

# Search for same-charge top-quark pair production in $pp$ collisions at 13 TeV with the ATLAS detector

**LHC top Working Group Meeting 2024**  
**12.11.2024**

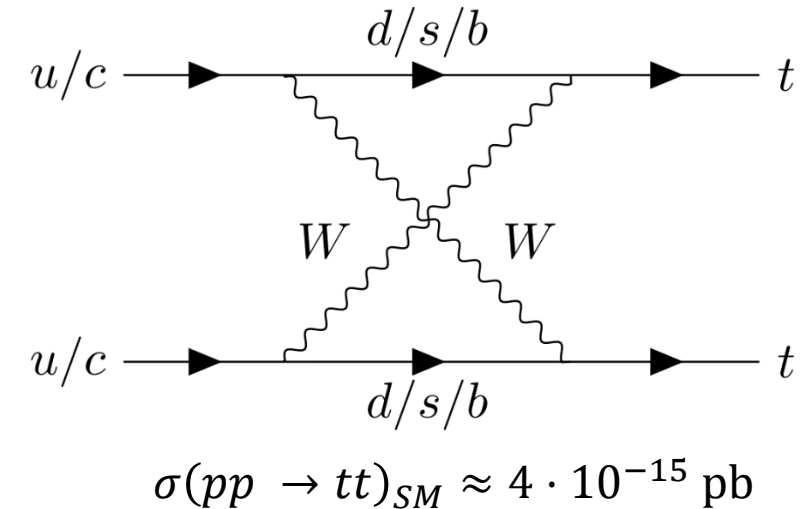
[arXiv:2409.14982](https://arxiv.org/abs/2409.14982)

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On behalf of the ATLAS collaboration

[TOPQ-2021-14](#)

## Motivation

- Same-charge (same-sign SS) top-quark pair production is **strongly suppressed** in the Standard Model (SM)
- Very clean signature in the **dileptonic final state**
  - High  $p_T$  same-charge lepton pair (++ or --)
  - Two b-jets
  - Missing transverse momentum
- **Observation** would imply the **existence of new underlying physics**
- First ATLAS search for same-sign top-quark pairs using SM Effective Field Theory (SMEFT)



# Effective Field Theory

- Three four-fermion operators are considered:  $O_{tu}^{(1)}$ ,  $O_{Qu}^{(1)}$ ,  $O_{Qu}^{(8)}$  → Different chirality RR / LR

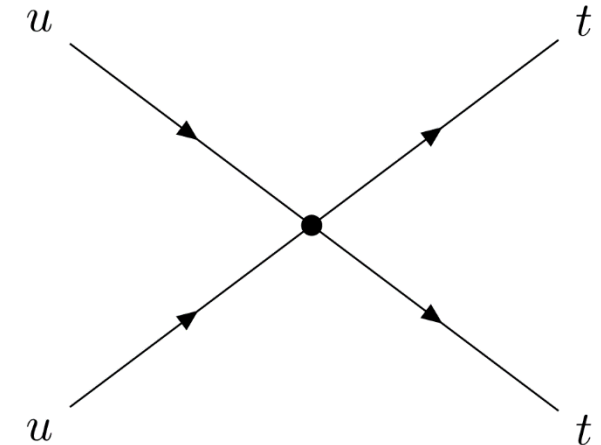
- $$\mathcal{L}_{D=6}^{qq \rightarrow tt} = \frac{1}{\Lambda^2} \left( c_{tu}^{(1)} O_{tu}^{(1)} + c_{Qu}^{(1)} O_{Qu}^{(1)} + c_{Qu}^{(8)} O_{Qu}^{(8)} \right) + h.c.$$

- Only **quadratic** EFT terms and  $\Lambda = 1 \text{ TeV}$

- Default signal sample simulated with following Wilson coefficients (WCs):

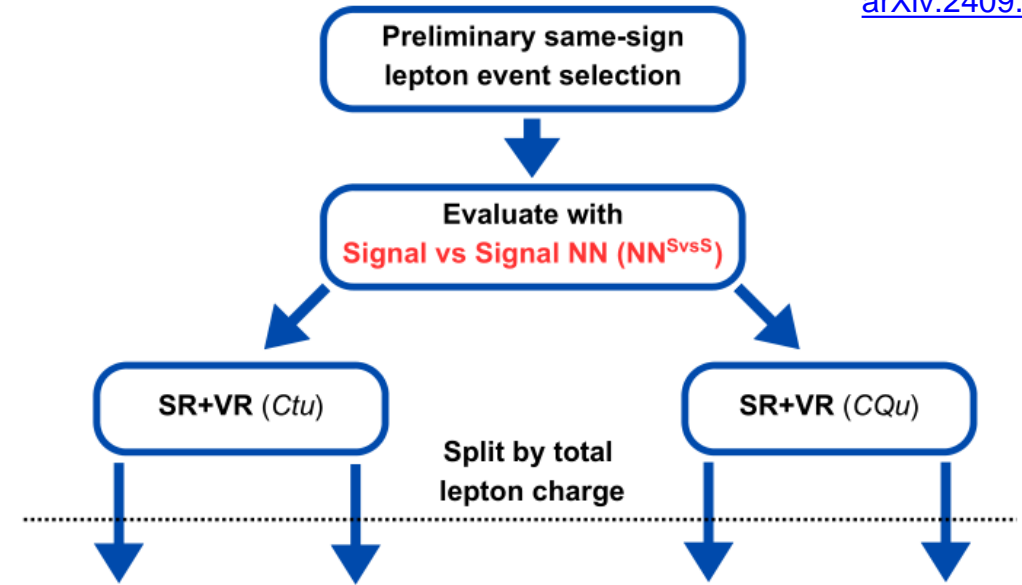
- $c_{tu}^{(1)} = 0.04, c_{Qu}^{(1)} = 0.1, c_{Qu}^{(8)} = 0.2$  → balanced cross-sections
- $\sigma(pp \rightarrow tt) = 97.6 \text{ fb}$  &  $\sigma(pp \rightarrow \bar{t}\bar{t}) = 2.4 \text{ fb}$
- Highly **charge-asymmetric**

- Different WCs setups created by **reweighting**



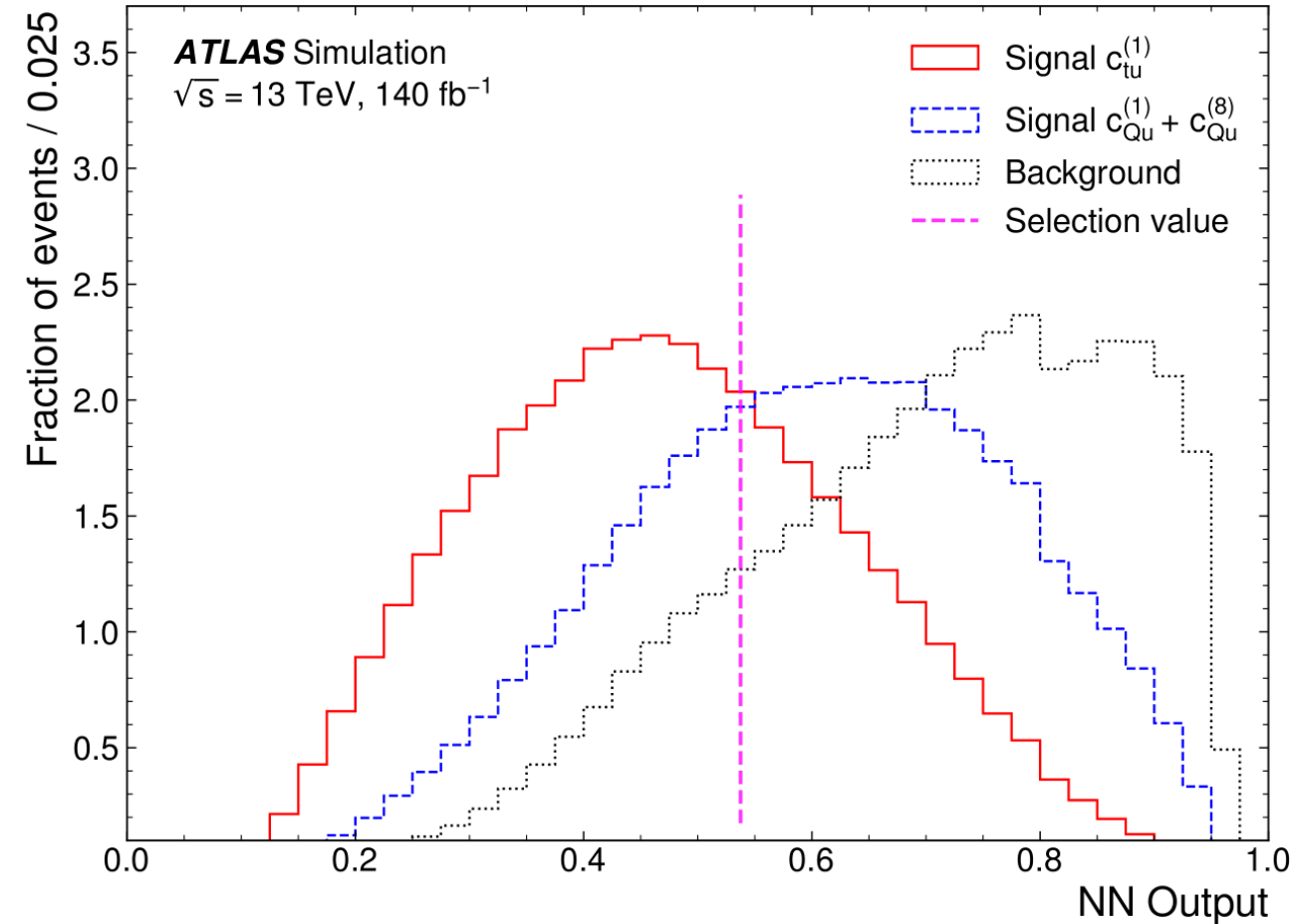
## Analysis strategy

- Full Run 2  $pp$  collision data at  $\sqrt{s} = 13 \text{ TeV}$ ,  $140 \text{ fb}^{-1}$
- Neural networks (NNs) are used to split events in **signal regions (SRs)** and validation regions (VRs)
  - **SRs** are split by **charge** and **EFT operators**
- Control regions (CRs) described on next slides
  - Used to constrain normalisation of the background processes
- Combined **binned profile-likelihood** fit over the **SRs+CRs** simultaneously



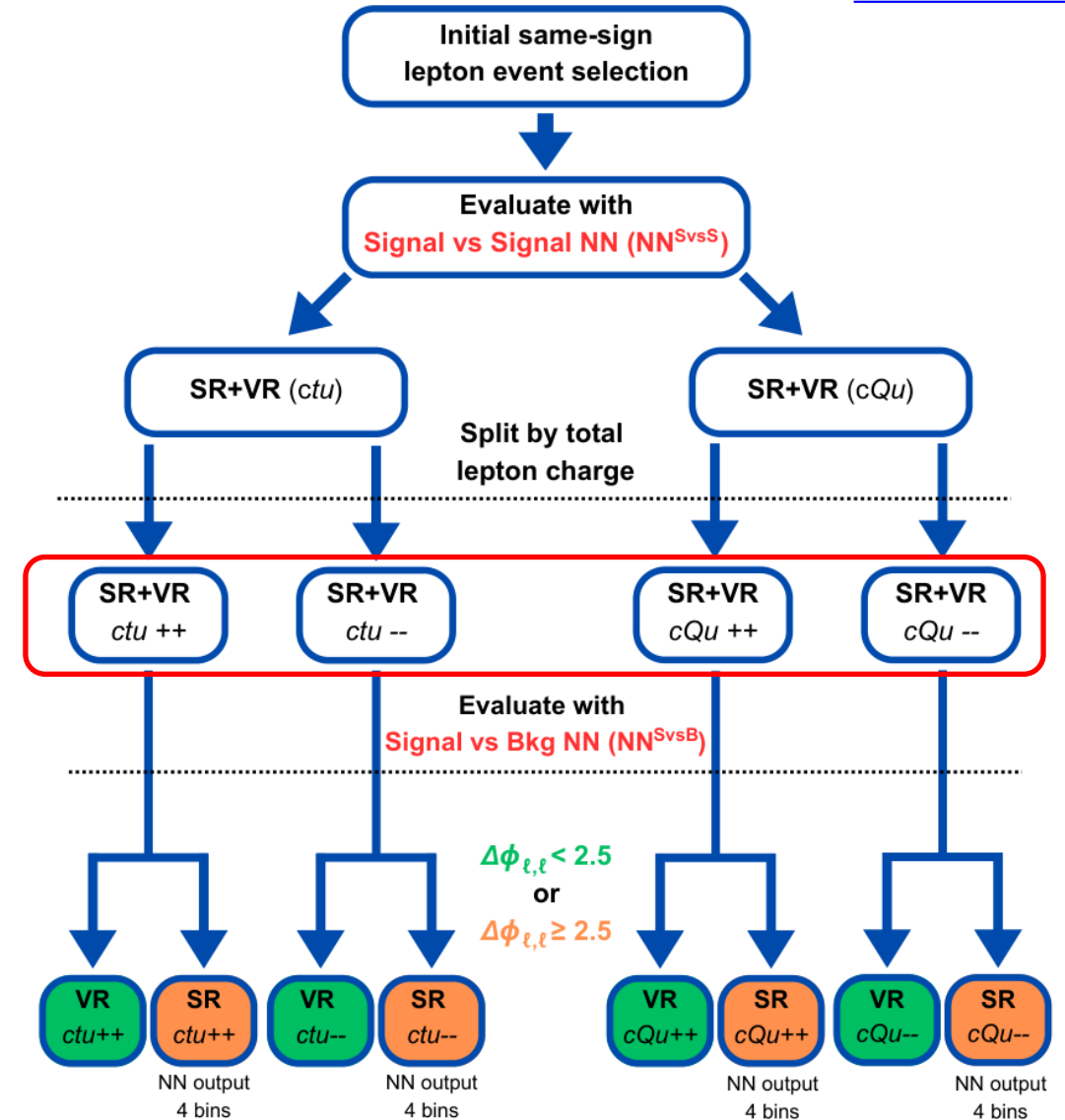
# Signal vs Signal NN ( $NN^{SvsS}$ )

- Goal: **Discriminate** signal events originating from  $c_{tu}^{(1)}$  vs  $c_{Qu}^{(1)}$  or  $c_{Qu}^{(8)}$ 
  - No further split between  $c_{Qu}^{(1)}$  and  $c_{Qu}^{(8)}$  due to being hardly distinguishable
- Only **trained on signal events**
- Two different signal samples used for training:
  - $c_{tu}^{(1)} = 0.04 \rightarrow c_{Qu}^{(1)} = 0, c_{Qu}^{(8)} = 0$
  - $c_{Qu}^{(1)} = 0.1, c_{Qu}^{(8)} = 0.2 \rightarrow c_{tu}^{(1)} = 0$
- Simple DNN (5 hidden layers)
- Using odd/even cross-validation
- 9 input variables ( $\Delta m_{\ell\ell}, \Delta\phi_{\ell\ell}, \Delta R_{\ell\ell}, \dots$ )



# Distinguish background from signal

- Trained  $NN^{SvsB}$  for each of the **four regions**
- Same training and architecture as for the  $NN^{SvsS}$
- Split by charge due to different **kinematics** for  $tt$  and  $\bar{t}\bar{t}$ 
  - $\sigma(tt) \geq \sigma(\bar{t}\bar{t}) \rightarrow$  **split** needed to be sensitive to  $\bar{t}\bar{t}$
- 6 input variables ( $H_T^{lep}$ ,  $p_T^{jet0}$ ,  $N_{jets}$ , ...)
- $NN^{SvsB}$  output distribution used in the profile likelihood fit for the SRs
- Finalize **SR** definitions by requiring  $\Delta\Phi_{\ell,\ell} \geq 2.5$ 
  - Events with  $\Delta\Phi_{\ell,\ell} < 2.5$  used as **VRs**



## Analysis makes use of 9 CRs

- **5 dilepton ( $2\ell$ ) CRs:**
  - All  $2\ell$ -CRs are enriched in heavy flavor  $e$  or  $\mu$  fakes CRs
  - **Orthogonal** due to  $N_{b\text{-tags}}$  and lepton isolation requirements
- **4 three lepton ( $3\ell$ ) CRs:**
  - $t\bar{t}Z$  CR
  - Diboson CR
  - Material / internal photon conversion CRs
  - **Orthogonal** due to requiring 3 leptons (electrons / muons)
- **Normalization of major background processes** constrained in the binned profile likelihood fit with dedicated CRs
- Dominant background:  $t\bar{t}W$ 
  - Normalisation constrained by bins with low NN output score in the SRs

# Systematic Uncertainties

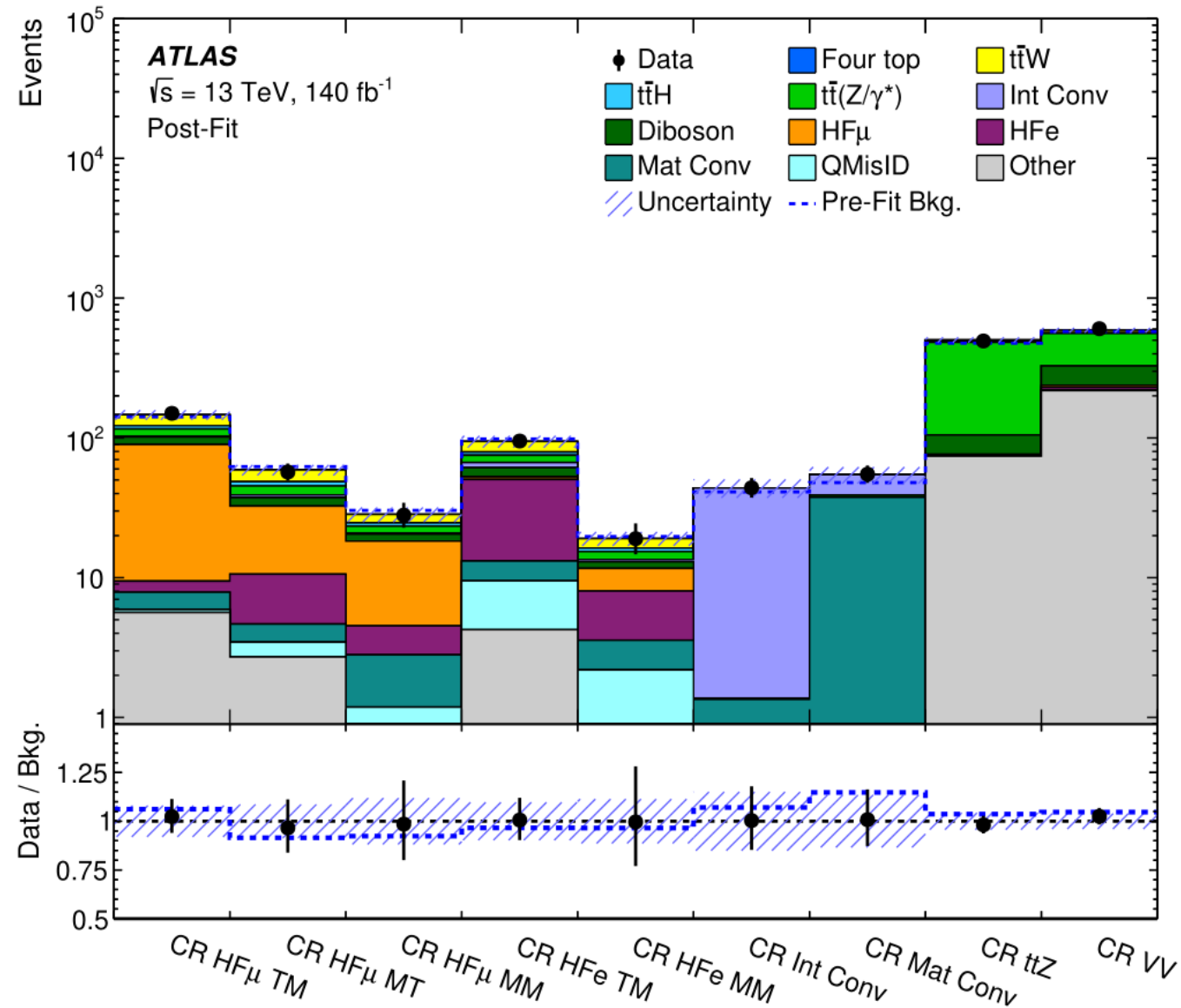
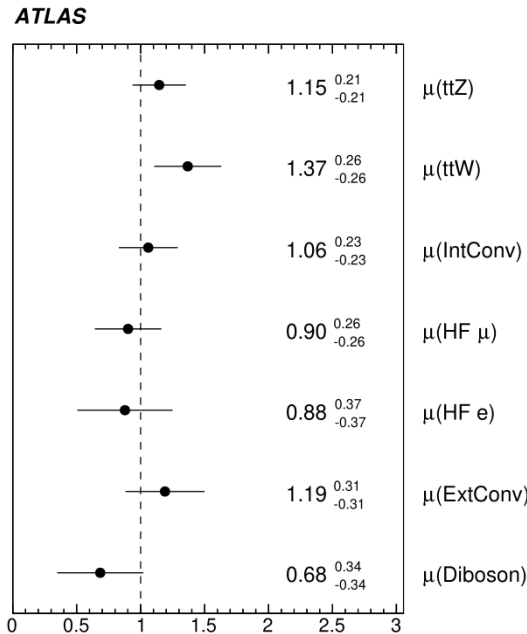
- Apart from statistical uncertainties  $t\bar{t}W$  modelling uncertainties have the **largest impact** on the final results
- Normalizations of major background process constrained via the CRs
  - For all other processes a normalization uncertainty is applied
- For larger backgrounds additional modeling uncertainties are applied by comparing the **nominal** sample with an **alternative sample** → details in [paper](#) / backup:
  - Parton shower and hadronization variation ( $t\bar{t}W, t\bar{t}Z, t\bar{t}H$ )
  - Generator variation ( $t\bar{t}W, t\bar{t}H$ ) → different matrix element generator
  - Scale variations ( $t\bar{t}W, t\bar{t}Z, t\bar{t}H, VV$ )
- Using the full set of ATLAS **experimental uncertainties**

**Statistically  
dominated analysis !**



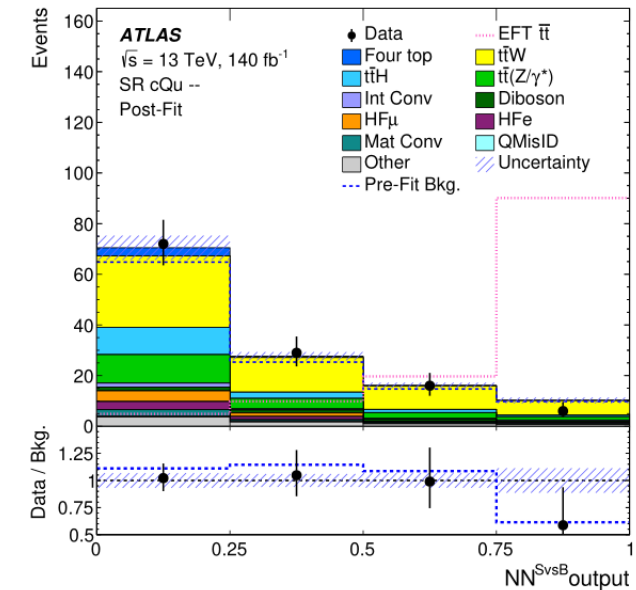
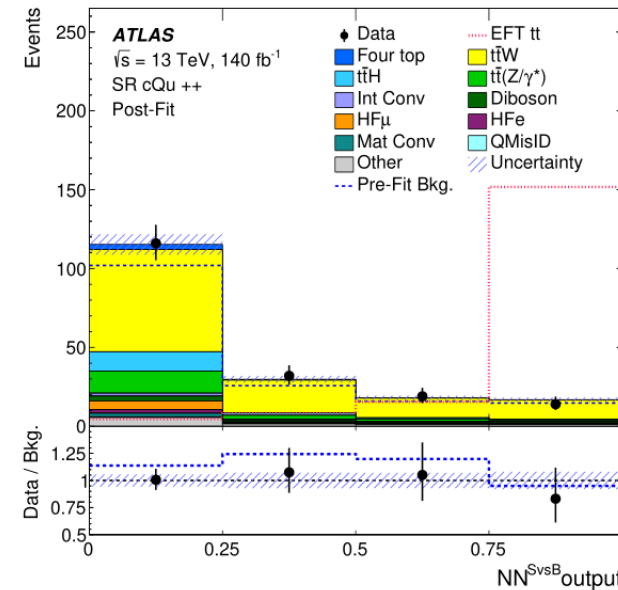
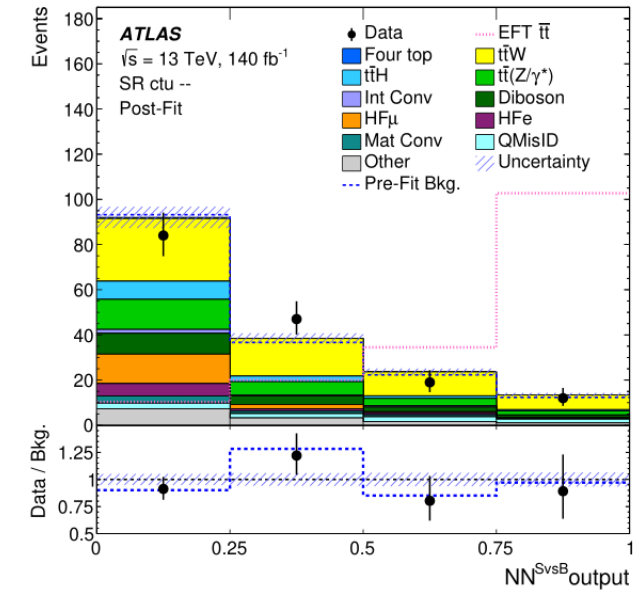
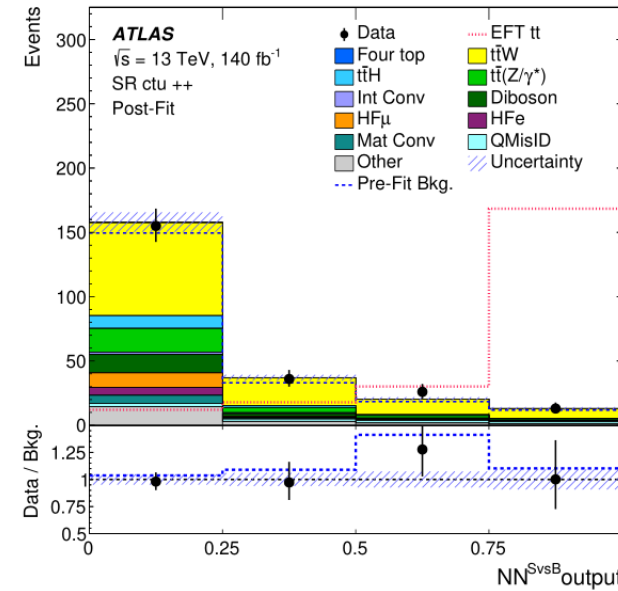
# Results – CRs

- Very good **post-fit agreement** in the CRs
- All normalizations are in agreement with the SM, except  $t\bar{t}W$ 
  - Known excess – in agreement with [ATLAS  \$t\bar{t}W\$  cross-section measurement](#)



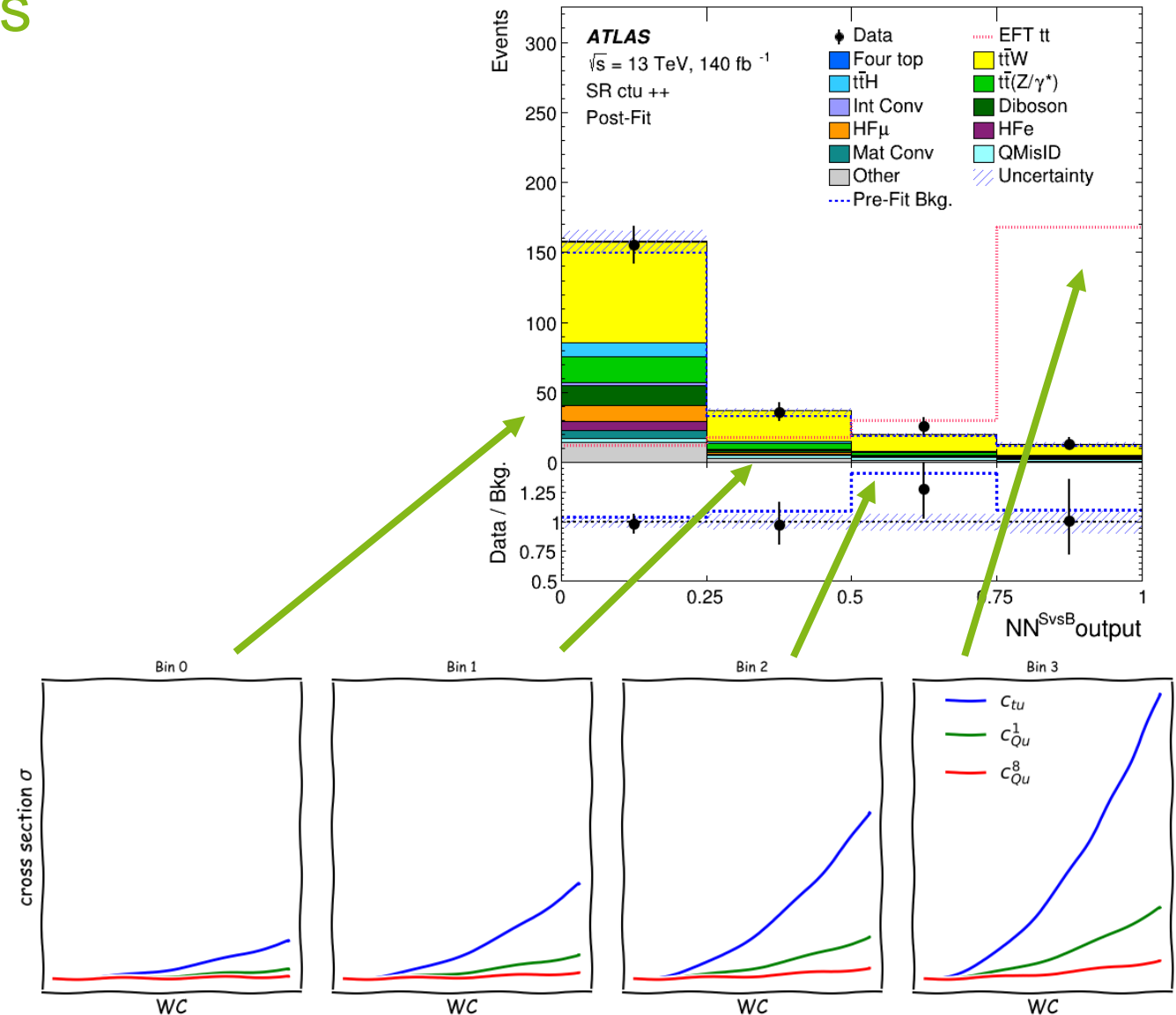
# Results – SRs

- Good **post-fit agreement** in the SRs
- No significant signal contribution observed
  - All three WCs fitted to  $< 10^{-6}$
- Negligible signal contribution is not shown in the plots
- Setting 1D-limits on the WCs by scanning the likelihood while varying a single WC at a time



# Signal parametrization in the SRs

- For each **SR bin** the **EFT parametrization** for the three WCs is fitted
  - Uses all available EFT samples
- Allows to fit **any set of WC values**
- Direct connection between WC values and **cross-section**
- Parameterization is fitted **individually** for each SR
- Used to derive limits by scanning different sets of WC values

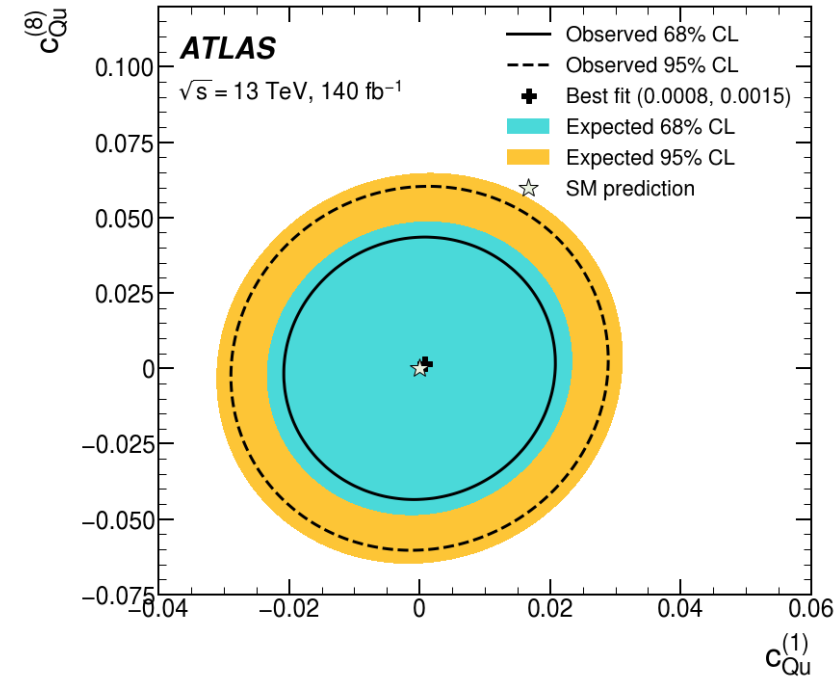
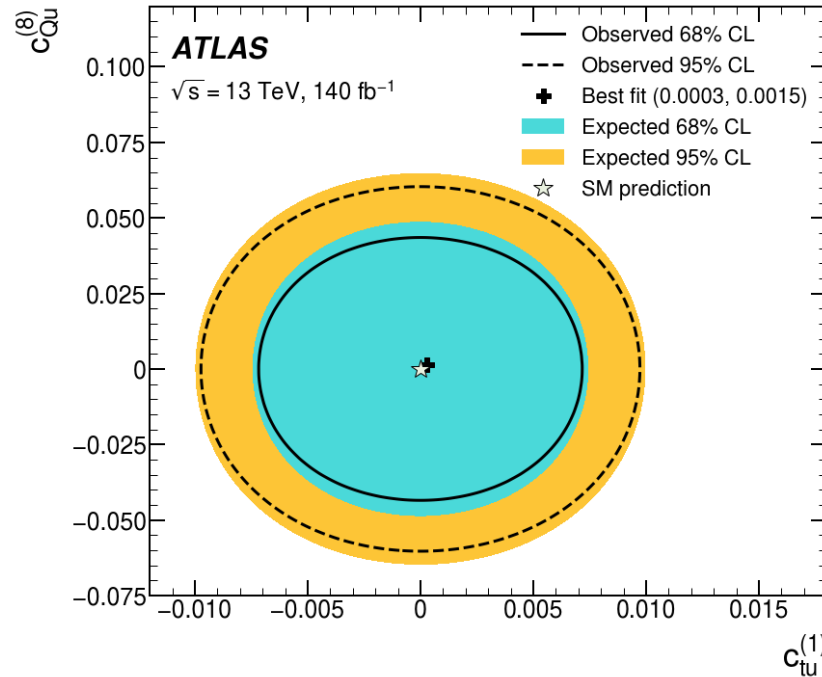
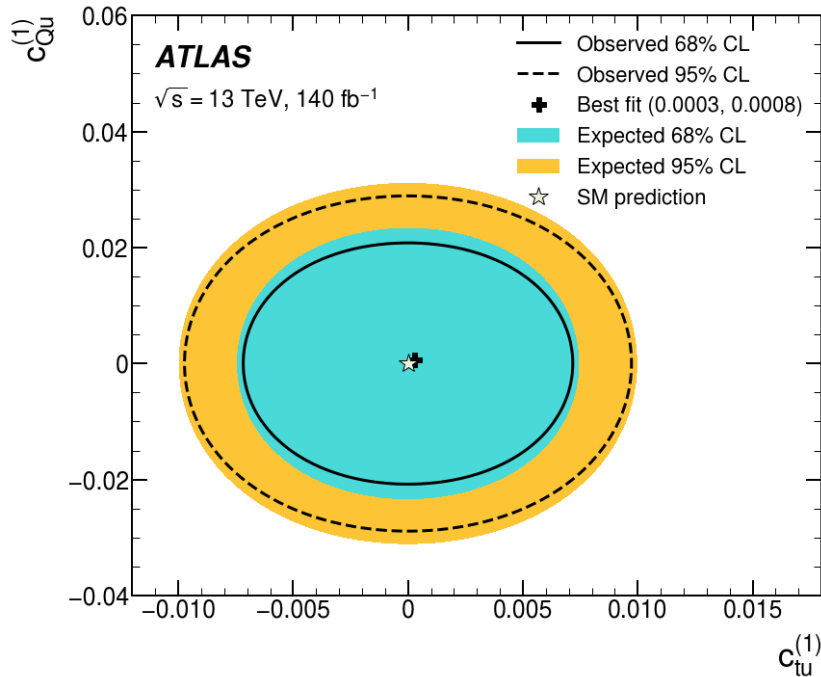
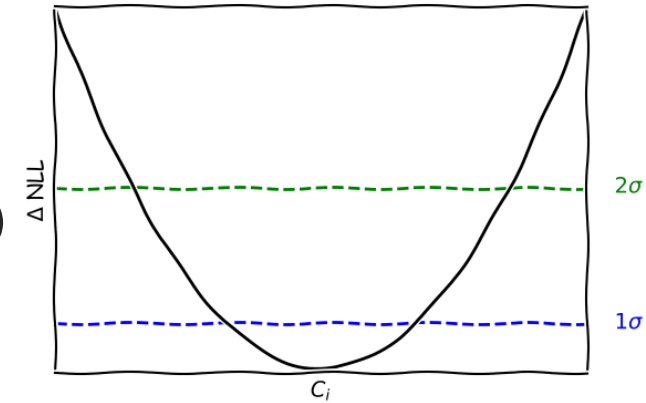


# Results – Likelihood scans

- 1D observed (expected) limits at 95% CL:

$$c_{tu}^{(1)} < \mathbf{0.0068} \text{ (0.0071)} \quad c_{Qu}^{(1)} < \mathbf{0.020} \text{ (0.022)} \quad c_{Qu}^{(8)} < \mathbf{0.041} \text{ (0.046)}$$

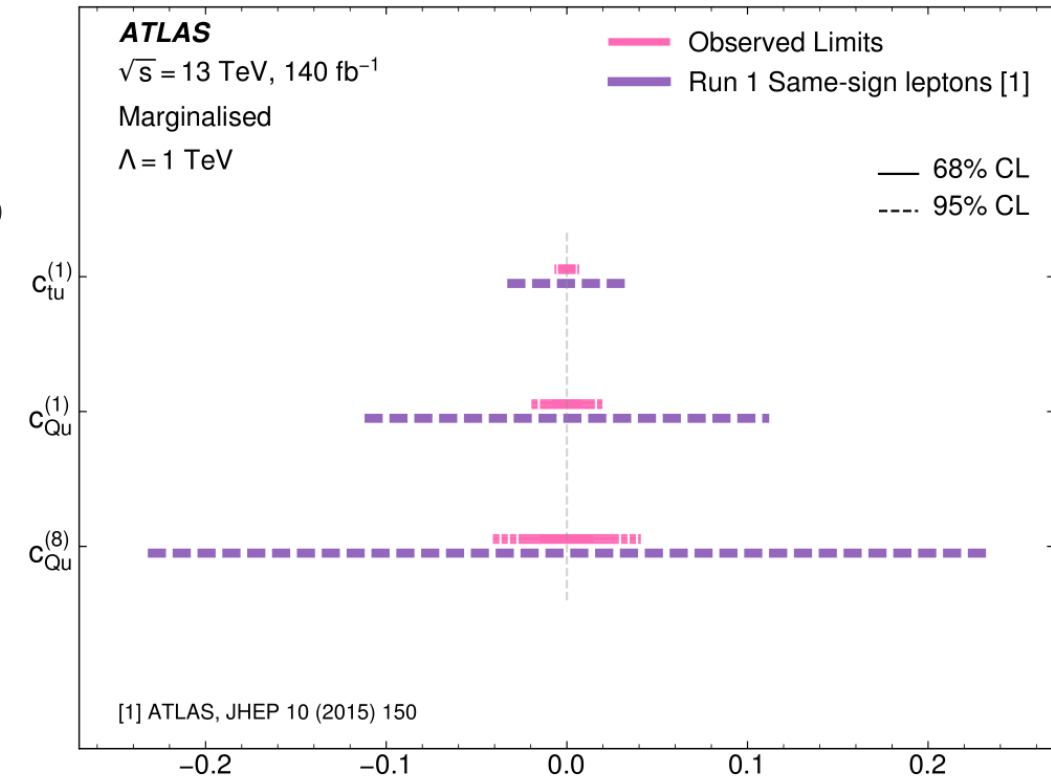
- 2D limits for the three sets of WC combinations



# Summary & Conclusion

- Results are in agreement with SM
- No **significant signal** detected
- Precision **limited by statistical uncertainties**
- Observed upper limit at 95% CL:  $\sigma(pp \rightarrow tt / \bar{t}\bar{t}) < 1.6 \text{ fb}$
- **Most stringent limits on  $c_{tu}^{(1)}$ ,  $c_{Qu}^{(1)}$ ,  $c_{Qu}^{(8)}$** 
  - Improving previous WC limits by a factor of  $\approx 10$

Uncertainties	Wilson Coefficient limits at 95% CL $\times 100$		
	$c_{tu}^{(1)}$	$c_{Qu}^{(1)}$	$c_{Qu}^{(8)}$
Statistical uncertainty only	[-0.65, 0.65]	[-1.9, 1.9]	[-3.9, 3.9]
Statistical + modeling uncertainties	[-0.67, 0.67]	[-1.9, 1.9]	[-4.0, 4.0]
Total uncertainty	[-0.68, 0.68]	[-2.0, 2.0]	[-4.1, 4.1]



# Backup Slides



## Data and MC simulation

- MC samples shown in parentheses are used for the estimation of systematic uncertainties
- Electron charge misidentification background is estimated from data using  $Z \rightarrow ee$  events

$$O_{tu}^{(1)} = [\bar{t}_R \gamma^\mu u_R] [\bar{t}_R \gamma_\mu u_R],$$

$$O_{Qq}^{(1)} = [\bar{Q}_L \gamma^\mu q_L] [\bar{Q}_L \gamma_\mu q_L],$$

$$O_{Qq}^{(3)} = [\bar{Q}_L \gamma^\mu \sigma^a q_L] [\bar{Q}_L \gamma_\mu \sigma^a q_L],$$

$$O_{Qu}^{(1)} = [\bar{Q}_L \gamma^\mu q_L] [\bar{t}_R \gamma_\mu u_R],$$

$$O_{Qu}^{(8)} = [\bar{Q}_L \gamma^\mu T^A q_L] [\bar{t}_R \gamma_\mu T^A u_R].$$

Process	Generator	ME order PS		PDF (ME)	Tune
SS $t\bar{t}$ / $t\bar{t}$ EFT signal	MADGRAPH5_AMC@NLO	LO	PYTHIA 8	NNPDF3.0LO	A14
$t\bar{t}W$	SHERPA 2.2.10 (MADGRAPH5_AMC@NLO) (POWHEG BOX) (POWHEG BOX)	NLO (NLO) (NLO)	SHERPA (PYTHIA 8) (PYTHIA 8) (HERWIG 7.2)	NNPDF3.0NNLO (NNPDF3.0NLO) (NNPDF2.3LO) (NNPDF3.0NLO)	SHERPA default (A14) (A14) (H7.2-Default)
$t\bar{t}\bar{t}$	MADGRAPH5_AMC@NLO	NLO	PYTHIA 8	NNPDF3.1NLO	A14
$t\bar{t}H$	POWHEG BOX (POWHEG BOX) (MADGRAPH5_AMC@NLO)	NLO (NLO) (NLO)	PYTHIA 8 (HERWIG 7.04) (PYTHIA 8)	NNPDF3.0NLO (NNPDF3.0NLO) (NNPDF3.0NLO)	A14 (H7UE-MMHT) (A14)
$t\bar{t}Z/\gamma^*$	MADGRAPH5_AMC@NLO (MADGRAPH5_AMC@NLO) (MADGRAPH5_AMC@NLO)	NLO (NLO) (NLO)	PYTHIA 8 (HERWIG 7.2) (PYTHIA 8)	NNPDF3.0NNLO (NNPDF3.0NLO) (NNPDF3.0NLO)	A14 (H7.2-Default) (A14 Var3c)
$t\bar{t}ll$	MADGRAPH5_AMC@NLO	NLO	PYTHIA 8	NNPDF3.0NLO	A14
$t\bar{t}$	POWHEG BOX	NLO	PYTHIA 8	NNPDF3.0NLO	A14
$s$ -, $t$ -channel, $Wt$ single top	POWHEG BOX	NLO	PYTHIA 8	NNPDF3.0NLO	A14
$Z \rightarrow l^+l^-$ (matCO)	POWHEG BOX	NLO	PYTHIA 8	CT10NLO	AZNLO
$Z \rightarrow l^+l^- + (\gamma^*)$	POWHEG BOX	NLO	PYTHIA 8	CT10NLO	AZNLO
$Z \rightarrow l^+l^-$	SHERPA 2.2.1	NLO	SHERPA	NNPDF3.0NNLO	SHERPA default
$W$ +jets	SHERPA 2.2.1	NLO	SHERPA	NNPDF3.0NNLO	SHERPA default
$V\gamma$	SHERPA 2.2.8	NLO	SHERPA	NNPDF3.0NNLO	SHERPA default
$VV, qqVV,$ $VV_{lowm_{tt}}, VVV$	SHERPA 2.2.2	NLO	SHERPA	NNPDF3.0NNLO	SHERPA default
$t(Z/\gamma^*), t\bar{t}t, t\bar{t}WH$	MADGRAPH5_AMC@NLO	LO	PYTHIA 8	NNPDF2.3LO	A14
$t\bar{t}W^+W^-, t\bar{t}ZZ, t\bar{t}HH$	MADGRAPH5_AMC@NLO	LO	PYTHIA 8	NNPDF2.3LO	A14
$tW(Z/\gamma^*), tWH, tHqb$	MADGRAPH5_AMC@NLO	NLO	PYTHIA 8	NNPDF3.0NLO	A14
$VH$	POWHEG BOX	NLO	PYTHIA 8	NNPDF3.0NLO	A14

# Event and object reconstruction

## Leptons

- Using single- and dilepton-triggers
- $p_T > 10$  GeV
- $|\eta_{\text{cluster}}| < 1.37$  or  $1.52 < |\eta_{\text{cluster}}| < 2.47$  (e) and  $|\eta| < 2.5$  ( $\mu$ )

## Jets

- Jets reconstruction via **PFlow**:
  - $\Delta R = 0.4$       $|\eta| < 2.5$
  - $p_T > 25$  GeV     $JVT > 0.5$  for  $p_T < 25$  GeV,  $|\eta| < 2.4$
- B-tagging of jets via **DL1r**:
  - 60% and 77% WP are used in this analysis
- Use BDT discriminate (**PLIV**) to suppress **non-prompt leptons**
- Reject background electrons with wrong charge assignment with ECIDS BDT
- Sequential overlap removal

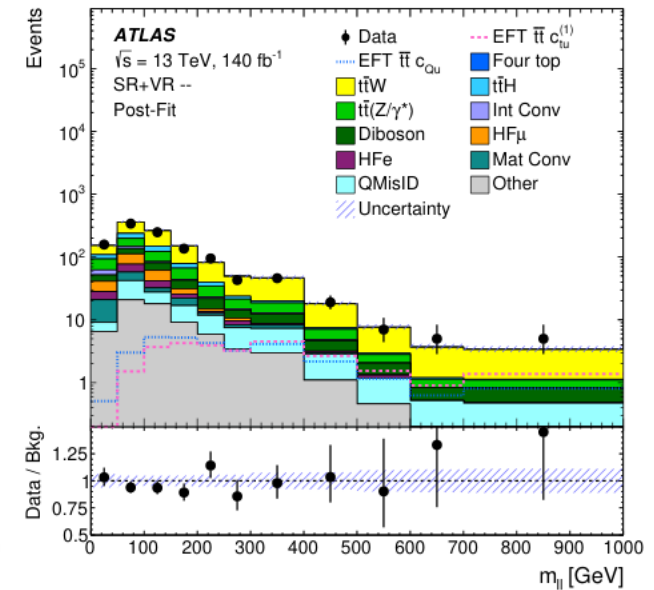
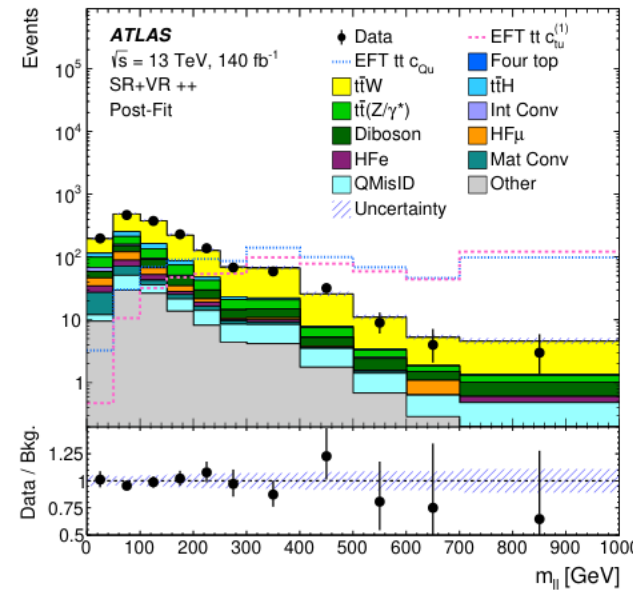
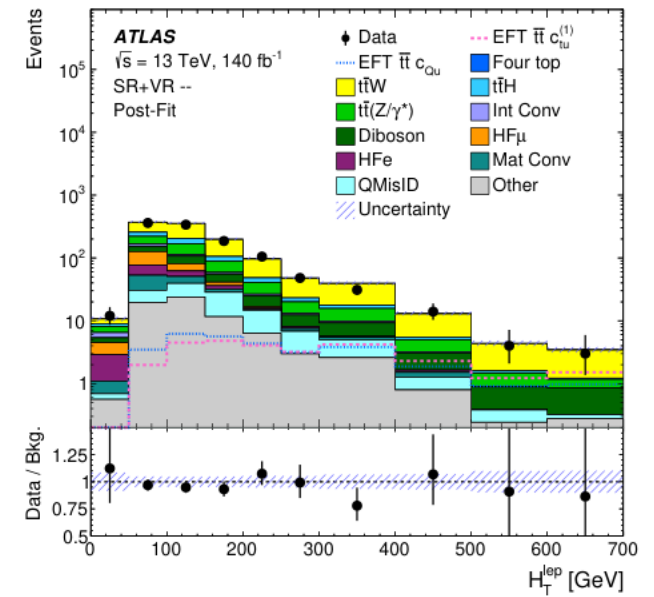
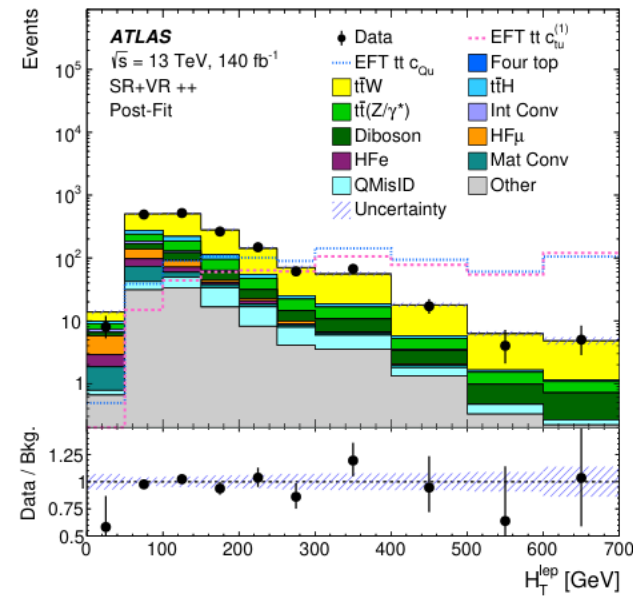


## Control region definitions (tables)

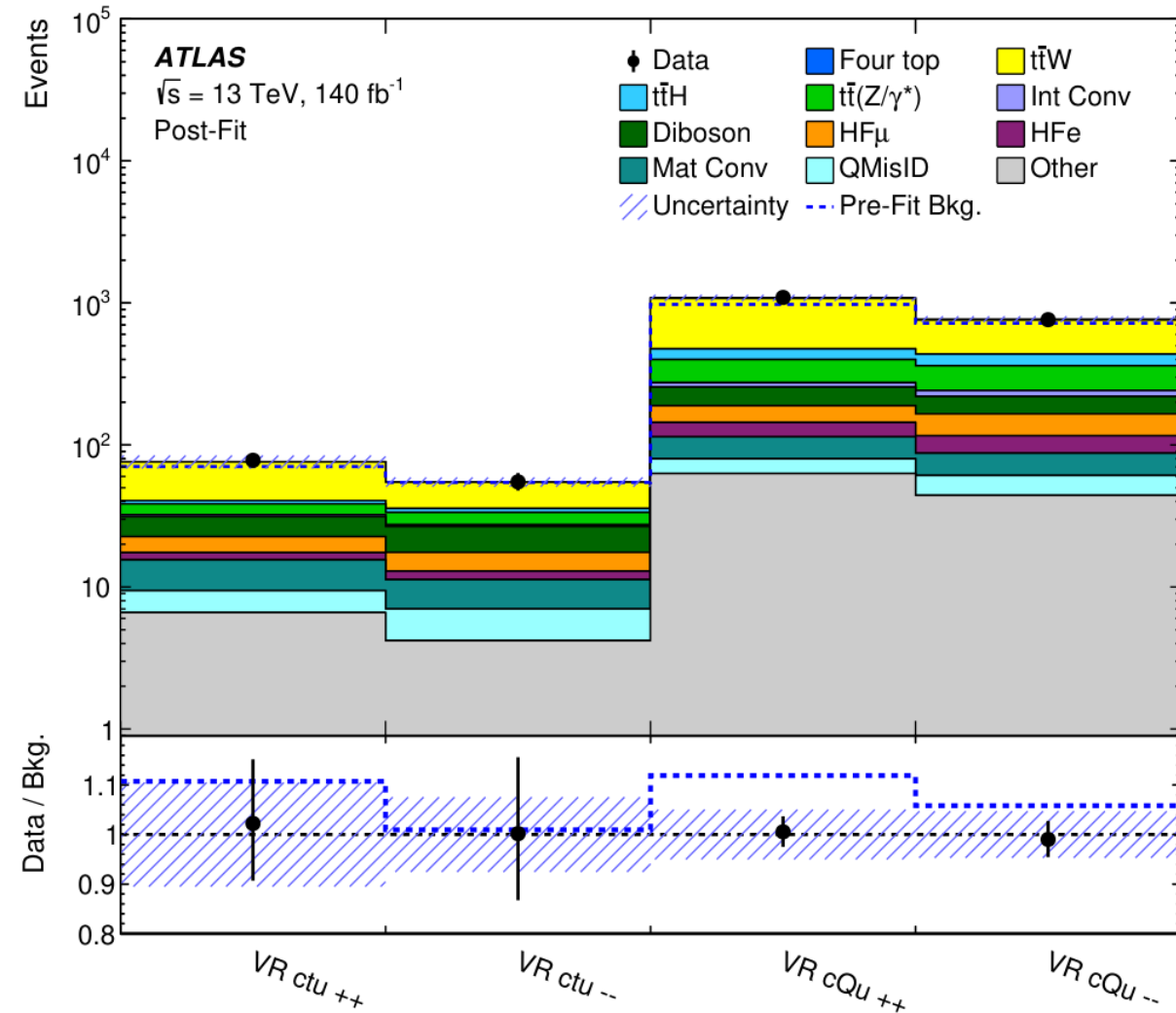
	CR HF TM	CR HF MT	CR HF MM
$p_T^{\text{lep}}$ [GeV]		>20	
BDT WPs (same-sign $\ell$ pair)	TM	MT	MM
$N_{\text{jets}}$		$\geq 2$	
$N_{b\text{-tagged jets}}$		1 at 77%	
Total lepton charge		++ or --	
$m_T(\text{all } \ell, E_T^{\text{miss}})$		< 250 GeV	-

	VV CR	$t\bar{t}Z$ CR	CR Int Conv	CR Mat Conv
$p_T^{\text{lep}}$ [GeV]		> 20 (SS pair), > 10 (OS)		
BDT WPs		$M_{\text{inc}} M_{\text{inc}}$ (SS pair) $L_{\text{inc}}$ (OS)		
Total charge		$\pm 1$		
Electron Conv. candidate		-	Int. Conv.	Mat. Conv.
$N_{\text{jets}}$	2 or 3	$\geq 4$		$\geq 0$
$N_{b\text{-tagged jets}}$	1 $b$ -tagged jet at 60% WP	$\geq 2$ $b$ -tagged jets at 77% WP		0 at 77%
$ m_{SFOS} - m_Z $		< 10 GeV		> 10 GeV
$ m(\ell\ell\ell) - m_Z $	-	-		< 10 GeV

# Merged regions (SR+VR)

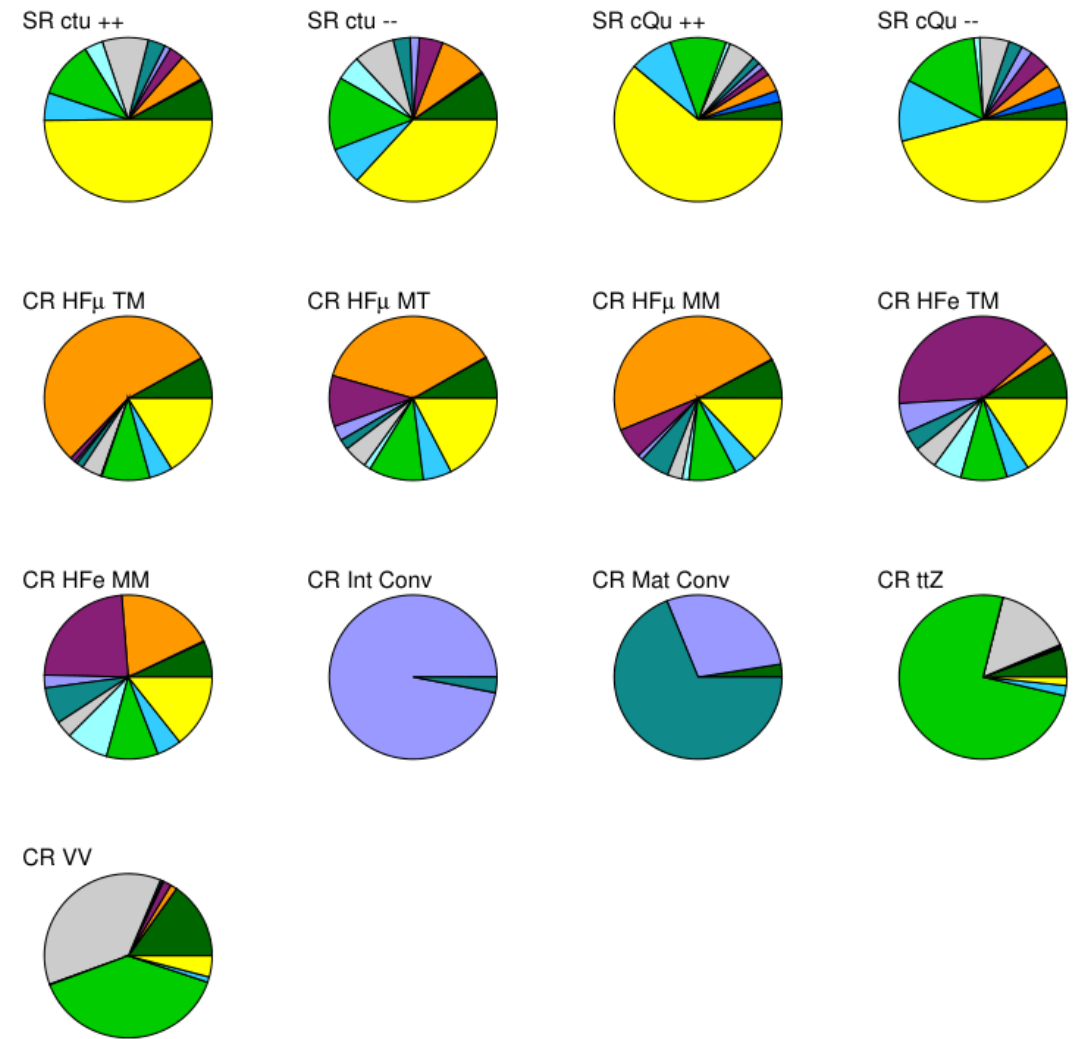


# Results – VRs & Pie chart

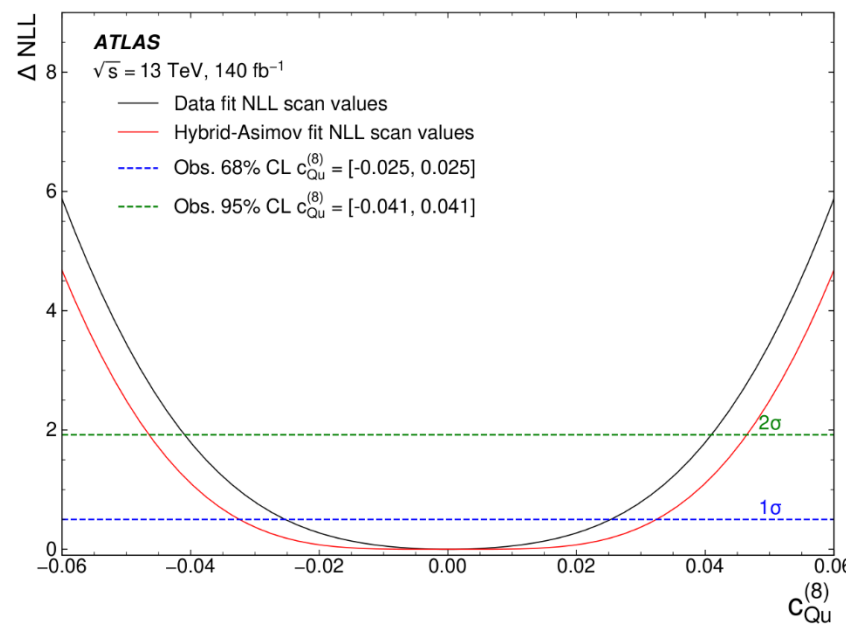
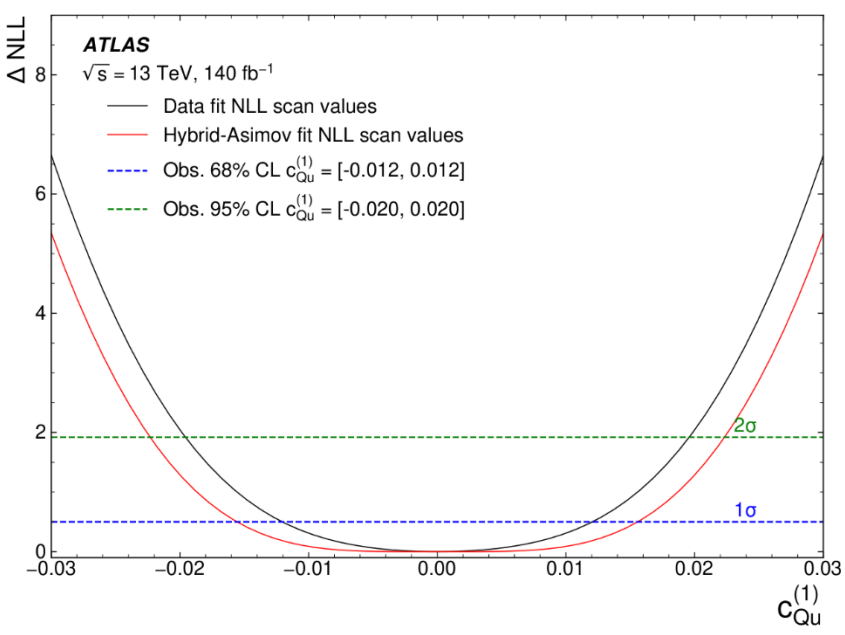
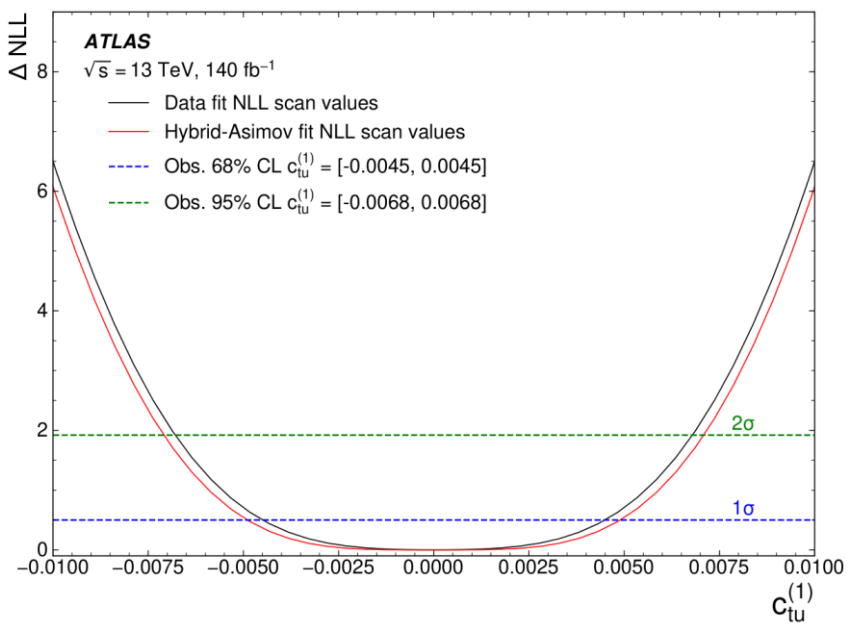


ATLAS Simulation  
 $\sqrt{s} = 13 \text{ TeV}$

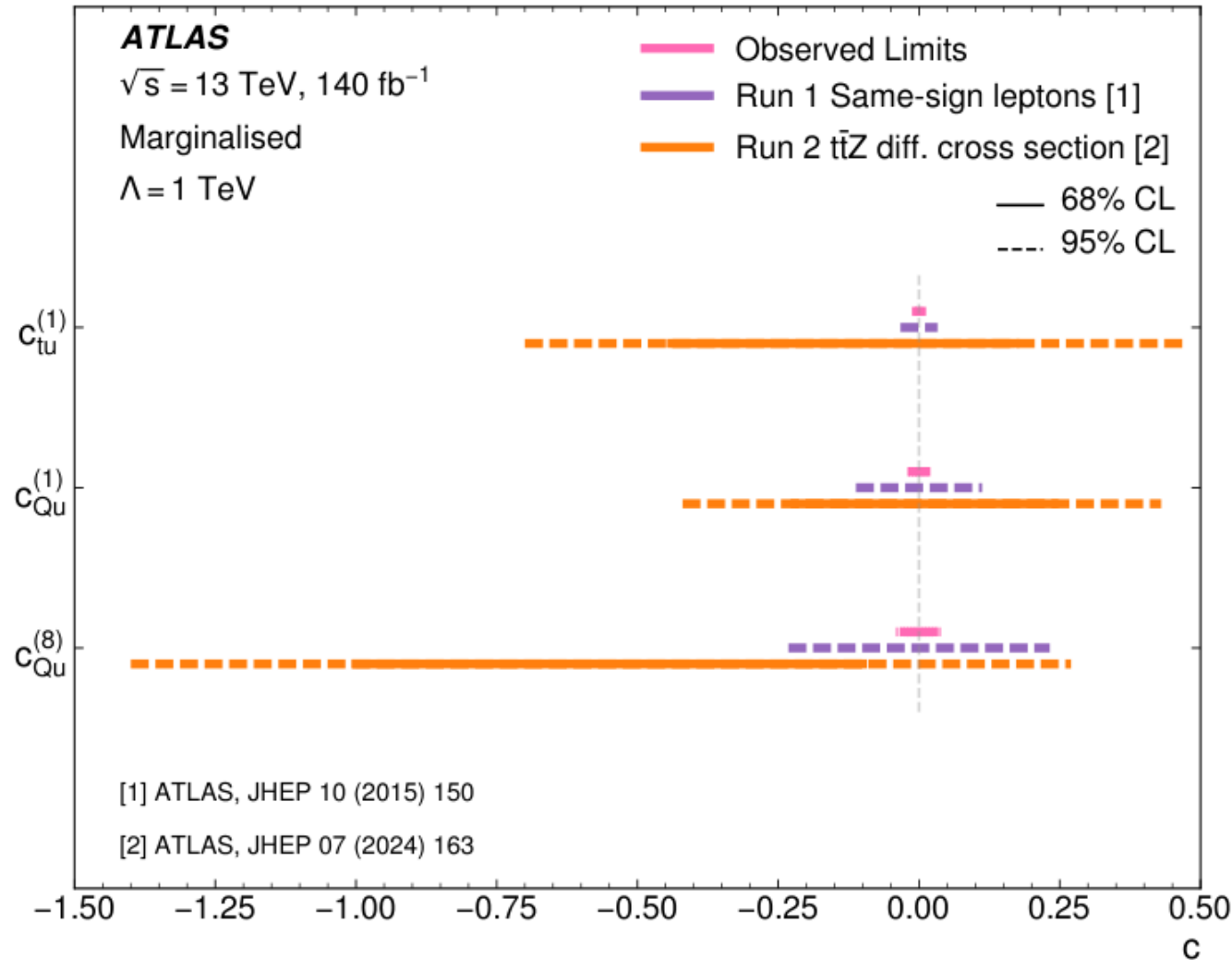
■  $t\bar{t}W$   
 ■ QMisID  
 ■ Int Conv  
 ■ Four top  
 ■  $t\bar{t}H$   
 ■ Other  
 ■ HFe  
 ■ Diboson  
 ■  $t\bar{t}(Z/\gamma^*)$   
 ■ Mat Conv  
 ■  $HF\mu$



# Results – 1D likelihood scans



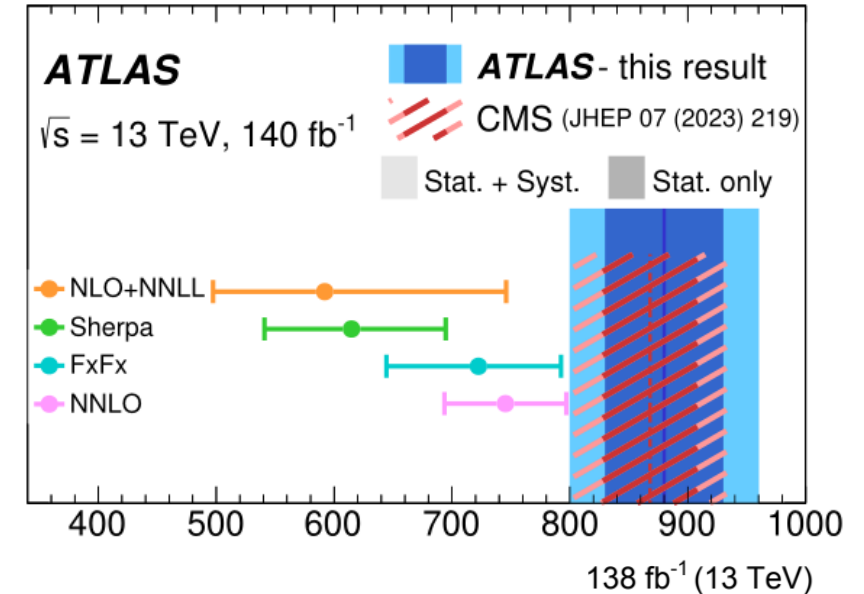
# Results – alternative limits comparison



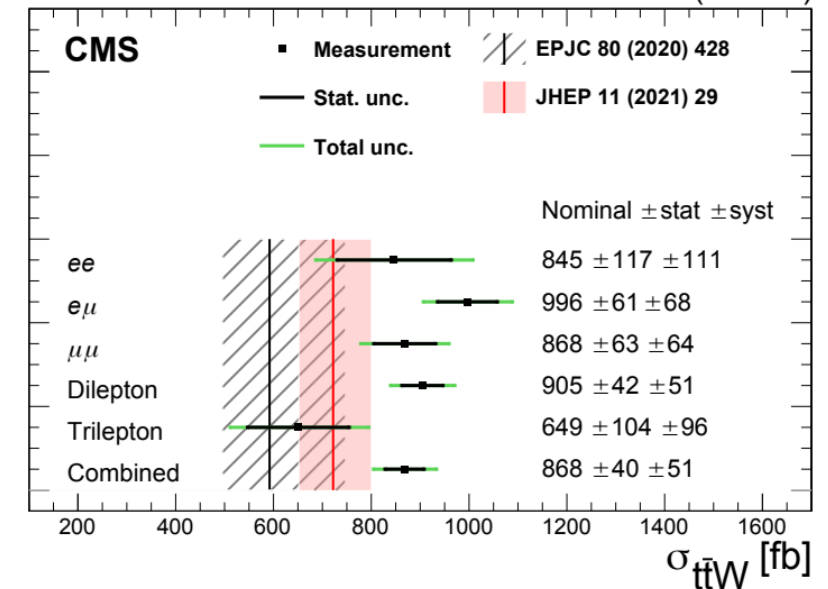
## $t\bar{t}W$ measured cross-section

- Previous analysis within ATLAS and CMS saw tension in the measured  $t\bar{t}W$  cross-section and the SM
- In this analysis  $t\bar{t}W$  is normalized to:
  - QCD: 674.7 fb
  - EW: 47.7 fb
- The normalisation factor for  $t\bar{t}W$  QCD is fitted to **1.37**
- Post-fit  $t\bar{t}W$  cross-section:
  - $\sigma(t\bar{t}W) = 674.7 \text{ fb} \cdot 1.37 + 47.7 \text{ fb} = \mathbf{972.0 \text{ fb}}$

[arxiv:2401.05299](https://arxiv.org/abs/2401.05299)



[arxiv:2208.06485](https://arxiv.org/abs/2208.06485)



# Yield Tables SRs

Process	$SR_{ctu++}$		$SR_{ctu--}$		$SR_{cQu++}$		$SR_{cQu--}$	
$t\bar{t}W$	114	$\pm 15$	62	$\pm 10$	110	$\pm 15$	56.9	$\pm 9.0$
$t\bar{t}(Z/\gamma^*)$	25.5	$\pm 2.4$	24.1	$\pm 2.6$	19.5	$\pm 1.8$	19.1	$\pm 1.8$
$t\bar{t}H$	12.4	$\pm 7.5$	12.3	$\pm 7.1$	15.1	$\pm 9.6$	15.1	$\pm 9.2$
Four top	0.72	$\pm 0.15$	0.69	$\pm 0.14$	4.16	$\pm 0.83$	4.07	$\pm 0.82$
Diboson	18.1	$\pm 9.3$	15.9	$\pm 8.1$	6.3	$\pm 3.2$	4.2	$\pm 2.1$
HFe	6.5	$\pm 2.9$	7.6	$\pm 3.0$	3.0	$\pm 1.1$	4.9	$\pm 2.5$
H $F\mu$	12.6	$\pm 2.7$	15.7	$\pm 3.2$	6.3	$\pm 1.8$	5.7	$\pm 1.7$
Mat Conv	7.6	$\pm 2.5$	5.5	$\pm 1.6$	2.73	$\pm 0.83$	3.3	$\pm 1.2$
Int Conv	2.7	$\pm 1.6$	3.0	$\pm 1.7$	2.1	$\pm 1.2$	2.7	$\pm 1.6$
QMisID	8.1	$\pm 2.2$	8.1	$\pm 2.2$	1.48	$\pm 0.39$	1.48	$\pm 0.39$
Other	20.3	$\pm 5.4$	13.3	$\pm 3.9$	9.3	$\pm 2.7$	7.0	$\pm 2.6$
Total Bkg.	228	$\pm 11$	167.7	$\pm 7.9$	180	$\pm 10$	124.5	$\pm 6.3$
Data	230		162		181		123	



## Yield Tables $2\ell$ CRs

Process	CR HF $\mu$ TM	CR HF $\mu$ MT	CR HF $\mu$ MM	CR HFe TM	CR HFe MM
$t\bar{t}W$	24.0 $\pm$ 4.9	10.3 $\pm$ 2.0	3.73 $\pm$ 0.87	15.1 $\pm$ 2.9	2.76 $\pm$ 0.59
$t\bar{t}(Z/\gamma^*)$	13.6 $\pm$ 2.1	6.20 $\pm$ 0.97	2.59 $\pm$ 0.47	8.4 $\pm$ 1.7	1.90 $\pm$ 0.32
$t\bar{t}H$	6.6 $\pm$ 4.0	3.2 $\pm$ 1.9	1.28 $\pm$ 0.79	4.1 $\pm$ 2.4	0.90 $\pm$ 0.58
Four top	0.113 $\pm$ 0.028	0.071 $\pm$ 0.017	0.046 $\pm$ 0.012	0.069 $\pm$ 0.019	0.036 $\pm$ 0.010
Diboson	11.9 $\pm$ 6.1	4.9 $\pm$ 2.5	2.2 $\pm$ 1.1	8.6 $\pm$ 4.4	1.35 $\pm$ 0.72
HFe	1.6 $\pm$ 1.1	5.9 $\pm$ 2.9	1.71 $\pm$ 0.97	37 $\pm$ 12	4.5 $\pm$ 1.6
HF $\mu$	80 $\pm$ 14	21.9 $\pm$ 5.6	13.8 $\pm$ 3.2	2.20 $\pm$ 0.66	3.62 $\pm$ 0.99
Mat Conv	2.0 $\pm$ 7.1	1.20 $\pm$ 0.56	1.62 $\pm$ 0.51	3.7 $\pm$ 2.1	1.38 $\pm$ 0.43
Int Conv	0.68 $\pm$ 0.41	1.7 $\pm$ 1.0	0.30 $\pm$ 0.18	5.5 $\pm$ 3.2	0.48 $\pm$ 0.30
QMisID	0.28 $\pm$ 0.13	0.75 $\pm$ 0.54	0.38 $\pm$ 0.26	5.2 $\pm$ 2.9	1.6 $\pm$ 1.0
Other	5.6 $\pm$ 1.5	2.71 $\pm$ 0.66	0.81 $\pm$ 0.21	4.2 $\pm$ 1.0	0.63 $\pm$ 0.16
Total Bkg.	147 $\pm$ 12	59.0 $\pm$ 5.1	28.4 $\pm$ 3.4	94.4 $\pm$ 9.2	19.1 $\pm$ 2.2
Data	150	57	28	95	19



## Yield Tables 3ℓ CRs

Process	CR Int Conv	CR Mat Conv	CR ttZ	CR VV
$t\bar{t}W$	–	–	8.4 ± 1.8	24.5 ± 4.7
$t\bar{t}(Z/\gamma^*)$	–	–	378 ± 32	230 ± 27
$t\bar{t}H$	–	–	10.0 ± 6.3	6.3 ± 4.0
Four top	–	–	1.61 ± 0.32	0.092 ± 0.020
Diboson	0.025 ± 0.019	1.34 ± 0.72	29 ± 15	90 ± 45
HFe	–	–	0.47 ± 0.35	9.2 ± 6.8
HFμ	–	–	1.04 ± 0.35	7.5 ± 1.8
Mat Conv	1.3 ± 1.1	37.6 ± 8.6	0.59 ± 0.40	2.19 ± 0.77
Int Conv	42.5 ± 6.8	15.6 ± 4.3	0.14 ± 0.15	1.66 ± 0.96
QMisID	–	–	0.22 ± 0.17	0.83 ± 0.41
Other	–	–	74 ± 23	218 ± 40
Total Bkg.	43.9 ± 6.6	54.6 ± 7.3	503 ± 22	590 ± 23
Data	44	55	494	605