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## First measurement of $D_{s1}(1^+)(2536)^+$ and $D_{s2}^*(2^+)(2573)^+$ production in proton–proton collisions at $\sqrt{s} = 13$ TeV at the LHC

ALICE Collaboration\*

### Abstract

The production yields of the orbitally excited charm-strange mesons  $D_{s1}(1^+)(2536)^+$  and  $D_{s2}^*(2^+)(2573)^+$  were measured for the first time in proton–proton (pp) collisions at a center-of-mass energy of  $\sqrt{s} = 13$  TeV with the ALICE experiment at the LHC. The  $D_{s1}^+$  and  $D_{s2}^{*+}$  mesons were measured at midrapidity ( $|y| < 0.5$ ) in minimum-bias and high-multiplicity pp collisions in the transverse-momentum interval  $2 < p_T < 24$  GeV/ $c$ . Their production yields relative to the  $D_s^+$  ground-state yield were found to be compatible between minimum-bias and high-multiplicity collisions, as well as with previous measurements in  $e^\pm p$  and  $e^+e^-$  collisions. The measured  $D_{s1}^+/D_s^+$  and  $D_{s2}^{*+}/D_s^+$  yield ratios are described by statistical hadronization models and can be used to tune the parameters governing the production of excited charm-strange hadrons in Monte Carlo generators, such as PYTHIA 8.

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\*See Appendix A for the list of collaboration members

The production of charm mesons with spin zero (D mesons) or one (D\* mesons) and orbital angular momentum  $L = 0$  has been extensively studied in recent years in proton–proton (pp) collisions at the LHC by the ALICE [1–3], ATLAS [4], CMS [5], and LHCb [6, 7] Collaborations. The production cross sections are generally described within uncertainties by perturbative QCD calculations at next-to-leading order (NLO) with next-to-leading log resummation (e.g., FONLL [8–10] and GM-VFNS [11, 12]) via the factorization of three terms, namely the parton distribution functions (PDFs) of the incoming protons, the partonic cross section, and the fragmentation functions (FFs) describing the transition from charm quarks to the final hadrons. In these calculations, the FFs are typically parameterized from measurements in e<sup>+</sup>e<sup>−</sup> and e<sup>±</sup>p collisions [13] under the assumption that the hadronization of charm quarks into charm hadrons is a universal process, independent of the collision system. The relative abundances of the different D-meson species were found to be compatible with those measured in e<sup>+</sup>e<sup>−</sup> and e<sup>±</sup>p collisions [2, 3]. A significant discrepancy was instead observed at midrapidity for the charm baryons, whose production relative to the one of the D<sup>0</sup> meson turned out to be enhanced in pp collisions compared to e<sup>+</sup>e<sup>−</sup> and e<sup>±</sup>p collisions [14–21], implying a modification of the fragmentation fractions of charm quarks to the various charm-hadron species in pp collisions at LHC energies compared to those measured at lepton colliders at the LHC [3, 22].

The charm-meson spectroscopy has also progressed significantly in the last few decades, with also the discovery of several excited charm and charm-strange states and the determination of their properties [23–31]. However, the production yields of charm resonances were only measured at e<sup>+</sup>e<sup>−</sup> and e<sup>±</sup>p colliders [32–35], and no experimental result is available in hadronic collisions. The knowledge about the production yields of such states would provide important information about the hadronization of charm quarks produced in hadronic collisions, since they contribute to the ground-state charm-hadron yields via strong decays. This is, for example, the case in models based on statistical hadronization, in which the yields of the various charm-hadron species are assumed to follow the relative thermal densities and hence depend on the state mass and spin-degeneracy factor  $2J + 1$ , where  $J$  is the total angular momentum [36, 37]. Charm resonances are typically not included in Monte Carlo (MC) generators, such as PYTHIA 8 [38], due to the lack of knowledge about their production and decays. Moreover, the production of short-lived resonances is important to study the hadronic phase of the system created in heavy-ion collisions. In the case when a resonance has a lifetime comparable to that of the hadronic phase, suppression or regeneration of the resonance state due to interactions of its decay products with the hadron gas is observed [39–42]. A lower limit of the lifetime of the hadronic phase in central Pb–Pb collisions of about 4–7 fm/c was determined via the measurement of the  $p_T$ -integrated yield ratio of the K<sup>\*</sup>(892)<sup>0</sup> resonance ( $c\tau \approx 4$  fm) to K<sup>±</sup> mesons [39]. In addition, a hint of suppression was also measured in p–Pb and high-multiplicity pp collisions, suggesting the possible presence of rescattering effects and, thus, of a hadronic phase with a short but non-zero lifetime in small collision systems [40].

In this letter, the first measurement of the production yields of the orbitally excited charm-strange mesons D<sub>s1</sub>(1<sup>+</sup>)(2536)<sup>+</sup> ( $c\tau \approx 214$  fm) and D<sub>s2</sub><sup>\*</sup>(2<sup>+</sup>)(2573)<sup>+</sup> ( $c\tau \approx 11.7$  fm) [43] and their charge conjugates in minimum-bias and high-multiplicity pp collisions at a center-of-mass energy of  $\sqrt{s} = 13$  TeV is reported. In the following, D<sub>s1</sub><sup>+</sup> denotes D<sub>s1</sub>(1<sup>+</sup>)(2536)<sup>+</sup>, and D<sub>s2</sub><sup>\*+</sup> stands for D<sub>s2</sub><sup>\*</sup>(2<sup>+</sup>)(2573)<sup>+</sup>. The results, which are integrated in the transverse-momentum interval  $2 < p_T < 24$  GeV/c, are divided by the production yield of the ground state D<sub>s</sub><sup>+</sup> and compared with predictions obtained with the statistical hadronization model. The measured ratios are also used to constrain the parameters in PYTHIA 8, which regulate the production of pseudovector and tensor charm mesons, both with the inclusion of rescattering effects in the hadronic phase or without them. Finally, the excited-to-ground state yield ratios are exploited to compute the fragmentation fractions of charm quarks into the D<sub>s1</sub><sup>+</sup> and D<sub>s2</sub><sup>\*+</sup> states. The measured values are compared to those obtained in e<sup>+</sup>e<sup>−</sup> collisions.

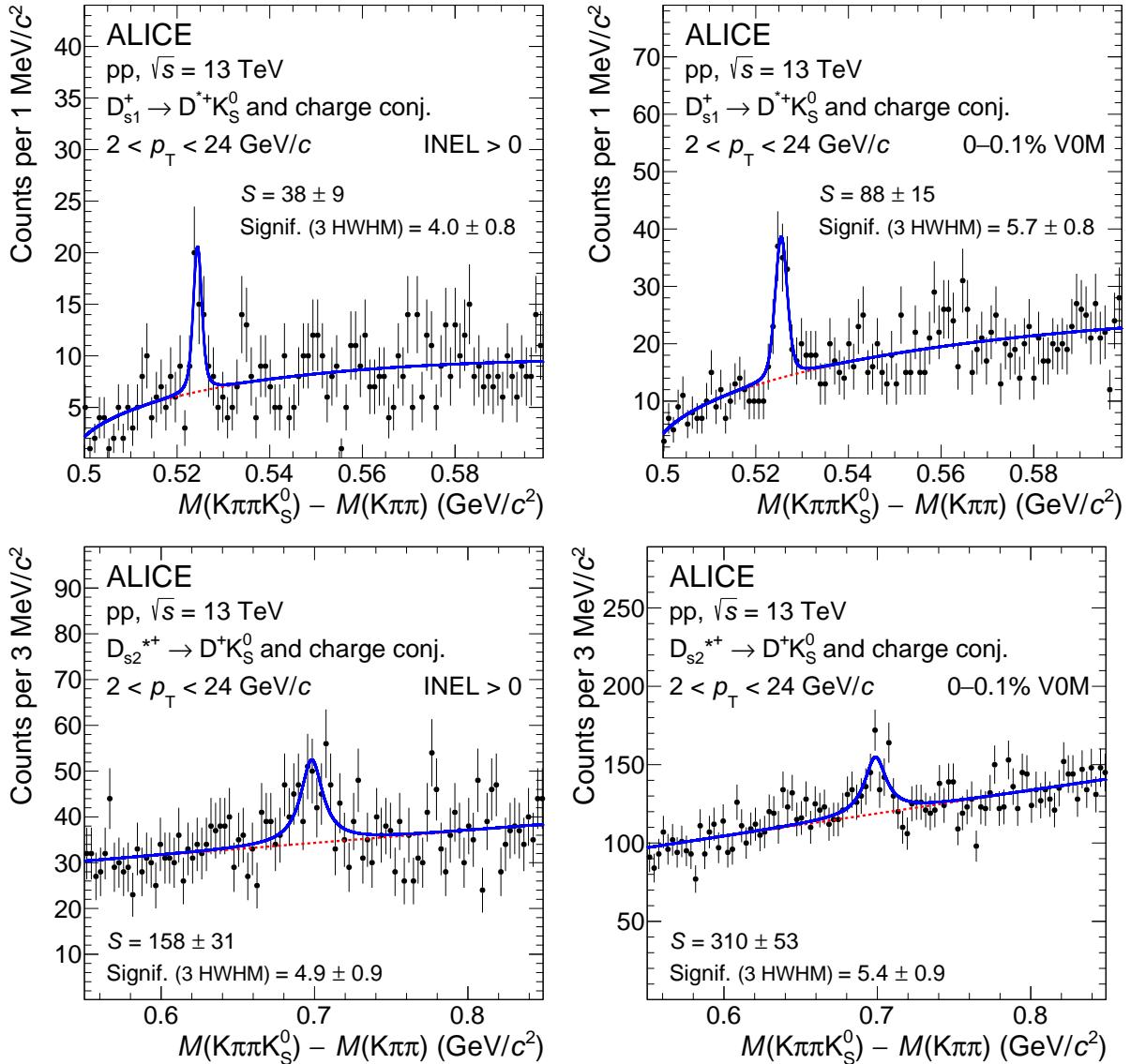
The apparatus of the ALICE experiment and its performance during the Run 2 data-taking period are described in detail in Refs. 44 and 45. The main sub-detectors, located at midrapidity ( $|y| < 0.5$ ), employed

to perform the measurements presented in this letter are: the Inner Tracking System (ITS), for tracking and vertex reconstruction; the Time Projection Chamber (TPC), for tracking and particle identification (PID); the Time-Of-Flight (TOF) detector, for particle identification. The V0 detector, composed of two arrays of scintillators located on both sides of the collision region ( $-3.7 < \eta < -1.7$  and  $2.8 < \eta < 5.1$ ), is used for trigger purposes as well as to measure the event multiplicity [46]. The latter is determined from the percentile distribution of the V0M amplitude. Percentile values for higher multiplicity collisions are close to 0% and for lower ones close to 100%. In the following, the 0–0.1% V0M multiplicity class is denoted as HMV0.

The measurements reported in this letter were performed on the sample of pp collisions at  $\sqrt{s} = 13$  TeV collected with the ALICE experiment from 2016 to 2018. The data were recorded using a minimum-bias trigger (MB) requiring coincident signals in both V0 scintillator arrays. Offline selection criteria were applied to remove beam-induced background events, exploiting the timing information from the V0 arrays and the correlation between the number of clusters and track segments reconstructed in the two innermost layers of the ITS. Events with pileup of collisions within the same bunch crossing, with an estimated probability ranging from  $10^{-3}$  to  $10^{-2}$  depending on the beam conditions, were excluded by rejecting events with more than one reconstructed primary vertex [46]. To ensure uniform pseudorapidity acceptance, only events with a primary vertex position within  $\pm 10$  cm from the nominal center of the apparatus along the beam direction were considered. Furthermore, the events satisfying the aforementioned selection criteria were required to possess at least one reconstructed track segment between the first two layers of the ITS within  $|\eta| < 1$  (INEL  $> 0$  event class).

The resulting data sample consisted of about  $1.8 \times 10^9$  INEL  $> 0$  and  $0.3 \times 10^9$  HMV0 events, corresponding to integrated luminosities of about  $32 \text{ nb}^{-1}$  and  $7.7 \text{ pb}^{-1}$  [47], respectively. The multiplicity percentile measured by the V0 detector was converted into an average charged-particle multiplicity,  $\langle dN_{\text{ch}}/d\eta \rangle_{|\eta| < 0.5}$ , by following the prescription detailed in Ref. 46. A trigger correction was applied to account for those events that fulfill the INEL  $> 0$  requirement but were not selected by the trigger. This correction factor  $\epsilon^{\text{INEL}} = 0.920 \pm 0.003$  was estimated with a detailed Monte Carlo simulation based on the PYTHIA 8 generator [38] and the GEANT3 transport package [48]. For the HMV0 events the trigger was fully efficient and, therefore, a correction is not necessary [49].

The D<sub>s1</sub><sup>+</sup> and D<sub>s2</sub><sup>\*+</sup> mesons and their charge conjugates were measured at midrapidity through the hadronic decay channels  $D_{s1}^+ \rightarrow D^{*+} K_S^0$  and  $D_{s2}^{*+} \rightarrow D^+ K_S^0$ , whose branching ratios (BRs) are not yet measured [43]. The  $K_S^0$  mesons were reconstructed via  $K_S^0 \rightarrow \pi^+ \pi^-$  decays with a BR of  $(69.20 \pm 0.05)\%$  [43].  $D^+$  and  $D^{*+}$  mesons were reconstructed in the decay channels  $D^+ \rightarrow K^- \pi^+ \pi^+$  with a BR of  $(9.38 \pm 0.16)\%$  [43] and  $D^{*+} \rightarrow D^0 (\rightarrow K^- \pi^+) \pi^+$  with a BR of  $(2.67 \pm 0.03)\%$  [43], respectively. The reconstruction and selection of  $K_S^0$ -meson candidates closely followed the approaches presented in previous publications [50, 51]. Pairs of tracks with opposite charge signs, with  $|\eta| < 0.8$  and satisfying the track-quality and particle-identification (PID) criteria reported in Ref. 50, were formed. Further selections based on the characteristic weak-decay topology of  $K_S^0$  mesons were applied to reduce the combinatorial-background contribution. Similarly,  $D^0$ - and  $D^+$ -meson candidates were obtained from pairs and triplets of tracks, respectively, with the proper charge signs and  $|\eta| < 0.8$ . The  $D^{*+}$ -meson candidates were reconstructed by combining  $D^0$  candidates with tracks identified as pions and having  $p_T > 50 \text{ MeV}/c$ . The signal selection exploited the reconstruction of decay-vertex topologies of D mesons displaced from the interaction vertex. A machine-learning approach based on Boosted Decision Trees (BDTs) [52, 53] was used to enhance the rejection of the combinatorial background and to separate D mesons produced directly in the charm-quark hadronization or through decays of excited charm-hadron states (prompt) from those originating from beauty-hadron decays (non-prompt). The quantities provided as input to the BDTs were based on the topological and kinematic properties of the D-meson candidates, and the PID information of their daughter tracks. The selection procedure and criteria were the same as those used in Refs. 54 and 55, for  $D^+$  and  $D^{*+}$  mesons respectively.  $D_{s1}^+$ - and  $D_{s2}^{*+}$ -meson candidates were reconstructed by



**Figure 1:** Invariant-mass distributions  $M(K\pi\pi K_S^0) - M(K\pi\pi)$  of  $D_{s1}^+$  (top panels) and  $D_{s2}^{*+}$  (bottom panels) candidates and charge conjugates in the  $2 < p_T < 24 \text{ GeV}/c$  interval, for the INEL > 0 and HMV0 (0–0.1% V0M) samples. The blue solid lines show the total fit functions described in the text, and the red dashed lines represent the combinatorial background. The raw-yield ( $S$ ) values are reported together with their statistical uncertainties, as well as the estimated significance of the signal (Signif.).

combining  $D^{*+}$  and  $D^+$  mesons with  $K_S^0$  mesons satisfying the aforementioned selection criteria. Furthermore, only  $D^{*+}$ ,  $D^+$ , and  $K_S^0$  mesons with a reconstructed invariant mass within a window of  $\pm 3\sigma$  around the reconstructed mass value were considered, where the signal-peak width ( $\sigma$ ) and mean for the three meson species were estimated by fitting their particle-candidate invariant-mass distributions with a Gaussian plus a function to describe the combinatorial background. No additional requirements were applied to the orbitally excited charm-strange meson candidates.

The raw yields of  $D_{s1}^+$  and  $D_{s2}^{*+}$  mesons were computed by integrating the signal function obtained from maximum-likelihood fits to the invariant-mass distributions  $\Delta M = M(K\pi\pi K_S^0) - M(K\pi\pi)$ . The raw yields were extracted in the transverse-momentum interval  $2 < p_T < 24 \text{ GeV}/c$  for both the INEL > 0 and HMV0 samples. The signal peak was modeled with a Voigt function, defined as the convolution of a Gaussian function and a Breit–Wigner function [56]. The widths,  $\Gamma$ , of the  $D_s^+$ -meson resonances were

fixed to their PDG values  $\Gamma(D_{s1}^+) = (0.92 \pm 0.05) \text{ MeV}/c^2$  and  $\Gamma(D_{s2}^{*+}) = (16.9 \pm 0.7) \text{ MeV}/c^2$  [43]. For the D<sub>s1</sub><sup>+</sup> meson, the background was modeled with the function  $a_0 \sqrt{\Delta M - m(K_S^0)} e^{a_1 [\Delta M - m(K_S^0)]}$ , where  $m(K_S^0)$  is the nominal rest mass of the K<sub>S</sub><sup>0</sup> meson, and  $a_0$  and  $a_1$  are free fit parameters. A polynomial of first order was used to describe the background in the D<sub>s2</sub><sup>\*+</sup>-meson fits. The invariant-mass distributions are reported in Fig. 1 together with related fit functions. A statistically reliable signal extraction is obtained for both the D<sub>s1</sub><sup>+</sup> and D<sub>s2</sub><sup>\*+</sup> mesons for INEL > 0 and HMV0 events. The statistical significance, computed in the invariant mass region within three half-width half-maximum (HWHM) around the signal peak, ranges between 4.0 and 5.7 depending on the particle and multiplicity interval.

The corrected per-event yields times BR of prompt D<sub>s1</sub><sup>+</sup> and D<sub>s2</sub><sup>\*+</sup> mesons at midrapidity were computed for each multiplicity class as

$$\frac{1}{N^{\text{ev}}} \frac{d^2N}{dp_T dy} \times \text{BR} = \frac{1}{2} \frac{\epsilon^{\text{INEL}}}{N^{\text{ev}}} \frac{1}{\text{BR}(D) \times \text{BR}(K_S^0)} \frac{f_{\text{prompt}} \times N^{\text{raw}}|_{|y| < y_{\text{lab}}}}{\Delta y_{\text{lab}} \times \Delta p_T \times (\text{Acc} \times \epsilon)_{\text{prompt}}}, \quad (1)$$

where  $N^{\text{raw}}$  is the raw yield, summed for particles and antiparticles, extracted in a given multiplicity class. The raw yield is divided by the prompt acceptance-times-efficiency  $(\text{Acc} \times \epsilon)_{\text{prompt}}$  and multiplied by the fraction of prompt D mesons in the selected sample  $f_{\text{prompt}}$  to correct for the contribution of beauty-hadron decays. It is further divided by a factor of two to obtain the charge-averaged yield, by the  $p_T$ -interval width  $\Delta p_T$ , and by the correction factor accounting for the rapidity coverage of reconstructed excited charm-strange mesons  $\Delta y_{\text{lab}}$ . The term  $\text{BR}(D) \times \text{BR}(K_S^0)$  encompasses the normalisation for the decay-channel branching ratios of the D<sub>s</sub><sup>+</sup>-meson resonance daughters. The factor  $N^{\text{ev}}$  denotes the number of recorded events in the INEL > 0 multiplicity class. It is corrected for the fraction of INEL > 0 events that were selected by the trigger  $\epsilon^{\text{INEL}}$ .

No kinematic selections were applied on the reconstructed D<sub>s1</sub><sup>+</sup>- and D<sub>s2</sub><sup>\*+</sup>-meson candidates. Therefore the prompt acceptance-times-efficiency corrections for D<sup>\*+</sup>, D<sup>+</sup>, and K<sub>S</sub><sup>0</sup> mesons were estimated as a function of  $p_T$ ,  $y$ , and azimuthal angle from full MC simulations, in which pp collisions are simulated using the PYTHIA 8.243 event generator [57, 58], the generated particles are propagated through the apparatus using GEANT3 [48] reproducing the detector layout and data-taking conditions, and the reconstruction of events is performed as in real data. They were then combined, in a second step, to obtain the  $(\text{Acc} \times \epsilon)_{\text{prompt}}$  factor of D<sub>s1</sub><sup>+</sup> and D<sub>s2</sub><sup>\*+</sup> mesons using a fast MC simulation based on the PYTHIA 8.243 decayer to describe the excited charm-strange meson decay kinematics. In this case, the D<sub>s1</sub><sup>+</sup> and D<sub>s2</sub><sup>\*+</sup> mesons were sampled from the measured  $p_T$  distributions of D<sub>s</sub><sup>+</sup> mesons in the same multiplicity classes taken from Ref. 49 and let decay by the PYTHIA 8 decayer. The efficiency was then computed by evaluating it as the product of the efficiencies of the daughter particles as a function of  $p_T$ ,  $\eta$ , and charged-particle multiplicity estimated in number of track segments reconstructed with the first two layers of the ITS. This approach was validated by estimating the efficiency corrections of D<sub>s1</sub><sup>+</sup> and D<sub>s2</sub><sup>\*+</sup> mesons using a full MC simulation with a limited number of generated events. The results of the two methods were in agreement within uncertainties.

The D<sub>s</sub><sup>+</sup>-resonance prompt fraction  $f_{\text{prompt}}$  was computed from the one of D<sup>\*+</sup> and D<sup>+</sup> mesons by accounting for the decay kinematics with an approach similar to that for the  $\text{Acc} \times \epsilon$  factor. The D-meson  $f_{\text{prompt}}$  was estimated with the data-driven method introduced in Ref. 2. More details on the procedure and the obtained values can be found in Ref. 54 for D<sup>+</sup> mesons and Ref. 55 for D<sup>\*+</sup> mesons. A correction factor of  $1.93 \pm 0.37$  was also applied to account for the higher probability of strange quarks contained in the D<sub>s1</sub><sup>+</sup> and D<sub>s2</sub><sup>\*+</sup> mesons to hadronise into a beauty rather than a charm hadron, as shown in Ref. 59. This factor was obtained from the measurement of prompt and non-prompt strange and non-strange D mesons in pp collisions at  $\sqrt{s} = 13 \text{ TeV}$  [3, 59]. The resulting prompt fraction of D<sub>s1</sub><sup>+</sup> (D<sub>s2</sub><sup>\*+</sup>) mesons is larger than 0.75 (0.85) in both the HMV0 and INEL > 0 samples.

The systematic uncertainties on the corrected yields of the measured D<sub>s</sub><sup>+</sup>-meson resonances in both the INEL > 0 and HMV0 samples include the following sources: (i) extraction of the raw yield, (ii) prompt-fraction estimation, (iii) tracking and selection efficiency evaluation, (iv) sensitivity of the efficiencies to the meson  $p_T$  shape generated in the simulation and (v) to the description of the charged-particle multiplicity. In addition, an overall normalization systematic uncertainty due to uncertainties in the BR and the integrated luminosity was considered.

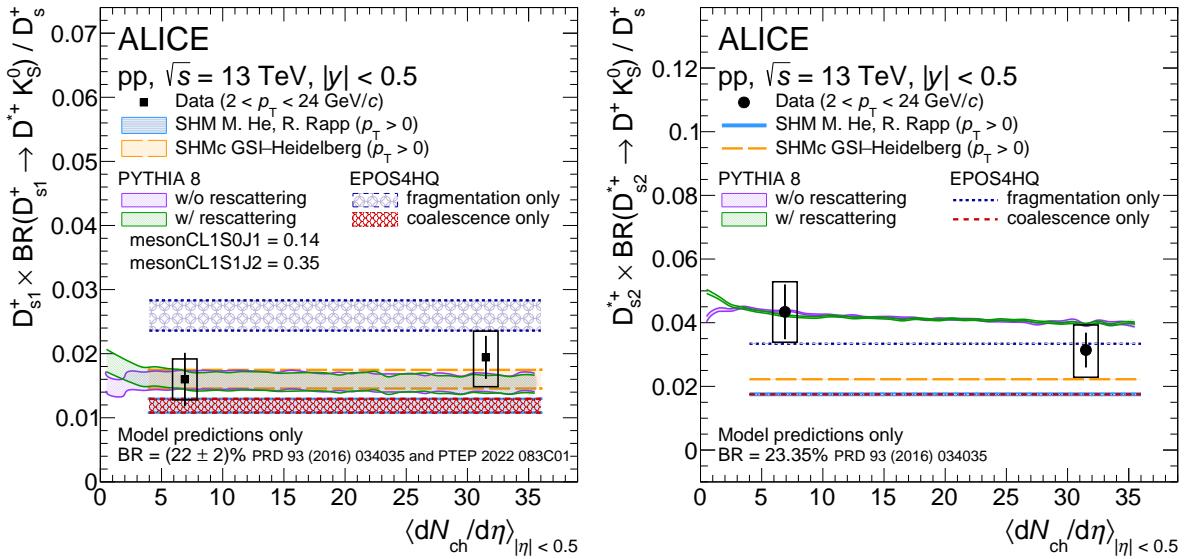
The systematic uncertainty on the raw-yield extraction was assessed by repeating the yield extraction after varying the fit configuration, in particular the fit ranges, the fit function used to describe the background, and the value of the  $\Gamma$  parameter by accounting for its uncertainty as reported in Ref. 43. The systematic uncertainties related to the estimation of the prompt resonance fraction in the extracted yields were computed by varying the reference value of the strange-to-non-strange D-meson ratio within the range of its uncertainties. The magnitude of these uncertainties depends on the multiplicity class and ranges between 5 and 14% for the D<sub>s1</sub><sup>+</sup>, and between 4 and 7% for the D<sub>s2</sub><sup>\*+</sup>, respectively.

The systematic uncertainty on the track-reconstruction and selection efficiencies accounts for possible discrepancies between data and MC in the ITS–TPC prolongation, track-quality selection efficiency, description of the ALICE detector material budget, and description of the topological and PID variables exploited for the K<sub>S</sub><sup>0</sup> and D-meson selection. The track-reconstruction systematic uncertainty was estimated by propagating the track-reconstruction systematic uncertainty on the single decay daughter track to the resonance considering the kinematic of the decay. The systematic on the selection efficiency was assessed by repeating the measurement of the D<sub>s1</sub><sup>+</sup> and D<sub>s2</sub><sup>\*+</sup> corrected yields after varying the selection criteria applied to the K<sub>S</sub><sup>0</sup> and D mesons. The magnitude of the systematic uncertainty related to the tracking and the BDT efficiency for both D<sub>s</sub><sup>+</sup> states is of 6% and 10%, respectively.

The systematic uncertainties associated with the description of the  $p_T$  shape and the multiplicity of the events in the simulation were evaluated by varying the functional form employed to describe the  $p_T$  distribution of the generated resonances and by repeating the study employing different multiplicity weights accounting for different event-selection criteria. The assigned systematic uncertainty associated with the  $p_T$  shape varies between 10 and 13% depending on the multiplicity class for both the D<sub>s</sub><sup>+</sup> states. The uncertainty associated with the multiplicity is less than 2% for both D<sub>s</sub><sup>+</sup> states.

The ratios of the yields of the D<sub>s1</sub><sup>+</sup> and D<sub>s2</sub><sup>\*+</sup> mesons times the relative BRs to that of the D<sub>s</sub><sup>+</sup> meson as a function of the average charged-particle multiplicity  $\langle dN_{ch}/d\eta \rangle_{|\eta|<0.5}$  at midrapidity in pp collisions at  $\sqrt{s} = 13$  TeV are shown in Fig. 2. The  $p_T$ -differential measurements of the D<sub>s</sub><sup>+</sup>-meson yields performed by the ALICE Collaboration for the INEL > 0 [3] and HMV0 [49] multiplicity classes, integrated over the  $p_T$  range between 2 and 24 GeV/c, were used as the denominators of the ratios. The systematic uncertainties associated with the corrected yields were treated as uncorrelated in the propagation to the ratios, except the one related to the luminosity, which was treated as fully correlated and cancels out in the ratio. The statistical and systematic uncertainties are depicted as vertical lines and empty boxes, respectively. The ratios do not show a significant dependence on  $\langle dN_{ch}/d\eta \rangle_{|\eta|<0.5}$ .

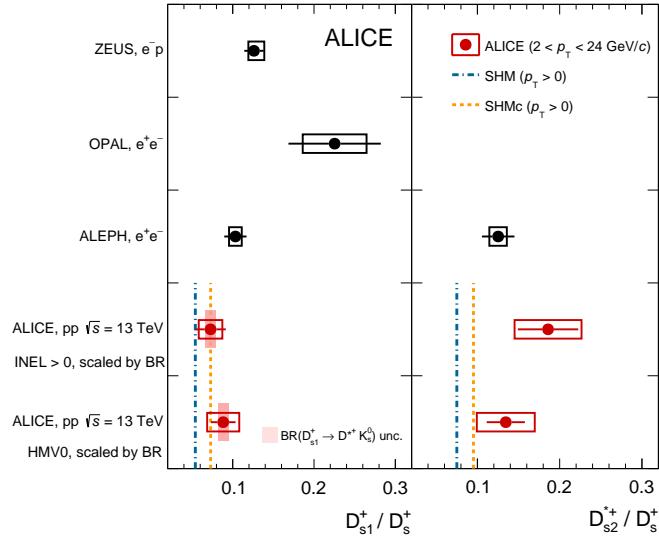
The measurements were compared with the predictions based on the statistical hadronization model (SHM) [37] and the SHM for charm hadrons (SHMc) of the GSI–Heidelberg group [60], integrated over  $p_T > 0$ , and with the predictions from EPOS4HQ [61] in two different configurations. In the SHM and SHMc, hadron abundances are dictated by thermal weights depending on the hadron rest masses. Both the SHM and SHMc include a set of not yet observed charm-baryon states. However, unlike the SHM, SHMc assumes that charm quarks are produced in initial hard scattering processes and that the total number of (anti-)charm quarks is conserved in the collision. Computing the ratio between the excited- and ground-state charm hadron yields is beneficial for the theoretical predictions as the dependency on strangeness and charm corrections cancel out exactly. The EPOS4HQ is the heavy hadron extension of the EPOS4 generator [62]. Heavy flavor quarks, produced in hard scatterings, gluon splittings, or flavor



**Figure 2:**  $D_{s1}^+ / D_s^+$  (left) and  $D_{s2}^{*+} / D_s^+$  (right) ratio times BR as a function of the average charged-particle multiplicity  $\langle dN_{\text{ch}} / d\eta \rangle_{|\eta| < 0.5}$  in pp collisions at  $\sqrt{s} = 13$  TeV at midrapidity ( $|\eta| < 0.5$ ). The experimental results are compared with the theoretical predictions based on the SHM [37], the SHMc [60], PYTHIA 8 [57, 58], and EPOS4HQ [61]. The statistical and systematic uncertainties on the measured ratios are depicted as vertical lines and boxes, respectively. The theoretical uncertainty on the predicted ratios is depicted as a shaded band for the  $D_{s1}^+$ .

excitation, may interact with the medium constituents through elastic and inelastic processes and finally hadronise via fragmentation or coalescence. The two mechanisms are complementary and dominate at high and low momenta, respectively. The SHM, SHMc, and EPOS4HQ predictions necessitate being scaled by the BR of the analyzed hadronic decay to be fairly compared with the measurements. No measurement of these BRs is currently available, thus they were computed considering the predictions of the BR of the  $D_{s1}^+ \rightarrow D^* K$  and  $D_{s2}^{*+} \rightarrow D K$  decays from the relativistic quark model (RQM) [63] and the ratio of the BRs between the two possible final charged states. In the case of the  $D_{s1}^+$ , the value reported by the PDG [43] was used with its uncertainty, while in the case of the  $D_{s2}^{*+}$  an equal contribution to  $D_{s2}^{*+} \rightarrow D^+ K^0$  and  $D_{s2}^{*+} \rightarrow D^0 K^+$  was assumed, given the very similar Q-values of the decays. The resulting values are:  $\text{BR}(D_{s1}^+ \rightarrow D^{*+} K_S^0) = (22 \pm 2)\%$  and  $\text{BR}(D_{s2}^{*+} \rightarrow D^+ K_S^0) = 23.35\%$  for the  $D_{s1}^+$  and  $D_{s2}^{*+}$ , respectively. The uncertainties associated with the computed BR, incorporating the uncertainties on the branching fraction provided by the PDG when available, were extended to the theoretical predictions and are depicted as shaded regions for the  $D_{s1}^+$ . The theoretical predictions from the SHM and the SHMc for the  $D_{s1}^+ / D_s^+$  ratio are flat as a function of multiplicity and in good agreement with the measured ratios within  $0.5$  and  $1.2\sigma$  at high and low multiplicity, respectively. They slightly underestimate the measured central values of the  $D_{s2}^{*+} / D_s^+$  ratio by  $2\sigma$  and  $1\sigma$  at low and high multiplicity, respectively. The experimental results are also compared with predictions from EPOS4HQ considering pure fragmentation or coalescence, respectively. The predicted ratios are systematically lower in the case of pure coalescence compared to pure fragmentation. A more realistic description including both the hadronization mechanisms would provide a prediction in between the ones reported in Fig. 2, therefore more in agreement with the measured ratios. The comparison with the model predictions align with what was observed for the ratio of ground-state charm mesons in pp collisions at the LHC energies [3, 22] indicating that a quantitative description of the relative production of charm meson states is successfully achieved using a statistical approach.

Orbitally excited states like the  $D_{s1}^+$  and  $D_{s2}^{*+}$  mesons are not considered in the PYTHIA 8 generator by default. Nevertheless, they can be included in the generation with a parameterized description of their



**Figure 3:**  $p_T$ -integrated yields of prompt  $D_{s1}^+$  (left) and  $D_{s2}^{*+}$  (right) mesons divided by the  $p_T$ -integrated yields of prompt  $D_s^+$  mesons. The measurements are compared to the ones performed at LEP [32–34, 67]. For the ALICE measurements, the results consider the BR of the decay of interest computed as described in the text considering the RQM [63] predictions and PDG information [43]. The experimental results are compared with the theoretical predictions based on the SHM [37] and the SHMc [60] models.

production and subsequent decay. The parameters regulating the production of pseudovector and tensor charm mesons (i.e. `mesonCL1S0J1` and `mesonCL1S1J2`) were tuned to minimize the  $\chi^2$  between the measured excited-to-ground state ratio in the  $\text{INEL} > 0$  sample and the predicted one from PYTHIA 8, obtaining `mesonCL1S0J1` = 0.14 and `mesonCL1S1J2` = 0.35. In the simulation, the average charged-particle multiplicity was estimated considering the multiplicity of charged tracks at midrapidity. For the  $D_{s1}^+$ , the uncertainty associated with the BR relative to the considered hadronic decay channel was propagated to the PYTHIA 8 predictions as done for the thermal models and is depicted as a shaded band. In the case of the  $D_{s2}^{*+}/D_s^+$  ratio, the data points suggest a possible decrease with increasing  $\langle dN_{\text{ch}}/d\eta \rangle_{|\eta| < 0.5}$ , even if the values for  $\text{INEL} > 0$  and  $\text{HMV0}$  are compatible within uncertainties. To investigate the possible effect of the hadronic rescattering on the dependence of these ratios on  $\langle dN_{\text{ch}}/d\eta \rangle_{|\eta| < 0.5}$ , the PYTHIA 8 simulation was performed with and without enabling it [64]. The two configurations produce compatible results in the considered multiplicity range. This might be related to the fact that the lifetime of the  $D_{s2}^{*+}$  is longer than the expected duration of the hadronic phase in the collision and to the fact that the magnitude of hadronic interactions for D mesons with light hadrons is expected to be small in high-multiplicity pp collisions, as recently reported by the ALICE Collaboration [65, 66].

The ratios presented above can be compared with those from measurements of the fragmentation fractions of charm quarks into  $D_{s1}^+$ ,  $D_{s2}^{*+}$ , and  $D_s^+$  mesons ( $f_c \rightarrow h_c$ ) performed in  $e^+e^-$  and  $e^\pm p$  collisions at LEP [32–34, 67]. Indeed, it is possible to compute the  $D_{s1}^+/D_s^+$  and  $D_{s2}^{*+}/D_s^+$  ratios as  $(f_c \rightarrow D_{s1}^+)/(\bar{f}_c \rightarrow D_s^+)$  and  $(f_c \rightarrow D_{s2}^{*+})/(\bar{f}_c \rightarrow D_s^+)$ , respectively. A direct comparison of the ratios of the fragmentation fractions with the results presented in Fig. 2 cannot be made without first accounting for the BR of the decay of the resonance. The results shown in Fig. 2 were divided by the BR computed following the procedure discussed above. Figure 3 shows a compilation of the  $D_{s1}^+/D_s^+$  and  $D_{s2}^{*+}/D_s^+$  ratios along with the SHM predictions in pp collisions presented above. The uncertainty associated with the BR for the  $D_{s1}^+$  is depicted separately as a shaded box. The ratios measured in pp collisions at the LHC and presented in this letter are consistent with those measured at LEP in  $e^+e^-$  and  $e^\pm p$  collisions with a maximum deviation of  $2.1\sigma$  for  $D_{s1}^+/D_s^+$  in the  $\text{INEL} > 0$  sample.

In summary, the production yields of  $D_{s1}(1^+)(2536)^+$  and  $D_{s2}^*(2^+)(2573)^+$  mesons were measured for

the first time at the LHC in pp collisions at  $\sqrt{s} = 13$  TeV in two classes of charged-particle multiplicity. Given the absence of measurements for the BR of the considered hadronic decay channels, the results were not corrected for the relative BR. The measurements were performed at midrapidity in the  $2 < p_T < 24$  GeV/c range, and the ratios to the analogous measurement for the D<sub>s</sub><sup>+</sup> mesons scaled by the BR of the hadronic decay channel of the resonances are reported. The excited-to-ground state ratios do not show any significant dependence on the multiplicity given the current experimental uncertainty. The measurements were compared with the predictions from SHM, SHMc, and EPOS4HQ models, which quantitatively describe them.

The measured ratios were also used to tune the parameters of the PYTHIA 8 event generator governing the production of these orbitally excited states. In particular, these parameters were set to optimize the agreement between the measurements and the predictions from PYTHIA 8 at lower multiplicity. To further test the possible effects of hadronic rescattering on the excited-to-ground state ratios, the PYTHIA 8 simulation was performed including this effect or not. However, no significant difference was found between the two configurations.

Finally, a comparison was made between the D<sub>s1</sub><sup>+</sup>/D<sub>s</sub><sup>+</sup> and D<sub>s2</sub><sup>\*+</sup>/D<sub>s</sub><sup>+</sup> ratios presented in this letter and the ratios of the charm-quark fragmentation fractions measured at LEP in e<sup>+</sup>e<sup>-</sup> and e<sup>±</sup>p collisions. The results obtained at LHC and LEP are consistent within uncertainties. The extensive dataset collected during the Run 3 and 4 data-taking periods at the LHC will significantly reduce the relative uncertainties associated with these measurements, enabling a better understanding of the resonance production mechanism.

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