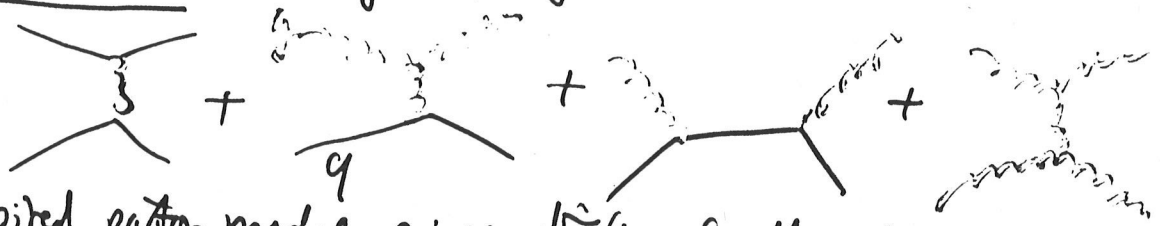


$\int_0^1 x F_3 dx$



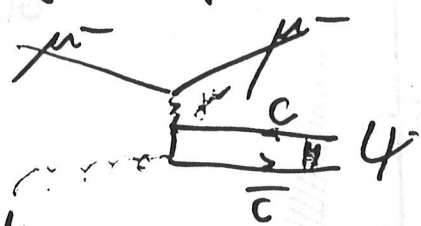
gluon distribution:

a) direct access: high E_T - jets in hadronic collisions



QCD inspired parton model gives $d\sigma/dt$ for all subprocesses + relative strength, gluon scattering dominates at present [PPS] kinematic range
but: model not genuine prediction

b) heavy flavour production:
 Strong model dependence!



: γ -gluon fusion model

c) analysis of scaling violations of F_2 and/or $q\bar{q}$
 needs if the bulk of scaling violations at small x is due to assumption to QCD $\nabla\nabla$

note: fractional momentum of gluons is fixed by energy momentum sum rule

\Rightarrow scaling violations determine ^{mainly} the width of the gluon distribution

results:

i) CDHS80 : $\left\{ \begin{array}{l} F_2(x, Q^2) + q\bar{q} (x > 0.3) \\ R_{QCD} ; \text{ leading order fit} \\ XG(x, Q_0^2) \text{ parametrised in specific functional form} \end{array} \right. \quad \begin{array}{l} W^2 > 11 \text{ GeV}^2/c^2 \\ Q^2 > 2 \text{ GeV}^2/c^2 \end{array}$

$\Lambda_{L.O} = 2.30 \pm 1.00$

ii) CHARM82 : $\left[F_2(x, Q^2), xF_3(x, Q^2), q\bar{q}(x, Q^2) \right] \quad Q^2 > 3 \text{ GeV}^2/c^2$
 $\Lambda_{L.O} = 1.90^{+0.70}_{-0.40} \rightarrow R = 0.0 ; (R = 0.1)$
 Fermi's method; perturbative method: needs no parametrization!

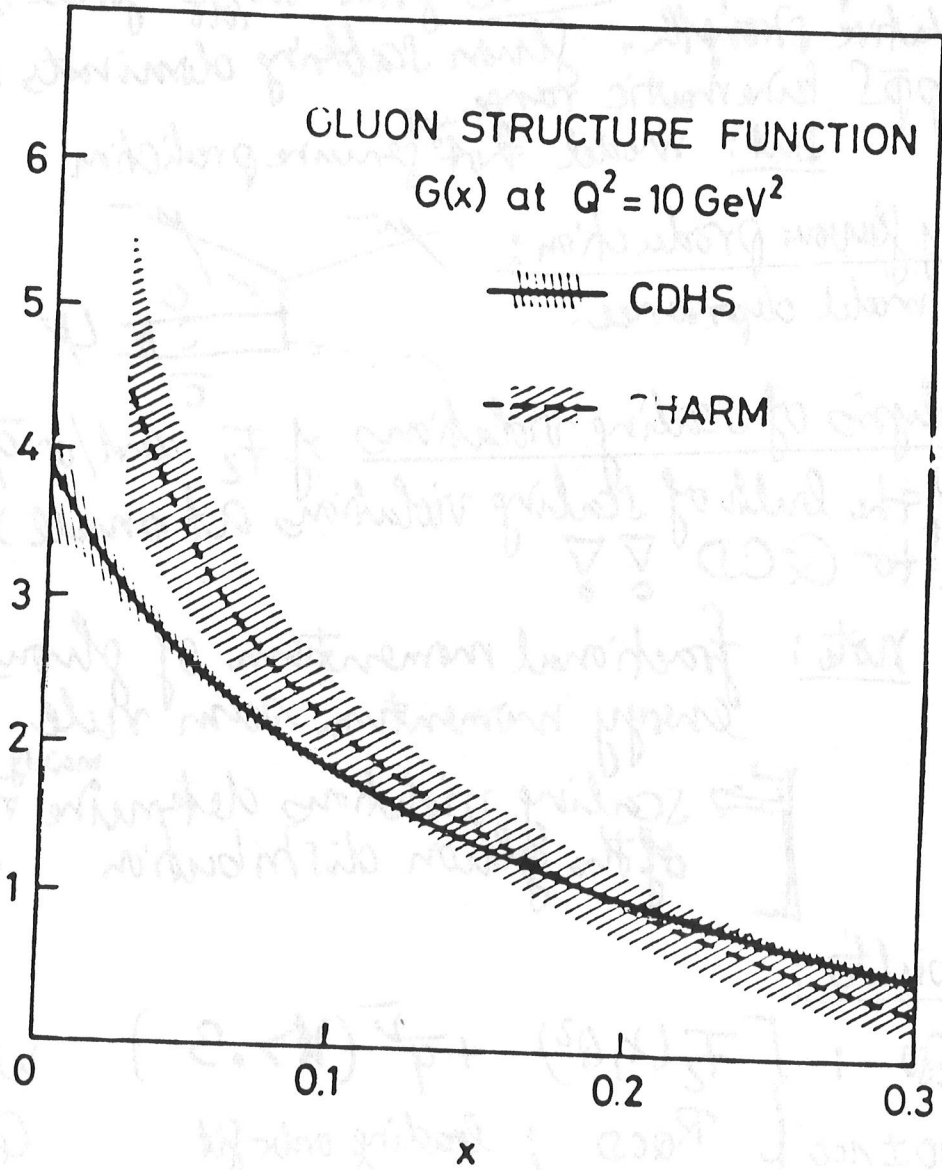


Fig. 1

reasonable agreement, "reasonably good" estimates of the gluon distribution

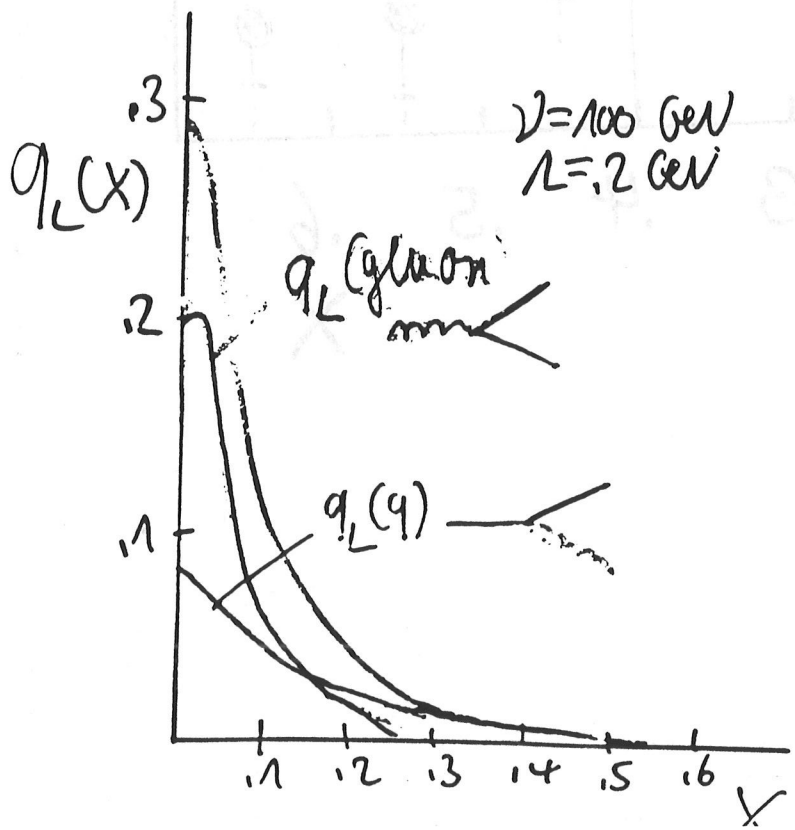
- defects: in principle:
 - o relies on QCD interpretation of structure functions
 - o but: it is ground prediction of QCD
 - o has to make use of rather low Q^2 data (sea-region)
 - o leading order fits only (probably ok for the purpose)

in practice:

- specific parametrisation (CDHS)
- $\int R=0$: inconsistent with QCD and measurements (CHARM)
- no slow rescaling corrections
- \bar{q} at small x is very precisely affected

further progress is possible!

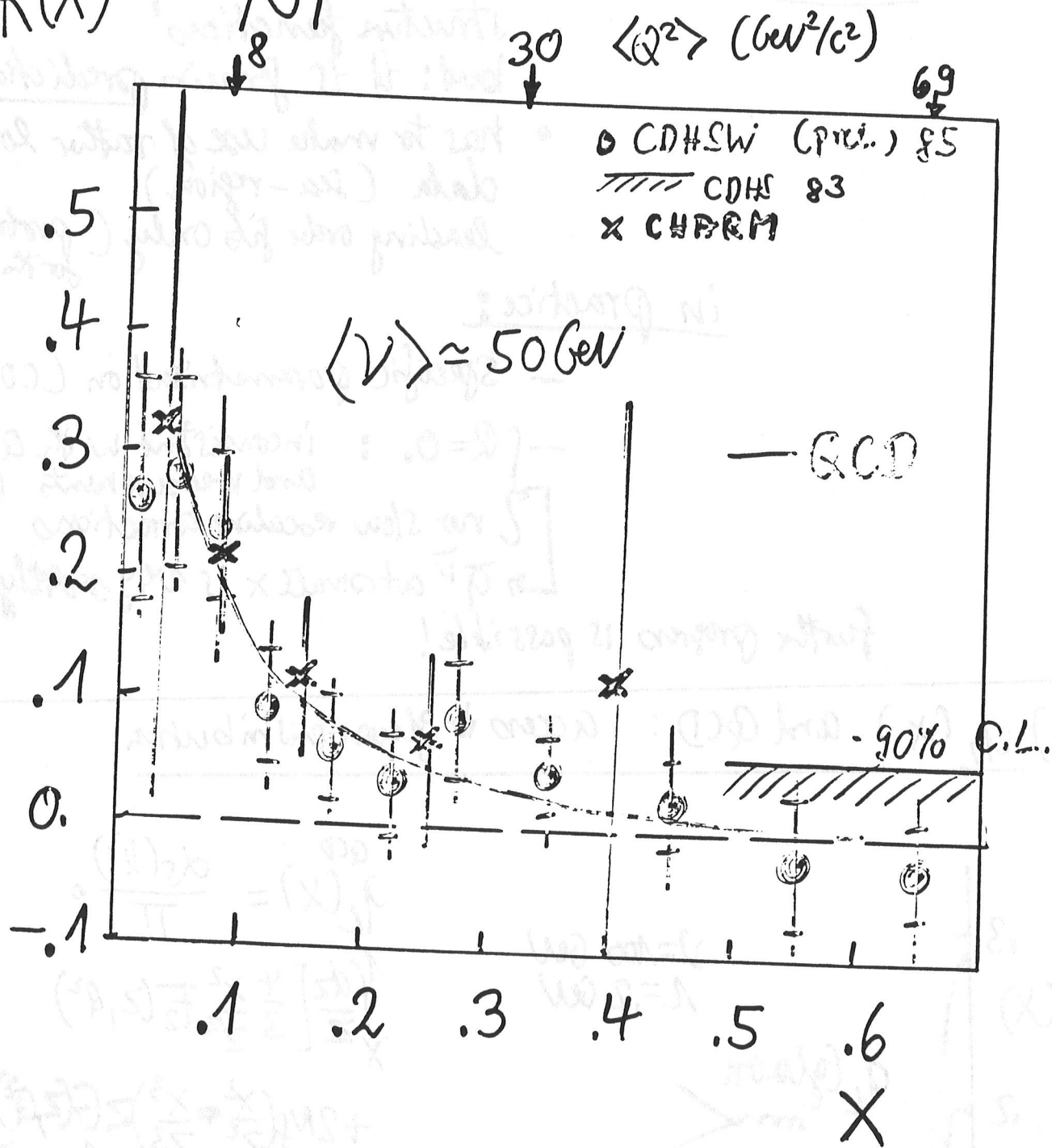
d) $q_L(x)$ and QCD: access to gluon distribution



$$q_L^{QCD}(x) = \frac{\alpha_s(Q^2)}{\pi} \int_0^1 \frac{dz}{z} \left[\frac{4}{3} \frac{x^2}{z^2} F_2(z, Q^2) + 2N_f \left(\frac{x^2}{z^2} - \frac{x^3}{z^3} \right) G(z, Q^2) \right]$$

dominates!

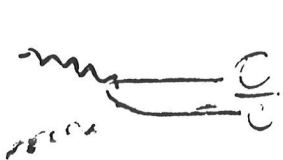
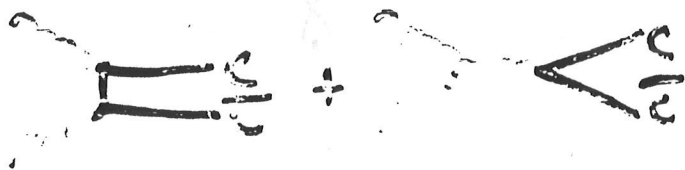
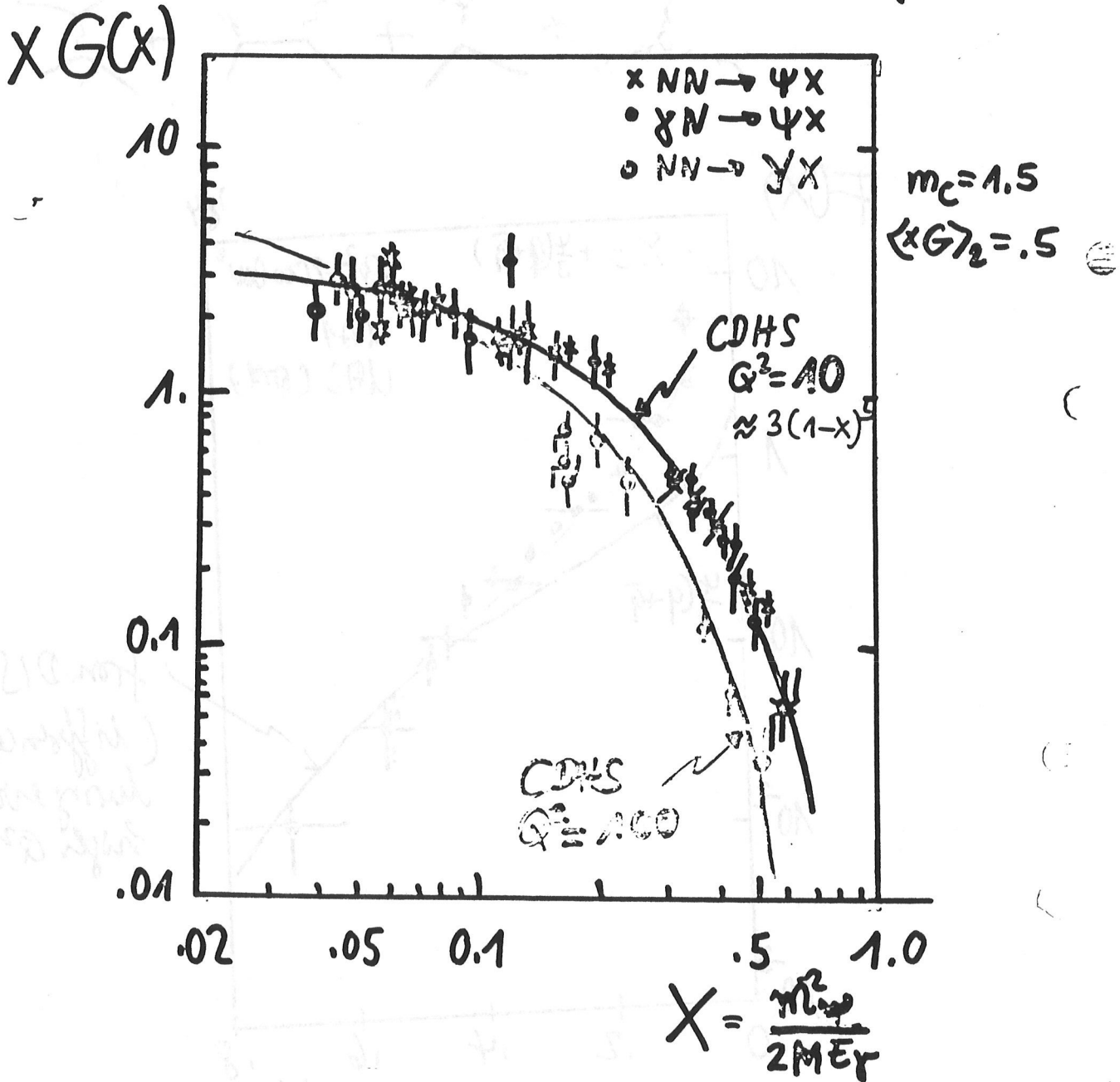
$$R(X) = \frac{\sigma_L}{\sigma_T}$$



③ Hadronic ψ and γ -production 117

$$NN \rightarrow \begin{pmatrix} \psi \\ \gamma \end{pmatrix} + X$$

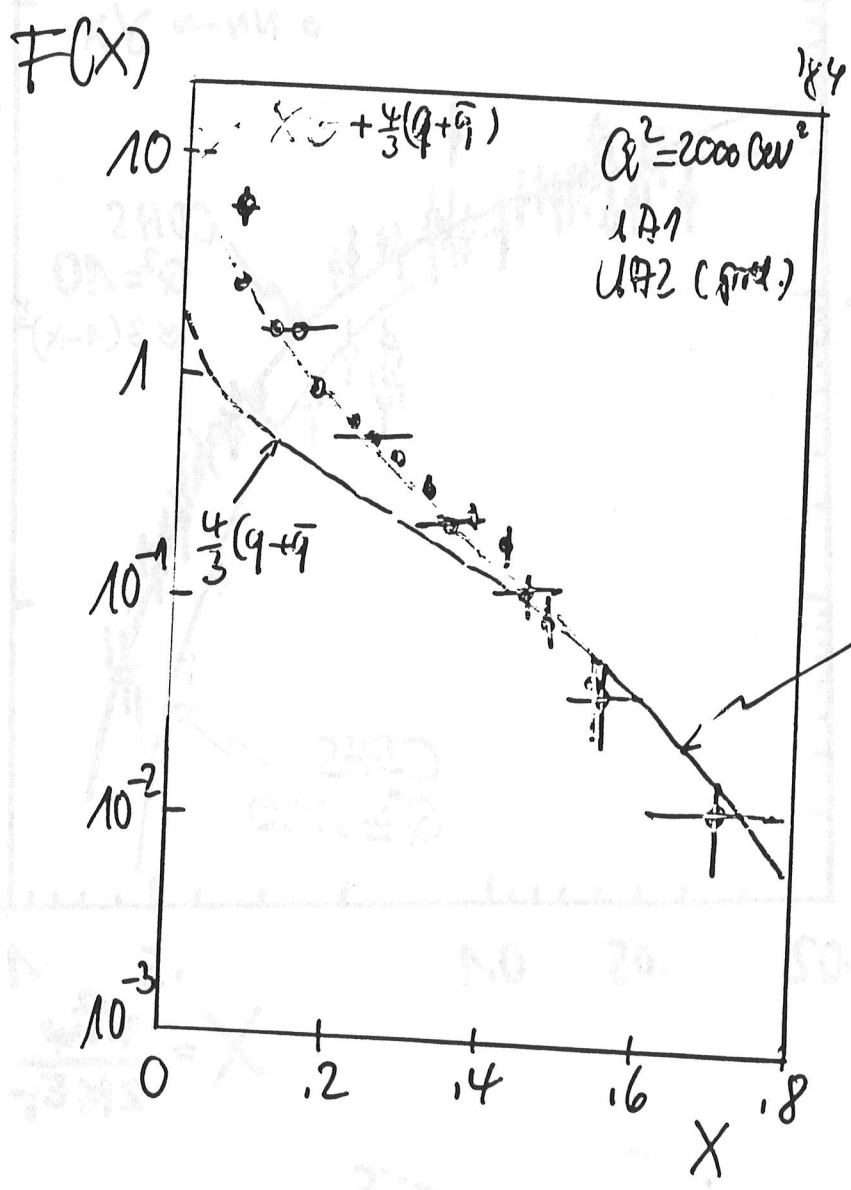
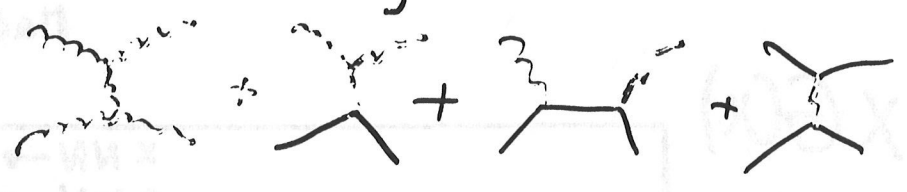
R.J.N. Phillips
Madison conference 80



Photon-gluon fusion (γN and μN -Experiments)
(BFP, EMC)

$\bar{p}p$ colliders: high E_T jet cross-section

$$F(x) = \dots + \frac{4}{9} (q(x) + \bar{q}(x))$$

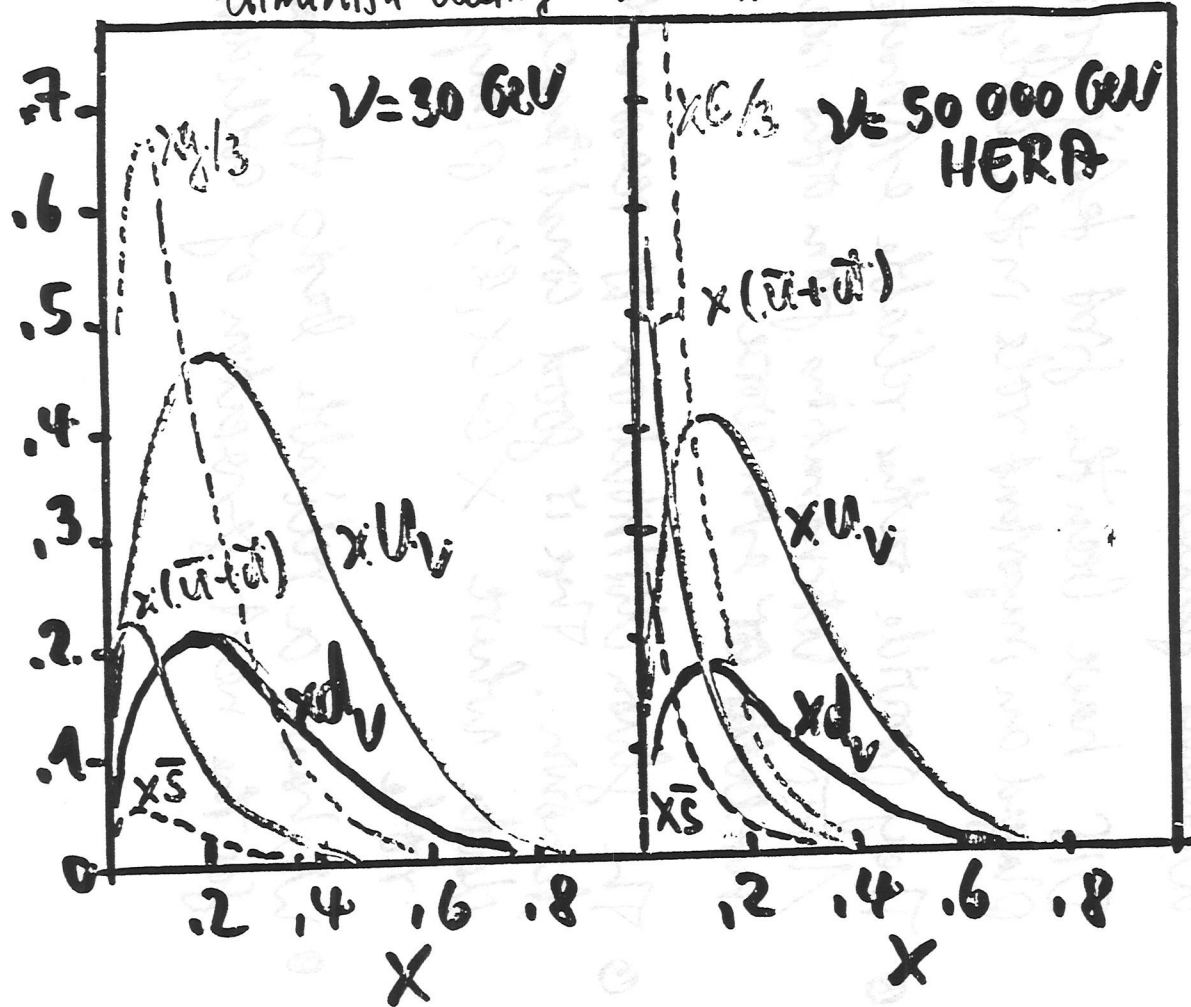


from DIS (CDHS or CHARM)
(differences disappear during evolution to higher Q^2 !)

evolution of parton distributions

QCD gives a basis for the extrapolation to next generation of accelerators!

Predictions are quite safe, since present day uncertainties in shape largely diminish during evolution.



Summary QCD:

Our trust in QCD relies on its ability to describe quantitatively, semiquantitatively and qualitatively a very large variety of processes at large mass scale without failure!

DIS have been the first testing ground and played an important role in the early phase

- ⑥ They provide rather reliable estimates of $\Lambda_{\overline{MS}}$. Determinations from other sources are no better or even worse
- ⑥ The gluon distribution is reasonably well known. There is good consistency of all data where $X \in (X_1, X_2)$ play a dominant role
- ⑥ We have a reliable basis to make predictions for the next generation of colliders

VI Future of lepton-nucleon scattering experiments

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e-p colliders

at least one will be available:

HERA (DESY)
≥ 1990

$E_{CMS} \leq 370 \text{ GeV}$

{ distant future } ^{maybe} proton ring in CERN tunnel: LHC ($E_p \approx 5-10 \text{ TeV}$)
($E_{CMS} \approx 1-2 \text{ TeV}$)

lets concentrate on HERA:

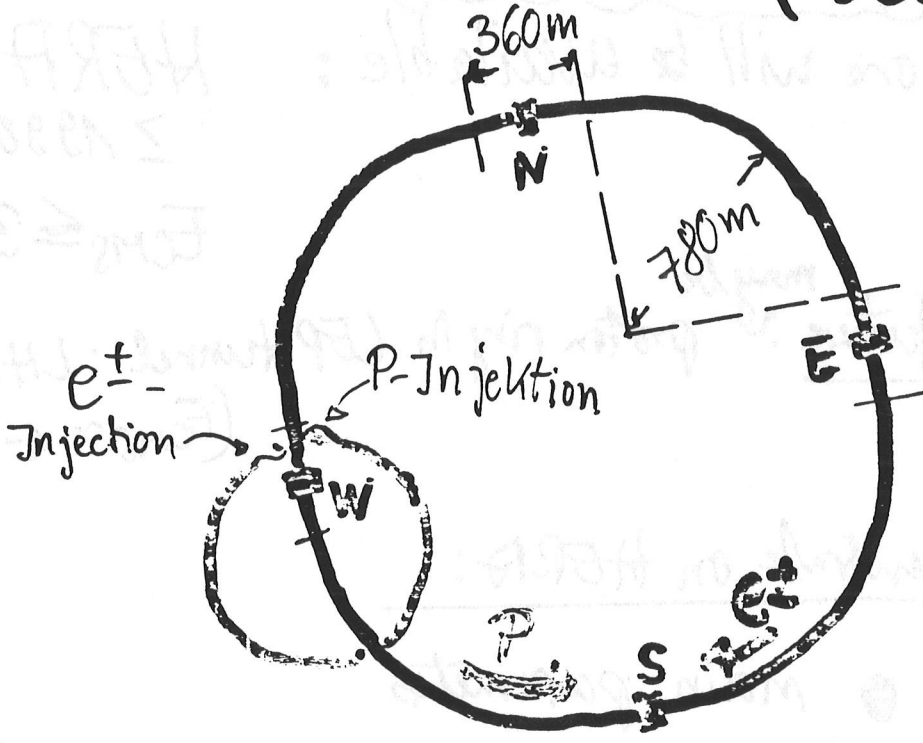
- ① main parameters
- ② physics potential (compared to other colliders)
- ③ status of project
- ④ detector designs

people interested should have a look to
proceeding of Genova meeting 14/18
DESY/HERA 85/01

HERA = e^{\pm} -p collider for the 90's

(DESY, HAMBURG)

+ Substantial contributions from foreign countries

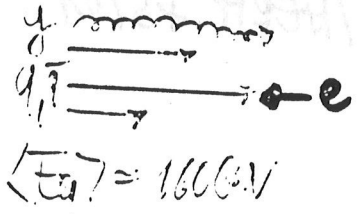


Main parameters: I. Energy

$E_p = 820 \text{ GeV } (\rightarrow 1 \text{ TeV})$ $E_e = 30 \text{ GeV } (\rightarrow 35)$

$E_{CM} = 314 \text{ GeV } (\rightarrow 375)$

at the constituent level: e - q -collider



$E_{CM}^{e q} \leq 200 \text{ GeV}$ (useful range (cross section.....))

II. Luminosity

$\mathcal{L} = 2 \times 10^{31} \text{ cm}^{-2} \text{ s}^{-1}$ $\approx 10^6 \text{ nb}^{-1} \text{ / year}$

III. Polarisation

e_L^{\pm}, e_R^{\pm} with $P \leq 80\%$

IV. Time scale

3 funded + started \rightarrow start of physics program A.D. 90

Physics (basics)

Ⓘ HERA is a "weak interaction" machine
 (in the interesting kinematic range) $Q^2 \approx m_{W/Z}^2$
 (unique) \rightarrow $e p \rightarrow \nu X$ CC } comparable rate at
 $e p \rightarrow e X$ NC } high Q^2 (lax)

~ 150 NC events/day with $Q^2 \rightarrow 1000 \text{ GeV}^2/c^2$

Hera continues ν (and μ) program at high energies

Ⓜ Kinematic range

Compared to present) $1/Q \sim * \frac{1}{15} \leftarrow$ substructure
 DIS exp) $W \sim * 15 \leftarrow$ new particles

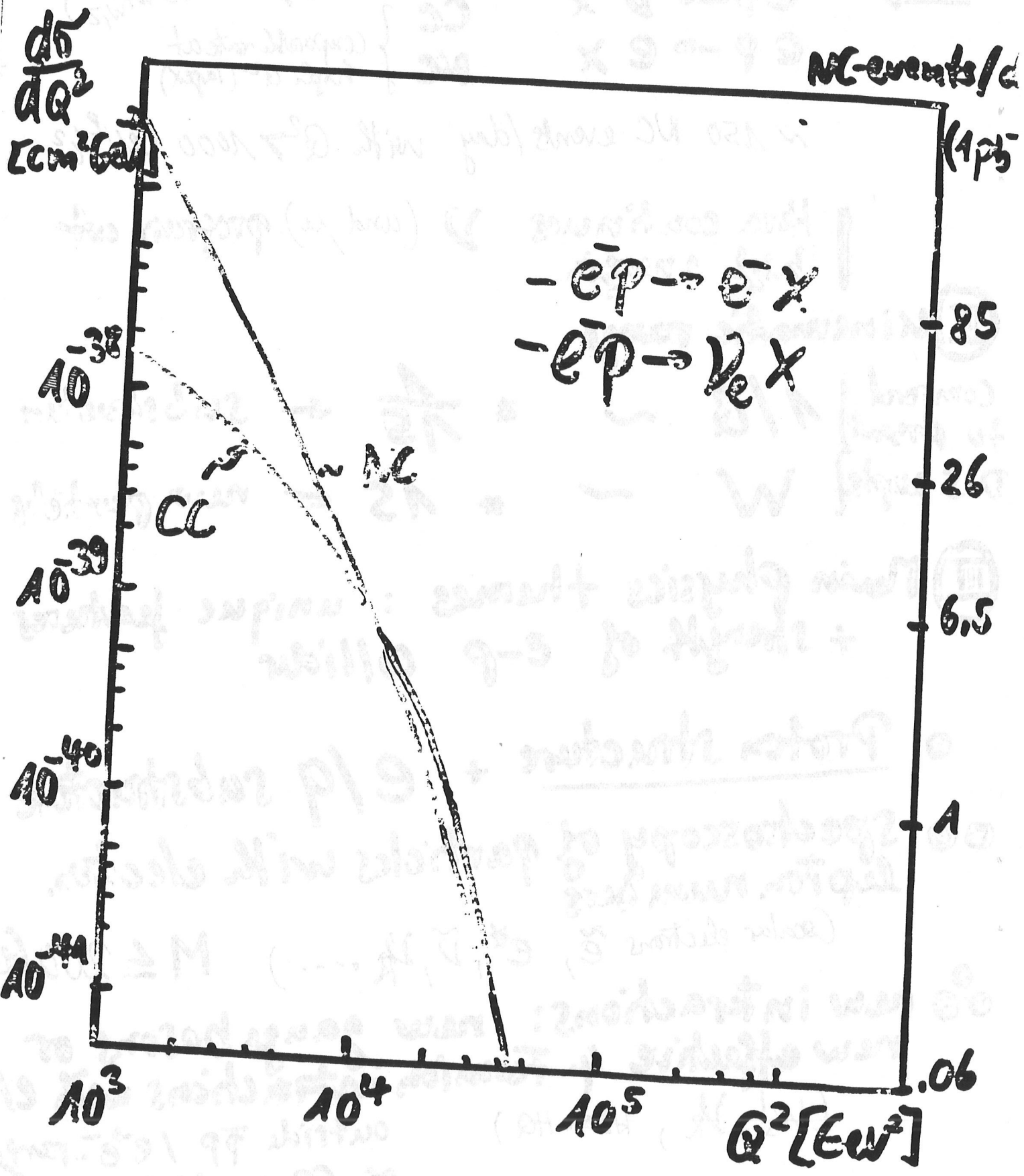
Ⓝ Main physics themes : unique features + strength of e-p collider

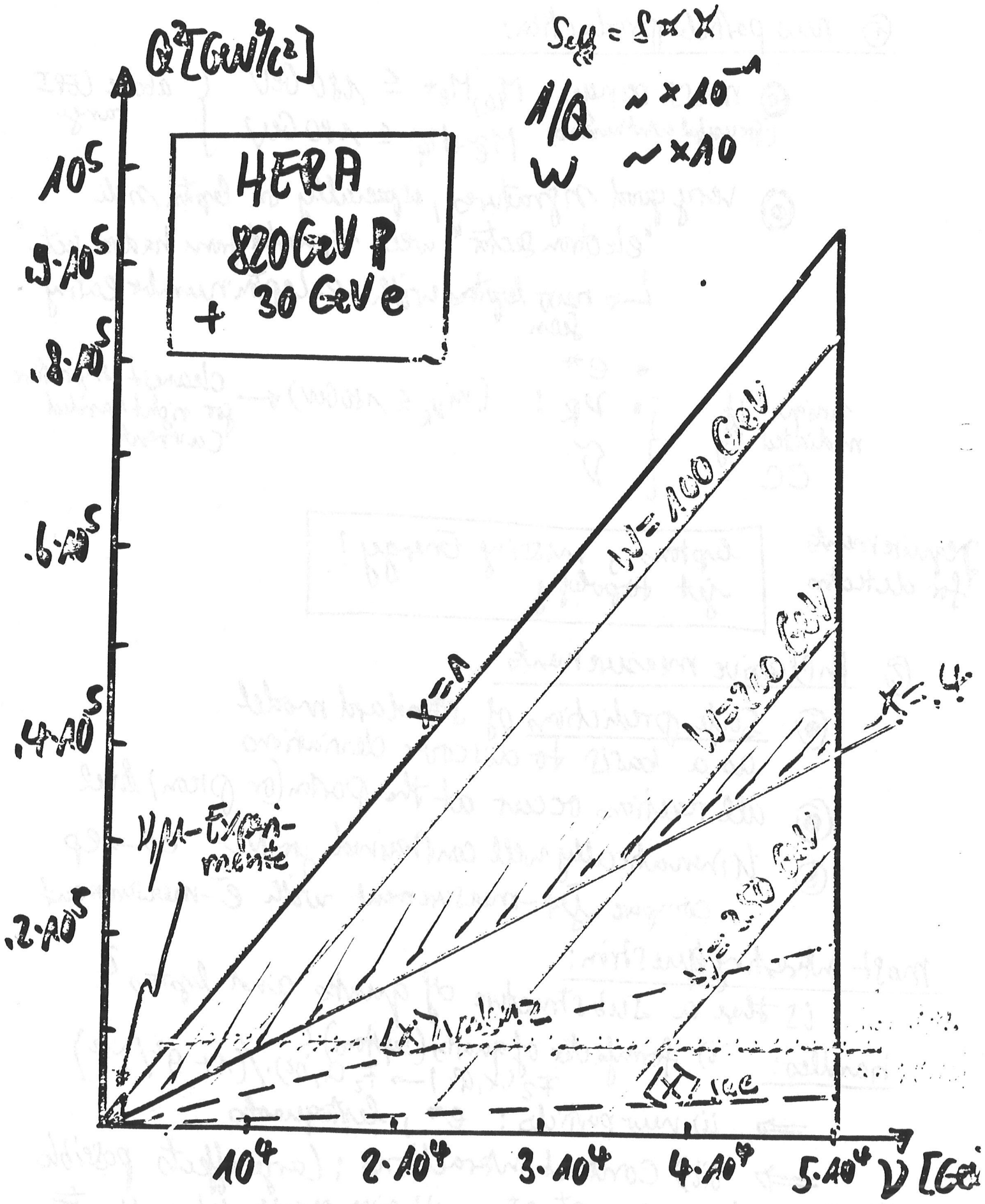
- Proton structure + e/q substructure
- Spectroscopy of particles with electron.
 lepton numbers
 (scalar electrons $\tilde{e}, e^*, \tilde{\nu}, \tilde{\nu}_R, \dots$) $M \leq 200 \text{ GeV}$
- new interactions: new gauge bosons or new effective 4-Fermion interactions with e/h
 ($W'_S, \tilde{\nu}_R, H \leftrightarrow H^c$) outside pp / e^+e^- range or CC-mediated
- + •••••

HERA is weak interaction machine

(in the interesting kinematic range)

→ extension of νN
→ and μN scattering at low energies





① new particle production:

⊙ mass range $M_{\mu}, M_{e^*} \leq 180 \text{ GeV}$ } above LEP I range
 (for useful event numbers) $M_{\bar{e}} + M_q \leq 180 \text{ GeV}$

⊙ very good signatures, especially on lepton side
 "electron sector" well separated from hadron sector
 ↳ new leptons with e-lepton number easily seen

unique if mediated by CC }
 • e^*
 • ν_R : ($m_{\nu_R} \leq 180 \text{ GeV}$) ← cleanest signature for right handed currents

requirements for detectors

leptons, missing energy!
jet topology

② inclusive measurements

- ⊙ Safe predictions of standard model as a basis to discover deviations
- ⊙ all reactions occur at the parton (or proton) level
- ⊙ kinematically well constrained for NC $ep \rightarrow ep$
compare jet-measurement with e^- -measurement

most interesting question:

is there a substructure of quarks and leptons?

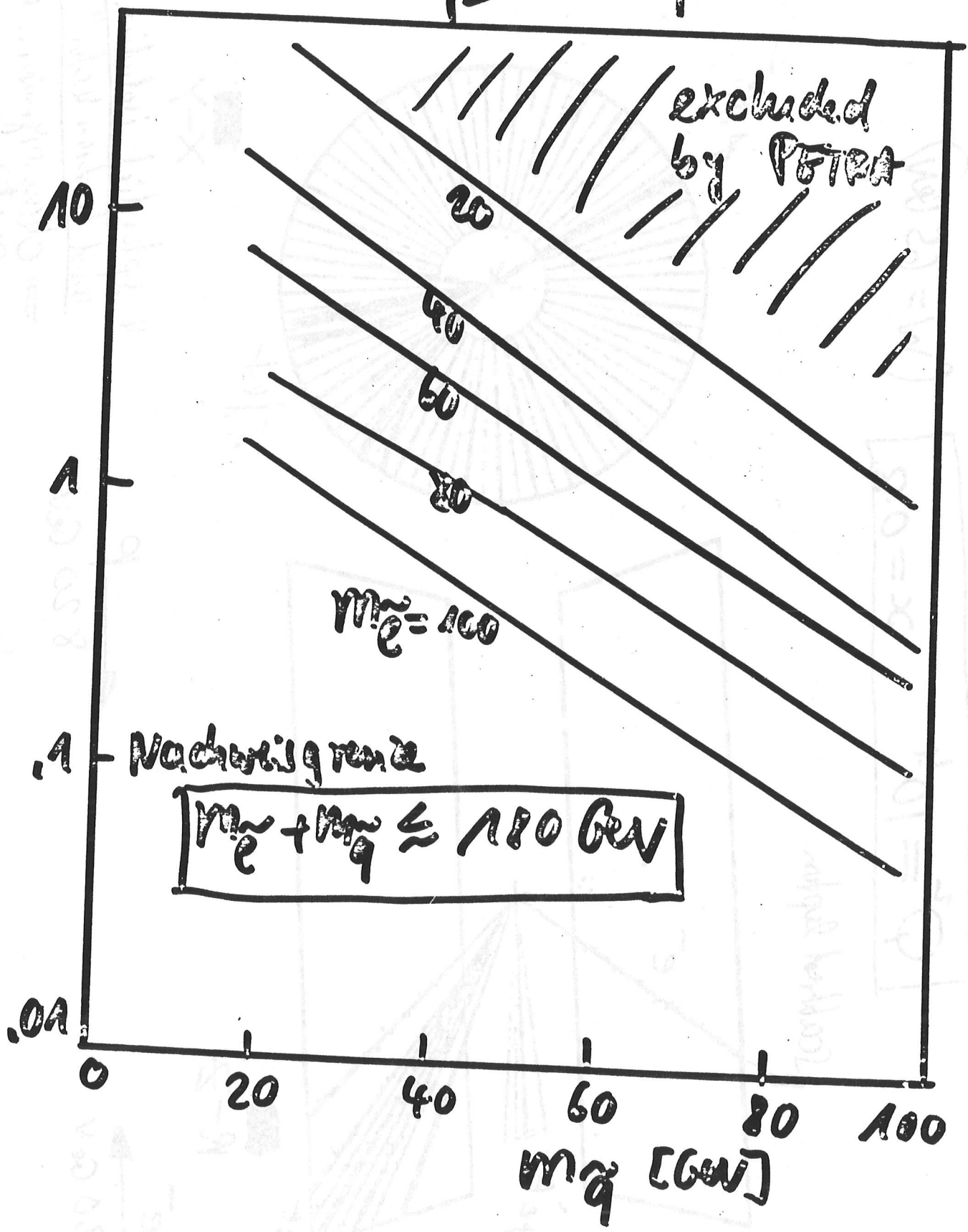
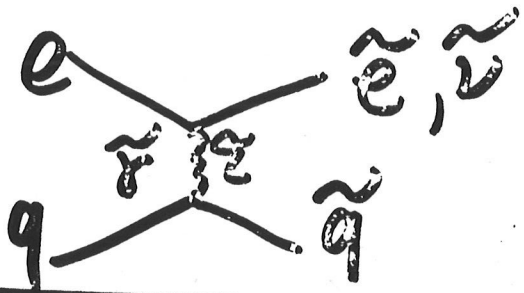
handles: i) formfactor of quarks (leptons)?
 $F_2(x, Q^2) \rightarrow F_2(x, Q^2) / (1 + Q^2/M^2)$

⇒ ii) new particles: e^* , leptoquarks

⇒ -iii) contact interactions: large effects possible

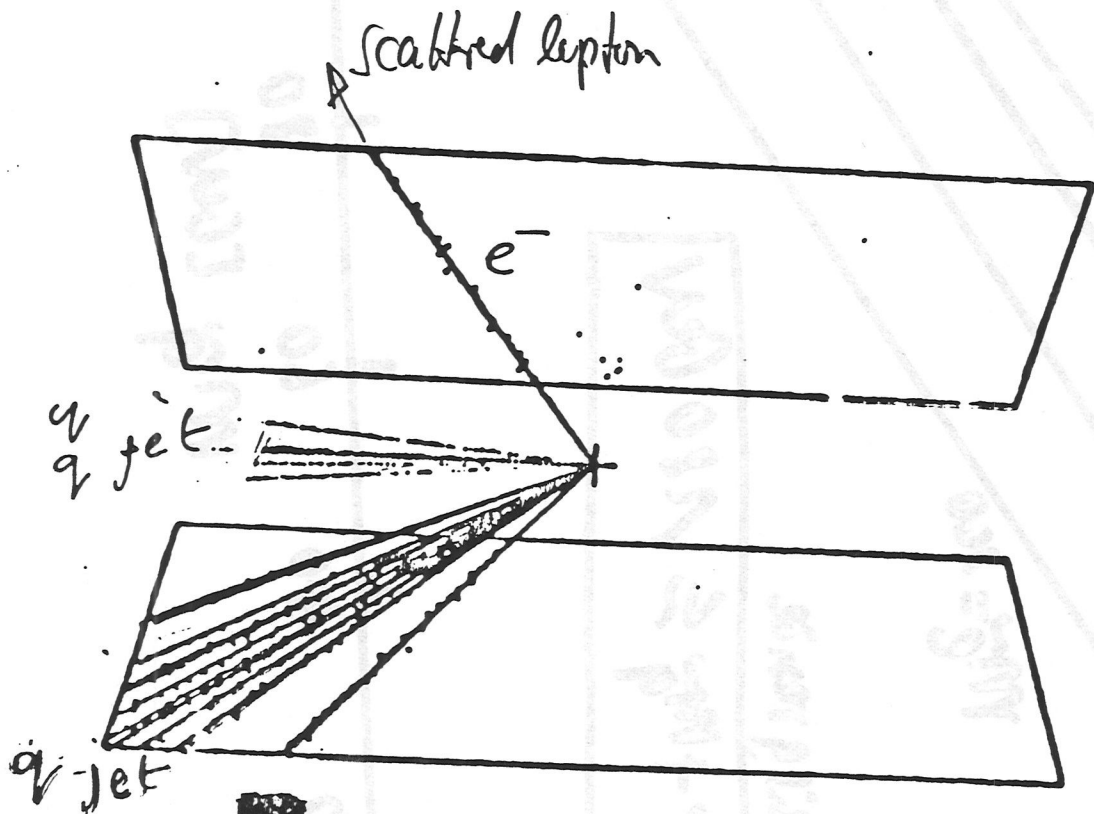
||| ⊙ Polarization e_R^\pm, e_L^\pm will give excellent handle to study and confirm new effects

Ergebnisse Tag



$$Q^2 = 10^4 \quad x = 0.3$$

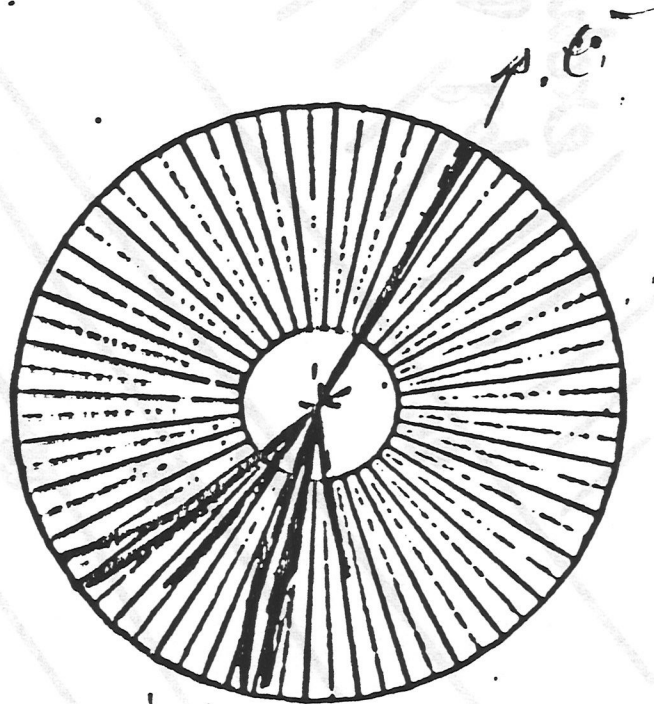
(W = 65 GeV)



R-Z

e^-
30 GeV

p
820 GeV



q-jet

X-Y

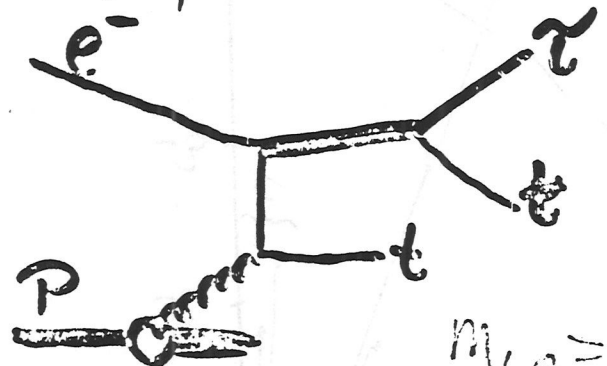
"splendid isolation of leptons and hadron jets"

⇒ clean signatures, esp. on leptonic sector

⇒ kinematically well constrained

Example:

'Leptoquark' - production



$M_{LQ} = 150 \text{ GeV}$

illustrates

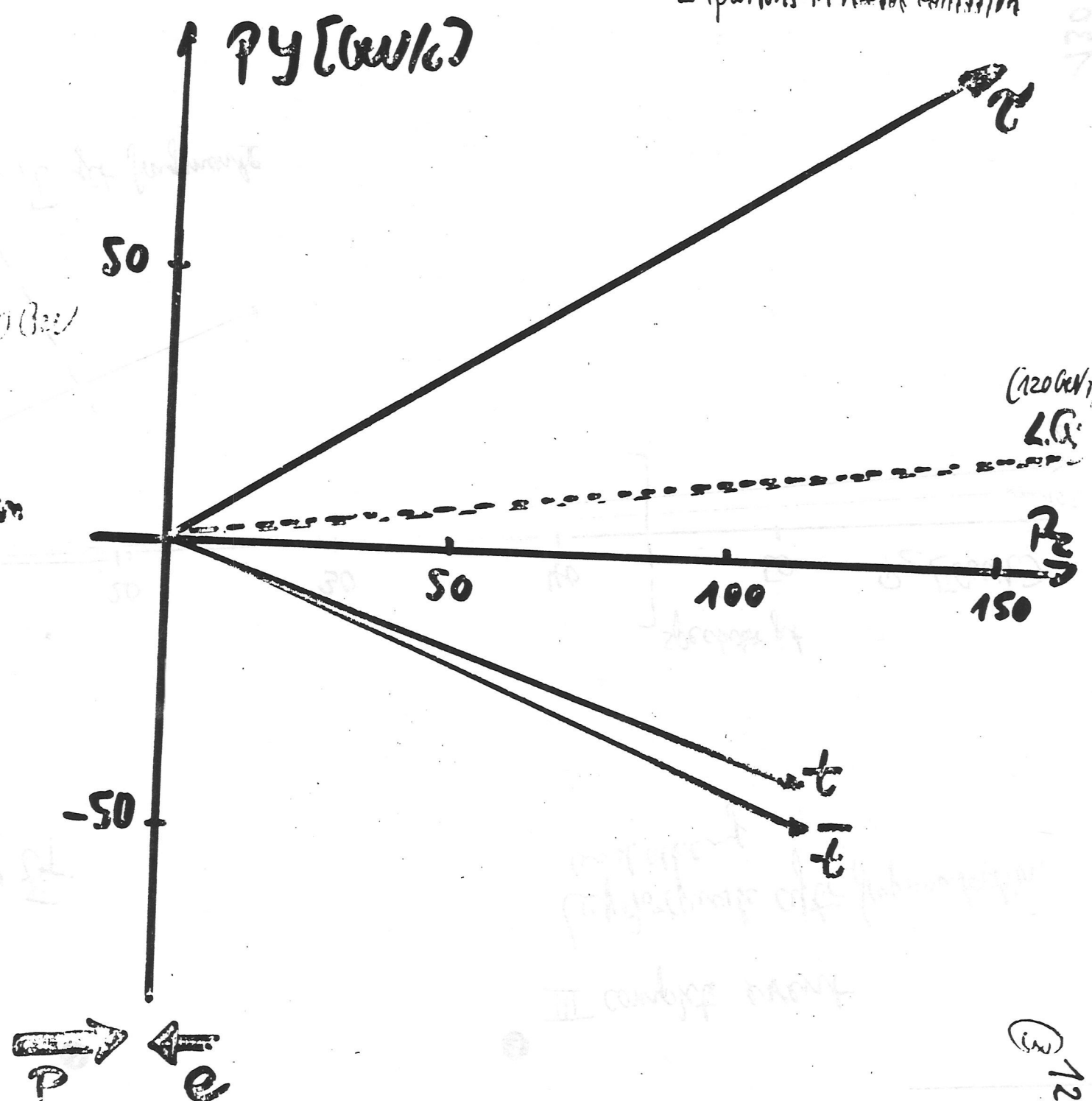
• excellent 'lepton' signature (e-side)

• importance of P_T -balance measurements

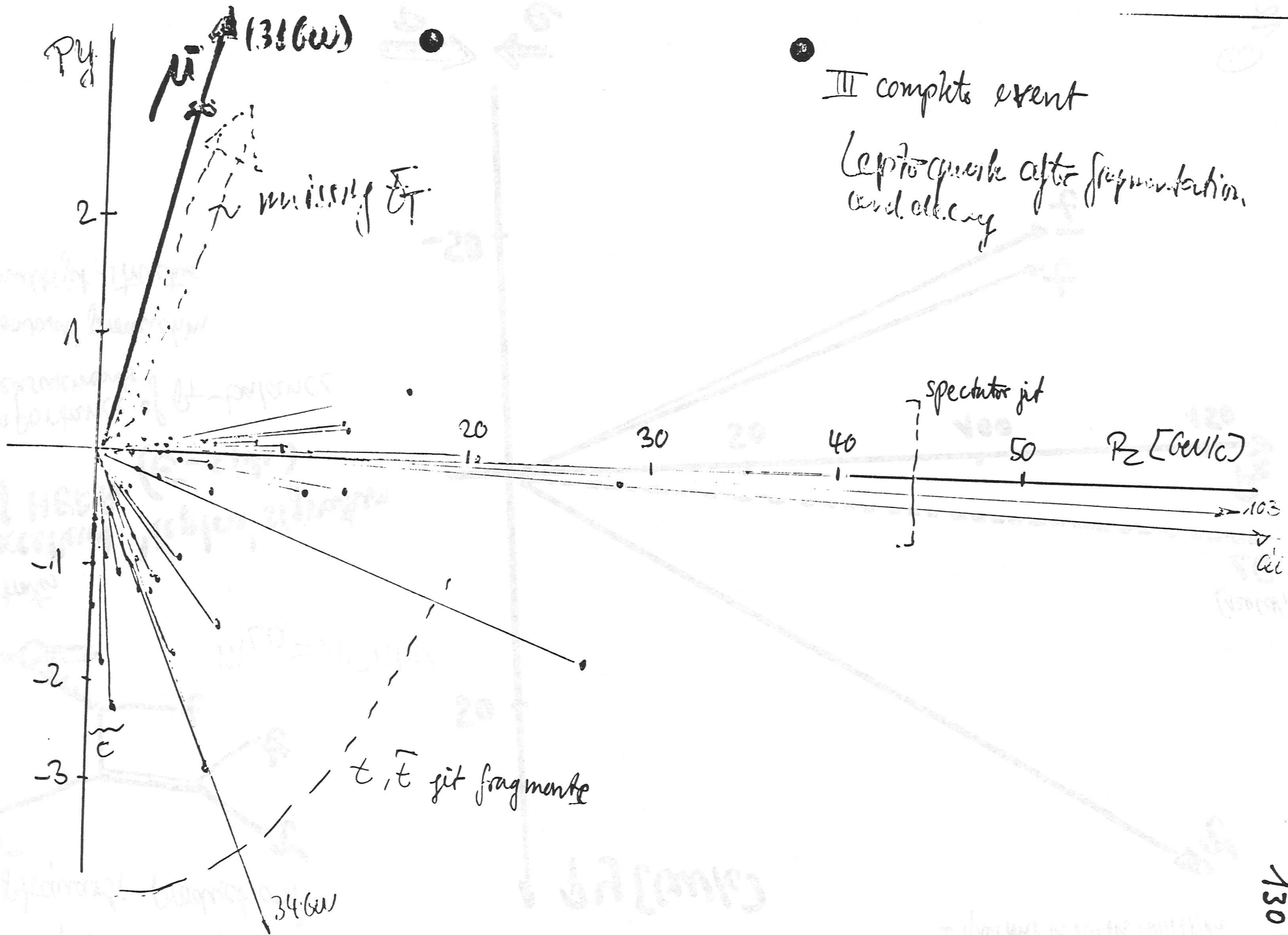
• "forward" production

• multijet-structure

I (partons in hard collision)

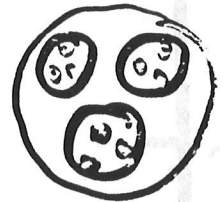
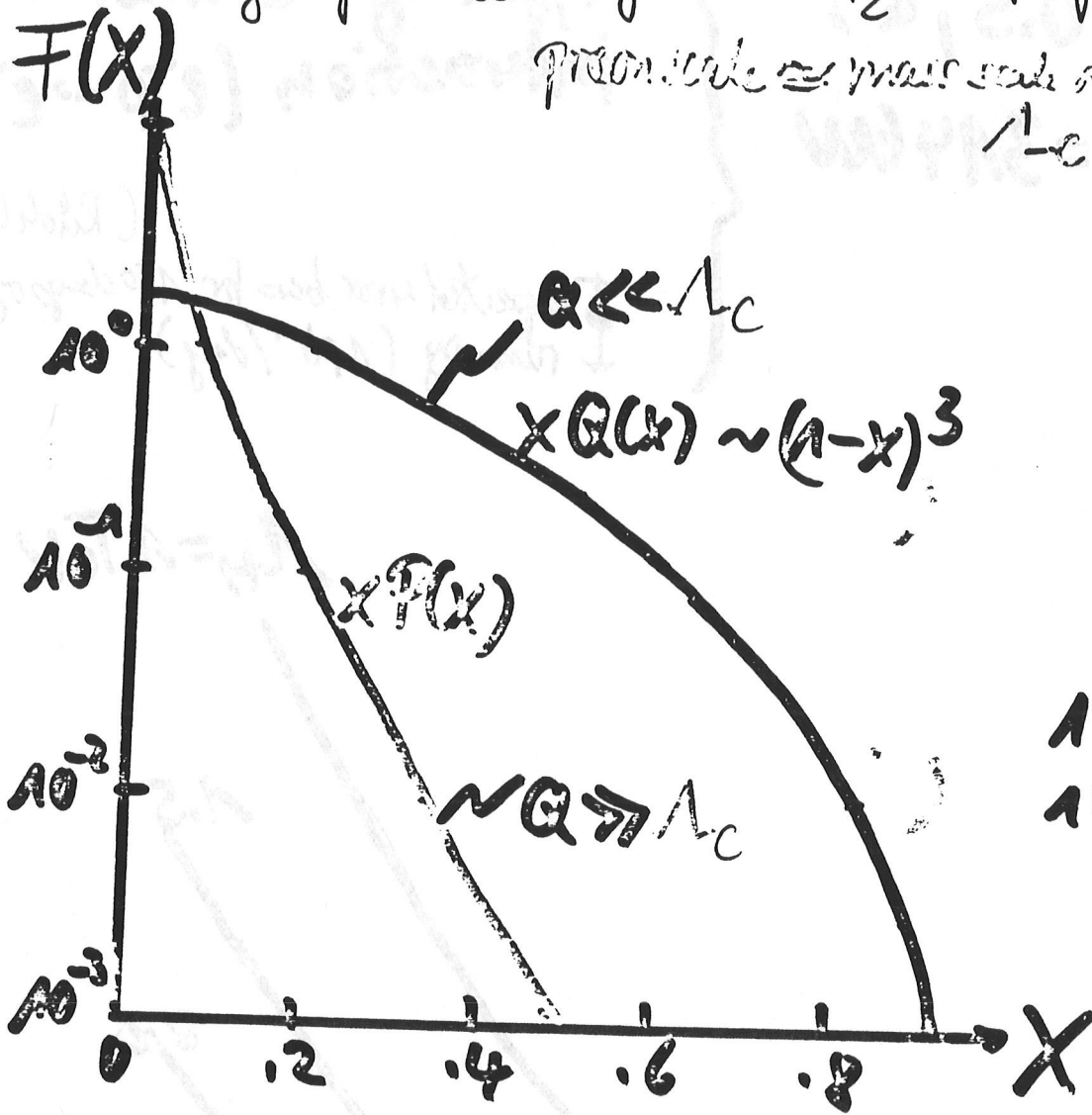


(120 GeV)
LQ

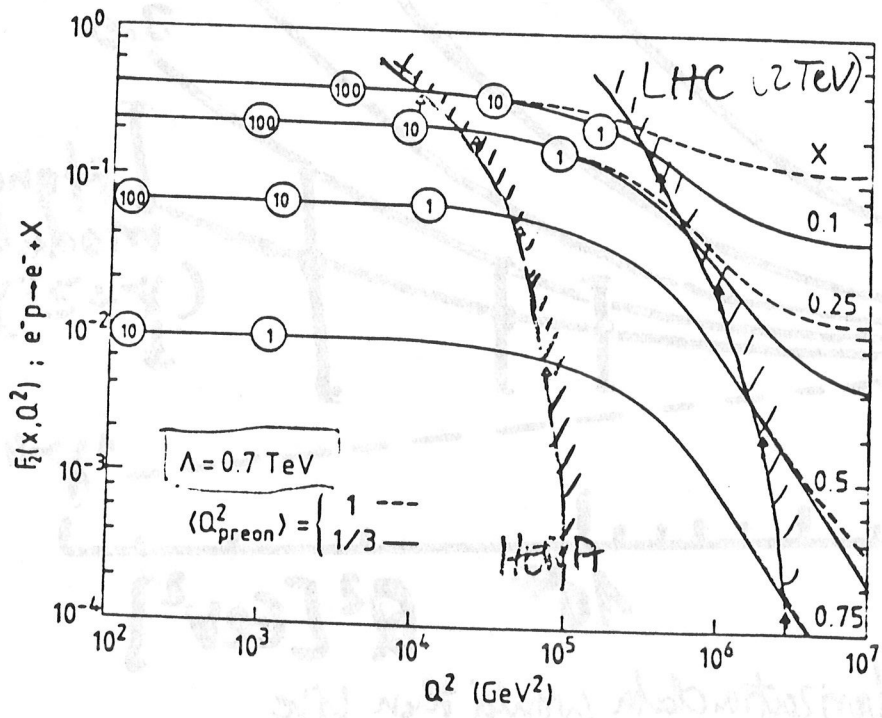


Change of structure function F_2 at preon scale

preon scale \approx mass scale of mass string into $1/c$ \rightarrow TeV



1 Proton = 3 Quarks
1 Quark = 3 Preons



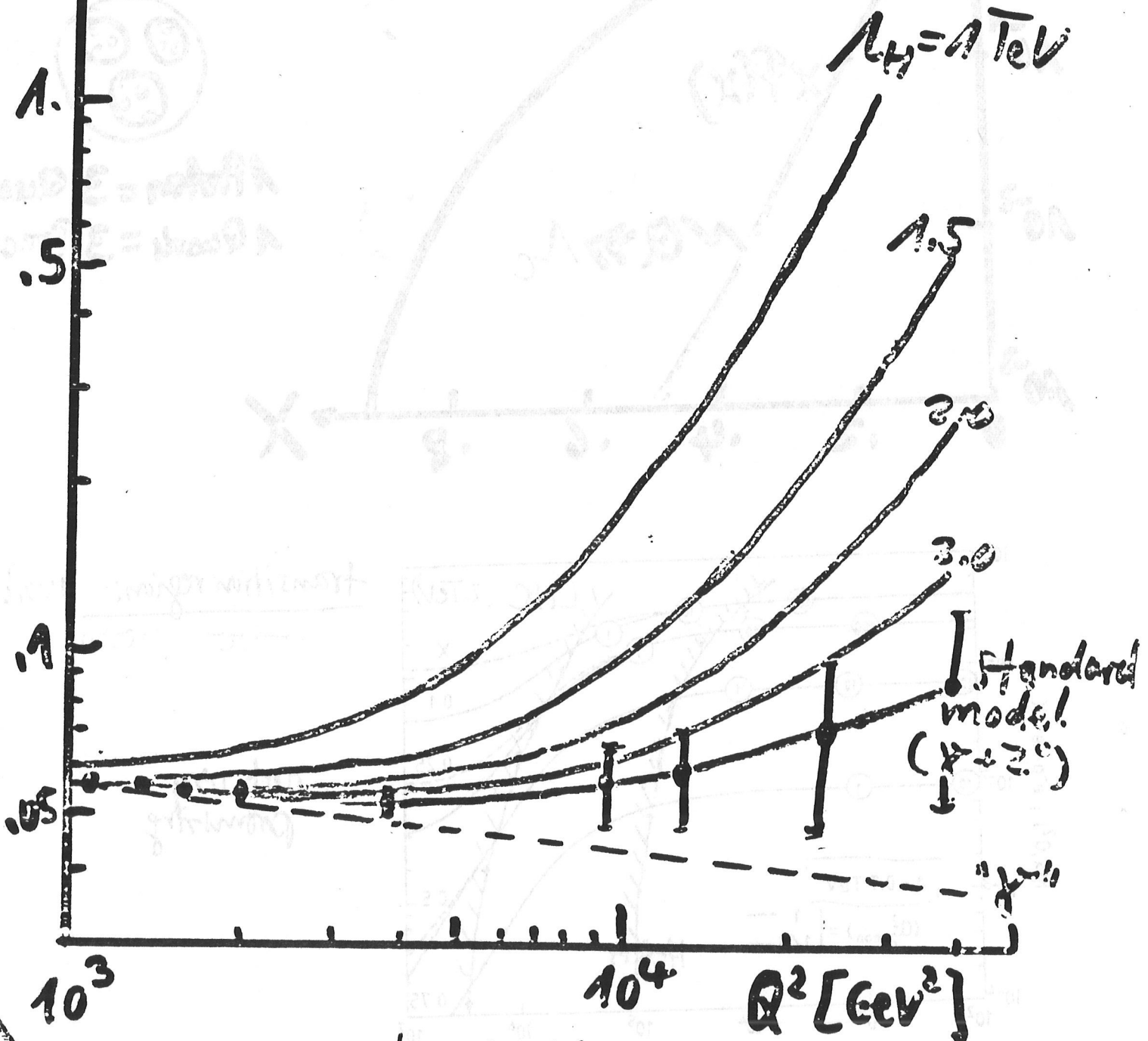
transition region: 10^5 to 10^7 GeV²
 \rightarrow to preon

not very promising

$F_2^{VC}(x=0.5, Q^2)$
 $E_{cm} = 314 \text{ GeV}$

effect of "contact" interaction ($e^+p \rightarrow e^+X$)

(Rückel)
 expected error bars for 150 days of running ($1 \text{ pb}^{-1}/\text{day}$)



note: Polarization data would then give handles to interpret the effect

requirements for
detector:

good e^- identification + measurement: NC
excellent hadron flow measurement

$$x = (\sum P_x^i + \sum P_y^i) / \eta_f \quad \left. \begin{array}{l} \text{sum over all} \\ \text{visible hadron} \end{array} \right\}$$

$$y = \sum (E^i - P_z^i) / 2 E_e$$

excellent absolute calibration

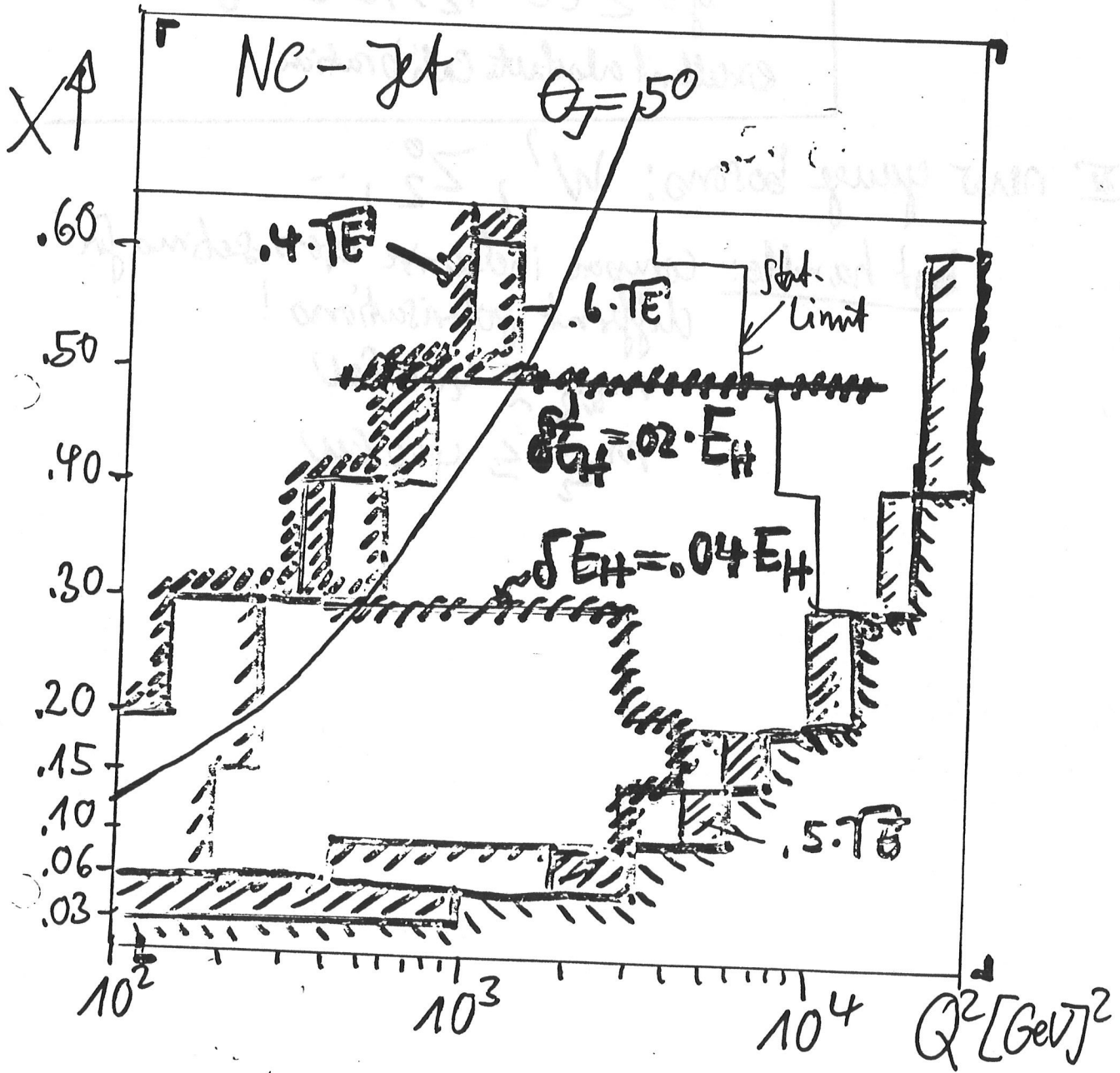
II new gauge bosons: W' , Z_2^0, \dots

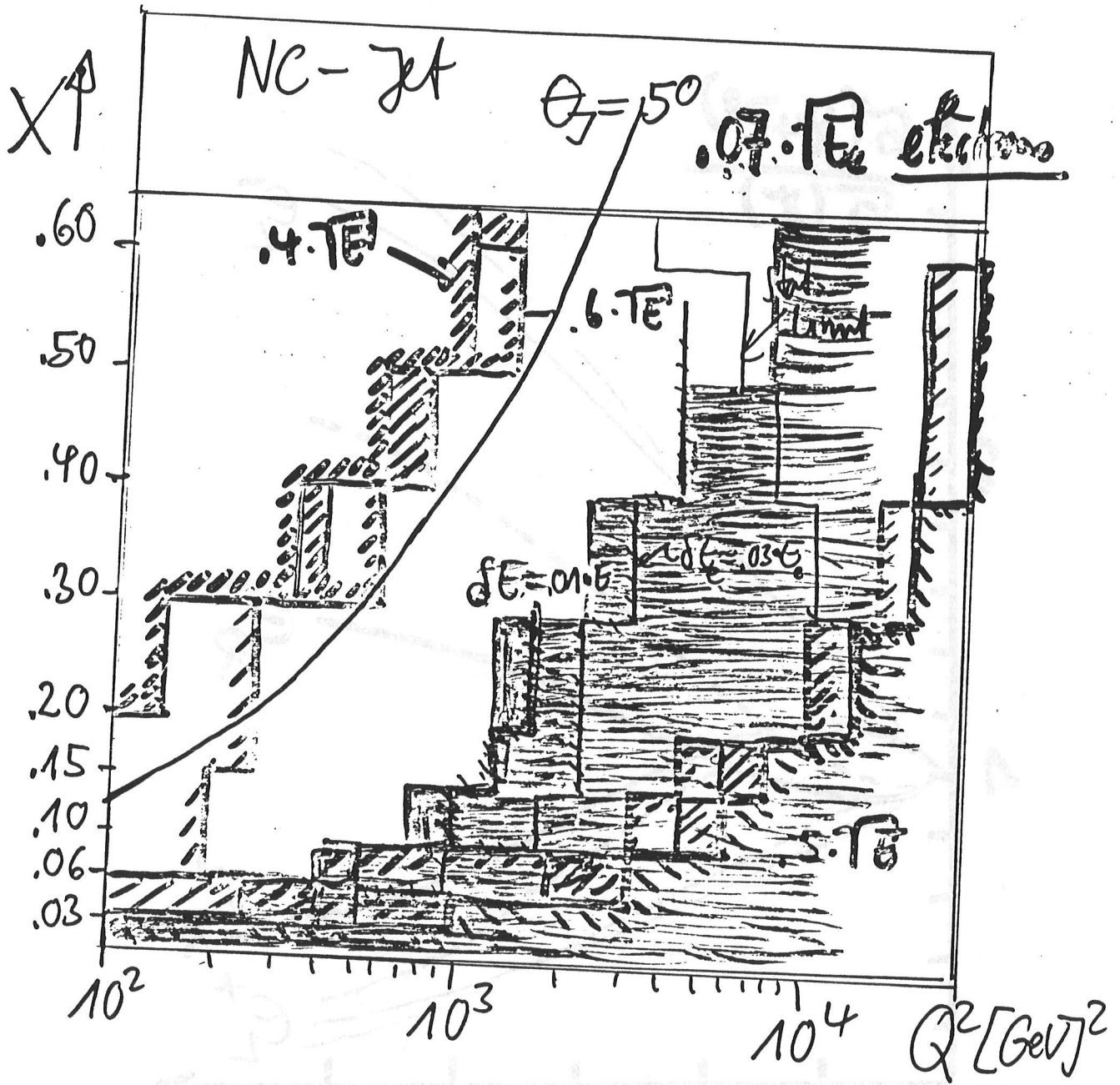
best handle: compare inclusive cross-sections for
different polarisations!

$$m_{W'} \lesssim 400 \text{ GeV}$$

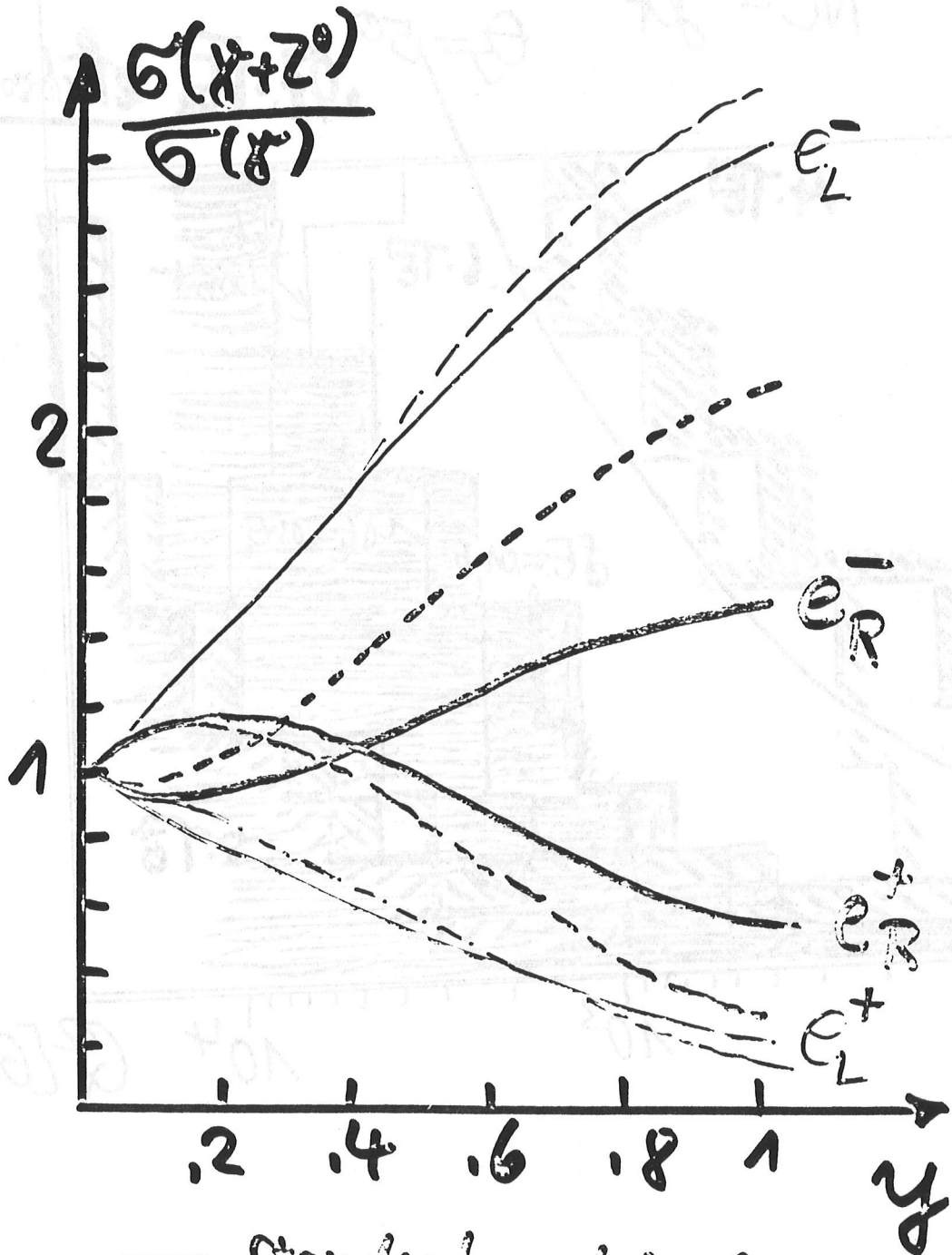
$$m_{Z_2^0} \lesssim 400 \text{ GeV}$$

$$e p \rightarrow e X$$





Struktur neutraler Ströme ($x=.25$)



— Standardmodell ($m_{Z^0} = 224$)

--- $2 Z^0$ mit $m_{Z^0} = 224$ GeV
 ($SU(2)_L \times SU(3)_C \times U(1)$)

Status of project (few comments)

I machine : project has started with a very impressive speed

o construction has started last year

- halls, tunnel = 1/8 done

o e⁺-ring : "standard", many components ordered
- ready : 3/88

o p-ring : - superconducting magnets

BM: 6m { - warm } iron prototypes successfully tested
 { - cold }

easy? skip : => new "hybrid" 9m magnets
 prototype tests Summer 85

- large components ordered

(cryogenic, superconducting cables, iron, ...)

o interaction regions : not finalized

Uim: ready for physics end of 1989!

II detector : call for letter of intent, Genova 10/84

≤ 3 in first phase

2 new collaborations:
(new detector)

H1

(JADE, PLUTO, CEUS, EMC, ...
USA, I, F, P, GB)

ZEUS

(TASSO, + ...) GB, CN, W, F, I, P, ...

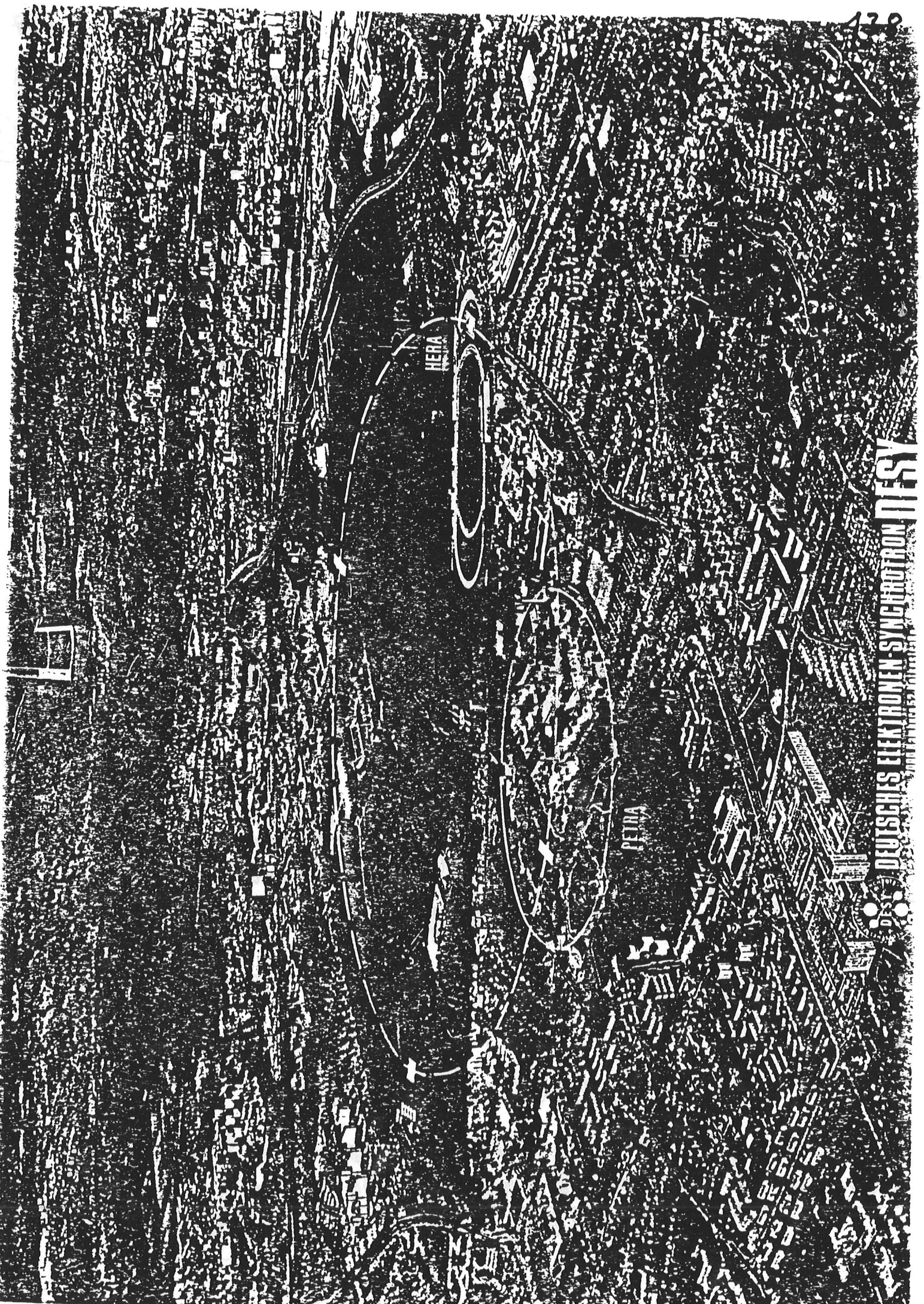
+ ev: use of upgraded UFA1-detector

milestones for experiments

{ Letter of intent
 technical proposal
 decision

June 85
March 86
mid 86

Start: 1/90



HERA

PETRA

DESY DEUTSCHES ELEKTRONEN-SYNCHROTRON DESY

Detector design :

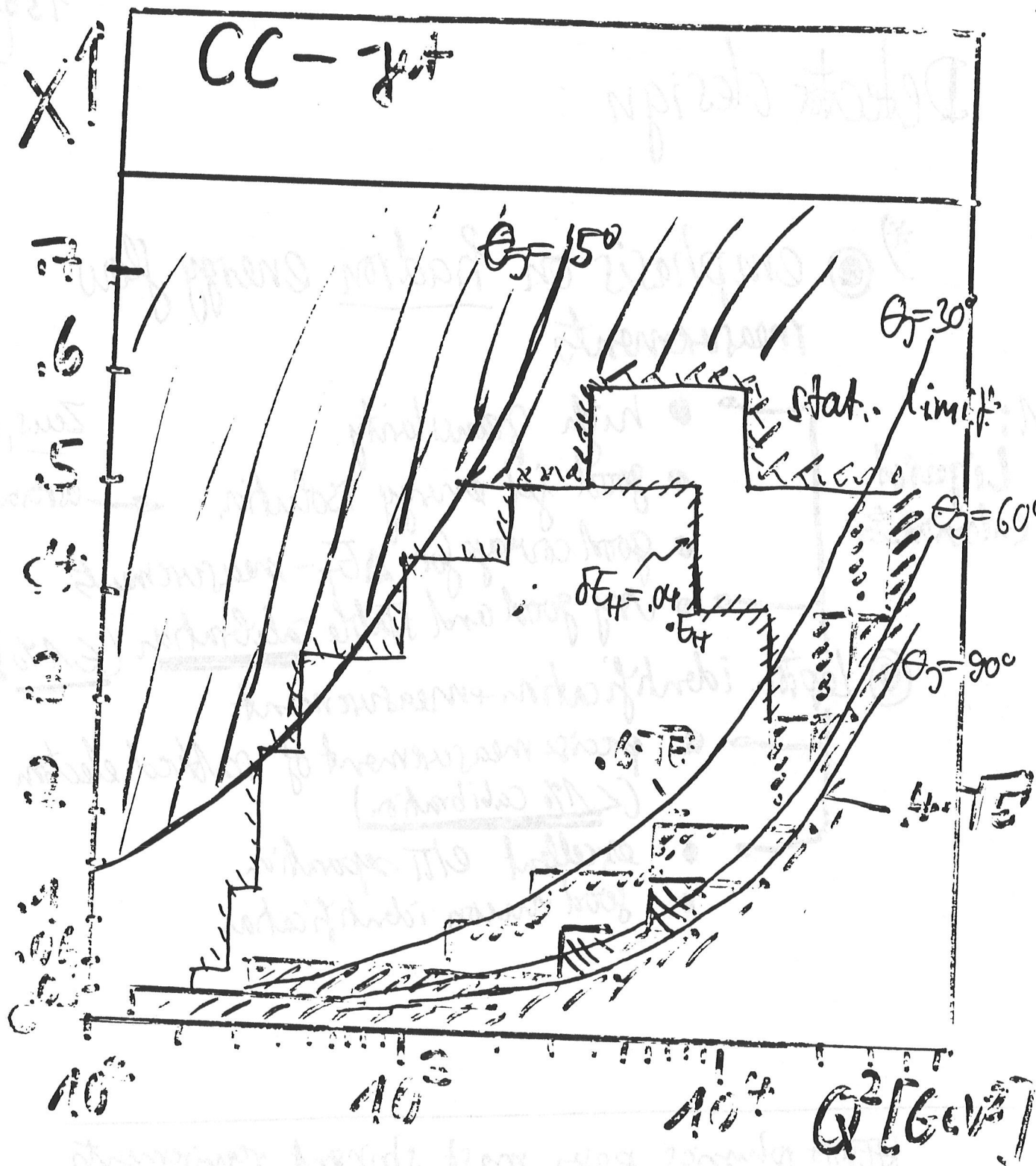
*) **emphasis on hadron energy flow measurements**

#1: Liquid Calorimeter

- high granularity
 - good jet energy resolution
 - good coverage for ΔE_T -measurements
 - very good and stable calibration ($\leq 1\%$!)
- Zeus, #1 ← ceramic
- precise measurement of scattered electron ($< 1\%$ calibration)
 - excellent e/π separation
 - good muon identification

HERA physics poses most stringent requirements on hadron calorimeters :

- energy resolution
- angular resolution (fine granularity)
- absolute calibration to 1-2% level



$e\bar{p} \rightarrow \nu X$
 relies entirely on ν measurement

H1 - Collaboration

main emphasis on:

$\frac{\Delta E_e}{E_e} \leq \frac{10\%}{\sqrt{E_e}}$ } lepton identification (e, μ)
 very fine grained } missing E_T - measurements (ν, \tilde{F})
 cal. resp. calorimeters } NC-inclusive measurements

less ambitious on CC inclusive measurements:

Pb/Cu - LA - calorimeter: $\frac{\Delta E_H}{E_H} \approx 1.5/\sqrt{E_H}$

technique: liquid argon calorimeter:

- stable calibration
- radiation proof
- high granularity

ZEUS - Collaboration:

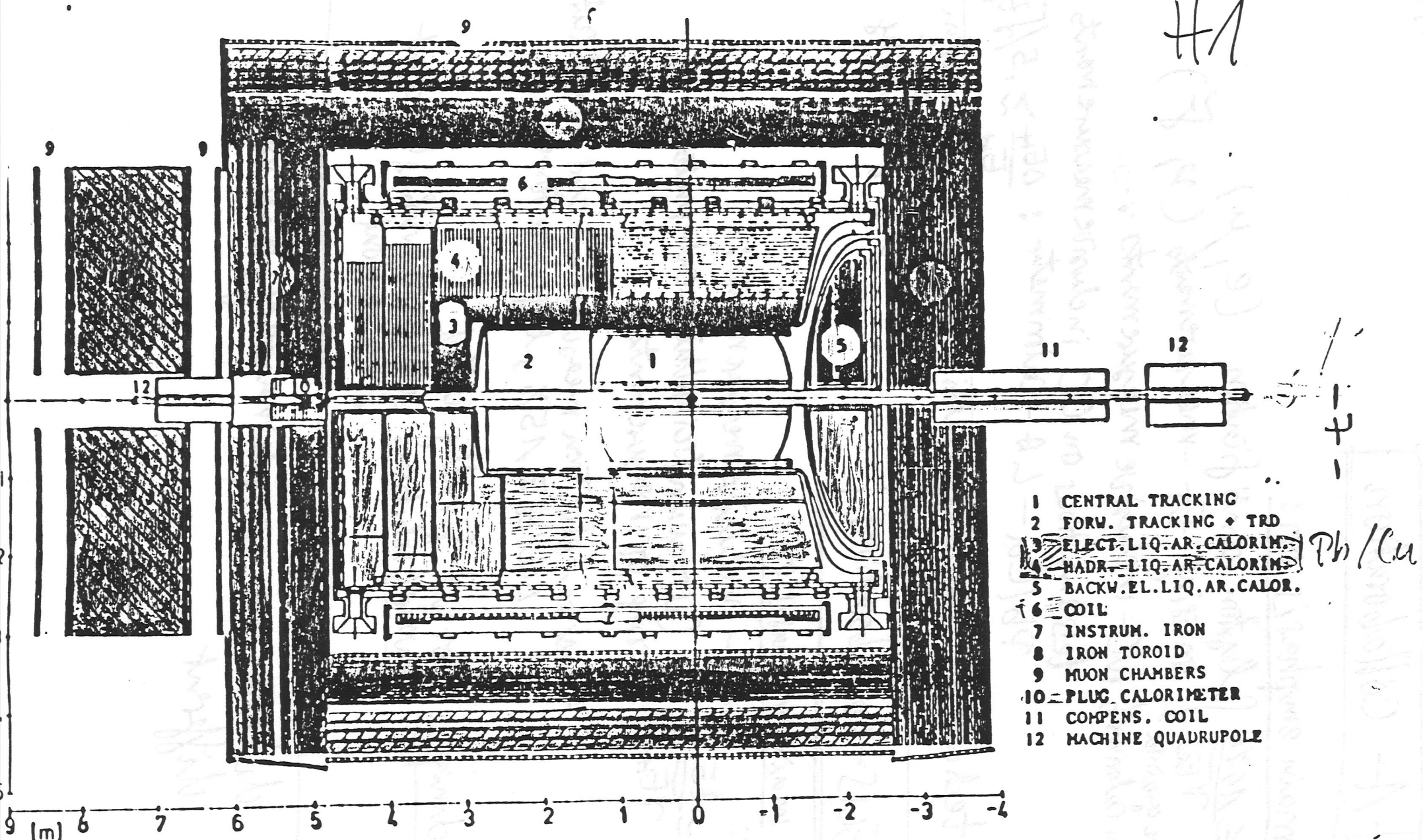
main emphasis on:

aim at: } CC - Inclusive measurements:
 $\frac{\Delta E_H}{E_H} \approx \frac{35}{\sqrt{E_H}}$ } (Uranium / scintillator - calorimeter)
 } missing E_T measurements

relax on electron measurement and identification:
 $\Delta E_e/E_e \approx 15\%$, larger size towers

technique: not yet finally settled.
favored solution: scintillator with fluorescent fibre readout.

detectors are complementary; and rather different



- 1 CENTRAL TRACKING
- 2 FORW. TRACKING + TRD
- 3 ELECT. LIQ. AR. CALORIM.
- 4 HADR. LIQ. AR. CALORIM.
- 5 BACKW. EL. LIQ. AR. CALOR.
- 6 COIL
- 7 INSTRUM. IRON
- 8 IRON TOROID
- 9 MUON CHAMBERS
- 10 PLUG CALORIMETER
- 11 COMPENS. COIL
- 12 MACHINE QUADRUPOLE

Ph/Cu

Fig. 2.1 Layout of the H1 detector . Vertical cut along the beam

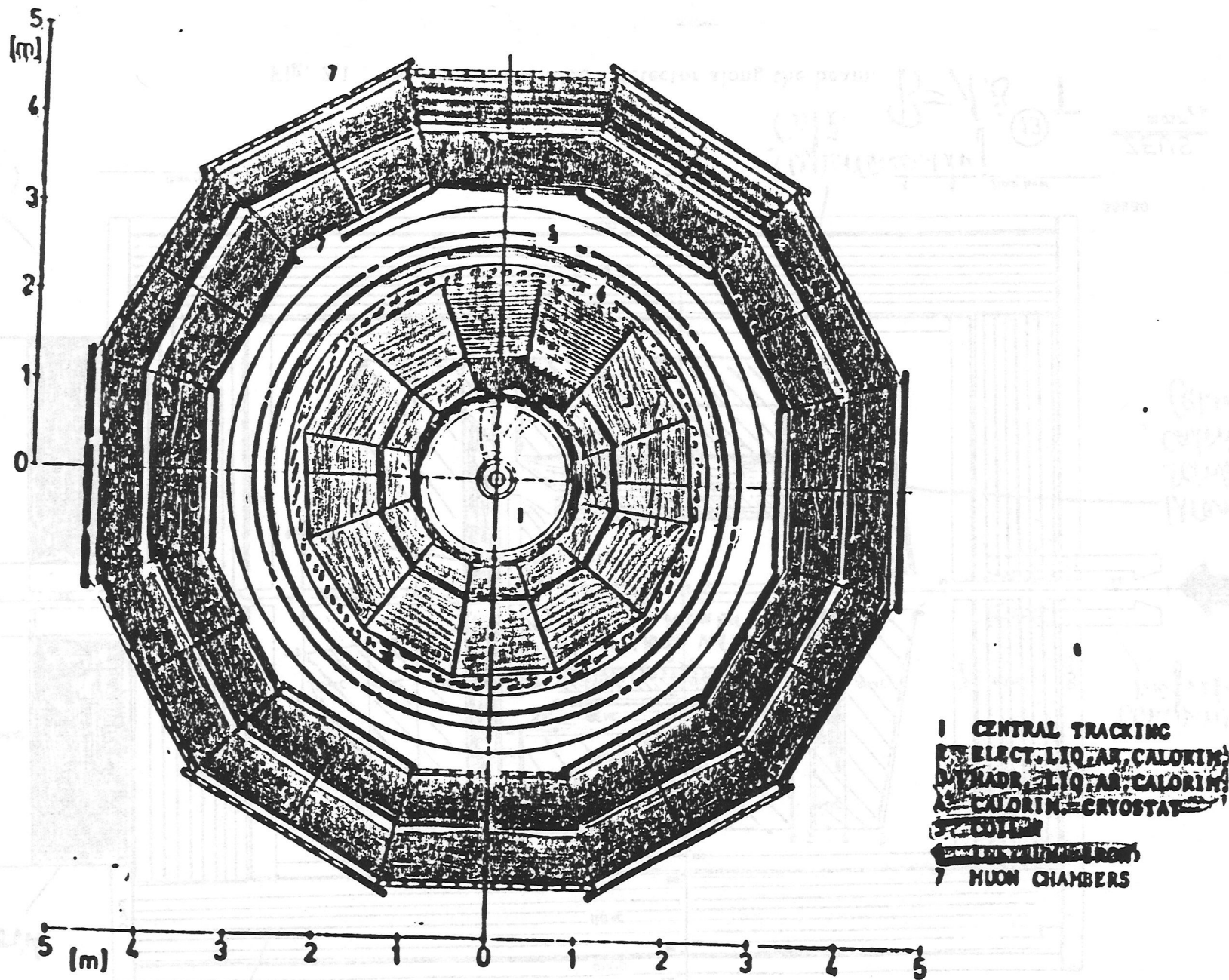


Fig. 2.2 Layout of the H1 detector. Vertical cut transverse to the beam

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muon analyzer
+ tail catcher

iron (toroidally magnetized)
+ instrumented with streamer tubes

1.1 m dia

ZEUS

muon toroid

e^-

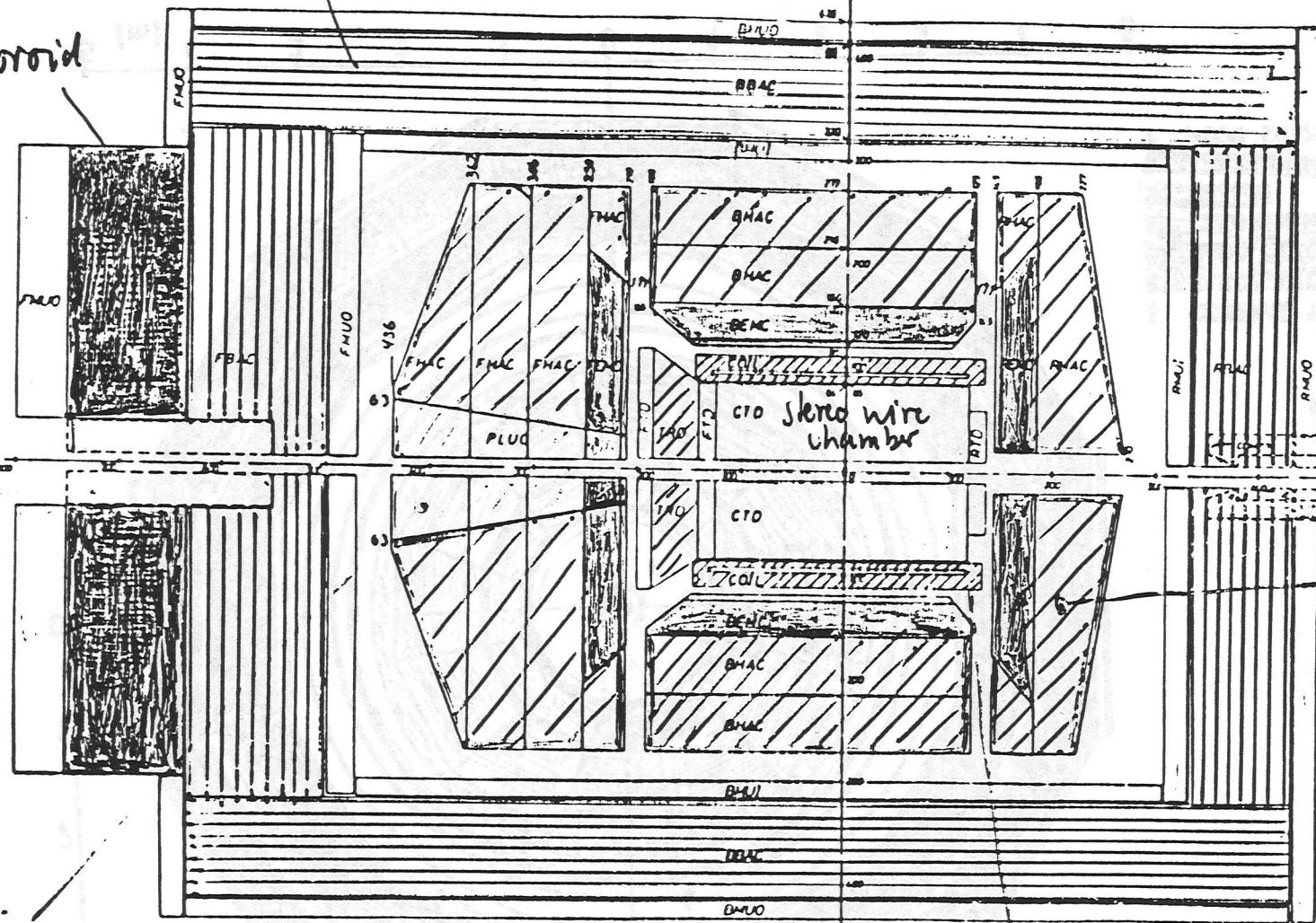
Calorimeter
toroid

P

scattering
region

Uranium
Scintillate
Calorimeter
(P. Vignatelli)

Plug
Calorimeter
(U, Silicon)



Floor level

Ceiling level

34880

Scale 1:20 cm

Superconducting
Cable. $B=1.8$ T

ZEUS
85057
CM

Fig. 2.1 Section of the ZEUS detector along the beam.

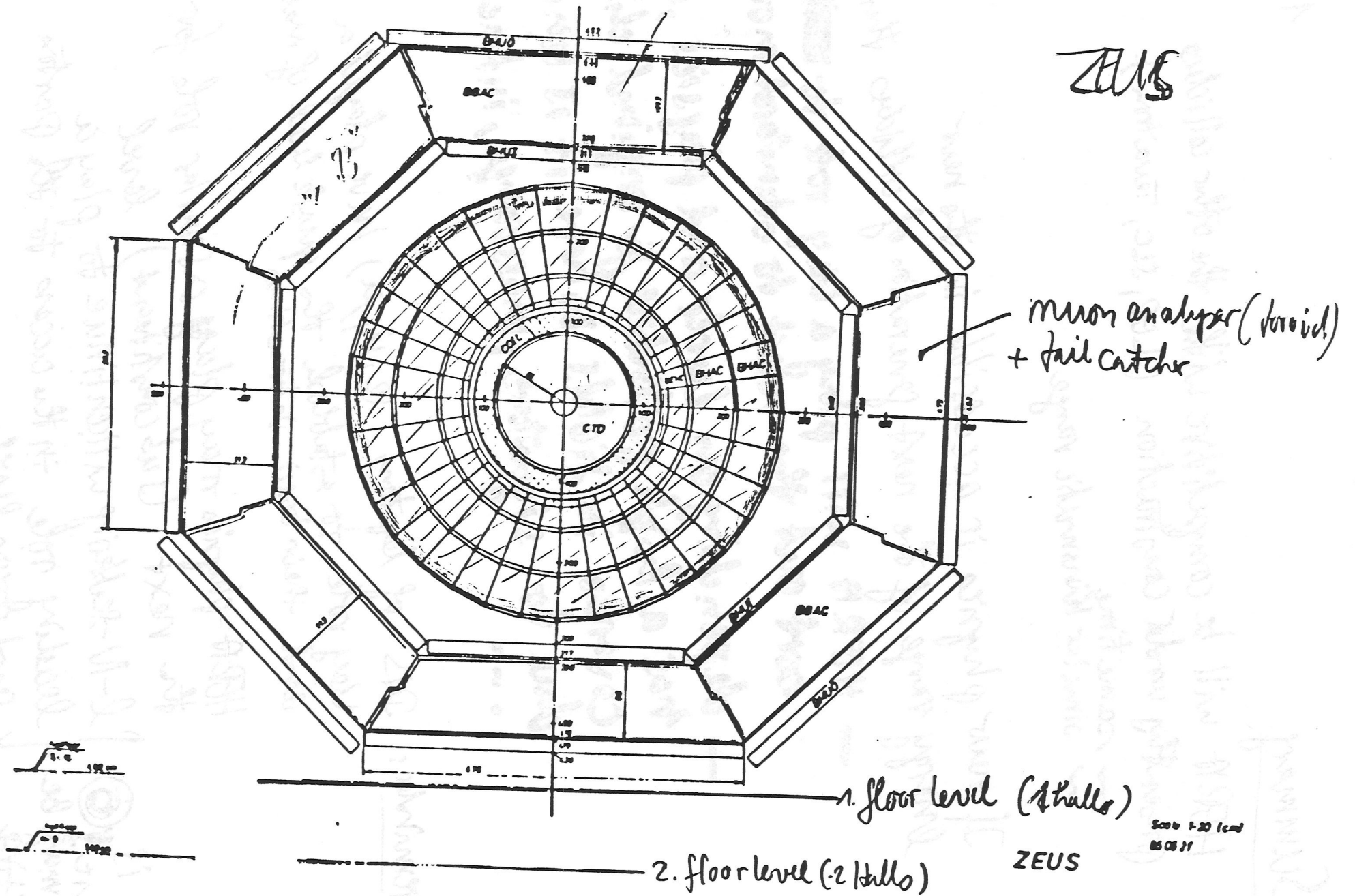


Fig. 2.2 Section of the ZEUS detector perpendicular to the beam.

Summary

HERA will be competitive with the other colliders presently under construction (LEP, SLC, Tevatron).

- ~ same time
- ~ similar kinematic range

If new physics is accessible in the new energy range of the next generation of colliders then

- HERA will play a key role to ~~cut~~ cut out the next to standard model
- depending on luck and physics, it has a high potential for original discoveries in fields where it is unique due to signposts, mass range, interactions ...

reminder: DIS of leptons (e, ν, μ) have played a key role to establish the quark level of matter and their basic interactions.

HERA-physics may play a similar role for the next (sub constituent) level

There is future and it may be exciting

l-N scattering will continue to play a leading role in the access to the Parton and proton level